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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation REMINGTON FOUNDATION INC CO KEVIN L DUMAS CPA		A Employer identification number 51-0487546	
Number and street (or P O box number if mail is not delivered to street address) 28 OLD PARK LANE ROAD		B Telephone number (see instructions) (860) 355-2812	
City or town, state or province, country, and ZIP or foreign postal code NEW MILFORD, CT 06776		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 509,738	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	10,967	10,967		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	9,713			
	b Gross sales price for all assets on line 6a 83,183				
	7 Capital gain net income (from Part IV, line 2) . . .		9,713		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	621	621		
	12 Total. Add lines 1 through 11	21,301	21,301		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	101	101		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,815	2,815		0
	24 Total operating and administrative expenses. Add lines 13 through 23	2,916	2,916		0
	25 Contributions, gifts, grants paid	23,000			23,000
	26 Total expenses and disbursements. Add lines 24 and 25	25,916	2,916		23,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-4,615			
	b Net investment income (if negative, enter -0-)		18,385		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	53,531	18,241	18,241
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	239,879	☒ 248,832	408,684
	c	Investments—corporate bonds (attach schedule).	45,485	☒ 44,837	47,079
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	16,174	☒ 38,544	35,734
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
Liabilities	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	355,069	350,454	509,738
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
Net Assets or Fund Balances	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	19,523	19,523	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	335,546	330,931	
	30	Total net assets or fund balances (see page 17 of the instructions)	355,069	350,454	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	355,069	350,454	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	355,069
2	Enter amount from Part I, line 27a	2	-4,615
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	350,454
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	350,454

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	9,713
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	22,000	442,886	0 049674
2011	29,890	448,440	0 066653
2010	24,701	495,527	0 049848
2009	15,000	381,722	0 039296
2008	26,418	473,972	0 055737

2	Total of line 1, column (d).	2	0 261208
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 052242
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	477,557
5	Multiply line 4 by line 3.	5	24,949
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	184
7	Add lines 5 and 6.	7	25,133
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	23,000

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	368
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	368
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	368
6 Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.		7	0
8 Enter any penalty for underpayment of estimated tax Check here ☐ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	368
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded		11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
• By language in the governing instrument, or			
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	No
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions)			
CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?			
If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶ANNE SWIFT Telephone no ▶(860) 355-2812 Located at ▶16 JUDGE ROAD ROXBURY CT ZIP +4 ▶06783			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.				
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes	☐ No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		No
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANNE SWIFT	PRESIDENT/SECRETARY	0	0	0
16 JUDGE ROAD	5 00			
ROXBURY, CT 06783				
LEE LORD	VICE	0	0	0
16 JUDGE ROAD	PRESIDENT/TREASURER			
ROXBURY, CT 06783	5 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	449,084
b	Average of monthly cash balances.	1b	35,745
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	484,829
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	484,829
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	7,272
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	477,557
6	Minimum investment return. Enter 5% of line 5.	6	23,878

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	23,878
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	368
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	368
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	23,510
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	23,510
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	23,510

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	23,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	23,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	23,000
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1		Distributable amount for 2013 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2013			
a		Enter amount for 2012 only.			
b		Total for prior years 20__, 20__, 20__			
3		Excess distributions carryover, if any, to 2013			
a		From 2008.			
b		From 2009.			
c		From 2010.			
d		From 2011.			
e		From 2012.			
f		Total of lines 3a through e.			
4		Qualifying distributions for 2013 from Part XII, line 4 \$ 23,000			
a		Applied to 2012, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2013 distributable amount.			
e		Remaining amount distributed out of corpus			
5		Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions.			
e		Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.			
f		Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).			
8		Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).			
9		Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.			
10		Analysis of line 9			
a		Excess from 2009.			
b		Excess from 2010.			
c		Excess from 2011.			
d		Excess from 2012.			
e		Excess from 2013.			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

