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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation
AUGUST J & THELMA S HOFFMANN FOUNDATION

A Employer identification number
55-0769742

Number and street (or P O box number if mail is not delivered to street address)
83 EDGINGTON LANE

Room/suite

B Telephone number (see instructions)
(304) 242-2300

City or town, state or province, country, and ZIP or foreign postal code
WHEELING, WV 260031541

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 7,416,585

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	**(b)** Net investment income	**(c)** Adjusted net income	**(d)** Disbursements for charitable purposes (cash basis only)
Revenue 1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments	118	118		
4	Dividends and interest from securities	113,885	113,885		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	140,652			
b	Gross sales price for all assets on line 6a 1,078,953				
7	Capital gain net income (from Part IV, line 2)		140,652		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	254,655	254,655		
Operating and Administrative Expenses 13	Compensation of officers, directors, trustees, etc	51,469	25,734		25,735
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions)	1,899	0		0
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (attach schedule)	1,976	0		1,976
24	**Total operating and administrative expenses.** Add lines 13 through 23	55,344	25,734		27,711
25	Contributions, gifts, grants paid	287,125			287,125
26	**Total expenses and disbursements.** Add lines 24 and 25	342,469	25,734		314,836
27	Subtract line 26 from line 12				
a	**Excess of revenue over expenses and disbursements**	-87,814			
b	**Net investment income** (if negative, enter -0-)		228,921		
c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash—non-interest-bearing		1,590	1,590
2	Savings and temporary cash investments	158,384	418,743	418,743
3	Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	4,824,286	4,874,523	6,494,078
c	Investments—corporate bonds (attach schedule)			
11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	900,000	500,000	502,174
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	5,882,670	5,794,856	7,416,585
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0	0	
28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
29	Retained earnings, accumulated income, endowment, or other funds	5,882,670	5,794,856	
30	**Total net assets or fund balances** (see page 17 of the instructions)	5,882,670	5,794,856	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	5,882,670	5,794,856	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,882,670
2	Enter amount from Part I, line 27a	2	-87,814
3	Other increases not included in line 2 (itemize) ▶	3	0
4	Add lines 1, 2, and 3	4	5,794,856
5	Decreases not included in line 2 (itemize) ▶	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,794,856

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table			
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table			
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	140,652
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	319,959	6,322,006	0 050610
2011	304,006	6,424,567	0 047319
2010	274,168	6,142,459	0 044635
2009	332,601	5,470,852	0 060795
2008	386,271	6,766,044	0 057090

2	**Total** of line 1, column (d)	2	0 260449
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052090
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,833,497
5	Multiply line 4 by line 3	5	355,957
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,289
7	Add lines 5 and 6	7	358,246
8	Enter qualifying distributions from Part XII, line 4	8	314,836

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	4,578
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	4,578
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	4,578
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 1,640		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	1,640
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	2,938
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶WV			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NONE

14 The books are in care of ▶THE FOUNDATION Telephone no ▶(304) 242-2300

Located at ▶83 EDGINGTON LANE WHEELING WV ZIP+4 ▶260031541

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here . . . ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year . . . ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Line	Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☑ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
	Organizations relying on a current notice regarding disaster assistance check here. . . ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B **Statements Regarding Activities for Which Form 4720 May Be Required *(continued)***

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ **Yes** ☑ **No**			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ **Yes** ☑ **No**			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ **Yes** ☑ **No**			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ **Yes** ☑ **No**			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ **Yes** ☐ **No**			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ **Yes** ☑ **No**			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ **Yes** ☑ **No**			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
WILLIAM J YAEGER JR 83 EDGINGTON LANE WHEELING, WV 26003	TRUSTEE 2 00	17,157	0	0
ROBERT J KRALL 83 EDGINGTON LANE WHEELING, WV 26003	TRUSTEE 1 00	17,156	0	0
HOLLY S PLANINSIC 83 EDGINGTON LANE WHEELING, WV 26003	TRUSTEE 0 50	17,156	0	0
ROBERT P FITZSIMMONS 83 EDGINGTON LANE WHEELING, WV 26003	TRUSTEE 0 50	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services. . . . . ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	6,735,637
b	Average of monthly cash balances	1b	201,923
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	6,937,560
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	6,937,560
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	104,063
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,833,497
6	**Minimum investment return.** Enter 5% of line 5	6	341,675

