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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE ANN AND MONROE CARELL FOUNDATION		A Employer identification number 58-1537831
Number and street (or P O box number if mail is not delivered to street address) 95 WHITE BRIDGE ROAD	Room/suite 514	B Telephone number (615) 356-0991
City or town, state or province, country, and ZIP or foreign postal code NASHVILLE, TN 37205		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 21,277,714.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	5,300,000.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	558,965.	339,773.		STATEMENT 5
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,339,910.			STATEMENT 4
	b Gross sales price for all assets on line 6a	20,546,130.			
	7 Capital gain net income (from Part IV, line 2)		3,565,421.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income	16,365.	16,365.		STATEMENT 6	
12 Total. Add lines 1 through 11	8,215,240.	3,921,559.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 7	1,379.	0.		1,379.
	b Accounting fees STMT 8	5,250.	0.		5,250.
	c Other professional fees STMT 9	72,406.	72,406.		0.
	17 Interest	49.	49.		0.
	18 Taxes STMT 10	32,031.	16,725.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses				
	24 Total operating and administrative expenses. Add lines 13 through 23	111,115.	89,180.		6,629.
	25 Contributions, gifts, grants paid	1,776,899.			1,776,899.
26 Total expenses and disbursements. Add lines 24 and 25	1,888,014.	89,180.		1,783,528.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	6,327,226.				
b Net investment income (if negative, enter -0-)		3,832,379.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments	4,091,952.	3,513,640.	3,513,640.	
	3 Accounts receivable ▶				
	Less: allowance for doubtful accounts ▶	4,840.			
	4 Pledges receivable ▶				
	Less: allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less: allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock STMT 11	7,192,171.	9,472,280.	10,457,978.	
	c Investments - corporate bonds STMT 12	2,779,608.	5,697,555.	5,642,038.	
	Liabilities	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other STMT 13		205,000.	1,632,845.	1,664,058.	
14 Land, buildings, and equipment basis ▶					
Less accumulated depreciation ▶					
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		14,273,571.	20,316,320.	21,277,714.	
17 Accounts payable and accrued expenses					
18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)	0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐				
	and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒				
	and complete lines 27 through 31.				
27 Capital stock, trust principal, or current funds	0.	0.			
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.			
29 Retained earnings, accumulated income, endowment, or other funds	14,273,571.	20,316,320.			
30 Total net assets or fund balances	14,273,571.	20,316,320.			
31 Total liabilities and net assets/fund balances	14,273,571.	20,316,320.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	14,273,571.
2 Enter amount from Part I, line 27a	2	6,327,226.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	20,600,797.
5 Decreases not included in line 2 (itemize) ▶ BOOK/TAX DIFFERENCE	5	284,477.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	20,316,320.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT			
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e	20,546,130.	16,980,709.	3,565,421.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a				
b				
c				
d				
e			3,565,421.	
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2 3,565,421.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	964,933.	8,084,997.	.119349
2011	1,621,292.	7,361,946.	.220226
2010	166,670.	8,011,013.	.020805
2009	373,242.	7,278,663.	.051279
2008	285,096.	9,654,597.	.029530
2 Total of line 1, column (d)			2 .441189
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .088238
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 16,141,144.
5 Multiply line 4 by line 3			5 1,424,262.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 38,324.
7 Add lines 5 and 6			7 1,462,586.
8 Enter qualifying distributions from Part XII, line 4			8 1,783,528.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	38,324.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	38,324.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	38,324.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	12,920.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	44,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	56,920.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	14.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	18,582.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 18,582. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ TN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A		X	
14	The books are in care of ▶ LATTIMORE BLACK MORGAN & CAIN, P.C. Telephone no. ▶ (615) 377-4600 Located at ▶ 5250 VIRGINIA WAY, SUITE 400, BRENTWOOD, TN ZIP+4 ▶ 37027			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶		16	Yes No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ▶	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHRYN C. BROWN 4410 TRUXTON PLACE NASHVILLE, TN 37205	PRESIDENT 0.00	0.	0.	0.
EDITH C. JOHNSON 4407 IROQUOIS NASHVILLE, TN 37205	TREASURER 0.00	0.	0.	0.
JULIE CARELL STADLER 4432 TYNE BLVD NASHVILLE, TN 37215	SECRETARY 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	14,255,363.
b	Average of monthly cash balances	1b	2,131,585.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	16,386,948.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	16,386,948.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	245,804.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,141,144.
6	Minimum investment return. Enter 5% of line 5	6	807,057.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	807,057.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	38,324.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	38,324.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	768,733.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	768,733.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	768,733.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,783,528.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,783,528.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	38,324.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,745,204.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				768,733.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009	12,433.			
c From 2010				
d From 2011	1,263,677.			
e From 2012	573,589.			
f Total of lines 3a through e	1,849,699.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 1,783,528.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				768,733.
e Remaining amount distributed out of corpus	1,014,795.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,864,494.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,864,494.			
10 Analysis of line 9:				
a Excess from 2009	12,433.			
b Excess from 2010				
c Excess from 2011	1,263,677.			
d Excess from 2012	573,589.			
e Excess from 2013	1,014,795.			

N/A

- 4942(j)(3) or 4942(j)(5)

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CENTERSTONE/MEMORIAL P.O. BOX 40406 NASHVILLE, TN 37204	NONE	PUBLIC	TO FURTHER CHARITABLE ACTIVITIES	100,000.
CHEEKWOOD BOTANICAL GARDEN & MUSEUM OF ART 1200 FORREST PARK DRIVE NASHVILLE, TN 37205	NONE	PUBLIC	TO FURTHER CHARITABLE ACTIVITIES	330,000.
NASHVILLE PUBLIC TELEVISION 161 RAINS AVENUE NASHVILLE, TN 37203	NONE	PUBLIC	TO FURTHER CHARITABLE ACTIVITIES	15,000.
POPE JOHN PAUL II HIGH SCHOOL 2201 WEST END AVENUE NASHVILLE, TN 37235	NONE	PUBLIC	TO FURTHER CHARITABLE ACTIVITIES	110,000.
ST. EDWARD CHURCH 188 THOMPSON LANE NASHVILLE, TN 37211-2463	NONE	PUBLIC	TO FURTHER CHARITABLE ACTIVITIES	20,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	1,776,899.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities			14	558,965.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income	900099	16,365.				
8 Gain or (loss) from sales of assets other than inventory			18	2,339,910.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		16,365.		2,898,875.		0.
13 Total. Add line 12, columns (b), (d), and (e)					13	2,915,240.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	GOLDMAN SACHS #52431-1 (SEE ATTACHMENT 1)	P	VARIOUS	VARIOUS
b	GOLDMAN SACHS #52431-1 (SEE ATTACHMENT 1)	P	VARIOUS	VARIOUS
c	GOLDMAN SACHS #52433-7 (SEE ATTACHMENT 2)	P	VARIOUS	VARIOUS
d	GOLDMAN SACHS #52433-7 (SEE ATTACHMENT 2)	P	VARIOUS	VARIOUS
e	GOLDMAN SACHS #52434-5 (SEE ATTACHMENT 3)	P	VARIOUS	VARIOUS
f	GOLDMAN SACHS #52434-5 (SEE ATTACHMENT 3)	P	VARIOUS	VARIOUS
g	350.00 SHS INTEL CORPORATION CMN	P	11/27/13	12/05/13
h	GOLDMAN SACHS #71783-2 (SEE ATTACHMENT 4)	P	11/27/13	12/05/13
i	188.00 SHS CENOVUS ENERGY INC	P	11/27/13	12/26/13
j	6.00 SHS KEYENCE CORPORATION	P	11/27/13	12/26/13
k	GOLDMAN SACHS #52432-9 (SEE ATTACHMENT 5)	P	VARIOUS	VARIOUS
l	CAPITAL GAINS DIVIDENDS			
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,409,811.		2,530,489.	-120,678.
b 6,230,335.		5,272,830.	957,505.
c 2,389,723.		2,178,439.	211,284.
d 1,603,905.		1,136,845.	467,060.
e 1,268,464.		1,137,484.	130,980.
f 1,986,890.		1,325,192.	661,698.
g 8,386.		8,298.	88.
h 28,799.		29,138.	-339.
i 5,269.		5,428.	-159.
j 2,508.		2,397.	111.
k 4,563,593.		3,354,169.	1,209,424.
l 48,447.			48,447.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			-120,678.
b			957,505.
c			211,284.
d			467,060.
e			130,980.
f			661,698.
g			88.
h			-339.
i			-159.
j			111.
k			1,209,424.
l			48,447.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	3,565,421.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

3 Grants and Contributions Paid During the Year (Continuation)

[illegible]

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE ANN AND MONROE CARELL FOUNDATION

Employer identification number

58-1537831

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐

501(c)() (enter number) organization

☐4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐

527 political organization

Form 990-PF

☒

501(c)(3) exempt private foundation

☐

4947(a)(1) nonexempt charitable trust treated as a private foundation

☐

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**☒

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules☐

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.☐For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year

\$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization	Employer identification number
THE ANN AND MONROE CARELL FOUNDATION	58-1537831

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MONROE CARELL, JR. TESTAMENTARY Q-TIP TR FBO ANN SCOTT CARELL 95 WHITE BRIDGE ROAD, STE. 514 NASHVILLE, TN 37205	\$ 5,300,000.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE ANN AND MONROE CARELL FOUNDATION	58-1537831

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

THE ANN AND MONROE CARELL FOUNDATION
EIN: 58-1537831
CAPITAL GAINS AND LOSSES - ATTACHMENT 1

Tax Year Account No Legal Name
2013 003-52431-1 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ISHARES MSCI EMERGING MKTS ETF (464287234)	01/30/2013	06/04/2013	2,900 00	120,724 89	0 00	128,383 00	(7,658 11)
ISHARES TIPS BOND ETF (464287176)	04/19/2013	06/07/2013	100 00	11,561 79	0 00	12,158 00	(596 21)
ISHARES MSCI EMERGING MKTS ETF (464287234)	01/30/2013	06/07/2013	1,600 00	65,278 86	0 00	70,832 00	(5,553 14)
ISHARES MSCI EMERGING MKTS ETF (464287234)	04/19/2013	06/07/2013	2,400 00	97,918 29	0 00	99,864 00	(1,945 71)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	01/30/2013	06/12/2013	17,900 55	162,000 00	0 00	177,394 47	(15,394 47)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/12/2012	06/24/2013	244 55	1,741 17	0 00	1,790 08	(48 91)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	07/31/2012	06/24/2013	543 51	3,869 80	0 00	3,929 59	(59 79)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/29/2012	06/24/2013	544 95	3,880 03	0 00	3,885 48	(5 45)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/28/2012	06/24/2013	562 43	4,004 49	0 00	4,116 98	(112 49)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/31/2012	06/24/2013	566 24	4,031 61	0 00	4,161 84	(130 23)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/31/2012	06/24/2013	568 23	4,045 80	0 00	4,125 36	(79 56)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2012	06/24/2013	794 27	5,655 17	0 00	5,853 74	(198 57)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/31/2013	06/24/2013	803 19	5,718 74	0 00	5,919 54	(200 80)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/31/2012	06/24/2013	812 18	5,782 71	0 00	5,937 02	(154 31)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/31/2013	06/24/2013	861 87	6,136 51	0 00	6,403 69	(267 18)

⁴ Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.

Tax Year Account No Legal Name
2013 003-52431-1 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/28/2013	06/24/2013	910 29	6,481 29	0 00	6,708 87	(227 58)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/30/2013	06/24/2013	911 64	6,490 89	0 00	6,846 43	(355 54)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/28/2013	06/24/2013	927 07	6,600 74	0 00	6,878 86	(278 12)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/12/2012	06/24/2013	2,334 84	16,624 02	0 00	17,090 99	(466 97)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/07/2013	06/24/2013	27,247 96	194,005 45	0 00	200,000 00	(5,994 55)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	01/30/2013	07/02/2013	10,053 66	89,075 39	0 00	99,631 73	(10,556 34)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	01/30/2013	08/13/2013	22,499 88	197,773 93	0 00	222,973 80	(25,199 87)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	02/07/2013	08/13/2013	50,403 23	443,044 35	0 00	500,000 00	(56,955 65)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	08/31/2012	08/15/2013	333 29	3,402 88	0 00	3,579 52	(176 64)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415) Disallowed Loss							176 64
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	09/28/2012	08/15/2013	377 23	3,851 53	0 00	4,074 10	(222 57)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415) Disallowed Loss							43 18
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	11/30/2012	08/15/2013	377 82	3,857 56	0 00	4,110 70	(253 14)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	10/31/2012	08/15/2013	407 63	4,161 93	0 00	4,422 82	(260 89)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	01/31/2013	08/15/2013	426 63	4,355 89	0 00	4,496 68	(140 79)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/31/2012	08/15/2013	449 88	4,593 25	0 00	4,764 21	(170 96)

⁴ Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.
⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.

Tax Year Account No Legal Name
2013 003-52431-1 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	02/07/2013	08/15/2013	875.20	8,935.76	0.00	9,233.33	(297.57)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/12/2012	08/15/2013	1,431.85	14,619.14	0.00	15,134.60	(515.46)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/12/2012	08/15/2013	4,078.38	41,640.27	0.00	43,108.49	(1,468.22)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	02/07/2013	08/20/2013	48,574.71	494,976.27	0.00	512,463.16	(17,486.89)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/10/2012	08/26/2013	132.79	1,932.15	0.00	2,157.91	(225.76)
GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38145N303)	10/22/2013	12/23/2013	16,538.04	140,738.69	0.00	150,000.00	(9,261.31)
Net Covered Short-Term Disallowed Losses							219.82
Net Covered Short-Term Gains (Losses)				2,189,511.24	0.00	2,352,430.99	(162,699.93)
NonCovered Short-Term Gains (Losses)							
ROYAL BANK OF CANADA LINKED TO TOPIX INDEX (TOKYO) DELTA ONE STRUCTURED NOTE DUE 07/12/2013 (78008C622)	06/14/2012	01/16/2013	29.00	37,143.49	0.00	30,058.50	7,084.99
ROYAL BANK OF CANADA LINKED TO TOPIX INDEX (TOKYO) DELTA ONE STRUCTURED NOTE DUE 07/12/2013 (78008C622)	06/14/2012	01/16/2013	67.00	85,814.27	0.00	69,445.50	16,368.77
ROYAL BANK OF CANADA LINKED TO TOPIX INDEX (TOKYO) DELTA ONE STRUCTURED NOTE DUE 07/12/2013 (78008C622)	06/14/2012	01/16/2013	76.00	97,341.56	0.00	78,774.00	18,567.56
Net NonCovered Short-Term Gains (Losses)				220,299.32	0.00	178,278.00	42,021.32

⁴ Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.
⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.

Tax Year Account No Legal Name
2013 003-52431-1 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/30/2012	06/24/2013	17 59	125 21	0 00	125 56	(0 35)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	04/30/2012	06/24/2013	577 24	4,109 92	0 00	4,138 78	(28 86)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/31/2012	06/24/2013	577 45	4,111 41	0 00	4,059 44	51 97
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	03/02/2012	06/24/2013	615 84	4,384 81	0 00	4,421 76	(36 95)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/02/2012	06/24/2013	1,412 43	10,056 50	0 00	10,000 00	56 50
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/02/2012	06/24/2013	2,824 86	20,113 00	0 00	20,000 00	113 00
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/03/2012	06/24/2013	3,521 13	25,070 42	0 00	25,000 00	70 42
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	07/31/2012	08/15/2013	359 15	3,666 92	0 00	3,835 72	(168 80)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	06/29/2012	08/15/2013	374 74	3,826 09	0 00	3,938 52	(112 43)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	01/31/2012	08/15/2013	426 06	4,350 08	0 00	4,401 21	(51 13)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415) Disallowed Loss							51 13
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	04/30/2012	08/15/2013	429 46	4,384 75	0 00	4,487 81	(103 06)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	05/31/2012	08/15/2013	443 14	4,524 42	0 00	4,652 93	(128 51)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	02/29/2012	08/15/2013	463 89	4,736 28	0 00	4,810 50	(74 22)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	03/30/2012	08/15/2013	595 55	6,080 51	0 00	6,175 80	(95 29)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	02/29/2012	08/20/2013	66 95	682 17	0 00	700 25	(18 08)

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Tax Year Account No Legal Name
2013 003-52431-1 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	01/31/2012	08/20/2013	426.06	4,341.56	0.00	4,439.55	(97.99)
Net Covered Long-Term Disallowed Losses							
Net Covered Long-Term Gains (Losses)				104,564.05	0.00	105,187.83	61.84 (561.94)
NonCovered Long-Term Gains (Losses)							
GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES (38142V209)	08/15/2008	01/30/2013	117.23	5,514.55	0.00	4,366.86	1,147.69
GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES (38142V209)	08/15/2008	01/30/2013	1,796.03	84,485.45	0.00	66,902.26	17,583.19
GS SMALL/MID-CAP GROWTH FUND INSTITUTIONAL SHARES (38143H746)	10/13/2009	01/30/2013	3,575.69	60,000.00	0.00	41,978.54	18,021.46
ROYAL BANK OF CANADA LINKED TO MSCI EAFE 0% COUPON DUE 02/28/2013 STRUCTURED NOTE (78010V659)	12/21/2011	02/28/2013	67.00	73,030.00	0.00	67,000.00	6,030.00
ROYAL BANK OF CANADA LINKED TO MSCI EAFE 0% COUPON DUE 02/28/2013 STRUCTURED NOTE (78010V659)	12/21/2011	02/28/2013	68.00	74,120.00	0.00	68,000.00	6,120.00
RABOBANK NEDERLAND UTRECHT LINKED TO MSCI EAFE 0% COUPON DUE 03/26/2013 STRUCTURED NOTE (21684A809)	12/19/2011	03/26/2013	67.00	84,085.00	0.00	67,000.00	17,085.00
RABOBANK NEDERLAND UTRECHT LINKED TO MSCI EAFE 0% COUPON DUE 03/26/2013 STRUCTURED NOTE (21684A809)	12/19/2011	03/26/2013	68.00	85,340.00	0.00	68,000.00	17,340.00
RABOBANK NEDERLAND UTRECHT LINKED TO S&P 500 0% COUPON DUE 03/26/2013 STRUCTURED NOTE (21684A882)	12/19/2011	03/26/2013	67.00	81,572.50	0.00	67,000.00	14,572.50
RABOBANK NEDERLAND UTRECHT LINKED TO S&P 500 0% COUPON DUE 03/26/2013 STRUCTURED NOTE (21684A882)	12/19/2011	03/26/2013	68.00	82,790.00	0.00	68,000.00	14,790.00
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/15/2009	04/19/2013	13,440.86	100,000.01	0.00	88,844.09	11,155.92
GS SMALL CAP VALUE FUND INSTITUTIONAL CLASS SHARES (38142V209)	08/15/2008	04/19/2013	516.85	25,000.00	0.00	19,252.63	5,747.37
GS SMALL/MID-CAP GROWTH FUND INSTITUTIONAL CLASS SHARES (38143H746)	10/13/2009	04/19/2013	580.38	10,000.00	0.00	6,813.70	3,186.30

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Tax Year Account No Legal Name
2013 003-52431-1 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
EKS PORTFINANS ASA LINKED TO TOPIX 0% COUPON DUE 04/22/2013 STRUCTURED NOTE (28264M277)	10/14/2011	04/22/2013	75.00	113,119.97	0.00	75,562.50	37,557.47
EKS PORTFINANS ASA LINKED TO TOPIX 0% COUPON DUE 04/22/2013 STRUCTURED NOTE (28264M277)	10/14/2011	04/22/2013	75.00	113,119.97	0.00	75,562.50	37,557.47
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/30/2009	06/24/2013	545.79	3,886.00	0.00	3,684.06	201.94
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/31/2011	06/24/2013	606.39	4,317.50	0.00	4,208.35	109.15
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/30/2011	06/24/2013	635.79	4,526.80	0.00	4,215.27	311.53
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/31/2011	06/24/2013	639.62	4,554.06	0.00	4,483.70	70.36
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/30/2011	06/24/2013	644.04	4,585.57	0.00	4,424.56	161.01
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2011	06/24/2013	660.23	4,700.83	0.00	4,489.56	211.27
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	06/30/2010	06/24/2013	795.48	5,663.83	0.00	5,504.73	159.10
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	05/28/2010	06/24/2013	858.41	6,111.87	0.00	5,914.44	197.43
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	02/26/2010	06/24/2013	872.50	6,212.21	0.00	6,046.44	165.77
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	10/30/2009	06/24/2013	891.31	6,346.12	0.00	6,078.73	267.39
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	01/29/2010	06/24/2013	912.78	6,498.97	0.00	6,362.05	136.92
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	11/30/2008	06/24/2013	915.41	6,517.69	0.00	6,243.07	274.62
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/14/2011	06/24/2013	1,099.84	7,830.88	0.00	7,467.93	362.95
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	12/31/2009	06/24/2013	1,216.45	8,661.14	0.00	8,454.34	206.80
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/29/2011	06/24/2013	1,938.16	13,799.70	0.00	12,966.29	833.41
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/16/2011	06/24/2013	5,028.74	35,804.60	0.00	35,000.00	804.60
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	08/16/2011	06/24/2013	5,028.74	35,804.60	0.00	35,000.00	804.60
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/29/2011	06/24/2013	9,715.99	69,177.88	0.00	65,000.00	4,177.88
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/29/2011	06/24/2013	10,463.38	74,499.25	0.00	70,000.00	4,499.25
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/30/2010	06/24/2013	12,013.99	85,539.64	0.00	86,380.62	(840.98)
GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679)	09/15/2009	06/24/2013	60,019.71	427,340.36	0.00	396,730.31	30,610.05

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
AB SVENSK EXPORTKREDIT (PUBL) LINKED TO S&P 500 0% COUPON DUE 07/9/2013 STRUCTURED NOTE (01019A757)	07/01/2011	07/09/2013	150 00	175,312 50	0 00	150,000 00	25,312 50
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	11/30/2011	08/15/2013	404 57	4,130 61	0 00	4,187 25	(56 64)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/30/2011	08/15/2013	424 25	4,331 61	0 00	4,335 86	(4 25)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	10/31/2011	08/15/2013	429 26	4,382 70	0 00	4,485 73	(103 03)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	04/29/2011	08/15/2013	435 53	4,446 75	0 00	4,477 24	(30 49)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	08/31/2011	08/15/2013	451 72	4,612 01	0 00	4,724 94	(112 93)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	09/30/2011	08/15/2013	464 38	4,741 33	0 00	4,838 85	(97 52)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	02/28/2011	08/15/2013	481 89	4,920 09	0 00	4,934 54	(14 45)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	07/29/2011	08/15/2013	483 89	4,940 56	0 00	5,037 34	(96 78)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	05/31/2011	08/15/2013	493 51	5,038 71	0 00	5,127 54	(88 83)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	06/30/2011	08/15/2013	494 40	5,047 84	0 00	5,077 51	(29 67)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	01/31/2011	08/15/2013	536 79	5,480 58	0 00	5,475 21	5 37
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	03/31/2011	08/15/2013	580 98	5,931 83	0 00	5,908 59	23 24
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	10/29/2010	08/15/2013	586 36	5,986 72	0 00	6,250 59	(263 87)

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/31/2010	08/15/2013	620 60	6,336 30	0 00	6,305 27	31 03
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	09/30/2010	08/15/2013	768 11	7,842 39	0 00	8,157 32	(314 93)
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/14/2011	08/15/2013	791 81	8,084 34	0 00	8,084 34	0 00
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/15/2010	08/15/2013	1,470 33	15,012 03	0 00	14,762 07	249 96
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/14/2011	08/15/2013	3,181 96	32,487 78	0 00	32,487 78	0 00
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/15/2010	08/15/2013	6,549 20	66,867 28	0 00	65,753 92	1,113 36
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	12/11/2008	08/15/2013	22,271 72	227,394 21	0 00	200,000 00	27,394 21
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	09/15/2009	08/15/2013	50,454 09	515,136 23	0 00	500,000 00	15,136 23
GS CORE PLUS FIXED INCOME FUND INSTITUTIONAL SHARES (38143H415)	08/15/2008	08/15/2013	82,356 60	840,860 84	0 00	781,564 09	59,296 75
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	04/21/2009	08/26/2013	1 86	27 05	0 00	18 05	9 00
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/11/2009	08/26/2013	1 89	27 48	0 00	29 05	(1 57)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	06/29/2011	08/26/2013	2 20	31 95	0 00	39 46	(7 51)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/11/2009	08/26/2013	3 41	49 63	0 00	52 46	(2 83)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	06/29/2011	08/26/2013	3 96	57 67	0 00	71 24	(13 57)

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2013 003-52431-1 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/12/2011	08/26/2013	7 21	104 85	0 00	104 48	0 37
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/11/2009	08/26/2013	8 83	128 42	0 00	135 74	(7 32)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	06/29/2011	08/26/2013	10 26	149 27	0 00	184 36	(35 09)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/13/2010	08/26/2013	11 15	162 23	0 00	197 80	(35 57)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/12/2011	08/26/2013	13 01	189 27	0 00	188 62	0 65
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/13/2010	08/26/2013	20 13	292 89	0 00	357 11	(64 22)
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/12/2011	08/26/2013	33 66	489 80	0 00	488 11	1 69
GS EMERGING MARKETS EQUITY FUND INSTITUTIONAL SHARES (38142B369)	12/13/2010	08/26/2013	52 09	757 94	0 00	924 11	(166 17)
RABOBANK NEDERLAND UTRECHT LINKED TO MSCI EAFE 0% COUPON DUE 11/08/2013 STRUCTURED NOTE (21684A304)	11/01/2011	11/08/2013	250 00	340,000 00	0 00	250,000 00	90,000 00
RABOBANK NEDERLAND UTRECHT LINKED TO MSCI EAFE 0% COUPON DUE 11/08/2013 STRUCTURED NOTE (21684A304)	11/01/2011	11/08/2013	450 00	612,000 00	0 00	450,000 00	162,000 00
RABOBANK NEDERLAND UTRECHT LINKED TO MSCI EAFE 0% COUPON DUE 11/08/2013 STRUCTURED NOTE (21684A304)	11/01/2011	11/08/2013	600 00	816,000 00	0 00	600,000 00	216,000 00
RABOBANK NEDERLAND UTRECHT LINKED TO S&P 500 0% COUPON DUE 11/08/2013 STRUCTURED NOTE (21684A205)	11/01/2011	11/08/2013	400 00	506,800 00	0 00	400,000 00	106,800 00
BNP PARIBAS LINKED TO MSCI EAFE BETTER OF CNTNGNT CPN W BUFFER STRUCTURED NOTE DUE 12/12/2013 (05574LCH9)	11/08/2012	12/12/2013	70,000 00	74,620 00	0 00	70,000 00	4,620 00
Net NonCovered Long-Term Gains (Losses)				6,125,770.64	0.00	5,167,704.10	958,066.54

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THE ANN AND MONROE CARELL FOUNDATION
EIN: 58-1537831
CAPITAL GAINS AND LOSSES - ATTACHMENT 2

Tax Year Account No Legal Name
2013 003-52433-7 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
TRANSOCEAN LTD CMN (H8817H100)	10/03/2012	01/14/2013	99 00	5,455 76	0 00	4,471 33	984 43
J M SMUCKER CO CMN (832696405)	04/23/2012	01/15/2013	29 00	2,563 48	0 00	2,264 46	299 02
J M SMUCKER CO CMN (832696405)	04/23/2012	01/15/2013	164 00	14,496 89	0 00	12,805 93	1,690 96
J M SMUCKER CO CMN (832696405)	04/23/2012	01/15/2013	191 00	16,883 57	0 00	14,914 22	1,969 35
TRANSOCEAN LTD CMN (H8817H100)	10/03/2012	01/16/2013	37 00	2,039 15	0 00	1,671 10	368 05
TRANSOCEAN LTD CMN (H8817H100)	10/03/2012	01/17/2013	169 00	9,318 83	0 00	7,632 88	1,685 95
ALTERA CORP CMN (021441100)	04/24/2012	01/30/2013	62 00	2,072 61	0 00	2,129 67	(57 06)
ALTERA CORP CMN (021441100)	04/24/2012	01/30/2013	120 00	4,011 51	0 00	4,121 93	(110 42)
AMERICAN INTL GROUP, INC CMN (026874784)	12/11/2012	01/30/2013	171 00	6,461 94	0 00	5,914 11	547 83
AT&T INC CMN (002068102)	03/05/2012	01/30/2013	49 00	1,706 88	0 00	1,516 19	190 69
AT&T INC CMN (002068102)	03/05/2012	01/30/2013	134 00	4,667 78	0 00	4,146 32	521 46
BED BATH & BEYOND INC CMN (075896100)	10/17/2012	01/30/2013	107 00	6,265 77	0 00	6,608 17	(342 40)
CITIGROUP INC CMN (172967424)	11/20/2012	01/30/2013	152 00	6,379 29	0 00	5,480 42	898 87
DIRECTV CMN (25490A309)	11/07/2012	01/30/2013	104 00	5,499 39	0 00	5,156 18	343 21
DUKE ENERGY CORPORATION CMN (26441C204)	09/24/2012	01/30/2013	115 00	7,857 77	0 00	7,431 61	426 16
EASTMAN CHEM CO CMN (277432100)	06/07/2012	01/30/2013	106 00	7,672 84	0 00	5,017 55	2,655 29

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ELI LILLY & CO CMN (532457108)	12/18/2012	01/30/2013	152 00	8,256 45	0 00	7,513 02	743 43
GENERAL MOTORS COMPANY CMN (37045V100)	09/10/2012	01/30/2013	194 00	5,492 01	0 00	4,458 92	1,033 09
GOOGLE, INC CMN CLASS A (38259P508)	11/02/2012	01/30/2013	7 00	5,303 64	0 00	4,829 44	474 20
LAM RESEARCH CORP CMN (512807108)	03/07/2012	01/30/2013	15 00	628 40	0 00	602 58	25 82
LAM RESEARCH CORP CMN (512807108)	03/07/2012	01/30/2013	188 00	7,875 93	0 00	7,552 30	323 63
MASCO CORPORATION CMN (574599106)	06/08/2012	01/30/2013	18 00	331 92	0 00	238 40	93 52
MASCO CORPORATION CMN (574599106)	06/08/2012	01/30/2013	256 00	4,720 53	0 00	3,390 53	1,330 00
MERCK & CO., INC CMN (58933Y105)	06/25/2012	01/30/2013	259 00	11,290 85	0 00	10,305 02	985 83
MGM RESORTS INTERNATIONAL CMN (552953101)	06/06/2012	01/30/2013	101 00	1,286 71	0 00	1,156 40	130 31
MGM RESORTS INTERNATIONAL CMN (552953101)	06/06/2012	01/30/2013	203 00	2,586 16	0 00	2,324 24	261 92
OCCIDENTAL PETROLEUM CORP CMN (674589105)	12/12/2012	01/30/2013	94 00	8,092 27	0 00	7,168 44	923 83
PHILIP MORRIS INTL INC CMN (718172109)	03/13/2012	01/30/2013	17 00	1,491 89	0 00	1,443 79	48 10
PHILIP MORRIS INTL INC CMN (718172109)	03/13/2012	01/30/2013	57 00	5,002 21	0 00	4,840 93	161 28
TRANSOCEAN LTD CMN (H8817H100)	10/03/2012	01/30/2013	106 00	6,113 75	0 00	4,787 49	1,326 26
URBAN OUTFITTERS INC CMN (917047102)	07/17/2012	01/30/2013	105 00	4,538 00	0 00	3,206 46	1,331 54
VERTEX PHARMACEUTICALS INC CMN (92532F100)	02/08/2012	01/30/2013	107 00	4,782 79	0 00	3,924 40	858 39
VIACOM INC CMN CLASS B (92553P201)	09/25/2012	01/30/2013	91 00	5,394 35	0 00	5,028 42	365 93
WALGREEN CO CMN (931422109)	01/15/2013	01/30/2013	150 00	5,982 32	0 00	5,895 28	87 04
CELGENE CORPORATION CMN (151020104)	06/25/2012	02/01/2013	11 00	1,116 82	0 00	674 44	442 38
CELGENE CORPORATION CMN (151020104)	06/25/2012	02/01/2013	64 00	6,497 84	0 00	3,924 03	2,573 81
CELGENE CORPORATION CMN (151020104)	06/25/2012	02/01/2013	70 00	7,107 01	0 00	4,291 91	2,815 10
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	05/11/2012	02/01/2013	44 00	3,323 78	0 00	2,819 23	504 55
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	02/24/2012	02/01/2013	140 00	10,575 65	0 00	9,335 78	1,239 87
PHILIP MORRIS INTL INC CMN (718172109)	03/27/2012	02/05/2013	9 00	791 12	0 00	788 29	2 83
PHILIP MORRIS INTL INC CMN (718172109)	03/27/2012	02/05/2013	51 00	4,483 02	0 00	4,466 96	16 06

