Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: DEAN FOUNDATION, INC

A Employer identification number: 51-6015841

Number and street (or P O box number if mail is not delivered to street address): P O. BOX 4488 | Room/suite:

B Telephone number (see instructions): 302 655-4838

City or town, state or province, country, and ZIP or foreign postal code: WILMINGTON, DE 19807-0488

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☐ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 1,855,783.53

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	24,598.10	24,598.10	24,598 10	
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	88,049 89			
	b	Gross sales price for all assets on line 6a				
	7	Capital gain net income (from Part IV, line 2)		88,049 89		
	8	Net short-term capital gain			12,426 95	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	112,647 99	112.647.99	37,025.05	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	3,327 72	3,327.72	3,327.72	3,327 72
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	10,156.65	10,156 65	10,156.65	10,156 65
	24	**Total operating and administrative expenses.** Add lines 13 through 23	13,484 37	13,484 37	13,484.37	13,484 37
	25	Contributions, gifts, grants paid	58,915 00			58,915 00
	26	**Total expenses and disbursements.** Add lines 24 and 25	72,399 37	13,484 37	13,484.37	72,399 37
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	40,248.62			
	b	**Net investment income** (if negative, enter -0-)		99,163.62		
	c	**Adjusted net income** (if negative, enter -0-)			23,540.68	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	3,770.71	7,595.71	7,595.71
	2	Savings and temporary cash investments	77,865 04	22,489.94	22,489 94
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	731 50	743 88	743 88
	10a	Investments—U.S. and state government obligations (attach schedule)	1,300,550.10	1,391,905.96	1,824,954 00
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment. basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less. accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,382,917.35	1,422,735.49	1,855,783 53
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	479,738.22	479,738 22	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	903,179.13	942,997.27	
	30	**Total net assets or fund balances** (see instructions)	1,382,917.35	1,422,735 49	
	31	**Total liabilities and net assets/fund balances** (see instructions)	1,382,917.35	1,422,735.49	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,382,917 35
2	Enter amount from Part I, line 27a	2	40,248 62
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	1,423,165 97
5	Decreases not included in line 2 (itemize) ▶ RET OF CAP 415.39, ROUNDING 15.09	5	430 48
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,422,735.49

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE ATTACHED SCHEDULES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	88,049 89
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	12,426 95

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter ________ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	1,987	62
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		
3	Add lines 1 and 2	3	1,987	62
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,987	62
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a — 2,731 50			
b	Exempt foreign organizations—tax withheld at source — 6b			
c	Tax paid with application for extension of time to file (Form 8868) — 6c			
d	Backup withholding erroneously withheld — 6d			
7	Total credits and payments. Add lines 6a through 6d	7		
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8		
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9		
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	743	88
11	Enter the amount of line 10 to be. **Credited to 2014 estimated tax** ▶ 743 88 **Refunded** ▶	11		

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DELAWARE			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) — 11 No ✓

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) — 12 No ✓

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? — 13 Yes ✓

Website address ▶

14 The books are in care of ▶ GERALDINE DALE WOOD Telephone no. ▶ 302 655-4838

Located at ▶ 406 DEAN LANE, MONTCHANIN, DE ZIP+4 ▶ 19710

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? — 16 No ✓

See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. (Yes / No)

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☐ No

b If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? — 1b

Organizations relying on a current notice regarding disaster assistance check here ▶☐

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? — 1c No ✓

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).

a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No

If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___

b Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.) — 2b No ✓

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.
▶ 20___ , 20___ , 20___ , 20___

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No

b If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* — 3b

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? — 4a No ✓

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? — 4b No ✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** | | ✓

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
J SIMPSON DEAN, JR P O. BOX 4488, WILMINGTON, DE 19807-0488	PRES/DIRECTOR	-0-	-0-	0-
WARREN H. DEAN " "	V PRES/DIRECTOR	-0-	-0-	-0-
LEATRICE D. ELLIMAN " " CALISLE S. DEAN " "	V.PRES/DIRECTOR	-0-	-0-	-0-
GERALDINE DALE WOOD " "	SEC'Y/TREAS	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,603,546 00
b	Average of monthly cash balances	1b	53,611.86
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	1,657,157 86
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,657,157 86
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	24,857 37
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,632,300.49
6	**Minimum investment return.** Enter 5% of line 5	6	81,615.02

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	81,615.02
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,987 62		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,987.62
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	79,627.40
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	79,627 40
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	79,627 40

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	72,399 37
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	72,399 37
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	72,399 37

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				79,627 40
2	Undistributed income, if any, as of the end of 2013				
a	Enter amount for 2012 only				
b	Total for prior years: 20___,20___,20___				
3	Excess distributions carryover, if any, to 2013:				
a	From 2008				
b	From 2009				
c	From 2010 3,189 56				
d	From 2011				
e	From 2012				
f	**Total** of lines 3a through e	3,189 56			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 72,399 37				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2013 distributable amount				72,399 37
e	Remaining amount distributed out of corpus				
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	3,189 56			3,189 56
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount—see instructions				
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				4,038 47
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10	Analysis of line 9:				
a	Excess from 2009				
b	Excess from 2010				
c	Excess from 2011				
d	Excess from 2012				
e	Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	N/A				
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines.

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED SCHEDULE				58,915 00
Total . . . . ▶ **3a**				58,915 00
b *Approved for future payment*				
N/A				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions.)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	24,598.10	
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				24,598.10	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 24,598 10

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
	N/A

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Line	Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] 5/14/14 ▶ SEC'Y/TREASURER

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

2:49 PM
05/14/14
Accrual Basis

THE DEAN FOUNDATION, INC.
Account QuickReport
January through December 2013

Type	Date	Memo	Amount
4000 · TAXES			
Check	1/3/2013	2012 DE FRANCHISE TAX	25 00
General Journal	1/31/2013	FOR TX PD ZIV WHV	54 38
General Journal	2/28/2013	FOR TX PD ZIV WHV	23 40
General Journal	3/31/2013	FOR TX PD ZIV WHV	51 69
General Journal	4/30/2013	FOR TX PD ZIV WHV	150 80
Check	4/30/2013	2013 EST TAX PAYMENT	2,000 00
General Journal	5/31/2013	FOR TX PD ZIV WHV	433 92
General Journal	6/30/2013	FOR TX PD ZIV WHV	169 20
General Journal	7/31/2013	BCT ETF'S	104 57
General Journal	7/31/2013	FOR TX PD ZIV WHV	53 27
General Journal	8/31/2013	FOR TX PD ZIV WHV	31 27
General Journal	8/31/2013	FOR TX RECLAIM ZIV WHV	-9 57
General Journal	9/30/2013	FOR TX PD ZIV WHV	65 31
General Journal	10/31/2013	FOR TX PD ZIV WHV	47 24
General Journal	10/31/2013	FOR TX RECLAIM ZIV WHV	-20 75
General Journal	11/30/2013	FOR TX PD ZIV WHV	93 94
General Journal	12/31/2013	FOR TX PD ZIV WHV	64 96
General Journal	12/31/2013	FOR TX RECLAIM (UNILEVER) ZIV WHV	-10 91
Total 4000 TAXES			3,327 72
TOTAL			**3,327.72**