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	341,675
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 4,578		
b	Income tax for 2013 (This does not include the tax from Part VI) — 2b:		
c	Add lines 2a and 2b	2c	4,578
3	Distributable amount before adjustments Subtract line 2c from line 1	3	337,097
4	Recoveries of amounts treated as qualifying distributions	4	0
5	Add lines 3 and 4	5	337,097
6	Deduction from distributable amount (see instructions)	6	0
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	337,097

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	314,836
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	314,836
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	314,836

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				337,097
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			313,760	
b Total for prior years 20___ , 20___ , 20___		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 314,836				
a Applied to 2012, but not more than line 2a			313,760	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				1,076
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount —see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				336,021
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .	0			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ROBERT J KRALL TREASURER
83 EDGINGTON LANE
WHEELING, WV 26003
(304) 242-2300

b The form in which applications should be submitted and information and materials they should include

ALL APPLICATIONS FOR GRANTS FROM THE FOUNDATION SHOULD BE MADE BY COMPLETING THE AUGUST J & THELMA S HOFFMANN FOUNDATION GRANT APPLICATION FORM, WHICH CAN BE OBTAINED BY CONTACTING THE FOUNDATION TREASURER (SEE PART XV, LINE 2(A)) THE APPLICATION FORM REQUESTS VARIOUS INFORMATION AND MATERIALS, INCLUDING GENERAL INFORMATION RELATING TO THE ORGANIZATION, OPERATIONS AND EXEMPT STATUS OF THE REQUESTING ORGANIZATION, INFORMATION RELATED TO THE PROPOSED USE AND PURPOSE OF THE REQUESTED GRANT

c Any submission deadlines

NO

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

GRANTS MADE BY THE FOUNDATION "SHALL BE MADE FOR THE ACCOMPLISHMENT OF CHARITABLE, RELIGIOUS, SCIENTIFIC, LITERARY, OR EDUCATIONAL PURPOSES OR FOR THE PREVENTION OF CRUELTY TO CHILDREN OR ANIMALS IN ANY AREAS OF THE UNITED STATES, HOWEVER, PREFERENCE SHALL BE AFFORDED TO QUALIFYING ORGANIZATIONS AND USES LOCATED IN OHIO COUNTY, WEST VIRGINIA, AND ITS CONTIGUOUS COUNTIES IN ADDITION, SPECIAL CONSIDERATION SHALL BE GIVEN TO THOSE ORGANIZATIONS SPONSORED OR CONTROLLED BY THE ROMAN CATHOLIC CHURCH, AS WELL AS, CHARITABLE ORGANIZATIONS PROVIDING FOR THE EDUCATION OF CHILDREN, HEALTH CARE FOR THE COMMUNITY AND THE NEEDS OF THE POOR AND INFIRM " (ARTICLE III(B) OF THE ARTICLES OF INCORPORATION OF THE FOUNDATION, AND SECTION 1 4(B) OF THE FOUNDATION BYLAWS)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				287,125
b *Approved for future payment*				
Total . . . . ▶ **3b**				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments. . . .					
3 Interest on savings and temporary cash investments			14	118	
4 Dividends and interest from securities. . . .			14	113,885	
5 Net rental income or (loss) from real estate					
a Debt-financed property.					
b Not debt-financed property.					
6 Net rental income or (loss) from personal property					
7 Other investment income.					
8 Gain or (loss) from sales of assets other than inventory			18	140,652	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e). .		0		254,655	0

