42184

Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: FITZPATRICK FOUNDATION

A Employer identification number: 54-1851895

Number and street (or P O box number if mail is not delivered to street address): 2 MEMORY LANE — Room/suite:

B Telephone number (see instructions): 203-853-0191

City or town, state or province, country, and ZIP or foreign postal code: ROWAYTON CT 06853

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 467,126

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☒ if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	11,410	11,410		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	15,398			
b	Gross sales price for all assets on line 6a 175,199				
7	Capital gain net income (from Part IV, line 2)		15,398		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	26,808	26,808	0	
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc.	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STMT 1	1,495			
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 2	260			
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att. sch) STMT 3	7,334			
24	**Total operating and administrative expenses.** Add lines 13 through 23	9,089	0	0	0
25	Contributions, gifts, grants paid	26,000			26,000
26	**Total expenses and disbursements.** Add lines 24 and 25	35,089	0	0	26,000
27	Subtract line 26 from line 12:				
a	**Excess of revenue over expenses and disbursements**	-8,281			
b	**Net investment income** (if negative, enter -0-)		26,808		
c	**Adjusted net income** (if negative, enter -0-)			0	

SCANNED JUL 1 6 2014

JUL 3 2014

G14 1

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing	16,547	50,383	50,383
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶			
		Less. allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att. schedule) ▶			
		Less: allowance for doubtful accounts ▶ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U.S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) SEE STMT 4	398,013	394,757	416,743
	c	Investments – corporate bonds (attach schedule)			
	11	Investments – land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach sch) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	414,560	445,140	467,126
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	605,528	605,528	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	-190,968	-160,388	
	30	**Total net assets or fund balances** (see instructions)	414,560	445,140	
	31	**Total liabilities and net assets/fund balances** (see instructions)	414,560	445,140	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	414,560
2	Enter amount from Part I, line 27a	2	-8,281
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 5	3	38,861
4	Add lines 1, 2, and 3	4	445,140
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	445,140

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE WORKSHEET			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	15,398
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	-1,104

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			

2 **Total** of line 1, column (d)	2	
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5 Multiply line 4 by line 3	5	
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	
7 Add lines 5 and 6	7	
8 Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter. **(attach copy of letter if necessary—see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	536
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3	Add lines 1 and 2	3	536
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	536
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c: 536		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	536
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	7
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	7
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ Refunded ▶	11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ____ **(2)** On foundation managers. ▶ $ ____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either. • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NONE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JAMES G. FITZPATRICK, TREASURER Telephone no. ▶ 203-981-5530

2 MEMORY LANE

Located at ▶ ROWAYTON CT ZIP+4 ▶ 06853

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? **(Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? N/A	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address		(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JAMES G. FITZPATRICK 2 MEMORY LANE	ROWAYTON CT 06853	PRES./TREASU 1.00	0	0	0
VAUGHAN O. FITZPATRICK 1437 WASHINGTON AVENUE	NEW ORLEANS LA 70130	DIRECTOR 0.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:			
a	Average monthly fair market value of securities		1a	478,766
b	Average of monthly cash balances		1b	22,973
c	Fair market value of all other assets (see instructions)		1c	0
d	**Total** (add lines 1a, b, and c)		1d	501,739
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0		
2	Acquisition indebtedness applicable to line 1 assets		2	0
3	Subtract line 2 from line 1d		3	501,739
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)		4	7,526
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4		5	494,213
6	**Minimum investment return.** Enter 5% of line 5		6	24,711

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6		1	24,711
2a	Tax on investment income for 2013 from Part VI, line 5	2a 536		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	536
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	24,175
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	24,175
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	24,175

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes.		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	26,000
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	26,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	26,000

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					24,175
2 Undistributed income, if any, as of the end of 2013:					
a Enter amount for 2012 only					
b Total for prior years: 20____ , 20____ , 20____					
3 Excess distributions carryover, if any, to 2013:					
a From 2008					
b From 2009					
c From 2010					
d From 2011	4,482				
e From 2012					
f **Total** of lines 3a through e		4,482			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 26,000					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2013 distributable amount					24,175
e Remaining amount distributed out of corpus		1,825			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)					
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		6,307			
b Prior years' undistributed income. Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b. Taxable amount – see instructions					
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions					
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		6,307			
10 Analysis of line 9:					
a Excess from 2009					
b Excess from 2010					
c Excess from 2011	4,482				
d Excess from 2012					
e Excess from 2013	1,825				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test – enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

JIM FITZPATRICK
2 MEMORY LANE ROWAYTON CT 06853

b The form in which applications should be submitted and information and materials they should include:

INQUIRE FROM JIM FITZPATRICK.

c Any submission deadlines:

INQUIRE FROM JIM FITZPATRICK.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

INQUIRE FROM JIM FITZPATRICK.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
FISHER HOUSE FOUNDATION 111 ROCKVILLE PIKE #420 ROCKVILLE MD 20850			EDUCATION	2,500
FRIENDS OF THE MILLS RIVER LIBRARY 124 TOWN CENTER DR MILLS RIVER NC 28759			CHARITABLE	8,000
MILLS RIVER VOLUNTEER FIRST RESCUE 121 SCHOOLHOUSE RD MILLS RIVER NC 28759			CHARITABLE	1,000
CALVARY EPISCOPAL CHURCH FOOD PANTR 2130 BUTLER BRIDGE RD MILLS RIVER NC 28759			CHARITIBLE	1,000
FLETCHER ARTS & HERITAGE ASSOC 3699 HENDERSONVILLE RD FLETCHER NC 28732			CHARITABLE	3,000
CAROLINA MOUNTAIN LAND CONSERVANCY 847 CASE STREET HENDERSONVILLE NC 28792			CHARITABLE	7,000
HORIZON AT GFA 35 BEACHSIDE AVE GREEN FARMS CT 06838			CHARITABLE	1,500
FRIENDS OF LUSHER SCHOOL 5624 FRERET S NEW ORLEANS LA 70115			EDUCATION	2,000
Total			▶ 3a	26,000
b Approved for future payment N/A				
Total			▶ 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue.					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	11,410	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					15,398
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		11,410	15,398

