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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation EA Morris Charitable Foundation		A Employer identification number 58-1413060	
Number and street (or P O box number if mail is not delivered to street address) 3802 Swarthmore Road		B Telephone number (see instructions) (919) 403-9498	
City or town, state or province, country, and ZIP or foreign postal code Durham, NC 27707		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 12,798,140	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	314,553	314,553		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	897,715			
	b Gross sales price for all assets on line 6a 7,240,197				
	7 Capital gain net income (from Part IV, line 2) . . .		897,715		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	4,179	4,179		
	12 Total. Add lines 1 through 11	1,216,447	1,216,447		
	13 Compensation of officers, directors, trustees, etc	177,167	8,859		8,859
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	28,530	0		0
	16a Legal fees (attach schedule).				
	b Accounting fees (attach schedule).				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	5,125	5,116		0
	19 Depreciation (attach schedule) and depletion . . .	191	0		
	20 Occupancy	3,240	0		0
	21 Travel, conferences, and meetings	644	0		0
	22 Printing and publications				
	23 Other expenses (attach schedule).	99,134	96,526		0
	24 Total operating and administrative expenses. Add lines 13 through 23	314,031	110,501		8,859
	25 Contributions, gifts, grants paid	505,000			505,000
	26 Total expenses and disbursements. Add lines 24 and 25	819,031	110,501		513,859
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	397,416			
	b Net investment income (if negative, enter -0-)		1,105,946		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	19,750	70,678	70,678
	2	Savings and temporary cash investments	628,346	1,254,441	1,254,441
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	1,432,676	 1,389,677	1,329,586
	b	Investments—corporate stock (attach schedule)	8,755,449	 8,519,385	9,379,993
	c	Investments—corporate bonds (attach schedule).	687,728	 699,201	699,942
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	60,752	 60,752	62,928
	14	Land, buildings, and equipment basis ▶ _____ 958 Less accumulated depreciation (attach schedule) ▶ 386	763	 572	572
15	Other assets (describe ▶ _____)	 11,826	 0	 0	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	11,597,290	11,994,706	12,798,140	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	4,474,067	4,474,067	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	7,123,223	7,520,639	
	30	Total net assets or fund balances (see page 17 of the instructions)	11,597,290	11,994,706	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	11,597,290	11,994,706	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	11,597,290
2	Enter amount from Part I, line 27a	2	397,416
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	11,994,706
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,994,706

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	897,715
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	338,326	12,013,769	0 028162
2011	746,917	12,438,687	0 060048
2010	728,091	11,958,059	0 060887
2009	776,334	10,779,943	0 072017
2008	756,000	15,639,203	0 048340

2	Total of line 1, column (d).	2	0 269454
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 053891
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	12,420,068
5	Multiply line 4 by line 3.	5	669,330
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	11,059
7	Add lines 5 and 6.	7	680,389
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	513,859

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	22,119
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	22,119
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	22,119
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	17,160	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	5,266	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	22,426
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	307
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 195 Refunded		11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		No
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NC			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of K B MORGAN Telephone no (336) 271-2870 Located at 405 BATTLEGROUND AVE SUITE 101 GREENSBORO NC ZIP +4 27401			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?			
	If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	12,000,705
b	Average of monthly cash balances.	1b	608,501
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	12,609,206
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	12,609,206
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	189,138
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	12,420,068
6	Minimum investment return. Enter 5% of line 5.	6	621,003

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	621,003
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	22,119
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	22,119
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	598,884
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	598,884
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	598,884

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	513,859
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	513,859
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	513,859
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				598,884
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.	15,010			
c From 2010.	145,620			
d From 2011.	128,789			
e From 2012.				
f Total of lines 3a through e.	289,419			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 513,859				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				513,859
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	85,025			85,025
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	204,394			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	204,394			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .	75,605			
c Excess from 2011. . . .	128,789			
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

MR JOHN S THOMAS
3802 SWARTHMORE ROAD
DURHAM, NC 27707
(919) 403-9498

b

The form in which applications should be submitted and information and materials they should include

APPLICATIONS SHOULD BE SUBMITTED IN LETTER FORM

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	505,000
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
360 252 SHS PAUIX - PIMCO ALL ASSET/AUTH I		2012-01-27	2013-04-29
142 SHS SNY - SANOFI ADR		2013-02-11	2013-12-02
41 SHS SNY - SANOFI ADR		2013-02-15	2013-12-02
63 SHS SNY - SANOFI ADR		2013-03-12	2013-12-02
41 SHS SNY - SANOFI ADR		2013-06-12	2013-12-02
21 SHS SNY - SANOFI ADR		2013-10-31	2013-12-02
294 SHS SONVY - SONOVA HOLDING-UNSP ADR		2013-06-18	2013-12-02
123 SHS SQM - SOCIEDAD QUIMICA ADR B		2012-01-31	2013-05-02
370 SHS SUBCY - SUBSEA 7 INC		2012-01-31	2013-07-12
271 SHS SVCBY - SVENSKA CELLULOSA AB F		2012-10-15	2013-12-02
1278 SHS TKGBY - TURKIYE GARA BANKASI-ADR		2013-04-12	2013-12-02
60 SHS TM - TOYOTA MTR CORP ADR F		2012-02-08	2013-12-02
36 SHS TM - TOYOTA MTR CORP ADR F		2012-02-24	2013-12-02
21 SHS TM - TOYOTA MTR CORP ADR F		2013-04-29	2013-12-02
25 SHS TM - TOYOTA MTR CORP ADR F		2013-06-17	2013-12-02
236 SHS TOSYY - TOSHIBA CORP-UNSPON ADR		2013-07-17	2013-12-02
240 SHS TSCDY - TESCO PLC		2012-01-31	2013-03-14
651 SHS TUWOY - TULLOW OIL PLC ADR		2012-01-31	2013-12-02
172 SHS UBS - UBS AG-REG		2013-08-06	2013-12-02
85 SHS UBS - UBS AG-REG		2013-10-22	2013-12-02
523 SHS UNICY - UNICHARM CORP SPONS ADR		2013-04-30	2013-12-02
49 SHS UN - UNILEVER N V F		2011-01-28	2013-12-02
200 SHS UN - UNILEVER N V F		2011-03-10	2013-12-02
90 SHS UN - UNILEVER N V F		2011-04-28	2013-12-02
64 SHS UOVEY - UTD OVERSEAS BK SPON ADR		2012-01-31	2013-04-18
104 SHS UOVEY - UTD OVERSEAS BK SPON ADR		2012-01-31	2013-12-02
92 SHS UOVEY - UTD OVERSEAS BK SPON ADR		2012-04-18	2013-12-02
138 SHS VLKPY - VOLKSWAGEN AG-SPONS ADR		2013-01-24	2013-12-02
97 SHS VLKPY - VOLKSWAGEN AG-SPONS ADR		2013-06-18	2013-12-02
306 SHS VODAFONE GROUP SPONS ADR		2012-01-31	2013-02-15

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152 SHS WSH - WILLIS GROUP HOLDINGS		2012-01-31	2013-06-03
218 SHS WSH - WILLIS GROUP HOLDINGS		2012-01-31	2013-06-07
36 SHS ACN - ACCENTURE PLC IRELAND		2012-01-31	2013-06-27
61 SHS ACN - ACCENTURE PLC IRELAND		2012-01-31	2013-12-02
46 SHS AGU - AGRIMUM INC		2012-09-14	2013-12-02
517 SHS AKZOY - AKZO NOBEL N V		2012-01-31	2013-12-02
80 SHS ALV - AUTOLIV INC		2012-07-31	2013-06-24
131 SHS AON - AON PLC		2013-06-11	2013-12-02
53 SHS ARKAY - ARKEMA-SPONSORED ADR		2012-05-03	2013-12-02
34 SHS ARKAY - ARKEMA-SPONSORED ADR		2012-06-18	2013-12-02
22 SHS ARKAY - ARKEMA-SPONSORED ADR		2012-06-22	2013-12-02
48 SHS ASML - ASML HOLDING NV		2013-03-14	2013-12-02
25 SHS ASML - ASML HOLDING NV		2013-03-21	2013-12-02
28 SHS ASML - ASML HOLDING NV		2013-04-01	2013-12-02
62 SHS BASFY - BASF SE SPONSORED ADR		2012-01-31	2013-12-02
24 SHS BASFY - BASF SE SPONSORED ADR		2012-03-12	2013-12-02
3 SHS BAYRY - BAYER AG SPONSORED ADR		2013-06-03	2013-12-02
45 SHS BAYRY - BAYER AG SPONSORED ADR		2013-06-04	2013-12-02
13 SHS BAYRY - BAYER AG SPONSORED ADR		2013-06-12	2013-12-02
111 SHS BBL - BHP BILLITON PLC-ADR		2012-01-31	2013-06-19
18 SHS BBL - BHP BILLITON PLC-ADR		2012-07-19	2013-06-19
42 SHS BIDU - BAIDU INC ADR		2012-10-17	2013-12-02
407 SHS BMBLY - BRAMBLES LTD-UNSPON ADR		2012-05-01	2013-12-02
109 SHS BMBLY - BRAMBLES LTD-UNSPON ADR		2013-06-19	2013-12-02
86 SHS BNS - BANK NOVA SCOTIA HALIFAX		2012-08-01	2013-12-02
31 SHS BNS - BANK NOVA SCOTIA HALIFAX		2012-10-17	2013-12-02
365 SHS BRGY - BG GROUP PLC F		2012-01-31	2013-07-05
124 SHS BUD - ANHEUSER BUSCH INBEV ADR		2012-01-31	2013-02-06
720 SHS BZLFY - BUNZL PLC		2012-01-31	2013-12-02
94 SHS CAJ - CANON INC ADR F		2009-04-27	2013-12-02

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80 SHS CAJ - CANON INC ADR F		2009-08-06	2013-12-02
30 SHS CEO - CNOOC LTD-ADR		2012-06-18	2013-12-02
690 SHS CFRUY - COMPAGNIE FINANCIERE		2013-08-14	2013-12-02
122 SHS CHKP - CHECK POINT SOFTWARE F		2012-08-21	2013-12-02
110 SHS CHKP - CHECK POINT SOFTWARE F		2012-10-17	2013-12-02
32 SHS CHKP - CHECK POINT SOFTWARE F		2013-05-14	2013-12-02
152 SHS CHL - CHINA MOBILE LTD SPN ADR		2012-01-31	2013-12-02
111 SHS CHL - CHINA MOBILE LTD SPN ADR		2013-03-19	2013-12-02
0 2 SHS CIOXY - CIELO SA		2013-05-09	2013-05-09
223 SHS CIOXY - CIELO SA SPONSORED ADR		2012-12-11	2013-12-02
311 SHS CJREF - CORUS ENTMT INC B		2012-01-31	2013-12-02
142 SHS CMXHY - CSL LTD- UNSPON ADR		2012-01-31	2013-03-15
44 SHS CMXHY - CSL LTD- UNSPON ADR		2012-01-31	2013-05-09
173 SHS CMXHY - CSL LTD- UNSPON ADR		2012-01-31	2013-06-19
517 SHS CRNCY - CAIRN ENRGY PLC UNSP ADR		2012-08-01	2013-03-27
0 902 SHS CS - CREDIT SUISSE GROUP		2013-05-20	2013-05-20
163 SHS CS - CREDIT SUISSE GROUP F		2012-01-31	2013-08-06
51 SHS CTSH - COGNIZANT TECHNOLOGY		2012-05-07	2013-05-13
43 SHS CTSH - COGNIZANT TECHNOLOGY		2012-10-18	2013-05-13
180 SHS CVE - CENOVUS ENERGY INC		2012-01-31	2013-12-02
936 SHS DBOEY - DEUTSCHE BOERSE AG-UNSP O		2012-02-17	2013-12-02
288 SHS DBOEY - DEUTSCHE BOERSE AG-UNSP O		2012-04-30	2013-12-02
172 SHS DHTMY - DAIHATSU MOTOR CO LTD		2013-04-30	2013-12-02
81 SHS DTEGY - DEUTSCHE TELEKOM AG		2005-09-14	2013-02-19
280 SHS DTEGY - DEUTSCHE TELEKOM AG		2005-12-21	2013-02-19
300 SHS DTEGY - DEUTSCHE TELEKOM AG		2007-03-14	2013-02-19
126 SHS EC - ECOPETROL SA-SPON ADR		2012-06-22	2013-03-19
90 SHS ENB - ENBRIDGE INC		2013-03-15	2013-12-02
700 SHS ERIC - ERICSSON (LM) TEL-SP ADR		2008-02-11	2013-12-02
275 SHS ERIC - ERICSSON (LM) TEL-SP ADR		2012-05-03	2013-12-02

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279 SHS EUTLY - EUTELSAT COMMUN UN ADR		2013-08-21	2013-12-02
885 SHS EUTLY - EUTELSAT COMMUN UN ADR		2013-08-22	2013-12-02
65 SHS EXPGY - EXPERIAN PLC		2012-01-31	2013-03-20
107 SHS EXPGY - EXPERIAN PLC		2012-01-31	2013-03-21
487 SHS EXPGY - EXPERIAN PLC		2012-01-31	2013-12-02
217 SHS FANUY - FANUC CORP UNSPON ADR		2012-02-14	2013-12-02
84 SHS FANUY - FANUC CORP UNSPON ADR		2012-04-30	2013-12-02
81 SHS FANUY - FANUC CORP UNSPON ADR		2013-02-19	2013-12-02
103 SHS FANUY - FANUC CORP UNSPON ADR		2013-05-02	2013-12-02
66 SHS FANUY - FANUC CORP UNSPON ADR		2013-05-03	2013-12-02
94 SHS FMS - ADR FRESENIUS MED CRE AG		2012-01-31	2013-02-07
98 SHS FMS - ADR FRESENIUS MED CRE AG		2012-01-31	2013-06-13
130 SHS GBOOY - GRUPO FIN BANORTE ADR		2013-03-15	2013-12-02
42 SHS GBOOY - GRUPO FIN BANORTE ADR		2013-04-02	2013-12-02
626 SHS GVDNY - GIVAUDAN-UNSPON-ADR		2012-01-31	2013-12-02
72 SHS HENYOY - HENKEL AG & CO KGAA		2013-07-16	2013-12-02
70 SHS HENYOY - HENKEL AG & CO KGAA		2013-09-19	2013-12-02
385 SHS IAPLY - ICAP PLC SPONSORED ADR		2012-03-06	2013-06-25
115 SHS IAPLY - ICAP PLC SPONSORED ADR		2012-03-06	2013-12-02
143 SHS IAPLY - ICAP PLC SPONSORED ADR		2012-04-04	2013-12-02
104 SHS IAPLY - ICAP PLC SPONSORED ADR		2012-07-17	2013-12-02
153 SHS IBN - ICICI BANK LTD SPON		2013-03-08	2013-12-02
163 SHS IDCBY - IND & COMM BK OF UNS ADR		2012-12-10	2013-10-23
309 SHS IDCBY - IND & COMM BK OF UNS ADR		2012-12-10	2013-12-02
220 SHS IDCBY - IND & COMM BK OF UNS ADR		2012-12-20	2013-12-02
58 SHS INFORMA PLC UNSP ADR		2012-01-31	2013-03-20
127 SHS INFORMA PLC UNSP ADR		2012-01-31	2013-03-21
332 SHS INFORMA PLC UNSP ADR		2012-01-31	2013-04-29
113 SHS INFORMA PLC UNSP ADR		2012-01-31	2013-04-30
369 SHS JBAXY - JULIUS BAER GRP LTD ADR		2013-08-08	2013-12-02

