Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation FREDRICK D. & KAREN G. SCHAUFELD FAMILY FOUNDATION	A Employer identification number 46-1558417
Number and street (or P O box number if mail is not delivered to street address) C/O GREENBERG TRAURIG, LLP ATTN: TODD I. STEINBERG 1750 TYSONS BLVD — Room/suite 1200	B Telephone number (see instructions) (703) 749-1300
City or town, state or province, country, and ZIP or foreign postal code MCLEAN, VA 22102	C If exemption application is pending, check here ▶ [X]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation
[ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 11,323,205.

J Accounting method [X] Cash [ ] Accrual
[ ] Other (specify)
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	40,217.			
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	38,186.	38,186.		ATCH 1
	4 Dividends and interest from securities	117,894.	117,894.		ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	4,318,616.			
	b Gross sales price for all assets on line 6a 16,012,939.				
	7 Capital gain net income (from Part IV, line 2)		4,318,616.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule) ATCH 3	23,562.	21,663.		
	12 **Total.** Add lines 1 through 11	4,538,475.	4,496,359.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) ATCH 4	32,162.			32,162.
	b Accounting fees (attach schedule) ATCH 5	7,000.	3,500.		3,500.
	c Other professional fees (attach schedule) *	941,900.	941,900.		
	17 Interest				
	18 Taxes (attach schedule) (see instructions) ATCH 7	82,624.	2,124.		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 8	21,986.	4,663.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	1,085,672.	952,187.		35,662.
	25 Contributions, gifts, grants paid	942,700.			942,700.
	26 Total expenses and disbursements Add lines 24 and 25	2,028,372.	952,187.	0	978,362.
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	2,510,103.			
	b **Net investment income** (if negative, enter -0-)		3,544,172.		
	c **Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	5,711,437.	845,350.	845,350.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10 a Investments - U S and state government obligations (attach schedule)		3,016,636.	3,055,890.
	b Investments - corporate stock (attach schedule)	2,999,771.	4,234,377.	4,297,017.
	c Investments - corporate bonds (attach schedule)			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 9		3,124,948.	3,124,948.
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	8,711,208.	11,221,311.	11,323,205.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, ▶ ☒ **check here and complete lines 27 through 31.**			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	8,711,208.	11,221,311.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	8,711,208.	11,221,311.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,711,208.
2	Enter amount from Part I, line 27a	2	2,510,103.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	11,221,311.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,221,311.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	4,318,616.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012		512,740.	
2011			
2010			
2009			
2008			

2	Total of line 1, column (d)	2	0
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	15,649,755.
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	35,442.
7	Add lines 5 and 6	7	35,442.
8	Enter qualifying distributions from Part XII, line 4	8	978,362.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	35,442.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	35,442.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	35,442.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 . . . 6a 80,500.		
b	Exempt foreign organizations - tax withheld at source . . . 6b		
c	Tax paid with application for extension of time to file (Form 8868) . . . 6c 3,000.		
d	Backup withholding erroneously withheld . . . 6d		
7	Total credits and payments Add lines 6a through 6d	7	83,500.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	48,058.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 48,058. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ________ (2) On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If "Yes," attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If "Yes," attach the statement required by General Instruction T			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ DE,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ GREENBERG TRAURIG, LLP Telephone no ▶ 703-749-1300

Located at ▶ 1750 TYSONS BLVD MCLEAN, VA ZIP+4 ▶ 22102

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No			
	If "Yes," attach the statement required by Regulations section 53 4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	6,411,197.
b	Average of monthly cash balances	1b	3,170,019.
c	Fair market value of all other assets (see instructions)	1c	6,306,860.
d	**Total** (add lines 1a, b, and c)	1d	15,888,076.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	15,888,076.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	238,321.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	15,649,755.
6	**Minimum investment return.** Enter 5% of line 5	6	782,488.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	782,488.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	35,442.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	35,442.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	747,046.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	747,046.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	747,046.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	978,362.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	978,362.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	35,442.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	942,920.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				747,046.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			25,637.	
b Total for prior years 20 11 ,20 10 ,20 09				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 978,362.				
a Applied to 2012, but not more than line 2a			25,637.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				747,046.
e Remaining amount distributed out of corpus	205,679.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	205,679.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	205,679.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013 205,679.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

ATTACHMENT 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
ATCH 12				
Total ▶ 3a				942,700.
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	38,186.	
4 Dividends and interest from securities			14	117,894.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			18	21,663.	
8 Gain or (loss) from sales of assets other than inventory			18	4,318,616.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				4,496,359.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 4,496,359.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date: 11-15-2014 | Title: FREDRICK D. SCHAUFELD DIRECTOR

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SHARON K BERMAN	Sharon Berman	10/5/14		P00517935
Firm's name ▶ BDO USA, LLP			Firm's EIN ▶ 13-5381590	
Firm's address ▶ 8405 GREENSBORO DRIVE 7TH FLOOR MCLEAN, VA 22102			Phone no 703-893-0600	

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs.gov/form990.*

OMB No 1545-0047

2013

Name of the organization	Employer identification number
FREDRICK D. & KAREN G. SCHAUFELD FAMILY FOUNDATION	46-1558417

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization FREDRICK D. & KAREN G. SCHAUFELD FAMILY FOUNDATION

Employer identification number 46-1558417

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	FREDRICK & KAREN SCHAUFELD PO BOX 6266 LEESBURG, VA 20178	$ 40,217.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization FREDRICK D. & KAREN G. SCHAUFELD FAMILY FOUNDATION

Employer identification number 46-1558417

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization FREDRICK D. & KAREN G. SCHAUFELD FAMILY FOUNDATION

Employer identification number 46-1558417

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year. (Enter this information once See instructions) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					-73,301.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					-2,870.	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					9,764.	
		CS # 1734 - SEE ATTACHED					VARIOUS	VARIOUS
6,721,928.		5,873,829.					848,156.	
		CS # 1734 - SEE ATTACHED					VARIOUS	VARIOUS
2,022,885.		2,019,689.					3,196.	
		CS # 1734 - SEE ATTACHED					VARIOUS	VARIOUS
5,930,959.		2,380,349.					3,550,610.	
		CS # 3406 - SEE ATTACHED					VARIOUS	VARIOUS
301,794.		307,004.					-5,210.	
		CS # 5321 - SEE ATTACHED					VARIOUS	VARIOUS
938,166.		946,082.					12,081.	
		CS # 5339 - SEE ATTACHED					VARIOUS	VARIOUS
118,843.		144,181.					-25,338.	
		CS # 3406 - SEE ATTACHED					01/01/2013	12/31/2013
1,122.							1,122.	
		CS # 5321 - SEE ATTACHED					01/01/2013	12/31/2013
43,649.		44,634.					406.	
TOTAL GAIN(LOSS)							4,318,616.	

JSA
3E1730 1 000

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CS # 1734	58,549.	58,549.
CS # 5321	85.	85.
CS # 5339	180.	180.
CS # 3406	907.	907.
K-1: MANAGED FUTURES	40.	40.
K-1: SWAN & LEGEND FUND 2	3,719.	3,719.
G4 INVESTMENTS # 18123	3,188.	3,188.
G4 INVESTMENTS # 18119	15,188.	15,188.
CS # 1734 (ACCRUED INTEREST)	-43,670.	-43,670.
TOTAL	38,186.	38,186.

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CS # 1734		82,363.	82,363.
CS # 5321		13,278.	13,278.
CS # 5339		10,395.	10,395.
CS # 3406		11,858.	11,858.
	TOTAL	117,894.	117,894.

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CS # 1734	36,563.	36,563.
K-1: MANAGED FUTURES - 1256 CONTRACTS	16,118.	16,118.
K-1: MANAGED FUTURES - OTHER INCOME	57.	57.
K-1: SWAN & LEGEND FUND 2 - OTHER INCOME	-31,047.	-31,047.
K-1: SWAN & LEGEND FUND 2 - SEC. 1231	-28.	-28.
CREDIT SUISSE NONDIVIDEND DISTRIBUTION	1,899.	
TOTALS	23,562.	21,663.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES	32,162.			32,162.
TOTALS	32,162.			32,162.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	7,000.	3,500.		3,500.
TOTALS	7,000.	3,500.		3,500.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
CS # 3406 - MGMT FEE	6,972.	6,972.
CS # 5321 - MGMT FEE	6,890.	6,890.
CS # 5339 - MGMT FEE	4,293.	4,293.
NARRAGANSETT MGMT ADVISORY FEE	669,302.	669,302.
PETE DECICCO ADVISORY FEE	208,964.	208,964.
K-1: SWAN & LEGEND FUND 2	43,958.	43,958.
K-1: SWAN OTHER PRO FEE	1,521.	1,521.
TOTALS	941,900.	941,900.

ATTACHMENT 7

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
ESTIMATED TAX PAYMENTS	80,500.	
FOREIGN TAXES PAID	2,124.	2,124.
TOTALS	82,624.	2,124.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
BUSINESS CHECKS	50.	
POSTAGE	12.	
STATUTORY FEES	189.	
K-1: MANAGED FUTURES 2% FEES	1,138.	1,138.
K-1: MANAGED FUTURES OTHER DED	2,521.	2,521.
K-1: MANAGED FUTURES NONDEDUCT	7,776.	
K-1: SWAN - CHARITABLE	923.	923.
K-1: SWAN - INV INT EXP	81.	81.
K-1: SWAN & LENGEND NONDEDUCTI	1,005.	
MISCELLANEOUS EXPENSE	8,291.	
TOTALS	21,986.	4,663.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
K-1: MANAGED FUTURES	304,713.	304,713.
K-1: SWAN & LEGEND FUND 2	1,316,485.	1,316,485.
PACTOLOUS G4 # 18123	753,750.	753,750.
PACTOLOUS INVESTMENT	750,000.	750,000.
TOTALS	3,124,948.	3,124,948.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 10

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION
FREDRICK D SCHAUFELD C/O GREENBERG TRAURIG, LLP ATTN: TODD I. STEINBERG 1750 TYSONS MCLEAN, VA 22102	PRESIDENT 2.00
KAREN G SCHAUFELD C/O GREENBERG TRAURIG, LLP ATTN: TODD I. STEINBERG 1750 TYSONS MCLEAN, VA 22102	SECRETARY 2.00
TAMAR DATAN C/O GREENBERG TRAURIG, LLP ATTN: TODD I. STEINBERG 1750 TYSONS MCLEAN, VA 22102	TREASURER 2.00

ATTACHMENT 11

FORM 990PF, PART XV - INFORMATION REGARDING FOUNDATION MANAGERS

FREDRICK SCHAUFELD
KAREN SCHAUFELD

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HUMANE SOCIETY 1201 NEW YORK AVE NE WASHINGTON, DC 20002	PC	CARE OF SICK ANIMALS	1,000.
INTERNATIONAL CENTER FOR RELIGION AND DIPLOMACY 1003 K STREET NW #400 WASHINGTON, DC 20001	PC	EDUCATION	17,500.
BOYS AND GIRLS CLUB OF GREATER WASHINGTON 3265 S STREET NW WASHINGTON, DC 20007	PC	UNDERPRIVILEDGED YOUTH	10,000.
LIFE WITH CANCER 8110 GATEHOUSE ROAD SUITE 200 EAST FALLS CHURCH, VA 22042	PC	CARE OF CANCER PATIENTS	1,000.
THE ARC 1825 K STREET NW SUITE 1200 WASHINGTON, DC 20006	PC	EDUCATION	500.
ST ALBANS SCHOOL 3001 WISCONSIN AVE NW WASHINGTON, DC 20016	PC	EDUCATION	100,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
EQUINE RESCUE LEAGUE P.O. BOX 4366 LEESBURG, VA 20177	PC	CARE OF ABUSED OR NEGLECTED HORSES	45,000.
EASTWEST INSTITUTE 11 EAST 26TH STREET NEW YORK, NY 10010	PC	RESEARCH	25,000
LOUDON EDUCATION FUND 21000 EDUCATION COURT ASHBURN, VA 20148	PC	EDUCATION	10,000.
AMERICAN ARAB ANTI DISCRIMINATION COMMITTEE 1990 M STREET NW SUITE 610 WASHINGTON, DC 20036	PC	FOREIGN RELATIONS	2,000
ACT ONE DUPONT CIRCLE NW SUITE 220 WASHINGTON, DC 20036	PC	EDUCATION	25,000
AMERICAN FRIENDS SERVICE COMMITTEE 1501 CHERRY STREET PHILADELPHIA, PA 19102	PC	PEACE AND SOCIAL JUSTICE	500

