Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

**2013**

**Open to Public Inspection**

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation: TSUHA FOUNDATION

A Employer identification number: 46-1547854

Number and street (or P O box number if mail is not delivered to street address): 1003 BISHOP STREET — Room/suite: 1910

B Telephone number (see instructions): (808) 369-8300

City or town, state or province, country, and ZIP or foreign postal code: HONOLULU, HI 96813

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [X] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]
2 Foreign organizations meeting the 85% test check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 6,082,686.

J Accounting method [X] Cash [ ] Accrual [ ] Other (specify) ________ (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B) check here ▶ [ ]

**Part I** **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc, received (attach schedule) | 469,255. | ATCH 1 | | |
| | 2 Check ▶ [ ] if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 91,452. | 90,898. | 90,898. | ATCH 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 663,160. | | | |
| | b Gross sales price for all assets on line 6a 2,252,115. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 663,160. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) (attach schedule) | | | | |
| | 11 Other income (attach schedule) | | | | |
| | 12 **Total.** Add lines 1 through 11 | 1,223,867. | 754,058. | 90,898. | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0 | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees (attach schedule) ATCH 3 | 3,686. | 1,843. | 1,843. | 1,843. |
| | b Accounting fees (attach schedule) ATCH 4 | 11,613. | 5,807. | 5,807. | 5,806. |
| | c Other professional fees (attach schedule) * | 22,280. | 11,140. | 11,140. | 11,140. |
| | 17 Interest ATCH 6 | 43. | 43. | 43. | |
| | 18 Taxes (attach schedule) (see instructions) ATCH 7 | 2,031. | 1,755. | 1,755. | |
| | 19 Depreciation (attach schedule) and depletion | 6,823. | 6,823. | 6,823. | |
| | 20 Occupancy | 26,423. | 13,211. | 13,211. | 13,212. |
| | 21 Travel, conferences, and meetings | 18,229. | 9,115. | 9,115. | 9,114. |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) ATCH 8 | 14,294. | 7,037. | 7,037. | 7,034. |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 105,422. | 56,774. | 56,774. | 48,149. |
| | 25 Contributions, gifts, grants paid | 216,000. | | | 216,000. |
| | 26 Total expenses and disbursements Add lines 24 and 25 | 321,422. | 56,774. | 56,774. | 264,149. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | 902,445. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 697,284. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | 34,124. | |

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| **Part II** Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|
| **Assets** | | | |
| 1 Cash - non-interest-bearing | 3,634,671. | 241,857. | 241,857. |
| 2 Savings and temporary cash investments | | | |
| 3 Accounts receivable ▶ 331,299. | | | |
| Less allowance for doubtful accounts ▶ | | 331,299. | 331,299. |
| 4 Pledges receivable ▶ | | | |
| Less allowance for doubtful accounts ▶ | | | |
| 5 Grants receivable | | | |
| 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 Other notes and loans receivable (attach schedule) ▶ | | | |
| Less allowance for doubtful accounts ▶ | | | |
| 8 Inventories for sale or use | | | |
| 9 Prepaid expenses and deferred charges | | | |
| 10 a Investments - U S and state government obligations (attach schedule) | | | |
| b Investments - corporate stock (attach schedule) ATCH 9 | 1,131,670. | 5,146,837. | 5,472,433. |
| c Investments - corporate bonds (attach schedule) ATCH 10 | 131,122. | | |
| 11 Investments - land, buildings, and equipment basis ▶ | | | |
| Less accumulated depreciation (attach schedule) ▶ | | | |
| 12 Investments - mortgage loans | | | |
| 13 Investments - other (attach schedule) | | | |
| 14 Land, buildings, and equipment basis ▶ 43,920. | | | ATCH 11 |
| Less accumulated depreciation (attach schedule) ▶ 6,823. | | 37,097. | 37,097. |
| 15 Other assets (describe ▶ ) | | | |
| 16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 4,897,463. | 5,757,090. | 6,082,686. |
| **Liabilities** | | | |
| 17 Accounts payable and accrued expenses | | | |
| 18 Grants payable | | | |
| 19 Deferred revenue | | | |
| 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 Mortgages and other notes payable (attach schedule) | | | |
| 22 Other liabilities (describe ▶ ) | | | |
| 23 **Total liabilities** (add lines 17 through 22) | 0 | 0 | |
| **Net Assets or Fund Balances** | | | |
| **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 Unrestricted | | | |
| 25 Temporarily restricted | | | |
| 26 Permanently restricted | | | |
| **Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.** | | | |
| 27 Capital stock, trust principal, or current funds | | | |
| 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| 29 Retained earnings, accumulated income, endowment, or other funds | 4,897,463. | 5,757,090. | |
| 30 **Total net assets or fund balances** (see instructions) | 4,897,463. | 5,757,090. | |
| 31 **Total liabilities and net assets/fund balances** (see instructions) | 4,897,463. | 5,757,090. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 4,897,463. |
| 2 | Enter amount from Part I, line 27a | 2 | 902,445. |
| 3 | Other increases not included in line 2 (itemize) ▶ ATCH 12 | 3 | 2. |
| 4 | Add lines 1, 2, and 3 | 4 | 5,799,910. |
| 5 | Decreases not included in line 2 (itemize) ▶ ATCH 13 | 5 | 42,820. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 5,757,090. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV SCHEDULE | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 663,160. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)<br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | 3 | 0 |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2012 | | 5,412,911. | |
| 2011 | | | |
| 2010 | | | |
| 2009 | | | |
| 2008 | | | |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) | 2 | 0 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 11,678,478. |
| 5 | Multiply line 4 by line 3 | 5 | |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 6,973. |
| 7 | Add lines 5 and 6 | 7 | 6,973. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 264,149. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ [ ] and enter "N/A" on line 1 . . . Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | 1 | 6,973. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 6,973. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 6,973. |
| 6 | Credits/Payments | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 750. | | |
| b | Exempt foreign organizations - tax withheld at source — 6b: | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: 7,500. | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 8,250. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here [ ] if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 1,277. |
| 11 | Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 1,277. **Refunded** ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0 (2) On foundation managers ▶ $ 0 | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0 | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MI, | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* ATCH 14 | 10 | X | |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ WWW.TSUHAFOUNDATION.ORG

14 The books are in care of ▶ WALLACE K. TSUHA Telephone no ▶ 808-369-8300

Located at ▶ 1003 BISHOP STREET, SUITE 1910 HONOLULU, HI ZIP+4 ▶ 96813

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | N/A | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | N/A | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No<br>If "Yes," list the years ▶ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | 2b | N/A | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013 )* | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

| | | | | |
|---|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to | | | | |
| **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | | | |
| **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | | | |
| **(3)** Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | | | |
| **(4)** Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) | ☐ Yes ☒ No | | | |
| **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | | **5b** | N/A | |
| Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A | ☐ Yes ☐ No | | | |
| *If "Yes," attach the statement required by Regulations section 53 4945-5(d)* | | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | **6b** | | X |
| *If "Yes" to 6b, file Form 8870* | | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | **7b** | N/A | |

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATCH 15 | | 0 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced etc | Expenses |
|---|---|
| 1 JOHN CORCORAN FOUNDATION | 75,000. |
| 2 PUNAHOU SCHOOL | 45,000. |
| 3 US-JAPAN COUNCIL | 25,000. |
| 4 COALITION OF ASIAN AMERICAN BUSINESS ORGANIZATIONS | 25,000. |

**Part IX-B Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions | |
| 3 NONE | |
| **Total.** Add lines 1 through 3 ▶ | |

**Part X Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 8,725,421. |
| b | Average of monthly cash balances | 1b | 2,799,603. |
| c | Fair market value of all other assets (see instructions) | 1c | 331,299. |
| d | **Total** (add lines 1a, b, and c) | 1d | 11,856,323. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 11,856,323. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 177,845. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 11,678,478. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 583,924. |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 583,924. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 6,973. | | |
| b | Income tax for 2013 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 6,973. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 576,951. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 576,951. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 576,951. |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 264,149. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 264,149. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 6,973. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 257,176. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 576,951. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 10,077. | |
| b Total for prior years 20 11 ,20 10 ,20 09 | | | | |
| 3 Excess distributions carryover, if any, to 2013 | | | | |
| a From 2008 | | | | |
| b From 2009 | | | | |
| c From 2010 | | | | |
| d From 2011 | | | | |
| e From 2012 | | | | |
| f **Total** of lines 3a through e | 0 | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 264,149. | | | | |
| a Applied to 2012, but not more than line 2a | | | 10,077. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d Applied to 2013 distributable amount | | | | 254,072. |
| e Remaining amount distributed out of corpus | | | | |
| 5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a) )* | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | | | |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions | | | | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 322,879. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | 0 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009 | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 | | | | |
| d Excess from 2012 | | | | |
| e Excess from 2013 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . . . . . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

WALLACE TSUHA

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

**Part XV Supplementary Information (continued)**

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| **a** *Paid during the year* | | | | |
| ATCH 16 | | | | |
| **Total** . . . . . . . . ▶ **3a** | | | | 216,000. |
| **b** *Approved for future payment* | | | | |
| **Total** . . . . . . . . ▶ **3b** | | | | |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | (d) Amount | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 91,452. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 663,160. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 754,612. | |

13 **Total** Add line 12, columns (b), (d), and (e) . . . . . . . . . . 13 754,612.

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

**d** If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | N/A | | N/A |

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Wallace K Tsuha | 8/12/14 | President.

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| LYNDA S FLOOD | Lynda S Flood | 08/07/2014 | | P00947696 |
| Firm's name ▶ PRICEWATERHOUSECOOPERS LLP | | | Firm's EIN ▶ 13-4008324 | |
| Firm's address ▶ 500 WOODWARD AVENUE DETROIT, MI 48226 | | | Phone no 313-394-6000 | |

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www irs gov/form990

OMB No 1545-0047

**2013**

**Name of the organization**
TSUHA FOUNDATION

**Employer identification number**
46-1547854

**Organization type** (check one)

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ $ ______________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization TSUHA FOUNDATION

Employer identification number 46-1547854

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | WALLACE TSUHA LIVING TRUST<br>1003 BISHOP STREET, #1910<br>HONOLULU, HI 96813 | $ 140,706. | Person [X]<br>Payroll [ ]<br>Noncash [X]<br>(Complete Part II for noncash contributions ) |
| 2 | WALLACE TSUHA FAMILY TRUST<br>1003 BISHOP STREET, 1910<br>HONOLULU, HI 96813 | $ 325,000. | Person [X]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |
| | | $ | Person [ ]<br>Payroll [ ]<br>Noncash [ ]<br>(Complete Part II for noncash contributions ) |

Name of organization TSUHA FOUNDATION

Employer identification number 46-1547854

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| 3 | SEE ATTACHED SCHEDULE $140,706 | $ 140,706. | 12/27/2013 |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

Name of organization TSUHA FOUNDATION

Employer identification number 46-1547854

**Part III** ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **$1,000 or less** for the year (Enter this information once See instructions.) ▶ $

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | | | | 1,635. | |
| | | FIDELITY BROKERAGE SERVICES<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 163,288. | | 154,559. | | | | | 8,729. | |
| | | FIDELITY BROKERAGE SERVICES<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 1,291,381. | | 834,405. | | | | | 489,567. | |
| | | GOLDMAN SACHS #41833<br>PROPERTY TYPE: SECURITIES | | | | P | 05/08/2013 | 11/05/2013 |
| 100,000. | | 116,077. | | | | | -16,077. | |
| | | GOLDMAN SACHS #41834<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 232,624. | | 233,969. | | | | | -1,345. | |
| | | GOLDMAN SACHS #41835<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 69,609. | | 63,007. | | | | | 6,602. | |
| | | GOLDMAN SACHS #41836<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 45,644. | | 50,698. | | | | | -5,054. | |
| | | GOLDMAN SACHS #41837<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 10,038. | | 10,853. | | | | | -815. | |
| | | MORGAN STANLEY #24770<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 4,137. | | 3,720. | | | | | 417. | |
| | | MORGAN STANLEY #23650<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 912. | | 747. | | | | | 165. | |
| | | MORGAN STANLEY #23650<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 1,125. | | 1,401. | | | | | -276. | |
| | | MORGAN STANLEY #23650<br>PROPERTY TYPE: SECURITIES | | | | P | VARIOUS | VARIOUS |
| 211,582. | | 89,958. | | | | | 121,624. | |
| | | MORGAN STANLEY #23653 | | | | P | VARIOUS | VARIOUS |

TSUHA FOUNDATION 46-1547854

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 8,650. | | 7,919. | | | | | 731. | |
| | | MORGAN STANLEY #23653 | | | | P | VARIOUS | VARIOUS |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 12,431. | | 11,341. | | | | | 1,090. | |
| | | MORGAN STANLEY #23653 | | | | P | VARIOUS | VARIOUS |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 23,699. | | 15,801. | | | | | 7,898. | |
| | | MORGAN STANLEY #24771 | | | | P | VARIOUS | VARIOUS |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 31,415. | | 27,091. | | | | | 4,324. | |
| | | GOLDMAN SACHS #41842 | | | | P | VARIOUS | VARIOUS |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 17,578. | | | | | | | 17,578. | |
| | | GOLDMAN SACHS #41842 | | | | P | VARIOUS | VARIOUS |
| | | PROPERTY TYPE: SECURITIES | | | | | | |
| 26,367. | | | | | | | 26,367. | |
| TOTAL GAIN(LOSS) ........................................... | | | | | | | 663,160. | |

FORM 990PF, PART I - CONTRIBUTIONS, GIFTS AND GRANTS RECEIVED

ATTACHMENT 1

| NAME AND ADDRESS | DATE | DIRECT PUBLIC SUPPORT |
|---|---|---|
| WALLACE TSUHA LIVING TRUST<br>1003 BISHOP STREET, #1910<br>HONOLULU, HI 96813 | 12/31/2013 | 3,382. |
| WALLACE TSUHA LIVING TRUST<br>1003 BISHOP STREET, #1910<br>HONOLULU, HI 96813 | 02/08/2013 | 100. |
| WALLACE TSUHA LIVING TRUST<br>1003 BISHOP STREET, #1910<br>HONOLULU, HI 96813 | 12/27/2013 | 140,706. |
| WALLACE TSUHA LIVING TRUST<br>1003 BISHOP STREET, #1910<br>HONOLULU, HI 96813 | 3/1/2013 | 67. |
| WALLACE TSUHA FAMILY TRUST<br>1003 BISHOP STREET, 1910<br>HONOLULU, HI 96813 | 12/31/2013 | 325,000. |
| TOTAL CONTRIBUTION AMOUNTS | | 469,255. |

ATTACHMENT 2

## FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME |
|---|---|---|---|
| FIDELITY BROKERAGE SERVICES - DIVIDENDS | 38. | 38. | 38. |
| FIDELITY BROKERAGE SERVICES - INTEREST | 4,702. | 4,702. | 4,702. |
| GOLDMAN SACHS - DIVIDENDS | 55,037. | 54,681. | 54,681. |
| GOLDMAN SACHS - INTEREST | 33,420. | 33,420. | 33,420. |
| LESS: ACCRUED INTEREST PAID | -9,124. | -9,124. | -9,124. |
| MORGAN STANLEY - DIVIDENDS | 7,367. | 7,169. | 7,169. |
| MORGAN STANLEY - INTEREST | 12. | 12. | 12. |
| TOTAL | 91,452. | 90,898. | 90,898. |

FORM 990PF, PART I - LEGAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| LEGAL FEES | 3,686. | 1,843. | 1,843. | 1,843. |
| TOTALS | 3,686. | 1,843. | 1,843. | 1,843. |

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| PRICEWATERHOUSECOOPERS | 5,500. | 2,750. | 2,750. | 2,750. |
| ACCOUNTING SERVICES | 6,113. | 3,057. | 3,057. | 3,056. |
| TOTALS | 11,613. | 5,807. | 5,807. | 5,806. |

ATTACHMENT 5

## FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| GOLDMAN SACHS | 12,368. | 6,184. | 6,184. | 6,184. |
| MORGAN STANLEY | 9,912. | 4,956. | 4,956. | 4,956. |
| TOTALS | 22,280. | 11,140. | 11,140. | 11,140. |

## FORM 990PF, PART I - INTEREST EXPENSE

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME |
|---|---|---|---|
| GOLDMAN SACHS | 43. | 43. | 43. |
| TOTALS | 43. | 43. | 43. |

ATTACHMENT 7

FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME |
|---|---|---|---|
| FIDELITY - FOREIGN TAX W/HELD | 71. | 71. | 71. |
| GOLDMAN SACHS - FOR TAX W/H | 1,417. | 1,417. | 1,417. |
| MORGAN STANLEY - FOR TAX W/H | 267. | 267. | 267. |
| FORM 990PF - 2012 DUE | 276. | | |
| TOTALS | 2,031. | 1,755. | 1,755. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| FIDELITY WIRE TRANSFER FEES | 45. | 23. | 23. | 22. |
| BUSINESS INSURANCE | 1,512. | 756. | 756. | 756. |
| MEALS & ENTERTAINMENT | 446. | 112. | 112. | 111. |
| OFFICE EXPENSES | 5,614. | 2,807. | 2,807. | 2,807. |
| POSTAGE | 15. | 7. | 7. | 8. |
| PRINTING & REPORDUCTION | 999. | 500. | 500. | 499. |
| UTILITIES - TELEPHONE | 4,330. | 2,165. | 2,165. | 2,165. |
| UTILITIES - INTERNET | 1,270. | 635. | 635. | 635. |
| BANK FEES | 13. | 7. | 7. | 6. |
| ADR FEES | 50. | 25. | 25. | 25. |
| TOTALS | 14,294. | 7,037. | 7,037. | 7,034. |

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE STOCK

| DESCRIPTION | BEGINNING BOOK VALUE | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|---|
| 590.391SH ISHARES BASIC MATER | 32,352. | | |
| 1332.87SH ISAHRES GLOBAL MATER | 69,820. | | |
| 5244.453SH AMERICAN MUTUAL FUN | 99,917. | | |
| 3342.276SH VANGUARD ETF DIV | 131,236. | | |
| 1800SH CISCO SYSTEMS | 12,950. | | |
| 2717.052SH T ROWE PRICE MIDCAP | 46,045. | | |
| 4335.305SH TURNER MIDCAP GROW | 79,943. | | |
| 1858.483SH ROYCE OPPORTUNITY | 14,130. | | |
| 1670.847SH VANGUARD SMALL GROW | 18,547. | | |
| 2455.317SH AMER INT'L GROWTH | 56,726. | | |
| 753.389SH AMER NEW PERSPECTIVE | 13,342. | | |
| 8467.546SH CAUSEWAY IN'L VALUE | 70,546. | | |
| 3421.09SH ISHARES EAFE VALUE | 147,424. | | |
| 762.388SH TROWE PRICE EMERGING | 11,126. | | |
| 2079.165SH VANGUARD EMERGING | 21,147. | | |
| MORGAN STANLEY - #023653 | 110,434. | 110,069. | 180,440. |
| MORGAN STANLEY - #023650 | 195,985. | 110,071. | 202,880. |
| MORGAN STANLEY - #024770 | | 94,942. | 95,218. |
| MORGAN STANLEY - #024771 | | 98,201. | 122,118. |
| MORGAN STANLEY - #027493 | | 99,725. | 141,105. |
| GOLDMAN SACHS - #833-1 | | 2,407,659. | 2,524,035. |
| GOLDMAN SACHS - #834-9 | | 1,748,400. | 1,701,091. |
| GOLDMAN SACHS - #835-6 | | 187,591. | 216,412. |
| GOLDMAN SACHS - #836-4 | | 147,489. | 136,993. |
| GOLDMAN SACHS - #837-2 | | 145,228. | 154,679. |
| GOLDMAN SACHS - #842-2 | | -2,538. | -2,538. |
| TOTALS | 1,131,670. | 5,146,837. | 5,472,433. |

ATTACHMENT 10

FORM 990PF, PART II - CORPORATE BONDS

| DESCRIPTION | BEGINNING BOOK VALUE |
|---|---|
| 1014.694SH AMER CAPITAL WORLD | 18,984. |
| 4235.461SH TEMPLETON GLOBAL BD | 51,644. |
| 4007.786SH FIDELITY NEW MKTS | 60,494. |
| TOTALS | 131,122. |

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ATTACHMENT 11

| | | FIXED ASSET DETAIL | | | | ACCUMULATED DEPRECIATION DETAIL | | | |
|---|---|---|---|---|---|---|---|---|---|
| ASSET DESCRIPTION | METHOD/ CLASS | BEGINNING BALANCE | ADDITIONS | DISPOSALS | ENDING BALANCE | BEGINNING BALANCE | ADDITIONS | DISPOSALS | ENDING BALANCE |
| FURNITURE | M7 | | 34,344 | | 34,344 | | 4,908 | | 4,908. |
| COMPUTER | M5 | | 9,576. | | 9,576 | | 1,915 | | 1,915 |
| TOTALS | | | | | 43,920. | | | | 6,823 |

ATTACHMENT 12

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| ROUNDING | | 2. |
| | TOTAL | 2. |

ATTACHMENT 13

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | AMOUNT |
|---|---|
| FMV > COST OF ASSETS TRANSFERRED TO FDN | 40,981. |
| GOLDMAN SACHS - NON-DIVIDEND DISTRIB | 357. |
| MORGAN STANLEY - NON-DIVIDEND DISTRIB | 197. |
| AMORTIZATION OF BOND PREMIUM | 1,285. |
| TOTAL | 42,820. |

FORM 990PF, PART VII-A, LINE 10 - NEW SUBSTANTIAL CONTRIBUTORS

ATTACHMENT 14

NAME AND ADDRESS

WALLACE TSUHA LIVING TRUST
1003 BISHOP STREET, #1910
HONOLULU, HI 96813

WALLACE TSUHA LIVING TRUST
1003 BISHOP STREET, #1910
HONOLULU, HI 96813

WALLACE TSUHA LIVING TRUST
1003 BISHOP STREET, #1910
HONOLULU, HI 96813

WALLACE TSUHA LIVING TRUST
1003 BISHOP STREET, #1910
HONOLULU, HI 96813

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 15

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| WALLACE TSUHA<br>1003 BISHOP STREET, SUITE 1910<br>HONOLULU, HI 96813 | DIRECTOR, PRESIDENT<br>PART-TIME | 0 | 0 | 0 |
| JENNIFER PELLING<br>923 DAISY AVE.<br>CARLSBAD, CA 92011 | DIRECTOR, SECRETARY<br>PART-TIME | 0 | 0 | 0 |
| CHRISTOPHER TSUHA<br>970 GOLFVIEW COURT<br>ROCHESTER HILLS, MI 48307 | DIRECTOR<br>PART-TIME | 0 | 0 | 0 |
| UI CHUNG KIM TSUHA<br>1003 BISHOP STREET, SUITE 1910<br>HONOLULU, HI 96813 | DIRECTOR<br>PART-TIME | 0 | 0 | 0 |
| | GRAND TOTALS | 0 | 0 | 0 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| PUNAHOU SCHOOL<br>HONOLULU, HAWAII | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 45,000. |
| JENNA DRUCK CENTER<br>SAN DIEGO, CA | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 5,000. |
| UNITED CEREBRAL PALSY<br>WASHINGTON, DC | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 5,000 |
| JOHN CORCORAN FOUNDATION<br>OCEANSIDE, CA | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 75,000 |
| FAITH BAPTIST CHURCH<br>KAILUA, HI | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 6,000 |
| ROCK CHURCH<br>SAN DIEGO, CA | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 10,000 |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16 (CONT'D)

| RECIPIENT NAME AND ADDRESS | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
|---|---|---|---|
| US - JAPAN COUNCIL<br>WASHINGTON, DC | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 25,000 |
| COALITION OF ASIAN AMERICAN BUSINESS ORGANIZATIONS<br>WASHINGTON, DC | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 25,000 |
| FARRINGTON ALUMNI & COMMUNITY FOUNDATION<br>HONOLULU, HI | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 5,000 |
| FOCUS HOPE<br>DETROIT, MI | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 5,000 |
| SALVATION ARMY<br>DETROIT, MI | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 1,000 |
| CAMPUS CRUSADE FOR CHRIST<br>ORLANDA, FL | N/A<br>501(C)(3) | GENERAL PURPOSE GRANT | 9,000 |
| | | TOTAL CONTRIBUTIONS PAID | 216,000 |

**SCHEDULE D (Form 1041)**

Department of the Treasury
Internal Revenue Service

# Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10
▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

**2013**

Name of estate or trust: TSUHA FOUNDATION

Employer identification number: 46-1547854

**Note:** *Form 5227 filers need to complete only Parts I and II*

## Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

| See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|
| 1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b | | | | |
| 1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked | 214,723. | 218,561. | | -3,838. |
| 2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked | 451,594. | 450,079. | | 1,515. |
| 3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked | 17,578. | | | 17,578. |

| | | |
|---|---|---|
| 4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 | 4 | |
| 5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 5 | |
| 6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet | 6 | ( ) |
| 7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶ | 7 | 15,255. |

## Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

| See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars | (d) Proceeds (sales price) | (e) Cost (or other basis) | (g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g) | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|
| 8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b | | | | |
| 8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked | 13,556. | 12,742. | | 814. |
| 9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked | 1,526,662. | 940,164. | 32,591. | 619,089. |
| 10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked | 26,367. | | | 26,367. |

| | | |
|---|---|---|
| LONG-TERM CAPITAL GAIN DIVIDENDS ATTACHMENT 2 | | 1,635. |
| 11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 | 11 | |
| 12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts | 12 | |
| 13 Capital gain distributions | 13 | |
| 14 Gain from Form 4797, Part I | 14 | |
| 15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet | 15 | ( ) |
| 16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶ | 16 | 647,905. |

**For Paperwork Reduction Act Notice, see the Instructions for Form 1041.** **Schedule D (Form 1041) 2013**

## Part III Summary of Parts I and II

**Caution:** *Read the instructions* ***before*** *completing this part.*

| | | | (1) Beneficiaries' (see instr ) | (2) Estate's or trust's | (3) Total |
|---|---|---|---|---|---|
| 17 | **Net short-term gain or (loss)** | 17 | | | 15,255. |
| 18 | **Net long-term gain or (loss):** | | | | |
| a | Total for year | 18a | | | 647,905. |
| b | Unrecaptured section 1250 gain (see line 18 of the wrksht ) | 18b | | | |
| c | 28% rate gain | 18c | | | |
| 19 | **Total net gain or (loss).** Combine lines 17 and 18a ▶ | 19 | | | 663,160. |

**Note:** *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

## Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 19, column (3) **or** b $3,000 . . . 20 ( )

**Note:** *If the loss on line 19, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

## Part V Tax Computation Using Maximum Capital Gains Rates

**Form 1041 filers.** Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

**Caution:** *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

**Form 990-T trusts.** Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| 21 | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) | | | 21 | | | |
| 22 | Enter the **smaller** of line 18a or 19 in column (2) but not less than zero | 22 | | | | | |
| 23 | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) | 23 | | | | | |
| 24 | Add lines 22 and 23 | 24 | | | | | |
| 25 | If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶ | 25 | | | | | |
| 26 | Subtract line 25 from line 24 If zero or less, enter -0- | | | 26 | | | |
| 27 | Subtract line 26 from line 21. If zero or less, enter -0- | | | 27 | | | |
| 28 | Enter the **smaller** of the amount on line 21 or $2,450 | | | 28 | | | |
| 29 | Enter the **smaller** of the amount on line 27 or line 28 | | | 29 | | | |
| 30 | Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶ | | | | | 30 | |
| 31 | Enter the smaller of line 21 or line 26 | | | 31 | | | |
| 32 | Subtract line 30 from line 26 | | | 32 | | | |
| 33 | Enter the **smaller** of line 21 or $11,950 | | | 33 | | | |
| 34 | Add lines 27 and 30 | | | 34 | | | |
| 35 | Subtract line 34 from line 33 If zero or less, enter -0- | | | 35 | | | |
| 36 | Enter the **smaller** of line 32 or line 35 | | | 36 | | | |
| 37 | Multiply line 36 by 15% ▶ | | | | | 37 | |
| 38 | Enter the amount from line 31 | | | 38 | | | |
| 39 | Add lines 30 and 36 | | | 39 | | | |
| 40 | Subtract line 39 from line 38 If zero or less, enter -0- | | | 40 | | | |
| 41 | Multiply line 40 by 20% ▶ | | | | | 41 | |
| 42 | Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 42 | | | |
| 43 | Add lines 37, 41, and 42 | | | 43 | | | |
| 44 | Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) | | | 44 | | | |
| 45 | **Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶ | | | | | 45 | |

Form **8949**

Department of the Treasury
Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www irs gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return: TSUHA FOUNDATION

Social security number or taxpayer identification number: 46-1547854

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term For long-term transactions, see page 2

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions)

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

[X] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

[ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

[ ] **(C)** Short-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions | (g) Amount of adjustment | (h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| GOLDMAN SACHS #41833 | 05/08/2013 | 11/05/2013 | 100,000. | 116,077. | | | -16,077. |
| GOLDMAN SACHS #41835 | VARIOUS | VARIOUS | 69,609. | 63,007. | | | 6,602. |
| MORGAN STANLEY #24770 | VARIOUS | VARIOUS | 4,137. | 3,720. | | | 417. |
| MORGAN STANLEY #23650 | VARIOUS | VARIOUS | 912. | 747. | | | 165. |
| MORGAN STANLEY #23653 | VARIOUS | VARIOUS | 8,650. | 7,919. | | | 731. |
| MORGAN STANLEY #24771 | VARIOUS | VARIOUS | 31,415. | 27,091. | | | 4,324. |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | 214,723. | 218,561. | | | -3,838. |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form **8949**

Department of the Treasury
Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www irs gov/form8949

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

| Name(s) shown on return | Social security number or taxpayer identification number |
|---|---|
| TSUHA FOUNDATION | 46-1547854 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a, you are not required to report these transactions on Form 8949 (see instructions)

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☒ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day, yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions | (g) Amount of adjustment | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| FIDELITY BROKERAGE SERVICES | VARIOUS | VARIOUS | 163,288. | 154,559. | | | 8,729. |
| GOLDMAN SACHS #41834 | VARIOUS | VARIOUS | 232,624. | 233,969. | | | -1,345. |
| GOLDMAN SACHS #41836 | VARIOUS | VARIOUS | 45,644. | 50,698. | | | -5,054. |
| GOLDMAN SACHS #41837 | VARIOUS | VARIOUS | 10,038. | 10,853. | | | -815. |
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| **2 Totals** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | 451,594. | 450,079. | | | 1,515. |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form **8949**

Department of the Treasury
Internal Revenue Service

# Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at www irs gov/form8949

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

| Name(s) shown on return | Social security number or taxpayer identification number |
|---|---|
| TSUHA FOUNDATION | 46-1547854 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions)

**You *must* check Box A, B, *or* C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

- [ ] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- [X] **(C)** Short-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo , day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions | (g) Amount of adjustment | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| GOLDMAN SACHS #41842 | VARIOUS | VARIOUS | 17,578. | | | | 17,578. |
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| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶ | | | 17,578. | | | | 17,578. |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

**For Paperwork Reduction Act Notice, see your tax return instructions.**

| Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side) | Social security number or taxpayer identification number |
|---|---|
| TSUHA FOUNDATION | 46-1547854 |

*Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later*

**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

- [X] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [ ] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- [ ] **(F)** Long-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions | (g) Amount of adjustment | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| MORGAN STANLEY #23650 | VARIOUS | VARIOUS | 1,125. | 1,401. | | | -276. |
| MORGAN STANLEY #23653 | VARIOUS | VARIOUS | 12,431. | 11,341. | | | 1,090. |
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| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | 13,556. | 12,742. | | | 814. |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

| Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side ) | Social security number or taxpayer identification number |
|---|---|
| TSUHA FOUNDATION | 46-1547854 |

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**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions).

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☒ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co ) | (b) Date acquired (Mo , day, yr ) | (c) Date sold or disposed (Mo , day, yr ) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions | (g) Amount of adjustment | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| FIDELITY BROKERAGE SERVICES | VARIOUS | VARIOUS | 1,291,381. | 834,405. | B | 32,591. | 489,567. |
| MORGAN STANLEY #23650 | VARIOUS | VARIOUS | 211,582. | 89,958. | | | 121,624. |
| MORGAN STANLEY #23653 | VARIOUS | VARIOUS | 23,699. | 15,801. | | | 7,898. |
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| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | 1,526,662. | 940,164. | | 32,591. | 619,089. |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment



JSA
3X2616 2 000

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)
TSUHA FOUNDATION

Social security number or taxpayer identification number
46-1547854

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**Part II** **Long-Term.** Transactions involving capital assets you held more than one year are long term For short-term transactions, see page 1

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions)

**You *must* check Box D, E, *or* F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| 1 (a) Description of property (Example 100 sh XYZ Co) | (b) Date acquired (Mo, day, yr) | (c) Date sold or disposed (Mo, day yr) | (d) Proceeds (sales price) (see instructions) | (e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions | Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions | (g) Amount of adjustment | (h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g) |
|---|---|---|---|---|---|---|---|
| GOLDMAN SACHS #41842 | VARIOUS | VARIOUS | 26,367. | | | | 26,367. |
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| **2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶ | | | 26,367. | | | | 26,367. |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

TSUHA FOUNDATION 46-1547854

## FEDERAL CAPITAL GAIN DIVIDENDS

ATTACHMENT 2

| | | |
|---|---|---|
| 15% RATE CAPITAL GAIN DIVIDENDS | | |
| FIDELITY BROKERAGE SERVICES | 489. | |
| GOLDMAN SACHS | 1,146. | |
| TOTAL 15% RATE CAPITAL GAIN DIVIDENDS | | 1,635. |
| TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS | | 1,635. |

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

# Depreciation and Amortization
## (Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172
2013
Attachment Sequence No 179

Name(s) shown on return: TSUHA FOUNDATION

Identifying number: 46-1547854

Business or activity to which this form relates: GENERAL DEPRECIATION

**Part I Election To Expense Certain Property Under Section 179**
**Note:** *If you have any listed property, complete Part V before you complete Part I*

| | | | |
|---|---|---|---|
| 1 | Maximum amount (see instructions) | 1 | |
| 2 | Total cost of section 179 property placed in service (see instructions) | 2 | |
| 3 | Threshold cost of section 179 property before reduction in limitation (see instructions) | 3 | |
| 4 | Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0- | 4 | |
| 5 | Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions | 5 | |

| 6 | (a) Description of property | (b) Cost (business use only) | (c) Elected cost |
|---|---|---|---|
| | | | |
| | | | |

| | | | |
|---|---|---|---|
| 7 | Listed property Enter the amount from line 29 | 7 | |
| 8 | Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7 | 8 | |
| 9 | Tentative deduction Enter the **smaller** of line 5 or line 8 | 9 | |
| 10 | Carryover of disallowed deduction from line 13 of your 2012 Form 4562 | 10 | |
| 11 | Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions) | 11 | |
| 12 | Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11 | 12 | |
| 13 | Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12 ▶ | 13 | |

**Note:** *Do not use Part II or Part III below for listed property Instead, use Part V*

**Part II Special Depreciation Allowance and Other Depreciation** (**Do not** include listed property ) (See instructions )

| | | | |
|---|---|---|---|
| 14 | Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions) | 14 | |
| 15 | Property subject to section 168(f)(1) election | 15 | |
| 16 | Other depreciation (including ACRS) | 16 | |

**Part III MACRS Depreciation** (**Do not** include listed property ) (See instructions )

**Section A**

| | | | |
|---|---|---|---|
| 17 | MACRS deductions for assets placed in service in tax years beginning before 2013 | 17 | |
| 18 | If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐ | | |

**Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System**

| (a) Classification of property | (b) Month and year placed in service | (c) Basis for depreciation (business/investment use only - see instructions) | (d) Recovery period | (e) Convention | (f) Method | (g) Depreciation deduction |
|---|---|---|---|---|---|---|
| 19a 3-year property | SEE | | | | | |
| b 5-year property | DETAIL | 9,576. | 5.000 | HY | 200DB | 1,915. |
| c 7-year property | | 34,344. | 7.000 | HY | 200DB | 4,908. |
| d 10-year property | | | | | | |
| e 15-year property | | | | | | |
| f 20-year property | | | | | | |
| g 25-year property | | | 25 yrs | | S/L | |
| h Residential rental property | | | 27 5 yrs | MM | S/L | |
| | | | 27 5 yrs | MM | S/L | |
| i Nonresidential real property | | | 39 yrs | MM | S/L | |
| | | | | MM | S/L | |

**Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System**

| | | | | | | |
|---|---|---|---|---|---|---|
| 20a Class life | | | | | S/L | |
| b 12-year | | | 12 yrs | | S/L | |
| c 40-year | | | 40 yrs | MM | S/L | |

**Part IV Summary** (See instructions )

| | | | |
|---|---|---|---|
| 21 | Listed property Enter amount from line 28 | 21 | |
| 22 | **Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instructions | 22 | 6,823. |
| 23 | For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs | 23 | |

**Part V** **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)

**Note:** *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete* ***only*** *24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.*

**Section A - Depreciation and Other Information (Caution:** *See the instructions for limits for passenger automobiles.***)**

**24a** Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No **24b** If "Yes," is the evidence written? ☐ Yes ☐ No

| (a) Type of property (list vehicles first) | (b) Date placed in service | (c) Business/ investment use percentage | (d) Cost or other basis | (e) Basis for depreciation (business/investment use only) | (f) Recovery period | (g) Method/ Convention | (h) Depreciation deduction | (i) Elected section 179 cost |
|---|---|---|---|---|---|---|---|---|
| **25** Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) | | | | | | 25 | | |
| **26** Property used more than 50% in a qualified business use | | | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| | | % | | | | | | |
| **27** Property used 50% or less in a qualified business use | | | | | | | | |
| | | % | | | | S/L - | | |
| | | % | | | | S/L - | | |
| | | % | | | | S/L - | | |
| **28** Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1 | | | | | | 28 | | |
| **29** Add amounts in column (i), line 26. Enter here and on line 7, page 1 | | | | | | | 29 | |

**Section B - Information on Use of Vehicles**

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

| | (a) Vehicle 1 | | (b) Vehicle 2 | | (c) Vehicle 3 | | (d) Vehicle 4 | | (e) Vehicle 5 | | (f) Vehicle 6 | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **30** Total business/investment miles driven during the year (**do not** include commuting miles) | | | | | | | | | | | | |
| **31** Total commuting miles driven during the year | | | | | | | | | | | | |
| **32** Total other personal (noncommuting) miles driven | | | | | | | | | | | | |
| **33** Total miles driven during the year. Add lines 30 through 32 | | | | | | | | | | | | |
| | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No |
| **34** Was the vehicle available for personal use during off-duty hours? | | | | | | | | | | | | |
| **35** Was the vehicle used primarily by a more than 5% owner or related person? | | | | | | | | | | | | |
| **36** Is another vehicle available for personal use? | | | | | | | | | | | | |

**Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees**

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons (see instructions).

| | Yes | No |
|---|---|---|
| **37** Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees? | | |
| **38** Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners | | |
| **39** Do you treat all use of vehicles by employees as personal use? | | |
| **40** Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? | | |
| **41** Do you meet the requirements concerning qualified automobile demonstration use? (See instructions.) | | |

**Note:** *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

**Part VI** **Amortization**

| (a) Description of costs | (b) Date amortization begins | (c) Amortizable amount | (d) Code section | (e) Amortization period or percentage | (f) Amortization for this year |
|---|---|---|---|---|---|
| **42** Amortization of costs that begins during your 2013 tax year (see instructions): | | | | | |
| | | | | | |
| | | | | | |
| **43** Amortization of costs that began before your 2013 tax year | | | | 43 | |
| **44** **Total.** Add amounts in column (f). See the instructions for where to report | | | | 44 | |

**Description of Property**

GENERAL DEPRECIATION

## DEPRECIATION

| Asset description | Date placed in service | Unadjusted Cost or basis | Bus % | 179 exp reduction in basis | Basis Reduction | Basis for depreciation | Beginning Accumulated depreciation | Ending Accumulated depreciation | Method | Conv | Life | ACRS class | MACRS class | Current-year 179 expense | Current-year depreciation |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FURNITURE | 07/01/2013 | 34,344. | 100.000 | | | 34,344 | | 4,908 | 200DB | HY | | | 7 | | 4,908 |
| COMPUTER | 07/01/2013 | 9,576 | 100 000 | | | 9,576. | | 1,915. | 200DB | HY | | | 5 | | 1,915 |
| Less Retired Assets | | | | | | | | | | | | | | | |
| Subtotals | | 43,920 | | | | 43,920 | | 6,823 | | | | | | | 6,823 |
| **Listed Property** | | | | | | | | | | | | | | | |
| Less Retired Assets | | | | | | | | | | | | | | | |
| Subtotals | | | | | | | | | | | | | | | |
| TOTALS | | 43,920 | | | | 43,920 | | 6,823 | | | | | | | 6,823 |

## AMORTIZATION

| Asset description | Date placed in service | Cost or basis | Accumulated amortization | Ending Accumulated amortization | Code | Life | Current-year amortization |
|---|---|---|---|---|---|---|---|
| TOTALS | | | | | | | |

*Assets Retired

JSA
3X9024 1 000

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . . . . . . . . . . . . . . ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

***Do not complete Part II unless*** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (*e-file*).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . . ▶ [ ]

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

**Enter filer's identifying number, see instructions**

| | | |
|---|---|---|
| **Type or print**<br>File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions<br>TSUHA FOUNDATION | Employer identification number (EIN) or<br>46-1547854 |
| | Number, street, and room or suite no If a P O box, see instructions<br>1003 BISHOP STREET, SUITE 1910 | Social security number (SSN) |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions<br>HONOLULU, HI 96813 | |

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . . . . . . . [0][4]

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ WALLACE K. TSUHA

  Telephone No ▶ 808 369-8300 FAX No ▶
- If the organization does not have an office or place of business in the United States, check this box . . . . . . . . . . . . . . . ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . . . . . ▶ [ ] If it is for part of the group, check this box . . . . . . . ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above The extension is for the organization's return for
  ▶ [X] calendar year 20 13 or
  ▶ [ ] tax year beginning ____________, 20__, and ending ____________, 20__

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

| | | | |
|---|---|---|---|
| 3a | If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 3a | $ 7,500. |
| b | If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit | 3b | $ 0 |
| c | **Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 3c | $ 7,500. |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

FMV of Stocks Contributed in 2013
Tsuha Foundation

| # shares | Description | Contribution |
|---|---|---|
| 14 000 | ACE Ltd | 1,436 40 |
| 64 000 | Allstate Corp | 3,437 12 |
| 8 000 | Amazon Com Inc | 3,207 52 |
| 40 000 | Amer Intl Gp Inc New | 2,044 60 |
| 31 000 | American Express Co | 2,764 12 |
| 19 000 | American Tower REIT Com | 1,495 78 |
| 4 000 | Apple Inc | 2,247 82 |
| 172 000 | Bank of America Corp | 2,690 08 |
| 9 000 | Biogen Idec Inc | 2,516 54 |
| 53 000 | Boeing Co | 7,305 26 |
| 20 000 | Bristol Myers Squibb Co | 1,068 80 |
| 26 000 | CDN Pacific RY LTD New | 3,946 80 |
| 26 000 | Celgene Corp | 4,404 01 |
| 75 000 | Charles Schwab New | 1,930 88 |
| 17 000 | Chevron Corp | 2,127 89 |
| 105 000 | Citigroup Inc New | 5,491 50 |
| 16 000 | CME Group Inc | 1,272 32 |
| 54 000 | Comcst Corp (New) Class A | 2,784 24 |
| 44 000 | CVS Caremark Corp | 3,152 60 |
| 26 000 | EBAY Inc | 1,406 86 |
| 22 000 | Expxress Scripts Hldg Co Com | 1,551 00 |
| 81 000 | General Electric Co | 2,255 85 |
| 99 000 | General Motors Co | 4,073 85 |
| 53 000 | Gilead Science | 3,964 67 |
| 15 000 | Goldman Sachs Grp Inc | 2,640 15 |
| 6 000 | Google Inc-CL A | 6,699 66 |
| 77 000 | Halliburton Co | 3,943 94 |
| 36 000 | Home Depot Inc | 2,942 28 |
| 28 000 | Honeywell International Inc | 2,555 00 |
| 30 000 | Johnson & Johnson | 2,777 10 |
| 77 000 | JPMorgan Chase & Co | 4,481 79 |
| 39 000 | Las Vegas Sands Corporation | 3,068 33 |
| 18 000 | Liberty Global PLC CL A New | 1,588 23 |
| 3 000 | Linkedin Corp-A | 655 22 |
| 31 000 | Lowes Companies Inc | 1,520 55 |
| 31 000 | Marsh & McLennan Cos Inc | 1,499 32 |
| 4 000 | Mastercard Inc CL A | 3,296 76 |
| 81 000 | News Corporation CL A | 1,432 89 |
| 107 000 | Pfizer Inc | 3,283 83 |
| 11 000 | Precision Castparts Corp | 2,959 61 |
| 2 000 | Regeneron Pharm | 554 89 |
| 13 000 | Salesforce com, Inc | 710 26 |
| 30 000 | Schlumberger Ltd | 2,685 60 |
| 23 000 | Starwood Htls & Rsts WW Inc | 1,789 86 |
| 38 000 | TE Connectivity LTD New | 2,078 41 |
| 32 000 | Texas Instruments | 1,398 72 |
| 116 000 | Twenty-First Century Fox CL A | 4,060 00 |
| 12 000 | Union Pacific Corp | 1,993 80 |
| 37 000 | United Technologies Corp | 4,171 38 |
| 41 000 | Verizon Communications | 2,015 97 |
| 52 000 | Walt Disney Co Hldg Co | 3,866 46 |
| 36 000 | Yahoo Inc | 1,459 26 |
| | | 140,705 72 |
| | Cash Transfer | 3,382 33 |

