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**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury  
Internal Revenue Service

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Open to Public Inspection

**For calendar year 2013 or tax year beginning** , 2013, and ending , 20

Name of foundation  
**THE SAMUEL R. DWECK FOUNDATION**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**1730 M STREET, NW 408**

City or town, state or province, country, and ZIP or foreign postal code  
**WASHINGTON, DC 20036**

**A Employer identification number**  
52-6060826

**B Telephone number (see instructions)**  
(202) 296-0360

**C** If exemption application is pending, check here

**D** 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply  
 Initial return  
 Final return  
 Address change  
 Initial return of a former public charity  
 Amended return  
 Name change

**H** Check type of organization.  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 1,430,027.**

**J** Accounting method  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
 (Part I, column (d) must be on cash basis)

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received (attach schedule) . . . . .   |                                    |                           |                         |   |
| 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B . . . . .   |                                    |                           |                         |   |
| 3 Interest on savings and temporary cash investments . . . . .   |                                    |                           |                         | ATCH 1  |
| 4 Dividends and interest from securities . . . . .   | 27,488.                            | 27,488.                   | 27,488.                 | ATCH 2  |
| 5a Gross rents . . . . .   |                                    |                           |                         |   |
| b Net rental income or (loss) . . . . .  |                                    |                           |                         |   |
| 6a Net gain or (loss) from sale of assets not on line 10 . . . . .   | 28,000.                            |                           |                         |   |
| b Gross sales price for all assets on line 6a . . . . .  | 657,368.                           |                           |                         |   |
| 7 Capital gain net income (from Part IV, line 2) . . . . .   |                                    | 28,000.                   |                         |   |
| 8 Net short-term capital gain . . . . .  |                                    |                           |                         |   |
| 9 Income modifications . . . . .   |                                    |                           |                         |   |
| 10a Gross sales less returns and allowances . . . . .  |                                    |                           |                         |   |
| b Less Cost of goods sold . . . . .  |                                    |                           |                         |   |
| c Gross profit or (loss) (attach schedule) . . . . .   |                                    |                           |                         |   |
| 11 Other income (attach schedule) ATCH 3 . . . . .   | 11,293.                            | 11,293.                   | 11,293.                 |   |
| 12 Total. Add lines 1 through 11 . . . . .   | 66,786.                            | 66,786.                   | 38,786.                 |   |
| 13 Compensation of officers, directors, trustees, etc . . . . .  | 0                                  |                           |                         |   |
| 14 Other employee salaries and wages . . . . .   |                                    |                           |                         |   |
| 15 Pension plans, employee benefits . . . . .  |                                    |                           |                         |   |
| 16a Legal fees (attach schedule) . . . . .   |                                    |                           |                         |   |
| b Accounting fees (attach schedule) ATCH 4 . . . . .   | 3,500.                             |                           |                         | 3,500.  |
| c Other professional fees (attach schedule) * . . . . .  | 4,103.                             | 4,103.                    | 4,103.                  |   |
| 17 Interest . . . . .  |                                    |                           |                         |   |
| 18 Taxes (attach schedule) (see instructions) ATCH 6 . . . . .   | 1,170.                             | 549.                      | 549.                    | 680.  |
| 19 Depreciation (attach schedule) and depletion . . . . .  |                                    |                           |                         |   |
| 20 Occupancy . . . . .   |                                    |                           |                         |   |
| 21 Travel, conferences, and meetings . . . . .   |                                    |                           |                         |   |
| 22 Printing and publications . . . . .   |                                    |                           |                         |   |
| 23 Other expenses (attach schedule) ATCH 7 . . . . .   | 247.                               |                           |                         |   |
| 24 Total operating and administrative expenses. Add lines 13 through 23 . . . . .  | 9,020.                             | 4,652.                    | 4,652.                  | 4,180.  |
| 25 Contributions, gifts, grants paid . . . . .   | 182,360.                           |                           |                         | 182,360.  |
| 26 Total expenses and disbursements. Add lines 24 and 25 . . . . .   | 191,380.                           | 4,652.                    | 4,652.                  | 186,540.  |
| 27 Subtract line 26 from line 12 . . . . .   | -124,594.                          |                           |                         |   |
| a Excess of revenue over expenses and disbursements . . . . .  |                                    | 62,134.                   |                         |   |
| b Net investment income (if negative, enter -0-) . . . . .   |                                    |                           | 34,134.                 |   |
| c Adjusted net income (if negative, enter -0-) . . . . .   |                                    |                           |                         |   |

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| Part II Balance Sheets      |  | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)                |                | Beginning of year     |                | End of year    |                       |
|-----------------------------|--|---|----------------|-----------------------|----------------|----------------|-----------------------|
|                             |  | (a) Book Value  | (b) Book Value | (c) Fair Market Value | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1  | Cash - non-interest-bearing . . . . .   |                | 54,579.               | 3,446.         | 3,446.         |                       |
|                             | 2  | Savings and temporary cash investments . . . . .  |                | 51,388.               | 78,525.        | 78,525.        |                       |
|                             | 3  | Accounts receivable ▶<br>Less allowance for doubtful accounts ▶   |                |                       |                |                |                       |
|                             | 4  | Pledges receivable ▶<br>Less allowance for doubtful accounts ▶  |                |                       |                |                |                       |
|                             | 5  | Grants receivable . . . . .   |                |                       |                |                |                       |
|                             | 6  | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |                |                       |                |                |                       |
|                             | 7  | Other notes and loans receivable (attach schedule) ▶<br>Less allowance for doubtful accounts ▶                                    |                |                       |                |                |                       |
|                             | 8  | Inventories for sale or use . . . . .   |                |                       |                |                |                       |
|                             | 9  | Prepaid expenses and deferred charges . . . . .   |                |                       |                |                |                       |
|                             | 10 a   | Investments - U S and state government obligations (attach schedule). **  |                | 188,735.              |                |                |                       |
|                             | b  | Investments - corporate stock (attach schedule) ATCH 9  |                | 908,968.              | 799,107.       | 976,477.       |                       |
|                             | c  | Investments - corporate bonds (attach schedule) ATCH 10   |                | 85,163.               | 323,500.       | 319,638.       |                       |
|                             | 11   | Investments - land, buildings, and equipment basis<br>Less accumulated depreciation (attach schedule) ▶                           |                |                       |                |                |                       |
|                             | 12   | Investments - mortgage loans . . . . .  |                |                       |                |                |                       |
|                             | 13   | Investments - other (attach schedule) ATCH 11   |                | 105,258.              | 65,958.        | 51,699.        |                       |
|                             | 14   | Land, buildings, and equipment basis<br>Less accumulated depreciation (attach schedule) ▶   |                |                       |                |                |                       |
| 15                          | Other assets (describe ▶ ATCH 12 )   |   | 1,281.         | 242.                  | 242.           |                |                       |
| 16                          | <b>Total assets</b> (to be completed by all filers - see the instructions Also, see page 1, item I) . . . . .                            |   | 1,395,372.     | 1,270,778.            | 1,430,027.     |                |                       |
| Liabilities                 | 17   | Accounts payable and accrued expenses . . . . .   |                |                       |                |                |                       |
|                             | 18   | Grants payable . . . . .  |                |                       |                |                |                       |
|                             | 19   | Deferred revenue . . . . .  |                |                       |                |                |                       |
|                             | 20   | Loans from officers, directors, trustees, and other disqualified persons . . . . .  |                |                       |                |                |                       |
|                             | 21   | Mortgages and other notes payable (attach schedule) . . . . .   |                |                       |                |                |                       |
|                             | 22   | Other liabilities (describe ▶ )   |                |                       |                |                |                       |
| 23                          | <b>Total liabilities</b> (add lines 17 through 22) . . . . .   |   | 0              | 0                     |                |                |                       |
| Net Assets or Fund Balances | <b>Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.</b>     |   |                |                       |                |                |                       |
|                             | 24   | Unrestricted . . . . .  |                |                       |                |                |                       |
|                             | 25   | Temporarily restricted . . . . .  |                |                       |                |                |                       |
|                             | 26   | Permanently restricted . . . . .  |                |                       |                |                |                       |
|                             | <b>Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.</b> |   |                |                       |                |                |                       |
|                             | 27   | Capital stock, trust principal, or current funds . . . . .  |                | 1,142,947.            | 1,142,947.     |                |                       |
|                             | 28   | Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .   |                |                       |                |                |                       |
| 29                          | Retained earnings, accumulated income, endowment, or other funds . . . . .   |   | 252,425.       | 127,831.              |                |                |                       |
| 30                          | <b>Total net assets or fund balances</b> (see instructions) . . . . .  |   | 1,395,372.     | 1,270,778.            |                |                |                       |
| 31                          | <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .   |   | 1,395,372.     | 1,270,778.            |                |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |  |   |            |
|---|--|---|------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 1,395,372. |
| 2 | Enter amount from Part I, line 27a . . . . .   | 2 | -124,594.  |
| 3 | Other increases not included in line 2 (itemize) ▶   | 3 |            |
| 4 | Add lines 1, 2, and 3 . . . . .  | 4 | 1,270,778. |
| 5 | Decreases not included in line 2 (itemize) ▶   | 5 |            |
| 6 | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .   | 6 | 1,270,778. |

\*\*ATCH 8

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)

|   |  |   | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo, day, yr)   | (d) Date sold<br>(mo, day, yr) |
|---|--|---|--|--|--------------------------------|
| <b>1a</b>   | SEE PART IV SCHEDULE   |   |  |  |                                |
| <b>b</b>  |  |   |  |  |                                |
| <b>c</b>  |  |   |  |  |                                |
| <b>d</b>  |  |   |  |  |                                |
| <b>e</b>  |  |   |  |  |                                |
| (e) Gross sales price   | (f) Depreciation allowed<br>(or allowable)   | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)     |  |                                |
| <b>a</b>  |  |   |  |  |                                |
| <b>b</b>  |  |   |  |  |                                |
| <b>c</b>  |  |   |  |  |                                |
| <b>d</b>  |  |   |  |  |                                |
| <b>e</b>  |  |   |  |  |                                |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |  |   |  | (l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |                                |
| (i) F M V. as of 12/31/69   | (j) Adjusted basis<br>as of 12/31/69   | (k) Excess of col. (i)<br>over col (j), if any  |  |  |                                |
| <b>a</b>  |  |   |  |  |                                |
| <b>b</b>  |  |   |  |  |                                |
| <b>c</b>  |  |   |  |  |                                |
| <b>d</b>  |  |   |  |  |                                |
| <b>e</b>  |  |   |  |  |                                |
| <b>2</b>  | Capital gain net income or (net capital loss) <span style="font-size: 2em;">}</span> <span style="font-size: 0.8em;">If gain, also enter in Part I, line 7<br/>If (loss), enter -0- in Part I, line 7</span> |   |  | <b>2</b>   | 28,000.                        |
| <b>3</b>  | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6).<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8                 |   |  | <b>3</b>   | 0                              |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions   | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col (b) divided by col (c)) |
|--|--|--|---|
| 2012   | 191,190.   | 1,468,388.                                   | 0.130204  |
| 2011   | 190,940.   | 1,676,082.                                   | 0.113920  |
| 2010   | 202,145.   | 1,749,989.                                   | 0.115512  |
| 2009   | 200,460.   | 1,718,000.                                   | 0.116682  |
| 2008   | 243,600.   | 5,562,809.                                   | 0.043791  |
| <b>2</b>   | Total of line 1, column (d)  |  | <b>2</b> 0.520109   |
| <b>3</b>   | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years                                     |  | <b>3</b> 0.104022   |
| <b>4</b>   | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5   |  | <b>4</b> 1,422,268.                                       |
| <b>5</b>   | Multiply line 4 by line 3  |  | <b>5</b> 147,947.   |
| <b>6</b>   | Enter 1% of net investment income (1% of Part I, line 27b)   |  | <b>6</b> 621.   |
| <b>7</b>   | Add lines 5 and 6  |  | <b>7</b> 148,568.   |
| <b>8</b>   | Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions |  | <b>8</b> 186,540.   |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|     |  |    |      |
|-----|--|----|------|
| 1 a | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .                                |    |      |
|     | Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)   |    |      |
| b   | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . . | 1  | 621. |
| c   | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)  |    |      |
| 2   | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .   | 2  |      |
| 3   | Add lines 1 and 2 . . . . .  | 3  | 621. |
| 4   | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .   | 4  | 0    |
| 5   | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .   | 5  | 621. |
| 6   | Credits/Payments.  |    |      |
| a   | 2013 estimated tax payments and 2012 overpayment credited to 2013 . . . . .  | 6a | 680. |
| b   | Exempt foreign organizations - tax withheld at source . . . . .  | 6b |      |
| c   | Tax paid with application for extension of time to file (Form 8868) . . . . .  | 6c |      |
| d   | Backup withholding erroneously withheld . . . . .  | 6d |      |
| 7   | Total credits and payments Add lines 6a through 6d . . . . .   | 7  | 680. |
| 8   | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .                                   | 8  |      |
| 9   | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .   | 9  |      |
| 10  | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .   | 10 | 59.  |
| 11  | Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> 59. <b>Refunded</b> <input type="checkbox"/>                        | 11 |      |

