



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



CISXWT5B34

Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation

THOMAS ANTHONY PAPIAS CHARITABLE FOUNDATION, INC.

A Employer identification number

51-0153284

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. BOX 463

Room/suite

B Telephone number (see instructions)

781-862-2802

City or town, state or province, country, and ZIP or foreign postal code

BELMONT, MA, 02478C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

H Check type of organization:

☐ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☒ Other taxable private foundation

I Fair market value of all assets at

J Accounting method: ☒ Cash ☐ Accrual

end of year (from Part II, col. (c),

☐ Other (specify)line 16) **\$ 14,244,268**

(Part I, column (d) must be on cash basis)

If the foundation is in a 60-month termination

under section 507(b)(1)(B), check here ☐**Part I**

Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

(a) Revenue and expenses per books

(b) Revenue and expenses per books

(c) Revenue and expenses per books

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received (attach schedule)

2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets

b Gross sales price for all assets on line 6a

7 Capital gain net income (from Part IV, line 1)

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss) (attach schedule)

11 Other income (attach schedule)

12 Total. Add lines 1 through 11

13 Compensation of officers, directors, trustees, etc.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees (attach schedule)

b Accounting fees (attach schedule)

c Other professional fees (attach schedule)

17 Interest

18 Taxes (attach schedule) (see instructions)

19 Depreciation (attach schedule) and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses (attach schedule)

24 Total operating and administrative expenses. Add lines 13 through 23

25 Contributions, gifts, grants paid

26 Total expenses and disbursements. Add lines 24 and 25

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

b Net investment income (if negative, enter -0-)

c Adjusted net income (if negative, enter -0-)

206448 206448 206448**238940**

INTERNAL REVENUE SERVICE
W & I - FIELD ASSISTANCE
BOSTON, MA 02203

238940**296.156****MAY 13 2014****RECEIVED****11509****445388 445388 502604****419004 183017 183017 235987****5098 2294 2294 2804****44400 33300 33300 11100****35409 11304 11304 14465****1383 0 0****20284 10142 10142 10142****77974 40127 40127 37847****603552 280184 280184 312345****599300 599300****1263852 280184 280184 911645****757464****165204****222420**

For Paperwork Reduction Act Notice, see Instructions.

Cat No 11289X

Form 990-PF (2013)

7102 0 2 NIP CENMVC

Rec in Bostn City
Corres Cgcn
1 8 2014

✓

CISXWT5B34

Form 990-PF (2013)

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year		End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	186,867	184,009	184,009	
	2	Savings and temporary cash investments	5,106,348	2,838,757	2,838,757	
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges	44,414	32,837	32,837	
	10a	Investments—U.S. and state government obligations (attach schedule)	4,496,808	3,998,923	3,999,800	
	b	Investments—corporate stock (attach schedule)	10,840,525	12,874,355	6,908,145	
	c	Investments—corporate bonds (attach schedule)	250,000	250,000	267,118	
	11	Investments—land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)					
14	Land, buildings, and equipment basis ▶					
	Less: accumulated depreciation (attach schedule) ▶	14,985	13,602	13,602		
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	20,939,947	20,192,483	14,244,268		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds	20,939,947	20,192,483		
	28	Paid-in or capital surplus, or land, bldg, and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see instructions)	20,939,947	20,192,483		
31	Total liabilities and net assets/fund balances (see instructions)	20,939,947	20,192,483			

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1 20,939,947
2	Enter amount from Part I, line 27a	2 <757,464>
3	Other increases not included in line 2 (itemize) ▶ CONTRIBUTION RETURNED	3 10,000
4	Add lines 1, 2, and 3	4 20,192,483
5	Decreases not included in line 2 (itemize) ▶	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 20,192,483

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c	See ATTACHED LIST	P	See List
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c	21,377,587	-	21,138,647
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gain (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	238,940
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	296,156

