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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052





2013



Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation HARRY & GERTRUDE ECK FDN 5-7-86 53-008800		A Employer identification number 45-6078947	
Number and street (or P O box number if mail is not delivered to street address) 1100 W ST GERMAIN STREET		B Telephone number (see instructions) (320) 258-2464	
City or town, state or province, country, and ZIP or foreign postal code ST CLOUD, MN 56301		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return<div><input type="checkbox"/> Final return<div><input type="checkbox"/> Address change</div></div><div><input type="checkbox"/> Initial return of a former public charity<div><input type="checkbox"/> Amended return<div><input type="checkbox"/> Name change</div></div></div></div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input type="checkbox"/> \$ 2,355,379		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	53,497	53,960		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	24,478			
	b Gross sales price for all assets on line 6a 396,195				
	7 Capital gain net income (from Part IV, line 2) . . .		24,478		
	8 Net short-term capital gain			0	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	8,460	8,460		
	12 Total. Add lines 1 through 11	86,435	86,898		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	17,386	17,386		
	14 Other employee salaries and wages		0	0	0
	15 Pension plans, employee benefits		0	0	
	16a Legal fees (attach schedule)				0
	b Accounting fees (attach schedule)	250	250	0	0
	c Other professional fees (attach schedule)				0
	17 Interest				0
	18 Taxes (attach schedule) (see instructions)	208	208		0
	19 Depreciation (attach schedule) and depletion . . .	0	0		
	20 Occupancy				
	21 Travel, conferences, and meetings		0	0	
	22 Printing and publications		0	0	
	23 Other expenses (attach schedule)	920	920		
	24 Total operating and administrative expenses. Add lines 13 through 23	18,764	18,764	0	0
	25 Contributions, gifts, grants paid	102,000			102,000
	26 Total expenses and disbursements. Add lines 24 and 25	120,764	18,764	0	102,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-34,329			
	b Net investment income (if negative, enter -0-)		68,134		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	98,045	12,876	12,876
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____		0	0
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____ 0			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	541,604	 435,465	441,955
	b	Investments—corporate stock (attach schedule)	262,334	 258,233	397,373
	c	Investments—corporate bonds (attach schedule).	362,103	 359,788	359,031
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	476,125	 634,575	1,144,144
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,740,211	1,700,937	2,355,379	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	1,740,211	1,700,937	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	1,740,211	1,700,937	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,740,211	1,700,937	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,740,211
2	Enter amount from Part I, line 27a	2	-34,329
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	315
4	Add lines 1, 2, and 3	4	1,706,197
5	Decreases not included in line 2 (itemize) ▶ _____ 	5	5,260
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,700,937

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	24,478
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	99,275	1,944,233	0 051061
2011	93,494	1,939,124	0 048215
2010	90,523	1,877,586	0 048212
2009	89,515	1,754,150	0 05103
2008	83,499	1,879,237	0 044432

2	Total of line 1, column (d).	2	0 24295
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 04859
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,976,760
5	Multiply line 4 by line 3.	5	96,051
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	681
7	Add lines 5 and 6.	7	96,732
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	102,000

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1	1	681
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2.	3	681
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	681
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	902
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d.	7	902
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.	10	221
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 221 Refunded	11	0

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ND			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of BREMER TRUST NA Telephone no (320) 258-2464 Located at 1100 WEST ST GERMAIN STREET ST CLOUD MN ZIP+4 56301			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BREMER TRUST N A	Trustee	17,386		
1100 West St Germain Street	1			
St Cloud,MN 56302				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,986,029
b	Average of monthly cash balances.	1b	20,834
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,006,863
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,006,863
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	30,103
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,976,760
6	Minimum investment return. Enter 5% of line 5.	6	98,838

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	98,838
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	681
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	681
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	98,157
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	98,157
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	98,157

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	102,000
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	102,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	681
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	101,319
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				98,157
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			5,387	
b Total for prior years 2011 , 20__ , 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				0
b From 2009.				0
c From 2010.				0
d From 2011.				0
e From 2012.				0
f Total of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 102,000				
a Applied to 2012, but not more than line 2a			5,387	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				96,613
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				1,544
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				0
b Excess from 2010. . . .				0
c Excess from 2011. . . .				0
d Excess from 2012. . . .				0
e Excess from 2013. . . .				0

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			▶ 3a	102,000
b <i>Approved for future payment</i>				
Total			▶ 3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

1

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

2014-05-07

Signature of officer or trustee

Date

Title

May the IRS discuss this return with the preparer shown below (see instr)?

