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**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

**2013**

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

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▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013 or tax year beginning January 1, 2013, and ending December, 2013

Name of foundation <b>The Blumenthal Foundation</b>		A Employer identification number <b>56-0793667</b>
Number and street (or P O box number if mail is not delivered to street address) <b>P.O. Box 34689</b>	Room/suite	B Telephone number (see instructions) <b>704-688-2305</b>
City or town, state or province, country, and ZIP or foreign postal code <b>Charlotte N.C. 28234-4689</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>21,036,297</b>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/>				
	3 Interest on savings and temporary cash investments	15,633	15,633		
	4 Dividends and interest from securities	161,821	161,821		
	5a Gross rents	18,669			
	b Net rental income or (loss) (1,007)				
	6a Net gain or (loss) from sale of assets not on line 10	919,474			
	b Gross sales price for all assets on line 6a 919,474				
	7 Capital gain net income (from Part IV, line 2)		919,474		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	4,561	4,561			
12 Total. Add lines 1 through 11	1,120,158	1,101,489			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages	72,012			50,408
	15 Pension plans, employee benefits	15,115			10,581
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	6,000			6,000
	c Other professional fees (attach schedule)	116,733			116,733
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	11,556			
	19 Depreciation (attach schedule) and depletion	3,358			
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	58,088	17,426		40,662
	24 Total operating and administrative expenses. Add lines 13 through 23	282,862	58,478		224,384
	25 Contributions, gifts, grants paid	821,732			821,732
26 Total expenses and disbursements. Add lines 24 and 25	1,104,594	58,478		1,046,116	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	15,564				
b Net investment income (if negative, enter -0-)		1,043,011			
c Adjusted net income (if negative, enter -0-)			0		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing . . . . .	123,811	90,365	90,365
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ 236,700			
		Less: allowance for doubtful accounts ▶	236,700	236,700	236,700
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .	27,539	40,834	40,834
	10a	Investments—U.S. and state government obligations (attach schedule)	18,792,122	20,456,674	20,456,674
	b	Investments—corporate stock (attach schedule) . . . . .			
	c	Investments—corporate bonds (attach schedule) . . . . .			
	11	Investments—land, buildings, and equipment: basis ▶ 432,242			
	Less: accumulated depreciation (attach schedule) ▶ -220,518	215,082	211,724	211,724	
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .				
14	Land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶ )				
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I) . . . . .	19,395,254	21,036,297	21,036,297	
Liabilities	17	Accounts payable and accrued expenses . . . . .	66,620	97,822	
	18	Grants payable . . . . .	726,486	522,994	
	19	Deferred revenue . . . . .	38,383	33,933	
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ )			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	831,489	654,749	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	10,953,155	10,953,155	
	29	Retained earnings, accumulated income, endowment, or other funds	7,610,610	9,428,393	
30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	18,563,765	20,381,548		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	19,395,254	21,036,297		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	18,563,765
2	Enter amount from Part I, line 27a . . . . .	2	15,564
3	Other increases not included in line 2 (itemize) ▶ Unrealized Gain on Net Investments	3	1,802,219
4	Add lines 1, 2, and 3 . . . . .	4	
5	Decreases not included in line 2 (itemize) ▶	5	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	20,381,548

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	See Attached			
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any		
<b>a</b>				
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				
<b>2</b>	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	<b>919,474</b>
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	924,702	18,917,831	4.8879
2011	1,202,135	19,052,155	6.3097
2010	1,376,700	18,734,510	7.3485
2009	1,228,546	18,136,175	6.7740
2008	1,136,179	20,400,172	5.5695

  

<b>2</b>	Total of line 1, column (d)	<b>2</b>	30.8896
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	6.1779
<b>4</b>	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	19,910,207
<b>5</b>	Multiply line 4 by line 3	<b>5</b>	1,230,032
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	10,430
<b>7</b>	Add lines 5 and 6	<b>7</b>	1,240,462
<b>8</b>	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	1,046,116

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b>	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b>	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
<b>c</b>	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>2</b>	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	20,860	00
<b>3</b>	Add lines 1 and 2	<b>3</b>	20,860	00
<b>4</b>	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>		
<b>5</b>	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	<b>5</b>	20,860	00
<b>6</b>	Credits/Payments:			
<b>a</b>	2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	33,395	00
<b>b</b>	Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b>	Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b>	Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b>	Total credits and payments. Add lines 6a through 6d	<b>7</b>	33,395	00
<b>8</b>	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>		
<b>9</b>	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	<b>9</b>		
<b>10</b>	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	<b>10</b>	12,535	00
<b>11</b>	Enter the amount of line 10 to be: <b>Credited to 2014 estimated tax</b> 12,535 <b>Refunded</b> 00	<b>11</b>		

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		✓
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		✓
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
<b>1c</b> Did the foundation file <b>Form 1120-POL</b> for this year?		✓
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		✓
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		✓
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		✓
<b>4b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		✓
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	✓	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	✓	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <b>North Carolina</b>		
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	✓	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		✓
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		✓

