



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation ROCKY MOUNT COMMUNITY FOUNDATION, INC.		A Employer identification number 56-2055212						
Number and street (or P.O. box number if mail is not delivered to street address) P.O. BOX 1898		B Telephone number (see instructions) 252-443-0551						
City or town, state or province, country, and ZIP or foreign postal code BURLINGTON, NC 272161898								
G Check all that apply: <table border="1"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	C If exemption application is pending, check here <input type="checkbox"/> D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change							
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation								
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 4,225,352.		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)						

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)					
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		83,973.	83,973.		STMT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		68,113.			
b Gross sales price for all assets on line 6a		606,625.			
7 Capital gain net income (from Part IV, line 2)			68,113.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11		152,086.	152,086.		
13 Compensation of officers, directors, trustees, etc.		35,929.	29,462.		6,467.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees (attach schedule)					
b Accounting fees (attach schedule) STMT 3		525.	525.	NONE	NONE
c Other professional fees (attach schedule)					
17 Interest					
18 Taxes (attach schedule) (see instructions) STMT 4		7,897.	1,615.		
19 Depreciation (attach schedule) and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses (attach schedule) STMT 5		2,787.	2,285.		502.
24 Total operating and administrative expenses. Add lines 13 through 23		47,138.	33,887.	NONE	6,969.
25 Contributions, gifts, grants paid		203,000.			203,000.
26 Total expenses and disbursements. Add lines 24 and 25		250,138.	33,887.	NONE	209,969.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-98,052.			
b Net investment income (if negative, enter -0-)			118,199.		
c Adjusted net income (if negative, enter -0-)					

Postmark Missing

SCANNED MAY 27 2014

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments	358,395.	67,900.	67,900.	
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)	84,036.	417,978.	599,308.	
	b	Investments - corporate stock (attach schedule)	2,963,000.	2,816,290.	3,558,144.	
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments - mortgage loans					
13	Investments - other (attach schedule)					
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,405,431.	3,302,168.	4,225,352.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		NONE			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	3,405,431.	3,302,168.		
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	3,405,431.	3,302,168.		
	31	Total liabilities and net assets/fund balances (see instructions)	3,405,431.	3,302,168.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,405,431.
2	Enter amount from Part I, line 27a	2	-98,052.
3	Other increases not included in line 2 (itemize) ▶ 2013 INCOME POSTED IN 2012	3	1,238.
4	Add lines 1, 2, and 3	4	3,308,617.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	6,449.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,302,168.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 606,625.		538,512.	68,113.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))		
a			68,113.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	68,113.	
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			
2 Total of line 1, column (d)			2
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4
5 Multiply line 4 by line 3			5
6 Enter 1% of net investment income (1% of Part I, line 27b)			6
7 Add lines 5 and 6			7
8 Enter qualifying distributions from Part XII, line 4			8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		1	2,364.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b.		2	
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	2,364.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
3 Add lines 1 and 2.		5	2,364.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	4,004.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	NONE
6 Credits/Payments:		6c	NONE
a 2013 estimated tax payments and 2012 overpayment credited to 2013.		6d	
b Exempt foreign organizations - tax withheld at source.		7	4,004.
c Tax paid with application for extension of time to file (Form 8868).		8	
d Backup withholding erroneously withheld.		9	
7 Total credits and payments. Add lines 6a through 6d.		10	1,640.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.		11	1,640.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.			
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ _____ (2) On foundation managers. ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► NC		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11. At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12. Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13. Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A			
14. The books are in care of ▶ EUGENE F. HOLLAND JR. Telephone no. ▶ (252) 443-0551			
Located at ▶ PO BOX 7608, ROCKY MOUNT, NC ZIP+4 ▶ 27804			
15. Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16. At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a. During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b. If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c. Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2. Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a. At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ▶		
b. Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	X
c. If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a. Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b. If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	
4a. Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b. Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**Organizations relying on a current notice regarding disaster assistance check here ☐ **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** ☒ X
If "Yes" to 6b, file Form 8870.**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		35,929.		

