



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation First Eagle Investment Management Foundation		A Employer identification number 45-4165305	
% c/o Foundation Source		B Telephone number (see instructions) (800) 839-1754	
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd Suite 123			
Room/suite		C If exemption application is pending, check here ☐	
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377			
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 1,731,060		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,000,000			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	1,470	1,470		
	4 Dividends and interest from securities.				
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a _____				
	7 Capital gain net income (from Part IV , line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	1,001,470	1,470		
	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	13,439	850		12,589
	24 Total operating and administrative expenses. Add lines 13 through 23	13,439	850		12,589
	25 Contributions, gifts, grants paid	678,711			678,711
	26 Total expenses and disbursements. Add lines 24 and 25	692,150	850		691,300
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	309,320			
	b Net investment income (if negative, enter -0-)		620		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	1,421,740	1,731,060	1,731,060
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,421,740	1,731,060	1,731,060
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,421,740	1,731,060	
	30	Total net assets or fund balances (see page 17 of the instructions)	1,421,740	1,731,060	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,421,740	1,731,060	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,421,740
2	Enter amount from Part I, line 27a	2	309,320
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,731,060
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,731,060

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)	
1a					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale		(h) Gain or (loss) (e) plus (f) minus (g)
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a					
b					
c					
d					
e					
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }				2
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }				3

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))		
2012	577,596	726,996	0.794497		
2011	0	0	0.0		
2010	0	0	0.0		
2009	0	0	0.0		
2008	0	0	0.0		
2 Total of line 1, column (d).				2	0.794497
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years				3	0.794497
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.				4	1,190,871
5 Multiply line 4 by line 3.				5	946,143
6 Enter 1% of net investment income (1% of Part I, line 27b).				6	6
7 Add lines 5 and 6.				7	946,149
8 Enter qualifying distributions from Part XII, line 4.				8	691,300

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)					
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	12		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		2	12		
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)					
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				2	
3 Add lines 1 and 2.				3	12
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	12		
6 Credits/Payments					
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a				
b Exempt foreign organizations—tax withheld at source	6b				
c Tax paid with application for extension of time to file (Form 8868)	6c			12	
d Backup withholding erroneously withheld	6d				
7 Total credits and payments. Add lines 6a through 6d.		7	12		
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.		8			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10			
11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded		11			

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	Yes No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	Yes
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions): DE			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of <u>co Foundation Source</u> Telephone no <u>(800) 839-1754</u> Located at <u>501 Silverside Road Suite 123 Wilmington DE</u> ZIP +4 <u>198091377</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. ☒	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No If "Yes," list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	0
b	Average of monthly cash balances.	1b	1,209,006
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,209,006
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	1,209,006
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	18,135
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,190,871
6	Minimum investment return. Enter 5% of line 5.	6	59,544

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	59,544
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	12
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	12
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	59,532
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	59,532
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	59,532

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	691,300
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	691,300
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	691,300
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				59,532
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012. 541,346				
f Total of lines 3a through e.	541,346			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 691,300				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				59,532
e Remaining amount distributed out of corpus	631,768			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,173,114			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,173,114			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . . 541,346				
e Excess from 2013. . . . 631,768				

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	678,711
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.	OMB No 1545-0047 2013
---	--	---

Name of the organization First Eagle Investment Management Foundation	Employer identification number 45-4165305
---	---

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

[illegible]

Name of organization First Eagle Investment Management Foundation	Employer identification number 45-4165305
---	---

Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization First Eagle Investment Management Foundation	Employer identification number 45-4165305
---	---

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: First Eagle Investment Management Foundation

EIN: 45-4165305

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
-------------------------	---------------	---------------------	---------------------------	--------------------	--------------------------	-------------------------------------	-----------------------	---------------------	---------------------------------

TY 2013 Investments - Land Schedule

Name: First Eagle Investment Management Foundation

EIN: 45-4165305

TY 2013 Land, Etc. Schedule

Name: First Eagle Investment Management Foundation

EIN: 45-4165305

TY 2013 Other Expenses Schedule**Name:** First Eagle Investment Management Foundation**EIN:** 45-4165305

