



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation**

**2013**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
Do not enter Social Security numbers on this form as it may be made public.  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation <b>THE STEPHEN CASE FOUNDATION</b>		A Employer identification number <b>54-1848791</b>
Number and street (or P O box number if mail is not delivered to street address) <b>1717 RHODE ISLAND AVENUE, NW, SUITE 700</b>	Room/suite	B Telephone number <b>(202) 419-3116</b>
City or town, state or province, country, and ZIP or foreign postal code <b>WASHINGTON, DC 20036</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 647,333.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	4,000,000.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	74.	74.		STATEMENT 1
4 Dividends and interest from securities				
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10				
b Gross sales price for all assets on line 6a				
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	4,000,074.	74.		
13 Compensation of officers, directors, trustees, etc	488,554.	0.		488,544.
14 Other employee salaries and wages	908,589.	0.		908,589.
15 Pension plans, employee benefits	171,063.	0.		171,063.
16a Legal fees <span style="float:right">STMT 2</span>	33,432.	0.		33,432.
b Accounting fees <span style="float:right">STMT 3</span>	18,000.	0.		18,000.
c Other professional fees <span style="float:right">STMT 4</span>	503,746.	0.		503,746.
17 Interest				
18 Taxes				
19 Depreciation and depletion	15,602.	0.		
20 Occupancy				
21 Travel, conferences, and meetings	187,573.	0.		187,573.
22 Printing and publications				
23 Other expenses <span style="float:right">STMT 5</span>	306,768.	0.		306,424.
24 Total operating and administrative expenses. Add lines 13 through 23	2,633,327.	0.		2,617,371.
25 Contributions, gifts, grants paid	1,217,073.			1,217,073.
26 Total expenses and disbursements. Add lines 24 and 25	3,850,400.	0.		3,834,444.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	149,674.			
b Net investment income (if negative, enter -0-)		74.		
c Adjusted net income (if negative, enter -0-)			N/A	

SCANNED AUG 25 2014

Operating and Administrative Expenses

RECEIVED  
 AUG 22 2014  
 GREEN, UT

*Handwritten initials and a flourish.*

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	500.	500.	500.
	2 Savings and temporary cash investments	479,669.	573,900.	573,900.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
c Investments - corporate bonds				
11 Investments - land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶	286,768.			
Less: accumulated depreciation ▶	217,335.	46,276.	69,433.	
15 Other assets (describe ▶ STATEMENT 6 )		3,844.	3,500.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		530,289.	647,333.	
Liabilities	17 Accounts payable and accrued expenses	24,793.	13,863.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ DUE TO RCS )		25,941.	4,241.
23 Total liabilities (add lines 17 through 22)		50,734.	18,104.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds		479,555.	629,229.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds		0.	0.	
30 Total net assets or fund balances		479,555.	629,229.	
31 Total liabilities and net assets/fund balances		530,289.	647,333.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	479,555.
2 Enter amount from Part I, line 27a	2	149,674.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	629,229.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	629,229.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b	NONE		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	3,965,783.	960,691.	4.128053
2011	3,425,630.	1,750,411.	1.957043
2010	3,794,588.	4,575,010.	.829416
2009	4,336,472.	6,158,449.	.704150
2008	7,736,838.	12,694,578.	.609460

2 Total of line 1, column (d)	2	8.228122
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	1.645624
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	771,878.
5 Multiply line 4 by line 3	5	1,270,221.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1.
7 Add lines 5 and 6	7	1,270,222.
8 Enter qualifying distributions from Part XII, line 4	8	3,834,444.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	1.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	1.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	1.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	623.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	623.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	622.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> Refunded <input checked="" type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> DC, VA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.CASEFOUNDATION.ORG	X		
14	The books are in care of ► THE STEPHEN CASE FOUNDATION Telephone no. ► 202-419-3116 Located at ► 1717 RHODE ISLAND AVE, NW, 700, WASHINGTON, DC ZIP+4 ► 20036			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►		16	Yes No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		1b X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No  
 b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No  N/A

5b		X
6b		X
7b		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		488,553.	65,048.	6,049.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EMILY YU - 1717 RHODE ISLAND AVENUE, NW, SUITE 700, WASHINGTON, DC 2003	40.00	119,700.	16,454.	2,714.
LOUISE STORM - 1717 RHODE ISLAND AVENUE, NW, SUITE 700, WASHINGTON,	38.00	101,183.	25,435.	0.
KATHERINE AHERN - 1717 RHODE ISLAND AVENUE, NW, SUITE 700, WASHINGTON,	40.00	111,525.	11,665.	2,720.
SARAH KOCH - 1717 RHODE ISLAND AVENUE, NW, SUITE 700, WASHINGTON,	40.00	80,138.	3,919.	2,720.
GRANA GUILLERMO - 1717 RHODE ISLAND AVENUE, NW, SUITE 700, WASHINGTON,	29.00	66,463.	17,432.	1,125.
<b>Total number of other employees paid over \$50,000</b>				<b>0</b>



**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	710,699.
c	Fair market value of all other assets	1c	72,933.
d	<b>Total</b> (add lines 1a, b, and c)	1d	783,632.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	783,632.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	11,754.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	771,878.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	38,594.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	38,594.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	38,593.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	38,593.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	38,593.

**Part XII**

**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,834,444.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,834,444.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	3,834,443.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				38,593.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	7,108,869.			
b From 2009	2,986,372.			
c From 2010	3,609,777.			
d From 2011	3,326,039.			
e From 2012	3,917,750.			
f Total of lines 3a through e	20,948,807.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 3,834,444.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				38,593.
e Remaining amount distributed out of corpus	3,795,851.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	24,744,658.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	7,108,869.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	17,635,789.			
10 Analysis of line 9:				
a Excess from 2009	2,986,372.			
b Excess from 2010	3,609,777.			
c Excess from 2011	3,326,039.			
d Excess from 2012	3,917,750.			
e Excess from 2013	3,795,851.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶ \_\_\_\_\_  
 b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**  
 a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**SEE STATEMENT 8**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**  
 Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:  
**N/A**

b The form in which applications should be submitted and information and materials they should include:  
**N/A**

c Any submission deadlines:  
**N/A**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:  
**N/A**

**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SEE ATTACHED			SEE ATTACHED	1,217,073.
<b>Total</b>			▶ <b>3a</b>	1,217,073.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			▶ <b>3b</b>	0.





