Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► **Do not enter Social Security numbers on this form as it may be made public.**

► Information about Form 990-PF and its separate instructions is at ***www.irs.gov/form990pf.***

OMB No 1545-0052

2013

For calendar year 2013, or tax year beginning , 2013, and ending ,

THE KAO FAMILY FOUNDATION
C/O FAVOR & FAVOR, CPAS
24422 AVENIDA DE LA CARLOTA #275
LAGUNA HILLS, CA 92653-4615

A Employer identification number 45-3859531

B Telephone number (see the instructions) (949)829-8299

C If exemption application is pending, check here ► ☐

D 1 Foreign organizations, check here ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ► ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ► ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, column (c), line 16)* ► $ 1,914,201.

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)				
	2 Ck ► ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	24,105.	24,105.	24,105.	
	4 Dividends and interest from securities	42,363.	42,363.	42,363.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	-41,083.			
	b Gross sales price for all assets on line 6a 1,578,342.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain			0.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule)				
	12 **Total.** Add lines 1 through 11	25,385.	66,468.	66,468.	
OPERATING AND ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) SEE ST 1	5,290.			
	c Other prof fees (attach sch) SEE ST 2	16,724.			
	17 Interest	1,179.	1,179.		
	18 Taxes (attach schedule)(see instrs) SEE STM 3	549.			
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SEE STATEMENT 4	14,583.	14,583.		
	24 **Total operating and administrative expenses.** Add lines 13 through 23	38,325.	15,762.		
	25 Contributions, gifts, grants paid PART XV	281,970.			281,970.
	26 **Total expenses and disbursements.** Add lines 24 and 25	320,295.	15,762.	0.	281,970.
	27 Subtract line 26 from line 12:				
	a **Excess of revenue over expenses and disbursements**	-294,910.			
	b **Net investment income** (if negative, enter -0-)		50,706.		
	c **Adjusted net income** (if negative, enter -0-)			66,468.	

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BAA For Paperwork Reduction Act Notice, see instructions. TEEA0504L 10/18/13 Form **990-PF** (2013)

Part II	**Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS 1	Cash – non-interest-bearing			
2	Savings and temporary cash investments	395,615.	163,671.	163,671.
3	Accounts receivable ►			
	Less: allowance for doubtful accounts ►			
4	Pledges receivable ► 5,868.			
	Less allowance for doubtful accounts ►	3,288.	5,868.	5,868.
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments – U S and state government obligations (attach schedule)	937,844.	474,106.	442,636.
b	Investments – corporate stock (attach schedule)	867,386.	1,265,578.	1,302,026.
c	Investments – corporate bonds (attach schedule)			
11	Investments – land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
12	Investments – mortgage loans			
13	Investments – other (attach schedule)			
14	Land, buildings, and equipment basis ►			
	Less: accumulated depreciation (attach schedule) ►			
15	Other assets (describe ►)			
16	**Total assets** (to be completed by all filers – see the instructions Also, see page 1, item I)	2,204,133.	1,909,223.	1,914,201.
LIABILITIES 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, & other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ►)			
23	**Total liabilities** (add lines 17 through 22)	0.	0.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► ☒ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, building, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	2,204,133.	1,909,223.	
30	**Total net assets or fund balances** (see instructions)	2,204,133.	1,909,223.	
31	**Total liabilities and net assets/fund balances** (see instructions)	2,204,133.	1,909,223.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,204,133.
2	Enter amount from Part I, line 27a	2	-294,910.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	1,909,223.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	1,909,223.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	SEE STATEMENT 5			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) — If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7	2	-41,083.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	-48,258.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	311,585.	1,444,209.	0.215748
2011		1,477,522.	
2010			
2009			
2008			

2	**Total** of line 1, column (d)	2	0.215748
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.107874
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,030,339.
5	Multiply line 4 by line 3	5	219,021.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	507.
7	Add lines 5 and 6	7	219,528.
8	Enter qualifying distributions from Part XII, line 4	8	281,970.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1 Date of ruling or determination letter ________ (attach copy of letter if necessary – see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☒ and enter 1% of Part I, line 27b	1	507.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	507.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	507.
6	Credits/Payments:		
a	2013 estimated tax pmts and 2012 overpayment credited to 2013 — 6a: 300.		
b	Exempt foreign organizations – tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	300.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	207.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax ► | **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1 a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1 c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ► $ 0. **(2)** On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If 'Yes,' attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes*	3		X
4 a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4 a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year?	4 b	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If 'Yes,' attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8 a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► CA			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8 b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► FAVOR & FAVOR, CPAS Telephone no. ► (949) 829-8299

Located at ► 24422 AVENIDA DE LA CARLOTA #275 LAGUNA HILL ZIP + 4 ► 92653-4615

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here N/A ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country ►			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

				Yes	No
	File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.				
1 a	During the year did the foundation (either directly or indirectly)				
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ **Yes** ☒ **No**			
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ **Yes** ☒ **No**			
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ **Yes** ☒ **No**			
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ **Yes** ☒ **No**			
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ **Yes** ☒ **No**			
(6)	Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ **Yes** ☒ **No**			
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1 b	N/A	
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1 c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ **Yes** ☒ **No**			
	If 'Yes,' list the years ► 20__ , 20__ , 20__ , 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions)		2 b	N/A	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ► 20__ , 20__ , 20__ , 20__				
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ **Yes** ☒ **No**			
b	If 'Yes,' did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*		3 b	N/A	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4 a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4 b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5 b** N/A

Organizations relying on a current notice regarding disaster assistance check here ► ☐

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6 b** X (No)

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7 b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JOHN E. KAO 24422 AVENIDA CARLOTA, #275 LAGUNA HILLS, CA 92653	PRESIDENT 5.00	0.	0.	0.
SUSAN S. KAO 24422 AVENIDA CARLOTA, #275 LAGUNA HILLS, CA 92653	SECRETARY 5.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ► 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		
Total number of others receiving over $50,000 for professional services ►		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ►	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes			
a	Average monthly fair market value of securities		1 a	1,857,006.
b	Average of monthly cash balances		1 b	204,252.
c	Fair market value of all other assets (see instructions)		1 c	
d	**Total** (add lines 1a, b, and c)		1 d	2,061,258.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	2,061,258.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)		4	30,919.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	2,030,339.
6	**Minimum investment return.** Enter 5% of line 5		6	101,517.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	101,517.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 507.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	507.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	101,010.
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	101,010.
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	101,010.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc — total from Part I, column (d), line 26	1 a	281,970.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	281,970.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	507.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	281,463.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					101,010.
2 Undistributed income, if any, as of the end of 2013:					
a Enter amount for 2012 only				0.	
b Total for prior years. 20 ___, 20 ___, 20 ___			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008					
b From 2009					
c From 2010					
d From 2011					
e From 2012	227,954.				
f **Total** of lines 3a through e		227,954.			
4 Qualifying distributions for 2013 from Part XII, line 4. ► $ 281,970.					
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required – see instructions)			0.		
c Treated as distributions out of corpus (Election required – see instructions)		0.			
d Applied to 2013 distributable amount					101,010.
e Remaining amount distributed out of corpus		180,960.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		408,914.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions			0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		408,914.			
10 Analysis of line 9					
a Excess from 2009					
b Excess from 2010					
c Excess from 2011					
d Excess from 2012	227,954.				
e Excess from 2013	180,960.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon· **a** 'Assets' alternative test – enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

SEE STATEMENT 6

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed·

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 7				
Total			► **3a**	281,970.
b *Approved for future payment*				
Total			► **3b**	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	24,105.	
4 Dividends and interest from securities			14	42,363.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				12,530.	-53,613.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				78,998.	-53,613.