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 ☐ **NONE**

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3	

Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	NONE
b	Average of monthly cash balances	1b	NONE
c	Fair market value of all other assets (see instructions)	1c	4,026,565.
d	Total (add lines 1a, b, and c)	1d	4,026,565.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	4,026,565.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	60,398.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,966,167.
6	Minimum investment return. Enter 5% of line 5	6	198,308.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	198,308.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,364.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,364.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	195,944.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	195,944.
6	Deduction from distributable amount (see instructions)	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	195,944.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	209,969.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	209,969.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	209,969.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				195,944.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			24,040.	
b Total for prior years' 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	NONE			
b From 2009	NONE			
c From 2010	NONE			
d From 2011	NONE			
e From 2012	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 209,969.				
a Applied to 2012, but not more than line 2a			24,040.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2013 distributable amount				185,929.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2013	NONE			NONE
<i>(If an amount appears in column (d), the same amount must be shown in column (a).)</i>				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				10,015.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2009	NONE			
b Excess from 2010	NONE			
c Excess from 2011	NONE			
d Excess from 2012	NONE			
e Excess from 2013	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 9

b The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

c Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT FOR LINE 2

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CHURCH ON THE RISE	NONE	CHARITY	GRANT FRO PEACEMAKERS FAMILY CENTER PROGRAMS	10,000.
CITY OF ROCKY MOUNT	NONE	CHARITY	GRANT FOR DOWNTOWN LIVE SERIES	5,000.
CAROLINAS GATEWAY PARTNERSHIP 427 FALLS ROAD ROCKY MOUNT NC 27804	NONE	CHARITY	CAROLINAS GATEWAY PARTNERSHIP; ASSISTANCE PR	100,000.
NC WESLEYAN COLLEGE	NONE	CHARITY	2ND PAYMENT FOR TENNIS CENTER AT WESLEYAN COL	13,000.
NASH-ROCKY MOUNT UNITED WAY	NONE	501(C)3	RENOVATION OF NEW FACILITY/BUILDING/LOCATION	25,000.
SPRINGBOARD NC, INC	NONE	CHARITY	FUNDING FOR FIRST ENTREPRENEUR COMPETITION	25,000.
DOWN EAST PARTNERSHIP FOR CHILDREN	NONE	CHARITY	DISCOVERY PLAY AND LEARNING CENTER	25,000.
Total			3a	203,000.
b Approved for future payment				
Total			3b	

FEDERAL CAPITAL GAIN DISTRIBUTIONS

=====

LONG-TERM CAPITAL GAIN DISTRIBUTIONS

15% RATE CAPITAL GAIN DISTRIBUTIONS

	4.00
1099	971.00
DFA EMERGING MKTS VALUE	1,402.00
DFA EMERGING MRKTS SML CAP PORT	268.00
DFA 2 YEAR GLOBAL FIXED INC PORTFOLIO	104.00
DFA INTL SMALL CAP VALUE PORTFOLIO	617.00
DFA US SMALL CAP VALUE PORTFOLIO	9,997.00
PIMCO TOTAL RETURN FD INST SHS	1,758.00
PIMCO UNCONSTRAINED BOND FUND, INST CL	81.00
TEMPLETON GLOBAL BOND FD ADV CL	25.00
VANGUARD TOTAL BD MKT INDEX INSTL	136.00
VANGUARD SHORT TERM INVESTMENT GRADE FD	607.00