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
PHILIP MORRIS INTL INC CMN (718172109)	03/27/2012	02/05/2013	59 00	5,186 23	0 00	5,167 66	18 57
PHILIP MORRIS INTL INC CMN (718172109)	03/13/2012	02/05/2013	66 00	5,801 55	0 00	5,605 28	196 27
PHILIP MORRIS INTL INC CMN (718172109)	03/13/2012	02/05/2013	108 00	9,493 44	0 00	9,172 28	321 16
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	07/02/2012	02/05/2013	19 00	1,095 28	0 00	1,094 97	0 31
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	12/19/2012	02/05/2013	107 00	6,168 13	0 00	5,853 39	314 74
URBAN OUTFITTERS INC CMN (917047102)	07/17/2012	02/05/2013	248 00	10,627 68	0 00	7,573 36	3,054 32
URBAN OUTFITTERS INC CMN (917047102)	07/17/2012	02/05/2013	404 00	17,312 84	0 00	12,337 24	4,975 60
EASTMAN CHEM CO CMN (277432100)	06/07/2012	02/06/2013	38 00	2,754 27	0 00	1,798 74	955 53
EASTMAN CHEM CO CMN (277432100)	06/07/2012	02/06/2013	143 00	10,364 75	0 00	6,768 96	3,595 79
EASTMAN CHEM CO CMN (277432100)	06/07/2012	02/06/2013	214 00	15,510 89	0 00	10,129 77	5,381 12
MASCO CORPORATION CMN (574599106)	06/08/2012	02/06/2013	365 00	6,647 03	0 00	4,834 15	1,812 88
DIRECTV CMN (25490A309)	11/07/2012	02/20/2013	467 00	22,631 79	0 00	23,153 24	(521 45)
TRANSOCEAN LTD CMN (H8817H100)	10/03/2012	03/05/2013	51 00	2,882 55	0 00	2,303 41	379 14
TRANSOCEAN LTD CMN (H8817H100)	11/02/2012	03/05/2013	69 00	3,629 33	0 00	3,182 28	447 05
XCEL ENERGY INC CMN (98389B100)	06/25/2012	03/12/2013	57 00	1,648 94	0 00	1,588 10	60 84
XCEL ENERGY INC CMN (98389B100)	04/11/2012	03/12/2013	71 00	2,053 94	0 00	1,849 45	204 49
XCEL ENERGY INC CMN (98389B100)	10/25/2012	03/12/2013	194 00	5,612 18	0 00	5,401 43	210 75
XCEL ENERGY INC CMN (98389B100)	06/25/2012	03/12/2013	319 00	9,228 27	0 00	8,887 80	340 47
XCEL ENERGY INC CMN (98389B100)	06/25/2012	03/12/2013	355 00	10,269 71	0 00	9,890 81	378 90
XCEL ENERGY INC CMN (98389B100)	04/11/2012	03/12/2013	494 00	14,290 81	0 00	12,867 98	1,422 83
XCEL ENERGY INC CMN (98389B100)	04/11/2012	03/12/2013	575 00	16,634 04	0 00	14,977 91	1,656 13
ELI LILLY & CO CMN (532457108)	12/18/2012	03/14/2013	709 00	39,031 59	0 00	35,044 29	3,987 30
GOOGLE, INC CMN CLASS A (38259P508)	11/02/2012	03/14/2013	35 00	28,759 90	0 00	24,147 20	4,612 70
TRANSOCEAN LTD CMN (H8817H100)	11/02/2012	03/14/2013	361 00	19,341 48	0 00	16,649 32	2,692 16
OCCIDENTAL PETROLEUM CORP CMN (674599105)	03/14/2013	03/26/2013	115 00	8,919 87	0 00	9,687 69	(767 82)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
OCCIDENTAL PETROLEUM CORP CMN (674599105)	01/25/2013	03/26/2013	123 00	9,540 38	0 00	10,317 46	(777 08)
OCCIDENTAL PETROLEUM CORP CMN (674599105)	12/12/2012	03/26/2013	318 00	24,665 37	0 00	24,250 67	414 70
GENERAL MOTORS COMPANY CMN (37045V100)	10/03/2012	04/11/2013	176 00	5,149 07	0 00	4,249 42	899 65
GENERAL MOTORS COMPANY CMN (37045V100)	09/10/2012	04/11/2013	247 00	7,226 25	0 00	5,677 07	1,549 18
GENERAL MOTORS COMPANY CMN (37045V100)	11/02/2012	04/11/2013	575 00	16,822 25	0 00	14,725 75	2,096 50
DUKE ENERGY CORPORATION CMN (26441C204)	10/18/2012	04/12/2013	42 00	3,063 91	0 00	2,749 15	314 76
DUKE ENERGY CORPORATION CMN (26441C204)	02/06/2013	04/12/2013	156 00	11,380 25	0 00	10,707 19	673 06
DUKE ENERGY CORPORATION CMN (26441C204)	09/24/2012	04/12/2013	212 00	15,465 47	0 00	13,700 02	1,765 45
DUKE ENERGY CORPORATION CMN (26441C204)	11/02/2012	04/12/2013	344 00	25,094 91	0 00	22,404 72	2,690 19
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	03/04/2013	04/15/2013	36 00	6,378 44	0 00	7,221 87	(843 43)
CF INDUSTRIES HOLDINGS, INC CMN (125269100)	02/20/2013	04/15/2013	113 00	20,021 23	0 00	23,578 29	(3,557 06)
EVEREST RE GROUP LTD CMN (G3223R108)	07/02/2012	04/17/2013	11 00	1,363 25	0 00	1,148 95	214 30
AETNA INC CMN (00817Y108)	02/08/2013	04/19/2013	89 00	4,926 03	0 00	4,520 64	405 39
AMERICAN INTL GROUP, INC CMN (026874784)	12/11/2012	04/19/2013	105 00	4,079 15	0 00	3,631 47	447 68
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	02/01/2013	04/19/2013	60 00	5,929 86	0 00	5,469 95	459 91
AT&T INC CMN (00206R102)	04/23/2012	04/19/2013	3 00	114 70	0 00	92 20	22 50
AT&T INC CMN (00206R102)	04/23/2012	04/19/2013	6 00	229 39	0 00	184 13	45 26
AT&T INC CMN (00206R102)	04/23/2012	04/19/2013	14 00	535 25	0 00	429 64	105 61
AT&T INC CMN (00206R102)	07/02/2012	04/19/2013	38 00	1,454 61	0 00	1,356 22	98 39
AT&T INC CMN (00206R102)	11/14/2012	04/19/2013	45 00	1,722 56	0 00	1,538 19	184 37
AT&T INC CMN (00206R102)	04/23/2012	04/19/2013	69 00	2,641 26	0 00	2,117 50	523 76
AT&T INC CMN (00206R102)	04/23/2012	04/19/2013	87 00	3,326 21	0 00	2,669 89	656 32
AT&T INC CMN (00206R102)	04/23/2012	04/19/2013	135 00	5,161 36	0 00	4,148 77	1,012 59
AT&T INC CMN (00206R102)	04/23/2012	04/19/2013	156 00	5,964 23	0 00	4,794 14	1,170 09
BP P L C SPONSORED ADR CMN (055622104)	03/26/2013	04/19/2013	98 00	4,016 93	0 00	4,132 86	(115 93)

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Tax Year Account No Legal Name
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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
CITIGROUP INC CMN (172967424)	11/20/2012	04/19/2013	104 00	4,696 53	0 00	3,749 76	946 77
EXELON CORPORATION CMN (30161N101)	03/12/2013	04/19/2013	142 00	5,161 59	0 00	4,576 66	584 93
LIBERTY GLOBAL, INC CMN CLASS A (530555101)	04/19/2013	04/19/2013	51 00	3,739 23	0 00	3,735 59	3 64
MERCK & CO, INC CMN (58933Y105)	06/25/2012	04/19/2013	38 00	1,790 90	0 00	1,511 93	278 97
MERCK & CO, INC CMN (58933Y105)	06/25/2012	04/19/2013	48 00	2,262 19	0 00	1,909 81	352 38
MERCK & CO, INC CMN (58933Y105)	06/25/2012	04/19/2013	90 00	4,241 60	0 00	3,580 89	660 71
P G & E CORPORATION CMN (69331C108)	04/17/2013	04/19/2013	99 00	4,885 56	0 00	4,643 38	42 18
VERTEX PHARMACEUTICALS INC CMN (92532F100)	06/04/2012	04/19/2013	10 00	821 70	0 00	577 08	244 62
VERTEX PHARMACEUTICALS INC CMN (92532F100)	11/19/2012	04/19/2013	17 00	1,429 03	0 00	703 67	725 36
VERTEX PHARMACEUTICALS INC CMN (92532F100)	06/04/2012	04/19/2013	24 00	2,017 46	0 00	1,384 98	632 48
VERTEX PHARMACEUTICALS INC CMN (92532F100)	06/04/2012	04/19/2013	34 00	2,793 79	0 00	1,962 06	831 73
VERTEX PHARMACEUTICALS INC CMN (92532F100)	06/04/2012	04/19/2013	67 00	5,505 40	0 00	3,866 41	1,638 99
VIACOM INC CMN CLASS B (92553P201)	11/02/2012	04/19/2013	3 00	197 42	0 00	153 15	44 27
VIACOM INC CMN CLASS B (92553P201)	09/25/2012	04/19/2013	196 00	12,898 32	0 00	10,830 45	2,067 87
WAL MART STORES INC CMN (931142103)	03/15/2013	04/19/2013	49 00	3,820 44	0 00	3,586 93	233 51
WALGREEN CO CMN (931422109)	01/15/2013	04/19/2013	118 00	5,813 72	0 00	4,637 62	1,176 10
BED BATH & BEYOND INC CMN (075896100)	11/23/2012	05/09/2013	93 00	6,463 68	0 00	5,582 62	881 06
BED BATH & BEYOND INC CMN (075896100)	10/17/2012	05/09/2013	154 00	10,703 31	0 00	9,510 83	1,192 48
BED BATH & BEYOND INC CMN (075896100)	11/02/2012	05/09/2013	244 00	16,958 48	0 00	14,039 76	2,918 72
MASCO CORPORATION CMN (574599106)	07/31/2012	05/10/2013	9 00	191 28	0 00	108 00	83 28
MASCO CORPORATION CMN (574599106)	08/01/2012	05/10/2013	22 00	467 56	0 00	263 82	203 74
MASCO CORPORATION CMN (574599106)	07/31/2012	05/10/2013	84 00	1,785 23	0 00	1,007 99	777 24
MASCO CORPORATION CMN (574599106)	07/31/2012	05/10/2013	91 00	1,934 00	0 00	1,091 99	842 01
MASCO CORPORATION CMN (574599106)	08/01/2012	05/10/2013	201 00	4,271 81	0 00	2,410 38	1,861 43
MASCO CORPORATION CMN (574599106)	08/01/2012	05/10/2013	221 00	4,696 87	0 00	2,650 22	2,046 65

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
MASCO CORPORATION CMN (574599106)	06/08/2012	05/10/2013	400 00	8,501 12	0 00	5,297 70	3,203 42
PPL CORPORATION CMN (693511106)	10/25/2012	05/22/2013	147 00	4,674 49	0 00	4,316 57	357 92
LAM RESEARCH CORP CMN (512807108)	11/19/2012	05/29/2013	196 00	9,161 78	0 00	6,797 48	2,364 30
LAM RESEARCH CORP CMN (512807108)	11/29/2012	05/29/2013	225 00	10,517 35	0 00	7,984 40	2,532 95
AETNA INC CMN (00817Y108)	02/08/2013	06/04/2013	92 00	5,518 98	0 00	4,673 02	845 96
AMERICAN INTL GROUP, INC CMN (026874784)	12/11/2012	06/04/2013	108 00	4,825 35	0 00	3,735 23	1,090 12
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	02/01/2013	06/04/2013	62 00	5,727 46	0 00	5,652 28	75 18
AT&T INC CMN (00206R102)	11/14/2012	06/04/2013	154 00	5,482 31	0 00	5,264 03	218 28
CITIGROUP INC CMN (172967424)	11/20/2012	06/04/2013	108 00	5,636 42	0 00	3,893 98	1,742 44
COMERICA INCORPORATED CMN (200340107)	03/22/2013	06/04/2013	144 00	5,594 30	0 00	5,206 06	388 24
JUNIPER NETWORKS, INC CMN (48203R104)	04/15/2013	06/04/2013	253 00	4,427 42	0 00	4,701 28	(273 86)
L BRANDS, INC CMN (501797104)	04/11/2013	06/04/2013	90 00	4,715 01	0 00	4,550 76	164 25
MERCK & CO, INC CMN (58933Y105)	06/25/2012	06/04/2013	193 00	9,822 81	0 00	7,679 03	1,943 78
MGM RESORTS INTERNATIONAL CMN (552953101)	06/06/2012	06/04/2013	264 00	4,015 37	0 00	3,022 66	992 71
QUALCOMM INC CMN (747525103)	05/22/2013	06/04/2013	71 00	4,504 87	0 00	4,667 29	(162 42)
SIM CORPORATION CMN (78442P106)	04/17/2013	06/04/2013	314 00	7,554 70	0 00	6,444 93	1,109 77
VIACOM INC CMN CLASS B (92553P201)	11/02/2012	06/04/2013	79 00	5,356 89	0 00	4,032 95	1,323 94
WAL MART STORES INC CMN (931142103)	03/15/2013	06/04/2013	87 00	6,617 10	0 00	6,368 64	248 46
WALGREEN CO CMN (931422109)	01/15/2013	06/04/2013	121 00	5,846 61	0 00	4,755 52	1,091 09
P G & E CORPORATION CMN (69331C108)	04/17/2013	06/05/2013	945 00	41,660 51	0 00	44,323 19	(2,662 68)
WALGREEN CO CMN (931422109)	02/05/2013	06/07/2013	225 00	11,054 88	0 00	9,334 72	1,720 16
WALGREEN CO CMN (931422109)	03/05/2013	06/07/2013	334 00	16,410 35	0 00	13,526 48	2,883 87
WALGREEN CO CMN (931422109)	01/15/2013	06/07/2013	447 00	21,962 36	0 00	17,567 93	4,394 43
THE TRAVELERS COMPANIES, INC CMN (89417E109)	07/02/2012	06/13/2013	18 00	1,467 87	0 00	1,147 86	320 01
SLM CORPORATION CMN (78442P106)	04/17/2013	06/17/2013	1,326 00	30,446 38	0 00	27,216 48	3,229 90

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
AETNA INC CMN (00817Y108)	02/08/2013	07/11/2013	765 00	49,420 52	0 00	38,857 21	10,563 31
AT&T INC CMN (00206R102)	11/14/2012	07/24/2013	28 00	987 45	0 00	957 10	30 35
AT&T INC CMN (00206R102)	02/25/2013	07/24/2013	359 00	12,660 41	0 00	12,828 24	(167 83)
AT&T INC CMN (00206R102)	02/06/2013	07/24/2013	896 00	31,598 13	0 00	31,626 55	(28 42)
TOLL BROTHERS, INC. CMN (889478103)	05/09/2013	08/07/2013	111 00	3,489 77	0 00	4,050 89	(561 12)
EXELON CORPORATION CMN (30161N101)	06/05/2013	09/26/2013	118 00	3,535 34	0 00	3,707 06	(171 72)
EXELON CORPORATION CMN (30161N101)	03/12/2013	09/26/2013	1,351 00	40,476 64	0 00	43,542 69	(3,066 05)
JUNIPER NETWORKS, INC. CMN (48203R104)	04/15/2013	09/26/2013	1,069 00	21,647 58	0 00	19,864 28	1,783 30
ORACLE CORPORATION CMN (68389X105)	06/05/2013	09/26/2013	980 00	33,168 24	0 00	33,559 89	(391 65)
ARIAD PHARMACEUTICALS INC CMN (04033A100)	09/26/2013	10/18/2013	1,402 00	3,922 17	0 00	26,838 82	(22,916 65)
COMERICA INCORPORATED CMN (200340107)	03/22/2013	12/16/2013	606 00	27,493 80	0 00	21,908 85	5,584 95
QUALCOMM INC CMN (747525103)	05/22/2013	12/16/2013	165 00	12,021 27	0 00	10,846 53	1,174 74
VIACOM INC CMN CLASS B (92553P201)	06/13/2013	12/16/2013	123 00	10,015 27	0 00	8,104 32	1,910 95
VIACOM INC CMN CLASS B (92553P201)	03/14/2013	12/16/2013	151 00	12,295 16	0 00	9,568 55	2,726 61
ALTERA CORP CMN (021441100)	12/19/2012	12/18/2013	95 00	3,010 56	0 00	3,296 17	(285 61)
ALTERA CORP CMN (021441100)	11/08/2013	12/18/2013	131 00	4,151 40	0 00	4,372 77	(221 37)
ALTERA CORP CMN (021441100)	03/14/2013	12/18/2013	170 00	5,387 31	0 00	6,094 72	(707 41)
ALTERA CORP CMN (021441100)	12/16/2013	12/18/2013	192 00	6,084 49	0 00	5,973 16	111 33
ALTERA CORP CMN (021441100)	04/30/2013	12/18/2013	397 00	12,580 96	0 00	12,599 67	(18 71)
AMERICAN INTL GROUP, INC. CMN (026874784)	09/04/2013	12/18/2013	120 00	5,997 20	0 00	5,800 04	197 16
AMERICAN INTL GROUP, INC. CMN (026874784)	07/31/2013	12/18/2013	155 00	7,746 38	0 00	7,140 95	605 43
AMERICAN INTL GROUP, INC. CMN (026874784)	02/01/2013	12/18/2013	329 00	16,442 31	0 00	12,829 34	3,612 97
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	02/05/2013	12/18/2013	228 00	23,550 52	0 00	20,103 24	3,447 28
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108)	02/01/2013	12/18/2013	293 00	30,264 48	0 00	26,711 57	3,552 91
BANK OF AMERICA CORP CMN (060505104)	06/13/2013	12/18/2013	1,108 00	17,323 28	0 00	14,494 12	2,829 16

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
BOEING COMPANY CMN (097023105)	03/04/2013	12/18/2013	71 00	9,643 64	0 00	5,475 77	4,167 87
BP P L C SPONSORED ADR CMN (055622104)	07/30/2013	12/18/2013	133 00	6,139 76	0 00	5,512 61	627 15
BP P L C SPONSORED ADR CMN (055622104)	03/26/2013	12/18/2013	935 00	43,162 99	0 00	39,430 90	3,732 09
CITIGROUP INC CMN (172967424)	09/26/2013	12/18/2013	162 00	8,414 43	0 00	7,937 50	476 93
CITIGROUP INC CMN (172967424)	02/01/2013	12/18/2013	188 00	9,764 89	0 00	8,033 91	1,730 98
CITIGROUP INC CMN (172967424)	06/17/2013	12/18/2013	219 00	11,375 06	0 00	10,851 70	523 36
CITIGROUP INC CMN (172967424)	03/14/2013	12/18/2013	224 00	11,634 76	0 00	10,637 50	997 26
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	06/05/2013	12/18/2013	15 00	888 81	0 00	854 36	34 45
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	03/14/2013	12/18/2013	140 00	8,295 60	0 00	7,883 23	412 37
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	11/08/2013	12/18/2013	149 00	8,828 89	0 00	8,976 52	(147 63)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	09/26/2013	12/18/2013	248 00	14,695 07	0 00	14,701 31	(6 24)
EASTMAN CHEM CO CMN (277432100)	06/07/2013	12/18/2013	362 00	26,948 70	0 00	25,457 93	1,490 77
EBAY INC CMN (278642103)	12/16/2013	12/18/2013	528 00	27,821 84	0 00	27,943 23	(121 39)
ELI LILLY & CO CMN (532457108)	12/10/2013	12/18/2013	738 00	37,231 45	0 00	37,312 45	(81 00)
EMC CORPORATION MASS CMN (268648102)	03/14/2013	12/18/2013	367 00	8,634 46	0 00	9,340 12	(705 66)
EMC CORPORATION MASS CMN (268648102)	12/16/2013	12/18/2013	425 00	9,999 04	0 00	10,056 87	(57 83)
EMC CORPORATION MASS CMN (268648102)	01/29/2013	12/18/2013	827 00	19,456 95	0 00	19,776 95	(320 00)
EXXON MOBIL CORPORATION CMN (30231G102)	10/10/2013	12/18/2013	100 00	9,942 70	0 00	8,541 92	1,400 78
EXXON MOBIL CORPORATION CMN (30231G102)	06/07/2013	12/18/2013	102 00	10,141 56	0 00	9,275 90	865 66
EXXON MOBIL CORPORATION CMN (30231G102)	03/14/2013	12/18/2013	144 00	14,317 49	0 00	12,925 63	1,391 86
GENERAL ELECTRIC CO CMN (369604103)	06/05/2013	12/18/2013	289 00	7,927 03	0 00	6,773 75	1,153 28
GENERAL ELECTRIC CO CMN (369604103)	04/19/2013	12/18/2013	550 00	15,086 04	0 00	11,988 18	3,097 86
HALLIBURTON COMPANY CMN (406216101)	05/07/2013	12/18/2013	194 00	9,679 70	0 00	8,419 21	1,260 49
HARTFORD FINANCIAL SVCS GROUP CMN (416515104)	06/13/2013	12/18/2013	1,292 00	45,851 77	0 00	38,409 93	7,241 84
L BRANDS, INC CMN (501797104)	05/22/2013	12/18/2013	254 00	15,612 49	0 00	13,215 56	2,396 93

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
L BRANDS, INC CMN (501797104)	04/11/2013	12/18/2013	396 00	24,340 72	0 00	20,023 34	4,317 38
LIBERTY GLOBAL, PLC CMN CLASS A (G5480U104)	04/19/2013	12/18/2013	485 00	40,861 38	0 00	35,524 76	5,336 62
MERCK & CO., INC CMN (58933Y105)	11/25/2013	12/18/2013	91 00	4,427 04	0 00	4,530 41	(103 37)
MERCK & CO., INC CMN (58933Y105)	12/18/2012	12/18/2013	212 00	10,313 55	0 00	9,373 08	940 47
MERCK & CO., INC CMN (58933Y105)	02/05/2013	12/18/2013	328 00	15,956 81	0 00	13,574 93	2,381 88
MERCK & CO., INC CMN (58933Y105)	03/14/2013	12/18/2013	436 00	21,210 89	0 00	19,223 84	1,987 05
MICROSOFT CORPORATION CMN (594918104)	09/26/2013	12/18/2013	459 00	16,684 36	0 00	15,050 61	1,633 75
MICROSOFT CORPORATION CMN (594918104)	07/24/2013	12/18/2013	1,448 00	52,633 88	0 00	46,321 09	6,312 79
MORGAN STANLEY CMN (617446448)	12/16/2013	12/18/2013	413 00	13,017 98	0 00	12,905 20	112 78
MORGAN STANLEY CMN (617446448)	11/15/2013	12/18/2013	920 00	28,998 88	0 00	27,875 22	1,123 66
PFIZER INC CMN (717081103)	03/14/2013	12/18/2013	808 00	24,789 00	0 00	22,622 32	2,166 68
QUALCOMM INC CMN (747525103)	11/07/2013	12/18/2013	160 00	11,671 08	0 00	10,667 61	1,003 47
QUALCOMM INC CMN (747525103)	05/22/2013	12/18/2013	349 00	25,457 54	0 00	22,942 04	2,515 50
TEXTRON INC DEL CMN (883203101)	09/26/2013	12/18/2013	1,282 00	41,956 64	0 00	35,685 03	6,271 61
TOLL BROTHERS, INC CMN (889478103)	12/16/2013	12/18/2013	111 00	3,835 22	0 00	3,673 78	161 44
TOLL BROTHERS, INC CMN (889478103)	05/22/2013	12/18/2013	352 00	12,162 14	0 00	13,548 57	(1,386 43)
TOLL BROTHERS, INC CMN (889478103)	09/26/2013	12/18/2013	391 00	13,509 65	0 00	12,990 60	519 05
TOLL BROTHERS, INC CMN (889478103)	05/09/2013	12/18/2013	607 00	20,972 79	0 00	22,152 14	(1,179 35)
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	11/01/2013	12/18/2013	132 00	9,525 24	0 00	8,992 92	532 32
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	07/11/2013	12/18/2013	739 00	53,326 90	0 00	50,267 57	3,059 33
VERTEX PHARMACEUTICALS INC CMN (92532F100)	06/07/2013	12/18/2013	41 00	2,803 12	0 00	3,283 13	(480 01)
VERTEX PHARMACEUTICALS INC CMN (92532F100)	02/01/2013	12/18/2013	196 00	13,400 30	0 00	8,941 83	4,458 47
WAL MART STORES INC CMN (931142103)	04/01/2013	12/18/2013	178 00	13,831 91	0 00	13,478 65	353 26
WAL MART STORES INC CMN (931142103)	03/15/2013	12/18/2013	197 00	15,308 35	0 00	14,420 94	887 41
WAL MART STORES INC CMN (931142103)	04/30/2013	12/18/2013	247 00	19,193 72	0 00	19,186 26	7 46

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
WAL MART STORES INC CMN (931142103)	06/07/2013	12/18/2013	269 00	20,903 28	0 00	20,597 95	305 33
Net Covered Short-Term Gains (Losses)				2,356,695.61	0.00	2,145,531.58	211,164.03
NonCovered Short-Term Gains (Losses)							
AVALONBAY COMMUNITIES INC CMN (053484101)	02/11/2013	06/04/2013	48 00	6,512 52	0 00	6,243 39	269 13
AVALONBAY COMMUNITIES INC CMN (053484101)	02/11/2013	09/26/2013	205 00	26,514 68	0 00	26,664 48	(149 80)
Net NonCovered Short-Term Gains (Losses)				33,027 20	0.00	32,907 87	119 33

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
HALLIBURTON COMPANY CMN (406216101)	09/20/2011	01/25/2013	39 00	1,545 55	0 00	1,481 73	63 82
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	01/25/2013	185 00	7,331 45	0 00	6,488 07	843 38
AADOBE SYSTEMS INC CMN (00724F101)	07/22/2011	01/30/2013	36 00	1,375 17	0 00	1,070 60	304 57
AADOBE SYSTEMS INC CMN (00724F101)	03/30/2011	01/30/2013	126 00	4,813 09	0 00	4,133 67	679 42
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	01/30/2013	1,375 00	15,767 49	0 00	10,004 77	5,762 72
CELGENE CORPORATION CMN (151020104)	04/04/2011	01/30/2013	7 00	688 92	0 00	400 66	288 26
CELGENE CORPORATION CMN (151020104)	04/01/2011	01/30/2013	36 00	3,543 05	0 00	2,074 33	1,468 72
CELGENE CORPORATION CMN (151020104)	04/01/2011	01/30/2013	52 00	5,117 73	0 00	2,996 25	2,121 48
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	02/18/2011	01/30/2013	1 00	57 33	0 00	87 03	(29 70)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	02/23/2011	01/30/2013	14 00	802 60	0 00	1,256 55	(453 95)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/25/2011	01/30/2013	65 00	3,726 37	0 00	5,523 58	(1,797 21)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	02/04/2011	01/30/2013	68 00	3,898 35	0 00	6,058 72	(2,160 37)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	01/21/2011	01/30/2013	68 00	3,898 35	0 00	5,897 34	(1,998 99)
EVEREST RE GROUP LTD CMN (G3223R108)	01/27/2011	01/30/2013	7 00	804 99	0 00	603 27	201 72

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
EVEREST RE GROUP LTD CMN (G3223R108)	01/28/2011	01/30/2013	36 00	4,139 91	0 00	3,066 68	1,073 23
EVEREST RE GROUP LTD CMN (G3223R108)	01/27/2011	01/30/2013	37 00	4,254 90	0 00	3,188 70	1,066 20
EXXON MOBIL CORPORATION CMN (30231G102)	09/30/2011	01/30/2013	191 00	17,420 71	0 00	14,132 96	3,287 75
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	01/30/2013	273 00	10,998 92	0 00	9,574 29	1,424 63
LOWES COMPANIES INC CMN (548661107)	12/22/2011	01/30/2013	246 00	9,402 87	0 00	6,279 06	3,123 81
MORGAN STANLEY CMN (617446448)	11/01/2011	01/30/2013	39 00	881 96	0 00	632 54	249 42
MORGAN STANLEY CMN (617446448)	11/01/2011	01/30/2013	337 00	7,621 08	0 00	5,465 77	2,155 31
PFIZER INC CMN (717081103)	10/07/2011	01/30/2013	532 00	14,629 67	0 00	9,881 21	4,748 46
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	01/30/2013	7 00	525 43	0 00	464 39	61 04
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	01/30/2013	23 00	1,726 40	0 00	1,568 47	157 93
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	01/30/2013	26 00	1,951 58	0 00	1,724 86	226 72
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	01/30/2013	61 00	4,578 71	0 00	4,046 80	531 91
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	03/21/2011	01/30/2013	237 00	6,766 19	0 00	7,017 36	(251 17)
THE TRAVELERS COMPANIES, INC CMN (89417E109)	12/16/2011	01/30/2013	25 00	1,935 45	0 00	1,433 67	501 78
THE TRAVELERS COMPANIES, INC CMN (89417E109)	12/16/2011	01/30/2013	76 00	5,883 79	0 00	4,358 37	1,525 42
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	12/22/2011	01/30/2013	144 00	8,064 29	0 00	7,312 41	751 88
URBAN OUTFITTERS INC CMN (917047102)	09/07/2011	01/30/2013	17 00	734 72	0 00	428 23	306 49
URBAN OUTFITTERS INC CMN (917047102)	10/31/2011	01/30/2013	19 00	821 16	0 00	524 01	297 15
URBAN OUTFITTERS INC CMN (917047102)	11/21/2011	01/30/2013	21 00	907 60	0 00	537 93	369 67
CELGENE CORPORATION CMN (151020104)	04/04/2011	02/01/2013	23 00	2,335 16	0 00	1,316 46	1,018 70
CELGENE CORPORATION CMN (151020104)	04/04/2011	02/01/2013	86 00	8,731 48	0 00	4,922 44	3,809 04
CELGENE CORPORATION CMN (151020104)	04/04/2011	02/01/2013	134 00	13,604 85	0 00	7,669 84	5,935 01
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/25/2012	02/01/2013	76 00	5,741 07	0 00	4,919 65	821 42
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/25/2012	02/01/2013	88 00	6,647 55	0 00	5,696 44	951 11
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	02/01/2013	245 00	19,507 40	0 00	16,253 52	2,253 88

