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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation NELSON AND MICHELLE CARBONELL FOUNDATION C/O JOHN CARBONELL		A Employer identification number 52-2272147
Number and street (or P O box number if mail is not delivered to street address) 9901 FOUNDERS WAY	Room/suite	B Telephone number 703-955-4058
City or town, state or province, country, and ZIP or foreign postal code DAMASCUS, MD 20872		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 8,526,448.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		431.		N/A	
2 Check ☒ If the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		39,466.	39,466.		STATEMENT 1
4 Dividends and interest from securities		93,247.	93,247.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		432,459.			
b Gross sales price for all assets on line 6a 4,581,987.					
7 Capital gain net income (from Part IV, line 2)			432,459.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		9,843.	9,843.		STATEMENT 3
12 Total. Add lines 1 through 11		575,446.	575,015.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 4		4,496.	0.		4,496.
c Other professional fees STMT 5		26,126.	26,126.		0.
17 Interest					
18 Taxes STMT 6		2,321.	2,321.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 7		9,572.	9,547.		25.
24 Total operating and administrative expenses. Add lines 13 through 23		42,515.	37,994.		4,521.
25 Contributions, gifts, grants paid		407,200.			407,200.
26 Total expenses and disbursements. Add lines 24 and 25		449,715.	37,994.		411,721.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		125,731.			
b Net investment income (if negative, enter -0-)			537,021.		
c Adjusted net income (if negative, enter -0-)				N/A	

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10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

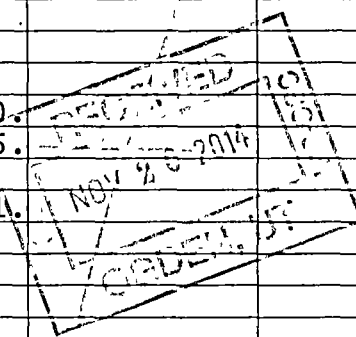
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Form **990-PF** (2013)

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NELSON AND MICHELLE CARBONELL FOUNDATION

Form 990-PF (2013)

C/O JOHN CARBONELL

52-2272147

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	1,042,236.	2,301,195.	2,301,195.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 8	4,809,305.	3,799,651.	4,465,506.
	c	Investments - corporate bonds STMT 9	324,632.	375,202.	431,781.
	11	Investments - land, buildings, and equipment basis ▶			
Liabilities		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 10	1,344,986.	1,170,842.	1,327,966.
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	7,521,159.	7,646,890.	8,526,448.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
Net Assets or Fund Balances	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here ▶ ☐			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	7,521,159.	7,646,890.	
	30	Total net assets or fund balances	7,521,159.	7,646,890.	
	31	Total liabilities and net assets/fund balances	7,521,159.	7,646,890.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,521,159.
2	Enter amount from Part I, line 27a	2	125,731.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	7,646,890.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,646,890.

Form 990-PF (2013)

NELSON AND MICHELLE CARBONELL FOUNDATION

Form 990-PF (2013)

C/O JOHN CARBONELL

52-2272147

Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 4,581,987.		4,149,528.	432,459.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			432,459.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	432,459.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	401,216.	7,888,103.	.050863
2011	346,284.	8,181,472.	.042325
2010	294,181.	6,977,322.	.042162
2009	292,549.	5,763,854.	.050756
2008	300,514.	6,032,101.	.049819

2 Total of line 1, column (d)	2	.235925
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047185
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	8,243,946.
5 Multiply line 4 by line 3	5	388,991.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,370.
7 Add lines 5 and 6	7	394,361.
8 Enter qualifying distributions from Part XII, line 4	8	411,721.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

NELSON AND MICHELLE CARBONELL FOUNDATION

Form 990-PF (2013)

C/O JOHN CARBONELL

52-2272147

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	5,370.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	5,370.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	5,370.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,000.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	4,750.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6,750.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,380.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 1,380. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ VA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2013)

NELSON AND MICHELLE CARBONELL FOUNDATION

Form 990-PF (2013)

C/O JOHN CARBONELL

52-2272147

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>JOHN CARBONELL</u> Telephone no. ► <u>703-259-2495</u> Located at ► <u>9901 FOUNDERS WAY, DAMASCUS, MD</u> ZIP+4 ► <u>20872-2900</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► _____ ☐ Yes ☒ No		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN N. CARBONELL 9901 FOUNDERS WAY DAMASCUS, MD 20872	PRES/SECY 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

NELSON AND MICHELLE CARBONELL FOUNDATION

Form 990-PF (2013)

C/O JOHN CARBONELL

52-2272147

Page 7

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 NONE	
	0.
2	
All other program-related investments. See instructions.	
3 NONE	
	0.
	0.
Total. Add lines 1 through 3	0.

Form 990-PF (2013)

NELSON AND MICHELLE CARBONELL FOUNDATION

Form 990-PF (2013)

C/O JOHN CARBONELL

52-2272147

Page 8

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,122,022.
b	Average of monthly cash balances	1b	2,247,466.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	8,369,488.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	8,369,488.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	125,542.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,243,946.
6	Minimum investment return. Enter 5% of line 5	6	412,197.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	412,197.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	5,370.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	5,370.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	406,827.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	406,827.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	406,827.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	411,721.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	411,721.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	5,370.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	406,351.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

NELSON AND MICHELLE CARBONELL FOUNDATION
C/O JOHN CARBONELL

Form 990-PF (2013)

52-2272147 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				406,827.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			375,474.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 411,721.				
a Applied to 2012, but not more than line 2a			375,474.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				36,247.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				370,580.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Page 10

N/A

2013.04030 NELSON AND MICHELLE CARBONE CA09 1

NELSON AND MICHELLE CARBONELL FOUNDATION

Form 990-PF (2013)

C/O JOHN CARBONELL

52-2272147 Page 11

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMAZON CONSERVATION TEAM 4211 N. FAIRFAX DR. ARLINGTON, VA 22203	NONE	PUBLIC CHARITY	PUBLIC SERVICE PROGRAMS	60,000.
AUTISM SPEAKS 2 PARK AVE, 11TH FLOOR NEW YORK, NY 10016	NONE	PUBLIC CHARITY	CHARITABLE AND RESEARCH PROGRAMS	15,000.
EMERGING SCHOLARS PROGRAM 3320 JERMANTOWN ROAD OAKTON, VA 22124	NONE	PUBLIC CHARITY	TUITION ASSISTANCE	15,200.
FLINT HILL SCHOOL 3320 JERMANTOWN ROAD OAKTON, VA 22124	NONE	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	170,000.
GEORGE WASHINGTON UNIVERSITY 2100 M STREET, NW, SUITE 310 WASHINGTON, DC 20052	NONE	PUBLIC UNIVERSITY	GENERAL EDUCATION PROGRAMS	100,000.
Total	SEE CONTINUATION SHEET(S)			407,200.
b Approved for future payment				
NONE				
Total				0.

Form 990-PF (2013)

Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
		14	39,466.	
		14	93,247.	
		14	9,843.	
		18	432,459.	
	0.		575,015.	0.

13
575,015.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MERRILL LYNCH - 04041		VARIOUS	VARIOUS
b	15769 CALL HQAR		05/07/12	05/14/13
c	MERRILL LYNCH - 04043		VARIOUS	VARIOUS
d	MERRILL LYNCH - 04043	P	VARIOUS	VARIOUS
e	MERRILL LYNCH - 04045		VARIOUS	VARIOUS
f	MERRILL LYNCH - 04045		VARIOUS	VARIOUS
g	RIVERBED TECHNOLOGY INC CASH IN LIEU		01/30/13	01/30/13
h	SILVER BAY RLTY CORP CASH IN LIEU		05/09/13	05/09/13
i	MERRILL LYNCH - 04046		VARIOUS	VARIOUS
j	MERRILL LYNCH - 04046		VARIOUS	VARIOUS
k	135 SH HENDERSON LD SPSD ADR		06/06/13	06/06/13
l	HENDERSON LD SPSD ADR CASH IN LIEU		07/30/13	07/30/13
m	MERRILL LYNCH - 04047		VARIOUS	VARIOUS
n	MERRILL LYNCH - 04047		VARIOUS	VARIOUS
o	6000 SH HERTZ 5.25% CVTB SR NOTES		03/21/13	11/05/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,159,456.		1,079,807.	79,649.
b 1,855,476.		1,699,898.	155,578.
c 16,849.		17,155.	<306.>
d 152,815.		82,867.	69,948.
e 77,010.		67,477.	9,533.
f 209,351.		163,602.	45,749.
g 14.			14.
h 15.			15.
i 169,049.		166,957.	2,092.
j 436,263.		422,957.	13,306.
k 792.			792.
l 2.			2.
m 192,977.		180,434.	12,543.
n 129,328.		125,251.	4,077.
o 15,905.		15,449.	456.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			79,649.
b			155,578.
c			<306.>
d			69,948.
e			9,533.
f			45,749.
g			14.
h			15.
i			2,092.
j			13,306.
k			792.
l			2.
m			12,543.
n			4,077.
o			456.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	7000 SH DENDEREON CORP CONV 2.875% JAN 15 2016		VARIOUS	06/18/13
b	ANGLOGOLD ASHANTI LTD CASH IN LIEU		09/24/13	09/24/13
c	METLIFE INC CASH IN LIEU		09/25/13	09/25/13
d	NETAPP INC CASH IN LIEU		06/12/13	06/12/13
e	NEXTERA ENERGY INC SHS CASH IN LIEU		09/19/13	09/19/13
f	PIONEER NATURAL RES CO		05/16/13	05/16/13
g	NEXTERA ENERGY INC		VARIOUS	VARIOUS
h	MERRILL LYNCH - 04048		VARIOUS	VARIOUS
i	MERRILL LYNCH - 04048		VARIOUS	VARIOUS
j	CAPITAL GAINS DIVIDENDS			
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 5,005.		4,725.	280.
b 1.			1.
c 6.			6.
d 27.			27.
e 29.			29.
f 77.			77.
g 9,750.			9,750.
h 84,414.		82,010.	2,404.
i 49,625.		40,939.	8,686.
j 17,751.			17,751.
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			280.
b			1.
c			6.
d			27.
e			29.
f			77.
g			9,750.
h			2,404.
i			8,686.
j			17,751.
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	432,459.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

NELSON AND MICHELLE CARBONELL FOUNDATION
C/O JOHN CARBONELL

52-2272147

Part XV **Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)				
Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
IMAGINATION STAGE 4908 AUBURN AVENUE BETHESDA, MD 20814	NONE	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	15,000.
MIRIAM'S KITCHEN 2401 VIRGINIA AVE NW WASHINGTON, DC 20037	NONE	PUBLIC CHARITY	SOCIAL SERVICE PROGRAMS	10,000.
OUR LADY OF GOOD COUNSEL HIGH SCHOOL 11601 GEORGIA AVENUE WHEATON, MD 20902	NONE	PUBLIC CHARITY	EDUCATIONAL PROGRAMS	10,000.
WASHINGTON NATIONAL DREAM FOUNDATION 1500 SOUTH CAPITOL STREET, SE WASHINGTON, DC 20003	NONE	PUBLIC CHARITY	SOCIAL SERVICE PROGRAMS	5,000.
WINTERGREEN ADAPTIVE SKIING 615 PAUL'S CREEK ROAD AFTON, VA 22920	NONE	PUBLIC CHARITY	EDUCATIONAL AND SPORTING PROGRAMS	5,000.
IMMIXGROUP FOUNDATION 8444 WESTPARK DRIVE MCLEAN, VA 22102	NONE	PUBLIC CHARITY	CANCER RESEARCH	2,000.
Total from continuation sheets				47,000.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH - 04041	1,328.	1,328.	
MERRILL LYNCH - 04041 - OID	21,404.	21,404.	
MERRILL LYNCH - 04042	820.	820.	
MERRILL LYNCH - 04043	20.	20.	
MERRILL LYNCH - 04045	10.	10.	
MERRILL LYNCH - 04046	31.	31.	
MERRILL LYNCH - 04047	7,913.	7,913.	
MERRILL LYNCH - 04047 - ACCR PD	<1,360.>	<1,360.>	
MERRILL LYNCH - 04047 - ADJ ON CPDI	133.	133.	
MERRILL LYNCH - 04047 - OID	9,165.	9,165.	
MERRILL LYNCH - 04048	2.	2.	
TOTAL TO PART I, LINE 3	39,466.	39,466.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH - 04041	73,320.	16,552.	56,768.	56,768.	
MERRILL LYNCH - 04043	7,182.	11.	7,171.	7,171.	
MERRILL LYNCH - 04045	2,973.	1.	2,972.	2,972.	
MERRILL LYNCH - 04046	18,218.	0.	18,218.	18,218.	
MERRILL LYNCH - 04047	4,003.	0.	4,003.	4,003.	
MERRILL LYNCH - 04048	5,302.	1,187.	4,115.	4,115.	
TO PART I, LINE 4	110,998.	17,751.	93,247.	93,247.	

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH - 04047	9,843.	9,843.	
TOTAL TO FORM 990-PF, PART I, LINE 11	9,843.	9,843.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	4,496.	0.		4,496.
TO FORM 990-PF, PG 1, LN 16B	4,496.	0.		4,496.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ML TRUST FEES	26,126.	26,126.		0.
TO FORM 990-PF, PG 1, LN 16C	26,126.	26,126.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID ON DIVIDENDS	2,321.	2,321.		0.
TO FORM 990-PF, PG 1, LN 18	2,321.	2,321.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ANNUAL REPORT FEE	25.	0.		25.	
INVESTMENT EXPENSES	9,547.	9,547.		0.	
TO FORM 990-PF, PG 1, LN 23	9,572.	9,547.		25.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	8
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
EQUITY INVESTMENTS	3,799,651.		4,465,506.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,799,651.		4,465,506.	

FORM 990-PF	CORPORATE BONDS		STATEMENT	9
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
CORPORATE BONDS	375,202.		431,781.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	375,202.		431,781.	

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	10
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
MUTUAL FUNDS	COST	1,170,842.	1,327,966.	
TOTAL TO FORM 990-PF, PART II, LINE 13		1,170,842.	1,327,966.	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

MLCXA06E ARN ISSUER BAC CUSIP Number 06051R485

CAP 18.00% SV 354.139

DUE 08/30/13

20000 0000 Redemption

5,535.11

MSCI EM ARN ISSUER SEK

CAP 18.93% SV 916.44

DUE 09/27/13

25000 0000 Redemption

47,325.00

Covered Long Term Capital Gains and Losses Subtotal

52,860.11

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

GOLD ARN ISSUER SEK

CAP 25.20% SV 1,598.00

DUE 03/04/13

40000.0000 Redemption

(2,941.18)

CUSIP Number 01019A427

12/19/11 03/04/13

397,058.82 400,000.00

0.00

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NUVEEN MORTGAGE OPRNTY								
TERM FUND								
500 0000	Sale	11/24/09	03/20/13	14,909.67	12,492.29	0.00	2,417.38	
1200 0000	Sale	11/24/09	03/26/13	35,819.38	29,981.49	0.00	5,837.89	
1000 0000	Sale	11/24/09	03/27/13	29,939.33	24,984.58	0.00	4,954.75	
500 0000	Sale	11/24/09	03/28/13	15,039.66	12,492.29	0.00	2,547.37	
1000 0000	Sale	11/24/09	04/01/13	30,119.33	24,984.58	0.00	5,134.75	
1000 0000	Sale	11/24/09	04/24/13	28,270.44	24,979.44	0.00	3,291.00	
1000 0000	Sale	11/24/09	05/21/13	28,039.47	24,974.30	0.00	3,065.17	
1000 0000	Sale	11/24/09	06/05/13	26,759.53	24,974.30	0.00	1,785.23	
1000 0000	Sale	11/24/09	06/11/13	25,019.56	24,974.30	0.00	45.26	
1000 0000	Sale	11/24/09	06/13/13	25,620.63	24,969.57	0.00	651.06	
Security Subtotal				259,537.00	229,807.14	0.00	29,729.86	
Noncovered Long Term Capital Gains and Losses Subtotal								
				656,595.82	629,807.14	0.00	26,788.68	
NET LONG TERM CAPITAL GAINS AND LOSSES								
				1,159,455.93	1,079,807.14	0.00	79,648.79	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES								
				1,159,455.93				
TOTAL REPORTED SALES PROCEEDS				1,159,455.93				

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
0.00	0.00	52,860.11	26,788.68

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

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In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

BANCORPSOUTH INC 89.0000 Sale	CUSIP Number 01/03/13	059692103 06/24/13	1,505.42	1,341.19	0.00	164.23	
MAIDENFORM BRANDS INC 104.0000 Exchange	CUSIP Number 05/17/13	560305104 10/07/13	2,444.01	1,923.89	0.00	520.12	
MCDERMOTT INTL INC 23.0000 Sale 101.0000 Sale 329.0000 Sale	CUSIP Number 12/18/12 12/18/12 04/01/13	580037109 08/14/13 08/29/13 08/29/13	167.23 775.65 2,526.65	250.27 1,099.02 3,516.94	0.00 0.00 0.00	(83.04) (323.37) (990.29)	
Security Subtotal			3,469.53	4,866.23	0.00	(1,396.70)	
OMNIVISION TECHS INC DEL 82.0000 Sale	CUSIP Number 07/02/13	682128103 12/31/13	1,403.44	1,501.13	0.00	(97.69)	
RENAISSANCE HLDGS LTD 21.0000 Sale	CUSIP Number 12/18/12	G7496G103 06/27/13	1,798.21	1,676.53	0.00	121.68	
RALCORP HLDGS INC NEW 4.0000 Exchange	CUSIP Number 03/09/12	751028101 01/30/13	360.01	297.20	0.00	62.81	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SANDRIDGE ENERGY INC 547 0000 Sale		CUSIP Number 02/13/13	80007P307 05/30/13	2,817.83	3,199.84	0.00	(382.01)	
SYNOVUS FINL CORP COM 1213 0000 Sale		CUSIP Number 08/06/12	87161C105 01/02/13	3,050.99	2,349.46	0.00	701.53	
Covered Short Term Capital Gains and Losses Subtotal				16,849.44	17,155.47	0.00	(306.03)	
NET SHORT TERM CAPITAL GAINS AND LOSSES				16,849.44	17,155.47	0.00	(306.03)	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ATLAS AIR WORLDWIDE HLDS NEW COM STOC 8 0000 Sale 10 0000 Sale 31 0000 Sale		CUSIP Number 08/11/11 08/12/11 09/21/11	049164205 02/14/13 02/14/13 10/24/13	374.42 468.03 1,505.03	330.49 433.92 1,223.07	0.00 0.00 0.00	43.93 34.11 281.96	
Security Subtotal				2,347.48	1,987.48	0.00	360.00	
COMMUNITY HEALTH SYS NEW 66 0000 Sale 109 0000 Sale		CUSIP Number 09/09/11 09/09/11	203668108 01/30/13 03/08/13	2,532.05 4,661.49	1,188.11 1,962.19	0.00 0.00	1,343.94 2,699.30	
Security Subtotal				7,193.54	3,150.30	0.00	4,043.24	
CREE INC 68 0000 Sale 37 0000 Sale 3 0000 Sale 32 0000 Sale 70 0000 Sale 12 0000 Sale 57 0000 Sale		CUSIP Number 09/02/11 09/02/11 09/06/11 09/06/11 09/06/11 04/19/12 04/19/12	225447101 06/05/13 06/06/13 06/06/13 07/29/13 12/19/13 12/20/13	4,148.22 2,245.25 182.05 2,176.17 4,063.37 696.58 3,363.98	2,084.89 1,134.43 89.55 955.22 2,089.54 362.69 1,722.80	0.00 0.00 0.00 0.00 0.00 0.00 0.00	2,063.33 1,110.82 92.50 1,220.95 1,973.83 333.89 1,641.18	
Security Subtotal				16,875.62	8,439.12	0.00	8,436.50	
DRYSHIPS INC 937 0000 Sale		CUSIP Number 07/18/11	Y2109Q101 05/20/13	2,033.52	3,678.76	0.00	(1,645.24)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DEVRY INC DEL 16,0000 Sale		CUSIP Number 01/25/11	251893103 04/01/13	495.23	761.63	0.00	(266.40)	
EURONET WORLDWIDE INC 64 0000 Sale		CUSIP Number 10/17/12	298736109 10/23/13	2,712.64	1,275.58	0.00	1,437.06	
EQUIFAX INC 93,0000 Sale 52,0000 Sale		CUSIP Number 07/13/11 07/13/11	294429105 03/26/13 04/08/13	5,245.70 2,958.28	3,203.14 1,791.01	0.00 0.00	2,042.56 1,167.27	
	Security Subtotal			8,203.98	4,994.15	0.00	3,209.83	
GOODRICH PETROLEUM NEW 63 0000 Sale 109 0000 Sale		CUSIP Number 09/23/11 09/23/11	382410405 09/03/13 09/13/13	1,425.60 2,965.03	840.38 1,454.00	0.00 0.00	585.22 1,511.03	
	Security Subtotal			4,390.63	2,294.38	0.00	2,096.25	
GLOBE SPECIALTY METALS INC 8 0000 Sale 35 0000 Sale 41 0000 Sale		CUSIP Number 10/20/11 11/16/11 11/16/11	37954N206 11/29/13 11/29/13 12/02/13	143.44 627.56 734.49	122.75 494.24 578.98	0.00 0.00 0.00	20.69 133.32 155.51	
	Security Subtotal			1,505.49	1,195.97	0.00	309.52	
OCWEN FINL CORP COM NEW 37,0000 Sale 22,0000 Sale		CUSIP Number 05/20/11 11/10/11	675746309 09/17/13 09/17/13	2,083.45 1,238.82	433.89 285.70	0.00 0.00	1,649.56 953.12	
	Security Subtotal			3,322.27	719.59	0.00	2,602.68	
RENAISSANCE HLDGS LTD 44 0000 Sale 15,0000 Sale		CUSIP Number 03/17/11 03/17/11	G7496G103 05/22/13 06/27/13	3,783.88 1,284.43	2,765.76 942.87	0.00 0.00	1,018.12 341.56	
	Security Subtotal			5,068.31	3,708.63	0.00	1,359.68	
SANDRIDGE ENERGY INC 25 0000 Sale 622 0000 Sale 269,0000 Sale		CUSIP Number 01/05/11 01/05/11 06/10/11	80007P307 05/28/13 05/29/13 05/29/13	128.74 3,204.61 1,385.92	185.52 4,615.61 2,809.60	0.00 0.00 0.00	(56.78) (1,411.00) (1,423.68)	

