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FREDERIC SCOTT BOOCK & ROBERTA  
BRYAN BOOCK TRUST

Form 990-PF (2013)

54-6051067

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Assets	Part II Balance Sheets	Beginning of year			End of year		
		(a) Book Value		(b) Book Value		(c) Fair Market Value	
		1	14,496.	1	21,597.	1	21,597.
	1 Cash - non-interest-bearing		14,496.		21,597.		21,597.
	2 Savings and temporary cash investments		16,561.		83,100.		83,100.
	3 Accounts receivable ►						
	Less: allowance for doubtful accounts ►						
	4 Pledges receivable ►						
	Less allowance for doubtful accounts ►						
	5 Grants receivable						
	6 Receivables due from officers, directors, trustees, and other disqualified persons						
	7 Other notes and loans receivable ►						
	Less allowance for doubtful accounts ►						
	8 Inventories for sale or use						
	9 Prepaid expenses and deferred charges						
	10a Investments - U S and state government obligations	STMT 5					
	b Investments - corporate stock		488,191.		481,508.		962,787.
	c Investments - corporate bonds						
	11 Investments - land, buildings, and equipment basis ►						
	Less accumulated depreciation ►						
	12 Investments - mortgage loans						
	13 Investments - other						
	14 Land, buildings, and equipment basis ►						
	Less accumulated depreciation ►						
	15 Other assets (describe ►)						
	16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)		519,248.		586,205.		1,067,484.
Liabilities	17 Accounts payable and accrued expenses						
	18 Grants payable						
	19 Deferred revenue						
	20 Loans from officers, directors, trustees, and other disqualified persons						
	21 Mortgages and other notes payable						
	22 Other liabilities (describe ►)						
	23 Total liabilities (add lines 17 through 22)		0.		0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>						
	and complete lines 24 through 26 and lines 30 and 31.						
	24 Unrestricted						
	25 Temporarily restricted						
	26 Permanently restricted						
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>						
	and complete lines 27 through 31.						
	27 Capital stock, trust principal, or current funds		519,248.		586,205.		
	28 Paid-in or capital surplus, or land, bldg , and equipment fund		0.		0.		
	29 Retained earnings, accumulated income, endowment, or other funds		0.		0.		
	30 Total net assets or fund balances		519,248.		586,205.		
	31 Total liabilities and net assets/fund balances		519,248.		586,205.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	519,248.
2 Enter amount from Part I, line 27a	2	66,957.
3 Other increases not included in line 2 (itemize) ►	3	0.
4 Add lines 1, 2, and 3	4	586,205.
5 Decreases not included in line 2 (itemize) ►	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	586,205.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a					
b	SEE ATTACHED STATEMENT				
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e	102,908.	46,900.			56,008.
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any			
a					
b					
c					
d					
e					56,008.
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2		56,008.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	}		3		N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	106,033.	685,124.	.154765
2011	76,523.	779,318.	.098192
2010	72,229.	775,766.	.093107
2009	52,426.	700,888.	.074799
2008	52,246.	1,039,760.	.050248

2 Total of line 1, column (d)

2 .471111

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .094222

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4 813,240.

5 Multiply line 4 by line 3

5 76,625.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 610.

7 Add lines 5 and 6

7 77,235.

8 Enter qualifying distributions from Part XII, line 4

8 113,644.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions

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**Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1	(attach copy of letter if necessary-see instructions)	1	610.
Date of ruling or determination letter _____				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 2b			
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2 3 4 5	0. 610. 0. 610.	
3	Add lines 1 and 2			
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0-			
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 6b 6c 6d	1,219.	
b	Exempt foreign organizations - tax withheld at source			
c	Tax paid with application for extension of time to file (Form 8868)			
d	Backup withholding erroneously withheld			
7	Total credits and payments Add lines 6a through 6d	7 8 9	1,219. 0. 10	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached			
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed			
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	11	609. 0.	
11	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> ► 609. Refunded ►			

**Part VII-A | Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a 1b 1c	Yes X Yes X Yes X	
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?			
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.				
c	Did the foundation file Form 1120-POL for this year?	2 3 4a 4b 5 6 7 N/A 8b 9 10	X X X X X X X X X X X	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			
(1)	On the foundation ► \$ 0. (2) On foundation managers. ► \$ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			
If "Yes," attach a detailed description of the activities.				
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?			
b	If "Yes," has it filed a tax return on Form 990-T for this year?			
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			
If "Yes," attach the statement required by General Instruction T.				
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
<ul style="list-style-type: none"> <li>● By language in the governing instrument, or</li> <li>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?</li> </ul>				
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV			
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► VA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation			
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV			
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	STMT 6	10 X	

