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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning 01/30/13 , and ending 12/31/13

Name of foundation: JOHN AND ROBYN HORN FOUNDATION INC

A Employer identification number: 46-1908869

Number and street (or P O box number if mail is not delivered to street address): 24300 CHENAL PARKWAY, BOX 71 — Room/suite:

B Telephone number (see instructions): 501-831-2872

City or town, state or province, country, and ZIP or foreign postal code: LITTLE ROCK AR 72223

C If exemption application is pending, check here ▶ ☐

G Check all that apply: [X] Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 6,791,897

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	6,147,805			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	84,514	83,535		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	409,051			
	b	Gross sales price for all assets on line 6a 8,372,093				
	7	Capital gain net income (from Part IV, line 2)		409,051		
	8	Net short-term capital gain			0	
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule) STMT 1	-1,189	-1,189		
	12	**Total.** Add lines 1 through 11	6,640,181	491,397	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	39,667			39,667
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) SEE STMT 2	7,437			7,437
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STMT 3	4,206	921		3,285
	19	Depreciation (attach schedule) and depletion STMT 4	4,918			
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (att sch) STMT 5	46,599	44,381		2,218
	24	**Total operating and administrative expenses.** Add lines 13 through 23	102,827	45,302	0	52,607
	25	Contributions, gifts, grants paid	358,100			358,100
	26	**Total expenses and disbursements.** Add lines 24 and 25	460,927	45,302	0	410,707
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	6,179,254			
	b	**Net investment income** (if negative, enter -0-)		446,095		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions))		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1 Cash – non-interest-bearing			18,851	18,852
2 Savings and temporary cash investments				
3 Accounts receivable ▶				
Less allowance for doubtful accounts ▶				
4 Pledges receivable ▶				
Less allowance for doubtful accounts ▶				
5 Grants receivable				
6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
7 Other notes and loans receivable (att schedule) ▶				
Less allowance for doubtful accounts ▶	0			
8 Inventories for sale or use				
9 Prepaid expenses and deferred charges				
10a Investments – U S and state government obligations (attach schedule)				
b Investments – corporate stock (attach schedule) SEE STMT 6			6,157,309	6,769,951
c Investments – corporate bonds (attach schedule)				
11 Investments – land, buildings, and equipment basis ▶				
Less accumulated depreciation (attach sch) ▶				
12 Investments – mortgage loans				
13 Investments – other (attach schedule)				
14 Land, buildings, and equipment basis ▶	8,012			
Less accumulated depreciation (attach sch) ▶ STMT 7	4,918		3,094	3,094
15 Other assets (describe ▶)				
16 **Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)		0	6,179,254	6,791,897
Liabilities 17 Accounts payable and accrued expenses				
18 Grants payable				
19 Deferred revenue				
20 Loans from officers, directors, trustees, and other disqualified persons				
21 Mortgages and other notes payable (attach schedule)				
22 Other liabilities (describe ▶)				
23 **Total liabilities** (add lines 17 through 22)		0	0	
Net Assets or Fund Balances **Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.** ▶ ☐				
24 Unrestricted				
25 Temporarily restricted				
26 Permanently restricted				
Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☒				
27 Capital stock, trust principal, or current funds			6,179,254	
28 Paid-in or capital surplus, or land, bldg , and equipment fund				
29 Retained earnings, accumulated income, endowment, or other funds				
30 **Total net assets or fund balances** (see instructions)		0	6,179,254	
31 **Total liabilities and net assets/fund balances** (see instructions)		0	6,179,254	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	0
2 Enter amount from Part I, line 27a	2	6,179,254
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	6,179,254
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	6,179,254

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo day yr)	(d) Date sold (mo day yr)
1a	SEE WORKSHEET			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	409,051
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	246,389

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

N/A

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			

2	**Total** of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter **(attach copy of letter if necessary—see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	8,922
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	8,922
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	8,922
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	8,922
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ______ **(2)** On foundation managers ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ AR			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

				Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		13	X	
	Website address ▶ NONE				
14	The books are in care of ▶ PAT FORGY 9600 CEDAR GLEN RD	Telephone no ▶ 501-831-2872			
	Located at ▶ ROLAND AR	ZIP+4 ▶ 72135			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year	▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.				
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement – see instructions)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53 4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X (No)
If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE FOUNDATION DOES NOT OPERATE ANY ACTIVITY. IT ONLY DONATES TO CHARITABLE ORGANIZATIONS APPROVED BY THE IRS. ALL DONATIONS ARE LISTED ON PART XV OF THIS RETURN.	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	5,657,043
b	Average of monthly cash balances			1b	344,572
c	Fair market value of all other assets (see instructions)			1c	3,094
d	**Total** (add lines 1a, b, and c)			1d	6,004,709
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0		
2	Acquisition indebtedness applicable to line 1 assets			2	0
3	Subtract line 2 from line 1d			3	6,004,709
4	Cash deemed held for charitable activities Enter 1½% of line 3 (for greater amount, see instructions)			4	90,071
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	5,914,638
6	**Minimum investment return.** Enter 5% of line 5			6	272,235

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	272,235
2a	Tax on investment income for 2013 from Part VI, line 5	2a	8,922		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	8,922
3	Distributable amount before adjustments Subtract line 2c from line 1			3	263,313
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	263,313
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	263,313

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	410,707
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	410,707
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	410,707

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					263,313
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only					
b Total for prior years 20 ___ , 20 ___ , 20 ___					
3 Excess distributions carryover, if any, to 2013					
a From 2008					
b From 2009					
c From 2010					
d From 2011					
e From 2012					
f **Total** of lines 3a through e					
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 410,707					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required – see instructions)					
c Treated as distributions out of corpus (Election required – see instructions)					
d Applied to 2013 distributable amount					263,313
e Remaining amount distributed out of corpus		147,394			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		147,394			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d Subtract line 6c from line 6b Taxable amount – see instructions					
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions					
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)					
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		147,394			
10 Analysis of line 9					
a Excess from 2009					
b Excess from 2010					
c Excess from 2011					
d Excess from 2012					
e Excess from 2013	147,394				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

PAT FORGY
24300 CHENAL PKWY, #71 LITTLE ROCK AR 72223

b The form in which applications should be submitted and information and materials they should include

SEE COPY OF APPLICATION GUIDELINES ATTACHED.

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE COPY OF APPLICATION GUIDELINES ATTACHED.