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1089 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	12/22/2011	02/05/2013	6 00	345.88	0 00	304 68	41 20
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	01/19/2012	02/05/2013	23 00	1,325 86	0 00	1,210 00	115 86
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	01/19/2012	02/05/2013	73 00	4,208 17	0 00	3,840 44	367 73
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	01/19/2012	02/05/2013	85 00	4,899 92	0 00	4,471 75	428 17
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	12/22/2011	02/05/2013	88 00	5,072 85	0 00	4,468 69	604 16
UNITEDHEALTH GROUP INCORPORATE CMN (91324P102)	12/22/2011	02/05/2013	270 00	15,564 45	0 00	13,710 76	1,853 69
MORGAN STANLEY CMN (617446448)	12/22/2011	02/25/2013	62 00	1,412 89	0 00	979 58	433 31
MORGAN STANLEY CMN (617446448)	12/22/2011	02/25/2013	83 00	1,891 45	0 00	1,311 37	580 08
MORGAN STANLEY CMN (617446448)	11/01/2011	02/25/2013	85 00	1,937 03	0 00	1,378 61	558 42
MORGAN STANLEY CMN (617446448)	11/01/2011	02/25/2013	352 00	8,021 58	0 00	5,709 05	2,312 53
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	03/01/2013	308 00	3,488 03	0 00	2,241 07	1,246 96
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	03/01/2013	527 00	5,968 15	0 00	3,834 56	2,133 59
LOWES COMPANIES INC CMN (548661107)	02/08/2012	03/01/2013	33 00	1,264 46	0 00	888 38	376 08
LOWES COMPANIES INC CMN (548661107)	12/22/2011	03/01/2013	146 00	5,594 29	0 00	3,726 60	1,867 69
MORGAN STANLEY CMN (617446448)	03/13/2012	03/14/2013	34 00	772 11	0 00	627 41	144 70
MORGAN STANLEY CMN (617446448)	12/22/2011	03/14/2013	130 00	2,952 19	0 00	2,053 96	898 23
MORGAN STANLEY CMN (617446448)	03/13/2012	03/14/2013	190 00	4,314 74	0 00	3,506 13	808 61
MORGAN STANLEY CMN (617446448)	03/13/2012	03/14/2013	221 00	5,018 72	0 00	4,078 18	940 54
MORGAN STANLEY CMN (617446448)	12/22/2011	03/14/2013	236 00	5,359 36	0 00	3,728 72	1,630 64
ADOBE SYSTEMS INC CMN (00724F101)	07/22/2011	03/22/2013	46 00	1,954 24	0 00	1,367 98	586 26
ADOBE SYSTEMS INC CMN (00724F101)	07/22/2011	03/22/2013	177 00	7,519 56	0 00	5,263 76	2,255 80
ADOBE SYSTEMS INC CMN (00724F101)	11/28/2011	03/22/2013	518 00	22,006 39	0 00	13,854 97	8,151 42
EVEREST RE GROUP LTD CMN (G3223R108)	01/28/2011	04/15/2013	12 00	1,488 23	0 00	1,022 23	466 00
EVEREST RE GROUP LTD CMN (G3223R108)	08/01/2011	04/17/2013	8 00	991 45	0 00	656 09	335 36
EVEREST RE GROUP LTD CMN (G3223R108)	08/02/2011	04/17/2013	8 00	991 45	0 00	664 12	327 33

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
EVEREST RE GROUP LTD CMN (G3223R108)	08/01/2011	04/17/2013	25 00	3,098 29	0 00	2,050 27	1,048 02
EVEREST RE GROUP LTD CMN (G3223R108)	08/02/2011	04/17/2013	27 00	3,346 15	0 00	2,241 39	1,104 76
EVEREST RE GROUP LTD CMN (G3223R108)	08/01/2011	04/17/2013	35 00	4,337 60	0 00	2,870 38	1,467 22
EVEREST RE GROUP LTD CMN (G3223R108)	08/02/2011	04/17/2013	40 00	4,957 26	0 00	3,320 58	1,636 68
EVEREST RE GROUP LTD CMN (G3223R108)	01/28/2011	04/17/2013	70 00	8,675 20	0 00	5,962 99	2,712 21
EVEREST RE GROUP LTD CMN (G3223R108)	01/28/2011	04/17/2013	81 00	10,038 44	0 00	6,900 02	3,138 42
AT&T INC CMN (00206R102)	03/05/2012	04/19/2013	102 00	3,899 69	0 00	3,156 15	743 54
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	04/19/2013	470 00	5,480 07	0 00	3,419 82	2,060 25
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	02/23/2011	04/19/2013	1 00	51 22	0 00	89 75	(38 53)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	03/09/2011	04/19/2013	2 00	102 44	0 00	175 36	(72 92)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	02/23/2011	04/19/2013	96 00	4,917 00	0 00	8,616 28	(3,699 28)
EXXON MOBIL CORPORATION CMN (30231G102)	09/30/2011	04/19/2013	100 00	8,692 80	0 00	7,399 45	1,293 35
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	04/19/2013	29 00	1,070 37	0 00	1,017 05	53 32
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	04/19/2013	86 00	3,174 19	0 00	3,016 08	158 11
JPMORGAN CHASE & CO CMN (46625H100)	11/28/2011	04/19/2013	4 00	189 00	0 00	119 56	69 44
LOWES COMPANIES INC CMN (548661107)	02/08/2012	04/19/2013	92 00	3,506 96	0 00	2,476 69	1,030 27
PFIZER INC CMN (717081103)	10/07/2011	04/19/2013	276 00	8,522 68	0 00	5,126 34	3,396 34
PPL CORPORATION CMN (69351T106)	04/12/2011	04/19/2013	38 00	1,221 29	0 00	992 11	229 18
PPL CORPORATION CMN (69351T106)	04/12/2011	04/19/2013	283 00	9,095 41	0 00	7,388 59	1,706 82
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	03/21/2011	04/19/2013	19 00	535 79	0 00	562 57	(26 78)
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	03/21/2011	04/19/2013	20 00	563 99	0 00	521 63	42 36
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	03/21/2011	04/19/2013	26 00	733 19	0 00	769 84	(36 65)
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	05/13/2011	04/19/2013	40 00	1,127 98	0 00	1,100 41	27 57
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	05/13/2011	04/19/2013	51 00	1,438 17	0 00	1,403 02	35 15
VERTEX PHARMACEUTICALS INC CMN (92532F100)	02/08/2012	04/19/2013	10 00	821 71	0 00	366 77	454 94

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
VERTEX PHARMACEUTICALS INC CMN (92532F100)	02/08/2012	04/19/2013	18 00	1,479 06	0 00	660 18	818 88
VERTEX PHARMACEUTICALS INC CMN (92532F100)	02/08/2012	04/19/2013	100 00	8,217 02	0 00	3,667 66	4,549 36
LAM RESEARCH CORP CMN (512807108)	03/07/2012	05/03/2013	23 00	1,062 47	0 00	923 95	138 52
LAM RESEARCH CORP CMN (512807108)	03/08/2012	05/03/2013	27 00	1,247 25	0 00	1,101 09	146 16
LAM RESEARCH CORP CMN (512807108)	03/08/2012	05/03/2013	36 00	1,663 00	0 00	1,468 12	194 88
LAM RESEARCH CORP CMN (512807108)	03/07/2012	05/03/2013	146 00	6,744 37	0 00	5,865 08	879 29
LAM RESEARCH CORP CMN (512807108)	03/08/2012	05/03/2013	153 00	7,067 73	0 00	6,239 49	828 24
PPL CORPORATION CMN (69351T106)	04/03/2012	05/22/2013	27 00	858 58	0 00	757 84	100 74
PPL CORPORATION CMN (69351T106)	09/14/2011	05/22/2013	40 00	1,271 97	0 00	1,120 62	151 35
PPL CORPORATION CMN (69351T106)	04/12/2011	05/22/2013	100 00	3,179 92	0 00	2,610 81	569 11
PPL CORPORATION CMN (69351T106)	09/14/2011	05/22/2013	130 00	4,133 90	0 00	3,642 02	491 88
PPL CORPORATION CMN (69351T106)	04/03/2012	05/22/2013	151 00	4,801 68	0 00	4,238 28	563 40
PPL CORPORATION CMN (69351T106)	04/03/2012	05/22/2013	176 00	5,596 67	0 00	4,939 99	656 68
PPL CORPORATION CMN (69351T106)	09/14/2011	05/22/2013	187 00	5,946 46	0 00	5,238 90	707 56
PPL CORPORATION CMN (69351T106)	04/12/2011	05/22/2013	410 00	13,037 68	0 00	10,704 31	2,333 37
LAM RESEARCH CORP CMN (512807108)	03/08/2012	05/29/2013	141 00	6,590 88	0 00	5,750 12	840 76
ALTERA CORP CMN (021441100)	04/24/2012	06/04/2013	171 00	5,812 18	0 00	5,873 75	(61 57)
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	06/04/2013	472 00	6,390 76	0 00	3,434 37	2,956 39
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	03/09/2011	06/04/2013	3 00	171 78	0 00	263 04	(91 26)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							91 26
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/11/2011	06/04/2013	7 00	400 81	0 00	553 12	(152 31)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							152 31
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/18/2011	06/04/2013	14 00	801 62	0 00	1,114 35	(312 73)

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							312 73
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	11/11/2011	06/04/2013	17 00	973 40	0 00	1,168 93	(195 53)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							195 53
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	11/28/2011	06/04/2013	60 00	3,435 54	0 00	3,682 68	(247 14)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103) Disallowed Loss							247 14
EXXON MOBIL CORPORATION CMN (30231G102)	09/30/2011	06/04/2013	13 00	1,181 16	0 00	961 93	219 23
EXXON MOBIL CORPORATION CMN (30231G102)	09/30/2011	06/04/2013	98 00	8,904 12	0 00	7,251 46	1,652 66
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	06/04/2013	169 00	7,216 17	0 00	5,926 94	1,289 23
JPMORGAN CHASE & CO CMN (46625H100)	11/28/2011	06/04/2013	176 00	9,648 15	0 00	5,260 51	4,387 64
PFIZER INC CMN (717081103)	10/07/2011	06/04/2013	150 00	4,168 19	0 00	2,786 06	1,382 13
PFIZER INC CMN (717081103)	10/07/2011	06/04/2013	160 00	4,446 06	0 00	2,971 79	1,474 27
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	05/13/2011	06/04/2013	173 00	5,580 88	0 00	4,759 25	821 63
THE TRAVELERS COMPANIES, INC CMN (89417E109)	12/16/2011	06/04/2013	41 00	3,443 53	0 00	2,351 23	1,092 30
THE TRAVELERS COMPANIES, INC CMN (89417E109)	01/31/2012	06/04/2013	44 00	3,695 49	0 00	2,568 99	1,126 50
THE TRAVELERS COMPANIES, INC CMN (89417E109)	03/13/2012	06/13/2013	16 00	1,304 78	0 00	922 09	382 69
THE TRAVELERS COMPANIES, INC CMN (89417E109)	01/31/2012	06/13/2013	18 00	1,467 87	0 00	1,050 95	416 92
THE TRAVELERS COMPANIES, INC CMN (89417E109)	01/31/2012	06/13/2013	53 00	4,322 07	0 00	3,094 47	1,227 60
THE TRAVELERS COMPANIES, INC CMN (89417E109)	01/31/2012	06/13/2013	83 00	6,768 52	0 00	4,846 05	1,922 47
THE TRAVELERS COMPANIES, INC CMN (89417E109)	03/13/2012	06/13/2013	90 00	7,339 36	0 00	5,186 77	2,152 59
THE TRAVELERS COMPANIES, INC CMN (89417E109)	03/13/2012	06/13/2013	104 00	8,481 03	0 00	5,993 61	2,487 42
BANK OF AMERICA CORP CMN (060505104)	02/13/2012	07/30/2013	14 00	203 47	0 00	115 91	87 56
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	07/30/2013	28 00	406 95	0 00	203 73	203 22
BANK OF AMERICA CORP CMN (060505104)	01/23/2012	07/30/2013	205 00	2,979 45	0 00	1,491 62	1,487 83

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
PRUDENTIAL FINANCIAL INC CMN (744320102)	05/03/2012	08/26/2013	19 00	1,485 54	0 00	1,062 37	423 17
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	11/08/2013	224 00	12,329 24	0 00	7,855 83	4,473 41
LOWES COMPANIES INC CMN (548661107)	06/06/2012	11/14/2013	12 00	620 71	0 00	329 20	291 51
LOWES COMPANIES INC CMN (548661107)	05/22/2012	11/14/2013	21 00	1,086 24	0 00	545 67	540 57
LOWES COMPANIES INC CMN (548661107)	02/08/2012	11/14/2013	44 00	2,275 94	0 00	1,184 50	1,091 44
LOWES COMPANIES INC CMN (548661107)	06/06/2012	11/14/2013	67 00	3,465 63	0 00	1,838 01	1,627 62
LOWES COMPANIES INC CMN (548661107)	06/06/2012	11/14/2013	77 00	3,982 89	0 00	2,112 34	1,870 55
LOWES COMPANIES INC CMN (548661107)	05/22/2012	11/14/2013	117 00	6,051 93	0 00	3,040 18	3,011 75
LOWES COMPANIES INC CMN (548661107)	05/22/2012	11/14/2013	136 00	7,034 72	0 00	3,533 89	3,500 83
LOWES COMPANIES INC CMN (548661107)	02/08/2012	11/14/2013	162 00	8,379 59	0 00	4,361 13	4,018 46
LOWES COMPANIES INC CMN (548661107)	02/08/2012	11/14/2013	247 00	12,776 30	0 00	6,649 38	6,126 92
PFIZER INC CMN (717081103)	10/07/2011	12/10/2013	9 00	281 13	0 00	167 16	113 97
PFIZER INC CMN (717081103)	12/14/2011	12/10/2013	115 00	3,592 24	0 00	2,406 78	1,185 46
PFIZER INC CMN (717081103)	12/14/2011	12/10/2013	399 00	12,463 50	0 00	8,350 48	4,113 02
PFIZER INC CMN (717081103)	10/07/2011	12/10/2013	706 00	22,053 20	0 00	13,113 03	8,940 17
VIACOM INC CMN CLASS B (92553P201)	11/02/2012	12/16/2013	185 00	15,063 61	0 00	9,444 25	5,619 36
ALTERA CORP CMN (021441100)	04/24/2012	12/18/2013	168 00	5,323 93	0 00	5,770 70	(446 77)
ALTERA CORP CMN (021441100)	04/24/2012	12/18/2013	395 00	12,517 57	0 00	13,568 02	(1,050 45)
AMERICAN INTL GROUP, INC CMN (026874784)	12/11/2012	12/18/2013	574 00	28,886 58	0 00	19,852 04	8,834 54
BANK OF AMERICA CORP CMN (060505104)	02/15/2012	12/18/2013	67 00	1,047 53	0 00	538 73	508 80
BANK OF AMERICA CORP CMN (060505104)	02/13/2012	12/18/2013	88 00	1,375 86	0 00	728 59	647 27
BANK OF AMERICA CORP CMN (060505104)	06/06/2012	12/18/2013	132 00	2,063 78	0 00	975 65	1,088 13
BANK OF AMERICA CORP CMN (060505104)	02/15/2012	12/18/2013	371 00	5,800 48	0 00	2,983 10	2,817 38
BANK OF AMERICA CORP CMN (060505104)	02/15/2012	12/18/2013	433 00	6,769 84	0 00	3,481 63	3,288 21
BANK OF AMERICA CORP CMN (060505104)	02/13/2012	12/18/2013	482 00	7,535 94	0 00	3,990 71	3,545 23

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2013 003-52433-7 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
BANK OF AMERICA CORP CMN (060505104)	02/13/2012	12/18/2013	576 00	9,005 60	0 00	4,768 97	4,236 63
BANK OF AMERICA CORP CMN (060505104)	06/06/2012	12/18/2013	744 00	11,632 24	0 00	5,499 14	6,133 10
BANK OF AMERICA CORP CMN (060505104)	06/06/2012	12/18/2013	865 00	13,524 04	0 00	6,393 49	7,130 55
BOEING COMPANY CMN (097023105)	03/01/2011	12/18/2013	20 00	2,716 52	0 00	1,432 59	1,283 93
BOEING COMPANY CMN (097023105)	03/01/2011	12/18/2013	65 00	8,828 68	0 00	4,655 91	4,172 77
BOEING COMPANY CMN (097023105)	03/01/2011	12/18/2013	93 00	12,631 81	0 00	6,661 54	5,970 27
BOEING COMPANY CMN (097023105)	10/25/2012	12/18/2013	106 00	14,397 54	0 00	7,528 21	6,869 33
CITIGROUP INC CMN (172967424)	11/20/2012	12/18/2013	192 00	9,972 65	0 00	6,922 63	3,050 02
CITIGROUP INC CMN (172967424)	12/12/2012	12/18/2013	291 00	15,114 80	0 00	10,824 14	4,290 66
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/16/2012	12/18/2013	1 00	59 25	0 00	55 34	3 91
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	03/10/2011	12/18/2013	3 00	177 77	0 00	262 14	(84 37)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/12/2011	12/18/2013	7 00	414 78	0 00	551 01	(136 23)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/19/2011	12/18/2013	14 00	829 56	0 00	1,110 13	(280 57)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	11/12/2011	12/18/2013	17 00	1,007 32	0 00	1,163 81	(156 49)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	12/22/2011	12/18/2013	18 00	1,066 58	0 00	1,139 37	(72 79)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	12/22/2011	12/18/2013	54 00	3,199 73	0 00	3,418 11	(218 38)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	11/29/2011	12/18/2013	60 00	3,555 26	0 00	3,664 60	(109 34)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	12/22/2011	12/18/2013	68 00	4,029 29	0 00	4,304 28	(274 99)
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/16/2012	12/18/2013	80 00	4,740 35	0 00	4,427 60	312 75
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	07/16/2012	12/18/2013	85 00	5,036 62	0 00	4,704 32	332 30
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	08/17/2012	12/18/2013	92 00	5,451 40	0 00	5,408 34	43 06
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	08/17/2012	12/18/2013	106 00	6,280 96	0 00	6,231 34	49 62
DEVON ENERGY CORPORATION (NEW) CMN (25179M103)	11/28/2011	12/18/2013	199 00	11,791 61	0 00	12,214 21	(422 60)
EMC CORPORATION MASS CMN (268648102)	09/14/2011	12/18/2013	50 00	1,176 36	0 00	1,105 18	71 18
EMC CORPORATION MASS CMN (268648102)	09/14/2011	12/18/2013	162 00	3,811 40	0 00	3,580 79	230 61

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
EMC CORPORATION MASS CMN (268648102)	10/25/2012	12/18/2013	213 00	5,011 28	0 00	5,137 69	(126 41)
EMC CORPORATION MASS CMN (268648102)	09/14/2011	12/18/2013	235 00	5,528 88	0 00	5,194 35	334 53
EXXON MOBIL CORPORATION CMN (30231G102)	04/23/2012	12/18/2013	9 00	894 84	0 00	769 75	125 09
EXXON MOBIL CORPORATION CMN (30231G102)	06/15/2012	12/18/2013	19 00	1,889 11	0 00	1,580 87	308 24
EXXON MOBIL CORPORATION CMN (30231G102)	12/13/2011	12/18/2013	27 00	2,684 53	0 00	2,193 36	491 17
EXXON MOBIL CORPORATION CMN (30231G102)	04/23/2012	12/18/2013	45 00	4,474 22	0 00	3,848 75	625 47
EXXON MOBIL CORPORATION CMN (30231G102)	04/23/2012	12/18/2013	52 00	5,170 21	0 00	4,447 45	722 76
EXXON MOBIL CORPORATION CMN (30231G102)	12/13/2011	12/18/2013	91 00	9,047 86	0 00	7,392 45	1,655 41
EXXON MOBIL CORPORATION CMN (30231G102)	12/13/2011	12/18/2013	103 00	10,240 98	0 00	8,367 27	1,873 71
EXXON MOBIL CORPORATION CMN (30231G102)	06/15/2012	12/18/2013	111 00	11,036 40	0 00	9,235 60	1,800 80
EXXON MOBIL CORPORATION CMN (30231G102)	06/15/2012	12/18/2013	137 00	13,621 50	0 00	11,398 89	2,222 61
EXXON MOBIL CORPORATION CMN (30231G102)	09/30/2011	12/18/2013	189 00	18,791 71	0 00	13,984 97	4,806 74
GENERAL ELECTRIC CO CMN (369604103)	07/22/2011	12/18/2013	78 00	2,139 48	0 00	1,491 45	648 03
GENERAL ELECTRIC CO CMN (369604103)	07/02/2012	12/18/2013	154 00	4,224 09	0 00	3,145 45	1,078 64
GENERAL ELECTRIC CO CMN (369604103)	07/22/2011	12/18/2013	364 00	9,984 22	0 00	6,960 09	3,024 13
GENERAL ELECTRIC CO CMN (369604103)	11/28/2011	12/18/2013	2,109 00	57,948 12	0 00	31,967 50	25,880 62
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	12/18/2013	46 00	2,295 19	0 00	1,613 25	681 94
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	12/18/2013	55 00	2,744 24	0 00	1,928 88	815 36
HALLIBURTON COMPANY CMN (406216101)	07/03/2012	12/18/2013	83 00	4,141 31	0 00	2,473 37	1,667 94
HALLIBURTON COMPANY CMN (406216101)	07/03/2012	12/18/2013	94 00	4,690 16	0 00	2,801 17	1,888 99
HALLIBURTON COMPANY CMN (406216101)	06/07/2012	12/18/2013	115 00	5,737 96	0 00	3,242 70	2,495 26
HALLIBURTON COMPANY CMN (406216101)	06/20/2012	12/18/2013	131 00	6,536 29	0 00	3,873 67	2,662 62
HALLIBURTON COMPANY CMN (406216101)	06/07/2012	12/18/2013	135 00	6,735 87	0 00	3,806 64	2,929 23
HALLIBURTON COMPANY CMN (406216101)	06/20/2012	12/18/2013	139 00	6,935 45	0 00	4,110 22	2,825 23
JPMORGAN CHASE & CO CMN (46625H100)	07/16/2012	12/18/2013	18 00	1,027 54	0 00	635 08	392 46

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
JPMORGAN CHASE & CO CMN (46625H100)	05/22/2012	12/18/2013	24 00	1,370 06	0 00	824 07	545 99
JPMORGAN CHASE & CO CMN (46625H100)	12/22/2011	12/18/2013	39 00	2,226 34	0 00	1,307 83	918 51
JPMORGAN CHASE & CO CMN (46625H100)	07/16/2012	12/18/2013	95 00	5,423 14	0 00	3,351 79	2,071 35
JPMORGAN CHASE & CO CMN (46625H100)	12/22/2011	12/18/2013	101 00	5,765 66	0 00	3,386 93	2,378 73
JPMORGAN CHASE & CO CMN (46625H100)	07/16/2012	12/18/2013	129 00	7,364 06	0 00	4,551 38	2,812 68
JPMORGAN CHASE & CO CMN (46625H100)	12/22/2011	12/18/2013	133 00	7,592 40	0 00	4,460 02	3,132 38
JPMORGAN CHASE & CO CMN (46625H100)	05/22/2012	12/18/2013	136 00	7,763 66	0 00	4,669 71	3,093 95
JPMORGAN CHASE & CO CMN (46625H100)	05/22/2012	12/18/2013	157 00	8,962 46	0 00	5,390 77	3,571 69
JPMORGAN CHASE & CO CMN (46625H100)	11/28/2011	12/18/2013	663 00	37,847 83	0 00	19,816 59	18,031 24
MERCK & CO, INC CMN (58933Y105)	06/25/2012	12/18/2013	74 00	3,600 01	0 00	2,944 29	655 72
MERCK & CO, INC CMN (58933Y105)	12/12/2012	12/18/2013	569 00	27,881 18	0 00	25,818 08	1,863 10
MGM RESORTS INTERNATIONAL CMN (552953101)	06/06/2012	12/18/2013	177 00	4,000 58	0 00	2,026 55	1,974 03
MGM RESORTS INTERNATIONAL CMN (552953101)	11/02/2012	12/18/2013	208 00	4,701 25	0 00	2,142 40	2,558 85
MGM RESORTS INTERNATIONAL CMN (552953101)	09/14/2012	12/18/2013	292 00	6,599 83	0 00	3,403 55	3,196 28
MGM RESORTS INTERNATIONAL CMN (552953101)	06/06/2012	12/18/2013	563 00	12,725 01	0 00	6,446 04	6,278 97
PFIZER INC CMN (717081103)	12/14/2011	12/18/2013	44 00	1,349 89	0 00	920 85	429 04
PFIZER INC CMN (717081103)	07/02/2012	12/18/2013	74 00	2,270 28	0 00	1,697 56	572 72
PFIZER INC CMN (717081103)	12/14/2011	12/18/2013	389 00	11,934 31	0 00	8,141 19	3,793 12
PRUDENTIAL FINANCIAL INC CMN (744320102)	05/03/2012	12/18/2013	10 00	904 06	0 00	559 14	344 92
PRUDENTIAL FINANCIAL INC CMN (744320102)	05/11/2012	12/18/2013	15 00	1,356 08	0 00	776 38	579 70
PRUDENTIAL FINANCIAL INC CMN (744320102)	06/06/2012	12/18/2013	29 00	2,621 76	0 00	1,358 75	1,263 01
PRUDENTIAL FINANCIAL INC CMN (744320102)	05/03/2012	12/18/2013	45 00	4,068 25	0 00	2,516 14	1,552 11
PRUDENTIAL FINANCIAL INC CMN (744320102)	05/03/2012	12/18/2013	55 00	4,972 30	0 00	3,075 28	1,897 02
PRUDENTIAL FINANCIAL INC CMN (744320102)	05/11/2012	12/18/2013	88 00	7,955 69	0 00	4,554 76	3,400 93
PRUDENTIAL FINANCIAL INC CMN (744320102)	05/11/2012	12/18/2013	103 00	9,311 77	0 00	5,331 14	3,980 63

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
PRUDENTIAL FINANCIAL INC CMN (744320102)	11/19/2012	12/18/2013	119 00	10,758 26	0 00	5,925 50	4,832 76
PRUDENTIAL FINANCIAL INC CMN (744320102)	06/06/2012	12/18/2013	160 00	14,464 89	0 00	7,496 55	6,968 34
PRUDENTIAL FINANCIAL INC CMN (744320102)	06/06/2012	12/18/2013	186 00	16,815 43	0 00	8,714 74	8,100 69
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	06/04/2012	12/18/2013	11 00	393 09	0 00	231 78	161 31
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	05/13/2011	12/18/2013	26 00	929 12	0 00	715 26	213 86
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	01/12/2012	12/18/2013	50 00	1,786 77	0 00	1,047 89	738 88
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	01/12/2012	12/18/2013	156 00	5,574 72	0 00	3,269 40	2,305 32
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	06/04/2012	12/18/2013	173 00	6,182 22	0 00	3,645 32	2,536 90
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	06/04/2012	12/18/2013	201 00	7,182 81	0 00	4,235 31	2,947 50
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	01/12/2012	12/18/2013	202 00	7,218 54	0 00	4,233 46	2,985 08
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	11/28/2011	12/18/2013	627 00	22,406 07	0 00	10,689 08	11,716 99
VERTEX PHARMACEUTICALS INC CMN (92532F100)	11/19/2012	12/18/2013	35 00	2,392 91	0 00	1,448 73	944 18
VERTEX PHARMACEUTICALS INC CMN (92532F100)	11/20/2012	12/18/2013	158 00	10,802 28	0 00	6,629 52	4,172 76
Net Covered Long-Term Disallowed Losses							
Net Covered Long-Term Gains (Losses)				1,423,808.06	0.00	1,004,482.04	420,324.99

NonCovered Long-Term Gains (Losses)

HALLIBURTON COMPANY CMN (406216101)	04/30/2010	01/25/2013	5 00	198 15	0 00	152 38	45 77
HALLIBURTON COMPANY CMN (406216101)	06/02/2010	01/25/2013	29 00	1,149 25	0 00	680 97	468 28
EMC CORPORATION MASS CMN (268648102)	05/06/2010	01/30/2013	7 00	172 92	0 00	129 52	43 40
EMC CORPORATION MASS CMN (268648102)	05/06/2010	01/30/2013	124 00	3,063 17	0 00	2,294 43	768 74
EMC CORPORATION MASS CMN (268648102)	11/19/2010	01/30/2013	275 00	6,793 31	0 00	5,981 68	811 63
GENERAL ELECTRIC CO CMN (369604103)	02/22/2010	01/30/2013	173 00	3,877 70	0 00	2,805 63	1,072 07
GENERAL ELECTRIC CO CMN (369604103)	04/23/2010	01/30/2013	205 00	4,594 97	0 00	3,888 53	706 44
GENERAL ELECTRIC CO CMN (369604103)	04/06/2010	01/30/2013	374 00	8,383 02	0 00	6,958 62	1,424 40

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
JPMORGAN CHASE & CO CMN (46625H100)	11/19/2010	01/30/2013	13 00	613 32	0 00	511 57	101 75
JPMORGAN CHASE & CO CMN (46625H100)	01/19/2010	01/30/2013	43 00	2,028 69	0 00	1,857 60	171 09
JPMORGAN CHASE & CO CMN (46625H100)	01/19/2010	01/30/2013	159 00	7,501 45	0 00	6,868 80	632 65
JPMORGAN CHASE & CO CMN (46625H100)	04/30/2009	01/30/2013	166 00	7,831 70	0 00	5,758 47	2,073 23
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/19/2010	01/30/2013	21 00	1,576 28	0 00	1,293 81	282 47
PRUDENTIAL FINANCIAL INC CMN (744320102)	01/19/2010	01/30/2013	11 00	641 62	0 00	588 38	53 24
PRUDENTIAL FINANCIAL INC CMN (744320102)	10/27/2010	01/30/2013	32 00	1,866 52	0 00	1,692 17	174 35
PRUDENTIAL FINANCIAL INC CMN (744320102)	10/09/2009	01/30/2013	32 00	1,866 52	0 00	1,631 24	235 28
PRUDENTIAL FINANCIAL INC CMN (744320102)	10/15/2009	01/30/2013	33 00	1,924 85	0 00	1,719 96	204 89
PRUDENTIAL FINANCIAL INC CMN (744320102)	10/27/2010	01/30/2013	119 00	6,941 11	0 00	6,292 75	648 36
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	12/22/2010	01/30/2013	15 00	428 24	0 00	432 43	(4 19)
SUNTRUST BANKS INC \$1 00 PAR CMN (867914103)	12/23/2010	01/30/2013	153 00	4,368 05	0 00	4,404 24	(36 19)
BOEING COMPANY CMN (097023105)	10/15/2009	04/19/2013	22 00	1,928 70	0 00	1,147 74	780 96
BOEING COMPANY CMN (097023105)	04/30/2009	04/19/2013	52 00	4,558 74	0 00	2,162 50	2,396 24
EMC CORPORATION MASS CMN (268648102)	11/19/2010	04/19/2013	219 00	4,760 95	0 00	4,763 59	(2 64)
GENERAL ELECTRIC CO CMN (369604103)	11/26/2010	04/19/2013	38 00	828 38	0 00	601 40	226 98
GENERAL ELECTRIC CO CMN (369604103)	04/23/2010	04/19/2013	113 00	2,463 35	0 00	2,143 44	319 91
GENERAL ELECTRIC CO CMN (369604103)	11/26/2010	04/19/2013	246 00	5,362 67	0 00	3,893 25	1,469 42
JPMORGAN CHASE & CO CMN (46625H100)	11/19/2010	04/19/2013	16 00	755 99	0 00	629 63	126 36
JPMORGAN CHASE & CO CMN (46625H100)	11/19/2010	04/19/2013	136 00	6,425 85	0 00	5,351 82	1,074 03
PRUDENTIAL FINANCIAL INC CMN (744320102)	10/27/2010	04/19/2013	7 00	389 96	0 00	370 16	19 80
PRUDENTIAL FINANCIAL INC CMN (744320102)	11/19/2010	04/19/2013	38 00	2,116 94	0 00	2,051 94	65 00
PRUDENTIAL FINANCIAL INC CMN (744320102)	10/27/2010	04/19/2013	50 00	2,785 44	0 00	2,644 01	141 43
BOEING COMPANY CMN (097023105)	04/29/2010	06/04/2013	14 00	1,403 06	0 00	1,034 28	368 78
BOEING COMPANY CMN (097023105)	10/15/2009	06/04/2013	17 00	1,703 71	0 00	886 89	816 82