**Schedule of Contributors**

OMB No 1545-0047

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

**2013**

Name of the organization

**THE STEPHEN CASE FOUNDATION**

Employer identification number

**54-1848791**

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

501(c)( ) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

**LHA** For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization <b>THE STEPHEN CASE FOUNDATION</b>	Employer identification number <b>54-1848791</b>
--	---

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	STEPHEN M. CASE AND JEAN N. CASE 1717 RHODE ISLAND AVENUE, NW, SUITE 700  WASHINGTON, DC 20036	\$ 4,000,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	_____ _____ _____	\$ _____	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
_____	_____ _____ _____	\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization <b>THE STEPHEN CASE FOUNDATION</b>	Employer identification number <b>54-1848791</b>
--	---

**Part II** Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization <b>THE STEPHEN CASE FOUNDATION</b>	Employer identification number <b>54-1848791</b>
--	---

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ \_\_\_\_\_  
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

## Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**  
▶ **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

*Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

**Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

		Enter filer's identifying number
<b>Type or print</b>	Name of exempt organization or other filer, see instructions.  <b>THE STEPHEN CASE FOUNDATION</b>	Employer identification number (EIN) or  <b>54-1848791</b>
<small>File by the due date for filing your return. See instructions</small>	Number, street, and room or suite no. If a P.O. box, see instructions. <b>1717 RHODE ISLAND AVENUE, NW, SUITE 700</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>WASHINGTON, DC 20036</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**THE STEPHEN CASE FOUNDATION**

• The books are in the care of ▶ **1717 RHODE ISLAND AVE, NW, 700 - WASHINGTON, DC 20036**  
Telephone No. ▶ **202-419-3116** Fax No. ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:  
▶  calendar year **2013** or  
▶  tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

2 If the tax year entered in line 1 is for less than 12 months, check reason:  Initial return  Final return  Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	<b>1.</b>
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	<b>623.</b>
<b>c</b> <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	<b>0.</b>

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MONEY MARKET FUNDS	74.	74.	
TOTAL TO PART I, LINE 3	74.	74.	

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	33,432.	0.		33,432.
TO FM 990-PF, PG 1, LN 16A	33,432.	0.		33,432.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	18,000.	0.		18,000.
TO FORM 990-PF, PG 1, LN 16B	18,000.	0.		18,000.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STRATEGIC PROGRAM SUPPORT	217,875.	0.		217,875.
WEB AND TECHNOLOGICAL	58,938.	0.		58,938.
RESEARCH	66,000.	0.		66,000.
COMMUNICATIONS	120,997.	0.		120,997.
CREATIVE PRODUCTION	22,155.	0.		22,155.
MISCELLANEOUS	17,781.	0.		17,781.
TO FORM 990-PF, PG 1, LN 16C	503,746.	0.		503,746.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AMORTIZATION	344.	0.		0.
INSURANCE	1,079.	0.		1,079.
MISCELLANEOUS	20,584.	0.		20,584.
OFFICE SUPPLIES	27,235.	0.		27,235.
MEALS AND ENTERTAINMENT	2,970.	0.		2,970.
POSTAGE & MAILING	3,494.	0.		3,494.
REPAIRS & MAINTENANCE	27,698.	0.		27,698.
TELECOMMUNICATIONS	47,117.	0.		47,117.
TRAINING & RECRUITING	52,126.	0.		52,126.
MARKETING & PROMOTIONS	118,586.	0.		118,586.
MEMBERSHIP DUES	5,535.	0.		5,535.
TO FORM 990-PF, PG 1, LN 23	306,768.	0.		306,424.

FORM 990-PF OTHER ASSETS STATEMENT 6

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DOMAIN NAME, NET OF AMORTIZATION	3,844.	3,500.	3,500.
TO FORM 990-PF, PART II, LINE 15	3,844.	3,500.	3,500.



---

FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 8

---

NAME OF MANAGER

---

STEPHEN M. CASE  
JEAN N. CASE

THE STEPHEN CASE FOUNDATION  
 EIN: 54-1848791

DEPRECIATION SCHEDULE ATTACHED TO AND MADE PART OF  
 2013 FORM 990-PF,  
 RETURN OF PRIVATE FOUNDATION

Description	Date Place in Service	Life	Cost	Beginning Accumulated Depreciation	Current Year Depreciation	Ending Accumulated Depreciation
Furniture & Fixtures	01/01/02	7	24,926	24,926	0	24,926
Equipment	12/31/01	5	124,527	124,397	11	124,408
Partial Disposal			(2,244)	(1,557)		(1,557)
<i>Subtotal</i>			122,283	122,840	11	122,851
Office Equipment	07/31/07	5	2,125	2,125	0	2,125
Office Equip - CDW	08/28/07	5	1,516	1,516	0	1,516
Office Equip - Dell Marketing LP	08/30/07	5	1,913	1,913	0	1,913
Office Equip - Dell Marketing LP	09/30/07	5	2,258	2,258	0	2,258
Office Equip - RHG	01/03/08	5	2,000	2,000	0	2,000
Office Equip - Dell Marketing LP	01/22/08	5	3,441	3,384	57	3,441
Office Equip - Dell Marketing LP	02/12/08	5	1,617	1,590	27	1,617
Office Equip - Dell Marketing LP	03/11/08	5	1,656	1,601	55	1,656
Office Equip - CDW	04/01/08	5	2,388	2,269	119	2,388
Office Equip - PC Connection	04/16/08	5	1,472	1,398	74	1,472
Office Equip - Dell Marketing LP	06/03/08	5	2,104	1,929	176	2,104
Office Equip - Dell Marketing LP	07/01/08	5	1,353	1,218	135	1,353
Office Equip	07/02/08	5	2,342	2,108	234	2,342
Office Equip - Dell Marketing LP	07/08/08	5	2,031	1,828	204	2,031
Office Equip - Dell Marketing LP	07/08/08	5	1,656	1,491	165	1,656
Office Equip - Dell Marketing LP	07/15/08	5	2,031	1,828	204	2,031
Office Equip	09/18/08	5	4,354	3,700	653	4,354
Office Equip - Dell Marketing LP	10/02/08	5	2,149	1,826	323	2,149
Office Equip - Docking Stations	04/28/10	5	653	359	131	490
Office Equip - Latituted E4300	05/12/10	5	2,239	1,194	448	1,642
Office Equip - Latituted E4300	05/12/10	5	2,239	1,194	448	1,642
Office Equip - Latituted E4300	05/12/10	5	2,239	1,194	448	1,642
Office Equip - Latituted E4300	05/12/10	5	2,239	1,194	448	1,642
Office Equip - Optiplex 980	06/30/10	5	1,076	556	215	771
Office Equip - Screen Monitor	07/28/10	5	1,133	567	227	793
Office Equip - Optiplex 980	09/08/10	5	1,229	574	246	819
Office Equip - Monitor/Adaptor	10/22/10	5	617	278	123	401
Office Equip - Camcorder	10/22/10	5	4,054	1,824	811	2,635
Office Equip - Mac Book Pro	11/17/10	5	3,315	1,437	663	2,100
Office Equip	01/12/11	5	260	97	52	149
Office Equip	02/18/11	5	4,485	1,682	897	2,579
Office Equip	05/20/11	5	2,121	689	424	1,113
Office Equip - Macbook Pro	08/19/11	5	2,973	818	595	1,412
Office Equip	10/21/11	5	1,996	449	399	848
Office Equip	12/23/11	5	1,079	243	216	459
Office Equip - Computers	12/31/11	5	2,746	618	549	1,167
Office Equip - Computers	12/31/11	5	1,676	377	335	712
Office Equip	05/23/12	5	1,705	213	341	554
Office Equip	07/12/12	5	1,467	110	293	404

