



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation
THE PATRICIA S. HEYMAN FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)
500 WEST PUTNAM AVENUE

City or town, state or province, country, and ZIP or foreign postal code
GREENWICH, CT 06830

Room/suite

A Employer identification number
45-4301952

B Telephone number
203-869-3800

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change

H Check type of organization. ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ **7,300,514.59**

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify)

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	3,950,219.46			
2	Check ☒ if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments	52,677.37	52,677.37	52,677.37	STATEMENT 1
3	Dividends and interest from securities	174,175.72	174,175.72	174,175.72	STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	544,373.66			
b	Gross sales price for all assets on line 6a	5,403,922.16			
7	Capital gain net income (from Part IV, line 2)		544,373.66		
8	Net short-term capital gain			544,373.66	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	4,721,446.21	771,226.75	771,226.75	
13	Compensation of officers, directors, trustees, etc	45,000.00	0.00	0.00	0.00
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees	STMT 3 30,074.28	30,074.28	0.00	0.00
17	Interest				
18	Taxes	STMT 4 892.40	0.00	0.00	0.00
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses				
24	Total operating and administrative expenses. Add lines 13 through 23	75,966.68	30,074.28	0.00	0.00
25	Contributions, gifts, grants paid	195,066.00			195,066.00
26	Total expenses and disbursements. Add lines 24 and 25	271,032.68	30,074.28	0.00	195,066.00
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	4,450,413.53			
b	Net investment income (if negative, enter -0-)		741,152.47		
c	Adjusted net income (if negative, enter -0-)			771,226.75	

323501
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

SCANNED OCT 23 2014

Revenue

Operating and Administrative Expenses

RECEIVED

OCT 20 2014

GREENWICH, CT

IRS-OSC

7/12/2014

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		171,308.74	296,619.87	296,619.87
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 5		157,944.97	87,535.79	82,517.98
	b	Investments - corporate stock STMT 6		1,238,092.51	5,588,172.18	6,244,222.49
	c	Investments - corporate bonds STMT 7		649,609.02	692,298.66	677,154.25
	11	Investments - land, buildings, and equipment basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		2,216,955.24	6,664,626.50	7,300,514.59	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.00	0.00		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.00	0.00	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.00	0.00	
	29	Retained earnings, accumulated income, endowment, or other funds		2,216,955.24	6,664,626.50	
30	Total net assets or fund balances		2,216,955.24	6,664,626.50		
31	Total liabilities and net assets/fund balances		2,216,955.24	6,664,626.50		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,216,955.24
2	Enter amount from Part I, line 27a	2	4,450,413.53
3	Other increases not included in line 2 (itemize) ▶	3	0.00
4	Add lines 1, 2, and 3	4	6,667,368.77
5	Decreases not included in line 2 (itemize) ▶	5	0.00
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,667,368.77

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	P	VARIOUS	VARIOUS
b SEE ATTACHED SCHEDULE B-1	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 3,288,579.06		2,646,015.83	642,563.23
b 2,115,343.10		2,213,532.67	<98,189.57>
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			642,563.23
b			<98,189.57>
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	544,373.66
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	544,373.66

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	0.00	2,158,138.98	.000000
2011			
2010			
2009			
2008			

2 Total of line 1, column (d)	2	.000000
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.000000
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	8,211,978.32
5 Multiply line 4 by line 3	5	0.00
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	7,411.52
7 Add lines 5 and 6	7	7,411.52
8 Enter qualifying distributions from Part XII, line 4	8	195,066.00

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2013 estimated tax payments and 2012 overpayment credited to 2013

b Exempt foreign organizations - tax withheld at source

c Tax paid with application for extension of time to file (Form 8868)

d Backup withholding erroneously withheld

7 Total credits and payments. Add lines 6a through 6d

8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐

Refunded ☐

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?

If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file Form 1120-POL for this year?

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:

(1) On the foundation. ☐ \$ 0.00 (2) On foundation managers. ☐ \$ 0.00

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.00

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If "Yes," attach a detailed description of the activities

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes

4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

b If "Yes," has it filed a tax return on Form 990-T for this year?

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

If "Yes," attach the statement required by General Instruction T

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV

8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐

CT

b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		X
Website address N/A				
14	The books are in care of WHITMAN BREED ABBOTT & MORGAN LLC Telephone no. 203-869-3800			
	Located at 500 PUTNAM AVENUE, GREENWICH, CT ZIP+4 06830			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No	
	If "Yes," list the years		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

☐ Yes ☒ No

N/A

7b

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARRY E. PEDEN III	DIRECTOR			
500 WEST PUTNAM AVENUE				
GREENWICH, CT 06830	0.00	15,000.00	0.00	0.00
CHARLES E. COATES III	DIRECTOR			
500 WEST PUTNAM AVENUE				
GREENWICH, CT 06830	0.00	15,000.00	0.00	0.00
VAUGHN M. DUNN	DIRECTOR			
500 WEST PUTNAM AVENUE				
GREENWICH, CT 06830	0.00	15,000.00	0.00	0.00

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	7,209,984.14
b Average of monthly cash balances	1b	1,127,049.69
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	8,337,033.83
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.00
2 Acquisition indebtedness applicable to line 1 assets	2	0.00
3 Subtract line 2 from line 1d	3	8,337,033.83
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	125,055.51
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,211,978.32
6 Minimum investment return. Enter 5% of line 5	6	410,598.92

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	
2a Tax on investment income for 2013 from Part VI, line 5	2a	
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	195,066.00
b Program-related investments - total from Part IX-B	1b	0.00
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	195,066.00
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	7,411.52
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	187,654.48

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years:				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

08/07/12

- b Check box to indicate whether the foundation is a private operating foundation described in section

☒ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
410,598.92	10,004.62	0.00	0.00	420,603.54
349,009.08	8,503.93	0.00	0.00	357,513.01
195,066.00	0.00	0.00	0.00	195,066.00
0.00	0.00	0.00	0.00	0.00
195,066.00	0.00	0.00	0.00	195,066.00
				0.00
				0.00
				0.00
				0.00
				0.00
				0.00
				0.00

- b 85% of line 2a
- c Qualifying distributions from Part XII, line 4 for each year listed
- d Amounts included in line 2c not used directly for active conduct of exempt activities
- e Qualifying distributions made directly for active conduct of exempt activities.
- Subtract line 2d from line 2c
- 3 Complete 3a, b, or c for the alternative test relied upon:
- a "Assets" alternative test - enter:
- (1) Value of all assets
- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
- b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
- c "Support" alternative test - enter:
- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
- (3) Largest amount of support from an exempt organization
- (4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 8

- b The form in which applications should be submitted and information and materials they should include:

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
UNIVERSITY OF CONNECTICUT STORRS, CT			SCHOLARSHIP FUNDS	876.00
THE STANWICH SCHOOL GREENWICH, CT				194,190.00
Total			3a	195,066.00
b Approved for future payment				
NONE				
Total			3b	0.00

Form 990-PF (2013)

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
SEE ATTACHED SCHEDULE A-4	52,677.37	52,677.37	52,677.37
TOTAL TO PART I, LINE 3	52,677.37	52,677.37	52,677.37

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SEE ATTACHED SCHEDULE A-3	174,175.72	0.00	174,175.72	174,175.72	174,175.72
TO PART I, LINE 4	174,175.72	0.00	174,175.72	174,175.72	174,175.72

FORM 990-PF

OTHER PROFESSIONAL FEES

STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
JPMORGAN CHASE MANAGEMENT FEE	3,489.20	3,489.20	0.00	0.00
MORGAN STANLEY MANAGEMENT FEES	26,585.08	26,585.08	0.00	0.00
TO FORM 990-PF, PG 1, LN 16C	30,074.28	30,074.28	0.00	0.00

FORM 990-PF

TAXES

STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	892.40	0.00	0.00	0.00
TO FORM 990-PF, PG 1, LN 18	892.40	0.00	0.00	0.00

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	5
-------------	--	-----------	---

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT OBLIGATIONS	X		67,955.24	64,603.98
STATE & MUNICIPAL BONDS		X	19,580.55	17,914.00
TOTAL U.S. GOVERNMENT OBLIGATIONS			67,955.24	64,603.98
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			19,580.55	17,914.00
TOTAL TO FORM 990-PF, PART II, LINE 10A			87,535.79	82,517.98

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	5,588,172.18	6,244,222.49
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,588,172.18	6,244,222.49

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
-------------	-----------------	-----------	---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	692,298.66	677,154.25
TOTAL TO FORM 990-PF, PART II, LINE 10C	692,298.66	677,154.25

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 8

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

HARRY E. PEDEN III
500 WEST PUTNAM AVENUE
GREENWICH, CT 06830

TELEPHONE NUMBER

203-389-3800

EMAIL ADDRESS

HPEDEN@WBAMCT.COM

FORM AND CONTENT OF APPLICATIONS

AN APPLICATION TOGETHER WITH ANY INFORMATION WHICH, IN THE DETERMINATION OF THE APPLICATION, WILL ASSIST THE DIRECTORS IN UNDERSTANDING THE PURPOSES AND GOALS OF THE ORGANIZATION AND IN PARTICULAR THE PROGRAM FOR WHICH FUNDING IS BEING REQUESTED. AN APPLICATION CAN BE REQUESTED BY SENDING AN EMAIL TO HPEDEN@WBAMCT.COM

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

MISSION STATEMENT AND GUIDELINES ATTACHED AS EXHIBIT

Schedule A-4

INTEREST RECEIVED

Aegon NV Senior Notes

4.625% Due 12/01/15

02/25/13	Interest Rec'd on	\$50,000.00		\$539.58
----------	-------------------	-------------	--	----------

AES Corporation Senior Note

8% Due 06/01/20

06/03/13	Interest Rec'd on	\$5,000.00	\$200.00	
12/02/13	Interest Rec'd on	\$5,000.00	<u>200.00</u>	400.00

American Axle & Manufacturing

6.625% Due 10/15/22

04/15/13	Interest Rec'd on	\$5,000.00	\$191.39	
06/03/13	Interest Rec'd on	\$5,000.00	<u>44.17</u>	
			\$235.56	
11/08/13	Less: Interest Paid on Purchase		<u>21.16-</u>	214.40

American Tower Corporation

4.5% Due 01/15/18

01/15/13	Interest Rec'd on	\$10,000.00	\$225.00	
04/02/13	Interest Rec'd on	\$10,000.00	<u>96.25</u>	321.25

Amkor Technology, Inc.

Senior Notes

7.375% Due 05/01/18

05/01/13	Interest Rec'd on	\$10,000.00	\$368.75	
06/05/13	Interest Rec'd on	\$10,000.00	<u>69.65</u>	438.40

Arcelormittal SA Luxembourg

10.35% Due 06/01/19

06/03/13	Interest Rec'd on	\$10,000.00	\$517.50	
06/03/13	Interest Rec'd on	\$5,000.00	2.88	
12/04/13	Interest Rec'd on	\$5,000.00	<u>258.75</u>	779.13

Arch Coal, Inc.

7.25% Due 10/01/20

01/17/13	Interest Rec'd on	\$5,000.00		106.74
----------	-------------------	------------	--	--------

Schedule A-4 (Cont'd)

Autonation, Inc.

6.75% Due 04/15/18

04/15/13	Interest Rec'd on	\$5,000.00	\$168.75	
06/05/13	Interest Rec'd on	\$5,000.00	<u>46.88</u>	\$215.63

Avis Budge Car Rental

8.25% Due 01/15/19

01/15/13	Interest Rec'd on	\$5,000.00		206.25
----------	-------------------	------------	--	--------

Atwood Oceanics, Inc.

6.5% Due 02/01/20

02/01/13	Interest Rec'd on	\$10,000.00	\$325.00	
06/03/13	Interest Rec'd on	\$5,000.00	110.14	
08/01/13	Interest Rec'd on	\$5,000.00	<u>162.50</u>	
			\$597.64	
01/16/13	Less: Interest Paid on Purchase		<u>297.92-</u>	299.72

American Tower Corporation

7.25% Due 05/15/19

05/15/13	Interest Rec'd on	\$10,000.00	\$362.50	
05/30/13	Interest Rec'd on	\$5,000.00	15.10	
11/15/13	Interest Rec'd on	\$5,000.00	<u>181.25</u>	
			\$558.85	
04/02/13	Less: Interest Paid on Purchase		<u>275.89-</u>	282.96

The ADT Corporation Notes

3.5% Due 07/15/22

09/18/13	Less: Interest Paid on Purchase			30.63-
----------	---------------------------------	--	--	--------

Ally Financial, Inc.

8% Due 03/15/20

10/04/13	Less: Interest Paid on Purchase			21.11-
----------	---------------------------------	--	--	--------

Bank of America

5.25% Due 09/15/15

03/15/13	Interest Rec'd on	\$75,000.00	\$1,968.75	
08/16/13	Interest Rec'd on	\$75,000.00	<u>1,968.75</u>	
			\$3,937.50	
02/20/13	Less: Interest Paid on Purchase		<u>1,695.31-</u>	2,242.19

Schedule A-4 (Cont'd)

BE Aerospace, Inc.

5.25% Due 04/01/22

04/01/13	Interest Rec'd on	\$5,000.00	\$131.25	
10/01/13	Interest Rec'd on	\$5,000.00	<u>131.25</u>	\$262.50

Bank Amer Corporation Senior Note

6% Due 09/01/17

01/28/13	Interest Rec'd on	\$5,000.00		122.50
----------	-------------------	------------	--	--------

Bank Amer Corporation
(variable rate)

Due 12/29/49

05/17/13	Interest Rec'd on	\$5,000.00	\$203.13	
11/15/13	Interest Rec'd on	\$5,000.00	<u>203.13</u>	
			\$406.26	
01/28/13	Less: Interest Paid on Purchase		<u>82.38-</u>	323.88

Connecticut State

4% Due 05/01/14

01/23/13	Interest Rec'd on	\$75,000.00		683.33
----------	-------------------	-------------	--	--------

Connecticut State General
Obligation Revenue Bond

4% Due 07/01/14

01/23/13	Interest Rec'd on	\$75,000.00		183.33
----------	-------------------	-------------	--	--------

Connecticut State General
Obligation

4% Due 11/15/15

01/23/13	Interest Rec'd on	\$85,000.00		774.44
----------	-------------------	-------------	--	--------

Connecticut State

3.8% Due 12/15/15

01/23/13	Interest Rec'd on	\$90,000.00		381.06
----------	-------------------	-------------	--	--------

Connecticut State

5% Due 12/01/16

01/23/13	Interest Rec'd on	\$90,000.00		650.00
----------	-------------------	-------------	--	--------

Schedule A-4 (Cont'd)

Connecticut State

3% Due 02/15/18

01/23/13	Interest Rec'd on	\$75,000.00		\$987.50
----------	-------------------	-------------	--	----------

Connecticut State

4% Due 05/01/14

01/23/13	Interest Rec'd on	\$75,000.00		683.33
----------	-------------------	-------------	--	--------

Coca Cola Enterprises, Inc.

4.5% Due 08/15/19

02/15/13	Interest Rec'd on	\$16,000.00	\$360.00	
02/25/13	Interest Rec'd on	\$16,000.00	<u>20.00</u>	380.00

State of California Various
General Obligation Build

America Bonds

7.55% Due 04/01/39

04/01/13	Interest Rec'd on	\$10,000.00	\$377.50	
05/30/13	Interest Rec'd on	\$5,000.00	61.87	
10/01/13	Interest Rec'd on	\$5,000.00	<u>188.75</u>	628.12

CCO Holdings LLC Senior Notes

6.625% Due 01/31/22

01/31/13	Interest Rec'd on	\$10,000.00	\$331.25	
06/05/13	Interest Rec'd on	\$5,000.00	115.02	
07/31/13	Interest Rec'd on	\$5,000.00	165.63	
09/27/13	Interest Rec'd on	\$5,000.00	<u>52.45</u>	664.35

Citigroup, Inc.

5.25% Due 03/15/18

03/15/13	Interest Rec'd on	\$10,000.00	\$262.50	
06/05/13	Interest Rec'd on	\$5,000.00	58.33	
09/16/13	Interest Rec'd on	\$5,000.00	131.25	
11/12/13	Interest Rec'd on	\$5,000.00	<u>41.56</u>	493.64

Calumet Specialty Products

9.375% Due 05/01/19

05/01/13	Interest Rec'd on	\$5,000.00	\$234.38	
06/05/13	Interest Rec'd on	\$5,000.00	44.27	
11/01/13	Interest Rec'd on	\$5,000.00	<u>234.38</u>	
			\$513.03	

Schedule A-4 (Cont'd)

Calumet Specialty Products

9.375% Due 05/01/19

(Cont'd)

10/18/13	Less: Interest Paid on Purchase	<u>\$217.45-</u>	\$295.58
----------	---------------------------------	------------------	----------

Centurylink, Inc. Senior Notes

7.6% Due 09/15/39

02/27/13	Interest Rec'd on	\$5,000.00	\$171.00	
03/15/13	Interest Rec'd on	\$5,000.00	190.00	
09/16/13	Interest Rec'd on	\$5,000.00	<u>190.00</u>	551.00

Chesapeake Energy Corporation

6.125% Due 02/15/21

02/15/13	Interest Rec'd on	\$10,000.00	\$306.25	
06/05/13	Interest Rec'd on	\$5,000.00	93.58	
08/15/13	Interest Rec'd on	\$5,000.00	<u>153.13</u>	552.96

Chrysler Group, LLC.

8.25% Due 06/15/21

06/03/13	Interest Rec'd on	\$5,000.00	\$192.50	
06/17/13	Interest Rec'd on	\$5,000.00	206.25	
12/16/13	Interest Rec'd on	\$5,000.00	<u>206.25</u>	605.00

Cinemark USA, Inc. Senior Notes

8.625% Due 01/15/20

01/18/13	Interest Rec'd on	\$5,000.00		39.53
----------	-------------------	------------	--	-------

Citigroup, Inc. Senior Notes

8.5% Due 05/22/19

05/22/13	Interest Rec'd on	\$10,000.00	\$425.00	
06/03/13	Interest Rec'd on	\$10,000.00	<u>25.97</u>	450.97

Comcast Corporation

7.05% Due 03/15/33

03/15/13	Interest Rec'd on	\$5,000.00	\$176.25	
06/06/13	Interest Rec'd on	\$5,000.00	<u>79.31</u>	255.56

Comstock Resource, Inc.

8.375% Due 10/15/17

04/15/13	Interest Rec'd on	\$10,000.00	\$418.75	
----------	-------------------	-------------	----------	--

Schedule A-4 (Cont'd)

Comstock Resource, Inc.

8.375% Due 10/15/17

(Cont'd)

06/03/13	Interest Rec'd on	\$5,000.00	\$55.83	
10/15/13	Interest Rec'd on	\$5,000.00	<u>209.38</u>	\$683.96

Cricket Communications, Inc.

7.75% Due 10/15/20

03/19/13	Interest Rec'd on	\$5,000.00		165.76
----------	-------------------	------------	--	--------

Copano Energy, LLC Senior Notes

7.125% Due 04/01/21

03/12/13	Interest Rec'd on	\$5,000.00	\$159.32	
01/25/13	Less: Interest Paid on Purchase		<u>112.81-</u>	46.51

CF Industries, Inc.

7.125% Due 05/01/20

05/01/13	Interest Rec'd on	\$5,000.00	\$178.13	
11/01/13	Interest Rec'd on	\$5,000.00	<u>178.13</u>	
			\$356.26	
02/12/13	Less: Interest Paid on Purchase		<u>99.95-</u>	256.31

CHS/Community Health Services

Registered Senior Notes

7.125% Due 07/15/20

06/03/13	Interest Rec'd on	\$5,000.00	\$136.56	
07/15/13	Interest Rec'd on	\$5,000.00	178.13	
09/16/13	Interest Rec'd on	\$5,000.00	<u>60.36</u>	
			\$375.05	
02/19/13	Less: Interest Paid on Purchase		<u>67.29-</u>	307.76

CRH Amer, Inc.

8.125% Due 07/15/18

06/06/13	Interest Rec'd on	\$5,000.00	\$159.11	
03/08/13	Less: Interest Paid on Purchase		<u>59.81-</u>	99.30

Cloud Peak Energy

8.5% Due 12/15/19

12/16/13	Interest Rec'd on	\$5,000.00	\$212.50	
10/03/13	Less: Interest Paid on Purchase		<u>127.50-</u>	85.00

Schedule A-4 (Cont'd)

Comstock Resources, Inc.

9.5% Due 06/15/20

12/16/13	Interest Rec'd on	\$5,000.00	\$237.50	
10/29/13	Less: Interest Paid on Purchase		<u>176.81-</u>	\$60.69

Directv Holdings, Inc.

5% Due 03/01/21

03/01/13	Interest Rec'd on	\$10,000.00	\$250.00	
05/30/13	Interest Rec'd on	\$5,000.00	61.81	
09/03/13	Interest Rec'd on	\$5,000.00	<u>125.00</u>	
			\$436.81	
01/02/13	Less: Interest Paid on Purchase		<u>84.03-</u>	352.78

Dish DBS Corporation

7.875% Due 09/01/19

03/01/13	Interest Rec'd on	\$10,000.00	\$393.75	
05/28/13	Interest Rec'd on	\$10,000.00	<u>190.31</u>	584.06

Domtar Corporation

10.75% Due 06/01/17

05/30/13	Interest Rec'd on	\$5,000.00	\$267.26	
06/03/13	Interest Rec'd on	\$5,000.00	268.75	
12/02/13	Interest Rec'd on	\$5,000.00	<u>268.75</u>	
			\$804.76	
01/30/13	Less: Interest Paid on Purchase		<u>88.09-</u>	716.67

El Paso Corporation

7.8% Due 08/01/31

02/01/13	Interest Rec'd on	\$5,000.00	\$195.00	
08/01/13	Interest Rec'd on	\$5,000.00	<u>195.00</u>	390.00

Energy Transfer Equity LP

7.5% Due 10/15/20

04/15/13	Interest Rec'd on	\$5,000.00	\$187.50	
10/15/13	Interest Rec'd on	\$5,000.00	<u>187.50</u>	375.00

Fifth Third Bank

3% Due 08/13/20

08/20/13	Interest Rec'd on	\$50,000.00		74.38
----------	-------------------	-------------	--	-------

Schedule A-4 (Cont'd)

Federal Home Loan Mortgage
Corporation

5% Due 07/01/35

01/15/13	Interest	Rec'd on	\$138,000.00	\$112.22	
02/15/13	Interest	Rec'd on	\$138,000.00	107.23	
03/15/13	Interest	Rec'd on	\$138,000.00	102.39	
04/15/13	Interest	Rec'd on	\$138,000.00	97.26	
05/15/13	Interest	Rec'd on	\$138,000.00	92.68	
05/31/13	Interest	Rec'd on	\$48,000.00	30.69	
05/31/13	Interest	Rec'd on	\$117,000.00	74.80	
06/17/13	Interest	Rec'd on	\$90,000.00	57.54	
07/15/13	Interest	Rec'd on	\$90,000.00	54.73	
08/15/13	Interest	Rec'd on	\$90,000.00	52.30	
09/16/13	Interest	Rec'd on	\$90,000.00	49.87	
10/15/13	Interest	Rec'd on	\$90,000.00	47.89	
11/15/13	Interest	Rec'd on	\$90,000.00	46.28	
12/16/13	Interest	Rec'd on	\$90,000.00	44.98	
12/26/13	Interest	Rec'd on	\$90,000.00	32.61	
12/26/13	Interest	Rec'd on	\$90,000.00	<u>48.98</u>	
				\$1,052.45	
05/24/13	Less: Interest	Paid on Purchase		<u>57.34-</u>	\$995.11

FNMA GTA Pass Thru Pool

5.5% Due 05/01/25

01/25/13	Interest	Rec'd on	\$30,000.00	\$117.91	
01/25/13	Interest	Rec'd on	\$30,000.00	114.16	
02/25/13	Interest	Rec'd on	\$30,000.00	109.89	
03/25/13	Interest	Rec'd on	\$30,000.00	105.83	
04/25/13	Interest	Rec'd on	\$30,000.00	102.04	
05/29/13	Interest	Rec'd on	\$30,000.00	98.21	
05/31/13	Interest	Rec'd on	\$10,000.00	31.41	
06/25/13	Interest	Rec'd on	\$20,000.00	62.82	
07/25/13	Interest	Rec'd on	\$20,000.00	60.19	
08/26/13	Interest	Rec'd on	\$20,000.00	57.62	
09/25/13	Interest	Rec'd on	\$20,000.00	55.19	
10/25/13	Interest	Rec'd on	\$20,000.00	53.11	
11/25/13	Interest	Rec'd on	\$20,000.00	<u>50.94</u>	1,019.32

FNMA GTD Pass Thru Pool

6% Due 03/01/37

01/25/13	Interest	Rec'd on	\$85,000.00	\$94.99	
02/25/13	Interest	Rec'd on	\$85,000.00	90.36	
03/25/13	Interest	Rec'd on	\$85,000.00	86.00	
04/25/13	Interest	Rec'd on	\$85,000.00	82.57	
05/28/13	Interest	Rec'd on	\$85,000.00	78.30	

Schedule A-4 (Cont'd)

FNMA GTD Pass Thru Pool

6% Due 03/01/37

(Cont'd)

05/31/13	Interest Rec'd on	\$25,000.00	\$21.94	
06/25/13	Interest Rec'd on	\$60,000.00	52.65	
07/25/13	Interest Rec'd on	\$60,000.00	49.42	
08/26/13	Interest Rec'd on	\$60,000.00	46.68	
09/25/13	Interest Rec'd on	\$60,000.00	44.02	
10/25/13	Interest Rec'd on	\$60,000.00	41.87	
11/25/13	Interest Rec'd on	\$60,000.00	40.28	
12/26/13	Interest Rec'd on	\$60,000.00	<u>38.87</u>	\$767.95

FNMA GTA Pass Thru Pool

5.5% Due 04/01/36

02/25/13	Interest Rec'd on	\$131,000.00	\$112.29	
03/25/13	Interest Rec'd on	\$131,000.00	107.03	
04/25/13	Interest Rec'd on	\$131,000.00	102.46	
05/28/13	Interest Rec'd on	\$131,000.00	97.70	
05/31/13	Interest Rec'd on	\$41,000.00	29.06	
06/25/13	Interest Rec'd on	\$90,000.00	63.79	
07/25/13	Interest Rec'd on	\$90,000.00	60.46	
08/26/13	Interest Rec'd on	\$90,000.00	57.62	
09/25/13	Interest Rec'd on	\$90,000.00	54.55	
10/25/13	Interest Rec'd on	\$90,000.00	52.00	
11/25/13	Interest Rec'd on	\$90,000.00	50.05	
12/26/13	Interest Rec'd on	\$90,000.00	<u>48.29</u>	835.30

Ford Motor Company Global

Landmark

7.45% Due 07/15/31

01/16/13	Interest Rec'd on	\$10,000.00	\$372.50	
06/03/13	Interest Rec'd on	\$5,000.00	141.75	
07/16/13	Interest Rec'd on	\$5,000.00	<u>186.25</u>	700.50

Frontier Communications

Corporation

8.5% Due 04/15/20

04/15/13	Interest Rec'd on	\$10,000.00	\$425.00	
06/07/13	Interest Rec'd on	\$5,000.00	61.39	
10/15/13	Interest Rec'd on	\$5,000.00	<u>212.50</u>	698.89

Schedule A-4 (Cont'd)

FNMA GTD Pass Thru Pool

4% Due 09/01/25

04/25/13	Interest Rec'd on	\$49,000.00	\$70.20	
05/28/13	Interest Rec'd on	\$49,000.00	66.68	
05/31/13	Interest Rec'd on	\$19,000.00	24.74	
06/25/13	Interest Rec'd on	\$30,000.00	39.06	
07/25/13	Interest Rec'd on	\$30,000.00	37.48	
08/26/13	Interest Rec'd on	\$30,000.00	35.98	
09/25/13	Interest Rec'd on	\$30,000.00	34.49	
10/25/13	Interest Rec'd on	\$30,000.00	33.37	
11/25/13	Interest Rec'd on	\$30,000.00	32.58	
12/26/13	Interest Rec'd on	\$30,000.00	<u>31.91</u>	
			\$406.49	
03/25/13	Less: Interest Paid on Purchase		<u>56.15-</u>	\$350.34

FNMA GTD Pass Thru

5% Due 06/01/35

11/25/13	Interest Rec'd on	\$59,000.00	\$33.49	
10/10/13	Less: Interest Paid on Purchase		<u>10.05-</u>	23.44

Goldman Sach

6.25% Due 09/01/17

03/01/13	Interest Rec'd on	\$75,000.00	\$2,343.75	
08/01/13	Interest Rec'd on	\$75,000.00	2,343.75	
08/20/13	Interest Rec'd on	\$75,000.00	<u>148.77</u>	
			\$4,836.27	
02/20/13	Less: Interest Paid on Purchase		<u>2,200.52-</u>	2,635.75

General Electric Capital
Corporation

6% Due 08/07/19

02/07/13	Interest Rec'd on	\$10,000.00	\$300.00	
02/12/13	Interest Rec'd on	\$10,000.00	<u>8.33</u>	308.33

Genon Escrow Corporation
Senior Note

9.875% Due 10/01/20

04/15/13	Interest Rec'd on	\$10,000.00	\$493.75	
06/03/13	Interest Rec'd on	\$5,000.00	65.83	
09/27/13	Interest Rec'd on	\$5,000.00	<u>222.19</u>	781.77

Schedule A-4 (Cont'd)

Georgia Pacific Corporation
Senior Notes

<u>8% Due 01/15/24</u>				
01/15/13	Interest Rec'd on	\$10,000.00	\$400.00	
05/30/13	Interest Rec'd on	\$5,000.00	150.00	
07/15/13	Interest Rec'd on	\$5,000.00	<u>200.00</u>	\$750.00

Goldman Sachs Group, Inc.