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

N/A

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2	Total of line 1, column (d)	2
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4
5	Multiply line 4 by line 3	5
6	Enter 1% of net investment income (1% of Part I, line 27b)	6
7	Add lines 5 and 6	7
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)	1	0
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	3304
3	Add lines 1 and 2	3	3304
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	3304
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	20022
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	20022
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	16718
11	Enter the amount of line 10 to be credited to 2014 estimated tax	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1a			X
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
1b			X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file Form 1120-POL for this year?	1c	X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0 (2) On foundation managers. ▶ \$ 0		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	N/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MASSACHUSETTS		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ►	13	X	
14	The books are in care of ► <u>THE FOUNDATION</u> Telephone no. ► <u>781-862-2802</u> Located at ► <u>P.O. Box 463, Belmont, MA</u> ZIP+4 ► <u>02478-0004</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Yes No

1a	During the year did the foundation (either directly or indirectly):	
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b X
	Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):	
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No **5b** N/A
- Organizations relying on a current notice regarding disaster assistance check here ☐ **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No **N/A**
- If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN PAPPAS, 3 MILITIA DRIVE, LEXINGTON, MA	PRES. TR. DIR 38	229,804	NONE	NONE
SOPHIA SACKER, 14 RICHMOND RD, WILKESLEY, MA	V-P DIR 32	52,933	NONE	NONE
Betsy DEMETRIAN, 12 WOODRIDGE CR, WESTON, MA	V-P DIR 32	52,933	NONE	NONE
THOMAS PAPPAS, FOREST POND, BOURNE, MA	V-P DIR 32	83,334	NONE	NONE
DONALD YOUNG, 99 PARADISE RD, SWAMPSCOTT, MA	Director -	44,400	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ☐

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000

(b) Type of service

(c) Compensation

NONE

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1

2

NONE

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1

2

NONE

All other program-related investments See instructions

3

Total. Add lines 1 through 3

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 12,213,413
b	Average of monthly cash balances	1b 1,865,627
c	Fair market value of all other assets (see instructions)	1c 46,439
d	Total (add lines 1a, b, and c)	1d 14,125,473
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2	Acquisition indebtedness applicable to line 1 assets	2 0
3	Subtract line 2 from line 1d	3 14,125,473
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4 211,882
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 13,913,591
6	Minimum investment return. Enter 5% of line 5	6 695,680

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 695,680
2a	Tax on investment income for 2013 from Part VI, line 5	2a 3304
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 3,304
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 692,376
4	Recoveries of amounts treated as qualifying distributions	4 10,000
5	Add lines 3 and 4	5 702,376
6	Deduction from distributable amount (see instructions)	6 0
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 702,376

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a 911,645
b	Program-related investments—total from Part IX-B	1b 0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2 0
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a 0
b	Cash distribution test (attach the required schedule)	3b 0
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 911,645
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5 0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 911,645

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				702,376
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			227,069	
b Total for prior years 20__, 20__, 20__				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 911,645				
a Applied to 2012, but not more than line 2a			227,069	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				684,576
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				17,800
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling _____
- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)
- 2a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- | Tax year | Prior 3 years | | | (e) Total |
|----------|---------------|----------|----------|-----------|
| (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | |
| | | | | |
- b** 85% of line 2a _____
- c** Qualifying distributions from Part XII, line 4 for each year listed _____
- d** Amounts included in line 2c not used directly for active conduct of exempt activities _____
- e** Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c _____
- 3** Complete 3a, b, or c for the alternative test relied upon.
- a** "Assets" alternative test—enter:
- (1) Value of all assets _____
- (2) Value of assets qualifying under section 4942(j)(3)(B)(i) _____
- b** "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed _____
- c** "Support" alternative test—enter:
- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) _____
- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) _____
- (3) Largest amount of support from an exempt organization _____
- (4) Gross investment income _____

N/A

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
- NONE*
- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- NONE*
- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.
- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
- JOHN C. PAPPAS, P.O. BOX 463, BELMONT, MA. 02478-0004 781-862-2802*
- b** The form in which applications should be submitted and information and materials they should include:
- See Schedule*
- c** Any submission deadlines:
- September 30*
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
- See Schedule*