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**Part VII-A Statements Regarding Activities (continued)**

11		X
12		X
13	X	
Website address ► N/A		
14	The books are in care of ► ROBERTA B. BOOCK	Telephone no ► (804) 643-1100
	Located at ► 919 E. MAIN ST., 16TH FLOOR, RICHMOND, VA	ZIP+4 ► 23219-1156
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15 N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ►	Yes No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	►
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1b	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))	1c	X
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here  N/A ►

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

N/A

►

5b

X

6b

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERTA B. BOOCK C/O 919 E. MAIN STREET, 16TH FLOOR RICHMOND, VA 23219	TRUSTEE AS NEEDED 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

**Part VIII | Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ► 0

**Part IX-A | Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A	0.
2	
3	
4	

**Part IX-B | Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A	
2	
All other program-related investments. See instructions	
3	

**Total. Add lines 1 through 3** ► 0.

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**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	768,365.
b	Average of monthly cash balances	1b	57,259.
c	Fair market value of all other assets	1c	
d	<b>Total</b> (add lines 1a, b, and c)	1d	825,624.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	825,624.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	12,384.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	813,240.
6	<b>Minimum investment return</b> Enter 5% of line 5	6	40,662.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part )

1	Minimum investment return from Part X, line 6	1	40,662.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	610.
b	Income tax for 2013 (This does not include the tax from Part VI )	2b	
c	Add lines 2a and 2b	2c	610.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	40,052.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	40,052.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted</b> Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	40,052.

**Part XII**

**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	113,644.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	113,644.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	610.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	113,034.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				40,052.
2 Undistributed income, if any, as of the end of 2013			0.	
a Enter amount for 2012 only				
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008	408.			
b From 2009	17,492.			
c From 2010	35,079.			
d From 2011	41,397.			
e From 2012	73,219.			
f Total of lines 3a through e	167,595.			
4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ 113,644.			0.	
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount			40,052.	
e Remaining amount distributed out of corpus	73,592.			0.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			
6 Enter the net total of each column as indicated below:	241,187.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		0.		
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	408.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	240,779.			
10 Analysis of line 9				
a Excess from 2009	17,492.			
b Excess from 2010	35,079.			
c Excess from 2011	41,397.			
d Excess from 2012	73,219.			
e Excess from 2013	73,592.			

**Part XIV** | **Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling  
b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

## ROBERTA B. BOCOCK

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ►  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a. The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

ROBERTA B. BOCOCK, (804) 643-1100

P.O. BOX 1156 - RICHMOND - VA 23218-1156

**b. The form in which applications should be submitted and information and materials they should include.**

**ANY FORM IS ACCEPTABLE**

#### **c Any submission deadlines**

**NONE**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

## NO RESTRICTIONS

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**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE SCHEDULE 1 ATTACHED	N/A	PUBLIC	TO PROVIDE GENERAL FUNDS	111,859.
<b>Total</b>			► 3a	111,859.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			► 3b	0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

- Attach to Form 990, Form 990-EZ, or Form 990-PF.
- Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

**2013****Name of the organization**FREDERIC SCOTT BOOCK & ROBERTA  
BRYAN BOOCK TRUST**Employer identification number**

54-6051067

**Organization type (check one):****Filers of:**

Form 990 or 990-EZ

**Section:**

- 501(c)( ) (enter number) organization
- 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- 527 political organization
- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

**Check if your organization is covered by the General Rule or a Special Rule.****Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year

► \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

Name of organization

FREDERIC SCOTT BOCOCK & ROBERTA  
BRYAN BOCOCK TRUST

Employer identification number

54-6051067

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERTA B BOCOCK 1214 ROTHESAY CIRCLE RICHMOND, VA 23221	\$ 119,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

FREDERIC SCOTT BOCOCK & ROBERTA  
BRYAN BOCOCK TRUST

Employer identification number

54-6051067

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____

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**Name of organization**

FREDERIC SCOTT BOCOCK & ROBERTA  
BRYAN BOCOCK TRUST

**Employer identification number**

54-6051067

**Part III** *Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once.)* ► \$ \_\_\_\_\_

## Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr)	(d) Date sold (mo., day, yr)
a 70 SHS ALTISOURCE ASSET MGMT			P	12/27/12	10/03/13
b 75 SHS AMGEN INC			P	03/15/11	03/25/13
c 200 SHS BECTON DICKINSON			P	03/31/11	05/08/13
d 150 SHS HALLIBURTON			P	03/22/12	08/29/13
e 207 SHS JOHNSON CONTROLS			P	01/20/12	11/19/13
f 50 SHS AMGEN INC.			P	12/02/10	03/25/13
g 175 SHS LOWES			P	01/20/09	08/09/13
h 50 SHS PROCTER & GAMBLE			P	12/02/10	04/23/13
i					
j					
k					
l					
m					
n					
o					

