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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052



2013

Open to Public Inspection



For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation #PATE FUND CT 76		<b>A Employer identification number</b>  57-6017422	
Number and street (or P O box number if mail is not delivered to street address) 1525 W WT HARRIS BLVD D1114-044		<b>B Telephone number</b> (see instructions)  (800) 352-3705	
City or town, state or province, country, and ZIP or foreign postal code CHARLOTTE, NC 28262		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input type="checkbox"/> \$ 351,208		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	1	1		
	4 Dividends and interest from securities. . . . .	6,606	6,440		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	22,213			
	b Gross sales price for all assets on line 6a 344,370				
	7 Capital gain net income (from Part IV , line 2) . . .		22,213		
	8 Net short-term capital gain . . . . .			0	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .		126		
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	28,820	28,780		
	13 Compensation of officers, directors, trustees, etc	5,490	4,835		655
	14 Other employee salaries and wages . . . . .		0	0	0
	15 Pension plans, employee benefits . . . . .		0	0	
	16a Legal fees (attach schedule) . . . . .				0
	b Accounting fees (attach schedule) . . . . .	1,000	0	0	1,000
	c Other professional fees (attach schedule) . . . . .				0
	17 Interest . . . . .				0
	18 Taxes (attach schedule) (see instructions)	290	133		0
	19 Depreciation (attach schedule) and depletion . . .	0	0		
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .		0	0	
	22 Printing and publications . . . . .		0	0	
	23 Other expenses (attach schedule) . . . . .		22		
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	6,780	4,990	0	1,655
	25 Contributions, gifts, grants paid . . . . .	13,500			13,500
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	20,280	4,990	0	15,155
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	8,540			
	b <b>Net investment income</b> (if negative, enter -0-)		23,790		
	c <b>Adjusted net income</b> (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	6,706	17,155	17,155
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	228,211	 223,903	252,858
	c	Investments—corporate bonds (attach schedule). . . . .		 83,498	81,195
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	81,103		0
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	316,020	324,556	351,208	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	316,020	324,556	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	316,020	324,556	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	316,020	324,556	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	316,020
2	Enter amount from Part I, line 27a . . . . .	2	8,540
3	Other increases not included in line 2 (itemize) ▶ 	3	652
4	Add lines 1, 2, and 3 . . . . .	4	325,212
5	Decreases not included in line 2 (itemize) ▶ 	5	656
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	324,556

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	22,213
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	14,019	320,039	0 043804
2011	23,510	333,230	0 070552
2010	15,015	423,042	0 035493
2009	12,944	608,328	0 021278
2008	114,706	704,107	0 16291

2	Total of line 1, column (d). . . . .	2	0 334037
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 066807
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	340,048
5	Multiply line 4 by line 3. . . . .	5	22,718
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	238
7	Add lines 5 and 6. . . . .	7	22,956
8	Enter qualifying distributions from Part XII, line 4. . . . .  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	15,155

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		1	476
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0
3		Add lines 1 and 2. . . . .		3	476
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	476
6		Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	80		
b	Exempt foreign organizations—tax withheld at source . . . . .	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	0		
d	Backup withholding erroneously withheld . . . . .	6d			
7		Total credits and payments. Add lines 6a through 6d. . . . .		7	80
8		Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		8	0
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	396
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10	
11		Enter the amount of line 10 to be Credited to 2014 estimated tax 0 Refunded		11	0

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c	Did the foundation file Form 1120-POL for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): SC			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care ofWELLS FARGO BANK NA Telephone no(800) 352-3705 Located atONE WEST 4TH STREET WINSTONSALEM NC ZIP+4271013818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> )	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes 

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes 

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes 

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes 

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes 

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes 

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes 

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes 

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank NA 1525 W WT HARRIS BLVD D1114-044 CHARLOTTE, NC 282881161	Trustee 40	5,490		
WALLACE F PATE JR PO DRAWER 458 GEORGETOWN, SC 29442	ADVISORY COMMITTEE 1	0		
STELLA LAW PATE FORD 285 WALLACE PATE DRIVE GEORGETOWN, SC 29440	ADVISORY COMMITTEE 1	0		
DOROTHY PATE BRIDGERS 31 PATEWOOD DR GREENVILLE, SC 29615	ADVISORY COMMITTEE 1	0		

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	332,499
b	Average of monthly cash balances. . . . .	1b	12,727
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	345,226
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	345,226
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	5,178
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	340,048
6	Minimum investment return. Enter 5% of line 5. . . . .	6	17,002