**Total Contribution to Foundation on 12/27/13** **144,088.05**

Fidelity INVESTMENTS

# 2013 Informational Tax Reporting Statement

THE TSUHA FOUNDATION    Account No 638-069206    Customer Service 248 362-0900
Recipient ID No 46 1547864    Payer's Fed ID Number 04 3523567

Note This information is not reported to the IRS It may assist you in tax return preparation

## 2013 Proceeds from Broker and Barter Exchange Transactions

Short-term transactions

(IRS Form 1099 B box numbers are shown below in bold type)

8 Description 1d Stock or Other Symbol CUSIP

| Action | 1a Date of Sale or Exchange | 1b Date of Acquisition | 1e Quantity Sold | 2a Sales Price of Stocks Bonds etc (b) | 3 Cost or Other Basis (a) | Gain/Loss ( ) | 5 Wash Sale Loss Disallowed | 4 Federal Income Tax Withheld | 13 State | 15 State Tax Withheld |
|---|---|---|---|---|---|---|---|---|---|---|
| AMERICAN INTERNATL GROWTH & INCOME F2 IGFFX 45956T501 | | | | | | | | | | |
| Sale | 03/25/13 | various | 50 239 | 1 621 72 | 1 411 31 * | 210 41 | | | | |
| AMERICAN MUTUAL FUNDCL F2 AMRFX 027681824 | | | | | | | | | | |
| Sale | 03/25/13 | various | 63 896 | 1 963 53 | 1 769 91 | 193 62 | | | | |
| ISHARES TR DOW JONESU S BASIC MATERIALS IYM 464287838 | | | | | | | | | | |
| Sale | 03/25/13 | 03/30/12 | 1 755 | 122 97 | 121 62 | 1 35 | | | | |
| Sale | 03/25/13 | 06/25/12 | 3 133 | 219 53 | 196 75 | 22 78 | | | | |
| Sale | 03/25/13 | 10/01/12 | 2 586 | 181 20 | 175 02 | 6 18 | | | | |
| **Subtotals** | | | | 523 70 | 493 39 | | | | | |
| ISHARES TR MSCI EAFEVALUE INDEX FD EFV 464288877 | | | | | | | | | | |
| Sale | 03/25/13 | 03/29/12 | 2 195 000 | 109 521 02 | 102 005 60 | 7 515 42 | | | | |
| Sale | 03/25/13 | 06/28/12 | 103 243 | 5 151 38 | 4 163 66 | 987 72 | | | | |
| **Subtotals** | | | | 114 672 40 | 106 169 26 | | | | | |
| ISHARES TRUST S&P GLOBAL MATERIALS INDEX MXI 464288695 | | | | | | | | | | |
| Sale | 03 25/13 | 03/29/12 | 667 000 | 33 655 46 | 35 054 09 | 1 398 63 | | | | |
| Sale | 03 25 13 | 06/27/12 | 17 185 | 1 020 05 | 918 20 | 101 85 | | | | |
| **Subtotals** | | | | 34 675 51 | 35 972 29 | | | | | |
| ROYCE OPPORTUNITY FUND INVEST CLASS RYPNX 780905832 | | | | | | | | | | |
| Sale | 03/25/13 | 12/06/12 | 102 978 | 1 394 32 | 1 176 01 | 218 31 | | | | |

*Our records indicate that you are an exempt recipient for 1099 reporting purposes This statement provided is based on IRS information reporting requirements for individuals as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements Please contact your tax advisor if you have any questions

**Fidelity** INVESTMENTS

# 2013 Informational Tax Reporting Statement

THE TSUHA FOUNDATION — Account No 638 069206 Customer Service 248 362 0900
Recipient ID No 46 1547854 Payer s Fed ID Number 04 3523567

Note This information is not reported to the IRS It may assist you in tax return preparation

## 2013 Proceeds from Broker and Barter Exchange Transactions*

### Short-term transactions

(IRS Form 1099 B box numbers are shown below in bold type)

8 Description 1d Stock or Other Symbol CUSIP

| Action | 1a Date of Sale or Exchange | 1b Date of Acquisition | 1e Quantity Sold | 2a Sales Price of Stocks Bonds etc (b) | 3 Cost or Other Basis (a) | Gain/Loss ( ) | 5 Wash Sale Loss Disallowed | 4 Federal Income Tax Withheld | 13 State | 15 State Tax Withheld |
|---|---|---|---|---|---|---|---|---|---|---|
| T ROWE PRICE MID CAP VALUE TRMCX 77957Y106 | | | | | | | | | | |
| Sale | 03/25/13 | 12/14/12 | 164 442 | 4 376 79 | 3 905 50 | 470 29 | | | | |
| VANGUARD EMF MKTS STK IDX INVESTOR CL VEIEX 922042304 | | | | | | | | | | |
| Sale | 03/25/13 | 09/21/12 | 24 257 | 651 06 | 645 24 | 5 82 | | | | |
| VANGUARD SPECIALIZEDPORTFOLIOS DIV APPRE VIG 921908844 | | | | | | | | | | |
| Sale | 03/25/13 | 03/30/12 | 15 447 | 1 003 94 | 894 83 | 109 11 | | | | |
| Sale | 03/25/13 | 06/29/12 | 19 048 | 1 237 98 | 1 047 76 | 190 22 | | | | |
| Sale | 03/25/13 | 09/28/12 | 17 979 | 1 168 51 | 1 073 75 | 94 76 | | | | |
| Subtotals | | | | 3 410 43 | 3 016 34 | | | | | |
| **TOTALS** | | | | 163 288 46 | 154,559 25 | | | 0 00 | | |
| | Short-Term Realized Gain | | | | | 10 127 84 | | | | |
| | Short-Term Realized Loss | | | | | 1 398 63 | | | | |
| | Wash Sale Loss Disallowed | | | | | | 0 00 | | | |

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**Fidelity** INVESTMENTS

# 2013 Informational Tax Reporting Statement

THE TSUHA FOUNDATION | Account No 638-069206 | Customer Service 248 362 0900
Recipient ID No 46-1547854 | Payer's Fed ID Number 04 3523567

**Note This information is not reported to the IRS It may assist you in tax return preparation**

## 2013 Proceeds from Broker and Barter Exchange Transactions*

**Long-term transactions**

(IRS Form 1099 B box numbers are shown below in bold type)

8 Description 1d Stock or Other Symbol CUSIP

| Action | 1a Date of Sale or Exchange | 1b Date of Acquisition | 1e Quantity Sold | 2a Sales Price of Stocks Bonds etc (b) | 3 Cost or Other Basis (a) | Gain/Loss ( ) | 5 Wash Sale Loss Disallowed | 4 Federal Income Tax Withheld | 13 State | 16 State Tax Withheld |
|---|---|---|---|---|---|---|---|---|---|---|
| AMERICAN CAPITAL WORLD BOND FD F2 BFWFX 140541822 | | | | | | | | | | |
| Sale | 03/25 13 | various | 1 014 694 | 21 125 93 | 18 984 14 | 2 141 79 | | | | |
| AMERICAN INTERNATL GROWTH & INCOME F2 IGFFX 45956T501 | | | | | | | | | | |
| Sale | 03/25/13 | various | 2 390 621 | 77 169 24 | 54 909 04 | 22 260 20 | | | | |
| Sale | 03/25/13 | 03/19/12 | 14 457 | 466 67 | 406 13 | 60 54 | | | | |
| Subtotals | | | | 77,635 91 | 55,315 17 | | | | | |
| AMERICAN MUTUAL FUNDCL F2 AMRFX 027681824 | | | | | | | | | | |
| Sale | 03/25/13 | various | 5 146 561 | 158 215 27 | 97 398 34 | 60 816 93 | | | | |
| Sale | 03/25/13 | 03/15/12 | 31 996 | 983 24 | 886 29 | 96 95 | | | | |
| Subtotals | | | | 159,198 51 | 98 284 63 | | | | | |
| AMERICAN NEW PERSPECTIVE CL F2 ANWFX 648018828 | | | | | | | | | | |
| Sale | 03/25/13 | various | 763 389 | 24 726 23 | 13 337 17 | 11 389 06 | | | | |
| CAUSEWAY INTERNATNALVALUE INVESTOR CIVVX 14949P109 | | | | | | | | | | |
| Sale | 03/25/13 | various | 8 467 546 | 112 279 66 | 99 574 18 | 12 705 48 | | | | |
| CISCO SYS INC CSCO 17275R102 | | | | | | | | | | |
| Sale | 03 25/13 | 10/03/96 | 1 800 000 | 37 428 72 | 12 950 00 | 24 478 72 | | | | |
| FIDELITY NEW MARKETSINCOME FNMIX 315910836 | | | | | | | | | | |
| Sale | 03 25/13 | various | 4 007 786 | 68 974 00 | 60 493 94 | 8 480 06 | | | | |

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Fidelity INVESTMENTS

# 2013 Informational Tax Reporting Statement

THE TSUHA FOUNDATION Account No 638-069206 Customer Service 248 362 0900
Recipient ID No 46 1547854 Payers Fed ID Number 04 3523567

Note This information is not reported to the IRS It may assist you in tax return preparation

## 2013 Proceeds from Broker and Barter Exchange Transactions*

Long-term transactions

(IRS Form 1099 B box numbers are shown below in bold type)

8 Description 1d Stock or Other Symbol CUSIP

| Action | 1a Date of Sale or Exchange | 1b Date of Acquisition | 1e Quantity Sold | 2a Sales Price of Stocks Bonds etc (b) | 3 Cost or Other Basis (a) | Gain/Loss ( ) | 5 Wash Sale Loss Disallowed | 4 Federal Income Tax Withheld | 13 State | 15 State Tax Withheld |
|---|---|---|---|---|---|---|---|---|---|---|
| ISHARES TR DOW JONESU S BASIC MATERIALS IYM 464287838 | | | | | | | | | | |
| Sale | 03/25/13 | 08/21/06 | 258 000 | 18 077 86 | 14 022 30 | 4 055 56 | | | | |
| Sale | 03/25/13 | 03/02/07 | 159 000 | 11 141 01 | 9 931 14 | 1 209 87 | | | | |
| Sale | 03/25/13 | 10/14/08 | 154 609 | 10 833 32 | 7 162 89 | 3 670 43 | | | | |
| Sale | 03/25/13 | 06/30/11 | 2 544 | 178 26 | 199 47 | 21 21 | | | | |
| Sale | 03/26/13 | 09/29/11 | 3 212 | 225 06 | 194 07 | 30 99 | | | | |
| Sale | 03/25/13 | 12/29/11 | 5 161 | 361 63 | 330 49 | 31 14 | | | | |
| Cash In Lieu | 03/28/13 | 10/14/08 | 0 391 | 27 40 | 18 11 | 9 29 | | | | |
| Subtotals | | | | 40 844 54 | 31 858 47 | | | | | |
| ISHARES TR MSCI EAFEVALUE INDEX FD EFV 464288877 | | | | | | | | | | |
| Sale | 03/25/13 | 12/05/08 | 1 077 910 | 53 783 05 | 39 149 69 | 14 633 36 | | | | |
| Sale | 03 25/13 | 06/28/11 | 26 474 | 1 320 94 | 1 317 49 | 3 45 | | | | |
| Sale | 03/25/13 | 12/29/11 | 18 373 | 916 73 | 783 93 | 132 80 | | | | |
| Cash In Lieu | 03 28/13 | 12/05/08 | 0 090 | 4 49 | 3 27 | 1 22 | | | | |
| Subtotals | | | | 56 025 21 | 41 254 38 | | | | | |
| ISHARES TRUST S&P GLOBAL MATERIALS INDEX MXI 464288695 | | | | | | | | | | |
| Sale | 03 25/13 | 10/14/08 | 733 130 | 43 516 44 | 32 875 02 | 10 641 42 | | | | |
| Sale | 03/25/13 | 06/27/11 | 7 466 | 443 16 | 519 38 | 76 22 | | | | |
| Sale | 03 25/13 | 12/29/11 | 7 219 | 428 50 | 414 59 | 13 91 | | | | |
| Cash In Lieu | 03 28/13 | 10/14/08 | 0 870 | 51 64 | 39 01 | 12 63 | | | | |
| Subtotals | | | | 44,439 74 | 33 848 00 | | | | | |

*Our records indicate that you are an exempt recipient for 1099 reporting purposes This statement provided is based on IRS information reporting requirements for individuals as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements Please contact your tax advisor if you have any questions

Fidelity INVESTMENTS

# 2013 Informational Tax Reporting Statement

THE TSUHA FOUNDATION    Account No 638-069206    Customer Service 248 362 0900
Recipient ID No 46 1547864    Payers Fed ID Number 04 3523567

Note This information is not reported to the IRS It may assist you in tax return preparation

## 2013 Proceeds from Broker and Barter Exchange Transactions*

### Long-term transactions

(IRS Form 1099 B box numbers are shown below in bold type)

8 Description 1d Stock or Other Symbol CUSIP

| Action | 1a Date of Sale or Exchange | 1b Date of Acquisition | 1e Quantity Sold | 2a Sales Price of Stocks Bonds etc (b) | 3 Cost or Other Basis (a) | Gain/Loss ( ) | 5 Wash Sale Loss Disallowed | 4 Federal Income Tax Withheld | 13 State | 15 State Tax Withheld |
|---|---|---|---|---|---|---|---|---|---|---|
| ROYCE OPPORTUNITY FUND INVEST CLASS RYPNX 780905832 | | | | | | | | | | |
| Sale | 03/25/13 | various | 1 755 505 | 23 769 54 | 12 954 26 | 10 815 28 | | | | |
| T ROWE PRICE EMERGING MKTS STOCK PRMSX 77956H864 | | | | | | | | | | |
| Sale | 03/25/13 | various | 762 388 | 25 067 32 | 11 126 23 | 13 941 09 | | | | |
| T ROWE PRICE MID CAP VALUE TRMCX 77957Y106 | | | | | | | | | | |
| Sale | 03/25/13 | various | 2 552 610 | 67 924 96 | 42 139 92 | 25 785 04 | | | | |
| TEMPLETON GLOBAL BOND ADVISOR CLASS TGBAX 880208400 | | | | | | | | | | |
| Sale | 03/25/13 | various | 4 235 461 | 56 797 53 | 51 643 52 | 5 154 01 | | | | |
| TURNER MIDCAP GROWTHINV CL TMGFX 900297409 | | | | | | | | | | |
| Sale | 03/25/13 | 12/08/08 | 4 335 305 | 159 625 93 | 79 943 03 | 79 682 90 | | | | |
| VANGUARD EME MKTS STK IDX INVESTOR CL VEIEX 922042304 | | | | | | | | | | |
| Sale | 03 25/13 | various | 2 054 908 | 55 153 73 | 20 502 11 | 34 651 62 | | | | |
| VANGUARD INDEX TRUSTSM CAP GRWTH INV CL VISGX 922908827 | | | | | | | | | | |
| Sale | 03 25/13 | various | 1 670 779 | 46 547 90 | 21 975 25 | 24 572 65 | | | | |
| Sale | 03 25/13 | 03/21/12 | 0 068 | 1 90 | 1 67 | 0 23 | | | | |
| Subtotals | | | | 46 549 80 | 21 976 92 | | | | | |
| VANGUARD SPECIALIZEDPORTFOLIOS DIV APPRE VIG 921908844 | | | | | | | | | | |
| Sale | 03/25/13 | 12/23/08 | 1 078 000 | 70 062 28 | 41 977 32 | 28 084 96 | | | | |
| Sale | 03/25/13 | 12/23/08 | 1 078 000 | 70 062 28 | 41 675 48 | 28 386 80 | | | | |
| Sale | 03/25/13 | 12/23/08 | 1 078 724 | 70 109 35 | 41 627 96 | 28 481 39 | | | | |

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**Fidelity** INVESTMENTS

# 2013 Informational Tax Reporting Statement

THE TSUHA FOUNDATION

Account No 638-059206 Customer Service 248 362 0900
Recipient ID No 46 1547854 Payer's Fed ID Number 04 3523567

**Note This information is not reported to the IRS It may assist you in tax return preparation**

## 2013 Proceeds from Broker and Barter Exchange Transactions*

**Long-term transactions**

(IRS Form 1099 B box numbers are shown below in bold type)

8 Description 1d Stock or Other Symbol CUSIP

| Action | 1a Date of Sale or Exchange | 1b Date of Acquisition | 1e Quantity Sold | 2a Sales Price of Stocks Bonds etc (b) | 3 Cost or Other Basis (a) | Gain/Loss ( ) | 5 Wash Sale Loss Disallowed | 4 Federal Income Tax Withheld | 13 State | 16 State Tax Withheld |
|---|---|---|---|---|---|---|---|---|---|---|
| VANGUARD SPECIALIZEDPORTFOLIOS DIV APPRE VIG 921908844 | | | | | | | | | | |
| Sale | 03/25/ 3 | 06/30/11 | 16 539 | 1 074 92 | 915 51 | 159 41 | | | | |
| Sale | 03/25/13 | 09/29/11 | 18 535 | 1 204 64 | 926 69 | 277 95 | | | | |
| Sale | 03 25/ 3 | 12/28/11 | 19 728 | 1 282 18 | 1 085 56 | 196 52 | | | | |
| Cash In Lieu | 03/28/13 | 12/23/08 | 0 276 | 17 94 | 10 65 | 7 29 | | | | |
| Subtotals | | | | 213 813 59 | 128 219 27 | | | | | |
| **TOTALS** | | | | 1 291 380 85 | 834 405 34 | | | 0 00 | | |
| | **Long-Term Realized Gain** | | | | | 457 072 94 | | | | |
| | **Long-Term Realized Loss** | | | | | 97 43 | | | | |
| | **Wash Sale Loss Disallowed** | | | | | | 0 00 | | | |

(a) Cost or other basis provided may include adjustments including but not limited to dividend reinvestment return of capital/principal wash sale loss disallowed amortization accretion acquisition premium bond premium market discount market premium and option premium

(b) Gross proceeds less commissions

*Our records indicate that you are an exempt recipient for 1099 reporting purposes This statement provided is based on IRS information reporting requirements for individuals as of the preparation date and is NOT furnished to the IRS You may be subject to different income tax reporting requirements Please contact your tax advisor if you have any questions

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | (16,076 84) | Net Covered Long-Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 0 00 | Net NonCovered Long-Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **(16,076.84)** | **Total Long-Term Gains (Losses)** | **0.00** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| STONE HARBOR LOCAL MARKETS I MUTUAL FUND (861647501) | 05/08/2013 | 11/05/2013 | 10,111 22 | 100,000 00 | 0 00 | 116,076 84 | (16,076 84) |
| **Net Covered Short-Term Gains (Losses)** | | | | **100,000.00** | **0.00** | **116,076.84** | **(16,076.84)** |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | 0 00 | Net Covered Long Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | (1 344 88) | Net NonCovered Long-Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **(1,344.88)** | **Total Long-Term Gains (Losses)** | **0.00** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| DISCOVERY COMMUNICATIONS LLC 3 7% 06/01/2015 M-W+25 00BP (25470DAB5) | 05/09/2013 | 08/08/2013 | 50,000 00 | 52,551 50 | (353 02) | 52,595 98 | (44 48) |
| FHLB 3 125% 03/11/2016 MS SR LIEN (3133XXP43) | 06/03/2013 | 08/08/2013 | 50,000 00 | 53,222 50 | (230 99) | 53,355 51 | (133 01) |
| FHLB 1 0% 06/21/2017 JD (313379DD8) | 05/10/2013 | 12/06/2013 | 75,000 00 | 75,198 75 | (134 63) | 75,842 62 | (643 87) |
| PETROBRAS INTERNATIONAL FIN CO 3 875% 01/27/2016 USD SR LIEN M-W+30 00BP (71645WAT8) | 05/09/2013 | 12/06/2013 | 50,000 00 | 51,651 50 | (566 48) | 52,175 02 | (523 52) |
| **Net NonCovered Short-Term Gains (Losses)** | | | | **232,624.25** | **(1,285.12)** | **233,969.13** | **(1,344.88)** |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | 6,602 41 | Net Covered Long-Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 0 00 | Net NonCovered Long-Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **6,602 41** | **Total Long-Term Gains (Losses)** | **0.00** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| SAKS INCORPORATED CMN (79377W108) | 05/09/2013 | 05/22/2013 | 35 00 | 545.07 | 0 00 | 411 28 | 133 79 |
| ATMEL CORP CMN (049513104) | 05/09/2013 | 05/28/2013 | 25 00 | 187 74 | 0 00 | 167 89 | 19 85 |
| SAKS INCORPORATED CMN (79377W108) | 05/09/2013 | 06/04/2013 | 131 00 | 1,958 43 | 0.00 | 1,539 35 | 419 08 |
| EXELIS INC CMN (30162A108) | 05/09/2013 | 06/19/2013 | 10 00 | 130 80 | 0 00 | 117 96 | 12 84 |
| FINISAR CORPORATION CMN (31787A507) | 05/09/2013 | 06/20/2013 | 26 00 | 421 60 | 0 00 | 336 19 | 85 41 |
| LIFEPOINT HOSPITALS INC CMN (53219L109) | 05/09/2013 | 06/20/2013 | 40 00 | 1,968 23 | 0 00 | 1,913 92 | 54 31 |
| AMERICAN EQTY INVSTMNT LFE HLD CMN (025676206) | 05/09/2013 | 06/24/2013 | 96 00 | 1,499.81 | 0.00 | 1,512 12 | (12 31) |
| ATWOOD OCEANICS INC CMN (050095108) | 05/09/2013 | 07/02/2013 | 38 00 | 2,002 28 | 0 00 | 2,007 20 | (4 92) |
| QUALITY SYSTEMS INC CMN (747582104) | 05/09/2013 | 07/18/2013 | 20 00 | 454.57 | 0 00 | 366 00 | 88 57 |
| WENDY'S CO/THE CMN (95058W100) | 05/09/2013 | 07/22/2013 | 40 00 | 270 17 | 0 00 | 231 20 | 38 97 |
| UMPQUA HLDGS CORP CMN (904214103) | 05/09/2013 | 07/24/2013 | 10 00 | 170 95 | 0 00 | 125 21 | 45 74 |
| WENDY'S CO/THE CMN (95058W100) | 07/03/2013 | 07/29/2013 | 152 00 | 1,074 06 | 0 00 | 892 22 | 181 84 |
| WENDY'S CO/THE CMN (95058W100) | 05/09/2013 | 07/29/2013 | 302 00 | 2,133 98 | 0 00 | 1,745 56 | 388 42 |
| INTREPID POTASH, INC CMN (46121Y102) | 07/03/2013 | 07/30/2013 | 50 00 | 652 16 | 0 00 | 919.01 | (266 85) |
| INTREPID POTASH, INC CMN (46121Y102) | 05/09/2013 | 07/30/2013 | 108 00 | 1,408 66 | 0 00 | 1,967 76 | (559 10) |
| ASPEN INSURANCE HOLDINGS LTD CMN (G05384105) | 07/03/2013 | 08/01/2013 | 28 00 | 1,056 04 | 0 00 | 1,031 41 | 24 63 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [b] | Total accretion (Amortization) | Cost Basis [a] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| ASPEN INSURANCE HOLDINGS LTD CMN (G05384105) | 05/09/2013 | 08/01/2013 | 50 00 | 1 885 78 | 0 00 | 1 928 50 | (42 72) |
| BRUKER CORPORATION CMN (116794108) | 05/09/2013 | 08/02/2013 | 34 00 | 676 87 | 0 00 | 588 88 | 87 99 |
| HARMAN INTL INDS INC (NEW) CMN (413086109) | 05/09/2013 | 08/02/2013 | 4 00 | 242 14 | 0 00 | 196 41 | 45 73 |
| FINISAR CORPORATION CMN (31787A507) | 05/09/2013 | 08/07/2013 | 20 00 | 437 02 | 0 00 | 258 61 | 178 41 |
| WELLCARE HEALTH PLANS INC CMN (94946T106) | 05/09/2013 | 08/07/2013 | 4 00 | 264 11 | 0 00 | 225 61 | 38 50 |
| HARMAN INTL INDS INC (NEW) CMN (413086109) | 05/09/2013 | 08/09/2013 | 8 00 | 544 44 | 0 00 | 392 82 | 151 62 |
| MCDERMOTT INTL CMN (580037109) | 08/05/2013 | 08/12/2013 | 105 00 | 787 61 | 0 00 | 919 92 | (132 31) |
| MCDERMOTT INTL CMN (580037109) | 07/03/2013 | 08/12/2013 | 144 00 | 1 080 15 | 0 00 | 1 199 49 | (119 34) |
| MCDERMOTT INTL CMN (580037109) | 05/09/2013 | 08/12/2013 | 206 00 | 1 545 22 | 0 00 | 1,981 27 | (436 05) |
| FINISAR CORPORATION CMN (31787A507) | 08/05/2013 | 08/16/2013 | 26 00 | 547 44 | 0 00 | 520 51 | 26 93 |
| FINISAR CORPORATION CMN (31787A507) | 07/03/2013 | 08/16/2013 | 48 00 | 1 010 66 | 0 00 | 803 54 | 207 12 |
| FINISAR CORPORATION CMN (31787A507) | 05/09/2013 | 08/16/2013 | 104 00 | 2 189 78 | 0 00 | 1 344 78 | 845 00 |
| INTEGRA LIFESCIENCES HOLDING CORPORATION (457985208) | 05/09/2013 | 08/22/2013 | 10 00 | 423 79 | 0 00 | 353 00 | 70 79 |
| AEROPOSTALE, INC CMN (007865108) | 06/25/2013 | 08/23/2013 | 20 00 | 172 86 | 0 00 | 255 19 | (82 33) |
| AEROPOSTALE, INC CMN (007865108) | 08/05/2013 | 08/23/2013 | 40 00 | 345 72 | 0 00 | 597 86 | (252 14) |
| AEROPOSTALE, INC CMN (007865108) | 07/03/2013 | 08/23/2013 | 46 00 | 397 58 | 0 00 | 619 21 | (221 63) |
| AEROPOSTALE INC CMN (007865108) | 05/09/2013 | 08/23/2013 | 100 00 | 864 30 | 0 00 | 1 483 00 | (618 70) |
| TREEHOUSE FOODS INC CMN (89469A104) | 07/03/2013 | 08/23/2013 | 10 00 | 672 95 | 0 00 | 686 40 | (13 45) |
| TREEHOUSE FOODS, INC CMN (89469A104) | 08/05/2013 | 08/23/2013 | 10 00 | 672 95 | 0 00 | 732 56 | (59 61) |
| TREEHOUSE FOODS, INC CMN (89469A104) | 05/09/2013 | 08/23/2013 | 32 00 | 2 153 45 | 0 00 | 2 044 63 | 108 82 |
| MICROSEMI CORP CL A CMN (595137100) | 05/09/2013 | 09/05/2013 | 10 00 | 260 36 | 0 00 | 218 68 | 41 68 |
| SOTHEBY'S CMN CLASS A (835898107) | 05/09/2013 | 09/05/2013 | 5 00 | 233 90 | 0 00 | 180 35 | 53 55 |
| KORN/FERRY INTERNATIONAL CMN (500643200) | 05/09/2013 | 09/09/2013 | 10 00 | 209 76 | 0 00 | 169 35 | 40 41 |
| KORN/FERRY INTERNATIONAL CMN (500643200) | 07/03/2013 | 09/24/2013 | 30 00 | 645 87 | 0 00 | 566 67 | 79 20 |
| KORN/FERRY INTERNATIONAL CMN (500643200) | 08/05/2013 | 09/24/2013 | 35 00 | 753 51 | 0 00 | 691 54 | 61 97 |

[a] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[b] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| KORN/FERRY INTERNATIONAL CMN (500643200) | 05/09/2013 | 09/24/2013 | 78 00 | 1,679 26 | 0 00 | 1,320 95 | 358 31 |
| HARMAN INTL INDS INC (NEW) CMN (413086109) | 07/03/2013 | 09/25/2013 | 14 00 | 921.53 | 0 00 | 747.43 | 174 10 |
| HARMAN INTL INDS INC (NEW) CMN (413086109) | 08/05/2013 | 09/25/2013 | 15 00 | 987 36 | 0 00 | 894 98 | 92 38 |
| HARMAN INTL INDS INC (NEW) CMN (413086109) | 05/09/2013 | 09/25/2013 | 28 00 | 1,843 07 | 0 00 | 1,374 86 | 468 21 |
| APPROACH RESOURCES INC CMN (03834A103) | 05/09/2013 | 10/04/2013 | 15 00 | 449 44 | 0 00 | 402 31 | 47 13 |
| SOTHEBY'S CMN CLASS A (835898107) | 08/05/2013 | 10/08/2013 | 15 00 | 739 41 | 0 00 | 675 65 | 63 76 |
| SOTHEBY'S CMN CLASS A (835898107) | 07/03/2013 | 10/08/2013 | 20.00 | 985 87 | 0.00 | 781 17 | 204 70 |
| SOTHEBY'S CMN CLASS A (835898107) | 05/09/2013 | 10/08/2013 | 49 00 | 2,415 39 | 0 00 | 1,767 43 | 647 96 |
| INTEGRATED DEVICE TECH INC CMN (458118106) | 09/23/2013 | 10/30/2013 | 30.00 | 321 63 | 0 00 | 281.20 | 40 43 |
| FINISH LINE INC CL-A CMN CLASS A (317923100) | 05/09/2013 | 10/31/2013 | 58 00 | 1,459 70 | 0 00 | 1,247 30 | 212 40 |
| FRESH DEL MONTE PRODUCE INC ORDINARY SHARES (G36738105) | 07/03/2013 | 10/31/2013 | 20 00 | 535 65 | 0 00 | 565 02 | (29 37) |
| FRESH DEL MONTE PRODUCE INC ORDINARY SHARES (G36738105) | 08/05/2013 | 10/31/2013 | 25 00 | 669 56 | 0 00 | 735 56 | (66 00) |
| FRESH DEL MONTE PRODUCE INC. ORDINARY SHARES (G36738105) | 05/09/2013 | 10/31/2013 | 58 00 | 1,553.39 | 0 00 | 1,495 85 | 57 54 |
| FINISH LINE INC CL-A CMN CLASS A (317923100) | 05/09/2013 | 11/01/2013 | 12 00 | 301 63 | 0 00 | 258 06 | 43 57 |
| FINISH LINE INC CL-A CMN CLASS A (317923100) | 08/05/2013 | 11/01/2013 | 30.00 | 754.07 | 0.00 | 679.03 | 75 04 |
| FINISH LINE INC CL-A CMN CLASS A (317923100) | 07/03/2013 | 11/01/2013 | 34 00 | 854 62 | 0 00 | 733 67 | 120 95 |
| HANOVER INSURANCE GROUP INC CMN (410867105) | 05/09/2013 | 11/05/2013 | 2.00 | 118 93 | 0 00 | 101 34 | 17 59 |
| CABOT CORP CMN (127055101) | 05/09/2013 | 11/06/2013 | 14 00 | 655 99 | 0 00 | 536 29 | 119 70 |
| PTC INC CMN (69370C100) | 05/09/2013 | 11/07/2013 | 10 00 | 305 03 | 0 00 | 240 40 | 64 63 |
| EXELIS INC CMN (30162A108) | 05/09/2013 | 11/11/2013 | 10 00 | 166 26 | 0 00 | 117 96 | 48 30 |
| AERCAP HOLDINGS NV ORD CMN (N00985106) | 05/09/2013 | 11/12/2013 | 30 00 | 621 92 | 0.00 | 498.95 | 122 97 |
| THIRD POINT REINSURANCE LTD CMN (G8827U100) | 08/19/2013 | 11/12/2013 | 20 00 | 304 83 | 0 00 | 262 28 | 42 55 |
| WESTERN REFINING, INC. CMN (959319104) | 05/09/2013 | 11/14/2013 | 18 00 | 691 14 | 0.00 | 560.88 | 130 26 |
| TETRA TECH INC (NEW) CMN (88162G103) | 06/20/2013 | 11/20/2013 | 18 00 | 508 78 | 0 00 | 419.33 | 89 45 |
| AIR LEASE CORPORATION CMN (00912X302) | 05/09/2013 | 11/22/2013 | 10 00 | 312 89 | 0 00 | 304 37 | 8 52 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| LATTICE SEMICONDUCTOR CORP CMN (518415104) | 05/09/2013 | 11/27/2013 | 90 00 | 501 41 | 0 00 | 441 47 | 59 94 |
| ARRIS GROUP INC CMN (04270V106) | 10/04/2013 | 12/04/2013 | 35 00 | 729 11 | 0 00 | 600 33 | 128 78 |
| WELLCARE HEALTH PLANS INC CMN (94946T106) | 08/05/2013 | 12/04/2013 | 10 00 | 739 56 | 0 00 | 613 02 | 126 54 |
| WELLCARE HEALTH PLANS INC CMN (94946T106) | 07/03/2013 | 12/04/2013 | 16 00 | 1 183 30 | 0 00 | 894 42 | 288 88 |
| WELLCARE HEALTH PLANS INC CMN (94946T106) | 05/09/2013 | 12/04/2013 | 32 00 | 2 366 60 | 0 00 | 1 804 84 | 561 76 |
| FOSTER WHEELER AG CMN (H27178104) | 05/09/2013 | 12/16/2013 | 45 00 | 1 422 05 | 0 00 | 975 15 | 446 90 |
| C&J ENERGY SERVICES INC CMN (12467B304) | 05/09/2013 | 12/19/2013 | 57 00 | 1 290 33 | 0 00 | 1 084 49 | 205 84 |
| ABM INDUSTRIES INC CMN (000957100) | 07/03/2013 | 12/20/2013 | 24 00 | 674 16 | 0 00 | 582 46 | 91 70 |
| ABM INDUSTRIES INC CMN (000957100) | 08/05/2013 | 12/20/2013 | 25 00 | 702 25 | 0 00 | 650 61 | 51 64 |
| ABM INDUSTRIES INC CMN (000957100) | 05/09/2013 | 12/20/2013 | 68 00 | 1 910 11 | 0 00 | 1 508 76 | 401 35 |
| C&J ENERGY SERVICES INC CMN (12467B304) | 08/05/2013 | 12/20/2013 | 40 00 | 910 03 | 0 00 | 846 62 | 63 41 |
| C&J ENERGY SERVICES INC CMN (12467B304) | 07/03/2013 | 12/20/2013 | 44 00 | 1 001 04 | 0 00 | 865 22 | 135 82 |
| C&J ENERGY SERVICES, INC CMN (12467B304) | 05/09/2013 | 12/20/2013 | 47 00 | 1 069 29 | 0 00 | 894 23 | 175 06 |
| **Net Covered Short-Term Gains (Losses)** | | | | **69,609.36** | **0.00** | **63,006.95** | **6,602 41** |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short Term Gains (Losses) | 0 00 | Net Covered Long Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short Term Gains (Losses) | (5 054 43) | Net NonCovered Long Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short Term Gains (Losses) | 0 00 | Net Miscellaneous Long Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **(5,054 43)** | **Total Long-Term Gains (Losses)** | **0 00** | **Total Ordinary Gains (Losses)** | **0 00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| EQUITY RESIDENTIAL CMN (29476L107) | 05/09/2013 | 05/16/2013 | 5 00 | 299 45 · | 0 00 | 286 67 | 12 78 |
| HEALTHCARE REALTY TRUST INC CMN (421946104) | 05/09/2013 | 05/21/2013 | 5 00 | 148 52 | 0 00 | 148 76 | (0 24) |
| ALEXANDRIA REAL ESTATE EQUITIES INC (015271109) | 05/09/2013 | 05/23/2013 | 11 00 | 805 20 | 0 00 | 826 55 | (21 35) |
| VENTAS INC CMN (92276F100) | 05/09/2013 | 05/23/2013 | 19 00 | 1 484 71 | 0 00 | 1 505 56 | (20 85) |
| AMERICAN TOWER CORPORATION CMN (03027X100) | 05/09/2013 | 05/29/2013 | 4 00 | 318 24 | 0 00 | 336 40 | (18 16) |
| RAYONIER INC CMN (754907103) | 05/09/2013 | 05/29/2013 | 6 00 | 336 54 | 0 00 | 357 86 | (21 32) |
| VENTAS INC CMN (92276F100) | 05/09/2013 | 05/29/2013 | 6 00 | 436 74 | 0 00 | 475 44 | (38 70) |
| VORNADO REALTY TRUST CMN (929042109) | 05/09/2013 | 05/29/2013 | 14 00 | 1 151 35 | 0 00 | 1 210 16 | (58 81) |
| WEYERHAEUSER COMPANY CMN (962166104) | 05/09/2013 | 05/29/2013 | 12 00 | 365 23 | 0 00 | 378 96 | (13 73) |
| KILROY RLTY CORP COMMON STOCK (49427F108) | 05/09/2013 | 05/31/2013 | 3 00 | 160 70 | 0 00 | 172 17 | (11 47) |
| ACADIA REALTY TRUST CMN (004239109) | 05/09/2013 | 06/06/2013 | 9 00 | 231 42 | 0 00 | 260 67 | (29 25) |
| ESSEX PROPERTY TRUST INC CMN (297178105) | 05/09/2013 | 06/06/2013 | 3 00 | 461 25 | 0 00 | 475 38 | (14 13) |
| ALEXANDRIA REAL ESTATE EQUITIES INC (015271109) | 05/09/2013 | 06/07/2013 | 22 00 | 1 476 35 | 0 00 | 1 653 11 | (176 76) |
| AMERICAN TOWER CORPORATION CMN (03027X100) | 05/09/2013 | 06/12/2013 | 15 00 | 1 137 28 | 0 00 | 1 261 50 | (124 22) |
| APARTMENT INVT & MGMT CO CL A CMN CLASS A (03748R101) | 05/09/2013 | 06/12/2013 | 42 00 | 1 201 12 | 0 00 | 1 319 22 | (118 10) |
| RAYONIER INC CMN (754907103) | 05/09/2013 | 06/12/2013 | 2 00 | 105 96 | 0 00 | 119 29 | (13 33) |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| WEYERHAEUSER COMPANY CMN (962166104) | 05/09/2013 | 06/12/2013 | 4 00 | 112 79 | 0 00 | 126 32 | (13 53) |
| DUKE REALTY CORP CMN (264411505) | 05/09/2013 | 06/17/2013 | 20 00 | 312 29 | 0 00 | 365 65 | (53 36) |
| PEBBLEBROOK HOTEL TRUST CMN (70509V100) | 05/09/2013 | 06/19/2013 | 4 00 | 103 49 | 0 00 | 111 31 | (7 82) |
| KILROY RLTY CORP COMMON STOCK (49427F108) | 05/09/2013 | 06/21/2013 | 18 00 | 913 43 | 0 00 | 1 033 02 | (119 59) |
| ACADIA REALTY TRUST CMN (004239109) | 05/09/2013 | 06/24/2013 | 11 00 | 262 84 | 0 00 | 318 60 | (55 76) |
| DUKE REALTY CORP CMN (264411505) | 05/09/2013 | 06/24/2013 | 15 00 | 216 41 | 0 00 | 274 24 | (57 83) |
| HEALTHCARE REALTY TRUST INC CMN (421946104) | 05/09/2013 | 06/24/2013 | 11 00 | 258 93 | 0 00 | 327 28 | (68 35) |
| DUKE REALTY CORP CMN (264411505) | 05/09/2013 | 06/26/2013 | 10 00 | 153 35 | 0 00 | 182 82 | (29 47) |
| DUKE REALTY CORP CMN (264411505) | 05/09/2013 | 06/26/2013 | 13 00 | 199 39 | 0 00 | 237 67 | (38 28) |
| VENTAS, INC CMN (92276F100) | 05/09/2013 | 07/01/2013 | 10 00 | 687 27 | 0 00 | 792 40 | (105 13) |
| CBL & ASSOC PROPERTIES INC CMN (124830100) | 05/09/2013 | 07/03/2013 | 60 00 | 1 307 12 | 0 00 | 1 511 40 | (204 28) |
| EQUITY ONE INC CMN (294752100) | 05/09/2013 | 07/03/2013 | 40 00 | 909 97 | 0 00 | 1 044 85 | (134 88) |
| AMERICAN TOWER CORPORATION CMN (03027X100) | 05/09/2013 | 07/15/2013 | 8 00 | 610 35 | 0 00 | 672 80 | (62 45) |
| RAYONIER INC CMN (754907103) | 05/09/2013 | 07/15/2013 | 2 00 | 113 32 | 0 00 | 119 29 | (5 97) |
| WEYERHAEUSER COMPANY CMN (962166104) | 05/09/2013 | 07/15/2013 | 9 00 | 262 76 | 0 00 | 284 22 | (21 46) |
| VENTAS INC CMN (92276F100) | 05/09/2013 | 07/22/2013 | 11 00 | 784 04 | 0 00 | 871 64 | (87 60) |
| DCT INDUSTRIAL TRUST INC CMN (233153105) | 07/03/2013 | 08/01/2013 | 41 00 | 309 39 | 0 00 | 295 61 | 13 78 |
| DCT INDUSTRIAL TRUST INC CMN (233153105) | 05/09/2013 | 08/01/2013 | 109 00 | 822 51 | 0 00 | 896 36 | (73 85) |
| ESSEX PROPERTY TRUST INC CMN (297178105) | 05/09/2013 | 08/01/2013 | 1 00 | 160 91 | 0 00 | 158 46 | 2 45 |
| HOST HOTELS & RESORTS INC CMN (44107P104) | 05/09/2013 | 08/01/2013 | 29 00 | 519 32 | 0 00 | 531 86 | (12 54) |
| EQUITY RESIDENTIAL CMN (29476L107) | 05/09/2013 | 08/02/2013 | 8 00 | 439 46 | 0 00 | 458 68 | (19 22) |
| AMERICAN TOWER CORPORATION CMN (03027X100) | 05/09/2013 | 08/06/2013 | 34 00 | 2 390 71 | 0 00 | 2 859 40 | (468 69) |
| WEYERHAEUSER COMPANY CMN (962166104) | 05/09/2013 | 08/06/2013 | 20 00 | 564 52 | 0 00 | 631 60 | (67 08) |
| RAYONIER INC CMN (754907103) | 07/03/2013 | 08/07/2013 | 5 00 | 289 20 | 0 00 | 275 05 | 14 15 |
| RAYONIER INC CMN (754907103) | 05/09/2013 | 08/07/2013 | 11 00 | 636 23 | 0 00 | 656 08 | (19 85) |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [1] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| EQUITY RESIDENTIAL CMN (29476L107) | 05/09/2013 | 08/09/2013 | 7 00 | 381 49 | 0 00 | 401 34 | (19 85) |
| SENIOR HOUSING PROPERTIES TR CMN (81721M109) • | 05/09/2013 | 08/09/2013 | 15 00 | 373 19 | 0 00 | 430 00 | (56 81) |
| VENTAS INC CMN (92276F100) | 05/09/2013 | 08/09/2013 | 8 00 | 516 07 | 0 00 | 633 92 | (117 85) |
| AMERICAN TOWER CORPORATION CMN (03027X100) | 05/09/2013 | 08/14/2013 | 2 00 | 139 32 | 0 00 | 168 20 | (28 88) |
| AMERICAN TOWER CORPORATION CMN (03027X100) | 07/03/2013 | 08/14/2013 | 16 00 | 1 114 58 | 0 00 | 1 153 28 | (38 70) |
| NATIONAL RETAIL PROPERTIES INC CMN (637417106) • | 05/09/2013 | 08/14/2013 | 33 00 | 1 098 64 | 0 00 | 1 348 97 | (250 33) |
| WEYERHAEUSER COMPANY CMN (962166104) | 05/09/2013 | 08/14/2013 | 4 00 | 112 36 | 0 00 | 126 32 | (13 96) |
| WEYERHAEUSER COMPANY CMN (962166104) | 07/03/2013 | 08/14/2013 | 12 00 | 337 07 | 0 00 | 339 72 | (2 65) |
| ESSEX PROPERTY TRUST INC CMN (297178105) | 05/09/2013 | 08/22/2013 | 3 00 | 440 68 | 0 00 | 475 38 | (34 70) |
| SENIOR HOUSING PROPERTIES TR CMN (81721M109) • | 05/09/2013 | 08/23/2013 | 28 00 | 639 93 | 0 00 | 802 67 | (162 74) |
| VENTAS INC CMN (92276F100) | 05/09/2013 | 09/11/2013 | 7 00 | 428 24 | 0 00 | 554 68 | (126 44) |
| MACERICH COMPANY CMN (554382101) | 05/09/2013 | 09/12/2013 | 11 00 | 628 14 | 0 00 | 768 46 | (140 32) |
| PEBBLEBROOK HOTEL TRUST CMN (70509V100) | 05/09/2013 | 09/12/2013 | 22 00 | 615 77 | 0 00 | 612 23 | 3 54 |
| VENTAS INC CMN (92276F100) | 05/09/2013 | 09/19/2013 | 7 00 | 454 97 | 0 00 | 554 68 | (99 71) |
| VENTAS INC CMN (92276F100) | 05/09/2013 | 09/27/2013 | 6 00 | 374 42 | 0 00 | 475 44 | (101 02) |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 05/09/2013 | 10/03/2013 | 8 00 | 847 04 | 0 00 | 880 88 | (33 84) |
| MACERICH COMPANY CMN (554382101) | 05/09/2013 | 10/08/2013 | 15 00 | 838 16 | 0 00 | 1 047 90 | (209 74) |
| FEDERAL RLTY INVT TR SBI CMN (313747206) | 07/03/2013 | 10/10/2013 | 2 00 | 206 35 | 0 00 | 206 86 | (0 51) |
| GENERAL GROWTH PROPERTIES INC CMN (370023103) | 05/09/2013 | 10/10/2013 | 44 00 | 875 58 | 0 00 | 1 007 60 | (132 02) |
| RLJ LODGING TRUST CMN (74965L101) | 07/03/2013 | 10/10/2013 | 15 00 | 352 87 | 0 00 | 338 78 | 14 09 |
| SL GREEN REALTY CORP CMN (78440X101) | 05/09/2013 | 10/10/2013 | 3 00 | 267 91 | 0 00 | 270 84 | (2 93) |
| EQUITY RESIDENTIAL CMN (29476L107) | 05/09/2013 | 10/11/2013 | 15 00 | 801 91 | 0 00 | 860 02 | (58 11) |
| SENIOR HOUSING PROPERTIES TR CMN (81721M109) • | 05/09/2013 | 10/14/2013 | 36 00 | 863 28 | 0 00 | 1 032 01 | (168 73) |
| VENTAS INC CMN (92276F100) | 05/09/2013 | 10/21/2013 | 5 00 | 323 24 | 0 00 | 396 20 | (72 96) |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 05/09/2013 | 10/22/2013 | 2 00 | 218 18 | 0 00 | 220 22 | (2 04) |

