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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No. 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE PAULEY FAMILY FOUNDATION C/O THE COMMUNITY FOUNDATION INC.		A Employer identification number 54-1685158
Number and street (or P O box number if mail is not delivered to street address) 7501 BOULDERS VIEW DRIVE, SUITE 110	Room/suite	B Telephone number 804-330-7400
City or town, state or province, country, and ZIP or foreign postal code RICHMOND, VA 23225-4047		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 86,838,051.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☒

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		1,392,255.	1,392,255.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		5,530,376.			
b Gross sales price for all assets on line 6a		80,422,957.			
7 Capital gain net income (from Part IV, line 2)			5,530,376.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		293.	293.		STATEMENT 2
12 Total. Add lines 1 through 11		6,922,924.	6,922,924.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees		1,670.	1,670.		0.
b Accounting fees		7,200.	7,200.		0.
c Other professional fees		314,155.	314,155.		0.
17 Interest					
18 Taxes		21,295.	21,295.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		6,453.	6,453.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		350,773.	350,773.		0.
25 Contributions, gifts, grants paid		2,965,108.			2,965,108.
26 Total expenses and disbursements. Add lines 24 and 25		3,315,881.	350,773.		2,965,108.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		3,607,043.			
b Net investment income (if negative, enter -0-)			6,572,151.		
c Adjusted net income (if negative, enter -0-)				N/A	

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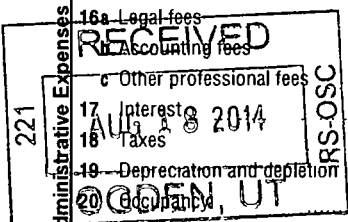
LHA For Paperwork Reduction Act Notice, see instructions.

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	0.		
	2 Savings and temporary cash investments	5,149,057.	972,679.	972,679.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	70,023,303.	77,744,986.	85,865,372.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment; basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	75,172,360.	78,717,665.	86,838,051.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	75,172,360.	78,717,665.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	75,172,360.	78,717,665.	
31 Total liabilities and net assets/fund balances	75,172,360.	78,717,665.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	75,172,360.
2 Enter amount from Part I, line 27a	2	3,607,043.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	78,779,403.
5 Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	61,738.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	78,717,665.

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Part IV Capital Gains and Losses for Tax on Investment Income

SEE ATTACHED STATEMENTS

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	80,422,957.	74,892,581.	5,530,376.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			5,530,376.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	5,530,376.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	3,892,858.	74,383,612.	.052335
2011	1,065,506.	77,961,790.	.013667
2010	1,112,000.	68,413,354.	.016254
2009	3,005,000.	49,003,189.	.061323
2008	4,730,532.	53,871,571.	.087811

2 Total of line 1, column (d)	2	.231390
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046278
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	79,435,952.
5 Multiply line 4 by line 3	5	3,676,137.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	65,722.
7 Add lines 5 and 6	7	3,741,859.
8 Enter qualifying distributions from Part XII, line 4	8	2,965,108.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	0.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	0.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>VA</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes" attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>THE COMMUNITY FOUNDATION INC.</u> Telephone no. ► <u>804-330-7400</u> Located at ► <u>7501 BOULDERS VIEW DRIVE, SUITE 110, RICHMOND, VA</u> ZIP+4 ► <u>23225-4047</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
GOLDMAN, SACHS & CO. - 1735 MARKET STREET, 26TH FLOOR, PHILADELPHIA, PA 19103	INVESTMENT ADVISORY	178,015.
MORGAN STANLEY 522 FIFTH AVENUE, NEW YORK, NY 10036	INVESTMENT ADVISORY	66,255.
UBS FINANCIAL SERVICES, INC. - 299 PARK AVENUE, 25TH FLOOR, NEW YORK, NY 10171	INVESTMENT ADVISORY	59,928.

Total number of others receiving over \$50,000 for professional services **0**

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE STATEMENT 11	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	76,480,175.
b	Average of monthly cash balances	1b	4,165,462.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	80,645,637.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	80,645,637.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,209,685.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	79,435,952.
6	Minimum investment return. Enter 5% of line 5	6	3,971,798.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	3,971,798.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	0.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	3,971,798.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	3,971,798.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	3,971,798.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,965,108.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,965,108.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,965,108.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				3,971,798.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	330,368.			
b From 2009	565,146.			
c From 2010				
d From 2011				
e From 2012	180,371.			
f Total of lines 3a through e	1,075,885.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	2,965,108.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount	0.			2,965,108.
e Remaining amount distributed out of corpus	1,006,690.			1,006,690.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:	69,195.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	69,195.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012	69,195.			
e Excess from 2013				

Part XIV	Private Operating Foundations (see instructions and Part VII-A, question 9)
-----------------	--

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:
(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SEE STATEMENT 12

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE PAULEY FAMILY FOUNDATION
C/O THE COMMUNITY FOUNDATION INC.

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Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution * *	Amount
Name and address (home or business)				
a Paid during the year				
CARITAS P.O. BOX 25790 RICHMOND, VA 23260	NONE	PUBLIC	THE HEALING PLACE PROGRAMS	10,000.
CENTERSTAGE FOUNDATION 600 EAST GRACE STREET SUITE 400 RICHMOND, VA 23219	NONE	PUBLIC	GENERAL PURPOSES	10,000.
FRIENDS ASSOCIATION FOR CHILDREN 1004 SAINT JOHN STREET RICHMOND, VA 23220	NONE	PUBLIC	GENERAL PURPOSES	10,000.
HAMPDEN-SYDNEY COLLEGE COLLEGE ROAD, BOX 128 HAMPDEN-SYDNEY, VA 23943	NONE	COLLEGE	THE ANNUAL FUND	25,000.
MEDICAL COLLEGE OF VIRGINIA FOUNDATION - MASSEY CANCER CENTER P.O. BOX 980234 RICHMOND, VA 23284	NONE	COLLEGE	GENERAL PURPOSES OF THE MASSEY CANCER CENTER	10,000.
Total	SEE CONTINUATION SHEET(S)			2,965,108.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2013)

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* * SEE PURPOSE OF GRANT CONTINUATIONS

12

16480811 146761 PAULEY.FDN

2013.04010 THE PAULEY FAMILY FOUNDAT PAULEY.1

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
f	_____					
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	1,392,255.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			14	293.	
8	Gain or (loss) from sales of assets other than inventory			18	5,530,376.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a	_____					
b	_____					
c	_____					
d	_____					
e	_____					
12	Subtotal. Add columns (b), (d), and (e)		0.		6,922,924.	0.
13	Total. Add line 12, columns (b), (d), and (e)					6,922,924.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-----|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | | X |
| | (2) Other assets | | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | | X |
| | (3) Rental of facilities, equipment, or other assets | | X |
| | (4) Reimbursement arrangements | | X |
| | (5) Loans or loan guarantees | | X |
| | (6) Performance of services or membership or fundraising solicitations | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No	
	Signature of officer or trustee <i>S. F. Bailey</i>		Date <i>8/12/14</i>	Title <i>Chairman</i>		
Paid Preparer Use Only	Print/Type preparer's name		Preparer's signature	Date	Check ☐ if self-employed	PTIN
	PAUL COHEN		<i>Paul Cohen</i>	<i>12 Aug 2014</i>		P00305337
	Firm's name ▶ HERITAGE WEALTH ADVISORS				Firm's EIN ▶ 36-4579334	
	Firm's address ▶ 919 EAST MAIN STREET SUITE 950 RICHMOND, VA 23219				Phone no. (804) 643-4080	

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES-UBS LT	P	VARIOUS	VARIOUS
b	PUBLICLY TRADED SECURITIES-UBS ST	P	VARIOUS	VARIOUS
c	PUBLICLY TRADED SECURITIES-GOLDMAN LT	P	VARIOUS	VARIOUS
d	PUBLICLY TRADED SECURITIES-GOLDMAN ST	P	VARIOUS	VARIOUS
e	REGULATED FUTURES-GOLDMAN	P	VARIOUS	VARIOUS
f	PUBLICLY TRADED SECURITIES-METALMARK CAPITAL PART	P	VARIOUS	VARIOUS
g	PUBLICLY TRADED SECURITIES-GOLDMAN ST	P	VARIOUS	VARIOUS
h	PUBLICLY TRADED SECURITIES-ABBEY CAPITAL K-1	P	VARIOUS	VARIOUS
i	PUBLICLY TRADED SECURITIES-ABBEY CAPITAL K-1	P	VARIOUS	VARIOUS
j	DISTRIBUTION IN EXCESS OF BASIS - MS AIP	P	VARIOUS	VARIOUS
k	PUBLICLY TRADED SECURITIES-MS PE ASIA III	P	VARIOUS	VARIOUS
l	PUBLICLY TRADED SECURITIES-GOLDMAN ST CAP GAIN DI	P	VARIOUS	VARIOUS
m	PUBLICLY TRADED SECURITIES-GOLDMAN LT CAP GAIN DI		VARIOUS	VARIOUS
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,666,622.		3,484,789.	181,833.
b 20,508,747.		20,037,231.	471,516.
c 13,441,581.		11,126,386.	2,315,195.
d 41,627,200.		39,351,667.	2,275,533.
e 217,064.		270,124.	-53,060.
f 36,294.			36,294.
g 622,317.		622,384.	-67.
h 34,411.			34,411.
i 40,991.			40,991.
j 112,311.			112,311.
k 107,797.			107,797.
l 4,573.			4,573.
m 3,049.			3,049.
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			181,833.
b			471,516.
c			2,315,195.
d			2,275,533.
e			-53,060.
f			36,294.
g			-67.
h			34,411.
i			40,991.
j			112,311.
k			107,797.
l			4,573.
m			3,049.
n			
o			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	5,530,376.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	N/A

THE PAULEY FAMILY FOUNDATION
C/O THE COMMUNITY FOUNDATION INC.

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MEDICAL COLLEGE OF VIRGINIA FOUNDATION P.O. BOX 843042 RICHMOND, VA 23284	NONE	COLLEGE	TO EXPAND AND ENHANCE THE RESEARCH MISSION OF THE PAULEY HEART CENTER	1,250,000.
MEDICAL COLLEGE OF VIRGINIA FOUNDATION P.O. BOX 843042 RICHMOND, VA 23284	NONE	COLLEGE	GENERAL PURPOSES (\$25,000) AND THE PAULEY HEART CENTER (\$25,000)	50,000.
MONTPELIER FOUNDATION P.O. BOX 911 ORANGE, VA 22960	NONE	PUBLIC	GENERAL PURPOSES	10,000.
RICHMOND BALLET 407 EAST CANAL STREET RICHMOND, VA 23219	NONE	PUBLIC	THE ANNUAL FUND	10,000.
RICHMOND SPCA 2519 HERMITAGE ROAD RICHMOND, VA 23220	NONE	PUBLIC	THE ANNUAL FUND	10,000.
SCHOOL OF PERFORMING ARTS IN RICHMOND COMMUNITY 2106-A N. HAMILTON ST. RICHMOND, VA 23230	NONE	PUBLIC	CAPITAL CAMPAIGN	25,000.
ST. ANDREW'S SCHOOL 227 SOUTH CHERRY STREET RICHMOND, VA 23220	NONE	PUBLIC	GENERAL PURPOSES	5,000.
THE COMMUNITY FOUNDATION SERVING RICHMOND & CENTRAL VA 7501 BOULDERS VIEW DRIVE, SUITE 110 RICHMOND, VA 23225	NONE	PUBLIC	FOR UNRESTRICTED SUPPORT	322,608.
THE RICHMOND SYMPHONY 612 EAST GRACE STREET # 401 RICHMOND, VA 23219	NONE	PUBLIC	THE RAINY DAY QUASI ENDOWMENT (\$70,000), THE PARSONS EDUCATION ENDOWMENT (\$30,000), THE ANNUAL FUND	135,000.
UNITED WAY OF GREATER RICHMOND & PETERSBURG 2001 MAYWILL STREET, P.O. BOX 11807 RICHMOND, VA 23230	NONE	PUBLIC	ALEXIS DE TOCQUEVILLE SOCIETY ANNUAL FUND	10,000.
Total from continuation sheets				2,900,108.

THE PAULEY FAMILY FOUNDATION
C/O THE COMMUNITY FOUNDATION INC.

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Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF MANITOBA FOUNDATION U.S.A. 330 NORTH WABASH AVENUE, 22ND FLOOR, IBM PLAZA CHICAGO, IL 60611-3607	NONE	COLLEGE	RENOVATE AND UPGRADE ACADEMIC FACILITIES (\$1,000,000) AND GENERAL PURPOSES (\$25,000)	1,025,000.
VALENTINE RICHMOND HISTORY CENTER 1015 EAST CLAY STREET RICHMOND, VA 23219	NONE	PUBLIC	GENERAL PURPOSES	5,000.
VCU SCHOOL OF ENGINEERING FOUNDATION 601 WEST MAIN STREET PO BOX 843068 RICHMOND, VA 23284	NONE	COLLEGE	THE ANNUAL FUND	10,000.
VIRGINIA MUSEUM OF FINE ARTS FOUNDATION 200 N BOULEVARD RICHMOND, VA 23220	NONE	PUBLIC	THE ANNUAL FUND	25,000.
VIRGINIA OPERA ASSOCIATION P.O. BOX 784 RICHMOND, VA 21218	NONE	PUBLIC	THE ANNUAL FUND	5,000.
VISUAL ARTS CENTER OF RICHMOND 1812 WEST MAIN STREET RICHMOND, VA 23220	NONE	PUBLIC	THE ANNUAL FUND	2,500.
Total from continuation sheets				

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - THE RICHMOND SYMPHONY

THE RAINY DAY QUASI ENDOWMENT (\$70,000), THE PARSONS EDUCATION
ENDOWMENT (\$30,000), THE ANNUAL FUND (\$25,000) AND GENERAL PURPOSES
(\$10,000)

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FROM ABBEY CAPITAL					
K-1	117.	0.	117.	117.	
FROM KKR FINANCIAL					
HOLDINGS K1	52.	0.	52.	52.	
FROM METALMARK					
CAPITAL PARTNERS					
K-1	1,604.	0.	1,604.	1,604.	
FROM MS PE ASIA					
III	22,930.	0.	22,930.	22,930.	
GOLDMAN #1098	36,782.	0.	36,782.	36,782.	
GOLDMAN #3673	57,784.	0.	57,784.	57,784.	
GOLDMAN #3681	693,834.	0.	693,834.	693,834.	
GOLDMAN #4804	17,552.	0.	17,552.	17,552.	
GOLDMAN #4812	28,308.	0.	28,308.	28,308.	
GOLDMAN #4820	47,105.	0.	47,105.	47,105.	
GOLDMAN #4846	44,118.	0.	44,118.	44,118.	
GOLDMAN #4879	39,072.	0.	39,072.	39,072.	
GOLDMAN #4887	38,185.	0.	38,185.	38,185.	
GOLDMAN #4945	47,588.	0.	47,588.	47,588.	
GOLDMAN #5314	4,634.	0.	4,634.	4,634.	
GOLDMAN #5405	6,740.	0.	6,740.	6,740.	
GOLDMAN #6833	43,824.	0.	43,824.	43,824.	
GOLDMAN #7112	660.	0.	660.	660.	
GOLDMAN #7781	14.	0.	14.	14.	
GOLDMAN #7799	4.	0.	4.	4.	
GOLDMAN #7914	29.	0.	29.	29.	
UBS - Y1 X8365	14,796.	0.	14,796.	14,796.	
UBS #8366	29,454.	0.	29,454.	29,454.	
UBS #8371	11,433.	0.	11,433.	11,433.	
UBS #8372	19,945.	0.	19,945.	19,945.	
UBS #8373	15,441.	0.	15,441.	15,441.	
UBS #8377	23,250.	0.	23,250.	23,250.	
UBS #8379	10,134.	0.	10,134.	10,134.	
UBS #8709	15,485.	0.	15,485.	15,485.	
UBS #8711	25,430.	0.	25,430.	25,430.	
UBS #8712	15,776.	0.	15,776.	15,776.	
UBS #8713	7,153.	0.	7,153.	7,153.	
UBS #8714	8,981.	0.	8,981.	8,981.	
UBS #8715	17,207.	0.	17,207.	17,207.	
UBS #8716	7,257.	0.	7,257.	7,257.	
UBS #8720	2.	0.	2.	2.	
UBS #8723	11,557.	0.	11,557.	11,557.	
UBS #8724	28,010.	0.	28,010.	28,010.	
UBS #8726	8.	0.	8.	8.	
TO PART I, LINE 4	1,392,255.	0.	1,392,255.	1,392,255.	

FORM 990-PF	OTHER INCOME	STATEMENT 2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME - UBS#8709	270.	270.	
MISCELLANEOUS INCOME - KKR			
FINANCIAL HOLDINGS LLC K-1	18.	18.	
MISCELLANEOUS INCOME - ABBEY			
CAPITAL K-1	5.	5.	
TOTAL TO FORM 990-PF, PART I, LINE 11	293.	293.	

FORM 990-PF	LEGAL FEES	STATEMENT 3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MCGUIRE WOODS LLP	1,670.	1,670.		0.
TO FM 990-PF, PG 1, LN 16A	1,670.	1,670.		0.

FORM 990-PF	ACCOUNTING FEES	STATEMENT 4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
HERITAGE WEALTH ADVISORS	7,200.	7,200.		0.
TO FORM 990-PF, PG 1, LN 16B	7,200.	7,200.		0.

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
MORGAN STANLEY & CO, INC	66,255.	66,255.		0.
UBS FINANCIAL SERVICES, INC.	59,928.	59,928.		0.
GOLDMAN SACHS FROM METALMARK CAPITAL PARTNERS K-1	178,015.	178,015.		0.
FROM ABBEY CAPITAL K-1	3,488.	3,488.		0.
	6,469.	6,469.		0.
TO FORM 990-PF, PG 1, LN 16C	314,155.	314,155.		0.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	21,295.	21,295.		0.
TO FORM 990-PF, PG 1, LN 18	21,295.	21,295.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER MISC. EXPENSES	6,453.	6,453.		0.
TO FORM 990-PF, PG 1, LN 23	6,453.	6,453.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 8
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DESCRIPTION	AMOUNT
BEGINNING BALANCE ADJUSTMENT	49,108.
MISCELLANEOUS ADJUSTMENT	12,630.
TOTAL TO FORM 990-PF, PART III, LINE 5	61,738.

FORM 990-PF

CORPORATE STOCK

STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
UBS #8370	0.	0.
MORGAN STANLEY #1320 / UBS #8375	287,034.	325,749.
MS #1482	0.	1,221,806.
METALMARK CAPITAL #4123	408,072.	503,574.
UBS #8366	0.	0.
UBS #8373	0.	0.
UBS #8372	0.	0.
UBS #8371 / UBS #8379	0.	0.
MORGAN STANLEY #7476	3,202,511.	790,207.
MORGAN STANLEY #8959	2,677,201.	3,519,174.
UBS #8367	0.	0.
UBS #8368	0.	0.
UBS #8377	0.	0.
UBS ABBEY CAPITAL #8378	0.	0.
UBS #8726	0.	0.
UBS #8723	0.	0.
UBS #8720	0.	0.
UBS #8721	0.	0.
UBS #8724	0.	0.
UBS #8715	0.	0.
UBS #8714	0.	0.
UBS #8712	0.	0.
UBS #8711	0.	0.
UBS #8716	0.	0.
UBS #8713	0.	0.
UBS #8709	0.	0.
GOLDMAN #3681	41,497,358.	45,148,112.
GOLDMAN #4804	2,602,314.	3,353,065.
GOLDMAN #4812	2,137,326.	2,594,548.
GOLDMAN #4820	3,662,807.	4,599,098.
GOLDMAN #4846	2,640,588.	2,974,176.
GOLDMAN #4879	3,493,024.	4,348,516.
GOLDMAN #4887	2,118,892.	2,544,921.
GOLDMAN #4945	2,962,996.	3,475,413.
GOLDMAN #6833	3,811,215.	3,767,197.
GOLDMAN #7781	0.	-110,334.
GOLDMAN #7799	0.	-60,046.
GOLDMAN #7914	37,278.	37,278.
GOLDMAN #1098	3,878,870.	4,392,344.
GOLDMAN #7112	2,327,500.	2,440,574.
TOTAL TO FORM 990-PF, PART II, LINE 10B	77,744,986.	85,865,372.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
STANLEY F. PAULEY 7501 BOULDERS VIEW DRIVE SUITE 110 RICHMOND, VA 23225	CHAIRMAN 5.00	0.	0.	0.
DOROTHY A. PAULEY 7501 BOULDERS VIEW DRIVE SUITE 110 RICHMOND, VA 23225	PRESIDENT & TREASURER 1.00	0.	0.	0.
DARCY S. OMAN (THRU 11/4/2013) 7501 BOULDERS VIEW DRIVE SUITE 110 RICHMOND, VA 23225	SECRETARY 5.00	0.	0.	0.
LORNA P. JORDAN (EFFECTIVE 11/4/2013) 7501 BOULDERS VIEW DRIVE SUITE 110 RICHMOND, VA 23225	SECRETARY 0.50	0.	0.	0.
JOHN SHERMAN, JR. 7501 BOULDERS VIEW DRIVE SUITE 110 RICHMOND, VA 23225	DIRECTOR 0.50	0.	0.	0.
FRED T. TATTERSALL 7501 BOULDERS VIEW DRIVE SUITE 110 RICHMOND, VA 23225	DIRECTOR 0.50	0.	0.	0.
JANE G. WATKINS 7501 BOULDERS VIEW DRIVE SUITE 110 RICHMOND, VA 23225	DIRECTOR 0.50	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

SUMMARY OF DIRECT CHARITABLE ACTIVITIES

STATEMENT 11

ACTIVITY ONE

THE PAULEY FAMILY FOUNDATION EXPECTS TO SATISFY THE REQUIREMENTS OF IRC SECTION 507(B)(2)(B)(I) DURING THE 60-MONTH TERMINATION PERIOD BEGINNING JANUARY 1, 2012, AS A TYPE I SUPPORTING ORGANIZATION TO THE COMMUNITY FOUNDATION, INC., WHICH IS A PUBLIC CHARITY DESCRIBED IN IRC SECTION 509(A)(1). AS SUCH, THE PAULEY FAMILY FOUNDATION BEGAN CONDUCTING GRANT-MAKING ACTIVITIES TO SUPPORT THE EXEMPT PURPOSES OF THE COMMUNITY FOUNDATION DURING ITS 2012 TAX YEAR.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

0.

FORM 990-PF

PART XV - LINE 1A
LIST OF FOUNDATION MANAGERS

STATEMENT 12

NAME OF MANAGER

STANLEY F. PAULEY
DOROTHY A. PAULEY

Pauley Family Foundation
12/31/2013

Account Name	Holdings	Book Value	Market Value
Morgan Stanley Real Estate Fund VI Offshore	Alternative Investments	3,202,511	790,207
Morgan Stanley Liquid Markets Fund II SPV	Alternative Investments	287,034	325,749
Morgan Stanley Private Markets Fund II	Alternative Investments	-	1,221,806
Morgan Stanley Private Equity Asia III	Alternative Investments	2,677,201	3,519,174
Metal Mark Capital Partners	Alternative Investments	408,072	503,574
Abbey Capital	Alternative Investments	-	-
Prisma	Alternative Investments	-	-
		6,574,818	6,360,510



Business Services Account
December 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Cash
Account number: Y1 08365 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Dec 1 (\$)	Closing balance on Dec 31 (\$)	Price per share on Dec 31 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	1.35	1.35				
UBS SELECT PRIME CAPITAL	271,603.04	0.00	1.00	0.01%	Nov 1 to Nov 30	30
Total	\$271,604.39	\$1.35				

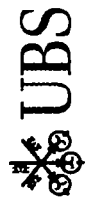
Your total assets

Cash	Value on Dec 31 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	1.35	100.00%	1.35		
Total	\$1.35	100.00%	\$1.35		

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Nov 29		Cash and money balance					\$271,604.39
Dec 2	Deliver	CREDIT CASH BALANCE				-271,603.04	1.35
Dec 4	Dividend	UBS SELECT PRIME CAPITAL FUND AS OF 12/03/13				30	1.65
Dec 9	Deliver	CREDIT CASH BALANCE				- 30	1.35
Dec 31		Closing cash and money balance					\$1.35



Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF US LC Core
Account number: Y1 08366 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	2,721.95	0.00				

Account activity this month

Dividend and interest income		Taxable dividends		Amount (\$)	
Date	Activity	Description			
Apr 1	Dividend	PEPSICO INC PAID ON	970 AS OF 03/29/13		521.38
Apr 1	Dividend	BAXTER INTL INC PAID ON	1860		837.00
Apr 12	Foreign Dividend	SCHLUMBERGER LTD NETHERLANDS ANTILLES PAID ON	1560		487.50
Apr 15	Dividend	THERMO FISHER SCIENTIFIC INC PAID ON	1085		162.75
Apr 25	Dividend	GENL ELECTRIC CO PAID ON	3500		665.00
Total taxable dividends					\$2,673.63
Total dividend and interest income					\$2,673.63
Other funds debited		Amount (\$)			
Date	Activity	Description			
Apr 15	Account Conversion	TO Y1-08365			-4,567.83
Apr 22	Account Conversion	TO Y1-08365			-162.75
Apr 29	Account Conversion	TO Y1-08365			-665.00
Total other funds debited					-\$5,395.58



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Artio Intl
Account number: Y1 08367 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Mar 1 (\$)	Closing balance on Mar 28 (\$)	Price per share on Mar 28 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.00	0.01				

Your total assets

Cash	Value on Mar 28 (\$)	Percentage of your account	Cost basis (\$)	Estimated annual income (\$)	Unrealized gain or loss (\$)
Cash and money balances	0.01	100.00%	0.01		
Total	\$0.01	100.00%	\$0.01		

Account activity this month

Fees	Date	Activity	Description	Amount (\$)
	Mar 22	Refund	PRORATED ADVISORY FEE	0.01
Total professional management fees				\$0.01



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Lazard Emg
Account number: Y1 08368 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the *opening and closing balance*; value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	March 2013 (\$)	Year to date (\$)
Opening balances	\$0.00	\$189.72
<i>Additions</i>		
Deposits and other funds credited	0 00	1,081 57
Proceeds from investment transactions	1,835,506 84	1,835,506 84
Total additions	\$1,835,506.84	\$1,836,588.41
<i>Subtractions</i>		
Professional management fees and related services	169 50	-1,101 79
Other funds debited	-1,835,676 34	-1,835,676 34
Total subtractions	-\$1,835,506.84	-\$1,836,778.13
Net cash flow	\$0.00	-\$189.72
Closing balances	\$0.00	\$0.00

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized gains and losses (\$)
	March 2013 (\$)	Year to date (\$)	
Short term	1,630 97	1,630 97	0 00
Long term	106,200 74	106,200.74	0 00
Total	\$107,831.71	\$107,831.71	\$0.00



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF PIMCO Cndty
Account number: Y1 08370 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the *opening and closing balance*; value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	March 2013 (\$)	Year to date (\$)
Opening balances	\$0.00	\$15.30
<i>Additions</i>		
Deposits and other funds credited	0 00	662 38
Proceeds from investment transactions	977,108 30	977,108 30
Total additions	\$977,108.30	\$977,770.68
<i>Subtractions</i>		
Professional management fees and related services	90 35	-587 33
Other funds debited	-977,198 65	-977,198 65
Total subtractions	-\$977,108.30	-\$977,785.98
Net cash flow	\$0.00	-\$15.30
Closing balances	\$0.00	\$0.00

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized gains and losses (\$)	
	March 2013 (\$)	Year to date (\$)	March 2013 (\$)	Year to date (\$)
Short term	-354 50	-354 50	0 00	0 00
Long term	-495,319 79	-495,319 79	0 00	0 00
Total	-\$495,674.29	-\$495,674.29	\$0.00	\$0.00



Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Winslow
Account number: Y1 08371 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	2,182.82	0.00				

Account activity this month

Dividend and interest income		Taxable dividends		Amount (\$)	
Date	Activity	Description			
Apr 1	Dividend	CBS CORP NEW CL B PAID ON	1420		170.40
Apr 1	Dividend	PRECISION CASTPARTS CORP PAID ON	494		14.82
Apr 1	Dividend	RANGE RESOURCES CORP PAID ON	458 AS OF 03/29/13		18.32
Apr 1	Dividend	ROSS STORES INC PAID ON	948 AS OF 03/29/13		161.16
Apr 1	Dividend	UNION PACIFIC CORP PAID ON	1444		996.36
Apr 2	Dividend	FLUOR CORP NEW PAID ON	1058		169.28
Apr 11	Foreign Dividend	GLAXO SMITHKLINE PLC ADR PAID ON CUSIP 37733W105	1051		724.64
Apr 15	Dividend	ECOLAB INC PAID ON	1162		267.26
Total taxable dividends					\$2,522.24
Total dividend and interest income					\$2,522.24
Other funds debited		Amount (\$)			
Date	Activity	Description			
Apr 15	Account Conversion	TO Y1-08365			-4,437.80
Apr 22	Account Conversion	TO Y1-08365			-267.26
Total other funds debited					-\$4,705.06



Investment Account
May 2013

Account name: PAULEY FAMILY FOUNDATION
Fidelity account name: PFF NWQ LC Val
Account number: Y1 08372 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the *opening and closing balance*; value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	May 2013 (\$)	Year to date (\$)
Opening balances	\$0.00	\$171,019.73
<i>Additions</i>		
Dividend and interest income	3 57	19,945 11
Proceeds from investment transactions	0 00	61,225 17
Total additions	\$3.57	\$81,170.28
<i>Subtractions</i>		
Professional management fees and related services	0 00	-2,035 41
Other funds debited	-3 57	-202,654 38
Funds withdrawn for investments bought	0 00	-47,500 22
Total subtractions	-\$3.57	-\$252,190.01
Net cash flow	\$0.00	-\$171,019.73
Closing balances	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	May 2013 (\$)	Year to date (\$)
Taxable dividends	3 57	19,933 46
Total current year	\$3.57	\$19,933.46
Prior year adjustment	0 00	11 65
Total dividend & interest	\$3.57	\$19,945.11

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized gains and losses (\$)	
	May 2013 (\$)	Year to date (\$)	May 2013 (\$)	Year to date (\$)
Short term	0 00	-11,810 44	0 00	0 00
Long term	0 00	7,826 18	0 00	0 00
Total	\$0.00	-\$3,984.26	\$0.00	\$0.00

Withholdings and tax summary

	May 2013 (\$)	Year to date (\$)
Foreign taxes paid	-0 53	-537 88



Investment Account
June 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Tradewinds
Account number: Y1 08373 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the *opening and closing balance* value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	June 2013 (\$)	Year to date (\$)
Opening balances	\$0.00	\$105,572.88
<i>Additions</i>		
Dividend and interest income	1,519.63	15,440.58
Proceeds from investment transactions	0.00	657,429.80
Total additions	\$1,519.63	\$672,870.38
<i>Subtractions</i>		
Professional management fees and related services	0.00	-1,616.65
Other fees	-65.40	-252.00
Other funds debited	-1,454.23	-291,033.42
Funds withdrawn for investments bought	0.00	-485,541.19
Total subtractions	-\$1,519.63	-\$778,443.26
Net cash flow	\$0.00	-\$105,572.88
Closing balances	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	June 2013 (\$)	Year to date (\$)
Taxable dividends	1,519.63	12,519.23
Taxable interest	0.00	2,597.50
Taxable accrued interest received	0.00	315.61
Total current year	\$1,519.63	\$15,432.34
Prior year adjustment	0.00	8.24
Total dividend & interest	\$1,519.63	\$15,440.58

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized gains and losses (\$)	
	June 2013 (\$)	Year to date (\$)	June 2013 (\$)	Year to date (\$)
Short term	0.00	90,367.14	0.00	0.00
Long term	0.00	-10,397.92	0.00	0.00
Total	\$0.00	\$79,969.22	\$0.00	\$0.00

Withholdings and tax summary

	June 2013 (\$)	Year to date (\$)
Foreign taxes paid	0.00	-1,121.69



Investment Account
September 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF AIP LMF II
Account number: Y1 08375 1C

Your Financial Advisor:
DJNN/YAMIN
212-821-7000/800-308-3140

Change in the value of your account

	September 2013 (\$)	Year to date (\$)
Opening account value	\$349,048.00	\$425,285.00
Deposits, including investments transferred in	0 00	554 80
Withdrawals and fees, including investments transferred out	-345,979 00	-400,005 80
Change in market value	-3,069 00	-25,834 00
Closing account value	\$0.00	\$0.00

Cash activity summary

See *Account activity this month* for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See *Important information about your statement* on the last two pages of this document for details.

	September 2013 (\$)	Year to date (\$)
Opening balances	\$0.00	\$0.00
<i>Additions</i>		
Deposits and other funds credited	0 00	554 80
Total additions	\$0.00	\$554.80
<i>Subtractions</i>		
Fees	0 00	-277 40
Other funds debited	0 00	-277 40
Total subtractions	\$0.00	-\$554.80
Closing balances	\$0.00	\$0.00

Your investment objectives:

You have identified the following investment objectives for this account. If you have questions about these objectives, disagree with them, or wish to change them, please contact your Financial Advisor or Branch Manager. You can find a full description of the alternative investment objectives in *Important information about your statement* at the end of this document.

Your return objective:

Capital appreciation

Your risk profile:

Primary - Moderate

Investment eligibility consideration - None selected

Your account instructions

- Your account cost basis default closing method is FIFO, First In, First Out.



Investment Account
August 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Newton Intl
Account number: Y1 08377 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Aug 1 (\$)	Closing balance on Aug 30 (\$)	Price per share on Aug 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	1.72	0.00				

Account activity this month

The activity descriptions for distribution transactions included in this section are provided by the plan administrator or plan trustee. UBS Financial Services Inc. does not independently verify or guarantee the accuracy or validity of this information.

Other funds debited	Date	Activity	Description	Amount (\$)
	Aug 5	Account Conversion	TO Y1-08365	-1.72
Total other funds debited				-\$1.72



Business Services Account
November 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Abbey Cap
Account number: Y1 08378 1C

Your Financial Advisor:
DUNNYAMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Nov 1 (\$)	Closing balance on Nov 29 (\$)	Price per share on Nov 29 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	0.27	0.00				

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Oct 31		Cash and money balance					\$0.27
Nov 13	Transfer	TO Y1 08365 8100				- 27	
Nov 29		Closing cash and money balance					\$0.00



Investment Account
April 2013

Account name:
Friendly account name: PFF Boston SCC
Account number: Y1 08379 1C

PAULEY FAMILY FOUNDATION

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Change in the value of your account

	April 2013 (\$)	Year to date (\$)
Opening account value	\$0.00	\$4,155,702.30
Withdrawals and fees, including investments transferred out	-2,187 90	-4,551,881 40
Dividend and interest income	2,187 90	10,134 29
Change in market value	0 00	386,044 81
Closing account value	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	April 2013 (\$)	Year to date (\$)
Taxable dividends	2,187 90	10,130 54
Total current year	\$2,187.90	\$10,130.54
Prior year adjustment	0 00	3 75
Total dividend & interest	\$2,187.90	\$10,134.29

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized gains and losses (\$)
	April 2013 (\$)	Year to date (\$)	
Long term	0 00	16,028 76	0 00

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the *opening and closing balance*; value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	April 2013 (\$)	Year to date (\$)
Opening balances	\$0.00	\$88,760.62
<i>Additions</i>		
Dividend and interest income	2,187 90	10,134 29
Proceeds from investment transactions	0 00	51,271 27
Total additions	\$2,187.90	\$61,405.56
<i>Subtractions</i>		
Professional management fees and related services	0 00	-2,131 40
Other funds debited	-2,187 90	-104,768 57
Funds withdrawn for investments bought	0 00	-43,266 21
Total subtractions	-\$2,187.90	-\$150,166.18
Net cash flow	\$0.00	-\$88,760.62
Closing balances	\$0.00	\$0.00



Investment Account
September 2013

Account name:
Friendly account name: PFF Seix HY
Account number: Y1 18709 1C

PAULEY FAMILY FOUNDATION
Y1 18709 1C

Your Financial Advisor:
DUNKIN/YAMIN
212-821-7000/800-308-3140

Cash activity summary

See Account activity this month for details. Balances in your Sweep Options are included in the opening and closing balances value. FDIC insurance applies only to deposits at UBS Bank USA, not to deposits at UBS AG, Stamford Branch or bank deposits placed through the UBS International Deposit Account program. SIPC protection applies to money market sweep fund holdings but not bank deposits. See important information about your statement on the last two pages of this document for details.

	September 2013 (\$)	Year to date (\$)
Opening balances	\$0.00	\$49,213.42
<i>Additions</i>		
Deposits and other funds credited	0 00	936 27
Dividend and interest income	0 00	32,199 89
Proceeds from investment transactions	0 00	1,000,194 81
Total additions	\$0.00	\$1,033,330.97
<i>Subtractions</i>		
Professional management fees and related services	0 00	-516 87
Other funds debited	0 00	-1,047,629 41
Funds withdrawn for investments bought	0 00	-34,398 11
Total subtractions	\$0.00	-\$1,082,544.39
Net cash flow	\$0.00	-\$49,213.42
Closing balances	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	September 2013 (\$)	Year to date (\$)
Taxable dividends	0 00	11 33
Taxable interest	0 00	16,210 51
Taxable accrued interest paid	0 00	-298 97
Taxable accrued interest received	0 00	16,273 27
Total current year	\$0.00	\$32,196.14
Prior year adjustment	0 00	3 75
Total dividend & interest	\$0.00	\$32,199.89

Summary of gains and losses

Values reported below exclude products for which gains and losses are not classified

	Realized gains and losses		Unrealized gains and losses (\$)
	September 2013 (\$)	Year to date (\$)	
Short term	0 00	14,486 73	0 00

Loan summary

Year to date late payment interest	\$1 35
------------------------------------	--------



Investment Account
May 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF NEJ Intl
Account number: Y1 18711 1C

Your Financial Advisor:
DUNNYAMIN
212-821-7000/800-308-3140

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the *opening and closing balance*; value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	May 2013 (\$)	Year to date (\$)
Opening balances	\$0.00	\$136,667.24
<i>Additions</i>		
Dividend and interest income	665.44	25,429.62
Total additions	\$665.44	\$25,429.62
<i>Subtractions</i>		
Professional management fees and related services	0.00	-1,734.81
Other fees	-73.91	-510.95
Other funds debited	-591.53	-153,112.84
Funds withdrawn for investments bought	0.00	-6,738.26
Total subtractions	-\$665.44	-\$162,096.86
Net cash flow	\$0.00	-\$136,667.24
Closing balances	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	May 2013 (\$)	Year to date (\$)
Taxable dividends	665.44	25,420.02
Total current year	\$665.44	\$25,420.02
Prior year adjustment	0.00	9.60
Total dividend & interest	\$665.44	\$25,429.62

Withholdings and tax summary

	May 2013 (\$)	Year to date (\$)
Foreign taxes paid	-47.56	-2,969.24



Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Stonebridge
Account number: Y1 18712 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	1,008,211.84	0.00				

Account activity this month

Dividend and interest income		Date		Activity	Description	Amount (\$)
Taxable dividends		Apr 1	Dividend		ASPEN INSURANCE HOLDINGS LTD 7 401% PREFERRED CLBL PAR VALUE - PAID ON 375	173.46
		Apr 1	Dividend		ASPEN INSURANCE HOLDINGS LIMITED (AHL) 7 250% PAR VALUE - 25.00 USD PAID ON 190	86.09
		Apr 1	Dividend		DIGITAL REALTY TRUST INC SER E 7 000% PREFERRED CLBL PAID ON 360 AS OF 03/29/13	157.50
		Apr 1	Dividend		FIRST REP BANK CUMIL SER A 6 700% PREFERRED CLBL PAID ON AS OF 03/29/13	154.94
		Apr 1	Dividend		HSBC USA INC NEW SER F PREFERRED CLBL PAR VALUE - 25.00 USD PAID ON 225	48.67
		Apr 1	Dividend		ARCH CPTL GRP LTD (ACGL) 6 750% PREFERRED CLBL PAR VALUE - 25.00 USD PAID ON 745 AS OF 03/31/13	314.30
		Apr 1	Dividend		REGENCY CENTERS CORP SER 6 6 625% PREFERRED CLBL PAID ON 375 AS OF 03/31/13	155.27
		Apr 1	Foreign Dividend		SANTANDER FINANCE PREF 5 SER 10 500% PREFERRED CLBL PAID ON 550	360.94
		Apr 1	Dividend		VORNADO REALTY TRUST (VNO) 5.700% PREF CLBL PAR VALUE - 25.00 USD PAID ON 200	71.25
Total taxable dividends						\$1,522.42



Investment Account
May 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF WHV Intl
Account number: Y1 18713 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Cash activity summary

See the section *Account activity this month* for details. UBS Bank USA deposit account balances are included in the *opening and closing balance*; value, are insured by the FDIC within applicable limits, but are not protected by SIPC. See *Important information about your statement* at the end of this document for details about those balances.

	May 2013 (\$)	Year to date (\$)
Opening balances	\$0.00	\$63,717.81
<i>Additions</i>		
Dividend and interest income	935.88	7,153.48
Total additions	\$935.88	\$7,153.48
<i>Subtractions</i>		
Professional management fees and related services	0.00	-614.13
Other fees	-1.63	-3.63
Other funds debited	-934.25	-70,253.53
Total subtractions	-\$935.88	-\$70,871.29
Net cash flow	\$0.00	-\$63,717.81
Closing balances	\$0.00	\$0.00

Dividend and interest income earned

For purposes of this statement, taxability of interest and dividend income has been determined from a US tax reporting perspective. Based upon the residence of the account holder, account type, or product type, some interest and/or dividend payments may not be subject to United States (US) and/or Puerto Rico (PR) income taxes. The client monthly statement is not intended to be used and cannot be relied upon for tax purposes. Clients should refer to the applicable tax reporting forms they receive from UBS annually, such as the Forms 1099 and the Forms 480, for tax reporting information. It is the practice of UBS to file the applicable tax reporting forms with the US Internal Revenue Service and PR Treasury Department, and in such forms accurately classify dividends and/or interest as tax exempt or taxable income. Please consult your individual tax preparer.

	May 2013 (\$)	Year to date (\$)
Taxable dividends	935.88	7,147.26
Total current year	\$935.88	\$7,147.26
Prior year adjustment	0.00	6.22
Total dividend & interest	\$935.88	\$7,153.48

Withholdings and tax summary

	May 2013 (\$)	Year to date (\$)
Foreign taxes paid	0.00	-468.51

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF TCW LCG
Account number: Y1 18714 1C

Investment Account
April 2013



Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	967.25	0.00				

Account activity this month

Date	Activity	Description	Amount (\$)
Dividend and interest income			
<i>Taxable dividends</i>			
Apr 1	Dividend	MEAD JOHNSON NUTRITION CO COM STOCK PAID ON	535 16
Apr 1	Dividend	NATL-OILWELLVARCO INC PAID ON 1098 AS OF 03/29/13	142 74
Apr 1	Dividend	PRECISION CASTPARTS CORP PAID ON	23 40
Apr 12	Foreign Dividend	SCHLUMBERGER LTD NETHERLANDS ANTILLES PAID ON	629 38
Apr 15	Dividend	OCCIDENTAL PETROLEUM CRP PAID ON	951 68
Total taxable dividends			\$2,282.36
Total dividend and interest income			\$2,282.36
Other funds debited			
Apr 15	Account Conversion	TO Y1-08365	-2,297 93
Apr 22	Account Conversion	TO Y1-08365	-951 68
Total other funds debited			-\$3,249.61



Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Lateef
Account number: Y1 18715 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	4,537.56	0.00				

Account activity this month

Dividend and interest income		Amount (\$)
Taxable dividends		
Apr 1	Dividend AUTOMATIC DATA PROCESSING INC PAID ON	4685
Total taxable dividends		2,037.98
Total dividend and interest income		\$2,037.98
Other funds debited		
Apr 15	Account Conversion TO Y1-08365	-6,575.54
Total other funds debited		Amount (\$) -\$6,575.54



Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Wellesley
Account number: Y1 18716 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	96,174.23	0.00				

Account activity this month

Date	Activity	Description	Amount (\$)
Apr 1	Dividend	UBS SELECT PRIME CAPITAL FUND AS OF 03/28/13	1.94
Total taxable dividends			\$1.94
Total dividend and interest income			\$1.94
Date	Activity	Description	Amount (\$)
Apr 5	Withdrawal	FINAL CHECK FOR CLOSED ACCOUNT	-96,176.17
Total other funds debited			-\$96,176.17



Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Scout
Account number: Y1 18720 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	101.85	0.00				

Account activity this month

The activity descriptions for distribution transactions included in this section are provided by the plan administrator or plan trustee. UBS Financial Services Inc. does not independently verify or guarantee the accuracy or validity of this information.

Other funds debited	Date	Activity	Description	Amount (\$)
	Apr 5	Withdrawal	FINAL CHECK FOR CLOSED ACCOUNT	-101.85
Total other funds debited				-\$101.85



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Oppenheimer
Account number: Y1 18721 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Mar 1 (\$)	Closing balance on Mar 28 (\$)	Price per share on Mar 28 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT. PORTFOLIO	345.23	0.00	1.00	0.01%	Feb 22 to Mar 21	28

Account activity this month

Fees	Date	Activity	Description	Amount (\$)
	Mar 20	Refund	PRORATED ADVISORY FEE	146.51
Total professional management fees				\$146.51
Other funds debited	Date	Activity	Description	Amount (\$)
	Mar 19	Transfer	TO Y1 08365 8200	-1,610,438.19
	Mar 25	Account Conversion	TO Y1-08365	-146.51
Total other funds debited				-\$1,610,584.70

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Mar 19	Sold	OPPENHEIMER DEVELOPING MARKETS FUND CL A FB0ID 30736497008	-45,100.643		35.700	1,610,092.96		
Total						\$1,610,092.96		



Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-303-3140

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF WestEnd
Account number: Y1 18723 1C

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	799.25	0.00				

Dividend and interest income			
Taxable dividends			
Date	Activity	Description	Amount (\$)
Apr 1	Dividend	COACH INC PAID ON	3645
Apr 1	Dividend	NIKE INC CL B PAID ON	4246
Total taxable dividends			\$1,985.16
Total dividend and interest income			\$1,985.16
Date	Activity	Description	Amount (\$)
Apr 15	Account Conversion	TO Y1-08365	-2,784.41
Total other funds debited			-\$2,784.41



Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Herndon
Account number: Y1 18724 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Apr 1 (\$)	Closing balance on Apr 30 (\$)	Price per share on Apr 30 (\$)	Average rate	Dividend/Interest period	Days in period
Cash	443.00	0.00				

Account activity this month

Dividend and interest income		Amount (\$)
Taxable dividends		
Apr 1	Dividend	COACH INC PAID ON 1928 578.40
Apr 1	Dividend	LOCKHEED MARTIN CORP PAID ON 1068 AS OF 03/29/13 1,228.20
Apr 1	Dividend	PATTERSON-UTI ENERGY INC PAID ON 5477 AS OF 03/29/13 273.85
Apr 1	Dividend	ROSS STORES INC PAID ON 2049 AS OF 03/29/13 348.33
Apr 1	Dividend	NEWMARKET CORP PAID ON 368 331.20
Apr 2	Dividend	HOLLYFRONTIER CORP COM PAID ON 2635 790.50
Apr 2	Dividend	KIMBERLY CLARK CORP PAID ON 538 435.78
Apr 10	Dividend	ALTRIA GROUP INC PAID ON 3579 1,574.76
Total taxable dividends		\$5,561.02
Total dividend and interest income		\$5,561.02
Other funds debited		Amount (\$)
Apr 15	Account Conversion	TO Y1-08365 -6,004.02
Total other funds debited		-\$6,004.02



Business Services Account
November 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Prisma
Account number: Y1 18726 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Your assets

Some prices, income and current values shown may be approximate. As a result, gains and losses may not be accurately reflected. See *Important information about your statement* at the end of this document for more information.

Cash

Cash and money balances

Holding	Opening balance on Nov 1 (\$)	Closing balance on Nov 29 (\$)	Price per share on Nov 29 (\$)	Average rate	Dividend/Interest period	Days in period
RMA MONEY MKT PORTFOLIO	6.70	0.00	1.00	0.01%	Oct 25 to Nov 21	28

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document.

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Oct 31		Cash and money balance					\$6.70
Nov 12	Fee Charged	QUARTERLY FEE CORRECTION				-239.93	-233.23
Nov 13	Cancelled	QUARTERLY FEE				239.93	6.70
Nov 14	Cancelled	ADVISORY FEE REFUND				-125.17	-118.47
Nov 18	Refund	PRORATED ADVISORY FEE				125.17	6.70
Nov 25	Sold	PRISMA SPEC TRUM FUND LTD ADVISORY CLASS E PROMISSORY NOTE CUSIP 7429959Z8		-339,961.000	1.00	271,596.34	271,603.04
Nov 26	Transfer	TO Y1 08365 8100				-271,603.04	
Nov 29		Closing cash and money balance					\$0.00
		Proceeds from investment transactions					\$271,596.34

PFF GSAM:TAX ADV LH (S&P ADR)
Overview

Period Ended December 31, 2013

TOTAL PORTFOLIO: NON-US EQUITY: GSAM: TAX ADVANTAGED LOSS HARVESTING (S&P ADR) **0**

PORTFOLIO ACTIVITY

	Market Value
MARKET VALUE AS OF DECEMBER 01, 2013	0.00
(INCLUDING ESTIMATED ACCRUALS)	
CHANGE IN MARKET VALUE	0.00
MARKET VALUE AS OF DECEMBER 31, 2013	0.00
(INCLUDING ESTIMATED ACCRUALS)	

INVESTMENT RESULTS

	Beginning Market Value	Net Deposits (Withdrawals)	Investment Results	Ending Market Value Dec 31 13
CURRENT MONTH	0.00	0.00	0.00	0.00
CURRENT YEAR	0.00	229,544.45	(229,544.45)	0.00



Statement Detail

PFF GSAM: TAX ADV LH (S&P500)

Overview

Period Ended December 31, 2013

TOTAL PORTFOLIO: US EQUITY: GSAM: TAX ADVANTAGED LOSS HARVESTING (S&P500)

0

PORTFOLIO ACTIVITY

	Market Value
MARKET VALUE AS OF DECEMBER 01, 2013 (INCLUDING ESTIMATED ACCRUALS)	0.00
CHANGE IN MARKET VALUE	0.00
MARKET VALUE AS OF DECEMBER 31, 2013 (INCLUDING ESTIMATED ACCRUALS)	0.00

INVESTMENT RESULTS

	Beginning Market Value	Net Deposits (Withdrawals)	Investment Results	Ending Market Value Dec 31 13
CURRENT MONTH	0.00	0.00	0.00	0.00
CURRENT YEAR	0.00	898,624.73	(898,624.73)	0.00



Statement Detail
PFF BELOW THE LINE
Holdings

Period Ended December 31, 2013

CASH, DEPOSITS & MONEY MARKET FUNDS

DEPOSITS & MONEY MARKET FUNDS									
	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income	
DEPOSITS									
GOLDMAN SACHS BANK DEPOSIT (BDA) ¹⁴	14.14	1.0000	14.14	1.0000	14.14	0.00	0.0793	0.01	
TOTAL PORTFOLIO			Market Value 14.14		Adjusted Cost / Original Cost 14.14	Unrealized Gain (Loss) 0.00		Estimated Annual Income 0.01	

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.

¹⁴ This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit.

Not a Deposit. Not FDIC insured. May Lose Value. Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit.

Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.



Statement Detail
PFF ADVISORY
Holdings

Period Ended December 31, 2013

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
CASH								
U.S. DOLLAR	6,250.85	1.0000	6,250.85		6,250.85			
DEPOSITS & MONEY MARKET FUNDS								
DEPOSITS								
GOLDMAN SACHS BANK DEPOSIT (BDA) ¹⁴	199,619.50	1.0000	199,619.50	1.0000	199,619.50	0.00	0.0801	159.80
TOTAL CASH, DEPOSITS & MONEY MARKET FUNDS			205,870.35		205,870.35			159.80

FIXED INCOME

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
OTHER FIXED INCOME								
BARCLAYS CAPITAL HIGH YIELD VERY LIQUID INDEX FUND (SPDR)								
SPDR BARCLAYS HIGH YIELD BOND EXCHANGE TRADED FUND Not Rated	112,100.00	40.5600	4,546,776.00 22,118.00	41.4456	4,646,051.76	(99,275.76)		275,048.56
OPPENHEIMER SENIOR FLOATING RATE FUND								
OPPENHEIMER SENIOR FLOATING RATE FUND Y MUTUAL FUND ¹²	185,210.327	8.4100	1,557,618.85			1,938.66		
STONE HARBOR LOCAL MARKETS								
STONE HARBOR LOCAL MARKETS I MUTUAL FUND ¹²	153,232.160	9.5000	1,455,705.52			(277,223.71)		
TOTAL OTHER FIXED INCOME			7,560,100.37 22,118.00		7,934,661.18	(374,560.81)		393,839.16

¹² Please refer to the end of the Holdings section for further details pertaining to these investments

¹⁴ This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit.
Not a Deposit Not FDIC Insured May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit)

Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.

Statement Detail
PFF ADVISORY
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
S&P TECHNOLOGY SELECT SECTOR INDEX FUND (SPDR)								
TECHNOLOGY SELECT INDEX 'SPDR' (XLK)	21,324.00	35.7400	762,119.76	31.1258	663,726.56	98,393.20	1.7029	12,978.21
S&P BANK INDEX FUND (SPDR)								
SPDR S&P BANK ETF (KBE)	23,295.00	33.1700	772,695.15	28.2554	658,209.55	114,485.60	1.3750	10,624.45
S&P 500 INDEX FUND (SPDR)								
SPDR S&P 500 ETF TRUST (SPY)	71,199.00	184.6900	13,149,743.31	157.4728	11,211,905.89	1,937,837.42	1.8144	238,550.70
US EQUITY STRUCTURED PRODUCTS								
THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 10/29/2014 (SPXTRI58)	1,775.00	1,128.2660	2,002,672.15	1,000.0000	1,775,000.00	227,672.15		
WELLS FARGO & COMPANY LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 04/30/2015 (OWSPXEL4)	1,775,000.00	111.3600	1,976,995.00	100.0000	1,775,000.00	201,995.00		
BARCLAYS BANK PLC LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 09/29/2015 (OYSPXELB)	1,750,000.00	104.8400	1,834,700.00	100.0000	1,750,000.00	84,700.00		
THE GOLDMAN SACHS GROUP, INC. LINKED TO S&P 500 INDEX UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 09/29/2015 (SPXZUP61)	1,000.00	1,053.4590	1,053,459.00	1,000.0000	1,000,000.00	53,459.00		
TOTAL US EQUITY STRUCTURED PRODUCTS			6,867,826.15		6,300,000.00	567,826.15		
TOTAL US EQUITY			21,552,384.37		18,833,842.00	2,718,542.37	1.7855	262,193.36
GLOBAL EQUITY								
ING GLOBAL REAL ESTATE FUND								
ING FUNDS ING GLOBAL REAL ESTATE MUTUAL FUND CLASS I SHARES (IGLIX) ¹²	83,009.661	18.3200	1,520,736.99			(5,802.17)		

¹² Please refer to the end of the Holdings section for further details pertaining to these investments



Statement Detail
PFF ADVISORY
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
MSCI EAFE INDEX FUND (ISHARES)								
ISHARES MSCI EAFE ETF (EFA)	109,943.00	67.0950	7,376,625.59	60.1225	6,610,048.02	766,577.57	2.5385	187,254.92
EURO STOXX 50 INDEX FUND (SPDR)								
SPDR EURO STOXX 50 FD ETF (FEZ)	30,022.00	42.2000	1,266,928.40	36.4895	1,095,488.83	171,439.57	2.7246	34,519.30
MSCI EMERGING MARKETS INDEX FUND (ISHARES)								
ISHARES MSCI EMERGING MKTS ETF (EEM)	34,060.00	41.7950	1,423,537.70	42.3050	1,440,908.30	(17,370.60)	2.0538	29,237.10
NON-US EQUITY STRUCTURED PRODUCTS								
ROYAL BANK OF CANADA LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 10/30/2014 (ORMXEEL6)	1,425,000.00	108.7300	1,549,402.50	100.0000	1,425,000.00	124,402.50		
THE BANK OF NOVA SCOTIA LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 01/23/2015 (MXEAE192)	300.00	1,029.1870	308,756.10	1,000.0000	300,000.00	8,756.10		
THE BANK OF NOVA SCOTIA LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED W BUFFER STRUCTURED NOTE DUE 05/21/2015 (MXEAE176)	1,425.00	1,100.4750	1,568,176.87	1,000.0000	1,425,000.00	143,176.87		
BARCLAYS BANK PLC LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED STRUCTURED NOTE DUE 09/29/2015 (OYMXEEL5)	500,000.00	102.4500	512,250.00	100.0000	500,000.00	12,250.00		

Statement Detail
PFF ADVISORY
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
NON-US EQUITY STRUCTURED PRODUCTS								
THE GOLDMAN SACHS GROUP, INC. LINKED TO MSCI EAFE UPSIDE LEVERED CAPPED W/ BUFFER STRUCTURED NOTE DUE 09/29/2015 (MXEA2U44)	200.00	1,028.9110	205,782.20	1,000.0000	200,000.00	5,782.20		
TOTAL NON-US EQUITY STRUCTURED PRODUCTS			4,144,367.67		3,850,000.00	294,367.67		
TOTAL NON-US EQUITY			14,211,459.36 5,649.51		12,996,445.15	1,215,014.21	2.4934	251,011.32
TOTAL PUBLIC EQUITY								
			37,284,580.72 75,442.33		33,356,826.31	3,927,754.41	2.1932	576,209.01

TOTAL PORTFOLIO

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator. A partnership capital value statement is available upon request from your Private Wealth Advisor. Tax information regarding these investments, including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end. Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed, requiring you to file an extension. Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients' holdings. Please contact your Private Wealth Advisor if you have any questions.

	Market Value	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
	45,148,111.77	41,497,357.84	3,553,193.60	970,207.97

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.



Statement Detail
PFF GANNETT WELSH & KOTLER: SCC
Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

US EQUITY

GANNETT, WELSH & KOTLER SMALL CAP CORE

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
U.S. DOLLAR	815.46	1.0000	815.46		815.46			
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)* ^a	115,089.17	1.0000	115,089.17	1.0000	115,089.17		0.0807	92.85
AIR METHODS CORP NEW CMN (AIRM)	875.00	58.2600	50,977.50	37.7871	33,063.75	17,913.75		
AMERISAFE, INC CMN (AMSF)	600.00	42.2400	25,344.00	36.1202	21,672.10	3,671.90	0.7576	192.00
BJS RESTAURANTS INC CMN (BJRI)	675.00	31.0600	20,955.50	33.8607	22,856.00	(1,890.50)		
BLACKBAUD INC CMN (BLKB)	1,039.00	37.6500	39,118.35	24.0036	24,939.72	14,178.63	1.2749	498.72
CANTEL MEDICAL CORP. CMN (CMN)	555.00	33.9100	18,820.05	31.6974	17,592.05	1,228.00	0.2654	49.95
CARDTRONICS, INC CMN (CATM)	1,050.00	43.4500	45,622.50	29.2537	30,716.39	14,906.11		
CAVIUM INC CMN (CAVM)	725.00	34.5100	25,019.75	32.0174	23,212.61	1,807.14		
CEPHEID INC CMN (CPHD)	975.00	46.6720	45,505.20	40.1945	39,189.64	6,315.56		
CLARCOR INC CMN (CLC)	874.00	64.3500	56,241.90	41.1704	35,982.92	20,258.98	1.0567	594.32
			148.58					
CLECO CORPORATION CMN (CNL)	1,225.00	46.6200	57,109.50	47.6077	58,319.42	(1,209.92)	3.1103	1,776.25
COGNEX CORP CMN (CGNX)	1,900.00	38.1800	72,542.00	20.7245	39,376.46	33,165.54	0.5762	418.00
COHEN & STEERS, INC. CMN (CNS)	1,102.00	40.0800	44,146.12	34.1561	37,639.99	6,506.13	1.9970	881.60
COHU INC CMN (COHU)	1,175.00	10.5000	12,337.50	9.7211	11,422.29	915.21	2.2857	282.00
			70.50					
COMPASS MINERALS INTL, INC CMN (CMP)	320.00	80.0500	25,616.00	79.4449	25,422.36	193.64	2.7233	697.60
COSTAR GROUP INC CMN (CSGP)	185.00	184.5800	34,147.30	118.8123	21,980.27	12,167.03		
CUBIST PHARMACEUTICALS, INC CMN (CBST)	575.00	68.8700	39,600.25	51.9218	29,855.06	9,745.19		
DRIL-QUIP, INC. CMN (DRQ)	470.00	109.9300	51,667.10	85.0466	39,971.89	11,695.21		
EPAM SYS INC CMN (EPAM)	620.00	34.9400	21,662.80	36.6070	22,696.31	(1,033.51)		
FEI COMPANY CMN (FEIC)	775.00	89.3600	69,254.00	66.6520	51,655.28	17,598.72	0.5372	372.00
FLOTEC INDUSTRIES, INC. CMN (FTK)	1,575.00	20.0700	31,610.25	16.7215	26,336.30	5,273.95		
FORUM ENERGY TECHNOLOGIES INC CMN (FET)	1,410.00	28.2600	39,846.60	28.0582	39,562.09	284.51		
GLACIER BANCORP INC (NEW) CMN (GBCI)	2,000.00	29.7900	59,580.00	19.2128	38,425.58	21,154.42	2.1484	1,260.00

^a This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit.
Not a Deposit. Not FDIC insured. May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit).
Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.



Statement Detail
PFF GANNETT WELSH & KOTLER: SCC
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GANNETT, WELSH & KOTLER SMALL CAP CORE								
GRAND CANYON EDUCATION, INC CMN (LOPE)	1,900 00	43 6000	82,840 00	26 9809	51,263 71	31,576 29		
GROUP 1 AUTOMOTIVE, INC CMN (GPI)	800 00	71 0200	56,816 00	62 5055	50,004 42	6,811 58	0.9575	544 00
GULFPORT ENERGY CORP (NEW) CMN (GPOR)	575 00	63.1300	36,299 75	54 3656	31,260 22	5,039 53		
HANGER INC CMN (HGR)	1,375 00	39 3400	54,092 50	30 7603	42,295 35	11,797 15		
HEALTHCARE SVCS GROUP INC CMN (HCSG)	1,325 00	28 3700	37,590 25	23 5607	31,217 93	6,372 32	2.3969	901 00
HEARTLAND EXPRESS INC CMN (HTLD)	2,450 00	19 6200	48,069 00	13 5849	33,283 03	14,785 97	0.4077	196 00
HIBBETT SPORTS INC CMN (HIBB)	906 00	67 1520	60,839 71	37 0558	33,572 59	27,267 12		
HITTITE MICROWAVE CORPORATION CMN (HITT)	625 00	61.7300	38,581 25	58 2440	36,402 52	2,178 73		
HMSC HOLDINGS CORP CMN (HMSY)	1,025 00	22.7000	23,267 50	23 8400	24,436 05	(1,168 55)		
IBERIABANK CORPORATION CMN (IBKC)	800 00	62.8500	50,280 00	52 1756	41,740 47	8,539 53	2.1639	1,068 00
			272 00					
ICU MEDICAL INC CMN (ICUI)	682 00	63 7100	43,450 22	40 9203	27,907 63	15,542 59		
IPC - THE HOSPITALIST COMPANY CMN (PCM)	725 00	59 3900	43,057 75	46 1997	33,494 81	9,562 94		
LIFE TIME FITNESS, INC. CMN (LTM)	629 00	47 0000	29,563 00	42 3956	26,666 81	2,896 19		
MARKETAXESS HOLDINGS INC CMN (MKTX)	1,175 00	66.9280	78,640 40	43 8823	51,561 75	27,078 65	0 7770	611 00
MATADOR RES CO CMN (MTDR)	1,535 00	18 6400	28,612 40	22 6079	34,703 16	(6,090 76)		
MEDIDATA SOLUTIONS, INC CMN (MDSO)	850 00	60 5020	51,426 70	28 2701	24,029 62	27,397 08		
MIDDLEBY CORP CMN (MIDD)	344 00	239 7240	82,465 06	72 9573	25,097 31	57,367 75		
MOBILE MINI INC CMN (MINI)	995 00	41.1800	40,562 30	31 7470	31,270 75	9,291 55	1.6513	669 80
MONRO MUFFLER BRAKE, INC. CMN (MNRO)	410 00	56.3600	23,107 60	40 8758	16,759 06	6,348 54	0.7807	180 40
NIC INC CMN (EGOV)	1,700 00	24 8700	42,279 00	17 9640	30,538 85	11,740 15		
			595 00					
NORDSON CORP CMN (NDSN)	330 00	74 3000	24,519 00	68.0128	22,444 23	2,074 77	0 9690	237 60
			59 40					
NORTHWESTERN CORPORATION CMN (NWE)	1,150 00	43 3200	49,818 00	41.5959	47,835 27	1,982 73	3 5088	1,748 00
PIER 1 IMPORTS INC (DELAWARE) CMN (PIR)	1,600 00	23 0800	36,928 00	23 0572	36,891 44	36 56	1 0399	384 00

PFF GANNETT WELSH & KOTLER: SCC
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

US EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
GANNETT, WELSH & KOTLER - SMALL CAP CORE								
PORTFOLIO RECOVERY ASSOCS INC CMN (PRAA)	1,210.00	52.8400	63,936.40	42.4193	51,327.33	12,609.07		
POWER INTEGRATIONS INC CMN (POWI)	694.00	55.8200	38,739.08	35.8747	24,897.07	13,842.01	0.5733	222.08
PROASSURANCE CORP CMN (PRA)	825.00	48.4800	39,996.00	48.6607	40,145.06	(149.06)	2.4752	990.00
			247.50					
PROS HOLDINGS, INC. CMN (PRO)	1,175.00	39.9000	46,882.50	26.9493	31,665.47	15,217.03		
PROTO LABS INC CMN (PRLB)	470.00	71.1800	33,454.60	50.5590	23,762.72	9,691.88		
RBC BEARINGS INCORPORATED CMN (ROLL)	750.00	70.7500	53,062.50	51.2560	38,442.00	14,620.50		
ROFIN-SINAR TECHNOLOGIES INC CMN (RSTI)	1,050.00	27.0200	28,371.00	25.2525	26,515.16	1,855.84		
SCIQUEST, INC. CMN (SQI)	925.00	28.4800	26,344.00	22.6778	20,976.98	5,367.02		
SIGNATURE BANK CMN (SBNV)	335.00	107.4200	42,430.90	56.7890	22,431.64	19,999.26		
SILGAN HOLDINGS INC CMN (SLGN)	975.00	48.0200	46,819.50	48.0716	46,899.77	(50.27)	1.1662	546.00
SOLERA HOLDINGS INC CMN (SLH)	705.00	70.7600	49,885.80	55.0615	38,818.37	11,067.43	0.9610	479.40
STEINER LEISURE LIMITED CMN (STNR)	425.00	49.1900	20,905.75	47.6355	20,245.08	660.67		
STIFEL FINANCIAL CORP CMN (SF)	1,125.00	47.9200	53,910.00	33.7433	37,961.21	15,948.79		
SVB FINANCIAL GROUP CMN (SVB)	402.00	104.8600	42,153.72	65.0459	26,148.47	16,005.25		
TEXAS ROADHOUSE, INC. CMN (TXRH)	1,675.00	27.8000	46,565.00	21.2120	35,530.03	11,034.97	1.7266	804.00
THE CORP EXECUTIVE BOARD CO CMN (CEB)	805.00	77.4300	62,331.15	60.5433	48,737.39	13,593.76	1.1623	724.50
THE RYLAND GROUP, INC. CMN (RYL)	975.00	43.4100	42,324.75	43.2980	42,215.55	109.20	0.2764	117.00
TORO CO (DELAWARE) CMN (TTC)	775.00	63.6000	49,290.00	46.2872	35,872.60	13,417.40	1.2579	620.00
			155.00					
TUPPERWARE BRANDS CORPORATION CMN (TUP)	490.00	94.5300	46,319.70	82.2611	40,307.95	6,011.75	2.6235	1,215.20
			303.80					
TYLER TECHNOLOGIES INC CMN (TYL)	700.00	102.1300	71,491.00	63.6974	44,588.19	26,902.81		
UMPOUA HLDGS CORP CMN (UMPO)	726.00	19.1400	13,895.64	11.1035	8,061.15	5,834.49	3.1348	435.60
			108.90					
UNIVERSAL FOREST PRODUCTS INC CMN (UFP)	600.00	52.1400	31,284.00	39.3963	23,637.75	7,646.25	0.8055	252.00
US ECOLOGY INC CMN (ECOL)	800.00	37.1020	29,681.60	27.1274	21,701.91	7,979.69	1.9406	576.00
VIEWPOINT FINANCIAL GROUP CMN (VPFG)	1,310.00	27.4500	35,959.50	21.8960	28,683.73	7,275.77	1.7486	628.80
WD 40 CO CMN (WDFC)	440.00	74.6800	32,859.20	55.0125	24,205.49	8,653.71	1.8211	598.40
WEST PHARMACEUTICAL SERVICES INC (WST)	1,284.00	49.0600	62,993.04	21.4138	27,495.37	35,497.67	0.8153	513.60

Statement Detail
PFF GANNETT WELSH & KOTLER: SCC
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
GANNETT, WELSH & KOTLER SMALL CAP CORE								
AMERICAN CAMPUS CMNTYS, INC CMN (ACC)	825 00	32 2100	26,573 25	41 3533	34,116.44	(7,543 19)	4 4707	1,188 00
MID-AMERICA APT CMNTYS INC CMN (MAA)	525 00	60 7400	31,888 50	68 4171	35,918 98	(4,030 48)	4 8074	1,533 00
NATIONAL HEALTH INVESTORS, INC CMN (NHI)	570 00	56 1000	31,977 00	64 4759	36,751 29	(4,774 29)	5 2406	1,675 80
			418 95					
PEBBLEBROOK HOTEL TRUST CMN (PEB)	1,300 00	30 7600	39,988 00	26 6767	34,679 71	5,308 29	2 0806	832 00
			208 00					
RITCHIE BROS AUCTIONEERS INC CMN (RBA)	1,850 00	22 9300	42,420 50	20 0727	37,134 50	5,286 00	2 2678	962 00
TOTAL GANNETT, WELSH & KOTLER SMALL CAP CORE			3,350,477 77		2,602,314 45	748,163 32	1 5520	28,608 47
			2,587 63					
TOTAL PORTFOLIO			Market Value 3,353,065.40		Adjusted Cost /⁶ Original Cost 2,602,314.45	Unrealized Gain (Loss) 748,163.32		Estimated Annual Income 28,608.47

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.



