Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: Charles B Richardson Family Foundation

A Employer identification number: 54-1931421

Number and street (or P O box number if mail is not delivered to street address): 162 Old Forest Circle | Room/suite

B Telephone number (see instructions): 540-667-3121

City or town, state or province, country, and ZIP or foreign postal code: Winchester, VA 22602

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 764,477

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)				
	2	Check ▶ ☐ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	19,641	19,641		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	8,772			
	b	Gross sales price for all assets on line 6a 55,571				
	7	Capital gain net income (from Part IV, line 2)		8,772		
	8	Net short-term capital gain			4,697	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	624	624		
	12	**Total.** Add lines 1 through 11	29,037	29,037	4,697	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	6,400			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,505	2,505		
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,642	156		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	5,812	5,812		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	16,359	8,473		
	25	Contributions, gifts, grants paid	29,390			29,390
	26	**Total expenses and disbursements.** Add lines 24 and 25	45,749	20,564	4,697	29,390
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	<16,712>			
	b	**Net investment income** (if negative, enter -0-)		8,473		
	c	**Adjusted net income** (if negative, enter -0-)			4,697	

RECEIVED
MAY 14 2014
OGDEN, UT
A029 IRS-OSC

SCANNED MAY 20 2014

694 16

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing	225,490	30,814	30,814
2	Savings and temporary cash investments			
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U.S and state government obligations (attach schedule)			
b	Investments - corporate stock (attach schedule)	311,824	487,092	717,531
c	Investments - corporate bonds (attach schedule)			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
12	Investments - mortgage loans			
13	Investments - other (attach schedule)	〈4046〉	〈7,882〉	16,132
14	Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	533,268	510,024	764,477
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	-0-	-0-	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	533,268	510,024	
30	**Total net assets or fund balances** (see instructions)	533,268	510,024	
31	**Total liabilities and net assets/fund balances** (see instructions)	533,268	510,024	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	533,268
2	Enter amount from Part I, line 27a	2	〈16,712〉
3	Other increases not included in line 2 (itemize) ▶ See Attached	3	5277
4	Add lines 1, 2, and 3	4	521,833
5	Decreases not included in line 2 (itemize) ▶ See Attached	5	〈11,809〉
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	510,024

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (yr , mo , day)	(d) Date sold (yr , mo , day)
1a	See Attached Form 8949's	P	various	various
b	From 1099 Capital Gains	P	various	various
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	55,571		46,829	8,742
b	30		0	30
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				8,742
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	8,772
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	4,697

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	31,243	648,994	.048141
2011	29,907	645,340	.046343
2010	26,785	593,267	.045148
2009	37,280	537,449	.069365
2008	45,370	744,453	.060944

2	**Total** of line 1, column (d)	2	.269941
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.053988
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	716,921
5	Multiply line 4 by line 3	5	38,705
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	85
7	Add lines 5 and 6	7	38,790
8	Enter qualifying distributions from Part XII, line 4	8	29,390

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	169.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	169
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	169
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	169
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be. **Credited to 2014 estimated tax** ▶ Refunded ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ none

14 The books are in care of ▶ Charles B. Richardson Telephone no. ▶ 540-667-3121

Located at ▶ 162 Old Forest Circle, Winchester, VA ZIP+4 ▶ 22602

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**-Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to		
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No	
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No	
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	☐ Yes ☒ No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No	
b	If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?		5b N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No	
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).		
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b No: X
	If "Yes" to 6b, file Form 8870.		
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?		7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Charles B. Richardson 162 Old Forest Circle, Winchester, VA	Pres/Treas 2 hrs/wk	6,400.00	-0-	-0-
Margaret W Richardson 162 Old Forest Circle, Winchester, VA	Secretary 6 hrs/YR	-0-	-0-	-0-
Anne K. Hussey Winchester, VA	Director 2 hrs/YR	-0-	-0-	-0-
Thomas P Richardson Kentucky	Director 2 hrs/YR	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	696,845
b	Average of monthly cash balances	1b	30,994
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	727,839
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	727,839
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,918
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	716,921
6	**Minimum investment return.** Enter 5% of line 5	6	35,846

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	35,846
2a	Tax on investment income for 2013 from Part VI, line 5	2a	169		
b	Income tax for 2013. (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	169
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	35,677
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	35,677
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	35,677

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	29,390
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	29,390
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	29,390