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► blumenthalfoundation.org	13	✓	
14	The books are in care of ► Peggy Gartner Telephone no. ► 704-688-2305 Located at ► 1355 Greenwood Cliff #200 Charlotte, NC ZIP+4 ► 28204			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . .	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No ✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . Organizations relying on a current notice regarding disaster assistance check here . . . . . <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c	✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . .	2b	✓
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here. ► 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ) . . . . .	3b	✓
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Alan Blumenthal 1355 Greenwood Cliff #200 Charlotte, NC 28204	Trustee, Part-time	0	0	0
Philip Blumenthal 1355 Greenwood Cliff #200 Charlotte, NC 28204	Trustee, Part-time	0	0	0
Samuel Blumenthal 1355 Greenwood Cliff #200 Charlotte, NC 28204	Trustee, Part-time	0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Peggy Gartner 1355 Greenwood Cliff #200 Charlotte, NC 28204	Program Admin 40	72,012	2,580	0
<b>Total number of other employees paid over \$50,000</b>				<b>1</b>

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
.....		
.....		
.....		
.....		
.....		
.....		

**Total number of others receiving over \$50,000 for professional services** . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1 None</b> ..... .....	
<b>2</b> ..... .....	
<b>3</b> ..... .....	
<b>4</b> ..... .....	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1 None</b> ..... .....	
<b>2</b> ..... .....	
All other program-related investments. See instructions <b>3</b> ..... .....	

**Total. Add lines 1 through 3** . . . . . ▶



**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	19,731,866
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	206,634
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	274,908
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	20,213,408
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	20,213,408
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	303,201
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	19,910,207
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	995,510

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	995,510
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5 . . . . .	<b>2a</b>	20,860
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	20,860
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	974,650
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	974,650
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	974,650

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	1,046,116
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	1,046,116
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	1,046,116

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .				<b>974,650</b>
<b>2</b> Undistributed income, if any, as of the end of 2013:				
<b>a</b> Enter amount for 2012 only . . . . .				
<b>b</b> Total for prior years: 20__ ,20__ ,20__				
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008 . . . . .	119,738			
<b>b</b> From 2009 . . . . .	323,249			
<b>c</b> From 2010 . . . . .	457,279			
<b>d</b> From 2011 . . . . .	262,637			
<b>e</b> From 2012 . . . . .	0			
<b>f</b> Total of lines 3a through e . . . . .	<b>1,162,903</b>			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>1,046,116</u>				
<b>a</b> Applied to 2012, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2013 distributable amount . . . . .				<b>974,650</b>
<b>e</b> Remaining amount distributed out of corpus . . . . .	<b>71,466</b>			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	<b>1,234,369</b>			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	<b>119,738</b>			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	<b>1,114,631</b>			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009 . . . . .	323,249			
<b>b</b> Excess from 2010 . . . . .	457,279			
<b>c</b> Excess from 2011 . . . . .	262,637			
<b>d</b> Excess from 2012 . . . . .	0			
<b>e</b> Excess from 2013 . . . . .	71,466			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon.					
<b>a</b> "Assets" alternative test—enter:					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test—enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

See Attached

- b** The form in which applications should be submitted and information and materials they should include:

See Attached

- c** Any submission deadlines:

See Attached

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Attached

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p><b>a</b> <i>Paid during the year</i></p> <p>See Attached</p>				
<p><b>Total</b> . . . . . ▶</p>				<b>3a</b>
<p><b>b</b> <i>Approved for future payment</i></p> <p>See Attached</p>				
<p><b>Total</b> . . . . . ▶</p>				<b>3b</b>





The Blumenthal Foundation  
Part I Line 11  
Other Income

<b>Name</b>	<b>Description</b>	<b>Amount</b>
Jefferson Pilot Communications	Earned Easement	\$ 4,561.00
	<b>Total</b>	<b>\$ 4,561.00</b>

The Blumenthal Foundation  
Part I Line 16b  
Accounting Fees

Name	Description	Amount
C. DeWitt Foard & Company, P.A 1001 Morehead Square Drive Suite 450 Charlotte, NC 28203	Audit	\$ 6,000 00



The Blumenthal Foundation  
Part I Line 16c  
Other Professional Fees

Name	Description	Amount
Ashdon Investments	Consultant on investments	116,733 00
	\$	116,733.00

The Blumenthal Foundation  
Part I Line 18  
Taxes

<b>Name</b>	<b>Description</b>	<b>Amount</b>	
Mecklenburg City-County Tax	Property Tax	\$	11,556.00
		\$	11,556.00

