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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation JESSE DAVID HENDLEY FOUNDATION INC		A Employer identification number 45-5228961	
Number and street (or P O box number if mail is not delivered to street address) 380 BOLING DRIVE		B Telephone number (see instructions) (770) 817-4999	
City or town, state or province, country, and ZIP or foreign postal code MARIETTA, GA 30068		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 2,259,027		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	2,796,071			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	24,042	24,042	24,042	
	4 Dividends and interest from securities.	22,203	22,203	22,203	
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	92,658			
	b Gross sales price for all assets on line 6a 2,580,178				
	7 Capital gain net income (from Part IV , line 2) . . .		92,658		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
Operating and Administrative Expenses	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	2,934,974	138,903	46,245	
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	7,875	7,875		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	300	300		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	8,175	8,175		0
	25 Contributions, gifts, grants paid	960,000			960,000
	26 Total expenses and disbursements. Add lines 24 and 25	968,175	8,175		960,000
	27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements		1,966,799			
b Net investment income (if negative, enter -0-)			130,728		
c Adjusted net income (if negative, enter -0-)				46,245	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing		66,884	66,844
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)		464,962	462,597
	b	Investments—corporate stock (attach schedule)		1,161,502	1,454,860
	c	Investments—corporate bonds (attach schedule).		273,451	274,726
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)		1,966,799	2,259,027	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds		1,966,799	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)		1,966,799	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	0	1,966,799		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	
2	Enter amount from Part I, line 27a	2	1,966,799
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,966,799
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,966,799

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	MERRILL LYNCH-SEE ATTACHMENT	D	2013-07-01	2013-12-31
b	MERRILL LYNCH-SEE ATTACHMENT	D	2013-07-01	2013-12-31
c	MERRILL LYNCH-SEE ATTACHMENT	D	2012-07-01	2013-12-31
d	MERRILL LYNCH-SEE ATTACHMENT	D	2012-07-01	2013-12-31
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 59,950		61,145	-1,195
b 709,852		724,253	-14,401
c 684,639		560,389	124,250
d 1,125,443		1,141,733	-16,290
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-1,195
b			-14,401
c			124,250
d			-16,290
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	92,658
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			

2 Total of line 1, column (d).	2	
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	
5 Multiply line 4 by line 3.	5	
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	
7 Add lines 5 and 6.	7	
8 Enter qualifying distributions from Part XII, line 4.	8	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	2,615		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)							
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2			
c		All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)					
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				2	
3		Add lines 1 and 2.				3	2,615
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4			
5		Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	2,615		
6		Credits/Payments		7			
a	2013 estimated tax payments and 2012 overpayment credited to 2013		6a				
b	Exempt foreign organizations—tax withheld at source		6b				
c	Tax paid with application for extension of time to file (Form 8868)		6c				
d	Backup withholding erroneously withheld		6d				
7		Total credits and payments Add lines 6a through 6d.		7			
8		Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	20		
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	2,635		
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10			
11		Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐		11			

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ GA _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of J CHRISTOPHER MILLER OUTSIDE COUNTelephone no (770) 817-4999 Located at 3460 PRESTON RIDGE ROAD SUITE 100 ALPHARETTA GA ZIP +4 30005			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DR ED HINDSON 1155 FOREST POINT PLACE FOREST,VA 24551	DIRECTOR/CFO 1 00	0	0	0
JOSIE C HENDLEY 5580 STONE CREEK DR STONE MOUNTAIN,GA 30087	DIRECTOR/SEC 1 00	0	0	0
TERRI A HENDLEY 380 BOLING DRIVE MARIETTA,GA 30068	DIRECTOR/CEO 3 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

NONE

Total number of others receiving over \$50,000 for professional services. 1

Part IX-A

1

2

3

4

Part IX-B

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A

2

All other program-related investments See page 24 of the instructions

3

Total. Add lines 1 through 3

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	951,663
b	Average of monthly cash balances.	1b	33,442
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	985,105
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	985,105
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	14,777
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	970,328
6	Minimum investment return. Enter 5% of line 5.	6	48,516

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	48,516
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	2,615
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	2,615
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	45,901
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	45,901
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	45,901

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	960,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	960,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	960,000
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				45,901
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 960,000				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				45,901
e Remaining amount distributed out of corpus	914,099			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	914,099			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	914,099			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .	914,099			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.	OMB No 1545-0047 2013

Name of the organization JESSE DAVID HENDLEY FOUNDATION INC	Employer identification number 45-5228961
---	---

Organization type (check one)

Filers of: Form 990 or 990-EZ Form 990-PF	Section: ☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization ☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation
--	---

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

JESSE DAVID HENDLEY FOUNDATION INC

Employer identification number

45-5228961

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization JESSE DAVID HENDLEY FOUNDATION INC	Employer identification number 45-5228961
---	---

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

TY 2013 Compensation Explanation

Name: JESSE DAVID HENDLEY FOUNDATION INC

EIN: 45-5228961

Person Name	Explanation
DR ED HINDSON	
JOSIE C HENDLEY	
TERRI A HENDLEY	

**TY 2013 Investments Corporate
Bonds Schedule**

Name: JESSE DAVID HENDLEY FOUNDATION INC
EIN: 45-5228961

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ML CORPORATE BONDS-SEE ATTACHED	273,451	274,726

**TY 2013 Investments Corporate
Stock Schedule**

Name: JESSE DAVID HENDLEY FOUNDATION INC
EIN: 45-5228961

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ML EQUITIES-SEE ATTACHED	1,161,502	1,454,860

TY 2013 Investments Government Obligations Schedule

Name: JESSE DAVID HENDLEY FOUNDATION INC

EIN: 45-5228961

**US Government Securities - End of
Year Book Value:**

464,962

**US Government Securities - End of
Year Fair Market Value:**

462,597

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Other Professional Fees Schedule**Name:** JESSE DAVID HENDLEY FOUNDATION INC**EIN:** 45-5228961

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ML INVESTMENT FEES	6,754	6,754		
OTHER ML FEES	1,121	1,121		

TY 2013 Substantial Contributors Schedule

Name: JESSE DAVID HENDLEY FOUNDATION INC

EIN: 45-5228961

Name	Address
JESSE DAVID HENDLEY REVOCABLE TRUST	380 BOLING DRIVE MARIETTA,GA 30068

TY 2013 Taxes Schedule**Name:** JESSE DAVID HENDLEY FOUNDATION INC**EIN:** 45-5228961

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
MERRILL LYNCH-FOREIGN TAX ON DIV	300	300		

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c								
COVERED TRANSACTIONS - 1099-B Line 6b (cost basis reported to the IRS)								
AMERICAN TOWER REIT INC (HLDG CO) SHS REDEEMED								
	CUSIP Number	03027X100						
30 0000	Sale	01/15/13	10/15/13	2,249.96	2,373.99	0.00	(124.03)	
CONSOL ENERGY INC COM REDEEMED								
	CUSIP Number	20854P109						
40 0000	Sale	09/13/13	09/26/13	1,350.91	1,374.00	0.00	(23.09)	
24 0000	Sale	09/13/13	09/27/13	809.58	824.40	0.00	(14.82)	
	Security Subtotal			2,160.49	2,198.40	0.00	(37.91)	
CITIGROUP INC COM NEW REDEEMED								
	CUSIP Number	172967424						
139 0000	Sale	07/08/13	10/15/13	6,869.27	6,840.96	0.00	28.31	
6 0000	Sale	07/08/13	11/04/13	293.21	295.29	0.00	(2.08)	
	Security Subtotal			7,162.48	7,136.25	0.00	26.23	