**Part VII-A Statements Regarding Activities**

|     | Yes | No |
|-----|-----|----|
| 1 a |     | X  |
| b   |     | X  |
| c   |     | X  |
| d   |     |    |
| e   |     |    |
| 2   |     | X  |
| 3   |     | X  |
| 4 a |     | X  |
| 4 b |     | X  |
| 5   |     | X  |
| 6   |     | X  |
| 7   | X   |    |
| 8 a |     |    |
| b   | X   |    |
| 9   |     | X  |
| 10  |     | X  |

**Part VII-A Statements Regarding Activities (continued)**

|    |   |   |     |         |
|----|---|---|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).  |   |     | X       |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).   |   |     | X       |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <b>N/A</b>   | X |     |         |
| 14 | The books are in care of <b>RALPH S. DWECK</b> Telephone no <b>202-296-0360</b><br>Located at <b>1730 M ST. NW, #408, WASHINGTON, DC</b> ZIP+4 <b>20036</b>   |   |     |         |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/><br>and enter the amount of tax-exempt interest received or accrued during the year. <b>15</b>  |   |     |         |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <b>▶</b> |   | Yes | No<br>X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|   | Yes | No |
|---|-----|----|
| 1a During the year did the foundation (either directly or indirectly):  |     |    |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No  |     |    |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |    |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |    |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/><br>Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>  | 1b  |    |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/>  | 1c  | X  |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))   |     |    |
| a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years <b>▶</b>  |     |    |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/>   | 2b  |    |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <b>▶</b>  |     |    |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No   |     |    |
| b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) <input type="checkbox"/> | 3b  |    |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>   | 4a  | X  |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? <input type="checkbox"/>  | 4b  | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

If "Yes" to 6b, file Form 8870

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|---|---|---|---------------------------------------|
| ATCH 13              |   | 0   | 0   | 0                                     |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |
|                      |   |   |   |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE  |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |
|   |   |                  |   |                                       |

**Total number of other employees paid over \$50,000**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE  |                     |                  |
| -----   |                     |                  |
| -----   |                     |                  |
| -----   |                     |                  |
| -----   |                     |                  |
| -----   |                     |                  |

Total number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|----------|
| 1 NONE  |          |
| -----   |          |
| 2   |          |
| -----   |          |
| 3   |          |
| -----   |          |
| 4   |          |
| -----   |          |

**Part IX-B Summary of Program-Related Investments (see instructions)**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|--|--------|
| 1 NONE   |        |
| -----  |        |
| 2  |        |
| -----  |        |
| All other program-related investments See instructions   |        |
| 3 NONE   |        |
| -----  |        |
| Total. Add lines 1 through 3 . . . . . ▶   |        |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

|          |   |           |            |
|----------|---|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes. |           |            |
| <b>a</b> | Average monthly fair market value of securities   | <b>1a</b> | 1,350,329. |
| <b>b</b> | Average of monthly cash balances  | <b>1b</b> | 93,598.    |
| <b>c</b> | Fair market value of all other assets (see instructions)  | <b>1c</b> |            |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)   | <b>1d</b> | 1,443,927. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets  | <b>2</b>  |            |
| <b>3</b> | Subtract line 2 from line 1d  | <b>3</b>  | 1,443,927. |
| <b>4</b> | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)    | <b>4</b>  | 21,659.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4  | <b>5</b>  | 1,422,268. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5  | <b>6</b>  | 71,113.    |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part)

|           |  |           |         |
|-----------|--|-----------|---------|
| <b>1</b>  | Minimum investment return from Part X, line 6  | <b>1</b>  | 71,113. |
| <b>2a</b> | Tax on investment income for 2013 from Part VI, line 5   | <b>2a</b> | 621.    |
| <b>b</b>  | Income tax for 2013 (This does not include the tax from Part VI.)  | <b>2b</b> |         |
| <b>c</b>  | Add lines 2a and 2b  | <b>2c</b> | 621.    |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1.                                   | <b>3</b>  | 70,492. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions  | <b>4</b>  |         |
| <b>5</b>  | Add lines 3 and 4  | <b>5</b>  | 70,492. |
| <b>6</b>  | Deduction from distributable amount (see instructions)   | <b>6</b>  |         |
| <b>7</b>  | <b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. | <b>7</b>  | 70,492. |

**Part XII Qualifying Distributions** (see instructions)

|          |   |           |          |
|----------|---|-----------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.  |           |          |
| <b>a</b> | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26  | <b>1a</b> | 186,540. |
| <b>b</b> | Program-related investments - total from Part IX-B  | <b>1b</b> |          |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes   | <b>2</b>  |          |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:  |           |          |
| <b>a</b> | Suitability test (prior IRS approval required)  | <b>3a</b> |          |
| <b>b</b> | Cash distribution test (attach the required schedule)   | <b>3b</b> |          |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4.                                   | <b>4</b>  | 186,540. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  | 621.     |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4.  | <b>6</b>  | 185,919. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

|  | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7 . . . . .   |               |                            |             | 70,492.     |
| 2 Undistributed income, if any, as of the end of 2013  |               |                            |             |             |
| a Enter amount for 2012 only . . . . .   |               |                            |             |             |
| b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u> . . . . .   |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2013  |               |                            |             |             |
| a From 2008 . . . . .  |               |                            |             |             |
| b From 2009 . . . . .  | 114,872.      |                            |             |             |
| c From 2010 . . . . .  | 116,148.      |                            |             |             |
| d From 2011 . . . . .  | 109,300.      |                            |             |             |
| e From 2012 . . . . .  | 119,077.      |                            |             |             |
| f Total of lines 3a through e . . . . .  | 459,397.      |                            |             |             |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>186,540.</u>   |               |                            |             |             |
| a Applied to 2012, but not more than line 2a . . . . .   |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required - see instructions) . . . . .  |               |                            |             |             |
| c Treated as distributions out of corpus (Election required - see instructions) . . . . .  |               |                            |             |             |
| d Applied to 2013 distributable amount . . . . .   |               |                            |             | 70,492.     |
| e Remaining amount distributed out of corpus . . . . .   | 116,048.      |                            |             |             |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )  |               |                            |             |             |
| 6 Enter the net total of each column as indicated below:   |               |                            |             |             |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 . . . . .  | 575,445.      |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .   |               |                            |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               |                            |             |             |
| d Subtract line 6c from line 6b Taxable amount - see instructions . . . . .  |               |                            |             |             |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions . . . . .  |               |                            |             |             |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .   |               |                            |             |             |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .                                  |               |                            |             |             |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .  |               |                            |             |             |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .  | 575,445.      |                            |             |             |
| 10 Analysis of line 9  |               |                            |             |             |
| a Excess from 2009 . . . . .   | 114,872.      |                            |             |             |
| b Excess from 2010 . . . . .   | 116,148.      |                            |             |             |
| c Excess from 2011 . . . . .   | 109,300.      |                            |             |             |
| d Excess from 2012 . . . . .   | 119,077.      |                            |             |             |
| e Excess from 2013 . . . . .   | 116,048.      |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

|   | Prior 3 years |          |          |          | (e) Total |
|---|---------------|----------|----------|----------|-----------|
|   | (a) 2013      | (b) 2012 | (c) 2011 | (d) 2010 |           |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                      |               |          |          |          |           |
| b 85% of line 2a . . . . .  |               |          |          |          |           |
| c Qualifying distributions from Part XII, line 4 for each year listed . . . . .   |               |          |          |          |           |
| d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .   |               |          |          |          |           |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .                                    |               |          |          |          |           |
| 3 Complete 3a, b, or c for the alternative test relied upon   |               |          |          |          |           |
| a "Assets" alternative test - enter   |               |          |          |          |           |
| (1) Value of all assets . . . . .   |               |          |          |          |           |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .   |               |          |          |          |           |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                              |               |          |          |          |           |
| c "Support" alternative test - enter  |               |          |          |          |           |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . . |               |          |          |          |           |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .                                      |               |          |          |          |           |
| (3) Largest amount of support from an exempt organization . . . . .   |               |          |          |          |           |
| (4) Gross investment income . . . . .   |               |          |          |          |           |

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

N/A

b The form in which applications should be submitted and information and materials they should include

THERE IS NO SPECIFIC FORM REQUIRED TO APPLY FOR A GRANT.

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|--|--|--------------------------------------|-------------------------------------|----------|
| a <i>Paid during the year</i><br><br>. ATCH 14   |  |                                      |                                     |          |
| <b>Total</b> ..... ▶ 3a                          |  |                                      |                                     | 182,360. |
| b <i>Approved for future payment</i>             |  |                                      |                                     |          |
| <b>Total</b> ..... ▶ 3b                          |  |                                      |                                     |          |





**FORM 990-PF - PART IV  
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| Kind of Property                             |                                       | Description  |                          |                                |                                    | P<br>or<br>D | Date<br>acquired     | Date sold |
|--|---------------------------------------|--|--------------------------|--------------------------------|------------------------------------|--------------|----------------------|-----------|
| Gross sale<br>price less<br>expenses of sale | Depreciation<br>allowed/<br>allowable | Cost or<br>other<br>basis  | FMV<br>as of<br>12/31/69 | Adj basis<br>as of<br>12/31/69 | Excess of<br>FMV over<br>adj basis |              | Gain<br>or<br>(loss) |           |
|  |                                       | TOTAL CAPITAL GAIN DISTRIBUTIONS   |                          |                                |                                    |              | 15,946.              |           |
| 41,934.                                      |                                       | STATEMENT #1 - BESSEMER TRUST SHORT-TERM<br>PROPERTY TYPE: SECURITIES<br>35,673. |                          |                                |                                    | P            | VARIOUS              | VARIOUS   |
|  |                                       |  |                          |                                |                                    |              | 6,261.               |           |
| 599,488.                                     |                                       | STATEMENT #2 - BESSEMER TRUST LONG-TERM<br>PROPERTY TYPE: SECURITIES<br>593,695. |                          |                                |                                    | P            | VARIOUS              | VARIOUS   |
|  |                                       |  |                          |                                |                                    |              | 5,793.               |           |
| TOTAL GAIN (LOSS) .....                      |                                       |  |                          |                                |                                    |              | <u>28,000.</u>       |           |

