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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation

West End Foundation

Number and street (or P O box number if mail is not delivered to street address)

12526 Summer Place

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

Oak Hill, VA 20171-2474

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationI Fair market value of all assets at end of year
(from Part II, col. (c), line 16)

\$ 154,027.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and
expenses per books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable purposes
(cash basis only)

1 Contributions, gifts, grants, etc., received

N/A

2 Check ☒ if the foundation is not required to attach Sch. B3 Interest on savings and temporary
cash investments

3.

3.

Statement 1

4 Dividends and interest from securities

2,303.

2,303.

Statement 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

4,498.

b Gross sales price for all
assets on line 6a

54,835.

7 Capital gain net income (from Part IV, line 2)

4,498.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns
and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11

6,804.

6,804.

13 Compensation of officers, directors, trustees, etc

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

Stmt 3

2,365.

1,182.

c Other professional fees

17 Interest

18 Taxes

Stmt 4

185.

185.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

Stmt 5

5,294.

2,101.

24 Total operating and administrative
expenses. Add lines 13 through 23

7,844.

3,468.

25 Contributions, gifts, grants paid

13,720.

26 Total expenses and disbursements.

Add lines 24 and 25

21,564.

3,468.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

<14,760.>

b Net investment income (If negative, enter -0-)

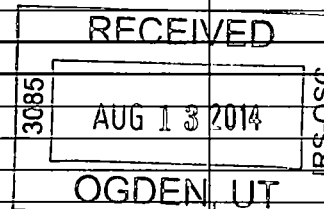
3,336.

c Adjusted net income (If negative, enter -0-)

N/A

SCANNED AUG 13 2014

Operating and Administrative Expenses

P
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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	13,790.	5,447.	5,447.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 6	86,211.	84,225.	131,133.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other Stmt 7	18,019.	13,588.	17,447.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	118,020.	103,260.	154,027.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	118,020.	103,260.		
30 Total net assets or fund balances	118,020.	103,260.		
31 Total liabilities and net assets/fund balances	118,020.	103,260.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	118,020.
2 Enter amount from Part I, line 27a	2	<14,760.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	103,260.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	103,260.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Merrill Lynch Investments	P	Various	04/18/13
b Merrill Lynch Investments	P	Various	11/25/13
c Merrill Lynch Investments	P	Various	01/30/13
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,961.		14,439.	<1,478.>
b 21,950.		17,248.	4,702.
c 19,924.		18,650.	1,274.
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<1,478.>
b			4,702.
c			1,274.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	4,498.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,815.	133,346.	.013611
2011	10,468.	146,190.	.071605
2010	7,793.	139,043.	.056047
2009	12,530.	129,296.	.096909
2008	11,191.	169,759.	.065923

2 Total of line 1, column (d)	2	.304095
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060819
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	141,734.
5 Multiply line 4 by line 3	5	8,620.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	33.
7 Add lines 5 and 6	7	8,653.
8 Enter qualifying distributions from Part XII, line 4	8	14,903.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	33.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	33.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	33.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	35.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	35.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 2. Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> VA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>Nancie and Timothy Barwick</u> Telephone no. ► <u>703-715-7910</u> Located at ► <u>12526 Summer Place, Oak Hill, VA</u> ZIP+4 ► <u>20171-2474</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A <input type="checkbox"/>	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter NONE.