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 42,039.		6,073.	35,966.
b 7,258.		3,978.	3,280.
c 19,410.		15,966.	3,444.
d 7,265.		4,948.	2,317.
e 10,035.		6,533.	3,502.
f 4,839.		2,680.	2,159.
g 7,978.		3,596.	4,382.
h 4,084.		3,126.	958.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(l) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (l) over col (j), if any	
a			35,966.
b			3,280.
c			3,444.
d			2,317.
e			3,502.
f			2,159.
g			4,382.
h			958.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	56,008.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BB&T SECURITIES, LLC	9,442.	0.	9,442.	8,876.	
TO PART I, LINE 4	9,442.	0.	9,442.	8,876.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PREPARATION OF FORM 990 PF 2012	1,785.	0.		1,785.	
TO FORM 990-PF, PG 1, LN 16B	1,785.	0.		1,785.	

FORM 990-PF	TAXES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN WITHHOLDING TAX ON FOREIGN DIVIDENDS	105.	105.		0.	
TO FORM 990-PF, PG 1, LN 18	105.	105.		0.	

FORM 990-PF	OTHER EXPENSES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT FEES	3,743.	3,743.		0.
MISC EXPENSE	1.	0.		0.
TO FORM 990-PF, PG 1, LN 23	3,744.	3,743.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SCHEDULE 2 ATTACHED	481,508.	962,787.
TOTAL TO FORM 990-PF, PART II, LINE 10B	481,508.	962,787.

FORM 990-PF	LIST OF SUBSTANTIAL CONTRIBUTORS PART VII-A, LINE 10	STATEMENT	6
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NAME OF CONTRIBUTOR	ADDRESS
ROBERTA B. BOCOCK	P.O. BOX 1156 RICHMOND, VA 23218-1156

**Frederic S. Bocock and Roberta B. Bocock Trust**  
**54-6051067**  
**2013 Form 990-PF**

**Schedule 1**

<u>Recipient and Address</u>	<u>Amount</u>
The Richmond Ballet, Richmond, VA	\$ 5,000
Maymont Foundation, Richmond, VA	5,000
Gateway Homes, Chesterfield, VA	200
The Outreach Program at Grace & Holy Trinity Episcopal Church,	200
St. Mary's Episcopal Church, Richmond, VA	200
Brown Ledge Camp Foundation, Burlington, VT	25,000
St. Timothy's School, Stevenson, MD	100
The Valentine Richmond History Center, Richmond, VA	250
St. Andrew's School, Richmond, VA	200
Junior Board, Sheltering Arms Physical Reha, Richmond, VA	200
St. Catherine's School, Richmond, VA	3,000
St. Timothy's School, Stevenson, MD	3,000
St. Christopher's School, Richmond, VA	1,000
United Way of Greater Richmond, Richmond, VA.	10,000
James River Association, Richmond, VA	5,000
The Virginia Home, Richmond, VA	200
James River Garden Club, Richmond, VA	160
James River Garden Club, Richmond, VA	200
SPCA, Richmond, VA	500
SPCA, Richmond, VA	1,500
Lewis Ginter Botanical Garden, Richmond, VA	249
Gateway Homes, Chesterfield, VA	1,000
Community Idea Station Public Radio, Richmond, VA	200
The Virginia Home, Richmond, VA	1,000
St. Andrew's School, Richmond, VA	2,500
Camp Pasquaney, Hebron, NH	2,500
Virginia Home for Boys & Girls, Richmond, VA	1,500
Elk Hill Farm, Goochland, VA	2,500
Richmond Symphony, Richmond, VA	1,000
The Maxwellton & Lachlan Foundation, Charlottesville, VA	1,000
Friends of Hollywood Cemetery, Richmond, VA	500
Historic Richmond Foundation, Richmond, VA	1,000
Anna Julia Cooper Episcopal School, Richmond, VA	1,000
Science Museum of Virginia, Richmond, VA	500
St. John's Church Foundation, Richmond, VA	500
Virginia Historical Society, Richmond, VA	1,000
Episcopal High School, Alexandria, VA	1,000
Better Housing Coalition, Richmond, VA	1,000
Richmond Ballet, Richmond, VA	1,500
The Johns Cancer Foundation, Richmond, VA	1,000
New York Harbor Foundation, New York, NY	1,500