THE STEPHEN CASE FOUNDATION  
 EIN: 54-1848791

DEPRECIATION SCHEDULE ATTACHED TO AND MADE PART OF  
 2013 FORM 990-PF,  
 RETURN OF PRIVATE FOUNDATION

Description	Date Place in Service	Life	Cost	Beginning Accumulated Depreciation	Current Year Depreciation	Ending Accumulated Depreciation
Office Equip	07/24/12	5	2,461	185	492	677
Office Equip - Mac Book Air	08/24/12	5	1,772	133	354	487
Office Equip - Magic Trackpad	08/24/12	5	80	6	16	22
Office Equip - Mac Book Air	09/21/12	5	1,674	42	335	377
Office Equip - AV Microphone	11/30/12	5	13,243	331	2,649	2,980
Office Equip - Display	09/19/13	5	847		64	64
Office Equip - Display	10/21/13	5	1,694		42	42
Office Equip - Macbook Air	10/21/13	5	1,545		39	39
Office Equip - Laptop	10/24/13	5	1,086		27	27
Office Equip - Laptop	10/24/13	5	1,086		27	27
Office Equip - Laptop	10/24/13	5	1,086		27	27
Office Equip - Laptop	11/26/13	5	1,057		26	26
Office Equip - Laptop	12/11/13	5	1,383		35	35
Office Equip - Desk top	12/17/13	5	1,258		31	31
Office Equip - AV	12/19/13	5	17,000		425	425
Office Equip - Google Glass	12/19/13	5	1,590		40	40
Office Equip - Macbook Air	12/19/13	5	2,367		59	59
Office Equip - Displays	12/19/13	5	6,760		169	169
<i>Subtotal</i>			260,217	175,182	15,602	190,784
Telephone System	09/04/08	3	877	877	-	877
Telephone System	10/06/08	3	748	748	-	748
<i>Subtotal</i>			1,625	1,625	0	1,625
<b>Total</b>			<b>286,768</b>	<b>201,733</b>	<b>15,602</b>	<b>217,335</b>

THE STEPHEN CASE FOUNDATION  
EIN: 54-1848791

AMORTIZATION SCHEDULE ATTACHED TO AND MADE PART OF  
2013 FORM 990-PF,  
RETURN OF PRIVATE FOUNDATION

Description	Date Place In Service	Life	Cost	Beginning Accumulated Amortization	Current Year Amortization	Ending Accumulated Amortization	Fully Amortized
Domain Name	03/04/09	15	5,163	1,320	344	1,664	No
<b>Total</b>			<u>5,163</u>	<u>1,320</u>	<u>344</u>	<u>1,664</u>	

**THE STEPHEN CASE FOUNDATION**  
**EIN: 54-1848791**

**DONATION REPORT ATTACHED TO AND MADE PART OF**  
**2013 FORM 990-PF,**  
**RETURN OF PRIVATE FOUNDATION**

Recipient's Name and Address	Program	Tax Exempt Status	Purpose of Grant	2013 Amount
Accelerate Brain Cancer Cure, National Brain Tumor Society (Race for Hope) 1717 Rhode Island Ave., NW 7th Floor Washington, DC 20036	ABC2	Public Charity 509(a)(1)	Health Care	105,000
Accelerate Brain Cancer Cure Society (Over the Edge) 1717 Rhode Island Ave., NW 7th Floor Washington, DC 20036	ABC2	Public Charity 509(a)(1)	Health Care	5,500
Accelerate Brain Cancer Cure 1717 Rhode Island Ave., NW 7th Floor Washington, DC 20036	ABC2	Public Charity 509(a)(1)	In-Kind Support	2,083
Achieve, LLC 233 McCreia Street, Suite 200 Indianapolis, IN 46225	MCON/Report 2013		Expenditure Responsibility Grant Community	25,000
Asian Americans/Pacific Islanders in Philanthropy 211 Sutter St., Suite 600 San Francisco, CA 94108	General	Public Charity 509(a)(1)	General Support	250
The Aspen Institute One Dupont Circle NW #700 Washington, DC 20036	Secondary	Public Charity 509(a)(1)	Civic Engagement	25,000
City First Enterprises Inc. 1436 U Street NW Washington, DC 20009	Impact Investing	Public Charity 509(a)(1)	Impact Investing	25,000
City Year - DC 1875 Connecticut Avenue NW, Suite 1130 Washington, DC 20009	Secondary	Public Charity 509(a)(1)	Youth Development	50,000
Code org 1511 Third Avenue, Suite 301 Seattle, Washington 98101	New Initiatives	Public Charity 509(a)(1)	Education	15,000
Council on Foundations P.O. Box 75661 Baltimore, MD 21275-5661	General	Public Charity 509(a)(1)	General Support	700
Girls Who Code 28 W 23rd Street, 4th Fl New York, NY 10010	Fearless Forums	Public Charity 509(a)(1)	Education	10,000
The Give an Hour Nonprofit PO Box 5918 Bethesda, MD 20824	Secondary	Public Charity 509(a)(1)	Veterans Affairs	25,000
Global Kids, Inc. 1023 15th St, NW, 12 Fl Washington, DC 20005	Fearless Forums	Public Charity 509(a)(1)	Youth Development	5,000
Georgetown University Global Social Enterprise Initiative McDonough School of Business &O Street, NW Washington, DC 20057	Impact Investing	Public Charity 509(a)(1)	Impact Investing	100,000
Grantmakers for Effective Organizations 1725 DeSales St, MW #404 Washington, DC 20036	General	Public Charity 509(a)(1)	General Support	110
Holladay Family Fund c/o Fidelity Charitable Gift Fund 200 Seaport Blvd Boston, MA 02210	Secondary	Public Charity 509(a)(1) or 509(a)(2)	General Support	24,000
Impactassets Inc 7315 Wisconsin Ave Ste 1000W Bethesda, MD 20814	Impact Investing	Public Charity 509(a)(1)	Impact Investing	50,000