-----	15,969.00

	15,969.00
=====	

TOTAL 15% RATE CAPITAL GAIN DISTRIBUTIONS

TOTAL LONG-TERM CAPITAL GAIN DISTRIBUTIONS

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
APACHE CORP	120.	120.
APPLIED MATERIALS INC	319.	319.
ARCHER DANIELS MIDLAND CO	327.	327.
BLACKROCK TREASURY TRUST INST	1.	1.
BLACKROCK T-FUND INST	29.	29.
BOEING CO COM	388.	388.
1099	-838.	-838.
CISCO SYSTEMS INC	383.	383.
COCA COLA CO	560.	560.
CONOCOPHILLIPS COM	540.	540.
DFA INTL REAL ESTATE SEC PORT	1,673.	1,673.
DFA EMERGING MKTS VALUE	2,512.	2,512.
DFA EMERGING MRKTS SML CAP PORT	367.	367.
DFA 2 YEAR GLOBAL FIXED INC PORTFOLIO	1,351.	1,351.
DFA INTL SMALL CAP VALUE PORTFOLIO	4,417.	4,417.
DFA US SMALL CAP VALUE PORTFOLIO	1,320.	1,320.
DFA US LARGE CAP VALUE PORTFOLIO	7,107.	7,107.
DFA REAL ESTATE SECURITIES PORTFOLIO	817.	817.
DFA LARGE CAP INTL PORTFOLIO	4,839.	4,839.
DEERE & CO	235.	235.
DFA INTERNATIONAL VALUE PORTFOLIO	13,899.	13,899.
DU PONT E I DE NEMOURS & CO	534.	534.
EXXON MOBIL CORP	455.	455.
GENERAL ELEC CO	608.	608.
GLAXO SMITHKLINE SPONSORED ADR	889.	889.
INTERNATIONAL BUSINESS MACHINES CORP	95.	95.
JP MORGAN CHASE & CO	476.	476.
JOHNSON & JOHNSON	648.	648.
LOEWS CORP	45.	45.
MARKET VECTORS GOLD MINERS	148.	148.
METLIFE INC.	359.	359.
MICROSOFT CORP	414.	414.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
MOSAIC COMPANY NEW	263.	263.
NATIONAL FUEL GAS CO. (NJ)	418.	418.
NEWMONT MINING CORP	271.	271.
NOVARTIS AG	557.	557.
PIMCO TOTAL RETURN FD INST SHS	8,258.	8,258.
PFIZER INC	778.	778.
PIMCO UNCONSTRAINED BOND FUND, INST CL	519.	519.
PROCTER & GAMBLE CO	112.	112.
SCHLUMBERGER LTD	243.	243.
THE CHARLES SCHWAB CORP	108.	108.
SYSCO CORP COM	756.	756.
TEMPLETON GLOBAL BOND FD ADV CL	4,686.	4,686.
3M CORPORATION	533.	533.
UNITED PARCEL SERVICE	496.	496.
U.S. TREASURY BOND 10.625% DUE 08/15/15	7,013.	7,013.
VANGUARD TOTAL BD MKT INDEX INSTL	1,587.	1,587.
VANGUARD SHORT TERM INV GRADE ADM SHS	711.	711.
VANGUARD SHORT TERM INVESTMENT GRADE FD	2,837.	2,837.
VANGUARD SMALL CAP GROWTH INDEX FUND INS	379.	379.
VANGUARD GROWTH INDEX FUND - INSTITUTION	7,489.	7,489.
WAL MART STORES INC	353.	353.
WASTE MANAGEMENT INC	569.	569.
	-----	-----
TOTAL	83,973.	83,973.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	525.	525.		
TOTALS	525.	525.	NONE	NONE
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	1,153.	1,153.
FEDERAL TAX PAYMENT - PRIOR YE	2,278.	
FEDERAL ESTIMATES - PRINCIPAL	4,004.	
FOREIGN TAXES ON NONQUALIFIED	462.	462.
	-----	-----
TOTALS	7,897.	1,615.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
OTHER ALLOCABLE EXPENSE-PRINCI	2,787.	2,285.	502.
TOTALS	2,787.	2,285.	502.
	=====	=====	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====DESCRIPTION
-----AMOUNT
-----2014 INCOME POSTED IN 2013
MARKET ADJUSTMENT

322.

