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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation E.C. Wareheim Foundation		A Employer identification number 52-6033212
Number and street (or P O box number if mail is not delivered to street address) M&T Trust Co, 25 South Charles Street		B Telephone number 410 244 4630
City or town, state or province, country, and ZIP or foreign postal code Baltimore, MD 21201		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 12,844,447.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	82,471.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	291,901.	291,901.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	149,751.			
	b Gross sales price for all assets on line 6a	606,925.			
	7 Capital gain net income (from Part IV, line 2)		149,751.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	524,123.	441,652.			
13 Compensation of officers, directors, trustees, etc	67,000.	67,000.		0.	
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees Stmt 1	2,000.	2,000.		0.	
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes Stmt 2	4,002.	0.		0.	
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses Stmt 3	108,691.	2,641.		106,050.	
24 Total operating and administrative expenses. Add lines 13 through 23	181,693.	71,641.		106,050.	
25 Contributions, gifts, grants paid	497,000.			497,000.	
26 Total expenses and disbursements. Add lines 24 and 25	678,693.	71,641.		603,050.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-154,570.				
b Net investment income (if negative, enter -0-)		370,011.			
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		18,946.	20,896.	20,896.
	2	Savings and temporary cash investments		168,401.	126,570.	126,570.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	Stmt 4	3,686,638.	3,538,626.	10,516,378.
	c	Investments - corporate bonds	Stmt 5	2,279,351.	2,314,676.	2,174,593.
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation					
15	Other assets (describe Tax credit)		8,012.	6,010.	6,010.	
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		6,161,348.	6,006,778.	12,844,447.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☐					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☒					
	27	Capital stock, trust principal, or current funds		5,868,562.	5,868,562.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		292,786.	138,216.	
30	Total net assets or fund balances		6,161,348.	6,006,778.		
31	Total liabilities and net assets/fund balances		6,161,348.	6,006,778.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,161,348.
2	Enter amount from Part I, line 27a	2	-154,570.
3	Other increases not included in line 2 (itemize)	3	0.
4	Add lines 1, 2, and 3	4	6,006,778.
5	Decreases not included in line 2 (itemize)	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,006,778.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a see attached schedule	P		
b Capital Gains Dividends			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 544,899.		457,174.	87,725.
b 62,026.			62,026.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			87,725.
b			62,026.
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	149,751.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	717,254.	11,036,278.	.064991
2011	547,337.	10,760,921.	.050863
2010	482,576.	10,217,207.	.047232
2009	476,329.	9,541,395.	.049922
2008	467,918.	11,013,269.	.042487

2 Total of line 1, column (d)	2	.255495
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051099
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	11,902,677.
5 Multiply line 4 by line 3	5	608,215.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,700.
7 Add lines 5 and 6	7	611,915.
8 Enter qualifying distributions from Part XII, line 4	8	603,050.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	7,400.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	7,400.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	7,400.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	6,010.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6,010.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,390.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► MD		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ <u>N/A</u>				
14	The books are in care of ▶ <u>Natalie Stengel</u> Telephone no. ▶ <u>410 244 4630</u>			
Located at ▶ <u>M&T Trust Co, 25 South Charles Street, Baltimore,</u> ZIP+4 ▶ <u>21202</u>				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
M&T Trust Co	Trustee			
25 South Charles Street				
Baltimore, MD 21201	1.00	67,000.	0.	0.
William L Mathers	Executive Director			
PO Box 3444				
Virginia Beach, VA 23454	3.00	89,761.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,989,991.
b	Average of monthly cash balances	1b	87,909.
c	Fair market value of all other assets	1c	6,036.
d	Total (add lines 1a, b, and c)	1d	12,083,936.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,083,936.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	181,259.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,902,677.
6	Minimum investment return. Enter 5% of line 5	6	595,134.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	595,134.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	7,400.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	7,400.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	587,734.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	587,734.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	587,734.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	603,050.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	603,050.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	603,050.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				587,734.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			291,873.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 603,050.				
a Applied to 2012, but not more than line 2a			291,873.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				311,177.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				276,557.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

- b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year see attached schedule			Unrestricted	497,000.
Total				▶ 3a 497,000.
b Approved for future payment None				
Total				▶ 3b 0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
|--|-------|-----|----|
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

Vice President

May the IRS discuss this return with the preparer shown below (see instr. 1)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if
self-employed

PTIN	
------	--

Robert Sloan

Peter Sten

Date 5/6/14

P01383695

Firm's name ► Whiteford, Taylor & Preston LLP

Firm's EIN ► 52-0619214

Firm's address ► 7 Saint Paul Street
Baltimore, MD 21202

Phone no. (410) 347-8700

Form **990-PF** (2013)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- Attach to Form 990, Form 990-EZ, or Form 990-PF.
► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

E.C. Wareheim Foundation

Employer identification number

52-6033212

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

E.C. Wareheim Foundation**52-6033212****Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Helen Wareheim CRAT M&T Trust Co, 25 S. Charles St Baltimore, MD 21201	\$ 82,471.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

E.C. Wareheim Foundation**52-6033212****Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

E.C. Wareheim Foundation**52-6033212****Part III**

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Form 990-PF	Legal Fees			Statement	1
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
WTP	2,000.	2,000.			0.
To Fm 990-PF, Pg 1, ln 16a	2,000.	2,000.			0.

Form 990-PF	Taxes			Statement	2
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Excise tax	4,002.	0.			0.
To Form 990-PF, Pg 1, ln 18	4,002.	0.			0.

Form 990-PF	Other Expenses			Statement	3
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Director expense, etc	107,120.	1,070.			106,050.
Foreign, tax	1,571.	1,571.			0.
To Form 990-PF, Pg 1, ln 23	108,691.	2,641.			106,050.

Form 990-PF	Corporate Stock		Statement	4
Description			Book Value	Fair Market Value
			3,538,626.	10,516,378.
Total to Form 990-PF, Part II, line 10b			3,538,626.	10,516,378.

Form 990-PF

Corporate Bonds

Statement

5

Description	Book Value	Fair Market Value
	2,314,676.	2,174,593.
Total to Form 990-PF, Part II, line 10c	2,314,676.	2,174,593.

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
330 NON-CASH CONTRIBUTIONS DISTRIBUTIONS NOT MATCHED TO BENEFICIARY				
01/18/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: B&O RAILROAD MUSEUM 901 WEST PRATT STREET BALTIMORE, MD 21223 TR TRAN#=CS001610000158 TR CD=072 REG= PORT=PRIN	-1000.00		-1000.00	1301000029
		BENE DISTRIBUTION WITH NO SSN		
02/04/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: THE FAMILY TREE 2108 NORTH CHARLES STREET BALTIMORE, MD 21218 TR TRAN#=CS000194000001 TR CD=072 REG= PORT=PRIN	-10000.00		-10000.00	1302000008
		BENE DISTRIBUTION WITH NO SSN		
02/05/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: NORFOLK POLICE UNION 2624 SOUTHERN BLVD. VIRGINIA BEACH, VA 23452 TR TRAN#=CS000363000001 TR CD=072 REG= PORT=PRIN	-8000.00		-8000.00	1302000009
		BENE DISTRIBUTION WITH NO SSN		
02/08/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: YMCA OF PORTSMOUTH 4900 HIGH STREET PORTSMOUTH, VA 23703 TR TRAN#=CS000688000011 TR CD=072 REG= PORT=PRIN	-5000.00		-5000.00	1302000010
		BENE DISTRIBUTION WITH NO SSN		
02/08/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: CHESAPEAKE CARE CLINIC 2145 S. MILITARY HIGHWAY CHESAPEAKE, VA 23320-4426 TR TRAN#=CS000688000022 TR CD=072 REG= PORT=PRIN	-20000.00		-20000.00	1302000011
		BENE DISTRIBUTION WITH NO SSN		
02/11/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: VIRGINIA BEACH POLICE BENEVOLENT ASSOCIATION 2624 SOUTHERN BOULEVARD, #202 VIRGINIA BEACH, VA 23452 TR TRAN#=CS000815000004 TR CD=072 REG= PORT=PRIN	-3000.00		-3000.00	1302000012
		BENE DISTRIBUTION WITH NO SSN		
02/11/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: VANN H. LEPCOE LEADERSHIP DEVELOPMENT FUND 200 HIGH STREET, SUITE 201 PORTSMOUTH, VA 23704 TR TRAN#=CS000815000005 TR CD=072 REG= PORT=PRIN	-3000.00		-3000.00	1302000013
		BENE DISTRIBUTION WITH NO SSN		
02/11/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: YOUNG AUDIENCES OF VIRGINIA 420 NORTH CENTER DRIVE, SUITE 239 NORFOLK, VA 23502-4067 TR TRAN#=CS000815000006 TR CD=072 REG= PORT=PRIN	-7000.00		-7000.00	1302000014
		BENE DISTRIBUTION WITH NO SSN		
02/13/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: JAKE HARDISON MEMORIAL CHALLENGER JAMBOREE	-2000.00		-2000.00	1302000015
		BENE DISTRIBUTION WITH NO SSN		

