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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation RUTH AND ROBERT ST. JOHN FOUNDATION, INC C/O VERNON J. NILY, PRESIDENT		A Employer identification number 52-1709184
Number and street (or P O box number if mail is not delivered to street address) P. O. BOX 2183	Room/suite	B Telephone number 410-822-2140
City or town, state or province, country, and ZIP or foreign postal code EASTON, MD 21601		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☐ Section 4947(a)(1) nonexempt charitable trust ☒ Section 501(c)(3) exempt private foundation ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 4,170,315.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		5,500.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		29.	29.		STATEMENT 1
4 Dividends and interest from securities		93,934.	93,934.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		885,431.			
b Gross sales price for all assets on line 6a	5,344,473.		885,431.		
7 Capital gain net income (from Part IV, line 2)					
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		3,166.	3,166.		STATEMENT 3
12 Total. Add lines 1 through 11		988,060.	982,560.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees	STMT 4	14,919.	14,919.		0.
c Other professional fees					
17 Interest					
18 Taxes	STMT 5	9,235.	2,735.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	STMT 6	38,016.	38,016.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		62,170.	55,670.		0.
25 Contributions, gifts, grants paid		221,000.			221,000.
26 Total expenses and disbursements. Add lines 24 and 25		283,170.	55,670.		221,000.
27 Subtract line 26 from line 12		704,890.			
a Excess of revenue over expenses and disbursements			926,890.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		38,712.	26,722.	26,722.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U S and state government obligations				
	b	Investments - corporate stock STMT 8		3,729,861.	4,143,593.	4,143,593.
	c	Investments - corporate bonds				
	Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶				
12		Investments - mortgage loans				
13		Investments - other				
14		Land, buildings, and equipment basis ▶				
		Less accumulated depreciation ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)		3,768,573.	4,170,315.	4,170,315.
17		Accounts payable and accrued expenses				
18		Grants payable				
Net Assets or Fund Balances	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		3,892,643.	3,589,495.	
28	Paid-in or capital surplus, or land, bldg, and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		<124,070.>	580,820.		
30	Total net assets or fund balances		3,768,573.	4,170,315.		
31	Total liabilities and net assets/fund balances		3,768,573.	4,170,315.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,768,573.
2	Enter amount from Part I, line 27a	2	704,890.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	4,473,463.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 7	5	303,148.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,170,315.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 5,344,473.		4,459,042.	885,431.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			885,431.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7 } **2** **885,431.**

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) { If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 } **3** **N/A**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	211,000.	3,812,126.	.055350
2011	202,000.	3,871,237.	.052180
2010	177,025.	3,706,493.	.047761
2009	178,000.	3,501,661.	.050833
2008	209,968.	3,959,897.	.053024

2 Total of line 1, column (d) **2** **.259148**

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years **3** **.051830**

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 **4** **4,021,229.**

5 Multiply line 4 by line 3 **5** **208,420.**

6 Enter 1% of net investment income (1% of Part I, line 27b) **6** **9,269.**

7 Add lines 5 and 6 **7** **217,689.**

8 Enter qualifying distributions from Part XII, line 4 **8** **221,000.**

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	9,269.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		3	9,269.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	9,269.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	6,433.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	9,160.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	15,593.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	6,324.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ 0. (2) On foundation managers ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MD		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► L. STEPHEN SATCHELL, TREASURER Telephone no ► 410-822-2140 Located at ► P.O. BOX 2183, EASTON, MD ZIP+4 ► 21601			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐ **►**

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** **X**

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
VERNON J. NILY, JR. P. O. BOX 757 EASTON, MD 21601	PRESIDENT 0.00	0.	0.	0.
WILLIAM H. PRICE II 28133 WOODLAND CT. EASTON, MD 21601	SECRETARY 0.00	0.	0.	0.
L. STEPHEN SATCHELL 28372 CANVASBACK LANE EASTON, MD 21601	TREASURER 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

► 0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3

Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

Expenses

1	N/A	
2		
3		
4		

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	N/A	
2		
All other program-related investments See instructions		
3		
Total. Add lines 1 through 3		0.

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	4,058,749.
b	Average of monthly cash balances	1b	23,717.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,082,466.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,082,466.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	61,237.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,021,229.
6	Minimum investment return. Enter 5% of line 5	6	201,061.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	201,061.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	9,269.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	9,269.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	191,792.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	191,792.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	191,792.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	221,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	221,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	9,269.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	211,731.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

RUTH AND ROBERT ST. JOHN FOUNDATION, INC
C/O VERNON J. NILY, PRESIDENT

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				191,792.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011	4,071.			
e From 2012	23,309.			
f Total of lines 3a through e	27,380.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 221,000.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount	29,208.			191,792.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below	56,588.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	56,588.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011	4,071.			
d Excess from 2012	23,309.			
e Excess from 2013	29,208.			

Form 990-PF (2013)

1

a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b

Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or
☐ 4942(j)(5)

2

a

Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b

85% of line 2a

c

Qualifying distributions from Part XII, line 4 for each year listed

d

Amounts included in line 2c not used directly for active conduct of exempt activities

e

Qualifying distributions made directly for active conduct of exempt activities

Subtract line 2d from line 2c

3

Complete 3a, b, or c for the alternative test relied upon

a

"Assets" alternative test - enter

(1)

Value of all assets

(2)

Value of assets qualifying under section 4942(j)(3)(B)(i)

b

"Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c

"Support" alternative test - enter

(1)

Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2)

Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3)

Largest amount of support from an exempt organization

(4)

Gross investment income

Part XV

Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here

☒

if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ACADEMY OF THE ARTS MUSUEM 106 SOUTH ST. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	7,500.
AVALON FOUNDATION 42 E. DOVER ST. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	10,000.
BLAKE BLACKSTON AMERICAN LEGION 102 GLENWOOD AVE. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	1,500.
BOY SCOUT TROOP #190 PEACHBLOSSOM ROAD EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	3,000.
BOY SCOUT TROOP #532 DUTCHMAN'S LANE EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	3,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	221,000.
b Approved for future payment				
NONE				
Total ▶ 3b 0.				

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

13
2013.04000 RUTH AND ROBERT ST. JOHN FO 124501

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Signature of officer or trustee

Date _____

Title

PRESIDENT

Print/Type preparer's name _____

Preparer's signature

Date _____

Check ☐ if
self-employed

PTIN

**Paid
Preparer
Use Only**

ROBERT T. VALLIANT

Firm's name ▶ CBIZ MHM, LLC

Firm's address ► 28614 MARLBORO AVE. SUITE 103
EASTON, MD 21601

P00040846

Firm's EIN ▶ 34-1876254

Phone no (410) 822-6950

Form **990-PF** (2013)

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990

OMB No 1545-0047

2013**Name of the organization**RUTH AND ROBERT ST. JOHN FOUNDATION, INC
C/O VERNON J. NILY, PRESIDENT**Employer identification number**

52-1709184

Organization type (check one):**Filers of:****Section:**

Form 990 or 990-EZ

- ☐ 501(c)() (enter number) organization
- ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
- ☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
- ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
- ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

RUTH AND ROBERT ST. JOHN FOUNDATION, INC
C/O VERNON J. NILY, PRESIDENT

Employer identification number

52-1709184

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	TIDEWATER TITANS ASSOCIATION 29409 GOLTON DRIVE EASTON, MD 21601	\$ 5,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

RUTH AND ROBERT ST. JOHN FOUNDATION, INC
C/O VERNON J. NILY, PRESIDENT

Employer identification number

52-1709184

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

RUTH AND ROBERT ST. JOHN FOUNDATION, INC
C/O VERNON J. NILY, PRESIDENT

Employer identification number

52-1709184

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once) ► \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co						(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY, NOM. A/C 921 906669 084 SEE ATTAC					P	VARIOUS	12/31/13
b	MORGAN STANLEY, NOM. A/C 921 906669 084 SEE ATTAC					P	VARIOUS	12/31/13
c	MORGAN STANLEY, NOM. A/C 921 906668 084 SEE ATTAC					P	VARIOUS	12/31/13
d	MORGAN STANLEY, NOM. A/C 921 906668 084 SEE ATTAC					P	VARIOUS	12/31/13
e	MORGAN STANLEY, NOM. A/C 921 906670 084 SEE ATTAC					P	VARIOUS	12/31/13
f	MORGAN STANLEY, NOM. A/C 921 906670 084 SEE ATTAC					P	VARIOUS	12/31/13
g	MORGAN STANLEY, NOM. A/C 921 906670 084 SEE ATTAC					P	VARIOUS	12/31/13
h	MORGAN STANLEY, NOM. A/C 921 906671 084 SEE ATTAC					P	VARIOUS	12/31/13
i	FAIRFIELD FUTURES FUND-SHORT TERM LOSS					P	VARIOUS	12/31/13
j	ORION FUTURES FUND-1256 CONTRACT STRADDLES					P	VARIOUS	12/31/13
k	ORION FUTURES FUND-SHORT TERM LOSS					P	VARIOUS	12/31/13
l	ORION FUTURES FUND-LONG TERM LOSS					P	VARIOUS	12/31/13
m	POWERSHARES DB COMMODITY INDEX-1256 CONTRACT STRA					P	VARIOUS	12/31/13
n	POWERSHARES DB COMMODITY INDEX- SHORT TERM GAIN					P	VARIOUS	12/31/13
o	POWERSHARES DB COMMODITY INDEX-LONG TERM GAIN					P	VARIOUS	12/31/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	414,347.	364,069.	50,278.
b	530,747.	229,022.	301,725.
c	62,143.	62,385.	<242.>
d	196,054.	190,134.	5,920.
e	10,007.	6,829.	3,178.
f	63,583.	56,327.	7,256.
g	130,938.	152,625.	<21,687.>
h	184,791.	107,528.	77,263.
i		128.	<128.>
j		3,317.	<3,317.>
k		1,464.	<1,464.>
l		1,112.	<1,112.>
m	451.		451.
n	15.		15.
o	9.		9.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			50,278.
b			301,725.
c			<242.>
d			5,920.
e			3,178.
f			7,256.
g			<21,687.>
h			77,263.
i			<128.>
j			<3,317.>
k			<1,464.>
l			<1,112.>
m			451.
n			15.
o			9.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	}	3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	BAIRD, NOM. 35194653 SEE ATTACHED	P	VARIOUS	12/31/13
b	BAIRD, NOM. 35194653 SEE ATTACHED	P	VARIOUS	12/31/13
c	ISHS GOLD TRUST-PRIN. REPAYMENTS	P	VARIOUS	12/31/13
d	FAIRFIELD FUTURES FUND-1256 CONTRACT STRADDLES	P	VARIOUS	12/31/13
e	MORGAN STANLEY, NOM. A/C 921 906671 084 SEE ATTAC	P	VARIOUS	12/31/13
f	67.371 UNITS MFF FAIRFIELD FUTURES LP	P	04/01/03	10/31/13
g	102.770 UNITS ORION FUTURES LP	P	04/01/03	10/31/13
h	1.082 UNITS ORION FUTURES LP	P	06/01/13	10/31/13
i	3 SHS. TELEFONICA SA SPONS	P	VARIOUS	11/04/13
j	BAIRD PRINCIPAL REPAYMENTS	P	VARIOUS	12/31/13
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,316,949.		1,184,850.	132,099.
b 2,027,089.		1,707,990.	319,099.
c 3,319.		2,438.	881.
d 8,955.			8,955.
e 34,956.		31,817.	3,139.
f 94,517.		98,517.	<4,000.>
g 262,737.		258,395.	4,342.
h 2,766.			2,766.
i 51.		51.	0.
j 44.		44.	0.
k 5.			5.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			132,099.
b			319,099.
c			881.
d			8,955.
e			3,139.
f			<4,000.>
g			4,342.
h			2,766.
i			0.
j			0.
k			5.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	885,431.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}		3	N/A

RUTH AND ROBERT ST. JOHN FOUNDATION, INC
C/O VERNON J. NILY, PRESIDENT

52-1709184

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CUB SCOUT PACK #741 P. O. BOX 177 ST. MICHAELS, MD 21663	NONE	PUBLIC CHARITY	SUSTAINING GRANT	2,000.
CASA OF TALBOT COUNTY 1 S. WASHINGTON ST. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	10,000.
CHANNEL MARKERS 8626 BROOKS DRIVE EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	5,000.
CHARACTER COUNTS 108 MARYLAND AVE. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	7,500.
CRITCHLOW ADRINS CHILDRENS CENTER 11 MAGNOLIA ST. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	10,000.
CUB SCOUT PACK #190 PEACHBLOSSOM ROAD EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	3,000.
CUB SCOUT PACK #532 DUTCHMAN'S LANE EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	3,000.
DIXON HOUSE 108 HIGGINS ST. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	7,500.
DORCAS SOCIETY 7050 OLD SCHOOLHOUSE LANE EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	3,000.
EASTERN SHORE CHAMBER MUSIC FESTIVAL 114 N. WASHINGTON ST., SUITE 20 EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	5,000.
Total from continuation sheets				196,000.

RUTH AND ROBERT ST. JOHN FOUNDATION, INC
C/O VERNON J. NILY, PRESIDENT

52-1709184

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
EASTON HIGH SCHOOL CORE SPORTS 720 MECKLENBURG AVE. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT ;LISTTOTAL 6000	7,500.
FIRST NIGHT TALBOT 11 S. HARRISON ST. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	1,500.
FOR ALL SEASONS 300 TALBOT ST. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	5,000.
HABITAT FOR HUMANITY - CHOPTANK 8648 COMMERCE DRIVE EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	5,000.
MENTAL HEALTH SOCIETY 611 DUTCHMAN'S LANE EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	6,000.
MID SHORE COMMUNITY FOUNDATION-EES CARE PACKS PROGRAM 102 E. DOVER ST., STE. 1 EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	10,000.
PICKERING CREEK AUDUBON FOUNDATION 11450 AUDUBON LANE EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	10,000.
SHENANDOAH UNIVERSITY - DANIEL DIETER SCHOLARSHIP FBO WAYNE GRIFFITH 1460 UNIVERSITY DRIVE WINCHESTER, VA 22601-5195	NONE	PUBLIC CHARITY	SUSTAINING GRANT	2,500.
ST. MARKS VILLAGE 212 BAY ST. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	5,000.
ST. MICHAELS COMMUNITY CENTER TALBOT ST. ST. MICHAELS, MD 21663	NONE	PUBLIC CHARITY	SUSTAINING GRANT	4,000.
Total from continuation sheets				

RUTH AND ROBERT ST. JOHN FOUNDATION, INC
C/O VERNON J. NILY, PRESIDENT

52-1709184

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. VINCENT DEPAUL SOCIETY 29533 CANVASBACK DRIVE EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	10,000.
TAKE A KID OUTDOORS (TAKO) 8659 COMMERCE DRIVE EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	5,000.
TALBOT COUNTY ARTS COUNCIL P. O. BOX 6 EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	2,500.
TALBOT COUNTY FAMILY SUPPORT CENTER 126 PORT ST. #2 EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	6,000.
TALBOT COUNTY HOSPICE 586 CYNWOOD AVE. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	2,500.
TALBOT COUNTY SPECIAL RIDERS, INC. 30485 MATTHEWSTOWN ROAD EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	6,000.
TALBOT HUMANE SOCIETY OCEAN GATEWAY EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT ;LISTTOTAL 15000	12,500.
TALBOT MENTORS 108 MARYLAND AVE. EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	7,500.
TALBOT PARTNERSHIP 8 GOLDSBOROUGH ST., #203 EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	7,500.
TALBOT BRAVES POP WARNER FOOTBALL PROGRAM 29409 GOLTON DRIVE EASTON, MD 21601	NONE	PUBLIC CHARITY	SUSTAINING GRANT	15,000.
Total from continuation sheets				

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
TALBOT BANK CHECKING	29.	29.	
TOTAL TO PART I, LINE 3	29.	29.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FAIRFIELD FUTURES FUND LP	24.	0.	24.	24.	
LESS: ACC. INT. PAID MORGAN STANLEY A/C	<1,742.>	0.	<1,742.>	<1,742.>	
LESS: ACC. INT. PAID ROBERT W. BAIRD, NOM.	<2,061.>	0.	<2,061.>	<2,061.>	
MORGAN STANLEY A/C 902-906669-084	5,639.	0.	5,639.	5,639.	
MORGAN STANLEY A/C 921 9066668084	32,036.	0.	32,036.	32,036.	
MORGAN STANLEY A/C 921-906670-084	29,719.	0.	29,719.	29,719.	
MORGAN STANLEY A/C 921-906671-084	1,406.	0.	1,406.	1,406.	
ORION FUTURES FUND POWERSHARES DB	54.	0.	54.	54.	
COMMODITY INDEX ROBERT W. BAIRD, NOM. 35194653	2.	0.	2.	2.	
	28,862.	5.	28,857.	28,857.	
TO PART I, LINE 4	93,939.	5.	93,934.	93,934.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FAIRFIELD FUTURES FUND	629.	629.	
ORION FUTURES FUND	2,537.	2,537.	
TOTAL TO FORM 990-PF, PART I, LINE 11	3,166.	3,166.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CBIZ MHM, LLC	14,919.	14,919.		0.
TO FORM 990-PF, PG 1, LN 16B	14,919.	14,919.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL FOREIGN TAX W/H	2,735.	2,735.		0.
FEDERAL 990-PF TAX PAYMENTS	6,500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	9,235.	2,735.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEES	30,408.	30,408.		0.
PORTFOLIO & OTHER DEDUCTIONS-PASS-THROUGH INVS.	7,508.	7,508.		0.
OFFICE	100.	100.		0.
TO FORM 990-PF, PG 1, LN 23	38,016.	38,016.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION	AMOUNT
CURRENT YEAR ADJUSTMENT TO FAIR MARKET VALUE OF ASSETS	303,148.
TOTAL TO FORM 990-PF, PART III, LINE 5	303,148.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY INVESTMENT ACCOUNTS	0.	0.
BAIRD INVESTMENT ACCOUNT 35194653	4,143,593.	4,143,593.
TOTAL TO FORM 990-PF, PART II, LINE 10B	4,143,593.	4,143,593.

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 52-1709184
Account Number 921 906671 084

Customer Service: 866-324-6088

VERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 (OAKRIDGE - IMS)
PO BOX 2183
EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ARIAD PHARM INC		CUSIP: 04033A100	Symbol (Box 1d): ARIA					
	91 000	04/17/13	09/20/13	\$1,850 90	\$1,642 08	\$0 00	\$208 82	\$0 00
	154 000	04/17/13	10/09/13	\$774 38	\$2,778 90	\$0 00	(\$2,004 52)	\$0 00
	148 000	05/14/13	10/09/13	\$744 20	\$2,584.75	\$0.00	(\$1,840.55)	\$0.00
Security Subtotal	393.000			\$3,369.48	\$7,005.73	\$0 00	(\$3,636.25)	\$0.00
ELLIE MAE INC COM		CUSIP: 28849P100	Symbol (Box 1d): ELLI					
	34 000	02/20/13	09/20/13	\$1,057 38	\$755 01	\$0 00	\$302 37	\$0 00
EPAM SYSTEMS		CUSIP: 29414B104	Symbol (Box 1d): EPAM					
	43 000	03/27/13	09/20/13	\$1,466 27	\$993 54	\$0 00	\$472 73	\$0 00
FRESH MARKET INC THE		CUSIP: 35804H106	Symbol (Box 1d): TFM					
	22 000	08/29/13	09/20/13	\$1,058 40	\$1,089 15	\$0 00	(\$30 75)	\$0 00
GLOBUS MEDICAL INC A		CUSIP: 379577208	Symbol (Box 1d): GMED					
	70 000	03/07/13	09/20/13	\$1,214 47	\$1,032 79	\$0 00	\$181 68	\$0 00
GROUP I AUTOMOTIVE INC		CUSIP: 396905109	Symbol (Box 1d): GPI					
	15 000	08/19/13	09/20/13	\$1,206 57	\$1,130 53	\$0 00	\$76 04	\$0 00
HALCON RESOURCES CORP		CUSIP: 40537Q209	Symbol (Box 1d): HK					
	132 000	04/03/13	09/20/13	\$623 02	\$1,002 91	\$0 00	(\$379 89)	\$0 00
HSN INC		CUSIP: 404303109	Symbol (Box 1d): HSN1					
	35 000	11/09/12	09/20/13	\$1,921 11	\$1,799 18	\$0 00	\$121 93	\$0 00
INVENSENSE INC		CUSIP: 46123D205	Symbol (Box 1d): INVN					
	114 000	08/19/13	09/20/13	\$1,983 56	\$1,925 28	\$0 00	\$58 28	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004
Identification Number

26-4310632

Taxpayer ID Number

52-1709184

Account Number

921 906671 084

Customer Service: 866-324-6088

VERNON NILY

ST JOHN FOUNDATION, INC

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
KEYW HLDG CORP COM	CUSIP: 493723100	Symbol (Box 1d): KEYW						
	66 000	04/05/13	09/20/13	\$855 34	\$1,005 19	\$0 00	(\$149 85)	\$0 00
MAXIMUS INC	CUSIP: 577933104	Symbol (Box 1d): MMS						
	38 000	07/02/13	09/20/13	\$1,621 81	\$1,374 11	\$0 00	\$247 70	\$0 00
NPS PHARMACEUTICALS INC	CUSIP: 62936P103	Symbol (Box 1d): NPSP						
	100 000	04/03/13	09/20/13	\$3,057 94	\$1,048 60	\$0 00	\$2,009 34	\$0 00
OMNICELL INC	CUSIP: 68213N109	Symbol (Box 1d): OMCL						
	94 000	11/07/12	09/20/13	\$2,327 39	\$1,363 95	\$0 00	\$963 44	\$0 00
PROOFPOINT INC	CUSIP: 743424103	Symbol (Box 1d): PFPT						
	55 000	05/29/13	09/20/13	\$1,770 96	\$1,100 26	\$0 00	\$670 70	\$0 00
SANDRIDGE ENERGY INC	CUSIP: 80007P307	Symbol (Box 1d): SD						
	1 000	04/19/12	04/05/13	\$4 97	\$7 14	\$0 00	(\$2 17)	\$0 00
SEACHANGE INTL INC	CUSIP: 811699107	Symbol (Box 1d): SEAC						
	89 000	03/11/13	09/20/13	\$1,037 72	\$1,008 68	\$0 00	\$29 04	\$0 00
SPECTRANETICS CORPORATION	CUSIP: 84760C107	Symbol (Box 1d): SPNC						
	62 000	02/20/13	09/20/13	\$990 74	\$1,072 20	\$0 00	(\$81 46)	\$0 00
SYNCHRONOSS TECH INC	CUSIP: 87157B103	Symbol (Box 1d): SNCR						
	70 000	12/04/12	09/20/13	\$2,633 35	\$1,310 71	\$0 00	\$1,322 64	\$0 00

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Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Identification Number 26-4310632
Taxpayer ID Number 52-1709184
Account Number 921 906671 084
Customer Service: 866-324-6088

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ST JOHN FOUNDATION, INC
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PO BOX 2183
EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNITED NATURAL FOODS INC	20 000	08/19/13	09/20/13	\$1,342 17	\$1,216 91	\$0 00	\$125 26	\$0 00
VIRTUSA CORP	59 000	01/08/13	09/20/13	\$1,702 12	\$945 28	\$0 00	\$756 84	\$0 00
Total Short Term Covered Securities				\$31,244.77	\$28,187.15	\$0.00	\$3,057.62	\$0.00

Corporate Tax Statement Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PVH CORPORATION								
	0.139	02/14/13	02/14/13	\$16.75	\$16.75	\$0.00	\$0.00	\$0.00
	23.000	02/14/13	02/15/13	\$2,766.89	\$2,771.27	\$0.00	(\$4.38)	\$0.00
Security Subtotal	23.139			\$2,783.64	\$2,788.02	\$0.00	(\$4.38)	\$0.00
RIVERBED TECH INC								
	44.000	12/19/12	01/04/13	\$927.92	\$841.50	\$0.00	\$86.42	\$0.00
Total Short Term Noncovered Securities				\$3,711.56	\$3,629.52	\$0.00	\$82.04	\$0.00
Total Short Term Covered and Noncovered Securities				\$34,956.33	\$31,816.67	\$0.00	\$3,139.66	\$0.00