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	12,564			12,564
State or Local Filing Fees	25			25
BANK CHARGES	850	850		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
A CHILDS HAVEN INC 1124 RUTHERFORD RD GREENVILLE,SC 29609	N/A	PC	General & Unrestricted	250
AID FOR THE PHILIPPINES 575 CALLAN AVE SAN LEANDRO,CA 94577	N/A	PC	General & Unrestricted	250
ALS THERAPY DEVELOPMENT FOUNDATION INC 300 TECHNOLOGY SQ STE 400 CAMBRIDGE,MA 02139	N/A	PC	General & Unrestricted	200
ALZHEIMERS DISEASE AND RELATED DISORDERS ASSOCIATI 2 JEFFERSON PLZ STE 103 POUGHKEEPSIE,NY 12601	N/A	PC	General & Unrestricted	2,500
AMERICAN CANCER SOCIETY 6525 N MERIDIAN AVE Oklahoma City,OK 73116	N/A	PC	General & Unrestricted	40,815
AMERICAN CANCER SOCIETY INC PO BOX 22718 OKLAHOMA CITY,OK 73123	N/A	PC	General & Unrestricted	180
AMERICAN FOUNDATION FOR SUICIDE PREVENTION 120 WALL ST 22ND FL NEWYORK,NY 10005	N/A	PC	General & Unrestricted	250
AMERICAN FRIENDS OF JAMAICA INC 1120 AVE OF AMERICAS 4TH FL NEWYORK,NY 10036	N/A	PC	General & Unrestricted	250
AMERICAN HEART ASSOCIATION 3020 WESTCHESTER AVE PURCHASE,NY 10577	N/A	PC	General & Unrestricted	250
AMERICAN HEART ASSOCIATION INC 7272 GREENVILLE AVE DALLAS,TX 75231	N/A	PC	General & Unrestricted	555
AMERICAN MUSEUM OF NATURAL HISTORY 79TH ST AT CENTRAL PARK W NEWYORK,NY 10024	N/A	PC	General & Unrestricted	15,000
AMERICAN NATIONAL RED CROSS 8550 ARLINGTON BLVD FAIRFAX,VA 22031	N/A	PC	General & Unrestricted	600
AMERICAN SOCIETY FOR THE PREVENTION OF CRUELTY TO 520 8TH AVE 7TH FL NEWYORK,NY 10018	N/A	PC	General & Unrestricted	225
ARCHITECTURE FOR HUMANITY 695 MINNA ST SAN FRANCISCO,CA 94103	N/A	PC	Disaster Reconstruction and Resiliency Campaign	1,000
ASSOCIATION TO BENEFIT CHILDREN 419 E 86TH ST NEWYORK,NY 10028	N/A	PC	General & Unrestricted	250
Total  3a				678,711

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AUTISM SPEAKS INC 1060 STATE RD 2ND FL PRINCETON,NJ 08540	N/A	PC	General & Unrestricted	1,250
AUTISM SPEAKS INC 1060 STATE RD 2ND FL PRINCETON,NJ 08540	N/A	PC	2013 Walk Now for Autism Speaks Northern new jersey initiative	50
AUTISM SPEAKS INC 1060 STATE RD 2ND FL PRINCETON,NJ 08540	N/A	PC	2013 Walk Now for Autism Speaks Northern new jersey for jamie england's team initiative	50
AUTISM SPEAKS INC 1060 STATE RD 2ND FL PRINCETON,NJ 08540	N/A	PC	Northern New Jersey for Jamie England's team initiative	100
AUTISM SPEAKS INC 1060 STATE RD 2ND FL PRINCETON,NJ 08540	N/A	PC	2013 Walk Now for Autism Speaks Northern New jersey for mason england's team campaign	2,500
AUTISM SPEAKS INC 5805 BLUE LAGOON DR STE 110 MIAMI,FL 33126	N/A	PC	General & Unrestricted	250
BALLET HISPANICO OF NEW YORK 167 W 89TH ST NEW YORK,NY 10024	N/A	PC	General & Unrestricted	250
BARTON COLLEGE PO BOX 5000 WILSON,NC 27893	N/A	PC	General & Unrestricted	2,500
BEAT THE STREETS DETROIT INC 39500 HIGH PTE BLVD STE 400 NOVI,MI 48375	N/A	PC	General & Unrestricted	250
BEST FRIENDS ANIMAL SOCIETY 5001 ANGEL CANYON RD KANAB,UT 84741	N/A	PC	General & Unrestricted	200
BIRTHRIGHT ISRAEL FOUNDATION 33 E 33RD ST 7TH FL NEW YORK,NY 10016	N/A	PC	General & Unrestricted	50
BOB WOODRUFF FAMILY FOUNDATION INC 1359 BROADWAY RM 800 NEW YORK,NY 10018	N/A	PC	General & Unrestricted	3,500
BRAIN TUMOR FUND FOR THE CAROLINAS PO BOX 5627 CHARLOTTE,NC 28299	N/A	PC	General & Unrestricted	250
BREARLEY SCHOOL 610 E 83RD ST NEW YORK,NY 10028	N/A	PC	General & Unrestricted	1,000
BROOKLYN BOTANIC GARDEN CORPORATION 1000 WASHINGTON AVE BROOKLYN,NY 11225	N/A	PC	General & Unrestricted	20,000
Total  3a				678,711

