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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

**2013**

Open to Public Inspection

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

For calendar year 2013 or tax year beginning , and ending

|                                                                                                                                                                                                                                                                                                                   |                    |                                                                                                                                                 |                                                                                                                                                                              |  |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------|-------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| Name of foundation<br><b>HARMAN FAMILY FOUNDATION (FKA SIDNEY AND JANE HARMAN FOUNDATION)</b>                                                                                                                                                                                                                     |                    |                                                                                                                                                 | A Employer identification number<br><b>45-6495102</b>                                                                                                                        |  |
| Number and street (or P O box number if mail is not delivered to street address)<br><b>10390 SANTA MONICA BLVD STE 360</b>                                                                                                                                                                                        |                    | Room/suite                                                                                                                                      | B Telephone number (see instructions)<br><b>(310) 551-1220</b>                                                                                                               |  |
| City or town<br><b>LOS ANGELES</b>                                                                                                                                                                                                                                                                                | State<br><b>CA</b> | ZIP code<br><b>90025</b>                                                                                                                        |                                                                                                                                                                              |  |
| Foreign country name                                                                                                                                                                                                                                                                                              |                    | Foreign province/state/county                                                                                                                   | Foreign postal code                                                                                                                                                          |  |
| G Check all that apply:<br><input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input checked="" type="checkbox"/> Name change |                    |                                                                                                                                                 | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |  |
| H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                                  |                    |                                                                                                                                                 | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |  |
| I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 39,318,763</b>                                                                                                                                                                                                            |                    | J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ |                                                                                                                                                                              |  |
|                                                                                                                                                                                                                                                                                                                   |                    |                                                                                                                                                 | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |  |

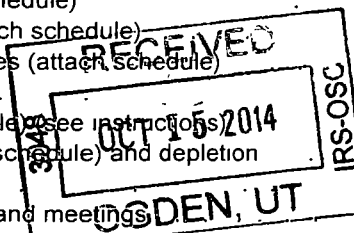
| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions)) |                                                                                    | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                                            | 1 Contributions, gifts, grants, etc., received (attach schedule)                   | 1,000,000                          |                           |                         |                                                             |
|                                                                                                                                                                    | 2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 3 Interest on savings and temporary cash investments                               |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 4 Dividends and interest from securities                                           | 646,404                            | 646,404                   |                         |                                                             |
|                                                                                                                                                                    | 5a Gross rents                                                                     |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | b Net rental income or (loss)                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                           | 1,183,566                          |                           |                         |                                                             |
|                                                                                                                                                                    | b Gross sales price for all assets on line 6a 21,674,156                           |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2)                                   |                                    | 1,183,566                 |                         |                                                             |
|                                                                                                                                                                    | 8 Net short-term capital gain                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 9 Income modifications                                                             |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 10a Gross sales less returns and allowances                                        |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                                          |                                                                                    |                                    |                           |                         |                                                             |
| c Gross profit or (loss) (attach schedule)                                                                                                                         |                                                                                    |                                    |                           |                         |                                                             |
| 11 Other income (attach schedule)                                                                                                                                  |                                                                                    |                                    |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                                   | 2,829,970                                                                          | 1,829,970                          | 0                         |                         |                                                             |
| Operating and Administrative Expenses                                                                                                                              | 13 Compensation of officers, directors, trustees, etc                              | 275,000                            |                           |                         | 275,000                                                     |
|                                                                                                                                                                    | 14 Other employee salaries and wages                                               | 84,390                             |                           |                         | 84,390                                                      |
|                                                                                                                                                                    | 15 Pension plans, employee benefits                                                | 2,532                              |                           |                         | 2,532                                                       |
|                                                                                                                                                                    | 16a Legal fees (attach schedule)                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | b Accounting fees (attach schedule)                                                | 11,000                             |                           |                         | 11,000                                                      |
|                                                                                                                                                                    | c Other professional fees (attach schedule)                                        | 169,982                            | 158,692                   |                         | 11,290                                                      |
|                                                                                                                                                                    | 17 Interest                                                                        |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 18 Taxes (attach schedule) (see instructions)                                      | 54,003                             | 28,497                    |                         | 25,506                                                      |
|                                                                                                                                                                    | 19 Depreciation (attach schedule) and depletion                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 20 Occupancy                                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 21 Travel, conferences, and meetings                                               | 3,205                              |                           |                         | 3,205                                                       |
|                                                                                                                                                                    | 22 Printing and publications                                                       |                                    |                           |                         |                                                             |
|                                                                                                                                                                    | 23 Other expenses (attach schedule)                                                | 16,200                             |                           |                         | 16,200                                                      |
|                                                                                                                                                                    | 24 Total operating and administrative expenses. Add lines 13 through 23            | 616,312                            | 187,189                   | 0                       | 429,123                                                     |
|                                                                                                                                                                    | 25 Contributions, gifts, grants paid                                               | 2,454,344                          |                           |                         | 2,454,344                                                   |
|                                                                                                                                                                    | 26 Total expenses and disbursements. Add lines 24 and 25                           | 3,070,656                          | 187,189                   | 0                       | 2,883,467                                                   |
| 27 Subtract line 26 from line 12                                                                                                                                   |                                                                                    |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                                | -240,686                                                                           |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                                   |                                                                                    | 1,642,781                          |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                                     |                                                                                    |                                    | 0                         |                         |                                                             |

For Paperwork Reduction Act Notice, see instructions.

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Form **990-PF** (2013)

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| Part II Balance Sheets      |     | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)                 | Beginning of year | End of year    |                       |
|-----------------------------|-----|------------------------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
|                             |     |                                                                                                                                    | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| Assets                      | 1   | Cash—non-interest-bearing                                                                                                          | 137,843           | 53,676         | 53,677                |
|                             | 2   | Savings and temporary cash investments                                                                                             | 3,304,968         | 1,059,571      | 1,059,571             |
|                             | 3   | Accounts receivable ▶                                                                                                              |                   |                |                       |
|                             |     | Less: allowance for doubtful accounts ▶                                                                                            |                   |                |                       |
|                             | 4   | Pledges receivable ▶                                                                                                               |                   |                |                       |
|                             |     | Less: allowance for doubtful accounts ▶                                                                                            |                   |                |                       |
|                             | 5   | Grants receivable                                                                                                                  |                   |                |                       |
|                             | 6   | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)            |                   |                |                       |
|                             | 7   | Other notes and loans receivable (attach schedule) ▶                                                                               |                   |                |                       |
|                             |     | Less: allowance for doubtful accounts ▶                                                                                            |                   |                |                       |
|                             | 8   | Inventories for sale or use                                                                                                        |                   |                |                       |
|                             | 9   | Prepaid expenses and deferred charges                                                                                              |                   |                |                       |
|                             | 10a | Investments—U S. and state government obligations (attach schedule)                                                                | 2,417,181         | 3,783,801      | 3,701,927             |
|                             | b   | Investments—corporate stock (attach schedule)                                                                                      | 18,033,348        | 18,493,485     | 23,997,852            |
|                             | c   | Investments—corporate bonds (attach schedule)                                                                                      | 1,539,188         | 1,515,679      | 1,496,248             |
| Liabilities                 | 11  | Investments—land, buildings, and equipment: basis ▶                                                                                |                   |                |                       |
|                             |     | Less: accumulated depreciation (attach schedule) ▶                                                                                 |                   |                |                       |
|                             | 12  | Investments—mortgage loans                                                                                                         |                   |                |                       |
|                             | 13  | Investments—other (attach schedule)                                                                                                | 8,764,524         | 9,050,154      | 9,009,488             |
|                             | 14  | Land, buildings, and equipment: basis ▶                                                                                            |                   |                |                       |
|                             |     | Less: accumulated depreciation (attach schedule) ▶                                                                                 |                   |                |                       |
|                             | 15  | Other assets (describe ▶)                                                                                                          |                   |                |                       |
|                             | 16  | <b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)                                 | 34,197,052        | 33,956,366     | 39,318,763            |
|                             | 17  | Accounts payable and accrued expenses                                                                                              |                   |                |                       |
|                             | 18  | Grants payable                                                                                                                     |                   |                |                       |
|                             | 19  | Deferred revenue                                                                                                                   |                   |                |                       |
|                             | 20  | Loans from officers, directors, trustees, and other disqualified persons                                                           |                   |                |                       |
|                             | 21  | Mortgages and other notes payable (attach schedule)                                                                                |                   |                |                       |
|                             | 22  | Other liabilities (describe ▶)                                                                                                     |                   |                |                       |
|                             | 23  | <b>Total liabilities</b> (add lines 17 through 22)                                                                                 | 0                 | 0              |                       |
| Net Assets or Fund Balances |     | <b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input type="checkbox"/> |                   |                |                       |
|                             | 24  | Unrestricted                                                                                                                       |                   |                |                       |
|                             | 25  | Temporarily restricted                                                                                                             |                   |                |                       |
|                             | 26  | Permanently restricted                                                                                                             |                   |                |                       |
|                             |     | <b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input checked="" type="checkbox"/>   |                   |                |                       |
|                             | 27  | Capital stock, trust principal, or current funds                                                                                   |                   |                |                       |
|                             | 28  | Paid-in or capital surplus, or land, bldg, and equipment fund                                                                      |                   |                |                       |
|                             | 29  | Retained earnings, accumulated income, endowment, or other funds                                                                   | 34,197,052        | 33,956,366     |                       |
|                             | 30  | <b>Total net assets or fund balances</b> (see instructions)                                                                        | 34,197,052        | 33,956,366     |                       |
|                             | 31  | <b>Total liabilities and net assets/fund balances</b> (see instructions)                                                           | 34,197,052        | 33,956,366     |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |                                                                                                                                                          |   |            |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 | Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 34,197,052 |
| 2 | Enter amount from Part I, line 27a                                                                                                                       | 2 | -240,686   |
| 3 | Other increases not included in line 2 (itemize) ▶                                                                                                       | 3 |            |
| 4 | Add lines 1, 2, and 3                                                                                                                                    | 4 | 33,956,366 |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                             | 5 |            |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30                                                      | 6 | 33,956,366 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)                                                                       |                                            | (b) How acquired<br>P—Purchase<br>D—Donation    | (c) Date acquired<br>(mo, day, yr)                                                        | (d) Date sold<br>(mo, day, yr) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------|--------------------------------|
| <b>1a Capital Gains Distributions</b>                                                                                                                                                                  |                                            |                                                 |                                                                                           |                                |
| <b>b PUBLICLY TRADED SECURITIES</b>                                                                                                                                                                    |                                            |                                                 |                                                                                           |                                |
| <b>c</b>                                                                                                                                                                                               |                                            |                                                 |                                                                                           |                                |
| <b>d</b>                                                                                                                                                                                               |                                            |                                                 |                                                                                           |                                |
| <b>e</b>                                                                                                                                                                                               |                                            |                                                 |                                                                                           |                                |
| (e) Gross sales price                                                                                                                                                                                  | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                              |                                |
| <b>a</b>                                                                                                                                                                                               |                                            |                                                 | 0                                                                                         |                                |
| <b>b</b> 21,527,288                                                                                                                                                                                    |                                            | 20,490,590                                      | 1,036,698                                                                                 |                                |
| <b>c</b>                                                                                                                                                                                               |                                            |                                                 |                                                                                           |                                |
| <b>d</b>                                                                                                                                                                                               |                                            |                                                 |                                                                                           |                                |
| <b>e</b>                                                                                                                                                                                               |                                            |                                                 |                                                                                           |                                |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                            |                                            |                                                 | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |                                |
| (i) FMV as of 12/31/69                                                                                                                                                                                 | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                           |                                |
| <b>a</b>                                                                                                                                                                                               |                                            |                                                 | 0                                                                                         |                                |
| <b>b</b>                                                                                                                                                                                               |                                            |                                                 | 1,036,698                                                                                 |                                |
| <b>c</b>                                                                                                                                                                                               |                                            |                                                 |                                                                                           |                                |
| <b>d</b>                                                                                                                                                                                               |                                            |                                                 |                                                                                           |                                |
| <b>e</b>                                                                                                                                                                                               |                                            |                                                 |                                                                                           |                                |
| <b>2 Capital gain net income or (net capital loss)</b> { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                             |                                            | <b>2</b>                                        | 1,183,566                                                                                 |                                |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)</b><br>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 } |                                            | <b>3</b>                                        | 0                                                                                         |                                |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.☐ Yes ☒ No**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                       | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2012                                                                                                                                                                                                                       | 1,065,549                                | 28,153,701                                   | 0.037848                                                    |
| 2011                                                                                                                                                                                                                       |                                          |                                              | 0.000000                                                    |
| 2010                                                                                                                                                                                                                       |                                          |                                              | 0.000000                                                    |
| 2009                                                                                                                                                                                                                       |                                          |                                              | 0.000000                                                    |
| 2008                                                                                                                                                                                                                       |                                          |                                              | 0.000000                                                    |
| <b>2 Total of line 1, column (d)</b>                                                                                                                                                                                       |                                          |                                              | 0.037848                                                    |
| <b>3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b>                                        |                                          |                                              | 0.037848                                                    |
| <b>4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5</b>                                                                                                                                      |                                          |                                              | 36,212,312                                                  |
| <b>5 Multiply line 4 by line 3</b>                                                                                                                                                                                         |                                          |                                              | 1,370,564                                                   |
| <b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>                                                                                                                                                        |                                          |                                              | 16,428                                                      |
| <b>7 Add lines 5 and 6</b>                                                                                                                                                                                                 |                                          |                                              | 1,386,992                                                   |
| <b>8 Enter qualifying distributions from Part XII, line 4</b><br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions |                                          |                                              | 2,883,467                                                   |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

|           |                                                                                                                                                                                                                                   |           |        |        |
|-----------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--------|--------|
| <b>1a</b> | Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1<br>Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions) |           |        |        |
| <b>b</b>  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                        |           |        |        |
| <b>c</b>  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)                                                                                                           |           |        |        |
| <b>2</b>  | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                         |           |        | 0      |
| <b>3</b>  | Add lines 1 and 2                                                                                                                                                                                                                 |           |        | 16,428 |
| <b>4</b>  | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                       |           |        |        |
| <b>5</b>  | <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                    |           |        | 16,428 |
| <b>6</b>  | Credits/Payments                                                                                                                                                                                                                  |           |        |        |
| <b>a</b>  | 2013 estimated tax payments and 2012 overpayment credited to 2013                                                                                                                                                                 | <b>6a</b> | 3,792  |        |
| <b>b</b>  | Exempt foreign organizations—tax withheld at source                                                                                                                                                                               | <b>6b</b> |        |        |
| <b>c</b>  | Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                               | <b>6c</b> | 17,000 |        |
| <b>d</b>  | Backup withholding erroneously withheld                                                                                                                                                                                           | <b>6d</b> |        |        |
| <b>7</b>  | Total credits and payments. Add lines 6a through 6d                                                                                                                                                                               |           |        | 20,792 |
| <b>8</b>  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                          |           |        |        |
| <b>9</b>  | <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>                                                                                                                                       |           |        | 0      |
| <b>10</b> | <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>                                                                                                                           |           |        | 4,364  |
| <b>11</b> | Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> 4,364 <b>Refunded</b>                                                                                                                                     |           |        | 0      |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                            | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                             |     | X  |
| <b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?<br><i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i> |     | X  |
| <b>c</b> Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                              |     | X  |
| <b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____                                                                                                                                                                       |     |    |
| <b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____                                                                                                                                                                                                   |     |    |
| <b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS?<br><i>If "Yes," attach a detailed description of the activities</i>                                                                                                                                                                               |     | X  |
| <b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>                                                                                                                 |     | X  |
| <b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                      |     | X  |
| <b>b</b> If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                                  | N/A |    |
| <b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br><i>If "Yes," attach the statement required by General Instruction T</i>                                                                                                                                                                         |     | X  |
| <b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?                | X   |    |
| <b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>                                                                                                                                                                                                          | X   |    |
| <b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ►<br>CALIFORNIA, MASSACHUSETTS, NEW YORK, WASHINGTON DC                                                                                                                                                                                       |     |    |
| <b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>                                                                                                                                | X   |    |
| <b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>                                                                                       |     | X  |
| <b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>                                                                                                                                                                                                        | X   |    |