[1] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [b] | Total accretion (Amortization) | Cost Basis [a] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Short-Term Gains (Losses)** | | | | | | | |
| CUBESMART CMN (229663109) | 07/03/2013 | 10/23/2013 | 18 00 | 341 33 | 0 00 | 292 14 | 49 19 |
| EQUITY RESIDENTIAL CMN (29476L107) | 05/09/2013 | 10/23/2013 | 14 00 | 775 56 | 0 00 | 802 69 | (27 13) |
| HOST HOTELS & RESORTS INC CMN (44107P104) | 05/09/2013 | 10/24/2013 | 61 00 | 1 135 56 | 0 00 | 1 118 74 | 16 82 |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 05/09/2013 | 10/28/2013 | 2 00 | 217 20 | 0 00 | 220 22 | (3 02) |
| EQUITY RESIDENTIAL CMN (29476L107) | 05/09/2013 | 10/28/2013 | 7 00 | 379 33 | 0 00 | 401 34 | (22 01) |
| EQUITY RESIDENTIAL CMN (29476L107) | 07/03/2013 | 10/28/2013 | 20 00 | 1 083 82 | 0 00 | 1 150 80 | (66 98) |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 05/09/2013 | 10/30/2013 | 4 00 | 420 33 | 0 00 | 440 44 | (20 11) |
| CUBESMART CMN (229663109) | 07/03/2013 | 10/31/2013 | 14 00 | 256 64 | 0 00 | 227 22 | 29 42 |
| MACERICH COMPANY CMN (554382101) | 05/09/2013 | 10/31/2013 | 2 00 | 118 34 | 0 00 | 139 72 | (21 38) |
| HCP INC CMN (40414L109) | 05/23/2013 | 11/06/2013 | 15 00 | 616 49 | 0 00 | 786 20 | (169 71) |
| HEALTH CARE REIT INC (DEL) CMN (42217K106) | 05/09/2013 | 11/25/2013 | 6 00 | 342 99 | 0 00 | 441 97 | (98 98) |
| HCP INC CMN (40414L109) | 05/23/2013 | 11/26/2013 | 7 00 | 262 30 | 0 00 | 366 89 | (104 59) |
| HCP INC CMN (40414L109) | 07/03/2013 | 11/26/2013 | 8 00 | 299 78 | 0 00 | 360 98 | (61 20) |
| HCP INC CMN (40414L109) | 08/09/2013 | 11/26/2013 | 17 00 | 637 03 | 0 00 | 724 99 | (87 96) |
| CUBESMART CMN (229663109) | 07/03/2013 | 12/10/2013 | 18 00 | 284 96 | 0 00 | 292 14 | (7 18) |
| DDR CORP CMN (23317H102) | 05/09/2013 | 12/11/2013 | 33 00 | 514 00 | 0 00 | 620 34 | (106 34) |
| BOSTON PROPERTIES INC COMMON STOCK (101121101) | 05/09/2013 | 12/17/2013 | 4 00 | 400 07 | 0 00 | 440 44 | (40 37) |
| MACERICH COMPANY CMN (554382101) | 05/09/2013 | 12/17/2013 | 6 00 | 352 77 | 0 00 | 419 16 | (66 39) |
| UDR INC CMN (902653104) | 05/09/2013 | 12/18/2013 | 11 00 | 256 00 | 0 00 | 277 42 | (21 42) |
| CUBESMART CMN (229663109) | 07/03/2013 | 12/20/2013 | 15 00 | 239 82 | 0 00 | 243 45 | (3 63) |
| **Net NonCovered Short-Term Gains (Losses)** | | | | **45,643.77** | **0 00** | **50,698.20** | **(5,054 43)** |

[a] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[b] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | (814 73) | Net Covered Long-Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 0 00 | Net NonCovered Long Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **(814.73)** | **Total Long-Term Gains (Losses)** | **0.00** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| HSBC HOLDINGS PLC SPONSORED ADR CMN (404280406) | 05/09/2013 | 06/05/2013 | 23 00 | 1,268 85 | 0 00 | 1,299 73 | (30 88) |
| ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (042068106) | 05/09/2013 | 06/18/2013 | 42 00 | 1,664 14 | 0 00 | 2,079 03 | (414 89) |
| AIR LIQUIDE ADR ADR CMN (009126202) | 05/09/2013 | 07/24/2013 | 23 00 | 596 54 | 0 00 | 589 06 | 7 48 |
| LONZA GROUP AG UNSPONSORED ADR CMN (54338V101) | 05/09/2013 | 09/12/2013 | 210 00 | 1,683 12 | 0 00 | 1,577 10 | 106 02 |
| POTASH CORP OF SASKATCHEWAN INC (73755L107) | 05/09/2013 | 09/19/2013 | 47 00 | 1,501 95 | 0 00 | 2,049 20 | (547 25) |
| XINYI GLASS HLDGS LTD ADR CMN (98418R100) | 05/09/2013 | 09/19/2013 | 41 00 | 735 44 | 0 00 | 611 31 | 124 13 |
| LONZA GROUP AG UNSPONSORED ADR CMN (54338V101) | 05/09/2013 | 10/01/2013 | 49 00 | 400 26 | 0 00 | 367 99 | 32 27 |
| LONZA GROUP AG UNSPONSORED ADR CMN (54338V101) | 08/05/2013 | 10/01/2013 | 98 00 | 800 51 | 0 00 | 760 48 | 40 03 |
| FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (358029106) | 05/09/2013 | 10/07/2013 | 43 00 | 1,387 55 | 0 00 | 1,519 19 | (131 64) |
| **Net Covered Short-Term Gains (Losses)** | | | | **10,038.36** | **0.00** | **10,853.09** | **(814.73)** |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
LAZARD EMERGING MARKETS
970 GOLFVIEW CT
ROCHESTER HLS MI 48307-1007

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 46-1547854
Account Number 272 024770 129

**Customer Service: 866-324-6088**

**This information is NOT being furnished to the Internal Revenue Service. It is provided to you for informational purposes only.**

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

**OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| AMERICA MOVIL SA DE CV ADR L | CUSIP· 02364W105 | | Symbol (Box 1d):AMX | | | | | |
| | 60 000 | 04/24/13 | 07/08/13 | $1,260 43 | $1,273 79 | $0 00 | ($13 36) | $0 00 |
| BAIDU INC ADS | CUSIP. 056752108 | | Symbol (Box 1d):BIDU | | | | | |
| | 12 000 | 04/24/13 | 09/13/13 | $1,716 51 | $1,056 18 | $0 00 | $660 33 | $0 00 |
| CIELO SA SPONSORED ADR NEW | CUSIP. 171778202 | | Symbol (Box 1d):CIOXY | | | | | |
| | 0 800 | 04/24/13 | 05/09/13 | $20 73 | $21 41 | $0 00 | ($0 68) | $0 00 |
| PT TELEKOMUNIKASI INDONESIA | CUSIP. 715684106 | | Symbol (Box 1d):TLK | | | | | |
| | 17 000 | 04/24/13 | 06/11/13 | $681 30 | $826 03 | $0 00 | ($144 73) | $0 00 |
| TURKCELL ILETISM HIZM AS NEW | CUSIP: 900111204 | | Symbol (Box 1d):TKC | | | | | |
| | 1 000 | 04/24/13 | 04/25/13 | $14 81 | $15 16 | $0 00 | ($0 35) | $0 00 |
| | 1.000 | 04/24/13 | 04/30/13 | $15.22 | $15.16 | $0.00 | $0.06 | $0.00 |
| Security Subtotal | 2.000 | | | $30.03 | $30.32 | $0 00 | ($0.29) | $0.00 |
| VALE S A SP | CUSIP: 91912E204 | | Symbol (Box 1d):VALE'P | | | | | |
| | 32 000 | 04/24/13 | 08/19/13 | $428 08 | $512 32 | $0 00 | ($84 24) | $0 00 |
| **Total Short Term Covered Securities** | | | | **$4,137.08** | **$3,720.05** | **$0.00** | **$417.03** | **$0.00** |

### Form 1099-B Total Reportable Amounts

| | | | | |
|---|---|---|---|---|
| **Total Sales Price (Box 2a)** | **$4,137.08** | | | |
| **Total Cost or Other Basis (Box 3)** | | **$3,720.05** | | |
| **Total Wash Sale Loss Disallowed (Box 5)** | | | **$0.00** | |
| **Total Fed Tax Withheld (Box 4)** | | | | **$0.00** |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**



064829 MS13OA01 395534

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
CLEARBRIDGE MULTI CAP GROWTH
970 GOLFVIEW CT
ROCHESTER HLS MI 48307-1007

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 46-1547854
Account Number 272 023650 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| AUTODESK INC DELAWARE | CUSIP. 052769106 | Symbol (Box 1d): ADSK | | | | | | |
| | 7 000 | 08/28/12 | 04/18/13 | $253 74 | $220 28 | $0 00 | $33 46 | $0 00 |
| SEAGATE TECHNOLOGY PLC | CUSIP: G7945M107 | Symbol (Box 1d): STX | | | | | | |
| | 19 000 | 10/12/12 | 04/18/13 | $657 91 | $526 58 | $0 00 | $131 33 | $0 00 |
| **Total Short Term Covered Securities** | | | | **$911.65** | **$746.86** | **$0.00** | **$164.79** | **$0.00** |

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
CLEARBRIDGE MULTI CAP GROWTH
970 GOLFVIEW CT
ROCHESTER HLS MI 48307-1007

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 46-1547854
Account Number: 272 023850 129

**Customer Service: 866-324-6088**

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| BROADCOM CORP CL A | CUSIP: 111320107 | Symbol (Box 1d): BRCM | | | | | | |
| | 35 000 | 03/09/11 | 04/18/13 | $1,125.23 | $1,401 37 | $0 00 | ($276 14) | $0 00 |
| **Total Long Term Covered Securities** | | | | **$1,125.23** | **$1,401.37** | **$0.00** | **($276.14)** | **$0.00** |

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
CLEARBRIDGE MULTI CAP GROWTH
970 GOLFVIEW CT
ROCHESTER HLS MI 48307-1007

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 46-1547854
Account Number 272 023650 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| ADVENT SOFTWARE INC | CUSIP. 007974108 | | Symbol (Box 1d): ADVS | | | | | |
| | 240 000 | 09/17/04 | 04/18/13 | $6,284 71 | $2 038 80 | $0 00 | $4 245 91 | $0 00 |
| | 50 000 | 05/27/05 | 04/18/13 | $1,309 31 | $501 50 | $0 00 | $807 81 | $0 00 |
| | 20 000 | 05/27/05 | 06/13/13 | $660 03 | $200 60 | $0 00 | $459 43 | $0 00 |
| | 100 000 | 06/09/05 | 06/13/13 | $3,300.16 | $994 00 | $0 00 | $2,306 16 | $0 00 |
| Security Subtotal | 410 000 | | | $11,554.21 | $3,734.90 | $0.00 | $7,819.31 | $0 00 |
| AMC NETWORKS INC CL A | CUSIP· 00164V103 | | Symbol (Box 1d): AMCX | | | | | |
| | 45 000 | 09/17/04 | 04/18/13 | $2,861 03 | $427 59 | $0 00 | $2,433 44 | $0 00 |
| ANADARKO PETE | CUSIP. 032511107 | | Symbol (Box 1d): APC | | | | | |
| | 80 000 | 05/27/05 | 04/18/13 | $6,456 65 | $3,016 40 | $0 00 | $3 440 25 | $0 00 |
| | 40 000 | 08/09/05 | 04/18/13 | $3,228 33 | $1,531 00 | $0 00 | $1,697 33 | $0 00 |
| | 55.000 | 06/28/06 | 04/18/13 | $4,438.95 | $2,449.79 | $0.00 | $1,989.16 | $0.00 |
| Security Subtotal | 175 000 | | | $14,123.93 | $6,997.19 | $0.00 | $7,126.74 | $0.00 |
| AUTODESK INC DELAWARE | CUSIP: 052769106 | | Symbol (Box 1d): ADSK | | | | | |
| | 70 000 | 01/24/05 | 04/18/13 | $2,537 44 | $1,942 67 | $0 00 | $594 77 | $0 00 |
| | 40 000 | 06/09/05 | 04/18/13 | $1,449 97 | $1,491 92 | $0 00 | ($41 95) | $0 00 |
| | 58.000 | 08/01/08 | 04/18/13 | $2,102.45 | $1,875.24 | $0.00 | $227.21 | $0.00 |
| Security Subtotal | 168.000 | | | $6,089.86 | $5,309 83 | $0.00 | $780.03 | $0.00 |
| BIOGEN IDEC INC | CUSIP: 09062X103 | | Symbol (Box 1d): BIIB | | | | | |
| | 10 000 | 01/27/05 | 04/18/13 | $2,000 59 | $643 80 | $0 00 | $1,356 79 | $0 00 |
| | 20 000 | 03/16/05 | 04/18/13 | $4,001 17 | $741 40 | $0 00 | $3 259 77 | $0 00 |
| | 30 000 | 04/12/05 | 04/18/13 | $6,001 76 | $1,091 69 | $0 00 | $4,910 07 | $0 00 |
| | 55 000 | 05/27/05 | 04/18/13 | $11,003 23 | $2,151 05 | $0 00 | $8,852 18 | $0 00 |

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**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
CLEARBRIDGE MULTI CAP GROWTH
970 GOLFVIEW CT
ROCHESTER HLS MI 48307-1007

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number: 46-1547854
Account Number: 272 023650 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities #** (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )
**(Continued)**

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| BIOGEN IDEC INC | 50.000 | 06/08/05 | 04/18/13 | $10,002 93 | $1,691 74 | $0 00 | $8,311 19 | $0 00 |
| | 25.000 | 06/09/05 | 04/18/13 | $5,001.47 | $864.50 | $0.00 | $4,136.97 | $0.00 |
| Security Subtotal | 190.000 | | | $38,011.15 | $7,184.18 | $0.00 | $30,826.97 | $0 00 |
| BROADCOM CORP CL A | CUSIP: 111320107 | Symbol (Box 1d): BRCM | | | | | | |
| | 30 000 | 07/13/06 | 04/18/13 | $964 48 | $805 39 | $0 00 | $159 09 | $0 00 |
| | 10.000 | 07/14/06 | 04/18/13 | $321.49 | $271.88 | $0.00 | $49.61 | $0.00 |
| Security Subtotal | 40.000 | | | $1,285.97 | $1,077.27 | $0.00 | $208 70 | $0.00 |
| COMCAST CORP CL A SPECIAL NEW | CUSIP: 20030N200 | Symbol (Box 1d): CMCSK | | | | | | |
| | 269 806 | 09/17/04 | 04/18/13 | $10,380 12 | $5,029 19 | $0 00 | $5,350 93 | $0 00 |
| | 247 322 | 05/27/05 | 04/18/13 | $9,515 11 | $5,142 66 | $0 00 | $4,372 45 | $0 00 |
| | 72.872 | 06/09/05 | 04/18/13 | $2,803.57 | $1,502 62 | $0.00 | $1,300.95 | $0.00 |
| Security Subtotal | 590.000 | | | $22,698.80 | $11,674.47 | $0.00 | $11,024 33 | $0.00 |
| COVIDIEN PLC NEW | CUSIP: G2554F113 | Symbol (Box 1d): COV | | | | | | |
| | 41 081 | 09/17/04 | 04/18/13 | $2,712 52 | $1,603 82 | $0 00 | $1,108 70 | $0 00 |
| | 18.919 | 05/27/05 | 04/18/13 | $1,249.19 | $701 60 | $0.00 | $547.59 | $0.00 |
| Security Subtotal | 60.000 | | | $3,961.71 | $2,305.42 | $0 00 | $1,656.29 | $0.00 |
| CREE RESEARCH INC | CUSIP: 225447101 | Symbol (Box 1d): CREE | | | | | | |
| | 38 000 | 09/17/04 | 04/18/13 | $2,047 78 | $1,071 22 | $0 00 | $976 56 | $0 00 |
| | 25 000 | 03/23/05 | 04/18/13 | $1,347 22 | $545 66 | $0 00 | $801 56 | $0 00 |
| | 22.000 | 06/09/05 | 04/18/13 | $1,185.55 | $592.46 | $0.00 | $593.09 | $0.00 |
| Security Subtotal | 85.000 | | | $4,580.55 | $2,209.34 | $0.00 | $2,371.21 | $0.00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
CLEARBRIDGE MULTI CAP GROWTH
970 GOLFVIEW CT
ROCHESTER HLS MI 48307-1007

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 46-1547854
Account Number 272 023650 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities #** (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked ) **(Continued)**

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| DIRECTV COM | CUSIP. 25490A309 | | Symbol (Box 1d): DTV | | | | | |
| | 114 000 | 09/17/04 | 04/18/13 | $6,151 30 | $1,369 60 | $0 00 | $4,781 70 | $0 00 |
| | 63 000 | 05/27/05 | 04/18/13 | $3,399 40 | $906 54 | $0 00 | $2,492 86 | $0 00 |
| | 3.000 | 06/09/05 | 04/18/13 | $161 88 | $43 35 | $0.00 | $118.53 | $0.00 |
| Security Subtotal | 180.000 | | | $9,712.58 | $2,319.49 | $0.00 | $7,393.09 | $0.00 |
| FLUOR CORP NEW | CUSIP. 343412102 | | Symbol (Box 1d): FLR | | | | | |
| | 25 000 | 11/19/08 | 04/18/13 | $1,371 21 | $830 99 | $0 00 | $540 22 | $0 00 |
| FOREST LABORATORIES INC | CUSIP. 345838106 | | Symbol (Box 1d): FRX | | | | | |
| | 115 000 | 09/17/04 | 04/18/13 | $4,089 31 | $5,123 25 | $0 00 | ($1,033 94) | $0 00 |
| | 60.000 | 05/27/05 | 04/18/13 | $2,133 55 | $2,322.00 | $0.00 | ($188 45) | $0.00 |
| Security Subtotal | 175.000 | | | $6,222.86 | $7,445.25 | $0.00 | ($1,222.39) | $0.00 |
| L-3 COMMUNICATIONS HOLDING INC | CUSIP. 502424104 | | Symbol (Box 1d): LLL | | | | | |
| | 45 000 | 09/17/04 | 04/18/13 | $3,600 36 | $2,808 59 | $0 00 | $791 77 | $0 00 |
| LIBERTY INTERACTIVE CO INTER A | CUSIP. 53071M104 | | Symbol (Box 1d): LINTA | | | | | |
| | 142 500 | 09/17/04 | 04/18/13 | $2,864 19 | $2,115 73 | $0 00 | $748 46 | $0 00 |
| | 77.500 | 05/27/05 | 04/18/13 | $1,557.71 | $1,378.16 | $0.00 | $179.55 | $0.00 |
| Security Subtotal | 220.000 | | | $4,421.90 | $3,493.89 | $0.00 | $928.01 | $0.00 |
| LIBERTY MEDIA CORP SER A | CUSIP. 531229102 | | Symbol (Box 1d): LMCA | | | | | |
| | 4 000 | 06/04/08 | 04/18/13 | $432 90 | $53 70 | $0 00 | $379 20 | $0 00 |
| | 20 000 | 06/11/08 | 04/18/13 | $2,164 48 | $269 04 | $0 00 | $1,895 44 | $0 00 |
| | 73 000 | 06/26/08 | 04/18/13 | $7,900 35 | $981 94 | $0 00 | $6,918 41 | $0 00 |
| | 98.000 | 06/27/08 | 04/18/13 | $10,605.94 | $1,280.28 | $0.00 | $9,325.66 | $0.00 |
| Security Subtotal | 195.000 | | | $21,103.67 | $2,584.96 | $0.00 | $18,518.71 | $0.00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
CLEARBRIDGE MULTI CAP GROWTH
970 GOLFVIEW CT
ROCHESTER HLS MI 48307-1007

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 46-1547854
Account Number 272 023650 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked ) (Continued)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| MALLINCKRODT PUBLIC LTD CO | CUSIP: G5785G107 Symbol (Box 1d) MNK | | | | | | | |
| | 0 750 | 05/27/05 | 06/28/13 | $31 55 | $17 63 • | $0 00 | $13 92 | $0 00 |
| | 4 000 | 05/27/05 | 07/03/13 | $168 74 | $86 50 • | $0 00 | $82 24 | $0 00 |
| | 3.000 | 06/09/05 | 07/03/13 | $126 56 | $97.09 • | $0 00 | $29.47 | $0 00 |
| Security Subtotal | 7.750 | | | $326.85 | $201.22 | $0.00 | $125 63 | $0 00 |
| NATIONAL OILWELL VARCO INC | CUSIP. 637071101 Symbol (Box 1d): NOV | | | | | | | |
| | 44 375 | 09/17/04 | 04/18/13 | $2,882 09 | $2,136 55 • | $0 00 | $745 54 | $0 00 |
| | 13 312 | 05/27/05 | 04/18/13 | $864 59 | $640 96 • | $0 00 | $223 63 | $0 00 |
| | 7.313 | 06/09/05 | 04/18/13 | $474.97 | $352.11 • | $0.00 | $122.86 | $0.00 |
| Security Subtotal | 65 000 | | | $4,221.65 | $3,129.62 | $0 00 | $1,092.03 | $0.00 |
| PALL CORPORATION | CUSIP: 696429307 Symbol (Box 1d): PLL | | | | | | | |
| | 135 000 | 09/17/04 | 04/18/13 | $8,685 00 | $3,283 20 • | $0 00 | $5,401 80 | $0 00 |
| SANDISK CORP | CUSIP· 80004C101 Symbol (Box 1d)· SNDK | | | | | | | |
| | 13 000 | 09/17/04 | 04/18/13 | $691 58 | $339 04 • | $0 00 | $352 54 | $0 00 |
| | 20 000 | 11/02/04 | 04/18/13 | $1,063 98 | $421 31 • | $0 00 | $642 67 | $0 00 |
| | 30 000 | 04/27/05 | 04/18/13 | $1,595 96 | $752 65 • | $0 00 | $843 31 | $0 00 |
| | 55 000 | 05/27/05 | 04/18/13 | $2,925 93 | $1,432 75 • | $0 00 | $1,493 18 | $0 00 |
| | 10 000 | 06/07/05 | 04/18/13 | $531 99 | $248 17 • | $0 00 | $283 82 | $0 00 |
| | 60 000 | 06/09/05 | 04/18/13 | $3,191 93 | $1,467 60 • | $0 00 | $1,724 33 | $0 00 |
| | 57.000 | 07/09/08 | 04/18/13 | $3,032 33 | $995.29 • | $0 00 | $2,037 04 | $0.00 |
| Security Subtotal | 245.000 | | | $13,033.70 | $5,656 81 | $0.00 | $7,376 89 | $0.00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
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Account Number 272 023650 129

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )
**(Continued)**

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| SEAGATE TECHNOLOGY PLC | CUSIP: G7945M107 | Symbol (Box 1d). STX | | | | | | |
| | 133 172 | 09/17/04 | 04/18/13 | $4,611 31 | $1,990 40 | $0 00 | $2,620 91 | $0 00 |
| | 77 684 | 05/27/05 | 04/18/13 | $2,689 94 | $1,131 67 | $0 00 | $1,558 27 | $0 00 |
| | 35.144 | 06/09/05 | 04/18/13 | $1,216.92 | $588.88 | $0.00 | $628.04 | $0.00 |
| Security Subtotal | 246.000 | | | $8,518.17 | $3,710.95 | $0.00 | $4,807.22 | $0.00 |
| STARZ LIBRTY CAPITAL SER A | CUSIP. 85571Q102 | Symbol (Box 1d). STRZA | | | | | | |
| | 4 000 | 06/04/08 | 04/18/13 | $87 82 | $6 18 | $0 00 | $81 64 | $0 00 |
| | 20 000 | 06/11/08 | 04/18/13 | $439 08 | $30 94 | $0 00 | $408 14 | $0 00 |
| | 73 000 | 06/26/08 | 04/18/13 | $1,602 63 | $112 91 | $0 00 | $1 489 72 | $0 00 |
| | 83.000 | 06/27/08 | 04/18/13 | $1,822.17 | $124.69 | $0.00 | $1,697.48 | $0.00 |
| Security Subtotal | 180.000 | | | $3,951.70 | $274.72 | $0.00 | $3,676.98 | $0.00 |
| UNITEDHEALTH GP INC | CUSIP: 91324P102 | Symbol (Box 1d): UNH | | | | | | |
| | 200 000 | 09/17/04 | 04/18/13 | $12,002 31 | $6,908 00 | $0 00 | $5,094 31 | $0 00 |
| | 75 000 | 06/09/05 | 04/18/13 | $4,500 87 | $3 885 00 | $0 00 | $615 87 | $0 00 |
| | 35.000 | 04/29/08 | 04/18/13 | $2,100.40 | $1,168.69 | $0.00 | $931.71 | $0.00 |
| Security Subtotal | 310.000 | | | $18,603.58 | $11,961.69 | $0.00 | $6,641.89 | $0.00 |
| VERTEX PHARMACEUTICALS | CUSIP: 92532F100 | Symbol (Box 1d): VRTX | | | | | | |
| | 10 000 | 10/31/08 | 04/18/13 | $528 34 | $255 55 | $0 00 | $272 79 | $0 00 |
| | 16 000 | 11/03/08 | 04/18/13 | $845 34 | $434 54 | $0 00 | $410 80 | $0 00 |
| | 3 000 | 11/04/08 | 04/18/13 | $158 50 | $81 04 | $0 00 | $77 46 | $0 00 |
| | 2 000 | 11/05/08 | 04/18/13 | $105 67 | $54 84 | $0 00 | $50 83 | $0 00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
CLEARBRIDGE MULTI CAP GROWTH
970 GOLFVIEW CT
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities** # (Consider Box 6e (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked ) **(Continued)**

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| VERTEX PHARMACEUTICALS | 10 000 | 11/11/08 | 04/18/13 | $528 34 | $269 62 * | $0 00 | $258 72 | $0 00 |
| | 9.000 | 11/12/08 | 04/18/13 | $475.51 | $240.56 * | $0.00 | $234.95 | $0.00 |
| Security Subtotal | 50.000 | | | $2,841.70 | $1,336.15 | $0.00 | $1,305.55 | $0.00 |
| **Total Long Term Noncovered Securities** | | | | **$211,582 14** | **$89,957.72** | **$0.00** | **$121,624 42** | **$0.00** |
| **Total Long Term Covered and Noncovered Securities** | | | | **$212,707.37** | **$91,359.09** | **$0.00** | **$121,348.28** | **$0.00** |
| **Total Short and Long Term, Covered and Noncovered Securities** | | | | **$213,619.02** | **$92,105.95** | **$0.00** | **$121,513 07** | **$0.00** |

### Form 1099-B Total Reportable Amounts

| | | | | | |
|---|---|---|---|---|---|
| **Total Sales Price (Box 2a)** | **$213,619.02** | | | | |
| **Total Cost or Other Basis (Box 3)** | | **$2,148.23** | | | |
| **Total Wash Sale Loss Disallowed (Box 5)** | | | **$0 00** | | |
| **Total Fed Tax Withheld (Box 4)** | | | | | **$0 00** |

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
GW CAPITAL SMID VALUE
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ROCHESTER HLS MI 48307-1007

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**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Covered Securities** (Consider Box 6o (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| COMPASS MINERALS INTER INC | CUSIP. 20451N101 | | Symbol (Box 1d): CMP | | | | | |
| | 5 000 | 08/08/12 | 01/11/13 | $366 85 * | $360 77 * | $0 00 | $6 08 | $0 00 |
| | 10.000 | 08/14/12 | 01/11/13 | $733.71 * | $717.47 * | $0.00 | $16.24 | $0 00 |
| Security Subtotal | 15.000 | | | $1,100.56 | $1,078.24 | $0.00 | $22.32 | $0.00 |
| FIRST AMERICAN FINL CORP | CUSIP. 31847R102 | | Symbol (Box 1d). FAF | | | | | |
| | 70 000 | 08/20/12 | 07/09/13 | $1,553 67 * | $1,350 80 | $0 00 | $202 87 | $0 00 |
| LIVE NATION ENTERTAINMENT INC | CUSIP: 538034109 | | Symbol (Box 1d)· LYV | | | | | |
| | 95 000 | 03/05/13 | 12/16/13 | $1,782 10 * | $1,046 95 | $0 00 | $735 15 | $0 00 |
| OIL STATES INTL INC | CUSIP: 678026105 | | Symbol (Box 1d): OIS | | | | | |
| | 15 000 | 09/25/12 | 05/14/13 | $1,497 02 * | $1,236 82 | $0 00 | $260 20 | $0 00 |
| WILEY JOHN & SON CL A | CUSIP· 968223206 | | Symbol (Box 1d): JWA | | | | | |
| | 35 000 | 04/18/12 | 03/22/13 | $1 359 15 * | $1,621 28 | $0 00 | ($262 13) | $0 00 |
| | 5 000 | 04/18/12 | 03/25/13 | $193 88 * | $231 61 | $0 00 | ($37 73) | $0 00 |
| | 5 000 | 05/14/12 | 03/25/13 | $193 88 * | $225 42 | $0 00 | ($31 54) | $0 00 |
| | 25.000 | 05/24/12 | 03/25/13 | $969 39 * | $1,127 72 | $0 00 | ($158 33) | $0 00 |
| Security Subtotal | 70.000 | | | $2,716.30 | $3,206.03 | $0.00 | ($489.73) | $0.00 |
| **Total Short Term Covered Securities** | | | | **$8,649.65** | **$7,918.84** | **$0.00** | **$730.81** | **$0.00** |

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**



064828 MS13OA01 395528

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part II with box D checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| ASHLAND INC NEW | CUSIP· 044209104 | Symbol (Box 1d): ASH | | | | | | |
| | 30 000 | 03/16/11 | 07/23/13 | $2,649 88 • | $1,665 22 | $0 00 | $984 66 | $0 00 |
| ASPEN INSURANCE HLDGS LTD | CUSIP· G05384105 | Symbol (Box 1d): AHL | | | | | | |
| | 70 000 | 08/05/11 | 08/14/13 | $2 562 31 • | $1,741 87 | $0 00 | $820 44 | $0 00 |
| | 65 000 | 08/10/11 | 08/14/13 | $2,379 28 • | $1,612 01 | $0 00 | $767 27 | $0 00 |
| Security Subtotal | 135.000 | | | $4,941.59 | $3,353.88 | $0.00 | $1,587 71 | $0.00 |
| COMPASS MINERALS INTER INC | CUSIP: 20451N101 | Symbol (Box 1d): CMP | | | | | | |
| | 15 000 | 10/21/11 | 01/11/13 | $1,100 56 • | $1,089 75 • | $0 00 | $10 81 | $0 00 |
| FOREST OIL CP 0 01 | CUSIP. 346091705 | Symbol (Box 1d)· FST | | | | | | |
| | 12 000 | 06/16/11 | 12/10/13 | $44 81 • | $225 24 | $0 00 | ($180 43) | $0 00 |
| | 10 000 | 06/17/11 | 12/10/13 | $37 34 • | $188 14 | $0 00 | ($150 80) | $0 00 |
| | 140 000 | 11/01/11 | 12/10/13 | $522 81 • | $1,578 82 | $0 00 | ($1,056 01) | $0 00 |
| | 115 000 | 05/09/12 | 12/10/13 | $429 45 • | $1,260 42 | $0 00 | ($830 97) | $0 00 |
| | 6.000 | 05/14/12 | 12/10/13 | $22.41 • | $60.98 | $0.00 | ($38.57) | $0.00 |
| Security Subtotal | 283.000 | | | $1,056.82 | $3,313.60 | $0.00 | ($2,256.78) | $0.00 |
| GENWORTH FINANCIAL INC CL A | CUSIP· 37247D106 | Symbol (Box 1d): GNW | | | | | | |
| | 110 000 | 02/03/12 | 08/18/13 | $1,245 04 • | $1,009 86 | $0 00 | $235 18 | $0 00 |
| | 99.000 | 02/03/12 | 11/04/13 | $1,437.05 • | $908.87 | $0.00 | $528.18 | $0.00 |
| Security Subtotal | 209.000 | | | $2,682.09 | $1,918.73 | $0.00 | $763.36 | $0.00 |
| **Total Long Term Covered Securities** | | | | $12,430.94 | $11,341.18 | $0.00 | $1,089.76 | $0.00 |

IMPORTANT TAX INFORMATION – PLEASE RETAIN FOR YOUR RECORDS



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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
GW CAPITAL SMID VALUE
970 GOLFVIEW CT
ROCHESTER HLS MI 48307-1007

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number 46-1547854
Account Number 272 023653 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS — OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities** # (Consider Box 8a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| CNO FINL GROUP INC COM | CUSIP: 12621E103 | Symbol (Box 1d): CNO | | | | | | |
| | 95 000 | 02/05/10 | 09/13/13 | $1,363 82 • | $411 55 | $0 00 | $952 27 | $0 00 |
| | 99 000 | 02/10/10 | 09/13/13 | $1,421.25 • | $435 72 | $0.00 | $985.53 | $0.00 |
| Security Subtotal | 194.000 | | | $2,785.07 | $847.27 | $0.00 | $1,937 80 | $0.00 |
| FOREST OIL CP 0 01 | CUSIP: 346091705 | Symbol (Box 1d): FST | | | | | | |
| | 125 000 | 03/19/10 | 12/10/13 | $466 79 • | $2 451 64 | $0 00 | ($1,984 85) | $0 00 |
| HARRIS CORPORATION DELAWARE | CUSIP: 413875105 | Symbol (Box 1d): HRS | | | | | | |
| | 43 000 | 12/01/08 | 04/16/13 | $1,818 45 • | $1,395 96 | $0 00 | $422 49 | $0 00 |
| | 17 000 | 12/01/08 | 04/24/13 | $738 16 • | $551 89 | $0 00 | $186 27 | $0 00 |
| | 5 000 | 05/08/09 | 04/24/13 | $217 11 • | $144 76 | $0 00 | $72 35 | $0 00 |
| | 25.000 | 06/18/09 | 04/24/13 | $1,085.53 • | $726.54 | $0.00 | $358.99 | $0.00 |
| Security Subtotal | 90.000 | | | $3,859.25 | $2,819.15 | $0 00 | $1,040.10 | $0 00 |
| HERTZ GLOBAL HOLDINGS, INC | CUSIP: 42805T105 | Symbol (Box 1d): HTZ | | | | | | |
| | 110 000 | 05/20/10 | 04/23/13 | $2,557 68 • | $1,147 98 | $0 00 | $1,409 70 | $0 00 |
| OMEGA HEALTHCARE INV INC | CUSIP: 681936100 | Symbol (Box 1d): OHI | | | | | | |
| | 75 000 | 08/25/08 | 01/17/13 | $1,884 69 • | $1,188 75 • | $0 00 | $695 94 | $0 00 |
| ONEOK INC NEW | CUSIP: 682680103 | Symbol (Box 1d): OKE | | | | | | |
| | 65 000 | 08/25/08 | 07/25/13 | $3,255 47 • | $1,405 27 | $0 00 | $1,850 20 | $0 00 |
| SLM CORPORATION | CUSIP: 78442P106 | Symbol (Box 1d): SLM | | | | | | |
| | 42 000 | 04/15/09 | 12/10/13 | $1,107 40 • | $249 82 | $0 00 | $857 58 | $0 00 |
| TIDEWATER INC | CUSIP: 886423102 | Symbol (Box 1d): TDW | | | | | | |
| | 31 000 | 08/25/08 | 10/21/13 | $1,930 36 • | $1,769 79 | $0 00 | $160 57 | $0 00 |

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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
GW CAPITAL SMID VALUE
970 GOLFVIEW CT
ROCHESTER HLS MI 48307-1007

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number 26-4310632

Taxpayer ID Number: 46-1547854
Account Number 272 023653 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Long Term - Noncovered Securities** # (Consider Box 6a (Noncovered Security) as being checked for this section These transactions should be reported on Form 8949 Part II with box E checked ) (Continued)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| WEYERHAEUSER CO | CUSIP: 962166104 | | Symbol (Box 1d): WY | | | | | |
| | 35 000 | 05/27/09 | 01/22/13 | $1,078 16 • | $1.148 51 • | $0 00 | ($70 35) | $0 00 |
| | 30 000 | 06/25/09 | 01/22/13 | $924 13 • | $915 00 • | $0 00 | $9 13 | $0 00 |
| | 110.000 | 07/22/10 | 01/22/13 | $3,388.49 • | $1,709.36 • | $0.00 | $1,679 13 | $0.00 |
| Security Subtotal | 175.000 | | | $5,390.78 | $3,772.87 | $0.00 | $1,617.91 | $0.00 |
| WILLIAMS CO INC | CUSIP: 969457100 | | Symbol (Box 1d): WMB | | | | | |
| | 13 000 | 01/15/09 | 12/10/13 | $461 61 • | $148 56 | $0 00 | $313 05 | $0 00 |
| **Total Long Term Noncovered Securities** | | | | $23,699 10 | $15,801.10 | $0.00 | $7,898.00 | $0.00 |
| **Total Long Term Covered and Noncovered Securities** | | | | $36,130.04 | $27,142.28 | $0.00 | $8,987.76 | $0.00 |
| **Total Short and Long Term, Covered and Noncovered Securities** | | | | $44,779.69 | $35,061.12 | $0.00 | $9,718.57 | $0.00 |

### Form 1099-B Total Reportable Amounts

| | | | | |
|---|---|---|---|---|
| **Total Sales Price (Box 2a)** | $44,779.69 | | | |
| **Total Cost or Other Basis (Box 3)** | | $19,260.02 | | |
| **Total Wash Sale Loss Disallowed (Box 5)** | | | $0.00 | |
| **Total Fed Tax Withheld (Box 4)** | | | | $0.00 |

# Noncovered securities are not subject to the IRS cost basis reporting regulations, therefore their date of acquisition, cost basis, short or long term designation and any disallowed loss resulting from a wash sale will not be reported to the IRS.