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BROOKLYN HEIGHTS MONTESSORI SCHOOL 185 COURT ST BROOKLYN, NY 11201	N/A	PC	General & Unrestricted	500
BROOKLYN INSTITUTE OF ARTS AND SCIENCES DBA BROOKL 200 EASTERN PKWY BROOKLYN, NY 11238	N/A	PC	General & Unrestricted	500
CARNEGIE HALL SOCIETY INC 881 7TH AVE NEW YORK, NY 10019	N/A	PC	General & Unrestricted	10,000
CENTRAL PARK CONSERVANCY INC 14 E 60TH ST FL 8 NEW YORK, NY 10022	N/A	PC	General & Unrestricted	20,000
CHARITY GLOBAL INC - CHARITY WATER 200 VARICK ST NEW YORK, NY 10014	N/A	PC	General & Unrestricted	250
CHARLOTTE LATIN SCHOOLS INC 9502 PROVIDENCE RD CHARLOTTE, NC 28277	N/A	PC	General & Unrestricted	3,500
CHILDRENS HOPECHEST INC 300 GENERAL PALMER DR PALMER LAKE, CO 80133	N/A	PC	General & Unrestricted	2,000
CITY HARVEST INC 6 E 32ND ST FL 5 NEW YORK, NY 10016	N/A	PC	General & Unrestricted	15,250
CITY SQUASH INC PO BOX 619 BRONX, NY 10458	N/A	PC	Charitable Event	100
COALITION FOR THE HOMELESS INC 129 FULTON ST NEW YORK, NY 10038	N/A	PC	General & Unrestricted	3,750
COLUMBIA BUSINESS SCHOOL 33 W 60TH ST NEW YORK, NY 10023	N/A	PC	General & Unrestricted	12,500
COMMUNITY FUND OF BRONXVILLE EASTCHESTER TUCKAHOE 17 SAGAMORE RD BRONXVILLE, NY 10708	N/A	PC	General & Unrestricted	3,500
CONCORDIA COLLEGE AT BRONXVILLE 171 WHITE PLAINS RD BRONXVILLE, NY 10708	N/A	PC	Concordia Conservatory Fund	500
CONSERVATION INTERNATIONAL FOUNDATION 2011 CRYSTAL DR STE 500 ARLINGTON, VA 22202	N/A	PC	General & Unrestricted	500
CORNELL UNIVERSITY 130 E SENECA ST STE 400 ITHACA, NY 14850	N/A	PC	Cornell Annual Fund for Arts and Sciences	500
Total  3a				678,711