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	SANDRIDGE ENERGY INC							
248 0000	Sale	04/23/12	80007P307 05/29/13	1,277.73	1,698.73	0.00	(421.00)	
217 0000	Sale	04/23/12	05/30/13	1,117.85	1,486.38	0.00	(368.53)	
	Security Subtotal			7,114.85	10,795.84	0.00	(3,680.99)	
	UNIVERSAL HEALTH SVCS B							
48 0000	Sale	09/21/11	913903100 11/04/13	3,903.08	1,727.36	0.00	2,175.72	
20 0000	Sale	09/21/11	11/26/13	1,654.29	719.73	0.00	934.56	
	Security Subtotal			5,557.37	2,447.09	0.00	3,110.28	
	Covered Long Term Capital Gains and Losses Subtotal			66,820.93	45,448.52	0.00	21,372.41	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

	ALLEGHANY CORP DEL COM							
2 0000	Sale	02/09/09	017175100 11/14/13	788.14	578.98	0.00	209.16	
4 0000	Sale	02/09/09	11/22/13	1,581.52	1,157.96	0.00	423.56	
9 0000	Sale	02/09/09	12/19/13	3,565.18	2,605.40	0.00	959.78	
	Security Subtotal			5,934.84	4,342.34	0.00	1,592.50	
	AMERICAN FINL GRP HLDGS							
106 0000	Sale	02/09/09	025932104 04/01/13	5,009.66	1,879.06	0.00	3,130.60	
	BROWN SHOE CO INC COM							
47 0000	Sale	02/09/09	115736100 11/19/13	1,186.00	198.03	0.00	987.97	
60 0000	Sale	02/09/09	11/20/13	1,516.85	252.80	0.00	1,264.05	
	Security Subtotal			2,702.85	450.83	0.00	2,252.02	
	BABCOCK (THE) AND WILCOX CO SHS							
151 0000	Sale	02/09/09	05615F102 12/10/13	4,950.70	1,875.56	0.00	3,075.14	
28 0000	Sale	02/20/09	12/10/13	918.02	320.08	0.00	597.94	
	Security Subtotal			5,868.72	2,195.64	0.00	3,673.08	
	CHICOS FAS INC COM							
300 0000	Sale	02/10/09	168615102 10/10/13	4,929.81	1,308.63	0.00	3,621.18	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EMPLOYERS HLDGS INC								
43 0000	Sale	CUSIP Number 02/09/09	292218104 05/07/13	1,013.12	546.84	0.00	466.28	
FOREST LABS INC								
79 0000	Sale	CUSIP Number 05/26/10	345838106 07/01/13	3,275.55	2,044.39	0.00	1,231.16	
27 0000	Sale	09/03/10	07/01/13	1,119.50	789.75	0.00	329.75	
	Security Subtotal			4,395.05	2,834.14	0.00	1,560.91	
GOODRICH PETROLEUM NEW								
26 0000	Sale	CUSIP Number 04/24/09	382410405 04/12/13	387.30	529.68	0.00	(142.38)	
107 0000	Sale	04/24/09	04/16/13	1,555.85	2,179.85	0.00	(624.00)	
54 0000	Sale	09/27/10	04/16/13	785.20	763.02	0.00	22.18	
20 0000	Sale	09/27/10	09/03/13	452.57	282.60	0.00	169.97	
	Security Subtotal			3,180.92	3,755.15	0.00	(574.23)	
GULFPORT ENERGY NEW\$0.01								
119 0000	Sale	CUSIP Number 02/20/09	402635304 02/05/13	5,037.12	316.54	0.00	4,720.58	
99 0000	Sale	02/20/09	04/25/13	5,120.83	263.34	0.00	4,857.49	
	Security Subtotal			10,157.95	579.88	0.00	9,578.07	
JACK IN THE BOX INC								
17 0000	Sale	CUSIP Number 10/20/09	466367109 12/16/13	818.22	340.81	0.00	477.41	
56 0000	Sale	10/20/09	12/17/13	2,696.83	1,122.65	0.00	1,574.18	
	Security Subtotal			3,515.05	1,463.46	0.00	2,051.59	
MAIDENFORM BRANDS INC								
169 0000	Exchange	CUSIP Number 02/09/09	560305104 10/07/13	3,971.49	1,537.80	0.00	2,433.69	
118 0000	Exchange	02/10/09	10/07/13	2,773.00	1,043.05	0.00	1,729.95	
	Security Subtotal			6,744.49	2,580.85	0.00	4,163.64	
MCDERMOTT INTL INC								
303 0000	Sale	CUSIP Number 02/09/09	580037109 08/14/13	2,203.00	2,054.16	0.00	148.84	
55 0000	Sale	02/20/09	08/14/13	399.89	342.62	0.00	57.27	
	Security Subtotal			2,602.89	2,396.78	0.00	206.11	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
OLD NATL BANCORP INC								
92 0000	Sale	07/20/09	01/18/13	1,181.66	887.83	0.00	293.83	
78 0000	Sale	09/02/09	01/18/13	1,001.85	806.78	0.00	195.07	
26 0000	Sale	09/27/10	01/18/13	333.95	275.86	0.00	58.09	
	Security Subtotal			2,517.46	1,970.47	0.00	546.99	
POWER-ONE INC								
781 0000	Exchange	02/20/09	73930R102 07/26/13	4,959.35	663.38	0.00	4,295.97	
POST HOLDINGS INC SHS								
25 0000	Sale	09/23/09	737446104 01/18/13	895.84	444.98	0.00	450.86	
5 0000	Sale	09/21/10	01/18/13	179.17	89.08	0.00	90.09	
6 0000	Sale	09/21/10	01/22/13	216.74	106.90	0.00	109.84	
20 0000	Sale	10/19/10	01/22/13	722.49	365.77	0.00	356.72	
	Security Subtotal			2,014.24	1,006.73	0.00	1,007.51	
RALCORP HLDGS INC NEW								
50 0000	Exchange	09/23/09	751028101 01/30/13	4,500.00	2,482.56	0.00	2,017.44	
23 0000	Exchange	09/21/10	01/30/13	2,069.99	1,143.10	0.00	926.89	
40 0000	Exchange	10/19/10	01/30/13	3,600.00	2,040.64	0.00	1,559.36	
	Security Subtotal			10,169.99	5,666.30	0.00	4,503.69	
SYMMETRICOM INC								
326 0000	Exchange	02/10/09	871543104 12/05/13	2,340.68	1,357.82	0.00	982.86	
27 0000	Exchange	09/27/10	12/05/13	193.86	147.96	0.00	45.90	
	Security Subtotal			2,534.54	1,505.78	0.00	1,028.76	
SAIA INC								
89 0000	Sale	02/09/09	78709Y105 03/14/13	3,170.20	1,045.91	0.00	2,124.29	
57 0000	Sale	02/09/09	04/26/13	2,253.41	669.85	0.00	1,583.56	
15 0000	Sale	02/09/09	08/02/13	472.63	117.52	0.00	355.11	
56 0000	Sale	02/09/09	10/14/13	1,846.75	438.73	0.00	1,408.02	
	Security Subtotal			7,742.99	2,272.01	0.00	5,470.98	
Noncovered Long Term Capital Gains and Losses Subtotal				85,993.92	37,418.27	0.00	48,575.65	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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NET LONG TERM CAPITAL GAINS AND LOSSES

152,814.85	82,866.79	0.00	69,948.06
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TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES
TOTAL REPORTED SALES PROCEEDS

169,664.29	169,664.29
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2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	(306.03)
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NONCOVERED SHORT TERM GAINS/LOSSES	0.00
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COVERED LONG TERM GAINS/LOSSES	21,372.41
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NONCOVERED LONG TERM GAINS/LOSSES	48,575.65
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NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ACUTY BRANDS INC	1.0000	Sale	CUSIP Number 07/09/13	11/14/13	104.93	84.33	0.00	20.60	
BROADSOFT INC	39.0000	Sale	CUSIP Number 03/04/13	12/09/13	980.66	837.26	0.00	143.40	
	34.0000	Sale		12/10/13	847.19	729.91	0.00	117.28	
Security Subtotal					1,827.85	1,567.17	0.00	260.68	
CYBERONICS INC	2.0000	Sale	CUSIP Number 02/05/13	11/14/13	119.23	93.32	0.00	25.91	
DECKERS OUTDOORS CORP	1.0000	Sale	CUSIP Number 10/28/13	11/14/13	77.19	69.34	0.00	7.85	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DEL FRISCOS RESTAURANT GROUP INC								
		CUSIP Number	245077102					
110 0000	Sale	07/30/12	07/29/13	2,323.19	1,441.08	0.00	882.11	
21 0000	Sale	07/30/12	07/30/13	444.38	275.12	0.00	169.26	
28 0000	Sale	07/31/12	07/30/13	592.51	368.15	0.00	224.36	
26 0000	Sale	07/31/12	07/31/13	546.96	341.85	0.00	205.11	
	Security Subtotal			3,907.04	2,426.20	0.00	1,480.84	
DIAMONDBACK ENERGY INC								
1 0000	Sale	CUSIP Number	25278X109					
		11/07/13	11/14/13	53.16	53.91	0.00	(0.75)	
EVERTEC INC								
3 0000	Sale	CUSIP Number	30040P103					
		04/15/13	11/14/13	68.08	59.78	0.00	8.30	
FOSTER WHEELER AG								
1 0000	Sale	CUSIP Number	H27178104					
		10/01/13	11/14/13	29.64	26.82	0.00	2.82	
FUSION-IO INC COM								
52 0000	Sale	CUSIP Number	36112J107					
108 0000	Sale	11/01/12	09/24/13	722.63	1,282.13	0.00	(559.50)	
		11/02/12	09/24/13	1,500.86	2,652.69	0.00	(1,151.83)	
	Security Subtotal			2,223.49	3,934.82	0.00	(1,711.33)	
HMS HLDGS CORP								
9 0000	Sale	CUSIP Number	40425J101					
		03/07/13	11/14/13	192.68	282.69	0.00	(90.01)	
HAIN CELESTIAL GROUP INC								
2 0000	Sale	CUSIP Number	405217100					
		07/16/13	11/14/13	169.29	146.32	0.00	22.97	
INVENSENSE INC USD0.001								
3 0000	Sale	CUSIP Number	46123D205					
		11/12/13	11/14/13	50.90	49.77	0.00	1.13	
LIQUIDITY SVCS INC								
22 0000	Sale	CUSIP Number	53635B107					
60 0000	Sale	11/30/12	06/07/13	771.66	871.16	0.00	(99.50)	
		11/30/12	06/10/13	2,038.78	2,375.89	0.00	(337.11)	
	Security Subtotal			2,810.44	3,247.05	0.00	(436.61)	
LIFELOCK INC COMMON STOCK								
2 0000	Sale	CUSIP Number	53224V100					
		04/01/13	11/14/13	35.21	18.68	0.00	16.53	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LOUISIANA PAC CORP								
168 0000	Sale	06/22/12	01/02/13	3,202.67	1,741.71	0.00	1,460.96	
69 0000	Sale	06/22/12	01/09/13	1,451.16	715.34	0.00	735.82	
	Security Subtotal			4,653.83	2,457.05	0.00	2,196.78	
OPNET TECHNOLOGIES INC								
54.0000	Exchange	02/16/12	01/18/13	2,260.18	1,595.27	0.00	664.91	
OPENTABLE INC								
2 0000	Sale	05/23/13	01/14/13	169.78	134.54	0.00	35.24	
PTC INC SHS								
3 0000	Sale	12/28/12	11/14/13	96.87	67.97	0.00	28.90	
PINNACLE FOOD INC DEL								
8 0000	Sale	04/01/13	11/14/13	226.36	182.32	0.00	44.04	
RIVERBED TECHNOLOGY INC								
37.0000	Sale	01/18/13	07/31/13	580.96	707.62	0.00	(126.66)	
RYLAND GROUP INC								
153 0000	Sale	09/21/12	06/24/13	5,843.08	5,146.35	0.00	696.73	
56 0000	Sale	10/18/12	06/24/13	2,138.65	1,815.83	0.00	322.82	
56 0000	Sale	11/20/12	06/24/13	2,138.65	1,915.83	0.00	222.82	
	Security Subtotal			10,120.38	8,878.01	0.00	1,242.37	
SOURCEFIRE INC								
86.0000	Exchange	02/19/13	10/09/13	6,536.00	3,754.77	0.00	2,781.23	
SILVER BAY RLTY CORP								
32 0000	Sale	04/24/13	04/30/13	608.05	620.80	0.00	(12.75)	
SEAWORLD ENTMT INC.								
184 0000	Sale	04/22/13	12/12/13	5,410.91	6,130.86	0.00	(719.95)	
27 0000	Sale	04/23/13	12/12/13	794.00	890.65	0.00	(96.65)	
	Security Subtotal			6,204.91	7,021.51	0.00	(816.60)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TANGER FACTORY OUTLT CEN REIT								
		CUSIP Number	875465106					
167,0000	Sale	08/07/12	07/16/13	5,816.93	5,612.35	0.00	204.58	
24,0000	Sale	08/07/12	07/17/13	837.84	806.58	0.00	31.26	
	Security Subtotal			6,654.77	6,418.93	0.00	235.84	
TEAM HEALTH HOLDINGS INC								
		CUSIP Number	87817A107					
2,0000	Sale	01/29/13	11/14/13	91.46	68.25	0.00	23.21	
USG CORP COM NEW								
		CUSIP Number	903293405					
38,0000	Sale	07/25/12	02/22/13	1,055.43	645.82	0.00	409.61	
68,0000	Sale	07/25/12	02/25/13	1,897.68	1,155.67	0.00	742.01	
	Security Subtotal			2,953.11	1,801.49	0.00	1,151.62	
VIROPHARMA INC COM								
		CUSIP Number	928241108					
3,0000	Sale	01/22/13	11/14/13	148.46	81.35	0.00	67.11	
260,0000	Sale	01/22/13	12/05/13	12,895.49	7,050.42	0.00	5,845.07	
	Security Subtotal			13,043.95	7,131.77	0.00	5,912.18	
WALTER ENERGY INC								
		CUSIP Number	93317Q105					
208,0000	Sale	05/20/13	08/07/13	2,095.87	4,000.78	0.00	(1,904.91)	
89,0000	Sale	06/19/13	08/07/13	896.80	1,166.76	0.00	(269.96)	
	Security Subtotal			2,992.67	5,167.54	0.00	(2,174.87)	
WELLCARE HLTH PLANS INC								
		CUSIP Number	94946T106					
30,0000	Sale	08/20/12	01/08/13	1,391.09	1,654.05	0.00	(262.96)	
38,0000	Sale	08/20/12	01/09/13	1,764.26	2,095.13	0.00	(330.87)	
46,0000	Sale	08/20/12	05/22/13	2,372.16	2,536.22	0.00	(164.06)	
5,0000	Sale	09/11/12	05/22/13	257.85	306.21	0.00	(48.36)	
46,0000	Sale	09/11/12	05/23/13	2,362.17	2,817.17	0.00	(455.00)	
	Security Subtotal			8,147.53	9,408.78	0.00	(1,261.25)	
Covered Short Term Capital Gains and Losses Subtotal				77,009.94	67,476.82	0.00	9,533.12	
NET SHORT TERM CAPITAL GAINS AND LOSSES				77,009.94	67,476.82	0.00	9,533.12	

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ACORDA THERAPEUTICS INC 3 0000 Sale	CUSIP Number 10/08/12	00484M106 11/14/13	101.23	78.22	0.00	23.01	
ARIAD P NEW COM\$0.001RTD 223 0000 Sale 56 0000 Sale 93 0000 Sale	CUSIP Number 03/15/12 05/14/12 05/31/12	04033A100 10/09/13 10/09/13 10/09/13	1,136.36 285.36 473.92	3,457.92 952.27 1,507.94	0.00 0.00 0.00	(2,321.56) (666.91) (1,034.02)	
Security Subtotal			1,895.64	5,918.13	0.00	(4,022.49)	
ATWOOD OCEANICS INC 72 0000 Sale	CUSIP Number 10/12/11	050095108 06/27/13	3,740.39	2,823.43	0.00	916.96	
BROADSOFT INC 6 0000 Sale 81 0000 Sale 15 0000 Sale	CUSIP Number 10/11/11 10/11/11 12/20/11	11133B409 11/14/13 12/09/13 12/09/13	160.19 2,036.74 377.17	201.59 2,721.41 521.02	0.00 0.00 0.00	(41.40) (684.67) (143.85)	
Security Subtotal			2,574.10	3,444.02	0.00	(869.92)	
CUBIST PHARMACEUTICALS 4 0000 Sale	CUSIP Number 11/22/11	229678107 11/14/13	254.68	143.89	0.00	110.79	
CONCUR TECHNOLOGIES INC 1 0000 Sale	CUSIP Number 08/12/11	206708109 11/14/13	96.98	37.65	0.00	59.33	
CASH AMERICAN INTL INC 12 0000 Sale	CUSIP Number 10/28/11	14754D100 11/21/13	445.70	668.28	0.00	(222.58)	
COLFAX CORP 2 0000 Sale	CUSIP Number 02/08/12	194014106 11/14/13	117.18	65.99	0.00	51.19	
CHEMTURA CORP. COM STK 4 0000 Sale	CUSIP Number 04/09/12	163893209 11/14/13	97.31	66.72	0.00	30.59	
CHICOS FAS INC COM 224 0000 Sale	CUSIP Number 02/23/12	168615102 04/01/13	3,716.15	3,345.36	0.00	370.79	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
COGNEX CORP								
2.0000	Sale	CUSIP Number 08/25/11	192422103 11/14/13	63.83	32.83	0.00	31.00	
DOMINOS PIZZA INC								
1.0000	Sale	CUSIP Number 08/15/12	25754A201 11/14/13	69.18	34.21	0.00	34.97	
DEL FRISCOS RESTAURANT GROUP INC								
8.0000	Sale	CUSIP Number 07/31/12	245077102 08/01/13	167.45	105.19	0.00	62.26	
EZCHIP SEMICONDUCTOR LTD								
97.0000	Sale	CUSIP Number 11/07/11	M4146Y108 09/25/13	2,369.69	3,072.52	0.00	(702.83)	
12.0000	Sale	08/08/12	09/25/13	293.16	355.84	0.00	(62.68)	
107.0000	Sale	08/08/12	09/26/13	2,705.69	3,172.94	0.00	(467.25)	
	Security Subtotal			5,368.54	6,601.30	0.00	(1,232.76)	
FORTINET INC								
152.0000	Sale	CUSIP Number 10/07/11	34959E109 02/19/13	3,569.63	2,739.18	0.00	830.45	
12.0000	Sale	12/29/11	02/19/13	281.82	260.10	0.00	21.72	
6.0000	Sale	12/29/11	11/14/13	119.77	130.05	0.00	(10.28)	
	Security Subtotal			3,971.22	3,129.33	0.00	841.89	
FUSION-IO INC COM								
118.0000	Sale	CUSIP Number 04/13/12	36112J107 09/24/13	1,639.81	3,297.09	0.00	(1,657.28)	
128.0000	Sale	04/27/12	09/24/13	1,778.78	3,590.58	0.00	(1,811.80)	
	Security Subtotal			3,418.59	6,887.67	0.00	(3,469.08)	
GLIMCHER REALTY TR SBI REIT								
11.0000	Sale	CUSIP Number 07/05/12	379302102 11/14/13	108.94	111.89	0.00	(2.95)	
GULFPORT ENERGY NEW\$0.01								
2.0000	Sale	CUSIP Number 10/20/11	402635304 11/14/13	117.97	60.13	0.00	57.84	
HEXCEL CORP NEW COM								
4.0000	Sale	CUSIP Number 10/07/11	428291108 11/14/13	172.92	90.02	0.00	82.90	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
KRATON PERFORMANCE POLYMERS INC								
		CUSIP Number	50077C106					
50 0000	Sale	06/09/11	06/04/13	1,019.48	1,938.30	0.00	(918.82)	
26 0000	Sale	06/10/11	06/04/13	530.13	989.54	0.00	(459.41)	
88 0000	Sale	06/10/11	06/05/13	1,794.78	3,349.23	0.00	(1,554.45)	
18 0000	Sale	01/31/12	06/05/13	367.12	506.29	0.00	(139.17)	
	Security Subtotal			3,711.51	6,783.36	0.00	(3,071.85)	
LOUISIANA PAC CORP								
		CUSIP Number	546347105					
243 0000	Sale	06/22/12	08/06/13	4,004.45	2,519.25	0.00	1,485.20	
8 0000	Sale	06/22/12	11/14/13	131.11	82.94	0.00	48.17	
	Security Subtotal			4,135.56	2,602.19	0.00	1,533.37	
MONSTER WORLDWIDE INC								
		CUSIP Number	611742107					
61 0000	Sale	09/02/11	09/13/13	292.72	530.04	0.00	(237.32)	
341 0000	Sale	09/02/11	09/16/13	1,578.35	2,963.02	0.00	(1,384.67)	
217 0000	Sale	03/02/12	09/16/13	1,004.41	1,676.41	0.00	(672.00)	
212 0000	Sale	04/09/12	09/16/13	981.27	1,944.02	0.00	(962.75)	
221 0000	Sale	05/18/12	09/16/13	1,022.93	1,909.33	0.00	(886.40)	
90 0000	Sale	05/18/12	09/17/13	404.85	777.55	0.00	(372.70)	
	Security Subtotal			5,284.53	9,800.37	0.00	(4,515.84)	
MWI VETERINARY SUPPLY INC								
		CUSIP Number	55402X105					
1 0000	Sale	11/08/11	11/14/13	161.10	70.18	0.00	90.92	
NICE SYSTS LTD SP5D ADR								
		CUSIP Number	653656108					
1 0000	Sale	03/27/12	11/14/13	37.98	39.49	0.00	(1.51)	
ONYX PHARMACEUTICALS								
		CUSIP Number	683399109					
53 0000	Sale	11/23/11	01/18/13	4,061.68	2,031.69	0.00	2,029.99	
20 0000	Sale	11/23/11	02/12/13	1,456.66	766.67	0.00	689.99	
72 0000	Sale	12/20/11	02/12/13	5,243.99	2,929.41	0.00	2,314.58	
	Security Subtotal			10,762.33	5,727.77	0.00	5,034.56	
OPNET TECHNOLOGIES INC								
		CUSIP Number	683757108					
82 0000	Exchange	11/11/11	01/18/13	3,432.13	2,976.82	0.00	455.31	
OASIS PETE INC NEW								
		CUSIP Number	674215108					
4 0000	Sale	08/25/11	11/14/13	203.87	96.31	0.00	107.56	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PINNACLE ENTMT INC 101 0000 Sale	COM	CUSIP Number 10/28/11	723456109 11/19/13	2,381.91	1,140.57	0.00	1,241.34	
PROASSURANCE CORP 1 0000 Sale		CUSIP Number 06/08/12	74267C106 11/14/13	46.24	44.68	0.00	1.56	
RTI INTL METALS INC OHIO 2.0000 Sale		CUSIP Number 07/15/11	74973W107 11/14/13	68.25	64.96	0.00	3.29	
RIVERBED TECHNOLOGY INC COM		CUSIP Number 05/31/12	768573107 07/31/13	1,868.53	1,905.50	0.00	(36.97)	
REGAL BELOIT CORP WIS 12.0000 Sale		CUSIP Number 11/28/11	758750103 07/11/13	788.34	603.71	0.00	184.63	
SAPIENT CORP 92 0000 Sale 126 0000 Sale		CUSIP Number 08/08/11	803062108 03/05/13 03/06/13	1,061.58 1,474.46 2,536.04	1,098.60 1,504.60 2,603.20	0.00 0.00 0.00	(37.02) (30.14) (67.16)	
Security Subtotal								
SHFL ENTERTAINMENT INC 49 0000 Sale		CUSIP Number 01/24/12	78423R105 10/02/13	1,127.37	618.79	0.00	508.58	
STIFEL FINANCIAL CORP 1.0000 Sale		CUSIP Number 08/29/11	860630102 11/14/13	43.60	30.43	0.00	13.17	
TEXAS INDUST INC 3 0000 Sale		CUSIP Number 10/05/12	882491103 11/14/13	174.05	128.25	0.00	45.80	
TITANIUM METALS CORP NEW 167.0000 Exchange		CUSIP Number 05/31/11	888339207 02/07/13	2,755.51	3,095.40	0.00	(339.89)	
TWO HARBORS INVT CORP SHS		CUSIP Number 07/28/11	90187B101 11/14/13	162.18	155.25	0.00	6.93	
THERMON GROUP HLDGS INC 3.0000 Sale		CUSIP Number 09/27/12	88362T103 11/14/13	79.77	74.72	0.00	5.05	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TUMI HLDGS INC 3.0000 Sale		CUSIP Number 11/09/12	89969Q104 11/14/13	68.30	63.51	0.00	4.79	
TEREX CORP DEL 1.0000 Sale	NEW COM	CUSIP Number 01/27/12	880779103 11/14/13	35.70	20.52	0.00	15.18	
UNITED NAT FOODS INC 71.0000 Sale		CUSIP Number 12/28/11	911163103 03/25/13	3,413.46	2,834.11	0.00	579.35	
UNITED THERAPEUTICS CORP 5.0000 Sale		CUSIP Number 11/21/11	91307C102 11/14/13	466.20	203.14	0.00	263.06	
U S AWYS GROUP INC 5.0000 Sale		CUSIP Number 09/11/12	90341W108 11/14/13	118.05	59.61	0.00	58.44	
USG CORP COM NEW 5.0000 Sale		CUSIP Number 07/25/12	903293405 11/14/13	137.75	84.98	0.00	52.77	
ZILLOW INC SHS 1.0000 Sale	CL A	CUSIP Number 11/05/12	98954A107 11/14/13	83.08	34.48	0.00	48.60	
WEB COM GROUP INC 191.0000 - Sale		CUSIP Number 06/04/12	94733A104 10/17/13	5,733.78	2,908.76	0.00	2,825.02	
Covered Long Term Capital Gains and Losses Subtotal				76,315.12	78,415.32	0.00	(2,100.20)	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