**THE SAMUEL R. DWECK FAMILY FOUNDATION**  
**EIN # 52-6060826**  
**CHARITABLE CONTRIBUTIONS**  
**12/31/2013**

**STATEMENT #3**  
**CONTRIBUTIONS PAID - Page 11, Part XV, Line 3(a):**

| Recipient  | Total          | Purpose of Contribution |                |             | Address                       |                      |
|--|----------------|-------------------------|----------------|-------------|-------------------------------|----------------------|
|  |                | Religious               | Civic          | Educational |                               |                      |
| Ambassador's Ball Committee                              | 2,500          |                         | 2,500          |             | 6900 Wisconsin Avenue #307    | Bethesda, MD 20815   |
| American Friends of the Weizmann Institute of Science    | 5,000          |                         | 5,000          |             | 633 Third Avenue, 8th Floor   | New York, NY 10017   |
| Anti-Defamation League of B'nai B'rith                   | 1,000          |                         | 1,000          |             | 1100 Connecticut Avenue #1020 | Washington, DC 20036 |
| B'nai Israel Congregation                                | 23,610         | 23,610                  |                |             | 6301 Montrose Road            | Rockville, MD 20852  |
| Crohns and Colitis Foundation of America                 | 25,000         |                         | 25,000         |             | 11300 Rockville Pike #1005    | Rockville, MD 20852  |
| Hadassah   | 10,000         |                         | 10,000         |             | 50 West 58th Street           | New York, NY 10019   |
| Hebrew Home of Greater Washington                        | 60,000         |                         | 60,000         |             | 6121 Montrose Avenue          | Rockville, MD 20852  |
| Israeli Philharmonic Orchestra                           | 250            |                         | 250            |             | 122 East 42nd Street, #4507   | New York, NY 10168   |
| Jewish Community Relations Council of Greater Washington | 10,000         |                         | 10,000         |             | 6101 Montrose Road #205       | Rockville, MD 20852  |
| Jewish Federation of Greater Washington                  | 25,000         |                         | 25,000         |             | 6101 Montrose Road #400       | Rockville, MD 20852  |
| Jewish Foundation of Group Homes                         | 7,500          |                         | 7,500          |             | 1500 East Jefferson Street    | Rockville, MD 20852  |
| Jewish Social Services Agency                            | 7,500          |                         | 7,500          |             | 200 Wood Hill Road            | Rockville, MD 20850  |
| WETA Television  | 5,000          |                         | 5,000          |             | P.O. Box 96100                | Washington, DC 20090 |
| <b>Subtotal</b>  | <b>182,360</b> | <b>23,610</b>           | <b>158,750</b> |             |                               |                      |

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

| DESCRIPTION      | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS | NET<br>INVESTMENT<br>INCOME | ADJUSTED<br>NET<br>INCOME |
|------------------|---|-----------------------------|---------------------------|
| PNC - MMA # 3633 | 5.                                      | 5.                          | 5.                        |
| TOTAL            | <u>5.</u>                               | <u>5.</u>                   | <u>5.</u>                 |

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| <u>DESCRIPTION</u>                    | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> |
|---------------------------------------|---|--------------------------------------|------------------------------------|
| BESSEMER INV - DIVIDENDS              | 22,574.   | 22,574.                              | 22,574.                            |
| BESSEMER INV - INTEREST               | 1,822.  | 1,822.                               | 1,822.                             |
| BESSEMER INV - ACCRUED INTEREST PAID  | -622.   | -622.                                | -622.                              |
| BESSEMER INV - ACCRUED DIVIDENDS PAID | -9.   | -9.                                  | -9.                                |
| BESSEMER INV - U.S. BOND INTEREST     | 3,277.  | 3,277.                               | 3,277.                             |
| BESSEMER INV - OID INTEREST           | 446.  | 446.                                 | 446.                               |
|                                       | <u>27,488.</u>                                    | <u>27,488.</u>                       | <u>27,488.</u>                     |
| TOTAL                                 |   |                                      |                                    |

FORM 990PF, PART I - OTHER INCOME

| <u>DESCRIPTION</u>   | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> |
|----------------------|---|--------------------------------------|------------------------------------|
| MISCELLANEOUS INCOME | 11,293.   | 11,293.                              | 11,293.                            |
| TOTALS               | <u>11,293.</u>                                    | <u>11,293.</u>                       | <u>11,293.</u>                     |

FORM 990PF, PART I - ACCOUNTING FEES

| <u>DESCRIPTION</u> | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|--------------------|---|--------------------------------------|------------------------------------|--------------------------------|
| BERLIN RAMOS FEES  | 3,500.  |                                      |                                    | 3,500.                         |
| TOTALS             | <u>3,500.</u>                                     |                                      |                                    | <u>3,500.</u>                  |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| <u>DESCRIPTION</u>             | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> |
|--------------------------------|---|--------------------------------------|------------------------------------|
| BROKERAGE FEES AND COMMISSIONS | 4,103.  | 4,103.                               | 4,103.                             |
| TOTALS                         | <u>4,103.</u>                                     | <u>4,103.</u>                        | <u>4,103.</u>                      |

FORM 990PF, PART I - TAXES

| <u>DESCRIPTION</u>   | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> | <u>NET<br/>INVESTMENT<br/>INCOME</u> | <u>ADJUSTED<br/>NET<br/>INCOME</u> | <u>CHARITABLE<br/>PURPOSES</u> |
|----------------------|---|--------------------------------------|------------------------------------|--------------------------------|
| FOREIGN TAXES        | 549.  | 549.                                 | 549.                               | 680.                           |
| FEDERAL INCOME TAXES | 621.  |                                      |                                    |                                |
| TOTALS               | <u>1,170.</u>                                     | <u>549.</u>                          | <u>549.</u>                        | <u>680.</u>                    |

FORM 990PF, PART I - OTHER EXPENSES

| <u>DESCRIPTION</u>   | <u>REVENUE<br/>AND<br/>EXPENSES<br/>PER BOOKS</u> |
|----------------------|---|
| BANK SERVICE CHARGES | 247.  |
| TOTALS               | <u>247.</u>                                       |

FORM 990PF, PART II - U.S. AND STATE OBLIGATIONS

ATTACHMENT 8

DESCRIPTION

US TREASURY - BESSEMER TRUST

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE STOCK

| <u>DESCRIPTION</u>      | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|-------------------------|------------------------------|-----------------------|
| STOCKS - BESSEMER TRUST | 799,107.                     | 976,477.              |
| TOTALS                  | <u>799,107.</u>              | <u>976,477.</u>       |

ATTACHMENT 10

FORM 990PF, PART II - CORPORATE BONDS

| <u>DESCRIPTION</u>     | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|------------------------|------------------------------|-----------------------|
| BONDS - BESSEMER TRUST | 323,500.                     | 319,638.              |
| TOTALS                 | <u>323,500.</u>              | <u>319,638.</u>       |

ATTACHMENT 11

FORM 990PF, PART II - OTHER INVESTMENTS

| <u>DESCRIPTION</u>     | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|------------------------|------------------------------|-----------------------|
| BESSEMER - COMMODITIES | 65,958.                      | 51,699.               |
| TOTALS                 | <u>65,958.</u>               | <u>51,699.</u>        |

FORM 990PF, PART II - OTHER ASSETS

| <u>DESCRIPTION</u>           | <u>ENDING<br/>BOOK VALUE</u> | <u>ENDING<br/>FMV</u> |
|------------------------------|------------------------------|-----------------------|
| ACCRUED INTEREST & DIVIDENDS | 183.                         | 183.                  |
| INCOME TAX RECEIVABLE        | 59.                          | 59.                   |
| TOTALS                       | <u>242.</u>                  | <u>242.</u>           |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 13

TITLE AND AVERAGE HOURS PER  
WEEK DEVOTED TO POSITION

NAME AND ADDRESS

DIRECTOR

RENA DWECK  
4550 N. PARK AVENUE  
#408 & 409  
CHEVY CHASE, MD 20815

DIRECTOR

RALPH DWECK  
5310 EDMOOR LANE  
BETHESDA, MD 20814-1324

DIRECTOR

MORRIS DWECK  
6705 BONAVENTURE COURT  
BETHESDA, MD 20817

DIRECTOR

SUSAN DWECK  
1281 GULF OF MEXICO DRIVE  
LONGBOAT KEY, FL 34228

DIRECTOR

ABOUD DWECK  
1111 19TH STREET NORTH  
APARTMENT 2701  
ARLINGTON, VA 22209-1740

FORM 990PF. PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 14

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

RECIPIENT NAME AND ADDRESS

SEE ATTACHED STATEMENT #3

PC

SEE ATTACHED STATEMENT #3

182,360.

TOTAL CONTRIBUTIONS PAID

182,360.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 15

| <u>DESCRIPTION</u>   | <u>BUSINESS<br/>CODE</u> | <u>AMOUNT</u> | <u>EXCLUSION<br/>CODE</u> | <u>AMOUNT</u> | <u>RELATED OR EXEMPT<br/>FUNCTION INCOME</u> |
|----------------------|--------------------------|---------------|---------------------------|---------------|--|
| MISCELLANEOUS INCOME |                          |               |                           |               | 11,293.                                      |
| TOTALS               |                          |               |                           |               | <u>11,293.</u>                               |

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.  
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.  
▶ Information about Schedule D and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041).

OMB No 1545-0092

**2013**

Name of estate or trust

THE SAMUEL R. DWECK FOUNDATION

Employer identification number

52-6060826

Note: Form 5227 filers need to complete only Parts I and II

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

| See instructions for how to figure the amounts to enter on the lines below.<br>This form may be easier to complete if you round off cents to whole dollars   | (d)<br>Proceeds<br>(sales price) | (e)<br>Cost<br>(or other basis) | (g)<br>Adjustments<br>to gain or loss from<br>Form(s) 8949, Part I,<br>line 2, column (g) | (h) Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result with<br>column (g) |
|--|----------------------------------|---------------------------------|---|---|
| <b>1a</b> Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b |                                  |                                 |   |   |
| <b>1b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box A</b> checked . . . . .   |                                  |                                 |   |   |
| <b>2</b> Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked . . . . .  |                                  |                                 |   |   |
| <b>3</b> Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked . . . . .  | 41,934.                          | 35,673.                         |   | 6,261.  |
| <b>4</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .   |                                  |                                 |   | <b>4</b>  |
| <b>5</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .  |                                  |                                 |   | <b>5</b>  |
| <b>6</b> Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet . . . . .  |                                  |                                 |   | <b>6</b> ( )  |
| <b>7</b> Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back . . . . . ▶   |                                  |                                 |   | <b>7</b> 6,261.   |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

| See instructions for how to figure the amounts to enter on the lines below<br>This form may be easier to complete if you round off cents to whole dollars   | (d)<br>Proceeds<br>(sales price) | (e)<br>Cost<br>(or other basis) | (g)<br>Adjustments<br>to gain or loss from<br>Form(s) 8949, Part II,<br>line 2, column (g) | (h) Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result with<br>column (g) |
|---|----------------------------------|---------------------------------|--|---|
| <b>8a</b> Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b |                                  |                                 |  |   |
| <b>8b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked . . . . .  |                                  |                                 |  |   |
| <b>9</b> Totals for all transactions reported on Form(s) 8949 with <b>Box E</b> checked . . . . .   |                                  |                                 |  |   |
| <b>10</b> Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked . . . . .  | 599,488.                         | 593,695.                        |  | 5,793.  |
| <b>11</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .  |                                  |                                 |  | <b>11</b>   |
| <b>12</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts. . . . .  |                                  |                                 |  | <b>12</b>   |
| <b>13</b> Capital gain distributions. . . . .   |                                  |                                 |  | <b>13</b> 15,946.   |
| <b>14</b> Gain from Form 4797, Part I. . . . .  |                                  |                                 |  | <b>14</b>   |
| <b>15</b> Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet . . . . .  |                                  |                                 |  | <b>15</b> ( )   |
| <b>16</b> Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back . . . . . ▶  |                                  |                                 |  | <b>16</b> 21,739.   |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

