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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052



2013

Open to Public Inspection


For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE COLLISWARNER FOUNDATION INC		A Employer identification number 54-1854416	
% THE COLLIS/WARNER FDN INC		B Telephone number (see instructions) (703) 519-7993	
Number and street (or P O box number if mail is not delivered to street address) 201 N UNION STREET Suite 300		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code ALEXANDRIA, VA 22314		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 4,776,316	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	103,043	103,043		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	96,112			
	b Gross sales price for all assets on line 6a 1,921,273				
	7 Capital gain net income (from Part IV, line 2) . . .		96,112		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	182,105	182,107		
	12 Total. Add lines 1 through 11	381,260	381,262		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	6,325	0	0	6,325
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	3,685	1,385		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	166	65		101
	24 Total operating and administrative expenses. Add lines 13 through 23	10,176	1,450	0	6,426
	25 Contributions, gifts, grants paid	483,500			483,500
	26 Total expenses and disbursements. Add lines 24 and 25	493,676	1,450	0	489,926
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-112,416			
	b Net investment income (if negative, enter -0-)		379,812		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	2,713,569	1,310,438	1,310,438
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ 38,777 Less allowance for doubtful accounts ▶ _____	50,000 	38,777	38,777
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,926,914 	3,230,827	3,427,101
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,690,483	4,580,042	4,776,316	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	4,690,483	4,580,042	
	30	Total net assets or fund balances (see page 17 of the instructions)	4,690,483	4,580,042	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	4,690,483	4,580,042	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	4,690,483
2	Enter amount from Part I, line 27a	2	-112,416
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	1,975
4	Add lines 1, 2, and 3	4	4,580,042
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	4,580,042

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	LITTLE HAWK VENTURE OPP FUND [ST]			
b	LITTLE HAWK VENTURE OPP FUND [LT]			
c	DEUTSCHE BANK (261345) [ST-A] - SEE ATTACHED	P	2013-01-01	2013-12-31
d	DEUTSCHE BANK (261345) [LT-A] - SEE ATTACHED	P	2012-12-20	2013-12-31
e	CAPITAL GAIN DIVIDENDS	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 542			542
b 9,911			9,911
c 1,189,400		1,213,566	-24,166
d 666,656		611,595	55,061
e			54,764

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			542
b			9,911
c			-24,166
d			55,061
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	96,112
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	304,723	4,638,172	0 065699
2011	381,822	4,504,127	0 084772
2010	234,171	4,886,170	0 047925
2009	164,495	4,414,296	0 037264
2008	646,255	5,203,902	0 124187

2 Total of line 1, column (d).	2	0 359847
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 071969
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	4,667,586
5 Multiply line 4 by line 3.	5	335,921
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	3,798
7 Add lines 5 and 6.	7	339,719
8 Enter qualifying distributions from Part XII, line 4.	8	489,926

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)					
1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	1	3,798		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b				
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	2			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				
3	Add lines 1 and 2.	3	3,798		
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4			
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3,798		
6	Credits/Payments	7	9,098		
a	2013 estimated tax payments and 2012 overpayment credited to 2013			6a	3,098
b	Exempt foreign organizations—tax withheld at source			6b	
c	Tax paid with application for extension of time to file (Form 8868)			6c	6,000
d	Backup withholding erroneously withheld			6d	
7	Total credits and payments. Add lines 6a through 6d.	7			
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8			
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	5,300		
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input checked="" type="checkbox"/> 5,300 Refunded <input checked="" type="checkbox"/>	11			

Part VII-A Statements Regarding Activities			
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input checked="" type="checkbox"/>	1a	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c	No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2	No
If "Yes," attach a detailed description of the activities.			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes <input checked="" type="checkbox"/>	3	No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5	No
If "Yes," attach the statement required by General Instruction T. <input checked="" type="checkbox"/>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): <input checked="" type="checkbox"/> VA		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10	No