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				35,677
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only			29,385	
b	Total for prior years. ____, ____, ____		0		
3	Excess distributions carryover, if any, to 2013:				
a	From 2008				
b	From 2009				
c	From 2010				
d	From 2011				
e	From 2012				
f	**Total** of lines 3a through e	0			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 29,390				
a	Applied to 2012, but not more than line 2a			29,385	
b	Applied to undistributed income of prior years (Election required - see instructions)		0		
c	Treated as distributions out of corpus (Election required - see instructions)	0			
d	Applied to 2013 distributable amount				5
e	Remaining amount distributed out of corpus	0			
5	Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e. Subtract line 5	0			
b	Prior years' undistributed income. Subtract line 4b from line 2b		0		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount - see instructions		0		
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions			0	
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				35,672
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0			
10	Analysis of line 9:				
a	Excess from 2009 0				
b	Excess from 2010 0				
c	Excess from 2011 0				
d	Excess from 2012 0				
e	Excess from 2013 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed.

Charles B. Richardson, 162 Old Forest Circle, Winchester, VA 22602

b The form in which applications should be submitted and information and materials they should include:

No special form

c Any submission deadlines

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

None

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Abba Care Inc 200 Weems LN Winchester, VA 22601		501(c)(3)	Community Aid	4,860
New Hope Center 228 Thomas More Parkway Crestview Hills, KY 41017		501(c)(3)	Community Aid	4,825
Reasons for Hope Inc PO Box 415 Hebron, KY 41048		501(c)(3)	Community Aid	5,030
Young Life % Johnny Megale 93 Abbey Rd Winchester, VA 22602		501(c)(3)	Community Aid	4,874
Village Missions PO Box 197 Dallas, OR 97338-0197		501(c)(3)	Community Aid	4,892
Winchester Rescue Mission 435 N. Cameron St Winchester, VA 22601		501(c)(3)	Community Aid	4,909
Total ▶ 3a				29,390
b Approved for future payment				
Total ▶ 3b				0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
f		0		0	0
g Fees and contracts from government agencies		0		0	0
2 Membership dues and assessments		0		0	0
3 Interest on savings and temporary cash investments		0		0	0
4 Dividends and interest from securities		0	14	19641	
5 Net rental income or (loss) from real estate:					
a Debt-financed property		0		0	0
b Not debt-financed property		0		0	0
6 Net rental income or (loss) from personal property		0		0	0
7 Other investment income		0	14	624	
8 Gain or (loss) from sales of assets other than inventory		0	18	8772	
9 Net income or (loss) from special events		0		0	0
10 Gross profit or (loss) from sales of inventory		0		0	0
11 Other revenue: a		0		0	0
b		0		0	0
c		0		0	0
d		0		0	0
e		0		0	0
12 Subtotal. Add columns (b), (d), and (e)		0		29,037	0

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 29,037

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Charles B. Richardson 5/9/14 President

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see inst)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ✓ if self-employed	PTIN
Lynn M. Poole	Lynn M. Poole	5-6-14	✓	P00096078
Firm's name ▶ LYNN M. POOLE TAX SERVICE LLC			Firm's EIN ▶ 46-4489107	
Firm's address ▶ 712 S. BRADDOCK ST. WINCHESTER, VA 22601			Phone no 540-667-8838	

Charles B. Richardson Family Foundation
54-1931421
12-31-13

	Initials	Date
Prepared By		
Approved By		

ASH No A7403

ATTACHMENT TO FORM 990 PF

	(1)	(2)	(3)
Part I - Line 11: other Income			
Kinder Morgan Energy Partners LP K-1		$ 624 -	
Line 16b: Accounting			
Lynn M Poole Tax Service		$ 2505 -	
Line 18: Taxes			
Excise Tax		$ 1004 -	
Payroll Taxes		482 -	
Foreign Taxes		156 -	
Total Line 18		$ 1642 -	
Line 23: Other Expense			
Advisory Fees		$ 5787 -	
SCC Registration		25 -	
Total Line 23		$ 5812 -	
Part II - Balance Sheets.			
Line 10b: Corporate Stock			
See Attachments			
	1-1-13	12-31-13	12-31-13
Line 13: Investments	Book value	Book value	FMV
Kinder Morgan Energy Partners	$ ⟨4046 -⟩	$ ⟨7882 -⟩	$ 16132 -
Part III - Analysis of Change	FMV	Book	Diff
Line 3 - Adjusted difference in FMV + Book Value of Assets Gift	$ 29390 -	$ 24113 -	$ 5277 -
Line 5 - Cash Reserve Used			$ ⟨11809 -⟩

HISTACOUNT

CC10253 (0695)