**THE STEPHEN CASE FOUNDATION**  
**EIN: 54-1848791**

**DONATION REPORT ATTACHED TO AND MADE PART OF**  
**2013 FORM 990-PF,**  
**RETURN OF PRIVATE FOUNDATION**

Recipient's Name and Address	Program	Tax Exempt Status	Purpose of Grant	2013 Amount
Independent Sector 1602 L St, NW Suite 900 Washington, DC 20036	General & Fearless Forum	Public Charity 509(a)(1) or 509(a)(2)	Community Development	6,500
National Geographic Society 1145 17th St NW Washington, DC 20036	Secondary	Public Charity 509(a)(2)	General Support	50,000
Network for Good, Inc 7920 Norfolk Ave, Ste 520 Bethesda, MD 20814	Various	Public Charity 509(a)(1)	General Support	99,473
New Venture Fund 1201 Connecticut Avenue, NW Washington, DC 20036	New Initiatives	Public Charity 509(a)(1)	Advancing Public Sector Prize Design	20,000
Non-Profit Technology Enterprise Network (NTEN) 1020 SW Taylor Street, #800 Portland, Oregon 97205	General & Web Promotion	Public Charity 509(a)(1)	General Support	5,475
Pacific Community Ventures 51 Federal Street San Francisco, CA 94107	Impact Investing	Public Charity 509(a)(1)	Impact Investing	25,000
PathNorth Inc. 1717 Rhode Island Avenue, NW #700 Washington, DC 20036	In-Kind - General	Public Charity 509(a)(1)	In-Kind Support	4,529
Points of Light Foundation 600 Means Street, Suite 210 Atlanta, GA 30318	Billion & Change	Public Charity 509(a)(1)	Community Development	50,000
Points of Light Foundation 1625 K Street, NW #500 Washington, DC 20006	National Service Conference	Public Charity 509(a)(1)	Community Development	25,000
Points of Light Foundation 600 Means Street, Suite 210 Atlanta, GA 30318	New Initiatives	Public Charity 509(a)(1)	Community Development	5,000
Popvox, LLC 1424 Ridgeway E Arnold, MD 21012-2433	Be Fearless		Expenditure Responsibility	23,200
Rid-All Foundation Inc. 14000 Chrstine Avenue Cleveland, OH 44105	Fearless Forums	Public Charity 509(a)(1)	Youth Development	7,500
Share Our Strength - No Kid Hungry PO Box 75475 MD 21275-5475	Baltimore, General	Public Charity 509(a)(1)	Ending Childhood Hunger	1,500
Startup Amenca Partnership, LLC Ewing Marion Kauffman Foundation 1717 Rhode Island Avenue, N W. #700 Washington, DC 20036	c/o Startup Amenca	Private Nonoperating Foundation 501(c)(3)	Expenditure Responsibility	275,000
Startup Amenca Partnership, LLC c/o Ewing Marion Kauffman Foundation 1717 Rhode Island Avenue, N W #700 Washington, DC 20036	Startup Amenca	Private Nonoperating Foundation 501(c)(3)	In-Kind Support	2,774
Startup Weekend 7th Avenue North Suite 116, #147 Seattle, WA 98101	1700 Startup Amenca	Public Charity 509(a)(2)	Community Development	23,479

**THE STEPHEN CASE FOUNDATION**  
**EIN: 54-1848791**

**DONATION REPORT ATTACHED TO AND MADE PART OF**  
**2013 FORM 990-PF,**  
**RETURN OF PRIVATE FOUNDATION**

<b>Recipient's Name and Address</b>	<b>Program</b>	<b>Tax Exempt Status</b>	<b>Purpose of Grant</b>	<b>2013 Amount</b>
Susan G. Komen Breast Cancer Foundation 5000 LBJ Freeway, Suite 250 Dallas, TX 75244	New Initiatives	Public Charity 509(a)(1)	Health Care	25,000
United Nations Foundation 1800 Massachusetts Avenue, NW Suite 400 Washington, DC 20036	New Initiatives	Public Charity 509(a)(1)	Power of Technology to Change World	50,000
Water for People 6666 W. Quincy Ave Denver, CO 80235	International	Public Charity 509(a)(1)	International Development	50,000
Total Contributions, gifts, grants paid				1,217,073

**THE STEPHEN CASE FOUNDATION**  
EIN: 54-1848791

**STATEMENT ATTACHED TO AND MADE PART OF  
2013 FORM 990-PF,  
RETURN OF PRIVATE FOUNDATION**

**PART XV, LINE 2:**

In addition to making monetary grants, the Stephen Case Foundation ("Case Foundation" or "Foundation") believes that it can better maximize the impact of its social investments (i.e., contributions) by developing and executing strategic philanthropic programs that address complex social problems through partnership, collaboration and the application of entrepreneurial models. As a result, the Foundation has, over time, shifted its funding resources more significantly toward the creation of these programs. The Foundation also continues to leverage its employees' and partners' expertise in social innovation, social networking, marketing, technology and other areas to provide programmatic support to third-party charitable organizations. Ultimately, this donation of time and service is intended to extend and further leverage monetary grants from either the Foundation or from other donors.

