Form **990-PF** Department of the Treasury Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

| Name of foundation | A Employer identification number |
|---|---|
| THE ARGO FAMILY FUND<br>C/O ROBERT AROGETI, TRUSTEE | 58-2069120 |
| Number and street (or P O box number if mail is not delivered to street address) — Room/suite<br>FIVE CONCOURSE PKWY, #1000 | B Telephone number<br>404-898-8209 |
| City or town, state or province, country, and ZIP or foreign postal code<br>ATLANTA, GA 30328 | C If exemption application is pending, check here ▶ ☐ |
| G Check all that apply. ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change | D 1. Foreign organizations, check here ▶ ☐<br>2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐ |
| H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation | E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐ |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 10,605,209. J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐ |

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | 50. | | N/A | |
| | 2 Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 31,428. | 31,428. | | STATEMENT 1 |
| | 4 Dividends and interest from securities | 171,001. | 171,001. | | STATEMENT 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 296,073. | | | |
| | b Gross sales price for all assets on line 6a 1,496,356. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 296,073. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | 16,014. | 16,014. | | STATEMENT 3 |
| | 12 **Total.** Add lines 1 through 11 | 514,566. | 514,516. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees | | | | |
| | c Other professional fees | | | | |
| | 17 Interest | | | | |
| | 18 Taxes | | | | |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 4 | 98,282. | 93,282. | | 0. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 98,282. | 93,282. | | 0. |
| | 25 Contributions, gifts, grants paid | 487,750. | | | 487,750. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 586,032. | 93,282. | | 487,750. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | <71,466.> | | | |
| | b **Net investment income** (if negative, enter -0-) | | 421,234. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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| Part II Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only | Beginning of year (a) Book Value | End of year (b) Book Value | End of year (c) Fair Market Value |
|---|---|---|---|---|
| Assets | 1 Cash - non-interest-bearing | 1,918,589. | 1,084,999. | 1,084,999. |
| | 2 Savings and temporary cash investments | | | |
| | 3 Accounts receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less: allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock | | | |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans STMT 6 | 612,600. | 428,064. | 428,064. |
| | 13 Investments - other STMT 7 | 5,962,519. | 6,785,135. | 9,092,146. |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 8,493,708. | 8,298,198. | 10,605,209. |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | 8,493,708. | 8,298,198. | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 **Total net assets or fund balances** | 8,493,708. | 8,298,198. | |
| | 31 **Total liabilities and net assets/fund balances** | 8,493,708. | 8,298,198. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|---|---|---|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 8,493,708. |
| 2 Enter amount from Part I, line 27a | 2 | <71,466.> |
| 3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5 | 3 | 701. |
| 4 Add lines 1, 2, and 3 | 4 | 8,422,943. |
| 5 Decreases not included in line 2 (itemize) ▶ REDUCTION IN FMV OF BISHOP LAND | 5 | 124,745. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 8,298,198. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENT | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 1,496,356. | | 1,200,283. | 296,073. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 296,073. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 296,073.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 } 3 N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2012 | 451,311. | 8,887,426. | .050781 |
| 2011 | 500,458. | 9,125,168. | .054844 |
| 2010 | 399,500. | 9,036,815. | .044208 |
| 2009 | 476,483. | 8,470,575. | .056252 |
| 2008 | 484,025. | 10,182,190. | .047536 |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) | 2 | .253621 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .050724 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 9,791,858. |
| 5 | Multiply line 4 by line 3 | 5 | 496,682. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 4,212. |
| 7 | Add lines 5 and 6 | 7 | 500,894. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 487,750. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions) | | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | | 1 | 8,425. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 2 | 0. |
| 3 Add lines 1 and 2 | | | 3 | 8,425. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 8,425. |
| 6 Credits/Payments: | | | | |
| a 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a | 3,965. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d Backup withholding erroneously withheld | 6d | | | |
| 7 Total credits and payments. Add lines 6a through 6d | | | 7 | 3,965. |
| 8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** SEE STATEMENT 8 ▶ | | | 9 | 4,460. |
| 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | |
| 11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ | | **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | 1b | | X |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | | X |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ GA | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | Line | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ ROBERT AROGETI Telephone no. ▶404-898-8209
Located at ▶FIVE CONCOURSE PKWY, #1000, ATLANTA, GA ZIP+4 ▶30328

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | Line | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Line | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______, ______, ______, ______ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) | N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* | N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

*If "Yes" to 6b, file Form 8870*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ROBERT AROGETI<br>330 GREEN GLEN WAY NW<br>ATLANTA, GA 30327 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| JOEL AROGETI<br>110 JETT FOREST COURT NW<br>ATLANTA, GA 30327 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions.<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | |
| a | Average monthly fair market value of securities | | 1a | 6,897,482. |
| b | Average of monthly cash balances | | 1b | 1,695,420. |
| c | Fair market value of all other assets | | 1c | 1,348,071. |
| d | **Total** (add lines 1a, b, and c) | | 1d | 9,940,973. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | 3 | 9,940,973. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | 4 | 149,115. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | 5 | 9,791,858. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | 6 | 489,593. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 489,593. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a 8,425. | | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | 2b | | |
| c | Add lines 2a and 2b | | 2c | 8,425. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | 3 | 481,168. |
| 4 | Recoveries of amounts treated as qualifying distributions | | 4 | 0. |
| 5 | Add lines 3 and 4 | | 5 | 481,168. |
| 6 | Deduction from distributable amount (see instructions) | | 6 | 0. |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | 7 | 481,168. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 487,750. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 487,750. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 487,750. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** **Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 481,168. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 0. | |
| b Total for prior years: ____ , ____ , ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 | | | | |
| b From 2009 13,452. | | | | |
| c From 2010 | | | | |
| d From 2011 46,284. | | | | |
| e From 2012 11,408. | | | | |
| f Total of lines 3a through e | 71,144. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 487,750. | | | | |
| a Applied to 2012, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2013 distributable amount | | | | 481,168. |
| e Remaining amount distributed out of corpus | 6,582. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 77,726. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a | 77,726. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2009 13,452. | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 46,284. | | | | |
| d Excess from 2012 11,408. | | | | |
| e Excess from 2013 6,582. | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2).)

SEE STATEMENT 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient: Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| SCHEDULE ATTACHED | | | | |
| SCHEDULE ATTACHED | | | | 487,750. |
| **Total** | | | ▶ 3a | 487,750. |
| *b Approved for future payment* | | | | |
| NONE | | | | |
| **Total** | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513 or 514 (c) Exclusion code | Excluded by section 512, 513 or 514 (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 31,428. | |
| 4 Dividends and interest from securities | | | 14 | 171,001. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 16 | 16,014. | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 14 | 114,571. | 181,502. |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 333,014. | 181,502. |

**13 Total.** Add line 12, columns (b), (d), and (e) 13 514,516.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee — Date 10/1/14 — Title ▶ TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| ROBERT J. AROGETI, CPA | | 10/1/14 | | P00118019 |

Firm's name ▶ HABIF, AROGETI, & WYNNE, L.L.P. — Firm's EIN ▶ 57-1157523

Firm's address ▶ FIVE CONCOURSE PARKWAY, SUITE 1000 ATLANTA, GA 30328 — Phone no. 404-892-9651

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | MORGAN STANLEY-SEE SCHEDULE ATTACHED | P | | |
| b | MORGAN STANLEY-SEE SCHEDULE ATTACHED | P | | |
| c | MORGAN STANLEY-SEE SCHEDULE ATTACHED | P | | |
| d | DEUTSCHE BANK-SEE SCHEDULE ATTACHED | P | | |
| e | DEUTSCHE BANK-SEE SCHEDULE ATTACHED | P | | |
| f | US TRUST-SEE SCHEDULE ATTACHED | P | | |
| g | US TRUST-SEE SCHEDULE ATTACHED | P | | |
| h | DEUTSCHE BANK-SEE SCHEDULE ATTACHED | P | | |
| i | CHARLES SCHWAB-SEE SCHEDULE ATTACHED | P | | |
| j | CAPITAL GAINS DIVIDENDS | | | |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 52,999. | | 49,367. | 3,632. |
| b | 41,706. | | 46,742. | <5,036.> |
| c | 85,307. | | 80,289. | 5,018. |
| d | 240,135. | | 222,139. | 17,996. |
| e | 452,266. | | 277,836. | 174,430. |
| f | 425,000. | | 441,116. | <16,116.> |
| g | 81,992. | | 80,793. | 1,199. |
| h | 1,902. | | 1,523. | 379. |
| i | 478. | | 478. | 0. |
| j | 114,571. | | | 114,571. |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| a | | | | 3,632. |
| b | | | | <5,036.> |
| c | | | | 5,018. |
| d | | | | 17,996. |
| e | | | | 174,430. |
| f | | | | <16,116.> |
| g | | | | 1,199. |
| h | | | | 379. |
| i | | | | 0. |
| j | | | | 114,571. |
| k | | | | |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter "-0-" in Part I, line 7 } — 2 — 296,073.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 — 3 — N/A

323591
05-01-13

# Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2013**

| Name of the organization | Employer identification number |
|---|---|
| THE ARGO FAMILY FUND<br>C/O ROBERT AROGETI, TRUSTEE | 58-2069120 |

**Organization type** (check one)

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

☐ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

| Name of organization | Employer identification number |
|---|---|
| THE ARGO FAMILY FUND<br>C/O ROBERT AROGETI, TRUSTEE | 58-2069120 |

**Part I** **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | JEANETTE AROGETI<br>650 PHIPPS BLVD #2005<br>ATLANTA, GA 30326 | $ | Person [X]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| 2 | ROBERT AROGETI<br>330 GREEN GLEN WAY NW<br>ATLANTA, GA 30327 | $ | Person [ ]<br>Payroll [ ]<br>Noncash [X]<br>(Complete Part II for noncash contributions ) |
| 3 | JOEL AROGETI<br>110 JETT FOREST COURT NW<br>ATLANTA, GA 30327 | $ | Person [ ]<br>Payroll [ ]<br>Noncash [X]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |

Name of organization

THE ARGO FAMILY FUND
C/O ROBERT AROGETI, TRUSTEE

Employer identification number

58-2069120

**Part II** **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| 2 | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| 3 | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization

THE ARGO FAMILY FUND
C/O ROBERT AROGETI, TRUSTEE

Employer identification number

58-2069120

**Part III** *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once) ▶ $________

Use duplicate copies of Part III if additional space is needed

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| NOTE RECEIVABLES | 31,428. | 31,428. | |
| TOTAL TO PART I, LINE 3 | 31,428. | 31,428. | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| BANK OF AMERICA-INTEREST | 1,068. | 0. | 1,068. | 1,068. | |
| CHARLES SCHWAB-INTEREST | 121. | 0. | 121. | 121. | |
| HIRTLE CALLAGHAN-DIVIDEND | 209,821. | 114,227. | 95,594. | 95,594. | |
| ISRAELI BONDS-INTEREST | 1,330. | 0. | 1,330. | 1,330. | |
| MORGAN STANLEY-DIVIDENDS | 22,028. | 0. | 22,028. | 22,028. | |
| MORGAN STANLEY-INTEREST | 19. | 0. | 19. | 19. | |
| TD AMERITRADE-DIVIDEN S | 22,133. | 344. | 21,789. | 21,789. | |
| TD AMERITRADE-INTERES | 4. | 0. | 4. | 4. | |
| US TRUST-DIVIDENDS | 5,185. | 0. | 5,185. | 5,185. | |
| US TRUST-INTEREST | 23,863. | 0. | 23,863. | 23,863. | |
| TO PART I, LINE 4 | 285,572. | 114,571. | 171,001. | 171,001. | |

FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| BISHOP LAND | <169.> | <169.> | |
| NORTHSIDE PARTNERS | 16,183. | 16,183. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 16,014. | 16,014. | |

FORM 990-PF OTHER EXPENSES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| MANAGEMENT FEES | 55,000. | 55,000. | | 0. |
| REAL ESTATE TAXES | 4,793. | 4,793. | | 0. |
| BROKERAGE FEES | 26,957. | 26,957. | | 0. |
| FOREIGN TAXES PAID | 3,338. | 3,338. | | 0. |
| OTHER-EXPENSES | 3,194. | 3,194. | | 0. |
| INCOME TAXES | 5,000. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 98,282. | 93,282. | | 0. |

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 5

| DESCRIPTION | AMOUNT |
|---|---|
| NON TAXABLE RETURN OF PRINCIPAL-SECURITIES | 701. |
| TOTAL TO FORM 990-PF, PART III, LINE 3 | 701. |

FORM 990-PF | MORTGAGE LOANS | STATEMENT 6

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| MORTGAGE LOANS | 428,064. | 428,064. |
| TOTAL TO FORM 990-PF, PART II, LINE 12 | 428,064. | 428,064. |

FORM 990-PF | OTHER INVESTMENTS | STATEMENT 7

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| VARIOUS BROKERAGE ACCOUNTS | COST | 5,890,581. | 8,197,592. |
| 1940 MOORES MILL RD | COST | 168,110. | 168,110. |
| STATE OF ISRAEL BOND | COST | 50,000. | 50,000. |
| BISHOP PROPERTIES | COST | 432,300. | 432,300. |
| NORTHSIDE PARTNERS LLC | COST | 244,144. | 244,144. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 6,785,135. | 9,092,146. |

FORM 990-PF | INTEREST AND PENALTIES | STATEMENT 8

| | |
|---|---|
| TAX DUE FROM FORM 990-PF, PART VI | 4,460. |
| LATE PAYMENT INTEREST | 51. |
| LATE PAYMENT PENALTY | 112. |
| TOTAL AMOUNT DUE | 4,623. |

FORM 990-PF | LATE PAYMENT PENALTY | STATEMENT 9

| DESCRIPTION | DATE | AMOUNT | BALANCE | MONTHS | PENALTY |
|---|---|---|---|---|---|
| TAX DUE | 05/15/14 | 4,460. | 4,460. | 5 | 112. |
| DATE FILED | 09/30/14 | | 4,460. | | |
| TOTAL LATE PAYMENT PENALTY | | | | | 112. |

FORM 990-PF LATE PAYMENT INTEREST STATEMENT 10

| DESCRIPTION | DATE | AMOUNT | BALANCE | RATE | DAYS | INTEREST |
|---|---|---|---|---|---|---|
| TAX DUE | 05/15/14 | 4,460. | 4,460. | .0300 | 138 | 51. |
| DATE FILED | 09/30/14 | | 4,511. | | | |
| TOTAL LATE PAYMENT INTEREST | | | | | | 51. |

FORM 990-PF PART XV - LINE 1A STATEMENT 11
LIST OF FOUNDATION MANAGERS

| NAME OF MANAGER |
|---|
| ROBERT AROGETI |
| JOEL AROGETI |

THE ARGO FAMILY FUND
CHARITABLE CONTRIBUTIONS
Year End: December 31, 2013

| ORGANIZATION | CITY, STATE | AMOUNT | CONTRIBUTION MADE | METHOD OF PAYMENT | RECEIPT LETTER |
|---|---|---|---|---|---|
| Anti Defamation League | New York, NY | 2,500 | 12/10/13 | Ck #1252 | YES |
| Atlanta Group Home | Atlanta, GA | 3,500 | 12/10/13 | Ck #1253 | YES |
| Amit | Atlanta, GA | 10,000 | 1/30/13 | Ck #1284 | YES |
| Boy Scouts of America | Atlanta, GA | 1,000 | 12/10/13 | Ck #1287 | YES |
| Chabad of Athens | Athens, GA | 3,000 | 3/12/13 | Ck #1285 | YES |
| Epstein School | Atlanta, GA | 10,000 | 1/10/13 | Ck #1244 | YES |
| Georgia Innocence Project | Atlanta, GA | 1,500 | 6/7/13 | Ck #1246 | YES |
| Hillels of Georgia | Atlanta, GA | 7,000 | 12/10/13 | Ck #1254 | YES |
| Jewish Family & Career Services | Atlanta, GA | 15,000 | 8/2/13 | Ck #1249 | YES |
| Jewish Federation of Greater Atlanta | Atlanta, GA | 307,250 | 1/10&6/12&12/10/13 | Ck #1243/1247&1255 | YES |
| Jewish Kids Group | Atlanta, GA | 5,000 | 12/10/13 | Ck #1251 | YES |
| Jewish Women's Fund of Atlanta | Atlanta, GA | 3,000 | 7/15/13 | CK #1248 | YES |
| Marcus Jewish Community Center | Atlanta, GA | 10,000 | 1/10/13 | Ck #1245 | YES |
| ML4 Foundation | Atlanta, GA | 10,000 | 9/13/13 | Ck #1286 | YES |
| Or VeShalom Synagogue | Atlanta, GA | 50,000 | 1/10 & 12/10/13 | Ck #1241 & 1256 | YES |
| Prevent Child Abuse | Athens, GA | 4,000 | 10/3/13 | Ck #1250 | YES |
| The Breman Jewish Museum | Atlanta, GA | 5,000 | 12/10/13 | Ck #1257 | YES |
| The Weber School | Atlanta, GA | 25,000 | 12/10/13 | Ck #1258&1259 | YES |
| The William Breman Jewish Home | Atlanta, GA | 10,000 | 12/10/13 | Ck #1260 | YES |
| Yemin Orde | Rockdale, MD | 5,000 | 12/10/13 | Ck #1261 | YES |
| TOTAL | | 487,750 | | | |

Morgan Stanley

# 1099 Consolidated Tax Statement
## Tax Year 2013 Copy B For Recipient

ROBERT AROGETI & JOEL AROGETI
TTEES THE ARGO FAMILY TRUST
U/A DTD 09/23/93
FIVE CONCOURSE PARKWAY SUTIE 1000
ATLANTA GA 30328-6132

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 58-2069120
Account Number: 556 230075 037

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

**OMB NO. 1545-0715**

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Short Term - Covered Securities
(Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| C R BARD INC NJ | CUSIP: 067383109 | | Symbol (Box 1d): BCR | | | | | |
| | 0 507 | 02/06/12 | 02/01/13 | $50 00 | $47 23 | $0 00 | $2 77 | $0 00 |
| | 0 466 | 05/11/12 | 02/01/13 | $45.96 | $47.33 | $1 37 | ($1 37) | $0.00 |
| | 0 427 | 08/03/12 | 02/01/13 | $42 10 | $41.22 | $0 00 | $0 88 | $0.00 |
| Security Subtotal | 1.400 | | | $138.06 | $135.78 | $1.37 | $2.28 | $0.00 |
| KONINKLIJKE PHIL EL SP ADR NEW | CUSIP: 500472303 | | Symbol (Box 1d): PHG | | | | | |
| | 0 232 | 06/05/13 | 06/05/13 | $6 61 | $6 53 | $0 00 | $0 08 | $0 00 |
| LUFKIN IND | CUSIP: 549764108 | | Symbol (Box 1d): | | | | | |
| | 500 000 | 09/06/12 | 02/01/13 | $29.067 79 | $26.502 73 | $0 00 | $2,565 06 | $0 00 |
| NOVARTIS AG ADR | CUSIP: 66987V109 | | Symbol (Box 1d): NVS | | | | | |
| | 300 000 | 03/22/13 | 12/17/13 | $22.629 51 | $21 531 26 | $0 00 | $1.098 25 | $0 00 |
| TEVA PHARMACEUTICALS ADR | CUSIP: 881624209 | | Symbol (Box 1d): TEVA | | | | | |
| | 7 103 | 09/04/12 | 08/07/13 | $271.67 | $281 90 | $0 00 | ($10 23) | $0 00 |
| | 6 760 | 12/03/12 | 08/07/13 | $258 55 | $275 37 | $0 00 | ($16 82) | $0 00 |
| | 9 420 | 03/07/13 | 08/07/13 | $360 29 | $364 88 | $0 00 | ($4 59) | $0 00 |
| | 6 271 | 06/03/13 | 08/07/13 | $239 83 | $241.73 | $0.00 | ($1 90) | $0 00 |
| | 0 693 | 06/03/13 | 08/09/13 | $26 81 | $26.71 | $0.00 | $0.10 | $0 00 |
| Security Subtotal | 30.247 | | | $1.157.15 | $1.190.59 | $0.00 | ($33.44) | $0.00 |
| Total Short Term Covered Securities | | | | $52,999.12 | $49,366.89 | $1.37 | $3,632.23 | $0.00 |

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley

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**Tax Year 2013 Copy B For Recipient**

ROBERT AROGETI & JOEL AROGETI
TTEES THE ARGO FAMILY TRUST
U/A DTD 09/23/93
FIVE CONCOURSE PARKWAY SUTIE 1000
ATLANTA GA 30328-6132

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 58-2069120
Account Number: 556 230075 037

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Long Term - Covered Securities
(Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CHESAPEAKE ENERGY CORP | CUSIP: 165167107 | | Symbol (Box 1d): CHK | | | | | |
| | 1,000 000 | 10/10/11 | 07/19/13 | $22,253.91 | $26,793.50 | $0.00 | ($4,539 59) | $0 00 |
| FORD MOTOR CO NEW | CUSIP: 345370860 | | Symbol (Box 1d): F | | | | | |
| | 1,500 000 | 01/25/12 | 02/28/13 | $18,960 09 | $19,420 50 | $0.00 | ($460 41) | $0 00 |
| TEVA PHARMACEUTICALS ADR | CUSIP: 881624209 | | Symbol (Box 1d): TEVA | | | | | |
| | 5 958 | 03/14/12 | 08/07/13 | $227.88 | $264 38 | $0 00 | ($36 50) | $0 00 |
| | 6 900 | 06/04/12 | 08/07/13 | $263.91 | $263.96 | $0 00 | ($0.05) | $0.00 |
| Security Subtotal | 12.858 | | | $491.79 | $528.34 | $0.00 | ($36.55) | $0.00 |
| **Total Long Term Covered Securities** | | | | **$41,705.79** | **$46,742.34** | **$0.00** | **($5,036.55)** | **$0.00** |

