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Return of Organization Exempt From Income Tax

OMB No. 1545-0047

2013**Open to Public Inspection**Department of the Treasury
Internal Revenue Service

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at www.irs.gov/form990.


A For the 2013 calendar year, or tax year beginning 01/01, 2013, and ending 12/31, 2013	
B Check if applicable: <input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	C Name of organization Nuclear Threat Initiative Inc
	D Employer identification number 52-2289435
	E Telephone number 202-296-4810
	G Gross receipts \$ 17,407,685
	F Name and address of principal officer: Sam Nunn 1747 Pennsylvania Ave, Suite 700, Washington, DC 20006
	H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No H(b) Are all subordinates included? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "No," attach a list (see instructions) H(c) Group exemption number ▶
I Tax-exempt status <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c) () ◀ (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527	
J Website: ▶ www.nti.org	
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ▶ L Year of formation. 2001 M State of legal domicile GA	

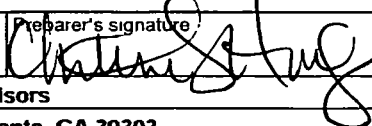
Part I Summary

Activities & Governance	1 Briefly describe the organization's mission or most significant activities: The corporation is organized exclusively for one or more purposes as specified in Section 501(c)(3) of the Code, including, without limitation, to: Assist and support activities (Continued on Schedule O, Statement 1)		
	2 Check this box <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.		
	3 Number of voting members of the governing body (Part VI, line 1a)	3	20
	4 Number of independent voting members of the governing body (Part VI, line 1b)	4	17
	5 Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	33
	6 Total number of volunteers (estimate if necessary)	6	0
	7a Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b Net unrelated business taxable income from Form 990-T, line 34	7b	0	
Revenue	8 Contributions and grants (Part VIII, line 1h)	Prior Year 3,970,774	Current Year 17,387,801
	9 Program service revenue (Part VIII, line 2g)	0	0
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	43,646	19,884
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	3,811	0
	12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	4,018,231	17,407,685
	13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)	3,092,922	2,350,505
Expenses	14 Benefits paid to or for members (Part IX, column (A), line 4)	0	0
	15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	5,383,071	5,319,577
	16a Professional fundraising fees (Part IX, column (A), line 11e)	0	0
	b Total fundraising expenses (Part IX, column (D), line 25) ▶ 58,785		
	17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	5,518,294	6,550,106
	18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	13,994,287	14,220,188
Net Assets or Fund Balances	19 Revenue less expenses. Subtract line 18 from line 12	-9,976,056	3,187,497
	20 Total assets (Part X, line 16)	Beginning of Current Year 18,385,882	End of Year 21,570,108
	21 Total liabilities (Part X, line 26)	1,727,001	1,723,730
	22 Net assets or fund balances. Subtract line 21 from line 20	16,658,881	19,846,378

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer 	Date 11/14/14
	Christopher F Carr, Treasurer and CFO Type or print name and title	

Paid Preparer Use Only	Print/Type preparer's name Christine Hung	Preparer's signature 	Date 11/13/14	Check <input type="checkbox"/> if self-employed	PTIN P00002737
	Firm's name ▶ Avalon Financial Advisors	Firm's EIN ▶ 58-1259406			
	Firm's address ▶ 133 Luckie Street, Atlanta, GA 30303	Phone no. 404-522-4200			

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Cat No. 11282Y

Form 990 (2013)

617 5

SCANNED DEC 18 2014

Part III Statement of Program Service AccomplishmentsCheck if Schedule O contains a response or note to any line in this Part III ☒**1** Briefly describe the organization's mission:

The Nuclear Threat Initiative's mission is to strengthen global security by reducing the risk of use and preventing the spread of nuclear, biological and chemical weapons, and to work to build the trust, transparency and security which are preconditions to the ultimate fulfillment of the Non-Proliferation Treaty's goals and ambitions.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 5,287,450 including grants of \$ 1,400,194) (Revenue \$ 0)
See Statement 2

4b (Code:) (Expenses \$ 2,911,903 including grants of \$ 72,696) (Revenue \$ 0)
See Statement 2

4c (Code:) (Expenses \$ 2,674,904 including grants of \$ 40,160) (Revenue \$ 0)
See Statement 2

4d Other program services (Describe in Schedule O.) See Schedule O, Statement 2
(Expenses \$ 1,459,096 including grants of \$ 837,455) (Revenue \$ 0)

4e Total program service expenses ► 12,333,353

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 ✓	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2 ✓	
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	✓
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	✓
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	✓
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	✓
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	✓
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	✓
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	✓
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	✓
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a ✓	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	✓
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	✓
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	✓
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e ✓	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f ✓	
12 a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a ✓	
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	✓
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	✓
14 a Did the organization maintain an office, employees, or agents outside of the United States?	14a ✓	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b ✓	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15 ✓	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	✓
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	✓
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	✓
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	✓
20 a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	✓
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
22 Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	<input type="checkbox"/>	<input type="checkbox"/>
c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	<input type="checkbox"/>	<input type="checkbox"/>
d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	<input type="checkbox"/>	<input type="checkbox"/>
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	<input type="checkbox"/>	<input type="checkbox"/>
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V ☐

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a 58	
b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b 0	
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c ✓	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 33	
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)	2b ✓	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	✓
b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a ✓	
b	If "Yes," enter the name of the foreign country: Russia See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	✓
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	✓
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	✓
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).		
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a	✓
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b	
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c	✓
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e	✓
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f	✓
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g	✓
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h	✓
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8	
9	Sponsoring organizations maintaining donor advised funds.		
a	Did the organization make any taxable distributions under section 4966?	9a	
b	Did the organization make a distribution to a donor, donor advisor, or related person?	9b	
10	Section 501(c)(7) organizations. Enter:		
a	Initiation fees and capital contributions included on Part VIII, line 12	10a	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b	
11	Section 501(c)(12) organizations. Enter:		
a	Gross income from members or shareholders	11a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b	
13	Section 501(c)(29) qualified nonprofit health insurance issuers.		
a	Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a	
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b	
c	Enter the amount of reserves on hand	13c	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14a	✓
b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O	14b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI ☒

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
1a	20		
b	Enter the number of voting members included in line 1a, above, who are independent		
1b	17		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	<input checked="" type="checkbox"/>	
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		<input checked="" type="checkbox"/>
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		<input checked="" type="checkbox"/>
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		<input checked="" type="checkbox"/>
6	Did the organization have members or stockholders?		<input checked="" type="checkbox"/>
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		<input checked="" type="checkbox"/>
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		<input checked="" type="checkbox"/>
7b			
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?	<input checked="" type="checkbox"/>	
8a			
b	Each committee with authority to act on behalf of the governing body?	<input checked="" type="checkbox"/>	
8b			
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		<input checked="" type="checkbox"/>
9			

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a		<input checked="" type="checkbox"/>
b		
10b		
11a	<input checked="" type="checkbox"/>	
b		
12a	<input checked="" type="checkbox"/>	
b	<input checked="" type="checkbox"/>	
12b	<input checked="" type="checkbox"/>	
c		
12c	<input checked="" type="checkbox"/>	
13	<input checked="" type="checkbox"/>	
14	<input checked="" type="checkbox"/>	
15		
a	<input checked="" type="checkbox"/>	
15a		
b	<input checked="" type="checkbox"/>	
15b		
16a		<input checked="" type="checkbox"/>
b		
16b		

Section C. Disclosure

- 17** List the states with which a copy of this Form 990 is required to be filed ► See Schedule O, Statement 3
- 18** Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
- ☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)
- 19** Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- 20** State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► Christopher Carr, (202)269-4810

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Alexey Arbatov	4									
Member of the Board of Directors	0	✓						0	0	0
Desmond Brown	6									
Member of the Board of Directors	0	✓						0	0	0
Liru Cul	4									
member of the Board of Directors	0	✓						0	0	0
Charles B Curtis	6									
Member of the Board of Directors	0	✓						0	0	0
Michael Douglas	4									
Member of the Board of Directors	0	✓						0	0	0
Rolf Ekeus	4									
Member of the Board of Directors	0	✓						0	0	0
Eugene E Habiger	4									
Member of the Board of Directors	0	✓						1,976	0	0
Igor S Ivanov	6									
Member of the Board of Directors	0	✓						0	0	0
Riaz Mohammad Khan	4									
Member of the Board of Directors	0	✓						0	0	0
Pierre Lellouche	4									
Member of the Board of Directors	0	✓						0	0	0
Richard G Lugar	4									
Member of the Board of Directors	0	✓						0	0	0
Jessica T Mathews	4									
Member of the Board of Directors	0	✓						0	0	0
Ronald L Olson	6									
Member of the Board of Directors	0	✓						0	0	0
Hisashi Owada	4									
Member of the Board of Directors	0	✓						0	0	0