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	17,002
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	476
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	476
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	16,526
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	16,526
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	16,526

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	15,155
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	15,155
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	15,155
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				16,526
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			13,727	
b Total for prior years 2011 , 20__ , 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				0
b From 2009. . . . .				0
c From 2010. . . . .				0
d From 2011. . . . .				0
e From 2012. . . . .				0
f Total of lines 3a through e. . . . .	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 15,155				
a Applied to 2012, but not more than line 2a			13,727	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2013 distributable amount. . . . .				1,428
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				15,098
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	0			
10 Analysis of line 9				
a Excess from 2009. . . .				0
b Excess from 2010. . . .				0
c Excess from 2011. . . .				0
d Excess from 2012. . . .				0
e Excess from 2013. . . .				0

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a . . . . .					
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

The Pate Fund co Wells Fargo Bank  
PO Box 995  
Charleston, SC 29402  
(800) 352-9705

b

The form in which applications should be submitted and information and materials they should include

Applicants need only submit a letter or report setting forth the purpose of the grant and the amount requested. Need IRS determination letter, copy of Board Members & operating budget- 4 copies of request

c

Any submission deadlines

1st of September

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Any religious, charitable, scientific, literary or educational corporation, association or organization, Community Chest, Fund or Foundation in the state of South Carolina

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	13,500
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
304 AQR MANAGED FUTURES STR-I #15213		2013-07-12	2013-10-09
38 ARTISAN FDS INC INTL FD INVESTOR CLASS		2013-03-04	2013-07-10
15 ARTISAN FDS INC INTL FD INVESTOR CLASS		2013-03-04	2013-10-09
44 ARTISAN FDS INC INTL FD INVESTOR CLASS		2013-03-04	2013-12-06
24 ARTISAN MID CAP FUND-INS #1333		2013-03-04	2013-07-10
22 ARTISAN MID CAP FUND-INS #1333		2013-03-04	2013-10-09
390 443 ARTISAN MID CAP VALUE FUND #1464		2012-05-18	2013-02-28
406 CREDIT SUISSE COMM RET ST-I #2156		2013-03-04	2013-10-09
137 DIAMOND HILL LONG-SHORT FD CL I #11		2013-03-04	2013-07-10
222 DIAMOND HILL LONG-SHORT FD CL I #11		2012-03-09	2013-07-10
34 DODGE & COX INT'L STOCK FD # 1048		2013-03-04	2013-07-10
28 DODGE & COX INT'L STOCK FD # 1048		2013-03-04	2013-10-09
20 DODGE & COX INT'L STOCK FD # 1048		2013-03-04	2013-12-06
230 DODGE & COX INCOME FD COM		2013-03-04	2013-07-10
27 DODGE & COX INCOME FD COM		2013-03-04	2013-12-06
349 767 DREYFUS EMG MKT DEBT LOC C-I #6083		2013-03-04	2013-10-10
33 DRIEHAUS ACTIVE INCOME FUND		2013-07-12	2013-12-06
622 299 EII INTERNATIONAL PROPERTY-I #30		2011-09-01	2013-02-28
3249 412 FEDERATED STRAT VAL DIV FD IS #662		2012-03-09	2013-02-28
55 FLEMING CAP MUTUAL FUND GROUP J P MORGAN MID CAP VALUE FUND		2013-03-04	2013-07-10
15 FLEMING CAP MUTUAL FUND GROUP J P MORGAN MID CAP VALUE FUND		2013-03-04	2013-12-06
476 657 GATEWAY FUND - Y #1986		2013-03-04	2013-07-10
143 HARBOR FD CAP APPRECIATION		2013-03-04	2013-07-10
23 HARBOR FD CAP APPRECIATION		2013-03-04	2013-10-09
45 HARBOR FD CAP APPRECIATION		2011-08-01	2013-12-06
349 632 OAKMARK FD CL I		2011-09-01	2013-02-28
1160 488 OAKMARK INTERNATIONAL FD CL I		2011-09-01	2013-02-28
124 42 OAKMARK INTERNATIONAL FD CL I		2012-05-18	2013-02-28
36 26 HARRIS ASSOC INVT TR		2012-05-18	2013-02-28
702 931 HARRIS ASSOC INVT TR		2011-09-01	2013-02-28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1124 365 HUSSMAN STRATEGIC GROWTH FD		2011-09-01	2013-02-28
7 694 HUSSMAN STRATEGIC GROWTH FD		2012-03-09	2013-02-28
1 ISHARES S&P 500 INDEX FUND		2012-03-09	2013-07-10
39 ISHARES S&P 500 INDEX FUND		2013-02-28	2013-07-10
3 ISHARES S&P 500 INDEX FUND		2012-03-09	2013-12-06
73 ISHARES TR-S&P MIDCAP 400 GROWTH IND		2011-12-19	2013-02-28
2 ISHARES TR-S&P MIDCAP 400 GROWTH IND		2012-05-18	2013-02-28
101 ISHARES S & P SMALLCAP 600/GRO		2012-05-18	2013-02-28
559 576 JENSEN QUALITY GROWTH FUND#297		2011-09-01	2013-02-28
139 268 JPMORGAN HIGH YIELD FUND SS #3580		2012-03-09	2013-02-28
1602 925 JPMORGAN HIGH YIELD FUND SS #3580		2011-09-01	2013-02-28
49 KALMAR GR W/ VAL SM CAP-INS #4		2013-03-04	2013-12-06
17 KEELEY SMALL CAP VAL FD CL I #2251		2013-07-12	2013-12-06
33 LAUDUS MONDRIAN INTL FI-INST #2940		2013-03-04	2013-12-06
172 MFS VALUE FUND-CLASS I #893		2013-03-04	2013-07-10
45 MFS VALUE FUND-CLASS I #893		2013-10-11	2013-12-06
213 MERGER FD SH BEN INT		2011-07-01	2013-07-10
62 ASG GLOBAL ALTERNATIVES-Y #1993		2013-10-11	2013-12-06
48 OPPENHEIMER DEVELOPING MKT-Y #788		2011-07-01	2013-02-28
85 OPPENHEIMER DEVELOPING MKT-Y #788		2011-07-01	2013-10-09
10 OPPENHEIMER DEVELOPING MKT-Y #788		2011-06-01	2013-12-06
598 454 PIMCO FDS PAC INVT MGMT SER LOW DURATION FD INSTL CL		2011-09-01	2013-02-28
129 393 PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL		2012-03-09	2013-02-28
447 607 PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL		2011-08-01	2013-02-28
334 PIMCO FDS PAC INVT MGMT SER TOTAL RETURN FD INSTL CL		2012-03-09	2013-07-10
249 PIMCO FOREIGN BOND FUND-INST		2012-05-18	2013-02-28
41 PIMCO FOREIGN BOND FUND-INST		2012-05-18	2013-07-10
35 167 PIMCO FDS PAC INVT MGMT REAL RETURN BD FD INSTL CL		2012-03-09	2013-02-28
476 459 PIMCO FDS PAC INVT MGMT REAL RETURN BD FD INSTL CL		2011-09-01	2013-02-28
72 905 PIMCO FOREIGN BOND (UNHEDGED) #1853		2011-06-01	2013-02-28



