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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning , 2013, and ending

Name of foundation Van Dyke Family Foundation, Inc.		A Employer identification number 52-2034301
Number and street (or P O box number if mail is not delivered to street address) 23436 Lands End Road	Room/suite	B Telephone number (see the instructions) (410) 810-1812
City or town, state or province, country, and ZIP or foreign postal code Chestertown MD 21620-5263		C If exemption application is pending, check here. ☐
G Check all that apply	☐ Initial return ☐ Final return ☐ Address change	☐ Initial Return of a former public charity ☐ Amended return ☐ Name change
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 8,020,725.	J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐ F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE				
1 Contributions, gifts, grants, etc. received (att sch)				
2 Ck ☒ if the foundn is not req to att Sch B				
3 Interest on savings and temporary cash investments	52.	52.		
4 Dividends and interest from securities	105,348.	105,348.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain/(loss) from sale of assets not on line 10	228,264.	L-6a Stmt		
b Gross sales price for all assets on line 6a	232,627.			
7 Capital gain net income (from Part IV, line 2)		228,264.		
8 Net short-term capital gain			1,884.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit/(loss) (att sch)				
11 Other income (attach schedule)				
SLP III Silverlake Ordinary QEF Income from PFIC	3,539.	3,539.		
12 Total. Add lines 1 through 11.	337,203.	337,203.	1,884.	
ADMINISTRATIVE AND OPERATING EXPENSES				
13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
14 Other employee salaries and wages	0.	0.	0.	0.
15 Pension plans, employee benefits	0.	0.	0.	0.
16a Legal fees (attach schedule)	0.	0.	0.	0.
b Accounting fees (attach sch) . L-16b Stmt.	15,000.	0.	0.	15,000.
c Other prof fees (attach sch) . L-16c Stmt.	30,138.	30,138.	0.	0.
17 Interest	0.	0.	0.	0.
18 Taxes (attach schedule)(see instrs)				
19 Depreciation (attach sch) and depletion	0.	0.	0.	
20 Occupancy	0.	0.	0.	0.
21 Travel, conferences, and meetings	0.	0.	0.	0.
22 Printing and publications	0.	0.	0.	0.
23 Other expenses (attach schedule) See Line 23 Stmt	500.	0.	0.	500.
24 Total operating and administrative expenses. Add lines 13 through 23	45,638.	30,138.	0.	15,500.
25 Contributions, gifts, grants paid	369,825.			369,825.
26 Total expenses and disbursements. Add lines 24 and 25	415,463.	30,138.	0.	385,325.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	-78,260.			
b Net investment income (if negative, enter -0-)		307,065.		
c Adjusted net income (if negative, enter -0-)			1,884.	

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	149,140.	117,518.	117,518.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	2,757,683.	2,680,738.	7,903,207.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis			
LIABILITIES	Less accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	2,906,823.	2,798,256.	8,020,725.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
FUND ASSETS	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)	30,307.	0.	
	23 Total liabilities (add lines 17 through 22)	30,307.	0.	
	Foundations that follow SFAS 117, check here			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here			
	27 Capital stock, trust principal, or current funds			
ASSET BALANCES	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	2,876,516.	2,798,256.	
	30 Total net assets or fund balances (see instructions)	2,876,516.	2,798,256.	
	31 Total liabilities and net assets/fund balances (see instructions)	2,906,823.	2,798,256.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,876,516.
2	Enter amount from Part I, line 27a	2	-78,260.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	2,798,256.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	2,798,256.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	1880 Shares TROW	D	03/04/98	05/30/13
b	1120 Shares TROW	D	06/17/98	05/30/13
c	JP Morgan Realized Gains	P	Various	Various
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 144,586.		1,340.	143,246.
b 86,157.		3,023.	83,134.
c 1,884.		0.	1,884.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			143,246.
b			83,134.
c 0.	0.	0.	1,884.
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	228,264.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	1,884.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	266,450.	6,337,854.	0.042041
2011	370,639.	6,246,483.	0.059336
2010	338,503.	5,727,870.	0.059098
2009	273,645.	4,590,926.	0.059606
2008	339,266.	5,812,115.	0.058372

2 Total of line 1, column (d)	2	0.278453
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.055691
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	7,277,793.
5 Multiply line 4 by line 3	5	405,308.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,071.
7 Add lines 5 and 6	7	408,379.
8 Enter qualifying distributions from Part XII, line 4	8	385,325.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	6,141.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	6,141.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	6,141.
6 Credits/Payments			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	104.	
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	2,070.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	2,174.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	136.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	4,103.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0.	
11 Enter the amount of line 10 to be credited to 2014 estimated tax	11	0.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XIV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) MD - Maryland		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address	13	X	
14	The books are in care of <u>Peter Van Dyke</u> Telephone no <u>(410) 810-1812</u> Located at <u>23436 Lands End Road</u> <u>Chestertown, MD</u> ZIP +4 <u>21620-5263</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