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
Robert F Berts Director Moscow Office	40 0					✓		193,744	0	40,169
Diane Hauslein Director of Administration	40 0					✓		143,852	0	35,658
Catherine Gwin Senior Director of Communications	36 0					✓		146,017	0	38,778
Valeria MacPhail Director, Officer of the Hon Sam Nunn	40 0					✓		136,169	0	16,517
Deepti Choubey Senior Director, Nuclear and Bio Security	40 0					✓		135,208	0	24,178
1b Sub-total								2,364,024	0	439,391
c Total from continuation sheets to Part VII, Section A										
d Total (add lines 1b and 1c)								2,364,024	0	439,391

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ► **11**

	Yes	No
3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual	3 ✓	
4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual	4 ✓	
5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person	5	✓

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation
National Journal Group, 600 New Hampshire Ave NW, Washington, DC 20037	Global Security Newswire- da	984,000
Steven Andreasen, 535 19th Street, Apt 34, Rochester, MN 55901	National security consultant t	208,020
Economist Intelligence Unit, 750 Third Avenue 5th Floor, New York, NY 10017	Analytic and modeling for pro	388,125
John Carlson, 6 O Rourke Place, , Queanbeyan NSW 2620, Australia	Counselor to the Nuclear Thre	139,458

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► **6**

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a 0				
	b	Membership dues	1b 0				
	c	Fundraising events	1c 0				
	d	Related organizations	1d 0				
	e	Government grants (contributions)	1e 0				
	f	All other contributions, gifts, grants, and similar amounts not included above	1f 17,387,801				
	g	Noncash contributions included in lines 1a-1f: \$	9,944,746				
	h	Total. Add lines 1a-1f ▶	17,387,801				
Program Service Revenue	2a	Business Code					
	b						
	c						
	d						
	e						
	f	All other program service revenue		0	0	0	0
	g	Total. Add lines 2a-2f ▶		0			
	Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) ▶		17,041	17,041	0
4		Income from investment of tax-exempt bond proceeds ▶		0	0	0	0
5		Royalties ▶		0	0	0	0
6a		(i) Real					
		(ii) Personal					
		Gross rents					
		Less: rental expenses					
c		Rental income or (loss)		0	0	0	0
d		Net rental income or (loss) ▶		0	0	0	0
7a		(i) Securities					
		(ii) Other					
		Gross amount from sales of assets other than inventory					
		Less: cost or other basis and sales expenses					
c		Gain or (loss)		2,843	0	0	0
d		Net gain or (loss) ▶		2,843	2,843	0	0
8a		Gross income from fundraising events (not including \$ 0 of contributions reported on line 1c). See Part IV, line 18					
		Less: direct expenses					
		Net income or (loss) from fundraising events . . ▶					
	Gross income from gaming activities. See Part IV, line 19						
c	Net income or (loss) from gaming activities . . ▶		0	0	0	0	
10a	Gross sales of inventory, less returns and allowances						
	Less: cost of goods sold						
	Net income or (loss) from sales of inventory . . ▶						
	Miscellaneous Revenue						
11a	Business Code						
b							
c							
d	All other revenue		0	0	0	0	
e	Total. Add lines 11a-11d ▶		0				
12	Total revenue. See instructions. ▶		17,407,685	19,884	0	0	

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☐**Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.**

	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	2,350,505	2,350,505		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	0	0		
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	0	0		
4 Benefits paid to or for members	0	0		
5 Compensation of current officers, directors, trustees, and key employees	5,319,577	4,107,178	1,191,233	21,166
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0	0	0	0
7 Other salaries and wages	0	0	0	0
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	0	0	0	0
9 Other employee benefits	0	0	0	0
10 Payroll taxes	0	0	0	0
11 Fees for services (non-employees):				
a Management	1,740,090	1,642,305	93,425	4,360
b Legal	29,590		29,590	0
c Accounting	73,956	0	73,956	0
d Lobbying	0	0	0	0
e Professional fundraising services. See Part IV, line 17	0			0
f Investment management fees	0	0	0	0
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	0	0	0	0
12 Advertising and promotion	0	0	0	0
13 Office expenses	35,947	24,792	10,579	576
14 Information technology	395,157	352,222	34,811	8,124
15 Royalties	0	0	0	0
16 Occupancy	615,543	422,789	182,500	10,254
17 Travel	716,917	655,074	56,712	5,131
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0	0	0	0
19 Conferences, conventions, and meetings	1,011,477	970,159	39,147	2,171
20 Interest	0	0	0	0
21 Payments to affiliates	0	0	0	0
22 Depreciation, depletion, and amortization	133,346	95,277	36,044	2,025
23 Insurance	60,225	41,259	18,083	883
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a Postage and Shipping	40,939	37,127	3,660	152
b Printing and Publications	127,411	122,912	3,126	1,373
c Programmatic Contracts	1,372,125	1,372,125	0	0
d Telecommunications	72,129	52,999	18,415	715
e All other expenses	125,254	86,630	36,769	1,855
25 Total functional expenses. Add lines 1 through 24e	14,220,188	12,333,353	1,828,050	58,785
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720)				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	443,018	1	
	2 Savings and temporary cash investments	12,302,480	2	11,894,728
	3 Pledges and grants receivable, net	2,269,356	3	6,302,987
	4 Accounts receivable, net	14,505	4	25,912
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L	0	5	0
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L	0	6	0
	7 Notes and loans receivable, net	0	7	0
	8 Inventories for sale or use	0	8	0
	9 Prepaid expenses and deferred charges	123,207	9	195,866
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 1,040,198		
	b Less: accumulated depreciation	10b 915,272	232,009	10c 124,926
	11 Investments—publicly traded securities	3,001,307	11	3,025,689
	12 Investments—other securities. See Part IV, line 11	0	12	0
	13 Investments—program-related. See Part IV, line 11	0	13	0
	14 Intangible assets	0	14	0
	15 Other assets. See Part IV, line 11	0	15	0
16 Total assets. Add lines 1 through 15 (must equal line 34)	18,385,882	16	21,570,108	
Liabilities	17 Accounts payable and accrued expenses	564,507	17	715,309
	18 Grants payable	1,150,594	18	967,971
	19 Deferred revenue	0	19	0
	20 Tax-exempt bond liabilities	0	20	0
	21 Escrow or custodial account liability. Complete Part IV of Schedule D	0	21	0
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L	0	22	0
	23 Secured mortgages and notes payable to unrelated third parties	0	23	0
	24 Unsecured notes and loans payable to unrelated third parties	0	24	0
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	11,900	25	40,450
	26 Total liabilities. Add lines 17 through 25	1,727,001	26	1,723,730
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	13,617,654	27	12,424,847
	28 Temporarily restricted net assets	3,041,227	28	7,421,531
	29 Permanently restricted net assets	0	29	0
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	16,658,881	33	19,846,378
	34 Total liabilities and net assets/fund balances	18,385,882	34	21,570,108

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI ☐

1	Total revenue (must equal Part VIII, column (A), line 12)	1	17,407,685
2	Total expenses (must equal Part IX, column (A), line 25)	2	14,220,188
3	Revenue less expenses. Subtract line 2 from line 1	3	3,187,497
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	16,658,881
5	Net unrealized gains (losses) on investments	5	0
6	Donated services and use of facilities	6	0
7	Investment expenses	7	0
8	Prior period adjustments	8	0
9	Other changes in net assets or fund balances (explain in Schedule O)	9	0
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	19,846,378

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? . . . If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		✓
b Were the organization's financial statements audited by an independent accountant? . . . If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	✓	
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.	✓	
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? . . .		✓
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization

Nuclear Threat Initiative Inc

Employer identification number

52-2289435

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
- 2 ☐ A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state:
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a ☐ Type I b ☐ Type II c ☐ Type III—Functionally integrated d ☐ Type III—Non-functionally integrated
 - e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**.
 - f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐
 - g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 - (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ☐
 - (ii) A family member of a person described in (i) above? ☐
 - (iii) A 35% controlled entity of a person described in (i) or (ii) above? ☐

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A)									
(B)									
(C)									
(D)									
(E)									
Total									

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	22,556,358	55,862,714	4,606,893	3,970,774	17,360,718	104,357,457
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf	0	0	0	0	0	0
3 The value of services or facilities furnished by a governmental unit to the organization without charge	0	0	0	0	0	0
4 Total. Add lines 1 through 3	22,556,358	55,862,714	4,606,893	3,970,774	17,360,718	104,357,457
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						88,888,168
6 Public support. Subtract line 5 from line 4.						15,469,289

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	22,556,358	55,862,714	4,606,893	3,970,774	17,360,718	104,357,457
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	38,941	36,379	37,413	30,869	17,041	160,643
9 Net income from unrelated business activities, whether or not the business is regularly carried on	0	0	0	0	0	0
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)	-89	0	782	3,810	26,830	31,333
11 Total support. Add lines 7 through 10						104,549,433
12 Gross receipts from related activities, etc. (see instructions)					12	0
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	14.8 %
15 Public support percentage from 2012 Schedule A, Part II, line 14	15	15.45 %
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input checked="" type="checkbox"/>		
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ► <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.
If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support. (Subtract line 7c from line 6.)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	%
19a 33 1/3% support tests—2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
b 33 1/3% support tests—2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization <input type="checkbox"/>		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions <input type="checkbox"/>		

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Schedule A, Part II, Line 10 - Miscellaneous sources of income from various entities not contributions or sales of assets.

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SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
► **Attach to Form 990.**

► **Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.**

OMB No. 1545-0047

2013

Open to Public Inspection

Name of the organization

Employer identification number

Nuclear Threat Initiative Inc

52-2289435

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.

Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?		<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?		<input type="checkbox"/> Yes <input type="checkbox"/> No

Part II Conservation Easements.

Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply). <input type="checkbox"/> Preservation of land for public use (e.g., recreation or education) <input type="checkbox"/> Preservation of an historically important land area <input type="checkbox"/> Protection of natural habitat <input type="checkbox"/> Preservation of a certified historic structure <input type="checkbox"/> Preservation of open space	
2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.	
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d
3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ►	
4 Number of states where property subject to conservation easement is located ►	
5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?	<input type="checkbox"/> Yes <input type="checkbox"/> No
6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ►	
7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$	
8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements.	

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.	
b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:	
(i) Revenues included in Form 990, Part VIII, line 1	► \$
(ii) Assets included in Form 990, Part X	► \$
2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:	
a Revenues included in Form 990, Part VIII, line 1	► \$
b Assets included in Form 990, Part X	► \$

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

- ☐ **a** Public exhibition
☐ **b** Scholarly research
☐ **c** Preservation for future generations
☐ **d** Loan or exchange programs
☐ **e** Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☐ No

Part IV Escrow and Custodial Arrangements.

Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table:

	Amount
c Beginning balance	1c
d Additions during the year	1d
e Distributions during the year	1e
f Ending balance	1f

2a Did the organization include an amount on Form 990, Part X, line 21? ☐ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☐

Part V Endowment Funds.

Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

- a** Board designated or quasi-endowment %
b Permanent endowment %
c Temporarily restricted endowment %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

- (i)** unrelated organizations ☐
(ii) related organizations ☐

	Yes	No
3a(i)	<input type="checkbox"/>	<input type="checkbox"/>
3a(ii)	<input type="checkbox"/>	<input type="checkbox"/>
3b	<input type="checkbox"/>	<input type="checkbox"/>

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? ☐

4 Describe in Part XIII the intended uses of the organization's endowment funds.

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

Description of property	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land	0	0		0
b Buildings	0	0	0	0
c Leasehold improvements	0	754,135	645,689	108,446
d Equipment	0	286,063	269,583	16,480
e Other	0	0	0	0
Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10(c).)				124,926

Part VII Investments—Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A)		
(B)		
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 12.) ▶		

Part VIII Investments—Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 13.) ▶		

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ▶	

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value
(1) Federal income taxes	0
(2) Pension Liabilities	25,063
(3) Deferred Rent	15,387
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ▶	40,450

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII ☒

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	17,448,998
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:			
a	Net unrealized gains on investments	2a	0	
b	Donated services and use of facilities	2b	41,313	
c	Recoveries of prior year grants	2c	0	
d	Other (Describe in Part XIII.)	2d	0	
e	Add lines 2a through 2d		2e	41,313
3	Subtract line 2e from line 1		3	17,407,685
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	0	
b	Other (Describe in Part XIII.)	4b	0	
c	Add lines 4a and 4b		4c	0
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)		5	17,407,685

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	14,261,501
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:			
a	Donated services and use of facilities	2a	41,313	
b	Prior year adjustments	2b	0	
c	Other losses	2c	0	
d	Other (Describe in Part XIII.)	2d	0	
e	Add lines 2a through 2d		2e	41,313
3	Subtract line 2e from line 1		3	14,220,188
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	0	
b	Other (Describe in Part XIII.)	4b	0	
c	Add lines 4a and 4b		4c	0
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)		5	14,220,188

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Schedule D, Part X - Other Liabilities consist of deferred rent and pension liabilities

Schedule D, Part X, Line 1 - FIN 48 footnote: NTI adopted the provisions of ASC Topic 740-10, Income Taxes, which addresses the determination of whether tax benefits claimed or expected to be claimed on a tax return should be recorded in the financial statements. Under this guidance, NTI may recognize the tax benefit from an uncertain tax position only if it is more likely than not that the tax position will be sustained on examination by taxing authorities, based on the technical merits of the position. The tax benefits recognized in the financial statements from such a position are measured based on the largest benefits that has a greater than 50% likelihood of being realized upon ultimate settlement. The guidance on accounting for uncertainty in income taxes also addresses de-recognition, classification, interest and penalties on income taxes, and accounting in interim periods. Management evaluated NTI's tax positions and concluded that NTI had taken no uncertain tax positions nor are there any related penalties and interest that require adjustment to the accompanying financial statements to comply with the provisions of this guidance. Generally, the NTI is no longer subject to income tax examinations by the U.S. federal, state or local tax authorities for years before 2010.

Schedule D, Part X, Line 2 - Other liabilities consist of deferred rent and pension liabilities.

**SCHEDULE F
(Form 990)**Department of the Treasury
Internal Revenue Service**Statement of Activities Outside the United States**

- Complete if the organization answered "Yes" on Form 990, Part IV, line 14b, 15, or 16.
► Attach to Form 990. ► See separate instructions.
► Information about Schedule F (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013**Open to Public
Inspection**

Name of the organization

Nuclear Threat Initiative Inc

Employer identification number

52-2289435

Part I General Information on Activities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 14b.

- 1 For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activities per Region. (The following Part I, line 3 table can be duplicated if additional space is needed.)