THE BLUMENTHAL FOUNDATION DEPRECIATION SCHEDULE  
December 31, 2013

Part I  
Line 19

EXPENSE CODE	DATE ACQRD	ASSETS				LIFE IN YEARS	BALANCE 1/1/2013	Monthly	DEDUCT	BALANCE 12/31/2013
		COST 12/31/2012	ADDITNS	DEDUCT	COST 12/31/2013					
<b>14050 BUILDINGS</b>										
58	1972	95,180 00			95,180 00	45	86,478 54	176 26	2,115 11	84,363 43
58	1973	-			-	45	0 00	0 00	0 00	0 00
58	1974	24,903 00			24,903 00	45	20,568 00	46 12	553 40	20,014 60
58	19774	17,237 00			17,237 00	25	13,904 47	57 46	689 48	13,214 99
<b>TOTAL BUILDINGS</b>		<b>137,320.00</b>	<b>0.00</b>		<b>137,320.00</b>		<b>123,749.34</b>	<b>279.83</b>	<b>3,357.99</b>	<b>117,593.02</b>

The Blumenthal Foundation  
Part I Line 23  
Other Expenses

<b>Description</b>	<b>Amount</b>
Office Supplies	1,214.18
Contractor Labor	19,329.45
Postage	783.93
Dues and Subscriptions	6,574.10
Software and Software Maint.	7,358.76
Insurance	196.95
Education and Seminars	888.74
Property Insurance	2,713.89
Bank Charges	374.20
Storm Water	2,048.58
Excise Tax	16,605.00
	\$ 58,088

The Blumenthal Foundation  
Part II Line 7  
Notes Receivable

Name	Amount
Bershire Business Center Interest Receivable	<u>236,700</u>
	236,700

THE BLUMENTHAL FOUNDATION DEPRECIATION SCHEDULE  
December 31, 2013

Part II  
Line 11

EXPENSE CODE	DATE ACQRD	ASSETS				MONTHLY	DEDUCT	BALANCE	BALANCE
		COST 12/31/2012	ADDITNS	DEDUCT 12/31/2013	LIFE IN YEARS				
<b>14050 BUILDINGS</b>									
58	1972	95,180.00		95,180.00	45	2,115.11	88,303.65		88,303.65
58	1973				45				
58	1974	24,903.00		24,903.00	45	553.40	21,121.40		21,121.40
58	1977A	17,237.00		17,237.00	25	689.48	14,593.95		14,593.95
<b>TOTAL BUILDINGS</b>		<b>137,320.00</b>	<b>0.00</b>	<b>137,320.00</b>		<b>3,357.99</b>	<b>124,019.00</b>		<b>124,019.00</b>
03	1995	35,490.00		35,490.00	10		35,490.00		35,490.00
03	2000	12,880.00		12,880.00	5		12,880.00		12,880.00
50	1972	4,187.00		4,187.00	20		4,187.00		4,187.00
<b>Total Land Improvements</b>		<b>52,557.00</b>		<b>52,557.00</b>			<b>52,557.00</b>		<b>52,557.00</b>
32	1995	4,796.00		4,796.00	10		4,796.00		4,796.00
1000									
75	1997	4,404.00		4,404.00	5		4,404.00		4,404.00
75	1998	2,303.98		2,303.98	5		2,303.98		2,303.98
<b>Total Equipment</b>		<b>15,143.91</b>	<b>0.00</b>	<b>15,143.91</b>			<b>15,143.91</b>		<b>15,143.91</b>
<b>17050 OFFICE FURN. &amp; FIXTURES</b>									
	2007	9,451.94	0.00	9,451.94	5	0.00	9,451.94		9,451.94
	2007	6,050.00	0.00	6,050.00	5	0.00	6,050.00		6,050.00
01	1999	13,285.93		13,285.93	10	0.00	13,295.93		13,295.93
<b>Total</b>		<b>28,787.87</b>	<b>0.00</b>	<b>28,787.87</b>		<b>0.00</b>	<b>28,797.87</b>		<b>28,797.87</b>
<b>Land</b>		<b>198,433.28</b>							
<b>Total</b>		<b>432,242.06</b>						<b>Depreciation</b>	<b>220,517.79</b>

The Blumenthal Foundation  
Part II Line 10a  
Investments

<b>Name</b>	<b>Amount</b>
BNY Mellon	\$ 804,393
Angelo Gordon	\$ 1,128,688
Ashdon Select Investment Manage	\$ 11,780,128
Horseman Global Fund	\$ 761,560
PIMCO	\$ 5,121,105
US Bancorp Fund Services LLC	\$ 860,801
	<hr/>
	\$ 20,456,674

The Blumenthal Foundation

Part IV

Line 1a

01/31/2013 Real Gain AG 0113	40,294 00
01/31/2013 Real Gain Ashdon 0113	64,652 93
03/31/2013 Real Gain Ashdon 0313	198,262 70
04/30/2013 Real Loss Ashdon 0413	-151 26
05/31/2013 Real Gain Ashdon 0513	18,389 20
06/30/2013 Real Gain Ashdon 0613	51,578 68
07/31/2013 Real Gain Ashdon 0713	13,845 72
08/31/2013 Real Gain Ashdon 0813	34,097 06
09/30/2013 Real Gain Ashdon 0913	27,193 28
10/31/2013 Real Gain Ashdon 1013	182,536 62
11/30/2013 Real Gain Ashdon 1113	111,017.67
12/31/2013 Real Gain Ashdon 1213	9,503 19
12/31/2013 Audit FYE JE 2013	168,254 41
	<hr/>
	919,474 20