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
APEX SMID GROWTH
970 GOLFVIEW CT
ROCHESTER HLS MI 48307-1007

Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
8th Floor
New York, NY 10004
Identification Number: 26-4310632

Taxpayer ID Number 46-1547854
Account Number 272 024771 129

**Customer Service: 866-324-6088**

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

**OMB NO. 1545-0715**

### Gross Proceeds less commissions and option premiums on stocks, bonds, etc.

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| AKAMAI TECHNOLOGIES INC | CUSIP: 00971T101 | | Symbol (Box 1d): AKAM | | | | | |
| | 40 000 | 04/24/13 | 12/04/13 | $1,839 30 • | $1,411 20 • | $0 00 | $428 10 | $0 00 |
| COINSTAR INC | CUSIP: 19259P300 | | Symbol (Box 1d): | | | | | |
| | 19 000 | 04/24/13 | 05/09/13 | $1,058 69 • | $1,012 51 • | $0 00 | $46 18 | $0 00 |
| DICE HOLDINGS INC | CUSIP: 253017107 | | Symbol (Box 1d): DHX | | | | | |
| | 50 000 | 04/24/13 | 06/03/13 | $455 35 • | $437 50 • | $0 00 | $17 85 | $0 00 |
| DSW INC CL A | CUSIP: 23334L102 | | Symbol (Box 1d): DSW | | | | | |
| | 15 000 | 04/24/13 | 10/18/13 | $1,213 02 • | $943 95 • | $0 00 | $269 07 | $0 00 |
| EAGLE MATLS INC | CUSIP: 26969P108 | | Symbol (Box 1d): EXP | | | | | |
| | 21 000 | 04/24/13 | 07/03/13 | $1,384 46 • | $1,367 94 • | $0 00 | $16 52 | $0 00 |
| GENOMIC HEALTH INC | CUSIP: 37244C101 | | Symbol (Box 1d): GHDX | | | | | |
| | 22 000 | 04/24/13 | 12/19/13 | $663 66 • | $660 88 • | $0 00 | $2 78 | $0 00 |
| HERTZ GLOBAL HOLDINGS, INC | CUSIP: 42805T105 | | Symbol (Box 1d): HTZ | | | | | |
| | 121 000 | 04/24/13 | 09/18/13 | $3,231 79 • | $2,808 62 • | $0 00 | $423 17 | $0 00 |
| ILLUMINA INC | CUSIP: 452327109 | | Symbol (Box 1d): ILMN | | | | | |
| | 40 000 | 04/24/13 | 12/20/13 | $4,164 68 • | $2,500 80 • | $0 00 | $1,663 88 | $0 00 |
| INCYTE CORP | CUSIP: 45337C102 | | Symbol (Box 1d): INCY | | | | | |
| | 14 000 | 04/24/13 | 11/27/13 | $650 91 • | $308 84 • | $0 00 | $342 07 | $0 00 |
| IXIA | CUSIP: 45071R109 | | Symbol (Box 1d): XXIA | | | | | |
| | 64 000 | 04/24/13 | 12/17/13 | $809 23 • | $1,029 12 • | $0 00 | ($219 89) | $0 00 |

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IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



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Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
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ROCHESTER HLS MI 48307-1007

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )
**(Continued)**

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| JABIL CIRCUIT INC | CUSIP: 466313103 | | Symbol (Box 1d): JBL | | | | | |
| | 70 000 | 04/24/13 | 12/04/13 | $1,397 63 • | $1,189 30 • | $0 00 | $208 33 | $0 00 |
| JARDEN CORP | CUSIP. 471109108 | | Symbol (Box 1d): JAH | | | | | |
| | 49 000 | 04/24/13 | 10/03/13 | $2,354 26 • | $2,115 33 • | $0 00 | $238 93 | $0 00 |
| LENDER PROCESSING SERV | CUSIP: 52602E102 | | Symbol (Box 1d). | | | | | |
| | 42 000 | 04/24/13 | 07/11/13 | $1,349 86 • | $1,063 02 • | $0 00 | $286 84 | $0 00 |
| LKQ CORPORATION | CUSIP: 501889208 | | Symbol (Box 1d): LKQ | | | | | |
| | 27 000 | 04/24/13 | 12/04/13 | $878 11 • | $572 94 • | $0 00 | $305 17 | $0 00 |
| | 7.000 | 04/24/13 | 12/05/13 | $225.60 • | $148.54 • | $0.00 | $77.06 | $0.00 |
| Security Subtotal | 34.000 | | | $1,103.71 | $721.48 | $0.00 | $382 23 | $0.00 |
| ONYX PHARMACEUTICALS INC | CUSIP. 683399109 | | Symbol (Box 1d): ONXX | | | | | |
| | 12 000 | 04/24/13 | 10/08/13 | $1,500 00 • | $1,151 76 • | $0 00 | $348 24 | $0 00 |
| PANERA BREAD COMPANY CL A | CUSIP: 69840W108 | | Symbol (Box 1d): PNRA | | | | | |
| | 9 000 | 04/24/13 | 09/18/13 | $1,556 29 • | $1,570 23 • | $0 00 | ($13 94) | $0 00 |
| PAREXEL INTL CORP | CUSIP: 699462107 | | Symbol (Box 1d): PRXL | | | | | |
| | 23 000 | 04/24/13 | 12/17/13 | $947 56 • | $934 95 • | $0 00 | $12 61 | $0 00 |
| PVH CORPORATION | CUSIP: 693656100 | | Symbol (Box 1d): PVH | | | | | |
| | 10 000 | 04/24/13 | 09/18/13 | $1,240 98 • | $1,095 70 • | $0 00 | $145 28 | $0 00 |
| RACKSPACE HOSTING INC COM | CUSIP: 750086100 | | Symbol (Box 1d): RAX | | | | | |
| | 26 000 | 04/24/13 | 11/27/13 | $965 20 • | $1,173 12 • | $0 00 | ($207 92) | $0 00 |

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**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Morgan Stanley

**Corporate Tax Statement**
**Tax Year 2013**

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE
APEX SMID GROWTH
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Morgan Stanley Smith Barney Holdings LLC
1 New York Plaza
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Taxpayer ID Number 46-1547854
Account Number 272 024771 129

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## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.**

**Short Term - Covered Securities** (Consider Box 6b (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )
(Continued)

| DESCRIPTION (Box 8) | QUANTITY (Box 1e) | DATE ACQUIRED (Box 1b) | DATE OF SALE (Box 1a) | SALES PRICE (Box 2a) | ADJUSTED COST OR OTHER BASIS (Box 3) | WASH SALE LOSS DISALLOWED (Box 5) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---|---|---|---|---|---|---|---|---|
| SOLARWINDS INC | CUSIP: 83416B109 | | Symbol (Box 1d): SWI | | | | | |
| | 30 000 | 04/24/13 | 11/15/13 | $985 02 • | $1,455 90 • | $0 00 | ($490 88) | $0 00 |
| TOWERS WATSON & CO CL A | CUSIP: 891894107 | | Symbol (Box 1d): TW | | | | | |
| | 9 000 | 04/24/13 | 11/05/13 | $1,005 21 • | $650 97 • | $0 00 | $354 24 | $0 00 |
| UNITED THERAPEUTICS CORP | CUSIP: 91307C102 | | Symbol (Box 1d): UTHR | | | | | |
| | 8 000 | 04/24/13 | 11/25/13 | $744 50 • | $491 84 • | $0 00 | $252 66 | $0 00 |
| VOLCANO CORP | CUSIP. 928645100 | | Symbol (Box 1d): VOLC | | | | | |
| | 49 000 | 04/24/13 | 05/20/13 | $813 45 • | $996 17 • | $0 00 | ($182 72) | $0 00 |
| **Total Short Term Covered Securities** | | | | **$31,414.76** | **$27,091.13** | **$0.00** | **$4,323 63** | **$0.00** |

### Form 1099-B Total Reportable Amounts

| | | | | |
|---|---|---|---|---|
| **Total Sales Price (Box 2a)** | **$31,414.76** | | | |
| **Total Cost or Other Basis (Box 3)** | | **$27,091.13** | | |
| **Total Wash Sale Loss Disallowed (Box 5)** | | | **$0 00** | |
| **Total Fed Tax Withheld (Box 4)** | | | | **$0.00** |

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## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short Term Gains (Losses) | 0 00 | Net Covered Long Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short Term Gains (Losses) | 0 00 | Net NonCovered Long Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short Term Gains (Losses) | 0 00 | Net Miscellaneous Long Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short Term Gains (Losses) | 17 578 34 | Net Regulated Futures Contract Long Term Gains (Losses) | 26 367 49 | | |
| **Total Short-Term Gains (Losses)** | **17 578 34** | **Total Long-Term Gains (Losses)** | **26,367 49** | **Total Ordinary Gains (Losses)** | **0 00** |

## REGULATED FUTURES CONTRACTS GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Marked to Market Sold or Covered | Quantity | Market Value or sale proceeds | Cost Basis | Short-Term Gain (Loss) | Long-Term Gain (Loss) | Total Gain (Loss)[5] |
|---|---|---|---|---|---|---|---|---|
| **Regulated Futures Contract Gains (Losses)** | | | | | | | | |
| PUT/XSP @ 151 EXP 07/20/2013 (XSP 130720P00) | 06/12/2013 | 07/02/2013 | 1 00 | 93 00 | 22 00 | 28 40 | 42 60 | 71 00 |
| PUT/XSP @ 160 EXP 07/20/2013 (XSP 130720P00) | 05/28/2013 | 07/18/2013 | 19 00 | 2 432 00 | 54 53 | 950 99 | 1 426 48 | 2 377 47 |
| PUT/XSP @ 151 EXP 07/20/2013 (XSP 130720P00) | 06/12/2013 | 07/20/2013 | 19 00 | 1 767 00 | 0 00 | 706 80 | 1 060 20 | 1 767 00 |
| PUT/XSP @ 150 EXP 08/17/2013 (XSP 130817P00) | 06/05/2013 | 07/31/2013 | 1 00 | 161 00 | 5 00 | 62 40 | 93 60 | 156 00 |
| PUT/XSP @ 145 EXP 08/17/2013 (XSP 130817P00) | 06/24/2013 | 08/13/2013 | 21 00 | 2 268 00 | 42 00 | 890 40 | 1 335 60 | 2 226 00 |
| PUT/XSP @ 150 EXP 08/17/2013 (XSP 130817P00) | 06/05/2013 | 08/17/2013 | 19 00 | 3 059 00 | 0 00 | 1 223 60 | 1 835 40 | 3 059 00 |
| PUT/XSP @ 150 EXP 09/21/2013 (XSP 130921P00) | 07/02/2013 | 09/21/2013 | 20 00 | 3 700 00 | 0 00 | 1 480 00 | 2 220 00 | 3 700 00 |
| PUT/XSP @ 159 EXP 09/21/2013 (XSP 130921P00) | 07/18/2013 | 09/21/2013 | 19 00 | 2 299 00 | 0 00 | 919 60 | 1 379 40 | 2 299 00 |
| PUT/XSP @ 155 EXP 10/19/2013 (XSP 131019P00) | 08/21/2013 | 10/02/2013 | 1 00 | 135 00 | 20 00 | 46 00 | 69 00 | 115 00 |
| PUT/XSP @ 159 EXP 10/19/2013 (XSP 131019P00) | 07/31/2013 | 10/17/2013 | 19 00 | 2 926 00 | 57 00 | 1 147 60 | 1 721 40 | 2 869 00 |
| PUT/XSP @ 155 EXP 10/19/2013 (XSP 131019P00) | 08/21/2013 | 10/19/2013 | 18 00 | 2 430 00 | 0 00 | 972 00 | 1 458 00 | 2 430 00 |
| PUT/XSPAM @ 158 EXP 11/16/2013 (XSPAM 131116P00) | 08/13/2013 | 11/07/2013 | 1 00 | 168 00 | 2 00 | 66 40 | 99 60 | 166 00 |
| PUT/XSPAM @ 162 EXP 11/16/2013 (XSPAM 131116P00) | 10/02/2013 | 11/12/2013 | 19 00 | 2 774 00 | 38 00 | 1 094 40 | 1 641 60 | 2 736 00 |
| PUT/XSPAM @ 158 EXP 11/16/2013 (XSPAM 131116P00) | 08/13/2013 | 11/16/2013 | 18 00 | 3 024 00 | 0 00 | 1 209 60 | 1 814 40 | 3 024 00 |

[5] Regulated futures contract gain / loss is calculated as 60% long term capital gain or loss and 40% short term capital gain or loss



Goldman Sachs

## REGULATED FUTURES CONTRACTS GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Marked to Market Sold or Covered | Quantity | Market Value or sale proceeds | Cost Basis | Short-Term Gain (Loss) | Long-Term Gain (Loss) | Total Gain (Loss)[3] |
|---|---|---|---|---|---|---|---|---|
| **Regulated Futures Contract Gains (Losses)** | | | | | | | | |
| PUT/XSPAM @ 160 EXP 12/21/2013 (XSPAM 131221P00) | 09/24/2013 | 11/21/2013 | 1 00 | 173 00 | 15 00 | 63 20 | 94 80 | 158 00 |
| PUT/XSPAM @ 160 EXP 12/21/2013 (XSPAM 131221P00) | 09/24/2013 | 12/11/2013 | 18 00 | 3,114 00 | 162 00 | 1,180 80 | 1,771 20 | 2,952 00 |
| PUT/XSPAM @ 165 EXP 12/21/2013 (XSPAM 131221P00) | 10/17/2013 | 12/11/2013 | 2 00 | 272 04 | 28 00 | 97 62 | 146 42 | 244 04 |
| PUT/XSPAM @ 165 EXP 12/21/2013 (XSPAM 131221P00) | 10/17/2013 | 12/17/2013 | 16 00 | 2,176 32 | 64 00 | 844 93 | 1,267 39 | 2,112 32 |
| PUT/XSP @ 168 EXP 02/22/2014 (XSP 140222P00) | 11/21/2013 | 12/31/2013 | 0 00 | 0 00 | 1,926 00 | 770 40 | 1,155 60 | 1,926 00 |
| PUT/XSP @ 169 EXP 01/18/2014 (XSP 140118P00) | 11/07/2013 | 12/31/2013 | 0 00 | 0 00 | 3,636 00 | 1,454 40 | 2,181 60 | 3,636 00 |
| PUT/XSP @ 171 EXP 01/18/2014 (XSP 140118P00) | 11/12/2013 | 12/31/2013 | 0 00 | 0 00 | 3,222 00 | 1,288 80 | 1,933 20 | 3,222 00 |
| PUT/XSP @ 171 EXP 02/22/2014 (XSP 140222P00) | 12/11/2013 | 12/31/2013 | 0 00 | 0 00 | 2,700 00 | 1,080 00 | 1,620 00 | 2,700 00 |
| **Net Regulated Futures Contract Gains (Losses)** | | | | **32,971.36** | **11,993.53** | **17,578.34** | **26,367.49** | **43,945.83** |

[3] Regulated futures contract gain / loss is calculated as 60% long-term capital gain or loss and 40% short-term capital gain or loss

# Account Detail

Fiduciary Services Active Assets Account
272-023653-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

Investment Objectives†: Capital Appreciation, Income, Aggressive Income, Speculation

Investment Advisory Account
Manager: GW CAPITAL INC

† See the Expanded Disclosures for more information about investment objectives. Please review for accuracy and inform us if your investment objectives change

## HOLDINGS

*This section reflects positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period indicated, may not reflect the value that could be obtained in the market. See "Pricing of Securities" in the Expanded Disclosures. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated annual income and estimated yield for certain securities may include return of principal or capital gains which could overstate such estimates. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment and, it does not reflect changes in its price, which may fluctuate. Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column. Estimated accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest. If you hold structured products, see "Special Considerations Regarding Structured Products" in the Disclosures. New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance which will be reflected on your Form 1099-B. Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information*

### CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

*Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions*

| Description | Value | Estimated Annual Income | 7-Day Current Yield % | Annual Percentage Yield % |
|---|---|---|---|---|
| MS LIQUID ASSET FUND | $4,120.04 | $0 41 | 0 010 | — |
| MORGAN STANLEY BANK N.A. # | 9,680.60 | 5 00 | — | 0 050 |

| | Percentage of Assets % | Market Value | Estimated Annual Income / Accrued Interest |
|---|---|---|---|
| CASH, BDP, AND MMFs | 7.1% | $13,800.64 | $5 41<br>$0.00 |

*# Bank Deposits are held at either: (1) Morgan Stanley Bank, N A., and/or Morgan Stanley Private Bank, National Association affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national bank and FDIC member*

*Money market funds seek to maintain a share price of $1 00, therefore the dollar amounts listed equal the number of shares. Additional information concerning these transactions is available upon request. For more information about the pricing of Money Market Funds, please see the Expanded Disclosures*

*The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account*

# Account Detail

Fiduciary Services Active Assets Account
272-023653 129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| ASHLAND INC NEW (ASH) | 3/16/11 | 5 000 | $55 507 | $277 54 | $485 20 | $207 66 LT | | |
| | 3/23/ 1 | 10 000 | 57 163 | 571 63 | 970 40 | 398 77 LT | | |
| | 4/13/11 | 25 000 | 56 410 | 1 410 24 | 2 426 00 | 1 015 76 LT | | |
| | Total | 40 000 | | 2 259 41 | 3,881 60 | 1 622 19 LT | 54 40 | 1 40 |
| *Share Price $97 040 Next Dividend Payable 03/2014* | | | | | | | | |
| ASSURED GUARANTY LTD (AGO) | 1/29/'3 | 185 000 | 16 903 | 3 127 00 | 4 364 15 | 1 237 15 ST | | |
| | 8/13/13 | 56 000 | 21 984 | 1 231 12 | 1 321 04 | 89 92 ST | | |
| | Total | 241 000 | | 4 358 12 | 5,685 19 | 1 327 07 ST | 96 40 | 1 69 |
| *Share Price $23 590 Next Dividend Payable 03/2014* | | | | | | | | |
| BANKUNITED INC (BKU) | 8/16/'3 | 114 000 | 30 7'3 | 3 501 29 | 3 752 88 | 251 59 ST | | |
| | 10/29/13 | 65 000 | 30 712 | 1 996 27 | 2 139 80 | 143 53 ST | | |
| | Total | 179 000 | | 5 497 56 | 5,892 68 | 395 12 ST | 150 36 | 2 55 |
| *Share Price $32 920 Next Dividend Payable 01/2014* | | | | | | | | |
| BROOKDALE SENIOR LIVING INC (BKD) | 8/25/08 | 43 000 | 20 234 | 870 06 | 1 168 74 | 298 68 LT | | |
| | 11/18/08 | 135 000 | 4 442 | 599 72 | 3 669 30 | 3 069 58 LT | | |
| | 4/7/09 | '9 000 | 5 722 | 108 72 | 516 42 | 407 70 LT | | |
| | 4/8/09 | 30 000 | 5 861 | 175 84 | 815 40 | 639 56 LT | | |
| | Total | 227 000 | | 1 754 34 | 6,169 86 | 4 415 52 LT | — | — |
| *Share Price $27 180* | | | | | | | | |
| CASEY S GENERAL STORES INC (CASY) | 4/29/'3 | 40 000 | 58 571 | 2 342 85 | 2 810 00 | 467 15 ST | | |
| | 4/30/13 | 5 000 | 57 936 | 289 68 | 351 25 | 61 57 ST | | |
| | Total | 45 000 | | 2 632 53 | 3,161 25 | 528 72 ST | 32 40 | 1 02 |
| *Share Price $70 250 Next Dividend Payable 02/2014* | | | | | | | | |
| CINTAS CORP (CTAS) | 4/21/'1 | 90 000 | 30 811 | 2 773 02 | 5 363 10 | 2 590 08 LT | | |
| | 9/21/ 1 | 20 000 | 30 550 | 611 00 | 1 191 80 | 580 80 LT | | |
| | Total | 110 000 | | 3 384 02 | 6,554.90 | 3 170 88 LT | 84 70 | 1 29 |
| *Share Price $59 590 Next Dividend Payable 12/2014* | | | | | | | | |
| CNO FINL GROUP INC COM (CNO) | 2/10/10 | 53 000 | 4 401 | 233 26 | 937 57 | 704 31 LT | | |
| | 2/17/ 0 | 160 000 | 4 993 | 798 93 | 2 830 40 | 2 031 47 LT | | |
| | 12/13/11 | 35 000 | 6 110 | 213 86 | 619 15 | 405 29 LT | | |
| | Total | 248 000 | | 1 246 05 | 4,387.12 | 3 141 07 LT | 29 76 | 0 67 |
| *Share Price $17 690 Next Dividend Payable 03/2014* | | | | | | | | |
| CROWN HLDGS INC (HOLDING CO) (CCK) | 8/25/08 | 115 000 | 26 818 | 3 084 07 | 5 125 55 | 2 041 48 LT | | |
| | 5/8/09 | 10 000 | 22 716 | 227 16 | 445 70 | 218 54 LT | | |
| | Total | 125 000 | | 3 3 1 23 | 5,571 25 | 2 260 02 LT | — | — |
| *Share Price $44 570* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-023653-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| DARLING INTERNATIONAL INC (DAR) | 11/11/11 | 145 000 | 12.963 | 1 879 69 | 3 027 60 | 1,147.91 LT | | |
| | 12/28/11 | 95 000 | 13.115 | 1,245 91 | 1,983 60 | 737 69 LT | | |
| | 5/8/12 | 15 000 | 15 922 | 238 83 | 313 20 | 74 37 LT | | |
| | 12/13/13 | 60 000 | 18.993 | 1,139 57 | 1,252 80 | 113 23 ST | | |
| | Total | 315 000 | | 4 504 00 | 6,577.20 | 1,959 97 LT<br>113 23 ST | — | — |
| *Share Price. $20 880* | | | | | | | | |
| FLUOR CORP NEW (FLR) | 8/25/08 | 20 000 | 75 975 | 1 519 50 | 1,605 80 | 86 30 LT | | |
| | 5/5/09 | 10.000 | 40.228 | 402 28 | 802 90 | 400.62 LT | | |
| | 1/7/10 | 25 000 | 48.292 | 1,207.31 | 2,007 25 | 799.94 LT | | |
| | Total | 55 000 | | 3,129 09 | 4,415.95 | 1,286.86 LT | 35.20 | 0 79 |
| *Share Price: $80 290; Next Dividend Payable 01/03/14* | | | | | | | | |
| FOREST OIL CP 0.01 (FST) | 5/14/12 | 14 000 | 10 163 | 142 28 | 50 54 | (91 74) LT | | |
| | 11/27/12 | 110 000 | 6 573 | 723 00 | 397.10 | (325.90) LT | | |
| | 7/17/13 | 130.000 | 5.284 | 686 88 | 469 30 | (217 58) ST | | |
| | 7/30/13 | 239.000 | 5.069 | 1,211.51 | 862 79 | (348.72) ST | | |
| | Total | 493.000 | | 2,763.67 | 1,779.73 | (417 64) LT<br>(566 30) ST | — | — |
| *Share Price. $3 610* | | | | | | | | |
| GENWORTH FINANCIAL INC CL A (GNW) | 2/3/12 | 86 000 | 9.181 | 789 52 | 1 335.58 | 546.06 LT | | |
| | 3/7/12 | 175 000 | 8.676 | 1,518.28 | 2,717 75 | 1,199 47 LT | | |
| | 10/10/12 | 320.000 | 5 452 | 1 744 70 | 4,969 60 | 3,224 90 LT | | |
| | Total | 581 000 | | 4,052 50 | 9,022 93 | 4 970 43 LT | — | — |
| *Share Price: $15 530* | | | | | | | | |
| HERTZ GLOBAL HOLDINGS, INC (HTZ) | 5/20/10 | 95 000 | 10.436 | 991 44 | 2,718.90 | 1,727.46 LT | | |
| | 6/23/10 | 115.000 | 10.124 | 1,164.28 | 3,291.30 | 2,127 02 LT | | |
| | 9/27/13 | 83.000 | 22.298 | 1,850.73 | 2,375 46 | 524 73 ST | | |
| | Total | 293 000 | | 4,006 45 | 8,385.66 | 3,854.48 LT<br>524.73 ST | — | — |
| *Share Price. $28.620* | | | | | | | | |
| HILLSHIRE BRANDS COMPANY (HSH) | 12/13/12 | 100.000 | 28.507 | 2 850.71 | 3,344.00 | 493 29 LT | | |
| | 1/11/13 | 55 000 | 29 464 | 1 620.53 | 1,839 20 | 218 67 ST | | |
| | Total | 155 000 | | 4,471 24 | 5,183.20 | 493 29 LT<br>218 67 ST | 108 50 | 2.09 |
| *Share Price: $33 440, Next Dividend Payable 01/08/14* | | | | | | | | |
| INGREDION INC COM (INGR) | 7/20/12 | 55 000 | 47.231 | 2,597 72 | 3,765 30 | 1 167 58 LT | | |
| | 10/30/13 | 25 000 | 64.858 | 1,621 44 | 1,711 50 | 90 06 ST | | |

# Account Detail

Fiduciary Services Active Assets Account 272-023653-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONT NUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 80 000 | | 4 2"9 16 | 5 476 80 | 1 167 58 LT<br>90 06 ST | 134 40 | 2 45 |
| *Share Price $68 460 Next Dividend Payable 01/27/14* | | | | | | | | |
| IRON MOUNTAIN INC (DE) (IRM) | 9/29/10 | 8 000 | 22 201 | 177 61 | 242 80 | 65 19 LT | | |
| | 9/30/10 | 45 000 | 22 222 | 999 97 | 1 365 75 | 365 78 LT | | |
| | 10/29/10 | 60 000 | 21 745 | 1 304 70 | 1 821 00 | 516 30 LT | | |
| | 7/2/13 | 60 000 | 27 015 | 1,620 87 | 1 821 00 | 200 13 ST | | |
| | Purchases | 173 000 | | 4 103 15 | 5 250 55 | 947 27 LT<br>200 13 ST | | |
| Long Term Reinvestments | | 13 000 | | 427 31 | 394 55 | (32 76) LT | | |
| | Total | 186 000 | | 4 530 46 | 5 645 10 | 914 51 LT<br>200 13 ST | 200 88 | 3 55 |
| *Share Price $30 350 Next Dividend Payable 01/15/14* | | | | | | | | |
| KEYCORP NEW (KEY) | 5/23/12 | 340 000 | 7 430 | 2 526 27 | 4 562 80 | 2 036 53 LT | | |
| | 6/21/13 | 125 000 | 10 464 | 1 308 04 | 1 677 50 | 369 46 ST | | |
| | Total | 465 000 | | 3 834 31 | 6 240 30 | 2 036 53 LT<br>369 46 ST | 102 30 | 1 63 |
| *Share Price $13 420 Next Dividend Payable 03/2014* | | | | | | | | |
| KLA TENCOR CORP (KLAC) | 7/14/10 | 50 000 | 30 936 | 1 546 79 | 3 223 00 | 1 676 21 LT | | |
| | 10/17/12 | 20 000 | 46 083 | 921 65 | 1 289 20 | 367 55 LT | | |
| | Total | 70 000 | | 2 468 44 | 4,512 20 | 2 043 76 LT | 126 00 | 2 79 |
| *Share Price $64 460 Next Dividend Payable 03/2014* | | | | | | | | |
| LIVE NATION ENTERTAINMENT INC (LYV) | 3/5/13 | 200 000 | 11 021 | 2 204 10 | 3 952 00 | 1 747 90 ST | | |
| | 4/1/13 | 10 000 | 12 322 | 123 22 | 197 60 | 74 38 ST | | |
| | 5/7/13 | 90 000 | 13 156 | 1 184 07 | 1 778 40 | 594 33 ST | | |
| | Total | 300 000 | | 3 511 39 | 5,928 00 | 2 416 6 ST | — | — |
| *Share Price $19 760* | | | | | | | | |
| MANPOWERGROUP INC COM (MAN) | 3/23/12 | 45 000 | 45 532 | 2 048 94 | 3,863 70 | • 814 76 LT | 41 40 | 1 07 |
| *Share Price $85 860 Next Dividend Payable 06/2014* | | | | | | | | |
| MEADWESTVACO CORP (MWV) | 5/11/09 | 80 000 | 14 000 | 1 119 96 | 2 954 40 | 1 834 44 LT | | |
| | 6/17/09 | 30 000 | 13 797 | 4"3 91 | 1 107 90 | 693 99 LT | | |
| | 2/12/10 | 20 000 | 20 470 | 409 39 | 738 60 | 329 21 LT | | |
| | 8/8/12 | 15 000 | 27 797 | 416 96 | 553 95 | 136 99 LT | | |
| | Total | 145 000 | | 2 360 22 | 5,354 85 | 2 994 63 LT | 145 00 | 2 70 |
| *Share Price $36 930 Next Dividend Payable 03/2014* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-023653-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| OIL STATES INTL INC (OIS) | 11/6/12 | 15 000 | 70 786 | 1 061 79 | ` 525 80 | 464 01 LT | | |
| | 1/17/13 | 20 000 | 76 897 | 1 537 93 | 2 034 40 | 496 47 ST | | |
| | Total | 35 000 | | 2 599 72 | 3,560 20 | 464 01 LT<br>496 47 ST | — | — |
| Share Price $101 720 | | | | | | | | |
| OMEGA HEALTHCARE INV INC (OHI) | 8/25/08 | 120 000 | 15 850 | 1 902 01 | 3 576 00 | 1 673 99 LT | | |
| | 8/13/`2 | 5 000 | 23 546 | 117 73 | 149 00 | 31 27 LT | | |
| | Total | 125 000 | | 2 019 /4 | 3,725.00 | 1 705 26 LT | 240 00 | 6 44 |
| Share Price $29 600 Next Dividend Payable 02/2014 | | | | | | | | |
| ONEOK INC NEW (OKE) | 8/25/08 | 75 000 | 21 619 | 1 621 46 | 4,663.50 | 3 042 04 LT | `14 00 | 2 44 |
| Share Price $62 180 Next Dividend Payable 02/2014 | | | | | | | | |
| OWENS ILLINOIS COM NEW (OI) | 8/25/08 | 110 000 | 41 029 | 4 513 19 | 3 935 80 | (577 39) LT | | |
| | 9/29/08 | 15 000 | 29 511 | 442 67 | 536 70 | 94 03 LT | | |
| | 8/4/1` | 55 000 | 21 003 | 1 155 18 | 1 967 90 | 812 72 LT | | |
| | 10/23/12 | 70 000 | 19 670 | 1,376 92 | 2 504 60 | 1 127 68 LT | | |
| | Total | 250 000 | | 7 487 96 | 8,945.00 | 1 457 04 LT | — | — |
| Share Price $35 780 | | | | | | | | |
| QUESTAR CORP (STR) | 9/24/13 | 163 000 | 22 144 | 3 609 49 | 3 747 37 | 137 88 ST | 117 36 | 3 `3 |
| Share Price $22 990, Next Dividend Payable 03/2014 | | | | | | | | |
| REDWOOD TRUST INC (RWT) | 9/18/`2 | 100 000 | 14 765 | 1 476 48 | 1 937 00 | 460 52 LT | | |
| | 11/20/12 | 125 000 | 15 766 | 1 970 70 | 2 421 25 | 450 55 LT | | |
| | Total | 225 000 | | 3,447 18 | 4,358 25 | 911 07 LT | 252 00 | 5 78 |
| Share Price $19 370 Next Dividend Payable 03/2014 | | | | | | | | |
| SBA COMMUNICATIONS CORP (SBAC) | 9/14/09 | 25 000 | 25 967 | 649 17 | 2 246 00 | 1 596 83 LT | | |
| | 4/23/10 | 45 000 | 35 771 | 1 609 70 | 4 042 80 | 2 433 10 LT | | |
| | Total | 70 000 | | 2,258 87 | 6,288.80 | 4 029 93 LT | — | — |
| Share Price $89 840 | | | | | | | | |
| SLM CORPORATION (SLM) | 4/15/09 | 73 000 | 5 948 | 434 21 | 1 918 44 | 1 484 23 LT | | |
| | 5/13/09 | 90 000 | 5 564 | 500 /8 | 2,365 20 | 1 864 42 LT | | |
| | Total | 163 000 | | 934 99 | 4,283 64 | 3 348 65 LT | 97 80 | 2 28 |
| Share Price $26 280 Next Dividend Payable 03/2014 | | | | | | | | |
| TIDEWATER INC (TDW) | 8/25/08 | 19 000 | 57 090 | 1,084 71 | 1 126 13 | 41 42 LT | | |
| | 11/10/11 | 25 000 | 47 670 | 1 191 75 | 1 481 75 | 290 00 LT | | |
| | Total | 44 000 | | 2 276 46 | 2,607 88 | 331 42 LT | 44 00 | 1 68 |
| Share Price $59 270 Next Dividend Payable 03/2014 | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-023653-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| VERISK ANALYTICS CL A (VRSK) | 10/8/09 | 50 000 | 26 940 | 1,346 99 | 3,286 00 | 1,939 01 LT | | |
| | 1/11/10 | 65 000 | 29 976 | 1,948 47 | 4,271 80 | 2,323 33 LT | | |
| | Total | 115 000 | | 3,295.46 | 7,557.80 | 4 262 34 LT | — | — |
| *Share Price $65.720* | | | | | | | | |
| WILLIAMS CO INC (WMB) | 1/15/09 | 82.000 | 11 428 | 937.07 | 3,162.74 | 2,225.67 LT | | |
| | 2/19/09 | 55.000 | 10 291 | 565.99 | 2,121 35 | 1 555 36 LT | | |
| | Total | 137 000 | | 1,503 06 | 5,284.09 | 3 781.03 LT | 208 24 | 3 94 |
| *Share Price. $38.570, Next Dividend Payable 03/2014* | | | | | | | | |
| WPX ENERGY INC (WPX) | 1/15/09 | 31 666 | 7 772 | 246 10 | 645 35 | 399 25 LT | | |
| | 2/19/09 | 18 333 | 6 999 | 128 31 | 373 63 | 245 32 LT | | |
| | 3/23/12 | 20 000 | 19 172 | 383 44 | 407 60 | 24 16 LT | | |
| | 3/27/12 | 40 000 | 19 396 | 775 84 | 815 20 | 39.36 LT | | |
| | 4/9/12 | 95.001 | 16.938 | 1,609 12 | 1,936 12 | 327 00 LT | | |
| | 4/9/13 | 87.000 | 17 347 | 1,509.19 | 1,773.06 | 263.87 ST | | |
| | Total | 292 000 | | 4,652 00 | 5,950.96 | 1 035.09 LT<br>263.87 ST | — | — |
| *Share Price $20 380* | | | | | | | | |
| XYLEM INC COM (XYL) | 12/7/11 | 52.000 | 24.440 | 1,270.90 | 1,799.20 | 528.30 LT | | |
| | 12/8/11 | 25.000 | 24 374 | 609 35 | 865 00 | 255.65 LT | | |
| | 12/19/11 | 70 000 | 24 520 | 1,716 41 | 2,422 00 | 705 59 LT | | |
| | 8/13/12 | 20 000 | 24 488 | 489 75 | 692 00 | 202 25 LT | | |
| | Total | 167 000 | | 4,086 41 | 5,778.20 | 1,691.79 LT | 77 82 | 1 34 |
| *Share Price $34 600, Next Dividend Payable 03/2014* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 92.9% | $110,135 93 | $180,440.16 | $63,788.51 LT<br>$6,515.72 ST | $2,492 92<br>$0.00 | 1.38% |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | 100.0% | $110,135.93 | $194,240.80 | $63,788.51 LT<br>$6,515.72 ST | $2,498.33<br>$0.00 | 1.28% |

RETURN OF CAPITAL -67 40
110068,53

TOTAL VALUE (includes accrued interest) $194,240.80

*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.*

Morgan Stanley



## Account Detail

Fiduciary Services Active Assets Account
272-023650-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

Investment Objectives† Capital Appreciation Income Aggressive Income Speculation

Investment Advisory Account
Manager CLEARBRIDGE ASSET MANAGEMENT

*† See the Expanded Disclosures for more information about investment objectives Please review for accuracy and inform us if your investment objectives change*

## HOLDINGS

*This section reflects positions purchased/sold on a trade date basis and will include positions purchased and omit positions sold in the current month "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period indicated may not reflect the value that could be obtained in the market See "Pricing of Securities" in the Expanded Disclosures Fixed Income securities are sorted by maturity or pre refunding date and alphabetically within date Estimated annual income and estimated yield for certain securities may include return of principal or capital gains which could overstate such estimates Actual income or yield may be lower or higher than the estimates Estimated yield reflects only the income generated by an investment and it does not reflect changes in its price which may fluctuate Structured products appear in various statement product categories and are identified as Structured Products in the Security Description column Estimated accrued interest estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest If you hold structured products see Special Considerations Regarding Structured Products" in the Disclosures New Treasury regulations require that we report on Form 1099 B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long term or short term on the sale of covered securities acquired on or after January 1 2011 These regulations also require that we make basis adjustments on covered securities due to wash sales certain corporate actions and transfers by gift or inheritance which will be reflected on your Form 1099 B Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns Refer to the Expanded Disclosures for additional information*

### CASH BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

*Cash Bank Deposit Program and Money Market Funds are generally displayed on a settlement date basis Estimated Annual Income Accrued Interest and APY% will only be displayed for fully settled positions*

| Description | Value | Estimated Annual Income | 7 Day Current Yield % | Annual Percentage Yield % |
|---|---|---|---|---|
| MORGAN STANLEY BANK N A # | $8,997 57 | $4 00 | — | 0 050 |

| | Percentage of Assets % | Market Value | Estimated Annual Income Accrued Interest |
|---|---|---|---|
| CASH, BDP AND MMFs | 4 2% | $8,997 57 | $4 00<br>$0 00 |

*# Bank Deposits are held at either (1) Morgan Stanley Bank N A and/or Morgan Stanley Private Bank, National Association affiliates of Morgan Stanley Smith Barney LLC or (2) Citibank N A each a national bank and FDIC member*

### STOCKS

#### COMMON STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| AMC NETWORKS INC CL A (AMCX) | 9/17/04 | 3 254 | $9 502 | $30 92 | $221 63 | $190 71 LT | | |
| | 9/21/04 | 2 474 | 9 167 | 22 68 | 168 50 | 145 82 LT | | |
| | 5/27/05 | 8 661 | 14 229 | 123 24 | 589 90 | 466 66 LT | | |
| | 6/9/05 | 13 611 | 16 060 | 218 59 | 927 05 | 708 46 LT | | |
| | Total | 28 000 | | 395 43 | 1 907 08 | 1 51' 65 LT | — | — |

*Share Price $68 110*

# Account Detail

Fiduciary Services Active Assets Account
272-023650-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| ANADARKO PETE (APC) | 6/28/06 | 45 000 | 44 542 | 2 004 38 | 3 569 40 | 1 565 02 LT | | |
| | 10/24/08 | 60 000 | 29 093 | 1 745 60 | 4 759 20 | 3 013 60 LT | | |
| | Total | 105 000 | | 3 749 98 | 8,328.60 | 4 578 62 LT | 75 60 | 0 90 |
| *Share Price $79 320, Next Dividend Payable 03/2014* | | | | | | | | |
| AUTODESK INC DELAWARE (ADSK) | 8/28/12 | 138 000 | 31 468 | 4 342.61 | 6,944.02 | 2,60[illegible] 4[illegible] LT | — | — |
| *Share Price $50 319* | | | | | | | | |
| BIOGEN IDEC INC (BIIB) | 6/9/05 | 25 000 | 34 580 | 864 50 | 6,989 30 | 6,124 80 LT | — | — |
| *Share Price $279 572* | | | | | | | | |
| BROADCOM CORP CL A (BRCM) | 3/9/11 | 155 000 | 40 149 | 6 223 11 | 4 594 97 | (1 628 14) LT C | | |
| | 7/23/13 | 18 000 | 31 893 | 574 08 • | 533 61 | (40 47) ST | | |
| | 8/12/13 | 45 000 | 26 128 | 1 175 75 • | 1 334 02 | 158 27 ST | | |
| | Total | 218 000 | | 7,972 94 | 6,462 61 | (1,628 14) LT<br>117 80 ST | 95 92 | 1 48 |
| *Share Price $29 645, Next Dividend Payable 03/2014* | | | | | | | | |
| CABLEVISION SYSTEMS CORP (CVC) | 9/17/04 | 195 000 | 6 275 | 1,223 66 | 3,496 35 | 2,272 69 LT | | |
| | 9/2[illegible]/04 | 10 000 | 6 051 | 60 51 | 179 30 | 118 79 LT | | |
| | 5/27/05 | 35 000 | 9 398 | 328 92 | 627 55 | 298 63 LT | | |
| | 6/9/05 | 55 000 | 10 607 | 583 39 | 986 15 | 402 76 LT | | |
| | Total | 295 000 | | 2 196 48 | 5,289.35 | 3,092 87 LT | 177 00 | 3 34 |
| *Share Price $17 930, Next Dividend Payable 03/2014* | | | | | | | | |
| CITRIX SYSTEMS INC (CTXS) | 10/15/10 | 40 000 | 59 036 | 2,361 44 | 2,530 00 | 168 56 LT | | |
| | 11/16/10 | 10 000 | 63 186 | 631 86 | 632 50 | 0 64 LT | | |
| | 4/25/13 | 5 000 | 64 144 | 320 72 • | 316 25 | (4 47) ST | | |
| | 12/4/13 | 14 000 | 59 529 | 833 41 • | 885 50 | 52 09 ST | | |
| | Total | 69 000 | | 4 147 43 | 4,364.25 | 169 20 LT<br>47 62 ST | — | — |
| *Share Price $63 250* | | | | | | | | |
| COMCAST CORP CL A SPECIAL NEW (CMCSK) | 6/9/05 | 106 999 | 20 620 | 2 206 32 | 5 337 11 | 3,130 79 LT | | |
| | 6/23/08 | 200 001 | 19 289 | 3,857 88 | 9,976 05 | 6,118 17 LT | | |
| | Total | 307 000 | | 6,064 20 | 15,313.16 | 9,248 96 LT | 239 46 | 1 56 |
| *Share Price $49 980, Next Dividend Payable 01/23/14* | | | | | | | | |
| COVIDIEN PLC (COV) | 5/27/05 | 32 121 | 33 843 | 1,087 07 | 2 187 44 | 1,100 37 LT | | |
| | 6/9/05 | 29 879 | 33 922 | 1,013 55 | 2,034 76 | 1 021 21 LT | | |
| | Total | 62 000 | | 2 100 62 | 4,222 20 | 2,121 58 LT | 79 36 | 1 87 |
| *Share Price $68 100, Next Dividend Payable 02/2014* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-023650-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| CREE RESEARCH INC (CREE) | 6/9/05 | 33 000 | 26 930 | 888 69 | 2 063 16 | 1 174 47 LT | | |
| | 8/16/05 | 40 000 | 24 063 | 962 50 | 2 500 80 | 1 538 30 LT | | |
| | 6/2/06 | '0 000 | 25 223 | 252 23 | 625 20 | 372 97 LT | | |
| | 3/23/11 | '0 000 | 45 243 | 452 43 | 625 20 | 172 77 LT | | |
| | 10/30/13 | 5 000 | 61 530 | 307 65 • | 312 60 | 4 95 ST | | |
| | **Total** | 98 000 | | 2 863 50 | **6,126 96** | 3 258 51 LT<br>4 95 ST | — | — |
| *Share Price $62 520* | | | | | | | | |
| DIRECTV COM (DTV) | 6/9/05 | 54 000 | 14 449 | 780 25 | 3 729 24 | 2 948 99 LT | | |
| | 6/16/05 | 6 000 | 14 230 | 85 38 | 414 36 | 328 98 LT | | |
| | **Total** | 60 000 | | 865 63 | **4,143 60** | 3 277 97 LT | — | — |
| *Share Price $69 060* | | | | | | | | |
| DOLBY CLA A COM STK (DLB) | 10/16/08 | 8 000 | 28 469 | 227 75 | 308 48 | 80 73 LT | | |
| | 10/23/08 | 16 000 | 29 405 | 470 48 | 616 96 | 146 48 LT | | |
| | 10/24/08 | 15 000 | 29 983 | 449 74 | 578 40 | 128 66 LT | | |
| | 10/27/08 | 15 000 | 30 457 | 456 85 | 578 40 | 121 55 LT | | |
| | 10/28/08 | 12 000 | 29 223 | 350 67 | 462 72 | 112 05 LT | | |
| | 10/29/08 | 27 000 | 29 740 | 802 97 | 1 041 12 | 238 15 LT | | |
| | 10/30/08 | 9 000 | 30 627 | 275 64 | 347 04 | 71 40 LT | | |
| | 2/4/11 | 5 000 | 56 318 | 281 59 | 192 80 | (88 79) LT | | |
| | 4/6/11 | 4 000 | 49 008 | 196 03 | 154 24 | (41 79) LT | | |
| | 4/8/11 | 3 000 | 50 873 | 152 62 | 115 68 | (36 94) LT | | |
| | 4/12/11 | 10 000 | 50 059 | 500 59 | 385 60 | (114 99) LT | | |
| | 12/7/11 | 26 000 | 32 285 | 839 41 | 1 002 56 | 163 15 LT | | |
| | **Total** | 150 000 | | 5 004 34 | **5,784.00** | 779 66 LT | — | — |
| *Share Price $38 560* | | | | | | | | |
| FLUOR CORP NEW (FLR) | 11/19/08 | '5 000 | 33 240 | 498 59 | 1 204 35 | 705 76 LT | | |
| | 11/20/08 | 50 000 | 30 469 | 1 523 47 | 4 014 50 | 2 491 03 LT | | |
| | 3/14/11 | 19 000 | 68 114 | 1 294 17 | 1 525 5' | 231 34 LT | | |
| | 3/15/11 | 10 000 | 68 033 | 680 33 | 802 90 | 122 57 LT | | |
| | **Total** | 94 000 | | 3 996 56 | **7,547 26** | 3 550 70 LT | 60 16 | 0 79 |
| *Share Price $80 290, Next Dividend Payable 01/03/14* | | | | | | | | |
| FOREST LABORATORIES INC (FRX) | 5/27/05 | 25 000 | 38 700 | 967 50 | 1 500 75 | 533 25 LT | | |
| | 6/9/05 | 65 000 | 38 470 | 2 500 55 | 3 901 95 | 1 401 40 LT | | |
| | 4/4/11 | 67 000 | 32 861 | 2 201 67 | 4 022 01 | 1 820 34 LT | | |
| | 4/5/11 | 33 000 | 32 968 | 1 087 95 | 1 980 99 | 893 04 LT | | |