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁴	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
BOEING COMPANY CMN (097023105)	01/19/2010	06/04/2013	45 00	4,509 81	0 00	2,740 94	1,768 87
EMC CORPORATION MASS CMN (268648102)	11/19/2010	06/04/2013	37 00	908 34	0 00	804 81	103 53
EMC CORPORATION MASS CMN (268648102)	11/19/2010	06/04/2013	185 00	4,541 67	0 00	4,024 04	517 63
GENERAL ELECTRIC CO CMN (369604103)	11/26/2010	06/04/2013	433 00	10,214 29	0 00	6,852 76	3,361 53
PRUDENTIAL FINANCIAL INC CMN (744320102)	11/19/2010	06/04/2013	40 00	2,797 55	0 00	2,159 93	637 62
PRUDENTIAL FINANCIAL INC CMN (744320102)	11/19/2010	06/04/2013	63 00	4,406 14	0 00	3,401 89	1,004 25
BOEING COMPANY CMN (097023105)	04/29/2010	06/07/2013	30 00	3,059 98	0 00	2,216 31	843 67
BOEING COMPANY CMN (097023105)	04/29/2010	06/07/2013	44 00	4,487 96	0 00	3,250 59	1,237 37
PRUDENTIAL FINANCIAL INC CMN (744320102)	11/19/2010	08/26/2013	21 00	1,641 91	0 00	1,133 96	507 95
PRUDENTIAL FINANCIAL INC CMN (744320102)	11/19/2010	08/26/2013	30 00	2,345 59	0 00	1,619 95	725 64
BOEING COMPANY CMN (097023105)	04/29/2010	12/18/2013	6 00	814 96	0 00	443 26	371 70
BOEING COMPANY CMN (097023105)	09/27/2010	12/18/2013	17 00	2,309 04	0 00	1,095 87	1,213 17
BOEING COMPANY CMN (097023105)	04/29/2010	12/18/2013	54 00	7,334 60	0 00	3,989 36	3,345 24
BOEING COMPANY CMN (097023105)	09/27/2010	12/18/2013	56 00	7,606 25	0 00	3,609 93	3,996 32
BOEING COMPANY CMN (097023105)	09/27/2010	12/18/2013	80 00	10,866 07	0 00	5,157 04	5,709 03
EMC CORPORATION MASS CMN (268648102)	11/19/2010	12/18/2013	2 00	47 05	0 00	43 50	3 55
EMC CORPORATION MASS CMN (268648102)	11/19/2010	12/18/2013	4 00	94 11	0 00	87 01	7 10
GENERAL ELECTRIC CO CMN (369604103)	11/26/2010	12/18/2013	3 00	82 29	0 00	47 48	34 81
GENERAL ELECTRIC CO CMN (369604103)	12/23/2010	12/18/2013	69 00	1,892 61	0 00	1,245 80	646 81
GENERAL ELECTRIC CO CMN (369604103)	12/23/2010	12/18/2013	322 00	8,832 19	0 00	5,813 75	3,018 44
Net NonCovered Long-Term Gains (Losses)				180,096.99	0.00	133,362.01	46,734.98

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THE ANN AND MONROE CARELL FOUNDATION
EIN: 58-1537831
CAPITAL GAINS AND LOSSES - ATTACHMENT 3

Tax Year Account No Legal Name
2013 003-52434-5 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ABBVIE INC CMN (00287Y109)	03/30/2012	01/07/2013	42 00	1,456 92	0 00	1,337 56	119 36
ABBVIE INC CMN (00287Y109)	03/30/2012	01/07/2013	64 00	2,220 06	0 00	2,038 18	181 88
ABBVIE INC CMN (00287Y109)	03/30/2012	01/07/2013	183 00	6,347 99	0 00	5,827 92	520 07
ABBOTT LABORATORIES CMN (002824100)	03/30/2012	01/30/2013	21 00	698 87	0 00	616 72	82 15
ABBOTT LABORATORIES CMN (002824100)	03/30/2012	01/30/2013	183 00	6,090 09	0 00	5,374 25	715 84
ABBVIE INC CMN (00287Y109)	03/30/2012	01/30/2013	131 00	4,853 44	0 00	4,171 90	681 54
ACTIVISION BLIZZARD INC CMN (00507V109)	11/20/2012	01/30/2013	981 00	11,164 52	0 00	11,042 32	122 20
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	08/03/2012	01/30/2013	1 00	308 85	0 00	298 05	10 80
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	08/03/2012	01/30/2013	28 00	8,647 60	0 00	8,345 27	302 33
DOLLAR GENERAL CORPORATION CMN (256677105)	12/13/2012	01/30/2013	145 00	6,781 49	0 00	6,535 47	246 02
HONEYWELL INTL INC CMN (438516106)	02/06/2012	01/30/2013	31 00	2,141 43	0 00	1,867 62	273 81
HONEYWELL INTL INC CMN (438516106)	02/06/2012	01/30/2013	75 00	5,180 88	0 00	4,518 42	662 46
LAS VEGAS SANDS CORP CMN (517834107)	08/03/2012	01/30/2013	3 00	154 65	0 00	115 38	39 27
LAS VEGAS SANDS CORP CMN (517834107)	08/03/2012	01/30/2013	16 00	824 78	0 00	615 35	209 43
LAS VEGAS SANDS CORP CMN (517834107)	08/03/2012	01/30/2013	97 00	5,000 23	0 00	3,730 55	1,269 68
PRICELINE COM INC CMN (741503403)	08/16/2012	01/30/2013	2 00	1,396 24	0 00	1,166 73	229 51

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Tax Year Account No Legal Name
2013 003-52434-5 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
PRICELINE COM INC CMN (741503403)	08/16/2012	01/30/2013	5 00	3,490 59	0 00	2,916 82	573 77
SALESFORCE COM, INC CMN (79466L302)	05/11/2012	01/30/2013	9 00	1,553 54	0 00	1,239 99	313 55
SALESFORCE COM, INC CMN (79466L302)	05/11/2012	01/30/2013	39 00	6,732 03	0 00	5,373 29	1,358 74
VERTEX PHARMACEUTICALS INC CMN (92532F100)	12/11/2012	01/30/2013	157 00	6,906 27	0 00	6,553 32	352 95
URBAN OUTFITTERS INC CMN (917047102)	07/17/2012	02/08/2013	57 00	2,430 73	0 00	1,740 65	690 08
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	08/03/2012	04/15/2013	22 00	7,439 65	0 00	6,557 00	882 65
ABBVIE INC CMN (00287Y109)	06/19/2012	04/17/2013	7 00	291 58	0 00	229 24	62 34
ABBVIE INC CMN (00287Y109)	06/15/2012	04/17/2013	12 00	499 84	0 00	391 38	108 46
ABBVIE INC CMN (00287Y109)	06/19/2012	04/17/2013	38 00	1,582 82	0 00	1,244 42	338 40
ABBVIE INC CMN (00287Y109)	06/19/2012	04/17/2013	44 00	1,832 74	0 00	1,440 91	391 83
ABBVIE INC CMN (00287Y109)	06/15/2012	04/17/2013	66 00	2,749 11	0 00	2,152 57	596 54
ABBVIE INC CMN (00287Y109)	06/15/2012	04/17/2013	77 00	3,207 30	0 00	2,511 34	695 96
ABBVIE INC CMN (00287Y109)	06/14/2012	04/17/2013	93 00	3,873 75	0 00	2,982 82	890 93
ACTIVISION BLIZZARD INC CMN (00507V109)	11/20/2012	04/18/2013	653 00	9,237 07	0 00	7,350 29	1,886 78
VERTEX PHARMACEUTICALS INC CMN (92532F100)	12/11/2012	04/18/2013	58 00	4,755 90	0 00	2,420 97	2,334 93
ACTIVISION BLIZZARD INC CMN (00507V109)	11/20/2012	04/19/2013	247 00	3,519 67	0 00	2,780 28	739 39
DOLLAR GENERAL CORPORATION CMN (256677105)	12/13/2012	04/19/2013	63 00	3,256 39	0 00	2,839 55	416 84
INTERCONTINENTAL EXCHANGE INC CMN (45865V100)	02/13/2013	04/19/2013	16 00	2,455 30	0 00	2,409 02	46 28
LAS VEGAS SANDS CORP CMN (517834107)	08/03/2012	04/19/2013	95 00	5,038 13	0 00	3,638 02	1,400 11
VERTEX PHARMACEUTICALS INC CMN (92532F100)	12/11/2012	04/19/2013	31 00	2,605 89	0 00	1,293 97	1,311 92
VERTEX PHARMACEUTICALS INC CMN (92532F100)	12/11/2012	04/19/2013	97 00	7,965 02	0 00	4,048 87	3,916 15
LAS VEGAS SANDS CORP CMN (517834107)	08/06/2012	04/22/2013	9 00	480 21	0 00	350 98	129 23
LAS VEGAS SANDS CORP CMN (517834107)	08/14/2012	04/22/2013	10 00	533 57	0 00	389 76	143 81
LAS VEGAS SANDS CORP CMN (517834107)	08/03/2012	04/22/2013	14 00	746 99	0 00	536 13	210 86
LAS VEGAS SANDS CORP CMN (517834107)	08/06/2012	04/22/2013	55 00	2,934 59	0 00	2,144 89	789 70

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Tax Year Account No Legal Name
2013 003-52434-5 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
LAS VEGAS SANDS CORP CMN (517834107)	08/14/2012	04/22/2013	64 00	3,414 80	0 00	2,494 42	920 38
LAS VEGAS SANDS CORP CMN (517834107)	08/06/2012	04/22/2013	65 00	3,468 16	0 00	2,534 87	933 29
LAS VEGAS SANDS CORP CMN (517834107)	08/14/2012	04/22/2013	74 00	3,948 37	0 00	2,884 17	1,064 20
ACTIVISION BLIZZARD INC CMN (00507V109)	11/20/2012	04/26/2013	266 00	3,923 35	0 00	2,994 15	929 20
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	08/03/2012	05/08/2013	4 00	1,442 51	0 00	1,192 18	250 33
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	09/26/2012	05/08/2013	7 00	2,524 40	0 00	2,213 74	310 66
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	08/03/2012	05/08/2013	9 00	3,245 65	0 00	2,682 41	563 24
RACKSPACE HOSTING, INC CMN (750086100)	03/01/2013	05/09/2013	81 00	3,120 56	0 00	4,519 57	(1,399 01)
RACKSPACE HOSTING, INC CMN (750086100)	02/28/2013	05/09/2013	100 00	3,852 54	0 00	5,577 91	(1,725 37)
ACTIVISION BLIZZARD INC CMN (00507V109)	11/20/2012	05/17/2013	871 00	12,944 05	0 00	9,804 14	3,139 91
RACKSPACE HOSTING, INC CMN (750086100)	03/01/2013	05/21/2013	121 00	4,629 30	0 00	6,751 46	(2,122 16)
HALLIBURTON COMPANY CMN (406216101)	06/07/2012	05/28/2013	6 00	262 70	0 00	169 18	93 52
HALLIBURTON COMPANY CMN (406216101)	07/03/2012	05/28/2013	15 00	656 75	0 00	447 00	209 75
HALLIBURTON COMPANY CMN (406216101)	06/20/2012	05/28/2013	24 00	1,050 81	0 00	709 68	341 13
RACKSPACE HOSTING, INC CMN (750086100)	03/01/2013	05/31/2013	138 00	5,249 89	0 00	7,700 01	(2,450 12)
RACKSPACE HOSTING, INC CMN (750086100)	03/07/2013	05/31/2013	220 00	8,369 39	0 00	12,568 53	(4,199 14)
ACTIVISION BLIZZARD INC CMN (00507V109)	01/15/2013	06/03/2013	193 00	2,808 03	0 00	2,230 97	577 06
ACTIVISION BLIZZARD INC CMN (00507V109)	11/20/2012	06/03/2013	378 00	5,499 66	0 00	4,254 84	1,244 82
ACTIVISION BLIZZARD INC CMN (00507V109)	01/15/2013	06/04/2013	143 00	2,070 60	0 00	1,653 00	417 60
ANADARKO PETROLEUM CORP CMN (032511107)	05/28/2013	06/04/2013	44 00	3,847 29	0 00	4,028 36	(181 07)
ANADARKO PETROLEUM CORP CMN (032511107) Disallowed Loss							181 07
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	10/19/2012	06/04/2013	2 00	719 70	0 00	488 36	231 34
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	09/26/2012	06/04/2013	6 00	2,159 11	0 00	1,897 49	261 62
DOLLAR GENERAL CORPORATION CMN (256677105)	12/13/2012	06/04/2013	81 00	4,011 86	0 00	3,650 85	361 01
FEDEX CORP CMN (31428X106)	04/17/2013	06/04/2013	52 00	5,110 99	0 00	4,910 16	200 83

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Tax Year Account No Legal Name
2013 003-52434-5 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
INTERCONTINENTALEXCHANGE INC CMN (45865V100)	02/13/2013	06/04/2013	23 00	3,839 78	0 00	3,462 97	376 81
L BRANDS, INC CMN (501797104)	05/22/2013	06/04/2013	60 00	3,143 34	0 00	3,121 79	21 55
PIONEER NATURAL RESOURCES CO CMN (723787107)	05/09/2013	06/04/2013	14 00	1,968 79	0 00	1,927 75	41 04
PRECISION CASTPARTS CORP CMN (740189105)	04/26/2013	06/04/2013	15 00	3,210 39	0 00	2,826 56	383 83
PRICELINE COM INC CMN (741503403)	08/16/2012	06/04/2013	5 00	4,022 38	0 00	2,916 82	1,105 56
SANOFI SPONSORED ADR CMN (80105N105)	04/17/2013	06/04/2013	84 00	4,489 72	0 00	4,405 58	84 14
VERTEX PHARMACEUTICALS INC CMN (92532F100)	12/11/2012	06/04/2013	41 00	3,269 69	0 00	1,711 38	1,558 31
ORACLE CORPORATION CMN (68389X105)	08/16/2012	06/21/2013	66 00	1,999 32	0 00	2,116 74	(117 42)
ORACLE CORPORATION CMN (68389X105)	08/16/2012	06/21/2013	411 00	12,450 32	0 00	13,181 54	(731 22)
ACTIVISION BLIZZARD INC CMN (00507V109)	02/06/2013	06/24/2013	308 00	4,106 80	0 00	3,662 60	444 20
ACTIVISION BLIZZARD INC CMN (00507V109)	01/15/2013	06/24/2013	705 00	9,400 30	0 00	8,149 39	1,250 91
INTERCONTINENTALEXCHANGE INC CMN (45865V100)	02/13/2013	10/11/2013	27 00	5,240 61	0 00	4,065 23	1,175 38
PIONEER NATURAL RESOURCES CO CMN (723787107)	05/09/2013	10/23/2013	104 00	20,716 42	0 00	14,320 40	6,396 02
FEDEX CORP CMN (31428X106)	04/17/2013	11/01/2013	67 00	9,015 72	0 00	6,326 55	2,689 17
FEDEX CORP CMN (31428X106)	04/17/2013	11/22/2013	20 00	2,725 58	0 00	1,888 52	837 06
INTRCONTINENTALEXCHANGE GP INC CMN (45866F104)	02/13/2013	11/22/2013	16 00	3,466 95	0 00	2,409 02	1,057 93
STARBUCKS CORP CMN (855244 109)	07/12/2013	11/25/2013	150 00	12,104 82	0 00	10,339 55	1,765 27
VERTEX PHARMACEUTICALS INC CMN (92532F100)	12/11/2012	12/09/2013	327 00	21,741 75	0 00	13,649 28	8,092 47
ABBOTT LABORATORIES CMN (002824100)	10/02/2013	12/18/2013	278 00	10,577 71	0 00	9,394 72	1,182 99
AGILENT TECHNOLOGIES, INC CMN (00846U101)	12/17/2013	12/18/2013	133 00	7,653 84	0 00	7,493 39	160 45
AGILENT TECHNOLOGIES, INC CMN (00846U101)	12/06/2013	12/18/2013	220 00	12,660 49	0 00	12,054 74	605 75
AGILENT TECHNOLOGIES, INC CMN (00846U101)	12/09/2013	12/18/2013	221 00	12,718 04	0 00	12,132 92	585 12
AMAZON COM INC CMN (023135106)	04/15/2013	12/18/2013	26 00	10,204 04	0 00	6,972 40	3,231 64
AMAZON COM INC CMN (023135106)	04/26/2013	12/18/2013	35 00	13,736 21	0 00	9,055 94	4,680 27
ANADARKO PETROLEUM CORP CMN (032511107)	12/13/2013	12/18/2013	33 00	2,615 53	0 00	2,535 19	80 34

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Tax Year Account No Legal Name
2013 003-52434-5 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
ANADARKO PETROLEUM CORP CMN (032511107)	05/28/2013	12/18/2013	44 00	3,487 38	0 00	4,184 20	(696 82)
ANADARKO PETROLEUM CORP CMN (032511107)	06/10/2013	12/18/2013	56 00	4,438 48	0 00	4,929 19	(490 71)
ANADARKO PETROLEUM CORP CMN (032511107)	05/29/2013	12/18/2013	131 00	10,382 88	0 00	11,918 41	(1,535 53)
ANADARKO PETROLEUM CORP CMN (032511107)	05/28/2013	12/18/2013	138 00	10,937 68	0 00	12,634 39	(1,696 71)
APPLE, INC CMN (037833100)	02/07/2013	12/18/2013	16 00	8,790 89	0 00	7,343 15	1,447 74
APPLE, INC CMN (037833100)	02/07/2013	12/18/2013	25 00	13,735 76	0 00	11,409 52	2,326 24
BARD C R INC N J CMN (067383109)	10/22/2013	12/18/2013	60 00	8,033 13	0 00	7,638 09	395 04
BARD C R INC N J CMN (067383109)	08/06/2013	12/18/2013	151 00	20,216 71	0 00	17,564 42	2,652 29
BEAM, INC CMN (073730103)	10/10/2013	12/18/2013	65 00	4,351 02	0 00	4,357 53	(6 51)
BEAM, INC CMN (073730103)	10/14/2013	12/18/2013	79 00	5,288 17	0 00	5,376 38	(88 21)
BEAM, INC CMN (073730103)	12/11/2013	12/18/2013	104 00	6,961 64	0 00	7,012 39	(50 75)
BEAM, INC CMN (073730103)	10/11/2013	12/18/2013	190 00	12,718 37	0 00	12,912 75	(194 38)
BECTON DICKINSON & CO CMN (075887109)	06/25/2013	12/18/2013	173 00	18,687 13	0 00	16,950 35	1,736 78
CBRE GROUP INC CMN (125041109)	05/16/2013	12/18/2013	162 00	4,157 43	0 00	4,033 38	124 05
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	07/19/2013	12/18/2013	22 00	11,303 18	0 00	8,942 17	2,361 01
COLGATE-PALMOLIVE CO CMN (194162103)	10/09/2013	12/18/2013	498 00	32,060 68	0 00	29,815 01	2,245 67
DANAHER CORPORATION CMN (235851102)	06/24/2013	12/18/2013	548 00	41,940 21	0 00	33,765 27	8,174 94
DOLLAR GENERAL CORPORATION CMN (256677105)	06/05/2013	12/18/2013	164 00	9,802 24	0 00	8,182 24	1,620 00
DOLLAR GENERAL CORPORATION CMN (256677105)	04/18/2013	12/18/2013	286 00	17,094 15	0 00	14,665 45	2,428 70
EBAY INC CMN (278642103)	08/16/2013	12/18/2013	269 00	14,174 38	0 00	14,294 44	(120 06)
EBAY INC CMN (278642103)	07/18/2013	12/18/2013	475 00	25,029 12	0 00	25,452 31	(423 19)
EMC CORPORATION MASS CMN (268648102)	12/17/2013	12/18/2013	1,003 00	23,597 72	0 00	23,757 04	(159 32)
EQUINIX INC CMN (29444U502)	06/05/2013	12/18/2013	32 00	5,451 84	0 00	6,398 06	(946 22)
EQUINIX INC CMN (29444U502)	06/07/2013	12/18/2013	51 00	8,688 87	0 00	9,646 39	(957 52)
EQUINIX INC CMN (29444U502)	06/03/2013	12/18/2013	67 00	11,414 80	0 00	13,439 97	(2,025 17)

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Tax Year 2013 Account No 003-52434-5 Legal Name THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
FEDEX CORP CMN (31428X106)	04/17/2013	12/18/2013	89 00	12,449 73	0 00	8,403 92	4,045 81
FEDEX CORP CMN (31428X106)	04/19/2013	12/18/2013	91 00	12,729 51	0 00	8,415 29	4,314 22
FEDEX CORP CMN (31428X106)	05/08/2013	12/18/2013	100 00	13,988 47	0 00	10,150 45	3,838 02
HONEYWELL INTL INC CMN (438516106)	04/24/2013	12/18/2013	88 00	7,766 33	0 00	6,594 66	1,171 67
INTRCONTINENTALEXCHANGE GP INC CMN (45866F104)	06/17/2013	12/18/2013	39 00	8,732 82	0 00	6,978 10	1,754 72
INTRCONTINENTALEXCHANGE GP INC CMN (45866F104)	02/13/2013	12/18/2013	135 00	30,228 98	0 00	20,326 14	9,902 84
L BRANDS, INC CMN (501797104)	12/05/2013	12/18/2013	83 00	5,101 72	0 00	5,185 52	(83 80)
L BRANDS, INC CMN (501797104)	06/21/2013	12/18/2013	135 00	8,297 97	0 00	6,947 20	1,350 77
L BRANDS, INC CMN (501797104)	05/22/2013	12/18/2013	419 00	25,754 45	0 00	21,800 48	3,953 97
MCKESSON CORPORATION CMN (58155Q103)	10/25/2013	12/18/2013	77 00	12,333 27	0 00	11,987 57	345 70
MCKESSON CORPORATION CMN (58155Q103)	10/24/2013	12/18/2013	128 00	20,502 06	0 00	19,242 67	1,259 39
PRECISION CASTPARTS CORP CMN (740189105)	05/31/2013	12/18/2013	37 00	9,727 95	0 00	8,014 05	1,713 90
PRECISION CASTPARTS CORP CMN (740189105)	06/27/2013	12/18/2013	61 00	16,037 97	0 00	13,773 65	2,264 32
PRECISION CASTPARTS CORP CMN (740189105)	04/26/2013	12/18/2013	68 00	17,878 39	0 00	12,813 73	5,064 66
PVH CORP CMN (693656100)	10/03/2013	12/18/2013	16 00	2,113 80	0 00	1,982 82	130 98
PVH CORP CMN (693656100)	10/02/2013	12/18/2013	23 00	3,038 59	0 00	2,691 00	347 59
PVH CORP CMN (693656100)	10/23/2013	12/18/2013	62 00	8,190 98	0 00	7,536 92	654 06
REGENERON PHARMACEUTICAL INC CMN (75886F107)	06/19/2013	12/18/2013	12 00	3,234 57	0 00	2,864 22	370 35
REGENERON PHARMACEUTICAL INC CMN (75886F107)	06/07/2013	12/18/2013	51 00	13,746 91	0 00	13,118 00	628 91
REGENERON PHARMACEUTICAL INC CMN (75886F107)	06/05/2013	12/18/2013	60 00	16,172 83	0 00	14,203 16	1,969 67
SALESFORCE COM, INC CMN (79466L302)	06/21/2013	12/18/2013	227 00	12,072 55	0 00	8,706 01	3,366 54
SANOFI SPONSORED ADR CMN (80105N105)	05/08/2013	12/18/2013	198 00	10,083 55	0 00	10,867 73	(784 18)
SANOFI SPONSORED ADR CMN (80105N105)	04/17/2013	12/18/2013	385 00	19,606 89	0 00	20,192 24	(585 35)
SBA COMMUNICATIONS CORP CMN (78388J106)	10/01/2013	12/18/2013	282 00	24,274 13	0 00	22,437 89	1,836 24
SHERWIN-WILLIAMS CO CMN (824348106)	10/24/2013	12/18/2013	128 00	22,968 64	0 00	23,735 46	(766 82)

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REALIZED GAINS AND LOSSES (Continued)

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SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
STARBUCKS CORP CMN (855244109)	08/05/2013	12/18/2013	68 00	5,254 27	0 00	5,037 00	217 27
STARBUCKS CORP CMN (855244109)	07/12/2013	12/18/2013	268 00	20,707 99	0 00	18,473 33	2,234 66
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (90130A101)	12/04/2013	12/18/2013	409 00	13,664 62	0 00	13,527 00	137 62
TWENTY-FIRST CENTURY FOX, INC CMN CLASS A (90130A101)	09/27/2013	12/18/2013	792 00	26,460 59	0 00	26,355 51	105 08
WHOLE FOODS MARKET INC CMN (966837106)	10/03/2013	12/18/2013	79 00	4,527 05	0 00	4,651 37	(124 32)
WHOLE FOODS MARKET INC CMN (966837106)	08/01/2013	12/18/2013	140 00	8,022 63	0 00	7,716 51	306 12
WHOLE FOODS MARKET INC CMN (966837106)	11/07/2013	12/18/2013	147 00	8,423 76	0 00	8,540 74	(116 98)
WHOLE FOODS MARKET INC CMN (966837106)	07/30/2013	12/18/2013	326 00	18,681 26	0 00	18,152 42	528 84
XILINX INCORPORATED CMN (983919101)	05/31/2013	12/18/2013	135 00	6,081 64	0 00	5,553 36	528 28
XILINX INCORPORATED CMN (983919101)	04/30/2013	12/18/2013	307 00	13,830 11	0 00	11,626 59	2,203 52
YUM BRANDS, INC CMN (988498101)	12/03/2013	12/18/2013	65 00	4,707 22	0 00	4,925 94	(218 72)
YUM BRANDS, INC CMN (988498101)	11/27/2013	12/18/2013	132 00	9,559 27	0 00	10,188 13	(628 86)
YUM BRANDS, INC CMN (988498101)	11/26/2013	12/18/2013	331 00	23,970 60	0 00	25,709 09	(1,738 49)
Net Covered Short-Term Disallowed Losses							181.07
Net Covered Short-Term Gains (Losses)				1,268,463.66	0.00	1,137,664.96	130,979.77

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
URBAN OUTFITTERS INC CMN (917047102)	11/21/2011	01/11/2013	6 00	256 62	0 00	153 70	102 92
URBAN OUTFITTERS INC CMN (917047102)	11/21/2011	01/11/2013	34 00	1,454 18	0 00	870 94	583 24
URBAN OUTFITTERS INC CMN (917047102)	10/31/2011	01/11/2013	63 00	2,694 50	0 00	1,737 50	957 00
URBAN OUTFITTERS INC CMN (917047102)	10/31/2011	01/11/2013	68 00	2,908 35	0 00	1,875 40	1,032 95
URBAN OUTFITTERS INC CMN (917047102)	11/21/2011	01/11/2013	104 00	4,448 07	0 00	2,664 05	1,784 02

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
AMAZON COM INC CMN (023135106)	12/14/2011	01/30/2013	10 00	2,762 04	0 00	1,738 01	1,024 03
AMAZON COM INC CMN (023135106)	12/14/2011	01/30/2013	24 00	6,628 89	0 00	4,171 21	2,457 68
AMERICAN EXPRESS CO CMN (025816109)	08/05/2011	01/30/2013	2 00	118 91	0 00	95 79	23 12
AMERICAN EXPRESS CO CMN (025816109)	08/05/2011	01/30/2013	13 00	772 93	0 00	622 60	150 33
AMERICAN EXPRESS CO CMN (025816109)	03/24/2011	01/30/2013	241 00	14,329 00	0 00	10,963 33	3,365 67
AVON PRODUCTS INC CMN (054303102)	07/18/2011	01/30/2013	27 00	450 08	0 00	747 24	(297 16)
AVON PRODUCTS INC CMN (054303102)	07/18/2011	01/30/2013	54 00	900 16	0 00	1,494 48	(594 32)
GOOGLE, INC CMN CLASS A (38259P508)	01/30/2011	01/30/2013	2 00	1,515 11	0 00	1,258 11	257 00
GOOGLE, INC CMN CLASS A (38259P508)	01/31/2011	01/30/2013	2 00	1,515 11	0 00	1,276 28	238 83
GOOGLE, INC CMN CLASS A (38259P508)	02/11/2011	01/30/2013	3 00	2,272 66	0 00	1,850 14	422 52
GOOGLE, INC CMN CLASS A (38259P508)	01/26/2011	01/30/2013	3 00	2,272 66	0 00	1,859 57	413 09
GOOGLE, INC CMN CLASS A (38259P508)	01/26/2011	01/30/2013	10 00	7,575 53	0 00	5,665 08	1,910 45
GOOGLE, INC CMN CLASS A (38259P508)	02/11/2011	01/30/2013	17 00	12,878 40	0 00	10,484 11	2,394 29
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/08/2011	01/30/2013	34 00	1,370 17	0 00	879 02	491 15
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/18/2011	01/30/2013	64 00	2,579 14	0 00	1,647 28	931 86
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/08/2011	01/30/2013	135 00	5,440 37	0 00	3,490 22	1,950 15
NETAPP, INC CMN (64110D104)	02/24/2011	01/30/2013	10 00	360 39	0 00	462 81	(102 42)
NETAPP, INC CMN (64110D104)	02/24/2011	01/30/2013	46 00	1,657 81	0 00	2,333 12	(675 31)
NETAPP, INC CMN (64110D104)	03/11/2011	01/30/2013	109 00	3,928 27	0 00	5,196 32	(1,268 05)
NETAPP, INC CMN (64110D104)	02/24/2011	01/30/2013	127 00	4,576 97	0 00	6,305 58	(1,728 61)
PVH CORP CMN (693656100)	12/21/2011	01/30/2013	88 00	10,417 21	0 00	5,915 51	4,501 70
URBAN OUTFITTERS INC CMN (917047102)	01/11/2012	01/30/2013	2 00	86 22	0 00	48 30	37 92
URBAN OUTFITTERS INC CMN (917047102)	01/11/2012	01/30/2013	45 00	1,939 90	0 00	1,086 70	853 20
URBAN OUTFITTERS INC CMN (917047102)	11/21/2011	01/30/2013	59 00	2,543 43	0 00	1,511 33	1,032 10
URBAN OUTFITTERS INC CMN (917047102)	01/11/2012	02/08/2013	149 00	6,354 02	0 00	3,598 17	2,755 85

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
URBAN OUTFITTERS INC CMN (917047102)	01/11/2012	02/08/2013	167 00	7,121 62	0 00	4,032 85	3,088 77
CME GROUP INC CMN CLASS A (12572Q105)	10/28/2011	02/13/2013	15 00	852 94	0 00	828 91	24 03
CME GROUP INC CMN CLASS A (12572Q105)	10/28/2011	02/13/2013	40 00	2,274 52	0 00	2,210 42	64 10
CME GROUP INC CMN CLASS A (12572Q105)	10/28/2011	02/13/2013	60 00	3,411 78	0 00	3,315 63	96 15
AVON PRODUCTS INC CMN (054303102)	07/18/2011	02/21/2013	223 00	4,567 77	0 00	6,171 63	(1,603 86)
AVON PRODUCTS INC CMN (054303102)	11/28/2011	02/21/2013	296 00	6,063 06	0 00	4,874 58	1,188 48
AVON PRODUCTS INC CMN (054303102)	11/28/2011	02/22/2013	125 00	2,554 71	0 00	2,058 52	496 19
GOOGLE INC CMN CLASS A (38259P508)	02/11/2011	02/28/2013	11 00	8,828 36	0 00	6,783 84	2,044 52
ABBVIE INC CMN (00287Y109)	03/30/2012	04/17/2013	125 00	5,206 66	0 00	3,980 82	1,225 84
GOOGLE INC CMN CLASS A (38259P508)	02/11/2011	04/18/2013	1 00	766 92	0 00	609 07	157 85
GOOGLE INC CMN CLASS A (38259P508)	02/11/2011	04/18/2013	3 00	2,300 76	0 00	1,850 14	450 62
GOOGLE INC CMN CLASS A (38259P508)	02/24/2011	04/18/2013	6 00	4,601 51	0 00	3,852 08	949 43
AMAZON COM INC CMN (023135106)	12/14/2011	04/19/2013	10 00	2,598 04	0 00	1,738 00	860 04
AMERICAN EXPRESS CO CMN (025816109)	08/05/2011	04/19/2013	66 00	4,402 76	0 00	3,160 90	1,241 86
GOOGLE INC CMN CLASS A (38259P508)	02/24/2011	04/19/2013	2 00	1,583 52	0 00	1,217 36	366 16
GOOGLE INC CMN CLASS A (38259P508)	10/10/2011	04/19/2013	3 00	2,375 29	0 00	1,598 61	776 68
GOOGLE INC CMN CLASS A (38259P508)	02/24/2011	04/19/2013	4 00	3,167 05	0 00	2,434 72	732 33
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/18/2011	04/19/2013	64 00	2,645 06	0 00	1,647 28	997 78
NETAPP, INC CMN (64110D104)	03/11/2011	04/19/2013	4 00	131 92	0 00	190 69	(58 77)
NETAPP, INC CMN (64110D104)	03/11/2011	04/19/2013	25 00	824 48	0 00	1,191 82	(367 34)
NETAPP, INC CMN (64110D104)	04/19/2011	04/19/2013	47 00	1,550 02	0 00	2,220 56	(670 54)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/09/2011	04/22/2013	14 00	530 61	0 00	529 06	1 55
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/09/2011	04/22/2013	136 00	5,154 45	0 00	5,139 40	15 05
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	08/09/2011	04/23/2013	16 00	605 97	0 00	604 64	1 33
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	11/28/2011	04/23/2013	417 00	15,793 07	0 00	15,819 93	(26 86)