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DUKE ENERGY CORP NEW REDEEMED		CUSIP Number	26441C204					
48 0000	Sale	02/11/13	10/15/13	3,259 62	3,319 66	0 00	(60 04)	
FIFTH THIRD BANCORP REDEEMED		CUSIP Number	316773100					
341 0000	Sale	12/21/12	10/15/13	6,230 47	5 188 62	0 00	1,041 85	
INTL PAPER CO REDEEMED		CUSIP Number	460146103					
91 0000	Sale	07/16/13	10/15/13	4 064 91	4,399 23	0 00	(334 32)	
6 0000	Sale	07/16/13	11/04/13	269 03	290 06	0 00	(21 03)	
	Security Subtotal			4,333 94	4,689 29	0 00	(355 35)	
KINDER MORGAN INC DEL REDEEMED		CUSIP Number	49456B101					
119 0000	Sale	02/05/13	10/15/13	4,185 16	4,459 13	0 00	(273 97)	
METLIFE INC COM REDEEMED		CUSIP Number	59156R108					
49 0000	Sale	07/09/13	10/15/13	2 385 28	2,393 42	0 00	(8 14)	
MOTOROLA SOLUTIONS INC REDEEMED		CUSIP Number	620076307					
84 0000	Sale	10/02/13	10/15/13	5,107 53	5,087 06	0 00	20 47	
4 0000	Sale	10/02/13	11/04/13	250 75	242 24	0 00	8 51	
	Security Subtotal			5,358 28	5,329 30	0 00	28 98	
MONDELEZ INTERNATIONAL INC REDEEMED		CUSIP Number	609207105					
186 0000	Sale	07/08/13	10/15/13	5,692 06	5,402 82	0 00	289 24	



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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NEWMONT MINING CORP		CUSIP Number 651639106						
REDEEMED								
COST BASIS REFLECTS TRAD								
75 0000	Sale	02/12/13	10/15/13	1,925 22	3,389 53	0 00	(1,464 31)	
12 0000	Sale	02/12/13	11/04/13	322 55	542 32	0 00	(219 77)	
Security Subtotal				2,247 77	3,931 85	0 00	(1 684 08)	
S INTRUST BKS INC COM		CUSIP Number 867914103						
REDEEMED								
COST BASIS REFLECTS TRAD								
232 0000	Sale	07/08/13	10/15/13	7,735 70	7,934 40	0 00	(198 70)	
9 0000	Sale	07/08/13	11/04/13	302 75	307 80	0 00	(5 05)	
Security Subtotal				8,038 45	8,242 20	0 00	(203 75)	
UNITED PARCEL SVC CL B		CUSIP Number 911312106						
REDEEMED								
COST BASIS REFLECTS TRAD								
70 0000	Sale	07/08/13	10/15/13	6,348 91	6,213 66	0 00	135 25	
3 0000	Sale	07/08/13	11/04/13	296 99	266 30	0 00	30 69	
Security Subtotal				6,645 90	6 479 96	0 00	165 94	
✓ Covered Short Term Capital Gains and Losses Subtotal				✓ 59,949 86	✓ 61,144 89	0 00	(1,195 03)	

NONCOVERED TRANSACTIONS - 1099-B Line 6a (cost basis not reported to the IRS)

ANHEUSER-BUSCH INBEV WOR		CUSIP Number 03523TBP2					
COMPANY GUARNT GLB							
02 500% JUL 15 2022							
41000 0000	Sale	07/16/12	07/08/13	37,941 81	41,523 49	0 00	(3,581 68)
AETNA INC		CUSIP Number 00817YAL2					
GLB							
01 500% NOV 15 2017							
3000 0000	Sale	07/09/13	09/12/13	2,903 97	2,911 77	0 00	(7 80)
4000 0000	Sale	07/09/13	10/15/13	3,930 40	3,882 36	0 00	48 04
10000 0000	Sale	07/09/13	10/23/13	9,924 00	9,705 90	0 00	218 10
Security Subtotal				16,758 37	16,500 03	0 00	258 34



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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ANHEUSER-BUSCH INBEV FIN COMPANY GUARNT GLB		CUSIP Number 035242AD8						
	00 800% JAN 15 2016							
3000 0000	Sale	07/25/13	08/12/13	2,991 08	3,004 30	0 00	(13 21)	
5000 0000	Sale	07/25/13	10/15/13	4,998 65	5,006 89	0 00	(8 24)	
	Security Subtotal			7,989 74	8,011 19	0 00	(21 45)	
APPLE INC GLB		CUSIP Number 037833AJ9						
	01 000% MAY 03 2018							
2000 0000	Sale	07/09/13	09/12/13	1,902 76	1,918 18	0 00	(15 42)	
3000 0000	Sale	07/09/13	10/15/13	2,898 21	2,877 27	0 00	20 94	
	Security Subtotal			4,800 97	4,795 45	0 00	5 52	
AMERICAN INTL GROUP GLB		CUSIP Number 026874CX3						
	03 375% AUG 15 2020							
2000 0000	Sale	08/08/13	09/12/13	1,959 18	2,011 78	0 00	(52 60)	
4000 0000	Sale	08/08/13	10/15/13	4,001 32	4,023 29	0 00	(21 97)	
	Security Subtotal			5,960 50	6,035 07	0 00	(74 57)	
BRISTOL-MYERS SQUIBB CO GLB		CUSIP Number 110122AS7						
	00 875% AUG 01 2017							
2000 0000	Sale	07/09/13	09/12/13	1,929 92	1,944 00	0 00	(14 08)	
3000 0000	Sale	07/09/13	10/15/13	2,938 20	2,916 00	0 00	22 20	
	Security Subtotal			4,868 12	4,860 00	0 00	8 12	
BP CAPITAL MARKETS PLC COMPANY GUARNT GLB		CUSIP Number 05565QCC0						
	01 375% NOV 06 2017							
13000 0000	Sale	07/09/13	08/08/13	12 768 47	12 626 51	0 00	141 96	
BERKSHIRE HATHAWAY INC GLB		CUSIP Number 084670BH0						
	01 550% FEB 09 2018							
2000 0000	Sale	07/09/13	09/12/13	1,954 38	1,974 74	0 00	(20 36)	
6000 0000	Sale	07/09/13	10/15/13	5,932 86	5,924 22	0 00	8 64	
	Security Subtotal			7 887 24	7,898 96	0 00	(11 72)	