**Key 2013 Programs:**

Created and led by former technology executives and entrepreneurs, the Case Foundation embraces an entrepreneurial approach to social solutions that is rooted in effective communications and metrics-driven strategies. As such, the Foundation has recruited and developed a team of executives and practitioners that have become experts in combining technology platforms, entrepreneurial approaches and innovative collaborations to produce programs and initiatives that use cutting-edge tools and provide opportunities for the entire nonprofit sector and the people they serve. The following is an overview of some of the initiatives of the Foundation in 2013

- **Igniting Entrepreneurship (Startup America Partnership and UP Global)** – ~~With high unemployment and the need to fuel job growth continuing to take center stage,~~ the Case Foundation partnered with the Ewing Marion Kauffman Foundation in January 2011 to provide the initial funding and in-kind resources to launch the Startup America Partnership. The Partnership was created as an independent, private-sector response to President Obama's Startup America initiative, a White House campaign to celebrate, inspire, and accelerate high-growth entrepreneurship throughout the nation, and designed to be a three-year initiative. Realizing that no amount of philanthropic or government investment could substitute a healthy economy with Americans at work, the Case Foundation saw the opportunity to support the creation of an organization designed to implement strategic interventions to reverse trends making it harder to start and grow companies. This approach is consistent with the Foundation's prior track record of investing in economic development in the developing world through initiatives such as the U S - Palestinian Partnership, with a view that jobs and businesses are central to healthy communities.

In addition to providing funding for the organization, in 2013, the Case Foundation and the Kauffman Foundation, along with the leadership of the Startup America Partnership recognized the opportunity to continue the work of the Startup America Partnership beyond its initial three-year charge by partnering with an existing, like-minded organization that would exponentially increase the reach, depth and impact of the efforts to fuel entrepreneurship to create jobs and ultimately improve the health of communities. In May of 2013, the Case and Kauffman Foundations announced that the Startup America Partnership would join forces with Startup Weekend – an organization supporting the development and expansion of entrepreneurship worldwide – to create UP Global, a combined organization dedicated to empowering the entrepreneurial community and building networks that drive collaboration and strengthen entrepreneurs across the globe. The combination of the organizations created a community of more than 310,000 volunteers and alumni of related startup events and workshops in more than 500 cities, engaging with and/or creating more than 130,000 businesses in 2013.

The Case Foundation continues to provide UP Global strategic guidance on key areas including

organizational development, marketing and communications, in its efforts to support 1,000 thriving startup communities by 2016, and Steve Case serves as chairman of the organization

- **Be Fearless Campaign –**

In 2012, to mark its 15th anniversary, the Case Foundation launched the Be Fearless campaign, an effort focused on encouraging the philanthropic sector to adopt a more entrepreneurial approach in solving social challenges. In 2013, the Be Fearless campaign focused on educating social sector leaders, with an emphasis on how funders can support grantees that are embracing risk, learning from failure, and developing new partnerships in an effort to find innovative new ways to solve big social challenges.

When the Case Foundation launched the Be Fearless campaign, one of the organization's first commitments was to lead a discussion in the social good sector on how to embrace fearless approaches as a way to ignite innovation and impact. As part of that, the Case Foundation led an ongoing "Fearless Forums" series—educational sessions at conferences and other nonprofit gatherings to discuss what it takes to Be Fearless that continued through 2013. These sessions took various forms, including plenary presentations, panel discussions, webinars and pitch competitions, with the goal of explaining the principles of Be Fearless, highlight organizations that already embody these principles and inspire nonprofits and foundations to apply some of those changemaking philosophies.

Throughout 2013, the Case Foundation held 19 Be Fearless themed events, reaching more than 3,500 participants. This included a series of events at the Aspen Ideas Festival, culminating in a pitch competition featuring five Aspen Scholars from various backgrounds and industries. Each scholar shared more on their social good project and how it embodied the Be Fearless principles with a panel of judges representing the business and social good communities, and one winner – Girls Who Code - was selected to receive a \$25,000 grant from the Case Foundation.

- **Impact Investing –** The burgeoning impact investing market – the opportunity to invest in organizations that generate both a financial and social return – has huge potential to make money more effective at creating value for both shareholders and stakeholders. In 2013, the Case Foundation worked with visionary thinkers and influential investors with the goal of moving impact investing from a niche concept to a mainstream solution for tackling some of the world's biggest challenges. We supported a range of initiatives to accelerate the progress of impact investing:
  - *U.S. National Advisory Board to the Global Social Impact Investment Task Force* The Case Foundation is providing ongoing support to the U.S. National Advisory Board to the Global Social Impact Investment Task Force through the participation of Jean Case, CEO of the Case Foundation, and members of the foundation's staff. The Global Social Impact Investment Task Force was launched by the British Government in London in the fall of 2013, and the Advisory Board provided recommendations to the task force from leaders in the U.S. The Board brings together prominent members from across the business and nonprofit sectors to identify and frame policies at the federal, state and local level that support the growing field of impact investing.
  - *Impact Investing 2.0* In partnership with the Center for Advancement of Social Entrepreneurship (CASE) at Duke University, PCV Insight, and Impact Assets, and a number of other funders, the Foundation supported the dissemination of a study entitled, *Impact Investing 2.0: Insight from 12 Outstanding Funds*. The study and corresponding case studies was released in 2014 and was the culmination of two years of research—providing much needed data and analysis on how impact investing funds can provide both financial and social returns to investors.
  - *SOCAP13*. The Foundation served as Impact Assets' fiscal sponsor in support of the SOCAP13 conference. The annual conference drew close to 1,800 attendees and is the largest regular interdisciplinary gathering of impact investors and social entrepreneurs in the United States. The convening attracts leading global innovators, investors, foundations, institutions and social entrepreneurs looking to meet at the crossroads of money and purpose. SOCAP13 tracks were designed to help increase the flow of capital towards social good. As a sponsor, the Foundation

provided complementary programming for participants and hosted an impact investing 101 workshop with 50 attendees designed to educate. The Foundation also conducted more than twenty interviews with thought leaders and practitioners in the financial sector.