# Account Detail

Fiduciary Services Active Assets Account 272-023650-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | 4/7/11 | 50 000 | 32 991 | 1,649 55 | 3,001 50 | 1,351.95 LT | | |
| | Total | 240 000 | | 8,407 22 | 14,407 20 | 5,999.98 LT | — | — |
| *Share Price: $60 030* | | | | | | | | |
| FREEPORT MCMORAN CP&GLD (FCX) | 2/11/09 | 20 000 | 14 108 | 282.16 | 754 80 | 472 64 LT | | |
| | 3/10/11 | 80 000 | 47 191 | 3 775 29 | 3 019 20 | (756 09) LT | | |
| | Total | 100 000 | | 4,057 45 | 3,774 00 | (283 45) LT | 125 00 | 3 31 |
| *Share Price $37 740; Next Dividend Payable 02/2014* | | | | | | | | |
| IMMUNOGEN INC (IMGN) | 10/14/10 | 9.000 | 7 390 | 66 51 | 132 03 | 65.52 LT | | |
| | 10/15/10 | 4.000 | 7 743 | 30 97 | 58 68 | 27 71 LT | | |
| | 10/18/10 | 13.000 | 7 769 | 101 00 | 190 71 | 89 71 LT | | |
| | 10/19/10 | 5.000 | 7 696 | 38.48 | 73 35 | 34 87 LT | | |
| | 10/20/10 | 7 000 | 7 877 | 55 14 | 102 69 | 47 55 LT | | |
| | 10/21/10 | 2.000 | 7 880 | 15 76 | 29 34 | 13 58 LT | | |
| | 11/4/10 | 3.000 | 7 923 | 23 77 | 44 01 | 20 24 LT | | |
| | 11/16/10 | 40.000 | 7.766 | 310.62 | 586.80 | 276 18 LT | | |
| | 2/15/11 | 6.000 | 8.878 | 53.27 | 88 02 | 34.75 LT | | |
| | 11/11/13 | 57.000 | 14 882 | 848 26 • | 836 19 | (12 07) ST | | |
| | Total | 146.000 | | 1,543 78 | 2,141.82 | 610 11 LT<br>(12 07) ST | — | — |
| *Share Price. $14 670* | | | | | | | | |
| ISIS PHARM INC (ISIS) | 4/26/13 | 75 000 | 22 597 | 1,694 81 • | 2,988.00 | 1,293.19 ST | — | — |
| *Share Price $39 840* | | | | | | | | |
| L-3 COMMUNICATIONS HOLDING INC (LLL) | 9/17/04 | 15.000 | 62 413 | 936 20 | 1,602 90 | 666 70 LT | | |
| | 5/27/05 | 30.000 | 65.390 | 1,961 69 | 3,205 80 | 1,244 11 LT | | |
| | 6/9/05 | 20 000 | 70 623 | 1,412 45 | 2,137 20 | 724 75 LT | | |
| | 5/30/06 | 20 000 | 74 823 | 1,496 45 | 2,137 20 | 640 75 LT | | |
| | Total | 85 000 | | 5,806 79 | 9,083.10 | 3,276 31 LT | 187 00 | 2 05 |
| *Share Price $106.860; Next Dividend Payable 03/2014* | | | | | | | | |
| LIBERTY INTERACTIVE CO INTER A (LINTA) | 5/27/05 | 1 250 | 17 783 | 22 23 | 36 68 | 14.45 LT | | |
| | 6/9/05 | 71.250 | 17.857 | 1,272.28 | 2,091 18 | 818 90 LT | | |
| | 6/16/05 | 7 500 | 17 584 | 131.88 | 220 12 | 88 24 LT | | |
| | 6/2/08 | 65.000 | 14 988 | 974.24 | 1,907 75 | 933 51 LT | | |
| | 6/3/08 | 20.000 | 15 032 | 300 63 | 587 00 | 286 37 LT | | |
| | Total | 165.000 | | 2,701 26 | 4,842.75 | 2,141 47 LT | — | — |
| *Share Price $29 350* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-023650-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| LIBERTY MEDIA CORP SER A (LMCA) | 6/27/08 | 22 000 | 13 064 | 287 41 | 3 218 42 | 2,931 01 LT | — | — |
| *Share Price $146 292* | | | | | | | | |
| NATIONAL OILWELL VARCO INC (NOV) | 6/9/05 | 5 999 | 48 149 | 288 85 | 477 10 | 188 25 LT | | |
| | 10/24/08 | 35 000 | 24 056 | 841 97 | 2 783 55 | 1,941 58 LT | | |
| | 10/27/08 | 10 001 | 25 446 | 254 49 | 795 38 | 540 89 LT | | |
| | Total | 51 000 | | 1 385 31 | 4,056 03 | 2 670 72 LT | 53 04 | 1 30 |
| *Share Price $79 530 Next Dividend Payable 03/2014* | | | | | | | | |
| NUCOR CORPORATION (NUE) | 2/17/09 | 10 000 | 40 802 | 408 02 | 533 80 | 125 78 LT | | |
| | 2/24/09 | 20 000 | 37 102 | 742 03 | 1 067 60 | 325 57 LT | | |
| | 2/7/11 | 60 000 | 48 420 | 2 905 17 | 3 202 80 | 297 63 LT | | |
| | Total | 90 000 | | 4 055 22 | 4,804 20 | 748 98 LT | 133 20 | 2 77 |
| *Share Price $53 380, Next Dividend Payable 02/11/14* | | | | | | | | |
| PALL CORPORATION (PLL) | 9/17/04 | 30 000 | 24 320 | 729 60 | 2 560 50 | 1 830 90 LT | | |
| | 6/9/05 | 50 000 | 28 790 | 1,439 50 | 4,267 50 | 2,828 00 LT | | |
| | Total | 80 000 | | 2 169 10 | 6,828 00 | 4 658 90 LT | 88 00 | 1 28 |
| *Share Price $85 350 Next Dividend Payable 02/2014* | | | | | | | | |
| PENTAIR LTD (PNR) | 9/17/04 | 10 000 | 35 046 | 350 46 | 776 70 | 426 24 LT | | |
| | 5/27/05 | 12 000 | 33 691 | 404 29 | 932 04 | 527 75 LT | | |
| | 6/9/05 | 7 000 | 34 670 | 242 69 | 543 69 | 301 00 LT | | |
| | Total | 29 000 | | 997 44 | 2,252.43 | 1,254 99 LT | 29 00 | 1 28 |
| *Share Price $77 670, Next Dividend Payable 02/2014* | | | | | | | | |
| SANDISK CORP (SNDK) | 7/9/08 | 92 000 | 17 461 | 1,606 43 | 6 489 68 | 4 883 25 LT | | |
| | 7/30/13 | 9 000 | 54 036 | 486 32• | 634 86 | 148 54 ST | | |
| | Total | 101 000 | | 2 092 75 | 7,124 54 | 4 883 25 LT<br>148 54 ST | 90 90 | 1 27 |
| *Share Price $70 540, Next Dividend Payable 02/2014* | | | | | | | | |
| SEAGATE TECHNOLOGY PLC (STX) | 10/12/12 | 196 000 | 27 715 | 5 432 12 | 11,007 36 | 5 575 24 LT | 337 12 | 3 06 |
| *Share Price $56 160, Next Dividend Payable 02/2014* | | | | | | | | |
| STARZ SERIES A (STRZA) | 6/27/08 | 37 000 | 1 502 | 55 58 | 1,081 88 | 1,026 30 LT | — | — |
| *Share Price $29 240* | | | | | | | | |
| TE CONNECTIVITY LTD NEW (TEL) | 9/17/04 | 41 081 | 35 942 | 1 476 52 | 2 263 97 | 787 45 LT | | |
| | 5/27/05 | 51 040 | 34 141 | 1 742 57 | 2 812 81 | 1 070 24 LT | | |
| | 6/9/05 | 29 879 | 34 221 | 1,022 48 | 1 646 63 | 624 15 LT | | |
| | Total | 122 000 | | 4,241 57 | 6,723 42 | 2 481 84 LT | 122 00 | 1 81 |
| *Share Price $55 110 Next Dividend Payable 03/2014* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-023650-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| THE ADT CORPORATION COM (ADT) | 9/17/04 | 21 000 | 30 138 | 632 90 | 849 87 | 216 97 LT C | | |
| | 5/27/05 | 25 000 | 29 874 | 746 86 | 1,011 75 | 264 89 LT C | | |
| | 6/9/05 | 15 000 | 29 207 | 438 11 | 607 05 | 168 94 LT C | | |
| | Total | 61 000 | | 1,817 87 | 2,468.67 | 650 80 LT | 30 50 | 1 23 |
| *Share Price $40 470, Next Dividend Payable 02/2014* | | | | | | | | |
| TYCO INTERNATIONAL LTD NEW (TYC) | 9/17/04 | 41 081 | 23 850 | 979 80 | 1 685 96 | 706 16 LT | | |
| | 5/27/05 | 51 040 | 22 656 | 1 156 35 | 2 094.68 | 938 33 LT | | |
| | 6/9/05 | 29 879 | 22 709 | 678 51 | 1 226 23 | 547 72 LT | | |
| | Total | 122 000 | | 2 814 66 | 5 006.88 | 2,192 21 LT | 78 08 | 1 55 |
| *Share Price $41 040, Next Dividend Payable 02/2014* | | | | | | | | |
| UNITEDHEALTH GP INC (UNH) | 4/29/08 | 33 000 | 33 391 | 1,101 91 | 2 484 90 | 1 382.99 LT | | |
| | 4/30/08 | 40 000 | 33 172 | 1,326 88 | 3 012 00 | 1 685 12 LT | | |
| | 5/1/08 | 90 000 | 32 959 | 2,966 35 | 6 777 00 | 3,810 65 LT | | |
| | Total | 163 000 | | 5 395 14 | 12,273 90 | 6 878 76 LT | 182 56 | 1 48 |
| *Share Price $75 300, Next Dividend Payable 03/2014* | | | | | | | | |
| VERTEX PHARMACEUTICALS (VRTX) | 11/12/08 | 24 000 | 26 729 | 641 50 | 1,783 20 | 1,141 70 LT | | |
| | 11/13/08 | 6 000 | 26.628 | 159 77 | 445 80 | 286 03 LT | | |
| | 11/17/08 | 30 000 | 26 963 | 808.88 | 2 229 00 | 1,420 12 LT | | |
| | 11/6/13 | 1 000 | 63 660 | 63 66 • | 74 30 | 10 64 ST | | |
| | Total | 61 000 | | 1 673.81 | 4,532.30 | 2,847 85 LT<br>10 64 ST | — | — |
| *Share Price $74 300* | | | | | | | | |
| WEATHERFORD INTERNATIONAL LTD (WFT) | 9/17/04 | 400 000 | 12 175 | 4,870.00 | 6,196.00 | 1,326 00 LT | | |
| | 5/27/05 | 160 000 | 13 100 | 2 096 00 | 2 478 40 | 382 40 LT | | |
| | 6/9/05 | 140 000 | 14 238 | 1,993 25 | 2,168 60 | 175 35 LT | | |
| | Total | 700 000 | | 8 959 25 | 10,843.00 | 1,883 75 LT | — | — |
| *Share Price $15 490* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 95 8% | $110,152.76 | $202,880.29 | $91,116.81 LT<br>$1,610.67 ST | $2,183.90<br>$0 00 | 1.08% |

RETURN OF CAPITAL -82.06

110070.70

# Account Detail

Fiduciary Services Active Assets Account
272-024770-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

Investment Objectives†: Capital Appreciation, Income, Aggressive Income, Speculation

Investment Advisory Account
Manager: LAZARD ASSET MANAGEMENT, LLC

† See the Expanded Disclosures for more information about investment objectives Please review for accuracy and inform us if your investment objectives change

## HOLDINGS

This section reflects positions purchased/sold on a trade date basis and will include positions purchased and omit positions sold in the current month "Market Value" and 'Unrealized Gain/(Loss)' are representative values as of the last business day of the statement period indicated, may not reflect the value that could be obtained in the market See 'Pricing of Securities in the Expanded Disclosures Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date Estimated annual income and estimated yield for certain securities may include return of principal or capital gains which could overstate such estimates Actual income or yield may be lower or higher than the estimates Estimated yield reflects only the income generated by an investment and, it does not reflect changes in its price, which may fluctuate Structured products appear in various statement product categories and are identified as Structured Products" in the Security Description column Estimated accrued interest estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest If you hold structured products, see "Special Considerations Regarding Structured Products' in the Disclosures New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011 These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns Refer to the Expanded Disclosures for additional information

### CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

*Cash, Bank Deposit Program and Money Market Funds are generally displayed on a settlement date basis Estimated Annual Income, Accrued Interest and APY% will only be displayed for fully settled positions*

| Description | Value | Estimated Annual Income | 7-Day Current Yield % | Annual Percentage Yield % |
|---|---|---|---|---|
| MORGAN STANLEY BANK N A. # | $6,036 86 | $3 00 | — | 0 050 |

| | Percentage of Assets % | Market Value | Estimated Annual Income Accrued Interest |
|---|---|---|---|
| CASH, BDP, AND MMFs | 6.0% | $6,036.86 | $3 00<br>$0 00 |

*# Bank Deposits are held at either (1) Morgan Stanley Bank, N A and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC or (2) Citibank, N A , each a national bank and FDIC member*

### STOCKS

#### COMMON STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| AKBANK TURK ANONIM SIRKETI ADR | 4/24/13 | 155 000 | $10 500 | $1,627 50 | $968 75 | $(658 75) ST | | |
| (AKBTY) | 12/19/13 | 104 000 | 6 748 | 701 78 | 650 00 | (51 78) ST | | |
| | Total | 259 000 | | 2 329 28 | 1,618.75 | (710 53) ST | 30 56 | 1 88 |

*Share Price $6 250*

# Account Detail

Fiduciary Services Active Assets Account
272-024770-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| AMBEV S A SPONSORED ADR (ABEV) | 4/24/13 | 255 000 | 7 926 | 2,021 13 | 1,874 25 | (146 88) ST | 247 86 | 13 22 |
| *Share Price. $7 350* | | | | | | | | |
| BAIDU INC ADS (BIDU) | 4/24/13 | 17 000 | 88 015 | 1,496 25 | 3,023.96 | 1,527 71 ST | — | — |
| *Share Price. $177 880* | | | | | | | | |
| BANCO DO BRASIL SA SPON ADR (BDORY) | 4/24/13 | 283 000 | 13 130 | 3,715 79 | 2,938 38 | (777 41) ST | | |
| | 11/13/13 | 20.000 | 11 570 | 231 40 | 207 65 | (23 75) ST | | |
| | 11/21/13 | 2 000 | 11 350 | 22.70 | 20 76 | (1 94) ST | | |
| | Total | 305 000 | | 3,969.89 | 3,166.81 | (803 10) ST | 147 32 | 4 65 |
| *Share Price $10.383, Next Dividend Payable 01/09/14* | | | | | | | | |
| BANCO MACRO S.A. SPONS ADR (BMA) | 4/24/13 | 63 000 | 15 573 | 981.07 | 1,529.01 | 547 94 ST | — | — |
| *Share Price $24.270* | | | | | | | | |
| BIDVEST GROUP LTD SPONS ADR (BDVSY) | 4/24/13 | 39 000 | 51 000 | 1,989.00 | 2,010.06 | 21 06 ST | 48 24 | 2 39 |
| *Share Price $51 540* | | | | | | | | |
| CHINA CONSTRUCTION BANK CORP (CICHY) | 4/24/13 | 239 000 | 16 200 | 3,871 80 | 3,628.02 | (243 78) ST | 174 71 | 4 81 |
| *Share Price. $15 180* | | | | | | | | |
| CHINA MOBILE LTD (CHL) | 4/24/13 | 55.000 | 53 750 | 2,956 25 | 2,875.95 | (80 30) ST | 110 83 | 3.85 |
| *Share Price $52 290* | | | | | | | | |
| CIELO SA SPONSORED ADR NEW (CIOXY) | 4/24/13 | 112 000 | 26.758 | 2,996.93 | 3,136.00 | 139 07 ST | 104 27 | 3 32 |
| *Share Price. $28.000* | | | | | | | | |
| CLICKS GROUP LTD SPONS ADR (CLCGY) | 4/24/13 | 150 000 | 12.940 | 1,941 00 | 1,814.85 | (126 15) ST | 38 25 | 2 10 |
| *Share Price: $12 099* | | | | | | | | |
| CNOOC LTD ADS (CEO) | 4/24/13 | 9 000 | 183 500 | 1,651 50 | 1,688.94 | 37 44 ST | 59 54 | 3.52 |
| *Share Price: $187 660* | | | | | | | | |
| COMMERCIAL INTL BNK LTD SP ADR (CIBEY) | 4/24/13 | 333.000 | 2.953 | 983.46 | 1,498.50 | 515 04 ST | 35 96 | 2 39 |
| *Share Price: $4 500* | | | | | | | | |
| GAZPROM O A O SPON ADR (OGZPY) | 4/24/13 | 190 000 | 7 910 | 1,502.90 | 1,643 50 | 140.60 ST | | |
| | 7/5/13 | 82 000 | 6.862 | 562.71 | 709 30 | 146.59 ST | | |
| | 7/8/13 | 9 000 | 6.872 | 61.85 | 77.85 | 16 00 ST | | |
| | 11/13/13 | 39 000 | 8.768 | 341.96 | 337.35 | (4.61) ST | | |
| | Total | 320 000 | | 2,469 42 | 2,768.00 | 298 58 ST | 91 52 | 3 30 |
| *Share Price $8 650* | | | | | | | | |
| GRUPO TELEVISA S.A.GLOBAL DEP (TV) | 4/24/13 | 55 000 | 25.820 | 1,420 10 | 1,664.30 | 244 20 ST | 13 81 | 0 82 |
| *Share Price $30.260; Next Dividend Payable 01/02/14* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-024770-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| IMPERIAL HLDGS LTD ADR (IHLDY) | 4/24/13 | 44 000 | 22 360 | 983 84 | 851 40 | (132 44) ST | | |
| | 5/14/13 | 19 000 | 24 397 | 463 54 | 367 65 | (95 89) ST | | |
| | 10/11/13 | 27 000 | 22 054 | 595 45 | 522 45 | (73 00) ST | | |
| | Total | 90 000 | | 2,042 83 | 1,741 50 | (301 33) ST | 61 20 | 3 51 |
| *Share Price $19 350* | | | | | | | | |
| KB FINANCIAL GRP INC SONS ADR (KB) | 4/24/13 | 70 000 | 32 270 | 2 258 90 | 2,835 70 | 576 80 ST | 27 30 | 0 96 |
| *Share Price $40 510* | | | | | | | | |
| KIMBERLY CLARK SPON ADR (KCDMY) | 4/24/13 | 200 000 | 17 400 | 3 480 00 | 2,844 00 | (636 00) ST | 102 20 | 3 59 |
| *Share Price $14 220* | | | | | | | | |
| KOC HLDG AS UNSPON ADR (KHOLY) | 4/24/13 | 91 000 | 29 400 | 2,675 40 | 1 875 51 | (799 89) ST | | |
| | 11/1/13 | 20 000 | 24 139 | 482 77 | 412 20 | (70 57) ST | | |
| | Total | 111 000 | | 3 158 17 | 2,287 71 | (870 46) ST | 45 73 | 1 99 |
| *Share Price $20 610* | | | | | | | | |
| MOBILE TELESYSTEMS OJSC (MBT) | 4/24/13 | 153 000 | 19 920 | 3,047 76 | 3,309.39 | 261 63 ST | 153 77 | 4 64 |
| *Share Price $21 630* | | | | | | | | |
| NEDBANK GRP LTD SPON ADR (NDBKY) | 4/24/13 | 102 000 | 20 600 | 2 101 20 | 2,047 14 | (54 06) ST | 69 36 | 3 38 |
| *Share Price $20 070* | | | | | | | | |
| NETEASE COM INC ADS (NTES) | 4/24/13 | 33 000 | 54 340 | 1,793 22 | 2,593 80 | 800 58 ST | — | — |
| *Share Price $78 600* | | | | | | | | |
| OIL CO LUKOIL SPN ADR (LUKOY) | 4/24/13 | 22 000 | 62 690 | 1,379 18 | 1,388 64 | 9 46 ST | 80 43 | 5 79 |
| *Share Price $63 120* | | | | | | | | |
| ORIFLAME COSMETICS SA SPON ADR (ORFLY) | 4/24/13 | 108 000 | 16 560 | 1 788 48 | 1,669.68 | (118 80) ST | 10' 52 | 6 08 |
| *Share Price $15 460* | | | | | | | | |
| PHILIPPINE LG DIST TEL SPN ADR (PHI) | 4/24/13 | 36 000 | 72 393 | 2 606 14 | 2,162 88 | (443 26) ST | 76 90 | 3 55 |
| *Share Price $60 080* | | | | | | | | |
| PPC LIMITED UNSPON ADR (PPCYY) | 4/24/13 | 156 000 | 7 410 | 1,155 96 | 925 08 | (230 88) ST | 36 35 | 3 92 |
| *Share Price $5 930* | | | | | | | | |
| PT ASTRA INTERNATIONAL TBK ADR (PTAIY) | 6/21/13 | 107 000 | 12 747 | 1,363 94 | 1,193 05 | (170 89) ST | 31 57 | 2 64 |
| *Share Price $11 150* | | | | | | | | |
| PT BK MANDIRI PERSERO TBK UNSP (PPERY) | 4/24/13 | 273 000 | 10 930 | 2,983 89 | 1 763 58 | (1 220 31) ST | | |
| | 8/16/13 | 35 000 | 8 002 | 280 07 | 226 10 | (53 97) ST | | |
| | 8/26/13 | 5 000 | 6 434 | 32 17 | 32 30 | 0 13 ST | | |
| | 9/17/13 | 19 000 | 7 755 | 147 34 | 122 74 | (24 60) ST | | |

# Account Detail

Fiduciary Services Active Assets Account
272 024770 129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 332 000 | | 3 443 47 | 2 144 72 | (1 298 75) ST | 49 14 | 2 29 |
| *Share Price $6 460* | | | | | | | | |
| PT SEMEN GRESIK PERSERO ADR (PSGTY) | 4/24/13 | 37 000 | 37 000 | 1 369 00 | 867 65 | (501 35) ST | 38 85 | 4 47 |
| *Share Price $23 450* | | | | | | | | |
| PT TELEKOMUNIKASI INDONESIA (TLK) | 4/24/13 | 63 000 | 48 590 | 3 06 17 | 2 258 55 | (802 62) ST | 76 80 | 3 40 |
| *Share Price $35 850* | | | | | | | | |
| PT UNITED TRACTORS ADR (PUTKY) | 4/24/13 | 41 000 | 38 000 | 1 558 00 | 1 293 96 | (264 04) ST | 34 28 | 2 64 |
| *Share Price $31 560* | | | | | | | | |
| PTT EXPLO & PRODTN SPONS ADR (PEXNY) | 4/24/13 | 110 000 | 10 180 | 1 119 80 | 1 210 00 | 90 20 ST | 37 29 | 3 08 |
| *Share Price $11 000* | | | | | | | | |
| SANLAM LTD ADR (SLLDY) | 4/24/13 | 70 000 | 24 960 | 1 747 20 | 1 778 00 | 30 80 ST | 69 72 | 3 92 |
| *Share Price $25 400* | | | | | | | | |
| SBERBANK RUSSIA SPONSORED ADR (SBRCY) | 4/24/13 | 271 000 | 12 580 | 3 409 18 | 3 406 47 | (2 71) ST | 65 85 | 1 93 |
| *Share Price $12 570* | | | | | | | | |
| SHINHAN FINANCIAL GROUP CO LTD (SHG) | 4/24/13 | 69 000 | 35 292 | 2 435 16 | 3 153 30 | 718 14 ST | 31 88 | 1 01 |
| *Share Price $45 700* | | | | | | | | |
| SHOPRITE HLDGS LTD SPONSORED A (SRGHY) | 4/24/13 | 94 000 | 18 275 | 1 717 85 | 1 480 50 | (237 35) ST | 50 67 | 3 42 |
| *Share Price $15 750* | | | | | | | | |
| STANDARD BANK GROUP LTD SPON (SGBLY) | 4/24/13 | 118 000 | 12 270 | 1 447 86 | 1 472 64 | 24 78 ST | 45 19 | 3 06 |
| *Share Price $12 480* | | | | | | | | |
| TAIWAN SMCNDCTR MFG CO LTD ADR (TSM) | 4/24/13 | 171 000 | 18 500 | 3 163 50 | 2,982 24 | (181 26) ST | 68 57 | 2 29 |
| *Share Price $17 440* | | | | | | | | |
| TIGER BRANDS LTD SPON ADR NEW (TBLMY) | 4/24/13 | 49 000 | 30 580 | 1 498 42 | 1 257 34 | (241 08) ST | 33 57 | 2 66 |
| *Share Price $25 660* | | | | | | | | |
| TURKCELL ILETISM HIZM AS NEW (TKC) | 4/24/13 | 121 000 | 15 160 | 1 834 36 | 1 615 35 | (219 01) ST | — | — |
| *Share Price $13 350* | | | | | | | | |
| VODACOM GROUP LIMITED (VDMCY) | 4/24/13 | 94 000 | 11 980 | 1 126 12 | 1 198 50 | 72 38 ST | 60 07 | 5 01 |
| *Share Price $12 750* | | | | | | | | |
| WEICHAI PWR CO LTD UNSPON ADR (WEICY) | 4/24/13 | 111 000 | 14 550 | 1 615 05 | 1,802 64 | 187 59 ST | 18 98 | 1 05 |
| *Share Price $16 240* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account 272-024770-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| WOOLWORTHS HLDGS LTD (WLWHY) | 4/24/13 | 24.000 | 75 580 | 1,813 92 | 1,699.69 | (114 23) ST | 48 70 | 2 86 |
| *Share Price $70 821* | | | | | | | | |
| WYNN MACAU LTD UNSPON ADR (WYNMY) | 4/24/13 | 69 000 | 28 330 | 1,954 77 | 3,138.12 | 1 183 35 ST | 147 80 | 4 70 |
| *Share Price $45 480* | | | | | | | | |
| YPF SOCIEDAD ADS REP 1 CL-D SH (YPF) | 4/24/13 | 47 000 | 12 790 | 601 13 | 1,549 12 | 947.99 ST | | |
| | 7/24/13 | 65 000 | 16 797 | 1,091 82 | 2,142 40 | 1,050 58 ST | | |
| | Total | 112 000 | | 1,692 95 | 3,691.52 | 1 998.57 ST | 28 56 | 0 77 |
| *Share Price $32 960* | | | | | | | | |
| COMMON STOCKS | | | | $93,260.64 | $93,747 16 | $486.50 ST | $2,795 12<br>$0 00 | 2 98% |

### PREFERRED STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| VALE S.A. SP (VALE'P) | 4/24/13 | 105 000 | $16.010 | $1,681 05 | $1,471.05 | $(210 00) ST | $13 76 | 0 93 |
| *Share Price $14 010* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 94.0% | $94,941.69 | $95,218.21 | $276.50 ST | $2,808 88<br>$0.00 | 2 95% |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | 100.0% | $94,941 69 | $101,255 07 | $276.50 ST | $2,811 88<br>$0.00 | 2 78% |

TOTAL VALUE (includes accrued interest) $101,255.07

*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included*

## Account Detail

Fiduciary Services Active Assets Account
272-024771-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

Investment Objectives†: Capital Appreciation, Income, Aggressive Income, Speculation

Investment Advisory Account
Manager: APEX CAPITAL MANAGEMENT, INC

*† See the Expanded Disclosures for more information about investment objectives Please review for accuracy and inform us if your investment objectives change*

## HOLDINGS

*This section reflects positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period indicated may not reflect the value that could be obtained in the market See "Pricing of Securities" in the Expanded Disclosures Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date Estimated annual income and estimated yield for certain securities may include return of principal or capital gains which could overstate such estimates Actual income or yield may be lower or higher than the estimates Estimated yield reflects only the income generated by an investment and, it does not reflect changes in its price, which may fluctuate Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column Estimated accrued interest, estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest If you hold structured products, see "Special Considerations Regarding Structured Products" in the Disclosures. New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns Refer to the Expanded Disclosures for additional information*

### CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

*Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis Estimated Annual income, Accrued Interest, and APY% will only be displayed for fully settled positions*

| Description | Value | Estimated Annual Income | 7-Day Current Yield % | Annual Percentage Yield % |
|---|---|---|---|---|
| CASH | $8.26 | | | |
| MORGAN STANLEY BANK N.A. # | 5,219 41 | 3 00 | — | 0.050 |

| | Percentage of Assets % | Market Value | Estimated Annual Income Accrued Interest |
|---|---|---|---|
| CASH, BDP, AND MMFs | 4.1% | $5,227.67 | $3.00<br>$0.00 |

*# Bank Deposits are held at either. (1) Morgan Stanley Bank, N A., and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N A , each a national bank and FDIC member.*

# Account Detail

Fiduciary Services Active Assets Account
272 024771 129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| AFFILIATED MGRS GROUP INC (AMG) | 4/24/13 | 10 000 | $148 700 | $1 487 00 | $2,168 80 | $681 80 ST | — | — |
| *Share Price $216 880* | | | | | | | | |
| AKORN INC (AKRX) | 12/4/13 | 14 000 | 25 556 | 357 78 | 344 68 | (13 10) ST | | |
| | 12/5/13 | 33 000 | 25 402 | 838 27 | 812 46 | (25 81) ST | | |
| | Total | 47 000 | | 1 196 05 | 1 157 14 | (38 91) ST | — | — |
| *Share Price $24 620* | | | | | | | | |
| ALBEMARLE CORPORATION (ALB) | 4/24/13 | 19 000 | 59 030 | 1 121 57 | 1 204 41 | 82 84 ST | 18 24 | 1 51 |
| *Share Price $63 390 Next Dividend Payable 01/02/14* | | | | | | | | |
| ALLIANCE DATA SYSTEMS CORP (ADS) | 4/24/13 | 13 000 | 166 020 | 2 158 26 | 3,418 09 | 1 259 83 ST | — | — |
| *Share Price $262 930* | | | | | | | | |
| AOL INC (AOL) | 6/13/13 | 29 000 | 35 6*3 | 1 032 78 | 1 351 98 | 319 20 ST | | |
| | 11/7/13 | 12 000 | 42 273 | 507 28 | 559 44 | 52 16 ST | | |
| | Total | 41 000 | | 1 540 06 | 1,911 42 | 37* 36 ST | — | — |
| *Share Price $46 620* | | | | | | | | |
| AUTOLIV INC (ALV) | 4/24/13 | 26 000 | 67 740 | 1 761 24 | 2,386 80 | 625 56 ST | 54 08 | 2 26 |
| *Share Price $91 800 Next Dividend Payable 03/2014* | | | | | | | | |
| AUTONATION INC (AN) | 4/24/13 | 31 000 | 44 070 | 1 366 17 | 1 540 39 | 174 22 ST | — | — |
| *Share Price $49 690* | | | | | | | | |
| BE AEROSPACE INC (BEAV) | 7/5/13 | 16 000 | 64 077 | 1 025 23 | 1 392 48 | 367 25 ST | | |
| | 10/22/13 | 4 000 | 77 825 | 311 30 | 348 12 | 36 82 ST | | |
| | Total | 20 000 | | 1 336 53 | 1,740 60 | 404 07 ST | — | — |
| *Share Price $87 030* | | | | | | | | |
| CARRIZO OIL & GAS INC (CRZO) | 10/8/13 | 35 000 | 40 467 | 1 416 33 | 1,566 95 | 150 62 ST | — | — |
| *Share Price $44 770* | | | | | | | | |
| CBRE GROUP INC (CBG) | 4/24/13 | 84 000 | 24 150 | 2 028 60 | 2,209 20 | 180 60 ST | — | — |
| *Share Price $26 300* | | | | | | | | |
| CIENA CORP NEW (CIEN) | 12/20/13 | 41 000 | 22 536 | 923 97 | 981 13 | 57 16 ST | — | — |
| *Share Price $23 930* | | | | | | | | |
| CULLEN FROST BANKERS INC (CFR) | 4/24/13 | 20 000 | 60 380 | 1 207 60 | 1,488 60 | 281 00 ST | 40 00 | 2 68 |
| *Share Price $74 430 Next Dividend Payable 03/2014* | | | | | | | | |
| DANA HOLDING CORP (DAN) | 9/26/13 | 63 000 | 22 978 | 1 447 60 | 1,236 06 | (211 54) ST | 12 60 | 1 01 |
| *Share Price $19 620 Next Dividend Payable 02/20*4* | | | | | | | | |
| DILLARDS INC CL A (DDS) | 4/24/13 | 12 000 | 79 920 | 959 04 | 1 166 52 | 207 48 ST | 2 88 | 0 24 |
| *Share Price $97 210 Next Dividend Payable 02/03/14* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account 272-024771-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| DOLBY CLA A COM STK (DLB) | 4/24/13 | 33 000 | 32 260 | 1,064 58 | 1,272 48 | 207 90 ST | — | — |
| *Share Price $38 560* | | | | | | | | |
| ENERSYS (ENS) | 4/24/13 | 17 000 | 44 720 | 760 24 | 1,191 53 | 431 29 ST | 8 50 | 0 71 |
| *Share Price $70 090, Next Dividend Payable 03/2014* | | | | | | | | |
| ENTEGRIS INC (ENTG) | 4/24/13 | 102 000 | 9 590 | 978 18 | 1,182.18 | 204 00 ST | — | — |
| *Share Price $11 590* | | | | | | | | |
| EVERCORE PARTNERS INC CLASS A (EVR) | 4/24/13 | 24 000 | 36 350 | 872 40 | 1,434 72 | 562 32 ST | 24 00 | 1 67 |
| *Share Price $59 780, Next Dividend Payable 03/2014* | | | | | | | | |
| EXPEDIA INC NEW (EXPE) | 4/24/13 | 43 000 | 63 900 | 2 747 70 | 2,995 38 | 247 68 ST | 25 80 | 0 86 |
| *Share Price $69 660, Next Dividend Payable 03/2014* | | | | | | | | |
| F5 NETWORKS INC (FFIV) | 11/27/13 | 14 000 | 81 979 | 1 147 70 | 1,272.04 | 124 34 ST | — | — |
| *Share Price $90 860* | | | | | | | | |
| FEI COMPANY (FEIC) | 4/24/13 | 18 000 | 63 410 | 1 141 38 | 1,608 48 | 467 10 ST | 8 64 | 0 53 |
| *Share Price $89 360, Next Dividend Payable 01/2014* | | | | | | | | |
| FINISAR COM NEW (FNSR) | 12/20/13 | 40 000 | 22 981 | 919 22 | 956.80 | 37 58 ST | — | — |
| *Share Price $23 920* | | | | | | | | |
| FIRST REPUBLIC BANK (FRC) | 4/24/13 | 26 000 | 37 620 | 978 12 | 1,361 10 | 382 98 ST | | |
| | 9/18/13 | 13 000 | 47 093 | 612 21 | 680 55 | 68 34 ST | | |
| | **Total** | 39 000 | | 1,590 33 | 2,041 65 | 451 32 ST | 18 72 | 0 91 |
| *Share Price $52 350 Next Dividend Payable 02/2014* | | | | | | | | |
| FLEETCOR TECHNOLOGIES (FLT) | 4/24/13 | 22 000 | 76 190 | 1,676 18 | 2,577 74 | 901 56 ST | — | — |
| *Share Price $117 170* | | | | | | | | |
| GARTNER INC (IT) | 4/24/13 | 33 000 | 56 990 | 1,880 67 | 2,344 65 | 463 98 ST | — | — |
| *Share Price $71 050* | | | | | | | | |
| GENTEX CORP (GNTX) | 12/4/13 | 12 000 | 29 433 | 353 20 | 395 76 | 42 56 ST | | |
| | 12/5/13 | 28 000 | 29 373 | 822 44 | 923 44 | 101 00 ST | | |
| | **Total** | 40 000 | | 1 175 64 | 1,319.20 | 143 56 ST | 22 40 | 1 69 |
| *Share Price $32 980, Next Dividend Payable 01/2014* | | | | | | | | |
| GNC HOLDINGS,INC COM CL A (GNC) | 10/21/13 | 26 000 | 56 006 | 1,456 16 | 1 519 70 | 63 54 ST | | |
| | 12/20/13 | 10 000 | 58 355 | 583 55 | 584 50 | 0 95 ST | | |
| | **Total** | 36 000 | | 2 039 71 | 2,104.20 | 64 49 ST | 21 60 | 1 02 |
| *Share Price $58 450, Next Dividend Payable 03/2014* | | | | | | | | |
| HANGER INC (HGR) | 4/24/13 | 16 000 | 30 470 | 487 52 | 629.44 | 141 92 ST | — | — |
| *Share Price $39 340* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-024771-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| HEARTLAND PAYMENT SYS INC (HPY) | 4/24/13 | 36 000 | 30 580 | 1 100 88 | 1 794 24 | 693 36 ST | 10 08 | 0 56 |
| *Share Price $49 840, Next Dividend Payable 03/2014* | | | | | | | | |
| HELMERICH & PAYNE (HP) | 4/24/13 | 20 000 | 61 390 | 1 227 80 | 1,681 60 | 453 80 ST | 50 00 | 2 97 |
| *Share Price $84 080, Next Dividend Payable 03/2014* | | | | | | | | |
| IAC INTERACTIVECORP COM (IACI) | 4/24/'3 | 44 000 | 45 090 | 1,983 96 | 3,020 64 | 1,036 68 ST | 42 24 | 1 39 |
| *Share Price $68 651, Next Dividend Payable 03/2014* | | | | | | | | |
| INCYTE CORP (INCY) | 4/24/'3 | 12 000 | 22 060 | 264 72 | 607 56 | 342 84 ST | — | — |
| *Share Price $50 630* | | | | | | | | |
| INFORMATICA CORP (INFA) | 4/24/13 | 30 000 | 32 040 | 961 20 | 1,245 00 | 283 80 ST | — | — |
| *Share Price. $41 500* | | | | | | | | |
| INTERACTIVE BROKERS GROUP INC (IBKR) | 11/11/13 | 26 000 | 22 353 | 581 17 | 632.84 | 51 67 ST | 10 40 | 1 64 |
| *Share Price $24 340 Next Dividend Payable 03/2014* | | | | | | | | |
| INTERNATIONAL GAME TECH (IGT) | 5/9/13 | 90 000 | 17 548 | 1 579 33 | 1,634 40 | 55 07 ST | 39 60 | 2 42 |
| *Share Price. $18 160, Next Dividend Payable 01/03/14* | | | | | | | | |
| INTERXION HOLDING NV (INXN) | 4/24/13 | 30 000 | 23 560 | 706 80 | 708.30 | 1 50 ST | — | — |
| *Share Price. $23 610* | | | | | | | | |
| ISIS PHARM INC (ISIS) | 12/'7/13 | 40 000 | 37 785 | 1 510 60 | 1,593.60 | 83 00 ST | — | — |
| *Share Price $39 840* | | | | | | | | |
| JANUS CAPITAL GROUP INC (JNS) | 4/24/13 | 100 000 | 8 760 | 876 00 | 1,237 00 | 361 00 ST | 28 00 | 2 26 |
| *Share Price $12 370, Next Dividend Payable 02/2014* | | | | | | | | |
| JAZZ PHARMACEUTICALS PLC (JAZZ) | 7/15/13 | 15 000 | 72 546 | 1 088 19 | 1,898.40 | 810 21 ST | — | — |
| *Share Price $126 560* | | | | | | | | |
| KAPSTONE PAPER AND PACKAGING (KS) | 4/24/13 | 31 000 | 28 740 | 890 94 | 1,731.66 | 840 72 ST | — | — |
| *Share Price $55 860* | | | | | | | | |
| KERYX BIOPHARMCEUTICALS INC (KERX) | 11/25/13 | 47 000 | 12 807 | 601 95 | 608.65 | 6 70 ST | — | — |
| *Share Price $12 950* | | | | | | | | |
| MANHATTAN ASSOC INC (MANH) | 11/11/13 | 5 000 | 109 040 | 545 20 | 587.40 | 42 20 ST | — | — |
| *Share Price $117 480* | | | | | | | | |
| MARKETAXESS HOLDINGS INC (MKTX) | 11/11/13 | 8 000 | 66 701 | 533 61 | 535.42 | 1 81 ST | 4 '6 | 0 77 |
| *Share Price $66 928, Next Dividend Payable 02/2014* | | | | | | | | |
| MEDIVATION INC (MDVN) | 11/27/13 | 14 000 | 62 778 | 878 89 | 893.48 | 14 59 ST | — | — |
| *Share Price $63 820* | | | | | | | | |
| MERCADOLIBRE INC (MELI) | 4/24/13 | 21 000 | 94 260 | 1,979 46 | 2,263 59 | 284 13 ST | 12 01 | 0 53 |
| *Share Price $107 790 Next Dividend Payable 01/15/14* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-024771-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| MOMENTA PHARM INC (MNTA) | 12/20/13 | 35 000 | 17 436 | 610.27 | 618.80 | 8 53 ST | — | — |
| *Share Price $17 680* | | | | | | | | |
| NEUSTAR CLA A COM STK (NSR) | 12/4/13 | 10 000 | 48 679 | 486 79 | 498 60 | 11 81 ST | | |
| | 12/5/13 | 14 000 | 48 538 | 679 53 | 698.04 | 18 51 ST | | |
| | Total | 24 000 | | 1,166 32 | 1,196.64 | 30 32 ST | — | — |
| *Share Price $49 860* | | | | | | | | |
| NORDSON CP (NDSN) | 4/24/13 | 22 000 | 67.540 | 1,485 88 | 1,634 60 | 148 72 ST | 15 84 | 0 96 |
| *Share Price $74 300, Next Dividend Payable 01/07/14* | | | | | | | | |
| NXP SEMICONDUCTORS NV (NXPI) | 4/24/13 | 44 000 | 26.290 | 1,156 76 | 2,020.92 | 864 16 ST | — | — |
| *Share Price $45 930* | | | | | | | | |
| OLD DOMINION FREIGHT LINE (ODFL) | 12/4/13 | 9 000 | 51 304 | 461 74 | 477 18 | 15 44 ST | | |
| | 12/5/13 | 14 000 | 51 556 | 721.78 | 742.28 | 20.50 ST | | |
| | Total | 23 000 | | 1,183 52 | 1,219 46 | 35 94 ST | — | — |
| *Share Price. $53 020* | | | | | | | | |
| PAREXEL INTL CORP (PRXL) | 4/24/13 | 23.000 | 40.650 | 934 95 | 1,039.14 | 104 19 ST | — | — |
| *Share Price $45 180* | | | | | | | | |
| PETSMART INC (PETM) | 4/24/13 | 31 000 | 67.100 | 2,080 10 | 2,255 25 | 175 15 ST | 24 18 | 1 07 |
| *Share Price. $72 750, Next Dividend Payable 02/2014* | | | | | | | | |
| POLARIS INDUSTRIES INC (PII) | 4/24/13 | 18.000 | 86.450 | 1,556 10 | 2,621.52 | 1 065.42 ST | 30 24 | 1 15 |
| *Share Price: $145.640, Next Dividend Payable 03/2014* | | | | | | | | |
| ROBERT HALF INT (RHI) | 4/24/13 | 42.000 | 32 700 | 1,373 40 | 1,763.58 | 390 18 ST | | |
| | 12/20/13 | 20.000 | 40.698 | 813.96 | 839.80 | 25.84 ST | | |
| | Total | 62 000 | | 2,187 36 | 2,603 38 | 416 02 ST | 39 68 | 1 52 |
| *Share Price. $41.990, Next Dividend Payable 03/2014* | | | | | | | | |
| ROVI CORPORATION (ROVI) | 5/20/13 | 45 000 | 25.146 | 1 131.58 | 886.05 | (245.53) ST | — | — |
| *Share Price $19 690* | | | | | | | | |
| SALIX PHARM LTD (DE) (SLXP) | 7/11/13 | 15 000 | 69.179 | 1,037 69 | 1,349.10 | 311 41 ST | — | — |
| *Share Price. $89 940* | | | | | | | | |
| SALLY BEAUTY HLDGS INC (SBH) | 4/24/13 | 22.000 | 29 700 | 653 40 | 665.06 | 11 66 ST | — | — |
| *Share Price $30 230* | | | | | | | | |
| SIGNET JEWELERS LIMITED (SIG) | 4/24/13 | 38 000 | 67 270 | 2,556 26 | 2,990 60 | 434 34 ST | 22 80 | 0 76 |
| *Share Price $78.700, Next Dividend Payable 02/2014* | | | | | | | | |
| SILGAN HLDGS INC (SLGN) | 4/24/13 | 33 000 | 48 730 | 1,608 09 | 1,584.66 | (23 43) ST | 18 48 | 1.16 |
| *Share Price: $48 020; Next Dividend Payable 03/2014* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-024771-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| TIBCO SOFTWARE INC (TIBX) | 4/24/13 | 71 000 | 18 840 | 1,337 63 | 1,596 08 | 258 45 ST | — | — |
| *Share Price $22 480* | | | | | | | | |
| TOTAL SYSTEM SVCS (TSS) | 4/24/13 | 78 000 | 23 020 | 1 795 56 | 2,595.84 | 800 28 ST | 31 20 | 1 20 |
| *Share Price $33 280, Next Dividend Payable 01/02/14* | | | | | | | | |
| TOWERS WATSON & CO CL A (TW) | 4/24/13 | 19.000 | 72.330 | 1,374 27 | 2,424.59 | 1,050 32 ST | 10 64 | 0 43 |
| *Share Price $127 610, Next Dividend Payable 01/15/14* | | | | | | | | |
| UNITED RENTALS INC (URI) | 4/24/13 | 40 000 | 50 360 | 2,014 40 | 3,118.00 | 1,103 60 ST | — | — |
| *Share Price $77 950* | | | | | | | | |
| UNITED THERAPEUTICS CORP (UTHR) | 4/24/13 | 7 000 | 61 480 | 430 36 | 791 56 | 361 20 ST | — | — |
| *Share Price. $113 080* | | | | | | | | |
| UNIVERSAL HEALTH SERVICES B (UHS) | 4/24/13 | 30 000 | 62 650 | 1,879 50 | 2,437 80 | 558 30 ST | 6 00 | 0 24 |
| *Share Price $81 260, Next Dividend Payable 03/2014* | | | | | | | | |
| VALMONT INDUSTRIES (VMI) | 4/24/13 | 11 000 | 144 960 | 1,594 56 | 1,640.32 | 45 76 ST | 11 00 | 0 67 |
| *Share Price $149 120 Next Dividend Payable 01/15/14* | | | | | | | | |
| VISTEON CORP (VC) | 9/24/13 | 19 000 | 74 689 | 1,419 09 | 1,555.91 | 136 82 ST | | |
| | 10/22/13 | 5 000 | 78 222 | 391 11 | 409 45 | 18 34 ST | | |
| | Total | 24 000 | | 1,810 20 | 1,965.36 | 155 16 ST | — | — |
| *Share Price $81 890* | | | | | | | | |
| WABTEC (WAB) | 4/24/13 | 36 000 | 50 830 | 1,829 88 | 2,673 72 | 843 84 ST | 5 76 | 0 21 |
| *Share Price $74 270, Next Dividend Payable 02/2014* | | | | | | | | |
| WHITING PETROLEUM CORP (WLL) | 4/24/13 | 29 000 | 45 510 | 1,319 79 | 1,794.23 | 474 44 ST | — | — |
| *Share Price $61 870* | | | | | | | | |
| WILLIAMS SONOMA (WSM) | 4/24/13 | 32 000 | 52 070 | 1,666 24 | 1,864.96 | 198 72 ST | 39 68 | 2 12 |
| *Share Price $58 280 Next Dividend Payable 02/2014* | | | | | | | | |
| WISDOMTREE INVSTS INC (WETF) | 12/20/13 | 80 000 | 17 264 | 1,381 10 | 1,416 80 | 35 70 ST | — | — |
| *Share Price: $17 710* | | | | | | | | |
| WUXI PHARMATECH INC (WX) | 10/30/13 | 29 000 | 29 719 | 861 85 | 1,113 02 | 251 17 ST | | |
| | 11/29/13 | 13 000 | 33 005 | 429 06 | 498 94 | 69 88 ST | | |
| | Total | 42 000 | | 1,290 91 | 1,611.96 | 321 05 ST | — | — |
| *Share Price: $38 380* | | | | | | | | |
| WYNDHAM WORLDWIDE CORP (WYN) | 4/24/13 | 25 000 | 61.189 | 1,529 73 | 1,842.25 | 312 52 ST | 29 00 | 1 57 |
| *Share Price $73 690, Next Dividend Payable 03/2014* | | | | | | | | |
| XL GROUP PLC NEW (XL) | 4/24/13 | 59 000 | 31.460 | 1,856 14 | 1,878.56 | 22 42 ST | 33.04 | 1 75 |
| *Share Price $31 840 Next Dividend Payable 03/2014* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-024771-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 95 9% | $98,200.72 | $122,117.59 | $23,916.87 ST | $771.49<br>$0.00 | 0.63% |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | 100.0% | $98,200.72 | $127,345.26 | $23,916.87 ST | $774.49<br>$0.00 | 0.61% |