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
546 733 PIMCO EMERGING LOCAL BOND IS #332		2011-09-01	2013-02-28
123 059 PIMCO EMERGING LOCAL BOND IS #332		2012-03-09	2013-02-28
558 POWERSHARES DB COMMODITY INDEX		2011-09-01	2013-02-28
37 POWERSHARES DB COMMODITY INDEX		2012-05-18	2013-02-28
736 524 PRINCIPAL INV R/E SEC-IS FUND 4934		2011-09-01	2013-02-28
83 66 PRINCIPAL INV R/E SEC-IS FUND 4934		2012-05-18	2013-02-28
26 ROBECO BP LNG/SHRT RES-INS		2013-07-12	2013-12-06
52 RIDGEWORTH SEIX HY BD-I #5855		2013-03-04	2013-12-06
36 198 TCW GALILEO FDS INC SMALL CAP GROWTH FD		2012-05-18	2013-02-28
259 208 TCW GALILEO FDS INC SMALL CAP GROWTH FD		2011-09-01	2013-02-28
64 VANGARD MSCI EMERGING MARKETS EFT		2013-02-28	2013-10-09
703 179 WESTERN ASSET CORE PLUS BOND-I #287		2012-03-09	2013-02-28
1156 728 WESTERN ASSET CORE PLUS BOND-I #287		2011-09-01	2013-02-28
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,988		3,095	-107
1,008		977	31
420		386	34
1,302		1,131	171
1,096		1,004	92
1,077		920	157
8,961		8,350	611
2,972		3,183	-211
2,869		2,680	189
4,649		3,799	850
1,265		1,213	52
1,121		999	122
845		714	131
3,089		3,202	-113
367		376	-9
4,977		5,376	-399
356		354	2
12,141		11,634	507
16,832		15,760	1,072
1,832		1,664	168
541		454	87
13,365		13,275	90
6,891		6,381	510
1,168		1,026	142
2,538		1,788	750
18,062		15,083	2,979
25,716		22,785	2,931
2,757		2,113	644
533		451	82
10,326		10,014	312