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED				358,100
Total			▶ 3a	358,100
b Approved for future payment SEE ATTACHED				50,000
Total			▶ 3b	50,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	84,514	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	-1,189	
8 Gain or (loss) from sales of assets other than inventory			18	409,051	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		0		492,376	0

13 **Total.** Add line 12, columns (b), (d), and (e) 13 492,376

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Robyn H Horn | 5/13/14 | PRESIDENT

Signature of officer or trustee | Date | Title

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed
SHERYL SANDLIN HARTON	SHERYL SANDLIN HARTON	05/12/14	
Firm's name ▶ SANDLIN & PARHAM LTD		PTIN	P00921787
Firm's address ▶ 1852 E JOYCE BLVD FAYETTEVILLE, AR 72703		Firm's EIN ▶ 71-0635331	Phone no 479-521-5611

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF
▶ Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization	Employer identification number
JOHN AND ROBYN HORN FOUNDATION INC	46-1908869

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc , contributions of $5,000 or more during the year ▶ $

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
JOHN AND ROBYN HORN FOUNDATION INC	46-1908869

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	JOHN AND ROBYN HORN 24300 CHENAL PKWY, #71 LITTLE ROCK AR 72223	$ 6,147,805	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization: JOHN AND ROBYN HORN FOUNDATION INC

Employer identification number: 46-1908869

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	WAL-MART STOCK - 87,000 SHARES	$ 6,047,805	02/04/13

(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Capital Gains and Losses for Tax on Investment Income

Form **990-PF** | For calendar year 2013, or tax year beginning 01/30/13 , and ending 12/31/13 | **2013**

Name	Employer Identification Number
JOHN AND ROBYN HORN FOUNDATION INC	46-1908869

	(a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co	(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day yr)
(1)	SEE ATTACHED STEPHENS 52661	P	VARIOUS	VARIOUS
(2)	SEE ATTACHED STEPEHENS 45387	P	VARIOUS	VARIOUS
(3)	SEE ATTACHED STEPHENS 43302	P	VARIOUS	VARIOUS
(4)	SEE ATTACHED STEPENS 43302	P	VARIOUS	VARIOUS
(5)	WAL-MART STOCK 25,000 SHARES	P	02/04/13	02/07/13
(6)	WAL-MART STOCK 62,000 SHARES	P	02/04/13	02/08/13
(7)	STEPHENS INC 43302			
(8)				
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
(1)	146,215		141,685	4,530
(2)	161,034		158,857	2,177
(3)	291,598		311,971	-20,373
(4)	1,403,053		1,302,723	100,330
(5)	1,778,908		1,737,875	41,033
(6)	4,428,623		4,309,931	118,692
(7)	162,662			162,662
(8)				
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
(1)				4,530
(2)				2,177
(3)				-20,373
(4)				100,330
(5)				41,033
(6)				118,692
(7)				162,662
(8)				
(9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 11 - Other Income

Description	Revenue per Books	Net Investment Income	Adjusted Net Income
ATLAS ENERGY	$ -1,189	$ -1,189	$
TOTAL	$ -1,189	$ -1,189	$ 0

Statement 2 - Form 990-PF, Part I, Line 16a - Legal Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
LEGAL FEES	$ 7,437	$	$	$ 7,437
TOTAL	$ 7,437	$ 0	$ 0	$ 7,437

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES	$ 921	$ 921	$	$
PAYROLL TAXES	3,285			3,285
TOTAL	$ 4,206	$ 921	$ 0	$ 3,285

Statement 4 - Form 990-PF, Part I, Line 19 - Depreciation

Description / Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
KEYBOARD							
2/19/13	$ 53	$	200DB	5	$ 32	$	$
EXTERNAL HARD DRIVE							
2/19/13	250		200DB	5	150		
MACBOOK PRO							
2/20/13	3,459		200DB	5	2,075		

Federal Statements

Statement 4 - Form 990-PF, Part I, Line 19 - Depreciation (continued)

Description Date Acquired	Cost Basis	Prior Year Depreciation	Method	Life	Current Year Depreciation	Net Investment Income	Adjusted Net Income
EPSON PRINTER							
2/19/13	$ 114	$	200DB	7	$ 65	$	$
OFFICE FOR MAC							
2/19/13	239			3	156		
QUICKBOOKS							
2/25/13	133			3	87		
MICROSOFT OFFICE							
4/12/13	152			3	95		
WINDOWS 7							
4/12/13	217			3	136		
GRANT TRACKING							
4/22/13	3,395			3	2,122		
TOTAL	$ 8,012	$ 0			$ 4,918	$ 0	$ 0

Statement 5 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
	$	$	$	$
EXPENSES				
INVESTMENT EXPENSE	44,381	44,381		
BUSINESS FEES	850			850
POSTAGE	46			46
TRAVEL AND MEETING	407			407
OTHER	49			49
SUPPLIES	535			535
DUES & SUBSCRIPTIONS	331			331
TOTAL	$ 46,599	$ 44,381	$ 0	$ 2,218

Federal Statements

Statement 6 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
STEPHENS 43302	$	$ 5,252,632		$ 5,560,872
STEPHENS 45387		599,747		787,959
STEPHENS 52661		303,069		419,259
STEPHENS 43302		3,407		3,407
PTP ADJ		-1,546		-1,546
TOTAL	$ 0	$ 6,157,309		$ 6,769,951

Statement 7 - Form 990-PF, Part II, Line 14 - Land, Building, and Equipment

Description	Beginning Net Book	End Cost / Basis	End Accumulated Depreciation	Net FMV
	$	$ 8,012	$ 4,918	$ 3,094
TOTAL	$ 0	$ 8,012	$ 4,918	$ 3,094

Statement 8 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc.

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
PAT FORGY 9600 CEDAR GLEN RD ROLAND AR 72135	EXECUTIVE DI	40.00	36,667	0	0
MIA HALL 1911 N CLEVELAND STREET LITTLE ROCK AR 72207	DIRECTOR	5.00	1,500	0	0
STONEY LAMAR 367 THOMPSON RD SALUDA NC 28773	DIRECTOR	5.00	1,500	0	0
GAYLE CORLEY 17 PINE MANOR DRIVE	DIRECTOR	5.00	0	0	0

Federal Statements

Statement 8 - Form 990-PF, Part VIII, Line 1 - List of Officers, Directors, Trustees, Etc. (continued)

Name and Address	Title	Average Hours	Compensation	Benefits	Expenses
LITTLE ROCK AR 72207					
JOHN HORN 24300 CHENAL PKWY, #71 LITTLE ROCK AR 72223	DIRECTOR	0.00	0	0	0
ROBYN HORN 24300 CHENAL PKWY, #71 LITTLE ROCK AR 72223	DIRECTOR	0.00	0	0	0

Federal Statements

Form 990-PF, Part XV, Line 2b - Application Format and Required Contents

Description
SEE COPY OF APPLICATION GUIDELINES ATTACHED.

Form 990-PF, Part XV, Line 2c - Submission Deadlines

Description
NONE

Form 990-PF, Part XV, Line 2d - Award Restrictions or Limitations

Description
SEE COPY OF APPLICATION GUIDELINES ATTACHED.