13 Total. Add line 12, columns (b), (d), and (e) 13 26,808

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Signature of officer or trustee — Date 6/24/14 — Title TREASURER

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
STEPHEN M. JONES, CPA	STEPHEN M. JONES, CPA	06/02/14	
Firm's name ▶ STRICKLAND & JONES, P.C.		PTIN	P00214368
Firm's address ▶ 749 BOUSH STREET NORFOLK, VA 23510		Firm's EIN ▶	54-1208437
		Phone no	757-627-7672

Form **990-PF**

Capital Gains and Losses for Tax on Investment Income

2013

For calendar year 2013, or tax year beginning , and ending

Name: FITZPATRICK FOUNDATION

Employer Identification Number: 54-1851895

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
(1)	SEE SCHEDULE - SCHWAB - #1498	P	VARIOUS	VARIOUS
(2)	SEE SCHEDULE - SCHWAB - #1498	P	VARIOUS	VARIOUS
(3)	SEE SCHEDULE - SCHWAB - #9168	P	VARIOUS	VARIOUS
(4)	SEE SCHEDULE - SCHWAB - #9168	P	VARIOUS	VARIOUS
(5)	SEE SCHEDULE - SCHWAB - #9168	P	VARIOUS	VARIOUS
(6)	SEE SCHEDULE - SCHWAB - #4410	P	VARIOUS	VARIOUS
(7)	SEE SCHEDULE - SCHWAB - #4410	P	VARIOUS	VARIOUS
(8)	SEE SCHEDULE - SCHWAB - #4410	P	VARIOUS	VARIOUS
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	23,146		24,932	-1,786
(2)	46,628		49,132	-2,504
(3)	868		986	-118
(4)	512		592	-80
(5)	23,572		19,029	4,543
(6)	12,243		11,443	800
(7)	6,521		5,674	847
(8)	61,709		48,013	13,696
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(1)				-1,786
(2)				-2,504
(3)				-118
(4)				-80
(5)				4,543
(6)				800
(7)				847
(8)				13,696
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
ACCOUNTING FEES	$ 1,495	$	$	$
TOTAL	$ 1,495	$ 0	$ 0	$ 0

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
STATE FEE	$ 25	$	$	$
FOREIGN TAX	235			
TOTAL	$ 260	$ 0	$ 0	$ 0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
INVESTMENT FEES	7,334			
TOTAL	$ 7,334	$ 0	$ 0	$ 0

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
STOCKS	$ 398,013	$ 394,757	COST	$ 416,743
TOTAL	$ 398,013	$ 394,757		$ 416,743

Federal Statements

Statement 5 - Form 990-PF, Part III, Line 3 - Other Increases

Description	Amount
UNREALIZED GAIN ON ASSETS	$ 38,861
TOTAL	$ 38,861

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description
INQUIRE FROM JIM FITZPATRICK.

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description
INQUIRE FROM JIM FITZPATRICK.

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description
INQUIRE FROM JIM FITZPATRICK.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
VANGUARD FTSE EMERGIN	922042858	600.00	06/05/13	08/23/13	$ 23,145.93	$ 24,931.75	$ 0.00	$ (1,785.82)
Security Subtotal					**$ 23,145.93**	**$ 24,931.75**	**$ 0.00**	**$ (1,785.82)**
Total Short-Term *(Cost basis is reported to the IRS)*					**$ 23,145.93**	**$ 24,931.75**	**$ 0.00**	**$ (1,785.82)**
Total Short-Term					**$ 23,145.93**	**$ 24,931.75**	**$ 0.00**	**$ (1,785.82)**

Long-Term Realized Gain or (Loss)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
PRUDENTIAL JENNISON N	74441K503	200.08	09/09/11	12/19/13	$ 9,980.00	$ 10,165.22	$ 0.00	$ (185.22)
Security Subtotal					**$ 9,980.00**	**$ 10,165.22**	**$ 0.00**	**$ (185.22)**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
VANGUARD FTSE EMERGIN	922042858	950.00	09/09/11	08/23/13	$ 36,647.73	$ 38,966.55	$ 0.00	$ (2,318.82)
Security Subtotal					**$ 36,647.73**	**$ 38,966.55**	**$ 0.00**	**$ (2,318.82)**
Total Long-Term *(Transactions are not reported on Form 1099-B or to the IRS)*					**$ 46,627.73**	**$ 49,131.77**	**$ 0.00**	**$ (2,504.04)**
Total Long-Term					**$ 46,627.73**	**$ 49,131.77**	**$ 0.00**	**$ (2,504.04)**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS. Report on Form 8949, Part I, with Box A checked.)*	$ 23,145.93	$ 24,931.75	$ 0.00	$ (1,785.82)
Total Short-Term Realized Gain or (Loss)	$ 23,145.93	$ 24,931.75	$ 0.00	$ (1,785.82)
Total Long-Term Realized Gain or (Loss) *(Transactions are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II with Box C checked.)*	$ 46,627.73	$ 49,131.77	$ 0.00	$ (2,504.04)
Total Long-Term Realized Gain or (Loss)	$ 46,627.73	$ 49,131.77	$ 0.00	$ (2,504.04)
TOTAL REALIZED GAIN OR (LOSS)	$ 69,773.66	$ 74,063.52	$ 0.00	$ (4,289.86)