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194 SHS JBAXY - JULIUS BAER GRP LTD ADR		2013-10-29	2013-12-02
105 SHS JMHLY - JARDINE MATHSN HD UNADR		2013-01-25	2013-12-02
25 SHS JMHLY - JARDINE MATHSN HD UNADR		2013-03-08	2013-12-02
24 SHS JMHLY - JARDINE MATHSN HD UNADR		2013-03-11	2013-12-02
20 SHS JMHLY - JARDINE MATHSN HD UNADR		2013-04-08	2013-12-02
28 SHS JMHLY - JARDINE MATHSN HD UNADR		2013-04-09	2013-12-02
1466 667 SHS JUPITER TELECOM-UNSP ADR		2012-01-31	2013-04-03
193 333 SHS JUPITER TELECOM-UNSP ADR		2012-08-20	2013-04-03
570 SHS KONINKLIJKE AHOLD ADR		2009-12-01	2013-12-02
323 SHS KONINKLIJKE AHOLD ADR		2012-01-31	2013-12-02
1906 SHS LFUGY - LI & FUNG LTD-UNSPON ADR		2012-12-14	2013-02-06
749 SHS LNEG Y - LINDE AG SPON ADR LVL 1		2012-01-31	2013-12-02
154 SHS LNEG Y - LINDE AG SPON ADR LVL 1		2013-04-05	2013-12-02
111 SHS LRLCY - L OREAL CO ADR		2012-01-31	2013-10-11
182 SHS LRLCY - L OREAL CO ADR		2012-01-31	2013-12-02
80 SHS LRLCY - L OREAL CO ADR		2012-11-12	2013-12-02
176 SHS MR - MINDRAY MEDICAL INTL LTD		2013-03-19	2013-11-20
900 SHS NGD - NEW GOLD INC		2012-01-31	2013-12-02
124 SHS NGD - NEW GOLD INC		2012-09-21	2013-12-02
51 SHS NKRKY - NOKIAN TYRES OYJ UN ADR		2013-04-04	2013-12-02
246 SHS NKRKY - NOKIAN TYRES OYJ UN ADR		2013-04-05	2013-12-02
147 SHS NLSN - NIELSEN HOLDINGS N V		2013-09-17	2013-12-02
35 SHS NLSN - NIELSEN HOLDINGS N V		2013-11-14	2013-12-02
24 SHS NLSN - NIELSEN HOLDINGS N V		2013-11-22	2013-12-02
1005 SHS NRBAY - NORDEA BANK AB SPON ADR		2012-01-31	2013-12-02
122 SHS NRBAY - NORDEA BANK AB SPON ADR		2013-06-21	2013-12-02
157 SHS NSRGY - NESTLE S A REG ADR		2012-01-31	2013-09-19
49 SHS NVO - NOVO NORDISK A S ADR		2012-01-31	2013-03-21
161 SHS NVS - NOVARTIS AG		2012-01-31	2013-12-02
26 SHS NVS - NOVARTIS AG		2013-06-17	2013-12-02

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404 SHS PDRDY - PERNOD-RICARD SA-UNSPON		2012-06-19	2013-12-02
16 SHS POFCY - PETROFAC LTD UNSP ADR		2012-01-31	2013-07-12
500 SHS POFCY - PETROFAC LTD UNSP ADR		2012-01-31	2013-07-15
213 SHS POFCY - PETROFAC LTD UNSP ADR		2012-09-24	2013-07-15
327 SHS POFCY - PETROFAC LTD UNSP ADR		2013-03-15	2013-07-15
1209 SHS PTNR - PARTNER COMMUNICATIONS		2012-08-21	2013-12-02
126 SHS PUBGY - PUBLICIS GROUP - S A F		2013-04-05	2013-07-30
256 SHS PUBGY - PUBLICIS GROUP - S A F		2013-04-05	2013-12-02
20 SHS PUBGY - PUBLICIS GROUP - S A F		2013-05-30	2013-12-02
161 SHS PUBGY - PUBLICIS GROUP - S A F		2013-05-31	2013-12-02
379 SHS RHHBY - ROCHE HOLDINGS LTD ADR		2012-01-31	2013-12-02
114 SHS RIO - RIO TINTO PLC		2012-12-18	2013-06-04
166 SHS RUK - REED ELSEVIER PLC-SPOS		2012-01-31	2013-12-02
119 SHS RUK - REED ELSEVIER PLC-SPOS		2013-04-02	2013-12-02
496 SHS SAFRY - SAFRAN SA-UNSPON ADR		2012-10-15	2013-12-02
123 SHS SAP - SAP AG SPONSORED ADR		2012-01-31	2013-12-02
842 SHS SBGSY - SCHNEIDER ELECTRIC SA-		2012-01-31	2013-12-02
301 SHS SBGSY - SCHNEIDER ELECTRIC SA-		2013-04-05	2013-12-02
208 SHS SBMRY - SABMILLER PLC ADR		2013-02-06	2013-12-02
393 SHS SBRCY - SBERBANK RUSSIA SPON ADR		2012-07-27	2013-12-02
397 SHS SBRCY - SBERBANK RUSSIA SPON ADR		2012-09-19	2013-12-02
142 SHS SDXAY - SODEXHO SPON ADR		2012-01-31	2013-12-02
14 SHS SDXAY - SODEXHO SPON ADR		2012-12-14	2013-12-02
123 SHS SFTBY - SOFTBANK CORP ADR		2012-04-04	2013-03-11
167 SHS SFTBY - SOFTBANK CORP ADR		2012-04-04	2013-12-02
181 SHS SFTBY - SOFTBANK CORP ADR		2012-05-09	2013-12-02
688 SHS SGSOY - SGS SOC GEN SURVEILL ADR		2012-01-31	2013-12-02
32 SHS SHG - SHINHAN FINL GRP ADR		2012-01-31	2013-04-29
156 SHS SHG - SHINHAN FINL GRP ADR		2012-01-31	2013-04-30
249 SHS SLW - SILVER WHEATON CORP		2012-01-31	2013-12-02

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72 SHS SLW - SILVER WHEATON CORP		2012-12-18	2013-12-02
25 SHS STI - SUNTRUST BANKS INC		2013-01-02	2013-04-29
296 SHS STI - SUNTRUST BANKS INC		2013-01-02	2013-10-10
144 SHS STI - SUNTRUST BANKS INC		2013-01-02	2013-12-17
417 SHS STI - SUNTRUST BANKS INC		2013-02-04	2013-12-17
3 SHS STT - STATE STR CORP		2013-01-02	2013-04-29
300 SHS STT - STATE STR CORP		2013-01-02	2013-12-17
17 SHS SU - SUNCOR ENERGY INC NEW		2012-11-13	2013-04-29
484 SHS SU - SUNCOR ENERGY INC NEW		2012-11-13	2013-12-17
138 SHS SU - SUNCOR ENERGY INC NEW		2012-12-03	2013-12-17
588 SHS SYMC - SYMANTEC CORP		2013-06-19	2013-12-17
7 SHS T - AT & T INC		2012-01-03	2013-04-29
449 SHS T - AT & T INC		2012-01-03	2013-12-17
135 SHS TEL - TE CONNECTIVITY LTD		2013-05-23	2013-12-17
130 SHS TEL - TE CONNECTIVITY LTD		2013-06-21	2013-12-17
64 SHS TEL - TE CONNECTIVITY LTD		2013-08-08	2013-12-17
5 SHS TRV - TRAVELERS COS INC/THE		2012-05-14	2013-04-29
273 SHS TRV - TRAVELERS COS INC/THE		2012-05-14	2013-12-17
202 SHS TSO - TESORO CORPORATION		2013-03-01	2013-12-17
55 SHS TWC - TIME WARNER CABLE		2011-09-06	2013-03-01
68 SHS TWC - TIME WARNER CABLE		2011-09-06	2013-06-03
29 SHS TWC - TIME WARNER CABLE		2011-09-06	2013-08-05
100 SHS TWC - TIME WARNER CABLE		2011-09-12	2013-08-05
21 SHS TYC - TYCO INTERNATIONAL LTD		2011-09-12	2013-04-29
160 SHS TYC - TYCO INTERNATIONAL LTD		2011-09-12	2013-12-17
180 SHS TYC - TYCO INTERNATIONAL LTD		2011-11-07	2013-12-17
110 SHS TYC - TYCO INTERNATIONAL LTD		2012-01-03	2013-12-17
4 SHS UAL - UNITED CONTL HLDGS INC		2013-03-01	2013-04-29
412 SHS UAL - UNITED CONTL HLDGS INC		2013-03-01	2013-12-17
266 SHS UNH - UNITEDHEALTH GROUP		2010-12-16	2013-02-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
60 SHS UNH - UNITEDHEALTH GROUP		2011-03-02	2013-02-20
155 SHS USB - US BANCORP NEW		2012-07-23	2013-01-02
12 SHS USB - US BANCORP NEW		2012-07-23	2013-04-29
168 SHS USB - US BANCORP NEW		2012-07-23	2013-07-08
277 SHS USB - US BANCORP NEW		2012-07-23	2013-12-17
161 SHS USB - US BANCORP NEW		2012-09-10	2013-12-17
212 SHS USB - US BANCORP NEW		2012-10-31	2013-12-17
7 SHS VLO - VALERO ENERGY CORP NEW		2011-03-14	2013-04-29
250 SHS VLO - VALERO ENERGY CORP NEW		2011-03-14	2013-12-17
180 SHS VLO - VALERO ENERGY CORP NEW		2011-05-09	2013-12-17
165 SHS VZ - VERIZON COMMUNICATIONS		2012-02-06	2013-03-18
96 SHS VZ - VERIZON COMMUNICATIONS		2012-02-06	2013-05-01
210 SHS VZ - VERIZON COMMUNICATIONS		2012-02-13	2013-05-01
201 SHS VZ - VERIZON COMMUNICATIONS		2012-05-07	2013-05-01
7 SHS WAG - WALGREEN COMPANY		2011-09-06	2013-04-29
228 SHS WAG - WALGREEN COMPANY		2011-09-06	2013-10-10
140 SHS WAG - WALGREEN COMPANY		2011-09-19	2013-10-10
185 SHS WDC - WESTERN DIGITAL CORP		2013-08-13	2013-12-17
6 SHS WFC - WELLS FARGO COMPANY		2012-10-02	2013-04-29
333 SHS WFC - WELLS FARGO COMPANY		2012-10-02	2013-12-17
6 SHS WMT - WAL-MART STORES INC		2012-07-09	2013-04-29
244 SHS WMT - WAL-MART STORES INC		2012-07-09	2013-12-17
199 SHS WMT - WAL-MART STORES INC		2013-01-02	2013-12-17
13 SHS XOM - EXXON MOBIL CORP		2009-12-15	2013-04-29
14 SHS XOM - EXXON MOBIL CORP		2010-03-16	2013-08-08
25 SHS XOM - EXXON MOBIL CORP		2010-04-27	2013-08-08
31 SHS XOM - EXXON MOBIL CORP		2010-04-29	2013-08-08
204 SHS XOM - EXXON MOBIL CORP		2011-03-02	2013-08-08
153 SHS XOM - EXXON MOBIL CORP		2011-03-02	2013-12-17
90 SHS XOM - EXXON MOBIL CORP		2012-07-16	2013-12-17

Form 990PF Part IV – Capital Gains and Losses for Tax on Investment Income – Columns a – d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
290 SHS A - AGILENT TECH INC		2012-10-08	2013-12-17
320 SHS ABC - AMERISOURCEBERGEN CORP		2011-03-02	2013-03-19
5 SHS ADT - ADT CORP/THE		2011-09-12	2013-04-29
85 SHS ADT - ADT CORP/THE		2011-09-12	2013-12-17
90 SHS ADT - ADT CORP/THE		2011-11-07	2013-12-17
55 SHS ADT - ADT CORP/THE		2012-01-03	2013-12-17
257 SHS AET - AETNA INC		2013-09-18	2013-12-17
19 SHS AIG - AMERICAN INTL GROUP INC		2012-09-17	2013-04-29
407 SHS AIG - AMERICAN INTL GROUP INC		2012-09-17	2013-12-17
332 SHS AIG - AMERICAN INTL GROUP INC		2012-10-08	2013-12-17
123 SHS ALLE - ALLEGION PLC		2012-12-03	2013-12-10
4 SHS BMY - BRISTOL MYERS SQUIBB		2011-03-02	2013-04-29
393 SHS BMY - BRISTOL MYERS SQUIBB		2011-03-02	2013-12-17
116 SHS BRK'B - BERKSHIRE HATHAWAY INC B		2011-03-02	2013-12-17
6 SHS CB - CHUBB CORP		2011-08-01	2013-04-29
25 SHS CB - CHUBB CORP		2011-08-01	2013-12-17
130 SHS CB - CHUBB CORP		2012-01-30	2013-12-17
90 SHS CB - CHUBB CORP		2012-03-19	2013-12-17
129 SHS C - CITIGROUP INC NEW		2012-06-12	2013-07-08
55 SHS C - CITIGROUP INC NEW		2012-06-12	2013-12-17
576 SHS C - CITIGROUP INC NEW		2012-06-13	2013-12-17
442 SHS C - CITIGROUP INC NEW		2012-06-25	2013-12-17
348 SHS CMCSA - COMCAST CORP NEW CL A		2012-08-27	2013-01-02
133 SHS CMCSA - COMCAST CORP NEW CL A		2012-08-27	2013-03-01
7 SHS CMCSA - COMCAST CORP NEW CL A		2012-08-27	2013-04-29
69 SHS CMCSA - COMCAST CORP NEW CL A		2012-08-27	2013-12-17
376 SHS CMCSA - COMCAST CORP NEW CL A		2012-10-08	2013-12-17
122 SHS CMCSA - COMCAST CORP NEW CL A		2012-10-31	2013-12-17
419 SHS CSCO - CISCO SYS INC		2012-02-21	2013-01-02
5 SHS CSCO - CISCO SYS INC		2012-02-21	2013-04-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
210 SHS CSCO - CISCO SYS INC		2012-02-21	2013-12-17
522 SHS CSCO - CISCO SYS INC		2013-06-21	2013-12-17
337 SHS CSCO - CISCO SYS INC		2013-08-05	2013-12-17
368 SHS CSCO - CISCO SYS INC		2013-08-08	2013-12-17
0 452 SHS CST - CST BRANDS INC		2011-03-14	2013-05-01
0 326 SHS CST - CST BRANDS INC		2011-05-09	2013-05-01
27 326 SHS CST - CST BRANDS INC		2011-03-14	2013-05-15
19 674 SHS CST - CST BRANDS INC		2011-05-09	2013-05-15
10 SHS CVS - CVS CAREMARK CORP		2012-07-09	2013-04-29
527 SHS CVS - CVS CAREMARK CORP		2012-07-09	2013-12-17
122 SHS CVS - CVS CAREMARK CORP		2012-10-08	2013-12-17
8 SHS CVX - CHEVRON CORPORATION		2010-12-16	2013-04-29
117 SHS CVX - CHEVRON CORPORATION		2010-12-16	2013-12-17
210 SHS CVX - CHEVRON CORPORATION		2012-01-03	2013-12-17
483 SHS DFS - DISCOVER FINANCIAL		2011-03-02	2013-12-17
9 SHS DIS - DISNEY WALT COMPANY		2012-11-13	2013-04-29
207 SHS DIS - DISNEY WALT COMPANY		2012-11-13	2013-12-17
89 SHS DIS - DISNEY WALT COMPANY		2013-01-02	2013-12-17
396 SHS DOW - DOW CHEMICAL COMPANY		2013-10-21	2013-12-17
16 SHS EMC - EMC CORP		2012-10-08	2013-04-29
571 SHS EMC - EMC CORP		2012-10-08	2013-12-17
216 SHS EMC - EMC CORP		2012-12-03	2013-12-17
804 SHS FOXA - TWENTY-FIRST CENTY A		2012-08-06	2013-12-17
21 SHS GE - GENERAL ELECTRIC COMPANY		2011-03-02	2013-04-29
421 SHS GE - GENERAL ELECTRIC COMPANY		2011-03-02	2013-12-17
290 SHS GE - GENERAL ELECTRIC COMPANY		2011-04-04	2013-12-17
574 SHS GE - GENERAL ELECTRIC COMPANY		2013-02-04	2013-12-17
18 SHS GS - GOLDMAN SACHS GROUP INC		2012-04-30	2013-03-18
8 SHS GS - GOLDMAN SACHS GROUP INC		2012-04-30	2013-04-29
31 SHS GS - GOLDMAN SACHS GROUP INC		2012-04-30	2013-10-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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156 SHS GS - GOLDMAN SACHS GROUP INC		2012-04-30	2013-12-17
53 SHS GS - GOLDMAN SACHS GROUP INC		2012-09-10	2013-12-17
365 SHS HAL - HALLIBURTON COMPANY		2013-08-08	2013-12-17
4 SHS IBM - INTL BUSINESS MACH CORP		2013-03-01	2013-04-29
43 SHS IBM - INTL BUSINESS MACH CORP		2013-03-01	2013-08-05
13 SHS IBM - INTL BUSINESS MACH CORP		2013-03-01	2013-08-08
55 SHS IBM - INTL BUSINESS MACH CORP		2013-03-18	2013-08-08
17 SHS IP - INTL PAPER CO		2010-11-10	2013-04-29
86 SHS IP - INTL PAPER CO		2010-11-10	2013-12-17
2 SHS IP - INTL PAPER CO		2011-03-02	2013-12-17
240 SHS IP - INTL PAPER CO		2011-06-06	2013-12-17
171 SHS IP - INTL PAPER CO		2012-11-13	2013-12-17
121 SHS IP - INTL PAPER CO		2012-12-03	2013-12-17
3 SHS IR - INGERSOLL-RAND PLC		2012-12-03	2013-04-29
369 SHS IR - INGERSOLL-RAND PLC		2012-12-03	2013-12-17
3 SHS JPM - JPMORGAN CHASE & CO		2011-04-25	2013-04-29
51 SHS JPM - JPMORGAN CHASE & CO		2011-04-25	2013-08-08
55 SHS JPM - JPMORGAN CHASE & CO		2011-04-25	2013-12-17
470 SHS JPM - JPMORGAN CHASE & CO		2012-02-13	2013-12-17
290 SHS JPM - JPMORGAN CHASE & CO		2012-04-02	2013-12-17
327 SHS JPM - JPMORGAN CHASE & CO		2012-06-25	2013-12-17
252 SHS LIMITED BRANDS INC		2011-03-02	2013-02-01
144 SHS LLY - ELI LILLY & CO		2011-03-02	2013-01-02
64 SHS LLY - ELI LILLY & CO		2011-03-02	2013-03-01
314 SHS LLY - ELI LILLY & CO		2011-03-02	2013-12-17
269 SHS MDT - MEDTRONIC INC		2013-08-05	2013-12-17
114 SHS MDT - MEDTRONIC INC		2013-08-08	2013-12-17
16 SHS MET - METLIFE INC		2012-05-14	2013-04-29
241 SHS MET - METLIFE INC		2012-05-14	2013-12-17
238 SHS MET - METLIFE INC		2012-05-21	2013-12-17