Statement Detail
PFF SUSTAINABLE GROWTH ADVS: LCG
Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
SUSTAINABLE GROWTH ADVISERS: LARGE CAP GROWTH								
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDAONW)*4	103,246.67	1.0000	103,246.67	1.0000	103,246.67		0.0797	82.30
AMERICAN EXPRESS CO CMN (AXP)	944.00	90.7300	85,649.12	67.9522	64,146.88	21,502.24	1.0140	868.48
APPLE, INC. CMN (AAPL)	152.00	561.0200	85,275.04	271.5553	41,276.40	43,998.64	2.1746	1,854.40
AUTOMATIC DATA PROCESSING INC CMN (ADP)	1,262.00	80.7990	101,968.34	56.1481	70,858.90	31,109.44	2.3763	2,423.04
CERNER CORP CMN (CERN)	1,376.00	55.7400	76,698.24	38.2257	52,598.55	24,099.69		
COLGATE-PALMOLIVE CO CMN (CL)	1,532.00	65.2100	99,901.72	56.5492	86,633.44	13,268.28	2.0856	2,083.52
EBAY INC. CMN (EBAY)	2,108.00	54.8850	115,855.42	52.5726	110,823.10	4,832.32		
ECOLAB INC CMN (ECL)	827.00	104.2700	86,231.29	83.4701	69,029.77	17,201.52	1.0550	909.70
FASTENAL CO CMN (FAST)	1,675.00	47.5100	79,579.25	47.6227	79,768.02	(188.77)	2.1048	1,675.00
GOOGLE, INC CMN CLASS A (GOOG)	102.00	1,120.7100	114,312.42	741.6237	75,845.62	38,666.80		
IDEXX LABORATORIES CMN (IDXX)	472.00	106.3700	50,206.64	93.2913	44,033.49	6,173.15		
LINKEDIN CORP CMN CLASS A (LNKD)	222.00	216.8300	48,136.26	220.0777	48,867.25	(720.99)		
LOWES COMPANIES INC CMN (LOW)	2,098.00	49.5500	103,955.90	39.0310	81,887.09	22,068.81	1.4531	1,510.56
MONSANTO COMPANY CMN (MON)	895.00	116.5500	104,312.25	100.7123	90,137.49	14,174.76	1.4758	1,539.40
NATIONAL OILWELL VARCO, INC. COMMON STOCK CMN (NOV)	1,050.00	79.5300	83,506.50	67.3683	70,736.67	12,769.83	1.3077	1,092.00
PRAXAIR, INC CMN SERIES (PX)	563.00	130.0300	73,206.89	112.4299	63,298.03	9,908.86	1.8457	1,351.20
QUALCOMM INC CMN (QCOM)	1,404.00	74.2500	104,247.00	62.5160	87,772.41	16,474.59	1.8855	1,965.60
REGENERON PHARMACEUTICAL INC CMN (REGN)	84.00	275.2400	23,120.16	239.2489	20,096.91	3,023.25		
SALESFORCE COM. INC CMN (CRM)	982.00	55.1900	54,196.58	46.1539	45,323.16	8,873.42		

*4 This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit. Not a Deposit. Not FDIC Insured. May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit).

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Portfolio No. XXX-XX481-2

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Statement Detail
PFF SUSTAINABLE GROWTH ADVS: LCG
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

US EQUITY

SUSTAINABLE GROWTH ADVISERS LARGE CAP GROWTH

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
SCHLUMBERGER LTD CMN (SLB)	1,118 00	90 1100	100,742 98 349.38	75 5810	84,499 56	16,243 42	1 3872	1,397 50
STARBUCKS CORP. CMN (SBUX)	983 00	78 3900	77,057.37	50 9830	50,116.24	26,941.13	1 3267	1,022.32
STATE STREET CORPORATION (NEW) CMN (STT)	1,325 00	73 3900	97,241.75 344.50	58 3612	77,328.59	19,913 16	1 4171	1,378.00
TIFFANY & CO CMN (TIF)	569 00	92.7800	52,791 82 193 46	76 5346	43,548 17	9,243 65	1.4658	773.84
VISA INC. CMN CLASS A (V)	508 00	222 6800	113,121 44	136 8176	69,503 33	43,618 11	0 7185	812.80
WALT DISNEY COMPANY (THE) CMN (DIS)	1,080 00	76.4000	80,984 00 761 10	69 6162	73,793 14	7,190 86	1 1257	911.60
YUM BRANDS, INC CMN (YUM)	1,364.00	75.6100	103,132 04	68 8163	93,865 45	9,266 59	1.9574	2,018.72
STARWOOD HOTELS & RESORTS CMN (HOT)	1,032.00	79.4500	81,992.40	63 3345	65,361 17	16,631 23	1 6992	1,393 20
NOVO-NORDISK A/S ADR CMN (NVO)	288 00	184 7600	49,515 68	171 9765	46,089 70	3,425 98	1 2255	606 83
PERRIGO CO PLC CMN (PRGO)	540 00	153 4600	82,668 40	152 2050	82,190 70	677 70		
SANOFI SPONSORED ADR CMN (SNY)	1,482 00	53 6300	79,479.66	49 2095	72,928 49	6,551 17	2 3396	1,659 54
SAP AG (SPON ADR) (SAP)	915 00	87 1400	79,733 10	78 6139	71,931.71	7,801 39	0.9155	729 99
TOTAL SUSTAINABLE GROWTH ADVISERS LARGE CAP GROWTH			2,592,066.33 7,481 63		2,137,326 10	454,740 23	1 4929	30,259 54

	Market Value	Adjusted Cost / ⁶ Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	2,594,547.96	2,137,326.10	454,740.23	30,259.54

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.



Statement Detail
PFF SHAPIRO: DYNAMIC EQ
Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

US EQUITY

SHAPIRO DYNAMIC EQUITY	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US DOLLAR	2,444 14	1 0000	2,444 14		2,444 14			
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)**	241,177 92	1 0000	241,177 92	1 0000	241,177 92		0 0804	193 97
ADT CORPORATION (THE) CMN (ADT)	5,400 00	40 4700	218,538 00	42 6840	230,493 70	(11,955 70)	1 2355	2,700 00
AXIAL CORP CMN (AXLL)	5,250 00	47 4400	249,060 00	41 5796	218,292 64	30,767 36		
			840 00					
BABCOCK & WILCOX COMPANY (THE) CMN (BWC)	5,200 00	34 1900	177,788 00	26 6628	138,646 68	39,141 32	1 1699	2,080 00
CORNING INCORPORATED CMN (GLW)	13,200 00	17 8200	235,224 00	14 0348	195,259 12	49,964 88	2 2447	5,280 00
DEERE & COMPANY CMN (DE)	2,300 00	91 3300	210,059 00	84 5706	194,512 28	15,546 72	2 2337	4,692 00
			1,071 00					
DEVON ENERGY CORPORATION (NEW) CMN (DVN)	3,600 00	61 8700	222,732 00	53 4645	192,472 18	30,259 82	1 4223	3,158 00
EXELIS INC CMN (XLS)	7,800 00	19 0600	148,668 00	10 8450	84,591 20	64,076 80	2 1679	3,222 96
			1,063 99					
GENERAL ELECTRIC CO CMN (GE)	8,200 00	28 0300	229,846 00	18 5234	151,891 55	77,954 45	3 1395	7,216 00
			1,804 00					
GENERAL MOTORS COMPANY CMN (GM)	4,100 00	40 8700	167,567 00	31 8491	130,581 31	36,985 69		
GRAFTECH INTERNATIONAL LTD CMN (GTI)	9,800 00	11 2300	110,054 00	7 5011	73,510 86	36,543 14		
LEIDOS HLDGS INC CMN (LDOS)	3,553 00	46 4900	165,178 97	38 3708	136,331 29	28,847 68	2 7533	4,547 84
LIVE NATION ENTERTAINMENT INC CMN (LYV)	10,950 00	19 7600	216,372 00	12 4443	136,265 54	80,106 46		
MICROSOFT CORPORATION CMN (MSFT)	5,642 00	37 4100	211,067 22	24 7577	139,682 67	71,384 55	2 9939	6,319 04
MOLSON COORS BREWING CO CMN CLASS B (TAP)	3,865 00	56 1500	217,019 75	49 2000	190,158 11	26,861 64	2 2796	4,947 20
PERKINELMER INC CMN (PKI)	6,000 00	41 2300	247,380 00	31 8873	191,323 51	56,056 49	0 6791	1,680 00
PFIZER INC. CMN (PFE)	6,732 00	30 6300	205,201 16	20 0080	134,693 73	71,507 43	3 3954	7,001 28

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Brokerage and securities services provided by Goldman Sachs & Co. Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.

Portfolio No XXX-XX482-0



Statement Detail
PFF SHAPIRO: DYNAMIC EQ
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
SHAPIRO DYNAMIC EQUITY								
THE BANK OF NY MELLON CORP CMN (BK)	6,500 00	34 9400	227,110 00	27 8622	181,104.56	46,005.44	1.7172	3,900.00
THE MOSAIC COMPANY CMN (MOS)	4,800 00	47 2700	226,896 00	43 5308	208,947.75	17,948.25	4 7176	10,704 00
VCA ANTECH, INC. CMN (WOOF)	6,150 00	31 3600	192,864 00	21 7012	133,462 47	59,401.53		
WHITEWAVE FOODS COMPANY CMN CLASS A (WWAV)	7,500 00	22 9400	172,050 00	17 3768	130,326.20	41,723.80		
WPX ENERGY, INC. CMN (WPX)	8,900 00	20 3800	181,382 00	15 9848	142,265 16	39,116.84		
XYLEM INC CMN (XYL)	3,400 00	34 6000	117,640 00	27 7566	94,372 32	23,267 68	1.3457	1,583 04
TOTAL SHAPIRO DYNAMIC EQUITY			4,594,319.16		3,662,806.89	931,512 27	2.0964	69,235 33
			4,778.99					
TOTAL PORTFOLIO								
			Market Value		Adjusted Cost /⁶ Original Cost	Unrealized Gain (Loss)		Estimated Annual Income
			4,599,098.15		3,662,806.89	931,512.27		69,235.33

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.



Statement Detail
PFF HARDING LOEVNER: NON-US EQ
Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
NON-US EQUITY								
HARDING LOEVNER: NON-US EQUITY								
U.S. DOLLAR	576.43	1.0000	576.43		576.43			
GOLDMAN SACHS BANK DEPOSIT (BDA) ("BDANOW") ¹⁴	79,374.44	1.0000	79,374.44	1.0000	79,374.44		0.0801	63.59
BUNGE LIMITED, ORD CMN (BG)	750.00	82.1100	61,582.50	72.7613	54,570.98	7,011.52	1.4615	900.00
SCHLUMBERGER LTD CMN (SLB)	720.00	90.1100	64,879.20	70.6635	50,877.70	14,001.50	1.3872	900.00
XINYI GLASS HLDGS LTD ADR CMN (XYIGY)	923.00	17.6430	16,284.49	14.4700	13,355.81	2,928.68	2.8984	471.98
AIA GROUP LIMITED SPONSORED ADR CMN SERIES (AAGIY)	4,130.00	20.0880	82,880.84	17.5698	72,563.27	10,317.57	0.8718	722.58
AIR LIQUIDE ADR ADR CMN (AIQUY)	3,236.00	28.3310	91,679.12	24.6401	79,735.45	11,943.67	1.8109	1,660.24
ALLIANZ SE ADR CMN (AZSEY)	5,610.00	17.9620	100,766.82	13.6015	76,304.49	24,462.33	2.4237	2,442.28
ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (BUD)	640.00	106.4600	68,134.40	68.9759	44,144.59	23,989.81	2.3520	1,602.55
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (ARMH)	1,392.00	54.7310	76,185.55	28.0304	39,018.32	37,167.23	0.3694	281.43
ATLAS COPCO AB SPONS ADR NEW REPSTG COM SER-A (ATLKY)	1,740.00	27.7610	48,304.14	27.2800	47,467.20	836.94	2.1208	1,024.44
BAIDU, INC. SPONSORED ADR CMN (BIDU)	432.00	177.8800	76,844.16	98.2001	42,422.43	34,421.73		
BANCO BILBAO VIZCAYA S A ADR SPONSORED ADR CMN (USD0.3296 (BBVA))	4,506.00	12.3900	55,829.34	9.4597	42,625.25	13,204.09	3.5329	1,972.41
BAYERISCHE MOTOREN WERKE AKTIE ADR CMN (BAMXY)	1,793.00	39.1430	70,183.40	31.7231	56,879.52	13,303.88	1.8878	1,324.94
BG GROUP PLC SPON ADR ADR CMN (BRGYY)	2,510.00	21.4900	53,939.90	16.6398	41,765.90	12,174.00	1.2718	685.98
CANADIAN NATIONAL RAILWAY CO. CMN (CNI)	1,060.00	57.0200	60,441.20	44.7723	47,458.64	12,982.56	1.4192	857.77
COCHLEAR LIMITED UNSPONSORED ADR CMN (CHEOY)	1,000.00	26.3560	26,356.00	33.8300	33,830.00	(7,474.00)	4.0652	1,071.42
CSL LIMITED UNSPONSORED ADR CMN (CMXHY)	1,650.00	30.8470	50,897.55	32.5500	53,707.50	(2,809.95)	1.5228	775.09
DASSAULT SYSTEMES SPONSORED ADR CMN (DASTY)	830.00	124.3320	103,195.56	118.4685	98,328.86	4,866.70	0.5851	603.82
DBS GROUP HOLDINGS SPONSORED ADR CMN (DBSDY)	830.00	54.1740	44,964.42	52.4000	43,492.00	1,472.42	3.2015	1,439.51
FANUC CORP UNSPONSORED ADR CMN (FANUY)	3,134.00	30.5250	95,665.35	26.6365	83,478.91	12,186.44	0.6733	644.15
FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (FMS)	1,658.00	35.5800	58,991.64	34.1594	56,636.27	2,355.37	0.9379	553.28

¹⁴ This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit. Not a Deposit. Not FDIC insured. May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit). Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.



Statement Detail

PFF HARDING LOEVNER: NON-US EQ
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
HARDING LOEVNER NON-US EQUITY								
GAZPROM ADR SPONSORED ADR CMN (OGZPY)	3,130 00	8 6500	27,074 50	7 8500	24,570 50	2,504 00	3 3110	896 43
HONG KONG EXCHANGES & CLEARING UNSPONSORED ADR CMN (HKXCY)	2,041 00	16 6760	34,035 72	15 7701	32,186 77	1,848 95	2 2892	779 16
ICICI BANK LIMITED SPONS ADR (IBN)	1,420 00	37 1700	52,781 40	46 0865	65,457 03	(12,675 63)	1 7945	947 14
IMPERIAL OIL LIMITED CMN (IMO)	940 00	44 2300	41,576 20	39 6501	37,271 09	4,305 11	1 1062	459 94
			86 24					
JGC CORP UNSPONSORED ADR CMN (JGCCY)	1,104 00	78 4930	86,656 27	58 5296	64,616 68	22,039 59	1 0174	881 61
L'OREAL CO (ADR) ADR CMN (LRLCY)	2,241 00	35 1930	78,867 51	33 3104	74,648 55	4,218 96	1 3341	1,052 14
LVMH MOET HENNESSY LOUIS VUITTON S.A. ADR CMN (LVMUY)	970 00	36 5430	35,446 71	33 8000	32,786 00	2,660 71	1 5904	563 76
MITSUBISHI ESTATE LTD ADR ADR CMN (MITEY)	1,546 00	29 9230	46,260 96	29 9170	46,251 68	9 28	0 2739	126 70
MONDIALE CO LTD ADR CMN (MONDY)	620 00	20 3420	12,612 04	22 5843	14,002 27	(1,390 23)		
MTN GROUP LTD SPONSORED ADR CMN (MTNOY)	1,370 00	20 7200	28,386 40	18 5000	25,345 00	3,041 40	3 5484	1,007 26
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (NSRGY)	1,548 00	73 4240	113,660 35	62 5799	96,873 61	16,786 74	2 4726	2,810 41
NOKIAN RENKAAT OY ADR CMN (NKRKY)	1,880 00	24 0240	45,165 12	21 7800	40,946 40	4,218 72	3 1057	1,402 72
NOVO-NORDISK A/S ADR ADR CMN (NVO)	198 00	184 7600	36,582 48	165 7196	32,812 48	3,770 00	1 2255	448 33
PETROLEO BRASILEIRO S.A. - PET SPONSORED ADR CMN (PBR)	1,420 00	13 7800	19,567 60	31 7879	45,138 77	(25,571 17)		
ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184 (RHHBY)	1,390 00	70 0510	97,370 89	39 2191	54,514 50	42,856 39	2 3190	2,258 03
SAP AG (SPON ADR) (SAP)	1,430 00	87 1400	124,610 20	78 8489	112,753 93	11,856 27	0 9155	1,140 85
SASOL LTD SPONS ADR SPONSORED ADR CMN (SSL)	530 00	49 4500	26,208 50	44 4785	23,573 61	2,634 89	3 3585	880 20
SCHNEIDER ELECTRIC SA UNSPONSORED ADR (FRANCE) (SBGSY)	4,230 00	17 4720	73,906 56	15 2200	64,380 60	9,525 96	2 1432	1,563 95
SONOVA HOLDING AG (THE) UNSPONSORED ADR CMN (SONVY)	1,400 00	26 9860	37,780 40	21 0602	29,484 21	8,296 19		



Statement Detail
PFF HARDING LOEVNER: NON-US EQ
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
HARDING LOEVNER: NON-US EQUITY								
SVENSKA HANDELSBANKEN AB UNSPONSORED ADR CMN REPRESENTING A SHARES (SVNLY)	1,370.00	24.6010	33,703.37	22.0300	30,181.10	3,522.27	2.6211	863.39
SWATCH GROUP SA (THE) ADR CMN (SWGAY)	1,340.00	33.1420	44,410.28	28.6400	38,377.60	6,032.68	0.6288	279.27
SYSMEX CORP ADR CMN (SSMXY)	1,940.00	29.5420	57,311.48	32.5100	63,069.40	(5,757.92)	0.5974	342.37
TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS SORDS (TSM)	4,646.00	17.4400	81,026.24	14.9073	69,259.10	11,767.14	2.2985	1,862.42
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (TSCDY)	1,980.00	16.6130	32,893.74	17.3500	34,353.00	(1,459.26)	3.8825	1,277.10
TURKIYE GARANTI BANKASI AS GDS CMN (TKGBY)	11,750.00	3.2400	38,070.00	5.4650	64,213.75	(26,143.75)	1.8250	694.78
UNICHARM CORP. SPONSORED ADR CMN (UNICY)	5,710.00	11.4170	65,191.07	12.3109	70,295.24	(5,104.17)	0.4855	316.48
UNILEVER PLC (NEW) SPONSORED ADR CMN (UL)	790.00	41.2000	32,548.00	42.5995	33,653.60	(1,105.60)	3.3869	1,102.37
WPP PLC ADR CMN (WPPGY)	940.00	114.8600	107,968.40	82.6300	77,672.20	30,296.20	2.0053	2,165.06
ITALU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (ITUB)	5,468.00	13.5700	74,200.76	15.2315	83,285.66	(9,084.90)	0.5794	429.94
			35.12					
TOTAL HARDING LOEVNER: NON-US EQUITY			2,973,829.60		2,640,588.29	333,241.31	1.6483	46,589.27
			346.36					

TOTAL PORTFOLIO

	Market Value	Adjusted Cost / ⁶ Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
	2,974,175.96	2,640,588.29	333,241.31	46,589.27

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GIM's Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.

Statement Detail
PFF HARRIS ASSOCIATES: DYNAMIC EQ
Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
HARRIS ASSOCIATES: DYNAMIC EQUITY								
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANDOW)14	344,212.92	1.0000	344,212.92	1.0000	344,212.92		0.0806	277.38
AMERICAN INTL GROUP, INC. CMN (AIG)	4,738.00	51.0500	241,874.90	34.6984	164,401.08	77,473.82	0.7835	1,895.20
APPLIED MATERIALS INC CMN (AMAT)	12,278.00	17.6800	217,075.04	13.5946	166,913.96	50,161.08	2.2624	4,911.20
BLACKROCK, INC. CMN (BLK)	575.00	316.4700	181,970.25	183.0283	105,241.26	76,728.99	2.1234	3,864.00
CARMAX, INC. CMN (KMX)	1,200.00	47.0200	56,424.00	45.0123	54,014.76	2,409.24		
DELPHI AUTOMOTIVE PLC CMN (DUPH)	3,200.00	60.1300	192,416.00	44.7276	143,128.32	49,287.68	1.1309	2,176.00
DRESSER-RAND GROUP INC. CMN (DRC)	1,900.00	59.6300	113,297.00	56.2197	106,817.43	6,479.57		
FAMILY DOLLAR STORES INC CMN (FDO)	2,300.00	64.9700	149,431.00	63.8755	146,913.65	2,517.35	1.6007	2,392.00
FRANKLIN RESOURCES INC CMN (BEN)	4,070.00	57.7300	234,961.10	46.9055	190,905.55	44,055.55	0.8315	1,953.60
			488.40					
GAMING AND LEISURE PROP, INC CMN (GLPI)	2,500.00	50.8100	127,025.00	45.6895	114,223.85	12,801.15		
GENERAL MOTORS COMPANY CMN (GM)	6,052.00	40.8700	247,345.24	29.0167	175,609.01	71,736.23		
ILLINOIS TOOL WORKS CMN (ITW)	1,800.00	84.0800	151,344.00	65.8163	118,469.34	32,874.66	1.9981	3,024.00
			756.00					
INTEL CORPORATION CMN (INTC)	8,800.00	25.9550	228,404.00	22.8466	201,049.76	27,354.24	3.4675	7,920.00
JPMORGAN CHASE & CO CMN (JPM)	4,584.00	58.4800	268,072.32	44.1295	202,289.75	65,782.57	2.5992	6,957.68
NATIONAL OILWELL VARCO, INC. COMMON STOCK CMN (NOV)	2,700.00	79.5300	214,731.00	67.7816	183,010.32	31,720.68	1.3077	2,808.00
ORACLE CORPORATION CMN (ORCL)	6,000.00	38.2600	229,560.00	32.1890	193,133.80	36,426.20	1.2546	2,880.00
PENN NATIONAL GAMING INC CMN (PENN)	2,500.00	14.3300	35,825.00	13.3445	33,361.15	2,463.85		
TIFFANY & CO CMN (TIF)	1,400.00	92.7800	129,892.00	73.5940	103,031.60	26,860.40	1.4658	1,904.00
			476.00					

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Statement Detail
PFF HARRIS ASSOCIATES: DYNAMIC EQ
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
HARRIS ASSOCIATES: DYNAMIC EQUITY								
TRIUMPH GROUP INC CMN (TGI)	1,900 00	76 0700	144,533.00	80 1131	152,214.89	(7,681.89)	0.2103	304.00
VISA INC CMN CLASS A (V)	850 00	222 6800	189,278.00	91.2871	77,594.01	111,683.99	0.7185	1,360.00
WELLS FARGO & CO (NEW) CMN (WFC)	6,000 00	45.4000	272,400.00	32.4331	194,598.85	77,801.15	2.6432	7,200.00
STARWOOD HOTELS & RESORTS CMN (HOT)	2,200 00	79.4500	174,790.00	58.2938	128,246.44	46,543.56	1.6992	2,970.00
AON PLC CMN (AON)	2,400 00	83.8900	201,336.00	80.6844	193,642.60	7,693.40		
TOTAL HARRIS ASSOCIATES DYNAMIC EQUITY			4,346,197.77		3,493,024.30	853,173.47	1.5374	54,807.06
			2,318.40					
TOTAL PORTFOLIO								
			Market Value	Adjusted Cost / ⁶ Original Cost		Unrealized Gain (Loss)		Estimated Annual Income
			4,348,516.17	3,493,024.30		853,173.47		54,807.06

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.



Statement Detail
PFF ARISTOTLE: LCV
Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
ARISTOTLE: LARGE CAP VALUE	463 50	1 0000	463 50		463 50			
U.S. DOLLAR	109,345 54	1 0000	109,345 54	1 0000	109,345 54		0.0801	87 57
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)14								
ABBVIE INC. CMN (ABBV)	1,280 00	52.8100	67,596.80	45 3587	58,059 12	9,537 68	3 0297	2,048 00
ADOBE SYSTEMS INC CMN (ADBE)	1,100 00	59.8790	65,866.90	47 6717	52,438 87	13,428 03		
AES CORP CMN (AES)	3,400 00	14 5100	49,334 00	13 4796	45,830 64	3,503 36	1 3784	680 00
BANK OF AMERICA CORP CMN (BAC)	4,800 00	15 5700	74,736 00	12 5846	60,310 24	14,425 76	0 2569	192 00
BAXTER INTERNATIONAL INC CMN (BAX)	750 00	69 5500	52,162 50	69 0000	51,750 00	412 50	2 8181	1,470 00
			367 50					
COTY, INC CMN CLASS A (COTY)	2,850 00	15 2500	43,462 50	17 4452	49,718 82	(6,256 32)	1 3115	570 00
DEERE & COMPANY CMN (DE)	550 00	91.3300	50,231 50	86 0063	47,303 47	2,928 03	2 2337	1,122 00
			280 50					
DOW CHEMICAL CO CMN (DOW)	1,450 00	44 4000	64,380 00	33 9544	49,233 88	15,146 12	2 8829	1,856 00
			464 00					
EBAY INC CMN (EBAY)	1,300 00	54 8850	71,324 50	52 3242	68,021 46	3,303 04		
ECOLAB INC CMN (ECL)	650 00	104 2700	67,775 50	83 6747	54,388 56	13,386 94	1 0550	715 00
			178 75					
EMC CORPORATION MASS CMN (EMC)	2,250 00	25 1500	56,587 50	22 6400	50,940 00	5,647 50	1 5905	900 00
FIRST REPUBLIC BANK CMN SERIES (FRC)	1,200 00	52.3500	62,820.00	37 7533	45,303 96	17,516 04	0.9169	576 00
GENERAL DYNAMICS CORP. CMN (GD)	600 00	95 5500	57,330 00	73 5278	44,116 68	13,213 32	2.3443	1,344 00
GENERAL ELECTRIC CO CMN (GE)	2,400 00	28 0300	67,272 00	21 9249	52,619 76	14,652 24	3.1395	2,112 00
			528 00					
GENERAL MILLS INC CMN (GIS)	1,025 00	49.9100	51,157.75	36 1609	37,054.88	14,092.87	3.0455	1,558 00

14 This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit. Not a Deposit. Not FDIC Insured. May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit). Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.



Statement Detail
PFF ARISTOTLE: LCV
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
ARISTOTLE- LARGE CAP VALUE								
HALLIBURTON COMPANY CMN (HAL)	1,300 00	50.7500	65,975 00	31 6115	41,094 99	24,880 01	1 1823	780 00
HESS CORPORATION CMN (HES)	650 00	83 0000	53,950 00	71 1190	46,227 35	7,722 65	1.2048	650.00
INTL BUSINESS MACHINES CORP CMN (IBM)	250 00	187 5700	46,892 50	146 1914	36,547 86	10,344 64	2.0259	950.00
JPMORGAN CHASE & CO CMN (JPM)	1,300 00	58 4800	76,024 00	49,2975	64,086 73	11,937 27	2 5992	1,976 00
LENINAR CORPORATION CMN CLASS A (LEN)	1,954 00	39.5600	77,300 24	41.9107	81,893 51	(4,593 27)	0.4044	312 64
M&T BANK CORPORATION CMN (MTB)	430 00	116 4200	50,060 60	100 0739	43,031 78	7,028 82	2.4051	1,204 00
MEDTRONIC INC CMN (MDT)	950 00	57 3900	54,520 50	47 0074	44,657 03	9,863 47	1 9516	1,064 00
			266 00					
ORACLE CORPORATION CMN (ORCL)	1,657 00	38 2600	63,396 82	28 2461	46,803 76	16,593 06	1.2546	795 36
OSHKOSH CORPORATION CMN (OSK)	1,050 00	50 3800	52,899 00	47 4688	49,842 22	3,056 78	1.1909	630 00
PHILLIPS 66 CMN (PSX)	1,150 00	77.1300	88,699 50	61 8598	71,138 77	17,560 73	2 0226	1,794 00
PIONEER NATURAL RESOURCES CO CMN (PXD)	300 00	184 0700	55,221 00	117 4636	35,239 08	19,981 92	0.0435	24 00
PROGRESSIVE CORPORATION (THE) CMN (PGR)	1,200 00	27 2700	32,724 00	25 3983	30,477 96	2,246 04	1 0433	341 40
SPX CORPORATION CMN (SPW)	600 00	99 6100	59,766 00	74 9371	44,952 26	14,803 74	1 0039	600 00
			150.00					
SUNTRUST BANKS INC \$1 00 PAR CMN (STI)	1,450 00	36 8100	53,374 50	29 2561	42,421 35	10,953 15	1 0867	580 00
TEXAS INSTRUMENTS INC CMN (TXN)	1,350 00	43 9100	59,278 50	36 0805	48,722 18	10,556 32	2 7329	1,620 00
THE HERSHEY COMPANY CMN (HSY)	430 00	97 2300	41,808 90	88 8411	38,201 67	3,607 23	1 9953	834 20
TIME WARNER INC. CMN (TWX)	1,083 00	69.7200	75,506 76	31 9333	34,583 79	40,922 97	1.6495	1,245 45
WALGREEN CO CMN (WAG)	1,213 00	57 4400	69,674 72	43.5597	52,837 89	16,836 83	2 1936	1,528 38
THE HOME DEPOT, INC. CMN (HD)	860 00	82 3400	69,989 00	69 9856	59,487 76	10,501 24	1 8946	1,326 00
BANCO SANTANDER, S A SPON ADR (SAN)	7,115 00	9 0700	64,533 05	7 1390	50,793 72	13,739 33	6.9225	4,467 33
COVIDIEN PUBLIC LIMITED COMPAN CMN (COV)	860 00	68.1000	57,885 00	46 0883	39,158 02	18,726 98		
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (DEO)	550 00	132 4200	72,831 00	120 0050	66,002 75	6,828 25	2 2643	1,649 14
DAIICHI KASEI KOGAKU CO. LTD SPON ADR CMN (DAI)	7,600 00	6.6800	50,768 00	6 7022	50,936 72	(168 72)	1 9055	967 39
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN (MTU)								
TE CONNECTIVITY LTD CMN (TEL)	1,350 00	55 1100	74,398 50	42 8846	57,894 21	16,504 29		



Statement Detail
PFF ARISTOTLE: LCV
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
US EQUITY								
ARISTOTLE: LARGE CAP VALUE								
UNILEVER N.V. NY SHS (NEW) ADR CMN (UN)	1,575.00	40.2300	63,362.25	41.2312	64,939.14	(1,576.89)	2.9483	1,858.11
TOTAL ARISTOTLE: LARGE CAP VALUE			2,542,685.83 2,234.75		2,118,891.88	423,793.95	1.8673	42,437.97
TOTAL PORTFOLIO								
			Market Value 2,544,920.58		Adjusted Cost / ⁶ Original Cost 2,118,891.88	Unrealized Gain (Loss) 423,793.95		Estimated Annual Income 42,437.97

⁶ Unqual Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.



Statement Detail

PFF CAPITAL GROUP PCS: NON-US EQ

Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

NON-US EQUITY

CAPITAL GROUP PCS NON-US EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
U.S. DOLLAR	376.94	1.0000	376.94		376.94			
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDAHOW)*4	197,519.58	1.0000	197,519.58	1.0000	197,519.58		0.0808	159.54
SEADRILL LTD CMN (SDRL)	1,558.00	41.0800	64,002.64	38.8577	60,540.30	3,462.34	9.2502	5,920.40
WYNN MACAU LTD ADR CMN (WYNNMY)	1,230.00	45.3330	55,759.59	31.7500	39,052.50	16,707.09	4.7255	2,634.93
AIA GROUP LIMITED SPONSORED ADR CMN SERIES (AAGY)	3,180.00	20.0680	63,816.24	12.8590	40,891.48	22,924.76	0.8718	556.37
AIR LIQUIDE ADR ADR CMN (AIQULY)	1,376.00	28.3310	38,983.46	23.0230	31,679.58	7,303.88	1.8109	705.96
AJINOMOTO INC (ADR) ADR CMN (AJJINY)	2,117.00	14.4810	30,656.28	13.6200	28,833.54	1,822.74	1.1165	342.28
AMCOR LTD ADR (NEW) ADR CMN (AMCRY)	789.00	43.7000	34,479.30	40.0300	31,583.67	2,895.63	3.6076	1,243.87
ASML HOLDING N.V. ADR CMN (ASML)	763.00	93.7000	71,493.10	75.2373	57,406.06	14,087.04		
ASSA ABLOY AB UNSPONSORED ADR (SWEDEN) (ASAZY)	3,989.00	26.4540	104,995.93	19.8400	78,744.96	26,250.97	1.1452	1,202.36
BANK OF CHINA LIMITED FOREIGN NETTING/OTC ELIGIBLE (BACHF)	36,957.00	0.4450	16,445.87	0.4740	17,517.62	(1,071.75)		
BARCLAYS PLC AMER DEP SHS ADR CMN (BCS)	3,005.00	18.1300	54,480.65	16.0727	48,298.61	6,182.04	2.1851	1,190.48
BAYER AG SPONSORED ADR SPONSORED ADR CMN (BAYRY)	521.00	140.4810	73,190.60	73.1242	38,097.70	35,092.90	1.2846	940.17
BAYERISCHE MOTOREN WERKE AKTIE ADR CMN (BAMXY)	1,308.00	39.1430	51,199.04	29.8900	39,096.12	12,102.92	1.8878	966.55
BHP BILLITON LIMITED SPONSORED ADR CMN (BHP)	397.00	68.2000	27,075.40	71.3852	28,339.91	(1,264.51)	3.4018	921.04
BNP PARIBAS SPONSORED ADR CMN (BNPOY)	1,284.00	39.0300	50,114.52	27.2744	35,020.38	15,094.14	1.7403	872.14
COCA-COLA AMATIL LIMITED SPONSORED ADR CMN (CCLAY)	1,414.00	21.5250	30,436.35	22.6146	31,977.04	(1,540.69)	8.2617	2,514.57
COMPAGNIE FINANCIERE RICHEMONT UNSPONSORED ADR CMN (CFRUY)	8,353.00	9.9850	83,404.71	8.0870	67,550.71	15,853.99	0.6137	511.86
DANIEL AG SPONSORED ADR CMN (DDAY)	535.00	86.6730	46,370.06	75.9500	40,633.25	5,736.81	2.4264	1,125.11
DANONE SPONSORED ADR CMN (DANDY)	3,171.00	14.4190	45,722.65	15.0570	47,745.80	(2,023.15)	1.7032	776.76
DBS GROUP HOLDINGS SPONSORED ADR CMN (DBSDY)	1,275.00	54.1740	69,071.85	52.2900	66,669.75	2,402.10	3.2015	2,211.30

*This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account. Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit.
Not a Deposit. Not FDIC Insured. May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit).
Brokerage and securities services provided by Goldman, Sachs & Co. Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA, Member FDIC.

Statement Detail
PFF CAPITAL GROUP PCS: NON-US EQ
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
CAPITAL GROUP PCS: NON-US EQUITY								
DENSO CORP ADR ADR CMN (DNZOY)	864 00	26 4020	22,811 33	22 8800	19,769 32	3,043 01	1.2936	295 08
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR CMN (DEO)	471 00	132 4200	62,369 82	113 2852	53,357 34	9,012 48	2 2643	1,412 27
ENBRIDGE INC. CMN (ENB)	332 00	43 6800	17,122 56	43 4664	17,038 83	83 73	3 0019	514 01
ESSILOR INTERNATIONAL SA ADR CMN (ESLOY)	299 00	53 2440	15,919 96	55 6611	16,642 67	(722 71)	0 8310	132 29
FANUC CORP UNSPONSORED ADR CMN (FANUY)	2,682 00	30 5250	81,868 05	25 8398	69,302 34	12,565 71	0 6733	551 24
FIRST QUANTUM MINERALS LTD CMN (FQVLF)	1,935 00	18 0097	34,848 79	17 2039	33,289 55	1,559 24	0 5938	206 93
GEMALTO N V SPONSORED ADR CMN (GTOMV)	1,164 00	55 1250	64,165 50	39 4013	45,863 09	18,302 41	0 3146	201 84
HAMAMATSU PHOTONICS K K CMN (HPHTE)	1,599 00	40 6500	64,999 35	39 5004	63,161 12	1,838 23		
HENNES & MAURITZ AB ADR CMN (HNNIV)	2,471 00	9 2240	22,792 50	6 8800	17,000 48	5,792 02	2 4122	549 80
HITACHI LTD (ADR 10 COM) ADR CMN (HTHIY)	551 00	75 7340	41,729 43	58 4400	32,200 44	9,528 99	1 1379	474 83
HSBC HOLDINGS PLC SPONSORED ADR CMN (HSBC)	1,169 00	55 1300	64,446 97	51 1821	59,831 82	4,615 15	4 3533	2,805 60
IMPERIAL TOBACCO GROUP PLC SPON ADR (ITYBY)	276 00	77 4470	21,375 37	72 0454	19,884 53	1,490 84	4 7514	1,015 63
INDUSTRIA DE DISENO TEXTIL IND ADR CMN (IDEXY)	1,524 00	33 0160	50,316 38	27 5613	42,003 45	8,312 93	1 0629	534 82
KAWASAKI HEAVY INDS SPON ADR SPONSORED ADR CMN (KWHIY)	1,156 00	16 7830	19,401 15	13 1949	15,253 30	4,147 85	0 9906	192 18
KEYENCE CORPORATION CMN (KYCFE)	208 00	431 0000	89,648 00	314 4968	65,415 34	24,232 66		
KUBOTA CORP ADR ADR CMN (KUBTY)	209 00	82 7270	17,289 94	81 8100	17,098 29	191 65	1 0005	172 99
L'OREAL CO (ADR) ADR CMN (LRLCY)	1,032 00	35 1930	36,319 18	22 2216	22,932 65	13,386 53	1 3341	484 52
LLOYDS BANKING GROUP PLC SPONSORED ADR CMN (LYG)	10,566 00	5 3200	56,211 12	4 8277	51,009 48	5,201 64		
LVMH MOET HENNESSY LOUIS VUITTON S.A. ADR CMN (LVMUY)	1,023 00	36 5430	37,383 49	33 7975	34,574 80	2,808 69	1 5904	594 56
MARKS AND SPENCER P L C SPONSORED ADR CMN (MAKSY)	1,109 00	14 3300	15,891 97	15 9045	17,638 14	(1,746 17)	3 4124	542 30
MARUBENI CORP (ADR) GDS CMN (MARUY)	255 00	71 9280	18,341 64	71 7400	18,293 70	47 94	2 9631	543 48
MURATA MANUFACTURING CO , LTD CMN (MRAAF)	865 00	88 6000	76,639 00	77 3832	66,936 47	9,702 53		
NATIONAL GRID PLC SPONSORED ADR CMN (NGG)	589 00	65 3200	38,473 48	61 7641	36,379 05	2,094 43	4 8197	1,854 29
NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (NSRGY)	1,345 00	73 4240	98,755 28	59 5875	80,145 17	18,610 11	2 4726	2,441 86
NISSAN MOTOR CO LTD SPONSORED ADR (NSANY)	1,365 00	16 8000	22,932 00	20 3800	27,818 70	(4,886 70)	2 7684	634 85
NOVARTIS AG-ADR SPONSORED ADR CMN (NVS)	1,065 00	80 3800	85,604 70	53 8106	57,308 34	28,296 36	2 5597	2,191 24

PFF CAPITAL GROUP PCS: NON-US EQ
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
CAPITAL GROUP PCS: NON-US EQUITY								
NOVO-NORDISK A/S ADR CMN (NVO)	454 00	184 7600	85,728 64	189 9136	78,839.90	6,888 74	1 2255	1,050 64
PERNOD RICARD UNSPONSORED ADR CMN (PDRDY)	3,589 00	22 8220	81,451 72	24 5655	87,674.38	(6,222 66)	1 3677	1,113 98
PRUDENTIAL CORP (ADR) ADR CMN (PUK)	434 00	45 0000	19,530 00	38 0565	16,516.52	3,013 48	2.0829	406.79
RECKITT BENCKISER GROUP PLC SPONSORED ADR CMN (RBGLY)	1,175 00	15 8770	18,655 48	14 4292	16,954.31	1,701.17	2 5883	482.87
ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184 (RHHBY)	1,434 00	70 0510	100,453.13	49 7800	71,384 52	29,068 61	2 3190	2,329 50
ROYAL DSM NV SPONSORED ADR CMN (RDSMY)	2,179 00	19 6910	42,906 69	16 3323	35,588 08	7,318 61	2 1375	917 14
SAMPO OYJ UNSPONSORED ADR CMN REPRESENTING A SHARES (FINLAND (SAXPY)	2,753 00	24 6100	67,751 33	19 8050	54,523 17	13,228 16	2 8250	1,913.98
SAP AG (SPON ADR) (SAP)	505 00	87 1400	44,005.70	75.0859	37,918.40	6,087 30	0 9155	402 89
SCHNEIDER ELECTRIC SA UNSPONSORED ADR (FRANCE) (SBGSY)	3,292 00	17 4720	57,517 82	15 2404	50,171.46	7,346 36	2 1432	1,232 71
SINGAPORE TELECOMMUNICATIONS LTD SPONSORED ADR CMN (SGAPY)	809 00	28 9880	23,451 29	30 5500	24,714 95	(1,263 66)	4.4304	1,038.98
SMC CORP CMN (SMECF)	232 00	253 0000	58,696 00	197 2500	45,762 00	12,934 00		
SOFTBANK CORP UNSPONSORED ADR CMN (SFTBY)	2,016 00	43 7660	88,232 26	16.5933	33,452 01	54,780 25	0 3472	306 32
STANDARD CHARTERED PLC CMN SRS# 18087095 (SCBFF)	2,806 00	22 7000	63,696 20	24 9172	69,917 75	(6,221 55)		
SUMITOMO MITSUI FINANCIAL GRP SPONSORED ADR CMN (SMF6)	5,263 00	10 4900	55,208 87	9 5548	50,286.74	4,922 13	2 1736	1,200 01
SUMITOMO MITSUI TRUST HOLDINGS SPONSORED ADR CMN (SUTNY)	5,893 00	5 2710	31,062 00	5 8964	34,747.49	(3,685.49)	1.4541	451.67
SVENSKA HANDELSBANKEN AB UNSPONSORED ADR CMN REPRESENTING A SHARES (SVNLY)	937 00	24 6010	23,051 14	21 9986	20,612.69	2,438 45	2 6211	604 19
SWISSCOM AMERICAN DEPOSITARY SHARES (SCMWY)	409 00	52 9490	21,556.14	45 9978	18,813 10	2,843 04	3 7138	804 27
SYNGENTA AG SPONSORED ADR CMN (SYT)	954 00	79 9400	76,262 76	61 2606	58,442 59	17,820 17	2 1237	1,619 60
SYSMEX CORPORATION CMN (SSMXF)	330 00	59 2500	19,552 50	65 0471	21,465.54	(1,913.04)		



Statement Detail
PFF CAPITAL GROUP PCS: NON-US EQ
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
NON-US EQUITY								
CAPITAL GROUP PCS NON-US EQUITY								
TREND MICRO INCORPORATED SPONSORED ADR CMN (TMICY)	1,179.00	35.0130	41,280.33	29.5853	34,881.12	6,399.21	1.8061	745.57
TULLOW OIL PLC ADR CMN (TUWOY)	2,119.00	7.0810	15,004.64	8.6965	18,427.88	(3,423.24)	1.1020	165.35
UNILEVER PLC (NEW) SPONSORED ADR CMN (UL)	1,270.00	41.2000	52,324.00	42.6598	54,177.95	(1,853.95)	3.3869	1,772.16
TOTAL CAPITAL GROUP PCS: NON-US EQUITY			3,474,730.36		2,962,995.46	511,734.89	2.0867	61,702.93
			682.89					
TOTAL PORTFOLIO			Market Value 3,475,413.25		Adjusted Cost /⁶ Original Cost 2,962,995.46	Unrealized Gain (Loss) 511,734.89		Estimated Annual Income 61,702.93

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GIM's Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.

Statement Detail

PFF GS: GOV/CORP FI

Holdings

Period Ended December 31, 2013

FIXED INCOME

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS' GOVERNMENT/CORPORATE FIXED INCOME								
U.S. DOLLAR	3,031.25	1.0000	3,031.25	1.0000	3,031.25			70.20
GOLDMAN SACHS BANK DEPOSIT (BDA)14	85,644.80	1.0000	85,644.80	1.0000	85,644.80		0.0820	
CAPITAL ONE FINANCIAL CORP 2.125% 07/15/2014 SR LIEN S&P BBB /Moody's Baa1	200,000.00	100.7930	201,586.00 1,959.72	100.7512 101.64	201,502.48 203,272.00	83.52 (1,686.00)	0.7303	4,250.00
DISCOVERY COMMUNICATIONS LLC 3.7% 06/01/2015 M- W+25.00BP S&P BBB /Moody's Baa2	175,000.00	104.0550	182,096.25 539.58	104.0940 105.90	182,164.46 185,321.50	(68.21) (3,225.25)	0.7898	6,475.00
JEFFERIES GROUP INC. 3.875% 11/09/2015 SR LIEN M- W+40.00BP S&P BBB /Moody's Baa3	175,000.00	104.3310	182,579.25 979.51	103.9272 105.27	181,872.68 184,217.25	706.57 (1,638.00)	1.7160	6,781.25
QUEST DIAGNOSTICS INCORPORATED 3.2% 04/01/2016 M- W+20.00BP S&P BBB+ /Moody's Baa2	175,000.00	103.7040	181,482.00 1,400.00	103.9825 105.14	181,969.41 183,993.25	(487.41) (2,511.25)	1.3955	5,600.00
BOSTON SCIENTIFIC CORPORATION 6.4% 06/15/2016 S&P BBB- /Moody's Baa3	175,000.00	111.5260	195,170.50 497.78	111.4936 114.52	195,113.86 200,410.00	56.64 (5,239.50)	1.6081	11,200.00
FISERV, INC. 3.125% 06/15/2016 M-W+25.00BP S&P BBB- /Moody's Baa2	175,000.00	104.3510	182,614.25 243.06	104.5383 105.72	182,941.97 185,001.25	(327.72) (2,387.00)	1.2430	5,468.75
ROPER INDUSTRIES, INC. 1.85% 11/15/2017 S&P BBB /Moody's Baa2	175,000.00	99.1260	173,470.50 413.68	101.5390 101.79	177,693.31 178,137.75	(4,222.81) (4,667.25)	1.4400	3,237.50
WYNDHAM WORLDWIDE CORP 2.5% 03/01/2018 SR LIEN M- W+25.00BP Next Call Dt. 02/01/18 S&P BBB- /Moody's Baa3	150,000.00	99.6610	149,491.50 1,250.00	101.5732 101.80	152,359.83 152,706.00	(2,868.33) (3,214.50)	2.0961	3,750.00
MATTEL, INC. 1.7% 03/15/2018 SR LIEN M-W+15.00BP S&P BBB+ /Moody's Baa1	175,000.00	97.7040	170,982.00 875.97	101.1922 101.37	177,086.32 177,402.75	(6,104.32) (6,420.75)	1.4070	2,975.00
BANK OF AMERICA CORPORATION 5.65% 05/01/2018 S&P A- /Moody's Baa2	175,000.00	113.8270	199,197.25 1,647.92	114.8557 116.94	200,997.49 204,652.00	(1,800.24) (5,454.75)	2.0500	9,887.50

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Statement Detail
PFF GS: GOV/CORP FI
Holdings (Continued)

Period Ended December 31, 2013

FIXED INCOME (Continued)

	Quantity / Current Face	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity in Percentage	Estimated Annual Income
INVESTMENT GRADE FIXED INCOME								
GS GOVERNMENT/CORPORATE FIXED INCOME								
IBM CORP 1.625% 05/15/2020 SR LIEN M-W+12 50BP S&P AA- /Moody's Aa3	200,000.00	93.7730	187,546.00 415.28	99.2490	198,498.00	(10,952.00)	1.7390	3,250.00
ONTARIO (PROVINCE OF) 0.95% 05/26/2015 SR LIEN S&P AA- /Moody's Aa2	175,000.00	100.7690	176,345.75 161.63	100.7087 101.05	176,240.27 176,828.75	105.48 (483.00)	0.4428	1,662.50
U.S. TREASURY NOTE 2.125000% 12/31/2015 JD ON THE RUN Moody's Aaa	250,000.00	103.4380	258,595.00	103.6457 104.82	259,114.18 262,060.55	(519.18) (3,465.55)	0.2954	5,312.50
U.S. TREASURY NOTE 0.250000% 04/15/2016 AO ON THE RUN Moody's Aaa	250,000.00	99.4690	248,672.50 131.85	99.8008	249,501.95	(829.45)	0.3176	625.00
U.S. TREASURY NOTE 1.000000% 03/31/2017 MS ON THE RUN Moody's Aaa	250,000.00	100.2190	250,547.50 630.14	101.7221 102.07	254,305.25 255,175.78	(3,757.75) (4,628.28)	0.4650	2,500.00
FHLB 1.0% 06/21/2017 JD S&P AA+ /Moody's Aaa	225,000.00	99.6880	224,298.00 62.50	101.1046 101.30	227,485.24 227,931.75	(3,187.24) (3,633.75)	0.6776	2,250.00
U.S. TREASURY NOTE 0.750000% 12/31/2017 JD ON THE RUN Moody's Aaa	100,000.00	97.7810	97,781.00	100.2667 100.31	100,266.66 100,308.59	(2,485.66) (2,527.59)	0.6823	750.00
FHLB 4.75% 06/08/2018 JD S&P AA+ /Moody's Aaa	150,000.00	112.8580	169,287.00 455.21	116.2290 118.48	174,343.46 177,712.50	(5,056.46) (8,425.50)	1.0011	7,125.00
U.S. TREASURY NOTE 1.000000% 11/30/2019 MN ON THE RUN Moody's Aaa	250,000.00	93.9610	234,902.50 212.33	99.6328	249,082.03	(14,179.53)	1.0580	2,500.00
TOTAL GS: GOVERNMENT/CORPORATE FIXED INCOME			3,755,320.80 11,876.16		3,811,214.90 3,840,889.70	(55,894.10) (85,568.90)	1.0700	85,670.20
TOTAL PORTFOLIO								
			Market Value 3,767,196.96		Adjusted Cost / % Original Cost 3,811,214.90 3,840,889.70	Unrealized Gain (Loss) (55,894.10) (85,568.90)		Estimated Annual Income 85,670.20

6 Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for CDMs, Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.



Statement Detail
PFF BROKERAGE
Holdings

Period Ended December 31, 2013

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
DEPOSITS								

GOLDMAN SACHS BANK DEPOSIT (BDA) ¹⁴	953,511.89	1.0000	953,511.89	1.0000	953,511.89	0.00	0.0807	769.31
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ALTERNATIVE INVESTMENTS

	Market Value	Contributions To Date	Distributions To Date	Economic Gain (Loss)
HEDGE FUNDS				
PRINCETON FUND ¹²	2,069,206.00	2,000,000.00	0.00	69,206.00

PRIVATE EQUITY

	Commitment	Total Contributions/ Distributions	Remaining Commitment ²⁴	Net Contributions/ (Distributions) Since Inception	Latest Cap Statement Value/ Statement Date ²⁵	Computed Market Value ²⁶	Economic Gain (Loss) ²⁷
PRIVATE EQUITY MANAGERS 2013	1,250,000.00	77,500.00	1,172,500.00	77,500.00	82,454.00	82,454.00	4,954.00
Closing Date: Apr. 2013 ^{10,12}		0.00			Sep 30, 2013		

¹⁰ Contributions may include fees. Distributions may be net of fees.

¹² Please refer to the end of the Holdings section for further details pertaining to these investments.

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²² Estimated using Net Asset Value (NAV), when available, or estimated as Computed Market Value minus adjusted Cost Basis.

²⁴ Cash may be included.

²⁵ This is based on Net Asset Value (NAV), when available, or estimated as total capital balance, when available, plus any contributions and minus any distributions since the last capital statement.

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Statement Detail
PFF BROKERAGE
Holdings (Continued)

Period Ended December 31, 2013

ALTERNATIVE INVESTMENTS (Continued)

	Total Commitment	Total Contributions/ Distributions	Remaining Commitment ²⁴	Net Contributions/ (Distributions) Since Inception	Latest Cap Statement Value/ Statement Date	Net Contributions/ (Distributions) Since Last Cap Statement	Computed Market Value ²⁵	Economic Gain (Loss) ²⁶
PRIVATE EQUITY								
VINTAGE VI LP ¹²	2,500,000.00	250,000.00	2,250,000.00	250,000.00	288,914.00 Sep 30, 2013	0.00	288,914.00	38,914.00
TOTAL PRIVATE EQUITY	3,750,000.00	327,500.00	3,422,500.00	3,422,500.00			371,368.00	43,868.00
		0.00						
TOTAL ALTERNATIVE INVESTMENTS	3,750,000.00	2,327,500.00	3,422,500.00				2,440,574.00	113,074.00
		0.00						

	Market Value	Adjusted Cost / % Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	3,394,085.89	3,281,011.89	113,074.00	769.31

Unless otherwise noted, Goldman Sachs has furnished the information appearing in this section for your convenience only. Hedge funds are privately placed. This information may have been obtained from other persons, some of whom may be Goldman Sachs affiliates. Please note that in the case of information supplied by other persons (1) Goldman Sachs does not independently verify such information for accuracy or completeness, and (2) Goldman Sachs has been informed that in certain cases such information may reflect unaudited figures, which may be inaccurate or incomplete, and that actual figures may differ. Certain hedge funds may appear in the Alternative Investments section as other investments. Please refer to the relevant product's periodic financial statements and capital analyses for official accounting information. The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator. A partnership capital value statement is available upon request from your Private Wealth Advisor. Tax information regarding these investments, including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end. Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed, requiring you to file an extension. Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients' holdings. Please contact your Private Wealth Advisor if you have any questions.

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¹² Please refer to the end of the Holdings section for further details pertaining to these investments.

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²⁵ Cash may be included.

²⁶ This is based on Net Asset Value (NAV), when available, or estimated as total capital balance, when available, plus any contributions and minus any distributions since the last capital statement.



Statement Detail
PFF GS: US EQ (UNCOVERED CALLS)
Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
GS US EQUITY (UNCOVERED CALL WRITING)								
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOV)14	0.91	1.0000	0.91	1.0000	0.91		0.0773	0.00
TOTAL GS US EQUITY (UNCOVERED CALL WRITING)								
CALL/SPX @ 1820 EXP 01/18/2014 (SPX 140118C01820000)	(7.00)	35.3500	(24,745.00)	35.3500	(24,745.00)			
CALL/SPX @ 1830 EXP 02/22/2014 (SPX 140222C01830000)	(7.00)	40.3500	(28,245.00)	40.3500	(28,245.00)			
CALL/SPX @ 1840 EXP 02/22/2014 (SPX 140222C01840000)	(6.00)	34.1500	(20,490.00)	34.1500	(20,490.00)			
CALL/SPX @ 1850 EXP 03/22/2014 (SPX 140322C01850000)	(7.00)	37.8500	(26,495.00)	37.8500	(26,495.00)			
CALL/SPX @ 1925 EXP 04/19/2014 (SPX 140419C01925000)	(7.00)	14.8000	(10,360.00)	14.8000	(10,360.00)			
TOTAL GS US EQUITY (UNCOVERED CALL WRITING)								
			(110,334.09)		(110,334.09)		0.0773	0.00
TOTAL PORTFOLIO								
			(110,334.09)		(110,334.09)	0.00		0.00

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Statement Detail

PFF GS: NON-US EQ (UNCOVERED CALL)

Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
NON-US EQUITY								
GS: NON-US EQUITY (UNCOVERED CALL WRITING)								
GOLDMAN SACHS BANK DEPOSIT (80A) (*BDANOW)* ¹⁴	2,726.05	1.0000	2,726.05	1.0000	2,726.05		0.1340	3.65
CALL/EFA @ 64 EXP 01/18/2014 (EFA 140118C000064000)	(85.00)	3.3250	(28,262.50)	1.2100	(10,284.82)	(17,977.68)		
CALL/EFA @ 67 EXP 01/18/2014 (EFA 140118C000067000)	(85.00)	0.8950	(7,607.50)	1.0601	(9,010.69)	1,403.19		
CALL/EFA @ 67 EXP 03/22/2014 (EFA 140322C000067000)	(85.00)	1.8600	(15,810.00)	1.3500	(11,474.80)	(4,335.20)		
CALL/EFA @ 68 EXP 03/22/2014 (EFA 140322C000068000)	(85.00)	1.3050	(11,092.50)	0.4700	(3,994.93)	(7,097.57)		
TOTAL GS: NON-US EQUITY (UNCOVERED CALL WRITING)			(60,046.45)		(32,039.19)	(28,007.26)	0.1340	3.65
TOTAL PORTFOLIO			Market Value (60,046.45)		Adjusted Cost / * Original Cost (32,039.19)	Unrealized Gain (Loss) (28,007.26)		Estimated Annual Income 3.65

⁶ Unoriginal Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.

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Portfolio No XXX-XX779-9

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Statement Detail
PFF GS: US EQ YLD ENHANCEMENT
Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

US EQUITY

GS: US EQUITY YIELD ENHANCEMENT (PUT WRITING)

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
GOLDMAN SACHS BANK DEPOSIT (BDA) ("BDANOW") ¹⁴	44,740.68	1.0000	44,740.68	1.0000	44,740.68		0.0807	36.12
PUT/SPX @ 1680 EXP 02/22/2014 (SPX 140222P01680000)	(5.00)	5.0500	(2,525.00)	5.0500	(2,525.00)			
PUT/SPX @ 1690 EXP 01/18/2014 (SPX 140118P01690000)	(5.00)	1.4250	(712.50)	1.4250	(712.50)			
PUT/SPX @ 1710 EXP 01/18/2014 (SPX 140118P01710000)	(5.00)	1.7000	(850.00)	1.7000	(850.00)			
PUT/SPX @ 1710 EXP 02/22/2014 (SPX 140222P01710000)	(5.00)	6.7500	(3,375.00)	6.7500	(3,375.00)			
TOTAL GS: US EQUITY YIELD ENHANCEMENT (PUT WRITING)			37,278.18		37,278.18		0.0807	36.12
TOTAL PORTFOLIO			Market Value 37,278.18		Adjusted Cost /⁶ Original Cost 37,278.18	Unrealized Gain (Loss) 0.00		Estimated Annual Income 36.12

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Statement Detail
PFF VULCAN: DYNAMIC EQ
Holdings

Period Ended December 31, 2013

PUBLIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
US EQUITY								
VULCAN: DYNAMIC EQUITY								
U.S. DOLLAR	2,075.07	1.0000	2,075.07		2,075.07			
GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDA NOW)14	73,431.90	1.0000	73,431.90	1.0000	73,431.90		0.0802	58.89
APPLE, INC. CMN (AAPL)	472.00	561.0200	264,801.44	431.3550	203,599.55	61,201.89	2.1746	5,758.40
CHUBB CORP CMN (CB)	1,290.00	96.6300	124,652.70	88.1446	113,706.52	10,946.18	1.8214	2,270.40
CISCO SYSTEMS, INC. CMN (CSCO)	6,848.00	22.4300	153,600.64	25.3004	173,257.02	(19,656.38)	3.0317	4,656.64
COCA-COLA COMPANY (THE) CMN (KO)	3,613.00	41.3100	149,253.03	40.7188	147,109.81	2,143.22	2.7112	4,046.56
DISCOVERY COMMUNICATIONS, INC. CMN (DISCK)	1,876.00	83.8600	157,321.36	76.4363	143,394.57	13,926.79		
DOVER CORPORATION CMN (DOV)	1,402.00	96.5400	135,349.08	85.0146	119,190.42	16,158.66	1.5538	2,103.00
EBAY INC. CMN (EBAY)	3,642.00	54.8650	199,818.33	51.4700	187,453.74	12,364.59		
EVEREST RE GROUP LTD CMN (RE)	1,236.00	155.8700	192,655.32	130.5261	161,330.24	31,325.08	1.9247	3,708.00
EXPRESS SCRIPTS HOLDINGS CMN (ESRX)	1,858.00	70.2400	130,505.92	66.1136	122,839.11	7,666.81		
FRANKLIN RESOURCES INC CMN (BEN)	3,754.00	57.7300	216,718.42	48.1988	180,938.23	35,780.19	0.8315	1,801.92
MARRIOTT INTERNATIONAL, INC. CMN CLASS A (MAR)	3,635.00	49.3510	179,390.89	42.4408	154,272.16	25,118.73	1.3779	2,471.80
MASTERCARD INCORPORATED CMN CLASS A (MA)	220.00	835.4600	183,801.20	599.1623	131,815.71	51,985.49	0.5267	988.00
NASDAQ OMX GROUP, INC. CMN (NDAQ)	3,178.00	39.8000	126,484.40	33.5491	106,619.04	19,865.36	1.3065	1,652.56
ORACLE CORPORATION CMN (ORCL)	8,316.00	38.2600	318,170.16	32.1990	267,766.60	50,403.56	1.2546	3,991.68
PARKER-HANNIFIN CORP CMN (PH)	681.00	128.6400	87,603.84	101.4331	69,075.94	18,527.90	1.3993	1,225.80
QUALCOMM INC CMN (QCOM)	2,547.00	74.2500	189,114.75	61.7028	157,156.94	31,957.81	1.8855	3,565.80
THE BANK OF NY MELLON CORP CMN (BK)	6,120.00	34.9400	213,832.80	31.6162	193,491.13	20,341.67	1.7172	3,672.00
TIME WARNER INC CMN (TWX)	1,206.00	69.7200	84,082.32	61.9532	74,715.56	9,366.76	1.6495	1,366.90

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Statement Detail
PFF VULCAN: DYNAMIC EQ
Holdings (Continued)

Period Ended December 31, 2013

PUBLIC EQUITY (Continued)

US EQUITY

VULCAN: DYNAMIC EQUITY

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Cost Basis	Unrealized Gain (Loss)	Dividend Yield	Estimated Annual Income
UNITED TECHNOLOGIES CORP CMN (UTX)								
	744 00	113 8000	84,667 20	101 7208	75,680 28	8,986 92	2 0738	1,755 84
VISA INC CMN CLASS A (V)								
	609 00	222 6800	135,612 12	189,411 1	115,351 38	20,260 74	0 7185	974 40
WALT DISNEY COMPANY (THE) CMN (DIS)								
	1,490 00	76 4000	113,836 00	65 1415	97,060 86	16,775 14	1 1257	1,281 40
			1,281 40					
STARWOOD HOTELS & RESORTS CMN (HOT)								
	2,849 00	79 4500	226,353 05	66 4583	189,339 59	37,013 46	1 6992	3,846 15
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES (CHKP)								
	1,364 00	64 4990	87,976 64	56 7007	77,339 76	10,636 88		
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR CMN (IHG)								
	6,037 00	33 4300	201,816 91	29 9126	180,582 50	21,234 41	1 9144	3,863 68
LVMH MOET HENNESSY LOUIS VUITTON S.A ADR CMN (LVMUY)								
	1,094 00	36 5430	39,978 04	34 8095	38,081 57	1,896 47	1 5904	635 82
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (TSCDY)								
	11,382 00	16 6130	189,089 17	17 0126	193,637 54	(4,548 37)	3 8825	7,341 39
UNILEVER N.V. NY SHS (NEW) ADR CMN (UN)								
	3,183 00	40 2300	128,052 09	40 3887	128,557 29	(505 20)	2 9483	3,775 36
TOTAL VULCAN: DYNAMIC EQUITY								
			4,390,044 79		3,878,870 03	511,174 76	1 7525	66,812 39
			2,299 48					
TOTAL PORTFOLIO								
			Market Value		Adjusted Cost /⁶ Original Cost	Unrealized Gain (Loss)		Estimated Annual Income
			4,392,344 27		3,878,870 03	511,174 76		66,812 39

⁶ Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions



UBS Institutional Consulting
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Cash
Account number: Y1 08365 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more

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Short-term capital gains and losses

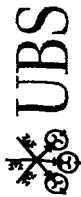
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
FEDERATED PRIME CASH OBLIGATION FUND IS	FIFO	1,000,000.000	Oct 02, 12	Jan 23, 13	1,000,000.00	1,000,000.00			
	FIFO	4,056.540	Oct 02, 12	Jan 24, 13	4,056.54	4,056.54			
	FIFO	8,142.300	Oct 02, 12	Jan 25, 13	8,142.30	8,142.30			
	FIFO	3,991.200	Oct 02, 12	Jan 29, 13	3,991.20	3,991.20			
Total					\$1,016,190.04	\$1,016,190.04			

Net short-term capital gains and losses

\$0.00

Net capital gains/losses:

\$0.00



UBS Institutional Consulting
February 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Cash
Account number: Y1 08365 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Money balance activities (continued)	Date	Activity	Description	Amount (\$)
	Feb 28	Closing RMA Money Mkt. Portfolio		\$101,161.43

The RMA Money Market Portfolio is your primary sweep option.