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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HCP INC		CUSIP Number 40414LAH2						
GLB								
02 625% FEB 01 2020								
2000 0000	Sale	07/10/13	09/12/13	1,853.98	1,866.82	0.00	(12.84)	
5000 0000	Sale	07/10/13	10/15/13	4,744.40	4,667.05	0.00	77.35	
Security Subtotal				6,598.38	6,533.87	0.00	64.51	
CITIGROUP INC		CUSIP Number 172967FS5						
GLB								
03 953% JUN 15 2016								
3000 0000	Sale	07/09/13	09/12/13	3,170.07	3,165.20	0.00	4.87	
5000 0000	Sale	07/09/13	10/15/13	5,320.65	5,267.44	0.00	53.21	
Security Subtotal				8,490.72	8,432.64	0.00	58.08	
COSTCO WHOLESALE CORP		CUSIP Number 22160KAF2						
GLB								
01 700% DEC 15 2019								
2000 0000	Sale	07/09/13	09/12/13	1,904.08	1,926.60	0.00	(22.52)	
4000 0000	Sale	07/09/13	10/15/13	3,865.56	3,853.20	0.00	12.36	
Security Subtotal				5,769.64	5,779.80	0.00	(10.16)	
CHEVRON CORP		CUSIP Number 166764AA8						
GLB								
01 104% DEC 05 2017								
65000 0000	Sale	12/03/12	07/08/13	63,061.70	65,573.83	0.00	(2,512.13)	
COMCAST CORP		CUSIP Number 20030NBF7						
COMPANY GUARNT GLB								
02 850% JAN 15 2023								
1000 0000	Sale	07/09/13	09/12/13	923.56	952.02	0.00	(28.46)	
4000 0000	Sale	07/09/13	10/15/13	3,803.08	3,808.08	0.00	(5.00)	
8000 0000	Sale	07/09/13	10/23/13	7,737.44	7,616.16	0.00	121.28	
Security Subtotal				12,464.08	12,376.26	0.00	87.82	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
COMCAST CORP		CUSIP Number	20030NAE1					
COMPANY GUARNT								
05 300% JAN 15 2014								
1000 0000	Sale	07/09/13	09/12/13	1,015 50	1,016 11	0 00	(0 61)	
2000 0000	Sale	07/09/13	10/15/13	2,023 40	2,023 76	0 00	(0 36)	
Security Subtotal				3,038 90	3,039 87	0 00	(0 97)	
DIRECTV HLDG/FIN INC		CUSIP Number	25459HAY1					
COMPANY GUARNT								
03 500% MAR 01 2016								
1000 0000	Sale	07/09/13	09/12/13	1,036 37	1,048 02	0 00	(11 65)	
3000 0000	Sale	07/09/13	10/15/13	3,136 26	3,139 08	0 00	(2 82)	
Security Subtotal				4 172 63	4,187 10	0 00	(14 47)	
ENTERPRISE PRODUCTS OPER		CUSIP Number	29379VAX1					
COMPANY GUARNT								
01 250% AUG 13 2015								
2000 0000	Sale	07/09/13	09/12/13	2,007 04	2,012 51	0 00	(5 47)	
4000 0000	Sale	07/09/13	10/15/13	4,014 60	4,023 89	0 00	(9 29)	
Security Subtotal				6,021 64	6,036 40	0 00	(14 76)	
GOLDMAN SACHS GROUP INC		CUSIP Number	38141GGQ1					
GLB								
05 250% JUL 27 2021								
2000 0000	Sale	07/09/13	09/12/13	2,110 62	2,149 97	0 00	(39 35)	
6000 0000	Sale	07/09/13	10/15/13	6,508 56	6,445 97	0 00	62 59	
Security Subtotal				8,619 18	8,595 94	0 00	23 24	
GOLDMAN SACHS GROUP INC		CUSIP Number	38141GFM1					
GLB								
06 150% APR 01 2018								
2000 0000	Sale	07/09/13	09/12/13	2,251 44	2,264 52	0 00	(13 08)	
2000 0000	Sale	07/09/13	10/15/13	2,296 22	2,260 11	0 00	36 11	
Security Subtotal				4,547 66	4 524 63	0 00	23 03	