ANNE SWIFT

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> BUFFALO THERAPEUTIC RIDING CENTER 950 AMHERST STREET BUFFALO, NY 14216	N/A	PUBLIC CHARITY	FURTHER RESEARCH OF THE ORGANIZATION	5,000
HOUSATONIC VALLEY ASSOCIATION 150 KENT ROAD CORNWALL BRIDGE, CT 06754	N/A	PUBLIC CHARITY	FURTHER RESEARCH OF THE ORGANIZATION FURTHER RESEARCH OF THE ORGANIZATION	10,000
NEW MILFORD VISITING NURSE HOSPICE 68 PARK LANE ROAD NEW MILFORD, CT 06776	N/A	PUBLIC CHARITY	FURTHER RESEARCH OF THE ORGANIZATION	3,000
ROXBURY LAND TRUST 7 SOUTH STREET ROXBURY, CT 06783	N/A	PUBLIC CHARITY	FURTHER PRESERVATION OF LAND	5,000
Total			 3a	23,000
b <i>Approved for future payment</i>				
Total			 3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

TY 2013 IRS Payment**Name:** REMINGTON FOUNDATION INC CO KEVIN L DUMAS CPA**EIN:** 51-0487546**Type of Account:** Checking**Payment Amount in Dollars and** 368**Cents:****Requested Payment Date:** 2014-05-12**Taxpayer's Daytime Phone** (860) 355-2812
Number:

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
100 TIFFANY & CO	P		2013-03-21
19 14 MFB NORTHRERN FUNDS BD INDEX	P		2013-08-16
100 COACH INC	P	2013-02-27	2013-10-23
7 PIMCO COMMODITY REAL RETURN	P		2013-10-29
170 AMERISOURCE BERGEN	P		2013-01-09
50 DEERE & CO	P	2011-05-19	2013-03-19
1956 3 MFB NORTHERN FUNDS BD INDEX	P		2013-08-16
75 BAXTER INTL INC	P	2009-06-15	2013-09-25
100 COACH INC	P	2012-08-07	2013-10-23
684 51 PIMCO COMMODITY REALTRETURN	P		2013-10-29
600 NABORS INDUSTRIES LTD	P		2013-11-18
100 MFC SPDR GOLD TR GOLD	P		2013-04-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,908		5,389	1,519
199		207	-8
4,882		4,819	63
40		47	-7
7,456		2,258	5,198
4,517		4,353	164
20,365		20,315	50
5,027		2,649	2,378
4,882		5,625	-743
3,915		5,186	-1,271
10,472		11,682	-1,210
14,520		10,940	3,580

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,519
			-8
			63
			-7
			5,198
			164
			50
			2,378
			-743
			-1,271
			-1,210
			3,580

**TY 2013 Investments Corporate
Bonds Schedule****Name:** REMINGTON FOUNDATION INC CO KEVIN L DUMAS CPA**EIN:** 51-0487546

Name of Bond	End of Year Book Value	End of Year Fair Market Value
FIXED INCOME - SEE ATTACHED	44,837	47,079

**TY 2013 Investments Corporate
Stock Schedule**

Name: REMINGTON FOUNDATION INC CO KEVIN L DUMAS CPA
EIN: 51-0487546

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EQUITIES - SEE ATTACHED	248,832	408,684

TY 2013 Investments - Other Schedule**Name:** REMINGTON FOUNDATION INC CO KEVIN L DUMAS CPA**EIN:** 51-0487546

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
COMMODITIES - SEE ATTACHED	AT COST	19,244	18,870
REAL ESTATE FUNDS - SEE ATTACHED	AT COST	19,300	16,864

TY 2013 Other Expenses Schedule

Name: REMINGTON FOUNDATION INC CO KEVIN L DUMAS CPA

EIN: 51-0487546

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT FEES	2,815	2,815		0

TY 2013 Other Income Schedule

Name: REMINGTON FOUNDATION INC CO KEVIN L DUMAS CPA

EIN: 51-0487546

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
NORTHERN TRUST-CAP GAIN DISTRIB	621	621	621

TY 2013 Taxes Schedule

Name: REMINGTON FOUNDATION INC CO KEVIN L DUMAS CPA

EIN: 51-0487546

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX PAID	101	101		0



Account Statement

December 1, 2013 - December 31, 2013

Account Number 23-54725
THE REMINGTON FOUNDATION, INC.