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
ART SQUARE 312 EAST MARKET STREET SUITE D LEESBURG, VA 20176	PC	ART EDUCATION	250.
COLLEGE TRACKS 111 BROADWAY OAKLAND, CA 94607	PC	EDUCATION	1,200
COMPASS 721 NW 9TH AVE SUITE 235 PORTLAND, OR 97209	PC	SCIENCE	500.
ENVIRONMENTAL WORKING GROUP 1436 U ST NW # 101 WASHINGTON, DC 20009	PC	ENVIRONMENT	5,000.
EVERY CHILD FED 22590 RELOCATION DRIVE STERLING, VA 20166	PC	POVERTY	1,000
GOOD SHEPHERD ALLIANCE 20684 ASHBURN ROAD ASHBURN, VA 20147	PC	SUPPORT OF HOMELESS	100,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
HEALTH WORKS FOR NORTHERN VIRGINIA 163 FORT EVANS ROAD NE LEESBURG, VA 20176	PC	MEDICAL CARE FOR THOSE WITHOUT INSURANCE	50,000
INOVA HEALTH 8110 GATEHOUSE ROAD FALLS CHURCH, VA 22042	PC	HEALTH CARE	10,000
INTERNATIONAL PLANNED PARENTHOOD 125 MAIDEN LANE 9TH FLOOR NEW YORK, NY 10038	PC	MEDICAL CARE FOR WOMEN	500.
JOURNEY THROUGH HALLOWED GROUND P O BOX 77 15821 2ND STREET WATERFORD, VA 20197	PC	EDUCATION	5,000.
LEHIGH UNIVERSITY 27 MEMORIAL DRIVE WEST BETHLEHEM, PA 18016	PC	EDUCATION	125,000.
LOUDOUN CARES P.O BOX 83 LEESBURG, VA 20178	PC	SERVING NON-PROFITS	50,000.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MERCERSBURG ACADEMY 300 E SEMINARY STREET MERCERSBURG, PA 17236	PC	EDUCATION	1,000
PEACEWORKS FOUNDATION 224 W 35TH STREET NEW YORK, NY 10001	PC	MIDDLE EAST PEACE	50,000
RONALD MCDONALD HOUSE 3312 GALLOWS ROAD FALLS CHURCH, VA 22042	PC	CARE OF SICK CHILDREN	500
SPECIAL OLYMPICS MONTANA P.O BOX 3507 GREAT FALLS, MT 59403	PC	SUPPORT OF SPECIAL NEEDS	10,000.
SPACE OF HER OWN P.O BOX 320283 ALEXANDRIA, VA 22320	PC	ROLE MODELS FOR YOUNG WOMEN	5,000
UNITED WAY OF LONG ISLAND 819 GRAND BOULEVARD #1 DEER PARK, NY 11729	PC	SCHOLARSHIP FOR UNDERPRIVILEDGED YOUTH	100,000

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 12 (CONT'D)

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
WORLD CENTRAL KITCHEN 1250 24TH STREET NW SUITE 300 WASHINGTON, DC 20037	PC	SOLUTIONS TO HUNGER AND POVERTY	25,000.
ISRAEL ON CAMPUS COALITION 800 EIGHTH STREET NW WASHINGTON, DC 20001	PC	PRO-ISRAEL SUPPORT	5,000
SMITHSONIAN P.O BOX 37012 SI BUILDING, ROOM 153, MRC 010 WASHINGTON, DC 20013	PC	MUSEUM	250
PIEDMONT COMMUNITY FOUNDATION 101 N JAY STREET MIDDLEBURG, VA 20117	PC	SERVICING COMMUNITY	160,000.
		TOTAL CONTRIBUTIONS PAID	942,700.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at www irs.gov/form1041.

OMB No 1545-0092

2013

Name of estate or trust: FREDRICK D. & KAREN G. SCHAUFELD FAMILY FOUNDATION

Employer identification number: 46-1558417

Note: *Form 5227 filers need to complete* ***only*** *Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	8,080,731.	7,271,096.	20,054.	829,689.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked	2,067,656.	2,064,323.	1,391.	4,724.
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	-73,301.
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶	7	761,112.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked	5,930,959.	2,380,349.		3,550,610.
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	-2,870.
13 Capital gain distributions	13	9,764.
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶	16	3,557,504.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II **Caution:** *Read the instructions **before** completing this part*		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17 **Net short-term gain or (loss)**	17			761,112.
18 **Net long-term gain or (loss):**				
a Total for year	18a			3,557,504.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c 28% rate gain	18c			
19 **Total net gain or (loss).** Combine lines 17 and 18a ▶	19			4,318,616.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 19, column (3) **or** b $3,000 . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21		
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22				
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23				
24	Add lines 22 and 23	24				
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25				
26	Subtract line 25 from line 24 If zero or less, enter -0-			26		
27	Subtract line 26 from line 21 If zero or less, enter -0-			27		
28	Enter the **smaller** of the amount on line 21 or $2,450			28		
29	Enter the **smaller** of the amount on line 27 or line 28			29		
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶					30
31	Enter the smaller of line 21 or line 26			31		
32	Subtract line 30 from line 26			32		
33	Enter the **smaller** of line 21 or $11,950			33		
34	Add lines 27 and 30			34		
35	Subtract line 34 from line 33 If zero or less, enter -0-			35		
36	Enter the **smaller** of line 32 or line 35			36		
37	Multiply line 36 by 15% ▶					37
38	Enter the amount from line 31			38		
39	Add lines 30 and 36			39		
40	Subtract line 39 from line 38 If zero or less, enter -0-			40		
41	Multiply line 40 by 20% ▶					41
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42		
43	Add lines 37, 41, and 42			43		
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44		
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45

Form **8949** | **Sales and Other Dispositions of Capital Assets** | OMB No 1545-0074

Department of the Treasury Internal Revenue Service

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2013 Attachment Sequence No **12A**

Name(s) shown on return: FREDRICK D. & KAREN G. SCHAUFELD FAMILY

Social security number or taxpayer identification number: 46-1558417

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions)

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

[X] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions: (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
CS # 1734 - SEE ATTACHED	VARIOUS	VARIOUS	6,721,928.	5,873,829.	W	57.	848,156.
CS # 3406 - SEE ATTACHED	VARIOUS	VARIOUS	301,794.	307,004.			-5,210.
CS # 5321 - SEE ATTACHED	VARIOUS	VARIOUS	938,166.	946,082.	W	19,997.	12,081.
CS # 5339 - SEE ATTACHED	VARIOUS	VARIOUS	118,843.	144,181.			-25,338.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			8,080,731.	7271096.		20,054.	829,689.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www irs.gov/form8949

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
FREDRICK D. & KAREN G. SCHAUFELD FAMILY	46-1558417

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☒ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
CS # 1734 - SEE ATTACHED	VARIOUS	VARIOUS	2,022,885.	2019689.			3,196.
CS # 3406 - SEE ATTACHED	01/01/2013	12/31/2013	1,122.				1,122.
CS # 5321 - SEE ATTACHED	01/01/2013	12/31/2013	43,649.	44,634.	W	1,391.	406.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			2,067,656.	2064323.		1,391.	4,724.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
FREDRICK D. & KAREN G. SCHAUFELD FAMILY	46-1558417

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

[ ] (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[X] (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] (F) Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
CS # 1734 - SEE ATTACHED	VARIOUS	VARIOUS	5,930,959.	2380349.			3,550,610.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			5,930,959.	2380349.			3,550,610.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Recipient's Name and Address:

FREDRICK D AND KAREN G
SCHAUFELD FAMILY FOUNDATION

Account Number: 214-521734

Recipient's Identification Number: 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B   Proceeds From Broker and Barter Exchange Transactions   OMB No. 1545-0715

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.
Note for Exempt S Corporations Only: 1099-B records for covered transactions are reported to the IRS.

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b)								
Description (Box 8):	SEASPAN CORP CUM RED EEMABLE PERPETUAL PF D SER D 7.9500% CALL				CUSIP: Y75638208			
SELL	FIRST IN FIRST OUT	3,535	11/05/2013	12/23/2013	88,061.67	88,375 00		(313.33)
SELL	FIRST IN FIRST OUT	11,850	11/05/2013	12/24/2013	295,212.71	296,250.00		(1,037.29)
SELL	FIRST IN FIRST OUT	4,615	11/05/2013	12/26/2013	114,826.57	115,375.00		(548.43)
SECURITY TOTAL					**498,100.95**	**500,000.00**		**(1,899.05)**
Description (Box 8):	CLEARWIRE CORP NEW C L A COM				CUSIP: 18538Q105			
SELL	FIRST IN FIRST OUT	65,000	10/16/2012	06/27/2013	321,743.04	147,101.50		174,641.54
SELL	FIRST IN FIRST OUT	35,000	10/17/2012	06/27/2013	173,246.25	79,688.00		93,558.25
SELL	FIRST IN FIRST OUT	100,000	10/18/2012	06/27/2013	494,989.29	214,900.00		280,089.29
SELL	FIRST IN FIRST OUT	30,000	10/19/2012	06/27/2013	148,496.79	54,900.00		93,596.79
SELL	FIRST IN FIRST OUT	35,000	10/23/2012	06/27/2013	173,246.25	68,204.50		105,041.75
SELL	FIRST IN FIRST OUT	3,763	10/23/2012	06/27/2013	18,626.45	7,262 59		11,363 86
SELL	FIRST IN FIRST OUT	15,000	10/24/2012	06/27/2013	74,248.39	28,621.50		45,626.89
SELL	FIRST IN FIRST OUT	10,000	10/25/2012	06/27/2013	49,498.93	18,744.00		30,754.93
SALE DATE TOTAL		**293,763**	**VARIOUS**	**06/27/2013**	**1,454,095.39**	**619,422.09**		**834,673.30**

Recipient's Name and Address:

FREDRICK D AND KAREN G
SCHAUFELD FAMILY FOUNDATION

Account Number: 214-521734

Recipient's Identification Number 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): GASTAR EXPL USA INC PFD SER B 10 75% CAL LABLE ON 12/31/49					CUSIP: 36729P306			
SELL	FIRST IN FIRST OUT	7,407	10/30/2013	11/26/2013	187,171.62	185,175.00		1,996.62
SELL	FIRST IN FIRST OUT	8,593	10/30/2013	11/27/2013	216,969.47	214,825.00		2,144.47
SECURITY TOTAL					**404,141.09**	**400,000.00**		**4,141.09**
Description (Box 8): LORD ABBETT FLOATING RATE FUND CLASS A					CUSIP: 543916191			
SELL	FIRST IN FIRST OUT	104,931.794	07/24/2013	09/11/2013	984,851.34	1,000,000.00	57.11 W	(15,091.55)
SELL	FIRST IN FIRST OUT	86.217	07/31/2013	09/11/2013	809.20	820.79		(11.59)
SELL	FIRST IN FIRST OUT	395.580	08/30/2013	09/11/2013	3,712.77	3,807.20		(94.43)
	Wash sale: 49 day(s) added to holding period							
SALE DATE TOTAL		**105,413.591**	**VARIOUS**	**09/11/2013**	**989,373.31**	**1,004,627.99**		**(15,197.57)**
Description (Box 8): PIONEER STRATEGIC IN COME FUND CLASS A					CUSIP: 723884102			
SELL	FIRST IN FIRST OUT	65,445.026	05/13/2013	10/21/2013	714,000.20	750,000.00		(35,999.80)
SELL	FIRST IN FIRST OUT	45,495.905	08/12/2013	10/21/2013	496,356.82	500,000.00		(3,643.18)
SALE DATE TOTAL		**110,940.931**	**VARIOUS**	**10/21/2013**	**1,210,357.02**	**1,250,000.00**		**(39,642.98)**
Description (Box 8): PRUDENTIAL ABSOLUTE RETURN BOND FUND CLA SS A					CUSIP: 74441J852			
SELL	VERSUS PURCHASE	34,930.140	05/13/2013	10/21/2013	339,929.65	349,325.39		(9,395.74)