The Charles B. Richardson FAMILY FOUNDATION
EQUITIES & CASH @ END of 2013
Self managed account
#8598 - 1521

	Initials	Date
Prepared By		
Approved By		

# Shs	COMPANY	BOOK VALUE		FAIR MKT VAL/STOCK 12/31/13	CASH
200	ACCENTURE PLC	980899		1644400	
400	BB&T	968250		1492800	
350	CHEVRON	1316974		4371850	
400	DOMINION RESOURCES	929670		2587600	
200	DUKE ENERGY	1083325		1380200	
100	ENBRIDGE	211378		436800	
200	EXXON MOBIL	720630		2024000	
200	GENERAL MILLS	457987		998200	
100	ILLIONIS TOOL WORKS	563487		840800	
300	JOHNSON & JOHNSON	1620950		2747700	
100	MEDTRONIC	543845		573900	
100	MERCK	435700		500500	
300	MICROSOFT	823944		1122300	
300	NATIONAL FUEL GAS	[illegible]		2142000	
200	NAVIOS MARITIME PARTNERS	307205		38[illegible]	
200	PFIZER	472700		612600	
400	PROCTER & GAMBLE	1993028		3256400	
200	SUNCORE ENERGY	727600		701000	
100	UNITED TECHNOLOGIES	750450		1138000	
100	VERIZON COMM.	355465		491400	
200	VODAPHONE GR ADR	[illegible]		786200	
	STOCKS (RECAP ON P 9 of 23)	16616674		30231050	
	CASH (RECAP ON P 9 of 23)				1792926

THE CHARLES B. RICHARDSON FAMILY FOUNDATION
EQUITIES & CASH @ END OF 2013
DSIP MANAGED ACC'T. (CONT.)
3290 - 8322

	Initials	Date
Prepared By		
Approved By		

	# SHS	COMPANY	1 BOOK VALUE	2	3 FAIR MKT VALUE/STOCK 12/31/13	4 CASH
1	65	AFLAC	3345 17		4342 00	
2	33	AIR PRODUCTS & CHEMICALS	2721 05		3688 74	
3	77	ANALOG DEVICES	22[illegible] 06		3921 61	
4	122	AT&T	3471 56		428[illegible] 52	
5	55	AUTOMATIC DATA PROCESSING	23[illegible] 13		4443 94	
6	53	BAXTER INTL.	2709 57		3686 15	
7	36	BECTON DICKINSON	2530 35		3977 64	
8	33	CHEVRON	2662 37		4122 03	
9	42	CHUBB	2848 16		4068 46	
10	43	CLOROX	2680 19		378[illegible]	
11	63	COLGATE PALMOLIVE	2525 40		4108 23	
12	65	CONOCO PHILLIPS	3170 72		4592 25	
13	56	EATON VANCE NON VTG	1714 36		2386 24	
14	56	EMERSON ELECT.	[illegible]927 21		3930 08	
15	51	EXXON MOBIL	3759 39		5262 40	
16	29	FACTSET RESEARCH SYS	1916 74		3148 82	
17	74	GENERAL MILLS	26[illegible]5 45		3693 34	
18	42	GEN. DYNAMICS	2973 87		4013 10	
19	11	GRANGER, WW	1116 17		2809 62	
20	62	HARRIS CORP DEL	2948 [illegible]		4328 22	
21	53	ILLINOIS TOOL WORKS	2555 68		4456 24	
22	15	IBM	1996 17		2813 55	
23	39	JM SMUCKER	2510 4[illegible]		4041 18	
24	44	JOHNSON & JOHNSON	2940 97		4029 76	
25	56	KELLOG CO	2928 74		3419 92	
26	99	LOWES COS.	3979 61		4905 45	
27	32	McDonalds	2030 86		3104 96	
28	73	MEDTRONIC	2725 23		4189 47	
29	151	MICROSOFT	4653 41		5648 91	
30	49	NEXTERA ENERGY	2489 37		4195 38	
31	61	NORDSTROM	2348 09		3769 80	
32	46	NORFOLK SOUTHERN	2427 80		4270 18	
33	85	NORTHEAST UTILITIES	2577 09		3603 15	
34	48	NOVARTIS ADR	2608 97		3858 24	
35	93	PAYCHEX	28[illegible] 56		4234 29	
36	43	PEPSICO	2698 17		3566 42	
37	37	PHILLIPS 66	[illegible]56 47		2853 [illegible]	
38	45	POLARIS INDS	138[illegible]		6553 80	
39						
40			CONT'D		CONT'D	