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248 SHS M - MACY'S INC		2013-07-08	2013-12-17
142 SHS MMM - 3M CO		2012-06-25	2013-12-17
114 SHS MMM - 3M CO		2012-12-03	2013-12-17
115 SHS MPC - MARATHON PETROLEUM CORP		2012-03-26	2013-01-02
108 SHS MPC - MARATHON PETROLEUM CORP		2012-03-26	2013-03-18
4 SHS MPC - MARATHON PETROLEUM CORP		2012-03-26	2013-04-29
39 SHS MPC - MARATHON PETROLEUM CORP		2012-03-26	2013-12-17
222 SHS MPC - MARATHON PETROLEUM CORP		2012-04-09	2013-12-17
144 SHS MRK - MERCK & CO INC NEW		2012-06-25	2013-01-02
12 SHS MRK - MERCK & CO INC NEW		2012-06-25	2013-04-29
258 SHS MRK - MERCK & CO INC NEW		2012-06-25	2013-12-17
254 SHS MRK - MERCK & CO INC NEW		2012-10-08	2013-12-17
20 SHS MRO - MARATHON OIL CORP		2011-03-02	2013-04-29
255 SHS MRO - MARATHON OIL CORP		2011-03-02	2013-12-17
220 SHS MRO - MARATHON OIL CORP		2011-07-11	2013-12-17
56 SHS MSFT - MICROSOFT CORP		2012-07-02	2013-04-29
893 SHS MSFT - MICROSOFT CORP		2012-07-02	2013-12-17
300 SHS MSFT - MICROSOFT CORP		2012-07-16	2013-12-17
364 SHS NEWS CORP INC-CL A		2012-08-06	2013-02-01
14 SHS NKE - NIKE INC CLASS B		2013-01-02	2013-04-29
353 SHS NKE - NIKE INC CLASS B		2013-01-02	2013-09-18
309 SHS NTAP - NETAPP INC		2013-08-05	2013-12-17
220 SHS NTAP - NETAPP INC		2013-08-08	2013-12-17
201 SHS NWSA - NEWS CORP NEW CL A		2012-08-06	2013-07-18
227 SHS PFE - PFIZER INCORPORATED		2011-01-05	2013-01-02
38 SHS PFE - PFIZER INCORPORATED		2011-01-05	2013-04-29
86 SHS PFE - PFIZER INCORPORATED		2011-01-05	2013-08-08
90 SHS PFE - PFIZER INCORPORATED		2011-01-05	2013-12-17
1362 SHS PFE - PFIZER INCORPORATED		2012-10-08	2013-12-17
5 SHS PH - PARKER HANNIFIN CORP		2012-01-03	2013-04-29

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110 SHS PH - PARKER HANNIFIN CORP		2012-01-03	2013-12-17
140 SHS PH - PARKER HANNIFIN CORP		2012-01-23	2013-12-17
1749 SHS RF - REGIONS FINANCIAL CORP		2013-10-10	2013-12-17
5 SHS RTN - RAYTHEON COMPANY		2011-03-02	2013-04-29
76 SHS RTN - RAYTHEON COMPANY		2011-03-02	2013-10-21
140 SHS RTN - RAYTHEON COMPANY		2011-11-14	2013-10-21
1400 SHS SDY - SPDR S&P DIVIDEND ETF		2013-12-30	2013-12-31
242 SHS SLB - SCHLUMBERGER LTD		2013-11-29	2013-12-17
296 SHS SWI - SOLARWINDS INC		2013-04-23	2013-07-29
552 SHS SWN - SOWSTN ENERGY CO		2009-11-25	2013-08-07
5 SHS SWN - SOWSTN ENERGY CO		2013-01-31	2013-08-07
33 SHS A - AGILENT TECH INC		2011-12-28	2013-08-02
759 SHS AKRX - AKORN INC		2012-07-11	2013-06-14
167 SHS AKRX - AKORN INC		2012-09-11	2013-06-14
531 SHS ALTR - ALTERA CORPORATION		2010-12-08	2013-11-21
4 SHS ALTR - ALTERA CORPORATION		2013-01-31	2013-11-21
823 SHS ARIA - ARIAD PHARMACEUTICLS INC		2013-04-26	2013-10-09
473 SHS ARIA - ARIAD PHARMACEUTICLS INC		2013-05-14	2013-10-09
255 SHS BAX - BAXTER INTERNATIONAL INC		2009-12-23	2013-04-30
44 SHS BAX - BAXTER INTERNATIONAL INC		2009-12-23	2013-11-01
217 SHS BAX - BAXTER INTERNATIONAL INC		2012-03-28	2013-11-01
5 SHS BAX - BAXTER INTERNATIONAL INC		2013-01-31	2013-11-01
160 SHS COH - COACH INC		2011-03-16	2013-02-26
303 SHS COH - COACH INC		2011-08-24	2013-02-26
5 SHS COH - COACH INC		2012-04-12	2013-02-26
3 SHS COH - COACH INC		2013-01-31	2013-02-26
277 SHS CTRX - CATAMARAN CORP		2010-12-08	2013-05-02
210 SHS CTXS - CITRIX SYSTEMS INC		2010-12-08	2013-10-08
2 SHS CTXS - CITRIX SYSTEMS INC		2013-01-31	2013-10-08
155 SHS IBM - INTL BUSINESS MACH CORP		2011-12-28	2013-06-28

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219 SHS KRFT - KRAFT FOODS GRP INC		2012-09-04	2013-08-23
1 SHS KRFT - KRAFT FOODS GRP INC		2013-01-31	2013-08-23
418 SHS PAY - VERIFONE SYSTEMS INC		2011-05-04	2013-02-21
3 SHS PAY - VERIFONE SYSTEMS INC		2013-01-31	2013-02-21
199 SHS SLXP - SALIX PHARMACEUTICALS		2012-06-25	2013-11-14
45 SHS SLXP - SALIX PHARMACEUTICALS		2012-06-25	2013-12-09
161 SHS SLXP - SALIX PHARMACEUTICALS		2012-06-27	2013-12-09
2 SHS SLXP - SALIX PHARMACEUTICALS		2013-01-31	2013-12-09
282 SHS TKC - TURKCELL ILETISIM		2010-12-17	2013-04-30
96 SHS TLK - PERUSAHAAN PERSEROAN		2010-12-17	2013-05-07
172 SHS TSM - TAIWAN SEMICONDUCTOR MFG		2010-12-17	2013-01-03
125 SHS TV - GRUPO TV SA DE CV GLOBAL		2010-12-17	2013-02-25
91 SHS VALE'P - VALE SA RPSTG PFD SP ADR		2010-05-06	2013-08-19
88 SHS VALE'P - VALE SA RPSTG PFD SP ADR		2010-07-28	2013-08-19
223 SHS AMX - AMERICA MOVIL SERIES L		2010-12-17	2013-04-17
282 SHS AMX - AMERICA MOVIL SERIES L		2010-12-17	2013-07-22
10 SHS AMX - AMERICA MOVIL SERIES L		2011-03-03	2013-07-22
59 SHS BIDU - BAIDU INC ADR		2012-10-23	2013-09-13
0 5 SHS CIBEY - COMMERCIAL INTERNATIONAL		2013-12-10	2013-12-10
155 SHS CIOXY - CIELO SA SPONSORED ADR		2010-12-17	2013-01-08
108 SHS CIOXY - CIELO SA SPONSORED ADR		2010-12-17	2013-12-19
40 SHS LUKOY - LUKOIL OIL CO		2011-08-12	2013-01-04
57 SHS PHI - PHILIPPINE LONG DIST TEL		2010-12-17	2013-05-15
34 SHS PSGTY - PT SEMEN INDONESIA ADR		2010-12-17	2013-02-01
698 SHS VNQ - VANGUARD REIT ETF		2012-04-02	2013-12-06
299 SHS VNQ - VANGUARD REIT ETF		2012-07-02	2013-12-06
260 SHS VNQ - VANGUARD REIT ETF		2012-10-01	2013-12-06
126 SHS VNQ - VANGUARD REIT ETF		2013-01-02	2013-12-06
114 SHS VNQ - VANGUARD REIT ETF		2013-10-01	2013-12-06
409 SHS VWO - VANGUARD MSCI EMRG ETF		2012-04-02	2013-07-01

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587 SHS VWO - VANGUARD MSCI EMRG ETF		2013-04-01	2013-07-01
116 SHS XLB - MATERIALS SECTOR SP ETF		2011-04-01	2013-12-06
56 SHS XLB - MATERIALS SECTOR SP ETF		2012-07-02	2013-12-06
21 SHS XLE - ENERGY SECTOR SPDR ETF		2010-12-03	2013-01-02
227 SHS XLE - ENERGY SECTOR SPDR ETF		2010-12-03	2013-12-06
44 SHS XLE - ENERGY SECTOR SPDR ETF		2011-01-03	2013-12-06
19 SHS XLE - ENERGY SECTOR SPDR ETF		2011-08-11	2013-12-06
30 SHS XLE - ENERGY SECTOR SPDR ETF		2012-01-03	2013-12-06
24 SHS XLE - ENERGY SECTOR SPDR ETF		2012-10-01	2013-12-06
32 SHS XLE - ENERGY SECTOR SPDR ETF		2013-10-01	2013-12-06
53 SHS XLI - INDUSTRIAL SECTOR SP ETF		2010-12-03	2013-04-01
24 SHS XLI - INDUSTRIAL SECTOR SP ETF		2010-12-03	2013-07-01
66 SHS XLI - INDUSTRIAL SECTOR SP ETF		2011-01-03	2013-07-01
116 SHS XLI - INDUSTRIAL SECTOR SP ETF		2011-01-03	2013-12-06
89 SHS XLI - INDUSTRIAL SECTOR SP ETF		2011-08-11	2013-12-06
39 SHS XLI - INDUSTRIAL SECTOR SP ETF		2012-01-03	2013-12-06
123 SHS XLI - INDUSTRIAL SECTOR SP ETF		2012-04-02	2013-12-06
136 SHS XLI - INDUSTRIAL SECTOR SP ETF		2012-07-02	2013-12-06
158 SHS XLI - INDUSTRIAL SECTOR SP ETF		2013-10-01	2013-12-06
430 SHS XLP - CONSUMR STPLE SCTOR ETF		2013-01-02	2013-12-06
80 SHS XLP - CONSUMR STPLE SCTOR ETF		2013-10-01	2013-12-06
42 SHS XLV - HEALTH CARE SECTOR ETF		2013-01-02	2013-04-01
72 SHS XLV - HEALTH CARE SECTOR ETF		2013-01-02	2013-10-01
445 SHS XLV - HEALTH CARE SECTOR ETF		2013-01-02	2013-12-06
44 SHS XLV - HEALTH CARE SECTOR ETF		2013-07-01	2013-12-06
345 SHS XLY - CONSUMR DISC SECTOR ETF		2013-01-02	2013-12-06
226 SHS XLY - CONSUMR DISC SECTOR ETF		2013-10-01	2013-12-06
51 SHS DJP - BARCLAYS IPATH CMMD ETN		2011-01-03	2013-07-01
147 SHS DJP - BARCLAYS IPATH CMMD ETN		2011-01-03	2013-10-01
147 SHS DJP - BARCLAYS IPATH CMMD ETN		2011-04-01	2013-10-01

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138 SHS DJP - BARCLAYS IPATH CMMD ETN		2011-07-01	2013-10-01
36 SHS DJP - BARCLAYS IPATH CMMD ETN		2012-07-02	2013-10-01
55 SHS DJP - BARCLAYS IPATH CMMD ETN		2013-04-01	2013-10-01
69 SHS EWZ - ISHARES MSCI BRAZIL ETF		2012-04-02	2013-07-01
127 SHS EWZ - ISHARES MSCI BRAZIL ETF		2013-04-01	2013-07-01
268 SHS HYG - ISHARES IBOX \$ YLD ETF		2013-01-02	2013-04-01
418 SHS IAU - ISHARES GOLD TRUST ETF		2011-10-03	2013-07-01
455 SHS IAU - ISHARES GOLD TRUST ETF		2012-04-02	2013-07-01
128 SHS IAU - ISHARES GOLD TRUST ETF		2013-04-01	2013-07-01
72 SHS IDU - ISHARES U S UTIL ETF		2011-10-03	2013-12-06
90 SHS IEF - ISHRS 7-10-YR TREAS ETF		2013-04-01	2013-07-01
78 SHS IEI - ISHS 3-7YR TREAS BD ETF		2013-04-01	2013-12-06
53 SHS IEI - ISHS 3-7YR TREAS BD ETF		2013-10-01	2013-12-06
198 SHS IJJ - ISHARES S&P M/C 400 ETF		2013-07-01	2013-10-01
367 SHS IJJ - ISHARES S&P M/C 400 ETF		2013-07-01	2013-12-06
296 SHS IJK - ISHARES S&P M/C 400 ETF		2013-07-01	2013-12-06
131 SHS IJK - ISHARES S&P M/C 400 ETF		2013-10-01	2013-12-06
320 SHS IWN - ISHS RUSSL 2000 VAL ETF		2013-01-02	2013-07-01
102 SHS IWN - ISHS RUSSL 2000 VAL ETF		2013-01-02	2013-10-01
65 SHS IWN - ISHS RUSSL 2000 VAL ETF		2013-04-01	2013-10-01
81 SHS IWO - ISHARES RUSSELL 2000 ETF		2011-07-01	2013-04-01
11 SHS IWO - ISHS RUSSL 2000GRWTH ETF		2011-07-01	2013-07-01
77 SHS IWO - ISHS RUSSL 2000GRWTH ETF		2011-08-11	2013-07-01
7 SHS IWO - ISHS RUSSL 2000GRWTH ETF		2011-10-03	2013-07-01
61 SHS IWO - ISHS RUSSL 2000GRWTH ETF		2012-07-02	2013-07-01
11 SHS IWO - ISHS RUSSL 2000GRWTH ETF		2013-01-02	2013-07-01
86 SHS IWO - ISHS RUSSL 2000GRWTH ETF		2013-01-02	2013-10-01
26 SHS IYF - ISHARES U S FINLS ETF		2013-01-02	2013-10-01
521 SHS IYF - ISHARES U S FINLS ETF		2013-01-02	2013-12-06
33 SHS IYF - ISHARES U S FINLS ETF		2013-07-01	2013-12-06