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	Yes	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of THE COLLISWARNER FDN INC Telephone no (703) 519-7933 Located at 201 N UNION STREET STE 300 ALEXANDRIA VA ZIP+4 22314			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.		15	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Mark R Warner	Director	0	0	0
201 N UNION STREET ALEXANDRIA, VA 22314	1 0			
Lisa D Collis	Director	0	0	0
201 N UNION STREET ALEXANDRIA, VA 22314	1 0			
Nicholas D Perrins	Asst Secr	0	0	0
201 N UNION STREET ALEXANDRIA, VA 22314	1 0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	1,077,039
c	Fair market value of all other assets (see instructions).	1c	3,661,627
d	Total (add lines 1a, b, and c).	1d	4,738,666
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	4,738,666
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	71,080
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,667,586
6	Minimum investment return. Enter 5% of line 5.	6	233,379

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	233,379
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	3,798
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	3,798
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	229,581
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	229,581
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	229,581

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	489,926
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	489,926
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	3,798
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	486,128
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1		Distributable amount for 2013 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2013			
a		Enter amount for 2012 only. 0			
b		Total for prior years 2011 , 2010 , 2009 0			
3		Excess distributions carryover, if any, to 2013			
a		From 2008. 390,590			
b		From 2009.			
c		From 2010.			
d		From 2011. 156,562			
e		From 2012. 75,459			
f		Total of lines 3a through e. 622,611			
4		Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 489,926			
a		Applied to 2012, but not more than line 2a 0			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2013 distributable amount. 229,581			
e		Remaining amount distributed out of corpus 260,345			
5		Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 882,956			
b		Prior years' undistributed income Subtract line 4b from line 2b 0			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions 0			
e		Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions 0			
f		Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).			
8		Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). 390,590			
9		Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a 492,366			
10		Analysis of line 9			
a		Excess from 2009.			
b		Excess from 2010.			
c		Excess from 2011. 156,562			
d		Excess from 2012. 75,459			
e		Excess from 2013. 260,345			

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	483,500
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.


*****	2014-07-25	*****	
Signature of officer or trustee	Date	Title	May the IRS discuss this return with the preparer shown below (see instr.)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

May the IRS discuss this return with the preparer shown below (see instr)? ☒ **Yes** ☐ **No**