6,127.

TOTAL

6,449.

=====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

J. BUCKLEY STRANDBERG

ADDRESS:

1100 N. WESLEYAN BLVD. SUITE 500

ROCKY MOUNT, NC 27804

TITLE:

PRESIDENT

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

J. BUCKLEY STRANDBERG

ADDRESS:

1100 N. WESLEYAN BLVD. SUITE 500

ROCKY MOUNT, NC 27804

TITLE:

SECRETARY

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

EUGENE F. HOLLAND, JR.

ADDRESS:

2300 PROFESSIONAL DRIVE

ROCKY MOUNT, NC DIRECTOR

TITLE:

1

OFFICER NAME:

JOHN B. KINCHELOE, JR.

ADDRESS:

PO BOX 671

ROCKY MOUNT, NC 27802

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

CHARLES PENNY

ADDRESS:

ONE GOVERNMENT PLAZA

ROCKY MOUNT, NC 27804

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

BILL DAUGHTRIDGE

ADDRESS:

1200 EAST STREET

ROCKY MOUNT, NC 27802

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

CINDY STEWART

ADDRESS:

1100 N. WESLEYAN BLVD, SUITE 200

ROCKY MOUNT, NC 27804

TITLE:

DIRECTOR

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 1

OFFICER NAME:

TRUST COMPANY OF THE SOUTH

ADDRESS:

3041 SOUTH CHURCH STREET

BURLINGTON, NC 27215

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 8

COMPENSATION 35,929.

TOTAL COMPENSATION:

35,929.

=====

=====

RECIPIENT NAME:

J. BUCKLEY STRANDBERG

ADDRESS:

P.O. BOX 8105

ROCKY MOUNT, NC 27804-1105

RECIPIENT'S PHONE NUMBER: 252-446-6131

FORM, INFORMATION AND MATERIALS:

WRITTEN

SUBMISSION DEADLINES:

NONE

RESTRICTIONS OR LIMITATIONS ON AWARDS:

RESTRICTED TO THE ROCKY MOUNT AREA

**SCHEDULE D
(Form 1041)**Department of the Treasury
Internal Revenue Service**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No. 1545-0092

2013

Name of estate or trust

ROCKY MOUNT COMMUNITY FOUNDATION, INC.

Employer identification number

56-2055212

Note: Form 5227 filers need to complete only Parts I and II.**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked	53,693.	50,735.		2,958.
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824			4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts			5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet			6	()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶			7	2,958.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked	536,963.	487,777.		49,186.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824			11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts			12	
13 Capital gain distributions			13	15,969.
14 Gain from Form 4797, Part I			14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet			15	()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶			16	65,155.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

JSA
3F1210 1 000

BOB354 683D 04/17/2014 08:41:58

A027533

28

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part.**

		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17	Net short-term gain or (loss)	17		2,958.
18	Net long-term gain or (loss):			
a	Total for year	18a		65,155.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b		
c	28% rate gain	18c		
19	Total net gain or (loss). Combine lines 17 and 18a. ▶	19		68,113.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of: a The loss on line 19, column (3) or b \$3,000	20	()
-----------	---	-----------	-----

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21		
22	Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	23		
24	Add lines 22 and 23	24		
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	25		
26	Subtract line 25 from line 24. If zero or less, enter -0-	26		
27	Subtract line 26 from line 21. If zero or less, enter -0-	27		
28	Enter the smaller of the amount on line 21 or \$2,450	28		
29	Enter the smaller of the amount on line 27 or line 28	29		
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31	Enter the smaller of line 21 or line 26	31		
32	Subtract line 30 from line 26.	32		
33	Enter the smaller of line 21 or \$11,950	33		
34	Add lines 27 and 30	34		
35	Subtract line 34 from line 33. If zero or less, enter -0-	35		
36	Enter the smaller of line 32 or line 35	36		
37	Multiply line 36 by 15%. ▶	37		
38	Enter the amount from line 31	38		
39	Add lines 30 and 36	39		
40	Subtract line 39 from line 38. If zero or less, enter -0-	40		
41	Multiply line 40 by 20% ▶	41		
42	Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43	Add lines 37, 41, and 42	43		
44	Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶	45		

Schedule D (Form 1041) 2013

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

ROCKY MOUNT COMMUNITY FOUNDATION, INC.