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/18/2011	04/26/2013	30.00	1,249.10	0.00	772.16	476.94
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/18/2011	04/26/2013	70.00	2,914.56	0.00	1,801.71	1,112.85
NETAPP, INC CMN (64110D104)	11/28/2011	04/30/2013	10.00	347.50	0.00	354.85	(7.35)
NETAPP, INC CMN (64110D104)	11/22/2011	04/30/2013	23.00	799.24	0.00	805.00	(5.76)
NETAPP, INC CMN (64110D104)	10/19/2011	04/30/2013	27.00	938.24	0.00	1,035.98	(97.74)
NETAPP, INC CMN (64110D104)	04/19/2011	04/30/2013	32.00	1,111.99	0.00	1,511.87	(399.88)
NETAPP, INC CMN (64110D104)	11/22/2011	04/30/2013	80.00	2,779.97	0.00	2,800.00	(20.03)
NETAPP, INC CMN (64110D104)	04/19/2011	04/30/2013	99.00	3,440.21	0.00	4,677.35	(1,237.14)
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	05/07/2013	7.00	543.00	0.00	464.39	78.61
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	05/07/2013	21.00	1,628.99	0.00	1,393.16	235.83
NETAPP, INC CMN (64110D104)	11/28/2011	05/22/2013	620.00	23,118.15	0.00	22,000.64	1,117.51
HALLIBURTON COMPANY CMN (406216101)	09/20/2011	05/23/2013	8.00	344.97	0.00	303.95	41.02
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	05/28/2013	13.00	569.19	0.00	455.92	113.27
HALLIBURTON COMPANY CMN (406216101)	01/24/2012	05/28/2013	15.00	656.75	0.00	518.53	138.22
HALLIBURTON COMPANY CMN (406216101)	09/20/2011	05/28/2013	130.00	5,691.86	0.00	4,939.11	752.75
HALLIBURTON COMPANY CMN (406216101)	09/20/2011	05/28/2013	172.00	7,530.78	0.00	6,534.83	995.95
ABBOTT LABORATORIES CMN (002824100)	03/30/2012	06/04/2013	121.00	4,480.55	0.00	3,553.47	927.08
AMAZON COM INC CMN (023135106)	12/14/2011	06/04/2013	20.00	5,338.10	0.00	3,476.01	1,862.09
AMERICAN EXPRESS CO CMN (025816109)	08/05/2011	06/04/2013	26.00	1,994.16	0.00	1,245.20	748.96
AMERICAN EXPRESS CO CMN (025816109)	08/05/2011	06/04/2013	60.00	4,601.92	0.00	2,873.55	1,728.37
GOOGLE, INC CMN CLASS A (38259P508)	11/28/2011	06/04/2013	1.00	865.75	0.00	586.22	279.53
GOOGLE, INC CMN CLASS A (38259P508)	11/28/2011	06/04/2013	2.00	1,731.51	0.00	1,172.44	559.07
GOOGLE, INC CMN CLASS A (38259P508)	11/28/2011	06/04/2013	4.00	3,463.02	0.00	2,330.10	1,132.92
GOOGLE, INC CMN CLASS A (38259P508)	11/28/2011	06/04/2013	5.00	4,328.77	0.00	2,931.09	1,397.68
HONEYWELL INTL INC CMN (438516106)	02/06/2012	06/04/2013	54.00	4,239.47	0.00	3,253.27	986.20

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Tax Year Account No Legal Name
2013 **003-52434-5** **THE ANN & MONROE CARELL**

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/18/2011	06/04/2013	82.00	3,398.02	0.00	2,110.57	1,287.45
MASTERCARD INCORPORATED CMN CLASS A (576360104)	01/14/2011	06/04/2013	3.00	1,713.72	0.00	706.81	1,006.91
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	06/04/2013	52.00	4,025.76	0.00	3,449.73	576.03
PVH CORP CMN (693656100)	12/21/2011	06/04/2013	51.00	5,781.26	0.00	3,428.31	2,352.95
SALESFORCE COM, INC CMN (794661302)	05/11/2012	06/04/2013	30.00	1,198.18	0.00	1,033.32	164.86
SALESFORCE COM, INC CMN (794661302)	05/11/2012	06/04/2013	84.00	3,354.90	0.00	2,893.31	461.59
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	10/31/2011	06/05/2013	9.00	626.32	0.00	373.32	253.00
PRAXAIR, INC CMN SERIES (74005P104)	02/07/2011	06/07/2013	38.00	4,333.72	0.00	3,619.50	714.22
AMERICAN EXPRESS CO CMN (025816109)	08/05/2011	06/17/2013	20.00	1,474.93	0.00	957.85	517.08
AMERICAN EXPRESS CO CMN (025816109)	08/09/2011	06/17/2013	50.00	3,687.31	0.00	2,233.34	1,453.97
AMERICAN EXPRESS CO CMN (025816109)	08/09/2011	06/20/2013	15.00	1,099.38	0.00	670.00	429.38
AMERICAN EXPRESS CO CMN (025816109)	11/28/2011	06/20/2013	29.00	2,125.46	0.00	1,338.70	786.76
AMERICAN EXPRESS CO CMN (025816109)	11/28/2011	06/20/2013	45.00	3,298.13	0.00	2,077.30	1,220.83
AMERICAN EXPRESS CO CMN (025816109)	08/09/2011	06/20/2013	70.00	5,130.42	0.00	3,126.67	2,003.75
AMERICAN EXPRESS CO CMN (025816109)	11/28/2011	06/24/2013	8.00	580.68	0.00	369.30	211.38
AMERICAN EXPRESS CO CMN (025816109)	11/28/2011	06/24/2013	11.00	798.42	0.00	507.78	290.64
AMERICAN EXPRESS CO CMN (025816109)	04/12/2012	06/24/2013	11.00	798.42	0.00	628.88	169.54
AMERICAN EXPRESS CO CMN (025816109)	04/12/2012	06/24/2013	63.00	4,572.79	0.00	3,601.76	971.03
MASTERCARD INCORPORATED CMN CLASS A (576360104)	01/14/2011	06/24/2013	2.00	1,134.70	0.00	471.21	663.49
MASTERCARD INCORPORATED CMN CLASS A (576360104)	01/14/2011	06/24/2013	10.00	5,673.50	0.00	2,356.04	3,317.46
NORTHERN TRUST CORP CMN (665859104)	11/28/2011	06/27/2013	292.00	16,926.73	0.00	10,506.69	6,420.04
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/29/2011	07/12/2013	15.00	627.11	0.00	441.52	185.59
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	10/28/2011	07/12/2013	18.00	752.53	0.00	556.60	195.93
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	09/23/2011	07/12/2013	26.00	1,086.98	0.00	670.50	416.48
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/18/2011	07/12/2013	28.00	1,170.60	0.00	720.68	449.92

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Tax Year Account No Legal Name
2013 003-52434-5 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	08/18/2011	07/12/2013	31 00	1,296 02	0 00	797 90	498 12
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/29/2011	07/12/2013	54 00	2,257 58	0 00	1,589 48	668 10
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	11/29/2011	07/12/2013	57 00	2,383 00	0 00	1,677 78	705 22
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	10/28/2011	07/12/2013	59 00	2,466 61	0 00	1,824 43	642 18
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	10/28/2011	07/12/2013	84 00	3,511 79	0 00	2,597 49	914 30
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	09/23/2011	07/12/2013	86 00	3,595 40	0 00	2,217 81	1,377 59
MARRIOTT INTERNATIONAL, INC CMN CLASS A (571903202)	09/23/2011	07/12/2013	119 00	4,975 03	0 00	3,068 83	1,906 20
AMERICAN EXPRESS CO CMN (025816109)	05/11/2012	07/19/2013	7 00	517 82	0 00	418 91	98 91
AMERICAN EXPRESS CO CMN (025816109)	04/12/2012	07/19/2013	9 00	665 78	0 00	514 54	151 24
AMERICAN EXPRESS CO CMN (025816109)	07/02/2012	07/19/2013	30 00	2,219 24	0 00	1,761 60	457 64
AMERICAN EXPRESS CO CMN (025816109)	05/11/2012	07/19/2013	46 00	3,402 84	0 00	2,752 86	649 98
AMERICAN EXPRESS CO CMN (025816109)	05/11/2012	07/19/2013	52 00	3,846 69	0 00	3,111 93	734 76
AMERICAN EXPRESS CO CMN (025816109)	04/12/2012	07/19/2013	79 00	5,844 01	0 00	4,516 49	1,327 52
AMERICAN EXPRESS CO CMN (025816109)	06/14/2012	07/19/2013	149 00	11,022 24	0 00	8,160 73	2,861 51
PRAXAIR, INC CMN SERIES (74005P104)	02/07/2011	08/01/2013	21 00	2,518 60	0 00	2,000 25	518 35
ABBOTT LABORATORIES CMN (002824100)	03/30/2012	08/06/2013	73 00	2,637 27	0 00	2,143 83	493 44
GOOGLE, INC CMN CLASS A (38259P508)	11/28/2011	08/06/2013	11 00	9,867 26	0 00	6,407 77	3,459 49
PRAXAIR, INC CMN SERIES (74005P104)	02/07/2011	08/16/2013	1 00	118 11	0 00	95 25	22 86
PRAXAIR, INC CMN SERIES (74005P104)	02/23/2011	08/16/2013	4 00	472 42	0 00	389 21	83 21
PRAXAIR, INC CMN SERIES (74005P104)	02/07/2011	08/16/2013	13 00	1,535 38	0 00	1,238 25	297 13
PRAXAIR, INC CMN SERIES (74005P104)	02/07/2011	08/16/2013	44 00	5,196 67	0 00	4,191 00	1,005 67
PRAXAIR, INC CMN SERIES (74005P104)	06/19/2012	09/27/2013	2 00	240 43	0 00	216 60	23 83
PRAXAIR, INC CMN SERIES (74005P104)	06/19/2012	09/27/2013	9 00	1,081 96	0 00	974 72	107 24
PRAXAIR, INC CMN SERIES (74005P104)	06/19/2012	09/27/2013	9 00	1,081 96	0 00	974 72	107 24
PRAXAIR, INC CMN SERIES (74005P104)	02/23/2011	09/27/2013	18 00	2,163 91	0 00	1,751 44	412 47

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Tax Year Account No Legal Name
2013 003-52434-5 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
PRAXAIR, INC CMN SERIES (74005P104)	02/23/2011	09/27/2013	62.00	7,453.47	0.00	6,032.75	1,420.72
PRAXAIR, INC CMN SERIES (74005P104)	02/23/2011	09/27/2013	82.00	9,857.81	0.00	7,978.80	1,879.01
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	01/14/2011	09/30/2013	11.00	7,416.15	0.00	2,591.64	4,824.51
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	01/14/2011	09/30/2013	20.00	13,483.90	0.00	4,712.07	8,771.83
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	10/31/2011	10/01/2013	18.00	1,301.18	0.00	746.64	554.54
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	10/31/2011	10/01/2013	50.00	3,614.40	0.00	2,074.01	1,540.39
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	10/31/2011	10/01/2013	83.00	5,999.90	0.00	3,442.85	2,557.05
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	06/14/2012	10/01/2013	149.00	10,770.90	0.00	8,494.49	2,276.41
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	07/02/2012	10/01/2013	3.00	2,031.73	0.00	1,301.82	729.91
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	01/14/2011	10/01/2013	11.00	7,449.66	0.00	2,591.64	4,858.02
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	02/24/2012	10/02/2013	60.00	4,542.10	0.00	4,001.05	541.05
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/17/2012	10/02/2013	125.00	9,462.71	0.00	8,292.62	1,170.09
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	05/11/2012	10/08/2013	7.00	535.92	0.00	448.51	87.41
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	02/24/2012	10/08/2013	22.00	1,684.33	0.00	1,467.05	217.28
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	05/11/2012	10/08/2013	50.00	3,828.02	0.00	3,203.67	624.35
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	02/24/2012	10/08/2013	100.00	7,656.04	0.00	6,668.42	987.62
GOOGLE, INC CMN CLASS A (38259P508)	11/28/2011	10/25/2013	8.00	8,167.60	0.00	4,660.20	3,507.40
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	10/25/2012	11/07/2013	1.00	532.20	0.00	245.95	286.25
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	10/19/2012	11/07/2013	8.00	4,257.62	0.00	1,953.45	2,304.17
AMAZON COM INC CMN (023135106)	12/14/2011	11/22/2013	13.00	4,820.91	0.00	2,259.41	2,561.50
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	10/25/2012	11/29/2013	9.00	4,736.88	0.00	2,213.59	2,523.29
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	11/02/2012	11/29/2013	20.00	10,526.39	0.00	5,264.80	5,261.59
SCHLUMBERGER LTD CMN (806857108)	04/17/2012	12/13/2013	10.00	861.32	0.00	694.22	167.10
PRICELINE COM INC CMN (741503403)	08/16/2012	12/17/2013	4.00	4,647.73	0.00	2,333.46	2,314.27
PRICELINE COM INC CMN (741503403)	08/16/2012	12/17/2013	17.00	19,752.83	0.00	9,917.19	9,835.64

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
ABBOTT LABORATORIES CMN (002824100)	06/19/2012	12/18/2013	7 00	266 34	0 00	211 39	54 95
ABBOTT LABORATORIES CMN (002824100)	06/15/2012	12/18/2013	12 00	456 59	0 00	360 91	95 68
ABBOTT LABORATORIES CMN (002824100)	06/19/2012	12/18/2013	38 00	1,445 88	0 00	1,147 55	298 33
ABBOTT LABORATORIES CMN (002824100)	03/30/2012	12/18/2013	42 00	1,598 08	0 00	1,233 44	364 64
ABBOTT LABORATORIES CMN (002824100)	06/19/2012	12/18/2013	44 00	1,674 17	0 00	1,328 74	345 43
ABBOTT LABORATORIES CMN (002824100)	06/15/2012	12/18/2013	66 00	2,511 26	0 00	1,985 01	526 25
ABBOTT LABORATORIES CMN (002824100)	06/15/2012	12/18/2013	77 00	2,929 79	0 00	2,315 84	613 95
ABBOTT LABORATORIES CMN (002824100)	06/14/2012	12/18/2013	93 00	3,538 59	0 00	2,750 63	787 96
ABBOTT LABORATORIES CMN (002824100)	03/30/2012	12/18/2013	105 00	3,995 18	0 00	3,083 59	911 59
AMAZON COM INC CMN (023135106)	12/14/2011	12/18/2013	4 00	1,569 85	0 00	695 20	874 65
AMAZON COM INC CMN (023135106)	12/14/2011	12/18/2013	62 00	24,332 71	0 00	10,775 62	13,557 09
APPLE, INC CMN (037833100)	07/02/2012	12/18/2013	9 00	4,944 87	0 00	5,329 17	(384 30)
APPLE, INC CMN (037833100)	11/08/2012	12/18/2013	30 00	16,482 91	0 00	16,363 13	119 78
APPLE, INC CMN (037833100)	06/14/2012	12/18/2013	36 00	19,779 49	0 00	20,568 60	(789 11)
CBRE GROUP INC CMN (12504L109)	04/12/2012	12/18/2013	17 00	436 27	0 00	316 87	119 40
CBRE GROUP INC CMN (12504L109)	08/01/2011	12/18/2013	27 00	692 91	0 00	592 01	100 90
CBRE GROUP INC CMN (12504L109)	04/02/2012	12/18/2013	30 00	769 90	0 00	597 78	172 12
CBRE GROUP INC CMN (12504L109)	08/08/2011	12/18/2013	78 00	2,001 73	0 00	1,304 42	697 31
CBRE GROUP INC CMN (12504L109)	08/01/2011	12/18/2013	87 00	2,232 70	0 00	1,907 60	325 10
CBRE GROUP INC CMN (12504L109)	04/12/2012	12/18/2013	109 00	2,797 29	0 00	2,031 67	765 62
CBRE GROUP INC CMN (12504L109)	08/01/2011	12/18/2013	121 00	3,105 24	0 00	2,653 10	452 14
CBRE GROUP INC CMN (12504L109)	04/12/2012	12/18/2013	124 00	3,182 23	0 00	2,311 25	870 98
CBRE GROUP INC CMN (12504L109)	04/02/2012	12/18/2013	199 00	5,106 97	0 00	3,965 30	1,141 67
CBRE GROUP INC CMN (12504L109)	04/02/2012	12/18/2013	225 00	5,774 21	0 00	4,483 37	1,290 84
CBRE GROUP INC CMN (12504L109)	08/08/2011	12/18/2013	261 00	6,698 09	0 00	4,364 78	2,333 31

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
CBRE GROUP INC CMN (12504L109)	08/08/2011	12/18/2013	361 00	9,264 41	0 00	6,037 11	3,227 30
CHIPOTLE MEXICAN GRILL, INC CMN (169656105)	11/02/2012	12/18/2013	21 00	10,789 40	0 00	5,528 04	5,261 36
COSTCO WHOLESALE CORPORATION CMN (22160K105)	07/02/2012	12/18/2013	13 00	1,534 36	0 00	1,234 09	300 27
COSTCO WHOLESALE CORPORATION CMN (22160K105)	06/14/2012	12/18/2013	103 00	12,156 88	0 00	9,249 40	2,907 48
DOLLAR GENERAL CORPORATION CMN (256677105)	12/13/2012	12/18/2013	369 00	22,055 04	0 00	16,631 65	5,423 39
EQUINIX INC CMN (29444U502)	09/23/2011	12/18/2013	7 00	1,192 59	0 00	632 73	559 86
EQUINIX INC CMN (29444U502)	09/23/2011	12/18/2013	25 00	4,259 25	0 00	2,259 74	1,999 51
EQUINIX INC CMN (29444U502)	09/23/2011	12/18/2013	34 00	5,792 58	0 00	3,073 24	2,719 34
EQUINIX INC CMN (29444U502)	11/05/2012	12/18/2013	57 00	9,711 10	0 00	10,438 64	(727 54)
GOOGLE, INC CMN CLASS A (38259P508)	04/20/2012	12/18/2013	1 00	1,080 09	0 00	605 74	474 35
GOOGLE, INC CMN CLASS A (38259P508)	05/10/2012	12/18/2013	1 00	1,080 09	0 00	613 40	466 69
GOOGLE, INC CMN CLASS A (38259P508)	06/19/2012	12/18/2013	2 00	2,160 18	0 00	1,161 60	998 58
GOOGLE, INC CMN CLASS A (38259P508)	06/18/2012	12/18/2013	3 00	3,240 28	0 00	1,715 15	1,525 13
GOOGLE, INC CMN CLASS A (38259P508)	05/10/2012	12/18/2013	6 00	6,480 55	0 00	3,680 43	2,800 12
GOOGLE, INC CMN CLASS A (38259P508)	05/10/2012	12/18/2013	6 00	6,480 55	0 00	3,680 43	2,800 12
GOOGLE, INC CMN CLASS A (38259P508)	04/20/2012	12/18/2013	7 00	7,560 64	0 00	4,240 18	3,320 46
GOOGLE, INC CMN CLASS A (38259P508)	04/20/2012	12/18/2013	8 00	8,640 74	0 00	4,845 92	3,794 82
GOOGLE, INC CMN CLASS A (38259P508)	11/28/2011	12/18/2013	44 00	47,524 05	0 00	25,631 10	21,892 95
HONEYWELL INTL INC CMN (438516106)	02/06/2012	12/18/2013	96 00	8,472 36	0 00	5,783 58	2,688 78
HONEYWELL INTL INC CMN (438516106)	02/06/2012	12/18/2013	194 00	17,121 23	0 00	11,687 65	5,433 58
NIKE CLASS-B CMN CLASS B (654106103)	06/29/2012	12/18/2013	14 00	1,097 15	0 00	598 45	498 70
NIKE CLASS-B CMN CLASS B (654106103)	04/14/2011	12/18/2013	28 00	2,194 29	0 00	1,108 17	1,086 12
NIKE CLASS-B CMN CLASS B (654106103)	06/29/2012	12/18/2013	84 00	6,582 87	0 00	3,590 68	2,992 19
NIKE CLASS-B CMN CLASS B (654106103)	10/01/2012	12/18/2013	94 00	7,366 54	0 00	4,472 09	2,894 45
NIKE CLASS-B CMN CLASS B (654106103)	04/14/2011	12/18/2013	94 00	7,366 54	0 00	3,720 27	3,646 27

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Tax Year Account No Legal Name
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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
Covered Long-Term Gains (Losses)							
NIKE CLASS-B CMN CLASS B (654106103)	06/29/2012	12/18/2013	98 00	7,680 01	0 00	4,189 13	3,490 88
NIKE CLASS-B CMN CLASS B (654106103)	04/14/2011	12/18/2013	130 00	10,187 77	0 00	5,145 06	5,042 71
PVH CORP CMN (693656100)	12/21/2011	12/18/2013	22 00	2,906 48	0 00	1,478 88	1,427 60
PVH CORP CMN (693656100)	12/21/2011	12/18/2013	26 00	3,434 93	0 00	1,747 76	1,687 17
PVH CORP CMN (693656100)	12/21/2011	12/18/2013	186 00	24,572 94	0 00	12,503 22	12,069 72
QUALCOMM INC CMN (747525103)	10/10/2012	12/18/2013	137 00	9,993 36	0 00	8,211 17	1,782 19
SALESFORCE COM, INC CMN (79466L302)	05/27/2012	12/18/2013	8 00	425 46	0 00	272 45	153 01
SALESFORCE COM, INC CMN (79466L302)	07/18/2012	12/18/2013	12 00	638 20	0 00	407 75	230 45
SALESFORCE COM, INC CMN (79466L302)	07/18/2012	12/18/2013	120 00	6,381 97	0 00	4,077 45	2,304 52
SALESFORCE COM, INC CMN (79466L302)	07/18/2012	12/18/2013	140 00	7,445 63	0 00	4,757 03	2,688 60
SALESFORCE COM, INC CMN (79466L302)	05/11/2012	12/18/2013	246 00	13,083 04	0 00	8,473 26	4,609 78
SCHLUMBERGER LTD CMN (806857108)	07/18/2012	12/18/2013	4 00	348 51	0 00	276 14	72 37
SCHLUMBERGER LTD CMN (806857108)	06/15/2012	12/18/2013	12 00	1,045 54	0 00	798 80	246 74
SCHLUMBERGER LTD CMN (806857108)	06/15/2012	12/18/2013	14 00	1,219 80	0 00	931 94	287 86
SCHLUMBERGER LTD CMN (806857108)	07/18/2012	12/18/2013	24 00	2,091 08	0 00	1,656 84	434 24
SCHLUMBERGER LTD CMN (806857108)	07/18/2012	12/18/2013	27 00	2,352 47	0 00	1,863 95	488 52
SCHLUMBERGER LTD CMN (806857108)	04/17/2012	12/18/2013	63 00	5,489 09	0 00	4,373 56	1,115 53
SCHLUMBERGER LTD CMN (806857108)	12/14/2012	12/18/2013	65 00	5,663 35	0 00	4,481 03	1,182 32
SCHLUMBERGER LTD CMN (806857108)	04/17/2012	12/18/2013	74 00	6,447 51	0 00	5,137 20	1,310 31
SCHLUMBERGER LTD CMN (806857108)	06/14/2012	12/18/2013	174 00	15,160 35	0 00	11,261 28	3,899 07
XILINX INCORPORATED CMN (983919101)	05/19/2011	12/18/2013	44 00	1,982 17	0 00	1,584 10	398 07
XILINX INCORPORATED CMN (983919101)	05/19/2011	12/18/2013	147 00	6,622 24	0 00	5,292 32	1,329 92
XILINX INCORPORATED CMN (983919101)	05/19/2011	12/18/2013	204 00	9,190 04	0 00	7,344 44	1,845 60
Net Covered Long-Term Gains (Losses)				1,061,196.34	0.00	750,649.18	310,547.16

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1089 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	07/21/2010	01/14/2013	9 00	4,711 71	0 00	1,816 77	2,894 94
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	05/13/2010	01/14/2013	13 00	6,805 81	0 00	3,089 02	3,716 79
AMERICAN TOWER CORPORATION CMN (03027X100)	04/30/2009	01/30/2013	27 00	2,095 69	0 00	901 71	1,193 98
AMERICAN TOWER CORPORATION CMN (03027X100)	05/01/2009	01/30/2013	121 00	9,391 81	0 00	3,739 77	5,652 04
AMERICAN TOWER CORPORATION CMN (03027X100)	08/28/2008	01/30/2013	164 00	12,729 39	0 00	6,877 18	5,852 21
APPLE, INC CMN (037833100)	08/07/2009	01/30/2013	24 00	11,073 59	0 00	3,994 09	7,079 50
APPLE, INC CMN (037833100)	05/01/2009	01/30/2013	44 00	20,301 58	0 00	5,588 28	14,713 30
AVON PRODUCTS INC CMN (054303102)	11/02/2010	01/30/2013	3 00	50 01	0 00	86 98	(36 97)
AVON PRODUCTS INC CMN (054303102)	11/02/2010	01/30/2013	114 00	1,900 34	0 00	3,305 21	(1,404 87)
CBRE GROUP INC CMN (12504L109)	11/12/2009	01/30/2013	129 00	2,791 50	0 00	1,409 68	1,381 82
CBRE GROUP INC CMN (12504L109)	10/15/2009	01/30/2013	186 00	4,024 95	0 00	2,351 04	1,673 91
CBRE GROUP INC CMN (12504L109)	11/11/2009	01/30/2013	419 00	9,066 95	0 00	4,551 82	4,515 13
CME GROUP INC CMN CLASS A (12572Q105)	08/30/2010	01/30/2013	5 00	290 62	0 00	254 08	36 54
CME GROUP INC CMN CLASS A (12572Q105)	01/19/2010	01/30/2013	46 00	2,673 72	0 00	3,125 61	(451 89)
CME GROUP INC CMN CLASS A (12572Q105)	08/30/2010	01/30/2013	110 00	6,393 68	0 00	5,589 86	803 82
COSTCO WHOLESALE CORPORATION CMN (22160K105)	04/30/2009	01/30/2013	24 00	2,459 71	0 00	1,159 66	1,300 05
COSTCO WHOLESALE CORPORATION CMN (22160K105)	05/01/2009	01/30/2013	141 00	14,450 77	0 00	6,778 34	7,672 43
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	04/30/2009	01/30/2013	53 00	3,795 25	0 00	1,333 23	2,462 02
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	08/28/2008	01/30/2013	200 00	14,321 68	0 00	7,609 65	6,712 03
EQUINIX INC CMN (29444U502)	05/01/2009	01/30/2013	10 00	2,143 35	0 00	699 80	1,443 55
EQUINIX INC CMN (29444U502)	04/30/2009	01/30/2013	60 00	12,860 11	0 00	4,348 60	8,511 51
HALLIBURTON COMPANY CMN (406216101)	04/30/2010	01/30/2013	62 00	2,493 24	0 00	1,889 49	603 75
HALLIBURTON COMPANY CMN (406216101)	04/30/2010	01/30/2013	142 00	5,710 30	0 00	4,327 53	1,382 77
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	07/21/2010	01/30/2013	8 00	4,123 11	0 00	1,614 91	2,508 20
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	07/21/2010	01/30/2013	12 00	6,184 66	0 00	2,422 36	3,762 30

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REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
NIKE CLASS-B CMN CLASS B (654106103)	10/21/2009	01/30/2013	192 00	10,298 64	0 00	6,296 55	4,002 09
NORTHERN TRUST CORP CMN (665859104)	01/05/2010	01/30/2013	16 00	829 10	0 00	838 28	(9 18)
NORTHERN TRUST CORP CMN (665859104)	01/05/2010	01/30/2013	120 00	6,218 26	0 00	6,287 09	(68 83)
ORACLE CORPORATION CMN (68389X105)	10/12/2009	01/30/2013	272 00	9,653 06	0 00	5,644 17	4,008 89
PEPSICO INC CMN (713448108)	04/30/2009	01/30/2013	63 00	4,615 90	0 00	3,190 95	1,424 95
PEPSICO INC CMN (713448108)	05/01/2009	01/30/2013	84 00	6,154 54	0 00	4,171 44	1,983 10
PRAXAIR, INC CMN SERIES (74005P104)	04/09/2010	01/30/2013	2 00	220 57	0 00	169 96	50 61
PRAXAIR, INC CMN SERIES (74005P104)	04/09/2010	01/30/2013	116 00	12,793 17	0 00	9,857 51	2,935 66
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	07/24/2009	01/30/2013	17 00	1,275 02	0 00	947 66	327 36
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	10/15/2009	01/30/2013	36 00	2,700 04	0 00	2,054 88	645 16
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	07/24/2009	01/30/2013	50 00	3,750 06	0 00	2,787 24	962 82
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	06/24/2009	01/30/2013	67 00	5,025 08	0 00	3,408 16	1,616 92
QUALCOMM INC CMN (747525103)	09/10/2009	01/30/2013	14 00	892 38	0 00	646 98	245 40
QUALCOMM INC CMN (747525103)	08/28/2008	01/30/2013	92 00	5,864 21	0 00	4,998 20	866 01
QUALCOMM INC CMN (747525103)	05/01/2009	01/30/2013	245 00	15,616 64	0 00	10,314 50	5,302 14
SCHLUMBERGER LTD CMN (806857108)	10/15/2009	01/30/2013	47 00	3,704 61	0 00	3,156 52	548 09
SCHLUMBERGER LTD CMN (806857108)	08/17/2009	01/30/2013	94 00	7,409 23	0 00	4,787 14	2,622 09
SCHLUMBERGER LTD CMN (806857108)	05/01/2009	01/30/2013	156 00	12,296 17	0 00	7,812 36	4,483 81
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	01/19/2010	01/30/2013	7 00	262 07	0 00	410 62	(148 55)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	12/10/2010	01/30/2013	187 00	7,001 12	0 00	9,892 31	(2,891 19)
XILINX INCORPORATED CMN (983919101)	10/13/2010	01/30/2013	63 00	2,314 57	0 00	1,673 50	641 07
XILINX INCORPORATED CMN (983919101)	10/13/2010	01/30/2013	275 00	10,103 28	0 00	7,304 94	2,798 34
CME GROUP INC CMN CLASS A (125720105)	08/30/2010	02/13/2013	25 00	1,421 57	0 00	1,270 42	151 15
CME GROUP INC CMN CLASS A (125720105)	11/04/2010	02/13/2013	35 00	1,990 20	0 00	2,059 05	(68 85)
CME GROUP INC CMN CLASS A (125720105)	11/04/2010	02/13/2013	105 00	5,970 61	0 00	6,177 16	(206 55)