13 Total. Add line 12, columns (b), (d), and (e). **13** 254,655

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1a(1)		No
(2) Other assets	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
(3) Rental of facilities, equipment, or other assets	1b(3)		No
(4) Reimbursement arrangements	1b(4)		No
(5) Loans or loan guarantees	1b(5)		No
(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-05-13 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Firm's name			Firm's EIN	
Firm's address			Phone no	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	**(b)** How acquired P—Purchase D—Donation	**(c)** Date acquired (mo , day, yr)	**(d)** Date sold (mo , day, yr)
1200 SHS RIDGEWORTH US GOV SEC	P	2010-08-17	2013-03-08
100K BANK OF INDIA	P	2012-09-05	2013-03-06
10153 00 SHS FEDERATE ADJUSTABLE	P	2010-08-17	2013-05-10
500 SHS PROCTOR & GAMBLE	P	2000-06-20	2013-05-10
7382 SHS RIDGEWORTH US GOV SEC	P	2010-08-17	2013-05-10
100K BMW BANK 1%	P	2010-11-08	2013-05-13
100K COMPASS BANK 75%	P	2011-07-26	2013-07-29
3000 SHS INTELL CORP	P	2003-05-16	2013-08-14
1000 SHS PROCTOR & GAMBLE	P	2002-03-08	2013-08-14
100K GE MONEY BANK 8%	P	2011-08-26	2013-08-26
100K CIT BANK 1 05%	P	2011-08-25	2013-11-12
166 SHS ENGILITY HLDGS INC	P	2012-07-07	2013-11-01
96 SHS FRONTIER COMMUNICATIONS	P	2009-04-04	2013-11-01
103 SHS AMERICAN INTL GP WARRANTS	P	2011-01-19	2013-11-01
400 SHS ALLSTATE CORP	P	2003-10-24	2013-12-13
500 SHS BEAM INC	P	2005-05-23	2013-12-13
400 SHS CONSTELLATION BRAND	P	2008-10-24	2013-12-13
400 SHS JP MORGAN CHASE	P	2001-01-01	2013-12-13
400 SHS PROCTOR & GAMBLE	P	2001-11-14	2013-12-13
597 SHS WELLS FARGO	P	2008-01-30	2013-12-13
300 SHS ISHARES CORE S&P ETF	P	2007-05-11	2013-12-13
WORLDCOM SETTLEMENT	P	2013-12-31	2013-12-31
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	**(f)** Depreciation allowed (or allowable)	**(g)** Cost or other basis plus expense of sale	**(h)** Gain or (loss) (e) plus (f) minus (g)
12,180		12,108	72
100,000		100,000	0
100,007		100,057	-50
39,231		17,024	22,207
75,001		72,025	2,976
100,000		100,000	0
100,000		100,000	0
68,099		59,699	8,400
81,423		41,421	40,002
100,000		100,000	0
100,000		100,000	0
5,316		2,799	2,517
423		736	-313
2,148		1,678	470
21,132		16,114	5,018
32,772		32,363	409
27,611		6,376	21,235
22,492		20,550	1,942
32,932		15,494	17,438
26,051		18,444	7,607
31,364		21,413	9,951
38			38
733			733

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
(i) F M V as of 12/31/69	**(j)** Adjusted basis as of 12/31/69	**(k)** Excess of col (i) over col (j), if any	
			72
			0
			-50
			22,207
			2,976
			0
			0
			8,400
			40,002
			0
			0
			2,517
			-313
			470
			5,018
			409
			21,235
			1,942
			17,438
			7,607
			9,951
			38
			733

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ARC OF OHIO COUNTY 439 WARWOOD AVENUE WHEELING, WV 26003	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	5,000
CENTRAL CATHOLIC HIGH SCHOOL 75 14TH STREET WHEELING, WV 26003	N/A	SCHOOL	CHROMEBOOKS	19,000
CORPUS CHRISTI GRADE SCHOOL 1512 WARWOOD AVENUE WHEELING, WV 26003	N/A	SCHOOL	COMPUTERS	7,000
FAITH IN ACTION CAREGIVERS 1359 NATIONAL ROAD WHEELING, WV 26003	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	10,000
HARMONY HOUSE 2000 EOFF STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	5,000
HOLY FAMILY CHILD CARE CENTER 161 EDGINTON LANE WHEELING, WV 26003	N/A	SCHOOL	GENERAL CHARITABLE PURPOSES	5,000
MATT VALEZ SAVE A LIFE FOUNDATION CO COMMNTY FOUNDTN FOR THE OHIO VALLEY PO BOX 670 WHEELING, WV 26003	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	5,000
SEEING HAND ASSOCIATION 750 MAIN STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	1,000
SOUP KITCHEN OF GREATER WHEELING 1100 CHAPLINE STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	BUILDING DEBT REDUCTION & GENERAL CHARITABLE PURPOSES	70,000
ST VINCENT DE PAUL SCHOOL 127 KEY AVENUE WHEELING, WV 26003	N/A	SCHOOL	SECURITY SYSTEM	5,000
ST JAMES EVANGELICAL LUTHERAN 1409 CHAPLINE STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	FOOD PANTRY	2,000
WHEELING CONVENTION AND BUISNESS BUREAU 1401 MAIN STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	CAPITOL THEATER RENOVATION PROJECT	10,000
WHEELING JESUIT UNIVERSITY 316 WASHINGTON AVENUE WHEELING, WV 26003	N/A	SCHOOL	MUSIC CONSERVATORY	100,000
WHEELING MIDDLE SCHOOL 3500 CHAPLINE STREET WHEELING, WV 26003	N/A	SCHOOL	STUDENT ASST PROGRAM	5,000
YOUTH SERVICES SYSTEM 1000 CHAPLINE STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	WINTER FREEZE SHELTER	25,000
Total . . . . ▶ 3a				287,125