<u>6.125% Due 02/15/33</u>				
02/15/13	Interest Rec'd on	\$10,000.00	\$306.25	
05/30/13	Interest Rec'd on	\$5,000.00	89.31	
08/15/13	Interest Rec'd on	\$5,000.00	<u>153.13</u>	548.69

Goodyear Tire & Rubber Company

<u>8.25% Due 08/15/20</u>				
02/15/13	Interest Rec'd on	\$5,000.00	\$206.25	
08/15/13	Interest Rec'd on	\$5,000.00	<u>206.25</u>	412.50

Gap, Inc.

<u>5.95% Due 04/12/21</u>				
04/12/13	Interest Rec'd on	\$5,000.00	\$148.75	
06/03/13	Interest Rec'd on	\$5,000.00	42.15	
10/15/13	Interest Rec'd on	\$5,000.00	<u>148.75</u>	
			\$339.65	
02/26/13	Less: Interest Paid on Purchase		110.74-	
09/20/13	Less: Interest Paid on Purchase		<u>130.57-</u>	98.34

General Motors Financial
Company, Inc.

<u>6.75% Due 06/01/18</u>				
06/03/13	Interest Rec'd on	\$5,000.00	\$168.75	
06/05/13	Interest Rec'd on	\$5,000.00	3.75	
12/02/13	Interest Rec'd on	\$5,000.00	<u>168.75</u>	
			\$341.25	
05/14/13	Less: Interest Paid on Purchase		152.81-	
10/15/13	Less: Interest Paid on Purchase		<u>125.63-</u>	62.81

Goldcorp, Inc. New

<u>3.7% Due 03/15/23</u>				
10/29/13	Interest Rec'd on	\$5,000.00	\$22.61	
10/01/13	Less: Interest Paid on Purchase		<u>8.22-</u>	14.39

Schedule A-4 (Cont'd)

Hanesbrand, Inc., Senior Note

6.375% Due 12/15/20

06/03/13	Interest Rec'd on	\$5,000.00		\$148.75
----------	-------------------	------------	--	----------

Huntsman International LLC

8.625% Due 03/15/21

03/15/13	Interest Rec'd on	\$5,000.00	\$215.63	
06/06/13	Interest Rec'd on	\$5,000.00	<u>97.03</u>	312.66

Hertz Corporation Senior Notes

7.375% Due 01/15/21

06/07/13	Interest Rec'd on	\$5,000.00	\$145.45	
01/22/13	Less: Interest Paid on Purchase		7.17-	
09/19/13	Less: Interest Paid on Purchase		<u>65.56-</u>	72.72

Hornbeck Offshore Services, Inc.

5.875% Due 04/01/20

04/01/13	Interest Rec'd on	\$5,000.00	\$146.88	
06/05/13	Interest Rec'd on	\$5,000.00	<u>52.22</u>	
			\$199.10	
03/08/13	Less: Interest Paid on Purchase		128.11-	
11/22/13	Less: Interest Paid on Purchase		<u>41.61-</u>	29.38

Illinois State General

Obligation

5.877% Due 03/01/19

03/01/13	Interest Rec'd on	\$10,000.00	\$293.85	
06/05/13	Interest Rec'd on	\$5,000.00	76.73	
09/03/13	Interest Rec'd on	\$5,000.00	<u>146.93</u>	517.51

International Paper company

7.5% Due 08/15/21

02/15/13	Interest Rec'd on	\$10,000.00	\$375.00	
06/03/13	Interest Rec'd on	\$5,000.00	112.50	
08/15/13	Interest Rec'd on	\$5,000.00	187.50	
09/27/13	Interest Rec'd on	\$5,000.00	<u>43.75</u>	718.75

International Lease Finance
Corporation

8.25% Due 12/15/20

06/05/13	Interest Rec'd on	\$5,000.00	\$194.79	
----------	-------------------	------------	----------	--

Schedule A-4 (Cont'd)

International Lease Finance
Corporation

8.25% Due 12/15/20

(Cont'd)

06/17/13	Interest Rec'd on	\$5,000.00	\$206.25	
12/16/13	Interest Rec'd on	\$5,000.00	<u>206.25</u>	\$607.29

Iron Mountain, Inc.

8.375% Due 08/15/21

02/15/13	Interest Rec'd on	\$10,000.00	\$418.75	
06/05/13	Interest Rec'd on	\$5,000.00	127.95	
08/15/13	Interest Rec'd on	\$5,000.00	209.38	
08/22/13	Interest Rec'd on	\$5,000.00	<u>3.25</u>	
			\$759.33	
01/10/13	Less: Interest Paid on Purchase		<u>337.33-</u>	422.00

King County Washington

4.1% Due 06/14/14

01/23/13	Interest Rec'd on	\$75,000.00		444.17
----------	-------------------	-------------	--	--------

L-3 Communications Corporation

4.95% Due 02/12/21

02/15/13	Interest Rec'd on	\$5,000.00	\$123.75	
08/15/13	Interest Rec'd on	\$5,000.00	<u>123.75</u>	247.50

Lear Corporation

8.125% Due 03/15/20

03/15/13	Interest Rec'd on	\$5,000.00	\$203.13	
09/16/13	Interest Rec'd on	\$5,000.00	<u>203.13</u>	406.26

Levi Strauss & Company New

6.875% Due 05/01/22

05/01/13	Interest Rec'd on	\$5,000.00	\$171.88	
06/03/13	Interest Rec'd on	\$5,000.00	<u>30.56</u>	202.44

Liberty Media Corporation

Senior Notes

8.25% Due 02/01/30

08/01/13	Interest Rec'd on	\$10,000.00	\$412.50	
09/27/13	Interest Rec'd on	\$5,000.00	<u>64.17</u>	
			\$476.67	

Schedule A-4 (Cont'd)

Liberty Media Corporation
Senior Notes
8.25% Due 02/01/30

(Cont'd)

02/14/13	Less: Interest Paid on Purchase	<u>\$29.79-</u>	\$446.88
----------	---------------------------------	-----------------	----------

Morgan Stanley Bank

01/30/13	Interest Rec'd	\$3.05	
01/30/13	Interest Rec'd	.14	
01/30/13	Interest Rec'd	2.80	
01/30/13	Interest Rec'd	.94	
02/27/13	Interest Rec'd	3.32	
02/27/13	Interest Rec'd	7.57	
02/27/13	Interest Rec'd	2.68	
03/27/13	Interest Rec'd	2.47	
04/29/13	Interest Rec'd	3.62	
04/29/13	Interest Rec'd	3.11	
05/30/13	Interest Rec'd	3.29	
05/30/13	Interest Rec'd	2.83	
06/27/13	Interest Rec'd	2.27	
06/27/13	Interest Rec'd	2.39	
07/30/13	Interest Rec'd	2.83	
07/30/13	Interest Rec'd	2.42	
08/29/13	Interest Rec'd	2.33	
08/29/13	Interest Rec'd	2.10	
09/27/13	Interest Rec'd	2.61	
09/27/13	Interest Rec'd	1.87	
10/30/13	Interest Rec'd	3.54	
10/30/13	Interest Rec'd	2.98	
11/27/13	Interest Rec'd	3.06	
11/27/13	Interest Rec'd	2.65	
12/30/13	Interest Rec'd	3.36	
12/30/13	Interest Rec'd	.27	
12/30/13	Interest Rec'd	<u>2.96</u>	73.46

JPMorgan Deposit Sweep Account

01/02/13	Interest Rec'd	\$1.49
01/02/13	Interest Rec'd	3.43
02/01/13	Interest Rec'd	.47
02/01/13	Interest Rec'd	2.10
03/01/13	Interest Rec'd	1.02
03/01/13	Interest Rec'd	1.04
04/01/13	Interest Rec'd	.90
04/01/13	Interest Rec'd	.86
05/01/13	Interest Rec'd	.08

Schedule A-4 (Cont'd)

JPMorgan Deposit Sweep Account
(Cont'd)

05/01/13	Interest	Rec'd		\$.34	
06/03/13	Interest	Rec'd		.08	
06/03/13	Interest	Rec'd		.65	
07/01/13	Interest	Rec'd		1.18	
07/01/13	Interest	Rec'd		5.02	
08/01/13	Interest	Rec'd		1.26	
08/01/13	Interest	Rec'd		1.10	
09/03/13	Interest	Rec'd		1.15	
09/03/13	Interest	Rec'd		1.14	
10/01/13	Interest	Rec'd		.84	
10/01/13	Interest	Rec'd		1.32	
11/01/13	Interest	Rec'd		1.09	
11/01/13	Interest	Rec'd		.92	
12/02/13	Interest	Rec'd		1.30	
12/02/13	Interest	Rec'd		<u>.51</u>	\$29.29

JPMorgan Chase Bank
5.875% Due 06/13/16

02/25/13	Interest	Rec'd on	\$50,000.00		587.50
----------	----------	----------	-------------	--	--------

Morgan Stanley
2.875% Due 07/28/14

01/28/13	Interest	Rec'd on	\$50,000.00	\$718.75	
02/25/13	Interest	Rec'd on	\$50,000.00	<u>107.81</u>	826.56

JPMorgan Chase & company
6.3% Due 04/23/19

01/22/13	Interest	Rec'd on	\$5,000.00	\$77.88	
01/22/13	Interest	Rec'd on	\$5,000.00	<u>77.88</u>	155.76

Metropcs Wireless, Inc.
Senior Notes

6.625% Due 11/15/20

05/15/13	Interest	Rec'd on	\$10,000.00	\$331.25	
06/03/13	Interest	Rec'd on	\$5,000.00	16.56	
11/15/13	Interest	Rec'd on	\$5,000.00	<u>165.63</u>	513.44

Morgan Stanley Senior Notes
5.5% Due 07/28/21

01/28/13	Interest	Rec'd on	\$10,000.00	\$275.00	
----------	----------	----------	-------------	----------	--

Schedule A-4 (Cont'd)

Morgan Stanley Senior Notes
5.5% Due 07/28/21

(Cont'd)

05/30/13	Interest Rec'd on	\$5,000.00	\$93.19	
07/29/13	Interest Rec'd on	\$5,000.00	<u>137.50</u>	\$505.69

JPMorgan Chase & Company
Deposit Shares

7.9% Due 04/29/49

04/30/13	Interest Rec'd on	\$10,000.00	\$395.00	
06/03/13	Interest Rec'd on	\$5,000.00	36.21	
10/30/13	Interest Rec'd on	\$5,000.00	<u>197.50</u>	
			\$628.71	
01/23/13	Less: Interest Paid on Purchase		<u>182.14-</u>	446.57

Norwalk Connecticut

5% Due 07/01/16

01/23/13	Interest Rec'd on	\$90,000.00		275.00
----------	-------------------	-------------	--	--------

New Jersey Turnpike Authority

7.414% Due 01/01/40

01/02/13	Interest Rec'd on	\$5,000.00	\$185.35	
02/21/13	Interest Rec'd on	\$5,000.00	<u>51.49</u>	236.84

New Jersey Economic Development
Authority

7.425% Due 02/15/29

06/04/13	Interest Rec'd on	\$5,000.00	\$112.41	
08/15/13	Interest Rec'd on	\$5,000.00	<u>185.63</u>	
			\$298.04	
03/28/13	Less: Interest Paid on Purchase		<u>88.69-</u>	209.35

Offshore Group Investors Ltd.

7.5% Due 11/01/19

11/29/13	Less: Interest Paid on Purchase			29.17-
----------	---------------------------------	--	--	--------

Pioneer Natural Resources Company
Senior Notes

7.5% Due 01/15/20

01/15/13	Interest Rec'd on	\$5,000.00	\$187.50	
06/06/13	Interest Rec'd on	\$5,000.00	<u>146.88</u>	334.38

Schedule A-4 (Cont'd)

Plains Exploration & Production

6.75% Due 02/01/22

02/01/13	Interest Rec'd on	\$10,000.00	\$337.50	
05/15/13	Interest Rec'd on	\$5,000.00	97.50	
08/01/13	Interest Rec'd on	\$5,000.00	<u>168.75</u>	
			\$603.75	
01/28/13	Less: Interest Paid on Purchase		<u>165.94-</u>	\$437.81

Polyone Corporation

7.375% Due 09/15/20

03/15/13	Interest Rec'd on	\$5,000.00	\$184.38	
05/06/13	Interest Rec'd on	\$5,000.00	<u>52.24</u>	236.62

PNC Financial Services
(variable rate)

Due 08/01/21

06/06/13	Interest Rec'd on	\$5,000.00	\$117.19	
08/01/13	Interest Rec'd on	\$5,000.00	<u>168.75</u>	
			\$285.94	
05/29/13	Less: Interest Paid on Purchase		<u>221.25-</u>	64.69

Royal Bank of Scotland

4.25% Due 02/05/16

03/15/13	Interest Rec'd on	\$75,000.00	\$265.63	
04/15/13	Interest Rec'd on	\$75,000.00	265.63	
05/15/13	Interest Rec'd on	\$75,000.00	265.63	
06/17/13	Interest Rec'd on	\$75,000.00	265.63	
07/15/13	Interest Rec'd on	\$75,000.00	265.63	
08/15/13	Interest Rec'd on	\$75,000.00	265.63	
09/16/13	Interest Rec'd on	\$75,000.00	265.63	
10/15/13	Interest Rec'd on	\$75,000.00	265.63	
11/15/13	Interest Rec'd on	\$75,000.00	265.63	
12/16/13	Interest Rec'd on	\$75,000.00	<u>265.63</u>	
			\$2,656.30	
02/20/13	Less: Interest Paid on Purchase		<u>44.27-</u>	2,612.03

Regional School District No. 008
Connecticut

3.625% Due 04/15/13

04/15/13	Interest Rec'd on	\$75,000.00		1,359.38
----------	-------------------	-------------	--	----------

Schedule A-4 (Cont'd)

Rio Tinto Financial USA, Ltd.

9% Due 05/01/19

05/01/13	Interest Rec'd on	\$10,000.00	\$450.00	
06/03/13	Interest Rec'd on	\$5,000.00	40.00	
06/13/13	Interest Rec'd on	\$5,000.00	<u>52.50</u>	\$542.50

RSC Equipment Rental, Inc.

8.25% Due 02/01/21

02/01/13	Interest Rec'd on	\$5,000.00	\$206.25	
08/01/13	Interest Rec'd on	\$5,000.00	<u>206.25</u>	412.50

Royal Caribbean Cruises, Ltd.

7.25% Due 03/15/18

03/15/13	Interest Rec'd on	\$5,000.00	\$181.25	
09/16/13	Interest Rec'd on	\$5,000.00	181.25	
11/12/13	Interest Rec'd on	\$5,000.00	<u>57.40</u>	
			\$419.90	
01/09/13	Less: Interest Paid on Purchase		<u>114.79-</u>	305.11

Regal Entertainment Group

5.75% Due 02/01/25

06/05/13	Interest Rec'd on	\$5,000.00	\$110.21	
01/18/13	Less: Interest Paid on Purchase		<u>.80-</u>	109.41

Reynolds Group Issuer LLC Senior

5.75% Due 10/15/20

06/03/13	Interest Rec'd on	\$5,000.00	\$38.33	
05/08/13	Less: Interest Paid on Purchase		<u>18.37-</u>	19.96

Ryland Group, Inc.

6.25% Due 05/01/19

11/01/13	Interest Rec'd on	\$5,000.00	\$165.63	
10/18/13	Less: Interest Paid on Purchase		<u>153.66-</u>	11.97

Seagate HDD Cayman Senior Notes

7% Due 11/01/21

01/02/13	Interest Rec'd on	\$5,000.00	\$175.00	
02/12/13	Interest Rec'd on	\$5,000.00	<u>39.86</u>	214.86

Schedule A-4 (Cont'd)

Sprint Capital Corporation

6.9% Due 05/01/19

05/01/13	Interest Rec'd on	\$5,000.00	\$172.50	
05/24/13	Interest Rec'd on	\$5,000.00	22.04	
11/01/13	Interest Rec'd on	\$5,000.00	<u>172.50</u>	
			\$367.04	
10/03/13	Less: Interest Paid on Purchase		<u>145.67-</u>	\$221.37

Scientific Games International,
Inc.

9.25% Due 06/15/19

06/17/13	Interest Rec'd on	\$5,000.00	\$231.25	
12/16/13	Interest Rec'd on	\$5,000.00	<u>231.25</u>	
			\$462.50	
02/19/13	Less: Interest Paid on Purchase		<u>82.22-</u>	380.28

Teekay Corporation

8.5% Due 01/15/20

01/15/13	Interest Rec'd on	\$10,000.00	\$425.00	
06/05/13	Interest Rec'd on	\$5,000.00	165.28	
07/15/13	Interest Rec'd on	\$5,000.00	<u>212.50</u>	802.78

Terex Corporation

6.5% Due 04/01/20

04/01/13	Interest Rec'd on	\$5,000.00	\$162.50	
06/05/13	Interest Rec'd on	\$5,000.00	<u>57.78</u>	220.28

Teck Cominco, Ltd.

6.125% Due 10/01/35

04/01/13	Interest Rec'd on	\$10,000.00	\$306.25	
05/30/13	Interest Rec'd on	\$5,000.00	50.19	
10/01/13	Interest Rec'd on	\$5,000.00	<u>153.13</u>	
			\$509.57	
01/10/13	Less: Interest Paid on Purchase		<u>168.44-</u>	341.13

University of Connecticut

3.2% Due 01/15/13

01/15/13	Interest Rec'd on	\$85,000.00		1,360.00
----------	-------------------	-------------	--	----------

Schedule A-4 (Cont'd)

United States Treasury Note

3.5% Due 05/15/20

05/15/13	Interest Rec'd on	\$16,000.00	\$280.00	
05/30/13	Interest Rec'd on	\$12,000.00	17.12	
11/15/13	Interest Rec'd on	\$4,000.00	<u>70.00</u>	\$367.12

United States Steel Corporation

6.65% Due 06/01/37

05/23/13	Interest Rec'd on	\$10,000.00		317.72
----------	-------------------	-------------	--	--------

United Continental Holdings, Inc.

6.375% Due 06/01/18

06/05/13	Interest Rec'd on	\$5,000.00	\$24.79	
05/24/13	Less: Interest Paid on Purchase		<u>15.05-</u>	9.74

Valero Energy Corporation

9.375% Due 03/15/19

03/15/13	Interest Rec'd on	\$5,000.00	\$234.38	
09/16/13	Interest Rec'd on	\$5,000.00	<u>234.38</u>	468.76

Verizon Communications, Inc.

5.15% Due 09/15/23

10/29/13	Less: Interest Paid on Purchase			29.33-
----------	---------------------------------	--	--	--------

Weatherford International, Ltd.

9.625% Due 03/01/19

03/01/13	Interest Rec'd on	\$10,000.00	\$481.25	
06/06/13	Interest Rec'd on	\$5,000.00	127.00	
09/03/13	Interest Rec'd on	\$5,000.00	<u>240.63</u>	848.88

Windstream Corporation

Senior Notes

7.875% Due 11/01/17

05/01/13	Interest Rec'd on	\$10,000.00	\$393.75	
06/03/13	Interest Rec'd on	\$5,000.00	35.00	
11/01/13	Interest Rec'd on	\$5,000.00	<u>196.88</u>	625.63

Schedule A-4 (Cont'd)

Wells Fargo & Company
(variable rate)

Due 03/29/49

03/15/13	Interest Rec'd on	\$10,000.00	\$399.00	
06/03/13	Interest Rec'd on	\$5,000.00	86.45	
09/16/13	Interest Rec'd on	\$5,000.00	<u>199.50</u>	
			\$684.95	
01/25/13	Less: Interest Paid on Purchase		<u>288.17-</u>	\$396.78

Weyerhaeuser Company

7.375% Due 03/15/32

03/15/13	Interest Rec'd on	\$5,000.00	\$184.38	
06/03/13	Interest Rec'd on	\$5,000.00	<u>79.90</u>	
			\$264.28	
02/12/13	Less: Interest Paid on Purchase		<u>150.57-</u>	<u>113.71</u>

TOTAL SCHEDULE A-4

\$52,677.37
=====

Schedule A-3

DIVIDENDS RECEIVED

Apple, Inc.

02/14/13	Dividend Rec'd on	36	Shs.	\$95.40	
05/16/13	Dividend Rec'd on	36	Shs.	109.80	
08/15/13	Dividend Rec'd on	36	Shs.	109.80	
11/14/13	Dividend Rec'd on	36	Shs.	<u>109.80</u>	\$424.80

Allergan, Inc.

03/21/13	Dividend Rec'd on	295	Shs.	\$14.75	
06/13/13	Dividend Rec'd on	295	Shs.	14.75	
09/12/13	Dividend Rec'd on	295	Shs.	14.75	
12/11/13	Dividend Rec'd on	295	Shs.	<u>14.75</u>	59.00

Accenture PLC Ireland Class A

05/15/13	Dividend Rec'd on	317	Shs.	\$256.77	
11/15/13	Dividend Rec'd on	317	Shs.	<u>294.81</u>	551.58

Abbott Laboratories

05/15/13	Dividend Rec'd on	659	Shs.	\$92.26	
08/15/13	Dividend Rec'd on	659	Shs.	92.26	
11/15/13	Dividend Rec'd on	880	Shs.	<u>123.20</u>	307.72

Anadarko Petroleum

03/27/13	Dividend Rec'd on	214	Shs.	\$319.26	
06/26/13	Dividend Rec'd on	214	Shs.	19.26	
09/25/13	Dividend Rec'd on	214	Shs.	38.52	
12/23/13	Dividend Rec'd on	214	Shs.	<u>38.52</u>	415.56

ARM Holdings PLC ADS

05/28/13	Dividend Rec'd on	198	Shs.	\$25.36	
10/15/13	Dividend Rec'd on	118	Shs.	<u>11.93</u>	37.29

American Movil SA DE CV ADR

07/29/13	Dividend Rec'd on	405	Shs.	\$37.48	
07/29/13	Dividend Rec'd on	405	Shs.	33.46	
11/26/13	Dividend Rec'd on	220	Shs.	<u>37.32</u>	108.26

Air Liquide ADR

06/24/13	Dividend Rec'd on	306	Shs.		138.74
----------	-------------------	-----	------	--	--------

Schedule A-3 (Cont'd)

AES Group

02/15/13	Dividend Rec'd on	680	Shs.	\$27.20	
05/15/13	Dividend Rec'd on	680	Shs.	27.20	
08/15/13	Dividend Rec'd on	680	Shs.	27.20	
11/15/13	Dividend Rec'd on	680	Shs.	<u>27.20</u>	\$108.80

Altria Group, Inc.

04/10/13	Dividend Rec'd on	171	Shs.	\$75.24	
07/10/13	Dividend Rec'd on	171	Shs.	75.24	
10/10/13	Dividend Rec'd on	171	Shs.	<u>82.08</u>	232.56

Allianz SE ADS

05/15/13	Dividend Rec'd on	445	Shs.		263.13
----------	-------------------	-----	------	--	--------

AIA Group, Ltd. Sponsored ADR

06/10/13	Dividend Rec'd on	323	Shs.	\$41.06	
09/09/13	Dividend Rec'd on	323	Shs.	<u>23.21</u>	64.27

Akbank Turk Anonim Sirketi ADR

04/12/13	Dividend Rec'd on	472	Shs.		63.12
----------	-------------------	-----	------	--	-------

Anheuser Busch Inbev

05/13/13	Dividend Rec'd on	50	Shs.	\$110.96	
11/29/13	Dividend Rec'd on	50	Shs.	<u>40.45</u>	151.41

Alstom ADR

07/30/13	Dividend Rec'd on	1,205	Shs.		129.49
----------	-------------------	-------	------	--	--------

AXA ADS

06/04/13	Dividend Rec'd on	229	Shs.		213.00
----------	-------------------	-----	------	--	--------

Asahi Kaisei Corporation

06/19/13	Dividend Rec'd on	335	Shs.	\$50.02	
12/16/13	Dividend Rec'd on	335	Shs.	<u>45.48</u>	95.50

Adidas-Salomon AG Sponsored ADR

05/16/13	Dividend Rec'd on	84	Shs.		74.39
----------	-------------------	----	------	--	-------

Schedule A-3 (Cont'd)

Atlas Copco

05/15/13	Dividend Rec'd on	135	Shs.		\$113.55
----------	-------------------	-----	------	--	----------

Adtran, Inc.

02/21/13	Dividend Rec'd on	140	Shs.	\$12.60	
05/09/13	Dividend Rec'd on	140	Shs.	12.60	
08/08/13	Dividend Rec'd on	140	Shs.	<u>19.54</u>	44.74

Amphenol Corporation

04/03/13	Dividend Rec'd on	36	Shs.	\$3.78	
07/03/13	Dividend Rec'd on	36	Shs.	3.78	
10/02/13	Dividend Rec'd on	36	Shs.	<u>7.20</u>	14.76

Advanced Auto Parts

04/05/13	Dividend Rec'd on	55	Shs.	\$3.30	
07/05/13	Dividend Rec'd on	55	Shs.	3.30	
10/04/13	Dividend Rec'd on	64	Shs.	<u>3.84</u>	10.44

Applied Industries Tech, Inc.

02/28/13	Dividend Rec'd on	44	Shs.	\$10.12	
05/31/13	Dividend Rec'd on	44	Shs.	10.12	
08/30/13	Dividend Rec'd on	44	Shs.	10.12	
11/29/13	Dividend Rec'd on	44	Shs.	<u>10.12</u>	40.48

Actuant Corporation Class A

10/15/13	Dividend Rec'd on	65	Shs.		2.60
----------	-------------------	----	------	--	------

Allerian MPL EFT

05/14/13	Dividend Rec'd on	3,000	Shs.	\$792.00	
08/14/13	Dividend Rec'd on	3,000	Shs.	807.00	
11/15/13	Dividend Rec'd on	3,000	Shs.	<u>822.00</u>	2,421.00

Ameren Corporation (Holding Company)

03/29/13	Dividend Rec'd on	1,500	Shs.	\$600.00	
06/28/13	Dividend Rec'd on	1,500	Shs.	600.00	
09/30/13	Dividend Rec'd on	1,500	Shs.	600.00	
12/31/13	Dividend Rec'd on	1,500	Shs.	<u>600.00</u>	2,400.00

Schedule A-3 (Cont'd)

AT&T

05/01/13	Dividend Rec'd on	3,400	Shs.	\$1,530.00	
08/01/13	Dividend Rec'd on	3,400	Shs.	1,530.00	
11/01/13	Dividend Rec'd on	3,400	Shs.	<u>1,530.00</u>	\$4,590.00

Applied Materials, Inc.

06/13/13	Dividend Rec'd on	775	Shs.	\$77.50	
09/12/13	Dividend Rec'd on	885	Shs.	88.50	
12/12/13	Dividend Rec'd on	885	Shs.	<u>88.50</u>	254.50

Automatic Data Processing, Inc.

10/01/13	Dividend Rec'd on	198	Shs.		86.13
----------	-------------------	-----	------	--	-------

Apple, Inc.

02/14/13	Dividend Rec'd on	35	Shs.	\$92.75	
05/16/13	Dividend Rec'd on	35	Shs.	106.75	
08/15/13	Dividend Rec'd on	35	Shs.	106.75	
11/14/13	Dividend Rec'd on	35	Shs.	<u>106.75</u>	413.00

Bristol Myers Squibb Company

05/01/13	Dividend Rec'd on	443	Shs.	\$155.05	
08/01/13	Dividend Rec'd on	443	Shs.	155.05	
11/01/13	Dividend Rec'd on	443	Shs.	<u>155.05</u>	465.15

Bank of America

03/22/13	Dividend Rec'd on	1,139	Shs.	\$11.39	
05/28/13	Dividend Rec'd on	1,139	Shs.	876.55	
06/28/13	Dividend Rec'd on	1,139	Shs.	11.39	
09/27/13	Dividend Rec'd on	1,139	Shs.	11.39	
12/27/13	Dividend Rec'd on	1,139	Shs.	<u>11.39</u>	922.11

Banco Do Brasil SA Sponsored ADR

03/21/13	Dividend Rec'd on	825	Shs.	\$108.91	
04/08/13	Dividend Rec'd on	825	Shs.	108.16	
06/10/13	Dividend Rec'd on	1,075	Shs.	37.70	
07/08/13	Dividend Rec'd on	1,075	Shs.	104.16	
09/09/13	Dividend Rec'd on	1,075	Shs.	268.83	
10/10/13	Dividend Rec'd on	1,075	Shs.	188.82	
12/09/13	Dividend Rec'd on	1,370	Shs.	<u>31.44</u>	848.02

Schedule A-3 (Cont'd)

Baxter International Corporation

04/01/13	Dividend Rec'd on	153	Shs.	\$68.85	
07/01/13	Dividend Rec'd on	153	Shs.	74.97	
10/01/13	Dividend Rec'd on	153	Shs.	<u>74.97</u>	\$218.79

Bidvest Group Ltd Spon ADR

04/12/13	Dividend Rec'd on	127	Shs.	\$89.03	
10/03/13	Dividend Rec'd on	127	Shs.	<u>101.82</u>	190.85

Brookfield Asset Management
Class A, Ltd.