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
A O SMITH CORP	CUSIP: 831865209	Symbol (Box 1d): AOS						
	85 000	03/04/11	09/20/13	\$3,822 38	\$1,747 50	\$0 00	\$2,074 88	\$0 00
ACTUANT CORP CL A NEW	CUSIP: 00508X203	Symbol (Box 1d): ATU						
	71 000	07/15/11	09/20/13	\$2,785 99	\$1,844 92	\$0 00	\$941 07	\$0 00
AKORN INC	CUSIP: 009728106	Symbol (Box 1d): AKRX						
	204 000	07/11/12	09/20/13	\$3,804 63	\$3,296 23	\$0 00	\$508 40	\$0 00
	1,000	07/30/12	09/20/13	\$18.65	\$14.09	\$0.00	\$4.56	\$0.00
Security Subtotal	205 000			\$3,823.28	\$3,310.32	\$0.00	\$512.96	\$0.00
APPROACH RESOURCES INC	CUSIP: 03834A103	Symbol (Box 1d): AREX						
	54 000	06/01/11	09/20/13	\$1,322 97	\$1,402 97	\$0 00	(\$80 00)	\$0 00
BANKUNITED INC	CUSIP: 06652K103	Symbol (Box 1d): BKU						
	79 000	01/28/11	09/20/13	\$2,373 11	\$2,227 72	\$0 00	\$145 39	\$0 00
BEACON ROOFING SUPPLY INC	CUSIP: 073685109	Symbol (Box 1d): BECN						
	68 000	07/17/12	09/20/13	\$2,522 07	\$1,831 63	\$0 00	\$690 44	\$0 00
BUFFALO WILD WINGS INC	CUSIP: 119848109	Symbol (Box 1d): BWLD						
	10 000	05/02/12	09/20/13	\$1,097 08	\$862 50	\$0 00	\$234 58	\$0 00
CARDTRONICS INC	CUSIP: 14161H108	Symbol (Box 1d): CATM						
	61 000	07/25/12	09/20/13	\$2,301 48	\$1,779 46	\$0 00	\$522 02	\$0 00

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Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

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26-4310632

VERNON NILY

ST JOHN FOUNDATION, INC

U/A/D 05/09/01 (OAKRIDGE - IMS)

PO BOX 2183

EASTON MD 21601-8942

Taxpayer ID Number

52-1709184
Account Number

921 908671 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities
(Continued)

(Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CHILDRENS PLC RETAIL STORES		CUSIP: 168905107	Symbol (Box 1d): PLCE					
	72 000	03/28/11	07/29/13	\$3,922.11	\$3,564.19	\$0.00	\$357.92	\$0.00
	80 000	05/06/11	07/29/13	\$4,357.91	\$4,183.47	\$0.00	\$174.44	\$0.00
Security Subtotal	152 000			\$8,280.02	\$7,747.66	\$0.00	\$532.36	\$0.00
CLARCOR INC		CUSIP: 179895107	Symbol (Box 1d): CLC					
	18 000	08/09/11	09/20/13	\$990.16	\$723.60	\$0.00	\$266.56	\$0.00
COLFAX CORP		CUSIP: 194014106	Symbol (Box 1d): CFX					
	56 000	06/13/11	09/20/13	\$3,175.70	\$1,268.01	\$0.00	\$1,907.69	\$0.00
FINISAR COM NEW		CUSIP: 31787A507	Symbol (Box 1d): FNSR					
	221 000	11/17/11	01/22/13	\$3,018.79	\$4,481.12	\$0.00	(\$1,462.33)	\$0.00
GULFPORT ENERGY CORP NEW		CUSIP: 402635304	Symbol (Box 1d): GPOR					
	54 000	03/25/11	09/20/13	\$3,316.09	\$1,756.07	\$0.00	\$1,560.02	\$0.00
MICROS SYST		CUSIP: 594901100	Symbol (Box 1d): MCRS					
	1 000	04/19/12	06/06/13	\$42.04	\$55.52	\$0.00	(\$13.48)	\$0.00
OASIS PETROLEUM INC		CUSIP: 674215108	Symbol (Box 1d): OAS					
	54 000	03/17/11	09/20/13	\$2,430.49	\$1,694.92	\$0.00	\$735.57	\$0.00
QUESTCOR PHARM INC		CUSIP: 74835Y101	Symbol (Box 1d): QCOR					
	51 000	01/06/11	09/20/13	\$2,864.62	\$758.08	\$0.00	\$2,106.54	\$0.00
REALPAGE INC		CUSIP: 75606N109	Symbol (Box 1d): RP					
	65 000	07/26/12	09/20/13	\$1,513.82	\$1,396.90	\$0.00	\$116.92	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

VERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 (OAKRIDGE - IMS)
PO BOX 2183
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ROBBINS & MYERS								
		CUSIP: 770196103	Symbol (Box 1d): RBN					
	80 000	03/23/11	02/21/13	\$4,800 00	\$3,470 60	\$0 00	\$1,329 40	\$0 00
	92 000	03/29/11	02/21/13	\$5,520 00	\$4,167 60	\$0 00	\$1,352 40	\$0 00
Security Subtotal	172 000			\$10,320 00	\$7,638 20	\$0 00	\$2,681 80	\$0 00
SALIX PHARM LTD (DE)								
		CUSIP: 795435106	Symbol (Box 1d): SLXP					
	51 000	06/01/12	09/20/13	\$3,423 06	\$2,625 45	\$0 00	\$797 61	\$0 00
SOLARWINDS INC								
		CUSIP: 83416B109	Symbol (Box 1d): SWI					
	97 000	07/10/12	07/26/13	\$3,498 81	\$3,918 82	\$0 00	(\$420 01)	\$0 00
TEXAS ROADHOUSE INC CL A								
		CUSIP: 882681109	Symbol (Box 1d): TXRH					
	77 000	06/07/12	09/20/13	\$1,987 33	\$1,360 40	\$0 00	\$626 93	\$0 00
TRIUMPH GROUP INC								
		CUSIP: 896818101	Symbol (Box 1d): TGI					
	31 000	08/26/11	09/20/13	\$2,135 55	\$1,486 04	\$0 00	\$649 51	\$0 00
TRUE RELIGION APPAREL INC								
		CUSIP: 89784N104	Symbol (Box 1d):					
	1 000	04/19/12	07/23/13	\$31 85	\$25 99	\$0 00	\$5 86	\$0 00
VITAMIN SHOPPE INC COM								
		CUSIP: 92849E101	Symbol (Box 1d): VSI					
	35 000	07/11/11	09/20/13	\$1,441 97	\$1,598 98	\$0 00	(\$157 01)	\$0 00
VOCUS INC								
		CUSIP: 92858J108	Symbol (Box 1d): VOCS					
	136 000	05/27/11	04/24/13	\$1,221 54	\$3,610 78	\$0 00	(\$2,389 24)	\$0 00
	132 000	11/29/11	04/24/13	\$1,185 61	\$2,685 83	\$0 00	(\$1,500 22)	\$0 00
	13 000	04/19/12	04/24/13	\$116 76	\$166 79	\$0 00	(\$50 03)	\$0 00
Security Subtotal	281 000			\$2,523 91	\$6,463 40	\$0 00	(\$3,939 49)	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WAGEWORKS INC COM		CUSIP: 930427109	Symbol (Box 1d): WAGE					
	157 000	05/10/12	08/21/13	\$6,926.65	\$1,609.25	\$0.00	\$5,317.40	\$0.00
	57 000	05/10/12	09/20/13	\$2,960.52	\$584.25	\$0.00	\$2,376.27	\$0.00
Security Subtotal	214 000			\$9,887.17	\$2,193.50	\$0.00	\$7,693.67	\$0.00
WATSCO INC		CUSIP: 942622200	Symbol (Box 1d): WSO					
	31 000	05/30/12	09/20/13	\$2,900.61	\$2,250.51	\$0.00	\$650.10	\$0.00
Total Long Term Covered Securities				\$83,830.35	\$64,450.19	\$0.00	\$19,380.16	\$0.00

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 52-1709184
Account Number 921 906671 084
Customer Service: 866-324-6088

VERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 (OAKRIDGE - IMS)
PO BOX 2183
EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AFFILIATED MGRS GROUP INC								
	14 000	02/28/08	09/20/13	\$2,593.73	\$1,393.70	\$0.00	\$1,200.03	\$0.00
ALIGN TECHNOLOGY								
	115 000	04/23/10	05/14/13	\$4,172.18	\$1,950.77	\$0.00	\$2,221.41	\$0.00
	41 000	04/23/10	09/20/13	\$1,952.38	\$695.49	\$0.00	\$1,256.89	\$0.00
	15 000	04/27/10	09/20/13	\$714.29	\$255.89	\$0.00	\$458.40	\$0.00
Security Subtotal	171.000			\$6,838.85	\$2,902.15	\$0.00	\$3,936.70	\$0.00
ANSYS INC								
	30 000	09/11/06	09/20/13	\$2,579.95	\$674.41	\$0.00	\$1,905.54	\$0.00
CHURCH & DWIGHT CO INC								
	68 000	12/18/02	08/29/13	\$4,065.01	\$680.93	\$0.00	\$3,384.08	\$0.00
	120 000	07/23/03	08/29/13	\$7,173.54	\$1,292.40	\$0.00	\$5,881.14	\$0.00
Security Subtotal	188.000			\$11,238.55	\$1,973.33	\$0.00	\$9,265.22	\$0.00
DECKER OUTDOOR CORPORATION								
	19 000	07/20/10	09/20/13	\$1,151.56	\$855.26	\$0.00	\$296.30	\$0.00
DICE HOLDINGS INC								
	143 000	12/09/10	09/20/13	\$1,194.02	\$1,634.49	\$0.00	(\$440.47)	\$0.00
HAEMONETICS CORP								
	145 000	04/28/05	05/01/13	\$5,607.94	\$3,051.40	\$0.00	\$2,556.54	\$0.00
	32 000	01/03/06	05/01/13	\$1,237.62	\$779.13	\$0.00	\$458.49	\$0.00
Security Subtotal	177.000			\$6,845.56	\$3,830.53	\$0.00	\$3,015.03	\$0.00
HITTITE MICROWAVE CORP COM								
	36 000	05/22/06	09/20/13	\$2,329.51	\$1,217.58	\$0.00	\$1,111.93	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

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VERNON NILY
ST JOHN FOUNDATION, INC
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PO BOX 2183
EASTON MD 21601-8942

Identification Number 26-4310632

Taxpayer ID Number 52-1709184

Account Number 921 906671 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities * (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INDEX LABS		CUSIP: 45168D104	Symbol (Box 1d): IDXX					
	22 000	06/25/07	02/13/13	\$2,023.60	\$1,000.33	\$0.00	\$1,023.27	\$0.00
	56 000	06/25/08	02/13/13	\$5,150.99	\$2,889.40	\$0.00	\$2,261.59	\$0.00
	23 000	02/24/09	02/13/13	\$2,115.59	\$714.44	\$0.00	\$1,401.15	\$0.00
Security Subtotal	101.000			\$9,290.18	\$4,604.17	\$0.00	\$4,686.01	\$0.00
LKQ CORPORATION		CUSIP: 501889208	Symbol (Box 1d): LKQ					
	315 000	11/22/05	04/10/13	\$6,695.27	\$1,315.33	\$0.00	\$5,379.94	\$0.00
	5 000	11/22/05	09/20/13	\$159.10	\$20.88	\$0.00	\$138.22	\$0.00
	71 000	02/07/06	09/20/13	\$2,259.17	\$365.58	\$0.00	\$1,893.59	\$0.00
Security Subtotal	391.000			\$9,113.54	\$1,701.79	\$0.00	\$7,411.75	\$0.00
MICROS SYST		CUSIP: 594901100	Symbol (Box 1d): MCRS					
	28 000	04/27/05	06/06/13	\$1,176.94	\$506.05	\$0.00	\$670.89	\$0.00
	92 000	07/18/05	06/06/13	\$3,867.09	\$1,979.91	\$0.00	\$1,887.18	\$0.00
Security Subtotal	120.000			\$5,044.03	\$2,485.96	\$0.00	\$2,558.07	\$0.00
MIDDLEBY CORP DEL		CUSIP: 596278101	Symbol (Box 1d): MIDD					
	16 000	05/19/06	09/20/13	\$3,416.42	\$701.46	\$0.00	\$2,714.96	\$0.00
MWI VETERINARY SUPPLY INC		CUSIP: 55402X105	Symbol (Box 1d): MWIV					
	20 000	04/20/07	09/20/13	\$3,059.94	\$726.00	\$0.00	\$2,333.94	\$0.00
PORTFOLIO RECOVERY ASSOC INC		CUSIP: 73640Q105	Symbol (Box 1d): PRAA					
	65 000	06/09/03	09/20/13	\$3,846.63	\$597.64	\$0.00	\$3,248.99	\$0.00
PROSPERITY BANCSHARES		CUSIP: 743606105	Symbol (Box 1d): PB					
	19 000	01/05/07	09/20/13	\$1,147.96	\$656.57	\$0.00	\$491.39	\$0.00

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Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorVERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 (OAKRIDGE - IMS)
PO BOX 2183
EASTON MD 21601-8942New York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 52-1709184
Account Number 921 906671 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SANDRIDGE ENERGY INC	CUSIP: 80007P307	Symbol (Box 1d): SD						
	417 000	07/10/09	04/05/13	\$2,070.56	\$3,640.58	\$0.00	(\$1,570.02)	\$0.00
SIRONA DENTAL SYSTEMS INC	CUSIP: 82966C103	Symbol (Box 1d): SIRO						
	18 000	08/07/09	09/20/13	\$1,190.13	\$423.46	\$0.00	\$766.67	\$0.00
SOLERA HOLDINGS INC	CUSIP: 83421A104	Symbol (Box 1d): SLH						
	41 000	05/05/08	09/20/13	\$2,181.57	\$1,059.95	\$0.00	\$1,121.62	\$0.00
TRUE RELIGION APPAREL INC	CUSIP: 89784N104	Symbol (Box 1d):						
	67 000	10/17/07	07/23/13	\$2,133.86	\$1,134.64	\$0.00	\$999.22	\$0.00
	154 000	10/24/07	07/23/13	\$4,904.68	\$2,524.28	\$0.00	\$2,380.40	\$0.00
Security Subtotal	221 000			\$7,038.54	\$3,658.92	\$0.00	\$3,379.62	\$0.00
WARNACO GP INC	CUSIP: 934390402	Symbol (Box 1d): WRC						
	53 000	01/20/10	02/14/13	\$2,742.75	\$1,642.37	\$0.00	\$1,100.38	\$0.00
	0 000	01/20/10	02/14/13	\$1,163.50	\$705.55	\$0.00	\$457.95	\$0.00
	74 000	01/21/10	02/14/13	\$3,829.50	\$2,279.12	\$0.00	\$1,550.38	\$0.00
	0 000	01/21/10	02/14/13	\$1,624.52	\$979.09	\$0.00	\$645.43	\$0.00
Security Subtotal	127 000			\$9,360.27	\$5,606.13	\$0.00	\$3,754.14	\$0.00
WASTE CONNECTIONS	CUSIP: 941053100	Symbol (Box 1d): WCN						
	69 000	12/09/02	09/20/13	\$3,120.81	\$763.20	\$0.00	\$2,357.61	\$0.00
WEX INC COM	CUSIP: 96208T104	Symbol (Box 1d): WEX						
	43 000	05/17/07	09/20/13	\$3,685.03	\$1,365.96	\$0.00	\$2,319.07	\$0.00

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Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

VERNON NILY

ST JOHN FOUNDATION, INC

U/A/D 05/09/01 (OAKRIDGE - IMS)

PO BOX 2183

EASTON MD 21601-8942

Identification Number 26-4310632

Taxpayer ID Number 52-1709184

Account Number 921 906671 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
WOLVERINE WORLD WIDE	CUSIP: 978097103 47 000	07/18/03	09/20/13	\$2,623.02	\$604.34	\$0.00	\$2,018.68	\$0.00
Total Long Term Noncovered Securities				\$100,960.36	\$43,077.58	\$0.00	\$57,882.78	\$0.00
Total Long Term Covered and Noncovered Securities				\$184,790.71	\$107,527.77	\$0.00	\$77,262.94	\$0.00

Corporate Tax Statement
Tax Year 2013

VERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 - BRANDES (FS)
PO BOX 2183
EASTON MD 21601-8942

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

Identification Number 26-4310632

Taxpayer ID Number 52-1709184
Account Number 921 906670 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BP PLC ADS	0 173	09/20/13	09/20/13	\$7 36	\$7 14	\$0 00	\$0 22	\$0 00
CUSIP: 055622104 Symbol (Box 1d): BP								
HEWLETT PACKARD	30 000	06/27/12	05/31/13	\$747 48	\$585 45	\$0 00	\$162 03	\$0 00
	30 000	08/01/12	05/31/13	\$747 49	\$539 40	\$0 00	\$208 09	\$0 00
	55 000	08/01/12	07/01/13	\$1,369 38	\$988 90	\$0 00	\$380 48	\$0 00
	35 000	09/06/12	07/01/13	\$871 42	\$605 05	\$0 00	\$266 37	\$0 00
	40 000	10/02/12	07/01/13	\$995 91	\$679 86	\$0 00	\$316 05	\$0 00
	130 000	10/08/12	07/01/13	\$3,236 71	\$1,887 34	\$0 00	\$1,349 37	\$0 00
	40 000	11/14/12	07/01/13	\$995 91	\$528 40	\$0 00	\$467 51	\$0 00
Security Subtotal	360 000			\$8,964.30	\$5,814.40	\$0.00	\$3,149.90	\$0.00
CUSIP: 984121103 Symbol (Box 1d): XRX								
XEROX CORP	130 000	05/03/12	02/12/13	\$1,035 47	\$1,007 50	\$0 00	\$27 97	\$0 00
Total Short Term Covered Securities				\$10,007.13	\$6,829.04	\$0.00	\$3,178.09	\$0.00

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

VERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 - BRANDES (FS)
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EASTON MD 21601-8942

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Account Number 921 906670 084

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BANCO SANTANDER BRASIL SA ADS	0.000	08/19/13	08/19/13	\$0.35	\$0.00	\$0.00	\$0.35	\$0.00
Total Short Term Noncovered Securities				\$0.35	\$0.00	\$0.00	\$0.35	\$0.00
Total Short Term Covered and Noncovered Securities				\$10,007.48	\$6,829.04	\$0.00	\$3,178.44	\$0.00

Corporate Tax Statement Tax Year 2013

VERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 - BRANDES (FS)
PO BOX 2183
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
BOSTON SCIENTIFIC CORP								
	180,000	10/25/11	05/29/13	\$1,640.12	\$987.86	\$0.00	\$652.26	\$0.00
CARRIFOUR SA SPONSORED ADR								
	0.415	01/20/11	06/14/13	\$2.38	\$3.43	\$0.00	(\$1.05)	\$0.00
	268,000	01/20/11	09/27/13	\$1,848.04	\$2,214.18	\$0.00	(\$366.14)	\$0.00
	1,035,000	01/20/11	10/15/13	\$7,482.19	\$8,551.01	\$0.00	(\$1,068.82)	\$0.00
Security Subtotal	1,303,415			\$9,332.61	\$10,768.62	\$0.00	(\$1,436.01)	\$0.00
CENTRAIS ELEC BRAS SP ADR CM								
	240,000	04/27/12	08/30/13	\$503.99	\$2,010.05	\$0.00	(\$1,506.06)	\$0.00
	85,000	08/29/12	08/30/13	\$178.50	\$623.61	\$0.00	(\$445.11)	\$0.00
Security Subtotal	325,000			\$682.49	\$2,633.66	\$0.00	(\$1,951.17)	\$0.00
FUJIFILM HLDGS CORP ADR								
	45,000	04/05/11	05/22/13	\$1,089.69	\$1,340.51	\$0.00	(\$250.82)	\$0.00
	15,000	04/07/11	05/22/13	\$363.23	\$444.33	\$0.00	(\$81.10)	\$0.00
Security Subtotal	60,000			\$1,452.92	\$1,784.84	\$0.00	(\$331.92)	\$0.00
HEWLETT PACKARD								
	20,000	10/03/11	03/11/13	\$417.61	\$456.16	\$0.00	(\$38.55)	\$0.00
	270,000	10/17/11	03/11/13	\$5,637.80	\$6,726.29	\$0.00	(\$1,088.49)	\$0.00
	150,000	10/17/11	03/22/13	\$3,382.08	\$3,736.83	\$0.00	(\$354.75)	\$0.00
	100,000	10/17/11	05/28/13	\$2,451.64	\$2,491.22	\$0.00	(\$39.58)	\$0.00
	40,000	03/16/12	05/31/13	\$996.64	\$973.94	\$0.00	\$22.70	\$0.00
	20,000	03/22/12	05/31/13	\$498.32	\$461.40	\$0.00	\$36.92	\$0.00
Security Subtotal	600,000			\$13,384.09	\$14,845.84	\$0.00	(\$1,461.75)	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.) (Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
NEWS CORP LTD CL A DEL		CUSIP: 65248E104	Symbol (Box 1d):					
	140 000	10/20/11	05/03/13	\$4,486.89	\$2,340.24	\$0.00	\$2,146.65	\$0.00
	150,000	10/20/11	05/14/13	\$5,028.54	\$2,507.40	\$0.00	\$2,521.14	\$0.00
Security Subtotal	290,000			\$9,515.43	\$4,847.64	\$0.00	\$4,667.79	\$0.00
PEPSICO INC NC		CUSIP: 713448108	Symbol (Box 1d): PEP					
	5 000	09/12/11	06/07/13	\$410.37	\$299.29	\$0.00	\$111.08	\$0.00
	40 000	09/16/11	06/07/13	\$3,282.98	\$2,479.34	\$0.00	\$803.64	\$0.00
	10 000	09/21/11	06/07/13	\$820.75	\$609.98	\$0.00	\$210.77	\$0.00
Security Subtotal	55,000			\$4,514.10	\$3,388.61	\$0.00	\$1,125.49	\$0.00
SAFEWAY INC COM NEW		CUSIP: 786514208	Symbol (Box 1d): SWY					
	100 000	04/03/12	09/16/13	\$2,828.84	\$1,982.18	\$0.00	\$846.66	\$0.00
	110,000	07/26/12	09/16/13	\$3,111.72	\$1,657.62	\$0.00	\$1,454.10	\$0.00
Security Subtotal	210,000			\$5,940.56	\$3,639.80	\$0.00	\$2,300.76	\$0.00
SONY CORP ADR 1974 NEW		CUSIP: 835699307	Symbol (Box 1d): SNE					
	30 000	04/14/11	01/04/13	\$329.65	\$895.32	\$0.00	(\$565.67)	\$0.00
	20 000	06/03/11	01/04/13	\$219.77	\$529.53	\$0.00	(\$309.76)	\$0.00
	40,000	06/06/11	01/04/13	\$439.53	\$1,027.36	\$0.00	(\$587.83)	\$0.00
Security Subtotal	90,000			\$988.95	\$2,452.21	\$0.00	(\$1,463.26)	\$0.00
TWENTY-FIRST CENTURY FOX CL A		CUSIP: 90130A101	Symbol (Box 1d): FOXA					
	165 000	10/20/11	08/26/13	\$5,357.16	\$2,442.33	\$0.00	\$2,914.83	\$0.00
	60 000	11/02/11	08/26/13	\$1,948.06	\$900.02	\$0.00	\$1,048.04	\$0.00
	90,000	11/09/11	08/26/13	\$2,922.08	\$1,313.31	\$0.00	\$1,608.77	\$0.00
Security Subtotal	315,000			\$10,227.30	\$4,655.66	\$0.00	\$5,571.64	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

VERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 - BRANDES (FS)
PO BOX 2183
EASTON MD 21601-8942

Taxpayer ID Number 52-1709184
Account Number 921 906670 084

Customer Service: 866-324-6088

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
UNILEVER NV NY SH NEW	45,000	02/02/11	05/06/13	\$1,921.42	\$1,367.33	\$0.00	\$554.09	\$0.00
XEROX CORP	400,000	06/03/11	02/12/13	\$3,186.05	\$4,006.00	\$0.00	(\$819.95)	\$0.00
	100,000	06/08/11	02/12/13	\$796.51	\$948.47	\$0.00	(\$151.96)	\$0.00
Security Subtotal	500,000			\$3,982.56	\$4,954.47	\$0.00	(\$971.91)	\$0.00
Total Long Term Covered Securities				\$63,582.55	\$56,326.54	\$0.00	\$7,256.01	\$0.00