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
330 NON-CASH CONTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
724 HOLLADAY LANE VIRGINIA BEACH, VA 23455 TR TRAN#-CS001055000012 TR CD=072 REG= PORT=PRIN				
02/13/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: EFFINGHAM STREET FAMILY YMCA 1013 EFFINGHAM STREET PORTSMOUTH, VA 23704 TR TRAN#-CS001098000009 TR CD=072 REG= PORT=PRIN	-7000.00		-7000.00	1302000016
			BENE DISTRIBUTION WITH NO SSN	
02/14/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: CHESAPEAKE FRATERNAL ORDER OF POLICE, LODGE #9 P. O. BOX 1013 CHESAPEAKE, VA 23327 TR TRAN#-CS001168000001 TR CD=072 REG= PORT=PRIN	-10000.00		-10000.00	1302000019
			BENE DISTRIBUTION WITH NO SSN	
02/19/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: NORFOLK CASA, INC. 800 E. CITY HALL AVENUE/THIRD FLOOR NORFOLK, VA 23510 TR TRAN#-CS001442000073 TR CD=072 REG= PORT=PRIN	-7000.00		-7000.00	1302000027
			BENE DISTRIBUTION WITH NO SSN	
02/19/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: FORKIDS P. O. BOX 6044 4200 COLLEY AVENUE, SUITE A NORFOLK, VA 23508 TR TRAN#-CS001487000010 TR CD=072 REG= PORT=PRIN	-6000.00		-6000.00	1302000028
			BENE DISTRIBUTION WITH NO SSN	
02/22/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: VIRGINIA BEACH FRATERNAL ORDER OF POLICE P. O. BOX 65253 VIRGINIA BEACH, VA 23467-5253 TR TRAN#-CS001844000012 TR CD=072 REG= PORT=PRIN	-7500.00		-7500.00	1302000029
			BENE DISTRIBUTION WITH NO SSN	
02/25/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: VCU MASSEY CANCER CENTER 1000 EAST CLAY STREET P. O. BOX 980214 RICHMOND, VA 23298 TR TRAN#-CS001959000007 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1302000033
			BENE DISTRIBUTION WITH NO SSN	
02/25/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: ADVOCATE CENTER, INC. 1024 PARK AVE. NW- P. O. BOX 826 NORTON, VA 24273 TR TRAN#-CS001979000009 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1302000034
			BENE DISTRIBUTION WITH NO SSN	
02/27/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: CAMP HOLIDAY TRAILS 400 HOLIDAY TRAILS LANE CHARLOTTESVILLE, VA 22903 TR TRAN#-CS002206000018 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1302000036
			BENE DISTRIBUTION WITH NO SSN	

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
330 NON-CASH CONTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
03/01/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: HENRICO COUNTY CASA, INC. 3001 HUNGARY SPRING ROAD, SUITE A HENRICO, VA 23228 TR TRAN#-CS000024000090 TR CD=072 REG=0 PORT=PRIN	-7500.00		-7500.00	1303000006
			BENE DISTRIBUTION WITH NO SSN	
03/04/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: CPAAN 2500 N. MILITARY HIGHWAY NORFOLK, VA 23502 TR TRAN#-CS000186000021 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1303000009
			BENE DISTRIBUTION WITH NO SSN	
03/06/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: OPERATION HOMEFRONT -VIRGINIAS P. O. BOX 15301 NEWPORT NEWS, VA 23608 TR TRAN#-CS000420000001 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1303000012
			BENE DISTRIBUTION WITH NO SSN	
03/07/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: THE NOBLEMEN 2681 PRODUCTION ROAD, SUITE 105 VIRGINIA BEACH, VA 23454 TR TRAN#-CS000538000005 TR CD=072 REG=0 PORT=PRIN	-8000.00		-8000.00	1303000015
			BENE DISTRIBUTION WITH NO SSN	
03/11/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: BEACH HEALTH CLINIC 3396 HOLLAND ROAD, SUITE 102 VIRGINIA BEACH, VA 23452-4824 TR TRAN#-CS000787000053 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1303000023
			BENE DISTRIBUTION WITH NO SSN	
03/11/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: SOUTHERN VIRGINIA CHILD ADVOCACY CENTER 300 SOUTH MAIN STREET ROCKY MOUNT, VA 24151 TR TRAN#-CS000810000024 TR CD=072 REG=0 PORT=PRIN	-7500.00		-7500.00	1303000024
			BENE DISTRIBUTION WITH NO SSN	
03/12/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: COMPASS 1864 CLIFTON BRIDGE DRIVE VIRGINIA BEACH, VA 23456 TR TRAN#-CS000926000002 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1303000028
			BENE DISTRIBUTION WITH NO SSN	
03/15/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: VETSHOUSE, INC. P. O. BOX 9842 VIRGINIA BEACH, VA 23450 TR TRAN#-CS001332000008 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1303000031
			BENE DISTRIBUTION WITH NO SSN	
03/18/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: PIEDMONT CASA, INC. 818 EAST HIGH STREET	-6000.00		-6000.00	1303000032
			BENE DISTRIBUTION WITH NO SSN	

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
330 NON-CASE CONTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
CHARLOTTESVILLE, VA 22902 TR TRAN#=CS001419000028 TR CD=072 REG=0 PORT=PRIN				
03/19/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: VETSHOUSE, INC. P. O. BOX 9842 VIRGINIA BEACH, VA 23450 TR TRAN#=CS001554000001 TR CD=072 REG=0 PORT=PRIN	-1000.00		-1000.00	1303000033 BENE DISTRIBUTION WITH NO SSN
03/22/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: SETON YOUTH SHELTERS 3333 VIRGINIA BEACH BLVD., STE. 28 VIRGINIA BEACH, VA 23452 TR TRAN#=CS001913000019 TR CD=072 REG=0 PORT=PRIN	-6000.00		-6000.00	1303000036 BENE DISTRIBUTION WITH NO SSN
04/01/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: CHAPEL HILL TRAINING OUTREACH PROJECT INC. 800 EASTOWNE DRIVE, SUITE 105 CHAPEL HILL, NC 27514 TR TRAN#=CS000023000156 TR CD=072 REG=0 PORT=PRIN	-2500.00		-2500.00	1304000009 BENE DISTRIBUTION WITH NO SSN
04/01/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: VIRGINIA BEACH POLICE BENEVOLENT ASSOCIATION 2624 SOUTHERN BOULEVARD, #202 VIRGINIA BEACH, VA 23452 TR TRAN#=CS000050000003 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1304000010 BENE DISTRIBUTION WITH NO SSN
04/19/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E.C. WAREHEIM FOUNDATION FOR: PAID TO: NF MID-ATLANTIC 213 VILLAGE SQUARE II BALTIMORE, MD 21210 TR TRAN#=CS001910000012 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1304000031 BENE DISTRIBUTION WITH NO SSN
04/19/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: BLUE KNIGHTS 3912 SOUTH ROAD CHESAPEAKE, VA 23321 TR TRAN#=CS001910000013 TR CD=072 REG=0 PORT=PRIN	-6000.00		-6000.00	1304000032 BENE DISTRIBUTION WITH NO SSN
04/19/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: CHESAPEAKE CARE CLINIC 2145 S. MILITARY HIGHWAY CHESAPEAKE, VA 23320-4426 TR TRAN#=CS001910000014 TR CD=072 REG=0 PORT=PRIN	-8000.00		-8000.00	1304000033 BENE DISTRIBUTION WITH NO SSN
04/25/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: NORFOLK POP P. O. BOX 11341 NORFOLK, VA 23517-0341 TR TRAN#=CS002372000021 TR CD=072 REG=0 PORT=PRIN	-7500.00		-7500.00	1304000039 BENE DISTRIBUTION WITH NO SSN
05/01/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION	-5000.00		-5000.00	1305000006 BENE DISTRIBUTION WITH NO SSN

TAX CODE/DATE	TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
330	NON-CASH CONTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
	FOR: PAID TO: NORFOLK POLICE UNION 2624 SOUTHERN BLVD. VIRGINIA BEACH, VA 23452 TR TRAN#-CS000098000001 TR CD=072 REG=0 PORT=PRIN				
05/06/13	CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: CHESAPEAKE FRATERNAL ORDER OF POLICE P. O. BOX 3444 CHESAPEAKE, VA 23327 TR TRAN#-CS000447000005 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1305000013 BENE DISTRIBUTION WITH NO SSN
05/09/13	CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: CHESAPEAKE SERVICE SYSTEMS, INC. 1100 EXECUTIVE BOULEVARD CHESAPEAKE, VA 23320 TR TRAN#-CS000856000021 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1305000014 BENE DISTRIBUTION WITH NO SSN
05/17/13	CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: NEWPORT NEWS FRATERNAL ORDER OF POLICE, LODGE #25 P. O. BOX 2238 NEWPORT NEWS, VA 23609 TR TRAN#-CS001556000014 TR CD=072 REG=0 PORT=PRIN	-12000.00		-12000.00	1305000023 BENE DISTRIBUTION WITH NO SSN
05/28/13	CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: CASA 119 WEST FREDERICK STREET STAUNTON, VA 24401 TR TRAN#-CS002277000001 TR CD=072 REG=0 PORT=PRIN	-7000.00		-7000.00	1305000026 BENE DISTRIBUTION WITH NO SSN
06/03/13	CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: VIRGINIA BEACH RESCUE SQUAD FOUNDATION, INC. 740 VIRGINIA BEACH BOULEVARD VIRGINIA BEACH, VA 23451 TR TRAN#-CS000015000202 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1306000006 BENE DISTRIBUTION WITH NO SSN
06/03/13	CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: MT. PLEASANT CHRISTIAN SCHOOL 1613 MT. PLEASANT ROAD CHESAPEAKE, VA 23322 TR TRAN#-CS000015000203 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1306000007 BENE DISTRIBUTION WITH NO SSN
06/10/13	CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: VIRGINIA BEACH POLICE BENEVOLENT ASSOCIATION 2624 SOUTHERN BOULEVARD, #202 VIRGINIA BEACH, VA 23452 TR TRAN#-CS000675000003 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1306000024 BENE DISTRIBUTION WITH NO SSN
06/13/13	CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: SQUARE ONE 287 INDEPENDENCE BLVD, SUITE 120 VIRGINIA BEACH, VA 23462	-10000.00		-10000.00	1306000031 BENE DISTRIBUTION WITH NO SSN