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
CME GROUP INC CMN CLASS A (12572Q105)	08/30/2010	02/13/2013	145 00	8,245 13	0 00	7,368 45	876 68
CME GROUP INC CMN CLASS A (12572Q105)	11/04/2010	02/13/2013	145 00	8,245 13	0 00	8,530 37	(285 24)
XILINX INCORPORATED CMN (983919101)	10/13/2010	02/27/2013	45 00	1,654 29	0 00	1,195 35	458 94
XILINX INCORPORATED CMN (983919101)	10/21/2010	02/27/2013	104 00	3,823 25	0 00	2,614 11	1,209 14
EQUINIX INC CMN (29444U502)	05/01/2009	02/28/2013	15 00	3,182 81	0 00	1,049 70	2,133 11
CBRE GROUP INC CMN (12504L109)	11/12/2009	03/08/2013	124 00	3,038 84	0 00	1,355 05	1,683 79
CBRE GROUP INC CMN (12504L109)	11/12/2009	03/08/2013	185 00	4,533 75	0 00	2,021 64	2,512 11
AMERICAN TOWER CORPORATION CMN (03027X100)	05/01/2009	04/19/2013	84 00	6,813 92	0 00	2,596 20	4,217 72
APPLE, INC CMN (037833100)	10/15/2009	04/19/2013	2 00	788 16	0 00	380 40	407 76
APPLE, INC CMN (037833100)	08/07/2009	04/19/2013	9 00	3,546 73	0 00	1,497 78	2,048 95
APPLE, INC CMN (037833100)	08/07/2009	04/19/2013	11 00	4,334 89	0 00	1,830 62	2,504 27
CBRE GROUP INC CMN (12504L109)	01/19/2010	04/19/2013	82 00	1,935 15	0 00	1,102 45	832 70
CBRE GROUP INC CMN (12504L109)	11/12/2009	04/19/2013	84 00	1,982 35	0 00	917 93	1,064 42
COSTCO WHOLESALE CORPORATION CMN (22160K105)	10/15/2009	04/19/2013	21 00	2,184 16	0 00	1,236 69	947 47
COSTCO WHOLESALE CORPORATION CMN (22160K105)	05/01/2009	04/19/2013	22 00	2,288 16	0 00	1,057 61	1,230 55
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	04/30/2009	04/19/2013	65 00	4,847 59	0 00	1,635 09	3,212 50
EQUINIX INC CMN (29444U502)	05/01/2009	04/19/2013	18 00	3,768 93	0 00	1,259 64	2,509 29
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	07/21/2010	04/19/2013	5 00	2,613 00	0 00	1,009 32	1,603 68
NIKE CLASS-B CMN CLASS B (654106103)	10/21/2009	04/19/2013	22 00	1,330 97	0 00	721 48	609 49
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	04/19/2013	61 00	3,690 41	0 00	2,008 89	1,681 52
PEPSICO INC CMN (713448108)	05/01/2009	04/19/2013	37 00	3,052 43	0 00	1,837 42	1,215 01
PRAXAIR, INC CMN SERIES (74005P104)	04/09/2010	04/19/2013	5 00	547 28	0 00	424 89	122 39
PRAXAIR, INC CMN SERIES (74005P104)	04/09/2010	04/19/2013	26 00	2,845 89	0 00	2,209 44	636 45
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/19/2010	04/19/2013	14 00	1,139 01	0 00	862 54	276 47
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	10/15/2009	04/19/2013	30 00	2,440 74	0 00	1,712 40	728 34

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REALIZED GAINS AND LOSSES (Continued)

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
QUALCOMM INC CMN (747525103)	09/10/2009	04/19/2013	57 00	3,639 37	0 00	2,634 14	1,005 23
QUALCOMM INC CMN (747525103)	09/10/2009	04/19/2013	58 00	3,703 21	0 00	2,680 34	1,022 87
SCHLUMBERGER LTD CMN (806857108)	01/19/2010	04/19/2013	7 00	488 94	0 00	498 33	(9 39)
SCHLUMBERGER LTD CMN (806857108)	10/15/2009	04/19/2013	10 00	698 48	0 00	671 60	26 88
SCHLUMBERGER LTD CMN (806857108)	02/22/2010	04/19/2013	31 00	2,165 30	0 00	1,864 34	300 96
SCHLUMBERGER LTD CMN (806857108)	02/22/2010	04/19/2013	35 00	2,444 70	0 00	2,104 90	339 80
XILINX INCORPORATED CMN (983919101)	10/21/2010	04/19/2013	28 00	999 58	0 00	703 80	295 78
XILINX INCORPORATED CMN (983919101)	10/21/2010	04/19/2013	49 00	1,749 26	0 00	1,231 65	517 61
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	12/10/2010	04/22/2013	27 00	1,023 31	0 00	1,428 30	(404 99)
TEVA PHARMACEUTICAL IND LTD ADS (881624209)	12/10/2010	04/22/2013	31 00	1,174 91	0 00	1,639 90	(464 99)
PROCTER & GAMBLE COMPANY (THE) CMN (742718109)	01/19/2010	05/07/2013	76 00	5,895 39	0 00	4,682 36	1,213 03
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	05/08/2013	2 00	127 74	0 00	65 87	61 87
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	05/08/2013	113 00	7,216 90	0 00	3,721 39	3,495 51
HALLIBURTON COMPANY CMN (406216101)	06/02/2010	05/23/2013	96 00	4,139 61	0 00	2,254 26	1,885 35
HALLIBURTON COMPANY CMN (406216101)	04/30/2010	05/23/2013	107 00	4,613 95	0 00	3,260 89	1,353 06
HALLIBURTON COMPANY CMN (406216101)	06/02/2010	05/23/2013	133 00	5,735 08	0 00	3,123 09	2,611 99
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	04/30/2009	06/03/2013	9 00	637 82	0 00	226 40	411 42
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	04/30/2009	06/03/2013	29 00	2,055 19	0 00	729 50	1,325 69
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	05/01/2009	06/03/2013	167 00	11,835 03	0 00	4,036 38	7,798 65
AMERICAN TOWER CORPORATION CMN (03027X100)	05/01/2009	06/04/2013	113 00	8,745 91	0 00	3,492 51	5,253 40
APPLE, INC CMN (037833100)	01/19/2010	06/04/2013	10 00	4,530 82	0 00	2,112 80	2,418 02
APPLE, INC CMN (037833100)	10/15/2009	06/04/2013	19 00	8,608 56	0 00	3,613 80	4,994 76
CBRE GROUP INC CMN (12504L109)	01/19/2010	06/04/2013	26 00	607 09	0 00	349 56	257 53
CBRE GROUP INC CMN (12504L109)	01/19/2010	06/04/2013	235 00	5,487 15	0 00	3,159 47	2,327 68
COSTCO WHOLESALE CORPORATION CMN (22160K105)	10/15/2009	06/04/2013	17 00	1,889 01	0 00	1,001 13	887 88

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Tax Year Account No Legal Name
2013 003-52434-5 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
COSTCO WHOLESALE CORPORATION CMN (22160K105)	12/04/2009	06/04/2013	37 00	4,111 36	0 00	2,181 45	1,929 91
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	10/15/2009	06/04/2013	17 00	1,215 48	0 00	578 17	637 31
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	05/01/2009	06/04/2013	53 00	3,789 44	0 00	1,281 01	2,508 43
EQUINIX INC CMN (29444U502)	05/01/2009	06/04/2013	35 00	6,840 63	0 00	2,449 30	4,391 33
MASTERCARD INCORPORATED CMN CLASS A (57636Q104)	07/21/2010	06/04/2013	5 00	2,856 20	0 00	1,009 32	1,846 88
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	06/04/2013	108 00	6,802 80	0 00	3,556 73	3,246 07
NORTHERN TRUST CORP CMN (665859104)	01/06/2010	06/04/2013	8 00	466 39	0 00	419 03	47 36
NORTHERN TRUST CORP CMN (665859104)	01/05/2010	06/04/2013	36 00	2,098 77	0 00	1,886 13	212 64
NORTHERN TRUST CORP CMN (665859104)	01/06/2010	06/04/2013	37 00	2,157 06	0 00	1,938 01	219 05
ORACLE CORPORATION CMN (68389X105)	10/12/2009	06/04/2013	50 00	1,730 47	0 00	1,037 53	692 94
ORACLE CORPORATION CMN (68389X105)	10/15/2009	06/04/2013	122 00	4,222 34	0 00	2,582 74	1,639 60
PEPSICO INC CMN (713448108)	10/15/2009	06/04/2013	9 00	733 04	0 00	562 77	170 27
PEPSICO INC CMN (713448108)	05/01/2009	06/04/2013	41 00	3,339 38	0 00	2,036 06	1,303 32
PRAXAIR, INC CMN SERIES (74005P104)	04/09/2010	06/04/2013	40 00	4,645 11	0 00	3,399 14	1,245 97
QUALCOMM INC CMN (747525103)	09/10/2009	06/04/2013	13 00	824 84	0 00	600 77	224 07
QUALCOMM INC CMN (747525103)	09/25/2009	06/04/2013	42 00	2,664 85	0 00	1,873 84	791 01
QUALCOMM INC CMN (747525103)	09/25/2009	06/04/2013	104 00	6,598 68	0 00	4,639 99	1,958 69
SCHLUMBERGER LTD CMN (806857108)	02/22/2010	06/04/2013	23 00	1,681 73	0 00	1,383 22	298 51
SCHLUMBERGER LTD CMN (806857108)	02/22/2010	06/04/2013	83 00	6,068 86	0 00	4,991 62	1,077 24
XILINX INCORPORATED CMN (983919101)	10/21/2010	06/04/2013	11 00	450 88	0 00	276 49	174 39
XILINX INCORPORATED CMN (983919101)	12/22/2010	06/04/2013	72 00	2,951 23	0 00	2,007 07	944 16
XILINX INCORPORATED CMN (983919101)	10/21/2010	06/04/2013	83 00	3,402 11	0 00	2,086 25	1,315 86
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	01/19/2010	06/05/2013	28 00	1,948 55	0 00	1,088 10	860 45
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	10/15/2009	06/05/2013	48 00	3,340 38	0 00	1,632 48	1,707 90
CROWN CASTLE INTL CORP COMMON STOCK (228227104)	01/19/2010	06/05/2013	110 00	7,655 04	0 00	4,274 67	3,380 37

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Tax Year Account No Legal Name
2013 003-52434-5 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁴	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
PRAXAIR, INC CMN SERIES (74005P104)	04/09/2010	06/07/2013	21 00	2,394 95	0 00	1,784 55	610 40
SCHLUMBERGER LTD CMN (806857108)	02/22/2010	06/10/2013	48 00	3,512 73	0 00	2,886 72	626 01
APPLE, INC CMN (037833100)	01/19/2010	06/18/2013	18 00	7,780 69	0 00	3,803 04	3,977 65
APPLE, INC CMN (037833100)	11/23/2010	06/18/2013	18 00	7,780 69	0 00	5,551 60	2,229 09
ORACLE CORPORATION CMN (68389X105)	10/15/2009	06/21/2013	41 00	1,242 00	0 00	867 97	374 03
ORACLE CORPORATION CMN (68389X105)	01/19/2010	06/21/2013	41 00	1,242 00	0 00	1,043 45	198 55
ORACLE CORPORATION CMN (68389X105)	01/19/2010	06/21/2013	231 00	6,997 62	0 00	5,878 95	1,118 67
NORTHERN TRUST CORP CMN (665859104)	01/06/2010	06/27/2013	3 00	173 91	0 00	157 14	16 77
NORTHERN TRUST CORP CMN (665859104)	01/20/2010	06/27/2013	16 00	927 49	0 00	871 20	56 29
NORTHERN TRUST CORP CMN (665859104)	01/20/2010	06/27/2013	58 00	3,362 16	0 00	3,158 10	204 06
APPLE, INC CMN (037833100)	11/23/2010	06/28/2013	18 00	7,084 68	0 00	5,551 60	1,533 08
APPLE, INC CMN (037833100)	11/23/2010	06/28/2013	20 00	7,871 87	0 00	6,168 44	1,703 43
XILINX INCORPORATED CMN (983919101)	12/22/2010	07/22/2013	13 00	596 47	0 00	362 39	234 08
XILINX INCORPORATED CMN (983919101)	12/22/2010	07/22/2013	138 00	6,331 78	0 00	3,846 89	2,484 89
SCHLUMBERGER LTD CMN (806857108)	07/29/2010	08/01/2013	44 00	3,658 15	0 00	2,665 34	992 81
SCHLUMBERGER LTD CMN (806857108)	02/22/2010	08/01/2013	47 00	3,907 58	0 00	2,826 58	1,081 00
XILINX INCORPORATED CMN (983919101)	12/22/2010	08/02/2013	32 00	1,486 72	0 00	892 03	594 69
XILINX INCORPORATED CMN (983919101)	12/22/2010	08/02/2013	84 00	3,902 65	0 00	2,341 58	1,561 07
PEPSICO INC CMN (713448108)	10/15/2009	08/16/2013	10 00	801 32	0 00	625 30	176 02
PEPSICO INC CMN (713448108)	10/15/2009	08/16/2013	69 00	5,529 09	0 00	4,314 51	1,214 58
SCHLUMBERGER LTD CMN (806857108)	07/29/2010	10/02/2013	12 00	1,074 63	0 00	726 91	347 72
SCHLUMBERGER LTD CMN (806857108)	10/11/2010	10/02/2013	14 00	1,253 73	0 00	888 31	365 42
SCHLUMBERGER LTD CMN (806857108)	07/29/2010	10/02/2013	15 00	1,343 29	0 00	908 64	434 65
SCHLUMBERGER LTD CMN (806857108)	07/29/2010	10/02/2013	43 00	3,850 76	0 00	2,604 76	1,246 00
PEPSICO INC CMN (713448108)	01/19/2010	10/08/2013	24 00	1,899 95	0 00	1,493 28	406 67

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Tax Year 2013 Account No 003-52434-5 Legal Name THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
PEPSICO INC CMN (713448108)	10/15/2009	10/08/2013	49 00	3,879 06	0 00	3,044 37	834 69
PEPSICO INC CMN (713448108)	10/15/2009	10/08/2013	50 00	3,958 23	0 00	3,126 46	831 77
PEPSICO INC CMN (713448108)	01/19/2010	10/08/2013	90 00	7,124 80	0 00	5,599 80	1,525 00
PEPSICO INC CMN (713448108)	10/15/2009	10/08/2013	105 00	8,312 27	0 00	6,565 56	1,746 71
SCHLUMBERGER LTD CMN (806857108)	10/11/2010	10/10/2013	7 00	621 77	0 00	444 16	177 61
SCHLUMBERGER LTD CMN (806857108)	10/11/2010	10/10/2013	46 00	4,085 88	0 00	2,918 74	1,167 14
SCHLUMBERGER LTD CMN (806857108)	10/11/2010	10/23/2013	24 00	2,228 93	0 00	1,522 82	706 11
SCHLUMBERGER LTD CMN (806857108)	10/11/2010	10/23/2013	69 00	6,408 17	0 00	4,378 11	2,030 06
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	11/22/2013	1 00	78 34	0 00	32 93	45 41
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	11/22/2013	78 00	6,110 47	0 00	2,568 75	3,541 72
APPLE, INC CMN (037833100)	11/23/2010	12/04/2013	10 00	5,653 41	0 00	3,084 22	2,569 19
QUALCOMM INC CMN (747525103)	09/25/2009	12/04/2013	8 00	586 46	0 00	356 92	229 54
QUALCOMM INC CMN (747525103)	10/15/2009	12/04/2013	51 00	3,738 70	0 00	2,152 20	1,586 50
QUALCOMM INC CMN (747525103)	09/25/2009	12/04/2013	102 00	7,477 40	0 00	4,550 76	2,926 64
QUALCOMM INC CMN (747525103)	01/19/2010	12/05/2013	52 00	3,810 96	0 00	2,571 64	1,239 32
QUALCOMM INC CMN (747525103)	10/15/2009	12/05/2013	60 00	4,397 26	0 00	2,532 00	1,865 26
QUALCOMM INC CMN (747525103)	02/04/2010	12/09/2013	20 00	1,463 85	0 00	766 23	697 62
QUALCOMM INC CMN (747525103)	01/19/2010	12/09/2013	29 00	2,122 58	0 00	1,434 18	688 40
QUALCOMM INC CMN (747525103)	01/19/2010	12/09/2013	54 00	3,952 39	0 00	2,670 55	1,281 84
SCHLUMBERGER LTD CMN (806857108)	10/11/2010	12/13/2013	28 00	2,411 69	0 00	1,776 62	635 07
AMERICAN TOWER CORPORATION CMN (03027X100)	07/02/2012	12/18/2013	36 00	2,805 81	0 00	2,554 20	251 61
AMERICAN TOWER CORPORATION CMN (03027X100)	01/19/2010	12/18/2013	40 00	3,117 57	0 00	1,761 89	1,355 68
AMERICAN TOWER CORPORATION CMN (03027X100)	05/01/2009	12/18/2013	77 00	6,001 32	0 00	2,379 85	3,621 47
AMERICAN TOWER CORPORATION CMN (03027X100)	10/15/2009	12/18/2013	103 00	8,027 75	0 00	4,101 46	3,926 29
AMERICAN TOWER CORPORATION CMN (03027X100)	06/14/2012	12/18/2013	648 00	50,504 65	0 00	42,981 84	7,522 81

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Tax Year Account No Legal Name
2013 003-52434-5 THE ANN & MONROE CARELL

REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
APPLE, INC CMN (037833100)	11/23/2010	12/18/2013	11 00	6,043 73	0 00	3,392 64	2,651 09
APPLE, INC CMN (037833100)	11/23/2010	12/18/2013	21 00	11,538 04	0 00	6,476 87	5,061 17
CBRE GROUP INC CMN (12504L109)	01/19/2010	12/18/2013	39 00	1,000 86	0 00	524 33	476 53
COSTCO WHOLESALE CORPORATION CMN (22160K105)	01/19/2010	12/18/2013	16 00	1,888 45	0 00	946 76	941 69
COSTCO WHOLESALE CORPORATION CMN (22160K105)	08/02/2010	12/18/2013	19 00	2,242 53	0 00	1,085 78	1,156 75
COSTCO WHOLESALE CORPORATION CMN (22160K105)	12/04/2009	12/18/2013	35 00	4,130 98	0 00	2,063 54	2,067 44
COSTCO WHOLESALE CORPORATION CMN (22160K105)	12/04/2009	12/18/2013	49 00	5,783 37	0 00	2,888 95	2,894 42
COSTCO WHOLESALE CORPORATION CMN (22160K105)	08/02/2010	12/18/2013	57 00	6,727 59	0 00	3,257 33	3,470 26
COSTCO WHOLESALE CORPORATION CMN (22160K105)	01/19/2010	12/18/2013	63 00	7,435 76	0 00	3,727 86	3,707 90
COSTCO WHOLESALE CORPORATION CMN (22160K105)	08/02/2010	12/18/2013	89 00	10,504 49	0 00	5,086 01	5,418 48
EQUINIX INC CMN (29444U502)	05/01/2009	12/18/2013	16 00	2,725 92	0 00	1,119 68	1,606 24
EQUINIX INC CMN (29444U502)	10/15/2009	12/18/2013	37 00	6,303 69	0 00	3,609 49	2,694 20
NIKE CLASS-B CMN CLASS B (654106103)	01/04/2010	12/18/2013	11 00	862 04	0 00	362 26	499 78
NIKE CLASS-B CMN CLASS B (654106103)	01/19/2010	12/18/2013	26 00	2,037 56	0 00	839 73	1,197 83
NIKE CLASS-B CMN CLASS B (654106103)	01/19/2010	12/18/2013	98 00	7,680 01	0 00	3,165 15	4,514 86
QUALCOMM INC CMN (747525103)	02/04/2010	12/18/2013	10 00	729 44	0 00	383 11	346 33
QUALCOMM INC CMN (747525103)	09/24/2010	12/18/2013	24 00	1,750 66	0 00	1,072 55	678 11
QUALCOMM INC CMN (747525103)	10/28/2010	12/18/2013	32 00	2,334 22	0 00	1,426 82	907 40
QUALCOMM INC CMN (747525103)	09/24/2010	12/18/2013	81 00	5,908 48	0 00	3,619 87	2,288 61
QUALCOMM INC CMN (747525103)	02/04/2010	12/18/2013	91 00	6,637 93	0 00	3,486 33	3,151 60
QUALCOMM INC CMN (747525103)	10/28/2010	12/18/2013	106 00	7,732 09	0 00	4,726 34	3,005 75
QUALCOMM INC CMN (747525103)	09/24/2010	12/18/2013	112 00	8,169 75	0 00	5,005 25	3,164 50
QUALCOMM INC CMN (747525103)	02/04/2010	12/18/2013	138 00	10,066 30	0 00	5,286 96	4,779 34
QUALCOMM INC CMN (747525103)	10/28/2010	12/18/2013	146 00	10,649 86	0 00	6,509 86	4,140 00

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LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
NonCovered Long-Term Gains (Losses)							
XILINX INCORPORATED CMN (983919101)	12/22/2010	12/18/2013	67 00	3,018 30	0 00	1,867 69	1,150 61
Net NonCovered Long-Term Gains (Losses)				925,693.21	0.00	574,543.02	351,150.19

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THE ANN AND MONROE CARELL FOUNDATION
EIN: 58-1537831
CAPITAL GAINS AND LOSSES - ATTACHMENT 4

Tax Year Account No Legal Name
2013 003-71783-2 THE ANN & MONROE CARELL FOUNDATION

REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1089 for tax reporting purposes

SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds ⁵	Total accretion (Amortization)	Cost Basis ⁴	Total Gain (Loss)
Covered Short-Term Gains (Losses)							
APPLE, INC CMN (037833100)	11/27/2013	12/05/2013	4 00	2,287 01	0 00	2,172 84	114 17
CISCO SYSTEMS, INC CMN (17275R102)	11/27/2013	12/05/2013	240 00	5,074 52	0 00	5,111 71	(37 19)
CME GROUP INC CMN CLASS A (12572Q105)	11/27/2013	12/05/2013	88 00	6,874 70	0 00	7,237 12	(362 42)
GOOGLE, INC CMN CLASS A (38259P508)	11/27/2013	12/05/2013	9 00	9,498 44	0 00	9,571 95	(73 51)
NASDAQ OMX GROUP, INC CMN (631103108)	11/27/2013	12/05/2013	130 00	5,064 58	0 00	5,044 08	20 50
Net Covered Short-Term Gains (Losses)				28,799.25	0.00	29,137.70	(338.45)

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⁵ Sale Proceeds may have been adjusted by an option premium due to an option assignment.



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Symbol	Description	Purchase Date	Data Sold	Qty	Proceeds	LTCG Per Cost Basis		Proceeds	LTCG Per FMV	
						Cost	Gain/Loss		FMV	Gain/Loss
MMM	3M COMPANY CMN	8/20/2012	11/7/2013 160 (ii)	20,436.44	15,017.60	5,418.84	20,436.44	20,105.60	330.84	
ABV	ABBIE INC CMN	8/20/2012	11/7/2013 320 (ii)	15,212.53	10,970.18	4,242.35	15,212.53	15,486.40	(273.87)	
ACN	ACCENTURE PLC CMN	8/20/2012	11/7/2013 120 (ii)	9,100.64	7,317.60	1,783.04	9,100.64	8,784.80	315.84	
ATV	ACTIVISION BLIZZARD INC CMN	8/20/2012	11/7/2013 60 (ii)	1,012.18	716.10	296.08	1,012.18	984.60	27.58	
ACM	AECOM TECHNOLOGY CORPORATION CMN	8/20/2012	11/7/2013 40 (ii)	1,259.97	765.60	494.37	1,259.97	1,271.20	(11.23)	
AMG	AFFILIATED MANAGERS GROUP INC CMN	8/20/2012	11/7/2013 20 (ii)	3,965.73	2,366.30	1,599.43	3,965.73	3,925.30	40.43	
AFL	AFLAC INCORPORATED CMN	8/20/2012	11/7/2013 473 (ii)	30,762.72	21,762.73	8,999.99	30,762.72	30,611.56	150.16	
AFL	AFLAC INCORPORATED CMN	8/20/2012	11/7/2013 200 (ii)	13,007.49	9,202.00	3,805.49	13,007.49	12,944.00	63.49	
AFL	AFLAC INCORPORATED CMN	10/19/2012	11/7/2013 26	1,690.97	1,291.33	399.64	1,690.97	1,682.72	8.25	
ARG	AIRGAS INC CMN	8/20/2012	11/7/2013 341 (ii)	37,055.41	28,584.33	8,471.08	37,055.41	36,896.20	159.21	
UNT	ALLIANT ENERGY CORPORATION CMN	2/28/2012	11/7/2013 80	4,206.32	3,425.49	780.83	4,206.32	4,192.80	13.52	
AMZN	AMAZON COM INC CMN	8/20/2012	11/7/2013 80 (ii)	27,897.11	19,194.00	8,703.11	27,897.11	28,600.40	(703.29)	
AMZN	AMAZON COM INC CMN	8/20/2012	11/7/2013 50 (ii)	17,435.70	11,996.25	5,439.45	17,435.70	17,875.25	(439.55)	
DOX	AMDOCS LIMITED ORDINARY SHARES	8/20/2012	11/7/2013 60 (ii)	2,367.55	1,929.90	437.65	2,367.55	2,297.10	70.45	
ACAS	AMERICAN CAPITAL LTD MUTUAL FUND	8/20/2012	11/7/2013 60 (ii)	827.68	670.80	156.88	827.68	860.10	(32.42)	
APX	AMERICAN EXPRESS CO CMN	8/20/2012	11/7/2013 80 (ii)	6,542.28	4,560.00	1,982.28	6,542.28	6,561.20	(18.92)	
AIG	AMERICAN INTL GROUP INC CMN	8/20/2012	11/7/2013 120 (ii)	5,696.30	4,143.00	1,553.30	5,696.30	5,842.20	(145.90)	
AIG	AMERICAN INTL GROUP INC CMN	8/20/2012	11/7/2013 20 (ii)	949.38	690.50	258.88	949.38	973.70	(24.32)	
AWX	AMERICAN WATER WORKS CO, INC CMN	8/20/2012	11/7/2013 20 (ii)	874.18	750.50	123.68	874.18	880.20	(6.02)	
AME	AMETEK INC (NEW) CMN	8/20/2012	11/7/2013 30 (ii)	1,457.97	1,020.00	437.97	1,457.97	1,431.00	26.97	
AMGN	AMGEN INC CMN	8/20/2012	11/7/2013 160 (ii)	18,076.48	13,282.24	4,794.24	18,076.48	18,537.60	(461.12)	
APC	ANADARKO PETROLEUM CORP CMN	8/20/2012	11/7/2013 120 (ii)	10,958.20	8,361.90	2,596.30	10,958.20	11,241.00	(282.80)	
ANSS	ANSYS INC CMN	8/20/2012	11/7/2013 480 (ii)	39,340.11	31,593.60	7,746.51	39,340.11	41,976.00	(2,635.89)	
ANSS	ANSYS INC CMN	8/20/2012	11/7/2013 20 (ii)	1,639.17	1,316.40	322.77	1,639.17	1,749.00	(109.83)	
AOL	AOL INC CMN	8/20/2012	11/7/2013 40 (ii)	1,697.97	1,114.80	583.17	1,697.97	1,647.40	50.57	
ASH	ASHLAND INC CMN	8/20/2012	11/7/2013 20 (ii)	1,762.56	1,477.50	285.06	1,762.56	1,804.30	(41.74)	
AHL	ASPEN INSURANCE HOLDINGS LTD CMN	8/20/2012	11/7/2013 20 (ii)	778.98	575.40	203.58	778.98	778.00	0.98	
ATO	ATMOS ENERGY CORPORATION CMN	8/20/2012	11/7/2013 60 (ii)	2,688.55	2,177.10	511.45	2,688.55	2,657.40	31.15	
ADP	AUTOMATIC DATA PROCESSING INC CMN	8/20/2012	11/7/2013 220 (ii)	16,644.91	12,860.10	3,784.81	16,644.91	16,545.10	99.81	
AVX	AVX CORPORATION NEW COMMON STOCK	10/5/2012	11/7/2013 40 (ii)	1,321.97	963.53	358.44	1,321.97	1,338.00	(16.03)	
AXS	AXIS CAPITAL HOLDINGS LTD CMN	8/20/2012	11/7/2013 40 (ii)	1,639.16	1,363.00	276.16	1,639.16	1,899.60	(260.44)	
BHI	BAKER HUGHES INC CMN	8/20/2012	11/7/2013 220 (ii)	12,590.38	10,443.95	2,146.43	12,590.38	12,782.00	(191.62)	
BAC	BANK OF AMERICA CORP CMN	8/20/2012	11/7/2013 2,769 (ii)	38,516.11	22,387.37	16,128.74	38,516.11	38,655.24	(139.13)	
BAX	BAXTER INTERNATIONAL INC CMN	8/20/2012	11/7/2013 260 (ii)	17,112.90	15,289.30	1,823.60	17,112.90	17,056.00	56.90	
BRKB	BERKSHIRE HATHAWAY INC CLASS B	8/20/2012	11/7/2013 349 (ii)	40,134.30	29,844.74	10,289.56	40,134.30	40,030.30	104.00	
BIIB	BIOGEN IDEC INC CMN	8/20/2012	11/7/2013 40 (ii)	9,457.43	5,779.80	3,677.63	9,457.43	9,657.20	(199.77)	
BDN	BRANDYNE REALTY TRUST NEW CMN	8/20/2012	11/7/2013 120 (ii)	1,641.57	1,432.98	208.59	1,641.57	1,699.20	(57.63)	
BMV	BRISTOL MYERS SQUIBB COMPANY CMN	8/20/2012	11/7/2013 280 (ii)	14,481.34	8,832.60	5,648.74	14,481.34	14,732.20	(250.86)	
BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN	8/20/2012	11/7/2013 80 (ii)	2,871.94	1,877.00	994.94	2,871.94	2,801.20	70.74	
BKD	BROOKDALE SENIOR LIVING, INC CMN	8/20/2012	11/7/2013 120 (ii)	3,435.54	2,365.80	1,069.74	3,435.54	3,232.20	203.34	
BRO	BROWN & BROWN INC CMN	8/20/2012	11/7/2013 40 (ii)	1,246.77	1,011.20	235.57	1,246.77	1,249.40	(2.63)	
BG	BUNGE LIMITED ORD CMN	8/20/2012	11/7/2013 20 (ii)	1,628.77	1,300.69	328.08	1,628.77	1,644.10	(15.33)	
CPN	CALPINE CORPORATION CMN	8/20/2012	11/7/2013 40 (ii)	793.18	688.80	104.38	793.18	794.20	(1.02)	
CSL	CARLISLE COS INC CMN	4/26/2012	11/7/2013 20 (ii)	1,466.17	1,039.14	426.83	1,466.17	1,470.80	(4.63)	
KMX	CARMAX INC CMN	8/20/2012	11/7/2013 873 (ii)	42,113.13	25,980.48	16,132.65	42,113.13	42,506.37	(393.24)	
CBOE	CBOE HOLDINGS INC CMN	8/20/2012	11/7/2013 223 (ii)	11,185.77	6,425.75	4,760.02	11,185.77	11,132.16	53.61	
CBOE	CBOE HOLDINGS INC CMN	9/7/2012	11/7/2013 9	451.44	261.36	190.08	451.44	449.28	2.16	
CBOE	CBOE HOLDINGS, INC CMN	9/17/2012	11/7/2013 113	5,668.13	3,336.07	2,332.06	5,668.13	5,640.96	27.17	
CBOE	CBOE HOLDINGS, INC CMN	9/18/2012	11/7/2013 113	5,668.13	3,369.72	2,298.41	5,668.13	5,640.96	27.17	
CBOE	CBOE HOLDINGS, INC CMN	9/19/2012	11/7/2013 111	5,567.81	3,337.43	2,230.38	5,567.81	5,541.12	26.69	
CBOE	CBOE HOLDINGS, INC CMN	10/19/2012	11/7/2013 50	2,508.02	1,452.74	1,055.28	2,508.02	2,496.00	12.02	
CELG	CELGENE CORPORATION CMN	8/20/2012	11/7/2013 234 (ii)	34,538.64	16,375.32	18,163.32	34,538.64	35,192.43	(653.79)	
CELG	CELGENE CORPORATION CMN	8/20/2012	11/7/2013 80 (ii)	11,808.08	5,598.40	6,209.68	11,808.08	12,031.60	(223.52)	
CERN	CERNER CORP CMN	8/20/2012	11/7/2013 382 (ii)	21,399.26	18,677.51	2,721.75	21,399.26	21,418.74	(19.48)	
CRJ	CHARLES RIV LABS INTL INC CMN	8/20/2012	11/7/2013 40 (ii)	1,983.56	1,467.56	516.00	1,983.56	2,014.40	(30.84)	
SCHW	CHARLES SCHWAB CORPORATION CMN	8/20/2012	11/7/2013 1,673 (ii)	38,796.19	21,941.40	16,854.79	38,796.19	38,755.05	41.15	
CB	CHICAGO BRIDGE & IRON COMPANY CMN SERIES	8/20/2012	11/7/2013 60	4,486.12	2,252.40	2,233.72	4,486.12	4,527.60	(41.48)	
CHH	CHOICE HOTELS INTL INC CMN	8/20/2012	11/7/2013 801 (ii)	36,443.82	34,666.79	1,777.03	36,443.82	36,962.15	(518.33)	
XEC	CIMAREX ENERGY CO CMN	8/20/2012	11/7/2013 60 (ii)	5,988.49	3,678.00	2,310.49	5,988.49	6,308.70	(320.21)	
CSO	CISCO SYSTEMS INC CMN	8/20/2012	11/7/2013 1,200 (ii)	27,827.51	22,770.00	5,057.51	27,827.51	27,402.00	425.51	
C	CITIGROUP INC CMN	8/20/2012	11/7/2013 544 (ii)	26,622.89	16,004.48	10,618.41	26,622.89	26,343.20	279.69	
CME	CME GROUP INC CMN CLASS A	8/20/2012	11/7/2013 100 (ii)	7,565.86	5,442.50	2,123.36	7,565.86	7,434.50	131.36	
CNHI	CNH INDUSTRIAL NV CMN	8/20/2012	11/7/2013 76 (ii)	853.46	824.70	28.76	853.46	846.92	6.54	
CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	8/20/2012	11/7/2013 80 (ii)	7,199.87	5,127.20	2,072.67	7,199.87	7,116.40	83.47	
CL	COLGATE PALMOLIVE CO CMN	8/20/2012	11/7/2013 280 (ii)	18,244.48	14,814.10	3,430.38	18,244.48	18,313.40	(68.92)	
CL	COLGATE PALMOLIVE CO CMN	9/7/2012	11/7/2013 19	1,238.02	1,007.29	230.73	1,238.02	1,242.70	(4.67)	
CL	COLGATE PALMOLIVE CO CMN	9/17/2012	11/7/2013 186	12,119.55	9,769.84	2,349.71	12,119.55	12,165.33	(45.78)	
CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING	8/20/2012	11/7/2013 80 (ii)	3,731.93	2,713.60	1,018.33	3,731.93	3,724.40	7.53	
CMCSA	COMCAST CORPORATION CMN CLASS A VOTING	8/20/2012	11/7/2013 600 (ii)	28,673.50	20,352.00	8,321.50	28,673.50	28,716.00	(42.50)	
	COMMONWEALTH REIT CMN	10/5/2012	11/7/2013 60	1,406.97	804.95	602.02	1,406.97	1,472.10	(65.13)	
CXO	CONCHO RESOURCES INC CMN	8/20/2012	11/7/2013 22 (ii)	2,362.75	2,105.84	256.91	2,362.75	2,455.86	(93.11)	
COP	CONOCOPHILLIPS CMN	8/20/2012	11/7/2013 160 (ii)	11,735.79	9,139.20	2,596.59	11,735.79	11,662.40	73.39	
CLR	CONTINENTAL RESOURCES, INC CMN	8/20/2012	11/7/2013 20 (ii)	2,283.16	1,471.10	812.06	2,283.16	2,366.10	(82.94)	
COO	COOPER COMPANIES INC (NEW) CMN	8/20/2012	11/7/2013 20 (ii)	2,542.15	1,641.30	900.85	2,542.15	2,555.80	(13.65)	
CLB	CORE LABORATORIES N V CMN	8/20/2012	11/7/2013 193 (ii)	35,837.62	23,742.86	12,094.76	35,837.62	36,256.98	(419.36)	
CLGX	CORLOGIC INC CMN	8/20/2012	11/7/2013 40 (ii)	1,331.97	981.80	350.17	1,331.97	1,338.80	(6.83)	
OFC	CORPORATE OFFICE PROPERTIES TRUST (MD)	8/20/2012	11/7/2013 80 (ii)	1,899.16	1,777.30	121.86	1,899.16	1,940.40	(41.24)	
CVO	COVANCE INC CMN	8/20/2012	11/7/2013 20 (ii)	1,733.16	963.70	769.46	1,733.16	1,745.20	(12.04)	
CREE	CREE INC CMN	8/20/2012	11/7/2013 60 (ii)	3,437.34	1,683.60	1,753.74	3,437.34	3,596.10	(158.76)	
CREE	CREE INC CMN	10/5/2012	11/7/2013 100	5,728.90	2,544.15	3,184.75	5,728.90	5,993.50	(264.60)	
CYS	CYS HEALTH CORP CMN	8/20/2012	11/7/2013 260 (ii)	16,548.71	11,861.20	4,687.51	16,548.71	16,516.50	32.21	
DLPH	DELPHI AUTOMOTIVE PLC CMN	8/20/2012	11/7/2013 100 (ii)	5,437.80	2,938.25	2,499.55	5,437.80	5,457.50	(19.60)	
DVN	DEVON ENERGY CORPORATION (NEW) CMN	8/20/2012	11/7/2013 80 (ii)	4,864.71	4,716.00	148.71	4,864.71	5,115.60	(250.89)	
DKS	DICKS SPORTING GOODS INC CMN	8/20/2012	11/7/2013 679 (ii)	36,623.06	34,051.85	2,571.21	36,623.06	36,567.55	55.51	
DFS	DISCOVER FINANCIAL SERVICES CMN	8/20/2012	11/7/2013 473 (ii)	24,841.52	17,683.11	7,158.41	24,841.52	24,543.97	297.55	
DFS	DISCOVER FINANCIAL SERVICES CMN	9/7/2012	11/7/2013 4	210.08	153.88	56.20	210.08	207.56	2.52	
DFS	DISCOVER FINANCIAL SERVICES CMN	10/19/2012	11/7/2013 39	2,048.24	1,546.96	501.28	2,048.24	2,023.71	24.53	
DISCA	DISCOVERY COMMUNICATIONS, INC CMN SERIES A	8/20/2012	11/7/2013 192 (ii)	16,274.94	10,093.44	6,181.50	16,274.94	16,646.40	(371.46)	
DISCA	DISCOVERY COMMUNICATIONS, INC CMN SERIES A	8/20/2012	11/7/2013 605 (ii)	51,283.02	31,804.85	19,478.17	51,283.02	52,453.50	(1,170.48)	
DISH	DISH NETWORK CORPORATION CMN CLASS A	8/20/2012	11/7/2013 20 (ii)	978.38	631.30	347.08	978.38			