A N S Y S INC 1.0000 Sale	COM	CUSIP Number 05/20/10	03662Q105 11/14/13	84.36	43.06	0.00	41.30	
ATLAS AIR WORLDWIDE HLDS NEW COM STOC 90.0000 Sale		CUSIP Number 09/21/10	049164205 08/09/13	3,841.68	4,818.01	0.00	(976.33)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BJS RESTAURANTS INC								
37.0000	Sale	CUSIP Number 04/08/09	09180C106 10/25/13	960.33	524.59	0.00	435.74	
57.0000	Sale	07/09/09	10/25/13	1,479.44	933.82	0.00	545.62	
50.0000	Sale	07/10/09	10/25/13	1,297.76	839.87	0.00	457.89	
24.0000	Sale	05/20/10	10/25/13	622.93	539.28	0.00	83.65	
	Security Subtotal			4,360.46	2,837.56	0.00	1,522.90	
BIOMARIN PHARMACEUTICALS								
84.0000	Sale	CUSIP Number 05/20/10	09061G101 06/18/13	4,848.00	1,609.22	0.00	3,238.78	
BALLY TECHNOLOGIES INC								
2.0000	Sale	CUSIP Number 05/20/10	05874B107 11/14/13	145.25	83.49	0.00	61.76	
CASH AMERICAN INTL INC								
3.0000	Sale	CUSIP Number 05/20/10	14754D100 11/14/13	116.31	107.49	0.00	8.82	
47.0000	Sale	05/20/10	11/19/13	1,801.97	1,684.00	0.00	117.97	
62.0000	Sale	05/20/10	11/20/13	2,332.93	2,221.45	0.00	111.48	
22.0000	Sale	05/20/10	11/21/13	817.10	788.25	0.00	28.85	
	Security Subtotal			5,068.31	4,801.19	0.00	267.12	
CATAMARAN CORP SHS								
80.0000	Sale	CUSIP Number 11/11/10	148887102 02/28/13	4,294.51	2,667.80	0.00	1,626.71	
84.0000	Sale	11/22/10	02/28/13	4,509.24	2,786.32	0.00	1,722.92	
	Security Subtotal			8,803.75	5,454.12	0.00	3,349.63	
COHERENT INC CAL								
1.0000	Sale	CUSIP Number 05/20/10	192479103 11/14/13	66.15	34.49	0.00	31.66	
DUFF AND PHELPS CORP NEW								
107.0000	Sale	CUSIP Number 05/20/10	26433B107 01/30/13	1,677.61	1,546.76	0.00	130.85	
110.0000	Sale	05/20/10	01/31/13	1,723.32	1,590.13	0.00	133.19	
	Security Subtotal			3,400.93	3,136.89	0.00	264.04	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FRESH MKT INC								
	COMMON STOCK	CUSIP Number	35804H106					
10 0000	Sale	11/08/10	11/14/13	506.71	323.96	0.00	182.75	
30 0000	Sale	11/08/10	11/22/13	1,232.79	971.86	0.00	260.93	
20 0000	Sale	11/09/10	11/22/13	821.86	655.74	0.00	166.12	
	Security Subtotal			2,561.36	1,951.56	0.00	609.80	
GEO GROUP INC								
12 0000	Sale	12/11/06	11/14/13	408.83	228.68	0.00	180.15	
GEOSPACE TECHNOLOGIES								
	CORP SHS	CUSIP Number	37364X109					
3 0000	Sale	05/20/10	11/14/13	305.24	67.73	0.00	237.51	
GENESCO INC								
6 0000	Sale	05/20/10	11/14/13	424.88	173.71	0.00	251.17	
49 0000	Sale	05/20/10	11/19/13	3,489.97	1,418.62	0.00	2,071.35	
20 0000	Sale	05/20/10	11/20/13	1,434.47	579.03	0.00	855.44	
	Security Subtotal			5,349.32	2,171.36	0.00	3,177.96	
HERBALIFE LTD								
101 0000	Sale	05/20/10	01/29/13	4,003.68	2,232.71	0.00	1,770.97	
HUNTSMAN CORP								
8 0000	Sale	05/20/10	11/14/13	186.09	70.47	0.00	115.62	
INTREPID POTASH INC								
174 0000	Sale	08/06/10	02/28/13	3,447.99	4,464.01	0.00	(1,016.02)	
8 0000	Sale	08/06/10	03/01/13	155.80	205.24	0.00	(49.44)	
	Security Subtotal			3,603.79	4,669.25	0.00	(1,065.46)	
JETBLUE AIRWAYS CORP DEL								
4 0000	Sale	10/20/10	11/14/13	34.55	28.52	0.00	6.03	
LANDSTAR SYS INC COM								
2 0000	Sale	05/20/10	11/14/13	112.73	84.40	0.00	28.33	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LUFKIN INDS INC								
		CUSIP Number	549764108					
24,0000	Sale	05/20/10	03/21/13	1,550.86	915.96	0.00	634.90	
37,0000	Sale	05/20/10	03/22/13	2,386.15	1,412.10	0.00	974.05	
149,0000	Sale	05/20/10	04/15/13	13,131.04	5,686.59	0.00	7,444.45	
	Security Subtotal			17,068.05	8,014.65	0.00	9,053.40	
MONSTER WORLDWIDE INC								
		CUSIP Number	611742107					
244,0000	Sale	05/20/10	09/13/13	1,170.88	3,603.27	0.00	(2,432.39)	
MEDASSETS INC								
		CUSIP Number	584045108					
5,0000	Sale	05/20/10	11/14/13	109.10	114.64	0.00	(5.54)	
PAREXEL INTRNL CORP								
		CUSIP Number	699462107					
29,0000	Sale	12/20/10	11/01/13	1,321.05	616.57	0.00	704.48	
1,0000	Sale	12/20/10	11/14/13	43.95	21.26	0.00	22.69	
82,0000	Sale	12/20/10	11/19/13	3,386.74	1,743.42	0.00	1,643.32	
	Security Subtotal			4,751.74	2,381.25	0.00	2,370.49	
RIVERBED TECHNOLOGY INC								
		CUSIP Number	768573107					
225,0000	Sale	08/25/09	07/31/13	3,532.91	2,201.78	0.00	1,331.13	
34,0000	Sale	08/30/10	07/31/13	533.86	658.22	0.00	(124.36)	
	Security Subtotal			4,066.77	2,860.00	0.00	1,206.77	
REGAL BELOIT CORP W/S								
		CUSIP Number	758750103					
55,0000	Sale	05/20/10	02/25/13	4,217.59	3,193.85	0.00	1,023.74	
25,0000	Sale	05/20/10	07/10/13	1,633.34	1,451.75	0.00	181.59	
56,0000	Sale	05/20/10	07/11/13	3,678.88	3,251.91	0.00	426.97	
	Security Subtotal			9,529.81	7,897.51	0.00	1,632.30	
SAPIENT CORP								
		CUSIP Number	803062108					
81,0000	Sale	12/27/10	03/05/13	934.64	997.19	0.00	(62.55)	
SALIX PHRMCTCLS LTD COM								
		CUSIP Number	795435106					
60,0000	Sale	05/20/10	11/08/13	4,960.53	2,146.98	0.00	2,813.55	
2,0000	Sale	09/20/10	11/08/13	165.36	83.25	0.00	82.11	
5,0000	Sale	09/20/10	11/14/13	440.81	208.13	0.00	232.68	
	Security Subtotal			5,566.70	2,438.36	0.00	3,128.34	

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SEATTLE GENETICS INC COM								
3.0000	Sale	CUSIP Number 05/20/10	812578102 11/14/13	123.95	37.10	0.00	86.85	
SIRONA DENTAL SYSTEMS INC								
3.0000	Sale	CUSIP Number 09/20/10	82966C103 11/14/13	215.15	112.36	0.00	102.79	
43.0000	Sale	CUSIP Number 09/20/10	12/10/13	2,897.26	1,610.52	0.00	1,286.74	
19.0000	Sale	CUSIP Number 09/20/10	12/11/13	1,275.13	711.63	0.00	563.50	
25.0000	Sale	CUSIP Number 10/14/10	12/11/13	1,677.81	929.05	0.00	748.76	
Security Subtotal				6,065.35	3,363.56	0.00	2,701.79	
SHFL ENTERTAINMENT INC								
469.0000	Sale	CUSIP Number 05/20/10	78423R105 10/02/13	10,790.46	4,091.27	0.00	6,699.19	
SOTHEBY'S (DELAWARE)								
64.0000	Sale	CUSIP Number 05/20/10	835898107 11/12/13	3,373.75	1,921.62	0.00	1,452.13	
TITANIUM METALS CORP NEW								
374.0000	Exchange	CUSIP Number 05/20/10	888339207 02/07/13	6,170.99	5,740.90	0.00	430.09	
THORATEC CORP COM NEW								
4.0000	Sale	CUSIP Number 05/20/10	885175307 11/14/13	171.91	162.28	0.00	9.63	
TRIUMPH GROUP INC NEW								
62.0000	Sale	CUSIP Number 05/20/10	896818101 07/11/13	5,153.49	2,079.22	0.00	3,074.27	
76.0000	Sale	CUSIP Number 05/20/10	11/01/13	5,465.60	2,548.72	0.00	2,916.88	
68.0000	Sale	CUSIP Number 06/18/10	11/01/13	4,890.28	2,376.89	0.00	2,513.39	
Security Subtotal				15,509.37	7,004.83	0.00	8,504.54	
UMB FINANCIAL CORP								
1.0000	Sale	CUSIP Number 05/20/10	902788108 11/14/13	62.11	39.49	0.00	22.62	
WASTE CONNECTIONS INC								
7.0000	Sale	CUSIP Number 05/20/10	941053100 11/14/13	298.98	161.27	0.00	137.71	
WABCO HOLDINGS INC								
1.0000	Sale	CUSIP Number 06/22/10	92927K102 11/14/13	87.18	35.05	0.00	52.13	
Noncovered Long Term Capital Gains and Losses Subtotal				133,036.22	85,186.95	0.00	47,849.27	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
				209,351.34	163,602.27	0.00	45,749.07	

NET LONG TERM CAPITAL GAINS AND LOSSES

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline. Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available)

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ABB LTD	SPON ADR	CUSIP Number	000375204					
159 0000	Sale	11/15/12	06/06/13	3,455.79	2,837.56	0.00	618.23	
ASTRAZENECA PLC SPND ADR		CUSIP Number	046353108					
10 0000	Sale	06/12/12	06/06/13	513.10	415.61	0.00	97.49	
AMERICA MOVIL SAB DE CV ADR		CUSIP Number	02364W105					
45 0000	Sale	06/06/13	12/10/13	1,010.65	884.52	0.00	126.13	
ADIDAS AG SPONSORED ADR		CUSIP Number	00687A107					
62.0000	Sale	06/06/13	08/14/13	3,481.60	3,367.84	0.00	113.76	
AUST NW Z B G ADR		CUSIP Number	052528304					
16.0000	Sale	06/12/12	06/06/13	413.08	347.61	0.00	65.47	
BARRICK GOLD CORPORATION		CUSIP Number	067901108					
180.0000	Sale	12/24/12	04/16/13	3,577.66	6,068.30	0.00	(2,490.64)	
66.0000	Sale	02/04/13	04/16/13	1,311.82	2,141.06	0.00	(829.24)	
Security Subtotal				4,889.48	8,209.36	0.00	(3,319.88)	

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BARCLAYS PLC	ADR	CUSIP Number	06738E204					
211.0000	Sale	10/05/12	06/06/13	3,990.30	3,066.84	0.00	923.46	
BG GROUP PLC	SPON ADR	CUSIP Number	055434203					
422.0000	Sale	06/06/13	09/20/13	8,101.12	7,665.04	0.00	436.08	
BAIDU INC SPON ADR		CUSIP Number	056752108					
25.0000	Sale	06/06/13	09/25/13	3,784.47	2,445.64	0.00	1,338.83	
16.0000	Sale	06/06/13	10/21/13	2,578.90	1,565.21	0.00	1,013.69	
	Security Subtotal			6,363.37	4,010.85	0.00	2,352.52	
BHP BILLITON LTD	ADR	CUSIP Number	088606108					
19.0000	Sale	11/06/12	08/28/13	1,202.54	1,386.45	0.00	(183.91)	
BRAMBLES LTD SHS		CUSIP Number	105105100					
110.0000	Sale	06/06/13	07/19/13	1,811.88	1,914.00	0.00	(102.12)	
BANCO DO BRASIL SA-SPON		CUSIP Number	059578104					
153.0000	Sale	09/05/12	06/06/13	1,744.17	1,820.70	0.00	(76.53)	
CREDIT SUISSE GP SP ADR		CUSIP Number	225401108					
	Cash/Lieu	12/14/12	05/31/13	17.68	13.58	0.00	4.10	
185.0000	Sale	11/29/12	06/06/13	5,243.27	4,242.28	0.00	1,000.99	
91.0000	Sale	12/14/12	06/06/13	2,579.12	2,178.01	0.00	401.11	
111.0000	Sale	05/01/13	06/06/13	3,145.97	3,074.33	0.00	71.64	
	Security Subtotal			10,986.04	9,508.20	0.00	1,477.84	
COMPASS GROUP PLC ADR		CUSIP Number	20449X203					
206.0000	Sale	06/06/13	07/12/13	2,779.34	2,713.02	0.00	66.32	
CIE GENERALE DES ETABLISSEMENTS MICHELIN		CUSIP Number	59410T106					
76.0000	Sale	11/08/12	06/06/13	1,345.94	1,278.68	0.00	67.26	
DANSKE BK A/S UNSPNS ADR		CUSIP Number	236363107					
44.0000	Sale	06/12/12	06/06/13	431.20	300.96	0.00	130.24	
DEUTSCHE LUFT AG SPN ADR		CUSIP Number	251561304					
111.0000	Sale	06/12/12	06/06/13	2,434.19	1,115.77	0.00	1,318.42	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DEUTSCHE POST AG SHS								
SP ADR		CUSIP Number	25157Y202					
56 0000	Sale	06/06/13	11/15/13	1,896.77	1,432.14	0.00	464.63	
ENI S P A SPONSORED ADR								
30 0000	Sale	CUSIP Number	26874R108					
		11/06/12	06/06/13	1,347.91	1,373.27	0.00	(25.36)	
EAST JAPAN RY CO ADR								
26 0000	Sale	CUSIP Number	273202101					
		06/12/12	06/06/13	319.54	251.42	0.00	68.12	
FRESENIUS MEDICAL CARE AG & CO SPON ADR								
68 0000	Sale	CUSIP Number	358029106					
6 0000	Sale	06/06/13	07/08/13	2,110.60	2,339.19	0.00	(228.59)	
20 0000	Sale	06/06/13	07/09/13	189.30	206.40	0.00	(17.10)	
69 0000	Sale	06/06/13	08/08/13	653.92	688.00	0.00	(34.08)	
	Sale	06/06/13	08/09/13	2,249.50	2,373.59	0.00	(124.09)	
Security Subtotal				5,203.32	5,607.18	0.00	(403.86)	
FANUC LTD-UNSP								
87 0000	Sale	CUSIP Number	307305102					
		06/06/13	11/27/13	2,413.25	2,098.96	0.00	314.29	
GLAXOSMITHKLINE PLC ADR								
11 0000	Sale	CUSIP Number	37733W105					
		06/12/12	06/06/13	558.29	497.07	0.00	61.22	
GAZPROM SPON ADR								
308 0000	Sale	CUSIP Number	368287207					
		06/06/13	07/05/13	2,051.10	2,236.08	0.00	(184.98)	
GALAXY ENTMT GROUP LTD SPON ADR								
31 0000	Sale	CUSIP Number	36318L104					
		06/06/13	09/12/13	2,104.56	1,581.00	0.00	523.56	
CGI GROUP INC								
27 0000	Sale	CUSIP Number	39945C109					
		06/06/13	09/16/13	921.34	817.83	0.00	103.51	
HENDERSON LD SPSP ADR								
67 0000	Sale	CUSIP Number	425166303					
		06/12/12	06/06/13	393.28	351.58	0.00	41.70	
HAIER ELECGRP UNSP ADR								
34 0000	Sale	CUSIP Number	40522E104					
		05/06/13	06/06/13	2,737.97	3,082.09	0.00	(344.12)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JSR CORP 293 0000	ADR Sale	CUSIP Number 07/26/12	46632X106 06/06/13	5,561.04	5,016.80	0.00	544.24	
KOMATSU NEW NEW SPNSDADR 150 0000	Sale	CUSIP Number 08/20/12	500458401 06/06/13	3,622.44	3,353.88	0.00	268.56	
MITSUBISHI CP SPNSRD ADR 9,0000	Sale	CUSIP Number 06/12/12	606769305 06/06/13	309.87	343.71	0.00	(33.84)	
MACQUARIE GOURP LTD ADR 36 0000	Sale	CUSIP Number 05/02/13	55607P105 06/06/13	1,385.68	1,436.36	0.00	(50.68)	
MITSUBISHI UFJ FINL GRP INC		CUSIP Number 06/12/12	606822104 06/07/13	472.41	348.48	0.00	123.93	
80 0000	Sale	02/08/13		1,694.81	1,634.24	0.00	60.57	
287,0000	Sale			2,167.22	1,982.72	0.00	184.50	
Security Subtotal								
MUENCHENER RUECK-UNSPON 26 0000	Sale	CUSIP Number 06/12/12	626188106 06/06/13	474.76	330.46	0.00	144.30	
NISSAN MTR LTD SPN ADR 47,0000	Sale	CUSIP Number 06/12/12	654744408 06/06/13	979.94	900.80	0.00	79.14	
NSK LTD ADR 428 0000	Sale	CUSIP Number 03/06/12	670184100 02/15/13	6,079.86	6,552.72	0.00	(472.86)	
54 0000	Sale	08/28/12		767.09	674.89	0.00	92.20	
Security Subtotal								
NTT DOCOMO INC SPD ADR 24,0000	Sale	CUSIP Number 06/12/12	62942M201 06/06/13	356.40	378.09	0.00	(21.69)	
NATIONAL GRID PLC SP ADR 28 0000	Sale	CUSIP Number 05/01/13	636274300 06/06/13	1,616.02	1,793.83	0.00	(177.81)	
NIPPON YUSEN KABUSHIKI KAISHA SPON ADR 85,0000	Sale	CUSIP Number 06/12/12	654633304 06/06/13	426.70	439.45	0.00	(12.75)	
NESTLE S A REP RG SH ADR 27 0000	Sale	CUSIP Number 06/06/13	641069406 08/09/13	1,830.11	1,805.22	0.00	24.89	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PORSCHE AUTOMOBIL-UNSP ADR								
89.0000	Sale	06/12/12	06/06/13	731.57	462.21	0.00	269.36	
PT UNITED TRACTORS TBK SHS								
72.0000	Sale	01/15/13	06/06/13	2,339.96	3,106.69	0.00	(766.73)	
POTASH CORP SASKATCHEWAN								
69.0000	Sale	06/06/13	73755L107	2,280.15	2,852.12	0.00	(571.97)	
113.0000	Sale	06/06/13	09/16/13	3,682.50	4,670.87	0.00	(988.37)	
	Security Subtotal			5,962.65	7,522.99	0.00	(1,560.34)	
SWISSCOM AG ADR								
7.0000	Sale	06/12/12	871013108	298.26	260.61	0.00	37.65	
31.0000	Sale	08/27/12	06/06/13	1,320.90	1,278.45	0.00	42.45	
	Security Subtotal			1,619.16	1,539.06	0.00	80.10	
SYNGENTA AG ADR								
22.0000	Sale	06/06/13	87160A100	1,759.70	1,726.79	0.00	32.91	
STATOIL ASA-SHS								
18.0000	Sale	06/12/12	85771P102	406.14	410.57	0.00	(4.43)	
SODEXO ADR								
32.0000	Sale	06/06/13	833792104	2,827.04	2,738.91	0.00	88.13	
SANOFI ADR								
39.0000	Sale	11/06/12	80105N105	2,059.50	1,741.75	0.00	317.75	
SBERBANK SPONSORED ADR								
261.0000	Sale	03/05/13	80585Y308	3,145.00	3,562.91	0.00	(417.91)	
TOTAL S.A. SP ADR								
7.0000	Sale	06/12/12	89151E109	347.61	304.47	0.00	43.14	
43.0000	Sale	08/08/12	06/06/13	2,135.35	2,123.76	0.00	11.59	
28.0000	Sale	08/27/12	06/06/13	1,390.46	1,380.88	0.00	9.58	
41.0000	Sale	02/08/13	06/06/13	2,036.04	2,113.80	0.00	(77.76)	
50.0000	Sale	04/19/13	06/06/13	2,482.99	2,328.34	0.00	154.65	
	Security Subtotal			8,392.45	8,251.25	0.00	141.20	

