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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

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OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation JOHN C FRICANO FOUNDATION INC		<b>A Employer identification number</b>  54-1749715	
Number and street (or P O box number if mail is not delivered to street address) 1601 SOUTH ARLINGTON RIDGE ROAD		<b>B Telephone number</b> (see instructions)  (703) 920-5811	
City or town, state or province, country, and ZIP or foreign postal code ARLINGTON, VA 222021624		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 1,756,389		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments	12	12	12	
	4 Dividends and interest from securities. . . . .	39,287	39,287	39,287	
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	51,434			
	b Gross sales price for all assets on line 6a 507,692				
	7 Capital gain net income (from Part IV , line 2) . . . .		2,285		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
Operating and Administrative Expenses	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	90,733	41,584	39,299	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	3,500		3,500	
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	1,624	1,624	1,624	
	19 Depreciation (attach schedule) and depletion . . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	10,893			
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	16,017	1,624	5,124	0
	25 Contributions, gifts, grants paid . . . . .	89,730			89,730
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	105,747	1,624	5,124	89,730
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-15,014			
	b <b>Net investment income</b> (if negative, enter -0-)		39,960		
	c <b>Adjusted net income</b> (if negative, enter -0-)			34,175	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	18,231	22,829	22,829
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	1,611,641	1,592,210	1,733,560
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,629,872	1,615,039	1,756,389
Liabilities	17	Accounts payable and accrued expenses . . . . .	5,742	5,923	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	5,742	5,923	
Net Assets or Fund Balances		<b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted . . . . .	1,624,130	1,609,116	
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	1,624,130	1,609,116	
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	1,629,872	1,615,039	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	1,624,130
2	Enter amount from Part I, line 27a . . . . .	2	-15,014
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	1,609,116
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	1,609,116

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	2,285
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . . }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	82,290	1,571,561	0 052362
2011	86,541	1,618,441	0 053472
2010	74,800	1,580,538	0 047326
2009	87,523	1,421,505	0 061571
2008	109,342	1,740,370	0 062827
2	Total of line 1, column (d). . . . .		2 0 277558
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .		3 0 055512
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .		4 1,654,297
5	Multiply line 4 by line 3. . . . .		5 91,833
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .		6 400
7	Add lines 5 and 6. . . . .		7 92,233
8	Enter qualifying distributions from Part XII, line 4. . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		8 89,730

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)					
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	799		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .		2	799		
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)					
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				2	
3 Add lines 1 and 2. . . . .				3	799
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4			
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		5	799		
6 Credits/Payments		7			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a				
b Exempt foreign organizations—tax withheld at source . . . . .	6b				
c Tax paid with application for extension of time to file (Form 8868)	6c				
d Backup withholding erroneously withheld . . . . .	6d				
7 Total credits and payments Add lines 6a through 6d. . . . .		7			
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8	17		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	816		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid. . . . .		10			
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11			

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?. . . . .		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?. . . . .		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		6	Yes
• By language in the governing instrument, or			
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .		7	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.			
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		8b	No
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		9	Yes
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶NANCY DIXON Telephone no ▶(757) 826-5778 Located at ▶302 WINCHESTER DRIVE HAMPTON VA ZIP +4 ▶23666			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARY E FRICANO	PRESIDENT	0	0	0
1601 S ARLINGTON RIDGE ROAD ARLINGTON, VA 22202	1 00			
S J DIMEGLIO	VICE PRES	0	0	0
9836 PLACE GREEN WAY VIENNA, VA 22181	1 00			
MARY LOUISE RHODES	SECRETARY	0	0	0
9713 ASPEN HOLLOW WAY FAIRFAX, VA 22032	1 00			
JEFFREY DIMEGLIO	TREASURER	0	0	0
2904 BRIGHTON COURT VIENNA, VA 22181	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

## Part VIII

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

**Total** number of others receiving over \$50,000 for professional services. . . . . ▶

## Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
<b>1</b> FOUNDATION MAKES GRANTS TO OTHER ORGANIZATIONS	89,730
<b>2</b>	
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
<b>1</b> N/A	
<b>2</b>	
All other program-related investments See page 24 of the instructions	
<b>3</b>	

**Total.** Add lines 1 through 3 . . . . .



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,661,791
b	Average of monthly cash balances. . . . .	1b	17,698
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	1,679,489
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	
3	Subtract line 2 from line 1d. . . . .	3	1,679,489
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	25,192
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,654,297
6	Minimum investment return. Enter 5% of line 5. . . . .	6	82,715

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	89,730
b	Program-related investments—total from Part IX-B. . . . .	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	89,730
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	89,730
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				
b From 2009. . . . .				
c From 2010. . . . .				
d From 2011. . . . .				
e From 2012. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 89,730				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2013 distributable amount. . . . .				
e Remaining amount distributed out of corpus	89,730			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	89,730			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☒ 4942(j)(3) or ☐ 4942(j)(5)

Tax year	Prior 3 years				(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010		
34,175	40,118	35,478	30,353		140,124
29,049	34,100	30,156	25,800		119,105
89,730	82,290	86,541	74,800		333,361
89,730	82,290	86,541	74,800		333,361
1,756,389	1,611,927	1,536,926	1,686,933		6,592,175
1,756,389	1,611,927	1,536,926	1,686,933		6,592,175

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

NANCY DIXON  
302 WINCHESTER DRIVE  
HAMPTON, VA 23666  
(757) 826-5778

b

The form in which applications should be submitted and information and materials they should include

APPLICATION FORM, SUMMARY OF PROPOSAL, DETAIL BUDGET, FINANCIAL STATEMENTS

c

Any submission deadlines

MARCH 1ST OF EACH YEAR

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

VIRGINIA ONLY - ROMAN CATHOLIC ORGANIZATIONS

Form 990-PF (2013)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	89,730
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

b

If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

\*\*\*\*\*

Signature of officer or trustee

2014-10-23

Date

\*\*\*\*\*

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☐

Yes

☒

No

Paid Preparer Use Only

Print/Type preparer's name BRAD L MENDELSON CPA	Preparer's Signature	Date 2014-10-23	Check if self-employed <input type="checkbox"/>	PTIN P00721173
Firm's name	MENDELSON & MENDELSON CPA'S A PC			Firm's EIN 52-0954153
Firm's address	12505 PARK POTOMAC AVE STE 250 POTOMAC, MD 208546805			Phone no (301) 656-0001

