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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation SCHUMANN FUND FOR NEW JERSEY, INC.		A Employer identification number 52-1556076
Number and street (or P O box number if mail is not delivered to street address) 21 VAN VLECK STREET	Room/suite	B Telephone number 973-509-9883
City or town, state or province, country, and ZIP or foreign postal code MONTCLAIR, NJ 07042		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 27,025,807.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch 8				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	475,749.	474,886.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,880,668.			
	b Gross sales price for all assets on line 6a	7,372,856.			
	7 Capital gain net income (from Part IV, line 2)		1,927,164.		
	8 Net short-term capital gain				
	9 Income modifications				
Operating and Administrative Expenses	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	100,882.	67,532.		STATEMENT 2
	12 Total. Add lines 1 through 11	2,457,299.	2,469,582.		
	13 Compensation of officers, directors, trustees, etc	171,925.	34,385.		137,540.
	14 Other employee salaries and wages	96,086.	0.		96,086.
	15 Pension plans, employee benefits	61,888.	7,940.		53,948.
	16a Legal fees				
	b Accounting fees STMT 3	60,040.	23,436.		36,604.
	c Other professional fees STMT 4	238,778.	230,648.		4,045.
17 Interest	1,259.	1,259.		0.	
18 Taxes STMT 5	60,560.	32,310.		0.	
19 Depreciation and depletion					
20 Occupancy	21,846.	0.		21,846.	
21 Travel, conferences, and meetings	21,607.	0.		21,607.	
22 Printing and publications					
23 Other expenses STMT 6	21,404.	0.		21,404.	
24 Total operating and administrative expenses. Add lines 13 through 23	755,393.	329,978.		393,080.	
25 Contributions, gifts, grants paid	1,442,800.			1,375,300.	
26 Total expenses and disbursements. Add lines 24 and 25	2,198,193.	329,978.		1,768,380.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	259,106.				
b Net investment income (if negative, enter -0-)		2,139,604.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	290,478.	470,169.	470,169.
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 8	1,438,070.	624,253.	624,253.
	b Investments - corporate stock STMT 9	6,111,888.	7,876,688.	7,876,688.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶ Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	16,343,318.	18,027,827.	18,027,827.
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation ▶			
	15 Other assets (describe ▶ OTHER ASSETS)	23,679.	26,870.	26,870.
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	24,207,433.	27,025,807.	27,025,807.
Liabilities	17 Accounts payable and accrued expenses	1,680.		
	18 Grants payable	307,500.	375,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 11)	45,755.	95,840.	
	23 Total liabilities (add lines 17 through 22)	354,935.	470,840.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	23,852,498.	26,554,967.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	23,852,498.	26,554,967.	
	31 Total liabilities and net assets/fund balances	24,207,433.	27,025,807.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 23,852,498.
2 Enter amount from Part I, line 27a	2 259,106.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3 2,493,448.
4 Add lines 1, 2, and 3	4 26,605,052.
5 Decreases not included in line 2 (itemize) ▶ CHANGE IN DEFERRED EXCISE TAX	5 50,085.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6 26,554,967.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SALE OF PUBLIC SECURITIES - SEE ATTACHMENT C		P	VARIOUS	VARIOUS
b SALE OF NON-PUBLIC SECURITIES - SEE ATTACHMENT C		P	VARIOUS	VARIOUS
c ATTACHMENT C				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 8,878,185.		7,746,744.	1,131,441.
b			
c			795,723.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,131,441.
b			
c			795,723.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	1,927,164.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,501,340.	23,572,627.	.063690
2011	1,655,399.	23,897,709.	.069270
2010	1,415,002.	23,934,487.	.059120
2009	1,658,140.	23,128,048.	.071694
2008	2,254,583.	28,962,866.	.077844

2 Total of line 1, column (d)	2	.341618
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.068324
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	24,351,034.
5 Multiply line 4 by line 3	5	1,663,760.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	21,396.
7 Add lines 5 and 6	7	1,685,156.
8 Enter qualifying distributions from Part XII, line 4	8	1,768,380.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	21,396.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	21,396.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	21,396.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	36,770.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	36,770.
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	15,374.
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► HTTP://FOUNDATIONCENTER.ORG/GRANTMAKER/SCHUMANN/

14 The books are in care of ► THE CORPORATION Telephone no. ► 973-509-9883
 Located at ► 21 VAN VLECK STREET, MONTCLAIR, NJ ZIP+4 ► 07042

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year
 ► 15 N/A

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►

16	Yes	No
		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► _____	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► _____	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4a	X
	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		171,925.	17,111.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNETTE STRICKLAND - 21 VAN VLECK STREET, MONTCLAIR, NJ 07042	PROGRAM AND ADMINISTRATIVE OFFICER	96,084.	15,807.	0.

Total number of other employees paid over \$50,000

2

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	24,692,330.
b	Average of monthly cash balances	1b	29,532.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	24,721,862.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	24,721,862.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	370,828.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	24,351,034.
6	Minimum investment return. Enter 5% of line 5	6	1,217,552.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,217,552.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	21,396.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	21,396.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,196,156.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,196,156.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,196,156.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,768,380.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,768,380.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	21,396.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,746,984.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,196,156.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	815,666.			
b From 2009	508,242.			
c From 2010	231,753.			
d From 2011	458,412.			
e From 2012	330,423.			
f Total of lines 3a through e	2,344,496.			
4 Qualifying distributions for 2013 from Part XII, line 4. ▶ \$	1,768,380.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,196,156.
e Remaining amount distributed out of corpus	572,224.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	2,916,720.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	815,666.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,101,054.			
10 Analysis of line 9:				
a Excess from 2009	508,242.			
b Excess from 2010	231,753.			
c Excess from 2011	458,412.			
d Excess from 2012	330,423.			
e Excess from 2013	572,224.			

Part XV Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT E	N/A	PUBLIC CHARITIES	SEE ATTACHMENT E	1,375,300.
Total			▶ 3a	1,375,300.
b Approved for future payment				
SEE ATTACHMENT E	N/A	PUBLIC CHARITIES	SEE ATTACHMENT E	375,000.
Total			▶ 3b	375,000.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
|---|--|-------|----|
| | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

of which preparer has any

EXECUTIVE
DIRECTOR

Title

Title

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
**CHRISTOPHER
PETERMANN**

Preparer's signature

Date _____

Check ☐ if
self-employed

PTIN

P00097440

Firm's name ▶ O'CONNOR DAVIES, LLP

Firm's EIN ▶ 27-1728945

Firm's address ► 665 FIFTH AVENUE
NEW YORK, NY 10022

Phone no. (212) 286-2600

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME	432,900.	0.	432,900.	432,900.	
INTEREST INCOME	42,849.	0.	42,849.	41,986.	
TO PART I, LINE 4	475,749.	0.	475,749.	474,886.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER PARTNERSHIP INCOME FROM K-1'S	97,013.	63,663.	
OTHER INVESTMENT INCOME	3,869.	3,869.	
TOTAL TO FORM 990-PF, PART I, LINE 11	100,882.	67,532.	

FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	60,040.	23,436.		36,604.
TO FORM 990-PF, PG 1, LN 16B	60,040.	23,436.		36,604.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	234,733.	230,648.		0.
CONSULTANTS	4,045.	0.		4,045.
TO FORM 990-PF, PG 1, LN 16C	238,778.	230,648.		4,045.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAX	32,310.	32,310.		0.	
FEDERAL EXCISE TAX	28,250.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	60,560.	32,310.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INSURANCE	3,234.	0.		3,234.	
TELEPHONE	3,893.	0.		3,893.	
DUES/MEMBER FEES	4,195.	0.		4,195.	
EQUIPMENT	4,849.	0.		4,849.	
OFFICE SUPPLIES	1,265.	0.		1,265.	
POSTAGE AND SHIPPING	783.	0.		783.	
MISCELLANEOUS	685.	0.		685.	
ANNUAL REPORT	2,500.	0.		2,500.	
TO FORM 990-PF, PG 1, LN 23	21,404.	0.		21,404.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES			STATEMENT	7
DESCRIPTION	AMOUNT				
UNREALIZED GAIN ON INVESTMENTS	2,471,530.				
ADJUST OPENING NET ASSETS	21,918.				
TOTAL TO FORM 990-PF, PART III, LINE 3	2,493,448.				

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	8
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT OBLIGATIONS	X		624,253.	624,253.
TOTAL U.S. GOVERNMENT OBLIGATIONS			624,253.	624,253.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			624,253.	624,253.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHMENT A	7,876,688.	7,876,688.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,876,688.	7,876,688.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
OTHER INVESTMENTS - SEE ATTACHMENT B	FMV		
		14,321,834.	14,321,834.
MUTUAL FUNDS - SEE ATTACHMENT B	FMV	3,705,993.	3,705,993.
TOTAL TO FORM 990-PF, PART II, LINE 13		18,027,827.	18,027,827.

FORM 990-PF	OTHER LIABILITIES	STATEMENT	11
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
DEFERRED FEDERAL EXCISE TAX	45,755.	95,840.
TOTAL TO FORM 990-PF, PART II, LINE 22	45,755.	95,840.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
AUBIN Z. AMES 21 VAN VLECK STREET MONTCLAIR, NJ 07042	TRUSTEE 2.00	0.	0.	0.
LEONARD S. COLEMAN 21 VAN VLECK STREET MONTCLAIR, NJ 07042	CHAIR/TRUSTEE 2.00	0.	0.	0.
CHRISTOPHER DAGGETT 21 VAN VLECK STREET MONTCLAIR, NJ 07042	V CHAIR/TRUSTEE 1.00	0.	0.	0.
ROGER PRATT 21 VAN VLECK STREET MONTCLAIR, NJ 07042	TRUSTEE 2.00	0.	0.	0.
ANTHONY CICATIELLO 21 VAN VLECK STREET MONTCLAIR, NJ 07042	SECRETARY/TREASURER/TRUSTEE 1.00	0.	0.	0.
MARTHA BONSALE DAY 21 VAN VLECK STREET MONTCLAIR, NJ 07042	TRUSTEE 1.00	0.	0.	0.
BARBARA BELL COLEMAN 21 VAN VLECK STREET MONTCLAIR, NJ 07042	TRUSTEE 1.00	0.	0.	0.
BARBARA REISMAN 21 VAN VLECK STREET MONTCLAIR, NJ 07042	EXECUTIVE DIRECTOR 50.00	171,925.	17,111.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		171,925.	17,111.	0.

Schumann Fund for New Jersey, Inc
Attachment A
Form 990-PF Part II Balance Sheet
Line 10b Investments - Corporate Stocks

<u>Security</u>	<u>Shares</u>	<u>Quote/Price</u>	<u>Cost Basis</u>	<u>Gain/Loss</u>	<u>Balance</u>
3M Company	206	140	18,159	10,732	28,892
ABB LTD	609	27	12,959	3,216	16,175
Abbott Laboratories	998	38	17,122	21,131	38,253
Acadia Realty Trust	223	25	5,722	(185)	5,537
Adidas	252	64	11,926	4,158	16,084
Adobe Systems	797	60	27,411	20,313	47,724
AES Corp	959	15	14,310	(395)	13,915
Aflac	748	67	31,488	18,479	49,966
Agilent Technologies	319	57	13,258	4,985	18,244
Air Products & Chemicals	126	112	11,092	2,992	14,084
Airgas	107	112	11,433	535	11,968
Allergan Inc	437	111	29,113	19,429	48,542
Allianz	731	18	11,038	2,092	13,130
Altria Group	863	38	24,179	8,952	33,131
Amadeus IT Hldg	380	43	9,707	6,580	16,287
Amazon.Com	81	399	14,997	17,305	32,302
Amcor Ltd	286	44	12,777	(279)	12,498
American Campus Cmnty	228	32	8,073	(729)	7,344
American Intl Group	827	51	29,377	12,841	42,218
American Tower	573	80	29,233	16,504	45,737
Amgen	124	114	13,093	1,053	14,146
Amphenol	108	89	5,566	4,065	9,631
Anadarko Petroleum	183	79	16,173	(1,657)	14,516
Antero Resources	103	63	5,496	1,038	6,534
Apache Corp	613	86	55,607	(2,926)	52,681
Apple	223	561	101,221	23,887	125,107
Avago Technologies	381	53	14,091	6,056	20,147
Avalonbay Communities	213	118	24,803	380	25,183
Baidu	89	178	8,091	7,740	15,831
Banco Bradesco	1,143 00	13	16,547	(2,225)	14,322
Bard C R	115	134	12,553	2,851	15,403
Baxter International	437	70	26,854	3,540	30,393
Beam	171	68	11,462	176	11,638
Becton Dickinson	111	110	10,762	1,502	12,264
Belle International	539	12	8,114	(1,878)	6,236
Blackstone Mortgage Trust	150	27	3,980	89	4,070
Boeing	137	136	12,538	6,161	18,699
Boston Properties	168	100	15,913	949	16,862
Boston Scientific	2,395 00	12	16,148	12,639	28,788
Brambles	657	16	10,600	156	10,756
BRE Properties	171	55	8,470	886	9,355
British American Tobacco	194	107	20,332	507	20,839
British Sky Broadcasting	313	56	15,795	1,706	17,501
Bunzl	763	24	14,131	4,193	18,324
Camden Property Trust	188	57	10,747	(54)	10,693
Cameron International	207	60	12,769	(447)	12,323
Campbell Soup	560	43	22,671	1,566	24,237
Canadian National Railway	198	57	8,941	2,349	11,290
Carlsberg	683	22	14,623	515	15,138
Caterpillar	179	91	15,859	396	16,255