THE DEAN FOUNDATION, INC.
Account QuickReport
January through December 2013

Type	Date	Memo	Amount
4030 · OFFICE EXPENSE			
General Journal	1/31/2013	ZIV BENN DUP STMT FEES	8 75
General Journal	1/31/2013	ZIV BENN FEES	1,217 74
General Journal	1/31/2013	ZIV WHV FEES	1,203 00
General Journal	2/28/2013	ZIV BENN DUP STMT FEES	1 25
General Journal	3/31/2013	ZIV BENN DUP STMT FEE	1 25
General Journal	4/30/2013	ZIV BENN FEES	1,285 03
General Journal	4/30/2013	ZIV BENN DUP STMT FEES	1 25
General Journal	4/30/2013	ZIV WHV ADR FEES	1 50
General Journal	5/31/2013	ZIV BENN DUP STMT FEES	1 25
General Journal	5/31/2013	ZIV WHV FEE	1,244 00
General Journal	5/31/2013	ZIV WHV ADR'S	36 28
General Journal	6/30/2013	ZIV BENN DUP STMT FEES	1 25
General Journal	6/30/2013	ZIV WHV ADR'S	7 87
General Journal	7/31/2013	ATFS-BCT ETF'S A/C	8 50
General Journal	7/31/2013	ZIV BENN FEE	1,244 61
General Journal	7/31/2013	ZIV BENN DUP STMT FEE	1 25
General Journal	8/31/2013	ZIV BENN DUP STMT FEES	1 25
General Journal	8/31/2013	ZIV WHV FEE	1,146 00
General Journal	9/30/2013	DUP STMT FEE ZIV BENN	1 25
General Journal	10/31/2013	ZIV BENN FEES	1,452 50
General Journal	10/31/2013	ZIV BENN DUP STMT FEES	1 25
General Journal	10/31/2013	ZIV WHV ADR'S	1 25
General Journal	11/30/2013	ZIV BENN DUP STMT FEE	1 25
General Journal	11/30/2013	ZIV WHV FEE	1,234 00
General Journal	11/30/2013	ADR ZIV WHV	16 87
General Journal	12/31/2013	DUP STMT FEE ZIV BENN	1 25
Total 4030 OFFICE EXPENSE			10,121 65
TOTAL			**10,121.65**

2:50 PM
05/14/14
Accrual Basis

THE DEAN FOUNDATION, INC.
Account QuickReport
January through December 2013

Type	Date	Memo	Amount
4020 · OTHER EXPENSES			
Check	10/23/2013	HAGLEY MEMBERSHIP	35 00
Total 4020 OTHER EXPENSES			35 00
TOTAL			**35.00**

DEAN FOUNDATION INC
BENNETT LAWRENCE MIDCAP GROWTH
P O BOX 4488
WILMINGTON DE 19807-0488

RECIPIENT'S ACCOUNT NUMBER
897-00022

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
395.000	ARUBA NETWORKS INC SYMBOL: ARUN, CUSIP: 043176106	☐	02/26/13	05/07/13	6,982.17	9,847.82	-2,865.65 ✓
135.000	ARUBA NETWORKS INC SYMBOL: ARUN, CUSIP. 043176106	☐	03/11/13	05/07/13	2,386.31	3,523.79	-1,137.48 ✓
120.000	CAVIUM INC SYMBOL: CAVM, CUSIP: 14964U108	☐	03/28/13	10/29/13	4,471.08	4,640.04	-168.96 ✓
30.000	CAVIUM INC SYMBOL: CAVM, CUSIP: 14964U108	☐	03/28/13	10/30/13	1,097.91	1,160.01	-62.10 ✓
150.000	CAVIUM INC SYMBOL: CAVM, CUSIP: 14964U108	☐	06/18/13	10/30/13	5,489.53	5,297.84	191.69 ✓
75.000	CUMMINS INC SYMBOL: CMI, CUSIP: 231021106	☐	12/13/12	06/24/13	8,014.98	7,944.42	70.56 ✓
665.000	CYPRESS SEMICONDUCTOR CORP SYMBOL: CY, CUSIP: 232806109	☐	03/11/13	09/24/13	6,879.23	7,217.51	-338.28 ✓
320.000	CYPRESS SEMICONDUCTOR CORP SYMBOL: CY, CUSIP: 232806109	☐	07/23/13	09/25/13	2,942.44	4,095.52	-1,153.08
215.000	CYPRESS SEMICONDUCTOR CORP SYMBOL: CY, CUSIP: 232806109	☐	07/18/13	09/25/13	1,976.96	2,835.13	-858.17
125.000	CYPRESS SEMICONDUCTOR CORP SYMBOL: CY, CUSIP: 232806109	☐	03/11/13	09/25/13	1,149.39	1,356.68	-207.29
320.000	DANA HOLDING CORP SYMBOL: DAN, CUSIP: 235825205	☐	03/12/13	04/19/13	5,055.02	5,727.39	-672.37 ✓
80.000	EBAY INC ORIGINAL COST 4,221.44 WASH SALE LOSS DISALLOWED: 194.32 ✓ SYMBOL: EBAY, CUSIP: 278642103	☐	11/30/12	03/13/13	4,027.13	4,027.13	0.00 ✓
80.000	EBAY INC ORIGINAL COST 4,577.86 WASH SALE REPLACEMENT ADDITION: 194.32 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: EBAY, CUSIP: 278642103	☐	02/12/13	06/20/13	4,061 54	4,772 18	-710.64 ✓
120.000	EBAY INC SYMBOL: EBAY, CUSIP: 278642103	☐	11/30/12	06/20/13	6,092.30	6,332.17	-239.87 ✓