02/28/13	Dividend Rec'd on	134	Shs.	\$18.76	
05/31/13	Dividend Rec'd on	127	Shs.	20.10	
09/03/13	Dividend Rec'd on	127	Shs.	20.10	
12/02/13	Dividend Rec'd on	127	Shs.	<u>20.10</u>	79.06

Badger Meter, Inc.

03/15/13	Dividend Rec'd on	94	Shs.	\$15.98	
06/14/13	Dividend Rec'd on	94	Shs.	15.98	
09/13/13	Dividend Rec'd on	94	Shs.	16.92	
12/13/13	Dividend Rec'd on	94	Shs.	<u>16.92</u>	65.80

W R Berkley Corporation

04/09/13	Dividend Rec'd on	111	Shs.	\$9.99	
07/02/13	Dividend Rec'd on	111	Shs.	11.10	
10/01/13	Dividend Rec'd on	111	Shs.	11.10	
12/19/13	Dividend Rec'd on	111	Shs.	<u>11.10</u>	43.29

Bombadier, Inc., Class B

04/01/13	Dividend Rec'd on	1,030	Shs.	\$25.70	
07/01/13	Dividend Rec'd on	965	Shs.	24.76	
09/30/13	Dividend Rec'd on	965	Shs.	23.76	
12/31/13	Dividend Rec'd on	1,455	Shs.	<u>34.76</u>	108.98

BG Group

05/31/13	Dividend Rec'd on	200	Shs.	\$28.52	
09/09/13	Dividend Rec'd on	200	Shs.	<u>26.14</u>	54.66

Buckle, Inc.

04/26/13	Dividend Rec'd on	72	Shs.	\$14.40	
----------	-------------------	----	------	---------	--

Schedule A-3 (Cont'd)

Buckle, Inc.

(Cont'd)

07/26/13	Dividend Rec'd on	72	Shs.	\$14.40	
10/25/13	Dividend Rec'd on	72	Shs.	<u>14.40</u>	\$43.20

Brown & Brown, Inc.

02/12/13	Dividend Rec'd on	96	Shs.	\$8.64	
05/15/13	Dividend Rec'd on	96	Shs.	8.64	
08/14/13	Dividend Rec'd on	96	Shs.	8.64	
11/13/13	Dividend Rec'd on	96	Shs.	<u>9.60</u>	35.52

Bunge, Ltd.

03/04/13	Dividend Rec'd on	60	Shs.	\$8.10	
06/03/13	Dividend Rec'd on	60	Shs.	16.20	
09/03/13	Dividend Rec'd on	60	Shs.	18.00	
12/02/13	Dividend Rec'd on	60	Shs.	<u>18.00</u>	60.30

Berry Petroleum Company

03/29/13	Dividend Rec'd on	35	Shs.		2.80
----------	-------------------	----	------	--	------

Brown Forman Corporation

04/01/13	Dividend Rec'd on	16	Shs.	\$4.08	
07/01/13	Dividend Rec'd on	16	Shs.	4.08	
10/01/13	Dividend Rec'd on	16	Shs.	4.08	
12/27/13	Dividend Rec'd on	16	Shs.	<u>4.64</u>	16.88

Bayerische Motoren Werke AG

06/03/13	Dividend Rec'd on	80	Shs.		85.69
----------	-------------------	----	------	--	-------

Banco Bilbao Viz ARG SA ADS

05/10/13	Dividend Rec'd on	505	Shs.	\$44.06	
07/25/13	Dividend Rec'd on	625	Shs.	111.97	
10/29/13	Dividend Rec'd on	625	Shs.	<u>119.11</u>	275.14

Bank of America Corporation Pfd.

02/28/13	Dividend Rec'd on	2,200	Shs.	\$876.55	
08/28/13	Dividend Rec'd on	2,200	Shs.	876.55	
11/29/13	Dividend Rec'd on	2,200	Shs.	<u>876.57</u>	2,629.67

Schedule A-3 (Cont'd)

Barclays PLC ADR

09/13/13	Dividend Rec'd on	250	Shs.	\$15.69	
11/01/13	Dividend Rec'd on	213	Shs.	2.66	
12/13/13	Dividend Rec'd on	213	Shs.	<u>18.02</u>	\$36.37

Citigroup, Inc.

02/22/13	Dividend Rec'd on	416	Shs.	\$4.16	
05/24/13	Dividend Rec'd on	416	Shs.	4.16	
08/23/13	Dividend Rec'd on	416	Shs.	4.16	
11/22/13	Dividend Rec'd on	416	Shs.	<u>4.16</u>	16.64

Chevron Corporation

03/11/13	Dividend Rec'd on	133	Shs.	\$119.70	
06/10/13	Dividend Rec'd on	133	Shs.	133.00	
09/10/13	Dividend Rec'd on	133	Shs.	133.00	
12/10/13	Dividend Rec'd on	133	Shs.	<u>133.00</u>	518.70

Costco Wholesale Corporation

02/22/13	Dividend Rec'd on	132	Shs.	\$36.30	
05/31/13	Dividend Rec'd on	132	Shs.	40.92	
08/23/13	Dividend Rec'd on	132	Shs.	40.92	
11/29/13	Dividend Rec'd on	132	Shs.	<u>40.92</u>	159.06

China Construction Bank Corporation

07/29/13	Dividend Rec'd on	753	Shs.		653.82
----------	-------------------	-----	------	--	--------

China Mobile Ltd.

07/08/13	Dividend Rec'd on	173	Shs.	\$198.22	
10/10/13	Dividend Rec'd on	206	Shs.	<u>189.18</u>	387.40

ConocoPhillips

03/01/13	Dividend Rec'd on	165	Shs.	\$108.90	
06/03/13	Dividend Rec'd on	165	Shs.	108.90	
09/03/13	Dividend Rec'd on	165	Shs.	113.85	
12/02/13	Dividend Rec'd on	165	Shs.	<u>113.85</u>	445.50

CSX Corporation

03/15/13	Dividend Rec'd on	416	Shs.	\$58.24	
06/14/13	Dividend Rec'd on	416	Shs.	62.40	

Schedule A-3 (Cont'd)

CSX Corporation

(Cont'd)

09/13/13	Dividend Rec'd on	416	Shs.	\$62.40	
12/13/13	Dividend Rec'd on	416	Shs.	<u>62.40</u>	\$245.44

Cielo SA Sponsored ADR

04/09/13	Dividend Rec'd on	285	Shs.	\$181.66	
10/09/13	Dividend Rec'd on	395	Shs.	<u>189.13</u>	370.79

Clicks Group Ltd ADR

02/12/13	Dividend Rec'd on	249	Shs.	\$117.42	
07/09/13	Dividend Rec'd on	498	Shs.	<u>48.81</u>	166.23

Comp De Beb Ambev Sp ADR

03/28/13	Dividend Rec'd on	157	Shs.	\$.02	
04/04/13	Dividend Rec'd on	157	Shs.	48.85	
04/05/13	Dividend Rec'd on	157	Shs.	6.88	
10/04/13	Dividend Rec'd on	157	Shs.	<u>45.93</u>	101.68

CNOOC, Ltd.

07/11/13	Dividend Rec'd on	29	Shs.	\$119.66	
10/17/13	Dividend Rec'd on	29	Shs.	<u>93.49</u>	213.15

Corporate Executive Board Company

03/29/13	Dividend Rec'd on	101	Shs.	\$22.73	
06/28/13	Dividend Rec'd on	101	Shs.	22.73	
09/30/13	Dividend Rec'd on	101	Shs.	22.73	
12/31/13	Dividend Rec'd on	101	Shs.	<u>22.73</u>	90.92

Cap Gemini SA ADR

06/27/13	Dividend Rec'd on	260	Shs.		171.81
----------	-------------------	-----	------	--	--------

Coca-Cola Enterprises, Inc.

03/21/13	Dividend Rec'd on	125	Shs.	\$25.00	
06/20/13	Dividend Rec'd on	120	Shs.	24.00	
09/19/13	Dividend Rec'd on	120	Shs.	<u>24.00</u>	73.00

Clarcor, Inc.

04/19/13	Dividend Rec'd on	88	Shs.	\$11.88	
----------	-------------------	----	------	---------	--

Schedule A-3 (Cont'd)

Clarcor, Inc.
(Cont'd)

07/19/13	Dividend Rec'd on	88	Shs.	\$11.88	
10/18/13	Dividend Rec'd on	88	Shs.	<u>14.96</u>	\$38.72

Commercial International Bank,
Ltd.

04/12/13	Dividend Rec'd on	690	Shs.	\$125.58	
04/12/13	Dividend Rec'd on	690	Shs.	<u>125.58</u>	251.16

Canadian National Railway Company

03/28/13	Dividend Rec'd on	42	Shs.	\$17.76	
06/28/13	Dividend Rec'd on	42	Shs.	17.11	
09/30/13	Dividend Rec'd on	42	Shs.	17.51	
12/31/13	Dividend Rec'd on	84	Shs.	<u>16.99</u>	69.37

Carmax, Inc.

02/15/13	Dividend Rec'd on	101	Shs.	\$7.02	
08/15/13	Dividend Rec'd on	79	Shs.	<u>17.70</u>	24.72

CSL., Ltd.

04/22/13	Dividend Rec'd on	131	Shs.	\$33.03	
10/21/13	Dividend Rec'd on	131	Shs.	<u>35.06</u>	68.09

Cochlear, Ltd.

03/27/13	Dividend Rec'd on	79	Shs.	\$37.98	
03/27/13	Dividend Rec'd on	79	Shs.	12.76	
10/04/13	Dividend Rec'd on	79	Shs.	<u>46.87</u>	97.61

Church & Dwight Company, Inc.

03/01/13	Dividend Rec'd on	56	Shs.	\$15.68	
06/03/13	Dividend Rec'd on	56	Shs.	15.68	
09/03/13	Dividend Rec'd on	56	Shs.	15.68	
12/02/13	Dividend Rec'd on	56	Shs.	<u>15.68</u>	62.72

Camden Property Trust

04/17/13	Dividend Rec'd on	45	Shs.	\$28.35	
07/17/13	Dividend Rec'd on	45	Shs.	28.35	
10/17/13	Dividend Rec'd on	45	Shs.	<u>28.35</u>	85.05

Schedule A-3 (Cont'd)

Core Laboratories NV

02/22/13	Dividend Rec'd on	28	Shs.	\$8.96	
05/24/13	Dividend Rec'd on	28	Shs.	8.96	
08/19/13	Dividend Rec'd on	28	Shs.	8.96	
11/20/13	Dividend Rec'd on	28	Shs.	<u>8.96</u>	\$35.84

Cantel Medical Corporation

07/31/13	Dividend Rec'd on	67	Shs.		3.70
----------	-------------------	----	------	--	------

Carbo Ceramics, Inc.

05/15/13	Dividend Rec'd on	31	Shs.	\$8.37	
11/15/13	Dividend Rec'd on	59	Shs.	<u>17.70</u>	26.07

Cooper Company, Inc.

02/07/13	Dividend Rec'd on	21	Shs.	\$.63	
08/06/13	Dividend Rec'd on	28	Shs.	<u>.84</u>	1.47

Comtech Telecommunications

05/21/13	Dividend Rec'd on	23	Shs.	\$6.33	
08/20/13	Dividend Rec'd on	23	Shs.	6.33	
11/19/13	Dividend Rec'd on	23	Shs.	<u>6.33</u>	18.99

Cintas Corporation

12/11/13	Dividend Rec'd on	73	Shs.		56.21
----------	-------------------	----	------	--	-------

CenturyLink, Inc.

03/22/13	Dividend Rec'd on	2,800	Shs.	\$1,512.00	
06/14/13	Dividend Rec'd on	2,800	Shs.	1,512.00	
09/19/13	Dividend Rec'd on	2,800	Shs.	1,512.00	
12/06/13	Dividend Rec'd on	2,800	Shs.	<u>1,512.00</u>	6,048.00

Carter's

12/12/13	Dividend Rec'd on	47	Shs.		7.52
----------	-------------------	----	------	--	------

DBS Group Holdings Ltd

07/08/13	Dividend Rec'd on	156	Shs.	\$79.53	
07/08/13	Dividend Rec'd on	156	Shs.	58.32	
10/10/13	Dividend Rec'd on	156	Shs.	80.17	
10/10/13	Dividend Rec'd on	156	Shs.	<u>58.79</u>	276.81

Schedule A-3 (Cont'd)

Dassault Systems SA ADS

07/08/13	Dividend Rec'd on	64	Shs.		\$66.51
----------	-------------------	----	------	--	---------

EI DuPont De Nemours & Company

03/14/13	Dividend Rec'd on	144	Shs.	\$61.92	
06/12/13	Dividend Rec'd on	144	Shs.	64.80	
09/12/13	Dividend Rec'd on	144	Shs.	64.80	
12/13/13	Dividend Rec'd on	144	Shs.	<u>64.80</u>	256.32

Deutsche Boerse AG

05/31/13	Dividend Rec'd on	676	Shs.		183.33
----------	-------------------	-----	------	--	--------

Daimler AG

04/11/13	Dividend Rec'd on	72	Shs.		207.91
----------	-------------------	----	------	--	--------

Donaldson Company, Inc.

03/08/13	Dividend Rec'd on	91	Shs.	\$9.10	
06/21/13	Dividend Rec'd on	91	Shs.	11.83	
09/06/13	Dividend Rec'd on	91	Shs.	11.83	
12/20/13	Dividend Rec'd on	91	Shs.	<u>12.74</u>	45.50

Dentsply International, Inc.

04/12/13	Dividend Rec'd on	73	Shs.	\$4.56	
07/12/13	Dividend Rec'd on	73	Shs.	4.56	
10/11/13	Dividend Rec'd on	102	Shs.	<u>6.38</u>	15.50

Duke Energy Corporation

06/17/13	Dividend Rec'd on	700	Shs.	\$535.50	
09/16/13	Dividend Rec'd on	700	Shs.	546.00	
12/16/13	Dividend Rec'd on	700	Shs.	<u>546.00</u>	1,627.50

Equifax, Inc.

03/15/13	Dividend Rec'd on	112	Shs.	\$24.64	
06/14/13	Dividend Rec'd on	172	Shs.	25.52	
06/14/13	Dividend Rec'd on	172	Shs.	12.32	
09/13/13	Dividend Rec'd on	172	Shs.	25.52	
09/13/13	Dividend Rec'd on	172	Shs.	12.32	
12/13/13	Dividend Rec'd on	172	Shs.	25.52	
12/13/13	Dividend Rec'd on	172	Shs.	<u>12.32</u>	138.16

Schedule A-3 (Cont'd)

Eaton Vance Corporation

05/15/13	Dividend Rec'd on	152	Shs.	\$30.40	
08/09/13	Dividend Rec'd on	152	Shs.	30.40	
11/13/13	Dividend Rec'd on	152	Shs.	<u>33.44</u>	\$94.24

Euro Aero Defense and Space
Company ADR

06/20/13	Dividend Rec'd on	84	Shs.		65.98
----------	-------------------	----	------	--	-------

Expeditors International Wash,
Inc.

06/17/13	Dividend Rec'd on	93	Shs.	\$27.90	
12/16/13	Dividend Rec'd on	93	Shs.	<u>27.90</u>	55.80

Ecolab, Inc.

04/15/13	Dividend Rec'd on	40	Shs.	\$9.20	
07/15/13	Dividend Rec'd on	40	Shs.	<u>9.20</u>	18.40

EPR Properties Company

04/15/13	Dividend Rec'd on	63	Shs.	\$49.77	
05/15/13	Dividend Rec'd on	63	Shs.	16.59	
06/17/13	Dividend Rec'd on	63	Shs.	16.59	
07/15/13	Dividend Rec'd on	63	Shs.	16.59	
08/15/13	Dividend Rec'd on	63	Shs.	16.59	
09/16/13	Dividend Rec'd on	63	Shs.	16.59	
10/15/13	Dividend Rec'd on	63	Shs.	14.30	
11/15/13	Dividend Rec'd on	63	Shs.	16.59	
12/16/13	Dividend Rec'd on	63	Shs.	<u>16.59</u>	180.20

Ensco PLC Class A

03/22/13	Dividend Rec'd on	41	Shs.	\$20.50	
06/21/13	Dividend Rec'd on	48	Shs.	24.00	
09/20/13	Dividend Rec'd on	48	Shs.	24.00	
12/20/13	Dividend Rec'd on	48	Shs.	<u>36.00</u>	104.50

EOG Resources, Inc.

01/31/13	Dividend Rec'd on	15	Shs.		2.55
----------	-------------------	----	------	--	------

Factset Research Systems, Inc.

03/19/13	Dividend Rec'd on	199	Shs.	\$61.69	
----------	-------------------	-----	------	---------	--

Schedule A-3 (Cont'd)

Factset Research Systems, Inc.
(Cont'd)

06/18/13	Dividend Rec'd on	150	Shs.	\$52.50	
09/17/13	Dividend Rec'd on	150	Shs.	52.50	
12/17/13	Dividend Rec'd on	150	Shs.	<u>52.50</u>	\$219.19

Fanuc Corporation

07/17/13	Dividend Rec'd on	213	Shs.	\$29.39	
12/17/13	Dividend Rec'd on	250	Shs.	<u>26.48</u>	55.87

Freeport McMoran Copper & Gold

05/01/13	Dividend Rec'd on	180	Shs.	\$56.25	
07/01/13	Dividend Rec'd on	248	Shs.	248.00	
08/01/13	Dividend Rec'd on	248	Shs.	77.50	
11/01/13	Dividend Rec'd on	248	Shs.	<u>77.50</u>	459.25

Fresenius Medical Care Agency

05/28/13	Dividend Rec'd on	204	Shs.		70.14
----------	-------------------	-----	------	--	-------

FEI Company

04/23/13	Dividend Rec'd on	55	Shs.	\$4.40	
07/23/13	Dividend Rec'd on	55	Shs.	6.60	
10/22/13	Dividend Rec'd on	55	Shs.	<u>6.60</u>	17.60

FMC Corporation

04/18/13	Dividend Rec'd on	51	Shs.	\$6.89	
07/18/13	Dividend Rec'd on	51	Shs.	6.89	
10/17/13	Dividend Rec'd on	51	Shs.	<u>6.89</u>	20.67

Family Dollar Stores

04/15/13	Dividend Rec'd on	55	Shs.	\$14.30	
07/15/13	Dividend Rec'd on	55	Shs.	14.30	
10/15/13	Dividend Rec'd on	55	Shs.	<u>14.00</u>	42.60

Fastenal Company

05/30/13	Dividend Rec'd on	172	Shs.	\$34.40	
08/23/13	Dividend Rec'd on	172	Shs.	43.00	
11/22/13	Dividend Rec'd on	172	Shs.	<u>43.00</u>	120.40

Schedule A-3 (Cont'd)

Ford Motor Company

06/03/13	Dividend Rec'd on	3,700	Shs.	\$370.00	
09/01/13	Dividend Rec'd on	3,700	Shs.	370.00	
12/02/13	Dividend Rec'd on	3,700	Shs.	<u>370.00</u>	\$1,110.00

Gap, Inc.

05/01/13	Dividend Rec'd on	505	Shs.	\$75.75	
07/31/13	Dividend Rec'd on	505	Shs.	75.75	
10/30/13	Dividend Rec'd on	505	Shs.	<u>101.00</u>	252.50

General Dynamics Corporation

05/10/13	Dividend Rec'd on	130	Shs.	\$72.80	
08/09/13	Dividend Rec'd on	130	Shs.	72.80	
11/15/13	Dividend Rec'd on	130	Shs.	<u>72.80</u>	218.40

Grupo Televisa S. A. Global DEP

06/05/13	Dividend Rec'd on	172	Shs.		23.37
----------	-------------------	-----	------	--	-------

Graco, Inc.

02/06/13	Dividend Rec'd on	114	Shs.	\$28.50	
05/01/13	Dividend Rec'd on	114	Shs.	56.25	
08/07/13	Dividend Rec'd on	114	Shs.	28.50	
11/06/13	Dividend Rec'd on	114	Shs.	<u>28.50</u>	141.75

Gazprom OAO Sponsored ADR

09/05/13	Dividend Rec'd on	1,275	Shs.		320.84
----------	-------------------	-------	------	--	--------

GEA Group AG Sponsored ADR

04/29/13	Dividend Rec'd on	121	Shs.		87.06
----------	-------------------	-----	------	--	-------

Global Payments, Inc.

02/28/13	Dividend Rec'd on	47	Shs.	\$.94	
05/31/13	Dividend Rec'd on	47	Shs.	.94	
08/30/13	Dividend Rec'd on	47	Shs.	.94	
11/29/13	Dividend Rec'd on	47	Shs.	<u>.94</u>	3.76

Glacier Bancorp, Inc.

04/18/13	Dividend Rec'd on	124	Shs.	\$17.36	
07/18/13	Dividend Rec'd on	124	Shs.	63.41	

Schedule A-3 (Cont'd)

Glacier Bancorp, Inc.

(Cont'd)

10/17/13	Dividend Rec'd on	124	Shs.	\$18.60	
12/19/13	Dividend Rec'd on	79	Shs.	<u>12.64</u>	\$112.01

Gentex Corporation

04/19/13	Dividend Rec'd on	66	Shs.	\$9.24	
07/19/13	Dividend Rec'd on	66	Shs.	11.88	
10/18/13	Dividend Rec'd on	66	Shs.	<u>9.24</u>	30.36

Honeywell International, Inc.

03/11/13	Dividend Rec'd on	240	Shs.	\$98.40	
06/10/13	Dividend Rec'd on	240	Shs.	98.40	
09/10/13	Dividend Rec'd on	240	Shs.	98.40	
12/10/13	Dividend Rec'd on	240	Shs.	<u>108.00</u>	403.20

Humana, Inc.

04/26/13	Dividend Rec'd on	225	Shs.	\$58.50	
07/26/13	Dividend Rec'd on	225	Shs.	60.75	
10/25/13	Dividend Rec'd on	225	Shs.	60.75	
10/25/13	Dividend Rec'd on	225	Shs.	<u>81.00</u>	261.00

Hillenbrand, Inc.

03/29/13	Dividend Rec'd on	186	Shs.	\$36.27	
06/28/13	Dividend Rec'd on	110	Shs.	<u>21.45</u>	57.72

Heineken NV Sponsored ADR

05/15/13	Dividend Rec'd on	128	Shs.	\$47.18	
09/10/13	Dividend Rec'd on	128	Shs.	<u>30.34</u>	77.52

JB Hunt Transportation Services

05/24/13	Dividend Rec'd on	52	Shs.	\$7.80	
08/16/13	Dividend Rec'd on	52	Shs.	7.80	
11/29/13	Dividend Rec'd on	52	Shs.	<u>7.80</u>	23.40

Hubbell, Inc.

03/15/13	Dividend Rec'd on	36	Shs.	\$16.20	
06/14/13	Dividend Rec'd on	36	Shs.	16.20	
09/13/13	Dividend Rec'd on	36	Shs.	16.20	
12/13/13	Dividend Rec'd on	36	Shs.	<u>18.00</u>	66.60

Schedule A-3 (Cont'd)

HSBC Holdings PLC

05/08/13	Dividend Rec'd on	46	Shs.	\$41.40	
07/11/13	Dividend Rec'd on	46	Shs.	<u>23.00</u>	\$64.40

HCC Insurance Holdings, Inc.

04/15/13	Dividend Rec'd on	54	Shs.	\$8.91	
07/15/13	Dividend Rec'd on	57	Shs.	9.41	
10/15/13	Dividend Rec'd on	57	Shs.	<u>12.83</u>	31.15

Health Care Services Group

03/15/13	Dividend Rec'd on	68	Shs.	\$11.31	
06/14/13	Dividend Rec'd on	75	Shs.	12.56	
09/20/13	Dividend Rec'd on	82	Shs.	13.84	
12/20/13	Dividend Rec'd on	82	Shs.	<u>13.94</u>	51.65

Hong Kong Exchanges & Clearing

10/15/13	Dividend Rec'd on	164	Shs.		38.49
----------	-------------------	-----	------	--	-------

Hitachi 10

12/04/13	Dividend Rec'd on	112	Shs.		35.41
----------	-------------------	-----	------	--	-------

Humana, Inc.

07/26/13	Dividend Rec'd on	300	Shs.		81.00
----------	-------------------	-----	------	--	-------

Ingredion, Inc.

07/25/13	Dividend Rec'd on	44	Shs.	\$16.72	
10/25/13	Dividend Rec'd on	44	Shs.	<u>16.72</u>	33.44

Intuit, Inc.

04/18/13	Dividend Rec'd on	373	Shs.	\$63.41	
07/18/13	Dividend Rec'd on	373	Shs.	63.41	
10/18/13	Dividend Rec'd on	190	Shs.	<u>36.10</u>	162.92

Itau Unibanco Multiple ADR

03/11/13	Dividend Rec'd on	564	Shs.	\$2.45	
03/11/13	Dividend Rec'd on	564	Shs.	1.81	
03/26/13	Dividend Rec'd on	564	Shs.	109.09	
04/11/13	Dividend Rec'd on	564	Shs.	2.41	
04/11/13	Dividend Rec'd on	564	Shs.	1.78	

Schedule A-3 (Cont'd)

Itau Unibanco Multiple ADR
(Cont'd)

05/13/13	Dividend Rec'd on	590	Shs.	\$2.41	
05/13/13	Dividend Rec'd on	590	Shs.	1.79	
06/13/13	Dividend Rec'd on	648	Shs.	2.27	
06/13/13	Dividend Rec'd on	648	Shs.	1.68	
07/11/13	Dividend Rec'd on	648	Shs.	2.42	
07/11/13	Dividend Rec'd on	648	Shs.	1.93	
08/12/13	Dividend Rec'd on	648	Shs.	2.34	
08/12/13	Dividend Rec'd on	648	Shs.	1.87	
09/03/13	Dividend Rec'd on	648	Shs.	73.55	
09/12/13	Dividend Rec'd on	648	Shs.	4.05	
10/11/13	Dividend Rec'd on	648	Shs.	2.42	
10/11/13	Dividend Rec'd on	648	Shs.	1.94	
11/12/13	Dividend Rec'd on	450	Shs.	2.39	
11/12/13	Dividend Rec'd on	450	Shs.	1.91	
12/12/13	Dividend Rec'd on	450	Shs.	<u>2.86</u>	\$223.37

ICICI Bank, Ltd.

06/27/13	Dividend Rec'd on	112	Shs.		74.70
----------	-------------------	-----	------	--	-------

Infineon Technologies AG

03/08/13	Dividend Rec'd on	488	Shs.		76.13
----------	-------------------	-----	------	--	-------

Icap PLC Sponsored ADR

07/29/13	Dividend Rec'd on	481	Shs.		255.48
----------	-------------------	-----	------	--	--------

Imperial Oil, Ltd.

04/01/13	Dividend Rec'd on	75	Shs.	\$8.84	
07/01/13	Dividend Rec'd on	75	Shs.	8.52	
10/01/13	Dividend Rec'd on	75	Shs.	<u>8.70</u>	26.06

Imperial Holdings, Ltd.

04/12/13	Dividend Rec'd on	136	Shs.	\$36.95	
10/10/13	Dividend Rec'd on	320	Shs.	108.62	
10/11/13	Dividend Rec'd on	320	Shs.	<u>2.58</u>	148.15

Idex Corporation

04/30/13	Dividend Rec'd on	57	Shs.	\$13.11	
07/31/13	Dividend Rec'd on	57	Shs.	<u>13.11</u>	26.22

Schedule A-3 (Cont'd)

Invesco Mortgage Capital, Inc.