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
ADVOCATE CENTER INC PO BOX 826 PO BOX 826 NORTON,VA 24273			TO SERVE PEOPLE IN CRISIS	10,000
PASS IT ON OUTREACH MINISTRY ST ANN CATHOLIC CHURCH 17111 JEFFERSON DAVIS HIGHWAY COLONIAL HEIGHTS,VA 238345334			TO SERVE PEOPLE IN CRISIS	7,230
ST MARY'S OF THE HOLY FAMILY PARISH 304 TAZEWELL AVENUE TAZEWELL,VA 24651			PROVIDE FOOD FOR SCHOOL CHILDREN	4,000
ST MARY'S HEALTH WAGON 223 CHASE STREET PO BOX 709 CLINTWOOD,VA 24228			TO SERVE PEOPLE IN CRISIS	20,000
BENEDICT SISTERS OF VIRGINIA BEACON FOR ADULT LITERACY 9535 LINTON HALL ROAD BRISTOW,VA 20136			ADULT LITERACY PROGRAMS	15,200
CATHOLIC DISTANCE UNIVERSITY 120 EAST COLONIAL HIGHWAY HAMILTON,VA 20158			EDUCATE CATHOLIC PRISON INMATES	10,000
YOUTH APOSTLES INSTITUTE 1600 CARLIN LANE MCLEAN,VA 22101			PURCHASE VANS FOR YOUTH APOSTLES	20,000
ST JOHN NEUMANN ACADEMY 2470 RESEARCH CENTER DRIV BLACKSBURG,VA 24060			TO SERVE PEOPLE IN CRISIS	3,300
<b>Total . . . . .</b>			<b>3a</b>	89,730

## TY 2013 Accounting Fees Schedule

**Name:** JOHN C FRICANO FOUNDATION INC

**EIN:** 54-1749715

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,500		3,500	



## TY 2013 Compensation Explanation

**Name:** JOHN C FRICANO FOUNDATION INC

**EIN:** 54-1749715

Person Name	Explanation
MARY E FRICANO	
S J DIMEGLIO	
MARY LOUISE RHODES	
JEFFREY DIMEGLIO	

## TY 2013 Explanation of Non-Filing with Attorney General Statement

**Name:** JOHN C FRICANO FOUNDATION INC

**EIN:** 54-1749715

**Statement:** NOT REQUIRED

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: JOHN C FRICANO FOUNDATION INC

EIN: 54-1749715

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
BERNSTEIN STOCKS		PURCHASE			239,909	223,368			16,541	
BERNSTEIN STOCKS		PURCHASE			265,484	232,890			32,594	
BERNSTEIN CASH IN LIEU	2013-05	PURCHASE	2013-05		14				14	

**TY 2013 Investments Corporate  
Stock Schedule****Name:** JOHN C FRICANO FOUNDATION INC**EIN:** 54-1749715

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
CORPORATE STOCKS	963,070	1,089,843
MUTUAL FUNDS	562,738	565,155
REAL ASSET SECURITIES	66,402	78,562

**TY 2013 Other Expenses Schedule****Name:** JOHN C FRICANO FOUNDATION INC**EIN:** 54-1749715

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
INVESTMENT ADVISORY FEES	8,593			
OFFICE EXPENSES	2,300			

**TY 2013 Taxes Schedule****Name:** JOHN C FRICANO FOUNDATION INC**EIN:** 54-1749715

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	681	681	681	
TAXES	943	943	943	

**TY 2013 IRS Payment****Name:** JOHN C FRICANO FOUNDATION INC**EIN:** 54-1749715**Routing Transit Number:** 051400549**Bank Account Number:** 1010105252027**Type of Account:** Checking**Payment Amount in Dollars and** 851**Cents:****Requested Payment Date:** 2014-10-24**Taxpayer's Daytime Phone** (703) 920-5811  
**Number:**

# Capital Gains Report

THE JOHN C FRICANO FOUNDATION, INC. (Account 888-21077)

January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
<b>SHORT-TERM NON-COVERED</b>								
10/26/2012	01/09/2013	10	LIBERTY MEDIA CORP - LIBER-A	121.26	111.90	1,212.60	1,119.04	93.56
06/06/2012	01/09/2013	15	SHERWIN-WILLIAMS CO/THE	162.23	127.05	2,433.44	1,905.77	527.67
05/31/2012	01/10/2013	15	INTL BUSINESS MACHINES CORP	192.00	193.60	2,879.95	2,903.96	-24.01
11/09/2012	01/10/2013	15	***PARTNERRE LTD	83.14	79.79	1,247.03	1,196.86	50.17
08/22/2012	01/10/2013	30	UNITED TECHNOLOGIES CORP	84.61	79.45	2,538.40	2,383.64	154.76
09/07/2012	01/10/2013	20	UNITED TECHNOLOGIES CORP	84.61	79.38	1,692.26	1,587.52	104.74
02/07/2012	01/11/2013	35	CISCO SYSTEMS INC	20.49	20.26	717.07	708.93	8.14
10/26/2012	01/16/2013	25	STARZ - A	15.73	12.81	393.17	320.29	72.88
07/25/2012	01/29/2013	125	NV ENERGY INC	18.82	17.79	2,351.87	2,223.93	127.94
04/20/2012	02/01/2013	15	COACH INC	50.13	76.25	751.91	1,143.77	-391.86
04/30/2012	02/01/2013	10	COACH INC	50.13	73.14	501.27	731.44	-230.17
03/14/2012	02/01/2013	55	TIBCO SOFTWARE INC	23.79	29.88	1,308.21	1,643.16	-334.95
04/09/2012	02/01/2013	40	TIBCO SOFTWARE INC	23.79	32.53	951.43	1,301.12	-349.69
05/24/2012	02/01/2013	10	TIBCO SOFTWARE INC	23.79	29.58	237.86	295.80	-57.94
05/31/2012	02/04/2013	15	MCKESSON CORP	102.72	87.59	1,540.78	1,313.85	226.93
09/13/2012	02/06/2013	80	BB&T CORP	30.83	33.26	2,466.41	2,660.86	-194.45
09/20/2012	02/06/2013	55	BB&T CORP	30.83	33.44	1,695.65	1,839.27	-143.62
06/08/2012	02/06/2013	20	EXXON MOBIL CORP	89.67	80.16	1,793.31	1,603.12	190.19
05/21/2012	02/06/2013	30	PROCTER & GAMBLE CO	75.45	63.24	2,263.63	1,897.20	366.43
06/15/2012	02/07/2013	4	CHIPOTLE MEXICAN GRILL-CL A	316.24	400.96	1,264.97	1,603.85	-338.88
02/22/2012	02/11/2013	25	***ASTRAZENECA PLC-SPONS ADR	47.44	44.67	1,185.88	1,116.67	69.21
05/17/2012	02/11/2013	5	***ASTRAZENECA PLC-SPONS ADR	47.44	42.44	237.18	212.20	24.98
12/24/2012	02/12/2013	70	MARSH & MCLENNAN COS INC	35.47	34.82	2,482.81	2,437.19	45.62
01/09/2013	02/12/2013	40	MARSH & MCLENNAN COS INC	35.47	34.85	1,418.75	1,394.20	24.55
04/27/2012	02/14/2013	25	***BP PLC-SPONS ADR	42.22	43.51	1,055.49	1,087.80	-32.31
12/04/2012	02/19/2013	15	ULTA SALON COSMETICS & FRAGRAN	85.29	100.55	1,279.31	1,508.25	-228.94
11/08/2012	03/01/2013	45	DIRECTV	48.27	49.60	2,172.21	2,232.01	-59.80
06/18/2012	03/06/2013	57	AB DISCOVERY VALUE FUND CL ADV	19.26	16.02	1,100.00	914.95	185.05
		113						
06/18/2012	03/06/2013	133	AB DISCOVERY GROWTH FND CL ADV	8.23	7.00	1,100.00	935.60	164.40
		657						
04/30/2012	03/07/2013	10	COACH INC	48.65	73.14	486.55	731.43	-244.88
07/31/2012	03/07/2013	25	COACH INC	48.65	51.09	1,216.36	1,277.37	-61.01
11/07/2012	03/11/2013	7	LINKEDIN CORP - A	177.10	101.24	1,239.71	708.65	531.06
04/16/2012	03/15/2013	15	F5 NETWORKS INC	91.88	122.82	1,378.22	1,842.26	-464.04
10/09/2012	03/15/2013	55	HARRIS CORP	44.51	51.22	2,448.17	2,816.86	-368.69
11/12/2012	03/15/2013	20	HARRIS CORP	44.51	48.12	890.25	962.36	-72.11
04/10/2012	03/15/2013	75	MGM RESORTS INTERNATIONAL	13.09	13.67	981.75	1,025.05	-43.30
05/31/2012	03/19/2013	30	MCKESSON CORP	105.82	87.59	3,174.51	2,627.70	546.81
05/17/2012	03/21/2013	25	AMGEN INC	94.02	71.38	2,350.47	1,784.50	565.97
11/08/2012	03/21/2013	20	DIAMOND OFFSHORE DRILLING	68.37	65.90	1,367.32	1,318.01	49.31
01/03/2013	03/21/2013	15	JM SMUCKER CO/THE	96.22	89.13	1,443.37	1,336.89	106.48
12/04/2012	03/21/2013	3	ULTA SALON COSMETICS & FRAGRAN	77.73	100.55	233.19	301.65	-68.46
12/14/2012	03/21/2013	22	ULTA SALON COSMETICS & FRAGRAN	77.73	94.73	1,710.07	2,084.03	-373.96
06/06/2012	03/22/2013	20	SHERWIN-WILLIAMS CO/THE	168.54	127.05	3,370.89	2,541.03	829.86
07/20/2012	04/08/2013	15	IDEXX LABS CORP	90.13	89.67	1,351.91	1,345.04	6.87