**Paid
Preparer
Use
Only**

Print/Type preparer's name Alexander Rainold	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN P00641574
Firm's name <input checked="checked" type="checkbox"/>	BDO USA LLP		Firm's EIN <input checked="checked" type="checkbox"/>	
Firm's address <input checked="checked" type="checkbox"/>	8405 GREENSBORO DRIVE 7TH FLOOR MCLEAN, VA 22102		Phone no (703) 519-7993	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACT FOR ALEXANDRIA 1421 Prince St Alexandria, VA 22314	NONE	PC	GENERAL OPERATING SUPPORT	3,500
The American Council of Young Political Leaders 2131 K St NW SUITE 400 Washington, DC 20037	NONE	PC	General Operating Fund	2,500
Alexandria Police Foundation 1201 Connecticut Ave NW SUITE 200 Washington, DC 20036	NONE	PC	Fund TO improve officer performance, morale and effectiveness	5,000
THE ARC 1825 K Street NW Suite 1200 WASHINGTON, DC 20006	NONE	PC	FUNDING TO protect the rights of people with disabilities	5,000
Catholic Charities USA 2050 Ballenger Avenue Suite 400 Suite 400 Alexandria, VA 22314	NONE	PC	GENERAL OPERATING SUPPORT	15,000
Jamestown-Yorktown Foundation PO Box 1607 Williamsburg, VA 231871607	none	PC	ANNUAL FUND DONATION	2,500
Juvenile Diabetes Research FOUNDATION 26 Broadway NEW YORK, NY 10004	NONE	PC	MEDICAL RESEARCH	50,000
Mississippi Center For Justice 5 Old River PLACE Jackson, MS 39202	NONE	PC	FUNDING TO Advance racial and economic justice across Mississippi	2,500
Northern Neck Land Conservancy 8327 Mary Ball Rd Lancaster, PA 22503	NONE	PC	WATER AND LAND Conservancy	5,000
Petersburg Library Foundation 3330 King St alexandria, VA 22302	NONE	PC	SUPPORT for the purchase, construction, and operation of a new library	10,000
Rosie's Theater Kids Maravel Arts Center 445 W 45th St NEW YORK, NY 10036	NONE	PC	art AND education FUNDING	10,000
Stop Child Abuse NoW OF NORTHERN VIRGINIA (SCAN) 1705 Fern Street 2nd Floor Alexandria, VA 22302	NONE	PC	FUNDING FOR AT-RISK CHILDREN	5,000
THE Scholarship Fund of Alexandria 3330 King St Alexandria, VA 22302	NONE	PC	FUNDING FOR financial FOR Alexandria, VA students	5,000
The Child & Family Network Centers (CFNC) 3700 Wheeler Avenue ALEXANDRIA, VA 22304	NONE	PC	educational FUNDING and support	25,000
The Nature Conservancy OF VIRGINIA 4245 N Fairfax Dr ARLINGTON, VA 22203	NONE	PC	WATER AND LAND Conservancy	50,000
Total  3a				483,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE Robert Russa Moton Museum 900 Griffin Blvd Farmville, VA 23901	NONE	PC	EDUCATIONAL FUNDING AND SUPPORT	5,000
The Virginia College Fund 4900 Augusta Avenue Suite 101 RICHMOND, VA 23230	NONE	PC	student support and general college aid	1,000
The Washington Ballet 3515 Wisconsin Avenue NW Washington, DC 20016	NONE	PC	art AND education FUNDING	3,000
Tschetter Lucas Foundation 6679 Blackwell Rd Warrenton, VA 20187	NONE	PC	GENERAL OPERATING SUPPORT	2,500
United Community Ministries 7511 Fordson Road Alexandria, VA 22306	NONE	PC	GENERAL FUNDING FOR families who have fled domestic violence	1,000
USS Arlington FUND 818 N Quincy St Suite 103 Arlington, VA 22203	NONE	PC	GENERAL FUNDING TO SUPPORT THE COMMISSIONING OF THE USS Arlington	5,000
VA Foundation FOR Community College EDUCATION 101 N 14th Street 15th Floor RICHMOND, VA 23219	NONE	PC	student support and general college aid	15,000
Valentine Richmond History Center 1015 E Clay St RICHMOND, VA 23219	NONE	PC	SUPPORT THE collecting, preserving, and interpreting the history of Richmond, Virginia	10,000
Venture Philanthropy Partners 1201 15th Street NW SUITE 510 WASHINGTON, DC 20005	NONE	PC	YOUTH AND EDUCATIONAL FUNDING	200,000
Virginia Foundation for Independent Colleges 8010 Ridge Road Suite B Richmond, VA 23229	NONE	PC	Support FOR Virginia's independent institutions of Higher Education of Higher Education	5,000
Virginia Public Safety Foundation 2201 West Main Street RICHMOND, VA 23220	NONE	PC	assistance to the families of public safety officers killed in the line of duty	25,000
Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Ave NW WASHINGTON, DC 200043027	NONE	PC	GENERAL OPERATING AND EDUCATIONAL SUPPORT	15,000
Total  3a				483,500

TY 2013 Accounting Fees Schedule

Name: THE COLLISWARNER FOUNDATION INC

EIN: 54-1854416

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	6,325			6,325

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE COLLISWARNER FOUNDATION INC

EIN: 54-1854416

TY 2013 Investments - Land Schedule**Name:** THE COLLISWARNER FOUNDATION INC**EIN:** 54-1854416

TY 2013 Investments - Other Schedule

Name: THE COLLISWARNER FOUNDATION INC

EIN: 54-1854416

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUTAL FUNDS- DB ALEX BROWN	AT COST	2,638,012	2,834,286
LITTLE HAWK VENTURE OPPORTUNIT	AT COST	154,061	154,061
TZG-SACHS CALL CENTER, LLC	AT COST	438,754	438,754