**Frederic S. Bocock and Roberta B. Bocock Trust**  
**54-6051067**  
**2013 Form 990-PF**

**Schedule 1**

<b><u>Recipient and Address</u></b>	<b><u>Amount</u></b>
American Civil War Center, Richmond, VA	1,000
Southern Environmental Law Center, Charlottesville, VA	1,000
The School of Medicine-MCV Foundation, Richmond, VA	1,000
Scenic Virginia, Richmond, VA	500
St. Paul's Episcopal Church, Richmond, VA	9,000
Children's Hospital Foundation, Richmond, VA	500
Colonial Williamsburg Foundation, Williamsburg, VA	500
Emmanuel Episcopal Church, Greenwood VA	1,500
Jamestown Yorktown Foundation, Williamsburg, VA	500
The Valentine Richmond History Center, Richmond, VA	1,000
Virginia League for Planned Parenthood, Richmond, VA	500
Rowland Hall, Salt Lake City, UT	500
Village School, Charlottesville, VA	500
Colorado Academy, Denver, CO	500
Graland Country Day School, Denver, Co	500
The Museum of the Confederacy, Richmond, VA	500
Westminster-Canterbury Foundation, Richmond, VA	500
Richmond Public Library, Richmond, VA	500
St. Andrew's School, Richmond, VA	500
Camp Okahahwis Scholarship Fund, Richmond, VA	1,500
Sheltering Arms Hospital, Mechanicsville, VA	1,000
Thoroughbred Retirement Foundation, Crozier, VA	500
Lewis Ginter Botanical Gardens, Richmond, VA	500
William Byrd Community House, Richmond, VA	500
American Chestnut Foundation, Asheville, NC	500
Snowbird Sports Education Foundation, Holladay, UT	500
George Washington Foundation, Fredericksburg, VA	500
Cross-Over Ministry, Richmond, VA	500
	<u><b>\$111,859</b></u>

**Statement of Account: December 1, 2013 to December 31, 2013**

Account Number: 24968525

Financial Advisor: RRS1

THE SCOTT GROUP

MR FREDERIC SCOTT BOCOCK &

**Money Market/Insured Deposit Program**

**PRIME CASH OBLIGATIONS FUND INSTITUTIONAL**

Summary

		Average Rate For This Period Was 0.02%		
Beginning Balance		81,504.08		
Ending Balance		83,099.97		

**Portfolio Positions**

*Prices are provided as a general guideline and may not reflect the actual current price. Refer to the disclosure on the back of this statement regarding the price value presented.*

*Cost basis and the associated gain/loss for unrealized open positions are provided on this statement for informational purposes only. This statement is not a tax document and should not be used for tax preparation.*

**Equities**

Quantity	Long	Short	Description	Symbol	Account Type	Price	Market Value	Adjusted/Original Cost	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
	200		ABOTT LABORATORIES	ABT	1	38.330	7,666.00	4,564.71	3,101.29	176	2.30
200		ABBVIE INC	ABBV		1	52.810	10,562.00	4,950.03	5,611.97	320	3.03
20		ALTSOURCE ASSET MGMT CORP	AAMC		1	930.000	18,600.00	1,735.00	16,865.00		
900		ALTSOURCE PORTFOLIO SOLUTIONS S.A.	ASPS		1	158.630	142,767.00	30,974.00	111,793.00		
300		ALTSOURCE RESIDENTIAL CORP	RESI		1	30.110	9,033.00	5,245.50	3,787.50	300	3.32
20		APPLE INC	AAPL		1	561.020	11,220.40	9,771.65	1,448.75	244	2.17
1,000		BABCOCK & WILCOX COMPANY NEW	BWC		1	34.190	34,190.00	6,998.74	27,191.26	400	1.17