# Capital Gains Report

THE JOHN C FRICANO FOUNDATION, INC. (Account 888-21077)

January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
05/17/2012	04/09/2013	20	***ASTRAZENECA PLC-SPONS ADR	50 64	42 44	1,012.85	848 80	164.05
08/31/2012	04/09/2013	50	***ASTRAZENECA PLC-SPONS ADR	50 64	46 74	2,532.13	2,336 76	195.37
06/08/2012	04/15/2013	25	EXXON MOBIL CORP	87 81	80 16	2,195.18	2,003 89	191.29
05/24/2012	04/22/2013	35	TIBCO SOFTWARE INC	18 49	29 58	647.16	1,035 30	-388.14
08/24/2012	04/22/2013	45	TIBCO SOFTWARE INC	18 49	29 20	832.07	1,314 18	-482.11
07/20/2012	04/23/2013	15	IDEXX LABS CORP	86 90	89 67	1,303.55	1,345 03	-41.48
08/08/2012	04/23/2013	5	IDEXX LABS CORP	86 90	89 57	434.52	447 83	-13.31
06/08/2012	04/24/2013	15	EXXON MOBIL CORP	89 62	80 16	1,344.27	1,202 34	141.93
05/09/2012	04/25/2013	25	INTUIT INC	57 00	56 23	1,424.91	1,405 65	19.26
02/07/2013	04/25/2013	30	INTUIT INC	57 00	62 48	1,709.90	1,874 54	-164.64
08/14/2012	05/01/2013	40	***EATON CORP PLC	59 55	45 69	2,382.11	1,827 76	554.35
01/25/2013	05/03/2013	15	CST BRANDS INC	29 73	29 54	445.90	443 09	2.81
02/14/2013	05/03/2013	4	CST BRANDS INC	29 73	40 85	118.91	163 39	-44.48
05/21/2012	05/07/2013	40	ALTRIA GROUP INC	36.48	31.79	1,459.20	1,271.60	187.60
06/12/2012	05/14/2013	90	US BANCORP	33 78	30 48	3,040.42	2,743 08	297.34
08/20/2012	05/14/2013	70	US BANCORP	33 78	33 08	2,364.78	2,315 41	49.37
02/06/2013	05/14/2013	65	US BANCORP	33 78	33 53	2,195.86	2,179 46	16.40
05/21/2012	05/16/2013	20	PHILIP MORRIS INTERNATIONAL	95 94	84 50	1,918.77	1,689 96	228.81
04/02/2013	05/17/2013	25	CAMPBELL SOUP CO	47 26	46 10	1,181.53	1,152 50	29.03
08/06/2012	05/17/2013	40	MERCK & CO INC	45 53	44 55	1,821.08	1,781 82	39.26
09/25/2012	05/17/2013	60	MEDTRONIC INC	49 55	43 63	2,972.84	2,617 70	355.14
08/16/2012	05/17/2013	5	MICROSOFT CORP	34 35	30 45	171.74	152 27	19.47
09/17/2012	05/17/2013	30	MICROSOFT CORP	34 35	31 13	1,030.46	934 02	96.44
01/03/2013	05/17/2013	15	JM SMUCKER CO/THE	102 29	89 13	1,534.39	1,336 89	197.50
12/21/2012	05/20/2013	15	***EVEREST RE GROUP LTD	132 16	108 04	1,982.43	1,620 53	361.90
09/25/2012	05/20/2013	65	TYSON FOODS INC-CL A	24 95	16 05	1,621.66	1,043 19	578.47
06/18/2012	05/28/2013	96	AB DISCOVERY VALUE FUND CL ADV	20 83	16 02	2,000.00	1,538 16	461.84
		015						
06/18/2012	05/28/2013	172	AB DISCOVERY GROWTH FND CL ADV	8 70	7 00	1,500.00	1,206 90	293.10
		414						
12/12/2012	05/28/2013	100	BANK OF AMERICA CORP	13 34	10 62	1,334.06	1,061 52	272.54
08/10/2012	05/28/2013	5	BIOGEN IDEC INC	239 06	144 94	1,195.32	724 69	470.63
07/30/2012	05/28/2013	20	BECTON DICKINSON & CO	101 46	76 04	2,029.22	1,520 79	508.43
06/15/2012	05/28/2013	3	CHIPOTLE MEXICAN GRILL-CL A	370 24	400 96	1,110.71	1,202 88	-92.17
12/21/2012	05/28/2013	10	***EVEREST RE GROUP LTD	125 91	108 03	1,259.10	1,080 35	178.75
06/08/2012	05/28/2013	15	EXXON MOBIL CORP	92 07	80 16	1,381.10	1,202 34	178.76
08/14/2012	05/28/2013	15	***EATON CORP PLC	68 56	45 69	1,028.37	685 41	342.96
11/08/2012	05/28/2013	75	FORD MOTOR CO	15 15	10 96	1,136.05	822 31	313.74
01/09/2013	05/28/2013	10	GOLDMAN SACHS GROUP INC	160 17	133 64	1,601.69	1,336 37	265.32
08/30/2012	05/28/2013	10	INTERCONTINENTALEXCHANGE INC	169 54	135 51	1,695.39	1,355 15	340.24
05/31/2012	05/28/2013	5	INTL BUSINESS MACHINES CORP	207 94	193 60	1,039.70	967 99	71.71
02/20/2013	05/28/2013	10	MONSANTO CO	105 25	99 16	1,052.45	991 64	60.81
07/24/2012	05/28/2013	25	MACY'S INC	49.20	34.24	1,230.00	856.09	373.91
09/25/2012	05/28/2013	20	MEDTRONIC INC	51 98	43 63	1,039.56	872 56	167.00
09/17/2012	05/28/2013	30	MICROSOFT CORP	34 76	31 13	1,042.81	934 02	108.79
12/21/2012	05/28/2013	15	PETSMART	68 66	69 42	1,029.90	1,041 23	-11.33
03/04/2013	05/28/2013	15	STERICYCLE INC	110 97	96 50	1,664.52	1,447 43	217.09
06/06/2012	05/28/2013	8	SHERWIN-WILLIAMS CO/THE	191 51	127 05	1,532.09	1,016 41	515.68
06/27/2012	05/28/2013	10	VISA INC - CLASS A SHRS	180 07	122 91	1,800.72	1,229 11	571.61