<u>Security</u>	<u>Shares</u>	<u>Quote/Price</u>	<u>Cost Basis</u>	<u>Gain/Loss</u>	<u>Balance</u>
CBL & Assoc Properties	361	18	6,755	(271)	6,484
CBOE Holdings	917	52	26,434	21,213	47,647
CBRE Group	1,017 00	26	20,486	6,261	26,747
Celgene	395	169	35,087	31,656	66,742
Cenovus Energy	243	29	7,403	(441)	6,962
Centrica	573	23	12,627	572	13,199
CF Industries	114	233	17,314	9,253	26,567
CGI Group	388	33	10,205	2,778	12,982
Chesapeake Lodging Trust	370	25	7,743	1,614	9,357
Cheung Kong Hldgs	790	16	10,857	1,614	12,471
Chevron	201	125	20,223	4,883	25,107
China Mobile Limited	129	52	6,900	(155)	6,745
Chipotle Mexican Grill	27	533	9,792	4,593	14,385
Cielo	264	28	6,885	462	7,346
Cimarex Energy	203	105	17,683	3,614	21,297
Cisco Systems	1,140 00	22	22,063	3,507	25,570
Citigroup	982	52	37,634	13,538	51,172
CME Group	334	78	17,838	8,368	26,206
CNOOC	90	188	16,778	112	16,889
Coach	466	56	29,015	(2,858)	26,157
Coca-Cola Amatil	295	22	8,055	(1,705)	6,350
Coca-Cola Company	236	41	10,022	(273)	9,749
Colgate-Palmolive	517	65	30,577	3,136	33,714
Comcast Class A	555	52	19,316	9,524	28,841
Compass Group	1,451 00	16	17,479	5,785	23,264
Conagra	783	34	18,246	8,142	26,387
Continental Resources	246	113	24,270	3,410	27,680
Corporate Office Properties Trust	253	24	5,612	381	5,994
Costco Wholesale	271	119	18,350	13,904	32,254
Crown Castle Intl	864	73	27,613	35,830	63,444
Cubsmart	421	16	6,895	(184)	6,711
Cummins	93	141	10,930	2,181	13,110
Danaher	301	77	14,849	8,388	23,237
DCT Industrial Trust	1,134 00	7	8,381	(295)	8,085
DDR Corp	604	15	8,237	1,046	9,283
Denso	396	26	7,613	2,842	10,455
Deutsche Boerse	1,096 00	8	6,552	2,539	9,091
Deutsche Post	279	37	7,255	2,933	10,188
Deutsche Telekom	686	17	9,399	2,351	11,750
Devon Energy	340	62	21,457	(421)	21,036
Discover Financial	783	56	26,505	17,304	43,809
Discovery Communications	100	90	3,939	5,103	9,042
Dollar General	434	60	21,078	5,101	26,179
Douglas Emmett	323	23	8,051	(529)	7,523
Dover	297	97	17,372	11,300	28,672
Eaton Vance	860	43	21,916	14,884	36,799
Ebay	1,114 00	55	61,526	(406)	61,120
EMC Corp	990	25	23,859	1,040	24,899
Emeritus	152	22	3,754	(466)	3,288
Empire State Realty Trust	256	15	3,416	501	3,917
Encana	380	18	6,942	(83)	6,859
Endo Health Solutions	149	67	5,689	4,363	10,052
EOG Resources	666	168	63,249	48,533	111,781
Equinix	155	177	27,158	347	27,505
Equity Residential	199	52	10,067	255	10,322

<u>Security</u>	<u>Shares</u>	<u>Quote/Price</u>	<u>Cost Basis</u>	<u>Gain/Loss</u>	<u>Balance</u>
Ericsson American	783	12	8,024	1,560	9,584
Essex Property Trust	69	144	7,493	2,409	9,902
Express Scripts	305	70	18,205	3,218	21,423
Exxon Mobil	313	101	24,908	6,767	31,676
Facebook	283	55	10,162	5,304	15,466
Fanuc	545	31	14,488	2,148	16,636
Federal Rlty Invt Tr	109	101	10,919	134	11,054
Fedex	170	144	16,234	8,207	24,441
Fomento Economico Mexicano	64	98	6,171	93	6,264
Franklin Resources	250	58	13,606	826	14,433
Galaxy Entmt	153	90	6,509	7,215	13,724
General Mills	560	50	20,961	6,988	27,950
Gilead Sciences	288	75	6,686	14,943	21,629
Google	103	1121	62,021	53,412	115,433
Green Mntn Coffee Roasters	177	76	12,009	1,362	13,371
Grupo Televisa	541	30	12,745	3,626	16,371
Hain Celestial Group	169	91	13,284	2,058	15,342
HCP	345	36	12,821	(291)	12,530
Health Care REIT	134	54	7,799	(621)	7,178
Healthcare Tr of Amer	362	10	3,913	(351)	3,562
Herbalife	196	79	14,146	1,279	15,425
Highwoods Properties	234	36	7,888	576	8,464
Hollyfrontier	641	50	21,107	10,744	31,851
Home Depot	79	82	6,265	240	6,505
Honeywell Intl	293	91	17,468	9,303	26,771
Hudson Pacific Properties	96	22	1,973	127	2,100
Hutchison Whampoa	548	27	11,504	3,394	14,898
Illinois Tool Works	288	84	14,456	9,759	24,215
Imperial Tobacco	192	77	14,282	588	14,870
Industrial & Commercial Bank	961	14	12,292	697	12,989
IntercontinentalExchange	264	225	34,079	25,300	59,379
Intl Business Machines	340	188	59,724	4,050	63,774
Intuit	493	76	20,152	17,474	37,626
Ishares MSCI Japan	1,509 00	12	17,428	890	18,318
Joy Global	612	58	32,104	3,692	35,796
JPMorgan Chase	731	58	27,501	15,248	42,749
Julius Baer	1,232 00	10	9,410	2,459	11,869
Juniper Networks	646	23	11,683	2,897	14,580
Kellogg	434	61	26,932	(428)	26,504
Kennedy-Wilson	130	22	2,509	384	2,893
Keppel	678	18	11,613	404	12,018
Kimberly Clark	291	104	21,943	8,455	30,398
Kinder Morgan	1,675 00	36	57,547	2,753	60,300
Kingfisher	749	13	7,978	1,566	9,545
Komatsu	433	20	10,552	(1,748)	8,804
L Brands	1,053 00	62	54,901	10,227	65,128
Landstar System	448	57	25,298	439	25,738
Lasalle Hotel	249	31	6,460	1,224	7,684
Liberty Interactive	2,123 00	29	38,871	23,439	62,310
Linear Technology	305	46	9,897	3,995	13,893
Lockheed Martin	262	149	21,428	17,521	38,949
Lyondellbasell	201	80	12,427	3,709	16,136
Marathon Petroleum	471	92	21,198	22,007	43,205
Marsh & McLennan	577	48	26,401	1,503	27,904
Mastercard	85	835	21,618	49,396	71,014

