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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning

, and ending

Name of foundation
THE STANBROOK FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)
C/O TCVA, 9030 STONY POINT PARKWAY

Room/suite
300

City or town, state or province, country, and ZIP or foreign postal code
RICHMOND, VA 23235-1936

A Employer identification number
54-1794883

B Telephone number
804.272.9044

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply:
 Initial return
 Final return
 Address change
 Initial return of a former public charity
 Amended return
 Name change

H Check type of organization:
 Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust
 Other taxable private foundation

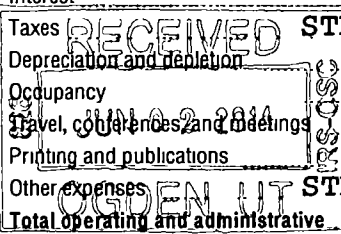
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 390294.

J Accounting method:
 Cash
 Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	320056.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6.	6.		STATEMENT 1
	4 Dividends and interest from securities	5704.	5704.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2046.			
	b Gross sales price for all assets on line 6a	9986.			
	7 Capital gain net income (from Part IV, line 2)		2046.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	327812.	7756.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 3 1050.	0.		0.
	c Other professional fees	STMT 4 1615.	1615.		0.
	17 Interest				
	18 Taxes	STMT 5 120.	120.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 6 25.	0.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	2810.	1735.		0.
	25 Contributions, gifts, grants paid	60000.			60000.
26 Total expenses and disbursements. Add lines 24 and 25	62810.	1735.		60000.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	265002.				
b Net investment income (if negative, enter -0-)		6021.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	3042.	8794.	8794.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	0.	132919.	131361.
	b Investments - corporate stock STMT 9	0.	46616.	50999.
	c Investments - corporate bonds STMT 10	0.	34078.	34163.
	11 Investments - land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 11	89380.	136662.	164977.
	14 Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
15 Other assets (describe ▶ _____)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	92422.	359069.	390294.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ _____)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	92422.	359069.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	92422.	359069.	
31 Total liabilities and net assets/fund balances	92422.	359069.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	92422.
2 Enter amount from Part I, line 27a	2	265002.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	1645.
4 Add lines 1, 2, and 3	4	359069.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	359069.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a TRUST CO OF VIRGINIA SEE ATTACHED	P		
b TRUST CO OF VIRGINIA SEE ATTACHED	P		
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2391.		2046.	345.
b 7595.		5894.	1701.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			345.
b			1701.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	2046.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	9982.	100419.	.099403
2011	9975.	109513.	.091085
2010	4294.	102610.	.041848
2009	6029.	86169.	.069967
2008	6624.	121486.	.054525

2 Total of line 1, column (d)	2	.356828
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.071366
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	222814.
5 Multiply line 4 by line 3	5	15901.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	60.
7 Add lines 5 and 6	7	15961.
8 Enter qualifying distributions from Part XII, line 4	8	60000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	60.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	60.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	60.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	486.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	486.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	426.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> 426. Refunded <input checked="" type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>VA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>THE TRUST COMPANY OF VIRGINIA</u> Telephone no. ► <u>(804) 272-9044</u> Located at ► <u>9030 STONY POINT PARKWAY SUITE 300, RICHMOND, VA</u> ZIP+4 ► <u>23235-1936</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years: _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No ► _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARYCLAY SMITH 3665 OLD RIVER TRAIL POWHATAN, VA 23139	DIRECTOR 1.00	0.	0.	0.
JEFFREY S SMITH 109 GARDEN DRIVE FAIRPORT, NY 14450	VICE PRESIDENT 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	193157.
b	Average of monthly cash balances	1b	33050.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	226207.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	226207.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	3393.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	222814.
6	Minimum investment return. Enter 5% of line 5	6	11141.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	11141.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	60.
2b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	60.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	11081.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	11081.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	11081.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	60000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	60000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	60.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	59940.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				11081.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012	4533.			
f Total of lines 3a through e	4533.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 60000.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				11081.
e Remaining amount distributed out of corpus	48919.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	53452.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	53452.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012	4533.			
e Excess from 2013	48919.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:
a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
 Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
A GRACE PLACE ADULT CARE CENTER 8030 STAPLES MILL ROAD RICHMOND, VA 23228	NONE	PUBLIC CHARITY	GENERAL	1500.
FEEDING THE ORPHANS 505 CAVE CREEK RD LOUDON, TN 37774	NONE	PUBLIC CHARITY	GENERAL	26000.
THE ASSISI INSTITUTE 1400 N WINTON RD ROCHESTER, NY 14609	NONE	PUBLIC CHARITY	GENERAL	28000.
ST MARY'S CATHOLIC CHURCH 9505 GAYTON ROAD RICHMOND, VA 23229	NONE	PUBLIC CHARITY	GENERAL	1000.
ST MICHAEL CATHOLIC CHURCH 4491 SPRINGFIELD ROAD GLEN ALLEN, VA 23060	NONE	PUBLIC CHARITY	GENERAL	1000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				60000.
b Approved for future payment				
NONE				
Total				0.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE STANBROOK FOUNDATION