# Capital Gains Report

THE JOHN C FRICANO FOUNDATION, INC. (Account 888-21077)

January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
08/24/2012	06/06/2013	20	EBAY INC	51 30	47 21	1,026.00	944 28	81.72
08/08/2012	06/07/2013	25	IDEXX LABS CORP	83 75	89 57	2,093.75	2,239 13	-145.38
02/04/2013	06/12/2013	25	F5 NETWORKS INC	74 41	106 58	1,860.33	2,664 59	-804.26
08/02/2012	06/17/2013	20	TIME WARNER INC	58 08	40 53	1,161.52	810 61	350.91
03/26/2013	06/20/2013	150	SAFEWAY INC	23 21	26 05	3,481.02	3,907 43	-426.41
09/25/2012	07/01/2013	45	FIDELITY NATIONAL FINANCIAL IN	23 77	21 24	1,069.55	955 58	113.97
12/21/2012	07/15/2013	30	FISERV INC	91 37	79 72	2,741.05	2,391 60	349.45
01/08/2013	07/15/2013	30	FISERV INC	91 37	81 56	2,741.05	2,446 94	294.11
05/22/2013	07/15/2013	25	FISERV INC	91 37	89 60	2,284.21	2,240 01	44.20
08/17/2012	07/16/2013	20	EXXON MOBIL CORP	93 07	88 43	1,861.37	1,768 66	92.71
03/12/2013	07/16/2013	15	EXXON MOBIL CORP	93 07	89 57	1,396.02	1,343 50	52.52
08/16/2012	07/16/2013	75	GENERAL ELECTRIC CO	23 48	20 95	1,761.16	1,571 46	189.70
11/14/2012	07/19/2013	5	AMAZON COM INC	303 95	224 39	1,519.76	1,121 97	397.79
03/21/2013	07/19/2013	15	ILLINOIS TOOL WORKS	72.63	62.95	1,089.40	944.21	145.19
11/06/2012	07/24/2013	20	VERTEX PHARMACEUTICALS INC	85 28	46 50	1,705.65	929 95	775.70
02/06/2013	07/25/2013	25	HARLEY DAVIDSON INC	56 49	52 83	1,412.36	1,320 68	91.68
05/01/2013	08/05/2013	15	ALLERGAN INC	92 33	99 54	1,384.95	1,493 12	-108.17
11/08/2012	08/14/2013	35	DIAMOND OFFSHORE DRILLING	67 26	65 90	2,354.07	2,306 52	47.55
11/13/2012	08/14/2013	45	DIAMOND OFFSHORE DRILLING	67 26	63 86	3,026.65	2,873 64	153.01
05/20/2013	08/14/2013	20	DIAMOND OFFSHORE DRILLING	67 26	72 88	1,345.18	1,457 51	-112.33
09/07/2012	08/16/2013	6	JPMORGAN CHASE & CO	53 52	39 34	321.15	236 04	85.11
03/12/2013	09/12/2013	70	EXXON MOBIL CORP	88 12	89 57	6,168.58	6,269 65	-101.07
02/06/2013	09/19/2013	75	GAMESTOP CORP-CLASS A	51 98	24 97	3,898.84	1,872 50	2,026.34
11/07/2012	09/20/2013	5	LINKEDIN CORP - A	246 96	101 24	1,234.80	506 18	728.62
02/20/2013	09/23/2013	40	MONSANTO CO	105 94	99 16	4,237.78	3,966 57	271.21
12/03/2012	09/24/2013	135	DISCOVER FINANCIAL SERVICES	51 02	41 46	6,887.69	5,597 25	1,290.44
03/04/2013	09/24/2013	30	STERICYCLE INC	114 35	96 49	3,430.52	2,894 85	535.67
08/06/2013	10/04/2013	95	ABERCROMBIE & FITCH CO-CL A	35 16	48 96	3,340.49	4,651 01	-1,310.52
05/03/2013	10/18/2013	25	BOEING CO/THE	121 96	93 46	3,048.91	2,336 38	712.53
07/26/2013	10/24/2013	175	EMC CORP/MASS	23 76	26 42	4,158.70	4,623 71	-465.01
08/26/2013	10/24/2013	125	EMC CORP/MASS	23 76	26 37	2,970.50	3,296 03	-325.53
12/21/2012	10/24/2013	20	PETSMART	72 67	69 41	1,453.45	1,388 30	65.15
03/01/2013	10/24/2013	25	PETSMART	72 67	65 05	1,816.81	1,626 33	190.48
04/09/2013	10/25/2013	20	CAPITAL ONE FINANCIAL CORP	70 50	55 86	1,409.99	1,117 27	292.72
04/02/2013	10/25/2013	105	CAMPBELL SOUP CO	42 46	46 10	4,458.75	4,840 50	-381.75
04/15/2013	11/06/2013	2	PRICELINE COM INC	1061 82	732 13	2,123.64	1,464 27	659.37
12/19/2012	11/14/2013	15	CITRIX SYSTEMS INC	55 18	66 96	827.75	1,004 40	-176.65
01/09/2013	11/18/2013	30	GOLDMAN SACHS GROUP INC	166 40	133 64	4,992.02	4,009 11	982.91
01/07/2013	11/22/2013	50	KRAFT FOODS GROUP INC-W/I	52 71	45 78	2,635.73	2,288 84	346.89
04/23/2013	12/10/2013	3	INTUITIVE SURGICAL INC	379 58	477 37	1,138.75	1,432 12	-293.37
07/19/2013	12/10/2013	7	INTUITIVE SURGICAL INC	379 58	378 12	2,657.09	2,646 83	10.26
01/03/2013	12/23/2013	10	JM SMUCKER CO/THE	101 70	89 13	1,016.99	891 26	125.73
01/10/2013	12/23/2013	20	JM SMUCKER CO/THE	101.70	89.82	2,033.99	1,796.40	237.59
02/07/2013	12/23/2013	20	JM SMUCKER CO/THE	101 70	88 76	2,033.98	1,775 19	258.79
SUBTOTAL FOR SHORT-TERM NON-COVERED						239,908.92	223,367 80	16,541.12