Date	Name	Name Address	Memo	Amount
01/10/2013	Wildacres Retreat	P O Box 280 Little Switzerland NC 28749	General Operating Support	\$ 8,000.00
01/30/2013	Foundation For The Carolinas	220 North Tryon Street Charlotte, NC 28202	For support of the Annual Meeting on March 14th	\$ 600.00
01/30/2013	N C Network of Grantmakers	3739 National Drive, #100 Raleigh, NC 27612	For support of the Annual Meeting - February 27-28, 2013	\$ 1,500.00
03/25/2013	Blue Ridge Parkway Foundation	717 S Marshall Street - Suite 105B Winston Salem, NC 27101	A 3-year grant to help get a TRACK Trail in Charlotte and integrate it into the growing network	\$ 5,000.00
03/25/2013	N C Blumenthal Performing Art Center	P O Box 37322 Charlotte, NC 28237	A grant to help fund 1000 Children's Defense Fund Freedom School students the opportunity to see	\$ 5,000.00
03/25/2013	N C State University Foundation	Campus Box 7011 Raleigh, NC 27695	For support of the Philanthropy Journal	\$ 500.00
03/25/2013	Covenant Day School	800 Fulwood Lane Matthews, NC 28105	A grant for help in funding Phase 2 of "The Green Fish" project which utilizes aquaponics techn	\$ 5,000.00
03/25/2013	Opera Carolina	200 Wachovia Center 301 S Tryon Street - #1550 Charlotte, NC 28282	For continued support of the Education & Outreach Programs for the 2013 season	\$ 2,500.00
03/25/2013	Southern Environmental Law Center	200 West Franklin Street Chapel Hill, NC 27516	A grant for general operating support	\$ 1,000.00
04/22/2013	Mitchell County Animal Rescue, Inc	P O Box 308 Spruce Pine, NC 28777	A challenge grant for help in purchasing a new "Spay/Neuter Bus"	\$ 2,500.00
04/23/2013	Foothills Conservancy of N C, Inc	P O Box 3023 Morganton, NC 28680	A grant to help support the 2013 protection efforts along the eastern slope of the Blue Ridge Mo	\$ 5,000.00
04/23/2013	Jewish Family Services	5007 Providence Road Charlotte, NC 28226	A grant of \$15,000.00 payable in two payments for the social service and therapy programs of th	\$ 7,500.00
04/23/2013	N C Conservation Network	19 East Martin Street - Suite 300 Raleigh, NC 27601	1st payment on grant to develop a new communication infrastructure	\$ 5,000.00
04/23/2013	Western North Carolina Alliance	29 North Market Street Suite 610 Asheville, NC 28801	A grant to help underwrite the salary of Bob Wagner on staff as the co-director	\$ 5,000.00
04/24/2013	N C Dance Theatre	701 N Tryon Street Charlotte, NC 28202	A grant of \$12,500 payable in 3 payments by June 30th for programming support for the 2012-2013	\$ 4,100.00
05/01/2013	Power2Give	702 N Tryon Street Charlotte, NC 28202	Annual Grant	\$ 2,500.00
05/28/2013	Anne Close Greenway	P O Box 1209 Fort Mill, SC 29716	A grant to strengthen environmental science and history education and preserve green space	\$ 10,000.00
05/28/2013	Arts and Science Council	P O Box 602720 Charlotte, NC 28260-2720	1st payment for 2013 Annual Fund Drive	\$ 4,000.00
05/28/2013	Hands In Outreach	1504 Silver Street Sheffield, MD 01257	A grant for education sponsorship of a program to help educate poor children in Nepal	\$ 1,500.00
05/28/2013	N C Dance Theatre	701 N Tryon Street Charlotte, NC 28202	2nd payment on one-year grant of \$12,500.00	\$ 4,200.00
05/28/2013	Shelter Health Services	534 Spratt Street Charlotte, NC 28206	A grant for support of the free health clinic for homeless women and children	\$ 7,500.00
05/30/2013	Bakersville Historic Courthouse Foundation	c/o Sherry Lovett P O Box 260 Little Switzerland NC 28749	For support of the Toe River Storytelling Festival	\$ 3,000.00
05/30/2013	Bakersville Creek Walk Arts Festival	P O Box 882 Burnsville 28714	For support of the arts festival	\$ 500.00
06/26/2013	Allegro Foundation	3121 Providence Road Charlotte, NC 28211	A grant for a movement program for children in elementary with disabilities and their classm	\$ 3,500.00
06/26/2013	Generation Nation	700 East Stonevall Street #710 Charlotte NC 28202	Formerly Kids-Voting - A grant for a program that educates and engages Charlotte students in civi	\$ 1,000.00
06/26/2013	Classroom Central	2116 Wilkinson Blvd Charlotte, NC 28208	A grant to help provide funds to help purchase school supplies for low-income schools in the region	\$ 3,000.00
06/26/2013	Crisis Assistance Ministry	500-A Spratt Street Charlotte, NC 28206	A grant to help families that have slipped in chronic poverty	\$ 10,000.00
06/26/2013	International House	322 Hawthorne Lane Charlotte, NC 28204	A grant to help fund the Immigration Law Clinic	\$ 3,000.00
06/26/2013	Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	1st payment on grant for Annual Fund Drive	\$ 50,000.00