		Yes	No
1 a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
1 b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	X
	Organizations relying on a current notice regarding disaster assistance check here ☐		
1 c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions)	2 b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013</i>)	3 b	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?. ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b

Organizations relying on a current notice regarding disaster assistance check here ☐c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Peter Van Dyke 23436 Lands End Rd Chestertown MD 21620	President 4.00	0.	0.	0.
Judith Ray Van Dyke 23436 Lands End Rd Chestertown MD 21620	Vice-Pres. 0.00	0.	0.	0.
George Ray Van Dyke 23436 Lands End Rd Chestertown MD 21620	Secretary/ 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
	0.
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	None

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a Average monthly fair market value of securities	1 a	7,150,921.
b Average of monthly cash balances	1 b	237,701.
c Fair market value of all other assets (see instructions)	1 c	0.
d Total (add lines 1a, b, and c)	1 d	7,388,622.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	7,388,622.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	110,829.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,277,793.
6 Minimum investment return. Enter 5% of line 5	6	363,890.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	363,890.
2 a Tax on investment income for 2013 from Part VI, line 5	2 a	6,141.
b Income tax for 2013 (This does not include the tax from Part VI)	2 b	0.
c Add lines 2a and 2b	2 c	6,141.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	357,749.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	357,749.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	357,749.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	385,325.
b Program-related investments — total from Part IX-B	1 b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	0.
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	0.
b Cash distribution test (attach the required schedule)	3 b	0.
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	385,325.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	385,325.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				357,749.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			162.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013				
a From 2008	49,858.			
b From 2009	46,564.			
c From 2010	64,783.			
d From 2011	63,537.			
e From 2012	0.			
f Total of lines 3a through e	224,742.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 385,325.				
a Applied to 2012, but not more than line 2a			162.	
b Applied to undistributed income of prior years (Election required — see instructions).		0.		
c Treated as distributions out of corpus (Election required — see instructions).				
d Applied to 2013 distributable amount				357,609.
e Remaining amount distributed out of corpus	27,554.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5.	252,296.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				140.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).	49,858.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	202,438.			
10 Analysis of line 9				
a Excess from 2009	46,564.			
b Excess from 2010	64,783.			
c Excess from 2011	63,537.			
d Excess from 2012	0.			
e Excess from 2013	27,554.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

N/A

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a 'Assets' alternative test — enter				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test — enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

Peter Van Dyke

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

See Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
Baltimore Museum of Art 10 Art Museum Drive Baltimore MD 21218		Public	Arts	25,000.
Baltimore Choral Arts 1316 Park Ave. Baltimore MD 21217		Public	Arts	7,500.
Adkins Arboretum PO Box 100 Ridgely MD 21660		Public	Garden	25,000.
Irvine Nature Center 11201 Garrison Forest Rd Owings Mills MD 21117		Public	Environmental Education	3,500.
Operation Helmet 74 Greenview St. Montgomery TX 77356		Public	Military Support	250.
Friends School 5114 North Charles St Baltimore MD 21210		Public	Education	10,000.
Georgetown University 3604 O St., NW Washington DC 10017		Public	Education	1,500.
Gilman School 5407 Roland Ave Baltimore MD 21210		Public	Education	2,000.
Webb Institute 298 Crescent Beach Rd Glen Cove NY 11542		Public	Education	5,000.
See Line 3a statement				290,075.
Total			3 a	369,825.
b Approved for future payment				
Total			3 b	

Form 990-PF
Part I, Line 6a

Net Gain or Loss From Sale of Assets

2013

Name Van Dyke Family Foundation, Inc.	Employer Identification Number 52-2034301
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Asset Information:

Description of Property <u>Marketable Securities - JP Morgan</u>	
Date Acquired . <u>Various</u>	How Acquired . . <u>Purchased</u>
Date Sold . . <u>various</u>	Name of Buyer . . <u>Unknown</u>
Sales Price . . <u>1,884.</u>	Cost or other basis (do not reduce by depreciation) . . <u>0.</u>
Sales Expense . . <u>0.</u>	Valuation Method <u>Fair Market Value</u>
Total Gain (Loss) . . <u>1,884.</u>	Accumulation Depreciation
Description of Property <u>TROW Price Stock</u>	
Date Acquired <u>Various</u>	How Acquired . . . <u>Donated</u>
Date Sold . . . <u>05/30/13</u>	Name of Buyer . . <u>Unknown</u>
Sales Price . . <u>230,743.</u>	Cost or other basis (do not reduce by depreciation) . . . <u>2,603.</u>
Sales Expense . . <u>1,760.</u>	Valuation Method . . . <u>Fair Market Value</u>
Total Gain (Loss) . . <u>226,380.</u>	Accumulation Depreciation
Description of Property	
Date Acquired . .	How Acquired . . .
Date Sold . . .	Name of Buyer . . .
Sales Price . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . .	Valuation Method
Total Gain (Loss) . .	Accumulation Depreciation
Description of Property	
Date Acquired . .	How Acquired . . .
Date Sold . . .	Name of Buyer . . .
Sales Price . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . .	Valuation Method
Total Gain (Loss) . .	Accumulation Depreciation
Description of Property	
Date Acquired . .	How Acquired . . .
Date Sold . . .	Name of Buyer . . .
Sales Price . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . .	Valuation Method
Total Gain (Loss) . .	Accumulation Depreciation
Description of Property	
Date Acquired . .	How Acquired . . .
Date Sold . . .	Name of Buyer . . .
Sales Price . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . .	Valuation Method
Total Gain (Loss) . .	Accumulation Depreciation
Description of Property	
Date Acquired . .	How Acquired . . .
Date Sold . . .	Name of Buyer . . .
Sales Price . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . .	Valuation Method
Total Gain (Loss) . .	Accumulation Depreciation
Description of Property	
Date Acquired . .	How Acquired . . .
Date Sold . . .	Name of Buyer . . .
Sales Price . .	Cost or other basis (do not reduce by depreciation) . . .
Sales Expense . .	Valuation Method
Total Gain (Loss) . .	Accumulation Depreciation

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
ABAG Fee	500.			500.
Amortization	0.	0.	0.	0.
Total	500.	0.	0.	500.

Form 990-PF, Page 10, Part XV, Line 2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs

Name . Peter Van Dyke
Address 23436 Lands End Rd
City, St, Zip, Phone. Chestertown MD 21210 (410) 810-1812
E-mail _____

The form in which applications should be submitted and information and materials they should include
Solicitations are generally ignored. Most recipients are known without submissions, with few exceptions..

Any submission deadlines:
Submissions not encouraged.

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of
institutions, or other factors
Generally favor Educational and Environmental Institutions in the Maryland and Metro NYC areas.

Name . Peter Van Dyke
Address 1001 Winding Way
City, St, Zip, Phone. Baltimore MD 21210 (410) 323-0355
E-mail _____

The form in which applications should be submitted and information and materials they should include
Solicitations Generally Ignored.

Any submission deadlines
Submissions not encouraged

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of
institutions, or other factors
None