2013 ANNUAL STATEMENT SUMMARY

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TESCO PLC SPNRD ADR								
		CUSIP Number	881575302					
524 0000	Sale	02/22/13	06/06/13	8,484.09	9,014.74	0.00	(530.65)	
58 0000	Sale	05/02/13	06/06/13	1,100.98	1,174.90	0.00	(73.92)	
188 0000	Sale	05/09/13	06/06/13	3,043.92	3,307.71	0.00	(263.79)	
	Security Subtotal			12,628.99	13,497.35	0.00	(868.36)	
TATA MOTORS LTD ADR								
		CUSIP Number	876568502					
48 0000	Sale	01/27/12	01/14/13	1,433.87	1,148.97	0.00	284.90	
104 0000	Sale	10/01/12	06/06/13	2,813.66	2,718.23	0.00	95.43	
	Security Subtotal			4,247.53	3,867.20	0.00	380.33	
TELEFONICA SA SPAIN ADR								
		CUSIP Number	879382208					
121 0000	Sale	05/01/13	06/06/13	1,647.01	1,757.39	0.00	(110.38)	
TORONTO DOMINION BANK								
		CUSIP Number	891160509					
6 0000	Sale	06/12/12	06/06/13	476.58	454.70	0.00	21.88	
UNILEVER NV NY REG SHS								
		CUSIP Number	904784709					
61 0000	Sale	06/06/13	07/30/13	2,440.48	2,462.57	0.00	(22.09)	
VALLOUREC SA								
		CUSIP Number	92023R308					
SPONSORED ADR NEW								
246 0000	Sale	07/24/12	06/06/13	2,504.23	2,062.46	0.00	441.77	
182 0000	Sale	08/27/12	06/06/13	1,852.73	1,742.72	0.00	110.01	
182 0000	Sale	02/08/13	06/06/13	1,852.74	1,915.71	0.00	(62.97)	
	Security Subtotal			6,209.70	5,720.89	0.00	488.81	
VOLVO AKTIEBOLAGET ADR								
		CUSIP Number	928856400					
398 0000	Sale	06/06/13	07/19/13	5,710.80	5,914.28	0.00	(203.48)	
ZURICH INSURANCE GROUP AG SHS SPON ADR								
		CUSIP Number	989825104					
20 0000	Sale	06/12/12	06/06/13	523.20	388.76	0.00	134.44	
WORLEY PARSONS LTD UNSPOND ADR								
		CUSIP Number	98161Q101					
265 0000	Sale	06/06/13	11/27/13	3,849.16	4,876.00	0.00	(1,026.84)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	YARA INTL ASA SP ADR	CUSIP Number	984851204					
8 0000	Sale	06/12/12	06/06/13	353 07	310 16	0 00	42 91	
23 0000	Sale	02/11/13	06/06/13	1,015 11	1,238 57	0 00	(223.46)	
	Security Subtotal			1,368.18	1,548.73	0.00	(180.55)	
	Covered Short Term Capital Gains and Losses Subtotal			168,823.05	166,731.56	0.00	2,091.49	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

	KEPPEL LTD SPONS ADR	CUSIP Number	492051305					
461 0000	Prin Payment	06/14/13	06/14/13	225.63	225 63	0 00	0 00	
	Noncovered Short Term Capital Gains and Losses Subtotal			225.63	225.63	0.00	0.00	
	NET SHORT TERM CAPITAL GAINS AND LOSSES			169,048.68	166,957.19	0.00	2,091.49	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

	BARCLAYS PLC ADR	CUSIP Number	06738E204					
141.0000	Sale	03/24/11	06/06/13	2,666 50	2,673.64	0 00	(7.14)	
22.0000	Sale	03/25/11	06/06/13	416 05	411.71	0 00	4.34	
	Security Subtotal			3,082.55	3,085.35	0.00	(2.80)	
	BNP PARIBAS SPONSORD ADR	CUSIP Number	05565A202					
79 0000	Sale	06/01/11	06/06/13	2,272 00	3,092.19	0 00	(820 19)	
55 0000	Sale	06/17/11	06/06/13	1,581 78	2,059.51	0 00	(477.73)	
	Security Subtotal			3,853.78	5,151.70	0.00	(1,297.92)	
	COPEL PARANA ADR PREF B	CUSIP Number	20441B407					
65.0000	Sale	05/11/12	06/06/13	1,003 59	1,563 54	0 00	(559 95)	
	CHINA MOBILE LTD SPN ADR	CUSIP Number	16941M109					
25 0000	Sale	03/10/11	06/06/13	1,269 85	1,195 39	0 00	74.46	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CIE GENERALE DES ETABLISSEMENTS MICHELIN								
353.0000	Sale	08/17/11	06/06/13	6,251.52	5,289.46	0.00	962.06	
192.0000	Sale	11/16/11	06/06/13	3,400.26	2,417.89	0.00	982.37	
	Security Subtotal			9,651.78	7,707.35	0.00	1,944.43	
CHINA MINSHENG UNSP ADR								
112.0000	Sale	07/05/11	01/15/13	1,443.25	1,056.76	0.00	386.49	
266.0000	Sale	07/05/11	02/26/13	3,456.22	2,509.82	0.00	946.40	
	Security Subtotal			4,899.47	3,566.58	0.00	1,332.89	
DANSKE BK A/S UNSPNS ADR								
374.0000	Sale	01/03/11	06/06/13	3,665.13	4,876.66	0.00	(1,211.53)	
317.0000	Sale	01/04/11	06/06/13	3,106.54	4,163.32	0.00	(1,056.78)	
294.0000	Sale	04/20/11	06/06/13	2,881.15	3,460.50	0.00	(579.35)	
	Security Subtotal			9,652.82	12,500.48	0.00	(2,847.66)	
DEUTSCHE LUFT AG SPN ADR								
185.0000	Sale	07/07/11	01/25/13	3,763.43	4,076.84	0.00	(313.41)	
267.0000	Sale	07/07/11	06/06/13	5,855.21	5,883.88	0.00	(28.67)	
	Security Subtotal			9,618.64	9,960.72	0.00	(342.08)	
EURASIAN NATURAL RESOURCES CORP P								
597.0000	Sale	11/11/11	04/19/13	1,291.64	3,294.84	0.00	(2,003.20)	
MACQUARIE GOURP LTD ADR								
96.0000	Sale	05/17/12	06/06/13	3,695.13	2,532.98	0.00	1,162.15	
NATIONAL GRID PLC SP ADR								
52.0000	Sale	03/11/11	06/06/13	3,001.17	2,410.94	0.00	590.23	
19.0000	Sale	03/14/11	06/06/13	1,096.58	861.59	0.00	234.99	
	Security Subtotal			4,097.75	3,272.53	0.00	825.22	
PETROLEO BRAS VTG SPD ADR								
81.0000	Sale	04/21/11	06/06/13	1,381.06	3,098.27	0.00	(1,717.21)	

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PORSCHE AUTOMOBIL-UNSP ADR								
543.0000	Sale	04/27/11	06/06/13	4,463.39	3,747.51	0.00	715.88	
RIO TINTO PLC SPNSRD ADR								
151.0000	Sale	01/17/12	06/06/13	6,508.33	8,502.07	0.00	(1,993.74)	
39.0000	Sale	01/18/12	06/06/13	1,680.96	2,240.05	0.00	(559.09)	
19.0000	Sale	03/15/12	06/06/13	818.93	1,055.97	0.00	(237.04)	
	Security Subtotal			9,008.22	11,798.09	0.00	(2,789.87)	
STEINHOFF INTL-UNSPON ADR								
145.0000	Sale	07/01/11	06/06/13	1,780.57	2,486.97	0.00	(706.40)	
SEVEN AND I HOLDINGS CO LTD SHS								
59.0000	Sale	04/20/11	06/06/13	3,944.09	2,966.99	0.00	977.10	
SUNCORP GROUP LTD UNSP ADR								
965.0000	Sale	09/26/11	06/06/13	10,982.57	7,536.65	0.00	3,445.92	
TATA MOTORS LTD ADR								
38.0000	Sale	01/27/12	06/06/13	1,028.06	909.61	0.00	118.45	
26.0000	Sale	01/30/12	06/06/13	703.41	602.12	0.00	101.29	
	Security Subtotal			1,731.47	1,511.73	0.00	219.74	
TIGER BRANDS LTD ADR								
82.0000	Sale	07/01/11	06/06/13	2,373.86	2,422.64	0.00	(48.78)	
TELEFONICA SA SPAIN ADR								
189.0000	Sale	05/09/12	06/06/13	2,572.58	2,715.16	0.00	(142.58)	
VALE SA								
80.0000	Sale	06/29/11	06/06/13	1,161.92	2,526.02	0.00	(1,364.10)	
13.0000	Sale	06/30/11	06/06/13	188.82	412.88	0.00	(224.06)	
	Security Subtotal			1,350.74	2,938.90	0.00	(1,588.16)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VALLOUREC SA								
SPONSORED ADR NEW		CUSIP Number	92023R308					
832.0000	Sale	10/14/11	06/06/13	8,469.60	11,155.62	0.00	(2,686.02)	
YARA INTL ASA SP ADR								
89.0000 Sale		CUSIP Number	984851204					
		08/10/11	06/06/13	3,927.99	4,097.54	0.00	(169.55)	
Covered Long Term Capital Gains and Losses Subtotal				104,103.14	110,307.53	0.00	(6,204.39)	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

ASTRAZENECA PLC SPND ADR								
111.0000 Sale		CUSIP Number	046353108					
		03/10/09	06/06/13	5,695.32	3,412.25	0.00	2,283.07	
53.0000 Sale		05/22/09	06/06/13	2,719.38	2,204.85	0.00	514.53	

Security Subtotal

8,414.70 5,617.10 0.00 2,797.60

AMERICA MOVIL SAB DE CV ADR								
122.0000 Sale		CUSIP Number	02364W105					
		07/09/09	12/10/13	2,739.98	2,327.49	0.00	412.49	

AUST NW Z B G ADR								
296.0000 Sale		CUSIP Number	052528304					
		07/22/09	06/06/13	7,641.84	4,073.70	0.00	3,568.14	
99.0000 Sale		07/24/09	06/06/13	2,555.89	1,370.50	0.00	1,185.39	

Security Subtotal

10,197.73 5,444.20 0.00 4,753.53

BARCLAYS PLC ADR								
463.0000 Sale		CUSIP Number	06738E204					
		07/06/10	06/06/13	8,755.96	7,363.14	0.00	1,392.82	

BNP PARIBAS SPONSORD ADR								
168.0000 Sale		CUSIP Number	05565A202					
		05/19/10	06/06/13	4,831.59	4,809.52	0.00	22.07	

BHP BILLITON LTD ADR								
77.0000 Sale		CUSIP Number	088606108					
		09/25/08	06/06/13	4,960.65	4,768.46	0.00	192.19	
61.0000 Sale		10/08/08	06/06/13	3,929.86	2,465.24	0.00	1,464.62	
5.0000 Sale		02/04/09	06/06/13	322.13	205.68	0.00	116.45	
45.0000 Sale		02/04/09	08/28/13	2,848.12	1,851.07	0.00	997.05	

Security Subtotal

12,060.76 9,290.45 0.00 2,770.31

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CHEUNG KONG HLDG ADR								
7,0000	Sale	11/16/05	06/06/13	95.05	70.43	0.00	24.62	
2,0000	Sale	03/08/07	06/06/13	27.16	23.70	0.00	3.46	
	Security Subtotal			122.21	94.13	0.00	28.08	
ENI S P A SPONSORED ADR								
16,0000	Sale	03/24/05	06/06/13	718.87	849.55	0.00	(130.68)	
27,0000	Sale	12/23/05	06/06/13	1,213.10	1,402.19	0.00	(189.09)	
15,0000	Sale	05/22/06	06/06/13	673.94	884.28	0.00	(210.34)	
20,0000	Sale	06/01/06	06/06/13	898.59	1,195.44	0.00	(296.85)	
15,0000	Sale	03/08/07	06/06/13	673.94	931.65	0.00	(257.71)	
10,0000	Sale	12/05/07	06/06/13	449.29	726.72	0.00	(277.43)	
25,0000	Sale	01/28/08	06/06/13	1,123.24	1,616.50	0.00	(493.26)	
38,0000	Sale	12/08/08	06/06/13	1,707.33	1,725.47	0.00	(18.14)	
21,0000	Sale	05/13/09	06/06/13	943.53	1,003.23	0.00	(59.70)	
30,0000	Sale	06/09/10	06/06/13	1,347.90	1,090.30	0.00	257.60	
	Security Subtotal			9,749.73	11,425.33	0.00	(1,675.60)	
EAST JAPAN RY CO ADR								
339,0000	Sale	04/08/09	06/06/13	4,166.23	2,949.03	0.00	1,217.20	
239,0000	Sale	08/07/09	06/06/13	2,937.26	2,331.47	0.00	605.79	
	Security Subtotal			7,103.49	5,280.50	0.00	1,822.99	
GLAXOSMITHKLINE PLC ADR								
4,0000	Sale	07/23/04	06/06/13	203.01	161.06	0.00	41.95	
45,0000	Sale	01/25/05	06/06/13	2,283.89	2,003.21	0.00	280.68	
39,0000	Sale	03/24/05	06/06/13	1,979.37	1,808.43	0.00	170.94	
15,0000	Sale	05/02/05	06/06/13	761.29	756.89	0.00	4.40	
20,0000	Sale	10/11/05	06/06/13	1,015.06	1,004.07	0.00	10.99	
45,0000	Sale	03/08/07	06/06/13	2,283.89	2,506.05	0.00	(222.16)	
35,0000	Sale	01/28/08	06/06/13	1,776.36	1,663.55	0.00	112.81	
65,0000	Sale	10/15/08	06/06/13	3,298.96	2,403.21	0.00	895.75	
	Security Subtotal			13,601.83	12,306.47	0.00	1,295.36	
OAO GAZPROM SPON ADR								
29,0000	Sale	01/08/08	07/05/13	193.12	857.07	0.00	(663.95)	
30,0000	Sale	01/28/08	07/05/13	199.78	765.11	0.00	(565.33)	
102,0000	Sale	06/11/09	07/05/13	679.26	1,195.93	0.00	(516.67)	
	Security Subtotal			1,072.16	2,818.11	0.00	(1,745.95)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HENDERSON LD SP5D ADR								
1286 0000	Sale	CUSIP Number 08/17/10	425166303 06/06/13	7,548.69	8,031.33	0.00	(482.64)	
IMPERIAL TOB GRP SPS ADR								
10 0000	Sale	CUSIP Number 03/19/09	453142101 06/06/13	732.68	455.45	0.00	277.23	
46 0000	Sale	04/20/09	06/06/13	3,370.36	2,064.17	0.00	1,306.19	
31 0000	Sale	05/06/09	06/06/13	2,271.34	1,448.51	0.00	822.83	
14 0000	Sale	05/06/09	07/15/13	953.39	654.17	0.00	299.22	
25 0000	Sale	07/08/09	07/15/13	1,702.49	1,287.54	0.00	414.95	
Security Subtotal				9,030.26	5,909.84	0.00	3,120.42	
IBERDROLA S.A. ADR								
141 0000	Sale	CUSIP Number 09/21/09	450737101 06/06/13	3,101.94	5,621.05	0.00	(2,519.11)	
85 0000	Sale	04/14/10	06/06/13	1,869.96	3,023.20	0.00	(1,153.24)	
101 0000	Sale	05/12/10	06/06/13	2,221.97	2,955.56	0.00	(733.59)	
Security Subtotal				7,193.87	11,599.81	0.00	(4,405.94)	
MITSUBISHI CP SPNSRD ADR								
110 0000	Sale	CUSIP Number 04/08/09	606769305 06/06/13	3,787.23	3,191.90	0.00	595.33	
122 0000	Sale	10/12/10	06/06/13	4,200.39	6,135.86	0.00	(1,935.47)	
Security Subtotal				7,987.62	9,327.76	0.00	(1,340.14)	
MACQUARIE GOURP LTD ADR								
199 0000	Sale	CUSIP Number 04/23/10	55607P105 06/06/13	7,659.69	9,181.90	0.00	(1,522.21)	
MITSUBISHI UFJ FINL GRP INC								
509 0000	Sale	CUSIP Number 05/21/07	606822104 06/07/13	3,005.74	5,552.33	0.00	(2,546.59)	
305 0000	Sale	07/03/07	06/07/13	1,801.08	3,452.05	0.00	(1,650.97)	
391 0000	Sale	10/23/07	06/07/13	2,308.93	3,608.03	0.00	(1,299.10)	
255 0000	Sale	01/28/08	06/07/13	1,505.82	2,407.20	0.00	(901.38)	
386 0000	Sale	12/12/08	06/07/13	2,279.41	2,108.60	0.00	170.81	
611 0000	Sale	10/02/09	06/07/13	3,608.08	3,057.32	0.00	550.76	
Security Subtotal				14,509.06	20,185.53	0.00	(5,676.47)	
MUENCHENER RUECK-UNSPON								
305 0000	Sale	CUSIP Number 05/04/09	626188106 06/06/13	5,569.19	4,193.69	0.00	1,375.50	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NISSAN MTR LTD SPN ADR								
335 0000	Sale	11/26/07	06/06/13	6,984.62	7,259.38	0.00	(274.76)	
165 0000	Sale	01/29/08	06/06/13	3,440.19	3,064.36	0.00	375.83	
148 0000	Sale	03/23/09	06/06/13	3,085.75	1,147.15	0.00	1,938.60	
	Security Subtotal			13,510.56	11,470.89	0.00	2,039.67	
NIPPON TEL&TEL SPDN ADR								
43 0000	Sale	03/05/02	06/06/13	1,088.74	776.15	0.00	312.59	
24 0000	Sale	11/06/02	06/06/13	607.67	472.03	0.00	135.64	
46 0000	Sale	05/12/04	06/06/13	1,164.70	1,043.28	0.00	121.42	
30 0000	Sale	05/25/04	06/06/13	759.59	721.85	0.00	37.74	
20 0000	Sale	02/15/05	06/06/13	506.39	425.05	0.00	81.34	
34 0000	Sale	03/24/05	06/06/13	860.87	742.90	0.00	117.97	
30 0000	Sale	03/08/07	06/06/13	759.59	780.30	0.00	(20.71)	
45 0000	Sale	01/28/08	06/06/13	1,139.40	1,037.25	0.00	102.15	
	Security Subtotal			6,886.95	5,998.81	0.00	888.14	
NTT DOCOMO INC SPD ADR								
107 0000	Sale	08/13/07	06/06/13	1,588.93	1,521.85	0.00	67.08	
190 0000	Sale	08/15/07	06/06/13	2,821.47	2,693.46	0.00	128.01	
80 0000	Sale	01/28/08	06/06/13	1,187.99	1,214.40	0.00	(26.41)	
	Security Subtotal			5,598.39	5,429.71	0.00	168.68	
NATIONAL GRID PLC SP ADR								
15 0000	Sale	05/22/08	06/06/13	865.72	1,032.62	0.00	(166.90)	
44 0000	Sale	09/12/08	06/06/13	2,539.44	2,626.28	0.00	(86.84)	
54 0000	Sale	07/08/09	06/06/13	3,116.59	2,121.25	0.00	995.34	
	Security Subtotal			6,521.75	5,780.15	0.00	741.60	
NIPPON YUSEN KABUSHIKI KAISHA SPON ADR								
1050 0000	Sale	12/21/09	06/06/13	5,270.90	6,739.43	0.00	(1,468.53)	
357 0000	Sale	06/10/10	06/06/13	1,792.11	2,602.67	0.00	(810.56)	
	Security Subtotal			7,063.01	9,342.10	0.00	(2,279.09)	
NITTO DENKO CORP ADR								
260 0000	Sale	12/10/10	06/06/13	7,604.87	5,809.19	0.00	1,795.68	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PETROLEO BRAS VTG SPD ADR								
		CUSIP Number	71654V408					
4.0000	Sale	10/23/07	06/06/13	68.19	165.14	0.00	(96.95)	
10.0000	Sale	01/28/08	06/06/13	170.49	544.40	0.00	(373.91)	
36.0000	Sale	09/25/08	06/06/13	613.79	1,732.26	0.00	(1,118.47)	
42.0000	Sale	02/04/10	06/06/13	716.09	1,648.75	0.00	(932.66)	
	Security Subtotal			1,568.56	4,090.55	0.00	(2,521.99)	
POSCO SPN ADR								
		CUSIP Number	693483109					
8.0000	Sale	04/07/08	06/06/13	568.38	1,047.24	0.00	(478.86)	
21.0000	Sale	05/08/08	06/06/13	1,492.02	2,690.57	0.00	(1,198.55)	
18.0000	Sale	03/12/09	06/06/13	1,278.89	1,092.46	0.00	186.43	
	Security Subtotal			3,339.29	4,830.27	0.00	(1,490.98)	
PORSCHE AUTOMOBIL-UNSP ADR								
		CUSIP Number	73328P106					
479.0000	Sale	09/16/09	02/05/13	4,072.02	3,710.09	0.00	361.93	
238.0000	Sale	11/10/09	02/05/13	2,023.27	1,869.25	0.00	154.02	
75.0000	Sale	11/10/09	06/06/13	616.48	589.05	0.00	27.43	
552.0000	Sale	04/06/10	06/06/13	4,537.36	3,449.39	0.00	1,087.97	
392.0000	Sale	06/09/10	06/06/13	3,222.18	1,536.60	0.00	1,685.58	
	Security Subtotal			14,471.31	11,154.38	0.00	3,316.93	
ROYAL DUTCH SHELL PLC SPONS ADR B								
		CUSIP Number	780259107					
1.0000	Sale	04/20/06	04/19/13	65.52	72.28	0.00	(6.76)	
10.0000	Sale	03/08/07	04/19/13	655.21	649.30	0.00	5.91	
20.0000	Sale	01/28/08	04/19/13	1,310.42	1,413.80	0.00	(103.38)	
25.0000	Sale	10/15/08	04/19/13	1,638.04	1,162.53	0.00	475.51	
10.0000	Sale	10/15/08	06/06/13	676.99	465.01	0.00	211.98	
	Security Subtotal			4,346.18	3,762.92	0.00	583.26	
REPSOL YPF S A SPND ADR								
		CUSIP Number	76026T205					
8.0000	Sale	03/05/02	02/06/13	170.93	104.40	0.00	66.53	
40.0000	Sale	05/12/04	02/06/13	854.67	794.00	0.00	60.67	
14.0000	Sale	03/24/05	02/06/13	299.13	371.70	0.00	(72.57)	
35.0000	Sale	12/23/05	02/06/13	747.84	1,040.20	0.00	(292.36)	
20.0000	Sale	03/08/07	02/06/13	427.33	626.00	0.00	(198.67)	