☐

Yes

☒

No

Paid Preparer Use Only

Print/Type preparer's name WESLEY HIRSCHBERG	Preparer's Signature	Date 2014-05-07	Check if self-employed <input checked="" type="checkbox"/>	PTIN P00162244
Firm's name <input checked="" type="checkbox"/>	ERNST & YOUNG US LLP		Firm's EIN <input checked="" type="checkbox"/> 34-6565596	
Firm's address <input checked="" type="checkbox"/>	155 NORTH WACKER DRIVE CHICAGO, IL 606061787		Phone no (312) 879-2000	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
256 147 VANGUARD GRP-INTERNATL GROWTH		2006-07-28	2013-01-03
930 76 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-01-15
354 39 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-01-15
293 96 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-01-15
785 43 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-01-15
861 93 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-01-15
176 FHLMC SER 3598 CMO 5 000% 11/15/38		2009-12-04	2013-01-15
1104 74 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-01-15
449 19 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-01-20
23 ABBVIE INC		2012-12-11	2013-01-24
74 ABBVIE INC		2010-05-19	2013-01-24
651 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-01-25
944 69 FNMA PL #AI0682 4 500% 5/01/41		2011-07-26	2013-01-25
375 69 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-01-25
721 7 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-01-25
557 ACTIVISION BLIZZARD, INC		2012-12-11	2013-02-06
604 38 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-02-15
376 05 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-02-15
236 02 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-02-15
1047 05 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-02-15
663 22 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-02-15
395 48 FHLMC SER 3598 CMO 5 000% 11/15/38		2009-12-04	2013-02-15
1347 61 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-02-15
657 21 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-02-20
44 72 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-02-25
1114 81 FNMA PL #AI0682 4 500% 5/01/41		2011-07-26	2013-02-25
235 23 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-02-25
116 84 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-02-25
5 CAMERON INTERNATIONAL CORP		2012-12-11	2013-03-06
25 CELGENE CORP		2009-05-19	2013-03-06

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10 CITIGROUP INC		2012-12-11	2013-03-06
5 DOVER CORP		2011-04-26	2013-03-06
5 EMERSON ELECTRIC CO		2011-05-23	2013-03-06
5 MYLAN LABORATORIES INC		2009-05-19	2013-03-06
5 T ROWE PRICE GROUP INC		2011-04-08	2013-03-06
15 ST JUDE MEDICAL INC		2011-09-09	2013-03-06
30 STATE STREET CORP		2010-10-05	2013-03-06
10 THERMO ELECTRON CORP		2011-04-08	2013-03-06
5 3M CO		2011-04-08	2013-03-06
10 TRANSOCEAN LTD		2008-09-02	2013-03-06
291 04 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-03-15
370 31 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-03-15
368 96 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-03-15
733 82 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-03-15
932 17 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-03-15
211 22 FHLMC SER 3598 CMO 5 000% 11/15/38		2009-12-04	2013-03-15
1034 5 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-03-15
457 26 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-03-20
44 89 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-03-25
932 15 FNMA PL #AI0682 4 500% 5/01/41		2011-07-26	2013-03-25
272 64 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-03-25
857 31 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-03-25
20 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-04-15
251 32 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-04-15
356 47 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-04-15
960 5 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-04-15
722 38 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-04-15
72 32 FHLMC SER 3598 CMO 5 000% 11/15/38		2009-12-04	2013-04-15
772 99 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-04-15
482 07 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-04-20