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				

SEE SCHEDULE ATTACHED 501(c)(3) SEE SCHEDULE 599,300

Total ▶ 3a

b Approved for future payment

NEWTON-WELLESLEY HOSPITAL	501(c)(3) CANCER PROGRAM	150,000
NOBLES SCHOOL	501(c)(3) SCHOLARSHIP	150,000

Total ▶ 3b 300,000

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income	Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	0	
4 Dividends and interest from securities			14	206,448	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	238,440	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				445,388	
13 Total. Add line 12, columns (b), (d), and (e)				13 445,388	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? Yes No
- a** Transfers from the reporting foundation to a noncharitable exempt organization of
- (1) Cash 1a(1) X
- (2) Other assets 1a(2) X
- b** Other transactions:
- (1) Sales of assets to a noncharitable exempt organization 1b(1) X
- (2) Purchases of assets from a noncharitable exempt organization 1b(2) X
- (3) Rental of facilities, equipment, or other assets 1b(3) X
- (4) Reimbursement arrangements 1b(4) X
- (5) Loans or loan guarantees 1b(5) X
- (6) Performance of services or membership or fundraising solicitations 1b(6) X
- c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees 1c X
- d** If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
-------------	---------------------	---	--

NONE

NONE

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
NONE	NONE	NONE

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name

Preparer's signature

Date

Check ☒ if self-employed

PTIN

DONALD J. YOUNG

Donald J. Young

5-12-14

P01252332

Firm's name ▶ DONALD J. YOUNG, CPA

Firm's EIN ▶ 26-1240211

Firm's address ▶ 990 PARADISE ROAD, SWAMPSCOTT, MA, 01907

Phone no. 781-665-1166

Form 990-PF (2013)

