



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**Open to Public Inspection****2013**

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation

ROBERT L. MATTOCKS, II & CAROL J. MATTOCKS CHARITABLE TRUST

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

5307 TRENT WOODS DRIVE

City or town, state or province, country, and ZIP or foreign postal code

NEW BERN, NC 28562

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

J Accounting method

☒ Cash☐ Accrual

end of year (from Part II, col (c), line

☐ Other (specify) _____

16) ▶ \$

2,041,774

(Part I, column (d) must be on cash basis)

A Employer identification number

56-6554789

B Telephone number (see instructions)

252-633-6644C If exemption application is pending, check here ☐D 1 Foreign organizations check here ☐2 Foreign organizations meeting the 85% test check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

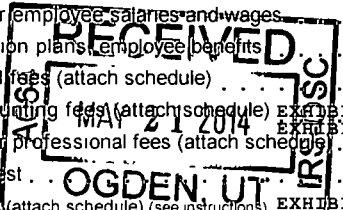
(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc. received (attach schedule)				
2 Check ☐ if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments	8	8		
4 Dividends and interest from securities	39,918	39,918		
5a Gross rents	68,330	68,330		
b Net rental income or (loss)	68,330			
6a Net gain or (loss) from sale of assets not on line 10	(2,252)			
b Gross sales price for all assets on line 6a	47,826			
7 Capital gain net income (from Part IV, line 2)		19,018		
8 Net short-term capital gain				
9 Income modifications				
10 a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	106,004	127,274		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)	3,248			
b Accounting fees (attach schedule)	1,558	1,558		
c Other professional fees (attach schedule)				
17 Interest	2,482	1,563		
18 Taxes (attach schedule) (see instructions)	19,371	8,105		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	463	232		
22 Printing and publications				
23 Other expenses (attach schedule)				
24 Total operating and administrative expenses. Add lines 13 through 23	27,122	11,458		
25 Contributions, gifts, grants paid	95,640			95,640
26 Total expenses and disbursements. Add lines 24 and 25	122,762	11,458		95,640
27 Subtract line 26 from line 12	(16,758)			
a Excess of revenue over expenses and disbursements		115,816		
b Net investment income (if negative, enter -0-)				
c Adjusted net income (if negative, enter -0-)				

SCANNED JUN 02 2014



10

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		18,623	67,883	67,883
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		1,526	919	919
	10 a	Investments - U S and state government obligations (attach schedule) .		9,049		
	b	Investments - corporate stock (attach schedule) EXHIBIT G		148,376	174,814	202,963
	c	Investments - corporate bonds (attach schedule) EXHIBIT H		117,114	88,130	82,264
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶	1,037,100 320,795	EXHIBITS I & J 735,676	716,305	742,190
	12	Investments - mortgage loans		980,000	945,555	945,555
	13	Investments - other (attach schedule)				
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)		2,010,364	1,993,606	2,041,774	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons .				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		2,010,364	1,993,606	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, . . . ▶ ☐ check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds . .				
	30	Total net assets or fund balances (see instructions)		2,010,364	1,993,606	
	31	Total liabilities and net assets/fund balances (see instructions)		2,010,364	1,993,606	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,010,364
2	Enter amount from Part I, line 27a	2	(16,758)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,993,606
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,993,606

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES - SEE EXHIBIT K				
b FORM 1099-DIV - FIRST CITIZENS BANK-CAPITAL GAIN DISTRIBUTIONS				
c FORM 6252 - 1825 SALTER PATH RD, INDIAN BEACH, NC		D	06/01/84	11/06/12
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 46,733		50,078	(3,345)
b 1,093			1,093
c 21,270			21,270
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			(3,345)
b			1,093
c			21,270
d			
e			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	19,018
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	(3,345)

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	105,081	2,053,145	0.0512
2011	86,400	2,248,220	0.0384
2010	186,126	2,675,595	0.0696
2009	152,292	2,778,704	0.0548
2008	112,705	3,081,205	0.0366

2 Total of line 1, column (d)	2	0.2506
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0501
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,022,932
5 Multiply line 4 by line 3	5	101,349
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,158
7 Add lines 5 and 6	7	102,507
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	95,640