# Capital Gains Report

THE JOHN C FRICANO FOUNDATION, INC. (Account 888-21077)

January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
LONG-TERM NON-COVERED								
01/24/2011	01/07/2013	50	DELTA AIR LINES INC	12 93	11 85	646.70	592 69	54.01
01/27/2011	01/07/2013	50	DELTA AIR LINES INC	12 93	12 19	646.69	609 65	37.04
06/22/2011	01/07/2013	48	UNITEDHEALTH GROUP INC	52 03	52 26	2,497.22	2,508 61	-11.39
03/07/2011	01/07/2013	20	WELLPOINT INC	59.72	67.84	1,194.38	1,356.81	-162.43
11/24/2010	01/08/2013	50	KROGER CO	25 50	22 98	1,274.99	1,148 80	126.19
10/03/2006	01/08/2013	50	PFIZER INC	26 12	28 42	1,306.22	1,420 87	-114.65
09/24/2010	01/10/2013	20	ALTRIA GROUP INC	32 62	23 98	652.40	479 69	172.71
03/23/2011	01/10/2013	80	ALTRIA GROUP INC	32 62	25 55	2,609.61	2,044 01	565.60
12/27/2011	01/11/2013	65	CISCO SYSTEMS INC	20 49	18 55	1,331.69	1,205 69	126.00
01/27/2011	01/23/2013	50	DELTA AIR LINES INC	13 88	12 19	694.04	609 65	84.39
02/08/2011	01/23/2013	25	DELTA AIR LINES INC	13 88	11 86	347.02	296 52	50.50
06/22/2011	01/23/2013	2	UNITEDHEALTH GROUP INC	55 73	52 26	111.45	104 53	6.92
08/08/2011	01/23/2013	18	UNITEDHEALTH GROUP INC	55 73	42 64	1,003.07	767 54	235.53
10/25/2010	01/24/2013	15	CITRIX SYSTEMS INC	69 33	60 88	1,039.94	913 21	126.73
12/23/2010	01/24/2013	5	CITRIX SYSTEMS INC	69 33	68 47	346.65	342 37	4.28
08/16/2011	01/29/2013	50	NV ENERGY INC	18 82	14 55	940.75	727 46	213.29
12/27/2011	01/29/2013	75	NV ENERGY INC	18 82	16 29	1,411.13	1,222 02	189.11
05/20/2010	02/01/2013	55	***ASTRAZENECA PLC-SPONS ADR	48 54	41 22	2,669.59	2,267 19	402.40
05/27/2010	02/01/2013	30	***ASTRAZENECA PLC-SPONS ADR	48 54	41 25	1,456.14	1,237 54	218.60
11/23/2010	02/01/2013	15	***ASTRAZENECA PLC-SPONS ADR	48 54	47 98	728.07	719 73	8.34
12/01/2010	02/01/2013	50	***ASTRAZENECA PLC-SPONS ADR	48 54	47 48	2,426.90	2,373 98	52.92
04/08/2011	02/06/2013	50	AT&T INC	35 37	30 55	1,768.33	1,527 31	241.02
08/08/2011	02/07/2013	20	UNITEDHEALTH GROUP INC	57 00	42 64	1,140.06	852 82	287.24
09/06/2011	02/11/2013	25	***ASTRAZENECA PLC-SPONS ADR	47 44	44 55	1,185.89	1,113 69	72.20
09/15/2011	02/14/2013	45	***BP PLC-SPONS ADR	42 22	39 31	1,899.88	1,768 80	131.08
09/19/2011	02/14/2013	30	***BP PLC-SPONS ADR	42 22	38 52	1,266.59	1,155 65	110.94
10/06/2011	02/14/2013	35	***BP PLC-SPONS ADR	42 22	36 21	1,477.69	1,267 21	210.48
10/07/2011	02/14/2013	25	***BP PLC-SPONS ADR	42 22	37 07	1,055.49	926 76	128.73
10/11/2011	02/14/2013	25	***BP PLC-SPONS ADR	42 22	38 15	1,055.49	953 65	101.84
10/28/2011	02/14/2013	50	***BP PLC-SPONS ADR	42 22	45 37	2,110.98	2,268 63	-157.65
01/06/2012	02/19/2013	100	MGM RESORTS INTERNATIONAL	12 80	11 11	1,280.46	1,110 51	169.95
01/06/2012	02/19/2013	175	MGM RESORTS INTERNATIONAL	12 80	11 11	2,240.81	1,943 40	297.41
08/19/2011	03/01/2013	29	LORILLARD INC	38.33	36.19	1,111.65	1,049.63	62.02
01/06/2012	03/15/2013	75	MGM RESORTS INTERNATIONAL	13 09	11 11	981.75	832 88	148.87
02/28/2012	03/15/2013	100	MGM RESORTS INTERNATIONAL	13 09	13 55	1,309.00	1,355 00	-46.00
03/30/2011	03/21/2013	10	CITIGROUP INC	45 99	44 97	459.92	449 71	10.21
04/07/2011	03/21/2013	20	CITIGROUP INC	45 99	46 33	919.84	926 62	-6.78
04/19/2011	03/21/2013	40	CITIGROUP INC	45 99	44 74	1,839.67	1,789 72	49.95
04/28/2011	03/21/2013	30	CITIGROUP INC	45 99	45 57	1,379.76	1,366 98	12.78
08/19/2011	03/21/2013	10	LORILLARD INC	39 82	36 19	398.15	361 94	36.21
10/06/2011	03/21/2013	45	LORILLARD INC	39 82	37 90	1,791.68	1,705 56	86.12
10/12/2011	03/21/2013	30	LORILLARD INC	39 82	38 90	1,194.46	1,167 00	27.46
02/01/2012	03/21/2013	30	LORILLARD INC	39 82	35 96	1,194.45	1,078 83	115.62
05/03/2011	03/22/2013	5	TYSON FOODS INC-CL A	24 04	19 78	120.21	98 89	21.32
05/03/2011	03/22/2013	30	TYSON FOODS INC-CL A	24 04	19 78	721.23	593 37	127.86
07/01/2011	03/22/2013	35	TYSON FOODS INC-CL A	24 04	19 46	841.44	681 21	160.23