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): PRUDENTIAL JENNISON EQUITY INCOME FUND C LASS A					CUSIP: 74441L808			
SELL	FIRST IN FIRST OUT	47,169.811	05/13/2013	11/18/2013	805,700.01	750,000.00		55,700.01
SELL	FIRST IN FIRST OUT	31,367.629	07/24/2013	11/18/2013	535,785.46	500,000.00		35,785.46
SALE DATE TOTAL		**78,537.440**	**VARIOUS**	**11/18/2013**	**1,341,485.47**	**1,250,000.00**		**91,485.47**
Description (Box 8): VANGUARD BD INDEX FD INC TOTAL BD MARKET ETF					CUSIP: 921937835			
SELL	FIRST IN FIRST OUT	6,000	05/13/2013	08/12/2013	484,445.36	500,454.00		(16,008.64)
Short-Term Covered Total					**6,721,928.24**	**5,873,829.47**		**848,155.88**
Covered Total					**6,721,928.24**	**5,873,829.47**		**848,155.88**
Short-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part I, with Box B checked.								
Noncovered (Box 6a)								
Description (Box 8): ARCELORMITTAL SA LUX EMBOURG NT ISIN#US03 938LAW46 5.000% 02/					CUSIP: 03938LAW4			
SELL	FIRST IN FIRST OUT	250,000	10/21/2013	12/23/2013	267,625.00	265,062.50		2,562.50
	Original Cost Basis: 265,062.50							
Description (Box 8): BEAZER HOMES USA INC SR SECD NT FULLY EX CHANGED FROM 07556QB					CUSIP: 07556QBB0			
SELL	FIRST IN FIRST OUT	300,000	11/19/2013	12/23/2013	323,790.00	323,898 53		(108.53)
	Original Cost Basis: 324,750.00							

Recipient's Name and Address:

FREDRICK D AND KAREN G
SCHAUFELD FAMILY FOUNDATION

Account Number: 214-521734

Recipient's Identification Number: 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part I, with Box B checked.

Noncovered (Box 6a) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium	Realized Gain or (Loss)
Description (Box 8): BEST BUY INC FIXED R T NTS 3.750% 03/15/ 16 B/E DTD 03/11/11					CUSIP: 086516AK7			
SELL	FIRST IN FIRST OUT	250,000	10/21/2013	12/23/2013	258,812.50	259,676.29		(863.79)
	Original Cost Basis: 260,422.50							
Description (Box 8): HANESBRANDS INC GTD SR NT - CUSIP FULLY EXCHANGED FROM OLD C					CUSIP: 410345AG7			
SELL	FIRST IN FIRST OUT	250,000	11/20/2013	12/23/2013	273,437.50	273,686.02		(248.52)
	Original Cost Basis: 274,375.00							
Description (Box 8): METROPCS WIRELESS IN C GTD SR FIXED RT NT 7.875% 09/01/18 B/					CUSIP: 591709AK6			
SELL	FIRST IN FIRST OUT	250,000	10/21/2013	12/23/2013	267,500.00	269,423.57		(1,923.57)
	Original Cost Basis: 271,875.00							
Description (Box 8): SPRINT NEXTEL CORP N T 6.000% 12/01/16 B /E DTD 11/20/06					CUSIP: 852061AD2			
SELL	FIRST IN FIRST OUT	300,000	10/22/2013	12/23/2013	327,375.00	323,792.54		3,582.46
	Original Cost Basis: 325,125.00							
Description (Box 8): WESTERN GAS PARTNERS LP COM UNIT REPSTG LTD PARTNER INT					CUSIP: 958254104			
SELL	FIRST IN FIRST OUT	5,000	12/03/2013	12/03/2013	304,344.69	304,150.00		194.69
Short-Term Noncovered Total					2,022,884.69	2,019,689.45		3,195.24

Recipient's Name and Address:

FREDRICK D AND KAREN G
SCHAUFELD FAMILY FOUNDATION

Account Number: 214-521734

Recipient's Identification Number. 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium	Realized Gain or (Loss)
Long-Term Transactions for Which Basis Is Not Reported to the IRS - Report on Form 8949, Part II, with Box E checked.								
Noncovered (Box 6a)								
Description (Box 8): CLEARWIRE CORP NEW C L A COM					**CUSIP:** 18538Q105			
SELL	FIRST IN FIRST OUT	750,000	12/08/2011	06/21/2013	3,752,169.31	1,500,006.98		2,252,162.33
SELL	FIRST IN FIRST OUT	440,169	12/08/2011	06/27/2013	2,178,789.41	880,342.09		1,298,447.32
SECURITY TOTAL					**5,930,958.72**	**2,380,349.07**		**3,550,609.65**
Long-Term Noncovered Total					**5,930,958.72**	**2,380,349.07**		**3,550,609.65**
Noncovered Total					**7,953,843.41**	**4,400,038.52**		**3,553,804.89**
Total					**14,675,771.65**	**10,273,867.99**		**4,401,960.77**

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, the option premium portion of reverse convertibles and bond maturities Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (long-term or short-term) and whether any loss is disallowed due to a wash sale for both covered and noncovered securities transactions will be displayed when available. Please note that such detail for noncovered transactions is not reported to the IRS.

Type of Gain or (Loss) (Box 1c). The section headings within the 1099-B indicate the type of gain or (loss) for the transactions, short-term or long-term

Covered (b) or Noncovered (a) Security (Box 6). The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program

Description (Box 8). Shows a brief description of the item or service for which the proceeds are being reported

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported

Disposition Transaction. This column will denote the type of transaction, for example "SELL"

Disposition Method. The method used to select the lot will be displayed as, for example, first-in first-out (FIFO). Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method.

Quantity (Box 1e). The number of shares included in the sale or exchange for the lot reported If fractional shares are part of the disposition, those shares will be displayed to three decimal places.

Date of Acquisition (Box 1b). This box represents the date you acquired the security or, for short sales, the date you opened the short sale. You may see the word "VARIOUS" displayed in this column if the disposition transaction includes multiple lots with various acquisition dates.

Date of Sale or Exchange (Box 1a). This box shows the trade date of the sale or exchange. For short sales, the date shown is the date you closed the short sale

Gross Proceeds (Less Commissions and Option Premiums) (Box 2a). Gross proceeds from transactions involving stocks, bonds and other debt obligations are reported in Box 2a These amounts do not reflect net profits and are net of transaction costs (for instance, commissions and option premiums) Report the gross proceeds from each transaction separately on IRS Form 8949 (Sales and Other Dispositions of Capital Assets) and IRS Form 1040, Schedule D (Capital Gains and Losses) See the Instructions for Form 8949 for exceptions to reporting each transaction on a separate row This box does not include proceeds from regulated futures or foreign currency forward contracts

Cost or Other Basis (Box 3). This box shows the original cost, adjusted cost basis due to a corporate action or gifted or inherited cost basis The IRS provides a detailed description of cost or other basis reporting in the 2013 Instructions for Form 1099-B available at irs.gov. For additional information about cost basis and its use during your tax preparation, please refer to the following IRS publications IRS instructions for Schedule D and Form 8949, IRS Pub. 550 (Investment Income and Expenses (Including Capital Gains and Losses)) and IRS Pub. 551 (Basis of Assets) Average cost is segregated between covered, non-covered and gifted (see the Tax Guide at mytaxhandbook com for more specific details)

Seq # (214 122892)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 22N-033406

Recipient's Identification
Number. 46-1558417

2013 TAX and
YEAR-END STATEMENT
As of 03/06/2014

IMPORTANT MESSAGE: We send tax statements when information is finalized by securities issuers If information is pending from issuers, you and members of your household may receive tax statement(s) at different times. Tax statement mailings will occur by January 31, February 18, February 28 and March 17, depending on when final information for your account is received from issuers. If you have any questions, please visit mytaxhandbook.com.

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Advisory Fees	(6,971.67)

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.
Note for Exempt S Corporations Only: 1099-B records for covered transactions are reported to the IRS.

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b)								
Description (Box 8): EATON CORP PLC SHS I SIN#IE00B8KQN827					CUSIP: G29183103			
SELL	FIRST IN FIRST OUT	273	05/16/2013	05/29/2013	18,387.23	17,971.48		415.75
Description (Box 8): ABBVIE INC COM					CUSIP: 00287Y109			
SELL	FIRST IN FIRST OUT	358	05/16/2013	05/29/2013	15,753.32	16,521.41		(768.09)
Description (Box 8): ALTRIA GROUP INC COM					CUSIP: 02209S103			
SELL	FIRST IN FIRST OUT	460	05/16/2013	05/29/2013	16,741.70	17,194.52		(452.82)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 22N-033406

Recipient's Identification Number. 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): BEMIS CO INC COM					**CUSIP:** 081437105			
SELL	FIRST IN FIRST OUT	326	05/16/2013	05/29/2013	12,769.90	13,303.86		(533.96)
Description (Box 8): CA INC COM					**CUSIP:** 12673P105			
SELL	FIRST IN FIRST OUT	276	05/16/2013	05/29/2013	7,498.75	7,601.04		(102.29)
SELL	FIRST IN FIRST OUT	428	05/16/2013	12/18/2013	13,780.91	11,787.12		1,993.79
SECURITY TOTAL					**21,279.66**	**19,388.16**		**1,891.50**
Description (Box 8): CHEVRON CORP NEW COM					**CUSIP:** 166764100			
SELL	FIRST IN FIRST OUT	156	05/16/2013	05/29/2013	19,570.35	19,184.83		385.52
Description (Box 8): CISCO SYSTEMS INC					**CUSIP:** 17275R102			
SELL	FIRST IN FIRST OUT	627	05/29/2013	12/18/2013	13,029.06	15,152.83		(2,123.77)
Description (Box 8): DIAGEO PLC SPONSORED ADR NEW ISIN#US2524 3Q2057					**CUSIP:** 25243Q205			
SELL	FIRST IN FIRST OUT	132	05/16/2013	05/29/2013	15,793.77	16,563.34		(769.57)
Description (Box 8): ECOPETROL S A SPONSO RED ADS ISIN#US27915 81091					**CUSIP:** 279158109			
SELL	FIRST IN FIRST OUT	262	05/16/2013	12/18/2013	10,382.98	11,750.43		(1,367.45)
Description (Box 8): EMERSON ELEC CO COM					**CUSIP:** 291011104			
SELL	FIRST IN FIRST OUT	252	05/16/2013	05/29/2013	14,459.55	14,759.51		(299.96)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 22N-033406

Recipient's Identification
Number: 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8):	GENUINE PARTS CO				**CUSIP:** 372460105			
SELL	FIRST IN FIRST OUT	111	05/16/2013	05/29/2013	8,860.85	8,900.84		(39.99)
Description (Box 8):	GLAXOSMITHKLINE PLC SPONS ADR				**CUSIP:** 37733W105			
SELL	FIRST IN FIRST OUT	704	05/16/2013	05/29/2013	36,611.23	36,903.46		(292.23)
Description (Box 8):	HASBRO INC COM				**CUSIP:** 418056107			
SELL	FIRST IN FIRST OUT	542	05/16/2013	05/29/2013	24,262.14	25,918.16		(1,656.02)
Description (Box 8):	KIMBERLY CLARK CORP				**CUSIP:** 494368103			
SELL	FIRST IN FIRST OUT	303	05/16/2013	05/29/2013	30,358.20	31,508.87		(1,150.67)
Description (Box 8):	MATTEL INC COM				**CUSIP:** 577081102			
SELL	VERSUS PURCHASE	156	05/16/2013	05/29/2013	7,018.44	7,229.02		(210.58)
Description (Box 8):	PETROCHINA CO LTD SP ONS ADR ISIN#US71646 E1001				**CUSIP:** 71646E100			
SELL	FIRST IN FIRST OUT	98	05/16/2013	09/20/2013	11,036.24	12,439.63		(1,403.39)
Description (Box 8):	VODAFONE GROUP PLC S PON ADR NEW ISIN#US9 2857W2098				**CUSIP:** 92857W209			
SELL	FIRST IN FIRST OUT	761	05/29/2013	09/20/2013	25,479.64	22,313.28		3,166.36
Short-Term Covered Total					301,794.26	307,003.63		(5,209.37)
Covered Total					301,794.26	307,003.63		(5,209.37)

Seq.# (22N 123693)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 22N-033406

Recipient's Identification Number. 46-1558417

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Transactions for Which Basis is Not Reported to the IRS and for Which Short- or Long-Term Determination is Unknown (to Broker) - You must determine short-term or long-term based on your records and Report on Form 8949, Part I, with Box B checked, or on Form 8949, Part II, with Box E checked, as appropriate.