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
DANA FARBER CANCER INSTITUTE INC 10 BROOKLINE PL W6TH FL BROOKLINE,MA 02445	N/A	PC	Claudia Adams Barr Program	80
DANBURY GRASSROOTS TENNIS INC 12 S LAKE SHORE DR BROOKFIELD,CT 06804	N/A	PC	Charitable Event	1,000
DELBARTON SCHOOL 230 MENDHAM RD MORRISTOWN,NJ 07960	N/A	PC	General & Unrestricted	250
DOCTORS WITHOUT BORDERS USA INC 333 7TH AVE NEW YORK,NY 10001	N/A	PC	General & Unrestricted	1,500
DOE FUND INC 232 E 84TH ST NEW YORK,NY 10028	N/A	PC	General & Unrestricted	225
DONORSCHOOSE ORG 134 W 37TH ST 11TH FL NEW YORK,NY 10018	N/A	PC	General & Unrestricted	500
DRESS FOR SUCCESS WORLDWIDE 32 E 31ST ST NEW YORK,NY 10016	N/A	PC	General & Unrestricted	250
FAIRFIELD UNIVERSITY 1073 N BENSON RD FAIRFIELD,CT 06824	N/A	PC	General & Unrestricted	750
FAMILY TREE INC 2108 N CHARLES ST BALTIMORE,MD 21218	N/A	PC	General & Unrestricted	2,000
FENCE MAGAZINE INCORPORATED UNIVERSITY AT ALBANY-1400 WASHINGTON ALBANY,NY 12222	N/A	PC	General & Unrestricted	2,500
FERTILITY WITHIN REACH INCORPORATED 1005 BOYLSTON ST RM 332 NEWTON HIGHLANDS,MA 02461	N/A	PC	General & Unrestricted	2,500
FOUNDATION FOR ADVANCED CANCER STUDIES INC 7443 WATERMARK DR ALLENDALE,MI 49401	N/A	PC	General & Unrestricted	250
GEMACH ZICHRON MOSHE INC 130 THE CIR PASSAIC,NJ 07055	N/A	PC	General & Unrestricted	3,500
GIVE AN HOUR NONPROFIT CORPORATION 5137 WESTPATH WAY BETHESDA,MD 20816	N/A	PC	General & Unrestricted	900
GLEN RIDGE EDUCATIONAL FOUNDATION INCORPORATED 16 HIGH ST GLEN RIDGE,NJ 07028	N/A	PC	General & Unrestricted	250
Total  3a				678,711

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
GRASSROOT SOCCER INC PO BOX 712 NORWICH,VT 05055	N/A	PC	General & Unrestricted	250
HEART AND SOUL CHARITABLE FUND INC 1157 LEXINGTON AVE NEW YORK,NY 10075	N/A	PC	General & Unrestricted	750
HOLE IN THE WALL GANG FUND INC 555 LONG WHARF DR NEW HAVEN,CT 06511	N/A	PC	General & Unrestricted	250
HUMAN RIGHTS CAMPAIGN FOUNDATION 1640 RHODE ISLAND AVE NW WASHINGTON,DC 20036	N/A	PC	General & Unrestricted	250
IMENTOR 30 BROAD ST 9TH FL NEW YORK,NY 10004	N/A	PC	General & Unrestricted	25,000
INQUIRING SYSTEMS INC PO BOX 2037 SONOMA,CA 95476	N/A	PC	Bay Area Association of kidney patients in honor of brian lee	300
INTERNATIONAL PLANNED PARENTHOOD FEDERATION 125 MAIDEN LN FL 9 NEW YORK,NY 10038	N/A	PC	General & Unrestricted	250
JAMES A GREENLEAF MEMORIAL TR FUND 23 BURROWS ST GROTON,CT 06340	N/A	PC	General & Unrestricted	250
JAWONIO INC 260 N LITTLE TOR RD NEW CITY,NY 10956	N/A	PC	General & Unrestricted	2,000
JDRF INTERNATIONAL 26 BROADWAY 14TH FL NEW YORK,NY 10004	N/A	PC	General & Unrestricted	60
JEWISH FAMILY SERVICE & CHILDRENS CENTER OF CLIFTO 925 ALLWOOD RD CLIFTON,NJ 07012	N/A	PC	General & Unrestricted	250
JUVENILE DIABETES RESEARCH FOUNDATION - CENTRAL JE 740 BROAD ST STE 4 SHREWSBURY,NJ 07702	N/A	PC	Charitable Event	2,500
LEARNING LEADERS INC 80 MAIDEN LN NEW YORK,NY 10038	N/A	PC	General & Unrestricted	25,000
LEUKEMIA & LYMPHOMA SOCIETY - CENTRAL NY CHAPTER 4043 MAPLE RD STE 105 AMHERST,NY 14226	N/A	PC	General & Unrestricted	500
LINCOLN CENTER FOR THE PERFORMING ARTS INC 70 LINCOLN CENTER PLZ NEW YORK,NY 10023	N/A	PC	General & Unrestricted	15,000
Total  3a				678,711