**Part VII-A Statements Regarding Activities (continued)**

|                                                                                                                                      |                                                                                                                                                                                           |    |     |    |
|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11                                                                                                                                   | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)   | 11 |     | X  |
| 12                                                                                                                                   | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)  | 12 |     | X  |
| 13                                                                                                                                   | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?                                                                       | 13 | X   |    |
| Website address ► HARMAN-FOUNDATION.ORG                                                                                              |                                                                                                                                                                                           |    |     |    |
| 14                                                                                                                                   | The books are in care of ► DRESSLER & ASSOCIATES INC Telephone no ► (310) 551-1220                                                                                                        |    |     |    |
| Located at ► 10390 SANTA MONICA BLVD #360, LOS ANGELES CA ZIP+4 ► 90025                                                              |                                                                                                                                                                                           |    |     |    |
| 15                                                                                                                                   | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15    |    |     |    |
| 16                                                                                                                                   | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | Yes | No |
| See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ► |                                                                                                                                                                                           |    |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | Yes | No  |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|-----|
| 1a | During the year did the foundation (either directly or indirectly)                                                                                                                                                                                                                                                                                                                                                                                                                             |     |     |
|    | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                     |     |     |
|    | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                             |     |     |
|    | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                 |     |     |
|    | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                       |     |     |
|    | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                              |     |     |
|    | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                             |     |     |
| b  | If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>                                                                                                                                                               | 1b  | N/A |
| c  | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                                                                        | 1c  | X   |
| 2  | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))                                                                                                                                                                                                                                                                                                                  |     |     |
| a  | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                          |     |     |
|    | If "Yes," list the years ► 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                                                                                                                      |     |     |
| b  | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)                                                                                                                                                                                        | 2b  | N/A |
| c  | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____                                                                                                                                                                                                                                                                                                                                               |     |     |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                 |     |     |
| b  | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) | 3b  | N/A |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                | 4a  | X   |
| b  | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?                                                                                                                                                                                                                                                              | 4b  | X   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |                                                                                   |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|
| <p><b>5a</b> During the year did the foundation pay or incur any amount to</p> <p>(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?</p> <p>(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?</p> <p>(3) Provide a grant to an individual for travel, study, or other similar purposes?</p> <p>(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)</p> <p>(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?</p> <p><b>b</b> If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?</p> <p>Organizations relying on a current notice regarding disaster assistance check here <span style="float: right;">▶ <input type="checkbox"/></span></p> <p><b>c</b> If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?</p> <p>If "Yes," attach the statement required by Regulations section 53.4945–5(d)</p> <p><b>6a</b> Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?</p> <p><b>b</b> Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?</p> <p>If "Yes" to 6b, file Form 8870</p> <p><b>7a</b> At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?</p> <p><b>b</b> If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?</p> | <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> <p><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</p> | <p><b>5b</b></p> <p>N/A</p> <p><b>6b</b></p> <p>X</p> <p><b>7b</b></p> <p>N/A</p> |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address   | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| See Attached Statement | .00                                                       | 0                                         |                                                                       |                                       |
|                        | .00                                                       | 0                                         |                                                                       |                                       |
|                        | .00                                                       | 0                                         |                                                                       |                                       |
|                        | .00                                                       | 0                                         |                                                                       |                                       |
|                        | .00                                                       | 0                                         |                                                                       |                                       |

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NANCY SWARTZ<br>26 SHADY LANE, NEEDHAM, MA 02492              | 40 00                                                     | 84,390           | 2,532                                                                 |                                       |
|                                                               | .00                                                       | 0                |                                                                       |                                       |
|                                                               | .00                                                       | 0                |                                                                       |                                       |
|                                                               | .00                                                       | 0                |                                                                       |                                       |
|                                                               | .00                                                       | 0                |                                                                       |                                       |
| <b>Total number of other employees paid over \$50,000</b>     | 00                                                        | 0                |                                                                       |                                       |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000                     | (b) Type of service   | (c) Compensation |
|---------------------------------------------------------------------------------|-----------------------|------------------|
| CAPITAL GUARDIAN TRUST COMPANY<br>6455 IRVINE CENTER DRIVE, IRVINE, CA 92618    | INVESTMENT MANAGEMENT | 158,692          |
|                                                                                 |                       |                  |
|                                                                                 |                       |                  |
|                                                                                 |                       |                  |
|                                                                                 |                       |                  |
| <b>Total number of others receiving over \$50,000 for professional services</b> |                       | ▶                |

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

- 1 THE EXECUTIVE DIRECTOR AND THE GRANTS AND OPERATIONS MANAGER VOLUNTEER FIFTY PERCENT OF THEIR TIME PROVIDING SIGNIFICANT TECHNICAL ASSISTANCE TO THE FOUNDATION'S LEAD NONPROFIT PARTNER, THE CATALOGUE FOR PHILANTHROPY THE EXECUTIVE DIRECTOR
- 2 OF THE FOUNDATION VOLUNTEERS AS THE CATALOGUE'S LEAD EXECUTIVE MANAGING ALL ORGANIZATIONAL ACTIVITIES, INCLUDING STRATEGIC PLANNING, FUNDRAISING, PUBLIC RELATIONS AND OPERATIONAL MANAGEMENT THE GRANTS AND OPERATIONS MANAGER
- 3 VOLUNTEERS AS THE CATALOGUE'S EDITORIAL MANAGER, EVENT PLANNER AND COORDINATES THE OVERALL ADMINISTRATIVE OPERATIONS OF THIS NONPROFIT PARTNER
- 4

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A

2

All other program-related investments See instructions

3

**Total.** Add lines 1 through 3

0

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                             |           |            |
|----------|-------------------------------------------------------------------------------------------------------------|-----------|------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes  |           |            |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1a</b> | 34,555,090 |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1b</b> | 2,208,679  |
| <b>c</b> | Fair market value of all other assets (see instructions)                                                    | <b>1c</b> |            |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                       | <b>1d</b> | 36,763,769 |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> |            |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  |            |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>  | 36,763,769 |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)  | <b>4</b>  | 551,457    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 36,212,312 |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | <b>6</b>  | 1,810,616  |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                           |           |           |
|-----------|-----------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                             | <b>1</b>  | 1,810,616 |
| <b>2a</b> | Tax on investment income for 2013 from Part VI, line 5                                                    | <b>2a</b> | 16,428    |
| <b>b</b>  | Income tax for 2013 (This does not include the tax from Part VI)                                          | <b>2b</b> |           |
| <b>c</b>  | Add lines 2a and 2b                                                                                       | <b>2c</b> | 16,428    |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | <b>3</b>  | 1,794,188 |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                 | <b>4</b>  |           |
| <b>5</b>  | Add lines 3 and 4                                                                                         | <b>5</b>  | 1,794,188 |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                                    | <b>6</b>  |           |
| <b>7</b>  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | <b>7</b>  | 1,794,188 |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                      |           |           |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|-----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes                                                            |           |           |
| <b>a</b> | Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26                                                                          | <b>1a</b> | 2,883,467 |
| <b>b</b> | Program-related investments—total from Part IX-B                                                                                                     | <b>1b</b> |           |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                            | <b>2</b>  |           |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                                                 |           |           |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                       | <b>3a</b> |           |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                | <b>3b</b> |           |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                                    | <b>4</b>  | 2,883,467 |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  | 16,428    |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                | <b>6</b>  | 2,867,039 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7                                                                                                                       |               |                            |             | 1,794,188   |
| 2 Undistributed income, if any, as of the end of 2013                                                                                                                      |               |                            |             |             |
| a Enter amount for 2012 only                                                                                                                                               |               |                            | 0           |             |
| b Total for prior years 20____, 20____, 20____                                                                                                                             |               |                            |             |             |
| 3 Excess distributions carryover, if any, to 2013:                                                                                                                         |               |                            |             |             |
| a From 2008                                                                                                                                                                |               |                            |             |             |
| b From 2009                                                                                                                                                                |               |                            |             |             |
| c From 2010                                                                                                                                                                |               |                            |             |             |
| d From 2011                                                                                                                                                                |               |                            |             |             |
| e From 2012                                                                                                                                                                |               |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 0             |                            |             |             |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 2,883,467                                                                                                   |               |                            |             |             |
| a Applied to 2012, but not more than line 2a                                                                                                                               |               |                            |             |             |
| b Applied to undistributed income of prior years (Election required—see instructions)                                                                                      |               |                            |             |             |
| c Treated as distributions out of corpus (Election required—see instructions)                                                                                              |               |                            |             |             |
| d Applied to 2013 distributable amount                                                                                                                                     |               |                            |             | 1,794,188   |
| e Remaining amount distributed out of corpus                                                                                                                               | 1,089,279     |                            |             |             |
| 5 Excess distributions carryover applied to 2013. (If an amount appears in column (d), the same amount must be shown in column (a) )                                       |               |                            |             |             |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 1,089,279     |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0                          |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               |                            |             |             |
| d Subtract line 6c from line 6b. Taxable amount—see instructions                                                                                                           |               |                            |             |             |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions                                                                            |               |                            | 0           |             |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014                                                              |               |                            |             | 0           |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)                                  |               |                            |             |             |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)                                                                              |               |                            |             |             |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a                                                                                              | 1,089,279     |                            |             |             |
| 10 Analysis of line 9                                                                                                                                                      |               |                            |             |             |
| a Excess from 2009                                                                                                                                                         |               |                            |             |             |
| b Excess from 2010                                                                                                                                                         |               |                            |             |             |
| c Excess from 2011                                                                                                                                                         |               |                            |             |             |
| d Excess from 2012                                                                                                                                                         |               |                            |             |             |
| e Excess from 2013                                                                                                                                                         | 1,089,279     |                            |             |             |



**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                                     | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount    |
|---------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|----------------------------------|-----------|
| Name and address (home or business)                           |                                                                                                           |                                |                                  |           |
| <b>a</b> <i>Paid during the year</i><br>SEE SCHEDULE ATTACHED |                                                                                                           | PC                             |                                  | 2,454,344 |
| <b>Total</b>                                                  |                                                                                                           |                                | ▶ <b>3a</b>                      | 2,454,344 |
| <b>b</b> <i>Approved for future payment</i>                   |                                                                                                           |                                |                                  |           |
| <b>Total</b>                                                  |                                                                                                           |                                | ▶ <b>3b</b>                      | 0         |





**Schedule B**  
(Form 990, 990-EZ,  
or 990-PF)

Department of the Treasury  
Internal Revenue Service

**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

OMB No 1545-0047

**2013**

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

Name of the organization

Employer identification number

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization

☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation

☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation

☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ .....

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

|                      |                                |
|----------------------|--------------------------------|
| Name of organization | Employer identification number |
|----------------------|--------------------------------|

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

| (a)<br>No. | (b)<br>Name, address, and ZIP + 4                                                                                                                     | (c)<br>Total contributions | (d)<br>Type of contribution                                                                                                                                         |
|------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1          | SIDNEY HARMAN ADMINISTRATIVE TRUST<br>10390 SANTA MONICA BLVD 360<br>LOS ANGELES CA 90025<br>Foreign State or Province _____<br>Foreign Country _____ | \$ 1,000,000               | Person <input checked="" type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions ) |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                                                   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                                                   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                                                   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                                                   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                                                   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                                                   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |
|            | _____<br>_____<br>_____<br>Foreign State or Province _____<br>Foreign Country _____                                                                   | \$ _____                   | Person <input type="checkbox"/><br>Payroll <input type="checkbox"/><br>Noncash <input type="checkbox"/><br>(Complete Part II for noncash contributions )            |

Name of organization

Employer identification number

**Part II** Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
|---------------------------|----------------------------------------------|------------------------------------------------|----------------------|
| -----                     | -----<br>-----<br>-----                      | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----                      | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----                      | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----                      | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----                      | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----                      | \$ -----                                       | -----                |
| (a) No.<br>from<br>Part I | (b)<br>Description of noncash property given | (c)<br>FMV (or estimate)<br>(see instructions) | (d)<br>Date received |
| -----                     | -----<br>-----<br>-----                      | \$ -----                                       | -----                |

Name of organization

Employer identification number

**Part III**

**Exclusively** religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once. See instructions.) ▶ \$

0

Use duplicate copies of Part III if additional space is needed

| (a) No.<br>from<br>Part I | (b) Purpose of gift                     | (c) Use of gift | (d) Description of how gift is held      |
|---------------------------|-----------------------------------------|-----------------|------------------------------------------|
| -----                     | -----                                   | -----           | -----                                    |
|                           | -----                                   | -----           | -----                                    |
|                           | -----                                   | -----           | -----                                    |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           | -----                                   |                 | -----                                    |
|                           | -----                                   |                 | -----                                    |
|                           | For Prov Country                        |                 | -----                                    |
| -----                     | -----                                   | -----           | -----                                    |
|                           | -----                                   | -----           | -----                                    |
|                           | -----                                   | -----           | -----                                    |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           | -----                                   |                 | -----                                    |
|                           | -----                                   |                 | -----                                    |
|                           | For Prov Country                        |                 | -----                                    |
| -----                     | -----                                   | -----           | -----                                    |
|                           | -----                                   | -----           | -----                                    |
|                           | -----                                   | -----           | -----                                    |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           | -----                                   |                 | -----                                    |
|                           | -----                                   |                 | -----                                    |
|                           | For Prov Country                        |                 | -----                                    |
| -----                     | -----                                   | -----           | -----                                    |
|                           | -----                                   | -----           | -----                                    |
|                           | -----                                   | -----           | -----                                    |
|                           | (e) Transfer of gift                    |                 |                                          |
|                           | Transferee's name, address, and ZIP + 4 |                 | Relationship of transferor to transferee |
|                           | -----                                   |                 | -----                                    |
|                           | -----                                   |                 | -----                                    |
|                           | For Prov Country                        |                 | -----                                    |

**Part I, Line 16b (990-PF) - Accounting Fees**

|             |                 | 11,000                               | 0                        | 0                      | 11,000                                                           |
|-------------|-----------------|--------------------------------------|--------------------------|------------------------|------------------------------------------------------------------|
| Description |                 | Revenue and<br>Expenses per<br>Books | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes<br>(Cash Basis Only) |
| 1           | TAX PREPARATION | 11,000                               |                          |                        | 11,000                                                           |