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	137,561.
b	Average of monthly cash balances	1b	6,331.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	143,892.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	143,892.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,158.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	141,734.
6	Minimum investment return. Enter 5% of line 5	6	7,087.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	7,087.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	33.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	33.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	7,054.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	7,054.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	7,054.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	14,903.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	14,903.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	33.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	14,870.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				7,054.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009	5,335.			
c From 2010	846.			
d From 2011	3,452.			
e From 2012				
f Total of lines 3a through e	9,633.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 14,903.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				7,054.
e Remaining amount distributed out of corpus	7,849.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	17,482.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	17,482.			
10 Analysis of line 9:				
a Excess from 2009	5,335.			
b Excess from 2010	846.			
c Excess from 2011	3,452.			
d Excess from 2012				
e Excess from 2013	7,849.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year Massasoit Community College One Massasoit Blvd Brockton, MA 02302		501c(3)		2,000.
Reston Interfaith 11150 Sunset Hills Road Reston, VA 20190		501c(3)		2,000.
St. Anne's Episcopal Church 1700 Wainwright Drive Reston, VA 20190		501c(3)		1,000.
University of Massachusetts 100 William T Morrissey Blvd Boston, MA 02125		501C(3)		3,720.
Mount Pleasant High School 434 Mt. Pleasant Ave. Providence, RI 02908		501c(3)		2,000.
Total	See continuation sheet(s)		▶ 3a	13,720.
b Approved for future payment None				
Total			▶ 3b	0.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Foster Care for Success 21351 Gentry Drive Sterling, VA 20166		501c(3)		1,000.
Cornerstones 11150 Sunset Hills Road Reston, VA 20190		501c(3)		2,000.
Total from continuation sheets				3,000.

WEST END FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
BEAM INC COM 4.0000 Sale		04/02/13	CUSIP Number 073730103 11/25/13	270.19	261.94	0.00	8.25	
CENTURYLINK INC SHS 31.0000 Sale		07/13/12	CUSIP Number 156700106 02/14/13	997.09	1,258.23	0.00	(261.14)	
CHESAPEAKE ENERGY OKLA 8.0000 Sale		07/31/13	CUSIP Number 165167107 11/25/13	209.99	186.90	0.00	23.09	
WISDOMTREE TRUST JAPAN HEDGED EQUITY FD 10.0000 Sale		02/05/13	CUSIP Number 97717W851 11/25/13	496.99	405.20	0.00	91.79	
HDFC BANK LTD ADR 63.0000 Sale		11/29/12	CUSIP Number 40415FT01 08/19/13	1,866.65	2,684.95	0.00	(818.30)	
HUNTINGTON BANCSHRS INC MD 27.0000 Sale		07/05/13	CUSIP Number 446150T04 11/25/13	247.04	227.13	0.00	19.91	
PIONEER NATURAL RES CO 2.0000 Sale		10/15/13	CUSIP Number 723787107 11/25/13	359.99	395.12	0.00	(35.13)	

WEST END FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SANDISK CORP INC 74.0000	Sale	03/22/13	80004C101 04/18/13	3,925.41	4,117.43	0.00	(192.02)	
THREE D SYSTEMS NEW 6.0000	Cash/Lieu Sale	11/26/12	88554D205 03/13/13 11/25/13	17.03 431.51 448.54	15.53 186.36 201.89	0.00 0.00 0.00	1.50 245.15 246.65	
	Security Subtotal							
U S AWYS GROUP INC 16.0000	Sale	03/27/13	90341W108 11/25/13	386.55	267.46	0.00	119.09	
UNDER ARMOUR INC 5.0000	Sale	05/13/13	904311107 11/25/13	401.39	299.73	0.00	101.66	
VITAMIN SHOPPE INC 46.0000 20.0000	Sale Sale	09/05/12 11/19/12	92849E101 05/13/13 05/13/13	2,105.10 915.27 3,020.37	2,672.05 1,206.92 3,878.97	0.00 0.00 0.00	(566.95) (291.55) (858.60)	
	Security Subtotal							
YAHOO INC 9.0000	Sale	07/24/13	984332106 11/25/13	327.05	251.01	0.00	76.04	
EATON VANCE RCHRD BRSTN EQUITY STRATGY FD CL I .2780	Sale	12/21/12	277902573 11/25/13	3.86	3.01	0.00	0.85	
Covered Short Term Capital Gains and Losses Subtotal				12,961.11	14,438.97	0.00	(1,477.86)	
NET SHORT TERM CAPITAL GAINS AND LOSSES				12,961.11	14,438.97	0.00	(1,477.86)	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