<u>Security</u>	<u>Shares</u>	<u>Quote/Price</u>	<u>Cost Basis</u>	<u>Gain/Loss</u>	<u>Balance</u>
McDonalds	259	97	21,418	3,713	25,131
McGraw-Hill	485	78	23,011	14,916	37,927
McKesson	109	161	15,751	1,841	17,593
Medtronic	817	57	31,071	15,817	46,888
Microsoft	3,678.00	37	114,494	23,100	137,594
Monsanto	112	117	11,609	1,445	13,054
Morgan Stanley	1,340.00	31	28,236	13,787	42,022
Murphy Oil	430	65	27,229	670	27,898
Mylan	764	43	25,336	7,821	33,158
Nestle	175	73	11,377	1,472	12,849
Newmarket	83	334	21,213	6,522	27,734
Nike Class B	771	79	31,119	29,512	60,631
Novartis	146	80	9,274	2,462	11,735
Novo-Nordisk	226	185	21,956	19,800	41,756
Oasis Petroleum	302	47	14,084	101	14,185
Occidental Petroleum	514	95	47,217	1,664	48,881
Oracle	656	38	21,667	3,431	25,099
Parker-Hannifin	226	129	19,084	9,989	29,073
Parkway Properties	330	19	5,889	477	6,366
Patterson-UTI Energy	1,317.00	25	22,749	10,597	33,346
Pebblebrook Hotel Trust	212	31	4,951	1,570	6,521
Pepsico	606	83	40,539	9,723	50,262
Perrigo Company	143	153	21,765	179	21,945
Pfizer	1,353.00	31	27,981	13,461	41,442
Philip Morris Intl	667	87	54,235	3,881	58,116
PNC Financial Services	409	78	24,846	6,884	31,730
Post Properties	179	45	8,397	(301)	8,096
Precision Castparts	78	269	15,912	5,093	21,005
Price T Rowe Group	102	84	5,678	2,867	8,545
Priceline.Com	57	1162	25,004	41,252	66,257
Procter & Gamble	435	81	28,321	7,092	35,413
Progressive	2,336.00	27	51,547	12,155	63,703
Prologis	583	37	18,655	2,887	21,542
Prudential Financial	385	92	22,391	13,113	35,505
PS Business Parks	86	76	5,965	607	6,572
PT Bank Mandiri	1,439.00	6	9,460	(179)	9,282
Public Storage	128	151	15,234	4,033	19,267
Publicis Groupe	973	23	15,327	6,967	22,293
PVH Corp	146	136	10,101	9,758	19,859
Qualcomm	1,291.00	74	68,275	27,582	95,857
Quest Diagnostics	317	54	17,205	(233)	16,972
Reed Elsevier	478	60	19,760	8,944	28,704
Regeneron Pharmaceutical	69	275	17,296	1,696	18,992
RLJ Lodging Trust	285	24	6,489	442	6,931
Roche Holding	482	70	26,913	6,851	33,765
Rockwell Collins	172	74	12,695	20	12,714
Ross Stores	171	75	13,858	(1,045)	12,813
Royal Dutch Shell	223	75	15,326	1,424	16,750
RPC Inc	1,943.00	18	22,345	12,338	34,683
Salesforce Com	311	55	10,181	6,983	17,164
Sally Beauty	695	30	18,002	3,008	21,010
Sanofi	370	54	16,915	2,928	19,843
SAP AG	293	87	20,302	5,230	25,532
SBA Communications	72	90	5,727	742	6,468
Schlumberger	283	90	21,260	4,241	25,501

<u>Security</u>	<u>Shares</u>	<u>Quote/Price</u>	<u>Cost Basis</u>	<u>Gain/Loss</u>	<u>Balance</u>
Schneider Electric	820	17	11,705	2,622	14,327
Sensata Technologies	264	39	10,308	(72)	10,235
Servicenow	67	56	3,423	330	3,753
Sherwin-Williams	79	184	14,330	167	14,497
Shire Limited	113	141	11,539	4,427	15,966
Simon Property Group	358	152	29,848	24,625	54,473
SL Green Realty	155	92	11,819	2,500	14,319
SLM	853	26	18,863	3,554	22,417
SM Energy	159	83	14,374	(1,159)	13,214
Smith & Nephew	188	72	11,101	2,386	13,487
Southern Copper	188	29	7,001	(1,604)	5,397
Southwest Airlines	1,299 00	19	18,124	6,349	24,473
SPDR Euro Stoxx 50 Fd	28,000 00	42	993,696	187,904	1,181,600
Staples	1,121 00	16	16,576	1,236	17,813
Starbucks	245	78	17,273	1,933	19,206
Strategic Hotels & Resorts	824	9	5,572	2,214	7,787
Suncor Energy	448	35	13,345	2,357	15,702
Swedbank	402	28	7,724	3,605	11,329
Syngenta	482	80	28,496	10,035	38,531
Sysco	381	36	11,163	2,591	13,754
Taiwan Semiconductor MFG	828	17	13,906	535	14,440
Tanger Factory Outlet Centers	232	32	7,741	(312)	7,429
Taubman Centers	171	64	13,906	(2,976)	10,930
Teradata	507	45	22,621	443	23,063
Terreno Realty	283	18	5,079	(70)	5,009
Teva Pharmaceutical	456	40	18,311	(34)	18,276
Tim Hortons	101	58	5,850	46	5,896
Time Warner Cable	58	136	6,614	1,245	7,859
TJX Companies	1,166 00	64	41,347	32,962	74,309
Toll Brothers	123	37	3,859	692	4,551
Total SA	293	61	15,378	2,574	17,952
Toyota Motor	119	122	12,366	2,142	14,508
Transcanada	260	46	11,581	290	11,872
Twenty-First Century Fox	632	35	19,798	2,430	22,227
UBS	427	19	7,789	431	8,220
Ultra Petroleum	1,233 00	22	25,352	1,343	26,694
Unilever	277	40	10,326	817	11,144
United Parcel Service	283	105	23,790	5,948	29,738
United Technologies	442	114	35,032	15,268	50,300
United Therapeutics	364	113	27,669	13,492	41,161
Ventas	381	57	19,449	2,375	21,824
Verifone Systems	369	27	11,017	(1,120)	9,897
Verisign	476	60	15,432	13,023	28,455
Vertex Pharmaceuticals	108	74	5,154	2,870	8,024
VF Corp	460	62	16,759	11,918	28,676
Visa	336	223	25,335	49,486	74,820
Volkswagen	198	56	7,419	3,720	11,140
Vornado Realty Trust	100	89	7,174	1,705	8,879
Waddell & Reed Fin	723	65	25,211	21,871	47,082
Wal Mart Stores	153	79	12,538	(498)	12,040
Walgreen	981	57	37,081	19,267	56,349
Walt Disney	383	76	15,399	13,862	29,261
Weingarten Realty Invs	202	27	5,851	(312)	5,539
Wells Fargo & Co	624	45	17,425	10,905	28,330
Western Digital	598	84	21,319	28,854	50,172

<u>Security</u>	<u>Shares</u>	<u>Quote/Price</u>	<u>Cost Basis</u>	<u>Gain/Loss</u>	<u>Balance</u>
Western Union	1,997 00	17	34,387	61	34,448
Weyerhaeuser	73	32	2,023	281	2,305
Whirlpool	89	157	11,026	2,935	13,961
Whole Foods Market	343	58	19,378	457	19,836
WPP PLC	219	115	17,349	7,805	25,154
Xilinx	439	46	15,598	4,561	20,159
Yum Brands	692	76	47,141	5,182	52,322
TOTAL Stock			6,019,370	1,857,318	7,876,688