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
Columbia Business School				Person or ☐
33 W 60th St., 7th Fl.				Business ☒
New York NY 10023		Public	Education	1,500.
New York University				Person or ☐
PO Box 837				Business ☒
New York NY 10009		Public	Education	1,500.
Association of Baltimore Area Grantmakers				Person or ☐
2 East Read St				Business ☒
Baltimore MD 21202		Public	Foundation Support	1,750.
Chesapeake Bay Foundation				Person or ☐
6 Herndon Ave				Business ☒
Annapolis MD 21403		Public	Environmental	500.
Sultana Projects				Person or ☐
105 S. Cross St.				Business ☒
Chestertown MD 21620		Public	Education / Historical	102,125.
Village Community School				Person or ☐
272-278 W 10th St.				Business ☒
New York NY 10014		Public	Education	18,575.
University of Richmond				Person or ☐
28 Westhampton Way				Business ☒
Richmond VA 23173		Public	Education	1,500.
Walters Art Museum				Person or ☐
600 N. Charles St.				Business ☒
Baltimore MD 21201		Public	Arts	48,000.
Washington College				Person or ☐
300 Washington Ave.				Business ☒
Chestertown MD 21620		Public	Education	20,000.
MPALA Wildlife Foundation				Person or ☐
PO Box 137				Business ☒
Riderwood MD 21139		Public	Nature	500.
Wellesley College				Person or ☐
106 Central St				Business ☒
Wellesley MA 02481		Public	Education	5,000.
Calvert School				Person or ☐
105 Tuscany Rd.				Business ☒
Baltimore MD 21210		Public	Education	11,000.
Maryland Food Bank				Person or ☐
2200 Halethorpe Farms Rd				Business ☒
Baltimore MD 21227		Public	Hunger	500.
Paul's Place				Person or ☐
1118 Ward St.				Business ☒
Baltimore MD 21230		Public	Human Services	500.
Maryland SPCA				Person or ☐
3300 Falls Rd				Business ☒
Baltimore MD 21211		Public	Animal Welfare	2,500.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
First Presbyterian Church Nursery School 12 W 12th St. New York NY 10011		Public	Education	Person or Business ☒ 500.
Boots for Baltimore 5603 North Charles St Baltimore MD 21210		Public	Human Services	Person or Business ☒ 500.
American Heart Association - Legacy of Life 208 South LaSalle, Ste. 900 Chicago IL 60604		Public	Medical	Person or Business ☒ 1,000.
Living Classroom Foundation 1417 Thames St. Baltimore MD 21231		Public	Education	Person or Business ☒ 500.
University of Maryland Samuel Riggs IV Alumni Center College Park MD 20742		Public	Education	Person or Business ☒ 500.
Metropolitan Opera Guild 70 Lincoln Center Plaza New York NY 10023		Public	Arts	Person or Business ☒ 500.
Our Daily Bread 725 Fallsway Baltimore MD 21202		Public	Hunger	Person or Business ☒ 500.
Parks and People 800 Wyman Park Dr. #10 Baltimore MD 21211		Public	Environment	Person or Business ☒ 500.
Roland Park Country School 5204 Roland Ave. Baltimore MD 21210		Public	Education	Person or Business ☒ 500.
Baltimore Squashwise 3600 Clipper Mill Rd. Baltimore MD 21211		Public	Sports	Person or Business ☒ 500.
YMCA of Central Maryland 600 W. Chesapeake Ave. Towson MD 21204		Public	Fitness	Person or Business ☒ 500.
Wesley College 120 North State St. Dover DE 19901		Public	Education	Person or Business ☒ 500.
Children's Scholarship Fund 2300 North Charles St. Baltimore MD 21218		Public	Education	Person or Business ☒ 500.
Food Bank for NYC 39 Broadway, 10th Floor New York NY 10006		Public	Human Services	Person or Business ☒ 1,000.
United Way - Kent County PO Box 594 Chestertown MD 21620		Public	Human Services	Person or Business ☒ 5,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
Camp Seafarer 2744 Seafarer Rd Arapahoe NC 28510		Public	Education	Person or Business ☒ 1,000.
Center Stage 700 N. Calvert St. Baltimore MD 21202		Public	Arts	Person or Business ☒ 500.
Baltimore Humane Society 1601 Nicodemus Road Reisterstown MD 21136		Public	Animals	Person or Business ☒ 500.
Roland Park Community Foundation P.O. Box 16214 Baltimore MD 21210		Public	Human Services	Person or Business ☒ 500.
Family Tree 2108 North Charles Street Baltimore MD 21218		Public	Human Services	Person or Business ☒ 1,500.
Children's Medical Center Dallas PO Box 650473 Dallas TX 75265		Public	Health	Person or Business ☒ 2,000.
Blue Water Baltimore 3545 Belair Rd Baltimore MD 21213		Public	Environment	Person or Business ☒ 500.
Redeemer Day School 5603 North Charles St. Baltimore MD 21210		Public	Education	Person or Business ☒ 500.
Mount Vernon of Baltimore Preservation 2730 Caves Rd. Owings Mills MD 21117		Public	Historical Preservation	Person or Business ☒ 1,000.
Jacob Leisler Project - NYU 53 Washington Square South New York NY 08402		Public	Historical Preservation	Person or Business ☒ 15,000.
American Museum of Natural History Central Park West at 79th St. New York NY 10024		Public	Museum	Person or Business ☒ 150.
Baltimore Community Foundation 2 E Read St Baltimore MD 21202		Public	Human Services	Person or Business ☒ 1,100.
Bike MS NYC 733 Third Avenue, Third Floor New York NY 10017		Public	Medical Research	Person or Business ☒ 500.
Doctors Without Borders P.O. Box 5030 Hagerstown MD 21741		Public	Human Services	Person or Business ☒ 500.
Dress For Success 32 East 31st Street, 7th Fl. New York NY 10016		Public	Human Services	Person or Business ☒ 250.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
Eagle Academy Foundation 105 E 22nd St., Suite 911 New York NY 10010		Public	Education	Person or ☐ Business ☒ 250.
Fresh Air Fund 633 Third Ave, 14th Floor New York NY 10017		Public	Human Services	Person or ☐ Business ☒ 500.
Friends of Hudson River Park 311 West 43rd Street, Suite 300 New York NY 10036		Public	Environment	Person or ☐ Business ☒ 500.
Friends of the Highline 529 W. 20th St. Suite 8W New York NY 10011		Public	Environment	Person or ☐ Business ☒ 250.
Garden Club of America 14 East 60th St., 3rd Floor New York NY 10022		Public	Environment	Person or ☐ Business ☒ 400.
Greenwich Village Little League 72 Barrow Street, Apt. 6T New York NY 10014		Public	Youth Services	Person or ☐ Business ☒ 500.
Habitat for Humanity 111 John St., 23rd Fl. New York NY 10038		Public	Human Services	Person or ☐ Business ☒ 2,500.
Hampden Family Center 1104 W 36th St Baltimore MD 21211		Public	Human Services	Person or ☐ Business ☒ 500.
Holland Society of NY 20 West 44th Street, 5th Floor New York NY 10036		Public	Historical Preservation	Person or ☐ Business ☒ 800.
International Rescue Committee PO Box 6068 Albert Lea MN 56007		Public	Human Services	Person or ☐ Business ☒ 750.
Maine Sea Coast Mission 127 West St Bar Harbor ME 04609		Public	Human Services	Person or ☐ Business ☒ 250.
Massachusetts Audubon 208 South Great Road Lincoln MA 01773		Public	Environment	Person or ☐ Business ☒ 10,000.
Maryland Lacrosse Club 4502 Schenley Rd. Baltimore MD 21210		Public	Youth Services	Person or ☐ Business ☒ 200.
National Association of Free Clinics 1800 Diagonal Rd. Suite 600 Alexandria VA 22314		Public	Human Services	Person or ☐ Business ☒ 500.
Open Society Institute - Baltimore 201 North Charles Street, Suite 1300 Baltimore MD 21201		Public	Human Services	Person or ☐ Business ☒ 500.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
Oxfam America				Person or ☐
National Mail Processing Center PO Box 1211				Business ☒
Albert Lea MN 56007		Public	Human Services	200.
Republican National Committee				Person or ☐
310 First Street, SE				Business ☒
Washington DC 20003		Public	Politics	200.
Room To Grow				Person or ☐
54 W 21st Street, #401				Business ☒
New York NY 10010		Public	Human Services	500.
Seeds of Peace				Person or ☐
370 Lexington Ave. Suite 401				Business ☒
New York NY 10017		Public	Youth Services	175.
Shared Opportunity Service				Person or ☐
601 High St				Business ☒
Chestertown MD 21620		Public	Human Services	10,000.
Studio in a School				Person or ☐
410 West 59th Street				Business ☒
New York NY 10019		Public	Youth Services	500.
Texas Scottish Rite Hospital				Person or ☐
Development Department 2222 Welborn St.				Business ☒
Dallas TX 75219		Public	Health Services	500.
Ulman Cancer Fund				Person or ☐
921 E Fort Ave, Ste. 325				Business ☒
Baltimore MD 21230		Public	Medical Research	500.
Wildlife Conservation Society				Person or ☐
2300 Southern Boulevard				Business ☒
Bronx NY 10460		Public	Environment	150.
Zofo				Person or ☐
754 46th Avenue				Business ☒
San Francisco CA 94121		Public	Arts	5,000.
Total				<u>290,075.</u>

