



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE ANNE R DOW FAMILY FOUNDATION INC C/O KAREN L PRICE		A Employer identification number 56-2298345	
Number and street (or P O box number if mail is not delivered to street address) 12 FAINWOOD CIRCLE 3		Room/suite	B Telephone number (see instructions) (617) 439-6779
City or town, state or province, country, and ZIP or foreign postal code CAMBRIDGE, MA 02139		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☐ \$ 1,521,712		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	40,915	40,915		
	4 Dividends and interest from securities.	13,163	13,163		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	58,149			
	b Gross sales price for all assets on line 6a 713,947				
	7 Capital gain net income (from Part IV, line 2)		58,149		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	112,227	112,227		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,500	750		0
	c Other professional fees (attach schedule)	16,474	8,237		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,159	0		0
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,927	0		0
	24 Total operating and administrative expenses. Add lines 13 through 23	22,060	8,987		0
	25 Contributions, gifts, grants paid	67,594			67,594
	26 Total expenses and disbursements. Add lines 24 and 25	89,654	8,987		67,594
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	22,573			
	b Net investment income (if negative, enter -0-)		103,240		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	78,603	76,622	76,622
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	129,554	 160,710	157,960
	b	Investments—corporate stock (attach schedule)	187,692	 245,850	322,704
	c	Investments—corporate bonds (attach schedule).	485,772	 370,339	383,181
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	404,945	 455,618	581,245
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,286,566	1,309,139	1,521,712	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted	1,286,566	1,309,139	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	1,286,566	1,309,139	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,286,566	1,309,139	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,286,566
2	Enter amount from Part I, line 27a	2	22,573
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	1,309,139
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,309,139

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY TRADED SECURITIES	P		
b	PUBLICLY TRADED SECURITIES	P		
c	CAPITAL GAINS DIVIDENDS	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 220,222		213,485	6,737
b 486,250		442,313	43,937
c 7,475			7,475
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			6,737
b			43,937
c			7,475
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	58,149
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	67,311	1,386,491	0 048548
2011	66,278	1,374,921	0 048205
2010	59,173	1,344,246	0 044019
2009	71,667	1,242,606	0 057675
2008	76,766	1,424,138	0 053903

2 Total of line 1, column (d).	2	0 252350
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 050470
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,408,901
5 Multiply line 4 by line 3.	5	71,107
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	1,032
7 Add lines 5 and 6.	7	72,139
8 Enter qualifying distributions from Part XII, line 4.	8	67,594

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	2,065
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2.	3	2,065
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,065
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,731
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	1,000
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	2,731
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	20
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	646
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 646 Refunded	11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ 0 (2) On foundation managers ☐ \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions): ☐ MA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of KAREN PRICE Telephone no (617) 439-6779 Located at 12 FAINWOOD CIRCLE 3 CAMBRIDGE MA ZIP+4 02139			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KAREN L PRICE 12 FAINWOOD CIRCLE NO 3 CAMBRIDGE, MA 02139	PRESIDENT/DIRECTOR 2 00	0	0	0
CHRISTOPHER B DOW 12 FAINWOOD CIRCLE NO 3 CAMBRIDGE, MA 02139	TREASURER/DIRECTOR 2 00	0	0	0
ALICIA DOW 12 FAINWOOD CIRCLE NO 3 CAMBRIDGE, MA 02139	SECRETARY/DIRECTOR 2 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,359,791
b	Average of monthly cash balances.	1b	70,565
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,430,356
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,430,356
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	21,455
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,408,901
6	Minimum investment return. Enter 5% of line 5.	6	70,445

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	70,445
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	2,065
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,065
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	68,380
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	68,380
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	68,380