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
330 NON-CASH CONTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
TR TRAN#-CS001038000001 TR CD=072 REG=0 PORT=PRIN				
06/21/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: NEWPORT NEWS FRATERNAL ORDER OF POLICE, LODGE #25 P. O. BOX 2238 NEWPORT NEWS, VA 23609	-6000.00		-6000.00	1306000034
			BENE DISTRIBUTION WITH NO SSN	
TR TRAN#-CS001768000005 TR CD=072 REG=0 PORT=PRIN				
06/25/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: S. FRANK BLOCKER NORFOLK FAMILY YMCA 312 WEST BUTE STREET NORFOLK, VA 23510	-10000.00		-10000.00	1306000037
			BENE DISTRIBUTION WITH NO SSN	
TR TRAN#-CS001995000030 TR CD=072 REG=0 PORT=PRIN				
06/25/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: VETSHOUSE, INC. P. O. BOX 9842 VIRGINIA BEACH, VA 23450	-6000.00		-6000.00	1306000038
			BENE DISTRIBUTION WITH NO SSN	
TR TRAN#-CS002038000022 TR CD=072 REG=0 PORT=PRIN				
06/27/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: VIRGINIA BEACH POLICE BENEVOLENT ASSOCIATION 2624 SOUTHERN BOULEVARD, #202 VIRGINIA BEACH, VA 23452	-5000.00		-5000.00	1306000042
			BENE DISTRIBUTION WITH NO SSN	
TR TRAN#-CS002301000013 TR CD=072 REG=0 PORT=PRIN				
07/05/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: VNVWC P. O. BOX 4943 RICHMOND, VA 23220	-5000.00		-5000.00	1307000016
			BENE DISTRIBUTION WITH NO SSN	
TR TRAN#-CS000463000013 TR CD=072 REG=0 PORT=PRIN				
07/11/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: VIRGINIA BEACH POLICE BENEVOLENT ASSOCIATION 2624 SOUTHERN BOULEVARD, #202 VIRGINIA BEACH, VA 23452	-10000.00		-10000.00	1307000023
			BENE DISTRIBUTION WITH NO SSN	
TR TRAN#-CS000927000016 TR CD=072 REG=0 PORT=PRIN				
07/22/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: BLUE KNIGHTS CHAPTER V 3912 SOUTH ROAD CHESAPEAKE, VA 23321	-6000.00		-6000.00	1307000034
			BENE DISTRIBUTION WITH NO SSN	
TR TRAN#-CS001830000009 TR CD=072 REG=0 PORT=PRIN				
07/25/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: MERCY MEDICAL AIRLIFT 4260 HAYGOOD RD., STE 1 VIRGINIA BEACH, VA 23455	-10000.00		-10000.00	1307000040
			BENE DISTRIBUTION WITH NO SSN	
TR TRAN#-CS002155000035 TR CD=072 REG=0 PORT=PRIN				
07/29/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION	-10000.00		-10000.00	1307000045
			BENE DISTRIBUTION WITH NO SSN	

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
330 NON-CASH CONTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
FOR: PAID TO: NORFOLK POLICE UNION 2624 SOUTHERN BLVD. VIRGINIA BEACH, VA 23452 TR TRAN#-CS002417000023 TR CD=072 REG=0 PORT=PRIN				
08/15/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: CHESAPEAKE FRATERNAL ORDER OF POLICE #9 P. O. BOX 1013 CHESAPEAKE, VA 23327 TR TRAN#-CS001278000001 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1308000018 BENE DISTRIBUTION WITH NO SSN
08/29/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: AMERICAN RED CROSS 611 W. BRAMBLETON AVENUE NORFOLK, VA 23510 TR TRAN#-CS002547000001 TR CD=072 REG=0 PORT=PRIN	-8000.00		-8000.00	1308000022 BENE DISTRIBUTION WITH NO SSN
09/06/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: CHESTERFIELD CASA, INC. 9457 AMBERDALE DRIVE RICHMOND, VA 23236 TR TRAN#-CS000429000012 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1309000017 BENE DISTRIBUTION WITH NO SSN
09/16/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: SUNRISE CENTER, INC. P. O. BOX 1871 RICHLANDS, VA 24641 TR TRAN#-CS001142000013 TR CD=072 REG=0 PORT=PRIN	-7000.00		-7000.00	1309000029 BENE DISTRIBUTION WITH NO SSN
09/16/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: KIWANIS HARBOR PARTY P. O. BOX 1568 NORFOLK, VA 23501 TR TRAN#-CS001142000014 TR CD=072 REG=0 PORT=PRIN	-1000.00		-1000.00	1309000030 BENE DISTRIBUTION WITH NO SSN
09/17/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: CASA OF MONTGOMERY COUNTY 1010 GRANDIN AVENUE, ROOM B-3 ROCKVILLE, MD 20851 TR TRAN#-CS001274000007 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1309000031 BENE DISTRIBUTION WITH NO SSN
10/01/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: TRI-COUNTY HEALTH CLINIC 6105 GOV. G.C. PERRY HWY., BOX 202 RICHLANDS, VA 24641 TR TRAN#-CS000022000164 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1310000005 BENE DISTRIBUTION WITH NO SSN
10/03/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: VETSHOUSE, INC. P. O. BOX 9842 VIRGINIA BEACH, VA 23450 TR TRAN#-CS000324000001 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1310000012 BENE DISTRIBUTION WITH NO SSN

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
330 NON-CASH CONTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
10/04/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: FRIENDS OF THE VIRGINIA BEACH JUVENILE & DOMESTIC RELATIONS COURT 2425 NIMMO PARKWAY, BUILDING 10-A VIRGINIA BEACH, VA 23456 TR TRAN# = CS000441000002 TR CD=072 REG=0 PORT=PRIN	-6000.00		-6000.00	1310000013 BENE DISTRIBUTION WITH NO SSN
10/04/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: COLONIAL CASA 1311 JAMESTOWN ROAD, SUITE 210 WILLIAMSBURG, VA 23185 TR TRAN# = CS000441000003 TR CD=072 REG=0 PORT=PRIN	-7500.00		-7500.00	1310000014 BENE DISTRIBUTION WITH NO SSN
10/07/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: THE HEALTH WAGON 119 NUMBER TEN STREET CLINCHCO, VA 24226 TR TRAN# = CS000557000015 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1310000017 BENE DISTRIBUTION WITH NO SSN
10/08/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: PORT DISCOVERY CHILDREN S MUSEUM 35 MARKET PLACE BALTIMORE, MD 21202 TR TRAN# = CS000669000004 TR CD=072 REG=0 PORT=PRIN	-6000.00		-6000.00	1310000020 BENE DISTRIBUTION WITH NO SSN
10/10/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: CEREBRAL PALSY OF VIRGINIA 5825 ARROWHEAD DRIVE, SUITE 201 VIRGINIA BEACH, VA 23462 TR TRAN# = CS000897000005 TR CD=072 REG=0 PORT=PRIN	-5000.00		-5000.00	1310000024 BENE DISTRIBUTION WITH NO SSN
10/21/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: NATIONAL AQUARIUM 501 EAST PRATT STREET BALTIMORE, MD 21202-3194 TR TRAN# = CS001714000001 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1310000033 BENE DISTRIBUTION WITH NO SSN
10/29/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: CHESAPEAKE BAY FOUNDATION 142 WEST YORK STREET, SUITE 618 NORFOLK, VA 23510 TR TRAN# = CS002427000003 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1310000042 BENE DISTRIBUTION WITH NO SSN
11/05/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: STEVENSON UNIVERSITY 1525 GREENSPRING ROAD STEVENSON, MD 21153-0641 TR TRAN# = CS000293000001 TR CD=072 REG=0 PORT=PRIN	-10000.00		-10000.00	1311000013 BENE DISTRIBUTION WITH NO SSN
11/27/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: CHESAPEAKE SERVICE SYSTEMS, INC. 1100 EXECUTIVE BOULEVARD	-10000.00		-10000.00	1311000024 BENE DISTRIBUTION WITH NO SSN