BOCOCK FS

**Statement of Account: December 1, 2013 to December 31, 2013**

Account Number: 34968525

Financial Advisor: RRS1  
 THE SCOTT GROUP

MR FREDERIC SCOTT BOCOCK &

**Portfolio Positions (continued)**

**Equities (continued)**

Quantity Long	Short Description	Symbol Cusip	Account Type	Price	Market Value	Original Cost	Adjusted/ Unrealized Gains/Loss	Annual Income	Estimated 276	Estimated Yield
300	BB&T CORP	BBT	1	37.320	11,196.00	155.62	11,014.12		276	2.47
500	BRIGGS & STRATTON CORP	BGG	1	21.760	10,880.00	9,525.85	1,354.15		240	2.21
1,000	CHESAPEAKE ENERGY CORP	CHK	1	27.140	27,140.00	7,641.30	19,498.70		350	1.29
775	CISCO SYSTEMS INC	CSCO	1	22.430	17,383.25	12,892.48	4,490.77		527	3.03
700	CORNING INC	GLW	1	17.820	12,474.00	9,497.00	2,977.00		280	2.24
150	DUKE ENERGY CORP NEW	DUK	1	69.010	10,351.50	10,215.00	136.50		468	4.52
500	EXELON CORP	EXC	1	27.390	13,695.00	19,929.90	-6,234.90		620	4.53
1,100	FIRSTMERIT CORP	FMER	1	22.230	24,453.00	18,535.99	5,917.01		704	2.88
175	FLUOR CORP NEW	FLR	1	80.290	14,050.75	7,449.40	6,601.35		112	0.80
400	GENTEX CORP	GNTX	1	32.980	13,192.00	8,504.80	4,687.20		224	1.70
300	HALLIBURTON COMPANY	HAL	1	50.750	15,225.00	9,749.23	5,475.77		180	1.18

**Portfolio Positions (continued)**

**Equities (continued)**

Quantity	Long	Short	Description	Symbol Cusip	Account Type	Price	Market Value	Adjusted/ Original Cost	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
300			IBERIABANK CORP	IBKC	1	62.850	18,855.00	16,676.50	2,178.50	408	2.16
950			INTERSIL CORP	ISIL	1	11.471	10,897.45	8,552.63	2,344.82	456	4.18
143			JOHNSON CONTROLS INC	JCI	1	51.300	7,335.90	4,513.08	2,822.82	125	1.72
375			KINDER MORGAN INC DE	KMI	1	36.000	13,500.00	10,137.80	3,362.20	615	4.56
350			LEGGETT & PLATT INC	LEG	1	30.940	10,829.00	7,929.50	2,899.50	420	3.88
1,500			LUMINEX CORP DEL	LMNX	1	19.400	29,100.00	19,272.30	9,827.70		
400			MANTECH INTL CORP CL A	MANT	1	29.930	11,972.00	9,813.32	2,158.68	336	2.81
2,000			MCDERMOTT INTL INC	MDR	1	9.160	18,320.00	7,639.92	10,680.08		
400			MICROCHIP TECHNOLOGY INC	MCHP	1	44.750	17,900.00	12,583.16	4,884.84	567	3.17
200			MURPHY OIL CORP	MUR	1	64.880	12,976.00	9,660.22	3,315.78	250	1.93
50			MURPHY USA INC	MUSA	1	41.560	2,078.00	1,558.24	519.76		

**Statement of Account: December 1, 2013 to December 31, 2013**

**Account Number: 34968525**

**Financial Advisor: RRS1**  
 THE SCOTT GROUP

**MR FREDERIC SCOTT BOCOCK &**

**Portfolio Positions (continued)**

**Equities (continued)**

Quantity	Long	Short	Description	Symbol Cusip	Account Type	Price	Market Value	Original Cost	Adjusted/ Original Cost	Unrealized Gains/Loss	Estimated Annual Income	Estimated Yield
1,000			NIKO RES LTD	NKRSF	1	2.352	2,352.00	47,486.48	45,134.48			
300			NOBLE CORP PLC	NE	1	37.470	11,241.00	12,236.35	-95.35	300	2.67	
500			PRICE T ROWE GRP INC	TROW	1	83.770	41,885.00	44,799.55	27,085.45	760	1.81	
100			PROCTER & GAMBLE COMPANY	PG	1	81.410	8,141.00	6,251.24	1,889.76	240	2.96	
2,800			SANDRIDGE ENERGY INC	SD	1	6.070	16,996.00	20,018.88	-12,022.88			
225			TOTAL S A SPONSORED ADR	TOT	1	61.270	13,785.75	11,269.74	2,516.01	590	4.29	
1,200			ULTRA PETROLEUM CORP	UPL	1	21.650	25,980.00	3,470.24	22,509.76			
2,800			WEATHERFORD INTL LTD REG	WFT	1	15.490	43,372.00	30,173.95	13,198.05			
400			WELLS FARGO & CO NEW	WFC	1	45.400	18,160.00	11,392.60	6,767.40	480	2.64	
2,400			3D SYSTEMS CORP DEL NEW	DDD	1	92.930	223,032.00	28,736.20	104,295.80			
			Total for Equities				962,787.00					
			Total for Equities with cost data				952,787.00					
								481,508.1	480,820.64	10,968	1.14	