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e.g., fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
(1) East Asia and the Pacific	0	0	Grantmaking		250,000
(2) East Asia and the Pacific	0	0	Program Services	Consultants, conferences a	508,536
(3) Europe (including Iceland and C	0	0	Grantmaking		1,216,961
(4) Europe (including Iceland and C	0	0	Program Services	Consultants, conferences a	546,536
(5) Middle East and North Africa	0	0	Grantmaking		675,530
(6) Middle East and North Africa	0	0	Program Services	Disease Surveillance	50,352
(7) Russia and the newly independ	1	2	Program Services	Moscow Office	120,169
(8) Russia and the newly independ	0	0	Grantmaking	Grants	200,160
(9) South Asia	0	0	Grantmaking		100,000
(10) South Asia	0	0	Program Services		0
(11) South America	0	0	Grantmaking		248,930
(12)					
(13)					
(14)					
(15)					
(16)					
(17)					
3a Sub-total					
b Total from continuation sheets to Part I					
c Totals (add lines 3a and 3b)	1	2			3,917,174

Part II Grants and Other Assistance to Organizations or Entities Outside the United States. Complete if the organization answered "Yes" on Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
(1)			Europe (including Iceland)	Provide a comprehensive	165,000	wire transfer			
(2)			Europe (including Iceland)	Support exploration	25,000	Wire Transfer			
(3)			Europe (including Iceland)	Further the Nuclear Se	149,961	Wire Transfer			
(4)			Europe (including Iceland)	Focus of this project	169,000	wire transfer			
(5)			Europe (including Iceland)	The focus of this parti	128,000	Wire Transfer			
(6)			Europe (including Iceland)	Partnership to contin	250,000	Wire Transfer			
(7)			Europe (including Iceland)	Support of training pro	80,000	Wire Transfer			
(8)			Europe (including Iceland)	Analytical work on the	150,000	Wire Transfer			
(9)			Europe (including Iceland)	Support for Workshop	100,000	Wire Transfer			
(10)			South America	Outreach and engage	248,930	Wire Transfer			
(11)			South Asia	The project will focus	100,000	Wire Transfer			
(12)			East Asia and the Pa	Provide support for in	250,000	Wire Transfer			
(13)			Middle East and Non	Project activities inclu	142,000	Wire Transfer			
(14)			Middle East and Non	Research and draft a r			24,920	Provided CORDS with a FMV	
(15)			Middle East and Non	Purchase Equipment	20,300	Wire Transfer			
(16)			Sch F, Stmt 1						

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter 21

3 Enter total number of other organizations or entities 0

Part III **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" on Form 990, Part IV, line 16.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
(1)							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							
(13)							
(14)							
(15)							
(16)							
(17)							
(18)							

Part IV Foreign Forms

- 1 Was the organization a U.S. transferor of property to a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926) ☐ Yes ☒ No
- 2 Did the organization have an interest in a foreign trust during the tax year? If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A) ☐ Yes ☒ No
- 3 Did the organization have an ownership interest in a foreign corporation during the tax year? If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons With Respect To Certain Foreign Corporations. (see Instructions for Form 5471) ☐ Yes ☒ No
- 4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621) ☐ Yes ☒ No
- 5 Did the organization have an ownership interest in a foreign partnership during the tax year? If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons With Respect To Certain Foreign Partnerships. (see Instructions for Form 8865) ☐ Yes ☒ No
- 6 Did the organization have any operations in or related to any boycotting countries during the tax year? If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713) ☐ Yes ☒ No

Part V Supplemental Information

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

Schedule F, Part I, Line 2 - Grants are monitored through the following activities. 1. Pre grant Inquiry NTI program personnel confirm the suitability of the grantee by reviewing prior history and experience, considering references and complying with export control regulations. Results are documented in a decision memo which is presented to either the Board of Directors or the President for approval, depending on the size of the grant. 2. Written Grant Agreement Once a grant proposal is approved, a grant agreement is drawn up between NTI and the grantee. This sets out the purpose of the grant, the budget and details the legal commitments, including compliance with US export control laws and regulations, and limitations on use of funds being entered into by NTI and the grantee. All grant agreements are given a number for tracking purposes, and are signed by both parties. 3. Accounting for Grant Funds The grant agreement specifies that grantees keep accurate and complete books and records of receipts and expenditures made in connection with the grant, maintaining such books and records in a manner that the receipts and expenditures of grant funds are shown separately. 4. Regular Reporting The grant agreement requires that periodic, at least annual, narrative and financial reports are submitted to NTI detailing work accomplished and expenditure of grant funds against budget. Reports are reviewed by both NTIs program and financial personnel. 5. Project Oversight NTI program personnel are in regular contact with grantee personnel and visit certain key projects to assess progress against grant goals. 6. Disbursement of Funds NTIs grants are disbursed either up front, in tranches, or on an invoicing basis, dependent on the grant agreement. No funds are disbursed until a signed grant agreement has been received, export control checks have been reviewed and approved, and any other appropriate documentation received. For grants that are managed in tranches, or through invoicing, it is generally necessary that all reporting is up to date, and the appropriate stage of the grant project completed prior to subsequent funds being disbursed. All accounting records are maintained on accrual basis and in accordance with generally accepted accounting principles. All unconditional grants are booked in full to the Income statement at the point the grant agreement has been signed by both parties. In the year ended December 31, 2013, NTI did not make any conditional grants.

SCHEDULE I
(Form 990)

Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.
▶ Attach to Form 990.

Department of the Treasury
Internal Revenue Service

Name of the organization

Nuclear Threat Initiative Inc

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Employer identification number

52-2289435

OMB No. 1545-0047

2013

Open to Public
Inspection

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☐ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (e) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) Sch I, Stmt 1							
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table
- 3 Enter total number of other organizations listed in the line 1 table

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 50056P

Schedule I (Form 990) (2013)

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Schedule I, Part I, Line 2 - Grants are monitored through the following activities. 1. Pre grant Inquiry NTI program personnel confirm the suitability of the grantee by reviewing prior history and experience, considering references and complying with export control regulations. Results are documented in a decision memo which is presented to either the Board of Directors or the President for approval, depending on the size of the grant. 2. Written Grant Agreement Once a grant proposal is approved, a grant agreement is drawn up between NTI and the grantee. This sets out the purpose of the grant, the budget and details the legal commitments, including compliance with US export control laws and regulations, and limitations on use of funds being entered into by NTI and the grantee. All grant agreements are given a number for tracking purposes, and are signed by both parties. 3. Accounting for Grant Funds The grant agreement specifies that grantees keep accurate and complete books and records of receipts and expenditures made in connection with the grant, maintaining such books and records in a manner that the receipts and expenditures of grant funds are shown separately. 4. Regular Reporting The grant agreement requires that periodic (at least annual) narrative and financial reports are submitted to NTI detailing work accomplished and expenditure of grant funds against budget. Reports are reviewed by both NTIs program and financial personnel. 5. Project Oversight NTI program personnel are in regular contact with grantee personnel and visit certain key projects to assess progress against grant goals. 6. Disbursement of Funds NTIs grants are disbursed either up front, in tranches, or on an invoicing basis, dependent on the grant agreement. No funds are disbursed until a signed grant agreement has been received, export control checks have been reviewed and approved, and any other appropriate documentation received. For grants that are managed in tranches, or through invoicing, it is generally necessary that all reporting is up to date, and the appropriate stage of the grant project completed prior to subsequent funds being disbursed.

SCHEDULE J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

- Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
► Attach to Form 990. ► See separate instructions.
► Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

**Open to Public
Inspection**

Name of the organization

Nuclear Threat Initiative Inc

Employer identification number

52-2289435

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

- | | |
|--|--|
| <input checked="" type="checkbox"/> First-class or charter travel | <input type="checkbox"/> Housing allowance or residence for personal use |
| <input checked="" type="checkbox"/> Travel for companions | <input type="checkbox"/> Payments for business use of personal residence |
| <input type="checkbox"/> Tax indemnification and gross-up payments | <input type="checkbox"/> Health or social club dues or initiation fees |
| <input type="checkbox"/> Discretionary spending account | <input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef) |

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain.

1b ☒ Yes ☐ No

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

2 ☒ Yes ☐ No

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

- | | |
|--|---|
| <input checked="" type="checkbox"/> Compensation committee | <input type="checkbox"/> Written employment contract |
| <input type="checkbox"/> Independent compensation consultant | <input checked="" type="checkbox"/> Compensation survey or study |
| <input type="checkbox"/> Form 990 of other organizations | <input checked="" type="checkbox"/> Approval by the board or compensation committee |

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:

- | | |
|--|---|
| a Receive a severance payment or change-of-control payment? | 4a <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| b Participate in, or receive payment from, a supplemental nonqualified retirement plan? | 4b <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| c Participate in, or receive payment from, an equity-based compensation arrangement? | 4c <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

- | | |
|------------------------------------|---|
| a The organization? | 5a <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| b Any related organization? | 5b <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
- If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

- | | |
|------------------------------------|---|
| a The organization? | 6a <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| b Any related organization? | 6b <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
- If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III.

7 ☐ Yes ☒ No

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III.