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	2,316
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	2,316
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,316
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 919		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	919	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,397	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ -0- (2) On foundation managers ☐ \$ -0-		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ -0-		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NORTH CAROLINA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of ROBERT L. MATTOCKS, II Telephone no 252-633-6644 Located at 5307 TRENT WOODS DRIVE, NEW BERN, NC ZIP+4 28562			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
and enter the amount of tax-exempt interest received or accrued during the year		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes	☐ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes	☒ No
If "Yes," list the years		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5b** N/AOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No**6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**7b** N/A**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT L. MATTOCKS, II 5307 TRENT WOODS DR, NEW BERN, NC 28562	TRUSTEE 1 HOUR	-0-	-0-	-0-
CAROL J. MATTOCKS 5307 TRENT WOODS DR, NEW BERN, NC 28562	TRUSTEE 1 HOUR	-0-	-0-	-0-
STEPHEN R. WATT CAMPUS BOX 7501, RALEIGH, NC 27695	TRUSTEE 1 HOUR	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NOT APPLICABLE	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	▶

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	274,289
b	Average of monthly cash balances	1b	90,785
c	Fair market value of all other assets (see instructions)	1c	1,688,664
d	Total (add lines 1a, b, and c)	1d	2,053,738
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	2,053,738
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	30,806
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	2,022,932
6	Minimum investment return. Enter 5% of line 5	6	101,147

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	101,147
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,316
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,316
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	98,831
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	98,831
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	98,831

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	95,640
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	95,640
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	95,640

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				98,831
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012 3,701				
f Total of lines 3a through e	3,701			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 95,640				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				95,640
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	3,191			3,191
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	510			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	510			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012 510				
e Excess from 2013				

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

4942(j)(3) or		4942(j)(5)
---------------	--	------------

		Prior 3 years				(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b	85% of line 2a					
c	Qualifying distributions from Part XII line 4 for each year listed .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b or c for the alternative test relied upon					
a	Assets' alternative test - enter					
(1)	Value of all assets . . .					
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	'Endowment' alternative test - enter 2/3 of minimum investment return shown in Part X line 6 for each year listed . . .					
c	'Support' alternative test - enter					
(1)	Total support other than gross investment income (interest, dividends rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3)	Largest amount of support from an exempt organization.					
(4)	Gross investment income .					

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A	
d	Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A JSA Form **990-PF** (2013)

Part VII Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE EXHIBIT L				95,640
Total			▶ 3a	95,640
b Approved for future payment NOT APPLICABLE				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
----------	--

NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
NOT APPLICABLE		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true and correct. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here  5-14-14  Trustee

Signature of officer or trustee Date Title

5-14-14

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	LEE E. KNOTT, III, CPA	<i>LEE E. KNOTT, III, CPA</i>	5-13-14		P00590153
	Firm's name	► BRINKLEY ELAM & KNOTT, P.A., CPA'S		Firm's EIN	► 56-1077382
	Firm's address	► PO 1554 NEW BERN, NC 28563-1554		Phone no	252-633-4567

ROBERT L. MATTOCKS, II & CAROL J. MATTOCKS CHARITABLE TRUST
56-6554789
2013 FORM 990-PF

EXHIBIT A

PART I, LINE 16B - ACCOUNTING FEES

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	DISBURSEMENTS FOR CHARITABLE PURPOSE
ACCOUNTING	3,248	-	-	-
TOTAL	3,248	-	-	-

EXHIBIT B

PART I, LINE 16C - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	DISBURSEMENTS FOR CHARITABLE PURPOSE
INVESTMENT ADVISORY FEES	1,558	1,558	-	-
TOTAL	1,558	1,558	-	-

EXHIBIT C

PART I, LINE 18 - TAXES

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	DISBURSEMENTS FOR CHARITABLE PURPOSE
FOREIGN TAX	27	27	-	-
PROPERTY TAX	1,536	1,536	-	-
FEDERAL EXCISE TAX	919	-	-	-
TOTAL	2,482	1,563	-	-

EXHIBIT D

PART I, LINE 21 - TRAVEL, CONFERENCES, AND MEETINGS

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	DISBURSEMENTS FOR CHARITABLE PURPOSE
MEALS & ENTERTAINMENT	463	232	-	-
TOTAL	463	232	-	-