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
336 SHS IYW - ISHARES U S TECH ETF		2012-01-03	2013-12-06
71 SHS IYW - ISHARES U S TECH ETF		2012-07-02	2013-12-06
82 SHS IYW - ISHARES U S TECH ETF		2013-01-02	2013-12-06
88 SHS IYW - ISHARES U S TECH ETF		2013-07-01	2013-12-06
45 SHS IYW - ISHARES U S TECH ETF		2013-10-01	2013-12-06
615 SHS JNK - SPDR BRCLY H/Y BOND ETF		2013-01-02	2013-04-01
40 SHS LQD - ISHARES IBOXX&INVT ETF		2013-04-01	2013-12-06
86 SHS LQD - ISHARES IBOXX&INVT ETF		2013-07-01	2013-12-06
83 SHS LQD - ISHARES IBOXX&INVT ETF		2013-10-01	2013-12-06
167 SHS PSCE - POWERSHARES S&P ENRG ETF		2012-04-02	2013-01-02
97 SHS PSCE - POWERSHARES S&P ENRG ETF		2012-10-01	2013-01-02
240 SHS PSCH - POWERSHARES S&P HLTH ETF		2012-04-02	2013-01-02
85 SHS TAP - MOLSON COORS BREWING-B		2012-01-26	2013-01-18
90 SHS TMK - TORCHMARK CORP		2012-01-26	2013-01-18
45 SHS UN - UNILEVER N V F		2012-01-26	2013-01-18
880 SHS UN - UNILEVER N V F		2012-01-26	2013-01-24
40 SHS BCR - BARD C R INC		2012-01-26	2013-01-18
81 SHS BCR - BARD C R INC		2012-01-26	2013-09-13
74 SHS BCR - BARD C R INC		2012-01-26	2013-09-16
210 SHS BCR - BARD C R INC		2012-01-26	2013-09-20
50 SHS BDX - BECTON DICKINSON & CO		2012-05-29	2013-01-18
95 SHS COP - CONOCOPHILLIPS		2012-01-26	2013-01-18
565 SHS CSCO - CISCO SYS INC		2011-10-03	2013-06-20
70 SHS CVS - CVS CAREMARK CORP		2012-01-26	2013-01-18
15 SHS CVX - CHEVRON CORPORATION		2011-09-28	2013-01-18
2250 SHS DELL INC		2012-01-26	2013-04-23
455 SHS EBAY - EBAY INC		2012-01-26	2013-01-14
130 SHS EXC - EXELON CORPORATION		2012-05-09	2013-01-18
54 SHS GOOGL - GOOGLE INC CL A		2012-01-26	2013-10-18
160 SHS JNJ - JOHNSON & JOHNSON		2012-01-26	2013-09-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
90 SHS MDT - MEDTRONIC INC		2012-01-26	2013-01-18
69 SHS MSFT - MICROSOFT CORP		2011-02-18	2013-07-30
371 SHS MSFT - MICROSOFT CORP		2011-04-27	2013-07-30
245 SHS NEM - NEWMONT MINING CORP NEW		2012-01-26	2013-11-08
135 SHS NOC - NORTHROP GRUMMAN CORP		2012-01-26	2013-08-14
505 SHS SCHW - SCHWAB CHARLES CORP NEW		2012-01-26	2013-01-18
559 SHS SCHW - SCHWAB CHARLES CORP NEW		2012-01-26	2013-03-18
142 SHS SCHW - SCHWAB CHARLES CORP NEW		2012-01-26	2013-03-20
660 SHS SCHW - SCHWAB CHARLES CORP NEW		2012-01-26	2013-07-30
7000 SHS FN 255813 5% 08/01/35		2013-03-26	2013-08-20
29000 SHS FN 735402 5% 040135		2013-07-17	2013-08-20
69000 SHS FN 735893 5% 100135		2012-07-10	2013-08-23
14000 SHS FN 745275 5% 02/01/36		2013-02-20	2013-08-20
2000 SHS FN 835158 5% 080135		2013-01-09	2013-08-20
34000 SHS FN AB4297 3 5% 010142		2012-01-10	2013-01-09
58000 SHS FN AB5468 3 5% 060142		2012-06-07	2013-01-09
1000 SHS FN AB5670 3 5% 070142		2012-10-05	2013-01-09
29000 SHS FN AL0069 5% 110140		2013-04-12	2013-08-20
11000 SHS FN AL2629 5% 060139		2013-03-18	2013-08-23
4000 SHS FN AL3006 5% 070137		2013-03-26	2013-08-20
11000 SHS FN AL3006 5% 070137		2013-03-26	2013-08-23
3000 SHS FN AL3423 5% 050140		2013-06-14	2013-08-20
21000 SHS UST BD INX 3 875%4/15/29		2013-01-22	2013-05-02
45000 SHS UST NTS 0 75% 103117		2012-11-21	2013-03-01
48000 SHS UST NTS 0 75% 103117		2012-11-21	2013-03-04
37000 SHS UST NTS 1 375% 022819		2012-03-01	2013-08-09
10000 SHS UST NTS 1 375% 022819		2012-05-30	2013-08-09
25000 SHS UST NTS 2% 021523		2013-03-01	2013-08-26
11000 SHS UST NTS 2% 021523		2013-03-04	2013-08-26
2000 SHS UST NTS 2% 021523		2013-03-05	2013-08-26

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1610 SHS FXICX - ALLIANZ GLBL FIXED INC		2010-01-21	2013-06-13
4910 SHS FXIMX - ALLIANZ GLBL FIXED INC M		2010-01-21	2013-06-13
5000 SHS FHLMC GLD 312MJLD0 5% 020139			2013-09-30
5000 SHS FHLMC GLD 312MJLD0 5% 020139			2013-10-31
5000 SHS FHLMC GLD 312MJLD0 5% 020139			2013-11-30
5000 SHS FHLMC GLD 312MJLD0 5% 020139			2013-12-31
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-01-31
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-02-28
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-03-31
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-04-30
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-05-31
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-06-30
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-07-31
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-08-31
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-09-30
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-10-31
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-11-30
7000 SHS FHLMC GLD 312945ZL5 4 5% 020141			2013-12-31
1000 SHS FHLMC GLD 3132J7ZJ4 3 5% 030143			2013-09-30
1000 SHS FHLMC GLD 3132J7ZJ4 3 5% 030143			2013-10-31
1000 SHS FHLMC GLD 3132J7ZJ4 3 5% 030143			2013-11-30
1000 SHS FHLMC GLD 3132J7ZJ4 3 5% 030143			2013-12-31
3000 SHS FHLMC GLD 3132J84Z0 3% 040143			2013-09-30
3000 SHS FHLMC GLD 3132J84Z0 3% 040143			2013-10-31
3000 SHS FHLMC GLD 3132J84Z0 3% 040143			2013-11-30
3000 SHS FHLMC GLD 3132J84Z0 3% 040143			2013-12-31
7000 SHS FNMA 31371MDS3 5% 080135			2013-04-30
7000 SHS FNMA 31371MDS3 5% 080135			2013-05-31
7000 SHS FNMA 31371MDS3 5% 080135			2013-06-30
7000 SHS FNMA 31371MDS3 5% 080135			2013-07-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
55000 SHS FNMA 3138AVQE8 4% 100141			2013-01-31
55000 SHS FNMA 3138AVQE8 4% 100141			2013-02-28
55000 SHS FNMA 3138AVQE8 4% 100141			2013-03-31
55000 SHS FNMA 3138AVQE8 4% 100141			2013-04-30
55000 SHS FNMA 3138AVQE8 4% 100141			2013-05-31
55000 SHS FNMA 3138AVQE8 4% 100141			2013-06-30
55000 SHS FNMA 3138AVQE8 4% 100141			2013-07-31
55000 SHS FNMA 3138AVQE8 4% 100141			2013-08-31
55000 SHS FNMA 3138AVQE8 4% 100141			2013-09-30
55000 SHS FNMA 3138AVQE8 4% 100141			2013-10-31
55000 SHS FNMA 3138AVQE8 4% 100141			2013-11-30
55000 SHS FNMA 3138AVQE8 4% 100141			2013-12-31
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-01-31
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-02-28
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-03-31
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-04-30
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-05-31
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-06-30
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-07-31
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-08-31
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-09-30
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-10-31
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-11-30
38000 SHS FNMA 3138A7FZ6 4 5% 020141			2013-12-31
29000 SHS FNMA 3138EGCF9 5% 110140			2013-04-30
29000 SHS FNMA 3138EGCF9 5% 110140			2013-05-31
29000 SHS FNMA 3138EGCF9 5% 110140			2013-06-30
29000 SHS FNMA 3138EGCF9 5% 110140			2013-07-31
3000 SHS FNMA 3138EH3J9 4% 090141			2013-04-30
3000 SHS FNMA 3138EH3J9 4% 090141			2013-05-31

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3000 SHS FNMA 3138EH3J9 4% 090141			2013-06-30
3000 SHS FNMA 3138EH3J9 4% 090141			2013-07-31
3000 SHS FNMA 3138EH3J9 4% 090141			2013-08-31
3000 SHS FNMA 3138EH3J9 4% 090141			2013-09-30
3000 SHS FNMA 3138EH3J9 4% 090141			2013-10-31
3000 SHS FNMA 3138EH3J9 4% 090141			2013-11-30
3000 SHS FNMA 3138EH3J9 4% 090141			2013-12-31
54000 SHS FNMA 3138EJEW4 4% 010142			2013-01-31
54000 SHS FNMA 3138EJEW4 4% 010142			2013-02-28
54000 SHS FNMA 3138EJEW4 4% 010142			2013-03-31
54000 SHS FNMA 3138EJEW4 4% 010142			2013-04-30
54000 SHS FNMA 3138EJEW4 4% 010142			2013-05-31
54000 SHS FNMA 3138EJEW4 4% 010142			2013-06-30
54000 SHS FNMA 3138EJEW4 4% 010142			2013-07-31
54000 SHS FNMA 3138EJEW4 4% 010142			2013-08-31
54000 SHS FNMA 3138EJEW4 4% 010142			2013-09-30
54000 SHS FNMA 3138EJEW4 4% 010142			2013-10-31
54000 SHS FNMA 3138EJEW4 4% 010142			2013-11-30
54000 SHS FNMA 3138EJEW4 4% 010142			2013-12-31
32000 SHS FNMA 3138E14P0 5% 060139			2013-03-31
32000 SHS FNMA 3138E14P0 5% 060139			2013-04-30
32000 SHS FNMA 3138E14P0 5% 060139			2013-05-31
32000 SHS FNMA 3138E14P0 5% 060139			2013-06-30
32000 SHS FNMA 3138E14P0 5% 060139			2013-07-31
21000 SHS FNMA 3138E14P0 5% 060139			2013-08-31
21000 SHS FNMA 3138E14P0 5% 060139			2013-09-30
21000 SHS FNMA 3138E14P0 5% 060139			2013-10-31
21000 SHS FNMA 3138E14P0 5% 060139			2013-11-30
21000 SHS FNMA 3138E14P0 5% 060139			2013-12-31
15000 SHS FNMA 3138EKKU8 5% 070137			2013-04-30

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15000 SHS FNMA 3138EKKU8 5% 070137			2013-05-31
15000 SHS FNMA 3138EKKU8 5% 070137			2013-06-30
15000 SHS FNMA 3138EKKU8 5% 070137			2013-07-31
3000 SHS FNMA 3138EKYV1 5% 050140			2013-06-30
3000 SHS FNMA 3138EKYV1 5% 050140			2013-07-31
3000 SHS FNMA 3138MRLL3 3 5% 010143			2013-03-31
3000 SHS FNMA 3138MRLL3 3 5% 010143			2013-04-30
3000 SHS FNMA 3138MRLL3 3 5% 010143			2013-05-31
3000 SHS FNMA 3138MRLL3 3 5% 010143			2013-06-30
3000 SHS FNMA 3138MRLL3 3 5% 010143			2013-07-31
3000 SHS FNMA 3138MRLL3 3 5% 010143			2013-08-31
3000 SHS FNMA 3138MRLL3 3 5% 010143			2013-09-30
3000 SHS FNMA 3138MRLL3 3 5% 010143			2013-10-31
3000 SHS FNMA 3138MRLL3 3 5% 010143			2013-11-30
3000 SHS FNMA 3138MRLL3 3 5% 010143			2013-12-31
1000 SHS FNMA 3138MTG76 4% 010143			2013-12-31
2000 SHS FNMA 3138M7HR9 3 5% 090142			2013-07-31
2000 SHS FNMA 3138M7HR9 3 5% 090142			2013-08-31
2000 SHS FNMA 3138M7HR9 3 5% 090142			2013-09-30
2000 SHS FNMA 3138M7HR9 3 5% 090142			2013-10-31
2000 SHS FNMA 3138M7HR9 3 5% 090142			2013-11-30
2000 SHS FNMA 3138M7HR9 3 5% 090142			2013-12-31
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-02-28
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-03-31
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-04-30
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-05-31
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-06-30
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-07-31
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-08-31
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-09-30

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-10-31
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-11-30
2000 SHS FNMA 3138NY2R5 3 5 020143			2013-12-31
2000 SHS FNMA 3138WMFX4 3% 030143			2013-12-31
5000 SHS FNMA 3138WUAG8 4% 060143			2013-12-31
5000 SHS FNMA 3138W0VH9 3 5% 020143			2013-11-30
5000 SHS FNMA 3138W0VH9 3 5% 020143			2013-12-31
3000 SHS FNMA 3138W8AC6 3 5% 040143			2013-08-31
3000 SHS FNMA 3138W8AC6 3 5% 040143			2013-09-30
3000 SHS FNMA 3138W8AC6 3 5% 040143			2013-10-31
3000 SHS FNMA 3138W8AC6 3 5% 040143			2013-11-30
3000 SHS FNMA 3138W8AC6 3 5% 040143			2013-12-31
2000 SHS FNMA 3138W9GM6 3% 080143			2013-12-31
3000 SHS FNMA 3138W9N97 4% 090143			2013-09-30
3000 SHS FNMA 3138W9N97 4% 090143			2013-10-31
3000 SHS FNMA 3138W9N97 4% 090143			2013-11-30
3000 SHS FNMA 3138W9N97 4% 090143			2013-12-31
2000 SHS FNMA 3138X2HK3 3 5% 090143			2013-09-30
2000 SHS FNMA 3138X2HK3 3 5% 090144			2013-10-31
2000 SHS FNMA 3138X2HK3 3 5% 090145			2013-11-30
2000 SHS FNMA 3138X2HK3 3 5% 090146			2013-12-31
4000 SHS FNMA 3138X3EZ1 4% 080143			2013-10-30
4000 SHS FNMA 3138X3EZ1 4% 080143			2013-11-30
4000 SHS FNMA 3138X3EZ1 4% 080143			2013-12-31
2000 SHS FNMA 3138X7DK6 4% 080143			2013-08-31
2000 SHS FNMA 3138X7DK6 4% 080143			2013-09-30
2000 SHS FNMA 3138X7DK6 4% 080143			2013-10-31
2000 SHS FNMA 3138X7DK6 4% 080143			2013-11-30
2000 SHS FNMA 3138X7DK6 4% 080143			2013-12-31
29000 SHS FNMA 31402RAB5 5% 040135			2013-07-31

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
69000 SHS FNMA 31402RRN1 5% 100135			2013-01-31
69000 SHS FNMA 31402RRN1 5% 100135			2013-02-28
69000 SHS FNMA 31402RRN1 5% 100135			2013-03-31
69000 SHS FNMA 31402RRN1 5% 100135			2013-04-30
69000 SHS FNMA 31402RRN1 5% 100135			2013-05-31
69000 SHS FNMA 31402RRN1 5% 100135			2013-06-30
69000 SHS FNMA 31402RRN1 5% 100135			2013-07-31
14000 SHS FNMA 31403C6L0 5% 020136			2013-02-28
14000 SHS FNMA 31403C6L0 5% 020136			2013-03-31
14000 SHS FNMA 31403C6L0 5% 020136			2013-04-30
14000 SHS FNMA 31403C6L0 5% 020136			2013-05-31
14000 SHS FNMA 31403C6L0 5% 020136			2013-06-30
14000 SHS FNMA 31403C6L0 5% 020136			2013-07-31
10000 SHS FNMA 31403DJZ3 5% 060136			2013-09-30
10000 SHS FNMA 31403DJZ3 5% 060137			2013-10-31
10000 SHS FNMA 31403DJZ3 5% 060138			2013-11-30
10000 SHS FNMA 31403DJZ3 5% 060139			2013-12-31
2000 SHS FNMA 31407MZX6 5% 080135			2013-01-31
2000 SHS FNMA 31407MZX6 5% 080135			2013-02-28
2000 SHS FNMA 31407MZX6 5% 080135			2013-03-31
2000 SHS FNMA 31407MZX6 5% 080135			2013-04-30
2000 SHS FNMA 31407MZX6 5% 080135			2013-05-31
2000 SHS FNMA 31407MZX6 5% 080135			2013-06-30
2000 SHS FNMA 31407MZX6 5% 080135			2013-07-31
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-01-31
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-02-28
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-03-31
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-04-30
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-05-31
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-06-30