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
115.000	ELLIE MAE INC SYMBOL: ELLI, CUSIP: 28849P100	☐	08/09/12	01/23/13	2,763.97	2,896.16	-132.19
115.000	ELLIE MAE INC SYMBOL: ELLI, CUSIP. 28849P100	☐	08/09/12	01/31/13	2,289.41	2,896.16	-606.74
65.000	ELLIE MAE INC SYMBOL: ELLI, CUSIP. 28849P100	☐	08/10/12	01/31/13	1,294.02	1,656.89	-362.87
135.000	ELLIE MAE INC SYMBOL: ELLI, CUSIP: 28849P100	☐	08/10/12	02/04/13	2,664.21	3,441.23	.03 -777.02
75.000	ELLIE MAE INC SYMBOL: ELLI, CUSIP: 28849P100	☐	08/29/12	02/04/13	1,480.12	2,015.45	-535.33
75.000	ELLIE MAE INC SYMBOL: ELLI, CUSIP. 28849P100	☐	08/22/12	02/04/13	1,480.12	2,000.74	-520.62
100.000	EMC CORP-MASS SYMBOL: EMC, CUSIP: 268648102	☐	07/18/12	01/02/13	2,459.70	2,490.03	-30 33
215.000	EMC CORP-MASS SYMBOL: EMC, CUSIP: 268648102	☐	07/18/12	01/08/13	5,059.75	5,353.56	-293.81
125.000	EVERCORE PARTNERS INC CL A SYMBOL: EVR, CUSIP: 29977A105	☐	03/20/13	07/05/13	4,739.79	5,548.12	-808.32
60.000	EVERCORE PARTNERS INC CL A SYMBOL: EVR, CUSIP: 29977A105	☐	03/20/13	07/05/13	2,275.10	2,663.10	-388.00
120.000	FIDELITY NATIONAL FINANCIAL INC CL A SYMBOL: FNF, CUSIP: 31620R105	☐	10/04/12	02/05/13	3,030.43	2,647.86	382.57
245.000	FIDELITY NATIONAL FINANCIAL INC CL A SYMBOL: FNF, CUSIP: 31620R105	☐	10/04/12	02/05/13	6,187.14	5,406.05	781.09
25.000	FIDELITY NATIONAL FINANCIAL INC CL A SYMBOL: FNF, CUSIP: 31620R105	☐	10/04/12	02/12/13	641.51	551.64	89.87
115.000	FIDELITY NATIONAL FINANCIAL INC CL A SYMBOL: FNF, CUSIP: 31620R105	☐	10/05/12	02/12/13	2,950.94	2,617.97	332.97
100.000	FIDELITY NATIONAL FINANCIAL INC CL A SYMBOL: FNF, CUSIP: 31620R105	☐	10/11/12	02/19/13	2,551.27	2,301.52	249.75
120.000	FIDELITY NATIONAL FINANCIAL INC CL A SYMBOL: FNF, CUSIP: 31620R105	☐	10/05/12	02/19/13	3,061.53	2,731.80	329.73
210.000	FREEPORT MCMORAN COPPER & GOLD INC SYMBOL: FCX, CUSIP: 35671D857	☐	10/05/12	03/20/13	6,965.98	8,519.65	-1,553.67

DEAN FOUNDATION INC
BENNETT LAWRENCE MIDCAP GROWTH
P O BOX 4488
WILMINGTON DE 19807-0488

RECIPIENT'S ACCOUNT NUMBER
897-00022

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
125 000	FREEPORT MCMORAN COPPER & GOLD INC SYMBOL: FCX, CUSIP: 35671D857	☐	10/11/12	03/20/13	4,146.42	5,130.93	-984.51 ✓
70.000	FREEPORT MCMORAN COPPER & GOLD INC SYMBOL: FCX, CUSIP: 35671D857	☐	10/16/12	03/20/13	2,321.99	2,905.65	-583.66 ✓
485.000	GROUPON INC COM CL A SYMBOL· GRPN, CUSIP: 399473107	☐	07/16/13	11/05/13	4,941.28	4,130.~~07~~ .06	811.~~21~~ .22 ✓
110.000	GROUPON INC COM CL A SYMBOL: GRPN, CUSIP: 399473107	☐	07/16/13	11/07/13	923.25	936.72	-13.47 ✓
370 000	GROUPON INC COM CL A SYMBOL: GRPN, CUSIP: 399473107	☐	07/16/13	11/25/13	3,249 50	3,150.77	98.73 ✓
255.000	GROUPON INC COM CL A SYMBOL: GRPN, CUSIP: 399473107	☐	07/17/13	12/02/13	2,193 92	2,234.38	-40.46 ✓
85.000	GROUPON INC COM CL A SYMBOL: GRPN, CUSIP: 399473107	☐	07/16/13	12/02/13	731.31	723.83	7.48 ✓
120.000	GROUPON INC COM CL A SYMBOL: GRPN, CUSIP: 399473107	☐	07/17/13	12/09/13	1,112.84	1,051.48	61.36 ✓
675.000	GROUPON INC COM CL A SYMBOL: GRPN, CUSIP: 399473107	☐	07/23/13	12/09/13	6,259.70	6,022.55	237.15 ✓
35.000	H&E EQUIPMENT SERVICES INC SYMBOL: HEES, CUSIP: 404030108	☐	03/13/13	07/05/13	747.80	746.47	1.33 ✓
55.000	H&E EQUIPMENT SERVICES INC SYMBOL: HEES, CUSIP: 404030108	☐	03/14/13	07/05/13	1,175.11	1,173.29	1.82 ✓
50.000	H&E EQUIPMENT SERVICES INC SYMBOL: HEES, CUSIP: 404030108	☐	03/15/13	07/05/13	1,068.28	1,062.08	6.20 ✓
75.000	H&E EQUIPMENT SERVICES INC SYMBOL. HEES, CUSIP. 404030108	☐	03/12/13	07/05/13	1,602.43	1,547.54	54.89 ✓
65.000	H&E EQUIPMENT SERVICES INC SYMBOL: HEES, CUSIP: 404030108	☐	03/18/13	07/05/13	1,388.77	1,326.52	62.25 ✓
130.000	HCA HOLDINGS INC SYMBOL: HCA, CUSIP: 40412C101	☐	02/22/13	05/20/13	4,872.92	4,675.87	197.05 ✓