COPY OF CIS CASE DON'T REQUEST SIGNATURE

TAPCF Donations 2013

990-PF - 51-0153284 - 2013 P.1 of 2

Name of Organization	Location	Amount	Purpose	Chk #	Date	Bank
Health						
Jana Farber	Palm Beach, FL	\$25,000	Discovery Ball	3808	2/27/2013	CT
Leukemia & Lymphoma Society	Natick, MA	\$5,000	Patient Access program	3980	12/11/2013	CT
Newton Wellesley Hospital	Newton, MA	\$100,000	Pledge	3981	12/11/2013	CT
Total Health		\$130,000				
Education						
Brown University	Providence, RI	\$10,000	Annual Fund	3982	12/11/2013	CT
Cuvilly Arts & Earth Center	Ipswich, MA	\$10,000	Musical Garden	3983	12/11/2013	CT
Nobles & Greenough School	Dedham, MA	\$50,000	Pledge	3984	12/11/2013	CT
North Bennet Street School	Boston, MA	\$10,000	Financial Aid	3985	12/11/2013	CT
Perkins School	Watertown, MA	\$10,000	2013 Gala	3837	4/17/2013	CT
Tabor	Marion, MA	\$5,000	Pledge	3986	12/11/2013	CT
UNCF	Boston, MA	\$10,000	General	3987	12/11/2013	CT
Wheaton College	Norton, MA	\$25,000	Pappas Scholarship Fund	3988	12/11/2013	CT
Total Education		\$130,000				
Arts						
Cape Cod Symphony	Yarmouth Port, MA	\$5,000	Young Peoples Concert	3989	12/11/2013	CT
Huntington Theatre	Boston, MA	\$10,000	Educational Outreach	3990	12/11/2013	CT
ICA	Boston, MA	\$10,000	Teen Programs	3991	12/11/2013	CT
Isabella Stewart Gardner Museum	Boston, MA	\$10,000	General	3992	12/11/2013	CT
Peabody Essex Museum	Salem, MA	\$10,000	ArtLink Program	3993	12/11/2013	CT
Stage Source	Boston, MA	\$5,000	Educational Outreach	3994	12/11/2013	CT
Total Arts		\$50,000				
Social Welfare						
Black Ministerial Alliance	Roxbury, MA	\$7,500	Victory Generation Program	3995	12/11/2013	CT
Bridgewell	Lynnfield, MA	\$5,000	Autism or Homeless Programs	3996	12/11/2013	CT
Camp Sunshine	Casco, ME	\$8,000	Oncology/Camperships	3854	5/15/2013	CT
Cape Abilities	Hyannis, MA	\$5,000		4031	12/11/2013	CT
Career Collaborative	Boston, MA	\$8,000	Employment programs for poor	3997	12/11/2013	CT
Career Resources Corp.	Haverhill, MA	\$5,000	Technology Project	3998	12/11/2013	CT
Caritas Communities	Braintree, MA	\$7,500	Patriot Homes Project	3999	12/11/2013	CT
The Children's Room	Arlington, MA	\$10,000	Grieving children programs	4000	12/11/2013	CT
Community Servings	Jamaica Plain, MA	\$5,000	Food & Nutrition Programs	4001	12/11/2013	CT
Cradles to Crayons	Brighton, MA	\$8,000	Gear Up for Winter Program	4002	12/11/2013	CT
Elizabeth Stone House	Jamaica Plain, MA	\$7,500	Path to Self Sufficiency Prgm	4003	12/11/2013	CT
Emerge	Cambridge, MA	\$5,000	Fatherhood Program	4004	12/11/2013	CT
The Family Center	Somerville, MA	\$5,000	Parenting Journey Program	4005	12/11/2013	CT
Families for Depression Aware.	Waltham, MA	\$10,000	Teen Depression Program	4006	12/11/2013	CT
Girl Scouts	Boston, MA	\$5,000	FaB Factor Program	4007	12/11/2013	CT
Goddard House	Brookline, MA	\$5,000	Making Music Connections	4008	12/11/2013	CT
Jeanne Geiger Crisis Center	Newburyport, MA	\$10,000	COVE	4009	12/11/2013	CT
Jewish Community Housing/Eld	Brighton, MA	\$5,000	Caring Choices Program	4010	12/11/2013	CT
Merrimack Valley Food Bank	Lowell, MA	\$5,000	Food Distribution Program	4011	12/11/2013	CT
National Braille Press	Boston, MA	\$5,000	Hands On! Books for Blind	4012	12/11/2013	CT
Old Sturbridge Village	Sturbridge, MA	\$5,000	Free admission for students	4013	12/11/2013	CT
Partners for Youth w/ Disabilities	Boston, MA	\$5,000	Making Healthy Connections	4014	12/11/2013	CT
Plimoth Plantation	Plymouth, MA	\$10,000	Foundations for Kids/Field Trips	4015	12/11/2013	CT
Roca	Chelsea, MA	\$5,000	Juvenile Justice Program	4016	12/11/2013	CT
Root Cause	Boston, MA	\$5,000	Social Innovation Forum	4017	12/11/2013	CT
Samaritans	Boston, MA	\$10,000	Ed. Outreach/Texting Program	4018	12/11/2013	CT
Share Our Strength	Boston, MA	\$300	General	3848	4/29/2013	CT
Trustees of Reservations	Beverly, MA	\$10,000	Various	4020	12/11/2013	CT

United South End Settlements	Boston, MA	\$5,000	Camp Hale	4021	12/11/2013	CT
Vermont Institute of Natural Sci	Quechee, VT	\$5,000	Summer Camp	4022	12/11/2013	CT
Voices Against Violence	Framingham, MA	\$7,500	Emergency Shelter	4023	12/11/2013	CT
Windrush Farms	N. Andover, MA	\$10,000	Therapeutic Horseback Prgms	4024	12/11/2013	CT
Winslow Farm Animal Sanctuary	Norton, MA	\$5,000	Vetinary Care for Animals	4025	12/11/2013	CT
Women's Lunch Place	Boston, MA	\$5,000	Programs	4026	12/11/2013	CT
Year Up	Boston, MA	\$7,500	4 Learning Communiites	4027	12/11/2013	CT