13 Total. Add line 12, columns (b), (d), and (e) 13 25,385.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions.)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
(1)	Cash	**1 a (1)**		X
(2)	Other assets	**1 a (2)**		X
b	Other transactions			
(1)	Sales of assets to a noncharitable exempt organization	**1 b (1)**		X
(2)	Purchases of assets from a noncharitable exempt organization	**1 b (2)**		X
(3)	Rental of facilities, equipment, or other assets	**1 b (3)**		X
(4)	Reimbursement arrangements	**1 b (4)**		X
(5)	Loans or loan guarantees	**1 b (5)**		X
(6)	Performance of services or membership or fundraising solicitations	**1 b (6)**		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	**1 c**		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no.	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements
N/A			

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 8/13/14 | Title VICE PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
LISA K. FAVOR, CPA	LISA K. FAVOR, CPA	8/11/14		P01427060

Firm's name ► FAVOR & FAVOR, CPAS | Firm's EIN ► 27-0157984

Firm's address ► 24422 AVENIDA DE LA CARLOTA STE 275
LAGUNA HILLS, CA 92653 | Phone no (949) 829-8299

THE KAO FAMILY FOUNDATION
C/O FAVOR & FAVOR, CPAS

CLIENT E-105 45-3859531

8/11/14 04:21PM

STATEMENT 1
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEE	$ 5,290.			
TOTAL	$ 5,290.	$ 0.	$ 0.	$ 0.

STATEMENT 2
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TRUSTEE FEES	$ 16,724.			
TOTAL	$ 16,724.	$ 0.	$ 0.	$ 0.

STATEMENT 3
FORM 990-PF, PART I, LINE 18
TAXES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2012 TAXES DUE WITH RETURN	$ 60.			
2013 ESTIMATED TAXES	300.			
FOREIGN TAXES	189.			
TOTAL	$ 549.	$ 0.	$ 0.	$ 0.

STATEMENT 4
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	$ 150.	$ 150.		
INVESTMENT FEES	14,433.	14,433.		
TOTAL	$ 14,583.	$ 14,583.	$ 0.	$ 0.

STATEMENT 5
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

ITEM	(A) DESCRIPTION	(B) HOW ACQUIRED	(C) DATE ACQUIRED	(D) DATE SOLD
1	STCG ML # 74003-SEE ATTACHED STMT	PURCHASED	VARIOUS	VARIOUS
2	STCG ML # 74003-SEE ATTACHED STMT	PURCHASED	VARIOUS	VARIOUS
3	LTCG ML # 74003-SEE ATTACHED STMT	PURCHASED	VARIOUS	VARIOUS
4	LTCG ML # 74003-SEE ATTACHED STMT	PURCHASED	VARIOUS	VARIOUS
5	STCG ML # 74003-SEE ATTACHED STMT	PURCHASED	VARIOUS	VARIOUS
6	STCG ML # 74003-SEE ATTACHED STMT	PURCHASED	VARIOUS	VARIOUS
7	LTCG ML # 74003-SEE ATTACHED STMT	PURCHASED	VARIOUS	VARIOUS
8	CAPITAL GAIN DIVIDENDS			

ITEM	(E) GROSS SALES	(F) DEPREC. ALLOWED	(G) COST BASIS	(H) GAIN (LOSS)	(I) FMV 12/31/69	(J) ADJ. BAS. 12/31/69	(K) EXCESS (I)-(J)	(L) GAIN (LOSS)
1	287,272.		298,197.	-10,925.				$ -10,925.
2	463,252.		491,148.	-27,896.				-27,896.
3	328,889.		329,984.	-1,095.				-1,095.
4	49,525.		53,398.	-3,873.				-3,873.
5	100,063.		100,063.	0.				0.
6	323,246.		332,683.	-9,437.				-9,437.
7	13,565.		13,952.	-387.				-387.
8								12,530.
							TOTAL	$ -41,083.

STATEMENT 6
FORM 990-PF, PART XV, LINE 1A
FOUNDATION MANAGERS - 2% OR MORE CONTRIBUTORS

JOHN E. KAO
SUSAN S. KAO

STATEMENT 7
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
ST MARGARET'S EPISCOPAL SCHOOL 31641 LA NOVIA SAN JUAN CAPISTRANO, CA 92675		PC	TO BENEFIT FINANCIAL AID ASSISTANCE PROGRAMS FOR NEEDY STUDENTS.	$ 132,000.
LINKS PLAYER INTERNATIONAL 755 N PEACH AVENUE, SUITE E11 CLOVIS, CA 93611		PC	BRINGS GOLFERS TOGETHER IN SERVICE PROJECTS AND MISSIONARY WORK.	25,000.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
MARINERS CHURCH 5001 NEWPORT COAST DRIVE IRVINE, CA 92603		PC	TO TRANSFORM ORDINARY PEOPLE INTO PASSIONATE FOLLOWERS OF JESUS, CHANGING THE WORLD BY HELPING & SERVING PEOPLE IN & OUTSIDE OF THE COMMUNITY.	$ 50.
KITHU SEVANA 1 KORAYA WATHTHA RD DUMMALASURIYA, RAGAMA GQ 11010 SRI LANKA		PC	TO PROVIDE HOMES TO CHILDREN WHO ARE ABANDONED, ABUSED, AND TRAUMATIZED; RESIDENTIAL PROGRAM FOR WIDOWS AND SINGLE MOTHERS; RESIDENTIAL RECOVERY AND DETOX PROGRAM AS WELL AS AN ONGOING MINISTRY OF SUPPORT TO FORMER ALCOHOLICS AND THEIR FAMILIES; EDUCATIONAL AND SKILL DEVELOPMENT PROJECTS TO HELP PEOPLE IN NEED WITHIN THEIR COMMUNITIES.	50,000.
WATERMARK OC CHURCH 3186 PULLMAN STREET COSTA MESA, CA 92626		PC	TO CREATE AN ENVIRONMENT FOR PEOPLE WHERE THEIR RELATIONSHIP WITH GOD CAN BE CULTIVATED AND DEEPENED, AND TO HELP PEOPLE GROW IN THEIR LEADSHIP ABILITIES SO THEY CAN AFFECT THEIR WORLD FOR CHRIST.	25,000.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUNDATION STATUS	PURPOSE OF GRANT	AMOUNT
UCLA 10920 WILSHIRE BLVD., SUITE 1400 LOS ANGELES, CA 90024		PC	TO ASSIST IN COVERING ATHLETICS DEPARTMENT'S PROGRAM EXPENSES SUCH AS SCHOLARSHIPS, ACADEMIC SERVICES, LIFE-SKILLS DEVELOPMENT, TEAM TRAVEL, OPERATIONAL COSTS, AND RECRUITING EFFORTS.	$ 12,420.
PACIFIC MARINE MAMMAL CENTER 20612 LAGUNA CANYON ROAD LAGUNA BEACH, CA 92651		PC	TO AID IN RESCUES, REHABILITATES, RELEASES MARINE MAMMALS AND INSPIRES OCEAN STEWARDSHIP THROUGH RESEARCH, EDUCATION, AND COLLABORATION.	500.
HOMEWORD CENTER FOR YOUTH AND FAMILY P.O. BOX 1600 SAN JUAN CAPISTRANO, CA 92693		PC	TO HELP FAMILIES SUCCEED BY CREATING BIBLICAL RESOURCES THAT BUILD STRONG MARRIAGES, CONFIDENT PARENTS, EMPOWERED KIDS AND HEALTHY LEADERS.	12,000.