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
FEDERATED PRIME CASH OBLIGATION FUND IS	FIFO	0.150	Oct 02, 12	Feb 04, 13	0.15	0.15			



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Cash
Account number: Y1 08365 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
FEDERATED PRIME CASH OBLIGATION FUND IS									
	FIFO	80,109.570	Oct 02, 12	Mar 11, 13	80,109.57	80,109.57			
	FIFO	3,818,078.260	Oct 02, 12	Mar 25, 13	3,818,078.26	3,818,078.26			
	FIFO	606.360	Oct 31, 12	Mar 25, 13	606.36	606.36			
	FIFO	539.960	Nov 30, 12	Mar 25, 13	539.96	539.96			
	FIFO	129,327.360	Dec 07, 12	Mar 25, 13	129,327.36	129,327.36			
	FIFO	37,028.460	Dec 27, 12	Mar 25, 13	37,028.46	37,028.46			
	FIFO	498.190	Dec 31, 12	Mar 25, 13	498.19	498.19			
	FIFO	16,655.040	Jan 03, 13	Mar 25, 13	16,655.04	16,655.04			
	FIFO	340.480	Jan 28, 13	Mar 25, 13	340.48	340.48			
	FIFO	403.150	Jan 31, 13	Mar 25, 13	403.15	403.15			
	FIFO	287.580	Feb 28, 13	Mar 25, 13	287.58	287.58			
Total					\$4,083,874.41	\$4,083,874.41			
Net short-term capital gains and losses									\$0.00
Net capital gains/losses:									\$0.00



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Lazard Emg
Account number: Y1 08368 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more information.

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
LAZARD EMERGING MARKETS									
FUND INSTITUTIONAL SHS	FIFO	1,668.077	Dec 24, 12	Mar 14, 13	32,494.14	31,910.31			583.83
	FIFO	2,991.845	Dec 24, 12	Mar 14, 13	58,281.14	57,234.00			1,047.14
Total					\$90,775.28	\$89,144.31			\$1,630.97
Net short-term capital gains and losses									
									\$1,630.97

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
LAZARD EMERGING MARKETS									
FUND INSTITUTIONAL SHS	FIFO	3,076.494	Jul 10, 07	Mar 14, 13	59,930.10	77,773.77		-17,843.67	
	FIFO	1,970.382	Aug 28, 07	Mar 14, 13	38,383.04	45,929.59		-7,546.55	
	FIFO	27,246.156	Dec 27, 07	Mar 14, 13	530,755.12	650,093.28		-119,338.16	
	FIFO	8,386.889	Aug 27, 08	Mar 14, 13	163,376.60	169,079.68		-5,703.08	
	FIFO	7,187.129	Dec 23, 08	Mar 14, 13	140,005.28	76,039.82			63,965.46
	FIFO	11,008.071	Dec 23, 08	Mar 14, 13	214,437.22	116,465.39			97,971.83
	FIFO	6,102.073	Dec 23, 08	Mar 14, 13	118,868.38	64,559.93			54,308.45
	FIFO	6,723.323	Dec 30, 09	Mar 14, 13	130,970.33	120,683.65			10,286.68
	FIFO	954.371	Aug 10, 10	Mar 14, 13	18,591.15	18,715.22		-124.07	
	FIFO	3,178.637	Dec 29, 10	Mar 14, 13	61,919.85	67,768.54		-5,848.69	
	FIFO	1,133.967	Aug 10, 11	Mar 14, 13	22,089.68	21,794.85			294.83
	FIFO	5,879.439	Dec 29, 11	Mar 14, 13	114,531.47	97,833.86			16,697.61
	FIFO	6,718.344	Dec 29, 11	Mar 14, 13	130,873.34	111,793.24			19,080.10
Total					\$1,744,731.56	\$1,638,530.82		-\$156,404.22	\$262,604.96
Net long-term capital gains or losses									
									\$106,200.74
Net capital gains/losses:									
									\$107,831.71



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF PIMCO Cndly
Account number: Y1 08370 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accretion on securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PIMCO COMMODITY REAL RETURN FUND CLASS I									
	FIFO	1,496.031	Mar 22, 12	Mar 14, 13	9,888.76	10,053.33		-164.57	
	FIFO	1,175.048	Jun 21, 12	Mar 14, 13	7,767.07	7,120.79			646.28
	FIFO	1,378.303	Sep 20, 12	Mar 14, 13	9,110.58	9,675.69		-565.11	
	FIFO	1,061.799	Dec 12, 12	Mar 14, 13	7,018.49	7,199.00		-180.51	
	FIFO	431.041	Dec 12, 12	Mar 14, 13	2,849.19	2,922.46		-73.27	
	FIFO	432.860	Dec 27, 12	Mar 14, 13	2,861.20	2,878.52		-17.32	
Total					\$39,495.29	\$39,849.79		-\$1,000.78	\$646.28
Net short-term capital gains and losses									-\$354.50

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PIMCO COMMODITY REAL RETURN FUND CLASS I									
	FIFO	13,515.315	Nov 23, 04	Mar 14, 13	89,336.23	217,055.96		-127,719.73	
	FIFO	1,136.519	Dec 15, 04	Mar 14, 13	7,512.39	16,979.59		-9,467.20	
	FIFO	2,248.523	Jan 03, 05	Mar 14, 13	14,862.74	33,413.05		-18,550.31	
	FIFO	1,345.085	Mar 30, 05	Mar 14, 13	8,891.01	21,238.89		-12,347.88	
	FIFO	1,287.883	Jun 27, 05	Mar 14, 13	8,512.91	20,863.71		-12,350.80	
	FIFO	2,805.421	Sep 26, 05	Mar 14, 13	18,543.83	48,870.44		-30,326.61	
	FIFO	429.428	Dec 16, 05	Mar 14, 13	2,838.52	7,441.98		-4,603.46	
	FIFO	13,956.906	Jan 03, 06	Mar 14, 13	92,255.15	205,724.79		-113,469.64	
	FIFO	596.664	Jun 26, 06	Mar 14, 13	3,943.95	8,389.10		-4,445.15	
	FIFO	441.269	Sep 25, 06	Mar 14, 13	2,916.78	6,067.45		-3,150.67	
	FIFO	2,713.824	Dec 27, 06	Mar 14, 13	17,938.38	37,749.29		-19,810.91	

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Investment Account
March 2013

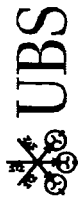
Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF PIMCO Cndty
Account number: Y1 08370 1C

Your Financial Advisor:
DUNNYAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
FIFO	FIFO	1,183.884	Mar 22, 07	Mar 14, 13	7,825.47	17,071.61		-9,246.14	
FIFO	FIFO	1,653.723	Jun 21, 07	Mar 14, 13	10,931.11	23,400.18		-12,469.07	
FIFO	FIFO	1,726.770	Sep 20, 07	Mar 14, 13	11,413.95	26,005.16		-14,591.21	
FIFO	FIFO	1,915.097	Dec 27, 07	Mar 14, 13	12,658.79	30,871.36		-18,212.57	
FIFO	FIFO	1,004.722	Mar 20, 08	Mar 14, 13	6,641.22	18,095.05		-11,453.83	
FIFO	FIFO	1,058.225	Jun 19, 08	Mar 14, 13	6,994.86	21,079.85		-14,084.99	
FIFO	FIFO	1,404.115	Sep 22, 08	Mar 14, 13	9,281.20	20,191.17		-10,909.97	
FIFO	FIFO	3,976.886	Dec 10, 08	Mar 14, 13	26,287.22	23,423.86			2,863.36
FIFO	FIFO	24,015.397	Dec 10, 08	Mar 14, 13	158,741.77	141,450.69			17,291.08
FIFO	FIFO	1,683.074	Dec 30, 08	Mar 14, 13	11,125.12	10,435.06			690.06
FIFO	FIFO	1,313.668	Mar 19, 09	Mar 14, 13	8,683.35	8,341.79			341.56
FIFO	FIFO	1,387.029	Jun 18, 09	Mar 14, 13	9,168.26	10,125.31		-957.05	
FIFO	FIFO	1,796.707	Sep 17, 09	Mar 14, 13	11,876.23	13,906.51		-2,030.28	
FIFO	FIFO	1,390.146	Dec 09, 09	Mar 14, 13	9,188.87	11,204.58		-2,015.71	
FIFO	FIFO	2,778.261	Dec 30, 09	Mar 14, 13	18,364.30	23,087.35		-4,723.05	
FIFO	FIFO	2,904.897	Mar 18, 10	Mar 14, 13	19,201.37	23,035.83		-3,834.46	
FIFO	FIFO	3,263.161	Jun 17, 10	Mar 14, 13	21,569.50	24,538.97		-2,969.47	
FIFO	FIFO	3,562.510	Sep 16, 10	Mar 14, 13	23,548.19	28,357.58		-4,809.39	
FIFO	FIFO	2,738.860	Dec 31, 10	Mar 14, 13	18,103.86	25,444.01		-7,340.15	
FIFO	FIFO	3,546.299	Mar 17, 11	Mar 14, 13	23,441.04	33,122.43		-9,681.39	
FIFO	FIFO	7,104.494	Jun 16, 11	Mar 14, 13	46,960.70	63,016.86		-16,056.16	
FIFO	FIFO	7,063.260	Sep 15, 11	Mar 14, 13	46,688.15	59,684.55		-12,996.40	
FIFO	FIFO	673.476	Dec 07, 11	Mar 14, 13	4,451.68	5,111.68		-660.00	
FIFO	FIFO	2,830.461	Dec 07, 11	Mar 14, 13	18,709.35	21,483.20		-2,773.85	
FIFO	FIFO	19,395.698	Dec 28, 11	Mar 14, 13	128,205.56	126,653.91			1,551.65
Total					\$937,613.01	\$1,432,932.80		-\$518,057.50	\$22,737.71
Net long-term capital gains or losses									-\$495,319.79
Net capital gains/losses:									-\$495,674.29



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Winslow
Account number: Y1 08371 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses

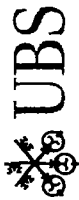
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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMERICAN TOWER CORP REIT	FIFO	37 000	Sep 27, 12	Jan 03, 13	2,849 61	2,636 61			213 00
COACH INC	FIFO	71 000	Jan 17, 12	Jan 03, 13	3,941 48	4,437 48		-496 00	
	FIFO	30 000	Mar 13, 12	Jan 03, 13	1,665 41	2,347 76		-682 35	
	FIFO	33 000	May 02, 12	Jan 03, 13	1,831 96	2,475 88		-643 92	
	FIFO	39 000	Aug 28, 12	Jan 03, 13	2,165 03	2,204 26		-39 23	
	FIFO	63 000	Sep 27, 12	Jan 03, 13	3,497 37	3,439 60			57 77
	FIFO	182 000	Sep 27, 12	Jan 10, 13	10,411 24	9,936 62			474 62
	FIFO	422 000	Sep 27, 12	Jan 23, 13	21,897 26	23,039 85		-1,142 59	
CVS CAREMARK CORP	FIFO	110 000	Feb 24, 12	Jan 22, 13	5,719 87	4,840 00			879 87
	FIFO	62 000	Mar 01, 12	Jan 22, 13	3,223 93	2,790 00			433 93
	FIFO	39 000	Mar 05, 12	Jan 22, 13	2,027 95	1,765 80			262 15
MICHAEL KORS HLDGS LTD	FIFO	211 000	Sep 27, 12	Jan 11, 13	11,113 54	11,173 92		-60 38	
RANGE RESOURCES CORP	FIFO	43 000	May 09, 12	Jan 03, 13	2,722 55	2,893 65		-171 10	
	FIFO	31 000	May 23, 12	Jan 03, 13	1,962 77	1,926 60			36 17
	FIFO	34 000	Jun 04, 12	Jan 03, 13	2,152 72	1,808 36			344 36
	FIFO	20 000	Jun 25, 12	Jan 03, 13	1,266 30	1,157 74			108 56
	FIFO	11 000	Jun 27, 12	Jan 03, 13	696 47	692 64			3 83
	FIFO	8 000	Jun 27, 12	Jan 11, 13	509 01	503 74			5 27
	FIFO	14 000	Jun 28, 12	Jan 11, 13	890 77	882 63			8 14
	FIFO	126 000	Sep 27, 12	Jan 11, 13	8,016 89	8,865 41		-848 52	
Total					\$88,562.13	\$89,818.55		-\$4,084.09	\$2,827.67
Net short-term capital gains and losses								-\$1,256.42	

continued next page



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Winslow
Account number: Y1 08371 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Long-term capital gains and losses

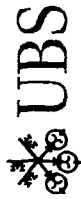
Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AMERICAN TOWER CORP REIT	FIFO	72 000	May 31, 11	Jan 03, 13	5,545.18	3,953.47			1,591.71
	FIFO	42 000	Jun 06, 11	Jan 03, 13	3,234.68	2,178.09			1,056.59
LAS VEGAS SANDS CORP	FIFO	145.000	May 31, 11	Jan 11, 13	7,529.69	5,972.04			1,557.65
VISA INC CL A	FIFO	72 000	May 31, 11	Jan 11, 13	11,560.11	5,781.09			5,779.02
Total					\$27,869.66	\$17,884.69			\$9,984.97
Net long-term capital gains or losses									
Net capital gains/losses:									
Prior month or year activity adjustments									

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis. If the adjustment is a result of a wash

sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CONCHO RESOURCES INC	FIFO	177 000	Sep 27, 12	Dec 28, 12	13,648.30	16,857.41		-3,209.11	
MICHAEL KORS HLDGS LTD	FIFO	102 000	Mar 23, 12	Dec 27, 12	4,895.90	4,803.64			92.26
	FIFO	57 000	Jun 12, 12	Dec 27, 12	2,735.94	2,335.04			400.90
	FIFO	42 000	Jun 26, 12	Dec 27, 12	2,015.96	1,820.45			195.51
	FIFO	95.000	Sep 27, 12	Dec 27, 12	4,559.91	5,030.92		-471.01	
QUALCOMM INC	Adjustment	23.000	Sep 27, 12	Dec 14, 12	1,379.97	1,379.97	-80.30		
Total					\$29,235.98	\$32,227.43		-\$3,680.12	\$688.67



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF NWQ LC Val
Account number: Y1 08372 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security/lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accretion on securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BEST BUY CO INC	FIFO	1,225,000	Apr 20, 12	Jan 14, 13	17,749.12	26,565.22		-8,816.10	
	FIFO	406,000	Apr 20, 12	Jan 15, 13	5,810.14	8,804.48		-2,994.34	
Total					\$23,559.26	\$35,369.70		-\$11,810.44	
Net short-term capital gains and losses									-\$11,810.44

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CITIGROUP INC	FIFO	25,000	Mar 16, 11	Jan 09, 13	1,071.19	1,118.70		-47.51	
	FIFO	327,000	Mar 31, 11	Jan 09, 13	14,011.18	14,408.67		-397.49	
SANOFI SPON ADR	FIFO	224,000	Nov 29, 10	Jan 11, 13	10,950.82	6,934.08			4,016.74
	FIFO	100,000	Nov 29, 10	Jan 14, 13	4,878.60	3,095.57			1,783.03
	FIFO	24,000	Nov 29, 10	Jan 16, 13	1,157.85	742.94			414.91
	FIFO	116,000	Nov 30, 10	Jan 16, 13	5,596.27	3,539.77			2,056.50
Total					\$37,665.91	\$29,839.73		-\$445.00	\$8,271.18
Net long-term capital gains or losses									\$7,826.18
Net capital gains/losses:									-\$3,984.26



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Tradewinds
Account number: Y1 08373 1C

Your Financial Advisor:
DUNNYAMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Money balance activities (continued)			
Jan 25	Sold	UBS SELECT PRIME CAPITAL FUND AS OF 01/24/13	-5,348.25
Jan 25	Sold	UBS SELECT PRIME CAPITAL FUND	-28,756.58
Jan 28	Sold	UBS SELECT PRIME CAPITAL FUND	-25,549.67
Jan 31	Bought	UBS SELECT PRIME CAPITAL FUND	988.45
Jan 31	Closing UBS Select Prime Capital Fund		\$99,865.02

The UBS Select Prime Capital Fund is your primary sweep option.

Realized gains and losses

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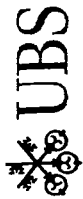
Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MANPOWER INC WIS	FIFO	51,000	May 22, 12	Jan 16, 13	2,371.24	1,881.25			489.99
	FIFO	308,000	May 23, 12	Jan 16, 13	14,320.45	11,110.02			3,210.43
SKYWEST INC	FIFO	602,000	May 04, 12	Jan 03, 13	7,693.87	5,382.96			2,310.91
Total					\$24,385.56	\$18,374.23			\$6,011.33
Net short-term capital gains and losses									\$6,011.33

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ALLIANT TECHSYSTEMS INC	FIFO	237,000	Aug 02, 11	Jan 02, 13	15,096.84	15,015.45			81.39
CAMECO CORP CANADA CAD	FIFO	753,000	Aug 25, 11	Jan 16, 13	15,990.57	16,822.18		-831.61	
LAYNE CHRISTENSEN CO	FIFO	664,000	Sep 28, 11	Jan 07, 13	15,448.07	15,324.26			123.81
POLYUS GOLD INTL LTD	FIFO	730,840	Jul 22, 11	Jan 04, 13	2,331.33	2,587.17		-255.84	
SPON GDR	FIFO	6,087,160	Jul 22, 11	Jan 04, 13	19,417.59	21,548.55		-2,130.96	
	FIFO	1,396,000	Jul 22, 11	Jan 07, 13	4,467.10	4,941.84		-474.74	

continued next page



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Tradewinds
Account number: Y1 08373 1C

Your Financial Advisor:
DUNNYAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
	FIFO	3,416.000	Jul 22, 11	Jan 15, 13	10,609.17	12,092.64		-1,483.47	
	FIFO	875.000	Jul 22, 11	Jan 17, 13	2,672.19	3,097.50		-425.31	
	FIFO	121.000	Jul 22, 11	Jan 22, 13	376.30	428.34		-52.04	
Total					\$86,409.16	\$91,857.93		-\$5,653.97	\$205.20
Net long-term capital gains or losses								-\$5,448.77	
Net capital gains/losses:									\$562.56

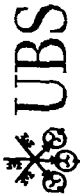
Prior month or year activity adjustments

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sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
POLYUS GOLD INTL LTD SPON GDR	FIFO	9,509.000	Jul 22, 11	Dec 27, 12	31,157.43	33,661.86		-2,504.43	



Business Services Account
February 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Tradewinds
Account number: Y1 08373 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Money balance activities	Date	Activity	Description	Amount (\$)
	Jan 31	Balance forward		\$99,865.02
	Feb 1	Bought	UBS SELECT PRIME CAPITAL FUND	6.72
	Feb 22	Bought	UBS SELECT PRIME CAPITAL FUND	4.61
	Feb 26	Bought	UBS SELECT PRIME CAPITAL FUND	144.39
	Feb 28	Bought	UBS SELECT PRIME CAPITAL FUND	147.14
	Feb 28	Closing	UBS Select Prime Capital Fund	\$100,167.88

The UBS Select Prime Capital Fund is your primary sweep option

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SIBANYE GOLD LTD SPON ADR	FIFO	0.750	Mar 30, 10	Feb 21, 13	4.61	5.22		-0.61	



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Tradewinds
Account number: Y1 08373 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Unsettled transaction activity (continued)

Trade date	Settlement date	Activity	Description	Quantity	Price / Value (\$)	Amount (\$)
Mar 26, 13	Apr 01, 13	Sold	USEC INC CONV B/E 03.000% 100114 DTD092807 FC040108 YTM = 93.013 UNSOLICITED	-50,000 000	34 0000000	17,000 00

Total pending investments sold

\$101,305.61

Realized gains and losses

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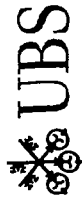
Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ALLIANT TECHSYSTEMS INC	FIFO	302.000	Apr 17, 12	Mar 19, 13	21,384.44	15,388.05			5,996.39
BEST BUY CO INC	FIFO	521.000	May 10, 12	Mar 19, 13	11,410.32	10,414.22			996.10
CHESAPEAKE ENERGY CORP OKLA	FIFO	3,079.000	Apr 18, 12	Mar 19, 13	64,688.96	54,584.51			10,104.45
FTI CONSULTING INC	FIFO	1,014.000	Sep 11, 12	Mar 19, 13	36,342.17	28,042.17			8,300.00
HESS CORP	FIFO	750.000	Jul 24, 12	Mar 19, 13	52,098.63	32,682.60			19,416.03
MANPOWER INC WIS	FIFO	103.000	May 23, 12	Mar 19, 13	5,770.45	3,715.37			2,055.08
SK TELECOM CO LTD ADR	FIFO	515.000	Jul 20, 12	Mar 19, 13	28,852.22	17,632.52			11,219.70
SOUTHWESTERN ENERGY CO	FIFO	1,272.000	Jun 05, 12	Mar 19, 13	23,316.89	14,793.36			8,523.53
Total		1,232.000	Apr 23, 12	Mar 19, 13	46,931.99	34,712.46			12,219.53
Net short-term capital gains and losses					\$290,796.07	\$211,965.26			\$78,830.81

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ALLIANT TECHSYSTEMS INC	FIFO	110.000	Aug 02, 11	Mar 19, 13	7,789.04	6,969.19			819.85
	FIFO	49.000	Sep 12, 11	Mar 19, 13	3,469.66	2,773.08			696.58

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Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Tradewinds
Account number: Y1 08373 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Long-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BEST BUY CO INC	FIFO	318.000	Jul 27, 12	Mar 19, 13	6,964.46	6,885.39	1,240.79		79.07
CAMECO CORP CANADA CAD	FIFO	823.000	Aug 25, 11	Mar 19, 13	17,260.97	18,385.98		-1,125.01	
CHESAPEAKE ENERGY CORP OKLA	FIFO	517.000	Feb 10, 12	Mar 19, 13	10,862.03	11,399.23		-537.20	
GOLD FIELDS LTD SPON ADR	FIFO	3,207.000	Mar 30, 10	Mar 19, 13	25,998.57	33,803.01		-7,804.44	
KROGER COMPANY	FIFO	495.000	Dec 07, 10	Mar 19, 13	15,648.33	10,293.53			5,354.80
SIBANYE GOLD LTD SPON ADR	FIFO	801.000	Mar 30, 10	Mar 19, 13	4,711.77	5,573.73		-861.96	
SK TELECOM CO LTD ADR	FIFO	422.000	Dec 15, 11	Mar 19, 13	7,735.63	6,054.35			1,681.28
TRANSOCEAN LTD CHF	FIFO	448.000	Jan 10, 12	Mar 19, 13	23,246.51	18,271.77			4,974.74
Total					\$123,686.97	\$120,409.26		-\$10,328.61	\$13,606.32
Net long-term capital gains or losses									\$3,277.71
Net capital gains/losses:									\$82,108.52

Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
RTS JSC RUSHYDRO	FIFO	10,290.000	May 30, 12	Mar 18, 13	0.00	0.00			
---This information was unavailable---									

Prior month or year activity adjustments

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
NOVAGOLD RESOURCES INC NEW CAD	Adjustment	996.000	Feb 10, 12	Sep 21, 12	6,135.22	7,713.88		-1,578.66	

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Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Tradewinds
Account number: Y1 08373 1C

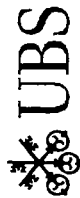
Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Prior month or year activity adjustments (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
NOVAGOLD RESOURCES INC									
NEW CAD									
	Adjustment	2,430 000	May 01, 09	Sep 18, 12	13,895 15	4,888.81			9,006 34
	Adjustment	793 000	May 05, 11	Sep 18, 12	4,534.51	8,548 51		-4,014 00	
	Adjustment	4,374 000	May 05, 11	Sep 21, 12	26,943 24	47,151 54		-20,208 30	
	Adjustment	6,950 000	May 11, 11	Sep 21, 12	42,811 04	71,317.15		-28,506 11	
	Adjustment	5,051 000	Jun 27, 11	Sep 21, 12	31,113.46	40,853 80		-9,740 34	
Total					\$119,297.40	\$172,759.81		-\$62,468.75	\$9,006.34



Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Tradewinds
Account number: Y1 08373 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Apr 1	Sold	DENDREON CORP NTS CONV 02 875% 011516 DTD012011 FC071511 B/E YTM = 12.575 UNSOLICITED	-52,000.000		77 7500000	40,430 00		315 61
		CUSIP NO 24823QAC1 ▶ Location of Execution: 09 ▶ Capacity Agent						
Apr 1	Sold	GOODRICH PETROLEUM CORP 05 000% 100129 DTD092809 FC040110 CONV B/E YTM = 5 090 UNSOLICITED	-44,000 000		99,0000000	43,560 00		
		CUSIP NO 382410AC2 ▶ Location of Execution 09 ▶ Capacity Agent						
Apr 1	Sold	USEC INC CONV B/E 03 000% 100114 DTD092807 FC040108 YTM = 93 013 UNSOLICITED	-50,000 000		34 0000000	17,000 00		
		CUSIP NO 90333EAC2 ▶ Location of Execution: 09 ▶ Capacity Agent						
Total						\$100,990.00		\$315.61

Realized gains and losses

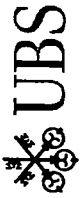
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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
DENDREON CORP NTS CONV 02.875% 011516 DTD012011 FC071511 B/E	FIFO	52,000.000	Aug 13, 12	Mar 26, 13	40,430 00	34,905.00			5,525 00

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Investment Account
April 2013

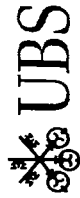
Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Tradewinds
Account number: Y1 08373 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
GOODRICH PETROLEUM CORP 05 000% 100129 DTD092809 FC040110 CONV B/E	FIFO	3,000.000	Nov 14, 11	Mar 26, 13	2,970.00	2,816.25			153.75
	FIFO	41,000.000	Dec 15, 11	Mar 26, 13	40,590.00	37,720.00			2,870.00
USEC INC CONV B/E 03 000% 100114 DTD092807 FC040108	FIFO	50,000.000	Mar 26, 09	Mar 26, 13	17,000.00	28,250.00		-11,250.00	
Total					\$60,560.00	\$68,786.25		-\$11,250.00	\$3,023.75
Net long-term capital gains or losses									-\$8,226.25
Net capital gains/losses:									-\$2,701.25



Investment Account
June 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF AIP LMF II
Account number: Y1 08375 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Jun 3	Redemption	MORGAN STANLEY LIQUID MARKETS FUND II SPV LP AS OF 03/31/13 AS OF 04/30/13 CUSIP: 2425119H8	-27,883.000	-27,883.00				

Total

Securities transferred out

-\$27,883.00

Realized gains and losses

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Prior month or year activity adjustments

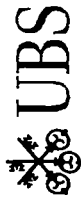
Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis. If the adjustment is a result of a wash

sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale.

Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MORGAN STANLEY LIQUID MARKETS FUND II SPV LP AS OF 04/30/2013	FIFO	27,883.000		Apr 30, 13	0.00	0.00			

---This information was unavailable---



Investment Account
September 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF AIP LMF II
Account number: Y1 08375 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Account activity this month

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Sep 6	Redemption	MORGAN STANLEY LIQUID MARKETS FUND II SPV LP AS OF 07/31/2013	-33,810.000	-33,810.00				
Sep 25	Transfer	MORGAN STANLEY LIQUID MARKETS FUND II SPV LP AS OF 07/31/2013	-312,169.000	-312,169.00				

Total

Securities transferred out

-\$345,979.00

Realized gains and losses

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Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MORGAN STANLEY LIQUID MARKETS FUND II SPV LP AS OF 07/31/2013	FIFO	33,810.000		Sep 06, 13	0.00	0.00			---
	FIFO	312,169.000		Sep 25, 13	0.00	0.00			---

---This information was unavailable---

---This information was unavailable---



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Newton Intl
Account number: Y1 08377 1C

Your Financial Advisor:
DUNNYAMIN
212-821-7000/800-308-3140

Realized gains and losses

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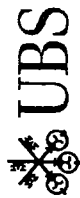
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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ISHARES INC MSCI JAPAN INDEX FD	FIFO	2,710,000	Feb 07, 12	Jan 16, 13	26,530.31	26,371.83	.		158.48

Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
DNB ASA SPON ADR	FIFO	100,000	Jul 13, 11	Jan 04, 13	12,999.71	14,348.56		-1,348.85	
	FIFO	47,000	Jul 13, 11	Jan 07, 13	6,146.43	6,743.82		-597.39	
	FIFO	40,000	Nov 15, 11	Jan 07, 13	5,231.01	4,389.38			841.63
NOMURA HOLDINGS INC NEW SPON ADR	FIFO	699,000	Feb 17, 11	Jan 22, 13	3,795.48	4,667.23		-871.75	
	FIFO	733,000	Feb 17, 11	Jan 23, 13	3,923.88	4,894.24		-970.36	
	FIFO	595,000	Oct 27, 11	Jan 23, 13	3,185.14	2,441.05			744.09
	FIFO	2,008,000	Oct 28, 11	Jan 23, 13	10,749.18	8,515.93			2,233.25
	FIFO	219,000	Nov 14, 11	Jan 23, 13	1,172.35	709.08			463.27
Total					\$47,203.18	\$46,709.29		-\$3,788.35	\$4,282.24
Net long-term capital gains or losses									\$493.89
Net capital gains/losses:									\$652.37



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Newton Intl
Account number: Y1 08377 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
NEXEN INC CAD	FIFO	831.000	Jun 02, 11	Mar 01, 13	22,852.50	18,816.58			4,035.92



UBS Institutional Consulting
May 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Abbey Cap
Account number: Y1 08378 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Apr 30	Balance forward		\$0.00
May 13	Bought	RMA MONEY MKT. PORTFOLIO	992,252.74
May 15	Sold	RMA MONEY MKT. PORTFOLIO AS OF 05/14/13	-992,252.74
May 31	Closing RMA Money Mkt. Portfolio		\$0.00

The RMA Money Market Portfolio is your primary sweep option

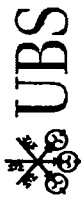
Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
ABBEY CAP MULTI MANAGER FUND LTD USD B SHARE CLASS-ADVISOR 05/28/2013	FIFO	9,803.807	Aug 28, 12	Apr 30, 13	992,252.74	1,000,000.00		-7,747.26	



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Boston SCC
Account number: Y1 08379 1C

Your Financial Advisor:
DUNNYAMIN
212-821-7000/800-308-3140

Realized gains and losses

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Long-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
GENESSEE & WYO INC CL A	FIFO	46,000	Jun 30, 10	Jan 15, 13	3,763.49	1,757.92			2,005.57
	FIFO	113,000	Jun 30, 10	Jan 16, 13	9,179.39	4,318.35			4,861.04
LIFEWAY FOODS INS	FIFO	56,000	Jun 30, 10	Jan 02, 13	489.99	553.29		-63.30	
	FIFO	31,000	Jun 30, 10	Jan 03, 13	271.24	306.28		-35.04	
	FIFO	7,000	Aug 16, 11	Jan 03, 13	61.25	68.47		-7.22	
	FIFO	168,000	Aug 16, 11	Jan 15, 13	1,523.83	1,643.36		-119.53	
	FIFO	52,000	Aug 16, 11	Jan 16, 13	482.29	508.66		-26.37	
MIDDLEBY CORP DELA	FIFO	14,000	May 12, 10	Jan 08, 13	1,846.32	881.60			964.72
	FIFO	39,000	May 12, 10	Jan 08, 13	5,120.19	2,455.88			2,664.31
	FIFO	8,000	May 13, 10	Jan 08, 13	1,050.30	475.78			574.52
	FIFO	17,000	May 13, 10	Jan 09, 13	2,243.37	1,011.02			1,232.35
NEOGEN CORP	FIFO	17,000	Dec 30, 10	Jan 08, 13	796.41	712.24			84.17
	FIFO	46,000	Dec 31, 10	Jan 08, 13	2,154.98	1,925.82			229.16
	FIFO	93,000	Dec 31, 10	Jan 09, 13	4,375.88	3,893.51			482.37
	FIFO	17,000	Dec 31, 10	Jan 10, 13	796.57	711.72			84.85
	FIFO	33,000	Jan 03, 11	Jan 10, 13	1,546.27	1,384.15			162.12
	FIFO	3,000	Jan 03, 11	Jan 11, 13	141.65	125.83			15.82
SIGNATURE BANK NEW YORK N Y	FIFO	66,000	Jun 30, 10	Jan 08, 13	4,845.25	2,551.32			2,293.93
	FIFO	18,000	Jun 30, 10	Jan 09, 13	1,321.11	695.82			625.29
Total					\$42,009.78	\$25,981.02		-\$251.46	\$16,280.22
Net long-term capital gains or losses									\$16,028.76
Net capital gains/losses:									\$16,028.76

continued next page



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Seix HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dec 31	Balance forward		\$49,213.42
Jan 2	Bought	UBS SELECT PRIME CAPITAL FUND AS OF 12/31/12	3.75
Jan 3	Bought	UBS SELECT PRIME CAPITAL FUND	1,492.35
Jan 16	Bought	UBS SELECT PRIME CAPITAL FUND	2,451.56
Jan 17	Bought	UBS SELECT PRIME CAPITAL FUND	362.50
Jan 22	Bought	UBS SELECT PRIME CAPITAL FUND	6,423.75
Jan 23	Sold	UBS SELECT PRIME CAPITAL FUND	-3,061.82
Jan 24	Bought	UBS SELECT PRIME CAPITAL FUND	9,772.56
Jan 25	Sold	UBS SELECT PRIME CAPITAL FUND AS OF 01/24/13	-1,719.31
Jan 28	Bought	UBS SELECT PRIME CAPITAL FUND	1,260.82
Jan 31	Closing UBS Select Prime Capital Fund		\$66,199.58

The UBS Select Prime Capital Fund is your primary sweep option

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SANDRIDGE ENERGY INC NTS 07.500% 031521 DTD111611	FIFO	6,000,000	Sep 06, 12	Jan 15, 13	6,270.00	6,195.00			75.00
FC031512 B/E	FIFO	4,000,000	Sep 06, 12	Jan 17, 13	4,180.00	4,130.00			50.00
Total					\$10,450.00	\$10,325.00			\$125.00
Net short-term capital gains and losses									
Net capital gains/losses:									
\$125.00									



Business Services Account
February 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Sex HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
CONSTELLATION BRANDS INC 04 625% 030123 DTD081412 CALL@MW+508P	FIFO	5,000.000	Oct 09, 12	Feb 20, 13	5,000.00	5,131.25		-131.25	
	FIFO	5,000.000	Oct 12, 12	Feb 20, 13	5,000.00	5,125.00		-125.00	
Total					\$10,000.00	\$10,256.25		-\$256.25	
Net short-term capital gains and losses									-\$256.25
Net capital gains/losses:									-\$256.25



Business Services Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Sex HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNNYAMIN
212-821-7000/800-308-3140

Unsettled transaction activity (continued)

Trade date	Settlement date	Activity	Description	Quantity	Price / Value (\$)	Amount (\$)
Mar 27, 13	Apr 02, 13	Sold	ZAYO GROUP LLC/ZAYO CPTL 08 125% 010120 DTD062812	-9,000 000	112.2900000	10,290.94
			FC010113 CALL@MW+50BP CALLABLE 070117 @ 100 00			
			YTC = 4 085 YTM = 5 889 UNSOLICITED			
			ACCRUED INT RECD \$184 84			

Total pending investments sold

\$343,902.19

Realized gains and losses

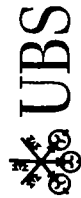
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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AES CORP NTS 8.000% 101517 DTD011808 FC041508 CALL@MW +50BP	FIFO	17,000,000	Aug 29, 12	Mar 25, 13	20,009 00	19,975 00			34 00
AIRCATTLE LTD NTS B/E 06 750% 041517 DTD040412 CALL@MW+50BP	FIFO	5,000 000	Aug 29, 12	Mar 25, 13	5,513 00	5,425 00			88 00
	FIFO	5,000 000	Dec 14, 12	Mar 25, 13	5,513 00	5,375 00			138 00
ALLIANT TECHSYSTEMS INC 06 875% 091520 DTD091310 CALL@MW+50BP NTS B/E	FIFO	15,000 000	Aug 30, 12	Mar 22, 13	16,200.00	16,181 25			18 75
ALLY FINANCIAL INC NTS 08 000% 031520 DTD091510 FC031511 B/E	FIFO	8,000 000	Sep 04, 12	Mar 25, 13	9,964 00	9,400 00			564 00
AMERISTAR CASINOS INC 07.500% 041521 DTD101511 CALL@MW+50BP	FIFO	9,000 000	Sep 18, 12	Mar 25, 13	9,823.50	9,832 50		-9.00	
AUTONATION INC NTS B/E 05.500% 020120 DTD020112 FC080112	FIFO	5,000,000	Sep 14, 12	Mar 25, 13	5,427.50	5,400 00			27.50
	FIFO	14,000,000	Sep 18, 12	Mar 25, 13	15,197.00	15,120.00			77.00

continued next page



Business Services Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Seix HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
AVIS BUDGET NTS B/E 08 250% 011519 DTD011511 FC071511 CALL@MW+50BP	FIFO	9,000,000	Oct 26, 12	Mar 25, 13	9,967 50	9,911 25			56 25
BALL CORP NTS B/E 05 750% 051521 DTD111810 FC051511 CALL@MW T+50BP	FIFO	9,000,000	Aug 31, 12	Mar 25, 13	9,765 00	9,720.00			45 00
CASE CORP 07 250% 011516 DTD011696 CALL@MW+20BP NOTES	FIFO	10,000,000	Aug 28, 12	Mar 25, 13	11,176 00	11,300 00		-124 00	
CBRE SERVICES INC B/E 05 000% 031523 DTD031413 FC091513 CALL@MW+50BP	FIFO	11,000,000	Mar 19, 13	Mar 25, 13	11,115 50	11,151 25		-35 75	
	FIFO	3,000,000	Mar 20, 13	Mar 25, 13	3,031.50	3,043 11		-11 61	
CCO HLDGS LLC/CAP CORP 07 250% 103017 DTD092710 CALL@MW T+50BP	FIFO	18,000,000	Aug 30, 12	Mar 25, 13	19,417 50	19,800.00		-382 50	
CCO HLDGS LLC/CAP CORP 06 500% 043021 DTD051011 CALL@MW+50BP	FIFO	9,000,000	Aug 29, 12	Mar 25, 13	9,576 00	9,686.25		-110 25	
CDW LLC / CDW FIN CORP 08 000% 121518 DTD061511 FC121511 CALL@MW+50BP	FIFO	10,000,000	Oct 17, 12	Mar 25, 13	11,137 50	11,037 50			100 00
CHESAPEAKE ENERGY CORP 06 125% 021521 DTD021111 CALL@ MAKE-WHOLE + 50 BP	FIFO	30,000,000	Aug 28, 12	Mar 25, 13	31,815 00	30,037 50			1,777.50
CINEMARK INC NTS B/E 08 625% 061519 DTD121509 FC061510 CALL@MW+50BP	FIFO	10,000,000	Aug 31, 12	Mar 25, 13	11,079.00	11,225.00		-146 00	
CIT GROUP INC 05 000% 051517 DTD050412 CALL@MW+50BP	FIFO	28,000,000	Aug 30, 12	Mar 22, 13	30,170 00	29,260 00			910 00
CLEAR CHANNEL WW HLDGS 07 625% 031520 DTD031512 FC091512 CALL@MW+50BP	FIFO	4,000,000	Sep 12, 12	Mar 25, 13	4,191.20	3,970 00			221 20
	FIFO	15,000,000	Sep 12, 12	Mar 25, 13	15,717 00	14,962 50			754 50
	FIFO	2,000,000	Sep 25, 12	Mar 25, 13	2,095 60	1,975.00			120 60

continued next page



Business Services Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Sex HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
COMMUNITY HEALTH SYSTEMS									
07 125% 071520 DTD071812 FC011513 CALL@MW +50BP	FIFO	10,000,000	Aug 28, 12	Mar 25, 13	10,875.00	10,525.00			350.00
CSC HLDGS INC									
07 625% 071518 DTD072198 FC011599 SENIOR NOTES	FIFO	6,000,000	Aug 28, 12	Mar 25, 13	6,997.50	6,840.00			157.50
DEL MONTE CORP NTS B/E									
07 625% 021519 DTD081511 CALL@MW +50BP	FIFO	5,000,000	Oct 18, 12	Mar 25, 13	5,200.00	5,187.50			12.50
	FIFO	5,000,000	Oct 19, 12	Mar 25, 13	5,200.00	5,193.75			6.25
ECHOSTAR DBS CORP									
07 125% 020116 DTD080106 FC020107 MW+50BP	FIFO	27,000,000	Sep 04, 12	Mar 22, 13	30,003.75	29,700.00			303.75
EL PASO CORP MW@+50BP									
07 000% 061517 DTD061807 FC121507	FIFO	3,000,000	Sep 10, 12	Mar 25, 13	3,427.50	3,435.00		-7.50	
	FIFO	14,000,000	Sep 12, 12	Mar 25, 13	15,995.00	16,135.00		-140.00	
ENERGY TRANSFER PARTNERS									
07 500% 101520 DTD092010 FC041511 LP B/E	FIFO	18,000,000	Sep 18, 12	Mar 25, 13	20,655.00	21,105.00		-450.00	
FIRST DATA CORP									
09 875% 092415 DTD093008 FC033109 CALL@MW+50BP	FIFO	10,000,000	Sep 24, 12	Mar 25, 13	10,301.00	10,275.00			26.00
GENON ENERGY INC NTS B/E									
09 875% 101520 DTD041511 FC101511 CALL@MW +50BP	FIFO	3,000,000	Sep 06, 12	Mar 25, 13	3,423.75	3,322.50			101.25
	FIFO	5,000,000	Sep 11, 12	Mar 25, 13	5,706.25	5,568.75			137.50
	FIFO	2,000,000	Oct 11, 12	Mar 25, 13	2,282.50	2,250.00			32.50
GENWORTH FINANCIAL INC									
07 625% 092421 DTD032511 B/E CALL@MW + 50BP	FIFO	10,000,000	Oct 01, 12	Mar 25, 13	12,025.00	10,350.00			1,675.00
GMAC LLC B/E									
08 000% 110131 DTD123108 FC050109	FIFO	17,000,000	Sep 05, 12	Mar 25, 13	21,615.50	20,145.00			1,470.50

continued next page



Business Services Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Seix HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
HARRAH'S OPER CO INC NTS 11 250% 060117 DTD120109 CALL@MW+50BP	FIFO	10,000 000	Sep 04, 12	Mar 25, 13	10,662 50	10,812 50		-150 00	
HCA INC NTS B/E 07 875% 021520 DTD021510 FC081510	FIFO	25,000 000	Aug 28, 12	Mar 22, 13	27,687 50	27,906 25		-218 75	
ICAHN ENTERPRISES L P / 08 000% 011518 DTD071510 FC011511 ICAHN NTS	FIFO	28,000 000	Aug 30, 12	Mar 22, 13	30,065 00	29,890 00			175 00
INTL LEASE FIN CORP NTS 08 250% 121520 DTD120710 FC061511 B/E	FIFO	17,000 000	Aug 28, 12	Mar 25, 13	20,884 50	20,017 50			867 00
LEVEL 3 FING INC NTS B/E 08 125% 070119 DTD010112 FC070112 CALL@MW+50BP	FIFO	4,000 000	Sep 27, 12	Mar 25, 13	4,395 60	4,270 00			125 60
	FIFO	5,000 000	Oct 02, 12	Mar 25, 13	5,494 50	5,362 50			132 00
	FIFO	3,000 000	Oct 04, 12	Mar 25, 13	3,296 70	3,213 75			82 95
	FIFO	4,000 000	Oct 05, 12	Mar 25, 13	4,395 60	4,320 00			75 60
	FIFO	1,000 000	Oct 09, 12	Mar 25, 13	1,098 90	1,075 00			23 90
	FIFO	2,000 000	Oct 10, 12	Mar 25, 13	2,197 80	2,150 00			47 80
LINN ENERGY LLC NTS B/E 07 750% 020121 DTD031511 CALL@MW+50BP	FIFO	10,000 000	Aug 28, 12	Mar 22, 13	10,875 00	10,510 00			365 00
PEABODY ENERGY CORP B/E 06 500% 091520 DTD082510 FC031511	FIFO	10,000 000	Sep 04, 12	Mar 25, 13	10,770 00	10,375 00			395 00
REGIONS FINL CORP NTS 05 750% 061515 DTD042610 FC121510 B/E	FIFO	3,000 000	Aug 28, 12	Mar 22, 13	3,251 25	3,195 00			56 25
	FIFO	2,000 000	Aug 29, 12	Mar 22, 13	2,167 50	2,137 50			30 00
RITE AID CORP CALL@M/H 07 500% 030117 DTD022107 FC090107 T+75BP	FIFO	4,000 000	Sep 04, 12	Mar 25, 13	4,125 00	4,150 00		-25 00	
	FIFO	6,000 000	Sep 28, 12	Mar 25, 13	6,187 50	6,180 00			7 50

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Business Services Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Sex HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SEARS HLDGS CORP NTS B/E 06 625% 101518 DTD041511 CALL@MW+50BP	FIFO	21,000.000	Sep 06, 12	Mar 25, 13	20,502 30	19,215 00			1,287 30
SPRINT CAPITAL CORP 06 900% 050119 DTD050699 FC110199 MW+25BP GLOBAL	FIFO	28,000.000	Aug 28, 12	Mar 25, 13	30,685 20	28,805 00			1,880 20
WYNN LAS VEGAS LLC B/E 07.875% 050120 DTD042810 FC110110	FIFO	10,000.000	Aug 28, 12	Mar 25, 13	11,225 00	11,150 00			75 00
Total					\$631,576.40	\$618,555.61		-\$1,810.36	\$14,831.15
Net short-term capital gains and losses									\$13,020.79
Net capital gains/losses:									\$13,020.79



Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Seix HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Investment transactions (continued)

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Apr 3	Sold	GENWORTH FINANCIAL INC 07.625% 092421 DTD032511 B/E CALL@MMW + 50BP YTM = 4.704 UNSOLICITED AS OF 03/28/13 CUSIP 37247DAP1	-10,000.000	120.2500000	120.2500000	12,019.75		8.47
Total						\$338,716.88		\$5,180.06

Date	Activity	Description	Amount (\$)
Mar 28	Balance forward		\$151,798.98
Apr 1	Bought	UBS SELECT PRIME CAPITAL FUND	490,357.25
Apr 1	Bought	UBS SELECT PRIME CAPITAL FUND	4.25
Apr 2	Bought	UBS SELECT PRIME CAPITAL FUND	41,058.36
Apr 3	Sold	UBS SELECT PRIME CAPITAL FUND AS OF 04/02/13	-683,218.84
Apr 4	Bought	UBS SELECT PRIME CAPITAL FUND	12,028.22
Apr 8	Bought	UBS SELECT PRIME CAPITAL FUND	85.50
Apr 15	Sold	UBS SELECT PRIME CAPITAL FUND	-12,113.72
Apr 30	Closing UBS Select Prime Capital Fund		\$0.00

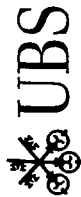
Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
CROWN AMERICAS B/E 06.250% 020121 DTD080111 FC020112	FIFO	10,000.000	Mar 19, 13	Mar 27, 13	10,929.00	10,925.00			4.00
DELPHI CORP NTS B/E 05.000% 021523 DTD021413 FC08152013 CALL@MMW+50BP	FIFO	2,000.000	Mar 20, 13	Mar 27, 13	2,115.00	2,112.50			2.50

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Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Seix HY
Account number: Y1 18709 1C

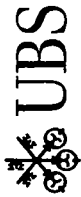
Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ENERGY FUTURES HLDGS 10 000% 120120 DTD081710 CALL@MW+50BP	FIFO	18,000 000	Sep 07, 12	Mar 26, 13	20,385.00	20,430.00		-45 00	
HEALTH MGMT ASSN INC NEW 06 125% 041516 DTD042106 FC101506 MW +20BPS	FIFO	9,000 000	Sep 04, 12	Mar 27, 13	9,877 50	9,765.00			112 50
HERTZ CORP NTS B/E 06.750% 041519 DTD041511 FC101511	FIFO	4,000 000	Oct 01, 12	Mar 27, 13	4,360 80	4,230 00			130 80
	FIFO	6,000 000	Oct 17, 12	Mar 27, 13	6,541 20	6,435 00			106 20
HOST HOTELS & RESORTS 05 875% 061519 DTD121511 CALL@MW+50BP	FIFO	8,000 000	Oct 19, 12	Mar 27, 13	8,800 80	8,792 96			7 84
	FIFO	11,000 000	Dec 18, 12	Mar 27, 13	12,101.10	12,072.50			28 60
HUNTINGTON INGALLS INDS 06 875% 031518 DTD091511 CALL@MW+50BP	FIFO	18,000.000	Sep 05, 12	Mar 27, 13	19,642 68	19,575 00			67 68
INTELSAT JACKSON HLDGS 07 250% 040119 DTD100111 CALL@MW+50BPS	FIFO	18,000 000	Sep 11, 12	Mar 27, 13	19,715.40	19,800 00		-84.60	
IRON MTN INC B/E 05.750% 081524 DTD081012 CALL@MW+50BP	FIFO	7,000 000	Jan 17, 13	Mar 27, 13	6,975.50	7,166 25		-190 75	
IRON MTN INC. NTS B/E 08 375% 081521 DTD081009 FC021510	FIFO	10,000 000	Sep 19, 12	Mar 27, 13	10,977 00	11,200.00		-223.00	
LEUCADIA NATL CORP NTS 08 125% 091515 DTD092507 FC031508 B/E	FIFO	18,000 000	Aug 28, 12	Mar 27, 13	20,367 00	20,385 00		-18 00	
MARKWEST ENERGY 06 750% 110120 DTD110210 FC050111 CALL@MW+50BP	FIFO	2,000 000	Oct 05, 12	Mar 27, 13	2,190.40	2,185.00			5.40
	FIFO	4,000.000	Oct 10, 12	Mar 27, 13	4,380.80	4,370.00			10 80
	FIFO	3,000.000	Oct 12, 12	Mar 27, 13	3,285 60	3,285 00			0 60

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Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Sex HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
MASCO CORP B/E 05.950% 031522 DTD031212 CALL@MW+50BP	FIFO	6,000.000	Sep 25, 12	Mar 27, 13	6,765.60	6,612.00			153.60
MGM GRAND INC CALL @ MW 07.625% 011517 DTD122106 FC071507 T+50BP	FIFO	3,000.000	Sep 10, 12	Mar 27, 13	3,338.10	3,157.50			180.60
	FIFO	7,000.000	Sep 14, 12	Mar 27, 13	7,788.90	7,446.25			342.65
NRG ENERGY INC NTS B/E 08.500% 061519 DTD060509 FC121509 CALL@MW+50BP	FIFO	20,000.000	Aug 28, 12	Mar 27, 13	22,010.00	21,525.00			485.00
PENN NATL GAMING INC NTS 08.750% 081519 DTD021510 FC081510 CALL@MW+50BP	FIFO	2,000.000	Sep 27, 12	Mar 27, 13	2,255.20	2,255.00			0.20
	FIFO	2,000.000	Oct 01, 12	Mar 27, 13	2,255.20	2,255.00			0.20
PLAINS EXPLORATION & 06.625% 050121 DTD032911 CALL@MW +50BP PROD CO	FIFO	3,000.000	Sep 11, 12	Mar 27, 13	3,283.50	3,165.00			118.50
	FIFO	7,000.000	Sep 13, 12	Mar 27, 13	7,661.50	7,385.00			276.50
RANGE RESOURCES CORP NTS 06.750% 080120 DTD081210 FC020111 B/E	FIFO	9,000.000	Sep 14, 12	Mar 27, 13	9,900.00	10,001.25		-101.25	
	FIFO	9,000.000	Sep 17, 12	Mar 27, 13	9,900.00	10,057.50		-157.50	
SALLY HLDGS LLC NTS B/E 05.750% 060122 DTD051812 CALL@MW+50BP	FIFO	4,000.000	Oct 05, 12	Mar 27, 13	4,180.00	4,270.00		-90.00	
	FIFO	2,000.000	Oct 09, 12	Mar 27, 13	2,090.00	2,130.00		-40.00	
	FIFO	4,000.000	Oct 10, 12	Mar 27, 13	4,180.00	4,270.00		-90.00	
SANDRIDGE ENERGY INC NTS 07.500% 031521 DTD111611 FC031512 B/E	FIFO	10,000.000	Sep 06, 12	Mar 27, 13	10,455.00	10,325.00			130.00
SEALED AIR CORP NTS B/E 07.875% 061517 DTD061510 FC121510 CALL@MW+50BP	FIFO	10,000.000	Sep 11, 12	Mar 27, 13	10,550.00	10,812.50		-262.50	
SERVICEMASTER CO NTS B/E 08.000% 021520 DTD021312 FC081512 CALL@MW +50BP	FIFO	9,000.000	Sep 06, 12	Mar 26, 13	9,663.75	9,652.50			11.25

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Investment Account
April 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Seix HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SMITHFIELD FOODS INC 07.750% 070117 DTD062207	FIFO	6,000,000	Sep 10, 12	Mar 27, 13	6,984.00	6,780.00			204.00
FC010108 NTS	FIFO	3,000,000	Nov 07, 12	Mar 27, 13	3,492.00	3,412.50			79.50
TENET HEALTHCARE CORP 09.250% 020115 DTD080106	FIFO	9,000,000	Aug 29, 12	Mar 27, 13	10,138.50	10,080.00			58.50
FC020107 MW+50BP NTS B/E									
UNITED RENTALS NA INC 09.250% 121519 DTD111709	FIFO	5,000,000	Aug 28, 12	Mar 26, 13	5,700.00	5,637.50			62.50
CALL @ MW +50BP	FIFO	13,000,000	Aug 29, 12	Mar 26, 13	14,820.00	14,690.00			130.00
VISTEON CORP 06.750% 041519 DTD040611	FIFO	5,000,000	Sep 10, 12	Mar 27, 13	5,350.00	5,200.00			150.00
CALL@MW+50BP	FIFO	3,000,000	Sep 20, 12	Mar 27, 13	3,210.00	3,180.00			30.00
ZAYO GROUP LLC/ZAYO CPTL 08.125% 010120 DTD062812	FIFO	9,000,000	Sep 18, 12	Mar 27, 13	10,106.10	10,025.01			81.09
FC010113 CALL@MW+50BP									
Total					\$338,722.13	\$337,053.72		-\$1,302.60	\$2,971.01
Net short-term capital gains and losses									\$1,668.41
Net capital gains/losses:									\$1,668.41

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
GENWORTH FINANCIAL INC 07.625% 092421 DTD032511	FIFO	10,000,000	Oct 01, 12	Mar 25, 13	12,019.75	10,350.00			1,669.75
B/E CALL@MW + 50BP									



Investment Account
September 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Seix HY
Account number: Y1 18709 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more

information. We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Prior month or year activity adjustments

Gain and loss details presented here reflect changes to previously reported gains and losses, resulting from a trade cancellation, wash sale transaction or an update to cost basis. If the adjustment is a result of a wash

sale transaction, the amount of the disallowed loss has been added to the cost basis of the securities of the same issuer that you held subsequent to the wash sale.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
PAETEC HLDG CORP NTS B/E 08.875% 063017 DTD062909 FC123109 CALL@MW+50BP	Adjustment	9,000,000	Sep 04, 12	Jan 23, 13	9,721,53	9,787.50 *		-65.97	

Asterisk * indicates a UBS Financial Services adjustment to cost basis



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Stonebridge
Account number: Y1 18712 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
AEGON NV 6 875% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	90.000	Aug 29, 12	Jan 22, 13	2,279.71	2,246.79			32.92
COUNTRYWIDE CAP V 7 000%									
DUE 11/01/36 CALLABLE	FIFO	100.000	Sep 18, 12	Jan 08, 13	2,540.94	2,513.00			27.94
	FIFO	100.000	Sep 18, 12	Jan 17, 13	2,542.94	2,513.00			29.94
	FIFO	100.000	Sep 18, 12	Jan 23, 13	2,550.07	2,513.00			37.07
MORGAN STANLEY CAP 6 600% DUE 10/15/66 CALLABLE 10/15/11@25 00	FIFO	90.000	Aug 29, 12	Jan 08, 13	2,258.05	2,249.10			8.95
	FIFO	100.000	Aug 29, 12	Jan 10, 13	2,511.88	2,499.00			12.88
	FIFO	100.000	Aug 29, 12	Jan 16, 13	2,511.94	2,499.00			12.94
	FIFO	110.000	Aug 29, 12	Jan 22, 13	2,773.34	2,748.90			24.44
WACHOVIA PREFERRED FNDNG 7 25%NONCUM EXCHANGEABLE PERPETUAL SER A PFD SEC	FIFO	370.000	Aug 29, 12	Jan 08, 13	9,841.97	10,028.11		-186.14	
Total					\$29,810.84	\$29,809.90		-\$186.14	\$187.08
Net short-term capital gains and losses									\$0.94
Net capital gains/losses:									\$0.94



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Stonebridge
Account number: Y1 18712 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
AEGON N V 6 500% CALLABLE 12/15/10	FIFO	300 000	Aug 29, 12	Mar 20, 13	7,547 77	7,416 48			131.29
AEGON N V PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	445 000	Aug 30, 12	Mar 20, 13	11,087 46	9,956 07			1,131.39
AEGON NV 8 000% DUE 02/15/42 CALLABLE	FIFO	270 000	Mar 19, 13	Mar 20, 13	7,762 65	7,778 70		-16 05	
AEGON NV 6 875% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	205 000	Aug 29, 12	Mar 19, 13	5,218 11	5,117 68			100.43
AFFILIATED MANAGERS GROU 6.375% DUE 08/15/42 CALLABLE	FIFO	390 000	Sep 11, 12	Mar 20, 13	10,281.85	10,034.70			247.15
AFLAC INC 5 500% DUE 09/15/52 CALLABLE	FIFO	163 000	Sep 25, 12	Mar 20, 13	4,246 63	4,053 81			192.82
AIG INCORPORATED 6 450% SERIES A-4 DUE 06/15/2077 CALLABLE	FIFO	427 000	Sep 25, 12	Mar 20, 13	11,124 60	10,619 49			505.11
ALLIANZ SE 8.375% PERPETUAL, CALLABLE	FIFO	300 000	Aug 29, 12	Mar 20, 13	7,627 09	7,541 31			85.78
ALLIED CAPITAL CORP NEW 6 875% DUE 04/15/47 CALLABLE	FIFO	975 000 210 000	Sep 05, 12 Jan 04, 13	Mar 21, 13 Mar 21, 13	24,774 20 5,335 98	25,428.00 5,386 50		-653.80 -50 52	
	FIFO	200 000	Aug 29, 12	Mar 20, 13	5,077 01	5,021 02			55.99

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Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Stonebridge
Account number: Y1 18712 1C

Your Financial Advisor:
DUNNYAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
AMERICAN FINANCIAL GROUP									
7.000%									
DUE 09/30/50 CALLABLE	FIFO	95.000	Mar 19, 13	Mar 20, 13	2,532.64	2,539.35		-6.71	
AMERICAN FINANCIAL GROUP									
(AFG) 6.375%									
DUE 06/12/42 CALLABLE	FIFO	190.000	Aug 29, 12	Mar 20, 13	4,982.36	4,976.10			6.26
APOLLO INVMT CORP									
6.625%									
DUE 10/15/42 CALLABLE	FIFO	100.000	Oct 12, 12	Mar 20, 13	2,500.36	2,466.00			34.36
ARCH CPTL GRP LTD (ACGL)									
6.750% PREFERRED CLBL									
PAR VALUE - 25.00 USD	FIFO	645.000	Aug 29, 12	Mar 20, 13	18,124.09	17,960.47			163.62
	FIFO	100.000	Sep 18, 12	Mar 20, 13	2,809.94	2,730.00			79.94
ARES CAPITAL CORP									
7.750%									
DUE 10/15/40	FIFO	560.000	Aug 29, 12	Mar 20, 13	15,128.40	15,087.80			40.60
ASPEN INSURANCE HOLDINGS									
LTD 7.401% PREFERRED									
CLBL PAR VALUE -	FIFO	375.000	Aug 29, 12	Mar 20, 13	10,063.12	10,027.50			35.62
ASPEN INSURANCE HOLDINGS									
LIMITED (AHL) 7.250%									
PAR VALUE - 25.00 USD	FIFO	190.000	Aug 29, 12	Mar 20, 13	5,118.36	5,071.01			47.35
ASSURED GUARNTY MUNI HLD									
6.250%									
DUE 11/01/02 CALLABLE	FIFO	400.000	Aug 29, 12	Mar 20, 13	10,038.86	9,993.60			45.26
	FIFO	15.000	Mar 19, 13	Mar 20, 13	376.46	377.55		-1.09	
AVIVA PLC									
8.250%									
DUE 12/01/41 CALLABLE	FIFO	545.000	Aug 29, 12	Mar 20, 13	15,306.80	15,042.00			264.80
BANK OF NEW YORK MELLON									
5.200% PREFERRED CLBL									
PAR VALUE - 25.00 USD	FIFO	400.000	Sep 13, 12	Mar 19, 13	10,059.77	9,976.00			83.77
BARCLAYS BK PLC SER 2									
6.625% PREFERRED CLBL									
PAR VALUE - 25.00 USD	FIFO	400.000	Aug 29, 12	Mar 20, 13	10,127.77	9,860.24			267.53

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Investment Account
March 2013

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Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BB&T CORPORATION (BBT)									
SER E 5.625% PREFERRED CLBL PAR VALUE - 25.00	FIFO	395.000	Aug 29, 12	Mar 20, 13	10,101.07	10,013.25			87.82
	FIFO	390.000	Sep 17, 12	Mar 20, 13	9,973.21	9,932.64			40.57
CAPITAL ONE FINANCIAL CORP (COF) SER B 6.000%									
PAR VALUE - 25.00	FIFO	500.000	Sep 06, 12	Mar 20, 13	12,677.47	12,470.00			207.47
CHARLES SCHWAB CORP (SCHW) 6.000% PFD CLBL									
PAR VALUE - 25.00 USD	FIFO	380.000	Aug 29, 12	Mar 20, 13	9,956.73	10,021.55		-64.82	
	FIFO	15.000	Mar 19, 13	Mar 20, 13	393.03	393.48		-0.45	
CITIGROUP CAP XV 6.500% DUE 09/15/66 CALLABLE 04/16/13@25									
	FIFO	600.000	Aug 29, 12	Mar 21, 13	15,071.66	15,071.40			0.26
CITIGROUP CAPITAL IX 6.000% DUE 02/14/2033 CALLABLE 02/13/08@25.00									
	FIFO	605.000	Aug 29, 12	Mar 20, 13	15,309.12	15,068.55			240.57
COMMONWEALTH REIT (CWH) 5.750%									
DUE 08/01/42 CALLABLE	FIFO	310.000	Aug 29, 12	Mar 20, 13	7,510.02	7,510.06		-0.04	
	FIFO	120.000	Mar 19, 13	Mar 20, 13	2,907.10	2,900.82			6.28
COUNTRYWIDE CAP V 7.000%									
DUE 11/01/36 CALLABLE	FIFO	300.000	Sep 18, 12	Mar 19, 13	7,617.04	7,539.00			78.04
	FIFO	5.000	Nov 13, 12	Mar 19, 13	126.95	125.10			1.85
DB CAPITAL FUNDING VIII CALL 10/18/11@25.00									
6.375% PREFERRED CLBL	FIFO	405.000	Aug 29, 12	Mar 20, 13	10,282.72	10,031.81			250.91
DIGITAL REALTY TRUST INC SER E 7.000% PREFERRED CLBL									
	FIFO	360.000	Aug 29, 12	Mar 20, 13	9,683.78	9,953.10		-269.32	
	FIFO	25.000	Mar 19, 13	Mar 20, 13	672.49	674.25		-1.76	
DISCOVER FINANCIAL SERVI CES (DFS) 6.500 PFD CLBL PAR VALUE - 25.00 USD									
	FIFO	600.000	Oct 22, 12	Mar 20, 13	15,554.71	15,240.00			314.71

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Investment Account
March 2013

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Friendly account name: PFF Stonebridge
Account number: Y1 18712 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
DTE ENERGY CORP 6 500%	FIFO	690.000	Aug 29, 12	Mar 20, 13	19,039.43	19,975.50		-936.07	
DUKE ENERGY CORPORATION (DUK)	FIFO	100.000	Jan 10, 13	Mar 20, 13	2,518.52	2,531.00		-12.48	
5 125% DUE 01/15/73 CALL	FIFO	105.000	Jan 16, 13	Mar 20, 13	2,644.45	2,639.70			4.75
ENDURANCE SPECIALITY HLDG CALL	FIFO	70.000	Aug 29, 12	Mar 19, 13	1,907.46	1,889.87			17.59
12/15/15@25.00 SER A	FIFO	570.000	Aug 29, 12	Mar 20, 13	15,572.16	15,388.98			183.18
ENDURANCE SPECIALITY PFD- SER B 7 500% PREFERRED CLBL PAR VALUE - 25.00	FIFO	175.000	Aug 29, 12	Mar 19, 13	4,742.39	4,602.96			139.43
	FIFO	575.000	Aug 29, 12	Mar 20, 13	15,616.65	15,123.99			492.66
ENERGY LOUISIANA LLC 5 250%	FIFO	375.000	Aug 29, 12	Mar 20, 13	9,731.59	9,987.90		-256.31	
DUE 07/01/52 CALLABLE	FIFO	95.000	Sep 07, 12	Mar 20, 13	2,534.54	2,722.70		-188.16	
ENERGY MISS INC 1ST MTG 6 000%	FIFO	100.000	Mar 19, 13	Mar 20, 13	2,574.94	2,595.00		-20.06	
DUE 05/01/51 CALLABLE	FIFO	370.000	Aug 29, 12	Mar 20, 13	9,987.04	10,121.72		-134.68	
EPR PROPERTIES CONV CUMUL SER F 6 625% PFD REIT	FIFO	15.000	Mar 19, 13	Mar 20, 13	404.88	404.55			0.33
CLBL PAR VALUE - 25.00	FIFO	190.000	Aug 29, 12	Mar 20, 13	5,014.60	4,982.94			31.66
FIRST REP BANK CUMUL SER A 6 700% PREFERRED CLBL	FIFO	20.000	Mar 19, 13	Mar 20, 13	532.99	534.00		-1.01	
FIRST REP BANK PFD-B 6 200% SER B	FIFO								
DUE 12/30/49 CALLABLE	FIFO								
GOLDMAN SACHS GROUP 6 125%	FIFO								
DUE 11/01/60 CALLABLE	FIFO								

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Investment Account
March 2013

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Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
GOLDMAN SACHS GROUP INC									
6 5% DUE 11/01/2061 CALLABLE	FIFO	925 000	Aug 29, 12	Mar 20, 13	25,362 01	25,057 97			304 04
GOLDMAN SACHS GROUP INC									
SER D PREFERRED CLBL PAR VALUE - 25.00 USD	FIFO	710 000	Sep 18, 12	Mar 20, 13	16,262 62	15,043 34			1,219 28
HEALTH CARE REIT INC									
6 500% PREFERRED CLBL PAR VALUE - 25.00 USD	FIFO	375 000	Aug 29, 12	Mar 20, 13	10,148 77	10,067 44			81 33
HOSPITALITY PROPERTIES T									
7.125% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	185 000	Aug 29, 12	Mar 20, 13	5,042 99	5,036 35			6 64
HSBC USA INC NEW SER F									
PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	225 000	Aug 29, 12	Mar 20, 13	5,061 09	5,040 00			21 09
ING GROEP N V 6 20%									
PREFERRED CLBL PAR VALUE - 25.00 USD	FIFO	325 000	Aug 29, 12	Mar 20, 13	8,156 31	7,499 15			657 16
ING GROUP NV PERP DEBT									
SECURITIES 7 05% PREFERRED CLBL	FIFO	300 000	Aug 29, 12	Mar 20, 13	7,653 88	7,383 84			270 04
JPMORGAN CHASE & CO SER									
O 5.500% PREFERRED CLBL PAR VALUE - 25.00 USD	FIFO	105 000	Mar 19, 13	Mar 20, 13	2,637 82	2,638 65		-0 83	
KIMCO REALTY CORPORATION									
(KIM) 5.500% PREF CLBL PAR VALUE - 25 00 USD	FIFO	405 000	Aug 28, 12	Mar 20, 13	10,252 79	9,983 25			269 54
	FIFO	200 000	Sep 05, 12	Mar 20, 13	5,063 11	4,960 00			103 11
KKR FINANCIAL HOLDINGS									
8 375% DUE 11/01/41 CALLABLE	FIFO	545 000	Aug 29, 12	Mar 20, 13	15,627 85	15,042 00			585 85
KKR FINANCIAL HOLDINGS									
LLC (KFN) 7 375% PREF CLBL PAR VAL - 25.00	FIFO	205 000	Jan 14, 13	Mar 20, 13	5,267 66	5,125 00			142 66