## Part I, Line 16c (990-PF) - Other Professional Fees

|             |                            | 169,982                              | 158,692                  | 0                      | 11,290                                                           |
|-------------|----------------------------|--------------------------------------|--------------------------|------------------------|------------------------------------------------------------------|
| Description |                            | Revenue and<br>Expenses per<br>Books | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes<br>(Cash Basis Only) |
| 1           | INVESTMENT MANAGEMENT FEES | 158,692                              | 158,692                  |                        |                                                                  |
| 2           | OUTSIDE CONSULTANT         | 10,660                               |                          |                        | 10,660                                                           |
| 3           | BOOKKEEPING                | 630                                  |                          |                        | 630                                                              |

**Part I, Line 18 (990-PF) - Taxes**

|             |                            | 54,003                               | 28,497                   | 0                      | 25,506                                      |
|-------------|----------------------------|--------------------------------------|--------------------------|------------------------|---------------------------------------------|
| Description |                            | Revenue<br>and Expenses<br>per Books | Net Investment<br>Income | Adjusted<br>Net Income | Disbursements<br>for Charitable<br>Purposes |
| 1           | US TREASURY                | 7,500                                | 7,500                    |                        |                                             |
| 2           | FOREIGN TAX ON INVESTMENTS | 20,997                               | 20,997                   |                        |                                             |
| 3           | PAYROLL TAXES              | 25,506                               |                          |                        | 25,506                                      |

**Part I, Line 23 (990-PF) - Other Expenses**

|             |                 | 16,200                               | 0                        | 0                      | 16,200                                      |
|-------------|-----------------|--------------------------------------|--------------------------|------------------------|---------------------------------------------|
| Description |                 | Revenue and<br>Expenses<br>per Books | Net Investment<br>Income | Adjusted Net<br>Income | Disbursements<br>for Charitable<br>Purposes |
| 1           | OFFICE EXPENSES | 8,912                                |                          |                        | 8,912                                       |
| 2           | FILING FEES     | 750                                  |                          |                        | 750                                         |
| 3           | INSURANCE       | 6,538                                |                          |                        | 6,538                                       |

**Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory**

[illegible]

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

| Name |                | Check "X"<br>if Business | Street                      | City        | State | Zip Code | Foreign Country | Title   | Avg Hrs<br>Per Week | Compensation | Benefits | Expense<br>Account | Explanation |
|------|----------------|--------------------------|-----------------------------|-------------|-------|----------|-----------------|---------|---------------------|--------------|----------|--------------------|-------------|
| 1    | JANE HARMAN    |                          | 10390 SANTA MONICA BLVD 360 | LOS ANGELES | CA    | 90025    |                 | TRUSTEE | 4 00                | 0            | 0        | 0                  |             |
| 2    | BARBARA HARMAN |                          | 37 WOODLAWN AVENUE          | NEEDHAM     | MA    | 02492    |                 | TRUSTEE | 40 00               | 175 000      |          |                    |             |
| 3    | MEGAN QUITKIN  |                          | 205 WATER STREET 2K         | BROOKLYN    | NY    | 11201    |                 | TRUSTEE | 40 00               | 100 000      |          |                    |             |
| 4    | LYNN HARMAN    |                          | 2 FIFTH AVENUE APT 14G-H    | NEW YORK    | NY    | 10011    |                 | TRUSTEE | 1 00                | 0            |          |                    |             |
| 5    | DAN HARMAN     |                          | 70 WASHINGTON ST APT 8-O    | BROOKLYN    | NY    | 11201    |                 | TRUSTEE | 1 00                | 0            |          |                    |             |
| 6    | BRIAN FRANK    |                          | 555 PARK AVENUE APT 5E      | NEW YORK    | NY    | 10021    |                 | TRUSTEE | 1 00                | 0            |          |                    |             |



# CAPITAL GROUP™

## Private Client Services

### Detailed Holdings List by Country

#### SIDNEY AND JANE HARMAN FOUNDATION

Account Number: XXXX04900

As of December 31, 2013  
Reporting Currency: USD

| Description                                   | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost    | Current<br>Price | Total<br>Market<br>Value | % of<br>Total Net<br>Assets | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income Yield(%) |
|-----------------------------------------------|----------------|--------------------------|------------------|------------------|--------------------------|-----------------------------|---------------------------|-------------------------------------|
| <b>Cash and Cash Equivalents</b>              |                |                          |                  |                  |                          |                             |                           |                                     |
| SSGA US GOV MONEY MARKET FUND                 | 872,764        | 1.00                     | 872,764          | 1.00             | 872,764                  | 2.2                         | 0                         | 0.0                                 |
| PENDING PURCHASES/SALES                       |                |                          | 186,807          |                  | 186,807                  | 0.5                         |                           |                                     |
| <b>Total Cash and Cash Equivalents</b>        |                |                          | <b>1,059,571</b> |                  | <b>1,059,571</b>         | <b>2.7</b>                  | <b>0</b>                  | <b>0.0</b>                          |
| <b>Fixed Income</b>                           |                |                          |                  |                  |                          |                             |                           |                                     |
| <b>AUSTRALIA</b>                              |                |                          |                  |                  |                          |                             |                           |                                     |
| BHP BILLITON FIN SR UNSEC 1.625%<br>2/24/17   | 45,000         | 100.64                   | 45,287           | 100.27           | 45,122                   | 0.1                         | (165)                     | 1.6                                 |
| <b>TOTAL AUSTRALIA</b>                        |                |                          | <b>45,287</b>    |                  | <b>45,122</b>            | <b>0.1</b>                  | <b>(165)</b>              | <b>1.6</b>                          |
| <b>CANADA</b>                                 |                |                          |                  |                  |                          |                             |                           |                                     |
| CANADIAN NATIONAL RAILWAY 4.95%<br>01/15/2014 | 25,000         | 107.41                   | 26,853           | 100.16           | 25,039                   | 0.1                         | (1,814)                   | 4.9                                 |
| INBRIDGE INC 5.60% 04/01/17                   | 45,000         | 115.94                   | 52,173           | 110.80           | 49,859                   | 0.1                         | (2,313)                   | 5.1                                 |
| INBRIDGE INC SR UNSEC 4.0%<br>10-01-23        | 20,000         | 99.00                    | 19,800           | 98.21            | 19,641                   | 0.1                         | (159)                     | 4.1                                 |
| <b>TOTAL CANADA</b>                           |                |                          | <b>98,826</b>    |                  | <b>94,540</b>            | <b>0.2</b>                  | <b>(4,286)</b>            | <b>4.8</b>                          |
| <b>CAYMAN ISLANDS</b>                         |                |                          |                  |                  |                          |                             |                           |                                     |
| TRANSOCEAN INC SR UNSEC 3.80%<br>10/15/22     | 15,000         | 92.04                    | 13,806           | 94.78            | 14,217                   |                             | 411                       | 4.0                                 |
| <b>TOTAL CAYMAN ISLANDS</b>                   |                |                          | <b>13,806</b>    |                  | <b>14,217</b>            |                             | <b>411</b>                | <b>4.0</b>                          |



# CAPITAL GROUP<sup>SM</sup>

## Private Client Services

### Detailed Holdings List by Country

#### SIDNEY AND JANE HARMAN FOUNDATION

Account Number: XXXX04900

As of December 31, 2013  
Reporting Currency: USD

| Description                                              | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost | Current<br>Price | Total<br>Market<br>Value | % of<br>Total Net<br>Assets | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income Yield(%) |
|----------------------------------------------------------|----------------|--------------------------|---------------|------------------|--------------------------|-----------------------------|---------------------------|-------------------------------------|
| RANCE                                                    |                |                          |               |                  |                          |                             |                           |                                     |
| BNP PARIBAS FRN SR UNSEC (L 59)<br>12-12-16              | 20,000         | 100.00                   | 20,000        | 100.22           | 20,044                   | 0.1                         | 44                        | 166 0.8                             |
| TOTAL FRANCE                                             |                |                          | 20,000        |                  | 20,044                   | 0.1                         | 44                        | 166 0.8                             |
| NETHERLANDS                                              |                |                          |               |                  |                          |                             |                           |                                     |
| PETROBRAS SR UNSEC 3.0% 01-15-19                         | 15,000         | 99.35                    | 14,903        | 93.83            | 14,075                   |                             | (828)                     | 450 3.2                             |
| TOTAL NETHERLANDS                                        |                |                          | 14,903        |                  | 14,075                   |                             | (828)                     | 450 3.2                             |
| NORWAY                                                   |                |                          |               |                  |                          |                             |                           |                                     |
| STATOIL ASA SR UNSEC 2.45%<br>01-17-23                   | 20,000         | 99.68                    | 19,935        | 91.33            | 18,267                   |                             | (1,669)                   | 490 2.7                             |
| TOTAL NORWAY                                             |                |                          | 19,935        |                  | 18,267                   |                             | (1,669)                   | 490 2.7                             |
| UNITED KINGDOM                                           |                |                          |               |                  |                          |                             |                           |                                     |
| GLAXOSMITHKLINE CAPITAL PLC SR<br>UNSEC 0.75% 05/08/2015 | 35,000         | 99.75                    | 34,911        | 100.43           | 35,151                   | 0.1                         | 240                       | 263 0.8                             |
| RIO TINTO FINANCE SR UNSEC 2.25%<br>12-14-18             | 20,000         | 99.09                    | 19,817        | 99.51            | 19,901                   | 0.1                         | 84                        | 450 2.3                             |
| ROYAL BK OF SCOTLAND SR UNSEC<br>4.375% 03/16/16         | 70,000         | 101.73                   | 71,210        | 106.72           | 74,703                   | 0.2                         | 3,493                     | 3,063 4.1                           |
| TOTAL UNITED KINGDOM                                     |                |                          | 125,938       |                  | 129,756                  | 0.3                         | 3,817                     | 3,775 2.9                           |



# CAPITAL GROUP™

Private Client Services

## Detailed Holdings List by Country

### SIDNEY AND JANE HARMAN FOUNDATION

Account Number: XXXX04900

As of December 31, 2013  
Reporting Currency: USD

| Description                                              | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost | Current<br>Price | Total<br>Market<br>Value | Total Net<br>Assets | % of<br>Assets | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income | Annual<br>Yield(%) |
|----------------------------------------------------------|----------------|--------------------------|---------------|------------------|--------------------------|---------------------|----------------|---------------------------|----------------------------|--------------------|
|                                                          |                |                          |               |                  |                          |                     |                |                           |                            |                    |
| UNITED STATES                                            |                |                          |               |                  |                          |                     |                |                           |                            |                    |
| ABBVIE INC 2.90% 11/06/2022                              | 25,000         | 93.53                    | 23,383        | 93.47            | 23,367                   | 0.1                 | 0.1            | (17)                      | 725                        | 3.1                |
| AIG GROUP SR UNSEC 4.125%<br>02-15-24                    | 30,000         | 100.23                   | 30,068        | 99.42            | 29,826                   | 0.1                 | 0.1            | (242)                     | 1,238                      | 4.2                |
| AVALONBAY COMMUNITIES INC SR<br>UNSEC 3.625 10-01-20     | 15,000         | 99.93                    | 14,990        | 101.09           | 15,164                   |                     |                | 174                       | 544                        | 3.6                |
| BANK OF AMERICA CORP SR UNSEC<br>2.6% 01-15-19           | 30,000         | 99.98                    | 29,995        | 100.56           | 30,168                   | 0.1                 | 0.1            | 173                       | 780                        | 2.6                |
| BB&T CORP SR UNSEC 2.05% 06-19-18                        | 5,000          | 99.85                    | 4,993         | 99.00            | 4,950                    |                     |                | (43)                      | 103                        | 2.1                |
| BERKSHIRE HATHAWAY SR UNSEC<br>2.0% 08-15-18             | 30,000         | 99.98                    | 29,995        | 100.06           | 30,018                   | 0.1                 | 0.1            | 23                        | 600                        | 2.0                |
| BRANDYWINE OPER PARTNERS LP SR<br>UNSEC 4.95% 04/15/2018 | 40,000         | 102.26                   | 40,906        | 107.55           | 43,018                   | 0.1                 | 0.1            | 2,112                     | 1,980                      | 4.6                |
| CHEVRON CORP SR UNSEC 1.718%<br>06-24-18                 | 20,000         | 100.00                   | 20,000        | 99.62            | 19,925                   | 0.1                 | 0.1            | (75)                      | 344                        | 1.7                |
| CITIGROUP INC SR UNSEC 2.5%<br>3-26-18                   | 25,000         | 99.56                    | 24,891        | 100.51           | 25,126                   | 0.1                 | 0.1            | 236                       | 625                        | 2.5                |
| COCA-COLA CO SR UNSEC 2.45%<br>11-01-20                  | 50,000         | 99.86                    | 49,930        | 97.13            | 48,566                   | 0.1                 | 0.1            | (1,364)                   | 1,225                      | 2.5                |
| COMCAST CORP NEW NT 5.7% DUE<br>05/15/2018               | 15,000         | 119.27                   | 17,890        | 114.83           | 17,224                   |                     |                | (666)                     | 855                        | 5.0                |
| CONSUMERS ENERGY CO SR SEC<br>1ST LIEN 6.125% 03/15/2019 | 70,000         | 123.57                   | 86,502        | 117.96           | 82,575                   | 0.2                 | 0.2            | (3,927)                   | 4,288                      | 5.2                |
| CORPORATE OFFICE PROP LP SR<br>UNSEC 5.25% 02-15-24      | 30,000         | 98.78                    | 29,635        | 101.57           | 30,472                   | 0.1                 | 0.1            | 837                       | 1,575                      | 5.2                |
| CSMC 07-C5 A4 VAR 09-15-40/17                            | 30,000         | 115.47                   | 34,641        | 110.44           | 33,131                   | 0.1                 | 0.1            | (1,509)                   | 1,709                      | 5.2                |