TAX CODE/DATE TRANSACTIONS	TAXABLE	INCOME	PRINCIPAL	EDIT NUMBER
330 NON-CASH CONTRIBUTIONS (CONTINUED) DISTRIBUTIONS NOT MATCHED TO BENEFICIARY (CONTINUED)				
CHESAPEAKE, VA 23320 TR TRAN# = CS002208000002 TR CD = 072 REG = 0 PORT = PRIN				
12/02/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: LIVING CLASSROOMS FOUNDATION 802 SOUTH CAROLINE STREET BALTIMORE, MD 21231 TR TRAN# = CS0000230000206 TR CD = 072 REG = 0 PORT = PRIN	-5000.00		-5000.00	1312000008
			BENE DISTRIBUTION WITH NO SSN	
12/04/13 CASH DISBURSEMENT CHARITABLE DISTRIBUTION 50 GRANT PAYMENT FROM E. C. WAREHEIM FOUNDATION FOR: PAID TO: DAUGHTERS OF THE HOLY SPIRIT P. O. BOX 288 HURLEY, VA 24620 TR TRAN# = CS000314000026 TR CD = 072 REG = 0 PORT = PRIN	-8000.00		-8000.00	1312000016
			BENE DISTRIBUTION WITH NO SSN	
TOTAL CODE 330 - NON-CASH CONTRIBUTIONS	-497000.00	0 00	-497000.00	
499 FOREIGN TAXES ON NONQUALIFIED FOREIGN DIVIDENDS *** OC - OTHER COUNTRIES GUINNESS ATKINSON CHINA HONG KONG FD - 402031207 - MINOR = 97				
12/31/13 MUTUAL FUND EARNINGS	-8.99			
	-8.99	0.00	0.00	
HARBOR FUND INTERNATIONAL FUND OPEN - 411511306 - MINOR = 97				
12/31/13 MUTUAL FUND EARNINGS	-964.50			
	-964.50	0 00	0 00	
MFS INTL NEW DISCOVERY CL I - 552981854 - MINOR = 97				
12/31/13 MUTUAL FUND EARNINGS	-7.40			
	-7.40	0 00	0.00	
TOTAL CODE 499 - FOREIGN TAXES ON NONQUALIFIED FOREIGN DIVIDE	-980.89	0.00	0 00	
501 NONQUALIFIED FOREIGN DIVIDENDS *** OC - OTHER COUNTRIES GUINNESS ATKINSON CHINA HONG KONG FD - 402031207 - MINOR = 97				
12/31/13 MUTUAL FUND EARNINGS	84.45			
	84.45	0 00	0 00	
HARBOR FUND INTERNATIONAL FUND OPEN - 411511306 - MINOR = 97				
12/31/13 MUTUAL FUND EARNINGS	5898.42			
	5898.42	0.00	0 00	
MFS INTL NEW DISCOVERY CL I - 552981854 - MINOR = 97				
12/31/13 MUTUAL FUND EARNINGS	0.12			
	0.12	0.00	0 00	
TOTAL CODE 501 - NONQUALIFIED FOREIGN DIVIDENDS	5982.99	0 00	0.00	
502 NONQUALIFIED DOMESTIC DIVIDENDS HARBOR FUND INTERNATIONAL FUND OPEN - 411511306 - MINOR = 97				
12/31/13 MUTUAL FUND EARNINGS	1318.84			
	1318.84	0.00	0.00	
MFS EMERGING MARKETS DEBT FUND - I - 55273E640 - MINOR = 64				
12/31/13 MUTUAL FUND EARNINGS	4008.80			
	4008.80	0.00	0.00	

ACCT U255 10850
FROM 01/01/2013
TO 12/31/2013

MANUFACTURERS AND TRADERS TRUST COMPANY
STATEMENT OF CAPITAL GAINS AND LOSSES
E. C. WAREHEIM T/A - IRREV

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RUN 03/10/2014
02 10.06 PM

TRUST YEAR ENDING 12/31/2013

TAX PREPARER: 329
TRUST ADMINISTRATOR: 231
INVESTMENT OFFICER: 532

LEGEND. F - FEDERAL S - STATE I - INHERITED
E - EXEMPT FROM STATE U - ACQ COST UNKNOWN

PROPERTY DESCRIPTION	SHARES	DATE	SALES PRICE	COST BASIS	GAIN/LOSS	TERM	NC	8949
200 0000 AT&T INC - 00206R102 - MINOR = 43								
SOLD		07/23/2013	7133.37					
ACQ	200.0000	07/02/1984	7133.37	697.99	6435.38	LT	Y	F
5500.0000 ALCOA INCORPORATED - 013817101 - MINOR = 31000								
SOLD		03/06/2013	46462.95					
ACQ	5500.0000	12/04/1987	46462.95	28139.37	18323.58	LT	Y	F
250 0000 DISNEY WALT COMPANY - 254687106 - MINOR = 5010								
SOLD		08/28/2013	15255.10					
ACQ	250.0000	06/11/1996	15255.10	5135.50	10119.60	LT	Y	F
887 1540 GUINNESS ATKINSON CHINA HONG KONG FD - 402031207 - MINOR = 97								
SOLD		08/28/2013	25000.00					
ACQ	616.8270	01/13/2011	17382.19	25000.00	-7617.81	LT	Y	F
	270.3270	01/06/2010	7617.81	10099.41	-2481.60	LT	Y	F
821 0180 HARBOR FUND INTERNATIONAL FUND OPEN - 411511306 - MINOR = 97								
SOLD		06/24/2013	50000.00					
ACQ	821.0180	01/10/2008	50000.00	57093.60	-7093.60	LT	Y	F
250 0000 INTERNATIONAL BUSINESS MACHINES CORP - 459200101 - MINOR = 43								
SOLD		07/23/2013	48516.02					
ACQ	250.0000	01/13/2011	48516.02	37282.50	11233.52	LT	Y	F
50.0000 INTUITIVE SURGICAL INC - 46120E602 - MINOR = 43								
SOLD		07/09/2013	20701.08					
ACQ	50.0000	01/10/2008	20701.08	13114.04	7587.04	LT	Y	F
25 0000 INTUITIVE SURGICAL INC - 46120E602 - MINOR = 43								
SOLD		07/25/2013	9361.53					
ACQ	25.0000	01/10/2008	9361.53	6557.02	2804.51	LT	Y	F
25 0000 INTUITIVE SURGICAL INC - 46120E602 - MINOR = 43								
SOLD		08/28/2013	9682.02					
ACQ	25.0000	01/10/2008	9682.02	6557.02	3125.00	LT	Y	F
25 0000 INTUITIVE SURGICAL INC - 46120E602 - MINOR = 43								
SOLD		10/07/2013	9452.77					
ACQ	25.0000	01/10/2008	9452.77	6557.02	2895.75	LT	Y	F
613.0000 MERCK & CO INC - 58933Y105 - MINOR = 43								
SOLD		11/22/2013	29703.93					
ACQ	613.0000	01/17/1991	29703.93	3170.59	26533.34	LT	Y	F
200 0000 PHILIP MORRIS INTL INC - 718172109 - MINOR = 43								
SOLD		12/03/2013	17041.22					
ACQ	200.0000	06/19/1992	17041.22	2558.65	14482.57	LT	Y	F
500 0000 STAPLES INCORPORATED - 855030102 - MINOR = 43								
SOLD		04/02/2013	6588.60					
ACQ	500.0000	06/11/1996	6588.60	2944.44	3644.16	LT	Y	F
24975.0260 WILMINGTON BROAD MARKET BOND FUND -I - 97181C811 - MINOR = 66								
SOLD		04/12/2013	250000.00					
ACQ	636.1880	11/20/2003	6368.24	6457.31	-89.07	LT	Y	F
	24338.8380	11/15/1996	243631.76	245809.46	-2177.70	LT	Y	F
TOTALS			544898.59	457173.92				

SUMMARY OF CAPITAL GAINS/LOSSES

FEDERAL	SHORT TERM	LONG TERM 28+	LONG TERM	ST WASH SALE	LT WASH SALE	1250 GAIN
NONCOVERED FROM ABOVE	0.00	0.00	87724.67	0.00	0.00	0.00*
COVERED FROM ABOVE	0.00	0.00	0.00	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIV/DIST	0.00	0.00	62025.98			0.00
	0.00	0.00	149750.65	0.00	0.00	0.00
STATE						
NONCOVERED FROM ABOVE	0.00	0.00	87724.67	0.00	0.00	0.00*
COVERED FROM ABOVE	0.00	0.00	0.00	0.00	0.00	
COMMON TRUST FUND	0.00	0.00	0.00			0.00
CAPITAL GAIN DIV/DIST	0.00	0.00	62025.98			0.00
	0.00	0.00	149750.65	0.00	0.00	0.00

* - 1250 GAIN DETAILED ON TRANS PAGES, NOT ABOVE

CAPITAL LOSS CARRYOVER

FEDERAL	0.00	0.00
MARYLAND	N/A	N/A



SUMMARY OF INVESTMENTS

E. C. WAREHEIM T/A - IRREV (PF)

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CATEGORY =====	MARKET VALUE =====	PERCENT OF MARKET =====	ESTIMATED ANNUAL INCOME =====	CURRENT YIELD =====
CASH EQUIVALENTS				
PRINCIPAL CASH	0.00	0.00	0	0.00
U.S. GOV. MONEY MARKET FUNDS	126,570.00	0.99	12	0.01
TOTAL CASH EQUIVALENTS	126,570.00	0.99	12	0.01
COMMON EQUITY SECURITIES				
DOMESTIC COMMON STOCKS	8,162,684.66	63.68	213,190	2.61
FOREIGN COMMON STOCK/ADR S	405,495.00	3.16	5,625	1.39
CLOSELY HELD COMMON STOCK	155.00	0.00	0	0.00
TOTAL COMMON EQUITY SECURITIES	8,568,334.66	66.85	218,815	2.55
EQUITY MUTUAL FUNDS				
DOMESTIC EQUITY MUTUAL FUNDS	898,247.96	7.01	0	0.00
INTERNATIONAL FUNDS	1,049,794.22	8.19	20,222	1.93
TOTAL EQUITY MUTUAL FUNDS	1,948,042.18	15.20	20,222	1.04
FIXED INCOME MUTUAL FUNDS				
INTL FIXED INCOME MUTUAL FUNDS	115,969.69	0.90	6,054	5.22
TAXABLE FIXED INCOME FUNDS	2,058,623.45	16.06	57,300	2.78
TOTAL FIXED INCOME MUTUAL FUNDS	2,174,593.14	16.97	63,354	2.91
TOTAL FUND	12,817,539.98	100.00	302,404	2.36
FOR THE TAX YEAR ENDING 12/31:				
NET SHORT TERM GAIN/LOSS	3,777.50			
NET LONG TERM GAIN/LOSS	149,509.71			



WILMINGTON TRUST

DIVERSIFICATION SCHEDULE OF INVESTMENTS

3850

E. C. WAREHEIM T/A - IRREV (PF)

ASSETS HELD: 12/31/13

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CATEGORY	MARKET VALUE	PERCENT OF MARKET	ESTIMATED ANNUAL INCOME	CURRENT YIELD
EQUITIES AND EQUIVALENTS				
MATERIALS	44,400.00	0.54	1,280	2.88
INDUSTRIALS	1,426,748.00	17.48	31,488	2.21
TELECOMMUNICATION SERVICES	370,770.00	4.54	18,500	4.99
CONSUMER DISCRETIONARY	691,782.50	8.47	14,535	2.10
CONSUMER STAPLES	1,758,511.16	21.54	49,083	2.79
ENERGY	374,730.00	4.59	12,000	3.20
FINANCIALS	778,610.00	9.54	17,800	2.29
HEALTH CARE	1,654,465.50	20.27	40,074	2.42
INFORMATION TECHNOLOGY	1,062,667.50	13.02	28,430	2.68
TOTAL EQUITIES AND EQUIVALENTS	8,162,684.66	100.00	213,190	2.61