ROBERT L. MATTOCKS, II & CAROL J. MATTOCKS CHARITABLE TRUST
56-6554789
2013 FORM 990-PF

EXHIBIT E
PART I, LINE 19, COLUMN A - DEPRECIATION AND DEPLETION

DESCRIPTION	DATE ACQUIRED	DEPRECIABLE LIFE	METHOD	COST - BOOK BASIS	ACCUMULATED DEPRECIATION FROM PRIOR YEARS	CURRENT DEPRECIATION EXPENSE
<u>LAND</u>						
LAND - RENTAL - 221 MAIN ST, POLLOCKSVILLE, NC	12/31/1999	NOT APPLICABLE	NOT APPLICABLE	35,000	-	-
LAND - INVESTMENT - 71 47 ACRES, JONES COUNTY, NC	12/31/1999	NOT APPLICABLE	NOT APPLICABLE	76,000	-	-
LAND - INVESTMENT - 55% INTEREST IN 37 34 ACRES, JONES COUNTY, NC	12/31/1999	NOT APPLICABLE	NOT APPLICABLE	52,000	-	-
LAND - RENTAL - 4458 US 70 W, PRINCETON NC	12/18/2000	NOT APPLICABLE	NOT APPLICABLE	50,000	-	-
LAND - RENTAL - 1569 HWY 24 EAST WARSAW NC	12/18/2000	NOT APPLICABLE	NOT APPLICABLE	60,000	-	-
TOTAL LAND				273,000	-	-
<u>BUILDINGS & IMPROVEMENTS</u>						
COMMERCIAL BUILDING - RENTAL - 221 MAIN ST, POLLOCKSVILLE, NC	12/31/1999	39	STRAIGHT-LINE	378,000	126,404	9,692
COMMERCIAL BUILDING - RENTAL - 4458 US 70 WEST, PRINCETON, NC	12/18/2000	39	STRAIGHT-LINE	94,000	29,024	2,410
COMMERCIAL BUILDING - RENTAL - 1569 HWY 24 EAST, WARSAW, NC	12/18/2000	39	STRAIGHT-LINE	200,000	61,752	5,128
SITE IMPROVEMENT - RENTAL - 4458 HWY 70 WEST, PRINCETON, NC	12/18/2000	15	STRAIGHT-LINE	26,000	20,872	1,734
SITE IMPROVEMENT - RENTAL - 1569 HWY 24 WARSAW, NC	9/2/2004	15	STRAIGHT-LINE	6,100	3,372	407
TOTAL BUILDINGS & IMPROVEMENTS				704,100	241,424	19,371
<u>FURNITURE & FIXTURES</u>						
EQUIPMENT - 1569 HWY 24 EAST, WARSAW, NC	12/18/2000	5	STRAIGHT-LINE	60,000	60,000	-
TOTAL FURNITURE & FIXTURES				60,000	60,000	-
TOTAL				1,037,100	301,424	19,371

ROBERT L. MATTOCKS, II & CAROL J. MATTOCKS CHARITABLE TRUST
56-6554789
2013 FORM 990-PF

EXHIBIT F
PART I, LINE 19, COLUMN B - DEPRECIATION AND DEPLETION

DESCRIPTION	DATE ACQUIRED	DEPRECIABLE LIFE	METHOD	COST - TAX BASIS	ACCUMULATED DEPRECIATION - PRIOR YEARS	CURRENT DEPRECIATION EXPENSE
LAND - RENTAL - 221 MAIN ST, POLLOCKSVILLE, NC	12/31/1999	N/A	N/A	11,350	-	-
LAND - INVESTMENT - 71.47 ACRES, JONES COUNTY, NC	12/31/1999	N/A	N/A	36,000	-	-
LAND - INVESTMENT - 55% INTEREST IN 37.34 ACRES, JONES COUNTY, NC	12/31/1999	N/A	N/A	15,870	-	-
LAND - RENTAL - 4458 US 70 W, PRINCETON NC	12/18/2000	N/A	N/A	41,106	-	-
LAND - RENTAL - 1569 HWY 24 EAST WARSAW NC	12/18/2000	N/A	N/A	12,150	-	-
TOTAL LAND				116,476	-	-
<u>BUILDINGS & IMPROVEMENTS</u>						
COMMERCIAL BUILDING - RENTAL - 221 MAIN ST, POLLOCKSVILLE, NC	12/31/1999	39	STRAIGHT-LINE	797	267	20
COMMERCIAL BUILDING - RENTAL - 4458 US 70 WEST, PRINCETON, NC	12/18/2000	39	STRAIGHT-LINE	83,645	25,826	2,145
COMMERCIAL BUILDING - RENTAL - 1569 HWY 24 EAST, WARSAW, NC	12/18/2000	39	STRAIGHT-LINE	121,240	37,434	3,109
SITE IMPROVEMENT - RENTAL - 4458 US 70 WEST, PRINCETON, NC	12/18/2000	15	STRAIGHT-LINE	36,372	29,199	2,425
SITE IMPROVEMENT - RENTAL - 1569 HWY 24, WARSAW, NC	9/2/2004	15	STRAIGHT-LINE	6,100	3,372	406
TOTAL BUILDINGS & IMPROVEMENTS				248,154	96,097	8,105
<u>FURNITURE & FIXTURES</u>						
EQUIPMENT - 1569 HWY 24 EAST, WARSAW, NC	12/18/2000	5	STRAIGHT-LINE	903	903	-
TOTAL FURNITURE & FIXTURES				903	903	-
TOTAL				365,533	97,000	8,105