THE CHARLES B. RICHARDSON FAMILY FOUND (CONT.)
EQUITIES & CASH @ END OF 2013
DB IP MANAGED ACCT. (CONT.)
3290-8322

	Initials	Date
Prepared By		
Approved By		

	# SHS	COMPANY	1 BOOK VALUE	2	3 FAIR MKT. VAL/STOCK 12/31/13	4 CASH
1	34	PRAXAIR	2857.85		4421.02	
2	45	PROCTOR & GAMBLE	2778.59		3663.45	
3	31	SCANA CORP	1167.43		1454.83	
4	42	SIGMA ALDRICH	2195.20		3948.42	
5	78	SYSCO	2817.72		3537.80	
6	52	TARGET	2623.06		3290.04	
7	68	THE SOUTHERN CO.	2689.85		2795.[illegible]	
8	35	UNITED TECHNOLOGIES	2535.01		398[illegible]	
9	84	V F CORP.	2446.60		[illegible]	
10	49	WALMART STORES	2725.23		3855.81	
11	40	WISCONSIN ENERGY	1549.60		1653.60	
12	32	3M CO	2738.76		4488.00	
13		STOCKS (RECAP ON p. 9 of [illegible])	129523.83		194643.79	
14						
15						
16						
17		CASH (RECAP on p. 9 of 23)				5365.70

THE CHARLES B. RICHARDSON FAMILY FOUNDATION
EQUITIES & CASH @ END OF 2013
FEDERATED INVESTORS MANAGED ACCT.
#8136-4064

	Initials	Date
Prepared By		
Approved By		

	# SHS	COMPANY	1 BOOK VALUE	2	3 FAIR MKT. VALUE, STOCK 12/31/13	4 CASH
1	65	ABBVIE	247650		343265	
2	128	ALTRIA GR	419116		491392	
3	25	AMERICAN ELECT. PWR	108350		116850	
4	72	ASTRAZENECA ADR	346584		427464	
5	138	AT&T	480352		485208	
6	27	BCE INC	116466		116883	
7	88	BP PLC ADR	378883		427768	
8	24	BRISTOL MYERS SQUIBB	80639		127560	
9	8	CHEVRON	87568		99928	
10	27	COCA COLA	100062		111537	
11	70	CONOCO PHILLIPS	411917		494550	
12	37	DOMINION RESOURCES	199737		239353	
13	54	DUKE ENERGY	351033		372654	
14	20	ELI LILLY	103739		102000	
15	65	GLAXOSMITHKLEINE ADR	287538		347035	
16	30	HCP INC	136456		108960	
17	35	HEALTH CARE REIT	212626		187495	
18	37	JOHNSON & JOHNSON	264772		338883	
19	25	KIMBERLY CLARK	210472		261150	
20	82	KRAFT FOODS GR	392585		442062	
21	53	LORILLARD	214570		268624	
22	26	McDONALDS	234754		252278	
23	94	MERCK & CO	400158		470470	
24	65	NATIONAL GRID ADR	360319		424580	
25	14	PEPSICO	97496		116116	
26	23	PHILIP MORRIS	203080		200399	
27	85	PPL CORP	248548		255725	
28	16	PROCTER & GAMBLE	109664		130256	
29	31	REALTY INCOME REIT	132088		115723	
30	79	REYNOLDS AMERICAN	327825		394921	
31	58	ROYAL DUTCH SHELL PLC	410675		435838	
32	66	SENIOR HOUSING PROP REIT	167440		146718	
33	83	THE SOUTHERN CO.	359441		341213	
34	79	TOTAL SA ADR	407213		484033	
35	27	UNILEVER PLC ADR	104544		111240	
36	17	VENTAS	104434		97376	
37	91	VERIZON COMM.	395167		447174	
38	120	VODAFONE GR ADR	312779		471720	
39	48	WILLIAMS COS.	174210		185136	
40		STOCKS (RECAP ON P9 OF 23)	9703640		10991357	
		CASH (RECAP ON P9 OF 23)				290198