APPLE INC 1.0000	Sale	08/11/11	037833100 11/25/13	524.05	369.78	0.00	154.27	
BRISTOL-MYERS SQUIBB CO 9.0000	Sale	07/21/11	110122108 11/25/13	475.28	265.86	0.00	209.42	

WEST END FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CENTURYLINK INC SHS 43.0000 Sale		CUSIP Number 07/13/12	156700106 10/03/13	1,346.87	1,745.29	0.00	(398.42)	
CF INDS HLDGS INC 16.0000 Sale		CUSIP Number 01/06/11	125269100 04/02/13	2,991.20	2,212.83	0.00	778.37	
COLFAX CORP 4.0000 Sale		CUSIP Number 03/09/12	194014106 11/25/13	229.19	138.73	0.00	90.46	
CITIGROUP INC COM NEW 5.0000 Sale		CUSIP Number 11/12/12	172967424 11/25/13	266.49	182.85	0.00	83.64	
GILEAD SCIENCES INC COM 10.0000 Sale		CUSIP Number 04/23/12	375558103 11/25/13	745.99	258.37	0.00	487.62	
HONEYWELL INTL INC DEL 6.0000 Sale		CUSIP Number 02/04/11	438516106 11/25/13	531.59	341.10	0.00	190.49	
INTUITIVE SURGICAL INC NEW		CUSIP Number 06/22/11	46120E602 03/01/13	3,833.70	2,518.09	0.00	1,315.61	
				2,550.22	2,158.37	0.00	391.85	
				6,383.92	4,676.46	0.00	1,707.46	
	Security Subtotal							
LYONDELLBASELL INDUSTRIE 5.0000 Sale		CUSIP Number 02/13/12	N53745100 11/25/13	381.99	226.03	0.00	155.96	
MAKO SURGICAL CORP 136.0000 Sale		CUSIP Number 02/14/11	560879108 11/13/13	4,066.87	2,387.88	0.00	1,678.99	
OREXIGEN THERAP INC 27.0000 Sale		CUSIP Number 05/07/12	686164104 11/25/13	168.07	94.86	0.00	73.21	
PFIZER INC 12.0000 Sale		CUSIP Number 07/21/11	717081103 11/25/13	386.39	241.20	0.00	145.19	
REGIONS FINL CORP 36.0000 Sale		CUSIP Number 09/07/12	7591EP100 11/25/13	354.59	265.33	0.00	89.26	
SIRIUS XM HOLDINGS INC 98.0000 Sale		CUSIP Number 10/10/12	82968B103 11/25/13	360.64	266.89	0.00	93.75	



WEST END FOUNDATION

Account No.
86Q-04162

Taxpayer No.
54-2018505

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2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TERADATA CORP DEL 62.0000	Sale	02/03/12	88076W103 10/31/13	2,737.36	3,574.79	0.00	(837.43)	
Covered Long Term Capital Gains and Losses Subtotal				21,950.49	17,248.25	0.00	4,702.24	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