ROBERT L. MATTOCKS, II & CAROL J. MATTOCKS CHARITABLE TRUST
56-6554789
2013 FORM 990-PF

EXHIBIT G

PART II, LINE 10B, COLUMNS B & C - INVESTMENTS - CORPORATE STOCK

DESCRIPTION	BOOK VALUE - 12/31/13	FAIR MARKET VALUE - 12/31/13
1,002 551 SHS AQR DIVERSIFIED ARBITRAGE FUND - 1	11,067	10,928
1,109 338 SHS AQR MANAGED FUTURES STRATEGY FUND 1 CLASS	11,241	11,748
1,558 937 SHS GOLDMAN SACHS ABSOLUTE RETURN TRACKER	14,057	14,529
1,693 SHS HARDING LOEVNER FRONTIER EMERGING MARKETS PORTFOLIO	13,933	14,424
398 859 SHS OPPENHEIMER DEVL PNG MKTS INC CL A	12,837	14,981
2,474 008 SHS PIMCO COMMODITY REAL RETURN STRATEGY INSTL	16,920	13,582
5,652 404 SHS ROWE T PRICE CAP OPPORTUNITIES FD	94,759	122,770
TOTAL	174,814	202,963

EXHIBIT H

PART II, LINE 10C, COLUMNS B & C - INVESTMENTS - CORPORATE BONDS

DESCRIPTION	BOOK VALUE - 12/31/13	FAIR MARKET VALUE - 12/31/13
2,293 57 SHS MAINSTAY HIGH YIELD CORPORATE BD	13,830	13,876
3,902 850 SHS PIMCO TOTAL RETURN INSTITUTIONAL CLASS I SHARES	44,582	41,721
2,115 476 SHS PIMCO EMERGING LOCAL BOND FUND - IS FD	22,741	19,737
674 SHS T ROWE PRICE EMERGING MARKETS CORPORATE BOND FD	6,976	6,929
TOTAL	88,130	82,264

ROBERT L. MATTOCKS, II & CAROL J. MATTOCKS CHARITABLE TRUST

56-6554789

2013 FORM 990-PF

EXHIBIT I

PART II, LINE 11, COLUMN B - INVESTMENTS-LAND, BUILDINGS, EQUIPMENT

DESCRIPTION	DATE ACQUIRED	COST	ACCUMULATED DEPRECIATION	ADJUSTED BOOK VALUE
<u>LAND</u>				
LAND - RENTAL - 221 MAIN ST, POLLOCKSVILLE, NC	12/31/1999	35,000	-	35,000
LAND - INVESTMENT - 71 47 ACRES, JONES COUNTY, NC	12/31/1999	76,000	-	76,000
LAND - INVESTMENT - 55% INTEREST IN 37 34 ACRES, JONES COUNTY, NC	12/31/1999	52,000	-	52,000
LAND - RENTAL - 4458 US 70 W, PRINCETON NC	12/18/2000	50,000	-	50,000
LAND - RENTAL - 1569 HWY 24 EAST WARSAW NC	12/18/2000	60,000	-	60,000
TOTAL LAND		273,000	-	273,000
<u>BUILDINGS & IMPROVEMENTS</u>				
COMMERCIAL BUILDING - RENTAL - 221 MAIN ST, POLLOCKSVILLE, NC	12/31/1999	378,000	136,096	241,904
COMMERCIAL BUILDING - RENTAL - 4458 US 70 WEST, PRINCETON, NC	12/18/2000	94,000	31,434	62,566
COMMERCIAL BUILDING - RENTAL - 1569 HWY 24 EAST, WARSAW, NC	12/18/2000	200,000	66,880	133,120
SITE IMPROVEMENT - RENTAL - 4458 HWY 70 WEST, PRINCETON, NC	12/18/2000	26,000	22,606	3,394
SITE IMPROVEMENT - RENTAL - 1569 HWY 24 WARSAW, NC	9/2/2004	6,100	3,779	2,321
TOTAL BUILDINGS & IMPROVEMENTS		704,100	260,795	443,305
<u>FURNITURE & FIXTURES</u>				
EQUIPMENT - 1569 HWY 24 EAST, WARSAW, NC	12/18/2000	60,000	60,000	-
TOTAL FURNITURE & FIXTURES		60,000	60,000	-
TOTAL		1,037,100	320,795	716,305