Total Social Welfare \$226,800

CISXWT5B34

Religion

American Baptists Church	Groton, MA	\$10,000	Camp Grotonwood	4019	12/11/2013	CT
Assumption GO Church	Ipswich, MA	\$10,000	Hellenic Center Restoration	4028	12/11/2013	CT
Cape Cod Church	East Falmouth, MA	\$15,000	Youth Center	4032	12/11/2013	CT
Catholic Chanties	Boston, MA	\$7,500	Nazareth Residence	4029	12/11/2013	CT
Greek Cathedral of N.E.	Brookline, MA	\$5,000	Purchase Silver Cross	3699	2/27/2013	CT
Greek Cathedral of N.E	Brookline, MA	\$5,000	General	3871	6/10/2013	CT
St. Katherine GO Church	Melbourne, FL	\$5,000	In Memory of Andrew Kalton	3838	4/17/2013	CT
Unitarian Universalist Urban MI	Roxbury, MA	\$5,000	Jericho Road Program	4030	12/11/2013	CT

Total Religion \$52,500

Total Donations 2013 \$599,300

TAPCF-990-PF-51-0153284-2013 R 20F2

CISXWT5B34

THOMAS ANTHONY PAPPAS CHARITABLE FOUNDATION, INC.

990-PF-2013-51-0153284

PART I - LINE 4

PREPARED BY	DATE
REVIEWED BY	WP NO.

1	2	3	4	5	6	7	
1	PART I. LINE 4 - DIV. DIVIDENDS + INTEREST FROM SECURITIES						1
2							2
3	INTEREST INCOME		18501				3
4	DIVIDEND INCOME		216130				4
5			234631				5
6							6
7	LESS - FOREIGN TAX WITHHELD		(128183)				7
8							8
9	PART I. LINE 4		206448				9
10							10
11							11
12							12
13							13
14							14
15							15
16							16
17							17
18							18
19							19
20							20
21							21
22							22
23							23
24							24
25							25
26							26
27							27
28							28
29							29
30							30
31							31
32							32
33							33

THOMAS ANTHONY PAPPAS CHARITABLE FOUNDATION, INC.

990-PF-2013-51-0153284-PART COL D + PART XII

PREPARED BY

DATE

REVIEWED BY

WP NO

BASIS FOR ALLOCATION OF ADMINISTRATIVE EXPENSES

ITEM	AMOUNT	DETAIL
COMPENSATION OF OFFICERS	235987	JOHN PAPPAS 50% CHARITABLE - 50% INVESTMENT THOMAS PAPPAS 50% CHARITABLE - 50% INVESTMENT Betsy DIMITRIAK 90% CHARITABLE - 10% INVESTMENT Sophia SACHS 40% CHARITABLE - 60% INVESTMENT
LEGAL	166 2804	55% CHARITABLE - 45% INVESTMENT
ACCOUNTING FEES	166 11100	25% CHARITABLE - 75% INVESTMENT
TAXES	18 14465	PAYROLL TAXES APPROXIMATED AS PAYROLL
OCCUPANCY	20 10142	50% CHARITABLE - 50% INVESTMENT
OTHER EXPENSES	23 37847	48.54 CHARITABLE - 51.46 INVESTMENT
	24 <u>312348</u>	

THE ABOVE ALLOCATIONS ARE BASED ON THE BEST ESTIMATES
OF TIME INVOLVED AND OCCUPANCY UTILIZED BY THOSE
DIRECTLY INVOLVED FOR CHARITABLE AND INVESTMENT PURPOSES.

THOMAS ANTHONY PAPPAS CHARITABLE FOUNDATION, INC.
 990-PF-2013-51-0153284 PART II + PART I

PREPARED BY	DATE
REVIEWED BY	WP NO.