JSA  
3F1210 1 000

| <b>Part III Summary of Parts I and II</b>                          |   | (1) Beneficiaries' (see instr.) | (2) Estate's or trust's | (3) Total |
|--|---|---------------------------------|-------------------------|-----------|
| <b>Caution: Read the instructions before completing this part.</b> |   |                                 |                         |           |
| 17   | Net short-term gain or (loss) . . . . .                             | 17                              |                         | 6,261.    |
| 18   | Net long-term gain or (loss):                                       |                                 |                         |           |
| a  | Total for year . . . . .  | 18a                             |                         | 21,739.   |
| b  | Unrecaptured section 1250 gain (see line 18 of the wrksh) . . . . . | 18b                             |                         |           |
| c  | 28% rate gain . . . . .   | 18c                             |                         |           |
| 19   | Total net gain or (loss). Combine lines 17 and 18a. . . . . ▶       | 19                              |                         | 28,000.   |

**Note:** If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary

**Part IV Capital Loss Limitation**

|    |  |    |     |
|----|--|----|-----|
| 20 | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of | 20 | ( ) |
| a  | The loss on line 19, column (3) or b \$3,000 . . . . .   |    |     |

**Note:** If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

**Part V Tax Computation Using Maximum Capital Gains Rates**

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero

**Caution:** Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if.

- Either line 18b, col (2) or line 18c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

|    |   |    |  |
|----|---|----|--|
| 21 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . . . .  | 21 |  |
| 22 | Enter the <b>smaller</b> of line 18a or 19 in column (2) but not less than zero . . . . .   | 22 |  |
| 23 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . .        | 23 |  |
| 24 | Add lines 22 and 23 . . . . .   | 24 |  |
| 25 | If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶   | 25 |  |
| 26 | Subtract line 25 from line 24 If zero or less, enter -0- . . . . .  | 26 |  |
| 27 | Subtract line 26 from line 21 If zero or less, enter -0- . . . . .  | 27 |  |
| 28 | Enter the <b>smaller</b> of the amount on line 21 or \$2,450 . . . . .  | 28 |  |
| 29 | Enter the <b>smaller</b> of the amount on line 27 or line 28 . . . . .  | 29 |  |
| 30 | Subtract line 29 from line 28 If zero or less, enter -0-. This amount is taxed at 0% . . . . . ▶  | 30 |  |
| 31 | Enter the smaller of line 21 or line 26 . . . . .   | 31 |  |
| 32 | Subtract line 30 from line 26 . . . . .   | 32 |  |
| 33 | Enter the <b>smaller</b> of line 21 or \$11,950 . . . . .   | 33 |  |
| 34 | Add lines 27 and 30 . . . . .   | 34 |  |
| 35 | Subtract line 34 from line 33 If zero or less, enter -0- . . . . .  | 35 |  |
| 36 | Enter the <b>smaller</b> of line 32 or line 35 . . . . .  | 36 |  |
| 37 | Multiply line 36 by 15% . . . . . ▶   | 37 |  |
| 38 | Enter the amount from line 31 . . . . .   | 38 |  |
| 39 | Add lines 30 and 36 . . . . .   | 39 |  |
| 40 | Subtract line 39 from line 38 If zero or less, enter -0- . . . . .  | 40 |  |
| 41 | Multiply line 40 by 20% . . . . . ▶   | 41 |  |
| 42 | Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . . | 42 |  |
| 43 | Add lines 37, 41, and 42 . . . . .  | 43 |  |
| 44 | Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . . | 44 |  |
| 45 | <b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . . ▶            | 45 |  |





Bessemer Trust Company N.A.

Account

Proceeds Not Reported to the IRS

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

| Date of Sale or exchange                                 | Quantity | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|--|----------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| AETNA INC NEW / CUSIP 00817Y108 / Symbol AET             |          |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 06/11/13                                  |          |                                   |                     |                     |                           |                 |                        |
|  | 5 000    | 308 73                            | 11/01/12            | 221 62              | 0 00                      | 87 11           | Sale                   |
|  | 20 000   | 1,234 91                          | 01/04/13            | 907 45              | 0 00                      | 327 46          | Sale                   |
| 06/11/13   | 25 000   | 1,543 64                          | VARIOUS             | 1,129.07            | 0.00                      | 414 57          | Total of 2 lots        |
| Security total   |          |                                   |                     |                     |                           |                 |                        |
| 08/13/13   | 5 000    | 318 54                            | 11/01/12            | 221 62              | 0 00                      | 96.92           | Sale                   |
|  |          | 1,862 18                          |                     | 1,350 69            | 0 00                      | 511 49          |                        |
| AIR PRODUCTS & CHEMICALS / CUSIP. 009158106 / Symbol APD |          |                                   |                     |                     |                           |                 |                        |
| 07/25/13   | 15 000   | 1,591 62                          | 03/07/13            | 1,317.66            | 0 00                      | 273 96          | Sale                   |
| AKZO NOBEL NV ADR / CUSIP 010199305 / Symbol AKZO Y      |          |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 01/04/13                                  |          |                                   |                     |                     |                           |                 |                        |
|  | 35 000   | 761 33                            | 02/15/12            | 629 21              | 0 00                      | 132 12          | Sale                   |
|  | 15 000   | 326 28                            | 05/04/12            | 259 05              | 0 00                      | 67 23           | Sale                   |
| 01/04/13   | 50 000   | 1,087.61                          | VARIOUS             | 888 26              | 0 00                      | 199 35          | Total of 2 lots        |
| AMERICAN INTL GROUP INC / CUSIP 026874784 / Symbol AIG   |          |                                   |                     |                     |                           |                 |                        |
| 08/13/13   | 10 000   | 481.99                            | 04/23/13            | 406 70              | 0 00                      | 75.29           | Sale                   |
| BNP PARIBAS SPON ADR / CUSIP 05565A202 / Symbol BNPQ Y   |          |                                   |                     |                     |                           |                 |                        |
| 08/13/13   | 25 000   | 831.23                            | 03/21/13            | 683 16              | 0.00                      | 148 07          | Sale                   |
| 09/24/13   | 25 000   | 857.35                            | 03/21/13            | 683.15              | 0.00                      | 174 20          | Sale                   |
|  |          | 1,688.58                          |                     | 1,366 31            | 0 00                      | 322 27          |                        |
| BARRICK GOLD CORP / CUSIP 067901108 / Symbol             |          |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 02/13/13                                  |          |                                   |                     |                     |                           |                 |                        |
|  | 25 000   | 797 08                            | 05/04/12            | 948 50              | 0 00                      | -151 42         | Sale                   |
|  | 25 000   | 797 08                            | 05/24/12            | 981 72              | 0 00                      | -184 64         | Sale                   |
| 02/13/13   | 50 000   | 1,594 16                          | VARIOUS             | 1,930.22            | 0 00                      | -336.06         | Total of 2 lots        |
| CHINA CONSTRUCTION ADR / CUSIP 168919108 / Symbol CICH Y |          |                                   |                     |                     |                           |                 |                        |
| 08/13/13   | 25 000   | 384.24                            | 02/14/13            | 422 44              | 0 00                      | -38.20          | Sale                   |

# Less commissions.

Bessemer Trust Company N.A.

Account

**Proceeds Not Reported to the IRS**

(continued)

2013

**SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B**

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

| Date of Sale or exchange | Quantity       | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|--------------------------|----------------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| 08/13/13                 | 5 000          | 253.70                            | 03/13/13            | 257.37              | 0.00                      | -3.67           | Sale                   |
| 08/13/13                 | 5 000          | 260.29                            | 03/13/13            | 233.40              | 0.00                      | 26.89           | Sale                   |
| 08/13/13                 | 5 000          | 215.69                            | 12/12/12            | 188.21              | 0.00                      | 27.48           | Sale                   |
| 09/16/13                 | 25 000         | 1,428.82                          | 01/04/13            | 1,114.08            | 0.00                      | 314.74          | Sale                   |
| 2 tax lots for 04/08/13  |                |                                   |                     |                     |                           |                 |                        |
| 04/08/13                 | 45 000         | 73.29                             | 05/04/12            | 50.35               | 0.00                      | 22.94           | Sale                   |
| 04/08/13                 | 50 000         | 659.59                            | 03/13/13            | 587.00              | 0.00                      | 72.59           | Sale                   |
| 04/09/13                 | 95 000         | 732.88                            | VARIOUS             | 637.35              | 0.00                      | 95.53           | Total of 2 lots        |
|                          | Security total | 1,359.36                          | 05/04/12            | 956.65              | 0.00                      | 402.71          | Sale                   |
|                          |                | 2,092.24                          |                     | 1,594.00            | 0.00                      | 498.24          |                        |
| 04/01/13                 | 5 000          | 173.70                            | 03/13/13            | 177.85              | 0.00                      | -4.15           | Sale                   |
| 03/19/13                 | 10 000         | 698.84                            | 05/04/12            | 671.10              | 0.00                      | 27.74           | Sale                   |
| 08/13/13                 | 5 000          | 370.19                            | 03/21/13            | 314.93              | 0.00                      | 55.26           | Sale                   |
| 08/13/13                 | 5 000          | 329.94                            | 03/13/13            | 358.20              | 0.00                      | -28.26          | Sale                   |
| 08/13/13                 | 5 000          | 122.90                            | 03/13/13            | 125.45              | 0.00                      | -2.55           | Sale                   |

# Less commissions.

STATEMENT #1 (CONTINUED)

Bessemer Trust Company N.A.

Account

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

| Date of Sale or exchange                           | Quantity | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|--|----------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| KDDI CORP ADR / CUSIP 48667L106 / Symbol KDDI Y    |          |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 05/10/13                            |          |                                   |                     |                     |                           |                 |                        |
|  | 120 000  | 1,374 50                          | 01/24/13            | 1,039 78            | 0 00                      | 334 72          | Sale                   |
|  | 30 000   | 343 62                            | 03/13/13            | 284 10              | 0 00                      | 59 52           | Sale                   |
| 05/10/13   | 150 000  | 1,718.12                          | VARIOUS             | 1,323.88            | 0 00                      | 394 24          | Total of 2 lots        |
| 2 tax lots for 05/13/13                            |          |                                   |                     |                     |                           |                 |                        |
|  | 20 000   | 228 47                            | 01/23/13            | 173 21              | 0 00                      | 55 26           | Sale                   |
|  | 30 000   | 342 71                            | 01/24/13            | 259 94              | 0 00                      | 82 77           | Sale                   |
| 05/13/13   | 50 000   | 571 18                            | VARIOUS             | 433 15              | 0 00                      | 138 03          | Total of 2 lots        |
| 2 tax lots for 07/03/13                            |          |                                   |                     |                     |                           |                 |                        |
|  | 20 000   | 248 58                            | 01/22/13            | 172 67              | 0 00                      | 75 91           | Sale                   |
|  | 80 000   | 994 32                            | 01/23/13            | 692 86              | 0 00                      | 301 46          | Sale                   |
| 07/03/13   | 100 000  | 1,242.90                          | VARIOUS             | 865 53              | 0 00                      | 377 37          | Total of 2 lots        |
|  | 25 000   | 309 05                            | 01/22/13            | 215 84              | 0 00                      | 93 21           | Sale                   |
| 07/05/13   | 50 000   | 666.98                            | 01/22/13            | 431 69              | 0 00                      | 235.29          | Sale                   |
|  |          | 4,508 23                          |                     | 3,270 09            | 0 00                      | 1,238.14        |                        |
| Security total                                     |          |                                   |                     |                     |                           |                 |                        |
| KON AHOLD ADR / CUSIP 500467402 / Symbol AHON Y    | 25 000   | 435.26                            | 03/13/13            | 372 35              | 0 00                      | 62 91           | Sale                   |
| 09/24/13   |          |                                   |                     |                     |                           |                 |                        |
| LOWES COS INC / CUSIP 548661107 / Symbol LOW       | 25 000   | 889.61                            | 03/07/12            | 722 27              | 0 00                      | 167.34          | Sale                   |
| 01/04/13   |          |                                   |                     |                     |                           |                 |                        |
| MAGNA INTERNATIONAL INC / CUSIP 559222401 / Symbol | 10 000   | 572.33                            | 05/04/12            | 426.90              | 0 00                      | 145.43          | Sale                   |
| 04/05/13   |          |                                   |                     |                     |                           |                 |                        |
| MICROSOFT CORP / CUSIP 594918104 / Symbol MSFT     | 10 000   | 345 55                            | 03/13/13            | 279 76              | 0 00                      | 65.79           | Sale                   |
| 10/22/13   |          |                                   |                     |                     |                           |                 |                        |
| NEWMONT MINING CORP / CUSIP 651639106 / Symbol NEM |          |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 02/13/13                            |          |                                   |                     |                     |                           |                 |                        |
|  | 10 000   | 449 36                            | 02/14/12            | 584 63              | 0 00                      | -135 27         | Sale                   |
|  | 25 000   | 1,123 40                          | 05/04/12            | 1,155 75            | 0 00                      | -32 35          | Sale                   |
| 02/13/13   | 35 000   | 1,572.76                          | VARIOUS             | 1,740 38            | 0 00                      | -167 62         | Total of 2 lots        |

# Less commissions.

STATEMENT #1 (CONTINUED)

Bessemer Trust Company N.A.