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LOWER MANHATTAN COMMUNITY MIDDLE SCHOOL PTA 26 BROADWAY LBBY 6 NEW YORK,NY 10004	N/A	PC	General & Unrestricted	700
MADISON AVENUE PRESBYTERIAN CHURCH 921 MADISON AVE NEW YORK,NY 10021	N/A	PC	General & Unrestricted	950
MAKE-A-WISH FOUNDATION OF METRO NEW YORK INC 1111 MARCUS AVE STE LL22 LAKE SUCCESS,NY 11042	N/A	PC	General & Unrestricted	25,000
MARYMOUNT SCHOOL 1026 5TH AVE NEW YORK,NY 10028	N/A	PC	General & Unrestricted	1,000
MARYMOUNT SCHOOL 1026 5TH AVE NEW YORK,NY 10028	N/A	PC	2012 - 2013 Annual Fund	2,000
MEALS ON WHEELS ASSOCIATION OF AMERICA INC 413 N LEE ST ALEXANDRIA,VA 22314	N/A	PC	General & Unrestricted	200
MEMORIAL SLOAN-KETTERING CANCER CENTER 1275 YORK AVE NEW YORK,NY 10065	N/A	PC	General & Unrestricted	50,000
METRO CARERING PO BOX 300459 DENVER,CO 80203	N/A	PC	General & Unrestricted	3,500
MONTESSORI ASSOCIATION OF NEW YORK 416 E 80TH ST NEW YORK,NY 10075	N/A	PC	The Caedmon School's Annual Fund	3,500
MOVEMBER FOUNDATION 8559 HIGUERA ST CULVER CITY,CA 90232	N/A	PC	General & Unrestricted	1,310
MUSEUM OF MODERN ART 11 W 53RD ST NEW YORK,NY 10019	N/A	PC	General & Unrestricted	15,000
NATIONAL BRAIN TUMOR SOCIETY INC 55 CHAPEL ST STE 200 NEWTON,MA 02458	N/A	PC	2013 Brain Tumor Walk - NY Campaign	1,610
NATIONAL MULTIPLE SCLEROSIS SOCIETY 733 3RD AVE FL 3 NEW YORK,NY 10017	N/A	PC	Charitable Event	991
NATIONAL MULTIPLE SCLEROSIS SOCIETY 733 3RD AVE FL 3 NEW YORK,NY 10017	N/A	PC	General & Unrestricted	225
NATIONAL MULTIPLE SCLEROSIS SOCIETY 733 3RD AVE FL 3 NEW YORK,NY 10017	N/A	PC	in support of chun fong on team in motion for the ms bike ride	200
Total  3a				678,711