Form 990-PF, Page 1, Part I

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Delta Management, Inc.	Tax Return, Monthly Recon.	15,000.			15,000.

Form 990-PF, Page 1, Part I

Continued

Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Total		<u>15,000.</u>			<u>15,000.</u>

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LPL Synergy	Investment Management	6,946.	6,946.		
Silverlake Partners	Investment Management	8,192.	8,192.		
Delta Mgmt	Investment Advice	15,000.	15,000.		
Total		<u>30,138.</u>	<u>30,138.</u>		

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
TROW	181,751.	4,439,811.
LPL - Other	1,298,497.	1,170,179.
JP Morgan	1,200,490.	2,293,217.
Total	<u>2,680,738.</u>	<u>7,903,207.</u>

Supporting Statement of:

Form 990-PF,p12/Line 3 Column (b)

Description	Amount
JP Morgan	52.
Total	<u>52.</u>

Supporting Statement of:

Form 990-PF,p12/Line 4 Column (b)

Description	Amount
LPL Financial - TROW	76,013.
LPL Financial - Other	14,685.
JP Morgan	14,650.
Total	<u>105,348.</u>

Supporting Statement of:

Form 990-PF,p12/Line 7 Column (b)

Description	Amount
JP Morgan	12,003.
Total	<u>12,003.</u>