Account

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

| Date of Sale or exchange                                    | Quantity | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|---|----------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| NIKON CORP PLC UNSPON-ADR / CUSIP 654111202 / Symbol NINO Y | 25 000   | 429 24                            | 12/12/12            | 685 06              | 0 00                      | -255 82         | Sale                   |
| 08/13/13  |          |                                   |                     |                     |                           |                 |                        |
| NORDEA BK SWEDEN AB ADR / CUSIP 65557A206 / Symbol NRBA Y   | 30 000   | 327 62                            | 03/14/13            | 366 30              | 0 00                      | -38 68          | Sale                   |
| 04/24/13  |          |                                   |                     |                     |                           |                 |                        |
| PFIZER INC / CUSIP 717081103 / Symbol PFE                   | 25 000   | 730 73                            | 04/23/13            | 777 77              | 0 00                      | -47 04          | Sale                   |
| 08/13/13  |          |                                   |                     |                     |                           |                 |                        |
| QUALCOMM INC / CUSIP 747525103 / Symbol QCOM                |          |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 08/13/13                                     |          |                                   |                     |                     |                           |                 |                        |
|   | 5 000    | 335 79                            | 03/01/13            | 331 92              | 0 00                      | 3 87            | Sale                   |
|   | 5 000    | 335 79                            | 03/13/13            | 334 45              | 0 00                      | 1 34            | Sale                   |
| 08/13/13  | 10 000   | 671 58                            | VARIOUS             | 666 37              | 0 00                      | 5 21            | Total of 2 lots        |
| RSA INSURANCE GRP PLC ADR / CUSIP 74971A107 / Symbol RSAN Y |          |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 08/13/13                                     |          |                                   |                     |                     |                           |                 |                        |
|   | 25 000   | 238 25                            | 02/14/13            | 259 61              | 0 00                      | -21 36          | Sale                   |
|   | 25 000   | 238 24                            | 02/15/13            | 258 25              | 0 00                      | -20 01          | Sale                   |
| 08/13/13  | 50 000   | 476 49                            | VARIOUS             | 517 86              | 0 00                      | -41 37          | Total of 2 lots        |
| SSE PLC ADR / CUSIP 78467K107 / Symbol. SSEZ Y              | 10 000   | 235 85                            | 03/13/13            | 218 54              | 0 00                      | 17 31           | Sale                   |
| 06/13/13  |          |                                   |                     |                     |                           |                 |                        |
| SUNCORP GROUP ADR / CUSIP 86723Y100 / Symbol SNMY Y         | 75 000   | 927 17                            | 05/07/12            | 622 65              | 0 00                      | 304 52          | Sale                   |
| 04/11/13  |          |                                   |                     |                     |                           |                 |                        |
| THERMO FISHER SCIENTIFIC / CUSIP 883556102 / Symbol TMO     |          |                                   |                     |                     |                           |                 |                        |
| 04/23/13  | 10 000   | 805 94                            | 10/18/12            | 588 91              | 0 00                      | 217 03          | Sale                   |
| 08/13/13  | 5 000    | 461 94                            | 10/18/12            | 294 46              | 0 00                      | 167 48          | Sale                   |
|   |          | 1,267 88                          |                     | 883 37              | 0 00                      | 384 51          |                        |
| Security total  |          |                                   |                     |                     |                           |                 |                        |
| TOKYO GAS LTD ADR / CUSIP 889115101 / Symbol TKGS Y         | 25 000   | 555 49                            | 05/10/13            | 584 06              | 0 00                      | -28 57          | Sale                   |
| 08/13/13  |          |                                   |                     |                     |                           |                 |                        |

# Less commissions.

## STATEMENT #1 (CONTINUED)

THE SAMUEL R. DWECK FOUNDATION  
EIN #52-6060826

Bessemer Trust Company N.A.

Account

2013

## Proceeds Not Reported to the IRS

(continued)

## SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

| Date of Sale or exchange | Quantity                | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|--------------------------|-------------------------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| 08/23/13                 | 5 000                   | 362.99                            | 03/13/13            | 272.95              | 0.00                      | 90.04           | Sale                   |
| 08/13/13                 | 10 000                  | 802.18                            | 03/14/13            | 635.70              | 0.00                      | 166.48          | Sale                   |
|                          | 2 tax lots for 09/16/13 |                                   |                     |                     |                           |                 |                        |
|                          | 20 000                  | 1,660.45                          | 03/14/13            | 1,271.40            | 0.00                      | 389.05          | Sale                   |
|                          | 15 000                  | 1,245.33                          | 04/05/13            | 940.95              | 0.00                      | 304.38          | Sale                   |
| 09/16/13                 | 35 000                  | 2,905.78                          | VARIOUS             | 2,212.35            | 0.00                      | 693.43          | Total of 2 lots        |
|                          | Security total          |                                   |                     | 2,848.05            | 0.00                      | 859.91          |                        |
| 03/07/13                 | 25 000                  | 669.76                            | 06/20/12            | 698.42              | 0.00                      | -28.66          | Sale                   |
| 04/23/13                 | 5 000                   | 2,230.63                          | 12/11/12            | 1,652.58            | 0.00                      | 578.05          | Sale                   |
|                          | 50 000                  | 2,478.48                          | 03/13/13            | 214.35              | 0.00                      | 33.50           | Sale                   |
|                          | 2 tax lots for 04/23/13 |                                   | VARIOUS             | 1,866.93            | 0.00                      | 611.55          | Total of 2 lots        |
| 04/05/13                 | 50 000                  | 1,921.89                          | 08/02/12            | 1,711.31            | 0.00                      | 210.58          | Sale                   |
| 01/22/13                 | 50 000                  | 1,849.45                          | 04/02/12            | 1,375.53            | 0.00                      | 473.92          | Sale                   |
| 11/11/13                 | 50 000                  | 1,985.15                          | 02/13/13            | 168.81              | 0.00                      | 29.71           | Sale                   |
|                          | 15 000                  | 595.54                            | 02/13/13            | 506.42              | 0.00                      | 89.12           | Sale                   |
|                          | 5 000                   | 198.52                            | 03/13/13            | 172.90              | 0.00                      | 25.62           | Sale                   |
|                          | 25 000                  | 992.57                            | 06/11/13            | 846.47              | 0.00                      | 146.10          | Sale                   |
|                          | 50 000                  | 1,985.15                          | VARIOUS             | 1,694.60            | 0.00                      | 290.55          | Total of 4 lots        |

# Less commissions

Bessemer Trust Company N.A. Account  
 2013 (continued)  
**Proceeds Not Reported to the IRS**

**SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B**

Report on Form 8949, Part I, with Box C checked

| Description / CUSIP / Symbol                       | Date of Sale or exchange | Quantity | Proceeds of stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|--|--------------------------|----------|---------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| AVAGO TECHNOLOGIES / CUSIP Y0486S104 / Symbol AVGO | 08/13/13                 | 10.000   | 375 79                          | 09/21/12            | 354 47              | 0 00                      | 21 32           | Sale                   |
| <b>Totals:</b>                                     |                          |          | <b>41,933.95</b>                |                     | <b>35,672.86</b>    | <b>0.00</b>               | <b>6,261.09</b> |                        |

# Less commissions.

STATEMENT #2

Bessemer Trust Company N.A.

Account

Proceeds Not Reported to the IRS

(continued)

2013

**LONG-TERM TRANSACTIONS NOT reported on Form 1099-B**

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

| Date of Sale or exchange                                | Quantity       | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|---|----------------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| CLASS ACTION PROCEEDS / CUSIP 007515 / Symbol           |                |                                   |                     |                     |                           |                 |                        |
| 07/15/13  | 0.000          | 38.00                             | N/A                 | 0.00                | 0.00                      | 38.00           | Gain or loss           |
| AKZO NOBEL NV ADR / CUSIP 010199305 / Symbol AKZO Y     |                |                                   |                     |                     |                           |                 |                        |
| 01/04/13  | 45.000         | 978.84                            | 11/21/11            | 660.62              | 0.00                      | 318.22          | Sale                   |
| ALLIANZ AKTIENGES ADR / CUSIP 018805101 / Symbol AZSE Y |                |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 05/09/13.                                |                |                                   |                     |                     |                           |                 |                        |
|   | 25.000         | 382.19                            | 02/15/12            | 289.96              | 0.00                      | 92.23           | Sale                   |
|   | 25.000         | 382.19                            | 05/04/12            | 273.50              | 0.00                      | 108.69          | Sale                   |
| 05/09/13  | 50.000         | 764.38                            | VARIOUS             | 563.46              | 0.00                      | 200.92          | Total of 2 lots        |
| 08/13/13  | 25.000         | 390.74                            | 05/04/12            | 273.50              | 0.00                      | 117.24          | Sale                   |
|   | Security total | 1,155.12                          |                     | 836.96              | 0.00                      | 318.16          |                        |
| AB FOODS LTD ADR / CUSIP 045519402 / Symbol ASBF Y      |                |                                   |                     |                     |                           |                 |                        |
| 01/17/13  | 75.000         | 1,959.36                          | 12/01/11            | 1,329.15            | 0.00                      | 630.21          | Sale                   |
| 02/19/13  | 75.000         | 2,133.08                          | 11/21/11            | 1,271.57            | 0.00                      | 861.51          | Sale                   |
|   | Security total | 4,092.44                          |                     | 2,600.72            | 0.00                      | 1,491.72        |                        |
| BHP BILLITON PLC-ADR / CUSIP 05545E209 / Symbol BBL     |                |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 07/31/13                                 |                |                                   |                     |                     |                           |                 |                        |
|   | 15.000         | 856.65                            | 11/21/11            | 847.67              | 0.00                      | 8.98            | Sale                   |
|   | 20.000         | 1,142.20                          | 12/19/11            | 1,122.91            | 0.00                      | 19.29           | Sale                   |
| 07/31/13  | 35.000         | 1,998.85                          | VARIOUS             | 1,970.58            | 0.00                      | 28.27           | Total of 2 lots        |

# Less commissions.

STATEMENT #2 (CONTINUED)

THE SAMUEL R. DWECK FOUNDATION  
EIN #52-6060826

Bessemer Trust Company N.A.

Account

Proceeds Not Reported to the IRS

(continued)

2013

**LONG-TERM TRANSACTIONS** NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange

Quantity

Proceeds of # stocks, bonds, etc.