DEAN FOUNDATION INC
BENNETT LAWRENCE MIDCAP GROWTH
P O BOX 4488
WILMINGTON DE 19807-0488

RECIPIENT'S ACCOUNT NUMBER
897-00022

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
85.000	HCA HOLDINGS INC SYMBOL: HCA, CUSIP. 40412C101	☐	03/11/13	06/17/13	3,304.20	3,250.85	53.35
125.000	HCA HOLDINGS INC SYMBOL: HCA, CUSIP: 40412C101	☐	02/26/13	06/17/13	4,859.11	4,492.63	366.48
175.000	HCA HOLDINGS INC SYMBOL: HCA, CUSIP: 40412C101	☐	02/22/13	06/17/13	6,802.76	6,294.43	508.~~32~~ 33
170.000	HOMEAWAY INC COM SYMBOL: AWAY, CUSIP: 43739Q100	☐	03/20/13	07/11/13	5,284.71	5,198.93	85.78
85.000	HOMEAWAY INC COM SYMBOL: AWAY, CUSIP: 43739Q100	☐	03/22/13	07/23/13	2,673.95	2,825.28	-151.33
85.000	HOMEAWAY INC COM SYMBOL: AWAY, CUSIP: 43739Q100	☐	03/21/13	07/23/13	2,673.~~95~~ .96	2,777.97	-104.~~02~~ .01
15.000	HOMEAWAY INC COM SYMBOL: AWAY, CUSIP: 43739Q100	☐	03/20/13	07/23/13	471.87	458.73	13.14
85.000	INFOBLOX INC SYMBOL: BLOX, CUSIP: 45672H104	☐	06/11/13	11/27/13	2,874.32	2,360.92	513.40
235.000	INFOBLOX INC SYMBOL: BLOX, CUSIP: 45672H104	☐	06/11/13	12/04/13	6,872.17	6,527.25	344.92
35.000	IPG PHOTONICS CORP SYMBOL: IPGP, CUSIP: 44980X109	☐	02/11/13	05/01/13	2,064.75	2,357.83	-293.08
65.000	IPG PHOTONICS CORP SYMBOL: IPGP, CUSIP: 44980X109	☐	02/11/13	05/24/13	3,548.69	4,389.10	-840.41
50.000	IPG PHOTONICS CORP SYMBOL: IPGP, CUSIP: 44980X109	☐	02/11/13	07/16/13	2,876.30	3,368.32	-492.02
50.000	IPG PHOTONICS CORP SYMBOL: IPGP, CUSIP: 44980X109	☐	02/15/13	07/16/13	2,876.30	3,196.56	-320.26
5.000	IPG PHOTONICS CORP SYMBOL: IPGP, CUSIP: 44980X109	☐	02/11/13	07/16/13	287.63	337.62	-49.99
190.000	LAZARD LTD SHS A SYMBOL: LAZ, CUSIP: G54050102	☑	02/19/13	06/06/13	6,190.55	7,167.39	-976.84
185.000	LAZARD LTD SHS A SYMBOL: LAZ, CUSIP: G54050102	☑	02/19/13	06/24/13	5,744.11	6,978.77	-1,234.66
90.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP: G60754101	☐	08/15/12	02/21/13	5,362.54	4,509.88	852.66
50 000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP: G60754101	☐	10/05/12	03/11/13	2,766.70	2,702.43	64.27
100.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP: G60754101	☐	08/22/12	03/11/13	5,533.39	5,214.60	318.79
75.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP: G60754101	☐	08/15/12	03/11/13	4,150.04	3,758.23	391.81

DEAN FOUNDATION INC
BENNETT LAWRENCE MIDCAP GROWTH
P O BOX 4488
WILMINGTON DE 19807-0488

RECIPIENT'S ACCOUNT NUMBER
897-00022

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
100.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP. G60754101	☐	07/05/13	09/12/13	7,353.98	6,249.05	1,104.93
90.000	MICHAEL KORS HLDGS LTD SYMBOL: KORS, CUSIP. G60754101	☐	07/05/13	12/06/13	7,101.61	5,624.15	1,477.46
50.000	MOHAWK INDUSTRIES INC SYMBOL: MHK, CUSIP: 608190104	☐	11/07/12	06/11/13	5,401.10	4,312.96	1,088.14
40.000	O REILLY AUTOMOTIVE INC SYMBOL: ORLY, CUSIP 67103H107	☐	08/14/13	12/06/13	4,849.88	5,095.37	-245.49
55.000	O REILLY AUTOMOTIVE INC SYMBOL: ORLY, CUSIP: 67103H107	☐	08/14/13	12/09/13	6,804.51	7,006.14	-201.63
30.000	O REILLY AUTOMOTIVE INC SYMBOL: ORLY, CUSIP: 67103H107	☐	08/14/13	12/10/13	3,740.04	3,821.53	-81.49
25.000	O REILLY AUTOMOTIVE INC SYMBOL: ORLY, CUSIP. 67103H107	☐	08/22/13	12/10/13	3,116.70	3,085.00	31.70
50.000	ONYX PHARMACEUTICALS INC CUSIP: 683399109	☐	01/07/13	07/01/13	6,527.77	4,112.04	2,415.73
15.000	ONYX PHARMACEUTICALS INC CUSIP: 683399109	☐	01/07/13	07/10/13	2,007.75	1,233.61	774.~~13~~ .14
20.000	ONYX PHARMACEUTICALS INC CUSIP: 683399109	☐	01/16/13	07/10/13	2,676.99	1,606.03	1,070.96
50.000	ONYX PHARMACEUTICALS INC CUSIP: 683399109	☐	01/16/13	07/15/13	6,546.12	4,015.09	2,531.03
5.000	ONYX PHARMACEUTICALS INC CUSIP: 683399109	☐	01/16/13	07/19/13	635.60	401.51	234.09
45.000	ONYX PHARMACEUTICALS INC CUSIP: 683399109	☐	02/05/13	07/19/13	5,720.38	3,523.69	2,196.69
35.000	PANERA BREAD CO CL A SYMBOL: PNRA, CUSIP: 69840W108	☐	01/02/13	03/21/13	5,740.73	5,633.57	107.16
85.000	PARKER HANNIFIN CORP SYMBOL: PH, CUSIP: 701094104	☐	02/05/13	04/03/13	7,456.36	8,025.24	-568.88
55.000	PHARMACYCLICS INC SYMBOL: PCYC, CUSIP: 716933106	☐	12/18/12	08/15/13	5,844.11	3,454.06	2,390.05
55.000	PRICE T ROWE GROUP INC SYMBOL: TROW, CUSIP: 74144T108	☐	03/05/13	10/01/13	3,951.19	4,096.29	-145.10
50.000	PRICE T ROWE GROUP INC SYMBOL. TROW, CUSIP. 74144T108	☐	06/26/13	10/01/13	3,591.99	3,695.76	-103.77
35.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	04/25/13	06/27/13	2,128.19	2,213.10	-84.91
45.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	12/14/12	06/27/13	2,736.24	2,740.80	-4.56
50.000	RACKSPACE HOSTING INC SYMBOL: RAX, CUSIP: 750086100	☐	03/15/12	02/13/13	3,125.68	2,830.96	294.72