ROBERT L. MATTOCKS, II & CAROL J. MATTOCKS CHARITABLE TRUST
56-6554789
2013 FORM 990-PF

EXHIBIT J

PART II, LINE 11, COLUMNS B & C - INVESTMENTS - LAND, BUILDINGS, EQUIPMENT

DESCRIPTION	BOOK VALUE	FAIR MARKET
	(NET DEPRECIATION) - 12/31/13	VALUE - 12/31/13
LAND & COMMERICAL BUILDING - 221 MAIN ST, POLLOCKSVILLE, NC	276,904	221,700
LAND - 71 5 ACRES MAYSVILLE, NC	76,000	76,000
LAND & COMMERICAL BUILDING - 1569 HWY 24 E, WARSAW, NC	195,441	239,490
LAND & COMMERICAL BUILDING - 4458 HWY 70 W, PRINCETON, NC	115,960	153,000
LAND - JONES COUNTY, NC (55% OF 37 34 ACRES)	52,000	52,000
TOTAL	716,305	742,190

ROBERT L. MATTOCKS, II & CAROL J. MATTOCKS CHARITABLE TRUST
56-6554789
2013 FORM 990-PF

EXHIBIT K

PART IV, LINE 1A - CAPITAL GAINS/(LOSSES) - PUBLICLY TRADED SECURITIES

DESCRIPTION	HOW ACQUIRED	DATE ACQUIRED	DATE SOLD	SALES PRICE	COST	GAIN/(LOSS)	LONG- TERM/SHORT- TERM
223 SHS AQR DIVERSIFIED ARBITRAGE FUND-1	PURCHASE	8/13/2012	7/26/2013	2,495	2,462	33	SHORT-TERM
21 SHS GOLDMAN SACHS ABSOLUTE RETURN TRACKER CL 1	PURCHASE	VARIOUS	7/26/2013	198	189	9	SHORT-TERM
1,265 SHS MAINSTAY HIGH YIELD CORPORATE BD 1 FD	PURCHASE	8/8/2012	7/26/2013	7,691	7,628	63	SHORT-TERM
27 SHS OPPENHEIMER DEVELOPING MARKETS CL Y	PURCHASE	8/10/2012	7/26/2013	949	870	79	SHORT-TERM
1,500 SHS PIMCO COMMODITY REAL RETURN STRATEGY INSTL	PURCHASE	8/8/2012	7/26/2013	8,520	10,320	(1,800)	SHORT-TERM
393 SHS PIMCO EMERGING LOCAL BOND FUND	PURCHASE	8/8/2012	7/26/2013	3,875	4,240	(365)	SHORT-TERM
2,132 SHS PIMCO FDS PAC INVT MGMT SER TOT RET FD INST	PURCHASE	8/13/2012	7/26/2013	23,005	24,369	(1,364)	SHORT-TERM
TOTAL				46,733	50,078	(3,345)	

