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Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

For calendar year 2013, or tax year beginning , 2013, and ending

THE HAMOND FAMILY FOUNDATION  
C/O HAMOND 6800 JERICHO TURNPIKE 105W  
SYOSSET, NY 11791

**A** Employer identification number  
58-1705602

**B** Telephone number (see the instructions)  
516-488-2800

**C** If exemption application is pending, check here ☐

**D 1** Foreign organizations, check here ☐

**2** Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

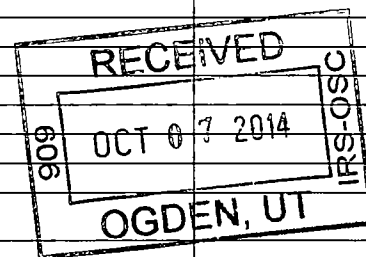
**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☒ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 2,144,136.  
**J** Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (att sch)				
	2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	57,233.	57,233.	57,233.	
	4 Dividends and interest from securities	49,729.	49,729.	49,729.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	-15,941.			
	b Gross sales price for all assets on line 6a	940,302.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	91,021.	106,962.	106,962.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 2	7,647.			
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule) (see instrs)				
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses (attach schedule) SEE STATEMENT 3	2,816.	150.			
24 Total operating and administrative expenses. Add lines 13 through 23	10,463.	150.			
25 Contributions, gifts, grants paid PART XV	41,500.			41,500.	
26 Total expenses and disbursements. Add lines 24 and 25	51,963.	150.	0.	41,500.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	39,058.				
b Net investment income (if negative, enter -0-)		106,812.			
c Adjusted net income (if negative, enter -0-)			106,962.		



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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
ASSETS	1 Cash — non-interest-bearing	44,752.	82,295.	82,295.
	2 Savings and temporary cash investments	161,069.	161,739.	161,739.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	238.	410.	410.
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	793,442.	573,302.	585,594.
	c Investments — corporate bonds (attach schedule)	1,119,096.	1,339,909.	1,314,098.
	11 Investments — land, buildings, and equipment basis			
LIABILITIES	Less accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	15 Other assets (describe )			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	2,118,597.	2,157,655.	2,144,136.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
NET FUND ASSETS	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe )			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
BALANCE SHEET	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	X		
	27 Capital stock, trust principal, or current funds	2,118,597.	2,157,655.	
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	2,118,597.	2,157,655.	
	31 Total liabilities and net assets/fund balances (see instructions)	2,118,597.	2,157,655.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,118,597.
2 Enter amount from Part I, line 27a	2	39,058.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	2,157,655.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	2,157,655.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE STATEMENT 4				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 7            If (loss), enter -0- in Part I, line 7         </div>		2	-15,941.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div style="border: 1px solid black; padding: 2px;">           If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0-            in Part I, line 8         </div>		3	-19,929.

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012		1,430,015.	
2011	29,695.	427,962.	0.069387
2010	2,500.	419,562.	0.005959
2009	19,806.	384,206.	0.051550
2008	28,000.	427,107.	0.065557

2 Total of line 1, column (d)	2	0.192453
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.038491
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,141,787.
5 Multiply line 4 by line 3	5	82,440.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,068.
7 Add lines 5 and 6	7	83,508.
8 Enter qualifying distributions from Part XII, line 4	8	41,500.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,136.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,136.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-		5	2,136.
6 Credits/Payments			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	410.	
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	1,081.	
8 Enter any <b>penalty</b> for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	25.	
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,080.	
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11		
	Refunded		

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>SARA MAZZA</u> Telephone no <u>516-488-2800</u> Located at <u>6800 JERICHO TPKE- STE#105W SYOSETT NY</u> ZIP + 4 <u>11791</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u>N/A</u>	16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5 a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b N/A

Organizations relying on a current notice regarding disaster assistance check here

☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7 b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SAUL B. HAMOND 6800 JERICHO TPKE-STE 105W SYOSSET, NY 11791	DIRECTOR 0	0.	0.	0.
SARA MAZZA 6800 JERICHO TPKE-STE 105W SYOSSET, NY 11791	SECRETARY 0	0.	0.	0.
BRUCE S HYAMS 6800 JERICHO TPKE-STE 105W SYOSSET, NY 11791	TREASURER 0	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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**Part X** Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b> Average monthly fair market value of securities	<b>1 a</b>	1,968,888.
<b>b</b> Average of monthly cash balances	<b>1 b</b>	205,515.
<b>c</b> Fair market value of all other assets (see instructions)	<b>1 c</b>	
<b>d Total</b> (add lines 1a, b, and c)	<b>1 d</b>	2,174,403.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1 e</b>	0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d	<b>3</b>	2,174,403.
<b>4</b> Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	32,616.
<b>5 Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	<b>5</b>	2,141,787.
<b>6 Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	107,089.

**Part XI** Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6	<b>1</b>	107,089.
<b>2 a</b> Tax on investment income for 2013 from Part VI, line 5	<b>2 a</b>	2,136.
<b>b</b> Income tax for 2013 (This does not include the tax from Part VI)	<b>2 b</b>	
<b>c</b> Add lines 2a and 2b	<b>2 c</b>	2,136.
<b>3</b> Distributable amount before adjustments Subtract line 2c from line 1	<b>3</b>	104,953.
<b>4</b> Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b> Add lines 3 and 4	<b>5</b>	104,953.
<b>6</b> Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7 Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	<b>7</b>	104,953.

**Part XII** Qualifying Distributions (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1 a</b>	41,500.
<b>b</b> Program-related investments — total from Part IX-B	<b>1 b</b>	
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b> Amounts set aside for specific charitable projects that satisfy the		
<b>a</b> Suitability test (prior IRS approval required)	<b>3 a</b>	
<b>b</b> Cash distribution test (attach the required schedule)	<b>3 b</b>	
<b>4 Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	41,500.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	
<b>6 Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	41,500.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				104,953.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			21,894.	
b Total for prior years 20__, 20__, 20__		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 41,500.				
a Applied to 2012, but not more than line 2a			21,894.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				19,606.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				85,347.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

BAA

Form 990-PF (2013)



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year SEE ATTACHED SCHEDULE 6800 JERICHO TPKE-STE 105W SYOSSET, NY 11791	NONE	N/A	TO FURTHER CHARITABLE ACTIVITIES AS PRESCRIBED BY IRC 501 (C) (3) REGULATIONS	41,500.
<b>Total</b>			<b>3 a</b>	41,500.
<b>b</b> Approved for future payment				
<b>Total</b>			<b>3 b</b>	

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					57,233.
4	Dividends and interest from securities					49,729.
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory					-15,941.
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a						
b						
c						
d						
e						
12	Subtotal Add columns (b), (d), and (e)					91,021.
13	<b>Total.</b> Add line 12, columns (b), (d), and (e)					91,021.

(See worksheet in line 13 instructions to verify calculations )

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



2013

## FEDERAL STATEMENTS

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CLIENT HAM602

THE HAMOND FAMILY FOUNDATION

58-1705602

9/29/14

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**STATEMENT 1**  
**FORM 990-PF**  
**EXPLANATION OF AMENDED RETURN**

ORIGINAL TAX RETURN FAILED TO SHOW MINIMUM INVESTMENT RETURN- PAGE 8-PART "X", WHICH WHEN CORRECTED, CHANGED THE AMOUNTS OTHERWISE DUE.

**STATEMENT 2**  
**FORM 990-PF, PART I, LINE 16B**  
**ACCOUNTING FEES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING AND PROFESSIONAL FEES	\$ 7,647.			
TOTAL	\$ 7,647.	\$ 0.	\$ 0.	\$ 0.

**STATEMENT 3**  
**FORM 990-PF, PART I, LINE 23**  
**OTHER EXPENSES**

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ADVERTISING	\$ 139.			
FEDERAL EXCISE TAXES	1,699.			
FEES TO UBS FOR INVESTMENT	150.	\$ 150.		
FILING AND REPRESENTATION FEES	578.			
NYS DEPT LAW	250.			
TOTAL	\$ 2,816.	\$ 150.	\$ 0.	\$ 0.