**CAPITAL  
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**Private Client Services•**

**Detailed Holdings List by Country**

**SIDNEY AND JANE HARMAN FOUNDATION**

Account Number: XXXX04900

As of December 31, 2013  
Reporting Currency: USD

| Description                                                              | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost | Current<br>Price | Total<br>Market<br>Value | % of<br>Total Net<br>Assets | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income Yield(%) |
|--------------------------------------------------------------------------|----------------|--------------------------|---------------|------------------|--------------------------|-----------------------------|---------------------------|-------------------------------------|
| EVON ENERGY CORP SR UNSEC<br>2.25% 12-15-18                              | 10,000         | 99.83                    | 9,983         | 98.95            | 9,895                    |                             | (88)                      | 225 2.3                             |
| DEVON ENERGY CORP SR UNSEC<br>3.25% 5/15/22                              | 35,000         | 100.28                   | 35,096        | 95.36            | 33,374                   | 0.1                         | (1,722)                   | 1,138 3.4                           |
| DOW CHEMICAL SR UNSEC 4 125%<br>11/15/21                                 | 20,000         | 105.76                   | 21,152        | 103.30           | 20,659                   | 0.1                         | (493)                     | 825 4.0                             |
| DUKE ENERGY CORP SR UNSEC<br>3.95% 10-15-23                              | 45,000         | 100.14                   | 45,062        | 100.03           | 45,013                   | 0.1                         | (49)                      | 1,778 4.0                           |
| ERP OPERATING LP SR UNSEC<br>4.625% 12-15-21                             | 35,000         | 112.24                   | 39,284        | 105.43           | 36,899                   | 0.1                         | (2,385)                   | 1,619 4.4                           |
| FGLMC 3.0 TBA 01/14 01-01-44                                             | 75,000         | 94.85                    | 71,139        | 94.72            | 71,039                   | 0.2                         | (99)                      | 2,250 3.2                           |
| FHLB 1.875% 03-13-20                                                     | 125,000        | 98.55                    | 123,183       | 96.40            | 120,503                  | 0.3                         | (2,681)                   | 2,344 1.9                           |
| FHMS K705 A2 (MF) 2.303% 09/25/18                                        | 150,000        | 102.04                   | 153,064       | 101.07           | 151,611                  | 0.4                         | (1,453)                   | 3,455 2.3                           |
| FL HURRICANE CATASTROPHE FUND<br>FIN CORP 13A TAXABLE 2.995%<br>07-01-20 | 70,000         | 100.00                   | 70,000        | 94.63            | 66,243                   | 0.2                         | (3,757)                   | 2,097 3.2                           |
| FNA 13-M4 ASQ2 (MF) 1.45128%<br>2-25-18                                  | 60,000         | 101.00                   | 60,600        | 99.03            | 59,417                   | 0.2                         | (1,183)                   | 871 1.5                             |
| FNCI 2.5 AB7084 85K MAX 11-01-27                                         | 110,615        | 105.00                   | 116,146       | 99.31            | 109,851                  | 0.3                         | (6,294)                   | 2,765 2.5                           |
| FNCI 3.0 AL2908 08-01-27                                                 | 199,944        | 102.52                   | 204,974       | 102.16           | 204,260                  | 0.5                         | (714)                     | 5,998 2.9                           |
| FNMA 0.5% 03-28-16/14                                                    | 125,000        | 100.08                   | 125,100       | 99.89            | 124,861                  | 0.3                         | (239)                     | 625 0.5                             |
| FNMA 1.0% 11-15-17/13                                                    | 130,000        | 99.93                    | 129,909       | 98.38            | 127,888                  | 0.3                         | (2,022)                   | 1,300 1.0                           |
| FNMA POOL #AU6677 2.50%<br>09/01/2028                                    | 148,109        | 98.09                    | 145,285       | 99.08            | 146,752                  | 0.4                         | 1,467                     | 3,703 2.5                           |
| FREDDIE MAC SER 3272 CL PA 6%<br>02/15/2037                              | 12,783         | 111.52                   | 14,255        | 109.89           | 14,047                   |                             | (208)                     | 767 5.5                             |



# CAPITAL GROUP™

## Private Client Services

### Detailed Holdings List by Country

#### SIDNEY AND JANE HARMAN FOUNDATION

Account Number: XXXX04900

As of December 31, 2013  
Reporting Currency: USD

| Description                                           | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost | Current<br>Price | Total<br>Market<br>Value | Total Net<br>Assets | % of<br>Assets | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income Yield(%) |
|-------------------------------------------------------|----------------|--------------------------|---------------|------------------|--------------------------|---------------------|----------------|---------------------------|-------------------------------------|
| GENERAL ELEC CAP CORP UNSEC<br>3.1% 1-9-23            | 35,000         | 96.05                    | 33,618        | 94.88            | 33,207                   | 0.1                 | 0.1            | (411)                     | 1,085 3.3                           |
| GENERAL ELECTRIC CO 5.25%<br>12/06/17                 | 25,000         | 116.08                   | 29,019        | 113.19           | 28,299                   | 0.1                 | 0.1            | (721)                     | 1,313 4.6                           |
| GILEAD SCIENCES INC UNSEC 4.4%<br>12-1-21             | 30,000         | 108.80                   | 32,639        | 106.80           | 32,039                   | 0.1                 | 0.1            | (600)                     | 1,320 4.1                           |
| GOLDMAN SACHS GROUP SR UNSEC<br>2.9% 07-19-18         | 20,000         | 100.25                   | 20,050        | 101.77           | 20,353                   | 0.1                 | 0.1            | 303                       | 580 2.9                             |
| HARRIS CNTY TX TOLL RD TAXABLE<br>12D 1.061% 08-15-16 | 50,000         | 100.00                   | 50,000        | 98.93            | 49,463                   | 0.1                 | 0.1            | (537)                     | 531 1.1                             |
| INTERCONTINENTAL EXCHANGE SR<br>UNSEC 4.0% 10-15-23   | 30,000         | 99.76                    | 29,929        | 100.59           | 30,178                   | 0.1                 | 0.1            | 249                       | 1,200 4.0                           |
| JP MORGAN CHASE SR UNSEC 1.625%<br>05-15-18           | 25,000         | 99.84                    | 24,960        | 97.92            | 24,480                   | 0.1                 | 0.1            | (480)                     | 406 1.7                             |
| JPMCC 2006 LDP8 AM 5.44%<br>05/15/2045                | 25,000         | 113.30                   | 28,324        | 109.70           | 27,426                   | 0.1                 | 0.1            | (898)                     | 1,360 5.0                           |
| KINDER MORGAN ENGY SR UNSEC<br>.95% 9-1-22            | 30,000         | 104.57                   | 31,370        | 97.45            | 29,234                   | 0.1                 | 0.1            | (2,137)                   | 1,185 4.1                           |
| LBUBS 07-C2 A1A 5.387% 02-15-40                       | 25,242         | 111.39                   | 28,117        | 110.40           | 27,867                   | 0.1                 | 0.1            | (250)                     | 1,360 4.9                           |
| MEDCO HEALTH SOLUTIONS SR<br>UNSEC 4 125% 09/15/2020  | 20,000         | 109.94                   | 21,989        | 103.54           | 20,709                   | 0.1                 | 0.1            | (1,280)                   | 825 4.0                             |
| MLMI 07-C1 A4 VAR 06-12-50/17                         | 30,000         | 116.09                   | 34,827        | 110.80           | 33,239                   | 0.1                 | 0.1            | (1,588)                   | 1,758 5.3                           |
| NJ TRANSIT TRUST 13B TAXABLE<br>1.087% 12-15-16       | 25,000         | 100.00                   | 25,000        | 99.53            | 24,881                   | 0.1                 | 0.1            | (119)                     | 272 1.1                             |
| NOVARTIS CAPITAL CORP SR UNSEC.<br>2.9% 04/24/15      | 35,000         | 106.32                   | 37,213        | 103.33           | 36,167                   | 0.1                 | 0.1            | (1,046)                   | 1,015 2.8                           |



# CAPITAL GROUP<sup>SM</sup>

## Private Client Services

### Detailed Holdings List by Country

#### SIDNEY AND JANE HARMAN FOUNDATION

Account Number: XXXX04900

As of December 31, 2013  
Reporting Currency: USD

| Description                                              | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost | Current<br>Price | Total<br>Market<br>Value | Total Net<br>Assets | % of | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income | Yield(%) |
|----------------------------------------------------------|----------------|--------------------------|---------------|------------------|--------------------------|---------------------|------|---------------------------|----------------------------|----------|
| RACLE CORP SR UNSEC 2.375%<br>01-15-19                   | 20,000         | 99.65                    | 19,930        | 100.90           | 20,179                   | 0.1                 |      | 249                       | 475                        | 2.4      |
| PACIFICORP 5.65% 07/15/18                                | 30,000         | 120.74                   | 36,221        | 114.87           | 34,462                   | 0.1                 |      | (1,760)                   | 1,695                      | 4.9      |
| PROGRESS ENERGY INC SR UNSEC<br>7.05% 03-15-19           | 25,000         | 126.27                   | 31,567        | 119.86           | 29,965                   | 0.1                 |      | (1,602)                   | 1,763                      | 5.9      |
| PROLOGIS INC SR UNSEC 2.75%<br>02-15-19                  | 15,000         | 99.97                    | 14,995        | 99.31            | 14,896                   |                     |      | (99)                      | 413                        | 2.8      |
| PRUDENTIAL FINANCIAL INC FRN SR<br>UNSEC (L+78) 08-15-18 | 25,000         | 100.00                   | 25,000        | 100.32           | 25,079                   | 0.1                 |      | 79                        | 255                        | 1.0      |
| SIMON PROPERTY GROUP 5.25%<br>12/01/16                   | 25,000         | 113.87                   | 28,468        | 110.69           | 27,672                   | 0.1                 |      | (797)                     | 1,313                      | 4.7      |
| SPECTRA ENERGY PARTNERS SR<br>UNSEC 2.95% 09-25-18       | 5,000          | 99.83                    | 4,991         | 101.36           | 5,068                    |                     |      | 77                        | 148                        | 2.9      |
| STARBUCKS CORP SR UNSEC 2.0%<br>12-05-18                 | 10,000         | 99.79                    | 9,979         | 99.14            | 9,914                    |                     |      | (66)                      | 200                        | 2.0      |
| TIME WARNER INC SR UNSEC 4.05%<br>12-15-23               | 15,000         | 99.70                    | 14,955        | 99.71            | 14,956                   |                     |      | 1                         | 608                        | 4.1      |
| 'NITED HEALTH GROUP SR UNSEC<br>2.75% 02-15-23           | 10,000         | 99.84                    | 9,984         | 91.57            | 9,157                    |                     |      | (828)                     | 275                        | 3.0      |
| US TREASURY BOND 4.0% 02/15/2015                         | 600,000        | 106.91                   | 641,454       | 104.24           | 625,452                  | 1.6                 |      | (16,002)                  | 24,000                     | 3.8      |
| US TREASURY I/L FRN 0.125%<br>01-15-23                   | 20,238         | 101.89                   | 20,620        | 94.45            | 19,114                   |                     |      | (1,506)                   | 25                         | 0.1      |
| US TREASURY I/L FRN 0.125% 04/15/16                      | 248,665        | 105.51                   | 262,358       | 102.68           | 255,329                  | 0.7                 |      | (7,029)                   | 311                        | 0.1      |
| US TREASURY I/L FRN 0.375%<br>07-15-23                   | 30,109         | 98.81                    | 29,750        | 96.45            | 29,039                   | 0.1                 |      | (711)                     | 113                        | 0.4      |
| US TREASURY NOTE 0.375% 03-15-16                         | 565,000        | 99.65                    | 563,032       | 99.84            | 564,119                  | 1.4                 |      | 1,087                     | 2,119                      | 0.4      |
| US TREASURY NOTE 1.5% 07/31/16                           | 185,000        | 102.50                   | 189,626       | 102.28           | 189,220                  | 0.5                 |      | (406)                     | 2,775                      | 1.5      |



# CAPITAL GROUP

Private Client Services

## Detailed Holdings List by Country

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|---------------------------------------------------|----------------|--------------------------|------------------|------------------|--------------------------|-----------------------------|---------------------------|-------------------------------------|
| US TREASURY NOTE 1.875% 08/31/17                  | 365,000        | 104.45                   | 381,260          | 102.66           | 374,694                  | 1.0                         | (6,566)                   | 6,844                               |
| US TREASURY NOTE 2.375% 05-31-18                  | 415,000        | 107.48                   | 446,054          | 103.81           | 430,791                  | 1.1                         | (15,264)                  | 9,856                               |
| US TREASURY NOTE 2.50% 08/15/2023                 | 65,000         | 97.06                    | 63,088           | 96.03            | 62,420                   | 0.2                         | (668)                     | 1,625                               |
| US TREASURY NOTE 2.75% 11-15-23                   | 20,000         | 98.93                    | 19,785           | 97.83            | 19,566                   |                             | (220)                     | 550                                 |
| US TREASURY NOTES 4.5% 05/15/17                   | 365,000        | 117.79                   | 429,917          | 111.59           | 407,318                  | 1.0                         | (22,599)                  | 16,425                              |
| VERIZON COMMUNICATIONS SR<br>UNSEC 5.15% 09-15-23 | 41,000         | 99.68                    | 40,867           | 107.58           | 44,106                   | 0.1                         | 3,239                     | 2,112                               |
| VIACOM INC SR UNSEC 2.5% 09-01-18                 | 30,000         | 99.41                    | 29,824           | 100.81           | 30,242                   | 0.1                         | 418                       | 750                                 |
| VIRGINIA ELEC & PWR SR UNSEC<br>5.4% 04/30/18     | 30,000         | 120.02                   | 36,007           | 113.50           | 34,050                   | 0.1                         | (1,957)                   | 1,620                               |
| WBCMT 06-C23 AM 5.466% 01-15-45/16                | 20,000         | 111.36                   | 22,272           | 107.74           | 21,547                   | 0.1                         | (725)                     | 1,093                               |
| WBCMT 07-C30 A5 5.342% 12-15-43                   | 50,000         | 113.46                   | 56,732           | 109.97           | 54,985                   | 0.1                         | (1,747)                   | 2,671                               |
| WBCMT 07-C31 AM 5.591% 04-15-47                   | 20,000         | 111.97                   | 22,395           | 108.88           | 21,776                   | 0.1                         | (619)                     | 1,118                               |
| WBCMT 07-C32 A3 5.731889% 06-15-49                | 30,000         | 115.35                   | 34,605           | 110.67           | 33,201                   | 0.1                         | (1,404)                   | 1,720                               |
| WELLPOINT INC SR UNSEC 2.3%<br>07-15-18           | 10,000         | 99.58                    | 9,958            | 99.21            | 9,921                    |                             | (37)                      | 230                                 |
| WILLIAMS PARTNERS LP SR UNSEC<br>5% 11-15-23      | 50,000         | 99.40                    | 49,698           | 99.32            | 49,660                   | 0.1                         | (38)                      | 2,250                               |
| <b>TOTAL UNITED STATES</b>                        |                |                          | <b>5,774,497</b> |                  | <b>5,661,277</b>         | <b>14.4</b>                 | <b>(113,223)</b>          | <b>147,903</b>                      |

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# CAPITAL GROUP™

## Private Client Services

### Detailed Holdings List by Country

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|-----------------------------------------|----------------|--------------------------|-------------------|------------------|--------------------------|-----------------------------|---------------------------|-------------------------------------|
| <b>MUTUAL FUNDS - FIXED</b>             |                |                          |                   |                  |                          |                             |                           |                                     |
| AMERICAN HIGH-INCOME TRUST CL F2        | 184,069        | 11.15                    | 2,052,489         | 11.36            | 2,091,025                | 5.3                         | 38,536                    | 135,275 6.5                         |
| SHORT TERM BOND FD OF AMERICA INC CL F2 | 613,161        | 10.09                    | 6,183,954         | 9.98             | 6,119,343                | 15.6                        | (64,611)                  | 44,700 0.7                          |
| <b>TOTAL MUTUAL FUNDS - FIXED</b>       |                |                          | <b>8,236,442</b>  |                  | <b>8,210,367</b>         | <b>20.9</b>                 | <b>(26,075)</b>           | <b>179,975 2.2</b>                  |
| <b>Total Fixed Income Equity</b>        |                |                          | <b>14,349,634</b> |                  | <b>14,207,664</b>        | <b>36.2</b>                 | <b>(141,974)</b>          | <b>338,619 2.4</b>                  |
| <b>AUSTRALIA</b>                        |                |                          |                   |                  |                          |                             |                           |                                     |
| BHP BILLITON LTD (AUD)                  | 1,761          | 34.19                    | 60,208            | 33.94            | 59,765                   | 0.2                         | (443)                     | 1,793 3.0                           |
| COCA-COLA AMATIL LTD                    | 6,969          | 13.70                    | 95,498            | 10.75            | 74,896                   | 0.2                         | (20,602)                  | 3,486 4.7                           |
| <b>TOTAL AUSTRALIA</b>                  |                |                          | <b>155,706</b>    |                  | <b>134,661</b>           | <b>0.3</b>                  | <b>(21,045)</b>           | <b>5,280 3.9</b>                    |
| <b>BERMUDA</b>                          |                |                          |                   |                  |                          |                             |                           |                                     |
| IGNET JEWELERS LTD                      | 800            | 46.69                    | 37,356            | 78.70            | 62,960                   | 0.2                         | 25,604                    | 480 0.8                             |
| <b>TOTAL BERMUDA</b>                    |                |                          | <b>37,356</b>     |                  | <b>62,960</b>            | <b>0.2</b>                  | <b>25,604</b>             | <b>480 0.8</b>                      |
| <b>CANADA</b>                           |                |                          |                   |                  |                          |                             |                           |                                     |
| CENOVUS ENERGY INC C\$                  | 600            | 28.42                    | 17,049            | 28.54            | 17,127                   |                             | 77                        | 545 3.2                             |
| ENBRIDGE INC                            | 900            | 43.55                    | 39,198            | 43.58            | 39,220                   | 0.1                         | 22                        | 0 0.0                               |
| FIRST QUANTUM MINERALS LTD C\$          | 2,018          | 16.10                    | 32,492            | 17.97            | 36,267                   | 0.1                         | 3,775                     | 328 0.9                             |
| <b>TOTAL CANADA</b>                     |                |                          | <b>88,739</b>     |                  | <b>92,614</b>            | <b>0.2</b>                  | <b>3,874</b>              | <b>873 0.9</b>                      |