Date of acquisition

Cost or other basis

Wash sale loss disallowed

Gain or loss(-)

Additional information

BARRICK GOLD CORP / CUSIP 067901108 / Symbol

2 tax lots for 02/13/13

159 42

11/21/11

239 04

0 00

-79 62

Sale

5 000

637 66

02/03/12

978 44

0 00

-340 78

Sale

20 000

VARIOUS

1,217 48

0 00

-420 40

Total of 2 lots

25 000

797 08

11/21/11

1,912 32

0 00

-1,209 96

Sale

40 000

1,499 44

02/09/10

10,009.20

0 00

351.20

Sale

Security total

10,360 40

02/14/12

188 85

0 00

-19 46

Sale

5 000

169 39

02/15/12

45 87

0 00

-10.24

Sale

0 500

35.63

02/15/12

1,376 11

0 00

-247 68

Sale

15 000

1,128 43

02/15/12

1,421 98

0 00

-257 92

Sale

Security total

1,164.06

12/01/11

302 52

0 00

-48 83

Sale

5 000

253 69

08/29/12

1,688 69

0 00

-72 77

Sale

30 000

1,615 92

09/26/12

1,081 70

0 00

-4 42

Sale

20 000

1,077 28

VARIOUS

2,770.39

0 00

-77 19

Total of 2 lots

50 000

2,693 20

02/03/12

268 16

0 00

66 83

Sale

5 000

334 99

11/21/11

1,262 78

0 00

427 11

Sale

25 000

1,689 89

2 tax lots for 09/23/13

# Less commissions.

Bessemer Trust Company N.A.

Account

**Proceeds Not Reported to the IRS**

(continued)

2013

**LONG-TERM TRANSACTIONS NOT reported on Form 1099-B**

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

| Date of Sale or exchange                                     | Quantity                | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional Information |
|--|-------------------------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
|  |                         | DTE (cont'd)                      |                     |                     |                           |                 |                        |
| DETROIT ENERGY CO / CUSIP 233331107 / Symbol                 | 337 98                  |                                   | 05/04/12            | 279 35              | 0 00                      | 58 63           | Sale                   |
| 09/23/13   | 5 000                   | 2,027 87                          | VARIOUS             | 1,542 13            | 0 00                      | 485.74          | Total of 2 lots        |
|  | 30 000                  |                                   |                     |                     |                           |                 |                        |
| ENI S.P.A ADR / CUSIP 26874R108 / Symbol E                   |                         |                                   |                     |                     |                           |                 |                        |
|  | 2 tax lots for 09/24/13 |                                   |                     |                     |                           |                 |                        |
|  | 10 000                  | 464 15                            | 11/21/11            | 417 25              | 0 00                      | 46 90           | Sale                   |
|  | 40 000                  | 1,856 62                          | 12/01/11            | 1,700 75            | 0 00                      | 155 87          | Sale                   |
| 09/24/13   | 50 000                  | 2,320.77                          | VARIOUS             | 2,118.00            | 0 00                      | 202 77          | Total of 2 lots        |
|  |                         |                                   |                     |                     |                           |                 |                        |
| EAST JAPAN RAIL ADR / CUSIP 273202101 / Symbol EJPR Y        |                         |                                   |                     |                     |                           |                 |                        |
|  | 3 tax lots for 03/22/13 |                                   |                     |                     |                           |                 |                        |
|  | 50 000                  | 682 89                            | 11/23/11            | 506 59              | 0 00                      | 176 30          | Sale                   |
|  | 75 000                  | 1,024 34                          | 12/01/11            | 756 22              | 0 00                      | 268 12          | Sale                   |
|  | 50 000                  | 682 90                            | 12/02/11            | 505 24              | 0 00                      | 177 66          | Sale                   |
| 03/22/13   | 175 000                 | 2,390.13                          | VARIOUS             | 1,768.05            | 0 00                      | 622.08          | Total of 3 lots        |
|  |                         |                                   |                     |                     |                           |                 |                        |
| 04/08/13   | 75 000                  | 1,099 32                          | 12/05/11            | 752 97              | 0 00                      | 346 35          | Sale                   |
|  | Security total          | 3,489 45                          |                     | 2,521 02            | 0 00                      | 968.43          |                        |
|  |                         |                                   |                     |                     |                           |                 |                        |
| EKSPORTFINANS ASA 2 3/8% 05/25/16 / CUSIP 28264QV27 / Symbol |                         |                                   |                     |                     |                           |                 |                        |
| 09/05/13   | 10,000.000              | 9,648 50                          | 05/18/11            | 9,999.50            | 0 00                      | -351 00         | Sale                   |
|  |                         |                                   |                     |                     |                           |                 |                        |
| EMBRAER SA ADR / CUSIP 29082A107 / Symbol ERJ                |                         |                                   |                     |                     |                           |                 |                        |
| 04/01/13   | 30,000                  | 1,042 20                          | 03/21/12            | 939.23              | 0 00                      | 102.97          | Sale                   |
| 08/13/13   | 5,000                   | 166 64                            | 03/21/12            | 156 54              | 0 00                      | 10 10           | Sale                   |
|  | 2 tax lots for 08/23/13 |                                   |                     |                     |                           |                 |                        |
|  | 5 000                   | 168 68                            | 03/21/12            | 156 54              | 0 00                      | 12 14           | Sale                   |
|  | 50 000                  | 1,686 78                          | 07/13/12            | 1,209 46            | 0 00                      | 477 32          | Sale                   |
| 08/23/13   | 55 000                  | 1,855.46                          | VARIOUS             | 1,366.00            | 0 00                      | 489.46          | Total of 2 lots        |
|  | Security total          | 3,064 30                          |                     | 2,461.77            | 0 00                      | 602 53          |                        |
|  |                         |                                   |                     |                     |                           |                 |                        |
| EXELON CORP / CUSIP 30161N101 / Symbol EXC                   |                         |                                   |                     |                     |                           |                 |                        |
|  | 3 tax lots for 06/11/13 |                                   |                     |                     |                           |                 |                        |
|  | 20 000                  | 626 40                            | 11/21/11            | 863 98              | 0 00                      | -237 58         | Sale                   |

# Less commissions.

STATEMENT #2 (CONTINUED)

Bessemer Trust Company N.A.

Account

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

| Date of Sale or exchange                                       | Quantity       | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|--|----------------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| EXELON CORP / CUSIP 30161N101 / Symbol EXC (cont'd)            | 30 000         | 939 59                            | 02/03/12            | 1,190 56            | 0 00                      | -250 97         | Sale                   |
|  | 20 000         | 626 40                            | 05/04/12            | 771 40              | 0 00                      | -145 00         | Sale                   |
| 06/11/13   | 70 000         | 2,192.39                          | VARIOUS             | 2,825 94            | 0 00                      | -633.55         | Total of 3 lots        |
| GENERAL DYNAMICS CORP / CUSIP 369550108 / Symbol GD            | 15 000         | 1,048 26                          | 11/21/11            | 938 07              | 0 00                      | 110.19          | Sale                   |
| 03/19/13   |                |                                   |                     |                     |                           |                 |                        |
| GENERAL ELEC CAP CORP 2 9% 01/09/17 / CUSIP 36962G5N0 / Symbol | 5,000 000      | 5,147 95                          | 02/01/12            | 5,170 05            | 0 00                      | -22 10          | Sale                   |
| 09/05/13   |                |                                   |                     |                     |                           |                 |                        |
| GILEAD SCIENCES / CUSIP 375558103 / Symbol GILD                | 0 000          | 13 31                             | N/A                 | 0 00                | 0 00                      | 13.31           | Gain or loss           |
| 10/22/13   |                |                                   |                     |                     |                           |                 |                        |
| INTERNATIONAL BUS MACHINES / CUSIP 459200101 / Symbol IBM      | 5 000          | 946 63                            | 02/03/12            | 967 66              | 0 00                      | -21 03          | Sale                   |
| 08/13/13   |                |                                   |                     |                     |                           |                 |                        |
| ITOCU CORP ADR / CUSIP 465717106 / Symbol ITOC Y               | 20 000         | 491.58                            | 02/06/12            | 463 23              | 0 00                      | 28 35           | Sale                   |
| 08/13/13   |                |                                   |                     |                     |                           |                 |                        |
| JP MORGAN CHASE & CO 3 15% 07/05/16 / CUSIP 46625HJA9 / Symbol | 10,000 000     | 10,427.50                         | 08/06/12            | 10,546 70           | 0 00                      | -119 20         | Sale                   |
| 09/05/13   |                |                                   |                     |                     |                           |                 |                        |
| JOHNSON & JOHNSON / CUSIP 478160104 / Symbol JNJ               | 15 000         | 1,279.52                          | 02/14/12            | 968 03              | 0 00                      | 311 49          | Sale                   |
| 04/23/13   |                |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 05/09/13  |                |                                   |                     |                     |                           |                 |                        |
|  | 5 000          | 427 17                            | 11/21/11            | 315 59              | 0 00                      | 111 58          | Sale                   |
|  | 5 000          | 427 17                            | 02/14/12            | 322 68              | 0 00                      | 104 49          | Sale                   |
| 05/09/13   | 10 000         | 854.34                            | VARIOUS             | 638.27              | 0 00                      | 216.07          | Total of 2 lots        |
| 06/11/13   | 25 000         | 2,116 66                          | 11/21/11            | 1,577.96            | 0 00                      | 538.70          | Sale                   |
|  | Security total | 4,250 52                          |                     | 3,184.26            | 0 00                      | 1,066.26        |                        |
| KON AHOLD ADR / CUSIP 500467402 / Symbol AHON Y                | 50 000         | 786.71                            | 04/02/12            | 699 63              | 0 00                      | 87 08           | Sale                   |
| 06/12/13   |                |                                   |                     |                     |                           |                 |                        |
| 2 tax lots for 06/13/13  |                |                                   |                     |                     |                           |                 |                        |
|  | 50 000         | 773 18                            | 11/21/11            | 634 82              | 0 00                      | 138 36          | Sale                   |

# Less commissions.

Bessemer Trust Company N.A.