Employer identification number

54-1794883

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

- 501(c)() (enter number) organization
- 4947(a)(1) nonexempt charitable trust not treated as a private foundation
- 527 political organization

Form 990-PF

- 501(c)(3) exempt private foundation
- 4947(a)(1) nonexempt charitable trust treated as a private foundation
- 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization THE STANBROOK FOUNDATION	Employer identification number 54-1794883
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BEQUEST-STANLEY SMITH ESTATE PAID BY STANLEY SMITH IRA-TRUST CO OF VA, TTEE 9030 STONY POINT PARKWAY RICHMOND, VA 23235	\$ 144954.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	BEQUEST-STANLEY SMITH ESTATE PAID BY STANLEY SMITH IRA-TRUST CO OF VA, TTEE 9030 STONY POINT PARKWAY RICHMOND, VA 23235	\$ 175102.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$ _____	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

THE STANBROOK FOUNDATION

54-1794883

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	DONATION OF SECURITIES SEE ATTACHED LIST	\$ 175102.	08/23/13

Name of organization THE STANBROOK FOUNDATION	Employer identification number 54-1794883
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Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
TRUST COMPANY OF VIRGINIA	6.	6.	
TOTAL TO PART I, LINE 3	6.	6.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
TRUST COMPANY OF VIRGINIA	5704.	0.	5704.	5704.	
TO PART I, LINE 4	5704.	0.	5704.	5704.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
	1050.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1050.	0.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ASSET MANAGEMENT FEES	1615.	1615.		0.
TO FORM 990-PF, PG 1, LN 16C	1615.	1615.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID ON DIVIDENDS	120.	120.		0.
TO FORM 990-PF, PG 1, LN 18	120.	120.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT 6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SCC REGISTRATION FEES	25.	0.		0.
TO FORM 990-PF, PG 1, LN 23	25.	0.		0.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 7
DESCRIPTION		AMOUNT
2013 DIVIDEND THROWBACKS TO 2012		26.
ADJUST ASSETS DONATED TO BASIS		1619.
TOTAL TO FORM 990-PF, PART III, LINE 3		1645.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 8

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	X		10470.	10470.
SEE ATTACHED		X	122449.	120891.
TOTAL U.S. GOVERNMENT OBLIGATIONS			10470.	10470.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			122449.	120891.
TOTAL TO FORM 990-PF, PART II, LINE 10A			132919.	131361.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCK-SEE ATTACHED	37183.	41566.
PREFERRED STOCK-SEE ATTACHED	9433.	9433.
TOTAL TO FORM 990-PF, PART II, LINE 10B	46616.	50999.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	34078.	34163.
TOTAL TO FORM 990-PF, PART II, LINE 10C	34078.	34163.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED	COST	136662.	164977.
TOTAL TO FORM 990-PF, PART II, LINE 13		136662.	164977.