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NETWORK FOR GOOD INC 1140 CONNECTICUT AVE NW STE 700 WASHINGTON,DC 20036	N/A	PC	Newtown Parent Connection Inc - Dominic and erin's marathon	200
NETWORK FOR GOOD INC 1140 CONNECTICUT AVE NW STE 700 WASHINGTON,DC 20036	N/A	PC	Team Manhattan Bridges High School	100
NETWORK FOR GOOD INC 1140 CONNECTICUT AVE NW STE 700 WASHINGTON,DC 20036	N/A	PC	Clear Water Initiative	400
NEW CANAAN MOUNTED TROOP INC 22 CARTER ST NEW CANAAN,CT 06840	N/A	PC	NCMT's Super Troopers - Therapeutic riding and horsemanship program	2,000
NEW JERSEY SYMPHONY ORCHESTRA 60 PARK PL FL 9 NEWARK,NJ 07102	N/A	PC	General & Unrestricted	230
NEW YORK CARES INC 65 BROADWAY FL 19 NEW YORK,NY 10006	N/A	PC	General & Unrestricted	26,500
NEW YORK CARES INC 65 BROADWAY FL 19 NEW YORK,NY 10006	N/A	PC	Field Day Project	383
NEW YORK CARES INC 65 BROADWAY FL 19 NEW YORK,NY 10006	N/A	PC	Math Olympics Project	2,500
NEW YORK CARES INC 65 BROADWAY FL 19 NEW YORK,NY 10006	N/A	PC	Winter Wishes Project	1,884
NEW YORK CITY PARTNERSHIP FOUNDATION INC ONE BATTERY PARK PLZ STE 5TH FL NEW YORK,NY 10004	N/A	PC	General & Unrestricted	5,000
NEW YORK CITY RESCUE MISSION 90 LAFAYETTE ST NEW YORK,NY 10013	N/A	PC	General & Unrestricted	3,500
NORTH SHORE LAND ALLIANCE INC 151 POST RD OLD WESTBURY,NY 11568	N/A	PC	General & Unrestricted	2,000
OUTREACH INFORMATION SERVICES INC 1157 LEXINGTON AVE NEW YORK,NY 10075	N/A	PC	General & Unrestricted	2,890
PACKER COLLEGIATE INSTITUTE 170 JORALEMON ST BROOKLYN,NY 11201	N/A	PC	General & Unrestricted	2,000
PAN MASSACHUSETTS CHALLENGE TRUST 77 4TH AVE NEEDHAM,MA 02494	N/A	PC	General & Unrestricted	25
Total  3a				678,711

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PARENTS OF P S 334 INC 100 W 77TH ST NEWYORK,NY 10024	N/A	PC	General & Unrestricted	3,400
PATRIOT GUARD RIDERS OF CT INC 8 BRENDA LN OLD SAYBROOK,CT 06475	N/A	PC	General & Unrestricted	250
PHILHARMONIC-SYMPHONY SOCIETY OF NEWYORK INC 10 LINCOLN CENTER PLZ NEWYORK NY,NY 10023	N/A	PC	General & Unrestricted	203
PIANOSONOMA MUSIC FESTIVAL INC 31 TIEMANN PL NEWYORK,NY 10027	N/A	PC	General & Unrestricted	1,000
POSSE FOUNDATION 14 WALL ST 7TH FLR NEWYORK,NY 10005	N/A	PC	General & Unrestricted	25,000
PREP FOR PREP 328 W 71ST ST NEWYORK,NY 10023	N/A	PC	General & Unrestricted	50,000
PRESIDENT & FELLOWS OF MIDDLEBURY COLLEGE 5 COURT ST MIDDLEBURY,VT 05753	N/A	PC	General & Unrestricted	500
RESTORE NYC INC 255 W 36TH ST FL 8 NEWYORK,NY 10018	N/A	PC	General & Unrestricted	2,000
ROOM TO READ 111 SUTTER ST 16TH FL SAN FRANCISCO,CA 94104	N/A	PC	General & Unrestricted	1,250
SAINT MARYS HOSPITAL FOR CHILDREN INC 2901 216TH ST BAYSIDE,NY 11360	N/A	PC	General & Unrestricted	250
SAVE-A-PET ANIMAL RESCUE INC 608 RTE 112 PORT JEFFERSON STATION,NY 11776	N/A	PC	General & Unrestricted	250
SCO FAMILY OF SERVICES 1 ALEXANDER PL GLEN COVE,NY 11542	N/A	PC	General & Unrestricted	1,000
SERIOUS FUN CHILDRENS NETWORK INC 228 SAUGATUCK AVE WESTPORT,CT 06880	N/A	PC	General & Unrestricted	50,000
ST BERNARDS SCHOOL INC 4 E 98TH ST NEWYORK,NY 10029	N/A	PC	General & Unrestricted	1,450
ST BERNARDS SCHOOL INC 4 E 98TH ST NEWYORK,NY 10029	N/A	PC	Old Boy Annual Giving Fund	1,250
Total  3a				678,711