STATEMENT 7 (CONTINUED)
FORM 990-PF, PART XV, LINE 3A
RECIPIENT PAID DURING THE YEAR

NAME AND ADDRESS	DONEE RELATIONSHIP	FOUND-ATION STATUS	PURPOSE OF GRANT	AMOUNT
SRI LANKA UNITES NO. 18, ELLIOT PLACE COLOMBO 8, SRI LANKA		PC	TO UNITE YOUTH OF ALL ETHNIC AND RELIGIOUS GROUPS ACROSS SRI LANKA GIVING YOUTH THE POWERFUL POTENTIAL TO WORK TOWARDS SUSTAINABLE DEVELOPMENT, PEACE AND PROSPERITY.	$ 25,000.
			TOTAL	$ 281,970.

MERRILL LYNCH CONSULTS SERVICE

November 30, 2013 - December 31, 2013

YOUR INVESTMENT MANAGER - ALLIANZ CDP MULTI W/MCG III

We encourage you to contact your Financial Advisor whenever there are changes in your particular situation or objectives. These changes can then be discussed directly with your Investment Manager. We also encourage you to discuss your portfolio at least annually with your Investment Manager. Your Financial Advisor would be pleased to arrange such discussions.

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est Annual Yield%*
CASH	0.87	0.87		**.87**		
+ISA BANK OF AMERICA	55,754.00	55,754.00	1.0000	**55,754.00**	**11**	.02
+FDIC INSURED NOT SIPC COVERED						
TOTAL		55,754.87		**55,754.87**	**11**	.02

GOVERNMENT AND AGENCY SECURITIES [1] *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ U.S. TREASURY NOTE	12/21/12	65,000	65,090.51	98.1880	63,822.20	(1,268.31)	82.15	488	.76
0.750% OCT 31 2017									
*MOODY'S: AAA S&P *** CUSIP: 912828TW0*									
ORIGINAL UNIT/TOTAL COST. 100 1757/65,114.26									
U.S. TREASURY NOTE	09/05/13	5,000	4,837.13	98.1880	4,909.40	72.27	6.32	38	.76
U.S. TREASURY NOTE	09/17/13	3,000	2,931.90	98.1880	2,945.64	13.74	3.79	23	.76
U.S. TREASURY NOTE	11/06/13	3,000	2,972.94	98.1880	2,945.64	(27.30)	3.79	23	.76
Subtotal		*76,000*	*75,832 48*		***74,622.88***	*(1,209.60)*	*96.05*	***572***	*.76*
U.S. TREASURY NOTE	01/11/13	42,000	41,606.25	94.4450	39,666.90	(1,939.35)		473	1.19
1.125% DEC 31 2019									
*MOODY'S: AAA S&P: *** CUSIP: 912828UF5*									
U.S. TREASURY NOTE	09/05/13	2,000	1,863.84	94.4450	1,888.90	25.06		23	1.19
U.S. TREASURY NOTE	09/17/13	2,000	1,889.20	94.4450	1,888.90	(0.30)		23	1.19

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES[1] (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
U.S. TREASURY NOTE	11/06/13	2,000	1,925.55	94.4450	1,888.90	(36.65)		23	1.19
Subtotal		*48,000*	*47,284.84*		***45,333.60***	*(1,951.24)*		***542***	*1.19*
U.S. TREASURY NOTE	09/05/13	34,000	32,164.53	95.4450	32,451.30	286.77	86.41	680	2.09
2 000% NOV 15 2021 02 000% NOV 15 2021									
*MOODY'S AAA S&P· *** CUSIP 912828RR3*									
U.S. TREASURY NOTE	09/17/13	1,000	958.47	95.4450	954.45	(4.02)	2.54	20	2.09
U.S. TREASURY NOTE	11/05/13	1,000	976.45	95.4450	954.45	(22.00)	2.54	20	2.09
Subtotal		*36,000*	*34,099.45*		***34,360.20***	*260.75*	*91.49*	***720***	*2.09*
Δ U.S. TRSY INFLATION NTE	11/28/12	3,000	3,296.92	95.7890	2,918.70	(378.22)	1.72	4	.13
0 125% JUL 15 2022 INFL ADJ PRIN 3,047									
*MOODY'S AAA S&P. *** CUSIP. 912828TE0*									
ORIGINAL UNIT/TOTAL COST: 110 0433/3,301 30									
Δ U.S. TRSY INFLATION NTE	12/21/12	48,000	52,590.39	95.7890	46,699.21	(5,891.18)	27.55	61	.13
INFL ADJ PRIN 48,752									
ORIGINAL UNIT/TOTAL COST· 109 5978/52,606 99									
U.S. TRSY INFLATION NTE	11/06/13	4,000	4,004.84	95.7890	3,891.60	(113.24)	2.30	6	.13
INFL ADJ PRIN 4,062									
Subtotal		*55,000*	*59,892.15*		***53,509.51***	*(6,382 64)*	*31.57*	***71***	*.13*
Δ U.S. TREASURY NOTE	04/02/13	31,000	31,369.54	92.7660	28,757.46	(2,612.08)	232.50	620	2.15
2 000% FEB 15 2023 023									
*MOODY'S AAA S&P *** CUSIP 912828UN8*									
ORIGINAL UNIT/TOTAL COST· 101 2812/31,397 19									
U.S. TREASURY NOTE	09/17/13	1,000	933.28	92.7660	927.66	(5.62)	7.50	20	2.15
U.S. TREASURY NOTE	11/06/13	1,000	952.74	92.7660	927.66	(25.08)	7.50	20	2.15
Subtotal		*33,000*	*33,255.56*		***30,612.78***	*(2,642.78)*	*247.50*	***660***	*2.15*