# CAPITAL GROUP™

## Private Client Services

### Detailed Holdings List by Country

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|-----------------------|----------------|--------------------------|----------------|------------------|--------------------------|-----------------------------|---------------------------|-------------------------------------|
| <b>CHINA</b>          |                |                          |                |                  |                          |                             |                           |                                     |
| BANK OF CHINA LTD H   | 162,000        | 0.42                     | 68,607         | 0.46             | 74,590                   | 0.2                         | 5,983                     | 4,589 6.2                           |
| <b>TOTAL CHINA</b>    |                |                          | <b>68,607</b>  |                  | <b>74,590</b>            | <b>0.2</b>                  | <b>5,983</b>              | <b>4,589 6.2</b>                    |
| <b>DENMARK</b>        |                |                          |                |                  |                          |                             |                           |                                     |
| NOVO NORDISK A/S B    | 995            | 167.25                   | 166,415        | 183.59           | 182,668                  | 0.5                         | 16,253                    | 3,308 1.8                           |
| <b>TOTAL DENMARK</b>  |                |                          | <b>166,415</b> |                  | <b>182,668</b>           | <b>0.5</b>                  | <b>16,253</b>             | <b>3,308 1.8</b>                    |
| <b>FINLAND</b>        |                |                          |                |                  |                          |                             |                           |                                     |
| SAMPO OYJ A SHS       | 2,920          | 30.18                    | 88,122         | 49.22            | 143,713                  | 0.4                         | 55,591                    | 5,431 3.8                           |
| <b>TOTAL FINLAND</b>  |                |                          | <b>88,122</b>  |                  | <b>143,713</b>           | <b>0.4</b>                  | <b>55,591</b>             | <b>5,431 3.8</b>                    |
| <b>FRANCE</b>         |                |                          |                |                  |                          |                             |                           |                                     |
| BNP PARIBAS           | 1,838          | 52.18                    | 95,901         | 78.06            | 143,466                  | 0.4                         | 47,565                    | 3,799 2.7                           |
| DANONE                | 1,415          | 73.57                    | 104,108        | 72.09            | 102,006                  | 0.3                         | (2,102)                   | 2,827 2.8                           |
| SSILOR INTL           | 500            | 94.89                    | 47,444         | 106.48           | 53,240                   | 0.1                         | 5,796                     | 606 1.1                             |
| L'AIR LIQUIDE (L)     | 977            | 122.81                   | 119,987        | 141.64           | 138,385                  | 0.4                         | 18,398                    | 3,365 2.4                           |
| L'OREAL               | 165            | 121.70                   | 20,080         | 175.95           | 29,032                   | 0.1                         | 8,952                     | 523 1.8                             |
| PERNOD RICARD SA      | 1,040          | 111.20                   | 115,653        | 114.10           | 118,664                  | 0.3                         | 3,011                     | 2,360 2.0                           |
| SCHNEIDER ELECTRIC SA | 1,337          | 64.23                    | 85,882         | 87.36            | 116,795                  | 0.3                         | 30,913                    | 3,445 3.0                           |
| <b>TOTAL FRANCE</b>   |                |                          | <b>589,055</b> |                  | <b>701,588</b>           | <b>1.8</b>                  | <b>112,533</b>            | <b>16,915 2.4</b>                   |
| <b>GERMANY</b>        |                |                          |                |                  |                          |                             |                           |                                     |
| BAYER AG              | 1,373          | 87.01                    | 119,464        | 140.68           | 193,152                  | 0.5                         | 73,688                    | 3,594 1.9                           |
| BMW AG                | 1,188          | 93.53                    | 111,117        | 117.81           | 139,955                  | 0.4                         | 28,839                    | 4,092 2.9                           |



# CAPITAL GROUP™

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|----------------------------|----------------|--------------------------|----------------|------------------|--------------------------|-----------------------------|---------------------------|----------------------------|------------|
| AIMLER AG                  | 367            | 68.20                    | 25,029         | 86.90            | 31,892                   | 0.1                         | 6,863                     | 1,112                      | 3.5        |
| SIEMENS AG NAMEN           | 318            | 104.77                   | 33,317         | 137.09           | 43,596                   | 0.1                         | 10,279                    | 1,314                      | 3.0        |
| <b>TOTAL GERMANY</b>       |                |                          | <b>288,927</b> |                  | <b>408,595</b>           | <b>1.0</b>                  | <b>119,669</b>            | <b>10,114</b>              | <b>2.5</b> |
| <b>HONG KONG</b>           |                |                          |                |                  |                          |                             |                           |                            |            |
| AIA GROUP LTD              | 38,600         | 3.90                     | 150,594        | 5.02             | 193,657                  | 0.5                         | 43,063                    | 1,922                      | 1.0        |
| BOC HONG KONG HOLDINGS LTD | 7,500          | 3.01                     | 22,548         | 3.20             | 24,037                   | 0.1                         | 1,490                     | 1,198                      | 5.0        |
| LI & FUNG LTD              | 10,000         | 1.46                     | 14,645         | 1.29             | 12,897                   |                             | (1,748)                   | 400                        | 3.1        |
| <b>TOTAL HONG KONG</b>     |                |                          | <b>187,787</b> |                  | <b>230,592</b>           | <b>0.6</b>                  | <b>42,805</b>             | <b>3,519</b>               | <b>1.5</b> |
| <b>INDIA</b>               |                |                          |                |                  |                          |                             |                           |                            |            |
| HDFC BANK LTD              | 1,200          | 33.73                    | 40,471         | 34.44            | 41,328                   | 0.1                         | 857                       | 307                        | 0.7        |
| <b>TOTAL INDIA</b>         |                |                          | <b>40,471</b>  |                  | <b>41,328</b>            | <b>0.1</b>                  | <b>857</b>                | <b>307</b>                 | <b>0.7</b> |
| <b>IRELAND</b>             |                |                          |                |                  |                          |                             |                           |                            |            |
| ACCENTURE PLC US\$         | 300            | 67.34                    | 20,201         | 82.22            | 24,666                   | 0.1                         | 4,465                     | 558                        | 2.3        |
| FATON CORP PLC             | 2,500          | 54.07                    | 135,186        | 76.12            | 190,300                  | 0.5                         | 55,114                    | 4,200                      | 2.2        |
| <b>TOTAL IRELAND</b>       |                |                          | <b>155,387</b> |                  | <b>214,966</b>           | <b>0.5</b>                  | <b>59,579</b>             | <b>4,758</b>               | <b>2.2</b> |
| <b>JAPAN</b>               |                |                          |                |                  |                          |                             |                           |                            |            |
| AJINOMOTO CO INC           | 5,000          | 12.96                    | 64,817         | 14.46            | 72,301                   | 0.2                         | 7,483                     | 950                        | 1.3        |
| DENSO CORP                 | 700            | 31.50                    | 22,050         | 52.73            | 36,910                   | 0.1                         | 14,860                    | 625                        | 1.7        |
| FANUC CO                   | 700            | 167.84                   | 117,486        | 182.89           | 128,022                  | 0.3                         | 10,537                    | 1,031                      | 0.8        |
| HAMAMATSU PHOTONIC KK      | 2,500          | 37.88                    | 94,701         | 39.95            | 99,876                   | 0.3                         | 5,175                     | 1,188                      | 1.2        |
| HITACHI                    | 5,000          | 5.60                     | 28,022         | 7.56             | 37,813                   | 0.1                         | 9,790                     | 475                        | 1.3        |
| KEYENCE CORP               | 400            | 237.07                   | 94,829         | 427.53           | 171,013                  | 0.4                         | 76,184                    | 228                        | 0.1        |



# CAPITAL GROUP<sup>SM</sup>

Private Client Services.

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|----------------------------------------|----------------|--------------------------|----------------|------------------|--------------------------|-----------------------------|---------------------------|-------------------------------------|
| IURATA MANUFACTURING CO LTD            | 500            | 58.82                    | 29,412         | 88.74            | 44,368                   | 0.1                         | 14,956                    | 570 1.3                             |
| SMC CORP                               | 500            | 169.03                   | 84,513         | 251.77           | 125,885                  | 0.3                         | 41,372                    | 760 0.6                             |
| SOFTBANK CORP                          | 2,400          | 27.91                    | 66,991         | 87.41            | 209,776                  | 0.5                         | 142,786                   | 912 0.4                             |
| SUMITOMO MITSUI FINANCIAL GROUP<br>INC | 2,600          | 45.78                    | 119,031        | 51.49            | 133,884                  | 0.3                         | 14,854                    | 2,964 2.2                           |
| SUMITOMO MITSUI TRUST HOLDIN           | 15,000         | 5.01                     | 75,203         | 5.26             | 78,951                   | 0.2                         | 3,748                     | 1,425 1.8                           |
| SYSMEX CORP                            | 1,000          | 40.68                    | 40,680         | 59.00            | 59,000                   | 0.2                         | 18,320                    | 399 0.7                             |
| TREND MICRO INC                        | 2,300          | 28.47                    | 65,488         | 34.96            | 80,414                   | 0.2                         | 14,926                    | 1,857 2.3                           |
| <b>TOTAL JAPAN</b>                     |                |                          | <b>903,223</b> |                  | <b>1,278,215</b>         | <b>3.3</b>                  | <b>374,991</b>            | <b>13,385 1.0</b>                   |
| <b>KOREA, REPUBLIC OF</b>              |                |                          |                |                  |                          |                             |                           |                                     |
| SAMSUNG ELEC GDS N/A PF                | 132            | 334.20                   | 44,114         | 479.75           | 63,327                   | 0.2                         | 19,213                    | 20 0.0                              |
| <b>TOTAL KOREA, REPUBLIC OF</b>        |                |                          | <b>44,114</b>  |                  | <b>63,327</b>            | <b>0.2</b>                  | <b>19,213</b>             | <b>20 0.0</b>                       |
| <b>LIBERIA</b>                         |                |                          |                |                  |                          |                             |                           |                                     |
| ROYAL CARIBBEAN CRUISES                | 900            | 38.49                    | 34,640         | 47.42            | 42,678                   | 0.1                         | 8,038                     | 900 2.1                             |
| <b>OTAL LIBERIA</b>                    |                |                          | <b>34,640</b>  |                  | <b>42,678</b>            | <b>0.1</b>                  | <b>8,038</b>              | <b>900 2.1</b>                      |
| <b>LUXEMBOURG</b>                      |                |                          |                |                  |                          |                             |                           |                                     |
| SES FDR CL A (PARIS)                   | 3,694          | 27.51                    | 101,631        | 32.42            | 119,763                  | 0.3                         | 18,132                    | 4,937 4.1                           |
| <b>TOTAL LUXEMBOURG</b>                |                |                          | <b>101,631</b> |                  | <b>119,763</b>           | <b>0.3</b>                  | <b>18,132</b>             | <b>4,937 4.1</b>                    |
| <b>NETHERLANDS</b>                     |                |                          |                |                  |                          |                             |                           |                                     |
| ASML HOLDING NV                        | 1,223          | 64.36                    | 78,716         | 93.75            | 114,655                  | 0.3                         | 35,939                    | 893 0.8                             |
| GEMALTO NV                             | 830            | 78.60                    | 65,235         | 110.24           | 91,501                   | 0.2                         | 26,266                    | 389 0.4                             |



# CAPITAL GROUP™

## Private Client Services

### Detailed Holdings List by Country

#### SIDNEY AND JANE HARMAN FOUNDATION

Account Number: XXXX04900

As of December 31, 2013  
Reporting Currency: USD

| Description                          | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost  | Current<br>Price | Total<br>Market<br>Value | % of<br>Total Net<br>Assets | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income | Yield(%)   |
|--------------------------------------|----------------|--------------------------|----------------|------------------|--------------------------|-----------------------------|---------------------------|----------------------------|------------|
| ONINKLIJKE DSM NV                    | 787            | 61.87                    | 48,695         | 78.76            | 61,983                   | 0.2                         | 13,287                    | 1,648                      | 2.7        |
| NIELSEN HOLDINGS NV US\$             | 4,300          | 31.47                    | 135,303        | 45.89            | 197,327                  | 0.5                         | 62,024                    | 3,440                      | 1.7        |
| <b>TOTAL NETHERLANDS</b>             |                |                          | <b>327,949</b> |                  | <b>465,465</b>           | <b>1.2</b>                  | <b>137,516</b>            | <b>6,370</b>               | <b>1.4</b> |
| <b>NORWAY</b>                        |                |                          |                |                  |                          |                             |                           |                            |            |
| SEADRILL LTD                         | 1,690          | 37.48                    | 63,336         | 40.82            | 68,978                   | 0.2                         | 5,643                     | 6,518                      | 9.5        |
| <b>TOTAL NORWAY</b>                  |                |                          | <b>63,336</b>  |                  | <b>68,978</b>            | <b>0.2</b>                  | <b>5,643</b>              | <b>6,518</b>               | <b>9.4</b> |
| <b>PANAMA</b>                        |                |                          |                |                  |                          |                             |                           |                            |            |
| CARNIVAL CORP COMMON PAIRED<br>STOCK | 800            | 31.48                    | 25,182         | 40.17            | 32,136                   | 0.1                         | 6,954                     | 800                        | 2.5        |
| <b>TOTAL PANAMA</b>                  |                |                          | <b>25,182</b>  |                  | <b>32,136</b>            | <b>0.1</b>                  | <b>6,954</b>              | <b>800</b>                 | <b>2.5</b> |
| <b>SINGAPORE</b>                     |                |                          |                |                  |                          |                             |                           |                            |            |
| AVAGO TECHNOLOGIES LTD               | 950            | 46.47                    | 44,142         | 52.88            | 50,235                   | 0.1                         | 6,093                     | 950                        | 1.9        |
| DBS GROUP HOLDINGS LTD               | 10,160         | 11.65                    | 118,389        | 13.55            | 137,640                  | 0.4                         | 19,251                    | 4,508                      | 3.3        |
| SINGAPORE TELECOMM                   | 26,000         | 2.74                     | 71,356         | 2.90             | 75,389                   | 0.2                         | 4,033                     | 3,460                      | 4.6        |
| <b>TOTAL SINGAPORE</b>               |                |                          | <b>233,887</b> |                  | <b>263,264</b>           | <b>0.7</b>                  | <b>29,377</b>             | <b>8,918</b>               | <b>3.4</b> |
| <b>SPAIN</b>                         |                |                          |                |                  |                          |                             |                           |                            |            |
| INDITEX                              | 172            | 127.49                   | 21,929         | 165.07           | 28,391                   | 0.1                         | 6,463                     | 521                        | 1.8        |
| <b>TOTAL SPAIN</b>                   |                |                          | <b>21,929</b>  |                  | <b>28,391</b>            | <b>0.1</b>                  | <b>6,463</b>              | <b>521</b>                 | <b>1.8</b> |
| <b>SWEDEN</b>                        |                |                          |                |                  |                          |                             |                           |                            |            |
| ASSA ABLOY AB B                      | 1,960          | 32.98                    | 64,636         | 52.86            | 103,605                  | 0.3                         | 38,968                    | 1,555                      | 1.5        |
| <b>TOTAL SWEDEN</b>                  |                |                          | <b>64,636</b>  |                  | <b>103,605</b>           | <b>0.3</b>                  | <b>38,968</b>             | <b>1,555</b>               | <b>1.5</b> |