8 ☐ Yes ☒ No

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

9 ☐ Yes ☐ No

Part I Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation				(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation					
1 Sam Nunn, Co-Chairman and CEO	(i) 334,000	0	1,348		25,500	0	360,848	0
2 Joan Rohlfing, President and COO	(i) 296,939	0	0		32,640	22,760	352,339	0
3 Deborah Rosenblum, Vice President	(i) 244,500	0	0		27,540	16,763	288,803	0
4 Corey Hinderstein, Vice President	(i) 173,570	0	0		19,481	18,529	211,580	0
5 Carmen McDougall, Vice President	(i) 195,046	0	0		23,256	22,787	241,089	0
6 Page Stoutland, Vice President	(i) 206,418	0	0		22,950	16,629	245,997	0
7 Christopher Carr, CFO and Treasurer	(i) 81,368	0	0		7,708	11,282	100,358	0
8 Charlotte Atkinson, Treasurer & CFO (until may 2013)	(i) 73,869	0	0		8,075	8,691	90,635	0
9 Robert F Berls, Director Moscow Office	(i) 193,744	0	0		21,064	19,105	233,913	0
10 Diane Hauslein, Director of Administration	(i) 143,852	0	0		17,310	18,348	179,510	0
11 Catherine Gwin, Senior Director of Communications	(i) 146,017	0	0		16,492	22,286	184,795	0
12 Valeria MacPhail, Director, Officer of the Hon Sam Nunn	(i) 136,169	0	0		15,076	1,441	152,686	0
13 Deepil Choubey, Senior Director, Nuclear and Bio Security	(i) 135,208	0	0		14,178	10,000	159,386	0
14								
15								
16								

Part II Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Schedule J, Part I, Line 1a - First class travel: NTI's Board travel policy states that, "It is the general policy of NTI to provide reimbursement for business class (or the domestic equivalent) airfare [for its Board members], unless exception is made with the approval of either Co-Chairmen or the President to allow first class airfare for international travel in appropriate circumstances." Travel for Companions: NTI's policy states that, "Spouses and accompanying assistants of Directors of NTI are specifically invited to and expected to attend meetings of the Board and to participate in Board dinner discussions to gain insight to the substantive programs of NTI to facilitate their ability to knowledgeable represent the work of the Initiative to government officials and leaders in their communities."

Schedule J, Part I, Line 3 - Annually the CEO presents a memorandum to NTI's Compensation Committee, in which he recommends compensation levels for NTI's CEO and Officers. In 2010, NTI commissioned an independent compensation comparability study to assess its levels of compensation as compared to equivalent organizations and the market. The study was completed in 2011. NTI used the study results to set compensation levels at the beginning of 2011. Until a need for a new study is identified, NTI, consistent with historic practice, will propose compensation increases based on the 2011 compensation, likely adjusted for inflation as determined by the Washington area Consumer Price Index (CPI). In addition, the CEO has historically taken into account the importance of retaining a uniquely qualified team at NTI, and in certain circumstances has made a case for small merit increases. In 2012, the Compensation Committee was made up of three independent members of the Board of Directors. Per NTI's bylaws, the members of the Compensation Committee may not include current or former Directors who are also salaried senior executives of NTI. The Compensation Committee presents its recommendations to the Board of Directors in executive session. The recommendations include specific salary levels for the CEO, the President and all Officers. Officer salaries are approved by majority vote of NTI's Board of Directors, as directed by NTI's bylaws. Minutes of the Compensation Committee are maintained with NTI's corporate files at the office of NTI's Corporate Secretary.

SCHEDULE L
(Form 990 or 990-EZ)Department of the Treasury
Internal Revenue Service**Transactions With Interested Persons**

- ▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.**
- ▶ **Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.**
- ▶ **Information about Schedule L (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

2013**Open To Public
Inspection**

Name of the organization

Nuclear Threat Initiative Inc

Employer identification number

52-2289435

Part I**Excess Benefit Transactions** (section 501(c)(3) and section 501(c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b.

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No
(1)					
(2)					
(3)					
(4)					
(5)					
(6)					

2 Enter the amount of tax incurred by the organization managers or disqualified persons during the year under section 4958. ▶ \$

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization ▶ \$

Part II**Loans to and/or From Interested Persons.**

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a or Form 990, Part IV, line 26; or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22.

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1)												
(2)												
(3)												
(4)												
(5)												
(6)												
(7)												
(8)												
(9)												
(10)												
Total ▶						\$						

Part III**Grants or Assistance Benefiting Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance
(1)				
(2)				
(3)				
(4)				
(5)				
(6)				
(7)				
(8)				
(9)				
(10)				

Part IV Business Transactions Involving Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) R E Turner	See Part V	23,581	Legal services		✓
(2) J Rutherford Seydel	See Part V	23,581	Legal Fees		✓
(3) William Perry	See Part V	30,425	Expense Reimbursement		✓
(4) Robin Perry	See Part V	149,151	Robin Perry is working as an independe		✓
(5) David Perry	See Part V	41,234	David Perry is working as an independe		✓
(6)					
(7)					
(8)					
(9)					
(10)					

Part V Supplemental Information

Provide additional information for responses to questions on Schedule L (see instructions).

Schedule L, Part IV - 1) A: Name of Person: R. E. Turner B: Relationship between Interested party and the organization: Father in Law to NTI's attorney and Board Secretary 2) A: Name of Person: J Rutherford Seydel B: Relationship between Interested party and the organization: Mr Seydel is Secretary and a partner in the law firm providing services to NTI and is the Son in Law of the Board Chair 3: A: Name of Person: William Perry B: Relationship between Interested party and the organization: William Perry was a member of the Board of Directors through April 2013 4: A: Name of Person: Robin Perry B: Relationship between Interested party and the organization: Daughter of Board member (through April 2013) William Perry 5: 4: A: Name of Person: David Perry B: Relationship between Interested party and the organization: Son of Board member (through April 2013) William Perry.

SCHEDULE M
(Form 990)

Noncash Contributions

OMB No 1545-0047

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Internal Revenue Service

- ▶ **Complete** if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ **Attach to Form 990.**
▶ **Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.**

Name of the organization

Employer identification number

Nuclear Threat Initiative Inc

52-2289435

Part I **Types of Property**

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art				
2 Art—Historical treasures				
3 Art—Fractional interests				
4 Books and publications				
5 Clothing and household goods				
6 Cars and other vehicles				
7 Boats and planes				
8 Intellectual property				
9 Securities—Publicly traded	✓	6	9,944,746	FMV
10 Securities—Closely held stock				
11 Securities—Partnership, LLC, or trust interests				
12 Securities—Miscellaneous				
13 Qualified conservation contribution—Historic structures				
14 Qualified conservation contribution—Other				
15 Real estate—Residential				
16 Real estate—Commercial				
17 Real estate—Other				
18 Collectibles				
19 Food inventory				
20 Drugs and medical supplies				
21 Taxidermy				
22 Historical artifacts				
23 Scientific specimens				
24 Archeological artifacts				
25 Other ▶ ()				
26 Other ▶ ()				
27 Other ▶ ()				
28 Other ▶ ()				

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement 29 0

		Yes	No
30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 - 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period?	30a		✓
b If "Yes," describe the arrangement in Part II.			
31 Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?	31		✓
32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?	32a		✓
b If "Yes," describe in Part II.			
33 If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.			

Part II **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

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SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

**Open to Public
Inspection**

Name of the organization

Nuclear Threat Initiative Inc

Employer identification number

52-2289435

Form 990, Part III, Line 4a - See Statement 2

Form 990, Part III, Line 4b - See Statement 2

Form 990, Part III, Line 4c - See Statement 2

Form 990, Part VI, Section A, Line 2 - RE Turner is the father in law of the Corporate Secretary, J Rutherford Seydel, II

Form 990, Part VI, Section B, Line 11b - NTI's Form 990 is prepared by its Finance department. It is initially reviewed by the Treasurer/CFO and then an independent review is provided by Avalon Financial Advisors, LLC and its outside law firm, Davis, Pickren, Seydel and Sneed, LLP. Next, the CFO reviews the Form 990 with management- the Executive Vice President, and the President. NTI's Board of Directors has delegated its review of the Form 990 to the members of the Executive Committee. However, the final Form 990 is required to be sent to all members of the Board for informational purposes prior to being filed.