04/26/13	Dividend Rec'd on	2,300	Shs.	\$1,495.00	
07/26/13	Dividend Rec'd on	2,300	Shs.	1,495.00	
10/28/13	Dividend Rec'd on	2,300	Shs.	<u>1,150.00</u>	\$4,140.00

ING Prime Rate Trust

02/25/13	Dividend Rec'd on	17,000	Shs.	\$646.00	
03/22/13	Dividend Rec'd on	17,000	Shs.	646.00	
04/22/13	Dividend Rec'd on	17,000	Shs.	646.00	
05/22/13	Dividend Rec'd on	17,000	Shs.	637.50	
06/24/13	Dividend Rec'd on	17,000	Shs.	637.50	
07/22/13	Dividend Rec'd on	17,000	Shs.	620.50	
08/22/13	Dividend Rec'd on	17,000	Shs.	595.00	
09/23/13	Dividend Rec'd on	17,000	Shs.	561.00	
10/22/13	Dividend Rec'd on	17,000	Shs.	535.50	
11/22/13	Dividend Rec'd on	17,000	Shs.	535.50	
12/23/13	Dividend Rec'd on	17,000	Shs.	<u>535.50</u>	6,596.00

Interval Leisure Group, Inc.

09/18/13	Dividend Rec'd on	124	Shs.	\$13.64	
12/18/13	Dividend Rec'd on	210	Shs.	<u>23.10</u>	36.74

IShares Trust Dow Jones US
Health Care Index Fund

04/01/13	Dividend Rec'd on	520	Shs.	\$154.04	
07/02/13	Dividend Rec'd on	520	Shs.	178.15	
09/30/13	Dividend Rec'd on	520	Shs.	152.62	
12/30/13	Dividend Rec'd on	520	Shs.	<u>175.85</u>	660.66

IShares MSCI EAFE Index Fund

07/05/13	Dividend Rec'd on	350	Shs.	\$403.03	
12/30/13	Dividend Rec'd on	350	Shs.	<u>193.10</u>	596.13

IShares Trust Dow Jones US
Financial Sector Index Fund

04/01/13	Dividend Rec'd on	400	Shs.	\$76.80	
07/02/13	Dividend Rec'd on	400	Shs.	91.94	
09/30/13	Dividend Rec'd on	400	Shs.	<u>99.69</u>	268.43

Jack Henry & Associates, Inc.

03/12/13	Dividend Rec'd on	241	Shs.	\$21.32	
----------	-------------------	-----	------	---------	--

Schedule A-3 (Cont'd)

Jack Henry & Associates, Inc.
(Cont'd)

03/12/13	Dividend Rec'd on	241	Shs.	\$10.01	
05/30/13	Dividend Rec'd on	241	Shs.	32.80	
05/30/13	Dividend Rec'd on	241	Shs.	15.40	
09/27/13	Dividend Rec'd on	241	Shs.	32.80	
12/17/13	Dividend Rec'd on	241	Shs.	32.80	
12/17/13	Dividend Rec'd on	241	Shs.	<u>15.40</u>	\$160.53

Julius Baer Group, Ltd.

05/01/13	Dividend Rec'd on	541	Shs.		69.52
----------	-------------------	-----	------	--	-------

JGC Corporation

07/17/13	Dividend Rec'd on	84	Shs.		55.09
----------	-------------------	----	------	--	-------

Kimberly Clark Sponsored ADR

04/11/13	Dividend Rec'd on	622	Shs.	\$83.18	
07/12/13	Dividend Rec'd on	622	Shs.	78.61	
10/10/13	Dividend Rec'd on	622	Shs.	77.30	
12/20/13	Dividend Rec'd on	622	Shs.	<u>78.47</u>	317.56

KOC Holdings AS

05/06/13	Dividend Rec'd on	285	Shs.		130.17
----------	-------------------	-----	------	--	--------

Komatsu, Ltd., Sponsored ADR

07/01/13	Dividend Rec'd on	270	Shs.	\$45.07	
12/09/13	Dividend Rec'd on	200	Shs.	<u>56.57</u>	101.64

Kao Corporation

09/10/13	Dividend Rec'd on	142	Shs.		42.95
----------	-------------------	-----	------	--	-------

Knight Transportation

03/29/13	Dividend Rec'd on	83	Shs.	\$4.98	
06/28/13	Dividend Rec'd on	83	Shs.	4.98	
09/25/13	Dividend Rec'd on	83	Shs.	4.98	
12/23/13	Dividend Rec'd on	83	Shs.	<u>4.98</u>	19.92

Kinder Morgan, Inc.

02/15/13	Dividend Rec'd on	1,500	Shs.	\$555.00	
----------	-------------------	-------	------	----------	--

Schedule A-3 (Cont'd)

Kinder Morgan, Inc.

(Cont'd)

05/15/13	Dividend Rec'd on	1,500	Shs.	\$570.00	
08/15/13	Dividend Rec'd on	1,500	Shs.	600.00	
11/15/13	Dividend Rec'd on	1,500	Shs.	<u>615.00</u>	\$2,340.00

Kraft Foods Group, Inc.

01/14/13	Dividend Rec'd on	133	Shs.	\$66.50	
04/12/13	Dividend Rec'd on	133	Shs.	66.50	
07/12/13	Dividend Rec'd on	133	Shs.	66.50	
10/25/13	Dividend Rec'd on	133	Shs.	<u>69.83</u>	269.33

Lowes Companies, Inc.

02/06/13	Dividend Rec'd on	390	Shs.	\$62.40	
05/08/13	Dividend Rec'd on	390	Shs.	62.40	
08/07/13	Dividend Rec'd on	390	Shs.	70.20	
11/06/13	Dividend Rec'd on	390	Shs.	<u>70.20</u>	265.20

L'Oreal Company ADR

06/04/13	Dividend Rec'd on	172	Shs.		104.47
----------	-------------------	-----	------	--	--------

Lincoln Electric Holdings, Inc.

04/15/13	Dividend Rec'd on	70	Shs.	\$13.80	
04/15/13	Dividend Rec'd on	70	Shs.	12.40	
07/15/13	Dividend Rec'd on	70	Shs.	14.00	
10/15/13	Dividend Rec'd on	70	Shs.	<u>14.00</u>	54.20

Leucadia National Corporation

03/28/13	Dividend Rec'd on	122	Shs.	\$7.63	
06/28/13	Dividend Rec'd on	122	Shs.	7.63	
09/27/13	Dividend Rec'd on	122	Shs.	7.63	
12/27/13	Dividend Rec'd on	122	Shs.	<u>7.63</u>	30.52

LVMH Moet Hennessy Louis Vuitton

05/16/13	Dividend Rec'd on	202	Shs.	\$35.10	
12/24/13	Dividend Rec'd on	202	Shs.	<u>65.68</u>	100.78

Lonza Group AG Zuerich ADR

05/01/13	Dividend Rec'd on	524	Shs.		120.85
----------	-------------------	-----	------	--	--------

Schedule A-3 (Cont'd)

Lord Abbet Inflation Focused A

02/01/13	Dividend Rec'd on	34,129.639	Shs.	\$945.10	
03/01/13	Dividend Rec'd on	34,129.639	Shs.	1,456.93	
04/01/13	Dividend Rec'd on	34,129.639	Shs.	1,427.12	
05/01/13	Dividend Rec'd on	34,129.639	Shs.	1,462.27	
06/01/13	Dividend Rec'd on	34,129.639	Shs.	1,398.46	
07/01/13	Dividend Rec'd on	34,129.639	Shs.	1,456.80	
08/01/13	Dividend Rec'd on	34,129.639	Shs.	1,422.11	
09/01/13	Dividend Rec'd on	34,129.639	Shs.	1,478.53	
10/01/13	Dividend Rec'd on	34,129.639	Shs.	1,450.67	
11/01/13	Dividend Rec'd on	34,129.639	Shs.	1,379.90	
12/01/13	Dividend Rec'd on	34,129.639	Shs.	<u>1,397.04</u>	\$15,274.93

MetLife, Inc.

03/13/13	Dividend Rec'd on	269	Shs.	\$49.77	
06/13/13	Dividend Rec'd on	269	Shs.	73.98	
09/13/13	Dividend Rec'd on	269	Shs.	73.98	
12/13/13	Dividend Rec'd on	269	Shs.	<u>73.98</u>	271.71

JPMorgan Chase & Company

04/30/13	Dividend Rec'd on	285	Shs.	\$85.50	
07/31/13	Dividend Rec'd on	285	Shs.	108.30	
10/31/13	Dividend Rec'd on	285	Shs.	<u>108.30</u>	302.10

Mobile Telesystems OJSC

06/28/13	Dividend Rec'd on	462	Shs.	\$22.40	
07/29/13	Dividend Rec'd on	462	Shs.	419.56	
10/29/13	Dividend Rec'd on	462	Shs.	<u>151.37</u>	593.33

Marathon Oil Company

03/11/13	Dividend Rec'd on	197	Shs.	\$33.49	
06/10/13	Dividend Rec'd on	197	Shs.	33.49	
09/10/13	Dividend Rec'd on	197	Shs.	37.43	
12/10/13	Dividend Rec'd on	197	Shs.	<u>37.43</u>	141.84

Marathon Petroleum Corporation

03/11/13	Dividend Rec'd on	98	Shs.	\$34.30	
06/10/13	Dividend Rec'd on	98	Shs.	34.30	
09/10/13	Dividend Rec'd on	98	Shs.	41.16	
12/10/13	Dividend Rec'd on	98	Shs.	<u>41.16</u>	150.92

Schedule A-3 (Cont'd)

Morgan Stanley

02/15/13	Dividend Rec'd on	264	Shs.	\$13.20	
05/15/13	Dividend Rec'd on	264	Shs.	13.20	
08/15/13	Dividend Rec'd on	264	Shs.	13.20	
11/15/13	Dividend Rec'd on	264	Shs.	<u>13.20</u>	\$52.80

Mitsubishi EST ADR

07/16/13	Dividend Rec'd on	180	Shs.	\$9.43	
12/16/13	Dividend Rec'd on	280	Shs.	<u>10.51</u>	19.94

M&T Bank Corporation

03/29/13	Dividend Rec'd on	32	Shs.	\$22.40	
09/30/13	Dividend Rec'd on	32	Shs.	22.40	
12/31/13	Dividend Rec'd on	32	Shs.	<u>22.40</u>	67.20

MTM Group, Ltd.

04/12/13	Dividend Rec'd on	113	Shs.	\$56.74	
09/19/13	Dividend Rec'd on	113	Shs.	<u>41.60</u>	98.34

Monro Muffler & Brake

06/11/13	Dividend Rec'd on	49	Shs.	\$5.39	
08/29/13	Dividend Rec'd on	49	Shs.	5.39	
12/26/13	Dividend Rec'd on	49	Shs.	<u>5.39</u>	16.17

Meridian Bioscience, Inc.

05/14/13	Dividend Rec'd on	39	Shs.	\$7.41	
08/15/13	Dividend Rec'd on	39	Shs.	7.41	
11/29/13	Dividend Rec'd on	39	Shs.	<u>7.41</u>	22.23

MS Emerging Markets Domestic Debt

04/15/13	Dividend Rec'd on	2,800	Shs.	\$700.00	
07/15/13	Dividend Rec'd on	2,800	Shs.	700.00	
10/15/13	Dividend Rec'd on	2,800	Shs.	<u>700.00</u>	2,100.00

Mitsui & Company, Ltd. ADR

07/01/13	Dividend Rec'd on	17	Shs.	\$59.65	
12/09/13	Dividend Rec'd on	17	Shs.	<u>82.42</u>	142.07

Schedule A-3 (Cont'd)

Mastercard, Inc.

11/08/13	Dividend Rec'd on	15	Shs.		\$9.00
----------	-------------------	----	------	--	--------

JPMorgan Core Plus Bond Fund

01/02/13	Dividend Rec'd on	14,890.644	Shs.	\$372.27	
02/01/13	Dividend Rec'd on	14,934.235	Shs.	<u>268.82</u>	641.09

JPMorgan Emerging Markets

Debt Fund

01/02/13	Dividend Rec'd on	11,661.77	Shs.	\$991.25	
03/01/13	Dividend Rec'd on	117,700.939	Shs.	176.56	
04/01/13	Dividend Rec'd on	11,790.557	Shs.	365.51	
05/01/13	Dividend Rec'd on	11,831.579	Shs.	<u>733.56</u>	2,266.88

MFS Bond Fund, Class C

01/02/13	Dividend Rec'd on	16,714.533	Shs.	\$567.86	
02/01/13	Dividend Rec'd on	10,768.496	Shs.	385.48	
03/01/13	Dividend Rec'd on	10,795.777	Shs.	<u>344.73</u>	1,298.07

Mondelez International, Inc.

01/14/13	Dividend Rec'd on	400	Shs.	\$52.00	
04/15/13	Dividend Rec'd on	400	Shs.	52.00	
07/15/13	Dividend Rec'd on	400	Shs.	52.00	
10/15/13	Dividend Rec'd on	400	Shs.	<u>56.00</u>	212.00

MFS Series Trust X Emerging Markets

02/01/13	Dividend Rec'd on	5,145.278	Shs.	\$236.65	
03/01/13	Dividend Rec'd on	5,159.877	Shs.	262.68	
04/01/13	Dividend Rec'd on	5,176.152	Shs.	259.16	
05/01/13	Dividend Rec'd on	5,192.39	Shs.	<u>260.66</u>	1,019.15

JPMorgan Trust 1 Income Builder Fund Class C

03/01/13	Dividend Rec'd on	12,708.274	Shs.	\$355.83	
04/01/13	Dividend Rec'd on	12,743.893	Shs.	446.04	
05/01/13	Dividend Rec'd on	12,788.187	Shs.	370.86	
06/03/13	Dividend Rec'd on	12,824.088	Shs.	512.96	
07/01/13	Dividend Rec'd on	12,874.726	Shs.	502.11	
08/01/13	Dividend Rec'd on	12,925.857	Shs.	400.70	
09/03/13	Dividend Rec'd on	12,965.927	Shs.	453.81	

Schedule A-3 (Cont'd)

JPMorgan Trust 1 Income Builder
Fund Class C
(Cont'd)

10/01/13	Dividend Rec'd on	13,012.424	Shs.	\$377.36	
11/01/13	Dividend Rec'd on	13,050.047	Shs.	352.35	
12/02/13	Dividend Rec'd on	13,084.289	Shs.	<u>353.28</u>	\$4,125.30

Merck & Company, Inc.

04/05/13	Dividend Rec'd on	475	Shs.	\$204.25	
07/08/13	Dividend Rec'd on	475	Shs.	204.25	
10/07/13	Dividend Rec'd on	475	Shs.	<u>204.25</u>	612.75

MFS Series Trust X International
Diversification Fund Class C

04/02/13	Dividend Rec'd on	10,357.033	Shs.	\$115.37	
12/30/13	Dividend Rec'd on	10,364.924	Shs.	<u>489.64</u>	605.01

JPMorgan Trust I International
Opportunities Fund Class C

12/23/13	Dividend Rec'd on	7,645.313	Shs.		590.07
----------	-------------------	-----------	------	--	--------

MFS Series Trust XIII Diversified
Income Fund Class C

06/03/13	Dividend Rec'd on	6,786.571	Shs.	\$51.50	
06/04/13	Dividend Rec'd on	6,802.526	Shs.	164.77	
07/01/13	Dividend Rec'd on	6,816.257	Shs.	145.50	
08/01/13	Dividend Rec'd on	6,828.651	Shs.	147.87	
09/03/13	Dividend Rec'd on	6,841.035	Shs.	132.94	
10/01/13	Dividend Rec'd on	6,852.515	Shs.	134.95	
11/01/13	Dividend Rec'd on	6,863.971	Shs.	134.54	
12/02/13	Dividend Rec'd on	6,875.108	Shs.	<u>140.34</u>	1,052.41

Nike, Inc. - Class B

04/01/13	Dividend Rec'd on	535	Shs.	\$112.35	
07/01/13	Dividend Rec'd on	535	Shs.	112.35	
10/07/13	Dividend Rec'd on	425	Shs.	<u>112.35</u>	337.05

Nordstrom, Inc.

03/22/13	Dividend Rec'd on	184	Shs.	\$55.20	
06/17/13	Dividend Rec'd on	184	Shs.	55.20	
09/16/13	Dividend Rec'd on	184	Shs.	55.20	

Schedule A-3 (Cont'd)

Nordstrom, Inc.
(Cont'd)

12/16/13	Dividend Rec'd on	184	Shs.	<u>\$55.20</u>	\$220.80
----------	-------------------	-----	------	----------------	----------

Nestle Spon ADR REP

05/24/13	Dividend Rec'd on	123	Shs.		266.12
----------	-------------------	-----	------	--	--------

Nedbank Group Ltd

04/18/13	Dividend Rec'd on	325	Shs.	\$147.32	
09/26/13	Dividend Rec'd on	325	Shs.	<u>128.06</u>	275.38

Novartis AG ADR

04/05/13	Dividend Rec'd on	96	Shs.		232.94
----------	-------------------	----	------	--	--------

Nokian Tyres OYJ Unsponsored ADR

05/13/13	Dividend Rec'd on	142	Shs.		133.84
----------	-------------------	-----	------	--	--------

Noble Corporation

02/21/13	Dividend Rec'd on	56	Shs.	\$7.28	
05/16/13	Dividend Rec'd on	56	Shs.	<u>7.28</u>	14.56

Neogen Company

11/01/13	Dividend Rec'd on	67	Shs.		23.91
----------	-------------------	----	------	--	-------

Nextera Energy, Inc.

03/15/13	Dividend Rec'd on	1,400	Shs.	\$924.00	
06/17/13	Dividend Rec'd on	1,400	Shs.	924.00	
09/16/13	Dividend Rec'd on	1,400	Shs.	924.00	
12/16/13	Dividend Rec'd on	1,400	Shs.	<u>924.00</u>	3,696.00

Nippon Telegraph & Telephone

12/16/13	Dividend Rec'd on	170	Shs.		65.81
----------	-------------------	-----	------	--	-------

Oracle Corporation

08/02/13	Dividend Rec'd on	642	Shs.	\$77.04	
10/29/13	Dividend Rec'd on	885	Shs.	<u>106.20</u>	183.24

Schedule A-3 (Cont'd)

Oriflame Cosmetics SA ADR

06/04/13	Dividend Rec'd on	345	Shs.		\$389.82
----------	-------------------	-----	------	--	----------

Owens & Minor, Inc.

03/29/13	Dividend Rec'd on	176	Shs.	\$42.24	
06/28/13	Dividend Rec'd on	176	Shs.	42.24	
09/30/13	Dividend Rec'd on	176	Shs.	42.24	
12/31/13	Dividend Rec'd on	176	Shs.	<u>42.24</u>	168.96

Oil Company Lukoil Sponsored ADR

08/20/13	Dividend Rec'd on	69	Shs.	\$103.97	
11/01/13	Dividend Rec'd on	69	Shs.	<u>107.67</u>	211.64

Omnicom Group

04/02/13	Dividend Rec'd on	76	Shs.	\$30.40	
07/11/13	Dividend Rec'd on	76	Shs.	30.40	
10/09/13	Dividend Rec'd on	76	Shs.	<u>30.40</u>	91.20

Oceaneering International, Inc.

03/20/13	Dividend Rec'd on	56	Shs.	\$10.08	
06/20/13	Dividend Rec'd on	56	Shs.	12.32	
09/20/13	Dividend Rec'd on	56	Shs.	12.32	
12/20/13	Dividend Rec'd on	56	Shs.	<u>12.32</u>	47.04

Open Text Corporation

06/21/13	Dividend Rec'd on	23	Shs.	\$8.40	
09/20/13	Dividend Rec'd on	23	Shs.	8.40	
12/20/13	Dividend Rec'd on	23	Shs.	<u>8.40</u>	25.20

Petsmart, Inc.

05/17/13	Dividend Rec'd on	47	Shs.	\$7.76	
08/16/13	Dividend Rec'd on	47	Shs.	7.76	
11/15/13	Dividend Rec'd on	47	Shs.	<u>9.17</u>	24.69

PNC Financial Services Group, Inc.

02/05/13	Dividend Rec'd on	941	Shs.		376.40
----------	-------------------	-----	------	--	--------

Schedule A-3 (Cont'd)

Philip Morris International, Inc.

04/12/13	Dividend Rec'd on	146	Shs.	\$124.10	
07/12/13	Dividend Rec'd on	146	Shs.	124.10	
10/11/13	Dividend Rec'd on	146	Shs.	<u>137.24</u>	\$385.44

Prudential Financial, Inc.

03/21/13	Dividend Rec'd on	184	Shs.	\$73.60	
06/20/13	Dividend Rec'd on	184	Shs.	73.60	
09/19/13	Dividend Rec'd on	184	Shs.	73.60	
12/19/13	Dividend Rec'd on	184	Shs.	<u>97.52</u>	318.32

Philippine Long Distance
Telephone Sponsored ADR

04/25/13	Dividend Rec'd on	146	Shs.	\$396.89	
10/04/13	Dividend Rec'd on	118	Shs.	<u>170.50</u>	567.39

PT Telekomunikas Indonesia

07/02/13	Dividend Rec'd on	226	Shs.	\$386.37	
07/03/13	Dividend Rec'd on	226	Shs.	<u>66.67</u>	453.04

PT Bank Mandiri Persero

05/31/13	Dividend Rec'd on	893	Shs.		165.86
----------	-------------------	-----	------	--	--------

Praxair, Inc.

03/15/13	Dividend Rec'd on	59	Shs.	\$35.40	
06/17/13	Dividend Rec'd on	59	Shs.	35.40	
09/16/13	Dividend Rec'd on	59	Shs.	35.40	
12/16/13	Dividend Rec'd on	59	Shs.	<u>35.40</u>	141.60

Porsche Automobile Holdings SE

05/17/13	Dividend Rec'd on	597	Shs.		131.15
----------	-------------------	-----	------	--	--------

PT United Tractors ADR

06/17/13	Dividend Rec'd on	135	Shs.	\$111.49	
11/07/13	Dividend Rec'd on	135	Shs.	<u>41.91</u>	153.40

Potash Company of
Saskatchewan, Inc.

02/07/13	Dividend Rec'd on	93	Shs.	\$19.53	
----------	-------------------	----	------	---------	--

Schedule A-3 (Cont'd)

Potash Company of
Saskatchewan, Inc.
(Cont'd)

05/02/13	Dividend Rec'd on	93	Shs .	\$26.04	
08/02/13	Dividend Rec'd on	93	Shs .	<u>32.55</u>	\$78.12

PTT Exploration & Production

04/19/13	Dividend Rec'd on	250	Shs .	\$68.73	
09/03/13	Dividend Rec'd on	333	Shs .	<u>62.50</u>	131.23

PT Semen Gresik Persero ADR

07/02/13	Dividend Rec'd on	112	Shs .		82.82
----------	-------------------	-----	-------	--	-------

PPC Limited

06/24/13	Dividend Rec'd on	477	Shs .		35.52
----------	-------------------	-----	-------	--	-------

Pricemart, Inc.

08/30/13	Dividend Rec'd on	26	Shs .		2.56
----------	-------------------	----	-------	--	------

Petroleo Bras

06/04/13	Dividend Rec'd on	115	Shs .	\$14.69	
09/06/13	Dividend Rec'd on	115	Shs .	<u>13.21</u>	27.90

Pegasystems, Inc.

04/15/13	Dividend Rec'd on	40	Shs .	\$1.56	
07/15/13	Dividend Rec'd on	47	Shs .	1.77	
10/15/13	Dividend Rec'd on	47	Shs .	<u>1.77</u>	5.10

Pfizer, Inc.

06/04/13	Dividend Rec'd on	1,800	Shs .	\$432.00	
09/03/13	Dividend Rec'd on	1,800	Shs .	432.00	
12/03/13	Dividend Rec'd on	1,800	Shs .	<u>432.00</u>	1,296.00

People's United Financial, Inc.

02/15/13	Dividend Rec'd on	4,000	Shs .	\$640.00	
05/15/13	Dividend Rec'd on	4,000	Shs .	650.00	
08/15/13	Dividend Rec'd on	4,000	Shs .	650.00	
11/15/13	Dividend Rec'd on	4,000	Shs .	<u>650.00</u>	2,590.00

Schedule A-3 (Cont'd)

PPL Corporation

04/01/13	Dividend Rec'd on	3,600	Shs.	\$1,323.00	
07/01/13	Dividend Rec'd on	3,600	Shs.	1,323.00	
10/01/13	Dividend Rec'd on	3,600	Shs.	<u>1,323.00</u>	\$3,969.00

Pioneer Multo Ast Ult Sht, Inc.

06/01/13	Dividend Rec'd on	9,920.635	Shs.	\$35.84	
07/01/13	Dividend Rec'd on	9,920.635	Shs.	64.40	
08/01/13	Dividend Rec'd on	9,920.635	Shs.	59.45	
09/01/13	Dividend Rec'd on	9,920.635	Shs.	55.54	
10/01/13	Dividend Rec'd on	9,920.635	Shs.	54.56	
11/01/13	Dividend Rec'd on	9,920.635	Shs.	53.59	
12/01/13	Dividend Rec'd on	9,920.635	Shs.	<u>54.52</u>	377.90

Perrigo Company

06/18/13	Dividend Rec'd on	22	Shs.	\$1.98	
09/17/13	Dividend Rec'd on	27	Shs.	1.98	
12/17/13	Dividend Rec'd on	27	Shs.	2.43	
12/19/13	Dividend Rec'd on	27	Shs.	<u>.27</u>	6.66

PT Astra International

11/15/13	Dividend Rec'd on	400	Shs.		44.95
----------	-------------------	-----	------	--	-------

Principal Preferred Securities Fund A

02/01/13	Dividend Rec'd on	24,116.313	Shs.	\$1,104.53	
03/01/13	Dividend Rec'd on	24,220.416	Shs.	1,065.70	
04/01/13	Dividend Rec'd on	24,320.482	Shs.	1,065.24	
05/01/13	Dividend Rec'd on	24,420.13	Shs.	989.02	
06/03/13	Dividend Rec'd on	24,511.791	Shs.	<u>963.31</u>	5,187.80

Principal Investors Fund, Inc., High Yield Fund Class A

01/02/13	Dividend Rec'd on	19,356.306	Shs.	\$1,100.53	
02/01/13	Dividend Rec'd on	19,496.145	Shs.	843.03	
03/01/13	Dividend Rec'd on	19,602.32	Shs.	788.84	
04/01/13	Dividend Rec'd on	19,701.67	Shs.	858.63	
05/01/13	Dividend Rec'd on	19,809.403	Shs.	838.59	
06/03/13	Dividend Rec'd on	19,913.317	Shs.	870.27	
07/01/13	Dividend Rec'd on	20,022.51	Shs.	<u>443.64</u>	5,743.53

Schedule A-3 (Cont'd)

Principal Investors Fund, Inc.,
Global Diversified Income Fund

02/01/13	Dividend Rec'd on	18,042.383	Shs.	\$1,028.42	
03/01/13	Dividend Rec'd on	18,114.858	Shs.	1,032.55	
04/01/13	Dividend Rec'd on	26,953.351	Shs.	1,347.67	
05/01/13	Dividend Rec'd on	27,047.462	Shs.	1,352.37	
06/03/13	Dividend Rec'd on	27,140.09	Shs.	1,357.00	
07/01/13	Dividend Rec'd on	44,903.297	Shs.	2,245.16	
08/01/13	Dividend Rec'd on	45,065.052	Shs.	1,892.73	
09/03/13	Dividend Rec'd on	45,200.344	Shs.	1,898.41	
10/01/13	Dividend Rec'd on	45,338.21	Shs.	1,904.20	
11/01/13	Dividend Rec'd on	45,475.104	Shs.	1,909.95	
12/02/13	Dividend Rec'd on	45,609.324	Shs.	1,915.59	
12/30/13	Dividend Rec'd on	45,744.893	Shs.	<u>5,123.43</u>	\$23,007.48

Petroleo Brasileiro SA

06/04/13	Dividend Rec'd on	800	Shs.	\$84.04	
06/04/13	Dividend Rec'd on	800	Shs.	2.79	
09/06/13	Dividend Rec'd on	800	Shs.	<u>91.89</u>	178.72

Principal Investors Fund, Inc.
Equity Income Fund Class A

07/01/13	Dividend Rec'd on	18,694.663	Shs.	\$2,187.28	
10/01/13	Dividend Rec'd on	18,816.127	Shs.	2,344.49	
12/30/13	Dividend Rec'd on	18,921.12	Shs.	<u>2,342.43</u>	6,874.20

Powershares Exchange

07/31/13	Dividend Rec'd on	3,000	Shs.	\$99.51	
08/30/13	Dividend Rec'd on	3,000	Shs.	100.26	
09/30/13	Dividend Rec'd on	3,000	Shs.	99.87	
10/31/13	Dividend Rec'd on	3,000	Shs.	102.75	
12/02/13	Dividend Rec'd on	3,000	Shs.	101.82	
12/31/13	Dividend Rec'd on	3,000	Shs.	<u>101.19</u>	605.40

Principal Investors Funds, Inc.
Diversified International Fund
Class A

12/30/13	Dividend Rec'd on	4,204.55	Shs.		715.56
----------	-------------------	----------	------	--	--------

Pfizer, Inc.

12/03/13	Dividend Rec'd on	1,000	Shs.		240.00
----------	-------------------	-------	------	--	--------

Schedule A-3 (Cont'd)

Qualcomm, Inc.