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46000 U S TREASURY NOTES 1 250% 8/31/15		2011-10-21	2013-04-22
43 82 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-04-25
502 91 FNMA PL #A10682 4 500% 5/01/41		2011-07-26	2013-04-25
286 75 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-04-25
477 71 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-04-25
32 CELGENE CORP		2012-04-25	2013-04-29
586 62 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-05-15
240 85 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-05-15
320 08 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-05-15
759 34 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-05-15
561 93 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-05-15
1525 99 FHLMC GD PL #J12083 4 000% 4/01/20		2013-04-19	2013-05-15
1387 49 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-05-15
546 92 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-05-20
965 78 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-05-25
108 77 FNMA PL #A10682 4 500% 5/01/41		2011-07-26	2013-05-25
197 11 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-05-25
400 03 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-05-25
96 QUEST DIAGNOSTICS INC		2012-04-25	2013-05-29
29 QUEST DIAGNOSTICS INC		2013-03-06	2013-05-29
123 MICROSOFT CORP		2012-04-25	2013-06-07
624 72 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-06-15
324 97 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-06-15
284 26 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-06-15
996 16 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-06-15
1100 45 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-06-15
2260 48 FHLMC GD PL #J12083 4 000% 4/01/20		2013-04-19	2013-06-15
1931 58 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-06-15
598 61 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-06-20
1099 66 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-06-25

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773 62 FNMA PL #AI0682 4 500% 5/01/41		2011-07-26	2013-06-25
283 98 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-06-25
385 31 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-06-25
164 CISCO SYSTEMS INC		2012-04-25	2013-07-10
70 MICROSOFT CORP		2013-03-06	2013-07-10
52 MICROSOFT CORP		2009-05-19	2013-07-10
628 21 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-07-15
330 85 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-07-15
372 75 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-07-15
627 31 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-07-15
861 73 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-07-15
1634 43 FHLMC GD PL #J12083 4 000% 4/01/20		2013-04-19	2013-07-15
592 36 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-07-15
2068 809 VANGUARD GRP-INTERNATL GROWTH		2009-02-25	2013-07-17
148 55 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-07-20
41 1 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-07-25
472 07 FNMA PL #AI0682 4 500% 5/01/41		2011-07-26	2013-07-25
365 29 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-07-25
476 24 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-07-25
656 21 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-08-15
304 82 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-08-15
394 78 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-08-15
812 84 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-08-15
431 81 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-08-15
999 31 FHLMC GD PL #J12083 4 000% 4/01/20		2013-04-19	2013-08-15
572 9 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-08-15
335 36 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-08-20
264 CVR ENERGY, INC ESCROW		2011-11-14	2013-08-22
826 29 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-08-25
130 87 FNMA PL #AI0682 4 500% 5/01/41		2011-07-26	2013-08-25

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261 48 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-08-25
412 75 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-08-25
904 82 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-09-15
286 26 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-09-15
287 37 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-09-15
559 86 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-09-15
718 61 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-09-15
1111 9 FHLMC GD PL #J12083 4 000% 4/01/20		2013-04-19	2013-09-15
2130 49 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-09-15
349 41 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-09-20
1508 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-09-25
18 23 FNMA PL #AI0682 4 500% 5/01/41		2011-07-26	2013-09-25
145 35 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-09-25
775 24 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-09-25
28 BROADCOM CORP CL A		2013-03-06	2013-10-14
183 BROADCOM CORP CL A		2012-04-25	2013-10-14
155 EMC CORP MASS		2012-04-25	2013-10-14
201 SYSCO CORP		2012-04-25	2013-10-14
10 SYSCO CORP		2013-03-06	2013-10-14
15 09 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-10-15
154 92 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-10-15
272 44 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-10-15
476 35 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-10-15
380 54 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-10-15
1030 82 FHLMC GD PL #J12083 4 000% 4/01/20		2013-04-19	2013-10-15
1316 85 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-10-15
291 34 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-10-20
36 69 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-10-25
17 62 FNMA PL #AI0682 4 500% 5/01/41		2011-07-26	2013-10-25
105 56 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-10-25