# CAPITAL GROUP™

Private Client Services

## Detailed Holdings List by Country

### SIDNEY AND JANE HARMAN FOUNDATION

Account Number: XXXX04900

As of December 31, 2013  
Reporting Currency: USD

| Description                              | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost    | Current<br>Price | Total<br>Market<br>Value | Total Net<br>Assets | % of<br>Assets | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income Yield(%) |
|------------------------------------------|----------------|--------------------------|------------------|------------------|--------------------------|---------------------|----------------|---------------------------|-------------------------------------|
| <b>SWITZERLAND</b>                       |                |                          |                  |                  |                          |                     |                |                           |                                     |
| ACE LTD                                  | 325            | 79.08                    | 25,701           | 103.53           | 33,647                   | 0.1                 |                | 7,946                     | 819                                 |
| COMPAGNIE FINANCIERE RICHEMONT<br>SA REG | 1,472          | 63.80                    | 93,920           | 99.74            | 146,820                  | 0.4                 |                | 52,900                    | 1,653                               |
| NESTLE SA REG                            | 2,426          | 63.63                    | 154,378          | 73.35            | 177,938                  | 0.5                 |                | 23,559                    | 5,586                               |
| NOVARTIS AG NAMEN                        | 1,124          | 57.19                    | 64,276           | 79.97            | 89,890                   | 0.2                 |                | 25,613                    | 2,904                               |
| ROCHE HOLDING AG GENUSSCHEIN             | 213            | 203.85                   | 43,421           | 279.91           | 59,620                   | 0.2                 |                | 16,199                    | 1,758                               |
| SYNGENTA AG                              | 342            | 392.81                   | 134,340          | 398.97           | 136,447                  | 0.3                 |                | 2,106                     | 3,649                               |
| TE CONNECTIVITY LTD US\$                 | 800            | 35.68                    | 28,542           | 55.11            | 44,088                   | 0.1                 |                | 15,546                    | 800                                 |
| <b>TOTAL SWITZERLAND</b>                 |                |                          | <b>544,579</b>   |                  | <b>688,449</b>           | <b>1.8</b>          |                | <b>143,869</b>            | <b>17,170</b>                       |
| <b>UNITED KINGDOM</b>                    |                |                          |                  |                  |                          |                     |                |                           |                                     |
| AON PLC                                  | 400            | 53.31                    | 21,324           | 83.89            | 33,556                   | 0.1                 |                | 12,232                    | 280                                 |
| BARCLAYS PLC                             | 25,075         | 4.30                     | 107,817          | 4.50             | 112,938                  | 0.3                 |                | 5,122                     | 3,000                               |
| BG GROUP PLC                             | 1,500          | 20.34                    | 30,515           | 21.48            | 32,227                   | 0.1                 |                | 1,712                     | 484                                 |
| CIAGEO PLC                               | 3,700          | 26.35                    | 97,489           | 33.12            | 122,550                  | 0.3                 |                | 25,062                    | 3,228                               |
| NSCO PLC-CL A                            | 1,600          | 55.42                    | 88,667           | 57.18            | 91,488                   | 0.2                 |                | 2,821                     | 3,600                               |
| HSBC HOLDINGS PLC UKP                    | 15,917         | 9.52                     | 151,572          | 10.97            | 174,626                  | 0.4                 |                | 23,054                    | 8,068                               |
| LLOYDS TSB GROUP PLC                     | 43,600         | 1.18                     | 51,457           | 1.31             | 56,963                   | 0.1                 |                | 5,506                     | 0                                   |
| MEGGITT PLC                              | 5,327          | 8.29                     | 44,164           | 8.73             | 46,503                   | 0.1                 |                | 2,340                     | 1,191                               |
| NATIONAL GRID PLC                        | 6,700          | 11.11                    | 74,431           | 13.04            | 87,401                   | 0.2                 |                | 12,970                    | 5,038                               |
| RIO TINTO PLC REG                        | 800            | 52.74                    | 42,196           | 56.47            | 45,176                   | 0.1                 |                | 2,981                     | 1,688                               |
| STANDARD CHARTERED                       | 6,049          | 24.91                    | 150,704          | 22.52            | 136,224                  | 0.3                 |                | (14,480)                  | 5,517                               |
| UNILEVER PLC                             | 3,000          | 41.29                    | 123,879          | 41.10            | 123,293                  | 0.3                 |                | (586)                     | 4,926                               |
| WHITBREAD PLC                            | 800            | 33.17                    | 26,534           | 62.12            | 49,695                   | 0.1                 |                | 23,161                    | 879                                 |
| <b>TOTAL UNITED KINGDOM</b>              |                |                          | <b>1,010,748</b> |                  | <b>1,112,642</b>         | <b>2.8</b>          |                | <b>101,895</b>            | <b>37,899</b>                       |
| <b>TOTAL</b>                             |                |                          |                  |                  |                          |                     |                |                           | <b>3.4</b>                          |



# CAPITAL GROUP<sup>SM</sup>

Private Client Services

## Detailed Holdings List by Country

### SIDNEY AND JANE HARMAN FOUNDATION

Account Number: XXXX04900

As of December 31, 2013  
Reporting Currency: USD

| Description                   | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost | Current<br>Price | Total<br>Market<br>Value | Total Net<br>Assets | % of<br>Assets | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income Yield(%) |
|-------------------------------|----------------|--------------------------|---------------|------------------|--------------------------|---------------------|----------------|---------------------------|-------------------------------------|
| UNITED STATES                 |                |                          |               |                  |                          |                     |                |                           |                                     |
| 3M COMPANY                    | 125            | 89.01                    | 11,126        | 140.25           | 17,531                   |                     |                | 6,405                     | 428                                 |
| ABBVIE INC                    | 600            | 44.77                    | 26,859        | 52.81            | 31,686                   |                     | 0.1            | 4,827                     | 960                                 |
| AIR PRODUCTS & CHEMICALS INC  | 700            | 85.23                    | 59,659        | 111.78           | 78,246                   |                     | 0.2            | 18,587                    | 1,988                               |
| ALLEGHENY TECHNOLOGIES INC    | 2,100          | 33.62                    | 70,608        | 35.63            | 74,823                   |                     | 0.2            | 4,215                     | 1,512                               |
| ALLERGAN INC                  | 500            | 94.99                    | 47,495        | 111.08           | 55,540                   |                     | 0.1            | 8,045                     | 100                                 |
| AMERICAN TOWER CORP           | 1,400          | 66.51                    | 93,114        | 79.82            | 111,748                  |                     | 0.3            | 18,634                    | 1,624                               |
| APPLE INC                     | 210            | 531.21                   | 111,555       | 561.02           | 117,814                  |                     | 0.3            | 6,259                     | 2,562                               |
| AUTOMATIC DATA PROCESSING INC | 900            | 72.67                    | 65,405        | 80.80            | 72,719                   |                     | 0.2            | 7,314                     | 1,728                               |
| B/E AEROSPACE INC             | 1,000          | 68.46                    | 68,465        | 87.03            | 87,030                   |                     | 0.2            | 18,565                    | 0                                   |
| BEAM INC                      | 1,600          | 57.14                    | 91,428        | 68.06            | 108,896                  |                     | 0.3            | 17,468                    | 1,440                               |
| BLACKROCK INC                 | 335            | 194.38                   | 65,117        | 316.47           | 106,017                  |                     | 0.3            | 40,901                    | 2,251                               |
| BOEING CO                     | 1,275          | 91.40                    | 116,536       | 136.49           | 174,025                  |                     | 0.4            | 57,489                    | 3,723                               |
| BRISTOL-MYERS SQUIBB CO       | 4,600          | 35.83                    | 164,833       | 53.15            | 244,490                  |                     | 0.6            | 79,657                    | 6,624                               |
| BROADCOM CORP                 | 1,300          | 27.62                    | 35,903        | 29.65            | 38,539                   |                     | 0.1            | 2,635                     | 572                                 |
| CATERPILLAR INC               | 1,350          | 87.59                    | 118,241       | 90.81            | 122,594                  |                     | 0.3            | 4,353                     | 3,240                               |
| CERNER CORP                   | 1,600          | 37.06                    | 59,294        | 55.74            | 89,184                   |                     | 0.2            | 29,890                    | 0                                   |
| CHEVRON CORP                  | 650            | 111.14                   | 72,243        | 124.91           | 81,192                   |                     | 0.2            | 8,949                     | 2,600                               |
| CME GROUP INC                 | 1,900          | 57.30                    | 108,878       | 78.46            | 149,074                  |                     | 0.4            | 40,196                    | 3,420                               |
| COACH INC                     | 2,600          | 53.91                    | 140,159       | 56.13            | 145,938                  |                     | 0.4            | 5,779                     | 3,510                               |
| COBALT INTERNATIONAL ENERGY   | 1,200          | 26.67                    | 31,999        | 16.45            | 19,740                   |                     | 0.1            | (12,259)                  | 0                                   |
| COMCAST CORP CL A (NEW)       | 3,100          | 30.86                    | 95,664        | 51.97            | 161,092                  |                     | 0.4            | 65,428                    | 2,418                               |
| DANAHER CORP                  | 1,500          | 58.37                    | 87,557        | 77.20            | 115,800                  |                     | 0.3            | 28,243                    | 150                                 |
| DOW CHEMICAL CO               | 800            | 33.68                    | 26,945        | 44.40            | 35,520                   |                     | 0.1            | 8,575                     | 1,024                               |
| ECOLAB INC                    | 150            | 75.98                    | 11,397        | 104.27           | 15,641                   |                     |                | 4,244                     | 165                                 |
| EXPRESS SCRIPTS HOLDING CO    | 2,700          | 57.47                    | 155,178       | 70.24            | 189,648                  |                     | 0.5            | 34,470                    | 0                                   |



# CAPITAL GROUP<sup>SM</sup>

## Private Client Services

### Detailed Holdings List by Country

#### SIDNEY AND JANE HARMAN FOUNDATION

Account Number: XXXX04900

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| Description                          | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost | Current<br>Price | Total<br>Market<br>Value | Total<br>Net<br>Assets | % of<br>Assets | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income Yield(%) |
|--------------------------------------|----------------|--------------------------|---------------|------------------|--------------------------|------------------------|----------------|---------------------------|-------------------------------------|
| JENPACT LTD                          | 2,500          | 16.46                    | 41,144        | 18.37            | 45,925                   | 0.1                    | 0.1            | 4,781                     | 0                                   |
| GILEAD SCIENCES INC                  | 5,600          | 28.23                    | 158,060       | 75.10            | 420,560                  | 1.1                    | 1.1            | 262,500                   | 0                                   |
| GOLDMAN SACHS GROUP INC              | 1,100          | 128.52                   | 141,376       | 177.26           | 194,986                  | 0.5                    | 0.5            | 53,610                    | 2,420                               |
| GOOGLE INC CL A                      | 130            | 604.01                   | 78,521        | 1,120.71         | 145,692                  | 0.4                    | 0.4            | 67,171                    | 0                                   |
| HALLIBURTON CO                       | 3,175          | 36.17                    | 114,838       | 50.75            | 161,131                  | 0.4                    | 0.4            | 46,294                    | 1,905                               |
| HARMAN INTERNATIONAL                 | 41,153         | 46.81                    | 1,926,372     | 81.85            | 3,368,373                | 8.6                    | 8.6            | 1,442,001                 | 49,384                              |
| HEXCEL CORP                          | 1,000          | 40.87                    | 40,875        | 44.69            | 44,690                   | 0.1                    | 0.1            | 3,815                     | 0                                   |
| HOME DEPOT INC                       | 1,500          | 54.69                    | 82,034        | 82.34            | 123,510                  | 0.3                    | 0.3            | 41,476                    | 2,340                               |
| IDEX CORP                            | 400            | 49.06                    | 19,622        | 73.85            | 29,540                   | 0.1                    | 0.1            | 9,918                     | 368                                 |
| ILLINOIS TOOL WORKS INC              | 200            | 57.29                    | 11,458        | 84.08            | 16,816                   | 0.1                    | 0.1            | 5,358                     | 336                                 |
| IRON MOUNTAIN INC                    | 1,633          | 31.28                    | 51,075        | 30.35            | 49,562                   | 0.1                    | 0.1            | (1,514)                   | 1,764                               |
| IRONWOOD PHARMACEUTICALS INC<br>CL A | 4,700          | 12.42                    | 58,382        | 11.61            | 54,567                   | 0.1                    | 0.1            | (3,815)                   | 0                                   |
| JABIL CIRCUIT INC                    | 4,200          | 19.25                    | 80,867        | 17.44            | 73,248                   | 0.2                    | 0.2            | (7,619)                   | 1,344                               |
| JACK HENRY & ASSOC INC               | 1,600          | 35.24                    | 56,390        | 59.21            | 94,736                   | 0.2                    | 0.2            | 38,346                    | 1,280                               |
| JPMORGAN CHASE & CO                  | 1,900          | 45.01                    | 85,525        | 58.48            | 111,112                  | 0.3                    | 0.3            | 25,587                    | 2,888                               |
| KLA-TENCOR CORP                      | 200            | 52.41                    | 10,482        | 64.46            | 12,892                   | 0.3                    | 0.3            | 2,410                     | 360                                 |
| ARSH & MCLENNAN COS INC              | 2,300          | 34.18                    | 78,619        | 48.36            | 111,228                  | 0.3                    | 0.3            | 32,609                    | 2,300                               |
| MICROSOFT CORP                       | 900            | 31.09                    | 27,978        | 37.41            | 33,669                   | 0.1                    | 0.1            | 5,691                     | 1,008                               |
| MONSANTO CO NEW COM                  | 1,000          | 76.75                    | 76,750        | 116.55           | 116,550                  | 0.3                    | 0.3            | 39,800                    | 1,720                               |
| MOSAIC CO/THE                        | 1,350          | 49.04                    | 66,210        | 47.27            | 63,815                   | 0.2                    | 0.2            | (2,395)                   | 1,350                               |
| NEWELL RUBBERMAID INC                | 5,500          | 22.82                    | 125,536       | 32.41            | 178,255                  | 0.5                    | 0.5            | 52,719                    | 3,300                               |
| NIKE INC CL B                        | 350            | 53.86                    | 18,850        | 78.64            | 27,524                   | 0.1                    | 0.1            | 8,674                     | 336                                 |
| NOBLE ENERGY INC                     | 2,400          | 49.99                    | 119,965       | 68.11            | 163,464                  | 0.4                    | 0.4            | 43,499                    | 1,344                               |
| NORFOLK SOUTHERN CORP                | 1,500          | 73.11                    | 109,660       | 92.83            | 139,245                  | 0.4                    | 0.4            | 29,585                    | 3,120                               |
| NUCOR CORP                           | 400            | 39.39                    | 15,756        | 53.38            | 21,352                   | 0.1                    | 0.1            | 5,596                     | 592                                 |
| ORACLE CORP                          | 2,600          | 29.42                    | 76,504        | 38.26            | 99,476                   | 0.3                    | 0.3            | 22,972                    | 1,248                               |