Noncovered (Box 6a)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8): SIEMENS A G SPONSORED ADR ISIN#US8261975010					CUSIP: 826197501			
RTS REDEMPTION		367		07/25/2013	1,121.55			
Other Noncovered Total					1,121.55	0.00		0.00
Noncovered Total					1,121.55	0.00		0.00
Total					302,915.81	307,003.63		(5,209.37)

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, the option premium portion of reverse convertibles and bond maturities. Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities. Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (long-term or short-term) and whether any loss is disallowed due to a wash sale for both covered and noncovered securities transactions will be displayed when available. Please note that such detail for noncovered transactions is not reported to the IRS.

Type of Gain or (Loss) (Box 1c). The section headings within the 1099-B indicate the type of gain or (loss) for the transactions, short-term or long-term.

Covered (b) or Noncovered (a) Security (Box 6). The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program.

Description (Box 8). Shows a brief description of the item or service for which the proceeds are being reported.

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

Disposition Transaction. This column will denote the type of transaction, for example "SELL."

Disposition Method. The method used to select the lot will be displayed as, for example, first-in first-out (FIFO). Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method

Quantity (Box 1e). The number of shares included in the sale or exchange for the lot reported. If fractional shares are part of the disposition, those shares will be displayed to three decimal places

Date of Acquisition (Box 1b). This box represents the date you acquired the security or, for short sales, the date you opened the short sale. You may see the word "VARIOUS" displayed in this column if the disposition transaction includes multiple lots with various acquisition dates.

Date of Sale or Exchange (Box 1a). This box shows the trade date of the sale or exchange. For short sales, the date shown is the date you closed the short sale

Gross Proceeds (Less Commissions and Option Premiums) (Box 2a). Gross proceeds from transactions involving stocks, bonds and other debt obligations are reported in Box 2a. These amounts do not reflect net profits and are net of transaction costs (for instance, commissions and option premiums). Report the gross proceeds from each transaction separately on IRS Form 8949 (Sales and Other Dispositions of Capital Assets) and IRS Form 1040, Schedule D (Capital Gains and Losses). See the Instructions for Form 8949 for exceptions to reporting each transaction on a separate row. This box does not include proceeds from regulated futures or foreign currency forward contracts.

Cost or Other Basis (Box 3). This box shows the original cost, adjusted cost basis due to a corporate action or gifted or inherited cost basis. The IRS provides a detailed description of cost or other basis reporting in the 2013 Instructions for Form 1099-B available at irs.gov. For additional information about cost basis and its use during your tax preparation, please refer to the following IRS publications: IRS instructions for Schedule D and Form 8949, IRS Pub. 550 (Investment Income and Expenses (Including Capital Gains and Losses)) and IRS Pub. 551 (Basis of Assets). Average cost is segregated between covered, non-covered and gifted (see the Tax Guide at mytaxhandbook.com for more specific details).

Adjustments. This column may display the following

Seq # (22N 123693)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 2Y5-005321

Recipient's Identification
Number. 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

IMPORTANT MESSAGE: We send tax statements when information is finalized by securities issuers. If information is pending from issuers, you and members of your household may receive tax statement(s) at different times. Tax statement mailings will occur by January 31, February 18, February 28 and March 17, depending on when final information for your account is received from issuers. If you have any questions, please visit mytaxhandbook.com.

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Short-Term Transactions Not Reported to the IRS on Form 1099-B (Informational Only)	
Proceeds	10,267.66
Cost or Other Basis	530.54
Realized Gain or Loss	9,737.12
Advisory Fees	**(6,890.41)**

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.
Note for Exempt S Corporations Only: 1099-B records for covered transactions are reported to the IRS.

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b)

Description (Box 8): ABB LTD SPONSORED AD R **CUSIP:** 000375204

SELL	FIRST IN FIRST OUT	300	05/20/2013	09/20/2013	6,974.75	6,720.00	74 88 O	254.75

Seq.# (2Y5 122196)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 2Y5-005321

Recipient's Identification
Number. 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): AT&T INC COM					CUSIP: 00206R102			
SELL	FIRST IN FIRST OUT	700	05/20/2013	09/20/2013	24,065.31	26,075.00	265.73 0	(143.55)
							1,866.14 W	
Description (Box 8): ALTRIA GROUP INC COM					CUSIP: 02209S103			
SELL	FIRST IN FIRST OUT	700	05/20/2013	07/19/2013	25,589.26	26,138.98	389.70 0	0.00
							549.72 W	
SELL	VERSUS PURCHASE	400	07/22/2013	10/18/2013	14,107.60	15,087.13	107.85 0	(171.42)
	Wash sale: 60 day(s) added to holding period						808.11 W	
SELL	VERSUS PURCHASE	300	07/22/2013	11/15/2013	11,452.73	11,315.34	52.93 0	137.39
	Wash sale: 60 day(s) added to holding period							
SELL	VERSUS PURCHASE	100	10/21/2013	11/15/2013	3,817.57	3,837.61	17.64 0	0.00
	Wash sale: 88 day(s) added to holding period						20.04 W	
SALE DATE TOTAL		**400**	**VARIOUS**	**11/15/2013**	**15,270.30**	**15,152.95**		**137.39**
SECURITY TOTAL					**54,967.16**	**56,379.06**		**(34.03)**

Seq # (2Y5 122196)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 2Y5-005321

Recipient's Identification
Number: 46-1558417

2013 TAX and
YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8): ASTRAZENECA PLC SPON SORED ADR					CUSIP: 046353108			
SELL	FIRST IN FIRST OUT	100	05/20/2013	09/20/2013	5,029.86	5,156.00	29 95 O 126.14 W	0.00
SELL	FIRST IN FIRST OUT	100	05/20/2013	11/15/2013	5,299.88	5,156.00	49.97 O	143.88
SELL	FIRST IN FIRST OUT	100	09/25/2013	11/15/2013	5,299.87	5,280.56	49.97 O	19.31
	Wash sale. 123 day(s) added to holding period							
SALE DATE TOTAL		**200**	**VARIOUS**	**11/15/2013**	**10,599.75**	**10,436.56**		**163.19**
SELL	FIRST IN FIRST OUT	100	09/25/2013	12/20/2013	5,794.86	5,154.42	44.96 O	640.44
SELL	FIRST IN FIRST OUT	100	11/19/2013	12/20/2013	5,794.85	5,342.20	44.96 O	452.65
SALE DATE TOTAL		**200**	**VARIOUS**	**12/20/2013**	**11,589.71**	**10,496.62**		**1,093.09**
SECURITY TOTAL					**27,219.32**	**26,089.18**		**1,256.28**
Description (Box 8): BAXTER INTL INC COM					CUSIP: 071813109			
SELL	FIRST IN FIRST OUT	200	07/02/2013	07/19/2013	14,587.65	14,042.68	87 91 O	544.97
Description (Box 8): BOEING CO COM					CUSIP: 097023105			
SELL	FIRST IN FIRST OUT	100	05/20/2013	07/02/2013	10,285.57	9,891.00		394.57
SELL	FIRST IN FIRST OUT	100	05/20/2013	09/20/2013	11,205.97	9,891.00	206.17 O	1,314.97
SELL	FIRST IN FIRST OUT	100	05/20/2013	10/18/2013	12,115.07	9,891.00	115.28 O	2,224.07

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 2Y5-005321

Recipient's Identification Number: 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium	Realized Gain or (Loss)
Description (Box 8): BOEING CO COM					CUSIP: 097023105 *(continued)*			
SELL	FIRST IN FIRST OUT	60	09/23/2013	11/15/2013	8,151.03	7,044.53	51.17 0	1,106.50
SELL	FIRST IN FIRST OUT	40	10/21/2013	11/15/2013	5,434.02	4,866.68	34.12 0	567.34
SALE DATE TOTAL		**100**	**VARIOUS**	**11/15/2013**	**13,585.05**	**11,911.21**		**1,673.84**
SELL	FIRST IN FIRST OUT	50	10/21/2013	12/20/2013	6,874.86	6,083.35	124.98 0	791.51
SELL	FIRST IN FIRST OUT	50	11/19/2013	12/20/2013	6,874.85	6,868.96	124.97 0	5.89
SALE DATE TOTAL		**100**	**VARIOUS**	**12/20/2013**	**13,749.71**	**12,952.31**		**797.40**
SECURITY TOTAL					**60,941.37**	**54,536.52**		**6,404.85**
Description (Box 8): CSX CORPORATION					CUSIP: 126408103			
SELL	FIRST IN FIRST OUT	400	05/20/2013	08/16/2013	10,197.98	10,448.00	198.16 0 250.02 W	0.00
SELL	VERSUS PURCHASE	300	05/20/2013	09/20/2013	7,601.03	7,836.00	101.16 0 234.97 W	0.00
SELL	VERSUS PURCHASE	100	08/19/2013	09/20/2013	2,533.67	2,563.01	33.72 0	0.00
	Wash sale: 88 day(s) added to holding period						29.34 W	
SALE DATE TOTAL		**400**	**VARIOUS**	**09/20/2013**	**10,134.70**	**10,399.01**		**0.00**
SECURITY TOTAL					**20,332.68**	**20,847.01**		**0.00**

Seq.# (2Y5 122196)

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8):	CHEVRON CORP NEW COM				CUSIP: 166764100			
SELL	FIRST IN FIRST OUT	200	05/20/2013	07/19/2013	24,214.31	24,952.00	214.73 O 663.92 W	(73 77)
Description (Box 8):	CISCO SYSTEMS INC				CUSIP: 17275R102			
SELL	FIRST IN FIRST OUT	400	05/20/2013	06/21/2013	9,747.67	9,548.00	147.84 O	199.67
SELL	FIRST IN FIRST OUT	300	05/20/2013	07/19/2013	7,547.96	7,161.00	48.09 O	386.96
SELL	FIRST IN FIRST OUT	100	06/25/2013	07/19/2013	2,515.98	2,439.26	16.03 O	76 72
SALE DATE TOTAL		**400**	**VARIOUS**	**07/19/2013**	**10,063.94**	**9,600.26**		**463.68**
SELL	FIRST IN FIRST OUT	300	06/25/2013	09/20/2013	7,289.75	7,317.78	89.88 O 28.03 W	0.00
SELL	FIRST IN FIRST OUT	100	07/22/2013	09/20/2013	2,429.92	2,570.56	29.96 O 140.64 W	0.00
SALE DATE TOTAL		**400**	**VARIOUS**	**09/20/2013**	**9,719.67**	**9,888.34**		**0.00**
SECURITY TOTAL					**29,531.28**	**29,036.60**		**663.35**
Description (Box 8):	CONAGRA FOODS INC CO M				CUSIP: 205887102			
SELL	FIRST IN FIRST OUT	300	05/20/2013	07/19/2013	10,427.37	10,743.00	227.55 O 315.63 W	0.00