RECIPIENT'S ACCOUNT NUMBER
897-00022

Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
15.000	RACKSPACE HOSTING INC SYMBOL: RAX, CUSIP: 750086100	☐	03/15/12	02/20/13	819.33	849 29	-29.96
55.000	RACKSPACE HOSTING INC SYMBOL: RAX, CUSIP: 750086100	☑	03/15/12	02/20/13	3,004.19	2,784.38	219.81
50.000	RACKSPACE HOSTING INC SYMBOL: RAX, CUSIP: 750086100	☐	03/15/12	02/22/13	2,623.07	2,830.96	-207.~~89~~ .88
20.000	RACKSPACE HOSTING INC SYMBOL: RAX, CUSIP: 750086100	☐	03/15/12	02/26/13	1,092.07	1,132.38	-40.~~31~~ .33
30.000	RACKSPACE HOSTING INC SYMBOL: RAX, CUSIP: 750086100	☑	05/23/12	02/26/13	1,638.11	1,591.05	47.06
50.000	RACKSPACE HOSTING INC SYMBOL: RAX, CUSIP: 750086100	☐	08/07/12	02/26/13	2,730.18	2,523.31	206.87
60.000	RANGE RESOURCES CORP SYMBOL: RRC, CUSIP: 75281A109	☐	11/16/12	10/01/13	4,530.51	4,024.50	506.01
35.000	RED HAT INC SYMBOL: RHT, CUSIP: 756577102	☐	08/07/12	03/12/13	1,777.86	2,087.87	-310.01
50.000	RED HAT INC SYMBOL: RHT, CUSIP: 756577102	☐	05/22/12	03/12/13	2,539.79	2,849.48	-309.69
5.000	RED HAT INC SYMBOL: RHT, CUSIP. 756577102	☐	11/30/12	03/12/13	253.98	248.66	5.32
45.000	RED HAT INC SYMBOL: RHT, CUSIP: 756577102	☐	11/30/12	03/25/13	2,173.53	2,237.97	-64.44
15.000	RED HAT INC SYMBOL: RHT, CUSIP: 756577102	☐	01/02/13	03/28/13	743.70	821.45	-77.75
30.000	RED HAT INC SYMBOL: RHT, CUSIP: 756577102	☐	11/30/12	03/28/13	1,487.41	1,491.98	-4.58
40.000	REGENERON PHARMACEUTICALS INC SYMBOL: REGN, CUSIP: 75886F107	☐	06/06/12	02/07/13	6,496.83	5,346.97	1,149.86
35.000	REGENERON PHARMACEUTICALS INC SYMBOL: REGN, CUSIP: 75886F107	☐	06/06/12	03/26/13	5,911.67	4,678.60	1,233.07
110.000	ROSETTA RESOURCES INC SYMBOL: ROSE, CUSIP: 777779307	☐	12/26/12	02/26/13	5,107.34	4,933.29	174.05
100.000	ROSETTA RESOURCES INC SYMBOL: ROSE, CUSIP: 777779307	☐	01/25/13	07/17/13	4,504.18	5,340.27	-836.09
120.000	ROSETTA RESOURCES INC SYMBOL: ROSE, CUSIP: 777779307	☐	12/26/12	07/17/13	5,405.02	5,381.78	23.24
55.000	SANDISK CORP SYMBOL: SNDK, CUSIP: 80004C101	☐	06/18/13	08/19/13	2,978.93	3,489.63	-510.70
310.000	SANTARUS INC CUSIP: 802817304	☐	06/10/13	10/09/13	6,495.40	6,776.16	-280.76
20.000	SANTARUS INC CUSIP: 802817304	☐	06/18/13	11/08/13	637.14	448.49	188.~~68~~ .65

DEAN FOUNDATION INC
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RECIPIENT'S ACCOUNT NUMBER
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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
210.000	SANTARUS INC CUSIP· 802817304	☐	06/10/13	11/08/13	6,690.02	4,590.31	2,099 71
225.000	SANTARUS INC CUSIP: 802817304	☐	06/18/13	12/10/13	7,160.87	5,045 50	2,115.37
60.000	SBA COMMUNICATIONS CORP CL A SYMBOL: SBAC, CUSIP: 78388J106	☐	02/26/13	08/13/13	4,389 61	4,250.63	138.98
120.000	SBA COMMUNICATIONS CORP CL A SYMBOL: SBAC, CUSIP: 78388J106	☐	01/08/13	08/13/13	8,779 22	8,326.07	453.15
60.000	SOURCEFIRE INC CUSIP: 83616T108	☐	06/11/12	01/30/13	2,399 69	3,095.25	-695.56
45.000	SOURCEFIRE INC CUSIP: 83616T108	☐	06/27/12	02/07/13	1,774.67	2,440.92	-666.26
25.000	SOURCEFIRE INC CUSIP: 83616T108	☐	06/11/12	02/07/13	985 93	1,289.68	.75 -303.~~78~~
50.000	SOURCEFIRE INC ORIGINAL COST 2,373.68 WASH SALE REPLACEMENT ADDITION: 253.50 WASH SALE LOSS DISALLOWED. 0.00 CUSIP: 83616T108	☐	12/11/12	02/11/13	1,996.96	2,627.18	-630.22
85.000	SOURCEFIRE INC CUSIP: 83616T108	☐	08/01/12	02/11/13	3,394.83	3,982.94	-588.11
5.000	SOURCEFIRE INC CUSIP: 83616T108	☐	06/27/12	02/11/13	199.70	271.21	51 -71.~~52~~
150.000	SPLUNK INC COM SYMBOL: SPLK, CUSIP: 848637104	☐	10/11/12	05/30/13	6,740.34	4,761.02	1,979.32
60.000	SUNTRUST BANKS INC SYMBOL: STI, CUSIP: 867914103	☐	06/26/12	02/05/13	1,721.26	1,372.70	348.56
235.000	SUNTRUST BANKS INC SYMBOL: STI, CUSIP: 867914103	☐	03/20/12	02/05/13	6,741.59	5,806.10	935.49
75.000	SUNTRUST BANKS INC SYMBOL: STI, CUSIP: 867914103	☐	07/11/12	02/19/13	2,071.99	1,846.08	225.91
220.000	SUNTRUST BANKS INC SYMBOL: STI, CUSIP: 867914103	☐	06/26/12	02/19/13	6,077.82	5,033.22	1,044.60
50.000	TERADATA CORP SYMBOL: TDC, CUSIP: 88076W103	☐	01/02/13	03/21/13	2,839 09	3,099.60	-260 51
45.000	TRACTOR SUPPLY CO SYMBOL: TSCO, CUSIP: 892356106	☐	04/12/12	03/12/13	4,621.01	4,289.24	331.77
160.000	TRIMBLE NAVIGATION LTD SYMBOL: TRMB, CUSIP: 896239100	☐	02/05/13	05/01/13	4,183.71	5,103.84	-920.13

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Schedule of Realized Gains and Losses (con't)