	1	2	3	4	5	6	7	
1	<u>PART II-LINE 14</u>		1-1-2013	ADDITIONS	12-31-2013			1
2	BALANCE EQUIPMENT FURNITURE	103006	xx		103006			2
3	+ OFFICE EQUIPMENT							3
4	ACCUMULATED DEPRECIATION	88021	1383		89404			4
5		14985	1383		13602			5
6								6
7								7
8	<u>PART I. SCHEDULES</u>							8
9	<u>PART I-LINE 18-TAXES</u>		Col. a	Col. b	Col. c	Col. d		9
10	PAYROLL	25684	11219		11219	14465		10
11	990-PF 2012	9640	xx		xx	xx		11
12	COMM OF MASS-PC	70	70		70	xx		12
13	COMM CFMA-ANNUAL REPORT	15	15		15	xx		13
14		35409	11304		11304	14465		14
15								15
16								16
17								17
18	<u>PART I-LINE 23-OTHER EXPENSES</u>							18
19	INSURANCE	68282	34141		34141	34141		19
20	BANK CHARGES	150	150		150	xx		20
21	INVESTMENT*	2131	2131		2131	xx		21
22	TELEPHONE	3504	1752		1752	1752		22
23	OFFICE EXPENSES	3907	1953		1953	1954		23
24		77974	40127		40127	37847		24
25								25
26								26
27								27
28								28
29								29
30								30
31								31
32								32
33								33

CISXWT5B34

THOMAS ANTHONY PAPPAS CHARITABLE FOUNDATION, INC.

990PF-2013-51-0153284

SCHEDULES

PREPARED BY

DATE

REVIEWED BY

WF NO.

PART II Line 10a, 10b, + 10c - INVESTMENT - SECURITIESUNITED STATES BILLS, NOTES, + BONDS

December 31, 2013

SHARES/PAR DESCRIPTION DUE DATE RATEBOOK VALUE FAIR MARKET VALUE

1	2000000	BILLS	2-13-14	—		1999247	1999960	1
2	1000000	BILLS	2-20-14	—		999803	999870	2
3	1000000	BILLS	3-20-14	—		999873	999970	3
4					10 a	3998923	3999800	4
5								5
6		<u>COMMON AND PREFERRED STOCK</u>						6
7	55000	COMMON	SHARES	BARRICK		2196174	969650	7
8	36400			NEWMONT MINING		1864020	838292	8
9	35000			GOLDCORP		1488940	758450	9
10	15000			PENN WEST ENERGY		271454	125400	10
11	10000			YAMANA		176461	86200	11
12	35000			PANAMERICAN SILVER		850102	409500	12
13	10000			AK STEEL		167090	82000	13
14	15000			SILVER CORP.		185970	34350	14
15	3075			TBT		392559	243540	15
16	3750			GDXJ		358948	116438	16
17	5000			RUSSELL TWM		739896	240600	17
18	6250			SOS PROSHARES		480030	185375	18
19	50000			RUBICON		186505	43050	19
20	10000			U.S. STEEL		361980	295000	20
21	10000			ALCOA		113702	106300	21
22	10000			ARCH COAL		154415	44500	22
23	10000			SILVER STANDARD		165686	69600	23
24	10000			AGNICO		415351	263800	24
25	10000			CLIFF NATURAL RESOURCES		374579	262100	25
26	10000			CONAGRA		315218	337000	26
27	10000			ENCANA		179781	180500	27
28	10000			EXELON		294189	273900	28
29	20000			KINROSS		171004	87600	29
30	10000			SILVER WHEATON		329132	201900	30
31	10000			TRANSOCEAN		471195	494200	31
32	10000			STAPLES		169975	158900	32
33					10 b	12874356	6908145	33
34								34
35		<u>CORPORATE BONDS + NOTES</u>						35
36	250000	ROYAL BANK	2-15-17	5.35%		250000	267118	36
37		OF SCOTLAND						37
38					10 c	250000	267118	38
39								39
40	<u>TOTAL LINES 10a, 10b AND 10c</u>					17123279	11175063	40
41								41
42								42