Recipient's Name and Address:

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2013 TAX and
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As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): CONAGRA FOODS INC CO M					CUSIP: 205887102 *(continued)*			
SELL	FIRST IN FIRST OUT	200	05/20/2013	10/18/2013	6,269.82	7,162.00	69.92 O 892.19 W	0.00
SELL	FIRST IN FIRST OUT	200	07/22/2013	10/18/2013	6,269.80	7,573.58	69.92 O	0.00
	Wash sale: 60 day(s) added to holding period						1,303.78 W	
SALE DATE TOTAL		**400**	**VARIOUS**	**10/18/2013**	**12,539.62**	**14,735.58**		**0.00**
SECURITY TOTAL					**22,966.99**	**25,478.58**		**0.00**
Description (Box 8): CONOCOPHILLIPS COM					CUSIP: 20825C104			
SELL	FIRST IN FIRST OUT	200	05/20/2013	09/20/2013	13,629.67	12,830.00	129.91 O	799.67
Description (Box 8): DOMINION RES INC VA COM					CUSIP: 25746U109			
SELL	FIRST IN FIRST OUT	100	05/20/2013	10/18/2013	6,323.80	6,072.00	73.91 O	251.80
SELL	FIRST IN FIRST OUT	100	05/20/2013	11/15/2013	6,584.85	6,072 00	84.97 O	512.85
SECURITY TOTAL					**12,908.65**	**12,144.00**		**764.65**
Description (Box 8): DU PONT E I DE NEMOU RS & CO COM					CUSIP: 263534109			
SELL	FIRST IN FIRST OUT	200	05/20/2013	07/19/2013	11,188.81	11,210.00	189.01 O 19.07 W	(2.12)
SELL	FIRST IN FIRST OUT	200	05/20/2013	12/20/2013	12,619.70	11,210.00	119.92 O	1,409.70

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium	Realized Gain or (Loss)
Description (Box 8): DU PONT E I DE NEMOU RS & CO COM					**CUSIP:** 263534109 *(continued)*			
SECURITY TOTAL					23,808.51	22,420.00		1,407.58
Description (Box 8): EXXON MOBIL CORP COM					**CUSIP:** 30231G102			
SELL	VERSUS PURCHASE	200	07/09/2013	09/20/2013	17,701.09	18,623.58	201.40 0 645.74 W	(276.75)
SELL	FIRST IN FIRST OUT	30	07/26/2013	12/20/2013	2,870.34	2,834.53	20.39 0	35.81
SELL	FIRST IN FIRST OUT	20	08/21/2013	12/20/2013	1,913.56	1,832.09	13.59 0	81.47
	Wash sale: 73 day(s) added to holding period							
SELL	FIRST IN FIRST OUT	120	09/26/2013	12/20/2013	11,481.35	10,993.49	81.55 0	487.86
	Wash sale: 73 day(s) added to holding period							
SELL	FIRST IN FIRST OUT	30	12/03/2013	12/20/2013	2,870.33	2,822.40	20.39 0	47.93
SALE DATE TOTAL		**200**	**VARIOUS**	**12/20/2013**	**19,135.58**	**18,482.51**		**653.07**
SECURITY TOTAL					**36,836.67**	**37,106.09**		**376.32**
Description (Box 8): GENERAL ELECTRIC CO COM					**CUSIP:** 369604103			
SELL	FIRST IN FIRST OUT	500	05/20/2013	11/15/2013	13,149.58	11,785.00	149.81 0	1,364.58
Description (Box 8): HSBC HLDGS PLC SPONS ADR NEW					**CUSIP:** 404280406			
SELL	FIRST IN FIRST OUT	200	05/20/2013	07/19/2013	11,189.71	11,730.00	189 91 0 540.29 W	0.00

Recipient's Name and Address:

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As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): HSBC HLDGS PLC SPONS ADR NEW					CUSIP: 404280406 *(continued)*			
SELL	FIRST IN FIRST OUT	100	05/20/2013	09/20/2013	5,560.87	5,865.00	60 96 0 304 14 W	0.00
SELL	FIRST IN FIRST OUT	100	07/22/2013	09/20/2013	5,560.85	5,926.15	60 96 0	0.00
	Wash sale: 60 day(s) added to holding period						365.30 W	
SALE DATE TOTAL		**200**	**VARIOUS**	**09/20/2013**	**11,121.72**	**11,791.15**		**0.00**
SECURITY TOTAL					**22,311.43**	**23,521.15**		**0.00**
Description (Box 8): HATTERAS FINL CORP C OM					CUSIP: 41902R103			
SELL	FIRST IN FIRST OUT	230	05/20/2013	07/24/2013	4,672.02	6,051.30		(1,379.28)
SELL	FIRST IN FIRST OUT	270	05/20/2013	08/29/2013	4,989.73	7,103.70		(2,113.97)
SECURITY TOTAL					**9,661.75**	**13,155.00**		**(3,493.25)**
Description (Box 8): HEALTH CARE REIT INC COM					CUSIP: 42217K106			
SELL	VERSUS PURCHASE	200	05/20/2013	09/20/2013	12,269.70	15,465.12	269.91 0 3,195.42 W	0.00
Description (Box 8): INTEL CORP COM					CUSIP: 458140100			
SELL	FIRST IN FIRST OUT	300	07/05/2013	09/20/2013	7,010.75	7,205.40	110 88 0 194.65 W	0.00

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2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B   Proceeds From Broker and Barter Exchange Transactions   OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8): JP MORGAN CHASE & CO COM ISIN#US46625H10 05					**CUSIP:** 46625H100			
SELL	FIRST IN FIRST OUT	200	05/20/2013	07/19/2013	10,635.82	10,468.00	136 01 O	167.82
SELL	FIRST IN FIRST OUT	200	05/20/2013	09/20/2013	10,599.50	10,468.00	99.69 O	131.50
SELL	FIRST IN FIRST OUT	120	07/22/2013	11/15/2013	6,352 32	6,782.48	52.43 O	0.00
							430.16 W	
SELL	FIRST IN FIRST OUT	80	07/26/2013	11/15/2013	4,234.88	4,476.44	34.95 O	0.00
							241 56 W	
SELL	FIRST IN FIRST OUT	100	09/23/2013	11/15/2013	5,293 59	5,176.00	43.69 O	117.59
SALE DATE TOTAL		**300**	**VARIOUS**	**11/15/2013**	**15,880.79**	**16,434.92**		**117.59**
SECURITY TOTAL					**37,116.11**	**37,370.92**		**416.91**
Description (Box 8): JOHNSON & JOHNSON CO M					**CUSIP:** 478160104			
SELL	FIRST IN FIRST OUT	200	05/20/2013	09/20/2013	17,664.84	17,600.00	165.15 O	64.84
Description (Box 8): LOCKHEED MARTIN CORP COM					**CUSIP:** 539830109			
SELL	FIRST IN FIRST OUT	100	05/20/2013	07/19/2013	11,079.75	10,661 00	79.95 O	418.75
SELL	FIRST IN FIRST OUT	100	07/22/2013	08/16/2013	12,124.74	11,552.00	124.95 O	572.74
SELL	FIRST IN FIRST OUT	20	07/22/2013	11/15/2013	2,729.94	2,310.40	29.99 O	419.54
SELL	FIRST IN FIRST OUT	80	08/19/2013	11/15/2013	10,919.77	9,788.82	119 96 O	1,130.95
SALE DATE TOTAL		**100**	**VARIOUS**	**11/15/2013**	**13,649.71**	**12,099.22**		**1,550.49**

Recipient's Name and Address:

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Account Number: 2Y5-005321

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2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8):	LOCKHEED MARTIN CORP COM				CUSIP: 539830109 *(continued)*			
SELL	FIRST IN FIRST OUT	20	08/19/2013	12/20/2013	2,826.05	2,447.21	26.10 O	378.84
SELL	FIRST IN FIRST OUT	80	11/19/2013	12/20/2013	11,304.21	11,124.00	104.41 O	180.21
SALE DATE TOTAL		**100**	**VARIOUS**	**12/20/2013**	**14,130.26**	**13,571.21**		**559.05**
SECURITY TOTAL					**50,984.46**	**47,883.43**		**3,101.03**
Description (Box 8):	MERCK & CO INC NEW C OM				CUSIP: 58933Y105			
SELL	FIRST IN FIRST OUT	300	05/20/2013	07/19/2013	14,288.33	13,572.00	188.58 O	716.33
SELL	FIRST IN FIRST OUT	200	05/20/2013	11/15/2013	9,243.75	9,048.00	43.92 O	195.75
SELL	FIRST IN FIRST OUT	100	07/22/2013	11/15/2013	4,621.88	4,789.49	21.96 O	0.00
							167.61 W	
SALE DATE TOTAL		**300**	**VARIOUS**	**11/15/2013**	**13,865.63**	**13,837.49**		**195.75**
SELL	FIRST IN FIRST OUT	100	07/22/2013	12/20/2013	4,917.07	4,789.49	17.16 O	127.58
SECURITY TOTAL					**33,071.03**	**32,198.98**		**1,039.66**
Description (Box 8):	METLIFE INC COM				CUSIP: 59156R108			
SELL	FIRST IN FIRST OUT	200	05/20/2013	07/19/2013	9,527.74	8,666.00	127.91 O	861.74
SELL	FIRST IN FIRST OUT	100	05/20/2013	10/18/2013	4,973.37	4,333.00	73.46 O	640.37
SELL	FIRST IN FIRST OUT	100	07/22/2013	10/18/2013	4,973.37	4,927.00	73.46 O	46.37

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2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8): METLIFE INC COM					CUSIP: 59156R108 *(continued)*			
SALE DATE TOTAL		**200**	**VARIOUS**	**10/18/2013**	**9,946.74**	**9,260.00**		**686.74**
SELL	FIRST IN FIRST OUT	100	07/22/2013	11/15/2013	4,930.15	4,927.00	30.23 O	3.14
SELL	FIRST IN FIRST OUT	50	09/25/2013	11/15/2013	2,465.07	2,391.34	15.12 O	73 73
SELL	FIRST IN FIRST OUT	50	10/21/2013	11/15/2013	2,465.07	2,476.64	15.12 O 11.57 W	0.00
SALE DATE TOTAL		**200**	**VARIOUS**	**11/15/2013**	**9,860.29**	**9,794.98**		**76.87**
SECURITY TOTAL					**29,334.77**	**27,720.98**		**1,625.35**
Description (Box 8): MICROSOFT CORP COM					CUSIP: 594918104			
SELL	VERSUS PURCHASE	400	05/20/2013	10/18/2013	13,759.37	13,996.00	159.61 O 236.63 W	0.00
SELL	VERSUS PURCHASE	200	05/20/2013	11/15/2013	7,259.26	6,998.00	59.39 O	261.26
SELL	VERSUS PURCHASE	100	06/24/2013	11/15/2013	3,629.63	3,364.88	29.69 O	264.75
SELL	VERSUS PURCHASE	100	10/21/2013	11/15/2013	3,629.62	3,572.04	29.69 O	57.58
	Wash sale. 151 day(s) added to holding period							
SALE DATE TOTAL		**400**	**VARIOUS**	**11/15/2013**	**14,518.51**	**13,934.92**		**583.59**
SECURITY TOTAL					**28,277.88**	**27,930.92**		**583.59**

Seq # (2Y5 122196)