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Investment Account
March 2013

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212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
LLOYDS BANKING GROUP PLC 7.750% DUE 07/15/50 CALLABLE	FIFO	65,000	Aug 29, 12	Mar 19, 13	1,790.71	1,784.25			6.46
	FIFO	845,000	Aug 29, 12	Mar 20, 13	23,279.23	23,195.25			83.98
MORGAN STANLEY CALL 7/15/11@25.00 SER A PREFERRED CLBL	FIFO	520,000	Sep 18, 12	Mar 20, 13	11,862.85	10,098.40			1,764.45
MORGAN STANLEY CAP 6.600% DUE 10/15/66 CALLABLE 10/15/11@25.00	FIFO	200,000	Aug 29, 12	Mar 19, 13	5,117.23	4,998.00			119.23
MS CAPITAL TRUST III 6.250% DUE 3/01/33 CALLABLE 3/1/08@25.00	FIFO	610,000	Aug 29, 12	Mar 20, 13	15,341.22	15,115.80			225.42
NATIONAL RETAIL PROPERTY CUMUL SER D 6.625% PREFERRED CLBL PAR VALUE	FIFO	560,000	Aug 29, 12	Mar 20, 13	14,914.59	14,912.52			2.07
	FIFO	20,000	Mar 19, 13	Mar 20, 13	532.66	532.60			0.06
NEXTERA ENERGY CAP HLDGS 5.700% DUE 03/01/72 CALLABLE	FIFO	135,000	Aug 30, 12	Mar 19, 13	3,588.90	3,645.00		-56.10	
	FIFO	950,000	Aug 30, 12	Mar 20, 13	25,165.22	25,650.00		-484.78	
	FIFO	25,000	Sep 07, 12	Mar 20, 13	662.24	675.00		-12.76	
NEXTERA ENERGY CAPITAL HOLDINGS (NEE) 5.625% DUE 06/15/72 CALLABLE	FIFO	375,000	Aug 29, 12	Mar 20, 13	9,804.76	10,016.78		-212.02	
	FIFO	25,000	Mar 19, 13	Mar 20, 13	653.65	652.50			1.15
PARTNERRE PERPNC5 SER E 7.250% PREFERRED CLBL PAR VALUE - 25.00 USD	FIFO	540,000	Sep 05, 12	Mar 20, 13	14,857.23	15,157.80		-300.57	
	FIFO	25,000	Mar 19, 13	Mar 20, 13	687.83	690.25		-2.42	
PNC FINL SERV GRP INC SER Q 5.375% PREFERRED CLBL PAR VALUE - 25.00	FIFO	400,000	Sep 20, 12	Mar 20, 13	10,094.65	9,936.00			158.65

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Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
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DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PNC FINL SERV SER P 6 125% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	725 000	Aug 29, 12	Mar 20, 13	20,053.05	20,148.99		-95.94	
	FIFO	400 000	Mar 19, 13	Mar 20, 13	11,063.75	11,072.00		-8.25	
PPL CAPITAL FUNDING INC (PLJ) 5.900%	FIFO	205 000	Mar 19, 13	Mar 20, 13	5,114.64	5,125.00		-10.36	
PRUDENTIAL FINANCIAL INC (PRU) 5.750%	FIFO	410 000	Mar 19, 13	Mar 20, 13	10,345.87	10,362.14		-16.27	
PS BUSINESS PARKS INC CUMUL SER T 6.000%	FIFO	875 000	Aug 29, 12	Mar 20, 13	22,516.05	22,478.31			37.74
PREFERRED CLBL	FIFO	30 000	Mar 19, 13	Mar 20, 13	771.98	773.70		-1.72	
PUBLIC STORAGE PFD-S RT 5.900% QUARTERLY MAT PERPTUAL FIXED RATE	FIFO	375 000	Aug 29, 12	Mar 20, 13	9,826.88	9,984.94		-158.06	
	FIFO	20 000	Mar 19, 13	Mar 20, 13	524.10	524.98		-0.88	
PUBLIC STORAGE SER T 5.750% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	565 000	Aug 29, 12	Mar 20, 13	14,571.02	15,017.70		-446.68	
	FIFO	35 000	Mar 19, 13	Mar 20, 13	902.63	905.10		-2.47	
QWEST CORP 7.500%	FIFO	365 000	Aug 29, 12	Mar 20, 13	9,769.15	10,055.75		-286.60	
DUE 09/15/51 CALLABLE	FIFO	20 000	Mar 19, 13	Mar 20, 13	535.30	539.80		-4.50	
QWEST CORP \$25 PAR 40NC5 SR NOTES 7.375%	FIFO	730 000	Aug 29, 12	Mar 20, 13	19,394.94	19,831.69		-436.75	
DUE 06/01/51 CALLABLE	FIFO	45 000	Mar 19, 13	Mar 20, 13	1,195.58	1,205.55		-9.97	
QWEST CORPORATION (CTL) 7.000%	FIFO	280 000	Aug 29, 12	Mar 20, 13	7,527.35	7,476.00			51.35
DUE 07/01/52 CALLABLE									continued next page



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
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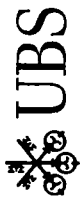
Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
RAYMOND JAMES FINANCIAL 6 900%									
DUE 03/15/42 CALLABLE	FIFO	915.000	Aug 29, 12	Mar 20, 13	25,231.11	25,224.81			6.30
	FIFO	25.000	Mar 19, 13	Mar 20, 13	689.37	693.50		-4.13	
REGENCY CENTERS CORP SER 6 6.625% PREFERRED CLBL	FIFO	375.000	Aug 29, 12	Mar 20, 13	9,967.28	10,083.75		-116.47	
REGIONS FINANCIAL CORPORATION (RF) 6 375% PAR VAL - 25.00 USD	FIFO	205.000	Oct 26, 12	Mar 20, 13	5,179.82	5,084.00			95.82
REINSURANCE GROUP OF AME RICA (RGA) 6.200%	FIFO	375.000	Sep 17, 12	Mar 20, 13	10,252.84	9,979.65			273.19
DUE 09/15/42 CALLABLE	FIFO	195.000	Mar 19, 13	Mar 20, 13	5,331.47	5,342.81		-11.34	
SANTANDER FIN PFD SA GTD PREFERRED CLBL PAR VALUE - 25.00 USD	FIFO	315.000	Aug 30, 12	Mar 20, 13	6,343.96	5,104.73			1,239.23
SANTANDER FINANCE PREFERRED SER 10 500% PREFERRED CLBL	FIFO	550.000	Sep 17, 12	Mar 20, 13	15,072.08	15,108.50		-36.42	
	FIFO	15.000	Mar 19, 13	Mar 20, 13	411.06	411.75		-0.69	
SCE TRUST I 5.625% PREFERRED (SOUTHERN CA EDISON-EIX) CLBL PAR VALUE - 25.00	FIFO	1,310.000	Aug 29, 12	Mar 20, 13	34,449.47	34,963.11		-513.64	
	FIFO	60.000	Mar 19, 13	Mar 20, 13	1,577.84	1,590.00		-12.16	
SELECTIVE INS GROUP INC 5.875%	FIFO	210.000	Mar 19, 13	Mar 20, 13	5,186.88	5,187.74		-0.86	
DUE 02/09/43 CALLABLE	FIFO	415.000	Aug 29, 12	Mar 20, 13	10,335.59	10,076.20			259.39
SENIOR HOUSING PROPERTIES TRUST(SNH) 5 625% DUE 08/01/42 CALLABLE	FIFO	200.000	Sep 11, 12	Mar 20, 13	4,981.01	4,896.00			85.01
SOLAR CAP LTD 6 750%	FIFO	105.000	Jan 08, 13	Mar 20, 13	2,584.76	2,509.50			75.26
DUE 11/15/42 CALLABLE	FIFO								

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Investment Account
March 2013

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Account number: Y1 18712 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
STANLEY BLACK & DECKER I									
5 750% DUE 07/25/52 CALLABLE	FIFO	385 000	Aug 29, 12	Mar 20, 13	10,067 53	10,029 25			38 28
	FIFO	15 000	Mar 19, 13	Mar 20, 13	392 24	392 85		-0 61	
STATE STREET CORPORATION									
(ST) 5.250% PREFERRED PAR VALUE - 25.00 USD	FIFO	400 000	Aug 30, 12	Mar 19, 13	10,099 77	9,996 00			103.77
	FIFO	395 000	Sep 18, 12	Mar 19, 13	9,973 53	9,914 50			59.03
SUNTRUST BANKS INC									
5 875% PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	205 000	Jan 23, 13	Mar 20, 13	5,116 83	5,143 45		-26 62	
TELEPHONE & DATA SYSTEMS									
6.875% DUE 11/15/59	FIFO	550 000	Aug 29, 12	Mar 20, 13	14,343 79	14,977 60		-633 81	
	FIFO	45 000	Mar 19, 13	Mar 20, 13	1,173 58	1,174 95		-1 37	
TELEPHONE & DATA SYSTEMS									
(TDS) 5.875% DUE 12/01/61 CALLABLE	FIFO	105 000	Mar 19, 13	Mar 20, 13	2,628 00	2,623 95			4 05
U S CELLULAR CORP									
6 950% DUE 05/15/60 CALLABLE	FIFO	270 000	Aug 29, 12	Mar 20, 13	7,178 17	7,538 40		-360 23	
	FIFO	20 000	Mar 19, 13	Mar 20, 13	531 72	533 00		-1 28	
US BANCORP DEL SER F									
PREFERRED CLBL PAR VALUE - 25 00 USD	FIFO	675 000	Aug 29, 12	Mar 20, 13	20,209 72	20,058 30			151 42
	FIFO	20 000	Mar 19, 13	Mar 20, 13	598 81	599 20		-0 39	
VORNADO REALTY TRUST									
(VNO) 5 700% PREF CLBL PAR VALUE - 25 00 USD	FIFO	200 000	Aug 29, 12	Mar 20, 13	5,095 91	4,967 52			128 39
WEBSTER FINANCIAL CORP D									
SER E 6 400% PREFERRED CLBL PAR VALUE - 25 00	FIFO	105 000	Mar 19, 13	Mar 20, 13	2,640 83	2,639 75			1.08
WELLS FARGO & CO NEW									
8 000% PREFERRED CLBL	FIFO	525 000	Mar 19, 13	Mar 20, 13	15,521 96	15,581 21		-59 25	

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Investment Account
March 2013

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DUNN/YAMIN
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
ZIONS BANCORP 9 500% PREFERRED CLBL	FIFO	100 000	Jan 15, 13	Mar 20, 13	2,585.25	2,612 00		-26 75	
	FIFO	200 000	Mar 19, 13	Mar 20, 13	5,170 51	5,182.00		-11 49	
Total					\$1,067,835.87	\$1,060,565.97		-\$6,978.77	\$14,248.67
Net short-term capital gains and losses									\$7,269.90
Net capital gains/losses:									\$7,269.90



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF TCW LCG
Account number: Y1 18714 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Dec 31	Balance forward		\$103,336.35
Jan 2	Bought	UBS SELECT PRIME CAPITAL FUND AS OF 12/31/12	6.42
Jan 4	Bought	UBS SELECT PRIME CAPITAL FUND	472.20
Jan 14	Bought	UBS SELECT PRIME CAPITAL FUND	553.85
Jan 24	Sold	UBS SELECT PRIME CAPITAL FUND	-17,862.70
Jan 25	Sold	UBS SELECT PRIME CAPITAL FUND AS OF 01/24/13	-8,462.54
Jan 28	Bought	UBS SELECT PRIME CAPITAL FUND	6,205.83
Jan 29	Bought	UBS SELECT PRIME CAPITAL FUND	28,876.23
Jan 31	Closing UBS Select Prime Capital Fund		\$113,125.64

The UBS Select Prime Capital Fund is your primary sweep option.

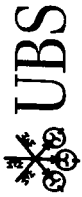
Realized gains and losses

The estimated realized gains and losses shown below are not for tax purposes. Please note that gain or loss recognized on the sale or redemption of certain Structured Products, like Contingent Debt Securities, may be ordinary, and not capital, gain or loss. Please check with your tax advisor. To calculate gains and losses, we liquidate the oldest security lot first. This is known as the first-in, first-out or FIFO accounting method. We use this method unless you specified which tax lot to close when you placed your order. This is known as a versus purchases or VSP order. See *Important information about your statement* at the end of this document for more information.

We may not adjust gains and losses for all capital changes. We automatically adjust cost basis for tax-exempt and AMT coupon municipal securities for estimated amortization of bond premiums and for accreted OID for securities issued at a discount. If you requested that UBS adjust cost basis for the bond premium amortization on taxable debt securities then cost basis reflected for these securities has been adjusted. Estimates in the *Unclassified* section can not be classified as short term or long term because information is missing, or the product is one in which the gain/loss calculation is not provided.

Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
LIFE TECHNOLOGIES CORP	FIFO	585 000	Sep 25, 12	Jan 23, 13	36,066.49	28,722.51			7,343.98



Managed Accounts Consulting
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Wellesley
Account number: Y1 18716 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

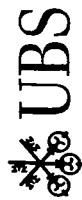
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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
TTM TECHNOLOGIES INC NTS 03 250% 051515 DTD051408	FIFO	27,000 000	Sep 06, 12	Jan 18, 13	26,819.72	28,226.34		-1,406.62	
FC111508 CONV	FIFO	4,000 000	Sep 11, 12	Jan 18, 13	3,973.29	4,156.56		-183.27	
Total					\$30,793.01	\$32,382.90		-\$1,589.89	
Net short-term capital gains and losses									-\$1,589.89
Net capital gains/losses:									-\$1,589.89



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Wellesley
Account number: Y1 18716 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Money balance activities (continued)			
Mar 22	Bought	UBS SELECT PRIME CAPITAL FUND	38,785.50
Mar 25	Bought	UBS SELECT PRIME CAPITAL FUND	403,761.57
Mar 26	Bought	UBS SELECT PRIME CAPITAL FUND	177,184.77
Mar 27	Sold	UBS SELECT PRIME CAPITAL FUND AS OF 03/26/13	-651,698.86
Mar 28	Closing UBS Select Prime Capital Fund		\$0.00

Realized gains and losses

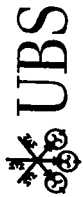
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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
AAR CORP NTS B/E 02 250% 030116 DTD021108 FC090108	FIFO	30,000,000	Sep 12, 12	Mar 19, 13	28,875.00	28,181.25			693.75
ALERE INC NTS CONV B/E 03 000% 051516 DTD080807 FC111507	FIFO	34,000,000	Aug 29, 12	Mar 19, 13	33,228.88	31,492.50			1,736.38
ALLIANT TECHSYSTEMS INC 03 000% 081524 DTD081304 FC021505 NTS CONV BE Original cost basis \$27,810.00	FIFO	27,000,000	Sep 25, 12	Mar 19, 13	29,092.50	28,714.97			377.53
ALTRA HLDGS INC NTS CONV 02 750% 030131 DTD030112 FC090112 B/E	FIFO	10,000,000	Aug 29, 12	Mar 21, 13	12,160.05	10,025.00			2,135.05
	FIFO	26,000,000	Sep 19, 12	Mar 21, 13	31,616.13	26,585.00			5,031.13
ARES CAP CORP NTS CONV 05 125% 060116 DTD120111 FC060112 B/E	FIFO	8,000,000	Sep 05, 12	Mar 25, 13	8,460.00	8,375.00			85.00
BGC PARTNERS INC NTS 04 500% 071516 DTD072911 FC011512 CONV B/E	FIFO	39,000,000	Sep 25, 12	Mar 18, 13	38,463.75	36,367.50			2,096.25

continued next page



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Wellesley
Account number: Y1 18716 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BRISTOW GROUP INC CONV									
03 000% 061538 DTD061708 FC121508 B/E	FIFO	7,000.000	Aug 28, 12	Mar 20, 13	7,752 50	7,170 63			581 87
	FIFO	19,000 000	Sep 25, 12	Mar 20, 13	21,042 50	19,748 22			1,294 28
BROADSOFT INC NTS CONV									
01 500% 070118 DTD010112 FC070112 B/E	FIFO	26,000 000	Nov 20, 12	Mar 25, 13	26,461 99	27,178 53		-716 54	
CHARLES RIVER LABS CONV									
02 250% 061513 DTD061206 FC121506 B/E	FIFO	7,000 000	Aug 28, 12	Mar 22, 13	7,070 00	7,078 75		-8 75	
	FIFO	20,000 000	Sep 18, 12	Mar 22, 13	20,200 00	20,312 50		-112 50	
COMTECH TELECOMM CORP									
03 000% 050129 DTD050809 FC110109 NTS CONV	FIFO	26,000.000	Nov 08, 12	Mar 25, 13	26,552 50	26,690 20		-137 70	
FIFTH STREET FIN CORP									
05 375% 040116 DTD041211 FC100111 NTS B/E	FIFO	25,000.000	Aug 29, 12	Mar 25, 13	26,125 00	25,093 75			1,031 25
GREENBRIER COS INC NTS									
03 500% 040118 DTD040511 FC100111 CONV B/E	FIFO	8,000 000	Aug 28, 12	Mar 19, 13	7,905 95	7,033 65			872 30
	FIFO	23,000 000	Sep 11, 12	Mar 19, 13	22,729 59	20,838 81			1,890 78
	FIFO	4,000 000	Nov 20, 12	Mar 19, 13	3,952 97	3,720 00			232 97
GS LKD TO APPL									
00 000% 012420 DTD012513 FC012420 MED TERM NTS Original cost basis : \$25,000 00	FIFO	25,000 000	Jan 17, 13	Mar 19, 13	23,475 00	25,124 68		-1,649 68	
GS LKD TO GOOG									
00 000% 032816 DTD032511 FC032816 CONV Original cost basis \$19,179.00	FIFO	18,000.000	Dec 10, 12	Mar 19, 13	20,736 00	19,369 46			1,366 54
GT ADVANCED TECHNOLOGIES									
03 000% 100117 DTD092812 FC040113 INC NTS	FIFO	25,000.000	Sep 25, 12	Mar 19, 13	18,187 50	25,000 00		-6,812 50	
	FIFO	7,000.000	Oct 02, 12	Mar 19, 13	5,092 50	6,931 99		-1,839 49	
	FIFO	2,000.000	Oct 09, 12	Mar 19, 13	1,455 00	1,968 50		-513 50	
	FIFO	3,000.000	Nov 27, 12	Mar 19, 13	2,182 50	2,280 00		-97 50	

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Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Wellesley
Account number: Y1 18716 1C

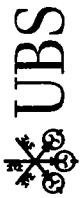
Your Financial Advisor:
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Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
HERCULES TECH GROWTH CAP 06.000% 041516 DTD041511 FC101511 INC NTS	FIFO FIFO	34,000.000 2,000.000	Sep 14, 12 Oct 22, 12	Mar 21, 13 Mar 21, 13	36,635.00 2,155.00	35,863.88 2,056.11			771.12 98.89
HOLOGIC INC CONV B/E 02.000% 030142 DTD030512 FC090112									
Original cost basis \$5,853.75	FIFO	6,000.000	Aug 28, 12	Mar 19, 13	6,225.00	6,048.19			176.81
Original cost basis \$21,567.33	FIFO	22,000.000	Sep 11, 12	Mar 19, 13	22,825.00	22,234.36			590.64
INTEGRA LIFESCIENCE NTS 01.625% 121516 DTD061511 FC121511 CONV B/E	FIFO FIFO	25,000.000 2,000.000	Aug 28, 12 Sep 12, 12	Mar 19, 13 Mar 19, 13	25,875.00 2,070.00	24,968.75 1,998.75			906.25 71.25
KOHLBERG CPTL CORP NTS 08.750% 031516 DTD031611 FC091511 CONV B/E	FIFO	34,000.000	Sep 19, 12	Mar 22, 13	44,455.00	37,910.00			6,545.00
LAM RESEARCH CORP NTS 01.250% 051518 DTD111511 FC051512 CONV B/E	FIFO	28,000.000	Nov 09, 12	Mar 22, 13	29,942.50	27,781.43			2,161.07
LIFEPOINT HOSPITALS INC 03.500% 051514 DTD052907 FC111507 CONV	FIFO FIFO	22,000.000 2,000.000	Nov 26, 12 Dec 04, 12	Mar 21, 13 Mar 21, 13	23,809.72 2,164.52	22,715.00 2,057.50			1,094.72 107.02
LINEAR TECHNOLOGY CORP 03.000% 050127 DTD042407 FC110107 CONV B/E	FIFO	26,000.000	Oct 17, 12	Mar 21, 13	27,597.18	27,812.90		-215.72	
MERITAGE HOMES CORP NTS 01.875% 091532 DTD091812 FC031513 CONV B/E	FIFO	33,000.000	Nov 02, 12	Mar 21, 13	37,620.00	33,101.81			4,518.19
NEWPARK RESOURCES INC 04.000% 100117 DTD100410 FC040111 NTS CONV	FIFO FIFO FIFO	6,000.000 20,000.000 2,000.000	Aug 28, 12 Oct 02, 12 Nov 02, 12	Mar 20, 13 Mar 20, 13 Mar 20, 13	6,930.00 23,100.00 2,310.00	6,100.80 21,025.00 2,041.25			829.20 2,075.00 268.75

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Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Wellesley
Account number: Y1 18716 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
NUANCE COMM INC NTS CONV 02 750% 110131 DTD050112 FC110112 B/E	FIFO	4,000,000	Nov 08, 12	Mar 20, 13	4,620.00	4,053.09			566.91
ON SEMICONDUCTOR CORP 02 625% 121526 DTD121511 FC061512 CONV B/E	FIFO	7,000,000	Dec 05, 12	Mar 25, 13	7,175.00	7,597.63		-422.63	
PHOTONICS INC NTS CONV 03 250% 040116 DTD040112 FC100112 B/E	FIFO	26,000,000	Nov 09, 12	Mar 19, 13	29,022.50	26,931.84			2,090.66
PROSPECT CPTL CORP NTS 05 500% 081516 DTD021512 FC081512 CONV B/E	FIFO	30,000,000	Aug 29, 12	Mar 19, 13	30,710.31	30,000.00			710.31
	FIFO	3,000,000	Oct 22, 12	Mar 19, 13	3,071.03	2,908.43			162.60
RTI INTL METALS INC NTS 03 000% 120115 DTD121410 FC060111 CONV B/E	FIFO	8,000,000	Aug 27, 12	Mar 20, 13	8,520.00	8,100.00			420.00
	FIFO	24,000,000	Sep 11, 12	Mar 20, 13	25,560.00	24,480.00			1,080.00
STILLWATER MINING CO NTS 01 750% 101532 DTD101712 FC041513 CONV B/E	FIFO	7,000,000	Aug 28, 12	Mar 19, 13	7,992.11	7,122.50			869.61
	FIFO	19,000,000	Sep 19, 12	Mar 19, 13	21,692.87	20,140.00			1,552.87
TOWER GROUP INC NTS CONV 05 000% 091514 DTD092010 FC031511 B/E	FIFO	31,000,000	Nov 02, 12	Mar 21, 13	36,270.00	32,962.61			3,307.39
	FIFO	6,000,000	Aug 28, 12	Mar 19, 13	6,184.50	6,157.26			27.24
	FIFO	20,000,000	Sep 13, 12	Mar 19, 13	20,615.00	20,650.00		-35.00	
TRINITY INDUSTRIES INC 03 875% 060136 DTD060706 FC120106 NTS CONV	FIFO	7,000,000	Aug 28, 12	Mar 20, 13	8,402.73	7,445.06			957.67
Original cost basis \$7,128.66	FIFO	11,000,000	Sep 05, 12	Mar 20, 13	13,204.29	11,764.53			1,439.76
Original cost basis \$11,288.75	FIFO	13,000,000	Sep 11, 12	Mar 20, 13	15,605.07	14,201.03			1,404.04
Original cost basis \$13,650.00	FIFO								

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Investment Account
March 2013

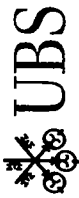
Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Wellesley
Account number: Y1 18716 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
VOLCANO CORP NTS CONV									
01.750% 120117 DTD121012	FIFO	6,000 000	Dec 12, 12	Mar 19, 13	5,938.97	6,122.56		-183.59	
FC060113 B/E	FIFO	17,000 000	Dec 17, 12	Mar 19, 13	16,827.07	17,205.82		-378.75	
	FIFO	5,000 000	Dec 18, 12	Mar 19, 13	4,949.14	5,079.18		-130.04	
WABASH NATL CORP CONV									
03 375% 050118 DTD042312	FIFO	31,000 000	Aug 29, 12	Mar 20, 13	38,307.88	30,554.38			7,753.50
FC110112 B/E									
Total					\$1,021,200.20	\$972,472.54		-\$13,253.89	\$61,981.55
Net short-term capital gains and losses									\$48,727.66
Net capital gains/losses:									\$48,727.66



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Scout
Account number: Y1 18720 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Investment transactions

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Quantity	Value (\$)	Price (\$)	Proceeds from investment transactions (\$)	Funds withdrawn for investments bought (\$)	Accrued interest (\$)
Mar 25	Sold	SCOUT MID CAP FUND FB0ID	30815533335	-238,130.932	15.230	3,626,734.09		
Total						\$3,626,734.09		

Date	Activity	Description	Amount (\$)
Feb 28	Balance forward		\$0.00
Mar 26	Bought	RMA MONEY MKT PORTFOLIO	3,626,734.09
Mar 28	Sold	RMA MONEY MKT PORTFOLIO	-3,626,734.09
Mar 28	Closing RMA Money Mkt. Portfolio		\$0.00

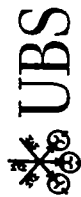
Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
SCOUT MID CAP FUND	FIFO	233,526.430	Sep 28, 12	Mar 22, 13	3,556,607.52	3,225,000.00			331,607.52
	FIFO	1,244.084	Dec 14, 12	Mar 22, 13	18,947.40	16,720.49			2,226.91
	FIFO	2,264.025	Dec 14, 12	Mar 22, 13	34,481.10	30,428.49			4,052.61
	FIFO	1,096.393	Dec 14, 12	Mar 22, 13	16,698.07	14,735.52			1,962.55
Total					\$3,626,734.09	\$3,286,884.50			\$339,849.59
Net short-term capital gains and losses									\$339,849.59
Net capital gains/losses:									\$339,849.59



Investment Account
March 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Oppenheimer
Account number: Y1 18721 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Feb 28	Balance forward		\$345.23
Mar 20	Sold	RMA MONEY MKT PORTFOLIO	-345.23
Mar 28	Closing RMA Money Mkt. Portfolio		\$0.00

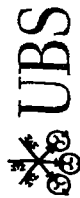
Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
OPPENHEIMER DEVELOPING MARKETS FUND CL A	FIFO	44,918.999	Sep 21, 12	Mar 14, 13	1,603,608.27	1,525,000.00			78,608.27
	FIFO	181.644	Dec 07, 12	Mar 14, 13	6,484.69	6,217.67			267.02
Total					\$1,610,092.96	\$1,531,217.67			\$78,875.29
Net short-term capital gains and losses									\$78,875.29
Net capital gains/losses:									\$78,875.29



Business Services Account
January 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Herndon
Account number: Y1 18724 1C

Your Financial Advisor:
DUNN/AMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Money balance activities (continued)	Date	Activity	Description	Amount (\$)
	Jan 14	Bought	UBS SELECT PRIME CAPITAL FUND	1,114.35
	Jan 18	Bought	UBS SELECT PRIME CAPITAL FUND	361.90
	Jan 25	Sold	UBS SELECT PRIME CAPITAL FUND AS OF 01/24/13	-8,737.20
	Jan 28	Sold	UBS SELECT PRIME CAPITAL FUND	-21,430.85
	Jan 29	Bought	UBS SELECT PRIME CAPITAL FUND	4,307.50
	Jan 31	Closing	UBS Select Prime Capital Fund	\$99,984.18

The UBS Select Prime Capital Fund is your primary sweep option

Realized gains and losses

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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
BAXTER INTL INC	FIFO	666,000	Nov 20, 12	Jan 02, 13	44,811.94	44,131.36			680.58
CLIFFS NAT RESOURCES INC	FIFO	1,173,000	Sep 27, 12	Jan 02, 13	46,078.28	45,972.45			105.83
COPA HOLDINGS SA CL A	FIFO	489,000	Sep 27, 12	Jan 02, 13	48,620.38	39,601.27			9,019.11
ENDO HEALTH SOLUTIONS INC	FIFO	1,142,000	Sep 27, 12	Jan 02, 13	30,063.05	30,063.05	-5,848.28		
LILLY ELI & CO	FIFO	1,975,000	Sep 27, 12	Jan 02, 13	98,124.09	93,536.00			4,588.09
MICROSOFT CORP	FIFO	2,483,000	Sep 27, 12	Jan 23, 13	68,042.12	74,538.17		-6,496.05	
	FIFO	1,592,000	Jan 02, 13	Jan 23, 13	43,625.87	43,294.76			331.11
UNITED THERAPEUTICS CORP	FIFO	293,000	Nov 20, 12	Jan 02, 13	15,678.52	14,915.75			762.77
	FIFO	294,000	Nov 21, 12	Jan 02, 13	15,732.03	15,295.88			436.15
	FIFO	278,000	Nov 23, 12	Jan 02, 13	14,875.86	14,750.01			125.85

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Business Services Account
January 2013

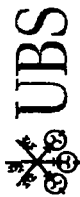
Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Herndon
Account number: Y1 18724 1C

Your Financial Advisor:
DUNN/YAMIN
212-821-7000/800-308-3140

Realized gains and losses (continued)

Short-term capital gains and losses (continued)

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
WADDELL & REED FINANCIAL INC CL A	FIFO	1,232 000	Sep 27, 12	Jan 23, 13	47,333.61	40,270.01			7,063.60
Total					\$472,985.75	\$456,368.71		-\$6,496.05^a	\$23,113.09
Net short-term capital gains and losses									\$16,617.04
Net capital gains/losses:									\$16,617.04



UBS Institutional Consulting
July 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Prisma
Account number: Y1 18726 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jul 24	Fee Charged	QUARTERLY FEE					
Jul 25	Dividend	RMA MONEY MKT PORTFOLIO AS OF 07/24/13				-3,880.46	4,885,066.24
Jul 30	Transfer	TO Y1 08365 8100				1.34	4,885,067.58

Jul 31 Closing cash and money balance

\$4,888,946.70

Proceeds from investment transactions

Date	Activity	Description	Amount (\$)
Jun 28	Balance forward		\$0.00
Jul 24	Bought	RMA MONEY MKT PORTFOLIO	4,888,946.70
Jul 25	Sold	RMA MONEY MKT PORTFOLIO AS OF 07/24/13	-3,880.46
Jul 25	Bought	RMA MONEY MKT PORTFOLIO AS OF 07/24/13	1.34
Jul 31	Sold	RMA MONEY MKT PORTFOLIO AS OF 07/30/13	-4,885,067.58
Jul 31	Closing RMA Money Mkt. Portfolio		\$0.00

The RMA Money Market Portfolio is your primary sweep option

Realized gains and losses

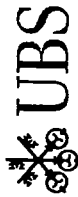
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Short-term capital gains and losses

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PRISMA SPECTRUM FUND LTD ADVISORY CLASS E- EST VAL AS OF 06/30/13	FIFO	5,432,163.000	Aug 27, 12	Jul 23, 13	4,888,946.70	5,000,000.00 *		-111,053.30	

Asterisk * indicates a UBS Financial Services adjustment to cost basis



UBS Institutional Consulting
August 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Prisma
Account number: Y1 18726 1C

Your Financial Advisor:
DUNNYAMIN
212-821-7000/800-308-3140

Account activity this month

For more information about the price/value shown for restricted securities, see *Important information about your statement* at the end of this document

Date	Activity	Description	Your expense code	Quantity/ Face value	Price/Value (\$)	Cash amount (\$)	Cash and money balance (\$)
Jul 31		Cash and money balance					\$0.00
Aug 21	Sold	PRISMA SPECTRUM FUND LTD ADVISORY CLASS E PROMISSORY NOTE CUSIP 7429959Z8		-271,384 000	1 00	271,383 80	271,383 80
Aug 22	Transfer	TO Y1 08365 8100				-271,383 80	
Aug 26	Dividend	RMA MONEY MKT. PORTFOLIO AS OF 08/23/13				6.70	6 70
Aug 30		Closing cash and money balance				\$6.70	\$271,383.80
		Proceeds from investment transactions					

Date	Activity	Description	Amount (\$)
Jul 31	Balance forward		\$0.00
Aug 22	Bought	RMA MONEY MKT PORTFOLIO	271,383 80
Aug 23	Sold	RMA MONEY MKT. PORTFOLIO AS OF 08/22/13	-271,383 80
Aug 27	Bought	RMA MONEY MKT PORTFOLIO	6.70
Aug 30	Closing RMA Money Mkt. Portfolio		\$6.70

The RMA Money Market Portfolio is your primary sweep option

Realized gains and losses

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Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment(\$)	Loss (\$)	Gain (\$)
PRISMA SPECTRUM FUND LTD ADVISORY CLASS E PROMISSORY NOTE	FIFO	271,384,000		Aug 21, 13	271,383.80	0 00			

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Business Services Account
November 2013

Account name: PAULEY FAMILY FOUNDATION
Friendly account name: PFF Prisma
Account number: Y1 18726 1C

Your Financial Advisor:
DUNNY/AMIN
212-821-7000/800-308-3140

Account activity this month (continued)

Date	Activity	Description	Amount (\$)
Oct 31	Balance forward		\$6.70
Nov 13	Sold	RMA MONEY MKT. PORTFOLIO AS OF 11/12/13	-6.70
Nov 29	Closing RMA Money Mkt. Portfolio		\$0.00
Oct 31	Balance forward		\$0.00
Nov 14	Deposit	UBS BANK USA BUSINESS ACCOUNT	6.70
Nov 15	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/14/13	-6.70
Nov 19	Deposit	UBS BANK USA BUSINESS ACCOUNT	6.70
Nov 26	Deposit	UBS BANK USA BUSINESS ACCOUNT	249,993.30
Nov 27	Withdrawal	UBS BANK USA BUSINESS ACCOUNT AS OF 11/26/13	-250,000.00
Nov 29	Closing UBS Bank USA Business Account		\$0.00
The UBS Bank USA Business Account is your primary sweep option			
Oct 31	Balance forward		\$0.00
Nov 26	Bought	UBS SELECT PRIME CAPITAL FUND	21,603.04
Nov 27	Sold	UBS SELECT PRIME CAPITAL FUND AS OF 11/26/13	-21,603.04
Nov 29	Closing UBS Select Prime Capital Fund		\$0.00
The UBS Select Prime Capital Fund is your secondary sweep option			

Realized gains and losses

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Gains and losses not calculated

Security description	Method	Quantity or face value	Purchase date	Sale date	Sale amount (\$)	Cost basis (\$)	Wash sale cost basis adjustment (\$)	Loss (\$)	Gain (\$)
PRISMA SPECTRUM FUND LTD ADVISORY CLASS E PROMISSORY NOTE	FIFO	339,961,000	Nov 25, 13		271,596.34	0.00			

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**PFF GSAM:TAX ADV LH (S&P ADR)
Realized Gains and Losses**

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
AGRIUM INC CMN	Sep 25 2012	Apr 18 2013	299.00	27,520.92	30,587.73	0.00	(3,066.81)	(3,066.81)	ST
ANGLOGOLD ASHANTI LIMITED SPONSORED ADR CMN	Jun 30 2010 Jan 10 2011 Jan 13 2011 Jan 14 2011 Oct 08 2012	Apr 18 2013 Apr 18 2013 Apr 18 2013 Apr 18 2013 Apr 18 2013	636.00 542.00 2,102.00 570.00 288.00	11,461.80 9,767.76 37,881.60 10,272.37 5,190.25	27,512.79 24,159.38 93,794.81 25,140.88 9,716.08	0.00 0.00 0.00 0.00 0.00	(16,050.99) (14,391.62) (55,913.21) (14,868.51) (4,525.83)	(16,050.99) (14,391.62) (55,913.21) (14,868.51) (4,525.83)	LT LT LT LT ST
ANHEUSER-BUSCH INBEV S.A. SPONSORED ADR CMN	Mar 08 2012 Mar 22 2012	Apr 18 2013 Apr 18 2013	111.00 47.00	10,820.40 4,581.61	7,747.97 3,394.13	0.00 0.00	3,072.43 1,187.48	3,072.43 1,187.48	LT LT
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	1,154.00	45,630.67	32,347.08	0.00	13,283.59	13,283.59	ST
ASTRAZENECA PLC SPONS ADR SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	1,940.00	98,990.94	93,514.40	0.00	5,476.54	5,476.54	ST
BAIDU, INC SPONSORED ADR CMN	Nov 09 2010 Mar 15 2011 Jan 11 2013 Jan 11 2013	Apr 18 2013 Apr 18 2013 Apr 18 2013 Apr 18 2013	990.00 85.00 133.00 213.00	85,533.09 7,343.75 11,490.81 18,402.57	107,695.00 10,438.00 14,965.21 24,199.02	0.00 0.00 0.00 0.00	(22,161.91) (3,094.25) (3,474.40) (5,796.45)	(22,161.91) (3,094.25) (3,474.40) (5,796.45)	LT LT ST ST
BANCO BRADESCO S.A. ADR CMN	Sep 25 2012 Oct 15 2012 Oct 16 2012 Apr 02 2013	Apr 18 2013 Apr 18 2013 Apr 18 2013 Apr 18 2013	2,837.00 40.00 40.00 390.00	45,445.59 640.77 640.77 6,247.50	46,465.80 620.68 623.71 6,513.00	0.00 0.00 0.00 0.00	(1,019.21) 20.09 17.06 (265.50)	(1,019.21) 20.09 17.06 (265.50)	ST ST ST ST
BANK OF NOVA SCOTIA CMN	Oct 03 2011	Apr 18 2013	1,125.00	62,314.37	55,906.13	0.00	6,408.24	6,408.24	LT
BARCLAYS PLC AMER DEP SHS ADR CMN	Sep 25 2012	Apr 18 2013	1,056.00	18,313.16	15,088.34	0.00	3,224.82	3,224.82	ST
BARRICK GOLD CORPORATION CMN	Mar 30 2010 Mar 31 2010 Jun 30 2010 Aug 19 2010	Apr 18 2013 Apr 18 2013 Apr 18 2013 Apr 18 2013	34.00 294.00 1,615.00 126.00	611.38 5,266.62 29,040.44 2,265.69	1,273.93 11,338.61 73,735.25 5,667.76	0.00 0.00 0.00 0.00	(662.55) (6,051.99) (44,694.81) (3,402.07)	(662.55) (6,051.99) (44,694.81) (3,402.07)	LT LT LT LT

PFF GSAM:TAX ADV LH (S&P ADR) Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
BHP BILLITON LIMITED SPONSORED ADR CMN	Dec 19 2011	Apr 18 2013	1,315.00	23,645.93	59,127.25	0.00	(35,481.32)	(35,481.32)	LT
	Aug 23 2012	Apr 18 2013	632.00	11,364.43	24,049.18	0.00	(12,684.75)	(12,684.75)	ST
	Sep 07 2010	Apr 18 2013	243.00	15,547.76	16,888.48	0.00	(1,340.72)	(1,340.72)	LT
	Oct 06 2010	Apr 18 2013	118.00	7,549.94	9,432.92	0.00	(1,882.98)	(1,882.98)	LT
	Nov 09 2010	Apr 18 2013	91.00	5,822.41	8,385.86	0.00	(2,563.45)	(2,563.45)	LT
	Apr 11 2012	Apr 18 2013	200.00	12,796.51	13,867.04	0.00	(1,070.53)	(1,070.53)	LT
	Sep 28 2012	Apr 18 2013	60.00	3,838.95	4,099.80	0.00	(260.85)	(260.85)	ST
BRITISH AMERICAN TOBACCO PLC SPONS ADR	Sep 28 2012	Apr 18 2013	850.00	54,385.18	58,115.95	0.00	(3,730.77)	(3,730.77)	ST
	Mar 30 2010	Apr 18 2013	282.00	30,042.79	19,363.39	0.00	10,679.40	10,679.40	LT
	Feb 01 2011	Apr 18 2013	219.00	23,331.10	16,482.66	0.00	6,848.44	6,848.44	LT
	Aug 08 2011	Apr 18 2013	77.00	8,203.17	6,594.69	0.00	1,608.48	1,608.48	LT
	Sep 28 2012	Apr 18 2013	250.00	26,633.68	25,612.53	0.00	1,021.15	1,021.15	ST
	Dec 04 2012	Apr 18 2013	75.00	7,990.10	8,023.09	0.00	(32.99)	(32.99)	ST
	Aug 25 2011	Apr 18 2013	894.00	15,895.77	19,972.14	0.00	(4,076.37)	(4,076.37)	LT
CAMECO CORPORATION CMN	Nov 14 2011	Apr 18 2013	2,439.00	43,366.64	47,996.11	0.00	(4,629.47)	(4,629.47)	LT
	Nov 09 2012	Apr 18 2013	168.00	2,987.12	2,906.52	0.00	80.60	80.60	ST
	Jun 30 2010	Apr 18 2013	792.00	22,887.57	26,528.12	0.00	(3,640.55)	(3,640.55)	LT
	Mar 19 2012	Apr 18 2013	406.00	11,732.77	14,595.74	0.00	(2,862.97)	(2,862.97)	LT
	Mar 20 2012	Apr 18 2013	834.00	24,101.31	29,357.13	0.00	(5,255.82)	(5,255.82)	LT
	Apr 23 2012	Apr 18 2013	544.00	15,720.76	17,339.35	0.00	(1,618.59)	(1,618.59)	ST
	Apr 24 2012	Apr 18 2013	1,424.00	41,151.40	45,208.72	0.00	(4,057.32)	(4,057.32)	ST
CANADIAN NATURAL RESOURCES CMN	Sep 28 2012	Apr 18 2013	1,350.00	39,012.91	41,454.59	0.00	(2,441.68)	(2,441.68)	ST
	Dec 07 2012	Apr 18 2013	628.00	18,148.23	17,889.32	0.00	458.91	458.91	ST
	Dec 10 2012	Apr 18 2013	184.00	5,317.32	5,215.39	0.00	101.93	101.93	ST
	Sep 28 2012	Apr 18 2013	500.00	59,866.90	41,301.10	0.00	18,565.80	18,565.80	ST
	Jan 26 2011	Apr 18 2013	134.00	6,993.97	6,602.35	0.00	391.62	391.62	LT
	Jul 21 2011	Apr 18 2013	211.00	11,012.90	10,331.53	0.00	681.37	681.37	LT
	Aug 03 2011	Apr 18 2013	124.00	6,472.03	5,972.62	0.00	499.41	499.41	LT
CANADIAN PACIFIC RAILWAY LTD CMN	Apr 11 2012	Apr 18 2013	378.00	19,729.27	20,383.80	0.00	(654.53)	(654.53)	LT
	Sep 25 2012	Apr 18 2013	682.00	73,247.47	62,811.72	0.00	10,435.75	10,435.75	ST
	Sep 28 2012	Apr 18 2013	500.00	59,866.90	41,301.10	0.00	18,565.80	18,565.80	ST
	Jan 26 2011	Apr 18 2013	134.00	6,993.97	6,602.35	0.00	391.62	391.62	LT
	Jul 21 2011	Apr 18 2013	211.00	11,012.90	10,331.53	0.00	681.37	681.37	LT
	Aug 03 2011	Apr 18 2013	124.00	6,472.03	5,972.62	0.00	499.41	499.41	LT
	Apr 11 2012	Apr 18 2013	378.00	19,729.27	20,383.80	0.00	(654.53)	(654.53)	LT
CHINA PETROLEUM & CHEMICAL COR SPONSORED ADR CMN 1 ADR REPRESENTS 100 H SHARES	Sep 25 2012	Apr 18 2013	682.00	73,247.47	62,811.72	0.00	10,435.75	10,435.75	ST
	Sep 25 2012	Apr 18 2013	3,791.00	64,866.72	62,761.90	0.00	2,104.82	2,104.82	ST
	Sep 25 2012	Apr 18 2013	3,791.00	64,866.72	62,761.90	0.00	2,104.82	2,104.82	ST
	Sep 25 2012	Apr 18 2013	3,791.00	64,866.72	62,761.90	0.00	2,104.82	2,104.82	ST
	Sep 25 2012	Apr 18 2013	3,791.00	64,866.72	62,761.90	0.00	2,104.82	2,104.82	ST
	Sep 25 2012	Apr 18 2013	3,791.00	64,866.72	62,761.90	0.00	2,104.82	2,104.82	ST
	Sep 25 2012	Apr 18 2013	3,791.00	64,866.72	62,761.90	0.00	2,104.82	2,104.82	ST



Statement Detail

PFF GSAM:TAX ADV LH (S&P ADR)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
COMPANHIA DE SANEAMENTO BASICO DO ESTADO DE SAO PAULO ADR	Sep 25 2012	Apr 18 2013	2,278.00	105,080.64	89,135.30	0.00	15,945.34	15,945.34	ST
CRESUD S A SPONS ADR SPONSORED ADR CMN	Jan 13 2012 May 04 2012	Apr 18 2013 Apr 18 2013	1,939.00 661.00	16,947.25 5,777.27	22,240.33 6,166.73	0.00 0.00	(5,293.08) (389.46)	(5,293.08) (389.46)	LT ST
ENI S P A SPON ADR SPONSORED ADR CMN	Dec 06 2012	Apr 18 2013	989.00	44,836.40	45,816.41	0.00	(980.01)	(980.01)	ST
FINNING LTD (COM NEW) CMN	Sep 28 2012	Apr 18 2013	100.00	2,175.45	2,412.66	(100.72)	(136.49)	(237.21)	ST
FRANCE TELECOM SA SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	4,913.00	48,811.52	63,019.54	0.00	(14,208.02)	(14,208.02)	ST
GLAXOSMITHKLINE PLC SPONSORED ADR CMN	Mar 30 2010 Jun 14 2010 Nov 29 2010 Apr 11 2012	Apr 18 2013 Apr 18 2013 Apr 18 2013 Apr 18 2013	469.00 175.00 291.00 273.00	23,618.87 8,813.01 14,654.78 13,748.30	17,905.25 6,203.51 11,202.39 12,272.82	0.00 0.00 0.00 0.00	5,713.62 2,609.50 3,452.39 1,475.48	5,713.62 2,609.50 3,452.39 1,475.48	LT LT LT LT
	Dec 10 2012 Dec 11 2012 Dec 12 2012 Dec 14 2012	Apr 18 2013 Apr 18 2013 Apr 18 2013 Apr 18 2013	317.00 207.00 211.00 316.00	15,964.14 10,424.54 10,625.98 15,913.78	13,947.14 9,162.44 9,378.95 13,953.39	0.00 0.00 0.00 0.00	2,017.00 1,262.10 1,247.03 1,960.39	2,017.00 1,262.10 1,247.03 1,960.39	ST ST ST ST
KB FINANCIAL GROUP INC. SPONSORED ADR	Dec 05 2012 Mar 19 2013	Apr 18 2013 Apr 18 2013	813.00 519.00	25,004.31 15,962.16	26,842.25 17,157.78	0.00 0.00	(1,837.94) (1,195.62)	(1,837.94) (1,195.62)	ST ST
KINROSS GOLD CORP CMN	Dec 30 2011 Jan 18 2012 Apr 10 2012 Mar 19 2013	Apr 18 2013 Apr 18 2013 Apr 18 2013 Apr 18 2013	1,126.00 4,554.00 3,169.00 2,125.00	5,936.48 24,009.51 16,707.54 11,203.39	12,982.78 47,762.81 29,550.93 17,127.50	0.00 0.00 0.00 0.00	(7,046.30) (23,753.30) (12,843.39) (5,924.11)	(7,046.30) (23,753.30) (12,843.39) (5,924.11)	LT LT LT ST
MAGNA INTERNATIONAL INC. CMN	Sep 25 2012	Apr 18 2013	702.00	38,412.08	30,724.29	0.00	7,687.79	7,687.79	ST
MANULIFE FINANCIAL CORP CMN	Sep 25 2012 Sep 28 2012	Apr 18 2013 Apr 18 2013	5,172.00 950.00	70,572.42 12,962.84	62,994.44 11,397.15	0.00 0.00	7,577.98 1,565.69	7,577.98 1,565.69	ST ST
MINDRAY MEDICAL INTL LIMITED SPONSORED ADR CMN CLASS A	Sep 10 2010 Nov 08 2012	Apr 18 2013 Apr 18 2013	604.00 162.00	22,278.82 5,975.44	17,752.59 5,220.89	0.00 0.00	4,526.23 754.55	4,526.23 754.55	LT ST
MIZUHO FINANCIAL GROUP, INC SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	18,999.00	82,940.17	63,456.64	0.00	19,483.53	19,483.53	ST
NOMURA HOLDINGS, INC SPONSORED ADR CMN	Nov 14 2011 Nov 15 2011	Apr 18 2013 Apr 18 2013	943.00 824.00	7,069.69 6,195.02	3,053.24 2,713.76	0.00 0.00	4,036.45 3,481.26	4,036.45 3,481.26	LT LT

PFF GSAM:TAX ADV LH (S&P ADR) Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Sep 05 2012	Apr 18 2013	1,584 00	11,908 88	5,256 35	0 00	6,652 53	6,652 53	ST
	Sep 05 2012	Apr 18 2013	1,336 00	10,044 36	8,399 14	0 00	1,645 22	1,645 22	ST
	Nov 16 2012	Apr 18 2013	672 00	5,052 25	2,631 82	0 00	2,420 43	2,420 43	ST
	Nov 19 2012	Apr 18 2013	2,276 00	17,111 50	9,079 42	0 00	8,032 08	8,032 08	ST
NOVAGOLD RESOURCES INC CMN	Feb 10 2012	Apr 18 2013	1,985 00	4,671 19	15,373 54	0 00	(10,702 35)	(10,702 35)	LT
	Apr 24 2012	Apr 18 2013	4,800 00	11,295 58	28,473 89	0 00	(17,178 31)	(17,178 31)	ST
NOVARTIS AG-ADR SPONSORED ADR CMN	Sep 26 2012	Apr 18 2013	378 00	27,236 52	23,136 85	0 00	4,099 67	4,099 67	ST
	Oct 25 2012	Apr 18 2013	103 00	7,421 59	6,260 59	0 00	1,141 00	1,141 00	ST
	Dec 17 2012	Apr 18 2013	228 00	16,428 38	14,453 38	0 00	1,975 00	1,975 00	ST
NXP SEMICONDUCTORS N V CMN	Jan 11 2013	Apr 18 2013	512 00	13,023 50	14,730 04	0 00	(1,706 54)	(1,706 54)	ST
	Jan 17 2013	Apr 18 2013	306 00	7,783 57	9,174 25	0 00	(1,390 68)	(1,390 68)	ST
PETROLEO BRASILEIRO S A - PET SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	1,200 00	19,002 77	27,887 76	0 00	(8,884 99)	(8,884 99)	ST
POSCO SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	734 00	51,338 91	61,300 16	0 00	(9,961 25)	(9,961 25)	ST
POTASH CORP OF SASKATCHEWAN INC	Sep 26 2012	Apr 18 2013	1,079 00	41,310 74	46,839 39	0 00	(5,528 65)	(5,528 65)	ST
PRUDENTIAL CORP (ADR) ADR CMN	Jul 13 2012	Apr 18 2013	454 00	14,334 05	10,647 30	0 00	3,686 75	3,686 75	ST
	Aug 01 2012	Apr 18 2013	752 00	23,742 74	18,151 78	0 00	5,590 96	5,590 96	ST
REED ELSEVIER N.V. SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	700 00	23,155 41	19,188 19	0 00	3,967 22	3,967 22	ST
RIO TINTO PLC SPONSORED ADR	Sep 25 2012	Apr 18 2013	724 00	31,804 24	34,366 69	0 00	(2,562 45)	(2,562 45)	ST
	Sep 26 2012	Apr 18 2013	1,200 00	52,714 22	56,195 76	0 00	(3,481 54)	(3,481 54)	ST
	Nov 02 2012	Apr 18 2013	889 00	39,052 45	45,894 54	0 00	(6,842 09)	(6,842 09)	ST
	Dec 11 2012	Apr 18 2013	258 00	11,333 56	13,679 42	0 00	(2,345 86)	(2,345 86)	ST
	Dec 17 2012	Apr 18 2013	256 00	11,245 70	14,274 59	0 00	(3,028 89)	(3,028 89)	ST
ROYAL BANK OF SCOTLAND GROUP P SPONSORED ADR CMN	Apr 26 2012	Apr 18 2013	1,907 00	15,898 11	14,634 32	0 00	1,263 79	1,263 79	ST
	Apr 30 2012	Apr 18 2013	1,212 00	10,104 10	9,561 95	0 00	522 15	522 15	ST
	May 18 2012	Apr 18 2013	1,343 00	11,195 21	8,488 83	0 00	2,707 38	2,707 38	ST
SANOFI SPONSORED ADR CMN	Nov 30 2010	Apr 18 2013	1,337 00	70,163 12	40,798 96	0 00	29,364 16	29,364 16	LT
	Dec 01 2010	Apr 18 2013	380 00	19,941 65	11,914 52	0 00	8,027 13	8,027 13	LT
	Mar 16 2011	Apr 18 2013	1,715 00	89,999 81	56,178 08	0 00	33,821 73	33,821 73	LT
	Sep 25 2012	Apr 18 2013	1,414 00	74,203 92	62,866 44	0 00	11,337 48	11,337 48	ST
	Oct 26 2012	Apr 18 2013	1,051 00	55,154 40	46,500 55	0 00	8,653 85	8,653 85	ST
SASOL LTD SPONS ADR SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	1,572 00	63,628 41	69,920 20	0 00	(6,291 79)	(6,291 79)	ST
	Jan 15 2013	Apr 18 2013	160 00	6,476 17	6,738 26	0 00	(262 09)	(262 09)	ST

PFF GSAM:TAX ADV LH (S&P ADR) Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
SIEMENS AKTIENGESELLSCHAFT SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	615.00	61,866.92	52,739.84	0.00	(852.92)	(852.92)	ST
SILVER STANDARD RESOURCES INC CMN	Apr 30 2012	Apr 18 2013	1,093.00	7,516.06	14,868.51	0.00	(7,352.45)	(7,352.45)	ST
	May 22 2012	Apr 18 2013	1,870.00	12,859.14	20,184.59	0.00	(7,325.45)	(7,325.45)	ST
	Mar 19 2013	Apr 18 2013	2,488.00	17,108.85	26,886.57	0.00	(9,777.72)	(9,777.72)	ST
SILVER WHEATON CORP CMN	Sep 25 2012	Apr 18 2013	3,266.00	75,654.54	124,477.06	0.00	(48,822.52)	(48,822.52)	ST
STATOILHYDRO ASA SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	2,358.00	54,405.15	62,793.54	0.00	(8,388.39)	(8,388.39)	ST
SUNCOR ENERGY INC. CMN	Sep 27 2012	Apr 18 2013	11,250.00	310,814.78	372,949.88	0.00	(62,135.10)	(62,135.10)	ST
	Sep 28 2012	Apr 18 2013	1,750.00	48,348.97	57,466.85	0.00	(9,117.88)	(9,117.88)	ST
TALISMAN ENERGY INC CMN	Nov 09 2011	Apr 18 2013	1,566.00	17,276.51	21,061.60	0.00	(3,785.09)	(3,785.09)	LT
	Nov 10 2011	Apr 18 2013	693.00	7,645.35	9,399.92	0.00	(1,754.57)	(1,754.57)	LT
	Feb 01 2012	Apr 18 2013	3,472.00	38,303.98	41,650.81	0.00	(3,346.83)	(3,346.83)	LT
	Feb 02 2012	Apr 18 2013	1,124.00	12,400.25	13,548.92	0.00	(1,148.67)	(1,148.67)	LT
	Feb 03 2012	Apr 18 2013	588.00	6,486.96	7,317.95	0.00	(830.99)	(830.99)	LT
	Feb 13 2012	Apr 18 2013	217.00	2,394.00	2,711.89	0.00	(317.89)	(317.89)	LT
	Apr 04 2012	Apr 18 2013	473.00	5,218.25	5,987.61	0.00	(769.36)	(769.36)	LT
	Apr 05 2012	Apr 18 2013	1,881.00	20,751.67	23,820.23	0.00	(3,068.56)	(3,068.56)	LT
	Apr 09 2012	Apr 18 2013	729.00	8,042.51	9,167.10	0.00	(1,124.59)	(1,124.59)	LT
	Apr 10 2012	Apr 18 2013	594.00	6,553.16	7,347.36	0.00	(794.20)	(794.20)	LT
	May 22 2012	Apr 18 2013	2,071.00	22,847.80	20,895.77	0.00	1,952.03	1,952.03	ST
	May 22 2012	Apr 18 2013	1,660.00	18,313.54	16,748.90	0.00	1,564.64	1,564.64	ST
	Sep 24 2012	Apr 18 2013	876.00	9,664.25	16,887.89	0.00	(7,223.64)	(7,223.64)	ST
	Sep 25 2012	Apr 18 2013	562.00	6,200.13	10,870.22	0.00	(4,670.09)	(4,670.09)	ST
	Sep 28 2012	Apr 18 2013	850.00	9,377.41	11,415.50	0.00	(2,038.09)	(2,038.09)	ST
	Nov 05 2012	Apr 18 2013	980.00	10,811.61	10,804.99	0.00	6.62	6.62	ST
TATA MOTORS LIMITED SPONSORED ADR CMN	Sep 25 2012	Apr 18 2013	1,229.00	31,372.59	30,503.78	0.00	868.81	868.81	ST
TECK RESOURCES LIMITED CMN CLASS B	Dec 14 2012	Apr 18 2013	330.00	8,089.16	11,844.00	0.00	(3,754.84)	(3,754.84)	ST
TENARIS SA SPONSORED ADR CMN	Sep 28 2012	Apr 18 2013	1,200.00	47,911.08	49,080.84	0.00	(1,169.76)	(1,169.76)	ST
TEVA PHARMACEUTICAL IND LTD ADS	Mar 15 2010	Apr 18 2013	825.00	31,443.76	50,325.00	0.00	(18,881.24)	(18,881.24)	LT
	Jun 18 2010	Apr 18 2013	235.00	8,956.71	12,638.08	0.00	(3,681.37)	(3,681.37)	LT

PFF GSAM:TAX ADV LH (S&P ADR)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Mar 15 2011	Apr 18 2013	110.00	4,192.50	5,312.67	0.00	(1,120.17)	(1,120.17)	LT
	Jun 22 2011	Apr 18 2013	44.00	1,677.00	2,096.20	0.00	(419.20)	(419.20)	LT
	Jun 23 2011	Apr 18 2013	200.00	7,622.73	9,449.26	0.00	(1,826.53)	(1,826.53)	LT
	Jul 12 2011	Apr 18 2013	667.00	25,421.80	32,833.48	0.00	(7,411.68)	(7,411.68)	LT
	Sep 20 2011	Apr 18 2013	995.00	37,923.08	46,000.32	0.00	(8,077.24)	(8,077.24)	LT
	Sep 20 2011	Apr 18 2013	405.00	15,436.03	14,930.24	0.00	505.79	505.79	LT
	Jun 26 2012	Apr 18 2013	906.00	34,530.96	35,691.96	0.00	(1,161.00)	(1,161.00)	ST
	Sep 25 2012	Apr 18 2013	1,534.00	58,466.33	62,843.99	0.00	(4,377.66)	(4,377.66)	ST
TIM PARTICIPACOES S.A. SPONSORED ADR	Sep 12 2012	Apr 18 2013	1,387.00	28,614.14	28,660.00	0.00	(45.86)	(45.86)	ST
CMN	Oct 16 2012	Apr 18 2013	538.00	11,099.07	9,818.69	0.00	1,280.38	1,280.38	ST
TORONTO DOMINION BANK CMN	Sep 25 2012	Apr 18 2013	729.00	56,862.92	61,204.29	0.00	(4,351.37)	(4,351.37)	ST
TOTAL SA SPONSORED ADR CMN	Mar 30 2010	Apr 18 2013	170.00	7,853.98	9,757.66	0.00	(1,903.68)	(1,903.68)	LT
	Oct 07 2011	Apr 18 2013	369.00	17,047.75	17,576.28	0.00	(528.53)	(528.53)	LT
	Oct 13 2011	Apr 18 2013	255.00	11,780.97	12,739.98	0.00	(959.01)	(959.01)	LT
	Oct 16 2012	Apr 18 2013	201.00	9,286.17	10,369.15	0.00	(1,082.98)	(1,082.98)	ST
TOYOTA MOTOR CORPORATION SPON ADR	Mar 30 2010	Apr 18 2013	301.00	33,274.23	24,431.75	0.00	8,842.48	8,842.48	LT
	Nov 22 2010	Apr 18 2013	297.00	32,832.05	23,155.01	0.00	9,677.04	9,677.04	LT
	Dec 02 2010	Apr 18 2013	227.00	25,093.86	17,943.33	0.00	7,150.53	7,150.53	LT
	Mar 17 2011	Apr 18 2013	16.00	1,768.73	1,312.13	0.00	456.60	456.60	LT
	May 26 2011	Apr 18 2013	162.00	17,908.39	13,285.18	0.00	4,623.21	4,623.21	LT
	Dec 15 2011	Apr 18 2013	117.00	12,933.84	7,675.77	0.00	5,258.07	5,258.07	LT
	Apr 11 2012	Apr 18 2013	219.00	24,209.49	17,954.17	0.00	6,255.32	6,255.32	LT
TURQUOISE HILL RESOURCES LTD CMN	Jul 13 2012	Apr 18 2013	1,795.00	9,328.76	14,247.99	0.00	(4,919.23)	(4,919.23)	ST
	Aug 16 2012	Apr 18 2013	1,952.00	10,144.70	16,796.37	0.00	(6,651.67)	(6,651.67)	ST
	Mar 19 2013	Apr 18 2013	3,912.00	20,330.98	26,087.17	0.00	(5,756.19)	(5,756.19)	ST
VALE S.A. ADR	Jun 01 2012	Apr 18 2013	1,490.00	23,746.34	27,158.82	0.00	(3,412.48)	(3,412.48)	ST
	Sep 25 2012	Apr 18 2013	3,444.00	54,887.52	62,817.52	0.00	(7,930.00)	(7,930.00)	ST
	Sep 28 2012	Apr 18 2013	2,600.00	41,436.57	46,586.80	0.00	(5,150.23)	(5,150.23)	ST
	Nov 02 2012	Apr 18 2013	379.00	6,040.18	7,083.93	0.00	(1,043.75)	(1,043.75)	ST
YAMANA GOLD INC CMN	Sep 25 2012	Apr 18 2013	3,350.00	38,530.49	62,827.91	0.00	(24,297.42)	(24,297.42)	ST
CRESUD S.A. SPONS ADR SPONSORED ADR	May 04 2012	Apr 19 2013	670.00	5,796.26	6,250.70	0.00	(454.44)	(454.44)	ST
CMN	Sep 18 2012	Apr 19 2013	611.00	29,961.99	23,559.67	0.00	6,402.32	6,402.32	ST
MAKITA CORP SPONS (ADR) ADR CMN	Oct 10 2012	Apr 19 2013	374.00	18,340.07	14,503.30	0.00	3,836.77	3,836.77	ST

PFF GSAM:TAX ADV LH (S&P ADR)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Nov 21 2012	Apr 19 2013	176 00	8,630.62	7,075.34	0.00	1,555.28	1,555.28	ST
REED ELSEVIER N V SPONSORED ADR CMN	Sep 25 2012	Apr 19 2013	1,589 00	52,450.96	43,557.19	0.00	8,893.77	8,893.77	ST
MAKITA CORP SPONS (ADR) ADR CMN	Sep 18 2012	Apr 22 2013	200 00	9,665.98	7,711.84	0.00	1,954.14	1,954.14	ST
	Sale Proceeds								
				1,320,642.43	1,114,339.70	0.00	206,302.73	206,302.73	
SHORT TERM GAINS									
				1,869,371.45	2,249,847.23	(100.72)	(380,375.06)	(380,475.78)	
SHORT TERM LOSSES									
NET SHORT TERM GAINS (LOSSES)				3,190,013.88	3,364,186.93	(100.72)	(174,072.33)	(174,173.05)	
				603,732.03	433,078.17	0.00	170,653.86	170,653.86	
LONG TERM GAINS									
				749,805.27	1,115,943.71	0.00	(366,138.44)	(366,138.44)	
LONG TERM LOSSES									
NET LONG TERM GAINS (LOSSES)				1,353,537.30	1,549,021.88	0.00	(195,484.58)	(195,484.58)	



PFF GSAM: TAX ADV LH (S&P500)

Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
3M COMPANY CMN	Dec 13 2012	Apr 17 2013	735.00	77,532.02	67,774.28	0.00	9,757.74	9,757.74	ST
ABBOTT LABORATORIES CMN	Jan 23 2013	Apr 17 2013	1,455.00	54,179.49	47,713.38	0.00	6,466.11	6,466.11	ST
ACCENTURE PLC CMN	May 10 2011	Apr 17 2013	1,175.00	90,316.00	66,622.50	0.00	23,693.50	23,693.50	LT
	Sep 27 2012	Apr 17 2013	3,767.00	289,549.24	246,453.34	0.00	43,095.90	43,095.90	ST
	Jan 02 2013	Apr 17 2013	683.00	52,498.58	46,653.89	0.00	5,844.69	5,844.69	ST
ACE LIMITED CMN	Sep 25 2012	Apr 17 2013	2,006.00	178,569.92	151,549.69	0.00	27,020.23	27,020.23	ST
	Jan 23 2013	Apr 17 2013	85.00	7,566.52	7,190.26	0.00	376.26	376.26	ST
ADOBE SYSTEMS INC CMN	Sep 28 2012	Apr 17 2013	6,142.00	275,772.69	199,941.75	0.00	75,830.94	75,830.94	ST
ADVANCE AUTO PARTS, INC CMN	Jan 02 2013	Apr 17 2013	188.00	14,952.92	13,717.80	0.00	1,235.12	1,235.12	ST
	Jan 07 2013	Apr 17 2013	136.00	10,817.00	9,990.49	0.00	826.51	826.51	ST
	Jan 08 2013	Apr 17 2013	63.00	5,010.82	4,613.24	0.00	397.58	397.58	ST
	Jan 15 2013	Apr 17 2013	203.00	16,145.97	14,872.45	0.00	1,273.52	1,273.52	ST
AETNA INC CMN	Jul 09 2012	Apr 17 2013	139.00	7,668.15	5,274.45	0.00	2,393.70	2,393.70	ST
	Jul 31 2012	Apr 17 2013	1,385.00	76,405.68	50,439.48	0.00	25,966.20	25,966.20	ST
AFLAC INCORPORATED CMN	Sep 27 2012	Apr 17 2013	2,660.00	130,649.35	125,226.15	0.00	5,423.20	5,423.20	ST
AGCO CORP CMN	May 22 2012	Apr 17 2013	597.00	28,699.46	24,038.32	0.00	4,661.14	4,661.14	ST
ALCOA INC CMN	Sep 26 2012	Apr 17 2013	764.00	5,086.96	6,808.39	0.00	(721.43)	(721.43)	ST
	Oct 15 2012	Apr 17 2013	2,346.00	18,691.10	20,691.25	0.00	(2,000.15)	(2,000.15)	ST
	Nov 20 2012	Apr 17 2013	1,703.00	13,568.18	14,000.36	0.00	(432.18)	(432.18)	ST
ALLERGAN INC CMN	Sep 25 2012	Apr 17 2013	1,641.00	185,471.01	149,818.38	0.00	35,652.63	35,652.63	ST
	Sep 28 2012	Apr 17 2013	760.00	85,897.61	69,614.23	0.00	16,283.38	16,283.38	ST
	Nov 05 2012	Apr 17 2013	252.00	28,481.84	22,944.75	0.00	5,537.09	5,537.09	ST
	Nov 06 2012	Apr 17 2013	50.00	5,651.16	4,582.30	0.00	1,068.86	1,068.86	ST
	Nov 09 2012	Apr 17 2013	96.00	10,850.22	8,659.84	0.00	2,190.38	2,190.38	ST
ALLSCRIPTS HEALTHCARE SOL INC CMN	Dec 07 2012	Apr 17 2013	941.00	12,155.19	10,737.75	0.00	1,417.44	1,417.44	ST
	Dec 10 2012	Apr 17 2013	1,464.00	18,910.94	16,726.05	0.00	2,184.89	2,184.89	ST