# Capital Gains Report

THE JOHN C FRICANO FOUNDATION, INC. (Account 888-21077)

January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
03/14/2007	04/04/2013	2	GOOGLE INC-CL A	794 05	440 96	1,588.11	881 92	706.19
10/18/2011	04/08/2013	125	MICRON TECHNOLOGY INC	9 28	5 68	1,160.59	709 42	451.17
11/01/2011	04/08/2013	50	MICRON TECHNOLOGY INC	9 28	5 37	464.23	268 48	195.75
03/01/2011	04/08/2013	15	NOBLE ENERGY INC	114 24	91 11	1,713.66	1,366 71	346.95
07/19/2005	04/15/2013	843	ALLIANCEBERNSTEIN GLOBAL	10 73	14 72	9,050.00	12,415 29	-3,365.29
		430	REAL ESTATE INVT FD II CL I					
02/15/2011	04/25/2013	20	INTUIT INC	57 00	49 96	1,139.93	999 16	140.77
03/08/2011	04/25/2013	20	INTUIT INC	57 00	52 32	1,139.93	1,046 44	93.49
08/22/2011	04/25/2013	25	INTUIT INC	57 00	43 79	1,424.92	1,094 74	330.18
02/23/2009	05/01/2013	10	SCHLUMBERGER LTD	73 67	37 23	736.71	372 27	364.44
04/06/2010	05/01/2013	20	SCHLUMBERGER LTD	73 67	66 90	1,473.41	1,337 97	135.44
08/08/2011	05/01/2013	12	UNITEDHEALTH GROUP INC	59 48	42 64	713.76	511 69	202.07
08/10/2011	05/01/2013	18	UNITEDHEALTH GROUP INC	59 48	42 10	1,070.64	757 75	312.89
10/19/2011	05/03/2013	125	APPLIED MATERIALS INC	14.89	11.57	1,861.49	1,446.74	414.75
11/24/2006	05/03/2013	75	PFIZER INC	28 97	26 96	2,172.74	2,022 01	150.73
11/30/2006	05/03/2013	25	PFIZER INC	28 97	27 57	724.25	689 23	35.02
03/23/2011	05/07/2013	100	ALTRIA GROUP INC	36 48	25 55	3,647.99	2,555 01	1,092.98
11/24/2010	05/07/2013	50	KROGER CO	34 81	22 98	1,740.68	1,148 79	591.89
03/23/2012	05/13/2013	25	JPMORGAN CHASE & CO	49 50	44 80	1,237.50	1,120 10	117.40
07/01/2011	05/14/2013	55	TYSON FOODS INC-CL A	25 08	19 46	1,379.15	1,070 48	308.67
08/10/2011	05/15/2013	12	UNITEDHEALTH GROUP INC	61 58	42 10	738.90	505 17	233.73
04/12/2012	05/15/2013	23	UNITEDHEALTH GROUP INC	61 58	58 38	1,416.23	1,342 70	73.53
02/07/2012	05/17/2013	15	CISCO SYSTEMS INC	23 97	20 25	359.57	303 82	55.75
02/10/2012	05/17/2013	35	CISCO SYSTEMS INC	23 97	20 00	839.00	700 11	138.89
06/14/2011	05/17/2013	10	PRECISION CASTPARTS CORP	212 02	152 70	2,120.15	1,526 96	593.19
08/04/2011	05/17/2013	5	PRECISION CASTPARTS CORP	212 02	151 85	1,060.08	759 24	300.84
11/01/2011	05/23/2013	100	MICRON TECHNOLOGY INC	11 33	5 37	1,133.46	536 96	596.50
11/11/2011	05/23/2013	200	MICRON TECHNOLOGY INC	11 33	5 35	2,266.92	1,070 24	1,196.68
07/19/2005	05/28/2013	963	ALLIANCEBERNSTEIN GLOBAL	10 82	14 72	10,430.00	14,189 44	-3,759.44
		956	REAL ESTATE INVT FD II CL I					
05/21/2012	05/28/2013	30	ALTRIA GROUP INC	36 98	31 79	1,109.27	953 70	155.57
03/31/2009	05/28/2013	5	APPLE INC	442 09	105 70	2,210.43	528 50	1,681.93
10/19/2011	05/28/2013	50	APPLIED MATERIALS INC	14 80	11 57	739.99	578 69	161.30
12/07/2011	05/28/2013	25	APPLIED MATERIALS INC	14 80	11 13	370.00	278 14	91.86
12/23/2010	05/28/2013	20	CITRIX SYSTEMS INC	64 25	68 47	1,285.08	1,369 48	-84.40
03/21/2011	05/28/2013	5	CITRIX SYSTEMS INC	64 25	68 39	321.27	341 95	-20.68
12/14/2011	05/28/2013	35	CIT GROUP INC	44 84	33 45	1,569.29	1,170 76	398.53
04/28/2011	05/28/2013	20	CITIGROUP INC	51 27	45 57	1,025.43	911 32	114.11
05/17/2011	05/28/2013	30	CITIGROUP INC	51 27	41 34	1,538.15	1,240 32	297.83
02/08/2011	05/28/2013	50	DELTA AIR LINES INC	18 52	11 86	926.05	593 05	333.00
07/21/2011	05/28/2013	5	DELTA AIR LINES INC	18 52	8 13	92.61	40 66	51.95
10/05/2010	05/28/2013	10	WALT DISNEY CO/THE	66 49	33 70	664.91	337 03	327.88
04/06/2011	05/28/2013	10	WALT DISNEY CO/THE	66.49	42.26	664.91	422.56	242.35
03/14/2007	05/28/2013	2	GOOGLE INC-CL A	882 06	440 96	1,764.11	881 92	882.19
11/30/2010	05/28/2013	40	HEALTH NET INC	31 74	26 71	1,269.66	1,068 37	201.29
07/14/2010	05/28/2013	20	HEWLETT-PACKARD CO	24 49	47 74	489.74	954 89	-465.15
08/05/2010	05/28/2013	15	HEWLETT-PACKARD CO	24 49	46 18	367.30	692 73	-325.43
08/24/2010	05/28/2013	20	HEWLETT-PACKARD CO	24 49	38 65	489.74	773 06	-283.32
02/23/2011	05/28/2013	18	HEWLETT-PACKARD CO	24 49	43 18	440.76	777 24	-336.48

# Capital Gains Report

THE JOHN C FRICANO FOUNDATION, INC. (Account 888-21077)