Morgan Stanley

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| C R BARD INC NJ | CUSIP: 067383109 | | Symbol (Box 1d): BCR | | | | | |
| | 189 559 | | 02/01/13 | $18,693 87 | NOT ON FILE | | | $0 00 |
| | 0 959 | 02/07/00 | 02/01/13 | $94.57 | $21.85 | $0.00 | $72.72 | $0 00 |
| | 0 990 | 05/15/00 | 02/01/13 | $97.63 | $21 94 | $0 00 | $75 69 | $0 00 |
| | 0 918 | 08/07/00 | 02/01/13 | $90 53 | $23.14 | $0 00 | $67 39 | $0 00 |
| | 1 074 | 11/06/00 | 02/01/13 | $1C5 92 | $23 23 | $0 00 | $82.69 | $0 00 |
| | 1 022 | 02/05/01 | 02/01/13 | $100.79 | $23 34 | $0.00 | $77 45 | $0 00 |
| | 1 068 | 05/14/01 | 02/01/13 | $105.32 | $23.46 | $0 00 | $81 86 | $0.00 |
| | 0 798 | 08/06/01 | 02/01/13 | $78.70 | $23 57 | $0.00 | $55 13 | $0.00 |
| | 0 824 | 11/05/01 | 02/01/13 | $81.26 | $23 65 | $0 00 | $57.61 | $0.00 |
| | 0 966 | 02/04/02 | 02/01/13 | $95.26 | $23 74 | $0 00 | $71 52 | $0 00 |
| | 0 881 | 05/13/02 | 02/01/13 | $86.88 | $23 85 | $0 00 | $63 03 | $0 00 |
| | 0 939 | 08/05/02 | 02/01/13 | $92.60 | $25 06 | $0 00 | $67.54 | $0 00 |
| | 0.879 | 11/04/02 | 02/01/13 | $86 68 | $25 18 | $0 00 | $61 50 | $0 00 |
| | 0 898 | 02/03/03 | 02/01/13 | $88.56 | $25 26 | $0 00 | $63 30 | $0 00 |
| | 0 802 | 05/12/03 | 02/01/13 | $79 09 | $25 36 | $0.00 | $53 73 | $0 00 |
| | 0.784 | 08/04/03 | 02/01/13 | $77.32 | $26 61 | $0.00 | $50.71 | $0 00 |
| | 0 669 | 11/03/03 | 02/01/13 | $65 98 | $26.71 | $0 00 | $39 27 | $0 00 |
| | 0 570 | 02/02/04 | 02/01/13 | $56.21 | $26 79 | $0.00 | $29 42 | $0 00 |
| | 0 499 | 05/17/04 | 02/01/13 | $49 21 | $26.85 | $0.00 | $22 36 | $0 00 |
| | 0 538 | 08/09/04 | 02/01/13 | $53 06 | $28 15 | $0.00 | $24 91 | $0 00 |
| | 0.483 | 11/08/04 | 02/01/13 | $47 63 | $28 21 | $0 00 | $19 42 | $0 00 |
| | 0 439 | 02/07/05 | 02/01/13 | $43.29 | $28 26 | $0 00 | $15.03 | $0 00 |
| | 0 405 | 05/16/05 | 02/01/13 | $39 94 | $28 32 | $0 00 | $11 62 | $0 00 |
| | 0 483 | 08/08/05 | 02/01/13 | $47 63 | $30 73 | $0 00 | $16 90 | $0 00 |

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Morgan Stanley

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked ) (Continued)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| C R BARD INC NJ | 0.487 | 11/07/05 | 02/01/13 | $48 03 | $30.79 | $0.00 | $17.24 | $0.00 |
| | 0.499 | 02/06/06 | 02/01/13 | $49.21 | $30.86 | $0.00 | $18.35 | $0.00 |
| | 0.407 | 05/15/06 | 02/01/13 | $40.14 | $30.92 | $0.00 | $9.22 | $0.00 |
| | 0.469 | 08/07/06 | 02/01/13 | $46.25 | $33.35 | $0.00 | $12.90 | $0.00 |
| | 0.410 | 11/06/06 | 02/01/13 | $40.43 | $33.42 | $0 00 | $7 01 | $0.00 |
| | 0.410 | 02/05/07 | 02/01/13 | $40.43 | $33 47 | $0.00 | $6.96 | $0.00 |
| | 0.407 | 05/14/07 | 02/01/13 | $40.14 | $33 53 | $0.00 | $6 61 | $0.00 |
| | 0.457 | 08/06/07 | 02/01/13 | $45 07 | $35 98 | $0.00 | $9 09 | $0 00 |
| | 0.452 | 11/05/07 | 02/01/13 | $44 58 | $36 05 | $0.00 | $8.53 | $0 00 |
| | 0.370 | 02/04/08 | 02/01/13 | $36.49 | $36.12 | $0.00 | $0 37 | $0.00 |
| | 0.394 | 05/12/08 | 02/01/13 | $38 86 | $36.18 | $0.00 | $2 68 | $0.00 |
| | 0 421 | 08/04/08 | 02/01/13 | $41 52 | $38.66 | $0 00 | $2.86 | $0.00 |
| | 0.450 | 11/03/08 | 02/01/13 | $44 38 | $38.73 | $0.00 | $5 65 | $0.00 |
| | 0 448 | 02/09/09 | 02/01/13 | $44 18 | $38 80 | $0.00 | $5.38 | $0.00 |
| | 0.531 | 05/11/09 | 02/01/13 | $52.37 | $38.87 | $0.00 | $13.50 | $0.00 |
| | 0.551 | 08/03/09 | 02/01/13 | $54.34 | $41.39 | $0.00 | $12 95 | $0.00 |
| | 0.527 | 11/09/09 | 02/01/13 | $51.97 | $41.49 | $0.00 | $10.48 | $0 00 |
| | 0.519 | 02/08/10 | 02/01/13 | $51.18 | $41.58 | $0.00 | $9.60 | $0 00 |
| | 0 500 | 05/17/10 | 02/01/13 | $49.31 | $41.66 | $0.00 | $7.65 | $0 00 |
| | 0 545 | 08/09/10 | 02/01/13 | $53 75 | $44.20 | $0.00 | $9.55 | $0.00 |
| | 0.521 | 11/08/10 | 02/01/13 | $51.38 | $44.30 | $0.00 | $7.08 | $0.00 |
| | 0 475 | 02/07/11 | 02/01/13 | $46 84 | $44.40 | $0.00 | $2 44 | $0 00 |
| | 0 407 | 05/16/11 | 02/01/13 | $40.14 | $44.48 | $0.00 | ($4.34) | $0 00 |
| | 0 527 | 08/08/11 | 02/01/13 | $51.97 | $47.03 | $0.00 | $4.94 | $0.00 |
| | 0.539 | 11/07/11 | 02/01/13 | $53.15 | $47.13 | $0.00 | $6 02 | $0.00 |

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Morgan Stanley

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked ) **(Continued)**

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| C R BARD INC NJ | 4 001 | 02/06/95 | 02/01/13 | $394.57 | $55 38 | $0.00 | $339 19 | $0 00 |
| | 3 849 | 05/15/95 | 02/01/13 | $379.58 | $55 68 | $0.00 | $323.90 | $0 00 |
| | 3 940 | 08/07/95 | 02/01/13 | $388.55 | $59 71 | $0.00 | $328 84 | $0 00 |
| | 1 145 | 11/06/95 | 02/01/13 | $112.92 | $16 07 | $0.00 | $96 85 | $0 00 |
| | 0.935 | 02/05/96 | 02/01/13 | $92 21 | $16 15 | $0.00 | $76.06 | $0 00 |
| | 0 936 | 05/13/96 | 02/01/13 | $92 31 | $16.23 | $0.00 | $76 08 | $0 00 |
| | 1 102 | 08/05/96 | 02/01/13 | $108 68 | $17.33 | $0 00 | $91.35 | $0 00 |
| | 1 242 | 11/04/96 | 02/01/13 | $122 48 | $17 43 | $0 00 | $105 05 | $0 00 |
| | 1 238 | 02/03/97 | 02/01/13 | $122.09 | $17 52 | $0 00 | $104 57 | $0.00 |
| | 1.113 | 05/12/97 | 02/01/13 | $109.76 | $17 63 | $0 00 | $92.13 | $0 00 |
| | 1 022 | 08/04/97 | 02/01/13 | $100 79 | $18 76 | $0 00 | $82 03 | $0 00 |
| | 1.353 | 11/03/97 | 02/01/13 | $133 43 | $18 86 | $0 00 | $114.57 | $0 00 |
| | 1.173 | 02/09/98 | 02/01/13 | $115 68 | $18.98 | $0 00 | $96 70 | $0.00 |
| | 1 111 | 05/11/98 | 02/01/13 | $109.56 | $19.09 | $0.00 | $90 47 | $0.00 |
| | 1.002 | 08/03/98 | 02/01/13 | $98 81 | $20.26 | $0 00 | $78 55 | $0 00 |
| | 0.927 | 11/09/98 | 02/01/13 | $91 42 | $20 36 | $0.00 | $71.06 | $0 00 |
| | 0 803 | 02/08/99 | 02/01/13 | $79 19 | $20 43 | $0.00 | $58 76 | $0 00 |
| | 0 852 | 05/17/99 | 02/01/13 | $84.02 | $20.51 | $0 00 | $63 51 | $0 00 |
| | 0.913 | 08/11/99 | 02/01/13 | $90.04 | $21.67 | $0.00 | $68.37 | $0 00 |
| | 0.773 | 11/08/99 | 02/01/13 | $76.23 | $21.76 | $0.00 | $54.47 | $0.00 |
| Security Subtotal | 248.600 | | | $24,516.36 | $2,036.46 | $0.00 | $3,786.03 | $0.00 |
| CITIGROUP INC NEW | CUSIP: 172967424 | Symbol (Box 1d): C | | | | | | |
| | 180 000 | 09/02/98 | 10/22/13 | $9,087 74 | $37,867 64 | $0 00 | ($28,779 90) | $0.00 |
| TEVA PHARMACEUTICALS ADR | CUSIP: 881624209 | Symbol (Box 1d): TEVA | | | | | | |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



048265 MSGKDD05 024773

Morgan Stanley

**1099 Consolidated Tax Statement**
**Tax Year 2013 Copy B For Recipient**

ROBERT AROGETI & JOEL AROGETI
TTEES THE ARGO FAMILY TRUST
U/A DTD 09/23/93
FIVE CONCOURSE PARKWAY SUTIE 1000
ATLANTA GA 30328-6132

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 58-2069120
Account Number: 556 230075 037