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

VERNON NILY

ST JOHN FOUNDATION, INC

U/A/D 05/09/01 - BRANDES (FS)

PO BOX 2183

EASTON MD 21601-8942

New York, NY 10004

Identification Number

26-4310632

Taxpayer ID Number

52-1709184

Account Number

921 906670 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
AEGON NV ADR								
		CUSIP: 007924103	Symbol (Box 1d): AEG					
	0.374	08/20/08	06/14/13	\$2.50	\$3.95	\$0.00	(\$1.45)	\$0.00
	0.200	08/20/08	09/13/13	\$1.50	\$2.07	\$0.00	(\$0.57)	\$0.00
	75.000	03/25/08	10/18/13	\$607.44	\$1,000.18	\$0.00	(\$392.74)	\$0.00
Security Subtotal	75.574			\$611.44	\$1,006.20	\$0.00	(\$394.76)	\$0.00
BOSTON SCIENTIFIC CORP								
		CUSIP: 101137107	Symbol (Box 1d): BSX					
	425.000	09/28/06	05/29/13	\$3,872.49	\$6,262.42	\$0.00	(\$2,389.93)	\$0.00
	630.000	03/14/07	05/29/13	\$5,740.39	\$9,562.77	\$0.00	(\$3,822.38)	\$0.00
	105.000	04/04/07	05/29/13	\$956.73	\$1,559.85	\$0.00	(\$603.12)	\$0.00
	70.000	04/10/07	05/29/13	\$637.82	\$1,047.28	\$0.00	(\$409.46)	\$0.00
	210.000	04/27/07	05/29/13	\$1,913.46	\$3,316.53	\$0.00	(\$1,403.07)	\$0.00
	40.000	09/07/07	05/29/13	\$364.47	\$530.77	\$0.00	(\$166.30)	\$0.00
Security Subtotal	1,480.000			\$13,485.36	\$22,279.62	\$0.00	(\$8,794.26)	\$0.00
CARREFOUR SA SPONSORED ADR								
		CUSIP: 144430204	Symbol (Box 1d): CRRFY					
	265.000	12/29/10	02/15/13	\$1,407.98	\$2,171.64	\$0.00	(\$763.66)	\$0.00
	232.000	12/29/10	09/27/13	\$1,599.80	\$1,868.43	\$0.00	(\$268.63)	\$0.00
Security Subtotal	497.000			\$3,007.78	\$4,040.07	\$0.00	(\$1,032.29)	\$0.00
CENTRAIS ELEC BRAS SP ADR CM								
		CUSIP: 15234Q207	Symbol (Box 1d): EBR					
	570.000		08/30/13	\$1,196.97				\$0.00
CHESAPEAKE ENERGY CORP								
		CUSIP: 165167107	Symbol (Box 1d): CHK					
	10.000	04/19/10	09/11/13	\$266.12	\$237.77	\$0.00	\$28.35	\$0.00
	220.000	04/27/10	09/11/13	\$5,854.53	\$5,224.98	\$0.00	\$629.55	\$0.00

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Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor

VERNON NILY
ST JOHN FOUNDATION, INC
U/AD 05/09/01 - BRANDES (FS)
PO BOX 2183
EASTON MD 21601-8942

New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 52-1709184
Account Number 921 906670 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CHESAPEAKE ENERGY CORP	20 000	05/06/10	09/11/13	\$532 23	\$460 00	\$0 00	\$72 23	\$0 00
	40 000	05/12/10	09/11/13	\$1 064 46	\$949 65	\$0 00	\$114 81	\$0 00
Security Subtotal	290 000			\$7 717 34	\$6 872 40	\$0 00	\$844 94	\$0 00
FUJIFILM HLDGS CORP ADR								
	10 000	08/18/05	05/22/13	\$242 15	\$336 21	\$0 00	(\$94 06)	\$0 00
	120 000	09/26/05	05/22/13	\$2 905 85	\$3 963 90	\$0 00	(\$1 058 05)	\$0 00
	15 000	10/19/05	05/22/13	\$363 23	\$492 95	\$0 00	(\$129 72)	\$0 00
	15 000	11/02/05	05/22/13	\$363 23	\$478 83	\$0 00	(\$115 60)	\$0 00
	45 000	02/24/06	05/22/13	\$1 089 69	\$1 451 33	\$0 00	(\$361 64)	\$0 00
	15 000	03/03/06	05/22/13	\$363 23	\$474 71	\$0 00	(\$111 48)	\$0 00
	15 000	03/24/06	05/22/13	\$363 23	\$487 94	\$0 00	(\$124 71)	\$0 00
	150 000	08/21/08	05/22/13	\$3 632 31	\$4 622 43	\$0 00	(\$990 12)	\$0 00
	15 000	09/12/08	05/22/13	\$363 23	\$432 24	\$0 00	(\$69 01)	\$0 00
	40 000	11/13/09	05/22/13	\$968 62	\$1 115 82	\$0 00	(\$147 20)	\$0 00
	20 000	11/19/09	05/22/13	\$484 31	\$557 65	\$0 00	(\$73 34)	\$0 00
Security Subtotal	460 000			\$11 139 08	\$14 414 01	\$0 00	(\$3 274 93)	\$0 00
KROGER CO								
	125 000	06/21/10	07/16/13	\$4 732 06	\$2 489 45	\$0 00	\$2 242 61	\$0 00
	25 000	12/03/10	07/16/13	\$946 41	\$529 50	\$0 00	\$416 91	\$0 00
Security Subtotal	150 000			\$5 678 47	\$3 018 95	\$0 00	\$2 659 52	\$0 00
MARKS & SPENCER GRP PLC ADR								
	225 000	07/14/03	09/06/13	\$3 494 68	\$2 493 93	\$0 00	\$1 000 75	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 52-1709184
Account Number 921 906670 084

Customer Service: 866-324-6088

VERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 - BRANDES (FS)
PO BOX 2183
EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
MASCO CORP		CUSIP: 574599106	Symbol (Box 1d): MAS					
	25 000	02/26/08	03/14/13	\$508 43	\$487 48	\$0 00	\$20 95	\$0 00
	335 000	05/14/08	03/14/13	\$6,813.01	\$6,224 20	\$0 00	\$588 81	\$0 00
Security Subtotal	360 000			\$7,321.44	\$6,711.68	\$0 00	\$609.76	\$0 00
NEWS CORPORATION CL A		CUSIP: 65249B109	Symbol (Box 1d): NWSA					
	0 750	10/20/11	06/28/13	\$11 76	\$5 72	\$0 00	\$6 04	\$0 00
	41 000	10/20/11	08/15/13	\$660 90	\$310 09	\$0 00	\$350 81	\$0 00
	15 000	11/02/11	08/15/13	\$241 79	\$116 38	\$0 00	\$125 41	\$0 00
	22 000	11/09/11	08/15/13	\$354 64	\$169 82	\$0 00	\$184 82	\$0 00
Security Subtotal	78 750			\$1,269.09	\$602.01	\$0 00	\$667.08	\$0 00
ORANGE NEW		CUSIP: 684060106	Symbol (Box 1d): ORAN					
	8 000	06/01/09	10/16/13	\$111 16	\$193 68	\$0 00	(\$82 52)	\$0 00
	20 000	11/27/09	10/16/13	\$277 90	\$522 00	\$0 00	(\$244 10)	\$0 00
	20 000	11/27/09	10/16/13	\$277 90	\$522 00	\$0 00	(\$244 10)	\$0 00
	20 000	01/25/10	10/16/13	\$277 90	\$480 65	\$0 00	(\$202 75)	\$0 00
	20 000	01/25/10	10/16/13	\$277 90	\$480 65	\$0 00	(\$202 75)	\$0 00
	50 000	05/13/10	10/16/13	\$694 75	\$1,008 67	\$0 00	(\$313 92)	\$0 00
	50 000	05/13/10	10/16/13	\$694 75	\$1,008 67	\$0 00	(\$313 92)	\$0 00
	17 000	06/16/10	10/16/13	\$236.21	\$325.70	\$0 00	(\$89.49)	\$0 00
Security Subtotal	205 000			\$2,848.47	\$4,542.02	\$0 00	(\$1,693.55)	\$0 00
PORTUGAL TELECOM SGPS SA		CUSIP: 737273102	Symbol (Box 1d): PT					
	470 000	02/18/04	01/30/13	\$2,729 09	\$5,538 52	\$0 00	(\$2,809 43)	\$0 00
	45 000	02/23/04	01/30/13	\$261 30	\$511 02	\$0 00	(\$249 72)	\$0 00
	50 000	06/10/05	01/30/13	\$290 33	\$487 53	\$0 00	(\$197 20)	\$0 00

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Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorVERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 - BRANDES (FS)
PO BOX 2183
EASTON MD 21601-8942New York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 52-1709184
Account Number 921 906670 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
PORTUGAL TELECOM SGPS SA	110 000	08/26/05	01/30/13	\$638 72	\$1,037 12	\$0 00	(\$398 40)	\$0 00
	110 000	09/28/05	01/30/13	\$638 72	\$988 12	\$0 00	(\$349 40)	\$0 00
	80,000	05/21/08	01/30/13	\$464.52	\$982.57	\$0.00	(\$518.05)	\$0.00
Security Subtotal	865,000			\$5,022.68	\$9,544.88	\$0.00	(\$4,522.20)	\$0.00
CUSIP: 786514208 Symbol (Box 1d): SWY								
SAFEWAY INC COM NEW	290 000	03/09/05	04/23/13	\$8,020 64	\$5,359 52	\$0 00	\$2,661 12	\$0 00
	120 000	03/09/05	07/26/13	\$3,115 79	\$2,217 73	\$0 00	\$898 06	\$0 00
	115 000	03/09/05	09/16/13	\$3,253 17	\$2,125 33	\$0 00	\$1,127 84	\$0 00
	80,000	02/17/06	09/16/13	\$2,263.07	\$1,865.54	\$0.00	\$397.53	\$0.00
Security Subtotal	605,000			\$16,652.67	\$11,568.12	\$0.00	\$5,084.55	\$0.00
CUSIP: 80105N105 Symbol (Box 1d): SNY								
SANOFI ADR	45 000	04/04/07	05/10/13	\$2,475 49	\$1,966 53	\$0 00	\$508 96	\$0 00
	10,000	10/03/07	05/10/13	\$550.11	\$425.35	\$0.00	\$124.76	\$0.00
Security Subtotal	55,000			\$3,025.60	\$2,391.88	\$0.00	\$633.72	\$0.00
CUSIP: 835699307 Symbol (Box 1d): SNE								
SONY CORP ADR 1974 NEW	170 000	11/02/09	01/04/13	\$1,868 02	\$4,939 08	\$0 00	(\$3,071 06)	\$0 00
	15 000	11/06/09	01/04/13	\$164 82	\$431 94	\$0 00	(\$267 12)	\$0 00
	15 000	11/17/09	01/04/13	\$164 82	\$427 17	\$0 00	(\$262 35)	\$0 00
	20 000	11/19/09	01/04/13	\$219 77	\$557 48	\$0 00	(\$337 71)	\$0 00
	25,000	06/17/10	01/04/13	\$274.71	\$705.11	\$0.00	(\$430.40)	\$0.00
Security Subtotal	245,000			\$2,692.14	\$7,060.78	\$0.00	(\$4,368.64)	\$0.00
CUSIP: 861012102 Symbol (Box 1d): STM								
STMICROELECTRONICS NV	35 000	03/01/05	01/08/13	\$265 18	\$631 67	\$0 00	(\$366 49)	\$0 00
	700 000	04/18/05	01/08/13	\$5,303 50	\$10,666 81	\$0 00	(\$5,363 31)	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

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Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Identification Number 26-4310632Taxpayer ID Number 52-1709184
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
STMICROELECTRONICS NV	70.000	05/09/05	01/08/13	\$530.35	\$1,006.38	\$0.00	(\$476.03)	\$0.00
	35.000	05/11/05	01/08/13	\$265.18	\$495.65	\$0.00	(\$230.47)	\$0.00
	90.000	08/23/06	01/08/13	\$681.87	\$1,451.74	\$0.00	(\$769.87)	\$0.00
Security Subtotal	930.000			\$7,046.08	\$14,252.25	\$0.00	(\$7,206.17)	\$0.00
SUMITOMO MITSUI FINL GROUP INC	1,215.000	09/20/07	09/09/13	\$11,370.38	\$17,120.81	\$0.00	(\$5,750.43)	\$0.00
TE CONNECTIVITY LTD NEW	130.000	10/23/08	08/02/13	\$6,696.58	\$2,493.20	\$0.00	\$4,203.38	\$0.00
	100.000	10/23/08	09/13/13	\$5,324.95	\$1,917.85	\$0.00	\$3,407.10	\$0.00
Security Subtotal	230.000			\$12,021.53	\$4,411.05	\$0.00	\$7,610.48	\$0.00
TELECOM ITALIA SPA ADS (NEW)	113.000	01/06/03	10/18/13	\$1,134.60	\$2,698.31	\$0.00	(\$1,563.71)	\$0.00
	225.000	09/06/06	10/18/13	\$2,259.16	\$6,427.82	\$0.00	(\$4,168.66)	\$0.00
	7.000	09/28/06	10/18/13	\$70.28	\$197.07	\$0.00	(\$126.79)	\$0.00
Security Subtotal	345.000			\$3,464.04	\$9,323.20	\$0.00	(\$5,859.16)	\$0.00
TOYOTA MOTOR CP ADR NEW	10.000	09/29/09	09/20/13	\$1,298.86	\$799.23	\$0.00	\$499.63	\$0.00
	10.000	10/22/09	09/20/13	\$1,298.86	\$782.35	\$0.00	\$516.51	\$0.00
Security Subtotal	20.000			\$2,597.72	\$1,581.58	\$0.00	\$1,016.14	\$0.00
WESTERN DIGITAL CORPORATION	70.000	09/10/10	05/31/13	\$4,455.78	\$1,785.96	\$0.00	\$2,669.82	\$0.00

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Corporate Tax Statement Tax Year 2013

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1 New York Plaza
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VERNON NILY
ST JOHN FOUNDATION, INC
U/A/D 05/09/01 - BRANDES (FS)
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EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
XEROX CORP CUSIP: 984121103 Symbol (Box 1d): XRXX								
	325.000	09/28/05	02/12/13	\$2,588.66	\$4,434.59	\$0.00	(\$1,845.93)	\$0.00
	85.000	05/13/09	02/12/13	\$677.03	\$509.76	\$0.00	\$167.27	\$0.00
	195.000	10/01/09	02/12/13	\$1,553.20	\$1,462.50	\$0.00	\$90.70	\$0.00
Security Subtotal	605.000			\$4,818.89	\$6,406.85	\$0.00	(\$1,587.96)	\$0.00
Total Long Term Noncovered Securities				\$130,937.63	152,625.22	\$0.00	(\$21,687.59)	\$0.00
Total Long Term Covered and Noncovered Securities				\$194,520.18	\$207,754.79	\$0.00	(\$14,431.58)	\$0.00

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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New York, NY 10004

VERNON NILY
ST JOHN FOUNDATION, INC U/A/D
05/09/01 PACIFIC INCOME ADV (FS)
PO BOX 2183
EASTON MD 21601-8942

Identification Number 26-4310632

Taxpayer ID Number 52-1709184
Account Number 921 906668 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part I with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
✓ US TSY NOTE 0375 15MH15		CUSIP: 912828SK7	Symbol (Box 1d):					
	37,000 000	08/06/13	09/24/13	\$37,080 81	\$37,071 69	\$0 00	\$9 12	\$0 00
✓ US TSY NOTE 1750 14JA31		CUSIP: 912828JZ4	Symbol (Box 1d):					
	13,000 000	03/06/13	08/06/13	\$13,104 05	\$13,185 39	\$0 00	(\$81 34)	\$0 00
✓ US TSY NOTE 1750 22MY15		CUSIP: 912828SV3	Symbol (Box 1d):					
	12,000 000	06/27/12	03/06/13	\$11,957 76	\$12,127 60	\$0 00	(\$169 84)	\$0 00
Total Short Term Noncovered Securities				\$62,142.62	\$62,384.68	\$0.00	(\$242.06)	\$0.00

Corporate Tax Statement Tax Year 2013

VERNON NILY
ST JOHN FOUNDATION, INC U/A/D
05/09/01 PACIFIC INCOME ADV (FS)
PO BOX 2183
EASTON MD 21601-8942

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 52-1709184
Account Number 921 906668 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CONOCOPHILLIPS 4600 15JA15	36,000 000	06/03/09	03/06/13	\$38,660 76	\$36,371 19	\$0 00	\$2,289 57	\$0 00
JP MORGAN CHASE 4750 15MH01	35,000 000	11/03/09	07/17/13	\$37,055 55	\$35,599 65	\$0 00	\$1,455 90	\$0 00
PIA MBS BOND-COMPLETION SH	3,438 843	10/13/11	05/23/13	\$33,769 44	\$34,319 65	\$0 00	(\$550 21)	\$0 00
US TSY NOTE 1750 14JA31	49,000 000	11/15/11	08/06/13	\$49,392 20	\$49,345 50	\$0 00	\$46 70	\$0 00

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Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004

VERNON NILY
ST. JOHN FOUNDATION, INC. U/A/D
05/09/01 PACIFIC INCOME ADV (FS)
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
US TSY NOTE 3625 20FB15	31,000 000	05/20/10	08/06/13	\$34,395.34	\$31,666.21	\$0.00	\$2,729.13	\$0.00
Total Long Term Noncovered Securities				\$193,273.29	\$187,302.20	\$0.00	\$5,971.09	\$0.00
Total Long Term Covered and Noncovered Securities				\$196,053.89	\$190,133.77	\$0.00	\$5,920.12	\$0.00

Corporate Tax Statement
Tax Year 2013

VERNON NILY
ST JOHN FOUNDATION, INC U/A/D
05/09/01 PACIFIC INCOME ADV (FS)
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

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Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
P/A MBS BOND-COMPLETION SH	283 157	02/07/12	05/23/13	\$2,780 60	\$2,831 57	\$0 00	(\$50 97)	\$0 00
CUSIP: 007989494 Symbol (Box 1d): PMTGX				\$2,780.60	\$2,831.57	\$0.00	(\$50.97)	\$0.00
Total Long Term Covered Securities								

Corporate Tax Statement
Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC

1 New York Plaza
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New York, NY 10004

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALLERGAN INC		CUSIP: 018490102		Symbol (Box 1d): AGN					
	94.000	10/08/12	01/31/13		\$9,893.22	\$8,761.19	\$0.00	\$1,132.03	\$0.00
	44.000	11/14/12	01/31/13		\$4,630.87	\$3,943.50	\$0.00	\$687.37	\$0.00
Security Subtotal	138.000				\$14,524.09	\$12,704.69	\$0.00	\$1,819.40	\$0.00
AMAZON COM INC		CUSIP: 023135106		Symbol (Box 1d): AMZN					
	54.000	03/14/12	01/31/13		\$14,340.05	\$9,927.04	\$0.00	\$4,413.01	\$0.00
AMERICAN EXPRESS CO		CUSIP: 025816109		Symbol (Box 1d): AXP					
	125.000	01/31/13	05/24/13		\$9,304.67	\$7,376.21	\$0.00	\$1,928.46	\$0.00
	67.000	01/31/13	09/19/13		\$5,195.08	\$3,953.65	\$0.00	\$1,241.43	\$0.00
Security Subtotal	192.000				\$14,499.75	\$11,329.86	\$0.00	\$3,169.89	\$0.00
ASML HOLDING NV NY REG NEW		CUSIP: N07059210		Symbol (Box 1d): ASML					
	95.000	11/05/12	01/31/13		\$7,137.32	\$5,471.48	\$0.00	\$1,665.84	\$0.00
ATHENAHEALTH INC COM		CUSIP: 04685W103		Symbol (Box 1d): ATHN					
	99.000	03/20/12	01/31/13		\$8,565.07	\$7,368.22	\$0.00	\$1,196.85	\$0.00
BERKSHIRE HATHAWAY CL-B NEW		CUSIP: 084670702		Symbol (Box 1d): BRK'B					
	155.000	01/31/13	02/25/13		\$15,510.66	\$14,988.76	\$0.00	\$521.90	\$0.00
	143.000	01/31/13	09/19/13		\$16,752.74	\$13,828.34	\$0.00	\$2,924.40	\$0.00
Security Subtotal	298.000				\$32,263.40	\$28,817.10	\$0.00	\$3,446.30	\$0.00
BIOMARIN PHARMAC SE		CUSIP: 09061G101		Symbol (Box 1d): BMRN					
	240.000	11/05/12	01/31/13		\$13,166.63	\$11,760.19	\$0.00	\$1,406.44	\$0.00
	31.000	11/16/12	01/31/13		\$1,700.69	\$1,494.50	\$0.00	\$206.19	\$0.00
Security Subtotal	271.000				\$14,867.32	\$13,254.69	\$0.00	\$1,612.63	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 52-1709184
Account Number 921 906669 084
Customer Service: 866-324-6088

VERNON NILY
ST JOHN FOUNDATION, INC U/A/D
05/09/01 SANDS CAPITAL MANAGEMENT
PO BOX 2183
EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
CERNER CORP								
		CUSIP: 156782104	Symbol (Box 1d): CERN					
	151 000	03/16/12	01/31/13	\$12,482.47	\$11,724.97	\$0.00	\$757.50	\$0.00
	60 000	07/11/12	01/31/13	\$4,959.92	\$4,807.22	\$0.00	\$152.70	\$0.00
	91 000	10/08/12	01/31/13	\$7,522.54	\$7,112.46	\$0.00	\$410.08	\$0.00
Security Subtotal	302 000			\$24,964.93	\$23,644.65	\$0.00	\$1,320.28	\$0.00
CHARLES SCHWAB NEW								
		CUSIP: 808513105	Symbol (Box 1d): SCHW					
	670 000	01/31/13	07/16/13	\$13,965.77	\$11,110.54	\$0.00	\$2,855.23	\$0.00
	263 000	01/31/13	09/19/13	\$5,536.05	\$4,361.30	\$0.00	\$1,174.75	\$0.00
Security Subtotal	933 000			\$19,501.82	\$15,471.84	\$0.00	\$4,029.98	\$0.00
CHIPOTLE MEXICAN GRILL INC COM								
		CUSIP: 169656105	Symbol (Box 1d): CMG					
	45 000	11/14/12	01/31/13	\$13,742.57	\$11,659.30	\$0.00	\$2,083.27	\$0.00
	19 000	11/21/12	01/31/13	\$5,802.42	\$5,217.69	\$0.00	\$584.73	\$0.00
	16 000	12/31/12	01/31/13	\$4,886.25	\$4,736.56	\$0.00	\$149.69	\$0.00
Security Subtotal	80 000			\$24,431.24	\$21,613.55	\$0.00	\$2,817.69	\$0.00
COGNIZANT TECH SOLUTIONS CL A								
		CUSIP: 192446102	Symbol (Box 1d): CTSH					
	245 000	01/31/13	09/04/13	\$18,551.85	\$19,213.27	\$0.00	(\$661.42)	\$0.00
	194 000	01/31/13	09/19/13	\$16,033.87	\$15,213.77	\$0.00	\$820.10	\$0.00
	10 000	04/25/13	09/19/13	\$826.49	\$632.04	\$0.00	\$194.45	\$0.00
Security Subtotal	449 000			\$35,412.21	\$35,059.08	\$0.00	\$353.13	\$0.00
CUMMINS INC								
		CUSIP: 231021106	Symbol (Box 1d): CMI					
	87 000	01/31/13	09/19/13	\$11,761.33	\$10,010.05	\$0.00	\$1,751.28	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number

26-4310632

VERNON NILY
ST JOHN FOUNDATION, INC U/A/D
05/09/01 SANDS CAPITAL MANAGEMENT
PO BOX 2183
EASTON MD 21601-8942Taxpayer ID Number 52-1709184
Account Number 921 906669 084