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JP MORGAN CHASE SUBORDINATED		CUSIP Number	46625HDF4					
05 150% OCT 01 2015								
2000 0000	Sale	07/09/13	09/12/13	2,148.42	2,151.91	0.00	(3.49)	
4000 0000	Sale	07/09/13	10/15/13	4,300.36	4,291.94	0.00	8.42	
Security Subtotal				6,448.78	6,443.85	0.00	4.93	
KINDER MORGAN ENER PART GLB		CUSIP Number	494550BL9					
03 950% SEP 01 2022								
2000 0000	Sale	07/09/13	09/12/13	1,921.62	1,981.92	0.00	(60.30)	
4000 0000	Sale	07/09/13	10/15/13	3,892.32	3,963.84	0.00	(71.52)	
Security Subtotal				5,813.94	5,945.76	0.00	(131.82)	
KRAFT FOODS INC GLB		CUSIP Number	50075NBB9					
04 125% FEB 09 2016								
2000 0000	Sale	07/09/13	09/12/13	2,126.90	2,135.67	0.00	(8.77)	
4000 0000	Sale	07/09/13	10/15/13	4,269.12	4,261.71	0.00	7.41	
9000 0000	Sale	07/09/13	11/04/13	9,620.01	9,575.54	0.00	44.47	
Security Subtotal				16,016.03	15,972.92	0.00	43.11	
MORGAN STANLEY GLB		CUSIP Number	6174467U7					
02 125% APR 25 2018								
2000 0000	Sale	07/09/13	09/12/13	1,918.62	1,925.94	0.00	(7.32)	
4000 0000	Sale	07/09/13	10/15/13	3,912.68	3,851.88	0.00	60.80	
Security Subtotal				5,831.30	5,777.82	0.00	53.48	
METLIFE INC GLB		CUSIP Number	59156RAN8					
05 000% JUN 15 2015								
2000 0000	Sale	07/09/13	09/12/13	2,139.16	2,135.55	0.00	3.61	
3000 0000	Sale	07/09/13	10/15/13	3,208.20	3,193.38	0.00	14.82	
Security Subtotal				5,347.36	5,328.93	0.00	18.43	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FEDERAL NATL MTG ASSOC								
NOTES		CUSIP Number 3135G0BA0						
02 375% APR 11 2016								
56000 0000	Sale	08/02/12	07/05/13	58 404 53	58 835 34	0 00	(430 81)	
FEDERAL NATL MTG ASSOC								
NOTES		CUSIP Number 3135G0DW0						
00 625% OCT 30 2014								
2000 0000	Sale	07/09/13	09/12/13	2,009 00	2,008 31	0 00	0 69	
5000 0000	Sale	07/09/13	10/15/13	5,018 65	5,019 17	0 00	(0 52)	
Security Subtotal				7,027 65	7,027 48	0 00	0 17	
FEDERAL NATL MTG ASSOC								
NOTES		CUSIP Number 3135G0VA8						
00 500% MAR 30 2016								
3000 0000	Sale	07/09/13	09/12/13	2,981 19	2,985 69	0 00	(4 50)	
7000 0000	Sale	07/09/13	10/15/13	6,985 86	6,966 61	0 00	19 25	
Security Subtotal				9,967 05	9,952 30	0 00	14 75	
VERIZON COMMUNICATIONS								
GLB		CUSIP Number 92343VAX2						
04 600% APR 01 2021								
1000 0000	Sale	07/09/13	09/12/13	1,036 79	1,083 66	0 00	(46 87)	
4000 0000	Sale	07/09/13	10/15/13	4,240 32	4,331 22	0 00	(90 90)	
Security Subtotal				5 277 11	5 414 88	0 00	(137 77)	
WAL-MART STORES INC								
GLB		CUSIP Number 931142DE0						
00 600% APR 11 2016								
2000 0000	Sale	07/10/13	09/12/13	1,985 96	1,993 34	0 00	(7 38)	
4000 0000	Sale	07/10/13	10/16/13	3,992 16	3,986 68	0 00	5 48	
Security Subtotal				5,978 12	5,980 02	0 00	(1 90)	
WELLS FARGO & COMPANY								
GLB		CUSIP Number 94974BEZ9						
02 625% DEC 15 2016								
3000 0000	Sale	07/09/13	09/12/13	3,104 04	3,122 56	0 00	(18 52)	
5000 0000	Sale	07/09/13	10/15/13	5,209 10	5,199 30	0 00	9 80	
Security Subtotal				8,313 14	8,321 86	0 00	(8 72)	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WELLS FARGO & COMPANY		CUSIP Number 94974BFA3						
SER MTN GLB								
01 250% FEB 13 2015								
	2000 0000 Sale	07/09/13	09/12/13	2,010 22	2,013 05	0 00	(2 83)	
	5000 0000 Sale	07/09/13	10/15/13	5,039 90	5,030 77	0 00	9 13	
	Security Subtotal			7,050 12	7,043 82	0 00	6 30	
WATSON PHARMACEUTICALS I		CUSIP Number 942683AG8						
GLB								
01 875% OCT 01 2017								
	3000 0000 Sale	07/10/13	09/12/13	2,942 76	2,925 69	0 00	17 07	
	5000 0000 Sale	07/10/13	10/15/13	4,954 70	4,876 15	0 00	78 55	
	Security Subtotal			7,897 46	7,801 84	0 00	95 62	
WELLS FARGO & COMPANY		CUSIP Number 94974BFG0						
GLB								
01 500% JAN 16 2018								
	46000 0000 Sale	01/09/13	07/05/13	44,653 58	46,186 17	0 00	(1,532 59)	
USD RABOBANK UTRECHT		CUSIP Number 21686CAD2						
3 375% JAN 19 2017								
REDEEMED								
	1000 0000 Sale	07/09/13	09/12/13	1,051 43	1,049 62	0 00	1 81	
	3000 0000 Sale	07/09/13	10/15/13	3,186 27	3,145 36	0 00	40 91	
	Security Subtotal			4,237 70	4,194 98	0 00	42 72	
FEDERAL HOME LN MTG CORP		CUSIP Number 3137EADH9						
NOTES								
01 000% JUN 29 2017								
	3000 0000 Sale	07/09/13	09/12/13	2,955 45	2,967 93	0 00	(12 48)	
	9000 0000 Sale	07/09/13	10/15/13	8,957 79	8,903 79	0 00	54 00	
	Security Subtotal			11,913 24	11 871 72	0 00	41 52	
FEDERAL HOME LN MTG CORP		CUSIP Number 3134A4UU6						
NOTES SR MTN								
05 000% JUL 15 2014								
	1000 0000 Sale	07/09/13	09/12/13	1,039 92	1,039 66	0 00	0 26	
	3000 0000 Sale	07/09/13	10/15/13	3,105 90	3,106 60	0 00	(0 70)	
	Security Subtotal			4 145 82	4,146 26	0 00	(0 44)	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GENERAL ELEC CAP CORP CUSIP Number 36962G6R0								
	SER MTN 01 000% JAN 08 2016							
	7000 0000 Sale	01/09/13	07/05/13	6,956.32	6,998.74	0.00	(42.42)	
	3000 0000 Sale	01/09/13	09/12/13	2,986.38	2,999.46	0.00	(13.08)	
	7000 0000 Sale	01/09/13	10/15/13	7,003.99	6,998.74	0.00	5.25	
	Security Subtotal			16,946.69	16,996.94	0.00	(50.25)	
TOYOTA MOTOR CREDIT CORP CUSIP Number 89233P7E0								
	SER MTN 01 375% JAN 10 2018							
	2000 0000 Sale	07/09/13	09/12/13	1,945.24	1,950.92	0.00	(5.68)	
	6000 0000 Sale	07/09/13	10/15/13	5,913.00	5,852.76	0.00	60.24	
	Security Subtotal			7,858.24	7,803.68	0.00	54.56	
AMERICAN EXPRESS CREDIT CUSIP Number 0258M0DC0								
	SER MTN 02 800% SEP 19 2016							
	2000 0000 Sale	07/09/13	09/12/13	2,079.72	2,082.58	0.00	(2.86)	
	3000 0000 Sale	07/09/13	10/15/13	3,138.00	3,120.61	0.00	17.39	
	Security Subtotal			5,217.72	5,203.19	0.00	14.53	
ROYAL BANK OF CANADA CUSIP Number 78008T2C7								
	SER MTN GLB 01 150% MAR 13 2015							
	2000 0000 Sale	07/09/13	09/12/13	2,012.78	2,016.33	0.00	(3.55)	
	5000 0000 Sale	07/09/13	10/15/13	5,040.75	5,038.62	0.00	2.13	
	Security Subtotal			7,053.53	7,054.95	0.00	(1.42)	
PRUDENTIAL FINANCIAL INC CUSIP Number 74432QAE5								
	SER MTNB 05 100% SEP 20 2014							
	1000 0000 Sale	07/09/13	09/12/13	1,040.53	1,042.99	0.00	(2.46)	
	3000 0000 Sale	07/09/13	10/15/13	3,122.16	3,117.99	0.00	4.17	
	Security Subtotal			4,162.69	4,160.98	0.00	1.71	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
U S TREASURY NOTE								
CUSIP Number 912828VB3								
1	750% MAY 15 2023							
0	3							
	12000 0000 Sale	07/09/13	09/12/13	10,862 30	11 086 92	0 00	(224 62)	
	31000 0000 Sale	07/09/13	10/15/13	28,479 92	28,641 22	0 00	(161 30)	
	Security Subtotal			39 342 22	39,728 14	0 00	(385 92)	
U S TREASURY BOND								
CUSIP Number 912810QU5								
	3 125% FEB 15 2042							
	03 125% FEB 15 2042							
	29000 0000 Sale	09/10/12	07/05/13	26,343 44	30,778 42	0 00	(4,434 98)	
U S TREASURY NOTE								
CUSIP Number 912828SM3								
	1 000% MAR 31 2017							
	REDEEMED							
	5000 0000 Sale	07/09/13	09/12/13	4,977 91	4,990 84	0 00	(12 93)	
	13000 0000 Sale	07/09/13	10/15/13	13,051 25	12,976 18	0 00	75 07	
	Security Subtotal			18 029 16	17,967 02	0 00	62 14	
U S TREASURY NOTE								
CUSIP Number 912828VE7								
	1 000% MAY 31 2018							
	018							
	3000 0000 Sale	07/09/13	09/12/13	2,918 78	2,932 74	0 00	(13 96)	
	5000 0000 Sale	07/09/13	10/15/13	4,925 96	4,887 91	0 00	38 05	
	Security Subtotal			7,844 74	7 820 65	0 00	24 09	
U S TREASURY NOTE								
CUSIP Number 912828KQ2								
	3 125% MAY 15 2019							
	03 125% MAY 15 2019							
	4000 0000 Sale	07/09/13	09/12/13	4,265 77	4,298 82	0 00	(33 05)	
	10000 0000 Sale	07/09/13	10/15/13	10,795 66	10,736 47	0 00	59 19	
	Security Subtotal			15,061 43	15,035 29	0 00	26 14	
U S TREASURY NOTE								
CUSIP Number 912828LS7								
	2 375% OCT 31 2014							
	02 375% OCT 31 2014							
	3000 0000 Sale	07/09/13	09/12/13	3,073 82	3,072 29	0 00	1 53	
	6000 0000 Sale	07/09/13	10/15/13	6,135 21	6,133 72	0 00	1 49	
	Security Subtotal			9,209 03	9,206 01	0 00	3 02	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
<i>U.S. TREASURY NOTE</i>		<i>CUSIP Number 912828QC7</i>						
<i>1 250% APR 15 2014</i>								
<i>01 250% APR 15 2014</i>								
	8000 0000 Sale	07/09/13	09/12/13	8,054.97	8,067.53	0.00	(12.56)	
	18000 0000 Sale	07/09/13	10/15/13	18,096.97	18,151.94	0.00	(54.97)	
	42000 0000 Sale	07/09/13	11/26/13	42,175.41	42,354.51	0.00	(179.10)	
	<i>Security Subtotal</i>			68,327.35	68,573.98	0.00	(246.63)	
<i>U.S. TREASURY NOTE</i>		<i>CUSIP Number 912828PA2</i>						
<i>1 875% SEP 30 2017</i>								
<i>01 875% SEP 30 2017</i>								
	32000 0000 Sale	08/02/12	07/05/13	32,716.14	33,650.06	0.00	(933.92)	
<i>U.S. TREASURY NOTE</i>		<i>CUSIP Number 912828RC6</i>						
<i>2 125% AUG 15 2021</i>								
<i>02 125% AUG 15 2021</i>								
	16000 0000 Sale	10/26/12	07/05/13	15,656.81	16,696.36	0.00	(1,039.55)	
✓ Noncovered Short Term Capital Gains and Losses Subtotal				✓ 709,851.87	✓ 724,252.66	0.00	(14,400.79)	
NET SHORT TERM CAPITAL GAINS AND LOSSES				769,801.73	785,397.55	0.00	(15,595.82)	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c

COVERED TRANSACTIONS - 1099-B Line 6b (cost basis reported to the IRS)