Form 990, Part VI, Section B, Line 12c - NTI's bylaws require that a copy of NTI's Conflict of Interest Policy, as adopted by the Board, be furnished to each Director, Officer and operational staff member serving at or associated with NTI. NTI's policy states that a conflict of interest exists: When an officer or member of the staff takes part in a decision in which it appears that she/he may be unable to remain impartial in choosing between the interests of the Nuclear Threat Initiative and his/her personal interests or the personal interest of his/her related parties or the interests of his/her reportable affiliates, or When an officer or member of the staff uses privileged information gained in the course of service at NTI for personal benefit or gain, or for the personal benefit of gain or his/her related parties or the interests of his/her reportable affiliates, or When an officer or member of the staff, by virtue of his/her relationship to NTI, receives a benefit that represents a transfer of NTI's financial resources that is not related to the accomplishment of NTI's tax-exempt purposes. Related parties are defined as spouses, parents, children, siblings, in-laws and any individuals who reside in an officer or member of the staffs household. Reportable affiliate means an institution, corporation or business organization for which an officer or member of the staff or a related party (who to the knowledge of the officer or staff member) serves as an officer, director, partner, employee, or agent; holds more than 1% of the voting stock (if any); or has dealings from which he or she has materially benefitted (i.e. received more than \$1,000 exclusive of dividends or interest). NTI prohibits officers or staff from participating or engaging in any activity for which a conflict of interest exists. Board members are recused from decisions of the Board in which a conflict of interest might arise. Board members, officers and staff member are required to disclose to NTI any related party and any reportable affiliate which is likely to apply or otherwise be considered by NTI for a grant award or financial transaction. The information provided is updated annually, or more frequently as appropriate. NTI requests annual updates from its Board members and staff through reissuance of the policy together with a standard form for them to complete.

Form 990, Part VI, Section B, Line 15 - - Annually the CEO presents a memorandum to NTI's Compensation Committee, in which he recommends compensation levels for NTI's CEO and Officers. In 2010, NTI commissioned an independent compensation comparability study to assess its levels of compensation as compared to equivalent organizations and the market. The study was completed in 2011. NTI used the study results to set compensation levels at the beginning of 2011. Until a need for a new study is identified, NTI, consistent with historic practice, will propose compensation increases based on the 2011 compensation, likely adjusted for inflation as determined by the Washington area Consumer Price Index (CPI). In addition, the CEO has historically taken into account the importance of retaining a uniquely qualified team at NTI, and in certain circumstances has made a case for small merit increases. In 2012, the Compensation Committee was made up of three independent members of the Board of Directors. Per NTI's bylaws, the members of the Compensation Committee may not include current or former Directors who are also salaried senior executives of NTI. The Compensation Committee presents its recommendations to the Board of Directors in executive session. The recommendations include specific salary levels for the CEO, the President and all Officers. Officer salaries are approved by majority vote of NTI's Board of Directors, as directed by NTI's bylaws. Minutes of the Compensation Committee are maintained with NTI's corporate files at the office of NTI's Corporate Secretary.

Supplemental Information (Continued)

Form 990, Part VI, Section C, Line 19 - NTI states on its website that its governing documents, conflict of interest policy and financial statements are available to the public upon request, and provides a link to contact information. <http://www.nti.org/about/faqs/>

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Activity Or Mission Description**Description**

which strengthen global security by reducing the risk of use, and preventing the spread of, nuclear and other weapons of mass destruction; Assist and support activities which build the trust, transparency and security which are preconditions to the ultimate fulfillment of the Treaty on the Non-Proliferation of Nuclear Weapon's goals and ambitions, and engage in operations and activities and make grants and distributions in support of activities which have educational, scientific, literary or otherwise exclusively charitable purposes to organizations that qualify as (or, if not organized in the United States, would qualify as) exempt organizations under Section 501(c)(3) of the Code or, if organized outside of the United States, qualify as exempt charitable organizations under the laws of their domicile.

Schedule O, Statement 2

Form: 990

Page: 2

Line Number. Part III Line 4d

Nuclear Threat Initiative Inc**52-2289435****Other Program Services Accomplishments**

Activity Code	Description	Expense	Grants	Revenue
	See Statement 2	1,459,096	837,455	0
Total:		1,459,096	837,455	0

Schedule O, Statement 3

Form: 990

Page: 6

Line Number: Part VI Section C Line 17

Nuclear Threat Initiative Inc**52-2289435****States Where Copy Of Return Is Filed****States**

AK

AL

AR

AZ

CA

CO

CT

DC

DE

FL

GA

IL

KS

KY

LA

MA

MD

ME

MI

MN

MO

MS

NC

ND

NH

NJ

NM

NY

OH

OK

OR

PA

RI

SC

TN

TX

UT

VA

WA

WI

WV

Description of Grants and Other Assistance to Governments and Organizations in the United States

		Recipient EIN	Amt. of cash grant	Amt. of non- cash asst.
Name and address	CNS (Monterey)- US- Russian Partnership HEU Minimization	94-1425570	20,000	
IRC code section				
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	HEU Minimization- Joint US / Russian partnership for a six month project to develop a paper that provides steps to encourage LEU instead of HEU in medical isotope production (Mo-99- Molybdenum-99)			
Name and address	Monterey Institute of International Studies 460 Pierce Street Monterey, CA 93940	94-1425570	749,983	
IRC code section	501(c)(3)			
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	Maintain web based resources for public access on country profiles, facilities maps and nuclear trafficking.			
Name and address	CENESS- US-Russian Partnership to end HEU use in Mo-99	94-1425570	21,060	
IRC code section				
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	HEU Minimization- Joint US / Russian partnership for a six month project to develop a paper that provides steps to encourage LEU instead of HEU in medical isotope production (Mo-99- Molybdenum-99)			
Name and address	Friends Committee on National Legislation Education Fund 245 Second Street NE Washington, DC 20002	52-1254489	20,000	
IRC code section	501(c)(3)			
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	Public Education- to support the maintenance and weekly distribution of the nuclear calendar.			
Name and address	Herbert Scoville Jr Peace Fellowship	52-1755133	30,000	
IRC code section				
Method of valuation				
Desc. of Non-Cash Asst.				
Purpose of grant	General support- Fellowship fund			

Grants To Organization Outside US

		Cash Grant	Non-Cash Assistance
Region	Middle East and North Africa	51,750	
Grant	Country Coordination in the Palestinian Authority - core support for activities		
Cash Disbursement	Wire Transfer		
Desc. of Non-Cash Asst.			
Valuation			
Region	Middle East and North Africa	78,250	
Grant	Provide Country Coordinators as core support. In country Training for Ministry of health and Laboratory staff		
Cash Disbursement	Wire Transfer		
Desc. of Non-Cash Asst.			
Valuation			
Region	Middle East and North Africa	78,250	
Grant	Provide Country Coordinator as core support. In country Training activities		
Cash Disbursement	Wire Transfer		
Desc. of Non-Cash Asst.			
Valuation			
Region	Middle East and North Africa	81,000	
Grant	Support Scientific/Technical Secretariat		
Cash Disbursement	Wire Transfer		
Desc. of Non-Cash Asst.			
Valuation			
Region	Middle East and North Africa	108,160	
Grant	Provide administrative Secretariat including the Executive Officer Position		
Cash Disbursement	Wire Transfer		
Desc. of Non-Cash Asst.			
Valuation			
Region	Middle East and North Africa	91,500	
Grant	Training for Palestinian Authority; Executive Board Meeting support and International Health regulations with WHO participation; In country training for Palestinian Authority Ministry of Health Laboratory staff		
Cash Disbursement	Wire Transfer		
Desc. of Non-Cash Asst.			
Valuation			

Statement 2

Nuclear Threat Initiative, Inc.
EIN: 52-2289435

Form 990

Part III. Statement of Program Service Accomplishments.

Line 4a. The Nuclear Security Project (NSP)

The Nuclear Security Project (NSP) is an effort to galvanize global support for and action on the vision of a world free of nuclear weapons and the urgent steps needed to reach that goal laid out by former Secretary of State George P. Shultz, former Defense Secretary William J. Perry, former Secretary of State Henry A. Kissinger and former Senator Sam Nunn (the "NSP principals") in a series of *Wall Street Journal* op-eds. The four joined together to form the NSP after the first op-ed in 2007 reframed the terms of the global debate on nuclear issues. Through the project, NTI has partnered with organizations across five continents, helped create regional networks of serving and former leaders, and engaged officials at the highest levels of government internationally to promote dialogue, education and action on the "vision and steps" agenda. Through grant-making, conferences and meetings, as well as educational outreach, the NSP has enabled analytic studies and expanded support for key nuclear security measures by bringing together experts, senior statesmen, and political and military leaders. In September 2009, the United Nations Security Council implicitly endorsed the agenda of the Nuclear Security Project through the adoption of UNSC Resolution 1887.