ISHARES IBOXX \$ INVT GRADE CORP BD FUND 44.0000 Sale 46.0000 Sale	CUSIP Number 08/16/11 08/16/11	01/30/13 06/07/13	5,251.44 5,363.40	4,984.22 5,210.78	0.00 0.00	267.22 152.62	
Security Subtotal				10,614.84	10,195.00	0.00	419.84
GOLDCORP INC 106.0000 Sale	CUSIP Number 06/16/10	380956409 07/31/13	2,964.89	4,653.72	0.00	(1,688.83)	
JOHNSON AND JOHNSON COM 30.0000 Sale 8.0000 Sale	CUSIP Number 01/25/01 01/25/01	478160104 11/13/13 11/25/13	2,785.15 765.35	1,373.44 366.25	0.00 0.00	1,411.71 399.10	
Security Subtotal				3,550.50	1,739.69	0.00	1,810.81
QUALCOMM INC 18.0000 Sale 5.0000 Sale	CUSIP Number 11/17/10 11/17/10	747525103 04/25/13 11/25/13	1,119.97 361.84	865.04 240.29	0.00 0.00	254.93 121.55	
Security Subtotal				1,481.81	1,105.33	0.00	376.48
VERIZON COMMUNICATIONS COM 13.0000 Sale	CUSIP Number 12/18/06	92343V104 11/25/13	649.60	443.62	0.00	205.98	
EATON VANCE RCHRD BRSTN EQUITY STRATEGY FD CL I 47.0000 Sale .6460 Sale	CUSIP Number 01/05/11 01/05/11	277902573 11/25/13 11/25/13	653.77 8.99	506.19 6.30	0.00 0.00	147.58 2.69	
Security Subtotal				662.76	512.49	0.00	150.27
Noncovered Long Term Capital Gains and Losses Subtotal				19,924.40	18,649.85	0.00	1,274.55



Account No.
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Taxpayer No.
54-2018505

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WEST END FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
				41,874.89	35,898.10	0.00	5,976.79	

NET LONG TERM CAPITAL GAINS AND LOSSES

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES 54,836.00
TOTAL REPORTED SALES PROCEEDS 54,836.00

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
(1,477.86)	0.00	4,702.24	1,274.55

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Merrill Lynch	3.	3.	
Total to Part I, line 3	3.	3.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Merrill Lynch	2,303.	0.	2,303.	2,303.	
To Part I, line 4	2,303.	0.	2,303.	2,303.	

Form 990-PF Accounting Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting	2,365.	1,182.		1,183.
To Form 990-PF, Pg 1, ln 16b	2,365.	1,182.		1,183.

Form 990-PF Taxes Statement 4

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Foreign taxes paid	20.	20.		0.
Excise taxes paid	165.	165.		0.
To Form 990-PF, Pg 1, ln 18	185.	185.		0.

Form 990-PF	Other Expenses			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Merrill Lynch fee	2,101.	2,101.		0.	
Late filing penalty	3,193.	0.		0.	
To Form 990-PF, Pg 1, ln 23	5,294.	2,101.		0.	

Form 990-PF	Corporate Stock		Statement	6
Description	Book Value		Fair Market Value	
Corporate stocks	84,225.		131,133.	
Total to Form 990-PF, Part II, line 10b	84,225.		131,133.	

Form 990-PF	Other Investments		Statement	7
Description	Valuation Method	Book Value	Fair Market Value	
Mutual funds	COST	13,588.	17,447.	
Total to Form 990-PF, Part II, line 13		13,588.	17,447.	

Form 990-PF	Part VIII - List of Officers, Directors Trustees and Foundation Managers	Statement 8
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Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account
Nancie M. Barwick 12526 Summer Place Oak Hill, VA 20171	President 0.00	0.	0. 0.
Timothy P. Barwick 12526 Summer Place Oak Hill, VA 20171	President 0.00	0.	0. 0.
Johannah R. Barwick 12526 Summer Place Oak Hill, VA 20171	Vice President 0.00	0.	0. 0.
Samuel D. Barwick 12526 Summer Place Oak Hill, VA 20171	Vice President 0.00	0.	0. 0.
Nancie M. Barwick 12526 Summer Place Oak Hill, VA 20171	Secretary 0.00	0.	0. 0.
Timothy P. Barwick 12526 Summer Place Oak Hill, VA 20171	Treasurer 0.00	0.	0. 0.
Totals included on 990-PF, Page 6, Part VIII		0.	0. 0.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service

► **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

		Enter filer's identifying number
Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	West End Foundation	54-2018505
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	12526 Summer Place	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	Oak Hill, VA 20171-2474	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

Nancie and Timothy Barwick

- The books are in the care of ► **12526 Summer Place - Oak Hill, VA 20171-2474**
Telephone No ► **703-715-7910** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **August 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2013** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	35.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	35.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.