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
ALTRIA GROUP, INC CMN	Dec 18 2012	Apr 17 2013	844 00	10,902 21	9,092 16	0 00	1,810 05	1,810 05	ST
	Dec 20 2012	Apr 17 2013	1,298 00	16,766 67	11,983 40	0 00	4,783 27	4,783 27	ST
	Sep 27 2012	Apr 17 2013	3,579 00	125,757 87	121,829 16	0 00	3,928 71	3,928 71	ST
AMAZON.COM INC CMN	Nov 09 2010	Apr 17 2013	315 00	84,102 14	53,770 14	0 00	30,332 00	30,332 00	LT
	Mar 15 2011	Apr 17 2013	65 00	17,354 41	10,813 40	0 00	6,541 01	6,541 01	LT
	May 31 2011	Apr 17 2013	84 00	22,427 24	16,487 73	0 00	5,939 51	5,939 51	LT
	Sep 25 2012	Apr 17 2013	559 00	149,247 92	141,169 58	0 00	8,078 34	8,078 34	ST
	Sep 27 2012	Apr 17 2013	304 00	81,165 24	78,087 39	0 00	3,077 85	3,077 85	ST
	Dec 03 2012	Apr 17 2013	39 00	10,412 65	9,846 70	0 00	565 95	565 95	ST
AMER SCIENCE & ENG INC CMN	Jan 31 2011	Apr 17 2013	71 00	4,249 42	6,167 03	0 00	(1,917 61)	(1,917 61)	LT
	Feb 07 2011	Apr 17 2013	59 00	3,531 21	5,289 84	0 00	(1,758 63)	(1,758 63)	LT
	Feb 08 2011	Apr 17 2013	51 00	3,052 40	4,606 22	0 00	(1,553 82)	(1,553 82)	LT
	Feb 09 2011	Apr 17 2013	66 00	3,950 17	6,016 45	0 00	(2,066 28)	(2,066 28)	LT
	Feb 10 2011	Apr 17 2013	77 00	4,608 53	7,039 26	0 00	(2,430 73)	(2,430 73)	LT
	Feb 11 2011	Apr 17 2013	114 00	6,823 02	10,308 64	0 00	(3,485 62)	(3,485 62)	LT
	Feb 14 2011	Apr 17 2013	16 00	957 62	1,472 99	0 00	(515 37)	(515 37)	LT
	Feb 15 2011	Apr 17 2013	62 00	3,710 76	5,764 49	0 00	(2,053 73)	(2,053 73)	LT
	Feb 16 2011	Apr 17 2013	46 00	2,753 15	4,287 46	0 00	(1,534 31)	(1,534 31)	LT
	Feb 17 2011	Apr 17 2013	45 00	2,693 30	4,194 00	0 00	(1,500 70)	(1,500 70)	LT
	Feb 18 2011	Apr 17 2013	48 00	2,872 85	4,481 40	0 00	(1,608 55)	(1,608 55)	LT
AMERICAN CAPITAL AGENCY CORP CMN	Sep 27 2012	Apr 17 2013	3,446 00	109,930 79	119,204 72	0 00	(9,273 93)	(9,273 93)	ST
AMERICAN STATES WATER CO CMN	Oct 20 2010	Apr 17 2013	308 00	16,862 49	11,655 68	0 00	5,206 81	5,206 81	LT
	Aug 16 2011	Apr 17 2013	46 00	2,518 42	1,575 96	0 00	942 46	942 46	LT
	Apr 20 2012	Apr 17 2013	194 00	10,621 18	6,899 38	0 00	3,721 80	3,721 80	ST
	Apr 23 2012	Apr 17 2013	72 00	3,941 88	2,539 84	0 00	1,402 04	1,402 04	ST
AMERICAN TOWER CORPORATION CMN	Sep 25 2012	Apr 17 2013	2,807 00	224,009 02	198,155 39	0 00	25,853 63	25,853 63	ST
	Sep 27 2012	Apr 17 2013	806 00	64,321 79	57,435 40	0 00	6,886 39	6,886 39	ST
AMGEN INC CMN	Sep 28 2012	Apr 17 2013	2,376 00	259,826 88	199,449 28	0 00	60,377 60	60,377 60	ST
AON PLC CMN	Jun 30 2010	Apr 17 2013	1,943 00	118,250 47	95,299 29	0 00	22,951 18	22,951 18	LT
APACHE CORP. CMN	Jun 30 2010	Apr 17 2013	1,666 00	118,762 81	141,160 18	0 00	(22,397 37)	(22,397 37)	LT
	Sep 27 2012	Apr 17 2013	1,319 00	94,026 50	113,427 80	0 00	(19,401 30)	(19,401 30)	ST
APARTMENT INVT & MGMT CO CL-A CMN	Sep 27 2012	Apr 17 2013	1,493 00	46,231 35	38,861 45	0 00	7,369 90	7,369 90	ST
CLASS A	Oct 19 2012	Apr 17 2013	572 00	17,712 21	15,663 46	0 00	2,048 75	2,048 75	ST
	Oct 22 2012	Apr 17 2013	1,145 00	35,455 39	31,251 82	0 00	4,203 57	4,203 57	ST

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
APOGEE ENTERPRISES INC CMN	Apr 13 2010	Apr 17 2013	469.00	11,289.14	6,554.98	0.00	4,734.16	4,734.16	LT
	Jun 30 2010	Apr 17 2013	1,166.00	28,066.39	12,818.15	0.00	15,248.24	15,248.24	LT
	Aug 16 2011	Apr 17 2013	188.00	4,525.28	1,740.67	0.00	2,784.61	2,784.61	LT
APOLLO EDUCATION GROUP, INC CMN CLASS A									
APPLE, INC CMN	Sep 27 2012	Apr 17 2013	1,587.00	27,662.06	45,873.19	0.00	(18,211.13)	(18,211.13)	ST
	Oct 31 2012	Apr 17 2013	2,359.00	41,118.33	47,194.39	0.00	(6,076.06)	(6,076.06)	ST
	Mar 15 2010	Apr 17 2013	95.00	38,182.41	21,215.20	0.00	16,967.21	16,967.21	LT
	Nov 09 2010	Apr 17 2013	45.00	18,066.41	14,325.30	0.00	3,761.11	3,761.11	LT
	Mar 15 2011	Apr 17 2013	45.00	18,066.40	15,615.90	0.00	2,470.50	2,470.50	LT
	Sep 25 2012	Apr 17 2013	617.00	247,984.70	418,193.47	0.00	(170,208.77)	(170,208.77)	ST
	Sep 27 2012	Apr 17 2013	184.00	73,953.30	122,159.44	0.00	(48,206.14)	(48,206.14)	ST
	Sep 27 2012	Apr 17 2013	384.00	154,337.31	260,539.62	0.00	(106,202.31)	(106,202.31)	ST
	Nov 15 2012	Apr 17 2013	4.00	1,607.68	2,270.29	0.00	(662.61)	(662.61)	ST
	Nov 15 2012	Apr 17 2013	4.00	1,607.68	2,654.76	0.00	(1,047.08)	(1,047.08)	ST
	Nov 15 2012	Apr 17 2013	5.00	2,009.60	2,782.96	0.00	(773.36)	(773.36)	ST
	Nov 15 2012	Apr 17 2013	8.00	3,215.36	4,460.99	0.00	(1,245.63)	(1,245.63)	ST
	Nov 15 2012	Apr 17 2013	20.00	8,038.40	13,889.00	0.00	(5,850.60)	(5,850.60)	ST
	Nov 15 2012	Apr 17 2013	23.00	9,244.16	15,637.82	0.00	(6,393.66)	(6,393.66)	ST
	Nov 15 2012	Apr 17 2013	1.00	401.92	613.59	0.00	(211.67)	(211.67)	ST
ARCH COAL INC CMN	Nov 15 2012	Apr 17 2013	1.00	401.92	571.12	0.00	(169.20)	(169.20)	ST
	Nov 15 2012	Apr 17 2013	1.00	401.92	662.92	0.00	(261.00)	(261.00)	ST
	Apr 04 2012	Apr 17 2013	3,271.00	14,873.23	33,486.21	0.00	(18,612.98)	(18,612.98)	LT
AUTODESK INC CMN	May 07 2012	Apr 17 2013	5,846.00	26,581.75	47,390.60	0.00	(20,808.85)	(20,808.85)	ST
	Mar 19 2013	Apr 17 2013	1,656.00	7,529.83	9,024.21	0.00	(1,494.38)	(1,494.38)	ST
	Sep 28 2012	Apr 17 2013	6,029.00	221,572.24	200,938.13	0.00	20,634.11	20,634.11	ST
AUTOMATIC DATA PROCESSING INC CMN									
AXIS CAPITAL HOLDINGS, LTD CMN	Nov 07 2012	Apr 17 2013	2,165.00	141,289.06	121,560.64	0.00	19,728.42	19,728.42	ST
	Mar 15 2010	Apr 17 2013	633.00	26,343.48	20,255.87	0.00	6,087.61	6,087.61	LT
	Apr 05 2012	Apr 17 2013	543.00	22,597.96	18,274.61	0.00	4,323.35	4,323.35	LT
	Sep 25 2012	Apr 17 2013	1,176.00	48,941.44	41,228.21	0.00	7,713.23	7,713.23	ST
	Sep 25 2012	Apr 17 2013	570.00	23,721.62	19,983.06	0.00	3,738.56	3,738.56	ST
	Nov 28 2012	Apr 17 2013	312.00	12,984.46	11,173.53	0.00	1,810.93	1,810.93	ST

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
BANK OF HAWAII CORPORATION CMN	Mar 30 2010	Apr 17 2013	102.00	4,888.97	4,573.48	0.00	315.49	315.49	LT
	Jun 30 2010	Apr 17 2013	949.00	45,486.64	46,274.19	0.00	(787.55)	(787.55)	LT
	Apr 12 2011	Apr 17 2013	528.00	25,307.63	25,204.98	0.00	102.65	102.65	LT
	Aug 16 2011	Apr 17 2013	103.00	4,936.91	4,186.56	0.00	750.35	750.35	LT
	Oct 24 2012	Apr 17 2013	126.00	6,039.32	5,593.56	0.00	445.76	445.76	ST
	Sep 28 2012	Apr 17 2013	3,202.00	212,095.72	200,225.86	0.00	11,869.86	11,869.86	ST
BED BATH & BEYOND INC. CMN	Jul 27 2012	Apr 17 2013	616.00	14,416.66	13,337.72	0.00	1,078.94	1,078.94	ST
	Sep 06 2012	Apr 17 2013	1,670.00	39,084.13	40,297.27	0.00	(1,213.14)	(1,213.14)	ST
	Nov 02 2012	Apr 17 2013	233.00	5,453.06	3,438.75	0.00	2,014.31	2,014.31	ST
	Dec 04 2012	Apr 17 2013	737.00	17,248.51	9,200.93	0.00	8,047.58	8,047.58	ST
	Dec 27 2012	Apr 17 2013	734.00	17,178.30	8,426.03	0.00	8,752.27	8,752.27	ST
	Oct 05 2011	Apr 17 2013	24.00	4,901.24	2,352.00	0.00	2,549.24	2,549.24	LT
BIOGEN IDEC INC CMN	Oct 06 2011	Apr 17 2013	41.00	8,372.96	4,072.12	0.00	4,300.84	4,300.84	LT
	Jan 11 2012	Apr 17 2013	35.00	7,147.65	4,060.28	0.00	3,087.37	3,087.37	LT
	Jan 12 2012	Apr 17 2013	15.00	3,063.28	1,743.44	0.00	1,319.84	1,319.84	LT
	Sep 27 2012	Apr 17 2013	361.00	73,722.85	54,455.88	0.00	19,266.97	19,266.97	ST
	Dec 04 2012	Apr 17 2013	77.00	15,724.82	11,704.75	0.00	4,020.07	4,020.07	ST
	Jan 07 2013	Apr 17 2013	106.00	21,647.15	15,480.20	0.00	6,166.95	6,166.95	ST
BIOMED REALTY TRUST INC CMN	Dec 26 2012	Apr 17 2013	272.00	6,018.95	5,303.05	0.00	715.90	715.90	ST
	Dec 27 2012	Apr 17 2013	557.00	12,325.57	10,750.04	0.00	1,575.53	1,575.53	ST
	Dec 28 2012	Apr 17 2013	241.00	5,332.97	4,644.43	0.00	688.54	688.54	ST
	Aug 16 2011	Apr 17 2013	108.00	3,071.29	2,569.32	0.00	501.97	501.97	LT
BLACKBAUD INC CMN	May 23 2012	Apr 17 2013	7.00	1,760.24	1,137.84	0.00	622.40	622.40	ST
	Sep 27 2012	Apr 17 2013	213.00	53,561.46	38,319.55	0.00	15,241.91	15,241.91	ST
	May 31 2011	Apr 17 2013	160.00	11,465.26	11,488.48	0.00	(23.22)	(23.22)	LT
BLACKROCK, INC CMN	Sep 21 2011	Apr 17 2013	5.00	358.29	350.91	0.00	7.38	7.38	LT
	Sep 27 2012	Apr 17 2013	604.00	43,281.37	41,913.01	0.00	1,368.36	1,368.36	ST
	Jan 03 2011	Apr 17 2013	166.00	4,306.14	3,691.44	0.00	614.70	614.70	LT
	Mar 23 2011	Apr 17 2013	103.00	2,671.88	2,269.47	0.00	402.41	402.41	LT
BORGWARNER INC CMN	Mar 25 2011	Apr 17 2013	85.00	2,204.95	1,993.32	0.00	211.63	211.63	LT
	Mar 28 2011	Apr 17 2013	175.00	4,539.61	4,171.20	0.00	368.41	368.41	LT
	Mar 29 2011	Apr 17 2013	59.00	1,530.50	1,404.47	0.00	126.03	126.03	LT
	Mar 30 2011	Apr 17 2013	13.00	337.23	314.69	0.00	22.54	22.54	LT
	Apr 01 2011	Apr 17 2013	169.00	4,383.96	4,197.05	0.00	186.91	186.91	LT
BOTTOMLINE TECHNOLOGIES INC CMN									

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
Apr 05 2011	Apr 17 2013	65.00	1,686.14	1,615.74	0.00	70.40	70.40	LT
Aug 16 2011	Apr 17 2013	63.00	1,634.26	1,390.87	0.00	243.39	243.39	LT
Aug 24 2011	Apr 17 2013	417.00	10,817.24	9,418.11	0.00	1,399.13	1,399.13	LT
Aug 25 2011	Apr 17 2013	136.00	3,527.92	3,045.94	0.00	481.98	481.98	LT
Aug 23 2011	Apr 17 2013	2,010.00	82,027.26	57,425.70	0.00	24,601.56	24,601.56	LT
Nov 23 2010	Apr 17 2013	359.00	6,568.05	5,661.54	0.00	906.51	906.51	LT
Dec 17 2010	Apr 17 2013	865.00	15,825.51	15,063.20	0.00	762.31	762.31	LT
Dec 20 2010	Apr 17 2013	1,375.00	25,156.16	23,680.11	0.00	1,476.05	1,476.05	LT
Aug 12 2011	Apr 17 2013	1,150.00	21,039.70	16,534.70	0.00	4,505.00	4,505.00	LT
Jun 30 2010	Apr 17 2013	4,968.00	121,657.13	92,851.92	0.00	28,805.21	28,805.21	LT
Jan 26 2011	Apr 17 2013	392.00	9,599.36	9,148.10	0.00	451.26	451.26	LT
Mar 16 2011	Apr 17 2013	2,545.00	62,322.34	57,885.52	0.00	4,436.82	4,436.82	LT
Sep 27 2012	Apr 17 2013	784.00	50,320.30	35,408.50	0.00	14,911.80	14,911.80	ST
Apr 12 2011	Apr 17 2013	549.00	9,062.14	9,150.84	0.00	(88.70)	(88.70)	LT
Apr 14 2011	Apr 17 2013	404.00	6,668.68	6,634.97	0.00	33.71	33.71	LT
Jun 27 2011	Apr 17 2013	630.00	10,399.18	10,820.75	0.00	(421.57)	(421.57)	LT
Jun 28 2011	Apr 17 2013	238.00	3,928.58	4,060.07	0.00	(131.49)	(131.49)	LT
Aug 16 2011	Apr 17 2013	83.00	1,370.05	1,336.73	0.00	33.32	33.32	LT
Dec 23 2011	Apr 17 2013	142.00	2,343.94	2,148.87	0.00	195.07	195.07	LT
Dec 27 2011	Apr 17 2013	103.00	1,700.18	1,592.28	0.00	107.90	107.90	LT
Jan 03 2012	Apr 17 2013	213.00	3,515.91	3,340.46	0.00	175.45	175.45	LT
Jan 02 2013	Apr 17 2013	1,292.00	59,262.19	45,964.71	0.00	13,297.48	13,297.48	ST
Mar 30 2010	Apr 17 2013	301.00	8,954.19	3,829.62	0.00	5,124.57	5,124.57	LT
Jun 30 2010	Apr 17 2013	741.00	22,043.37	8,448.88	0.00	13,594.49	13,594.49	LT
Aug 16 2011	Apr 17 2013	114.00	3,391.29	1,602.08	0.00	1,789.21	1,789.21	LT
Sep 05 2012	Apr 17 2013	526.00	27,702.53	29,393.93	0.00	(1,691.40)	(1,691.40)	ST
Sep 06 2012	Apr 17 2013	130.00	6,846.63	7,365.38	0.00	(518.75)	(518.75)	ST
Sep 11 2012	Apr 17 2013	388.00	20,434.57	21,786.43	0.00	(1,351.86)	(1,351.86)	ST
Sep 24 2012	Apr 17 2013	16.00	842.66	919.45	0.00	(76.79)	(76.79)	ST
Jun 30 2010	Apr 17 2013	485.00	40,207.24	35,598.19	0.00	4,609.05	4,609.05	LT

PFF GSAM: TAX ADV LH (S&P500) Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CATERPILLAR INC (DELAWARE) CMN	Feb 28 2012	Apr 17 2013	385 00	31,917 09	36,590 13	0 00	(4,673 04)	(4,673 04)	LT
	Sep 27 2012	Apr 17 2013	832 00	67,648 23	72,674 78	0 00	(5,026 55)	(5,026 55)	ST
	Aug 02 2012	Apr 17 2013	1,259 00	7,677 96	6,584 07	0 00	1,093 89	1,093 89	ST
	Aug 09 2012	Apr 17 2013	2,212 00	13,489 80	12,210 24	0 00	1,279 56	1,279 56	ST
CBIZ, INC CMN	Sep 27 2012	Apr 17 2013	3,199 00	115,981 30	95,500 07	0 00	20,481 23	20,481 23	ST
	Apr 27 2012	Apr 17 2013	146 00	6,631 95	4,982 13	0 00	1,649 82	1,649 82	ST
	May 03 2012	Apr 17 2013	89 00	4,042 76	3,026 00	0 00	1,016 76	1,016 76	ST
	Jun 25 2012	Apr 17 2013	62 00	2,816 31	1,937 50	0 00	878 81	878 81	ST
CBIOE HOLDINGS, INC CMN	Sep 27 2012	Apr 17 2013	1,123 00	51,011 47	40,719 53	0 00	10,291 94	10,291 94	ST
	Sep 21 2011	Apr 17 2013	50 00	6,062 19	3,244 08	0 00	2,818 11	2,818 11	LT
	Sep 22 2011	Apr 17 2013	42 00	5,092 24	2,609 38	0 00	2,482 86	2,482 86	LT
	Sep 29 2011	Apr 17 2013	22 00	2,667 37	1,377 83	0 00	1,289 54	1,289 54	LT
CELGENE CORPORATION CMN	Oct 27 2011	Apr 17 2013	45 00	5,455 98	2,922 30	0 00	2,533 68	2,533 68	LT
	May 11 2012	Apr 17 2013	29 00	3,516 07	2,058 39	0 00	1,457 68	1,457 68	ST
	Jun 18 2012	Apr 17 2013	23 00	2,788 61	1,514 84	0 00	1,273 77	1,273 77	ST
	Sep 27 2012	Apr 17 2013	801 00	97,116 35	51,634 55	0 00	35,481 80	35,481 80	ST
CENTURYLINK INC CMN	Sep 28 2012	Apr 17 2013	2,613 00	316,810 26	199,782 14	0 00	117,028 12	117,028 12	ST
	Sep 15 2011	Apr 17 2013	1,735 00	63,754 79	59,909 55	0 00	3,845 24	3,845 24	LT
	Sep 25 2012	Apr 17 2013	742 00	68,504 13	55,716 11	0 00	12,788 02	12,788 02	ST
	Sep 27 2012	Apr 17 2013	649 00	59,918 04	48,309 03	0 00	11,609 01	11,609 01	ST
CF INDUSTRIES HOLDINGS, INC CMN	Sep 27 2012	Apr 17 2013	465 00	80,619 28	101,375 02	0 00	(20,755 74)	(20,755 74)	ST
	Sep 25 2012	Apr 17 2013	2,056 00	33,860 12	26,624 79	0 00	7,235 33	7,235 33	ST
	Mar 17 2011	Apr 17 2013	615 00	70,694 07	62,542 19	0 00	8,151 88	8,151 88	LT
	Sep 27 2012	Apr 17 2013	817 00	93,913 92	95,561 30	0 00	(1,647 38)	(1,647 38)	ST
CHARLES SCHWAB CORPORATION CMN	Sep 08 2011	Apr 17 2013	636 00	13,133 74	10,300 27	0 00	2,833 47	2,833 47	LT
	Sep 08 2011	Apr 17 2013	3,710 00	76,613 49	65,273 73	0 00	11,339 76	11,339 76	LT
	Feb 10 2012	Apr 17 2013	2,578 00	53,237 08	51,238 27	0 00	1,998 81	1,998 81	LT
	Mar 31 2011	Apr 17 2013	900 00	41,153 11	39,656 89	0 00	1,496 22	1,496 22	LT
CITIGROUP INC CMN	May 12 2011	Apr 17 2013	1,018 00	46,548 74	42,755 59	0 00	3,793 15	3,793 15	LT
	May 13 2011	Apr 17 2013	236 00	10,791 26	9,885 73	0 00	905 53	905 53	LT
	Jun 01 2011	Apr 17 2013	1,470 00	67,216 74	59,068 28	0 00	8,148 46	8,148 46	LT
	Jun 30 2010	Apr 17 2013	753 00	37,257 23	26,953 64	0 00	10,303 59	10,303 59	LT
CLARCOR INC CMN	Aug 16 2011	Apr 17 2013	189 00	9,351 42	8,118 12	0 00	1,233 30	1,233 30	LT
	Sep 27 2012	Apr 17 2013	902 00	15,624 81	35,351 37	0 00	(19,726 56)	(19,726 56)	ST



Statement Detail

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CLOROX CO (THE) (DELAWARE) CMN	Oct 01 2012	Apr 17 2013	975.00	86,272.99	70,372.73	0.00	15,900.26	15,900.26	ST
CME GROUP INC CMN CLASS A	Nov 09 2010	Apr 17 2013	915.00	53,816.80	53,701.35	0.00	115.45	115.45	LT
	Mar 15 2011	Apr 17 2013	75.00	4,411.21	4,281.63	0.00	129.58	129.58	LT
CNA FINCL CORP CMN	Sep 09 2011	Apr 17 2013	485.00	15,452.24	11,105.58	0.00	4,346.66	4,346.66	LT
	Jan 25 2012	Apr 17 2013	348.00	11,087.38	9,678.68	0.00	1,408.70	1,408.70	LT
	Jan 27 2012	Apr 17 2013	705.00	22,461.50	19,852.31	0.00	2,609.19	2,609.19	LT
	Dec 18 2012	Apr 17 2013	384.00	12,234.35	10,955.67	0.00	1,278.68	1,278.68	ST
	Mar 19 2013	Apr 17 2013	369.00	11,756.44	11,797.59	0.00	(41.15)	(41.15)	ST
COACH INC CMN	Sep 27 2012	Apr 17 2013	1,928.00	97,997.85	103,441.63	0.00	(5,443.78)	(5,443.78)	ST
	Sep 28 2012	Apr 17 2013	3,645.00	185,270.83	200,766.60	0.00	(15,495.77)	(15,495.77)	ST
COGNIZANT TECHNOLOGY SOLUTIONS CORP CLASS A	Nov 09 2010	Apr 17 2013	850.00	60,485.95	54,013.18	0.00	6,472.77	6,472.77	LT
	Mar 15 2011	Apr 17 2013	70.00	4,979.55	5,163.90	0.00	(184.35)	(184.35)	LT
	Sep 25 2012	Apr 17 2013	1,665.00	118,442.12	113,402.82	0.00	5,039.30	5,039.30	ST
COHEN & STEERS, INC CMN	May 09 2012	Apr 17 2013	89.00	3,322.89	3,003.91	0.00	318.98	318.98	ST
	May 10 2012	Apr 17 2013	81.00	3,024.20	2,742.08	0.00	282.12	282.12	ST
	May 11 2012	Apr 17 2013	136.00	5,077.68	4,616.14	0.00	461.54	461.54	ST
	May 14 2012	Apr 17 2013	1.00	37.34	33.23	0.00	4.11	4.11	ST
	May 18 2012	Apr 17 2013	93.00	3,472.23	2,914.32	0.00	557.91	557.91	ST
	Oct 17 2012	Apr 17 2013	46.00	1,717.45	1,446.02	0.00	271.43	271.43	ST
	Oct 17 2012	Apr 17 2013	37.00	1,381.43	1,181.40	0.00	200.03	200.03	ST
	Oct 17 2012	Apr 17 2013	49.00	1,829.46	1,607.32	0.00	222.14	222.14	ST
	Oct 17 2012	Apr 17 2013	74.00	2,762.85	2,301.73	0.00	461.12	461.12	ST
	Oct 17 2012	Apr 17 2013	164.00	6,123.08	5,213.19	0.00	909.89	909.89	ST
COHERENT INC CMN	Mar 30 2010	Apr 17 2013	157.00	8,039.79	5,149.82	0.00	2,889.97	2,889.97	LT
	Jun 30 2010	Apr 17 2013	375.00	19,203.31	13,273.88	0.00	5,929.43	5,929.43	LT
	Aug 16 2011	Apr 17 2013	27.00	1,382.64	1,229.02	0.00	153.62	153.62	LT
COLGATE-PALMOLIVE CO CMN	Sep 27 2012	Apr 17 2013	25.00	2,947.64	2,662.22	0.00	285.42	285.42	ST
COLUMBIA SPORTSWEAR COMPANY COMMON STOCK	Nov 19 2012	Apr 17 2013	96.00	5,586.98	5,310.38	0.00	276.60	276.60	ST
	Nov 20 2012	Apr 17 2013	39.00	2,269.71	2,171.38	0.00	98.33	98.33	ST
	Nov 26 2012	Apr 17 2013	37.00	2,153.31	2,094.67	0.00	58.64	58.64	ST

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Statement Detail
PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
COMCAST CORPORATION CMN CLASS A VOTING	Nov 27 2012	Apr 17 2013	48.00	2,793.49	2,724.92	0.00	68.57	68.57	ST
	Nov 28 2012	Apr 17 2013	78.00	4,539.42	4,520.47	0.00	18.95	18.95	ST
	Nov 29 2012	Apr 17 2013	31.00	1,804.13	1,795.78	0.00	8.35	8.35	ST
	Nov 30 2012	Apr 17 2013	90.00	5,237.79	5,205.19	0.00	32.60	32.60	ST
	Dec 03 2012	Apr 17 2013	105.00	6,110.76	6,088.19	0.00	22.57	22.57	ST
	Dec 11 2012	Apr 17 2013	106.00	6,168.95	5,838.23	0.00	330.72	330.72	ST
	Dec 12 2012	Apr 17 2013	94.00	5,470.58	5,261.70	0.00	208.88	208.88	ST
COMMERCIAL METALS CO CMN	Sep 01 2011	Apr 17 2013	2,760.00	112,222.39	60,210.89	0.00	52,011.50	52,011.50	LT
	Jun 30 2010	Apr 17 2013	1,083.00	14,840.23	14,857.68	0.00	(17.45)	(17.45)	LT
	Jan 04 2011	Apr 17 2013	972.00	13,319.21	16,306.17	0.00	(2,986.96)	(2,986.96)	LT
	Aug 16 2011	Apr 17 2013	282.00	3,864.22	3,329.38	0.00	534.84	534.84	LT
COMNAVULT SYSTEMS INC CMN	Sep 25 2012	Apr 17 2013	662.00	9,071.31	8,775.87	0.00	295.44	295.44	ST
	Oct 22 2010	Apr 17 2013	36.00	2,539.57	1,000.22	0.00	1,539.35	1,539.35	LT
	Oct 26 2010	Apr 17 2013	487.00	34,354.69	14,457.76	0.00	19,896.93	19,896.93	LT
	Aug 24 2011	Apr 17 2013	222.00	15,660.66	7,259.60	0.00	8,401.06	8,401.06	LT
COMPUTER PROGRAMS & SYSTEMS CMN	Jun 30 2010	Apr 17 2013	30.00	1,608.31	1,206.00	0.00	402.31	402.31	LT
	Aug 16 2011	Apr 17 2013	42.00	2,251.63	2,763.60	0.00	(511.97)	(511.97)	LT
	Apr 04 2012	Apr 17 2013	260.00	13,938.65	14,330.65	0.00	(392.00)	(392.00)	LT
	Apr 05 2012	Apr 17 2013	106.00	5,682.68	5,892.84	0.00	(210.16)	(210.16)	LT
	Apr 09 2012	Apr 17 2013	112.00	6,004.34	6,263.10	0.00	(258.76)	(258.76)	LT
	Apr 10 2012	Apr 17 2013	156.00	8,363.19	8,716.48	0.00	(353.29)	(353.29)	LT
	Sep 27 2012	Apr 17 2013	1,596.00	90,739.34	91,389.99	0.00	(650.65)	(650.65)	ST
CONSOL ENERGY INC CMN	Apr 10 2012	Apr 17 2013	414.00	12,933.98	13,853.43	0.00	(919.45)	(919.45)	LT
	Aug 01 2012	Apr 17 2013	1,168.00	36,490.07	33,649.15	0.00	2,840.92	2,840.92	ST
	Sep 27 2012	Apr 17 2013	576.00	66,513.90	46,646.90	0.00	19,867.00	19,867.00	ST
CORE LABORATORIES N.V. CMN CORESITE REALTY CORP CMN	Sep 28 2012	Apr 17 2013	100.00	12,489.57	12,174.30	0.00	315.27	315.27	ST
	Sep 25 2012	Apr 17 2013	384.00	13,744.05	10,152.96	0.00	3,591.09	3,591.09	ST
	Sep 26 2012	Apr 17 2013	200.00	7,158.36	5,311.72	0.00	1,846.64	1,846.64	ST
	Sep 27 2012	Apr 17 2013	46.00	1,646.42	1,222.94	0.00	423.48	423.48	ST
	Sep 28 2012	Apr 17 2013	29.00	1,037.96	785.89	0.00	252.07	252.07	ST
	Oct 01 2012	Apr 17 2013	114.00	4,080.27	3,020.67	0.00	1,059.60	1,059.60	ST
	Oct 03 2012	Apr 17 2013	58.00	2,075.92	1,548.28	0.00	527.64	527.64	ST
COPAC HOLDINGS, S.A. CMN CLASS A	Oct 04 2012	Apr 17 2013	172.00	6,156.19	4,635.90	0.00	1,520.29	1,520.29	ST

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CORPORATE OFFICE PROPERTIES TRUST (MD)	Oct 05 2012	Apr 17 2013	125.00	4,473.98	3,406.41	0.00	1,067.57	1,067.57	ST
	Oct 08 2012	Apr 17 2013	34.00	1,216.92	926.15	0.00	290.77	290.77	ST
	Dec 18 2012	Apr 17 2013	258.00	9,234.28	6,839.55	0.00	2,394.73	2,394.73	ST
	Dec 19 2012	Apr 17 2013	95.00	3,400.22	2,564.47	0.00	835.75	835.75	ST
	Dec 20 2012	Apr 17 2013	55.00	1,968.55	1,496.95	0.00	471.60	471.60	ST
	Jun 30 2010	Apr 17 2013	156.00	4,369.21	5,856.24	0.00	(1,487.03)	(1,487.03)	LT
COSTCO WHOLESALE CORPORATION CMN	Apr 12 2011	Apr 17 2013	594.00	16,636.62	20,014.51	0.00	(3,377.89)	(3,377.89)	LT
	Aug 16 2011	Apr 17 2013	73.00	2,044.57	1,899.59	0.00	144.98	144.98	LT
	May 31 2011	Apr 17 2013	107.00	11,213.61	8,759.33	0.00	2,454.28	2,454.28	LT
	Sep 25 2012	Apr 17 2013	1,041.00	109,096.86	106,199.28	0.00	2,897.58	2,897.58	ST
	Sep 27 2012	Apr 17 2013	418.00	43,806.42	42,128.55	0.00	1,677.87	1,677.87	ST
	Sep 28 2012	Apr 17 2013	1,995.00	209,076.11	199,539.90	0.00	536.21	536.21	ST
COVIDIEN PUBLIC LIMITED COMPAN CMN	Nov 06 2012	Apr 17 2013	2.00	209.60	197.66	0.00	11.94	11.94	ST
	Feb 11 2011	Apr 17 2013	340.00	22,475.84	17,131.62	0.00	5,344.22	5,344.22	LT
	Mar 15 2011	Apr 17 2013	155.00	10,246.34	7,886.28	0.00	2,360.06	2,360.06	LT
	May 11 2012	Apr 17 2013	51.00	3,371.38	2,825.58	0.00	545.80	545.80	ST
	May 15 2012	Apr 17 2013	35.00	2,313.69	1,910.55	0.00	403.14	403.14	ST
	May 16 2012	Apr 17 2013	51.00	3,371.38	2,779.41	0.00	591.97	591.97	ST
CROWN CASTLE INTL CORP COMMON STOCK	May 30 2012	Apr 17 2013	41.00	2,710.32	2,138.90	0.00	571.42	571.42	ST
	Jul 26 2012	Apr 17 2013	43.00	2,842.53	2,300.50	0.00	542.03	542.03	ST
	Sep 27 2012	Apr 17 2013	848.00	56,057.40	50,818.18	0.00	5,239.22	5,239.22	ST
	Dec 15 2011	Apr 17 2013	1,370.00	99,927.21	59,190.50	0.00	40,736.71	40,736.71	LT
	Sep 28 2012	Apr 17 2013	3,085.00	225,018.56	199,771.33	0.00	25,247.23	25,247.23	ST
	Mar 08 2012	Apr 17 2013	3,270.00	76,658.19	67,423.63	0.00	9,234.56	9,234.56	LT
CUMMINS INC COMMON STOCK	Sep 27 2012	Apr 17 2013	837.00	90,388.53	77,468.54	0.00	12,919.99	12,919.99	ST
CVS CAREMARK CORPORATION CMN	Feb 04 2011	Apr 17 2013	1,633.00	93,415.95	53,272.38	0.00	40,143.57	40,143.57	LT
	Mar 05 2012	Apr 17 2013	5.00	286.03	226.38	0.00	59.65	59.65	LT
	Mar 09 2012	Apr 17 2013	21.00	1,201.31	953.16	0.00	248.15	248.15	LT
	Mar 16 2012	Apr 17 2013	30.00	1,716.15	1,357.15	0.00	359.00	359.00	LT
	May 08 2012	Apr 17 2013	55.00	3,148.28	2,465.00	0.00	683.28	683.28	ST

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
DANAHER CORPORATION CMN	Sep 27 2012	Apr 17 2013	1,207.00	69,046.57	58,434.97	0.00	10,611.60	10,611.60	ST
	May 31 2011	Apr 17 2013	387.00	23,153.03	20,904.97	0.00	2,248.06	2,248.06	LT
	Aug 31 2011	Apr 17 2013	18.00	1,076.89	1,026.65	0.00	50.24	50.24	LT
	Sep 15 2011	Apr 17 2013	22.00	1,316.19	1,257.69	0.00	58.50	58.50	LT
	Sep 20 2011	Apr 17 2013	40.00	2,393.08	2,301.43	0.00	91.65	91.65	LT
	Sep 27 2012	Apr 17 2013	93.00	5,563.91	5,221.68	0.00	342.23	342.23	ST
	Sep 27 2012	Apr 17 2013	2,014.00	120,491.50	112,196.32	0.00	8,295.18	8,295.18	ST
DARLING INTERNATIONAL INC CMN	Feb 11 2011	Apr 17 2013	916.00	15,295.85	13,570.64	0.00	1,725.21	1,725.21	LT
	Feb 14 2011	Apr 17 2013	471.00	7,865.01	7,090.29	0.00	774.72	774.72	LT
	Oct 24 2012	Apr 17 2013	170.00	2,838.75	2,815.06	0.00	23.69	23.69	ST
	Oct 25 2012	Apr 17 2013	238.00	3,974.25	3,956.70	0.00	17.55	17.55	ST
	Jan 16 2013	Apr 17 2013	1,070.00	16,547.50	14,635.89	0.00	1,911.61	1,911.61	ST
	Jan 23 2013	Apr 17 2013	706.00	10,918.26	9,776.76	0.00	1,141.50	1,141.50	ST
	May 11 2012	Apr 17 2013	2,416.00	10,640.79	21,796.67	0.00	(11,155.88)	(11,155.88)	ST
DENDREON CORP CMN	Jul 18 2012	Apr 17 2013	3,039.00	13,384.67	20,252.50	0.00	(6,867.83)	(6,867.83)	ST
	Jun 30 2010	Apr 17 2013	1,899.00	26,653.38	23,339.90	0.00	3,313.48	3,313.48	LT
	Aug 16 2011	Apr 17 2013	155.00	2,175.50	1,785.49	0.00	390.01	390.01	LT
	Sep 27 2012	Apr 17 2013	2,585.00	109,682.19	99,586.09	0.00	10,096.10	10,096.10	ST
	Apr 02 2012	Apr 17 2013	1,465.00	75,656.04	68,080.26	0.00	7,575.78	7,575.78	LT
	Jun 04 2012	Apr 17 2013	55.00	2,840.33	2,647.38	0.00	192.95	192.95	ST
	Jun 06 2012	Apr 17 2013	132.00	6,816.79	6,367.48	0.00	449.31	449.31	ST
DIME COMMUNITY BANCSHARES CMN	Jul 31 2012	Apr 17 2013	35.00	1,807.48	1,785.00	0.00	22.48	22.48	ST
	Sep 27 2012	Apr 17 2013	863.00	44,567.34	45,124.03	0.00	(556.69)	(556.69)	ST
	Oct 31 2012	Apr 17 2013	163.00	8,417.70	7,868.37	0.00	549.33	549.33	ST
	Jul 24 2012	Apr 17 2013	369.00	27,371.18	27,496.14	0.00	(124.96)	(124.96)	ST
	Jun 22 2012	Apr 17 2013	202.00	7,006.09	4,662.93	0.00	2,343.16	2,343.16	ST
	Jun 25 2012	Apr 17 2013	140.00	4,855.71	3,218.98	0.00	1,636.73	1,636.73	ST
	Jun 26 2012	Apr 17 2013	168.00	5,826.85	3,933.60	0.00	1,893.25	1,893.25	ST
DISCOVER FINANCIAL SERVICES CMN	Jun 27 2012	Apr 17 2013	370.00	12,832.94	8,697.55	0.00	4,135.39	4,135.39	ST
	Jun 28 2012	Apr 17 2013	70.00	2,427.85	1,640.18	0.00	787.67	787.67	ST
	Sep 19 2012	Apr 17 2013	21.00	728.36	623.70	0.00	104.66	104.66	ST
	Sep 20 2012	Apr 17 2013	82.00	2,844.06	2,458.68	0.00	385.38	385.38	ST
	Sep 21 2012	Apr 17 2013	1.00	34.68	30.34	0.00	4.34	4.34	ST
	Sep 24 2012	Apr 17 2013	1.00	34.68	30.60	0.00	4.08	4.08	ST
						0.00			ST
DOLLAR GENERAL CORPORATION CMN	Sep 27 2012	Apr 17 2013	1,207.00	69,046.57	58,434.97	0.00	10,611.60	10,611.60	ST
	May 31 2011	Apr 17 2013	387.00	23,153.03	20,904.97	0.00	2,248.06	2,248.06	LT
	Aug 31 2011	Apr 17 2013	18.00	1,076.89	1,026.65	0.00	50.24	50.24	LT
	Sep 15 2011	Apr 17 2013	22.00	1,316.19	1,257.69	0.00	58.50	58.50	LT
	Sep 20 2011	Apr 17 2013	40.00	2,393.08	2,301.43	0.00	91.65	91.65	LT
	Sep 27 2012	Apr 17 2013	93.00	5,563.91	5,221.68	0.00	342.23	342.23	ST
	Sep 27 2012	Apr 17 2013	2,014.00	120,491.50	112,196.32	0.00	8,295.18	8,295.18	ST
DOMSTAR CORPORATION CMN CLASS	Feb 11 2011	Apr 17 2013	916.00	15,295.85	13,570.64	0.00	1,725.21	1,725.21	LT
	Feb 14 2011	Apr 17 2013	471.00	7,865.01	7,090.29	0.00	774.72	774.72	LT
	Oct 24 2012	Apr 17 2013	170.00	2,838.75	2,815.06	0.00	23.69	23.69	ST
	Oct 25 2012	Apr 17 2013	238.00	3,974.25	3,956.70	0.00	17.55	17.55	ST
	Jan 16 2013	Apr 17 2013	1,070.00	16,547.50	14,635.89	0.00	1,911.61	1,911.61	ST
	Jan 23 2013	Apr 17 2013	706.00	10,918.26	9,776.76	0.00	1,141.50	1,141.50	ST
	May 11 2012	Apr 17 2013	2,416.00	10,640.79	21,796.67	0.00	(11,155.88)	(11,155.88)	ST
DORMAN PRODUCTS INC CMN	Jul 18 2012	Apr 17 2013	3,039.00	13,384.67	20,252.50	0.00	(6,867.83)	(6,867.83)	ST
	Jun 30 2010	Apr 17 2013	1,899.00	26,653.38	23,339.90	0.00	3,313.48	3,313.48	LT
	Aug 16 2011	Apr 17 2013	155.00	2,175.50	1,785.49	0.00	390.01	390.01	LT
	Sep 27 2012	Apr 17 2013	2,585.00	109,682.19	99,586.09	0.00	10,096.10	10,096.10	ST
	Apr 02 2012	Apr 17 2013	1,465.00	75,656.04	68,080.26	0.00	7,575.78	7,575.78	LT
	Jun 04 2012	Apr 17 2013	55.00	2,840.33	2,647.38	0.00	192.95	192.95	ST
	Jun 06 2012	Apr 17 2013	132.00	6,816.79	6,367.48	0.00	449.31	449.31	ST
DOMTAR CORPORATION CMN CLASS	Jul 31 2012	Apr 17 2013	35.00	1,807.48	1,785.00	0.00	22.48	22.48	ST
	Sep 27 2012	Apr 17 2013	863.00	44,567.34	45,124.03	0.00	(556.69)	(556.69)	ST
	Oct 31 2012	Apr 17 2013	163.00	8,417.70	7,868.37	0.00	549.33	549.33	ST
	Jul 24 2012	Apr 17 2013	369.00	27,371.18	27,496.14	0.00	(124.96)	(124.96)	ST
	Jun 22 2012	Apr 17 2013	202.00	7,006.09	4,662.93	0.00	2,343.16	2,343.16	ST
	Jun 25 2012	Apr 17 2013	140.00	4,855.71	3,218.98	0.00	1,636.73	1,636.73	ST
	Jun 26 2012	Apr 17 2013	168.00	5,826.85	3,933.60	0.00	1,893.25	1,893.25	ST
DORMAN PRODUCTS INC CMN	Jun 27 2012	Apr 17 2013	370.00	12,832.94	8,697.55	0.00	4,135.39	4,135.39	ST
	Jun 28 2012	Apr 17 2013	70.00	2,427.85	1,640.18	0.00	787.67	787.67	ST
	Sep 19 2012	Apr 17 2013	21.00	728.36	623.70	0.00	104.66	104.66	ST
	Sep 20 2012	Apr 17 2013	82.00	2,844.06	2,458.68	0.00	385.38	385.38	ST
	Sep 21 2012	Apr 17 2013	1.00	34.68	30.34	0.00	4.34	4.34	ST
	Sep 24 2012	Apr 17 2013	1.00	34.68	30.60	0.00	4.08	4.08	ST
						0.00			ST



Statement Detail

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
DUPONT FABROS TECHNOLOGY INC CMN	Nov 17 2010	Apr 17 2013	468 00	11,856 42	10,488 11	0 00	1,368 31	1,368 31	LT
	Nov 18 2010	Apr 17 2013	4 00	101 34	91 85	0 00	9 49	9 49	LT
	Dec 21 2010	Apr 17 2013	537 00	13,604 48	11,133 46	0 00	2,471 02	2,471 02	LT
	Aug 16 2011	Apr 17 2013	160 00	4,063 48	3,611 20	0 00	442 28	442 28	LT
	Dec 27 2011	Apr 17 2013	422 00	10,691 04	10,277 98	0 00	413 06	413 06	LT
	Jan 03 2012	Apr 17 2013	484 00	12,261 77	11,862 11	0 00	399 66	399 66	LT
	Aug 21 2012	Apr 17 2013	359 00	9,094 99	9,784 98	0 00	(689 99)	(689 99)	ST
	Sep 19 2012	Apr 17 2013	262 00	6,637 57	6,942 32	0 00	(304 75)	(304 75)	ST
	Nov 09 2010	Apr 17 2013	582 00	14,011 80	10,918 96	0 00	3,092 84	3,092 84	LT
EAST WEST BANCORP INC CMN	Nov 30 2010	Apr 17 2013	1,442 00	34,716 52	24,911 27	0 00	9,805 25	9,805 25	LT
	Dec 21 2010	Apr 17 2013	678 00	16,323 03	12,949 05	0 00	3,373 98	3,373 98	LT
	Aug 16 2011	Apr 17 2013	200 00	4,815 05	3,261 26	0 00	1,553 79	1,553 79	LT
	Dec 03 2012	Apr 17 2013	348 00	19,956 69	17,975 94	0 00	1,980 75	1,980 75	ST
EATON CORP PLC CMN	Dec 20 2012	Apr 17 2013	1 00	57 35	36 06	0 00	21 29	21 29	ST
	Dec 20 2012	Apr 17 2013	199 00	11,412 02	10,886 02	0 00	526 00	526 00	ST
	Sep 27 2012	Apr 17 2013	2,901 00	111,011 24	83,783 49	0 00	27,227 75	27,227 75	ST
	Sep 27 2012	Apr 17 2013	480 00	40,143 42	50,759 57	0 00	(10,616 15)	(10,616 15)	ST
EATON VANCE CORP (NON-VTG) CMN	Jan 23 2012	Apr 17 2013	1,472 00	33,508 89	34,391 51	0 00	(882 62)	(882 62)	LT
	Aug 06 2012	Apr 17 2013	2,510 00	57,138 12	67,281 72	0 00	(10,143 60)	(10,143 60)	ST
	Sep 27 2012	Apr 17 2013	7 00	159 35	227 24	0 00	(67 89)	(67 89)	ST
	Sep 27 2012	Apr 17 2013	1,221 00	27,795 08	33,574 32	0 00	(5,779 24)	(5,779 24)	ST
	Sep 27 2012	Apr 17 2013	630 00	14,341 44	19,823 29	0 00	(5,481 85)	(5,481 85)	ST
	Sep 27 2012	Apr 17 2013	9,655 00	219,788 25	265,572 36	0 00	(45,784 11)	(45,784 11)	ST
	Sep 28 2012	Apr 17 2013	7,349 00	167,294 03	199,525 35	0 00	(32,231 32)	(32,231 32)	ST
	Jan 23 2013	Apr 17 2013	3,880 00	88,325 06	94,947 87	0 00	(6,622 81)	(6,622 81)	ST
	Sep 27 2012	Apr 17 2013	1,705 00	61,144 70	53,615 43	0 00	7,529 27	7,529 27	ST
ENDU HEALTH SOLUTIONS INC CMN	Jan 23 2013	Apr 17 2013	603 00	21,624 78	16,978 67	0 00	4,646 11	4,646 11	ST
	Jan 23 2013	Apr 17 2013	1,142 00	40,954 40	38,003 58	0 00	2,950 82	2,950 82	ST
	Aug 13 2012	Apr 17 2013	1,126 00	53,760 45	40,542 08	0 00	13,218 37	13,218 37	ST
	Sep 25 2012	Apr 17 2013	373 00	79,333 90	73,658 06	0 00	5,675 84	5,675 84	ST



Statement Detail
PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
ESCO TECHNOLOGIES INC CMN	Sep 28 2012	Apr 17 2013	43 00	9,145.73	8,895.51	0.00	250.22	250.22	ST
	Oct 09 2012	Apr 17 2013	29 00	6,168.05	5,713.34	0.00	454.71	454.71	ST
	Oct 12 2012	Apr 17 2013	53 00	11,272.65	10,035.55	0.00	1,237.10	1,237.10	ST
	Oct 16 2012	Apr 17 2013	39 00	8,294.97	7,499.56	0.00	795.41	795.41	ST
	Oct 26 2012	Apr 17 2013	63 00	13,399.56	11,338.94	0.00	2,060.62	2,060.62	ST
	Nov 05 2012	Apr 17 2013	17 00	3,615.75	3,102.53	0.00	513.22	513.22	ST
	Nov 06 2012	Apr 17 2013	12 00	2,552.30	2,196.17	0.00	356.13	356.13	ST
	Mar 05 2010	Apr 17 2013	122 00	4,585.00	4,321.20	0.00	263.80	263.80	LT
	Mar 30 2010	Apr 17 2013	259 00	9,733.73	8,380.51	0.00	1,353.22	1,353.22	LT
	Jun 30 2010	Apr 17 2013	357 00	13,416.76	9,447.83	0.00	3,968.93	3,968.93	LT
ESTEE LAUDER COS INC CL-A CMN CLASS A	Sep 19 2012	Apr 17 2013	35 00	1,315.37	1,339.90	0.00	(24.53)	(24.53)	ST
	Sep 20 2012	Apr 17 2013	36 00	1,352.95	1,379.88	0.00	(26.93)	(26.93)	ST
	Oct 02 2012	Apr 17 2013	1 00	37.58	38.63	0.00	(1.05)	(1.05)	ST
	Oct 03 2012	Apr 17 2013	3 00	112.75	115.97	0.00	(3.22)	(3.22)	ST
	May 31 2011	Apr 17 2013	129 00	8,608.17	6,547.41	0.00	2,060.76	2,060.76	LT
	Sep 27 2012	Apr 17 2013	483 00	32,230.59	29,853.02	0.00	2,377.57	2,377.57	ST
	Sep 28 2012	Apr 17 2013	3,280 00	218,874.42	199,748.06	0.00	19,126.36	19,126.36	ST
EXPEDITORS INTL WASH INC CMN	Dec 04 2012	Apr 17 2013	183 00	12,211.59	10,760.97	0.00	1,450.62	1,450.62	ST
	Sep 27 2012	Apr 17 2013	7,235 00	254,612.75	266,249.44	0.00	(11,636.69)	(11,636.69)	ST
	Nov 01 2011	Apr 17 2013	1,135 00	63,001.19	50,232.15	0.00	12,769.04	12,769.04	LT
	Sep 27 2012	Apr 17 2013	4,145 00	230,079.23	266,353.97	0.00	(36,274.74)	(36,274.74)	ST
EXPRESS SCRIPTS HOLDINGS CMN	Sep 27 2012	Apr 17 2013	1,273 00	70,661.24	81,659.77	0.00	(10,998.53)	(10,998.53)	ST
	Nov 07 2012	Apr 17 2013	645 00	35,802.44	35,372.32	0.00	430.12	430.12	ST
	Jan 23 2013	Apr 17 2013	1,335 00	74,102.72	72,218.96	0.00	1,883.76	1,883.76	ST
	Feb 26 2010	Apr 17 2013	745 00	64,156.99	48,617.99	0.00	15,539.00	15,539.00	LT
EXXON MOBIL CORPORATION CMN	Sep 13 2010	Apr 17 2013	20 00	1,722.34	1,215.36	0.00	506.98	506.98	LT
	Mar 15 2011	Apr 17 2013	65 00	5,597.59	5,309.20	0.00	288.39	288.39	LT
	Sep 27 2012	Apr 17 2013	1,301 00	112,037.91	119,077.28	0.00	(7,039.37)	(7,039.37)	ST
	Oct 25 2012	Apr 17 2013	1,001 00	26,617.80	22,761.84	0.00	3,855.96	3,855.96	ST
FACEBOOK, INC CMN CLASS A	Nov 15 2012	Apr 17 2013	1,012 00	26,910.30	22,263.90	0.00	4,646.40	4,646.40	ST
	Nov 15 2012	Apr 17 2013	606 00	16,114.27	13,332.00	0.00	2,782.27	2,782.27	ST
	Dec 17 2012	Apr 17 2013	322 00	8,562.37	8,550.58	0.00	11.79	11.79	ST
	Sep 25 2012	Apr 17 2013	601 00	28,821.26	26,049.92	0.00	2,771.34	2,771.34	ST
FASTENAL CO CMN	Nov 06 2012	Apr 17 2013	92 00	4,411.91	3,957.27	0.00	454.64	454.64	ST

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
FEDERATED INVESTORS, INC CMN CLASS B FINANCIAL ENGINES, INC CMN	Sep 27 2012	Apr 17 2013	6,112.00	138,527.81	130,259.56	0.00	8,268.25	8,268.25	ST
	May 17 2011	Apr 17 2013	97.00	3,304.68	2,556.11	0.00	748.57	748.57	LT
	Oct 04 2011	Apr 17 2013	364.00	12,401.06	8,073.41	0.00	4,327.65	4,327.65	LT
	Nov 09 2011	Apr 17 2013	289.00	9,845.89	6,233.07	0.00	3,612.82	3,612.82	LT
	Nov 10 2011	Apr 17 2013	139.00	4,735.57	3,011.31	0.00	1,724.26	1,724.26	LT
	Nov 11 2011	Apr 17 2013	45.00	1,533.10	1,008.33	0.00	524.77	524.77	LT
	Dec 13 2011	Apr 17 2013	285.00	9,709.62	6,206.36	0.00	3,503.26	3,503.26	LT
	Dec 14 2011	Apr 17 2013	476.00	16,216.76	10,004.90	0.00	6,211.86	6,211.86	LT
	Nov 14 2012	Apr 17 2013	183.00	8,539.78	6,452.49	0.00	2,087.29	2,087.29	ST
	Nov 15 2012	Apr 17 2013	104.00	4,853.21	3,661.79	0.00	1,191.42	1,191.42	ST
FIRST FINL BANKSHARES INC CMN	Nov 16 2012	Apr 17 2013	34.00	1,586.63	1,199.79	0.00	386.84	386.84	ST
	Nov 19 2012	Apr 17 2013	33.00	1,539.96	1,197.69	0.00	342.27	342.27	ST
	Nov 20 2012	Apr 17 2013	34.00	1,586.63	1,256.80	0.00	329.83	329.83	ST
	Nov 21 2012	Apr 17 2013	49.00	2,286.61	1,813.61	0.00	473.00	473.00	ST
	Nov 26 2012	Apr 17 2013	40.00	1,866.62	1,498.38	0.00	368.24	368.24	ST
	Nov 27 2012	Apr 17 2013	40.00	1,866.62	1,508.83	0.00	357.79	357.79	ST
	Nov 28 2012	Apr 17 2013	32.00	1,493.29	1,212.34	0.00	280.95	280.95	ST
	Dec 11 2012	Apr 17 2013	92.00	4,293.22	3,608.04	0.00	685.18	685.18	ST
	Dec 12 2012	Apr 17 2013	124.00	5,786.52	4,906.49	0.00	880.03	880.03	ST
	May 31 2011	Apr 17 2013	225.00	12,354.38	15,514.65	0.00	(3,160.27)	(3,160.27)	LT
FLUOR CORPORATION CMN	Sep 27 2012	Apr 17 2013	833.00	45,738.66	47,331.06	0.00	(1,592.40)	(1,592.40)	ST
	Sep 27 2012	Apr 17 2013	4.00	194.13	204.90	0.00	(10.77)	(10.77)	ST
	Sep 27 2012	Apr 17 2013	290.00	14,074.37	14,903.04	0.00	(828.67)	(828.67)	ST
	Sep 27 2012	Apr 17 2013	504.00	24,460.28	23,535.29	0.00	924.99	924.99	ST
	Jan 23 2013	Apr 17 2013	179.00	8,687.28	8,323.50	0.00	363.78	363.78	ST
FOREST LABORATORIES INC CMN	Apr 28 2010	Apr 17 2013	1,177.00	41,767.38	31,883.99	0.00	9,883.39	9,883.39	LT
	Mar 19 2013	Apr 17 2013	391.00	13,875.14	14,633.49	0.00	(758.35)	(758.35)	ST
FRANKLIN ELECTRIC INC CMN	Jul 16 2012	Apr 17 2013	8.00	248.39	216.62	0.00	29.77	29.77	ST
	Jul 17 2012	Apr 17 2013	246.00	7,576.46	6,990.11	0.00	586.35	586.35	ST
	Jul 18 2012	Apr 17 2013	154.00	4,742.99	4,456.13	0.00	286.86	286.86	ST

PFF GSAM: TAX ADV LH (S&P500)

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
Jul 19 2012	Apr 17 2013	242.00	7,453.26	6,956.81	0.00	496.45	496.45	ST
Jul 24 2012	Apr 17 2013	64.00	1,971.11	1,773.23	0.00	197.88	197.88	ST
Jul 31 2012	Apr 17 2013	64.00	1,971.11	1,822.56	0.00	148.55	148.55	ST
Jul 31 2012	Apr 17 2013	53.00	1,632.33	1,509.31	0.00	123.02	123.02	ST
Jul 31 2012	Apr 17 2013	53.00	1,632.33	1,509.30	0.00	123.03	123.03	ST
Sep 18 2012	Apr 17 2013	26.00	800.76	790.48	0.00	10.28	10.28	ST
Sep 19 2012	Apr 17 2013	44.00	1,355.14	1,360.79	0.00	(5.65)	(5.65)	ST
Sep 20 2012	Apr 17 2013	74.00	2,279.10	2,281.74	0.00	(2.64)	(2.64)	ST
Sep 24 2012	Apr 17 2013	2.00	61.60	61.53	0.00	0.07	0.07	ST
Oct 24 2012	Apr 17 2013	28.00	862.36	807.34	0.00	55.02	55.02	ST
Oct 25 2012	Apr 17 2013	120.00	3,695.83	3,467.83	0.00	228.00	228.00	ST
Oct 26 2012	Apr 17 2013	24.00	739.17	697.14	0.00	42.03	42.03	ST
Oct 31 2012	Apr 17 2013	148.00	4,558.19	4,290.18	0.00	268.01	268.01	ST
Nov 06 2012	Apr 17 2013	28.00	862.36	836.48	0.00	25.88	25.88	ST
Jan 15 2013	Apr 17 2013	44.00	1,355.14	1,427.34	0.00	(72.20)	(72.20)	ST
Jan 16 2013	Apr 17 2013	30.00	923.96	977.56	0.00	(53.60)	(53.60)	ST
Jan 17 2013	Apr 17 2013	50.00	1,539.93	1,631.41	0.00	(91.48)	(91.48)	ST
Jan 18 2013	Apr 17 2013	128.00	3,942.22	4,206.07	0.00	(263.85)	(263.85)	ST
Jan 22 2013	Apr 17 2013	32.00	985.56	1,041.67	0.00	(56.11)	(56.11)	ST
Jun 22 2010	Apr 17 2013	1,080.00	28,449.58	23,289.56	0.00	5,160.02	5,160.02	LT
FRESH DEL MONTE PRODUCE INC. ORDINARY SHARES								
Jun 30 2010	Apr 17 2013	659.00	53,084.88	25,184.01	0.00	27,900.87	27,900.87	LT
Mar 24 2011	Apr 17 2013	9.00	128.49	132.26	0.00	(3.77)	(3.77)	LT
Mar 25 2011	Apr 17 2013	234.00	3,340.67	3,471.53	0.00	(130.86)	(130.86)	LT
Mar 28 2011	Apr 17 2013	256.00	3,654.75	3,771.67	0.00	(116.92)	(116.92)	LT
Mar 29 2011	Apr 17 2013	199.00	2,841.00	2,852.17	0.00	(11.17)	(11.17)	LT
Mar 30 2011	Apr 17 2013	132.00	1,884.48	1,972.63	0.00	(88.15)	(88.15)	LT
May 03 2011	Apr 17 2013	208.00	2,969.49	3,583.13	0.00	(613.64)	(613.64)	LT
May 04 2011	Apr 17 2013	557.00	7,951.94	9,550.21	0.00	(1,598.27)	(1,598.27)	LT
May 05 2011	Apr 17 2013	159.00	2,269.94	2,746.33	0.00	(476.39)	(476.39)	LT
Aug 16 2011	Apr 17 2013	95.00	1,356.26	1,398.11	0.00	(41.85)	(41.85)	LT
Dec 21 2010	Apr 17 2013	98.00	8,229.64	4,646.67	0.00	3,582.97	3,582.97	LT
Dec 22 2010	Apr 17 2013	38.00	3,191.09	1,843.63	0.00	1,347.46	1,347.46	LT
Jun 27 2011	Apr 17 2013	152.00	12,764.34	7,317.11	0.00	5,447.23	5,447.23	LT
GEOSPACE TECHNOLOGIES CORP CMN								

PFF GSAM: TAX ADV LH (S&P500) Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
GILEAD SCIENCES CMN	Jun 28 2011	Apr 17 2013	66 00	5,542 41	3,273 00	0 00	2,269 41	2,269 41	LT
	Jul 07 2011	Apr 17 2013	110 00	9,237 35	5,771 88	0 00	3,465 47	3,465 47	LT
	Jul 08 2011	Apr 17 2013	120 00	10,077 11	6,342 01	0 00	3,735 10	3,735 10	LT
	Aug 16 2011	Apr 17 2013	32 00	2,687 23	1,189 44	0 00	1,497 79	1,497 79	LT
GOOGLE, INC CMN CLASS A	Sep 27 2012	Apr 17 2013	3,358 00	174,536 87	111,113 20	0 00	63,423 67	63,423 67	ST
	Oct 02 2012	Apr 17 2013	688 00	35,759 79	23,735 97	0 00	12,023 82	12,023 82	ST
	Oct 03 2012	Apr 17 2013	266 00	13,825 73	9,309 84	0 00	4,515 89	4,515 89	ST
	Oct 10 2012	Apr 17 2013	424 00	22,038 01	14,469 57	0 00	7,568 44	7,568 44	ST
	Oct 15 2012	Apr 17 2013	284 00	14,761 31	9,555 99	0 00	5,195 32	5,195 32	ST
	Sep 25 2012	Apr 17 2013	151 00	118,029 81	113,366 48	0 00	4,663 33	4,663 33	ST
HAIN CELESTIAL GROUP INC CMN	Sep 27 2012	Apr 17 2013	169 00	132,099 59	128,029 33	0 00	4,070 26	4,070 26	ST
	Dec 17 2012	Apr 17 2013	18 00	14,069 78	12,839 14	0 00	1,230 64	1,230 64	ST
	Jun 30 2010	Apr 17 2013	227 00	13,645 73	4,615 83	0 00	9,029 90	9,029 90	LT
	Aug 16 2011	Apr 17 2013	81 00	4,869 18	2,416 12	0 00	2,453 06	2,453 06	LT
HALLIBURTON COMPANY CMN	Aug 06 2012	Apr 17 2013	1,243 00	45,875 59	42,108 17	0 00	4,767 42	4,767 42	ST
	Sep 27 2012	Apr 17 2013	3,544 00	133,650 11	120,125 30	0 00	13,524 81	13,524 81	ST
	Sep 22 2011	Apr 17 2013	859 00	23,195 66	13,622 80	0 00	9,572 86	9,572 86	LT
	Nov 15 2011	Apr 17 2013	1,150 00	31,053 56	20,485 53	0 00	10,568 03	10,568 03	LT
HARTFORD FINANCIAL SVCS GROUP CMN	Nov 16 2011	Apr 17 2013	1,494 00	40,342 62	26,416 91	0 00	13,925 71	13,925 71	LT
	Nov 17 2011	Apr 17 2013	1,290 00	34,833 99	22,269 01	0 00	12,564 98	12,564 98	LT
	Aug 02 2012	Apr 17 2013	1,972 00	53,250 10	31,839 52	0 00	21,410 58	21,410 58	ST
	Nov 07 2012	Apr 17 2013	699 00	26,245 67	23,192 82	0 00	3,052 85	3,052 85	ST
HCA HOLDINGS, INC CMN	Nov 09 2012	Apr 17 2013	284 00	10,663 48	9,053 81	0 00	1,609 67	1,609 67	ST
	Dec 21 2012	Apr 17 2013	539 00	20,238 08	16,708 78	0 00	3,529 30	3,529 30	ST
	Sep 27 2012	Apr 17 2013	11,647 00	125,359 68	97,290 89	0 00	28,068 79	28,068 79	ST
	Aug 24 2012	Apr 17 2013	388 00	10,787 36	8,757 78	0 00	2,029 58	2,029 58	ST
HEALTH NET, INC CMN	Aug 27 2012	Apr 17 2013	866 00	24,076 94	19,476 17	0 00	4,600 77	4,600 77	ST
	Sep 27 2012	Apr 17 2013	2,078 00	73,065 62	94,961 28	0 00	(21,895 66)	(21,895 66)	ST
	Jun 30 2010	Apr 17 2013	232 00	12,320 03	5,623 10	0 00	6,696 93	6,696 93	LT