Short-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
200.000	TRIMBLE NAVIGATION LTD SYMBOL: TRMB, CUSIP: 896239100	☐	02/05/13	05/14/13	5,657.27	6,379.81	-722.53 .54
110.000	TRIMBLE NAVIGATION LTD SYMBOL: TRMB, CUSIP: 896239100	☐	02/12/13	05/14/13	3,111.50	3,371.89	-260.39
115.000	TRIPADVISOR INC SYMBOL: TRIP, CUSIP: 896945201	☐	06/26/13	10/24/13	8,126.02	7,090.43	1,035.59
85.000	TRIUMPH GROUP INC NEW SYMBOL: TGI, CUSIP: 896818101	☐	02/05/13	05/14/13	6,298.15	5,935.50	362.65
80.000	TRIUMPH GROUP INC NEW SYMBOL: TGI, CUSIP: 896818101	☐	02/05/13	07/26/13	6,308.93	5,586.35	722.58
25.000	TRIUMPH GROUP INC NEW SYMBOL: TGI, CUSIP: 896818101	☐	05/02/13	08/08/13	1,925.43	1,874.06	51.37
50 000	TRIUMPH GROUP INC NEW SYMBOL: TGI, CUSIP: 896818101	☐	03/11/13	08/08/13	3,850.86	3,760 97	89.89
225.000	UNITED CONTINENTAL HLDGS INC SYMBOL: UAL, CUSIP. 910047109	☐	03/21/13	06/06/13	6,772.58	7,305.60	-533.02
140.000	UNITED CONTINENTAL HLDGS INC SYMBOL: UAL, CUSIP: 910047109	☐	08/08/13	08/14/13	4,142.26 .27	4,914.89	-772.63 .62
95.000	UNITED CONTINENTAL HLDGS INC SYMBOL: UAL, CUSIP: 910047109	☐	03/26/13	08/14/13	2,810.82	3,066.38	-255.56
85.000	UNITED CONTINENTAL HLDGS INC SYMBOL: UAL, CUSIP: 910047109	☐	03/22/13	08/14/13	2,514.94	2,752.53	-237.59
40.000	UNITED CONTINENTAL HLDGS INC SYMBOL: UAL, CUSIP: 910047109	☐	03/21/13	08/14/13	1,183.50	1,298.77	-115.27
165.000	YELP INC CL A SYMBOL: YELP, CUSIP: 985817105	☐	06/17/13	08/08/13	8,423.79	5,221.37	3,202.42
125.000	YELP INC CL A SYMBOL: YELP, CUSIP: 985817105	☐	06/17/13	08/15/13	5,982.93	3,955.59	2,027.34
	Short-Term Subtotal				526,359.01 **526,358.98**		12,469.53

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
50.000	ALEXION PHARMACEUTICALS INC SYMBOL. ALXN, CUSIP. 015351109	☑	10/22/09	01/23/13	4,587.93	1,192.88	3,395.05
20.000	ALEXION PHARMACEUTICALS INC SYMBOL: ALXN, CUSIP: 015351109	☑	10/22/09	02/12/13	1,791.81	477.15	1,314.66
35.000	ALEXION PHARMACEUTICALS INC SYMBOL: ALXN, CUSIP: 015351109	☑	11/25/09	02/12/13	3,135.66	800.31	2,335.35

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
65.000	ALEXION PHARMACEUTICALS INC SYMBOL: ALXN, CUSIP: 015351109	☑	11/25/09	03/27/13	5,936.29	1,486.29	4,450.00
90.000	AMPHENOL CORP NEW-CL A SYMBOL: APH, CUSIP: 032095101	☑	01/19/06	04/03/13	6,603 51	2,231.52	4,371.99
10.000	AMPHENOL CORP NEW-CL A SYMBOL: APH, CUSIP: 032095101	☑	01/19/06	07/23/13	769.18	247.95	521.23
20.000	AMPHENOL CORP NEW-CL A SYMBOL: APH, CUSIP. 032095101	☑	10/13/10	07/23/13	1,538.35	1,004.77	533.58
80.000	BRUNSWICK CORP SYMBOL: BC, CUSIP: 117043109	☐	06/21/11	03/11/13	2,791.98	1,539.32	1,252.66
10.000	BRUNSWICK CORP SYMBOL: BC, CUSIP: 117043109	☐	07/01/11	06/19/13	313.17	215.33	97.84
190.000	BRUNSWICK CORP SYMBOL: BC, CUSIP: 117043109	☐	06/21/11	06/19/13	5,950.16	3,655.87	2,294.29
105.000	BRUNSWICK CORP SYMBOL: BC, CUSIP: 117043109	☐	07/01/11	06/26/13	3,303.44	2,260.97	1,042.~~48~~ .47
130.000	CEPHEID SYMBOL: CPHD, CUSIP: 15670R107	☐	06/14/11	01/03/13	4,236.60	4,230.27	6.33
35.000	CEPHEID SYMBOL: CPHD, CUSIP: 15670R107	☐	11/16/11	01/07/13	1,146.89	1,228.29	-81.40
25.000	CEPHEID SYMBOL: CPHD, CUSIP: 15670R107	☐	06/17/11	01/07/13	819.21	846.84	-27.63
50.000	CEPHEID SYMBOL: CPHD, CUSIP: 15670R107	☐	06/16/11	01/07/13	1,638.41	1,627.48	10.93
60.000	CEPHEID SYMBOL: CPHD, CUSIP: 15670R107	☐	06/14/11	01/07/13	1,966.10	1,952.43	13.67
30.000	CERNER CORP SYMBOL: CERN, CUSIP: 156782104	☑	12/24/10	07/11/13	1,454.44	679.75	774.69
200.000	CERNER CORP SYMBOL: CERN, CUSIP: 156782104	☐	03/08/11	07/11/13	9,696.24	5,212.21	4,484.03
40.000	LINKEDIN CORPORATION COM CL A SYMBOL: LNKD, CUSIP: 53578A108	☐	03/15/12	06/03/13	6,536.56	3,704.04	2,832.52
90.000	MEDIVATION INC SYMBOL: MDVN, CUSIP: 58501N101	☐	07/11/12	10/09/13	4,568.19	4,084.73	483.46
170 000	MEDIVATION INC SYMBOL: MDVN, CUSIP: 58501N101	☐	06/27/12	10/09/13	8,628 81	7,500 10	1,128 71
120.000	OASIS PETROLEUM INC SYMBOL: OAS, CUSIP: 674215108	☐	01/03/11	04/03/13	4,314.19	3,411.48	902.71
5.000	PANERA BREAD CO CL A SYMBOL: PNRA, CUSIP: 69840W108	☐	08/24/11	02/11/13	816.60	547.80	268.80