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191 52 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-10-25
22 DEERE & CO		2013-03-06	2013-11-11
95 DEERE & CO		2012-04-25	2013-11-11
15 32 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-11-15
198 89 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-11-15
204 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-11-15
231 99 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-11-15
280 01 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-11-15
1033 82 FHLMC GD PL #J12083 4 000% 4/01/20		2013-04-19	2013-11-15
50000 FNMA SER 40 CMO 4 000% 5/25/41		2012-04-30	2013-11-15
641 19 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-11-15
144 89 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-11-20
38 24 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-11-25
209 94 FNMA PL #AI0682 4 500% 5/01/41		2011-07-26	2013-11-25
59 42 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-11-25
121 03 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-11-25
100000 U S TREASURY BILLS 12/12/13		2013-01-03	2013-12-12
317 65 FHLMC GD PL #A56643 5 500% 1/01/37		2007-01-05	2013-12-15
121 56 FHLMC GD PL #G03180 5 000% 8/01/37		2009-07-16	2013-12-15
188 46 FHLMC GD PL #G08112 6 000% 2/01/36		2006-10-26	2013-12-15
337 22 FHLMC GD PL #G08268 5 000% 5/01/38		2011-05-06	2013-12-15
532 62 FHLMC GD PL #G18219 5 500% 11/01/22		2010-04-28	2013-12-15
840 07 FHLMC GD PL #J12083 4 000% 4/01/20		2013-04-19	2013-12-15
1039 14 GNMA PL #672748 4 500% 6/15/23		2011-02-10	2013-12-15
257 32 GNMA II PL #003850 5 000% 5/20/36		2008-12-19	2013-12-20
1244 75 FNMA PL #255221 5 500% 4/01/34		2006-12-12	2013-12-25
12 76 FNMA PL #AI0682 4 500% 5/01/41		2011-07-26	2013-12-25
68 08 FNMA PL #850566 5 000% 1/01/36		2007-04-27	2013-12-25
354 1 FNMA PL #917808 6 000% 5/01/37		2007-05-16	2013-12-25
CAPITAL GAIN DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
5,000		5,991	-991
931		925	6
354		359	-5
294		295	-1
785		819	-34
862		903	-41
176		181	-5
1,105		1,146	-41
449		459	-10
857		768	89
2,758		1,850	908
651		644	7
945		971	-26
376		367	9
722		726	-4
6,584		6,509	75
604		601	3
376		381	-5
236		237	-1
1,047		1,090	-43
663		694	-31
395		406	-11
1,348		1,396	-48
657		671	-14
45		44	1
1,115		1,145	-30
235		230	5
117		117	
319		276	43
2,734		986	1,748

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
439		370	69
366		338	28
281		270	11
152		65	87
370		339	31
626		640	-14
1,734		1,759	-25
765		556	209
524		464	60
538		1,317	-779
291		289	2
370		375	-5
369		370	-1
734		763	-29
932		975	-43
211		217	-6
1,035		1,071	-36
457		467	-10
45		44	1
932		957	-25
273		267	6
857		862	-5
20		20	
251		254	-3
356		357	-1
961		998	-37
722		754	-32
72		72	
773		799	-26
482		492	-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
47,058		46,585	473
44		43	1
503		516	-13
287		281	6
478		480	-2
3,816		2,033	1,783
587		583	4
241		244	-3
320		321	-1
759		788	-29
562		586	-24
1,526		1,619	-93
1,387		1,433	-46
547		558	-11
966		956	10
109		112	-3
197		193	4
400		402	-2
5,969		5,404	565
1,803		1,673	130
4,357		3,181	1,176
625		621	4
325		329	-4
284		285	-1
996		1,033	-37
1,100		1,146	-46
2,260		2,393	-133
1,932		1,994	-62
599		610	-11
1,100		1,089	11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
774		793	-19
284		278	6
385		387	-2
4,171		2,994	1,177
2,424		1,919	505
1,801		1,069	732
628		624	4
331		335	-4
373		374	-1
627		650	-23
862		897	-35
1,634		1,727	-93
592		611	-19
42,349		28,989	13,360
149		151	-2
41		41	
472		484	-12
365		358	7
476		478	-2
656		652	4
305		308	-3
395		396	-1
813		841	-28
432		449	-17
999		1,054	-55
573		590	-17
335		341	-6
826		818	8
131		134	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
261		256	5
413		415	-2
905		899	6
286		289	-3
287		288	-1
560		579	-19
719		746	-27
1,112		1,171	-59
2,130		2,193	-63
349		356	-7
1,508		1,493	15
18		19	-1
145		143	2
775		779	-4
741		927	-186
4,842		4,730	112
3,894		2,128	1,766
6,421		5,239	1,182
319		328	-9
15		15	
155		157	-2
272		273	-1
476		492	-16
381		395	-14
1,031		1,083	-52
1,317		1,355	-38
291		296	-5
37		36	1
18		18	
106		104	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
192		192	
1,791		1,898	-107
7,733		7,515	218
15		15	
199		201	-2
204		204	
232		240	-8
280		290	-10
1,034		1,085	-51
49,281		52,420	-3,139
641		659	-18
145		147	-2
38		38	
210		215	-5
59		58	1
121		122	-1
99,871		99,871	
318		316	2
122		123	-1
188		189	-1
337		348	-11
533		551	-18
840		880	-40
1,039		1,067	-28
257		262	-5
1,245		1,232	13
13		13	
68		67	1
354		356	-2
			5,033