Form **4562**

Department of the Treasury Internal Revenue Service (99)

Depreciation and Amortization

(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013

Attachment Sequence No 179

Name(s) shown on return: JOHN AND ROBYN HORN FOUNDATION INC

Identifying number: 46-1908869

Business or activity to which this form relates: INDIRECT DEPRECIATION

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost

7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12 ▶	13	

Note: Do not use Part II or Part III below for listed property Instead, use Part V

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	4,009
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	526

Part III MACRS Depreciation (Do not include listed property) (See instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	0
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only–see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property		1,880	5.0	HY	200DB	375
c 7-year property		57	7.0	HY	200DB	8
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

(a)	(b)	(c)	(d)	(e)	(f)	(g)
20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	4,918
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

THERE ARE NO AMOUNTS FOR PAGE 2

Federal Statements

Tax-Exempt Dividends from Securities

Description		Amount	Unrelated Business Code	Exclusion Code	Postal Code	InState Muni ($ or %)
STEPHENS 45387	$	619		14		
STEPHENS 43302		329		14		
STEPHENS 52661		31		14		
TOTAL	$	979				

Taxable Dividends from Securities

Description		Amount	Unrelated Business Code	Exclusion Code	Postal Code	US Obs ($ or %)
STEPHENS INC 52661	$	540		14		
STEPHENS INC 45387		1,695		14		
STEPHENS INC 43302		81,300		14		
TOTAL	$	83,535				

Other Investment Income

Description		Amount	Unrelated Business Code	Exclusion Code	Postal Code
ATLAS ENERGY	$	-1,189		18	
TOTAL	$	-1,189			

John & Robyn Horn Foundation

24300 Chenal Parkway #71
Little Rock, Arkansas 72223
jrhornfoundation@gmail.com

APPLICATION GUIDELINES

The John and Robyn Horn Foundation is a private grant-making foundation established in 2013. The missión of our foundation is to support art and art education projects. No grants are made for undesignated annual fund gifts, debt retirement, group travel for performance or competition, completed projects, private religious schools or individuals. The foundation prefers projects of its own initiative, rather than funding unsolicited grants. Priority will be given to matching fund requests.

The Board will consider requests from non-profit organizations in the Untied States. Requests for projects outside the United States are not considered for funding. While the foundation will consider multi-year grant support, the project should not rely upon foundation grants for continuation. The project should either conclude at the end of the grant period or show a reasonable plan for moving to self-sufficiency.

Grant applications may be submitted at any time during the year for consideration. The executive director will notify the organization of receipt of the application and whether it fits within the foundation's giving interests. Generally, the Board will meet in April, August and November. Organizations should not submit more than one application every twelve months.

An organization wishing to apply to the foundation for grant consideration should submit:

- A short letter (2 pages) from the CEO or board chairman containing
    - A short summary of the request
    - A brief history of the organization
    - The project need and impact
    - Proposed process outcome measures
- A copy of the most recent IRS tax exempt determination letter
- A copy of your latest audited financial statement
- A current budget, including a budget for the proposed project
- A list of gifts and pledges to date, by category, and projected sources to complete the project
- A list of current board members
- Contact information: Name, title, complete address, telephone and email

Correspondence or questions should be sent to John and Robyn Horn Foundation at the email address above.

John + Robyn Horn Fdn 46-1908869

Stephens Inc. CORRECTED 2013 Composite Statement of 1099 Forms Account Number 322452661

1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

This is important tax information and is being furnished to the Internal Revenue Service If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported

All proceeds are reported to the IRS, but only the covered securities will report cost basis information to the IRS. Those transactions with "Covered" under Box 6 will report cost basis to the IRS. We are providing the cost basis information for the noncovered securities to you for your convenience while preparing your tax return.

Box 1A DATE OF SALE	Box 1B DATE OF ACQUISITION	CUSIP	Box 1E QUANTITY	Box 1D SYMBOL	Box 8 DESCRIPTION	Box 2A GROSS PROCEEDS LESS COMMISSIONS	Box 3 COST BASIS	Box 4 FEDERAL INCOME TAX WITHHELD	Box 5 WASH SALE LOSS DISALLOWED	Box 6 NONCOVERED/ COVERED SECURITY	Box 1C TYPE OF GAIN/LOSS	REALIZED GAIN/LOSS
07/05/2013	02/14/2013	343498101	0 50	FLO	FLOWERS FOODS INC	$10 73	$9 37	$0 00	$0 00	Covered	SHORT TERM	$1 36
06/19/2013	03/18/2013	78709Y105	0 50	SAIA	SAIA INC	$23 89	$11 86	$0.00	$0 00	Covered	SHORT TERM	$12 03
05/16/2013	02/14/2013	101388106	415 00	EPAY	BOTTOMLINE TECHNOLGIES INC	$11,475 24	$11,337 80	$0 00	$0 00	Covered	SHORT TERM	$137 44
03/08/2013	02/14/2013	13123X102	2,035 00	CPE	CALLON PETROLEUM CO	$10,533 33	$11,363.44	$0 00	$0 00	Covered	SHORT TERM	($830.11)
03/18/2013	02/14/2013	150838100	545 00	CGI	CELADON GROUP INC	$11,224.34	$11,275.07	$0 00	$0 00	Covered	SHORT TERM	($50 73)
04/22/2013	02/14/2013	19239V302	455 00	CCOI	COGENT COMMUNICATIONS GROUP INC	$12,519.26	$11,276 12	$0 00	$0 00	Covered	SHORT TERM	$1,243 14
10/09/2013	04/22/2013	29444U502	55 00	EQIX	EQUINIX INC	$9,021 62	$11,726 39	$0 00	$0 00	Covered	SHORT TERM	($2,704 77)
10/03/2013	05/16/2013	42235N108	395 00	HPY	HEARTLAND PAYMENT SYSTEMS INC	$15,309 23	$12,884 86	$0 00	$0.00	Covered	SHORT TERM	$2,424 37
06/12/2013	02/14/2013	501014104	875 00	KKD	KRISPY KREME DOUGHNUTS INC	$15,380 39	$11,333 00	$0 00	$0 00	Covered	SHORT TERM	$4,047 39
05/09/2013	02/14/2013	580037109	880 00	MDR	MCDERMOTT INTERNATIONAL INC	$8,804 02	$11,290 40	$0 00	$0 00	Covered	SHORT TERM	($2,486 38)
07/18/2013	02/14/2013	628852204	710.00	NCS	NCI BUILDING SYSTEMS INC	$10,181 36	$11,303 20	$0 00	$0 00	Covered	SHORT TERM	($1,121 84)
02/22/2013	02/14/2013	79970Y105	565 00	SN	SANCHEZ ENERGY CORP	$10,624 19	$11,356 50	$0 00	$0 00	Covered	SHORT TERM	($732.31)
12/13/2013	06/12/2013	835451105	880 00	SONC	SONIC CORP	$17,668 42	$12,895 08	$0 00	$0 00	Covered	SHORT TERM	$4,773 34
10/29/2013	08/05/2013	868157108	520 00	SPN	SUPERIOR ENERGY SERVICES INC	$13,438 59	$13,622 13	$0 00	$0 00	Covered	SHORT TERM	($183 54)
					TOTALS EACH TRANSACTION FURNISHED TO THE IRS)	$146,214 61	$141,685 22	$0 00	$0 00			$4,529.39

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John + Robyn Horn Fdn 46-1908869

Stephens Inc. CORRECTED 2013 Composite Statement of 1099 Forms Account Number 322445387