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
55.000	PANERA BREAD CO CL A SYMBOL: PNRA, CUSIP. 69840W108	☐	08/10/11	02/11/13	8,982.60	5,565.06	3,417.54
15.000	PANERA BREAD CO CL A SYMBOL: PNRA, CUSIP. 69840W108	☐	08/24/11	03/21/13	2,460.31	1,643.40	816.91
25.000	POLARIS INDUSTRIES INC SYMBOL: PII, CUSIP: 731068102	☑	10/05/10	01/29/13	2,186.98	841.84	1,345.14
35.000	PRICE T ROWE GROUP INC SYMBOL: TROW, CUSIP. 74144T108	☑	10/05/10	08/22/13	2,527.19	1,832.02	695.17
75.000	PRICE T ROWE GROUP INC SYMBOL. TROW, CUSIP: 74144T108	☐	08/01/11	08/22/13	5,415.42	4,317.92	1,097.50
65.000	PRICE T ROWE GROUP INC SYMBOL: TROW, CUSIP: 74144T108	☐	01/10/12	10/01/13	4,669.59	3,948.19	721.40
15 000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	03/21/11	06/11/13	925.28	822.74	102.54
25.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	03/09/11	06/11/13	1,542.13	1,416.51	125.62
50.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	02/10/11	06/11/13	3,084.26	2,875.92	208.34
85.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	01/27/11	06/11/13	5,243.24	4,627.98	615.26
10.000	QUALCOMM INC SYMBOL: QCOM, CUSIP: 747525103	☐	03/21/11	06/27/13	608.05	548.49	59.56
80.000	RANGE RESOURCES CORP SYMBOL: RRC, CUSIP: 75281A109	☐	11/16/12	12/09/13	6,435.81	5,366.00	1,069.81
55.000	RED HAT INC SYMBOL: RHT, CUSIP: 756577102	☐	02/24/12	03/12/13	2,793.77	2,780.61	13.16
35.000	RED HAT INC ORIGINAL COST 1,916.73 WASH SALE REPLACEMENT ADDITION: 153.42 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: RHT, CUSIP: 756577102	☐	01/02/13	03/28/13	1,735.~~31~~ .30	2,070.15	-334.~~84~~ .85
35.000	RED HAT INC ORIGINAL COST 1,809.67 WASH SALE REPLACEMENT ADDITION: 68.99 WASH SALE LOSS DISALLOWED: 0.00 SYMBOL: RHT, CUSIP: 756577102	☐	12/11/12	03/28/13	1,735.31	1,878.66	-143.~~36~~ .35
125 000	RYLAND GROUP INC SYMBOL: RYL, CUSIP. 783764103	☐	11/03/11	01/31/13	4,887.81	1,655 41	3,232 40
150.000	RYLAND GROUP INC SYMBOL: RYL, CUSIP: 783764103	☐	11/03/11	06/10/13	6,155.56	1,986.49	4,169.07
75.000	RYLAND GROUP INC SYMBOL: RYL, CUSIP: 783764103	☐	11/03/11	06/12/13	3,026.42	993.25	2,033.~~18~~ .17

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Schedule of Realized Gains and Losses (con't)

Long-Term (con't)

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
200.000	RYLAND GROUP INC SYMBOL: RYL, CUSIP: 783764103	☐	11/08/11	06/12/13	8,070.46	2,765.50	5,304.96 ✓
35.000	TERADATA CORP SYMBOL: TDC, CUSIP: 88076W103	☑	10/05/10	03/11/13	2,051.69	1,415.10	636.59 ✓
40.000	TERADATA CORP SYMBOL: TDC, CUSIP: 88076W103	☑	09/28/10	03/11/13	2,344.79	1,534.07	810.~~71~~ .72 ✓
50.000	TERADATA CORP SYMBOL: TDC, CUSIP: 88076W103	☐	11/03/11	03/21/13	2,839.09	2,912.05	-72.96 ✓
15.000	TERADATA CORP SYMBOL: TDC, CUSIP: 88076W103	☑	10/05/10	03/21/13	851.73	606.47	245.26 ✓
50.000	TOLL BROTHERS INC SYMBOL: TOL, CUSIP: 889478103	☐	11/08/11	02/20/13	1,722.85	956.14	766.71 ✓
185.000	TOLL BROTHERS INC SYMBOL: TOL, CUSIP: 889478103	☐	11/08/11	06/11/13	5,945.45	3,537.72	2,407.73 ✓
70.000	TOLL BROTHERS INC SYMBOL: TOL, CUSIP: 889478103	☐	11/08/11	08/22/13	2,261.85	1,338.59	923.26 ✓
90.000	TOLL BROTHERS INC SYMBOL: TOL, CUSIP: 889478103	☐	11/10/11	08/22/13	2,908.10	1,696.23	1,211.87 ✓
60.000	TOLL BROTHERS INC SYMBOL: TOL, CUSIP: 889478103	☐	11/10/11	09/27/13	1,969.82	1,130.82	839.00 ✓
130.000	TOLL BROTHERS INC SYMBOL: TOL, CUSIP: 889478103	☐	04/27/12	09/27/13	4,267.94	3,353.24	914.70 ✓
15.000	TRACTOR SUPPLY CO SYMBOL: TSCO, CUSIP: 892356106	☐	02/07/12	03/12/13	1,540.34	1,303.67	236.67 ✓
10.000	ULTA SALON COSMETICS & FRAGRANCE INC SYMBOL: ULTA, CUSIP: 90384S303	☑	10/12/10	02/26/13	860.72	343.89	516.83 ✓
65.000	ULTA SALON COSMETICS & FRAGRANCE INC SYMBOL: ULTA, CUSIP: 90384S303	☑	10/13/10	02/26/13	5,594.70	2,050.93	3,543.77 ✓
65.000	ULTA SALON COSMETICS & FRAGRANCE INC SYMBOL: ULTA, CUSIP: 90384S303	☑	10/07/10	02/26/13	5,594.70	1,862.85	3,731.85 ✓
115.000	WHOLE FOODS MARKET INC SYMBOL: WFM, CUSIP: 966837106	☐	05/12/11	03/06/13	9,741.89	7,291.10	2,450.79 ✓
	Long-Term Subtotal				**205,524.87**		**76,118.09**
Total Gains and Losses					731,883.88		88,585.61

Short-Term	**12,467.53**
Long-Term	**76,118.09**
Term Unknown	**0.00**

DEAN FOUNDATION INC
WENTWORTH HAUSER & VIOLICH
P O BOX 4488
WILMINGTON DE 19807-0488

RECIPIENT'S ACCOUNT NUMBER
897-00021

Schedule of Realized Gains and Losses

This section includes a summary of the short-term or long-term gain or loss from the sale of selected securities in this account. "Short-term" refers to securities held for one year or less; "long-term" refers to securities held more than one year. RBC provides the following Schedule of Realized Gains and Losses as a service to its clients; the information may not be accurate for tax reporting or other purposes and may rely on information, such as the original cost basis for a security, that you or another source at your direction provided to RBC. Cost basis information may reflect a change in the value of certain fixed income and other securities that return or amortize principal over time. In the case of bonds purchased at a premium, cost basis information may be amortized. In addition, cost basis information may reflect accretion for zero coupon and OID bonds. Please refer to IRS Publications 1212 and 550 or consult your tax advisor for additional information.

Please see Form 1099-B for information reported to the IRS.