Schumann Fund for New Jersey, Inc
Attachment B
Form 990-PF Part II Balance Sheet
Line 13 Other Investments

<u>Security</u>	<u>Shares</u>	<u>Quote/Price</u>	<u>Cost Basis</u>	<u>Gain/Loss</u>	<u>Balance</u>
Mutual Fund					
GS High Yield Floating Rate	25,413.36	10.1	254,921	1,754	256,675
GS High Yield Fund	258,136.40	7.14	1,798,373	44,720	1,843,093
GS Investment Grade Credit Fund	42,629.52	9.21	417,085	(24,467)	392,618
GS Local Emerging Markets	97,197.01	8.47	960,933	(137,675)	823,259
GS US Mortgages	37,714.90	10.35	408,019	(17,671)	390,349
TOTAL Mutual Fund			<u>3,839,332</u>	<u>(133,339)</u>	<u>3,705,993</u>
Hedge Funds					
Event Driven Managers			290	30,729	31,019
Hedge Fund Opp			1,465,000	644,150	2,109,150
Princeton Fund			1,000,000	658,930	1,658,930
TOTAL Hedge Funds			<u>2,465,290</u>	<u>1,333,809</u>	<u>3,799,099</u>
Private Equity					
Broad Street Real Estate Credit Ptrs			28,000	-	28,000
Capital Partners			921,837	35,141	956,978
Merchant Banking Access Fund			1,347,905	96,002	1,443,907
PEP Technology Fund 2002			189,679	(71,689)	117,990
Private Equity Partners 2002			68,504	108,697	177,201
US Real Property Inc Fd			493,252	18,538	511,790
Vintage VI Off			50,000	7,781	57,781
Whitehall Parrallel Real Est			298,474	(273,215)	25,259
WLR Opportunities Fund			365,560	89,777	455,337
TOTAL Private Equity			<u>3,763,211</u>	<u>11,032</u>	<u>3,774,243</u>
Public Equity					
Artisan Dynamic Equity	9,551.51	215.372	1,339,405	717,724	2,057,129
Dynamic Equity Managers	10,635.47	217.483	1,663,084	649,955	2,313,039
Emerging Markets	10,624.73	92.898	898,418	88,598	987,016
Goldman Sachs Group	1,100.00	1,264.83	1,100,000	291,308	1,391,308
TOTAL Public Equity			<u>5,000,907</u>	<u>1,747,585</u>	<u>6,748,492</u>
Total Other Investments			<u>15,068,739</u>	<u>2,959,087</u>	<u>18,027,827</u>

SCHUMANN FUND FOR NEW JERSEY, INC.
CAPITAL GAIN/(LOSS), NET (FORM 990-PF Part I LINE 7)

DESCRIPTION	GAIN/(LOSS)
PUBLICALLY TRADED SECURITIES	
Marketable Securities	\$ 1,131,441
Total Sales of Publically Traded Securities	\$ 1,131,441
SALES OF NON-PUBLIC SECURITIES	
Artisan: Dynamic Equity (Non-US Equity) [Series]	\$ 164,476
Dynamic Equity Managers' Portfolio 1 [Series]	183,678
Emerging Markets Equity Managers Portfolio 1 LLC	(30,899)
GSCP V Institutional AIV, L.P.	(43,320)
GS Mezzanine Partners II, L.P.	90
GS Capital Partners V Institutional, L.P.	316,486
Goldman Sachs Merchant Banking Accerss Fund 2006, L.P.	196,954
Private Equity Partners (Technology) LP	4,153
Whitehall Parallel Real Estate LP XIII	4,105
Total Sales of Non-Public Securities	<u>795,723</u>
Total Realized Gains/(Losses)	\$ <u>1,927,164</u>

Schumann Fund for New Jersey, Inc.

Program Guidelines

The Schumann Fund for New Jersey is a tax- exempt, private foundation, incorporated as a corporation not for pecuniary profit under the laws of the State of New Jersey. Schumann Fund program priorities fall into four categories:

Early Childhood Development We support efforts to heighten the chances of academic and social success for young children, especially the urban poor, by supporting programs and policies that provide high quality early childhood education and care to children from birth to eight years old.

Environmental Protection We support efforts to expand access to public space and recreation for families and children in New Jersey's cities, and to engage children in New Jersey's cities in stewardship of the environment.

Essex County We support local programs directed at meeting community needs, with particular focus on social and educational services for families with young children that will have a long-term impact on addressing community problems.

Public Policy We support efforts to engage the public in informed discussion of important policy issues facing the State of New Jersey, particularly in the areas of school reform and educational innovation. We also support efforts to implement and evaluate policies that will ensure the effective provision of educational and social services to families and children.

In reviewing grant proposals, the Schumann Fund for New Jersey gives special consideration to those that:

1. offer the hope of permanent and positive change in the way that our society addresses the need for quality education and social services;
2. demonstrate the capacity to make effective use of all available private and public resources for support;
3. make efforts to collaborate with other groups providing similar programs or services;
4. indicate a high level of time and/or money contributed from the group to be served;
5. and describe a clear strategy for meeting program goals and an effective means of evaluating progress toward those goals.

In general, the Schumann Fund for New Jersey does not accept applications for capital campaigns, annual giving, endowment, direct support of individuals, or local programs in counties other than Essex. Projects in the arts, healthcare, and housing development normally fall outside the fund's priority areas.

There is no standard application form to be used in presenting a request to the Schumann Fund for New Jersey, but organizations may use the New York/New Jersey Common Application Form if they choose. We ask that a written proposal be submitted which includes a clear description of the purpose of the grant, the need or problem that will be addressed, the work to be undertaken, the staffing plan for project implementation, any collaborative efforts underway or contemplated, and the means of evaluating progress. The proposal must include the following items:

- A copy of the organization's most recent audited financial statement
- Current organizational and project budgets identifying all sources of revenue and categories and amounts of expenditures
- Brief resumes of key organization and project staff
- The project time frame and projected sources of future funding
- A list of the organization's board of directors
- Internal Revenue Service documents confirming the organization's status as a 501(C)3 organization

The Schumann Fund Board of Trustees meets quarterly: March, June, September and December. Applications must be postmarked by the following deadlines: January 15, April 15, July 15 or October 15. If

the deadline falls on a legal holiday, applications must be postmarked by the next business day. Action on proposals may be deferred to a later quarter, but the Board of Trustees will notify organizations promptly as to the status of all requests.