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,716		13,863	-2,147
80		91	-11
166		138	28
6,468		5,990	478
545		414	131
9,017		7,033	1,984
247		209	38
9,135		8,097	1,038
17,940		15,552	2,388
1,142		1,102	40
13,144		13,179	-35
1,102		843	259
649		569	80
353		366	-13
5,210		4,751	459
1,490		1,285	205
3,399		3,465	-66
735		714	21
1,690		1,732	-42
3,121		3,039	82
371		357	14
6,284		6,275	9
1,453		1,441	12
5,027		4,959	68
3,564		3,721	-157
2,697		2,697	
433		441	-8
429		424	5
5,818		5,621	197
764		801	-37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,970		6,048	-78
1,344		1,338	6
15,127		16,572	-1,445
1,003		977	26
15,379		13,088	2,291
1,747		1,539	208
368		346	22
527		531	-4
1,037		1,002	35
7,426		7,866	-440
2,621		2,797	-176
8,192		7,939	253
13,476		12,758	718
			3,900

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-107
			31
			34
			171
			92
			157
			611
			-211
			189
			850
			52
			122
			131
			-113
			-9
			-399
			2
			507
			1,072
			168
			87
			90
			510
			142
			750
			2,979
			2,931
			644
			82
			312


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2,147
			-11
			28
			478
			131
			1,984
			38
			1,038
			2,388
			40
			-35
			259
			80
			-13
			459
			205
			-66
			21
			-42
			82
			14
			9
			12
			68
			-157
			-8
			5
			197
			-37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-78
			6
			-1,445
			26
			2,291
			208
			22
			-4
			35
			-440
			-176
			253
			718

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
SCDNR-MARINE RESOURCES DIVISION PO BOX 12559 CHARLESTON,SC 29422	NONE	PC	GENERAL PURPOSE	2,000
TARA HALL HOME FOR BOYS PO BOX 955 GEORGETOWN,SC 29422	NONE	PC	GENERAL PURPOSE	1,000
GEORGETOWN MEMORIAL HOSPITAL PO BOX 1718 GEORGETOWN,SC 29442	NONE	PC	GENERAL PURPOSE	7,000
PRINCE GEORGE WINYAH EPISCOPAL CHURCH PO BOX 674 GEORGETOWN,SC 29422	NONE	PC	GENERAL PURPOSE	2,000
COMMUNITY FOUNDATION OF GREATER GREENVILLE INC 27 CLEVELAND STREET SUIT GREENVILLE,SC 29601	NONE	PC	GENERAL PURPOSE	1,000
AMI KIDS GEORGETOWN PO BOX 638 GEORGETOWN,SC 29442	NONE	PC	GENERAL PURPOSE	500
<b>Total . . . . .</b>  <b>3a</b>				13,500



## TY 2013 Accounting Fees Schedule

**Name:** #PATE FUND CT 76

**EIN:** 57-6017422

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	1,000			1,000

**TY 2013 Investments Corporate  
Bonds Schedule****Name:** #PATE FUND CT 76**EIN:** 57-6017422

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
4812C0803 JP MORGAN HIGH YEILD		
693390304 PIMCO LOW 36		
693390700 PIMCO TOTAL RET FD-I	13,791	13,402
693390882 PIMCO FOREIGN BD FD	1,654	1,665
693391104 PIMCO REAL BOND 122		
722005220 PIMCO FORG BD 1853		
72201F516 PIMCO EMERGING 332		
74253Q416 PRINCIPA PFD 4929		
957663503 WESTERN ASSET 287		
256210105 DODGE & COX INCOME F	13,521	13,226
261980494 DREYFUS EMG MKT DEBT	12,718	11,898
262028855 DRIEHAUS ACTIVE INCO	10,093	10,137
315920702 FID ADV EMER MKTS IN	5,215	5,127
51855Q655 LAUDUS MONDRIAN INTL	1,662	1,596
76628T645 RIDGEWORTH SEIX HY B	17,156	16,490
77957P105 T ROWE PRICE SHORT T	7,688	7,654