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	67,594
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	67,594
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	67,594
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				68,380
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			67,594	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 67,594				
a Applied to 2012, but not more than line 2a			67,594	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				0
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				68,380
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	67,594
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHILDREN'S HEALTH FUND 215 WEST 125TH STREET SUITE 301 NEWYORK,NY 10027	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	11,100
FISTULA FOUNDATION 1900 THE ALAMEDA SUITE 500 SAN JOSE,CA 95126	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	11,100
CAMBODIAN CHILDREN'S FUND 2461 SANTA MONICA BLVD 833 SANTA MONICA,CA 90404	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	11,100
MASSACHUSETTS ASSOCIATION OF TEACHERS OF SPEAKERS OF OTHER LANGUAGES INC 2 CANTON ST STE B-223 STOUGHTON,MA 02072	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	1,000
CAMBRIDGE COMMUNITY CHORUS PPO BOX 390278 CAMBRIDGE,MA 02139	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	500
ACLU FOUNDATION 125 BROAD ST 18TH FLR NEWYORK,NY 10004	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	3,500
PFLAG 1828 L STREET NW STE 660 WASHINGTON,DC 20036	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	1,500
SIERRA CLUB FOUNDATION 85 SECOND STREET 2ND FLR STE 750 SAN FRANCISCO,CA 94105	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	9,250
HUMAN RIGHTS CAMPAIGN FOUNDATION 1640 RHODE ISLAND AVE NW WASHINGTON,DC 20036	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	7,500
FRIENDS OF THE EARTH 1100 15TH ST NW 11TH FLR WASHINGTON,DC 20036	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	9,500
SMILE TRAIN 41 MADISON AVE 28TH FLOOR NEWYORK,NY 10010	NONE	PUBLIC CHARITY	EXEMPT PURPOSE OF ORGANIZATION	1,544
Total  3a				67,594

TY 2013 Accounting Fees Schedule

Name: THE ANNE R DOW FAMILY FOUNDATION INC
C/O KAREN L PRICE

EIN: 56-2298345

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	1,500	750		0

TY 2013 Investments Corporate
Bonds Schedule

Name: THE ANNE R DOW FAMILY FOUNDATION INC
C/O KAREN L PRICE
EIN: 56-2298345

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ADT CORP	4,196	4,352
AES CORP	5,276	5,850
ALLY FINL INC.	5,756	5,994
AMERICAN AXLE & MFG INC	5,094	5,262
AMERICAN TOWER CORP	6,080	5,900
AMKOR TECHNOLOGY	5,196	5,275
ARCELORMITTAL AS	5,081	6,325
ARCH COAL INC	0	0
ATWOOD OCEANICS	5,085	5,337
AUTONATION INC	4,994	5,788
AVIS BUDGET CAR RENT	0	0
BANK OF AMERICA CORP	5,663	5,594
BE AEROSPACE INC	5,063	5,075
CALUMET SPECIALTY PRODS	5,307	5,550
CCO HOLDINGS LLC	0	0
CCO HOLDINGS LLC	0	0
CENTURYLINK INC	4,841	4,450
CF INDS INC	6,138	5,861
CHESAPEAKE ENERGY CORP	5,113	5,363
CHRYSLER GROUP LLC	5,262	5,525
CHS COMMUNITY HEALTH SYSTEM	0	0
CINEMARK USA INC	0	0
CIT GROUP INC	6,495	6,408
CITIGROUP INC	0	0
CITIZENS COMMUNICATIONS	4,562	5,388
CLOUD PEAK ENERGY RES	5,323	5,425
COMCAST CORP	5,808	6,049
COMSTOCK RESOURCE INC	5,563	5,600
CONSOL ENERGY INC	5,228	5,269
CONTINENTAL RES	5,099	5,194
CRH AMER INC	5,810	6,125
CRICKET COMMUNICATIONS	0	0
DIRECTV HLDGS LLC	5,242	5,445
DISH DBS CORP	0	0
DOMTARR CORP	6,113	6,241
EL PASO ENERGY CORP	5,755	5,075
ENERGY TRANSFER EQUITY	5,170	5,613
FORD MOTOR COMPANY DEL	5,608	6,123
GAP INC	5,572	5,525
GENERAL ELECTRIC CAP CORP	0	0
GENERAL MOTORS FINL CO INC	5,751	5,700
GENON ESCROW CORP	0	0
GEORGIA PACIFIC CORP	5,921	6,435
GOLDMAN SACHS GROUP INC	10,401	11,248
GOODYEAR TIRE & RUBBER	5,295	5,588
HANESBRANDS INC	5,368	5,463
HERTZ CORP	5,466	5,488
HORNBECK OFFSHORE	5,243	5,163
HUNTSMAN INTL LLC	5,463	5,650
INTERNATIONAL PAPER COMPANY	0	0
INTL LEASE FINANCE CORP	5,852	5,850
IRON MOUNTAIN INC	6,016	6,465
JPMORGAN CHASE & COMPANY	5,775	5,513
L-3 COMMS CORP	5,230	5,230
LEAR CORP	5,324	5,513
LEVI STRAUSS & COMPANY	5,172	5,500
LIBERTY MEDIA GROUP	4,850	5,325
METROPCS WIRELESS INC	4,991	5,300
MORGAN STANLEY	4,840	5,587
MOSAIC CO NEW	4,939	4,938
OFFSHORE GRP INVT	5,281	5,437
PEABODY ENERGY CORP	5,183	5,325
PIONEER NATURAL RESOURCE	0	0
PLAINS EXPLORATION & PRODUCTION COMPANY	5,256	5,508
PNC FINL SVCS GRP INC.	5,794	5,203
POLYONE CORP	0	0
REYNOLDS GRP ISSUER	5,269	5,100
RIO TINTO FINANCE USA LTD	0	0
ROYAL CARIBBEAN CRUISES	0	0
RSC EQUIPMENT RENT INC	5,549	5,637
RYLAND GRP INC	5,268	5,287
SCIENTIFIC GAMES INTL	5,587	5,363
SEAGATE HDD CAYMAN	0	0
SPRINT CAP CORP	5,114	5,463
TECK COMINCO LIMITED	4,215	4,929
TEEKAY CORP	5,070	5,406
TEREX CORP NEW	5,056	5,350
TESORO CORP	0	0
UNITED CONTINENTAL	5,117	5,225
UNITED RENTALS NORTH AMERICA INC	0	0
UNITED STATES STEEL CORP	0	0
UNITED STATES STEEL CORP	0	0
VALERO ENERGY CORP	5,945	6,444
VERIZON COMMUNICATIONS	10,885	10,737
WEATHERFORD INTERNATIONAL LTD	5,098	6,424
WELLS FARGO & CO	5,806	5,575
WEYERHAEUSER CO	6,156	6,118
WINDSTREAM CORP	5,300	5,713