**STATEMENT 4**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	250 MARATHON PETROLEUM CORP	05.125% 030121 PURCHASED	5/14/2012	1/15/2013
2	2,000.00 ING GROEP N V 8.500%	PREFERRED CLBL PAR VALUE PURCHASED	5/14/2012	2/14/2013
3	500 ALCOA INC B/E	05.400% 041521 DTD042111 PURCHASED	6/05/2012	3/04/2013
4	250 BANKERS TRUST N Y CORP	07.500% 111515 DTD111595 PURCHASED	5/14/2012	3/06/2013
5	1,000.00 CITIGROUP CAP VIII	6.950% DUE 09/15/31 PURCHASED	1/27/2012	3/14/2013
6	500 CITIGROUP FUNDING INC	05.000% 122225 DTD122210 PURCHASED	5/14/2012	4/24/2013
7	500 GENWORTH FINANCIAL INC	06.515% 052218 DTD052208 PURCHASED	5/14/2012	5/20/2013
8	1,000.00 ALLIANZ SE 8.375%	PERPETUAL PURCHASED	10/13/2009	6/05/2013

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**STATEMENT 4 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
9	2,000.00 ALLIANZ SE 8.375% PERPETUAL, CALLABLE	PURCHASED	5/14/2012	6/05/2013
10	2,011.26 PIMCO REAL RETURN FUND CLASS A	PURCHASED	10/07/2011	6/13/2013
11	2.826 PIMCO REAL RETURN FUND CLASS A	PURCHASED	10/31/2011	6/13/2013
12	3.029 PIMCO REAL RETURN FUND CLASS A	PURCHASED	11/30/2011	6/13/2013
13	26.67 PIMCO REAL RETURN FUND CLASS A	PURCHASED	12/07/2011	6/13/2013
14	39.813 PIMCO REAL RETURN FUND CLASS A	PURCHASED	12/07/2011	6/13/2013
15	8.66 PIMCO REAL RETURN FUND CLASS A	PURCHASED	12/28/2011	6/13/2013
16	1.489 PIMCO REAL RETURN FUND CLASS A	PURCHASED	1/31/2012	6/13/2013
17	1.261 PIMCO REAL RETURN FUND CLASS A	PURCHASED	2/01/2012	6/13/2013
18	71.822 PIMCO REAL RETURN FUND CLASS A	PURCHASED	3/01/2012	6/13/2013
19	500 BK OF AMER CORP STEP UP 06.000% 122126 DTD122111	PURCHASED	5/14/2012	6/21/2013
20	2,000.00 WELLS FARGO & CO. (WFC) 5.250% PREFERRED CLBL	PURCHASED	3/15/2013	7/18/2013
21	1,000.00 STATE STREET CORPORATION (STT) 5.250% PREFERRED	PURCHASED	8/14/2012	7/18/2013
22	1,000.00 BB&T CORPORATION (BBT) 5.200% PREFERRED CLBL	PURCHASED	10/24/2012	7/18/2013
23	500 CNA FINANCIAL CORP B/E 06.950% 011518 DTD011398	PURCHASED	5/14/2012	8/13/2013
24	150 GOLDMAN SACHS GROUP INC 05.625% 011517 DTD011007	PURCHASED	7/29/2010	9/16/2013
25	250 CITIGROUP FUNDING INC 04.250% 091525 DTD091510	PURCHASED	9/10/2010	9/16/2013
26	500 CENTURYLINK INC NTS B/E 07.650% 031542 DTD031212	PURCHASED	5/15/2012	10/24/2013
27	500 CITIGROUP INC B/E 05.350% 052949 DTD043013	PURCHASED	4/24/2013	10/24/2013
28	4,000.00 ALLSTATE CORP 5.625% PREFERRED CLBL PAR VALUE	PURCHASED	6/05/2013	10/24/2013
29	450 NEW YORK TELEPHONE CO 06.700% 110123 DTD110893	PURCHASED	5/14/2012	11/18/2013
30	250 CITIGROUP INC B/E 05.900% 122949 DTD121312 FC081513	PURCHASED	7/18/2013	12/18/2013
31	2,000.00 PNC FINL SERV SER P 6.125% PREFERRED CLBL	PURCHASED	6/20/2013	12/18/2013