Statement Detail
PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Aug 16 2011	Apr 17 2013	110.00	5,841.40	3,898.32	0.00	1,943.08	1,943.08	LT
HOLLYFRONTIER CORP CMN	Sep 27 2012	Apr 17 2013	2,635.00	123,430.65	106,701.95	0.00	16,728.70	16,728.70	ST
HONEYWELL INTL INC CMN	Sep 23 2010	Apr 17 2013	1,100.00	79,034.66	47,883.12	0.00	31,151.54	31,151.54	LT
	Mar 15 2011	Apr 17 2013	95.00	5,825.72	5,261.67	0.00	1,564.05	1,564.05	LT
HUB GROUP, INC CMN CLASS A	Jan 12 2011	Apr 17 2013	88.00	3,339.35	3,268.48	0.00	70.87	70.87	LT
	Aug 16 2011	Apr 17 2013	56.00	2,125.04	2,172.20	0.00	(47.16)	(47.16)	LT
	Feb 07 2012	Apr 17 2013	97.00	3,680.87	3,448.36	0.00	232.51	232.51	LT
	Feb 08 2012	Apr 17 2013	247.00	9,372.95	8,762.99	0.00	609.96	609.96	LT
	Feb 09 2012	Apr 17 2013	29.00	1,100.47	1,029.83	0.00	70.64	70.64	LT
	Feb 10 2012	Apr 17 2013	160.00	6,071.54	5,594.86	0.00	476.68	476.68	LT
	May 18 2012	Apr 17 2013	150.00	5,692.07	5,139.60	0.00	552.47	552.47	ST
	May 21 2012	Apr 17 2013	52.00	1,973.25	1,818.04	0.00	155.21	155.21	ST
	May 22 2012	Apr 17 2013	155.00	5,881.81	5,429.82	0.00	451.99	451.99	ST
	May 23 2012	Apr 17 2013	70.00	2,655.30	2,435.24	0.00	221.06	221.06	ST
HUMANA INC CMN	May 02 2011	Apr 17 2013	880.00	65,771.31	67,703.18	0.00	(1,931.87)	(1,931.87)	LT
ICU MEDICAL INC CMN	Aug 16 2011	Apr 17 2013	68.00	4,008.46	2,679.20	0.00	1,329.26	1,329.26	LT
	May 08 2012	Apr 17 2013	30.00	1,768.44	1,579.36	0.00	189.08	189.08	ST
	May 09 2012	Apr 17 2013	129.00	7,604.28	6,821.21	0.00	783.07	783.07	ST
	May 10 2012	Apr 17 2013	151.00	8,901.13	8,091.89	0.00	809.24	809.24	ST
	May 11 2012	Apr 17 2013	21.00	1,237.91	1,128.04	0.00	109.87	109.87	ST
INDEPENDENT BANK CORP MASS CMN	Mar 30 2010	Apr 17 2013	319.00	9,661.72	8,029.11	0.00	1,632.61	1,632.61	LT
	Jun 30 2010	Apr 17 2013	816.00	24,714.61	20,329.82	0.00	4,384.79	4,384.79	LT
	Aug 16 2011	Apr 17 2013	136.00	4,119.10	3,115.71	0.00	1,003.39	1,003.39	LT
INGERSOLL-RAND PLC CMN	Mar 16 2011	Apr 17 2013	1,400.00	75,427.92	62,916.00	0.00	12,511.92	12,511.92	LT
	Sep 28 2012	Apr 17 2013	650.00	35,020.11	29,034.92	0.00	5,985.19	5,985.19	ST
INGRAM MICRO INC CLASS A COMMON STOCK	May 27 2011	Apr 17 2013	1,075.00	18,893.56	20,043.70	0.00	(1,150.14)	(1,150.14)	LT
	Jul 23 2012	Apr 17 2013	2,853.00	50,142.63	44,911.07	0.00	5,231.56	5,231.56	ST
INTERDIGITAL INC CMN	Feb 16 2011	Apr 17 2013	381.00	15,551.15	22,096.74	0.00	(6,545.59)	(6,545.59)	LT
	Mar 23 2011	Apr 17 2013	478.00	19,510.37	20,672.50	0.00	(1,162.13)	(1,162.13)	LT
	Aug 16 2011	Apr 17 2013	40.00	1,632.67	2,529.76	0.00	(897.09)	(897.09)	LT
INTL BUSINESS MACHINES CORP CMN	Nov 09 2010	Apr 17 2013	376.00	78,947.97	54,967.98	0.00	23,979.99	23,979.99	LT
	Mar 15 2011	Apr 17 2013	65.00	13,647.92	10,321.35	0.00	3,326.57	3,326.57	LT
	May 16 2012	Apr 17 2013	23.00	4,829.26	4,599.87	0.00	229.39	229.39	ST
	May 17 2012	Apr 17 2013	10.00	2,099.68	1,988.43	0.00	111.25	111.25	ST

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
INTUIT INC CMN	May 17 2012	Apr 17 2013	14.00	2,939.55	2,796.22	0.00	143.33	143.33	ST
	May 18 2012	Apr 17 2013	22.00	4,619.30	4,340.93	0.00	278.37	278.37	ST
	May 22 2012	Apr 17 2013	6.00	1,259.81	1,186.32	0.00	73.49	73.49	ST
	Jun 25 2012	Apr 17 2013	21.00	4,409.33	4,041.56	0.00	367.77	367.77	ST
	Jul 19 2012	Apr 17 2013	14.00	2,939.55	2,746.00	0.00	193.55	193.55	ST
	Jul 23 2012	Apr 17 2013	12.00	2,519.62	2,287.01	0.00	232.61	232.61	ST
	Jul 24 2012	Apr 17 2013	10.00	2,099.68	1,898.57	0.00	201.11	201.11	ST
	Sep 07 2012	Apr 17 2013	11.00	2,309.65	2,188.51	0.00	121.14	121.14	ST
	Sep 27 2012	Apr 17 2013	506.00	105,243.81	103,537.42	0.00	2,706.39	2,706.39	ST
	Sep 27 2012	Apr 17 2013	572.00	120,101.69	118,087.11	0.00	2,014.58	2,014.58	ST
INTUIT INC CMN	Sep 28 2012	Apr 17 2013	967.00	203,039.05	200,042.71	0.00	2,996.34	2,996.34	ST
	May 31 2011	Apr 17 2013	135.00	8,495.62	7,242.64	0.00	1,252.98	1,252.98	LT
	Aug 24 2011	Apr 17 2013	54.00	3,398.25	2,799.20	0.00	599.05	599.05	LT
	Sep 27 2012	Apr 17 2013	698.00	43,925.48	41,020.48	0.00	2,905.00	2,905.00	ST
INTUITIVE SURGICAL, INC CMN	Oct 17 2012	Apr 17 2013	34.00	17,184.18	18,427.83	0.00	(1,243.65)	(1,243.65)	ST
	Nov 09 2010	Apr 17 2013	3,205.00	122,659.00	151,138.09	0.00	(28,479.09)	(28,479.09)	LT
	Mar 15 2011	Apr 17 2013	260.00	9,950.50	12,498.20	0.00	(2,547.70)	(2,547.70)	LT
	Jun 21 2012	Apr 17 2013	99.00	6,090.94	4,313.46	0.00	1,777.48	1,777.48	ST
IPG PHOTONICS CORP CMN	Jun 26 2012	Apr 17 2013	179.00	11,012.91	7,518.23	0.00	3,494.68	3,494.68	ST
	Jun 27 2012	Apr 17 2013	199.00	12,243.40	8,657.12	0.00	3,586.28	3,586.28	ST
	Jul 20 2012	Apr 17 2013	286.00	17,596.04	12,579.37	0.00	5,016.67	5,016.67	ST
	Jul 23 2012	Apr 17 2013	149.00	9,167.17	6,533.77	0.00	2,633.40	2,633.40	ST
	Oct 05 2011	Apr 17 2013	172.00	4,214.90	4,769.80	0.00	(554.90)	(554.90)	LT
	Dec 20 2011	Apr 17 2013	56.00	1,372.29	1,724.44	0.00	(352.15)	(352.15)	LT
IROBOT CORPORATION CMN	Dec 21 2011	Apr 17 2013	383.00	9,385.51	11,661.05	0.00	(2,275.54)	(2,275.54)	LT
	Aug 09 2012	Apr 17 2013	274.00	6,714.44	6,555.26	0.00	149.18	149.18	ST
	Aug 10 2012	Apr 17 2013	174.00	4,263.91	4,162.78	0.00	101.13	101.13	ST
	Aug 13 2012	Apr 17 2013	141.00	3,455.24	3,370.62	0.00	84.62	84.62	ST
	Aug 14 2012	Apr 17 2013	58.00	1,421.30	1,420.69	0.00	0.61	0.61	ST
	Oct 03 2012	Apr 17 2013	9.00	220.55	243.32	0.00	(22.77)	(22.77)	ST
									ST

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Oct 03 2012	Apr 17 2013	285.00	6,984.00	6,881.99	0.00	102.01	102.01	ST
	Oct 04 2012	Apr 17 2013	74.00	1,813.39	2,003.34	0.00	(189.95)	(189.95)	ST
	Oct 05 2012	Apr 17 2013	17.00	416.59	467.90	0.00	(51.31)	(51.31)	ST
	Oct 08 2012	Apr 17 2013	8.00	196.04	220.67	0.00	(24.63)	(24.63)	ST
	Oct 09 2012	Apr 17 2013	77.00	1,886.90	2,089.56	0.00	(202.66)	(202.66)	ST
	Oct 10 2012	Apr 17 2013	38.00	931.20	1,027.49	0.00	(96.29)	(96.29)	ST
ISHARES CHINA LARGE-CAP ETF	Nov 09 2010	Apr 17 2013	2,590.00	90,060.05	121,894.51	0.00	(31,834.46)	(31,834.46)	LT
	Mar 15 2011	Apr 17 2013	290.00	10,083.94	12,379.09	0.00	(2,295.15)	(2,295.15)	LT
ISHARES MSCI EMERGING MKTS ETF	Nov 09 2010	Apr 17 2013	2,545.00	104,391.51	122,134.55	0.00	(17,743.04)	(17,743.04)	LT
	Mar 15 2011	Apr 17 2013	275.00	11,280.03	12,479.50	0.00	(1,199.47)	(1,199.47)	LT
ISHARES MSCI JAPAN ETF	Feb 07 2012	Apr 17 2013	4,686.00	52,948.27	45,600.87	0.00	7,347.40	7,347.40	LT
ISHARES NORTH AMERICAN TECH ETF	Mar 17 2009	Apr 17 2013	895.00	63,085.98	29,642.12	0.00	33,443.86	33,443.86	LT
	Mar 15 2011	Apr 17 2013	85.00	5,991.41	5,182.96	0.00	808.45	808.45	LT
ISHARES RUSSELL 2000 ETF	Nov 09 2010	Apr 17 2013	1,685.00	151,565.38	123,360.81	0.00	28,204.57	28,204.57	LT
	Mar 15 2011	Apr 17 2013	155.00	13,942.22	12,232.37	0.00	1,709.85	1,709.85	LT
J2 GLOBAL INC CMN	Jun 30 2010	Apr 17 2013	1,101.00	42,078.50	24,466.42	0.00	17,612.08	17,612.08	LT
	Aug 16 2011	Apr 17 2013	224.00	8,560.93	6,354.37	0.00	2,206.56	2,206.56	LT
JOY GLOBAL INC CMN	Sep 27 2012	Apr 17 2013	1,550.00	82,955.84	88,537.24	0.00	(5,581.40)	(5,581.40)	ST
KIMBERLY CLARK CORP CMN	Jan 02 2013	Apr 17 2013	538.00	54,207.60	45,995.29	0.00	8,212.31	8,212.31	ST
KINDER MORGAN INC CMN CLASS P	Aug 21 2012	Apr 17 2013	1,960.00	74,053.61	68,501.80	0.00	5,551.81	5,551.81	ST
KRATON PERFORM INC POLYMERS INC. CMN	Aug 24 2011	Apr 17 2013	408.00	8,487.35	9,376.10	0.00	(888.75)	(888.75)	LT
	Aug 25 2011	Apr 17 2013	337.00	7,010.38	7,680.94	0.00	(670.56)	(670.56)	LT
KROGER COMPANY CMN	Dec 07 2010	Apr 17 2013	1,858.00	62,080.51	38,637.11	0.00	23,443.40	23,443.40	LT
LAS VEGAS SANDS CORP CMN	May 31 2011	Apr 17 2013	39.00	2,056.36	1,606.27	0.00	452.09	452.09	LT
	Sep 28 2011	Apr 17 2013	40.00	2,111.14	1,784.03	0.00	327.11	327.11	LT
LAYNE CHRISTENSEN COMPANY (NAME	Sep 27 2012	Apr 17 2013	858.00	45,283.96	40,367.36	0.00	4,916.60	4,916.60	ST
CHANGED FROM LAYNE INC)	Sep 28 2011	Apr 17 2013	188.00	3,384.58	4,338.79	0.00	(954.21)	(954.21)	LT
LIFE TECHNOLOGIES CORPORATION CMN	Sep 29 2011	Apr 17 2013	922.00	16,598.85	21,562.91	0.00	(4,964.06)	(4,964.06)	LT
	Sep 25 2012	Apr 17 2013	1,900.00	139,749.08	93,286.77	0.00	46,462.31	46,462.31	ST
LIFE TIME FITNESS, INC CMN	Dec 27 2011	Apr 17 2013	53.00	2,320.60	2,500.30	0.00	(179.70)	(179.70)	LT
LINCOLN NATL CORP INC CMN	Jan 03 2012	Apr 17 2013	339.00	14,843.08	16,031.07	0.00	(1,187.99)	(1,187.99)	LT
LINDSAY CORPORATION CMN	Jun 30 2010	Apr 17 2013	1,633.00	51,363.87	40,441.25	0.00	10,922.62	10,922.62	LT
	Mar 30 2010	Apr 17 2013	75.00	5,659.77	3,191.95	0.00	2,467.82	2,467.82	LT
	Jun 30 2010	Apr 17 2013	410.00	30,940.08	13,787.69	0.00	17,152.39	17,152.39	LT

PFF GSAM: TAX ADV LH (S&P500) Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Aug 16 2011	Apr 17 2013	61 00	4,603 28	3,368 60	0 00	1,234 68	1,234 68	LT
	Oct 14 2011	Apr 17 2013	28 00	2,112 98	1,619 01	0 00	493 97	493 97	LT
	Oct 17 2011	Apr 17 2013	196 00	14,790 87	11,059 32	0 00	3,731 55	3,731 55	LT
LINKEDIN CORP CMN CLASS A	Nov 15 2012	Apr 17 2013	605 00	109,008 93	60,665 95	0 00	48,342 98	48,342 98	ST
	Nov 16 2012	Apr 17 2013	14 00	2,522 52	1,430 64	0 00	1,091 88	1,091 88	ST
	Jan 18 2013	Apr 17 2013	151 00	27,207 19	17,862 70	0 00	9,344 49	9,344 49	ST
LIQUIDITY SERVICES INC CMN	Oct 26 2010	Apr 17 2013	1,003 00	32,448 53	16,727 83	0 00	15,720 70	15,720 70	LT
	Aug 16 2011	Apr 17 2013	66 00	2,135 20	1,462 82	0 00	672 38	672 38	LT
LOCKHEED MARTIN CORPORATION CMN	Sep 27 2012	Apr 17 2013	1,068 00	101,939 49	98,076 58	0 00	3,862 91	3,862 91	ST
LOEWS CORPORATION CMN	Jun 30 2010	Apr 17 2013	1,961 00	84,487 39	65,210 31	0 00	19,277 08	19,277 08	LT
LOWES COMPANIES INC CMN	Sep 28 2012	Apr 17 2013	4,399 00	165,431 24	132,937 78	0 00	32,493 46	32,493 46	ST
LUFKIN INDUSTRIES, INC CMN	Jun 29 2011	Apr 17 2013	93 00	8,185 71	7,902 65	0 00	283 06	283 06	LT
	Jun 30 2011	Apr 17 2013	38 00	3,344 70	3,269 17	0 00	75 53	75 53	LT
	Jul 01 2011	Apr 17 2013	16 00	1,408 29	1,393 24	0 00	15 05	15 05	LT
	Aug 16 2011	Apr 17 2013	28 00	2,464 51	2,596 16	0 00	(131 65)	(131 65)	LT
	Mar 27 2012	Apr 17 2013	139 00	12,234 55	10,849 19	0 00	1,385 36	1,385 36	LT
	Mar 28 2012	Apr 17 2013	355 00	31,246 51	27,575 58	0 00	3,670 93	3,670 93	LT
	Mar 29 2012	Apr 17 2013	49 00	4,312 90	3,810 74	0 00	502 16	502 16	LT
	Aug 10 2012	Apr 17 2013	771 00	67,862 13	39,730 17	0 00	28,131 96	28,131 96	ST
MARATHON PETROLEUM CORPORATION CMN	Sep 27 2012	Apr 17 2013	1,950 00	149,373 28	103,876 50	0 00	45,496 78	45,496 78	ST
MARKETAXESS HOLDINGS INC CMN	Jun 24 2011	Apr 17 2013	192 00	7,500 08	4,455 05	0 00	3,045 03	3,045 03	LT
	Jun 27 2011	Apr 17 2013	226 00	8,828 22	5,322 24	0 00	3,505 98	3,505 98	LT
	Jun 28 2011	Apr 17 2013	150 00	5,859 44	3,618 90	0 00	2,240 54	2,240 54	LT
	Nov 22 2011	Apr 17 2013	51 00	1,992 21	1,313 45	0 00	678 76	678 76	LT
	Nov 23 2011	Apr 17 2013	67 00	2,617 22	1,725 79	0 00	891 43	891 43	LT
	Nov 28 2011	Apr 17 2013	31 00	1,210 95	823 35	0 00	387 60	387 60	LT
	Nov 29 2011	Apr 17 2013	150 00	5,859 44	4,042 71	0 00	1,816 73	1,816 73	LT
	Sep 05 2012	Apr 17 2013	211 00	8,242 28	7,010 47	0 00	1,231 81	1,231 81	ST
	Sep 06 2012	Apr 17 2013	200 00	7,812 58	5,984 24	0 00	1,828 34	1,828 34	ST
	Sep 18 2012	Apr 17 2013	39 00	1,523 45	1,160 12	0 00	363 33	363 33	ST

PFF GSAM: TAX ADV LH (S&P500)

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Sep 19 2012	Apr 17 2013	141 00	5,507 87	4,320 98	0.00	1,186 89	1,186 89	ST
	Sep 20 2012	Apr 17 2013	263 00	10,273 55	7,952 78	0.00	2,310 77	2,310 77	ST
	Nov 05 2012	Apr 17 2013	57 00	2,226 59	1,758 45	0.00	468 14	468 14	ST
	Nov 08 2012	Apr 17 2013	138 00	5,390 68	4,148 26	0.00	1,242 42	1,242 42	ST
MARSH & MCLENNAN CO INC CMN	Jul 20 2012	Apr 17 2013	2,025 00	75,533 56	65,812 50	0.00	10,721 06	10,721 06	ST
MASTERCARD INCORPORATED CMN CLASS A	Jul 27 2012	Apr 17 2013	6 00	3,157 18	2,608 43	0.00	548 75	548 75	ST
	Jul 30 2012	Apr 17 2013	5 00	2,630 98	2,203 37	0.00	427 61	427 61	ST
	Jul 31 2012	Apr 17 2013	4 00	2,104 79	1,745 31	0.00	359 48	359 48	ST
	Aug 01 2012	Apr 17 2013	7 00	3,683 38	2,970 10	0.00	713 28	713 28	ST
	Aug 10 2012	Apr 17 2013	7 00	3,683 38	2,974 58	0.00	708 80	708 80	ST
	Sep 27 2012	Apr 17 2013	119 00	62,617 41	53,729 69	0.00	8,887 72	8,887 72	ST
MC DONALDS CORP CMN	Mar 01 2011	Apr 17 2013	780 00	79,931 43	58,693 52	0.00	21,237 91	21,237 91	LT
	Mar 15 2011	Apr 17 2013	70 00	7,173 33	5,259 80	0.00	1,913 53	1,913 53	LT
MCGRRAW-HILL COMPANIES INC CMN	Dec 07 2012	Apr 17 2013	168 00	8,708 77	9,407 03	0.00	(698 26)	(698 26)	ST
	Dec 10 2012	Apr 17 2013	416 00	21,564 58	23,177 86	0.00	(1,613 28)	(1,613 28)	ST
	Dec 17 2012	Apr 17 2013	266 00	13,788 89	14,468 27	0.00	(679 38)	(679 38)	ST
MEAD JOHNSON NUTRITION COMPANY CMN	Sep 25 2012	Apr 17 2013	1,539 00	123,411 33	113,807 51	0.00	9,603 82	9,603 82	ST
	Nov 06 2012	Apr 17 2013	35 00	2,806 63	2,297 78	0.00	508 85	508 85	ST
MENS WEARHOUSE INC (THE) CMN	Mar 29 2011	Apr 17 2013	431 00	14,145 58	11,510 03	0.00	2,635 55	2,635 55	LT
	Aug 16 2011	Apr 17 2013	50 00	1,641 02	1,302 50	0.00	338 52	338 52	LT
	Dec 13 2011	Apr 17 2013	675 00	22,153 74	21,380 90	0.00	772 84	772 84	LT
	Nov 08 2012	Apr 17 2013	322 00	10,568 15	10,616 44	0.00	(48 29)	(48 29)	ST
MERCK & CO, INC CMN	Jun 30 2010	Apr 17 2013	363 00	16,955 02	12,736 51	0.00	4,218 51	4,218 51	LT
	Mar 16 2011	Apr 17 2013	1,190 00	55,582 58	37,657 55	0.00	17,925 03	17,925 03	LT
MERIDIAN BIOSCIENCE INC CMN	May 10 2012	Apr 17 2013	179 00	3,949 51	3,547 78	0.00	401 73	401 73	ST
	May 11 2012	Apr 17 2013	117 00	2,581 52	2,319 95	0.00	261 57	261 57	ST
	May 14 2012	Apr 17 2013	4 00	88 26	78 79	0.00	9 47	9 47	ST
	May 18 2012	Apr 17 2013	128 00	2,824 23	2,468 07	0.00	356 16	356 16	ST
METLIFE, INC CMN	Jun 30 2010	Apr 17 2013	476 00	17,290 22	18,115 89	0.00	(825 67)	(825 67)	LT
	Dec 06 2010	Apr 17 2013	1,688 00	60,588 41	68,106 11	0.00	(7,517 70)	(7,517 70)	LT
	Mar 16 2011	Apr 17 2013	1,400 00	50,853 58	60,405 66	0.00	(9,552 08)	(9,552 08)	LT
MICHAEL KORS HOLDINGS LIMITED CMN	Sep 27 2012	Apr 17 2013	461 00	24,795 89	24,413 18	0.00	382 71	382 71	ST
MICROSTRATEGY INC CMN CLASS A	Nov 09 2011	Apr 17 2013	96 00	9,428 69	11,321 11	0.00	(1,892 42)	(1,892 42)	LT
	Nov 10 2011	Apr 17 2013	13 00	1,276 80	1,531 71	0.00	(254 91)	(254 91)	LT

PFF GSAM: TAX ADV LH (S&P500) Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
MIDDLEBY CORP CMN	Sep 05 2012	Apr 17 2013	129.00	12,669.80	16,223.18	0.00	(3,553.38)	(3,553.38)	ST
	Oct 03 2012	Apr 17 2013	75.00	7,366.16	9,801.38	0.00	(2,435.22)	(2,435.22)	ST
	Jun 30 2010	Apr 17 2013	29.00	4,154.98	1,566.21	0.00	2,588.77	2,588.77	LT
	Aug 16 2011	Apr 17 2013	64.00	9,169.62	4,942.27	0.00	4,227.35	4,227.35	LT
MILLER HERMAN INC CMN	Mar 30 2010	Apr 17 2013	248.00	5,923.35	4,604.34	0.00	1,319.01	1,319.01	LT
	Jun 30 2010	Apr 17 2013	439.00	10,485.28	8,408.61	0.00	2,076.67	2,076.67	LT
	Aug 16 2011	Apr 17 2013	71.00	1,695.80	1,326.56	0.00	369.24	369.24	LT
	Jun 30 2010	Apr 17 2013	814.00	31,599.59	19,667.46	0.00	11,932.13	11,932.13	LT
MINERALS TECHNOLOGIES INC CMN	Jan 04 2011	Apr 17 2013	226.00	8,773.35	7,359.93	0.00	1,413.42	1,413.42	LT
	Jan 05 2011	Apr 17 2013	118.00	4,580.78	3,852.69	0.00	728.09	728.09	LT
	Aug 16 2011	Apr 17 2013	48.00	1,863.37	1,334.40	0.00	528.97	528.97	LT
	Nov 20 2012	Apr 17 2013	2,615.00	78,185.44	67,048.47	0.00	11,136.97	11,136.97	ST
MONDELEZ INTERNATIONAL, INC CMN	Mar 16 2012	Apr 17 2013	424.00	11,771.54	10,714.90	0.00	1,056.64	1,056.64	LT
	Mar 19 2012	Apr 17 2013	282.00	7,829.19	7,222.92	0.00	606.27	606.27	LT
	Mar 20 2012	Apr 17 2013	144.00	3,997.88	3,584.33	0.00	413.55	413.55	LT
	Mar 27 2012	Apr 17 2013	624.00	17,324.16	14,551.43	0.00	2,772.73	2,772.73	LT
NABORS INDUSTRIES LTD CMN	Mar 28 2012	Apr 17 2013	285.00	7,912.48	6,670.05	0.00	1,242.43	1,242.43	LT
	Sep 28 2012	Apr 17 2013	3,850.00	55,371.97	54,092.50	0.00	2,279.47	2,279.47	ST
	Feb 22 2011	Apr 17 2013	117.00	2,199.55	2,161.10	0.00	38.45	38.45	LT
	Feb 24 2011	Apr 17 2013	36.00	676.79	665.87	0.00	10.92	10.92	LT
NATURAL GAS SERVICES GROUP, IN CMN	Feb 25 2011	Apr 17 2013	136.00	2,556.74	2,583.77	0.00	(27.03)	(27.03)	LT
	Feb 28 2011	Apr 17 2013	542.00	10,189.37	10,352.09	0.00	(162.72)	(162.72)	LT
	Mar 01 2011	Apr 17 2013	108.00	2,030.35	2,040.40	0.00	(10.05)	(10.05)	LT
	Mar 02 2011	Apr 17 2013	22.00	413.59	414.63	0.00	(1.04)	(1.04)	LT
NEOGEN CORP CMN	Mar 03 2011	Apr 17 2013	132.00	2,481.54	2,497.19	0.00	(15.65)	(15.65)	LT
	Aug 16 2011	Apr 17 2013	88.00	1,654.36	1,668.25	0.00	(13.89)	(13.89)	LT
	Jan 03 2011	Apr 17 2013	240.00	11,960.10	10,066.56	0.00	1,893.54	1,893.54	LT
	Aug 16 2011	Apr 17 2013	212.00	10,564.76	7,685.40	0.00	2,879.36	2,879.36	LT
NEW JERSEY RESOURCES CORPORATI CMN	Mar 30 2010	Apr 17 2013	214.00	9,716.99	8,157.84	0.00	1,559.15	1,559.15	LT
	Jun 30 2010	Apr 17 2013	1,172.00	53,216.40	41,438.99	0.00	11,777.41	11,777.41	LT

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
NEWFIELD EXPLORATION CO CMN	Aug 16 2011	Apr 17 2013	78.00	3,541.71	3,466.44	0.00	75.27	75.27	LT
	Sep 27 2012	Apr 17 2013	1,431.00	29,123.77	45,131.88	0.00	(16,008.11)	(16,008.11)	ST
	Jan 02 2013	Apr 17 2013	1,621.00	32,990.66	43,420.59	0.00	(10,429.93)	(10,429.93)	ST
NEWMARKET CORP CMN	Sep 27 2012	Apr 17 2013	188.00	48,529.63	45,247.84	0.00	3,281.79	3,281.79	ST
	Nov 27 2012	Apr 17 2013	180.00	46,464.53	47,814.82	0.00	(1,350.29)	(1,350.29)	ST
	Dec 30 2010	Apr 17 2013	188.00	3,384.43	1,809.36	0.00	1,575.07	1,575.07	LT
NIC INC CMN	Dec 31 2010	Apr 17 2013	296.00	5,328.68	2,852.35	0.00	2,476.33	2,476.33	LT
	Jan 03 2011	Apr 17 2013	1,124.00	20,234.58	10,998.73	0.00	9,235.85	9,235.85	LT
	Jan 04 2011	Apr 17 2013	232.00	4,176.53	2,206.48	0.00	1,970.05	1,970.05	LT
NII HOLDINGS, INC CMN CLASS B	Aug 16 2011	Apr 17 2013	253.00	4,554.58	2,917.19	0.00	1,637.39	1,637.39	LT
	Aug 08 2012	Apr 17 2013	5,026.00	35,237.00	30,910.91	0.00	4,326.09	4,326.09	ST
	Nov 08 2012	Apr 17 2013	1,480.00	10,376.20	9,625.03	0.00	751.17	751.17	ST
NIKE CLASS-B CMN CLASS B	Nov 19 2012	Apr 17 2013	1,260.00	8,833.79	6,480.94	0.00	2,352.85	2,352.85	ST
	Sep 28 2012	Apr 17 2013	4,246.00	258,735.25	200,920.72	0.00	57,814.53	57,814.53	ST
	Sep 28 2012	Apr 17 2013	1,600.00	55,872.34	57,024.00	0.00	(1,151.66)	(1,151.66)	ST
NOBLE CORPORATION (CH) CMN	Jun 30 2010	Apr 17 2013	406.00	43,237.74	24,496.01	0.00	18,741.73	18,741.73	LT
	Sep 28 2012	Apr 17 2013	3,644.00	201,488.63	200,348.21	0.00	1,140.42	1,140.42	ST
	Mar 16 2011	Apr 17 2013	2,382.00	62,876.01	48,603.52	0.00	14,272.49	14,272.49	LT
OCCIDENTAL PETROLEUM CORP CMN	Jun 30 2010	Apr 17 2013	695.00	55,829.97	54,876.71	0.00	953.26	953.26	LT
	Sep 25 2012	Apr 17 2013	1,487.00	119,452.05	126,777.90	0.00	(7,325.85)	(7,325.85)	ST
	Sep 25 2012	Apr 17 2013	2,262.00	132,696.12	126,213.95	0.00	6,482.17	6,482.17	ST
OCEANEERING INTL INC CMN	Jul 23 2012	Apr 17 2013	773.00	9,791.52	6,273.90	0.00	3,517.62	3,517.62	ST
	Jul 24 2012	Apr 17 2013	1,701.00	21,546.42	13,712.78	0.00	7,833.64	7,833.64	ST
	Sep 28 2012	Apr 17 2013	5,528.00	179,862.71	173,081.68	0.00	6,781.03	6,781.03	ST
ORACLE CORPORATION CMN	Dec 20 2012	Apr 17 2013	1,010.00	32,862.04	34,378.38	0.00	(1,516.34)	(1,516.34)	ST
	Sep 12 2011	Apr 17 2013	270.00	12,831.35	9,448.33	0.00	3,383.02	3,383.02	LT
	Sep 28 2011	Apr 17 2013	209.00	9,932.42	7,327.21	0.00	2,605.21	2,605.21	LT
PACCAR INC CMN	Sep 29 2011	Apr 17 2013	284.00	13,496.68	10,047.04	0.00	3,449.64	3,449.64	LT
	Sep 30 2011	Apr 17 2013	704.00	33,456.57	24,314.54	0.00	9,142.03	9,142.03	LT
	Sep 28 2012	Apr 17 2013	150.00	13,737.96	11,074.16	0.00	2,663.80	2,663.80	ST
PARTNERRE LTD BERMUDA CMN	Sep 27 2012	Apr 17 2013	5,477.00	121,846.28	87,345.55	0.00	34,500.73	34,500.73	ST
	Jun 05 2012	Apr 17 2013	428.00	8,071.09	10,132.82	0.00	(2,061.73)	(2,061.73)	ST
	Jul 31 2012	Apr 17 2013	1,014.00	19,121.69	21,273.72	0.00	(2,152.03)	(2,152.03)	ST
PEABODY ENERGY CORPORATION CMN	Jan 16 2013	Apr 17 2013	289.00	5,449.87	7,210.55	0.00	(1,760.68)	(1,760.68)	ST

PFF GSAM: TAX ADV LH (S&P500) Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
PEPSICO INC CMN	Mar 19 2013	Apr 17 2013	1,724.00	32,510.64	36,681.72	0.00	(4,171.08)	(4,171.08)	ST
	Jan 10 2011	Apr 17 2013	800.00	63,342.66	52,958.46	0.00	10,384.20	10,384.20	LT
	Mar 15 2011	Apr 17 2013	170.00	13,460.31	10,736.86	0.00	2,723.45	2,723.45	LT
PHILIP MORRIS INTL INC CMN	Oct 23 2006	Apr 17 2013	195.00	18,390.82	8,344.02	0.00	10,046.80	10,046.80	LT
	Aug 08 2008	Apr 17 2013	40.00	3,772.48	2,202.00	0.00	1,570.48	1,570.48	LT
	Jun 15 2009	Apr 17 2013	480.00	45,269.71	20,845.73	0.00	24,423.98	24,423.98	LT
	Dec 03 2009	Apr 17 2013	10.00	943.12	496.07	0.00	447.05	447.05	LT
	Jun 18 2010	Apr 17 2013	175.00	16,504.58	8,079.78	0.00	8,424.80	8,424.80	LT
	Jun 30 2010	Apr 17 2013	444.00	41,874.48	20,458.37	0.00	21,416.11	21,416.11	LT
	Mar 15 2011	Apr 17 2013	85.00	8,016.51	5,283.43	0.00	2,733.08	2,733.08	LT
	Sep 27 2012	Apr 17 2013	1,311.00	123,642.88	118,146.66	0.00	5,496.22	5,496.22	ST
PITNEY-BOWES INC CMN	Aug 18 2010	Apr 17 2013	2,110.00	30,350.82	42,365.22	0.00	(12,014.40)	(12,014.40)	LT
	Aug 19 2010	Apr 17 2013	728.00	10,471.75	14,501.76	0.00	(4,030.01)	(4,030.01)	LT
PLANTRONICS INC CMN	Mar 30 2010	Apr 17 2013	466.00	19,652.69	14,613.47	0.00	5,039.22	5,039.22	LT
	Jun 30 2010	Apr 17 2013	1,665.00	70,218.29	48,884.91	0.00	21,333.38	21,333.38	LT
PNC FINANCIAL SERVICES GROUP CMN	Jun 15 2009	Apr 17 2013	740.00	48,212.43	29,974.35	0.00	18,238.08	18,238.08	LT
	Sep 13 2010	Apr 17 2013	215.00	14,007.67	12,138.04	0.00	1,869.63	1,869.63	LT
	Mar 15 2011	Apr 17 2013	85.00	5,537.91	5,227.50	0.00	310.41	310.41	LT
POLYCOM INC CMN	Jun 07 2012	Apr 17 2013	296.00	3,072.59	3,398.35	0.00	(325.76)	(325.76)	ST
	Jun 15 2012	Apr 17 2013	1,189.00	12,342.26	12,446.10	0.00	(103.84)	(103.84)	ST
	Jun 18 2012	Apr 17 2013	1,692.00	17,563.58	17,708.47	0.00	(144.89)	(144.89)	ST
	Jun 19 2012	Apr 17 2013	380.00	3,944.54	4,082.30	0.00	(137.76)	(137.76)	ST
	Nov 05 2012	Apr 17 2013	1,376.00	14,283.38	14,436.17	0.00	(152.79)	(152.79)	ST
POWER INTEGRATIONS INC CMN	Jun 30 2010	Apr 17 2013	570.00	22,991.23	18,461.16	0.00	4,530.07	4,530.07	LT
	Aug 16 2011	Apr 17 2013	169.00	6,816.70	5,259.99	0.00	1,556.71	1,556.71	LT
PRAXAIR, INC CMN SERIES	Sep 25 2012	Apr 17 2013	1,228.00	135,295.05	131,112.82	0.00	4,182.23	4,182.23	ST
PRECISION CASTPARTS CORP CMN	Dec 21 2011	Apr 17 2013	16.00	2,968.00	2,559.75	0.00	408.25	408.25	LT
	Jan 26 2012	Apr 17 2013	11.00	2,040.50	1,890.29	0.00	150.21	150.21	LT
	Jan 27 2012	Apr 17 2013	18.00	3,339.00	2,965.49	0.00	373.51	373.51	LT
	Mar 05 2012	Apr 17 2013	14.00	2,597.00	2,333.21	0.00	263.79	263.79	LT

Statement Detail
PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
PRICE T ROWE GROUP INC CMN	Jun 25 2012	Apr 17 2013	15.00	2,782.50	2,451.25	0.00	331.25	331.25	ST
	Jul 26 2012	Apr 17 2013	13.00	2,411.50	2,051.67	0.00	359.83	359.83	ST
	Sep 25 2012	Apr 17 2013	780.00	144,689.80	125,418.77	0.00	19,271.03	19,271.03	ST
	Oct 04 2012	Apr 17 2013	405.00	75,127.40	66,347.10	0.00	8,780.30	8,780.30	ST
	Oct 10 2012	Apr 17 2013	2.00	371.00	322.00	0.00	49.00	49.00	ST
	Sep 27 2012	Apr 17 2013	4,205.00	313,972.32	266,176.50	0.00	47,795.82	47,795.82	ST
PRICELINE.COM INC CMN	Jan 18 2013	Apr 17 2013	425.00	31,733.23	30,305.43	0.00	1,427.80	1,427.80	ST
	May 31 2011	Apr 17 2013	2.00	1,416.47	1,013.92	0.00	402.55	402.55	LT
	Aug 05 2011	Apr 17 2013	8.00	5,665.86	4,201.62	0.00	1,464.24	1,464.24	LT
	Aug 10 2011	Apr 17 2013	5.00	3,541.16	2,551.01	0.00	990.15	990.15	LT
	Oct 28 2011	Apr 17 2013	2.00	1,416.47	1,028.96	0.00	387.51	387.51	LT
	Jan 20 2012	Apr 17 2013	6.00	4,249.39	3,100.12	0.00	1,149.27	1,149.27	LT
	May 10 2012	Apr 17 2013	2.00	1,416.47	1,369.76	0.00	46.71	46.71	ST
	Aug 30 2012	Apr 17 2013	1.00	708.23	606.42	0.00	101.81	101.81	ST
	Sep 05 2012	Apr 17 2013	3.00	2,124.70	1,824.24	0.00	300.46	300.46	ST
	Sep 07 2012	Apr 17 2013	2.00	1,416.47	1,237.93	0.00	178.54	178.54	ST
	Sep 17 2012	Apr 17 2013	9.00	6,374.09	5,787.39	0.00	586.70	586.70	ST
	Sep 25 2012	Apr 17 2013	192.00	135,980.61	121,755.55	0.00	14,225.06	14,225.06	ST
	Sep 27 2012	Apr 17 2013	156.00	110,484.24	98,732.87	0.00	11,751.37	11,751.37	ST
	Mar 30 2010	Apr 17 2013	270.00	14,836.38	7,285.81	0.00	7,550.57	7,550.57	LT
QUAKER CHEMICAL CORP CMN	Jun 30 2010	Apr 17 2013	671.00	36,871.16	19,334.87	0.00	17,536.29	17,536.29	LT
	Aug 16 2011	Apr 17 2013	86.00	4,725.66	2,787.26	0.00	1,938.40	1,938.40	LT
	Sep 25 2012	Apr 17 2013	3,654.00	234,728.80	230,603.94	0.00	4,124.86	4,124.86	ST
	Sep 27 2012	Apr 17 2013	1,934.00	124,237.96	122,789.66	0.00	1,448.30	1,448.30	ST
QUALCOMM INC CMN	Sep 27 2012	Apr 17 2013	5,860.00	376,439.72	372,736.43	0.00	3,703.29	3,703.29	ST
	Sep 28 2012	Apr 17 2013	3,197.00	205,371.64	199,794.92	0.00	5,576.72	5,576.72	ST
	Jan 03 2013	Apr 17 2013	23.00	1,477.49	1,560.78	0.00	(83.29)	(83.29)	ST
	Jan 03 2013	Apr 17 2013	282.00	18,115.36	18,151.97	0.00	(36.61)	(36.61)	ST
	Jan 23 2013	Apr 17 2013	955.00	61,348.11	61,941.30	0.00	(593.19)	(593.19)	ST
	Jun 30 2010	Apr 17 2013	637.00	11,367.26	18,673.02	0.00	(7,305.76)	(7,305.76)	LT
	Aug 16 2011	Apr 17 2013	38.00	678.11	1,527.41	0.00	(849.30)	(849.30)	LT
QUALITY SYSTEMS INC CMN	Jul 13 2012	Apr 17 2013	748.00	13,348.06	20,129.65	0.00	(6,781.59)	(6,781.59)	ST
	Jul 16 2012	Apr 17 2013	773.00	13,794.18	20,415.08	0.00	(6,620.90)	(6,620.90)	ST
	Aug 10 2011	Apr 17 2013	28.00	4,723.02	3,608.94	0.00	1,114.08	1,114.08	LT

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
Sep 15 2011	Apr 17 2013	6.00	1,012.08	877.14	0.00	134.94	134.94	LT
Sep 22 2011	Apr 17 2013	12.00	2,024.15	1,727.73	0.00	296.42	296.42	LT
Sep 07 2012	Apr 17 2013	2.00	337.36	320.16	0.00	17.20	17.20	ST
Sep 11 2012	Apr 17 2013	28.00	4,723.02	4,339.69	0.00	383.33	383.33	ST
Sep 27 2012	Apr 17 2013	269.00	45,374.68	40,969.08	0.00	4,405.60	4,405.60	ST
Oct 12 2012	Apr 17 2013	45.00	7,590.56	6,998.59	0.00	591.97	591.97	ST
Dec 07 2012	Apr 17 2013	62.00	10,458.11	9,540.03	0.00	918.08	918.08	ST
Sep 27 2012	Apr 17 2013	458.00	32,914.85	32,225.06	0.00	689.79	689.79	ST
RANGE RESOURCES CORPORATION CMN								
Aug 16 2011	Apr 17 2013	449.00	25,469.71	18,439.31	0.00	7,030.40	7,030.40	LT
Aug 17 2011	Apr 17 2013	302.00	17,131.08	12,493.62	0.00	4,637.46	4,637.46	LT
Aug 18 2011	Apr 17 2013	306.00	17,357.98	12,272.25	0.00	5,085.73	5,085.73	LT
Sep 25 2012	Apr 17 2013	399.00	35,501.66	30,636.42	0.00	4,865.24	4,865.24	ST
RENAISSANCE RE HOLDINGS LTD CMN								
ROSS STORES, INC CMN								
Jun 29 2012	Apr 17 2013	44.00	2,773.43	2,739.00	0.00	34.43	34.43	ST
Aug 16 2012	Apr 17 2013	42.00	2,647.36	2,849.74	0.00	(202.38)	(202.38)	ST
Sep 27 2012	Apr 17 2013	336.00	21,178.88	21,993.12	0.00	(714.24)	(714.24)	ST
Sep 27 2012	Apr 17 2013	1,265.00	79,735.96	81,537.47	0.00	(1,801.51)	(1,801.51)	ST
Oct 16 2012	Apr 17 2013	179.00	11,282.80	11,238.23	0.00	44.57	44.57	ST
Oct 26 2012	Apr 17 2013	151.00	9,517.89	9,183.41	0.00	334.48	334.48	ST
Nov 16 2012	Apr 17 2013	196.00	12,354.35	10,496.90	0.00	1,857.45	1,857.45	ST
Jan 23 2013	Apr 17 2013	784.00	49,417.39	46,254.67	0.00	3,162.72	3,162.72	ST
RPC INC CMN								
Feb 21 2012	Apr 17 2013	925.00	12,908.73	10,209.01	0.00	2,699.72	2,699.72	LT
Feb 22 2012	Apr 17 2013	74.00	1,032.70	804.68	0.00	228.02	228.02	LT
Feb 22 2012	Apr 17 2013	786.00	10,968.93	8,753.73	0.00	2,215.20	2,215.20	LT
Sep 27 2012	Apr 17 2013	7,980.00	111,363.99	96,949.02	0.00	14,414.97	14,414.97	ST
Oct 17 2012	Apr 17 2013	121.00	1,688.60	1,441.39	0.00	247.21	247.21	ST
Oct 18 2012	Apr 17 2013	175.00	2,442.19	2,187.15	0.00	255.04	255.04	ST
Oct 19 2012	Apr 17 2013	200.00	2,791.08	2,445.26	0.00	345.82	345.82	ST
Oct 22 2012	Apr 17 2013	213.00	2,972.50	2,556.00	0.00	416.50	416.50	ST
Oct 23 2012	Apr 17 2013	102.00	1,423.45	1,181.08	0.00	242.37	242.37	ST
May 31 2011	Apr 17 2013	14.00	2,343.70	2,106.67	0.00	237.03	237.03	LT
SALESFORCE COM, INC CMN								



Statement Detail

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
Aug 29 2011	Apr 17 2013	13.00	2,176.29	1,997.38	0.00	178.91	178.91	LT
Oct 06 2011	Apr 17 2013	21.00	3,515.55	2,520.00	0.00	995.55	995.55	LT
Jan 20 2012	Apr 17 2013	9.00	1,506.66	1,029.39	0.00	477.27	477.27	LT
May 18 2012	Apr 17 2013	13.00	2,176.29	1,925.31	0.00	250.98	250.98	ST
Jun 15 2012	Apr 17 2013	18.00	3,013.33	2,381.10	0.00	632.23	632.23	ST
Jun 18 2012	Apr 17 2013	10.00	1,674.07	1,367.25	0.00	306.82	306.82	ST
Sep 25 2012	Apr 17 2013	1,013.00	169,583.34	154,845.86	0.00	14,737.48	14,737.48	ST
Sep 27 2012	Apr 17 2013	14.00	2,343.70	2,237.82	0.00	105.88	105.88	ST
Sep 27 2012	Apr 17 2013	558.00	93,413.13	85,689.27	0.00	7,723.86	7,723.86	ST
Sep 27 2012	Apr 17 2013	57.00	9,542.20	8,864.93	0.00	677.27	677.27	ST
Sep 28 2012	Apr 17 2013	60.00	10,044.42	9,166.36	0.00	878.06	878.06	ST
SANDISK CORP CMN	Oct 03 2011	1,395.00	77,216.80	56,338.37	0.00	20,878.43	20,878.43	LT
SAPIENT CORP. CMN	Nov 17 2010	1,683.00	18,972.87	20,010.53	0.00	(1,037.66)	(1,037.66)	LT
	Mar 04 2011	2,149.00	24,226.20	25,010.92	0.00	(784.72)	(784.72)	LT
	Mar 07 2011	899.00	10,134.65	10,362.95	0.00	(228.30)	(228.30)	LT
	Aug 16 2011	353.00	3,979.46	3,917.52	0.00	61.94	61.94	LT
SBA COMMUNICATIONS CORP CMN	Jun 25 2012	67.00	5,015.60	3,700.03	0.00	1,315.57	1,315.57	ST
	Jun 26 2012	63.00	4,716.16	3,527.99	0.00	1,188.17	1,188.17	ST
	Jun 27 2012	29.00	2,170.93	1,668.27	0.00	502.66	502.66	ST
	Sep 27 2012	605.00	45,290.12	37,670.93	0.00	7,619.19	7,619.19	ST
	Nov 27 2012	167.00	12,501.57	11,249.59	0.00	1,251.98	1,251.98	ST
SCHLUMBERGER LTD CMN	Sep 25 2012	1,204.00	85,391.55	87,817.59	0.00	(2,426.04)	(2,426.04)	ST
	Sep 28 2012	800.00	56,738.57	57,861.60	0.00	(1,123.03)	(1,123.03)	ST
SELECT COMFORT CORPORATION CMN	Aug 15 2011	338.00	5,897.97	5,087.24	0.00	810.73	810.73	LT
	Aug 16 2011	1,055.00	18,409.34	15,638.58	0.00	2,770.76	2,770.76	LT
	Aug 17 2011	328.00	5,723.47	4,802.12	0.00	921.35	921.35	LT
	Nov 06 2012	169.00	2,948.98	4,750.47	0.00	(1,801.49)	(1,801.49)	ST
	Nov 08 2012	238.00	4,153.01	6,361.29	0.00	(2,208.28)	(2,208.28)	ST
	Jan 08 2013	275.00	4,798.64	7,336.56	0.00	(2,537.92)	(2,537.92)	ST
SEMPRA ENERGY CMN	Jan 26 2012	1,090.00	88,543.07	63,492.50	0.00	25,050.57	25,050.57	LT
SIGNATURE BANK CMN	Nov 17 2010	99.00	7,631.13	4,236.36	0.00	3,394.77	3,394.77	LT
	Nov 18 2010	180.00	13,874.79	7,934.09	0.00	5,940.70	5,940.70	LT
	Aug 16 2011	83.00	6,397.82	4,417.51	0.00	1,980.31	1,980.31	LT
SIMON PROPERTY GROUP INC CMN	Oct 01 2012	465.00	80,305.32	69,990.55	0.00	10,314.77	10,314.77	ST

**PFF GSAM: TAX ADV LH (S&P500)**
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
SIMPSON MANUFACTURING CO INC CMN	Mar 30 2010	Apr 17 2013	60 00	1,817 95	1,713 87	0 00	104 08	104 08	LT
	Jun 30 2010	Apr 17 2013	825 00	24,996 77	20,438 55	0 00	4,558 22	4,558 22	LT
	Aug 16 2011	Apr 17 2013	110 00	3,332 90	2,867 08	0 00	465 82	465 82	LT
SIRIUS XM RADIO INC CMN	Oct 18 2012	Apr 17 2013	8,208 00	24,635 76	24,146 53	0 00	489 23	489 23	ST
	Oct 23 2012	Apr 17 2013	4,824 00	14,478 91	13,623 59	0 00	855 32	855 32	ST
	Oct 26 2012	Apr 17 2013	2,888 00	8,668 14	8,214 13	0 00	454 01	454 01	ST
SKYWEST, INC CMN	May 04 2012	Apr 17 2013	849 00	11,791 50	7,591 59	0 00	4,199 91	4,199 91	ST
	Jun 21 2012	Apr 17 2013	4,546 00	63,137 98	30,674 14	0 00	32,463 84	32,463 84	ST
SMITHFIELD FOODS INC CMN	Jul 31 2012	Apr 17 2013	89 00	2,243 41	1,648 28	0 00	595 13	595 13	ST
	Aug 10 2012	Apr 17 2013	1,394 00	35,138 33	25,565 96	0 00	9,572 37	9,572 37	ST
SOUTH JERSEY INDUSTRIES CMN	Jun 30 2010	Apr 17 2013	663 00	38,919 34	28,705 25	0 00	10,214 09	10,214 09	LT
	Aug 16 2011	Apr 17 2013	75 00	4,402 84	3,662 20	0 00	740 44	740 44	LT
SOUTHERN COPPER CORPORATION CMN	Nov 20 2012	Apr 17 2013	433 00	13,583 03	15,084 38	0 00	(1,501 35)	(1,501 35)	ST
	Nov 21 2012	Apr 17 2013	429 00	13,457 55	15,214 36	0 00	(1,756 81)	(1,756 81)	ST
	Nov 23 2012	Apr 17 2013	411 00	12,892 90	14,836 61	0 00	(1,943 71)	(1,943 71)	ST
SOUTHWEST AIRLINES CO CMN	Mar 16 2012	Apr 17 2013	3,313 00	43,544 76	27,664 87	0 00	15,879 89	15,879 89	LT
SPDR GOLD TRUST ETF	Nov 09 2010	Apr 17 2013	1,100 00	146,103 33	150,364 09	0 00	(4,260 76)	(4,260 76)	LT
	Mar 15 2011	Apr 17 2013	90 00	11,953 91	12,200 70	0 00	(246 79)	(246 79)	LT
SPDR S&P MIDCAP 400 ETF TRUST ETF	Nov 09 2010	Apr 17 2013	795 00	160,651 66	123,623 32	0 00	37,028 34	37,028 34	LT
	Mar 15 2011	Apr 17 2013	70 00	14,145 43	11,956 00	0 00	2,189 43	2,189 43	LT
STANLEY BLACK & DECKER INC CMN	Sep 27 2012	Apr 17 2013	3,500 00	267,434 61	266,029 05	0 00	1,405 56	1,405 56	ST
	Oct 17 2012	Apr 17 2013	1,885 00	144,032 64	129,840 87	0 00	14,191 77	14,191 77	ST
	Jan 23 2013	Apr 17 2013	330 00	25,215 26	25,513 49	0 00	(298 23)	(298 23)	ST
STARBUCKS CORP CMN	Sep 27 2012	Apr 17 2013	194 00	11,277 92	9,895 92	0 00	1,382 00	1,382 00	ST
	Sep 28 2012	Apr 17 2013	477 00	27,729 73	24,175 17	0 00	3,554 56	3,554 56	ST
	Oct 08 2012	Apr 17 2013	175 00	10,173 38	8,538 97	0 00	1,634 41	1,634 41	ST
	Oct 16 2012	Apr 17 2013	144 00	8,371 24	7,053 52	0 00	1,317 72	1,317 72	ST
	Oct 16 2012	Apr 17 2013	244 00	14,164 60	11,826 00	0 00	2,338 60	2,338 60	ST
	Oct 26 2012	Apr 17 2013	51 00	2,964 81	2,317 32	0 00	647 49	647 49	ST
	Nov 05 2012	Apr 17 2013	67 00	3,894 95	3,411 35	0 00	483 60	483 60	ST

PFF GSAM: TAX ADV LH (S&P500)

Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
STARWOOD HOTELS & RESORTS CMN	Nov 06 2012	Apr 17 2013	49.00	2,848.55	2,536.09	0.00	312.46	312.46	ST
	Sep 27 2012	Apr 17 2013	3,310.00	202,403.62	192,065.39	0.00	10,338.23	10,338.23	ST
	Jan 23 2013	Apr 17 2013	905.00	55,339.96	54,530.96	0.00	809.00	809.00	ST
SUPERIOR ENERGY SERVICES INC CMN	Jan 23 2013	Apr 17 2013	1,215.00	28,355.64	29,776.49	0.00	(1,420.85)	(1,420.85)	ST
	Mar 19 2013	Apr 17 2013	536.00	12,509.15	13,455.21	0.00	(946.06)	(946.06)	ST
	Mar 23 2012	Apr 17 2013	91.00	6,068.73	6,005.21	0.00	61.52	61.52	LT
SVB FINANCIAL GROUP CMN	Mar 26 2012	Apr 17 2013	133.00	8,866.76	8,900.00	0.00	(33.24)	(33.24)	LT
	Mar 27 2012	Apr 17 2013	310.00	20,666.90	20,780.73	0.00	(113.83)	(113.83)	LT
	Jan 08 2013	Apr 17 2013	138.00	9,200.10	8,289.03	0.00	911.07	911.07	ST
SYNTEL INC CMN	Jan 09 2013	Apr 17 2013	49.00	3,266.70	2,952.10	0.00	304.60	304.60	ST
	Sep 13 2012	Apr 17 2013	122.00	8,001.73	7,189.75	0.00	811.98	811.98	ST
	Sep 14 2012	Apr 17 2013	32.00	2,098.81	1,915.93	0.00	182.88	182.88	ST
	Sep 17 2012	Apr 17 2013	33.00	2,164.40	1,966.58	0.00	197.82	197.82	ST
	Sep 18 2012	Apr 17 2013	62.00	4,066.45	3,731.08	0.00	335.37	335.37	ST
	Sep 19 2012	Apr 17 2013	46.00	3,017.05	2,757.72	0.00	259.33	259.33	ST
	Sep 20 2012	Apr 17 2013	39.00	2,557.93	2,332.83	0.00	225.10	225.10	ST
	Sep 24 2012	Apr 17 2013	1.00	65.59	60.23	0.00	5.36	5.36	ST
	Nov 08 2012	Apr 17 2013	46.00	3,017.04	2,739.76	0.00	277.28	277.28	ST
	Nov 09 2012	Apr 17 2013	13.00	852.64	767.98	0.00	84.66	84.66	ST
	Nov 12 2012	Apr 17 2013	14.00	918.23	824.86	0.00	93.37	93.37	ST
	Nov 13 2012	Apr 17 2013	94.00	6,165.27	5,515.18	0.00	650.09	650.09	ST
TARGET CORPORATION CMN	Aug 23 2011	Apr 17 2013	1,135.00	78,019.63	57,431.00	0.00	20,588.63	20,588.63	LT
	Sep 28 2012	Apr 17 2013	3,155.00	216,873.94	199,762.30	0.00	17,111.64	17,111.64	ST
	Dec 31 2010	Apr 17 2013	221.00	8,064.22	5,413.83	0.00	2,670.39	2,670.39	LT
TEAM, INC CMN	Jan 03 2011	Apr 17 2013	293.00	10,717.99	7,241.14	0.00	3,476.85	3,476.85	LT
	Jan 04 2011	Apr 17 2013	502.00	18,363.25	12,319.88	0.00	6,043.37	6,043.37	LT
	Jan 05 2011	Apr 17 2013	205.00	7,498.94	5,227.97	0.00	2,270.97	2,270.97	LT
	Jan 07 2011	Apr 17 2013	165.00	6,035.73	4,271.06	0.00	1,764.67	1,764.67	LT
	Jan 11 2011	Apr 17 2013	282.00	10,315.61	7,399.99	0.00	2,915.62	2,915.62	LT
	Aug 16 2011	Apr 17 2013	78.00	2,853.25	1,779.41	0.00	1,073.84	1,073.84	LT
TECH DATA CORP CMN	Oct 18 2012	Apr 17 2013	173.00	7,526.92	7,717.46	0.00	(190.54)	(190.54)	ST
	Oct 19 2012	Apr 17 2013	143.00	6,221.68	6,321.19	0.00	(99.51)	(99.51)	ST
	Oct 23 2012	Apr 17 2013	323.00	14,063.16	14,191.39	0.00	(138.23)	(138.23)	ST
	Mar 19 2013	Apr 17 2013	243.00	10,572.50	12,078.44	0.00	(1,505.94)	(1,505.94)	ST

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
TECHNE CORP CMN	Feb 17 2012	Apr 17 2013	93.00	5,976.46	6,560.69	0.00	(584.23)	(584.23)	LT
	Mar 13 2012	Apr 17 2013	84.00	5,398.10	5,879.12	0.00	(481.02)	(481.02)	LT
	Mar 14 2012	Apr 17 2013	157.00	10,089.30	11,020.44	0.00	(931.14)	(931.14)	LT
	May 08 2012	Apr 17 2013	101.00	6,490.57	6,938.74	0.00	(448.17)	(448.17)	ST
	May 09 2012	Apr 17 2013	119.00	7,647.30	8,168.60	0.00	(521.30)	(521.30)	ST
	May 10 2012	Apr 17 2013	17.00	1,092.47	1,180.58	0.00	(88.11)	(88.11)	ST
TECHNOLOGY SELECT INDEX 'SPDR'	Nov 09 2010	Apr 17 2013	4,880.00	144,614.57	122,688.08	0.00	21,926.49	21,926.49	LT
	Mar 15 2011	Apr 17 2013	490.00	14,520.73	12,377.01	0.00	2,143.72	2,143.72	LT
TERADATA CORPORATION CMN	Feb 07 2012	Apr 17 2013	21.00	1,108.43	1,207.50	0.00	(99.07)	(99.07)	LT
	Feb 08 2012	Apr 17 2013	9.00	475.04	516.79	0.00	(41.75)	(41.75)	LT
	May 03 2012	Apr 17 2013	41.00	2,164.07	3,224.99	0.00	(1,060.92)	(1,060.92)	ST
	Jun 04 2012	Apr 17 2013	40.00	2,111.29	2,581.60	0.00	(470.31)	(470.31)	ST
	Jul 09 2012	Apr 17 2013	12.00	633.39	786.00	0.00	(152.61)	(152.61)	ST
	Sep 27 2012	Apr 17 2013	455.00	24,015.91	34,602.84	0.00	(10,586.93)	(10,586.93)	ST
	Nov 29 2012	Apr 17 2013	207.00	10,925.92	12,204.26	0.00	(1,278.34)	(1,278.34)	ST
	Mar 29 2011	Apr 17 2013	53.00	2,078.09	1,344.75	0.00	733.34	733.34	LT
TEXAS CAPITAL BANCSHARES, INC. CMN	Mar 30 2011	Apr 17 2013	17.00	666.56	432.98	0.00	233.58	233.58	LT
	Apr 01 2011	Apr 17 2013	123.00	4,822.75	3,242.58	0.00	1,580.17	1,580.17	LT
	Apr 05 2011	Apr 17 2013	26.00	1,019.44	683.54	0.00	335.90	335.90	LT
	Jun 01 2011	Apr 17 2013	652.00	25,564.48	16,224.76	0.00	9,339.72	9,339.72	LT
	Jun 02 2011	Apr 17 2013	102.00	3,999.35	2,522.53	0.00	1,476.82	1,476.82	LT
	Jun 03 2011	Apr 17 2013	168.00	6,587.17	4,126.09	0.00	2,461.08	2,461.08	LT
	Aug 16 2011	Apr 17 2013	53.00	2,078.09	1,393.65	0.00	684.44	684.44	LT
	May 10 2011	Apr 17 2013	257.00	10,180.31	10,675.70	0.00	(495.39)	(495.39)	LT
THE FRESH MARKET, INC CMN	May 11 2011	Apr 17 2013	85.00	3,367.03	3,530.52	0.00	(163.49)	(163.49)	LT
	Nov 02 2011	Apr 17 2013	339.00	13,428.50	13,641.53	0.00	(213.03)	(213.03)	LT
	Feb 29 2012	Apr 17 2013	314.00	18,220.32	18,199.82	0.00	20.50	20.50	LT
THE MOSAIC COMPANY CMN	Mar 01 2012	Apr 17 2013	190.00	11,025.03	11,006.24	0.00	18.79	18.79	LT
	Jun 05 2012	Apr 17 2013	514.00	29,825.62	23,858.03	0.00	5,967.59	5,967.59	ST
THE WILLIAMS COMPANIES, INC. CMN	May 01 2012	Apr 17 2013	1,960.00	72,430.96	67,722.53	0.00	4,708.43	4,708.43	ST



Statement Detail

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Dec 13 2012	Apr 17 2013	1,179.00	43,569.44	37,064.69	0.00	6,504.75	6,504.75	ST
	Dec 14 2012	Apr 17 2013	298.00	11,012.46	9,385.72	0.00	1,626.74	1,626.74	ST
THERMO FISHER SCIENTIFIC INC CMN	Mar 13 2012	Apr 17 2013	1,085.00	88,249.30	61,376.04	0.00	26,873.26	26,873.26	LT
THORATEC CORPORATION CMN	Sep 05 2012	Apr 17 2013	215.00	7,761.65	7,481.38	0.00	280.27	280.27	ST
	Sep 06 2012	Apr 17 2013	125.00	4,512.59	4,446.95	0.00	65.64	65.64	ST
	Sep 07 2012	Apr 17 2013	254.00	9,169.58	8,935.19	0.00	234.39	234.39	ST
	Sep 10 2012	Apr 17 2013	390.00	14,079.27	13,549.97	0.00	529.30	529.30	ST
	Sep 12 2012	Apr 17 2013	379.00	13,682.16	12,769.57	0.00	912.59	912.59	ST
	Sep 13 2012	Apr 17 2013	165.00	5,958.61	5,549.38	0.00	409.23	409.23	ST
TIME WARNER INC CMN	Dec 22 2010	Apr 17 2013	191.00	11,342.94	6,105.81	0.00	5,237.13	5,237.13	LT
TUX COMPANIES INC (NEW) CMN	Sep 27 2012	Apr 17 2013	2,967.00	140,519.31	132,666.14	0.00	7,853.17	7,853.17	ST
TRANSOCEAN LTD CMN	Sep 28 2012	Apr 17 2013	1,300.00	62,099.60	58,298.50	0.00	3,801.10	3,801.10	ST
TYSON FOODS INC CL-A CMN CLASS A	Aug 01 2012	Apr 17 2013	1,376.00	32,347.38	20,752.83	0.00	11,594.55	11,594.55	ST
ULTA SALON COSMETICS & FRAGRAN CMN	Feb 10 2012	Apr 17 2013	36.00	3,034.61	2,888.61	0.00	146.00	146.00	LT
	Feb 28 2012	Apr 17 2013	11.00	927.24	912.94	0.00	14.30	14.30	LT
	Apr 10 2012	Apr 17 2013	23.00	1,938.78	2,149.45	0.00	(210.67)	(210.67)	LT
	Apr 24 2012	Apr 17 2013	20.00	1,685.89	1,743.60	0.00	(57.71)	(57.71)	ST
	Sep 27 2012	Apr 17 2013	332.00	27,985.84	32,199.42	0.00	(4,213.58)	(4,213.58)	ST
	Nov 07 2012	Apr 17 2013	75.00	6,322.10	7,037.25	0.00	(715.15)	(715.15)	ST
ULTRA PETROLEUM CORP CMN	Oct 08 2012	Apr 17 2013	1,068.00	22,105.99	23,373.50	0.00	(1,266.51)	(1,266.51)	ST
	Oct 09 2012	Apr 17 2013	1,060.00	21,941.40	24,117.86	0.00	(2,176.46)	(2,176.46)	ST
	Jan 23 2013	Apr 17 2013	2,323.00	48,084.79	43,737.67	0.00	4,347.12	4,347.12	ST
UMB FINANCIAL CORP CMN	Jun 30 2010	Apr 17 2013	33.00	1,548.81	1,193.73	0.00	355.08	355.08	LT
	Jul 26 2010	Apr 17 2013	144.00	6,758.43	5,424.91	0.00	1,333.52	1,333.52	LT
	Jul 27 2010	Apr 17 2013	272.00	12,765.92	10,483.72	0.00	2,282.20	2,282.20	LT
	Jul 28 2010	Apr 17 2013	300.00	14,080.06	11,878.44	0.00	2,201.62	2,201.62	LT
	Aug 18 2010	Apr 17 2013	214.00	10,043.78	7,484.61	0.00	2,559.17	2,559.17	LT
	Aug 19 2010	Apr 17 2013	234.00	10,982.45	8,146.13	0.00	2,836.32	2,836.32	LT
	Aug 16 2011	Apr 17 2013	129.00	6,054.43	4,724.50	0.00	1,329.93	1,329.93	LT
UMPQUA HLDGS CORP CMN	Aug 05 2011	Apr 17 2013	1,941.00	23,126.10	21,411.95	0.00	1,714.15	1,714.15	LT
	Aug 08 2011	Apr 17 2013	1,551.00	18,479.44	16,255.26	0.00	2,224.18	2,224.18	LT
UNION PACIFIC CORP CMN	Jun 30 2010	Apr 17 2013	510.00	69,873.49	35,934.09	0.00	33,939.40	33,939.40	LT
	May 31 2011	Apr 17 2013	270.00	36,991.85	28,049.52	0.00	8,942.33	8,942.33	LT
	Sep 21 2011	Apr 17 2013	27.00	3,699.18	2,757.08	0.00	942.10	942.10	LT