<i>ABBOTT LABS</i>		<i>CUSIP Number 002824100</i>						
<i>REDEEMED</i>								
<i>COST BASIS REFLECTS TRAD</i>								
	63 0000 Sale	11/03/11	10/15/13	2,126.21	1,635.74	0.00	490.47	
<i>ABBVIE INC SHS</i>		<i>CUSIP Number 00287Y109</i>						
<i>REDEEMED</i>								
<i>COST BASIS REFLECTS TRAD</i>								
	83 0000 Sale	11/03/11	10/15/13	3,842.42	2,336.52	0.00	1,505.90	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AT&T INC REDEEMED		CUSIP Number	00206R102					
COST BASIS REFLECTS TRAD								
214 0000	Sale	11/03/11	10/15/13	7,248 93	6,335 49	0 00	913 44	
9 0000	Sale	11/03/11	11/04/13	326 51	266 45	0 00	60 06	
	Security Subtotal			7,575 44	6,601 94	0 00	973 50	
AMER EXPRESS COMPANY REDEEMED		CUSIP Number	025816109					
COST BASIS REFLECTS TRAD								
77 0000	Sale	11/03/11	10/15/13	5,842 66	3,996 03	0 00	1,846 63	
3 0000	Sale	11/03/11	11/04/13	245 58	155 69	0 00	89 89	
	Security Subtotal			6 088 24	4,151 72	0 00	1,936 52	
BANK OF NOVA SCOTIA REDEEMED		CUSIP Number	064149107					
COST BASIS REFLECTS TRAD								
206 0000	Sale	11/03/11	07/12/13	11,304 01	10,859 41	0 00	444 60	
88 0000	Sale	11/03/11	07/15/13	4,849 50	4,638 97	0 00	210 53	
	Security Subtotal			16,153 51	15 498 38	0 00	655 13	
BHP BILLITON LTD ADR REDEEMED		CUSIP Number	088606108					
COST BASIS REFLECTS TRAD								
150 0000	Sale	11/03/11	10/15/13	10 212 02	11,907 27	0 00	(1,695 25)	
5 0000	Sale	11/03/11	11/04/13	356 69	396 91	0 00	(40 22)	
	Security Subtotal			10,568 71	12,304 18	0 00	(1 735 47)	
BRISTOL-MYERS SQUIBB CO REDEEMED		CUSIP Number	110122108					
COST BASIS REFLECTS TRAD								
302 0000	Sale	11/03/11	10/15/13	14,397 24	9,630 78	0 00	4,766 46	
16 0000	Sale	11/03/11	11/04/13	846 23	510 24	0 00	335 99	
	Security Subtotal			15,243 47	10,141 02	0 00	5,102 45	
COMCAST CRP NEW CL A SPL REDEEMED		CUSIP Number	20030N200					
COST BASIS REFLECTS TRAD								