TOTAL VALUE (includes accrued interest) $127,345.26

*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included*

# Account Detail

Fiduciary Services Active Assets Account 272-027493-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

Investment Objectives† · Capital Appreciation Aggressive ncome Income Speculation

Investment Advisory Account
Manager ATALANTA SOSNOFF CAPITAL LLC

*† See the Expanded Disclosures for more information about investment objectives Please review for accuracy and inform us if your investment objectives change*

## HOLDINGS

*This section reflects positions purchased/sold on a trade date basis and will include positions purchased and omit positions sold in the current month "Market Value and "Unrealized Gain/(Loss) are representative values as of the last business day of the statement period indicated may not affect the value that could be obtained in the market See "Pricing of Securities in the Expanded Disclosures Fixed Income securities are sorted by maturity or pre refunding date and alphabetically within date Estimated annual income and estimated yield for certain securities may include return of principal or capital gains which could overstate such estimates Actual income or yield may be lower or higher than the estimates Estimated yield reflects only the income generated by an investment and it does not reflect changes in its price which may fluctuate Structured products appear in various statement product categories and are identified as "Structured Products in the Security Description column Estimated accrued interest estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest If you hold structured products see Special Considerations Regarding Structured Products in the Disclosures New Treasury regulations require that we report on Form 1099 B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long term or short term on the sale of covered securities acquired on or after January 1 2011 These regulations also require that we make basis adjustments on covered securities due to wash sales certain corporate actions and transfers by gift or inheritance which will be reflected on you Form 1099 B Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns Refer to the Expanded Disclosures for additional information*

### CASH BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

*Cash Bank Deposit Program and Money Market Funds are generally displayed on a settlement date basis Estimated Annual Income Accrued Interest and APY% will only be displayed for fully settled positions*

| Description | Value | Estimated Annual Income | 7 Day Current Yield % | Annual Percentage Yield % |
|---|---|---|---|---|
| MORGAN STANLEY BANK N A # | $3 382 33 | $2 00 | — | 0 050 |

| | Percentage of Assets % | Market Value | Estimated Annual Income Accrued Interest |
|---|---|---|---|
| CASH BDP AND MMFs | 2 3% | $3,382 33 | $2 00<br>$0 00 |

*# Bank Deposits are held at either (1) Morgan Stanley Bank N A and/or Morgan Stanley Private Bank, National Association affiliates of Morgan Stanley Smith Barney LLC or (2) Citibank N A each a national bank and FDIC member*

### STOCKS

#### COMMON STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| ACE LTD (ACE) | 8/15/13 | 14 000 | $89 559 | $1 253 83 | $1,449 42 | $195 59 ST | $35 28 | 2 43 |
| *Share Price $103 530 Next Dividend Payable 01/2014* | | | | | | | | |
| ALLSTATE CORP (ALL) | 6/6/13 | 13 000 | 46 045 | 598 59 | 709 02 | 110 43 ST | | |
| | 6/7/13 | 13 000 | 47 226 | 613 94 | 709 02 | 95 08 ST | | |
| | 6/21/13 | 13 000 | 47 225 | 613 92 | 709 02 | 95 10 ST | | |
| | 6/26/13 | 12 000 | 47 756 | 573 07 | 654 48 | 81 41 ST | | |

# Account Detail

Fiduciary Services Active Assets Account
272-027493-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | 7/8/13 | 13 000 | 50 179 | 652 33 | 709 02 | 56 69 ST | | |
| | Total | 64 000 | | 3,051 85 | 3,490 56 | 438 71 ST | 64 00 | 1 83 |
| *Share Price. $54 540, Next Dividend Payable 01/02/14* | | | | | | | | |
| AMAZON COM INC (AMZN) | 8/16/12 | 1.000 | 238.375 | 238 38 | 398 79 | 160 41 LT | | |
| | 9/14/12 | 2 000 | 262 635 | 525 27 | 797.58 | 272 31 LT | | |
| | 1/25/13 | 1.000 | 281 790 | 281 79 | 398 79 | 117 00 ST | | |
| | 1/28/13 | 2.000 | 277 060 | 554 12 | 797 58 | 243.46 ST | | |
| | 9/24/13 | 2 000 | 316 560 | 633 12 | 797.58 | 164 46 ST | | |
| | Total | 8 000 | | 2,232 68 | 3,190 32 | 432.72 LT<br>524 92 ST | — | — |
| *Share Price $398 790* | | | | | | | | |
| AMER INTL GP INC NEW (AIG) | 1/22/13 | 18.000 | 35.988 | 647 78 | 918 90 | 27‑ 12 ST | | |
| | 5/23/13 | 9.000 | 44 556 | 401 00 | 459 45 | 58 45 ST | | |
| | 6/21/13 | 13 000 | 43 857 | 570 14 | 663 65 | 93 51 ST | | |
| | Total | 40.000 | | 1,618 92 | 2,042 00 | 423.08 ST | 16 00 | 0 78 |
| *Share Price $51 050, Next Dividend Payable 03/2014* | | | | | | | | |
| AMERICAN EXPRESS CO (AXP) | 5/24/11 | 10.000 | 50 646 | 506.46 | 907 30 | 400 84 LT | | |
| | 6/16/11 | 11 000 | 48 139 | 529 53 | 998 03 | 468 50 LT | | |
| | 6/22/11 | 10.000 | 49 991 | 499 91 | 907 30 | 407 39 LT | | |
| | Total | 31.000 | | 1,535.90 | 2,812 63 | 1,276 73 LT | 28 52 | 1 01 |
| *Share Price $90 730, Next Dividend Payable 02/2014* | | | | | | | | |
| AMERICAN TOWER REIT COM (AMT) | 5/24/06 | 3.000 | 30 416 | 91.25 | 239 46 | 148.2' LT | | |
| | 9/8/10 | 10 000 | 49 355 | 493.55 | 798.20 | 304 65 LT | | |
| | 9/9/11 | 6 000 | 52 460 | 314 76 | 478 92 | 164 16 LT | | |
| | Total | 19.000 | | 899 56 | 1,516.58 | 617.02 LT | 22 04 | 1 45 |
| *Share Price $79.820, Next Dividend Payable 03/2014* | | | | | | | | |
| APPLE INC (AAPL) | 10/21/13 | 2 000 | 521 885 | 1,043 77 | 1,122 04 | 78 27 ST | | |
| | 10/24/13 | 2.000 | 530 805 | 1,061.61 | 1,122 04 | 60.43 ST | | |
| | Total | 4.000 | | 2,105 38 | 2,244.08 | 138 70 ST | 48 80 | 2 17 |
| *Share Price $561.020, Next Dividend Payable 02/2014* | | | | | | | | |
| BANK OF AMERICA CORP (BAC) | 11/27/12 | 54.000 | 9 897 | 534.46 | 840 78 | 306 32 LT | | |
| | 2/12/13 | 43 000 | 12 127 | 521.47 | 669 51 | 148 04 ST | | |
| | 5/23/13 | 27.000 | 13 322 | 359 70 | 420 39 | 60.69 ST | | |
| | 7/18/13 | 48 000 | 14 833 | 711 96 | 747.36 | 35 40 ST | | |

# Account Detail

Fiduciary Services Active Assets Account
272-027493-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 172 000 | | 2 127 59 | 2 678 04 | 306 32 LT<br>244 13 ST | 6 88 | 0 25 |
| *Share Price $15 570, Next Dividend Payable 03/2014* | | | | | | | | |
| BIOGEN IDEC INC (BIIB) | 4/11/12 | 2 000 | 126 953 | 253 91 | 559 14 | 305 23 LT | | |
| | 5/30/12 | 3 000 | 130 887 | 392 66 | 838 72 | 446 06 LT | | |
| | 10/31/12 | 2 000 | 138 810 | 277 62 | 559 14 | 281 52 LT | | |
| | 12/24/13 | 2 000 | 281 945 | 563 89 | 559 14 | (4 75) ST | | |
| | Total | 9 000 | | 488 08 | 2 516 14 | 1 032 81 LT<br>(4 75) ST | — | — |
| *Share Price $279 572* | | | | | | | | |
| BOEING CO (BA) | 3/10/10 | 1 000 | 69 390 | 69 39 | 136 49 | 67 10 LT | | |
| | 4/29/11 | 7 000 | 79 713 | 557 99 | 955 43 | 397 44 LT | | |
| | 12/27/11 | 7 000 | 74 403 | 520 82 | 955 43 | 434 61 LT | | |
| | 7/2/12 | 6 000 | 72 728 | 436 37 | 818 94 | 382 57 LT | | |
| | 5/6/13 | 6 000 | 94 438 | 566 63 | 818 94 | 252 31 ST | | |
| | 5/14/13 | 7 000 | 95 089 | 665 62 | 955 43 | 289 81 ST | | |
| | 5/20/13 | 6 000 | 98 860 | 593 16 | 818 94 | 225 78 ST | | |
| | 6/26/13 | 3 000 | 100 770 | 302 31 | 409 47 | 107 16 ST | | |
| | 9/23/13 | 5 000 | 117 218 | 586 09 | 682 45 | 96 36 ST | | |
| | 9/24/13 | 5 000 | 119 458 | 597 29 | 682 45 | 85 16 ST | | |
| | Total | 53 000 | | 4,895 67 | 7,233 97 | 1 281 72 LT<br>1 056 58 ST | 154 76 | 2 13 |
| *Share Price $136 490, Next Dividend Payable 03/2014* | | | | | | | | |
| BRISTOL MYERS SQUIBB CO (BMY) | 10/28/13 | 14 000 | 51 789 | 725 04 | 744 10 | 19 06 ST | | |
| | 11/13/13 | 6 000 | 51 728 | 310 37 | 318 90 | 8 53 ST | | |
| | Total | 20 000 | | 1 035 41 | 1,063 00 | 27 59 ST | 28 80 | 2 70 |
| *Share Price $53 150 Next Dividend Payable 02/03/14* | | | | | | | | |
| CDN PACIFIC RY LTD NEW (CP) | 4/5/13 | 2 000 | 116 980 | 233 96 | 302 64 | 68 68 ST | | |
| | 4/8/13 | 2 000 | 122 700 | 245 40 | 302 64 | 57 24 ST | | |
| | 4/10/13 | 5 000 | 122 224 | 611 12 | 756 60 | 145 48 ST | | |
| | 4/23/13 | 3 000 | 123 777 | 371 33 | 453 96 | 82 63 ST | | |
| | 4/23/13 | 2 000 | 122 105 | 244 21 | 302 64 | 58 43 ST | | |
| | 5/20/13 | 5 000 | 137 480 | 687 40 | 756 60 | 69 20 ST | | |
| | 6/4/13 | 4 000 | 128 313 | 513 25 | 605 28 | 92 03 ST | | |
| | 12/20/13 | 3 000 | 153 997 | 461 99 | 453 96 | (8 03) ST | | |

# Account Detail

Fiduciary Services Active Assets Account
272-027493-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 26 000 | | 3 368 66 | 3,934.32 | 565 66 ST | 34 53 | 0 87 |
| *Share Price. $151 320 Next Dividend Payable 01/27/14* | | | | | | | | |
| CELGENE CORP (CELG) | 2/11/13 | 5 000 | 100 334 | 501 67 | 844 83 | 343.16 ST | | |
| | 2/20/13 | 6 000 | 102.962 | 617.77 | 1,013 80 | 396 03 ST | | |
| | 3/5/13 | 5 000 | 108 436 | 542 18 | 844 83 | 302.65 ST | | |
| | 3/12/13 | 5 000 | 113 442 | 567.21 | 844 83 | 277 62 ST | | |
| | 4/12/13 | 5 000 | 123 024 | 615.12 | 844 83 | 229 71 ST | | |
| | Total | 26 000 | | 2 843 95 | 4,393.16 | 1,549 17 ST | — | — |
| *Share Price: $168 968* | | | | | | | | |
| CHARLES SCHWAB NEW (SCHW) | 3/7/12 | 38 000 | 13 872 | 527 14 | 988 00 | 460 86 LT | | |
| | 3/9/12 | 37.000 | 14 114 | 522 23 | 962 00 | 439 77 LT | | |
| | Total | 75 000 | | 1,049 37 | 1,950.00 | 900.63 LT | 18 00 | 0 92 |
| *Share Price $26 000, Next Dividend Payable 02/2014* | | | | | | | | |
| CHEVRON CORP (CVX) | 6/7/12 | 5.000 | 101 034 | 505 17 | 624 55 | 119 38 LT | | |
| | 7/17/12 | 3 000 | 107 150 | 321.45 | 374 73 | 53 28 LT | | |
| | 4/11/13 | 4 000 | 120 918 | 483 67 | 499.64 | 15 97 ST | | |
| | 4/25/13 | 5 000 | 119 832 | 599 16 | 624 55 | 25 39 ST | | |
| | Total | 17.000 | | 1,909.45 | 2,123 47 | 172 66 LT<br>41 36 ST | 68 00 | 3.20 |
| *Share Price: $124 910, Next Dividend Payable 03/2014* | | | | | | | | |
| CITIGROUP INC NEW (C) | 3/9/11 | 7 000 | 53.381 | 373.67 | 364 77 | (8 90) LT H | | |
| | 10/31/11 | 11 000 | 32.362 | 355 98 | 573 21 | 217.23 LT | | |
| | 3/14/12 | 14.000 | 35.069 | 490 96 | 729.54 | 238.58 LT | | |
| | 7/27/12 | 17.000 | 26 687 | 453.68 | 885.87 | 432 19 LT | | |
| | 9/26/12 | 19.000 | 32 729 | 621 86 | 990 09 | 368.23 LT | | |
| | 10/31/12 | 9.000 | 36 980 | 332.82 | 468 99 | 136 17 LT | | |
| | 9/19/13 | 14 000 | 52 344 | 732 82 | 729 54 | (3 28) ST | | |
| | 9/19/13 | 14.000 | 52.249 | 731.48 | 729.54 | (1 94) ST | | |
| | Total | 105.000 | | 4,093 27 | 5,471.55 | 1,383.50 LT<br>(5 22) ST | 4.20 | 0 07 |
| *Share Price: $52.110; Next Dividend Payable 02/2014, Basis Adjustment Due to Wash Sale. $126 69* | | | | | | | | |
| CME GROUP INC (CME) | 6/25/13 | 8.000 | 76 514 | 612 11 | 627 68 | 15 57 ST | | |
| | 6/27/13 | 8 000 | 76 658 | 613 26 | 627 68 | 14 42 ST | | |
| | Total | 16 000 | | 1 225 37 | 1,255.36 | 29 99 ST | 28 80 | 2.29 |
| *Share Price $78 460, Next Dividend Payable 03/2014* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-027493-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| COMCAST CORP (NEW) CLASS A (CMCSA) | 7/11/12 | 16 000 | 31 548 | 504 76 | 831 44 | 326 68 LT | | |
| | 9/6/12 | 15 000 | 34 488 | 517 32 | 779 47 | 262 15 LT | | |
| | 9/14/12 | 15 000 | 35 329 | 529 94 | 779 47 | 249 53 LT | | |
| | 11/7/12 | 8 000 | 36 471 | 291 77 | 415 72 | 123 95 LT | | |
| | Total | 54 000 | | 1 843 79 | 2,806.11 | 962 31 LT | 42 12 | 1 50 |
| *Share Price $51 965, Next Dividend Payable 01/23/14* | | | | | | | | |
| CVS CAREMARK CORP (CVS) | 9/6/11 | 3 000 | 35 703 | 107 11 | 214 71 | 107 60 LT | | |
| | 9/15/11 | 12 000 | 36 772 | 441 26 | 858 84 | 417 58 LT | | |
| | 5/3/12 | 12 000 | 46 075 | 552 90 | 858 84 | 305 94 LT | | |
| | 8/21/12 | 12 000 | 45 779 | 549 35 | 858 84 | 309 49 LT | | |
| | 12/24/13 | 5 000 | 71 056 | 355 28 | 357 85 | 2 57 ST | | |
| | Total | 44 000 | | 2,005 90 | 3,149 08 | 1 140 61 LT<br>2 57 ST | 48 40 | 1 53 |
| *Share Price $71 570, Next Dividend Payable 02/2014* | | | | | | | | |
| EBAY INC (EBAY) | 6/1/12 | 3 000 | 38 844 | 116 53 | 164 59 | 48 06 LT | | |
| | 6/15/12 | 13 000 | 40 633 | 528 23 | 713 24 | 185 01 LT | | |
| | 6/21/12 | 6 000 | 41 887 | 251 32 | 329 19 | 77 87 LT | | |
| | 1/17/13 | 4 000 | 54 633 | 218.53 | 219 46 | 0 93 ST | | |
| | Total | 26 000 | | 1,114 6[illegible] | 1,426 49 | 310 94 LT<br>0 93 ST | — | — |
| *Share Price $54 865* | | | | | | | | |
| EXPRESS SCRIPTS HLDG CO COM (ESRX) | 8/2/11 | 2 000 | 52.24[illegible] | 104.48 | 140 48 | 36 00 LT | | |
| | 2/6/12 | 14 000 | 49 969 | 699 57 | 983 36 | 283 79 LT | | |
| | 5/25/12 | 6 000 | 52 372 | 314 23 | 421 44 | 107 21 LT | | |
| | Total | 22 000 | | 1,118.28 | 1,545.28 | 427 00 LT | — | — |
| *Share Price $70 240* | | | | | | | | |
| GENERAL ELECTRIC CO (GE) | 12/21/11 | 27 000 | 17.564 | 474.23 | 756 81 | 282 58 LT | | |
| | 5/21/12 | 5 000 | 19 064 | 95 32 | 140 15 | 44 83 LT | | |
| | 6/21/12 | 15 000 | 19 563 | 293 44 | 420 45 | 127 01 LT | | |
| | 11/7/12 | 13 000 | 21 180 | 275 34 | 364 39 | 89 05 LT | | |
| | 4/8/13 | 21 000 | 23 040 | 483 84 | 588 63 | 104 79 ST | | |
| | Total | 81 000 | | 1,622 17 | 2,270.43 | 543 47 LT<br>104 79 ST | 71 28 | 3 13 |
| *Share Price $28 030, Next Dividend Payable 01/27/14* | | | | | | | | |
| GENERAL MTRS CO (GM) | 9/7/12 | 22 000 | 23.334 | 513 36 | 899 14 | 385 78 LT | | |
| | 10/3/12 | 20 000 | 24 545 | 490 89 | 817 40 | 326 51 LT | | |
| | 10/31/12 | 23 000 | 25 362 | 583 32 | 940 0[illegible] | 356 69 LT | | |

# Account Detail

Fiduciary Services Active Assets Account
272-027493-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | 7/15/13 | 17 000 | 36 536 | 621 11 | 694 79 | 73 68 ST | | |
| | 9/4/13 | 17 000 | 35 644 | 605 94 | 694 79 | 88 85 ST | | |
| | Total | 99 000 | | 2 814 62 | 4 046 13 | 1 068 98 LT<br>162 53 ST | — | — |
| *Share Price $40 870* | | | | | | | | |
| GILEAD SCIENCE (GILD) | 4/13/12 | 21 000 | 22 948 | 481 92 | 1 577 10 | 1,095 18 LT | | |
| | 4/19/12 | 12 000 | 26 108 | 313 29 | 901 20 | 587 91 LT | | |
| | 5/30/12 | 16 000 | 25 307 | 404 91 | 1 201 60 | 796 69 LT | | |
| | 10/4/12 | 4 000 | 34 833 | 139 33 | 300 40 | 161 07 LT | | |
| | Total | 53 000 | | 1 339 45 | 3,980 30 | 2 640 85 LT | — | — |
| *Share Price $75 100* | | | | | | | | |
| GOLDMAN SACHS GRP INC (GS) | 10/15/12 | 1 000 | 123 856 | 123 86 | 177 26 | 53 40 LT | | |
| | 12/21/12 | 3 000 | 127 997 | 383 99 | 531 78 | 147 79 LT | | |
| | 1/16/13 | 4 000 | 140 658 | 562 63 | 709 04 | 146 41 ST | | |
| | 1/18/13 | 3 000 | 144 390 | 433 17 | 531 78 | 98 61 ST | | |
| | 1/22/13 | 4 000 | 146 105 | 584 42 | 709 04 | 124 62 ST | | |
| | Total | 15 000 | | 2 088 07 | 2 658 90 | 201 19 LT<br>369 64 ST | 33 00 | 1 24 |
| *Share Price $177 260 Next Dividend Payable 03/2014* | | | | | | | | |
| GOOGLE INC-CL A (GOOG) | 8/10/11 | 1 000 | 557 960 | 557 96 | 1 120 71 | 562 75 LT | | |
| | 8/11/11 | 1 000 | 563 910 | 563 91 | 1 120 71 | 556 80 LT | | |
| | 8/30/11 | 1 000 | 539 170 | 539 17 | 1 120 71 | 581 54 LT | | |
| | 9/20/11 | 1 000 | 552 230 | 552 23 | 1 120 71 | 568 48 LT | | |
| | 2/26/13 | 1 000 | 791 990 | 791 99 | 1 120 71 | 328 72 ST | | |
| | 3/8/13 | 1 000 | 828 250 | 828 25 | 1 120 71 | 292 46 ST | | |
| | Total | 6 000 | | 3 833 51 | 6,724 26 | 2 269 57 LT<br>621 18 ST | — | — |
| *Share Price $1 120 710* | | | | | | | | |
| HALLIBURTON CO (HAL) | 8/2/12 | 1 000 | 32 573 | 32 57 | 50 75 | 18 18 LT | | |
| | 8/3/12 | 16 000 | 33 985 | 543 76 | 812 00 | 268 24 LT | | |
| | 8/21/12 | 14 000 | 35 201 | 492 82 | 710 50 | 217 68 LT | | |
| | 12/6/12 | 9 000 | 33 426 | 300 83 | 456 75 | 155 92 LT | | |
| | 12/12/12 | 9 000 | 34 087 | 306 78 | 456 75 | 149 97 LT | | |
| | 4/29/13 | 16 000 | 41 795 | 668 72 | 812 00 | 143 28 ST | | |
| | 11/6/13 | 12 000 | 54 431 | 653 17 | 609 00 | (44 17) ST | | |

# Account Detail

Fiduciary Services Active Assets Account
272-027493-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 77 000 | | 2 998 65 | 3,907 75 | 809 99 LT<br>99 1 ST | 46 20 | 1 18 |
| *Share Price $50 750 Next Dividend Payable 03/2014* | | | | | | | | |
| HOME DEPOT INC (HD) | 10/18/11 | 13 000 | 35 513 | 461 67 | 1 070 42 | 608 75 LT | | |
| | 10/21/11 | 2 000 | 36 330 | 435 96 | 988 08 | 552 12 LT | | |
| | 11/23/'1 | '1 000 | 36 594 | 402 53 | 905 74 | 503 2' LT | | |
| | Total | 36 000 | | 1 300 16 | 2,964 24 | 1 664 08 LT | 56 16 | 1 89 |
| *Share Price $82 340 Next Dividend Payable 03/2014* | | | | | | | | |
| HONEYWELL INTERNATIONAL INC (HON) | 10/7'13 | '5 000 | 83 185 | 1 247 78 | 1 370 55 | 122 77 ST | | |
| | 11/14/13 | 8 000 | 88 363 | 706 90 | 730 96 | 24 06 ST | | |
| | 12/24/13 | 5 000 | 90 298 | 451 49 | 456 85 | 5 36 ST | | |
| | Total | 28 000 | | 2 406 17 | 2 558 36 | 152 19 ST | 50 40 | 1 97 |
| *Share Price $91 370 Next Dividend Payable 03/2014* | | | | | | | | |
| JOHNSON & JOHNSON (JNJ) | 1/14'13 | 7 000 | 72 664 | 508 65 | 641 13 | 132 48 ST | | |
| | 3/26 13 | 15 000 | 80 211 | 1 203 17 | 1 373 85 | 170 68 ST | | |
| | 10/23/13 | 8 000 | 9' 938 | 735 50 | 732 72 | (2 78) ST | | |
| | Total | 30 000 | | 2 447 32 | 2,747 70 | 300 38 ST | 79 20 | 2 88 |
| *Share Price $91 590 Next Dividend Payable 03/2014* | | | | | | | | |
| JPMORGAN CHASE & CO (JPM) | 3/21/12 | 4 000 | 45 181 | 180 72 | 233 92 | 53 20 LT | | |
| | 8/21/12 | 13 000 | 38 188 | 496 45 | 760 24 | 263 79 LT | | |
| | 9/6/12 | 8 000 | 38 579 | 308 63 | 467 84 | 159 21 LT | | |
| | 9/7/12 | 7 000 | 39 324 | 275 27 | 409 36 | '34 09 LT | | |
| | 9/26/12 | 15 000 | 40 502 | 607 53 | 877 20 | 269 67 LT | | |
| | 10/16/12 | 4 000 | 42 990 | 171 98 | 233 92 | 61 96 LT | | |
| | 10/31/12 | 13 000 | 41 504 | 539 55 | 760 24 | 220 69 LT | | |
| | 12/18/12 | 13 000 | 43 895 | 570 63 | 760 24 | 189 61 LT | | |
| | Total | 77 000 | | 3 150 74 | 4,502 96 | 1 352 22 LT | 117 04 | 2 59 |
| *Share Price $58 480 Next Dividend Payable 01/2014* | | | | | | | | |
| LAS VEGAS SANDS CORPORATION (LVS) | 2/9/12 | 2 000 | 52 406 | 104 81 | 157 74 | 52 93 LT | | |
| | 2/28/12 | 9 000 | 54 667 | 492 00 | 709 83 | 217 83 LT | | |
| | 5/3/13 | 10 000 | 57 609 | 576 09 | 788 70 | 212 61 ST | | |
| | 8/28/13 | 13 000 | 56 010 | 728 13 | 1 025 31 | 297 18 ST | | |
| | 10/18/13 | 5 000 | 72 612 | 363 06 | 394 35 | 31 29 ST | | |
| | Total | 39 000 | | 2 264 09 | 3 075 93 | 270 76 LT<br>541 08 ST | 54 60 | ' 77 |
| *Share Price $78 870 Next Dividend Payable 03/2014* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-027493-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| LIBERTY GLOBAL PLC CL A NEW (LBTYA) | 2/6/13 | 2 000 | 65 928 | 131 86 | 178 00 | 46 14 ST | | |
| | 3/18/13 | 4 000 | 68 050 | 272 20 | 356 00 | 83 80 ST | | |
| | 3/26/13 | 9 000 | 70 791 | 637 12 | 801 00 | 163 88 ST | | |
| | 3/27/13 | 3 000 | 70 917 | 212 75 | 267 00 | 54 25 ST | | |
| | Total | 18 000 | | 1 253 93 | 1 602 00 | 348 07 ST | — | — |
| *Share Price $89 000* | | | | | | | | |
| LINKEDIN CORP A (LNKD) | 10/10/13 | 3 000 | 225 837 | 677 51 | 650 49 | '27 02) ST | — | — |
| *Share Price $216 830* | | | | | | | | |
| LOWES COMPANIES INC (LOW) | 12/18/12 | 15 000 | 35 869 | 538 03 | 743 25 | 205 22 LT | | |
| | 5/8/13 | 16 000 | 41 172 | 658 75 | 792 80 | 134 05 ST | | |
| | Total | 31 000 | | 1 196 78 | 1 536 05 | 205 22 LT<br>134 05 ST | 22 32 | 1 45 |
| *Share Price $49 550 Next Dividend Payable 02/2014* | | | | | | | | |
| MARSH & MCLENNAN COS INC (MMC) | 7/27/12 | 15 000 | 33 381 | 500 72 | 725 40 | 224 68 LT | | |
| | 8/7 12 | 16 000 | 33 941 | 543 05 | 773 76 | 230 71 LT | | |
| | Total | 31 000 | | 1 043 77 | 1,499 16 | 455 39 LT | 31 00 | 2 06 |
| *Share Price $48 360 Next Dividend Payable 02/2014* | | | | | | | | |
| MASTERCARD INC CL A (MA) | 9/16/11 | 2 000 | 349 420 | 698 84 | 1 670 92 | 972 08 LT | | |
| | 9/20/11 | 1 000 | 358 580 | 358 58 | 835 46 | 476 88 LT | | |
| | 9/23/11 | 1 000 | 336 720 | 336 72 | 835 46 | 498 74 LT | | |
| | Total | 4 000 | | 1 394 14 | 3,341.84 | 1 947 70 LT | 17 60 | 0 52 |
| *Share Price $835 460 Next Dividend Payable 02/2014* | | | | | | | | |
| NEWS CORPORATION CL A (NWSA) | 8/12/11 | 14 000 | 7 691 | 107 68 | 252 28 | 144 60 LT | | |
| | 8/24/11 | 7 000 | 7 350 | 51 45 | 126 14 | 74 69 LT | | |
| | 7/2/12 | 2 000 | 10 405 | 20 81 | 36 04 | 15 23 LT | | |
| | 8/21/12 | 6 000 | 10 267 | 61 60 | 108 12 | 46 52 LT | | |
| | 7/10/13 | 52 000 | 15 485 | 805 21 | 937 04 | 131 83 ST | | |
| | Total | 81 000 | | 1 046 75 | 1,459 62 | 281 04 LT<br>131 83 ST | — | — |
| *Share Price $18 020* | | | | | | | | |
| PFIZER INC (PFE) | 3/15/11 | 29 000 | 19 748 | 572 70 | 888 27 | 315 57 LT | | |
| | 5/3/11 | 29 000 | 20 175 | 585 07 | 888 27 | 303 20 LT | | |
| | 10/1/12 | 49 000 | 25 039 | 1 226 90 | 1 500 87 | 273 97 LT | | |
| | Total | 107 000 | | 2 384 67 | 3,277 41 | 892 74 LT | 11' 28 | 3 39 |
| *Share Price $30 630 Next Dividend Payable 03/2014* | | | | | | | | |

# Account Detail

Fiduciary Services Active Assets Account
272-027493-129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| PRECISION CASTPARTS CORP (PCP) | 12/21/09 | 4 000 | 113 368 | 453 47 | 1 077 20 | 623 73 LT | | |
| | 5/21/12 | 2 000 | 171 330 | 342 66 | 538 60 | 195 94 LT | | |
| | 5/23/13 | 4 000 | 209 955 | 839 82 | 1 077 20 | 237 38 ST | | |
| | 6/27/13 | 1 000 | 225 390 | 225 39 | 269 30 | 43 91 ST | | |
| | Total | 11 000 | | 1 861 34 | 2 962 30 | 819 67 LT<br>281 29 ST | 1 32 | 0 04 |
| *Share Price $269 300 Next Dividend Payable 03/2014* | | | | | | | | |
| REGENERON PHARM (REGN) | 11/27/13 | 1 000 | 293 450 | 293 45 | 275 24 | (18 21) ST | | |
| | 11/29/13 | 1 000 | 293 910 | 293 91 | 275 24 | (18 67) ST | | |
| | Total | 2 000 | | 587 36 | 550 48 | (36 88) ST | — | — |
| *Share Price $275 240* | | | | | | | | |
| SALESFORCE COM INC (CRM) | 10/10/13 | 13 000 | 51 085 | 664 10 | 717 47 | 53 37 ST | — | — |
| *Share Price $55 190* | | | | | | | | |
| SCHLUMBERGER LTD (SLB) | 8/4/11 | 10 000 | 82 847 | 828 47 | 901 10 | 72 63 LT | | |
| | 1/26/12 | 6 000 | 76 738 | 460 43 | 540 66 | 80 23 LT | | |
| | 7/19/13 | 14 000 | 82 569 | 1 155 97 | 1 261 54 | 105 57 ST | | |
| | Total | 30 000 | | 2 444 87 | 2,703 30 | 152 86 LT<br>105 57 ST | 37 50 | 1 38 |
| *Share Price $90 110 Next Dividend Payable 01/10/14* | | | | | | | | |
| STARWOOD HTLS & RSTS WW INC (HOT) | 11/18/13 | 10 000 | 74 624 | 746 24 | 794 50 | 48 26 ST | | |
| | 12/19/13 | 8 000 | 78 096 | 624 77 | 635 60 | 10 83 ST | | |
| | 12/24/13 | 5 000 | 79 216 | 396 08 | 397 25 | 1 17 ST | | |
| | Total | 23 000 | | 1,767 09 | 1,827 35 | 60 26 ST | 31 05 | 1 69 |
| *Share Price $79 450, Next Dividend Payable 12/2014* | | | | | | | | |
| TE CONNECTIVITY LTD NEW (TEL) | 8/21/13 | 12 000 | 49 828 | 597 94 | 661 32 | 63 38 ST | | |
| | 9/10/13 | 13 000 | 52 801 | 686 41 | 716 43 | 30 02 ST | | |
| | 10/18/13 | 13 000 | 53 418 | 694 43 | 716 43 | 22 00 ST | | |
| | Total | 38 000 | | 1 978 78 | 2,094 18 | 115 40 ST | 38 00 | 1 81 |
| *Share Price $55 110, Next Dividend Payable 03/2014* | | | | | | | | |
| TEXAS INSTRUMENTS (TXN) | 8/15/13 | 16 000 | 38 741 | 619 85 | 702 56 | 82 71 ST | | |
| | 9/10/13 | 16 000 | 40 258 | 644 13 | 702 56 | 58 43 ST | | |
| | Total | 32 000 | | 1 263 98 | 1,405.12 | 141 14 ST | 38 40 | 2 73 |
| *Share Price $43 910, Next Dividend Payable 02/2014* | | | | | | | | |
| TWENTY-FIRST CENTURY FOX CL A (FOXA) | 8/12/11 | 58 000 | 14 357 | 832 73 | 2,039 86 | 1 207 13 LT | | |
| | 8/24/11 | 27 000 | 14 736 | 397 87 | 949 59 | 551 72 LT | | |
| | 7/2/12 | 8 000 | 20 118 | 160 94 | 281 36 | 120 42 LT | | |

# Account Detail

Fiduciary Services Active Assets Account
272-027493 129

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | 8 21/12 | 23 000 | 20 713 | 476 40 | 808 91 | 332 51 LT | | |
| | Total | 116 000 | | 1 867 94 | 4 079 72 | 2 211 78 LT | 29 00 | 0 71 |
| *Share Price $55 170 Next Dividend Payable 04/2014* | | | | | | | | |
| UNION PACIFIC CORP (UNP) | 3/24/09 | 6 000 | 42 646 | 255 88 | 1 008 00 | 752 12 LT | | |
| | 8/3/09 | 6 000 | 60 377 | 362 26 | 1 008 00 | 645 74 LT | | |
| | Total | 12 000 | | 618 4 | 2 016 00 | 1 397 86 LT | 37 92 | 1 88 |
| *Share Price $168 000 Next Dividend Payable 01/02/14* | | | | | | | | |
| UNITED TECHNOLOGIES CORP (UTX) | 10/14/10 | 5 000 | 73 728 | 368 64 | 569 00 | 200 36 LT | | |
| | 8 12/11 | 7 000 | 71 220 | 498 54 | 796 60 | 298 06 LT | | |
| | 2/1/12 | 10 000 | 80 077 | 800 77 | 1 38 00 | 337 23 LT | | |
| | 1/2/13 | 6 000 | 83 390 | 500 34 | 682 80 | 182 46 ST | | |
| | 1/9/13 | 3 000 | 84 657 | 253 97 | 341 40 | 87 43 ST | | |
| | 6/18/13 | 6 000 | 96 0 7 | 576 0 | 682 80 | 106 70 ST | | |
| | Total | 37 000 | | 2 998 36 | 4 210 60 | 835 65 LT<br>376 59 ST | 87 32 | 2 07 |
| *Share Price $113 800 Next Dividend Payable 03/2014* | | | | | | | | |
| VERIZON COMMUNICATIONS (VZ) | 10/22/13 | 27 000 | 51 133 | 1 380 59 | 1 326 78 | (53 81) ST | | |
| | 10/23/13 | 14 000 | 51 226 | 717 16 | 687 96 | (29 20) ST | | |
| | Total | 41 000 | | 2 097 75 | 2 014 74 | (83 01) ST | 86 92 | 4 3 |
| *Share Price $49 140 Next Dividend Payable 02/2014* | | | | | | | | |
| WALT DISNEY CO HLDG CO (DIS) | 3/9/10 | 6 000 | 33 277 | 199 66 | 458 40 | 258 74 LT | | |
| | 3/1/11 | 13 000 | 43 244 | 562 17 | 993 20 | 431 03 LT | | |
| | 1/25/12 | 11 000 | 39 375 | 433 13 | 840 40 | 407 27 LT | | |
| | 2/9/12 | 12 000 | 40 872 | 490 46 | 916 80 | 426 34 LT | | |
| | 5/3 /12 | 10 000 | 45 990 | 459 90 | 764 00 | 304 10 LT | | |
| | Total | 52 000 | | 2 145 32 | 3 972 80 | 1 827 48 LT | 44 72 | 1 12 |
| *Share Price $76 400 Next Dividend Payable 01/16/14* | | | | | | | | |
| YAHOO INC (YHOO) | 11/25/13 | 19 000 | 36 387 | 691 35 | 768 36 | 77 01 ST | | |
| | 12/5/13 | 17 000 | 38 734 | 658 48 | 687 48 | 29 00 ST | | |
| | Total | 36 000 | | 1 349 83 | 1 455 84 | 106 01 ST | — | — |
| *Share Price $40 440* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 97 7% | $99,724 88 | $141,104 99 | $32,093 47 LT<br>$9 286 58 ST | $1 771 36<br>$0 00 | 1 26% |

## CASH, DEPOSITS & MONEY MARKET FUNDS

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **CASH** | | | | | | | | |
| U S DOLLAR | 2 767 47 | 1 0000 | 2 767 47 | | 2 767 47 | | | |
| **DEPOSITS & MONEY MARKET FUNDS** | | | | | | | | |
| DEPOSITS | | | | | | | | |
| GOLDMAN SACHS BANK DEPOSIT (BDA)[14] | 74 350 09 | 1 0000 | 74 350 09 | 1 0000 | 74 350 09 | 0 00 | 0 0523 | 38 90 |
| **TOTAL CASH, DEPOSITS & MONEY MARKET FUNDS** | | | 77,117 56 | | 77,117 56 | | | 38.90 |

## FIXED INCOME

| | Quantity | Market Price | Market Value / Accrued Income | Contributions/ Distributions To Date | Net Contribution To Date | Economic Gain (Loss) | | |
|---|---|---|---|---|---|---|---|---|
| **OTHER FIXED INCOME** | | | | | | | | |
| EATON VANCE INCOME FUND OF BOSTON | | | | | | | | |
| EATON VANCE GROUP EATON VANCE INCM FD OF BOSTON MUTUAL FUND CLASS I SHARES[12] | 28 621 527 | 6 0600 | 173 446 45 | | | (1 553 55) | | |
| OPPENHEIMER SENIOR FLOATING RATE FUND | | | | | | | | |
| OPPENHEIMER SENIOR FLOATING RATE FUND Y MUTUAL FUND[12] | 27 428 836 | 8 4100 | 230 678 51 | | | 676 51 | | |
| STONE HARBOR LOCAL MARKETS | | | | | | | | |
| STONE HARBOR LOCAL MARKETS I MUTUAL FUND[12] | 9 723 601 | 9 5000 | 92 374 21 | | | (6 548 95) | | |
| PIMCO INCOME FUND | | | | | | | | |
| PIMCO INCOME FUND INSTITUTIONAL[12] | 17 105 089 | 12 2600 | 209 708 39 | | | (5 281 61) | | |
| | **Quantity / Current Face** | **Market Price** | **Market Value / Accrued Income** | **Unit Cost** | **Adjusted Cost / Original Cost** | **Unrealized Gain (Loss)** | **Yield to Maturity in Percentage** | **Estimated Annual Income** |
| **TOTAL OTHER FIXED INCOME** | | | 706,205.56 | | 718,923.16 | (12,717.60) | | 36,221 65 |

[12]Please refer to the end of the Holdings section for further details pertaining to these investments

[14]This represents a bank deposit of an affiliated bank effected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

Not a Deposit Not FDIC Insured May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit)

Brokerage and securities services provided by Goldman Sachs & Co Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA Member FDIC

# THE TSUHA FOUNDATION - GS EQUITY & FI

Holdings (Continued)

Period Ended December 31, 2013

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| S&P 500 INDEX FUND (SPDR) | | | | | | | | |
| SPDR S&P 500 ETF TRUST (SPY) | 5 925 00 | 184 6900 | 1 094,288 25<br>5,807 98 | 164 3262 | 973,632 55 | 120,655 70 | 1 8144 | 19 854 68 |
| **NON-US EQUITY** | | | | | | | | |
| MSCI EAFE INDEX FUND (ISHARES) | | | | | | | | |
| ISHARES MSCI EAFE ETF (EFA) | 4,405 00 | 67 0950 | 295 553 47 | 61 3184 | 270,107 53 | 25,445 94 | 2 5385 | 7 502 60 |
| FTSE EMERGING MARKETS INDEX FUND (VANGUARD) | | | | | | | | |
| VANGUARD FTSE EMERGING MKTS ETF VWO) | 4 280 00 | 41 1400 | 176 079 20 | 42 0551 | 179,995 64 | (3 916 44) | 2 7346 | 4 815 00 |

| | Quantity | Market Price | Market Value / Accrued Income | Contributions/ Distributions To Date | Net Contribution To Date | Economic Gain (Loss) |
|---|---|---|---|---|---|---|
| VIRTUS EMERGING MARKETS OPPORTUNITIES FUND | | | | | | |
| VIRTUS EMERGING MARKETS OPPORTUNITIES FUND I (HIEMX)[12] | 13,492 811 | 9 5500 | 100,208 35 | | | (9,793 65) |

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized / Economic Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| GS INTERNATIONAL REAL ESTATE SECURITIES FUND | | | | | | | | |
| GS INTERNATIONAL REAL ESTATE SECURITIES INSTITUTIONAL CLASS SHARES (GIRIX) | 22 975 502 | 6 3500 | 145 894 44 | 6 7463 | 155,000 00 | (9,105 56)<br>(2 824 52) | 4 9764 | 7 260 26 |
| **TOTAL NON-US EQUITY** | | | **717,733.46** | | **715,103.17** | **2,630.29** | **2 8812** | **20,679.60** |
| **TOTAL PUBLIC EQUITY** | | | **1,812,021.71**<br>**5,807.98** | | **1,688,735.72** | **123,285.99** | **2.2370** | **40,534.27** |

| | Market Value | Adjusted Cost / Original Cost[6] | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **2,601,152.81** | **2,484,776.44** | **110,568.39** | **76,794.82** |

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator A partnership capital value statement is available upon request from your Private Wealth Advisor Tax information regarding these investments including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end Please be advised that the delivery of K 1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K 1s may be delayed, requiring you to file an extension Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients holdings Please contact your Private Wealth Advisor if you have any questions

- 77117.56    Cash    -77117.56

2524035.25    2407658.88

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

[12] Please refer to the end of the Holdings section for further details pertaining to these investments

# THE TSUHA FOUNDATION - GOVT/CORP FI

Holdings

Period Ended December 31, 2013

## FIXED INCOME

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **INVESTMENT GRADE FIXED INCOME** | | | | | | | | |
| GS GOVERNMENT/CORPORATE FIXED INCOME | | | | | | | | |
| U S DOLLAR | 468 75 | 1 0000 | 468 75 | | 468 75 | | | |
| GOLDMAN SACHS BANK DEPOSIT (BDA)[14] | 14,718 73 | 1 0000 | 14,718 73 | 1 0000 | 14 718 73 | | 0 0516 | 7 60 |