ROBERT L. MATTOCKS, II & CAROL J. MATTOCKS CHARITABLE TRUST
56-6554789
2013 FORM 990-PF

EXHIBIT L

PART XV, LINE 3A - CONTRIBUTIONS PAID

RECIPIENT ORGANIZATION	ADDRESS	STATUS	PURPOSE OF CONTRIBUTION	AMOUNT
AMERICAN HEART ASSOCIATION, MID-ATLANTIC AFFILIATE	7272 GREENVILLE AVE, DALLAS, TX 75231	PUBLIC CHARITY	HEART RESEARCH	
BARIUM SPRINGS HOME FOR CHILDREN	PO BOX 1, BARIUM SPRINGS, NC 28010	PUBLIC CHARITY	ASSISTANCE FOR CHILDREN	3,000
BOYS AND GIRLS HOME OF NORTH CAROLINA, INC	PO BOX 127, LAKE WACCAMAW, NC 28450	PUBLIC CHARITY	ASSISTANCE FOR CHILDREN	2,000
CAROLINA BALLET, INC	3401-131 ATLANTIC AVE, RALEIGH, NC 27604	PUBLIC CHARITY	PROMOTE DANCE	2,000
CRAVEN ARTS COUNCIL AND GALLERY, INC	PO BOX 596, NEW BERN, NC 28563	PUBLIC CHARITY	PROMOTE ART	300
CRAVEN COMMUNITY COLLEGE FOUNDATION	800 COLLEGE CT, NEW BERN, NC 28562	PUBLIC CHARITY	SUPPORT CRAVEN COMMUNITY COLLEGE IN PROVIDING EDUCATIONAL SERVICES	1,000
GRANDFATHER HOME FOR CHILDREN, INC	PO BOX 98, BANNER ELK, NC 28604	PUBLIC CHARITY	ASSISTANCE FOR CHILDREN	5,000
HABITAT FOR HUMANITY OF CRAVEN COUNTY	PO BOX 1231, NEW BERN, NC 28563	PUBLIC CHARITY	BUILD LOW COST HOUSING	2,000
MEDIATION CENTER OF EASTERN CAROLINA, INC	PO BOX 4428, GREENVILLE, NC 27836	PUBLIC CHARITY	MEDIATION SERVICES	300
MEDICAL FOUNDATION OF EAST CAROLINA UNIVERSITY, INC	525 MOYE RD, GREENVILLE, NC 27834	PUBLIC CHARITY	SUPPORT HEALTH RELATED EDUCATIONAL SERVICES	300
MERCI CLINIC	1315 TATUM DR, NEW BERN, NC 28560	PUBLIC CHARITY	MEDICAL SERVICES FOR INDIGENT	500
NEUSE RIVER FOUNDATION, INC	PO BOX 15451, NEW BERN, NC 28561	PUBLIC CHARITY	PRESERVATION OF RIVER	800
NORTH CAROLINA STATE UNIVERSITY FOUNDATION, INC	NCSU BOX 7207, RALEIGH, NC 27695	PUBLIC CHARITY	FUND SCHOLARSHIPS	300
NORTH CAROLINA SYMPHONY SOCIETY, INC	3700 GLENWOOD AVE, RALEIGH, NC 27612	PUBLIC CHARITY	PROMOTE MUSIC	33,500
POLLOCKSVILLE PRESBYTERIAN CHURCH	311 MAIN ST, POLLOCKSVILLE, NC 28573	PUBLIC CHARITY	FUND CHURCH ACTIVITIES	700
SALVATION ARMY	1402 RHEM AVE, NEW BERN, NC 28560	PUBLIC CHARITY	ASSISTANCE TO INDIGENT FAMILIES	36,700
SWISS BEAR DOWNTOWN DEVELOPMENT CORPORATION	316 SOUTH FRONT ST, NEW BERN, NC 28560	PUBLIC CHARITY	PROMOTE DOWNTOWN NEW BERN BUSINESS DISTRICT	500
TRYON PALACE COUNCIL OF FRIENDS, INC	PO BOX 1007, NEW BERN, NC 28563	PUBLIC CHARITY	PRESERVATION OF HISTORIC SITES	740
UNC-G EXCELLENCE FOUNDATION	PO BOX 26170, GREENSBORO, NC 27402	PUBLIC CHARITY	FUND SCHOLARSHIPS, FELLOWSHIPS, AND STUDENT LOANS	1,500
UNITED WAY OF COASTAL CAROLINA, INC	PO BOX 1385, NEW BERN, NC 28563	PUBLIC CHARITY	SUPPORT MULTIPLE CHARITIES	1,000
TOTAL CONTRIBUTIONS				95,640

Installment Sale Income

Department of the Treasury
Internal Revenue Service

- Attach to your tax return.
► Use a separate form for each sale or other disposition of property on the installment method.
► Information about Form 6252 and its instructions is at www.irs.gov/form6252.