Schedule of Appropriations and Payments, by Program Area

12/31/2013

Fiscal Year 2013

Recipient	Relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount Paid 2013	Approved for Future Payment 2013
Early Childhood Advocates For Children Of New Jersey 35 Halsey Street Newark, NJ 07102- \$100,000.00 2012	N/A	PC	ACNJ's "Right From the Start" early education initiative	\$50,000	\$ 0
Advocates For Children Of New Jersey 35 Halsey Street Newark, NJ 07102- \$100,000.00 2013	N/A	PC	ACNJ's Right From the Start agenda	\$50,000	\$50,000
Building Responsible Intelligent Creative Kids, Inc 99 Lincoln Park Suite 50 Newark, NJ 07102 \$30,000.00 2013	N/A	PC	The development of the Early Childhood Program for the B R I C K organization	\$30,000	\$ 0
Child Care Connections, Inc 1001 Spruce Street Suite 201 Trenton, NJ 08638- \$28,800.00 2013	N/A	PC	The Family Child Care Environmental Rating Scale assessments of family child care providers participating in a	\$28,800	\$ 0
Ironbound Community Corporation 179 Van Buren Street Newark, NJ 07105- \$50,000.00 2012	N/A	PC	It's a Family Head Start program	\$25,000	\$ 0
Newark Preschool Council, Inc 570 Broad Street 10th Floor Newark, NJ 07102 \$25,000.00 2013	N/A	PC	To update Newark Preschool Council's community assessment and to develop and implement a strategic and consolidation plan	\$25,000	\$ 0
The Partnership for Maternal and Child Health of Northern New Jersey 50 Park Place Suite 700 Newark, NJ 07102 \$25,000.00 2013	N/A	PC	For the ICAPES (Invigorate Children Achieving and Reaching Educational Success) coalition	\$12,500	\$12,500

Fiscal Year 2013

Recipient	Relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount Paid 2013	Approved for Future Payment 2013
Programs for Parents 570 Broad Street 8th Floor Newark, NJ 07102 \$100,000.00	N/A	PC	Implementation of Grow NJ New Leaders Quality Rating and Improvement System designed to assess, improve, and communicate the level of quality for early care and education providers The Build Initiative	\$50,000	\$50,000
Third Sector New England, Inc Non Profit Center 89 South Street, Suite 700 Boston, MA 02111-2680 \$100,000.00	N/A	PC		\$50,000	\$50,000
Third Sector New England, Inc Non Profit Center 89 South Street, Suite 700 Boston, MA 02111-2680 \$15,000.00	N/A	PC	For the Early Childhood Funders Collaborative, and the L.E.F.C. Federal Philanthropic Fund	\$15,000	\$ 0
Total Early Childhood (10 items)				\$36,300	\$162,500
Environment Camden City Garden Club 3 Riverside Drive Camden, NJ 08103 \$25,000.00	N/A	PC	The Camden Children's Garden's educational programs	\$12,500	\$ 0
Griener Newark Conservancy 32 Prince Street Newark, NJ 07103 \$20,000.00	N/A	PC	GNCC's education program	\$20,000	\$ 0
Work Environment Council of New Jersey 7 Dunmore Avenue First Floor East Ewing, NJ 08618 \$20,000.00	N/A	PC	For WEC's "Safe Recovery Project" providing education, training, and technical assistance to volunteers, workers and homeowners working on Hurricane Sandy recovery projects	\$20,000	\$ 0
The Trust for Public Land (New Jersey) 20 Community Place, Suite 7 Morristown, NJ 07960 \$25,000.00	N/A	PC	TPL's Parks for People - Newark stewardship programs	\$12,500	\$ 0
2012					

Fiscal Year 2013				
Recipient	Relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount Paid 2013 Approved for Future Payment 2013
The Trust for Public Land (New Jersey) 20 Community Place, Suite 7 Morristown, NJ 07960 \$25,000.00 2013	N/A	PC	TPL's Parks for People Network Stewardship Initiatives	\$12,500 \$12,500
Total Environment (5 items)				
Essex Community				\$12,500
Community Food Bank of New Jersey 31 Evans Terminal Road Hillside, NJ 07205 \$25,000.00 2012	N/A	PC	For the Food Bank's child-feeding initiatives: Kids' Cafes and Backpack Programs	\$12,500 \$ 0
Community FoodBank of New Jersey 31 Evans Terminal Road Hillside, NJ 07205 \$25,000.00 2013	N/A	PC	For the FoodBank's Backpack program	\$12,500 \$12,500
CASA for Children of Essex County 212 Washington Street Room 1276 Newark, NJ 07102- \$30,000.00 2012	N/A	PC	For volunteer Court Appointed Advocates for foster children aged 0 to 8	\$15,000 \$ 0
CASA for Children of Essex County 212 Washington Street Room 1276 Newark, NJ 07102- \$30,000.00 2013	N/A	PC	For advocacy services for foster children aged 0-8	\$15,000 \$15,000
Independence A Family of Services 179 Van Buren Street Newark, NJ 07105- \$20,000.00 2013	N/A	PC	Support for parent and child literacy activities at the Independence Family Success Center	\$20,000 \$ 0
Inbound Community Corporation 179 Van Buren Street Newark, NJ 07105- \$30,000.00 2012	N/A	PC	Operations of the Inbound Community Corporation	\$15,000 \$ 0

Fiscal Year 2013

Recipient	Relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount Paid 2013	Approved for Future Payment 2013
Kids Corporation II 4 Atlantic Street 2nd Floor Newark, NJ 07102 \$15,000.00 2013	N/A	PC	Kids Corporation's Educational Service that provides teacher training, supplies, field trips and curricula to partner community-based after school and summer programs for operations	\$15,000	\$ 0
La Casa de Don Pedro 75 Park Avenue Newark, NJ 07104- \$30,000.00 2013	N/A	PC		\$15,000	\$15,000
Newark Emergency Services for Families, Inc 982 Broad Street Newark, NJ 07102- \$25,000.00 2013	N/A	PC	for operations	\$12,500	\$12,500
The North Ward Center, Inc 346 Mt. Prospect Avenue Newark, NJ 07104- \$80,000.00 2013	N/A	PC	Development costs for the expansion of Robert Treat Academy's Jackie Robinson-Thurgood Marshall Academics	\$50,000	\$ 0
The Partnership for Maternal and Child Health of Northern New Jersey 50 Park Place Suite 700 Newark, NJ 07102 \$25,000.00 2013	N/A	PC	the operations of Irvington Family Development Center	\$25,000	\$ 0
The Salvation Army - Newark Area Services 45 Central Avenue Newark, NJ 07102- \$20,000.00 2013	N/A	PC	services to grandparents raising one or more related children who live in poverty and are supported by the Grand Family Success Center in Newark, NJ	\$20,000	\$ 0
Unified Vailsburg Services Organization Post Office Box 6039 40 Richfield Terrace Newark, NJ 07106- \$30,000.00 2013	N/A	PC	Operating support	\$30,000	\$ 0
Youth Development Clinic 500 Broad Street Third Floor Suite 1 Newark, NJ 07102- \$30,000.00 2013	N/A	PC	Operations and consultative work with Quintan Street and Cleveland Street elementary schools in Newark	\$30,000	\$ 0
Total Essex Community (14 items)				\$287,500	\$55,000