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2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): MUNICH RE GROUP ADR ISIN#US6261881063					CUSIP: 626188106			
SELL	FIRST IN FIRST OUT	700	05/20/2013	07/09/2013	12,816.56	13,433.00		(616.44)
Description (Box 8): NEWMONT MNG CORP COM					CUSIP: 651639106			
SELL	FIRST IN FIRST OUT	500	05/20/2013	08/16/2013	14,565.45	16,010.00	65.71 O 982.29 W	(462.26)
SELL	FIRST IN FIRST OUT	200	06/07/2013	08/16/2013	5,826.18	6,788.00	26.28 O	(961.82)
SALE DATE TOTAL		**700**	**VARIOUS**	**08/16/2013**	**20,391.63**	**22,798.00**		**(1,424.08)**
SELL	FIRST IN FIRST OUT	300	08/19/2013	11/15/2013	8,282.70	10,661.85	182.85 O	(2,379.15)
	Wash sale: 88 day(s) added to holding period							
SELL	FIRST IN FIRST OUT	40	08/19/2013	11/18/2013	1,082.28	1,421.58		(339.30)
	Wash sale: 88 day(s) added to holding period							
SECURITY TOTAL					**29,756.61**	**34,881.43**		**(4,142.53)**
Description (Box 8): NEXTERA ENERGY INC C OM					CUSIP: 65339F101			
SELL	FIRST IN FIRST OUT	200	05/20/2013	07/19/2013	15,719.64	16,044.00	219.91 O 259.49 W	(64.87)
SELL	VERSUS PURCHASE	100	05/20/2013	10/18/2013	8,349.82	8,022.00	99.96 O	327.82
SELL	VERSUS PURCHASE	100	07/22/2013	10/18/2013	8,349.81	8,662.43	99.96 O	0 00

Recipient's Name and Address:

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2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): NEXTERA ENERGY INC C OM					CUSIP: 65339F101 *(continued)*			
	Wash sale: 60 day(s) added to holding period						312.62 W	
SALE DATE TOTAL		**200**	**VARIOUS**	**10/18/2013**	**16,699.63**	**16,684.43**		**327.82**
SELL	VERSUS PURCHASE	60	07/22/2013	11/15/2013	5,195.89	5,197.45	95.98 O	0.00
	Wash sale: 60 day(s) added to holding period						1.56 W	
SELL	VERSUS PURCHASE	100	10/21/2013	11/15/2013	8,659.81	8,676.74	159.96 O	0.00
	Wash sale: 88 day(s) added to holding period						16.93 W	
SELL	VERSUS PURCHASE	40	10/21/2013	11/15/2013	3,463.92	3,346.69	63.98 O	117.23
	Wash sale: 116 day(s) added to holding period							
SALE DATE TOTAL		**200**	**VARIOUS**	**11/15/2013**	**17,319.62**	**17,220.88**		**117.23**
SECURITY TOTAL					**49,738.89**	**49,949.31**		**380.18**
Description (Box 8): NOVARTIS AG SPONSORE D ADR					CUSIP: 66987V109			
SELL	FIRST IN FIRST OUT	300	05/20/2013	07/19/2013	21,899.50	22,245.00	149.88 O	0.00
							345.50 W	

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 2Y5-005321

Recipient's Identification
Number: 46-1558417

2013 TAX and
YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8):	PETROCHINA CO LTD SP ONS ADR ISIN#US71646 E1001				CUSIP: 71646E100			
SELL	FIRST IN FIRST OUT	200	05/20/2013	07/19/2013	22,569.52	25,646.00	569.91 O	(3,076.48)
Description (Box 8):	PHILIP MORRIS INTL I NC COM				CUSIP: 718172109			
SELL	FIRST IN FIRST OUT	200	05/20/2013	09/20/2013	17,166.28	19,010.00	166.58 O	(184.37)
							1,659.35 W	
SELL	FIRST IN FIRST OUT	30	07/26/2013	11/15/2013	2,651.10	2,652.37	26.15 O	0.00
							1.27 W	
SELL	FIRST IN FIRST OUT	170	09/23/2013	11/15/2013	15,022.93	16,851.87	148.19 O	0.00
	Wash sale: 123 day(s) added to holding period						1,828.94 W	
SALE DATE TOTAL		**200**	**VARIOUS**	**11/15/2013**	**17,674.03**	**19,504.24**		**0.00**
SECURITY TOTAL					**34,840.31**	**38,514.24**		**(184.37)**
Description (Box 8):	RAYTHEON CO COM NEW				CUSIP: 755111507			
SELL	FIRST IN FIRST OUT	200	05/20/2013	08/16/2013	14,641.91	13,366.00	142.17 O	1,275.91
SELL	FIRST IN FIRST OUT	100	05/20/2013	09/20/2013	7,837.13	6,683.00	87.26 O	1,154.12
SELL	FIRST IN FIRST OUT	100	08/19/2013	09/20/2013	7,837.12	7,682.43	87.26 O	154.69
SALE DATE TOTAL		**200**	**VARIOUS**	**09/20/2013**	**15,674.25**	**14,365.43**		**1,308.81**

Seq.# (2Y5 122196)

Recipient's Name and Address:

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FAMILY FOUNDATION

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2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): RAYTHEON CO COM NEW					CUSIP: 755111507 *(continued)*			
SELL	FIRST IN FIRST OUT	80	08/19/2013	11/15/2013	6,302.52	6,145.94	102.62 O	156.58
SELL	FIRST IN FIRST OUT	120	09/23/2013	11/15/2013	9,453.77	9,434.87	153.94 O	18.90
SALE DATE TOTAL		**200**	**VARIOUS**	**11/15/2013**	**15,756.29**	**15,580.81**		**175.48**
SECURITY TOTAL					**46,072.45**	**43,312.24**		**2,760.20**
Description (Box 8): SANOFI SPONS ADR ISI N#US80105N1054					CUSIP: 80105N105			
SELL	FIRST IN FIRST OUT	200	05/20/2013	10/18/2013	10,151.20	10,801.34	151.38 O 552.62 W	(97.52)
Description (Box 8): SIEMENS A G SPONSORE D ADR ISIN#US8261975 010					CUSIP: 826197501			
SELL	FIRST IN FIRST OUT	100	08/28/2013	09/20/2013	11,136.46	10,846.30	136.66 O	290.16
SELL	FIRST IN FIRST OUT	30	08/28/2013	09/23/2013	3,628.63	3,253.89		374.74
SECURITY TOTAL					**14,765.09**	**14,100.19**		**664.90**
Description (Box 8): STATOIL ASA SPONSORE D ADR ISIN#US85771P1 021					CUSIP: 85771P102			
SELL	FIRST IN FIRST OUT	800	05/20/2013	07/05/2013	16,271.15	18,048.00		(1,776.85)
Description (Box 8): VODAFONE GROUP PLC S PON ADR NEW ISIN#US9 2857W2098					CUSIP: 92857W209			
SELL	FIRST IN FIRST OUT	400	05/20/2013	07/19/2013	11,799.63	12,036.00	199.84 O 236 37 W	0.00

Recipient's Name and Address:

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2013 TAX and
YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8): VODAFONE GROUP PLC S PON ADR NEW ISIN#US9 2857W2098					CUSIP: 92857W209 *(continued)*			
SELL	FIRST IN FIRST OUT	300	05/20/2013	09/20/2013	9,449.72	9,027.00	149.88 O	422.72
SELL	FIRST IN FIRST OUT	100	07/22/2013	09/20/2013	3,149.90	3,035.52	49.96 O	114.38
	Wash sale: 60 day(s) added to holding period							
SALE DATE TOTAL		400	VARIOUS	09/20/2013	12,599.62	12,062.52		537.10
SECURITY TOTAL					24,399.25	24,098.52		537.10
Description (Box 8): WELLS FARGO & CO NEW COM					CUSIP: 949746101			
SELL	FIRST IN FIRST OUT	200	05/20/2013	07/19/2013	8,319.76	8,048.00	119.91 O	271.76
SELL	FIRST IN FIRST OUT	200	05/20/2013	10/18/2013	8,485.87	8,048.00	86.02 O	437.87
SELL	FIRST IN FIRST OUT	100	07/22/2013	10/18/2013	4,242 94	4,463.00	43 01 O	0 00
							220.06 W	
SALE DATE TOTAL		300	VARIOUS	10/18/2013	12,728.81	12,511.00		437.87
SECURITY TOTAL					21,048.57	20,559.00		709.63
Short-Term Covered Total					938,165.72	946,081.89		12,081.60
Covered Total					938,165.72	946,081.89		12,081.60

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 2Y5-005321

Recipient's Identification Number. 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Transactions for Which Basis is Not Reported to the IRS and for Which Short- or Long-Term Determination is Unknown (to Broker) - You must determine short-term or long-term based on your records and Report on Form 8949, Part I, with Box B checked, or on Form 8949, Part II, with Box E checked, as appropriate.								
Noncovered (Box 6a)								
Description (Box 8): CONAGRA FOODS INC COM					CUSIP: 205887102			
SELL		400		12/20/2013	13,199.77			
Description (Box 8): JP MORGAN CHASE & CO COM ISIN#US46625H1005					CUSIP: 46625H100			
SELL		300		12/20/2013	17,249.69			
Description (Box 8): WELLS FARGO & CO NEW COM					CUSIP: 949746101			
SELL		300		12/20/2013	13,199.77			
Other Noncovered Total					43,649.23	0.00		0.00
Noncovered Total					43,649.23	0.00		0.00
Total					981,814.95	946,081.89		12,081.60

IRS Form 1099-B - Proceeds from Broker and Barter Exchange Transactions

The amounts in this section of your Tax Information Statement reflect proceeds from securities transactions such as sales, redemptions, tender offers, return of principal distributions, the option premium portion of reverse convertibles and bond maturities Short-term and long-term transactions are segregated in your 1099-B form in a format comparable to IRS Form 8949, for dispositions of covered and noncovered securities. Since your financial organization subscribes to our premium Tax and Year-End Statement, the date of acquisition, cost or other basis, type of gain or loss (long-term or short-term) and whether any loss is disallowed due to a wash sale for both covered and noncovered securities transactions will be displayed when available. Please note that such detail for noncovered transactions is not reported to the IRS

Type of Gain or (Loss) (Box 1c). The section headings within the 1099-B indicate the type of gain or (loss) for the transactions, short-term or long-term

Covered (b) or Noncovered (a) Security (Box 6). The section headings within the 1099-B indicate whether your security transaction is or is not a covered security under the IRS cost basis reporting program.

Description (Box 8). Shows a brief description of the item or service for which the proceeds are being reported.

CUSIP. Broker transactions may show the Committee on Uniform Security Identification Procedures (CUSIP) number of the item reported.

Disposition Transaction. This column will denote the type of transaction, for example "SELL."

Disposition Method. The method used to select the lot will be displayed as, for example, first-in first-out (FIFO). Please refer to the Client Service Information Section in your brokerage account statement for your account's existing tax-lot disposition method.

Quantity (Box 1e). The number of shares included in the sale or exchange for the lot reported If fractional shares are part of the disposition, those shares will be displayed to three decimal places

Date of Acquisition (Box 1b). This box represents the date you acquired the security or, for short sales, the date you opened the short sale You may see the word "VARIOUS" displayed in this column if the disposition transaction includes multiple lots with various acquisition dates.

Date of Sale or Exchange (Box 1a). This box shows the trade date of the sale or exchange For short sales, the date shown is the date you closed the short sale

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 2Y5-005339

Recipient's Identification
Number: 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

IMPORTANT MESSAGE: We send tax statements when information is finalized by securities issuers. If information is pending from issuers, you and members of your household may receive tax statement(s) at different times. Tax statement mailings will occur by January 31, February 18, February 28 and March 17, depending on when final information for your account is received from issuers. If you have any questions, please visit mytaxhandbook.com.

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.

Summary Of Transactions We Do Not Report To The IRS (See instructions for additional information)

	Amount
Advisory Fees	(4,292.52)

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

This section is being provided for informational purposes only. The information in this section will not be furnished to the Internal Revenue Service.
Note for Exempt S Corporations Only: 1099-B records for covered transactions are reported to the IRS.