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
REPSOL YPF S A SPND ADR								
65.0000	Sale	01/09/08	76026T205 02/06/13	1,388.85	2,387.30	0.00	(998.45)	
35.0000	Sale	01/28/08	02/06/13	747.85	1,091.65	0.00	(343.80)	
	Security Subtotal			4,636.60	6,415.25	0.00	(1,778.65)	
SWISSCOM AG ADR								
80.0000	Sale	06/18/08	871013108 06/06/13	3,408.74	2,719.21	0.00	689.53	
100.0000	Sale	07/18/08	06/06/13	4,260.92	3,172.15	0.00	1,088.77	
140.0000	Sale	10/15/08	06/06/13	5,965.29	4,092.94	0.00	1,872.35	
22.0000	Sale	11/16/09	06/06/13	937.40	840.21	0.00	97.19	
50.0000	Sale	11/19/09	06/06/13	2,130.47	1,902.55	0.00	227.92	
	Security Subtotal			16,702.82	12,727.06	0.00	3,975.76	
STATOIL ASA SHS								
12.0000	Sale	05/12/04	85771P102 06/06/13	270.75	144.01	0.00	126.74	
15.0000	Sale	03/24/05	06/06/13	338.44	256.80	0.00	81.64	
44.0000	Sale	02/26/07	06/06/13	992.76	1,182.18	0.00	(189.42)	
11.0000	Sale	02/27/07	06/06/13	248.19	291.79	0.00	(43.60)	
25.0000	Sale	03/08/07	06/06/13	564.07	636.50	0.00	(72.43)	
12.0000	Sale	04/13/07	06/06/13	270.75	338.24	0.00	(67.49)	
48.0000	Sale	04/16/07	06/06/13	1,083.02	1,358.25	0.00	(275.23)	
45.0000	Sale	01/28/08	06/06/13	1,015.33	1,181.25	0.00	(165.92)	
	Security Subtotal			4,783.31	5,389.02	0.00	(605.71)	
SANOFI ADR								
24.0000	Sale	10/22/07	80105N105 06/06/13	1,267.38	1,002.67	0.00	264.71	
70.0000	Sale	11/09/07	06/06/13	3,696.53	3,159.39	0.00	537.14	
10.0000	Sale	11/13/07	06/06/13	528.07	459.55	0.00	68.52	
55.0000	Sale	01/28/08	06/06/13	2,904.41	2,281.40	0.00	623.01	
105.0000	Sale	03/05/08	06/06/13	5,544.80	3,899.82	0.00	1,644.98	
84.0000	Sale	05/12/10	06/06/13	4,435.84	2,742.98	0.00	1,692.86	
	Security Subtotal			18,377.03	13,545.81	0.00	4,831.22	
SEVEN AND I HOLDINGS CO LTD SHS								
11.0000	Sale	04/08/09	87783H105 06/06/13	735.33	495.23	0.00	240.10	
93.0000	Sale	02/04/10	06/06/13	6,216.94	4,145.58	0.00	2,071.36	
	Security Subtotal			6,952.27	4,640.81	0.00	2,311.46	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHIN-ETSU CHEM-UNSPON								
456 0000	Sale	CUSIP Number 12/09/10	824551105 06/06/13	7,118.04	5,799.58	0.00	1,318.46	
SALZGITTER AG SHS								
597 0000	Sale	CUSIP Number 04/07/10	795842202 06/06/13	2,149.16	5,645.17	0.00	(3,496.01)	
239 0000	Sale	CUSIP Number 06/09/10	06/06/13	860.39	1,402.26	0.00	(541.87)	
	Security Subtotal			3,009.55	7,047.43	0.00	(4,037.88)	
TOTAL S.A. SP ADR								
3.0000	Sale	CUSIP Number 08/30/02	89151E109 06/06/13	148.97	105.18	0.00	43.79	
18 0000	Sale	CUSIP Number 09/30/03	06/06/13	893.86	673.29	0.00	220.57	
38 0000	Sale	CUSIP Number 05/12/04	06/06/13	1,887.05	1,730.20	0.00	156.85	
14 0000	Sale	CUSIP Number 03/24/05	06/06/13	695.23	806.99	111.76 (W)	0.00	
15 0000	Sale	CUSIP Number 05/25/06	06/06/13	744.88	983.70	238.82 (W)	0.00	
31 0000	Sale	CUSIP Number 06/14/06	06/06/13	1,539.43	1,822.76	283.33 (W)	0.00	
4.0000	Sale	CUSIP Number 06/15/06	06/06/13	198.63	242.99	44.36 (W)	0.00	
20 0000	Sale	CUSIP Number 03/08/07	06/06/13	993.18	1,322.80	329.62 (W)	0.00	
6.0000	Sale	CUSIP Number 01/28/08	06/06/13	297.96	436.80	138.84 (W)	0.00	
24 0000	Sale	CUSIP Number 01/28/08	06/06/13	1,191.82	1,747.20	0.00	(555.38)	
18 0000	Sale	CUSIP Number 12/10/08	06/06/13	893.87	952.99	0.00	(59.12)	
14 0000	Sale	CUSIP Number 05/13/09	06/06/13	695.23	795.86	0.00	(100.63)	
	Security Subtotal			10,180.11	11,620.76	1,146.73	(293.92)	
TELSTRA CORP ADR								
221 0000	Sale	CUSIP Number 03/17/10	87969N204 05/08/13	5,664.74	3,189.41	0.00	2,475.33	
330 0000	Sale	CUSIP Number 03/17/10	06/06/13	7,251.46	4,762.46	0.00	2,489.00	
	Security Subtotal			12,916.20	7,951.87	0.00	4,964.33	
TELEFONICA SA SPAIN ADR								
372 0000	Sale	CUSIP Number 06/14/10	879382208 06/06/13	5,063.50	7,336.53	0.00	(2,273.03)	
TORONTO DOMINION BANK								
47 0000	Sale	CUSIP Number 05/20/09	891160509 06/06/13	3,733.14	2,078.95	0.00	1,654.19	
16 0000	Sale	CUSIP Number 03/05/10	06/06/13	1,270.86	1,100.02	0.00	170.84	
	Security Subtotal			5,004.00	3,178.97	0.00	1,825.03	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TOYOTA MOTOR CORP ADR								
19,000	Sale	12/01/10	06/06/13	2,158.36	1,513.06	0.00	645.30	
7,000	Sale	12/02/10	06/06/13	795.19	552.65	0.00	242.54	
	Security Subtotal			2,953.55	2,065.71	0.00	887.84	
ZURICH INSURANCE GROUP AG SHS SPON ADR								
3,000	Sale	03/24/05	06/06/13	78.47	46.41	0.00	32.06	
37,000	Sale	10/10/05	06/06/13	967.90	579.39	0.00	388.51	
13,000	Sale	10/12/05	06/06/13	340.07	201.34	0.00	138.73	
60,000	Sale	03/08/07	06/06/13	1,569.57	1,582.67	0.00	(13.10)	
65,000	Sale	01/28/08	06/06/13	1,700.37	1,695.02	0.00	5.35	
167,000	Sale	10/15/08	06/06/13	4,368.65	3,160.40	0.00	1,208.25	
136,000	Sale	07/10/09	06/06/13	3,557.70	2,013.56	0.00	1,544.14	
64,000	Sale	03/05/10	06/06/13	1,674.21	1,452.15	0.00	222.06	
60,000	Sale	06/09/10	06/06/13	1,569.58	1,127.76	0.00	441.82	
	Security Subtotal			15,826.52	11,858.70	0.00	3,967.82	
YARA INTL ASA SP ADR								
183,000	Sale	12/10/10	06/06/13	8,076.63	9,560.51	0.00	(1,483.88)	
34,000	Sale	12/13/10	06/06/13	1,500.57	1,783.13	0.00	(282.56)	
	Security Subtotal			9,577.20	11,343.64	0.00	(1,766.44)	
Noncovered Long Term Capital Gains and Losses Subtotal								
				332,160.09	313,796.41	1,146.73	19,510.41	
NET LONG TERM CAPITAL GAINS AND LOSSES								
				436,263.23	424,103.94	1,146.73	13,306.02	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

AFFILIATED MANAGERS GROU CUSIP Number 008252AL2

CONV
03.950% AUG 15 2038
3000 0000 Sale

01/02/13 07/08/13 3,965 11 3,377.51 0.00 587.60

NAVISTAR INTL CORP NEW
CONV SR SUB NOTES
03.000% OCT 15 2014

CUSIP Number 63934EAL2

10/22/12	03/18/13	3,067.50	2,683.79	0.00	383.71
10/22/12	03/19/13	3,099.50	2,684.17	0.00	415.33
07/02/13	11/14/13	3,123.59	2,941.42	0.00	182.17
07/18/13	12/09/13	3,115.72	3,005.16	0.00	110.56
Security Subtotal		12,406.31	11,314.54	0.00	1,091.77

INTEL CORP

CONV JR SUBORDINATED
03.250% AUG 01 2039
3000 0000 Sale

CUSIP Number 458140AF7

04/23/12 03/26/13 3,576 60 4,237.46 0.00 (660.86) (G)

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HORNBECK OFFSHORE SRVCS								
	CONV COMP GUARNT GLB	CUSIP Number	440543AE6					
	STEP% NOV 15 2026							
3000 0000	Sale	07/12/12	01/03/13	3,033.75	3,168.52	0.00	(134.77)	
COBALT INTL ENERGY INC								
	CONV	CUSIP Number	19075FAA4					
	02.625% DEC 01 2019							
3000 0000	Sale	01/03/13	10/30/13	3,086.25	3,118.78	0.00	(32.53)	
1000 0000	Sale	01/14/13	10/30/13	1,028.75	1,056.37	0.00	(27.62)	
	Security Subtotal			4,115.00	4,175.15	0.00	(60.15)	
KB HOME								
	1.375% SUB NOTE CONVERT	CUSIP Number	48666KAS8					
	FEB.1.2019							
3000 0000	Sale	03/06/13	06/04/13	3,379.21	3,136.26	0.00	242.95	
LEAP WIRELESS INTL INC								
	CONV GLB	CUSIP Number	521863AL4					
	04.500% JUL 15 2014							
3000 0000	Sale	09/28/12	03/07/13	2,998.12	2,872.50	0.00	125.62	
3000 0000	Sale	01/08/13	03/07/13	2,998.13	2,872.50	0.00	125.63	
	Security Subtotal			5,996.25	5,745.00	0.00	251.25	
MOLYCORP INC								
	CONV	CUSIP Number	608753AF6					
	06.000% SEP 01 2017							
3000 0000	Sale	12/04/12	09/24/13	2,505.00	2,726.10	0.00	(221.10)	
MICRON TECH, INC								
	BONDS	CUSIP Number	595112AQ6					
	01.500% AUG 01 2031							
3000 0000	Sale	10/04/12	03/13/13	3,355.50	2,660.65	0.00	694.85	
2000 0000	Sale	10/04/12	03/18/13	2,195.00	1,773.76	0.00	421.24	
1000 0000	Sale	10/05/12	03/18/13	1,097.50	890.65	0.00	206.85	
3000 0000	Sale	12/07/12	09/13/13	5,115.00	2,748.53	0.00	2,366.47	
	Security Subtotal			11,763.00	8,073.59	0.00	3,689.41	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MASSEY ENERGY CO								
CONV COMPANY GUARNT								
03.250% AUG 01 2015								
6000.0000	Sale	03/22/13	05/08/13	5,928.00	5,760.00	0.00	168.00	
3000.0000	Sale	04/15/13	05/08/13	2,954.00	2,859.27	0.00	104.73	
				8,882.00	8,619.27	0.00	272.73	
Security Subtotal								
NUANCE COMMUNICATIONS								
CONV GLB								
02.750% AUG 15 2027								
1000.0000	Sale	06/25/13	10/10/13	1,116.50	1,173.58	0.00	(57.08)	
NETAPP INC.								
1.75% CONV SENIOR NOTES								
DUE JUNE 1, 2013								
1000.0000	Sale	03/23/12	02/12/13	1,157.25	1,479.07	0.00	(321.82)	
OMNICARE INC								
CONV SR SUB NOTES								
03.500% FEB 15 2044								
6000.0000	Sale	09/10/13	10/10/13	5,806.00	5,742.84	0.00	63.16	
ONYX PHARMACEUTICALS INC								
CONV								
04.000% AUG 15 2016								
3000.0000	Sale	08/24/12	01/22/13	6,211.56	6,024.75	0.00	186.81	
3000.0000	Sale	03/07/13	06/03/13	7,046.87	6,629.51	0.00	417.36	
				13,258.43	12,654.26	0.00	604.17	
Security Subtotal								
PRICELINE.COM INC								
SR NT CONV PRNT ML FDTSS								
01.000% MAR 15 2018								
2000.0000	Sale	03/27/13	12/02/13	2,830.48	2,202.88	0.00	627.60	
PIONEER NATURAL RESO CLD								
CONV								
02.375% JAN 15 2038								
3000.0000	Exchange	10/09/12	05/16/13	6,743.55	5,306.33	0.00	1,437.22	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VERISIGN INC								
CONV JR SUBORDNTD GLB								
03.250% AUG 15 2037								
3000.0000	Sale	12/12/12	02/28/13	4,328.13	3,771.60	0.00	556.53 (G)	
3000.0000	Sale	01/07/13	07/10/13	4,280.32	4,032.45	0.00	247.87 (G)	
Security Subtotal				8,608.45	7,804.05	0.00	804.40	
RTI INTERNATIONAL METALS								
CONV COMPANY GUARNT								
01.625% OCT 15 2019								
3000.0000	Sale	04/18/13	11/05/13	3,246.42	2,957.37	0.00	289.05	
SANDISK CORP								
CONV								
01.500% AUG 15 2017								
6000.0000	Sale	07/30/12	01/25/13	7,380.12	6,644.36	0.00	735.76	
SALESFORCE.COM INC								
CONV								
00.750% JAN 15 2015								
3000.0000	Sale	06/13/12	01/04/13	6,047.94	4,798.28	0.00	1,249.66	
3000.0000	Sale	06/17/13	11/05/13	7,758.40	5,493.75	0.00	2,264.65	
Security Subtotal				13,806.34	10,292.03	0.00	3,514.31	
TRW AUTOMOTIVE INC								
CONV COMPANY GUARNT								
03.500% DEC 01 2015								
3000.0000	Sale	04/10/12	01/04/13	5,800.14	4,948.41	0.00	851.73	
TRINITY INDUSTRIES INC								
CONV SUB NOTES								
03.875% JUN 01 2036								
3000.0000	Sale	10/11/12	06/13/13	3,520.46	3,316.04	0.00	204.42 (G)	
UNITED RENTALS, INC.								
CONV								
04.000% NOV 15 2015								
3000.0000	Sale	09/14/12	01/16/13	13,055.10	10,397.73	0.00	2,657.37	

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e: Quantity	Transaction Description	1b: Date of Acquisition	1a: Date of Sale or Exchange	2a: Amount	3: Cost Basis	5: Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VERTEX PHARMACEUTICALS I 3.35% CONVERTIBLE SNR SU DUE OCTOBER 01, 2015								
3000.0000	Sale	11/02/12	06/11/13	5,066.10	3,492.01	0.00	1,574.09	
ANGLOGOLD ASHANTI LTD								
89 0000	Sale	CUSIP Number 02/13/13	035128206 09/19/13	1,269.55	2,689.23	0.00	(1,419.68)	
APACHE CORPORATION DEPOSITARY SHARES 6% MAND CNVT PFD								
45.0000	Sale	CUSIP Number 01/31/12	037411808 01/04/13	2,139.71	2,585.88	0.00	(446.17)	
ANGLOGOLD HOLDINGS LTD SERIES CONV PFD STK								
77.0000	Exchange	02/13/13	09/16/13	10.62	27.15	0.00	(16.53)	
CLIFFS NATURAL RESOU INC DEPOSITORY SHARES 7% MAND CNVT PFD								
60.0000	Sale	02/21/13	04/25/13	1,228.12	1,419.00	190.88 (W)	0.00	
28.0000	Sale	02/21/13	04/25/13	573.12	662.20	0.00	(89.08)	
56.0000	Sale	02/21/13	05/03/13	1,086.38	1,324.40	0.00	(238.02)	
				Security Subtotal	3,405.60	190.88	(327.10)	
GENERAL MOTORS CO COMMON SHARES								
92 0000	Sale	CUSIP Number 04/26/13	37045V100 12/03/13	3,509.28	3,065.96	0.00	443.32	
	Cash/Lieu	04/26/13	12/17/13	20.48	15.34	0.00	5.14	
				Security Subtotal	3,081.30	0.00	448.46	
HARTFORD FINL SVCS GROUP								
100 0000	Sale	CUSIP Number 11/07/12	416515104 04/02/13	2,548.79	2,177.37	0.00	371.42	
61 0000	Sale	01/14/13	04/02/13	1,554.77	1,443.80	0.00	110.97	
	Cash/Lieu	01/14/13	04/09/13	10.07	9.20	0.00	0.87	
				Security Subtotal	3,630.37	0.00	483.26	
METLIFE INC COM								
31 0000	Sale	CUSIP Number 06/21/13	59156R108 09/18/13	1,548.47	1,455.91	0.00	92.56	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NEXTERA ENERGY INC 87 0000	Sale	CUSIP Number 12/19/12	65339F507 09/03/13	0.00	4,739.33	0.00	(4,715.31)	
NETAPP INC 61.0000	Sale	CUSIP Number 06/03/13	64110D104 06/06/13	2,286.05	2,281.09	0.00	4.96	
NEXTERA ENERGY INC SHS 64.0000	Sale	CUSIP Number 12/19/12	65339F101 09/05/13	5,118.57	4,695.73	0.00	422.84	
NIELSEN HOLDINGS B V Cash/Lieu 170 0000	Sale	CUSIP Number 05/09/12	N63218106 02/05/13 02/06/13	9.34 5,484.11	9.01 5,271.96	0.00 0.00	0.33 212.15	
	Security Subtotal			5,493.45	5,280.97	0.00	212.48	
PIONEER NATURAL RES CO 27 0000	Sale	CUSIP Number 05/16/13	723787107 05/21/13	3,795.60	3,743.55	0.00	52.05	
Covered Short Term Capital Gains and Losses Subtotal				183,220.60	170,600.47	190.88	12,835.03	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

DANAHER CORP CONV STEP% JAN 22 2021 3000 0000	Sale	CUSIP Number 01/22/13	235851AF9 04/11/13	5,390.10	5,285.16	0.00	104.94	
NEXTERA ENERGY INC CONV PFD STK 87.0000	Sale	CUSIP Number 12/19/12	65339F507 08/09/13	4,365.88	4,739.33	0.00	(373.45)	TRANSACTION ADDED
Noncovered Short Term Capital Gains and Losses Subtotal				9,755.98	10,024.49	0.00	(268.51)	
NET SHORT TERM CAPITAL GAINS AND LOSSES				192,976.58	180,624.96	190.88	12,566.52	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

CUSIP Number 436440AB7

HOLOGIC INC
CONV SER 2010
STEP% DEC 15 2037

1000 0000 Sale	07/12/12	10/28/13	1,173.26	1,153.70	0.00	19.56 (G)
2000 0000 Sale	07/12/12	10/30/13	2,344.95	2,307.74	0.00	37.21 (G)
Security Subtotal			3,518.21	3,461.44	0.00	56.77

HOLOGIC INC
CONV SER 2012
STEP% MAR 01 2042

6000.0000 Sale	03/01/12	03/21/13	6,268.66	6,502.70	0.00	(234.04) (G)
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INCYTE PHARMACEUTICALS
CONV

04.750% OCT 01 2015	08/24/12	11/14/13	15,018.80	7,111.54	0.00	7,907.26
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CIENA CORP
CONV

00.875% JUN 15 2017	08/31/12	10/15/13	4,225.00	3,334.83	0.00	890.17
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NUANCE COMMUNICATIONS
CONV GLB

02.750% AUG 15 2027	04/24/12	10/10/13	3,349.50	3,911.02	0.00	(561.52)
3000 0000 Sale	05/11/12	10/10/13	3,349.50	4,004.05	0.00	(654.55)
Security Subtotal			6,699.00	7,915.07	0.00	(1,216.07)