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES[1] (continued)

Description	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
Δ U.S. TRSY INFLATION BOND *2 375% JAN 15 2025 INFL ADJ PRIN 12,391* *MOODY'S AAA S&P. *** CUSIP 912810FR4* *ORIGINAL UNIT/TOTAL COST: 166.7389/16,673 89*	12/21/12	10,000	16,407.58	114.9450	14,242.83	(2,164.75)	109.07	295	2.06
Δ U.S. TRSY INFLATION BOND *INFL ADJ PRIN 6,195* *ORIGINAL UNIT/TOTAL COST. 141.4906/7,074.53*	09/05/13	5,000	7,051.97	114.9450	7,121.42	69.45	54.53	148	2.06
Δ U.S. TRSY INFLATION BOND *INFL ADJ PRIN 2,478* *ORIGINAL UNIT/TOTAL COST 146.7520/2,935 04*	11/05/13	2,000	2,925.12	114.9450	2,848.57	(76.55)	21.81	59	2.06
Subtotal		*17,000*	*26,384.67*		***24,212.82***	*(2,171.85)*	*185.41*	**502**	*2.06*
Δ U.S. TRSRY INFLATION BON *2 500% JAN 15 2029 INFL ADJ PRIN 28,284* *MOODY'S AAA S&P· *** CUSIP 912810PZ5* *ORIGINAL UNIT/TOTAL COST: 154.9678/40,291 63*	12/21/12	26,000	39,766.70	117.5160	33,238.95	(6,527.75)	298.51	708	2.12
Δ U.S. TRSRY INFLATION BON *INFL ADJ PRIN 2,175* *ORIGINAL UNIT/TOTAL COST 127 3585/2,547 17*	09/05/13	2,000	2,540.44	117.5160	2,556.84	16.40	22.96	55	2.12
Δ U.S. TRSRY INFLATION BON *INFL ADJ PRIN 1,087* *ORIGINAL UNIT/TOTAL COST 132 1010/1,321.01*	11/05/13	1,000	1,317.12	117.5160	1,278.42	(38.70)	11.48	28	2.12
Subtotal		*29,000*	*43,624.26*		***37,074.21***	*(6,550.05)*	*332.95*	**791**	*2.12*
FNMA P735402 05%2035 *AMORTIZED FACTOR 0 133602610 AMORTIZED VALUE 400* *MOODY'S: *** S&P. *** CUSIP· 31402RAB5*	04/02/12	3,000	435.29	108.6010	**435.28**	(0.01)	1.67	**21**	4.60

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES [1] (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
FNMA P995094 04 50%2035 *AMORTIZED FACTOR 0 181484350 AMORTIZED VALUE 7,259* *MOODY'S *** S&P. *** CUSIP 31416BNK0*	11/15/12	40,000	7,826.51	106.1606	**7,706.59**	(119.92)	27.22	**327**	4.23
FNMA P745275 05%2036 *AMORTIZED FACTOR 0.133137540 AMORTIZED VALUE 1,730* *MOODY'S *** S&P. *** CUSIP 31403C6L0*	02/06/12	13,000	1,873.04	108.5310	**1,878.44**	5.40	7.21	**87**	4.60
FNMA P745336 05%2036 *AMORTIZED FACTOR 0 129537060 AMORTIZED VALUE 6,606* *MOODY'S *** S&P: *** CUSIP. 31403DBD0*	01/08/13	51,000	7,166.90	108.5516	**7,171.34**	4.44	27.53	**331**	4.60
FHLMC G0 8347 04 50%2039 *AMORTIZED FACTOR 0 289967330 AMORTIZED VALUE 18,557* *MOODY'S: *** S&P *** CUSIP: 3128MJL57*	02/16/12	64,000	19,743.88	106.1474	**19,698.74**	(45.14)	69.59	**836**	4.23
FNMA PAH1559 04%2040 *AMORTIZED FACTOR 0 442401120 AMORTIZED VALUE 17,696* *MOODY'S *** S&P *** CUSIP 3138A2WV7*	04/13/12	40,000	18,726.00	103.0123	**18,229.10**	(496.90)	58 99	**708**	3.88
FHLMC A9 6409 03 50%2041 *AMORTIZED FACTOR 0.636332730 AMORTIZED VALUE 0* *MOODY'S *** S&P: *** CUSIP 312945DN5*	06/07/12	1	0.67	99.3346	**.63**	(0.04)		**1**	3.52
FNMA PAH3394 04%2041 *AMORTIZED FACTOR 0.539902720 AMORTIZED VALUE 13,497* *MOODY'S *** S&P: *** CUSIP· 3138A4XY6*	11/20/12	25,000	14,488.80	103.0008	**13,902.60**	(586.20)	44.99	**540**	3.88
FHLMC A9 6807 04%2041 *AMORTIZED FACTOR 0 493235760 AMORTIZED VALUE 14,797* *MOODY'S. *** S&P. *** CUSIP. 312945R42*	08/14/12	30,000	15,807.43	102.7704	**15,207.01**	(600.42)	49.32	**592**	3.89

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES [1] (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
FNMA PAE0828 03 50%2041 *AMORTIZED FACTOR 0 598010540 AMORTIZED VALUE 30,498* *MOODY'S *** S&P: *** CUSIP 31419A4N4*	01/10/13	51,000	32,409.46	99.4520	**30,331.41**	(2,078.05)	88.95	**1,068**	3.51
FNMA PAE0937 03 50%2041 *AMORTIZED FACTOR 0.626780040 AMORTIZED VALUE 3,133* *MOODY'S *** S&P *** CUSIP. 31419BBF1*	11/13/13	5,000	3,176.50	99.4459	**3,116.54**	(59.96)	9.14	**110**	3.51
FNMA PAE0949 04%2041 *AMORTIZED FACTOR 0 537605640 AMORTIZED VALUE 10,214* *MOODY'S. *** S&P *** CUSIP. 31419BBT1*	09/04/13	19,000	10,493.81	102.9986	**10,520.80**	26.99	34.05	**409**	3.88
FHLMC Q0 4649 03 50%2041 *AMORTIZED FACTOR 0 603957470 AMORTIZED VALUE 12,079* *MOODY'S: *** S&P. *** CUSIP. 3132GKZN9*	03/12/12	20,000	12,499.79	99.3346	**11,998.77**	(501.02)	35.23	**423**	3.52
FNMA PAP7553 03%2042 *AMORTIZED FACTOR 0 918404000 AMORTIZED VALUE 20,204* *MOODY'S. *** S&P· *** CUSIP· 3138MBMB9*	11/07/12	22,000	21,275.12	95.0400	19,202.73	(2,072.39)	50.51	607	3.15
FNMA PAP7553 03%2042 *AMORTIZED VALUE 1,836*	09/18/13	2,000	1,781.13	95.0400	1,745.70	(35.43)	4.59	56	3.15
Subtotal		*24,000*	*23,056.25*		***20,948.43***	*(2,107 82)*	*55.10*	***663***	*3 15*
FNMA PMA1235 03%2042 *AMORTIZED FACTOR 0.924038290 AMORTIZED VALUE 7,392* *MOODY'S. *** S&P. *** CUSIP 31418ALR7*	11/29/12	8,000	7,779.25	95.0364	**7,025.38**	(753.87)	18.48	**222**	3.15
FNMA PAU1629 03%2043 *AMORTIZED FACTOR 0.985776400 AMORTIZED VALUE 1,971* *MOODY'S *** S&P: *** CUSIP 3138X0Y36*	11/15/13	2,000	1,922.57	95.0506	**1,873.97**	(48.60)	4.93	**60**	3.15