**Customer Service: 866-324-6088**

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )
(Continued)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| TEVA PHARMACEUTICALS ADR | 376 000 | 08/19/04 | 03/22/13 | $14,810.37 | $10,552.07 | $0.00 | $4,258 30 | $0.00 |
| | 424.000 | 08/19/04 | 08/07/13 | $16,216.80 | $11,899.14 | $0.00 | $4,317.66 | $0 00 |
| | 500.000 | 12/18/06 | 08/07/13 | $19,123.59 | $16,072.69 | $0.00 | $3,050.90 | $0.00 |
| | 1.086 | 03/16/07 | 08/07/13 | $41.54 | $39 33 | $0.00 | $2.21 | $0 00 |
| | 0.912 | 09/07/07 | 08/07/13 | $34 88 | $40.15 | $0.00 | ($5.27) | $0 00 |
| | 0.958 | 11/29/07 | 08/07/13 | $36.64 | $42.17 | $0 00 | ($5.53) | $0.00 |
| | 1.102 | 03/14/08 | 08/07/13 | $42.15 | $51.16 | $0.00 | ($9.01) | $0 00 |
| | 1 239 | 06/06/08 | 08/07/13 | $47.39 | $56.72 | $0.00 | ($9.33) | $0.00 |
| | 1 108 | 08/28/08 | 08/07/13 | $42.38 | $52 66 | $0 00 | ($10.28) | $0.00 |
| | 1.081 | 12/08/08 | 08/07/13 | $41.35 | $47 14 | $0.00 | ($5.79) | $0.00 |
| | 1.291 | 03/20/09 | 08/07/13 | $49.38 | $56.83 | $0.00 | ($7.45) | $0.00 |
| | 1 306 | 06/04/09 | 08/07/13 | $49.95 | $61.18 | $0.00 | ($11.23) | $0.00 |
| | 1 233 | 08/28/09 | 08/07/13 | $47.16 | $63.66 | $0.00 | ($16.50) | $0.00 |
| | 1.194 | 12/07/09 | 08/07/13 | $45.67 | $64.14 | $0.00 | ($18 47) | $0.00 |
| | 1.345 | 03/18/10 | 08/07/13 | $51.44 | $84 07 | $0.00 | ($32 63) | $0 00 |
| | 1 581 | 06/07/10 | 08/07/13 | $60.47 | $83.84 | $0 00 | ($23 37) | $0.00 |
| | 1.557 | 08/27/10 | 08/07/13 | $59 55 | $77.85 | $0.00 | ($18 30) | $0 00 |
| | 5.772 | 03/08/11 | 08/07/13 | $220.76 | $285.54 | $0.00 | ($64.78) | $0 00 |
| | 5.312 | 06/08/11 | 08/07/13 | $203.17 | $264.45 | $0.00 | ($61.28) | $0 00 |
| | 6.755 | 08/26/11 | 08/07/13 | $258.36 | $260.71 | $0.00 | ($2.35) | $0.00 |
| | 5.756 | 12/08/11 | 08/07/13 | $220.15 | $229.46 | $0.00 | ($9.31) | $0.00 |

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Morgan Stanley

**1099 Consolidated Tax Statement**
**Tax Year 2013 Copy B For Recipient**

ROBERT AROGETI & JOEL AROGETI
TTEES THE ARGO FAMILY TRUST
U/A DTD 09/23/93
FIVE CONCOURSE PARKWAY SUTIE 1000
ATLANTA GA 30328-6132

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 58-2069120
Account Number: 556 230075 037

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

OMB NO. 1545-0715

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

### Long Term - Noncovered Securities # (Continued)

(Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| Security Subtotal | 1,340.588 | | | $51,703.15 | $40,384.96 | $0.00 | $11,318.19 | $0.00 |
| Total Long Term Noncovered Securities | | | | $85,307.25 | $80,289.06 | $0.00 | ($13,675.68) | $0.00 |
| Total Long Term Covered and Noncovered Securities | | | | $127,013.04 | $127,031.40 | $0.00 | ($18,712.23) | $0.00 |
| Total Short and Long Term, Covered and Noncovered Securities | | | | $180,012.16 | $176,398.29 | $1.37 | ($15,080.00) | $0.00 |

### Form 1099-B Total Reportable Amounts

| | | | | |
|---|---|---|---|---|
| Total Sales Price (Box 2a) | $180,012.16 | | | |
| Total Cost or Other Basis (Box 3) | | $96,109.23 | | |
| Total Wash Sale Loss Disallowed (Box 5) | | | $1.37 | |
| Total Fed Tax Withheld (Box 4) | | | | $0.00 |

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

# 2013 Tax Information Statement



**Payer's Name and Address:**
DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-2735
NEW YORK, NY 10005

**Account Number:** 651232
**Recipient's Tax ID Number:** 58-2069120
**Payer's Federal ID Number:** 13-4941247
**Payer's State ID Number:**
**State:** GA
**Questions?** (212)250-0949

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
THE ARGO FAMILY FUND
HABIF AROGETI & WYNNE LLP
ATTN: ROBERT AROGETI
FIVE CONCOURSE PARKWAY SUITE 1000
ATLANTA GA 30328

## 2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| **Short Term Sales Reported on 1099-B** | | | | | | | | |
| 40415H826 | HC CAPITAL TR INST SMALL CAP EQUITY PORTFOLIO | | 40415H826 | | | | | |
| 64 8930 | 03/04/2013 | 01/23/2013 | 1,000.00 | 979.88 | 0.00 | 20.12 | 0.00 | 0 00 |
| 40415H826 | HC CAPITAL TR INST SMALL CAP EQUITY PORTFOLIO | | 40415H826 | | | | | |
| 959.3760 | 03/28/2013 | Various | 15,436 36 | 14,463 78 | 0.00 | 972 58 | 0 00 | 0 00 |
| 40415H826 | HC CAPITAL TR INST SMALL CAP EQUITY PORTFOLIO | | 40415H826 | | | | | |
| 1.9470 | 09/13/2013 | Various | 36.02 | 35 69 | 0 00 | 0.33 | 0.00 | 0 00 |
| 40415H842 | HC CAPITAL TRUST INST INTL EQUITY PORT | | 40415H842 | | | | | |
| 1357.4660 | 03/28/2013 | Various | 15,000.00 | 14,689.92 | 0 00 | 310 08 | 0.00 | 0 00 |
| 40415H842 | HC CAPITAL TRUST INST INTL EQUITY PORT | | 40415H842 | | | | | |
| 847.4580 | 05/22/2013 | 01/23/2013 | 10,000 00 | 9,152 55 | 0 00 | 847 45 | 0 00 | 0.00 |
| 40415H842 | HC CAPITAL TRUST INST INTL EQUITY PORT | | 40415H842 | | | | | |
| 1075.0420 | 09/13/2013 | 06/11/2013 | 12,750.00 | 12,244.73 | 0.00 | 505 27 | 0 00 | 0 00 |
| 40415H842 | HC CAPITAL TRUST INST INTL EQUITY PORT | | 40415H842 | | | | | |
| 5391.3740 | 11/25/2013 | Various | 67,500 00 | 61,119 73 | 0 00 | 6,380 27 | 0.00 | 0.00 |
| 40415H867 | HC CAPITAL TRUST INSTL GRWTH EQUITY PORTFOLIO | | 40415H867 | | | | | |
| 448 8950 | 03/04/2013 | 01/23/2013 | 6,500.00 | 6,369 82 | 0 00 | 130.18 | 0 00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-2735
NEW YORK, NY 10005

**Account Number:** 651232
**Recipient's Tax ID Number:** 58-2069120
**Payer's Federal ID Number:** 13-4941247
**Payer's State ID Number:**
**State:** GA
**Questions?** (212)250-0949

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
THE ARGO FAMILY FUND
HABIF AROGETI & WYNNE LLP
ATTN: ROBERT AROGETI
FIVE CONCOURSE PARKWAY SUITE 1000
ATLANTA, GA 30328

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| 40415H867 | HC CAPITAL TRUST INSTL GRWTH EQUITY PORTFOLIO | | 40415H867 | | | | | |
| 1013.5140 | 03/28/2013 | Various | 15,000.00 | 14,420.21 | 0.00 | 579.79 | 0.00 | 0 00 |
| 40415H867 | HC CAPITAL TRUST INSTL GRWTH EQUITY PORTFOLIO | | 40415H867 | | | | | |
| 751.6340 | 06/11/2013 | 01/23/2013 | 11,500.00 | 10,665 69 | 0 00 | 834.31 | 0 00 | 0 00 |
| 40415H867 | HC CAPITAL TRUST INSTL GRWTH EQUITY PORTFOLIO | | 40415H867 | | | | | |
| 547 0140 | 11/25/2013 | Various | 9,250.00 | 8,133 96 | 0.00 | 1,116.04 | 0 00 | 0 00 |
| 40415H792 | HC CAPITAL TRUST INSTL VALUE EQUITY FD | | 40415H792 | | | | | |
| 469 8970 | 03/04/2013 | Various | 6,400 00 | 6,247.79 | 0.00 | 152.21 | 0.00 | 0.00 |
| 40415H792 | HC CAPITAL TRUST INSTL VALUE EQUITY FD | | 40415H792 | | | | | |
| 1061.5710 | 03/28/2013 | Various | 15,000 00 | 14,204 46 | 0 00 | 795.54 | 0 00 | 0 00 |
| 40415H792 | HC CAPITAL TRUST INSTL VALUE EQUITY FD | | 40415H792 | | | | | |
| 2013 4230 | 05/22/2013 | 01/23/2013 | 30,000 00 | 26,718.12 | 0 00 | 3,281.88 | 0 00 | 0 00 |
| 40415H792 | HC CAPITAL TRUST INSTL VALUE EQUITY FD | | 40415H792 | | | | | |
| 1334 9440 | 06/11/2013 | 01/23/2013 | 19,690 42 | 17,714 71 | 0 00 | 1,975 71 | 0.00 | 0 00 |
| 40415H792 | HC CAPITAL TRUST INSTL VALUE EQUITY FD | | 40415H792 | | | | | |
| 332 1730 | 09/13/2013 | Various | 5,072 28 | 4,978 16 | 0 00 | 94 12 | 0.00 | 0.00 |
| **Total Short Term Sales Reported on 1099-B** | | | **240,135.08** | **222,139.20** | **0.00** | **17,995.88** | **0.00** | **0.00** |

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# 2013 Tax Information Statement



Account Number: 651232
Recipient's Tax ID Number: 58-2069120
Payer's Federal ID Number: 13-4941247
Payer's State ID Number:
State: GA
Questions? (212)250-0949
☐ Corrected ☐ 2nd TIN notice

**Recipient's Name and Address:**
THE ARGO FAMILY FUND
HABIF AROGETI & WYNNE LLP
ATTN: ROBERT AROGETI
FIVE CONCOURSE PARKWAY SUITE 1000
ATLANTA, GA 30328

**Payer's Name and Address:**
DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-2735
NEW YORK, NY 10005

## 2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
**For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| **Long Term Sales Reported on 1099-B** | | | | | | | | |
| 40415H826 | HC CAPITAL TR INST SMALL CAP EQUITY PORTFOLIO | | 40415H826 | | | | | |
| 283.6320 | 03/28/2013 | 08/15/2008 | 4,563 64 | 3,719 20 | 0 00 | 844 44 | 0.00 | 0 00 |
| 40415H826 | HC CAPITAL TR INST SMALL CAP EQUITY PORTFOLIO | | 40415H826 | | | | | |
| 323 5290 | 06/11/2013 | 08/15/2008 | 5,500 00 | 4,242 35 | 0.00 | 1,257 65 | 0.00 | 0.00 |
| 40415H826 | HC CAPITAL TR INST SMALL CAP EQUITY PORTFOLIO | | 40415H826 | | | | | |
| 376.4310 | 09/13/2013 | 08/15/2008 | 6,963.98 | 4,936.05 | 0.00 | 2,027 93 | 0 00 | 0 00 |
| 40415H883 | HC CAPITAL TRUST GROWTH PORTFOLIO | | 40415H883 | | | | | |
| 16048.7300 | 01/23/2013 | Various | 258,705.53 | 132,270.97 | 0.00 | 126,434 56 | 0 00 | 0 00 |
| 40415H792 | HC CAPITAL TRUST INSTL VALUE EQUITY FD | | 40415H792 | | | | | |
| 4427.7680 | 06/11/2013 | 07/18/2008 | 65,309.58 | 57,653 97 | 0.00 | 7,655.61 | 0 00 | 0 00 |
| 40415H792 | HC CAPITAL TRUST INSTL VALUE EQUITY FD | | 40415H792 | | | | | |
| 3597.1000 | 09/13/2013 | 07/18/2008 | 54,927.72 | 46,837 84 | 0 00 | 8,089 88 | 0 00 | 0.00 |
| 40415H792 | HC CAPITAL TRUST INSTL VALUE EQUITY FD | | 40415H792 | | | | | |
| 237.3860 | 11/25/2013 | 07/18/2008 | 3,848.03 | 3,091.00 | 0 00 | 757.03 | 0.00 | 0.00 |