Customer Service: 866-324-6088

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
EMC CORP MASS	662 000	01/31/13	09/19/13	\$17,833 96	\$16,333 59	\$0 00	\$1,500 37	\$0 00
CUSIP: 268648102 Symbol (Box 1d): EMC								
EXPEDITORS INTL WASH INC	125 000	01/31/13	05/10/13	\$4,825 49	\$5,376 38	\$0 00	(\$550 89)	\$0 00
	98 000	01/31/13	05/13/13	\$3,755 27	\$4,215 08	\$0 00	(\$459 81)	\$0 00
	214 000	01/31/13	05/14/13	\$8,259 14	\$9,204 35	\$0 00	(\$945 21)	\$0 00
	185 000	01/31/13	05/15/13	\$7,144 86	\$7,957 04	\$0 00	(\$812 18)	\$0 00
	178 000	01/31/13	05/16/13	\$6,825 11	\$7,655 96	\$0 00	(\$830 85)	\$0 00
Security Subtotal	800 000			\$30,809.87	\$34,408.81	\$0 00	(\$3,598.94)	\$0 00
CUSIP: 30219G108 Symbol (Box 1d): ESRX								
EXPRESS SCRIPTS HLDG CO COM	225 000	01/31/13	09/19/13	\$13,923 00	\$12,037 48	\$0 00	\$1,885 52	\$0 00
CUSIP: 30303M102 Symbol (Box 1d): FB								
FACEBOOK INC CL-A	33 000	05/22/12	01/31/13	\$1,035 46	\$1,071 27	\$0 00	(\$35 81)	\$0 00
	348 000	05/22/12	01/31/13	\$10,919 44	\$11,220 15	\$0 00	(\$300 71)	\$0 00
	195 000	08/01/12	01/31/13	\$6,118 65	\$4,188 41	\$0 00	\$1,930 24	\$0 00
	268 000	12/12/12	01/31/13	\$8,409 22	\$7,410 20	\$0 00	\$999 02	\$0 00
Security Subtotal	844 000			\$26,482.77	\$23,890.03	\$0 00	\$2,592.74	\$0 00
CUSIP: 315616102 Symbol (Box 1d): FFIV								
F5 NETWORKS INC	60 000	11/14/12	01/31/13	\$6,276 68	\$5,353 19	\$0 00	\$923 49	\$0 00
CUSIP: 375558103 Symbol (Box 1d): GILD								
GILEAD SCIENCE	299 000	01/31/13	09/19/13	\$19,235 32	\$11,807 60	\$0 00	\$7,427 72	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza

8th Floor

New York, NY 10004

Identification Number 26-4310632

VERNON NILY
ST JOHN FOUNDATION, INC U/A/D

05/09/01 SANDS CAPITAL MANAGEMENT

PO BOX 2183

EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SYMBOL (Box 1d)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
LAS VEGAS SANDS CORPORATION	CUSIP: 517834107	Symbol (Box 1d): LVS							
	94 000	08/01/12	01/31/13		\$5,168 16	\$3,471 62	\$0 00	\$1,696 54	\$0 00
M&T BANK CORP	CUSIP: 55261F104	Symbol (Box 1d): MTB							
	71 000	07/11/13	09/19/13		\$8,002 28	\$8,285 18	\$282 90	(\$282 90)	\$0 00
MONSTER BEVERAGE CORP COM	CUSIP: 611740101	Symbol (Box 1d): MNST							
	260 000	01/31/13	06/14/13		\$15,578 27	\$12,436 01	\$0 00	\$3,142 26	\$0 00
	41 000	01/31/13	09/19/13		\$2,307 02	\$1,961 06	\$0 00	\$345 96	\$0 00
Security Subtotal	301 000				\$17,885.29	\$14,397.07	\$0 00	\$3,488.22	\$0 00
PERRIGO CO	CUSIP: 714290103	Symbol (Box 1d):							
	73 000	01/31/13	09/19/13		\$9,147 47	\$7,347 85	\$0 00	\$1,799 62	\$0 00
PRAXAIR INC	CUSIP: 74005P104	Symbol (Box 1d): PX							
	40 000	04/04/12	01/31/13		\$4,411 53	\$4,546 27	\$0 00	(\$134 74)	\$0 00
	21 000	04/05/12	01/31/13		\$2,316 05	\$2,392 57	\$0 00	(\$76 52)	\$0 00
Security Subtotal	61 000				\$6,727.58	\$6,938.84	\$0 00	(\$211.26)	\$0 00
REGENERON PHARM	CUSIP: 75886F107	Symbol (Box 1d): REGN							
	6 000	02/02/12	01/31/13		\$1,044 77	\$570 48	\$0 00	\$474 29	\$0 00
STERICYCLE INC	CUSIP: 858912108	Symbol (Box 1d): SRCL							
	120 000	01/31/13	09/19/13		\$14,047 55	\$11,363 42	\$0 00	\$2,684 13	\$0 00
VARIAN MEDICAL SYS INC	CUSIP: 92220P105	Symbol (Box 1d): VAR							
	76 000	01/31/13	09/19/13		\$5,734 86	\$5,378 70	\$0 00	\$356 16	\$0 00

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Corporate Tax Statement Tax Year 2013

VERNON NILY
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) **OMB NO. 1545-0715**

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE OF SALE (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VERISK ANALYTICS CL A	147.000	01/31/13	09/19/13	\$9,758.16	\$8,096.50	\$0.00	\$1,661.66	\$0.00
Total Short Term Covered Securities				\$414,346.25	\$364,352.61	\$282.90	\$49,993.64	\$0.00

50,246.54

Corporate Tax Statement Tax Year 2013

VERNON NILY
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Short Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box B checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ASML HOLDING NV NY REG NEW	0.000		03/25/13	\$1.05	\$0.00	\$0.00	1.05	\$0.00
Total Short Term Noncovered Securities				\$1.05	\$0.00	\$0.00	\$0.05	\$0.00
Total Short Term Covered and Noncovered Securities				\$414,347.30	\$364,352.61	\$282.90	\$49,993.64	\$0.00

✓
58,277.59

Corporate Tax Statement
Tax Year 2013

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OMB NO. 1545-0715

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ASML HOLDING NV NY REG NEW	58 000	09/20/11	01/31/13	\$4,357.52	\$2,219.19	\$0.00	\$2,138.33	\$0.00
COACH INC	135 000	10/17/11	09/19/13	\$7,473.46	\$8,230.84	\$0.00	(\$757.38)	\$0.00
F5 NETWORKS INC	61 000	02/16/11	01/31/13	\$6,381.29	\$7,709.18	\$0.00	(\$1,327.89)	\$0.00
	56,000	04/04/11	01/31/13	\$5,858.23	\$5,269.84	\$0.00	\$588.39	\$0.00
Security Subtotal	117,000			\$12,239.52	\$12,979.02	\$0.00	(\$739.50)	\$0.00
LAS VEGAS SANDS CORPORATION	40 000	11/10/11	01/31/13	\$2,199.22	\$1,785.69	\$0.00	\$413.53	\$0.00
PRAXAIR INC	38 000	09/20/11	01/31/13	\$4,190.96	\$3,817.21	\$0.00	\$373.75	\$0.00
PRICELINE COM INC NEW	5 000	03/04/11	01/31/13	\$3,418.62	\$2,325.55	\$0.00	\$1,093.07	\$0.00
	13 000	03/04/11	09/19/13	\$13,002.63	\$6,046.42	\$0.00	\$6,956.21	\$0.00
	1 000	05/17/11	09/19/13	\$1,000.20	\$503.05	\$0.00	\$497.15	\$0.00
	3,000	05/17/11	10/09/13	\$2,978.41	\$1,509.14	\$0.00	\$1,469.27	\$0.00
Security Subtotal	22,000			\$20,399.86	\$10,384.16	\$0.00	\$10,015.70	\$0.00
REGENERON PHARM	109 000	01/27/12	01/31/13	\$18,980.05	\$9,280.56	\$0.00	\$9,699.49	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

VERNON NILY
ST. JOHN FOUNDATION, INC. U/A/D
05/09/01 SANDS CAPITAL MANAGEMENT
PO BOX 2183
EASTON MD 21601-8942

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632
Taxpayer ID Number 52-1709184
Account Number 921 906669 084
Customer Service: 866-324-6088

This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Covered Securities (Consider Box 6b (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part II with box D checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
SOUTHWESTERN ENERGY COMPANY	88 000	08/19/11	01/31/13	\$3,018.21	\$3,193.25	\$0.00	(\$175.04)	\$0.00
Total Long Term Covered Securities				\$72,858.80	\$51,889.92	\$0.00	\$20,968.88	\$0.00

Corporate Tax Statement Tax Year 2013

VERNON NILY
ST JOHN FOUNDATION, INC U/A/D
05/09/01 SANDS CAPITAL MANAGEMENT
PO BOX 2183
EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ALEXION PHARM INC								
		CUSIP: 015351109	Symbol (Box 1d): ALXN					
	38 000	10/11/10	01/31/13	\$3,571 90	\$1,279 32	\$0 00	\$2,292 58	\$0 00
	98 000	10/12/10	01/31/13	\$9,211 74	\$3,318 24	\$0 00	\$5,893 50	\$0 00
	82 000	10/13/10	01/31/13	\$7,707 78	\$2,798 46	\$0 00	\$4,909 32	\$0 00
	2 000	10/26/10	01/31/13	\$187 99	\$67 78	\$0 00	\$120 21	\$0 00
	75 000	11/12/10	01/31/13	\$7,049 81	\$2,716 04	\$0 00	\$4,333 77	\$0 00
Security Subtotal	295 000			\$27,729 22	\$10,179 84	\$0 00	\$17,549 38	\$0 00
ALLERGAN INC								
		CUSIP: 018490102	Symbol (Box 1d): AGN					
	29 000	04/29/04	01/31/13	\$3,052 16	\$1,331 39	\$0 00	\$1,720 77	\$0 00
	60 000	05/05/04	01/31/13	\$6,314 82	\$2,654 10	\$0 00	\$3,660 72	\$0 00
	30 000	12/03/04	01/31/13	\$3,157 41	\$1,163 15	\$0 00	\$1,994 26	\$0 00
	4 000	12/19/05	01/31/13	\$420 99	\$216 02	\$0 00	\$204 97	\$0 00
	32 000	08/25/06	01/31/13	\$3,367 91	\$1,814 79	\$0 00	\$1,553 12	\$0 00
	88 000	04/11/08	01/31/13	\$9,261 74	\$4,934 67	\$0 00	\$4,327 07	\$0 00
Security Subtotal	243 000			\$25,575 03	\$12,114 12	\$0 00	\$13,460 91	\$0 00
AMAZON COM INC								
		CUSIP: 023135106	Symbol (Box 1d): AMZN					
	40 000	02/26/08	01/31/13	\$10,622 26	\$2,826 29	\$0 00	\$7,795 97	\$0 00
	69 000	03/11/08	01/31/13	\$18,323 39	\$4,521 83	\$0 00	\$13,801 56	\$0 00
	42 000	04/11/08	01/31/13	\$11,153 37	\$3,036 96	\$0 00	\$8,116 41	\$0 00
	49 000	10/06/08	01/31/13	\$13,012 26	\$3,075 03	\$0 00	\$9,937 23	\$0 00
Security Subtotal	200 000			\$53,111 28	\$13,460 11	\$0 00	\$39,651 17	\$0 00
APPLE INC								
		CUSIP: 037833100	Symbol (Box 1d): AAPL					
	28 000	08/25/06	09/19/13	\$13,215 77	\$1,915 23	\$0 00	\$11,300 54	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
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Taxpayer ID Number 52-1709184
Account Number 921 906669 084
Customer Service: 866-324-6088

VERNON NILY
ST JOHN FOUNDATION, INC U/A/D
05/09/01 SANDS CAPITAL MANAGEMENT
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EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY ACQUIRED (Box 1e)	DATE (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
ASML HOLDING NV NY REG NEW								
		CUSIP: N07059210	Symbol (Box 1d): ASML					
	211 000	07/16/10	01/31/13	\$15,852.37	\$6,925.74	\$0.00	\$8,926.63	\$0.00
	70 000	07/29/10	01/31/13	\$5,259.08	\$2,423.42	\$0.00	\$2,835.66	\$0.00
	4 000	12/08/10	01/31/13	\$300.52	\$170.63	\$0.00	\$129.89	\$0.00
Security Subtotal	285.000			\$21,411.97	\$9,519.79	\$0.00	\$11,892.18	\$0.00
FMC TECHNOLOGIES INC								
		CUSIP: 30249U101	Symbol (Box 1d): FTI					
	86 000	07/31/07	01/31/13	\$4,090.96	\$1,907.68	\$0.00	\$2,183.28	\$0.00
	8 000	08/15/07	01/31/13	\$380.55	\$167.24	\$0.00	\$213.31	\$0.00
	61 000	07/15/08	01/31/13	\$2,901.73	\$2,094.75	\$0.00	\$806.98	\$0.00
	254 000	11/06/08	01/31/13	\$12,082.61	\$4,335.25	\$0.00	\$7,747.36	\$0.00
	14 000	04/13/10	01/31/13	\$665.97	\$460.91	\$0.00	\$205.06	\$0.00
Security Subtotal	423.000			\$20,121.82	\$8,965.83	\$0.00	\$11,155.99	\$0.00
F5 NETWORKS INC								
		CUSIP: 315616102	Symbol (Box 1d): FFIV					
	21 000	09/17/10	01/31/13	\$2,196.84	\$2,108.84	\$0.00	\$88.00	\$0.00
	33 000	10/11/10	01/31/13	\$3,452.17	\$3,086.96	\$0.00	\$365.21	\$0.00
Security Subtotal	54.000			\$5,649.01	\$5,195.80	\$0.00	\$453.21	\$0.00
GOOGLE INC-CL A								
		CUSIP: 38259P508	Symbol (Box 1d): GOOG					
	25 000	12/03/04	01/31/13	\$18,880.92	\$4,473.68	\$0.00	\$14,407.24	\$0.00
	6 000	12/03/04	05/20/13	\$5,461.02	\$1,073.68	\$0.00	\$4,387.34	\$0.00
	2 000	12/03/04	09/19/13	\$1,796.39	\$357.89	\$0.00	\$1,438.50	\$0.00
	2 000	12/10/04	09/19/13	\$1,796.39	\$346.15	\$0.00	\$1,450.24	\$0.00
	5 000	01/31/05	09/19/13	\$4,490.96	\$979.50	\$0.00	\$3,511.46	\$0.00
Security Subtotal	40.000			\$32,425.68	\$7,230.90	\$0.00	\$25,194.78	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 52-1709184
Account Number 921 906569 084

Customer Service: 866-324-6088

VERNON NILY
ST JOHN FOUNDATION, INC U/A/D
05/09/01 SANDS CAPITAL MANAGEMENT
PO BOX 2183
EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
INTERCONTINENTALEXCHANGE, INC	CUSIP: 45865V100	Symbol (Box 1d):						
	30 000	07/19/06	01/14/13	\$3,862 00	\$1,736 86	\$0 00	\$2,125 14	\$0 00
	30 000	07/19/06	01/31/13	\$4,169 80	\$1,736 86	\$0 00	\$2,432 94	\$0 00
	27 000	08/11/08	01/31/13	\$3,752 82	\$2,318 06	\$0 00	\$1,434 76	\$0 00
	57 000	10/06/08	01/31/13	\$7,922 61	\$4,272 21	\$0 00	\$3,650 40	\$0 00
Security Subtotal	144 000			\$19,707 23	\$10,063 99	\$0 00	\$9,643 24	\$0 00
INTUITIVE SURGICAL INC	CUSIP: 46120E602	Symbol (Box 1d): ISRG						
	33 000	02/02/09	01/31/13	\$18,970 95	\$3,381 94	\$0 00	\$15,589 01	\$0 00
LAS VEGAS SANDS CORPORATION	CUSIP: 517834107	Symbol (Box 1d): LVS						
	307 000	11/21/08	01/31/13	\$16,879 00	\$942 59	\$0 00	\$15,936 41	\$0 00
NATIONAL OILWELL VARCO INC	CUSIP: 637071101	Symbol (Box 1d): NOV						
	40 000	07/13/07	09/19/13	\$3,193 14	\$2,234 72	\$0 00	\$958 42	\$0 00
	22 000	10/07/08	09/19/13	\$1,756 23	\$760 02	\$0 00	\$996 21	\$0 00
Security Subtotal	62 000			\$4,949 37	\$2,994 74	\$0 00	\$1,954 63	\$0 00
NIKE INC B	CUSIP: 654106103	Symbol (Box 1d): NKE						
	96 000	06/04/09	01/31/13	\$5,197 55	\$2,753 44	\$0 00	\$2,444 11	\$0 00
	216 000	06/05/09	01/31/13	\$11,694 49	\$6,345 41	\$0 00	\$5,349 08	\$0 00
	144 000	09/15/09	01/31/13	\$7,796 33	\$3,928 59	\$0 00	\$3,867 74	\$0 00
	14 000	01/29/10	01/31/13	\$757 98	\$449 23	\$0 00	\$308 75	\$0 00
Security Subtotal	470 000			\$25,446 35	\$13,476 67	\$0 00	\$11,969 68	\$0 00
PRAXAIR INC	CUSIP: 74005P104	Symbol (Box 1d): PX						
	120 000	08/10/10	01/31/13	\$13,234 60	\$10,561 57	\$0 00	\$2,673 03	\$0 00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 19 of 22

Corporate Tax Statement
Tax Year 2013Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th FloorNew York, NY 10004
Identification Number 26-4310632Taxpayer ID Number 52-1709184
Account Number 921 906669 084

Customer Service: 866-324-6088

VERNON NILY
ST JOHN FOUNDATION, INC U/A/D
05/09/01 SANDS CAPITAL MANAGEMENT
PO BOX 2183
EASTON MD 21601-8942

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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked.)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE LOSS DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
QUALCOMM INC		CUSIP: 747525103	Symbol (Box 1d): QCOM					
	123 000	10/01/09	09/19/13	\$8,543.43	\$5,347.23	\$0.00	\$3,196.20	\$0.00
	15 000	12/23/09	09/19/13	\$1,041.88	\$688.52	\$0.00	\$353.36	\$0.00
	6 000	04/20/10	09/19/13	\$416.75	\$257.34	\$0.00	\$159.41	\$0.00
Security Subtotal	144.000			\$10,002.06	\$6,293.09	\$0.00	\$3,708.97	\$0.00
SALESFORCE COM, INC		CUSIP: 79466L302	Symbol (Box 1d): CRM					
	55 000	02/12/07	01/31/13	\$9,461.74	\$2,606.30	\$0.00	\$6,855.44	\$0.00
	184 000	02/14/07	01/31/13	\$31,653.84	\$9,150.65	\$0.00	\$22,503.19	\$0.00
	35 000	10/02/07	01/31/13	\$6,021.11	\$1,796.38	\$0.00	\$4,224.73	\$0.00
	69 000	06/08/09	01/31/13	\$11,870.19	\$2,673.12	\$0.00	\$9,197.07	\$0.00
Security Subtotal	343.000			\$59,006.88	\$16,226.45	\$0.00	\$42,780.43	\$0.00
SCHLUMBERGER LTD		CUSIP: 806857108	Symbol (Box 1d): SLB					
	89 000	05/03/06	09/19/13	\$7,898.62	\$6,425.32	\$0.00	\$1,473.30	\$0.00
SOUTHWESTERN ENERGY COMPANY		CUSIP: 845467109	Symbol (Box 1d): SWN					
	316 000	12/08/10	01/31/13	\$10,838.14	\$11,460.66	\$0.00	(\$622.52)	\$0.00
STARBUCKS CORP WASHINGTON		CUSIP: 855244109	Symbol (Box 1d): SBUX					
	50 000	04/29/04	01/31/13	\$2,808.36	\$990.50	\$0.00	\$1,817.86	\$0.00
	49 000	05/05/04	01/31/13	\$2,752.19	\$973.14	\$0.00	\$1,779.05	\$0.00
	300 000	05/17/04	01/31/13	\$16,850.13	\$5,649.72	\$0.00	\$11,200.41	\$0.00
Security Subtotal	399.000			\$22,410.68	\$7,613.36	\$0.00	\$14,797.32	\$0.00
VISA INC CL A		CUSIP: 92826C839	Symbol (Box 1d): V					
	231 000	03/20/08	01/31/13	\$36,542.43	\$14,139.60	\$0.00	\$22,402.83	\$0.00
	7 000	04/08/08	01/31/13	\$1,107.35	\$469.03	\$0.00	\$638.32	\$0.00

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Corporate Tax Statement Tax Year 2013

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1 New York Plaza
8th Floor

New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 52-1709184
Account Number 921 906669 084

Customer Service: 866-324-6088

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05/09/01 SANDS CAPITAL MANAGEMENT
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1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

Long Term - Noncovered Securities # (Consider Box 6a (Noncovered Security) as being checked for this section. These transactions should be reported on Form 8949 Part II with box E checked)
(Continued)

DESCRIPTION (Box 8)	QUANTITY (Box 1e)	DATE ACQUIRED (Box 1b)	DATE OF SALE (Box 1a)	SALES PRICE (Box 2a)	ADJUSTED COST OR OTHER BASIS (Box 3)	WASH SALE DISALLOWED (Box 5)	GAIN/(LOSS) AMOUNT	FEDERAL INCOME TAX WITHHELD (Box 4)
VISA INC CL A	6 000	05/20/10	01/31/13	\$949 15	\$442 89	\$0 00	\$506 26	\$0 00
	42 000	05/20/10	09/19/13	\$8,175 15	\$3,100 23	\$0 00	\$5,074 92	\$0 00
	13 000	10/04/10	09/19/13	\$2,530.41	\$958.50	\$0.00	\$1,571.91	\$0.00
Security Subtotal	299,000			\$49,304.49	\$19,110.25	\$0.00	\$30,194.24	\$0.00
Total Long Term Noncovered Securities				\$457,888.15	\$177,132.25	\$0.00	\$280,755.90	\$0.00
Total Long Term Covered and Noncovered Securities				\$530,746.95	\$229,022.17	\$0.00	\$301,724.78	\$0.00

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
AEGON N V NY RES SHS / CUSIP: 007924103 / Symbol: AEG	350,000	2,754.53	09/25/13	2,663.08	.	91.45	Sale
PIA MBS BD MACS / CUSIP: 007989494 / Symbol: PMTGX							
2 tax lots for 11/04/13.							
	70,000	680.40	01/03/13	695.10		-14.70	Sale
	392,000	3,810.25	09/26/13	3,786.72		23.53	Sale
11/04/13	462,000	4,490.65	VARIOUS	4,481.82	0.00	8.83	Total of 2 lots
PIA BBB BD MACS / CUSIP: 007989577 / Symbol: PBBBX							
2 tax lots for 11/04/13							
	490,000	4,669.70	01/03/13	4,914.70		-245.00	Sale
	2,425,000	23,110.25	09/26/13	22,940.50	..	169.75	Sale
11/04/13	2,915,000	27,779.95	VARIOUS	27,855.20	0.00	-75.25	Total of 2 lots
AKORN INC / CUSIP: 009728106 / Symbol: AKRX							
11/04/13	217,000	4,528.74	03/28/13	3,011.18	.	1,517.56	Sale
AMER MOVIL SAB ADR L / CUSIP: 02364W105 / Symbol: AMX							
4 tax lots for 11/04/13							
	25,000	541.99	02/20/13	554.18		-12.19	Sale
	130,000	2,818.40	03/18/13	2,414.62		403.78	Sale
	105,000	2,276.37	06/07/13	2,126.01	..	150.36	Sale
	240,000	5,203.13	09/25/13	4,876.80	.	326.33	Sale
11/04/13	500,000	10,839.89	VARIOUS	9,971.61	0.00	868.28	Total of 4 lots
AMERN EXPRESS CO / CUSIP: 025816109 / Symbol: AXP							
11/04/13	245,000	20,082.30	01/31/13	14,457.38	.	5,624.92	Sale
APPLE INC / CUSIP: 037833100 / Symbol: AAPL							
11/04/13	55,000	28,856.30	01/31/13	25,126.75	..	3,729.55	Sale
ASTELLAS PHARMA INC ADR / CUSIP: 04623U102 / Symbol: ALPMY							
11/04/13	470,000	6,622.18	09/25/13	5,893.80		728.38	Sale

Less commissions and if shown, option premium.