TY 2013 Land, Etc. Schedule**Name:** THE COLLISWARNER FOUNDATION INC**EIN:** 54-1854416

TY 2013 Other Expenses Schedule

Name: THE COLLISWARNER FOUNDATION INC

EIN: 54-1854416

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FILING FEES	25			25
OFFICE EXPENSES	76			76
DB [261345] - MARGIN INT	65	65		

TY 2013 Other Income Schedule**Name:** THE COLLISWARNER FOUNDATION INC**EIN:** 54-1854416

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
LITTLE HAWK FUND I, LLP	-5,731	-5,729	
TZG-SACHS CALL CENTER, LLP	186,951	186,951	
DEUTSCHE BANK [261345] - NON-DIV DIST	885	885	

TY 2013 Other Increases Schedule

Name: THE COLLISWARNER FOUNDATION INC

EIN: 54-1854416

Description	Amount
PORTFOLIO TIMING DIFFERENES	1,975

**TY 2013 Other Notes/Loans
Receivable Short Schedule**

Name: THE COLLISWARNER FOUNDATION INC
EIN: 54-1854416

Name of 501(c)(3) Organization	Balance Due
COMMUNITY WEALTH VENTURE	38,777

TY 2013 Taxes Schedule**Name:** THE COLLISWARNER FOUNDATION INC**EIN:** 54-1854416

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2012 EXTENSION PAYMENT	1,600			
ESTIMATED TAX PAYMENTS	700			
FOREIGN TAXES PAID	1,385	1,385		

Recipient's Name and Address:

COLLIS WARNER FOUNDATION
ATTN: NICHOLAS PERRINS *

Account Number: 5XW-261345

Recipient's Identification
Number 54-1854416

**2013 TAX and
YEAR-END STATEMENT**
As of 03/06/2014

IMPORTANT MESSAGE: We send tax statements when information is finalized by securities issuers. If information is pending from issuers, you and members of your household may receive tax statement(s) at different times. Tax statement mailings will occur by January 31, February 18, February 28 and March 17, depending on when final information for your account is received from issuers. If you have any questions, please visit mytaxhandbook.com

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

Margin Interest Expense Charged to Your Account	<u>Amount</u> 64.61
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Margin Interest Expense Charged to Your Account. Margin interest expense charged to your account during 2013 is summarized and reported in this section of your Tax Information Statement. Margin interest expense, if characterized as a deductible investment interest expense and if paid during 2013, should be reported on IRS Form 1040, Schedule A, line 14. This amount may be subject to the limitations imposed on deducting investment interest expense. See IRS Form 4952 (Investment Interest Expense Deduction) for additional information.

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.
Note for Exempt S Corporations Only: 1099-B records for covered transactions are reported to the IRS.

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b)

Description (Box 8): BLACKROCK ENERGY & RES TR COM					CUSIP: 09250U101			
SELL	FIRST IN FIRST OUT	7,800	07/09/2013	12/23/2013	185,456.76	199,492.62		(14,035.86)
Description (Box 8): DOUBLELINE TOTAL RET URN BOND FUND CLASS I					CUSIP: 258620103			
SELL	FIRST IN FIRST OUT	98.348	12/31/2012	12/23/2013	1,068.06	1,114.28		(46.22)
SELL	FIRST IN FIRST OUT	19,858.782	01/04/2013	12/23/2013	215,666.37	225,000.00		(9,333.63)

Recipient's Name and Address:

COLLIS WARNER FOUNDATION
ATTN: NICHOLAS PERRINS *

Account Number: 5XW-261345

Recipient's Identification
Number: 54-1854416

**2013 TAX and
YEAR-END STATEMENT**
As of 03/06/2014

2013 Form 1099-B

Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) (continued)								
Description (Box 8): DOUBLELINE TOTAL RET URN BOND FUND CLASS I					CUSIP: 258620103 (continued)			
SELL	FIRST IN FIRST OUT	161.513	01/31/2013	12/23/2013	1,754.03	1,831.56		(77.53)
SELL	FIRST IN FIRST OUT	150.256	02/28/2013	12/23/2013	1,631.78	1,705.40		(73.62)
SELL	FIRST IN FIRST OUT	155.622	03/28/2013	12/23/2013	1,690.05	1,764.75		(74.70)
SELL	FIRST IN FIRST OUT	151.171	04/30/2013	12/23/2013	1,641.72	1,724.86		(83.14)
SELL	FIRST IN FIRST OUT	158.472	05/31/2013	12/23/2013	1,721.01	1,785.98		(64.97)
SELL	FIRST IN FIRST OUT	163.085	06/28/2013	12/23/2013	1,771.10	1,798.83		(27.73)
SELL	FIRST IN FIRST OUT	169.877	07/31/2013	12/23/2013	1,844.86	1,861.85		(16.99)
SELL	FIRST IN FIRST OUT	171.051	08/30/2013	12/23/2013	1,857.61	1,861.03		(3.42)
SELL	FIRST IN FIRST OUT	181.300	09/30/2013	12/23/2013	1,968.92	1,987.05		(18.13)
SELL	FIRST IN FIRST OUT	189.674	10/31/2013	12/23/2013	2,059.86	2,082.62		(22.76)
SALE DATE TOTAL		21,609.151	VARIOUS	12/23/2013	234,675.37	244,518.21		(9,842.84)

Description (Box 8): DOUBLELINE CORE FIXE D INCOME FUND CLASS I					CUSIP: 258620301			
SELL	FIRST IN FIRST OUT	10,123.239	12/13/2012	06/03/2013	113,582.74	115,000.00	8.77 W	(1,408.49)
SELL	FIRST IN FIRST OUT	35.819	12/31/2012	06/03/2013	401.89	406.19		(4.30)
SELL	FIRST IN FIRST OUT	10,167.993	01/04/2013	06/03/2013	114,084.88	115,000.00		(915.12)
SELL	FIRST IN FIRST OUT	59.747	01/31/2013	06/03/2013	670.36	673.35		(2.99)
SELL	FIRST IN FIRST OUT	59.701	02/28/2013	06/03/2013	669.85	674.62		(4.77)

Recipient's Name and Address:

COLLIS WARNER FOUNDATION
ATTN: NICHOLAS PERRINS *

Account Number: 5XW-261345

Recipient's Identification
Number 54-1854416

**2013 TAX and
YEAR-END STATEMENT
As of 03/06/2014**

2013 Form 1099-B
Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) (continued)								
Description (Box 8): DOUBLELINE CORE FIXE D INCOME FUND CLASS I					CUSIP: 258620301 (continued)			
SELL	FIRST IN FIRST OUT	64.485	03/28/2013	06/03/2013	723.52	728.04		(4.52)
SELL	FIRST IN FIRST OUT	61.199	04/30/2013	06/03/2013	686.65	698.28		(11.63)
SELL	FIRST IN FIRST OUT	62.633	05/31/2013	06/03/2013	702.75	710.88		(8.13)
Wash sale 172 day(s) added to holding period								
SALE DATE TOTAL		20,634.816	VARIOUS	06/03/2013	231,522.64	233,891.36		(2,359.95)
Description (Box 8): OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y					CUSIP: 683974505			
SELL	FIRST IN FIRST OUT	5,127.454	12/13/2012	12/13/2013	187,664.82	175,000.00		12,664.82
SELL	FIRST IN FIRST OUT	4,840.843	01/04/2013	12/13/2013	177,174.85	171,220.61		5,954.24
SALE DATE TOTAL		9,968.297	VARIOUS	12/13/2013	364,839.67	346,220.61		18,619.06
Description (Box 8): TCW EMERGING MARKETS INCOME FUND CLASS I					CUSIP: 87234N765			
SELL	VERSUS PURCHASE	6,856.540	12/13/2012	09/16/2013	57,183.55	64,571.75	66.39 W	(7,321.81)
SELL	VERSUS PURCHASE	158.342	12/28/2012	09/16/2013	1,320.57	1,464.28		(143.71)
SELL	VERSUS PURCHASE	33.141	12/28/2012	09/16/2013	276.40	306.44		(30.04)
SELL	VERSUS PURCHASE	6,892.895	01/04/2013	09/16/2013	57,486.75	64,569.42		(7,082.67)
SELL	VERSUS PURCHASE	66.952	01/31/2013	09/16/2013	558.38	623.68		(65.30)
SELL	VERSUS PURCHASE	67.780	02/28/2013	09/16/2013	565.29	627.23		(61.94)
SELL	VERSUS PURCHASE	68.773	03/28/2013	09/16/2013	573.57	630.77		(57.20)