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b)

Description (Box 8): BOSIDENG INTL HOLDIN G LIMITED SHS ISIN#K YG126521064 **CUSIP:** G12652106

SELL	FIRST IN FIRST OUT	6,800	05/21/2013	08/20/2013	1,446.36	1,842.12		(395.76)
SELL	FIRST IN FIRST OUT	5,935	05/21/2013	08/21/2013	1,252.29	1,607.79		(355.50)
SELL	FIRST IN FIRST OUT	1,265	05/21/2013	08/22/2013	262.11	342.69		(80.58)
SELL	FIRST IN FIRST OUT	2,730	05/21/2013	09/10/2013	577.40	739 56		(162.16)
SELL	FIRST IN FIRST OUT	1,920	05/21/2013	09/11/2013	418.71	520.13		(101.42)
SELL	FIRST IN FIRST OUT	1,640	05/21/2013	09/12/2013	359.98	444.28		(84.30)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 2Y5-005339

Recipient's Identification Number: 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8): BOSIDENG INTL HOLDIN G LIMITED SHS ISIN#K YG126521064					**CUSIP:** G12652106 *(continued)*			
SELL	FIRST IN FIRST OUT	1,370	05/21/2013	09/13/2013	296.88	371.13		(74.25)
SELL	FIRST IN FIRST OUT	1,370	05/21/2013	09/16/2013	300.44	371.13		(70.69)
SELL	FIRST IN FIRST OUT	1,100	05/21/2013	09/17/2013	240.92	297.99		(57.07)
SELL	FIRST IN FIRST OUT	1,380	05/21/2013	09/18/2013	303.60	373.84		(70.24)
SELL	FIRST IN FIRST OUT	490	05/21/2013	09/23/2013	108.88	132.74		(23.86)
SELL	FIRST IN FIRST OUT	5,380	05/21/2013	10/30/2013	1,232.02	1,457.44		(225.42)
SELL	FIRST IN FIRST OUT	2,620	05/21/2013	10/31/2013	599.72	709.76		(110.04)
SELL	FIRST IN FIRST OUT	2,000	05/21/2013	11/01/2013	455.20	541.80		(86.60)
SECURITY TOTAL					**7,854.51**	**9,752.40**		**(1,897.89)**
Description (Box 8): STANDARD CHARTERED P LC SHS ISIN#GB000408 2847					**CUSIP:** G84228157			
SELL	FIRST IN FIRST OUT	50	05/22/2013	12/04/2013	1,177 78	1,236.54		(58.76)
SELL	FIRST IN FIRST OUT	150	05/22/2013	12/05/2013	3,353.40	3,709.60		(356.20)
SECURITY TOTAL					**4,531.18**	**4,946.14**		**(414.96)**
Description (Box 8): ISRAEL CHEMICALS LTD SHS ISIN#IL00028101 46					**CUSIP:** M5920A109			
SELL	FIRST IN FIRST OUT	640	05/21/2013	07/15/2013	6,406.78	7,173 31		(766.53)
SELL	FIRST IN FIRST OUT	295	05/21/2013	08/14/2013	2,380 68	3,306.45		(925.77)
SELL	FIRST IN FIRST OUT	275	05/21/2013	10/08/2013	2,274.22	3,082.28		(808.06)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 2Y5-005339

Recipient's Identification
Number 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): ISRAEL CHEMICALS LTD SHS ISIN#IL00028101 46					CUSIP: M5920A109 *(continued)*			
SECURITY TOTAL					11,061.68	13,562.04		(2,500.36)
Description (Box 8): COPA HOLDING S A CL A COM ISIN#PAP310761 054					CUSIP: P31076105			
SELL	FIRST IN FIRST OUT	20	05/20/2013	08/01/2013	2,831.04	2,695.20		135.84
Description (Box 8): DEUTSCHE BANK MEXICO SA REAL ESTATE INVE STMENT TRUST ISIN#MX					CUSIP: P3515D163			
SELL	FIRST IN FIRST OUT	150	05/21/2013	09/25/2013	423.80	550.16		(126.36)
SELL	FIRST IN FIRST OUT	350	05/21/2013	09/26/2013	980.95	1,283.69		(302.74)
SELL	FIRST IN FIRST OUT	360	05/21/2013	09/27/2013	995.08	1,320.37		(325.29)
SELL	FIRST IN FIRST OUT	490	05/21/2013	10/02/2013	1,341.82	1,797.17		(455.35)
SECURITY TOTAL					3,741.65	4,951.39		(1,209.74)
Description (Box 8): INDUSTRIAS PENOLES O RD SHS ISIN#MXP55409 1415 ID # PE&OLES					CUSIP: P55409141			
SELL	FIRST IN FIRST OUT	100	05/21/2013	08/20/2013	3,442 40	3,450.68		(8.28)
SELL	FIRST IN FIRST OUT	10	05/21/2013	10/29/2013	305.37	345 07		(39.70)
SELL	FIRST IN FIRST OUT	20	05/21/2013	10/30/2013	596.15	690.14		(93.99)
SELL	FIRST IN FIRST OUT	30	05/21/2013	11/05/2013	851.55	1,035 20		(183.65)
SELL	FIRST IN FIRST OUT	40	05/21/2013	12/13/2013	1,012 62	1,380.27		(367.65)
SECURITY TOTAL					6,208.09	6,901.36		(693.27)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
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Account Number: 2Y5-005339

Recipient's Identification
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2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8):	BIDVEST GROUP LTD IS IN#ZAE000117321				CUSIP: S1201R162			
SELL	FIRST IN FIRST OUT	100	05/21/2013	09/09/2013	2,545.69	2,747.35		(201.66)
Description (Box 8):	METROPOLITAN HLDGS L TD SHS ISIN#ZAE00014 9902				CUSIP: S5064H112			
SELL	FIRST IN FIRST OUT	1,100	05/21/2013	09/03/2013	2,210.84	3,022.47		(811.63)
Description (Box 8):	MTN GROUP LTD SHS IS IN#ZAE000042164				CUSIP: S8039R108			
SELL	FIRST IN FIRST OUT	140	05/21/2013	09/09/2013	2,472.04	2,702.87		(230.83)
Description (Box 8):	POWSZECHNY ZAKLAD UB EZPIECZEN SA SHS ISI N#PLPZU0000011				CUSIP: X6919T107			
SELL	FIRST IN FIRST OUT	20	05/21/2013	09/09/2013	2,533.88	2,720.57		(186.69)
Description (Box 8):	ASCENDAS INDIA TRUST UNITS ISIN#SG1V3593 6920				CUSIP: Y0259C104			
SELL	FIRST IN FIRST OUT	1,010	05/21/2013	09/26/2013	505.61	643.37		(137.76)
SELL	FIRST IN FIRST OUT	1,000	05/21/2013	09/27/2013	492.70	637.00		(144.30)
SELL	FIRST IN FIRST OUT	620	05/21/2013	09/30/2013	304.61	394.94		(90 33)
SELL	FIRST IN FIRST OUT	1,250	05/21/2013	10/01/2013	612.00	796.25		(184.25)
SELL	FIRST IN FIRST OUT	630	05/21/2013	10/02/2013	309.65	401.31		(91.66)
SELL	FIRST IN FIRST OUT	290	05/21/2013	10/04/2013	141.84	184.73		(42.89)
SELL	FIRST IN FIRST OUT	420	05/21/2013	10/07/2013	204.88	267.54		(62.66)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
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2013 TAX and
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2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8):	ASCENDAS INDIA TRUST UNITS ISIN#SG1V3593 6920				CUSIP: Y0259C104 *(continued)*			
SELL	FIRST IN FIRST OUT	500	05/21/2013	10/08/2013	244.00	318.50		(74.50)
SELL	FIRST IN FIRST OUT	280	05/21/2013	10/09/2013	136.13	178.36		(42.23)
SECURITY TOTAL					**2,951.42**	**3,822.00**		**(870.58)**
Description (Box 8):	AXIATA GROUP BHD SHS ISIN#MYL6888OO001				CUSIP: Y0488A101			
SELL	FIRST IN FIRST OUT	500	05/21/2013	09/06/2013	998.50	1,195.40		(196.90)
SELL	FIRST IN FIRST OUT	500	05/21/2013	10/01/2013	1,042.15	1,195.40		(153.25)
SECURITY TOTAL					**2,040.65**	**2,390.80**		**(350.15)**
Description (Box 8):	BERJAYA SPORTS TOTO BERHAD ISIN#MYL1562O O007				CUSIP: Y0849N107			
SELL	FIRST IN FIRST OUT	2,700	05/22/2013	12/04/2013	3,284.28	4,140.72		(856.44)
Description (Box 8):	HYUNDAI MOTOR CO LTD SHS GDR REPR 1/2 NO N ISIN#USY384721251				CUSIP: Y38472125			
SELL	FIRST IN FIRST OUT	50	05/21/2013	07/03/2013	1,993.00	1,984.19		8.81
SELL	FIRST IN FIRST OUT	15	05/21/2013	07/05/2013	586.85	595.26		(8.41)
SELL	VERSUS PURCHASE	10	05/21/2013	07/08/2013	385.47	396.84		(11.37)
SELL	FIRST IN FIRST OUT	10	05/21/2013	07/08/2013	385.47	396.84		(11.37)
SALE DATE TOTAL		**20**	**VARIOUS**	**07/08/2013**	**770.94**	**793.68**		**(22.74)**

Seq # (2Y5 122197)

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
FAMILY FOUNDATION

Account Number: 2Y5-005339

Recipient's Identification
Number: 46-1558417

2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): HYUNDAI MOTOR CO LTD SHS GDR REPR 1/2 NO N ISIN#USY384721251					CUSIP: Y38472125 *(continued)*			
SELL	FIRST IN FIRST OUT	10	05/21/2013	07/09/2013	387.18	396.84		(9.66)
SELL	FIRST IN FIRST OUT	5	05/21/2013	07/11/2013	197.98	198.42		(0.44)
SECURITY TOTAL					**3,935.95**	**3,968.39**		**(32.44)**
Description (Box 8): INDUSTRIAL AND COMME RCIAL BANK OF CHINA LTD SHS H ISIN#CNE10					CUSIP: Y3990B112			
SELL	FIRST IN FIRST OUT	7,000	05/21/2013	07/03/2013	4,172.70	5,087.60		(914.90)
SELL	FIRST IN FIRST OUT	3,000	05/21/2013	07/15/2013	1,891.80	2,180.40		(288.60)
SECURITY TOTAL					**6,064.50**	**7,268.00**		**(1,203.50)**
Description (Box 8): LIPPO MALLS INDONESI A RETAIL TR UNITS IS IN#SG1W27938677					CUSIP: Y5309Y113			
SELL	FIRST IN FIRST OUT	370	05/29/2013	09/26/2013	130 68	158.99		(28 31)
SELL	FIRST IN FIRST OUT	600	05/29/2013	09/27/2013	212.10	257 82		(45.72)
SELL	FIRST IN FIRST OUT	410	05/29/2013	09/30/2013	142.11	176.18		(34.07)
SELL	FIRST IN FIRST OUT	620	05/29/2013	10/01/2013	212.66	266.41		(53.75)
SELL	FIRST IN FIRST OUT	420	05/29/2013	10/02/2013	147.13	180.48		(33.35)
SELL	FIRST IN FIRST OUT	160	05/29/2013	10/04/2013	55.74	68.75		(13.01)
SELL	FIRST IN FIRST OUT	50	05/30/2013	10/04/2013	17.42	20.59		(3.17)
SALE DATE TOTAL		**210**	**VARIOUS**	**10/04/2013**	**73.16**	**89.34**		**(16.18)**