56-2055212

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	45. APPLE COMPUTER INC	04/24/2013	05/02/2013	20,025.00	17,711.00			2,314.00
	350. NEWMONT MINING CORP	08/14/2012	07/22/2013	10,440.00	16,416.00			-5,976.00
	1100. YAHOO! INC.	08/10/2012	02/12/2013	23,228.00	16,608.00			6,620.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►				53,693.	50,735.			2,958.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side.)

Social security number or taxpayer identification number

ROCKY MOUNT COMMUNITY FOUNDATION, INC.

56-2055212

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	1100. APPLIED MATERIALS IN	03/22/2010	10/08/2013	19,389.00	16,376.00			3,013.00
	430. ARCHER DANIELS MIDLAN	03/25/2010	11/18/2013	17,665.00	12,298.00			5,367.00
	450. CAMPBELL SOUP CO COM	03/01/2011	04/16/2013	20,857.00	14,309.00			6,548.00
	26435.592 DFA SELECTIVELY GLOBAL FIXED INCOME PTF	06/11/2012	09/27/2013	268,321.00	262,538.00			5,783.00
	13.089 DFA US SMALL CAP VA PORTFOLIO	03/06/2009	05/31/2013	407.00	129.00			278.00
	600. MICROSOFT CORP	03/01/2011	08/23/2013	21,118.00	15,048.00			6,070.00
	774.49 PIMCO TOTAL RETURN	09/24/2007	09/27/2013	8,372.00	8,093.00			279.00
	200. PROCTER & GAMBLE CO	09/22/2011	03/25/2013	15,464.00	12,081.00			3,383.00
	900. THE CHARLES SCHWAB CO	08/02/2010	06/04/2013	17,812.00	15,644.00			2,168.00
	675. SYSCO CORP COM	02/07/2011	12/09/2013	30,109.00	18,948.00			11,161.00
	467.562 TEMPLETON GLOBAL B CL	05/27/2010	09/27/2013	6,036.00	6,022.00			14.00
	8009. VANGUARD TOTAL BD MK INSTL	12/21/2011	09/27/2013	85,376.00	88,099.00			-2,723.00
	228.63 VANGUARD SMALL CAP FUND INSTITUTIONAL SHAR	05/27/2010	05/31/2013	6,683.00	4,129.00			2,554.00
	469.404 VANGUARD GROWTH IN INSTITUTIONAL SHARES	12/13/2006	05/31/2013	19,354.00	14,063.00			5,291.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►				536,963.	487,777.			49,186.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Holding List

Account(s): All
As Of Date: 12/31/2013
Location: All
Registration: All
Trade Date Basis: No
Include Transactions: None