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| RIO TINTO FINANCE (USA) LIMITE 8 95% 05/01/2014 USD SR LIEN M-W+50 00BP S&P A- /Moody's A3 | 100 000 00 | 102 7160 | 102,716 00<br>1,491 67 | 102 7729<br>107 98 | 102 772 86<br>107,984 00 | (56 86)<br>(5,268 00) | 0 6203 | 8 950 00 |
| DISCOVERY COMMUNICATIONS LLC 3 7% 06/01/2015 M W+25 00BP S&P BBB /Moody's Baa2 | 50 000 00 | 104 0550 | 52 027 50<br>154 17 | 104 0940<br>105 90 | 52,046 99<br>52,949 00 | (19 49)<br>(921 50) | 0 7898 | 1,850 00 |
| PETROBRAS INTERNATIONAL FIN CO 3 875% 01/27/2016 USD SR LIEN M-W+30 00BP S&P BBB /Moody's Baa1 | 50,000 00 | 102 9460 | 51 473 00<br>828 82 | 104 2306<br>105 48 | 52,115 32<br>52,741 50 | (642 32)<br>(1 268 50) | 1 7871 | 1,937 50 |
| TOYOTA MOTOR CREDIT CORPORATIO 0 8% 05/17/2016 S&P AA- /Moody's Aa3 | 100,000 00 | 100 1070 | 100,107 00<br>97 78 | 99 9590 | 99,959 00 | 148 00 | 0 8139 | 800 00 |
| WYNDHAM WORLDWIDE CORP 2 5% 03/01/2018 SR LIEN M-W+25 00BP Next Call Dt 02 01 18 S&P BBB- /Moody's Baa3 | 100,000 00 | 99 6610 | 99,661 00<br>833 33 | 101 5129<br>101 72 | 101,512 85<br>101,720 00 | (1,851 85)<br>(2,059 00) | 2 1114 | 2,500 00 |
| DR PEPPER SNAPPLE GROUP INC 6 82% 05/01/2018 SR LIEN M W+45 00BP S&P BBB+ /Moody's Baa1 | 75 000 00 | 118 0340 | 88,525 50<br>852 50 | 121 5005<br>124 42 | 91,125 34<br>93,315 00 | (2,599 84)<br>(4 789 50) | 1 6580 | 5,115 00 |
| AMERICAN EXPRESS COMPANY 1 55% 05/22/2018 SR LIEN S&P BBB+ /Moody's A3 | 100,000 00 | 97 6400 | 97,640 00<br>167 92 | 99 8320 | 99,832 00 | (2 192 00) | 1 5851 | 1 550 00 |
| TD AMERITRADE HOLDING CORP 5 6% 12/01/2019 M-W+35 00BP S&P A /Moody's A3 | 75 000 00 | 115 4320 | 86,574 00<br>350 00 | 117 4091<br>118 96 | 88,056 81<br>89,222 25 | (1 482 81)<br>(2,648 25) | 2 4241 | 4,200 00 |
| BLACKROCK, INC 5 0% 12/10/2019 M-W+25 00BP S&P A+ /Moody's A1 | 75,000 00 | 112 9090 | 84,681 75<br>218 75 | 116 1692<br>117 63 | 87,126 91<br>88,221 75 | (2 445 16)<br>(3,540 00) | 2 0920 | 3,750 00 |
| IBM CORP 1 625% 05/15/2020 SR LIEN M W+12 50BP S&P AA- /Moody's Aa3 | 100,000 00 | 93 7730 | 93,773 00<br>207 64 | 98 3653<br>98 21 | 98,365 28<br>98,210 00 | (4,592 26)<br>(4,437 00) | 1 9002 | 1,625 00 |

[14] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

Not a Deposit Not FDIC Insured May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit)

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# THE TSUHA FOUNDATION - GOVT/CORP FI

Holdings (Continued)

Period Ended December 31, 2013

## FIXED INCOME (Continued)

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **INVESTMENT GRADE FIXED INCOME** | | | | | | | | |
| GS GOVERNMENT/CORPORATE FIXED INCOME | | | | | | | | |
| THE GAP, INC 5 95% 04/12/2021 SR LIEN M-W+40 00BP Next Call Dt 01 12 21 S&P BBB- /Moody's Baa3 | 75,000 00 | 110 4970 | 82,872 75<br>979 27 | 116 5838<br>117 88 | 87,437 84<br>88 410 75 | (4,565 09)<br>(5 538 00) | 3 2890 | 4,462 50 |
| STATE STREET CORPORATION 3 1% 05/15/2023 SRSUB LIEN S&P A /Moody's A2 | 100,000 00 | 92 9810 | 92,981 00<br>396 11 | 99 8380 | 99,838 00 | (6,857 00) | 3 1190 | 3,100 00 |
| U S TREASURY NOTE 0 250000% 12/15/2015 JD ON THE RUN Moody's Aaa | 125,000 00 | 99 7770 | 124,721 25<br>13 70 | 99 7812 | 124,726 56 | (5 31) | 0 3359 | 312 50 |
| FHLB 3 125% 03/11/2016 MS SR LIEN S&P AA+ /Moody's Aaa | 75,000 00 | 105 6480 | 79,236.00<br>716.15 | 105 6893<br>107 17 | 79 266 98<br>80,379 75 | (30 98)<br>(1,143 75) | 0 5130 | 2,343 75 |
| FHLB 1 0% 06/21/2017 JD S&P AA+ /Moody's Aaa | 50,000 00 | 99 6880 | 49,844 00<br>13 89 | 101 1046<br>101 30 | 50,552 28<br>50,651 50 | (708 28)<br>(807 50) | 0 5776 | 500 00 |
| U S TREASURY NOTE 0 750000% 12/31/2017 JD ON THE RUN Moody's Aaa | 125,000 00 | 97 7810 | 122,226.25 | 100 2667<br>100 31 | 125,333 33<br>125 385 74 | (3,107 08)<br>(3,159 49) | 0 6823 | 937 50 |
| KFW 1 0% 06/11/2018 JD S&P AAA /Moody's Aaa | 100,000 00 | 97 5510 | 97,551 00<br>55 56 | 100 0000 | 100,000 00 | (2,449 00) | 1 0000 | 1 000 00 |
| FHLB 1 875% 03/13/2020 MS S&P AA+ /Moody's Aaa | 100,000 00 | 96 4020 | 96,402.00<br>562.50 | 99 0560 | 99,056 00 | (2,654.00) | 2 0256 | 1,875.00 |
| BRITISH COLUMBIA 2.0% 10/23/2022 SR LIEN S&P AAA /Moody's Aaa | 100,000 00 | 89 7600 | 89,760 00<br>378 08 | 96 0383<br>95 80 | 96,038 30<br>95 797 00 | (6,278 30)<br>(6,037 00) | 2 5070 | 2,000 00 |
| TOTAL GS GOVERNMENT/CORPORATE FIXED INCOME | | | 1,707,960 48<br>8,317 84 | | 1,750,350 11<br>1,763,587 28 | (42,389 63)<br>(55,626 80) | 1 5574 | 48,816 35 |

| | Market Value | Adjusted Cost / * Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **1,716,278.32** | **1,750,350.11**<br>**1,763,587.28** | **(42,389.63)**<br>**(55,626.80)** | **48,816.35** |
| | (15187.48) Cash<br>1701090.84 | (15,187.48)<br>1748379 80 | | |

* Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

# THE TSUHA FOUNDATION - CAMBIAR SMALL CAP
Holdings

Period Ended December 31, 2013

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| CAMBIAR SMALL CAP CORE | | | | | | | | |
| U S DOLLAR | (991 20) | 1 0000 | (991 20) | | (991 20) | | | |
| GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW) * | 10 120 89 | 1 0000 | 10 120 89 | 1 0000 | 10,120 89 | | 0 0468 | 4 71 |
| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
| AIR LEASE CORPORATION CMN (AL) | 132 00 | 31 0800 | 4,102 56<br>3 96 | 28 7349 | 3 793 01 | 309 55 | 0 3861 | 15 84 |
| ANN INC CMN (ANN) | 118 00 | 36 5600 | 4,314 08 | 32 4008 | 3,823 30 | 490 78 | | |
| APPROACH RESOURCES INC CMN (AREX) | 124 00 | 19 3000 | 2,393 20 | 26 0917 | 3,235 37 | (842 17) | | |
| ARRIS GROUP, INC CMN (ARRS) | 200 00 | 24 3400 | 4,868 00 | 17 1523 | 3 430 46 | 1 437 54 | | |
| ATMEL CORP CMN (ATML) | 501 00 | 7 8300 | 3 922 83 | 7 2046 | 3,609 50 | 313 33 | | |
| BEACON ROOFING SUPPLY, INC CMN (BECN) | 116 00 | 40 2800 | 4 672 48 | 35 3386 | 4,099 28 | 573 20 | | |
| BJS RESTAURANTS INC CMN (BJRI) | 90 00 | 31 0600 | 2 795 40 | 33 1786 | 2,986 07 | (190 67) | | |
| BRINK S COMPANY (THE) CMN (BCO) | 109 00 | 34 1400 | 3,721 26 | 27 0006 | 2,943 07 | 778 19 | 1 1716 | 43 60 |
| BRUKER CORPORATION CMN (BRKR) | 204 00 | 19 7700 | 4 033 08 | 17 2412 | 3,517 20 | 515 88 | | |
| CABOT CORP CMN (CBT) | 86 00 | 51 4000 | 4 420 40 | 38 4098 | 3,303 24 | 1 117 16 | 1 5564 | 68 80 |
| CARPENTER TECHNOLOGY INC CMN (CRS) | 74 00 | 62 2000 | 4 602 80 | 47 6149 | 3,523 50 | 1,079 30 | 1 1576 | 53 28 |
| CATHAY GENERAL BANCORP CMN (CATY) | 161 00 | 26 7300 | 4 303 53 | 21 1825 | 3,410 38 | 893 15 | 0 7482 | 32 20 |
| CRANE CO (DELAWARE) CMN (CR) | 65 00 | 67 2500 | 4 371 25 | 59 7652 | 3,884 74 | 486 51 | 1 7844 | 78 00 |
| DARLING INTERNATIONAL INC CMN (DAR) | 187 00 | 20 8800 | 3 904 56 | 19 4723 | 3,641 32 | 263 24 | | |
| DIEBOLD INCORPORATED CMN (DBD) | 135 00 | 33 0100 | 4 456 35 | 31 6302 | 4,270 08 | 186 27 | 3 4838 | 155 25 |
| ELIZABETH ARDEN INC CMN (RDEN) | 84 00 | 35 4500 | 2,977 80 | 35 2042 | 2,957 15 | 20 65 | | |
| EXELIS INC CMN (XLS) | 245 00 | 19 0600 | 4 669 70<br>25 31 | 12 9062 | 3,162 03 | 1 507 67 | 2 1879 | 101 23 |
| EXPRESS, INC CMN (EXPR) | 235 00 | 18 6700 | 4,387 45 | 18 2967 | 4 299 72 | 87 73 | | |

*This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

Not a Deposit Not FDIC Insured May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit)

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# THE TSUHA FOUNDATION - CAMBIAR SMALL CAP

Holdings (Continued)

Period Ended December 31, 2013

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| CAMBIAR SMALL CAP CORE | | | | | | | | |
| FIRST NIAGARA FINANCIAL GROUP, CMN (FNFG) | 360 00 | 10 6200 | 3,823 20 | 10 0766 | 3,628 29 | 194 91 | 3 0132 | 115 20 |
| FORUM ENERGY TECHNOLOGIES INC CMN (FET) | 160 00 | 28 2600 | 4,521 60 | 27 3261 | 4,372 17 | 149 43 | | |
| FOSTER WHEELER AG CMN (FWLT) | 129 00 | 33 0010 | 4,257 13 | 21 7027 | 2,799 65 | 1,457 48 | | |
| GENESCO INC CMN (GCO) | 62 00 | 73 0600 | 4,529 72 | 63 9181 | 3,962 92 | 566 80 | | |
| GROUP 1 AUTOMOTIVE, INC CMN (GPI) | 64 00 | 71 0200 | 4,545 28 | 64 4141 | 4,122 50 | 422 78 | 0 9575 | 43 52 |
| HAEMONETICS CORP CMN (HAE) | 88 00 | 42 1300 | 3,707 44 | 42 5925 | 3,748 14 | (40 70) | | |
| HANOVER INSURANCE GROUP INC CMN (THG) | 68 00 | 59 7100 | 4,060 28 | 50 7310 | 3,449 71 | 610 57 | 2 4786 | 100 64 |
| HARSCO CORPORATION CMN (HSC) | 149 00 | 28 0300 | 4,176 47 | 23 6799 | 3,528 31 | 648 16 | 2 9254 | 122 18 |
| HEALTH NET, INC CMN (HNT) | 147 00 | 29 6700 | 4,361 49 | 30 9814 | 4,554 27 | (192 78) | | |
| INTEGRA LIFESCIENCES HOLDING CORPORATION (IART) | 70 00 | 47 7100 | 3,339 70 | 36 7457 | 2 572 20 | 767 50 | | |
| INTEGRATED DEVICE TECH INC CMN (IDTI) | 331 00 | 10 1800 | 3,369 58 | 9 4624 | 3,132 04 | 237 54 | | |
| JDS UNIPHASE CORPORATION CMN (JDSU) | 340 00 | 12 9850 | 4,414 90 | 13 6515 | 4 641 51 | (226 61) | | |
| KAISER ALUMINUM CORPORATION CMN (KALU) | 62 00 | 70 2400 | 4,354 88 | 66 4845 | 4,122 04 | 232 84 | 1 7084 | 74 40 |
| KEY ENERGY SERVICES INC CMN (KEG) | 627 00 | 7 9000 | 4,953 30 | 6 3421 | 3,976 48 | 976 82 | | |
| LATTICE SEMICONDUCTOR CORP CMN (LSCC) | 575 00 | 5 4900 | 3,156 75 | 4 9846 | 2,866 13 | 290 62 | | |
| MAGELLAN HEALTH SERVICES, INC CMN (MGLN) | 67 00 | 59 9100 | 4,013 97 | 53 0107 | 3,551 72 | 462 25 | | |
| MICROSEMI CORP CL A CMN (MSCC) | 176 00 | 24 9500 | 4,391 20 | 23 1178 | 4,068 74 | 322 46 | | |
| PROASSURANCE CORP CMN (PRA) | 90 00 | 48 4800 | 4,363 20<br>27 00 | 45 1954 | 4,067 59 | 295 61 | 2 4752 | 108 00 |
| PTC INC CMN (PTC) | 134 00 | 35 3900 | 4,742 26 | 25 2209 | 3 379 60 | 1,362 66 | | |
| QUALITY SYSTEMS INC CMN (QSII) | 160 00 | 21 0600 | 3,369 60<br>22 75 | 19 8753 | 3,180 04 | 189 56 | 3 3238 | 112 00 |
| REGAL BELOIT CORP CMN (RBC) | 61 00 | 73 7200 | 4,496 92<br>12 20 | 65 5331 | 3,997 52 | 499 40 | 1 0852 | 48 80 |
| SCHOLASTIC CORPORATION CMN (SCHL) | 101 00 | 34 0100 | 3,435 01 | 30 1912 | 3 049 31 | 385 70 | 1 7642 | 60 60 |
| SEAWORLD ENTERTAINMENT, INC CMN (SEAS) | 127 00 | 28 7700 | 3,653 79<br>25 40 | 34 4893 | 4,380 14 | (726 35) | 2 7807 | 101 60 |
| TCF FINANCIAL CORP MINN (TCB) | 258 00 | 16 2500 | 4,192 50 | 14 9974 | 3,869 32 | 323 18 | 1 2308 | 51 60 |
| TERADYNE INC CMN (TER) | 238 00 | 17 6200 | 4,193 56 | 16 7862 | 3 995 11 | 198 45 | | |
| TETRA TECH INC (NEW) CMN (TTEK) | 146 00 | 27 9800 | 4,085 08 | 23 4599 | 3 425 15 | 659 93 | | |

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# THE TSUHA FOUNDATION - CAMBIAR SMALL CAP

Holdings (Continued)

Period Ended December 31, 2013

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| CAMBIAR SMALL CAP CORE | | | | | | | | |
| THE SCOTTS MIRACLE GRO CO CLASS A (SMG) | 75 00 | 62 2200 | 4 666 50 | 48 7509 | 3,656 32 | 1 010 18 | 2 8126 | 131 25 |
| THIRD POINT REINSURANCE LTD CMN (TPRE) | 205 00 | 18 5300 | 3 798 65 | 13 1138 | 2,688 33 | 1 110 32 | | |
| TIVO INC CMN (TIVO) | 255 00 | 13 1200 | 3 345 60 | 12 7619 | 3,254 28 | 91 32 | | |
| UMPQUA HLDGS CORP CMN (UMPQ) | 228 00 | 19 1400 | 4,363 92<br>34 20 | 14 0099 | 3,194 26 | 1 169 66 | 3 1348 | 136 80 |
| UNISYS CORPORATION CMN (UIS) | 159 00 | 33 5700 | 5 337 63 | 26 2181 | 4,168 67 | 1,168 96 | | |
| WESTERN REFINING INC CMN (WNR) | 109 00 | 42 4100 | 4,622 69 | 28 8850 | 3,148 46 | 1,474 23 | 2 0750 | 95 92 |
| AERCAP HOLDINGS NV ORD CMN (AER) | 196 00 | 38 3500 | 7,516 60 | 17 1284 | 3,357 17 | 4,159 43 | | |
| AVG TECHNOLOGIES N V CMN (AVG) | 185 00 | 17 2100 | 3,183 85 | 20 5043 | 3,793 30 | (609 45) | | |
| TOTAL CAMBIAR SMALL CAP CORE | | | 225 390 67<br>150 82 | | 196,720 50 | 26,870 17 | 1 8033 | 1 855 43 |

| | Market Value | Adjusted Cost / Original Cost* | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **225,541.49** | **196,720.50** | **28,670.17** | **1,855.43** |

- 9129.69 Cash - 9129.69

216411 80 Securities 187590.81

* Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments if applicable Adjusted Cost refers to adjustments to Original Cost for market discount accretion and or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

# THE TSHUHA FOUNDATION - INVESCO RE SEC

Holdings

Period Ended December 31 2013

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| INVESCO REAL ESTATE SECURITIES (TOTAL RETURN) | | | | | | | | |
| U S DOLLAR | 99 49 | 1 0000 | 99 49 | | 99 49 | | | |
| GOLDMAN SACHS BANK DEPOSIT (BDA) (*BDANOW)[1] | 3 874 93 | 1 0000 | 3 874 93 | 1 0000 | 3 874 93 | | 0 0456 | 1 77 |
| | **Quantity** | **Market Price** | **Market Value / Accrued Income** | **Unit Cost** | **Cost Basis** | **Unrealized Gain (Loss)** | **Dividend Yield** | **Estimated Annual Income** |
| BRIXMOR PROPERTY GROUP CMN (BRX) | 59 00 | 20 3300 | 1 199 47 | 20 7695 | 1 225 40 | (25 93) | 2 4988 | 29 97 |
| BROOKDALE SENIOR LIVING INC CMN (BKD) | 60 00 | 27 1800 | 1 630 80 | 26 6748 | 1 600 49 | 30 31 | | |
| EMPIRE STATE REALTY TRUST INC CMN (ESRT) | 88 00 | 15 3000 | 1 346 40 | 13 7235 | 1 207 67 | 138 73 | 2 0784 | 27 98 |
| ACADIA REALTY TRUST CMN (AKR) | 40 00 | 24 8300 | 993 20<br>9 20 | 27 5235 | 1 100 94 | (107 74) | 3 7052 | 36 80 |
| AVALONBAY COMMUNITIES INC CMN (AVB) | 84 00 | 118 2300 | 9 931 32<br>89 88 | 133 6218 | 11 224 23 | (1 292 91) | 3 6201 | 359 52 |
| BOSTON PROPERTIES INC COMMON STOCK (BXP) | 77 00 | 100 3700 | 7 728 49<br>223 30 | 106 3845 | 8 191 61 | (463 12) | 2 5904 | 200 20 |
| COUSINS PPTYS INC CMN (CUZ) | 132 00 | 10 3000 | 1 359 60 | 10 6617 | 1 407 34 | (47 74) | 1 7476 | 23 76 |
| CUBESMART CMN (CUBE) | 120 00 | 15 9400 | 1 912 80<br>15 60 | 16 4633 | 1 975 60 | (62 80) | 3 2622 | 62 40 |
| DDR CORP CMN (DDR) | 420 00 | 15 3700 | 6 455 40<br>56 70 | 17 6250 | 7 402 51 | (947 11) | 3 5133 | 226 80 |
| EASTGROUP PROPERTIES INC CMN (EGP) | 23 00 | 57 9300 | 1 332 39 | 62 4000 | 1 435 20 | (102 81) | 3 7286 | 49 68 |
| ESSEX PROPERTY TRUST INC CMN (ESS) | 34 00 | 143 5100 | 4 879 34<br>41 14 | 158 7871 | 5 398 76 | (519 42) | 3 3726 | 164 56 |
| FEDERAL RLTY INVT TR SBI CMN (FRT) | 25 00 | 101 4100 | 2 535 25<br>19 50 | 103 3044 | 2 582 61 | (47 36) | 3 0766 | 78 00 |
| GENERAL GROWTH PROPERTIES INC CMN (GGP) | 175 00 | 20 0700 | 3 512 25<br>24 50 | 20 5870 | 3 602 73 | (90 48) | 2 7902 | 98 00 |

[1] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

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# THE TSHUHA FOUNDATION - INVESCO RE SEC

Holdings (Continued)

Period Ended December 31, 2013

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| INVESCO REAL ESTATE SECURITIES (TOTAL RETURN) | | | | | | | | |
| HEALTH CARE REIT INC (DEL) CMN (HCN) | 164 00 | 53 5700 | 8,785 48 | 67 6293 | 11,091 21 | (2,305 73) | 5 7122 | 501 84 |
| HEALTHCARE REALTY TRUST INC CMN (HR) | 102 00 | 21 3100 | 2,173 62 | 27 3741 | 2,792 16 | (618 54) | 5 6312 | 122 40 |
| HEALTHCARE TR OF AMER INC CMN CLASS A (HTA) | 248 00 | 9 8400 | 2,440 32 | 11 3344 | 2,810 92 | (370 60) | 5 8435 | 142 60 |
| | | | 35 65 | | | | | |
| HUDSON PACIFIC PROPERTIES, INC CMN (HPP) | 121 00 | 21 8700 | 2,646 27 | 21 7545 | 2,632 29 | 13 98 | 2 2862 | 60 50 |
| LASALLE HOTEL PROPERTIES CMN (LHO) | 90 00 | 30 8600 | 2,777 40 | 30 0329 | 2,702 96 | 74 44 | 3 6293 | 100 80 |
| | | | 25 20 | | | | | |
| MACERICH COMPANY CMN (MAC) | 61 00 | 58 8900 | 3,592 29 | 65 0339 | 3,967 07 | (374 78) | 4 2112 | 151 28 |
| MID-AMERICA APT CMNTYS INC CMN (MAA) | 69 00 | 60 7400 | 4,191 06 | 66 5371 | 4,591 06 | (400 00) | 4 8074 | 201 48 |
| NATIONAL HEALTH INVESTORS, INC CMN (NHI) | 21 00 | 56 1000 | 1,178 10 | 59 2757 | 1,244 79 | (66 69) | 5 2406 | 61 74 |
| | | | 15 44 | | | | | |
| PEBBLEBROOK HOTEL TRUST CMN (PEB) | 28 00 | 30 7600 | 861 28 | 26 9079 | 753 42 | 107 86 | 2 0806 | 17 92 |
| | | | 4 48 | | | | | |
| PIEDMONT OFFICE REALTY TRUST I CMN (PDM) | 215 00 | 16 5200 | 3,551 80 | 19 3738 | 4,165 37 | (613 57) | 4 8426 | 172 00 |
| PROLOGIS INC CMN (PLD) | 254 00 | 36 9500 | 9,385 30 | 40 7476 | 10,349 88 | (964 58) | 3 0311 | 284 48 |
| RETAIL OPPORTUNITY INVTS CORP CMN (ROIC) | 107 00 | 14 7200 | 1,575 04 | 14 7718 | 1,580 58 | (5 54) | 4 0761 | 64 20 |
| RLJ LODGING TRUST CMN (RLJ) | 83 00 | 24 3200 | 2,018 56 | 23 2654 | 1,931 03 | 87 53 | 3 3717 | 68 06 |
| | | | 19 93 | | | | | |
| SENIOR HOUSING PROPERTIES TR CMN (SNH) | 47 00 | 22 2300 | 1,044 81 | 26 8362 | 1,261 30 | (216 49) | 7 0175 | 73 32 |
| SL GREEN REALTY CORP CMN (SLG) | 82 00 | 92 3800 | 7,575 16 | 89 4580 | 7,335 56 | 239 60 | 2 1650 | 164 00 |
| | | | 41 00 | | | | | |
| UDR INC CMN (UDR) | 178 00 | 23 3500 | 4,156 30 | 25 2878 | 4,501 22 | (344 92) | 4 0257 | 167 32 |
| VENTAS, INC CMN (VTR) | 22 00 | 57 2800 | 1,260 16 | 71 0582 | 1,563 28 | (303 12) | 5 0628 | 63 80 |
| HOST HOTELS & RESORTS INC CMN (HST) | 362 00 | 19 4400 | 7,037 28 | 17 7914 | 6,440 49 | 596 79 | 2 6749 | 188 24 |
| | | | 47 06 | | | | | |
| LIBERTY PROPERTY TRUST SBI CMN (LRY) | 42 00 | 33 8700 | 1,422 54 | 33 6057 | 1,411 44 | 11 10 | 5 6097 | 79 80 |
| | | | 19 95 | | | | | |
| PUBLIC STORAGE CMN (PSA) | 45 00 | 150 5200 | 6,773 40 | 159 5964 | 7,181 84 | (408 44) | 3 7204 | 252 00 |

# THE TSHUHA FOUNDATION - INVESCO RE SEC

Holdings (Continued)

Period Ended December 31 2013

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| INVESCO REAL ESTATE SECURITIES (TOTAL RETURN) | | | | | | | | |
| SIMON PROPERTY GROUP INC CMN (SPG) | 112 00 | 152 1600 | 17 041 92 | 170 8184 | 19 131 66 | (2 089 74) | 3 1546 | 537 60 |
| TOTAL INVESCO REAL ESTATE SECURITIES (TOTAL RETURN) | | | 140 279 22<br>688 53 | | 151 769 04 | (11 489 82) | 3 4896 | 4 834 82 |

| | Market Value | Adjusted Cost / * Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | 140,967.75 | 151,769.04 | (11,489.82) | 4,834.82 |
| | -3974.42 | -3974.42 | | |
| | 136993.33 | 147794.62 | | |

cash

* Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GAIS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **NON-US EQUITY** | | | | | | | | |
| HARDING LOEVNER NON US EQUITY | | | | | | | | |
| U S DOLLAR | 30 75 | 1 0000 | 30 75 | | 30 75 | | | |
| GOLDMAN SACHS BANK DEPOSIT (BDA) ("BDANOW)"¹ | 4 450 77 | 1 0000 | 4 450 77 | 1 0000 | 4 450 77 | | 0 0639 | 2 85 |

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| BUNGE LIMITED ORD CMN (BG) | 41 00 | 82 1100 | 3 366 51 | 73 5627 | 3 016 07 | 350 44 | 1 4615 | 49 20 |
| SCHLUMBERGER LTD CMN (SLB) | 38 00 | 90 1100 | 3 424 18<br>11 88 | 78 7821 | 2,993 72 | 430 46 | 1 3872 | 47 50 |
| XINYI GLASS HLDGS LTD ADR CMN (XYIGY) | 49 00 | 17 6430 | 864 51 | 16 9518 | 830 64 | 33 87 | 2 8984 | 25 06 |
| AIA GROUP LIMITED SPONSORED ADR CMN SERIES (AAGIY) | 225 00 | 20 0680 | 4 515 30 | 18 5795 | 4,180 38 | 334 92 | 0 8718 | 39 37 |
| AIR LIQUIDE ADR ADR CMN (AIQUY) | 176 00 | 28 3310 | 4 986 26 | 25 9124 | 4,580 58 | 425 68 | 1 8109 | 90 30 |
| ALLIANZ SE ADR CMN (AZSEY) | 301 00 | 17 9620 | 5,406 56 | 15 4444 | 4 648 76 | 757 80 | 2 4237 | 131 04 |
| ANHEUSER BUSCH INBEV S A SPONSORED ADR CMN (BUD) | 33 00 | 106 4600 | 3,513 18 | 96 7376 | 3,192 34 | 320 84 | 2 3520 | 82 63 |
| ARM HOLDINGS PLC SPON ADR SPONSORED ADR CMN (ARMH) | 75 00 | 54 7310 | 4 104 83 | 46 8827 | 3,516 20 | 588 62 | 0 3694 | 15 16 |
| ATLAS COPCO AB SPONS ADR NEW REPSTG COM SER-A (ATLKY) | 93 00 | 27 7610 | 2,581 77 | 26 3298 | 2 448 67 | 133 10 | 2 1208 | 54 75 |
| BAIDU, INC SPONSORED ADR CMN (BIDU) | 24 00 | 177 8800 | 4,269 12 | 108 8321 | 2,611 97 | 1 657 15 | | |
| BANCO BILBAO VIZCAYA S A ADR SPONSORED ADR CMN USD0 3296 (BBVA) | 244 00 | 12 3900 | 3,023 16 | 9 6699 | 2 359 46 | 663 70 | 3 5329 | 106 81 |
| BAYERISCHE MOTOREN WERKE AKTIE ADR CMN (BAMXY) | 96 00 | 39 1430 | 3,757 73 | 32 3159 | 3 102 33 | 655 40 | 1 8878 | 70 94 |
| BG GROUP PLC SPON ADR ADR CMN (BRGYY) | 134 00 | 21 4900 | 2,879 66 | 18 5175 | 2 481 34 | 398 32 | 1 2718 | 36 62 |
| CANADIAN NATIONAL RAILWAY CO CMN (CNI) | 56 00 | 57 0200 | 3 193 12 | 50 4550 | 2 825 48 | 367 64 | 1 4192 | 45 32 |
| COCHLEAR LIMITED UNSPONSORED ADR CMN (CHEOY) | 56 00 | 26 3560 | 1 475 94 | 32 2752 | 1 807 41 | (331 47) | 4 0652 | 60 00 |
| CSL LIMITED UNSPONSORED ADR CMN (CMXHY) | 89 00 | 30 8470 | 2,745 38 | 30 4308 | 2,708 34 | 37 04 | 1 5228 | 41 81 |
| DASSAULT SYSTEMES SPONSORED ADR CMN (DASTY) | 44 00 | 124 3320 | 5,470 61 | 123 8664 | 5 450 12 | 20 49 | 0 5851 | 32 01 |
| DBS GROUP HOLDINGS SPONSORED ADR CMN (DBSDY) | 45 00 | 54 1740 | 2 437 83 | 56 9384 | 2,562 23 | (124 40) | 3 2015 | 78 05 |
| FANUC CORP UNSPONSORED ADR CMN (FANUY) | 168 00 | 30 5250 | 5 128 20 | 27 0319 | 4 541 36 | 586 84 | 0 6733 | 34 53 |
| FRESENIUS MEDICAL CARE AG & CO KGAA SPONSORED ADR CMN (FMS) | 89 00 | 35 5800 | 3,166 62 | 33 3431 | 2 967 54 | 199 08 | 0 9379 | 29 70 |

¹ This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **NON-US EQUITY** | | | | | | | | |
| HARDING LOEVNER NON-US EQUITY | | | | | | | | |
| GAZPROM ADR SPONSORED ADR CMN (OGZPY) | 168 00 | 8 6500 | 1 453 20 | 8 0777 | 1,357 06 | 96 14 | 3 3110 | 48 12 |
| HONG KONG EXCHANGES & CLEARING UNSPONSORED ADR CMN (HKXCY) | 109 00 | 16 6760 | 1 817 68 | 15 7807 | 1,720 10 | 97 58 | 2 2892 | 41 61 |
| ICICI BANK LIMITED SPONS ADR (IBN) | 75 00 | 37 1700 | 2,787 75 | 43 1641 | 3 237 31 | (449 56) | 1 7945 | 50 03 |
| IMPERIAL OIL LIMITED CMN (IMO) | 50 00 | 44 2300 | 2 211 50<br>4 58 | 39 7642 | 1 988 21 | 223 29 | 1 1062 | 24 46 |
| JGC CORP UNSPONSORED ADR CMN (JGCCY) | 57 00 | 78 4930 | 4 474 10 | 67 8079 | 3 865 05 | 609 05 | 1 0174 | 45 52 |
| L'OREAL CO (ADR) ADR CMN (LRLCY) | 119 00 | 35 1930 | 4,187 97 | 34 6503 | 4,123 38 | 64 59 | 1 3341 | 55 87 |
| LVMH MOET HENNESSY LOUIS VUITTON S A ADR CMN (LVMUY) | 52 00 | 36 5430 | 1,900 24 | 35 5679 | 1,849 53 | 50 71 | 1 5904 | 30 22 |
| MITSUBISHI ESTATE LTD ADR ADR CMN (MITEY) | 83 00 | 29 9230 | 2,483 61 | 29 9170 | 2,483 11 | 0 50 | 0 2739 | 6 80 |
| MONOTARO CO LTD ADR CMN (MONOY) | 33 00 | 20 3420 | 671 29 | 22 5818 | 745 20 | (73 91) | | |
| MTN GROUP LTD SPONSORED ADR CMN (MTNOY) | 73 00 | 20 7200 | 1,512 56 | 19 1877 | 1 400 70 | 111 86 | 3 5484 | 53 67 |
| NESTLE SA SPONSORED ADR (REP 1/20 CHF 10 REGD SHS) (NSRGY) | 83 00 | 73 4240 | 6,094 19 | 70 1392 | 5 821 55 | 272 64 | 2 4726 | 150 69 |
| NOKIAN RENKAAT OY ADR CMN (NKRKY) | 99 00 | 24 0240 | 2,378 38 | 22 0515 | 2 183 10 | 195 28 | 3 1057 | 73 87 |
| NOVO-NORDISK A/S ADR ADR CMN (NVO) | 11 00 | 184 7600 | 2,032 36 | 167 8182 | 1 846 00 | 186 36 | 1 2255 | 24 91 |
| PETROLEO BRASILEIRO S A - PET SPONSORED ADR CMN (PBR) | 76 00 | 13 7800 | 1,047 28 | 17 7517 | 1,349 13 | (301 85) | | |
| ROCHE HOLDING AG ADR B SHS(NOM CHF 100) VAL 224 184 (RHHBY) | 73 00 | 70 0510 | 5 113 72 | 62 7630 | 4 581 70 | 532 02 | 2 3190 | 118 59 |
| SAP AG (SPON ADR) (SAP) | 76 00 | 87 1400 | 6,622 64 | 80 1133 | 6,088 61 | 534 03 | 0 9155 | 60 63 |
| SASOL LTD SPONS ADR SPONSORED ADR CMN (SSL) | 28 00 | 49 4500 | 1,384 60 | 45 5650 | 1,275 82 | 108 78 | 3 3585 | 46 50 |
| SCHNEIDER ELECTRIC SA UNSPONSOPED ADR (FRANCE) (SBGSY) | 229 00 | 17 4720 | 3 983 62 | 15 5964 | 3 555 97 | 427 65 | 2 1432 | 85 38 |
| SONOVA HOLDING AG (THE) UNSPONSORED ADR CMN (SONVY) | 69 00 | 26 9860 | 1,862 03 | 21 4628 | 1,480 92 | 381 11 | | |

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **NON-US EQUITY** | | | | | | | | |
| HARDING LOEVNER NON-US EQUITY | | | | | | | | |
| SVENSKA HANDELSBANKEN AB UNSPONSORED ADR CMN REPRESENTING A SHARES (SVNLY) | 73 00 | 24 6010 | 1,795 87 | 22 8956 | 1,671 38 | 124 49 | 2 6211 | 47 07 |
| SWATCH GROUP SA (THE) ADR CMN (SWGAY) | 71 00 | 33 1420 | 2,353 08 | 29 7001 | 2,108 71 | 244 37 | 0 6288 | 14 80 |
| SYSMEX CORP ADR CMN (SSMXY) | 104 00 | 29 5420 | 3,072.37 | 33 2269 | 3,455 60 | (383 23) | 0 5974 | 18 35 |
| TAIWAN SEMICONDUCTOR MFG ADS LTD ADS 1ADS EQUALS 5ORDS (TSM) | 249 00 | 17 4400 | 4 342 56 | 18 9782 | 4 725 58 | (383 02) | 2 2985 | 99 82 |
| TESCO PLC (SPONSORED) ADR SPONSORED ADR CMN (TSCDY) | 106 00 | 16 6130 | 1,760 98 | 17 3768 | 1,841 94 | (80 96) | 3 8825 | 68 37 |
| TURKIYE GARANTI BANKASI AS GDS CMN (TKGBY) | 644 00 | 3 2400 | 2,086 56 | 5 3589 | 3,451 78 | (1,365 22) | 1 8250 | 38 08 |
| UNICHARM CORP SPONSORED ADR CMN (UNICY) | 304 00 | 11 4170 | 3,470 77 | 12 2720 | 3 730 70 | (259 93) | 0 4855 | 16 85 |
| UNILEVER PLC (NEW) SPONSORED ADR CMN (UL) | 42 00 | 41.2000 | 1,730 40 | 42 1879 | 1,771 89 | (41 49) | 3 3869 | 58 61 |
| WPP PLC ADR CMN (WPPGY) | 50 00 | 114 8600 | 5,743 00 | 87 3694 | 4,366 47 | 1,374 53 | 2.0053 | 115.16 |
| ITAU UNIBANCO BANCO HLDNG S A SPONSORED ADR CMN (ITUB) | 293 00 | 13 5700 | 3,976 01<br>1 88 | 14 8274 | 4,344 42 | (368.41) | 0.5794 | 23 04 |
| TOTAL HARDING LOEVNER NON-US EQUITY | | | 159,142 31<br>18 34 | | 149,709 38 | 9 432.92 | 1 6466 | 2,490 63 |

| | Market Value | Adjusted Cost / * Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **159,160.65** | **149,709.38** | **9,432.92** | **2,490.63** |
| | - 4481.52 | Cash - 4481.52 | | |
| | 154679.13 | 145227.86 | | |

* Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable. Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions.