- *Georgetown University*: The Foundation also partnered with the McDonough School of Business at Georgetown University to support a range of impact investing initiatives, with an initial focus on policy.

- **Celebrating the Next Generation of Changemakers [The Millennial Impact Project]**

- Since 2009, the Foundation has supported the Millennial Impact Project to help organizations better understand how Millennials connect, get involved and give with and to causes about which they are passionate. The Foundation believes the Project, which consists of both research and a complementary convening can help to advance the larger conversation around Millennial engagement. By fostering a deeper and better understanding of our “next greatest generation,” the Foundation can help organizations and companies work with this generation to create more meaningful impact.
  - *The Millennial Impact Report*. The 2013 report dove deeper than in past years to showcase the influence of peer engagement, design and messaging, and highlight how it all combines to encourage Millennials to act in the moment. The Millennial Impact Report introduced a new onboarding system for Millennial engagement that will help transform invested Millennials not just into donors, but also into champions, evangelists, and long-term supporters. New this year, Achieve conducted usability testing that captured 100 of the survey participants responses via video of nine nonprofits’ online presences (i.e , website, social media pages (Facebook and Twitter), emails and mobile pages). The free report offered not only significant new research on the Millennial generation, but also a practical breakdown of what the information means, and what leaders can do with that information – today – to improve their engagement with this rising generation. The team at Achieve recorded more than 5,000 downloads of the report as of September 2013.
  - *MCON2013*: The 2013 Millennial Engagement Conference (MCON13) was an in-person and online experience held in Indianapolis, IN, where cause leaders convened to learn from more than 30 leading experts how to better engage with the next generation. Nearly 300 attended in person, and more than 10,000 viewers logged in online to watch via the free livestream over the course of the one-day conference. As the lead sponsor for MCON13, the Foundation activated its supporting role by accepting a speaking invitation to participate during the live streamed morning show, participating on the speaker curation committee; securing other potential sponsors; offering guidance and technical support for MCON13 related social media extensions, drafting thought-leadership pieces and placing in various publications; participating in media interviews to promote the conference; and assisting the organizer of the convening with evaluation and recording of metrics related to the success of the conference. The event earned more than 2.6 million social media impressions and more than 75 media placements.
- *Social Citizens*: Created in 2008, the Foundation launched an effort called Social Citizens to look more closely at how this generation was, through their collective actions, creating an epic shift in the way youth engage with consumer goods, spend their time and money and share information in a way that no generation before them had done. This project began in the form of a white paper and over the next five years manifested into a Twitter handle @SocialCitizen, followed by a website that lived at SocialCitizens.org. In April of 2013, the Foundation completed an internal assessment of the project and determined that it would be better served under the guidance of a new steward— Collaborative Fund.

The Foundation’s goal in transferring the ownership of Social Citizens is that it will evolve into a testing ground for disruptive and innovative engagement strategies focused on creating opportunities

for dynamic discussion and action by Millennials and the next generation of social advocates, entrepreneurs, and innovators, as well as those who support these individuals.

- *Cause for Change*: In February 2013, Derrick Feldmann and Kari Dunn Saratovsky published a book titled, *Cause for Change*. The book focused on identifying new insights about the next generation and provided suggestions for how organizations could align with Millennials through causes, issues, jobs and interest. Much of the findings were based in research conducted during the Millennial Impact Project. To support the publication, Jean Case, CEO of the Case Foundation authored a forward note for the book providing unique insights through her corporate and nonprofit experiences with Millennials and the social sector. In addition, a Case Foundation representative moderated a livestreamed conversation with the authors.
- **A Billion + Change** - The Case Foundation's work to expand the movement for corporations to provide skills-based pro bono services to nonprofits began in 2008, when A Billion + Change was launched as a public-private partnership by the President's Council on Service and Civic Participation (then chaired by Jean Case, CEO of the Case Foundation). Since then, more than 500 companies have pledged over \$2 billion in pro-bono (skills-based) service—the largest commitment of pro bono service in history.

The Case Foundation continued its support for A Billion + Change—now housed within the Points of Light Institute—in 2013, which enabled it to focus on inspiring *more* companies to do pro bono and develop new initiatives leading to *better* pro bono volunteering opportunities. The Case Foundation supported A Billion + Change through ongoing guidance as well to help the campaign team progress toward its campaign goals throughout 2013. Notable highlights in which the Foundation team was involved include the following.

- Participation on the executive search team: Interviewed and vetted several potential candidates for the "Lead Catalyst" position as well as the Communications/public relations manager position.
- Creation and promotion of a Pro Bono Week infographic and promotion: In celebration of Pro Bono Week 2013, A Billion + Change partnered with the Case Foundation in October to publish the campaign's first infographic. The Foundation partnered with *Fast Company* to place the infographic, which was then highlighted by many other outlets.
- Attendance and participation at the organization's annual leaderships strategy meeting. The key stakeholders and leading partners of A Billion + Change gathered for a full-day strategy session meeting to discuss and develop the future strategy for the campaign. The Case Foundation contributed to the development and execution of this convening.
- **Serving as a social sector resource through digital properties** – In 2013, the Foundation continued to focus on leveraging its website ([www.casefoundation.org](http://www.casefoundation.org)) as a resource for partners, grantees and the general public to become better informed on topics such as social media for nonprofits, strategies for effective giving, corporate citizenship and civic engagement; and to have the right tools to take action on issues they care about most. In an effort to enhance the relevance of the site and to better serve its audience, the Foundation conducted an evaluation of its primary online platforms, including CaseFoundation.org, Facebook and Twitter in 2013 to better understand the effectiveness of the organization's online presence. The Foundation engaged with Weber Shandwick, a communications and digital strategy firm, to identify the evolving needs of the Foundation's audiences and to assess how the Foundation may address those needs online.