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(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-07-31
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-08-31
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-09-30
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-10-31
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-11-30
55000 SHS FNMA 31416WRK0 4 5% 080140			2013-12-31
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-01-31
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-02-28
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-03-31
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-04-30
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-05-31
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-06-30
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-07-31
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-08-31
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-09-30
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-10-31
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-11-30
29000 SHS FNMA 31416YVG0 4 5% 070141			2013-12-31
20000 SHS FNMA 31417BA64 4% 020142			2013-01-31
20000 SHS FNMA 31417BA64 4% 020142			2013-02-28
20000 SHS FNMA 31417BA64 4% 020142			2013-03-31
20000 SHS FNMA 31417BA64 4% 020142			2013-04-30
20000 SHS FNMA 31417BA64 4% 020142			2013-05-31
20000 SHS FNMA 31417BA64 4% 020142			2013-06-30
20000 SHS FNMA 31417BA64 4% 020142			2013-07-31
20000 SHS FNMA 31417BA64 4% 020142			2013-08-31
20000 SHS FNMA 31417BA64 4% 020142			2013-09-30
20000 SHS FNMA 31417BA64 4% 020142			2013-10-31
20000 SHS FNMA 31417BA64 4% 020142			2013-11-30
20000 SHS FNMA 31417BA64 4% 020142			2013-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-01-31
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-02-28
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-03-31
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-04-30
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-05-31
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-06-30
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-07-31
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-08-31
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-09-30
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-10-31
74000 SHS FNMA 31417CJQ9 3 5% 070142			2013-11-30
74000 SHS FNMA 31417D2M4 3% 110142			2013-12-31
49000 SHS FNMA 31417D2M4 3% 110142			2013-01-31
49000 SHS FNMA 31417D2M4 3% 110142			2013-02-28
49000 SHS FNMA 31417D2M4 3% 110142			2013-03-31
49000 SHS FNMA 31417D2M4 3% 110142			2013-04-30
49000 SHS FNMA 31417D2M4 3% 110142			2013-05-31
49000 SHS FNMA 31417D2M4 3% 110142			2013-06-30
49000 SHS FNMA 31417D2M4 3% 110142			2013-07-31
49000 SHS FNMA 31417D2M4 3% 110142			2013-08-31
49000 SHS FNMA 31417D2M4 3% 110142			2013-09-30
49000 SHS FNMA 31417D2M4 3% 110142			2013-10-31
49000 SHS FNMA 31417D2M4 3% 110142			2013-11-30
49000 SHS FNMA 31417D2M4 3% 110142			2013-12-31
209 SHS AGG - ISHARES CORE TOT US ETF		2012-01-31	2013-01-08
212 SHS AGG - ISHARES CORE TOT US ETF		2012-01-31	2013-09-11
2 SHS AGG - ISHARES CORE TOT US ETF		2012-02-02	2013-09-11
1 SHS AGG - ISHARES CORE TOT US ETF		2012-03-09	2013-09-11
4 SHS AGG - ISHARES CORE TOT US ETF		2012-03-15	2013-09-11
2 SHS AGG - ISHARES CORE TOT US ETF		2012-04-04	2013-09-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 SHS AGG - ISHARES CORE TOT US ETF		2012-04-12	2013-09-11
3 SHS AGG - ISHARES CORE TOT US ETF		2012-04-27	2013-09-11
1 SHS AGG - ISHARES CORE TOT US ETF		2012-04-30	2013-09-11
320 SHS BCM - IPATH PURE BETA BRD ETN		2012-09-24	2013-02-06
184 SHS BLNG - IPATH PURE BETA PREC ETN		2012-09-24	2013-01-08
591 SHS CEW - WISDOMTREE EMERGING ETF		2013-01-08	2013-06-13
224 SHS EEM - ISHARES TR MSCI EMG ETF		2013-01-08	2013-06-13
298 SHS EEM - ISHARES TR MSCI EMG ETF		2013-02-06	2013-06-13
88 SHS FXL - FIRST TRUST TECHNLGY ETF		2012-04-20	2013-09-11
29 SHS FXL - FIRST TRUST TECHNLGY ETF		2012-04-25	2013-09-11
28 SHS FXL - FIRST TRUST TECHNLGY ETF		2012-04-30	2013-09-11
243 SHS GSY - GUGGENHEIM ENH SHORT ETF		2012-02-09	2013-02-06
1 SHS GSY - GUGGENHEIM ENH SHORT ETF		2012-04-30	2013-02-06
132 SHS HYG - ISHARES IBOX \$ YLD ETF		2012-01-31	2013-06-13
44 SHS IGOV - ISHARES S&P/CITIGRP ETF		2013-01-08	2013-02-06
47 SHS IGOV - ISHARES S&P/CITIGRP ETF		2013-01-08	2013-05-07
102 SHS IGOV - ISHS INTL TREAS BD ETF		2013-01-08	2013-07-10
101 SHS IGOV - ISHS INTL TREAS BD ETF		2013-06-13	2013-07-10
78 SHS ITOT - ISHARES CORE S&P TOT ETF		2012-11-08	2013-11-26
80 SHS IVV - ISHARES CORE S&P 500 ETF		2012-10-09	2013-01-08
74 SHS IVV - ISHARES CORE S&P 500 ETF		2012-10-18	2013-01-08
83 SHS IYF - ISHARES DOW JNS US FINCL		2012-10-09	2013-04-10
81 SHS IYF - ISHARES DOW JNS US FINCL		2012-10-18	2013-04-10
62 SHS IYF - ISHARES DOW JNS US FINCL		2012-10-31	2013-04-10
23 SHS IYF - ISHARES DOW JNS US FINCL		2012-10-31	2013-05-07
168 SHS IYF - ISHARES DOW JNS US FINCL		2012-11-08	2013-05-07
155 SHS IYR - ISHARES DW JNS US RL ETF		2012-04-20	2013-06-13
63 SHS IYR - ISHARES DW JNS US RL ETF		2012-04-30	2013-06-13
86 SHS IYR - ISHS U S REAL ESTATE ETF		2012-04-30	2013-07-10
8 SHS IYR - ISHS U S REAL ESTATE ETF		2012-05-18	2013-07-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
137 SHS IYR - ISHS U S REAL ESTATE ETF		2013-03-13	2013-07-10
856 SHS JJE - IPATH DJ UBS ENR T/R ETN		2013-02-06	2013-05-07
288 SHS JJM - IPATH DJ UBS IND T/R ETN		2013-01-08	2013-03-13
136 SHS JJM - IPATH DJ UBS IND T/R ETN		2013-02-06	2013-03-13
106 SHS LQD - ISHARES IBOXX&INVT ETF		2012-01-31	2013-07-10
1 SHS LQD - ISHARES IBOXX&INVT ETF		2012-03-15	2013-07-10
1 SHS LQD - ISHARES IBOXX&INVT ETF		2012-04-04	2013-07-10
106 SHS LQD - ISHARES IBOXX&INVT ETF		2013-05-07	2013-07-10
108 SHS LQD - ISHARES INV GR BOND ETF		2012-01-31	2013-01-08
100 SHS LQD - ISHARES INV GR BOND ETF		2012-01-31	2013-03-13
133 SHS PCY - PWRSHS EM MAR SOV DE ETF		2013-01-08	2013-02-06
505 SHS PCY - PWRSHS EM MAR SOV DE ETF		2013-01-08	2013-05-07
249 SHS QQQ - POWERSHARES QQQ SER1 ETF		2013-06-13	2013-11-13
81 SHS SHY - ISHRS 1-3YR TREAS BD ETF		2012-01-31	2013-11-26
80 SHS VAW - VANGUARD MATERIALS ETF		2012-10-09	2013-04-10
40 SHS VAW - VANGUARD MATERIALS ETF		2012-10-18	2013-04-10
38 SHS VAW - VANGUARD MATERIALS ETF		2012-10-18	2013-05-07
81 SHS VAW - VANGUARD MATERIALS ETF		2012-10-31	2013-05-07
138 SHS XLE - ENERGY SECTOR SPDR ETF		2012-04-20	2013-04-10
1 SHS XLE - ENERGY SECTOR SPDR ETF		2012-04-25	2013-04-10
22 SHS XLE - ENERGY SECTOR SPDR ETF		2012-04-30	2013-04-10
114 SHS XLE - ENERGY SECTOR SPDR ETF		2012-04-30	2013-05-07
1 SHS XLE - ENERGY SECTOR SPDR ETF		2012-05-03	2013-05-07
7 SHS XLE - ENERGY SECTOR SPDR ETF		2012-05-08	2013-05-07
10 SHS XLE - ENERGY SECTOR SPDR ETF		2012-05-18	2013-05-07
178 SHS XLI - INDUSTRIAL SECTOR SP ETF		2012-10-09	2013-04-10
108 SHS XLI - INDUSTRIAL SECTOR SP ETF		2012-10-18	2013-04-10
73 SHS XLI - INDUSTRIAL SECTOR SP ETF		2012-10-18	2013-05-07
178 SHS XLI - INDUSTRIAL SECTOR SP ETF		2012-10-31	2013-05-07
293 SHS XLY - CONSUMR DISC SECTOR ETF		2012-01-31	2013-01-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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724 SHS SSRI - SILVER STANDARD RES INC		2011-06-13	2013-05-20
417 SHS SSRI - SILVER STANDARD RES INC		2011-11-16	2013-05-20
81 SHS STO - STATOIL ASA		2013-07-09	2013-12-27
131 SHS SWN - SOWSTN ENERGY CO		2012-07-13	2013-05-21
50 SHS SWN - SOWSTN ENERGY CO		2012-07-13	2013-06-24
52 SHS SWN - SOWSTN ENERGY CO		2012-07-13	2013-12-27
332 SHS TCK - TECK RESOURCES LTD CL B		2012-12-13	2013-05-24
29 SHS TOT - TOTAL S A SPONS ADR		2013-05-29	2013-06-24
46 SHS TOT - TOTAL S A SPONS ADR		2013-05-29	2013-12-27
2628 SHS UEC - URANIUM ENERGY CORP		2012-07-13	2013-07-15
614 SHS VALE - VALE S A ADR		2012-12-13	2013-05-24
17 SHS WLL - WHITING PETRO CORP		2013-11-06	2013-12-27
318 SHS WLT - WALTER ENERGY INC		2012-12-13	2013-08-21
161 SHS WY - WEYERHAEUSER CO		2012-12-28	2013-05-21
111 SHS WY - WEYERHAEUSER CO		2012-12-28	2013-12-27
99 SHS XEC - CIMAREX ENERGY CO		2012-07-13	2013-05-21
29 SHS XEC - CIMAREX ENERGY CO		2012-07-13	2013-06-24
97 SHS XEC - CIMAREX ENERGY CO		2012-07-13	2013-11-06
15 SHS XEC - CIMAREX ENERGY CO		2012-07-13	2013-12-27
38 SHS XOM - EXXON MOBIL CORP		2011-10-05	2013-06-24
87 SHS XOM - EXXON MOBIL CORP		2011-10-05	2013-11-06
643 SHS AAUKY - ANGLO AMERICAN PLC ADR		2011-11-17	2013-07-15
171 SHS AAUKY - ANGLO AMERICAN PLC ADR		2013-05-21	2013-07-15
73 SHS ABX - BARRICK GOLD CORP		2013-06-14	2013-12-27
584 SHS AG - FIRST MAJESTIC SILVER		2012-12-28	2013-05-20
17 SHS AGU - AGRIMUM INC		2013-05-20	2013-06-24
13 SHS AGU - AGRIMUM INC		2013-05-20	2013-12-27
412 SHS ANV - ALLIED NEVADA GOLD CORP		2012-04-05	2013-05-20
34 SHS BHP - BHP BILLITON LTD		2010-01-22	2013-06-24
4 SHS BHP - BHP BILLITON LTD		2010-01-22	2013-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

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23 SHS BHP - BHP BILLITON LTD		2011-08-12	2013-12-27
86 SHS BP - BP PLC SPONS ADR		2011-12-29	2013-06-24
186 SHS BP - BP PLC SPONS ADR		2011-12-29	2013-11-06
300 SHS BTU - PEABODY ENERGY CORP		2010-12-09	2013-11-06
192 SHS BTU - PEABODY ENERGY CORP		2012-07-16	2013-11-06
154 SHS CCJ - CAMECO CORP		2012-04-05	2013-05-21
66 SHS CCJ - CAMECO CORP		2012-04-05	2013-06-24
382 SHS CCJ - CAMECO CORP		2012-04-05	2013-08-21
11 SHS CLR - CONTINENTAL RESOURCES IN		2013-11-06	2013-12-27
43 SHS COP - CONOCOPHILLIPS		2011-08-12	2013-06-24
40 SHS COP - CONOCOPHILLIPS		2011-08-12	2013-12-27
20 SHS CVX - CHEVRON CORPORATION		2013-05-24	2013-06-24
27 SHS CVX - CHEVRON CORPORATION		2013-05-24	2013-11-06
14 SHS CVX - CHEVRON CORPORATION		2013-05-24	2013-12-27
14 SHS CXO - CONCHO RESOURCES INC		2013-11-06	2013-12-27
26 SHS DEL - DELTIC TIMBER CORP		2012-04-05	2013-06-24
63 SHS DEL - DELTIC TIMBER CORP		2012-04-05	2013-11-06
58 SHS DEL - DELTIC TIMBER CORP		2013-05-24	2013-11-06
64 SHS E - ENI S P A		2013-05-31	2013-12-27
7 SHS EOG - EOG RESOURCES INC		2013-11-26	2013-12-27
64 SHS EXXI - ENERGY XXI BERMUDA LTD		2013-05-24	2013-06-24
57 SHS EXXI - ENERGY XXI BERMUDA LTD		2013-05-24	2013-12-27
112 SHS FCX - FREEPORT-MCMORAN COPPER		2013-05-20	2013-12-27
26 SHS FNV - FRANCO-NEVADA CORP		2012-12-28	2013-12-27
0 1 SHS FQVLF - FIRST QUANTUM MINERALS F		2010-12-09	2013-05-09
411 SHS FQVLF - FIRST QUANTUM MINERALS F		2010-12-09	2013-05-24
558 SHS FQVLF - FIRST QUANTUM MINERALS F		2012-12-13	2013-05-24
1 SHS FQVLF - FIRST QUANTUM MINERALS F		2010-12-09	2013-06-24
66 SHS GLD - SPDR GOLD TRUST ETF		2010-12-09	2013-05-24
0 35 SHS GULTU - GULF COAST ULTRA DEEP		2013-06-04	2013-06-04

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1328 SHS GULTU - GULF COAST ULTRA DEEP		2013-06-04	2013-06-24
21 SHS HES - HESS CORPORATION		2013-11-06	2013-12-27
421 SHS IAU - ISHARES GOLD TRUST ETF		2011-06-14	2013-05-24
3523 SHS IAU - ISHARES GOLD TRUST ETF		2011-10-04	2013-05-24
48 SHS IMO - IMPERIAL OIL LTD NEW		2013-05-24	2013-06-24
206 SHS IMO - IMPERIAL OIL LTD NEW		2013-05-24	2013-11-26
250 SHS INMET MINING CORP		2010-12-09	2013-05-09
9 SHS MCF - CONTANGO OIL & GAS		2010-06-15	2013-06-24
50 SHS MCF - CONTANGO OIL & GAS		2011-03-18	2013-06-24
70 SHS MCF - CONTANGO OIL & GAS		2011-03-18	2013-12-27
1469 SHS MCMORAN EXPL CO		2013-05-29	2013-06-04
22 SHS MOS - MOSAIC COMPANY		2013-05-20	2013-06-24
53 SHS MOS - MOSAIC COMPANY		2013-05-20	2013-12-27
483 SHS MSB - MESABI TRUST CBI		2012-12-13	2013-05-17
65 SHS MUR - MURPHY OIL CORP		2010-12-09	2013-05-21
26 SHS MUR - MURPHY OIL CORP		2010-12-09	2013-06-24
16 SHS MUR - MURPHY OIL CORP		2010-12-09	2013-12-27
32 SHS MUSA - MURPHY USA INC		2010-12-09	2013-11-06
289 SHS NEM - NEWMONT MINING CORP NEW		2013-06-14	2013-11-06
198 SHS NGD - NEW GOLD INC		2012-05-22	2013-06-24
22 SHS OXY - OCCIDENTAL PETE CORP		2013-05-24	2013-06-24
11 SHS OXY - OCCIDENTAL PETE CORP		2013-05-24	2013-12-27
37 SHS PALL - ETFS PALLADIUM TRUST ETF		2012-12-13	2013-05-21
17 SHS PALL - ETFS PALLADIUM TRUST ETF		2012-12-13	2013-06-24
16 SHS PALL - ETFS PALLADIUM TRUST ETF		2012-12-13	2013-12-27
101 SHS PCH - POTLATCH HLDGS INC		2012-12-28	2013-05-21
42 SHS PCL - PLUM CRK TMBR CO		2012-04-05	2013-12-27
38 SHS POT - POTASH CORP OF SASK INC		2013-05-24	2013-06-24
75 SHS POT - POTASH CORP OF SASK INC		2013-05-24	2013-12-27
15 SHS PPLT - ETFS PLATINUM TRUST ETF		2013-05-24	2013-06-24