Specific accomplishments during the tax year include:

In March 2013, the four principals published the fifth in their series of op-eds in *The Wall Street Journal*, calling for urgent attention to the nuclear threat and outlining key areas for President Obama and world leaders to address. The op-ed received widespread attention in the media, on the "Twittersphere," and among decision makers. President Obama's 2013 speech in Berlin reflected its key themes.

- In 2013, the NSP helped form new regional networks in Latin America and the United States. Similar to groups in Asia and Europe, members of the new networks include high-profile current and former officials committed to a world without nuclear weapons and the steps to achieve it. The NSP now supports regional networks on five continents which lead dialogue, outreach and action on nuclear issues. In November, NTI gathered members from all the regional networks in Singapore. The first-of-its-kind meeting included 30 leaders and experts from 20 countries and was hosted by Shultz, Perry and Nunn. "This meeting is historic, demonstrating in thought and action how nuclear weapons and proliferation issues must be tackled seriously and cooperatively by countries around the world," Shultz observed.

Statement 2

- NSP's work with analytical partners continued as policy institutions worldwide explored political and technical questions related to the vision and steps. In 2013 for the first time, NSP began focusing on the Middle East, creating a relationship with Arab Institute for Security Studies in Jordan

New Solutions in the Euro-Atlantic Region

In April 2013, three NTI Board members, Des Browne, Igor Ivanov and Sam Nunn joined with former German Deputy Foreign Minister Wolfgang Ischinger in publishing *Building Mutual Security in the Euro-Atlantic Region*. The report was prepared in consultation with a group of more than 30 former senior political and military leaders and experts drawn from Europe, Russia and the United States.

The authors describe an integrated policy approach in six areas--nuclear forces, missile defenses, prompt-strike forces, conventional forces, and cybersecurity and space--with specific steps to reduce dangers.

The report was released in English and Russian and distributed among officials at the highest levels of government in the United States, Russia and across Europe. In September, the co-chairs traveled to Brussels to present the report to senior NATO officials and national delegations. Events in Ukraine underscore the need for a new approach to Euro-Atlantic security, and the authors are committed to continue working toward solutions.

Innovating Verification: New Tools and New Actors to Reduce Nuclear Risks

At a time when a path forward on arms control is hard to envision, NTI partnered with senior leaders from the U.S. Departments of Defense, Energy and State and the governments of Norway, Sweden and the United Kingdom to identify areas that, if addressed, could lay the groundwork for deep reductions in nuclear weapons globally. Among the challenges:

- Key players are excluded from some verification arrangements, or in some cases, don't yet have the necessary expertise to participate.
- No mechanism exists for tracking individual warheads or accounting for all weapons-usable nuclear material.
- Advances in big data and information analysis create an opportunity to shed light on key verification activities and developments but are untested and not yet tailored to the nuclear mission.

To address these issues, NTI's Verification Pilot Project created three working groups with more than 40 technical and policy experts from a dozen countries. Their work will be published in 2014 in a report series called *Innovating Verification: New Tools and New Actors to Reduce Nuclear Risks*.

Statement 2

Educating a New Generation

A new NTI project launched in 2013 focuses on the life's work of NTI board member and NSP principal William J. Perry. The project is dedicated to raising awareness of the dangers posed by nuclear weapons and to promoting meaningful actions to significantly reduce those threats.

The heart of the project is Perry's memoir, tentatively titled, *A Journey at the Nuclear Brink*. The book tells of his experiences in the nuclear weapons arena, from advising during the Cuban Missile Crisis to serving in the Clinton Administration. He describes how those experiences shaped his thinking and led him to dedicate his career to reducing the dangers of nuclear weapons and working for their ultimate elimination.

Line 4b. Communications & Public Education

NTI's Communications and Public Education program provides resources to nonproliferation professionals and aims to educate and inform the broader public on the risks posed by nuclear, biological and chemical weapons. NTI manages a set of websites which provide comprehensive, in-depth online content. The most visited site is www.nti.org.

NTI supported the publication of Global Security Newswire (GSN), an online five-day-a-week news service which covered nuclear, chemical and biological weapons and policy, terrorism and related issues. GSN was produced by the National Journal Group, and the publication included original reporting and aggregated stories to offer a comprehensive snapshot of the day's global news on NTI's issues. Its readership included experts, academics, students, government officials, diplomats and citizens around the world interested in security. GSN appeared exclusively on NTI's website.

NTI also provides funding for James Martin Center for Nonproliferation Studies at the Monterey Institute for International Studies to produce a comprehensive research library for NTI's website containing information, analysis, and educational materials relating to the threats from nuclear, biological and chemical weapons, missile development programs, and terrorist activities. The library builds on the most comprehensive open-source nonproliferation databases in the world and brings together a range of expert opinion and analysis on these issues.

Line 4c. Nuclear Materials Security

The NTI Nuclear Materials Security Program aims to strengthen global nuclear materials security through a range of projects designed to catalyze more effective action by governments.

In 2012, NTI launched the NTI Nuclear Materials Security Index, a first-of-its-kind public benchmarking of global nuclear materials security conditions on a country-by-country basis across 176 countries. The NTI Index, prepared with the Economist Intelligence Unit (EIU) and

Statement 2

guided by an international panel of nuclear security experts, was developed to spark international discussions about nuclear materials security and priorities and to encourage governments to provide assurances and take actions to reduce risks.

While the biennial Nuclear Security Summits have been instrumental in raising awareness and initiating action, it is critical to keep attention on nuclear materials security between summits and beyond. The NTI Index –released in 2012, updated in 2014 and scheduled to be updated again in 2016—has been praised for promoting a global dialogue on priorities for nuclear materials security, highlighting the need for a comprehensive framework, and identifying specific actions needed to improve materials security around the world.

To facilitate the dialogue among leaders, NTI launched a complimentary project in 2012, facilitating an international, cross-sector dialogue-- the Global Dialogue on Nuclear Security Priorities—among top officials, experts, and practitioners to prioritize and develop actions needed to strengthen the global nuclear security system.

The third Global Dialogue meeting in 2013 brought together officials and experts from around the world to develop a consensus on what an effective system should look like. The Hague Communiqué issued at the conclusion of the 2014 Nuclear Security Summit called for a strengthened and comprehensive international nuclear security architecture, reflecting key principles developed through NTI's Global Dialogue process.

The need for an effective global system now has support from key countries and momentum is building for implementation ahead of the 2016 summit in the United States.

New Solutions for the Fuel Cycle

Nuclear power hold the promise of helping to address global climate change, but the 2011 Fukushima accident in Japan and ongoing proliferation crises in North Korea and Iran raise important questions about the security of the nuclear fuel cycle. NTI and the Center for Strategic and International Studies (CSIS) created a partnership to address questions about how to manage the risks posed by the spread of nuclear technology and materials—the same technology and materials that can be used to build a bomb—in the name of energy efficiency. As NTI Co-Chairman Sam Nunn asked in a speech to the 2013 American Nuclear Society annual meeting: "Do we really believe that we can live securely in a system that poses so few constraints on any state's ability to produce weapons-usable nuclear materials?"

The NTI-CSIS partnership aims to build consensus on common goals, address practical challenges and engage a spectrum of stakeholders who can influence policymaking regarding the nuclear fuel cycle. Drawing from top officials and experts worldwide, the project is developing a new vision for the fuel cycle. The approach--involving, among other steps, greater cooperation and diversified partnerships for new enrichment facilities and long-term waste storage and repositories—is designed to address the inherent inertia and concerns about additional burdens that have stalled past efforts to improve security.

Statement 2

Among the issues for the project:

- Stemming the flow of new materials.
- Creating a fuel bank to reduce the need for individual countries to develop their own supplies.
- Increasing focus on the back end of the fuel cycle.

The report is scheduled for release in mid-2014.