2013
Attachment
Sequence No 79

Name(s) shown on return

Identifying number

ROBERT L. MATTOCKS, II & CAROL J. MATTOCKS CHARITABLE TRUST 56-6554789

- 1 Description of property ► HOUSE & LAND - 1825 SALTER PATH RD, INDIAN BEACH, NC
2a Date acquired (mm/dd/yyyy) ► 06/01/1984 b Date sold (mm/dd/yyyy) ► 11/06/2012
3 Was the property sold to a related party (see instructions) after May 14, 1980? If "No," skip line 4 ☐ Yes ☒ No
4 Was the property you sold to a related party a marketable security? If "Yes," complete Part III. If "No," complete Part III for the year of sale and the 2 years after the year of sale ☐ Yes ☐ No

Part I Gross Profit and Contract Price. Complete this part for the year of sale only

- | | | |
|--|----|--|
| 5 Selling price including mortgages and other debts. Do not include interest, whether stated or unstated | 5 | |
| 6 Mortgages, debts, and other liabilities the buyer assumed or took the property subject to (see instructions) | 6 | |
| 7 Subtract line 6 from line 5 | 7 | |
| 8 Cost or other basis of property sold | 8 | |
| 9 Depreciation allowed or allowable | 9 | |
| 10 Adjusted basis. Subtract line 9 from line 8 | 10 | |
| 11 Commissions and other expenses of sale | 11 | |
| 12 Income recapture from Form 4797, Part III (see instructions) | 12 | |
| 13 Add lines 10, 11, and 12 | 13 | |
| 14 Subtract line 13 from line 5. If zero or less, do not complete the rest of this form (see instructions) | 14 | |
| 15 If the property described on line 1 above was your main home, enter the amount of your excluded gain (see instructions). Otherwise, enter -0- | 15 | |
| 16 Gross profit. Subtract line 15 from line 14 | 16 | |
| 17 Subtract line 13 from line 6. If zero or less, enter -0- | 17 | |
| 18 Contract price. Add line 7 and line 17 | 18 | |

Part II Installment Sale Income. Complete this part for the year of sale and any year you receive a payment or have certain debts you must treat as a payment on installment obligations

- | | | |
|---|----|--------|
| 19 Gross profit percentage (expressed as a decimal amount). Divide line 16 by line 18. For years after the year of sale, see instructions | 19 | .6175 |
| 20 If this is the year of sale, enter the amount from line 17. Otherwise, enter -0- | 20 | |
| 21 Payments received during year (see instructions). Do not include interest, whether stated or unstated | 21 | 34,445 |
| 22 Add lines 20 and 21 | 22 | 34,445 |
| 23 Payments received in prior years (see instructions). Do not include interest, whether stated or unstated | 23 | 5,000 |
| 24 Installment sale income. Multiply line 22 by line 19 | 24 | 21,270 |
| 25 Enter the part of line 24 that is ordinary income under the recapture rules (see instructions) | 25 | |
| 26 Subtract line 25 from line 24. Enter here and on Schedule D or Form 4797 (see instructions) | 26 | 21,270 |

Part III Related Party Installment Sale Income. Do not complete if you received the final payment this tax year

- 27 Name, address, and taxpayer identifying number of related party _____
- 28 Did the related party resell or dispose of the property ("second disposition") during this tax year? ☐ Yes ☐ No
- 29 If the answer to question 28 is "Yes," complete lines 30 through 37 below unless one of the following conditions is met. Check the box that applies.
- a ☐ The second disposition was more than 2 years after the first disposition (other than dispositions of marketable securities). If this box is checked, enter the date of disposition (mm/dd/yyyy) . . . ► _____
- b ☐ The first disposition was a sale or exchange of stock to the issuing corporation
- c ☐ The second disposition was an involuntary conversion and the threat of conversion occurred after the first disposition
- d ☐ The second disposition occurred after the death of the original seller or buyer
- e ☐ It can be established to the satisfaction of the IRS that tax avoidance was not a principal purpose for either of the dispositions. If this box is checked, attach an explanation (see instructions)
- | | | |
|---|----|--|
| 30 Selling price of property sold by related party (see instructions) | 30 | |
| 31 Enter contract price from line 18 for year of first sale | 31 | |
| 32 Enter the smaller of line 30 or line 31 | 32 | |
| 33 Total payments received by the end of your 2013 tax year (see instructions) | 33 | |
| 34 Subtract line 33 from line 32. If zero or less, enter -0- | 34 | |
| 35 Multiply line 34 by the gross profit percentage on line 19 for year of first sale | 35 | |
| 36 Enter the part of line 35 that is ordinary income under the recapture rules (see instructions) | 36 | |
| 37 Subtract line 36 from line 35. Enter here and on Schedule D or Form 4797 (see instructions) | 37 | |