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43 SHS PXD - PIONEER NAT RES CO		2012-05-30	2013-05-21
17 SHS PXD - PIONEER NAT RES CO		2012-05-30	2013-06-24
15 SHS PXD - PIONEER NAT RES CO		2012-05-30	2013-12-27
3996 SHS RBY - RUBICON MINERALS CORP		2012-05-22	2013-05-20
24 SHS RDS'A - ROYAL DUTCH SHL ADR CL A		2013-05-24	2013-06-24
20 SHS RDS'A - ROYAL DUTCH SHL ADR CL A		2013-05-24	2013-12-27
131 SHS REPY Y - REPSOL YPF S A		2013-05-30	2013-12-27
30 SHS RRC - RANGE RESOURCES CORP		2013-11-06	2013-12-27
73 SHS RYN - RAYONIER INC		2011-12-30	2013-05-21
26 SHS RYN - RAYONIER INC		2011-12-30	2013-12-27
306 SHS SCCO - SOUTHERN COPPER CORP		2012-12-13	2013-05-24
115 SHS SLV - I SHARES SILVER TR ETF		2011-06-16	2013-05-24
168 SHS SLV - I SHARES SILVER TR ETF		2011-08-12	2013-05-24
450 SHS SLV - I SHARES SILVER TR ETF		2011-10-05	2013-05-24
57 SHS SLW - SILVER WHEATON CORP		2010-12-09	2013-12-27
30 SHS SM - SM ENERGY CO COM		2013-05-24	2013-06-24
32 SHS SM - SM ENERGY CO COM		2013-05-24	2013-11-06
50000 SHS ALCOA INC 5 4% 041521		2012-06-28	2013-06-17
10000 SHS ALCOA INC 5 4% 041521		2012-12-26	2013-06-17
50000 SHS FHLMC 4 5% 1/15/14		2009-03-05	2013-12-31
50000 SHS REYNOLDS 3 25% 110122		2012-11-07	2013-07-10
25000 SHS TIME WARNER 5% 02/01/20		2011-06-22	2013-07-08
20000 SHS TIME WARNER 5% 02/01/20		2012-12-26	2013-07-08
43000 SHS UNITED TECHS 3 1% 060122		2012-06-20	2013-10-24
50000 SHS WALGREEN 5 25% 01/15/19		2010-01-21	2013-03-18
78 SHS A - AGILENT TECH INC		2010-12-09	2013-12-16
26 SHS A - AGILENT TECH INC		2013-04-19	2013-12-16
443 SHS ABBV - ABBVIE INC		2011-10-26	2013-12-16
23 SHS ABBV - ABBVIE INC		2012-07-31	2013-12-16
443 SHS ABT - ABBOTT LABORATORIES		2011-10-26	2013-12-16

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23 SHS ABT - ABBOTT LABORATORIES		2012-07-31	2013-12-16
462 SHS AFL - AFLAC INC		2013-05-03	2013-12-16
300 SHS AGN - ALLERGAN INC		2009-12-31	2013-12-16
374 SHS AMGN - AMGEN INC		2011-11-22	2013-12-16
237 SHS APC - ANADARKO PETROLEUM CORP		2013-03-25	2013-12-16
277 SHS BBBY - BED BATH & BEYOND INC		2013-07-11	2013-12-16
222 SHS CAT - CATERPILLAR INC		2013-05-09	2013-11-18
105 SHS CELG - CELGENE CORP		2009-09-24	2013-12-16
23 SHS CELG - CELGENE CORP		2009-12-31	2013-12-16
139 SHS CELG - CELGENE CORP		2010-07-21	2013-12-16
9 SHS CELG - CELGENE CORP		2010-08-13	2013-12-16
227 SHS CERN - CERNER CORP		2013-08-08	2013-12-16
145 SHS CLR - CONTINENTAL RESOURCES IN		2010-12-17	2013-12-16
1 SHS CLR - CONTINENTAL RESOURCES IN		2012-07-31	2013-12-16
701 SHS CSCO - CISCO SYS INC		2011-09-19	2013-11-25
661 SHS CSCO - CISCO SYS INC		2011-09-19	2013-12-16
122 SHS CSCO - CISCO SYS INC		2012-07-31	2013-12-16
347 SHS CVS - CVS CAREMARK CORP		2013-11-25	2013-12-16
474 SHS DIS - DISNEY WALT COMPANY		2012-06-13	2013-12-16
16 SHS DIS - DISNEY WALT COMPANY		2012-07-31	2013-12-16
340 SHS DOV - DOVER CORP COMMON		2010-12-09	2013-12-16
246 SHS DPS - DR PEPPER SNAPPLE GROUP		2010-06-24	2013-10-21
22 SHS DPS - DR PEPPER SNAPPLE GROUP		2010-08-13	2013-10-21
119 SHS ECL - ECOLAB INC		2013-05-03	2013-12-16
58 SHS ECL - ECOLAB INC		2013-05-09	2013-12-16
1054 SHS EMC - EMC CORP		2010-07-22	2013-05-08
14 SHS EMC - EMC CORP		2010-08-13	2013-05-08
141 SHS FCX - FREEPORT-MCMORAN COPPER		2010-12-09	2013-12-16
367 SHS FCX - FRPRT-MCMRN CPPR & GLD B		2010-12-09	2013-04-19
329 SHS FDO - FAMILY DOLLAR STORES INC		2013-02-01	2013-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
430 SHS FISV - FISERV INC		2007-03-27	2013-01-15
538 SHS FOXA - TWENTY-FIRST CENTY A		2013-07-26	2013-12-16
652 SHS GE - GENERAL ELECTRIC COMPANY		2013-10-25	2013-12-16
339 SHS GNC - GNC HOLDINGS INC		2012-09-06	2013-12-16
293 SHS HD - HOME DEPOT INC		2010-04-01	2013-12-16
1 SHS HD - HOME DEPOT INC		2010-04-12	2013-12-16
528 SHS HEINZ H J CO COMMON		2012-04-24	2013-05-08
18 SHS HEINZ H J CO COMMON		2012-07-31	2013-05-08
282 SHS HON - HONEYWELL INTERNATIONAL		2010-12-09	2013-12-16
166 SHS IBM - INTL BUSINESS MACH CORP		2010-12-09	2013-12-16
842 SHS INTC - INTEL CORP		2009-12-31	2013-12-16
762 SHS KO - COCA COLA COMPANY		2011-08-25	2013-12-16
58 SHS KO - COCA COLA COMPANY		2012-07-31	2013-12-16
1447 SHS MAS - MASCO CORP		2012-09-21	2013-12-16
182 SHS MMM - 3M CO		2010-04-01	2013-12-16
677 SHS MRK - MERCK & CO INC NEW		2013-02-25	2013-12-16
730 SHS MSFT - MICROSOFT CORP		2012-02-13	2013-12-16
26 SHS MSFT - MICROSOFT CORP		2012-07-31	2013-12-16
377 SHS MSFT - MICROSOFT CORP		2013-11-08	2013-12-16
350 SHS NVDA - NVIDIA CORP		2011-06-27	2013-11-08
422 SHS NVDA - NVIDIA CORP		2011-06-27	2013-12-16
228 SHS OII - OCEANEERING INTL INC		2010-12-17	2013-12-16
92 SHS OXY - OCCIDENTAL PETE CORP		2009-12-31	2013-03-25
1144 SHS PFE - PFIZER INCORPORATED		2012-09-21	2013-12-16
260 SHS PG - PROCTER & GAMBLE CO		2008-11-05	2013-12-16
178 SHS PII - POLARIS INDS INC		2012-07-17	2013-12-16
8 SHS PII - POLARIS INDS INC		2012-07-31	2013-12-16
402 SHS PM - PHILIP MORRIS INTL INC		2010-12-09	2013-12-16
55 SHS PNRA - PANERA BREAD COMPANY		2013-05-09	2013-08-02
361 SHS QCOM - QUALCOMM INC		2012-11-21	2013-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
494 SHS SBH - SALLY BEAUTY CO INC		2012-08-28	2013-08-14
216 SHS SLB - SCHLUMBERGER LTD		2010-11-04	2013-12-16
183 SHS TEVA - TEVA PHARMACEUTICAL ADR		2010-06-24	2013-02-25
67 SHS TEVA - TEVA PHARMACEUTICAL ADR		2010-07-21	2013-02-25
34 SHS TEVA - TEVA PHARMACEUTICAL ADR		2010-08-13	2013-02-25
340 SHS TGT - TARGET CORP		2007-03-27	2013-09-04
226 SHS TSCO - TRACTOR SUPPLY COMPANY		2012-07-20	2013-12-16
14 SHS TSCO - TRACTOR SUPPLY COMPANY		2012-07-31	2013-12-16
272 SHS UTX - UNITED TECHNOLOGIES CORP		2009-12-31	2013-12-16
110 SHS VFC - VF CORPORATION		2013-09-05	2013-12-16
614 SHS VZ - VERIZON COMMUNICATIONS		2010-12-09	2013-12-16
869 SHS WFC - WELLS FARGO COMPANY		2012-03-02	2013-12-16
37 SHS WFC - WELLS FARGO COMPANY		2012-07-31	2013-12-16
223 SHS XOM - EXXON MOBIL CORP		2010-07-26	2013-12-16
14 SHS XOM - EXXON MOBIL CORP		2010-08-13	2013-12-16
233 SHS XOM - EXXON MOBIL CORP		2010-12-17	2013-12-16
580 SHS YUM - YUM BRANDS INC		2010-12-09	2013-05-01
Capital Gains Dividends	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,006		3,808	198
7,358		6,767	591
2,125		1,985	140
3,265		3,087	178
2,125		2,226	-101
1,088		1,124	-36
8,058		6,869	1,189
5,863		7,178	-1,315
6,746		7,544	-798
8,019		4,834	3,185
4,831		6,864	-2,033
7,490		4,862	2,628
4,494		3,045	1,449
2,621		2,453	168
3,121		3,004	117
6,108		6,888	-780
4,137		3,665	472
4,557		7,161	-2,604
3,270		3,491	-221
1,616		1,831	-215
6,658		6,767	-109
1,906		1,454	452
7,780		6,089	1,691
3,501		2,979	522
2,134		1,761	373
3,480		2,862	618
3,078		2,742	336
7,350		6,739	611
5,166		4,218	948
7,986		8,279	-293

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,937		5,902	35
8,602		8,465	137
2,889		2,060	829
4,684		3,490	1,194
4,193		4,774	-581
12,976		8,985	3,991
5,761		4,555	1,206
10,791		8,532	2,259
6,047		4,816	1,231
3,879		2,289	1,590
2,510		1,409	1,101
4,512		3,434	1,078
2,350		1,741	609
2,632		1,878	754
6,630		4,798	1,832
2,567		2,053	514
400		320	80
6,003		4,853	1,150
1,734		1,434	300
6,250		7,461	-1,211
1,013		1,037	-24
7,007		4,805	2,202
6,943		6,109	834
1,860		1,865	-5
5,278		4,491	787
1,902		1,716	186
6,142		8,249	-2,107
10,810		7,533	3,277
16,387		9,894	6,493
3,102		2,813	289

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,640		2,851	-211
6,139		6,045	94
6,942		7,022	-80
7,568		6,143	1,425
6,823		4,545	2,278
1,985		1,572	413
8,178		7,734	444
5,972		5,777	195
6			6
6,469		5,028	1,441
7,144		6,478	666
4,522		2,349	2,173
1,351		728	623
4,660		2,861	1,799
4,274		4,777	-503
27			27
4,877		4,163	714
3,211		2,917	294
2,707		2,980	-273
5,332		6,486	-1,154
7,142		6,317	825
2,198		1,824	374
6,317		6,900	-583
895		1,519	-624
3,093		4,585	-1,492
3,313		4,884	-1,571
6,831		7,078	-247
3,679		4,120	-441
8,695		7,041	1,654
3,416		2,653	763

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,006		2,083	-77
6,363		6,705	-342
1,141		881	260
1,849		1,451	398
8,883		6,604	2,279
6,052		6,212	-160
2,343		2,356	-13
2,259		2,112	147
2,873		2,610	263
1,841		1,694	147
3,277		3,360	-83
3,430		3,503	-73
4,440		5,184	-744
1,434		1,681	-247
17,409		11,719	5,690
8,106		6,860	1,246
7,880		7,276	604
4,513		4,775	-262
1,564		1,426	138
1,945		1,773	172
1,414		1,015	399
5,528		6,860	-1,332
2,262		2,258	4
4,425		4,281	144
3,150		3,188	-38
888		720	168
1,940		1,576	364
4,898		4,120	778
1,666		1,402	264
3,413		3,449	-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,794		1,897	-103
5,428		6,882	-1,454
1,292		1,689	-397
1,241		1,606	-365
1,034		1,339	-305
1,448		1,867	-419
19,144		14,670	4,474
2,523		1,913	610
10,283		7,866	2,417
5,827		4,277	1,550
4,992		6,533	-1,541
15,174		11,947	3,227
3,120		2,892	228
3,765		2,375	1,390
6,168		3,895	2,273
2,711		2,019	692
6,952		6,831	121
4,495		10,616	-6,121
619		1,573	-954
1,242		1,165	77
5,990		5,626	364
6,332		5,351	981
1,508		1,399	109
1,034		976	58
12,824		8,492	4,332
1,557		1,398	159
10,965		9,040	1,925
7,905		5,862	2,043
12,769		8,739	4,030
2,062		1,905	157

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,029		8,102	927
155		184	-29
4,779		5,762	-983
2,036		2,790	-754
3,126		3,786	-660
11,743		4,815	6,928
2,405		2,240	165
5,642		4,551	1,091
441		372	69
3,548		2,963	585
26,548		16,233	10,315
5,048		6,638	-1,590
9,642		5,512	4,130
6,912		5,739	1,173
8,189		4,765	3,424
10,081		7,443	2,638
14,095		10,517	3,578
5,039		4,436	603
10,610		10,399	211
4,822		4,384	438
4,871		4,809	62
14,093		10,389	3,704
1,389		1,187	202
2,408		1,761	647
6,805		2,391	4,414
7,376		2,751	4,625
15,342		12,384	2,958
1,096		1,268	-172
5,324		6,182	-858
4,968		8,877	-3,909

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,437		2,580	-1,143
736		725	11
9,725		8,583	1,142
5,063		4,175	888
14,662		11,984	2,678
175		144	31
20,883		14,438	6,445
498		560	-62
16,279		15,939	340
4,641		4,498	143
13,318		13,434	-116
261		213	48
15,203		13,677	1,526
7,035		6,177	858
6,775		5,839	936
3,335		3,260	75
427		323	104
23,631		17,650	5,981
11,593		11,464	129
4,821		3,425	1,396
6,446		4,235	2,211
3,383		1,806	1,577
11,665		6,048	5,617
678		414	264
6,075		3,151	2,924
6,835		4,093	2,742
4,177		2,622	1,555
128		111	17
15,404		11,390	4,014
14,790		9,508	5,282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,336		2,578	758
5,060		5,143	-83
400		398	2
6,208		5,575	633
10,840		9,192	1,648
6,300		5,476	824
8,296		7,050	1,246
289		200	89
11,745		6,564	5,181
8,456		4,535	3,921
7,974		6,267	1,707
5,119		3,646	1,473
11,199		8,016	3,183
10,719		8,127	2,592
346		243	103
12,678		7,917	4,761
7,785		5,153	2,632
15,560		12,626	2,934
228		208	20
14,512		11,546	2,966
471		429	42
18,874		17,442	1,432
15,393		13,716	1,677
1,153		906	247
1,284		928	356
2,292		1,749	543
2,842		2,128	714
18,703		17,121	1,582
14,705		12,841	1,864
8,650		7,655	995

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
16,366		11,259	5,107
16,649		11,994	4,655
221		127	94
3,393		2,147	1,246
3,593		2,632	961
2,196		1,687	509
16,857		17,198	-341
785		662	123
20,147		14,175	5,972
16,435		11,804	4,631
5,166		3,677	1,489
160		102	58
19,848		10,063	9,785
13,190		9,937	3,253
529		372	157
2,313		1,550	763
12,026		8,699	3,327
8,326		6,210	2,116
6,361		3,525	2,836
2,796		1,503	1,293
29,278		15,999	13,279
22,467		11,795	10,672
13,279		11,844	1,435
5,323		4,526	797
291		238	53
3,375		2,348	1,027
18,391		13,619	4,772
5,967		4,596	1,371
8,416		8,535	-119
105		102	3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,402		4,278	124
10,943		12,671	-1,728
7,065		8,901	-1,836
7,715		9,660	-1,945
14		10	4
10		7	3
875		581	294
630		401	229
574		472	102
35,351		24,870	10,481
8,184		5,950	2,234
972		713	259
13,937		10,435	3,502
25,016		23,108	1,908
25,724		10,243	15,481
567		431	136
14,515		9,912	4,603
6,241		4,516	1,725
16,632		16,362	270
361		437	-76
13,475		15,589	-2,114
5,098		5,361	-263
26,226		16,755	9,471
468		428	40
11,375		8,580	2,795
7,836		5,964	1,872
15,509		12,891	2,618
2,733		2,067	666
1,164		919	245
4,863		3,560	1,303