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-991
			6
			-5
			-1
			-34
			-41
			-5
			-41
			-10
			89
			908
			7
			-26
			9
			-4
			75
			3
			-5
			-1
			-43
			-31
			-11
			-48
			-14
			1
			-30
			5
			43
			1,748

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			69
			28
			11
			87
			31
			-14
			-25
			209
			60
			-779
			2
			-5
			-1
			-29
			-43
			-6
			-36
			-10
			1
			-25
			6
			-5
			-3
			-1
			-37
			-32
			-26
			-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			473
			1
			-13
			6
			-2
			1,783
			4
			-3
			-1
			-29
			-24
			-93
			-46
			-11
			10
			-3
			4
			-2
			565
			130
			1,176
			4
			-4
			-1
			-37
			-46
			-133
			-62
			-11
			11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-19
			6
			-2
			1,177
			505
			732
			4
			-4
			-1
			-23
			-35
			-93
			-19
			13,360
			-2
			-12
			7
			-2
			4
			-3
			-1
			-28
			-17
			-55
			-17
			-6
			8
			-3


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			-2
			6
			-3
			-1
			-19
			-27
			-59
			-63
			-7
			15
			-1
			2
			-4
			-186
			112
			1,766
			1,182
			-9
			-2
			-1
			-16
			-14
			-52
			-38
			-5
			1
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-107
			218
			-2
			-8
			-10
			-51
			-3,139
			-18
			-2
			-5
			1
			-1
			2
			-1
			-1
			-11
			-18
			-40
			-28
			-5
			13
			1
			-2