1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

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11/05/2013	02/14/2013	640491106	0.50	NEOG	NEOGEN CORP	$23 95	$15 79	$0 00	$0 00	Covered	SHORT TERM	$8.16
03/28/2013	02/14/2013	003881307	10.00	ACTG	ACACIA RESEARCH - ACACIA TECH	$304 99	$293 39	$0 00	$0 00	Covered	SHORT TERM	$11 60
12/17/2013	02/14/2013	003881307	335 00	ACTG	ACACIA RESEARCH - ACACIA TECH	$4,364 97	$9,828 46	$0.00	$0.00	Covered	SHORT TERM	($5,463.49)
02/27/2013	02/14/2013	00762W107	35.00	ABCO	ADVISORY BOARD COMPANY (THE)	$1,762 91	$1,758 05	$0 00	$0 00	Covered	SHORT TERM	$4 86
03/28/2013	02/14/2013	00762W107	5 00	ABCO	ADVISORY BOARD COMPANY (THE)	$261 74	$251 15	$0 00	$0.00	Covered	SHORT TERM	$10 59
03/28/2013	02/14/2013	00971T101	5 00	AKAM	AKAMAI TECHNOLOGIES	$175 94	$186 60	$0 00	$0 00	Covered	SHORT TERM	($10 66)
04/24/2013	02/14/2013	00971T101	25.00	AKAM	AKAMAI TECHNOLOGIES	$892 97	$933 00	$0 00	$0 00	Covered	SHORT TERM	($40 03)
12/09/2013	02/14/2013	00971T101	15 00	AKAM	AKAMAI TECHNOLOGIES	$665 83	$559 80	$0 00	$0 00	Covered	SHORT TERM	$106 03
12/23/2013	02/14/2013	00971T101	105 00	AKAM	AKAMAI TECHNOLOGIES	$4,958 01	$3,918 60	$0 00	$0 00	Covered	SHORT TERM	$1,039 41
04/11/2013	02/14/2013	03662Q105	15 00	ANSS	ANSYS INC	$1,184 97	$1,133 25	$0 00	$0 00	Covered	SHORT TERM	$51 72
03/28/2013	02/14/2013	042068106	5 00	ARMH	ARM HOLDINGS PLC	$210 49	$216.95	$0 00	$0.00	Covered	SHORT TERM	($6.46)
08/22/2013	02/14/2013	042068106	35 00	ARMH	ARM HOLDINGS PLC	$1,437 07	$1,518 65	$0 00	$0 00	Covered	SHORT TERM	($81.58)
03/28/2013	02/14/2013	043176106	10 00	ARUN	ARUBA NETWORKS INC	$250 09	$226 30	$0 00	$0 00	Covered	SHORT TERM	$23.79
03/28/2013	02/14/2013	045327103	5 00	AZPN	ASPEN TECHNOLOGY INC	$160 74	$151 35	$0 00	$0 00	Covered	SHORT TERM	$9.39
03/28/2013	02/14/2013	04685W103	5 00	ATHN	ATHENAHEALTH INC	$482 18	$445 10	$0 00	$0 00	Covered	SHORT TERM	$37.08
07/19/2013	02/14/2013	119848109	10 00	BWLD	BUFFALO WILD WINGS INC	$989 08	$762 40	$0 00	$0 00	Covered	SHORT TERM	$226 68
03/28/2013	02/14/2013	126804301	5 00	CAB	CABELA'S INC	$304 54	$246 25	$0 00	$0.00	Covered	SHORT TERM	$58 29
03/28/2013	02/14/2013	15670R107	5 00	CPHD	CEPHEID INC	$193 39	$175.35	$0 00	$0 00	Covered	SHORT TERM	$18 04
03/28/2013	02/14/2013	171604101	5 00	CHUY	CHUY'S HOLDINGS INC	$163 94	$146 75	$0 00	$0.00	Covered	SHORT TERM	$17.19
03/28/2013	02/14/2013	N22717107	5 00	CLB	CORE LABORATORIES INC	$686 38	$666 30	$0 00	$0 00	Covered	SHORT TERM	$20.08
04/08/2013	02/14/2013	N22717107	10.00	CLB	CORE LABORATORIES INC	$1,324 87	$1,332 60	$0 00	$0.00	Covered	SHORT TERM	($7 73)
02/28/2013	02/14/2013	22160N109	15 00	CSGP	COSTAR GROUP INC	$1,509 41	$1,441 50	$0 00	$0 00	Covered	SHORT TERM	$67 91
03/28/2013	02/14/2013	22160N109	5.00	CSGP	COSTAR GROUP INC	$543 93	$480 50	$0 00	$0 00	Covered	SHORT TERM	$63.43
03/26/2013	02/14/2013	225447101	25 00	CREE	CREE INC	$1,357 21	$1,142.00	$0 00	$0 00	Covered	SHORT TERM	$215 21
03/28/2013	02/14/2013	262037104	5 00	DRQ	DRIL-QUIP	$436 39	$419 05	$0 00	$0 00	Covered	SHORT TERM	$17 34
03/28/2013	02/14/2013	292554102	10.00	ECPG	ENCORE CAPITAL GROUP INC	$296 89	$327 90	$0 00	$0.00	Covered	SHORT TERM	($31 01)
04/29/2013	02/14/2013	307000109	85.00	FDO	FAMILY DOLLAR STORES	$5,273 28	$4,777 85	$0 00	$0.00	Covered	SHORT TERM	$495.43
03/28/2013	02/14/2013	31942D107	5 00	FCFS	FIRST CASH FINL SERVICES INC	$288 04	$274 20	$0 00	$0.00	Covered	SHORT TERM	$13 84
04/15/2013	02/14/2013	31942D107	45 00	FCFS	FIRST CASH FINL SERVICES INC	$2,385 84	$2,467 80	$0 00	$0.00	Covered	SHORT TERM	($81 96)
06/20/2013	02/14/2013	35671D857	60 00	FCX	FREEPORT-MCMORAN COPPER & GOLD INC	$1,661 97	$2,134 80	$0 00	$0 00	Covered	SHORT TERM	($472 83)
03/28/2013	02/14/2013	40425J101	5 00	HMSY	HMS HOLDING CORP	$138 39	$136 15	$0 00	$0.00	Covered	SHORT TERM	$2.24
03/28/2013	02/14/2013	G4705A100	5 00	ICLR	ICON PLC	$160 99	$137 50	$0 00	$0 00	Covered	SHORT TERM	$23 49
12/19/2013	03/21/2013	451730105	285 00	IRG	IGNITE RESTAURANT GROUP INC	$3,430 37	$4,245 05	$0 00	$0 00	Covered	SHORT TERM	($814 68)
03/19/2013	02/14/2013	451734107	25 00	IHS	IHS INC-CLASS A	$2,721 43	$2,553 00	$0.00	$0 00	Covered	SHORT TERM	$168 43
06/19/2013	02/14/2013	451734107	15 00	IHS	IHS INC-CLASS A	$1,624 32	$1,531 80	$0.00	$0 00	Covered	SHORT TERM	$92 52
03/28/2013	02/14/2013	452327109	5 00	ILMN	ILLUMINA INC	$269 94	$244 15	$0 00	$0 00	Covered	SHORT TERM	$25 79

282 141 24 D110 R6

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1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