P7 OF 23

THE CHARLES B. RICHARDSON FAMILY FOUNDATION
EQUITIES + CASH @ END of 2013
EQUITY INVESTMENT CORP. MGD ACCT.
#6416-5668

	Initials	Date
Prepared By		
Approved By		

# SHs	COMPANY	1 BOOK VALUE	2	3 FAIR MKT VAL	4 CASH
40	AMERICAN EXPRESS	2395.02		3629.20	
175	ANNALY CAP MGMT REIT	1742.88		1744.75	
155	BARRICK GOLD	4236.41		2732.65	
35	BAXTER INTL	2383.80		2434.25	
25	BECTON DICKINSON	1998.96		2762.25	
15	CHEVRON	1639.05		1873.65	
35	CHUBB CORP	2673.59		3382.05	
90	CISCO SYSTEMS	1809.89		2018.70	
40	CONOCO PHILLIPS	2332.80		2826.00	
30	CVS CAREMARK CORP	1717.71		2147.10	
45	DEVON ENERGY	2430.34		2784.15	
85	DR PEPPER SNAPPLE GR	3821.68		4141.20	
140	EXELON CORP	4106.38		3834.60	
35	EXPRESS SCRIPTS HLDG	2193.31		2458.40	
45	EXXON MOBIL	3939.42		4554.00	
45	GLAXOSMITHKLINE ADR	1985.67		2402.55	
30	JOHNSON + JOHNSON	2143.08		2747.70	
55	MACK CALI RLTY CORP	1118.77		1181.40	
80	MEDTRONIC	3437.30		4591.20	
105	MICROSOFT	2782.08		3928.05	
105	MOLSON COORS BREWING	4336.64		5895.75	
60	NEWMONT MINING	2236.80		1381.80	
30	NORTHROP GRUMMAN	2025.63		3438.30	
55	PEPSICO	3827.13		4561.70	
50	PNC FINANCIAL SERVICES	3011.30		3879.00	
45	PROCTOR + GAMBLE	3561.17		3663.45	
100	SCHWAB CHARLES	1509.98		2600.00	
55	SUNTRUST BANKS	1566.21		2024.55	
140	TAIWAN SEMICONDUCTOR	2218.20		2441.60	
55	TARGET	3320.13		3479.85	
55	THE SOUTHERN CO	2257.49		2261.05	
25	TORCHMARK	1325.55		1953.75	
25	TRAVELERS COS THE	1828.25		2263.50	
95	US BANCORP	3121.10		3838.00	
60	WAL-MART STORES	4114.80		4721.40	
90	WELLS FARGO CO.	3113.64		4086.00	
	STOCKS (Recap on p9 of 23)	94265.16		110663.55	
	CASH (Recap on p9 of 23)				4616.72

THE OBR FAMILY FOUNDATION
EQUITIES & CASH @ END of 2013
RECAP OF ALL ACCOUNTS

	Initials	Date
Prepared By		
Approved By		

	ACCOUNT	1 BOOK VALUE STOCKS	2 FAIR MKT VALUE 12/21/13	3	4 CASH 12/21/13
1	SELF MGED ACCT 8592-1521	16616674	30231050		1792926
2	DSIP MGED ACCT. 3290-8322	12962383	19464379		536570
3	FEDERATED INV. MGED ACCT. 8136-4364	9703640	10891357		270198
4	EQUITY INVEST. MGD ACCT 6416-5668	9426516	11066355		461672
5		48709213	71753141		3081366

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No. 1545-0074

2013

Attachment Sequence No. **12A**

Name(s) shown on return	Social security number or taxpayer identification number
[illegible] FOUNDATION THE CBR PSIP MANAGED ACCT. #3290-8322	54-1931421

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Yr., mo., day)	(c) Date sold or disposed (Yr, mo, day)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
SHORT TERM GAINS OR LOSSES (BOX [illegible]) FOR COVERED SECURITIES (BOX [illegible])							
5 Shs LOWES	2/5/13	6/3/13	216.86	191.85			25.01
1 Sh WISCONSIN ENERGY	6/21/12	6/3/13	41.03	38.74			2.29

See p 15 of 23

	(d)	(e)	(g)	(h)
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) . . . ▶	257.89	230.59		27.30

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Form 8949 (2013) FAMILY FOUNDATION   Attachment Sequence No. **12A**   Page **2**

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side.) THE CBR, DSIP MANAGED ACCT. #3290-8322

Social security number or taxpayer identification number 54-1931421

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Yr., mo., day)	(c) Date sold or disposed (Yr., mo., day)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
LONG TERM GAINS OR LOSSES (BOX 1C) FOR COVERED SECURITIES (BOX 6)							
7 SHS BAXTER INTL	11/30/11	6/3/13	487.86	357.88			129.98
4 SHS CHUBB	11/1/11	6/3/13	342.95	271.27			71.68
6 SHS KELLOG	2/17/12	6/3/13	372.04	313.80			58.24
11 SHS MEDTRONIC	12/22/11	6/3/13	568.95	410.67			158.28
13 SHS MICROSOFT	2/10/12	6/3/13	458.88	397.59			61.29
6 SHS THE SOUTHERN CO	8/5/11	6/3/13	262.23	237.35			24.88
1 SH VF CORP	7/7/11	6/3/13	188.85	113.13			75.72
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if Box D above is checked), **line 9** (if Box E above is checked), or **line 10** (if **Box F** above is checked) ▶			2,681.76	2,101.69			580.07