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

GOVERNMENT AND AGENCY SECURITIES [1] (continued) *Description*	*Acquired*	*Quantity*	*Adjusted/Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
FHLMC G0 8558 04%2043	11/13/13	5,000	5,202.39	102.8009	**5,123.16**	(79.23)	16.61	**200**	3.89
AMORTIZED FACTOR 0.996715600 AMORTIZED VALUE 4,983									
*MOODY'S *** S&P: *** CUSIP 3128MJTQ3*									
TOTAL		694,001	502,981.95		**474,894.19**	(28,087.76)	1,533.98	**10,456**	2.20

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

EQUITIES *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
ABBOTT LABS	ABT	11/08/13	113	37.8576	4,277.91	38.3300	4,331.29	53.38	100	2.29
		11/11/13	35	38.3051	1,340.68	38.3300	1,341.55	.87	31	2.29
Subtotal			*148*		*5,618.59*		***5,672.84***	*54.25*	***131***	*2.29*
AGRIUM INC	AGU	09/16/13	14	91.7300	1,284.22	91.4800	**1,280.72**	(3.50)	**42**	3.27
ALLIANCE DATA SYS CORP	ADS	09/13/13	36	206.7397	7,442.63	262.9300	**9,465.48**	2,022.85		
ALLSTATE CORP DEL COM	ALL	09/13/13	103	50.4159	5,192.84	54.5400	**5,617.62**	424.78	**103**	1.83
AMAZON COM INC COM	AMZN	09/13/13	11	297.9000	3,276.90	398.7900	**4,386.69**	1,109.79		
AMDOCS LIMITED	DOX	09/13/13	74	37.0582	2,742.31	41.2400	3,051.76	309.45	39	1.26
		11/04/13	4	38.3950	153.58	41.2400	164.96	11.38	3	1.26
Subtotal			*78*		*2,895.89*		***3,216.72***	*320.83*	***42***	*1.26*
AMERIPRISE FINL INC	AMP	09/13/13	57	90.6300	5,165.91	115.0500	**6,557.85**	1,391.94	**119**	1.80
AMETEK INC NEW	AME	09/13/13	45	44.9800	2,024.10	52.6700	**2,370.15**	346.05	**11**	.45
AMN ELEC POWER CO	AEP	09/13/13	123	42.1108	5,179.63	46.7400	**5,749.02**	569.39	**246**	4.27
AMPHENOL CORP CL A NEW	APH	09/13/13	49	77.6100	3,802.89	89.1800	**4,369.82**	566.93	**40**	.89
ANHEUSER-BUSCH INBEV ADR	BUD	09/13/13	63	98.2700	6,191.01	106.4600	**6,706.98**	515.97	**158**	2.35

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
AON PLC	AON	09/13/13	77	68.4553	5,271.06	83.8900	**6,459.53**	1,188.47	**54**	.83
APPLE INC	AAPL	09/13/13	29	467.1400	13,547.06	561.0200	**16,269.58**	2,722.52	**354**	2.17
ASML HLDG NV NY REG SHS	ASML	09/13/13	49	92.6651	4,540.59	93.7000	**4,591.30**	50.71	**29**	.62
ASTRAZENECA PLC SPND ADR	AZN	09/13/13	178	50.3880	8,969.08	59.3700	**10,567.86**	1,598.78	**499**	4.71
AT&T INC	T	09/13/13	150	34.5765	5,186.48	35.1600	**5,274.00**	87.52	**276**	5.23
ATHENAHEALTH INC	ATHN	09/13/13	28	112.4100	3,147.48	134.5000	**3,766.00**	618.52		
ATMEL CORP COM	ATML	09/13/13	325	7.5786	2,463.05	7.8300	**2,544.75**	81.70		
AUST NW Z B G ADR	ANZBY	09/13/13	92	28.0500	2,580.60	28.8500	**2,654.20**	73.60	**135**	5.05
AXIS CAPITAL HOLDINGS LTD	AXS	09/13/13	58	43.3765	2,515.84	47.5700	**2,759.06**	243.22	**63**	2.27
BAE SYS PLC SPN ADR	BAESY	09/13/13	92	28.1000	2,585.20	29.2900	**2,694.68**	109.48	**111**	4.08
BANCO BRADESCO S A ADR	BBD	09/13/13	197	12.9857	2,558.20	12.5300	**2,468.41**	(89.79)	**7**	.26
BARCLAYS PLC ADR	BCS	09/13/13	65	19.1400	1,244.10	18.1300	1,178.45	(65.65)	26	2.18
		10/04/13	16	12.0037	192.06	18.1300	290.08	98.02	7	2.18
Subtotal			*81*		*1,436.16*		***1,468.53***	*32.37*	***33***	*2.18*
BARRICK GOLD CORPORATION	ABX	09/13/13	292	17.7098	5,171.29	17.6300	**5,147.96**	(23.33)	**59**	1.13
BAXTER INTERNTL INC	BAX	12/24/13	20	69.0950	1,381.90	69.5500	**1,391.00**	9.10	**40**	2.81
BIOGEN IDEC INC	BIIB	09/13/13	18	235.4200	4,237.56	279.5720	**5,032.30**	794.74		
BOC HONG KONG HLDGS ADR	BHKLY	11/13/13	15	64.9220	973.83	64.5200	967.80	(6.03)	48	4.88
		11/14/13	6	65.4616	392.77	64.5200	387.12	(5.65)	19	4.88
		11/29/13	11	67.8063	745.87	64.5200	709.72	(36.15)	35	4.88
		12/02/13	7	68.4414	479.09	64.5200	451.64	(27.45)	23	4.88
Subtotal			*39*		*2,591.56*		***2,516.28***	*(75.28)*	***125***	*4.88*
BOEING COMPANY	BA	09/13/13	46	110.4991	5,082.96	136.4900	**6,278.54**	1,195.58	**135**	2.13