THE STANBROOK FOUNDATION
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Description		12-31-2012	12-31-2012	
		Basis	Basis	Market Value
Common Stock				
CVS	CVS CAREMARK CORP COM	75,000	4,611.75	5,367.75
IBM	INTERNATIONAL BUSINESS MACHS CORP	25,000	4,385.50	4,689.25
MAT	MATTEL INC COM	150,000	6,403.50	7,137.00
MPC	MARATHON PETE CORP COM	50,000	3,661.50	4,586.50
PEP	PEPSICO INC COM	25,000	2,090.75	2,073.50
R	RYDER SYS INC COM	100,000	6,329.00	7,378.00
T	AT&T INC COM	150,000	5,297.50	5,274.00
XOM	EXXON MOBIL CORP COM	50,000	4,403.50	5,060.00
TOTAL COMMON STOCK			0.00	37,183.00
Preferred Stock				
BCS PA	BARCLAYS BK PLC SPONSORED ADR REPSTG	250,000	\$6,293.90	\$6,292.50
MER PE	MERRILL LYNCH PFD CAP TR IV TR	125,000	\$3,139.38	\$3,140.00
TOTAL PREFERRED STOCK			0.00	9,432.88
US Governments & Agencies				
31331X-UU-4	FEDERAL FARM CR BKS CONS SYSTEMWIDE	10,000,000	\$10,470.31	\$10,131.10
TOTAL US GOVT & AGENCIES			0.00	10,470.31
State & Municipals				
140443-AK-8	CAPITAL REGION ARPT COMMN VA C REV	5,000,000	\$5,067.82	\$5,021.80
271371-TR-7	EAST CAROLINA UNIV N C UNIV RE GEN	10,000,000	\$10,896.50	\$10,717.00
34160W-LG-7	FLORIDA ST DEPT ENVIRONMENTAL REV	10,000,000	\$10,743.47	\$10,591.90
454624-EP-8	INDIANA BD BK REV SCH SEVERANCE FDG	10,000,000	\$10,471.75	\$10,343.50
55539P-AM-0	MACOMB INTERCEPTOR DRAIN DRAIN DRAIN	10,000,000	\$10,148.40	\$9,989.40
64983U-R2-8	NEW YORK ST DORM AUTH REVS REF BDS	10,000,000	\$10,461.20	\$10,230.80
64986A-VG-3	NEW YORK ST ENVIRONMENTAL FACS REV	5,000,000	\$5,238.75	\$5,217.55
927781-VQ-4	VIRGINIA COLLEGE BLDG AUTH VA ED FAC	5,000,000	\$5,260.90	\$5,154.20
92812U-KE-7	VIRGINIA ST HSG DEV AUTH COMWL	10,000,000	\$10,238.00	\$10,078.90
92812Q-VT-1	VIRGINIA ST HSG DEV AUTH RENTAL HSG	10,000,000	\$11,379.00	\$11,234.60
967171-ND-7	WICHITA FALLS TEX INDPT SCH DI GO	10,000,000	\$10,778.60	\$10,722.50
97705L-EV-5	WISCONSIN ST GO BDS 2004 E	10,000,000	\$10,605.50	\$10,628.00
981305-6X-8	WORCESTER MASS DCU SPL IMPT BDS 2010	10,000,000	\$11,158.70	\$10,960.80
TOTAL STATE & MUNICIPALS			0.00	122,448.59
Corporates				
205887-BD-3	CONAGRA FOODS INC SR NT	10,000,000	\$11,214.80	\$11,269.90
46625H-GN-4	JPMORGAN CHASE & CO SUB NT	10,000,000	\$11,252.60	\$11,358.20
494368-BB-8	KIMBERLY CLARK CORP NT	10,000,000	\$11,610.51	\$11,534.70
TOTAL CORPORATES			0.00	34,077.91
INVESTMENTS-OTHER				
CRB	GLOBAL COMMODITY EQUITY	131,000	5,758.15	
ISHARES DOW JONES EPAC SELECT		226,000	6,914.79	
ISHARES MSCI EAFE INDEX		91,000	5,923.98	
SPDR S&P 500 ETF TRUST		327,000	41,543.23	
VANGUARD INDEX FDS MID CAP ETF		49,000	3,437.19	
VANGUARD INDEX FDS SMALL CAP ETF		50,000	3,302.60	
VANGUARD INDEX TRUST REIT VIPERS		61,000	3,767.72	
VANGUARD INTL EQUITY INDEX FD		37,000	1,608.76	
VANGUARD INTL EQUITY INDEX FD		178,000	6,035.88	
VANGUARD TOTAL WORLD STK		153,000	6,552.24	
WISDOMTREE TRUST DEFA FD		111,000	4,535.91	
CRBQ	ALPS ETF TR JEFFERIES TR/J CRB	153,000	\$6,707.05	\$6,715.17
EFA	ISHARES MSCI EAFE ETF	300,000	\$19,918.52	\$20,128.50
SPY	SPDR S&P 500 ETF TRUST	326,000	\$41,433.73	\$60,208.94
VWO	VANGUARD FTSE EMERGING MARKETS ETF	190,000	\$6,641.94	\$7,816.60
VNQ	VANGUARD INDEX FDS REIT ETF	200,000	\$13,458.08	\$12,912.00
VO	VANGUARD INDEX FDS VANGUARD MID-CAP	100,000	\$8,990.01	\$11,002.00
VB	VANGUARD INDEX FDS VANGUARD	100,000	\$8,722.33	\$10,995.00
VNQI	VANGUARD INTL EQUITY INDEX FDS	100,000	\$5,350.37	\$5,448.00
VT	VANGUARD INTL EQUITY INDEX FDS	400,000	\$20,944.78	\$23,760.00
DWM	WISDOMTREE TR DIEFA FD	110,000	\$4,495.23	\$5,990.60
TOTAL INVESTMENTS-OTHER			89,380.45	136,662.04