03/27/13	Dividend Rec'd on	455	Shs.	\$113.75	
06/26/13	Dividend Rec'd on	455	Shs.	159.25	
09/25/13	Dividend Rec'd on	455	Shs.	159.25	
12/19/13	Dividend Rec'd on	455	Shs.	<u>159.25</u>	\$591.50

Questa Corporation

12/09/13	Dividend Rec'd on	148	Shs.		26.64
----------	-------------------	-----	------	--	-------

CH Robinson Worldwide, Inc.

03/29/13	Dividend Rec'd on	253	Shs.	\$64.75	
03/29/13	Dividend Rec'd on	253	Shs.	23.80	
06/28/13	Dividend Rec'd on	253	Shs.	64.75	
06/28/13	Dividend Rec'd on	253	Shs.	23.80	
09/30/13	Dividend Rec'd on	253	Shs.	64.75	
09/30/13	Dividend Rec'd on	253	Shs.	23.80	
12/31/13	Dividend Rec'd on	66	Shs.	64.75	
12/31/13	Dividend Rec'd on	66	Shs.	<u>23.80</u>	354.20

Roche Holdings ADR

04/11/13	Dividend Rec'd on	188	Shs.		364.49
----------	-------------------	-----	------	--	--------

Royal Dutch Shell PLC

03/28/13	Dividend Rec'd on	88	Shs.	\$15.09	
06/27/13	Dividend Rec'd on	66	Shs.	<u>59.40</u>	74.49

RLI Corporation

03/20/13	Dividend Rec'd on	76	Shs.	\$24.32	
06/20/13	Dividend Rec'd on	76	Shs.	25.84	
09/20/13	Dividend Rec'd on	76	Shs.	25.84	
12/20/13	Dividend Rec'd on	76	Shs.	<u>253.84</u>	329.84

Repsol SA Sponsored ADR

07/01/13	Dividend Rec'd on	192	Shs.	\$10.08	
07/19/13	Dividend Rec'd on	192	Shs.	<u>111.27</u>	121.35

Royal DSM NV Sponsored ADR

06/06/13	Dividend Rec'd on	275	Shs.	\$90.70	
09/06/13	Dividend Rec'd on	275	Shs.	<u>45.46</u>	136.16

Schedule A-3 (Cont'd)

Ross Stores, Inc.

03/29/13	Dividend Rec'd on	55	Shs.	\$9.35	
06/28/13	Dividend Rec'd on	55	Shs.	9.35	
09/30/13	Dividend Rec'd on	102	Shs.	8.67	
09/30/13	Dividend Rec'd on	102	Shs.	8.67	
12/31/13	Dividend Rec'd on	102	Shs.	8.67	
12/31/13	Dividend Rec'd on	102	Shs.	<u>8.67</u>	\$53.38

Ritchie Brothers Auctioneers

03/08/13	Dividend Rec'd on	139	Shs.	\$17.03	
06/07/13	Dividend Rec'd on	139	Shs.	17.03	
09/13/13	Dividend Rec'd on	139	Shs.	<u>18.07</u>	52.13

Raymond James Financial, Inc.

07/15/13	Dividend Rec'd on	80	Shs.	\$11.20	
10/15/13	Dividend Rec'd on	80	Shs.	<u>11.20</u>	22.40

Starbucks Corporation

02/22/13	Dividend Rec'd on	456	Shs.	\$95.76	
05/24/13	Dividend Rec'd on	456	Shs.	95.76	
08/23/13	Dividend Rec'd on	385	Shs.	80.85	
11/29/13	Dividend Rec'd on	385	Shs.	<u>100.10</u>	372.47

Sberbank Russia Sponsored ADR

07/10/13	Dividend Rec'd on	847	Shs.		262.28
----------	-------------------	-----	------	--	--------

SAP AG

06/12/13	Dividend Rec'd on	164	Shs.		182.16
----------	-------------------	-----	------	--	--------

Shoprite Holdings Ltd ADR

04/02/13	Dividend Rec'd on	150	Shs.	\$39.84	
10/01/13	Dividend Rec'd on	150	Shs.	<u>65.54</u>	105.38

Suncor Energy, Inc.

03/25/13	Dividend Rec'd on	673	Shs.	\$15.79	
06/25/13	Dividend Rec'd on	703	Shs.	29.26	
09/25/13	Dividend Rec'd on	703	Shs.	29.85	
12/24/13	Dividend Rec'd on	703	Shs.	<u>24.63</u>	99.53

Schedule A-3 (Cont'd)

Schlumberger, Ltd.

04/12/13	Dividend Rec'd on	112	Shs.	\$18.44	
04/12/13	Dividend Rec'd on	112	Shs.	4.56	
07/12/13	Dividend Rec'd on	120	Shs.	18.44	
07/12/13	Dividend Rec'd on	120	Shs.	16.56	
10/11/13	Dividend Rec'd on	120	Shs.	19.06	
10/11/13	Dividend Rec'd on	120	Shs.	<u>18.44</u>	\$95.50

Sanlam, Ltd.

05/06/13	Dividend Rec'd on	226	Shs.		270.12
----------	-------------------	-----	------	--	--------

Standard Bank Group, Ltd.

05/02/13	Dividend Rec'd on	366	Shs.	\$95.77	
09/26/13	Dividend Rec'd on	366	Shs.	<u>86.16</u>	181.93

Schneider Electric SA

05/29/13	Dividend Rec'd on	328	Shs.		160.51
----------	-------------------	-----	------	--	--------

Sony Financial Holdings, Inc.

07/10/13	Dividend Rec'd on	280	Shs.	\$36.02	
07/11/13	Dividend Rec'd on	280	Shs.	<u>61.51</u>	97.53

Secom Ltd ADR

07/16/13	Dividend Rec'd on	331	Shs.		88.91
----------	-------------------	-----	------	--	-------

Swatch Group AG

06/20/13	Dividend Rec'd on	108	Shs.		38.45
----------	-------------------	-----	------	--	-------

Swiss RE, Ltd.

04/24/13	Dividend Rec'd				451.13
----------	----------------	--	--	--	--------

Sysmex Corporation

07/09/13	Dividend Rec'd on	149	Shs.	\$17.40	
12/17/13	Dividend Rec'd on	149	Shs.	<u>15.79</u>	33.19

Sigma Aldrich Corporation

03/15/13	Dividend Rec'd on	41	Shs.	\$8.82	
06/14/13	Dividend Rec'd on	41	Shs.	8.82	

Schedule A-3 (Cont'd)

Sigma Aldrich Corporation

(Cont'd)

09/13/13	Dividend Rec'd on	41	Shs.	\$8.82	
12/13/13	Dividend Rec'd on	41	Shs.	<u>8.82</u>	\$35.28

Svenska Handelsbanken AB ADR

04/12/13	Dividend Rec'd on	110	Shs.		89.26
----------	-------------------	-----	------	--	-------

Sasol, Ltd.

04/25/13	Dividend Rec'd on	39	Shs.	\$24.21	
10/24/13	Dividend Rec'd on	39	Shs.	<u>51.99</u>	76.20

Simpson Manufacturing Company

07/25/13	Dividend Rec'd on	45	Shs.	\$5.63	
10/24/13	Dividend Rec'd on	45	Shs.	<u>5.63</u>	11.26

Software AG

05/16/13	Dividend Rec'd on	505	Shs.		53.11
----------	-------------------	-----	------	--	-------

A O Smith Corporation

05/14/13	Dividend Rec'd on	45	Shs.	\$10.80	
08/15/13	Dividend Rec'd on	90	Shs.	10.80	
11/15/13	Dividend Rec'd on	90	Shs.	<u>10.80</u>	32.40

Seadrill Ltd.

06/20/13	Dividend Rec'd on	3,000	Shs.	\$2,640.00	
09/20/13	Dividend Rec'd on	3,000	Shs.	2,730.00	
12/20/13	Dividend Rec'd on	3,000	Shs.	<u>2,850.00</u>	8,220.00

Shire PC ADR

10/01/13	Dividend Rec'd on	40	Shs.		4.14
----------	-------------------	----	------	--	------

Starbuck Corporation

02/22/13	Dividend Rec'd on	300	Shs.	\$63.00	
05/24/13	Dividend Rec'd on	300	Shs.	63.00	
08/23/13	Dividend Rec'd on	300	Shs.	63.00	
12/02/13	Dividend Rec'd on	300	Shs.	<u>78.00</u>	267.00

Schedule A-3 (Cont'd)

T Rowe Price Group, Inc.

03/27/13	Dividend Rec'd on	405	Shs.	\$150.86	
03/27/13	Dividend Rec'd on	405	Shs.	17.10	
06/27/13	Dividend Rec'd on	405	Shs.	153.90	
09/27/13	Dividend Rec'd on	405	Shs.	153.90	
12/27/13	Dividend Rec'd on	405	Shs.	<u>153.90</u>	\$629.66

Tyson Foods, Inc., Class A

03/15/13	Dividend Rec'd on	857	Shs.	\$42.85	
06/14/13	Dividend Rec'd on	857	Shs.	42.85	
09/13/13	Dividend Rec'd on	857	Shs.	42.85	
12/13/13	Dividend Rec'd on	857	Shs.	<u>64.28</u>	192.83

Taiwan SMCN DCTR Mfg. Company Ltd. ADR

07/29/13	Dividend Rec'd on	1,025	Shs.		513.61
----------	-------------------	-------	------	--	--------

Tesco PLC Sponsored ADR

07/12/13	Dividend Rec'd on	420	Shs.	\$119.24	
07/12/13	Dividend Rec'd on	420	Shs.	71.91	
12/31/13	Dividend Rec'd on	420	Shs.	59.45	
12/31/13	Dividend Rec'd on	420	Shs.	<u>35.85</u>	286.45

Tiger Brands, Ltd.

07/11/13	Dividend Rec'd on	163	Shs.		50.79
----------	-------------------	-----	------	--	-------

Turkiye Garanti Banksai AS

05/24/13	Dividend Rec'd on	913	Shs.		72.11
----------	-------------------	-----	------	--	-------

Technip-Coflexip

03/01/13	Dividend Rec'd on	156	Shs.	\$15.30	
06/03/13	Dividend Rec'd on	162	Shs.	<u>88.78</u>	104.08

Toto Ltd ADR

06/25/13	Dividend Rec'd on	232	Shs.		43.98
----------	-------------------	-----	------	--	-------

TJX Companies, Inc.

03/07/13	Dividend Rec'd on	95	Shs.	\$10.93	
06/06/13	Dividend Rec'd on	106	Shs.	15.37	

Schedule A-3 (Cont'd)

TJX Companies, Inc.

(Cont'd)

09/05/13	Dividend Rec'd on	75	Shs.	\$10.88	
12/05/13	Dividend Rec'd on	59	Shs.	<u>8.56</u>	\$45.74

Technic CP

05/24/13	Dividend Rec'd on	51	Shs.	\$15.30	
08/30/13	Dividend Rec'd on	51	Shs.	15.30	
11/25/13	Dividend Rec'd on	41	Shs.	<u>12.71</u>	43.31

Tiffany & Company

04/10/13	Dividend Rec'd on	51	Shs.	\$16.32	
07/10/13	Dividend Rec'd on	38	Shs.	12.92	
10/10/13	Dividend Rec'd on	38	Shs.	<u>12.92</u>	42.16

Thor Industries, Inc.

04/19/13	Dividend Rec'd on	56	Shs.	\$10.08	
06/28/13	Dividend Rec'd on	56	Shs.	10.08	
10/28/13	Dividend Rec'd on	56	Shs.	12.88	
11/19/13	Dividend Rec'd on	56	Shs.	<u>56.00</u>	89.04

Teleflex, Inc.

03/15/13	Dividend Rec'd on	22	Shs.	\$7.48	
06/14/13	Dividend Rec'd on	22	Shs.	7.48	
09/13/13	Dividend Rec'd on	25	Shs.	8.50	
12/13/13	Dividend Rec'd on	25	Shs.	<u>8.50</u>	31.96

Tower Group, Inc.

02/28/13	Dividend Rec'd on	64	Shs.		12.00
----------	-------------------	----	------	--	-------

Tower Group International, Ltd.

06/21/13	Dividend Rec'd on	30	Shs.	\$16.83	
09/20/13	Dividend Rec'd on	30	Shs.	<u>16.83</u>	33.66

Tokyo Electron, Ltd.

12/17/13	Dividend Rec'd on	335	Shs.		20.36
----------	-------------------	-----	------	--	-------

Texas Roadhouse, Inc.

06/28/13	Dividend Rec'd on	140	Shs.	\$16.80	
----------	-------------------	-----	------	---------	--

Schedule A-3 (Cont'd)

Texas Roadhouse, Inc.
(Cont'd)

09/27/13	Dividend Rec'd on	140	Shs.	\$16.80	
12/27/13	Dividend Rec'd on	186	Shs.	16.08	
12/27/13	Dividend Rec'd on	186	Shs.	<u>6.24</u>	\$55.92

Unumprovident Corporation

05/17/13	Dividend Rec'd on	743	Shs.	\$96.59	
08/16/13	Dividend Rec'd on	743	Shs.	107.74	
11/15/13	Dividend Rec'd on	743	Shs.	<u>107.74</u>	312.07

Union Pacific Corporation

04/01/13	Dividend Rec'd on	106	Shs.	\$73.14	
07/01/13	Dividend Rec'd on	106	Shs.	73.14	
10/01/13	Dividend Rec'd on	106	Shs.	<u>83.74</u>	230.02

United Technologies Corporation

03/10/13	Dividend Rec'd on	120	Shs.	\$64.20	
06/10/13	Dividend Rec'd on	120	Shs.	64.20	
09/10/13	Dividend Rec'd on	120	Shs.	64.20	
12/10/13	Dividend Rec'd on	120	Shs.	<u>70.80</u>	263.40

Unicharm Corporation Unsponsored
ADR

02/15/13	Dividend Rec'd on	459	Shs.	\$96.59	
06/17/13	Dividend Rec'd on	459	Shs.	15.70	
12/12/13	Dividend Rec'd on	459	Shs.	<u>16.05</u>	128.34

Unilever PLC

03/13/13	Dividend Rec'd on	65	Shs.	\$21.04	
06/12/13	Dividend Rec'd on	65	Shs.	22.71	
09/11/13	Dividend Rec'd on	65	Shs.	23.04	
12/11/13	Dividend Rec'd on	65	Shs.	<u>23.91</u>	90.70

Verizon Communications

02/01/13	Dividend Rec'd on	2,440	Shs.		1,256.60
----------	-------------------	-------	------	--	----------

Valero Energy Company

03/13/13	Dividend Rec'd on	380	Shs.	\$76.00	
06/19/13	Dividend Rec'd on	380	Shs.	76.00	

Schedule A-3 (Cont'd)

Valero Energy Company

(Cont'd)

09/11/13	Dividend Rec'd on	380	Shs.	\$85.50	
12/18/13	Dividend Rec'd on	380	Shs.	<u>85.50</u>	\$323.00

Vale S.A. SP

04/05/13	Dividend Rec'd on	433	Shs.	\$186.64	
11/08/13	Dividend Rec'd on	385	Shs.	<u>115.72</u>	302.36

Vodafone Group

08/07/13	Dividend Rec'd on	2,152	Shs.	\$2,125.27	
08/07/13	Dividend Rec'd on	2,152	Shs.	<u>161.52</u>	2,286.79

Vodacom Group Ltd

07/16/13	Dividend Rec'd on	300	Shs.	\$129.80	
12/10/13	Dividend Rec'd on	300	Shs.	<u>115.13</u>	244.93

VF Corporation

03/18/13	Dividend Rec'd on	28	Shs.		17.40
----------	-------------------	----	------	--	-------

Valspar Corporation

03/15/13	Dividend Rec'd on	35	Shs.		8.05
----------	-------------------	----	------	--	------

Visa, Inc. Class A

03/05/13	Dividend Rec'd on	84	Shs.	\$27.72	
06/04/13	Dividend Rec'd on	142	Shs.	46.86	
09/04/13	Dividend Rec'd on	180	Shs.	59.40	
12/03/13	Dividend Rec'd on	180	Shs.	<u>72.00</u>	205.98

Wells Fargo & Company

03/01/13	Dividend Rec'd on	437	Shs.	\$109.25	
06/01/13	Dividend Rec'd on	437	Shs.	131.10	
09/01/13	Dividend Rec'd on	437	Shs.	131.10	
12/01/13	Dividend Rec'd on	437	Shs.	<u>131.10</u>	502.55

Williams Companies, Inc.

03/25/13	Dividend Rec'd on	1,400	Shs.	\$474.25	
06/24/13	Dividend Rec'd on	1,400	Shs.	493.50	
09/30/13	Dividend Rec'd on	1,400	Shs.	512.75	

Schedule A-3 (Cont'd)

Williams Companies, Inc.

(Cont'd)

12/30/13	Dividend Rec'd on	1,400	Shs.	<u>\$532.00</u>	\$2,012.50
----------	-------------------	-------	------	-----------------	------------

W W Grainger Inc.

03/01/13	Dividend Rec'd on	54	Shs.	\$32.00	
03/01/13	Dividend Rec'd on	54	Shs.	11.20	
06/01/13	Dividend Rec'd on	87	Shs.	37.20	
06/01/13	Dividend Rec'd on	87	Shs.	13.02	
09/01/13	Dividend Rec'd on	87	Shs.	67.89	
09/01/13	Dividend Rec'd on	87	Shs.	13.02	
12/01/13	Dividend Rec'd on	87	Shs.	67.89	
12/01/13	Dividend Rec'd on	87	Shs.	<u>13.02</u>	255.24

WABTEC

03/01/13	Dividend Rec'd on	137	Shs.	\$2.05	
03/01/13	Dividend Rec'd on	137	Shs.	1.75	
05/31/13	Dividend Rec'd on	122	Shs.	1.60	
05/31/13	Dividend Rec'd on	122	Shs.	1.45	
08/30/13	Dividend Rec'd on	122	Shs.	2.56	
08/30/13	Dividend Rec'd on	122	Shs.	2.32	
11/29/13	Dividend Rec'd on	122	Shs.	2.56	
11/29/13	Dividend Rec'd on	122	Shs.	<u>2.32</u>	16.61

WD 40 Company

04/30/13	Dividend Rec'd on	132	Shs.	\$40.92	
07/31/13	Dividend Rec'd on	132	Shs.	40.92	
10/31/13	Dividend Rec'd on	132	Shs.	<u>40.92</u>	122.76

Weichai Power Company

09/04/13	Dividend Rec'd on	338	Shs.	\$65.83	
11/01/13	Dividend Rec'd on	338	Shs.	<u>21.91</u>	87.74

World Fuel Services Corporation

04/05/13	Dividend Rec'd on	220	Shs.	\$5.63	
04/05/13	Dividend Rec'd on	220	Shs.	2.63	
07/05/13	Dividend Rec'd on	220	Shs.	5.63	
07/05/13	Dividend Rec'd on	220	Shs.	2.63	
10/04/13	Dividend Rec'd on	156	Shs.	3.04	
10/04/13	Dividend Rec'd on	156	Shs.	<u>2.81</u>	22.37

Schedule A-3 (Cont'd)

WPP PLC Sponsored

07/11/13	Dividend Rec'd on	130	Shs.	\$109.92	
07/11/13	Dividend Rec'd on	130	Shs.	80.61	
11/15/13	Dividend Rec'd on	130	Shs.	62.82	
11/15/13	Dividend Rec'd on	130	Shs.	<u>46.07</u>	\$299.42

Woolworths Holdings, Ltd.

03/21/13	Dividend Rec'd on	72	Shs.	\$67.35	
10/03/13	Dividend Rec'd on	72	Shs.	<u>107.89</u>	175.24

John Wiley & Sons Class A

04/12/13	Dividend Rec'd on	119	Shs.	\$20.94	
07/15/13	Dividend Rec'd on	119	Shs.	<u>29.75</u>	50.69

Westamerica Bancorporation

02/15/13	Dividend Rec'd on	89	Shs.	\$32.93	
05/17/13	Dividend Rec'd on	89	Shs.	32.93	
08/16/13	Dividend Rec'd on	89	Shs.	32.93	
11/15/13	Dividend Rec'd on	89	Shs.	<u>33.82</u>	132.61

Wynn Macau, Ltd.

06/21/13	Dividend Rec'd on	232	Shs.	\$370.58	
10/08/13	Dividend Rec'd on	232	Shs.	<u>149.61</u>	520.19

Wolverine World Wide

05/01/13	Dividend Rec'd on	212	Shs.	\$28.50	
08/01/13	Dividend Rec'd on	356	Shs.	13.44	
08/01/13	Dividend Rec'd on	356	Shs.	7.92	
11/01/13	Dividend Rec'd on	356	Shs.	13.44	
11/01/13	Dividend Rec'd on	356	Shs.	<u>7.92</u>	71.22

Woodward, Inc.

03/01/13	Dividend Rec'd on	53	Shs.	\$4.24	
06/03/13	Dividend Rec'd on	53	Shs.	4.24	
09/03/13	Dividend Rec'd on	55	Shs.	4.40	
12/02/13	Dividend Rec'd on	55	Shs.	<u>4.40</u>	17.28

West Pharmaceutical Services, Inc.

02/06/13	Dividend Rec'd on	30	Shs.	\$5.70	
----------	-------------------	----	------	--------	--

Schedule A-3 (Cont'd)

West Pharmaceutical Services,
Inc.

(Cont'd)

05/01/13	Dividend Rec'd on	30	Shs.	\$13.44	
08/07/13	Dividend Rec'd on	30	Shs.	5.70	
11/06/13	Dividend Rec'd on	30	Shs.	<u>6.00</u>	\$30.84

Williams Companies (2)

03/25/13	Dividend Rec'd on	402	Shs.	\$136.18	
06/24/13	Dividend Rec'd on	402	Shs.	141.71	
09/30/13	Dividend Rec'd on	402	Shs.	147.23	
12/30/13	Dividend Rec'd on	402	Shs.	<u>152.76</u>	577.88

Xinyi Glass Holdings, Ltd.

07/25/13	Dividend Rec'd on	138	Shs.	\$32.02	
10/03/13	Dividend Rec'd on	83	Shs.	<u>46.27</u>	78.29

YPF Sociedad ADS

09/05/13	Dividend Rec'd on	400	Shs.		<u>58.95</u>
----------	-------------------	-----	------	--	--------------

TOTAL SCHEDULE A-3

\$174,175.72
=====

Schedule A-2

INCREASE ON SALE OR REDEMPTION OF ASSETS

	<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Bonds, Notes, etc.</u>			
Aegon NV Senior Notes <u>4.625% Due 12/01/15</u>			
02/25/13 \$50,000.00	\$54,172.00	\$53,028.00	\$1,144.00
American Axle & Manufacturing <u>6.625% Due 10/15/22</u>			
06/03/13 \$5,000.00	5,362.50	4,850.00	512.50
American Tower Corporation <u>4.5% Due 01/15/18</u>			
04/02/13 \$10,000.00	11,033.90	10,958.60	75.30
Amkor Technology, Inc. Senior Notes <u>7.375% Due 05/01/18</u>			
06/05/13 \$10,000.00	10,550.00	10,387.50	162.50
Arcelormittal SA Luxemborg <u>10.35% Due 06/01/19</u>			
06/03/13 \$5,000.00	6,231.25	6,003.75	227.50
Autonation, Inc. <u>6.75% Due 04/15/18</u>			
06/05/13 \$5,000.00	5,775.00	5,625.00	150.00
Avis Budge Car Rental <u>8.25% Due 01/15/19</u>			
01/15/13 \$5,000.00	5,587.50	5,481.25	106.25
Bank Amer Corporation Senior Note <u>6% Due 09/01/17</u>			
01/28/13 \$5,000.00	5,818.70	5,808.55	10.15

Schedule A-2 (Cont'd)

	<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
Connecticut State <u>3% Due 02/15/18</u>			
01/23/13 \$75,000.00	\$81,118.75	\$79,813.50	\$1,305.25
State of California Various General Obligation Build America Bonds <u>7.55% Due 04/01/39</u>			
05/30/13 \$5,000.00	7,260.25	7,217.80	42.45
Citigroup, Inc. <u>5.25% Due 03/15/18</u>			
06/05/13 \$5,000.00	5,375.00	5,200.00	175.00
11/12/13 \$5,000.00	5,375.00	5,200.00	175.00
Calumet Specialty Products <u>9.375% Due 05/01/19</u>			
06/05/13 \$5,000.00	5,525.00	5,362.50	162.50
Chesapeake Energy Corporation <u>6.125% Due 02/15/21</u>			
06/05/13 \$5,000.00	5,425.50	4,862.50	563.00
Chrysler Group, LLC. <u>8.25% Due 06/15/21</u>			
06/03/13 \$5,000.00	5,598.75	5,525.00	73.75
Cinemark USA, Inc. Senior Notes <u>8.625% Due 01/15/20</u>			
01/18/13 \$5,000.00	5,575.00	5,531.25	43.75
Comstock Resource, Inc. <u>8.375% Due 10/15/17</u>			
06/03/13 \$5,000.00	5,250.00	5,187.00	63.00

Schedule A-2 (Cont'd)

	<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
Comstock Resource, Inc.			
<u>8.375% Due 10/15/17</u>			
(Cont'd)			
10/15/13 \$5,000.00	\$5,209.40	\$5,187.00	\$22.40
Copano Energy, LLC Senior Notes			
<u>7.125% Due 04/01/21</u>			
03/12/13 \$5,000.00	5,712.50	5,450.00	262.50
CHS/Community Health Services			
Registered Senior Notes			
<u>7.125% Due 07/15/20</u>			
06/03/13 \$5,000.00	5,503.30	5,400.00	103.30
Ford Motor Company Global			
Landmark			
<u>7.45% Due 07/15/31</u>			
06/03/13 \$5,000.00	6,382.60	6,300.00	82.60
Genon Escrow Corporation			
Senior Note			
<u>9.875% Due 10/01/20</u>			
06/03/13 \$5,000.00	5,700.00	5,656.25	43.75
Goldman Sachs Group, Inc.			
<u>6.125% Due 02/15/33</u>			
05/30/13 \$5,000.00	5,793.75	5,786.25	7.50
Gap, Inc.			
<u>5.95% Due 04/12/21</u>			
06/03/13 \$5,000.00	5,790.35	5,631.25	159.10

Schedule A-2 (Cont'd)

	<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
Goldcorp, Inc. New <u>3.7% Due 03/15/23</u>			
10/29/13 \$5,000.00	\$4,713.00	\$4,606.25	\$106.75
Hanesbrand, Inc., Senior Note <u>6.375% Due 12/15/20</u>			
06/03/13 \$5,000.00	5,512.50	5,481.25	31.25
International Lease Finance Corporation <u>8.25% Due 12/15/20</u>			
06/05/13 \$5,000.00	6,040.60	5,812.50	228.10
Levi Strauss & Company New <u>6.875% Due 05/01/22</u>			
06/03/13 \$5,000.00	5,550.00	5,212.50	337.50
JPMorgan Chase Bank <u>5.875% Due 06/13/16</u>			
02/25/13 \$50,000.00	56,829.00	55,653.50	1,175.50
Morgan Stanley <u>2.875% Due 07/28/14</u>			
02/25/13 \$50,000.00	50,973.50	49,913.90	1,059.60
Metropcs Wireless, Inc. Senior Notes <u>6.625% Due 11/15/20</u>			
06/03/13 \$5,000.00	5,397.55	5,312.50	85.05
Morgan Stanley Senior Notes <u>5.5% Due 07/28/21</u>			
05/30/13 \$5,000.00	5,669.80	5,610.60	59.20

Schedule A-2 (Cont'd)

	<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
JPMorgan Chase & Company Deposit Shares <u>7.9% Due 04/29/49</u>			
06/03/13 \$5,000.00	\$5,855.00	\$5,781.25	\$73.75
Plains Exploration & Production <u>6.75% Due 02/01/22</u>			
05/15/13 \$5,000.00	5,625.00	5,431.25	193.75
Polyone Corporation <u>7.375% Due 09/15/20</u>			
05/06/13 \$5,000.00	5,625.00	5,400.00	225.00
Royal Caribbean Cruises, Ltd. <u>7.25% Due 03/15/18</u>			
11/12/13 \$5,000.00	5,743.75	5,712.50	31.25
Seagate HDD Cayman Senior Notes <u>7% Due 11/01/21</u>			
02/12/13 \$5,000.00	5,412.50	5,237.50	175.00
Sprint Capital Corporation <u>6.9% Due 05/01/19</u>			
05/24/13 \$5,000.00	5,462.50	5,406.25	56.25
Teekay Corporation <u>8.5% Due 01/15/20</u>			
06/05/13 \$5,000.00	5,525.00	5,293.75	231.25
Terex Corporation <u>6.5% Due 04/01/20</u>			
06/05/13 \$5,000.00	5,389.00	5,225.00	164.00

Schedule A-2 (Cont'd)