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ASTRAZENECA PLC ADR / CUSIP: 046353108 / Symbol: AZN							
5 tax lots for 11/04/13.							
	75 000	3,946.55	06/07/13	3,820.38		126.17	Sale
	20 000	1,052.41	06/27/13	955.50		96.91	Sale
	10 000	526.20	09/24/13	516.44		9.76	Sale
	100.000	5,262.06	09/25/13	5,157.17		104.89	Sale
	20.000	1,052.41	10/21/13	1,026.53		25.88	Sale
11/04/13	225 000	11,839.63	VARIOUS	11,476.02	0.00	363.61	Total of 5 lots
BP PLC SPONS ADR / CUSIP: 055622104 / Symbol: BP							
9 tax lots for 11/04/13							
	60 000	2,815.84	12/07/12	2,461.54	...	354.30	Sale
	10 000	469.30	02/27/13	404.89		64.41	Sale
	5.000	234.65	03/25/13	210.49	...	24.16	Sale
	15 000	703.96	04/18/13	609.89		94.07	Sale
	50 000	2,346.53	06/07/13	2,152.43		194.10	Sale
	25.000	1,173.26	07/08/13	1,036.50		136.76	Sale
	30.000	1,407.92	08/02/13	1,258.02		149.90	Sale
	8.000	375.44	09/20/13	330.34	...	45.10	Sale
	160 000	7,508.92	09/25/13	6,790.40	...	718.52	Sale
11/04/13	363.000	17,035.82	VARIOUS	15,254.50	0.00	1,781.32	Total of 9 lots
BANCO SANTANDER BRASIL / CUSIP: 05967A107 / Symbol: BSBR							
7 tax lots for 11/04/13.							
	980 000	6,832.34	11/08/12	6,693.69		138.65	Sale
	275 000	1,917.23	02/07/13	1,974.50		-57.27	Sale
	80 000	557.74	04/01/13	577.39		-19.65	Sale
	125.000	871.47	06/12/13	844.05	..	27.42	Sale
	75 000	522.88	06/25/13	461.39		61.49	Sale
	245.000	1,708.11	08/21/13	1,391.80		316.31	Sale
	230 000	1,603.50	09/25/13	1,519.79	...	83.71	Sale
11/04/13	2,010 000	14,013.27	VARIOUS	13,462.61	0.00	550.66	Total of 7 lots

Less commissions and if shown, option premium.

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 9949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
BNY MELLON CORP / CUSIP: 064058100 / Symbol: BK							
2 tax lots for 11/04/13.							
	270,000	8,799.81	06/24/13	7,569.83		1,229.98	Sale
11/04/13	150,000	4,888.78	09/25/13	4,542.66		346.12	Sale
	420,000	13,688.59	VARIOUS	12,112.49	0.00	1,576.10	Total of 2 lots
BEACON ROOFING SUPPLY / CUSIP: 073685109 / Symbol: BECN							
11/04/13	70,000	2,512.95	05/22/13	2,817.13		-304.18	Sale
BERKSHIRE HATHAWAY B NEW / CUSIP: 084670702 / Symbol: BRK/B							
11/04/13	415,000	47,674.41	01/31/13	40,131.21		7,543.20	Sale
CRH PLC ADR / CUSIP: 12626K203 / Symbol: CRH							
5 tax lots for 11/04/13							
	35,000	880.44	02/25/13	749.21		131.23	Sale
	30,000	754.66	04/18/13	606.30		148.36	Sale
	30,000	754.66	05/30/13	643.18		111.48	Sale
	35,000	880.44	07/09/13	711.45		168.99	Sale
	130,000	3,270.22	09/25/13	3,133.00		137.22	Sale
11/04/13	260,000	6,540.42	VARIOUS	5,843.14	0.00	697.28	Total of 5 lots
CHESAPEAKE ENERGY CORP / CUSIP: 165167107 / Symbol: CHK							
2 tax lots for 11/04/13							
	50,000	1,443.00	12/10/12	848.24		594.76	Sale
	120,000	3,463.22	09/25/13	3,170.40		292.82	Sale
11/04/13	170,000	4,906.22	VARIOUS	4,018.64	0.00	887.58	Total of 2 lots
CHINA MOBILE LTD ADR / CUSIP: 16941M109 / Symbol: CHL							
6 tax lots for 11/04/13							
	195,000	10,190.87	06/24/13	9,485.29		705.58	Sale
	65,000	3,396.95	09/04/13	3,543.41		-146.46	Sale
	55,000	2,874.34	09/05/13	3,015.87		-141.53	Sale
	160,000	8,361.72	09/25/13	9,084.45		-722.73	Sale

Less commissions and if shown, option premium



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
CHINA MOBILE LTD ADR / CUSIP: 16941M109 / Symbol	CHL (cont'd)						
	30 000	1,567 82	10/21/13	1,658 28		-90 46	Sale
	25 000	1,306 51	10/23/13	1,293 86		12 65	Sale
11/04/13	530 000	27,698 21	VARIOUS	28,081 16	0 00	-382 95	Total of 6 lots
CITIGROUP INC NEW / CUSIP 172967424 / Symbol: C							
7 tax lots for 11/04/13.							
	50 000	2,437 08	02/04/13	2,125 00		312 08	Sale
	5 000	243 70	07/29/13	257 40		-13 70	Sale
	15 000	731 12	08/12/13	760 65		-29 53	Sale
	55 000	2,680 79	08/16/13	2,790 22		-109 43	Sale
	5 000	243 70	08/27/13	244 65		-0 95	Sale
	10 000	487 41	08/29/13	484 21		3 20	Sale
	140 000	6,823 82	09/25/13	6,924 40		-100 58	Sale
11/04/13	280 000	13,647 62	VARIOUS	13,586 53	0 00	61 09	Total of 7 lots
COACH INC / CUSIP: 189754104 / Symbol: COH							
11/04/13	291 000	14,884 46	01/31/13	14,831 46		53 00	Sale
COGNIZANT TECH SLTNS A / CUSIP 192446102 / Symbol: CTSB							
2 tax lots for 11/04/13							
	315 000	27,297 46	04/25/13	19,909 26		7,388 20	Sale
	185 000	16,031 83	05/29/13	11,847 16		4,184 67	Sale
11/04/13	500 000	43,329 29	VARIOUS	31,756 42	0 00	11,572 87	Total of 2 lots
CORNING INC / CUSIP 219350105 / Symbol GLW							
2 tax lots for 11/04/13							
	210 000	3,610 17	02/04/13	2,472 75		1,137 42	Sale
	250 000	4,297 82	09/25/13	3,730 78		567 04	Sale
11/04/13	460 000	7,907 99	VARIOUS	6,203 53	0 00	1,704 46	Total of 2 lots
CUMMINS INC / CUSIP 231021106 / Symbol CMI							
11/04/13	250 000	32,507 73	01/31/13	28,764 53		3,743 20	Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
DAIICHI SANKYO CO ADR 1 / CUSIP: 23381D102 / Symbol: DSNKY							
4 tax lots for 11/04/13							
	15,000	274.79	06/04/13	248.96		25.83	Sale
	20,000	366.39	08/12/13	338.87		27.52	Sale
	310,000	5,679.10	09/25/13	5,704.00		-24.90	Sale
	40,000	732.78	10/08/13	696.49		36.29	Sale
11/04/13	385,000	7,053.06	VARIOUS	6,988.32	0.00	64.74	Total of 4 lots
DEUTSCHE TELEKOM AG ADR / CUSIP: 251566105 / Symbol DTEGY							
11/04/13	150,000	2,384.66	09/25/13	2,152.50		232.16	Sale
DICE HOLDINGS INC / CUSIP: 253017107 / Symbol DHX							
11/04/13	96,000	725.77	03/18/13	970.77		-245.00	Sale
EMC CORP MASS / CUSIP: 268648102 / Symbol EMC							
3 tax lots for 11/04/13							
	1,080,000	25,477.51	01/31/13	26,646.95		-1,169.44	Sale
	175,000	4,128.31	04/16/13	4,009.76		118.55	Sale
	410,000	9,672.01	05/20/13	9,881.74		-209.73	Sale
11/04/13	1,665,000	39,277.83	VARIOUS	40,538.45	0.00	-1,260.62	Total of 3 lots
ENI SPA SPONS ADR / CUSIP: 26874R108 / Symbol. E							
11/04/13	80,000	4,016.94	09/25/13	3,740.84		276.10	Sale
ELLIE MAE INC / CUSIP: 28849P100 / Symbol ELLI							
11/04/13	117,000	2,801.09	02/20/13	2,598.11	...	202.98	Sale
EPAM SYSTEMS INC / CUSIP: 29414B104 / Symbol EPAM							
11/04/13	152,000	5,570.70	03/27/13	3,512.03		2,058.67	Sale
ERICSSON TELEPHONE NEW B / CUSIP: 294821608 / Symbol ERIC							
2 tax lots for 11/04/13							
	45,000	539.54	06/28/13	508.90		30.64	Sale

Less commissions and if shown, option premium



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
ERICSSON TELEPHONE NEW B / CUSIP: 294821608 / Symbol: ERIC (cont'd)	150 000	1,798.48	09/25/13	2,049.71	.	-251.23	Sale
11/04/13	195,000	2,338.02	VARIOUS	2,558.61	0.00	-220.59	Total of 2 lots
EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESRX	660 000	41,164.80	01/31/13	35,309.93		5,854.87	Sale
FHLMC MTN 2 875 020915 / CUSIP: 3137EACH0 / Symbol: 2 tax lots for 11/05/13	82,000 000	84,583.00	12/06/12	84,642.28		-59.28	Sale
11/05/13	10,000 000	10,315.00	09/27/13	10,332.37		-17.37	Note: AA Original basis: \$86,560.84 Sale
11/05/13	92,000 000	94,898.00	VARIOUS	94,974.65	0.00	-76.65	Note: AA Original basis: \$10,360.95 Total of 2 lots
FHLMC MTN 1.0 082714 / CUSIP: 3137EACV9 / Symbol: 11/05/13	9,000 000	9,050.40	09/27/13	9,061.82		-11.42	Sale
FNMA NOTE 2.625 112014 / CUSIP: 31398AZV7 / Symbol: 11/05/13	10,000 000	10,242.00	09/26/13	10,253.72		-11.72	Note: AA Original basis: \$9,070.10
FRESH MARKET INC / CUSIP: 35804H106 / Symbol: TFM 11/04/13	73 000	3,682.05	08/29/13	3,614.01		68.04	Sale
GDF SUEZ / CUSIP: 36160B105 / Symbol: GDFZY 8 tax lots for 11/04/13.	45 000	1,122.28	11/16/12	940.37	...	181.91	Sale
	160 000	3,990.32	01/09/13	3,322.50		667.82	Sale
	30 000	748.18	01/18/13	613.79		134.39	Sale
	65,000	1,621.07	02/20/13	1,270.36		350.71	Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of * stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
GDF SUEZ / CUSIP . 36160B105 / Symbol GDFZY (cont'd)							
	30 000	748 23	03/04/13	565 41		182.82	Sale
	45 000	1,122 28	03/18/13	849.22	..	273 06	Sale
	25 000	623.48	07/11/13	507 52	..	115.96	Sale
	40 000	997 58	09/25/13	1,012.42		-14 84	Sale
11/04/13	440,000	10,973.42	VARIOUS	9,081 59	0.00	1,891.83	Total of 8 lots
GILEAD SCIENCES INC / CUSIP . 375558103 / Symbol GILD							
11/04/13	355,000	24,725.91	01/31/13	14,019.06	.	10,706 85	Sale
GLAXOSMITHKLINE PLC ADR / CUSIP 37733W105 / Symbol GSK							
	2 tax lots for 11/04/13						
	80 000	4,267 35	12/06/12	3,476 79		790 56	Sale
	30 000	1,600 24	09/25/13	1,535 70		64.54	Sale
11/04/13	110,000	5,867.59	VARIOUS	5,012.49	0.00	855 10	Total of 2 lots
GLOBUS MED INC A NEW / CUSIP . 379577208 / Symbol GMED							
	2 tax lots for 11/04/13						
	81 000	1,530 18	03/07/13	1,195 08		335 10	Sale
	155 000	2,928.11	03/11/13	2,304.85		623.26	Sale
11/04/13	236,000	4,458.29	VARIOUS	3,499.93	0 00	958.36	Total of 2 lots
GROUP ONE AUTOMOTIVE INC / CUSIP . 398905109 / Symbol. GPI							
	2 tax lots for 11/04/13						
	53 000	3,445 49	08/19/13	3,994 52	...	-549 03	Sale
	53 000	3,445 48	10/03/13	4,032 39		-586 91	Sale
11/04/13	106,000	6,890.97	VARIOUS	8,026.91	0 00	-1,135 94	Total of 2 lots
HSN INC DE / CUSIP 404303109 / Symbol HSNH							
	2 tax lots for 11/04/13.						
	41 000	2,189 98	11/09/12	2,107 61		82 37	Sale

Less commissions and if shown, option premium



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
HSN INC DE / CUSIP: 404303109 / Symbol: HSN (cont'd)	79 000	4,219 70	11/19/12	4,076 41	...	143.29	Sale
11/04/13	120 000	6,409 68	VARIOUS	6,184 02	0 00	225.66	Total of 2 lots
HALCON RES CORP NEW / CUSIP: 40537Q209 / Symbol: HK	448,000	2,396 84	04/03/13	3,403 81	...	-1,006.97	Sale
HONDA MTR LTD AMERN SHS / CUSIP: 438128308 / Symbol: HMC	2 tax lots for 11/04/13						
11/04/13	30,000	1,199 97	08/28/13	1,109 13		90 84	Sale
	90 000	3,599 93	09/25/13	3,489 54		110 39	Sale
	120,000	4,799 90	VARIOUS	4,598 67	0 00	201 23	Total of 2 lots
IMPERIAL TOBACCO GRP PLC / CUSIP: 453142101 / Symbol: ITYBY	4 tax lots for 11/04/13						
11/04/13	230,000	17,079 49	08/23/13	15,454 92	...	1,624 57	Sale
	75,000	5,569 42	08/30/13	4,990 13		579 29	Sale
	70,000	5,198 10	09/25/13	5,128 20	..	69.90	Sale
	5 000	371 29	10/16/13	353 45		17 84	Sale
	380 000	28,218 30	VARIOUS	25,926 70	0 00	2,291 60	Total of 4 lots
INTEL CORP / CUSIP: 458140100 / Symbol: INTC	7 tax lots for 11/04/13						
11/04/13	95 000	2,302 95	11/07/12	2,005 68	.	297 27	Sale
	30,000	727 24	11/15/12	599 99		127 25	Sale
	25,000	606 03	11/20/12	485 00	...	121.03	Sale
	95 000	2,302 95	02/08/13	1,994 97		307 98	Sale
	20 000	484 83	04/08/13	417 20		67 63	Sale
	25 000	606 03	08/15/13	556 25	..	49 78	Sale
	150,000	3,636 23	09/25/13	3,559 50		76 73	Sale
	440,000	10,666 26	VARIOUS	9,618 59	0.00	1,047.67	Total of 7 lots

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of * stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
INTESA SANPAOLO SPA ADR / CUSIP 46115H107 / Symbol: INPY							
2 tax lots for 11/04/13							
	60 000	893 98	02/27/13	589 37		304 61	Sale
	125 000	1,862 50	03/18/13	1,147 47	..	715 03	Sale
11/04/13	185 000	2,756 48	VARIOUS	1,736 84	0 00	1,019 64	Total of 2 lots
INVENSENSE INC / CUSIP: 46123D205 / Symbol: INVN							
2 tax lots for 11/04/13.							
	190 000	3,144 72	08/19/13	3,208 79	..	-64 07	Sale
	189 000	3,128 15	08/23/13	3,274 25		-146 10	Sale
11/04/13	379 000	6,272 87	VARIOUS	6,483 04	0.00	-210 17	Total of 2 lots
J SAINSBURY PLC ADR NEW / CUSIP: 466249208 / Symbol: JSAY							
11/04/13	120 000	3,046 74	09/25/13	3,069 60	..	-22 86	Sale
KEYW HOLDING CORP / CUSIP 493723100 / Symbol: KEYW							
11/04/13	228 000	2,608 59	04/05/13	3,472 46		-863 87	Sale
KONINKLIJKE ADR 2007 / CUSIP 500467402 / Symbol: AHONY							
11/04/13	60 000	1,135 77	09/25/13	1,050 60		85 17	Sale
LILLY ELI & COMPANY / CUSIP 532457108 / Symbol: LLY							
2 tax lots for 11/04/13							
	170 000	8,566 57	09/25/13	8,941 92		-375 35	Sale
	30 000	1,511 74	10/08/13	1,443 05	..	68 69	Sale
11/04/13	200 000	10,078 31	VARIOUS	10,384 97	0.00	-306 66	Total of 2 lots
M&T BANK CORP / CUSIP 55261F104 / Symbol: MTB							
4 tax lots for 11/04/13							
	42 000	4,684 60	07/11/13	4,901 10		-216 50	Sale
	71 000	7,919 20	07/11/13	8,167 19	...	-247 99	Sale
	92 000	10,261 50	07/12/13	10,792 81		-531 31	Sale

Less commissions and if shown, option premium.

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
M&T BANK CORP / CUSIP: 55261F104 / Symbol: MTB (cont'd)	63 000	7,026 92	08/27/13	7,246.94		-220.02	Sale
11/04/13	268,000	29,892.22	VARIOUS	31,108.04	0.00	-1,215.82	Total of 4 lots
MS&AD INS GRP HLDGS ADR / CUSIP: 553491101 / Symbol: MSADY	80 000	1,007.20	09/25/13	1,024.00		-16.80	Sale
11/04/13							
MARKS & SPENCER GRP ADR / CUSIP: 570912105 / Symbol: MAKSY							
2 tax lots for 11/04/13.							
11/04/13	95,000	1,480.07	03/04/13	1,043.88		436.19	Sale
	50,000	778.98	03/15/13	566.54		212.44	Sale
	145,000	2,259.05	VARIOUS	1,610.42	0.00	648.63	Total of 2 lots
MASCO CORP / CUSIP: 574599106 / Symbol: MAS	140 000	2,934.44	09/25/13	3,012.80		-78.36	Sale
11/04/13							
MAXIMUS INC / CUSIP: 577933104 / Symbol: MMS	129 000	6,194.19	07/02/13	4,664.76		1,529.43	Sale
11/04/13							
MERCK & COMPANY INC NEW / CUSIP: 58933Y105 / Symbol: MRK							
2 tax lots for 11/04/13							
11/04/13	130,000	5,944.79	05/10/13	5,958.94		-14.15	Sale
	100,000	4,572.91	09/25/13	4,758.00		-185.09	Sale
	230,000	10,517.70	VARIOUS	10,716.94	0.00	-199.24	Total of 2 lots
MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT							
2 tax lots for 11/04/13							
11/04/13	20,000	717.40	12/07/12	529.40		188.00	Sale
	100,000	3,587.00	09/25/13	3,259.00		328.00	Sale
	120,000	4,304.40	VARIOUS	3,788.40	0.00	516.00	Total of 2 lots
MONSTER BEVERAGE CORP / CUSIP: 611740101 / Symbol: MNST	330,000	18,727.73	01/31/13	15,784.16		2,943.57	Sale
11/04/13							

Less commissions and if shown, option premium.

Robert W. Baird & Co. Incorporated

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Proceeds Not Reported to the IRS (continued)

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

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Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of* stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
NPS PHARMACEUTICAL INC / CUSIP: 62936P103 / Symbol: NPSP	338,000	9,501.04	04/03/13	3,544.27	..	5,956.77	Sale
11/04/13							
NATIONAL OILWELL VARCO / CUSIP: 637071101 / Symbol: NOV	57,000	4,591.90	01/31/13	4,243.55	.	348.35	Sale
11/04/13							
NIPPON TEL&TEL SPONS ADR / CUSIP: 654624105 / Symbol: NTT							
2 tax lots for 11/04/13.							
40,000	1,032.51	03/12/13	892.89			139.62	Sale
25,000	645.39	03/18/13	546.32			99.07	Sale
65,000	1,677.90	VARIOUS	1,439.21		0.00	238.69	Total of 2 lots
11/04/13							
NISSAN MTR LTD SPONS NEW / CUSIP: 654744408 / Symbol: NSANY							
2 tax lots for 11/04/13.							
650,000	11,933.79	09/27/13	13,322.08			-1,388.29	Sale
350,000	6,425.89	10/10/13	7,113.75		...	-687.86	Sale
1,000,000	18,359.68	VARIOUS	20,435.83		0.00	-2,076.15	Total of 2 lots
11/04/13							
OIL COMPANY LUKOIL ADR / CUSIP: 677862104 / Symbol: LUKOY							
3 tax lots for 11/04/13							
5,000	327.99	04/23/13	299.21			28.78	Sale
10,000	655.98	06/12/13	579.29		..	76.69	Sale
50,000	3,279.94	09/25/13	3,240.00		.	39.94	Sale
65,000	4,263.91	VARIOUS	4,118.50		0.00	145.41	Total of 3 lots
11/04/13							
OMNICELL INC / CUSIP: 68213N109 / Symbol: OMCL							
2 tax lots for 11/04/13.							
177,000	4,038.33	11/07/12	2,568.29			1,470.04	Sale
144,000	3,285.41	12/13/12	2,306.88			978.53	Sale
321,000	7,323.74	VARIOUS	4,875.17		0.00	2,448.57	Total of 2 lots
11/04/13							

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ORANGE SPON ADR / CUSIP. 684060106 / Symbol: ORAN							
4 tax lots for 11/04/13							
	185 000	2,517.89	06/18/13	1,882.54		635.35	Sale
	185 000	2,517.89	06/18/13	1,882.54		635.35	Sale
	110 000	1,497.24	07/08/13	1,028.69		468.55	Sale
	80 000	1,088.82	09/25/13	978.40		110.42	Sale
11/04/13	560 000	7,621.84	VARIOUS	5,772.17	0.00	1,849.67	Total of 4 lots
PNC FINL SVCS GROUP INC / CUSIP. 693475105 / Symbol: PNC							
2 tax lots for 11/04/13.							
	10 000	730.11	12/04/12	548.30		181.81	Sale
	60 000	4,380.52	09/25/13	4,377.60		2.92	Sale
11/04/13	70 000	5,110.63	VARIOUS	4,925.90	0.00	184.73	Total of 2 lots
PEPSICO INC / CUSIP 713448108 / Symbol: PEP							
11/04/13	60 000	5,055.51	09/25/13	4,823.40		232.11	Sale
PERRIGO COMPANY / CUSIP. 714290103 / Symbol							
2 tax lots for 11/04/13							
	227 000	32,603.47	01/31/13	22,848.80		9,754.67	Sale
	13 000	1,867.15	09/04/13	1,611.33		255.82	Sale
11/04/13	240 000	34,470.62	VARIOUS	24,460.13	0.00	10,010.49	Total of 2 lots
PETROLEO BRASILEIRO SA / CUSIP. 71654V101 / Symbol: PBR/A							
5 tax lots for 11/04/13.							
	645 000	11,675.39	06/21/13	9,535.62		2,139.77	Sale
	70 000	1,267.09	07/03/13	981.58		285.51	Sale
	215 000	3,891.82	07/08/13	2,904.78		987.04	Sale
	130 000	2,353.17	07/18/13	1,902.13		451.04	Sale
	110 000	1,991.15	09/25/13	1,873.30		117.85	Sale
11/04/13	1,170 000	21,178.62	VARIOUS	17,197.41	0.00	3,981.21	Total of 5 lots

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

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SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
PFIZER INC / CUSIP: 717081103 / Symbol: PFE	100 000						
11/04/13		3,126 26	09/25/13	2,878 00		248.26	Sale
PORTFOLIO RECOVERY ASSOC / CUSIP: 73640Q105 / Symbol: PRAA	84 000	4,948 52	02/20/13	3,309 07		1,639 45	Sale
11/04/13							
PROOFPOINT INC / CUSIP: 743424103 / Symbol: PFPT	184 000	5,691 55	05/29/13	3,680 86		2,010 69	Sale
11/04/13							
QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM	382 000	26,514 46	01/31/13	25,343 26		1,171 20	Sale
11/04/13							
REALPAGE INC / CUSIP: 75606N109 / Symbol: RP	125 000	3,055 29	12/20/12	2,671 69		383 60	Sale
11/04/13							
SALIX PHARMA LTD / CUSIP: 795435106 / Symbol: SLXP	84 000	6,182.07	02/12/13	4,123 19		2,058 88	Sale
11/04/13							
SANOFI SPON ADR / CUSIP: 80105N105 / Symbol: SNY	60 000	3,172 14	09/25/13	3,040 86		131 28	Sale
11/04/13							
SCHLUMBERGER LTD / CUSIP: 808857108 / Symbol: SLB	4 000	373 56	01/31/13	313 40		60 16	Sale
11/04/13							
SCHWAB CHARLES CORP NEW / CUSIP: 808513105 / Symbol: SCHW	885 000	20,558 28	01/31/13	14,675 87		5,882.41	Sale
11/04/13							
SEACHANGE INTL INC / CUSIP: 811699107 / Symbol: SEAC	303 000	4,376 24	03/11/13	3,434 05		942 19	Sale
11/04/13							
SPECTRANETICS CORP / CUSIP: 84760C107 / Symbol: SPNC							
2 tax lots for 11/04/13							
68 000	1,420 13		02/20/13	1,175 97		244 16	Sale
142 000	2,965 56		03/14/13	2,605 42		360 14	Sale
210 000	4,385 69		VARIOUS	3,781.39	0 00	604 30	Total of 2 lots
11/04/13							

Less commissions and if shown, option premium.