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# 2013 Tax Information Statement



**Payer's Name and Address:**
DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-2735
NEW YORK, NY 10005

| | |
|---|---|
| **Account Number:** | **651232** |
| **Recipient's Tax ID Number:** | **58-2069120** |
| **Payer's Federal ID Number:** | **13-4941247** |
| **Payer's State ID Number:** | |
| **State:** | **GA** |
| **Questions?** | **(212)250-0949** |

☐ **Corrected** ☐ **2nd TIN notice**

**Recipient's Name and Address:**
THE ARGO FAMILY FUND
HABIF AROGETI & WYNNE LLP
ATTN: ROBERT AROGETI
FIVE CONCOURSE PARKWAY SUITE 1000
ATLANTA, GA 30328

**For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.**

| Cusip | Description *(Box 8)* | | Stock or Other Symbol *(Box 1d)* | | | | | |
|---|---|---|---|---|---|---|---|---|
| Quantity Sold | Date of Sale or Exchange | Date of Acquisition | Stocks Bonds, etc. | Cost or Other Basis | Wash Sale Loss Disallowed | Net Gain or Loss | Federal Income Tax Withheld | State Tax Withheld |
| *(Box 1e)* | *(Box 1a)* | | *(Box 2a)* | | | | *(Box 4)* | *(Box 15)* |
| 40415H685 | HC CAPITAL TRUST SMALL CAP EQUITY PORTFOLIO | | 40415H685 | | | | | |
| 3414 5480 | 01/23/2013 | Various | 52,447.46 | 25,084.54 | 0.00 | 27,362.92 | 0.00 | 0.00 |
| **Total Long Term Sales Reported on 1099-B** | | | **452,265.94** | **277,835.92** | **0.00** | **174,430.02** | **0.00** | **0.00** |

**Report on Form 8949, Part II, with Box E checked**

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## Proceeds From Broker Transactions

We have adjusted tax costs for amortization of premium paid for the acquisition of municipal bonds through date of sale and for non-taxable dividends. An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. However, we have not made adjustments to the cost basis of all partnerships.

If applicable, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment. Rather, under IRC Section 988, they are either ordinary income or loss. However, we have not made adjustments to the cost basis of all partnerships.

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| ROCKWELL INTL CORP NEW | ROK | 773903109 | 5 | 03/25/13 | 03/27/13 | $420.21 | $430.50 | $-10.29 |
| NOBLE GROUP LTD | NOBL SP | B01CLC3#6 | 474 | 03/26/13 | 03/28/13 | $462.23 | $452.96 | $9.27 |
| ROCKWELL INTL CORP NEW | ROK | 773903109 | 5 | 03/25/13 | 04/03/13 | $421.26 | $430.50 | $-9.24 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 3 | 03/26/13 | 04/04/13 | $1,081.19 | $961.25 | $119.94 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 3 | 03/26/13 | 04/08/13 | $1,079.16 | $961.25 | $117.91 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 13 | 03/25/13 | 04/09/13 | $711 30 | $708.69 | $2.61 |
| MASTERCARD INC CL A | MA | 57636Q104 | 1 | 03/25/13 | 04/09/13 | $524.87 | $520.28 | $4.59 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 2 | 03/26/13 | 04/10/13 | $670.21 | $640.83 | $29.38 |
| FANUC | 6954 JP | 6356934#8 | 4 | 03/26/13 | 04/10/13 | $611.28 | $623.36 | $-12.08 |
| JARDINE STRATEGIC HLDGS LTD | JS SP | 6472960#0 | 18 | 03/26/13 | 04/10/13 | $681.81 | $715.15 | $-33.34 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 16 | 03/25/13 | 04/11/13 | $878.20 | $872.23 | $5.97 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 12 | 03/25/13 | 04/12/13 | $659.78 | $654.18 | $5.60 |
| FANUC | 6954 JP | 6356934#8 | 2 | 03/26/13 | 04/15/13 | $312.35 | $311.68 | $0.67 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 3 | 03/26/13 | 04/15/13 | $1,024.73 | $961.25 | $63.48 |
| SHINSEI BK LTD | 8303 JP | 6730936#2 | 197 | 03/26/13 | 04/15/13 | $530.78 | $465.39 | $65.39 |
| GOOGLE INC CL A | GOOG | 38259P508 | 1 | 03/25/13 | 04/16/13 | $792.30 | $812.44 | $-20.14 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 8 | 03/25/13 | 04/16/13 | $435.78 | $436 12 | $-0.34 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 2 | 03/25/13 | 04/16/13 | $433.97 | $345.43 | $88.54 |

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 1 | 03/26/13 | 04/17/13 | $347 14 | $320.42 | $26.72 |
| SHINSEI BK LTD | 8303 JP | 6730936#2 | 169 | 03/26/13 | 04/17/13 | $446.65 | $399.25 | $47.40 |
| ROCKWELL INTL CORP NEW | ROK | 773903109 | 6 | 03/25/13 | 04/18/13 | $496.47 | $516 60 | $-20.13 |
| NOBLE GROUP LTD | NOBL SP | B01CLC3#6 | 497 | 03/26/13 | 04/19/13 | $450 66 | $474.93 | $-24.27 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 2 | 03/26/13 | 04/19/13 | $682.08 | $640.83 | $41 25 |
| FANUC | 6954 JP | 6356934#8 | 3 | 03/26/13 | 04/19/13 | $457.14 | $467 52 | $-10.38 |
| ROCKWELL INTL CORP NEW | ROK | 773903109 | 5 | 03/25/13 | 04/23/13 | $422.25 | $430 50 | $-8.25 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 2 | 03/26/13 | 04/24/13 | $677.15 | $640.83 | $36 32 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 1 | 03/26/13 | 04/30/13 | $364.85 | $320.42 | $44.43 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 2 | 03/25/13 | 05/01/13 | $486.51 | $345.43 | $141.08 |
| ROCKWELL INTL CORP NEW | ROK | 773903109 | 5 | 03/25/13 | 05/01/13 | $416.19 | $430.50 | $-14.31 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 2 | 03/26/13 | 05/02/13 | $731.39 | $640 83 | $90.56 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 2 | 03/25/13 | 05/07/13 | $523.11 | $345 43 | $177.68 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 2 | 03/26/13 | 05/08/13 | $733 96 | $640 83 | $93.13 |
| MASTERCARD INC CL A | MA | 57636Q104 | 1 | 03/25/13 | 05/09/13 | $554.67 | $520 28 | $34.39 |
| VALEANT PHARMACEUTICALS INTL I | VRX | 91911K102 | 7 | 03/25/13 | 05/09/13 | $515.50 | $519.80 | $-4 30 |
| ROCKWELL INTL CORP NEW | ROK | 773903109 | 5 | 03/25/13 | 05/09/13 | $439.99 | $430 50 | $9.49 |
| SHINSEI BK LTD | 8303 JP | 6730936#2 | 173 | 03/26/13 | 05/10/13 | $458.42 | $408.70 | $49.72 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 1 | 03/26/13 | 05/10/13 | $366.17 | $320 42 | $45 75 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 1 | 03/26/13 | 05/27/13 | $352.88 | $320.42 | $32.46 |
| VALEANT PHARMACEUTICALS INTL I | VRX | 91911K102 | 11 | 03/25/13 | 06/05/13 | $926 99 | $816.83 | $110.16 |
| VALEANT PHARMACEUTICALS INTL I | VRX | 91911K102 | 11 | 06/12/13 | 06/20/13 | $928.57 | $936.85 | $-8.28 |
| LEUCADIA NATIONAL CORP | LUK | 527288104 | 50 | 06/12/13 | 06/24/13 | $1,243.07 | $1,430 22 | $-187.15 |
| MONSANTO CO NEW | MON | 61166W101 | 13 | 06/12/13 | 06/24/13 | $1,315.92 | $1,368 32 | $-52.40 |
| VALEANT PHARMACEUTICALS INTL I | VRX | 91911K102 | 12 | 06/12/13 | 06/24/13 | $1,006.22 | $1,022.02 | $-15.80 |
| MONSANTO CO NEW | MON | 61166W101 | 7 | 06/12/13 | 06/26/13 | $707.98 | $736 79 | $-28 81 |
| • NOBLE GROUP LTD | NOBL SP | B01CLC3#6 | 624 | 03/06/13 | 06/27/13 | $480.79 | $630.81 | $-150.02 |
| MONSANTO CO NEW | MON | 61166W101 | 9 | 06/12/13 | 07/01/13 | $878.84 | $947.29 | $-68 45 |