		<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Bonds, Notes, etc. (Cont'd)</u>				
United States Steel Corporation				
<u>6.65% Due 06/01/37</u>				
05/23/13	\$10,000.00	\$9,250.00	\$8,562.50	\$687.50
Weatherford International, Ltd.				
<u>9.625% Due 03/01/19</u>				
06/06/13	\$5,000.00	6,475.00	6,469.95	5.05
Windstream Corporation				
Senior Notes				
<u>7.875% Due 11/01/17</u>				
06/03/13	\$5,000.00	5,802.50	5,543.75	258.75
Wells Fargo & Company				
(variable rate)				
<u>Due 03/29/49</u>				
06/03/13	\$5,000.00	5,837.50	5,806.25	31.25
Weyerhaeuser Company				
<u>7.375% Due 03/15/32</u>				
06/03/13	\$5,000.00	6,626.05	6,188.35	437.70
<u>Stock and Common Funds</u>				
Aflac Incorporated				
01/17/13	1,000 Shs.	52,923.31	37,660.00	15,263.31
Air Liquide ADR				
07/30/13	31 Shs.	803.33	773.44	29.89
AXA ADS				
08/19/13	31 Shs.	732.20	572.78	159.42

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Asahi Kaisei Corporation</u>					
04/26/13	23	Shs.	\$300.83	\$270.48	\$30.35
<u>Adidas-Salomon AG Sponsored ADR</u>					
03/22/13	8	Shs.	412.47	365.92	46.55
07/29/13	84	Shs.	4,637.47	3,842.16	795.31
<u>Agrium, Inc.</u>					
01/31/13	39	Shs.	4,443.91	4,170.66	273.25
<u>Adtran, Inc.</u>					
08/14/13	69	Shs.	1,802.92	1,431.75	371.17
09/09/13	71	Shs.	1,848.62	1,473.24	375.38
<u>Advisory Board Company</u>					
11/13/13	15	Shs.	907.37	788.25	119.12
<u>Accor SA</u>					
09/20/13	115	Shs.	948.26	754.40	193.86
<u>Bank of New York Mellon Corporation</u>					
01/17/13	5,739	Shs.	153,195.15	104,578.93	48,616.22
<u>Bristol Myers Squibb Company</u>					
01/17/13	1,257	Shs.	42,993.96	41,059.91	1,934.05
<u>Baidu, Inc. ADS</u>					
09/19/13	45	Shs.	6,509.33	4,363.15	2,146.18
<u>Bombadier, Inc., Class B</u>					
06/17/13	65	Shs.	295.74	271.67	24.07

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Bed Bath & Beyond, Inc.</u>					
08/12/13	20	Shs.	\$1,520.86	\$1,115.34	\$405.52
<u>Berry Petroleum Company</u>					
05/15/13	5	Shs.	222.88	173.05	49.83
05/28/13	30	Shs.	1,343.21	1,038.30	304.91
<u>CVS Caremark Corporation</u>					
01/17/13	2,000	Shs.	103,603.07	66,920.00	36,683.07
<u>Copart, Inc.</u>					
02/05/13	58	Shs.	2,089.56	1,849.53	240.03
<u>Cap Gemini SA ADR</u>					
08/19/13	16	Shs.	459.67	385.97	73.70
10/08/13	86	Shs.	2,590.04	2,074.62	515.42
<u>Coca-Cola Enterprises, Inc.</u>					
03/22/13	5	Shs.	181.88	168.58	13.30
10/30/13	120	Shs.	4,863.19	4,045.81	817.38
<u>Carmax, Inc.</u>					
04/08/13	22	Shs.	900.23	823.41	76.82
<u>Caci International, Inc.</u>					
06/05/13	55	Shs.	3,541.99	3,121.53	420.46
<u>Commvault Systems, Inc.</u>					
03/27/13	5	Shs.	410.32	345.35	64.97
<u>Cantel Medical Corporation</u>					
07/12/13	0.5	Shs.	12.93	10.37	2.56

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Cooper Company, Inc.</u>					
05/24/13	4	Shs.	\$444.21	\$407.69	\$36.52
07/02/13	20	Shs.	2,352.77	2,038.45	314.32
<u>Cymer, Inc.</u>					
01/24/13	2	Shs.	191.17	182.06	9.11
02/07/13	14	Shs.	1,414.87	1,274.42	140.45
<u>Crimson Wine Group, Ltd.</u>					
03/01/13	0.078	Shs.	1.57	.54	1.03
03/11/13	12	Shs.	90.65	83.23	7.42
<u>Check Point Software Tech, Ltd.</u>					
09/20/13	13	Shs.	761.82	661.56	100.26
<u>Daimler AG</u>					
06/17/13	18	Shs.	1,122.10	1,011.18	110.92
08/19/13	10	Shs.	732.58	561.76	170.82
<u>Eastman Chemical Company</u>					
01/17/13	400	Shs.	28,225.52	14,221.50	14,004.02
<u>Emerson Electric Company</u>					
01/17/13	3,200	Shs.	177,032.19	139,568.00	37,464.19
<u>Energizer Holdings, Inc.</u>					
01/17/13	800	Shs.	66,988.09	52,888.00	14,100.09
<u>Exxon Mobil Corporation</u>					
01/17/13	4,000	Shs.	358,115.97	293,260.00	64,855.97

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Euro Aero Defense and Space</u>					
<u>Company ADR</u>					
01/23/13	3	Shs.	\$134.69	\$129.36	\$5.33
03/14/13	11	Shs.	577.59	474.32	103.27
12/20/13	71	Shs.	1,330.21	765.38	564.83
<u>Ecolab, Inc.</u>					
07/25/13	10	Shs.	937.61	728.60	209.01
08/23/13	30	Shs.	2,719.15	2,185.80	533.35
<u>EOG Resources, Inc.</u>					
01/22/13	15	Shs.	1,875.10	1,868.55	6.55
<u>Eutelsat Communications</u>					
10/08/13	605	Shs.	4,658.71	4,451.52	207.19
<u>Factset Research Systems, Inc.</u>					
04/11/13	49	Shs.	4,429.07	4,362.74	66.33
<u>First Cash Financial Services</u>					
11/21/13	29	Shs.	1,810.08	1,449.32	360.76
11/22/13	14	Shs.	864.80	699.67	165.13
11/25/13	13	Shs.	801.73	649.69	152.04
<u>Fossil, Inc.</u>					
02/20/13	31	Shs.	3,208.43	3,143.60	64.83
<u>Family Dollar Stores</u>					
12/17/13	55	Shs.	3,528.86	3,114.64	414.22
<u>Genuine Parts Company</u>					
01/17/13	1,350	Shs.	88,106.18	6,934.25	81,171.93

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Graco, Inc.</u>					
11/21/13	20	Shs.	\$1,567.70	\$1,072.70	\$495.00
11/22/13	7	Shs.	542.35	375.44	166.91
<u>Gulfport Energy Corporation</u>					
04/26/13	4	Shs.	187.91	159.36	28.55
10/22/13	27	Shs.	1,775.79	1,075.68	700.11
<u>Glacier Bancorp, Inc.</u>					
11/13/13	45	Shs.	1,245.89	685.28	560.61
<u>Green Mountain Coffee</u>					
04/02/13	1,000	Shs.	55,260.23	28,022.33	27,237.90
10/03/13	500	Shs.	35,542.60	14,011.17	21,531.43
<u>Hillenbrand, Inc.</u>					
05/23/13	76	Shs.	1,899.13	1,797.40	101.73
08/26/13	110	Shs.	2,632.12	2,601.50	30.62
<u>Hitachi 10</u>					
12/20/13	10	Shs.	728.88	638.81	90.07
<u>Haemonetics Corporation</u>					
11/26/13	13	Shs.	523.11	516.72	6.39
<u>Ingredion, Inc.</u>					
01/17/13	2,000	Shs.	136,289.34	80,530.60	55,758.74
<u>Intuit, Inc.</u>					
10/09/13	183	Shs.	12,144.39	11,496.63	647.76
12/02/13	190	Shs.	13,865.49	11,936.39	1,929.10

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Infineon Technologies AG</u>					
06/17/13	80	Shs.	\$687.35	\$679.95	\$7.40
10/21/13	510	Shs.	4,952.06	4,334.65	617.41
<u>Ilex Corporation</u>					
07/24/13	57	Shs.	3,339.03	2,730.30	608.73
<u>Interactive Intelligence Group</u>					
08/19/13	4	Shs.	246.01	157.20	88.81
<u>Ishares Trust Dow Jones US Financial Sector Index Fund</u>					
10/07/13	400	Shs.	29,415.48	26,640.00	2,775.48
<u>Jarden Corporation</u>					
03/18/13	0.5	Shs.	21.91	18.15	3.76
<u>Jacobs Engineering Group, Inc.</u>					
04/05/13	17	Shs.	922.45	761.71	160.74
<u>Kao Corporation</u>					
03/22/13	22	Shs.	712.12	589.60	122.52
<u>Lincoln Electric Holdings, Inc.</u>					
04/05/13	61	Shs.	3,218.52	3,113.89	104.63
10/31/13	30	Shs.	2,012.95	1,531.42	481.53
11/01/13	7	Shs.	470.60	357.33	113.27
<u>Lonza Group AG Zuerich ADR</u>					
09/20/13	194	Shs.	1,571.27	1,189.59	381.68
09/23/13	90	Shs.	723.09	551.87	171.22
10/07/13	175	Shs.	1,418.99	1,073.09	345.90
10/08/13	65	Shs.	524.07	398.57	125.50

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>McCormick and Company</u>					
01/17/13	1,958	Shs.	\$127,995.51	\$91,047.00	\$36,948.51
03/18/13	42	Shs.	2,957.58	1,953.00	1,004.58
<u>MetLife, Inc.</u>					
01/17/13	21	Shs.	761.65	622.86	138.79
<u>Microsoft Corporation</u>					
01/17/13	822	Shs.	22,131.11	22,119.77	11.34
<u>Mitsubishi EST ADR</u>					
03/22/13	19	Shs.	528.37	457.71	70.66
04/29/13	18	Shs.	562.60	433.62	128.98
<u>Marks & Spencer Group PLC ADR</u>					
05/10/13	357	Shs.	4,494.48	4,212.60	281.88
<u>Medidata Solutions, Inc.</u>					
05/03/13	9	Shs.	586.97	395.10	191.87
05/24/13	5	Shs.	350.63	219.50	131.13
07/29/13	4	Shs.	296.88	175.60	121.28
10/30/13	5	Shs.	614.98	219.50	395.48
11/13/13	2	Shs.	212.53	87.80	124.73
<u>Manhattan Association, Inc.</u>					
11/13/13	10	Shs.	1,082.41	665.41	417.00
<u>JPMorgan Emerging Markets Debt Fund</u>					
05/21/13	11,661.77	Shs.	105,305.78	98,721.75	6,584.03
<u>MFS Bond Fund, Class C</u>					
01/04/13	5,985.915	Shs.	85,000.00	82,692.45	2,307.55

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>MFS Bond Fund, Class C</u>					
(Cont'd)					
03/12/13	10,728.618	Shs.	\$151,160.05	\$148,210.53	\$2,949.52
<u>MFS Series Trust XIII Diversified Income Fund Class C</u>					
06/03/13	6,786.571	Shs.			
long term capital gain distribution			191.46	.00	191.46
12/11/13	6,798.234	Shs.			
short term capital gain distribution			300.26	.00	300.26
12/11/13	6,798.234	Shs.			
long term capital gain distribution			693.50	.00	693.50
<u>Nike, Inc. - Class B</u>					
10/04/13	110	Shs.	7,965.54	5,874.78	2,090.76
<u>Novartis AG ADR</u>					
04/12/13	34	Shs.	2,422.06	2,228.87	193.19
<u>Noble Corporation</u>					
05/21/13	56	Shs.	2,225.65	2,096.46	129.19
<u>Nextera Energy, Inc.</u>					
02/19/13	1,000	Shs.	71,775.64	53,290.00	18,485.64
<u>Nippon Telegraph & Telephone</u>					
07/05/13	24	Shs.	623.23	534.60	88.63
<u>Nidec Corporation</u>					
05/10/13	55	Shs.	946.10	754.15	191.95
07/30/13	42	Shs.	879.88	575.90	303.98

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Nidec Corporation</u>					
(Cont'd)					
08/07/13	218	Shs.	\$4,632.82	\$2,989.17	\$1,643.65
<u>Paychex, Inc.</u>					
01/17/13	1,500	Shs.	48,496.91	39,562.50	8,934.41
<u>Petsmart, Inc.</u>					
01/17/13	453	Shs.	30,468.77	18,674.93	11,793.84
<u>PNC Financial Services Group, Inc.</u>					
01/17/13	941	Shs.	56,105.87	45,038.09	11,067.78
<u>Philippine Long Distance Telephone Sponsored ADR</u>					
05/09/13	28	Shs.	2,111.87	1,837.54	274.33
<u>PT Telekomunikas Indonesia</u>					
06/17/13	24	Shs.	969.94	891.79	78.15
<u>Panera Bread Company</u>					
04/09/13	19	Shs.	3,306.06	3,096.43	209.63
<u>PSS World Medical, Inc.</u>					
02/25/13	29	Shs.	841.00	837.81	3.19
<u>Principal Investors Fund, Inc., High Yield Fund Class A</u>					
06/19/13	19,356.306	Shs.	152,527.67	151,827.53	700.14

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Roche Holdings ADR</u>					
01/23/13	41	Shs.	\$2,221.33	\$2,179.38	\$41.95
09/10/13	28	Shs.	1,735.96	1,509.51	226.45
<u>Raymond James Financial, Inc.</u>					
01/24/13	9	Shs.	378.82	374.58	4.24
01/30/13	16	Shs.	700.28	665.92	34.36
02/01/13	30	Shs.	1,336.25	1,248.60	87.65
<u>JM Smucker Company</u>					
01/17/13	500	Shs.	44,176.06	35,805.00	8,371.06
<u>Starbucks Corporation</u>					
06/28/13	71	Shs.	4,610.39	3,882.27	728.12
<u>Shinhan Financial Group Company</u>					
12/30/13	5	Shs.	221.99	186.04	35.95
<u>Schlumberger, Ltd.</u>					
02/08/13	17	Shs.	1,356.15	1,238.54	117.61
02/21/13	14	Shs.	1,124.93	1,019.98	104.95
<u>Silicon Prec Ind Ltd SP ADR</u>					
05/08/13	802	Shs.	4,790.08	4,209.46	580.62
<u>Singapore Telecom Ltd ADR</u>					
04/16/13	149	Shs.	4,320.60	4,194.35	126.25
<u>Swatch Group AG</u>					
03/14/13	42	Shs.	1,188.89	1,173.48	15.41

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Swiss RE, Ltd.</u>					
04/26/13	56	Shs.	\$4,266.20	\$4,189.92	\$76.28
<u>Syngenta AG ADR</u>					
04/12/13	50	Shs.	4,144.31	4,133.28	11.03
<u>Sourcefire, Inc.</u>					
10/09/13	39	Shs.	2,961.99	1,636.44	1,325.55
<u>SHFL Entertainment, Inc.</u>					
11/25/13	59	Shs.	1,371.75	850.19	521.56
<u>Seadrill Ltd.</u>					
12/23/13	1,000	Shs.	38,551.76	32,913.64	5,638.12
<u>Shire PC ADR</u>					
09/20/13	6	Shs.	730.48	552.27	178.21
10/29/13	40	Shs.	5,436.33	3,681.83	1,754.50
<u>Telecom Corporation, New Zealand</u>					
01/17/13	1,422	Shs.	13,878.40	13,866.35	12.05
<u>T Rowe Price Group, Inc.</u>					
03/18/13	37	Shs.	2,776.61	2,567.57	209.04
<u>Toto Ltd ADR</u>					
04/26/13	41	Shs.	764.63	631.81	132.82
08/08/13	232	Shs.	5,359.63	3,575.12	1,784.51
<u>TJX Companies, Inc.</u>					
07/25/13	31	Shs.	1,615.95	1,365.52	250.43
10/21/13	16	Shs.	922.18	704.78	217.40

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Techne CP</u>					
09/26/13	10	Shs.	\$806.18	\$698.80	\$107.38
12/26/13	8	Shs.	735.88	559.04	176.84
<u>Tiffany & Company</u>					
05/28/13	13	Shs.	1,004.56	794.43	210.13
<u>True Religion Apparel, Inc.</u>					
07/31/13	59	Shs.	1,888.00	1,449.04	438.96
<u>Tower Group International, Ltd.</u>					
03/15/13	0.5	Shs.	9.17	8.46	.71
<u>Tokyo Electron, Ltd.</u>					
09/27/13	100	Shs.	1,417.97	1,102.92	315.05
12/02/13	335	Shs.	5,286.85	3,694.77	1,592.08
<u>U.S. Bancorp</u>					
03/22/13	327	Shs.	10,956.38	10,943.87	12.51
<u>Varian Medical Systems, Inc.</u>					
01/17/13	1,709	Shs.	126,409.33	94,165.90	32,243.43
07/02/13	87	Shs.	5,790.62	4,793.70	996.92
08/29/13	29	Shs.	2,116.56	1,597.90	518.66
08/30/13	22	Shs.	1,568.39	1,212.20	356.19
08/30/13	7	Shs.	501.13	385.70	115.43
09/03/13	34	Shs.	2,432.59	1,873.40	559.19
09/04/13	40	Shs.	2,870.06	2,204.00	666.06
09/04/13	18	Shs.	1,285.40	991.80	293.60
09/05/13	54	Shs.	3,800.84	2,975.40	825.44
<u>Verizon Communications</u>					
01/17/13	2,440	Shs.	103,952.65	88,523.20	15,429.45

Schedule A-2 (Cont'd)

			<u>Proceeds</u>	<u>Inventory Value</u>	<u>Increase</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Vodafone Group</u>					
04/26/13	21	Shs.	\$621.91	\$593.79	\$28.12
05/22/13	66	Shs.	1,985.27	1,866.21	119.06
09/09/13	88	Shs.	2,836.45	2,493.30	343.15
<u>VF Corporation</u>					
05/07/13	28	Shs.	3,449.32	3,076.40	372.92
<u>WABTEC</u>					
04/30/13	15	Shs.	1,565.18	736.84	828.34
12/05/13	23	Shs.	1,564.58	1,129.82	434.76
<u>WD 40 Company</u>					
11/21/13	7	Shs.	511.63	355.13	156.50
11/22/13	7	Shs.	511.12	355.13	155.99
11/25/13	10	Shs.	730.17	507.34	222.83
11/26/13	10	Shs.	738.26	507.34	230.92
11/27/13	8	Shs.	595.53	405.87	189.66
<u>John Wiley & Sons Class A</u>					
08/08/13	52	Shs.	2,377.80	2,112.35	265.45
08/09/13	6	Shs.	274.34	243.73	30.61
09/04/13	50	Shs.	2,210.58	2,031.11	179.47
09/05/13	11	Shs.	484.52	446.85	37.67
<u>Xinyi Glass Holdings, Ltd.</u>					
09/27/13	55	Shs.	<u>990.13</u>	<u>753.50</u>	<u>236.63</u>
TOTAL SCHEDULE A-2			\$3,288,579.06 =====	\$2,646,015.83 =====	\$642,563.23 =====

Schedule B-1

DECREASE ON SALE OR REDEMPTION OF ASSETS

	<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc.</u>			
Arch Coal, Inc. <u>7.25% Due 10/01/20</u> 01/17/13 \$5,000.00	\$4,475.00	\$4,450.00	\$25.00
Atwood Oceanics, Inc. <u>6.5% Due 02/01/20</u> 06/03/13 \$5,000.00	5,450.00	5,352.50	97.50
American Tower Corporation <u>7.25% Due 05/15/19</u> 05/30/13 \$5,000.00	6,217.35	6,137.50	79.85
Connecticut State <u>4% Due 05/01/14</u> 01/23/13 \$75,000.00	81,270.75	79,809.25	1,461.50
Connecticut State General Obligation Revenue Bond <u>4% Due 07/01/14</u> 01/23/13 \$75,000.00	81,718.50	78,223.75	3,494.75
Connecticut State General Obligation <u>4% Due 11/15/15</u> 01/23/13 \$85,000.00	94,708.70	91,488.35	3,220.35
Connecticut State <u>3.8% Due 12/15/15</u> 01/23/13 \$90,000.00	105,396.80	101,926.45	3,470.35

Schedule B-1 (Cont'd)

		<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc. (Cont'd)</u>				
Connecticut State				
<u>5% Due 12/01/16</u>				
01/23/13	\$90,000.00	\$105,757.20	\$102,663.90	\$3,093.30
Connecticut State				
<u>4% Due 05/01/14</u>				
01/23/13	\$75,000.00	81,270.75	76,808.50	4,462.25
Coca Cola Enterprises, Inc.				
<u>4.5% Due 08/15/19</u>				
02/25/13	\$16,000.00	18,085.40	17,913.80	171.60
CCO Holdings LLC Senior Notes				
<u>6.625% Due 01/31/22</u>				
06/05/13	\$5,000.00	5,412.50	5,362.50	50.00
09/27/13	\$5,000.00	5,412.50	5,163.50	249.00
Centurylink, Inc. Senior Notes				
<u>7.6% Due 09/15/39</u>				
02/27/13	\$5,000.00	5,176.45	4,937.50	238.95
Citigroup, Inc. Senior Notes				
<u>8.5% Due 05/22/19</u>				
06/03/13	\$10,000.00	13,444.50	13,207.60	236.90
Comcast Corporation				
<u>7.05% Due 03/15/33</u>				
06/06/13	\$5,000.00	6,786.55	6,556.55	230.00
Cricket Communications, Inc.				
<u>7.75% Due 10/15/20</u>				
03/19/13	\$5,000.00	5,100.00	4,987.50	112.50

Schedule B-1 (Cont'd)

	<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
CHS/Community Health Services Registered Senior Notes <u>7.125% Due 07/15/20</u>			
09/16/13 \$5,000.00	\$5,400.00	\$5,037.50	\$362.50
CRH Amer, Inc. <u>8.125% Due 07/15/18</u>			
06/06/13 \$5,000.00	6,233.25	6,212.50	20.75
Directv Holdings, Inc. <u>5% Due 03/01/21</u>			
05/30/13 \$5,000.00	5,606.40	5,548.50	57.90
Dish DBS Corporation <u>7.875% Due 09/01/19</u>			
05/28/13 \$10,000.00	11,862.50	11,375.00	487.50
Domtar Corporation <u>10.75% Due 06/01/17</u>			
05/30/13 \$5,000.00	6,525.88	6,437.50	88.38
Fifth Third Bank <u>3% Due 08/13/20</u>			
08/20/13 \$50,000.00	50,000.00	50,000.00	.00
Federal Home Loan Mortgage Corporation <u>5% Due 07/01/35</u>			
01/15/13 \$138,000.00			
return of principal	1,196.03	1,196.03	.00
02/15/13 \$138,000.00			
return of principal	1,161.49	1,161.49	.00
03/15/13 \$138,000.00			
return of principal	1,233.00	1,233.00	.00

Schedule B-1 (Cont'd)

	<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
Federal Home Loan Mortgage Corporation			
<u>5% Due 07/01/35</u>			
(Cont'd)			
04/15/13 \$138,000.00			
return of principal	\$1,099.53	\$1,099.53	\$.00
05/15/13 \$138,000.00			
return of principal	1,069.11	1,069.11	.00
05/31/13 \$48,000.00	8,043.24	7,921.48	121.76
05/31/13 \$117,000.00	19,605.39	19,308.62	296.77
06/17/13 \$90,000.00			
return of principal	672.47	672.47	.00
07/15/13 \$90,000.00			
return of principal	584.05	584.05	.00
08/15/13 \$90,000.00			
return of principal	582.15	582.15	.00
09/16/13 \$90,000.00			
return of principal	477.09	477.09	.00
10/15/13 \$90,000.00			
return of principal	386.21	386.21	.00
11/15/13 \$90,000.00			
return of principal	310.58	310.58	.00
12/16/13 \$90,000.00			
return of principal			
Inventory value assigned	251.71	251.71	.00
FNMA GTA Pass Thru Pool			
<u>5.5% Due 05/01/25</u>			
01/25/13 \$30,000.00			
return of principal	930.65	930.65	.00
02/25/13 \$30,000.00			
return of principal	886.66	886.66	.00
03/25/13 \$30,000.00			
return of principal	826.90	826.90	.00
04/25/13 \$30,000.00			
return of principal	835.29	835.29	.00
05/28/13 \$30,000.00			
return of principal	867.97	867.97	.00
05/31/13 \$10,000.00	7,657.43	7,514.96	142.47

Schedule B-1 (Cont'd)

	<u>Inventory</u> <u>Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
FNMA GTA Pass Thru Pool			
<u>5.5% Due 05/01/25</u>			
(Cont'd)			
06/25/13 \$20,000.00			
return of principal	\$574.78	\$574.78	\$.00
07/25/13 \$20,000.00			
return of principal	560.53	560.53	.00
08/26/13 \$20,000.00			
return of principal	529.79	529.79	.00
09/25/13 \$20,000.00			
return of principal	454.60	454.60	.00
10/25/13 \$20,000.00			
return of principal	472.21	472.21	.00
11/25/13 \$20,000.00			
return of principal	428.02	428.02	.00
12/26/13 \$20,000.00			
return of principal	379.66	379.66	.00
FNMA GTD Pass Thru Pool			
<u>6% Due 03/01/37</u>			
01/25/13 \$85,000.00			
return of principal	925.50	925.50	.00
02/25/13 \$85,000.00			
return of principal	871.61	871.61	.00
03/25/13 \$85,000.00			
return of principal	687.44	687.44	.00
04/25/13 \$85,000.00			
return of principal	852.62	852.62	.00
05/28/13 \$85,000.00			
return of principal	743.80	743.80	.00
05/31/13 \$25,000.00	4,993.36	4,809.64	183.72
06/25/13 \$60,000.00			
return of principal	645.73	645.73	.00
07/25/13 \$60,000.00			
return of principal	547.75	547.75	.00
08/26/13 \$60,000.00			
return of principal	532.95	532.95	.00
09/25/13 \$60,000.00			
return of principal	429.98	429.98	.00

Schedule B-1 (Cont'd)

	<u>Inventory</u> <u>Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
FNMA GTD Pass Thru Pool			
<u>6% Due 03/01/37</u>			
(Cont'd)			
10/25/13 \$60,000.00			
return of principal	316.94	316.94	\$.00
11/25/13 \$60,000.00			
return of principal	283.18	283.18	.00
12/26/13 \$60,000.00			
return of principal	255.52	255.52	.00
FNMA GTA Pass Thru Pool			
<u>5.5% Due 04/01/36</u>			
01/25/13 \$85,000.00			
return of principal	1,225.67	1,225.67	.00
02/25/13 \$131,000.00			
return of principal	1,148.16	1,148.16	.00
03/25/13 \$131,000.00			
return of principal	997.61	997.61	.00
04/25/13 \$131,000.00			
return of principal	1,037.89	1,037.89	.00
05/28/13 \$131,000.00			
return of principal	1,057.52	1,057.52	.00
05/31/13 \$41,000.00	7,134.24	6,911.33	222.91
06/25/13 \$90,000.00			
return of principal	727.26	727.26	.00
07/25/13 \$90,000.00			
return of principal	619.07	619.07	.00
08/26/13 \$90,000.00			
return of principal	671.06	671.06	.00
09/25/13 \$90,000.00			
return of principal	556.19	556.19	.00
10/25/13 \$90,000.00			
return of principal	424.05	424.05	.00
11/25/13 \$90,000.00			
return of principal	385.83	385.83	.00
12/26/13 \$90,000.00			
return of principal	328.63	328.63	.00

Schedule B-1 (Cont'd)

	<u>Inventory</u> <u>Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
Frontier Communications Corporation			
<u>8.5% Due 04/15/20</u>			
06/07/13 \$5,000.00	\$5,775.00	\$5,715.00	\$60.00
FNMA GTD Pass Thru Pool			
<u>4% Due 09/01/25</u>			
04/25/13 \$49,000.00			
return of principal	1,054.70	1,054.70	.00
05/28/13 \$49,000.00			
return of principal	864.01	864.01	.00
05/31/13 \$19,000.00	7,996.26	7,888.28	107.98
06/25/13 \$30,000.00			
return of principal	473.65	473.65	.00
07/25/13 \$30,000.00			
return of principal	451.49	451.49	.00
08/26/13 \$30,000.00			
return of principal	447.59	447.59	.00
09/25/13 \$30,000.00			
return of principal	335.14	335.14	.00
10/25/13 \$30,000.00			
return of principal	236.70	236.70	.00
11/25/13 \$30,000.00			
return of principal	202.77	202.77	.00
12/26/13 \$30,000.00			
return of principal	188.98	188.98	.00
FNMA GTD Pass Thru			
<u>5% Due 06/01/35</u>			
11/25/13 \$59,000.00			
return of principal	209.19	209.19	.00
12/26/13 \$59,000.00			
return of principal	185.58	185.58	.00
General Electric Capital Corporation			
<u>6% Due 08/07/19</u>			
02/12/13 \$10,000.00	12,256.70	12,165.10	91.60

Schedule B-1 (Cont'd)