Symbol	Description	Purchase Date	Date Sold	Qty	Proceeds	Cost	Gain/Loss	Proceeds	Cost	Gain/Loss
					LTCG Per Cost Basis		Gain/Loss	LTCG Per FMV		Gain/Loss
					Cost	Gain/Loss		FMV	Gain/Loss	
EOG	EOG RESOURCES INC CMN	8/20/2012	11/7/2013 20 (ii)		3,460.53	2,207.30	1,253.23	3,460.53	3,628.60	(168.07)
FDS	FACTSET RESEARCH SYSTEMS INC CMN	8/20/2012	11/7/2013 347 (ii)		38,006.90	32,631.88	5,375.02	38,006.90	37,862.91	144.00
FDS	FACTSET RESEARCH SYSTEMS INC CMN	8/20/2012	11/7/2013 90		9,857.70	8,432.88	1,424.82	9,857.70	9,820.35	37.35
FDS	FACTSET RESEARCH SYSTEMS INC CMN	9/12/2012	11/7/2013 88		9,638.64	8,552.51	1,086.13	9,638.64	9,602.12	36.52
FAST	FASTENAL CO CMN	8/20/2012	11/7/2013 893 (ii)		42,602.32	39,251.82	3,350.50	42,602.32	44,087.41	(1,485.09)
FLEX	FLEXTRONICS INTERNATIONAL LTD CMN	8/20/2012	11/7/2013 160 (ii)		1,238.37	1,083.60	154.77	1,238.37	1,261.60	(23.23)
F	FORD MOTOR COMPANY CMN	8/20/2012	11/7/2013 780 (ii)		13,002.37	7,468.50	5,533.87	13,002.37	13,248.30	(245.93)
FCEA	FOREST CITY ENTERPRISES (CL-A) CMN CLASS A	8/20/2012	11/7/2013 80 (ii)		1,588.37	1,181.56	416.81	1,588.37	1,621.20	(32.83)
FHSH	FORTUNE BRANDS HOME & SECURITY CMN	8/20/2012	11/7/2013 60 (ii)		2,477.95	1,456.50	1,021.45	2,477.95	2,540.10	(62.15)
BGC	GENERAL CABLE CORP CMN	8/20/2012	11/7/2013 20 (ii)		566.19	569.65	(3.46)	566.19	592.50	(26.31)
GO	GENERAL DYNAMICS CORP CMN	8/20/2012	11/7/2013 20 (ii)		1,744.16	1,314.80	429.36	1,744.16	1,743.00	1.16
GE	GENERAL ELECTRIC CO CMN	8/20/2012	11/7/2013 5,000 (ii)		134,599.65	104,625.00	29,974.65	134,599.65	131,950.00	2,649.65
GE	GENERAL ELECTRIC CO CMN	8/20/2012	11/7/2013 1,760 (ii)		47,379.08	36,828.00	10,551.08	47,379.08	46,446.40	932.68
GILD	GILEAD SCIENCES CMN	8/20/2012	11/7/2013 320 (ii)		21,558.02	9,018.40	12,539.62	21,558.02	22,285.40	(727.38)
GOOGL	GOOGLE INC. CMN CLASS A	8/20/2012	11/7/2013 60 (ii)		60,841.34	40,545.90	20,295.44	60,841.34	61,472.10	(630.76)
GOOGL	GOOGLE INC. CMN CLASS A	8/20/2012	11/7/2013 14 (ii)		14,196.31	9,450.71	4,745.60	14,196.31	14,343.49	(147.18)
GOOGL	GOOGLE INC. CMN CLASS A	9/5/2012	11/7/2013 16		16,224.36	12,325.00	3,899.36	16,224.36	16,392.56	(168.20)
GSP	GREAT PLAINS ENERGY INC. CMN	8/20/2012	11/7/2013 160 (ii)		3,774.33	3,470.40	303.93	3,774.33	3,766.40	7.93
GHL	GREENHILL & CO. CMN	8/20/2012	11/7/2013 20 (ii)		990.98	835.60	155.38	990.98	995.30	(4.32)
GEF	GREIF INC CMN CLASS A	8/20/2012	11/7/2013 20 (ii)		1,059.38	830.70	228.68	1,059.38	1,067.90	(8.52)
HAL	HALLIBURTON COMPANY CMN	8/20/2012	11/7/2013 100 (ii)		5,446.53	3,498.50	1,948.03	5,446.53	5,331.00	115.53
HAL	HALLIBURTON COMPANY CMN	10/5/2012	11/7/2013 60		3,267.92	2,033.72	1,234.20	3,267.92	3,198.60	69.32
HBI	HANESBRANDS INC. CMN	8/20/2012	11/7/2013 20 (ii)		1,345.37	646.50	698.87	1,345.37	1,350.10	(4.73)
THG	HANOVER INSURANCE GROUP INC CMN	8/20/2012	11/7/2013 20 (ii)		1,190.77	713.90	476.87	1,190.77	1,185.50	5.27
HCA	HCA HOLDINGS, INC. CMN	8/20/2012	11/7/2013 20 (ii)		887.98	582.50	305.48	887.98	936.00	(48.02)
HCC	HCC INSURANCE HOLDINGS INC CMN	8/20/2012	11/7/2013 20 (ii)		908.18	650.40	257.78	908.18	904.70	3.48
HTZ	HEALTH MANAGEMENT ASSOC. CL A CMN CLASS A	8/20/2012	11/7/2013 160 (ii)		1,943.96	1,121.20	822.76	1,943.96	2,027.20	(83.24)
HFC	HERTZ GLOBAL HOLDINGS, INC. CMN	8/20/2012	11/7/2013 80 (ii)		1,716.77	1,018.40	698.37	1,716.77	1,721.20	(4.43)
HFC	HOLLYFRONTIER CORP CMN	8/20/2012	11/7/2013 382 (ii)		16,681.46	15,268.54	1,412.92	16,681.46	17,740.00	(1,058.54)
HFC	HOLLYFRONTIER CORP CMN	9/7/2012	11/7/2013 28		1,222.72	1,113.84	108.88	1,222.72	1,300.32	(77.60)
HOLX	HOLIX INCORPORATED CMN	8/20/2012	11/7/2013 60 (ii)		1,336.77	1,189.50	147.27	1,336.77	1,335.60	1.17
HON	HUNTSWELL INTL INC CMN	8/20/2012	11/7/2013 240 (ii)		20,666.04	14,265.60	6,400.44	20,666.04	20,553.20	112.84
HUN	HUNTSMAN CORPORATION CMN	8/20/2012	11/7/2013 60 (ii)		1,342.17	876.90	465.27	1,342.17	1,377.90	(35.73)
H	HYATT HOTELS CORPORATION CMN CLASS A	8/20/2012	11/7/2013 1,299 (ii)		62,174.12	49,362.00	12,812.12	62,174.12	63,525.02	(1,350.90)
IDXX	IDEXX LABORATORIES CMN	8/20/2012	11/7/2013 390 (ii)		42,467.26	35,708.75	6,758.51	42,467.26	42,162.90	304.36
ITW	ILLINOIS TOOL WORKS CMN	8/20/2012	11/7/2013 80 (ii)		6,326.28	4,740.00	1,586.28	6,326.28	6,313.60	12.68
ILMN	ILLUMINA, INC. CMN	9/13/2012	11/7/2013 219		20,265.20	10,088.94	10,176.26	20,265.20	20,993.34	(728.14)
ILMN	ILLUMINA, INC. CMN	9/28/2012	11/7/2013 235		21,745.77	11,132.98	10,612.79	21,745.77	22,527.10	(781.33)
IM	INGRAM MICRO INC. CLASS A COMMON STOCK	10/5/2012	11/7/2013 200		4,659.91	3,058.64	1,591.27	4,659.91	4,640.00	19.91
INGR	INGREDION INC CMN	8/20/2012	11/7/2013 40 (ii)		2,727.55	2,161.10	566.45	2,727.55	2,700.00	27.55
INTC	INTEL CORPORATION CMN	8/20/2012	11/7/2013 3,500 (ii)		84,663.87	91,752.50	(7,088.63)	84,663.87	83,790.00	873.87
ITC	ITC HOLDINGS CORP CMN	8/20/2012	11/7/2013 657 (ii)		68,391.05	48,112.11	20,278.94	68,391.05	68,804.33	(413.27)
JNS	JANUS CAPITAL GROUP INC. CMN	8/20/2012	11/7/2013 100 (ii)		1,014.98	861.00	153.98	1,014.98	1,011.50	3.48
JAH	JARDEN CORPORATION CMN	8/20/2012	11/7/2013 30 (ii)		1,626.87	975.00	651.87	1,626.87	1,661.40	(345.53)
JNJ	JOHNSON & JOHNSON CMN	8/20/2012	11/7/2013 1,200 (ii)		111,944.13	81,306.00	30,638.13	111,944.13	111,138.00	806.13
JNJ	JOHNSON & JOHNSON CMN	8/20/2012	11/7/2013 442 (ii)		41,046.18	29,812.20	11,233.98	41,046.18	40,750.60	295.58
JPM	JPMORGAN CHASE & CO CMN	8/20/2012	11/7/2013 460 (ii)		23,901.18	17,089.00	6,812.18	23,901.18	23,871.70	29.48
KSU	KANSAS CITY SOUTHERN CMN	8/20/2012	11/7/2013 20 (ii)		2,478.15	1,551.80	926.35	2,478.15	2,481.90	(3.75)
KMPR	KEMPER CORPORATION CMN	8/20/2012	11/7/2013 20 (ii)		747.38	613.50	133.88	747.38	768.40	(21.02)
KMB	KIMBERLY CLARK CORP CMN	8/20/2012	11/7/2013 120 (ii)		12,992.17	10,072.80	2,919.37	12,992.17	13,025.40	(33.23)
KSS	KOHL'S CORP (WISCONSIN) CMN	8/20/2012	11/7/2013 100 (ii)		5,741.90	5,182.50	559.40	5,741.90	5,797.00	(55.10)
KRFT	KRAFT FOODS GROUP, INC. CMN	8/20/2012	11/7/2013 140 (ii)		7,509.96	5,971.76	1,538.20	7,509.96	7,602.00	(92.04)
KR	KROGER COMPANY CMN	8/20/2012	11/7/2013 260 (ii)		10,943.21	5,739.50	5,203.71	10,943.21	11,043.50	(100.29)
KR	KROGER COMPANY CMN	10/5/2012	11/7/2013 20		841.79	475.03	366.76	841.79	849.50	(7.71)
LVS	LAS VEGAS SANDS CORP CMN	8/20/2012	11/7/2013 258 (ii)		17,737.93	10,915.06	6,822.87	17,737.93	17,825.28	(87.35)
LVS	LAS VEGAS SANDS CORP CMN	9/19/2012	11/7/2013 59		4,088.04	2,758.84	1,329.20	4,088.04	4,108.17	(20.13)
LEA	LEAR CORPORATION CMN	8/20/2012	11/7/2013 100 (ii)		7,721.86	4,074.00	3,647.86	7,721.86	7,820.00	(98.14)
LII	LENNOX INTERNATIONAL INC CMN	8/20/2012	11/7/2013 20 (ii)		1,594.37	944.80	649.57	1,594.37	1,574.60	19.77
LUK	LEUCADIA NATIONAL CORP CMN	8/20/2012	11/7/2013 48 (ii)		1,389.09	820.80	568.29	1,389.09	1,395.36	(6.27)
LUX	LEXMARK INTERNATIONAL INC. CMN CLASS A	10/5/2012	11/7/2013 60		2,131.76	1,289.49	842.27	2,131.76	2,161.80	(30.04)
LYTX	LIBERTY GLOBAL, PLC CMN CLASS A	8/20/2012	11/7/2013 20 (ii)		1,605.57	1,104.20	501.37	1,605.57	1,529.30	76.27
LYTX	LIBERTY GLOBAL, PLC CMN CLASS C	8/20/2012	11/7/2013 40 (ii)		3,037.94	2,080.20	957.74	3,037.94	2,909.20	128.74
QVCA	LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A	8/20/2012	11/7/2013 60 (ii)		1,633.17	1,079.40	553.77	1,633.17	1,647.90	(14.73)
LMT	LOCKHEED MARTIN CORPORATION CMN	8/20/2012	11/7/2013 165 (ii)		22,698.65	15,313.65	7,385.00	22,698.65	22,391.33	307.32
LMT	LOCKHEED MARTIN CORPORATION CMN	9/7/2012	11/7/2013 6		825.41	552.78	272.63	825.41	814.23	11.18
LO	LORILLARD, INC. CMN	8/20/2012	11/7/2013 2,100 (ii)		107,485.15	91,266.00	16,219.15	107,485.15	108,297.00	(811.85)
MTW	MANITOWOC CO INC CMN	8/20/2012	11/7/2013 140 (ii)		2,641.75	1,842.40	799.35	2,641.75	2,762.90	(121.15)
MAN	MANPOWER GROUP CMN	8/20/2012	11/7/2013 20 (ii)		1,574.97	752.60	822.37	1,574.97	1,606.30	(31.33)
MAN	MANPOWER GROUP CMN	10/5/2012	11/7/2013 20		1,574.97	745.40	829.57	1,574.97	1,606.30	(31.33)
MPC	MARATHON PETROLEUM CORPORATION CMN	8/20/2012	11/7/2013 303 (ii)		21,441.90	14,987.90	6,454.00	21,441.90	21,500.88	(58.98)
MLM	MARTIN MARIETTA MATERIALS, INC. CMN	8/20/2012	11/7/2013 40 (ii)		4,069.12	3,105.60	963.52	4,069.12	4,052.60	16.52
MA	MASTERCARD INCORPORATED CMN CLASS A	8/20/2012	11/7/2013 20 (ii)		14,516.57	8,522.88	5,993.69	14,516.57	14,614.70	(98.13)
MCD	MC DONALDS CORP CMN	8/20/2012	11/7/2013 40 (ii)		3,899.93	3,507.60	392.33	3,899.93	3,895.40	4.53
MDT	MEDTRONIC INC CMN	8/20/2012	11/7/2013 300 (ii)		17,264.69	12,339.00	4,925.69	17,264.69	17,098.50	166.19
MET	METLIFE, INC. CMN	8/20/2012	11/7/2013 380 (ii)		18,380.77	13,218.30	5,162.47	18,380.77	18,230.50	150.27
MTD	METTLER-TOLEDO INTL CMN	8/20/2012	11/7/2013 167 (ii)		41,151.42	27,247.71	13,903.71	41,151.42	41,271.55	(120.13)
MSFT	MICROSOFT CORPORATION CMN	8/20/2012	11/7/2013 880 (ii)		36,906.75	30,100.70	6,806.05	36,906.75	35,515.20	1,391.55
MSFT	MICROSOFT CORPORATION CMN	8/20/2012	11/7/2013 100		3,765.99	2,933.45	832.54	3,765.99	3,624.00	141.99
MSFT	MICROSOFT CORPORATION CMN	9/6/2012	11/7/2013 225		8,473.49	6,888.05	1,585.44	8,473.49	8,154.00	319.49
MSFT	MICROSOFT CORPORATION CMN	10/4/2012	11/7/2013 293		11,034.36	9,088.34	1,946.02	11,034.36	10,618.32	416.04
MHI	MOHAWK INDUSTRIES INC COMMON STOCK	8/20/2012	11/7/2013 20 (ii)		2,691.55	1,477.70	1,213.85	2,691.55	2,731.70	(40.15)
MDLZ	MONDELEZ INTERNATIONAL, INC. CMN	8/20/2012	11/7/2013 420 (ii)		13,603.56	11,046.64	2,556.92	13,603.56	14,049.00	(445.44)
MON	MONSANTO COMPANY CMN	8/20/2012	11/7/2013 140 (ii)		14,631.14	12,244.39	2,386.75	14,631.14	14,464.10	167.04
MON	MONSANTO COMPANY CMN	8/20/2012	11/7/2013 169 (ii)		17,661.88	14,780.73	2,881.15	17,661.88	17,460.24	201.65
MS	MORGAN STANLEY CMN	10/10/2012	11/7/2013 340 (ii)		9,900.62	4,996.30	4,904.32	9,900.62	9,882.10	18.52
MORN	MORNINGSTAR, INC. CMN	8/20/2012	11/7/2013 355 (ii)		28,246.85	20,467.53	7,779.32	28,246.85	27,952.70	294.15
MSCI	MSCI INC. CMN	8/20/2012	11/7/2013 22							

The Ann & Monroe Carrell Foundation
EIN: 58-1537831
Capital Gains & Losses - Attachment 5

Symbol	Description	Purchase Date	Date Sold	Qty	Proceeds	LTCG Per Cost Basis		Proceeds	LTCG Per FMV	
						Cost	Gain/Loss		FMV	Gain/Loss
PCP	PRECISION CASTPARTS CORP CMN	10/26/2012	11/7/2013 3		749.83	508.37	241.46	749.83	756.65	(6.81)
TROW	PRICE T ROWE GROUP INC CMN	8/20/2012	11/7/2013 100 (ii)		7,752.86	6,196.50	1,556.36	7,752.86	7,700.00	52.86
PCLN	PRICELINE GROUP INC/THE CMN	8/20/2012	11/7/2013 40 (ii)		41,496.87	23,352.40	18,144.47	41,496.87	42,907.80	(1,410.93)
PG	PROCTER & GAMBLE COMPANY (THE) CMN	8/20/2012	11/7/2013 480 (ii)		39,538.83	32,064.00	7,474.83	39,538.83	39,021.60	517.23
PG	PROCTER & GAMBLE COMPANY (THE) CMN	8/20/2012	11/7/2013 1,200 (ii)		98,847.08	80,150.00	18,697.08	98,847.08	97,554.00	1,293.08
PWH	PWH CORP CMN	8/20/2012	11/7/2013 40 (ii)		5,025.11	3,517.80	1,507.31	5,025.11	5,009.60	15.51
QGEN	QIAGEN N.Y. COMMON SHARES	8/20/2012	11/7/2013 60 (ii)		1,369.17	1,044.60	324.57	1,369.17	1,377.60	(8.43)
QCOM	QUALCOMM INC CMN	8/20/2012	11/7/2013 350 (ii)		23,982.78	22,615.20	1,367.58	23,982.78	24,913.80	(931.02)
STR	QUESTAR CORPORATION CMN	8/20/2012	11/7/2013 100 (ii)		2,339.95	1,999.10	340.85	2,339.95	2,376.00	(36.05)
REG	REGENCY CTRS CORP CMN	8/20/2012	11/7/2013 40 (ii)		2,008.36	1,940.62	67.74	2,008.36	2,039.00	(30.64)
RS	RELIANCE STEEL & ALUMINUM CO CMN	8/20/2012	11/7/2013 40 (ii)		2,974.34	2,120.00	854.34	2,974.34	2,963.20	11.14
RNR	RENAISSANCE RE HOLDINGS LTD CMN	8/20/2012	11/7/2013 20 (ii)		1,924.16	1,527.20	396.96	1,924.16	1,873.00	51.16
RKT	ROCK TENN CO CL A CMN CLASS A	8/20/2012	11/7/2013 20 (ii)		1,933.76	1,281.30	652.46	1,933.76	2,044.00	(110.24)
ROVI	ROVI CORPORATION CMN	10/5/2012	11/7/2013 40		670.38	556.46	113.92	670.38	655.20	15.18
RCL	ROYAL CARIBBEAN CRUISES LTD ISIN LRO008862858	8/20/2012	11/7/2013 100 (ii)		4,276.92	2,604.00	1,672.92	4,276.92	4,272.00	4.92
RES	RPC INC CMN	8/20/2012	11/7/2013 1,263 (ii)		22,439.32	16,570.56	5,868.76	22,439.32	23,226.57	(787.25)
RPM	RPM INTERNATIONAL INC CMN	8/20/2012	11/7/2013 20 (ii)		760.98	540.39	220.59	760.98	770.10	(9.12)
SBAC	SBA COMMUNICATIONS CORP CMN	8/20/2012	11/7/2013 40 (ii)		3,659.14	2,396.40	1,262.74	3,659.14	3,603.00	56.14
SBAC	SBA COMMUNICATIONS CORP CMN	8/20/2012	11/7/2013 454 (ii)		41,531.19	27,199.14	14,332.05	41,531.19	40,894.05	637.14
SLB	SCHLUMBERGER LTD CMN	8/20/2012	11/7/2013 253 (ii)		23,533.65	18,839.65	4,694.00	23,533.65	23,898.71	(364.06)
SIG	SIGNET JEWELERS LIMITED CMN	8/20/2012	11/7/2013 20 (ii)		1,507.57	958.40	549.17	1,507.57	1,524.20	(16.63)
SLAB	SILICON LABORATORIES INC CMN	10/5/2012	11/7/2013 40		1,575.17	1,499.66	75.51	1,575.17	1,596.60	(21.43)
SIRO	SIRONA DENTAL SYSTEMS, INC CMN	8/20/2012	11/7/2013 60 (ii)		4,337.92	3,249.00	1,088.92	4,337.92	4,336.50	1.42
SLH	SOLERA HOLDINGS INC CMN	10/5/2012	11/7/2013 20		1,299.37	912.63	386.74	1,299.37	1,147.80	151.57
KBE	SPDR S&P BANK ETF	8/20/2012	11/7/2013 17,500 (ii)		545,472.50	395,062.50	150,410.00	545,472.50	545,300.00	172.50
SPW	SPX CORPORATION CMN	8/20/2012	11/7/2013 20 (ii)		1,858.76	1,310.67	548.09	1,858.76	1,860.10	(1.34)
SFG	STANCORP FINANCIAL CORP, INC CMN	8/20/2012	11/7/2013 20 (ii)		1,217.17	610.70	606.47	1,217.17	1,206.70	10.47
STT	STATE STREET CORPORATION (NEW) CMN	8/20/2012	11/7/2013 100 (ii)		7,074.87	4,184.00	2,890.87	7,074.87	7,070.50	4.37
SPN	SUPERIOR ENERGY SERVICES INC CMN	10/5/2012	11/7/2013 40		1,089.58	780.06	309.52	1,089.58	1,119.00	(29.42)
AMTD	TD AMERITRADE HOLDING CORP CMN	8/20/2012	11/7/2013 200 (ii)		5,533.90	3,362.00	2,171.90	5,533.90	5,505.00	28.90
TCEHY	TENCENT HOLDINGS LIMITED UNSPONSORED ADR	8/20/2012	11/7/2013 388 (ii)		20,043.05	12,396.39	7,646.66	20,043.05	20,500.46	(457.41)
TEX	TEREX CORP (NEW) CMN	8/20/2012	11/7/2013 120 (ii)		4,147.12	2,609.80	1,537.32	4,147.12	4,317.00	(169.88)
TIN	TEXAS INSTRUMENTS INC CMN	10/5/2012	11/7/2013 500		20,919.63	14,135.10	6,784.53	20,919.63	20,885.00	34.63
BK	THE BANK OF M Y MELLON CORP CMN	8/20/2012	11/7/2013 280 (ii)		9,060.64	6,346.90	2,713.74	9,060.64	9,048.20	12.44
HD	THE HILLSHIRE BRANDS COMPANY CMN	10/5/2012	11/7/2013 20		648.98	530.43	118.55	648.98	647.60	1.38
HD	THE HOME DEPOT, INC CMN	8/20/2012	11/7/2013 400 (ii)		30,619.46	22,530.00	8,089.46	30,619.46	30,574.00	45.46
TRV	THE TRAVELERS COMPANIES, INC CMN	8/20/2012	11/7/2013 180 (ii)		15,753.32	11,741.40	4,011.92	15,753.32	15,576.30	177.02
TMO	THERMO FISHER SCIENTIFIC INC CMN	8/20/2012	11/7/2013 100 (ii)		9,709.83	5,651.50	4,058.33	9,709.83	9,729.00	(19.17)
TWX	TIME WARNER INC CMN	8/20/2012	11/7/2013 300 (ii)		19,931.65	12,826.50	7,105.15	19,931.65	20,413.50	(481.85)
TJX	TJX COMPANIES INC (NEW) CMN	8/20/2012	11/7/2013 200 (ii)		12,231.78	9,169.00	3,062.78	12,231.78	12,185.00	46.78
TJX	TJX COMPANIES INC (NEW) CMN	9/7/2012	11/7/2013 6		366.95	275.70	91.25	366.95	365.55	1.40
TJX	TJX COMPANIES INC (NEW) CMN	10/25/2012	11/7/2013 12		733.91	496.93	236.98	733.91	731.10	2.81
TRN	TRINITY INDUSTRIES INC (DEL) CMN	8/20/2012	11/7/2013 20 (ii)		1,042.38	582.20	460.18	1,042.38	1,099.70	(157.32)
TRW	TRW AUTOMOTIVE HOLDINGS CORP CMN	8/20/2012	11/7/2013 20 (ii)		1,464.17	866.60	597.57	1,464.17	1,491.90	(27.73)
FOXA	TWENTY FIRST CENTURY FOX, INC CMN CLASS A	8/20/2012	11/7/2013 360 (ii)		11,904.99	7,365.41	4,539.58	11,904.99	11,854.80	50.19
USB	U.S. BANKCORP CMN	8/20/2012	11/7/2013 400 (ii)		15,127.73	13,226.00	1,901.73	15,127.73	15,038.00	89.73
UGI	UGI CORPORATION (HOLDING CO) CMN	8/20/2012	11/7/2013 40 (ii)		1,647.97	1,241.40	406.57	1,647.97	1,651.20	(3.23)
UNP	UNION PACIFIC CORP CMN	8/20/2012	11/7/2013 160 (ii)		24,981.96	19,916.00	5,065.96	24,981.96	24,524.00	457.96
UNP	UNION PACIFIC CORP CMN	10/26/2012	11/7/2013 32		4,996.39	3,921.54	1,074.85	4,996.39	4,904.80	91.59
UPS	UNITED PARCEL SERVICE, INC. CLASS B COMMON STOCK	8/20/2012	11/7/2013 180 (ii)		17,929.48	13,764.60	4,164.88	17,929.48	17,971.20	(41.72)
URI	UNITED RENTALS, INC CMN	8/20/2012	11/7/2013 20 (ii)		1,269.97	684.90	585.07	1,269.97	1,321.80	(51.83)
UTX	UNITED TECHNOLOGIES CORP CMN	8/20/2012	11/7/2013 80 (ii)		8,686.24	6,439.20	2,247.04	8,686.24	8,598.80	87.44
UTHR	UNITED THERAPEUTICS CORP CMN	8/20/2012	11/7/2013 20 (ii)		1,784.36	1,119.27	665.09	1,784.36	1,785.20	(0.84)
UHS	UNIVERSAL HEALTH SVC CL B CMN CLASS B	8/20/2012	11/7/2013 60 (ii)		4,660.11	2,405.70	2,254.41	4,660.11	4,851.30	(191.19)
VR	VALIDUS HOLDINGS, LTD CMN	8/20/2012	11/7/2013 20 (ii)		799.38	667.60	131.78	799.38	790.10	9.28
VRSK	VERISK ANALYTICS INC CMN CLASS A	8/20/2012	11/7/2013 919 (ii)		56,434.80	45,008.03	11,426.77	56,434.80	62,381.72	(5,946.92)
VZ	VERIZON COMMUNICATIONS INC CMN	8/20/2012	11/7/2013 600 (ii)		30,377.47	26,310.00	4,067.47	30,377.47	30,285.00	92.47
VRTX	VERTEX PHARMACEUTICALS INC CMN	8/20/2012	11/7/2013 60 (ii)		3,731.93	3,260.40	471.53	3,731.93	3,953.40	(221.47)
VIAB	VIACOM INC CMN CLASS B	8/20/2012	11/7/2013 160 (ii)		13,111.77	8,141.60	4,970.17	13,111.77	13,319.20	(207.43)
V	VISA INC CMN CLASS A	8/20/2012	11/7/2013 97 (ii)		19,161.05	12,450.92	6,710.13	19,161.05	19,059.05	102.00
V	VISA INC CMN CLASS A	8/20/2012	11/7/2013 100 (ii)		19,753.66	12,836.00	6,917.66	19,753.66	19,648.50	105.16
VC	VISTEON CORPORATION CMN	8/20/2012	11/7/2013 20 (ii)		1,490.77	805.35	685.42	1,490.77	1,537.10	(46.33)
WDR	WADDELL & REED FIN, INC CLASS A COMMON	8/20/2012	11/7/2013 286 (ii)		17,894.68	8,474.18	9,420.50	17,894.68	18,065.19	(170.51)
WDR	WADDELL & REED FIN, INC. CLASS A COMMON	8/20/2012	11/7/2013 80 (ii)		5,005.50	2,370.40	2,635.10	5,005.50	5,053.20	(47.70)
WDR	WADDELL & REED FIN, INC. CLASS A COMMON	10/19/2012	11/7/2013 40		2,502.75	1,309.31	1,193.44	2,502.75	2,526.60	(23.85)
WMT	WAL MART STORES INC CMN	8/20/2012	11/7/2013 320 (ii)		24,914.76	23,050.98	1,863.78	24,914.76	24,692.80	221.96
WAG	WALGREEN CO CMN	8/20/2012	11/7/2013 260 (ii)		15,441.13	9,326.20	6,114.93	15,441.13	15,614.30	(173.17)
DIS	WALT DISNEY COMPANY (THE) CMN	8/20/2012	11/7/2013 420 (ii)		28,630.90	21,155.40	7,475.50	28,630.90	28,795.20	(164.30)
WRI	WEINGARTEN REALTY INVS (SBI) CMN	8/20/2012	11/7/2013 60 (ii)		1,837.16	1,672.01	165.15	1,837.16	1,884.90	(47.74)
WFC	WELLS FARGO & CO (NEW) CMN	8/20/2012	11/7/2013 935 (ii)		39,503.06	31,874.15	7,628.91	39,503.06	39,887.10	(384.04)
WCC	WESCO INTERNATIONAL INC CMN	8/20/2012	11/7/2013 40 (ii)		3,331.14	2,416.40	914.74	3,331.14	3,358.60	(27.46)
WDC	WESTERN DIGITAL CORPORATION CMN	8/20/2012	11/7/2013 401 (ii)		28,207.34	18,101.14	10,106.20	28,207.34	28,475.01	(267.67)
WDC	WESTERN DIGITAL CORPORATION CMN	9/7/2012	11/7/2013 6		422.06	252.06	170.00	422.06	426.06	(4.00)
WUK	WESTLAKE CHEMICAL CORPORATION CMN	8/20/2012	11/7/2013 40 (ii)		4,509.52	2,755.40	1,754.12	4,509.52	4,294.00	215.52
WLL	WHITING PETROLEUM CORPORATION CMN	8/20/2012	11/7/2013 40 (ii)		2,557.15	1,788.80	768.35	2,557.15	2,680.80	(123.65)
WSM	WILLIAMS-SONOMA, INC CMN	8/20/2012	11/7/2013 80 (ii)		4,283.92	2,987.60	1,296.32	4,283.92	4,271.60	12.32
WYNN	WYNN RESORTS, LIMITED CMN	8/20/2012	11/7/2013 160 (ii)		25,917.84	16,214.94	9,702.90	25,917.84	26,473.60	(555.76)
YUM	YUM BRANDS, INC CMN	9/16/2012	11/7/2013 31		2,189.49	1,975.01	214.48	2,189.49	2,162.87	26.62
Total Long-Term					4,563,593.01	3,354,169.33	1,209,423.68	4,563,593.01	4,579,680.29	(16,087.28)