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
BRISTOL-MYERS SQUIBB CO	BMY	09/13/13	118	43.5560	5,139.61	53.1500	6,271.70	1,132.09	170	2.70
		11/13/13	29	51.8165	1,502.68	53.1500	1,541.35	38.67	42	2.70
Subtotal			*147*		*6,642 29*		***7,813.05***	*1,170.76*	***212***	*2.70*
C.H. ROBINSON WORLDWIDE, *INC. NEW*	CHRW	09/13/13	40	58.9400	2,357.60	58.3500	**2,334.00**	(23.60)	**56**	2.39
CA INC	CA	09/13/13	169	30.4468	5,145.51	33.6500	**5,686.85**	541.34	**169**	2.97
CADENCE DESIGN SYS INC	CDNS	09/16/13	49	13.6773	670.19	14.0200	686.98	16 79		
		09/17/13	57	13.9229	793.61	14.0200	799.14	5.53		
		10/25/13	21	12.7323	267.38	14.0200	294.42	27.04		
Subtotal			*127*		*1,731.18*		***1,780.54***	*49.36*		
CANADIAN PACIFIC RAILWAY *LTD*	CP	09/13/13	12	123.8075	1,485.69	151.3200	**1,815.84**	330.15	**16**	.87
CAP GEMINI SP ADR	CGEMY	09/13/13	43	29.1581	1,253.80	33.8700	**1,456.41**	202.61	**22**	1.48
CARLSBERG AS SPONSOREDAD	CABGY	09/13/13	126	20.6700	2,604.42	22.1000	**2,784.60**	180.18	**17**	.59
CELGENE CORP COM	CELG	09/13/13	21	149.2300	3,133.83	168.9680	3,548.33	414.50		
		11/12/13	9	147.6188	1,328.57	168.9680	1,520.71	192.14		
Subtotal			*30*		*4,462.40*		***5,069.04***	*606 64*		
CENTRICA PLC *SPR ADR NEW*	CPYYY	12/23/13	28	22.4503	628.61	23.1800	649.04	20.43	29	4.41
		12/24/13	8	22.6962	181.57	23.1800	185.44	3.87	9	4.41
Subtotal			*36*		*810.18*		***834.48***	*24 30*	***38***	*4.41*
CHEVRON CORP	CVX	09/13/13	42	124.7200	5,238.24	124.9100	**5,246.22**	7.98	**168**	3.20
CHINA CONSTRUCT UNSPN AD	CICHY	09/13/13	165	15.6000	2,574.00	15.1800	**2,504.70**	(69.30)	**121**	4.81

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
CHINA MOBILE LTD SPN ADR	CHL	11/18/13	8	53.9437	431.55	52.2900	418.32	(13.23)	17	3.85
		11/19/13	18	53.3561	960.41	52.2900	941.22	(19.19)	37	3.85
		11/27/13	24	54.1945	1,300.67	52.2900	1,254.96	(45.71)	49	3.85
Subtotal			*50*		*2,692 63*		***2,614.50***	*(78.13)*	***103***	*3 85*
CHINA PETE CHEM SPN ADR	SNP	09/13/13	33	78.2969	2,583.80	82.1700	**2,711.61**	127.81	**108**	3.97
CISCO SYSTEMS INC COM	CSCO	09/13/13	212	24.3348	5,158.98	22.4300	**4,755.16**	(403.82)	**145**	3.03
CIT GROUP INC NEW	CIT	11/20/13	7	49.2642	344.85	52.1300	364.91	20.06	3	.76
		11/25/13	19	49.9163	948.41	52.1300	990.47	42.06	8	.76
		11/26/13	25	49.6896	1,242.24	52.1300	1,303.25	61.01	10	.76
		11/27/13	22	50.0436	1,100.96	52.1300	1,146.86	45.90	9	.76
		11/29/13	8	50.4950	403.96	52.1300	417.04	13.08	4	.76
		12/02/13	5	50.8200	254.10	52.1300	260.65	6.55	2	.76
Subtotal			*86*		*4,294 52*		***4,483.18***	*188.66*	***36***	*76*
CITIGROUP INC COM NEW	C	10/17/13	26	50.5346	1,313.90	52 1100	1,354.86	40.96	2	.07
		10/22/13	25	51.0256	1,275.64	52.1100	1,302.75	27.11	1	.07
		10/22/13	27	50.9733	1,376.28	52.1100	1,406.97	30.69	2	07
		10/29/13	29	50.2610	1,457.57	52.1100	1,511.19	53 62	2	.07
Subtotal			*107*		*5,423.39*		***5,575.77***	*152 38*	***7***	*.07*
COCA COLA COM	KO	09/13/13	126	38.6185	4,865.94	41.3100	**5,205.06**	339.12	**142**	2.71
COMCAST CORP NEW CL A	CMCSA	09/13/13	75	43.9698	3,297.74	51.9650	3,897.38	599.64	59	1.50
		11/13/13	29	46.7848	1,356.76	51.9650	1,506.99	150.23	23	1.50
Subtotal			*104*		*4,654.50*		***5,404.37***	*749.87*	***82***	*1 50*
COMPANHIA D SNMNTO BSCO *D ESTDO SAO PAULO ADR*	SBS	09/13/13	409	9.4879	3,880.59	11.3400	**4,638.06**	757 47		
CONOCOPHILLIPS	COP	09/13/13	150	69.0575	10,358.63	70.6500	**10,597.50**	238.87	**414**	3.90
COPEL PARANA ADR PREF B	ELP	09/13/13	193	13.3580	2,578.11	13.1400	**2,536.02**	(42.09)	**90**	3.52