THOMAS ANTHONY PAPPAS CHARITABLE FOUNDATION, INC.
 PART IV - CAPITAL GAINS AND LOSSES
 990-PF-2013-51-0153284

PREPARED BY
 REVIEWED BY
 DATE
 WP NO

1	2	3	4	5	6	7	8	9
LIST + DESCRIPTION	DATE	DATE	SALE	COST AND	GAIN	L-		
PROPERTY SOLD	ACQUIRED	SOLD	PRICE	EXPENSE	OR	T		
#			GROSS	OF SALE	(LOSS)	DR		
SHARES						ST		
1 ARCKER DAN ELS	10000	P 1-24-13	2-20-13	326005	286052	39953	S	
2 BRINKS	5000	P 3-26-09	1-31-13	152278	143955	8323	L	
3 DUKE ENERGY	3333	P 10-26-11	2-20-13	230339	204383	25956	L	
4 HASBRO	5000	P 2-15-12	2-20-13	206336	184366	21970	L	
5 HEWLETT-PACKARD	10000	P 1-14-13	2-20-13	191254	158803	32451	S	
6 TALISMAN	10000	P 2-7-12	1-31-13	117221	128190	(10969)	S	
7 SEALED AIR	10000	P 1-30-13	3-19-13	239561	188432	51129	S	
8 HEWLETT-PACKARD	10000	P 1-14-13	3-19-13	217797	158803	58994	S	
9 OLIN	10000	P 2-20-13	3-28-13	248267	230500	17767	S	
10 CECIL MASON	10000	P 2-22-13	3-20-13	319270	279792	39478	S	
11 EXELON	10000	P 1-24-13	3-25-13	335815	302879	32936	S	
12 ALLIANCEONE	10000	P 2-4-04	4-18-13	36590	73274	(36684)	L	
13 AQUA AMERICA	6666	P 9-1-05	4-2-13	209497	177547	31950	L	
14 BAKER HUGHES	5000	P 2-22-12	4-15-13	224921	263571	(38650)	S	
15 CAL. WATER	10000	P 2-13-13	4-15-13	198184	199320	(1136)	S	
16 SANMATEO RES.	10000	P 3-21-13	4-15-13	296652	327352	(30700)	S	
17 CHES ENERGY	10000	P 1-30-13	4-3-13	192776	203261	(10485)	S	
18 DIEBOLD	10000	P 2-22-13	4-18-13	291112	289461	1651	S	
19 ENCAKA	20000	P 10-26-11	2-15-13	375602	387206	(11604)	L	
20 FREEPORT	10000	P 2-21-13	4-15-13	298229	319878	(21649)	S	
21 GRIMM ENERGY	4000	P 6-11-03	4-18-13	93444	115159	(21715)	L	
22 HARSco	10000	P 1-24-13	4-3-13	237639	251980	(14341)	S	
23 ISHARES TRUST	10000	P 11-9-11	4-18-13	134493	173994	(39501)	L	
24 INTEL	20000	P 1-14-13	4-18-13	427442	429605	(2163)	S	
25 MARVEL	10000	P 4-8-13	4-15-13	102411	103824	(1413)	S	
26 MICROSOFT	5000	P 11-8-04	4-18-13	142947	150003	(7056)	L	
27 NY COMM BANK	8000	P 3-26-05	4-18-13	107768	145615	(37847)	L	
28 RABBIT ENERGY	10000	P 4-8-13	4-15-13	195779	201765	(5986)	S	
29 Peoples BANK	10000	P 3-22-13	4-15-13	130724	135220	(4496)	S	
30		SUBTOTALS	FORWARD	6280403	6214190	66213	30	
31							31	
32							32	
33							33	
34							34	
35							35	
36							36	
37							37	
38							38	
39							39	
40							40	

CISXWT5B34

THOMAS ANTHONY PAPPAS CHARITABLE FOUNDATION, INC.