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| MONSANTO CO NEW | MON | 61166W101 | 7 | 06/12/13 | 07/02/13 | $683.99 | $736.79 | $-52.80 |
| QUESTCOR PHARMACEUTICALS INC | QCOR | 74835Y101 | 6 | 07/02/13 | 08/20/13 | $393.60 | $278.01 | $115.59 |
| QUESTCOR PHARMACEUTICALS INC | QCOR | 74835Y101 | 7 | 07/01/13 | 08/20/13 | $459.19 | $321.95 | $137 24 |
| QUESTCOR PHARMACEUTICALS INC | QCOR | 74835Y101 | 4 | 06/12/13 | 08/20/13 | $262.40 | $174.30 | $88.10 |
| VALEANT PHARMACEUTICALS INTL I | VRX | 91911K102 | 10 | 06/12/13 | 08/20/13 | $1,016.73 | $851.69 | $165.04 |
| VALEANT PHARMACEUTICALS INTL I | VRX | 91911K102 | 11 | 06/12/13 | 08/22/13 | $1,092.10 | $936.85 | $155.25 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 97 | 03/25/13 | 09/09/13 | $1,245.74 | $1,597.47 | $-351.73 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 12 | 03/25/13 | 09/10/13 | $155.69 | $197.63 | $-41.94 |
| QUESTCOR PHARMACEUTICALS INC | QCOR | 74835Y101 | 50 | 06/12/13 | 09/11/13 | $3,215.79 | $2,178.77 | $1,037.02 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 2 | 03/25/13 | 09/11/13 | $26.22 | $32.94 | $-6.72 |
| QUESTCOR PHARMACEUTICALS INC | QCOR | 74835Y101 | 5 | 03/25/13 | 09/12/13 | $319.51 | $165.78 | $153.73 |
| QUESTCOR PHARMACEUTICALS INC | QCOR | 74835Y101 | 48 | 06/12/13 | 09/12/13 | $3,067.34 | $2,091.61 | $975.73 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 44 | 03/25/13 | 09/12/13 | $566.27 | $724.63 | $-158.36 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 179 | 03/25/13 | 09/12/13 | $2,300.63 | $2,947.91 | $-647.28 |
| OLAM INTL LTD | OLAM SP | B05Q3L4#0 | 2394 | 03/26/13 | 09/12/13 | $2,740.84 | $3,330.12 | $-589.28 |
| FAST RETAILING CO LTD | 9983 JP | 6332439#9 | 7 | 06/13/13 | 09/12/13 | $2,458.22 | $2,251.94 | $206.28 |
| OLAM INTL LTD | OLAM SP | B05Q3L4#0 | 745 | 06/13/13 | 09/13/13 | $847.57 | $987 53 | $-139 96 |
| OLAM INTL LTD | OLAM SP | B05Q3L4#0 | 779 | 03/26/13 | 09/13/13 | $886.26 | $1,083 61 | $-197.35 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 21 | 03/25/13 | 09/16/13 | $272.79 | $345.84 | $-73 05 |
| OLAM INTL LTD | OLAM SP | B05Q3L4#0 | 258 | 06/13/13 | 09/16/13 | $297.93 | $341.99 | $-44.06 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 87 | 03/25/13 | 09/16/13 | $1,128.48 | $1,432.79 | $-304 31 |
| OLAM INTL LTD | OLAM SP | B05Q3L4#0 | 469 | 06/13/13 | 09/17/13 | $539.57 | $621.69 | $-82.12 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 15 | 03/25/13 | 09/17/13 | $195 32 | $247.03 | $-51.71 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 13 | 03/25/13 | 09/17/13 | $169.26 | $214.09 | $-44.83 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 64 | 03/25/13 | 09/18/13 | $838.10 | $1,054.01 | $-215.91 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 6 | 06/12/13 | 09/18/13 | $78 57 | $89.64 | $-11.07 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 15 | 06/12/13 | 09/24/13 | $189 20 | $224 09 | $-34.89 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 9 | 06/12/13 | 09/25/13 | $113.35 | $134.45 | $-21.10 |

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | | Net gain or loss |
|---|---|---|---|---|---|---|---|---|---|
| DOMINION DIAMOND CORP | DDC | 257287102 | 16 | 06/12/13 | 09/26/13 | $200.40 | $239.03 | | $-38 63 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 35 | 06/12/13 | 09/30/13 | $426.30 | $522.88 | | $-96.58 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 101 | 06/12/13 | 10/01/13 | $1,219.43 | $1,508 87 | | $-289.44 |
| DOMINION DIAMOND CORP | DDC | 257287102 | 66 | 06/12/13 | 10/02/13 | $804.92 | $985.99 | | $-181.07 |
| SYMRISE AG | SY1 GR | B1JB4K8#3 | 16 | 09/19/13 | 10/08/13 | $688.45 | $724.57 | | $-36 12 |
| SYMRISE AG | SY1 GR | B1JB4K8#3 | 17 | 10/02/13 | 10/08/13 | $731.48 | $749 06 | | $-17.58 |
| SYMRISE AG | SY1 GR | B1JB4K8#3 | 10 | 09/27/13 | 10/08/13 | $430.28 | $435 61 | | $-5.33 |
| SYMRISE AG | SY1 GR | B1JB4K8#3 | 14 | 06/12/13 | 10/08/13 | $602.40 | $570.81 | | $31.59 |
| SYMRISE AG | SY1 GR | B1JB4K8#3 | 35 | 06/12/13 | 10/09/13 | $1,477 43 | $1,427 01 | | $50.42 |
| SYMRISE AG | SY1 GR | B1JB4K8#3 | 106 | 03/25/13 | 10/09/13 | $4,474.49 | $4,172.25 | | $302 24 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 36 | 10/02/13 | 10/16/13 | $2,510.93 | $2,401 00 | | $109.93 |
| RIIJ INTL | RHJI BB | B06S4F0#1 | 3 | 10/02/13 | 10/28/13 | $16.07 | $15.99 | | $0.08 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 3 | 09/16/13 | 10/28/13 | $16.07 | $15 88 | | $0.19 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 3 | 10/09/13 | 10/28/13 | $16.06 | $15 88 | | $0.18 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 12 | 10/02/13 | 10/28/13 | $850.84 | $800.34 | | $50.50 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 31 | 09/27/13 | 10/28/13 | $2,198.00 | $2,056 49 | * | $141 51 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 13 | 09/19/13 | 10/28/13 | $921 74 | $850.53 | * | $71.21 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 4 | 10/09/13 | 10/29/13 | $21.41 | $21 17 | | $0.24 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 22 | 09/19/13 | 10/29/13 | $1,558 66 | $1,439 35 | * | $119.31 |
| VALEANT PHARMACEUTICALS INTL I | VRX | 91911K102 | 19 | 10/02/13 | 10/29/13 | $2,113.46 | $2,044 67 | | $68 79 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 10 | 10/09/13 | 10/30/13 | $52.99 | $52.94 | | $0.05 |
| VALEANT PHARMACEUTICALS INTL I | VRX | 91911K102 | 2 | 10/02/13 | 10/30/13 | $217 54 | $215.23 | | $2.31 |
| VALEANT PHARMACEUTICALS INTL I | VRX | 91911K102 | 7 | 10/09/13 | 10/30/13 | $761.39 | $738.04 | | $23.35 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 1 | 10/09/13 | 10/31/13 | $5.20 | $5.29 | | $-0.09 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 9 | 09/20/13 | 10/31/13 | $46.79 | $47.02 | | $-0.23 |
| ROCHE HLDGS AG-GENUSSCHEIN | ROG VX | 7110388#9 | 3 | 09/27/13 | 10/31/13 | $823.76 | $810.56 | | $13.20 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 3 | 09/20/13 | 11/01/13 | $15.61 | $15 67 | | $-0.06 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 19 | 10/09/13 | 11/04/13 | $1,330 64 | $1,225.28 | | $105.36 |

## Short term transactions

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | | Net gain or loss |
|---|---|---|---|---|---|---|---|---|---|
| LAS VEGAS SANDS CORP | LVS | 517834107 | 12 | 09/19/13 | 11/04/13 | $840.41 | $785.10 | * | $55.31 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 6 | 09/04/13 | 11/11/13 | $30.70 | $31.25 | | $-0 55 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 1 | 09/19/13 | 11/11/13 | $5.12 | $5.21 | | $-0 09 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 6 | 09/20/13 | 11/11/13 | $30.70 | $31.34 | | $-0.64 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 5 | 09/04/13 | 11/18/13 | $25.51 | $26 04 | | $-0.53 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 2 | 10/02/13 | 11/18/13 | $562.15 | $627.26 | | $-65 11 |
| ALEXION PHARMACEUTICALS INC | ALXN | 015351109 | 3 | 10/02/13 | 11/18/13 | $369.29 | $351.34 | | $17.95 |
| ALEXION PHARMACEUTICALS INC | ALXN | 015351109 | 6 | 10/31/13 | 11/18/13 | $738.59 | $749.96 | | $-11.37 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 2 | 09/04/13 | 11/22/13 | $9.91 | $10.41 | | $-0.50 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 3 | 03/25/13 | 11/22/13 | $14 86 | $15.57 | | $-0.71 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 2 | 03/25/13 | 11/25/13 | $10.26 | $10.38 | | $-0.12 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 10 | 03/25/13 | 11/26/13 | $51.64 | $51 90 | | $-0.26 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 3 | 03/25/13 | 11/27/13 | $15.50 | $15.57 | | $-0.07 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 5 | 03/25/13 | 12/12/13 | $25.92 | $25.95 | | $-0 03 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 5 | 03/25/13 | 12/17/13 | $25.44 | $25 95 | | $-0.51 |
| **Total short term gain/loss from sales:** | | | | | | $81,992.37 | $80,792.85 | | $1,199.52 |

## Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Portion subject to 988 | Net gain or loss / Wash sale loss disallowed |
|---|---|---|---|---|---|---|---|---|---|
| NOBLE GROUP LTD | NOBL SP | B01CLC3#6 | 1110 | 03/26/13 | 06/06/13 | $890.75 | $1,060.72 | | $-169.97 |
| | | | | | | | | $0.00 | $169.97 |
| FANUC | 6954 JP | 6356934#8 | 8 | 03/26/13 | 06/21/13 | $1,153.77 | $1,246 71 | | $-92 94 |
| | | | | | | | | $0.00 | $92.94 |

## Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Portion subject to 988 | Net gain or loss / Wash sale loss disallowed |
|---|---|---|---|---|---|---|---|---|---|
| NOBLE GROUP LTD | NOBL SP | B01CLC3#6 | 1642 | 03/26/13 | 06/21/13 | $1,233.53 | $1,569.10 | | $-335.57 |
| | | | | | | | | $0 00 | $335.57 |
| ANHEUSER BUSCH INBEV SA/NV | BUD | 03524A108 | 15 | 03/25/13 | 06/24/13 | $1,280.49 | $1,476.06 | | $-195.57 |
| | | | | | | | | $0 00 | $195 57 |
| NOBLE GROUP LTD | NOBL SP | B01CLC3#6 | 1228 | 03/18/13 | 06/25/13 | $932.82 | $1,212.60 | | $-279.78 |
| | | | | | | | | $0.00 | $279.78 |
| FANUC | 6954 JP | 6356934#8 | 5 | 03/18/13 | 06/27/13 | $699.67 | $827.65 | | $-127.98 |
| | | | | | | | | $0 00 | $127.98 |
| NOBLE GROUP LTD | NOBL SP | B01CLC3#6 | 193 | 03/06/13 | 06/27/13 | $148.70 | $195 11 | | $-46.41 |
| | | | | | | | | $0.00 | $46 41 |
| ANHEUSER BUSCH INBEV SA/NV | BUD | 03524A108 | 11 | 03/13/13 | 07/02/13 | $985.05 | $1,181 85 | | $-196 80 |
| | | | | | | | | $0.00 | $196 80 |
| SHINSEI BK LTD | 8303 JP | 6730936#2 | 546 | 03/26/13 | 09/12/13 | $1,190.97 | $1,289.87 | | $-98.90 |
| | | | | | | | | $0 00 | $98 90 |
| RHJ INTL | RHJI BB | B06S4F0#1 | 16 | 10/02/13 | 10/25/13 | $84.88 | $85.31 | | $-0 43 |
| | | | | | | | | $0.00 | $0 43 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 5 | 10/02/13 | 10/29/13 | $1,499.56 | $1,568 17 | | $-68 61 |
| | | | | | | | | $0.00 | $68 61 |
| FANUC | 6954 JP | 6356934#8 | 5 | 03/04/13 | 10/31/13 | $802.11 | $897 54 | | $-95 43 |
| | | | | | | | | $0.00 | $95 43 |
| FANUC | 6954 JP | 6356934#8 | 1 | 09/20/13 | 10/31/13 | $160.42 | $171 37 | | $-10 95 |
| | | | | | | | | $0.00 | $10.95 |
| LAS VEGAS SANDS CORP | LVS | 517834107 | 13 | 10/31/13 | 11/04/13 | $910.44 | $922.17 | | $-11.73 |
| | | | | | | | | $0.00 | $11.73 |