Account

**Proceeds Not Reported to the IRS**

(continued)

2013

**LONG-TERM TRANSACTIONS NOT reported on Form 1099-B**

Report on Form 8949, Part II, with Box F checked

| Description / CUSIP / Symbol                             | Date of Sale or exchange | Quantity                | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional Information |
|--|--------------------------|-------------------------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| KON AHOLD ADR / CUSIP 500467402 / Symbol AHON Y (cont'd) |                          |                         |                                   |                     |                     |                           |                 |                        |
|  | 06/13/13                 | 25 000                  | 386 59                            | 04/02/12            | 349 81              | 0 00                      | 36 78           | Sale                   |
|  | 08/13/13                 | 75 000                  | 1,159 77                          | VARIOUS             | 984.63              | 0 00                      | 175.14          | Total of 2 lots        |
|  |                          | 25 000                  | 422 24                            | 11/21/11            | 317.41              | 0 00                      | 104 83          | Sale                   |
|  |                          | 2 tax lots for 08/26/13 |                                   |                     |                     |                           |                 |                        |
|  |                          | 50 000                  | 858 66                            | 11/21/11            | 634 81              | 0 00                      | 223 85          | Sale                   |
|  | 08/26/13                 | 25 000                  | 429 33                            | 05/04/12            | 315 70              | 0 00                      | 113 63          | Sale                   |
|  |                          | 75 000                  | 1,287.99                          | VARIOUS             | 950.51              | 0 00                      | 337 48          | Total of 2 lots        |
|  | 09/24/13                 | 75 000                  | 1,305.78                          | 05/04/12            | 947 09              | 0 00                      | 358 69          | Sale                   |
|  |                          | Security total          |                                   |                     | 3,899.27            | 0 00                      | 1,063.22        |                        |
| KFW 1 1/4% 02/15/17 / CUSIP 500769EY6 / Symbol           |                          |                         |                                   |                     |                     |                           |                 |                        |
| 09/05/13   |                          | 5,000.000               | 4,996 45                          | 02/08/12            | 4,981.45            | 0.00                      | 15 00           | Sale                   |
| LOWES COS INC / CUSIP 548661107 / Symbol LOW             |                          |                         |                                   |                     |                     |                           |                 |                        |
|  |                          | 2 tax lots for 07/02/13 |                                   |                     |                     |                           |                 |                        |
|  | 07/02/13                 | 25 000                  | 1,059 12                          | 03/07/12            | 722 27              | 0 00                      | 336 85          | Sale                   |
|  |                          | 50 000                  | 2,118 24                          | 06/20/12            | 1,427 78            | 0 00                      | 690 46          | Sale                   |
|  |                          | 75 000                  | 3,177.36                          | VARIOUS             | 2,150.05            | 0.00                      | 1,027.31        | Total of 2 lots        |
| MACY'S INC / CUSIP- 55616P104 / Symbol M                 |                          |                         |                                   |                     |                     |                           |                 |                        |
| 08/13/13   |                          | 10 000                  | 484 59                            | 02/03/12            | 360 88              | 0.00                      | 123.71          | Sale                   |
| MAGNA INTERNATIONAL INC / CUSIP 559222401 / Symbol       |                          |                         |                                   |                     |                     |                           |                 |                        |
|  |                          | 2 tax lots for 03/01/13 |                                   |                     |                     |                           |                 |                        |
|  | 03/01/13                 | 5 000                   | 275 97                            | 11/21/11            | 167 15              | 0 00                      | 108 82          | Sale                   |
|  |                          | 10 000                  | 551 93                            | 02/15/12            | 429 99              | 0 00                      | 121 94          | Sale                   |
|  |                          | 15 000                  | 827.90                            | VARIOUS             | 597.14              | 0 00                      | 230 76          | Total of 2 lots        |
|  | 04/05/13                 | 25 000                  | 1,430.83                          | 11/21/11            | 835.74              | 0 00                      | 595.09          | Sale                   |
|  |                          | Security total          |                                   |                     | 1,432.88            | 0 00                      | 825.85          |                        |
| MARATHON OIL CORP / CUSIP 565849106 / Symbol MRO         |                          |                         |                                   |                     |                     |                           |                 |                        |
|  |                          | 3 tax lots for 09/23/13 |                                   |                     |                     |                           |                 |                        |
|  |                          | 5 000                   | 177 52                            | 11/21/11            | 129 35              | 0 00                      | 48 17           | Sale                   |

# Less commissions.

STATEMENT #2 (CONTINUED)

Bessemer Trust Company N.A.

Account

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

| Description / CUSIP / Symbol                                       | Date of Sale or exchange | Quantity                | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|--|--------------------------|-------------------------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| MARATHON OIL CORP / CUSIP 565849106 / Symbol MRO (cont'd)          |                          |                         |                                   |                     |                     |                           |                 |                        |
|  |                          | 20 000                  | 710 09                            | 02/03/12            | 646 45              | 0 00                      | 63 64           | Sale                   |
|  |                          | 25 000                  | 887 61                            | 05/04/12            | 674 00              | 0 00                      | 213 61          | Sale                   |
|  | 09/23/13                 | 50 000                  | 1,775 22                          | VARIOUS             | 1,449 80            | 0 00                      | 325 42          | Total of 3 lots        |
| MARYLAND ST COP TAXABLE 2.919% 09/01/15 / CUSIP 574195PF4 / Symbol |                          |                         |                                   |                     |                     |                           |                 |                        |
|  | 09/06/13                 | 10,000 000              | 10,224 70                         | 01/12/11            | 10,000 00           | 0 00                      | 224 70          | Sale                   |
| MICROSOFT CORP / CUSIP 594918104 / Symbol MSFT                     |                          |                         |                                   |                     |                     |                           |                 |                        |
|  | 08/13/13                 | 25 000                  | 808 48                            | 08/05/10            | 634 84              | 0 00                      | 173 64          | Sale                   |
|  | 09/16/13                 | 50 000                  | 1,640 58                          | 08/05/10            | 1,269 67            | 0 00                      | 370 91          | Sale                   |
|  | 10/22/13                 | 75 000                  | 2,591 63                          | 08/05/10            | 1,904 50            | 0 00                      | 687 13          | Sale                   |
|  |                          | Security total          | 5,040 69                          |                     | 3,809 01            | 0 00                      | 1,231 68        |                        |
| MUNICH RE GROUP ADR / CUSIP 626188106 / Symbol MURGY               |                          |                         |                                   |                     |                     |                           |                 |                        |
|  | 08/13/13                 | 25 000                  | 477 99                            | 01/23/12            | 322 83              | 0 00                      | 155 16          | Sale                   |
| NORDEA BK SWEDEN AB ADR / CUSIP 65557A206 / Symbol NRBA Y          |                          |                         |                                   |                     |                     |                           |                 |                        |
|  | 04/24/13                 | 20 000                  | 218 42                            | 12/20/11            | 151 98              | 0 00                      | 66 44           | Sale                   |
|  |                          | 2 tax lots for 04/25/13 |                                   |                     |                     |                           |                 |                        |
|  |                          | 20 000                  | 223 86                            | 11/21/11            | 151 92              | 0 00                      | 71 94           | Sale                   |
|  |                          | 55 000                  | 615 63                            | 12/20/11            | 417 94              | 0 00                      | 197 69          | Sale                   |
|  | 04/25/13                 | 75 000                  | 839 49                            | VARIOUS             | 569 86              | 0 00                      | 269 63          | Total of 2 lots        |
|  | 08/01/13                 | 75 000                  | 951 32                            | 11/21/11            | 569 70              | 0 00                      | 381 62          | Sale                   |
|  |                          | 2 tax lots for 08/02/13 |                                   |                     |                     |                           |                 |                        |
|  |                          | 5 000                   | 63 71                             | 11/21/11            | 37 98               | 0 00                      | 25 73           | Sale                   |
|  |                          | 50 000                  | 637 11                            | 12/19/11            | 368 16              | 0 00                      | 268 95          | Sale                   |
|  | 08/02/13                 | 55 000                  | 700 82                            | VARIOUS             | 406 14              | 0 00                      | 294 68          | Total of 2 lots        |
|  |                          | Security total          | 2,710 05                          |                     | 1,697 68            | 0 00                      | 1,012 37        |                        |
| OW LARGE CAP STRATEGIES FD / CUSIP 680414109 / Symbol OWLS X       |                          |                         |                                   |                     |                     |                           |                 |                        |
|  |                          | 2 tax lots for 08/13/13 |                                   |                     |                     |                           |                 |                        |
|  |                          | 121 827                 | 1,363 95                          | 07/07/08            | 1,290 15            | 0 00                      | 93 80           | Sale                   |

# Less commissions

STATEMENT #2 (CONTINUED)

Bessemer Trust Company N.A.

Account

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

| Date of Sale or exchange  | Quantity                | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|---|-------------------------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| OW LARGE CAP STRATEGIES FD / CUSIP 680414109 / Symbol OWLS X (cont'd) |                         |                                   |                     |                     |                           |                 |                        |
| 08/13/13  | 3,399,300               | 38,616.05                         | 07/07/08            | 35,998.59           | 0.00                      | 2,617.46        | Sale                   |
|   | 3,521,127               | 40,000.00                         | VARIOUS             | 37,288.74           | 0.00                      | 2,711.26        | Total of 2 lots        |
| OW GLOBAL SML & MID CAP FD / CUSIP 680414604 / Symbol OWSM X          |                         |                                   |                     |                     |                           |                 |                        |
|   | 2 tax lots for 08/13/13 |                                   |                     |                     |                           |                 |                        |
|   | 146,569                 | 2,435.98                          | 10/03/08            | 1,511.13            | 0.00                      | 924.85          | Sale                   |
|   | 755,958                 | 12,564.02                         | 10/03/08            | 7,793.93            | 0.00                      | 4,770.09        | Sale                   |
| 08/13/13  | 902,527                 | 15,000.00                         | VARIOUS             | 9,305.06            | 0.00                      | 5,694.94        | Total of 2 lots        |
| OW REAL RETURN FUND / CUSIP 680414703 / Symbol OWRR X                 |                         |                                   |                     |                     |                           |                 |                        |
|   | Security total          |                                   |                     |                     |                           |                 |                        |
| 03/13/13  | 694,598                 | 6,300.00                          | 05/09/05            | 6,966.82            | 0.00                      | -666.82         | Sale                   |
| 05/20/13  | 1,776,240               | 15,400.00                         | 05/09/05            | 17,815.69           | 0.00                      | -2,415.69       | Sale                   |
| 08/13/13  | 1,231,527               | 10,000.00                         | 05/09/05            | 12,352.21           | 0.00                      | -2,352.21       | Sale                   |
|   |                         | 31,700.00                         |                     | 37,134.72           | 0.00                      | -5,434.72       |                        |
| OW GLOBAL OPPORTUNITIES FD / CUSIP 680414802 / Symbol OWSO X          |                         |                                   |                     |                     |                           |                 |                        |
|   | 2 tax lots for 01/31/13 |                                   |                     |                     |                           |                 |                        |
|   | 472,441                 | 3,666.14                          | 04/29/08            | 4,540.16            | 0.00                      | -874.02         | Sale                   |
|   | 3,522,404               | 27,333.86                         | 04/29/08            | 33,850.30           | 0.00                      | -6,516.44       | Sale                   |
| 01/31/13  | 3,994,845               | 31,000.00                         | VARIOUS             | 38,390.46           | 0.00                      | -7,390.46       | Total of 2 lots        |
|   | 3 tax lots for 03/13/13 |                                   |                     |                     |                           |                 |                        |
|   | 5,533,240               | 43,601.93                         | 04/29/08            | 53,174.44           | 0.00                      | -9,572.51       | Sale                   |
|   | 552,806                 | 4,356.11                          | 09/16/09            | 3,842.00            | 0.00                      | 514.11          | Sale                   |
|   | 2,797,203               | 22,041.96                         | 12/03/09            | 20,000.00           | 0.00                      | 2,041.96        | Sale                   |
| 03/13/13  | 8,883,249               | 70,000.00                         | VARIOUS             | 77,016.44           | 0.00                      | -7,016.44       | Total of 3 lots        |
| 08/13/13  | 3,113,325               | 25,000.00                         | 05/04/12            | 22,478.21           | 0.00                      | 2,521.79        | Sale                   |
| 09/25/13  | 6,844,059               | 55,300.00                         | 08/16/12            | 50,303.83           | 0.00                      | 4,996.17        | Sale                   |
|   |                         | 181,300.00                        |                     | 188,188.94          | 0.00                      | -6,888.94       |                        |
| PROCTER & GAMBLE CO 3 1/2% 02/15/15 / CUSIP 742718DM8 / Symbol        |                         |                                   |                     |                     |                           |                 |                        |
|   | Security total          |                                   |                     |                     |                           |                 |                        |
| 09/05/13  | 10,000.000              | 10,396.20                         | 02/03/09            | 9,958.00            | 0.00                      | 438.20          | Sale                   |

# Less commissions.

STATEMENT #2 (CONTINUED)

Bessemer Trust Company N.A.