TY 2013 Investments Corporate
Stock Schedule

Name: THE ANNE R DOW FAMILY FOUNDATION INC
C/O KAREN L PRICE
EIN: 56-2298345

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBOTT LABORATORIES	6,901	8,548
ABBVIE INC	1,737	3,380
APPLE INC	2,614	6,732
BAXTER INTERNATIONAL INC	6,159	6,955
BOEING COMPANY	6,856	10,919
CIGNA CORP	5,417	8,923
DEERE & COMPANY	5,614	6,210
DIAGEO PLC	4,440	6,621
DISNEY WALT COMPANY	7,220	10,314
DUPONT EIDE NEMOURS	8,541	8,966
EMC CORP	6,796	6,514
EBAY INC	0	0
EXPRESS SCRIPTS HLDG	7,036	7,586
FRANKLIN RESOURCES INC	4,921	7,736
GOLDMAN SACHS GROUP INC	9,212	9,927
HEINZ IHJ COMPANY	0	0
HERSHEY COMPANY	5,356	8,070
HESS CORP	2,900	3,652
HOME DEPOT INC HOSPIRA INC	4,245	7,740
HONEYWELL INTL INC	2,817	3,563
HOSPIRA INC	0	0
HUNTINGTON INGALLS INDS	2,679	5,761
INTERNATIONAL BUSINESS MACHINES CORP	3,756	5,064
INTUIT INC	6,451	8,853
JPMORGAN ICHASE & COMPANY	6,929	8,947
KERING S A	6,391	6,305
M&T BANK CORP	0	0
NEXTERA ENERGY INC	7,503	10,018
NORTHEAST UTILITIES	5,520	5,892
NORTHERN TRUST CORP	6,366	8,231

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NORTHROP GRUMMAN CORP	0	0
OCCIDENTAL PETROLEUM	7,809	8,749
ORACLE CORP	5,630	8,494
PEPSICO INC	7,888	9,123
QEP RESOURCES INC	4,100	3,463
QUALCOMM INC	6,857	8,687
SCHLUMBERGER LTD	5,308	6,758
SCHWAB CHARLES CORP	4,918	7,748
SENSATA TECHNOLOGIES	5,803	5,971
SPX CORP	0	0
SUNTRUST BANKS INC	5,904	8,209
TEXAS INSTRUMENTS INC	5,126	6,762
TIFFANY AND COMPANY	2,276	3,897
TIME WARNER INC	4,939	8,924
TRW AUTOMOTIVE HOLDINGS	5,821	9,448
UBS AG NEW	6,227	7,238
UNILEVER N V	6,568	7,684
UNITED PARCEL SERVICE INC	6,861	8,827
ZIONS BANCORPORATION	9,438	11,295

TY 2013 Investments Government Obligations Schedule

Name: THE ANNE R DOW FAMILY FOUNDATION INC
C/O KAREN L PRICE

EIN: 56-2298345

US Government Securities - End of Year Book Value:	133,852
US Government Securities - End of Year Fair Market Value:	128,073
State & Local Government Securities - End of Year Book Value:	26,858
State & Local Government Securities - End of Year Fair Market Value:	29,887