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
CENTURYLINK INC	156700106	3.00	07/12/12	02/15/13	$ 97.71	$ 119.34	$ 0.00	$ (21.63)
CENTURYLINK INC	156700106	14.00	07/19/12	02/15/13	$ 455.98	$ 582.78	$ 0.00	$ (126 80)
CENTURYLINK INC	156700106	3.00	10/04/12	02/15/13	$ 97.70	$ 119.60	$ 0.00	$ (21.90)
Security Subtotal					**$ 651.39**	**$ 821.72**	**$ 0.00**	**$ (170.33)**
HEINZ H J CO XXXCASH	423074103	3.00	07/12/12	02/15/13	$ 216.81	$ 164.67	$ 0.00	$ 52.14
Security Subtotal					**$ 216.81**	**$ 164.67**	**$ 0.00**	**$ 52.14**
Total Short-Term *(Cost basis is reported to the IRS)*					**$ 868.20**	**$ 986.39**	**$ 0.00**	**$ (118.19)**
Total Short-Term					**$ 868.20**	**$ 986.39**	**$ 0.00**	**$ (118.19)**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
CENTURYLINK INC	156700106	15.00	02/03/12	02/15/13	$	488.55	$	557.69	$	0.00	$	(69.14)
Security Subtotal					**$**	**488.55**	**$**	**557.69**	**$**	**0.00**	**$**	**(69.14)**
WINDSTREAM CORPORATIO	97381W104	3 00	03/07/12	06/05/13	$	23.93	$	33.89	$	0 00	$	(9.96)
Security Subtotal					**$**	**23.93**	**$**	**33.89**	**$**	**0.00**	**$**	**(9.96)**
Total Long-Term *(Cost basis is reported to the IRS)*					**$**	**512.48**	**$**	**591.58**	**$**	**0.00**	**$**	**(79.10)**

Long-Term Realized Gain or (Loss)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
ASTRAZENECA PLC ADR F	046353108	19.00	09/08/11	12/04/13	$	1,078.81	$	866.78	$	0.00	$	212.03
Security Subtotal					**$**	**1,078.81**	**$**	**866.78**	**$**	**0.00**	**$**	**212.03**
B C E INC NEW F	05534B760	55.00	09/08/11	01/09/13	$	2,344.20	$	2,169.75	$	0.00	$	174.45
B C E INC NEW F	05534B760	16.00	09/08/11	09/16/13	$	673.70	$	631.20	$	0.00	$	42.50
Security Subtotal					**$**	**3,017.90**	**$**	**2,800.95**	**$**	**0.00**	**$**	**216.95**
BRISTOL-MYERS SQUIBB CO	110122108	58.00	09/08/11	06/05/13	$	2,701.07	$	1,738.72	$	0.00	$	962.35

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
BRISTOL-MYERS SQUIBB CO	110122108	38.00	09/08/11	07/05/13	$ 1,674.92	$ 1,139.16	$ 0.00	$ 535.76
Security Subtotal					**$ 4,375.99**	**$ 2,877.88**	**$ 0.00**	**$ 1,498.11**
CENTURYLINK INC	156700106	69.00	09/08/11	02/15/13	$ 2,247.32	$ 2,337.65	$ 0.00	$ (90.33)
CENTURYLINK INC	156700106	16.00	10/11/11	02/15/13	$ 521.12	$ 536.46	$ 0.00	$ (15.34)
Security Subtotal					**$ 2,768.44**	**$ 2,874.11**	**$ 0.00**	**$ (105.67)**
GLAXOSMITHKLINE PLC ADR	37733W105	27.00	09/08/11	04/18/13	$ 1,364.41	$ 1,136.97	$ 0.00	$ 227.44
Security Subtotal					**$ 1,364.41**	**$ 1,136.97**	**$ 0.00**	**$ 227.44**
HEINZ H J CO XXXCASH	423074103	81.00	09/08/11	02/15/13	$ 5,853.77	$ 4,277.61	$ 0.00	$ 1,576.16
Security Subtotal					**$ 5,853.77**	**$ 4,277.61**	**$ 0.00**	**$ 1,576.16**
LILLY ELI & COMPANY	532457108	50.00	09/08/11	05/07/13	$ 2,708.60	$ 1,863.00	$ 0.00	$ 845.60
Security Subtotal					**$ 2,708.60**	**$ 1,863.00**	**$ 0.00**	**$ 845.60**
NATIONAL GRID PLC ADR F	636274300	10.00	09/08/11	09/13/13	$ 587.12	$ 507.50	$ 0.00	$ 79.62
Security Subtotal					**$ 587.12**	**$ 507.50**	**$ 0.00**	**$ 79.62**
VODAFONE GROUP NEW AD	92857W209	30.00	09/09/11	12/04/13	$ 1,099.51	$ 773.37	$ 0.00	$ 326.14
Security Subtotal					**$ 1,099.51**	**$ 773.37**	**$ 0.00**	**$ 326.14**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
WINDSTREAM CORPORATIO	97381W104	90.00	09/09/11	06/05/13	$ 717.75	$ 1,051.15	$ 0.00	$ (333.40)
Security Subtotal					**$ 717.75**	**$ 1,051.15**	**$ 0.00**	**$ (333.40)**
Total Long-Term *(Transactions are not reported on Form 1099-B or to the IRS)*					**$ 23,572.30**	**$ 19,029.32**	**$ 0.00**	**$ 4,542.98**
Total Long-Term					**$ 24,084.78**	**$ 19,620.90**	**$ 0.00**	**$ 4,463.88**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS. Report on Form 8949, Part I, with Box A checked.)*	$ 868.20	$ 986.39	$ 0.00	$ (118.19)
Total Short-Term Realized Gain or (Loss)	$ 868.20	$ 986.39	$ 0.00	$ (118.19)
Total Long-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS. Report on Form 8949, Part II, with Box A checked.)*	$ 512.48	$ 591.58	$ 0.00	$ (79.10)
Total Long-Term Realized Gain or (Loss) *(Transactions are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II with Box C checked.)*	$ 23,572.30	$ 19,029.32	$ 0.00	$ 4,542.98
Total Long-Term Realized Gain or (Loss)	$ 24,084.78	$ 19,620.90	$ 0.00	$ 4,463.88
TOTAL REALIZED GAIN OR (LOSS)	$ 24,952.98	$ 20,607.29	$ 0.00	$ 4,345.69