ANGLOGOLD ASHANTI LTD
96 0000 Sale

035128206	07/10/12	09/19/13	1,369.28	3,360.91	0.00	(1,991.63)
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NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
APACHE CORPORATION								
DEPOSITORY SHARES								
6% MAND CNVT PFD								
14.0000	Sale	12/05/11	01/04/13	665.68	793.87	0.00	(128.19)	
32.0000	Sale	12/14/11	01/04/13	1,521.56	1,691.20	0.00	(169.64)	
Security Subtotal				2,187.24	2,485.07	0.00	(297.83)	
ANGLOGOLD HOLDINGS LTD								
SERIES								
CONV PFD STK								
84.0000	Exchange	07/10/12	09/16/13	11.57	34.35	0.00	(22.78)	
GENERAL MOTORS CO								
MANDATORY CONVERTIBLE								
JR PFD CONV SER B								
1.0000	Sale	02/24/11	01/03/13	44.87	50.33	0.00	(5.46)	
26.0000	Sale	07/11/11	01/03/13	1,166.69	1,286.59	0.00	(119.90)	
Security Subtotal				1,211.56	1,336.92	0.00	(125.36)	
GENERAL MOTORS CO								
COMMON SHARES								
27.0000	Sale	07/11/11	12/03/13	1,029.89	972.68	0.00	57.21	
111.0000	Sale	01/12/12	12/03/13	4,234.00	3,200.00	0.00	1,034.00	
50.0000	Sale	01/25/12	12/03/13	1,907.21	1,478.87	0.00	428.34	
78.0000	Sale	01/26/12	12/03/13	2,975.25	2,347.76	0.00	627.49	
Security Subtotal				10,146.35	7,999.31	0.00	2,147.04	
HARTFORD FINL SVCS GROUP								
77.0000	Sale	06/23/11	04/02/13	1,962.57	2,043.22	0.00	(80.65)	
METLIFE INC								
COM								
30.0000	Sale	03/14/11	09/18/13	1,497.73	1,493.57	0.00	4.16	
17.0000	Sale	03/16/11	09/18/13	848.85	813.51	0.00	35.34	
13.0000	Sale	03/22/11	09/18/13	648.86	650.90	0.00	(2.04)	
12.0000	Sale	06/30/11	09/18/13	599.17	577.02	0.00	22.15	
11.0000	Sale	02/03/12	09/18/13	549.18	465.60	0.00	83.58	
23.0000	Sale	06/07/12	09/18/13	1,148.51	815.01	0.00	333.50	
2.0000	Sale	06/25/12	09/18/13	99.77	69.34	0.00	30.43	
11.0000	Sale	06/26/12	09/18/13	549.17	382.22	0.00	166.95	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
METLIFE INC								
10 0000	COM Sale	08/03/12	59156R108 09/18/13	499 27	391 21	0 00	108 06	
6.0000	Sale	08/06/12	09/18/13	299.56	234 07	0 00	65.49	
	Security Subtotal			6,740.07	5,892.45	0.00	847.62	
MOLYCORP INC								
CONV PFD STK								
05.500% MAR 01 2014								
8.0000	Sale	07/08/11	04/10/13	167.20	869 92	0 00	(702.72)	
13 0000	Sale	07/08/11	04/11/13	269 59	1,413 62	0 00	(1,144.03)	
8.0000	Sale	09/21/11	04/11/13	165.90	672 14	0 00	(506 24)	
17 0000	Sale	03/20/12	04/11/13	352.55	1,167.15	0 00	(814 60)	
2.0000	Sale	03/21/12	04/11/13	41 48	140 82	0 00	(99.34)	
	Security Subtotal			996.72	4,263.65	0.00	(3,266.93)	
NEXTERA ENERGY INC								
80.0000	Sale	05/09/12	65339F507 09/03/13	0 00	4,326 57	0 00	(4,285.04)	
NEXTERA ENERGY INC SHS								
59 0000	Sale	05/09/12	65339F101 09/05/13	4,717 25	4,278 08	0 00	439 17	
Covered Long Term Capital Gains and Losses Subtotal				65,072.28	64,346.11	0 00	767.70	
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
BOSTON PROPERTIES INC								
CONV								
03.750% MAY 15 2036								
6000 0000	Sale	04/05/10	04/22/13	6,544.68	6,373.49	0 00	171.19	
3000 0000	Sale	11/22/11	04/22/13	3,272 34	3,331 50	0 00	(59.16)	
	Security Subtotal			9,817.02	9,704.99	0.00	112.03	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CHART INDUSTRIES INC								
CONV SR SUBORDINATED								
02.000% AUG 01 2018								
1000.0000	Sale	08/30/11	03/11/13	1,357.51	996.68	0.00	370.83	
2000.0000	Sale	10/21/11	03/11/13	2,735.03	2,055.80	0.00	679.23	
1000.0000	Sale	10/25/11	06/05/13	1,516.91	1,047.79	0.00	469.12	
2000.0000	Sale	10/28/11	06/05/13	3,033.84	2,143.89	0.00	889.95	
Security Subtotal				8,653.29	6,244.16	0.00	2,409.13	
CHESAPEAKE ENERGY CORP								
CONV COMPANY GUARNT								
02.750% NOV 15 2035								
3000.0000	Sale	02/24/11	03/06/13	2,985.00	3,957.33	0.00	(972.33)	(G)
FORD MOTOR COMPANY								
CONV								
04.250% NOV 15 2016								
3000.0000	Sale	03/23/11	04/25/13	4,878.75	5,254.35	0.00	(375.60)	
MOLSON COORS BREWING CO								
CONV COMPANY GUARNT								
02.500% JUL 30 2013								
2000.0000	Sale	11/06/07	03/22/13	2,052.49	2,420.00	0.00	(367.51)	
1000.0000	Sale	01/30/08	03/22/13	1,026.25	1,156.25	0.00	(130.00)	
2000.0000	Sale	10/31/08	03/22/13	2,052.50	1,891.40	0.00	161.10	
1000.0000	Sale	11/07/08	03/22/13	1,026.26	1,002.91	0.00	23.35	
2000.0000	Sale	11/04/09	03/27/13	2,062.50	2,215.00	0.00	(152.50)	
1000.0000	Sale	11/05/09	03/27/13	1,031.25	1,092.11	0.00	(60.86)	
1000.0000	Sale	01/27/11	07/23/13	1,016.80	1,156.25	0.00	(139.45)	
2000.0000	Sale	11/30/11	07/23/13	2,033.63	2,117.00	0.00	(83.37)	
Security Subtotal				12,301.68	13,050.92	0.00	(749.24)	
NETAPP INC.								
1.75% CONV SENIOR NOTES								
DUE JUNE 1, 2013								
1000.0000	Sale	04/12/11	02/12/13	1,157.24	1,562.36	0.00	(405.12)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SUNPOWER CORP CONV								
04.500% MAR 15 2015								
3000.0000	Sale	04/29/11	07/29/13	3,993.09	3,546.25	0.00	446.84	
1000.0000	Sale	04/29/11	10/30/13	1,420.63	1,182.08	0.00	238.55	
2000.0000	Sale	06/20/11	10/30/13	2,841.28	2,044.82	0.00	796.46	
3000.0000	Sale	08/05/11	10/30/13	4,261.92	3,057.25	0.00	1,204.67	
1000.0000	Sale	09/08/11	10/30/13	1,420.65	972.50	0.00	448.15	
Security Subtotal				13,937.57	10,802.90	0.00	3,134.67	
HARTFORD FINL SVCS GROUP								
71.0000	Sale	04/06/10	04/02/13	1,809.64	2,072.51	0.00	(262.87)	
NEXTERA ENERGY INC CONV PFD STK								
80.0000	Sale	05/09/12	08/09/13	4,014.60	4,326.57	0.00	(311.97)	TRANSACTION ADDED
WELLS FARGO & CO NEW 7.5% PERP CONV PFD STK								
1.0000	Sale	06/04/10	07/18/13	1,175.11	916.06	0.00	259.05	
3.0000	Sale	08/20/10	07/18/13	3,525.34	3,012.95	0.00	512.39	
Security Subtotal				4,700.45	3,929.01	0.00	771.44	
Noncovered Long Term Capital Gains and Losses Subtotal				64,255.24	60,905.10	0.00	3,350.14	
NET LONG TERM CAPITAL GAINS AND LOSSES				129,327.52	125,251.21	0.00	4,117.84	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available)

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								

ALEXANDRIA REAL EST. EQTS

CUSIP Number 015271109

REIT

5 0000	Sale	08/03/12	01/09/13	360.30	367.38	0.00	(7.08)	
3 0000	Sale	08/07/12	01/09/13	216.18	219.87	0.00	(3.69)	
4 0000	Sale	08/08/12	01/09/13	288.26	291.92	0.00	(3.66)	
7 0000	Sale	05/15/13	08/16/13	435.17	539.24	0.00	(104.07)	
5 0000	Sale	05/15/13	08/27/13	311.90	385.17	0.00	(73.27)	
7 0000	Sale	05/15/13	09/12/13	440.93	539.24	0.00	(98.31)	
6 0000	Sale	05/15/13	09/16/13	380.85	462.20	0.00	(81.35)	
6 0000	Sale	05/15/13	10/11/13	382.49	465.72	0.00	(83.23)	
1 0000	Sale	05/21/13	10/11/13	63.75	76.96	0.00	(13.21)	
7 0000	Sale	05/21/13	10/16/13	445.78	538.63	0.00	(92.85)	
Security Subtotal				3,325.61	3,886.33	0.00	(560.72)	

AVALONBAY CMMUN INC

CUSIP Number 053484101

REIT

1 0000	Sale	03/06/12	01/30/13	135.82	133.67	0.00	2.15	
1 0000	Sale	06/13/12	01/30/13	135.82	138.71	0.00	(2.89)	
2 0000	Sale	06/13/12	02/07/13	254.50	277.42	0.00	(22.92)	
3 0000	Sale	06/13/12	03/04/13	379.12	416.14	0.00	(37.02)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AVALONBAY CMMUN INC REIT								
		CUSIP Number	053484101					
1 0000	Sale	06/19/12	03/04/13	126.38	141.72	0.00	(15.34)	
2 0000	Sale	06/19/12	03/06/13	252.45	283.45	0.00	(31.00)	
1 0000	Sale	06/25/12	03/06/13	126.23	137.48	0.00	(11.25)	
2 0000	Sale	06/25/12	03/07/13	250.25	274.97	0.00	(24.72)	
1 0000	Sale	11/29/12	03/07/13	125.13	133.73	0.00	(8.60)	
4 0000	Sale	11/29/12	03/08/13	496.82	534.93	0.00	(38.11)	
Security Subtotal				2,282.52	2,472.22	0.00	(189.70)	
AMERICAN TOWER REIT INC (HLDG CO) SHS								
		CUSIP Number	03027X100					
6 0000	Sale	10/16/13	11/05/13	474.51	461.66	0.00	12.85	
6 0000	Sale	10/16/13	11/26/13	469.28	461.66	0.00	7.62	
Security Subtotal				943.79	923.32	0.00	20.47	
AVIV REIT INC MD								
		CUSIP Number	05381L101					
12 0000	Sale	03/21/13	04/24/13	303.38	272.62	0.00	30.76	
8 0000	Sale	03/21/13	04/25/13	201.63	181.75	0.00	19.88	
Security Subtotal				505.01	454.37	0.00	50.64	
BRE PPTYS INC MARYLAND A REIT								
		CUSIP Number	05564E106					
9 0000	Sale	04/02/13	12/09/13	522.20	444.05	0.00	78.15	
1 0000	Sale	04/05/13	12/09/13	58.03	50.11	0.00	7.92	
7 0000	Sale	04/05/13	12/13/13	387.90	350.74	0.00	37.16	
Security Subtotal				968.13	844.90	0.00	123.23	
BROOKFIELD OFFICE PROPERTIES INC								
		CUSIP Number	112900105					
9 0000	Sale	02/22/12	01/09/13	151.29	153.53	0.00	(2.24)	
15 0000	Sale	05/04/12	01/09/13	252.16	263.84	0.00	(11.68)	
7 0000	Sale	05/04/12	01/14/13	117.25	123.12	0.00	(5.87)	
17 0000	Sale	05/08/12	01/14/13	284.76	303.88	0.00	(19.12)	
1 0000	Sale	05/11/12	01/14/13	16.76	17.92	0.00	(1.16)	
10 0000	Sale	05/11/12	01/18/13	167.84	179.15	0.00	(11.31)	
15 0000	Sale	05/11/12	01/22/13	252.14	268.73	0.00	(16.59)	
Security Subtotal				1,242.20	1,310.17	0.00	(67.97)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BIOMED REALTY TR INC								
1.0000	Sale	11/09/12	09063H107	19.77	18.78	0.00	0.99	
21.0000	Sale	11/14/12	01/09/13	415.27	390.66	0.00	24.61	
21.0000	Sale	11/19/12	01/15/13	418.43	392.90	0.00	25.53	
	Security Subtotal			853.47	802.34	0.00	51.13	
BRIXMOR PPTY GROUP INC								
22.0000	Sale	10/30/13	11720U105	439.99	451.06	0.00	(11.07)	
18.0000	Sale	10/30/13	12/03/13	361.56	369.05	0.00	(7.49)	
3.0000	Sale	10/30/13	12/06/13	60.39	61.51	0.00	(1.12)	
	Security Subtotal			861.94	881.62	0.00	(19.68)	
COLONIAL PPTYS T SBI ALA REIT								
18.0000	Sale	07/27/12	195872106	395.63	397.32	0.00	(0.69)	
CBL & ASSOC PPTYS INC REIT								
19.0000	Sale	03/27/13	09/06/13	366.84	447.36	0.00	(80.52)	
12.0000	Sale	03/28/13	09/06/13	231.70	283.26	0.00	(51.56)	
7.0000	Sale	03/28/13	09/13/13	135.07	165.24	0.00	(30.17)	
20.0000	Sale	04/03/13	09/13/13	385.94	467.10	0.00	(81.16)	
38.0000	Sale	04/10/13	09/13/13	733.29	911.98	0.00	(178.69)	
	Security Subtotal			1,852.84	2,274.94	0.00	(422.10)	
CEDAR REALTY TRUST INC								
21.0000	Sale	02/11/13	150602209	119.34	104.91	0.00	14.43	
35.0000	Sale	02/12/13	07/15/13	198.90	174.92	0.00	23.98	
11.0000	Sale	02/12/13	07/16/13	62.73	54.97	0.00	7.76	
12.0000	Sale	02/13/13	07/16/13	68.45	59.86	0.00	8.59	
	Security Subtotal			449.42	394.66	0.00	54.76	
COLONY FINANCIAL INC								
20.0000	Sale	01/14/13	19624R106	447.25	428.11	0.00	19.14	
20.0000	Sale	01/14/13	03/25/13	409.24	428.10	0.00	(18.86)	
	Security Subtotal			856.49	856.21	0.00	0.28	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CHESAPEAKE LODGING TR								
		CUSIP Number	165240102					
4 0000	Sale	09/13/12	03/21/13	90.14	77.20	0.00	12.94	
14.0000	Sale	09/13/12	03/22/13	317.53	270.20	0.00	47.33	
4 0000	Sale	09/13/12	06/06/13	87.11	77.20	0.00	9.91	
11.0000	Sale	01/17/13	06/06/13	239.56	236.19	0.00	3.37	
4 0000	Sale	01/17/13	06/07/13	87.35	85.89	0.00	1.46	
3.0000	Sale	01/17/13	06/10/13	65.56	64.42	0.00	1.14	
10.0000	Sale	02/04/13	06/10/13	218.53	214.83	0.00	3.70	
7.0000	Sale	02/05/13	06/10/13	152.98	151.14	0.00	1.84	
	Security Subtotal			1,258.76	1,177.07	0.00	81.69	
BLACKSTONE MORTGAGE TRUS INC								
		CUSIP Number	09257W100					
18 0000	Sale	05/23/13	06/14/13	452.52	490.27	0.00	(37.75)	
COUSINS PROPS INC REIT								
		CUSIP Number	222795106					
18 0000	Sale	02/26/13	10/25/13	204.75	171.82	0.00	32.93	
13 0000	Sale	02/27/13	10/25/13	147.89	125.42	0.00	22.47	
14 0000	Sale	02/27/13	10/28/13	158.08	135.06	0.00	23.02	
7 0000	Sale	03/20/13	10/28/13	79.04	73.37	0.00	5.67	
	Security Subtotal			589.76	505.67	0.00	84.09	
DUKE REALTY CORP REIT								
		CUSIP Number	264411505					
33 0000	Sale	01/10/13	10/29/13	550.15	484.86	0.00	65.29	
27 0000	Sale	01/10/13	11/01/13	444.65	396.69	0.00	47.96	
22 0000	Sale	01/10/13	12/04/13	331.56	323.08	0.00	8.48	
5 0000	Sale	01/10/13	12/10/13	75.16	73.45	0.00	1.71	
22 0000	Sale	01/17/13	12/10/13	330.73	327.00	0.00	3.73	
20 0000	Sale	01/17/13	12/17/13	289.87	299.42	0.00	(9.55)	
7 0000	Sale	01/17/13	12/17/13	101.45	104.05	0.00	(2.60)	
	Security Subtotal			2,123.57	2,008.55	0.00	115.02	
DIGITAL RLTY TR INC								
		CUSIP Number	253868103					
3 0000	Sale	06/27/12	05/21/13	191.94	218.27	0.00	(26.33)	
7 0000	Sale	06/27/12	06/11/13	430.86	509.28	0.00	(78.42)	
5 0000	Sale	06/28/12	06/11/13	307.77	364.17	0.00	(56.40)	
3 0000	Sale	11/06/12	06/27/13	180.67	180.93	0.00	(0.26)	
5 0000	Sale	11/08/12	06/27/13	301.12	306.14	0.00	(5.02)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DIGITAL RLTY TR INC								
	CUSIP Number	253868103						
1 0000	Sale	11/08/12	06/27/13	60.22	61.13	0.00	(0.91)	
6 0000	Sale	11/09/12	06/27/13	361.36	368.97	0.00	(7.61)	
5 0000	Sale	02/19/13	07/02/13	299.50	320.63	0.00	(21.13)	
8 0000	Sale	02/19/13	07/05/13	471.53	513.01	0.00	(41.48)	
8 0000	Sale	02/19/13	07/11/13	510.50	513.01	0.00	(2.51)	
	Security Subtotal			3,115.47	3,355.54	0.00	(240.07)	
DCT INDUSTRIAL TRUST INC								
	CUSIP Number	233153105						
65 0000	Sale	09/05/12	04/02/13	475.50	414.65	0.00	60.85	
7 0000	Sale	09/06/12	04/02/13	51.21	44.95	0.00	6.26	
56 0000	Sale	09/06/12	04/09/13	406.98	358.08	0.00	48.90	
1 0000	Sale	09/06/12	05/15/13	8.25	6.41	0.00	1.84	
60 0000	Sale	09/14/12	05/15/13	495.12	404.18	0.00	90.94	
2 0000	Sale	12/12/12	05/15/13	16.51	12.54	0.00	3.97	
10 0000	Sale	12/12/12	05/16/13	82.68	62.67	0.00	20.01	
20 0000	Sale	12/12/12	06/13/13	143.82	125.31	0.00	18.51	
36 0000	Sale	12/13/12	06/13/13	258.90	224.81	0.00	34.09	
2 0000	Sale	12/13/12	06/27/13	14.44	12.50	0.00	1.94	
60 0000	Sale	12/21/12	06/27/13	433.45	388.10	0.00	45.35	
60 0000	Sale	01/11/13	06/27/13	433.45	399.79	0.00	33.66	
	Security Subtotal			2,820.31	2,453.99	0.00	366.32	
DDR CORP COM								
	CUSIP Number	23317H102						
27 0000	Sale	09/11/12	03/26/13	474.12	410.82	0.00	63.30	
20 0000	Sale	09/17/12	06/03/13	346.86	312.03	0.00	34.83	
7 0000	Sale	09/17/12	06/04/13	120.21	109.22	0.00	10.99	
19 0000	Sale	09/20/12	06/04/13	326.30	289.80	0.00	36.50	
7 0000	Sale	09/20/12	08/29/13	109.90	105.86	0.00	4.04	
18 0000	Sale	09/25/12	08/29/13	282.62	270.12	0.00	12.50	
	Security Subtotal			1,660.01	1,497.85	0.00	162.16	
EQUITY RESIDENTIAL REIT								
	CUSIP Number	29476L107						
2 0000	Sale	02/07/12	02/04/13	108.65	115.03	6.38 (WY)	0.00	
2 0000	Sale	02/07/12	02/04/13	108.65	113.85	5.20 (WY)	0.00	
6 0000	Sale	02/07/12	02/07/13	329.12	341.57	0.00 (Y)	(12.45)	
2 0000	Sale	02/14/12	02/07/13	109.71	117.09	0.00	(7.38)	

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EQUITY RESIDENTIAL REIT								
		CUSIP Number	29476L107					
5 0000	Sale	02/14/12	02/11/13	282.08	292.74	0.00	(10.66)	
5 0000	Sale	05/16/12	02/11/13	282.08	309.46	0.00	(27.38)	
	Security Subtotal			1,220.29	1,289.74	11.58	(57.87)	
EMERITUS CORP								
		CUSIP Number	291005106					
12 0000	Sale	06/06/13	11/07/13	250.16	289.91	0.00	(39.75)	
7 0000	Sale	06/07/13	11/07/13	145.93	173.09	0.00	(27.16)	
	Security Subtotal			396.09	463.00	0.00	(66.91)	
EQTY LIFESTYLS PPTYS INC								
		CUSIP Number	29472R108					
12 0000	Sale	03/28/13	07/23/13	487.40	457.44	0.00	29.96	
6 0000	Sale	04/11/13	07/23/13	243.70	241.31	0.00	2.39	
6 0000	Sale	04/11/13	07/24/13	241.11	241.31	0.00	(0.20)	
10 0000	Sale	04/16/13	09/10/13	353.28	399.63	0.00	(46.35)	
2 0000	Sale	04/29/13	09/26/13	69.51	80.19	0.00	(10.68)	
10 0000	Sale	04/30/13	09/26/13	347.60	402.47	0.00	(54.87)	
2 0000	Sale	04/30/13	10/03/13	67.77	80.50	0.00	(12.73)	
10 0000	Sale	05/01/13	10/03/13	338.90	407.83	0.00	(68.93)	
	Security Subtotal			2,149.27	2,310.68	0.00	(161.41)	
EDUCATION REALTY TR INC								
		CUSIP Number	28140H104					
14 0000	Sale	11/08/12	04/11/13	155.14	141.51	0.00	13.63	
27 0000	Sale	11/09/12	04/11/13	299.22	272.38	0.00	26.84	
	Security Subtotal			454.36	413.89	0.00	40.47	
FIRST INDL REALTY TR INC								
		CUSIP Number	32054K103					
30 0000	Sale	12/17/12	02/19/13	490.41	408.95	0.00	81.46	
15 0000	Sale	12/20/12	02/19/13	245.20	210.05	0.00	35.15	
14 0000	Sale	12/21/12	02/19/13	228.87	195.95	0.00	32.92	
8 0000	Sale	04/23/13	08/13/13	129.43	138.53	9.10 (W)	0.00	
4 0000	Sale	04/23/13	08/14/13	64.50	69.26	4.76 (W)	0.00	
15 0000	Sale	04/23/13	08/14/13	241.86	259.74	17.88 (W)	0.00	
1 0000	Sale	04/23/13	08/14/13	16.13	18.08	1.95 (WY)	0.00	
	Security Subtotal			1,416.40	1,300.56	33.69	149.53	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FDL R INV TR SBI NEW MD REIT								
		CUSIP Number	313747206					
2 0000	Sale	06/26/12	01/15/13	212.50	201.18	0.00	11.32	
4 0000	Sale	07/05/12	01/15/13	425.00	424.19	0.00	0.81	
1 0000	Sale	07/16/12	01/15/13	106.25	107.53	0.00	(1.28)	
2 0000	Sale	07/16/12	01/25/13	210.39	215.05	0.00	(4.66)	
1 0000	Sale	07/25/12	01/25/13	105.20	106.50	0.00	(1.30)	
3 0000	Sale	07/25/12	02/04/13	317.64	319.48	0.00	(1.84)	
2 0000	Sale	08/21/12	02/04/13	211.77	213.94	0.00	(2.17)	
1 0000	Sale	08/21/12	02/25/13	106.79	106.97	0.00	(0.18)	
4 0000	Sale	08/22/12	02/25/13	427.17	427.39	0.00	(0.22)	
3 0000	Sale	10/04/12	02/25/13	320.39	321.45	0.00	(1.06)	
4 0000	Sale	10/04/12	02/28/13	425.16	428.61	0.00	(3.45)	
	Security Subtotal			2,868.26	2,872.29	0.00	(4.03)	