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CENTURYLINK INC SHS		CUSIP Number	156700106					
REDEEMED								
COST BASIS REFLECTS TRAD								
308 0000	Sale	11/03/11	07/08/13	10,904 52	11,345 18	0 00	(440 66)	
CANADIAN NATL RAILWAY CO		CUSIP Number	136375102					
REDEEMED								
COST BASIS REFLECTS TRAD								
207 0000	Sale	11/03/11	07/08/13	20,159 90	16,463 10	0 00	3,696 80	
CONSOL ENERGY INC COM		CUSIP Number	20854P109					
REDEEMED								
COST BASIS REFLECTS TRAD								
31 0000	Sale	11/03/11	09/24/13	1,059 28	1,381 52	0 00	(322 24)	
95 0000	Sale	11/03/11	09/25/13	3,218 62	4,233 71	0 00	(1,015 09)	
76 0000	Sale	11/03/11	09/26/13	2,566 72	3,386 96	0 00	(820 24)	
Security Subtotal				6,844 62	9,002 19	0 00	(2,157 57)	
CHEVRON CORP		CUSIP Number	166764100					
REDEEMED								
COST BASIS REFLECTS TRAD								
131 0000	Sale	07/02/11	10/15/13	15,586 56	13 634 15	0 00	1,952 41	
2 0000	Sale	07/02/11	11/04/13	235 03	208 15	0 00	26 88	
Security Subtotal				15,821 59	13,842 30	0 00	1 979 29	
CONOCOPHILLIPS		CUSIP Number	20825C104					
REDEEMED								
COST BASIS REFLECTS TRAD								
87 0000	Sale	11/03/11	10/15/13	6,275 20	4,754 01	0 00	1 521 19	
CATERPILLAR INC DEL		CUSIP Number	149123101					
REDEEMED								
COST BASIS REFLECTS TRAD								
305 0000	Sale	11/03/11	07/08/13	25,232 15	29,417 31	0 00	(4,185 16)	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CHUBB CORP		CUSIP Number 171232101						
	REDEEMED							
	COST BASIS REFLECTS TRAD							
26 0000	Sale	11/03/11	10/15/13	2 343 86	1 759 29	0 00	584 57	
4 0000	Sale	11/03/11	11/04/13	369 59	270 66	0 00	98 93	
	Security Subtotal			2,713 45	2,029 95	0 00	683 50	
COCA COLA COM		CUSIP Number 191216100						
	REDEEMED							
	COST BASIS REFLECTS TRAD							
192 0000	Sale	11/03/11	10/15/13	7 263 25	6 607 07	0 00	656 18	
	REDEEMED							
	COST BASIS REFLECTS TRAD							
94 0000	Sale	11/03/11	10/15/13	11,585 30	7,962 74	0 00	3,622 56	
9 0000	Sale	11/03/11	11/04/13	1,144 60	762 39	0 00	382 21	
	Security Subtotal			12,729 90	8,725 13	0 00	4,004 77	
DOMINION RES INC NEW VA		CUSIP Number 25746U109						
	REDEEMED							
	COST BASIS REFLECTS TRAD							
158 0000	Sale	11/03/11	10/15/13	9,814 00	8,201 78	0 00	1,612 22	
6 0000	Sale	11/03/11	11/04/13	383 69	311 46	0 00	72 23	
	Security Subtotal			10,197 69	8,513 24	0 00	1 684 45	
DEERE CO		CUSIP Number 244199105						
	REDEEMED							
	COST BASIS REFLECTS TRAD							
115 0000	Sale	11/03/11	10/15/13	9,509 18	8,752 65	0 00	756 53	
5 0000	Sale	11/03/11	11/04/13	408 49	380 55	0 00	27 94	
	Security Subtotal			9,917 67	9,133 20	0 00	784 47	
DOW CHEMICAL CO		CUSIP Number 260543103						
	REDEEMED							
	COST BASIS REFLECTS TRAD							
75 0000	Sale	11/03/11	10/15/13	3,042 70	2,160 28	0 00	882 42	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DU PONT E I DE NEMOURS		CUSIP Number	263534109					
REDEEMED								
COST BASIS REFLECTS TRAD								
215 0000	Sale	11/03/11	10/15/13	12,548 00	10,538 31	0 00	2,009 69	
REDEEMED								
COST BASIS REFLECTS TRAD								
184 0000	Sale	11/03/11	10/15/13	7,542 75	6,459 14	0 00	1,083 61	
7 0000	Sale	11/03/11	11/04/13	303 23	245 73	0 00	57 50	
Security Subtotal				7,845 98	6,704 87	0 00	1,141 11	
EXXON MOBIL CORP COM		CUSIP Number	30231G102					
REDEEMED								
COST BASIS REFLECTS TRAD								
171 0000	Sale	07/02/11	10/15/13	14,920 14	13,949 24	0 00	970 90	
12 0000	Sale	07/02/11	11/04/13	1,091 86	978 89	0 00	112 97	
Security Subtotal				16,012 00	14,928 13	0 00	1,083 87	
GENERAL ELECTRIC		CUSIP Number	369604103					
REDEEMED								
COST BASIS REFLECTS TRAD								
629 0000	Sale	11/03/11	10/15/13	15,311 35	10,564 31	0 00	4,747 04	
16 0000	Sale	11/03/11	11/04/13	422 07	268 73	0 00	153 34	
Security Subtotal				15,733 42	10,833 04	0 00	4 900 38	
GENERAL MILLS		CUSIP Number	370334104					
REDEEMED								
COST BASIS REFLECTS TRAD								
96 0000	Sale	07/02/11	10/15/13	4,607 92	3,564 96	0 00	1,042 96	
5 0000	Sale	07/02/11	11/04/13	252 34	185 68	0 00	66 66	
Security Subtotal				4,860 26	3,750 64	0 00	1,109 62	
HONEYWELL INTL INC DEL		CUSIP Number	438516106					
REDEEMED								
COST BASIS REFLECTS TRAD								
83 0000	Sale	07/02/11	10/15/13	7 088 96	4,966 97	0 00	2,121 99	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HOME DEPOT INC REDEEMED COST BASIS REFLECTS TRAD		CUSIP Number 437076102						
128 0000	Sale	11/03/11	10/15/13	10,425 73	5,051 63	0 00	5,374 10	
6 0000	Sale	11/03/11	11/04/13	460 49	219 64	0 00	240 85	
	Security Subtotal			10,886 22	5,271 27	0 00	5,614 95	
INTEL CORP REDEEMED COST BASIS REFLECTS TRAD		CUSIP Number 458140100						
185 0000	Sale	11/03/11	10/15/13	4,336 89	4,502 36	0 00	(165 47)	
INTL BUSINESS MACHINES CORP IBM REDEEMED		CUSIP Number 459200101						
50 0000	Sale	11/03/11	10/15/13	9,261 34	9,390 00	0 00	(128 66)	
4 0000	Sale	11/03/11	11/04/13	718 95	751 20	0 00	(32 25)	
	Security Subtotal			9,980 29	10,141 20	0 00	(160 91)	
JPMORGAN CHASE & CO REDEEMED COST BASIS REFLECTS TRAD		CUSIP Number 46625H100						
371 0000	Sale	11/03/11	10/15/13	19,538 04	12,848 62	0 00	6,689 42	
10 0000	Sale	11/03/11	11/04/13	522 99	346 32	0 00	176 67	
	Security Subtotal			20,061 03	13,194 94	0 00	6,866 09	
JOHNSON AND JOHNSON COM REDEEMED COST BASIS REFLECTS TRAD		CUSIP Number 478160104						
106 0000	Sale	07/02/11	10/15/13	9,560 13	7,122 94	0 00	2,437 19	
JOHNSON CONTROLS INC REDEEMED COST BASIS REFLECTS TRAD		CUSIP Number 478366107						
98 0000	Sale	11/03/11	10/15/13	4,100 25	3,227 50	0 00	872 75	
8 0000	Sale	11/03/11	11/04/13	373 67	263 47	0 00	110 20	
	Security Subtotal			4,473 92	3,490 97	0 00	982 95	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
KIMBERLY CLARK		CUSIP Number 494368103						
REDEEMED								
COST BASIS REFLECTS TRAD								
62 0000	Sale	11/03/11	10/15/13	5,982.28	4,325.38	0.00	1,656.90	
3 0000	Sale	11/03/11	11/04/13	324.44	209.29	0.00	115.15	
Security Subtotal				6,306.72	4,534.67	0.00	1,772.05	
LORILLARD INC		CUSIP Number 544147101						
REDEEMED								
COST BASIS REFLECTS TRAD								
152 0000	Sale	11/03/11	10/15/13	7,078.54	5,590.05	0.00	1,488.49	
7 0000	Sale	11/03/11	11/04/13	358.60	257.44	0.00	101.16	
Security Subtotal				7,437.14	5,847.49	0.00	1,589.65	
L BRANDS INC		CUSIP Number 501797104						
REDEEMED								
COST BASIS REFLECTS TRAD								
347 0000	Sale	11/03/11	07/08/13	17,441.62	14,984.29	0.00	2,457.33	
MARATHON OIL CORP		CUSIP Number 565849106						
REDEEMED								
COST BASIS REFLECTS TRAD								
140 0000	Sale	07/02/11	10/15/13	4,879.48	2,787.41	0.00	2,092.07	
MEADWESTVACO CORP		CUSIP Number 583334107						
REDEEMED								
COST BASIS REFLECTS TRAD								
159 0000	Sale	11/03/11	10/15/13	5,986.27	4,083.74	0.00	1,902.53	
8 0000	Sale	11/03/11	11/04/13	281.03	205.47	0.00	75.56	
Security Subtotal				6,267.30	4,289.21	0.00	1,978.09	
MERCK AND CO INC SHS		CUSIP Number 58933Y105						
REDEEMED								
COST BASIS REFLECTS TRAD								
224 0000	Sale	07/02/11	10/15/13	10,463.71	7,966.56	0.00	2,497.15	
15 0000	Sale	07/02/11	11/04/13	687.59	533.48	0.00	154.11	
Security Subtotal				11,151.30	8,500.04	0.00	2,651.26	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MARATHON PETROLEUM CORP		CUSIP Number	56585A102					
REDEEMED								
COST BASIS REFLECTS TRAD								
68 0000	Sale	07/02/11	10/15/13	4,647 72	1 835 09	0 00	2,812 63	
REDEEMED								
COST BASIS REFLECTS TRAD								
119 0000	Sale	07/02/11	10/15/13	11,168 26	10,159 78	0 00	1,008 48	
7 0000	Sale	07/02/11	11/04/13	678 64	597 63	0 00	81 01	
Security Subtotal				11,846 90	10,757 41	0 00	1,089 49	
MICROSOFT CORP		CUSIP Number	594918104					
REDEEMED								
COST BASIS REFLECTS TRAD								
198 0000	Sale	07/02/11	10/15/13	6,877 03	5,162 85	0 00	1 714 18	
6 0000	Sale	12/07/11	10/15/13	208 40	153 75	0 00	54 65	
Security Subtotal				7,085 43	5,316 60	0 00	1,768 83	
NEXTERA ENERGY INC SHS		CUSIP Number	65339F101					
REDEEMED								
COST BASIS REFLECTS TRAD								
120 0000	Sale	11/03/11	10/15/13	9,675 75	6,918 00	0 00	2,757 75	
3 0000	Sale	11/03/11	11/04/13	263 39	172 95	0 00	90 44	
Security Subtotal				9 939 14	7,090 95	0 00	2,848 19	
NORTHEAST UTILITIES COM		CUSIP Number	664397106					
REDEEMED								
COST BASIS REFLECTS TRAD								
114 0000	Sale	11/03/11	10/15/13	4,703 85	3,986 01	0 00	717 84	
NORTHROP GRUMMAN CORP		CUSIP Number	666807102					
REDEEMED								
COST BASIS REFLECTS TRAD								
71 0000	Sale	11/03/11	10/15/13	6,931 61	4,093 15	0 00	2,838 46	
5 0000	Sale	11/03/11	11/04/13	543 74	288 25	0 00	255 49	
Security Subtotal				7 475 35	4,381 40	0 00	3 093 95	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
OCIDENTAL PETE CORP CAL		CUSIP Number	674599105					
REDEEMED								
COST BASIS REFLECTS TRAD								
94 0000	Sale	11/03/11	10/15/13	9 025 73	8 963 84	0 00	61 89	
5 0000	Sale	11/03/11	11/04/13	483 89	476 80	0 00	7 09	
Security Subtotal				9,509 62	9,440 64	0 00	68 98	
PRUDENTIAL FINANCIAL INC		CUSIP Number	744320102					
REDEEMED								
COST BASIS REFLECTS TRAD								
100 0000	Sale	11/03/11	10/15/13	8 029 86	5,300 50	0 00	2 729 36	
22 0000	Sale	12/01/11	10/15/13	1,766 57	1,308 42	0 00 (Y)	458 15	
19 0000	Sale	08/29/12	10/15/13	1,525 68	1,038 50	0 00	487 18	
3 0000	Sale	08/29/12	11/04/13	242 72	163 97	0 00	78 75	
Security Subtotal				11,564 83	7,811 39	0 00	3,753 44	
PEABODY ENERGY CORP COM		CUSIP Number	704549104					
REDEEMED								
COST BASIS REFLECTS TRAD								
216 0000	Sale	11/03/11	07/08/13	3,280 98	9,268 97	0 00	(5,987 99)	
PHILIP MORRIS INTL INC		CUSIP Number	718172109					
REDEEMED								
COST BASIS REFLECTS TRAD								
141 0000	Sale	11/03/11	10/15/13	12,106 04	10,003 30	0 00	2,102 74	
8 0000	Sale	11/03/11	11/04/13	718 71	567 56	0 00	151 15	
Security Subtotal				12,824 75	10 570 86	0 00	2,253 89	
PHILLIPS 66 SHS		CUSIP Number	718546104					
REDEEMED								
COST BASIS REFLECTS TRAD								
41 0000	Sale	11/03/11	10/15/13	2 452 17	1,332 62	0 00	1 119 55	
PFIZER INC		CUSIP Number	717081103					
REDEEMED								
COST BASIS REFLECTS TRAD								
337 0000	Sale	11/03/11	10/15/13	9,824 66	6,748 56	0 00	3,076 10	
19 0000	Sale	11/03/11	11/04/13	593 18	380 48	0 00	212 70	
Security Subtotal				10,417 84	7,129 04	0 00	3,288 80	