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
CITRIX SYSTEMS INC	177376100	9.00	05/30/13	10/15/13	$	524.53	$	588.81	$	0.00	$	(64.28)
CITRIX SYSTEMS INC	177376100	15.00	09/03/13	10/15/13	$	874.23	$	1,063.13	$	0.00	$	(188.90)
Security Subtotal					**$**	**1,398.76**	**$**	**1,651.94**	**$**	**0.00**	**$**	**(253.18)**
COACH INC	189754104	13.00	06/01/12	02/06/13	$	636.61	$	839.63	$	0.00	$	(203.02)
COACH INC	189754104	3.00	06/08/12	02/06/13	$	146.91	$	191.77	$	0.00	$	(44.86)
COACH INC	189754104	9.00	06/08/12	02/15/13	$	436.24	$	575.32	$	0.00	$	(139.08)
COACH INC	189754104	5.00	08/09/12	02/15/13	$	242.36	$	275.49	$	0.00	$	(33.13)
COACH INC	189754104	11.00	08/09/12	02/27/13	$	530.00	$	606.07	$	0.00	$	(76.07)
COACH INC	189754104	8.00	08/15/12	02/27/13	$	385.45	$	445.13	$	0.00	$	(59.68)
COACH INC	189754104	7.00	09/21/12	02/27/13	$	337.27	$	395.90	$	0.00	$	(58.63)
Security Subtotal					**$**	**2,714.84**	**$**	**3,329.31**	**$**	**0.00**	**$**	**(614.47)**
ECOLAB INC	278865100	16.00	11/30/12	05/31/13	$	1,359.18	$	1,152.31	$	0.00	$	206 87
Security Subtotal					**$**	**1,359.18**	**$**	**1,152.31**	**$**	**0.00**	**$**	**206.87**
IDEXX LABS INC	45168D104	4.00	03/01/13	12/17/13	$	414.80	$	364.43	$	0.00	$	50.37

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Short-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part I, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
IDEXX LABS INC	45168D104	11.00	09/03/13	12/17/13	$ 1,140.71	$ 1,022.99	$ 0.00	$ 117.72
Security Subtotal					**$ 1,555.51**	**$ 1,387.42**	**$ 0.00**	**$ 168.09**
MASTERCARD INC	57636Q104	1.00	09/24/12	08/30/13	$ 605.19	$ 455.03	$ 0.00	$ 150.16
Security Subtotal					**$ 605.19**	**$ 455.03**	**$ 0.00**	**$ 150.16**
STARBUCKS CORP	855244109	5.00	11/01/12	05/20/13	$ 319.49	$ 232.61	$ 0.00	$ 86.88
STARBUCKS CORP	855244109	30.00	11/01/12	05/31/13	$ 1,906.20	$ 1,395.65	$ 0.00	$ 510.55
STARBUCKS CORP	855244109	6.00	11/01/12	07/10/13	$ 407.61	$ 279.13	$ 0.00	$ 128.48
STARBUCKS CORP	855244109	8.00	11/01/12	10/02/13	$ 616.87	$ 372.17	$ 0.00	$ 244.70
Security Subtotal					**$ 3,250.17**	**$ 2,279.56**	**$ 0.00**	**$ 970.61**
WHOLE FOODS MARKET INC	966837106	26.00	05/03/13	05/31/13	$ 1,359.03	$ 1,187.01	$ 0 00	$ 172.02
Security Subtotal					**$ 1,359.03**	**$ 1,187.01**	**$ 0.00**	**$ 172.02**
Total Short-Term *(Cost basis is reported to the IRS)*					**$ 12,242.68**	**$ 11,442.58**	**$ 0.00**	**$ 800.10**
Total Short-Term					**$ 12,242.68**	**$ 11,442.58**	**$ 0.00**	**$ 800.10**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
CITRIX SYSTEMS INC	177376100	9.00	06/01/12	10/15/13	$	524.53	$	643.93	$	0.00	$	(119.40)
Security Subtotal					**$**	**524.53**	**$**	**643.93**	**$**	**0.00**	**$**	**(119.40)**
COGNIZANT TECH SOL CL A	192446102	2.00	02/14/12	05/31/13	$	130.20	$	141.40	$	0 00	$	(11.20)
COGNIZANT TECH SOL CL A	192446102	1.00	05/07/12	05/31/13	$	65.10	$	58.80	$	0.00	$	6.30
Security Subtotal					**$**	**195.30**	**$**	**200.20**	**$**	**0.00**	**$**	**(4.90)**
FOSSIL GROUP INC	34988V106	2.00	05/08/12	08/07/13	$	241.87	$	159.00	$	0.00	$	82.87
Security Subtotal					**$**	**241.87**	**$**	**159.00**	**$**	**0.00**	**$**	**82.87**
FOSSIL INC XXXN/C TO	349882100	3.00	09/06/11	01/03/13	$	283.63	$	271.40	$	0.00	$	12.23
FOSSIL INC XXXN/C TO	349882100	3.00	09/06/11	05/31/13	$	321.81	$	271.39	$	0.00	$	50.42
Security Subtotal					**$**	**605.44**	**$**	**542.79**	**$**	**0.00**	**$**	**62.65**
IDEXX LABS INC	45168D104	9.00	06/01/12	12/17/13	$	933.30	$	742.41	$	0.00	$	190.89
Security Subtotal					**$**	**933.30**	**$**	**742.41**	**$**	**0.00**	**$**	**190.89**
LAUDER ESTEE CO INC CL A	518439104	5.00	03/16/12	04/15/13	$	335.94	$	318.72	$	0.00	$	17.22
LAUDER ESTEE CO INC CL A	518439104	9.00	03/16/12	05/03/13	$	638.36	$	573.69	$	0.00	$	64.67
LAUDER ESTEE CO INC CL A	518439104	22.00	03/16/12	05/31/13	$	1,508.31	$	1,402.34	$	0.00	$	105.97
Security Subtotal					**$**	**2,482.61**	**$**	**2,294.75**	**$**	**0.00**	**$**	**187.86**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099-B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box A checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
MASTERCARD INC	57636Q104	2.00	06/01/12	08/30/13	$ 1,210.39	$ 784.78	$ 0.00	$ 425.61
Security Subtotal					**$ 1,210.39**	**$ 784.78**	**$ 0.00**	**$ 425.61**
MEAD JOHNSON NUTRITION	582839106	4.00	09/19/11	05/31/13	$ 327.40	$ 306.09	$ 0.00	$ 21.31
Security Subtotal					**$ 327.40**	**$ 306.09**	**$ 0.00**	**$ 21.31**
Total Long-Term *(Cost basis is reported to the IRS)*					**$ 6,520.84**	**$ 5,673.95**	**$ 0.00**	**$ 846.89**