## Short term transactions with loss disallowed due to wash sale

This category includes sales of assets held 12 months or less.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Portion subject to 988 | Net gain or loss / Wash sale loss disallowed |
|---|---|---|---|---|---|---|---|---|---|
| FANUC | 6954 JP | 6356934#8 | 5 | 02/04/13 | 11/05/13 | $784.77 | $916.90 | | $-132.13 |
| | | | | | | | | $0.00 | $132.13 |
| REGENERON PHARMACEUTICALS INC | REGN | 75886F107 | 2 | 10/02/13 | 11/18/13 | $562 16 | $627.27 | | $-65.11 |
| | | | | | | | | $0.00 | $65.11 |
| **Total short term gain/loss from sales:** | | | | | | $13,320.09 | $15,248 40 | | $-1,928.31 |
| **Total short term loss disallowed from wash sales:** | | | | | | | | | $1,928.31 |
| **Total short term Section 988 translation gain/loss from securities subject to wash sale:** | | | | | | | | $0.00 | |

## Long term transactions

This category includes sales of assets held more than 12 months.

| Description | Symbol | Cusip | Quantity sold | Date of acquisition | Sale date | Sales price less commissions | Cost or other basis | Net gain or loss |
|---|---|---|---|---|---|---|---|---|
| UNITED PARCEL SVC INC SR NT | UPS 13 | 911312AG1 | 100000 | 06/10/10 | 01/15/13 | $100,000.00 | $107,684.00 | $-7,684.00 |
| BARCLAYS BANK PLC | BCS 13 | 06739FGP0 | 100000 | 02/22/10 | 01/23/13 | $100,000.00 | $99,502.00 | $498.00 |
| WELLS FARGO & CO NEW SR NTS | WFC 13 | 949746NY3 | 125000 | 06/10/10 | 01/31/13 | $125,000.00 | $131,000.00 | $-6,000.00 |
| CITIGROUP INC GLOBAL SR NT | C 13 | 172967EQ0 | 100000 | 06/01/10 | 04/11/13 | $100,000.00 | $102,930.00 | $-2,930 00 |
| **Total long term gain/loss from sales:** | | | | | | $425,000.00 | $441,116.00 | $-16,116.00 |

# 2013 Tax Information Statement



**Payer's Name and Address:**
DEUTSCHE BANK TRUST CO AMERICAS
60 WALL STREET MS NYC60-2735
NEW YORK, NY 10005

| | |
|---|---|
| **Account Number:** | **651232** |
| **Recipient's Tax ID Number:** | **58-2069120** |
| **Payer's Federal ID Number:** | **13-4941247** |
| **Payer's State ID Number:** | |
| **State:** | **GA** |
| **Questions?** | **(212)250-0949** |
| ☐ **Corrected** | ☐ **2nd TIN notice** |

**Recipient's Name and Address:**
THE ARGO FAMILY FUND
HABIF AROGETI & WYNNE LLP
ATTN: ROBERT AROGETI
FIVE CONCOURSE PARKWAY SUITE 1000
ATLANTA GA 30328

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums

**Covered (Box 6a is not checked)**

| Cusip / Quantity Sold (Box 1e) | Description (Box 8) / Date of Sale or Exchange (Box 1a) | Date of Acquisition (Box 1b) | Stock or Other Symbol (Box 1d) / Stocks Bonds, etc. (Box 2a) | Cost or Other Basis (Box 3) | Wash Sale Loss Disallowed (Box 5) | Net Gain or Loss | Federal Income Tax Withheld (Box 4) | State Tax Withheld (Box 15) |
|---|---|---|---|---|---|---|---|---|
| **Report on Form 8949, Part I, with Box A checked** | | | | | | | | |
| **Long Term Sales Reported on 1099-B** | | | | | | | | |
| 40415H792 | HC CAPITAL TRUST INSTL VALUE EQUITY FD | | 40415H792 | | | | | |
| 117.3330 | 11/25/2013 | 03/15/2012 | 1,901.97 | 1,522.99 | 0 00 | 378.98 | 0 00 | 0 00 |
| **Total Long Term Sales Reported on 1099-B** | | | **1,901.97** | **1,522.99** | **0.00** | **378.98** | **0.00** | **0.00** |
| **Report on Form 8949, Part II, with Box D checked** | | | | | | | | |

**This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.**

SCHWAB

Schwab One® Trust Account of
**R AROGETI & J AROGETI TTEE**
**ARGO FAMILY FUND TRUST**
**U/A DTD 09/23/1993**

**Account Number**
**4235-2966**

# TAX YEAR 2013
# FORM 1099 COMPOSITE

Date Prepared: March 21, 2014

## Recipient's Name and Address

R AROGETI & J AROGETI TTEE
ARGO FAMILY FUND TRUST
U/A DTD 09/23/1993
5 CONCOURSE PKWY STE 1000
ATLANTA GA 30328

**Taxpayer ID Number:** 58-2069120
**Account Number:** 4235-2966

## Payer's Name and Address

CHARLES SCHWAB & CO , INC.
211 MAIN STREET
SAN FRANCISCO, CA 94105

**Telephone Number:** (800) 435-4000
**Federal ID Number:** 94-1737782

## Proceeds from Broker Transactions — 2013

CORRECTED

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked.)

**SHORT-TERM HOLDING PERIOD**

6a-Noncovered security

**COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

| 8-Description | CUSIP Number 1d-Stock or other symbol | ** | 1b-Date of acquisition 1a-Date of sale or exchange | 2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold | 3-Cost or other basis | 5-Wash sale loss disallowed | 4-Federal income tax withheld |
|---|---|---|---|---|---|---|---|
| BANK AMERICA MTG FRN2033 | 05948LAR8 | P | -- 01/25/13 | $ 15 17 | Not Provided | -- | $ 0.00 |
| BANK AMERICA MTG FRN2033 | 05948LAR8 | P | -- 02/25/13 | $ 15.31 | Not Provided | -- | $ 0.00 |
| BANK AMERICA MTG FRN2033 | 05948LAR8 | P | -- 03/25/13 | $ 15 16 | Not Provided | -- | $ 0.00 |
| BANK AMERICA MTG FRN2033 | 05948LAR8 | P | -- 04/25/13 | $ 15.20 | Not Provided | -- | $ 0.00 |
| BANK AMERICA MTG FRN2033 | 05948LAR8 | P | -- 05/25/13 | $ 15.24 | Not Provided | -- | $ 0.00 |
| BANK AMERICA MTG FRN2033 | 05948LAR8 | P | -- 06/25/13 | $ 15 35 | Not Provided | -- | $ 0.00 |
| BANK AMERICA MTG FRN2033 | 05948LAR8 | P | -- 07/25/13 | $ 15 40 | Not Provided | -- | $ 0.00 |

**Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.**

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





00231311

Taxpayer ID Number: 58-2069120

Date Prepared: March 21, 2014

## Proceeds from Broker Transactions — 2013 (continued)

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked )

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

**COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

| 8-Description | CUSIP Number 1d-Stock or other symbol | ** | 1b-Date of acquisition 1a-Date of sale or exchange | 2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold | 3-Cost or other basis | 5-Wash sale loss disallowed | 4-Federal income tax withheld |
|---|---|---|---|---|---|---|---|
| BANK AMERICA MTG FRN2033 | 05948LAR8 | P | -- 08/25/13 | $ 15.44 | Not Provided | -- | $ 0.00 |
| BANK AMERICA MTG FRN2033 | 05948LAR8 | P | -- 09/25/13 | $ 15.75 | Not Provided | -- | $ 0.00 |
| BOA MTG SECURI 2 9574%33 | 05948LAR8 | P | -- 10/25/13 | $ 15.98 | Not Provided | -- | $ 0.00 |
| BOA MTG SECURI 2.8052%33 | 05948LAR8 | P | -- 11/25/13 | $ 16.03 | Not Provided | -- | $ 0.00 |
| BOA MTG SECURI 2 8052%33 | 05948LAR8 | P | -- 12/25/13 | $ 16 05 | Not Provided | -- | $ 0.00 |
| **Security Subtotal** | | | | $ 186.08 | -- | -- | $ 0.00 |
| GNMA PL 001326M 9%20 | 36202BPK5 | P | -- 01/31/13 | $ 3.65 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 001326M 9%20 | 36202BPK5 | P | -- 02/28/13 | $ 3.68 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 001326M 9%20 | 36202BPK5 | P | -- 03/31/13 | $ 3.71 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 001326M 9%20 | 36202BPK5 | P | -- 04/30/13 | $ 3.74 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 001326M 9%20 | 36202BPK5 | P | -- 05/31/13 | $ 3.77 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 001326M 9%20 | 36202BPK5 | P | -- 06/30/13 | $ 3.80 | Not Provided | -- | $ 0.00 CORRECTED |

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





002313 5/11

SCHWAB

Schwab One® Trust Account of
R AROGETI & J AROGETI TTEE
ARGO FAMILY FUND TRUST
U/A DTD 09/23/1993

Account Number
4235-2966

**TAX YEAR 2013**
**FORM 1099 COMPOSITE**

Taxpayer ID Number: 58-2069120

Date Prepared: March 21, 2014

## Proceeds from Broker Transactions — 2013 (continued)

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss. Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked.)

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

| 8-Description | | CUSIP Number 1d-Stock or other symbol | ** | 1b-Date of acquisition 1a-Date of sale or exchange | 2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold | 3-Cost or other basis | 5-Wash sale loss disallowed | 4-Federal income tax withheld |
|---|---|---|---|---|---|---|---|---|
| GNMA PL 001326M | 9%20 | 36202BPK5 | P | -- | $ 3.83 | Not Provided | -- | $ 0.00 |
| | | | | 07/31/13 | | | | CORRECTED |
| GNMA PL 001326M | 9%20 | 36202BPK5 | P | -- | $ 3.84 | Not Provided | -- | $ 0.00 |
| | | | | 08/31/13 | | | | CORRECTED |
| GNMA PL 001326M | 9%20 | 36202BPK5 | P | -- | $ 3.90 | Not Provided | -- | $ 0.00 |
| | | | | 09/30/13 | | | | CORRECTED |
| GNMA PL 001326M | 9%20 | 36202BPK5 | P | -- | $ 3.93 | Not Provided | -- | $ 0.00 |
| | | | | 10/31/13 | | | | CORRECTED |
| GNMA PL 001326M | 9%20 | 36202BPK5 | P | -- | $ 3.43 | Not Provided | -- | $ 0.00 |
| | | | | 11/30/13 | | | | CORRECTED |
| GNMA PL 001326M | 9%20 | 36202BPK5 | P | -- | $ 5.32 | Not Provided | -- | $ 0.00 |
| | | | | 12/31/13 | | | | CORRECTED |
| **Security Subtotal** | | | | | $ 46.60 | -- | -- | $ 0.00 |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- | $ 12.00 | Not Provided | -- | $ 0.00 |
| | | | | 01/31/13 | | | | CORRECTED |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- | $ 12.10 | Not Provided | -- | $ 0.00 |
| | | | | 02/28/13 | | | | CORRECTED |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- | $ 12.19 | Not Provided | -- | $ 0.00 |
| | | | | 03/31/13 | | | | CORRECTED |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- | $ 12.29 | Not Provided | -- | $ 0.00 |
| | | | | 04/30/13 | | | | CORRECTED |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- | $ 12.39 | Not Provided | -- | $ 0.00 |
| | | | | 05/31/13 | | | | CORRECTED |

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





DST 00085566 STP67399R2

SCHWAB

Schwab One® Trust Account of
R AROGETI & J AROGETI TTEE
ARGO FAMILY FUND TRUST
U/A DTD 09/23/1993

Account Number
4235-2966

**TAX YEAR 2013**
**FORM 1099 COMPOSITE**

Taxpayer ID Number: 58-2069120

Date Prepared: March 21, 2014

## Proceeds from Broker Transactions — 2013 (continued)

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked.)