# CAPITAL GROUP<sup>SM</sup>

## Private Client Services

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|--------------------------------------|----------------|--------------------------|------------------|------------------|--------------------------|-----------------------------|---------------------------|-------------------------------------|
| ROCTER & GAMBLE CO                   | 925            | 79.55                    | 73,579           | 81.41            | 75,304                   | 0.2                         | 1,725                     | 2,226                               |
| PROGRESSIVE CORP OHIO                | 900            | 21.27                    | 19,143           | 27.27            | 24,543                   | 0.1                         | 5,400                     | 256                                 |
| QUALCOMM INC                         | 800            | 63.01                    | 50,408           | 74.25            | 59,400                   | 0.2                         | 8,992                     | 1,120                               |
| ROSS STORES INC                      | 1,100          | 71.93                    | 79,128           | 74.93            | 82,423                   | 0.2                         | 3,295                     | 748                                 |
| SCHLUMBERGER LTD                     | 2,400          | 74.01                    | 177,624          | 90.11            | 216,264                  | 0.6                         | 38,640                    | 3,000                               |
| SCHWAB CHARLES NEW                   | 1,600          | 14.03                    | 22,448           | 26.00            | 41,600                   | 0.1                         | 19,152                    | 384                                 |
| SCRIPPS NETWORKS INTERACTIVE<br>CL A | 1,100          | 56.03                    | 61,635           | 86.41            | 95,051                   | 0.2                         | 33,416                    | 660                                 |
| SEATTLE GENETICS INC                 | 4,700          | 19.60                    | 92,119           | 39.89            | 187,483                  | 0.5                         | 95,364                    | 0                                   |
| SIRIUS XM HOLDINGS INC               | 27,000         | 3.46                     | 93,374           | 3.49             | 94,230                   | 0.2                         | 856                       | 0                                   |
| STARBUCKS CORP                       | 800            | 54.38                    | 43,508           | 78.39            | 62,712                   | 0.2                         | 19,204                    | 832                                 |
| TARGET CORP                          | 1,100          | 59.91                    | 65,906           | 63.27            | 69,597                   | 0.2                         | 3,691                     | 1,892                               |
| TEXAS INSTRUMENTS INC                | 2,900          | 36.40                    | 105,558          | 43.91            | 127,339                  | 0.3                         | 21,781                    | 3,480                               |
| TIFFANY & CO NEW                     | 1,500          | 64.67                    | 97,013           | 92.78            | 139,170                  | 0.4                         | 42,157                    | 2,040                               |
| TOWERS WATSON & COMPANY CL A         | 300            | 103.20                   | 30,961           | 127.61           | 38,283                   | 0.1                         | 7,322                     | 168                                 |
| UNION PACIFIC CORP                   | 250            | 111.01                   | 27,753           | 168.00           | 42,000                   | 0.1                         | 14,248                    | 790                                 |
| UNITED TECHNOLOGIES CORP             | 1,100          | 84.26                    | 92,681           | 113.80           | 125,180                  | 0.3                         | 32,499                    | 2,596                               |
| NITEDHEALTH GROUP INC                | 400            | 57.79                    | 23,116           | 75.30            | 30,120                   | 0.1                         | 7,004                     | 448                                 |
| VERISIGN INC                         | 2,700          | 46.68                    | 126,023          | 59.78            | 161,406                  | 0.4                         | 35,383                    | 0                                   |
| VERIZON COMMUNICATIONS               | 800            | 50.58                    | 40,463           | 49.14            | 39,312                   | 0.1                         | (1,151)                   | 1,696                               |
| VISA INC CL A                        | 375            | 138.34                   | 51,878           | 222.68           | 83,505                   | 0.2                         | 31,627                    | 600                                 |
| WASTE CONNECTIONS INC                | 1,000          | 40.75                    | 40,751           | 43.63            | 43,630                   | 0.1                         | 2,879                     | 460                                 |
| <b>TOTAL UNITED STATES</b>           |                |                          | <b>7,023,575</b> |                  | <b>10,184,995</b>        | <b>25.9</b>                 | <b>3,161,422</b>          | <b>146,140</b>                      |

1.4



# CAPITAL GROUP™

Private Client Services

## Detailed Holdings List by Country

### SIDNEY AND JANE HARMAN FOUNDATION

Account Number: XXXX04900

As of December 31, 2013  
Reporting Currency: USD

| Description                         | Shares/<br>Par | Average<br>Cost/<br>Unit | Total<br>Cost    | Current<br>Price | Total<br>Market<br>Value | Total Net<br>Assets | % of<br>Assets | Unrealized<br>Gain/(Loss) | Estimated Annual<br>Income Yield(%) |
|-------------------------------------|----------------|--------------------------|------------------|------------------|--------------------------|---------------------|----------------|---------------------------|-------------------------------------|
| <b>MUTUAL FUNDS - EQUITY</b>        |                |                          |                  |                  |                          |                     |                |                           |                                     |
| CAPITAL GROUP U.S. EQUITY FUND      | 288,324        | 15.45                    | 4,455,128        | 18.99            | 5,475,264                | 13.9                |                | 1,020,136                 | 52,475                              |
| EMERGING MARKETS GROWTH FUND<br>INC | 229,396        | 7.73                     | 1,772,357        | 7.77             | 1,782,405                | 4.5                 |                | 10,048                    | 49,091                              |
| <b>TOTAL MUTUAL FUNDS - EQUITY</b>  |                |                          | <b>6,227,485</b> |                  | <b>7,257,670</b>         | <b>18.5</b>         |                | <b>1,030,184</b>          | <b>101,566</b>                      |
| Total Equity                        |                |                          | 18,493,485       |                  | 23,997,852               | 61.1                |                | 5,504,368                 | 402,274                             |
| Total Net Assets                    |                |                          | 33,902,690       |                  | 39,265,087               | 100.0               |                | 5,362,394                 | 740,892                             |
|                                     |                |                          |                  |                  |                          |                     |                |                           | 1.4                                 |
|                                     |                |                          |                  |                  |                          |                     |                |                           | 1.7                                 |
|                                     |                |                          |                  |                  |                          |                     |                |                           | 1.9                                 |

| <u>NAME</u>                               | <u>AMOUNT</u> | <u>CHECK DATE</u> | <u>ADDRESS</u>                                                                           | <u>501c3 DESCRIPTION</u>                                                                                                                                                       |
|-------------------------------------------|---------------|-------------------|------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| A Wider Circle                            | 10,000        | 2013-12-19        | 4808 Moorland Lane, Suite 802, Bethesda, MD, 20814                                       | yes Providing a unique, holistic approach to ending poverty.                                                                                                                   |
| ACCION                                    | 1,000         | 2013-12-19        | 80 Maiden Lane, Suite 903, New York, NY, 10038                                           | yes Brings affordable small business loans to microentrepreneurs who would traditionally have trouble securing funding from private institutions.                              |
| ACCION                                    | 27,000        | 2013-12-19        | 80 Maiden Lane, Suite 903, New York, NY, 10038                                           | yes Brings affordable small business loans to microentrepreneurs who would traditionally have trouble securing funding from private institutions.                              |
| ACLU                                      | 5,000         | 2013-12-19        | 1616 Beverly Boulevard, 1313 West Eighth Street, LA, CA 90017???, Los Angeles, CA, 90026 | yes National organization advocating individual rights                                                                                                                         |
| Alliance for Justice                      | 1,000         | 2013-12-19        | 11 Dupont Circle, NW, 2nd Floor, Washington, DC, 20036                                   | National association of over 100 organizations, representing a broad array of groups committed to progressive values and the creation of an equitable, just, and free society. |
| American Museum of Natural History        | 1,000         | 2013-12-19        | Central Park West at 79th Street, New York, NY, 10024                                    | yes Scientific research and education institution, with collections of more than 32 million specimens and artifacts.                                                           |
| AmericaShare                              | 9,295         | 2013-12-19        | 15 West 26th Stret, New York, NY, 10010                                                  | yes Sends Kenyan HIV/AIDS orphans to safe, private schools.                                                                                                                    |
| Aspen Institute/General                   | 35,000        | 2013-04-16        | One Dupont Circle, NW, Suite 700, Washington, DC, 20036                                  | yes Dedicated to developing visionary, principle-centered leaders who will create a better world                                                                               |
| Aspen Institute/Harman-Eisner             | 522,500       | 2013-11-12        | One Dupont Circle, NW, Suite 700, Washington, DC, 20036                                  | yes Center for development of visionary, principle-centered leaders who will create a better world                                                                             |
| Baruch College                            | 15,000        | 2013-03-06        | One Bernard Baruch Way, Box B 7-270, New York, NY, 10010                                 | yes Sidney Harman Writers-in-Residence Program                                                                                                                                 |
| Baruch College                            | 15,000        | 2013-10-04        | One Bernard Baruch Way, Box B 7-270, New York, NY, 10010                                 | yes Sidney Harman Writers-in-Residence Program                                                                                                                                 |
| Bread for the City                        | 25,000        | 2013-01-08        | 1525 7th Street NW, Washington, DC, 20001                                                | yes Food clothing medical and social services to the hungry and homeless                                                                                                       |
| Capital Partners for Education            | 30,000        | 2013-12-19        | 1413 K Street NW, Second Floor, Washington, DC, 20005                                    | yes College access program for motivated, low-income students in DC.                                                                                                           |
| Catalogue for Philanthropy                | 35,000        | 2013-12-19        | 1899 L Street, NW, Suite 900, Washington, DC, 20036                                      | yes Vets, educates, and empowers the charities it features and provides for donors a roadmap for effective giving in the Washington, DC region.                                |
| Catalogue for Philanthropy                | 100,000       | 2013-01-31        | 1899 L Street, NW, Suite 900, Washington, DC, 20036                                      | yes Vets, educates, and empowers the charities it features and provides for donors a roadmap for effective giving in the Washington, DC region.                                |
| Center for Adoption Support and Education | 500           | 2013-01-08        | 4000 Blackburn Lane, Suite 260, Burtonsville, MD, 20866                                  | yes Helping adoptive families overcome challenges and become "forever families "                                                                                               |
| Center for Democracy in the Americas      | 25,000        | 2013-09-30        | P.O. Box 53106, Washington, DC, 20009                                                    | yes Builds support for a new approach to U.S.-Cuba policy                                                                                                                      |
| Chess in the Schools                      | 30,000        | 2013-05-15        | 520 Eighth Avenue, Floor 2, New York, NY, 10018                                          | yes Brings chess to schools to promote academic skills, social development, and college prep                                                                                   |
| Children's Aid Society                    | 1,000         | 2013-12-19        | 105 East 22nd Street, Room 504, New York, NY, 10010-                                     | yes Provides comprehensive services to New York City                                                                                                                           |

| <u>NAME</u>                                    | <u>AMOUNT</u> | <u>CHECK DATE</u> | <u>ADDRESS</u>                                           | <u>501C3 DESCRIPTION</u>                                                                                                                                                                                               |
|------------------------------------------------|---------------|-------------------|----------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CityDance                                      | 20,000        | 2013-12-19        | 1111 16th Street NW, Suite 300, Washington, DC, 20036    | yes<br>Serving under-resourced youth in Washington DC, uses dance as a vehicle to teach students to become engaged advocates for change in their own lives and in their communities                                    |
| Collegiate Directions                          | 10,000        | 2013-05-15        | 4833 Rubgy Avenue Suite 301, Bethesda, MD, 20814         | yes<br>College readiness, access and retention program for low-income, first-generation-to-college students.                                                                                                           |
| Community-Word Project                         | 50,000        | 2013-05-15        | 11 Broadway, Suite 508, New York, NY, 10004              | yes<br>Arts residency program for underserved classrooms in 15 different schools.                                                                                                                                      |
| Critical Exposure                              | 52,000        | 2013-05-15        | 1816 12th Street NW Third Floor, Washington, DC, 20009   | yes<br>Empowers at-risk youth through photography and advocacy training                                                                                                                                                |
| DC Arts and Humanities Education Collaborative | 10,000        | 2013-12-19        | 1001 G Street NW Suite 1000W, Washington, DC, 20001      | yes<br>Access to the city's vibrant arts world for DC public schoolchildren                                                                                                                                            |
| DC Youth Orchestra                             | 27,000        | 2013-05-15        | 1700 East Capital Street NE, Washington, DC, 20002       | yes<br>Music education organization providing instruction and performance opportunities for youth.                                                                                                                     |
| ECPAT-USA                                      | 1,000         | 2013-12-19        | 30 Third Avenue, Suite 800A, Brooklyn, NY, 11217         | yes<br>Protects children who are sexually exploited by Americans abroad, children who are trafficked to the United States from abroad, and American children who are trafficked and exploited within the United States |
| For Love of Children                           | 25,000        | 2013-12-19        | 1763 Columbia Road, NW, Washington, DC, 20009            | yes<br>Providing free educational services to low-income                                                                                                                                                               |
| Free Minds Book Club & Writing Workshop        | 30,000        | 2013-12-19        | 2201 P Street NW, Washington, DC, 20037                  | yes<br>Innovative book club and writing program to reduce youth incarceration.                                                                                                                                         |
| Free the Slaves                                | 150,000       | 2013-05-15        | 1320 19th Street NW, Suite 600, Washington, DC, 20036    | yes<br>Committed to ending human trafficking                                                                                                                                                                           |
| Friends of the Children                        | 50,000        | 2013-05-15        | P.O. Box 1649, New York, NY, 10026-1649                  | yes<br>Mentoring program                                                                                                                                                                                               |
| Friends of the Mandela Rhodes Foundation USA   | 4,700         | 2013-12-24        | 405 E. Lincoln Street, Ithaca, NY, 14850                 | yes<br>Provides, through the Mandela Rhodes Foundation, scholarships, tutoring and mentoring to young Africans.                                                                                                        |
| Friendship Place                               | 10,000        | 2013-12-19        | 4713 Wisconsin Ave NW, Washington, DC, 20016             | yes<br>Community-based organization helping homeless adults                                                                                                                                                            |
| Girls Incorporated of New York City            | 30,000        | 2013-05-15        | 120 Wall Street, Suite 1804, New York, NY, 10005         | yes<br>Reaches 3000 low-income girls each year, delivering programs primarily through after-school services.                                                                                                           |
| Higher Achievement                             | 25,000        | 2013-12-19        | 317 8th Street, NE, Washington, DC, 20002                | yes<br>Provides 650 hours of academic instruction, enrichment activities, and academic mentoring annually through the Summer Academy, Afterschool Academy, and High School Placement Program.                          |
| Hobart Shakespeareans                          | 25,000        | 2013-11-12        | 980 S. Hobart Blvd, Los Angeles, CA, 90006               | Public school classroom designed to improve the quality of life for economically disadvantaged children                                                                                                                |
| Hope for Heroism                               | 1,000         | 2013-12-19        | 270 South Hanford Street, Seattle, WA, 98134             | yes<br>Helps injured Israeli combat soldiers rebuild their lives.                                                                                                                                                      |
| Horton's Kids                                  | 25,000        | 2013-05-15        | 110 Maryland Avenue NE, Suite 207, Washington, DC, 20002 | yes<br>Serves as a second family to over 700 children from the Ward 8 housing projects with individualized tutoring.                                                                                                   |
| Inner-City Arts                                | 15,000        | 2013-11-12        | 720 Kohler Street, Los Angeles, CA, 90021                | yes                                                                                                                                                                                                                    |