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of * stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
STATE STREET CORP / CUSIP: 857477103 / Symbol: STT 11/04/13	40 000	2,833.24	09/25/13	2,667.60		165.64	Sale
STERICYCLE INC / CUSIP: 858912108 / Symbol: SRCL 11/04/13	290 000	33,656.96	01/31/13	27,461.61		6,195.35	Sale
SYNCHRONOSS TECHS INC / CUSIP: 87157B103 / Symbol: SNCR 2 tax lots for 11/04/13.	150 000	5,490.14	12/04/12	2,808.66		2,681.48	Sale
	86 000	3,147.68	05/09/13	2,593.73		553.95	Sale
11/04/13	236 000	8,637.82	VARIOUS	5,402.39	0.00	3,235.43	Total of 2 lots
TAKEDA PHARMA CO LTD ADR / CUSIP: 874060205 / Symbol: TKPPY 11/04/13	60 000	1,427.37	09/25/13	1,433.40	...	-6.03	Sale
TELEFONICA S A SPONS ADR / CUSIP: 879382208 / Symbol: TEF 2 tax lots for 11/04/13	320 000	5,427.99	06/07/13	4,388.13		1,039.86	Sale
	160 000	2,713.99	09/25/13	2,428.80	...	285.19	Sale
11/04/13	480 000	8,141.98	VARIOUS	6,816.93	0.00	1,325.05	Total of 2 lots
TIM PARTICIPACOEES ADR / CUSIP: 88706P205 / Symbol: TSU 3 tax lots for 11/04/13	250 000	6,433.23	05/21/13	5,058.70		1,374.53	Sale
	185 000	4,760.59	06/12/13	3,457.04		1,303.55	Sale
	30 000	771.98	07/09/13	545.66		226.32	Sale
11/04/13	465 000	11,965.80	VARIOUS	9,061.40	0.00	2,904.40	Total of 3 lots
TOKIO MARINE HLDGS ADR / CUSIP: 889094108 / Symbol: TKOMY 3 tax lots for 11/04/13	35 000	1,127.68	06/07/13	1,025.72		101.96	Sale
	90 000	2,899.74	09/25/13	2,977.20		-77.46	Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
TOKIO MARINE HLDGS ADR / CUSIP: 889094108 / Symbol TKOMY (cont'd)							
11/04/13	35 000	1,127 68	10/23/13	1,108.80	.	18.88	Sale
	160 000	5,155.10	VARIOUS	5,111.72	0.00	43.38	Total of 3 lots
TOTAL S A SPONS ADR / CUSIP: 89151E109 / Symbol. TOT							
3 tax lots for 11/04/13.							
	15 000	905 00	04/08/13	723 15	.	181 85	Sale
	25 000	1,508 34	04/18/13	1,163 04	.	345 30	Sale
	60 000	3,620 01	09/25/13	3,497.18	.	122.83	Sale
11/04/13	100 000	6,033.35	VARIOUS	5,383.37	0.00	649.98	Total of 3 lots
UNILEVER N V NY SHS NEW / CUSIP: 904784709 / Symbol. UN							
11/04/13	160 000	6,334 76	09/25/13	6,287 10	.	47.66	Sale
UNITED NATURAL FOODS / CUSIP: 911163103 / Symbol UNFI							
11/04/13	65 000	4,679 27	08/19/13	3,954 97	.	724 30	Sale
UST NOTE 4 25 081515 / CUSIP 912828EE6 / Symbol	10,000.000	10,690 00	09/26/13	10,694 78	.	-4 78	Sale
11/05/13							Note AA Original basis: \$10,738.28
UST NOTE 4 625 111516 / CUSIP 912828FY1 / Symbol	12,000.000	13,407 60	09/26/13	13,392 19	...	15 41	Sale
11/05/13							Note. AA Original basis: \$13,442 81
UST NOTE 3 625 021520 / CUSIP: 912828MP2 / Symbol	6,000 000	6,648 00	09/26/13	6,641 06		6 94	Sale
11/05/13							Note. AA Original basis \$6,651.80
UST NOTE 0 375 031515 / CUSIP: 912828SK7 / Symbol	2 tax lots for 11/05/13.	61,019 07	09/06/13	61,108 71		-89 64	Sale
11/05/13	61,000 000						Note AA Original basis \$61,128 89

Less commissions and if shown, option premium.



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of * stocks, bonds, etc. (cont'd)	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
UST NOTE 0 375 031515 / CUSIP 912828SK7 / Symbol:	7,000 000	7,002 18	09/26/13	7,014.39	.	-12.21	Sale Note AA Original basis \$7,015.59 Total of 2 lots
11/05/13	68,000 000	68,021 25	VARIOUS	68,123.10	0.00	-101 85	
UST NOTE 1 75 051522 / CUSIP 912828SV3 / Symbol:	4,000.000	3,765.31	09/26/13	3,780.12	.	-14 81	Sale Note AA Original basis \$3,777 50
11/05/13							
UST NOTE 2 5 081523 / CUSIP 912828VS6 / Symbol							
	2 tax lots for 11/05/13						
	38,000.000	37,370 63	09/24/13	37,461.31	..	-90 68	Sale
	3,000 000	2,950 31	09/26/13	2,960.74		-10 43	Sale
11/05/13	41,000 000	40,320 94	VARIOUS	40,422 05	0.00	-101 11	Total of 2 lots
VARIAN MED SYS INC / CUSIP 92220P105 / Symbol: VAR							
11/04/13	350.000	25,613 57	01/31/13	24,770 34	.	843 23	Sale
VERISK ANALYTICS INC A / CUSIP 92345Y106 / Symbol: VRSK							
11/04/13	400 000	27,288.00	01/31/13	22,031 28	.	5,256.72	Sale
VIRTUSA CORP / CUSIP 92827P102 / Symbol VRTU							
11/04/13	204 000	6,317.77	01/08/13	3,268.43	..	3,049 34	Sale
WM MORRISON SUPERMKT ADR / CUSIP 92933J107 / Symbol MRWSY							
	4 tax lots for 11/04/13						
	130 000	2,930 16	03/06/13	2,580 80	.	349 36	Sale
	590 000	13,298 36	05/22/13	12,608 95	.	689.41	Sale
	150 000	3,380 94	09/25/13	3,445 50	.	-64 56	Sale
	180 000	4,057 12	10/15/13	4,063 03	..	-5.91	Sale
11/04/13	1,050.000	23,666 58	VARIOUS	22,698 28	0.00	968 30	Total of 4 lots

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

SHORT-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
WATSCO INC A / CUSIP 942622200 / Symbol WSO							
11/04/13	31 000	3,007 68	04/26/13	2,564 12		443.56	Sale
WESTERN DIGITAL CORP / CUSIP: 958102105 / Symbol: WDC							
11/04/13	10 000	718 18	09/25/13	642 80		75 38	Sale
TE CONNECTIVITY LTD / CUSIP: H84989104 / Symbol: TEL							
11/04/13	50 000	2,589 57	11/07/12	1,695 16		894 41	Sale
Totals:		1,316,948.87		1,182,744.13	0.00	134,204.74	

adjust Basis for UST Note

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ACTUANT CORP CL A NEW / CUSIP: 00508X203 / Symbol: ATU							
	2 tax lots for 11/04/13						
	68 000	2,633.59	07/15/11	1,766.97	..	866.62	Sale
	173,000	6,700.19	07/27/11	4,398.01		2,302.18	Sale
11/04/13	241,000	9,333.78	VARIOUS	6,164.98	0.00	3,168.80	Total of 2 lots
AEGON N V NY RES SHS / CUSIP: 007924103 / Symbol: AEG							
	6 tax lots for 11/04/13						
	189,000	1,487.42	03/25/08	2,520.46	...	-1,033.04	Sale
	64,000	503.67	04/02/08	961.90		-458.23	Sale
	32,000	251.83	04/29/08	483.20	..	-231.37	Sale
	267,000	2,101.27	06/05/08	3,681.79		-1,580.52	Sale
	54,000	424.97	08/12/08	531.50		-106.53	Sale
	757,000	5,957.55	08/20/08	7,812.55		-1,855.00	Sale
11/04/13	1,363,000	10,726.71	VARIOUS	15,991.40	0.00	-5,264.69	Total of 6 lots

Less commissions and if shown, option premium.



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
PIA MBS BD MACS / CUSIP: 007989494 / Symbol: PMTGX							
2 tax lots for 11/04/13							
	3,613.621	35,124.39	02/07/12	36,136.21		-1,011.82	Sale
	132.000	1,283.04	10/17/12	1,327.92		-44.88	Sale
11/04/13	3,745.621	36,407.43	VARIOUS	37,464.13	0.00	-1,056.70	Total of 2 lots
PIA BBB BD MACS / CUSIP: 007989577 / Symbol: PBBBX							
11 tax lots for 11/04/13							
	1,116.790	10,643.00	10/31/07	10,587.17		55.83	Sale
	244.351	2,328.66	01/18/08	2,336.00		-7.34	Sale
	292.341	2,786.00	03/13/08	2,710.00		76.00	Sale
	182.048	1,734.91	08/26/08	1,653.00		81.91	Sale
	381.026	3,631.23	12/05/08	2,972.00		659.23	Sale
	2,889.102	27,533.14	01/21/09	24,124.00		3,409.14	Sale
	4,695.082	44,744.13	05/05/09	40,096.00		4,648.13	Sale
	533.263	5,081.99	09/15/09	5,066.00		15.99	Sale
	660.294	6,292.60	01/06/12	6,470.88		-178.28	Sale
	2,402.371	22,894.59	02/14/12	24,071.76		-1,177.17	Sale
	2,267.000	21,604.51	10/17/12	23,599.47		-1,994.96	Sale
11/04/13	15,663.668	149,274.76	VARIOUS	143,686.28	0.00	5,588.48	Total of 11 lots
AFFILIATED MGRS GRP INC / CUSIP: 008252108 / Symbol: AMG							
11/04/13	47.000	9,329.80	02/28/08	4,678.86		4,650.94	Sale
AKORN INC / CUSIP: 009728106 / Symbol: AKRX							
2 tax lots for 11/04/13							
	306.000	6,386.13	07/30/12	4,310.83		2,075.30	Sale
	207.000	4,320.03	09/11/12	3,006.07		1,313.96	Sale
11/04/13	513.000	10,706.16	VARIOUS	7,316.90	0.00	3,389.26	Total of 2 lots
ALIGN TECHNOLOGY INC / CUSIP: 016255101 / Symbol: ALGN							
11/04/13	200.000	11,643.79	04/27/10	3,411.90		8,231.89	Sale

Less commissions and if shown, option premium.

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
7 tax lots for 11/04/13							
AMER MOVIL SAB ADR L / CUSIP: 02364W105 / Symbol: AMX	20,000	433.59	10/17/11	459.95		-26.36	Sale
	25,000	541.99	12/15/11	562.69		-20.70	Sale
	100,000	2,167.97	01/09/12	2,249.89		-81.92	Sale
	20,000	433.59	01/13/12	443.55		-9.96	Sale
	40,000	867.18	01/13/12	897.57		-30.39	Sale
	260,000	5,636.72	02/10/12	6,153.37		-516.65	Sale
	20,000	433.59	02/14/12	469.80		-36.21	Sale
11/04/13	485,000	10,514.63	VARIOUS	11,236.82	0.00	-722.19	Total of 7 lots
ANSYS INC / CUSIP: 03662Q105 / Symbol: ANSS							
3 tax lots for 11/04/13							
	19,000	1,680.24	09/11/06	427.12		1,253.12	Sale
	48,246	4,266.56	05/24/07	2,180.48		2,086.08	Sale
	35,754	3,161.85	10/08/07	1,615.89		1,545.96	Sale
11/04/13	103,000	9,108.65	VARIOUS	4,223.49	0.00	4,885.16	Total of 3 lots
APPLE INC / CUSIP: 037833100 / Symbol: AAPL							
11/04/13	91,000	47,744.08	08/25/06	6,224.50	...	41,519.58	Sale
APPROACH RESOURCES INC / CUSIP: 03834A103 / Symbol: AREX							
2 tax lots for 11/04/13							
	97,000	2,896.95	06/01/11	2,520.14		376.81	Sale
	91,000	2,717.76	06/06/11	2,342.07	...	375.69	Sale
11/04/13	188,000	5,614.71	VARIOUS	4,862.21	0.00	752.50	Total of 2 lots
ASTELLAS PHARMA INC ADR / CUSIP: 04623U102 / Symbol: ALPMY							
4 tax lots for 11/04/13							
	560,000	7,890.28	09/29/10	5,135.07		2,755.21	Sale
	60,000	845.38	12/15/10	557.04		288.34	Sale
	300,000	4,226.92	03/29/11	2,755.04		1,471.88	Sale

Less commissions and if shown, option premium.



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ASTELLAS PHARMA INC ADR / CUSIP: 04623U102 / Symbol: ALPMY (cont'd)							
	180 000	2,536 15	04/08/11	1,659 20		876 95	Sale
11/04/13	1,100,000	15,498 73	VARIOUS	10,106.35	0.00	5,392 38	Total of 4 lots
ASTRAZENECA PLC ADR / CUSIP: 046353108 / Symbol: AZN							
4 tax lots for 11/04/13							
	20 000	1,052 41	06/04/07	1,066 06		-13.65	Sale
	230 000	12,102 75	06/15/07	11,844 31		258 44	Sale
	10,000	526 25	11/13/07	456.50		69.75	Sale
	50 000	2,631.03	12/29/10	2,303 72		327 31	Sale
11/04/13	310 000	16,312 44	VARIOUS	15,670.59	0.00	641.85	Total of 4 lots
BP PLC SPONS ADR / CUSIP: 055622104 / Symbol: BP							
8 tax lots for 11/04/13							
	110,000	5,162.38	07/27/11	5,013.62		148 76	Sale
	20 000	938 61	08/05/11	775 40		163.21	Sale
	10 000	469 30	11/23/11	405 18		64 12	Sale
	80 000	3,754 46	12/12/11	3,349 19		405 27	Sale
	70 000	3,285 15	01/11/12	3,072.76		212.39	Sale
	50 000	2,346.53	02/15/12	2,290 48		56.05	Sale
	20,000	938.61	03/22/12	916.30		22 31	Sale
	70 000	3,285.25	06/05/12	2,567.81		717 44	Sale
11/04/13	430 000	20,180 29	VARIOUS	18,390 74	0 00	1,789.55	Total of 8 lots
BANK AMERICA CORP / CUSIP: 060505104 / Symbol: BAC							
25 tax lots for 11/04/13							
	14,558	204 69	08/02/07	2,126 27		-1,921 58	Sale
	65,513	921 16	08/03/07	9,016 13		-8,094 97	Sale
	3 639	51 16	08/16/07	365 42		-314 26	Sale
	40,945	575 71	09/05/07	4,305.46		-3,729 75	Sale
	36 400	511.81	10/10/07	3,770.98		-3,259.17	Sale
	5 459	76 75	10/17/07	526.16		-449 41	Sale
	5 459	76 75	10/18/07	506 41		-429 66	Sale
	131 027	1,842 33	10/19/07	11,202 81		-9,360 48	Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

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Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
BANK AMERICA CORP / CUSIP 060505104 / Symbol BAC (cont'd)							
	30 000	421 82	05/16/08	1,085 39	.	-663.57	Sale
	15 000	210 91	05/19/08	540.72	.	-329.81	Sale
	75 000	1,054.55	05/23/08	2,556 97	.	-1,502 42	Sale
	20 000	281 21	07/03/08	446.30	.	-165 09	Sale
	50 000	703 03	07/11/08	1,083 12	.	-380 09	Sale
	400 000	5,624 30	07/15/08	7,631 88	...	-2,007 58	Sale
	35 000	492 12	12/17/09	532 77	...	-40 65	Sale
	35 000	492 12	07/21/10	469 71	..	22 41	Sale
	35 000	492 12	09/15/10	481 28	.	10.84	Sale
	35 000	492 12	09/27/10	471 85	.	20.27	Sale
	35 000	492 12	10/07/10	467 95	.	24.17	Sale
	40 000	562 43	12/15/10	501 20	.	61.23	Sale
	35 000	492 12	03/29/11	462 00	.	30 12	Sale
	40 000	562 43	04/15/11	520 00	.	42.43	Sale
	80 000	1,124 86	04/29/11	989 69	.	135.17	Sale
	90 000	1,265 46	06/06/11	991 77	...	273.69	Sale
	150 000	2,109 23	07/25/11	1,496 69	...	612.54	Sale
11/04/13	1,503 000	21,133 31	VARIOUS	52,548.93	0 00	-31,415 62	Total of 25 lots
BNY MELLON CORP / CUSIP 064058100 / Symbol BK							
2 tax lots for 11/04/13							
	125 000	4,073.99	05/10/12	2,773 80	..	1,300 19	Sale
	120 000	3,911 05	06/25/12	2,498 88	..	1,412.17	Sale
11/04/13	245 000	7,985 04	VARIOUS	5,272 68	0 00	2,712 36	Total of 2 lots
BANKUNITED INC / CUSIP 06652K103 / Symbol BKU							
4 tax lots for 11/04/13							
	36 000	1,104 55	01/28/11	1,015 17	..	89 38	Sale
	139 000	4,264 79	01/31/11	3,917 99	..	346 80	Sale
	96 000	2,945 46	03/29/12	2,380 65	.	564 81	Sale
	1 000	30 69	04/19/12	24 02	..	6 67	Sale
11/04/13	272 000	8,345 49	VARIOUS	7,337 83	0.00	1,007.66	Total of 4 lots

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
BEACON ROOFING SUPPLY / CUSIP: 073685109 / Symbol: BECN							
	2 tax lots for 11/04/13.						
	68 000	2,441 18	07/17/12	1,831.63	..	609 55	Sale
	88 000	3,159 14	07/24/12	2,372.84	..	786 30	Sale
11/04/13	156,000	5,600.32	VARIOUS	4,204 47	0.00	1,395 85	Total of 2 lots
BUFFALO WILD WINGS INC / CUSIP: 119848109 / Symbol: BWLD							
11/04/13	36,000	5,200.10	05/02/12	3,105 00		2,095 10	Sale
CRH PLC ADR / CUSIP: 12626K203 / Symbol: CRH							
	6 tax lots for 11/04/13.						
	675 000	16,979 99	07/20/12	12,534 35		4,445 64	Sale
	30 000	754 66	08/02/12	545 15	..	209 51	Sale
	35,000	880.50	08/20/12	624 14	..	256.36	Sale
	65,000	1,635.11	09/25/12	1,281.98	..	353.13	Sale
	25,000	628.88	10/10/12	456.20	..	172.68	Sale
	30,000	754 66	10/22/12	548.32	..	206.34	Sale
11/04/13	860 000	21,633.80	VARIOUS	15,990 14	0 00	5,643.66	Total of 6 lots
CANON INC ADR REPR 5 SHS / CUSIP: 138006309 / Symbol: CAJ							
	7 tax lots for 11/04/13.						
	80 000	2,527.19	03/10/09	1,731 70	..	795 49	Sale
	80 000	2,527 15	03/19/09	2,263.69	..	263 46	Sale
	15,000	473 84	08/05/09	536 93	..	-63 09	Sale
	100,000	3,158 94	03/15/11	4,279 34	..	-1,120.40	Sale
	20,000	631.78	04/07/11	857.96	..	-226.18	Sale
	90,000	2,843 04	08/10/12	3,079.22	..	-236 18	Sale
	25,000	789.73	09/28/12	805.02	..	-15 29	Sale
11/04/13	410 000	12,951 67	VARIOUS	13,553.86	0 00	-602 19	Total of 7 lots
CARDTRONICS INC / CUSIP: 14161H108 / Symbol: CATM							
	3 tax lots for 11/04/13						
	57,000	2,284 46	07/25/12	1,662.77	..	621.69	Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
CARDTRONICS INC / CUSIP: 14161H108 / Symbol: CATM (cont'd)							
	57,000	2,264.45	08/02/12	1,766.82	.	517.63	Sale
	94,000	3,767.33	10/04/12	2,849.78	.	917.55	Sale
11/04/13	208,000	8,336.24	VARIOUS	6,279.37	0.00	2,056.87	Total of 3 lots
CARREFOUR SA SPONS ADR / CUSIP: 144430204 / Symbol: CRRFY							
8 tax lots for 11/04/13							
	544,000	3,949.37	01/20/11	4,494.45	.	-545.08	Sale
	115,000	834.88	05/11/11	972.00	...	-137.12	Sale
	576,000	4,181.68	09/21/11	2,424.68	.	1,757.00	Sale
	125,000	907.48	01/12/12	509.60	.	397.88	Sale
	120,000	871.18	04/13/12	486.85	.	384.33	Sale
	125,000	907.48	04/19/12	493.73	.	413.75	Sale
	130,000	943.78	05/03/12	493.40	.	450.38	Sale
	154,000	1,118.05	06/25/12	488.12	.	629.93	Sale
11/04/13	1,889,000	13,713.90	VARIOUS	10,362.83	0.00	3,351.07	Total of 8 lots
CHESAPEAKE ENERGY CORP / CUSIP: 165167107 / Symbol: CHK							
12 tax lots for 11/04/13							
	20,000	577.20	05/12/10	474.82	.	102.38	Sale
	20,000	577.20	05/19/10	436.16	.	141.04	Sale
	150,000	4,329.02	07/16/10	3,149.87	...	1,179.15	Sale
	100,000	2,886.01	07/27/10	2,117.04	.	768.97	Sale
	25,000	721.50	08/03/10	540.08	.	181.42	Sale
	20,000	577.20	04/09/12	433.94	.	143.26	Sale
	50,000	1,443.00	04/13/12	996.04	.	446.96	Sale
	125,000	3,607.52	04/16/12	2,422.96	.	1,184.56	Sale
	60,000	1,731.61	04/23/12	1,092.10	.	639.51	Sale
	30,000	865.80	04/27/12	520.79	.	345.01	Sale
	120,000	3,463.22	05/04/12	2,084.66	.	1,378.56	Sale
	130,000	3,751.89	05/14/12	2,020.21	.	1,731.68	Sale
11/04/13	850,000	24,531.17	VARIOUS	16,288.67	0.00	8,242.50	Total of 12 lots