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
UNITED NATURAL FOODS INC CMN	Sep 27 2012	Apr 17 2013	1,147.00	157,148.84	136,630.86	0.00	20,455.98	20,455.98	ST
	Jun 30 2010	Apr 17 2013	339.00	16,865.55	10,280.84	0.00	6,604.71	6,604.71	LT
	Dec 17 2010	Apr 17 2013	549.00	27,313.24	20,506.91	0.00	6,806.33	6,806.33	LT
	Aug 16 2011	Apr 17 2013	152.00	7,562.13	5,945.21	0.00	1,616.92	1,616.92	LT
UNITED TECHNOLOGIES CORP CMN	Sep 07 2006	Apr 17 2013	30.00	2,785.74	1,883.30	0.00	902.44	902.44	LT
	Oct 23 2006	Apr 17 2013	525.00	48,750.46	34,151.62	0.00	14,598.84	14,598.84	LT
	Aug 08 2008	Apr 17 2013	150.00	13,928.70	9,938.70	0.00	3,990.00	3,990.00	LT
	Mar 15 2011	Apr 17 2013	65.00	6,035.77	5,133.70	0.00	902.07	902.07	LT
	May 31 2011	Apr 17 2013	205.00	19,035.89	17,771.10	0.00	1,264.79	1,264.79	LT
	Sep 27 2012	Apr 17 2013	791.00	73,450.69	62,269.89	0.00	11,180.80	11,180.80	ST
	Aug 01 2011	Apr 17 2013	69.00	4,284.78	3,277.50	0.00	1,007.28	1,007.28	LT
UNITEDHEALTH GROUP INCORPORATE CMN	Sep 14 2011	Apr 17 2013	41.00	2,546.03	2,133.72	0.00	412.31	412.31	LT
	Sep 27 2012	Apr 17 2013	944.00	58,620.71	53,175.52	0.00	5,445.19	5,445.19	ST
	Oct 25 2012	Apr 17 2013	166.00	10,308.30	9,306.97	0.00	1,001.33	1,001.33	ST
	Sep 22 2010	Apr 17 2013	405.00	10,583.83	9,018.09	0.00	1,565.74	1,565.74	LT
	Jan 24 2012	Apr 17 2013	326.00	8,519.33	7,474.17	0.00	1,045.16	1,045.16	LT
UNUM GROUP CMN	Jan 25 2012	Apr 17 2013	392.00	10,244.10	9,046.03	0.00	1,198.07	1,198.07	LT
	Jan 26 2012	Apr 17 2013	1,372.00	35,854.36	31,422.78	0.00	4,431.58	4,431.58	LT
	Mar 08 2012	Apr 17 2013	1,278.00	33,397.86	29,952.61	0.00	3,445.25	3,445.25	LT
	Aug 09 2012	Apr 17 2013	1,456.00	38,049.52	28,144.92	0.00	9,904.60	9,904.60	ST
	Aug 10 2012	Apr 17 2013	849.00	22,166.84	16,433.33	0.00	5,733.51	5,733.51	ST
	Sep 25 2012	Apr 17 2013	1,800.00	107,336.81	84,224.52	0.00	23,112.29	23,112.29	ST
	Nov 06 2012	Apr 17 2013	205.00	12,224.47	10,269.31	0.00	1,955.16	1,955.16	ST
VERISK ANALYTICS INC CMN CLASS A	May 01 2012	Apr 17 2013	440.00	74,262.32	67,690.78	0.00	6,571.54	6,571.54	ST
VIACOM INC CMN CLASS B	Jun 30 2010	Apr 17 2013	2,320.00	151,600.94	74,255.78	0.00	77,345.16	77,345.16	LT
VISA INC CMN CLASS A	Sep 27 2012	Apr 17 2013	821.00	133,535.77	109,995.36	0.00	23,540.41	23,540.41	ST
VITAMIN SHOPPE, INC CMN	Apr 04 2012	Apr 17 2013	180.00	9,205.95	7,928.05	0.00	1,277.90	1,277.90	LT
	Apr 05 2012	Apr 17 2013	229.00	11,712.01	10,211.59	0.00	1,500.42	1,500.42	LT
	Apr 09 2012	Apr 17 2013	175.00	8,950.23	7,717.20	0.00	1,233.03	1,233.03	LT
	Apr 10 2012	Apr 17 2013	109.00	5,574.71	4,814.14	0.00	760.57	760.57	LT

PFF GSAM: TAX ADV LH (S&P500) Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
VMWARE INC CMN CLASS A	Sep 25 2012	Apr 17 2013	1,175.00	90,041.40	113,994.74	0.00	(23,953.34)	(23,953.34)	ST
	Nov 06 2012	Apr 17 2013	255.00	19,540.90	23,438.58	0.00	(3,897.68)	(3,897.68)	ST
	Nov 07 2012	Apr 17 2013	260.00	19,924.05	23,556.86	0.00	(3,632.81)	(3,632.81)	ST
W W GRAINGER INCORPORATED CMN	Oct 17 2012	Apr 17 2013	69.00	16,470.24	14,490.00	0.00	1,980.24	1,980.24	ST
	Nov 06 2012	Apr 17 2013	45.00	10,741.46	9,160.20	0.00	1,581.26	1,581.26	ST
	Nov 12 2012	Apr 17 2013	48.00	11,457.56	9,311.99	0.00	2,145.57	2,145.57	ST
	Nov 27 2012	Apr 17 2013	33.00	7,877.07	6,285.50	0.00	1,591.57	1,591.57	ST
	Dec 03 2012	Apr 17 2013	47.00	11,218.86	9,120.93	0.00	2,097.93	2,097.93	ST
WADDELL & REED FIN , INC CLASS A COMMON	Sep 27 2012	Apr 17 2013	719.00	28,227.30	23,501.74	0.00	4,725.56	4,725.56	ST
WALGREEN CO CMN	Jun 07 2011	Apr 17 2013	597.00	28,984.58	25,879.95	0.00	3,104.63	3,104.63	LT
WALT DISNEY COMPANY (THE) CMN	Mar 15 2010	Apr 17 2013	1,460.00	88,340.72	49,143.58	0.00	39,197.14	39,197.14	LT
	Mar 15 2011	Apr 17 2013	130.00	7,865.96	5,366.14	0.00	2,499.82	2,499.82	LT
	Sep 28 2012	Apr 17 2013	3,826.00	231,501.09	199,830.83	0.00	31,670.26	31,670.26	ST
	Jan 23 2013	Apr 17 2013	458.00	27,712.36	24,588.14	0.00	3,124.22	3,124.22	ST
	Mar 30 2010	Apr 17 2013	309.00	13,711.94	9,886.45	0.00	4,025.49	4,025.49	LT
WATTS WATER TECHNOLOGIES INC CMN CLASS A	Jun 30 2010	Apr 17 2013	756.00	33,547.65	21,938.19	0.00	11,609.46	11,609.46	LT
	Aug 16 2011	Apr 17 2013	103.00	4,570.65	2,828.34	0.00	1,742.31	1,742.31	LT
	Jul 24 2012	Apr 17 2013	923.00	11,224.44	12,095.18	0.00	(870.74)	(870.74)	ST
	Aug 30 2012	Apr 17 2013	353.00	4,292.77	4,968.96	0.00	(676.19)	(676.19)	ST
WEATHERFORD INTERNATIONAL LTD CMN	Aug 30 2012	Apr 17 2013	3,677.00	44,715.36	51,758.87	0.00	(7,043.51)	(7,043.51)	ST
	Sep 28 2012	Apr 17 2013	4,600.00	55,939.80	57,960.00	0.00	(2,020.20)	(2,020.20)	ST
	Nov 08 2012	Apr 17 2013	1,305.00	15,869.88	14,047.28	0.00	1,822.60	1,822.60	ST
	Nov 13 2012	Apr 17 2013	879.00	10,689.37	8,230.69	0.00	2,458.68	2,458.68	ST
	Dec 04 2012	Apr 17 2013	303.00	12,392.36	15,151.92	0.00	(2,759.56)	(2,759.56)	ST
WEIGHT WATCHERS INTERNATIONAL, INC CMN	Dec 05 2012	Apr 17 2013	95.00	3,885.39	4,765.74	0.00	(880.35)	(880.35)	ST
	Dec 11 2012	Apr 17 2013	362.00	14,805.39	19,056.15	0.00	(4,250.76)	(4,250.76)	ST
	Sep 27 2012	Apr 17 2013	4,764.00	174,262.74	165,514.22	0.00	8,748.52	8,748.52	ST
WELLS FARGO & CO (NEW) CMN	Oct 18 2012	Apr 17 2013	2,050.00	74,987.12	71,072.51	0.00	3,914.61	3,914.61	ST
	Dec 17 2012	Apr 17 2013	679.00	24,837.20	23,038.47	0.00	1,798.73	1,798.73	ST
	Dec 19 2012	Apr 17 2013	408.00	14,924.27	14,250.62	0.00	673.65	673.65	ST
	Dec 20 2012	Apr 17 2013	260.00	9,510.56	9,074.00	0.00	436.56	436.56	ST
WEST PHARMACEUTICAL SERVICES INC	Jun 30 2010	Apr 17 2013	262.00	16,489.62	9,518.10	0.00	6,971.52	6,971.52	LT
	Dec 21 2010	Apr 17 2013	93.00	5,853.19	3,860.42	0.00	1,992.77	1,992.77	LT
	Dec 22 2010	Apr 17 2013	51.00	3,209.81	2,125.40	0.00	1,084.41	1,084.41	LT

PFF GSAM: TAX ADV LH (S&P500) Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Dec 23 2010	Apr 17 2013	150.00	9,440.62	6,301.94	0.00	3,138.68	3,138.68	LT
	Dec 27 2010	Apr 17 2013	98.00	6,167.87	4,135.91	0.00	2,031.96	2,031.96	LT
	Dec 28 2010	Apr 17 2013	14.00	881.13	585.27	0.00	295.86	295.86	LT
	Aug 16 2011	Apr 17 2013	215.00	13,531.56	8,735.36	0.00	4,796.20	4,796.20	LT
WESTERN DIGITAL CORPORATION CMN	Sep 27 2012	Apr 17 2013	2,595.00	131,412.78	101,165.30	0.00	30,247.48	30,247.48	ST
	Oct 16 2012	Apr 17 2013	741.00	37,524.81	26,898.89	0.00	10,625.92	10,625.92	ST
	Oct 23 2012	Apr 17 2013	281.00	14,230.05	9,694.50	0.00	4,535.55	4,535.55	ST
	Apr 20 2011	Apr 17 2013	21.00	1,520.97	1,112.64	0.00	408.33	408.33	LT
WEX INC CMN	Apr 21 2011	Apr 17 2013	122.00	8,836.09	6,572.96	0.00	2,263.13	2,263.13	LT
	Apr 25 2011	Apr 17 2013	275.00	19,917.42	14,892.85	0.00	5,024.57	5,024.57	LT
	Aug 16 2011	Apr 17 2013	62.00	4,490.47	3,499.32	0.00	991.15	991.15	LT
	Aug 24 2011	Apr 17 2013	19.00	1,376.11	755.04	0.00	621.07	621.07	LT
	Aug 24 2011	Apr 17 2013	92.00	6,663.28	4,878.36	0.00	1,784.92	1,784.92	LT
	Aug 24 2011	Apr 17 2013	115.00	8,329.10	6,068.52	0.00	2,260.58	2,260.58	LT
	Aug 24 2011	Apr 17 2013	334.00	24,190.61	17,296.78	0.00	6,893.83	6,893.83	LT
	Sep 28 2012	Apr 17 2013	2,058.00	176,547.12	199,929.76	0.00	(23,382.64)	(23,382.64)	ST
	Jun 30 2010	Apr 17 2013	725.00	26,697.95	28,546.51	0.00	(1,848.56)	(1,848.56)	LT
	Dec 08 2010	Apr 17 2013	198.00	7,291.30	8,958.23	0.00	(1,666.93)	(1,666.93)	LT
WINDSTREAM CORPORATION CMN	Dec 09 2010	Apr 17 2013	83.00	3,056.46	3,713.77	0.00	(657.31)	(657.31)	LT
	Aug 16 2011	Apr 17 2013	66.00	2,430.43	3,068.54	0.00	(638.11)	(638.11)	LT
	Jan 02 2013	Apr 17 2013	10,651.00	90,843.53	92,780.86	0.00	(1,937.33)	(1,937.33)	ST
	Dec 14 2011	Apr 17 2013	648.00	30,107.09	22,086.75	0.00	8,020.34	8,020.34	LT
WOLVERINE WORLD WIDE CMN	Dec 15 2011	Apr 17 2013	258.00	11,987.08	8,947.13	0.00	3,039.95	3,039.95	LT
	Jul 13 2012	Apr 17 2013	250.00	11,615.39	10,524.88	0.00	1,090.51	1,090.51	ST
	Oct 09 2012	Apr 17 2013	178.00	8,270.16	7,841.27	0.00	428.89	428.89	ST
	Oct 10 2012	Apr 17 2013	53.00	2,462.46	2,315.62	0.00	146.84	146.84	ST
WYNN RESORTS, LIMITED CMN	Sep 27 2012	Apr 17 2013	3,225.00	400,068.40	352,719.86	0.00	47,348.54	47,348.54	ST
XCEL ENERGY INC CMN	Jan 26 2012	Apr 17 2013	2,315.00	70,213.08	63,695.30	0.00	6,517.78	6,517.78	LT
YUM BRANDS, INC CMN	Sep 27 2012	Apr 17 2013	1,390.00	92,080.16	93,526.16	0.00	(1,446.00)	(1,446.00)	ST
	Dec 20 2012	Apr 17 2013	410.00	27,160.33	27,173.34	0.00	(13.01)	(13.01)	ST



Statement Detail

PFF GSAM: TAX ADV LH (S&P500)
Realized Gains and Losses (Continued)

	Period Ended December 31, 2013				
	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
SHORT TERM GAINS	18,000,572.39	15,597,521.48	0.00	2,403,050.91	2,403,050.91
SHORT TERM LOSSES	5,065,076.86	5,988,196.46	0.00	(923,119.60)	(923,119.60)
NET SHORT TERM GAINS (LOSSES)	23,065,649.25	21,585,717.94	0.00	1,479,931.31	1,479,931.31
LONG TERM GAINS	7,501,308.51	5,531,094.84	0.00	1,970,213.67	1,970,213.67
LONG TERM LOSSES	1,546,662.42	1,799,247.94	0.00	(252,585.52)	(252,585.52)
NET LONG TERM GAINS (LOSSES)	9,047,970.93	7,330,342.78	0.00	1,717,628.15	1,717,628.15

PFF BELOW THE LINE Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
AEON CO., LTD. ADR CMN	Sep 25 2012	Apr 16 2013	5,516.00	76,698.25	63,599.47	0.00	13,098.78	13,098.78	ST
AGEAS SPONSORED ADR CMN	Jan 25 2011	Apr 16 2013	512.00	17,569.35	14,844.07	0.00	2,725.28	2,725.28	LT
	May 04 2012	Apr 16 2013	564.00	19,353.73	10,259.10	0.00	9,094.63	9,094.63	ST
AOZORA BANK, LTD. SPONSORED ADR CMN	Jan 17 2013	Apr 16 2013	543.00	31,561.16	28,736.27	0.00	2,824.89	2,824.89	ST
	Jan 23 2013	Apr 16 2013	98.00	5,696.12	5,262.41	0.00	433.71	433.71	ST
ASSOCIATED BRITISH FOOD PLC ADR (NEW)	Dec 21 2010	Apr 16 2013	408.00	11,433.94	7,356.81	0.00	4,077.13	4,077.13	LT
	May 29 2012	Apr 16 2013	754.00	21,130.37	14,209.36	0.00	6,921.01	6,921.01	ST
AUSTRALIA & NEWZEALAND BANKING GRP LTD CMN ADR	Sep 25 2012	Apr 16 2013	1,783.00	53,533.36	45,644.80	0.00	7,888.56	7,888.56	ST
AXA-UAP AMERICAN DEPOSITORY SHARES	Sep 28 2012	Apr 16 2013	800.00	14,195.68	11,920.00	0.00	2,275.68	2,275.68	ST
BAE SYSTEMS PLC SPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	2,941.00	70,508.88	62,764.76	0.00	7,744.12	7,744.12	ST
BANHO CORPORATION CMN	Aug 11 2010	Apr 16 2013	3,113.00	3,512.94	5,550.79	0.00	(2,037.85)	(2,037.85)	LT
	Dec 29 2011	Apr 16 2013	2,655.00	2,998.10	9,454.46	0.00	(6,458.36)	(6,458.36)	LT
	Nov 29 2012	Apr 16 2013	2,105.00	2,375.44	7,051.75	0.00	(4,676.31)	(4,676.31)	ST
	Dec 13 2012	Apr 16 2013	3,609.00	4,072.66	10,864.89	0.00	(6,792.23)	(6,792.23)	ST
	Jan 04 2013	Apr 16 2013	1,990.00	2,245.66	5,318.87	0.00	(3,073.21)	(3,073.21)	ST
	Mar 19 2013	Apr 16 2013	9,268.00	10,458.70	18,072.60	0.00	(7,613.90)	(7,613.90)	ST
BASF SE ADR SPONSORED ADR CMN USD0.7322	Sep 28 2012	Apr 16 2013	250.00	22,492.84	21,133.00	0.00	1,359.84	1,359.84	ST
BAYER AG-SPONSORED ADR SPONSORED ADR CMN	Jul 31 2012	Apr 16 2013	82.00	8,779.95	6,274.14	0.00	2,505.81	2,505.81	ST
BELLE INTERNATIONAL HOLDINGS I ADR CMN	Jan 10 2011	Apr 16 2013	666.00	11,065.67	11,813.88	0.00	(748.21)	(748.21)	LT
	Mar 05 2012	Apr 16 2013	1,265.00	21,018.13	21,959.30	0.00	(841.17)	(841.17)	LT
BROOKFIELD ASSET MANAGEMENT IN CMN	Sep 28 2012	Apr 16 2013	350.00	12,839.46	12,094.39	0.00	745.07	745.07	ST
CAP GEMINI ADR CMN	Sep 25 2012	Apr 16 2013	1,414.00	31,666.80	30,839.34	0.00	827.46	827.46	ST
CARLSBERG AS SPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	3,431.00	67,983.73	63,301.95	0.00	4,681.78	4,681.78	ST

PFF BELOW THE LINE
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CENTRAIS ELETRICAS BRASILEIRAS S A SPON ADR REPOSTG 50 PF CL-B	May 30 2012	Apr 16 2013	884.00	4,582.02	7,761.52	0.00	(3,179.50)	(3,179.50)	ST
	Sep 11 2012	Apr 16 2013	1,549.00	8,028.90	18,666.70	0.00	(10,637.80)	(10,637.80)	ST
	Sep 11 2012	Apr 16 2013	1,054.00	5,463.18	12,514.04	0.00	(7,050.86)	(7,050.86)	ST
	Dec 10 2012	Apr 16 2013	910.00	4,716.78	7,090.12	0.00	(2,373.34)	(2,373.34)	ST
	Dec 10 2012	Apr 16 2013	238.00	1,233.62	1,069.71	0.00	163.91	163.91	ST
CENTRICA PLC SPONSORED ADR CMN	Aug 11 2011	Apr 16 2013	447.00	10,372.40	8,560.27	0.00	1,812.13	1,812.13	LT
	Nov 15 2011	Apr 16 2013	320.00	7,425.43	6,190.18	0.00	1,235.25	1,235.25	LT
	Dec 01 2011	Apr 16 2013	525.00	12,182.35	10,018.84	0.00	2,163.51	2,163.51	LT
	Mar 06 2012	Apr 16 2013	50.00	1,160.22	982.72	0.00	177.50	177.50	LT
	Mar 06 2012	Apr 16 2013	692.00	16,057.50	13,600.84	0.00	2,456.66	2,456.66	LT
COMPASS GROUP PLC SPONSORED ADR CMN	Apr 25 2012	Apr 16 2013	814.00	18,888.44	16,312.89	0.00	2,575.55	2,575.55	ST
	Dec 13 2011	Apr 16 2013	1,315.00	16,514.64	12,122.20	0.00	4,492.44	4,492.44	LT
	May 17 2012	Apr 16 2013	842.00	10,638.43	8,392.55	0.00	2,245.88	2,245.88	ST
	May 21 2012	Apr 16 2013	1,195.00	22,449.59	19,847.75	0.00	2,601.84	2,601.84	ST
	Sep 25 2012	Apr 16 2013	10,994.00	66,787.04	62,995.62	0.00	3,791.42	3,791.42	ST
DAIICHI SANKYO CO LTD SPONSORED ADR CMN	Nov 15 2011	Apr 16 2013	28.00	4,188.01	3,072.56	0.00	1,115.45	1,115.45	LT
	Feb 09 2012	Apr 16 2013	258.00	38,589.48	31,111.52	0.00	7,477.96	7,477.96	LT
	Nov 24 2010	Apr 16 2013	42.00	6,477.30	3,684.78	0.00	2,792.52	2,792.52	LT
	Jan 06 2011	Apr 16 2013	166.00	25,600.77	15,207.63	0.00	10,393.14	10,393.14	LT
	Mar 16 2011	Apr 16 2013	162.00	24,983.89	14,371.93	0.00	10,611.96	10,611.96	LT
DON QUIJOTE CO LTD UNSPONSORED ADR CMN	Jun 29 2011	Apr 16 2013	109.00	16,810.15	11,067.18	0.00	5,742.97	5,742.97	LT
	Nov 21 2012	Apr 16 2013	32.00	4,935.09	3,792.98	0.00	1,142.11	1,142.11	ST
	Dec 09 2010	Apr 16 2013	337.00	5,053.20	4,681.64	0.00	371.56	371.56	LT
	Dec 10 2010	Apr 16 2013	731.00	10,961.09	10,169.31	0.00	791.78	791.78	LT
	Dec 07 2011	Apr 16 2013	216.00	3,238.85	3,044.50	0.00	194.35	194.35	LT
ENERGY DEVELOPMENT CORPORATION ADR	Sep 28 2012	Apr 16 2013	400.00	6,612.76	6,137.20	(256.49)	732.05	475.56	ST
	Mar 30 2010	Apr 16 2013	19.00	801.31	610.85	0.00	190.46	190.46	LT
	Jul 05 2011	Apr 16 2013	552.00	23,280.07	20,176.15	0.00	3,103.92	3,103.92	LT
	May 14 2012	Apr 16 2013	129.00	5,440.45	5,696.46	0.00	(256.01)	(256.01)	ST
	Sep 25 2012	Apr 16 2013	2,882.00	101,544.99	49,210.15	0.00	52,334.84	52,334.84	ST
ENSIGN ENERGY SVGS INC CMN	Nov 01 2012	Apr 16 2013	176.00	7,720.07	8,851.74	0.00	(1,131.67)	(1,131.67)	ST
	Nov 05 2012	Apr 16 2013	95.00	4,167.08	4,816.82	0.00	(649.74)	(649.74)	ST
	Nov 06 2012	Apr 16 2013	148.00	6,491.87	7,458.27	0.00	(966.40)	(966.40)	ST
	Nov 07 2012	Apr 16 2013	169.00	7,413.02	8,574.81	0.00	(1,161.79)	(1,161.79)	ST
									ST
FAMILYMART CO , LTD UNSPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	2,882.00	101,544.99	49,210.15	0.00	52,334.84	52,334.84	ST
	Nov 01 2012	Apr 16 2013	176.00	7,720.07	8,851.74	0.00	(1,131.67)	(1,131.67)	ST
	Nov 05 2012	Apr 16 2013	95.00	4,167.08	4,816.82	0.00	(649.74)	(649.74)	ST
	Nov 06 2012	Apr 16 2013	148.00	6,491.87	7,458.27	0.00	(966.40)	(966.40)	ST
	Nov 07 2012	Apr 16 2013	169.00	7,413.02	8,574.81	0.00	(1,161.79)	(1,161.79)	ST
FUJII HEAVY IND LTD ADR CMN	Sep 25 2012	Apr 16 2013	2,882.00	101,544.99	49,210.15	0.00	52,334.84	52,334.84	ST
	Nov 01 2012	Apr 16 2013	176.00	7,720.07	8,851.74	0.00	(1,131.67)	(1,131.67)	ST
	Nov 05 2012	Apr 16 2013	95.00	4,167.08	4,816.82	0.00	(649.74)	(649.74)	ST
	Nov 06 2012	Apr 16 2013	148.00	6,491.87	7,458.27	0.00	(966.40)	(966.40)	ST
	Nov 07 2012	Apr 16 2013	169.00	7,413.02	8,574.81	0.00	(1,161.79)	(1,161.79)	ST
GOLDEN AGRI-RESOURCES LTD UNSPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	2,882.00	101,544.99	49,210.15	0.00	52,334.84	52,334.84	ST
	Nov 01 2012	Apr 16 2013	176.00	7,720.07	8,851.74	0.00	(1,131.67)	(1,131.67)	ST
	Nov 05 2012	Apr 16 2013	95.00	4,167.08	4,816.82	0.00	(649.74)	(649.74)	ST
	Nov 06 2012	Apr 16 2013	148.00	6,491.87	7,458.27	0.00	(966.40)	(966.40)	ST
	Nov 07 2012	Apr 16 2013	169.00	7,413.02	8,574.81	0.00	(1,161.79)	(1,161.79)	ST

Statement Detail
PFF BELOW THE LINE
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
GRUPO FINANCIERO SANTANDER MEX SPONSORED ADR CMN	Nov 15 2012	Apr 16 2013	1,465 00	22,918 52	19,960 34	0.00	2,958 18	2,958 18	ST
GUOCO GROUP LTD UNSPONSORED ADR CMN	Jul 21 2010	Apr 16 2013	582.00	14,279 04	11,408 13	0.00	2,870 91	2,870 91	LT
HANG SENG BANK LTD SPON ADR SPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	2,040 00	32,262 89	30,954 14	0.00	1,308 75	1,308 75	ST
IMPALA PLATINUM HOLDINGS LIMIT	Feb 22 2012	Apr 16 2013	601 00	7,701 64	12,579 89	0.00	(4,878 25)	(4,878 25)	LT
SPONSORED ADR CMN	Mar 06 2012	Apr 16 2013	1,442 00	18,478 81	30,266 71	0.00	(11,787 90)	(11,787 90)	LT
IMPERIAL TOBACCO GROUP PLC SPON ADR	Sep 25 2012	Apr 16 2013	511 00	35,746 19	38,830 89	0.00	(3,084 70)	(3,084 70)	ST
INPEX CORPORATION UNSPONSORED ADR CMN	Feb 02 2011	Apr 16 2013	100 00	1,229 53	1,674 79	0.00	(445 26)	(445 26)	LT
	May 27 2011	Apr 16 2013	844 00	10,377 25	14,742 38	0.00	(4,365 13)	(4,365 13)	LT
	Dec 07 2011	Apr 16 2013	688 00	8,459 18	11,736 80	0.00	(3,277 62)	(3,277 62)	LT
ISRAEL CHEMICALS LTD UNSPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	2,585 00	30,489 38	30,890 75	0.00	(401 37)	(401 37)	ST
JAPAN STL WKS LTD ADR CMN	May 07 2012	Apr 16 2013	467 00	23,342 79	27,555 05	0.00	(4,212 26)	(4,212 26)	ST
JARDINE MATHESON HOLDINGS LTD ADR CMN	Jun 17 2009	Apr 16 2013	134 00	8,884 67	3,268 85	0.00	5,615 82	5,615 82	LT
	Mar 30 2010	Apr 16 2013	554 00	36,732 14	18,448 20	0.00	18,283 94	18,283 94	LT
	Mar 29 2012	Apr 16 2013	168 00	11,138 99	8,380 71	0.00	2,758 28	2,758 28	LT
KDDI CORP UNSPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	6,654 00	69,965 23	63,032 67	0.00	6,932 56	6,932 56	ST
KIRIN HOLDINGS COMPANY, LIMITE SPONSORED ADR CMN	Mar 30 2010	Apr 16 2013	159 00	2,713 27	2,329 35	0.00	383 92	383 92	LT
	Oct 01 2010	Apr 16 2013	1,389 00	23,702 75	19,832 00	0.00	3,870 75	3,870 75	LT
	Apr 09 2012	Apr 16 2013	474 00	8,088 63	5,964 34	0.00	2,124 29	2,124 29	LT
	May 18 2012	Apr 16 2013	884 00	15,085 12	10,131 97	0.00	4,953 15	4,953 15	ST
KOC HLDG UNSPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	1,497 00	44,048 23	30,508 86	0.00	13,539 37	13,539 37	ST
KOMATSU LTD ADR (NEW) SPONSORED GDS CMN	Sep 25 2012	Apr 16 2013	1,569 00	38,761 27	30,815 16	0.00	7,946 11	7,946 11	ST
MARKS AND SPENCER P L C SPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	2,575 00	30,809 17	30,719 75	0.00	89 42	89 42	ST
MILLICOM INTL CELLULAR S.A. CMN	Mar 19 2013	Apr 16 2013	369 00	30,414 13	29,293 84	0.00	1,120 29	1,120 29	ST
MITSUBISHI ESTATE LTD ADR ADR CMN	Jul 06 2011	Apr 16 2013	406 00	13,314 47	7,382 50	0.00	5,931 97	5,931 97	LT
	Jul 21 2011	Apr 16 2013	1,370 00	44,928 14	25,392 40	0.00	19,535 74	19,535 74	LT
	Apr 12 2012	Apr 16 2013	570 00	18,692 73	9,725 34	0.00	8,967 39	8,967 39	LT

PFF BELOW THE LINE
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
MITSUI & CO LTD ADR CMN	Sep 25 2012	Apr 16 2013	212.00	57,721.00	63,061.50	0.00	(5,340.50)	(5,340.50)	ST
MURRAY & ROBERTS HOLDINGS LTD SPONSORED ADR CMN	Aug 03 2011 Aug 17 2012 Oct 04 2012	Apr 16 2013 Apr 16 2013 Apr 16 2013	4,471.00 5,972.00 2,980.00	10,475.76 13,992.67 6,982.28	23,766.83 16,679.20 13,558.87	0.00 0.00 0.00	(13,291.07) (2,686.53) (6,576.59)	(13,291.07) (2,686.53) (6,576.59)	LT ST ST
NEWCREST MINING LIMITED SPONSORED ADR CMN	Mar 30 2009 Mar 30 2010 Sep 12 2011	Apr 16 2013 Apr 16 2013 Apr 16 2013	313.00 733.00 324.00	5,550.93 12,999.46 5,746.01	6,811.85 22,349.17 13,483.58	0.00 0.00 0.00	(1,260.92) (9,349.71) (7,737.57)	(1,260.92) (9,349.71) (7,737.57)	LT LT LT
NISSAN MOTOR CO LTD SPONSORED ADR	Feb 23 2012 Mar 13 2012 Aug 24 2012 Nov 20 2012 Nov 21 2012 Dec 19 2012	Apr 16 2013 Apr 16 2013 Apr 16 2013 Apr 16 2013 Apr 16 2013 Apr 16 2013	418.00 1,128.00 670.00 198.00 61.00 458.00	8,495.66 22,926.08 13,617.44 4,024.26 1,239.80 9,308.64	8,547.14 23,228.45 14,832.39 3,657.77 1,140.29 9,116.81	0.00 0.00 0.00 0.00 0.00 0.00	(51.48) (302.37) (1,214.95) 366.49 99.51 191.83	(51.48) (302.37) (1,214.95) 366.49 99.51 191.83	LT LT ST ST ST ST
NITTO DENKO CORP ADR CMN	Sep 25 2012	Apr 16 2013	2,505.00	82,249.82	59,869.50	0.00	22,380.32	22,380.32	ST
OPEN JOINT STOCK COMPANY RUSHY SPONSORED ADR CMN	May 30 2012	Apr 16 2013	10,290.00	15,383.19	24,654.84	0.00	(9,271.65)	(9,271.65)	ST
PETROBRAS ENERGIA S.A. SPONSORED ADR CMN	Mar 30 2010 Apr 20 2012	Apr 16 2013 Apr 16 2013	422.00 5,890.00	1,872.54 26,135.69	3,518.64 35,070.83	0.00 0.00	(1,646.10) (8,935.14)	(1,646.10) (8,935.14)	LT ST
ROYAL DUTCH SHELL PLC SPONSORED ADR CMN, SERIES A	Sep 25 2012	Apr 16 2013	938.00	60,917.04	66,991.96	0.00	(6,074.92)	(6,074.92)	ST
SAGE GROUP PLC (THE) ADR CMN	Sep 25 2012	Apr 16 2013	1,498.00	31,403.81	30,870.93	0.00	532.88	532.88	ST
SEGA SAMMY HOLDINGS INC SPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	6,436.00	33,305.55	30,972.61	0.00	2,332.94	2,332.94	ST
SHISEIDO CO., LTD. SPONSORED ADR CMN	Jun 30 2011 May 31 2012 Nov 08 2012 Nov 09 2012 Dec 19 2012 Dec 20 2012	Apr 16 2013 Apr 16 2013 Apr 16 2013 Apr 16 2013 Apr 16 2013 Apr 16 2013	1,095.00 393.00 139.00 687.00 403.00 154.00	16,769.55 6,018.66 2,128.74 10,521.17 6,171.81 2,358.46	20,431.61 6,143.61 1,820.59 9,279.24 5,673.23 2,183.07	0.00 0.00 0.00 0.00 0.00 0.00	(3,662.06) (124.95) 308.15 1,241.93 498.58 175.39	(3,662.06) (124.95) 308.15 1,241.93 498.58 175.39	LT ST ST ST ST ST
SK TELECOM CO., LTD. SPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	4,412.00	81,113.68	64,555.94	0.00	16,557.74	16,557.74	ST
SODASTREAM INTERNATIONAL LTD. CMN	Nov 02 2011 Dec 20 2011 Dec 21 2011 Aug 21 2012 Sep 12 2012	Apr 16 2013 Apr 16 2013 Apr 16 2013 Apr 16 2013 Apr 16 2013	57.00 366.00 146.00 314.00 23.00	3,007.39 19,310.60 7,703.14 16,567.02 1,213.51	1,873.52 11,578.04 4,651.94 11,989.78 1,594.12	0.00 0.00 0.00 0.00 0.00	1,133.87 7,732.56 3,051.20 4,577.24 (380.61)	1,133.87 7,732.56 3,051.20 4,577.24 (380.61)	LT LT LT ST ST

Statement Detail
PFF BELOW THE LINE
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Sep 12 2012	Apr 16 2013	34.00	1,793.88	2,313.21	0.00	(519.33)	(519.33)	ST
	Sep 12 2012	Apr 16 2013	255.00	13,454.11	10,546.13	0.00	2,907.98	2,907.98	ST
	Sep 13 2012	Apr 16 2013	76.00	4,009.85	3,171.05	0.00	838.80	838.80	ST
SOFTBANK CORP UNSPONSORED ADR CMN	Nov 12 2012	Apr 16 2013	663.00	14,642.02	11,501.79	0.00	3,140.23	3,140.23	ST
SSE PLC SPONSORED ADR CMN	Jul 19 2011	Apr 16 2013	443.00	10,372.61	10,253.06	0.00	119.55	119.55	LT
	Aug 04 2011	Apr 16 2013	371.00	8,686.77	7,959.92	0.00	726.85	726.85	LT
	Dec 13 2011	Apr 16 2013	839.00	19,644.74	16,698.20	0.00	2,946.54	2,946.54	LT
ST BARBARA MINES LTD SPONSORED ADR CMN	Mar 22 2011	Apr 16 2013	620.00	2,650.44	6,812.50	0.00	(4,162.06)	(4,162.06)	LT
	Jul 06 2012	Apr 16 2013	2,005.00	8,571.18	16,285.41	0.00	(7,714.23)	(7,714.23)	ST
	Dec 04 2012	Apr 16 2013	404.00	1,727.06	3,538.92	0.00	(1,811.86)	(1,811.86)	ST
	Dec 05 2012	Apr 16 2013	140.00	598.49	1,245.68	0.00	(647.19)	(647.19)	ST
	Dec 27 2012	Apr 16 2013	813.00	3,475.50	6,132.22	0.00	(2,656.72)	(2,656.72)	ST
	Jan 04 2013	Apr 16 2013	1,339.00	5,724.10	10,753.51	0.00	(5,029.41)	(5,029.41)	ST
	Jan 14 2013	Apr 16 2013	1,419.00	6,066.09	10,463.28	0.00	(4,397.19)	(4,397.19)	ST
SVENSKA CELLULOZA AB SPON ADR SPONSORED ADR CMN CLASS B	Sep 25 2012	Apr 16 2013	1,620.00	40,037.40	30,663.52	0.00	9,373.88	9,373.88	ST
TELECOM ITALIA SPA SPONSORED ADR CMN CLASS A	Mar 19 2013	Apr 16 2013	6,390.00	44,058.05	44,169.50	0.00	(111.45)	(111.45)	ST
TELASONERA AKTIEBOLAG UNSPONSORED ADR CMN	Sep 20 2011	Apr 16 2013	1,134.00	15,280.31	14,765.25	0.00	515.06	515.06	LT
	Oct 13 2011	Apr 16 2013	576.00	7,761.42	7,836.08	0.00	(74.66)	(74.66)	LT
	Apr 12 2012	Apr 16 2013	652.00	8,785.50	8,255.43	0.00	530.07	530.07	LT
	Oct 01 2012	Apr 16 2013	508.00	6,845.15	7,353.96	0.00	(508.81)	(508.81)	ST
TELSTRA CORP ADR (FINAL) SPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	1,431.00	34,808.28	28,915.79	0.00	5,892.49	5,892.49	ST
TNT EXPRESS N V ADR CMN	Jan 22 2013	Apr 16 2013	3,978.00	30,252.01	29,132.88	0.00	1,119.13	1,119.13	ST
UNITED OVERSEAS BK LTD SPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	1,952.00	64,424.31	62,714.83	0.00	1,709.48	1,709.48	ST
UPM-KYMMENE OYJ SPONSORED ADR CMN	Sep 09 2011	Apr 16 2013	1,158.00	11,631.84	13,640.66	0.00	(2,008.82)	(2,008.82)	LT
WOLSELEY LIMITED SPONSORED ADR CMN	Apr 20 2012	Apr 16 2013	3,409.00	16,584.41	13,851.68	0.00	2,732.73	2,732.73	ST
	May 14 2012	Apr 16 2013	5,756.00	28,002.30	22,099.90	0.00	5,902.40	5,902.40	ST
WOORI FINANCE HLDGS CO, LTD ADR CMN	Sep 25 2012	Apr 16 2013	1,004.00	32,142.33	30,462.06	0.00	1,680.27	1,680.27	ST



Statement Detail
PFF BELOW THE LINE
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
WORLEYPARSONS LIMITED UNSPONSORED ADR CMN	Dec 08 2010	Apr 16 2013	894 00	21,504 68	23,630 48	0 00	(2,125 80)	(2,125 80)	LT
YANZHOU COAL MINING CO LTD SPONSORED ADR CMN	Sep 25 2012	Apr 16 2013	2,072 00	23,609 69	30,563 04	0 00	(6,953 35)	(6,953 35)	ST
YARA INTERNATIONAL ASA SPONSORED ADR CMN	Sep 28 2012	Apr 16 2013	50 00	2,255 69	2,514 50	0 00	(258 81)	(258 81)	ST
ZURICH INS GROUP LTD SPONSORED ADR CMN	Jun 16 2009	Apr 16 2013	237 00	6,319 46	4,242 30	0 00	2,077 16	2,077 16	LT
	Mar 30 2010	Apr 16 2013	662 00	17,651 83	16,814 80	0 00	837 03	837 03	LT
	May 26 2010	Apr 16 2013	360 00	9,599 18	7,036 34	0 00	2,562 84	2,562 84	LT
	Sep 25 2012	Apr 16 2013	3,718 00	99,138 24	94,950 66	0 00	4,187 58	4,187 58	ST
	Jan 09 2013	Apr 16 2013	638 00	17,011 89	17,473 10	0 00	(461 21)	(461 21)	ST
ABERDEEN ASSET MGMT PLC ORD 10P SHS	Aug 21 2012	Apr 17 2013	5,101 00	32,561 59	22,757 09	(720 13)	10,524 63	9,804 50	ST
	Oct 09 2012	Apr 17 2013	2,204 00	14,068 95	11,527 79	(523 73)	3,064 89	2,541 16	ST
ACTELION CMN	Mar 30 2009	Apr 17 2013	21 00	1,219 72	813 88	187 87	217 97	405 84	LT
	Jun 16 2009	Apr 17 2013	134 00	7,783 00	6,464 21	1,062 67	256 12	1,318 79	LT
	Mar 30 2010	Apr 17 2013	323 00	18,760 51	13,578 99	1,912 67	3,268 85	5,181 52	LT
BANGKOK BANK PUBLIC CO LTD NVDR CMN	Sep 15 2011	Apr 17 2013	9,600 00	77,237 50	1,585 19	89 20	75,583 11	75,672 31	LT
BANGKOK DUSIT MED SVCS PCL NVDR CMN	Feb 07 2011	Apr 17 2013	2,100 00	11,815 35	111 78	7 99	11,695 58	11,703 57	LT
	Jun 07 2011	Apr 17 2013	7,500 00	42,197 64	431 14	23 67	41,742 83	41,766 50	LT
BIOSTIME INTERNATIONAL HOLDING CMN	Apr 08 2011	Apr 17 2013	15,000 00	83,826 47	3,250 46	2 26	80,573 75	80,576 01	LT
BRENTNAG AG CMN	Apr 24 2012	Apr 17 2013	99 00	16,159 32	15,599 24	(152 56)	712 64	560 08	ST
	Apr 25 2012	Apr 17 2013	90 00	14,690 29	14,569 74	(185 24)	305 79	120 55	ST
	Oct 26 2012	Apr 17 2013	31 00	5,059 99	4,954 75	48 92	56 32	105 24	ST
GERRY WEBER INTL AG REG SHS CMN	Nov 30 2010	Apr 17 2013	479 00	19,180 34	14,355 30	57 27	4,767 77	4,825 04	LT
	Dec 01 2010	Apr 17 2013	284 00	11,372 06	8,510 12	(46 14)	2,908 08	2,861 94	LT
IMAGINATION TECHNOLOGIES PLC ORD 10P SHS	Jun 07 2012	Apr 17 2013	2,902 00	19,457 86	35,488 40	(626 77)	(15,403 77)	(16,030 54)	ST
JAPAN TOBACCO INC CMN	Aug 08 2012	Apr 17 2013	3,100 00	107,369 20	1,247 80	(262 28)	106,383 68	106,121 40	ST
MAN WAH HLDGS LTD CMN	Jul 13 2010	Apr 17 2013	400 00	349 53	51 83	0 06	297 64	297 70	LT
	Nov 23 2010	Apr 17 2013	25,200 00	22,020 51	5,463 79	(4 15)	16,560 87	16,556 72	LT
OPHIR ENERGY PLC CMN	Sep 15 2011	Apr 17 2013	3,064 00	19,188 03	21,330 85	(720 90)	(1,621 92)	(2,342 82)	LT
SANTOS LTD (ORD) ORDINARY FULLY PAID	Jun 19 2009	Apr 17 2013	73 00	913 40	705 24	192 99	15 17	208 16	LT
	Mar 30 2010	Apr 17 2013	1,373 00	17,179 52	17,035 98	1,996 64	(1,853 10)	143 54	LT
	Dec 20 2010	Apr 17 2013	1,458 00	18,243 07	19,585 60	642 81	(1,985 34)	(1,342 53)	LT
STANDARD CHARTERED PLC CMN	Oct 15 2012	Apr 17 2013	1,339 00	33,175 03	50,825 64	(2,515 54)	(15,135 07)	(17,650 61)	ST
	Dec 19 2012	Apr 17 2013	441 00	10,926 20	18,391 49	(1,107 25)	(6,358 04)	(7,465 29)	ST

PFF BELOW THE LINE
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CANADIAN DOLLAR	Jan 10 2013	Apr 17 2013	406.00	10,059.04	17,716.89	(958.71)	(6,699.14)	(7,657.85)	ST
	Apr 16 2013	Apr 22 2013	6,788.00	6,610.83	6,612.76	(1.93)	0.00	(1.93)	ORD
GOLDMAN SACHS GROUP, INC (THE) CMN	Nov 09 2010	Apr 22 2013	320.00	44,468.92	53,740.93	0.00	(9,272.01)	(9,272.01)	LT
	Mar 15 2011	Apr 22 2013	30.00	4,168.96	4,700.70	0.00	(531.74)	(531.74)	LT
	May 23 2011	Apr 22 2013	199.00	27,654.11	27,212.28	0.00	441.83	441.83	LT
	Jul 01 2011	Apr 22 2013	533.00	74,068.55	72,426.65	0.00	1,641.90	1,641.90	LT
THAILAND BAHT	Apr 17 2013	Apr 22 2013	1,549,632.30	54,088.39	54,012.98	75.41	0.00	75.41	ORD
	Apr 17 2013	Apr 22 2013	2,215,943.85	77,345.34	77,237.50	107.84	0.00	107.84	ORD
AUSTRALIAN DOLLAR	Apr 17 2013	Apr 23 2013	35,386.92	36,402.52	36,336.00	66.52	0.00	66.52	ORD
EURO	Apr 17 2013	Apr 23 2013	27,493.13	35,941.77	35,909.60	32.17	0.00	32.17	ORD
	Apr 17 2013	Apr 23 2013	23,391.55	30,579.78	30,552.41	27.37	0.00	27.37	ORD
HONG KONG DOLLAR	Apr 17 2013	Apr 23 2013	173,671.97	22,368.30	22,370.03	(1.73)	0.00	(1.73)	ORD
	Apr 17 2013	Apr 23 2013	650,795.17	83,819.99	83,826.47	(6.48)	0.00	(6.48)	ORD
SWISS FRANC	Apr 17 2013	Apr 23 2013	25,948.91	27,821.28	27,763.24	58.04	0.00	58.04	ORD
UK POUND STERLING	Apr 17 2013	Apr 23 2013	30,518.97	46,504.81	46,630.55	(125.74)	0.00	(125.74)	ORD
	Apr 17 2013	Apr 23 2013	35,447.06	54,014.23	54,160.27	(146.04)	0.00	(146.04)	ORD
	Apr 17 2013	Apr 23 2013	12,734.87	19,405.39	19,457.86	(52.47)	0.00	(52.47)	ORD
	Apr 17 2013	Apr 23 2013	12,558.27	19,136.29	19,188.03	(51.74)	0.00	(51.74)	ORD
HONG KONG DOLLAR	Apr 19 2013	May 03 2013	7,431.59	957.16	957.75	(0.59)	0.00	(0.59)	ORD
BROOKFIELD PPTY PARTNERS L P CMN	Apr 15 2013	May 06 2013	20.00	449.28	436.54	0.00	12.74	12.74	ST
FINMECCANICA S P A UNSPONSORED ADR CMN	May 11 2012	May 07 2013	10,751.00	28,371.23	19,687.23	0.00	8,684.00	8,684.00	ST
INTESA SANPAOLO SPONSORED ADR CMN	Apr 26 2012	May 07 2013	173.00	1,879.60	1,579.68	0.00	299.92	299.92	LT
	May 02 2012	May 07 2013	1,152.00	12,516.20	10,426.75	0.00	2,089.45	2,089.45	LT
	May 21 2012	May 07 2013	679.00	7,377.17	5,320.71	0.00	2,056.46	2,056.46	ST
JAPANESE YEN	Apr 17 2013	May 08 2013	10,673,024.00	107,320.50	107,369.23	(48.73)	0.00	(48.73)	ORD
				Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	
				1,837,789.89	1,454,455.51	(2,051.51)	385,385.89	383,334.38	
				560,090.57	737,831.35	(5,208.27)	(172,532.51)	(177,740.78)	
				2,397,880.46	2,192,286.86	(7,259.78)	212,853.38	205,593.60	

NET SHORT TERM GAINS (LOSSES)



Statement Detail
PFF BELOW THE LINE
Realized Gains and Losses (Continued)

	Period Ended December 31, 2013				
	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
LONG TERM GAINS	991,610.32	591,117.87	5,483.00	395,009.45	400,492.45
LONG TERM LOSSES	299,292.60	393,294.07	(78.09)	(93,923.38)	(94,001.47)
NET LONG TERM GAINS (LOSSES)	1,290,902.92	984,411.94	5,404.91	301,086.07	306,490.98
NET ORDINARY GAINS (LOSSES)	622,316.58	622,384.68	(68.10)	0.00	(68.10)

Statement Detail
PFF ADVISORY
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
ISHARES MSCI EAFE ETF	Apr 23 2013	May 17 2013	7,057.00	442,922.67	424,284.48	0.00	18,638.19	18,638.19	ST
	Apr 23 2013	May 17 2013	3,420.00	214,651.49	205,730.10	0.00	8,921.39	8,921.39	ST
SPDR S&P 500 ETF TRUST	Apr 23 2013	May 17 2013	524.00	87,099.74	82,569.30	0.00	4,530.44	4,530.44	ST
	Apr 23 2013	May 17 2013	3,445.00	572,630.91	542,493.80	0.00	30,137.11	30,137.11	ST
STONE HARBOR LOCAL MARKETS I MUTUAL FUND	Apr 25 2013	Aug 23 2013	104,058.27	1,000,000.00	1,178,980.23	0.00	(178,980.23)	(178,980.23)	ST
STONE HARBOR LOCAL MARKETS I MUTUAL FUND DISALLOWED LOSSES								2,282.14	
SPDR EURO STOXX 50 FD ETF	May 17 2013	Aug 26 2013	6,409.00	237,020.56	231,525.13	0.00	5,495.43	5,495.43	ST
SPDR S&P 500 ETF TRUST	Apr 23 2013	Sep 25 2013	12,366.00	2,099,456.77	1,947,308.65	0.00	152,148.12	152,148.12	ST
	Apr 23 2013	Sep 30 2013	8,990.00	1,510,069.87	1,415,680.47	0.00	94,389.40	94,389.40	ST
SHORT TERM GAINS				Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	
				5,163,852.01	4,849,591.93	0.00	314,260.08	314,260.08	
SHORT TERM LOSSES				1,000,000.00	1,178,980.23	0.00	(178,980.23)	(178,980.23)	
SHORT TERM DISALLOWED LOSSES								2,282.14	
NET SHORT TERM GAINS (LOSSES)				6,163,852.01	6,028,572.16	0.00	135,279.85	137,561.99	

Statement Detail
PFF GANNETT WELSH & KOTLER: SCC
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
BLACKBAUD INC CMN	Mar 30 2010	Apr 25 2013	275.00	8,088.96	7,056.09	0.00	1,032.87	1,032.87	LT
II-VI INC CMN	Jul 24 2012	Apr 25 2013	58.00	884.94	921.07	0.00	(36.13)	(36.13)	ST
	Jul 25 2012	Apr 25 2013	225.00	3,432.97	3,657.51	0.00	(224.54)	(224.54)	ST
	Jul 26 2012	Apr 25 2013	211.00	3,219.36	3,501.71	0.00	(282.35)	(282.35)	ST
	Jul 27 2012	Apr 25 2013	77.00	1,174.84	1,321.63	0.00	(146.79)	(146.79)	ST
	Jul 30 2012	Apr 25 2013	26.00	396.70	440.91	0.00	(44.21)	(44.21)	ST
	Jul 31 2012	Apr 25 2013	45.00	686.59	778.65	0.00	(92.06)	(92.06)	ST
	Aug 01 2012	Apr 25 2013	8.00	122.06	139.84	0.00	(17.78)	(17.78)	ST
LANDAUER INC CMN	Jun 30 2010	Apr 25 2013	225.00	12,626.35	13,498.74	0.00	(872.39)	(872.39)	LT
MERIDIAN BIOSCIENCE INC CMN	Jun 30 2010	Apr 25 2013	828.00	17,381.90	14,165.26	0.00	3,216.64	3,216.64	LT
	Aug 16 2011	Apr 25 2013	257.00	5,395.11	4,767.86	0.00	627.25	627.25	LT
	May 08 2012	Apr 25 2013	139.00	2,917.98	2,722.50	0.00	195.48	195.48	ST
	May 09 2012	Apr 25 2013	246.00	5,164.19	4,852.20	0.00	311.99	311.99	ST
	May 10 2012	Apr 25 2013	29.00	608.79	574.78	0.00	34.01	34.01	ST
MIDDLEBY CORP CMN	May 13 2010	Apr 25 2013	50.00	7,683.32	2,973.60	0.00	4,709.72	4,709.72	LT
POWER INTEGRATIONS INC CMN	Mar 30 2010	Apr 25 2013	375.00	15,298.19	15,416.25	0.00	(118.06)	(118.06)	LT
SVB FINANCIAL GROUP CMN	Feb 07 2012	Apr 25 2013	50.00	3,421.54	2,938.53	0.00	483.01	483.01	LT
UMPOUA HLDGS CORP CMN	Aug 18 2010	Apr 25 2013	250.00	3,087.61	2,887.30	0.00	200.31	200.31	LT
WADDELL & REED FIN, INC. CLASS A COMMON	Sep 27 2012	Apr 25 2013	694.00	28,833.94	22,684.57	0.00	6,149.37	6,149.37	ST
MIDDLEBY CORP CMN	May 13 2010	Apr 30 2013	15.00	2,234.84	892.08	0.00	1,342.76	1,342.76	LT
	Jun 30 2010	Apr 30 2013	35.00	5,214.62	1,890.25	0.00	3,324.37	3,324.37	LT
TEXAS ROADHOUSE, INC CMN	Apr 25 2013	Apr 30 2013	225.00	5,389.32	4,619.61	0.00	769.71	769.71	ST
GULFPORT ENERGY CORP (NEW) CMN	Apr 25 2013	May 06 2013	275.00	14,624.12	14,150.32	0.00	473.80	473.80	ST
LANDAUER INC CMN	Jun 30 2010	May 15 2013	35.00	1,713.65	2,099.80	0.00	(386.15)	(386.15)	LT
	Jun 30 2010	May 16 2013	16.00	765.78	959.91	0.00	(174.13)	(174.13)	LT
	Aug 16 2011	May 16 2013	19.00	933.12	883.18	0.00	49.94	49.94	LT
	Aug 16 2011	May 21 2013	20.00	1,026.73	929.66	0.00	97.07	97.07	LT
	Aug 16 2011	May 22 2013	19.00	975.53	883.18	0.00	92.35	92.35	LT
SIGNATURE BANK CMN	Jun 30 2010	Jul 24 2013	215.00	19,860.03	8,311.15	0.00	11,568.88	11,568.88	LT
SVB FINANCIAL GROUP CMN	Feb 07 2012	Jul 26 2013	39.00	3,507.12	2,292.06	0.00	1,215.06	1,215.06	LT

Statement Detail
PFF GANNETT WELSH & KOTLER: SCC
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
UMPOUA HLDGS CORP CMN	Feb 08 2012	Jul 26 2013	106 00	9,532 15	6,257 02	0 00	3,275 13	3,275 13	LT
	Aug 18 2010	Jul 26 2013	330 00	5,515 06	3,811 24	0 00	1,703 82	1,703 82	LT
	Aug 19 2010	Jul 26 2013	145 00	2,423 28	1,634 70	0 00	788 58	788 58	LT
TORTOISE ENERGY INFRASTRUCTURE CORP COMMON	Apr 25 2013	Aug 08 2013	375 00	16,813 95	17,144 63	0 00	(330 68)	(330 68)	ST
CLEARBRIDGE ENERGY MLP FUND IN MUTUAL FUND	Apr 25 2013	Sep 19 2013	425 00	11,322 40	11,582 40	0 00	(260 00)	(260 00)	ST
	Aug 28 2013	Sep 19 2013	100 00	2,664 09	2,669 00	0 00	(4 91)	(4 91)	ST
HARRIS TEETER SUPERMARKETS INC CMN	Apr 25 2013	Sep 19 2013	675 00	33,204 16	28,976 13	0 00	4,228 03	4,228 03	ST
II-VI INC CMN	Aug 01 2012	Oct 04 2013	245 00	4,530 02	4,282 70	0 00	247 32	247 32	LT
NORDSON CORP CMN	Apr 25 2013	Oct 04 2013	145 00	10,738 95	9,886 62	0 00	852 33	852 33	ST
	Apr 25 2013	Oct 08 2013	150 00	10,746 17	10,227 54	0 00	518 63	518 63	ST
II-VI INC CMN	Aug 01 2012	Oct 09 2013	132 00	2,371 04	2,307 41	0 00	63 63	63 63	LT
	Aug 02 2012	Oct 09 2013	133 00	2,389 01	2,197 68	0 00	191 33	191 33	LT
COSTAR GROUP INC CMN	Apr 25 2013	Oct 10 2013	120 00	19,475 94	13,060 31	0 00	6,415 63	6,415 63	ST
II-VI INC CMN	Aug 02 2012	Oct 10 2013	255 00	4,691 91	4,213 59	0 00	478 32	478 32	LT
	Aug 02 2012	Oct 15 2013	12 00	223 14	198 29	0 00	24 85	24 85	LT
	Aug 03 2012	Oct 15 2013	52 00	966 92	902 66	0 00	64 26	64 26	LT
	Aug 06 2012	Oct 15 2013	145 00	2,686 21	2,537 18	0 00	159 03	159 03	LT
	Aug 07 2012	Oct 15 2013	26 00	483 46	472 57	0 00	10 89	10 89	LT
GULFPORT ENERGY CORP (NEW) CMN	Apr 25 2013	Oct 16 2013	450 00	29,682 53	23,155 07	0 00	6,527 46	6,527 46	ST
II-VI INC CMN	Aug 07 2012	Oct 16 2013	64 00	1,183 78	1,163 26	0 00	20 52	20 52	LT
	Aug 28 2013	Oct 16 2013	175 00	3,236 88	3,410 31	0 00	(173 43)	(173 43)	ST
SOURCEFIRE, INC CMN	Apr 25 2013	Oct 16 2013	175 00	13,300 00	9,005 81	0 00	4,294 19	4,294 19	ST
	May 29 2013	Oct 16 2013	195 00	14,820 00	10,943 98	0 00	3,876 02	3,876 02	ST
CUBIST PHARMACEUTICALS, INC. CMN	Apr 25 2013	Oct 18 2013	325 00	21,405 91	15,268 14	0 00	6,137 77	6,137 77	ST
TYLER TECHNOLOGIES INC CMN	Apr 25 2013	Oct 25 2013	125 00	12,471 76	7,752 73	0 00	4,719 03	4,719 03	ST
GULFPORT ENERGY CORP (NEW) CMN	Apr 25 2013	Nov 20 2013	325 00	17,840 04	16,723 10	0 00	1,116 94	1,116 94	ST
SIGNATURE BANK CMN	Jun 30 2010	Dec 18 2013	185 00	19,512 85	7,151 45	0 00	12,361 40	12,361 40	LT
SHORT TERM GAINS				241,223.80	194,603.41	0 00	46,620.39	46,620 39	

PFF GANNETT WELSH & KOTLER: SCC
Realized Gains and Losses (Continued)

	Period Ended December 31, 2013				
	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)
SHORT TERM LOSSES	43,954.78	45,567.66	0.00	(1,612.88)	(1,612.88)
NET SHORT TERM GAINS (LOSSES)	285,178.58	240,171.07	0.00	45,007.51	45,007.51
LONG TERM GAINS	135,349.26	87,999.95	0.00	47,349.31	47,349.31
LONG TERM LOSSES	30,423.97	31,974.70	0.00	(1,550.73)	(1,550.73)
NET LONG TERM GAINS (LOSSES)	165,773.23	119,974.65	0.00	45,798.58	45,798.58

PFF SUSTAINABLE GROWTH ADVS: LCG
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
COLGATE-PALMOLIVE CO CMN	Mar 15 2010	Apr 25 2013	164.00	20,000.99	13,820.92	0.00	6,180.07	6,180.07	LT
STARBUCKS CORP. CMN	Jun 22 2011	Apr 25 2013	4.00	240.83	149.00	0.00	91.83	91.83	LT
	Jun 23 2011	Apr 25 2013	6.00	361.25	222.06	0.00	139.19	139.19	LT
	Jul 07 2011	Apr 25 2013	94.00	5,659.60	3,759.21	0.00	1,900.39	1,900.39	LT
AMAZON.COM INC CMN	Nov 09 2010	Apr 29 2013	310.00	78,725.83	52,916.65	0.00	25,809.18	25,809.18	LT
AUTOMATIC DATA PROCESSING INC CMN	Nov 07 2012	May 20 2013	36.00	2,554.96	2,021.33	0.00	533.63	533.63	ST
ECOLAB INC CMN	May 31 2011	May 20 2013	12.00	1,060.18	651.82	0.00	408.36	408.36	LT
GOOGLE, INC CMN CLASS A	Sep 01 2011	May 20 2013	12.00	10,937.95	6,391.37	0.00	4,546.58	4,546.58	LT
RED HAT, INC. CMN	Apr 25 2013	May 24 2013	1,716.00	83,638.78	83,972.46	0.00	(333.68)	(333.68)	ST
STATE STREET CORPORATION (NEW) CMN	Apr 25 2013	Jun 05 2013	265.00	17,178.48	15,465.72	0.00	1,712.76	1,712.76	ST
COMPANHIA DE BEBIDAS DAS AMERI SPONSORED ADR PFD USD0.0000	Apr 25 2013	Jun 06 2013	911.00	33,910.56	36,220.45	0.00	(2,309.89)	(2,309.89)	ST
FASTENAL CO CMN	Sep 25 2012	Jun 06 2013	760.00	36,023.37	32,941.67	0.00	3,081.70	3,081.70	ST
COMPANHIA DE BEBIDAS DAS AMERI SPONSORED ADR PFD USD0.0000	Apr 25 2013	Jun 17 2013	1,114.00	41,065.53	44,291.53	0.00	(3,236.00)	(3,236.00)	ST
COCA-COLA COMPANY (THE) CMN	Apr 25 2013	Jun 20 2013	171.00	6,761.51	7,209.65	0.00	(448.14)	(448.14)	ST
COMPANHIA DE BEBIDAS DAS AMERI SPONSORED ADR PFD USD0.0000	Apr 25 2013	Jun 20 2013	1,145.00	38,606.09	45,524.06	0.00	(6,917.97)	(6,917.97)	ST
DANONE SPONSORED ADR CMN	Apr 25 2013	Jul 10 2013	2,423.00	34,940.50	36,854.70	0.00	(1,914.20)	(1,914.20)	ST
AMERICAN EXPRESS CO. CMN	Apr 25 2013	Jul 15 2013	915.00	71,263.43	62,176.26	0.00	9,087.17	9,087.17	ST
APPLE, INC. CMN	Mar 15 2010	Jul 15 2013	201.00	86,481.77	44,886.89	0.00	41,594.88	41,594.88	LT
AUTOMATIC DATA PROCESSING INC CMN	Nov 07 2012	Jul 15 2013	1,222.00	89,189.00	68,612.98	0.00	20,556.02	20,556.02	ST
CERNER CORP CMN	May 31 2011	Jul 15 2013	134.00	6,635.22	4,003.01	0.00	2,632.21	2,632.21	LT
	Jul 27 2012	Jul 15 2013	118.00	5,842.95	4,353.76	0.00	1,489.19	1,489.19	ST
	Aug 16 2012	Jul 15 2013	90.00	4,456.49	3,307.01	0.00	1,149.48	1,149.48	ST
	Sep 25 2012	Jul 15 2013	992.00	49,120.41	37,244.20	0.00	11,876.21	11,876.21	ST
COCA-COLA COMPANY (THE) CMN	Apr 25 2013	Jul 15 2013	1,863.00	76,795.43	78,547.25	0.00	(1,751.82)	(1,751.82)	ST
COLGATE-PALMOLIVE CO CMN	Mar 15 2010	Jul 15 2013	862.00	50,545.50	36,322.04	0.00	14,223.46	14,223.46	LT
	Sep 13 2010	Jul 15 2013	200.00	11,727.50	7,500.84	0.00	4,226.66	4,226.66	LT
	Mar 15 2011	Jul 15 2013	130.00	7,622.87	5,039.45	0.00	2,583.42	2,583.42	LT

PFF SUSTAINABLE GROWTH ADVS: LCG
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Sep 27 2012	Jul 15 2013	24.00	1,407.30	1,277.87	0.00	129.43	129.43	ST
DANONE SPONSORED ADR CMN	Apr 25 2013	Jul 15 2013	1,470.00	21,932.01	22,359.23	0.00	(427.22)	(427.22)	ST
EBAY INC CMN	Apr 19 2012	Jul 15 2013	121.00	6,813.66	4,961.00	0.00	1,852.66	1,852.66	LT
	Apr 19 2012	Jul 15 2013	78.00	4,392.28	3,194.66	0.00	1,197.62	1,197.62	LT
	Apr 20 2012	Jul 15 2013	44.00	2,477.69	1,792.92	0.00	684.77	684.77	LT
	May 02 2012	Jul 15 2013	2.00	112.62	81.98	0.00	30.64	30.64	LT
	Sep 27 2012	Jul 15 2013	893.00	50,285.92	43,754.32	0.00	6,531.60	6,531.60	ST
	Oct 15 2012	Jul 15 2013	442.00	24,889.56	20,855.46	0.00	4,034.10	4,034.10	ST
	Apr 25 2013	Jul 15 2013	226.00	12,726.34	11,906.90	0.00	819.44	819.44	ST
ECOLAB INC CMN	May 31 2011	Jul 15 2013	128.00	11,548.60	6,952.70	0.00	4,595.90	4,595.90	LT
	Jul 20 2011	Jul 15 2013	43.00	3,878.94	2,211.03	0.00	1,667.91	1,667.91	LT
	Sep 22 2011	Jul 15 2013	57.00	5,141.85	2,934.95	0.00	2,206.90	2,206.90	LT
	Sep 27 2012	Jul 15 2013	752.00	67,838.29	48,749.38	0.00	19,088.91	19,088.91	ST
FASTENAL CO CMN	Sep 25 2012	Jul 15 2013	875.00	41,042.99	37,926.26	0.00	3,116.73	3,116.73	ST
GOOGLE, INC CMN CLASS A	Sep 01 2011	Jul 15 2013	96.00	88,023.74	51,130.94	0.00	36,892.80	36,892.80	LT
IDEXX LABORATORIES CMN	Jun 20 2013	Jul 15 2013	239.00	22,937.65	21,160.11	0.00	1,777.54	1,777.54	ST
INTUITIVE SURGICAL, INC. CMN	May 31 2011	Jul 15 2013	19.00	7,986.13	6,563.36	0.00	1,422.77	1,422.77	LT
	Sep 25 2012	Jul 15 2013	110.00	46,235.52	56,486.10	0.00	(10,250.58)	(10,250.58)	ST
LOWES COMPANIES INC CMN	Sep 28 2012	Jul 15 2013	2,033.00	90,003.00	61,437.26	0.00	28,565.74	28,565.74	ST
MONSANTO COMPANY CMN	Jul 06 2011	Jul 15 2013	22.00	2,265.69	1,639.00	0.00	626.69	626.69	LT
	Jul 14 2011	Jul 15 2013	66.00	6,797.06	4,950.00	0.00	1,847.06	1,847.06	LT
	Jul 15 2011	Jul 15 2013	33.00	3,398.53	2,455.82	0.00	942.71	942.71	LT
	Jul 28 2011	Jul 15 2013	48.00	4,943.32	3,588.00	0.00	1,355.32	1,355.32	LT
	Aug 29 2011	Jul 15 2013	23.00	2,368.67	1,805.07	0.00	563.60	563.60	LT
	Sep 19 2011	Jul 15 2013	9.00	928.87	705.51	0.00	221.36	221.36	LT
	Sep 23 2011	Jul 15 2013	13.00	1,338.82	942.45	0.00	396.37	396.37	LT
	Sep 23 2011	Jul 15 2013	15.00	1,544.79	948.96	0.00	595.83	595.83	LT
	Sep 30 2011	Jul 15 2013	37.00	3,810.48	2,256.64	0.00	1,553.84	1,553.84	LT
	May 08 2012	Jul 15 2013	27.00	2,780.62	1,970.01	0.00	810.61	810.61	LT
	Jun 22 2012	Jul 15 2013	12.00	1,235.83	938.20	0.00	297.63	297.63	LT