See p 15 of 23

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Form 8949 (2013) CBR FAMILY FOUNDATION   Attachment Sequence No. **12A**   Page **2**

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side.)
THE DSIP MANAGED ACCT. #3290-8322

Social security number or taxpayer identification number
54-1931421

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Yr., mo., day)	(c) Date sold or disposed (Yr., mo., day)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
LONG TERM GAINS OR LOSSES (BOX 1C) FOR NONCOVERED SECURITIES (BOX 6)							
1 SH AFLAC	1/19/10	9/3/13	57.84	51.58			6.26
1 SH AIR PRODUCTS & CHEM	1/19/10	9/3/13	102.29	82.25			20.04
14 SHS ANALOG DEV.	1/19/10	6/3/13	643.69	412.02			231.67
2 SHS AT&T	1/19/10	9/3/13	66.88	52.20			14.68
8 SHS AUTO DATA PROC	1/19/10	6/3/13	556.62	341.26			215.36
1 SH BECTON DICKINSON	1/19/10	9/3/13	97.03	77.40			19.63
3 SHS CHEVRON	1/19/10	6/3/13	367.38	236.55			130.83
1 SH CLOROX	1/19/10	9/3/13	82.61	61.85			20.76
3 SHS COLGATE PALMOL	1/19/10	6/3/13	174.35	120.26			54.09
4 SHS CONOCO PHILLIPS	1/19/10	6/3/13	256.81	163.02			93.79
36 SHS EATON VANCE	1/19/10	4/29/13	1,405.56	1,184.08			221.48
2 SHS EMERSON ELECT.	4/15/10	9/3/13	121.67	105.16			16.51
6 SHS EXXON MOBIL	5/4/10	6/3/13	542.26	397.92			144.34
5 SHS FACTSET RESEARCH SYS	1/19/10	6/3/13	494.53	330.48			164.05
			↓	↓			↓
2 **Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) . . . ▶			CONT'D	CONT'D			CONT'D

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
DSIP MANAGED ACCT. # 3290-8322	54-1931421

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Yr., mo., day)	(c) Date sold or disposed (Yr., mo., day)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
LONG TERM GAINS OR LOSSES (BOX 1C) FOR NON COVERED SECURITIES (CONT) (BOX 5)							
3 SHS GENERAL MILLS	1/19/10	6/3/13	143.65	108.03			35.62
1 SH GEN. DYNAMICS	1/19/10	9/3/13	84.20	70.66			13.54
1 SH GRAINGER	1/19/10	9/3/13	249.11	101.48			147.63
2 SHS HARRIS CORP.	1/19/10	9/3/13	115.13	97.67			17.46
4 SHS ILL. TOOL WKS	1/19/10	6/3/13	283.77	193.20			90.57
2 SHS IBM	1/19/10	6/3/13	390.99	266.16			124.83
1 SH JOHNSON & JOHNSON	1/19/10	9/3/13	86.11	65.64			20.47
91 SHS JOHNSON CONTROLS	1/19/10	2/4/13	2,809.40	2,691.24			118.16
4 SHS MC DONALDS	1/19/10	6/3/13	390.87	253.86			137.01
5 SHS NEXTERA ENERGY	1/19/10	6/3/13	382.20	253.83			128.37
1 SH NORDSTROM	1/19/10	9/3/13	55.50	36.25			19.25
5 SHS NORFOLK SOUTHERN	1/19/10	6/3/13	374.01	263.90			110.11
9 SHS NORTHEAST UTILITIES	10/8/10	6/3/13	375.70	272.87			102.83
3 SHS NOVARTIS ADR	1/19/10	9/3/13	223.47	161.96			61.51
			↓	↓			↓
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶			CONT'D	CONT'D			CONT'D

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side.)
THE CBR FAMILY FOUNDATION, THE DSIP MANAGED ACCT. #3290-8322

