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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Service

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► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation WILLIAM HAROLD SMITH CHARITABLE TRUST		A Employer identification number 56-6526359
Number and street (or P O box number if mail is not delivered to street address) 1105 AIRPORT ROAD	Room/suite	B Telephone number (see instructions) 828-652-9443
City or town, state or province, country, and ZIP or foreign postal code MARION NC 28752		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 4,950,243	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	230,026	230,026		
4	Dividends and interest from securities	32,382	32,382		
5a	Gross rents	33,590	33,590		
b	Net rental income or (loss) 24,858				
6a	Net gain or (loss) from sale of assets not on line 10 STMT 1	5,564			
b	Gross sales price for all assets on line 6a 1,073,831				
7	Capital gain net income (from Part IV, line 2)		1,585		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	301,562	297,583	0	
13	Compensation of officers, directors, trustees, etc	28,627			28,627
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STMT 2	3,275			3,275
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 3	3,510			3,510
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att sch) STMT 4	26,120	16,147		9,973
24	Total operating and administrative expenses. Add lines 13 through 23	61,532	16,147	0	45,385
25	Contributions, gifts, grants paid	247,214			247,214
26	Total expenses and disbursements. Add lines 24 and 25	308,746	16,147	0	292,599
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	-7,184			
b	Net investment income (if negative, enter -0-)		281,436		
c	Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash – non-interest-bearing	7,889	11,534	11,534
	2 Savings and temporary cash investments	143,532	416,882	416,882
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (att. schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments – U S and state government obligations (attach schedule)			
	b Investments – corporate stock (attach schedule) SEE STMT 5	246,266	246,266	323,532
	c Investments – corporate bonds (attach schedule) SEE STMT 6	3,971,634	3,569,674	3,776,885
	11 Investments – land, buildings, and equipment, basis ▶ 421,410 Less accumulated depreciation (attach sch) ▶ STMT 7 13,551	290,078	407,859	421,410
	12 Investments – mortgage loans			
	13 Investments – other (attach schedule)			
Liabilities	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	4,659,399	4,652,215	4,950,243
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
Net Assets or Fund Balances	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input type="checkbox"/>			
	24 Unrestricted			
Net Assets or Fund Balances	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	4,659,399	4,652,215	
	30 Total net assets or fund balances (see instructions)	4,659,399	4,652,215	
Net Assets or Fund Balances	31 Total liabilities and net assets/fund balances (see instructions)	4,659,399	4,652,215	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,659,399
2 Enter amount from Part I, line 27a	2	-7,184
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	4,652,215
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,652,215

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CAPITAL GAIN DISTRIBUTION				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 1,585			1,585	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			1,585	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7			2	1,585
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 			3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	295,376	5,069,499	0.058265
2011	287,746	4,727,688	0.060864
2010	247,169	4,512,960	0.054769
2009	235,763	3,753,144	0.062817
2008	198,110	3,906,290	0.050716
2 Total of line 1, column (d)			2 0.287431
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.057486
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 4,995,891
5 Multiply line 4 by line 3			5 287,194
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,814
7 Add lines 5 and 6			7 290,008
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 292,599

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	2,814
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2	3	2,814
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,814
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	3,500
b Exempt foreign organizations – tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d	7	3,500
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	686
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 686 Refunded	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?	N/A	
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	N/A	X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	N/A	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MATT SMITH 1105 AIRPORT RD Located at ► MARION NC ZIP+4 ► 28752 Telephone no ► 828-652-9443			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/> 1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? N/A 1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions) N/A 2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A 3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☐ Yes ☒ No **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MATT SMITH 1105 AIRPORT RD MARION NC 28752	TRUSTEE 25.00	28,627	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 INDIVIDUALS AND MCDOWELL TECHNICAL COMMUNITY COLLEGE WERE GIVEN SCHOLARSHIPS AMOUNTING TO \$247,214 ADMINISTRATIVE EXPENSES \$61,532	308,746
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,412,831
b	Average of monthly cash balances	1b	237,730
c	Fair market value of all other assets (see instructions)	1c	421,410
d	Total (add lines 1a, b, and c)	1d	5,071,971
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	5,071,971
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	76,080
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,995,891
6	Minimum investment return. Enter 5% of line 5	6	249,795