PFF SUSTAINABLE GROWTH ADVS: LCG
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
NATIONAL OILWELL VARCO, INC. COMMON STOCK CMN	Sep 27 2012 Sep 25 2012 Sep 28 2012 Apr 25 2013 Apr 25 2013	Jul 15 2013 Jul 15 2013 Jul 15 2013 Jul 15 2013 Jul 15 2013	683 00 959 00 139 00 144 00 243 00	70,339 30 70,223 56 10,178 39 10,544 52 39,555 85	62,645 79 77,129 01 11,111 97 9,684 06 41,622 52	0 00 0 00 0 00 0 00 0 00	7,693 51 (6,905 45) (933 58) 860 46 (2,066 67)	7,693 51 (6,905 45) (933 58) 860 46 (2,066 67)	ST ST ST ST ST
NOVO-NORDISK A/S ADR ADR CMN NOVO-NORDISK A/S ADR ADR CMN DISALLOWED LOSSES								153 09	
PERRIGO COMPANY CMN	Apr 25 2013	Jul 15 2013	524 00	66,694 02	61,795 79	0 00	4,898 23	4,898 23	ST
PRAXAIR, INC CMN SERIES	Apr 25 2013	Jul 15 2013	545 00	63,768 36	61,274 30	0 00	2,494 06	2,494 06	ST
QUALCOMM INC CMN	Feb 26 2010 Jun 18 2010 Mar 15 2011 Sep 11 2012	Jul 15 2013 Jul 15 2013 Jul 15 2013 Jul 15 2013	130 00 135 00 100 00 879 00	7,928 25 8,233 18 6,098 65 53,607 16	4,759 30 4,850 03 5,204 83 54,375 58	0 00 0 00 0 00 0 00	3,168 95 3,383 15 893 82 (768 42)	3,168 95 3,383 15 893 82 (768 42)	LT LT LT ST
QUALCOMM INC CMN DISALLOWED LOSSES								104 90	
REGENERON PHARMACEUTICAL INC CMN	Apr 29 2013	Jul 15 2013	190 00	50,456 93	41,442 88	0 00	9,014 05	9,014 05	ST
SALESFORCE COM, INC CMN	May 24 2013	Jul 15 2013	1,109 00	45,813 88	47,391 78	0 00	(1,577 90)	(1,577 90)	ST
SALESFORCE COM, INC CMN DISALLOWED LOSSES								559 17	
SAP AG (SPON ADR)	Apr 25 2013	Jul 15 2013	795 00	59,782 95	62,449 24	0 00	(2,666 29)	(2,666 29)	ST
SAP AG (SPON ADR) DISALLOWED LOSSES								318 61	
SCHLUMBERGER LTD CMN	May 03 2010 Jun 18 2010 Mar 15 2011 Sep 25 2012	Jul 15 2013 Jul 15 2013 Jul 15 2013 Jul 15 2013	395 00 320 00 125 00 147 00	30,316 12 24,559 89 9,593 71 11,282 21	27,912 07 19,315 92 10,612 35 10,721 92	0 00 0 00 0 00 0 00	2,404 05 5,243 97 (1,018 64) 560 29	2,404 05 5,243 97 (1,018 64) 560 29	LT LT LT ST
SCHLUMBERGER LTD CMN DISALLOWED LOSSES								806 76	
STARBUCKS CORP. CMN	Jul 07 2011 Jul 15 2011 Jul 28 2011 Aug 12 2011 Sep 25 2012	Jul 15 2013 Jul 15 2013 Jul 15 2013 Jul 15 2013 Jul 15 2013	11 00 44 00 82 00 54 00 1,185 00	766 79 3,067 15 5,716 05 3,764 23 82,603 85	439 91 1,738 00 3,280 00 2,015 24 60,016 70	0 00 0 00 0 00 0 00 0 00	326 88 1,329 15 2,436 05 1,748 99 22,587 15	326 88 1,329 15 2,436 05 1,748 99 22,587 15	LT LT LT LT ST
STARWOOD HOTELS & RESORTS CMN	Sep 27 2012	Jul 15 2013	999 00	66,391 38	57,967 77	0 00	8,423 61	8,423 61	ST
STATE STREET CORPORATION (NEW) CMN	Apr 25 2013	Jul 15 2013	1,283 00	89,346 43	74,877 42	0 00	14,469 01	14,469 01	ST
TIFFANY & CO CMN	Apr 25 2013	Jul 15 2013	285 00	22,551 65	20,835 67	0 00	1,715 98	1,715 98	ST
VISA INC CMN CLASS A	Sep 25 2012	Jul 15 2013	493 00	93,733 53	66,445 89	0 00	27,287 64	27,287 64	ST

PFF SUSTAINABLE GROWTH ADVS: LCG
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
YUM BRANDS, INC. CMN	Nov 09 2010	Jul 15 2013	1,050.00	74,803.43	53,416.79	0.00	21,386.64	21,386.64	LT
	Mar 15 2011	Jul 15 2013	105.00	7,480.34	5,345.55	0.00	2,134.79	2,134.79	LT
	Sep 27 2012	Jul 15 2013	86.00	6,126.76	5,818.46	0.00	308.30	308.30	ST
INTUITIVE SURGICAL, INC. CMN	Sep 25 2012	Jul 30 2013	41.00	16,068.09	21,053.91	0.00	(4,985.82)	(4,985.82)	ST
	Sep 27 2012	Jul 30 2013	80.00	31,352.37	40,027.44	0.00	(8,675.07)	(8,675.07)	ST
	Apr 25 2013	Jul 30 2013	13.00	5,094.76	6,200.49	0.00	(1,105.73)	(1,105.73)	ST
REGENERON PHARMACEUTICAL, INC. CMN	May 24 2013	Jul 31 2013	29.00	7,840.09	7,176.43	0.00	663.66	663.66	ST
	Apr 25 2013	Aug 01 2013	1,517.00	24,048.28	23,074.12	0.00	974.16	974.16	ST
	Mar 15 2010	Aug 06 2013	10.00	4,661.51	2,233.18	0.00	2,428.33	2,428.33	LT
COCA-COLA COMPANY (THE) CMN	Apr 25 2013	Aug 06 2013	191.00	7,678.06	8,052.89	0.00	(374.83)	(374.83)	ST
	Sep 27 2012	Aug 06 2013	117.00	7,133.37	6,229.60	0.00	903.77	903.77	ST
	Sep 25 2012	Aug 06 2013	160.00	11,686.19	8,103.52	0.00	3,582.67	3,582.67	ST
STARBUCKS CORP. CMN	Sep 27 2012	Aug 06 2013	34.00	2,525.13	2,300.32	0.00	224.81	224.81	ST
	Mar 15 2010	Aug 07 2013	24.00	11,097.64	5,359.63	0.00	5,738.01	5,738.01	LT
	Mar 15 2010	Aug 27 2013	22.00	10,782.28	4,912.99	0.00	5,869.29	5,869.29	LT
YUM BRANDS, INC. CMN	Apr 25 2013	Sep 13 2013	517.00	19,965.16	21,797.60	0.00	(1,832.44)	(1,832.44)	ST
	Sep 27 2012	Oct 04 2013	37.00	3,650.44	2,398.57	0.00	1,251.87	1,251.87	LT
	Sep 27 2012	Oct 04 2013	192.00	20,227.95	17,610.53	0.00	2,617.42	2,617.42	LT
MONSANTO COMPANY CMN	May 24 2013	Oct 04 2013	26.00	8,004.09	6,434.04	0.00	1,570.05	1,570.05	ST
	Sep 25 2012	Oct 04 2013	22.00	1,695.68	1,114.23	0.00	581.45	581.45	LT
	May 24 2013	Oct 08 2013	119.00	5,976.74	5,085.32	0.00	891.42	891.42	ST
COCA-COLA COMPANY (THE) CMN	Apr 25 2013	Oct 24 2013	1,216.00	47,555.96	51,268.63	0.00	(3,712.67)	(3,712.67)	ST
	Sep 25 2012	Oct 24 2013	162.00	12,797.56	8,204.81	0.00	4,592.75	4,592.75	LT
	Apr 25 2013	Oct 28 2013	233.00	19,173.02	15,669.34	0.00	3,503.68	3,503.68	ST
NATIONAL OILWELL VARCO, INC. COMMON STOCK CMN	May 24 2013	Nov 04 2013	299.00	16,493.33	12,777.41	0.00	3,715.92	3,715.92	ST
	May 24 2013	Nov 05 2013	71.00	20,781.61	17,569.87	0.00	3,211.74	3,211.74	ST
	May 24 2013	Nov 13 2013	256.00	14,618.29	10,939.85	0.00	3,678.44	3,678.44	ST
SALESFORCE COM, INC. CMN	May 24 2013	Nov 18 2013	201.00	11,466.39	8,589.49	0.00	2,876.90	2,876.90	ST
	Sep 27 2012	Nov 25 2013	133.00	14,180.15	8,621.90	0.00	5,558.25	5,558.25	LT

PFF SUSTAINABLE GROWTH ADVS: LCG
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
STARBUCKS CORP CMN	Apr 25 2013	Nov 25 2013	14.00	1,492.65	1,168.58	0.00	324.07	324.07	ST
EBAY INC CMN	Sep 25 2012	Dec 10 2013	93.00	7,179.50	4,710.17	0.00	2,469.33	2,469.33	LT
MONSANTO COMPANY CMN	Apr 25 2013	Dec 16 2013	97.00	5,157.29	5,110.48	0.00	46.81	46.81	ST
PERRIGO COMPANY CMN	Sep 27 2012	Dec 16 2013	124.00	13,768.68	11,373.47	0.00	2,395.21	2,395.21	LT
FASTENAL CO CMN	Apr 25 2013	Dec 19 2013	540.00	82,196.10	63,682.69	0.00	18,513.41	18,513.41	ST
	Sep 25 2012	Dec 20 2013	456.00	20,803.26	19,765.00	0.00	1,038.26	1,038.26	LT
				Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	
SHORT TERM GAINS				1,459,169.65	1,200,628.20	0.00	258,541.45	258,541.45	
SHORT TERM LOSSES				790,752.12	853,946.49	0.00	(63,194.37)	(63,194.37)	
SHORT TERM DISALLOWED LOSSES								1,135.77	
NET SHORT TERM GAINS (LOSSES)				2,249,921.77	2,054,574.69	0.00	195,347.08	196,482.85	
LONG TERM GAINS				735,362.41	492,268.58	0.00	243,093.83	243,093.83	
LONG TERM LOSSES				9,593.71	10,612.35	0.00	(1,018.64)	(1,018.64)	
LONG TERM DISALLOWED LOSSES								806.76	
NET LONG TERM GAINS (LOSSES)				744,956.12	502,880.93	0.00	242,075.19	242,881.95	

Statement Detail
PFF SHAPIRO: DYNAMIC EQ
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
BAXTER INTERNATIONAL INC CMN	Feb 11 2011	Jun 05 2013	200.00	13,856.51	10,250.31	0.00	3,606.20	3,606.20	LT
WPX ENERGY, INC CMN	Apr 24 2013	Jun 18 2013	1,800.00	36,614.06	28,541.70	0.00	8,072.36	8,072.36	ST
EXELIS INC CMN	Apr 24 2013	Aug 05 2013	900.00	13,814.39	9,520.02	0.00	4,294.37	4,294.37	ST
JOHNSON & JOHNSON CMN	Sep 16 2008	Aug 07 2013	65.00	6,081.83	4,536.45	0.00	1,545.38	1,545.38	LT
	Jun 15 2009	Aug 07 2013	35.00	3,274.83	1,929.15	0.00	1,345.68	1,345.68	LT
	Jun 15 2009	Aug 08 2013	200.00	18,597.59	11,023.72	0.00	7,573.87	7,573.87	LT
	Jun 15 2009	Aug 12 2013	200.00	18,431.75	11,023.72	0.00	7,408.03	7,408.03	LT
	Jun 15 2009	Aug 13 2013	230.00	21,238.31	12,677.28	0.00	8,561.03	8,561.03	LT
	Jun 18 2010	Aug 13 2013	100.00	9,234.05	5,908.68	0.00	3,325.37	3,325.37	LT
	Mar 15 2011	Aug 13 2013	90.00	8,310.64	5,248.62	0.00	3,062.02	3,062.02	LT
BAXTER INTERNATIONAL INC CMN	Feb 11 2011	Aug 15 2013	400.00	29,047.90	20,500.62	0.00	8,547.28	8,547.28	LT
	Feb 11 2011	Aug 15 2013	150.00	10,812.17	7,687.73	0.00	3,124.44	3,124.44	LT
VCA ANTECH, INC CMN	Apr 24 2013	Aug 20 2013	50.00	1,420.10	1,073.98	0.00	346.12	346.12	ST
BAXTER INTERNATIONAL INC CMN	Feb 11 2011	Aug 26 2013	300.00	21,618.94	15,375.46	0.00	6,243.48	6,243.48	LT
VCA ANTECH, INC CMN	Apr 24 2013	Aug 26 2013	200.00	5,734.40	4,295.90	0.00	1,438.50	1,438.50	ST
BAXTER INTERNATIONAL INC CMN	Feb 11 2011	Aug 27 2013	200.00	14,115.51	10,250.31	0.00	3,865.20	3,865.20	LT
	Feb 11 2011	Aug 27 2013	200.00	14,132.33	10,250.31	0.00	3,882.02	3,882.02	LT
	Feb 11 2011	Aug 28 2013	100.00	7,065.20	5,125.15	0.00	1,940.05	1,940.05	LT
	Feb 11 2011	Aug 29 2013	155.00	10,874.81	7,943.99	0.00	2,930.82	2,930.82	LT
	Mar 15 2011	Aug 29 2013	155.00	10,874.81	7,938.33	0.00	2,936.48	2,936.48	LT
EXELIS INC CMN	Apr 24 2013	Sep 09 2013	100.00	1,538.48	1,057.78	0.00	480.70	480.70	ST
VCA ANTECH, INC CMN	Apr 24 2013	Sep 11 2013	300.00	8,456.28	6,443.85	0.00	2,012.43	2,012.43	ST
EXELIS INC CMN	Apr 24 2013	Sep 20 2013	800.00	12,710.81	8,462.24	0.00	4,248.57	4,248.57	ST
BABCOCK & WILCOX COMPANY (THE) CMN	Apr 24 2013	Sep 24 2013	600.00	20,278.50	15,893.34	0.00	4,385.16	4,385.16	ST
EXELIS INC CMN	Apr 24 2013	Sep 24 2013	900.00	14,217.23	9,520.02	0.00	4,697.21	4,697.21	ST
BABCOCK & WILCOX COMPANY (THE) CMN	Apr 24 2013	Sep 25 2013	300.00	10,204.62	7,946.67	0.00	2,257.95	2,257.95	ST

Statement Detail
PFF SHAPIRO: DYNAMIC EQ
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
EXELIS INC. CMN	Apr 24 2013	Sep 25 2013	700.00	11,187.20	7,404.46	0.00	3,782.74	3,782.74	ST
BABCOCK & WILCOX COMPANY (THE) CMN	Apr 24 2013	Sep 26 2013	400.00	13,618.24	10,595.56	0.00	3,022.68	3,022.68	ST
	Apr 24 2013	Oct 02 2013	200.00	6,822.44	5,297.78	0.00	1,524.66	1,524.66	ST
EXELIS INC. CMN	Apr 24 2013	Oct 02 2013	700.00	11,197.42	7,404.46	0.00	3,792.96	3,792.96	ST
	Apr 24 2013	Oct 02 2013	700.00	11,203.86	7,404.46	0.00	3,799.40	3,799.40	ST
LEIDOS HLDGS INC. CMN	Aug 30 2012	Oct 03 2013	0.75	34.66	0.00	0.00	34.66	34.66	LT
VCA ANTECH, INC. CMN	Apr 24 2013	Oct 09 2013	200.00	5,476.36	4,295.90	0.00	1,180.46	1,180.46	ST
	Apr 24 2013	Oct 10 2013	500.00	13,738.26	10,739.75	0.00	2,998.51	2,998.51	ST
	Apr 24 2013	Oct 11 2013	100.00	2,771.86	2,147.95	0.00	623.91	623.91	ST
BILL BARRETT CORPORATION CMN	Apr 24 2013	Oct 16 2013	200.00	5,359.12	3,993.74	0.00	1,365.38	1,365.38	ST
	Apr 24 2013	Oct 21 2013	100.00	2,712.62	1,996.87	0.00	715.75	715.75	ST
VCA ANTECH, INC. CMN	Apr 24 2013	Oct 21 2013	200.00	5,500.88	4,295.90	0.00	1,204.98	1,204.98	ST
WPX ENERGY, INC. CMN	Apr 24 2013	Oct 21 2013	200.00	4,487.98	3,171.30	0.00	1,316.68	1,316.68	ST
EXELIS INC. CMN	Apr 24 2013	Oct 22 2013	300.00	4,823.49	3,173.34	0.00	1,650.15	1,650.15	ST
WPX ENERGY, INC. CMN	Apr 24 2013	Oct 22 2013	300.00	6,793.98	4,756.95	0.00	2,037.03	2,037.03	ST
BILL BARRETT CORPORATION CMN	Apr 24 2013	Oct 24 2013	600.00	16,222.93	11,981.22	0.00	4,241.71	4,241.71	ST
WPX ENERGY, INC. CMN	Apr 24 2013	Oct 24 2013	700.00	15,592.15	11,099.55	0.00	4,492.60	4,492.60	ST
BILL BARRETT CORPORATION CMN	Apr 24 2013	Oct 28 2013	200.00	5,577.90	3,993.74	0.00	1,584.16	1,584.16	ST
	Apr 24 2013	Oct 29 2013	400.00	11,537.99	7,967.48	0.00	3,550.51	3,550.51	ST
	Apr 24 2013	Oct 31 2013	300.00	8,393.58	5,990.61	0.00	2,402.97	2,402.97	ST
	Apr 24 2013	Nov 01 2013	300.00	9,034.49	5,990.61	0.00	3,043.88	3,043.88	ST
	Apr 24 2013	Nov 04 2013	400.00	12,114.34	7,967.48	0.00	4,126.86	4,126.86	ST
	Apr 24 2013	Nov 04 2013	400.00	12,133.14	7,967.48	0.00	4,145.66	4,145.66	ST
WPX ENERGY, INC. CMN	Apr 24 2013	Nov 04 2013	200.00	4,457.50	3,171.30	0.00	1,286.20	1,286.20	ST
BILL BARRETT CORPORATION CMN	Apr 24 2013	Nov 05 2013	300.00	8,985.80	5,990.61	0.00	2,995.19	2,995.19	ST
	Apr 24 2013	Nov 06 2013	400.00	11,717.39	7,967.48	0.00	3,729.91	3,729.91	ST
WPX ENERGY, INC. CMN	Apr 24 2013	Nov 06 2013	300.00	6,462.21	4,756.95	0.00	1,705.26	1,705.26	ST
EXELIS INC. CMN	Apr 24 2013	Nov 07 2013	1,000.00	16,577.71	10,577.80	0.00	5,999.91	5,999.91	ST
BILL BARRETT CORPORATION CMN	Apr 24 2013	Nov 08 2013	600.00	17,028.66	11,981.22	0.00	5,047.44	5,047.44	ST
	Apr 24 2013	Nov 11 2013	400.00	11,518.59	7,967.48	0.00	3,531.11	3,531.11	ST
	Apr 24 2013	Nov 13 2013	400.00	11,442.24	7,967.48	0.00	3,454.76	3,454.76	ST
	Apr 24 2013	Nov 14 2013	300.00	8,576.58	5,990.61	0.00	2,585.97	2,585.97	ST
	Apr 24 2013	Nov 15 2013	100.00	2,844.49	1,996.87	0.00	847.62	847.62	ST
	Apr 26 2013	Nov 15 2013	300.00	8,533.47	6,042.75	0.00	2,490.72	2,490.72	ST

PFF SHAPIRO: DYNAMIC EQ
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
EXELIS INC CMN	Apr 26 2013	Nov 19 2013	400.00	10,814.17	8,057.00	0.00	2,757.17	2,757.17	ST
	Apr 26 2013	Nov 20 2013	100.00	2,687.00	1,994.96	0.00	692.04	692.04	ST
	Apr 26 2013	Nov 20 2013	300.00	8,061.01	6,042.75	0.00	2,018.26	2,018.26	ST
	Apr 24 2013	Dec 06 2013	200.00	3,457.49	2,115.56	0.00	1,341.93	1,341.93	ST
MALLINCKRODT PUBLIC LIMITED CO CMN SCIENCE APPLICATIONS INTL CORP CMN	Oct 22 2013	Dec 09 2013	200.00	10,560.13	8,526.70	0.00	2,033.43	2,033.43	ST
	Sep 02 2011	Dec 09 2013	100.00	3,349.29	2,847.21	0.00	502.08	502.08	LT
	Sep 02 2011	Dec 10 2013	300.00	10,302.63	8,541.64	0.00	1,760.99	1,760.99	LT
	Sep 02 2011	Dec 11 2013	19.00	676.40	540.97	0.00	135.43	135.43	LT
	Aug 30 2012	Dec 11 2013	81.00	2,883.60	2,171.01	0.00	712.59	712.59	LT
	Aug 30 2012	Dec 16 2013	200.00	6,417.81	5,360.52	0.00	1,057.29	1,057.29	LT
	Apr 24 2013	Dec 17 2013	500.00	9,243.13	5,288.90	0.00	3,954.23	3,954.23	ST
	Oct 22 2013	Dec 17 2013	100.00	5,068.40	4,263.35	0.00	805.05	805.05	ST
MALLINCKRODT PUBLIC LIMITED CO CMN SCIENCE APPLICATIONS INTL CORP CMN	Aug 30 2012	Dec 17 2013	13.00	418.24	348.43	0.00	69.81	69.81	LT
	Oct 16 2012	Dec 17 2013	89.00	2,863.36	2,220.20	0.00	643.16	643.16	LT
	Apr 25 2013	Dec 17 2013	98.00	3,152.92	3,186.34	0.00	(33.42)	(33.42)	ST
	Oct 23 2013	Dec 18 2013	200.00	10,180.52	8,675.38	0.00	1,505.14	1,505.14	ST
MALLINCKRODT PUBLIC LIMITED CO CMN SCIENCE APPLICATIONS INTL CORP CMN	Oct 24 2013	Dec 18 2013	100.00	5,090.26	4,381.13	0.00	709.13	709.13	ST
	Apr 25 2013	Dec 18 2013	159.00	4,997.23	5,169.67	0.00	(172.44)	(172.44)	ST
	Jun 26 2013	Dec 18 2013	41.00	1,288.59	1,214.32	0.00	74.27	74.27	ST
	Jun 26 2013	Dec 19 2013	102.00	3,156.43	3,020.99	0.00	135.44	135.44	ST
	Jul 15 2013	Dec 19 2013	114.00	3,527.78	3,550.54	0.00	(22.76)	(22.76)	ST
	Jul 16 2013	Dec 19 2013	84.00	2,599.42	2,585.61	0.00	13.81	13.81	ST
	Apr 24 2013	Dec 20 2013	600.00	11,277.58	6,346.68	0.00	4,930.90	4,930.90	ST
	Oct 24 2013	Dec 20 2013	100.00	5,224.17	4,381.13	0.00	843.04	843.04	ST
MALLINCKRODT PUBLIC LIMITED CO CMN SCIENCE APPLICATIONS INTL CORP CMN	Nov 05 2013	Dec 20 2013	100.00	5,224.17	4,449.26	0.00	774.91	774.91	ST
	Jul 16 2013	Dec 23 2013	2.00	63.79	61.56	0.00	2.23	2.23	ST
	Jul 18 2013	Dec 23 2013	98.00	3,125.82	3,064.49	0.00	61.33	61.33	ST
	Apr 24 2013	Dec 24 2013	400.00	7,581.74	4,231.12	0.00	3,350.62	3,350.62	ST
EXELIS INC CMN SCIENCE APPLICATIONS INTL CORP CMN	Jul 18 2013	Dec 24 2013	2.00	62.81	62.54	0.00	0.27	0.27	ST
	Jul 24 2013	Dec 24 2013	57.00	1,790.02	1,859.11	0.00	(69.09)	(69.09)	ST



Statement Detail
PFF SHAPIRO: DYNAMIC EQ
Realized Gains and Losses (Continued)

Period Ended December 31, 2013								
Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
Sep 04 2013	Dec 24 2013	114.00	3,580.05	3,596.81	0.00	(16.76)	(16.76)	ST
Sep 10 2013	Dec 24 2013	72.00	2,261.08	2,269.39	0.00	(8.31)	(8.31)	ST
EXELIS INC CMN	Apr 24 2013	300.00	5,761.30	3,173.34	0.00	2,587.96	2,587.96	ST
	Apr 24 2013	500.00	9,459.93	5,288.90	0.00	4,171.03	4,171.03	ST
MALLINCKRODT PUBLIC LIMITED CO CMN	Nov 05 2013	200.00	10,409.93	8,898.52	0.00	1,511.41	1,511.41	ST
			Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	
SHORT TERM GAINS			559,831.53	403,046.83	0.00	156,784.70	156,784.70	
SHORT TERM LOSSES			19,309.08	19,631.86	0.00	(322.78)	(322.78)	
NET SHORT TERM GAINS (LOSSES)			579,140.61	422,678.69	0.00	156,461.92	156,461.92	
LONG TERM GAINS			244,513.17	169,699.81	0.00	74,813.36	74,813.36	
NET LONG TERM GAINS (LOSSES)			244,513.17	169,699.81	0.00	74,813.36	74,813.36	

PFF HARDING LOEVNER: NON-US EQ
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
HSBC HOLDINGS PLC SPONSORED ADR CMN	Apr 25 2013	Jun 05 2013	590.00	32,548.85	31,531.08	0.00	1,017.77	1,017.77	ST
ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN	Sep 25 2012	Jun 18 2013	1,088.00	43,109.06	30,497.07	0.00	12,611.99	12,611.99	ST
AIR LIQUIDE ADR ADR CMN	Mar 22 2012	Jul 24 2013	627.00	15,262.46	14,921.37	0.00	1,341.09	1,341.09	LT
LONZA GROUP AG UNSPONSORED ADR CMN	Apr 25 2013	Sep 12 2013	3,884.00	31,129.71	26,916.12	0.00	4,213.59	4,213.59	ST
POTASH CORP OF SASKATCHEWAN INC	Nov 01 2011	Sep 19 2013	1,099.00	35,120.24	49,971.53	0.00	(14,851.29)	(14,851.29)	LT
	Sep 28 2012	Sep 19 2013	121.00	3,866.74	5,252.61	0.00	(1,385.87)	(1,385.87)	ST
XINYI GLASS HLDGS LTD ADR CMN	Apr 25 2013	Sep 19 2013	777.00	13,937.58	11,243.19	0.00	2,694.39	2,694.39	ST
LONZA GROUP AG UNSPONSORED ADR CMN	Apr 25 2013	Oct 01 2013	2,746.00	22,430.85	19,029.78	0.00	3,401.07	3,401.07	ST
FRESENIUS MEDICAL CARE AG & CO KGAA	Jan 06 2011	Oct 07 2013	92.00	2,968.72	2,607.05	0.00	361.67	361.67	LT
SPONSORED ADR CMN	Mar 13 2012	Oct 07 2013	726.00	23,427.11	24,926.23	0.00	(1,499.12)	(1,499.12)	LT
				Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	
SHORT TERM GAINS				143,156.05	119,217.24	0.00	23,938.81	23,938.81	
SHORT TERM LOSSES				3,866.74	5,252.61	0.00	(1,385.87)	(1,385.87)	
NET SHORT TERM GAINS (LOSSES)				147,022.79	124,469.85	0.00	22,552.94	22,552.94	
LONG TERM GAINS				19,231.18	17,528.42	0.00	1,702.76	1,702.76	
LONG TERM LOSSES				58,547.35	74,897.76	0.00	(16,350.41)	(16,350.41)	
NET LONG TERM GAINS (LOSSES)				77,778.53	92,426.18	0.00	(14,647.65)	(14,647.65)	

PFF HARRIS ASSOCIATES: DYNAMIC EQ
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CARMAX, INC CMN	Apr 25 2013	May 01 2013	900.00	40,887.43	40,511.07	0.00	376.36	376.36	ST
	Apr 25 2013	May 15 2013	1,200.00	57,981.62	54,014.76	0.00	3,966.86	3,966.86	ST
DELPHI AUTOMOTIVE PLC CMN	Apr 25 2013	Jul 11 2013	1,000.00	54,448.35	44,727.60	0.00	9,720.75	9,720.75	ST
BOEING COMPANY CMN	Apr 25 2013	Jul 23 2013	1,600.00	171,672.69	147,200.32	0.00	24,472.37	24,472.37	ST
APPLIED MATERIALS INC CMN	Mar 15 2011	Sep 11 2013	700.00	11,204.07	10,306.03	0.00	898.04	898.04	LT
	Mar 15 2011	Sep 16 2013	2,800.00	44,886.57	41,224.12	0.00	3,662.45	3,662.45	LT
	Mar 15 2011	Sep 18 2013	600.00	9,571.45	8,833.74	0.00	737.71	737.71	LT
STARWOOD HOTELS & RESORTS CMN	May 20 2011	Nov 04 2013	700.00	52,386.94	41,650.00	0.00	10,736.94	10,736.94	LT
ILLINOIS TOOL WORKS CMN	Apr 25 2013	Nov 14 2013	900.00	71,617.60	59,234.67	0.00	12,382.93	12,382.93	ST
VISA INC CMN CLASS A	Apr 15 2010	Nov 14 2013	175.00	34,982.44	16,505.91	0.00	18,476.53	18,476.53	LT
	Jun 18 2010	Nov 14 2013	75.00	14,992.47	5,859.84	0.00	9,132.63	9,132.63	LT
TIFFANY & CO CMN	Apr 25 2013	Nov 27 2013	600.00	53,132.85	44,156.40	0.00	8,976.45	8,976.45	ST
INTEL CORPORATION CMN	Sep 28 2012	Dec 05 2013	1,200.00	28,752.81	27,276.00	0.00	1,476.81	1,476.81	LT
SHORT TERM GAINS				449,740.54	389,844.82	0.00	59,895.72	59,895.72	
NET SHORT TERM GAINS (LOSSES)				449,740.54	389,844.82	0.00	59,895.72	59,895.72	
LONG TERM GAINS				196,776.75	151,655.64	0.00	45,121.11	45,121.11	
NET LONG TERM GAINS (LOSSES)				196,776.75	151,655.64	0.00	45,121.11	45,121.11	

Statement Detail
PFF ARISTOTLE: LCV
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CHARLES SCHWAB CORPORATION CMN	Sep 25 2012	Jun 13 2013	3,355.00	67,086.08	43,446.58	0.00	23,639.50	23,639.50	ST
THE HERSHEY COMPANY CMN	Jan 02 2013	Jun 13 2013	520.00	45,504.30	38,252.71	0.00	7,251.59	7,251.59	ST
ABBVIE INC CMN	Apr 25 2013	Jul 15 2013	650.00	29,040.97	28,732.80	0.00	308.17	308.17	ST
AES CORP. CMN	Apr 25 2013	Jul 15 2013	2,300.00	29,341.50	31,003.08	0.00	(1,661.58)	(1,661.58)	ST
BANCO SANTANDER, S.A. SPON ADR	Apr 25 2013	Jul 15 2013	3,150.00	20,317.14	22,474.31	0.00	(2,157.17)	(2,157.17)	ST
BANK OF AMERICA CORP CMN	Apr 25 2013	Jul 15 2013	3,900.00	53,868.06	48,511.71	0.00	5,346.35	5,346.35	ST
BAXTER INTERNATIONAL INC CMN	Apr 25 2013	Jul 15 2013	550.00	39,857.75	37,950.00	0.00	1,907.75	1,907.75	ST
BUNGE LIMITED. ORD CMN	Mar 19 2013	Jul 15 2013	435.00	32,071.34	32,510.16	0.00	(438.82)	(438.82)	ST
COTY, INC. CMN CLASS A	Jun 17 2013	Jul 15 2013	2,050.00	35,399.40	35,762.66	0.00	(363.26)	(363.26)	ST
COVIDIEN PUBLIC LIMITED COMPAN CMN	Jun 18 2010	Jul 15 2013	25.00	1,492.82	963.82	0.00	529.00	529.00	LT
	Sep 13 2010	Jul 15 2013	435.00	25,974.96	14,751.36	0.00	11,223.60	11,223.60	LT
	Feb 11 2011	Jul 15 2013	90.00	5,374.13	4,138.38	0.00	1,235.75	1,235.75	LT
DEERE & COMPANY CMN	Apr 25 2013	Jul 15 2013	400.00	33,751.41	34,402.52	0.00	(651.11)	(651.11)	ST
DIAGEO PLC SPON ADR (NEW) SPONSORED ADR	Sep 25 2012	Jul 15 2013	386.00	46,905.44	43,764.64	0.00	3,140.80	3,140.80	ST
CMN	Apr 25 2013	Jul 15 2013	64.00	7,777.06	7,680.32	0.00	96.74	96.74	ST
DOW CHEMICAL CO CMN	Apr 25 2013	Jul 15 2013	950.00	32,618.92	32,256.68	0.00	362.24	362.24	ST
EBAY INC CMN	Apr 25 2013	Jul 15 2013	1,100.00	61,982.38	57,556.62	0.00	4,425.76	4,425.76	ST
ECOLAB INC CMN	Apr 25 2013	Jul 15 2013	450.00	40,643.30	37,653.62	0.00	2,989.68	2,989.68	ST
EMC CORPORATION MASS CMN	Apr 25 2013	Jul 15 2013	1,950.00	48,946.09	44,148.00	0.00	4,798.09	4,798.09	ST
FIRST REPUBLIC BANK CMN SERIES	Apr 25 2013	Jul 15 2013	900.00	36,042.57	33,977.97	0.00	2,064.60	2,064.60	ST
GENERAL DYNAMICS CORP. CMN	Apr 25 2013	Jul 15 2013	500.00	41,474.27	36,763.90	0.00	4,710.37	4,710.37	ST
GENERAL ELECTRIC CO CMN	Apr 25 2013	Jul 15 2013	2,100.00	49,564.55	46,042.29	0.00	3,522.26	3,522.26	ST
GENERAL MILLS INC CMN	May 24 2010	Jul 15 2013	600.00	30,870.96	21,614.84	0.00	9,256.12	9,256.12	LT
HALLIBURTON COMPANY CMN	Jun 20 2012	Jul 15 2013	973.00	42,796.46	28,667.69	0.00	14,128.77	14,128.77	LT
	Jun 21 2012	Jul 15 2013	265.00	11,655.77	7,628.87	0.00	4,026.90	4,026.90	LT
HESS CORPORATION CMN	Apr 25 2013	Jul 15 2013	450.00	31,945.44	32,003.55	0.00	(58.11)	(58.11)	ST
INTL BUSINESS MACHINES CORP CMN	Nov 09 2010	Jul 15 2013	109.00	21,069.34	15,934.87	0.00	5,134.47	5,134.47	LT
JPMORGAN CHASE & CO CMN	Apr 25 2013	Jul 15 2013	1,000.00	54,641.54	48,950.20	0.00	5,691.34	5,691.34	ST
LENNAR CORPORATION CMN CLASS A	Oct 08 2012	Jul 15 2013	383.00	13,893.35	14,461.31	0.00	(567.96)	(567.96)	ST
	Oct 18 2012	Jul 15 2013	233.00	8,452.09	8,998.41	0.00	(546.32)	(546.32)	ST

Statement Detail
PFF ARISTOTLE: LCV
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
	Nov 06 2012	Jul 15 2013	98 00	3,554.96	3,810.03	0.00	(255.07)	(255.07)	ST
	Nov 09 2012	Jul 15 2013	240 00	8,706.01	9,107.45	0.00	(401.44)	(401.44)	ST
	Apr 25 2013	Jul 15 2013	96 00	3,482.40	4,023.43	0.00	(541.03)	(541.03)	ST
M&T BANK CORPORATION CMN	Apr 25 2013	Jul 15 2013	405 00	47,589.67	40,529.93	0.00	7,059.74	7,059.74	ST
MALLINCKRODT PUBLIC LIMITED CO CMN	Jun 18 2010	Jul 15 2013	3 00	125.19	92.34	0.00	32.85	32.85	LT
	Sep 13 2010	Jul 15 2013	47 00	1,961.27	1,230.01	0.00	731.26	731.26	LT
MEDTRONIC INC CMN	Apr 25 2013	Jul 15 2013	850 00	45,601.70	39,956.29	0.00	5,645.41	5,645.41	ST
MITSUBISHI UFJ FINL GROUP, INC SPONSORED ADR CMN	Apr 25 2013	Jul 15 2013	5,150 00	33,959.53	34,516.33	0.00	(556.80)	(556.80)	ST
ORACLE CORPORATION CMN	Aug 08 2008	Jul 15 2013	1,250 00	39,650.56	29,029.00	0.00	10,621.56	10,621.56	LT
PHILLIPS 66 CMN	Apr 25 2013	Jul 15 2013	700 00	41,503.25	43,301.86	0.00	(1,798.61)	(1,798.61)	ST
PIONEER NATURAL RESOURCES CO CMN	Apr 25 2013	Jul 15 2013	250 00	38,238.98	29,365.90	0.00	8,873.08	8,873.08	ST
PROGRESSIVE CORPORATION (THE) CMN	Apr 25 2013	Jul 15 2013	900 00	23,246.59	22,858.47	0.00	388.12	388.12	ST
SPX CORPORATION CMN	Apr 25 2013	Jul 15 2013	450 00	33,390.40	33,721.70	0.00	(331.30)	(331.30)	ST
SUNTRUST BANKS INC S1 00 PAR CMN	Apr 25 2013	Jul 15 2013	1,450 00	48,946.22	42,421.35	0.00	6,524.87	6,524.87	ST
TE CONNECTIVITY LTD CMN	Apr 25 2013	Jul 15 2013	1,150 00	54,794.59	49,317.29	0.00	5,477.30	5,477.30	ST
TEXAS INSTRUMENTS INC CMN	Apr 25 2013	Jul 15 2013	950 00	35,728.88	34,285.98	0.00	1,442.90	1,442.90	ST
THE HERSHEY COMPANY CMN	Jan 02 2013	Jul 15 2013	104 00	9,576.93	7,650.54	0.00	1,926.39	1,926.39	ST
	Apr 25 2013	Jul 15 2013	296 00	27,257.42	26,296.97	0.00	960.45	960.45	ST
THE HOME DEPOT, INC CMN	Oct 08 2012	Jul 15 2013	231 00	18,627.35	14,402.85	0.00	4,224.50	4,224.50	ST
	Oct 10 2012	Jul 15 2013	158 00	12,740.79	9,526.96	0.00	3,213.83	3,213.83	ST
	Nov 06 2012	Jul 15 2013	52 00	4,183.17	3,266.11	0.00	927.06	927.06	ST
	Nov 08 2012	Jul 15 2013	155 00	12,498.87	9,477.97	0.00	3,020.90	3,020.90	ST
	Nov 12 2012	Jul 15 2013	139 00	11,208.67	8,506.47	0.00	2,702.20	2,702.20	ST
	Nov 13 2012	Jul 15 2013	15 00	1,209.57	954.39	0.00	255.18	255.18	ST
TIME WARNER INC CMN	Dec 21 2010	Jul 15 2013	850 00	52,222.58	27,045.21	0.00	25,177.37	25,177.37	LT
UNILEVER N V NY SHS (NEW) ADR CMN	Sep 28 2012	Jul 15 2013	750 00	31,114.04	26,564.85	0.00	4,549.19	4,549.19	ST
	Dec 19 2012	Jul 15 2013	25 00	1,037.14	966.45	0.00	70.69	70.69	ST
	Apr 25 2013	Jul 15 2013	375 00	15,557.01	15,461.70	0.00	95.31	95.31	ST
VODAFONE GROUP PLC SPONSORED ADR CMN	Apr 25 2013	Jul 15 2013	1,000 00	29,432.98	30,397.00	0.00	(964.02)	(964.02)	ST

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
WALGREEN CO. CMN	Jun 07 2011	Jul 15 2013	950.00	46,691.68	41,182.50	0.00	5,509.18	5,509.18	LT
QUESTAR CORPORATION CMN	Apr 25 2013	Jul 26 2013	3,750.00	88,271.21	94,485.00	0.00	(6,213.79)	(6,213.79)	ST
BANCO SANTANDER, S.A. SPON ADR	Aug 09 2013	Aug 09 2013	0.97	7.34	0.00	0.00	7.34	7.34	ST
MALINCKRODT PUBLIC LIMITED CO CMN	Sep 13 2010	Oct 02 2013	7.00	312.71	183.19	0.00	129.52	129.52	LT
	Feb 11 2011	Oct 02 2013	117.00	5,226.72	4,118.81	0.00	1,107.91	1,107.91	LT
	Apr 25 2013	Oct 02 2013	1.00	44.66	29.05	0.00	15.61	15.61	ST
VODAFONE GROUP PLC SPONSORED ADR CMN	Apr 25 2013	Oct 09 2013	1,550.00	53,340.46	47,115.35	0.00	6,225.11	6,225.11	ST
BUNGE LIMITED ORD CMN	Mar 19 2013	Oct 28 2013	100.00	8,303.55	7,473.60	0.00	829.95	829.95	ST
	Mar 19 2013	Oct 28 2013	250.00	20,758.86	18,684.00	0.00	2,074.86	2,074.86	ST
	Apr 25 2013	Oct 28 2013	250.00	20,758.86	18,252.25	0.00	2,506.61	2,506.61	ST
BANCO SANTANDER, S.A. SPON ADR	Nov 13 2013	Nov 13 2013	0.41	3.62	0.00	0.00	3.62	3.62	ST
SHORT TERM GAINS				Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	
				1,198,428.22	1,059,052.76	0.00	139,375.46	139,375.46	
SHORT TERM LOSSES				447,472.41	464,978.80	0.00	(17,506.39)	(17,506.39)	
NET SHORT TERM GAINS (LOSSES)				1,645,900.63	1,524,031.56	0.00	121,869.07	121,869.07	
LONG TERM GAINS				285,425.15	196,580.89	0.00	88,844.26	88,844.26	
NET LONG TERM GAINS (LOSSES)				285,425.15	196,580.89	0.00	88,844.26	88,844.26	

Statement Detail
PFF CAPITAL GROUP PCS: NON-US EQ
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
HENNES & MAURITZ AB ADR CMN	Apr 25 2013	May 02 2013	3,106.00	21,375.94	21,369.28	0.00	6.66	6.66	ST
MITSUBISHI CORP SPONS ADR SPONSORED ADR CMN	Apr 25 2013	May 02 2013	316.00	11,286.63	11,438.32	0.00	(151.69)	(151.69)	ST
IMPERIAL TOBACCO GROUP PLC SPON ADR	Sep 25 2012	May 13 2013	318.00	22,580.67	24,164.82	0.00	(1,584.15)	(1,584.15)	ST
SAMPO OYJ UNSPONSORED ADR CMN REPRESENTING A SHARES (FINLAND)	Apr 25 2013	May 13 2013	671.00	13,767.01	13,289.16	0.00	477.85	477.85	ST
SYSMEX CORPORATION CMN	Apr 25 2013	May 13 2013	274.00	18,138.39	17,822.91	0.00	315.48	315.48	ST
SAMPO OYJ UNSPONSORED ADR CMN REPRESENTING A SHARES (FINLAND)	Apr 25 2013	May 14 2013	732.00	15,038.09	14,497.26	0.00	540.83	540.83	ST
HONG KONG & CHINA GAS COMPANY LTD (ADR) NEW	Apr 25 2013	Jun 05 2013	0.40	1.05	0.00	0.00	1.05	1.05	ST
BG GROUP PLC SPON ADR ADR CMN	Apr 25 2013	Jun 12 2013	517.00	9,426.34	8,587.37	0.00	838.97	838.97	ST
Apr 25 2013	Jun 13 2013	786.00	14,429.76	13,055.46	0.00	1,374.30	1,374.30	ST	
AUSTRALIA & NEWZEALAND BANKING GRP LTD CMN ADR	Sep 25 2012	Sep 11 2013	672.00	19,057.58	17,203.20	0.00	1,854.38	1,854.38	ST
BANCO BRADESCO S.A. ADR CMN	Sep 25 2012	Sep 11 2013	991.00	13,094.65	16,231.09	0.00	(3,136.44)	(3,136.44)	ST
BG GROUP PLC SPON ADR ADR CMN	Apr 25 2013	Sep 11 2013	872.00	16,567.71	14,483.92	0.00	2,083.79	2,083.79	ST
CARNIVAL CORPORATION CMN	Apr 25 2013	Sep 11 2013	389.00	14,556.94	13,478.85	0.00	1,078.09	1,078.09	ST
GEMALTO N.V. SPONSORED ADR CMN	Apr 25 2013	Sep 11 2013	324.00	16,951.38	13,355.28	0.00	3,596.10	3,596.10	ST
HITACHI LTD (ADR 10 COM) ADR CMN	Sep 25 2012	Sep 11 2013	236.00	14,898.42	13,791.84	0.00	1,106.58	1,106.58	ST
HSBC HOLDINGS PLC SPONSORED ADR CMN	Sep 26 2012	Sep 11 2013	400.00	22,173.61	18,558.68	0.00	3,614.93	3,614.93	ST
ICICI BANK LIMITED SPONS ADR	Apr 25 2013	Sep 11 2013	814.00	25,407.83	37,601.26	0.00	(12,193.43)	(12,193.43)	ST
LINDE AG SPONSORED ADR CMN	Apr 25 2013	Sep 11 2013	1,620.00	31,751.44	29,856.60	0.00	1,894.84	1,894.84	ST
RIO TINTO PLC SPONSORED ADR	Sep 25 2012	Sep 11 2013	597.00	30,537.14	28,338.28	0.00	2,198.86	2,198.86	ST
ROYAL DUTCH SHELL PLC SPONSORED ADR	Sep 25 2012	Sep 11 2013	392.00	25,649.09	27,996.64	0.00	(2,347.55)	(2,347.55)	ST
CMN SERIES A	Apr 25 2013	Sep 11 2013	7.00	458.02	470.89	0.00	(12.87)	(12.87)	ST
WOLTERS KLUWER NV SPONSORED ADR CMN	Apr 25 2013	Sep 11 2013	891.00	21,891.48	20,368.26	0.00	1,523.22	1,523.22	ST
BG GROUP PLC SPON ADR ADR CMN	Apr 25 2013	Sep 20 2013	988.00	18,638.03	16,078.48	0.00	2,559.55	2,559.55	ST
HONG KONG & CHINA GAS COMPANY LTD (ADR) NEW	Apr 25 2013	Sep 20 2013	367.00	881.77	1,020.77	0.00	(139.00)	(139.00)	ST

PFF CAPITAL GROUP PCS: NON-US EQ
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
SCHNEIDER ELECTRIC SA UNSPONSORED ADR (FRANCE)	Apr 25 2013	Sep 20 2013	1,408.00	24,558.89	21,458.51	0.00	3,100.38	3,100.38	ST
RTS/BARCLAYS PLC EXP10/01/2013	Sep 25 2012	Sep 22 2013	0.75	4.16	0.00	0.00	4.16	4.16	ST
HONG KONG & CHINA GAS COMPANY LTD (ADR) NEW	Apr 25 2013	Sep 23 2013	8,459.00	19,802.17	23,527.86	0.00	(3,725.69)	(3,725.69)	ST
RTS/BARCLAYS PLC EXP10/01/2013	Sep 25 2012	Sep 23 2013	270.00	1,395.65	0.00	0.00	1,395.65	1,395.65	ST
COCA-COLA AMATIL LIMITED SPONSORED ADR	Apr 25 2013	Oct 07 2013	306.00	7,115.38	9,522.72	0.00	(2,407.34)	(2,407.34)	ST
CMN	Apr 25 2013	Oct 08 2013	1,138.00	26,352.09	35,414.56	0.00	(9,062.47)	(9,062.47)	ST
HITACHI LTD (ADR 10 COM) ADR CMN	Sep 25 2012	Oct 11 2013	288.00	18,191.55	16,830.72	0.00	1,360.83	1,360.83	LT
HSBC HOLDINGS PLC SPONSORED ADR CMN	Sep 26 2012	Oct 29 2013	130.00	7,186.82	6,031.57	0.00	1,155.25	1,155.25	LT
	Oct 09 2012	Oct 29 2013	155.00	8,568.90	7,336.65	0.00	1,232.25	1,232.25	LT
BANK OF CHINA LIMITED FOREIGN NETTING/DTC ELIGIBLE	Apr 25 2013	Oct 30 2013	32,182.00	14,723.01	15,254.27	0.00	(531.26)	(531.26)	ST
CAE INC CMN	Apr 25 2013	Oct 30 2013	3,829.00	43,646.01	40,355.36	0.00	3,290.65	3,290.65	ST
CENOVUS ENERGY INC. CMN	Apr 25 2013	Nov 15 2013	620.00	18,036.47	18,125.45	0.00	(88.98)	(88.98)	ST
	Apr 25 2013	Dec 26 2013	1,272.00	35,652.00	37,186.41	0.00	(1,534.41)	(1,534.41)	ST
KEYENCE CORPORATION CMN	Apr 25 2013	Dec 26 2013	43.00	17,971.46	13,523.36	0.00	4,448.10	4,448.10	ST
SHORT TERM GAINS				386,776.48	349,472.06	0.00	37,304.42	37,304.42	
SHORT TERM LOSSES				221,039.78	257,955.06	0.00	(36,915.28)	(36,915.28)	
NET SHORT TERM GAINS (LOSSES)				607,816.26	607,427.12	0.00	389.14	389.14	
LONG TERM GAINS				33,947.27	30,198.94	0.00	3,748.33	3,748.33	
NET LONG TERM GAINS (LOSSES)				33,947.27	30,198.94	0.00	3,748.33	3,748.33	



Statement Detail

PFF GS: US EQ (UNCOVERED CALLS)
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CALL/SPX @ 1690 EXP 07/20/2013	Jun 12 2013	Jul 16 2013 ²	7.00	2,998.00	1,904.00	0.00	1,092.00	1,092.00	RFC
CALL/SPX @ 1640 EXP 08/17/2013	Jun 24 2013	Aug 02 2013 ²	7.00	8,036.00	45,864.00	0.00	(37,828.00)	(37,828.00)	RFC
CALL/SPX @ 1685 EXP 08/17/2013	Jul 01 2013	Aug 14 2013 ²	7.00	4,466.00	5,334.00	0.00	(868.00)	(868.00)	RFC
CALL/SPX @ 1720 EXP 09/30/2013	Aug 02 2013	Sep 26 2013 ²	7.00	15,036.00	644.00	0.00	14,392.00	14,392.00	RFC
CALL/SPX @ 1725 EXP 10/19/2013	Jul 16 2013	Oct 10 2013 ²	7.00	15,176.00	994.00	0.00	14,182.00	14,182.00	RFC
CALL/SPX @ 1725 EXP 11/16/2013	Aug 14 2013	Nov 13 2013 ²	7.00	16,366.00	35,854.00	0.00	(19,488.00)	(19,488.00)	RFC
CALL/SPX @ 1745 EXP 12/21/2013	Aug 23 2013	Dec 16 2013 ²	6.00	8,088.00	26,712.00	0.00	(18,624.00)	(18,624.00)	RFC
CALL/SPX @ 1760 EXP 12/21/2013	Oct 04 2013	Dec 16 2013 ²	7.00	8,176.00	22,274.00	0.00	(14,098.00)	(14,098.00)	RFC
CALL/SPX @ 1740 EXP 01/18/2014	Aug 02 2013	Dec 26 2013 ²	7.00	27,266.00	71,764.00	0.00	(44,478.00)	(44,478.00)	RFC
CALL/SPX @ 1820 EXP 01/18/2014	Nov 13 2013	Dec 31 2013 ²	0.00	14,679.00	0.00	0.00	(14,679.00)	(14,679.00)	RFC
CALL/SPX @ 1830 EXP 02/22/2014	Nov 13 2013	Dec 31 2013 ²	0.00	14,399.00	0.00	0.00	(14,399.00)	(14,399.00)	RFC
CALL/SPX @ 1840 EXP 02/22/2014	Dec 16 2013	Dec 31 2013 ²	0.00	11,802.00	0.00	0.00	(11,802.00)	(11,802.00)	RFC
CALL/SPX @ 1850 EXP 03/22/2014	Dec 16 2013	Dec 31 2013 ²	0.00	13,559.00	0.00	0.00	(13,559.00)	(13,559.00)	RFC
CALL/SPX @ 1925 EXP 04/19/2014	Dec 26 2013	Dec 31 2013 ²	0.00	2,674.00	0.00	0.00	(2,674.00)	(2,674.00)	RFC

² Indicates A Short Position

PFF GS: NON-US EQ (UNCOVERED CALL)
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CALL/EFA @ 62 EXP 07/20/2013	Jun 12 2013	Jul 12 2013 ²	85.00	1,699.96	340.00	0.00	1,359.96	1,359.96	ST
CALL/EFA @ 60 EXP 08/17/2013	Jun 25 2013	Aug 15 2013 ²	85.00	2,634.95	12,580.00	0.00	(9,945.05)	(9,945.05)	ST
CALL/EFA @ 63 EXP 09/21/2013	Jul 12 2013	Sep 19 2013 ²	85.00	3,569.93	15,300.00	0.00	(11,730.07)	(11,730.07)	ST
CALL/EFA @ 64 EXP 10/19/2013	Jul 30 2013	Oct 16 2013 ²	85.00	2,762.45	8,075.00	0.00	(5,312.55)	(5,312.55)	ST
CALL/EFA @ 64 EXP 11/16/2013	Sep 05 2013	Oct 31 2013 ²	83.00	3,983.92	18,675.00	0.00	(14,691.08)	(14,691.08)	ST
CALL/EFA @ 65 EXP 12/21/2013	Jul 24 2013	Dec 19 2013 ²	85.00	6,316.23	1,020.00	0.00	5,296.23	5,296.23	ST
CALL/EFA @ 67 EXP 12/21/2013	Oct 31 2013	Dec 19 2013 ²	83.00	6,224.89	249.00	0.00	5,975.89	5,975.89	ST
Summary									
				Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	
SHORT TERM GAINS				14,241.08	1,609.00	0.00	12,632.08	12,632.08	
SHORT TERM LOSSES				12,951.25	54,630.00	0.00	(41,678.75)	(41,678.75)	
NET SHORT TERM GAINS (LOSSES)				27,192.33	56,239.00	0.00	(29,046.67)	(29,046.67)	

² Indicates A Short Position



Statement Detail
PFF GS: US EQ YLD ENHANCEMENT
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

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	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
PUT/SPX @ 1510 EXP 07/20/2013	Jun 12 2013	Jul 20 2013 ²	5.00	4,890.00	0.00	0.00	4,890.00	4,890.00	RFC
PUT/SPX @ 1450 EXP 08/17/2013	Jun 24 2013	Aug 13 2013 ²	6.00	6,948.00	36.00	0.00	6,912.00	6,912.00	RFC
PUT/SPX @ 1540 EXP 08/17/2013	Jul 01 2013	Aug 17 2013 ²	5.00	6,740.00	0.00	0.00	6,740.00	6,740.00	RFC
PUT/SPX @ 1590 EXP 09/30/2013	Jul 18 2013	Sep 17 2013 ²	5.00	7,240.00	560.00	0.00	6,680.00	6,680.00	RFC
PUT/SPX @ 1500 EXP 09/21/2013	Jul 02 2013	Sep 21 2013 ²	5.00	8,190.00	0.00	0.00	8,190.00	8,190.00	RFC
PUT/SPX @ 1590 EXP 10/19/2013	Jul 31 2013	Oct 17 2013 ²	5.00	7,820.70	30.00	0.00	7,790.70	7,790.70	RFC
PUT/SPX @ 1550 EXP 10/19/2013	Aug 21 2013	Oct 19 2013 ²	5.00	6,790.00	0.00	0.00	6,790.00	6,790.00	RFC
PUT/SPX @ 1620 EXP 11/16/2013	Oct 02 2013	Oct 29 2013 ²	1.00	1,568.00	92.00	0.00	1,476.00	1,476.00	RFC
PUT/SPX @ 1575 EXP 11/16/2013	Aug 13 2013	Nov 12 2013 ²	1.00	1,681.16	14.54	0.00	1,666.62	1,666.62	RFC
PUT/SPX @ 1620 EXP 11/16/2013	Oct 02 2013	Nov 12 2013 ²	4.00	6,272.00	108.00	0.00	6,164.00	6,164.00	RFC
PUT/SPX @ 1575 EXP 11/16/2013	Aug 13 2013	Nov 16 2013 ²	4.00	6,724.64	0.00	0.00	6,724.64	6,724.64	RFC
PUT/SPX @ 1600 EXP 12/21/2013	Sep 24 2013	Nov 21 2013 ²	2.00	3,536.00	284.00	0.00	3,252.00	3,252.00	RFC
	Sep 24 2013	Dec 11 2013 ²	3.00	5,304.00	217.59	0.00	5,086.41	5,086.41	RFC
PUT/SPX @ 1650 EXP 12/21/2013	Oct 17 2013	Dec 11 2013 ²	2.00	2,795.20	244.00	0.00	2,552.20	2,552.20	RFC
	Oct 17 2013	Dec 17 2013 ²	3.00	4,194.30	81.00	0.00	4,113.30	4,113.30	RFC
PUT/SPX @ 1680 EXP 02/22/2014	Nov 21 2013	Dec 31 2013 ²	0.00	0.00	5,515.00	0.00	5,515.00	5,515.00	RFC
PUT/SPX @ 1690 EXP 01/18/2014	Oct 29 2013	Dec 31 2013 ²	0.00	0.00	8,671.75	0.00	8,671.75	8,671.75	RFC
PUT/SPX @ 1710 EXP 01/18/2014	Nov 12 2013	Dec 31 2013 ²	0.00	0.00	9,141.60	0.00	9,141.60	9,141.60	RFC
PUT/SPX @ 1710 EXP 02/22/2014	Dec 11 2013	Dec 31 2013 ²	0.00	0.00	7,415.00	0.00	7,415.00	7,415.00	RFC

² Indicates A Short Position



Statement Detail
PFF VULCAN: DYNAMIC EQ
Realized Gains and Losses

Period Ended December 31, 2013

YEAR TO DATE GAINS AND LOSSES

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not, and should not be construed as tax, accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
APPLE, INC CMN	Jul 19 2013	Jul 26 2013	22.00	9,647.49	9,403.21	0.00	244.28	244.28	ST
	Jul 19 2013	Aug 05 2013	21.00	9,811.23	8,975.79	0.00	835.44	835.44	ST
MASTERCARD INCORPORATED CMN CLASS A	Jul 19 2013	Aug 07 2013	16.00	10,358.53	9,570.55	0.00	787.98	787.98	ST
QUALCOMM INC CMN	Jul 19 2013	Aug 12 2013	146.00	9,684.01	8,951.01	0.00	733.00	733.00	ST
APPLE, INC CMN	Jul 19 2013	Aug 14 2013	31.00	15,441.32	13,249.98	0.00	2,191.34	2,191.34	ST
EVEREST RE GROUP LTD CMN	Jul 19 2013	Aug 15 2013	81.00	11,237.42	10,506.85	0.00	730.57	730.57	ST
APPLE, INC CMN	Jul 19 2013	Aug 16 2013	14.00	7,011.09	5,983.86	0.00	1,027.23	1,027.23	ST
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	Jul 19 2013	Aug 16 2013	664.00	37,489.44	37,649.27	0.00	(159.83)	(159.83)	ST
DOVER CORPORATION CMN	Jul 19 2013	Aug 16 2013	275.00	24,122.25	23,304.49	0.00	817.76	817.76	ST
LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN	Jul 19 2013	Aug 16 2013	108.00	4,022.92	3,759.42	0.00	263.50	263.50	ST
ORACLE CORPORATION CMN	Jul 19 2013	Aug 16 2013	168.00	5,493.95	5,331.40	0.00	162.55	162.55	ST
QUALCOMM INC CMN	Jul 19 2013	Aug 16 2013	54.00	3,622.25	3,310.65	0.00	311.60	311.60	ST
TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN	Jul 19 2013	Aug 16 2013	197.00	3,415.92	3,350.22	0.00	65.70	65.70	ST
APPLE, INC CMN	Jul 19 2013	Sep 09 2013	4.00	2,017.75	1,709.68	0.00	308.07	308.07	ST
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	Jul 19 2013	Sep 09 2013	11.00	628.41	623.71	0.00	4.70	4.70	ST
CHUBB CORP CMN	Jul 19 2013	Sep 09 2013	1.00	84.96	88.28	0.00	(3.32)	(3.32)	ST
CHUBB CORP CMN DISALLOWED LOSSES								3.32	
DOVER CORPORATION CMN	Jul 19 2013	Sep 09 2013	16.00	1,408.28	1,355.90	0.00	52.38	52.38	ST
EXPRESS SCRIPTS HOLDINGS CMN	Jul 19 2013	Sep 09 2013	8.00	510.71	532.51	0.00	(21.80)	(21.80)	ST
EXPRESS SCRIPTS HOLDINGS CMN DISALLOWED LOSSES								21.80	
GOOGLE, INC CMN CLASS A	Jul 19 2013	Sep 09 2013	1.00	884.50	895.37	0.00	(10.87)	(10.87)	ST
INTERCONTINENTAL HOTELS GROUP PLC	Jul 19 2013	Sep 09 2013	260.00	7,679.58	7,844.30	0.00	(164.72)	(164.72)	ST
SPONSORED ADR CMN									
INTERCONTINENTAL HOTELS GROUP PLC									
SPONSORED ADR CMN DISALLOWED LOSSES								164.72	

PFF VULCAN: DYNAMIC EQ
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
LVMH MOET HENNESSY LOUIS VUITTON S.A ADR CMN	Jul 19 2013	Sep 09 2013	25 00	918 98	870 24	0 00	48 74	48 74	ST
MASTERCARD INCORPORATED CMN CLASS A	Jul 19 2013	Sep 09 2013	7 00	4,471 88	4,187 12	0 00	284 76	284 76	ST
PARKER-HANNIFIN CORP CMN	Jul 19 2013	Sep 09 2013	24 00	2,508 15	2,434 39	0 00	73 76	73 76	ST
QUALCOMM INC CMN	Jul 19 2013	Sep 09 2013	48 00	3,281 63	2,942 80	0 00	338 83	338 83	ST
STARWOOD HOTELS & RESORTS CMN	Jul 19 2013	Sep 09 2013	57 00	3,786 29	3,776 15	0 00	10 14	10 14	ST
THE BANK OF NY MELLON CORP CMN	Jul 19 2013	Sep 09 2013	37 00	1,130 33	1,171 04	0 00	(40 71)	(40 71)	ST
THE BANK OF NY MELLON CORP CMN DISALLOWED LOSSES								40 71	
TIME WARNER INC CMN	Jul 19 2013	Sep 09 2013	5 00	308 36	309 77	0 00	(1 41)	(1 41)	ST
UNITED TECHNOLOGIES CORP CMN	Jul 19 2013	Sep 09 2013	8 00	830 27	813 77	0 00	16 50	16 50	ST
VISA INC. CMN CLASS A	Jul 19 2013	Sep 09 2013	7 00	1,247 00	1,335 38	0 00	(88 38)	(88 38)	ST
APPLE, INC CMN	Jul 19 2013	Oct 15 2013	22 00	10,978 21	9,403 21	0 00	1,575 00	1,575 00	ST
CHECK POINT SOFTWARE TECH LTD ORDINARY SHARES	Jul 19 2013	Oct 15 2013	644 00	37,090 01	36,515 25	0 00	574 76	574 76	ST
DOVER CORPORATION CMN	Jul 19 2013	Oct 15 2013	412 00	37,035 64	34,914 36	0 00	2,121 28	2,121 28	ST
EVEREST RE GROUP LTD CMN	Jul 19 2013	Oct 15 2013	68 00	10,085 95	8,820 57	0 00	1,276 38	1,276 38	ST
FRANKLIN RESOURCES INC CMN	Jul 19 2013	Oct 15 2013	226 00	11,595 62	10,963 39	0 00	632 23	632 23	ST
MASTERCARD INCORPORATED CMN CLASS A	Jul 19 2013	Oct 15 2013	11 00	7,593 81	6,579 76	0 00	1,014 05	1,014 05	ST
NASDAQ OMX GROUP, INC CMN	Jul 19 2013	Oct 15 2013	336 00	11,308 99	11,405 65	0 00	(96 66)	(96 66)	ST
PARKER-HANNIFIN CORP. CMN	Jul 19 2013	Oct 15 2013	348 00	36,879 83	35,298 72	0 00	1,581 11	1,581 11	ST
UNITED TECHNOLOGIES CORP CMN	Jul 19 2013	Oct 15 2013	359 00	38,127 07	36,517 77	0 00	1,609 30	1,609 30	ST
WALT DISNEY COMPANY (THE) CMN	Jul 19 2013	Oct 15 2013	684 00	45,301 21	44,788 32	0 00	512 89	512 89	ST
DISCOVERY COMMUNICATIONS, INC CMN	Jul 19 2013	Nov 04 2013	95 00	7,545 65	7,281 06	0 00	264 59	264 59	ST
GOOGLE, INC CMN CLASS A	Jul 19 2013	Nov 04 2013	6 00	6,149 54	5,372 22	0 00	777 32	777 32	ST
MARriott INTERNATIONAL, INC. CMN CLASS A	Oct 15 2013	Nov 04 2013	232 00	10,627 87	9,818 73	0 00	809 14	809 14	ST
MASTERCARD INCORPORATED CMN CLASS A	Jul 19 2013	Nov 04 2013	9 00	6,585 29	5,383 44	0 00	1,201 85	1,201 85	ST
PARKER-HANNIFIN CORP CMN	Jul 19 2013	Nov 04 2013	64 00	7,448 55	6,491 72	0 00	956 83	956 83	ST
STARWOOD HOTELS & RESORTS CMN	Jul 19 2013	Nov 04 2013	218 00	16,317 38	14,442 13	0 00	1,875 25	1,875 25	ST
VISA INC CMN CLASS A	Jul 19 2013	Nov 04 2013	34 00	6,716 23	6,486 14	0 00	230 09	230 09	ST
CHUBB CORP CMN	Jul 19 2013	Nov 20 2013	56 00	5,301 61	4,943 91	0 00	357 70	357 70	ST



Statement Detail
PFF VULCAN: DYNAMIC EQ
Realized Gains and Losses (Continued)

Period Ended December 31, 2013

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR CMN	Jul 19 2013	Nov 20 2013	174.00	5,237.80	5,249.65	0.00	(11.85)	(11.85)	ST
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR CMN DISALLOWED LOSSES								11.85	
LVMH MOET HENNESSY LOUIS VUITTON S.A. ADR CMN	Jul 19 2013	Nov 20 2013	933.00	35,213.04	32,477.25	0.00	2,735.79	2,735.79	ST
NASDAQ OMX GROUP, INC. CMN	Jul 19 2013	Nov 20 2013	441.00	16,995.40	14,969.92	0.00	2,025.48	2,025.48	ST
APPLE, INC. CMN	Jul 19 2013	Dec 05 2013	43.00	24,585.34	18,379.00	0.00	6,206.34	6,206.34	ST
CISCO SYSTEMS, INC. CMN	Jul 19 2013	Dec 05 2013	1,888.00	39,919.55	48,681.33	0.00	(8,761.78)	(8,761.78)	ST
CISCO SYSTEMS, INC. CMN DISALLOWED LOSSES								2,886.56	
CME GROUP INC. CMN CLASS A	Jul 19 2013	Dec 05 2013	761.00	59,450.49	56,920.44	0.00	2,530.05	2,530.05	ST
	Sep 09 2013	Dec 05 2013	2.00	156.24	144.73	0.00	11.51	11.51	ST
EXPRESS SCRIPTS HOLDINGS CMN	Jul 19 2013	Dec 05 2013	75.00	5,021.90	4,992.26	0.00	29.64	29.64	ST
GOOGLE, INC. CMN CLASS A	Jul 19 2013	Dec 05 2013	78.00	82,319.85	69,838.86	0.00	12,480.99	12,480.99	ST
INTERCONTINENTAL HOTELS GROUP PLC SPONSORED ADR CMN	Jul 19 2013	Dec 05 2013	167.00	5,158.62	5,038.46	0.00	120.16	120.16	ST
NASDAQ OMX GROUP, INC. CMN	Jul 19 2013	Dec 05 2013	1,061.00	41,334.78	36,016.07	0.00	5,318.71	5,318.71	ST
QUALCOMM INC. CMN	Jul 19 2013	Dec 05 2013	92.00	6,742.26	5,640.36	0.00	1,101.90	1,101.90	ST
				Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	
SHORT TERM GAINS				712,088.41	652,849.24	0.00	59,239.17	59,239.17	
SHORT TERM LOSSES				105,801.22	115,162.55	0.00	(9,361.33)	(9,361.33)	
SHORT TERM DISALLOWED LOSSES								3,128.96	
NET SHORT TERM GAINS (LOSSES)				817,889.63	768,011.79	0.00	49,877.84	53,006.80	