06/26/2013	N C Dance Theatre	701 N Tryon Street Charlotte, NC 28202	3rd and final payment on \$12,500.00 annual grant	\$ 4,200.00
06/26/2013	N C Center for Non-Profits	1110 Navaho Dr Suite 200 Raleigh, NC 27609-7322	A grant to help sponsor "Nonprofits Making a Difference" at the 2013 statewide conference	\$ 2,500.00
06/26/2013	Toe River Arts Council	P O Box 882 Burnsville, NC 28714	General Operating Support	\$ 500.00
07/11/2013	Wildacres Leadership Initiative	711 Iredell Street Suite A Durham, NC 27705	Grant for general operating support	\$ 15,000.00
07/26/2013	Arts and Science Council	P O Box 602720 Charlotte, NC 28260-2720	3rd payment on one-year - \$20,000.00 grant for Annual Fund Drive	\$ 4,000.00
07/26/2013	Dogwood Alliance	5007 Providence Road Charlotte, NC 28226	1st payment on one-year grant of \$15,000.00	\$ 7,500.00
07/26/2013	Jewish Family Services	P O Box 7645 Asheville, NC 28802	A grant to protect our state's forests from logging of wood pellets for export	\$ 5,000.00
07/26/2013	N C Coastal Federation	3609 N C 24 Newport, NC 28570	A grant to help fund the Federation's new Southern Regional Office and Coastal Education Center	\$ 3,500.00
08/15/2013	Greater Carolinas Association of Rabbits	c/o Rabbi David Ostich Congregation Bri Shalom 620 E Hamilton Avenue	Annual Interfaith Institute at Wildacres	\$ 2,600.00
08/26/2013	Arts and Science Council	P O Box 602720 Charlotte, NC 28260-2720	2nd payment on \$20,000 grant	\$ 4,000.00
08/26/2013	Foundation for Mitchell County	P O Box 1101 Spruce Pine, NC 28777-1101	A grant for general operating support	\$ 2,000.00
08/26/2013	N C Conservation Network	19 East Martin Street - Suite 300 Raleigh, NC 27601	2nd payment on \$10,000.00 grant to grow Communication Infrastructure of the organization	\$ 5,000.00
08/26/2013	N C Network of Grantmakers	3739 National Drive, #100 Raleigh, NC 27612	A grant for support of the Communications Initiative	\$ 5,000.00
08/26/2013	Nature Conservancy - NC Chapter	334 Blackwell Street Suite 300 Durham, NC 27701	A grant for the Annual Fund Drive	\$ 2,000.00
08/26/2013	Stokes County Arts Council	500 North Main Street Danbury, NC 27016	A grant to help support a Charlotte Museum Field Trip for the county's high school art students	\$ 800.00
08/27/2013	Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	2nd payment on \$200,000 grant	\$ 50,000.00
08/27/2013	Panners for Parks	5841 Brookshire Blvd Charlotte, NC 28216	Annual Awards Event	\$ 1,500.00
09/03/2013	Wildacres Leadership Initiative	711 Iredell Street Suite A Durham, NC 27705	General Operating Support	\$ 25,000.00
09/23/2013	Jewish Family Services	5007 Providence Road Charlotte, NC 28226	2nd payment on \$15,000.00 grant for 2013	\$ 7,500.00
09/23/2013	Hadassah Directory	7804 Fairview Road - #129 Charlotte, NC 28226	Gold Page Advertisement	\$ 425.00
09/23/2013	Discovery Place	301 North Tryon Street Charlotte, NC 28202-9907	A grant to support the new IMAX film - Jerusalem	\$ 2,500.00
09/23/2013	United Way of Mitchell County, inc	31 Cross Street - Suite 210 Spruce Pine, NC 28777	A grant for support of the 2014 fund drive	\$ 500.00
10/01/2013	Temple Emanuel	c/o Marshall Rauch 1309 Union Road Gastonia, NC 28054	In honor of Marshal Rauch - "Wisdom of Solomon" 100th Anniversary Gala Dinner and Dance	\$ 2,000.00
10/30/2013	Arts and Science Council	P O Box 602720 Charlotte, NC 28260-2720	4th payment on \$20,000 grant	\$ 4,000.00
10/30/2013	Crisis Assistance Ministry	500-A Spratt Street Charlotte, NC 28206	2nd of 2 payments on \$20,000.00 grant	\$ 10,000.00
10/30/2013	Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226	3rd of 4 payments on \$200,000.00 grant	\$ 50,000.00
10/30/2013	Queens University	1900 Selwyn Avenue Charlotte, NC 28207	1st payment on \$20,000.00 grant for McCall Graduation School Blumenthal Fellow	\$ 4,000.00
10/30/2013	Foundation For The Carolinas	220 North Tryon Street Charlotte, NC 28202	A grant to provide assistance in filming a documentary on clubfoot in the US, New Zealand, Ghana	\$ 2,500.00
10/30/2013	Charlotte Rescue Mission	P O Box 33000 Charlotte, NC 28233	1st payment on \$50,000.00 grant for renovation project at the mission	\$ 25,000.00
11/21/2013	Earth Share of North Carolina	P O Box 196 Durham, NC 27702	Workplace conservation education	\$ 5,000.00
11/21/2013	North Carolina Wildlife Federation	2155 McClintock Road Charlotte, NC 28205	For support of Great Outdoors University experience based day trip experience for children 6-	\$ 2,500.00
11/21/2013	South Wings Asheville	35 Haywood Street Suite 201 Asheville, NC 28801	For general operating support of the flight conservation program for 2013-2014	\$ 5,000.00