TY 2013 Investments Corporate  
Stock Schedule

Name: #PATE FUND CT 76  
EIN: 57-6017422

Name of Stock	End of Year Book Value	End of Year Fair Market Value
025076209 AMERICAN CENTURY EQU		
25264S833 DIAMOND HILL LONG-SH	5,318	7,205
26852M105 EII INTERNATIONAL PR		
411511504 HARBOR CAPITAL APRCT	18,655	26,267
413838103 OAKMARK FUND - I #11		
413838202 OAKMARK INTERNATIONA		
413838509 OAKMARK INTL SMALL C		
448108100 HUSSMAN STRATEGIC GR		
464287606 ISHARES S&P MIDCAP 4		
476313309 JENSEN QUALITY GROWT		
54400A506 LORD ABBETT VALUE OP		
589509108 MERGER FD SH BEN INT	6,650	6,711
683974505 OPPENHEIMER DEVELOPI	16,034	17,607
73935S105 POWERSHARES DB COMMO		
74253Q580 PRINCIPAL INV R/E SE		
76628R615 RIDGEWORTH FD-MIDCAP		
87234N849 TCW SMALL CAP GROWTH		
04314H709 ARTISAN MID CAP VALU		
314172560 FEDERATED STRAT VAL		
464287200 ISHARES CORE S & P 5	5,656	8,169
464287887 CEF ISHARES S&P SMAL		
00203H859 AQR MANAGED FUTURES	3,580	3,724
04314H204 ARTISAN INTERNATIONA	16,497	19,558
04314H600 ARTISAN MID CAP FUND	12,376	14,747
22544R305 CREDIT SUISSE COMM R	14,721	13,656
256206103 DODGE & COX INT'L ST	16,082	19,394
339128100 JP MORGAN MID CAP VA	8,429	9,783
483438305 KALMAR GR W/ VAL SM	7,359	9,757
487300808 KEELEY SMALL CAP VAL	7,964	9,794
552983694 MFS VALUE FUND-CLASS	14,696	17,750

Name of Stock	End of Year Book Value	End of Year Fair Market Value
63872T885 ASG GLOBAL ALTERNATI	13,330	13,249
74925K581 ROBECO BP LNG/SHRT R	9,665	10,478
78463X863 SPDR DJ WILSHIRE INT	13,963	13,720
922042858 VANGUARD FTSE EMERGI	18,416	17,731
922908553 VANGUARD REIT VIPER	14,512	13,558

**TY 2013 Investments - Other Schedule****Name:** #PATE FUND CT 76**EIN:** 57-6017422

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
4812C0803 JPMORGAN HIGH YIELD			
693390304 PIMCO LOW DURATION F			
693390700 PIMCO TOTAL RET FD-I			
693390882 PIMCO FOREIGN BD FD			
693391104 PIMCO REAL RETURN FU			
722005220 PIMCO FOREIGN BOND (			
72201F516 PIMCO EMERGING LOCAL			
957663503 WESTERN ASSET CORE P			

TY 2013 Other Decreases Schedule

**Name:** #PATE FUND CT 76

**EIN:** 57-6017422

Description	Amount
MUTUAL FUND POSTING DATE AFTER TYE	656

TY 2013 Other Expenses Schedule

**Name:** #PATE FUND CT 76

**EIN:** 57-6017422

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
K1 PSHARE-PORT 2% FLOOR		22		0

**TY 2013 Other Income Schedule****Name:** #PATE FUND CT 76**EIN:** 57-6017422

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
K1 PSHARE-INTEREST		1	
K1 PSHARE-NET ST GAIN		5	
K1 PSHARE-NET LT LOSS		-19	
K1 PSHARE-SEC 1256		139	



**TY 2013 Other Increases Schedule**

**Name:** #PATE FUND CT 76

**EIN:** 57-6017422

Description	Amount
MUTUAL FUND TAX EFFECTIVE DATE BEFORE TYE	646
ROUNDING	6

**TY 2013 Taxes Schedule****Name:** #PATE FUND CT 76**EIN:** 57-6017422

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX PAYMENT - PRIOR YE	77	0		0
FEDERAL ESTIMATES - PRINCIPAL	80	0		0
FOREIGN TAXES ON QUALIFIED FOR	81	81		0
FOREIGN TAXES ON NONQUALIFIED	52	52		0