During the course of the year, the two teams worked closely to conduct interviews with key stakeholders of the Foundation; conduct an online survey of email subscribers to the Foundation; and review analytics and data from the online platforms. From this audit, the teams created: a high-level strategy for guidance of the Foundation's identified channels; a collection of actionable insights to address current user flow and website design challenges; a list of recommendations for building effective processes to manage future digital channels, suggested parameters for allocating staff resources and time; and identification of metrics to evaluate success across digital channels. These learnings and insights will be translated into

meaningful changes on the website and specific social platforms in the coming year(s)

On a day-to-day basis, the Foundation's website and social media channels are managed and maintained by Foundation staff, which functions like an internal editorial team. The team blogs, tweets, develops, and executes cause campaigns and marketing promotions, tracks analytics, makes course corrections along the way and proactively engages with viewers and followers to ensure content is having the desired impact.

In addition, the Foundation effectively leveraged various social media networks, including Twitter and Facebook to provide useful, timely and relevant information related to Foundation programming and the social sector. In addition, the Foundation engaged others on Instagram, LinkedIn, Google+ and various other platforms to extend its reach and interaction with followers that ultimately sparked conversations and empowered individuals and organizations to take meaningful action in their communities and around the world. One such example of a targeted social media engagement was the Foundation's back-to-school themed challenge hosted on Instagram. The Foundation invited individuals to share a video about who taught them to be fearless. The team partnered with the National Society for High School Scholars and created a custom platform hosted on Facebook for the challenge. At the conclusion of the one-month campaign a total of 255 entries had been counted with 37 videos submitted. After an analysis of the campaign and its many challenges, the Foundation shared its top learnings via a blog post on [casefoundation.org](http://casefoundation.org) so others could learn from this campaign.

- **Technology Leadership –**

- *Giving Graph*: At the 2013 South by Southwest conference in Austin, TX, the Case Foundation presented a concept called the "Giving Graph." The presentation was intended to test the waters on the issue of data and the nonprofit sector; and test to see what kind of interest and traction there was around the idea of combined data and for good consumer targeting. The Foundation published a blog post with the concept detailed at the same time and received tremendous positive feedback—and a clear call for further information. At the same time, there was a growing movement among several peers in the social sector space to address the lack of data collection, storage, and sharing in the nonprofit sector. ~~Many groups both inside and outside of that movement were intrigued by the idea of a common "Giving Graph" similar to the social graph used by Facebook.~~

The Foundation team held a dozen partner meetings and calls over the course of several months to socialize the idea and garner feedback. In addition, the team conducted a nonprofit data analysis project with the University of Chicago Data Science for Social Good summer fellowship led by Rayid Ghani. By the end of the University of Chicago data project, the team came to the conclusion that there are many other players in this space and that the Foundation's efforts could be best spent supporting their work. Consequently, the Foundation ceased with advancing plans to launch a full initiative around the Giving Graph. We continue to engage with these organizations around better data management in the nonprofit sector and hope that the Giving Graph can be a concept brought forth by one of these partner organizations.

- *#GivingTuesday*: The Case Foundation was a founding partner of #GivingTuesday, an effort led by the United Nations Foundation and the 92nd Street Y to kick off the holiday charitable giving season in the same fashion as Black Friday or Cyber Monday kick off the holiday shopping season. As part of its #GivingTuesday 2013 efforts, the Foundation celebrated gifts of giving with *The 5 Giving Tuesdays* throughout the 2013 holiday season. The two-part campaign featured its own twist on #GivingTuesday, where every Tuesday from December 3, 2013, through January 7, 2014, the Foundation and its partners spotlighted one of five creative ways to give back. This was one of the first campaigns to ever experiment with extending the longevity and relevance of #GivingTuesday since its creation.
  - The Foundation partnered with Network for Good and SixDegrees.org to run a \$75,000 matching donation/prize challenge on another partner's site, CrowdRise. Beginning on #GivingTuesday (December 3, 2013), donors could contribute to any of the registered

nonprofits through January 6, 2014. Leading up to the campaign launch, the Foundation and its partners promoted the opportunity to a wide variety of networks, hosted training sessions for organizations interested in participating; and placed media messages and articles to spread the word about the opportunity for individuals to support the participating organizations. These efforts resulted in 154 nonprofits registered (eligible to receive donations); \$119,210 donated (does not include any match dollars) by 986 individuals through 1,085 donations.

- To complement the match campaign and to foster online engagement that would ultimately promote action among participants, the Foundation, with a number of partners integrated a sweepstakes component (featuring \$15,000 worth of prizes and incentives) to encourage individuals to give one of five "gifts of good." These gifts included: donations; time; products that supports an issue; warmth and resolutions to do good in the new year. Each visitor could commit to give one of the "gifts" for a chance to win various prizes. Partners in this effort included: A Billion + Change, Benevolent, Charity Miles, EcoVessel, One Warm Coat; TOMS, Tory Burch Foundation, TIMEX and Twice As Warm. These efforts resulted in: 11,680 visits to the campaign website and nearly 8,500 commitments from visitors to give a gift of good. The prompts to participate in #GivingTuesday via social media from the Case Foundation and its partners earned close to 19 million impressions on Twitter and more than 630,000 impressions on Facebook over the course of the campaign
- o *Social Media Training* – through the Foundation's web presence (videos and blogs), and several speaking appearances and workshops, the Foundation continued to help educate nonprofits and other organizations on how to leverage social media more effectively to communicate with key audiences and as part of a broader marketing strategy.

### **Powerful Partnerships: High Engagement Philanthropy**

Core to the Foundation's operational model is the belief in a venture-capital inspired approach to philanthropy, wherein it is highly engaged with its grantees to ensure impact and a significant social return on investment. In addition to ongoing meetings and check-ins, the Foundation often provides grantees with web, marketing, communications, and program support. This support manifests itself as executives on loan, strategic counseling sessions (individuals or groups), sharing and discussing lessons learned and best practices and hosting convenings with thought leaders. In 2012, the following two organizations exemplified the typical high-engagement relationship with Foundation partners:

- **Accelerate Brain Cancer Cure ("ABC2")**- ABC2 partners with leading entrepreneurs, scientists, and researchers to find a cure for brain cancer. In 2013, ABC2 led the first-ever joint meeting with the National Cancer Institute to plan new clinical trial studies, it also supported a major new brain cancer vaccine initiative at the University of Florida and began development of the first large scale coordinated "precision medicine" initiative for glioblastoma multiforme (GBM), the most common type of malignant brain tumor.