Fiscal Year 2013

Request	Relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount Paid 2013	Approved for Future Payment 2013
Public Policy					
211 Community Impact 211 Halsey Street #2 Newark, NJ 07102 \$25,000.00	N/A	PC	The development of 'Reading for Life' a literacy literacy of common core content standards based parent and community engagement initiative	\$25,000	\$ 0
2013					
Big Picture Learning 325 Public Street Providence RI 02903 \$50,000.00	N/A	PC	Operations in Northern New Jersey	\$25,000	\$ 0
2012					
Big Picture Learning 325 Public Street Providence RI 02903 \$50,000.00	N/A	PC	Big Picture Learning schools in Essex County	\$25,000	\$25,000
2013					
Council of New Jersey Grantmakers 101 West State Street Trenton, NJ 08608- \$2,000.00	N/A	PC	Membership and operations	\$ 2,000	\$ 0
2013					
Council of New Jersey Grantmakers 101 West State Street Trenton, NJ 08608- \$20,000.00	N/A	PC	For the Newark philanthropic liaison	\$20,000	\$ 0
2013					
Eagle Academy Foundation 105 East 22nd Street, Suite 625 New York, NY 10010 \$30,000.00	N/A	PC	A comprehensive college awareness/college preparation program for Eagle Newark's middle school	\$15,000	\$15,000
2013					
Education Law Center 60 Park Place, Suite 300 Newark, NJ 07102- \$100,000.00	N/A	PC	LLC's Secondary Reform Project	\$25,000	\$ 0
2011					
Education Law Center 60 Park Place, Suite 300 Newark, NJ 07102- \$50,000.00	N/A	PC	ELC's Secondary Reform Project to monitor and support effective implementation of middle and high school reform in New Jersey's urban districts	\$25,000	\$25,000
2013					

				Fiscal Year 2013	
Recipient	Relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount Paid 2013	Approved for Future Payment 2013
CASA for Children of Essex County 212 Washington Street Room 1276 Newark, NJ 07102- \$25,000.00 2012	N/A	PC	"Undoing Racism" training for members of the Essex County Model Court	\$12,500	\$ 0
Grantmakers for Children, Youth & Families 8757 Georgia Avenue, Suite 540 Silver Spring, MD 20910 \$5,000.00 2013	N/A	PC	Membership and operations	\$ 500	\$ 0
Grantmakers for Education 720 SW Washington Street, Suite 605 Portland, OR 97205 \$500.00 2013	N/A	PC	Membership and operations	\$ 500	\$ 0
The Latino Institute 50 Park Place Suite 1539 Newark, NJ 07102 \$30,000.00 2012	N/A	PC	Support for NCANJ operations	\$15,000	\$ 0
Montclair State University 1 Normal Avenue ON 3198 Upper Montclair, NJ 07043 \$20,000.00 2013	N/A	PC	"Undoing Racism" training for the Newark-Montclair Urban Teacher Residency Program	\$20,000	\$ 0
New Jersey Citizen Action Education Fund, Inc. 744 Broad Street Newark, NJ 07102 \$15,000.00 2012	N/A	PC	NTC citizen Action's foreclosure prevention and asset preservation initiatives in Essex County	\$17,500	\$ 0
New Jersey Citizen Action Education Fund, Inc. 744 Broad Street Newark, NJ 07102 \$30,000.00 2013	N/A	PC	For New Jersey Citizen Action's financial improvement projects in Essex County	\$15,000	\$15,000

Fiscal Year 2013

Recipient	Relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount Paid 2013	Approved for Future Payment 2013
Newark Trust for Education 404 Broad Street Suite LL 30 Newark, NJ 07102- \$50,000.00 2012	N/A	PC	A pool of funds for the Newark Funders Collaborative to be used to support 11 model schools that are being incubated by Newark Public Schools	\$25,000	\$ 0
Newark Trust for Education 404 Broad Street Suite LL 30 Newark, NJ 07102- \$25,000.00 2013	N/A	PC	Operations	\$25,000	\$ 0
Newark Public Radio, Inc 54 Park Place Newark, NJ 07102- \$30,000.00 2012	N/A	PC	Expansion of WRCA's new department by adding a part-time investigative reporter	\$15,000	\$ 0
Peterson Education Foundation 152 Market Street Suite 208 Paterson, NJ 07652- \$50,000.00 2013	N/A	PC	PET's Graduating Every Person Initiative	\$50,000	\$ 0
Peterson Education Foundation 152 Market Street, Suite 208 Paterson, NJ 07652- \$7,500.00 2013	N/A	PC	Parent Education Organizing Council in its mission to organize parents around school issues	\$ 7,500	\$ 0
Public Interest Projects 45 West 36th St, 6th Floor New York, NY 10018 \$50,000.00 2012	N/A	PC	For PIP's Communities for Public Education Reform (C-PER) that is working to build a network of community leaders and advocates for equitable school reform	\$25,000	\$ 0
Public Interest Projects 45 West 36th St, 6th Floor New York, NY 10018 \$50,000.00 2013	N/A	PC	The latest proposal for the Communities for Public Education Reform (C-PER)	\$25,000	\$25,000

Fiscal Year 2013					Approved for Future Payment	
Recipient	Relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount Paid 2013	2013	
Rutgers University - Abbott Leadership Institute Department of Urban Education Bradley Hall Room 163 110 Warren Street Newark, NJ 07102 \$45,000.00 2013	N/A	PC	Development of community leadership through education and information about public school reform and effective advocacy	\$45,000	\$	0
Rutgers University School of Law 123 Washington Street Newark, NJ 07102-3094 \$25,000.00 2013	N/A	PC	For sustainability and expansion of "quarter-hour" work of the Child Advocacy Center	\$25,000	\$	0
Rutgers University Institute on Ethnicity, Culture and the Modern Experience 49 Bleecker Street Newark, NJ 07102- \$3,500.00 2013	N/A	PC	The Gustav Heintzberg Civic Fellows Program	\$ 3,500	\$	0
Statewide Education Organizing Committee 601 North Clinton Avenue Trenton, NJ 08638 \$30,000.00 2013	N/A	PC	Operating support for Save Our Schools NJ Community Organizing project	\$15,000	\$15,000	
Woodrow Wilson National Fellowship Foundation 5 Vaughn Drive, Suite 300 Princeton, NJ 08543-5281 \$50,000.00 2013	N/A	PC	The Woodrow Wilson New Jersey Science, Technology, Engineering and Mathematics (NJSTEM) Teaching Fellowship	\$25,000	\$25,000	
Total Public Policy (27 items)				\$529,000	\$145,000	
Trustee Grant Branch Brook Park Alliance Essex County Department of Parks & Recreation 115 Clifton Avenue 3rd Floor Newark, NJ 07101 \$14,000.00 2013	N/A	PC	Barbara Bell Coleman	\$14,000	\$	0
Church of St. John on the Mountain 379 Mt. Hiram Road Bernardsville, NJ 07024- \$5,000.00 2013	N/A	PC	Christapher Duggs, II	\$ 5,000	\$	0