Long-Term Realized Gain or (Loss)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description		CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ACCENTURE PLC CL A	F	G1151C101	7.00	08/30/11	05/03/13	$ 559.99	$ 379.96	$ 0.00	$ 180.03
ACCENTURE PLC CL A	F	G1151C101	17.00	08/30/11	05/31/13	$ 1,412.51	$ 922.76	$ 0.00	$ 489.75
Security Subtotal						**$ 1,972.50**	**$ 1,302.72**	**$ 0.00**	**$ 669.78**
AMPHENOL CORP CL A		032095101	8.00	08/30/11	05/03/13	$ 611.75	$ 373.84	$ 0.00	$ 237.91
AMPHENOL CORP CL A		032095101	17.00	08/30/11	05/31/13	$ 1,336.18	$ 794.41	$ 0.00	$ 541 77
Security Subtotal						**$ 1,947.93**	**$ 1,168.25**	**$ 0.00**	**$ 779.68**

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
ANSYS INC	03662Q105	13.00	08/30/11	05/31/13	$ 973.29	$ 686.79	$ 0.00	$ 286.50
Security Subtotal					**$ 973.29**	**$ 686.79**	**$ 0.00**	**$ 286.50**
APPLE INC	037833100	5.00	08/30/11	05/31/13	$ 2,268.66	$ 1,953.80	$ 0.00	$ 314.86
APPLE INC	037833100	2.00	08/30/11	10/08/13	$ 965.19	$ 781.52	$ 0.00	$ 183.67
Security Subtotal					**$ 3,233.85**	**$ 2,735.32**	**$ 0.00**	**$ 498.53**
CITRIX SYSTEMS INC	177376100	16.00	08/30/11	05/31/13	$ 1,037.26	$ 938.08	$ 0.00	$ 99.18
CITRIX SYSTEMS INC	177376100	21.00	08/30/11	10/15/13	$ 1,223.91	$ 1,231.23	$ 0.00	$ (7.32)
Security Subtotal					**$ 2,261.17**	**$ 2,169.31**	**$ 0.00**	**$ 91.86**
COACH INC	189754104	11.00	08/30/11	01/28/13	$ 565.54	$ 615.89	$ 0.00	$ (50.35)
COACH INC	189754104	12.00	08/30/11	02/01/13	$ 600.97	$ 671.88	$ 0.00	$ (70.91)
COACH INC	189754104	13.00	08/30/11	02/01/13	$ 646.14	$ 727.87	$ 0.00	$ (81.73)
Security Subtotal					**$ 1,812.65**	**$ 2,015.64**	**$ 0.00**	**$ (202.99)**
COGNIZANT TECH SOL CL A	192446102	11.00	08/30/11	01/23/13	$ 852.41	$ 694.10	$ 0.00	$ 158 31
COGNIZANT TECH SOL CL A	192446102	9.00	08/30/11	04/25/13	$ 556.88	$ 567.90	$ 0.00	$ (11.02)
COGNIZANT TECH SOL CL A	192446102	14.00	08/30/11	05/16/13	$ 898.78	$ 883.40	$ 0.00	$ 15.38
COGNIZANT TECH SOL CL A	192446102	8.00	08/30/11	05/31/13	$ 520.79	$ 504.80	$ 0.00	$ 15 99
Security Subtotal					**$ 2,828.86**	**$ 2,650.20**	**$ 0.00**	**$ 178.66**
CORE LABORATORIES N V F	N22717107	2.00	08/30/11	04/16/13	$ 257.49	$ 219.92	$ 0.00	$ 37.57

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
CORE LABORATORIES N V F	N22717107	10.00	08/30/11	05/31/13	$ 1,382.39	$ 1,099.60	$ 0.00	$ 282.79
CORE LABORATORIES N V F	N22717107	3.00	08/30/11	08/28/13	$ 462.74	$ 329.88	$ 0.00	$ 132.86
Security Subtotal					**$ 2,102.62**	**$ 1,649.40**	**$ 0.00**	**$ 453.22**
COSTCO WHSL CORP NEW	22160K105	12.00	08/30/11	05/31/13	$ 1,326.10	$ 941.04	$ 0.00	$ 385.06
Security Subtotal					**$ 1,326.10**	**$ 941.04**	**$ 0.00**	**$ 385.06**
COVANCE INC	222816100	13.00	08/30/11	03/07/13	$ 874.59	$ 680.81	$ 0.00	$ 193.78
COVANCE INC	222816100	16.00	08/30/11	05/31/13	$ 1,201.42	$ 837.92	$ 0.00	$ 363.50
COVANCE INC	222816100	2.00	08/30/11	07/17/13	$ 157.56	$ 104.74	$ 0.00	$ 52.82
Security Subtotal					**$ 2,233.57**	**$ 1,623.47**	**$ 0.00**	**$ 610.10**
DANAHER CORP DEL	235851102	27.00	08/30/11	05/31/13	$ 1,684.85	$ 1,220.67	$ 0.00	$ 464.18
Security Subtotal					**$ 1,684.85**	**$ 1,220.67**	**$ 0.00**	**$ 464.18**
DAVITA HEALTHCARE PTNR	23918K108	2.00	08/30/11	04/22/13	$ 245.27	$ 148.72	$ 0.00	$ 96.55
DAVITA HEALTHCARE PTNR	23918K108	10 00	08/30/11	05/31/13	$ 1,251.88	$ 743.60	$ 0.00	$ 508.28
DAVITA HEALTHCARE PTNR	23918K108	1.00	10/06/11	05/31/13	$ 125.19	$ 64.47	$ 0.00	$ 60.72
Security Subtotal					**$ 1,622.34**	**$ 956.79**	**$ 0.00**	**$ 665.55**
EXPRESS SCRIPTS HLDG CO	30219G108	5.00	08/30/11	04/22/13	$ 277.44	$ 234.90	$ 0.00	$ 42.54
EXPRESS SCRIPTS HLDG CO	30219G108	35.00	08/30/11	05/31/13	$ 2,195.62	$ 1,644.30	$ 0.00	$ 551.32