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	249,795
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,814
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,814
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	246,981
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	246,981
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	246,981

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	292,599
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	292,599
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,814
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	289,785

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				246,981
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				31,780
f Total of lines 3a through e	31,780			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 292,599				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount				246,981
e Remaining amount distributed out of corpus	45,618			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	77,398			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	77,398			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				31,780
e Excess from 2013				45,618

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
MATT SMITH 828-652-9443
1105 AIRPORT RD MARION NC 28752

b The form in which applications should be submitted and information and materials they should include.
SEE ATTACHED APPLICATION

c Any submission deadlines
APRIL 2 EACH YEAR

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
SEE ATTACHED APPLICATION

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHED SEE ATTACHED SEE ATTACHED NC 28752	NONE	INDIVIDUAL SCHOLARSHIPS	COLLEGE	247,214
Total			▶ 3a	247,214
b Approved for future payment N/A				
Total			▶ 3b	

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Whom Sold	Description	Date Acquired	Date Sold	How Received		Cost	Expense	Depreciation	Net Gain / Loss
					Sale Price				
RAYMOND JAMES VARIOUS		VARIOUS	VARIOUS		PURCHASE \$ 1,072,246	\$ 1,068,267	\$	\$	3,979
TOTAL					\$ 1,072,246	\$ 1,068,267	\$ 0	\$ 0	3,979

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ALFRED M. SHIVER PA, CPA TAX PRE	\$ 3,275	\$	\$	3,275
TOTAL	\$ 3,275	\$ 0	\$ 0	3,275

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
TAXES	\$ 3,510	\$	\$	3,510
TOTAL	\$ 3,510	\$ 0	\$ 0	3,510

9163 WILLIAM HAROLD SMITH CHARITABLE

56-6526359

FYE: 12/31/2013

Federal Statements**Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
RENTAL HOUSES MCDOWELL CO INVESTMENT DEPRECIATION	\$ 8,732	8,732	\$	\$
EXPENSES				
OFFICE SUPPLIES	4,271			4,271
ADMINISTRATIVE EXPENSE	5,702			5,702
RENTAL HOUSE EXPENSES	7,415	7,415		
TOTAL	\$ 26,120	\$ 16,147	\$ 0	\$ 9,973

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
RAYMOND JAMES -REIT	\$ 63,273	\$ 63,273	COST	\$ 65,808
RAYMOND JAMES-STOCKS	182,993	182,993	COST	257,724
TOTAL	\$ 246,266	\$ 246,266		\$ 323,532

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
VARIOUS-RAYMOND JAMES	\$ 3,971,634	\$ 3,569,674	COST	\$ 3,776,885
TOTAL	\$ 3,971,634	\$ 3,569,674		\$ 3,776,885

9163 WILLIAM HAROLD SMITH CHARITABLE

56-6526359

FYE: 12/31/2013

Federal Statements

Statement 7 - Form 990-PF, Part II, Line 11 - Land, Building, and Equipment Investments

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
HOUSE LAND	\$ 290,078	\$ 421,410	\$ 13,551	\$ 421,410
TOTAL	\$ 290,078	\$ 421,410	\$ 13,551	\$ 421,410

9163 WILLIAM HAROLD SMITH CHARITABLE

56-6526359

Federal Statements

FYE: 12/31/2013

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description

SEE ATTACHED APPLICATION

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description

APRIL 2 EACH YEAR

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description

SEE ATTACHED APPLICATION

Form **4562**Department of the Treasury
Internal Revenue Service

(99)

Depreciation and Amortization
(Including Information on Listed Property)

OMB No 1545-0172

2013Attachment
Sequence No **179**

▶ See separate instructions.

▶ Attach to your tax return.