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
YWCA OF WHEELING 1100 CHAPLINE STREET WHEELING, WV 26003	N/A	PUBLIC CHARITY	2ND FLOOR WINDOWS RENOVATION PROJECT	13,125
Total . . . . ▶ **3a**				287,125

TY 2013 Investments Corporate Stock Schedule

Name: AUGUST J & THELMA S HOFFMANN FOUNDATION

EIN: 55-0769742

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,000 SHARES ABBVIE INC	23,972	52,810
1,000 SHARES ABBOTT LABORATORIES	22,109	38,330
400 SHARES AFLAC	21,807	26,720
1,600 SHARES ALLSTATE CORP.	64,456	87,264
2,200 SHARES AMERICAN EXPRESS CO.	82,030	199,606
693 SHARES AMERICAN INTERNATIONAL GROUP	141,844	35,378
2,000 SHARES AMGEN	150,730	228,160
1,410 SHARES APACHE CORP.	51,601	121,175
4,300 SHARES APPLIED MATERIALS, INC.	138,739	76,024
700 SHARES AT&T	19,530	24,612
1,000 SHARES BHP BILLITON LIMITED	64,441	68,200
6,019 SHARES BANK OF AMERICA CORP.	187,684	93,716
1,000 SHARES BOEING	48,087	136,490
800 SHARES CATERPILLAR	47,152	72,648
1,000 SHARES CITIGROUP, INC.	122,826	52,110
2,600 SHARES CONSTELLATION BRANDS CL A	40,545	182,988
700 SHARES DISNEY	14,163	53,480
1,383.892 SHARES DODGE & COX INTL STOCK FUND	50,076	59,563
1,500 SHARES DOW CHEMICAL COMPANY	62,680	66,600
1,700 SHARES DUKE REALTY CORP	39,679	25,568
2,100 SHARES EMC CORP.	61,512	52,815
166 SHARES ENGLITY HLDGS	0	0
1,800 SHARES EXXON MOBIL CORP.	58,441	182,160
40,685.991 SHARES FEDERATED ADJUSTABLE RATE SEC	399,943	397,502
1,200 SHARES FEDEX CORP	87,418	172,524
3,940 SHARES FIRST INDUSTRIAL REALTY TRUST	54,316	68,753
500 SHARES FORTUNE BRANDS	8,999	22,850
96 SHARES FRONTIER COMMUNICATIONS	0	0
2,500 SHARES GENERAL ELECTRIC	84,167	70,075
1,350 SHARES GUGGENHEIM ETF S&P MIDCAP 400	134,431	166,145