Goldman Sachs



# THE TSUHA FOUNDATION GOAS PUT WRITING

## Holdings

Period Ended December 31 2013

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| GS US EQUITY YIELD ENHANCEMENT (PUT WRITING) | | | | | | | | |
| GOLDMAN SACHS BANK DEPOSIT (BDA) ("BDANOW)[1*] | 42 583 04 | 1 0000 | 42 583 04 | 1 0000 | 42 583 04 | | 0 0504 | 21 48 |
| | **Quantity** | **Market Price** | **Market Value / Accrued Income** | **Unit Cost** | **Cost Basis** | **Unrealized Gain (Loss)** | **Dividend Yield** | **Estimated Annual Income** |
| PUT/XSP @ 168 EXP 02/22/2014 (XSP 140222P00168000) | (18 00) | 0 4700 | (846 00) | 0 4700 | (846 00) | | | |
| PUT/XSP @ 169 EXP 01/18/2014 (XSP 140118P00169000) | (18 00) | 0 1500 | (270 00) | 0 1500 | (270 00) | | | |
| PUT/XSP @ 171 EXP 01/18/2014 (XSP 140118P00171000) | (18 00) | 0 1600 | (288 00) | 0 1600 | (288 00) | | | |
| PUT/XSP @ 171 EXP 02/22/2014 (XSP 140222P00171000) | (18 00) | 0 6300 | (1 134 00) | 0 6300 | (1 134 00) | | | |
| TOTAL GS US EQUITY YIELD ENHANCEMENT (PUT WRITING) | | | 40 045 04 | | 40 045 04 | | 0 0504 | 21 48 |

| | Market Value | Adjusted Cost / * Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **40,045.04** | **40,045.04** | **0.00** | **21 48** |
| | - 2538 | -2538. | | |

* Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

[1] This represents a bank deposit of an affiliated bank reflected here for your convenience and is not cash held in your brokerage or custody account Please see the enclosed Bank Statement page(s) for specific information related to your bank deposit

Not a Deposit Not FDIC Insured May Lose Value (Excluding Goldman Sachs Bank Deposit Account and Certificates of Deposit)

Brokerage and securities services provided by Goldman Sachs & Co Bank Deposit Account and Certificate of Deposit Account offered by Goldman Sachs Bank USA Member FDIC

# Holdings

Fiduciary Services Active Assets Account 272-023653-061
THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

This section reflects the impact of positions purchased/sold on a trade date basis and will include positions purchased and omit positions sold in the current month Please see the "Unsettled Purchases/Sales Activity" section for more information

The "Market Value and "Unrealized Gain/(Loss)" figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained in the market See Pricing of Securities" in the Expanded Disclosures Fixed Income securities are sorted by maturity or pre-refunding date and alphabetically within date

Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates Estimated yield reflects only the income generated by an investment It does not reflect changes in its price which may fluctuate Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column Estimated accrued interest estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest If you hold structured products, please see "Special Considerations Regarding Structured Products" in the Disclosures

New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short term on the sale of covered securities acquired on or after January 1 2011 These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099 B Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns Please refer to the Expanded Disclosures for additional information

## CASH, DEPOSITS AND MONEY MARKET FUNDS

*Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis However Global Currency Deposits may include both settled and unsettled positions Estimated Annual Income Accrued Interest and APY% will only be displayed for fully settled positions In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available*

| Description | Value | Estimated Annual Income | 7-Day Current Yield % | Annual Percentage Yield % |
|---|---|---|---|---|
| CASH | $32.66 | | | |
| MS LIQUID ASSET FUND | 4,418.04 | 0 44 | 0 010 | — |
| MORGAN STANLEY BANK N A. # | 57 59 | — | — | 0 050 |

| | Percentage of Assets % | Market Value | Estimated Annual Income Accrued Interest |
|---|---|---|---|
| CASH, DEPOSITS AND MONEY MARKET FUNDS | 3.1% | $4,506.29 | $0.44<br>$0.00 |

# Bank Deposits are at either (1) Morgan Stanley Bank, N A , and Morgan Stanley Private Bank National Association, or (2) Citibank, N A , each a national bank, FDIC member and an affiliate of Morgan Stanley Smith Barney LLC

Money market funds seek to maintain a share price of $1 00, therefore the dollar amounts listed equal the number of shares Additional information concerning these transactions is available upon request For more information about the pricing of Money Market Funds, please see the Expanded Disclosures

The money market funds reflected above include the balances in your automatic sweep feature, if any, and may include other money market funds that have been purchased in your account

038868 MSGDD277

Morgan Stanley

**CLIENT STATEMENT** | For the Period December 1 3 2012

# Holdings

Fiduciary Services Active Assets Account 272-023653-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| ASHLAND INC NEW (ASH) | 3/16/11 | 35 000 | $55 507 | $1 942 76 | $2,814 35 | $871 59 LT | | |
| | 3/23/11 | 10 000 | 57 163 | 571 63 | 804 10 | 232 47 LT | | |
| | 4/13/11 | 25 000 | 56 410 | 1 410 24 | 2 010 25 | 600 01 LT | | |
| | Total | 70 000 | | 3 924 63 | 5,628 70 | 1 704 07 LT | 63 00 | 1 11 |
| *Share Price $80 410 Next Dividend Payable 03/2013* | | | | | | | | |
| ASPEN INSURANCE HLDGS LTD (AHL) | 8/5/11 | 70 000 | 24 884 | 1 741 87 | 2 245 60 | 503 73 LT | | |
| | 8/10/11 | 65 000 | 24 800 | 1 612 01 | 2 085 20 | 473 '9 LT | | |
| | Total | 135 000 | | 3 353 88 | 4,330 80 | 976 92 LT | 91 80 | 2 11 |
| *Share Price $32 080 Next Dividend Payable 02/2013* | | | | | | | | |
| BROOKDALE SENIOR LIVING INC (BKD) | 8/25/08 | 43 000 | 20 234 | 870 06 | 1 088 76 | 218 70 LT | | |
| | 11/18/08 | 135 000 | 4 442 | 599 72 | 3 418 20 | 2 818 48 LT | | |
| | 4/7/09 | 19 000 | 5 722 | 108 72 | 481 08 | 372 36 LT | | |
| | 4/8/09 | 30 000 | 5 861 | 175 84 | 759 60 | 583 76 LT | | |
| | Total | 227 000 | | 1 754 34 | 5,747 64 | 3 993 30 LT | — | — |
| *Share Price $25 320* | | | | | | | | |
| CINTAS CORP (CTAS) | 4/21/11 | 90 000 | 30 811 | 2 773 02 | 3 681 00 | 907 98 LT | | |
| | 9/21/11 | 20 000 | 30 550 | 611 00 | 818 00 | 207 00 LT | | |
| | Total | 110 000 | | 3 384 02 | 4,499 00 | 1 114 98 LT | 70 40 | 1 56 |
| *Share Price $40 900, Next Dividend Payable 12/2013* | | | | | | | | |
| CNO FINL GROUP INC COM (CNO) | 2/5/10 | 95 000 | 4 332 | 411 55 | 886 35 | 474 80 LT | | |
| | 2/10/10 | 152 000 | 4 401 | 668 98 | 1,418 16 | 749 18 LT | | |
| | 2/17/10 | 160 000 | 4 993 | 798 93 | 1 492 80 | 693 87 LT | | |
| | 12/13/11 | 35 000 | 6 110 | 213 86 | 326 55 | 112 69 LT | | |
| | Total | 442 000 | | 2 093 32 | 4,123.86 | 2 030 54 LT | 35 36 | 0 85 |
| *Share Price $9 330 Next Dividend Payable 03/2013* | | | | | | | | |
| COMPASS MINERALS INTER INC (CMP) | 10/21/11 | 15 000 | 72 650 | 1 089 75 | 1,120 65 | 30 90 LT | | |
| | 8/8/12 | 5 000 | 72 154 | 360 77 | 373 55 | 12 78 ST | | |
| | 8/14/12 | 10 000 | 71 747 | 717 47 | 747 10 | 29 63 ST | | |

CONTINUED

# Holdings

Fiduciary Services Active Assets Account 272-023653-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 30 000 | | 2 167 99 | 2,241 30 | 30 90 LT | 59 40 | 2 65 |
| | | | | | | 42 41 ST | | |
| *Share Price $74 710 Next Dividend Payable 03/2013* | | | | | | | | |
| CROWN HLDGS INC (HOLDING CO) (CCK) | 8/25/08 | 115 000 | 26 818 | 3 084 07 | 4 233 15 | 1 149 08 LT | | |
| | 5/8/09 | 10 000 | 22 716 | 227 16 | 368 10 | 140 94 LT | | |
| | Total | 125 000 | | 3 311 23 | 4 601 25 | 1 290 02 LT | — | — |
| *Share Price $36 810* | | | | | | | | |
| DARLING INTERNATIONAL INC (DAR) | 11/11/11 | 145 000 | 12 963 | 1 879 69 | 2 325 80 | 446 11 LT | | |
| | 12/28/11 | 95 000 | 13 115 | 1 245 91 | 1 523 80 | 277 89 LT | | |
| | 5/8/12 | 15 000 | 15 922 | 238 83 | 240 60 | 1 77 ST | | |
| | Total | 255 000 | | 3 364 43 | 4 090 20 | 724 00 LT | — | — |
| | | | | | | 1 77 ST | | |
| *Share Price $16 040* | | | | | | | | |
| FIRST AMERICAN FINL CORP (FAF) | 8/20/12 | 70 000 | 19 297 | 1 350 80 | 1,686 30 | 335 50 ST | 33 60 | 1 99 |
| *Share Price $24 090 Next Dividend Payable 03/2013* | | | | | | | | |
| FLUOR CORP NEW (FLR) | 8/25/08 | 20 000 | 75 975 | 1,519 50 | 1 174 80 | (344 70) LT | | |
| | 5/5/09 | 10 000 | 40 228 | 402 28 | 587 40 | 185 12 LT | | |
| | 1/7/10 | 25 000 | 48 292 | 1 207 31 | 1 468 50 | 261 19 LT | | |
| | Total | 55 000 | | 3 129 09 | 3,230.70 | 101 61 LT | 35 20 | 1 08 |
| *Share Price $58 740 Next Dividend Payable 01/03/13* | | | | | | | | |
| FOREST OIL CP 0 01 (FST) | 3/19/10 | 125 000 | 19 613 | 2 451 64 | 836 25 | (1 615 39) LT | | |
| | 6/16/11 | 12 000 | 18 770 | 225 24 | 80 28 | (144 96) LT | | |
| | 6/17/11 | 10 000 | 18 814 | 188 14 | 66 90 | (121 24) LT | | |
| | 11/1/11 | 140 000 | 11 277 | 1 578 82 | 936 60 | (642 22) LT | | |
| | 5/9/12 | 115 000 | 10 960 | 1 260 42 | 769 35 | (491 07) ST | | |
| | 5/14/12 | 20 000 | 10 163 | 203 26 | 133 80 | (69 46) ST | | |
| | 11/27/12 | 110 000 | 6 573 | 723 00 | 735 90 | 12 90 ST | | |

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# Holdings

Fiduciary Services Active Assets Account
272-023653-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | **Total** | 532.000 | | 6,630 52 | **3,559.08** | (2,523 81) LT<br>(547 63) ST | — | — |
| *Share Price $6 690* | | | | | | | | |
| **GENWORTH FINANCIAL INC CL A (GNW)** | 2/3/12 | 295 000 | 9.181 | 2,708 25 | 2 215.45 | (492 80) ST | | |
| | 3/7/12 | 175 000 | 8 676 | 1,518 28 | 1 314 25 | (204 03) ST | | |
| | 10/10/12 | 320 000 | 5 452 | 1,744 70 | 2 403 20 | 658 50 ST | | |
| | **Total** | 790 000 | | 5,971 23 | **5,932.90** | (38 33) ST | — | — |
| *Share Price $7.510* | | | | | | | | |
| **HARRIS CORPORATION DELAWARE (HRS)** | 12/1/08 | 60 000 | 32.464 | 1 947 85 | 2,937 60 | 989 75 LT | | |
| | 5/8/09 | 5.000 | 28.952 | 144.76 | 244.80 | 100.04 LT | | |
| | 6/18/09 | 25.000 | 29 062 | 726 54 | 1,224.00 | 497 46 LT | | |
| | **Total** | 90 000 | | 2,819 15 | **4,406.40** | 1,587 25 LT | 133 20 | 3 02 |
| *Share Price $48 960, Next Dividend Payable 03/2013* | | | | | | | | |
| **HERTZ GLOBAL HOLDINGS, INC (HTZ)** | 5/20/10 | 205.000 | 10 436 | 2,139 42 | 3,335 35 | 1,195 93 LT | | |
| | 6/23/10 | 115.000 | 10 124 | 1,164 28 | 1,871 05 | 706.77 LT | | |
| | **Total** | 320 000 | | 3,303 70 | **5,206.40** | 1,902.70 LT | — | — |
| *Share Price $16 270* | | | | | | | | |
| **HILLSHIRE BRANDS COMPANY (HSH)** | 12/13/12 | 100 000 | 28 507 | 2,850 71 | **2,814.00** | (36 71) ST | 50.00 | 1.77 |
| *Share Price $28 140, Next Dividend Payable 03/2013* | | | | | | | | |
| **INGREDION INC COM (INGR)** | 7/20/12 | 55 000 | 47 231 | 2,597 72 | **3,543.65** | 945.93 ST | 57 20 | 1 61 |
| *Share Price $64.430 Next Dividend Payable 01/25/13* | | | | | | | | |
| **IRON MOUNTAIN INC (DE) (IRM)** | 9/29/10 | 8 000 | 22 201 | 177 61 | 248.40 | 70.79 LT | | |
| | 9/30/10 | 45 000 | 22 222 | 999 97 | 1,397 25 | 397.28 LT | | |
| | 10/29/10 | 60.000 | 21 745 | 1,304 70 | 1,863 00 | 558.30 LT | | |
| | **Purchases** | 113.000 | | 2,482.28 | **3,508.65** | 1,026 37 LT | | |
| Short Term Reinvestments | | 13 000 | | 427 31 | 403 65 | (23.66) ST | | |
| | **Total** | 126 000 | | 2,909 59 | **3,912.30** | 1,026.37 LT<br>(23 66) ST | 136.08 | 3.47 |
| *Share Price $31 050, Next Dividend Payable 01/17/13* | | | | | | | | |

CONTINUED

# Holdings

Fiduciary Services Active Assets Account
272-023653-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| KEYCORP NEW (KEY) | 5/23/12 | 340 000 | 7 430 | 2 526 27 | 2,862.80 | 336 53 ST | 68 00 | 2 37 |
| *Share Price $8 420 Next Dividend Payable 03/2013* | | | | | | | | |
| KLA TENCOR CORP (KLAC) | 7/14/10 | 50 000 | 30 936 | 1 546 79 | 2,388 00 | 841.21 LT | | |
| | 10/17/12 | 20 000 | 46 083 | 921 65 | 955 20 | 33.55 ST | | |
| | Total | 70 000 | | 2 468 44 | 3,343.20 | 841.21 LT<br>33 55 ST | 112 00 | 3.35 |
| *Share Price $47 760 Next Dividend Payable 03/2013* | | | | | | | | |
| MANPOWERGROUP INC COM (MAN) | 3/23/12 | 45 000 | 45 532 | 2 048 94 | 1,909.80 | (139 14) ST | 38.70 | 2 02 |
| *Share Price $42 440 Next Dividend Payable 06/2013* | | | | | | | | |
| MEADWESTVACO CORP (MWV) | 5/11/09 | 80 000 | 14 000 | 1 119 96 | 2,549 60 | 1 429.64 LT | | |
| | 6/17/09 | 30 000 | 13 797 | 413.91 | 956 10 | 542.19 LT | | |
| | 2/12/10 | 20 000 | 20 470 | 409 39 | 637 40 | 228.01 LT | | |
| | 8/8/12 | 15 000 | 27 797 | 416 96 | 478 05 | 61.09 ST | | |
| | Total | 145.000 | | 2 360.22 | 4,621.15 | 2,199.84 LT<br>61.09 ST | 145.00 | 3.13 |
| *Share Price $31 870, Next Dividend Payable 03/2013* | | | | | | | | |
| OIL STATES INTL INC (OIS) | 9/25/12 | 15.000 | 82 455 | 1,236.82 | 1,073 10 | (163.72) ST | | |
| | 11/6/12 | 15 000 | 70.786 | 1 061 79 | 1,073 10 | 11.31 ST | | |
| | Total | 30 000 | | 2,298 61 | 2,146.20 | (152 41) ST | — | — |
| *Share Price $71 540* | | | | | | | | |
| OMEGA HEALTHCARE INV INC (OHI) | 8/25/08 | 195 000 | 15 850 | 3,090 76 | 4,650 75 | 1 559.99 LT | | |
| | 8/13/12 | 5 000 | 23 546 | 117.73 | 119 25 | 1.52 ST | | |
| | Total | 200.000 | | 3,208.49 | 4,770.00 | 1,559 99 LT<br>1.52 ST | 352.00 | 7 37 |
| *Share Price $23 850, Next Dividend Payable 02/2013* | | | | | | | | |
| ONEOK INC NEW (OKE) | 8/25/08 | 140 000 | 21 619 | 3,026 73 | 5,985.00 | 2 958.27 LT | 184.80 | 3.08 |
| *Share Price $42 750, Next Dividend Payable 02/2013* | | | | | | | | |
| OWENS ILLINOIS COM NEW (OI) | 8/25/08 | 110 000 | 41.029 | 4,513 19 | 2,339 70 | (2,173.49) LT | | |
| | 9/29/08 | 15 000 | 29.511 | 442 67 | 319.05 | (123 62) LT | | |
| | 8/4/11 | 55 000 | 21 003 | 1,155 18 | 1,169 85 | 14 67 LT | | |
| | 10/23/12 | 70 000 | 19.670 | 1,376.92 | 1,488 90 | 111 98 ST | | |

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# Holdings

Fiduciary Services Active Assets Account 272-023853-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 250 000 | | 7,487 96 | 5,317.50 | (2,282 44) LT<br>111 98 ST | — | — |
| *Share Price $21 270* | | | | | | | | |
| REDWOOD TRUST INC (RWT) | 9/18/12 | 100 000 | 14 765 | 1 476 48 | 1 689 00 | 212 52 ST | | |
| | 11/20/12 | 125 000 | 15 766 | 1,970 70 | 2,111 25 | 140 55 ST | | |
| | Total | 225.000 | | 3 447 18 | 3,800.25 | 353 07 ST | 225 00 | 5 92 |
| *Share Price $16 890, Next Dividend Payable 03/2013* | | | | | | | | |
| SBA COMMUNICATIONS CORP (SBAC) | 9/14/09 | 25.000 | 25.967 | 649 17 | 1 774 50 | 1 125 33 LT | | |
| | 4/23/10 | 45.000 | 35 771 | 1,609 70 | 3 194 10 | 1,584 40 LT | | |
| | Total | 70 000 | | 2,258 87 | 4,968.60 | 2,709 73 LT | — | — |
| *Share Price $70.980* | | | | | | | | |
| SLM CORPORATION (SLM) | 4/15/09 | 116 000 | 5 948 | 684 03 | 1,969 95 | 1,285 92 LT | | |
| | 5/13/09 | 90 000 | 5 564 | 500 78 | 1,541 70 | 1 040 92 LT | | |
| | Total | 205 000 | | 1,184 81 | 3,511.65 | 2,326 84 LT | 102 50 | 2 91 |
| *Share Price $17 130, Next Dividend Payable 03/2013* | | | | | | | | |
| TIDEWATER INC (TDW) | 8/25/08 | 50 000 | 57 090 | 2,854.50 | 2,234 00 | (620 50) LT | | |
| | 11/10/11 | 25 000 | 47 670 | 1,191.75 | 1,117 00 | (74 75) LT | | |
| | Total | 75 000 | | 4,046 25 | 3,351.00 | (695 25) LT | 75 00 | 2 23 |
| *Share Price $44 680, Next Dividend Payable 03/2013* | | | | | | | | |
| VERISK ANALYTICS CL A (VRSK) | 10/8/09 | 50 000 | 26 940 | 1,346 99 | 2,548.50 | 1,201 51 LT | | |
| | 1/11/'0 | 65 000 | 29 976 | 1,948 47 | 3,313 05 | 1 364 58 LT | | |
| | Total | 115 000 | | 3,295 46 | 5,861.55 | 2 566 09 LT | — | — |
| *Share Price $50 970* | | | | | | | | |
| WEYERHAEUSER CO (WY) | 5/27/09 | 35.000 | 32.815 | 1,148 51 | 973.70 | (174 81) LT | | |
| | 6/25/09 | 30 000 | 30.500 | 915 00 | 834.60 | (80 40) LT | | |
| | 7/22/10 | 110 000 | 15 540 | 1,709 36 | 3,060 20 | 1,350.84 LT | | |
| | Total | 175 000 | | 3,772 87 | 4,868.50 | 1,095 63 LT | 119 00 | 2 44 |
| *Share Price $27 820, Next Dividend Payable 02/2013* | | | | | | | | |
| WILEY JOHN & SON CL A (JW'A) | 4/18/12 | 40.000 | 46.322 | 1,852 89 | 1,557.20 | (295.69) ST | | |
| | 5/14/12 | 5 000 | 45.084 | 225 42 | 194 65 | (30.77) ST | | |
| | 5/24/12 | 25.000 | 45 109 | 1,127 72 | 973 25 | (154 47) ST | | |

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# Holdings

Fiduciary Services Active Assets Account
272-023653-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 70 000 | | 3 206 03 | 2,726.10 | (480 93) ST | 67 20 | 2 46 |
| *Share Price $38 930 Next Dividend Payable 03/2013* | | | | | | | | |
| WILLIAMS CO INC (WMB) | 1/15/09 | 95 000 | 11 428 | 1 085 63 | 3 110 30 | 2 024 67 LT | | |
| | 2/19/09 | 55 000 | 10 291 | 565 99 | 1 800 70 | 1,234 71 LT | | |
| | Total | 150 000 | | 1 651 62 | 4,911 00 | 3,259 38 LT | 195 00 | 3 97 |
| *Share Price $32 740 Next Dividend Payable 03/2013* | | | | | | | | |
| WPX ENERGY INC (WPX) | 1/15/09 | 31 666 | 7 772 | 246 10 | 471 19 | 225 09 LT | | |
| | 2/19/09 | 18 333 | 6 999 | 128 31 | 272 80 | 144 49 LT | | |
| | 3/23/12 | 20 000 | 19 172 | 383 44 | 297 60 | (85 84) ST | | |
| | 3/27/12 | 40 000 | 19 396 | 775 84 | 595 20 | (180 64) ST | | |
| | 4/9/12 | 95 001 | 16 938 | 1 609 12 | 1 413 61 | (195 51) ST | | |
| | Total | 205 000 | | 3 142 81 | 3,050 40 | 369 58 LT<br>(461 99) ST | — | — |
| *Share Price $14 880* | | | | | | | | |
| XYLEM INC COM (XYL) | 12/7/11 | 52 000 | 24 440 | 1 270 90 | 1 409 20 | 138 30 LT | | |
| | 12/8/11 | 25 000 | 24 374 | 609 35 | 677 50 | 68 15 LT | | |
| | 12/19/11 | 70 000 | 24 520 | 1 716 41 | 1 897 00 | 180 59 LT | | |
| | 8/13/12 | 20 000 | 24 488 | 489 75 | 542 00 | 52 25 ST | | |
| | Total | 167 000 | | 4,086 41 | 4,525 70 | 387 04 LT<br>52 25 ST | 67 64 | 1 49 |
| *Share Price $27 100, Next Dividend Payable 03/2013* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 96.9% | $110,434.32 | $142,083 88 | $31,254.76 LT<br>$394.80 ST | $2,517.08<br>$0.00 | 1.77% |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | 100 0% | $110,434 32 | $146,590 17 | $31,254.76 LT<br>$394.80 ST | $2,517.52<br>$0.00 | 1.72% |

TOTAL VALUE (includes accrued interest) $146,590 17

*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash MMF, Deposits and positions stating Please Provide are not included*

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# Holdings

Fiduciary Services Active Assets Account 272-023650-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

This section reflects the impact of positions purchased/sold on a trade date basis, and will include positions purchased and omit positions sold in the current month Please see the "Unsettled Purchases/Sales Activity" section for more information
The 'Market Value' and Unrealized Gain/(Loss)' figures shown are representative values as of the last business day of the statement period indicated, which may not reflect the value that could actually be obtained in the market See 'Pricing of Securities' in the Expanded Disclosures Fixed Income securities are sorted by maturity or pre-refunding date and alphabetically within date
Estimated annual income and estimated yield for certain securities can include return of principal or capital gains which could overstate such estimates Estimated yield and estimated annual income are estimates and the actual income or yield may be lower or higher than the estimates Estimated yield reflects only the income generated by an investment It does not reflect changes in its price which may fluctuate
Structured products appear in various statement product categories and are identified as "Structured Products" in the Security Description column Estimated accrued interest estimated annual income and estimated yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) assume specified accrual conditions are met during the relevant observation period and payment in full of all contingent interest If you hold structured products, please see "Special Considerations Regarding Structured Products" in the Disclosures
New Treasury regulations require that we report on Form 1099-B after the close of the tax year your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011 These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance which will be reflected on your Form 1099 B Cost basis is reflected on monthly statements for informational purposes only and should not be used in the preparation of your income tax returns Please refer to the Expanded Disclosures for additional information

## CASH, DEPOSITS AND MONEY MARKET FUNDS

Cash, Deposits and Money Market Funds are generally displayed on a settlement date basis However Global Currency Deposits may include both settled and unsettled positions Estimated Annual income Accrued Interest and APY% will only be displayed for fully settled positions In the event, all or a portion of a Global Currency Deposit position is unsettled as of month end, this data will not be available

| Description | Value | Estimated Annual Income | 7-Day Current Yield % | Annual Percentage Yield % |
|---|---|---|---|---|
| CASH | $851.23 | | | |
| MORGAN STANLEY BANK N.A. # | 2,524.24 | 1 00 | — | 0 050 |

| | Percentage of Assets % | Market Value | Estimated Annual Income Accrued Interest |
|---|---|---|---|
| CASH, DEPOSITS AND MONEY MARKET FUNDS | 1.0% | $3,376.47 | $1.00<br>$0.00 |

# Bank Deposits are at either (1) Morgan Stanley Bank N A and Morgan Stanley Private Bank, National Association or (2) Citibank, N A each a national bank, FDIC member and an affiliate of Morgan Stanley Smith Barney LLC

## STOCKS

### COMMON STOCKS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| ADVENT SOFTWARE INC (ADVS) | 9/17/04 | 240.000 | $8 495 | $2,038 80 | $5,131 20 | $3,092 40 LT | | |
| | 5/27/05 | 70.000 | 10 030 | 702 10 | 1,496 60 | 794.50 LT | | |
| | 6/9/05 | 100.000 | 9 940 | 894 00 | 2,138 00 | 1,144 00 LT | | |
| | Total | 410.000 | | 3,734.90 | 8,765.80 | 5,030.90 LT | — | — |

Share Price $21 380

CONTINUED

# Holdings

Fiduciary Services Active Assets Account
272-023650-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| AMC NETWORKS INC CL A (AMCX) | 9/17/04 | 48 254 | 9 502 | 458 51 | 7 388 57 | 1 930 06 LT | | |
| | 9/21/04 | 2 474 | 9 167 | 22 68 | 122 46 | 99 78 LT | | |
| | 5/27/05 | 8 661 | 14 229 | 123 24 | 428 71 | 305 47 LT | | |
| | 6/9/05 | 13 611 | 16 060 | 218 59 | 673 74 | 455 15 LT | | |
| | Total | 73 000 | | 823 02 | 3 613 50 | 2 790 46 LT | — | — |
| Share Price $49 500 | | | | | | | | |
| ANADARKO PETE (APC) | 5/27/05 | 80 000 | 37 705 | 3 016 40 | 5 944 80 | 2 928 40 LT | | |
| | 6/9/05 | 40 000 | 38 275 | 1 531 00 | 2 972 40 | 1 441 40 LT | | |
| | 6/28/06 | 100 000 | 44 542 | 4 454 17 | 7 431 00 | 2 976 83 LT | | |
| | 10/24/08 | 60 000 | 29 093 | 1 745 60 | 4 458 60 | 2 713 00 LT | | |
| | Total | 280 000 | | 10 747 17 | 20,806 80 | 10 059 63 LT | 100 80 | 0 48 |
| Share Price $74 310 Next Dividend Payable 03/2013 | | | | | | | | |
| AUTODESK INC DELAWARE (ADSK) | 1/24/05 | 70 000 | 27 752 | 1 942 67 | 2 474 50 | 531 83 LT | | |
| | 6/9/05 | 40 000 | 37 298 | 1 491 92 | 1 414 00 | (77 92) LT | | |
| | 8/1/08 | 58 000 | 32 332 | 1 875 24 | 2 050 30 | 175 06 LT | | |
| | 8/28/12 | 145 000 | 31 468 | 4 562 89 | 5 125 75 | 562 86 ST | | |
| | Total | 313 000 | | 9 872 72 | 11 064 55 | 628 97 LT<br>562 86 ST | — | — |
| Share Price $35 350 | | | | | | | | |
| BIOGEN IDEC INC (BIIB) | 1/27/05 | 10 000 | 64 380 | 643 80 | 1 463 70 | 819 90 LT | | |
| | 3/16/05 | 20 000 | 37 070 | 741 40 | 2 927 40 | 2 186 00 LT | | |
| | 4/12/05 | 30 000 | 36 390 | 1 091 69 | 4 391 10 | 3 299 41 LT | | |
| | 5/27/05 | 55 000 | 39 110 | 2 151 05 | 8 050 35 | 5 899 30 LT | | |
| | 6/8/05 | 50 000 | 33 835 | 1,691 74 | 7 318 50 | 5 626 76 LT | | |
| | 6/9/05 | 50 000 | 34 580 | 1 729 00 | 7 318 50 | 5 589 50 LT | | |
| | Total | 215 000 | | 8,048 68 | 31,469 55 | 23 420 87 LT | — | — |
| Share Price $146 370 | | | | | | | | |
| BROADCOM CORP CL A (BRCM) | 7/13/06 | 30 000 | 28 956 | 808 69 | 996 30 | 187 61 LT C | | |
| | 7/14/06 | 10 000 | 27 298 | 272 98 | 332 10 | 59 12 LT C | | |
| | 3/9/11 | 190 000 | 40 149 | 7 628 33 | 6 309 90 | (1 318 43) LT C | | |
| | Total | 230 000 | | 8 710 00 | 7 638 30 | (1 071 70) LT | 92 00 | 1 20 |
| Share Price $33 210 Next Dividend Payable 03/2013 | | | | | | | | |

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# Holdings

Fiduciary Services Active Assets Account
272-023650-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| CABLEVISION SYSTEMS CORP (CVC) | 9/17/04 | 195 000 | 6 275 | 1,223 66 | 2,913 30 | 1,689 64 LT | | |
| | 9/21/04 | 10.000 | 6.051 | 60.51 | 149.40 | 88 89 LT | | |
| | 5/27/05 | 35.000 | 9.398 | 328.92 | 522 90 | 193.98 LT | | |
| | 6/9/05 | 55.000 | 10.607 | 583 39 | 821 70 | 238 31 LT | | |
| | **Total** | 295 000 | | 2,196 48 | 4,407.30 | 2,210 82 LT | 177 00 | 4.01 |
| *Share Price $14 940 Next Dividend Payable 02/2013* | | | | | | | | |
| CITRIX SYSTEMS INC (CTXS) | 10/15/10 | 40 000 | 59.036 | 2,361 44 | 2,624.80 | 263 36 LT | | |
| | 11/16/10 | 10 000 | 63.186 | 631 86 | 656.20 | 24 34 LT | | |
| | **Total** | 50.000 | | 2,993 30 | 3,281.00 | 287 70 LT | — | — |
| *Share Price $65 620* | | | | | | | | |
| COMCAST CORP CL A SPECIAL NEW (CMCSK) | 9/17/04 | 269 806 | 18.640 | 5,029 19 | 9,691.43 | 4,662 24 LT | | |
| | 5/27/05 | 247 322 | 20.793 | 5,142 66 | 8,883 80 | 3,741 14 LT | | |
| | 6/9/05 | 179.871 | 20 620 | 3,708 94 | 6,460.96 | 2,752 02 LT | | |
| | 6/23/08 | 200 001 | 19.289 | 3,857 88 | 7,184.03 | 3,326.15 LT | | |
| | **Total** | 897 000 | | 17,738 67 | 32,220.24 | 14,481 55 LT | 583 05 | 1 80 |
| *Share Price $35 920, Next Dividend Payable 01/23/13* | | | | | | | | |
| COVIDIEN PLC NEW (COV) | 9/17/04 | 41 081 | 39 040 | 1,603 82 | 2,372 02 | 768.20 LT | | |
| | 5/27/05 | 51 040 | 37.085 | 1,892.80 | 2,947.05 | 1,054.25 LT | | |
| | 6/9/05 | 29 879 | 37 171 | 1,110 64 | 1,725 21 | 614 57 LT | | |
| | **Total** | 122 000 | | 4,607.26 | 7,044.28 | 2,437 02 LT | 126 88 | 1 80 |
| *Share Price $57 740, Next Dividend Payable 03/2013* | | | | | | | | |
| CREE RESEARCH INC (CREE) | 9/17/04 | 38 000 | 28 190 | 1,071.22 | 1,291.24 | 220.02 LT | | |
| | 3/23/05 | 25 000 | 21 826 | 545 66 | 849 50 | 303 84 LT | | |
| | 6/9/05 | 55 000 | 26 930 | 1,481.15 | 1,868.90 | 387.75 LT | | |
| | 8/16/05 | 40.000 | 24 063 | 962 50 | 1,359.20 | 396.70 LT | | |
| | 6/2/06 | 10 000 | 25 223 | 252.23 | 339.80 | 87.57 LT | | |
| | 3/23/11 | 10.000 | 45 243 | 452 43 | 339.80 | (112 63) LT | | |

CONTINUED

# Holdings

Fiduciary Services Active Assets Account
272-023650-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 178 000 | | 4 765 19 | 6,048 44 | 1 283 25 LT | — | — |
| *Share Price $33 980* | | | | | | | | |
| DIRECTV COM (DTV) | 9/17/04 | 114 000 | 12,014 | 1 369 60 | 5,718 24 | 4 348 64 LT | | |
| | 5/27/05 | 63 000 | 14 390 | 906 54 | 3 160 08 | 2 253 54 LT | | |
| | 6/9/05 | 57 000 | 14 449 | 823 60 | 2,859 12 | 2 035 52 LT | | |
| | 6/16/05 | 6 000 | 14 230 | 85 38 | 300 96 | 215 58 LT | | |
| | Total | 240 000 | | 3 185 12 | 12,038.40 | 8 853 28 LT | — | — |
| *Share Price $50 160* | | | | | | | | |
| DOLBY CLA A COM STK (DLB) | 10/16/08 | 8 000 | 28 469 | 227 75 | 234 64 | 6 89 LT | | |
| | 10/23/08 | 16 000 | 29 405 | 470 48 | 469 28 | (1 20) LT | | |
| | 10/24/08 | 15 000 | 29 983 | 449 74 | 439 95 | (9 79) LT | | |
| | 10/27/08 | 15 000 | 30 457 | 455 85 | 439 95 | (16 90) LT | | |
| | 10/28/08 | 12 000 | 29 223 | 350 67 | 351 96 | 1 29 LT | | |
| | 10/29/08 | 27 000 | 29 740 | 802 97 | 791 91 | (11 06) LT | | |
| | 10/30/08 | 9 000 | 30 627 | 275 64 | 263 97 | (11 67) LT | | |
| | 2/4/11 | 5 000 | 56 318 | 281 59 | 146 65 | (134 94) LT | | |
| | 4/6/11 | 4 000 | 49 008 | 196 03 | 117 32 | (78 71) LT | | |
| | 4/8/11 | 3 000 | 50 873 | 152 62 | 87 99 | (64 63) LT | | |
| | 4/12/11 | 10 000 | 50 059 | 500 59 | 293 30 | (207 29) LT | | |
| | 12/7/11 | 26 000 | 32 285 | 839 41 | 762 58 | (76 83) LT | | |
| | Total | 150 000 | | 5 004 34 | 4,399.50 | (604 84) LT | — | — |
| *Share Price $29 330* | | | | | | | | |
| FLUOR CORP NEW (FLR) | 11/19/08 | 40 000 | 33 240 | 1,329 58 | 2 349 60 | 1,020 02 LT | | |
| | 11/20/08 | 50 000 | 30 469 | 1 523 47 | 2 937 00 | 1,413 53 LT | | |
| | 3/14/11 | 19 000 | 68 114 | 1 294 17 | 1,116 06 | (178 11) LT | | |
| | 3/15/11 | 10 000 | 68 033 | 680 33 | 587 40 | (92 93) LT | | |
| | Total | 119 000 | | 4 827 55 | 6,990.06 | 2,162 51 LT | 76.16 | 1 08 |
| *Share Price $58 740, Next Dividend Payable 01/03/13* | | | | | | | | |
| FOREST LABORATORIES INC (FRX) | 9/17/04 | 115 000 | 44 550 | 5 123 25 | 4 061 80 | (1 061 45) LT | | |
| | 5/27/05 | 85 000 | 38 700 | 3 289 50 | 3,002 20 | (287 30) LT | | |
| | 6/9/05 | 65 000 | 38 470 | 2 500 55 | 2,295 80 | (204 75) LT | | |

CONTINUED

# Holdings

Fiduciary Services Active Assets Account
272-023650-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | 4/4/11 | 67 000 | 32 861 | 2,201 67 | 2 366 44 | 164.77 LT | | |
| | 4/5/11 | 33 000 | 32 968 | 1,087 95 | 1 165 56 | 77 61 LT | | |
| | 4/7/11 | 50 000 | 32 991 | 1,649 55 | 1 766 00 | 116 45 LT | | |
| | Total | 415 000 | | 15,852 47 | 14,657.80 | (1,194 67) LT | — | — |
| *Share Price $35 320* | | | | | | | | |
| FREEPORT MCMORAN CP&GLD (FCX) | 2/11/09 | 20 000 | 14 108 | 282 16 | 684 00 | 401.84 LT | | |
| | 3/10/11 | 80 000 | 47 191 | 3 775 29 | 2 736 00 | (1,039 29) LT | | |
| | Total | 100 000 | | 4 057 45 | 3,420.00 | (637 45) LT | 125 00 | 3 65 |
| *Share Price $34 200 Next Dividend Payable 02/2013* | | | | | | | | |
| IMMUNOGEN INC (IMGN) | 10/14/10 | 9.000 | 7 390 | 66 51 | 114 75 | 48 24 LT | | |
| | 10/15/10 | 4 000 | 7 743 | 30 97 | 51 00 | 20 03 LT | | |
| | 10/18/10 | 13.000 | 7 769 | 101 00 | 165 75 | 64 75 LT | | |
| | 10/19/10 | 5.000 | 7 696 | 38 48 | 63 75 | 25 27 LT | | |
| | 10/20/10 | 7.000 | 7 877 | 55 14 | 89 25 | 34 11 LT | | |
| | 10/21/10 | 2 000 | 7.880 | 15.76 | 25 50 | 9 74 LT | | |
| | 11/4/10 | 3 000 | 7 923 | 23.77 | 38 25 | 14 48 LT | | |
| | 11/16/10 | 40 000 | 7 766 | 310 62 | 510 00 | 198 38 LT | | |
| | 2/15/11 | 6 000 | 8.878 | 53.27 | 76 50 | 23 23 LT | | |
| | Total | 89.000 | | 695.52 | 1,134.75 | 439 23 LT | — | — |
| *Share Price. $12 750* | | | | | | | | |
| L-3 COMMUNICATIONS HOLDING INC (LLL) | 9/17/04 | 60 000 | 62 413 | 3,744 78 | 4 597 20 | 852.42 LT | | |
| | 5/27/05 | 30 000 | 65.390 | 1,961 69 | 2 298 60 | 336.91 LT | | |
| | 6/9/05 | 20 000 | 70 623 | 1,412 45 | 1,532 40 | 119.95 LT | | |
| | 5/30/06 | 20.000 | 74.823 | 1,496 45 | 1,532 40 | 35.95 LT | | |
| | Total | 130 000 | | 8,615 37 | 9,960.60 | 1,345 23 LT | 260 00 | 2 61 |
| *Share Price $76 620, Next Dividend Payable 03/2013* | | | | | | | | |
| LIBERTY INTERACTIVE CO INTER A (LINTA) | 9/17/04 | 142 500 | 14.847 | 2,115 73 | 2,804 40 | 688 67 LT | | |
| | 5/27/05 | 78 750 | 17 783 | 1,400.39 | 1 549 80 | 149.41 LT | | |
| | 6/9/05 | 71 250 | 17.857 | 1,272 28 | 1,402 20 | 129 92 LT | | |

CONTINUED

# Holdings

Fiduciary Services Active Assets Account
272-023650-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | 6/16/05 | 7.500 | 17.584 | 131 88 | 147.60 | 15.72 LT | | |
| | 6/2/08 | 65.000 | 14.988 | 974.24 | 1,279.20 | 304.96 LT | | |
| | 6/3/08 | 20.000 | 15.032 | 300.63 | 393 60 | 92.97 LT | | |
| | Total | 385 000 | | 6,195 15 | 7,576.80 | 1,381 65 LT | — | — |
| *Share Price. $19.680* | | | | | | | | |
| LIBERTY MEDIA CO LIBERTY CAP A (LMCA) | 6/4/08 | 4 000 | 14.970 | 59.88 | 464.04 | 404.16 LT | | |
| | 6/11/08 | 20.000 | 14.999 | 299 98 | 2,320.20 | 2,020.22 LT | | |
| | 6/26/08 | 73.000 | 14.998 | 1,094.85 | 8,468.73 | 7,373 88 LT | | |
| | 6/27/08 | 120.000 | 14.566 | 1,747 96 | 13,921.20 | 12 173 24 LT | | |
| | Total | 217.000 | | 3,202.67 | 25,174.17 | 21 971.50 LT | — | — |
| *Share Price· $116 010* | | | | | | | | |
| NATIONAL OILWELL VARCO INC (NOV) | 9/17/04 | 44.375 | 48.148 | 2,136.55 | 3,033.03 | 896 48 LT | | |
| | 5/27/05 | 13.312 | 48.149 | 640.96 | 909.87 | 268.91 LT | | |
| | 6/9/05 | 13.312 | 48.149 | 640.96 | 909.87 | 268.91 LT | | |
| | 10/24/08 | 35.000 | 24.056 | 841 97 | 2,392.25 | 1,550.28 LT | | |
| | 10/27/08 | 10.001 | 25.446 | 254.49 | 683.56 | 429.07 LT | | |
| | Total | 116 000 | | 4,514.93 | 7,928.60 | 3,413.65 LT | 60.32 | 0.76 |
| *Share Price: $68.350, Next Dividend Payable 03/2013* | | | | | | | | |
| NUCOR CORPORATION (NUE) | 2/17/09 | 10.000 | 40.802 | 408.02 | 431.60 | 23.58 LT | | |
| | 2/24/09 | 20.000 | 37.102 | 742.03 | 863.20 | 121.17 LT | | |
| | 2/7/11 | 60.000 | 48.420 | 2,905 17 | 2,589.60 | (315 57) LT | | |
| | Total | 90 000 | | 4,055.22 | 3,884.40 | (170.82) LT | 132.30 | 3 40 |
| *Share Price. $43 160, Next Dividend Payable 02/11/13* | | | | | | | | |
| PALL CORPORATION (PLL) | 9/17/04 | 165 000 | 24.320 | 4,012.80 | 9,942.90 | 5,930.10 LT | | |
| | 6/9/05 | 50 000 | 28 790 | 1,439 50 | 3,013 00 | 1,573.50 LT | | |
| | Total | 215.000 | | 5,452.30 | 12,955.90 | 7,503.60 LT | 215.00 | 1.65 |
| *Share Price. $60 260, Next Dividend Payable 02/2013* | | | | | | | | |
| PENTAIR LTD (PNR) | 9/17/04 | 10.000 | 35.046 | 350.46 | 491 50 | 141.04 LT | | |
| | 5/27/05 | 12.000 | 33.691 | 404 29 | 589 80 | 185.51 LT | | |
| | 6/9/05 | 7.000 | 34 670 | 242.69 | 344 05 | 101.36 LT | | |
| | Total | 29.000 | | 997 44 | 1,425.35 | 427.91 LT | 25 52 | 1 79 |
| *Share Price: $49.150; Next Dividend Payable 02/2013* | | | | | | | | |

CONTINUED

# Holdings

Fiduciary Services Active Assets Account
272-023650-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| SANDISK CORP (SNDK) | 9/17/04 | 13.000 | 26.080 | 339.04 | 565.50 | 226.46 LT | | |
| | 11/2/04 | 20.000 | 21 066 | 421.31 | 870 00 | 448.69 LT | | |
| | 4/27/05 | 30.000 | 25.088 | 752.65 | 1,305 00 | 552.35 LT | | |
| | 5/27/05 | 55.000 | 26.050 | 1,432 75 | 2,392 50 | 959.75 LT | | |
| | 6/7/05 | 10.000 | 24.817 | 248 17 | 435 00 | 186.83 LT | | |
| | 6/9/05 | 60.000 | 24.460 | 1,467.60 | 2,610 00 | 1,142.40 LT | | |
| | 7/9/08 | 149.000 | 17 461 | 2,601 72 | 6,481 50 | 3,879.78 LT | | |
| | **Total** | 337 000 | | 7,263 24 | **14,659.50** | 7,396.26 LT | — | — |
| *Share Price $43 500* | | | | | | | | |
| SEAGATE TECHNOLOGY PLC (STX) | 9/17/04 | 133.172 | 14 946 | 1,990 40 | 4,051 09 | 2,060.69 LT | | |
| | 5/27/05 | 77.684 | 14 568 | 1,131 67 | 2,363 15 | 1,231.48 LT | | |
| | 6/9/05 | 35.144 | 16 756 | 588.88 | 1,069 08 | 480.20 LT | | |
| | 10/12/12 | 215.000 | 27.715 | 5,958.70 | 6,540.30 | 581.60 ST | | |
| | **Total** | 461.000 | | 9,669.65 | **14,023.62** | 3,772.37 LT<br>581.60 ST | 700.72 | 4 99 |
| *Share Price $30 420; Next Dividend Payable 03/2013* | | | | | | | | |
| TE CONNECTIVITY LTD NEW (TEL) | 9/17/04 | 41.081 | 35 942 | 1,476.52 | 1,524.93 | 48.41 LT | | |
| | 5/27/05 | 51.040 | 34.141 | 1,742.57 | 1,894.60 | 152.03 LT | | |
| | 6/9/05 | 29 879 | 34.221 | 1,022.48 | 1,109.11 | 86.63 LT | | |
| | **Total** | 122.000 | | 4,241.57 | **4,528.64** | 287.07 LT | 102.48 | 2 26 |
| *Share Price $37 120, Next Dividend Payable 03/2013* | | | | | | | | |
| THE ADT CORPORATION COM (ADT) | 9/17/04 | 21.000 | 30.514 | 640 79 | 976.29 | 335.50 LT | | |
| | 5/27/05 | 25.000 | 30.250 | 756.25 | 1,162.25 | 406 00 LT | | |
| | 6/9/05 | 15 000 | 29.583 | 443.75 | 697.35 | 253.60 LT | | |
| | **Total** | 61 000 | | 1,840.79 | **2,835.89** | 995.10 LT | 30.50 | 1.07 |
| *Share Price $46,490; Next Dividend Payable 03/2013* | | | | | | | | |
| TYCO INTERNATIONAL LTD NEW (TYC) | 9/17/04 | 41 081 | 23.850 | 979.80 | 1,201.62 | 221.82 LT | | |
| | 5/27/05 | 51.040 | 22.656 | 1,156.35 | 1,492.92 | 336.57 LT | | |
| | 6/9/05 | 29 879 | 22.709 | 678 61 | 873.96 | 195.45 LT | | |

CONTINUED

# Holdings

Fiduciary Services Active Assets Account
272-023650-061

THE TSUHA FOUNDATION
C/O WALLACE K TSUHA, TTEE

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 122 000 | | 2 814 66 | 3,568 50 | 753 84 LT | 73 20 | 2 C5 |
| *Share Price $29 250 Next Dividend Payable 02/2013* | | | | | | | | |
| UNITEDHEALTH GP INC (UNH) | 9/17/04 | 200 000 | 34 540 | 6 908 00 | 10 848 00 | 3 940 00 LT | | |
| | 6/9/05 | 75 000 | 51 800 | 3 885 00 | 4 068 00 | 183 00 LT | | |
| | 4/29/08 | 68 000 | 33 391 | 2 270 60 | 3 688 32 | 1 417 72 LT | | |
| | 4/30/08 | 40 000 | 33 172 | 1 326 88 | 2 169 60 | 842 72 LT | | |
| | 5/1/08 | 90 000 | 32 959 | 2 966 35 | 4 881 60 | 1 915 25 LT | | |
| | Total | 473 000 | | 17 356 83 | 25,656 52 | 8 298 69 LT | 402 05 | 1 56 |
| *Share Price $54 240 Next Dividend Payable 03/2013* | | | | | | | | |
| VERTEX PHARMACEUTICALS (VRTX) | 10/31/08 | 10 000 | 25 555 | 255 55 | 419 00 | 163 45 LT | | |
| | 11/3/08 | 16 000 | 27 159 | 434 54 | 670 40 | 235 86 LT | | |
| | 11/4/08 | 3 000 | 27 013 | 81 04 | 125 70 | 44 66 LT | | |
| | 11/5/08 | 2 000 | 27 420 | 54 84 | 83 80 | 28 96 LT | | |
| | 11/11/08 | 10 000 | 26 962 | 269 62 | 419 00 | 149 38 LT | | |
| | 11/12/08 | 33 000 | 26 729 | 882 06 | 1 382 70 | 500 64 LT | | |
| | 11/13/08 | 6 000 | 26 628 | 159 77 | 251 40 | 91 63 LT | | |
| | 11/17/08 | 30 000 | 26 963 | 808 88 | 1 257 00 | 448 12 LT | | |
| | Total | 110 000 | | 2 946 30 | 4,609 00 | 1,662 70 LT | — | — |
| *Share Price $41 900* | | | | | | | | |
| WEATHERFORD INTERNATIONAL LTD (WFT) | 9/17/04 | 400 000 | 12 175 | 4,870 00 | 4 476 00 | (394 00) LT | | |
| | 5/27/05 | 160 000 | 13 100 | 2 096 00 | 1 790 40 | (305 60) LT | | |
| | 6/9/05 | 140 000 | 14 238 | 1 993 25 | 1 566 60 | (426 65) LT | | |
| | Total | 700 000 | | 8 959 25 | 7,833.00 | (1 126 25) LT | — | — |
| *Share Price $11 190* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income / Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 99.0% | $195,985.21 | $325,619.76 | $128,490 03 LT<br>$1,144.46 ST | $3,282 98<br>$0.00 | 1 01% |