Account

**Proceeds Not Reported to the IRS**  
(continued)

2013

**LONG-TERM TRANSACTIONS NOT reported on Form 1099-B**

Report on Form 8949, Part II, with Box F checked

**Description / CUSIP / Symbol**

| Date of Sale or exchange                                 | Quantity | Proceeds of stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|--|----------|---------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| SSE PLC ADR / CUSIP 78467K107 / Symbol SSEZ Y            | 25 000   | 590 04                          | 02/15/12            | 506 51              | 0 00                      | 83 53           | Sale                   |
| 06/12/13   |          |                                 |                     |                     |                           |                 |                        |
| 2 tax lots for 06/13/13                                  |          |                                 |                     |                     |                           |                 |                        |
|  | 30 000   | 707 57                          | 11/21/11            | 601 56              | 0 00                      | 106 01          | Sale                   |
|  | 50 000   | 1,179 27                        | 02/15/12            | 1,013 03            | 0 00                      | 166 24          | Sale                   |
| 06/13/13   | 80 000   | 1,886 84                        | VARIOUS             | 1,614 59            | 0 00                      | 272 25          | Total of 2 lots        |
| Security total   |          | 2,476 88                        |                     | 2,121 10            | 0 00                      | 355 78          |                        |
| SANOFI - ADR / CUSIP 80105N105 / Symbol SNY              |          |                                 |                     |                     |                           |                 |                        |
| 08/13/13   | 5 000    | 259 09                          | 02/03/12            | 183 72              | 0 00                      | 75 37           | Sale                   |
| SUMITOMO MITSUJI FIN ADR / CUSIP 86662M209 / Symbol SMFG |          |                                 |                     |                     |                           |                 |                        |
| 5 tax lots for 02/14/13                                  |          |                                 |                     |                     |                           |                 |                        |
|  | 50 000   | 401 03                          | 01/24/12            | 316 16              | 0 00                      | 84 87           | Sale                   |
|  | 50 000   | 401 03                          | 01/25/12            | 314 25              | 0 00                      | 86 78           | Sale                   |
|  | 50 000   | 401 03                          | 01/26/12            | 315 00              | 0 00                      | 86 03           | Sale                   |
|  | 50 000   | 401 03                          | 01/30/12            | 314 79              | 0 00                      | 86 24           | Sale                   |
|  | 50 000   | 401 03                          | 01/31/12            | 323 23              | 0 00                      | 77 80           | Sale                   |
| 02/14/13   | 250 000  | 2,005 15                        | VARIOUS             | 1,583 43            | 0 00                      | 421 72          | Total of 5 lots        |
| 2 tax lots for 03/21/13                                  |          |                                 |                     |                     |                           |                 |                        |
|  | 175 000  | 1,456 96                        | 11/21/11            | 943 67              | 0 00                      | 513 29          | Sale                   |
|  | 50 000   | 416 28                          | 01/27/12            | 313 71              | 0 00                      | 102 57          | Sale                   |
| 03/21/13   | 225 000  | 1,873 24                        | VARIOUS             | 1,257 38            | 0 00                      | 615 86          | Total of 2 lots        |
| 03/22/13   | 75 000   | 620 61                          | 11/21/11            | 404 43              | 0 00                      | 216 18          | Sale                   |
| Security total   |          | 4,499 00                        |                     | 3,245 24            | 0 00                      | 1,253 76        |                        |
| SUNCORP GROUP ADR / CUSIP 86723Y100 / Symbol SNMY Y      |          |                                 |                     |                     |                           |                 |                        |
| 2 tax lots for 04/08/13                                  |          |                                 |                     |                     |                           |                 |                        |
|  | 25 000   | 297 42                          | 01/23/12            | 217 33              | 0 00                      | 80 09           | Sale                   |
|  | 25 000   | 297 43                          | 02/16/12            | 220 18              | 0 00                      | 77 25           | Sale                   |
| 04/08/13   | 50 000   | 594 85                          | VARIOUS             | 437 51              | 0 00                      | 157 34          | Total of 2 lots        |
| 04/09/13   | 25 000   | 300 16                          | 01/23/12            | 217 33              | 0 00                      | 82 83           | Sale                   |
| 04/10/13   | 50 000   | 603 88                          | 01/23/12            | 434 67              | 0 00                      | 169 21          | Sale                   |
| 04/11/13   | 25 000   | 309 06                          | 11/21/11            | 208 79              | 0 00                      | 100 27          | Sale                   |

# Less commissions

Bessemer Trust Company N.A.

Account

2013

## Proceeds Not Reported to the IRS

(continued)

## LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

| Date of Sale or exchange                                     | Quantity                | Proceeds of stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|--|-------------------------|---------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
|  | Security total          | 1,807.95                        |                     | 1,296.30            | 0.00                      | 509.65          |                        |
| TARGET CORP / CUSIP 87612E106 / Symbol TGT                   | 5 000                   | 354.89                          | 01/23/12            | 251.11              | 0.00                      | 103.78          | Sale                   |
| TELEZ AB ADR / CUSIP 87952P307 / Symbol TLTZ Y               | 50 000                  | 319.99                          | 02/15/12            | 475.96              | 0.00                      | -155.97         | Sale                   |
| TEVA PHARM INDS LTD ADR / CUSIP 881624209 / Symbol TEVA      | 10 000                  | 395.49                          | 01/23/12            | 459.15              | 0.00                      | -63.66          | Sale                   |
|  | 3 tax lots for 10/31/13 |                                 |                     |                     |                           |                 |                        |
|  | 5 000                   | 186.73                          | 11/21/11            | 192.84              | 0.00                      | -6.11           | Sale                   |
|  | 5 000                   | 186.73                          | 01/23/12            | 229.57              | 0.00                      | -42.84          | Sale                   |
|  | 25 000                  | 933.63                          | 05/04/12            | 1,111.50            | 0.00                      | -177.87         | Sale                   |
|  | 35 000                  | 1,307.09                        | VARIOUS             | 1,533.91            | 0.00                      | -226.82         | Total of 3 lots        |
|  | 50 000                  | 1,865.38                        | 11/21/11            | 1,928.36            | 0.00                      | -62.98          | Sale                   |
|  | Security total          | 3,567.96                        |                     | 3,921.42            | 0.00                      | -353.46         |                        |
| TIM PARTICIPACOE SA ADR / CUSIP 88706P205 / Symbol TSU       | 75 000                  | 2,020.41                        | 01/23/12            | 2,098.15            | 0.00                      | -77.74          | Sale                   |
| TOTAL SA ADR / CUSIP 89151E109 / Symbol TOT                  | 10,000                  | 537.49                          | 02/03/12            | 542.14              | 0.00                      | -4.65           | Sale                   |
| US TREASURY NOTES 2 3/4% 12/31/17 / CUSIP 912828PN4 / Symbol |                         |                                 |                     |                     |                           |                 |                        |
|  | 5 tax lots for 09/05/13 |                                 |                     |                     |                           |                 |                        |
|  | 37,000 000              | 38,896.25                       | 09/28/11            | 40,133.44           | 0.00                      | -1,237.19       | Sale                   |
|  | 25,000 000              | 26,281.25                       | 09/29/11            | 27,117.19           | 0.00                      | -835.94         | Sale                   |
|  | 37,000 000              | 38,896.25                       | 09/29/11            | 40,133.44           | 0.00                      | -1,237.19       | Sale                   |
|  | 37,000 000              | 38,896.25                       | 09/29/11            | 40,133.44           | 0.00                      | -1,237.19       | Sale                   |
|  | 38,000 000              | 39,947.50                       | 09/29/11            | 41,218.12           | 0.00                      | -1,270.62       | Sale                   |
|  | 174,000 000             | 182,917.50                      | VARIOUS             | 188,735.63          | 0.00                      | -5,818.13       | Total of 5 lots        |
| UNITEDHEALTH GROUP INC / CUSIP 91324P102 / Symbol: UNH       | 30 000                  | 1,994.76                        | 11/21/11            | 1,331.96            | 0.00                      | 662.80          | Sale                   |

# Less commissions.

Bessemer Trust Company N.A.

Account

**Proceeds Not Reported to the IRS**

(continued)

2013

**LONG-TERM TRANSACTIONS NOT reported on Form 1099-B**

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange

Quantity

Proceeds of # stocks, bonds, etc.

UNITEHEALTH GROUP INC / CUSIP 91324P102 / Symbol UNH (cont'd)

2 tax lots for 08/23/13

10 000 725 98

25 000 1,814 94

35 000 2,540 92

Security total 4,535 68

VODAFONE GP PLC NEW ADR / CUSIP 92857W209 / Symbol VOD

08/13/13 25 000 761 73

09/03/13 20 000 635 30

09/09/13 55 000 1,818 50

Security total 3,215 53

VOLKSWAGEN AG ADR / CUSIP 928662402 / Symbol VLKPY

08/13/13 5 000 245 99

WALGREEN CO / CUSIP 931422109 / Symbol WAG

11/08/13 40 000 2,377 32

WASTE MANAGEMENT INC NEW / CUSIP 94106L109 / Symbol WM

08/23/13 50 000 2,106 69

WHARF HOLDINGS ADR / CUSIP 962257408 / Symbol WARFY

08/13/13 5 000 90 59

ZIMMER HLDGS INC / CUSIP 98956P102 / Symbol ZMH

08/13/13 5 000 410 54

ACCENTURE PLC CL A / CUSIP G1151C101 / Symbol ACN

03/19/13 20 000 1,519 83

08/13/13 5 000 364 29

Security total 1,884 12

ACE LIMITED / CUSIP H0023R105 / Symbol ACE

08/13/13 5 000 451 44

UNITEDHEALTH GROUP INC / CUSIP 91324P102 / Symbol UNH (cont'd)

11/21/11 443 99 281 99 Sale

07/25/12 1,312 58 502 36 Sale

VARIOUS 1,756 57 784 35 Total of 2 lots

Security total 3,088 53 1,447 15

VODAFONE GP PLC NEW ADR / CUSIP 92857W209 / Symbol VOD

05/24/12 676 50 85 23 Sale

05/24/12 541 20 94 10 Sale

05/24/12 1,488 30 330 20 Sale

Security total 2,706 00 509 53

VOLKSWAGEN AG ADR / CUSIP 928662402 / Symbol VLKPY

07/06/12 163 79 82 20 Sale

WALGREEN CO / CUSIP 931422109 / Symbol WAG

10/18/12 1,442 58 934 74 Sale

WASTE MANAGEMENT INC NEW / CUSIP 94106L109 / Symbol WM

06/20/12 1,637 33 469 36 Sale

WHARF HOLDINGS ADR / CUSIP 962257408 / Symbol WARFY

11/21/11 47 62 42 97 Sale

ZIMMER HLDGS INC / CUSIP 98956P102 / Symbol ZMH

05/01/12 322 13 88 41 Sale

ACCENTURE PLC CL A / CUSIP G1151C101 / Symbol ACN

01/23/12 1,121 68 398 15 Sale

01/23/12 280 42 83 87 Sale

Security total 1,402 10 482 02

ACE LIMITED / CUSIP H0023R105 / Symbol ACE

06/09/10 248 51 202 93 Sale

# Less commissions.

Bessemer Trust Company N.A.

Account

**Proceeds Not Reported to the IRS**

(continued)

2013

**LONG-TERM TRANSACTIONS NOT reported on Form 1099-B**

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

| Date of Sale or exchange | Quantity | Proceeds of # stocks, bonds, etc. | Date of acquisition | Cost or other basis | Wash sale loss disallowed | Gain or loss(-) | Additional information |
|--------------------------|----------|-----------------------------------|---------------------|---------------------|---------------------------|-----------------|------------------------|
| 11/08/13                 | 25 000   | 1,096 91                          | 09/21/12            | 886.18              | 0 00                      | 210 73          | Sale                   |

|                |                   |                   |             |                 |
|----------------|-------------------|-------------------|-------------|-----------------|
| <b>Totals:</b> | <b>599,487.89</b> | <b>593,694.96</b> | <b>0.00</b> | <b>5,792.93</b> |
|----------------|-------------------|-------------------|-------------|-----------------|

# Less commissions.