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SCHEDULE OF INVESTMENTS

E. C. WAREHEIM T/A - IRREV (PF)

ASSETS HELD: 12/31/13

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UNITS/SHARES	DESCRIPTION	TAX COST	CURRENT PRICE	MARKET VALUE	% OF MKT	% OF GROUP	INCOME RATE	ESTIMATED ANNUAL INCOME	CURR YLD	YLD TO MAT
	CASH EQUIVALENTS									
	CASH ON HAND	0		0	0.0	0.0	0.000	0	0.0	
	U.S. GOV. MONEY MARKET FUNDS									
126,570	WILMINGTON US TREASURY MONEY MARKET FUND CLASS SELECT	126,570	1.00	126,570	1.0	100.0	0.000	12	0.0	
	TOTAL U.S. GOV. MONEY MARKET FUNDS	126,570		126,570	1.0	100.0		12	0.0	
	TOTAL CASH EQUIVALENTS	126,570		126,570	1.0	100.0		12	0.0	
	COMMON EQUITY SECURITIES									
	MATERIALS									
	CHEMICALS									
	DOW CHEMICAL CO									
1,000	TOTAL MATERIALS	19,445	44.40	44,400	0.3	0.5	1.280	1,280	2.9	
	INDUSTRIALS									
	AEROSPACE & DEFENSE									
	PRECISION CASTPARTS CORP COM									
400		48,071	269.30	107,720	0.8	1.3				
100		11,221	269.30	26,930	0.2	0.3				
250		23,228	269.30	67,325	0.5	0.8				
750		82,519		201,975	1.6	2.4	0.120	90	0.0	
	UNITED TECHNOLOGIES CORP COM									
1,000		50,450	113.80	113,800	0.9	1.3	2.360	2,360	2.1	
	TOTAL AEROSPACE & DEFENSE	132,969		315,775	2.5	3.7		2,450	0.8	
	ELECTRICAL EQUIPMENT									
	EMERSON ELECTRIC COMPANY									
4,200		20,930	70.18	294,756	2.3	3.4				
2,800		17,019	70.18	196,504	1.5	2.3				
7,000		37,949		491,260	3.8	5.7	1.720	12,040	2.5	
	INDUSTRIAL CONGLOMERATES									
	GENERAL ELECTRIC COMPANY									
4,800		8,762	28.03	134,544	1.0	1.6				
4,800		12,175	28.03	134,544	1.0	1.6				
9,600		20,937		269,088	2.1	3.1	0.880	8,448	3.1	



SCHEDULE OF INVESTMENTS

E. C. WAREHEIM T/A - IRREV (PF)

ASSETS HELD: 12/31/13

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UNITS/SHARES	DESCRIPTION	TAX COST	CURRENT PRICE	MARKET VALUE	% OF MKT	% OF GROUP	INCOME RATE	ESTIMATED ANNUAL INCOME	CURR YLD	YLD TO MAT
1,200	3M CO	12,228	140.25	168,300	1.3	2.0				
1,200	12/ 6/83	11,275	140.25	168,300	1.3	2.0				
100	7/ 9/85	1,344	140.25	14,025	0.1	0.2				
2,500	12/ 4/87	24,847		350,625	2.7	4.1	3.420	8,550	2.4	
	TOTAL INDUSTRIAL CONGLOMERATES	45,783		619,713	4.8	7.2		16,998	2.7	
	TOTAL INDUSTRIALS	216,701		1,426,748	11.1	16.7		31,488	2.2	
	TELECOMMUNICATION SERVICES									
	DIVERSIFIED TELECOMMUNICATION									
7,750	AT&T INC	27,047	35.16	272,490	2.1	3.2	1.840	14,260	5.2	
	7/ 2/84									
2,000	VERIZON COMMUNICATIONS COM	61,883	49.14	98,280	0.8	1.1	2.120	4,240	4.3	
	5/ 9/05	88,930		370,770	2.9	4.3		18,500	5.0	
	TOTAL DIVERSIFIED TELECOMMUNICATION	88,930		370,770	2.9	4.3		18,500	5.0	
	TOTAL TELECOMMUNICATION SERVICES									
	CONSUMER DISCRETIONARY									
	MEDIA									
2,750	WALT DISNEY CO	56,490	76.40	210,100	1.6	2.4	0.860	2,365	1.1	
	6/11/96									
	MULTILINE RETAIL									
2,750	TARGET CORP COM	104,170	63.27	173,993	1.4	2.0	1.720	4,730	2.7	
	12/10/01									
	SPECIALTY RETAIL									
	HOME DEPOT INC COM	55,080	82.34	164,680	1.3	1.9	1.560	3,120	1.9	
2,000	STAPLES INC COM	53,000	15.89	143,010	1.1	1.7	0.480	4,320	3.0	
	6/11/96	108,080		307,690	2.4	3.6		7,440	2.4	
9,000	TOTAL SPECIALTY RETAIL	268,740		691,783	5.4	8.1		14,535	2.1	
	CONSUMER DISCRETIONARY									
	CONSUMER STAPLES									
	BEVERAGES									
	COCA COLA CO COM	59,753	41.31	247,860	1.9	2.9				
6,000	6/19/92	63,300	41.31	82,620	0.6	1.0				
2,000	1/13/11	123,053		330,480	2.6	3.9	1.120	8,960	2.7	
8,000	PEPSICO INC COM	155,835	82.94	248,820	1.9	2.9	2.270	6,810	2.7	
	4/17/02	278,888		579,300	4.5	6.8		15,770	2.7	
3,000	TOTAL BEVERAGES									
	FOOD & DRUG RETAILING									





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E. C. WAREHEIM T/A - IRREV (PF)

SCHEDULE OF INVESTMENTS

ASSETS HELD: 12/31/13

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UNITS/SHARES	DESCRIPTION	TAX COST	CURRENT PRICE	MARKET VALUE	% OF MKT	% OF GROUP	INCOME RATE	ESTIMATED ANNUAL INCOME	CURR YLD	YLD TO MAT
276	KRAFT FOODS GROUP INC 6/19/92	2,404	53.9	14,879	0.1	0.2	2.100	580	3.9	
830	MONDELEZ INTERNATIONAL INC 6/19/92	4,489 6,892	35.30	29,299 44,178	0.2 0.3	0.3 0.5	0.560	465 1,044	1.6 2.4	
6,000	TOTAL FOOD & DRUG RETAILING HOUSEHOLD PRODUCTS COLGATE PALMOLIVE CO COM 6/11/96	61,583	65.2	391,260	3.0	4.6	1.360	8,160	2.1	
4,575	PROCTER & GAMBLE CO COM 11/23/01	177,079	81.4	372,451	2.9	4.3				
2,925	6/11/96	89,228	81.4	238,124	1.9	2.8				
7,500	TOTAL HOUSEHOLD PRODUCTS TOBACCO	266,306 327,889		610,575 1,001,835	4.8 7.8	7.1 11.7	2.406	18,045 26,205	3.0 2.6	
1,200	ALTRIA GROUP INC 6/19/92	6,696	38.39	46,068	0.4	0.5	1.920	2,304	5.0	
1,000	PHILIP MORRIS INTERNATIONAL INC 6/19/92	12,793 19,490 633,158	87.13	87,130 133,198 1,758,511	0.7 1.0 13.7	1.0 1.6 20.5	3.760	3,760 6,064 49,083	4.3 4.6 2.8	
2,000	TOTAL TOBACCO	16,475	124.9	249,820	1.9	2.9				
1,000	ENERGY	9,180	124.9	124,910	1.0	1.5				
3,000	OIL, GAS & CONSUMABLE FUELS CHEVRON CORP 1/13/83 12/ 4/87	25,655 25,655		374,730 374,730	2.9 2.9	4.4 4.4	4.000	12,000 12,000	3.2 3.2	
8,000	TOTAL ENERGY FINANCIALS COMMERCIAL BANKS WELLS FARGO & CO 6/ 6/95	57,880	45.40	363,200	2.8	4.2	1.200	9,600	2.6	
3,000	DIVERSIFIED FINANCIAL SERVICES BANK OF AMERICA CORPORATION 12/ 7/09	48,925	15.57	46,710	0.4	0.5	0.040	120	0.3	
3,000	JPMORGAN CHASE & CO 4/27/09	100,440 149,365	58.48	175,440 222,150	1.4 1.7	2.0 2.6	1.520	4,560 4,680	2.6 2.1	
2,000	TOTAL DIVERSIFIED FINANCIAL SERVICES INSURANCE CHUBB CORP ATTACHED RTS EXP 3-31-2009 6/11/96	48,485 255,730	96.68	193,260 778,610	1.5 6.1	2.3 9.1	1.760	3,520 17,800	1.8 2.3	
	TOTAL FINANCIALS HEALTH CARE BIOTECHNOLOGY									



WILMINGTON
TRUST

SCHEDULE OF INVESTMENTS

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E. C. WAREHEIM T/A - IRREV (PF)