Recipient's Name and Address:

COLLIS WARNER FOUNDATION
ATTN: NICHOLAS PERRINS *

Account Number: 5XW-261345

Recipient's Identification
Number 54-1854416

**2013 TAX and
YEAR-END STATEMENT
As of 03/06/2014**

2013 Form 1099-B
Proceeds From Broker and Barter Exchange Transactions

OMB No. 1545-0715 (Continued)

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.
Covered (Box 6b) (continued)

Description (Box 8): TCW EMERGING MARKETS INCOME FD CL I			CUSIP: 87234N765 (continued)					
SELL	VERSUS PURCHASE	68.148	04/30/2013	09/16/2013	568.35	634.42		(66.07)
SELL	VERSUS PURCHASE	70.749	05/31/2013	09/16/2013	590.05	637.99		(47.94)
SELL	VERSUS PURCHASE	74.913	06/28/2013	09/16/2013	624.77	641.68		(16.91)
SELL	VERSUS PURCHASE	75.925	07/31/2013	09/16/2013	633.21	645.65		(12.44)
SELL	VERSUS PURCHASE	61.610	08/30/2013	09/16/2013	513.82	571.58		(57.76)
Wash sale. 277 day(s) added to holding period								
SALE DATE TOTAL		14,495.768	VARIOUS	09/16/2013	120,894.71	135,924.89		(14,963.79)

Description (Box 8): TEMPLETON GLOBAL BON D FUND ADVISOR CLASS			CUSIP: 880208400					
SELL	FIRST IN FIRST OUT	3,725.782	01/04/2013	12/23/2013	48,472.42	50,000.00		(1,527.58)
SELL	FIRST IN FIRST OUT	23.971	01/16/2013	12/23/2013	311.86	321.69		(9.83)
SELL	FIRST IN FIRST OUT	23.900	02/19/2013	12/23/2013	310.94	321.21		(10.27)
SELL	FIRST IN FIRST OUT	24.144	03/18/2013	12/23/2013	314.11	324.49		(10.38)
SELL	FIRST IN FIRST OUT	24.004	04/16/2013	12/23/2013	312.29	324.77		(12.48)
SELL	FIRST IN FIRST OUT	23.924	05/16/2013	12/23/2013	311.25	326.56		(15.31)
SELL	FIRST IN FIRST OUT	25.024	06/18/2013	12/23/2013	325.56	326.06		(0.50)
SELL	FIRST IN FIRST OUT	25.164	07/16/2013	12/23/2013	327.38	327.13		0.25
SELL	FIRST IN FIRST OUT	25.661	08/16/2013	12/23/2013	333.85	328.97		4.88

Recipient's Name and Address:

COLLIS WARNER FOUNDATION
ATTN: NICHOLAS PERRINS *

Account Number: 5XW-261345

Recipient's Identification
Number 54-1854416

**2013 TAX and
YEAR-END STATEMENT**
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 (Continued)

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
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Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) (continued)

Description (Box 8): TEMPLETON GLOBAL BON D FUND ADVISOR CLASS					CUSIP: 880208400 (continued)			
SELL	FIRST IN FIRST OUT	25.448	09/17/2013	12/23/2013	331.08	329.30		1.78
SELL	FIRST IN FIRST OUT	25.260	10/16/2013	12/23/2013	328.63	331.16		(2.53)
SELL	FIRST IN FIRST OUT	25.458	11/18/2013	12/23/2013	331.21	331.46		(0.25)
SALE DATE TOTAL		3,997.740	VARIOUS	12/23/2013	52,010.58	53,592.80		(1,582.22)
Short-Term Covered Total					1,189,399.73	1,213,640.49		(24,165.60)

Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.