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02/21/2013	02/14/2013	45245E109	85.00	IMAX	IMAX CORP	$2,196.35	$2,182 80	$0 00	$0 00	Covered	SHORT TERM	$13 55
03/28/2013	02/14/2013	45245E109	10 00	IMAX	IMAX CORP	$269 09	$256 80	$0 00	$0.00	Covered	SHORT TERM	$12 29
03/28/2013	02/14/2013	45882D109	10 00	IMI	INTERMOLECULAR INC	$101.79	$97 09	$0 00	$0.00	Covered	SHORT TERM	$4.70
12/23/2013	02/14/2013	45882D109	340.00	IMI	INTERMOLECULAR INC	$1,869 96	$3,301 06	$0 00	$0 00	Covered	SHORT TERM	($1,431 10)
07/09/2013	02/14/2013	46120E602	5.00	ISRG	INTUITIVE SURGICAL INC NEW	$2,062.71	$2,857 85	$0 00	$0 00	Covered	SHORT TERM	($795 14)
07/19/2013	VARIOUS	46120E602	20.00	ISRG	INTUITIVE SURGICAL INC NEW	$7,218 27	$10,956 75	$0 00	$0 00	Covered	SHORT TERM	($3,738.48)
03/28/2013	02/14/2013	462846106	5 00	IRM	IRON MOUNTAIN INC	$178.34	$173 50	$0 00	$0 00	Covered	SHORT TERM	$4 84
06/18/2013	02/14/2013	462846106	275 00	IRM	IRON MOUNTAIN INC	$7,961.11	$9,542 50	$0.00	$0 00	Covered	SHORT TERM	($1,581.39)
03/28/2013	02/14/2013	50015Q100	10.00	KOG	KODIAK OIL & GAS CORP	$91 19	$91 60	$0 00	$0 00	Covered	SHORT TERM	($0 41)
12/23/2013	VARIOUS	50015Q100	570.00	KOG	KODIAK OIL & GAS CORP	$6,452 45	$5,134 95	$0 00	$0 00	Covered	SHORT TERM	$1,317 50
03/28/2013	02/14/2013	501014104	20 00	KKD	KRISPY KREME DOUGHNUTS INC	$289 19	$259.40	$0 00	$0 00	Covered	SHORT TERM	$29 79
03/28/2013	02/14/2013	535919203	20.00	LGF	LIONS GATE ENTERTAINMENT CORP	$476 58	$409 80	$0 00	$0.00	Covered	SHORT TERM	$66.78
12/30/2013	02/14/2013	535919203	315 00	LGF	LIONS GATE ENTERTAINMENT CORP	$10,029 42	$6,454 35	$0.00	$0 00	Covered	SHORT TERM	$3,575 07
03/19/2013	02/14/2013	53635B107	30 00	LQDT	LIQUIDITY SERVICES INC	$889 48	$1,011 30	$0 00	$0 00	Covered	SHORT TERM	($121 82)
03/28/2013	02/14/2013	53635B107	5 00	LQDT	LIQUIDITY SERVICES INC	$147 34	$168 55	$0 00	$0 00	Covered	SHORT TERM	($21 21)
06/07/2013	02/14/2013	53635B107	25 00	LQDT	LIQUIDITY SERVICES INC	$878 23	$842 75	$0 00	$0 00	Covered	SHORT TERM	$35 48
06/21/2013	02/14/2013	53635B107	85 00	LQDT	LIQUIDITY SERVICES INC	$2,690 24	$2,865 35	$0 00	$0 00	Covered	SHORT TERM	($175 11)
03/28/2013	02/14/2013	550021109	5 00	LULU	LULULEMON ATHLETICA INC	$310.84	$331 55	$0 00	$0 00	Covered	SHORT TERM	($20.71)
03/28/2013	02/14/2013	58471A105	5 00	MDSO	MEDIDATA SOLUTIONS INC	$286 84	$249 28	$0 00	$0 00	Covered	SHORT TERM	$37 56
07/26/2013	02/14/2013	58471A105	15 00	MDSO	MEDIDATA SOLUTIONS INC	$1,344 12	$747 84	$0.00	$0 00	Covered	SHORT TERM	$596 28
06/26/2013	02/14/2013	58733R102	20 00	MELI	MERCADOLIBRE INC	$2,152 56	$1,668 20	$0 00	$0 00	Covered	SHORT TERM	$484 36
03/28/2013	02/14/2013	595017104	5 00	MCHP	MICROCHIP TECHNOLOGY INC	$183 14	$183 24	$0 00	$0 00	Covered	SHORT TERM	($0 10)
03/28/2013	02/14/2013	61166W101	5 00	MON	MONSANTO COMPANY	$525 93	$508 40	$0 00	$0 00	Covered	SHORT TERM	$17 53
03/28/2013	02/14/2013	611740101	5 00	MNST	MONSTER BEVERAGE CORP	$238 04	$236 55	$0.00	$0 00	Covered	SHORT TERM	$1 49
03/28/2013	02/14/2013	635309107	15.00	NCMI	NATIONAL CINEMEDIA INC	$236 54	$232 55	$0.00	$0 00	Covered	SHORT TERM	$3 99
03/28/2013	02/14/2013	640491106	5 00	NEOG	NEOGEN CORP	$245 09	$236 85	$0 00	$0 00	Covered	SHORT TERM	$8 24
03/28/2013	02/14/2013	670704105	5 00	NUVA	NUVASIVE INC	$106 29	$86 55	$0 00	$0 00	Covered	SHORT TERM	$19 74
08/08/2013	02/14/2013	670704105	50 00	NUVA	NUVASIVE INC	$1,185 97	$865 50	$0 00	$0 00	Covered	SHORT TERM	$320 47
03/28/2013	02/14/2013	675232102	5 00	OII	OCEANEERING INTERNATIONAL INC	$331.49	$320 40	$0.00	$0 00	Covered	SHORT TERM	$11 09
03/28/2013	02/14/2013	671044105	5 00	OSIS	OSI SYSTEMS INC DELAWARE	$309.34	$287 45	$0 00	$0 00	Covered	SHORT TERM	$21 89
05/20/2013	02/14/2013	671044105	95 00	OSIS	OSI SYSTEMS INC DELAWARE	$5,776 82	$5,461 55	$0 00	$0 00	Covered	SHORT TERM	$315 27
12/09/2013	VARIOUS	671044105	110 00	OSIS	OSI SYSTEMS INC DELAWARE	$4,564 92	$6,389 10	$0 00	$0 00	Covered	SHORT TERM	($1,824 18)
03/28/2013	02/14/2013	723664108	15 00	PES	PIONEER ENERGY SERVICES CORP	$122 24	$137 85	$0 00	$0 00	Covered	SHORT TERM	($15 61)
04/29/2013	02/14/2013	723664108	750 00	PES	PIONEER ENERGY SERVICES CORP	$5,489 87	$6,892 50	$0 00	$0 00	Covered	SHORT TERM	($1,402 63)
03/28/2013	02/14/2013	73640Q105	5 00	PRAA	PORTFOLIO RECOVERY ASSOC INC	$632 48	$590 20	$0 00	$0 00	Covered	SHORT TERM	$42 28