Name(s) shown on return **WILLIAM HAROLD SMITH CHARITABLE TRUST**Identifying number
56-6526359

Business or activity to which this form relates

RENTAL HOUSES MCDOWELL CO**Part I Election To Expense Certain Property Under Section 179****Note:** If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.**Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.) (See instructions.)**

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	1,467

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)**Section A**

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	4,910
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property	03/28/13	119,013	27 5 yrs	MM	S/L	2,355
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions.)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	8,732
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

For Paperwork Reduction Act Notice, see separate instructions.

Form **4562** (2013)

APPALACHIAN STATE UNIVERSITY

Melody A. Cauthen	██████████	\$1,000
Kimberley R. Cook	██████████	\$2,000
Kelsie E. Fender	██████████ 0	\$2,500
Megan E. Foster	██████████	\$3,000
Daniel T. Jackson	██████████	\$500
Michelle N. Mostiller	██████████ 8	\$2,000
Lauren G. Roquemore	██████████ 5	\$2,000
Quitin R. Smith	██████████	\$3,000
Ashley F. Waldrup	██████████	\$3,000

COLOMBIA COLLEGE

Emma Jo Condrey	██████████	\$2,000
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EAST CAROLINA UNIVERSITY

Alexander M. Barham	██████████ 9	\$3,000
James D. Washburn	██████████ 5	\$2,000

GARDNER-WEBB UNIVERSITY

Hannah J. Wilson	██████████ 9999	\$3,000
Caitlin M. Silver	██████████	\$2,000
Cairlin S. Lanning	██████████	\$5,000
Hannah B. Silver	██████████	\$3,000

THE KING'S COLLEGE

Rebecca C. Calhoun	██████████ 7	\$5,000
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LEES-McRAE COLLEGE

Elizabeth L. Poteat	██████████ 7	\$4,000
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LENOIR-RHYNE UNIVERSITY

Diego A. Mureno	██████████ 8	\$5,000
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LIBERTY UNIVERSITY

Erica C. Roland	██████████ 4	\$2,000
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William Harold Smith Trust 56-6526359
Form 990 PF Part XV Line 3
12-31-13

MARS HILL COLLEGE

Autumn B. Ward	[REDACTED]	\$4,000
Elaina D. Jhant	[REDACTED]	\$4,000
Stanley J. Holloway	[REDACTED]	\$1,000
Derra D. Gouge	[REDACTED]	\$2,000
Savannah L. Spratt	[REDACTED]	\$5,000

MILLIGAN COLLEGE

Emily M. Smith	[REDACTED]	\$4,000
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MONTREAT COLLEGE

Dustin T. Jimison	[REDACTED]	\$2,000
Brent M. Rowe	[REDACTED]	\$3,000
Taylor P. Settlemyre	[REDACTED]	\$500

NORTH CAROLINA STATE UNIVERSITY

Heath S. Anderson	[REDACTED]	\$2,000
Skylar D. Shirlen	[REDACTED]	\$2,000

NORTH GREENVILLE UNIVERSITY

Makayla R. Davis	[REDACTED]	\$3,000
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SALEM COLLEGE

Sarah K. Turner	[REDACTED]	\$1,000
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UNIVERSITY OF ALABAMA

Ashley B Loftis	[REDACTED]	\$3,000
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UNIVERSITY OF NORTH CAROLINA AT ASHEVILLE

Noah A. Dunham	[REDACTED]	\$1,000
Kourtney M. Grindstaff	[REDACTED]	\$1,000
Rhapsody J. Taylor	[REDACTED]	\$3,000

UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL

Mary E. Greene	[REDACTED]	\$3,000
Laralee H. Lynch	[REDACTED]	\$4,000

William Harold Smith Trust 56-6526359

Form 990PF Part XV Line 3

Holly S. Woody  \$3,000

John R. Biddix  \$1,000

TOTAL \$160,000

Return G Webb H Wilson \$ (586.24)

Total four year scholarships : \$159,413.76

Katherine C. Orndoff	[REDACTED]	\$500
Caroline S. Warburton	[REDACTED]	\$3,000
Lindsey M. Warburton	[REDACTED]	\$3,000