**SHORT-TERM HOLDING PERIOD**

6a-Noncovered security

**COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

| 8-Description | | CUSIP Number 1d-Stock or other symbol | ** | 1b-Date of acquisition 1a-Date of sale or exchange | 2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold | 3-Cost or other basis | 5-Wash sale loss disallowed | 4-Federal income tax withheld |
|---|---|---|---|---|---|---|---|---|
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- 06/30/13 | $ 12.48 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- 07/31/13 | $ 12.58 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- 08/31/13 | $ 12.68 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- 09/30/13 | $ 12.78 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- 10/31/13 | $ 12.88 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- 11/30/13 | $ 12.99 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 148565 | 9%16 | 36215X6A5 | P | -- 12/31/13 | $ 13.09 | Not Provided | -- | $ 0.00 CORRECTED |
| **Security Subtotal** | | | | | $ 150.45 | -- | -- | $ 0.00 |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- 01/31/13 | $ 1.07 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- 02/28/13 | $ 1.08 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- 03/31/13 | $ 1.09 | Not Provided | -- | $ 0.00 CORRECTED |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- 04/30/13 | $ 1.10 | Not Provided | -- | $ 0.00 CORRECTED |

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

Taxpayer ID Number: 58-2069120

Date Prepared: March 21, 2014

## Proceeds from Broker Transactions — 2013 (continued)

Form 1099-B

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss: Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with Box B checked )

SHORT-TERM HOLDING PERIOD

6a-Noncovered security

COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS

| 8-Description | | CUSIP Number 1d-Stock or other symbol | ** | 1b-Date of acquisition 1a-Date of sale or exchange | 2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold | 3-Cost or other basis | 5-Wash sale loss disallowed | 4-Federal income tax withheld |
|---|---|---|---|---|---|---|---|---|
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- | $ 1.10 | Not Provided | -- | $ 0.00 |
| | | | | 05/31/13 | | | | CORRECTED |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- | $ 1.11 | Not Provided | -- | $ 0.00 |
| | | | | 06/30/13 | | | | CORRECTED |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- | $ 1.12 | Not Provided | -- | $ 0.00 |
| | | | | 07/31/13 | | | | CORRECTED |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- | $ 1.16 | Not Provided | -- | $ 0.00 |
| | | | | 08/31/13 | | | | CORRECTED |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- | $ 1.14 | Not Provided | -- | $ 0.00 |
| | | | | 09/30/13 | | | | CORRECTED |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- | $ 1.15 | Not Provided | -- | $ 0.00 |
| | | | | 10/31/13 | | | | CORRECTED |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- | $ 1.16 | Not Provided | -- | $ 0.00 |
| | | | | 11/30/13 | | | | CORRECTED |
| GNMA PL 201296 | 9%17 | 36217RRR6 | P | -- | $ 1.20 | Not Provided | -- | $ 0.00 |
| | | | | 12/31/13 | | | | CORRECTED |
| **Security Subtotal** | | | | | $ 13.48 | -- | -- | $ 0.00 |
| GNMA PL 260999 | 9.5%18 | 36219V3G5 | P | -- | $ 6.53 | Not Provided | -- | $ 0.00 |
| | | | | 01/31/13 | | | | CORRECTED |
| GNMA PL 260999 | 9.5%18 | 36219V3G5 | P | -- | $ 6.58 | Not Provided | -- | $ 0.00 |
| | | | | 02/28/13 | | | | CORRECTED |
| GNMA PL 260999 | 9.5%18 | 36219V3G5 | P | -- | $ 6.64 | Not Provided | -- | $ 0.00 |
| | | | | 03/31/13 | | | | CORRECTED |

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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SCHWAB

Schwab One® Trust Account of
R AROGETI & J AROGETI TTEE
ARGO FAMILY FUND TRUST
U/A DTD 09/23/1993

Account Number
4235-2966

**TAX YEAR 2013**
**FORM 1099 COMPOSITE**

Taxpayer ID Number: 58-2069120

Date Prepared: March 21, 2014

## Proceeds from Broker Transactions — 2013 (continued)

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked.)

**SHORT-TERM HOLDING PERIOD**

6a-Noncovered security

**COST BASIS IN THIS SECTION IS MISSING AND NOT *REPORTED TO THE IRS***

| 8-Description | | CUSIP Number 1d-Stock or other symbol | ** | 1b-Date of acquisition 1a-Date of sale or exchange | | 2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold | 3-Cost or other basis | 5-Wash sale loss disallowed | | 4-Federal income tax withheld |
|---|---|---|---|---|---|---|---|---|---|---|
| GNMA PL 260999 | 9 5%18 | 36219V3G5 | P | -- 04/30/13 | $ | 6.62 | Not Provided | -- | $ | 0.00 CORRECTED |
| GNMA PL 260999 | 9 5%18 | 36219V3G5 | P | -- 05/31/13 | $ | 6.67 | Not Provided | -- | $ | 0.00 CORRECTED |
| GNMA PL 260999 | 9 5%18 | 36219V3G5 | P | -- 06/30/13 | $ | 6.73 | Not Provided | -- | $ | 0.00 CORRECTED |
| GNMA PL 260999 | 9.5%18 | 36219V3G5 | P | -- 07/31/13 | $ | 6.78 | Not Provided | -- | $ | 0.00 CORRECTED |
| GNMA PL 260999 | 9 5%18 | 36219V3G5 | P | -- 08/31/13 | $ | 6.84 | Not Provided | -- | $ | 0.00 CORRECTED |
| GNMA PL 260999 | 9 5%18 | 36219V3G5 | P | -- 09/30/13 | $ | 6.90 | Not Provided | -- | $ | 0.00 CORRECTED |
| GNMA PL 260999 | 9 5%18 | 36219V3G5 | P | -- 10/31/13 | $ | 6.95 | Not Provided | -- | $ | 0.00 CORRECTED |
| GNMA PL 260999 | 9 5%18 | 36219V3G5 | P | -- 11/30/13 | $ | 7.01 | Not Provided | -- | $ | 0.00 CORRECTED |

Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.

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Charles SCHWAB

Schwab One® Trust Account of
R AROGETI & J AROGETI TTEE
ARGO FAMILY FUND TRUST
U/A DTD 09/23/1993

Account Number
4235-2966

**TAX YEAR 2013**
**FORM 1099 COMPOSITE**

Taxpayer ID Number: 58-2069120

Date Prepared: March 21, 2014

## Proceeds from Broker Transactions — 2013 (continued)

**Form 1099-B**

Department of the Treasury-Internal Revenue Service

Copy B for Recipient (OMB No. 1545-0715)

1c-Type of gain or loss Short-term (Report on Form 8949, in either Part I or Part II as appropriate, with **Box B** checked )

**SHORT-TERM HOLDING PERIOD**

6a-Noncovered security

**COST BASIS IN THIS SECTION IS MISSING AND NOT REPORTED TO THE IRS**

| 8-Description | CUSIP Number 1d-Stock or other symbol | ** | 1b-Date of acquisition 1a-Date of sale or exchange | | 2a-Sales price of stocks, bonds, etc (less commissions and options premiums) 1e-Quantity sold | 3-Cost or other basis | 5-Wash sale loss disallowed | | 4-Federal income tax withheld |
|---|---|---|---|---|---|---|---|---|---|
| GNMA PL 260999 9 5%18 | 36219V3G5 | P | -- | $ | 7.07 | Not Provided | -- | $ | 0.00 |
| | | | 12/31/13 | | | | | | CORRECTED |
| **Security Subtotal** | | | | $ | 81.32 | -- | -- | $ | 0.00 |
| **Total Short-Term (Cost basis is missing and not reported to the IRS)** | | | | $ | 477.93 | -- | | | |
| **Total Short-Term Sales Price of Stocks, Bonds, etc.** | | | | $ | 477.93 | -- | | | |
| **Total Sales Price of Stocks, Bonds, etc.** | | | | $ | 477.93 | | | | |
| **Total Federal Income Tax Withheld** | | | | $ | 0.00 | | | | |

## Notes for your Form 1099-B

Schwab has provided cost basis information whenever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings When value for the report is unavailable, it is noted as follows. "Missing" or "Not Provided"

**Not Provided** Schwab is not providing Cost Basis on this security type.

**Missing** Cost Basis may be missing due to one of the following reasons:
- Cost basis data may not be available for a number of reasons (for example, the security was purchased outside of Schwab and we did not receive cost basis from the transferring firm).
- The security was purchased more than 10 years ago.

**Please see the "Notes for Your Form 1099-B" section for additional explanation of this Form 1099-B report.**

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**





DST 00085566 STP67399R2

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

## Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

**Enter filer's identifying number, see instructions**

| Type or print | | |
|---|---|---|
| File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions<br>THE ARGO FAMILY FUND<br>C/O ROBERT AROGETI, TRUSTEE | Employer identification number (EIN) or<br>58-2069120 |
| | Number, street, and room or suite no If a P O box, see instructions<br>FIVE CONCOURSE PKWY, #1000 | Social security number (SSN) |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions<br>ATLANTA, GA 30328 | |

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ ROBERT AROGETI FIVE CONCOURSE PKWY, #1000 - ATLANTA, GA 30328

  Telephone No ▶ 404-898-8209 Fax No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014

5 For calendar year 2013 , or other tax year beginning ______, and ending ______

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO ACCUMUATE ALL INFORMATION TO PREPARE A COMPLETE AND ACCURATE TAX RETURN

| | | | |
|---|---|---|---|
| 8a | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 8a | $ 0. |
| b | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 | 8b | $ 3,965. |
| c | **Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 8c | $ 0. |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ TRUSTEE Date ▶

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

**Note:** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

**Part II** **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

**Enter filer's identifying number, see instructions**

| Type or print<br>File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions<br>THE ARGO FAMILY FUND<br>C/O ROBERT AROGETI, TRUSTEE | Employer identification number (EIN) or<br>58-2069120 |
|---|---|---|
| | Number, street, and room or suite no If a P O box, see instructions<br>FIVE CONCOURSE PKWY, #1000 | Social security number (SSN) |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions<br>ATLANTA, GA 30328 | |

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ ROBERT AROGETI
FIVE CONCOURSE PKWY, #1000 - ATLANTA, GA 30328
Telephone No ▶ 404-898-8209 Fax No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014

5 For calendar year 2013 , or other tax year beginning ______________ , and ending ______________

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO ACCUMUATE ALL INFORMATION TO PREPARE A COMPLETE AND ACCURATE TAX RETURN

| | | | |
|---|---|---|---|
| 8a | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 8a | $ 0. |
| b | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 | 8b | $ 3,965. |
| c | **Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 8c | $ 0. |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ TRUSTEE Date ▶



323842
12-31-13