283 142 24 D110 R5

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03/28/2013	02/14/2013	743713109	5.00	PRLB	PROTO LABS INC	$245 94	$258 70	$0 00	$0.00	Covered	SHORT TERM	($12 76)
03/28/2013	02/14/2013	750236101	25 00	RDN	RADIAN GROUP INC	$265 74	$177 50	$0 00	$0 00	Covered	SHORT TERM	$88 24
06/25/2013	02/14/2013	750236101	250 00	RDN	RADIAN GROUP INC	$2,819 95	$1,775 00	$0 00	$0 00	Covered	SHORT TERM	$1,044.95
11/07/2013	02/14/2013	750236101	115 00	RDN	RADIAN GROUP INC	$1,530 62	$816 50	$0 00	$0 00	Covered	SHORT TERM	$714 12
11/12/2013	VARIOUS	750236101	555 00	RDN	RADIAN GROUP INC	$7,153 82	$4,363 80	$0 00	$0 00	Covered	SHORT TERM	$2,790 02
03/28/2013	02/14/2013	756577102	5.00	RHT	RED HAT INC	$249.19	$269 65	$0 00	$0 00	Covered	SHORT TERM	($20.46)
04/11/2013	02/14/2013	756577102	25 00	RHT	RED HAT INC	$1,252 47	$1,348 25	$0 00	$0 00	Covered	SHORT TERM	($95.78)
09/24/2013	02/14/2013	756577102	15 00	RHT	RED HAT INC	$705 73	$808 95	$0 00	$0.00	Covered	SHORT TERM	($103 22)
03/28/2013	02/14/2013	778296103	5 00	ROST	ROSS STORES INC	$299 69	$302 50	$0 00	$0.00	Covered	SHORT TERM	($2 81)
06/04/2013	02/14/2013	79466L302	25 00	CRM	SALESFORCE.COM INC	$986 98	$1,090 00	$0 00	$0 00	Covered	SHORT TERM	($103 02)
03/28/2013	02/14/2013	83616T108	5 00	FIRE-OLD	SOURCEFIRE INC	$290 69	$221 15	$0 00	$0 00	Covered	SHORT TERM	$69 54
08/08/2013	02/14/2013	83616T108	70 00	FIRE-OLD	SOURCEFIRE INC	$5,275 80	$3,096 10	$0 00	$0 00	Covered	SHORT TERM	$2,179 70
10/07/2013	02/14/2013	83616T108	95 00	FIRE-OLD	SOURCEFIRE INC	$7,220 00	$4,201 85	$0 00	$0.00	Covered	SHORT TERM	$3,018 15
02/25/2013	02/14/2013	844741108	295 00	LUV	SOUTHWEST AIRLINES CO	$3,407.17	$3,392 50	$0 00	$0 00	Covered	SHORT TERM	$14.67
03/28/2013	02/14/2013	858912108	5 00	SRCL	STERICYCLE INC	$523 58	$482 75	$0 00	$0.00	Covered	SHORT TERM	$40.83
03/28/2013	02/14/2013	872540109	5 00	TJX	T J X COMPANIES INC	$233 89	$226 55	$0 00	$0 00	Covered	SHORT TERM	$7 34
03/28/2013	02/14/2013	874039100	10 00	TSM	TAIWAN SEMICONDUCTOR MFG CO SP ADR	$171 49	$185 00	$0 00	$0 00	Covered	SHORT TERM	($13 51)
05/13/2013	02/14/2013	88160R101	15 00	TSLA	TESLA MOTORS INC	$1,261.62	$579 45	$0 00	$0 00	Covered	SHORT TERM	$682 17
05/29/2013	02/14/2013	88160R101	10 00	TSLA	TESLA MOTORS INC	$1,034.28	$386 30	$0 00	$0.00	Covered	SHORT TERM	$647 98
07/03/2013	02/14/2013	88160R101	10 00	TSLA	TESLA MOTORS INC	$1,143 18	$386 30	$0 00	$0.00	Covered	SHORT TERM	$756 88
10/02/2013	02/14/2013	88160R101	5 00	TSLA	TESLA MOTORS INC	$944.03	$193 15	$0 00	$0 00	Covered	SHORT TERM	$750 88
03/28/2013	02/14/2013	88830R101	5 00	TITN	TITAN MACHINERY INC	$139 39	$153 80	$0 00	$0 00	Covered	SHORT TERM	($14 41)
12/31/2013	02/14/2013	88830R101	180 00	TITN	TITAN MACHINERY INC	$3,200.34	$5,536 80	$0.00	$0 00	Covered	SHORT TERM	($2,336 46)
03/28/2013	02/14/2013	90384S303	5 00	ULTA	ULTA SALON COSMETICS AND FRAGRANCE, INC	$401 19	$439 40	$0 00	$0 00	Covered	SHORT TERM	($38 21)
03/28/2013	02/14/2013	904311107	5 00	UA	UNDER ARMOUR INC - CL A	$257 19	$242 05	$0 00	$0 00	Covered	SHORT TERM	$15.14
03/28/2013	02/14/2013	911163103	5 00	UNFI	UNITED NATURAL FOODS	$244 64	$277 55	$0.00	$0 00	Covered	SHORT TERM	($32 91)
03/26/2013	02/14/2013	92858J108	105 00	VOCS	VOCUS INC	$1,398 33	$1,596 00	$0 00	$0 00	Covered	SHORT TERM	($197 67)
04/02/2013	02/14/2013	92858J108	140 00	VOCS	VOCUS INC	$1,882 95	$2,128 00	$0 00	$0 00	Covered	SHORT TERM	($245 05)
03/28/2013	02/14/2013	254687106	5 00	DIS	WALT DISNEY COMPANY	$283 99	$275 50	$0 00	$0 00	Covered	SHORT TERM	$8 49
12/23/2013	02/14/2013	966387102	90 00	WLL	WHITING PETROLEUM CORP LL	$5,468 30	$4,534 20	$0 00	$0 00	Covered	SHORT TERM	$934 10
					TOTALS EACH TRANSACTION FURNISHED TO THE IRS)	$161,033 89	$158,856.55	$0 00	$0 00			$2,177 34

To schedule D

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John + Robyn Hm Fdn 46-1908869

Stephens Inc

CORRECTED 2013 Composite Statement of 1099 Forms

Account Number 322443302

1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported

All proceeds are reported to the IRS, but only the covered securities will report cost basis information to the IRS Those transactions with "Covered" under Box 6 will report cost basis to the IRS We are providing the cost basis information for the noncovered securities to you for your convenience while preparing your tax return