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SHRINERS HOSPITAL FOR CHILDREN TRUST INVESTMENT ACCTG MANAGER TAMPA,FL 336313356	NONE	PC	PROGRAM SUPPORT	7,140
SALVATION ARMY 315 WESTERN AVE MINOT,ND 587013755	NONE	PC	PROGRAM SUPPORT	7,140
NORTHERN LIGHTS COUNCIL BOY SCOUTS OF AMERICA 4200 19TH AVE S FARGO,ND 58103	NONE	PC	PROGRAM SUPPORT	7,140
YWCA OF MINOT 205 3RD AVE SE MINOT,ND 58701	NONE	PC	PROGRAM SUPPORT	7,140
HOME ON THE RANGE 16351 I94 SENTINEL BUTTE,ND 586549500	NONE	EXEMPT	PROGRAM SUPPORT	7,140
YOUNG MENS CHRISTIAN ASSOCIATION PO BOX 69 MINOT,ND 587020069	NONE	PC	PROGRAM SUPPORT	16,320
FIRST LUTHERAN CHURCH 120 5TH AVE NW MINOT,ND 58701	NONE	PC	PROGRAM SUPPORT	7,140
ALL SAINTS EPISCOPAL CHURCH 301 S MAIN ST MINOT,ND 58701	NONE	PC	PROGRAM SUPPORT	7,140
MINOT VOCATIONAL WORKSHOP SVCS INC 605 27TH ST SE MINOT,ND 58701	NONE	PC	PROGRAM SUPPORT	7,140
DOMESTIC VIOLENCE CRISIS PO BOX 881 MINOT,ND 587020881	NONE	PC	PROGRAM SUPPORT	3,060
MINOT STATE UNIVERSITY FOUNDATION MSU ADVANCEMENT OFFICE MINOT,ND 58701	NONE	SO-II	PROGRAM SUPPORT	4,080
MINOT AREA YOUTH SKATING ASSOCIATIO PO BOX 328 MINOT,ND 587020328	NONE	PC	PROGRAM SUPPORT	4,080
GIRL SCOUTS DAKOTA HORIZONS 525 31ST AVE SW MINOT,ND 587017036	NONE	PC	PROGRAM SUPPORT	7,140
AMERICAN RED CROSS MID-DAKOTA CHPTR OFFICE OF GENERAL COUNSEL ATTN TRUSTS ESTATES WASHINGTON,DC 200065009	NONE	PC	PROGRAM SUPPORT	3,060
ANNE CARLSEN CENTER 301 7TH AVE NW JAMESTOWN,ND 58401	NONE	PC	PROGRAM SUPPORT	7,140
Total  3a				102,000

TY 2013 Accounting Fees Schedule**Name:** HARRY & GERTRUDE ECK FDN 5-7-86 53-008800**EIN:** 45-6078947

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC	250	250		

**TY 2013 Investments Corporate
Bonds Schedule****Name:** HARRY & GERTRUDE ECK FDN 5-7-86 53-008800**EIN:** 45-6078947

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ASSURANT INC 2/15/14 5.625%	50,068	50,282
VALERO ENERGY 6/15/17 6.125%	51,247	57,010
WASH POST 2/01/19 7.250%	57,096	59,404
AMERISOURCEBERGEN 11/14/11 3.5	50,296	49,135
PHILIPS ELECTRON 3/15/22 3.75%	50,477	50,027
SYMANTEC CORP 6/15/22 3.95%	50,829	48,509
DIGITAL REALTY 10/01/22 3.625%	49,775	44,664

**TY 2013 Investments Corporate
Stock Schedule**

Name: HARRY & GERTRUDE ECK FDN 5-7-86 53-008800
EIN: 45-6078947

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AIR PRODUCTS & CHEMICALS	9,002	13,414
BROADCOM CORP CL A		
CVS/CAREMARK	4,478	9,662
CISCO SYSTEMS	5,445	7,335
COLGATE PALMOLIVE	4,458	7,695
COMCAST CORP	4,998	13,251
DOVER CORP	5,031	9,171
EMC CORP MASS	6,096	7,822
EMERSON ELECTRIC	7,685	12,843
HUDSON CITY BANCORP	8,869	11,354
ITC HOLDINGS	8,186	12,073
MICROSOFT CORP		
MYLAN INC	3,467	9,939
PEPSICO INC	8,572	11,280
PROCTER & GAMBLE CO	5,164	7,327
QUALCOM INC	3,864	7,425
QUEST DIAGNOSTICS		
ST JUDE MEDICAL	6,271	10,222
SCHLUMBERGER	9,394	12,435
STATE STREET CORP	6,638	13,357
SYSCO CORP		
TARGET CORP	7,444	9,744
THERMO FISHER SCIENTIFIC	4,953	9,910
3M CO	7,671	13,885
TRANSOCEAN LTD	11,843	9,736
AKAMAI TECHNOLOGIES INC	4,974	8,728
APPLE INC	8,755	17,392
CELGENE CORP	2,440	10,814
STERICYCLE INC	4,463	8,480
ABBOTT LABORATORIES	6,500	8,356