ASSETS HELD: 12/31/13

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UNITS/SHARES *****	DESCRIPTION *****	TAX COST *****	CURRENT PRICE *****	MARKET VALUE *****	% OF MKT *****	% OF GROUP *****	INCOME RATE *****	ESTIMATED ANNUAL INCOME *****	CURR YLD *****	YLD TO MAT *****
3,250	AMGEN INC COM 8/ 7/97	48,242	114.08	370,760	2.9	4.3	2.440	7,930	2.1	
75	HEALTH CARE EQUIP & SUPPLIES 1/10/08	19,671	384.08	28,806	0.2	0.3				
50	INTUITIVE SURGICAL INC 11/17/08	6,526	384.08	19,204	0.1	0.2				
125		26,197		48,010	0.4	0.6	0.000	0	0.0	
	HEALTH CARE PROVIDERS & SERV. AETNA INC NEW COM									
4,200	12/13/00	36,488	68.59	288,078	2.2	3.4	0.900	3,780	1.3	
	PHARMACEUTICALS ABBOTT LABORATORIES									
4,500	6/11/96	43,893	38.33	172,485	1.3	2.0	0.880	3,960	2.3	
4,500	ABBVIE INC 6/11/96	47,598	52.81	237,645	1.8	2.8	1.600	7,200	3.0	
1,600	BRISTOL-MYERS SQUIBB CO 6/19/92	24,984	53.15	85,040	0.7	1.0	1.440	2,304	2.7	
1,000	JOHNSON & JOHNSON 5/ 9/05	67,910	91.59	91,590	0.7	1.1				
500	1/13/11	31,285	91.59	45,795	0.4	0.5				
1,500		99,195		137,385	1.1	1.6	2.640	3,960	2.9	
4,000	MERCK & COMPANY INC 1/17/91	20,689	50.05	200,200	1.6	2.3	1.760	7,040	3.5	
3,750	PFIZER INC 12/ 4/87	15,382	30.63	114,863	0.9	1.3	1.040	3,900	3.4	
	TOTAL PHARMACEUTICALS	251,741		947,618	7.4	11.1		28,364	3.0	
	TOTAL HEALTH CARE	362,668		1,654,466	12.9	19.3		40,074	2.4	
	INFORMATION TECHNOLOGY COMMUNICATIONS EQUIPMENT CISCO SYSTEMS INC									
9,000	8/ 7/97	79,500	22.43	201,870	1.6	2.4	0.680	6,120	3.0	
	COMPUTER & PERIPHERALS APPLE INC									
500	1/ 3/06	36,200	561.02	280,510	2.2	3.3	12.200	6,100	2.2	
	INTERNATIONAL BUSINESS MACHINES CORP 7/26/05	84,250	187.57	187,570	1.5	2.2				
1,000	1/13/11	37,283	187.57	46,893	0.4	0.5				
250		121,533		234,463	1.8	2.7	3.800	4,750	2.0	
1,250		157,733		514,973	4.0	6.0		10,850	2.1	
	TOTAL COMPUTER & PERIPHERALS SEMICONDR EQUIP									





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E. C. WAREHEIM T/A - IRREV (I/F)

SCHEDULE OF INVESTMENTS

ASSETS HELD: 12/31/13

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UNITS/SHARES	DESCRIPTION	TAX COST	CURRENT PRICE	MARKET VALUE	% OF MKT	% OF GROUP	INCOME RATE	ESTIMATED ANNUAL INCOME	CURR YLD	YLD TO MAT
9,000	INTEL CORP COM	183,938	25.95	233,595	1.8	2.7	0.900	8,100	3.5	
2,000	SOFTWARE	52,200	37.41	74,820	0.6	0.9				
1,000	MICROSOFT CORP	28,360	37.41	37,410	0.3	0.4				
3,000		80,560		112,230	0.9	1.3	1.120	3,360	3.0	
	TOTAL INFORMATION TECHNOLOGY	501,730		1,062,668	8.3	12.4		28,430	2.7	
4,500	FOREIGN COMMON STOCK/ADR'S									
	SCHLUMBERGER LTD									
	10/26/87									
	TOTAL FOREIGN COMMON STOCK/ADR'S	32,263	90.11	405,495	3.2	4.7	1.250	5,625	1.4	
	CLOSELY HELD COMMON STOCK	32,263		405,495	3.2	4.7		5,625	1.4	
	MARYLAND STATE FAIR & AGRICULTURAL									
	SOCIETY COMMON									
	DATE PRICED 12/31/12									
31	TOTAL CLOSELY HELD COMMON STOCK	0	5.00	155	0.0	0.0	0.000	0	0.0	
	TOTAL COMMON EQUITY SECURITIES	2,405,020		8,568,336	66.8	100.0		218,815	2.6	
	EQUITY MUTUAL FUNDS									
	DOMESTIC EQUITY MUTUAL FUNDS									
	WILMINGTON MID CAP GROWTH FUND									
	INSTITUTIONAL SHARES									
	N/A									
30,992.4		232,834	18.95	587,616	4.6	*****				
1,327.47	11/ 1/95	15,983	18.95	25,169	0.2	*****				
387.4	12/18/97	4,664	18.95	7,345	0.1	*****				
1,417.89	12/18/97	18,745	18.95	26,883	0.2	*****				
9,140.03	12/ 8/98	121,928	18.95	173,295	1.3	*****				
2,382.03	12/ 7/00	16,269	18.95	45,163	0.3	*****				
1,728.72	12/ 2/08	31,549	18.95	32,776	0.3	*****				
47,375.95	12/ 4/13	441,972	18.95	898,248	7.0	0.0*	0.000	0	0.0	
	TOTAL DOMESTIC EQUITY MUTUAL FUNDS	441,972		898,248	7.0	0.0		0	0.0	
	INTERNATIONAL FUNDS									
	GUINNESS ATKINSON CHINA AND HONG									
	KONG FUND									
	N/A									
1,152.41	11/ 6/09	40,000	30.86	35,563	0.3	*****				
265.01	1/ 6/10	9,901	30.86	8,178	0.1	*****				



SCHEDULE OF INVESTMENTS

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E. C. WAREHEIM T/A - IRREV (PF)

ASSETS HELD: 12/31/13

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UNITS/SHARES	DESCRIPTION	TAX COST	CURRENT PRICE	MARKET VALUE	% OF MKT	% OF GROUP	INCOME RATE	ESTIMATED ANNUAL INCOME	CURR YLD	YLD TO MAT
776.16	HARBOR INTERNATIONAL FUND	25,000	30.86	23,952	0.2	\$*****	0.000	0	0.0	
2,193.57	CLASS INSTITUTIONAL	74,901	30.86	67,693	0.5	0.0*				
9,418.86	N/A	337,033	71.01	668,833	5.2	\$*****				
422.12		29,354	71.01	29,975	0.2	\$*****				
1,971.61		100,000	71.01	140,004	1.1	\$*****				
11,812.59		466,387	71.01	838,812	6.5	0.0*	1.495	17,660	2.1	
2,145	LAZARD EMERGING MARKETS EQUITY FUND	50,000	18.67	40,047	0.3	\$*****				
1,419.65	INSTITUTIONAL CLASS	25,000	18.67	26,505	0.2	\$*****				
1,466.28	N/A	25,000	18.67	27,375	0.2	\$*****				
1,148.37		25,000	18.67	21,440	0.2	\$*****				
6,179.29		125,000	18.67	115,367	0.9	0.0*	0.358	2,212	1.9	
946.97	MFS INTERNATIONAL NEW DISCOVERY FUND	25,000	29.11	27,566	0.2	\$*****				
12.19	CLASS INSTITUTIONAL	346	29.11	355	0.0	\$*****				
959.16	N/A	25,346	29.11	27,921	0.2	0.0*	0.365	350	1.3	
	TOTAL INTERNATIONAL FUNDS	691,634		1,049,794	8.2	0.0		20,222	1.9	
	TOTAL EQUITY MUTUAL FUNDS	1,133,606		1,948,042	15.2	100.0		20,222	1.0	
	FIXED INCOME MUTUAL FUNDS									
	INTL FIXED INCOME MUTUAL FUNDS									
	MFS EMERGING MARKETS DEBT FUND - I									
	N/A									
7,711.29		125,000	14.52	111,968	0.9	5.1				
16.06		260	14.52	233	0.0	0.0				
30.86		481	14.52	448	0.0	0.0				
32.69		483	14.52	475	0.0	0.0				
32.09		475	14.52	466	0.0	0.0				
32.21		462	14.52	468	0.0	0.0				
31.6		463	14.52	459	0.0	0.0				
31.09		465	14.52	451	0.0	0.0				
32.05		467	14.52	465	0.0	0.0				



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E. C. WAREHEIM T/A - IRREV (PF)