					Fiscal Year 2013	
Recipient	Relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount Paid 2013	Approved for Future Payment 2013	
George Street Playhouse 9 Livingston Avenue New Brunswick, NJ 08901 \$12,500.00 2013	N/A	PC	<i>Regina Pratt</i>	\$12,500	\$ 0	
Human Needs Food Pantry 9 Label Street Montclair, NJ 07042 \$12,500.00 2013	N/A	PC	<i>Martina B. Day</i>	\$12,500	\$ 0	
Human Needs Food Pantry 9 Label Street Montclair, NJ 07042 \$1,000.00 2013	N/A	PC	<i>Auburn Ames</i>	\$ 1,000	\$ 0	
Interfaith Hospitality Network 46 Park Street Montclair, NJ 07042 \$1,000.00 2013	N/A	PC	<i>Auburn Ames</i>	\$ 1,000	\$ 0	
Isis 1879 Foundation 43 Prospect Street Princeton, NJ 08540 \$5,000.00 2013	N/A	PC	<i>Leonard Coleman</i>	\$ 5,000	\$ 0	
The Jackie Robinson Foundation One Hudson Square 75 Varck Street 2nd Floor New York, NY 10013-1917 \$2,500.00 2013	N/A	PC	<i>Leonard Coleman</i>	\$ 2,500	\$ 0	
Jazz House Kids 347 Bloomfield Avenue Lower Level Montclair, NJ 07042 \$5,000.00 2013	N/A	PC	<i>Martina B. Day</i>	\$ 5,000	\$ 0	
Link Community School 23 Pennsylvania Avenue Newark, NJ 07114 \$5,000.00 2013	N/A	PC	<i>Barbara Bell Crickman</i>	\$ 5,000	\$ 0	
The Montclair Foundation 21 Van Vleet Street Montclair, NJ 07042 \$5,000.00 2013	N/A	PC	<i>Auburn Ames</i>	\$ 5,000	\$ 0	
The Montclair Foundation 21 Van Vleet Street Montclair, NJ 07042 \$5,000.00 2013	N/A	PC	<i>Auburn Ames</i>	\$ 5,000	\$ 0	

Fiscal Year 2013					Approved for Future Payment	
Recipient	Relationship	Foundation	Purpose of Grant or Contribution	Amount Paid 2013	2013	\$ 0
Montclair Fund for Educational Excellence 22 Valley Road Montclair NJ 07042- \$5,000.00 2013	N/A	PC	Leonard Coleman			
Montclair Historical Society 108 Orange Road Montclair NJ 07042- \$2,000.00 2013	N/A	PC	Arthur Ames	\$2,000		\$ 0
Montclair Kimberley Academy 201 Valley Road Montclair NJ 07042- \$5,000.00 2013	N/A	PC	Arthur Ames	\$5,000		\$ 0
Montclair Public Library Foundation 50 South Fullerton Avenue Montclair NJ 07042- \$1,000.00 2013	N/A	PC	Arthur Ames	\$1,000		\$ 0
New Jersey Institute of Technology 321 Martin Luther King Boulevard Newark NJ 07102- \$10,000.00 2013	N/A	PC	Anthony C. Lucillo	\$10,000		\$ 0
Newark Trust for Education 494 Broad Street Suite LL 30 Newark NJ 07102- \$5,000.00 2013	N/A	PC	Christopher Daggett	\$5,000		\$ 0
The Newark Museum 43-49 Washington Street Newark NJ 07101- \$7,500.00 2013	N/A	PC	Roger Pratt	\$7,500		\$ 0
Paper Mill Playhouse 22 Brookside Drive Milburn NJ 07041- \$5,000.00 2013	N/A	PC	Christopher Daggett	\$5,000		\$ 0
Princeton University Post Office Box 5357 Princeton NJ 08540- \$2,500.00 2013	N/A	PC	Leonard Coleman	\$2,500		\$ 0
Regional Plan Association 4 Irving Place 7th Floor New York, NY 10003 \$2,500.00 2013	N/A	PC	Christopher Daggett	\$2,500		\$ 0

				Fiscal Year 2013	
Recipient	Relationship	Foundation Status of Recipient	Purpose of Grant or Contribution	Amount Paid 2013	Approved for Future Payment 2013
Research & Development Council of NJ 127 Main Street Chatham NJ 07712 \$5,000.00	N/A	PC	Anthony Cicchillo	\$5,000	\$ 0
Research & Development Council of NJ 127 Main Street Chatham NJ 07712 \$5,000.00	N/A	PC	Anthony Cicchillo	\$5,000	\$ 0
Rumson Country Day School 35 Bellevue Avenue Rumson NJ 07764 \$5,000.00	N/A	PC	Leonard Coleman	\$10,000	\$ 0
St Luke's Episcopal Church 73 South Fullerton Avenue Montclair NJ 07042 \$2,500.00	N/A	PC	Martina B. Day	\$2,500	\$ 0
Trinity & St Philip's Cathedral 24 Rector Street Newark NJ 07102 \$1,000.00	N/A	PC	Barbara Bell Coleman	\$1,000	\$ 0
The Trust for Public Land (New Jersey) 20 Community Place Suite 7 Morristown NJ 07960 \$2,500.00	N/A	PC	Christopher Daggett	\$2,500	\$ 0
Total Trustee Grant (28 items)				\$145,000	\$ 0
Grand Totals (84 items)				\$1,275,300	\$375,000

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print	Name of exempt organization or other filer, see instructions.	Enter filer's identifying number
	THE SCHUMANN FUND FOR NEW JERSEY	Employer identification number (EIN) or 52-1556076
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 21 VAN VLECK STREET	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. MONTCLAIR, NJ 07042	

Enter the Return code for the return that this application is for (file a separate application for each return) **04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE CORPORATION

- The books are in the care of ► **21 VAN VLECK STREET - MONTCLAIR, NJ 07042**
Telephone No. ► **973-509-9883** Fax No. ►
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2013** or
► ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	66,770.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	36,770.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	30,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	SCHUMANN FUND FOR NEW JERSEY, INC.	52-1556076
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	21 VAN VLECK STREET	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	MONTCLAIR, NJ 07042	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

THE CORPORATION

• The books are in the care of **21 VAN VLECK STREET - MONTCLAIR, NJ 07042**

Telephone No. **973-509-9883**

Fax No. **973 509-1140**

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014**

5 For calendar year **2013**, or other tax year beginning _____, and ending _____

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

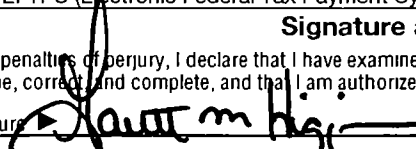
7 State in detail why you need the extension

INFORMATION TO FILE A COMPLETE AND ACCURATE RETURN IS NOT YET AVAILABLE

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	66,770.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	66,770.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete, and that I am authorized to prepare this form.

Signature  Title **CPA as authorized agent** Date **8.15.14**

Form 8868 (Rev. 1-2014)