Box 1A DATE OF SALE	Box 1B DATE OF ACQUISITION	CUSIP	Box 1E QUANTITY	Box 1D SYMBOL	Box 8 DESCRIPTION	Box 2A GROSS PROCEEDS LESS COMMISSIONS	Box 3 COST BASIS	Box 4 FEDERAL INCOME TAX WITHHELD	Box 5 WASH SALE LOSS DISALLOWED	Box 6 NONCOVERED/ COVERED SECURITY	Box 1C TYPE OF GAIN/LOSS	REALIZED GAIN/LOSS
07/31/2013	02/13/2013	464287176	2,595 00	TIP	ISHARES TIPS BOND ETF Schedule D	$291,597 92	$311,970 90	$0 00	$0 00	Noncovered	SHORT TERM	($20,372.98)
09/03/2013	02/13/2013	04315J860	216 65	JHYIX	ABERDEEN GLOBAL HIGH INCOME FUND CLASS I	$2,153 45	$2,179 45	$0 00	$0.00	Covered	SHORT TERM	($26 00)
09/03/2013	02/13/2013	091928234	592 99	CLDBX	BLACKROCK LOW DURATION BOND FUND BLACKROCK CLASS	$5,740 14	$5,825 01	$0 00	$0 00	Covered	SHORT TERM	($84 87)
09/03/2013	02/13/2013	197199813	50 89	ACINX	COLUMBIA ACORN INTERNATIONAL FUND CLASS Z	$2,234 06	$2,161 81	$0 00	$0 00	Covered	SHORT TERM	$72 25
09/03/2013	02/13/2013	256206103	78.78	DODFX	DODGE & COX INTERNATIONAL STOCK FUND	$3,005 49	$2,866 05	$0.00	$0 00	Covered	SHORT TERM	$139 44
11/14/2013	02/13/2013	256206103	636.66	DODFX	DODGE & COX INTERNATIONAL STOCK FUND	$26,835 20	$23,161 69	$0 00	$0 00	Covered	SHORT TERM	$3,673 51
09/03/2013	02/13/2013	316071604	267 19	FINSX	FIDELITY ADVISOR NEW INSIGHTS FUND CLASS I	$7,200 89	$6,482 13	$0 00	$0 00	Covered	SHORT TERM	$718.76
09/03/2013	02/13/2013	38142Y401	163 87	GGOIX	GOLDMAN SACHS GROWTH OPPORTUNITIES FUND CLASS I	$4,757 27	$4,337 74	$0 00	$0 00	Covered	SHORT TERM	$419 53
09/03/2013	02/13/2013	00888W700	264.01	CNSDX	INVESCO CONVERTIBLE SECURITIES FUND CLASS Y	$6,167 28	$5,800 30	$0 00	$0 00	Covered	SHORT TERM	$366.98
09/03/2013	02/13/2013	464287598	75.00	IWD	ISHARES RUSSELL 1000 VALUE ETF	$6,340 38	$5,915 25	$0 00	$0 00	Covered	SHORT TERM	$425 13
12/24/2013	VARIOUS	464287598	5,482 00	IWD	ISHARES RUSSELL 1000 VALUE ETF	$511,759 36	$435,941 41	$0 00	$0 00	Covered	SHORT TERM	$75,817.95
09/03/2013	02/13/2013	339128100	144.57	FLMVX	JP MORGAN MID CAP VALUE FUND CLASS I	$4,763 53	$4,361 62	$0 00	$0 00	Covered	SHORT TERM	$401 91
11/14/2013	02/13/2013	339128100	821.02	FLMVX	JP MORGAN MID CAP VALUE FUND CLASS I	$29,482 72	$24,770 08	$0 00	$0 00	Covered	SHORT TERM	$4,712 64
09/03/2013	07/31/2013	4812A4351	342 38	JSOSX	JP MORGAN STRATEGIC INCOME OPPORTUNITIESFUND SELECT CLASS	$4,053 73	$4,064.00	$0 00	$0 00	Covered	SHORT TERM	($10 27)
09/03/2013	02/13/2013	543495774	129 65	LSGLX	LOOMIS SAYLES GLOBAL BOND FUND CLASS R	$2,074 34	$2,194 91	$0 00	$0 00	Covered	SHORT TERM	($120.57)
09/03/2013	02/13/2013	683974505	80 76	ODVYX	OPPENHEIMER DEVELOPING MARKETS FUND CLASS Y	$2,730 60	$2,895 35	$0 00	$0 00	Covered	SHORT TERM	($164 75)
09/03/2013	02/13/2013	683921308	63 69	ODIYX	OPPENHEIMER DISCOVERY FUND CLASS Y	$5,190 53	$4,333 90	$0 00	$0 00	Covered	SHORT TERM	$856.63
11/14/2013	02/13/2013	683921308	464 51	ODIYX	OPPENHEIMER DISCOVERY FUND CLASS Y	$41,452 39	$31,609 57	$0 00	$0 00	Covered	SHORT TERM	$9,842.82
09/03/2013	02/13/2013	72201M552	522 87	PTTPX	PIMCO TOTAL RETURN FUND CLASS P	$5,552 86	$5,840 44	$0 00	$0 00	Covered	SHORT TERM	($287 58)
09/03/2013	02/13/2013	66537V443	381 58	PFFNX	PRINCETON FUTURES STRATEGY FUND CLASS I	$3,300 62	$3,602 07	$0 00	$0 00	Covered	SHORT TERM	($301 45)
11/14/2013	02/13/2013	66537V443	27,160 80	PFFNX	PRINCETON FUTURES STRATEGY FUND CLASS I	$228,150 70	$256,397 93	$0 00	$0 00	Covered	SHORT TERM	($28,247 23)
09/03/2013	02/13/2013	780905881	295.62	RYTRX	ROYCE TOTAL RETURN FUND INVESTMENT CLASS	$4,635 31	$4,357 42	$0 00	$0 00	Covered	SHORT TERM	$277 89

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John + Robyn Hom Fdn 46-1908869

Stephens Inc. | CORRECTED 2013 Composite Statement of 1099 Forms | Account Number 322443302

1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS **OMB NO. 1545-0715**

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Box 1A DATE OF SALE	Box 1B DATE OF ACQUISITION	CUSIP	Box 1E QUANTITY	Box 1D SYMBOL	Box 8 DESCRIPTION	Box 2A GROSS PROCEEDS LESS COMMISSIONS	Box 3 COST BASIS	Box 4 FEDERAL INCOME TAX WITHHELD	Box 5 WASH SALE LOSS DISALLOWED	Box 6 NONCOVERED/ COVERED SECURITY	Box 1C TYPE OF GAIN/LOSS	REALIZED GAIN/LOSS
09/25/2013	02/13/2013	780905881	20,871 27	RYTRX	ROYCE TOTAL RETURN FUND INVESTMENT CLASS	$345,210 87	$307,642 58	$0 00	$0 00	Covered	SHORT TERM	$37,568.29
09/03/2013	02/13/2013	78464A607	30 00	RWR	SPDR DOW JONES REIT ETF	$2,102 96	$2,299 50	$0 00	$0 00	Covered	SHORT TERM	($196.54)
11/14/2013	02/13/2013	78464A607	2,005 00	RWR	SPDR DOW JONES REIT ETF	$148,158 30	$153,683 25	$0 00	$0 00	Covered	SHORT TERM	($5,524 95)
					TOTALS EACH TRANSACTION FURNISHED TO THE IRS)	$1,694,650 90	$1,614,694 36	$0 00	$0.00			$79,956 54
						− 291,597.92	311,970 90	(uncovered)				
					To schedule D	1,403,052.98	1302,723.46	covered				

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John + Robyn Horn Fdn 46-1908869