ASSETS HELD: 12/31/13

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UNITS/SHARES =====	DESCRIPTION =====	TAX COST =====	CURRENT PRICE =====	MARKET VALUE =====	% OF MKT =====	% OF GROUP =====	INCOME RATE =====	ESTIMATED ANNUAL INCOME =====	CURR YLD =====	YLD TO MAT =====
36.95	12/10/13	537	14.52	537	0.0	0.0				
7,986.89		129,091	14.52	115,970	0.9	5.3	0.758	6,054	5.2	
	TOTAL INTL FIXED INCOME MUTUAL FUNDS	129,091		115,970	0.9	5.3		6,054	5.2	
13,766.52	TAXABLE FIXED INCOME FUNDS	125,000	9.06	124,725	1.0	5.7				
25.58	4/12/13	233	9.06	232	0.0	0.0				
47.97	4/30/13	435	9.06	435	0.0	0.0				
45.93	5/31/13	412	9.06	416	0.0	0.0				
46.59	6/28/13	421	9.06	422	0.0	0.0				
46.13	7/31/13	415	9.06	418	0.0	0.0				
44.77	8/30/13	402	9.06	406	0.0	0.0				
48.07	9/30/13	435	9.06	436	0.0	0.0				
47.39	10/31/13	429	9.06	429	0.0	0.0				
0.04	11/29/13	0	9.06	0	0.0	0.0				
14,119	12/18/13	128,182	9.06	127,918	1.0	5.9	0.379	5,351	4.2	
144,866.21	WILMINGTON BROAD MARKET BOND FUND									
25.86	N/A									
39,920.16	11/15/96	1,463,073	9.44	1,367,537	10.7	62.9				
350.11	11/15/96	0	9.44	244	0.0	0.0				
2,197.75	8/12/97	400,000	9.44	376,846	2.9	17.3				
697.39	12/10/01	3,515	9.44	3,305	0.0	0.1				
256.13	11/29/04	21,956	9.44	20,747	0.2	1.0				
3,289.34	11/28/05	6,800	9.44	6,588	0.0	0.3				
5,528.89	12/27/06	2,484	9.44	2,418	0.0	0.1				
4,193.75	12/ 2/10	32,663	9.44	31,051	0.2	1.4				
3,198.27	12/ 2/11	54,404	9.44	52,193	0.4	2.4				
204,523.87	12/ 4/12	42,189	9.44	39,589	0.3	1.8				
	12/ 4/13	30,320	9.44	30,192	0.2	1.4				
		2,057,403	9.44	1,930,705	15.1	88.8	0.254	51,949	2.7	
	TOTAL TAXABLE FIXED INCOME FUNDS	2,185,586		2,058,623	16.1	94.7		57,300	2.8	
	TOTAL FIXED INCOME MUTUAL FUNDS	2,314,676		2,174,593	17.0	100.0		63,354	2.9	
	TOTAL FUND	5,979,872		12,817,540	100.0	100.0		302,403	2.4	

CURRENT
YIELD
=====

ESTIMATED
ANNUAL
INCOME
=====

PERCENT
OF MARKET
=====

MARKET VALUE
=====

CATEGORY
=====

CASH EQUIVALENTS

PRINCIPAL CASH
U.S. GOV. MONEY MARKET FUNDS

TOTAL CASH EQUIVALENTS

COMMON EQUITY SECURITIES

DOMESTIC COMMON STOCKS
FOREIGN COMMON STOCK/ADR S
CLOSELY HELD COMMON STOCK

TOTAL COMMON EQUITY SECURITIES

EQUITY MUTUAL FUNDS

DOMESTIC EQUITY MUTUAL FUNDS
INTERNATIONAL FUNDS

TOTAL EQUITY MUTUAL FUNDS

FIXED INCOME MUTUAL FUNDS

TAXABLE FIXED INCOME FUNDS

TOTAL FIXED INCOME MUTUAL FUNDS

TOTAL FUND

FOR THE TAX YEAR ENDING 12/31:

NET SHORT TERM GAIN/LOSS
NET LONG TERM GAIN/LOSS

0.00
0.01

0.01

2.77
1.59
0.00

2.72

0.00
2.00

1.19

3.14

3.14

2.53

282,558

100.00

11,158,994.27

19,889.30
160,440.29

DIVERSIFICATION SCHEDULE OF INVESTMENTS

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E. C. WAREHEIM T/A - IRREV (PF)

ASSETS HELD: 12/31/12

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CATEGORY *****	MARKET VALUE *****	PERCENT OF MARKET *****	ESTIMATED ANNUAL INCOME *****	CURRENT YIELD *****
EQUITIES AND EQUIVALENTS				
MATERIALS	80,069.40	1.19	1,940	2.42
INDUSTRIALS	1,028,424.00	15.26	26,906	2.62
TELECOMMUNICATION SERVICES	354,534.50	5.26	18,430	5.20
CONSUMER DISCRETIONARY	544,087.50	8.07	12,710	2.34
CONSUMER STAPLES	1,489,856.88	22.10	46,086	3.09
ENERGY	324,420.00	4.81	10,800	3.33
FINANCIALS	590,817.30	8.77	14,040	2.38
HEALTH CARE	1,332,192.10	19.76	29,424	2.21
INFORMATION TECHNOLOGY	995,965.20	14.78	26,300	2.64
TOTAL EQUITIES AND EQUIVALENTS	6,740,366.88	100.00	186,636	2.77



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E. C. WAREHEIM T/A - IRREV (PF)

SCHEDULE OF INVESTMENTS

ASSETS HELD: 12/31/12

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UNITS/SHARES	DESCRIPTION	TAX COST	CURRENT PRICE	MARKET VALUE	% OF MKT	% OF GROUP	INCOME RATE	ESTIMATED ANNUAL INCOME	CURR YLD	YLD TO MAT
=====	=====	=====	=====	=====	=====	=====	=====	=====	=====	=====

CASH EQUIVALENTS

CASH ON HAND

0

U.S. GOV. MONEY MARKET FUNDS

168,400.94

WILMINGTON US TREASURY
MONEY MARKET FUND
CLASS SELECT

168,401

1.00

168,401

1.5

100.0

0.000

17

0.0

TOTAL U.S. GOV. MONEY MARKET FUNDS

168,401

168,401

1.5

100.0

17

0.0

TOTAL CASH EQUIVALENTS

168,401

168,401

1.5

100.0

17

0.0

COMMON EQUITY SECURITIES

MATERIALS
CHEMICALS

DOW CHEMICAL CO

9/28/93

19,445

32.38

32,329

0.3

0.5

1.280

1,280

4.0

METALS & MINING
ALCOA INC COM

12/ 4/87

28,139
47,584

8.68

47,740
80,069

0.4
0.7

0.7
1.1

0.120

660
1,940

1.4
2.4

TOTAL MATERIALS

INDUSTRIALS
AEROSPACE & DEFENSE
PRECISION CASTPARTS CORP COM

48,071
11,221
23,228
82,519

189.42
189.42
189.42

75,768
18,942
47,355
142,065

0.7
0.2
0.4
1.3

1.1
0.3
0.7
2.0

0.120

90

0.1

UNITED TECHNOLOGIES CORP COM

7/26/05

50,450
132,969

82.01

82,010
224,075

0.7
2.0

1.2
3.2

2.140

2,140
2,230

2.6
1.0

TOTAL AEROSPACE & DEFENSE
ELECTRICAL EQUIPMENT
EMERSON ELECTRIC COMPANY

1/13/83
7/ 9/85

20,930
17,019
37,949

52.96
52.96

222,432
148,288
370,720

2.0
1.3
3.3

3.1
2.1
5.3

1.640

11,480

3.1

INDUSTRIAL CONGLOMERATES

SCHEDULE OF INVESTMENTS

E. C. WAREHEIM T/A - IRREV (PF)

ASSETS HELD: 12/31/12

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UNITS/SHARES	DESCRIPTION	TAX COST	CURRENT PRICE	MARKET VALUE	% OF MKT	% OF GROUP	INCOME RATE	ESTIMATED ANNUAL INCOME	CURR YLD	YLD TO MAT
*****	*****	*****	*****	*****	*****	*****	*****	*****	*****	*****
	GENERAL ELECTRIC COMPANY									
4,800	11/16/82	8,762	20.99	100,752	0.9	1.4				
4,800	7/ 9/85	12,175	20.99	100,752	0.9	1.4				
9,600		20,937		201,504	1.8	2.9	0.760	7,296	3.6	
	3M CO									
1,200	12/ 6/83	12,228	92.85	111,420	1.0	1.6				
1,200	7/ 9/85	11,275	92.85	111,420	1.0	1.6				
100	12/ 4/87	1,344	92.85	9,285	0.1	0.1				
2,500		24,847		232,125	2.1	3.3	2.360	5,900	2.5	
	TOTAL INDUSTRIAL CONGLOMERATES	45,783		433,629	3.9	6.1		13,196	3.0	
	TOTAL INDUSTRIALS	216,701		1,028,424	9.2	14.6		26,906	2.6	
	TELECOMMUNICATION SERVICES									
	DIVERSIFIED TELECOMMUNICATION									
	AT&T INC									
7,950	7/ 2/84	27,745	33.71	267,995	2.4	3.8	1.800	14,310	5.3	
	VERIZON COMMUNICATIONS COM									
2,000	5/ 9/05	61,883	43.27	86,540	0.8	1.2	2.060	4,120	4.8	
	TOTAL DIVERSIFIED TELECOMMUNICATION	89,628		354,535	3.2	5.0		18,430	5.2	
	TOTAL TELECOMMUNICATION SERVICES	89,628		354,535	3.2	5.0		18,430	5.2	
	CONSUMER DISCRETIONARY									
	MEDIA									
	WALT DISNEY CO									
3,000	6/11/96	61,626	49.79	149,370	1.3	2.1	0.750	2,250	1.5	
	MULTILINE RETAIL									
	TARGET CORP COM									
2,750	12/10/01	104,170	59.17	162,718	1.5	2.3	1.440	3,960	2.4	
	SPECIALTY RETAIL									
	HOME DEPOT INC COM									
2,000	7/ 7/10	55,080	61.85	123,700	1.1	1.7	1.160	2,320	1.9	
	STAPLES INC COM									
9,500	6/11/96	55,944	11.40	108,300	1.0	1.5	0.440	4,180	3.9	
	TOTAL SPECIALTY RETAIL	111,024		232,000	2.1	3.3		6,500	2.8	
	TOTAL CONSUMER DISCRETIONARY	276,820		544,088	4.9	7.7		12,710	2.3	
	CONSUMER STAPLES									
	BEVERAGES									
	COCA COLA CO COM									
6,000	6/19/92	59,753	36.25	217,500	1.9	3.1				
2,000	1/13/11	63,300	36.25	72,500	0.6	1.0				
8,000		123,053		290,000	2.6	4.1	1.020	8,160	2.8	