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. **Date Prepared: February 7, 2014**

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
EXPRESS SCRIPTS HLDG CO	30219G108	4.00	08/30/11	07/09/13	$ 256.62	$ 187.92	$ 0.00	$ 68.70
Security Subtotal					**$ 2,729.68**	**$ 2,067.12**	**$ 0.00**	**$ 662.56**
FLUOR CORPORATION NEW	343412102	9.00	08/30/11	05/29/13	$ 574.20	$ 548.37	$ 0.00	$ 25.83
FLUOR CORPORATION NEW	343412102	29.00	08/30/11	05/31/13	$ 1,858.32	$ 1,766.97	$ 0.00	$ 91.35
Security Subtotal					**$ 2,432.52**	**$ 2,315.34**	**$ 0.00**	**$ 117.18**
FMC TECHNOLOGIES INC	30249U101	6.00	08/30/11	04/16/13	$ 298.32	$ 266.82	$ 0.00	$ 31.50
FMC TECHNOLOGIES INC	30249U101	30.00	08/30/11	05/31/13	$ 1,687.65	$ 1,334.10	$ 0.00	$ 353.55
Security Subtotal					**$ 1,985.97**	**$ 1,600.92**	**$ 0.00**	**$ 385.05**
FOSSIL GROUP INC	34988V106	2.00	12/22/11	08/07/13	$ 241.87	$ 165.94	$ 0.00	$ 75.93
Security Subtotal					**$ 241.87**	**$ 165.94**	**$ 0.00**	**$ 75.93**
FOSSIL INC XXXN/C TO	349882100	1.00	08/30/11	01/03/13	$ 94.54	$ 101.90	$ 0.00	$ (7.36)
FOSSIL INC XXXN/C TO	349882100	6.00	09/22/11	05/31/13	$ 643.61	$ 552.29	$ 0.00	$ 91.32
FOSSIL INC XXXN/C TO	349882100	3.00	11/09/11	05/31/13	$ 321.80	$ 276.30	$ 0.00	$ 45.50
Security Subtotal					**$ 1,059.95**	**$ 930.49**	**$ 0.00**	**$ 129.46**
GENPACT LIMITED F	G3922B107	57.00	08/30/11	05/31/13	$ 1,108.17	$ 960.39	$ 0.00	$ 147 78
Security Subtotal					**$ 1,108.17**	**$ 960.39**	**$ 0.00**	**$ 147.78**
GOOGLE INC CLASS A	38259P508	1.00	08/30/11	05/03/13	$ 836.94	$ 542.80	$ 0.00	$ 294.14

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale		Total Proceeds		Cost Basis		Wash Sale Loss Disallowed		Realized Gain or (Loss)
GOOGLE INC CLASS A	38259P508	3.00	08/30/11	05/31/13	$	2,623.45	$	1,628.40	$	0.00	$	995.05
Security Subtotal					**$**	**3,460.39**	**$**	**2,171.20**	**$**	**0.00**	**$**	**1,289.19**
IDEXX LABS INC	45168D104	12.00	08/30/11	05/31/13	$	996.46	$	970.08	$	0.00	$	26.38
IDEXX LABS INC	45168D104	16.00	08/30/11	12/17/13	$	1,659.21	$	1,293.44	$	0.00	$	365.77
Security Subtotal					**$**	**2,655.67**	**$**	**2,263.52**	**$**	**0.00**	**$**	**392.15**
INTUITIVE SURGICAL NEW	46120E602	3.00	08/30/11	05/31/13	$	1,500.27	$	1,139.40	$	0.00	$	360.87
Security Subtotal					**$**	**1,500.27**	**$**	**1,139.40**	**$**	**0.00**	**$**	**360.87**
MASTERCARD INC	57636Q104	3.00	08/30/11	05/31/13	$	1,723.98	$	989.34	$	0.00	$	734.64
MASTERCARD INC	57636Q104	5.00	08/30/11	08/30/13	$	3,025.97	$	1,648.90	$	0.00	$	1,377.07
Security Subtotal					**$**	**4,749.95**	**$**	**2,638.24**	**$**	**0.00**	**$**	**2,111.71**
MEAD JOHNSON NUTRITION	582839106	7.00	08/30/11	05/03/13	$	561.67	$	496.51	$	0.00	$	65.16
MEAD JOHNSON NUTRITION	582839106	16.00	08/30/11	05/31/13	$	1,309.59	$	1,134.88	$	0.00	$	174.71
Security Subtotal					**$**	**1,871.26**	**$**	**1,631.39**	**$**	**0.00**	**$**	**239.87**
NATIONAL INSTRUMENTS	636518102	33.00	08/30/11	05/31/13	$	943.52	$	838.86	$	0.00	$	104.66
Security Subtotal					**$**	**943.52**	**$**	**838.86**	**$**	**0.00**	**$**	**104.66**
NETAPP INC	64110D104	37.00	08/30/11	05/31/13	$	1,398.69	$	1,388.98	$	0.00	$	9.71