	<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
Genon Escrow Corporation			
Senior Note			
<u>9.875% Due 10/01/20</u>			
09/27/13 \$5,000.00	\$5,656.25	\$5,570.20	\$86.05
Georgia Pacific Corporation			
Senior Notes			
<u>8% Due 01/15/24</u>			
05/30/13 \$5,000.00	7,099.90	6,800.00	299.90
General Motors Financial			
Company, Inc.			
<u>6.75% Due 06/01/18</u>			
06/05/13 \$5,000.00	5,850.00	5,737.50	112.50
Huntsman International LLC			
<u>8.625% Due 03/15/21</u>			
06/06/13 \$5,000.00	5,625.00	5,562.50	62.50
Hertz Corporation Senior Notes			
<u>7.375% Due 01/15/21</u>			
06/07/13 \$5,000.00	5,587.50	5,487.50	100.00
Hornbeck Offshore Services, Inc.			
<u>5.875% Due 04/01/20</u>			
06/05/13 \$5,000.00	5,275.00	5,225.00	50.00
Illinois State General			
Obligation			
<u>5.877% Due 03/01/19</u>			
06/05/13 \$5,000.00	5,813.45	5,687.95	125.50

Schedule B-1 (Cont'd)

	<u>Inventory</u> <u>Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
International Paper company			
<u>7.5% Due 08/15/21</u>			
06/03/13 \$5,000.00	\$6,665.30	\$6,464.25	\$201.05
09/27/13 \$5,000.00	6,665.30	6,139.15	526.15
Iron Mountain, Inc.			
<u>8.375% Due 08/15/21</u>			
06/05/13 \$5,000.00	5,581.25	5,418.95	162.30
08/22/13 \$2,000.00	2,232.50	2,195.00	37.50
King County Washington			
<u>4.1% Due 06/14/14</u>			
01/23/13 \$75,000.00	80,879.25	77,342.50	3,536.75
Liberty Media Corporation			
Senior Notes			
<u>8.25% Due 02/01/30</u>			
09/27/13 \$5,000.00	5,512.50	5,215.64	296.86
JPMorgan Chase & company			
<u>6.3% Due 04/23/19</u>			
01/22/13 \$5,000.00	6,190.71	6,162.00	28.71
01/22/13 \$5,000.00	6,190.71	6,162.00	28.71
Norwalk Connecticut			
<u>5% Due 07/01/16</u>			
01/23/13 \$90,000.00	96,489.00	91,470.40	5,018.60
New Jersey Turnpike Authority			
<u>7.414% Due 01/01/40</u>			
02/21/13 \$5,000.00	7,488.50	7,184.35	304.15

Schedule B-1 (Cont'd)

	<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc. (Cont'd)</u>			
New Jersey Economic Development Authority 7.425% Due 02/15/29			
06/04/13 \$5,000.00	\$6,549.30	\$6,430.80	\$118.50
Pioneer Natural Resources Company Senior Notes 7.5% Due 01/15/20			
06/06/13 \$5,000.00	6,411.20	6,264.50	146.70
PNC Financial Services (variable rate) Due 08/01/21			
06/06/13 \$5,000.00	5,793.75	5,765.65	28.10
Regional School District No. 008 Connecticut 3.625% Due 04/15/13			
04/15/13 \$75,000.00	78,252.75	75,000.00	3,252.75
Rio Tinto Financial USA, Ltd. 9% Due 05/01/19			
06/03/13 \$5,000.00	6,915.90	6,790.85	125.05
06/13/13 \$5,000.00	6,915.90	6,663.15	252.75
Regal Entertainment Group 5.75% Due 02/01/25			
06/05/13 \$5,000.00	5,050.00	5,000.00	50.00
Reynolds Group Issuer LLC Senior 5.75% Due 10/15/20			
06/03/13 \$5,000.00	5,287.50	5,075.50	212.00

Schedule B-1 (Cont'd)

			<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Bonds, Notes, etc. (Cont'd)</u>					
Teck Cominco, Ltd.					
<u>6.125% Due 10/01/35</u>					
05/30/13	\$5,000.00		\$5,779.20	\$5,244.64	\$534.56
University of Connecticut					
<u>3.2% Due 01/15/13</u>					
01/15/13	\$85,000.00		87,798.20	85,000.00	2,798.20
United States Treasury Note					
<u>3.5% Due 05/15/20</u>					
05/30/13	\$12,000.00		13,989.38	13,595.62	393.76
United Continental Holdings, Inc.					
<u>6.375% Due 06/01/18</u>					
06/05/13	\$5,000.00		5,175.00	5,100.00	75.00
<u>Stock and Common Funds</u>					
Apple, Inc.					
01/30/13	30	Shs.	15,083.85	13,300.43	1,783.42
ARM Holdings PLC ADS					
02/26/13	27	Shs.	1,143.64	1,129.61	14.03
06/24/13	80	Shs.	3,388.56	2,991.71	396.85
American Movil SA DE CV ADR					
03/21/13	130	Shs.	3,036.51	2,405.32	631.19
04/23/13	185	Shs.	4,321.19	3,689.77	631.42
07/30/13	185	Shs.	4,321.18	3,867.53	453.65
Alstom ADR					
11/26/13	1,205	Shs.	4,969.88	4,240.32	729.56

Schedule B-1 (Cont'd)

			<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Atlantia SPA Unsponsored ADR</u>					
02/13/13	469	Shs.	\$4,192.86	\$4,164.62	\$28.24
<u>Adecco SA</u>					
04/26/13	153	Shs.	4,173.84	4,030.32	143.52
<u>Apple, Inc.</u>					
11/13/13	35	Shs.	21,151.75	18,009.43	3,142.32
<u>Cognizant Tech Solutions Class A</u>					
04/30/13	197	Shs.	15,424.70	12,254.74	3,169.96
05/20/13	216	Shs.	16,912.37	13,670.46	3,241.91
<u>Commvault Systems, Inc.</u>					
05/29/13	7	Shs.	483.49	467.59	15.90
<u>CST Brands, Inc.</u>					
05/01/13	0.5	Shs.	49.43	6.76	42.67
05/08/13	42	Shs.	4,151.87	1,254.55	2,897.32
<u>Digital Generation, Inc.</u>					
06/25/13	60	Shs.	637.20	380.80	256.40
<u>Fresenius Medical Care Agency</u>					
10/15/13	33	Shs.	1,093.05	1,049.33	43.72
10/16/13	31	Shs.	1,026.81	980.64	46.17
<u>Grupo Televisa S. A. Global DEP</u>					
03/08/13	70	Shs.	1,950.20	1,942.45	7.75
<u>HMS Holdings Corporation</u>					
01/25/13	112	Shs.	3,188.63	3,171.55	17.08

Schedule B-1 (Cont'd)

			<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>HSBC Holdings PLC</u>					
06/11/13	46	Shs.	\$2,504.13	\$2,496.81	\$7.32
<u>Intuitive Surgical, Inc.</u>					
03/12/13	12	Shs.	6,149.51	5,952.35	197.16
07/12/13	32	Shs.	16,398.68	13,259.56	3,139.12
<u>Itau Unibanco Multiple ADR</u>					
10/18/13	305	Shs.	4,788.88	4,507.82	281.06
<u>Imperial Tobacco Group PLC</u>					
04/12/13	56	Shs.	4,282.43	3,931.67	350.76
<u>Komatsu, Ltd., Sponsored ADR</u>					
08/22/13	24	Shs.	614.86	545.27	69.59
08/23/13	46	Shs.	1,178.47	1,033.90	144.57
<u>Li & Fung, Ltd., Un-sponsored ADR</u>					
02/20/13	1,751	Shs.	5,270.51	4,511.69	758.82
<u>JPMorgan Core Plus Bond Fund</u>					
02/20/13	14,890.644	Shs.	126,578.33	126,570.74	7.59
02/20/13	75.217	Shs.	641.09	639.35	1.74
<u>JPMorgan Emerging Markets Debt Fund</u>					
05/21/13	250.687	Shs.	2,266.88	2,263.77	3.11
<u>MFS Bond Fund, Class C</u>					
03/12/13	91.504	Shs.	1,298.07	1,295.47	2.60

Schedule B-1 (Cont'd)

			<u>Inventory Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>MFS Series Trust X Emerging Markets</u>					
05/21/13	5,145.278	Shs.	\$85,000.00	\$83,417.69	\$1,582.31
05/21/13	63.143	Shs.	1,019.15	750.39	268.76
<u>Netgear, Inc.</u>					
04/18/13	81	Shs.	3,144.40	2,503.09	641.31
<u>Oil States International, Inc.</u>					
04/23/13	41	Shs.	3,114.03	2,965.30	148.73
<u>Porsche Automobile Holdings SE</u>					
01/22/13	233	Shs.	2,008.46	1,967.87	40.59
<u>Potash Company of Saskatchewan, Inc.</u>					
09/25/13	93	Shs.	3,962.50	2,995.10	967.40
<u>Pioneer Multo Ast Ult Sht, Inc.</u>					
12/09/13	9,920.635	Shs.	100,000.00	99,695.88	304.12
<u>Principal Investors Fund, Inc., Inflation Program Class A</u>					
09/12/13	5,419.078	Shs.	50,127.57	45,791.21	4,336.36
<u>Principal Preferred Securities Fund A</u>					
06/19/13	24,116.313	Shs.	255,133.60	254,185.94	947.66
06/19/13	485.248	Shs.	5,187.80	5,114.59	73.21
<u>Principal Investors Fund, Inc., High Yield Fund Class A</u>					
06/19/13	666.204	Shs.	5,299.89	5,249.71	50.18

Schedule B-1 (Cont'd)

			<u>Inventory</u> <u>Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>CH Robinson Worldwide, Inc.</u>					
12/24/13	43	Shs.	\$2,792.94	\$2,425.80	\$367.14
12/26/13	144	Shs.	9,353.11	8,168.19	1,184.92
<u>Royal Dutch Shell PLC</u>					
04/12/13	22	Shs.	1,575.61	1,443.45	132.16
07/05/13	66	Shs.	4,726.84	4,398.66	328.18
<u>Ritchie Brothers Auctioneers</u>					
10/18/13	139	Shs.	2,928.08	2,522.84	405.24
<u>Saipem SPA Unsponsored ADR</u>					
02/04/13	305	Shs.	6,325.70	4,041.91	2,283.79
<u>Suncor Energy, Inc.</u>					
01/22/13	60	Shs.	2,061.41	2,045.95	15.46
<u>Sony Financial Holdings, Inc.</u>					
07/05/13	40	Shs.	659.70	543.98	115.72
<u>Sonova Holdings</u>					
05/16/13	55	Shs.	1,276.00	1,199.51	76.49
<u>Software AG</u>					
08/05/13	505	Shs.	5,662.28	3,790.41	1,871.87
<u>Trukcell Iletism Hizm AS</u>					
05/06/13	46	Shs.	796.20	706.67	89.53
05/07/13	103	Shs.	1,782.78	1,611.85	170.93
<u>Tesco PLC Sponsored ADR</u>					
01/31/13	114	Shs.	1,945.98	1,909.91	36.07

Schedule B-1 (Cont'd)

			<u>Inventory</u> <u>Value</u>	<u>Proceeds</u>	<u>Decrease</u>
<u>Stock and Common Funds (Cont'd)</u>					
<u>Teradata Corporation</u>					
09/17/13	62	Shs.	\$3,903.11	\$3,701.44	\$201.67
<u>Tower Group International, Ltd.</u>					
10/15/13	102	Shs.	1,799.67	424.06	1,375.61
<u>Tele2 AB B</u>					
12/13/13	795	Shs.	4,898.00	4,341.18	556.82
<u>Vale S.A. SP</u>					
08/22/13	48	Shs.	935.42	642.09	293.33
<u>Valspar Corporation</u>					
03/26/13	14	Shs.	917.14	901.16	15.98
04/04/13	21	Shs.	1,375.71	1,222.98	152.73
<u>Wisconsin Energy Corporation</u>					
01/17/13	600	Shs.	37,344.00	22,933.28	14,410.72
<u>World Fuel Services Corporation</u>					
08/23/13	64	Shs.	<u>2,617.70</u>	<u>2,432.28</u>	<u>185.42</u>
TOTAL SCHEDULE B-1			\$2,213,532.67 =====	\$2,115,343.10 =====	\$98,189.57 =====

PRINCIPAL ON HAND AS OF 12/31/13

<u>Corporate Bonds, Notes, etc.</u>			<u>Market Value</u>	<u>Inventory Value</u>
AES Corporation Senior Note 8% Due 06/01/20	\$	5,000.00	\$ 5,850.00	\$ 5,700.00
American Axle & Manufacturing 6.625% Due 10/15/22	\$	5,000.00	\$ 5,263.00	\$ 5,287.50
Arcelormittal SA Luxembourg 10 35% Due 06/01/19	\$	5,000.00	\$ 6,325.00	\$ 6,003.75
Atwood Oceanics, Inc. 6.5% Due 02/01/20	\$	5,000.00	\$ 5,338.00	\$ 5,450.00
American Tower Corporation 7.25% Due 05/15/19	\$	5,000.00	\$ 5,900.00	\$ 6,217.35
The ADT Corporation Notes 3.5% Due 07/15/22	\$	5,000.00	\$ 4,352.00	\$ 4,175.00
Ally Financial, Inc. 8% Due 03/15/20	\$	5,000.00	\$ 5,994.00	\$ 5,800.00
Bank of America 5.25% Due 09/15/15	\$	75,000.00	\$ 78,925.50	\$ 82,351.25
BE Aerospace, Inc. 5.25% Due 04/01/22	\$	5,000.00	\$ 5,075.00	\$ 5,237.50
Bank Amer Corporation (var. rate) Due 12/29/49	\$	5,000.00	\$ 5,594.00	\$ 5,662.50
BMW Bank, 08/09/16	\$	50,000.00	\$ 49,895.50	\$ 50,000.00
Calumet Specialty Products 9.375% Due 05/01/19	\$	5,000.00	\$ 5,550.00	\$ 5,525.00
Centurylink, Inc. Senior Notes 7 6% Due 09/15/39	\$	5,000.00	\$ 4,450.00	\$ 5,176.45
Chesapeake Energy Corporation 6.125% Due 02/15/21	\$	5,000.00	\$ 5,363.00	\$ 4,862.50
Chrysler Group, LLC. 8.25% Due 06/15/21	\$	5,000.00	\$ 5,688.00	\$ 5,525.00
CF Industries, Inc. 7.125% Due 05/01/20	\$	5,000.00	\$ 5,861.00	\$ 6,281.85
Cloud Peak Energy 8.5% Due 12/15/19	\$	5,000.00	\$ 5,425.00	\$ 5,418.75
Comstock Resources, Inc. 9.5% Due 06/15/20	\$	5,000.00	\$ 5,600.00	\$ 5,562.50
Directv Holdings, Inc. 5% Due 03/01/21	\$	5,000.00	\$ 5,253.00	\$ 5,606.40

Domtar Corporation 10.75% Due 06/01/17	\$ 5,000.00	\$ 5,000.00	\$ 6,525.87
El Paso Corporation 7.8% Due 08/01/31	\$ 5,000.00	\$ 5,074.00	\$ 5,762.50
Energy Transfer Equity LP 7.5% Due 10/15/20	\$ 5,000.00	\$ 5,613.00	\$ 5,612.50
Fifth Third Bank 4% Due 02/14/20	\$ 50,000.00	\$ 49,994.00	\$ 50,000.00
Ford Motor Company Global Landmark 7.45% Due 07/15/31	\$ 5,000.00	\$ 6,123.00	\$ 6,300.00
Frontier Communications Corp. 8.5% Due 04/15/20	\$ 5,000.00	\$ 5,600.00	\$ 5,775.00
Goldman Sach 6.25% Due 09/01/17	\$ 75,000.00	\$ 85,864.50	\$ 88,974.50
Goldman Sach 6% Due 02/15/20	\$ 50,000.00	\$ 49,928.50	\$ 50,000.00
Georgia Pacific Corporation Sr. Notes 8% Due 01/15/24	\$ 5,000.00	\$ 6,435.00	\$ 7,099.90
Goldman Sachs Group, Inc. 6.125% Due 02/15/33	\$ 5,000.00	\$ 5,624.00	\$ 5,786.25
Goodyear Tire & Rubber Company 8.25% Due 08/15/20	\$ 5,000.00	\$ 5,588.00	\$ 5,468.75
Gap, Inc. 5.95% Due 04/12/21	\$ 5,000.00	\$ 5,525.00	\$ 5,480.50
General Motors Financial Co., Inc. 6.75% Due 06/01/18	\$ 5,000.00	\$ 5,700.00	\$ 5,612.50
Hertz Corporation Senior Notes 7.375% Due 01/15/21	\$ 5,000.00	\$ 5,488.00	\$ 5,425.00
Hornbeck Offshore Services, Inc. 5.875% Due 04/01/20	\$ 5,000.00	\$ 5,163.00	\$ 5,170.00
International Lease Finance Corporation 8.25% Due 12/15/20	\$ 5,000.00	\$ 5,850.00	\$ 5,812.50
Iron Mountain, Inc. 8.375% Due 08/15/21	\$ 3,000.00	\$ 3,233.00	\$ 3,348.75
L-3 Communications Corporation 4.95% Due 02/12/21	\$ 5,000.00	\$ 5,230.00	\$ 5,672.30
Lear Corporation 8.125% Due 03/15/20	\$ 5,000.00	\$ 5,513.00	\$ 5,687.50
Liberty Media Corporation Sr. es 8.25% Due 02/01/30	\$ 5,000.00	\$ 5,325.00	\$ 5,512.50
Metropcs Wireless, Inc. Sr. Notes 6.625% Due 11/15/20	\$ 5,000.00	\$ 5,300.00	\$ 5,312.50
Morgan Stanley Senior Notes			

5.5% Due 07/28/21	\$ 5,000.00	\$ 5,587.00	\$ 5,610.60
JPMorgan Chase & Company Dep. Shares			
7.9% Due 04/29/49	\$ 5,000.00	\$ 5,513.00	\$ 5,781.25
Mosaic Company New			
4.25% Due 11/15/23	\$ 5,000.00	\$ 4,938.00	\$ 5,020.80
Offshore Group Investors Ltd.			
7.5% Due 11/01/19	\$ 5,000.00	\$ 5,438.00	\$ 5,431.25
Plains Exploration & Production			
6.75% Due 02/01/22	\$ 5,000.00	\$ 5,508.00	\$ 5,431.25
PNC Financial Services (var. rate)			
Due 08/01/21	\$ 5,000.00	\$ 5,203.00	\$ 5,793.75
Royal Bank of Scotland			
4.25% Due 02/05/16	\$ 75,000.00	\$ 78,686.25	\$ 80,414.29
RSC Equipment Rental, Inc.			
8.25% Due 02/01/21	\$ 5,000.00	\$ 5,638.00	\$ 5,525.00
Ryland Group, Inc.			
6.25% Due 05/01/19	\$ 5,000.00	\$ 5,288.00	\$ 5,193.75
Sprint Capital Corporation			
6.9% Due 05/01/19	\$ 5,000.00	\$ 5,463.00	\$ 5,162.50
Scientific Games International, Inc			
9.25% Due 06/15/19	\$ 5,000.00	\$ 5,363.00	\$ 5,537.50
Teekay Corporation			
8.5% Due 01/15/20	\$ 5,000.00	\$ 5,406.00	\$ 5,293.75
Teck Cominco, Ltd.			
6.125% Due 10/01/35	\$ 5,000.00	\$ 4,929.00	\$ 5,779.20
Valero Energy Corporation			
9.375% Due 03/15/19	\$ 5,000.00	\$ 6,444.00	\$ 6,872.20
Verizon Communications, Inc.			
5.15% Due 09/15/23	\$ 5,000.00	\$ 5,368.00	\$ 5,449.00
Weatherford International, Ltd.			
9.625% Due 03/01/19	\$ 5,000.00	\$ 6,221.00	\$ 6,469.95
Windstream Corporation			
Senior Notes			
7.875% Due 11/01/17	\$ 5,000.00	\$ 5,713.00	\$ 5,543.75
Wells Fargo & Company (var. rate)			
Due 03/29/49	\$ 5,000.00	<u>\$ 5,575.00</u>	<u>\$ 5,806.25</u>
TOTAL CORPORATE BONDS		\$ 677,154.25	\$ 692,298.66

<u>Government Bonds, Notes</u>		<u>Market Value</u>	<u>Inventory Value</u>
Federal Home Loan Mortgage Corporation			
5% Due 07/01/35	\$ 90,000.00	\$ 11,401.99	\$ 11,816.81
FNMA GTA Pass Thru Pool			
5.5% Due 05/01/25	\$ 20,000.00	\$ 11,096.00	\$ 11,915.26
FNMA GTD Pass Thru Pool			
6% Due 03/01/37	\$ 40,000.00	\$ 8,326.00	\$ 8,972.01
FNMA GTA Pass Thru Pool			
5.5% Due 04/01/36	\$ 90,000.00	\$ 11,221.99	\$ 11,948.44
FNMA GTD Pass Thru Pool			
4% Due 09/01/25	\$ 30,000.00	\$ 9,942.00	\$ 10,289.35
FNMA GTD Pass Thru			
5% Due 06/01/35	\$ 59,000.00	\$ 8,300.00	\$ 8,350.25
United States Treasury Note			
3.5% Due 05/15/20	\$ 4,000.00	\$ 4,316.00	\$ 4,663.12
TOTAL GOVERNMENT BONDS		\$ 64,603.98	\$ 67,955.24
Illinois State General Obligation			
5.877% Due 03/01/19	\$ 5,000.00	\$ 5,442.00	\$ 5,813.45
State of California Various General Obligation Build America Bonds			
7.55% Due 04/01/39	\$ 5,000.00	\$ 6,480.00	\$ 7,217.80
New Jersey Economic Development Authority			
7.425% Due 02/15/29	\$ 5,000.00	\$ 5,992.00	\$ 6,549.30
TOTAL STATE BONDS		\$ 17,914.00	\$ 19,580.55

<u>Corporate Stocks</u>		<u>Market Value</u>	<u>Inventory Value</u>
Apple, Inc.	36 shs.	\$ 20,196.72	\$ 18,100.63
Allergan, Inc.	295 shs.	\$ 32,768.60	\$ 30,707.77
Accenture PLC Ireland Class A	317 shs.	\$ 26,063.74	\$ 22,125.40
Abbott Laboratories	880 shs.	\$ 33,730.40	\$ 29,406.31
Anadarko Petroleum	214 shs.	\$ 16,974.48	\$ 16,635.87
ARM Holdings PLC ADS	118 shs.	\$ 6,458.25	\$ 4,998.13
American Movil SA DE CV ADR	220 shs	\$ 5,141.40	\$ 5,138.71
Air Liquide ADR	275 shs.	\$ 7,801.75	\$ 6,861.19
AES Group	680 shs	\$ 9,866.80	\$ 7,519.44
Altria Group, Inc.	171 shs.	\$ 6,564.69	\$ 5,646.40
Allianz SE ADS	445 shs.	\$ 8,067.85	\$ 6,313.96
AIA Group, Ltd. Sponsored ADR	323 shs.	\$ 6,508.45	\$ 5,071.10
Akbank Turk Anonim Sirketi ADR	995 shs.	\$ 6,218.75	\$ 8,475.84
Anheuser Busch Inbev	50 shs.	\$ 5,323.00	\$ 4,372.50
AXA ADS	198 shs.	\$ 5,522.22	\$ 3,658.42
Asahi Kaisei Corporation	335 shs.	\$ 5,289.65	\$ 3,939.60
Atlas Copco	135 shs.	\$ 3,758.40	\$ 3,804.30
Arch Capital Group, Ltd.	74 shs.	\$ 4,417.06	\$ 3,337.18
Ansys, Inc.	43 shs.	\$ 3,749.60	\$ 3,145.02
Advisory Board Company	33 shs.	\$ 2,101.11	\$ 1,734.15
Amphenol Corporation	36 shs.	\$ 3,210.48	\$ 2,456.28
Aruba Networks, Inc.	110 shs.	\$ 1,969.00	\$ 2,432.03
Advanced Auto Parts	64 shs.	\$ 7,083.52	\$ 4,788.76
Applied Industries Tech, Inc.	44 shs.	\$ 2,159.96	\$ 1,867.36
Actuant Corporation Class A	63 shs.	\$ 2,381.60	\$ 1,859.00
Allscripts Healthcare Solutions, Inc.	61 shs.	\$ 943.06	\$ 625.86
Allerian MPL EFT	3,000 shs.	\$ 53,370.00	\$ 52,392.98
Ameren Corporation (Holding Company)	1,500 shs.	\$ 54,240.00	\$ 50,140.17
AT&T	3,400 shs.	\$ 119,544.00	\$ 105,235.86
Applied Materials, Inc.	885 shs.	\$ 15,646.80	\$ 11,899.11

Accor SA	575 shs.	\$	5,422.25	\$	3,771.99
Alliance Data Systems Corporation	18 shs.	\$	4,732.74	\$	3,276.21
Automatic Data Processing, Inc.	198 shs.	\$	15,998.20	\$	14,149.92
Aisin Seiki Company Ltd.	120 shs.	\$	4,920.00	\$	4,841.83
Ambev S A sponsored ADR	785 shs.	\$	5,769.75	\$	6,764.77
Bristol Myers Squibb Company	443 shs.	\$	23,545.45	\$	14,470.59
Bank of America	1,139 shs.	\$	17,734.23	\$	13,109.78
Banco Do Brasil SA Sponsored ADR	1,120 shs	\$	11,628.96	\$	14,515.66
Baxter International Corporation	153 shs.	\$	10,641.15	\$	10,411.50
Bidvest Group Ltd Spon ADR	127 shs.	\$	6,545.58	\$	6,338.57
Brookfield Asset Management Class A, Ltd.	134 shs.	\$	5,203.22	\$	5,039.06
Badger Meter, Inc.	94 shs.	\$	5,123.00	\$	4,623.39
Baidu, Inc. ADS	99 shs.	\$	17,610.12	\$	9,598.92
W R Berkley Corporation	111 shs.	\$	4,816.29	\$	4,385.61
Bombadier, Inc., Class B	1,455 shs.	\$	6,329.25	\$	6,355.23
Balchem CP	116 shs.	\$	6,809.20	\$	4,356.22
Banco Marco	197 shs.	\$	4,781.19	\$	3,545.09
Bed Bath & Beyond, Inc.	43 shs.	\$	3,452.90	\$	2,397.98
BG Group	200 shs.	\$	4,338.00	\$	3,382.00
Buckle, Inc.	72 shs.	\$	3,784.32	\$	3,134.52
Brown & Brown, Inc.	120 shs.	\$	3,766.80	\$	3,274.59
Bunge, Ltd	60 shs.	\$	4,926.60	\$	4,505.48
Bottomline Tech De, Inc.	77 shs.	\$	2,784.32	\$	2,098.25
Bio-Reference Labs, Inc.	57 shs.	\$	1,455.78	\$	1,662.12
Buffalo Wild Wings, Inc.	16 shs.	\$	2,355.20	\$	1,426.52
Brown Forman Corporation	16 shs.	\$	1,209.12	\$	1,015.36
Bayerische Motoren Werke AG	150 shs.	\$	5,914.50	\$	4,983.13
Banco Bilbao Viz ARG SA ADS	875 shs.	\$	10,841.25	\$	8,186.15
Bank of America Corporation Pfd.	2,200 shs.	\$	51,150.00	\$	41,976.00
Barclays PLC ADR	275 shs.	\$	4,985.75	\$	5,108.33
Beacon Roofing Supply, Inc.	48 shs.	\$	1,933.44	\$	1,671.08
Citigroup, Inc.	416 shs	\$	21,677.76	\$	17,583.32

Chevron Corporation	133 shs.	\$	16,613.03	\$	14,962.10
Costco Wholesale Corporation	132 shs.	\$	15,710.64	\$	13,236.68
China Construction Bank Corp.	753 shs.	\$	11,430.54	\$	12,657.93
China Mobile Ltd.	173 shs.	\$	9,046.17	\$	10,035.38
ConocoPhillips	165 shs.	\$	11,657.25	\$	9,651.96
CSX Corporation	416 shs.	\$	11,968.32	\$	8,592.56
Cielo SA Sponsored ADR	395 shs.	\$	11,060.00	\$	9,220.07
Clicks Group Ltd ADR	498 shs.	\$	6,025.30	\$	7,196.10
CNOOC, Ltd.	29 shs.	\$	5,442.14	\$	6,099.26
Copart, Inc.	160 shs.	\$	5,864.00	\$	5,089.38
Corporate Executive Board Company	101 shs.	\$	7,820.43	\$	5,052.58
Cap Gemini SA ADR	158 shs.	\$	5,351.46	\$	3,811.50
Clarcor, Inc	88 shs	\$	5,662.80	\$	4,186.78
Commercial International Bank, Ltd.	1,035 shs.	\$	4,657.50	\$	3,988.20
Canadian National Railway Company	84 shs.	\$	4,798.68	\$	3,970.79
Cabot Microelectronics Corp.	112 shs.	\$	5,118.40	\$	3,966.44
Carmax, Inc	79 shs.	\$	3,714.58	\$	2,956.79
CSL., Ltd.	131 shs.	\$	4,030.87	\$	3,580.23
Cochlear, Ltd.	79 shs.	\$	2,082.44	\$	3,362.24
Church & Dwight Company, Inc.	56 shs.	\$	3,711.68	\$	3,145.51
Crown Holdings, Inc.	116 shs.	\$	5,170.12	\$	4,440.15
Camden Property Trust	45 shs.	\$	2,559.60	\$	3,118.29
Core Laboratories NV	28 shs.	\$	5,346.60	\$	3,075.80
Commvault Systems, Inc.	30 shs.	\$	2,245.86	\$	2,072.10
Cognex Corporation	120 shs.	\$	4,581.60	\$	2,294.47
Cantel Medical Corporation	100 shs.	\$	3,391.00	\$	2,074.67
Carbo Ceramics, Inc.	59 shs.	\$	6,875.27	\$	4,367.96
Cooper Company, Inc.	28 shs.	\$	3,467.52	\$	2,853.84
Cavium, Inc	47 shs.	\$	1,621.97	\$	1,465.93
Comtech Telecommunications	23 shs.	\$	724.73	\$	602.14
Cintas Corporation	73 shs.	\$	4,350.27	\$	3,252.61
Colfax Corporation	50 shs.	\$	3,184.50	\$	2,083.12