Payments Made - OBRA Report - 2013

2/24/2014

Payee Organization / Request Primary Contact / Project Title	Staff	Amount / Check # / Paid Date	Deduct Amount	Non-Deduct Amount	Tax Status	Sched. Date	— Receipt — Done Date
American Craft Council Amundsen, Christopher 1224 Marshall St , NE, Suite 200 Minneapolis, MN 55413 *Emerging Voices Award*	Stoney Lamar	$20,000 00 5/3/2013	$20,000 00	$0 00	501(c)3 509(a)(2) under 170(b)(1)(A)(vii)		
Arkansas Arts Center Herman, Todd P O Box 2137 Little Rock, AR 72203 *Educational programming through the Museum School*	Robyn Horn	$25,000 00 5/3/2013	$25,000 00	$0 00	501(c)3		
Arkansas Repertory Theatre Hupp, Robert 610 Main St P O Box 110 Little Rock, AR 72203 *Season Internship Program for 2013*	Gayle Corley	$25,000 00 122 8/31/2013	$25,000 00	$0 00	501(c)3 509(a)(1)		
Arrowmont School of Arts and Crafts May, Bill 556 Parkway Gatlinburg, TN 37738 *Masters Weekend Workshop Program*	Robyn Horn	$24,995 00 121 8/31/2013	$24,995 00	$0 00	501(c)3		
Arrowmont School of Arts and Crafts May, Bill 556 Parkway Gatlinburg, TN 37738 *Masters Weekend Workshop Program*	Robyn Horn	$7,350 00 121 8/31/2013	$7 350 00	$0 00	501(c)3		

John + Robyn Horn Fdn 46-1908869

Payee Organization Request Primary Contact Project Title	Staff	Amount Check # Paid Date	Deduct Amount	Non- Deduct Amount	Tax Status	Sched. Date	— Receipt — Done Date
Arrowmont School of Arts and Crafts May, Bill 556 Parkway Gatlinburg, TN 37738 *Masters Weekend Workshop Program*	Robyn Horn	($24,995 00) 121 11/24/2013	($24,995 00)	$0 00	501(c)3		
Asheville Art Museum Myers, Pamela P O Box 1717 Asheville, NC 28802-1717 *Artworks Project Space Commissions for Artists (revised)*	Stoney Lamar	$25,000 00 120 8/31/2013	$25,000 00	$0 00	501(c)3 509(a)(2) under 170(b)(1)(A)(vii)		
Baltimore Jewelry Center Wood, April 3600 Clipper Mill Rd #103 Baltimore, MD 21211 *Support for the transition from the MICA Jewelry Center to the newly formed Baltimore Jewelry Center between June 2014 and January 2015*	Mia Hall	$25,000 00 133 12/11/2013	$25,000 00	$0 00	501(c)3 509(a)(1)		
The Center for Art in Wood LeCoff, Albert 141 N 3rd St Philadelphia, PA 19106 *Emil Milan exhibit and publication*	Stoney Lamar	$26,250 00 126 12/5/2013	$26,250 00	$0 00	501(c)3 509(a)(1)		
The Center for Craft, Creativity & Design Moore, Stephanie PO Box 1127 Hendersonville, NC 28793-1127 *Windgate Fellows Exhibition*	Stoney Lamar	$20,000 00 5/3/2013	$20,000 00	$0 00	501(c)3 509(a)(1)		

John + Robyn Horn Fdn 46-1908869

Payee Organization / Request Primary Contact / Project Title	Staff	Amount / Check # / Paid Date	Deduct Amount	Non-Deduct Amount	Tax Status	Sched. Date	--- Receipt --- Done Date
Central Arkansas Library System Roberts, Bobby 100 Rock St Little Rock, AR 72201 *Children's Library & Learning Center sculpture purchase*	Gayle Corley	$10,000 00 127 12/5/2013	$10,000 00	$0 00	501(c)3 509(a)(1)		
Compassion Unity Baptist Church Holloway, Linda 6105 West 2nd Little Rock, AR 72209 *Building repair and art component for after-school program*	Mia Hall	$25,000 00 131 12/9/2013	$25,000 00	$0 00			
Haystack Mountain School of Craft Krestenbaum, Stuart PO Box 518 Deer Isle MA 04627 *Haystack/Art Schools Collaborative*	Stoney Lamar	$7,500 00 119 8/31/2013	$7,500 00	$0 00	501(c)3		
Museum of Craft and Design Edwards JoAnn 2569 Third St San Francisco, CA 94107 *Visible Transparency*	Robyn Horn	$15,000 00 118 8/31/2013	$15,000 00	$0 00	501(c)3 509(a)(1)		
Museum of Craft and Design Edwards, JoAnn 2569 Third St San Francisco, CA 94107 *Obsessively Reductive*	Robyn Horn	$10 000 00 118 8/31/2013	$10,000 00	$0 00	501(c)3 509(a)(1)		

John + Robyn Horn Fdn 46-1908869

Payee Organization / Request Primary Contact / Project Title	Staff	Amount / Check # / Paid Date	Deduct Amount	Non-Deduct Amount	Tax Status	Receipt Sched. Date	Receipt Done Date
Penland School of Crafts McLaughlin, Jean 67 Doral Trail P O Box 37 Penland, NC 28765-0037 *6-day residency for 28 artists, January, 2014*	Robyn Horn	$25,000 00 5/3/2013	$25,000 00	$0 00	501(c)3		
Pocosin Arts, Inc True, Marlene 201 Main Street P O Box 690 Columbia, NC 27925 *Pocosin Arts Clay Program*	Mia Hall	$17,000 00 117 8/31/2013	$17,000 00	$0 00	501(c)3 509(a)(1)		
Thea Foundation Leopoulos, Paul D 401 Main St North Little Rock, AR 72114 *2014 Scholarship Program Support*	Robyn Horn	$25,000 00 128 12/5/2013	$25,000 00	$0 00	501(c)3 509(a)(1)		
Toe River Arts Council Cook, Denise P O Box 882 Burnsville, NC 28714 *Coordinator for the Artist Resource Center (ARC)*	Robyn Horn	$25,000 00 129 12/5/2013	$25,000 00	$0 00	501(c)3 509(a)(1)		
UALR Public Radio Fry Benjamin J 5820 Asher Ave, Suite 400 Little Rock, AR 72204 *Support for the 40th Anniversary Equipment Fund*	John Horn	$25,000 00 130 12/5/2013	$25,000 00	$0 00	501(c)3 509(a)(1)		
Grand Total (20 items)		$358,100 00	$358,100 00	$0 00			

John + Robyn Horn Fdn 46-1908869

Payments Due Schedule, by Month (as of 12/31/13)

2/24/2014

Payee Organization Project Title, Grant Amount	Request ID Ref. No.	Due Date	Payment Amount	Payment Fund	Notes
April 2014					
Ballet Arkansas, Inc. *New Works by Emerging Choreographers* $25,000 00	18	4/30/2014	$25,000 00		
		Total for April 2014 (1 item)	$25,000 00		
		Grand Total (1 item)	$25,000 00		

John + Robyn Horn Fdn 46-1908869

Payments Due Schedule

As of 1/1/14

5/5/2014

Payee Organization Project Title, Grant Amount	Request ID Ref. No.	Due Date	Payment Amount	Payment Fund	Notes
Ballet Arkansas, Inc. *New Works by Emerging Choreographers* $25,000 00	18	7/31/2014	$25,000 00		
		Grand Total (1 item)	$25,000 00		