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
1	28,943.		27,111.	1,832.				\$ 1,832.
2	51,925.		51,664.	261.				261.
3	52,232.		51,800.	432.				432.
4	28,104.		25,631.	2,473.				2,473.
5	25,153.		24,830.	323.				323.
6	51,670.		50,000.	1,670.				1,670.
7	58,451.		49,853.	8,598.				8,598.
8	24,998.		24,435.	563.				563.
9	49,996.		53,205.	-3,209.				-3,209.
10	23,205.		25,044.	-1,839.				-1,839.
11	33.		35.	-2.				-2.
12	35.		38.	-3.				-3.
13	308.		332.	-24.				-24.
14	459.		496.	-37.				-37.



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## FEDERAL STATEMENTS

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THE HAMOND FAMILY FOUNDATION

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**STATEMENT 4 (CONTINUED)**  
**FORM 990-PF, PART IV, LINE 1**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I) - (J)	(L) GAIN (LOSS)
15	100.		108.	-8.				\$ -8.
16	17.		19.	-2.				-2.
17	15.		16.	-1.				-1.
18	829.		894.	-65.				-65.
19	50,000.		50,000.	0.				0.
20	45,871.		50,000.	-4,129.				-4,129.
21	23,755.		25,000.	-1,245.				-1,245.
22	22,952.		25,000.	-2,048.				-2,048.
23	57,145.		52,008.	5,137.				5,137.
24	16,300.		15,758.	542.				542.
25	25,087.		25,000.	87.				87.
26	45,980.		49,797.	-3,817.				-3,817.
27	45,287.		51,383.	-6,096.				-6,096.
28	93,802.		100,000.	-6,198.				-6,198.
29	45,000.		47,255.	-2,255.				-2,255.
30	23,136.		25,880.	-2,744.				-2,744.
31	49,514.		53,651.	-4,137.				-4,137.
							TOTAL	\$ -15,941.

**STATEMENT 5**  
**FORM 990-PF, PART VI, LINE 7**  
**TOTAL CREDITS AND PAYMENTS**

TAX CREDITS AND PAYMENTS	\$	410.
TAX PAID WITH ORIGINAL RETURN		671.
TOTAL	\$	<u>1,081.</u>

**STATEMENT 6**  
**FORM 990-PF, PART VI, LINE 9**  
**TAX DUE**

TAX DUE	\$	1,080.
TOTAL	\$	<u>1,080.</u>

**STATEMENT 7**  
**FORM 990-PF, PART XV, LINE 2A-D**  
**APPLICATION SUBMISSION INFORMATION**

NAME OF GRANT PROGRAM:	THE HAMOND FAMILY FOUNDATION
NAME:	SARA MAZZA
CARE OF:	6800 JERICHO TPKE-SUITE 105W
STREET ADDRESS:	SYOSSET, NY 11791
CITY, STATE, ZIP CODE:	516-762-4213
TELEPHONE:	SMAZZA@HAMONDGROUP.COM
E-MAIL ADDRESS:	WRITTEN REQUESTS FOR FUNDS SHOULD BE MADE DIRECTLY TO THE
FORM AND CONTENT:	

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STATEMENT 7 (CONTINUED)  
FORM 990-PF, PART XV, LINE 2A-D  
APPLICATION SUBMISSION INFORMATION

SUBMISSION DEADLINES:  
RESTRICTIONS ON AWARDS:

FOUNDATION STATING A BRIEF HISTORY AND THE GENERAL PURPOSE OR NEED FOR THE FUNDING. SUPPLY A STATEMENT STATING YOUR TAX EXEMPT STATUS (MUST BE 501(C)(3) OR SIMILAR. SUBMISSIONS MAY BE MADE ANY TIME THROUGH THE CALENDAR YEAR THERE ARE NO LIMITATIONS OR RESTRICTIONS ON AWARDS- THE BOARD WILL DECIDE AVAILABILITY OF FUNDS AND BASED ON NEED AND NATURE OF THE REQUEST, WILL DETERMINE IF AND HOW MUCH A GRANT WILL BE GIVEN FOR. MUST BE A 501(C) NON- PROFIT TO QUALIFY FOR A GRANT

2013

## FEDERAL SUPPORTING DETAIL

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**OTHER INCOME PRODUCING ACTIVITIES  
INTEREST ON SAVINGS & CASH INVESTMENTS**INTEREST INCOME- UBS  
INTEREST CD

	\$	56,564.
		669.
TOTAL	\$	<u>57,233.</u>

**OTHER INCOME PRODUCING ACTIVITIES  
DIVIDENDS/INTEREST FROM SECURITIES.**UBS DIVIDEND 23798  
LIGTIGATION SETTLEMENT

	\$	49,488.
		241.
TOTAL	\$	<u>49,729.</u>

**BALANCE SHEET  
SAVINGS AND TEMPORARY CASH INVESTMENTS**

CASH IN CHASE CD

	\$	161,739.
TOTAL	\$	<u>161,739.</u>

**BALANCE SHEET  
PREPAID EXPENSES AND DEFERRED CHARGES**

PREPAID FEDERAL TAXES

	\$	410.
TOTAL	\$	<u>410.</u>

**BALANCE SHEET  
CORPORATE BONDS (FORM 990-PF)[O]**

SEE ATTACHED SCHEDULE

	\$	1,339,909.
TOTAL	\$	<u>1,339,909.</u>

**FMV OF ASSETS (990-PF)  
CASH, NON-INTEREST BEARING**

CASH IN UBS

	\$	97,295.
		-15,000.
TOTAL	\$	<u>82,295.</u>

# THE HAMOND FAMILY FOUNDATION

## Schedule of Investments

As of 12/31/2013

### EQUITIES

Security	COST	FMV
HSBC USA INC TRIGGER PS SX5E 11/30/2018	50,000.00	47,300.00
DUFF & PHELPS GLB UTL INC FD	117,066.13	125,756.28
VIRTUS EMERGING MARKETS OPPURTUNITIES FUND -	50,340.94	50,216.52
VIRTUS GLOBAL MULTI-SECTOR INC	52,027.32	50,760.00
COLUMBIA INCOME OPPORTUNITIES FUND A	106,806.27	109,137.94
BLACKROCK GLOBAL LONG/SHORT CREDIT FUND C	50,005.25	50,280.90
JOHN HANCOCK ALTERNATIVE ASSET ALLOCATION FUND CL A	51,887.24	51,862.75
MAINSTAY MARKETFIELD FUND CLASS A	50,011.43	54,075.43
JPMORGAN INCOME BUILDER CLASS A	45,157.38	46,204.25
<b>TOTAL EQUITIES</b>	<b>573,301.96</b>	<b>585,594.07</b>