11/26/2013	Wildacres Leadership Initiative	711 Iredell Street Suite A Durham, NC. 27705	28749	General Operating Support	\$ 25,000.00
12/05/2013	Wildacres Retreat	P O Box 280 Little Switzerland, NC		General Operating Support	\$ 50,000.00
12/19/2013	Arts and Science Council	P O Box 602720 Charlotte, NC 28260-2720		5th and final payment	\$ 4,000.00
12/19/2013	Catawba College Ctr for the Environment	2300 W Inness Street Salisbury, NC 28144		2nd payment on 5-year grant of \$10,000.00	\$ 10,000.00
12/18/2013	Jewish Federation of Greater Charlotte	5007 Providence Rd Charlotte, NC 28226		4th and final payment on \$200,000.00 grant	\$ 50,000.00
12/19/2013	Queens University	P O Box 79180 Charlotte, NC 28271-7059		A grant for support of the an educator's program	\$ 1,800.00
12/18/2013	Institute for the Environment-UNC-CH	1900 Selwyn Avenue Charlotte, NC 28207		2nd payment on grant of \$20,000.00 for 2013-2014 academic year	\$ 4,000.00
12/18/2013	The Wilderness Society	Suite 404, Bank of America Bldg 137 East Rosemary Street Campus Box		A grant to provide capacity-building funding for the UNC Institute for the Environment's Transia	\$ 10,000.00
12/19/2013	Wildlands Network	1615 M Street NW Washington, DC 20036		A grant to support an initiative with the U. S. Forest Service to advance wildland protection in	\$ 5,000.00
12/31/2013	Blue Ridge Parkway Foundation	P O Box 5284 Titusville, FL 32783		A grant for support of the Southeastern conservation program and general operating support for c	\$ 5,000.00
12/31/2013	Charlotte Community Tool Bank	717 S Marshall Street - Suite 105B Winston Salem, NC 27101		A 3-year grant to help get a TRACK Trail in Charlotte and integrate it into the growing network	\$ 10,000.00
12/31/2013	Charlotte Community Tool Bank	2513 S Tryon Street, Charlotte, NC 28203		A grant for general operating support of the local lending program	\$ 2,500.00
12/31/2013	Charlotte Rescue Mission	P O Box 33000 Charlotte, NC 28233		Grant for renovation project at the mission	\$ 25,000.00
12/31/2013	Community Building Initiative	220 N Tryon Street Charlotte, NC 28202		Annual Fund Campaign	\$ 2,500.00
12/31/2013	Daniel Stowe Botanical Garden	6500 South New Hope Road Belmont NC 28012		A grant for support of the Lost Hollow Campaign	\$ 5,000.00
12/31/2013	Discovery Place	301 North Tryon Street Charlotte, NC 28202-9907		A multi-year grant of \$25,000.00 over 5 years for the STEM Center for Career Development	\$ 25,000.00
12/31/2013	Foundation For The Carolinas	220 North Tryon Street Charlotte, NC 28202		For support of the Leadership Gift School	\$ 10,000.00
12/31/2013	Independent College Fund	530 N Blount Street Raleigh NC 27604		Annual scholarship support	\$ 2,500.00
12/31/2013	Jewish Family Services	137 East Rosemary Street Chapel Hill, NC 27599-1105		A grant to provide capacity-building funding for the UNC Institute for the Environment's Translational Initiative	\$ 20,000.00
12/31/2013	Lynnwood Foundation	5007 Providence Road Charlotte, NC 28226		2nd payment on \$15,000.00 grant for 2013	\$ 20,000.00
12/31/2013	Mitchell Yancey Partnership for Children	5007 Providence Road Charlotte, NC 28226		2nd and final payment on one year \$2,500 grant	\$ 12,500.00
12/31/2013	Mitchell Yancey Partnership for Children	P O Box 1387 Burnsville, NC 28714		Funding for development of an outdoorplay site at Bakersville Creek Walk.	\$ 2,500.00
12/31/2013	N C Coastal Federation	P O Box 1387 Burnsville, NC 28715		Funding for development of an outdoorplay site at Bakersville Creek Walk.	\$ 2,500.00
12/31/2013	N C Dance Theatre	3699 N. C. 24 Newport, NC 28570		2nd payment on a grant of \$7,500.00 for general operating support	\$ 4,000.00
12/31/2013	The N C Holocaust Foundation	701 N Tryon Street Charlotte, NC 28202		3rd and final payment on \$12,500.00 annual grant	\$ 12,500.00
12/31/2013	N C Network of Grantmakers	5007 Providence Road Charlotte, NC 28226		A grant for support of teacher workshops on the Holocaust in rural communities.	\$ 2,500.00
12/31/2013	N C Network of Grantmakers	3739 National Drive, #100 Raleigh, NC 27612		A grant for support of the Communications Initiative	\$ 1,500.00
12/31/2013	N C Network of Grantmakers	3740 National Drive, #100 Raleigh, NC 27612		A grant for support of the Communications Initiative	\$ 1,500.00
12/31/2013	Parkway Playhouse	P O Box 1432 Burnsville, NC 28714		A grant for support of the Playhouse's 68th season	\$ 5,000.00
12/31/2013	Queens University	1900 Selwyn Avenue Charlotte, NC 28207		2nd payment on grant of \$20,000.00 for 2013-2014 academic year	\$ 12,000.00
12/31/2013	Spoleto Festival	14 George Street Charleston, SC 29401-1524		Annual Grant	\$ 5,000.00
12/31/2013	WFAE 90.7	8801 J M Keynes Drive - Suite 91 Charlotte, NC 28262-8485		A multi-year grant of \$50,000 over 5 years to help improve WFAE's local news capacity over the next five years	\$ 50,000.00
12/31/2013	Wildlands Network	P O Box 5284 Titusville, FL 32783		2nd payment on \$7500 grant for Network's Southeastern conservation program	\$ 2,500.00
					\$ 824,225.00