Short-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
10.000	BROOKFIELD PROPERTY PARTNERS L P SYMBOL: BPY, CUSIP: G16249107	☑	04/15/13	04/16/13	179.95	221.50	-41.55
	Short-Term Subtotal				179.95		-41.55

Long-Term

QUANTITY	DESCRIPTION	NON-COVERED	OPEN DATE	CLOSE DATE	NET PROCEEDS	NET COST	REALIZED GAIN/LOSS
75.000	NESTLE SA-SPONSORED ADR REPSTG REGD ORD (SF 10 PAR) SYMBOL: NSRGY, CUSIP: 641069406	☐	03/12/12	10/17/13	5,297.65	4,662.99	634.66
25.000	TRANSOCEAN LTD US LISTED SYMBOL: RIG, CUSIP: H8817H100	☐	03/12/12	10/17/13	1,088.23	1,304.80	-216.57
250.000	TRANSOCEAN LTD US LISTED SYMBOL: RIG, CUSIP: H8817H100	☐	03/12/12	10/28/13	12,134.73	13,047.96	-913.23
	Long-Term Subtotal				18,520.61		-495.14
	Total Gains and Losses				18,700.56		-536.69
	Short-Term						-41.55
	Long-Term						-495.14
	Term Unknown						0.00

Cash-in-Lieu:

4/15/13 BROOKFIELD PROPERTY PARTNERS L.P. .97 short-term

DEAN FOUNDATION, INC
FORM 990PF - PART XV-LINE 3A-GRANTS & CONTRIBUTIONS
2013

NAME & ADDRESS	STATUS	PURPOSE	AMOUNT
TOWER HILL SCHOOL 2813 WEST 17TH STREET WILMINGTON, DE	P	ANN FUND DRIVE	$1,000 00
PEGGY ADAMS ANIMAL RESCUE LEAGUE 3100/3200 N MILITARY TRAIL WEST PALM BEACH, FL 33409	P	"	$1,000 00
DELAWARE HUMANE ASSOCIATION 701 A STREET WILMINGTON, DE 19801	P	" CAPITAL CAMP	$1,500 00 $1,000 00
THE NATURE CONSERVANCY 4245 N. FAIRFAX DRIVE STE 100 ARLINGTON, VA 22203	P	ANN FUND DRIVE	$1,000 00
WHYY 625 ORANGE STREET WILMINGTON, DE 19801	P	"	$500 00
BOYS & GIRLS CLUB OF DELAWARE 669 SOUTH UNION STREET WILMINGTON, DE 19805	P	"	$1,000 00
ASPCA 424 E. 92ND ST NEW YORK, NY 10128	P	"	$500.00
DELAWARE NATURE SOCIETY P. O. BOX 799 HOCKESSIN, DE 19707	P	"	$1,000.00
THE LAWRENCEVILLE FUND P. O. BOX 6125 LAWRENCEVILLE, NJ 08648	P	"	$3,000 00
DELAWARE ART MUSEUM 2301 KENTMERE PARKWAY WILMINGTON, DE 19806	P	"	$1,500.00
WINTERTHUR RT 52 WINTERTHUR, DE	P	"	$2,500 00

DEAN FOUNDATION, INC
CONTINUED - PAGE 2

CHESTER COUNTY SPCA 1212 PHOENIXVILLE PIKE WEST CHESTER, PA 19380	P	"	$250 00
ADOPT-A-CAT FOUNDATION 804 US HWY 1 UNIT 1 LAKE PARK, FL 33403	P	"	$200 00
DELAWARE WILDLANDS, INC P. O BOX 505 ODESSA, DE 19730-0505	P	"	$250 00
DE CENTER FOR CONTEMPORARY ARTS 200 SOUTH MADISON ST WILMINGTON, DE 19801	P	"	$500 00
FRIENDS OF HILDA CLUB FARM SANCTUARY P O BOX 150 WATKINS GLEN, NY 10128	P	"	$2,500 00
DELAWARE SPCA 455 STANTON CHRISTIANA ROAD NEWARK, DE 19703	P	"	$1,500 00
TIGER HAVEN, INC P. O. BOX 50550 KNOXVILLE, TN 37950-0550	P	"	$500.00
CHRIST CHURCH CHRISTIANA HUNDRED P. O BOX 3510 GREENVILLE, DE 19807	P	"	$6,500.00
DELAWARE HISTORICAL SOCIETY 505 MARKET STREET WILMINGTON, DE 19801	P	"	$500.00
HAGLEY MUSEUM & LIBRARY P. O. BOX 3630 WILMINGTON, DE 19807-0630	P	"	$4,965.00
NAT'L. MUSEUM OF BERMUDA P. O. BOX MA 133 SANDYS MA BX BERMUDA	P	"	$500.00

DEAN FOUNDATION, INC
CONTINUED - PAGE 3

FAITHFUL FRIENDS 12 GERMAY DRIVE WILMINGTON, DE 19804	P	"	$500 00
ASHEVILLE SCHOOL - CODY FUND ASHEVILLE, NC 28806	P	"	$1,900 00
ELEUTHERIAN MILLS RESIDENCE ENDOWMENT FUND P. O BOX 3630 WILMINGTON, DE 19807-0630	P	"	$1,000 00
FRIENDS OF WILMINGTON PARKS P. O. BOX 435 MONTCHANIN, DE 19710-0435	P	"	$500 00
UNITED WAY OF DELAWARE 625 NORTH ORANGE STREET WILMINGTON, DE 19801	P	"	$16,000 00
MEALS ON WHEELS 100 WEST 10TH STREET WILMINGTON, DE	P	"	$100 00
OAKLAND CEMETERY 927 JACKSON ST PAUL, MN 55117	P	"	$500 00
1103 MARKET STREET FDN P. O. BOX 433 WILMINGTON, DE 19899	P	"	$500.00
FIRST PRESBYTERIAN CHURCH OF DELAWARE CITY P. O BOX 4105 DELAWARE CITY, DE 19706	P	"	$1,000.00
TALLEYVILLE FIRE COMPANY P O. BOX 7740 TALLEYVILLE, DE 19803	P	"	$500.00
NEW CASTLE HISTORICAL SOCIETY TWO EAST FOURTH STREET NEW CASTLE, DE 19720	P	"	$500.00

DEAN FOUNDATION, INC
CONTINUED - PAGE 4

WILMINGTON FLOWER MARKET, INC P. O BOX 3525 GREENVILLE, DE 19807	P	"	$250 00
WOODBERRY FOREST AMICI FUND WOODBERRY FOREST SCHOOL WOODBERRY FOREST, VA 22989	P	"	$500 00
BATH & TENNIS CLUB HISTORIC BUILDING FUND 1170 SOUTH OCEAN BLVD. PALM BEACH, FL 33480	P	"	$1,000 00
PRESERVATION FOUNDATION OF PALM BEACH 311 PERUVIAN AVENUE PALM BEACH, FL 33480	P	"	$500 00
TOTAL			$58,915 00