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS. Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
NETAPP INC	64110D104	14.00	08/30/11	08/21/13	$ 584.52	$ 525.56	$ 0.00	$ 58.96
Security Subtotal					**$ 1,983.21**	**$ 1,914.54**	**$ 0.00**	**$ 68.67**
QUALCOMM INC	747525103	31.00	08/30/11	05/31/13	$ 1,984.37	$ 1,598.67	$ 0.00	$ 385.70
Security Subtotal					**$ 1,984.37**	**$ 1,598.67**	**$ 0.00**	**$ 385.70**
ROPER INDUSTRIES INC	776696106	12.00	08/30/11	05/31/13	$ 1,499.31	$ 911.40	$ 0.00	$ 587.91
Security Subtotal					**$ 1,499.31**	**$ 911.40**	**$ 0.00**	**$ 587.91**
SALESFORCE COM	79466L302	12.00	09/22/11	05/31/13	$ 514.32	$ 349.91	$ 0.00	$ 164.41
SALESFORCE COM	79466L302	14.00	11/21/11	05/31/13	$ 600.05	$ 387.37	$ 0.00	$ 212.68
Security Subtotal					**$ 1,114.37**	**$ 737.28**	**$ 0.00**	**$ 377.09**
SCHLUMBERGER LTD F	806857108	23.00	08/30/11	05/31/13	$ 1,695.30	$ 1,791.93	$ 0.00	$ (96.63)
Security Subtotal					**$ 1,695.30**	**$ 1,791.93**	**$ 0.00**	**$ (96.63)**
STERICYCLE INC	858912108	14.00	08/30/11	05/31/13	$ 1,549.91	$ 1,228.22	$ 0.00	$ 321.69
Security Subtotal					**$ 1,549.91**	**$ 1,228.22**	**$ 0.00**	**$ 321.69**
THE CHARLES SCHWAB COR	808513105	43.00	08/30/11	05/15/13	$ 783.95	$ 534.40	$ 0.00	$ 249.55
THE CHARLES SCHWAB COR	808513105	80.00	08/30/11	05/31/13	$ 1,607.24	$ 994.24	$ 0.00	$ 613.00
THE CHARLES SCHWAB COR	808513105	18.00	08/30/11	06/04/13	$ 354.62	$ 223.71	$ 0.00	$ 130.91

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss) (continued)

The transactions in this section are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II, with Box C checked.

Description	CUSIP Number	Quantity/Par	Date of Acquisition	Date of Sale	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
THE CHARLES SCHWAB COR	808513105	19.00	08/30/11	06/27/13	$ 397.86	$ 236.13	$ 0.00	$ 161.73
Security Subtotal					**$ 3,143.67**	**$ 1,988.48**	**$ 0.00**	**$ 1,155.19**
Total Long-Term *(Transactions are not reported on Form 1099-B or to the IRS)*					**$ 61,709.09**	**$ 48,012.93**	**$ 0.00**	**$ 13,696.16**
Total Long-Term					**$ 68,229.93**	**$ 53,686.88**	**$ 0.00**	**$ 14,543.05**

SIPC

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 7, 2014

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	Cost Basis	Wash Sale Loss Disallowed	Realized Gain or (Loss)
Total Short-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS. Report on Form 8949, Part I, with Box A checked.)*	$ 12,242.68	$ 11,442.58	$ 0.00	$ 800.10
Total Short-Term Realized Gain or (Loss)	$ 12,242.68	$ 11,442.58	$ 0.00	$ 800.10
Total Long-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS. Report on Form 8949, Part II, with Box A checked.)*	$ 6,520.84	$ 5,673.95	$ 0.00	$ 846.89
Total Long-Term Realized Gain or (Loss) *(Transactions are not reported on Form 1099-B or to the IRS. Report on Form 8949, Part II with Box C checked.)*	$ 61,709.09	$ 48,012.93	$ 0.00	$ 13,696.16
Total Long-Term Realized Gain or (Loss)	$ 68,229.93	$ 53,686.88	$ 0.00	$ 14,543.05
TOTAL REALIZED GAIN OR (LOSS)	$ 80,472.61	$ 65,129.46	$ 0.00	$ 15,343.15

The Hour · Classifieds 203.354.1100

SATURDAY, JUNE 21, 2014

treet · Norwalk, CT 06855 · Fax 203.846.9897 · www.thehour.com

REAL estate | AUTOS for sale | BUSINESS services | HELP wanted | MERCHANDISE for sale

PHONE HOURS
Monday - Friday
7:30 am – 5:30 pm

DEADLINES
In-Column 5:00 PM
One Publishing Day
Prior to Insertion

TICES 1 o REAL ESTATE 520-760 o TRANSPORTATION 105-108
BUSINESS SERVICES 3000-4000 o HELP WANTED 400-420 o MERCHANDISE 520-760

1 | legal notices 1 | help wanted 400 | business opportun

CE OF PRIMARY (Municipal Offices)

NOTICE OF PRIMARY (Municipal Offices)

Multi-Media

legal notices 1

NOTICE

The Fitzpatrick Foundation 2013 tax return is available for public inspection by any citizen who requests inspection (within 180 days of the date this notice is published) between the business hours of 9 00 a m and 5 00 p m Monday through Friday at 749 Boush Street, Norfolk, VA 23510 (757) 627-7672 or fax (757) 623-9740 The address and telephone number of the foundation's principal office is 2 Memory Lane, Rowayton, CT 06853 (203) 981-5530 The private foundation's principal manager is Mr James G Fitzpatrick

foreign autos 110

BMW 2007 328Xi AWD Fully loaded Dealer maintained 81k mi $11,000 firm (203) 866-5772

automotive wanted 130

CASH FOR CARS! Any Make, Model or Year. We Pay MORE! Running or Not Sell Your Car or Truck TODAY Free Towing! Instant Offer. 1-888-545-8647

CLASSIFIEDS
www.thehour.com

trucks 145

CHEVY 2006 SILVERADO LS
Auto, 2WD, 4 dr, 6 cyl, 40,000 miles, tool box and Trac rack included Excellent condition
$12,000
Call 203-247-2199

tag sales 230

2 FAMILY SALE 6/21
Sat, 9am No early birds Wild Rose Ln, Norwalk Household, toys, free stuff, clothes & more!

2 FAMILY SALE
Fri. 6/20 & Sat 6/21, 9am - 4pm @ 7 Gordon St., Norwalk (off Bartlet Manor) in Broad River Misc items and more!