Portfolio Details

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Equity Securities - Large Cap									
APPLE INC COM STK (AAPL)	\$561.110	20.00	\$11,222.20	\$6,707.99	\$4,514.21		\$244.00	2.2%	2.7%
AT&T INC COM (T)	35.160	150.00	5,274.00	4,608.45	665.55		276.00	5.2%	1.3%
BANK OF AMERICA CORP (BAC)	15.570	800.00	12,456.00	7,539.36	4,916.64		32.00	0.3%	3.0%
BAXTER INTL INC COMMON STOCK (BAX)	69.550	74.00	5,146.70	2,613.72	2,532.98	36.26	145.04	2.8%	1.3%
BRISTOL MYERS SQUIBB CO COMMON STOCK (BMY)	53.150	175.00	9,301.25	8,951.60	349.65	63.00	252.00	2.7%	2.3%
BROADCOM CORP CL A (BRCM)	29.650	200.00	5,930.00	6,927.86	(997.86)		88.00	1.5%	1.5%
CBS CORP NEW CL B (CBS)	63.740	300.00	19,122.00	5,627.97	13,494.03	36.00	144.00	0.8%	4.7%
CISCO SYSTEMS INC COMMON STOCK (CSCO)	22.450	400.00	8,980.00	7,902.40	1,077.60		272.00	3.0%	2.2%
CITIGROUP INC COM NEW COM NEW (C)	52.110	130.00	6,774.30	6,792.49	(18.19)		5.20	0.1%	1.7%
COCA COLA CO COM (KO)	41.310	100.00	4,131.00	2,587.50	1,543.50		112.00	2.7%	1.0%
COMCAST CORP NEW-CL A (CMCSA)	51.965	200.00	10,393.00	4,800.52	5,592.48	39.00	156.00	1.5%	2.5%
CONOCOPHILLIPS COM (COP)	70.650	268.00	18,934.20	7,522.76	11,411.44		739.68	3.9%	4.6%
CVS CAREMARK CORP COM STK (CVS)	71.570	200.00	14,314.00	6,621.00	7,693.00		220.00	1.5%	3.5%
EMC CORP COM (EMC)	25.150	250.00	6,287.50	6,311.05	(23.55)		100.00	1.6%	1.5%
GENERAL ELECTRIC CO (GE)	28.030	300.00	8,409.00	5,123.82	3,285.18	66.00	264.00	3.1%	2.1%
GOOGLE INC CL A CL A (GOOG)	1,120.710	10.00	11,207.10	5,335.00	5,872.10				2.7%

Continued on next page.



Northern Trust

Account Statement

December 1, 2013 - December 31, 2013

Account Number 23-54729
THE REMINGTON FOUNDATION, INC.

Portfolio Details *(continued)*

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
INTERNATIONAL BUSINESS MACHS CORP COM (IBM)	187.570	15.00	2,813.55	1,246.34	1,567.21		57.00	2.0%	0.7%
JPMORGAN CHASE & CO COM (JPM)	58.480	163.00	9,532.24	6,463.88	3,068.36		247.76	2.6%	2.3%
MASTERCARD INC CL A (MA)	835.460	15.00	12,531.90	6,139.33	6,392.57		66.00	0.5%	3.1%
MC DONALDS CORP. COMMON STOCK, NO PAR (MCD)	97.030	50.00	4,851.50	3,496.00	1,355.50		162.00	3.3%	1.2%
MERCK & CO INC NEW COM (MRK)	50.050	200.00	10,010.00	7,924.99	2,085.01	88.00	352.00	3.5%	2.4%
MFC SELECT SECTOR SPDR TR UTILS (XLU)	37.970	200.00	7,594.00	5,828.00	1,766.00		293.00	3.9%	1.9%
MICROSOFT CORP COM (MSFT)	37.430	200.00	7,486.00	5,153.70	2,332.30		224.00	3.0%	1.8%
PEPSICO INC COM (PEP)	82.940	50.00	4,147.00	2,968.00	1,179.00	28.37	113.50	2.7%	1.0%
PFIZER INC COM (PFE)	30.630	300.00	9,189.00	4,851.00	4,338.00		288.00	3.1%	2.2%
PRUDENTIAL FINL INC COM (PRU)	92.220	100.00	9,222.00	5,419.00	3,803.00		212.00	2.3%	2.3%
QUALCOMM INC COM (QCOM)	74.250	100.00	7,425.00	5,936.58	1,488.42		140.00	1.9%	1.8%
SCHLUMBERGER LTD COM COM (SLB)	90.110	50.00	4,505.50	3,044.00	1,461.50	15.62	62.50	1.4%	1.1%
STATE STR CORP COM (STT)	73.390	118.00	8,660.02	4,137.82	4,522.20	30.68	122.72	1.4%	2.1%
TJX COS INC COMMON NEW (TJX)	63.730	658.00	41,934.34	7,741.96	34,192.38		381.64	0.9%	10.3%
TYCO INTERNATIONAL LTD(SWITZERLAND) COM USD0.80 (TYC)	41.040	148.00	6,073.92	1,921.54	4,152.38		94.72	1.6%	1.5%
UNION PAC CORP COM (UNP)	168.000	100.00	16,800.00	5,674.01	11,125.99	79.00	316.00	1.9%	4.1%
UNITED TECHNOLOGIES CORP COM (UTX)	113.800	50.00	5,690.00	3,939.50	1,750.50		118.00	2.1%	1.4%