Business Date: 4/4/2014 Time Printed: 4/4/

CUSIP	Ticker	Security Description	P/I	Shares	Price	Local Mkt Value	%Port	EAInc	Accruals	Cost Basis	Unit
Account Number: A027533 [USD]											
Security Type: CASH EQUIVALENT- TAXABLE											
09248U551	TTTX	BLACKROCK TREAS TRUST INST	P	67,899.6300	1.0000	67,899.63 USD	10.18	0.00	1.52	67,899.63	
Security Type: COMMON STOCK											
037411105	APA	APACHE CORP	P	200.0000	85.9400	17,188.00 USD	2.58	160.00	0.00	14,988.59	2
097023105	BA	BOEING COMPANY	P	200.0000	136.4900	27,298.00 USD	4.09	584.00	0.00	14,729.46	12
17275R102	CSCO	CISCO SYSTEMS INC	P	750.0000	22.4300	16,822.50 USD	2.52	510.00	0.00	12,754.44	4
191216100	KO	COCA COLA CO	P	500.0000	41.3100	20,655.00 USD	3.10	560.00	0.00	11,770.28	8
20825C104	COP	CONOCOPHILLIPS COM	P	200.0000	70.6500	14,130.00 USD	2.12	552.00	0.00	5,094.94	9
244199105	DE	DEERE & CO	P	230.0000	91.3300	21,005.90 USD	3.15	469.20	117.30	20,008.72	
263534109	DD	E.I. DUPONT & CO.	P	300.0000	64.9700	19,491.00 USD	2.92	540.00	0.00	10,057.94	9
30231G102	XOM	EXXON MOBIL CORP	P	185.0000	101.2000	18,722.00 USD	2.81	466.20	0.00	7,129.03	11
369604103	GE	GENERAL ELECTRIC CO	P	800.0000	28.0300	22,424.00 USD	3.36	704.00	176.00	13,569.33	8
38259P508	GOOG	GOOGLE INC CL A	P	25.0000	1,120.7100	28,017.75 USD	4.20	0.00	0.00	13,393.30	14
441060100	HSP	HOSPIRA INC W/I	P	715.0000	41.2800	29,515.20 USD	4.42	0.00	0.00	20,961.45	8
459200101	IBM	IBM CORP.	P	100.0000	187.5700	18,757.00 USD	2.81	380.00	0.00	17,329.84	1
46625H100	JPM	J.P. MORGAN CHASE &	P	350.0000	58.4800	20,468.00 USD	3.07	532.00	0.00	14,303.48	6
478160104	JNJ	JOHNSON & JOHNSON	P	250.0000	91.5900	22,897.50 USD	3.43	660.00	0.00	14,362.01	8
540424108	L	LOEWS CORP	P	360.0000	48.2400	17,366.40 USD	2.60	90.00	0.00	15,495.27	1
58933V105	MRK	MERCK & CO INC NEWCOM	P	440.0000	50.0500	22,022.00 USD	3.30	774.40	193.60	19,947.65	2
59156R108	MET	METLIFE INC.	P	355.0000	53.9200	19,141.60 USD	2.87	390.50	0.00	16,380.34	2
61945C103	MOS	MOSAIC COMPANY NEW	P	420.0000	47.2700	19,853.40 USD	2.98	420.00	0.00	20,226.22	
636180101	NFG	NATIONAL FUEL GAS CO. (NU)	P	375.0000	71.4000	26,775.00 USD	4.01	562.50	140.63	18,617.53	8
717081103	PFE	PFIZER INC	P	810.0000	30.6300	24,810.30 USD	3.72	777.60	0.00	15,345.74	9
80685Z108	SLB	SCHLUMBERGER LTD	P	200.0000	90.1100	18,022.00 USD	2.70	250.00	62.50	8,905.89	9
88579V101	MMM	3M CORPORATION	P	210.0000	140.2500	29,452.50 USD	4.41	718.20	0.00	16,197.29	13
911312106	UPS	UNITED PARCEL SVC	P	200.0000	105.0800	21,016.00 USD	3.15	496.00	0.00	14,130.04	6
931142103	WMT	WAL-MART STORES INC	P	250.0000	78.6900	19,672.50 USD	2.95	470.00	117.50	13,620.77	6
94106L109	WM	WASTE MANAGEMENT INC	P	390.0000	44.8700	17,499.30 USD	2.62	569.40	0.00	10,541.70	6
Security Type Total:				8,815.0000		533,022.85 USD	79.89	11,636.00	807.53	359,861.25	173
Security Type: EXCHANGE TRADED FUNDS-DOMESTIC											
57060U100	GDX	MARKET VECTORS GOLD MINERS	P	660.0000	21.1300	13,945.80 USD	2.09	126.06	0.00	17,650.87	-3