Blumenthal Foundation  
 Part XV  
 line 3b

### Grant Commitment Schedule (6 years)

1/1/2014

Organization Grant Amount	Total Paid	Scheduled Payments				Remaining Balance
		2014	2015	2016	2017	
Appalachian State University \$ 10,000.00 <i>A multi-year grant to provide an Appal PIE Scholarship to a student in the Hayes School of Music.</i>	\$7,500.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00
Blue Ridge Parkway Foundation, Inc. \$ 15,000.00 <i>A grant to assist with the TRACK TRAIL in Charlotte and integrate it into a growing network of trails that will get young people outside and connected with nature and in turn become the stewards of the future.</i>	\$5,000.00	\$5,000.00	\$5,000.00	\$0.00	\$0.00	\$0.00
Catawba Lands Conservancy \$ 50,000.00 <i>A grant for general operating support to be used to add an additional staff position to help with development efforts for both the Conservancy and Thread Trail</i>	\$20,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments					Remaining Balance	
		2014	2015	2016	2017	2018		2019
Charlotte Community Tool Bank \$ 2,500.00 <i>A grant to help provide funding for a year-round tool lending program that provides tools to charitable organizations for use in volunteer projects for facility and grounds maintenance</i>	\$0.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Charlotte Rescue Mission \$ 50,000.00 <i>A grant to help with the renovation of the upstairs dormitory at the Men's Shelter.</i>	\$25,000.00	\$25,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Charlotte Symphony \$ 100,000.00 <i>A multi-year grant was awarded for the Bridge Campaign that is designed to offset the Symphony's operating shortfall as it works to rebuild its fiscal base.</i>	\$80,000.00	\$20,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Community Building Initiative \$ 2,500.00 <i>A grant to support the organization's efforts to promote racial and ethnic inclusion.</i>	\$0.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments					Remaining Balance	
		2014	2015	2016	2017	2018		2019
Daniel Stowe Botanical Garden \$ 5,000.00 <i>A grant for the support of the Lost Hollow Campaign. A magical new space planned for the heart of the Garden, where children of all ages can let their imagination flow free.</i>	\$0.00	\$5,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Discovery Place \$ 25,000.00 <i>A grant for support of a new initiative at the Discovery Place Education Studio at the BofA STEM Center for Career Development to provide scholarships for edu- cators to participate in a variety of proven professional development programs and workshops</i>	\$0.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$5,000.00	\$0.00	\$0.00
Foundation For The Carolinas \$ 125,000.00 <i>A multi-year grant for the support of the Carolina Thread Trail Fund.</i>	\$50,000.00	\$25,000.00	\$25,000.00	\$25,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Foundation For The Carolinas \$ 10,000.00 <i>A grant for support of a bold effort to increase the tree canopy of Charlotte by planting 15,000 trees annually</i>	\$0.00	\$10,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments					Remaining Balance	
		2014	2015	2016	2017	2018		2019
Foundation for the Charlotte Jewish Community \$ 3,000.00 <i>A multi-year grant for support of the "Create Your Jewish Legacy Initiative."</i>	\$2,000.00	\$1,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
The Greater Charlotte Cultural Trust \$ 125,000.00 <i>A multi-year grant for the Cultural Facilities Campaign.</i>	\$50,000.00	\$25,000.00	\$25,000.00	\$25,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Independent College Fund of NC \$ 2,500.00 <i>A grant to help provide scholarships and financial aid to students at independent colleges and universities in North Carolina</i>	\$0.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Institute for the Environment - UNC-Chapel Hill \$ 30,000.00 <i>A multi-year grant to provide capacity-building funding that benefits the UNC Institute for the Environment's Translational Institute</i>	\$10,000.00	\$10,000.00	\$10,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Jewish Family Services \$ 20,000.00 <i>A grant for support of the Family to Family 2013 Annual Campaign.</i>	\$0.00	\$20,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments					Remaining Balance	