ASSETS HELD: 12/31/12

E. C. WAREHEIM T/A - IRREV (PF)

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UNITS/SHARES	DESCRIPTION	TAX COST	CURRENT PRICE	MARKET VALUE	% OF MKT	% OF GROUP	INCOME RATE	ESTIMATED ANNUAL INCOME	CURR YLD	YLD TO MAT
3,000	PEPSICO INC COM 4/17/02	155,835 278,888	68.48	205,290 495,290	1.8 4.4	2.9 7.0	2.150	6,450 14,610	3.1 2.9	
276	MONDELEZ INTERNATIONAL INC 6/19/92	2,404	45.47	12,550	0.1	0.2	2.000	552	4.4	
830	TOTAL FOOD & DRUG RETAILING HOUSEHOLD PRODUCTS COLGATE PALMOLIVE CO COM 6/11/96	4,489 6,892	25.45	21,126 33,676	0.2 0.3	0.3 0.5	0.520	432 984	2.0 2.9	
3,000	PROCTER & GAMBLE CO COM 11/23/01	61,583	104.54	313,620	2.8	4.4	2.480	7,440	2.4	
4,575 2,925 7,500	TOTAL HOUSEHOLD PRODUCTS TOBACCO ALTRIA GROUP INC 6/19/92	177,079 89,228 266,306 327,889	67.89 67.89	310,597 198,578 509,175 822,795	2.8 1.8 4.6 7.4	4.4 2.8 7.2 11.7	2.248	16,860 24,300	3.3 3.0	
1,200	PHILIP MORRIS INTERNATIONAL INC 6/19/92	6,696	31.44	37,728	0.3	0.5	1.760	2,112	5.6	
1,200	TOTAL TOBACCO TOTAL CONSUMER STAPLES ENERGY OIL, GAS & CONSUMABLE FUELS CHEVRON CORP 1/13/83 12/ 4/87	15,352 22,048 635,717	83.64	100,368 138,096 1,489,857	0.9 1.2 13.4	1.4 2.0 21.1	3.400	4,080 6,192 46,086	4.1 4.5 3.1	
2,000 1,000 3,000	TOTAL ENERGY FINANCIALS COMMERCIAL BANKS WELLS FARGO & CO 6/ 6/95	16,475 9,180 25,655 25,655	108.14 108.14	216,280 108,140 324,420 324,420	1.9 1.0 2.9 2.9	3.1 1.5 4.6 4.6	3.600	10,800 10,800	3.3 3.3	
8,000	DIVERSIFIED FINANCIAL SERVICES BANK OF AMERICA CORPORATION 12/ 7/09	57,880	34.18	273,440	2.4	3.9	0.880	7,040	2.6	
3,000	JPMORGAN CHASE & CO	48,925	11.61	34,830	0.3	0.5	0.040	120	0.3	
3,000	TOTAL DIVERSIFIED FINANCIAL SERVICES INSURANCE	100,440 149,365	43.97	131,907 166,737	1.2 1.5	1.9 2.4	1.200	3,600 3,720	2.7 2.2	

SCHEDULE OF INVESTMENTS

E. C. WAREHEIM T/A - IRREV (PF)

ASSETS HELD: 12/31/12

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UNITS/SHARES *****	DESCRIPTION *****	TAX COST *****	CURRENT PRICE *****	MARKET VALUE *****	% OF MKT *****	% OF GROUP *****	INCOME RATE *****	ESTIMATED ANNUAL INCOME *****	CURR YLD *****	YLD TO MAT *****
2,000	CHUBB CORP ATTACHED RTS EXP 3-31-2009 6/11/96	48,485 255,730	75.32	150,640 590,817	1.3 5.3	2.1 8.4	1.640	3,280 14,040	2.2 2.4	
3,250	TOTAL FINANCIALS HEALTH CARE BIOTECHNOLOGY AMGEN INC COM 8/ 7/97	48,242	86.20	280,150	2.5	4.0	1.880	6,110	2.2	
200 50 250	HEALTH CARE EQUIP & SUPPLIES INTUITIVE SURGICAL INC 1/10/08 11/17/08	52,456 6,526 58,982	490.37 490.37	98,074 24,519 122,593	0.9 0.2 1.1	1.4 0.3 1.7	0.000	0	0.0	
4,200	HEALTH CARE PROVIDERS & SERV. AETNA INC NEW COM 12/13/00	36,488	46.31	194,502	1.7	2.8	0.800	3,360	1.7	
4,500	PHARMACEUTICALS ABBOTT LABORATORIES 6/11/96	91,491	65.50	294,750	2.6	4.2	0.560	2,520	0.9	
1,600	BRISTOL-MYERS SQUIBB CO 6/19/92	24,984	32.59	52,144	0.5	0.7	1.400	2,240	4.3	
1,000 500 1,500	JOHNSON & JOHNSON 5/ 9/05 1/13/11	67,910 31,285 99,195	70.10 70.10	70,100 35,050 105,150	0.6 0.3 0.9	1.0 0.5 1.5	2.440	3,660	3.5	
4,613	MERCK & COMPANY INC 1/17/91	23,860	40.94	188,856	1.7	2.7	1.720	7,934	4.2	
3,750	PFIZER INC 12/ 4/87	15,382 254,912 398,623	25.08	94,047 734,948 1,332,192	0.8 6.6 11.9	1.3 10.4 18.9	0.960	3,600 19,954 29,424	3.8 2.7 2.2	
9,000	TOTAL PHARMACEUTICALS TOTAL HEALTH CARE INFORMATION TECHNOLOGY COMMUNICATIONS EQUIPMENT CISCO SYSTEMS INC 8/ 7/97	79,500	19.65	176,845	1.6	2.5	0.560	5,040	2.8	
500	COMPUTER & PERIPHERALS APPLE INC 1/ 3/06	36,200	532.17	266,087	2.4	3.8	10.600	5,300	2.0	
1,000 500 1,500	INTERNATIONAL BUSINESS MACHINES CORP 7/26/05 1/13/11	84,250 74,565 158,815 195,015	191.55 191.55	191,550 95,775 287,325 553,412	1.7 0.9 2.6 5.0	2.7 1.4 4.1 7.8	3.400	5,100 10,400	1.8 1.9	
	TOTAL COMPUTER & PERIPHERALS SEMICNDTR & SEMICNDTR EQUIP									





M&T Investment Group

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SCHEDULE OF INVESTMENTS

10850 E. C. WAREHEIM T/A - IRREV (PF) ASSETS HELD: 12/31/12 PAGE 8

UNITS/SHARES	DESCRIPTION	TAX COST	CURRENT PRICE	MARKET VALUE	% OF MKT	% OF GROUP	INCOME RATE	ESTIMATED ANNUAL INCOME	CURR YLD	YLD TO MAT
9,418.86	N/A	337,033	62.12	585,100	5.2	\$*****				
1,243.14	6/ 6/95	86,448	62.12	77,224	0.7	\$*****				
1,971.61	1/10/08	100,000	62.12	122,476	1.1	\$*****				
12,633.61	2/ 9/10	523,481	62.12	784,800	7.0	0.0*	1.256	15,868	2.0	
	LAZARD EMERGING MARKETS EQUITY FUND									
	INSTITUTIONAL CLASS									
2,145	N/A	50,000	19.54	41,913	0.4	\$*****				
1,419.65	8/27/07	25,000	19.54	27,740	0.2	\$*****				
1,466.28	11/ 6/09	25,000	19.54	28,651	0.3	\$*****				
1,148.37	2/ 9/10	25,000	19.54	22,439	0.2	\$*****				
6,179.29	1/13/11	125,000	19.54	120,743	1.1	0.0*	0.356	2,200	1.8	
	TOTAL INTERNATIONAL FUNDS									
		758,481		997,380	8.9	0.0		19,898	2.0	
	TOTAL EQUITY MUTUAL FUNDS									
		1,168,904		1,672,959	15.0	100.0		19,898	1.2	
	FIXED INCOME MUTUAL FUNDS									
	TAXABLE FIXED INCOME FUNDS									
	WILMINGTON BROAD MARKET BOND FUND									
	INSTITUTIONAL SHARES									
169,205.05	N/A	1,708,882	10.01	1,693,743	15.2	74.8				
25.86	11/15/96	0	10.01	259	0.0	0.0				
39,920.16	8/12/97	400,000	10.01	399,601	3.6	17.6				
350.11	12/10/01	3,515	10.01	3,505	0.0	0.1				
636.19	11/20/03	6,457	10.01	6,368	0.1	0.3				
2,197.75	11/29/04	21,956	10.01	21,999	0.2	1.0				
697.39	11/28/05	6,800	10.01	6,981	0.1	0.3				
256.13	12/27/06	2,484	10.01	2,564	0.0	0.1				
3,289.34	12/ 2/10	32,663	10.01	32,926	0.3	1.4				
5,528.89	12/ 2/11	54,404	10.01	55,344	0.5	2.4				
4,193.75	12/ 4/12	42,189	10.01	41,979	0.4	1.8				
226,300.62		2,279,351	10.01	2,265,269	20.3	100.0	0.314	71,058	3.1	
	TOTAL TAXABLE FIXED INCOME FUNDS									
		2,279,351		2,265,269	20.3	100.0		71,058	3.1	
	TOTAL FIXED INCOME MUTUAL FUNDS									
		2,279,351		2,265,269	20.3	100.0		71,058	3.1	
	TOTAL FUND									
		6,134,389		11,158,994	100.0	100.0		282,559	2.5	



E. C. WAREHEIM FOUNDATION

52-6033212

FORM 990-PF - SUPPORTING SCHEDULE - 2013

REVENUE - PART I

Received from Wareheim Annuity Trust

\$82,471

EXPENSES - PART I

M&T Trust Company -
Trustee's commissions

\$67,000