### FIXED INCOME

ESCROW LEHMAN BROS 06.500% 071917 DTD071907	15,329.10	0
ICAHN ENTERPRISES L P / 08.000% 011518 DTD071510	26,633.50	26,000.00
TELECOM ITALIA 06.999% 060418 DTD060408	54,294.25	55,375.00
KINROSS GOLD CORP B/E 05.125% 090121 DTD030112	26,570.25	24,061.00
HEWLETT-PACKARD CO NTS 04.375% 091521 DTD091911	25,607.75	25,458.25
EMBRAER S A B/E 05.150% 061522 DTD061512	27,236.75	24,937.50
STAPLES INC NTS B/E 04.375% 011223 DTD011413	25,768.50	24,076.00
PLAINS EXPLORATION & 06.875% 021523 DTD102612	27,458.75	27,781.25
GOLDMAN SACHS GROUP INC 05.950% 011527 DTD010906	52,912.75	53,692.50
ALCOA INC 5.9% 02/01/27 FC080107 DTD012507	52,307.00	49,302.50
ALUMINUM CO AMER NTS 06.750% 011528 DTD012798	55,900.75	52,817.50
GENL ELECT CAP CORP B/E 07.125% 121549 DTD061212	105,755.25	112,550.00
GNMA PL 001344M 09.0000 DUE 02/20/20	187.82	192.62
HARTFORD FLOATING RATE FUND CLASS A	89,781.59	97,013.37
JP MORGAN STRATEGIC INCOME OPPORTUNITIES C	50,060.06	49,970.45
LLOYDS BANKING GROUP PLC 7.750% DUE 07/15/50	50,000.00	53,200.00

# THE HAMOND FAMILY FOUNDATION

## Schedule of Investments

As of 12/31/2013

### **FIXED INCOME**

Security	COST	FMV
MAINSTAY HIGH YIELD OPPORTUNITY CLASS A	151,633.30	153,947.60
MORGAN STANLEY (MS) 7.125% PREFERRED PAR	52,734.95	52,480.00
NUVEEN SYMPHONY CREDIT OPPORTUNITIES FUND C	54,055.82	56,249.72
PIMCO INCOME FUND CLASS A	54,216.56	54,545.87
PIMCO REAL RETURN FUND CLASS A	26,684.38	23,533.09
SEASPAN CORP PERP PFD-C9 9.500% SER C DUE 01/29/49	56,155.25	53,496.00
UNTS CLAYM/GUGGENHEIM INTERMEDIATE INVESTMENT-	20,901.84	20,267.28
UNTS CLAYMR/GUGGENH. INTER.INV.GRADE CORP.6	46,467.68	44,830.10
UNTS FT SR LOAN LTD DURATION PLUS C/E 51 04/16/14	28,608.36	26,465.41
US BANCORP DEL SER F PREFERRED CLBL PAR VALUE	56,928.55	53,020.00
VIRTUS MULTI-SECTOR SHORT TERM BOND A	50,888.97	49,195.32
VORNADO RLTY TR CUMUL SER J 6.875% PREFERRED CLBL	54,828.90	49,640.00

<b>TOTAL EQUITIES</b>	<b>1,339,908.63</b>	<b>1,314,098.33</b>
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<b>TOTAL INVESTMENTS</b>	<b>1,913,210.59</b>	<b>1,899,692.40</b>
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# **LEGAL NOTICE**

The Annual return of The  
Hamond Family Foundation for  
the calendar year ended  
December 31, 2013 is available at  
its principal office located at 6800  
Jericho Turnpike, Suite 105W,  
Syosset, NY 11791 for inspection  
during regular business hours by  
any citizen who requests it within  
180 days hereof. The Principal  
Manager of the Foundation is Saul  
B. Hamond, Phone No. (516) 488-  
2800.

S.A. 3801

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THE HAMOND FAMILY FOUNDATION

Schedule of Donations paid for 2013

Payee/Organization

Address

Grant paid

Grace Outreach Inc.	378 East 151st. 5th Floor, Bronx NY 10455	\$15,000.00
Transportation Alternative, Inc.	127 West 26th St., Ste#1002, NY NY 10001	\$10,000.00
Neighborhood Trust Financial Partners, Inc.	1112 St. Nicholas Ave., 4th Flt, NY NY 10032	\$7,500.00
Start Small, Think Big. Inc.	1231 Lafayette Ave. 2nd Flr. Bronx NY 10474	\$7,500.00
Center for Court Innovations	520 8th Ave., NY NY 10018	\$1,000.00
CLAL	440 Park Ave. South, 4th Flr. NY NY 10016	\$500.00

Total Donations 2013	\$41,500.00
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