Name of Stock	End of Year Book Value	End of Year Fair Market Value
EXXON MOBIL CORP	4,428	7,792
GOOGLE INC	7,550	15,690
DEERE & CO		
T ROWE PRICE GROUP INC	8,508	11,979
VODAFONE GROUP	10,147	15,292
ACTIVISION BLIZZARD, INC		
CAMERON INTL CORP	6,123	6,608
CITIGROUP	5,880	8,285
EBAY INC	4,545	7,023
NIKE INC - CLASS B	6,160	10,066
THE MOSAIC COMPANY	10,064	8,556
VMWARE, INC.	5,669	6,369
FORD MOTOR CO	7,133	8,348
F5 NETWORKS INC	8,569	10,812
EXPEDITORS INTL WASH	6,796	6,903

**TY 2013 Investments Government
Obligations Schedule****Name:** HARRY & GERTRUDE ECK FDN 5-7-86 53-008800**EIN:** 45-6078947**US Government Securities - End of
Year Book Value:**

408,308

**US Government Securities - End of
Year Fair Market Value:**

415,706

**State & Local Government
Securities - End of Year Book
Value:**

27,157

**State & Local Government
Securities - End of Year Fair
Market Value:**

26,249

TY 2013 Investments - Other Schedule**Name:** HARRY & GERTRUDE ECK FDN 5-7-86 53-008800**EIN:** 45-6078947

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
FEDERATED STRATEGIC DIV	AT COST	59,472	84,247
HARBOR INTL FD	AT COST	25,915	51,946
SEI SIMT LARGE CAP VALUE CL 60	AT COST	31,800	41,440
SEI SIT EMERGING MKT EQUITY CL	AT COST	36,597	40,553
SEI SIT INTL EQUITY CL A 95	AT COST	82,871	93,537
SIMT SMALL CAP FUND - A	AT COST	58,555	80,174
VANGUARD INTL GROWTH FD-IV			
ABSOLUTE STRATEGIES FUND	AT COST	86,919	108,202
SEI SIT EMERGING MKT DEBT CL A	AT COST	36,995	32,130
SEI SIMT HIGH YIELD BD CL A 59	AT COST	36,762	38,770
SEI SIT INTL FIXED INCOME CL A	AT COST	56,010	55,839
MI S2NE4 22-152-95 MCKENZIE CO	AT COST	498	368,150
MI E2NW4 30-158-93 MOUNTRAIL C	AT COST	500	29,000
SEI MULTI-ASSET ACCUM-A	AT COST	32,296	31,286
JOHN HANCOCK II GL ABS RE	AT COST	35,000	34,811
SPDR S&P 500 ETF TRUST	AT COST	9,577	9,973
SPDR S&P REGIONAL BANKING	AT COST	7,808	9,581
PIMCO MTGE BACKED SEC	AT COST	15,000	14,626
EATON VANCE BUILD AMER BND	AT COST	22,000	19,879

TY 2013 Other Decreases Schedule**Name:** HARRY & GERTRUDE ECK FDN 5-7-86 53-008800**EIN:** 45-6078947

Description	Amount
AMORTIZATION	5,193
BASIS ADJUSTMENT	67

TY 2013 Other Expenses Schedule

Name: HARRY & GERTRUDE ECK FDN 5-7-86 53-008800

EIN: 45-6078947

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PRODUCTIONS TAXES ROYALTIES	893	893		0
OTHER ROYALTY EXPENSES	27	27		0

TY 2013 Other Income Schedule

Name: HARRY & GERTRUDE ECK FDN 5-7-86 53-008800

EIN: 45-6078947

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ROYALTY INCOME	8,460	8,460	

TY 2013 Other Increases Schedule**Name:** HARRY & GERTRUDE ECK FDN 5-7-86 53-008800**EIN:** 45-6078947

Description	Amount
ACCRETION	33
ROUNDING	3
PORTFOLIO TRANSFERS	279

TY 2013 Taxes Schedule**Name:** HARRY & GERTRUDE ECK FDN 5-7-86 53-008800**EIN:** 45-6078947

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES ON QUALIFIED FOR	148	148		0
FOREIGN TAXES ON NONQUALIFIED	60	60		0