HEALTHCARE REALTY TR REIT

CUSIP Number 421946104

HIGHWOODS PPTYS INC REIT

CUSIP Number 431284108

17 0000	Sale	08/14/12	02/08/13	434.22	405.92	0.00	28.30	
Security Subtotal								
14 0000	Sale	10/31/12	02/08/13	510.50	448.66	0.00	61.84	
11 0000	Sale	10/31/12	04/15/13	439.15	352.07	0.00	87.08	
3 0000	Sale	11/09/12	04/15/13	119.77	96.68	0.00	23.09	
9 0000	Sale	11/09/12	04/24/13	362.52	290.04	0.00	72.48	
2 0000	Sale	11/14/12	04/24/13	80.57	63.47	0.00	17.10	
11 0000	Sale	11/14/12	05/15/13	438.39	349.06	0.00	89.33	
1 0000	Sale	12/14/12	05/15/13	39.86	32.41	0.00	7.45	
11 0000	Sale	12/14/12	05/15/13	437.25	356.55	0.00	80.70	
12 0000	Sale	01/09/13	05/21/13	473.10	413.16	0.00	59.94	
	Security Subtotal			2,901.11	2,402.10	0.00	499.01	

HOSPITALITY PPTYS TRUST REIT

CUSIP Number 44106M102

18 0000	Sale	01/15/13	02/20/13	479.31	436.81	0.00	42.50	
14 0000	Sale	01/30/13	02/21/13	372.09	352.60	0.00	19.49	
2 0000	Sale	01/31/13	02/21/13	53.16	50.50	0.00	2.66	
16 0000	Sale	03/20/13	05/24/13	493.44	422.56	0.00	70.88	
5 0000	Sale	03/20/13	05/28/13	155.99	132.06	0.00	23.93	
14 0000	Sale	03/20/13	06/04/13	394.11	369.74	0.00	24.37	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HOSPITALITY PPTYS TRUST								
		CUSIP Number	44106M102					
15 0000	Sale	03/20/13	06/20/13	373.23	396.16	0.00	(22.93)	
17 0000	Sale	03/21/13	06/21/13	418.63	448.11	0.00	(29.48)	
	Security Subtotal			2,739.96	2,608.54	0.00	131.42	
HOST HOTELS & RESORTS								
		CUSIP Number	44107P104					
13 0000	Sale	04/03/12	03/21/13	218.69	217.10	0.00	1.59	
20 0000	Sale	04/04/12	03/21/13	336.46	330.34	0.00	6.12	
6 0000	Sale	04/12/12	03/21/13	100.94	98.37	0.00	2.57	
10 0000	Sale	07/19/12	04/29/13	178.29	150.90	0.00	27.39	
9 0000	Sale	04/22/13	08/15/13	153.02	158.25	0.00	(5.23)	
18 0000	Sale	04/22/13	08/19/13	296.94	316.49	0.00	(19.55)	
10 0000	Sale	04/26/13	08/19/13	164.97	178.70	0.00	(13.73)	
	Security Subtotal			1,449.31	1,450.15	0.00	(0.84)	
HCP INC								
		CUSIP Number	40414L109					
14 0000	Sale	04/12/12	03/21/13	690.60	540.25	0.00	150.35	
8 0000	Sale	04/12/12	04/03/13	401.13	308.72	0.00	92.41	
1 0000	Sale	05/16/12	04/03/13	50.15	40.68	0.00	9.47	
10 0000	Sale	05/16/12	04/05/13	504.94	406.82	0.00	98.12	
	Security Subtotal			1,646.82	1,296.47	0.00	350.35	
HYATT HOTELS CORP								
		CUSIP Number	448579102					
2 0000	Sale	01/23/12	01/08/13	77.62	81.19	0.00	(3.57)	
10 0000	Sale	01/23/12	01/08/13	388.11	407.10	0.00 (Y)	(18.99)	
	Security Subtotal			465.73	488.29	0.00	(22.56)	
HEALTH CARE REIT INC COM								
		CUSIP Number	42217K106					
12 0000	Sale	09/19/12	01/14/13	743.30	682.21	0.00	61.09	
1 0000	Sale	09/19/12	01/17/13	61.78	56.86	0.00	4.92	
5 0000	Sale	09/20/12	01/17/13	308.91	284.76	0.00	24.15	
8 0000	Sale	09/20/12	01/24/13	502.63	455.63	0.00	47.00	
1 0000	Sale	09/20/12	01/30/13	62.93	56.95	0.00	5.98	
5 0000	Sale	09/21/12	01/30/13	314.65	285.59	0.00	29.06	
2 0000	Sale	09/21/12	05/15/13	151.87	112.84	0.00	39.03	
6 0000	Sale	11/14/12	05/15/13	455.64	349.07	0.00	106.57	
4 0000	Sale	11/14/12	10/30/13	259.18	231.30	0.00	27.88	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HEALTH CARE REIT INC COM								
		CUSIP Number	42217K106					
2 0000	Sale	11/14/12	11/05/13	126.12	115.67	0.00	10.45	
4 0000	Sale	11/19/12	11/05/13	252.26	236.03	0.00	16.23	
1 0000	Sale	11/19/12	11/12/13	59.59	58.67	0.00	0.92	
2 0000	Sale	11/19/12	11/12/13	119.18	117.33	0.00	1.85	
2 0000	Sale	02/07/13	11/12/13	119.18	121.68	0.00	(2.50)	
5 0000	Sale	02/07/13	11/19/13	297.16	304.13	0.00	(6.97)	
1 0000	Sale	02/19/13	11/19/13	59.44	62.57	0.00	(3.13)	
5 0000	Sale	02/19/13	11/22/13	288.44	312.80	0.00	(24.36)	
1 0000	Sale	11/21/13	11/22/13	57.68	58.76	0.00	(1.08)	
6 0000	Sale	02/21/13	11/26/13	337.87	376.82	0.00	(38.95)	
5 0000	Sale	02/21/13	11/27/13	282.71	314.01	0.00	(31.30)	
3 0000	Sale	02/25/13	11/27/13	169.63	188.81	0.00	(19.18)	
5 0000	Sale	02/25/13	12/11/13	272.25	314.68	0.00	(42.43)	
1 0000	Sale	02/25/13	12/13/13	53.79	62.95	0.00	(9.16)	
7 0000	Sale	03/27/13	12/13/13	376.58	462.84	0.00	(86.26)	
7 0000	Sale	03/27/13	12/18/13	373.92	462.84	0.00	(88.92)	
Security Subtotal				6,106.69	6,085.80	0.00	20.89	

KIMCO REALTY CORP MD COM

REIT

CUSIP Number 49446R109

21 0000	Sale	07/18/12	03/06/13	465.12	404.62	0.00	60.50	
4 0000	Sale	07/20/12	03/06/13	88.60	76.23	0.00	12.37	
18 0000	Sale	07/20/12	03/26/13	398.18	343.04	0.00	55.14	
2 0000	Sale	07/24/12	03/26/13	44.25	37.38	0.00	6.87	
19 0000	Sale	07/24/12	03/27/13	420.41	355.05	0.00	65.36	
20 0000	Sale	07/26/12	04/16/13	459.62	373.52	0.00	86.10	
2 0000	Sale	07/26/12	04/18/13	44.95	37.36	0.00	7.59	
16 0000	Sale	08/20/12	04/18/13	359.62	319.74	0.00	39.88	
1 0000	Sale	08/21/12	04/18/13	22.48	20.24	0.00	2.24	
18 0000	Sale	08/21/12	05/15/13	444.23	364.14	0.00	80.09	
1 0000	Sale	10/05/12	05/15/13	24.69	20.19	0.00	4.50	
18 0000	Sale	10/05/12	05/15/13	442.81	363.16	0.00	79.65	
8 0000	Sale	11/30/12	05/15/13	196.81	152.59	0.00	44.22	
19 0000	Sale	11/30/12	08/01/13	433.88	360.96	0.00	72.92	
11 0000	Sale	12/04/12	08/01/13	251.20	211.83	0.00	39.37	
10 0000	Sale	12/04/12	08/09/13	221.35	192.58	0.00	28.77	
6 0000	Sale	01/17/13	08/09/13	132.82	118.38	0.00	14.44	
21 0000	Sale	01/17/13	08/26/13	429.91	414.29	0.00	15.62	
14 0000	Sale	01/24/13	08/26/13	286.61	282.65	0.00	3.96	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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KIMCO REALTY CORP MD COM REIT CUSIP Number 49446R109

5 0000	Sale	01/24/13	08/27/13	101.58	100.95	0.00	0.63	
16.0000	Sale	01/29/13	08/27/13	325.08	330.56	0.00	(5.48)	
20.0000	Sale	01/29/13	09/05/13	402.71	413.20	0.00	(10.49)	
3 0000	Sale	01/29/13	09/10/13	60.83	62.00	0.00	(1.17)	
16.0000	Sale	02/04/13	09/10/13	324.43	333.54	0.00	(9.11)	
17 0000	Sale	02/08/13	09/10/13	344.72	361.35	0.00	(16.63)	
5 0000	Sale	05/31/13	09/10/13	101.39	110.69	0.00	(9.30)	
20 0000	Sale	05/31/13	09/23/13	411.14	442.74	0.00	(31.60)	
21 0000	Sale	06/04/13	09/30/13	424.18	460.02	0.00	(35.84)	
1 0000	Sale	06/27/13	09/30/13	20.20	21.42	0.00	(1.22)	
21 0000	Sale	06/27/13	10/03/13	414.07	448.10	0.00	(34.03)	
Security Subtotal				8,097.87	7,532.52	0.00	565.35	

LIBERTY PPTY TR SBI REIT CUSIP Number 531172104

12.0000	Sale	12/17/12	02/19/13	475.83	417.03	0.00	58.80	
1.0000	Sale	12/21/12	02/19/13	39.66	35.70	0.00	3.96	
10.0000	Sale	12/21/12	02/20/13	393.93	357.02	0.00	36.91	
1 0000	Sale	12/28/12	02/20/13	39.40	35.75	0.00	3.65	
11 0000	Sale	12/28/12	02/21/13	427.10	393.26	0.00	33.84	
9 0000	Sale	01/02/13	02/28/13	349.12	323.97	0.00	25.15	
2 0000	Sale	01/02/13	03/01/13	77.41	71.99	0.00	5.42	
1 0000	Sale	01/02/13	03/01/13	38.40	36.00	0.00	2.40	
10 0000	Sale	01/09/13	03/01/13	384.05	371.61	0.00	12.44	
Security Subtotal				2,224.90	2,042.33	0.00	182.57	

MID AMERICA APT CMNTYS REIT CUSIP Number 59522J103

3 0000	Sale	04/12/12	04/11/13	209.55	196.11	0.00	13.44	
2 0000	Sale	05/08/12	04/22/13	137.05	136.88	0.00	0.17	
3 0000	Sale	05/08/12	04/30/13	206.12	205.31	0.00	0.81	
4 0000	Sale	05/10/12	04/30/13	274.84	276.95	0.00	(2.11)	
Security Subtotal				827.56	815.25	0.00	12.31	

MACK CALI REALTY CORP REIT CUSIP Number 554489104

21 0000	Sale	02/08/13	11/04/13	427.26	556.88	0.00	(129.62)	
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NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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NATIONAL RETAIL PTYS INC CUSIP Number 637417106

10 0000	Sale	02/11/13	06/13/13	351.29	328.93	0.00	22.36	
3 0000	Sale	02/11/13	06/17/13	108.34	98.68	0.00	9.66	
10 0000	Sale	02/13/13	06/17/13	361.16	332.40	0.00	28.76	
3 0000	Sale	02/13/13	10/10/13	95.99	99.48	0.00	(3.49)	
5 0000	Sale	02/14/13	10/10/13	160.00	166.59	0.00	(6.59)	
Security Subtotal				1,076.78	1,026.08	0.00	50.70	

PARKWAY PTYS INC (MD) REIT CUSIP Number 70159Q104

14 0000	Sale	02/06/13	04/26/13	254.03	229.99	0.00	24.04	
7 0000	Sale	02/06/13	04/29/13	127.21	115.00	0.00	12.21	
6 0000	Sale	02/07/13	05/21/13	115.98	98.33	0.00	17.65	
6 0000	Sale	02/21/13	05/21/13	115.99	99.92	0.00	16.07	
14 0000	Sale	02/21/13	05/22/13	271.38	233.15	0.00	38.23	
5 0000	Sale	03/22/13	05/23/13	91.57	90.61	0.00	0.96	
15 0000	Sale	03/22/13	05/24/13	269.77	271.84	0.00	(2.07)	
6 0000	Sale	03/25/13	05/24/13	107.92	110.04	0.00	(2.12)	
Security Subtotal				1,353.85	1,248.88	0.00	104.97	

PS BUSINESS PARKS INC REIT CUSIP Number 69360J107

2 0000	Sale	01/14/13	04/24/13	155.60	136.78	0.00	18.82	
2 0000	Sale	01/15/13	04/24/13	155.60	136.52	0.00	19.08	
2 0000	Sale	01/15/13	04/25/13	155.93	136.52	0.00	19.41	
2 0000	Sale	01/31/13	09/10/13	144.93	142.67	0.00	2.26	
4 0000	Sale	02/01/13	09/10/13	289.89	288.03	0.00	1.86	
Security Subtotal				901.95	840.52	0.00	61.43	

REALTY INCM CRP MD PV\$1. REIT CUSIP Number 756109104

9 0000	Sale	02/13/13	06/13/13	393.08	396.81	0.00	(3.73)	
1 0000	Sale	02/13/13	06/27/13	42.43	44.02	0.00	(1.59)	
10 0000	Sale	02/20/13	06/27/13	424.31	442.34	0.00	(18.03)	
7 0000	Sale	02/21/13	06/27/13	297.02	307.82	0.00	(10.80)	
4 0000	Sale	02/28/13	06/27/13	169.74	181.88	0.00	(12.14)	
7 0000	Sale	02/28/13	07/01/13	293.17	318.29	0.00	(25.12)	
9 0000	Sale	03/07/13	07/01/13	376.94	410.92	0.00	(33.98)	
11 0000	Sale	03/07/13	07/05/13	463.95	502.24	0.00	(38.29)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
REALTY INCM CRP MD PV\$1. REIT								
		CUSIP Number	756109104					
10 0000	Sale	03/28/13	07/09/13	431.79	449.56	0.00	(17.77)	
1 0000	Sale	03/28/13	07/10/13	43.10	44.96	0.00	(1.86)	
10 0000	Sale	04/05/13	07/10/13	431.02	453.65	0.00	(22.63)	
10 0000	Sale	04/09/13	07/11/13	443.13	460.74	0.00	(17.61)	
	Security Subtotal			3,809.68	4,013.23	0.00	(203.55)	
RLJ LODGING TRUST COMMON SHARES								
		CUSIP Number	74965L101					
7 0000	Sale	01/17/13	08/08/13	168.64	144.90	0.00	23.74	
5 0000	Sale	01/18/13	08/08/13	120.47	103.93	0.00	16.54	
9 0000	Sale	01/18/13	08/09/13	219.02	187.07	0.00	31.95	
5 0000	Sale	03/21/13	08/09/13	121.69	109.46	0.00	12.23	
19 0000	Sale	03/21/13	08/15/13	451.73	415.93	0.00	35.80	
16 0000	Sale	03/21/13	08/16/13	368.65	350.25	0.00	18.40	
2 0000	Sale	03/22/13	08/16/13	46.09	43.82	0.00	2.27	
18 0000	Sale	03/22/13	08/21/13	414.87	394.41	0.00	20.46	
	Security Subtotal			1,911.16	1,749.77	0.00	161.39	
RETAIL PROPERTIES OF AMERICA INC SHS CL A								
		CUSIP Number	76131V202					
28 0000	Sale	04/16/13	11/27/13	368.23	404.00	0.00	(35.77)	
SUN COMMNTYS INC REIT								
		CUSIP Number	866674104					
9 0000	Sale	03/14/13	09/03/13	378.58	411.05	0.00	(32.47)	
10 0000	Sale	03/15/13	09/30/13	425.42	453.47	0.00	(28.05)	
	Security Subtotal			804.00	864.52	0.00	(60.52)	
STARWOOD HOTELS AND RESORTS WORLDWIDE NE								
		CUSIP Number	85590A401					
7 0000	Sale	06/07/12	03/14/13	442.99	362.90	0.00	80.09	
3 0000	Sale	06/19/12	03/14/13	189.86	158.69	0.00	31.17	
4 0000	Sale	06/19/12	04/22/13	244.41	211.58	0.00	32.83	
2 0000	Sale	10/31/12	04/22/13	122.21	103.77	0.00	18.44	
7 0000	Sale	10/31/12	04/26/13	437.22	363.21	0.00	74.01	
1 0000	Sale	11/01/12	04/26/13	62.47	51.65	0.00	10.82	
7 0000	Sale	11/01/12	04/30/13	449.28	361.51	0.00	87.77	
	Security Subtotal			1,948.44	1,613.31	0.00	335.13	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SENIOR HSG PTYS TRSBI								
18.0000	Sale	11/02/12	02/19/13	447.40	403.21	0.00	44.19	
18.0000	Sale	11/06/12	02/21/13	444.17	404.32	0.00	39.85	
18.0000	Sale	11/08/12	02/26/13	450.33	398.34	0.00	51.99	
17.0000	Sale	11/14/12	02/26/13	425.32	376.60	0.00	48.72	
	Security Subtotal			1,767.22	1,582.47	0.00	184.75	
STAG INDUSTRIALS, INC. COM								
22.0000	Sale	01/17/13	05/31/13	486.26	425.59	0.00	60.67	
TANGER FACTORY OUTLT CEN REIT								
12.0000	Sale	04/23/12	04/11/13	451.54	364.70	0.00	86.84	
1.0000	Sale	04/23/12	04/19/13	37.02	30.40	0.00	6.62	
10.0000	Sale	11/30/12	04/19/13	370.21	328.88	0.00	41.33	
1.0000	Sale	11/30/12	04/29/13	36.97	32.88	0.00	4.09	
12.0000	Sale	12/04/12	04/29/13	443.72	395.46	0.00	48.26	
1.0000	Sale	12/04/12	05/01/13	37.04	32.97	0.00	4.07	
11.0000	Sale	12/12/12	05/01/13	407.51	365.38	0.00	42.13	
1.0000	Sale	12/13/12	05/09/13	37.17	33.17	0.00	4.00	
3.0000	Sale	01/24/13	05/09/13	111.51	105.77	0.00	5.74	
9.0000	Sale	01/24/13	05/10/13	334.69	317.29	0.00	17.40	
	Security Subtotal			2,267.38	2,006.90	0.00	260.48	
TAUBMAN CENTERS INC COM REITS-REGIONAL MALLS								
5.0000	Sale	10/05/12	01/17/13	401.60	388.07	0.00	13.53	
5.0000	Sale	10/08/12	01/17/13	401.61	389.09	0.00	12.52	
5.0000	Sale	10/19/12	01/18/13	398.49	399.31	0.00	(0.82)	
	Security Subtotal			1,201.70	1,176.47	0.00	25.23	
TOLL BROS INC COM								
15.0000	Sale	04/18/13	04/24/13	505.26	459.92	0.00	45.34	
1.0000	Sale	04/19/13	04/24/13	33.69	30.87	0.00	2.82	
14.0000	Sale	04/19/13	05/06/13	493.99	432.22	0.00	61.77	
13.0000	Sale	08/19/13	12/03/13	429.32	398.15	0.00	31.17	
4.0000	Sale	09/03/13	12/03/13	132.11	121.82	0.00	10.29	
	Security Subtotal			1,594.37	1,442.98	0.00	151.39	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
W P CAREY INC COM								
1.0000	Sale	02/05/13	07/01/13	66.45	56.59	0.00	9.86	
5.0000	Sale	02/04/13	07/01/13	332.24	281.83	0.00	50.41	
	Security Subtotal			398.69	338.42	0.00	60.27	
WASH R E INV TR SBI \$.01 REIT								
7.0000	Sale	01/10/13	02/21/13	191.69	189.86	0.00	1.83	
8.0000	Sale	01/11/13	02/21/13	219.09	217.46	0.00	1.63	
1.0000	Sale	01/11/13	03/20/13	27.12	27.07	0.00	0.05	
15.0000	Sale	01/25/13	03/20/13	406.93	420.29	0.00	(13.36)	
	Security Subtotal			844.83	854.68	0.00	(9.85)	
WEINGARTEN RLTY INVS SBI REIT								
12.0000	Sale	01/23/12	01/17/13	333.42	283.59	0.00	49.83	
10.0000	Sale	02/16/12	01/24/13	279.90	248.42	0.00	31.48	
12.0000	Sale	03/09/12	03/07/13	368.64	298.98	0.00	69.66	
10.0000	Sale	08/20/12	03/26/13	313.51	279.63	0.00	33.88	
	Security Subtotal			1,295.47	1,110.62	0.00	184.85	