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,563		17,915	8,648
9,025		6,145	2,880
18,164		16,854	1,310
798		808	-10
8,383		8,690	-307
2,440		2,627	-187
10,323		11,766	-1,443
803		439	364
4,149		2,218	1,931
96		54	42
11,578		7,144	4,434
8,250		5,829	2,421
5,837		4,513	1,324
163		146	17
21,233		14,305	6,928
147		135	12
2,800		2,287	513
3,071		2,466	605
26,246		17,940	8,306
16,194		13,363	2,831
18,261		11,496	6,765
12,086		7,977	4,109
7,182		4,918	2,264
3,501		2,186	1,315
15,371		10,725	4,646
15,153		14,877	276
6,422		6,330	92
625		548	77
12,215		8,258	3,957
12,063		7,294	4,769

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
12,832		12,408	424
18,532		12,181	6,351
14,878		10,357	4,521
7,228		5,163	2,065
9,494		4,849	4,645
331		180	151
3,350		1,751	1,599
19,068		9,415	9,653
5,927		5,731	196
576		478	98
12,367		10,268	2,099
12,175		11,713	462
651		600	51
8,940		7,644	1,296
7,713		7,035	678
1,828		1,704	124
32,740		27,174	5,566
10,999		8,818	2,181
10,314		8,566	1,748
877		726	151
24,043		18,293	5,750
12,364		12,818	-454
8,803		9,317	-514
3,129		2,166	963
5,802		4,127	1,675
1,160		691	469
2,497		1,563	934
2,707		1,636	1,071
40,960		34,537	6,423
445		395	50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,206		8,693	4,513
16,808		11,375	5,433
16,635		16,592	43
304		254	50
5,730		3,855	1,875
10,555		6,335	4,220
101,632		101,516	116
20,824		21,473	-649
10,641		14,460	-3,819
20,746		24,850	-4,104
188		172	16
15,131		13,362	1,769
10,255		12,264	-2,009
2,256		2,425	-169
16,760		20,011	-3,251
126		134	-8
4,138		14,723	-10,585
2,378		8,261	-5,883
17,822		14,974	2,848
2,903		2,584	319
14,315		12,906	1,409
330		340	-10
7,397		8,072	-675
14,009		15,457	-1,448
231		373	-142
139		153	-14
14,440		9,812	4,628
14,734		14,707	27
140		147	-7
29,711		28,662	1,049

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,636		9,567	2,069
53		46	7
8,253		20,489	-12,236
59		104	-45
17,083		10,277	6,806
3,893		2,324	1,569
13,927		8,354	5,573
173		96	77
4,295		4,660	-365
4,589		3,347	1,242
3,108		2,175	933
3,312		3,148	164
1,232		2,187	-955
1,191		2,116	-925
4,493		6,308	-1,815
5,945		7,977	-2,032
211		284	-73
8,440		6,752	1,688
2			2
4,466		2,625	1,841
3,035		1,524	1,511
2,672		2,222	450
4,448		3,205	1,243
1,109		709	400
46,014		43,696	2,318
19,711		19,336	375
17,140		16,720	420
8,306		8,342	-36
7,515		7,594	-79
15,971		17,738	-1,767

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,922		25,149	-2,227
5,132		4,675	457
2,477		1,956	521
1,520		1,375	145
19,593		14,868	4,725
3,798		3,034	764
1,640		1,252	388
2,589		2,135	454
2,072		1,776	296
2,762		2,662	100
2,201		1,795	406
1,034		813	221
2,844		2,325	519
5,834		4,087	1,747
4,476		2,710	1,766
1,961		1,351	610
6,186		4,585	1,601
6,840		4,811	2,029
7,946		7,360	586
18,517		15,273	3,244
3,445		3,190	255
1,931		1,703	228
3,659		2,919	740
24,547		18,039	6,508
2,427		2,118	309
22,460		16,677	5,783
14,713		13,748	965
1,878		2,508	-630
5,438		7,229	-1,791
5,438		7,564	-2,126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,105		6,521	-1,416
1,332		1,447	-115
2,035		2,218	-183
3,008		4,385	-1,377
5,536		6,897	-1,361
25,149		25,199	-50
5,033		6,734	-1,701
5,479		7,371	-1,892
1,541		1,990	-449
6,928		5,788	1,140
9,201		9,662	-461
9,438		9,634	-196
6,413		6,421	-8
21,591		20,319	1,272
41,896		37,662	4,234
43,316		38,739	4,577
19,170		18,435	735
27,790		24,769	3,021
9,379		7,895	1,484
5,977		5,439	538
8,687		7,790	897
1,247		1,058	189
8,731		6,016	2,715
794		497	297
6,917		5,579	1,338
1,247		1,075	172
10,857		8,406	2,451
1,912		1,620	292
40,804		32,458	8,346
2,585		2,389	196

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
28,857		21,870	6,987
6,098		5,067	1,031
7,042		5,965	1,077
7,558		6,565	993
3,865		3,562	303
25,146		25,211	-65
4,540		4,785	-245
9,761		9,756	5
9,420		9,389	31
5,700		6,231	-531
3,311		3,364	-53
8,654		8,426	228
3,791		3,685	106
4,836		4,065	771
1,741		1,509	232
34,990		29,503	5,487
4,059		3,757	302
9,592		7,608	1,984
8,837		6,950	1,887
24,942		19,724	5,218
4,188		3,704	484
5,634		5,093	541
13,853		8,635	5,218
3,640		2,971	669
1,721		1,388	333
29,744		37,769	-8,025
24,168		14,638	9,530
3,936		5,010	-1,074
53,976		30,996	22,980
14,317		10,499	3,818

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,087		3,603	484
2,198		1,865	333
11,818		9,749	2,069
6,628		14,931	-8,303
12,839		8,001	4,838
7,774		5,986	1,788
9,925		6,626	3,299
2,521		1,683	838
14,638		7,823	6,815
1,055		1,092	-37
4,677		4,716	-39
11,573		12,651	-1,078
2,290		2,370	-80
375		393	-18
29,250		28,593	657
54,132		53,836	296
1,006		1,017	-11
10,195		10,493	-298
7,319		7,577	-258
2,897		2,981	-84
7,970		8,198	-228
2,539		2,575	-36
48,971		48,924	47
45,141		45,149	-8
48,139		48,159	-20
36,639		36,827	-188
9,902		10,248	-346
23,383		25,324	-1,941
10,288		11,129	-841
1,871		2,019	-148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
21,172		20,350	822
53,912		48,462	5,450
17		17	0
21		21	0
24		24	0
23		23	0
124		124	0
149		149	0
153		153	0
130		130	0
143		143	0
130		130	0
122		122	0
67		67	0
53		53	0
34		34	0
35		35	0
54		54	0
14		14	0
12		12	0
2		2	0
2		2	0
12		12	0
16		16	0
12		12	0
10		10	0
58		58	0
83		83	0
75		75	0
61		61	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,839		1,839	0
1,643		1,643	0
1,446		1,446	0
1,038		1,038	0
916		916	0
919		919	0
903		903	0
494		494	0
266		266	0
172		172	0
218		218	0
442		442	0
989		989	0
782		782	0
779		779	0
771		771	0
644		644	0
535		535	0
704		704	0
451		451	0
255		255	0
172		172	0
171		171	0
188		188	0
663		663	0
487		487	0
434		434	0
553		553	0
56		56	0
68		68	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
84		84	0
42		42	0
42		42	0
23		23	0
20		20	0
14		14	0
31		31	0
659		659	0
855		855	0
571		571	0
379		379	0
577		577	0
623		623	0
679		679	0
700		700	0
316		316	0
314		314	0
374		374	0
438		438	0
1,484		1,484	0
1,286		1,286	0
1,382		1,382	0
1,149		1,149	0
1,075		1,075	0
630		630	0
381		381	0
332		332	0
390		390	0
296		296	0
591		591	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
579		579	0
550		550	0
471		471	0
85		85	0
104		104	0
21		21	0
22		22	0
38		38	0
56		56	0
40		40	0
20		20	0
24		24	0
20		20	0
24		24	0
14		14	0
1		1	0
3		3	0
3		3	0
31		31	0
3		3	0
28		28	0
3		3	0
3		3	0
10		10	0
7		7	0
6		6	0
21		21	0
20		20	0
13		13	0
14		14	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13		13	0
3		3	0
3		3	0
28		28	0
8		8	0
10		10	0
11		11	0
5		5	0
6		6	0
5		5	0
31		31	0
29		29	0
6		6	0
31		31	0
22		22	0
4		4	0
17		17	0
5		5	0
4		4	0
4		4	0
4		4	0
25		25	0
16		16	0
15		15	0
4		4	0
3		3	0
3		3	0
3		3	0
3		3	0
203		203	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
845		845	0
682		682	0
644		644	0
696		696	0
655		655	0
549		549	0
558		558	0
128		128	0
137		137	0
132		132	0
129		129	0
116		116	0
107		107	0
48		48	0
38		38	0
33		33	0
31		31	0
27		27	0
18		18	0
27		27	0
27		27	0
22		22	0
24		24	0
21		21	0
1,159		1,159	0
1,031		1,031	0
1,015		1,015	0
725		725	0
790		790	0
778		778	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
531		531	0
413		413	0
111		111	0
136		136	0
161		161	0
158		158	0
596		596	0
428		428	0
597		597	0
852		852	0
679		679	0
508		508	0
367		367	0
151		151	0
229		229	0
165		165	0
135		135	0
188		188	0
257		257	0
753		753	0
782		782	0
205		205	0
345		345	0
20		20	0
20		20	0
20		20	0
23		23	0
21		21	0
254		254	0
138		138	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,492		2,492	0
1,309		1,309	0
1,089		1,089	0
1,128		1,128	0
2,159		2,159	0
895		895	0
1,101		1,101	0
338		338	0
197		197	0
462		462	0
598		598	0
412		412	0
87		87	0
130		130	0
113		113	0
84		84	0
323		323	0
280		280	0
389		389	0
230		230	0
122		122	0
306		306	0
237		237	0
113		113	0
23,146		23,173	-27
22,365		23,505	-1,140
211		221	-10
105		110	-5
422		437	-15
211		219	-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
105		110	-5
316		332	-16
106		111	-5
14,457		14,726	-269
9,072		9,809	-737
12,052		12,507	-455
8,836		9,908	-1,072
11,755		13,060	-1,305
2,388		2,019	369
787		662	125
760		645	115
12,172		12,114	58
50		50	0
12,182		11,976	206
4,485		4,492	-7
4,748		4,798	-50
9,782		10,412	-630
9,686		10,185	-499
6,459		4,929	1,530
11,691		11,609	82
10,814		10,869	-55
5,709		4,947	762
5,572		4,920	652
4,265		3,649	616
1,632		1,354	278
11,921		9,773	2,148
10,397		9,713	684
4,226		4,013	213
5,749		5,479	270
535		480	55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
9,158		9,446	-288
15,108		15,416	-308
9,510		10,009	-499
4,491		4,868	-377
11,988		12,324	-336
113		114	-1
113		115	-2
11,988		12,833	-845
13,048		12,556	492
11,897		11,626	271
4,043		4,167	-124
15,640		15,823	-183
20,608		17,961	2,647
6,847		6,850	-3
7,021		6,648	373
3,511		3,409	102
3,417		3,239	178
7,284		6,604	680
10,923		9,545	1,378
79		70	9
1,741		1,561	180
9,169		8,091	1,078
80		70	10
563		472	91
804		642	162
7,443		6,533	910
4,516		4,045	471
3,115		2,734	381
7,596		6,488	1,108
14,178		12,106	2,072

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,008		18,133	-13,125
2,884		6,234	-3,350
1,942		1,685	257
5,197		4,147	1,050
1,778		1,583	195
2,061		1,646	415
9,049		11,750	-2,701
1,366		1,488	-122
2,817		2,360	457
5,692		5,353	339
9,511		11,672	-2,161
1,049		1,102	-53
3,857		11,637	-7,780
5,247		4,475	772
3,468		3,085	383
7,014		5,193	1,821
1,856		1,521	335
9,904		5,088	4,816
1,560		787	773
3,383		2,801	582
8,098		6,412	1,686
6,522		12,127	-5,605
1,735		2,100	-365
1,271		1,426	-155
6,213		11,504	-5,291
1,434		1,554	-120
1,186		1,188	-2
3,190		12,523	-9,333
1,953		2,539	-586
269		299	-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,548		1,856	-308
3,579		3,659	-80
8,709		7,914	795
6,048		18,513	-12,465
3,871		4,204	-333
3,435		3,149	286
1,336		1,350	-14
7,409		7,812	-403
1,248		1,280	-32
2,555		2,175	380
2,815		2,024	791
2,341		2,509	-168
3,267		3,387	-120
1,755		1,756	-1
1,514		1,502	12
1,643		1,581	62
3,858		3,830	28
3,552		3,870	-318
3,088		2,947	141
1,182		1,190	-8
1,544		1,638	-94
1,556		1,459	97
4,201		3,659	542
1,066		1,472	-406
2		2	0
7,461		9,578	-2,117
10,129		11,796	-1,667
14		23	-9
8,853		8,858	-5
1		1	0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,678		2,719	-41
1,741		1,698	43
5,663		6,203	-540
47,385		55,253	-7,868
1,802		1,862	-60
8,821		7,990	831
8,970		8,970	0
310		415	-105
1,721		2,930	-1,209
3,301		4,102	-801
25,127		24,679	448
1,211		1,346	-135
2,491		3,242	-751
9,907		11,695	-1,788
4,206		4,553	-347
1,563		1,821	-258
1,031		965	66
1,335		1,245	90
8,058		9,562	-1,504
1,163		1,661	-498
1,944		2,001	-57
1,044		1,001	43
2,705		2,513	192
1,102		1,154	-52
1,111		1,083	28
5,150		3,903	1,247
1,941		1,736	205
1,452		1,561	-109
2,475		3,080	-605
1,959		2,138	-179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,008		4,226	1,782
2,427		1,671	756
2,808		1,474	1,334
6,442		11,389	-4,947
1,524		1,616	-92
1,422		1,347	75
3,318		3,084	234
2,508		2,225	283
4,371		3,289	1,082
1,109		1,171	-62
9,565		11,679	-2,114
2,495		3,945	-1,450
3,644		6,347	-2,703
9,761		13,164	-3,403
1,166		2,193	-1,027
1,786		1,853	-67
2,847		1,977	870
50,750		50,326	424
10,150		10,325	-175
50,080		54,510	-4,430
46,447		50,605	-4,158
24,782		26,306	-1,524
19,825		23,461	-3,636
42,701		44,958	-2,257
58,308		54,367	3,941
43,491		25,092	18,399
14,497		10,242	4,255
23,571		12,341	11,230
1,224		800	424
16,202		11,381	4,821

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
841		738	103
30,692		25,742	4,950
30,080		19,042	11,038
42,055		20,731	21,324
18,749		20,719	-1,970
21,310		21,220	90
18,674		20,089	-1,415
17,200		5,634	11,566
3,768		1,286	2,482
22,770		7,217	15,553
1,474		504	970
12,293		11,019	1,274
15,311		8,318	6,993
106		66	40
15,003		11,461	3,542
13,692		10,807	2,885
2,527		1,955	572
23,535		23,213	322
33,442		22,039	11,403
1,129		792	337
31,234		19,894	11,340
11,034		9,218	1,816
987		812	175
12,172		10,122	2,050
5,933		5,033	900
24,600		20,824	3,776
327		264	63
4,876		7,844	-2,968
10,232		20,417	-10,185
20,987		18,656	2,331

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
34,849		23,113	11,736
17,665		16,310	1,355
17,600		16,834	766
19,763		13,558	6,205
23,214		9,525	13,689
79		34	45
38,228		27,607	10,621
1,303		995	308
24,684		14,572	10,112
29,574		24,102	5,472
20,597		17,359	3,238
29,973		26,025	3,948
2,281		2,357	-76
31,026		23,514	7,512
23,242		15,282	7,960
32,577		28,993	3,584
26,926		22,323	4,603
959		771	188
13,905		14,162	-257
5,342		5,403	-61
6,362		6,509	-147
18,136		8,487	9,649
7,178		7,554	-376
34,691		28,141	6,550
21,281		17,134	4,147
24,167		13,316	10,851
1,086		603	483
34,083		23,958	10,125
9,340		10,004	-664
26,289		22,482	3,807

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
13,621		13,879	-258
18,787		16,126	2,661
6,934		9,561	-2,627
2,539		3,620	-1,081
1,288		1,700	-412
21,655		20,512	1,143
16,624		8,873	7,751
1,030		642	388
29,600		19,183	10,417
25,856		21,271	4,585
29,651		20,333	9,318
38,287		27,234	11,053
1,630		1,256	374
21,729		13,451	8,278
1,364		843	521
22,703		16,769	5,934
39,196		29,314	9,882
21,898			21,898

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			198
			591
			140
			178
			-101
			-36
			1,189
			-1,315
			-798
			3,185
			-2,033
			2,628
			1,449
			168
			117
			-780
			472
			-2,604
			-221
			-215
			-109
			452
			1,691
			522
			373
			618
			336
			611
			948
			-293