Covered (Box 6b)

Description (Box 8): BLACKROCK EQUITY DIV IDEND FUND INST CLAS S					CUSIP: 09251M504			
SELL	FIRST IN FIRST OUT	7.171	12/13/2012	12/23/2013	171.82	143.42		28.40
SELL	FIRST IN FIRST OUT	16,687.320	12/13/2012	12/23/2013	399,828.18	332,244.54		67,583.64
SALE DATE TOTAL		16,694.491	VARIOUS	12/23/2013	400,000.00	332,387.96		67,612.04
Description (Box 8): DOUBLELINE TOTAL RET URN BOND FUND CLASS I					CUSIP: 258620103			
SELL	FIRST IN FIRST OUT	19,771.529	12/13/2012	12/23/2013	214,718.81	225,000.00	90.84 W	(10,190.35)
SELL	FIRST IN FIRST OUT	174.702	11/29/2013	12/23/2013	1,897.27	1,996.84		(99.57)
Wash sale 375 day(s) added to holding period								
SALE DATE TOTAL		19,946.231	VARIOUS	12/23/2013	216,616.08	226,996.84		(10,289.92)

Recipient's Name and Address:

COLLIS WARNER FOUNDATION
ATTN: NICHOLAS PERRINS *

Account Number: 5XW-261345

Recipient's Identification
Number 54-1854416

**2013 TAX and
YEAR-END STATEMENT
As of 03/06/2014**

2013 Form 1099-B
Proceeds From Broker and Barter Exchange Transactions
OMB No. 1545-0715 (Continued)

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part II, with Box D checked.								
Covered (Box 6b) (continued)								
Description (Box 8): TEMPLETON GLOBAL BON D FUND ADVISOR CLASS			CUSIP: 880208400					
SELL	FIRST IN FIRST OUT	3,671.072	12/13/2012	12/23/2013	47,760.65	50,000.00	34.13 ^W	(2,205.22)
SELL	FIRST IN FIRST OUT	.473	12/18/2012	12/23/2013	6.15	6.24		(0.09)
SELL	FIRST IN FIRST OUT	71.502	12/18/2012	12/23/2013	930.24	943.83		(13.59)
SELL	FIRST IN FIRST OUT	47.252	12/18/2012	12/23/2013	614.75	623.72		(8.97)
SELL	FIRST IN FIRST OUT	1.857	12/17/2013	12/23/2013	24.16	25.27		(1.11)
	Wash sale. 375 day(s) added to holding period							
SELL	FIRST IN FIRST OUT	54.097	12/17/2013	12/23/2013	703.82	736.25		(32.43)
	Wash sale. 375 day(s) added to holding period							
SALE DATE TOTAL		3,846.253	VARIOUS	12/23/2013	50,039.77	52,335.31		(2,261.41)
Long-Term Covered Total					666,655.85	611,720.11		55,060.71
Covered Total					1,856,055.58	1,825,360.60		30,895.11
Total					1,856,055.58	1,825,360.60		30,895.11

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, the option premium portion of reverse convertibles and bond maturities. Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities. Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (long-term or short-term) and whether any loss is disallowed due to a wash sale for both covered and noncovered securities transactions will be displayed when available. Please note that such detail for noncovered transactions is not reported to the IRS.

Type of Gain or (Loss) (Box 1c). The section headings within the 1099-B indicate the type of gain or (loss) for the transactions, short-term or long-term.

Covered (b) or Noncovered (a) Security (Box 6). The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program.

Description (Box 8). Shows a brief description of the item or service for which the proceeds are being reported.

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

Disposition Transaction. This column will denote the type of transaction, for example "SELL."