Social security number or taxpayer identification number
54-1931424

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ (F) Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Yr., mo., day)	(c) Date sold or disposed (Yr., mo., day)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	(f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
LONG TERM GAINS OR LOSSES (BOX 1C) FOR NON COVERED SECURITIES (COST) (BOX 6)							
2 Shs PAYCHEX	1/19/10	9/3/13	76.97	60.94			16.03
2 SHS PEPSICO	1/19/10	9/3/13	160.85	124.53			36.32
5 Shs PHILLIPS 66	1/19/10	6/3/13	310.69	121.12			189.57
2 SHS POLARIS	1/19/10	9/3/13	220.54	45.39			175.15
2 SHS PRAXAIR	1/19/10	6/3/13	232.06	162.32			69.74
2 SHS SCANA	1/19/10	6/3/13	98.09	75.[illegible]			22.6[illegible]
9 Shs SIGMA ALDRICH	1/19/10	6/3/13	751.27	470.41			280.86
3 Shs SYSCO	1/19/10	9/3/13	97.22	86.27			10.95
3 Shs TARGET	1/19/10	6/3/13	205.45	151.61			53.84
3 Shs United Tech	1/19/10	6/3/13	299.11	217.17			81.94
1 Sh Walmart	1/19/10	9/3/13	72.72	54.01			18.71
1 Sh 3M Co	1/19/10	9/3/13	113.33	84.88			28.45
				See 10	168/23		
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) . . . ▶			13,570.93	10,105.83			3465.10

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

RECAP OF GROSS PROCEEDS SALE OF STOCK
DSIP MANAGED ACCT #3290-8322

Prepared By
Approved By

		2
		GROSS PROCEEDS DSIP ACCT
1	GROSS PROCEEDS STCG & STCL (BOX 1C) COVERED (BOX 6)	26,389
2	GROSS PROCEEDS LTCG & LTCL (BOX 1C) COVERED (BOX 6)	268,176
3	GROSS PROCEEDS LTCG & LTCL (BOX 1C) NONCOVERED (BOX 6)	1,357,093
4	TOTAL GROSS PROCEEDS DSIP MGD ACCT	1,651,658

GO TO P 20 OF 23

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No. **12A**

Name(s) shown on return: THE CBR FAMILY FOUND FEDERATED INVEST MANAGED ACCT #8136-4064

Social security number or taxpayer identification number: 54-1931421

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Yr., mo, day)	(c) Date sold or disposed (Yr, mo, day)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
SHORT TERM GAINS OR LOSSES (BOX 1C) FOR COVERED SECURITIES (BOX 6)							
3 SHS ALTRIA GR	1/8/13	6/3/13	109.07	96.80			12.27
16 SHS ASTRAZENECA ADR	1/8/13	6/3/13	889.71	770.20			119.51
60 SHS BCE Inc.	1/8/13	9/3/13	2,548.50	2,588.17			(39.67)
76 SHS BRISTOL MYERS SQUIBB	1/8/13	6/3/13	3,507.34	2,553.60			953.74
96 SHS CENTURYLINK	1/8/13	2/15/13	3,126.71	3804.00			(677.29)
1 SH CHEVRON	1/8/13	6/3/13	123.58	109.46			14.12
4 SHS CONOCOPHILLIPS	1/8/13	6/3/13	256.90	233.55			23.35
1 SH DOMINION RES	1/8/13	9/3/13	57.82	52.48			5.34
2 SHS DUKE ENERGY	1/8/13	6/3/13	132.46	130.02			2.44
39 SHS ELI LILLY	1/8/13	5/7/13	2,116.91	2,026.83			90.08
25 SHS GLAXOSMITHKLINE ADR	1/8/13	4/18/13	1,261.78	1,105.94			155.84
1 SHS HEALTH CARE REIT	1/8/13	6/3/13	68.02	60.85			7.17
			↓	↓			↓
2 **Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			CONT'D	CONT'D			CONT'D

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2013)

EEA

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No. **12A**

Name(s) shown on return: THE CBR FAMILY FOUNDATION FEDERATED INVEST MANAGED ACCT # 8136-4064