Less commissions and if shown, option premium



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of * stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
CITIGROUP INC NEW / CUSIP: 172967424 / Symbol: C							
	6 tax lots for 11/04/13						
	37,146	1,810.63	03/30/09	745.20	..	1,065.43	Sale
	18,218	887.97	06/09/09	528.27	..	359.70	Sale
	149,635	7,293.45	12/30/09	5,011.58	..	2,281.87	Sale
	90,000	4,386.74	05/11/11	3,943.63	..	443.11	Sale
	10,000	487.41	05/13/11	418.40	..	69.01	Sale
	30,001	1,462.29	08/09/11	860.39	..	601.90	Sale
11/04/13	335,000	16,328.49	VARIOUS	11,507.47	0.00	4,821.02	Total of 6 lots
CLARCOR INC / CUSIP: 179895107 / Symbol: CLC							
	2 tax lots for 11/04/13						
	63,000	3,679.14	08/09/11	2,532.60	..	1,146.54	Sale
	1,000	58.39	04/19/12	48.84	..	9.55	Sale
11/04/13	64,000	3,737.53	VARIOUS	2,581.44	0.00	1,156.09	Total of 2 lots
COACH INC / CUSIP: 189754104 / Symbol: COH							
	3 tax lots for 11/04/13						
	17,000	869.53	10/17/11	1,036.48	..	-166.95	Sale
	81,000	4,143.09	12/05/11	5,145.31	..	-1,002.22	Sale
	151,000	7,723.54	07/06/12	8,748.86	..	-1,025.32	Sale
11/04/13	249,000	12,736.16	VARIOUS	14,930.65	0.00	-2,194.49	Total of 3 lots
COLFAX CORP / CUSIP: 194014106 / Symbol: CFX							
	2 tax lots for 11/04/13						
	19,000	1,076.14	06/13/11	430.22	..	645.92	Sale
	173,000	9,798.63	09/28/11	3,686.13	..	6,112.50	Sale
11/04/13	192,000	10,874.77	VARIOUS	4,116.35	0.00	6,758.42	Total of 2 lots
CORNING INC / CUSIP: 219350105 / Symbol: GLW							
	12 tax lots for 11/04/13						
	275,000	4,727.60	06/07/11	5,202.62	..	-475.02	Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

2013

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of * stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
CORNING INC / CUSIP: 219350105 / Symbol: GLW (cont'd)							
	425 000	7,306.30	06/13/11	7,790.59	.	-484.29	Sale
	90 000	1,547.21	06/17/11	1,615.45	.	-68.24	Sale
	150 000	2,578.69	06/24/11	2,639.31	.	-60.62	Sale
	60 000	1,031.47	07/12/11	1,023.65	.	7.82	Sale
	30 000	515.73	07/15/11	498.26	.	17.47	Sale
	60 000	1,031.47	07/27/11	975.59	.	55.88	Sale
	50 000	859.56	10/18/11	669.94	.	189.62	Sale
	40 000	687.65	02/02/12	519.99	.	167.66	Sale
	40 000	687.65	03/01/12	520.00	.	167.65	Sale
	40 000	687.65	03/06/12	508.40	.	179.25	Sale
	80 000	1,375.37	08/13/12	923.00	.	452.37	Sale
11/04/13	1,340,000	23,036.35	VARIOUS	22,886.80	0.00	149.55	Total of 12 lots
DAIICHI SANKYO CO ADR 1 / CUSIP: 23381D102 / Symbol: DSNKY							
5 tax lots for 11/04/13.							
	100 000	1,831.96	11/09/10	2,209.67	.	-377.71	Sale
	375 000	6,869.87	03/14/11	7,530.15	.	-660.28	Sale
	75 000	1,373.97	04/14/11	1,402.58	.	-28.61	Sale
	140 000	2,564.75	09/21/12	2,376.12	.	188.63	Sale
	45 000	824.43	10/16/12	685.87	.	138.56	Sale
11/04/13	735 000	13,464.98	VARIOUS	14,204.39	0.00	-739.41	Total of 5 lots
DECKERS OUTDOOR CORP / CUSIP: 243537107 / Symbol: DECK							
2 tax lots for 11/04/13							
	4 000	280.00	07/20/10	180.05	.	99.95	Sale
	62 000	4,339.91	07/30/10	3,107.60	.	1,232.31	Sale
11/04/13	66 000	4,619.91	VARIOUS	3,287.65	0.00	1,332.26	Total of 2 lots
DEUTSCHE TELEKOM AG ADR / CUSIP: 251566105 / Symbol: DTEGY							
7 tax lots for 11/04/13							
	270 000	4,292.31	07/20/05	5,148.55	.	-856.24	Sale
	400 000	6,358.98	09/28/05	7,265.00	.	-906.02	Sale
	360 000	5,723.08	01/27/06	5,760.11	.	-37.03	Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
DEUTSCHE TELEKOM AG ADR / CUSIP: 251566105 / Symbol: DTEGY (cont'd)							
	60 000	953 84	02/01/06	943 01	..	10 83	Sale
	30 000	476 92	02/07/06	467 68	..	9.24	Sale
	120 000	1,907 69	03/14/07	1,953 43	..	-45 74	Sale
	30 000	476 92	07/27/07	516 14	..	-39.22	Sale
11/04/13	1,270 000	20,189 74	VARIOUS	22,053 92	0 00	-1,864 18	Total of 7 lots
DICE HOLDINGS INC / CUSIP: 253017107 / Symbol: DHX							
3 tax lots for 11/04/13							
	91 000	687 97	12/09/10	1,040 13	..	-352.16	Sale
	295 000	2,230 24	02/01/11	3,786 18	..	-1,555.94	Sale
	1 000	7 57	04/19/12	9 52	..	-1.95	Sale
11/04/13	387 000	2,925 78	VARIOUS	4,835 83	0 00	-1,910 05	Total of 3 lots
E N I SPA SPONS ADR / CUSIP: 26874R108 / Symbol: E							
18 tax lots for 11/04/13							
	120 000	6,025.41	01/20/10	6,152.22	..	-126 81	Sale
	130 000	6,527 53	01/22/10	6,490 56	..	36 97	Sale
	50 000	2,510 59	02/01/10	2,358 18	..	152 41	Sale
	20 000	1,004.23	02/05/10	893 17	..	111.06	Sale
	40 000	2,008 47	03/09/10	1,914.66	..	93 81	Sale
	10 000	502 11	03/16/10	478 51	..	23 60	Sale
	10 000	502 11	03/25/10	465 95	..	36.16	Sale
	10 000	502 11	04/08/10	460 01	..	42 10	Sale
	20 000	1,004.23	05/05/10	855 15	..	149 08	Sale
	15 000	753.17	06/29/10	560.56	..	192.61	Sale
	20 000	1,004 23	11/19/10	894 00	..	110 23	Sale
	10 000	502 11	12/03/10	419 49	..	82.62	Sale
	20 000	1,004 23	03/08/11	998 52	..	5 71	Sale
	10 000	502 11	03/15/11	465 14	..	36 97	Sale
	20 000	1,004.23	05/24/11	922 86	..	81 37	Sale
	10 000	502 11	06/27/11	438.60	..	63 51	Sale
	20 000	1,004 23	07/12/11	874 98	..	129.25	Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
E N I SPA SPONS ADR / CUSIP: 26874R108 / Symbol: E (cont'd)							
11/04/13	30 000	1,506 47	09/29/11	1,069 49	...	436.98	Sale
	565 000	28,369.68	VARIOUS	26,712 05	0 00	1,657 63	Total of 18 lots
ERICSSON TELEPHONE NEW B / CUSIP: 294821608 / Symbol: ERIC							
4 tax lots for 11/04/13.							
	300.000	3,596 96	02/22/08	3,200 36	.	396 60	Sale
	600 000	7,193 93	12/08/11	6,183 18	.	1,010 75	Sale
	275 000	3,297.21	02/09/12	2,587 78	.	709 43	Sale
	85 000	1,019 17	10/10/12	735 45	..	283.72	Sale
11/04/13	1,260.000	15,107 27	VARIOUS	12,706 77	0 00	2,400 50	Total of 4 lots
FILMC MTN 1 0 082714 / CUSIP 3137EACV9 / Symbol.							
11/05/13	99,000.000	99,554.40	08/15/12	99,536 27	.	18 13	Sale
FNMA NOTE 2 625 112014 / CUSIP 31398AZV7 / Symbol							
11/05/13	96,000 000	98,323 20	05/09/12	98,172 43	.	150 77	Sale
GDF SUEZ / CUSIP 36160B105 / Symbol. GDFZY							
4 tax lots for 11/04/13							
	200.000	4,987 91	05/14/12	4,290 04	.	697 87	Sale
	125.000	3,117 44	05/23/12	2,564 51	.	552 93	Sale
	530.000	13,217 96	07/06/12	11,869 83	.	1,348 13	Sale
	20 000	498.79	10/25/12	454.35	...	44 44	Sale
11/04/13	875.000	21,822.10	VARIOUS	19,178.73	0 00	2,643 37	Total of 4 lots
GLAXOSMITHKLINE PLC ADR / CUSIP: 37733W105 / Symbol GSK							
4 tax lots for 11/04/13							
	60 000	3,200 49	05/11/07	3,388 59	.	-188.10	Sale
	80 000	4,267 32	06/04/07	4,134.35	.	132.97	Sale

Less commissions and if shown, option premium



Robert W. Baird & Co. Incorporated

Account 35194653

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Proceeds Not Reported to the IRS

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
GLAXOSMITHKLINE PLC ADR / CUSIP: 37733W105 / Symbol: GSK (cont'd)							
	20,000	1,066.83	06/26/07	1,045.99	.	20.84	Sale
	120,000	6,400.98	07/25/07	6,310.24	...	90.74	Sale
11/04/13	280,000	14,935.62	VARIOUS	14,879.17	0.00	56.45	Total of 4 lots
GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOG							
3 tax lots for 11/04/13							
	12,000	12,275.80	01/31/05	2,350.80		9,925.00	Sale
	9,000	9,206.83	10/17/11	5,250.36	..	3,956.47	Sale
	21,000	21,482.62	04/04/12	13,297.56		8,185.06	Sale
11/04/13	42,000	42,965.25	VARIOUS	20,898.72	0.00	22,066.53	Total of 3 lots
GULFPORT ENERGY CORP NEW / CUSIP: 402635304 / Symbol: GPOR							
11/04/13	185,000	11,141.61	03/25/11	6,016.16	...	5,125.45	Sale
HITTITE MICROWAVE CORP / CUSIP: 43365Y104 / Symbol: HITT							
2 tax lots for 11/04/13.							
	28,000	1,751.45	05/22/06	947.01	.	804.44	Sale
	97,000	6,067.54	01/03/07	3,143.91		2,923.63	Sale
11/04/13	125,000	7,818.99	VARIOUS	4,090.92	0.00	3,728.07	Total of 2 lots
HONDA MTR LTD AMERN SHS / CUSIP: 438128308 / Symbol: HMC							
4 tax lots for 11/04/13							
	90,000	3,599.93	03/24/11	3,412.39	..	187.54	Sale
	150,000	5,999.89	04/11/11	5,120.58		879.31	Sale
	45,000	1,799.96	04/21/11	1,682.59	.	117.37	Sale
	30,000	1,200.01	10/20/11	909.00	.	291.01	Sale
11/04/13	315,000	12,599.79	VARIOUS	11,124.56	0.00	1,475.23	Total of 4 lots
INTEL CORP / CUSIP: 458140100 / Symbol: INTC							
3 tax lots for 11/04/13							
	278,000	6,739.15	12/11/08	3,983.54	.	2,755.61	Sale
	40,000	969.71	01/15/09	522.76		446.95	Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
INTEL CORP / CUSIP: 458140100 / Symbol: INTC (cont'd)							
11/04/13	90 000	2,181 74	10/12/12	1,934 68		247 06	Sale
	408 000	9,890 60	VARIOUS	6,440 98	0 00	3,449 62	Total of 3 lots
INTESA SANPAOLO SPA ADR / CUSIP: 46115H107 / Symbol: ISNPY							
	6 tax lots for 11/04/13						
	25 000	372.49	05/05/09	500 53		-128 04	Sale
	320 000	4,767.91	03/12/10	7,540 22		-2,772 31	Sale
	180 000	2,681.95	12/15/10	3,099 94		-417 99	Sale
	165.000	2,458.45	09/29/11	1,587 51		870.94	Sale
	90 000	1,340 97	02/23/12	1,059 45		281 52	Sale
	45.000	670.48	03/12/12	515 12		155.36	Sale
11/04/13	825.000	12,292 25	VARIOUS	14,302 77	0 00	-2,010 52	Total of 6 lots
J SAINSBURY PLC ADR NEW / CUSIP: 466249208 / Symbol: JSAIY							
	8 tax lots for 11/04/13.						
	115 000	2,919 79	01/12/05	2,344 16		575 63	Sale
	25 000	634 73	08/05/05	506 83		127 90	Sale
	40 000	1,015 58	09/05/08	958 54		57 04	Sale
	50 000	1,269 47	07/09/09	1,014 04		255 43	Sale
	25 000	634 73	10/28/09	549 26		85 47	Sale
	25 000	634 73	11/24/09	552 03		82 70	Sale
	25 000	634.73	12/09/09	518 98		115 75	Sale
	100 000	2,539 01	06/08/10	1,858 03		680 98	Sale
11/04/13	405.000	10,282 77	VARIOUS	8,301.87	0.00	1,980 90	Total of 8 lots
KONINKLIJKE ADR 2007 / CUSIP: 500467402 / Symbol: AHONY							
	6 tax lots for 11/04/13						
	713 000	13,496 84	02/12/04	5,419 57		8,077 27	Sale
	56.000	1,060.10	08/18/04	308 60		751 50	Sale
	168 000	3,180 18	10/28/05	938 65		2,241.53	Sale
	56 000	1,060 06	11/11/05	312 55		747.51	Sale
	35 000	662 53	09/27/10	479.50		183 03	Sale

Less commissions and if shown, option premium.

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
KONINKLIJKE ADR 2007 / CUSIP: 500467402 / Symbol: AHONY (cont'd)							
	70,000	1,325.07	02/24/11	941.56		383.51	Sale
11/04/13	1,098,000	20,784.78	VARIOUS	8,400.43	0.00	12,384.35	Total of 6 lots
LKQ CORP / CUSIP: 501889208 / Symbol: LKQ							
11/04/13	261,000	8,524.11	02/07/06	1,343.89		7,180.22	Sale
LILLY ELI & COMPANY / CUSIP: 532457108 / Symbol: LLY							
2 tax lots for 11/04/13							
	5,000	251.98	02/08/10	174.03	..	77.95	Sale
	210,000	10,582.23	01/06/11	7,338.81	..	3,243.42	Sale
11/04/13	215,000	10,834.21	VARIOUS	7,512.84	0.00	3,321.37	Total of 2 lots
MS&AD INS GRP HLDGS ADR / CUSIP: 553491101 / Symbol: MSADY							
4 tax lots for 11/04/13							
	530,000	6,672.58	11/30/06	10,664.75	...	-3,992.17	Sale
	30,000	377.69	02/15/07	633.79		-256.10	Sale
	660,000	8,309.25	04/10/07	13,936.31		-5,627.06	Sale
	240,000	3,021.54	09/20/07	4,241.01		-1,219.47	Sale
11/04/13	1,460,000	18,381.06	VARIOUS	29,475.86	0.00	-11,094.80	Total of 4 lots
MWI VETERINARY SUPPLY / CUSIP: 55402X105 / Symbol: MWIV							
3 tax lots for 11/04/13							
	2,000	326.41	04/20/07	72.60		253.81	Sale
	67,000	10,934.21	05/29/07	2,499.10		8,435.11	Sale
	1,000	163.19	04/19/12	89.29		73.90	Sale
11/04/13	70,000	11,423.81	VARIOUS	2,660.99	0.00	8,762.82	Total of 3 lots
MARKS & SPENCER GRP ADR / CUSIP: 570912105 / Symbol: MAKSY							
5 tax lots for 11/04/13.							
	115,000	1,791.68	07/14/03	1,274.67		517.01	Sale
	320,000	4,985.52	07/14/03	3,546.93		1,438.59	Sale
	90,000	1,402.17	09/17/03	949.88		452.29	Sale

Less commissions and if shown, option premium.

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange

Quantity

Proceeds of # stocks, bonds, etc.

Date of acquisition

Cost or other basis

Wash sale loss disallowed

Gain or loss(-)

Additional information

MARKS & SPENCER GRP ADR / CUSIP: 570912105 / Symbol: MAKSY (cont'd)

180 000

2,804.35

05/13/05

2,193.93

..

610.42

Sale

200 000

3,115.94

07/25/08

2,042.76

..

1,073.18

Sale

11/04/13

14,099.66

VARIOUS

10,008.17

0.00

4,091.49

Total of 5 lots

MASCO CORP / CUSIP: 574599106 / Symbol: MAS

4 tax lots for 11/04/13

365 000

7,650.51

05/14/08

6,781.59

..

868.92

Sale

25 000

524.00

05/21/08

457.00

..

67.00

Sale

40 000

838.41

05/05/11

529.42

..

308.99

Sale

40 000

838.44

07/06/11

480.00

..

358.44

Sale

11/04/13

9,851.36

VARIOUS

8,248.01

0.00

1,603.35

Total of 4 lots

MERCK & COMPANY INC NEW / CUSIP: 58933Y105 / Symbol: MRK

2 tax lots for 11/04/13

183 600

8,395.87

05/16/08

3,550.02

..

4,845.85

Sale

14 400

658.52

09/03/08

276.35

..

382.17

Sale

11/04/13

9,054.39

VARIOUS

3,826.37

0.00

5,228.02

Total of 2 lots

MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT

12 tax lots for 11/04/13

40 000

1,434.80

05/10/06

946.23

..

488.57

Sale

20 000

717.40

05/17/06

460.40

..

257.00

Sale

320 000

11,478.42

06/07/06

7,148.67

..

4,329.75

Sale

120 000

4,304.40

09/18/08

2,956.66

..

1,347.74

Sale

35 000

1,255.50

03/06/09

532.34

..

723.16

Sale

50 000

1,793.50

04/20/09

936.43

..

857.07

Sale

75 000

2,690.25

02/02/11

2,100.00

..

590.25

Sale

40 000

1,434.80

02/24/11

1,068.82

..

365.98

Sale

50 000

1,793.50

03/10/11

1,275.00

..

518.50

Sale

20 000

717.40

04/15/11

508.86

..

208.54

Sale

120 000

4,304.40

05/16/11

2,985.26

..

1,319.14

Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
MICROSOFT CORP / CUSIP: 594918104 / Symbol MSFT (cont'd)							
11/04/13	20 000	717 40	12/12/11	508 00		209.40	Sale
	910 000	32,641 77	VARIOUS	21,426 67	0 00	11,215.10	Total of 12 lots
MIDDLEBY CORP / CUSIP: 596278101 / Symbol MIDD							
	2 tax lots for 11/04/13						
	7 000	1,594 51	05/19/06	306 89		1,287.62	Sale
	46 000	10,478 14	03/05/07	2,378 45		8,099 69	Sale
11/04/13	53 000	12,072 65	VARIOUS	2,685.34	0 00	9,387 31	Total of 2 lots
MITSUBISHI UFJ FINL GRP / CUSIP: 606822104 / Symbol MTU							
	11 tax lots for 11/04/13						
	355 000	2,255 27	04/10/07	4,100 39		-1,845 12	Sale
	270 000	1,715 27	04/20/07	2,947 73		-1,232 46	Sale
	45 000	285.87	04/25/07	489.99		-204.12	Sale
	45 000	285.87	07/13/07	507.71		-221.84	Sale
	585 000	3,716 43	07/25/07	6,490 46		-2,774 03	Sale
	50 000	317 64	08/15/07	466 74		-149.10	Sale
	385 000	2,445.86	09/05/07	3,645.60		-1,199 74	Sale
	110 000	698 81	09/12/07	1,009 70		-310.89	Sale
	60 000	381.17	09/19/07	550.96		-169.79	Sale
	165 000	1,048 22	10/17/07	1,457 25		-409.03	Sale
	110 000	698 88	04/04/11	501.88		197 00	Sale
11/04/13	2,180 000	13,849 29	VARIOUS	22,168.41	0 00	-8,319.12	Total of 11 lots
NATIONAL OILWELL VARCO / CUSIP: 637071101 / Symbol NOV							
	3 tax lots for 11/04/13.						
	78 000	6,283 66	10/07/08	2,694 60		3,589 06	Sale
	148 000	11,922.87	01/05/09	4,242 67		7,680 20	Sale
	2 000	161 11	08/10/10	80.98		80.13	Sale
11/04/13	228 000	18,367.64	VARIOUS	7,018.25	0 00	11,349 39	Total of 3 lots

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of * stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
NIPPON TEL&TEL SPONS ADR / CUSIP: 654624105 / Symbol NTT							
10 tax lots for 11/04/13							
	120 000	3,097.55	05/07/07	3,098.04	..	-0.49	Sale
	40 000	1,032.51	05/15/07	967.32	.	65.19	Sale
	60 000	1,548.77	05/31/07	1,396.16	.	152.61	Sale
	160 000	4,130.07	06/06/07	3,657.55	..	472.52	Sale
	20 000	516.25	06/15/07	449.77	.	66.48	Sale
	100 000	2,581.29	07/13/07	2,213.69	..	367.60	Sale
	100 000	2,581.29	09/12/07	2,286.67	.	294.62	Sale
	80 000	2,065.03	10/04/07	1,918.46	.	146.57	Sale
	20 000	516.25	10/18/07	455.09	.	61.16	Sale
	100 000	2,581.29	10/26/07	2,295.91	.	285.38	Sale
11/04/13	800 000	20,650.30	VARIOUS	18,738.66	0.00	1,911.64	Total of 10 lots

OASIS PETROLEUM / CUSIP: 674215108 / Symbol OAS

2 tax lots for 11/04/13.