Ann and Monroe Carell Foundation
Fair Market Value of Securities Received

Monroe Carell, Jr. Testamentary Q-TIP Trust fbo Ann Scott Carell

Transaction Date	Qty	Symbol	Description	Fair Market Value
5-Nov-13	320	ABBV	ABBVIE INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 15,486 40
5-Nov-13	60	ACAS	AMERICAN CAPITAL LTD MUTUAL FUND TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 860 10
5-Nov-13	40	ACM	AECOM TECHNOLOGY CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,271 20
5-Nov-13	120	ACN	ACCENTURE PLC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 8,764 80
5-Nov-13	220	ADP	AUTOMATIC DATA PROCESSING INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 16,545 10
5-Nov-13	699	AFL	AFLAC INCORPORATED CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 45,239 28
5-Nov-13	20	AHL	ASPEN INSURANCE HOLDINGS LTD CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 778 00
5-Nov-13	140	AIG	AMERICAN INTL GROUP, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 6,815 90
5-Nov-13	30	AME	AMETEK INC (NEW) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,431 00
5-Nov-13	20	AMG	AFFILIATED MANAGERS GROUP INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 3,925 30
5-Nov-13	160	AMGN	AMGEN INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 18,537 60
5-Nov-13	200	AMTD	TD AMERITRADE HOLDING CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 5,505 00
5-Nov-13	130	AMZN	AMAZON COM INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 46,475 65
5-Nov-13	500	ANSS	ANSYS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 43,725 00
5-Nov-13	40	AOL	AOL INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,647 40
5-Nov-13	120	APC	ANADARKO PETROLEUM CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 11,241 00
5-Nov-13	341	ARG	AIRGAS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 36,896 20
5-Nov-13	20	ASH	ASHLAND INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,804 30
5-Nov-13	60	ATO	ATMOS ENERGY CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,657 40
5-Nov-13	60	ATVI	ACTIVISION BLIZZARD INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 984 60
5-Nov-13	100	AVX	AVX CORPORATION NEW COMMON STOCK TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,338 00
5-Nov-13	20	AWK	AMERICAN WATER WORKS CO, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 880 20
5-Nov-13	80	AXP	AMERICAN EXPRESS CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 6,561 20
5-Nov-13	40	AXS	AXIS CAPITAL HOLDINGS, LTD CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,899 60
5-Nov-13	2,769	BAC	BANK OF AMERICA CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 38,655 24
5-Nov-13	260	BAX	BAXTER INTERNATIONAL INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 17,056 00
5-Nov-13	120	BDN	BRANDYWINE REALTY TRUST NEW CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,699 20
5-Nov-13	20	BG	BUNGE LIMITED ORD CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,644 10
5-Nov-13	20	BGC	GENERAL CABLE CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 592 50
5-Nov-13	220	BHI	BAKER HUGHES INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 12,782 00
5-Nov-13	40	BIIB	BIOMGEN IDEC INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 9,657 20
5-Nov-13	280	BK	THE BANK OF NY MELLON CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 9,048 20
5-Nov-13	120	BKD	BROOKDALE SENIOR LIVING, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 3,232 20
5-Nov-13	280	BMJ	BRISTOL-MYERS SQUIBB COMPANY CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 14,732 20
5-Nov-13	80	BR	BROADRIDGE FINANCIAL SOLUTIONS IN CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,801 20
5-Nov-13	349	BRKB	BERKSHIRE HATHAWAY INC CLASS B TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 40,030 30
5-Nov-13	40	BRO	BROWN & BROWN INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,249 40
5-Nov-13	544	C	CITIGROUP INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 26,343 20
5-Nov-13	60	CBI	CHICAGO BRIDGE & IRON COMPANY CMN SERIES TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,527 60
5-Nov-13	619	CBOE	CBOE HOLDINGS, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 30,900 48
5-Nov-13	314	CELG	CELGENE CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 47,224 03
5-Nov-13	382	CERN	CERNER CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 21,418 74
5-Nov-13	801	CHH	CHOICE HOTELS INTL INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 36,962 15
5-Nov-13	485	CL	COLGATE-PALMOLIVE CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 31,721 43
5-Nov-13	193	CLB	CORE LABORATORIES N V CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 36,256 98
5-Nov-13	40	CLGX	COROLOGIC INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,338 80
5-Nov-13	20	CLR	CONTINENTAL RESOURCES, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,366 10
5-Nov-13	600	CMCSA	COMCAST CORPORATION CMN CLASS A VOTING TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 28,716 00
5-Nov-13	80	CMCSK	COMCAST CORPORATION CMN CLASS A NON VOTING TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 3,728 40
5-Nov-13	100	CME	CME GROUP INC CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 7,434 50
5-Nov-13	76	CNHI	CNH INDUSTRIAL NV CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 848 92

Ann and Monroe Carell Foundation
Fair Market Value of Securities Received

Monroe Carell, Jr. Testamentary Q-TIP Trust fbo Ann Scott Carell

Transaction Date	Qty	Symbol	Description	Fair Market Value
5-Nov-13	20	COO	COOPER COMPANIES INC (NEW) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,555.80
5-Nov-13	160	COP	CONCOPHILLIPS CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 11,662.40
5-Nov-13	40	CPN	CALPINE CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 794.20
5-Nov-13	160	CREE	CREE, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 9,589.60
5-Nov-13	40	CRL	CHARLES RIV LABS INTL INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,014.40
5-Nov-13	1,200	CSCO	CISCO SYSTEMS, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 27,402.00
5-Nov-13	20	CSL	CARLSLE COS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,470.80
5-Nov-13	80	CTSH	COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 7,116.40
5-Nov-13	20	CVD	COVANCE INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,745.20
5-Nov-13	260	CVS	CVS CAREMARK CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 16,516.50
5-Nov-13	60	CWH	COMMONWEALTH REIT CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,472.10
5-Nov-13	22	CXO	CONCHO RESOURCES INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,455.86
5-Nov-13	60	DEI	DOUGLAS EMMETT INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,506.00
5-Nov-13	516	DFS	DISCOVER FINANCIAL SERVICES CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 26,775.24
5-Nov-13	189	DG	DOLLAR GENERAL CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 10,924.20
5-Nov-13	420	DIS	WALT DISNEY COMPANY (THE) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 28,795.20
5-Nov-13	797	DISCA	DISCOVERY COMMUNICATIONS, INC CMN SERIES A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 69,099.90
5-Nov-13	20	DISH	DISH NETWORK CORPORATION CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 966.40
5-Nov-13	679	DKS	DICKS SPORTING GOODS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 36,567.55
5-Nov-13	100	DLPH	DELPHI AUTOMOTIVE PLC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 5,457.50
5-Nov-13	160	DOW	DOW CHEMICAL CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 6,175.20
5-Nov-13	60	DOX	AMDOCS LIMITED ORDINARY SHARES TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,297.10
5-Nov-13	80	DVN	DEVON ENERGY CORPORATION (NEW) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 5,115.60
5-Nov-13	627	EBAY	EBAY INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 31,933.11
5-Nov-13	780	F	ECOLAB INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 48,488.60
5-Nov-13	240	EMR	EMERSON ELECTRIC CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 16,008.00
5-Nov-13	280	ENDP	ENDO HEALTH SOLUTIONS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 15,625.40
5-Nov-13	20	EOG	EOG RESOURCES INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 3,628.60
5-Nov-13	944	EV	EATON VANCE CORP (NON-VTG) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 39,624.40
5-Nov-13	780	F	FORD MOTOR COMPANY CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 13,248.30
5-Nov-13	893	FAST	FASTENAL CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 44,087.41
5-Nov-13	60	FBHS	FORTUNE BRANDS HOME & SECURITY CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,540.10
5-Nov-13	80	FCEA	FOREST CITY ENTERPRISES (CL-A) CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,621.20
5-Nov-13	525	FDS	FACTSET RESEARCH SYSTEMS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 57,285.38
5-Nov-13	160	FLEX	FLEXTRONICS INTERNATIONAL LTD CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,261.60
5-Nov-13	360	FOXA	TWENTY-FIRST CENTURY FOX, INC CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 11,854.80
5-Nov-13	20	GD	GENERAL DYNAMICS CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,743.00
5-Nov-13	6,760	GE	GENERAL ELECTRIC CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 178,396.40
5-Nov-13	20	GEF	GREIF INC CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,067.90
5-Nov-13	20	GHL	GREENHILL & CO. CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 995.30
5-Nov-13	320	GILD	GILEAD SCIENCES CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 22,286.40
5-Nov-13	90	GOOG	GOOGLE, INC CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 92,208.15
5-Nov-13	160	GXP	GREAT PLAINS ENERGY INC. CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 3,766.40
5-Nov-13	1,299	H	HYATT HOTELS CORPORATION CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 63,625.02
5-Nov-13	160	HAL	HALLIBURTON COMPANY CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 8,529.60
5-Nov-13	20	HBI	HAINES BRANDS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,350.10
5-Nov-13	20	HCA	HCA HOLDINGS, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 936.00
5-Nov-13	20	HCC	HCC INSURANCE HOLDINGS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 904.70
5-Nov-13	400	HD	THE HOME DEPOT, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 30,574.00
5-Nov-13	410	HFC	HOLLYFRONTIER CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 19,040.40
5-Nov-13	160	HMA	HEALTH MANAGEMENT ASSOC CL A CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,027.20

Ann and Monroe Carell Foundation
Fair Market Value of Securities Received

Monroe Carell, Jr. Testamentary Q-TIP Trust fbo Ann Scott Carell

Transaction Date	Qty	Symbol	Description	Fair Market Value
5-Nov-13	60	HOLX	HOLOGIC INCORPORATED CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,335 60
5-Nov-13	240	HON	HONEYWELL INTL INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 20,653 20
5-Nov-13	20	HSB	HILLSHIRE BRANDS CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 647 60
5-Nov-13	80	HTZ	HERTZ GLOBAL HOLDINGS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,721 20
5-Nov-13	60	HUN	HUNTSMAN CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,377 90
5-Nov-13	390	IDXX	IDEXX LABORATORIES CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 42,162 90
5-Nov-13	454	ILMN	ILLUMINA INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 43,520 44
5-Nov-13	200	IM	INGRAM MICRO INC CLASS A COMMON STOCK TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,640 00
5-Nov-13	40	INGR	INGREDION INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,700 00
5-Nov-13	3,500	INTC	INTEL CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 83,790 00
5-Nov-13	657	ITC	ITC HOLDINGS CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 68,804 33
5-Nov-13	30	ITW	ILLINOIS TOOL WORKS CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 6,313 60
5-Nov-13	30	JAH	JARDEN CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,661 40
5-Nov-13	1,640	JNJ	JOHNSON & JOHNSON CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 151,888 60
5-Nov-13	100	JNS	JANUS CAPITAL GROUP INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,011 50
5-Nov-13	460	JPM	JPMORGAN CHASE & CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 23,871 70
5-Nov-13	17,500	KBE	SPDR S&P BANK ETF TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 545,300 00
5-Nov-13	120	KMB	KIMBERLY CLARK CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 13,025 40
5-Nov-13	20	KMPR	KEMPER CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 768 40
5-Nov-13	873	KMX	CARMAX, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 42,506 37
5-Nov-13	280	KR	KROGER COMPANY CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 11,893 00
5-Nov-13	140	KRFT	KRAFT FOODS GROUP, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 7,602 00
5-Nov-13	100	KSS	KOHL'S CORP (WISCONSIN) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 5,797 00
5-Nov-13	20	KSU	KANSAS CITY SOUTHERN CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,481 90
5-Nov-13	20	LBTYA	LIBERTY GLOBAL, PLC CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,529 30
5-Nov-13	40	LBTYK	LIBERTY GLOBAL, PLC CMN CLASS C TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,909 20
5-Nov-13	100	LEA	LEAR CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 7,820 00
5-Nov-13	20	LII	LENNOX INTERNATIONAL INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,574 60
5-Nov-13	60	LINTA	LIBERTY INTERACTIVE CORP INTERACTIVE TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,647 90
5-Nov-13	240	LLY	ELI LILLY & CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 12,108 00
5-Nov-13	171	LMT	LOCKHEED MARTIN CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 23,205 56
5-Nov-13	80	LNT	ALLIANT ENERGY CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,192 80
5-Nov-13	2,100	LO	LORILLARD, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 108,297 00
5-Nov-13	48	LUK	LEUCADIA NATIONAL CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,395 36
5-Nov-13	315	LVS	LAS VEGAS SANDS CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 21,933 45
5-Nov-13	60	LXK	LEXMARK INTERNATIONAL INC CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,161 80
5-Nov-13	20	MA	MASTERCARD INCORPORATED CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 14,614 70
5-Nov-13	40	MAN	MANPOWER GROUP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 3,212 60
5-Nov-13	40	MCD	MC DONALDS CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 3,895 40
5-Nov-13	420	MDIZ	MONDELEZ INTERNATIONAL, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 14,049 00
5-Nov-13	300	MDT	MEDTRONIC INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 17,098 50
5-Nov-13	380	MET	METLIFE, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 18,230 50
5-Nov-13	20	MHK	MOHAWK INDUSTRIES INC COMMON STOCK TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,731 70
5-Nov-13	40	MLM	MARTIN MARIETTA MATERIALS, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,052 60
5-Nov-13	160	MMM	3M COMPANY CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 20,105 60
5-Nov-13	309	MON	MONSANTO COMPANY CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 31,924 34
5-Nov-13	355	MORN	MORNINGSTAR, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 27,952 70
5-Nov-13	303	MPC	MARATHON PETROLEUM CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 21,500 88
5-Nov-13	340	MS	MORGAN STANLEY CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 9,882 10
5-Nov-13	226	MSCI	MSCI INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 9,372 22
5-Nov-13	1,598	MSFT	MICROSOFT CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 57,911 52

Ann and Monroe Carell Foundation
Fair Market Value of Securities Received

Monroe Carell, Jr. Testamentary Q-TIP Trust fbo Ann Scott Carell

Transaction Date	Qty	Symbol	Description	Fair Market Value
5-Nov-13	167	MTD	METTLER-TOLEDO INTL CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 41,271.55
5-Nov-13	140	MTW	MANITOWOC CO INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,762.90
5-Nov-13	17	NEU	NEWMARKET CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 5,308.42
5-Nov-13	953	NLSN	NIELSEN HOLDINGS B V CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 37,757.86
5-Nov-13	20	NSR	NEUSTAR INC CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 930.90
5-Nov-13	260	NVE	NV ENERGY INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 6,172.40
5-Nov-13	90	NWSA	NEWS CORPORATION CMN SERIES CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,588.73
5-Nov-13	20	OC	OWENS CORNING CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 713.50
5-Nov-13	60	OCR	OMNISCARE INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 3,313.20
5-Nov-13	80	OFC	CORPORATE OFFICE PROPERTIES TRUST (MD) TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,940.40
5-Nov-13	60	OIS	OIL STS INTL INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,342.40
5-Nov-13	40	OSK	OSHKOSH CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,912.10
5-Nov-13	40	PCLN	PRICELINE COM INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 42,907.80
5-Nov-13	179	PCLN	PRECISION CASTPARTS CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 45,146.49
5-Nov-13	319	PEP	PEPSICO INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 26,914.03
5-Nov-13	5,775	PFE	PFIZER INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 180,064.50
5-Nov-13	1,680	PG	PROCTER & GAMBLE COMPANY (THE) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 136,575.60
5-Nov-13	20	PII	POLARIS INDS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,641.20
5-Nov-13	20	PKG	PACKAGING CORP OF AMERICA COMMON STOCK TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,245.70
5-Nov-13	100	PNC	PNC FINANCIAL SERVICES GROUP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 7,347.00
5-Nov-13	20	PRE	PARTNERRE LTD BERMUDA CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,999.00
5-Nov-13	152	PRGO	PERRIGO COMPANY CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 21,726.12
5-Nov-13	160	PSX	PHILLIPS 66 CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 10,274.40
5-Nov-13	927	PTEN	PATTERSON-UTI ENERGY, INC. ORD CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 22,720.77
5-Nov-13	40	PVH	PVH CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 5,009.60
5-Nov-13	360	QCOM	QUALCOMM INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 24,913.80
5-Nov-13	60	QGEN	QUAGEN N V COMMON SHARES TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,377.60
5-Nov-13	100	RCL	ROYAL CARIBBEAN CRUISES LTD ISIN LR0008862868 TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,272.00
5-Nov-13	40	REG	REGENCY CTRS CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,039.00
5-Nov-13	1,263	RES	RPC INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 23,226.57
5-Nov-13	20	RKT	ROCK-TENN CO CL A CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,044.00
5-Nov-13	20	RNR	RENAISSANCE RE HOLDINGS LTD CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,873.00
5-Nov-13	40	ROVI	ROVI CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 655.20
5-Nov-13	20	RPM	RPM INTERNATIONAL INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 770.10
5-Nov-13	40	RS	RELIANCE STEEL & ALUMINUM CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,963.20
5-Nov-13	20	SATS	ECHOSTAR CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 952.10
5-Nov-13	494	SBAC	SBA COMMUNICATIONS CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 44,497.05
5-Nov-13	1,673	SCHW	CHARLES SCHWAB CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 38,755.05
5-Nov-13	20	SFG	STANCORP FINANCIAL CORP, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,206.70
5-Nov-13	20	SIG	SIGNET JEWELERS LIMITED CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,524.20
5-Nov-13	60	SIRO	SIRONA DENTAL SYSTEMS, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,336.50
5-Nov-13	40	SLAB	SILICON LABORATORIES INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,596.60
5-Nov-13	253	SLB	SCHLUMBERGER LTD CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 23,398.71
5-Nov-13	20	SLH	SOLERA HOLDINGS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,147.80
5-Nov-13	40	SPN	SUPERIOR ENERGY SERVICES INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,119.00
5-Nov-13	20	SPW	SPX CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,860.10
5-Nov-13	100	STR	QUESTAR CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,376.00
5-Nov-13	100	STT	STATE STREET CORPORATION (NEW) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 7,070.50
5-Nov-13	386	TCEHY	TENCENT HOLDINGS LIMITED UNSPONSORED ADR CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 20,500.46
5-Nov-13	120	TEX	TEREX CORP (NEW) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,317.00
5-Nov-13	20	THG	HANOVER INSURANCE GROUP INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,185.50

Ann and Monroe Carell Foundation
Fair Market Value of Securities Received

Monroe Carell, Jr. Testamentary Q-TIP Trust fbo Ann Scott Carell

Transaction Date	Qty	Symbol	Description	Fair Market Value
5-Nov-13	218	TIX	TIX COMPANIES INC (NEW) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 13,281.65
5-Nov-13	100	TMO	THERMO FISHER SCIENTIFIC INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 9,729.00
5-Nov-13	20	TRN	TRINITY INDUSTRIES INC (DEL) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,059.70
5-Nov-13	100	TROW	PRICE T ROWE GROUP INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 7,700.00
5-Nov-13	180	TRV	THE TRAVELERS COMPANIES INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 15,576.30
5-Nov-13	20	TRW	TRW AUTOMOTIVE HOLDINGS CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,491.90
5-Nov-13	300	TWX	TIME WARNER INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 20,413.50
5-Nov-13	500	TXN	TEXAS INSTRUMENTS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 20,885.00
5-Nov-13	40	UGI	UGI CORPORATION (HOLDING CO) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,651.20
5-Nov-13	40	UHS	UNIVERSAL HEALTH SVC CL B CMN CLASS B TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,851.30
5-Nov-13	192	UNP	UNION PACIFIC CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 29,428.80
5-Nov-13	180	UPS	UNITED PARCEL SERVICE, INC CLASS B COMMON STOCK TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 17,971.20
5-Nov-13	20	URI	UNITED RENTALS, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,321.80
5-Nov-13	400	USB	U S BANCORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 15,038.00
5-Nov-13	20	UTHR	UNITED THERAPEUTICS CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,785.20
5-Nov-13	80	UTX	UNITED TECHNOLOGIES CORP CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 8,598.80
5-Nov-13	197	V	VISA INC CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 38,707.55
5-Nov-13	20	VC	VISTEON CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,537.10
5-Nov-13	160	VIAB	VIACOM INC CMN CLASS B TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 13,319.20
5-Nov-13	20	VR	VALIDUS HOLDINGS, LTD CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 790.10
5-Nov-13	919	VRSK	VERISK ANALYTICS INC CMN CLASS A TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 62,381.72
5-Nov-13	60	VRTX	VERTEX PHARMACEUTICALS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 3,953.40
5-Nov-13	600	VZ	VERIZON COMMUNICATIONS INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 30,285.00
5-Nov-13	260	WAG	WALGREEN CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 15,614.30
5-Nov-13	40	WCC	WESCO INTERNATIONAL INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 3,388.60
5-Nov-13	407	WDC	WESTERN DIGITAL CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 28,901.07
5-Nov-13	406	WDR	WADDELL & REED FIN, INC CLASS A COMMON TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 25,644.99
5-Nov-13	935	WFC	WELLS FARGO & CO (NEW) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 39,887.10
5-Nov-13	40	WLK	WESTLAKE CHEMICAL CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,294.00
5-Nov-13	40	WLL	WHITING PETROLEUM CORPORATION CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,680.80
5-Nov-13	320	WMT	WAL MART STORES INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 24,692.80
5-Nov-13	60	WRI	WEINGARTEN REALTY INV'S (SBI) CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 1,884.90
5-Nov-13	80	WSM	WILLIAMS-SONOMA, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 4,271.60
5-Nov-13	160	WYNN	WYNN RESORTS, LIMITED CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 26,473.60
5-Nov-13	60	XEC	CIMAREX ENERGY CO CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 6,308.70
5-Nov-13	31	YUM	YUM BRANDS, INC CMN TRFR FROM ACCT MONROE CARELL JR TEST QTIP TR	\$ 2,162.87

Total FMV of Securities Transferred from the Monroe Carell, Jr. Testamentary Q-TIP Trust fbo Ann Scott Carell

\$ 4,579,680.29

FORM 990-PF

GAIN OR (LOSS) FROM SALE OF ASSETS

STATEMENT

4

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
GOLDMAN SACHS #52431-1 (SEE ATTACHMENT 1)	2,409,811.	2,530,489.	0.	0.	-120,678.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
GOLDMAN SACHS #52431-1 (SEE ATTACHMENT 1)	6,230,335.	5,272,830.	0.	0.	957,505.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
GOLDMAN SACHS #52433-7 (SEE ATTACHMENT 2)	2,389,723.	2,178,439.	0.	0.	211,284.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
GOLDMAN SACHS #52433-7 (SEE ATTACHMENT 2)				PURCHASED	VARIOUS	VARIOUS
	1,603,905.	1,136,845.	0.	0.	467,060.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
GOLDMAN SACHS #52434-5 (SEE ATTACHMENT 3)				PURCHASED	VARIOUS	VARIOUS
	1,268,464.	1,137,484.	0.	0.	130,980.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
GOLDMAN SACHS #52434-5 (SEE ATTACHMENT 3)				PURCHASED	VARIOUS	VARIOUS
	1,986,890.	1,325,192.	0.	0.	661,698.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) MANNER ACQUIRED DEPREC.	(F) DATE ACQUIRED GAIN OR LOSS	DATE SOLD
350.00 SHS INTEL CORPORATION CMN				PURCHASED	11/27/13	12/05/13
	8,386.	8,298.	0.	0.	88.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
GOLDMAN SACHS #71783-2 (SEE ATTACHMENT 4)	PURCHASED	11/27/13	12/05/13		
	28,799.	29,138.	0.	0.	-339.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
188.00 SHS CENOVUS ENERGY INC	PURCHASED	11/27/13	12/26/13		
	5,269.	5,428.	0.	0.	-159.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
6.00 SHS KEYENCE CORPORATION	PURCHASED	11/27/13	12/26/13		
	2,508.	2,397.	0.	0.	111.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD			
GOLDMAN SACHS #52432-9 (SEE ATTACHMENT 5)	PURCHASED	VARIOUS	VARIOUS		
	4,563,593.	4,579,680.	0.	0.	-16,087.

CAPITAL GAINS DIVIDENDS FROM PART IV	48,447.
TOTAL TO FORM 990-PF, PART I, LINE 6A	2,339,910.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 5

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS #52431-1	265,235.	48,036.	217,199.	217,199.	
GOLDMAN SACHS #52432-9	4,424.	0.	4,424.	4,424.	
GOLDMAN SACHS #52433-7	37,491.	411.	37,080.	37,080.	
GOLDMAN SACHS #52434-5	17,244.	0.	17,244.	17,244.	
GOLDMAN SACHS #52484-0	267.	0.	267.	267.	
GOLDMAN SACHS #71782-4	620.	0.	620.	620.	
GOLDMAN SACHS #71783-2	618.	0.	618.	618.	
GOLDMAN SACHS #71784-0	268.	0.	268.	268.	
GOLDMAN SACHS #71792-3	13.	0.	13.	13.	
GOLDMAN SACHS #71859-0	13.	0.	13.	13.	
MONROE CARELL, JR. TESTAMENTARY Q-TIP TRUST K-1	281,219.	0.	281,219.	62,027.	
TO PART I, LINE 4	607,412.	48,447.	558,965.	339,773.	

FORM 990-PF OTHER INCOME STATEMENT 6

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NET RENTAL REAL ESTATE INC - MCJ QTIP	3,385.	3,385.	
ORDINARY INCOME - MCJ QTIP	12,980.	12,980.	
TOTAL TO FORM 990-PF, PART I, LINE 11	16,365.	16,365.	

FORM 990-PF	LEGAL FEES		STATEMENT		7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	1,379.	0.		1,379.	
TO FM 990-PF, PG 1, LN 16A	1,379.	0.		1,379.	

FORM 990-PF	ACCOUNTING FEES		STATEMENT		8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEE	5,250.	0.		5,250.	
TO FORM 990-PF, PG 1, LN 16B	5,250.	0.		5,250.	

FORM 990-PF	OTHER PROFESSIONAL FEES		STATEMENT		9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT MANAGEMENT FEES	72,406.	72,406.		0.	
TO FORM 990-PF, PG 1, LN 16C	72,406.	72,406.		0.	

FORM 990-PF	TAXES		STATEMENT		10
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES GOLDMAN SACHS	3,578.	3,578.		0.	
TN SEC OF STATE ANNUAL REPORT	40.	40.		0.	
TAXES	15,306.	0.		0.	
FOREIGN TAXES - MCJ QTIP K-1	12,753.	12,753.		0.	

ESTATE TAX DEDUCTION - MCJ
QTIP K-1

354.

354.

0.

TO FORM 990-PF, PG 1, LN 18

32,031.

16,725.

0.

FORM 990-PF

CORPORATE STOCK

STATEMENT 11

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS #52431-1	6,104,283.	6,992,777.
GOLDMAN SACHS #52433-7	0.	1,620.
GOLDMAN SACHS #52434-5	0.	408.
GOLDMAN SACHS #71859-0	967,309.	991,929.
GOLDMAN SACHS #71792-3	979,746.	1,001,832.
GOLDMAN SACHS #71784-0	476,055.	486,425.
GOLDMAN SACHS #71783-2	490,890.	512,540.
GOLDMAN SACHS #71782-4	453,997.	470,447.
TOTAL TO FORM 990-PF, PART II, LINE 10B	9,472,280.	10,457,978.

FORM 990-PF

CORPORATE BONDS

STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS #52431-1	5,697,555.	5,642,038.
TOTAL TO FORM 990-PF, PART II, LINE 10C	5,697,555.	5,642,038.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GOLDMAN SACHS #52431-1	COST	1,632,845.	1,664,058.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,632,845.	1,664,058.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 14

NAME OF CONTRIBUTORADDRESSMONROE CARELL, JR. TESTAMENTARY
Q-TIP TR FBO ANN SCOTT CARELL95 WHITE BRIDGE ROAD, STE 514
NASHVILLE, TN 37205