UNIVERSITY OF NORTH CAROLINA AT CHARLOTTE

Jonathan I. Shuman	[REDACTED]	\$2,000
Andrew P. Warburton	[REDACTED]	\$1,000

UNIVERSITY OF NORTH CAROLINA AT GREENSBORO

Jaron M. Jamison	[REDACTED]	\$4,000
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UNIVERSITY OF NORTH CAROLINA AT PEMBROKE

Hannah B. Stockton	[REDACTED]	\$4,000
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WARREN WILSON COLLEGE

Alixandrea L. Williams	[REDACTED]	\$2,000
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WESTERN CAROLINA UNIVERSITY

Stephanie D. Branch	[REDACTED]	\$2,000
Ethan W. Freeman	[REDACTED]	\$1,000
Matthew A. Holda	[REDACTED]	\$1,000
Jordan L. Logan	[REDACTED]	\$3,000
McKenzye M. McCoyle	[REDACTED]	\$5,000
Holly M. Murphy	[REDACTED]	\$1,000
Shantea L. Packett	[REDACTED]	\$1,000
Tabitha R. Price	[REDACTED]	\$3,000
Lindsay E. Pike	[REDACTED]	\$1,000
Nicolas L. Westmoreland	[REDACTED]	\$2,000
Kasey A. Wilson	[REDACTED]	\$3,000

WINGATE UNIVERSITY

Rebekah R. Autrey	[REDACTED]	\$3,000
Nicholas D. Byrd	[REDACTED]	\$3,000
Rylie N. Lytle	[REDACTED]	\$4,000

MTCC Scholarships 2013		Spring	Summer	Fall
		1/19/2013	6/18/2013	9/11/2013
Harlan Barnes	██████████8	\$600.00		
Paige Creson	██████████2	\$200.00		
Kourtney Grindstaff	██████████3	\$800.00		
Stacy Lawson	██████████0	\$500.00	\$500.00	
Diana McPeters	██████████7	\$500.00	\$300.00	
Tabatha Cable-Taylor	██████████4			\$500.00
Lauren D Creson	██████████2			\$500.00
Taylor K Franklin	██████████5			\$400.00
TOTALS		\$2,600.00	\$800.00	\$1,400.00
Spring scholarships	\$2,600.00			
Summer scholarships	\$800.00			
Fall scholarships	\$1,400.00			
Total	\$4,800.00			
Trustees 8/9/2013	\$83,000.00			
Total to MTCC 2013	\$87,800.00			

THE WILLIAM HAROLD SMITH CHARITABLE TRUST

The William Harold Smith Charitable Trust was established to provide financial assistance to students who are graduating from McDowell High School and are accepted for admission to a post-secondary institution.

Awards will be based on the following criteria:

- Financial need of the student
- Overall character and reputation of the student
- Scholastic achievement
- Participation in school, community or church activities

Payment of award will be made directly to the institution that the student will be attending. Amount of the award will not exceed the cost of attendance, when combined with awards from all other sources.

The student may reapply for financial assistance from this Trust each year provided he/she demonstrates through grades and achievement a serious attitude toward obtaining a degree. The minimum requirements are:

- 30 credit hours per academic year
- Cumulative GPA of 3.0

APPLICATION DEADLINE IS APRIL 2.

This application becomes complete only when you have returned the following to your high school counselor by April 2:

- This completed and signed application.
- Write an essay describing a meaningful experience you had while participating in a school, community, or church activity which influenced your personal aspirations, educational and career goals. You may also include any other information that the committee should know when considering your application.
- A copy of your McDowell High School transcript.
- A copy of your parent's IRS form 1040 and their W-2 forms.



Mr. Smith, a resident and business owner in McDowell County, died in 1997. In his Last Will and Testament, he established this Trust, which will benefit the residents of McDowell County indefinitely.

APPLICANT INFORMATION

NAME _____
FIRST MIDDLE LAST

MAILING ADDRESS _____

PHONE _____ BIRTHDATE _____

WHAT UNIVERSITY DO YOU PLAN TO ATTEND?