CenturyLink, Inc.	2,800 shs.	\$	89,180.00	\$	99,526.10
Check Point Software Tech, Ltd.	82 shs.	\$	5,288.91	\$	4,172.94
Cardtronics, Inc.	56 shs.	\$	2,433.20	\$	1,571.59
Carter's	47 shs.	\$	3,374.13	\$	3,485.58
DBS Group Holdings Ltd	156 shs.	\$	8,466.12	\$	7,316.40
Dassault Systems SA ADS	64 shs.	\$	7,955.20	\$	7,300.97
EI DuPont De Nemours & Company	144 shs.	\$	9,355.68	\$	6,671.51
Deutsche Boerse AG	676 shs.	\$	5,577.00	\$	4,225.00
Daimler AG	96 shs.	\$	8,342.96	\$	5,392.94
Donaldson Company, Inc.	91 shs.	\$	3,954.86	\$	3,141.18
Dentsply International, Inc.	102 shs.	\$	4,944.96	\$	4,120.80
Discovery Communications Series C	44 shs.	\$	3,689.84	\$	2,717.88
Dorman Products, Inc.	39 shs.	\$	2,185.59	\$	1,364.88
Diodes, Inc.	58 shs.	\$	1,366.48	\$	1,053.28
Digital River	43 shs.	\$	713.00	\$	629.09
DTS, Inc.	24 shs.	\$	573.84	\$	424.08
Duke Energy Corporation	700 shs.	\$	48,307.00	\$	48,102.07
Directv.Com	57 shs	\$	3,936.42	\$	3,367.84
Equifax, Inc	172 shs.	\$	11,883.48	\$	9,770.70
Eaton Vance Corporation	152 shs.	\$	6,504.08	\$	5,038.39
Euro Aero Defense and Space Co.	265 shs.	\$	5,074.75	\$	2,856.70
Expeditors International Wash, Inc.	93 shs.	\$	4,115.25	\$	3,914.22
EPR Properties Company	63 shs.	\$	3,097.08	\$	2,904.93
Ensco PLC Class A	48 shs.	\$	2,744.64	\$	2,913.03
Ebay, Inc.	490 shs.	\$	26,883.85	\$	25,779.40
Factset Research Systems, Inc.	150 shs.	\$	16,287.00	\$	13,355.32
First Cash Financial Services	134 shs.	\$	8,286.56	\$	6,696.85
Fanuc Corporation	250 shs.	\$	7,677.50	\$	7,370.38
Freeport McMoran Copper & Gold	248 shs.	\$	9,359.52	\$	8,278.00
Fresenius Medical Care Agency	140 shs.	\$	4,981.20	\$	4,637.19
FEI Company	55 shs.	\$	4,914.80	\$	3,181.35
FMC Corporation	51 shs.	\$	3,848.46	\$	3,129.02

Forum Energy Technologies	90 shs.	\$	2,543.40	\$	2,328.30
Fastenal Company	172 shs.	\$	8,171.72	\$	9,101.86
Ford Motor Company	3,700 shs.	\$	57,091.00	\$	49,090.61
Facebook, Inc.	260 shs.	\$	14,208.74	\$	9,497.62
Google, Inc., Class A	41 shs.	\$	45,949.11	\$	29,750.06
Gap, Inc.	505 shs.	\$	19,735.40	\$	15,890.73
General Dynamics Corporation	130 shs.	\$	12,421.50	\$	9,147.80
Grupo Televisa S. A. Global DEP	172 shs.	\$	5,204.72	\$	4,791.92
Graco, Inc	87 shs.	\$	6,796.44	\$	4,666.23
Gazprom OAO Sponsored ADR	1,440 shs.	\$	12,456.00	\$	13,060.38
GEA Group AG Sponsored ADR	121 shs.	\$	5,817.68	\$	4,222.90
Gulfport Energy Corporation	37 shs.	\$	2,335.81	\$	1,474.07
Global Payments, Inc.	47 shs.	\$	3,054.53	\$	2,319.45
Glacier Bancorp, Inc.	79 shs.	\$	2,353.41	\$	1,203.05
Gentex Corporation	66 shs.	\$	2,176.68	\$	1,244.45
Gartner, Inc.	170 shs.	\$	12,078.50	\$	9,254.30
Green Mountain Coffee	500 shs.	\$	37,770.00	\$	14,011.16
Gilead Sciences, Inc.	500 shs.	\$	37,550.00	\$	25,959.75
Honeywell International, Inc.	240 shs.	\$	21,928.80	\$	16,009.61
Humana, Inc.	225 shs.	\$	30,966.00	\$	15,816.98
Heineken NV Sponsored ADR	134 shs.	\$	4,523.84	\$	4,423.56
JB Hunt Transportation Services	52 shs.	\$	4,019.60	\$	3,161.52
Hain Celestial Group, Inc.	60 shs.	\$	5,446.80	\$	3,143.31
Hubbell, Inc	36 shs.	\$	3,920.40	\$	3,136.65
Hexcel Corporation	113 shs.	\$	5,049.97	\$	3,117.44
Henry Schein, Inc.	37 shs.	\$	4,227.62	\$	3,106.25
HCC Insurance Holdings, Inc.	57 shs.	\$	2,629.98	\$	2,208.24
Health Care Services Group	82 shs.	\$	2,326.34	\$	1,991.80
Hibbert Sports, Inc.	34 shs.	\$	2,283.16	\$	1,836.60
Huron Consulting Group, Inc.	25 shs.	\$	1,566.75	\$	801.50
Halcon Resources Corporation	5,000 shs.	\$	19,300.00	\$	38,113.52
Hong Kong Exchanges & Clearing	164 shs.	\$	2,737.16	\$	2,633.35

Hitachi 10	102 shs.	\$	7,793.82	\$	6,515.81
Haemonetics Corporation	11 shs.	\$	1,727.33	\$	437.22
Humana, Inc.	300 shs.	\$	30,966.00	\$	24,950.65
Ingredion, Inc.	44 shs.	\$	3,012.24	\$	3,231.77
Itau Unibanco Multiple ADR	450 shs.	\$	6,106.50	\$	7,065.55
ICICI Bank, Ltd	112 shs.	\$	4,163.04	\$	5,028.61
Icap PLC Sponsored ADR	481 shs.	\$	7,296.77	\$	4,939.32
Imperial Oil, Ltd.	73 shs.	\$	3,317.25	\$	3,343.13
Imperial Holdings, Ltd.	320 shs.	\$	6,192.00	\$	7,425.27
Idexx Laboratories	37 shs.	\$	3,935.69	\$	3,517.84
Interactive Intelligence Group	45 shs.	\$	3,031.20	\$	1,768.50
Integra Lifesciences Corporation	35 shs.	\$	1,669.85	\$	1,424.50
Invesco Mortgage Capital, Inc.	2,300 shs.	\$	33,764.00	\$	49,406.77
ING Prime Rate Trust	1,700 shs.	\$	98,940.00	\$	91,386.60
Interval Leisure Group, Inc.	210 shs.	\$	6,490.68	\$	4,797.88
IPSEN SA	430 shs.	\$	5,172.90	\$	4,903.97
IShares Trust Dow Jones US Health Care Index Fund	520 shs.	\$	60,575.00	\$	46,563.64
IShares MSCI EAFE Index Fund	350 shs.	\$	23,483.00	\$	20,189.41
Juniper Networks	440 shs.	\$	9,930.80	\$	9,091.77
Jack Henry & Associates, Inc.	241 shs.	\$	14,269.61	\$	9,943.87
Julius Baer Group, Ltd.	541 shs.	\$	5,220.65	\$	4,192.75
JGC Corporation	84 shs.	\$	6,560.40	\$	5,317.00
Jarden Corporation	85 shs.	\$	5,214.75	\$	3,086.06
Jacobs Engineering Group, Inc.	44 shs.	\$	2,771.56	\$	1,971.50
Kimberly Clark Sponsored ADR	622 shs.	\$	8,844.84	\$	8,413.17
KOC Holdings AS	395 shs.	\$	8,140.95	\$	10,694.35
KB Financial Group Inc.	206 shs.	\$	8,345.06	\$	7,559.79
Komatsu, Ltd., Sponsored ADR	200 shs.	\$	4,116.00	\$	5,123.80
Kao Corporation	158 shs.	\$	5,000.70	\$	4,330.71
Knight Transportation	83 shs.	\$	1,522.22	\$	1,256.62
K12, Inc.	31 shs.	\$	674.25	\$	595.82
Kinder Morgan, Inc.	1,500 shs.	\$	54,000.00	\$	53,091.22

Koninkluke Philippines	146 shs.	\$	5,397.62	\$	4,553.16
Kraft Foods Group, Inc.	133 shs.	\$	7,170.00	\$	3,958.31
Lowes Companies, Inc.	390 shs.	\$	19,324.50	\$	13,839.93
Landstar Systems, Inc.	151 shs.	\$	8,674.95	\$	8,435.97
L'Oreal Company ADR	172 shs.	\$	6,045.80	\$	4,835.97
Laboratory Company Amer Holdings	46 shs.	\$	4,203.02	\$	3,993.26
Lincoln Electric Holdings, Inc.	33 shs.	\$	2,354.22	\$	1,684.57
Liberty Global, Inc.	56 shs.	\$	4,721.92	\$	3,516.24
Leucadia National Corporation	122 shs.	\$	3,457.48	\$	2,845.16
LVMH Moet Hennessy Louis Vuitton	202 shs.	\$	7,393.17	\$	7,210.65
Lord Abbet Inflation Focused A	34,129.639 shs.	\$	483,276.45	\$	500,000.00
MetLife, Inc.	269 shs.	\$	14,504.48	\$	7,978.54
JPMorgan Chase & Company	285 shs.	\$	16,666.80	\$	13,103.27
Mobile Telesystems OJSC	462 shs.	\$	9,993.06	\$	8,697.70
Marathon Oil Company	197 shs.	\$	6,954.10	\$	6,311.13
Marathon Petroleum Corporation	96 shs.	\$	8,989.54	\$	6,127.94
Markel Corporation (Holding Co.)	13 shs.	\$	7,544.55	\$	5,976.62
Morgan Stanley	264 shs.	\$	8,279.04	\$	5,307.77
Mitsubishi EST ADR	280 shs.	\$	8,439.20	\$	7,306.84
MWI Veterinary Supply, Inc.	29 shs.	\$	4,929.01	\$	3,713.82
M&T Bank Corporation	32 shs.	\$	3,725.44	\$	3,295.04
Micros Systems	148 shs.	\$	8,490.76	\$	6,373.92
Mylan, Inc.	114 shs.	\$	4,947.60	\$	3,149.55
Medidata Solutions, Inc.	56 shs.	\$	3,388.11	\$	1,229.20
MTM Group, Ltd.	113 shs.	\$	2,373.00	\$	2,299.55
Manhattan Association, Inc.	24 shs.	\$	2,819.52	\$	1,596.99
Monro Muffler & Brake	49 shs.	\$	2,761.64	\$	1,677.76
Mistras Group, Inc.	80 shs.	\$	1,670.40	\$	1,754.68
Meridian Bioscience, Inc.	39 shs.	\$	1,034.67	\$	824.46
Mobile Mini, Inc.	47 shs.	\$	1,935.46	\$	1,096.98
MS Emerging Markets Domestic Debt	2,800 shs.	\$	36,456.00	\$	40,600.00
Mitsui & Company, Ltd. ADR	17 shs.	\$	4,761.02	\$	4,951.13

Perrigo Company	27 shs.	\$	4,143.42	\$	3,265.83
PT Astra International	400 shs	\$	4,460.00	\$	5,308.44
Patterson Companies, Inc.	104 shs.	\$	4,284.80	\$	6,008.57
Principal Investors Fund, Inc., Global Diversified Income Fund	1,632.767 shs	\$	23,054.67	\$	23,007.48
Principal Investors Fund, Inc., Global Diversified Income Fund	44,476.006 shs.	\$	628,001.20	\$	631,021.97
Petroleo Brasileiro SA	1,600 shs.	\$	22,048.00	\$	29,683.44
Principal Investors Fund, Inc. Equity Income Fund Class A	323.73 shs.	\$	7,834.36	\$	7,317.84
Principal Investors Fund, Inc. Equity Income Fund Class A	18,694.663 shs	\$	452,410.84	\$	417,077.91
Powershares Exchange	3,000 shs.	\$	33,550.00	\$	32,339.50
Principal Investors Funds, Inc. Diversified International Fund Class A	60.182 shs		\$720.38		\$715.56
Principal Investors Funds, Inc. Diversified International Fund Class A	4,070.33 shs.	\$	48,721.85	\$	45,791.21
Pfizer, Inc.	1,000 shs.	\$	30,630.00	\$	28,592.00
Qualcomm, Inc.	455 shs	\$	33,783.75	\$	29,209.27
Questar Corporation	148 shs.	\$	3,402.52	\$	3,380.54
CH Robinson Worldwide, Inc.	66 shs.	\$	3,851.10	\$	4,286.84
Roche Holdings ADR	196 shs	\$	13,759.20	\$	10,566.57
RLI Corporation	76 shs.	\$	7,400.88	\$	5,063.88
Repsol SA Sponsored ADR	192 shs.	\$	4,855.68	\$	4,241.28
Royal DSM NV Sponsored ADR	275 shs.	\$	5,428.50	\$	4,229.50
Ross Stores, Inc.	102 shs.	\$	7,642.86	\$	6,262.98
Raymond James Financial, Inc.	80 shs.	\$	4,175.20	\$	3,615.67
Rofin-Sinar Tech, Inc.	48 shs.	\$	1,296.96	\$	1,118.21
Starbucks Corporation	385 shs.	\$	30,180.15	\$	21,051.76
Sberbank Russia Sponsored ADR	847 shs.	\$	10,646.79	\$	11,442.97
SAP AG	184 shs.	\$	16,033.76	\$	14,910.39
Shinhan Financial Group Company	210 shs	\$	9,597.00	\$	7,813.49
Suncor Energy, Inc	154 shs.	\$	5,397.70	\$	5,102.78
Schlumberger, Ltd.	120 shs.	\$	10,813.20	\$	8,727.54
Sanlam, Ltd.	226 shs.	\$	5,740.40	\$	6,149.46

Mastercard, Inc.	15 shs.	\$ 12,531.90	\$ 9,333.61
Monotype Imaging Holdings, Inc.	51 shs.	\$ 1,624.86	\$ 1,382.01
Monotaro Company Ltd.	56 shs.	\$ 1,154.72	\$ 1,286.05
Mondelez International, Inc.	400 shs.	\$ 14,120.00	\$ 11,904.69
JPMorgan Trust 1 Income Builder Fund Class C	370.445 shs	\$ 3,804.47	\$ 3,724.60
JPMorgan Trust 1 Income Builder Fund Class C	12,748.344 shs.	\$ 130,925.49	\$ 127,610.52
Merck & Company, Inc.	475 shs.	\$ 23,774.00	\$ 20,295.08
MFS Series Trust X International Diversification Fund Class C	38.228 shs.	\$ 619.29	\$ 605.01
MFS Series Trust X International Diversification Fund Class C	10,357.033 shs.	\$ 167,783.93	\$ 152,455.52
JPMorgan Trust I International Opportunities Fund Class C	40.005 shs.	\$ 605.28	\$ 590.07
JPMorgan Trust I International Opportunities Fund Class C	7,645.3130 shs.	\$ 115,673.59	\$ 107,569.55
MFS Series Trust XIII Diversified Income Fund Class C	88.53 shs.	\$ 1,057.93	\$ 1,052.41
MFS Series Trust XIII Diversified Income Fund Class C	6,881.604 shs.	\$ 82,235.17	\$ 85,353.30
Nike, Inc. - Class B	425 shs.	\$ 33,422.00	\$ 22,698.00
Nordstrom, Inc.	184 shs.	\$ 11,371.20	\$ 9,909.82
Nestle Spon ADR REP	123 shs.	\$ 9,051.57	\$ 8,193.03
Nedbank Group Ltd	325 shs.	\$ 6,522.75	\$ 7,380.75
Novartis AG ADR	62 shs.	\$ 4,983.56	\$ 4,064.41
Netease.com, Inc.	108 shs.	\$ 8,488.80	\$ 5,064.56
Nokian Tyres OYJ Unsponsored ADR	142 shs.	\$ 3,405.16	\$ 2,967.45
Neogen Company	67 shs.	\$ 3,061.90	\$ 2,065.95
Nic, Inc.	88 shs.	\$ 2,188.56	\$ 1,447.51
Nuvasive, Inc.	73 shs.	\$ 2,360.09	\$ 1,285.78
Nextera Energy, Inc.	1,400 shs.	\$ 119,868.00	\$ 74,606.00
Nippon Telegraph & Telephone	285 shs.	\$ 7,706.40	\$ 6,754.21
Nat Grocers	53 shs	\$ 2,279.85	\$ 1,352.21
Novo Nordisk A/S	15 shs.	\$ 2,771.40	\$ 2,520.46
Oracle Corporation	885 shs.	\$ 33,860.10	\$ 30,597.68

Oriflame Cosmetics SA ADR	345 shs.	\$	5,333.70	\$	5,727.00
Orascom Construction Industries	132 shs.	\$	4,884.00	\$	5,478.00
Owens & Minor, Inc.	176 shs.	\$	6,434.56	\$	5,229.88
Oil Company Lukoil Sponsored ADR	69 shs.	\$	4,355.28	\$	4,594.71
Omnicom Group	76 shs.	\$	5,652.12	\$	4,002.67
Oceaneering International, Inc.	56 shs.	\$	4,417.25	\$	3,147.07
O'Reilly Automotive, Inc.	35 shs.	\$	4,504.85	\$	3,103.10
Open Text Corporation	23 shs.	\$	2,115.08	\$	1,653.12
Petsmart, Inc.	47 shs.	\$	3,419.25	\$	1,937.57
Philip Morris International, Inc.	146 shs.	\$	12,720.98	\$	13,000.82
Prudential Financial, Inc.	184 shs	\$	16,968.48	\$	10,526.03
Philippine Long Distance Telephone Sponsored ADR	118 shs	\$	7,089.44	\$	7,743.91
PT Telekomunikas Indonesia	226 shs.	\$	8,102.10	\$	8,397.71
PT Bank Mandiri Persero	1,215 shs.	\$	7,848.90	\$	10,413.93
Praxair, Inc.	59 shs.	\$	7,671.77	\$	6,733.66
Porsche Automobile Holdings SE	597 shs	\$	6,244.62	\$	5,012.28
PT United Tractors ADR	135 shs.	\$	4,260.60	\$	5,769.40
PTT Exploration & Production	333 shs.	\$	3,663.00	\$	3,779.55
PT Semen Gresik Persero ADR	112 shs.	\$	2,626.40	\$	3,634.40
PPC Limited	477 shs.	\$	2,828.61	\$	3,615.66
Pricemart, Inc.	26 shs.	\$	3,004.04	\$	2,482.56
Petroleo Bras	115 shs.	\$	1,584.70	\$	2,266.42
Parexel International Corporation	63 shs.	\$	2,846.34	\$	2,063.88
Portfolio Recovery Association	48 shs.	\$	2,536.32	\$	1,631.20
Plexus Corporation	42 shs	\$	1,818.18	\$	1,465.56
Pegasystems, Inc	47 shs.	\$	2,311.46	\$	1,432.63
Perficiant, Inc.	90 shs.	\$	2,107.80	\$	1,066.46
Pfizer, Inc.	1,800 shs.	\$	55,134.00	\$	49,173.20
People's United Financial, Inc.	4,000 shs.	\$	60,480.00	\$	46,740.00
PPL Corporation	3,600 shs.	\$	108,324.00	\$	99,708.91
Proto Labs	24 shs.	\$	1,708.32	\$	1,429.74

Syntel, Inc.	94 shs.	\$	8,549.30	\$	5,253.90
Standard Bank Group, Ltd.	366 shs.	\$	4,567.68	\$	5,065.44
Schneider Electric SA	328 shs.	\$	5,756.40	\$	4,841.10
Sony Financial Holdings, Inc.	280 shs.	\$	5,110.00	\$	4,617.93
Secom Ltd ADR	331 shs.	\$	5,008.30	\$	4,207.01
Swatch Group AG	108 shs.	\$	3,585.60	\$	3,017.52
Sysmex Corporation	149 shs.	\$	4,423.21	\$	3,756.29
Sonova Holdings	106 shs.	\$	2,851.40	\$	2,459.20
Skyworks Solutions, Inc.	150 shs.	\$	4,284.00	\$	3,154.50
Sigma Aldrich Corporation	41 shs.	\$	3,854.41	\$	3,135.09
Signature Bank	42 shs.	\$	4,511.64	\$	3,079.86
Svenska Handelsbanken AB ADR	110 shs.	\$	2,714.80	\$	2,101.00
Sasol, Ltd.	39 shs.	\$	1,928.55	\$	1,681.52
Simpson Manufacturing Company	45 shs.	\$	1,652.85	\$	1,445.85
Scansource, Inc.	43 shs.	\$	1,824.49	\$	1,440.07
SPS Commerce, Inc.	32 shs.	\$	2,089.60	\$	1,235.20
Sciquest, Inc.	57 shs.	\$	1,623.36	\$	955.86
Swift Energy Company	89 shs.	\$	1,201.50	\$	1,373.49
A O Smith Corporation	90 shs.	\$	4,854.60	\$	3,208.99
Seadrill Ltd.	2,000 shs.	\$	82,160.00	\$	65,827.28
Seven & I Holdings Company	64 shs.	\$	5,121.37	\$	4,509.86
Sumitomo Mitsui Financial Group	480 shs.	\$	5,035.20	\$	4,828.22
Sun Hydraulics Corporation	39 shs.	\$	1,592.37	\$	1,437.73
Sally Beauty Holdings, Inc.	112 shs.	\$	3,385.76	\$	2,912.45
Shoprite Holdings Ltd	300 shs.	\$	4,725.00	\$	6,526.50
Starbuck Corporation	300 shs.	\$	23,517.00	\$	15,954.19
T Rowe Price Group, Inc.	405 shs.	\$	33,926.85	\$	28,104.53
Tyson Foods, Inc., Class A	857 shs.	\$	28,675.22	\$	17,532.25
Taiwan SMCN DCTR Mfg. Company Ltd. ADR	1,440 shs	\$	25,113.60	\$	25,070.24
Trukcell Iletism Hizm AS	400 shs.	\$	5,340.00	\$	6,923.44
Tesco PLC Sponsored ADR	420 shs.	\$	7,072.80	\$	7,169.40
Tiger Brands, Ltd.	163 shs.	\$	4,182.58	\$	5,789.76

Turkiye Garanti Bankası AS	913 shs.	\$	2,930.73	\$	4,870.49
Technip-Coflexip	212 shs.	\$	5,121.92	\$	5,580.95
TJX Companies, Inc.	226 shs.	\$	14,402.98	\$	13,128.41
Techne CP	33 shs.	\$	3,124.11	\$	2,306.04
Tibco Software, Inc.	166 shs.	\$	3,731.68	\$	3,682.87
Tiffany & Company	38 shs.	\$	3,525.64	\$	2,322.18
Treehouse Foods, Inc.	44 shs.	\$	3,032.48	\$	2,318.36
Thor Industries, Inc.	56 shs.	\$	3,092.88	\$	2,283.47
Teledyne Tech, Inc.	28 shs.	\$	2,572.08	\$	1,877.68
Teleflex, Inc.	25 shs.	\$	2,346.50	\$	1,847.02
Tetra Tech, Inc.	41 shs.	\$	1,147.18	\$	1,141.13
Texas Roadhouse, Inc.	186 shs.	\$	5,170.80	\$	4,659.56
Trimas Corporation	47 shs.	\$	1,874.83	\$	1,607.05
Transdigm Group, Inc.	23 shs.	\$	3,703.46	\$	3,405.37
Toray Industries	68 shs.	\$	4,742.93	\$	4,866.92
Unumprovident Corporation	743 shs.	\$	26,064.44	\$	14,483.83
Union Pacific Corporation	106 shs.	\$	17,808.00	\$	13,925.54
United Technologies Corporation	120 shs.	\$	13,656.00	\$	10,301.21
Unicharm Corporation Unsponsored ADR	459 shs.	\$	5,195.88	\$	4,851.63
United Therapeutics Corporation	60 shs.	\$	6,784.80	\$	3,120.51
United Natural Foods, Inc.	57 shs.	\$	4,297.23	\$	2,962.29
Unilever PLC	65 shs.	\$	2,678.00	\$	2,500.27
Ultimate Software Group, Inc.	19 shs.	\$	2,911.18	\$	2,303.45
Unit Corporation	25 shs.	\$	1,290.50	\$	1,093.44
Valero Energy Company	380 shs.	\$	19,152.00	\$	13,413.05
Vale S.A. SP	385 shs.	\$	5,393.85	\$	7,502.88
Vodafone Group	2,140 shs.	\$	78,620.00	\$	60,632.53
Vodacom Group Ltd	300 shs.	\$	3,825.00	\$	4,242.00
Vitamin Shoppe, Inc.	37 shs.	\$	1,924.37	\$	2,004.01
Volcano Corporation	57 shs.	\$	1,245.45	\$	1,465.47
Vascular Solutions, Inc.	71 shs.	\$	1,643.65	\$	1,134.90
Visa, Inc. Class A	180 shs.	\$	40,082.40	\$	26,359.42

Wells Fargo & Company	437 shs.	\$ 19,839.80	\$ 15,218.53
Williams Companies, Inc.	1,400 shs.	\$ 53,998.00	\$ 50,478.61
W W Grainger Inc.	87 shs.	\$ 22,221.54	\$ 19,678.47
WABTEC	99 shs.	\$ 7,352.73	\$ 4,863.14
WD 40 Company	90 shs.	\$ 6,721.20	\$ 4,566.01
Weichai Power Company	338 shs.	\$ 5,489.12	\$ 6,195.54
World Fuel Services Corporation	156 shs.	\$ 6,732.96	\$ 6,380.65
WPP PLC Sponsored	130 shs.	\$ 14,931.80	\$ 9,915.40
Woolworths Holdings, Ltd.	72 shs.	\$ 5,099.09	\$ 5,529.60
Westamerica Bancorporation	89 shs.	\$ 5,024.94	\$ 3,946.45
Wynn Macau, Ltd.	232 shs.	\$ 10,551.36	\$ 6,522.33
Wolverine World Wide	356 shs.	\$ 12,089.76	\$ 7,864.37
Woodward, Inc.	55 shs.	\$ 2,508.55	\$ 2,139.26
West Pharmaceutical Services, Inc.	60 shs.	\$ 2,943.60	\$ 1,651.80
Williams Companies (2)	402 shs	\$ 15,505.14	\$ 13,470.06
Williams Sonoma	62 shs.	\$ 3,613.36	\$ 3,617.88
Xinyi Glass Holdings, Ltd.	83 shs.	\$ 1,664.15	\$ 1,137.10
YPF Sociedad ADS	400 shs.	\$ 13,184.00	\$ 6,570.48
Zebra Tech Class A	25 shs.	\$ 1,892.80	\$ 1,434.30
REPS ADR Quality Dividend Rights	192 Rts.	\$ -	\$ -
TOTAL STOCKS		\$ 6,244,222.49	\$ 5,588,172.18

Cash	Market Value	Inventory Value
Balance in account at Morgan Stanley Account No. **6303	\$ 75,435.58	\$ 75,435.58
Balance in account at Morgan Stanley Account No. **0303	\$ 148,975.61	\$ 148,975.61
Balance in account at J.P Morgan Account No. **9675	\$ 53,419.13	\$ 53,419.13
Balance in account at JPMorgan Account No. **3628	\$ 18,789.55	\$ 18,789.55
TOTAL CASH	\$ 296,619.87	\$ 296,619.87
	\$ 7,235,910.61	\$ 6,596,671.26