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

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EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
COSTAR GROUP INC COM	CSGP	09/13/13	8	167.1162	1,336.93	184.5800	**1,476.64**	139.71		
CROWN CASTLE INTL CORP	CCI	09/13/13	117	70.0350	8,194.10	73.4300	**8,591.31**	397.21		
CVS CAREMARK CORP	CVS	09/13/13	65	59.7400	3,883.10	71.5700	**4,652.05**	768.95	**72**	1.53
DANAHER CORP DEL COM	DHR	09/13/13	71	69.5200	4,935.92	77.2000	5,481.20	545.28	8	.12
		11/26/13	8	75.4600	603.68	77.2000	617.60	13.92	1	.12
Subtotal			*79*		*5,539 60*		***6,098.80***	*559.20*	***9***	*.12*
DEUTSCHE BOERSE AG SHS	DBOEY	09/13/13	172	7.1500	1,229.80	8.2500	**1,419.00**	189.20	**31**	2.16
DIAGEO PLC SPSD ADR NEW	DEO	09/13/13	10	126.9890	1,269.89	132.4200	**1,324.20**	54.31	**30**	2.26
DOMINION RES INC NEW VA	D	09/13/13	66	61.3150	4,046.79	64.6900	4,269.54	222.75	149	3.47
		11/26/13	25	65.8216	1,645.54	64.6900	1,617.25	(28.29)	57	3.47
Subtotal			*91*		*5,692.33*		***5,886.79***	*194 46*	***206***	*3.47*
DRESSER RAND GROUP INC	DRC	09/13/13	59	62.8822	3,710.05	59.6300	**3,518.17**	(191.88)		
DU PONT E I DE NEMOURS	DD	09/13/13	89	58.5031	5,206.78	64.9700	**5,782.33**	575.55	**161**	2.77
EATON CORP PLC	ETN	09/13/13	64	67.1795	4,299.49	76.1200	**4,871.68**	572.19	**108**	2.20
EBAY INC COM	EBAY	09/13/13	39	53.8558	2,100.38	54.8650	**2,139.74**	39.36		
EDWARDS LIFESCIENCES CRP	EW	09/13/13	36	71.4200	2,571.12	65.7600	**2,367.36**	(203.76)		
ENSCO PLC SHS CL A	ESV	09/13/13	113	55.7950	6,304.84	57.1800	**6,461.34**	156.50	**255**	3.93
EXPEDITORS INTL WASH INC	EXPD	09/13/13	60	44.3683	2,662.10	44.2500	**2,655.00**	(7.10)	**36**	1.35
FAMILY DOLLAR STORES	FDO	09/13/13	33	72.0000	2,376.00	64.9700	**2,144.01**	(231.99)	**35**	1.60
FEDEX CORP DELAWARE COM	FDX	09/13/13	36	107.5600	3,872.16	143.7700	**5,175.72**	1,303.56	**22**	.41
FIFTH THIRD BANCORP	FITB	09/13/13	278	18.5565	5,158.71	21.0300	**5,846.34**	687.63	**134**	2.28
FLEXTRONICS INTL LTD	FLEX	09/13/13	148	9.4285	1,395.43	7.7700	**1,149.96**	(245.47)		
FORD MOTOR CO	F	09/13/13	591	17.4367	10,305.09	15.4300	**9,119.13**	(1,185.96)	**237**	2.59

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

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EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
GARTNER INC	IT	09/13/13	51	56.9982	2,906.91	71.0500	**3,623.55**	716.64		
GENERAL ELECTRIC	GE	09/13/13	216	23.8500	5,151.60	28.0300	**6,054.48**	902.88	**191**	3.13
GENERAL MOTORS CO	GM	12/16/13	103	41.3851	4,262.67	40.8700	4,209.61	(53.06)		
COMMON SHARES		12/18/13	33	41.0051	1,353.17	40.8700	1,348.71	(4.46)		
Subtotal			*136*		*5,615.84*		***5,558.32***	*(57.52)*		
GILDAN ACTIVEWEAR INC	GIL	09/13/13	17	46.0100	782.17	53.3100	906.27	124.10	8	.81
		09/16/13	2	46.5400	93.08	53.3100	106.62	13.54	1	.81
		09/17/13	4	47.1075	188.43	53.3100	213.24	24.81	2	.81
		10/07/13	3	45.9500	137.85	53.3100	159.93	22.08	2	.81
		10/08/13	6	45.0900	270.54	53.3100	319.86	49.32	3	.81
		10/09/13	2	45.3150	90.63	53.3100	106.62	15.99	1	.81
		10/21/13	3	47.2266	141.68	53.3100	159.93	18.25	2	.81
		11/04/13	4	48.6150	194.46	53.3100	213.24	18.78	2	.81
Subtotal			*41*		*1,898 84*		***2,185.71***	*286 87*	***21***	*.81*
GOLDEN AGRI-RES LTD ADR	GARPY	09/13/13	61	42.2800	2,579.08	43.2800	**2,640.08**	61.00	**52**	1.95
GOOGLE INC CL A	GOOG	09/13/13	13	889.5000	11,563.50	1,120.7100	**14,569.23**	3,005.73		
HARRIS CORP DEL	HRS	09/13/13	88	58.2870	5,129.26	69 8100	**6,143.28**	1,014.02	**148**	2.40
HITACHI LTD 10 NEW ADR	HTHIY	09/13/13	41	63.8600	2,618.26	76.4100	**3,132.81**	514.55	**36**	1.12
HOME DEPOT INC	HD	09/13/13	58	75.3000	4,367.40	82.3400	4,775.72	408.32	91	1.89
		12/19/13	17	80.0276	1,360.47	82.3400	1,399.78	39.31	27	1.89
Subtotal			*75*		*5,727.87*		***6,175.50***	*447.63*	***118***	*1.89*
HSBC HLDG PLC SP ADR	HSBC	09/13/13	46	55.5800	2,556.68	55.1300	**2,535.98**	(20.70)	**111**	4.35
IMPERIAL TOB GRP SPS ADR	ITYBY	09/13/13	36	72.6000	2,613.60	77.7800	**2,800.08**	186.48	**133**	4.73
INCYTE CORPORATION	INCY	09/13/13	46	36.3550	1,672.33	50.6300	**2,328.98**	656.65		
INTEL CORP	INTC	09/13/13	454	23.2051	10,535.12	25.9550	**11,783.57**	1,248.45	**409**	3.46