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Description (Box 8): LIPPO MALLS INDONESI A RETAIL TR UNITS IS IN#SG1W27938677 — CUSIP: Y5309Y113 *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
SELL	FIRST IN FIRST OUT	210	05/30/2013	10/07/2013	72.03	86.48		(14.45)
SELL	FIRST IN FIRST OUT	160	05/30/2013	10/08/2013	55.01	65.89		(10.88)
SELL	FIRST IN FIRST OUT	280	05/30/2013	11/06/2013	99.76	115.30		(15.54)
SELL	FIRST IN FIRST OUT	400	05/30/2013	11/07/2013	142.96	164.72		(21.76)
SELL	FIRST IN FIRST OUT	100	05/30/2013	11/08/2013	35 59	41.18		(5.59)
SELL	FIRST IN FIRST OUT	320	05/31/2013	11/08/2013	113.89	132.42		(18.53)
SALE DATE TOTAL		**420**	**VARIOUS**	**11/08/2013**	**149.48**	**173.60**		**(24.12)**
SELL	FIRST IN FIRST OUT	1,280	05/31/2013	11/11/2013	455.30	529 69		(74.39)
SELL	FIRST IN FIRST OUT	620	05/31/2013	11/12/2013	214.95	256.57		(41.62)
SELL	FIRST IN FIRST OUT	850	05/31/2013	11/27/2013	274.89	351.75		(76.86)
SELL	FIRST IN FIRST OUT	300	05/31/2013	11/29/2013	95.73	124.15		(28.42)
SELL	FIRST IN FIRST OUT	390	05/31/2013	11/29/2013	125.15	161 39		(36.24)
SALE DATE TOTAL		**690**	**VARIOUS**	**11/29/2013**	**220.88**	**285.54**		**(64.66)**
SELL	FIRST IN FIRST OUT	240	05/31/2013	12/02/2013	76.18	99.31		(23.13)
SELL	FIRST IN FIRST OUT	840	06/03/2013	12/02/2013	266.61	348 44		(81.83)
SALE DATE TOTAL		**1,080**	**VARIOUS**	**12/02/2013**	**342.79**	**447.75**		**(104.96)**

Recipient's Name and Address:

FREDRICK D AND KAREN G SCHAUFELD
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2013 TAX and
YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8): LIPPO MALLS INDONESI A RETAIL TR UNITS IS IN#SG1W27938677						**CUSIP:** Y5309Y113 *(continued)*		
SELL	FIRST IN FIRST OUT	80	06/03/2013	12/03/2013	25.50	32.90		(7.40)
SELL	FIRST IN FIRST OUT	730	06/04/2013	12/03/2013	232.73	306.64		(73.91)
SALE DATE TOTAL		**810**	**VARIOUS**	**12/03/2013**	**258.23**	**339.54**		**(81.31)**
SELL	FIRST IN FIRST OUT	810	06/04/2013	12/04/2013	257.26	340.25		(82.99)
SELL	FIRST IN FIRST OUT	470	06/04/2013	12/05/2013	146.55	197 43		(50.88)
SELL	FIRST IN FIRST OUT	290	06/04/2013	12/06/2013	89 81	121.82		(32.01)
SECURITY TOTAL					**3,697.74**	**4,605.55**		**(907.81)**
Description (Box 8): PT INDO TAMBANGRAYA MEGAH SHS ISIN#ID100 0108509						**CUSIP:** Y71244100		
SELL	FIRST IN FIRST OUT	1,000	05/21/2013	10/01/2013	2,144.10	3,453.10		(1,309.00)
Description (Box 8): LIPPO KARAWACI TBK S HS ISIN#ID1000108905						**CUSIP:** Y7129W186		
SELL	FIRST IN FIRST OUT	5,970	05/22/2013	11/06/2013	524.76	977.89		(453.13)
SELL	FIRST IN FIRST OUT	4,800	05/22/2013	11/07/2013	434 88	786.24		(351.36)
SELL	FIRST IN FIRST OUT	10,230	05/22/2013	11/08/2013	898.19	1,675 67		(777.48)
SELL	FIRST IN FIRST OUT	8,670	05/22/2013	11/27/2013	604.30	1,420.15		(815.85)
SELL	FIRST IN FIRST OUT	7,400	05/22/2013	11/29/2013	526 14	1,212.12		(685.98)
SELL	FIRST IN FIRST OUT	7,450	05/22/2013	11/29/2013	525.97	1,220.31		(694.34)

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FREDRICK D AND KAREN G SCHAUFELD
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2013 TAX and
YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) O=Option Premium	Realized Gain or (Loss)
Description (Box 8): LIPPO KARAWACI TBK S HS ISIN#ID1000108905					CUSIP: Y7129W186 *(continued)*			
SALE DATE TOTAL		**14,850**	**VARIOUS**	**11/29/2013**	**1,052.11**	**2,432.43**		**(1,380.32)**
SELL	FIRST IN FIRST OUT	1,980	05/22/2013	12/02/2013	150.88	324 32		(173.44)
SECURITY TOTAL					**3,665.12**	**7,616.70**		**(3,951.58)**
Description (Box 8): TELEVISION BROADCAST LTD ORD SHS AFTER B OARD LT CHANGE BOARD					CUSIP: Y85830126			
SELL	FIRST IN FIRST OUT	110	05/21/2013	07/18/2013	729.30	858.17		(128.87)
SELL	FIRST IN FIRST OUT	190	05/21/2013	07/19/2013	1,256.38	1,482.28		(225.90)
SELL	FIRST IN FIRST OUT	20	05/21/2013	08/01/2013	136.83	156.03		(19.20)
SELL	FIRST IN FIRST OUT	180	05/21/2013	08/02/2013	1,240.15	1,404.27		(164.12)
SELL	FIRST IN FIRST OUT	60	05/21/2013	09/26/2013	373.90	468.09		(94.19)
SELL	FIRST IN FIRST OUT	50	05/21/2013	09/27/2013	313.39	390.08		(76 69)
SELL	FIRST IN FIRST OUT	90	05/21/2013	10/04/2013	570.47	702.13		(131.66)
SELL	FIRST IN FIRST OUT	70	05/21/2013	10/30/2013	414.38	546.11		(131.73)
SELL	FIRST IN FIRST OUT	50	05/21/2013	10/31/2013	292.27	390.07		(97.80)
SELL	FIRST IN FIRST OUT	50	05/21/2013	11/01/2013	293.99	390.08		(96.09)
SELL	FIRST IN FIRST OUT	30	05/21/2013	11/04/2013	177.29	234.04		(56.75)
SECURITY TOTAL					**5,798.35**	**7,021.35**		**(1,223.00)**

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2013 TAX and
YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B — Proceeds From Broker and Barter Exchange Transactions — OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium	Realized Gain or (Loss)
Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.								
Covered (Box 6b) *(continued)*								
Description (Box 8): CHINA MOBILE LTD SPO N ADR S A ISIN#US169 41M099					**CUSIP:** 16941M109			
SELL	FIRST IN FIRST OUT	40	05/20/2013	10/29/2013	2,082.63	2,219.20		(136.57)
Description (Box 8): ECOPETROL S A SPONSO RED ADS ISIN#US27915 81091					**CUSIP:** 279158109			
SELL	FIRST IN FIRST OUT	120	05/20/2013	11/12/2013	5,027.13	5,232.00		(204.87)
SELL	FIRST IN FIRST OUT	50	05/20/2013	12/03/2013	2,078.84	2,180.00		(101.16)
SECURITY TOTAL					**7,105.97**	**7,412.00**		**(306.03)**
Description (Box 8): GRUPO FINANCIERO SAN TANDER MEXICO SAB DE CV SPONS ADR SHS SE					**CUSIP:** 40053C105			
SELL	FIRST IN FIRST OUT	340	05/20/2013	08/01/2013	4,955.99	5,644.00		(688.01)
Description (Box 8): KT CORP SPON ADR					**CUSIP:** 48268K101			
SELL	FIRST IN FIRST OUT	70	09/06/2013	12/03/2013	1,002.49	1,175.29		(172.80)
Description (Box 8): PETROCHINA CO LTD SP ONS ADR ISIN#US71646 E1001					**CUSIP:** 71646E100			
SELL	FIRST IN FIRST OUT	30	05/20/2013	08/01/2013	3,544.29	3,849.20		(304.91)
SELL	FIRST IN FIRST OUT	10	05/20/2013	08/19/2013	1,144.49	1,283.06		(138.57)
SECURITY TOTAL					**4,688.78**	**5,132.26**		**(443.48)**
Description (Box 8): PHILIPPINE LONG DIST ANCE TEL CO ADR ISIN #US7182526043 RPSTG					**CUSIP:** 718252604			
SELL	FIRST IN FIRST OUT	10	05/20/2013	12/03/2013	622.87	768.34		(145.47)
SELL	FIRST IN FIRST OUT	20	05/20/2013	12/04/2013	1,231.87	1,536 67		(304.80)

Seq.# (2Y5 122197)

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2013 TAX and YEAR-END STATEMENT
As of 03/06/2014

2013 Form 1099-B Proceeds From Broker and Barter Exchange Transactions OMB No. 1545-0715 *(Continued)*

(For individuals report details on Form 1040 Schedule D Line 1b, 2, 8b or 9)

Short-Term Transactions for Which Basis Is Reported to the IRS - Report on Form 8949, Part I, with Box A checked.

Covered (Box 6b) *(continued)*

Disposition Transaction	Disposition Method	Quantity (Box 1e)	Date of Acquisition (Box 1b)	Date of Sale or Exchange (Box 1a)	Gross Proceeds Less Commissions and Option Premiums (Box 2a)	Cost or Other Basis (Box 3)	Adjustments W=Wash Sale Loss (Box 5) 0=Option Premium	Realized Gain or (Loss)
Description (Box 8): PHILIPPINE LONG DIST ANCE TEL CO ADR ISIN #US7182526043 RPSTG					**CUSIP:** 718252604 *(continued)*			
SECURITY TOTAL					1,854.74	2,305.01		(450.27)
Description (Box 8): TURKCELL ILETISIM HI ZMETLERI A S SPONS A DR ISIN#US9001112047					**CUSIP:** 900111204			
SELL	FIRST IN FIRST OUT	310	05/20/2013	07/19/2013	4,558.35	4,956.90		(398.55)
SELL	FIRST IN FIRST OUT	70	05/20/2013	08/20/2013	1,042.56	1,119.30		(76.74)
SELL	FIRST IN FIRST OUT	20	05/20/2013	08/21/2013	284.55	319.80		(35.25)
SELL	FIRST IN FIRST OUT	70	05/20/2013	08/22/2013	980.06	1,119.30		(139.24)
SELL	FIRST IN FIRST OUT	100	05/20/2013	09/06/2013	1,285.66	1,599 00		(313.34)
SELL	FIRST IN FIRST OUT	30	05/20/2013	09/09/2013	402 46	479.70		(77 24)
SELL	FIRST IN FIRST OUT	170	05/20/2013	12/11/2013	2,513 89	2,718.30		(204.41)
SECURITY TOTAL					11,067.53	12,312.30		(1,244.77)
Description (Box 8): URALKALI JSC SPONSOR ED GDR REG S ISIN#US 91688E2063					**CUSIP:** 91688E206			
SELL	FIRST IN FIRST OUT	30	05/21/2013	08/01/2013	660.30	1,077.00		(416.70)
SELL	FIRST IN FIRST OUT	40	05/21/2013	08/02/2013	956.27	1,436.00		(479.73)
SELL	FIRST IN FIRST OUT	200	05/21/2013	08/14/2013	4,895.46	7,180.00		(2,284.54)
SECURITY TOTAL					6,512.03	9,693.00		(3,180.97)
Short-Term Covered Total					118,842.87	144,181.46		(25,338.59)
Covered Total					118,842.87	144,181.46		(25,338.59)

Seq # (2Y5 122197)

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions FREDRICK D. & KAREN G. SCHAUFELD FAMILY FOUNDATION	Employer identification number (EIN) or 46-1558417
	Number, street, and room or suite no If a P O box, see instructions C/O GREENBERG TRAURIG, LLP	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions MCLEAN, VA 22102	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . [0 4]

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ▶ GREENBERG TRAURIG, LLP, 1750 TYSONS BLVD MCLEAN, VA 22102
  Telephone No ▶ 703 749-1300 Fax No ▶
- If the organization does not have an office or place of business in the United States, check this box . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] . If it is for part of the group, check this box . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15 , 20 14

5 For calendar year 2013 , or other tax year beginning ______ , 20 ___ , and ending ______ , 20 ___

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension ADDITIONAL TIME IS REQUIRED TO GATHER THE INFORMATION NECESSARY TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$ 83,500.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$ 83,500.
c	**Balance Due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$ 0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ Title ▶ MELISSA DAMBACH Date ▶ 08/12/2014