Continued on next page.





Account Statement

December 1, 2013 - December 31, 2013

Account Number 23-54729
THE REMINGTON FOUNDATION, INC.

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
UNITEDHEALTH GROUP INC COM (UNH)	75.300	100.00	7,530.00	6,804.96	725.04		112.00	1.5%	1.8%
VERIZON COMMUNICATIONS COM (VZ)	49.140	130.00	6,388.20	5,384.35	1,003.85		275.60	4.3%	1.6%
WAL-MART STORES, INC. COMMON STOCK, \$.10 PAR (WMT)	78.690	100.00	7,869.00	4,955.00	2,914.00	47.00	188.00	2.4%	1.9%
YUM BRANDS INC COM (YUM)	75.610	100.00	7,561.00	7,129.19	431.81		148.00	2.0%	1.9%
Total Large Cap			\$355,696.42	\$202,132.64	\$153,563.78	\$528.93	\$7,024.36	2.0%	87.0%
Equity Securities - Mid Cap									
ADT CORP COM (ADT)	\$40.470	74.00	\$2,994.78	\$1,228.92	\$1,765.86		\$37.00	1.2%	0.7%
HOSPIRA INC COM (HSP)	41.280	60.00	2,476.80	3,468.60	(991.80)				0.6%
Total Mid Cap			\$5,471.58	\$4,697.52	\$774.06		\$37.00	0.7%	1.3%
Equity Securities - International Developed									
MFB NORTHERN MULTI-MANAGER INTL EQUITY FUND (NMIX)	\$10.940	2,172.71	\$23,769.44	\$19,445.73	\$4,323.71		\$269.42	1.1%	5.8%
Total International Region - USD			\$23,769.44	\$19,445.73	\$4,323.71		\$269.42	1.1%	5.8%
VODAFONE GROUP PLC NEW SPONSORED ADR (VOD)	39.310	200.00	7,862.00	5,324.00	2,538.00	109.37	318.00	4.0%	1.9%
Total United Kingdom - USD			\$7,862.00	\$5,324.00	\$2,538.00	\$109.37	\$318.00	4.0%	1.9%
Total International Developed			\$31,631.44	\$24,769.73	\$6,861.71	\$109.37	\$587.42	1.9%	7.7%

Continued on next page.

Account Statement

December 1, 2013 - December 31, 2013

Account Number 23-54729
THE REMINGTON FOUNDATION, INC.