PART IV page 2: CAPITAL GAINS AND LOSSES

990-PF-2013-51-0153284

PREPARED BY

DATE

REVIEWED BY

WF NO

1	2	3	4	5	6	7	8	9
LIST + DESCRIPTION	HOW	DATE	DATE	SALE	COST AND	GAIN		
PROPERTY SOLD	ACQUIRED	SOLD	PRICE	EXPENSE	OR			
#			GROSS	OF SALE	(LOSS)			
SHARES	A							
Q								
1								
SUB-TOTALS FORWARD				6280403	6214190	66213		
2	PITNEY BOWES	10000	P	4.3.13	4.15.13	146125	144849	1276 S
3	AES	10000	P	3.21.13	4.29.13	136360	124672	11688 S
4	COTT	10000	P	4.26.13	5.1.13	95271	109827	(14556) S
5	TELADIASYSTEMS	10000	P	5.10.13	5.21.13	245496	225797	19699 S
6	ASSURED GUARANTY	10000	P	4.26.13	5.8.13	226623	203945	22778 S
7	AVON	10000	P	4.26.13	5.8.13	234265	223378	10887 S
8	ACTIVISION BLIZZARD	10000	P	4.20.13	6.12.13	142923	145811	(2888) S
9	KEY CORP.	10000	P	5.21.13	6.12.13	104658	109457	(4799) S
10	MANULIFE	10000	P	5.10.13	6.12.13	156726	153321	3405 S
11	INVIDIA	10000	P	5.10.13	6.12.13	139558	144115	(4557) S
12	WESTERN UNION	10000	P	5.10.13	6.12.13	164916	161932	2984 S
13	XEROX	10000	P	4.3.13	6.12.13	89085	86878	2207 S
14	DONNELLY	10000	P	5.21.13	8.5.13	190098	131504	58594 S
15	SAFEWAY	10000	P	3.21.13	9.16.13	282737	249406	33331 S
16	VEOLIA	10000	P	4.12.13	9.16.13	174169	135233	38936 S
17	CORNING	10000	P	9.4.13	10.16.13	143409	142190	1219 S
18	DIEBOLD	10000	P	9.9.13	10.16.13	291200	292139	(939) S
19	ST MICRO	10000	P	5.21.13	10.16.13	87829	99029	(11200) S
20	PRECISION DRILL	10000	P	8.8.13	10.16.13	106197	105678	519 S
21	VON LINE	10000	P	8.8.13	10.16.13	80916	85765	(4849) S
22	SUNCOR	10000	P	2.21.12	10.16.13	358625	349631	8994 S
23						9877587	9638647	238940 S
24	3 MILLION U.S. TREASURY			7.23.12	1.24.13	3000000	3000000	0 S
25	1500000	B		8.13.12	2.14.13	1500000	1500000	0 S
26	2000000	/		2.15.13	8.15.13	2000000	2000000	0 S
27	2000000	L		5.13.13	8.15.13	2000000	2000000	0 S
28	1000000	L		6.20.13	9.19.13	1000000	1000000	0 S
29	2000000	B		8.15.13	11.14.13	2000000	2000000	0 S
30						21377587	21138647	238940 S
31								
32						PART IV. LINE 2	238940	
33						PART IV. LINE 3	296156	
34								
35								
36								
37								

990-PF-2013

PART XV 6

THOMAS ANTHONY PAPPAS
CHARITABLE FOUNDATION, INC.
POST OFFICE BOX 463
BELMONT, MASSACHUSETTS 02478
(781) 862-2802

FID # 51-0153284

To apply for a grant from the Thomas Anthony Pappas Charitable Foundation, please submit a letter, preferably not exceeding three pages, describing the project/program for which the grant is being sought. In addition, please include the following: a brief history of the organization, a list of directors and staff, a copy of your most recent financial statements, the proposed project or program budget with reference to other funding sources, and a copy of your tax-exempt status.

If any additional information is required, a representative from the Foundation Office will contact you. The Board meets quarterly, and as required. Proposal submission deadline is September thirtieth of each calendar year. Since proposals are reviewed throughout the year, we encourage you to submit your request before the September thirtieth deadline.

Sincerely,

Betsy P. Demirjian
Executive Vice President

PART XV - LINE 2d - RESTRICTIONS

NO PRIVATE FOUNDATIONS WILL BE CONSIDERED
WITHOUT COMPLETE EXPENDITURE CONTROL