	54 000	2,948.34	03/17/11	1,694.92	..	1,253.42	Sale
	131 000	7,152.48	03/18/11	4,038.55	.	3,113.93	Sale
11/04/13	185 000	10,100.82	VARIOUS	5,733.47	0.00	4,367.35	Total of 2 lots

OIL COMPANY LUKOIL ADR / CUSIP: 677862104 / Symbol LUKOY

3 tax lots for 11/04/13

	140 000	9,183.83	04/24/12	8,411.28	.	772.55	Sale
	10 000	655.98	04/26/12	600.31	.	55.67	Sale
	50 000	3,279.97	06/25/12	2,582.59	.	697.38	Sale
11/04/13	200 000	13,119.78	VARIOUS	11,594.18	0.00	1,525.60	Total of 3 lots

ORANGE SPON ADR / CUSIP: 684060106 / Symbol ORAN

14 tax lots for 11/04/13

	58 000	789.39	06/16/10	1,111.20	.	-321.81	Sale
	75 000	1,020.76	06/16/10	1,436.90	.	-416.14	Sale
	25 000	340.25	07/07/10	468.69	.	-128.44	Sale
	25 000	340.25	07/07/10	468.69	.	-128.44	Sale

Less commissions and if shown, option premium



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
ORANGE SPON ADR / CUSIP: 684060106 / Symbol: ORAN (cont'd)							
	100 000	1,361.02	07/09/10	1,886.05		-525.03	Sale
	100 000	1,361.02	07/09/10	1,886.05	..	-525.03	Sale
	25 000	340.25	07/19/10	481.05	..	-140.80	Sale
	25 000	340.25	07/19/10	481.05	..	-140.80	Sale
	105 000	1,429.07	01/13/12	1,553.44		-124.37	Sale
	105 000	1,429.07	01/13/12	1,553.44		-124.37	Sale
	35 000	476.35	02/15/12	516.33	..	-39.98	Sale
	35 000	476.35	02/15/12	516.33	..	-39.98	Sale
	105 000	1,429.07	09/17/12	1,453.13	..	-24.06	Sale
	105 000	1,429.07	09/17/12	1,453.13	..	-24.06	Sale
11/04/13	923 000	12,562.17	VARIOUS	15,265.48	0 00	-2,703.31	Total of 14 lots
PNC FINL SVCS GROUP INC / CUSIP 693475105 / Symbol: PNC							
4 tax lots for 11/04/13.							
	6 989	510.25	10/04/07	4,830.52		-4,320.27	Sale
	26 404	1,927.72	05/28/08	3,841.75	..	-1,914.03	Sale
	39 607	2,891.65	05/29/08	5,774.15		-2,882.50	Sale
	40 000	2,920.34	10/17/12	2,376.63	..	543.71	Sale
11/04/13	113 000	8,249.96	VARIOUS	16,823.05	0 00	-8,573.09	Total of 4 lots
PEPSICO INC / CUSIP 713448108 / Symbol: PEP							
5 tax lots for 11/04/13							
	30 000	2,527.77	09/21/11	1,829.95	..	697.82	Sale
	150 000	12,638.77	10/14/11	9,307.49	..	3,331.28	Sale
	40 000	3,370.34	03/02/12	2,502.19		868.15	Sale
	30 000	2,527.75	03/09/12	1,890.54		637.21	Sale
	20 000	1,685.17	09/21/12	1,410.28		274.89	Sale
11/04/13	270 000	22,749.80	VARIOUS	16,940.45	0 00	5,809.35	Total of 5 lots
PFIZER INC / CUSIP: 717081103 / Symbol: PFE							
4 tax lots for 11/04/13							
	231 000	7,221.66	10/16/09	4,078.34	..	3,143.32	Sale
	90 000	2,813.63	03/09/10	1,549.62	..	1,264.01	Sale

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
PFIZER INC / CUSIP: 717081103 / Symbol: PFE (cont'd)	175 000	5,470.96	06/02/10	2,616.25	..	2,854.71	Sale
	105 000	3,282.60	07/23/10	1,520.39		1,762.21	Sale
11/04/13	601 000	18,788.85	VARIOUS	9,764.60	0.00	9,024.25	Total of 4 lots
PORTFOLIO RECOVERY ASSOC / CUSIP: 73640Q105 / Symbol: PRAA							
2 tax lots for 11/04/13.							
	16 000	942.58	06/09/03	147.11		795.47	Sale
	120 000	7,069.31	07/23/03	1,132.80		5,936.51	Sale
11/04/13	136 000	8,011.89	VARIOUS	1,279.91	0.00	6,731.98	Total of 2 lots
PRICELINE.COM INC NEW / CUSIP: 741503403 / Symbol: PCLN							
3 tax lots for 11/04/13.							
	5 000	5,325.85	05/17/11	2,515.23	..	2,810.62	Sale
	5 000	5,325.85	09/20/11	2,744.63		2,581.22	Sale
	10 000	10,651.72	10/17/11	4,965.16	..	5,686.56	Sale
11/04/13	20 000	21,303.42	VARIOUS	10,225.02	0.00	11,078.40	Total of 3 lots
PROSPERITY BANCSHS INC / CUSIP: 743606105 / Symbol: PB							
11/04/13	65 000	4,070.88	01/05/07	2,246.15		1,824.73	Sale
QUALCOMM INC / CUSIP: 747525103 / Symbol: QCOM							
2 tax lots for 11/04/13							
	137 000	9,509.11	04/20/10	5,875.86		3,633.25	Sale
	181 000	12,563.14	05/19/10	6,620.56	..	5,942.58	Sale
11/04/13	318 000	22,072.25	VARIOUS	12,496.42	0.00	9,575.83	Total of 2 lots
QUESTCOR PHARMACEUTICAL / CUSIP: 74835Y101 / Symbol: QCOR							
2 tax lots for 11/04/13.							
	174 000	10,725.20	01/06/11	2,566.39	..	8,138.81	Sale
	1 000	61.63	04/19/12	41.23		20.40	Sale
11/04/13	175 000	10,786.83	VARIOUS	2,627.62	0.00	8,159.21	Total of 2 lots

Less commissions and if shown, option premium.

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

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2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
REALPAGE INC / CUSIP: 75606N109 / Symbol: RP	98.000	2,395.33	07/26/12	2,106.10	..	289.23	Sale
11/04/13							
SALIX PHARMA LTD / CUSIP: 795435106 / Symbol: SLXP							
2 tax lots for 11/04/13							
18.000	1,324.72	06/01/12		926.63		398.09	Sale
73.000	5,372.49	06/04/12		3,759.19		1,613.30	Sale
91.000	6,697.21	VARIOUS		4,685.82	0.00	2,011.39	Total of 2 lots
11/04/13							
SANOFI SPON ADR / CUSIP: 80105N105 / Symbol: SNY							
5 tax lots for 11/04/13							
40.000	2,114.76	10/03/07		1,701.41		413.35	Sale
10.000	528.69	10/12/07		442.65		86.04	Sale
70.000	3,700.84	10/18/07		3,014.90		685.94	Sale
45.000	2,379.11	09/27/10		1,517.16		861.95	Sale
105.000	5,551.28	12/21/10		3,393.38		2,157.90	Sale
270.000	14,274.68	VARIOUS		10,069.50	0.00	4,205.18	Total of 5 lots
11/04/13							
SCHLUMBERGER LTD / CUSIP: 806857108 / Symbol: SLB							
7 tax lots for 11/04/13							
65.000	6,070.40	05/03/06		4,692.65		1,377.75	Sale
106.000	9,899.43	11/20/06		6,703.99		3,195.44	Sale
26.000	2,428.16	12/13/06		1,747.61		680.55	Sale
16.000	1,494.25	12/14/06		1,084.41		409.84	Sale
12.000	1,120.72	01/05/09		570.63		550.09	Sale
8.000	747.12	04/13/10		518.47		228.65	Sale
58.000	5,416.67	09/26/12		4,198.96		1,217.71	Sale
291.000	27,176.75	VARIOUS		19,516.72	0.00	7,660.03	Total of 7 lots
11/04/13							
SIRONA DENTAL SYS INC / CUSIP: 82966C103 / Symbol: SIRO							
61.000	4,416.32	08/07/09		1,435.07		2,981.25	Sale
11/04/13							

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
SMITH AO / CUSIP: 831865209 / Symbol: AOS							
2 tax lots for 11/04/13							
	87 000	4,545 68	03/04/11	1,788 61	.	2,757 07	Sale
11/04/13	204 000	10,658 83	04/13/11	4,277 06	.	6,381 77	Sale
	291 000	15,204 51	VARIOUS	6,065.67	0.00	9,138 84	Total of 2 lots
SOLERA HLDGS INC / CUSIP: 83421A104 / Symbol: SLH							
2 tax lots for 11/04/13.							
	61 000	3,464 14	05/05/08	1,577 00	.	1,887.14	Sale
11/04/13	79 000	4,486 33	08/27/08	2,175 27	.	2,311 06	Sale
	140 000	7,950 47	VARIOUS	3,752.27	0.00	4,198.20	Total of 2 lots
STATE STREET CORP / CUSIP: 857477103 / Symbol: STT							
2 tax lots for 11/04/13.							
	220 000	15,582 87	03/12/12	9,117 33	.	6,465 54	Sale
11/04/13	45 000	3,187 42	08/24/12	1,847 35	.	1,340 07	Sale
	265 000	18,770.29	VARIOUS	10,964 68	0.00	7,805.61	Total of 2 lots
SWISS RE LTD ADR / CUSIP: 870886108 / Symbol: SSREY							
3 tax lots for 11/04/13.							
	180 000	15,733 52	06/01/11	10,839 20	.	4,894.32	Sale
	20 000	1,748 16	07/06/11	1,144 89	..	603 27	Sale
	60 000	5,244 52	07/11/11	3,318 28	...	1,926 24	Sale
11/04/13	260 000	22,726.20	VARIOUS	15,302.37	0.00	7,423 83	Total of 3 lots
TAKEDA PHARMA CO LTD ADR / CUSIP: 874060205 / Symbol: TKPYV							
5 tax lots for 11/04/13.							
	40 000	951 58	01/07/11	957.62	..	-6.04	Sale
	60 000	1,427 37	03/24/11	1,447 04	.	-19 67	Sale
	320 000	7,612 66	05/12/11	7,692 67	.	-80 01	Sale
	20 000	475.81	07/12/11	460 89	.	14.92	Sale

Less commissions and if shown, option premium



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
TAKEDA PHARMA CO LTD ADR / CUSIP: 874060205 / Symbol: TKPY (cont'd)							
11/04/13	45 000	1,070.53	09/25/12	1,056.55		13.98	Sale
	485 000	11,537.95	VARIOUS	11,614.77	0.00	-76.82	Total of 5 lots
TELECOM ITALIA SPA ADR / CUSIP: 87927Y102 / Symbol: TI							
10 tax lots for 11/04/13							
	113 000	1,103.05	09/28/06	3,181.19		-2,078.14	Sale
	600 000	5,856.91	10/11/06	17,452.50		-11,595.59	Sale
	15 000	146.42	02/07/07	452.52		-306.10	Sale
	120 000	1,171.38	02/13/07	3,666.84		-2,495.46	Sale
	120 000	1,171.38	03/21/07	3,427.37		-2,255.99	Sale
	120 000	1,171.38	06/06/07	3,435.20		-2,263.82	Sale
	40 000	390.46	06/15/07	1,134.31		-743.85	Sale
	75 000	732.11	05/16/08	1,680.95		-948.84	Sale
	150 000	1,464.22	08/01/08	2,686.65		-1,222.43	Sale
	60 000	585.73	08/13/08	1,041.68		-455.95	Sale
11/04/13	1,413 000	13,793.04	VARIOUS	38,159.21	0.00	-24,366.17	Total of 10 lots
TELEFONICA S A SPONS ADR / CUSIP: 879382208 / Symbol: TEF							
3 tax lots for 11/04/13							
	215 000	3,646.93	01/27/06	3,210.38		436.55	Sale
	61 000	1,034.71	05/05/09	1,185.16		-150.45	Sale
	124 000	2,103.34	02/16/12	2,004.06		99.28	Sale
11/04/13	400 000	6,784.98	VARIOUS	6,399.60	0.00	385.38	Total of 3 lots
TEXAS ROADHOUSE INC A / CUSIP: 882681109 / Symbol: TXRH							
2 tax lots for 11/04/13							
	130 000	3,534.82	06/07/12	2,296.78		1,238.04	Sale
	135 000	3,670.77	06/13/12	2,388.33		1,282.44	Sale
11/04/13	265 000	7,205.59	VARIOUS	4,685.11	0.00	2,520.48	Total of 2 lots

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS (continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
TOYOTA MTR CORP SPON ADR / CUSIP: 892331307 / Symbol: TM							
8 tax lots for 11/04/13							
	50 000	6,482.54	10/22/09	3,911.74	.	2,570.80	Sale
	5,000	648.25	11/20/09	386.52	..	261.73	Sale
	5,000	648.30	07/30/10	353.07	.	295.23	Sale
	5,000	648.25	10/07/10	358.43	.	289.82	Sale
	10 000	1,296.50	12/27/10	779.86	..	516.64	Sale
	20,000	2,593.01	01/19/11	1,702.98	.	890.03	Sale
	15,000	1,944.76	04/14/11	1,174.13	..	770.63	Sale
	15 000	1,944.76	05/06/11	1,196.75	..	748.01	Sale
11/04/13	125 000	16,206.37	VARIOUS	9,863.48	0.00	6,342.89	Total of 8 lots
TRIUMPH GROUP INC / CUSIP: 896818101 / Symbol: TGI							
2 tax lots for 11/04/13.							
	33 000	2,379.97	08/26/11	1,581.92	.	798.05	Sale
	76 000	5,481.12	11/01/11	4,070.51	.	1,410.61	Sale
11/04/13	109,000	7,861.09	VARIOUS	5,652.43	0.00	2,208.66	Total of 2 lots
UNILEVER N V NY SHS NEW / CUSIP: 904784709 / Symbol: UN							
5 tax lots for 11/04/13							
	20 000	791.84	02/02/11	607.70	..	184.14	Sale
	30 000	1,187.79	02/24/11	896.43	.	291.36	Sale
	15,000	593.88	04/28/11	496.44	..	97.44	Sale
	105 000	4,157.19	04/29/11	3,437.99	..	719.20	Sale
	180,000	7,126.61	02/29/12	6,009.12	0.00	1,117.49	Sale
11/04/13	350 000	13,857.31	VARIOUS	11,447.68	0.00	2,409.63	Total of 5 lots
UST NOTE 4 25 081515 / CUSIP: 912828EE6 / Symbol:							
6 tax lots for 11/05/13							
	8,000 000	8,552.00	10/31/07	7,972.50	.	579.50	Sale
	25,000 000	26,725.00	01/31/08	25,389.91	.	1,335.09	Sale
							Note: AA
							Original basis \$26,500.00
							Sale
							Note: AA
							Original basis. \$56,929.67

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

2013

Proceeds Not Reported to the IRS

(continued)

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
TIM PARTICIPACOEES ADR / CUSIP: 88706P205 / Symbol TSU							
5 tax lots for 11/04/13.							
	145 000	3,731.27	07/23/12	2,971.49	..	759.78	Sale
	30 000	771.98	08/02/12	637.50	.	134.48	Sale
	25 000	643.32	09/19/12	511.50	.	131.82	Sale
	50 000	1,286.64	10/09/12	887.84	.	398.80	Sale
	250 000	6,433.23	10/15/12	4,442.50	.	1,990.73	Sale
11/04/13	500 000	12,866.44	VARIOUS	9,450.83	0.00	3,415.61	Total of 5 lots
TOKIO MARINE HLDGS ADR / CUSIP: 889094108 / Symbol TKOMY							
3 tax lots for 11/04/13							
	297 000	9,569.19	01/26/04	8,178.93	.	1,390.26	Sale
	15 000	483.29	12/20/07	484.24	.	-0.95	Sale
	165 000	5,316.20	01/07/08	5,877.60	.	-561.40	Sale
11/04/13	477 000	15,368.68	VARIOUS	14,540.77	0.00	827.91	Total of 3 lots
TOTAL S A SPONS ADR / CUSIP: 89151E109 / Symbol. TOT							
11 tax lots for 11/04/13							
	100 000	6,033.36	04/16/10	5,808.23	.	225.13	Sale
	70 000	4,223.35	04/22/10	4,022.54	..	200.81	Sale
	90 000	5,430.02	04/26/10	5,132.31	.	297.71	Sale
	20 000	1,206.67	04/29/10	1,102.46	.	104.21	Sale
	10 000	603.33	05/21/10	470.00	.	133.33	Sale
	50 000	3,016.68	07/14/10	2,460.39	.	556.29	Sale
	20 000	1,206.67	07/26/10	979.52	.	227.15	Sale
	10 000	603.33	09/24/10	500.27	.	103.06	Sale
	20 000	1,206.67	12/21/10	1,065.20	.	141.47	Sale
	10 000	603.33	12/23/10	530.63	.	72.70	Sale
	20 000	1,206.73	09/23/11	834.77	.	371.96	Sale
11/04/13	420 000	25,340.14	VARIOUS	22,906.32	0.00	2,433.82	Total of 11 lots

Less commissions and if shown, option premium



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
UST NOTE 4 25 081515 / CUSIP: 912828EE6 / Symbol: (cont'd)	1,000 000	1,069 00	02/24/11	1,041 51	...	27 49	Sale Note AA Original basis \$1,101 84
	3,000 000	3,207 00	08/03/12	3,206 66		0 34	Sale Note AA Original basis \$3,351 92
	1,000 000	1,069 00	10/18/12	1,068 11		0 89	Sale Note AA Original basis \$1,108 09
11/05/13	91,000 000	97,279 00	VARIOUS	92,796 93	0 00	4,482 07	Total of 6 lots
UST NOTE 4 625 111516 / CUSIP: 912828FY1 / Symbol							
4 tax lots for 11/05/13	11,000 000	12,290 30	07/23/07	10,892 19		1,398 11	Sale Note AA Original basis \$10,713 83
	10,000 000	11,173 00	10/31/07	10,066 69	..	1,106 31	Sale Note AA Original basis \$10,175 00
	72,000 000	80,445 60	06/23/11	78,464 39	..	1,981 21	Sale Note AA Original basis \$83,298 10
	4,000 000	4,469 20	10/25/12	4,479 77	...	-10 57	Sale Note AA Original basis \$4,640 95
11/05/13	97,000 000	108,378 10	VARIOUS	103,903 04	0 00	4,475 06	Total of 4 lots
UST NOTE 3 625 021520 / CUSIP: 912828MP2 / Symbol							
3 tax lots for 11/05/13	33,000 000	36,564 00	05/20/10	33,685 78		2,878 22	Sale Note AA Original basis \$34,006 90
	21,000 000	23,268 00	08/18/11	23,365 62		-97 62	Sale Note AA Original basis \$24,140 24

Less commissions and if shown, option premium



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of * stocks, bonds, etc. (cont'd)	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
UST NOTE 3.625 021520 / CUSIP: 912828MP2 / Symbol: 1,000 000		1,108 00	10/18/12	1,140 13		-32.13	Sale Note AA Original basis \$1,162.46 Total of 3 lots
11/05/13	55,000 000	60,940 00	VARIOUS	58,191.53	0 00	2,748 47	
UST NOTE 1 75 051522 / CUSIP: 912828SV3 / Symbol: 25,000 000		23,533 12	06/27/12	25,248 05		-1,714 93	Sale Note AA Original basis \$25,284.28
11/05/13							
VISA INC CLASS A / CUSIP: 92826C839 / Symbol: V							
2 tax lots for 11/04/13							
57,000		11,197.60	10/04/10	4,202 63	..	6,994.97	Sale
78,000		15,323.02	03/01/11	5,821 07	..	9,501.95	Sale
135,000		26,520.62	VARIOUS	10,023.70	0 00	16,496 92	Total of 2 lots
11/04/13							
VITAMIN SHOPPE INC / CUSIP: 92849E101 / Symbol: VSI							
2 tax lots for 11/04/13							
53 000		2,378 22	07/11/11	2,421 31	..	-43.09	Sale
70,000		3,141 05	09/02/11	3,029.12	..	111.93	Sale
123,000		5,519.27	VARIOUS	5,450.43	0.00	68 84	Total of 2 lots
11/04/13							
WAGEWORKS INC / CUSIP: 930427109 / Symbol: WAGE							
11/04/13	178 000	9,790 71	05/10/12	1,824 50	.	7,966.21	Sale
WASTE CONNECTIONS INC / CUSIP: 941053100 / Symbol: WCN							
2 tax lots for 11/04/13							
115 353		4,928.95	12/09/02	1,275 90	...	3,653.05	Sale
117,647		5,026.98	07/23/03	1,175 56	...	3,851.42	Sale
233 000		9,955.93	VARIOUS	2,451.46	0 00	7,504 47	Total of 2 lots
11/04/13							

Less commissions and if shown, option premium

Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of # stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional Information
WATSCO INC A / CUSIP 9426222200 / Symbol: WSO							
2 tax lots for 11/04/13							
11/04/13	20 000	1,940.44	05/30/12	1,451.94	.	488.50	Sale
	53 000	5,142.19	06/01/12	3,814.25	..	1,327.94	Sale
	73 000	7,082.63	VARIOUS	5,266.19	0.00	1,816.44	Total of 2 lots
WELLS FARGO & CO NEW / CUSIP: 949746101 / Symbol: WFC							
16 tax lots for 11/04/13							
	107,335	4,580.44	04/23/08	14,114.68	.	-9,534.24	Sale
	17,889	763.39	05/05/08	2,687.73	.	-1,924.34	Sale
	8,944	381.67	05/07/08	1,320.14	.	-938.47	Sale
	11,926	508.93	05/13/08	1,673.37	.	-1,164.44	Sale
	7,950	339.26	05/16/08	1,084.46	..	-745.20	Sale
	19,876	848.19	05/28/08	2,349.41	..	-1,501.22	Sale
	11,926	508.93	06/04/08	1,320.49	..	-811.56	Sale
	5,963	254.46	06/23/08	505.48	...	-251.02	Sale
	5,963	254.46	06/25/08	538.73	.	-284.27	Sale
	125,224	5,343.84	07/16/08	6,161.23	.	-817.39	Sale
	80,000	3,413.94	06/10/09	1,988.21	..	1,425.73	Sale
	40,000	1,707.03	07/02/09	939.45	..	767.58	Sale
	20,000	853.48	07/31/09	491.40	..	362.08	Sale
	60,000	2,560.45	08/19/09	1,580.40	..	980.05	Sale
	20,000	853.48	10/02/09	524.17	..	329.31	Sale
	40,004	1,707.14	12/10/09	1,024.85	...	682.29	Sale
11/04/13	583,000	24,879.09	VARIOUS	38,304.20	0.00	-13,425.11	Total of 16 lots
WESTERN DIGITAL CORP / CUSIP: 958102105 / Symbol: WDC							
6 tax lots for 11/04/13							
	120,000	8,618.28	09/10/10	3,061.64	.	5,556.64	Sale
	20,000	1,436.37	10/06/10	558.97	..	877.40	Sale
	135,000	9,695.52	01/19/11	4,434.53	..	5,260.99	Sale
	30,000	2,154.56	05/13/11	1,140.00	..	1,014.56	Sale
	15,000	1,077.28	05/25/11	538.78	..	538.50	Sale

Less commissions and if shown, option premium



Robert W. Baird & Co. Incorporated

Account 35194653

Proceeds Not Reported to the IRS

(continued)

2013

LONG-TERM TRANSACTIONS NOT reported on Form 1099-B

Report on Form 8949, Part II, with Box F checked

Description / CUSIP / Symbol

Date of Sale or exchange	Quantity	Proceeds of stocks, bonds, etc.	Date of acquisition	Cost or other basis	Wash sale loss disallowed	Gain or loss(-)	Additional information
WESTERN DIGITAL CORP / CUSIP 958102105 / Symbol WDC (cont'd)							
11/04/13	80 000	5,745 49	10/27/11	2,166 78		3,578 71	Sale
	400 000	28,727 50	VARIOUS	11,900.70	0 00	16,826.80	Total of 6 lots
WEX INC / CUSIP. 96208T104 / Symbol. WEX							
2 tax lots for 11/04/13							
	24 000	2,271 47	05/17/07	762.40		1,509 07	Sale
	124 000	11,735 89	07/24/07	4,190 87		7,545 02	Sale
11/04/13	148 000	14,007 36	VARIOUS	4,953 27	0.00	9,054.09	Total of 2 lots
WOLVERINE WORLD WIDE INC / CUSIP. 978097103 / Symbol WWW							
2 tax lots for 11/04/13							
	50 500	1,491.30	07/18/03	324 68		1,166 62	Sale
	112 500	3,322.23	07/23/03	705.00		2,617.23	Sale
11/04/13	163 000	4,813 53	VARIOUS	1,029.68	0.00	3,783 85	Total of 2 lots
2 tax lots for 11/05/13							
	50 500	1,495 22	07/18/03	324 67		1,170 55	Sale
	112 500	3,330 96	07/23/03	705.00		2,625 96	Sale
11/05/13	163.000	4,826.18	VARIOUS	1,029.67	0.00	3,796 51	Total of 2 lots
Security total		9,639.71		2,059 35	0 00	7,580 36	
TE CONNECTIVITY LTD / CUSIP. H84989104 / Symbol TEL							
4 tax lots for 11/04/13.							
	15.000	776 87	10/23/08	287 68		489 19	Sale
	60 000	3,107 52	07/08/09	986 56		2,120.96	Sale
	95 000	4,920.19	09/26/12	3,197.11	...	1,723.08	Sale
	85 000	4,402 28	10/26/12	2,719.74		1,682.54	Sale
11/04/13	255.000	13,206 86	VARIOUS	7,191 09	0 00	6,015.77	Total of 4 lots

Totals: 2,027,089.17

333,257.26

adjust for 11/04/13

14,158.46

Less commissions and if shown, option premium

1,707,990.37

319,098.80

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

► **File a separate application for each return.**

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions RUTH AND ROBERT ST. JOHN FOUNDATION, INC C/O VERNON J. NILY, PRESIDENT	Employer identification number (EIN) or 52-1709184
	Number, street, and room or suite no. If a P.O. box, see instructions. P. O. BOX 2183	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. EASTON, MD 21601	

Enter the Return code for the return that this application is for (file a separate application for each return)

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Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

L. STEPHEN SATCHELL, TREASURER

- The books are in the care of ► **P.O. BOX 2183 - EASTON, MD 21601**

Telephone No. ► **410-822-2140**

Fax No ► **410-763-9194**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ► ☐ If it is for part of the group, check this box ► ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for.
- ☒ calendar year **2013** or
- ☐ tax year beginning , and ending

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	12,080.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	2,920.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	9,160.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.