Portfolio Details (continued)

	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Equity Securities - International Emerging									
MFB NORTHERN FDS MULTI-MANAGER EMERGING MKTS EQUITY FD (NMMEX)	\$19.050	623.43	\$11,876.34	\$12,105.83	(\$229.49)		\$131.54	1.1%	2.9%
Total Emerging Markets Region - USD			\$11,876.34	\$12,105.83	(\$229.49)		\$131.54	1.1%	2.9%
TEVA PHARMACEUTICAL INDS (TEVA)	40.080	100.00	4,008.00	5,126.00	(1,118.00)		37.84	0.9%	1.0%
Total Israel - USD			\$4,008.00	\$5,126.00	(\$1,118.00)		\$37.84	0.9%	1.0%
Total International Emerging			\$15,884.34	\$17,231.83	(\$1,347.49)		\$169.39	1.1%	3.9%
Total Equity Securities			\$408,683.78	\$248,831.72	\$159,852.06	\$638.30	\$7,818.16	1.9%	100.0%

	Market Price	Par Value/ Rating	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield/ YTM	% of Assets
Fixed Income Securities - Corporate/ Government									
Funds									
MFB NORTHERN HIGH YIELD FIXED INCOME FUND (NHFIX)	\$7.490	3,714.39	\$27,820.78	\$25,537.07	\$2,283.71		\$1,801.48	6.5%	59.1%
Total Corporate/ Government			\$27,820.78	\$25,537.07	\$2,283.71		\$1,801.48	6.5%	59.1%

Fixed Income Securities - High Yield

Funds									
MFO FRANKLIN INVS SECS TR FLTG RATE DAILY ACCESS FD ADVISOR (FDAAX)	\$9.210	2,091.01	\$19,258.20	\$19,300.00	(\$41.80)		\$733.94	3.8%	40.9%

Continued on next page.





Account Statement

December 1, 2013 - December 31, 2013

Account Number 23-54729
THE REMINGTON FOUNDATION, INC.

Portfolio Details (continued)

	Market Price	Par Value/ Rating	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield/ YTM	% of Assets
Total High Yield			\$19,258.20	\$19,300.00	(\$41.80)		\$733.94	3.8%	40.9%
Total Fixed Income Securities			\$47,078.98 ✓	\$44,837.07	\$2,241.91		\$2,535.42	5.4%	100.0%
	Market Price	Shares	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Real Estate - Real Estate Funds									
MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD (NGREX)	\$9.070	1,859.35	\$16,864.30	\$19,300.00	(\$2,435.70)		\$576.40	3.4%	100.0%
Total Real Estate Funds			\$16,864.30	\$19,300.00	(\$2,435.70)		\$576.40	3.4%	100.0%
Total Real Estate			\$16,864.30	\$19,300.00	(\$2,435.70)		\$576.40	3.4%	100.0%
	Market Price	Units	Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield	% of Assets
Commodities - Commodities									
MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD (GUNR)	\$34.310	550.00	\$18,870.50	\$19,244.50	(\$374.00)	\$382.34	\$382.25	2.0%	100.0%
Total Global Region - USD			\$18,870.50	\$19,244.50	(\$374.00)	\$382.34	\$382.25	2.0%	100.0%
Total Commodities			\$18,870.50	\$19,244.50	(\$374.00)	\$382.34	\$382.25	2.0%	100.0%
Total Commodities			\$18,870.50	\$19,244.50	(\$374.00)	\$382.34	\$382.25	2.0%	100.0%

Continued on next page.



Northern Trust

Account Statement

December 1, 2013 - December 31, 2013

Account Number 23-54729
THE REMINGTON FOUNDATION, INC.

Portfolio Details (continued)

	Market Price		Market Value	Cost	Unrealized Gain/(Loss)	Accrual	Estimated Annual Income	Yield/ YTM	% of Assets
Cash and Short Term Investments - Cash									
<i>Available cash balances are invested daily in MFB NORTHERN FDS MONEY MKT FD MONEY MARKET FUND .</i>									
PRINCIPAL CASH	\$1.000	(15,919.05)	(\$15,919.05)	(\$15,919.05)	\$0.00				
INCOME CASH	1.000	34,108.57	34,108.57	34,108.57	0.00				
Total Cash			\$18,189.52	\$18,189.52	\$0.00	\$0.11	\$1.82	0.0%	
Total Cash and Short Term Investments			\$18,189.52	\$18,189.52	\$0.00	\$0.11	\$1.82	0.0%	
Total Portfolio			\$509,687.08	\$350,402.81	\$159,284.27	\$1,020.75	\$11,314.05	2.2%	
Total Accrual			\$1,020.75						
Total Value			\$510,707.83						