January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
07/08/2010	05/28/2013	10	JOHNSON & JOHNSON	87 43	61 03	874.34	610 32	264.02
07/09/2010	05/28/2013	10	JOHNSON & JOHNSON	87 43	60 46	874.33	604 56	269.77
11/24/2010	05/28/2013	75	KROGER CO	34 44	22 98	2,583.07	1,723 19	859.88
10/18/2011	05/28/2013	20	***LYONDELLBASELL INDU-CL A	66 96	25 73	1,339.29	514 59	824.70
02/16/2012	05/28/2013	12	NATIONAL - OILWELL INC	71 35	83 85	856.18	1,006 24	-150.06
03/27/2012	05/28/2013	10	NATIONAL - OILWELL INC	71 35	78 32	713.49	783 23	-69.74
05/13/2011	05/28/2013	10	NOBLE ENERGY INC	117 79	87 63	1,177.88	876 28	301.60
05/21/2012	05/28/2013	15	PHILIP MORRIS INTERNATIONAL	93 39	84 50	1,400.92	1,267 47	133.45
11/30/2006	05/28/2013	75	PFIZER INC	28 98	27 57	2,173.81	2,067 67	106.14
08/04/2011	05/28/2013	5	PRECISION CASTPARTS CORP	213 18	151 85	1,065.88	759 25	306.63
05/22/2012	05/28/2013	25	REYNOLDS AMERICAN INC	49 51	41 41	1,237.74	1,035 25	202.49
04/06/2010	05/28/2013	5	SCHLUMBERGER LTD	75 37	66 90	376.87	334 49	42.38
05/27/2011	05/28/2013	15	SCHLUMBERGER LTD	75 37	85 24	1,130.61	1,278 57	-147.96
11/01/2010	05/28/2013	20	STARBUCKS CORP	64.13	28.72	1,282.62	574.36	708.26
02/10/2012	05/28/2013	75	WELLS FARGO & COMPANY	40 47	30 23	3,035.32	2,267 04	768.28
03/07/2011	05/28/2013	15	WELLPOINT INC	78 92	67 84	1,183.78	1,017 60	166.18
04/11/2011	05/28/2013	15	WELLPOINT INC	78 92	69 30	1,183.77	1,039 49	144.28
07/20/2005	05/28/2013	533	BERNSTEIN INTERNATIONAL	15 09	21 48	8,055.00	11,465 96	-3,410.96
		797	PORTFOLIO					
04/16/2012	06/05/2013	1	F5 NETWORKS INC	76 94	122 82	76.94	122 82	-45.88
05/24/2012	06/05/2013	19	F5 NETWORKS INC	76 94	109 62	1,461.82	2,082 71	-620.89
10/26/2011	06/05/2013	20	HARLEY DAVIDSON INC	53 76	37 93	1,075.28	758 63	316.65
03/27/2012	06/05/2013	15	NATIONAL - OILWELL INC	69 25	78 32	1,038.79	1,174 84	-136.05
05/21/2012	06/06/2013	30	ALTRIA GROUP INC	35 65	31 79	1,069.43	953 70	115.73
11/24/2010	06/07/2013	50	KROGER CO	34 00	22 98	1,700.05	1,148 80	551.25
03/31/2009	06/17/2013	3	APPLE INC	433 70	105 70	1,301.10	317 10	984.00
02/05/2010	06/17/2013	2	APPLE INC	433 70	193 23	867.40	386 47	480.93
12/22/2011	06/17/2013	50	GENERAL ELECTRIC CO	23 76	18 10	1,187.79	905 04	282.75
05/05/2009	06/17/2013	10	TIME WARNER CABLE	101 19	33 88	1,011.87	338 80	673.07
10/26/2011	07/08/2013	15	HARLEY DAVIDSON INC	55 26	37 93	828.92	568 98	259.94
11/02/2011	07/08/2013	25	HARLEY DAVIDSON INC	55 26	38 69	1,381.53	967 31	414.22
06/08/2012	07/16/2013	15	EXXON MOBIL CORP	93 07	80 16	1,396.02	1,202 33	193.69
12/23/2011	07/16/2013	50	GENERAL ELECTRIC CO	23 48	18 17	1,174.10	908 37	265.73
03/13/2012	07/16/2013	100	GENERAL ELECTRIC CO	23 48	19 31	2,348.21	1,931 23	416.98
03/21/2011	07/19/2013	15	CITRIX SYSTEMS INC	64 99	68 39	974.90	1,025 83	-50.93
07/15/2011	07/19/2013	5	CITRIX SYSTEMS INC	64 99	75 96	324.97	379 79	-54.82
05/05/2009	07/23/2013	10	TIME WARNER CABLE	117 06	33 88	1,170.60	338 80	831.80
08/24/2011	07/23/2013	5	TIME WARNER CABLE	117 06	63 15	585.30	315 76	269.54
08/24/2011	07/23/2013	2	TIME WARNER CABLE	117 06	63 15	234.12	126 30	107.82
08/24/2011	07/23/2013	10	TIME WARNER CABLE	117 06	63 15	1,170.60	631 51	539.09
11/02/2011	07/25/2013	10	HARLEY DAVIDSON INC	56 49	38 69	564.95	386 93	178.02
03/27/2012	07/26/2013	10	NATIONAL - OILWELL INC	71 43	78 32	714.28	783 22	-68.94
04/12/2012	07/26/2013	25	NATIONAL - OILWELL INC	71.43	80.28	1,785.71	2,007.04	-221.33
12/07/2011	08/08/2013	50	APPLIED MATERIALS INC	15 71	11 13	785.64	556 28	229.36
12/27/2011	08/08/2013	125	APPLIED MATERIALS INC	15 71	10 89	1,964.10	1,361 34	602.76
02/01/2012	08/08/2013	150	APPLIED MATERIALS INC	15 71	12 56	2,356.92	1,883 97	472.95
08/04/2011	08/09/2013	5	PRECISION CASTPARTS CORP	219 31	151 85	1,096.57	759 24	337.33
07/17/2012	08/12/2013	15	BERKSHIRE HATHAWAY INC-CL B	117 10	84 51	1,756.51	1,267 68	488.83
08/24/2011	08/15/2013	3	TIME WARNER CABLE	111 42	63 15	334.27	189 45	144.82

# Capital Gains Report

THE JOHN C FRICANO FOUNDATION, INC. (Account 888-21077)