Covered Short Term Capital Gains and Losses Subtotal

84,414.56

82,020.19

45.27

2,439.64

NET SHORT TERM CAPITAL GAINS AND LOSSES

84,414.56

82,020.19

45.27

2,439.64

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

APARTMENT INVT & MGMT CO								
CL A		CUSIP Number	03748R101					
10.0000	Sale	01/23/12	05/29/13	302.55	239.27	0.00	63.28	
17.0000	Sale	01/23/12	05/30/13	514.52	406.76	0.00	107.76	
	Security Subtotal			817.07	646.03	0.00	171.04	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ALEXANDERS INC REIT								
			CUSIP Number	014752109				
1 0000	Sale	01/25/12	05/01/13	300.95	375.93	0.00	(74.98)	
1 0000	Sale	01/26/12	08/02/13	302.22	381.98	0.00	(79.76)	
1 0000	Sale	04/27/12	08/02/13	302.22	382.56	0.00	(80.34)	
	Security Subtotal			905.39	1,140.47	0.00	(235.08)	
ALEXANDRIA REAL EST EQTS REIT								
			CUSIP Number	015271109				
2 0000	Sale	08/11/11	01/09/13	144.12	137.22	0.00	6.90	
4 0000	Sale	10/17/11	01/09/13	288.24	253.76	0.00	34.48	
	Security Subtotal			432.36	390.98	0.00	41.38	
PROLOGIS INC								
			CUSIP Number	74340W103				
16 0000	Sale	02/10/11	01/30/13	639.84	538.30	0.00	101.54	
7 0000	Sale	02/10/11	02/08/13	275.55	235.51	0.00	40.14	
11 0000	Sale	02/10/11	02/11/13	433.53	370.08	0.00	63.45	
6 0000	Sale	02/17/11	04/24/13	256.72	215.41	0.00	41.31	
12 0000	Sale	03/24/11	04/24/13	513.46	408.28	0.00	105.18	
1 0000	Sale	03/24/11	05/15/13	43.70	34.02	0.00	9.68	
13 0000	Sale	06/23/11	05/15/13	568.17	440.16	0.00	128.01	
5 0000	Sale	06/23/11	06/06/13	194.96	169.29	0.00	25.67	
3 0000	Sale	06/23/11	06/10/13	117.19	101.57	0.00	15.62	
8 0000	Sale	02/06/12	06/10/13	312.52	264.09	0.00	48.43	
	Security Subtotal			3,355.74	2,776.71	0.00	579.03	
BOSTON PPTYS INC REIT								
			CUSIP Number	101121101				
2 0000	Sale	01/10/11	01/11/13	213.91	169.55	0.00	44.36	
1 0000	Sale	01/21/11	01/11/13	106.96	89.95	0.00	17.01	
5 0000	Sale	01/21/11	03/20/13	511.06	449.74	0.00	61.32	
1 0000	Sale	01/21/11	03/21/13	101.02	89.95	0.00	11.07	
2 0000	Sale	02/16/11	03/21/13	202.05	191.06	0.00	10.99	
4 0000	Sale	02/23/11	03/26/13	402.35	372.44	0.00	29.91	
4 0000	Sale	02/23/11	04/23/13	433.20	372.43	0.00	60.77	
1 0000	Sale	08/02/11	04/23/13	108.30	105.77	0.00	2.53	
1 0000	Sale	08/02/11	04/26/13	107.96	105.77	0.00	2.19	
1 0000	Sale	09/30/11	04/26/13	107.96	91.13	0.00	16.83	
3 0000	Sale	10/14/11	04/26/13	323.88	274.93	0.00	48.95	
4 0000	Sale	04/23/12	07/05/13	417.31	417.11	0.00	0.20	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
BOSTON PPTYS INC REIT								
		CUSIP Number	101121101					
5 0000	Sale	04/23/12	07/16/13	546.42	521.39	0.00	25.03	
4 0000	Sale	04/27/12	07/18/13	440.15	428.23	0.00	11.92	
1 0000	Sale	04/27/12	07/22/13	111.08	107.06	0.00	4.02	
3 0000	Sale	04/30/12	07/22/13	333.27	323.91	0.00	9.36	
	Security Subtotal			4,466.88	4,110.42	0.00	356.46	
COLONIAL PPTYS T SBI ALA REIT								
		CUSIP Number	195872106					
12 0000	Sale	01/23/12	06/11/13	278.09	241.44	0.00	36.65	
4 0000	Sale	01/24/12	06/11/13	92.70	80.47	0.00	12.23	
10 0000	Sale	01/24/12	06/13/13	230.00	201.18	0.00	28.82	
9 0000	Sale	02/03/12	06/13/13	207.00	189.84	0.00	17.16	
14 0000	Sale	04/12/12	06/14/13	322.51	293.94	0.00	28.57	
4 0000	Sale	05/03/12	06/14/13	92.15	87.51	0.00	4.64	
20 0000	Sale	05/03/12	06/17/13	460.76	437.56	0.00	23.20	
1 0000	Sale	05/03/12	06/21/13	22.03	21.88	0.00	0.15	
	Security Subtotal			1,705.24	1,553.82	0.00	151.42	
CORP OFFICE PPTYS TRUST REIT								
		CUSIP Number	22002T108					
12 0000	Sale	02/03/12	02/04/13	315.27	295.57	0.00	19.70	
16 0000	Sale	02/03/12	05/01/13	456.50	393.85	0.00	62.65	
	Security Subtotal			771.77	689.42	0.00	82.35	
CUBESMART COM								
		CUSIP Number	229663109					
29 0000	Sale	01/23/12	02/14/13	429.78	321.61	0.00	108.17	
DIGITAL RLTY TR INC								
		CUSIP Number	253868103					
4 0000	Sale	02/17/12	05/21/13	255.89	248.95	0.00 (N)	6.94	
2 0000	Sale	03/23/12	05/21/13	127.95	145.18	0.00	(17.23)	
1 0000	Sale	03/26/12	05/21/13	63.97	73.29	0.00	(9.32)	
1 0000	Sale	04/17/12	05/21/13	63.97	66.84	0.00 (N)	(2.87)	
1 0000	Sale	04/17/12	05/21/13	63.98	66.91	0.00 (N)	(2.93)	
	Security Subtotal			575.76	601.17	0.00	(25.41)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DUPONT FABROS TECHNOLOGY								
		CUSIP Number	26613Q106					
12.0000	Sale	01/23/12	02/13/13	281.92	289.55	0.00	(7.63)	
5.0000	Sale	01/23/12	02/15/13	115.40	120.65	0.00	(5.25)	
14.0000	Sale	02/08/12	02/15/13	323.13	340.51	0.00	(17.38)	
	Security Subtotal			720.45	750.71	0.00	(30.26)	
EQUITY RESIDENTIAL REIT								
		CUSIP Number	29476L107					
5.0000	Sale	12/31/11	01/29/13	288.71	289.96	0.00 (Y)	(1.25)	
11.0000	Sale	01/23/12	01/29/13	635.18	619.05	0.00	16.13	
4.0000	Sale	02/03/12	02/04/13	217.30	240.87	23.57 (W)	0.00	
5.0000	Sale	01/23/12	02/04/13	271.61	281.39	9.78 (W)	0.00	
5.0000	Sale	02/03/12	02/04/13	271.62	301.09	29.47 (W)	0.00	
	Security Subtotal			1,684.42	1,732.36	62.82	14.88	
ESSEX PPTY TR INC COM REIT								
		CUSIP Number	297178105					
4.0000	Sale	02/02/12	05/24/13	654.96	587.37	0.00	67.59	
3.0000	Sale	06/19/12	08/19/13	433.60	456.58	0.00	(22.98)	
1.0000	Sale	06/20/12	08/28/13	144.70	152.37	0.00	(7.67)	
1.0000	Sale	06/25/12	08/28/13	144.71	149.73	0.00	(5.02)	
	Security Subtotal			1,377.97	1,346.05	0.00	31.92	
EXTRA SPACE STORAGE INC								
		CUSIP Number	30225T102					
12.0000	Sale	02/10/11	06/27/13	507.32	233.18	0.00	274.14	
1.0000	Sale	02/11/11	06/27/13	42.28	19.58	0.00	22.70	
8.0000	Sale	02/11/11	07/26/13	344.99	156.56	0.00	188.43	
6.0000	Sale	01/23/12	07/26/13	258.75	151.18	0.00	107.57	
	Security Subtotal			1,153.34	560.50	0.00	592.84	
EDUCATION REALTY TR INC								
		CUSIP Number	28140H104					
36.0000	Sale	01/23/12	03/06/13	390.77	373.07	0.00	17.70	
40.0000	Sale	01/23/12	03/20/13	418.56	414.51	0.00	4.05	
1.0000	Sale	01/23/12	04/11/13	11.08	10.37	0.00	0.71	
	Security Subtotal			820.41	797.95	0.00	22.46	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENERAL GROWTH PROPERTIES INC SHS								
		CUSIP Number	370023103					
3 0000	Sale	01/23/12	11/05/13	62.97	46.05	0.00	16.92	
23 0000	Sale	01/27/12	11/05/13	482.84	366.40	0.00	116.44	
13 0000	Sale	02/03/12	11/05/13	272.91	214.33	0.00	58.58	
17 0000	Sale	02/03/12	11/11/13	349.61	280.28	0.00	69.33	
6 0000	Sale	02/06/12	11/11/13	123.40	99.12	0.00	24.28	
13 0000	Sale	02/06/12	11/11/13	266.06	214.75	0.00	51.31	
9 0000	Sale	04/23/12	11/11/13	184.20	154.46	0.00	29.74	
19 0000	Sale	04/23/12	12/05/13	397.80	326.09	0.00	71.71	
10 0000	Sale	04/30/12	12/05/13	209.38	178.26	0.00	31.12	
Security Subtotal				2,349.17	1,879.74	0.00	469.43	
HOST HOTELS & RESORTS REIT								
		CUSIP Number	44107P104					
15 0000	Sale	10/13/10	01/17/13	250.56	219.88	0.00	30.68	
5 0000	Sale	10/13/10	02/04/13	82.24	73.30	0.00	8.94	
10 0000	Sale	10/23/10	02/04/13	164.50	158.12	0.00	6.38	
4 0000	Sale	11/03/10	02/04/13	65.80	63.47	0.00	2.33	
1 0000	Sale	01/19/11	02/04/13	16.45	18.17	0.00	(1.72)	
20 0000	Sale	01/19/11	02/12/13	343.15	363.31	0.00	(20.16)	
12 0000	Sale	02/18/11	02/12/13	205.89	226.93	0.00	(21.04)	
1 0000	Sale	02/18/11	02/13/13	17.09	18.91	0.00	(1.82)	
16 0000	Sale	04/29/11	02/13/13	273.58	278.54	0.00	(4.96)	
9 0000	Sale	08/11/11	02/13/13	153.89	112.25	0.00	41.64	
9 0000	Sale	08/11/11	03/21/13	151.40	112.24	0.00	39.16	
15 0000	Sale	04/12/12	04/29/13	267.42	245.93	0.00	21.49	
13 0000	Sale	07/19/12	08/06/13	230.97	196.18	0.00	34.79	
5 0000	Sale	07/20/12	08/06/13	88.84	75.26	0.00	13.58	
23 0000	Sale	07/20/12	08/07/13	406.62	346.21	0.00	60.41	
24 0000	Sale	08/01/12	08/09/13	424.66	352.43	0.00	72.23	
15 0000	Sale	08/01/12	08/15/13	255.01	220.27	0.00	34.74	
Security Subtotal				3,398.07	3,081.40	0.00	316.67	
HERSHA HOSPITALITY TR A SBI REIT								
		CUSIP Number	427825104					
22 0000	Sale	04/29/11	09/18/13	123.47	123.14	0.00	0.33	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HCP INC								
		CUSIP Number	40414L109					
4 0000	Sale	04/19/11	03/08/13	195.62	149.02	0.00	46.60	
6 0000	Sale	04/25/11	03/08/13	293.43	227.02	0.00	66.41	
7 0000	Sale	06/01/11	03/08/13	342.34	256.14	0.00	86.20	
2 0000	Sale	06/03/11	03/08/13	97.82	72.50	0.00	25.32	
5 0000	Sale	06/03/11	03/20/13	242.55	181.23	0.00	61.32	
9 0000	Sale	06/10/11	03/20/13	436.60	313.42	0.00	123.18	
8 0000	Sale	07/27/11	03/20/13	388.10	294.95	0.00	93.15	
4 0000	Sale	08/01/11	03/20/13	194.05	136.21	0.00	57.84	
9 0000	Sale	08/01/11	03/21/13	437.89	306.46	0.00	131.43	
1 0000	Sale	01/23/12	03/21/13	48.66	40.59	0.00	8.07	
23 0000	Sale	01/23/12	03/27/13	1,134.54	933.49	0.00	201.05	
	Security Subtotal			3,811.60	2,911.03	0.00	900.57	
HYATT HOTELS CORP								
		CUSIP Number	448579102					
1 0000	Sale	01/23/12	03/21/13	42.61	40.72	0.00 (N)	1.89	
7 0000	Sale	02/14/12	03/21/13	298.32	302.76	0.00	(4.44)	
1 0000	Sale	02/15/12	03/21/13	42.62	43.37	0.00	(0.75)	
3 0000	Sale	03/20/12	03/22/13	127.71	123.17	0.00	4.54	
7 0000	Sale	03/20/12	11/06/13	342.47	287.41	0.00	55.06	
6 0000	Sale	03/26/12	11/06/13	293.56	252.46	0.00	41.10	
	Security Subtotal			1,147.29	1,049.89	0.00	97.40	
HUDSON PAC PPTYS INC								
		CUSIP Number	444097109					
2 0000	Sale	01/23/12	08/14/13	41.66	28.59	0.00	13.07	
8 0000	Sale	01/23/12	08/15/13	161.94	114.33	0.00	47.61	
12 0000	Sale	01/24/12	08/15/13	242.91	174.43	0.00	68.48	
	Security Subtotal			446.51	317.35	0.00	129.16	
MID AMERICA APT CMNTYS REIT								
		CUSIP Number	59522J103					
4 0000	Sale	02/29/12	03/04/13	277.15	247.77	0.00	29.38	
6 0000	Sale	02/29/12	03/08/13	409.38	371.67	0.00	37.71	
7 0000	Sale	02/29/12	03/20/13	488.16	433.60	0.00	54.56	
4 0000	Sale	02/29/12	04/02/13	277.76	247.77	0.00	29.99	
2 0000	Sale	03/20/12	04/02/13	138.89	129.58	0.00	9.31	
3 0000	Sale	03/21/12	04/11/13	209.54	194.78	0.00	14.76	
3 0000	Sale	04/12/12	04/19/13	206.10	196.11	0.00	9.99	
1 0000	Sale	04/12/12	04/22/13	68.52	65.37	0.00	3.15	
	Security Subtotal			2,075.50	1,886.65	0.00	188.85	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MACK CALI REALTY CORP REIT								
		CUSIP Number	554489104					
16 0000	Sale	10/02/12	10/16/13	349.02	408.51	0.00	(59.49)	
8 0000	Sale	10/05/12	10/16/13	174.52	211.57	0.00	(37.05)	
6 0000	Sale	10/05/12	10/25/13	130.82	158.68	0.00	(27.86)	
14.0000	Sale	10/12/12	10/25/13	305.27	382.95	0.00	(77.68)	
	Security Subtotal			959.63	1,161.71	0.00	(202.08)	
PUBLIC STORAGE \$0.10 REIT								
		CUSIP Number	74460D109					
2 0000	Sale	05/27/11	03/12/13	294.30	231.74	0.00	62.56	
1 0000	Sale	06/16/11	03/12/13	147.15	111.15	0.00	36.00	
1.0000	Sale	06/16/11	03/14/13	147.09	111.15	0.00	35.94	
2.0000	Sale	07/13/11	03/14/13	294.20	240.24	0.00	53.96	
3 0000	Sale	07/27/11	04/02/13	458.30	367.41	0.00	90.89	
1 0000	Sale	01/23/12	04/02/13	152.77	135.28	0.00	17.49	
2.0000	Sale	01/23/12	08/09/13	326.40	270.57	0.00	55.83	
	Security Subtotal			1,820.21	1,467.54	0.00	352.67	
REGENCY CENTERS CORP REIT								
		CUSIP Number	758849103					
10 0000	Sale	01/23/12	03/22/13	523.23	396.43	0.00	126.80	
11.0000	Sale	01/23/12	08/05/13	570.82	436.07	0.00	134.75	
7 0000	Sale	01/23/12	08/28/13	338.53	277.50	0.00	61.03	
7.0000	Sale	01/23/12	09/03/13	322.84	277.50	0.00	45.34	
	Security Subtotal			1,755.42	1,387.50	0.00	367.92	
SOVRAN SELF STORAGE INC REIT								
		CUSIP Number	84610H108					
6 0000	Sale	09/18/12	10/11/13	459.82	343.42	0.00	116.40	
5 0000	Sale	09/18/12	11/06/13	367.55	286.19	0.00	81.36	
	Security Subtotal			827.37	629.61	0.00	197.76	
SL GREEN REALTY CORP REIT								
		CUSIP Number	78440X101					
1.0000	Sale	02/23/11	03/14/13	86.00	71.86	0.00	14.14	
6.0000	Sale	01/23/12	03/14/13	516.01	443.43	0.00	72.58	
4 0000	Sale	01/23/12	05/15/13	362.45	295.62	0.00	66.83	
	Security Subtotal			964.46	810.91	0.00	153.55	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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VORNADO REALTY TRUST COM REIT CUSIP Number 929042109

7 0000	Sale	03/03/11	02/26/13	581.44	629.89	0.00	(48.45)	
4 0000	Sale	03/03/11	03/06/13	332.62	359.94	0.00	(27.32)	
5 0000	Sale	03/03/11	03/07/13	415.67	449.92	0.00	(34.25)	
5 0000	Sale	03/03/11	04/15/13	432.34	449.92	0.00	(17.58)	
4 0000	Sale	03/03/11	04/19/13	343.63	359.94	0.00	(16.31)	
3 0000	Sale	03/03/11	12/10/13	271.31	269.95	0.00	1.36	
1 0000	Sale	01/23/12	12/10/13	90.44	80.35	0.00	10.09	
	Security Subtotal			2,467.45	2,599.91	0.00	(132.46)	

VENTAS INC REIT

CUSIP Number 92276F100

8 0000	Sale	02/11/11	05/15/13	642.25	441.00	0.00	201.25	
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WEINGARTEN RLTY INVS SBI REIT

CUSIP Number 948741103

5 0000	Sale	01/23/12	01/24/13	139.94	118.16	0.00	21.78	
8 0000	Sale	02/16/12	03/06/13	245.98	198.73	0.00	47.25	
11 0000	Sale	03/01/12	03/06/13	338.23	277.17	0.00	61.06	
2 0000	Sale	03/01/12	03/07/13	61.43	50.40	0.00	11.03	
5 0000	Sale	03/09/12	03/26/13	156.75	124.58	0.00	32.17	
	Security Subtotal			942.33	769.04	0.00	173.29	

Covered Long Term Capital Gains and Losses Subtotal

42,947.31

62.82

5,075.51

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

BOSTON PPTYS INC REIT

CUSIP Number 101121101

3 0000	Sale	06/11/10	01/11/13	320.87	234.07	0.00	86.80	
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HERSHA HOSPITALTY TR A SBI REIT

CUSIP Number 427825104

66 0000	Sale	10/19/10	09/18/13	370.39	372.97	0.00	(2.58)	
27 0000	Sale	11/15/10	09/18/13	151.52	161.70	0.00	(10.18)	
	Security Subtotal			521.91	534.67	0.00	(12.76)	

NELSON & MICHELE CARBONELL

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SIMON PROPERTY GROUP DEL REIT								
		CUSIP Number	828806109					
4 0000	Sale	03/04/09	03/20/13	632.72	117.32	0.00	515.40	
1.0000	Sale	03/10/09	03/20/13	158.18	31.61	0.00	126.57	
3 0000	Sale	03/10/09	05/23/13	521.40	94.82	0.00	426.58	
1 0000	Sale	03/10/09	08/26/13	147.06	31.61	0.00	115.45	
4 0000	Sale	03/20/09	08/26/13	588.28	131.28	0.00	457.00	
2 0000	Sale	03/23/09	08/27/13	291.38	67.88	0.00	223.50	
6 0000	Sale	04/08/09	09/06/13	871.09	217.73	0.00	653.36	
3 0000	Sale	04/08/09	09/10/13	444.93	108.86	0.00	336.07	
1 0000	Sale	04/22/09	09/10/13	148.31	48.69	0.00	99.62	
3 0000	Sale	05/07/09	09/10/13	444.93	156.61	0.00	288.32	
3 0000	Sale	05/07/09	09/12/13	445.67	156.61	0.00	289.06	
	Security Subtotal			4,693.95	1,163.02	0.00	3,530.93	

VORNADO REALTY TRUST COM REIT

		CUSIP Number	929042109					
5.0000	Sale	06/21/10	01/10/13	405.35	400.75	0.00	4.60	
1 0000	Sale	11/24/10	01/10/13	81.08	81.74	0.00	(0.66)	
4.0000	Sale	11/24/10	01/11/13	322.39	326.98	0.00	(4.59)	
1 0000	Sale	11/24/10	02/26/13	83.06	81.74	0.00	1.32	
3 0000	Sale	12/21/10	02/26/13	249.18	244.71	0.00	4.47	
	Security Subtotal			1,141.06	1,135.92	0.00	5.14	

Noncovered Long Term Capital Gains and Losses Subtotal

0.00

3,610.11

NET LONG TERM CAPITAL GAINS AND LOSSES

62.82

8,685.62

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. NELSON AND MICHELLE CARBONELL FOUNDATION C/O JOHN CARBONELL	Employer identification number (EIN) or 52-2272147
	Number, street, and room or suite no. If a P.O. box, see instructions. 9901 FOUNDERS WAY	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. DAMASCUS, MD 20872	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

JOHN CARBONELL

- The books are in the care of **9901 FOUNDERS WAY - DAMASCUS, MD 20872-2900**

Telephone No. **703-259-2495**

Fax No. ☐

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014**

5 For calendar year **2013**, or other tax year beginning ☐ , and ending ☐

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

STILL NEED NECESSARY INFORMATION FROM THIRD PARTY

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	5,370.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	6,750.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐

Title **CPA**

Date ☐

Form 8868 (Rev. 1-2014)