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PRAXAIR INC		CUSIP Number	74005P104					
REDEEMED								
COST BASIS REFLECTS TRAD								
62 0000	Sale	11/03/11	10/15/13	7 531 01	6 332 06	0 00	1 198 95	
2 0000	Sale	11/03/11	11/04/13	247 07	204 26	0 00	42 81	
Security Subtotal				7 778 08	6,536 32	0 00	1,241 76	
PROCTER & GAMBLE CO		CUSIP Number	742718109					
REDEEMED								
COST BASIS REFLECTS TRAD								
125 0000	Sale	07/02/11	10/15/13	9,756 56	8,014 69	0 00	1 741 87	
6 0000	Sale	07/02/11	10/15/13	486 95	384 71	0 00	102 24	
Security Subtotal				10,243 51	8,399 40	0 00	1,844 11	
PUB. SVC. ENTERPRISE GRP		CUSIP Number	744573106					
REDEEMED								
COST BASIS REFLECTS TRAD								
86 0000	Sale	11/03/11	10/15/13	2,876 66	2,968 29	0 00	(91 63)	
7 0000	Sale	11/03/11	11/04/13	237 64	241 61	0 00	(3 97)	
Security Subtotal				3,114 30	3,209 90	0 00	(95 60)	
RIO TINTO PLC SPNSRD ADR		CUSIP Number	767204100					
REDEEMED								
COST BASIS REFLECTS TRAD								
227 0000	Sale	11/03/11	07/08/13	9 109 35	12,742 58	0 00	(3 633 23)	
RAYTHEON CO DELAWARE NEW		CUSIP Number	755111507					
REDEEMED								
COST BASIS REFLECTS TRAD								
153 0000	Sale	11/03/11	10/15/13	11 623 79	6,803 91	0 00	4 819 88	
9 0000	Sale	11/03/11	11/04/13	756 35	400 23	0 00	356 12	
Security Subtotal				12,380 14	7,204 14	0 00	5 176 00	
SIMPRA ENERGY		CUSIP Number	816851109					
REDEEMED								
COST BASIS REFLECTS TRAD								
59 0000	Sale	11/03/11	10/15/13	5,085 12	3,163 28	0 00	1,921 84	
3 0000	Sale	11/03/11	11/04/13	274 93	160 84	0 00	114 09	
Security Subtotal				5,360 05	3,324 12	0 00	2,035 93	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SCHLUMBERGER LTD CUSIP Number 806857108								
	REDEEMED COST BASIS REFLECTS TRAD							
72 0000	Sale	11/03/11	10/15/13	6,560 54	5 489 64	0 00	1,070 90	
3 0000	Sale	11/03/11	11/04/13	280 31	228 73	0 00	51 58	
	Security Subtotal			6 840 85	5,718 37	0 00	1,122 48	
TOTAL S A SP ADR CUSIP Number 89151E109								
	REDEEMED COST BASIS REFLECTS TRAD							
148 0000	Sale	11/03/11	10/15/13	8,829 17	7,776 79	0 00	1 052 38	
3M COMPANY CUSIP Number 88579Y101								
	REDEEMED COST BASIS REFLECTS TRAD							
61 0000	Sale	07/02/11	10/15/13	7 360 13	5,870 33	0 00	1,489 80	
3 0000	Sale	07/02/11	11/04/13	377 93	288 71	0 00	89 22	
	Security Subtotal			7,738 06	6,159 04	0 00	1 579 02	
TRAVELERS COS INC CUSIP Number 89417E109								
	REDEEMED COST BASIS REFLECTS TRAD							
37 0000	Sale	11/03/11	10/15/13	3,133 50	2,169 88	0 00	963 62	
8 0000	Sale	11/03/11	11/04/13	692 39	469 16	0 00	223 23	
	Security Subtotal			3 825 89	2,639 04	0 00	1,186 85	
TORONTO DOMINION BANK CUSIP Number 891160509								
	REDEEMED COST BASIS REFLECTS TRAD							
65 0000	Sale	11/03/11	10/15/13	5,768 00	4,784 00	0 00	984 00	
4 0000	Sale	11/03/11	11/04/13	367 23	294 40	0 00	72 83	
	Security Subtotal			6,135 23	5,078 40	0 00	1 056 83	
UNILEVER NV NY REG SHS CUSIP Number 904784709								
	REDEEMED COST BASIS REFLECTS TRAD							
267 0000	Sale	11/03/11	10/15/13	10 055 21	9,159 70	0 00	895 51	
13 0000	Sale	11/03/11	11/04/13	512 32	445 98	0 00	66 34	
40 0000	Sale	11/03/11	11/19/13	1 563 78	1,372 24	0 00	191 54	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
U PILEVER NV NY REG SHS								
	CUSIP Number 904784709							
	REDEEMED							
	COST BASIS REFLECTS TRAD							
72 0000	Sale	11/03/11	11/20/13	2,819 84	2,470 03	0 00	349 81	
60 0000	Sale	11/03/11	11/21/13	2,332 65	2,058 36	0 00	274 29	
	Security Subtotal			17,283 80	15,506 31	0 00	1,777 49	
U S BANCORP (NEW)								
	CUSIP Number 902973304							
	REDEEMED							
	COST BASIS REFLECTS TRAD							
225 0000	Sale	11/03/11	10/15/13	8,368 48	5,794 29	0 00	2,574 19	
9 0000	Sale	11/03/11	11/04/13	337 04	231 77	0 00	105 27	
	Security Subtotal			8,705 52	6,026 06	0 00	2,679 46	
U NITED TECHS CORP COM								
	CUSIP Number 913017109							
	REDEEMED							
	COST BASIS REFLECTS TRAD							
132 0000	Sale	11/03/11	10/15/13	14,047 53	10,391 04	0 00	3,656 49	
6 0000	Sale	11/03/11	11/04/13	645 71	472 32	0 00	173 39	
	Security Subtotal			14,693 24	10,863 36	0 00	3,829 88	
V F CORPORATION								
	CUSIP Number 918204108							
	REDEEMED							
	COST BASIS REFLECTS TRAD							
29 0000	Sale	11/03/11	09/20/13	5,876 11	4,094 07	0 00	1,782 04	
26 0000	Sale	11/03/11	09/23/13	5,251 64	3,670 55	0 00	1,581 09	
46 0000	Sale	11/03/11	10/15/13	9,021 36	6,494 05	0 00	2,527 31	
2 0000	Sale	11/03/11	11/04/13	435 85	282 35	0 00	153 50	
	Security Subtotal			20,584 96	14,541 02	0 00	6,043 94	
V ERIZON COMMUNICATNS COM								
	CUSIP Number 92343V104							
	REDEEMED							
	COST BASIS REFLECTS TRAD							
319 0000	Sale	07/02/11	10/15/13	14,863 26	12,008 36	0 00	2,854 90	
19 0000	Sale	07/02/11	11/04/13	966 51	715 23	0 00	251 28	
	Security Subtotal			15,829 77	12,723 59	0 00	3,106 18	