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,400 SHARES HOME DEPOT	49,582	115,276
1,300 SHARES HOSPITALITY PTYS TRUST	38,500	35,139
1,850 SHARES ISHARES MSCI EMERGING MRKTS	79,814	77,321
1,370 SHARES ISHARES S&P MIDCAP 400	132,788	159,235
2,235 SHARES ISHARES TRUST S&P SMALL CAP 600	134,164	243,906
3,000 SHARES INTEL CORP.	0	0
300 SHARES INTERNATIONAL BUSINESS MACHINES	25,226	56,271
4,475 SHARES ISTAR FINANCIAL INC.	45,712	63,858
1,600 SHARES J.P. MORGAN CHASE & CO.	75,305	93,568
300 SHARES JOHNSON & JOHNSON	15,325	27,477
1,000 SHARES L3 COMM HOLDINGS INC	67,801	106,860
1,000 SHARES MACK CALI REALTY CORP	39,308	21,480
1,958.196 SHARES MATHEWS INDIA FUND	17,800	31,879
500 SHARES MERCK & CO	22,057	25,025
1,500 SHARES NABORS INDUSTIRES	46,052	25,485
1,200 SHARES NETAPP INC	19,020	49,368
2,700 SHARES ORACLE	58,862	103,302
2,400 SHARES PAYCHEX	77,609	109,272
1,300 SHARES PEPSICO	71,728	107,822
800 SHARES PFIZER	20,399	24,504
1,250 SHARES PLUM CREEK TIMBER CO	40,276	58,138
800 SHARES PRAXAIR INC.	33,874	104,024
1,600 SHARES PROCTOR & GAMBLE CO.	45,228	130,256
1,380.00 REALTY INCOME CORP	34,615	51,515
40,972.101 SHARES RIDGEWORTH US GOV SEC ULTRA SHORT	415,867	414,228
1,000 SHARES SECTOR SPDR BANKING	38,957	40,610
625 SHARES SECTOR SPDR CONSUMER	37,089	41,769
800 SHARES SECTOR SPDR TECH SELECT	25,943	28,592
414 SHARES SIMON PROPERTY GROUP	33,050	62,994
14,286.738 SHARES SYMONS SMALL CAP INST FD	106,000	150,011

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1,800 SHARES TARGET CORP.	59,124	113,886
1,000 SHARES TEVA PHARM INDS LTD.	57,717	40,080
1,333 SHARES TIME WARNER INC NEW	103,707	92,937
334 SHARES TIME WARNER CABLE	36,569	45,257
500 SHS TJX COS INC	25,907	31,865
3,100 SHARES ULTRA PETROLEUM	16,873	67,115
500 SHARES UNION PACIFIC CORP	23,524	84,000
300 SHARES VANGUARD INFO TECHNOLOGY	24,013	26,862
400 SHARES VERIZON	11,637	19,656
441 SHARES VORNADO REALTY TRUST	39,617	39,156
2,000 SHARES WALGREEN CO	79,038	114,880
1,100 SHARES WASHINGTON REAL ESTATE INVEST TRUST	32,375	25,696
2,000 WEATHERFORD INTERNATIONAL LTD	42,255	30,980
2,000 SHARES WELLS FARGO & CO NEW	58,960	90,800
2,000 SHARES XILINX, INC.	71,671	91,840
1,036 SHARES ZIMMER HOLDINGS, INC.	32,044	96,545
103 UNITS AIG WARRANTS	0	0
500 SHARES BEAM INC	0	0
400 SHARES VISA INC CL A	28,163	89,072

TY 2013 Investments - Other Schedule

Name: AUGUST J & THELMA S HOFFMANN FOUNDATION

EIN: 55-0769742

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
100K BMW BANK 1%	AT COST	0	0
100K AMER EXP CENT BK 1.15% CD	AT COST	100,000	100,483
100K AMER EXP CENT BK 1.15% CD	AT COST	100,000	100,524
100K CIT BANK 1.05% CD	AT COST	0	0
100K GE MONEY BANK 1.45% CD	AT COST	100,000	100,439
100K GE MONEY BANK 0.8% CD	AT COST	0	0
100K COMPASS BANK .75% CD	AT COST	0	0
100K GE CAP FINL INC 1.2% CD	AT COST	100,000	100,510
100K BANK OF INDIA NY .35% CD	AT COST	0	0
100K ALLY BANK .7%	AT COST	100,000	100,218

TY 2013 Other Expenses Schedule

Name: AUGUST J & THELMA S HOFFMANN FOUNDATION

EIN: 55-0769742

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ASSOCIATION OF SMALL FOUNDATIONS (MEMBERSHIP FEE)	725	0		725
PHILADELPHIA INS CO (D&O INSURANCE PREMIUM	1,251	0		1,251

TY 2013 Taxes Schedule

Name: AUGUST J & THELMA S HOFFMANN FOUNDATION

EIN: 55-0769742

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID TEVA	192	0		0
ESTIMATED TAX PAYMENTS	1,640	0		0
2012 TAX DUE	67	0		0