Line 4d. Other program services

NTI aims to create projects that catalyze governmental and international action on nuclear, biological and chemical threats, through multi-year projects, all of which were active in this tax year.

NTI's biological program, the Global Health and Security Initiative (GHSI), works around the world on projects to prevent, detect and respond to biological threats which pose both a health and a security threat, whether they arise from natural or deliberately induced disease outbreaks. To find solutions to the extraordinarily complex biological threat, NTI's GHSI focused this tax year on improving global capacity for the prevention of and preparedness for biological threats through enhancing disease surveillance, early detection and response.

Facts And Circumstances Test Explanations

Facts And Circumstances Test

NTI normally receives a substantial part of its support from direct or indirect contributions from the general public. NTI also meets certain other requirements, the pertinent factors set forth in the regulations are discussed below.

i. Ten percent-of-support limitation Based on support over previous years, NTI anticipates that its public support will continue to range between 10 and 35 percent of total support.

ii. Attraction of public support NTI receives support primarily from a number of individual donors, foundations and public charities. NTI's fundraising department has also developed a database of approximately 100,000 supporters who follow NTI's activities through its website and e-mail and direct mail distributions. These supporters periodically donate to NTI through year end solicitations, upon receipt of NTI's annual report or for other reasons. NTI intends to continue this pattern of solicitation, and also hopes to further build support from the general public through its donor database, and other means.

iii. Percentage of financial support As noted above, NTI expects that its public support will continue to consistently range between 10 and 35 percent. In FY09, support was 29.18%, in FY10, support was 25.42%; in SY10, support was 21.86%, in CY11, support was 19.05%, in CY12, support was 15.45% and in FY13 support was 14.8%. The ranges are comfortably within the 10 percent facts-and-circumstances test as prescribed in section 170(b)(1)(A)(vi) and should therefore be favorably regarded.

iv. Sources of Support NTI anticipates that it will continue to receive support from individual, but unrelated donors, foundations and public charities.

v. Representative governing body NTI has a governing body representative of the broad interests of the public, including various public officials, community leaders and security and policy experts. During 2013, the following individuals have served on the Board:

- * R.E. Turner, Chairman of Turner Enterprises, Founder of CNN
- * Sam Nunn, Former U.S. Senator
- * Dr. Alexei Arbatov, Russian Institute of World Economy and International Relations
- * Desmond Browne, Member, House of Lords, United Kingdom
- * Charles B. Curtis, Former Deputy Secretary of the US Department of Energy
- * Liru Cui, Senior Advisor of China Institutes of Contemporary International Relations
- * Michael Douglas, Actor and Producer
- * Ambassador Rolf Ekeus, Stockholm International Peace Research Institute
- * General Eugene E. Habiger, USAF (Ret.) Former Commander in Chief of the US Strategic Command
- * Igor S. Ivanov, Former Minister of Foreign Affairs of Russia
- * Riaz Mohammad Khan, Former Foreign Secretary of Pakistan and former Ambassador to China, the European Union, Belgium and Luxembourg and to Kazakhstan and Kyrgyzstan
- * Pierre Lellouche, Deputy of Paris, Former Minister of State for Foreign Trade, France
- * U.S. Senator Richard G. Lugar (R - Indiana)
- * Dr. Jessica Mathews, President of the Carnegie Endowment for International Peace
- * Ronald L. Olson, Partner, Munger, Tolles & Olson, LLP
- * Judge Hisashi Owada, President of the International Court of Justice
- * Malcolm Rifkind, Member of Parliament for Kensington in the United Kingdom
- * Joan Rohlfing, President of NTI, Former Director of the Office of Nonproliferation and National Security, US Dept of Energy
- * Dr. Nafis Sadik, Special Advisor to the UN Secretary-General
- * Ellen O. Tauscher, Former Under Secretary of State for Arms Control and International Security and former seven term Member of Congress
- * Professor Fujia Yang, Fudan University and Member of the Chinese Academy of Sciences

vi. Availability of public facilities or services; public participation in programs or policies To support the public's access to a range of views and information about the threats from weapons of mass destruction, NTI offers a number of content-rich websites and materials to support public education. The primary website is at www.nti.org. The website serves as an information clearing house with unique resources, available to any visitor, which include:

- * A free, daily, independent news service provided by National Journal Group covering global developments related to nuclear, biological and chemical weapons, also available by email delivery and Twitter
- * A research library with the world's most comprehensive, open-source nonproliferation data collections, as well as profiles of the nuclear, biological and chemical weapons programs of over 40 countries, and issue briefs that offer short introduction and in-depth analysis on a wide range of international security issues
- * Publications and source documents published by U.S. and foreign governments
- * Reports, articles and analysis on nuclear, biological and chemical weapons policy for audiences ranging from high school students to experts
- * Films that address the nuclear threat, available on the web or by mail-order

The website is available free of charge to all interested persons. NTI also conducts regular public outreach and education related to nuclear issues, and provides expert testimony to the US and other governments, as requested. NTI continues its public outreach program in support of the Nuclear Security Project, a project aimed at reducing reliance on nuclear weapons, preventing their proliferation and ultimately ending them as a threat to the world. NTI produced a documentary film, Nuclear Tipping Point, featuring the four project principals, Henry Kissinger, Sam Nunn, William Perry, and George Shultz and screened the films to groups all over the United States and around the world. The film is available online and on DVD, free of charge to interested parties through NTI's website. The film also has a teacher's guide that provides lesson plans and exercises aligned with U.S. public school national standards. This is the second such project that NTI has undertaken. The first film, Last Best Chance, was made in 2005, and, together with a public education campaign about the risks of unsecured nuclear material, added significantly to NTI's public profile, supporter database, and outreach efforts.

vii. Additional Factors pertinent to membership organizations This factor is not applicable to NTI because NTI is not a membership organization.

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
William J Perry	6									
Member of the Board of Directors (Through April 2013)	0	✓						0	0	0
Malcolm Rifkind	4									
Member of the Board of Directors	0	✓						0	0	0
Ellen O Tauscher	6									
Member of the Board of Directors	0	✓						0	0	0
RE Turner III	6									
Co-Chairman	0	✓		✓				0	0	0
J Rutherford Seydel II	4									
Corporate Secretary	0			✓				0	0	0
Fujia Yang	4									
Member of the Board of Directors	0	✓						0	0	0
Sam Nunn	35									
Co-Chairman and CEO	0	✓		✓				335,348	0	25,000
Joan Rohlfing	45									
President and COO	0	✓		✓				296,939	0	55,400
Deborah Rosenblum	40									
Vice President	0			✓				244,500	0	44,303
Corey Hinderstein	40									
Vice President	0			✓				173,570	0	38,010
Carmen McDougall	40									
Vice President	0			✓				195,046	0	46,043
Page Stoutland	40									
Vice President	0			✓				206,418	0	39,579
Christopher Carr	40									
CFO and Treasurer	0			✓				81,368	0	18,990
Charlotte Atkinson	40									
Treasurer & CFO (until may 2013)	0			✓			✓	73,869	0	16,766

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only. ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	NUCLEAR THREAT INITIATIVE, INC	52-2289435
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	1747 PENNSYLVANIA AVE, 7TH FLOOR	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	WASHINGTON, DC 20006	

Enter the Return code for the return that this application is for (file a separate application for each return)

01

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► CHRISTOPHER CARR

Telephone No. ► (202) 454-7736

Fax No. ► (202) 296-4811

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15/2014 to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year 2013 or

► ☐ tax year beginning _____, and ending _____

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0
c	Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box. ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	NUCLEAR THREAT INITIATIVE, INC	52-2289435
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	1747 PENNSYLVANIA AVE, 7TH FLOOR	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	WASHINGTON, DC 20006	

Enter the Return code for the return that this application is for (file a separate application for each return) **01**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of **CHRISTOPHER CARR**
Telephone No. **(202) 454-7736** Fax No. **(202) 296-4811**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 4 I request an additional 3-month extension of time until **11/15/2014**
- 5 For calendar year **2013**, or other tax year beginning _____, and ending _____
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension **ADDITIONAL TIME IS REQUESTED TO FILE A COMPLETE AND ACCURATE RETURN**

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	0
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	0
c	Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶

Title ▶

Date ▶

Form **8868** (Rev. 1-2014)