Social security number or taxpayer identification number: 54-1931421

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Yr, mo, day)	(c) Date sold or disposed (Yr, mo, day)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
SHORT TERM GAINS OR LOSSES (BOX	1C) FOR	COVERED	SECURITIES (BOX				(Cont'd)
67 Shs HEINZ, HJ.	1/8/13	2/15/13	4,842.01	3,892.43			949.58
1 Sh Johnson & Johnson	1/8/13	9/3/13	86.32	71.56			14.76
1 Sh Kimberly Clark	1/8/13	6/3/13	96.06	84.19			11.87
4 Shs Kraft Foods GR	1/8/13	9/3/13	209.86	183.31			26.55
1 Sh McDonalds	1/8/13	6/3/13	97.59	90.29			7.30
4 Shs Merck	1/8/13	6/3/13	194.47	170.56			23.91
12 Shs Nat. Grid PLC ADR	1/8/13	6/3/13	706.41	665.23			41.18
1 Sh Philip Morris	1/8/13	6/3/13	92.53	86.54			5.99
3 Shs PPL Corp	1/8/13	6/3/13	89.72	86.78			2.94
3 Shs Reynolds American	1/8/13	6/3/13	146.17	125.25			20.92
2 Shs Royal Dutch Shell	1/8/13	6/3/13	136.33	141.62			(5.29)
2 Shs Senior Housing Prop	2/[illegible]/13	6/3/13	51.93	49.62			2.31
			↓	↓			↓
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			CONT'D	CONT'D			CONT'D

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2013)

EEA

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No. **12A**

Name(s) shown on return: THE CBR FAMILY FOUNDATION FEDERATED INVEST MGD ACCT. #8136-4064

Social security number or taxpayer identification number: 54-1931421

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example. 100 sh XYZ Co)	(b) Date acquired (Yr, mo, day)	(c) Date sold or disposed (Yr, mo, day)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
SHORT TERM GAINS OR LOSSES (BOX C) FOR COVERED SECURITIES (BOX C) CONT.							
2 Sh The Southern Co	1/8/13	6/3/13	88.59	86.62			1.97
3 Shs Total SA ADR	1/8/13	6/3/13	151.51	154.64			(3.13)
4 Shs Verizon	1/8/13	6/3/13	194.41	173.71			20.70
29 Shs Vodafone GR ADR	1/8/13	6/3/13	1,024.36	755.90			268.46
75 Shs Windstream	1/8/13	6/4/13	600.85	709.46			(108.61)
TOTAL GROSS PROCEEDS FEDERATED INVEST. MANAGED ACCT #8136-4064 —	23,007.92						
GO TO P20 OF 23							
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) . . . ▶			23,007.92	21,059.61			1,948.31

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
THE CBR FAMILY FOUNDATION EQUITY INVESTMENT MANAGED ACCT. #6416 5668	54-1931421

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example. 100 sh XYZ Co)	(b) Date acquired (Yr , mo , day)	(c) Date sold or disposed (Yr , mo , day)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
SHORT TERM GAINS OR LOSSES (BOX 1C) FOR COVERED SECURITIES (BOX 6)							
25 Shs BARD CR	1/8/13	9/13/13	2,970.68	2,550.42			420.26
35 Shs CISCO SYS	1/8/13	6/20/13	858.17	703.85			154.32
185 Shs DELL	1/8/13	5/22/13	2,479.65	1,988.20			491.45
3 Shs GOOGLE	1/8/13	10/18/13	2,998.65	2,181.81			816.84
10 Shs JOHNSON & JOHNSON	1/8/13	7/12/13	894.79	714.36			180.43
45 Shs MICROSOFT	1/8/13	7/30/13	1,433.48	1,192.32			241.16
5 Shs MOLSON COORS BREWING	1/8/13	9/27/13	251.69	206.51			45.18
30 Shs NEWMONT MINING CORP	1/8/13	11/8/13	811.61	1,342.69			(531.08)
10 Shs NORTHRUP GRUMMAN	1/8/13	8/14/13	951.05	676.21			274.84
100 Shs SCHWAB CHARLES	1/8/13	5/22/13	2,055.41	1,510.00			545.41
5 SHS TORCHMARK	1/8/13	7/18/13	347.19	265.11			82.08
TOTAL GROSS PROCEEDS EQUITY INVEST MANAGED ACCT. #6416 5668 $16,052.37 GO TO P 20 of 23							
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) . . . ▶			16,052.37	13,331.48			2,720.89

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

RECAP OF GROSS PROCEEDS SALE OF STOCK
ALL ACCOUNTS

			GROSS PROCEEDS ALL ACCTS.
1	GROSS PROCEEDS SELF MANAGED ACCT. #8598-1521		~~———~~
2	GROSS PROCEEDS DSIP MANAGED ACCT. #3290-8322		1651058
3	GROSS PROCEEDS FEDERATED INVEST ACCT. #8136-4064		2300792
4	GROSS PROCEEDS EQUITY INVEST ACCT. #6416-5668		1605237
5	TOTAL GROSS PROCEEDS ALL ACCTS		5557087

Go to p 20/23