Accelerate Brain Cancer Cure is co-housed with the Case Foundation and in 2013, the Foundation lent ongoing communications, marketing, and technology support to the ABC2 team. Foundation staff helped with website updates and support both for the organization and their annual Florida Brain Cancer Walk, Race for Hope-DC and Over The Edge-San Diego fundraising events, provided strategic and tactical communications support for major announcements and initiatives, and provided ongoing counsel from senior program staff on organization strategy and partnership development.

The Race for Hope-DC had a record-breaking year in 2013, its 16th anniversary. The total raised was \$2,600,000 from 597 teams, the top ten of which raised more than \$30,000 each. There was also a record breaking 360 brain cancer survivors who participated in the race, along with more than 11,000 others. Proceeds enabled Accelerate Brain Cancer Cure to fund novel translational research and investments in early-stage biotechnology companies to hasten the discovery of a cure for brain cancer.

The Race for Hope-DC also had support from local television, radio, and web media in the form of PSAs and race day coverage.

In 2013 ABC2 also hosted its first Over the Edge for Brain Cancer event. This two-day event included more than 50 "edgers" who raised funds for ABC2 in exchange for the once-in-a-lifetime opportunity to rappel down the tallest building on the San Diego skyline, the Manchester Grand Hyatt. Several notable edgers joined in the day including American Idol® winner David Cook, Dr. Bob Carter, Chief of Neurosurgery, UC San Diego Health System, and endurance athlete and Ironwoman BethAnn Telford, and the event raised \$140,000.

The 5th Anniversary of the Florida Brain Cancer 5K raised more than \$200,000. The walk supports the the Florida Center for Brain Tumor Research (FCBTR), established thanks to the efforts of former Representative Anne Gannon, to award more than \$575,000 in research grants to Florida based researchers. Beyond funding, they advocate for patients and bring together and engage brain tumor researchers, clinicians and patient advocates from Pensacola to Gainesville to Miami in order to strengthen Florida's brain tumor research ecosystem.

- **Water for People** - In late 2009, the Foundation partnered with Water for People to take its global water efforts to the next level. In 2012, the organization continued to provide strategic support to the Water for People team on marketing, communications, and program strategy. In addition, a senior vice president from the Foundation serves on the Water for People board, providing ongoing counsel and support to the organization. The Foundation's monetary and in-kind support played a crucial role in the continued development of Water for People's "Re-imagine Reporting" platform, a new approach to reporting that provides an interactive, visually compelling way for donors, stakeholders, and the public to understand the organization's progress and outcomes while also allowing for analysis and review of initiatives for programmatic improvements. In addition to providing enhanced visibility to its donors, Water for People also hopes that the Re-imagine Reporting platform will help drive the dialogue around organizational transparency in the broader social sector.

**THE STEPHEN CASE FOUNDATION**  
**EIN: 54-1848791**

**STATEMENT ATTACHED TO AND MADE PART OF**  
**2013 FORM 990-PF, RETURN OF PRIVATE FOUNDATION**  
**EXPENDITURE RESPONSIBILITY GRANT**  
**ACHIEVE, LLC – MILLENNIAL DONOR SUMMIT**

Pursuant to IRC Regulation 53.4945-5(d)(2), the Stephen Case Foundation provides the following information:

**Grantee:** Achieve, LLC  
233 McCrea Street, Suite 200  
Indianapolis, IN 46225

**Date of Grant:** February 8, 2013

**Amount of Grant:** \$25,000

**Purpose of Grant:** To support the 2013 Millennial Impact Project

**Amount Expended:** \$25,000

**Reports:** February 15, 2014

**Diversions:** To the knowledge of The Stephen Case Foundation, no funds were diverted to any other activity other than the activity for which the grant was originally made.

**Verification:** The Stephen Case Foundation has no reason to doubt the accuracy or reliability from the grantee, therefore, no independent verification was performed.

**THE STEPHEN CASE FOUNDATION**  
**EIN: 54-1848791**

**STATEMENT ATTACHED TO AND MADE PART OF**  
**2013 FORM 990-PF, RETURN OF PRIVATE FOUNDATION**  
**EXPENDITURE RESPONSIBILITY GRANT**  
**POPVOX, LLC**

Pursuant to IRC Regulation 53.4945-5(d)(2), the Stephen Case Foundation provides the following information:

**Grantee:** Popvox, LLC  
1424 Ridgeway E  
Arnold, MD 21012-2423

**Date of Grant:** April 25, 2013 & December 11, 2013

**Amount of Grant:** \$23,200

**Purpose of Grant:** To support the production of TEDxMidAtlantic 2013

**Amount Expended:** \$23,200

**Reports:** January 30, 2014

**Diversions:** To the knowledge of The Stephen Case Foundation, no funds were diverted to any other activity other than the activity for which the grant was originally made.

**Verification:** The Stephen Case Foundation has no reason to doubt the accuracy or reliability from the grantee, therefore, no independent verification was performed.

**THE STEPHEN CASE FOUNDATION**  
**EIN: 54-1848791**

**STATEMENT ATTACHED TO AND MADE PART OF**  
**2013 FORM 990-PF, RETURN OF PRIVATE FOUNDATION**  
**EXPENDITURE RESPONSIBILITY GRANT**  
**STARTUP AMERICA PARTNERSHIP, LLC**

Pursuant to IRC Regulation 53.4945-5(d)(2), the Stephen Case Foundation provides the following information:

**Grantee:** StartUp America Partnership, LLC and Ewing Marion Kauffman Foundation  
1717 Rhode Island Avenue, N.W. #700  
Washington, DC 20036

**Date of Grant:** April 22, 2013 & June 14, 2013

**Amount of Grant:** \$275,000\*\*

**Purpose of Grant:** In response to President Obama's call to celebrate, inspire and accelerate entrepreneurship in the United States.

**Amount Expended:** \$275,000

**Reports:** March 11, 2014

**Diversions:** To the knowledge of The Stephen Case Foundation, no funds were diverted to any other activity other than the activity for which the grant was originally made.

**Verification:** The Stephen Case Foundation has no reason to doubt the accuracy or reliability from the grantee, therefore, no independent verification was performed.

\*\*Part of an overall grant which included in-kind services