WHAT MAJOR DO YOU PLAN TO PURSUE? _____

SAT SCORE _____ GPA _____ CLASS RANK _____

FAMILY INFORMATION

NAME OF FATHER/GUARDIAN EMPLOYER ANNUAL INCOME

NAME OF MOTHER/GUARDIAN EMPLOYER ANNUAL INCOME

LIST NAME AND AGE OF ANY OTHER RELATIVES LIVING AT HOME

ADJUSTED GROSS INCOME (FROM FORM 1040) _____

APPLICATION CHECKLIST

Do not use staples and do not fold any of the attached papers. This application becomes complete only when you have returned the following to the McDowell High School Guidance Department by April 2:

- THIS COMPLETED AND SIGNED APPLICATION
- ESSAY
- TRANSCRIPT
- COPY OF PARENT'S IRS FORM 1040 (pages 1 & 2 only)
- COPY OF PARENT'S W-2 FORMS

I CERTIFY THAT ALL THE INFORMATION ON THIS FORM IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE. I AGREE TO PROVIDE PROOF OF THE INFORMATION, WHICH MAY INCLUDE COMPLETE COPIES OF TAX FORMS, FAFSA FORMS, STUDENT AID REPORTS, OR ANY OTHER INFORMATION REQUESTED BY THIS TRUST. I UNDERSTAND THAT MY APPLICATION WILL NOT BE CONSIDERED IF ANY OF THE REQUIRED OR REQUESTED INFORMATION IS NOT PROVIDED BY THE DUE DATE.

SIGNATURE _____ DATE _____

SOCIAL SECURITY NUMBER _____

For Students Re-Applying

PART XV FORM 990PF

WILLIAM HAROLD SMITH EDUCATIONAL TRUST

APPLICATION FOR FINANCIAL AID 56-6526359

NAME _____
FIRST MIDDLE LAST

SOCIAL SECURITY NUMBER _____

HOME ADDRESS _____

HOME PHONE _____ CELL _____ EMAIL _____

YOUR ADDRESS AT SCHOOL _____

COLLEGE/UNIVERSITY THAT YOU ARE ATTENDING _____

COLLEGE/UNIVERSITY CASHIERS/BILLING OFFICE ADDRESS _____

WHAT IS YOUR MAJOR? _____

WILL YOU LIVE IN ON CAMPUS OR OFF CAMPUS HOUSING? _____

CUMULATIVE GPA _____ CUMULATIVE CREDIT HOURS _____

FAMILY INFORMATION

FATHER EMPLOYER ANNUAL INCOME

MOTHER EMPLOYER ANNUAL INCOME

LIST NAME AND AGE OF ANY OTHER DEPENDENTS LIVING AT HOME

REQUIRED INFORMATION:

- ✓ Furnish a copy of the Financial Aid Award letter from your college/university stating the amount of financial aid that you will receive from any other sources.
- ✓ If you are applying for the first time to this Trust, write an essay describing your personal aspirations, educational and career goals. Also, describe why you need financial assistance from this Trust and detail any unusual circumstances or extraordinary expenses that may affect your attendance. If you are reapplying for aid from this Trust, the essay is not required unless there is any additional information that you want the Selection Committee to know when considering your application.
- ✓ Furnish a current transcript showing all your grades from the current academic year, including the Spring 2008 semester.
- ✓ Include a copy of your parent's IRS FORM 1040, pages 1 and 2.
- ✓ Include a copy of your parent's W-2 forms.
- ✓ Be sure that you have furnished all the required information and have signed this application form.

I CERTIFY THAT ALL THE INFORMATION ON THIS APPLICATION IS TRUE AND ACCURATE TO THE BEST OF MY KNOWLEDGE. I AGREE TO PROVIDE PROOF OF THE INFORMATION, WHICH MAY INCLUDE COPIES OF ADDITIONAL TAX FORMS, FAFSA FORMS, FINANCIAL AID REPORTS, OR ANY OTHER INFORMATION REQUESTED BY THIS TRUST. I UNDERSTAND THAT MY APPLICATION WILL NOT BE CONSIDERED IF ANY OF THE REQUIRED OR REQUESTED INFORMATION IS NOT PROVIDED BY THE DUE DATE.

SIGNATURE _____ DATE _____