THE STANBROOK FOUNDATION
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 SCHEDULE B

RECEIPT OF SECURITIES STANLEY D. SMITH, JR. IRA 08/23/2013

	FMV
BARCLAYS BK PLC SPONSORED ADR REPSTG CALLABLE DOLLAR PFD - 06739H776 - MINOR = 530	- 250 SHARES 6,293.90
CAPITAL REGION ARPT COMMN VA C REV BDS TAXABLE 7.00% DTD 12/01/2000 DUE- 140443AK8 -MINOR= 111	- 5000 PAR VALUE 5,021.15
CONAGRA FOODS INC NOTE 5.819% DUE 06/15/17 - 205887BD3 -MINOR= 210	- 10000 PAR VALUE 11,214.64
EAST CAROLINA UNIV N C UNIV REGEN REV BDS 2010 B TAXABLE 4.008% DTD - 271371TR7 - MINOR 111	- 10000 PAR VALUE 10,765.70
FEDERAL FARM CR BKS CONS SYSTEMWIDE BDS 5.625% DTD 04/11/2007 DUE - 31331XUU4 - MINOR = 046	- 10000 PAR VALUE 10,270.31
FLORIDA ST DEPT ENVIRONMENTAL REV BDS 2005B 5.00% DTD 11/01/2005 DUE - 34160WLG7 - MINOR 160	- 10000 PAR VALUE 10,676.80
INDIANA BD BK REV SCH SEVERANCE FDG BDS TAXABLE 5.12% DTD 12/09/2004 DUE - 454624EP8 - MINOR= 111	- 10000 PAR VALUE 10,423.40
JPMORGAN CHASE & CO SUB NT 6.125% DTD 06/27/2007 DUE 06/27/2017 - 46625HGN4 - MINOR = 240	- 10000 PAR VALUE 11,281.54
KIMBERLY CLARK CORP NT 6.125\ DTD 07/30/2007 DUE 08/01/2017 - 494368BB8 - MINOR = 210	- 10000 PAR VALUE 11,579.88
MACOMB INTERCEPTOR DRAIN DRAIN DRAIN BDS 2010A TAXABLE 4.60% DTD - 55539PAMO -MINOR= 111	- 10000 PAR VALUE 10,012.20
MERRILL LYNCH PFD CAP TR IV TR ORIGINATED PFD SECS 7.12\ - 59021G204 - MINOR= 530	- 125 SHARES 3,139.38
NEW YORK ST DORM AUTH REVS REF BDS 5.25% DTD 12/03/2003 DUE 07/01/2016 - 64983UR28 - MINOR = 160	- 10000 PAR VALUE 10,391.20
NEW YORK ST ENVIRONMENTAL FACS REV BDS 2007C 4.125\ DTD 05/15/2007 DUE- 64986AVG3 -MINOR= 160	- 5000 PAR VALUE 5,208.45
VIRGINIA COLLEGE BLDG AUTH VA ED FAC BDS REV BDS SER TAXABLE 4.00\ DTD - 927781VQ4 - MINOR = 111	- 5000 PAR VALUE 5,168.40
VIRGINIA ST HSG DEV AUTH RENTAL HSG BDS 2009C TAXABLE 6.32\ DTD - 92812QVT1 - MINOR = 111	- 10000 PAR VALUE 11,347.40
VIRGINIA ST HSG DEV AUTH COMWL COMWLTH MTG BDS 2006 C AMT 4.40\ DTD - 92812UKE7 - MINOR = 105	- 10000 PAR VALUE 10,072.20
WICHITA FALLS TEX INDPT SCH DI GOSCH BLDG BDS 4.00% DTD 01/15/2007 - 967171ND7 - MINOR = 150	- 10000 PAR VALUE 10,758.60
WISCONSIN ST GO BDS 2004 E 5.00% DTD 10/21/2004 DUE 05/01/2024 - 97705LEV5 - MINOR = 160	- 10000 PAR VALUE 10,463.80
WORCESTER MASS DCU SPL IMPT BDS 2010 B TAXABLE 5.75% DTD 11/01/2010 DUE- 9813056X8- MINOR= 111	- 10000 PAR VALUE 11,012.70
TOTAL RECEIVED	<u>175,101.65</u>