Holding List

Account(s): All
 As Of Date: 12/31/2013
 Location: All
 Registration: All
 Trade Date Basis: No
 Include Transactions: None

Business Date: 4/4/2014 Time Printed: 4/4/17

CUSIP	Ticker	Security Description	P/I	Shares	Price	Local Mkt Value	%Port	FAInc	Accruals	Cost Basis	Unit
Security Type: FOREIGN EQUITIES											
<u>37733W105</u>	GSK	GLAXO WELLCOME PLC	P	375.0000	53.3900	20,021.25 USD	3.00	903.38	231.03	16,996.71	3
<u>66987V109</u>	NVS	NOVARTIS AG	P	230.0000	80.3800	18,487.40 USD	2.77	473.23	0.00	8,739.48	9
<u>835699307</u>	SNE	SONY CORP	P	800.0000	17.2900	13,832.00 USD	2.07	180.16	0.00	14,730.32	
Security Type Total:				1,405.0000		52,340.65 USD	7.84	1,556.77	231.03	40,466.51	11
Account Subtotal:				78,779.6300		667,208.93 USD	100.00	13,318.83	1,040.08	485,878.26	181
Principal Cash: 0.00 USD 0.00 Income Cash: 0.00 USD 0.00 Net Cash: 0.00 USD 0.00 Total Value: 667,208.93 USD 100.00											

Account Number: A077533 [USD]											
Security Type: CASH EQUIVALENT- TAXABLE											
<u>09248U718</u>	TSTXX	BLACKROCK T-FUND INST	P	153,837.3300	1.0000	153,837.33 USD	4.32	15.38	5.16	153,837.33	
Security Type: MUTUAL FUNDS - REIT											
<u>233203348</u>	DFITX	DFA INTL REAL ESTATE SEC PORT	P	6,268.3410	5.0200	31,467.07 USD	0.88	1,636.04	0.00	35,172.69	-3
<u>233203835</u>	DFREX	DFA REAL ESTATE SECURITIES	P	1,059.2680	25.9300	27,466.82 USD	0.77	831.53	0.00	26,237.25	1
Security Type Total:				7,327.6090		58,933.89 USD	1.66	2,467.57	0.00	61,409.94	-2
Security Type: MUTUAL FUNDS-EQUITY											
<u>233203819</u>	DFSXX	DFA US SMALL CAP VALUE	P	6,283.5340	35.4100	222,499.94 USD	6.25	1,262.99	0.00	94,261.56	128
<u>233203827</u>	DFLVX	DFA US LARGE CAP VALUE	P	15,585.0930	31.6200	492,800.64 USD	13.85	7,106.80	0.00	268,308.22	224
<u>922908819</u>	VSGIX	VANGUARD SM CAP GR INDEX FD INST SHS	P	1,682.5560	34.4200	57,913.58 USD	1.63	378.58	0.00	30,386.96	27
<u>922908868</u>	VIGIX	VANGUARD GROWTH INDEX FD INST	P	12,928.4130	47.8700	618,883.13 USD	17.39	7,433.84	0.00	430,349.84	188
Security Type Total:				36,479.5960		1,392,097.29 USD	39.12	16,182.21	0.00	823,306.58	568
Security Type: MUTUAL FUNDS-FIXED INCOME											
<u>233203645</u>	DFGFX	DFA 2 YR GLOBAL	P	25,986.5940	10.0100	260,125.81 USD	7.31	1,689.13	0.00	261,165.27	-1
<u>693390700</u>	PTTRX	PIMCO TOTAL RETURN FD INST SHS	P	29,312.1960	10.6900	313,347.38 USD	8.81	5,566.39	422.35	307,510.58	5
<u>72201M487</u>	PFIUX	PIMCO UNCONSTRAINED BOND FUND, INST CL	P	9,153.3180	11.0900	101,510.30 USD	2.85	1,116.70	81.83	102,883.29	-1
<u>880208400</u>	TGBAX	TEMPLETON GLOBAL BOND FD ADV CL	P	7,923.7720	13.0900	103,722.18 USD	2.92	4,066.48	0.00	101,737.30	1
<u>922031877</u>	VFSIX	VANGUARD SHORT TERM INVESTMENT GRADE FD INSTI	P	17,042.1330	10.7000	182,350.82 USD	5.12	3,776.54	321.77	181,854.42	