| <u>NAME</u>                                               | <u>AMOUNT</u> | <u>CHECK DATE</u> | <u>ADDRESS</u>                                                         | <u>501c3 DESCRIPTION</u>                                                                                                                |
|-----------------------------------------------------------|---------------|-------------------|------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------|
| International Rescue Committee<br>(Refugee Youth Program) | 30,000        | 2013-05-15        | 263 West 38th Street, 6th Floor, New York, NY, 10018                   | yes Intensive classroom instruction for newly emigrated students<br>afges 5- 19                                                         |
| John F. Kennedy Center for the<br>Performing Arts         | 50,000        | 2013-12-19        | 2700 F Street NW, Washington, DC, 20566                                | yes Support the Center                                                                                                                  |
| Joy of Motion                                             | 4,800         | 2013-12-19        | 1333 H Street NE, Washington, DC                                       | yes Dance education center for all ages, levels, and backgrounds                                                                        |
| Junior Tennis Champions Ctr                               | 5,000         | 2013-05-17        | 5200 Point Branch Parkway, College Park, MD, 20740                     | yes Tennis scholarship and outreach programs for enrichment<br>activities for kids at risk                                              |
| LA Conservation Corps                                     | 10,000        | 2013-11-12        | PO Box 15868, Los Angeles, CA, 90015                                   | yes Provides opportunities for work and schooling for at risk                                                                           |
| Levine School of Music                                    | 15,000        | 2013-12-19        | 2801 Upton Street NW, Washington, DC, 20008                            | yes Center for music education                                                                                                          |
| Life Pieces to Masterpieces                               | 35,000        | 2013-05-15        | 1600 Otis Street, NW, Washington, DC, 20018                            | yes Arts instruction and human development for 100 African-<br>American male "apprentices"                                              |
| Lit World                                                 | 50,000        | 2013-05-15        | Lit World, 315 West 57th Street, 12A, New York, NY,<br>10019           | yes literacy organization fostering resilience, hope, and joy<br>through the power of story.                                            |
| Los Angeles Philharmonic Association<br>(YOLA)            | 25,000        | 2013-11-06        | 151 South Grand Avenue, Los Angeles, CA, 90012                         | Support the next generation of artists through mentorship<br>and community and school-based orchestras                                  |
| Lower East Side Girls Club                                | 50,000        | 2013-05-15        | 56 East 1st Street, New York, NY, 10003                                | yes Provides an array of services to girls ages 18-23                                                                                   |
| Magic Box Productions                                     | 26,000        | 2013-12-19        | 444 Bedford Road, Pleasantville, NY, 10570                             | yes Programming for mainstream and Special Ed. students and<br>teachers                                                                 |
| Mark DeGarmo and<br>Dancers/Dynamic Forms Inc (MDDF)      | 50,000        | 2013-12-19        | 107 Suffolk Street, Suite 310, New York, NY, 10002                     | yes Uses dance-based instruction in struggling schools in<br>Manhattan                                                                  |
| Miriam's Kitchen                                          | 10,000        | 2013-12-19        | 2401 Virginia Avenue, NW, Washington, DC, 20037                        | yes Serves 4000 homeless adults annually in Washington, DC.                                                                             |
| National Democratic Institute                             | 5,000         | 2013-05-13        | 455 Massachusetts Avenue, NW, 8th Floor, Washington,<br>DC, 20001-2621 | yes Working to strengthen and expand democracy                                                                                          |
| National Women's Law Center                               | 2,500         | 2013-10-10        | 11 Dupont Circle, Suite 800, Washington, DC, 20036                     | yes Leader on issues that matter to women and girls                                                                                     |
| New York Stem Cell Foundation                             | 1,000         | 2013-12-19        | 178 Columbus Avenue #237064, New York, NY, 10023                       | yes Works to accelerate cures for major diseases through stem<br>cell research.                                                         |
| Partners in Health                                        | 1,000         | 2013-12-19        | PO Box 996, Frederick, MD, 21705-9942                                  | yes Provides affordable, human-rights based health care to<br>people living in some of the world                                        |
| Planned Parenthood                                        | 5,000         | 2013-11-12        | 400 West 30th Street, Los Angeles, CA, 90007                           | yes Reproductive health care and information to the people of LA                                                                        |
| Posse D.C.                                                | 20,000        | 2013-12-19        | 1319 F Street NW, Suite 604, Washington, DC, 20004                     | yes Education program sends multicultural teams of 10 students                                                                          |
| Public Preparatory Network                                | 20,000        | 2013-12-19        | 291 Broadway, Suite 1202, New York, NY, 10007                          | yes Develops single-sex elementary and middle public schools                                                                            |
| Public Preparatory Network                                | 1,000         | 2013-12-19        | 291 Broadway, Suite 1202, New York, NY, 10007                          | yes Develops single-sex elementary and middle public schools                                                                            |
| Raw Art Works, Inc.                                       | 25,000        | 2013-12-25        | 37 Central Square, Lynn, MA, 01901                                     | yes Integrates the arts with leadership development, life and<br>work skills, entrepreneurship, teen employment, and college<br>access. |
| Reach for College                                         | 32,500        | 2013-05-15        | 700 12th Street NW Suite 700, Washington, DC, 20005                    | yes High-intensity help for low-income students to get to college                                                                       |
| San Miguel Middle School                                  | 500           | 2013-01-08        | 7705 Georgia Avenue NW, Washington, DC, 20012                          | yes Middle school providing rigorous education to disadvantaged<br>latino boys                                                          |

| <u>NAME</u>                  | <u>AMOUNT</u> | <u>CHECK DATE</u> | <u>ADDRESS</u>                                                       | <u>501C3 DESCRIPTION</u>                                                                                                                                                                                                                                                                                        |
|------------------------------|---------------|-------------------|----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Seeds of Peace               | 1,000         | 2013-12-19        | 370 Lexington Avenue, Suite 1201, New York, NY, 10017                | yes<br>Gives rise to new generations of leaders uniquely inspired and equipped to build peace in countries facing some of the most intractable crises                                                                                                                                                           |
| Shakespeare Theater          | 200,000       | 2013-09-09        | 516 Eighth Street SE, Washington, DC, 20003                          | yes<br>Shakespeare Theatre at the Harman Center for the Arts                                                                                                                                                                                                                                                    |
| Share Our Strength           | 10,000        | 2013-12-19        | 1030 15th Street NW, Suite 1100 W, Washington, DC, 20005             | yes<br>Committed to ending childhood hunger through its "No Kid Hungry" campaign,                                                                                                                                                                                                                               |
| Shelter Box                  | 2,500         | 2013-12-19        | 8374 Market St #203, Lakewood Ranch, FL, 34202                       | yes<br>Delivers humanitarian relief in the form of equipment and materials that bring shelter, warmth and dignity to people made homeless by natural or other disasters worldwide.                                                                                                                              |
| Social Impact                | 9,209         | 2013-08-28        |                                                                      | Dedicated to helping international agencies, civil society and governments become more effective agents of positive social and economic change.                                                                                                                                                                 |
| Solomon R. Guggenheim Museum | 25,000        | 2013-12-19        | Solomon R. Guggenheim Museum, 1071 Fifth Avenue, New York, NY, 10128 | yes<br>Work at PS 676 in Red Hook. One year-long residency; offset costs for art materials and other general program costs and also be used to provide training for professionals.                                                                                                                              |
| St Ann's Warehouse           | 500           | 2013-09-30        | 55 Washington Street, Suite 458, Brooklyn, NY, 11201                 | yes<br>commissions, produces, and presents eclectic theatre and concert presentations.                                                                                                                                                                                                                          |
| Temple Emanu-El              | 1,500         | 2013-12-19        | 1 East 65th Street, New York, NY, 10065                              | yes<br>Reform temple on the UES                                                                                                                                                                                                                                                                                 |
| The Dreamyard Project, Inc.  | 50,000        | 2013-05-15        | 1085 Washington Avenue, Ground Floor, Bronx, NY, 10456               | yes<br>creating bright futures for youth by shaping their creative development through arts-based learning                                                                                                                                                                                                      |
| The East Harlem School       | 1,000         | 2013-12-19        | 309 East 103rd Street, New York, NY, 10029                           | yes<br>Independent middle-school that teaches children from low-income families from East Harlem                                                                                                                                                                                                                |
| The Go Project Inc           | 30,000        | 2013-12-19        | 86 Fourth Avenue, New York, NY, 10003                                | yes<br>Provides academic support for 550 struggling public school students in grades K-8.                                                                                                                                                                                                                       |
| The Jewish Federation of LA  | 5,000         | 2013-12-19        | Goldsmith Center, 6505 Wilshire Boulevard, Los Angeles, CA, 90048    | yes<br>Convenes and leads the community and leverages its resources to assure the continuity of the Jewish people, support a secure State of Israel, care for Jews in need here and abroad, and mobilize on issues of concern to the local community, all with our local, national, and international partners. |
| The Rape Foundation          | 2,500         | 2013-08-28        | 1223 Wilshire Boulevard, No 410, Santa Monica, CA, 90403             | yes<br>Rape victim assistance program                                                                                                                                                                                                                                                                           |
| The Theater Offensive        | 25,000        | 2013-12-25        | 565 Boylston Street, 3rd Floor, Boston, MA, 02116                    | yes<br>Provides year-round theater programming for lesbian, gay, bisexual, transgender, queer and questioning youth and their straight allies (LGBTQQA), ages 14 to 22.                                                                                                                                         |
| The Washington Ballet        | 25,000        | 2013-12-19        | 3515 Wisconsin Ave NW, Washington, DC, 20016                         | yes<br>One of the pre-eminent ballet organizations in the United States.                                                                                                                                                                                                                                        |

| <u>NAME</u>                                         | <u>AMOUNT</u> | <u>CHECK DATE</u> | <u>ADDRESS</u>                                                   | <u>501c3 DESCRIPTION</u>                                                                                                                                                                                              |
|-----------------------------------------------------|---------------|-------------------|------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| The Washington Middle School for Girls<br>Thrive DC | 25,000        | 2013-12-19        | 1901 Mississippi Ave., SE, Washington, DC, 20020                 | yes Middle school for at-risk girls                                                                                                                                                                                   |
|                                                     | 10,000        | 2013-12-19        | 1525 Newton St NW, Suite G1, Washington, DC, 20010               | yes Offering services for homeless/low-income individuals in the DC metro area.                                                                                                                                       |
| Time In Children's Art Initiative                   | 30,000        | 2013-12-19        | 227 West 29th St., Studio 4R, New York, NY, 10001                | yes Arts Initiative that brings some of New York                                                                                                                                                                      |
| Venice Family Clinic                                | 2,500         | 2013-02-20        | Venice Family Clinic, 604 Rose Avenue, Venice, CA, 90291         | yes Medical services to the uninsured                                                                                                                                                                                 |
| Washington Jesuit Academy                           | 32,500        | 2013-12-19        | 900 Varnum Street, NE, Washington, DC, 20017                     | yes Tuition-free middle-school for low-income boys.                                                                                                                                                                   |
| Wildlife Conservation Society                       | 1,500         | 2013-12-19        | 2300 Southern Boulevard, Bronx, NY                               | yes Committed to saving wildlife and wild places around the globe through science, global conservation, education and management of the world                                                                         |
| Woodley House                                       | 3,000         | 2013-11-12        | 3000 Connecticut Avenue NW, Suite 138, Washington, DC, 20008     | yes Support for those with mental illness                                                                                                                                                                             |
| Woodrow Wilson International Center for Scholars    | 23,340        | 2013-05-17        | c/o Linder & Assoc, 2150 Wisconsin Avenue, Washington, DC, 20007 | yes To provide a nonpartisan for open, intelligent, informed dialogue on critical issues of the day                                                                                                                   |
| Year Up                                             | 15,000        | 2013-12-19        | 55 Exchange Place, Suite 403, New York, NY, 10005                | yes Seeks to close the Opportunity Divide by providing urban young adults with the skills, experience, and support that will empower them to reach their potential through professional careers and higher education. |

**Total: 2,454,344**

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Enter filer's identifying number, see instructions

|                                                                                         |                                                                       |  |                                         |
|-----------------------------------------------------------------------------------------|-----------------------------------------------------------------------|--|-----------------------------------------|
| Type or print<br><br>File by the due date for filing your return. See instructions      | Name of exempt organization or other filer, see instructions          |  | Employer identification number (EIN) or |
|                                                                                         | HARMAN FAMILY FOUNDATION (FKA SIDNEY AND JANE HARMAN FOUNDATION)      |  | 45-6495102                              |
|                                                                                         | Number, street, and room or suite no. If a P.O. box, see instructions |  | Social security number (SSN)            |
|                                                                                         | 10390 SANTA MONICA BLVD STE 360                                       |  |                                         |
| City, town or post office, state, and ZIP code. For a foreign address, see instructions |                                                                       |  |                                         |
| LOS ANGELES, CA 90025                                                                   |                                                                       |  |                                         |

Enter the Return code for the return that this application is for (file a separate application for each return)

04

| Application Is For                      | Return Code | Application Is For                | Return Code |
|-----------------------------------------|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                 | 01          |                                   |             |
| Form 990-BL                             | 02          | Form 1041-A                       | 08          |
| Form 4720 (individual)                  | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                             | 04          | Form 5227                         | 10          |
| Form 990-T (sec 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)     | 06          | Form 8870                         | 12          |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

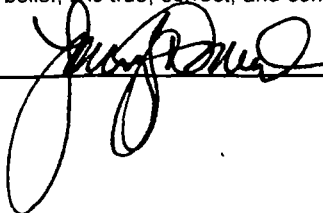
- The books are in the care of **DRESSLER & ASSOCIATES INC**  
Telephone No **(310) 551-1220** Fax No **(310) 551-2711**
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until **11/15/2014**
- 5 For calendar year **2013**, or other tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period
- 7 State in detail why you need the extension **ADDITIONAL TIME IS REQUIRED IN ORDER TO OBTAIN INFORMATION IN ORDER TO FILE A COMPLETE AND ACCURATE RETURN**

|    |                                                                                                                                                                                                                                   |    |    |        |
|----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|--------|
| 8a | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions                                                                                  | 8a | \$ | 20,792 |
| b  | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 | 8b | \$ | 3,792  |
| c  | <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.                                                    | 8c | \$ | 17,000 |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature  Title **CPA** Date **8/1/2014**

Form **8868** (Rev 1-2014)