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ST GEORGES SCHOOL 372 PURGATORY RD MIDDLETOWN,RI 02842	N/A	PC	General & Unrestricted	500
ST JUDE CHILDRENS RESEARCH HOSPITAL INC 262 DANNY THOMAS PL MSC 512 MEMPHIS,TN 38105	N/A	PC	General & Unrestricted	1,560
ST LUKES ROOSEVELT HOSPITAL CENTER 555 W 57TH ST 5TH FL NEW YORK,NY 10019	N/A	PC	General & Unrestricted	50,000
ST PAULS HOUSE INC 335 W 51ST ST NEW YORK,NY 10019	N/A	PC	General & Unrestricted	250
THE MAYORS FUND TO ADVANCE NEW YORK CITY 253 BROADWAY FL 8 NEW YORK,NY 10007	N/A	PC	Summer Youth Employment Program	26,000
THE METROPOLITAN MUSEUM OF ART 1000 5TH AVE NEW YORK,NY 10028	N/A	PC	General & Unrestricted	15,000
THE NEW YORK PUBLIC LIBRARY ASTOR LENOX AND TILDEN 5TH AVE AND 42ND ST NEW YORK,NY 10018	N/A	PC	General & Unrestricted	10,000
THE SALVATION ARMY 10 W ALGONQUIN RD DES PLAINES,IL 60016	N/A	PC	General & Unrestricted	2,500
TOMMY MCNAMARA CHARITABLE FOUNDATION INC 234 CAUSEWAY ST APT 1107 BOSTON,MA 02114	N/A	PC	General & Unrestricted	110
TOPPS-TARGETING OUR PEOPLES PRIORITIES WITH SERVIC PO BOX 2793 PINE BLUFF,AR 71613	N/A	PC	General & Unrestricted	400
TRUSTEES OF PRINCETON UNIVERSITY 701 CARNEGIE CENTER STE 442 PRINCETON,NJ 08540	N/A	PC	Friends of Princeton Wrestling	500
TUCK SCHOOL OF BUSINESS 100 TUCK HALL HANOVER,NH 03755	N/A	PC	Annual Giving for the Tuck School of Business at dartmouth	500
TURTLES TRAIL FOUNDATION INC PO BOX 1284 SOUTHEASTERN,PA 19399	N/A	PC	General & Unrestricted	50
UNIVERSITY OF CALIFORNIA SANTA BARBARA DEVELOPMENT OFC SANTA BARBARA,CA 93106	N/A	PC	Men's and Women's Tennis Team	2,000
UNIVERSITY OF CONNECTICUT FOUNDATION INCORPORATED 2390 ALUMNI DR STORRS,CT 06269	N/A	PC	Sandy Hook School Memorial Scholarship Fund	200
Total  3a				678,711

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF PITTSBURGH 128 N CRAIG ST PITTSBURGH,PA 15260	N/A	PC	The Adam Obsenica Memorial Fund	500
VERMONT YOUTH ORCHESTRA ASSOCIATION INC 223 ETHAN ALLEN AVE COLCHESTER,VT 05446	N/A	PC	General & Unrestricted	500
WELLESLEY COLLEGE 106 CENTRAL ST WELLESLEY,MA 02481	N/A	PC	General & Unrestricted	700
WELLESLEY STUDENTS AID SOCIETY INC 106 CENTRAL ST WELLESLEY,MA 02481	N/A	PC	General & Unrestricted	400
WNET 825 8TH AVE NEWYORK,NY 10019	N/A	PC	General & Unrestricted	15,000
WOUNDED WARRIOR PROJECT PO BOX 758541 TOPEKA,KS 66675	N/A	PC	General & Unrestricted	1,250
Total  3a				678,711

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
John Amhold	VP / Dir 1 0 Foundation Source 501 Silverside Rd Wilmington, DE 198091377	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Melanie Dow	VP / Dir 1 0 Foundation Source 501 Silverside Rd Wilmington, DE 198091377	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Robert Hackney	Dir 1 0 Foundation Source 501 Silverside Rd Wilmington, DE 198091377	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Bridget Macaskill	Pres / Dir / Sec 1 0 Foundation Source 501 Silverside Rd Wilmington, DE 198091377	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Matt McLennan	Dir 1 0 Foundation Source 501 Silverside Rd Wilmington, DE 198091377	0	0	0
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				