2 FAMILY SALE
Sat 6/21, 9am - 4pm, @ 16 Luffberry Lane, Norwalk (across from Fire Station on West-port Ave) Misc items and more!

DARIEN MULTI FAMILY - 5 Harriett Lane 6/21 & 6/22 9 - 4 Generator, vinyl records, gas mower, gas grill, snow board, hockey, boating & windsurfing items, twin beds & bureau set, ping pong table, wicker & wood furniture, lamps, rugs and kids items Cash only

ESTATE SALE
Sat 6/21, 10-3 @ 8 Boxwood Rd, Norwalk. Furniture, pictures, toys and more!

Rocks Road, Norwalk. Treadmill, golf bag & clubs, household, bassinet, toys, furn, etc

HUGE TAG SALE
Baby & kid items, toys, clothing, housewares and more! 32 Dairy Farm Road, Norwalk Sat June 21 9am-3pm

MULTI FAMILY TAG SALE - Fri. & Sat., 8am - 4pm 1 Mystic Court, Norwalk. Toys, clothes, baby changing table, housewares

Spring Cleaning
Fri & Sat, 9am - 3pm
33 Dairy Farm Road
Norwalk

SUN. 6/22 ONLY!
Everything Must Go!
15 Creeping Hemlock Dr Norwalk off West Rocks Rd, 9-3pm Rain or Shine! Furn, plenty of kids items, entertainment items, sports stuff. No early birds Tons of stuff!

TAG SALE
6/21; 9am - 4pm
186 Greens Farms Rd
Westport
A lot of baby items, generator, clothes, small refrigerator, bikes, Stairmaster and much more!

Buy It! • Sell It!
Find It! • The Hour Classifieds 354-1100.

CLASSIFIEDS
www.thehour.com

9:30am - 3:30pm
19 Bethel St, Norwalk
Lots of name brand women's clothing, size small-medium, kitchen and housewares Everything priced to move!

TAG SALE
Saturday, June 21st
9am - 3pm
32 Linden St Norwalk
Tools, furniture, miscellaneous items

WHOLE HOUSE TAG SALE
Fri 6/20, 10-2pm & Sat. 6/21, 10-4pm @ 11 Peaceable Ridge Rd, Ridgefield Furniture, TVs, appliances, etc

miscellaneous 0235

ADVERTISE YOUR PRODUCT
Or service nationwide or by region in over 7 million households in North America's best suburbs! Place your classified ad in over 570 suburban newspapers just like this one Call Classified Avenue at 888-486-2466

DIRECT TV
2 Year Savings Event! Over 140 channels only $29.99 a month Only DirecTV gives you 2 YEARS of savings and a FREE Genie upgrade! Call 1-800-279-3018

SCREEN!
Standout from the others with a screened ad Ask your rep today
Classified 354-1100

Starting at $19.99/month (for 12 mos) & High Speed Internet starting at $14.95/month (where available) SAVE! Ask About SAME DAY Installation! CALL Now! 800-278-1401

MY COMPUTER WORKS COMPUTER PROBLEMS?
Viruses, spyware, email, printer issues, bad internet connections - FIX IT NOW! Professional, U S -based technicians $25 off service Call for immediate help 1-800-681-3250

PROTECT YOUR HOME
ADT Authorized Dealer Burglary, Fire, and Emergency Alerts 24 hours a day, 7 days a week! CALL TODAY, INSTALLED TOMORROW! 888-858-9457 (M-F 9am-9pm ET)

merchandise for sale 240

DINING ROOM HUTCH
80" H x 60" W x 18" D
$200. (203) 354-9215

RAINBOW PLAYGROUND SET
100% redwood, The Castle Pkg II $5600 (new) Asking $1250 (203) 858-3906

The Hour Classified is the Key to Finding Your New Home or Apartment
Classifieds 354-1100

miscellaneous for sale 250

DIRECTV
Starting at $24.95/mo Free 3-Months of HBO, starz, SHOWTIME & CINEMAX FREE RECEIVER Upgrade! 2014 NFL Sunday Ticket Included with Select Packages Some exclusions apply - Call for details
1-800-897-4169

GET A COMPLETE
Satellite System installed at NO COST! FREE HD/DVR Upgrade As low as $19.99/mo Call for details 877-388-8575

KILL BED BUGS & THEIR EGGS!
Buy Harris Bed Bug Killer Complete Treatment Program or Kit Available Hardware Stores, Buy Online homedepot com

KILL ROACHES
Buy Harris Roach Tablets. Eliminate Bugs-Guaranteed No Mess, Odorless, Long Lasting Available at Ace Hardware & The Home Depot.

help wanted 400

CARPENTERS/ FRAMERS WANTED
5 years experience + Must speak English/ have own tools/trans/ insurance Call Nick, 203-515-0280

is looking for a few good Technicians We offer training, a new facility and a great working environment. Work with some of the best GM techs around! Must have tools and transportation
Fax resume to
(203) 762-5083
or email brian@chevyofwilton com

employment services 430

AIRLINE JOBS
Start Here–Get Trained as FAA certified Aviation Technician Financial aid for qualified students Housing and job placement assistance CALL Aviation Institute of Maintenance 844-210-3935

GREAT MONEY FROM HOME!
With our FREE Mailer Program Live Operators On Duty Now 1-800-707-1810 ex 601 or visit pacificbrochures com

HELP WANTED!!
Make up to $1000 A Week Mailing Brochures From Home! Helping Home Workers since 2001! Genuine Opportunity! NO Experience Required!
www needmailers com

Acuras to Yugos
use THE HOUR CLASSIFEDS to find the right car for you
Classified 354-1100