		2014	2015	2016	2017	2018		2019
Lynnwood Foundation \$ 12,500.00 <i>A grant for the support of The Duke Mansion and The Lee Institute 100th Anniversary Campaign.</i>	\$0.00	\$12,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Mitchell Yancey Partnership for Children \$ 2,500.00 <i>A grant to help provide funding for the development of an outdoor play site at the Bakersville Creek Walk.</i>	\$0.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Mitchell-Yancey Partnership for Children \$ 2,500.00 <i>A grant for the development of an outdoor play site at the Bakersville Creek Walk.</i>	\$0.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
N. C. Coastal Federation \$ 7,500.00 <i>A grant for general operating support.</i>	\$3,500.00	\$4,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
N. C. Dance Theatre \$ 12,500.00 <i>A grant for support of the programming needs of the 2013-2014 season.</i>	\$0.00	\$12,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments					Remaining Balance	
		2014	2015	2016	2017	2018		2019
N. C. Zoological Society \$ 7,500.00 <i>A multi-year grant for support of "Project - Polar Bears."</i>	\$5,000.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Nature Conservancy-NC Chapter \$ 50,000.00 <i>A grant for support of the "Campaign for Sustainable Planet"</i>	\$20,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$0.00	\$0.00	\$0.00	\$0.00
The North Carolina Holocaust Foundation \$ 2,500.00 <i>A grant to provide funding for teacher workshops in rural areas of North Carolina.</i>	\$0.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
North Carolina Network of Grantmakers \$ 1,500.00 <i>A grant to help provide scholarships to community foundations that which to have their staff attend the Annual Meeting in February 2014.</i>	\$0.00	\$1,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
North Carolina Network of Grantmakers \$ 1,500.00 <i>A grant to help provide scholarships to community foundations to enable their employees attend the Annual Conference.</i>	\$0.00	\$1,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00



Organization Grant Amount	Total Paid	Scheduled Payments					Remaining Balance	
		2014	2015	2016	2017	2018		2019
Parkway Playhouse \$ 5,000.00 <i>A grant for support of the Playhouse's 68th season of plays and musical productions for 2014.</i>	\$0.00	\$5,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Penland School of Crafts \$ 100,000.00 <i>A multi-year grant for the capital infrastructure priorities within the Campaign for Penland's Future (CPT)</i>	\$40,000.00	\$20,000.00	\$20,000.00	\$20,000.00	\$0.00	\$0.00	\$0.00	\$0.00
Queens University of Charlotte \$ 20,000.00 <i>A grant for sponsorship of the Blumenthal Fellowship Program at the McColl Graduate School of Business for the 2013-2014 academic year.</i>	\$8,000.00	\$12,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Spoleto Festival USA \$ 5,000.00 <i>A grant for support of the Annual Operating Fund Drive</i>	\$0.00	\$5,000.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Organization Grant Amount	Total Paid	Scheduled Payments					Remaining Balance
		2014	2015	2016	2017	2018	
WFAE 90.7 FM \$ 50,000.00 <i>A grant to help in improving WFAE's local news capacity over the next five years through the addition of new reporters who will provide coverage of local news in the areas of business, education, government, and the arts</i>	\$0.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$10,000.00	\$0.00
Wildlands Network ( formerly The Wildlands Project) \$ 7,500.00 <i>A grant for support of the Network's Southeastern conservation programs.</i>	\$5,000.00	\$2,500.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Grand Total</b>	<b>\$331,000.00</b>	<b>\$277,500.00</b>	<b>\$120,000.00</b>	<b>\$105,000.00</b>	<b>\$15,000.00</b>	<b>\$15,000.00</b>	<b>\$0.00</b>

(32 items)