TY 2013 Investments - Other Schedule

Name: THE ANNE R DOW FAMILY FOUNDATION INC
C/O KAREN L PRICE
EIN: 56-2298345

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AMERICAN BEACON STEPHENS SMALL CAP GR	AT COST	19,552	22,588
AMERICAN BEACON SIM HIGH YIELD OPPORTUNITIES	AT COST	21,520	24,312
ARTISAN MID CAP INVESTOR	AT COST	22,346	42,346
ARTISAN MID CAP VALUE INVESTOR	AT COST	22,831	36,196
JPMORGAN INTREPID VALUE SELECT	AT COST	10,738	16,862
JPMORGAN US LARGE CAP CORE PLUS	AT COST	37,698	62,632
LORD ABBETT BOND DEBENTURE	AT COST	12,425	13,771
LORD ABBETT DEVELOPING GROWTH	AT COST	24,130	36,868
MORGAN STANLEY INSTL US REAL ESTATE	AT COST	0	0
OPPENHEIMER DEVELOPING MARKETS	AT COST	3,154	4,492
RS EMERGING MARKETS	AT COST	0	0
RS GLOBAL NATURAL RESOURCES	AT COST	0	0
RS SELECT GROWTH	AT COST	16,710	21,161
TOUCHSTONE SANDS CAPITAL INSTL GROWTH	AT COST	18,693	28,821
AMERICAN BEACON	AT COST	11,431	11,281
AQR DIVERSIFIED ARBITRAGE	AT COST	0	0
ARTISAN MID CAP VALUE INVESTOR	AT COST	0	0
ASTON MONTAG	AT COST	13,043	13,947
DOUBLELINE TOTAL RETURN BOND	AT COST	0	0
EATON VANCE NATIONAL MUNICIPAL INCOME	AT COST	0	0
EDGEWOOD	AT COST	13,538	17,293
FORWARD GLOBAL INFRASTRUCTURE INSTL	AT COST	0	0
HARTFORD CAP APPRECIATION	AT COST	0	0
HOTCHKIS & WILEY HIGH YIELD	AT COST	0	0
JOHN HANCOCK	AT COST	9,824	12,403
JP MORGAN US LARGE CAP	AT COST	8,013	9,258
JP MORGAN STRATEGIC INCOME OPPORTUNITIES SELECT	AT COST	0	0
LEGG MASON CAP MANAGEMENT	AT COST	13,792	17,506
LEGG MASON OPPORTUNITY CL I	AT COST	15,331	18,299
LOOMIS SAYLES	AT COST	25,480	25,243

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MAINSTAY HIGH YIELD CORP BOND	AT COST	36,185	35,624
NATIXIS VAUGHAN NELSON	AT COST	11,982	14,504
NUVEEN HIGH YIELD MUNI BOND	AT COST	15,227	13,543
OAKMARK INTL CL I	AT COST	7,504	8,764
OPPENHEIMER DEVELOPING MARKETS	AT COST	10,857	10,773
PUTNAM EQUITY SPECTRUM	AT COST	7,410	10,026
RS GLOBAL NATURAL RESOURCES	AT COST	0	0
STERLING CAPITAL EQUITY INCOME INSTL CL	AT COST	15,331	16,868
TOUCHSTONE MID CAP GROWTH	AT COST	16,237	17,970
TOUCHSTONE SANDS CAPITAL INSTL GROWTH	AT COST	14,636	17,894
TURNER SPECTRUM INSTL	AT COST	0	0
VIRTUS EMERGING MARKETS OPPORTUNITIES	AT COST	0	0
WELLS FARGO ADVANTAGE DIVERSIFIED CAP BUILDER INSTL	AT COST	0	0
WESTERN ASSET CORE PLUS BOND	AT COST	0	0

TY 2013 Other Expenses Schedule

Name: THE ANNE R DOW FAMILY FOUNDATION INC
C/O KAREN L PRICE

EIN: 56-2298345

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MISCELLANEOUS	50	0		0
NONDEDUCTIBLE EXPENSES	2,877	0		0

TY 2013 Other Professional Fees Schedule**Name:** THE ANNE R DOW FAMILY FOUNDATION INC

C/O KAREN L PRICE

EIN: 56-2298345

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	16,474	8,237		0

TY 2013 Taxes Schedule

Name: THE ANNE R DOW FAMILY FOUNDATION INC
C/O KAREN L PRICE

EIN: 56-2298345

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	159	0		0
FEDERAL EXCISE TAX	1,000	0		0