Account Name: ROCKY MOUNT COMMUN

Holding List

Account(s): All
 AS Of Date: 12/31/2013
 Location: All
 Registration: All
 Trade Date Basis: No
 Include Transactions: None

Business Date: 4/4/2014 Time Printed: 4/4/

CUSIP	Tricker	Security Description	P/I	Security Type Total:	Shares	Price	Local Mkt Value	%Port	EAInc	Accruals	Cost Basis	Unr
Security Type: MUTUAL FUNDS-INTERNATIONAL												
<u>233203587</u>	DDEVX	DFA EMERGING MKTS VALUE	P		3,547.3740	27.6100	97,943.00	USD	2.75	2,341.27	0.00	88,910.29
<u>233203611</u>	DEMSX	DFA EMERGING MKTS SML CAP PORT	P		835.2310	20.1100	16,796.50	USD	0.47	365.00	0.00	18,191.33
<u>233203736</u>	DISVX	DFA INTERNATIONAL SMALL CAP VALUE	P		6,797.3500	20.3500	138,326.07	USD	3.89	2,936.46	0.00	108,859.61
<u>233203868</u>	DFALX	DFA LARGE CAP INTL PORTFOLIO	P		8,197.2020	22.5900	185,174.79	USD	5.20	4,680.60	0.00	136,776.45
<u>25434D203</u>	DFIVX	DFA INTERNATIONAL VALUE	P		24,054.3700	19.8300	476,998.16	USD	13.41	12,941.25	0.00	391,748.29
Security Type Total:					43,431.5270		915,238.52	USD	25.72	23,264.58	0.00	744,485.97

Security Type: U.S. TREASURY BONDS & NOTES

<u>912810D54</u>		U.S. T-BOND 10.625% DUE 08/15/15	P		66,000.0000	116.6367	76,980.22	USD	2.16	7,012.50	2,612.88	78,099.11
Account Subtotal:					396,494.0750		3,558,143.74	USD	100.00	65,157.48	3,443.99	2,816,289.79

Principal Cash: 0.00 USD
 Income Cash: 0.00 USD
 Net Cash: 0.00 USD
 Total Value: 3,558,143.74 USD

Grand Totals: 475,273.7050 USD 78,476.31 4,484.07 3,302,168.05 923



ESTIMATED TAX WORKSHEET FOR FORM 990-W

A. 2014 Estimated Tax		A	
B. Enter 100 % of Line A	B		
C. Enter 100 % of tax on 2013 FORM 990-PF	C	2,364	
D. Required Annual Payment (Smaller of lines B or C)	D		2,364.
E. Income tax withheld (if applicable)	E		NONE
F. Balance (As rounded to the nearest multiple of 4)	F		2,364.

Record of Estimated Tax Payments

Payment number	(a) Date	(b) Amount	(c) 2013 overpayment credit applied	(d) Total amount paid and credited (add (b) and (c))
1	05/15/2014	591.		591.
2	06/16/2014	591.		591.
3	09/15/2014	591.		591.
4	12/15/2014	591.		591.
Total		2,364.		2,364.

ESTIMATED PAYMENTS MUST BE MADE USING THE ELECTRONIC FEDERAL TAX PAYMENTS SYSTEM (EFTPS). THIS WORKSHEET MERELY PROVIDES THE AMOUNTS WHICH NEED TO BE PAID VIA THE ABOVE METHOD.