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			35
			137
			829
			1,194
			-581
			3,991
			1,206
			2,259
			1,231
			1,590
			1,101
			1,078
			609
			754
			1,832
			514
			80
			1,150
			300
			-1,211
			-24
			2,202
			834
			-5
			787
			186
			-2,107
			3,277
			6,493
			289

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-211
			94
			-80
			1,425
			2,278
			413
			444
			195
			6
			1,441
			666
			2,173
			623
			1,799
			-503
			27
			714
			294
			-273
			-1,154
			825
			374
			-583
			-624
			-1,492
			-1,571
			-247
			-441
			1,654
			763

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-77
			-342
			260
			398
			2,279
			-160
			-13
			147
			263
			147
			-83
			-73
			-744
			-247
			5,690
			1,246
			604
			-262
			138
			172
			399
			-1,332
			4
			144
			-38
			168
			364
			778
			264
			-36

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-103
			-1,454
			-397
			-365
			-305
			-419
			4,474
			610
			2,417
			1,550
			-1,541
			3,227
			228
			1,390
			2,273
			692
			121
			-6,121
			-954
			77
			364
			981
			109
			58
			4,332
			159
			1,925
			2,043
			4,030
			157

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			927
			-29
			-983
			-754
			-660
			6,928
			165
			1,091
			69
			585
			10,315
			-1,590
			4,130
			1,173
			3,424
			2,638
			3,578
			603
			211
			438
			62
			3,704
			202
			647
			4,414
			4,625
			2,958
			-172
			-858
			-3,909

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,143
			11
			1,142
			888
			2,678
			31
			6,445
			-62
			340
			143
			-116
			48
			1,526
			858
			936
			75
			104
			5,981
			129
			1,396
			2,211
			1,577
			5,617
			264
			2,924
			2,742
			1,555
			17
			4,014
			5,282

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			758
			-83
			2
			633
			1,648
			824
			1,246
			89
			5,181
			3,921
			1,707
			1,473
			3,183
			2,592
			103
			4,761
			2,632
			2,934
			20
			2,966
			42
			1,432
			1,677
			247
			356
			543
			714
			1,582
			1,864
			995

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,107
			4,655
			94
			1,246
			961
			509
			-341
			123
			5,972
			4,631
			1,489
			58
			9,785
			3,253
			157
			763
			3,327
			2,116
			2,836
			1,293
			13,279
			10,672
			1,435
			797
			53
			1,027
			4,772
			1,371
			-119
			3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			124
			-1,728
			-1,836
			-1,945
			4
			3
			294
			229
			102
			10,481
			2,234
			259
			3,502
			1,908
			15,481
			136
			4,603
			1,725
			270
			-76
			-2,114
			-263
			9,471
			40
			2,795
			1,872
			2,618
			666
			245
			1,303

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8,648
			2,880
			1,310
			-10
			-307
			-187
			-1,443
			364
			1,931
			42
			4,434
			2,421
			1,324
			17
			6,928
			12
			513
			605
			8,306
			2,831
			6,765
			4,109
			2,264
			1,315
			4,646
			276
			92
			77
			3,957
			4,769

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			424
			6,351
			4,521
			2,065
			4,645
			151
			1,599
			9,653
			196
			98
			2,099
			462
			51
			1,296
			678
			124
			5,566
			2,181
			1,748
			151
			5,750
			-454
			-514
			963
			1,675
			469
			934
			1,071
			6,423
			50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,513
			5,433
			43
			50
			1,875
			4,220
			116
			-649
			-3,819
			-4,104
			16
			1,769
			-2,009
			-169
			-3,251
			-8
			-10,585
			-5,883
			2,848
			319
			1,409
			-10
			-675
			-1,448
			-142
			-14
			4,628
			27
			-7
			1,049

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,069
			7
			-12,236
			-45
			6,806
			1,569
			5,573
			77
			-365
			1,242
			933
			164
			-955
			-925
			-1,815
			-2,032
			-73
			1,688
			2
			1,841
			1,511
			450
			1,243
			400
			2,318
			375
			420
			-36
			-79
			-1,767

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,227
			457
			521
			145
			4,725
			764
			388
			454
			296
			100
			406
			221
			519
			1,747
			1,766
			610
			1,601
			2,029
			586
			3,244
			255
			228
			740
			6,508
			309
			5,783
			965
			-630
			-1,791
			-2,126

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,416
			-115
			-183
			-1,377
			-1,361
			-50
			-1,701
			-1,892
			-449
			1,140
			-461
			-196
			-8
			1,272
			4,234
			4,577
			735
			3,021
			1,484
			538
			897
			189
			2,715
			297
			1,338
			172
			2,451
			292
			8,346
			196

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6,987
			1,031
			1,077
			993
			303
			-65
			-245
			5
			31
			-531
			-53
			228
			106
			771
			232
			5,487
			302
			1,984
			1,887
			5,218
			484
			541
			5,218
			669
			333
			-8,025
			9,530
			-1,074
			22,980
			3,818

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			484
			333
			2,069
			-8,303
			4,838
			1,788
			3,299
			838
			6,815
			-37
			-39
			-1,078
			-80
			-18
			657
			296
			-11
			-298
			-258
			-84
			-228
			-36
			47
			-8
			-20
			-188
			-346
			-1,941
			-841
			-148

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			0
			-27
			-1,140
			-10
			-5
			-15
			-8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-5
			-16
			-5
			-269
			-737
			-455
			-1,072
			-1,305
			369
			125
			115
			58
			0
			206
			-7
			-50
			-630
			-499
			1,530
			82
			-55
			762
			652
			616
			278
			2,148
			684
			213
			270
			55

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-288
			-308
			-499
			-377
			-336
			-1
			-2
			-845
			492
			271
			-124
			-183
			2,647
			-3
			373
			102
			178
			680
			1,378
			9
			180
			1,078
			10
			91
			162
			910
			471
			381
			1,108
			2,072

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-13,125
			-3,350
			257
			1,050
			195
			415
			-2,701
			-122
			457
			339
			-2,161
			-53
			-7,780
			772
			383
			1,821
			335
			4,816
			773
			582
			1,686
			-5,605
			-365
			-155
			-5,291
			-120
			-2
			-9,333
			-586
			-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-308
			-80
			795
			-12,465
			-333
			286
			-14
			-403
			-32
			380
			791
			-168
			-120
			-1
			12
			62
			28
			-318
			141
			-8
			-94
			97
			542
			-406
			0
			-2,117
			-1,667
			-9
			-5
			0

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-41
			43
			-540
			-7,868
			-60
			831
			0
			-105
			-1,209
			-801
			448
			-135
			-751
			-1,788
			-347
			-258
			66
			90
			-1,504
			-498
			-57
			43
			192
			-52
			28
			1,247
			205
			-109
			-605
			-179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,782
			756
			1,334
			-4,947
			-92
			75
			234
			283
			1,082
			-62
			-2,114
			-1,450
			-2,703
			-3,403
			-1,027
			-67
			870
			424
			-175
			-4,430
			-4,158
			-1,524
			-3,636
			-2,257
			3,941
			18,399
			4,255
			11,230
			424
			4,821

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			103
			4,950
			11,038
			21,324
			-1,970
			90
			-1,415
			11,566
			2,482
			15,553
			970
			1,274
			6,993
			40
			3,542
			2,885
			572
			322
			11,403
			337
			11,340
			1,816
			175
			2,050
			900
			3,776
			63
			-2,968
			-10,185
			2,331

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			11,736
			1,355
			766
			6,205
			13,689
			45
			10,621
			308
			10,112
			5,472
			3,238
			3,948
			-76
			7,512
			7,960
			3,584
			4,603
			188
			-257
			-61
			-147
			9,649
			-376
			6,550
			4,147
			10,851
			483
			10,125
			-664
			3,807

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-258
			2,661
			-2,627
			-1,081
			-412
			1,143
			7,751
			388
			10,417
			4,585
			9,318
			11,053
			374
			8,278
			521
			5,934
			9,882
			21,898

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHARINE THOMAS	DIRECTOR 0 10	0	0	0
3802 SWARTHMORE RD DURHAM,NC 27707				
E JACK WALKER	DIRECTOR,SECRETARY 0 15	0	0	0
3802 SWARTHMORE RD DURHAM,NC 27707				
KENNETH B MORGAN MORGAN	DIRECTOR,VICE PRES,TREAS 10 00	43,813	4,070	0
405 BATTLEGROUND AVE SUITE 101 GREENSBORO,NC 27401				
JOHN S THOMAS	PRESIDENT 35 00	133,354	24,460	0
3802 SWARTHMORE RD DURHAM,NC 27707				
EDWIN THOMAS	DIRECTOR 0 00	0	0	0
3802 SWARTHMORE RD DURHAM,NC 27707				
CHARLES FULLER	DIRECTOR 0 00	0	0	0
3802 SWARTHMORE RD DURHAM,NC 27707				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICANS FOR PROSPERITY FOUNDATION 1726 M STREET 10TH FL WASHINGTON,DC 20036	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
AMISTAD MISSION 55 SCOTT ST BUFORD,GA 30158	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
ASIAN ACCESS LIFE MINISTRIES PO BOX 200 SAN DIMAS,CA 91773	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
ASSOCIATION OF SMALL FOUNDATIONS 4905 DEL RAY AVE BETHESDA,MD 20814	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
BOY SCOUTS OF AMERICA OLD NORTH STATE COUNCIL PO BOX 29048 GREENSBORO,NC 27403	NONE	PUBLIC CHARITY	GENERAL SUPPORT	500
CHILD EVANGELISM FELLOWSHIP PO BOX 348 WARRENTON,MO 63383	NONE	PUBLIC CHARITY	SUPPORT FOR MISSIONARIES	5,000
DUKE UNIVERSITY BOX 90482 DURHAM,NC 27708	NONE	PUBLIC CHARITY	COMPREHENSIVE CANCER CENTER	20,000
DURHAM COMMUNITY CONCERT BAND PO BOX 52133 DURHAM,NC 27717	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
EPISCOPAL CHURCH OF THE HOLY COMFORTER 2701 PARK ROAD CHARLOTTE,NC 28209	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
FAITH IN ACTION 705 GREENE ST GREENSBORO,NC 27401	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
FOCUS ON THE FAMILY 8605 EXPLORER DR COLORADO SPRINGS,CO 80920	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
HINSHAW MEMORIAL UNITED METHODIST CHURCH 4501 HIGH POINT RD GREENSBORO,NC 27407	NONE	CHURCH	APPALACHIAN SERVICE PROJECT	5,000
JAZZ FOUNDATION OF NORTH CAROLINA INC PO BOX 51523 DURHAM,NC 27717	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
JUNIOR ACHIEVEMENT OF CENTRAL INC INC 3220 NORTHLINE AVE GREENSBORO,NC 27408	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
LEADERSHIP EDGE PO BOX 51657 DURHAM,NC 27717	NONE	PUBLIC CHARITY	GENERAL SUPPORT	35,000
Total  3a				505,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
MISSION TRAINING INTERNATIONAL PO BOX 1220 PALMER LAKE,CO 80133	NONE	PUBLIC CHARITY	MISSIONARY STAFF SUPPORT	2,500
MOSES CONE HEALTH SYSTEM 1200 NORTH ELM ST GREENSBORO,NC 27401	NONE	PUBLIC CHARITY	GENERAL SUPPORT	50,000
NATIONAL RIGHT TO WORK LEGAL DEFENSE FOUNDATION 8001 BRADDOCK RD SPRINGFIELD,VA 22160	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000
NATIONAL TAXPAYERS UNION FOUNDATION 108 NORTH ALFRED ST ALEXANDRIA,VA 22314	NONE	PUBLIC CHARITY	GENERAL SUPPORT	20,000
NORTH CAROLINA MUSEUM OF LIFE AND SCIENCE 433 W MURRAY STREET DURHAM,NC 27704	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
OMICRON DELTA KAPPA FOUNDATION 224 MCCLAUGHLIN STREET LEXINGTON,VA 244502002	NONE	PUBLIC CHARITY	GENERAL SUPPORT	10,000
PARENTS FOR EDUCATIONAL FREEDOM 434 FAYETTEVILLE ST RALEIGH,NC 27601	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
SCHOOLHOUSE OF WONDER 5101-B N ROXBORO ST DURHAM,NC 27704	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
SERVE NOW PO BOX 50890 COLORADO SPRINGS,CO 80949	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
ST STEPHENS EPISCOPAL CHURCH 82 KIMBERLY DR DURHAM,NC 27707	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
THALES ACADEMY 4161 PARAGON PARK RALEIGH,NC 276163174	NONE	PUBLIC CHARITY	GENERAL SUPPORT	5,000
THE EMILY KRYZEWSKI FAMILY LIFE CENTER 904 WEST CHAPEL HILL STREET DURHAM,NC 27701	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,500
THE GATHERING 601 SHELBY DR STE 201 TYLER,TX 75701	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,000
THE JESSE HELMS CENTER FOUNDATION PO BOX 247 WINGATE,NC 28270	NONE	PUBLIC CHARITY	GENERAL SUPPORT	25,000
THE JOHN LOCK FOUNDATION 200 WEST MORGAN ST SUITE 200 RALEIGH,NC 27601	NONE	PUBLIC CHARITY	EA MORRIS FELLOWSHIP PROGRAM	115,000
Total  3a				505,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
TRIAD LOCAL FIRST 405 BATTLEGROUND AVENUE SUITE 100 GREENSBORO,NC 27401	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
UNC LAW FOUNDATION VAN HECKE WETACCH HALL 160 RIDGE RD CHAPEL HILL,NC 27599	NONE	PUBLIC CHARITY	GENERAL SUPPORT	1,500
UNC-CHARLOTTE FOUNDATION 9201 UNIVERSITY CITY BLVD CHARLOTTE,NC 28223	NONE	PUBLIC CHARITY	GENERAL SUPPORT	2,500
WASHINGTON & LEE UNIVERSITY UNIVERSITY PLACE LEXINGTON,VA 24450	NONE	PUBLIC CHARITY	GENERAL SUPPORT	100,000
Total ▶ 3a				505,000

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: EA Morris Charitable Foundation

EIN: 58-1413060

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER	2011-11-30	896	194	SL	5 0000000000000	179	0		
TELEPHONE	2012-12-13	62	1	SL	5 0000000000000	12	0		

TY 2013 Investments Corporate Bonds Schedule

Name: EA Morris Charitable Foundation

EIN: 58-1413060

Name of Bond	End of Year Book Value	End of Year Fair Market Value
Corporate bonds at Wells Fargo Advisors	699,201	699,942

**TY 2013 Investments Corporate
Stock Schedule****Name:** EA Morris Charitable Foundation**EIN:** 58-1413060

Name of Stock	End of Year Book Value	End of Year Fair Market Value
Corporate stocks at Wells Fargo Advisors	5,865,976	6,803,589
Mutual funds at Wells Fargo Advisors	2,653,409	2,576,404

TY 2013 Investments Government Obligations Schedule

Name: EA Morris Charitable Foundation

EIN: 58-1413060

US Government Securities - End of

Year Book Value:

1,389,677

US Government Securities - End of

Year Fair Market Value:

1,329,586

**State & Local Government
Securities - End of Year Book**

Value:

0

**State & Local Government
Securities - End of Year Fair**

Market Value:

0

TY 2013 Investments - Other Schedule

Name: EA Morris Charitable Foundation

EIN: 58-1413060

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
Foreign bonds at Wells Fargo Advisors	AT COST	60,752	62,928

TY 2013 Land, Etc. Schedule**Name:** EA Morris Charitable Foundation**EIN:** 58-1413060

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER	896	373	523	
TELEPHONE	62	13	49	

TY 2013 Other Assets Schedule**Name:** EA Morris Charitable Foundation**EIN:** 58-1413060

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
INTEREST INCOME REPORTED IN 2012 AND RECVD IN 2013	11,826	0	0

TY 2013 Other Expenses Schedule**Name:** EA Morris Charitable Foundation**EIN:** 58-1413060

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DUES	725	0		0
TELEPHONE & INTERNET	635	0		0
OFFICE SUPPLIES	139	0		0
PAYROLL PROCESSING	1,109	0		0
OTHER INVESTMENT EXPENSES	1,735	1,735		0
INVESTMENT MANAGEMENT FEES	94,791	94,791		0

TY 2013 Other Income Schedule

Name: EA Morris Charitable Foundation

EIN: 58-1413060

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MESABI TRUST	290	290	290
Chase Morgan-Litigation settlement	3,889	3,889	3,889

TY 2013 Taxes Schedule**Name:** EA Morris Charitable Foundation**EIN:** 58-1413060

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX W/H	5,116	5,116		0
PERSONAL PROPERTY TAX	9	0		0