January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
11/29/2011	08/15/2013	15	TIME WARNER CABLE	111 42	57 32	1,671.33	859 77	811.56
03/23/2012	08/16/2013	14	JPMORGAN CHASE & CO	53 52	44 80	749.35	627 26	122.09
08/17/2012	08/23/2013	15	CHEVRON CORP	118 97	112 91	1,784.48	1,693 68	90.80
08/10/2012	09/06/2013	15	BIOGEN IDEC INC	226 96	144 94	3,404.46	2,174 08	1,230.38
01/19/2011	09/09/2013	7	EOG RESOURCES INC	162 90	102 20	1,140.33	715 37	424.96
04/06/2011	09/10/2013	10	WALT DISNEY CO/THE	62 59	42 25	625.90	422 55	203.35
08/09/2011	09/10/2013	10	WALT DISNEY CO/THE	62 59	33 36	625.90	333 61	292.29
05/21/2012	09/17/2013	25	WAL-MART STORES INC	75 20	62 92	1,879.95	1,572 98	306.97
10/24/2011	10/02/2013	5	PRECISION CASTPARTS CORP	228 63	172 38	1,143.16	861 88	281.28
01/19/2011	10/04/2013	1	EOG RESOURCES INC	173 35	102 19	173.35	102 19	71.16
07/22/2011	10/04/2013	10	EOG RESOURCES INC	173 35	106 10	1,733.50	1,061 02	672.48
07/21/2011	10/14/2013	50	DELTA AIR LINES INC	24 06	8 13	1,202.79	406 60	796.19
07/21/2011	10/14/2013	70	DELTA AIR LINES INC	24 06	8 13	1,683.90	569 24	1,114.66
04/04/2012	10/15/2013	35	COGNIZANT TECH SOLUTIONS-A	86.34	76.09	3,022.05	2,663.05	359.00
07/24/2012	10/16/2013	40	MACY'S INC	43 08	34 24	1,723.21	1,369 74	353.47
10/17/2012	10/21/2013	90	KINDER MORGAN INC	35 40	35 74	3,185.85	3,216 24	-30.39
09/25/2012	10/23/2013	120	FIDELITY NATIONAL FINANCIAL IN	26 45	21 24	3,174.16	2,548 23	625.93
06/15/2012	10/23/2013	15	MCDONALD'S CORP	94 21	90 45	1,413.13	1,356 74	56.39
12/14/2011	11/05/2013	35	CIT GROUP INC	47 56	33 45	1,664.55	1,170 76	493.79
10/18/2011	11/08/2013	15	***LYONDELLBASELL INDU-CL A	75 22	25 73	1,128.36	385 94	742.42
04/11/2011	11/11/2013	25	WELLPOINT INC	87 92	69 30	2,198.12	1,732 49	465.63
04/27/2011	11/11/2013	20	WELLPOINT INC	87 92	74 70	1,758.49	1,494 00	264.49
04/28/2011	11/11/2013	20	WELLPOINT INC	87 92	76 17	1,758.50	1,523 38	235.12
05/26/2011	11/11/2013	5	WELLPOINT INC	87 92	76 08	439.62	380 41	59.21
07/15/2011	11/14/2013	10	CITRIX SYSTEMS INC	55 18	75 96	551.84	759 59	-207.75
08/24/2012	11/14/2013	15	CITRIX SYSTEMS INC	55 18	76 96	827.75	1,154 47	-326.72
11/08/2012	11/14/2013	20	CITRIX SYSTEMS INC	55 18	61 50	1,103.67	1,230 04	-126.37
02/10/2012	11/18/2013	85	CISCO SYSTEMS INC	21 24	20 00	1,805.13	1,700 28	104.85
10/17/2012	11/18/2013	25	KINDER MORGAN INC	35 65	35 74	891.22	893 40	-2.18
11/01/2012	11/18/2013	10	KINDER MORGAN INC	35 65	34 59	356.49	345 94	10.55
11/14/2012	11/21/2013	6	AMAZON COM INC	366 03	224 39	2,196.20	1,346 36	849.84
08/02/2012	11/22/2013	18	KRAFT FOODS GROUP INC-W/I	52 71	41 26	948.86	742 65	206.21
08/21/2012	11/22/2013	8	KRAFT FOODS GROUP INC-W/I	52 71	44 37	421.72	354 97	66.75
10/08/2012	11/22/2013	49	KRAFT FOODS GROUP INC-W/I	52 71	47 37	2,583.02	2,320 93	262.09
08/10/2012	11/26/2013	5	BIOGEN IDEC INC	289 87	144 94	1,449.34	724 69	724.65
08/09/2011	11/27/2013	20	WALT DISNEY CO/THE	70 70	33 36	1,414.07	667 23	746.84
05/26/2011	12/09/2013	10	WELLPOINT INC	91 50	76 08	914.95	760 82	154.13
09/06/2011	12/09/2013	15	WELLPOINT INC	91 50	61 93	1,372.43	928 92	443.51
02/06/2012	12/09/2013	20	WELLPOINT INC	91 50	64 71	1,829.91	1,294 28	535.63
05/17/2012	12/09/2013	35	WELLPOINT INC	91 50	65 91	3,202.34	2,306 85	895.49
11/14/2012	12/10/2013	12	INTUITIVE SURGICAL INC	379 58	530 29	4,555.01	6,363 52	-1,808.51
05/31/2012	12/13/2013	10	INTL BUSINESS MACHINES CORP	173 13	193 60	1,731.26	1,935 97	-204.71
08/16/2012	12/13/2013	5	INTL BUSINESS MACHINES CORP	173.13	199.72	865.63	998.62	-132.99
02/10/2012	12/16/2013	2	CISCO SYSTEMS INC	20 40	20 00	40.81	40 01	0.80
02/28/2012	12/16/2013	63	CISCO SYSTEMS INC	20 40	20 19	1,285.44	1,271 73	13.71
03/02/2012	12/16/2013	50	CISCO SYSTEMS INC	20 40	19 79	1,020.20	989 31	30.89
12/11/2012	12/16/2013	50	CISCO SYSTEMS INC	20 40	19 87	1,020.19	993 43	26.76
11/29/2010	12/30/2013	15	VIACOM INC-CLASS B	87 09	37 47	1,306.38	561 99	744.39

# Capital Gains Report

THE JOHN C FRICANO FOUNDATION, INC. (Account 888-21077)

January 1, 2013 through December 31, 2013

Open Date	Close Date	Quantity	Description	Sale Price	Unit Cost	Total Proceeds	Total Cost	Gain or Loss
			SUBTOTAL FOR LONG-TERM NON-COVERED			265,484.12	232,890.58	32,593.54
			CASH IN LIEU COVERED					
	05/10/2013		CST BRANDS INC			13.96		13.96
			SUBTOTAL FOR CASH IN LIEU COVERED			13.96	0.00	13.96
			TOTAL			505,407.00	456,258.38	49,148.62

\*\* ACCOUNT TOTALS \*\*

CURRENT PERIOD - G/L 49,148.62

SHORT TERM - COVERED (COST BASIS REPORTED ON 1099-B)	0.00	
SHORT TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B)	16,541.12	
SHORT TERM TOTAL		16,541.12
LONG TERM - COVERED (COST BASIS REPORTED ON 1099-B)	0.00	
LONG TERM - NON-COVERED (COST BASIS NOT REPORTED ON 1099-B)	32,593.54	
LONG TERM TOTAL		32,593.54
CIL - COVERED		13.96

Capital-Gains Distributions. If you own shares of the Sanford C. Bernstein Fund, Inc., you may have received an annual capital-gains distribution (different from the capital gains or losses on securities sold, which are listed in this report). Long-term capital-gains distributions are a separate item on the I R S forms, and appear on the Summary-of-Key-Items report.

Zero-Cost Transactions. If you transferred securities to Bernstein for which the purchase price was unavailable, the total capital-gains figure that appears on this report needs to be adjusted. Any such transactions are segregated on the Capital-Gains report, with the cost entered as zero. You will need to update the report data with the correct purchase information.

CIL represents cash in lieu of fractional shares.

This information should be reviewed by your tax advisor or accountant.