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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VODAFONE GROU PLC SP ADR		CUSIP Number	92857W209					
REDEEMED								
COST BASIS REFLECTS TRAD								
404 0000	Sale	11/03/11	07/08/13	11,624 90	11,390 09	0 00	234 81	
ACE LIMITED		CUSIP Number	H0023R105					
REDEEMED								
COST BASIS REFLECTS TRAD								
50 0000	Sale	07/02/11	10/15/13	4,718 92	3,295 37	0 00	1,423 55	
3 0000	Sale	07/02/11	11/04/13	288 86	197 72	0 00	91 14	
Security Subtotal				5,007 78	3 493 09	0 00	1,514 69	
WAL-MART STORES INC		CUSIP Number	931142103					
REDEEMED								
COST BASIS REFLECTS TRAD								
91 0000	Sale	11/03/11	10/15/13	6,785 75	5,236 59	0 00	1,549 16	
4 0000	Sale	11/03/11	11/04/13	310 03	230 18	0 00	79 85	
Security Subtotal				7,095 78	5,466 77	0 00	1,629 01	
WEYERHAEUSER CO		CUSIP Number	962166104					
REDEEMED								
COST BASIS REFLECTS TRAD								
252 0000	Sale	11/03/11	10/15/13	7,317 98	4,461 76	0 00	2,856 22	
14 0000	Sale	11/03/11	11/04/13	425 87	247 88	0 00	177 99	
Security Subtotal				7,743 85	4 709 64	0 00	3 034 21	
WELLS FARGO & CO NEW DEL		CUSIP Number	949746101					
REDEEMED								
COST BASIS REFLECTS TRAD								
395 0000	Sale	11/03/11	10/15/13	16,492 46	10,263 05	0 00	6,229 41	
11 0000	Sale	11/03/11	11/04/13	468 37	285 81	0 00	182 56	
Security Subtotal				16,960 83	10 548 86	0 00	6 411 97	
Covered Long Term Capital Gains and Losses Subtotal				✓ 684,639 47	✓ 560,388 62	0 00	124,250 85	

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NONCOVERED TRANSACTIONS - 1099-B Line 6a (cost basis not reported to the IRS)								
AT&T INC GLB 05 500% FEB 01 2018		CUSIP Number 00206RAJ1						
	49000 0000 Sale	11/07/11	07/05/13	55,597 85	55,032 11	0 00	565 74	
CITIGROUP INC GLB 04 500% JAN 14 2022		CUSIP Number 172967FT3						
	25000 0000 Sale	11/17/11	07/05/13	25,748 50	23,929 50	0 00	1,819 00	
CREDIT SUISSE FB USA INC BANK GUARANTEED GLB 04 875% JAN 15 2015		CUSIP Number 22541LAR4						
	42000 0000 Sale	11/07/11	07/05/13	44,482 20	43,258 18	0 00	1,224 02	
CISCO SYSTEMS INC GLB 04 950% FEB 15 2019		CUSIP Number 17275RAE2						
	55000 0000 Sale	11/07/11	07/05/13	62,060 90	62 018 92	0 00	41 98	
DEERE & COMPANY - 02 600% JUN 08 2022		CUSIP Number 244199BE4						
	68000 0000 Sale	06/12/12	07/08/13	64,098 84	68,301 29	0 00	(4 202 45)	
GOLDMAN SACHS GROUP INC GLB 05 350% JAN 15 2016		CUSIP Number 38141GEE0						
	57000 0000 Sale	11/07/11	07/05/13	61,744 68	58 956 46	0 00	2 788 22	
JPMORGAN CHASE & CO SUBORDINATED GLB 05 125% SEP 15 2014		CUSIP Number 46625HBV1						
	57000 0000 Sale	11/07/11	07/05/13	59,586 66	58,586 91	0 00	999 75	
VERIZON COMMUNICATIONS GLB 03 000% APR 01 2016		CUSIP Number 92343VAY0						
	58000 0000 Sale	11/07/11	07/05/13	60,522 42	60,017 34	0 00	505 08	



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SHELL INTERNATIONAL FIN COMPANY GUARNT GLB 04 300% SEP 22 2019 79000 0000 Sale		CUSIP Number 822582AJ1	11/07/11	07/08/13	86,666.95	88,441.95	0.00	(1,775.00)
FEDERAL NATL MTG ASSOC NOTES 05 375% JUN 12 2017 58000 0000 Sale		CUSIP Number 31398ADM1	11/07/11	07/05/13	66,792.80	66,795.91	0.00	(3.11)
FEDERAL HOME LN MTG CORP NOTES 04 875% NOV 15 2013 74000 0000 Sale		CUSIP Number 3134A4UK8	11/07/11	07/05/13	75,209.60	75,145.92	0.00	63.68
U.S. TREASURY NOTE 1 000% OCT 31 2016 01 000% OCT 31 2016 55000 0000 Sale		CUSIP Number 912828RM4	11/17/11	07/05/13	55,111.53	55,238.99	0.00	(127.46)
U.S. TREASURY NOTE 2 000% FEB 15 2022 02 000% FEB 15 2022 96000 0000 Sale		CUSIP Number 912828SF8	02/27/12	07/05/13	92,174.62	96,633.02	0.00	(4,458.40)
U.S. TREASURY BOND 3 125% FEB 15 2042 03 125% FEB 15 2042 66000 0000 Sale		CUSIP Number 912810QU5	02/24/12	07/05/13	59,954.03	66,190.66	0.00	(6,236.63)
U.S. TREASURY BOND 4 500% FEB 15 2036 04 500% FEB 15 2036 45000 0000 Sale		CUSIP Number 912810FT0	11/07/11	07/05/13	52,168.18	56,631.98	0.00	(4,463.80)
			06/21/12	07/05/13	8,115.05	9,452.03	0.00	(1,336.98)
Security Subtotal					60,283.23	66,084.01	0.00	(5,800.78)
U.S. TREASURY NOTE 2 375% AUG 31 2014 02 375% AUG 31 2014 14000 0000 Sale		CUSIP Number 912828LK4	11/07/11	07/05/13	14,346.12	14,320.48	0.00	25.64



Account No
706-74013

Taxpayer No
45-5228961

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BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
U S TREASURY NOTE 3 500% MAY 15 2020 03 500% MAY 15 2020 66000 0000 Sale		CUSIP Number 912828ND8 11/07/11 07/05/13		71,955 20	73,615 31	0 00	(1 660 11)	
U S TREASURY NOTE 2 625% AUG 15 2020 02 625% AUG 15 2020 60000 0000 Sale		CUSIP Number 912828NT3 11/07/11 07/05/13		6,173 88	6 333 81	0 00	(159 93)	
U S TREASURY NOTE 1 250% SEP 30 2015 01 250% SEP 30 2015 10000 0000 Sale 23000 0000 Sale		CUSIP Number 912828NZ9 11/07/11 09/12/13 11/07/11 10/15/13		10,158 95 23,400 63	10,136 30 23,300 48	0 00 0 00	22 65 100 15	
Security Subtotal				33,559 58	33,436 78	0 00	122 80	
U S TREASURY NOTE 2 000% APR 30 2016 02 000% APR 30 2016 67000 0000 Sale		CUSIP Number 912828QF0 11/07/11 07/05/13		69,373 56	69,395 76	0 00	(22 20)	
✓ Noncovered Long Term Capital Gains and Losses Subtotal				✓ 1,125,443 15	✓ 1,141,733 31	0 00	(16,290 16)	
NET LONG TERM CAPITAL GAINS AND LOSSES				1,810,082 62	1,702,121 93	0 00	107,960 69	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				2,579,884 35				
TOTAL REPORTED SALES PROCEEDS				2,579,884 35				

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales "

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
(1,195 03)	(14,400 79)	124,250 85	(16,290 16)