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THE KAO FAMILY FOUNDATION

Account Number: 2J9-74003

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
INTL BUSINESS MACHINES CORP IBM	IBM	09/13/13	25	192.3600	4,809.00	187.5700	**4,689.25**	(119.75)	**95**	2.02
INTL PAPER CO	IP	09/13/13	107	48.2361	5,161.27	49.0300	**5,246.21**	84.94	**150**	2.85
INTUIT INC COM	INTU	09/13/13	41	65.9800	2,705.18	76.3200	3,129.12	423.94	32	.99
		10/30/13	2	71.5050	143.01	76.3200	152.64	9.63	2	.99
		10/31/13	20	71.6965	1,433.93	76.3200	1,526.40	92.47	16	.99
		11/01/13	17	71.4811	1,215.18	76.3200	1,297.44	82.26	13	.99
Subtotal			*80*		*5,497.30*		***6,105.60***	*608.30*	***63***	*.99*
ISRAEL CHEMICALS-UNSPON	ISCHY	09/13/13	159	7.9800	1,268.82	8.4000	**1,335.60**	66.78	**64**	4.75
ISUZU MTRS LTD ADR	ISUZY	09/13/13	42	62.7100	2,633.82	62.3500	**2,618.70**	(15.12)	**42**	1.59
JACK HENRY & ASSOC INC	JKHY	09/13/13	27	51.1451	1,380.92	59.2100	**1,598.67**	217.75	**22**	1.35
JOHNSON AND JOHNSON COM	JNJ	09/13/13	58	88.6250	5,140.25	91.5900	5,312.22	171.97	154	2.88
		09/16/13	67	89.2700	5,981.09	91.5900	6,136.53	155.44	177	2.88
Subtotal			*125*		*11,121.34*		***11,448.75***	*327.41*	***331***	*2.88*
JPMORGAN CHASE & CO	JPM	09/13/13	197	52.5564	10,353.63	58.4800	**11,520.56**	1,166.93	**300**	2.59
KDDI CORP SHS	KDDIY	09/13/13	85	12.9900	1,104.15	15.4400	**1,312.40**	208.25	**28**	2.08
KINDER MORGAN INC. DEL	KMI	09/13/13	186	35.0175	6,513.26	36.0000	**6,696.00**	182.74	**306**	4.55
KLA TENCOR CORP PV$0.001	KLAC	09/13/13	45	60.2600	2,711.70	64.4600	**2,900.70**	189.00	**81**	2.79
KOC HLDG AS-UNSPON	KHOLY	09/13/13	57	21.5500	1,228.35	20.6100	**1,174.77**	(53.58)	**24**	1.99
KOMATSU NEW NEW SPNSDADR	KMTUY	09/13/13	50	25.5000	1,275.00	20.5800	**1,029.00**	(246.00)	**23**	2.15
KRAFT FOODS GROUP INC SHS	KRFT	09/13/13	62	53.9167	3,342.84	53.9100	**3,342.42**	(0.42)	**131**	3.89
LAMAR ADVERTISING CL A	LAMR	09/13/13	34	43.6100	1,482.74	52.2500	**1,776.50**	293.76		
LIFE TECHNOLOGIES CORP	LIFE	09/13/13	18	74.7200	1,344.96	75.8000	**1,364.40**	19.44		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
LOCKHEED MARTIN CORP	LMT	09/13/13	41	126.7400	5,196.34	148.6600	**6,095.06**	898.72	**219**	3.57
LPL FINANCIAL HOLDINGS	LPLA	09/13/13	50	38.4760	1,923.80	47.0500	2,352.50	428.70	38	1.61
SHS		11/04/13	4	40.7950	163.18	47.0500	188.20	25.02	4	1.61
Subtotal			*54*		*2,086 98*		***2,540.70***	*453.72*	***42***	*1 61*
LUKOIL SPONSORED ADR	LUKOY	11/29/13	19	62.0031	1,178.06	63.1200	1,199.28	21.22	70	5.79
		12/05/13	4	59.7925	239.17	63.1200	252.48	13.31	15	5.79
Subtotal			*23*		*1,417 23*		***1,451.76***	*34.53*	***85***	*5.79*
MAGNA INTL INC CL A VTG	MGA	09/13/13	16	80.4600	1,287.36	82.0600	**1,312.96**	25.60	**21**	1.55
MANULIFE FINANCIAL CORP	MFC	09/13/13	150	17.2172	2,582.58	19.7300	**2,959.50**	376.92	**74**	2.46
MARATHON OIL CORP	MRO	09/13/13	143	35.9960	5,147.43	35.3000	**5,047.90**	(99.53)	**109**	2.15
MARKS AND SPENCER GP ADR	MAKSY	09/13/13	79	16.1000	1,271.90	14.3340	**1,132.39**	(139.51)	**39**	3.41
MASIMO CORP	MASI	09/13/13	60	26.0150	1,560.90	29.2300	**1,753.80**	192.90		
MEAD JOHNSON NUTRTION CO	MJN	09/13/13	12	75.2100	902.52	83 7600	**1,005.12**	102.60	**17**	1.62
MEDIVATION INC	MDVN	09/13/13	18	60.0400	1,080.72	63.8200	**1,148.76**	68.04		
MEDTRONIC INC COM	MDT	09/13/13	72	53.7359	3,868.99	57.3900	**4,132.08**	263.09	**81**	1.95
MERCK AND CO INC SHS	MRK	09/13/13	107	47.9159	5,127.01	50.0500	**5,355.35**	228.34	**189**	3.51
METLIFE INC COM	MET	09/13/13	104	49.1595	5,112.59	53.9200	5,607.68	495.09	115	2.04
		11/14/13	58	50.8718	2,950.57	53.9200	3,127.36	176.79	64	2.04
		12/02/13	48	52.7883	2,533.84	53.9200	2,588.16	54.32	53	2.04
Subtotal			*210*		*10,597.00*		***11,323.20***	*726 20*	***232***	*2.04*
MICHAEL KORS HLDGS LTD *SHS*	KORS	09/13/13	33	74.1500	2,446.95	81.1900	**2,679.27**	232.32		
MICROSOFT CORP	MSFT	09/13/13	157	32.6859	5,131.70	37.4100	5,873.37	741.67	176	2.99
		09/16/13	212	33.0559	7,007.87	37.4100	7,930.92	923.05	238	2.99
Subtotal			*369*		*12,139.57*		***13,804.29***	*1,664 72*	***414***	*2.99*

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
MITSUI CO ADR	MITSY	09/13/13	9	289.5700	2,606.13	280.0600	**2,520.54**	(85.59)	**76**	3.00
MIZUHO FINL GROUP INC *ADR*	MFG	09/13/13	601	4.2875	2,576.79	4.3600	**2,620.36**	43.57	**66**	2.50
MOBILE TELESYS OJSC ADR	MBT	12/18/13	10	20.6490	206.49	21.6300	216.30	9.81	11	4.64
		12/19/13	20	20.6760	413.52	21.6300	432.60	19.08	21	4.64
Subtotal			*30*		*620 01*		***648.90***	*28 89*	***32***	*4 64*
MOLSON COOR BREW CO CL B	TAP	09/13/13	104	49.5520	5,153.41	56.1500	**5,839.60**	686.19	**134**	2.27
MONSANTO CO NEW DEL COM	MON	09/13/13	46	104.5500	4,809.30	116.5500	**5,361.30**	552.00	**80**	1.47
MOTOROLA SOLUTIONS INC	MSI	09/13/13	25	57.3300	1,433.25	67.5000	**1,687.50**	254.25	**31**	1.83
MSC INDL DIRECT INC CL A	MSM	09/13/13	25	80.2652	2,006.63	80.8700	**2,021.75**	15.12	**33**	1.63
MSCI INC *CLASS A*	MSCI	09/13/13	82	39.6560	3,251.80	43.7200	**3,585.04**	333.24		
NEWELL RUBBERMAID INC	NWL	09/13/13	193	26.6823	5,149.70	32.4100	**6,255.13**	1,105.43	**116**	1.85
NIELSEN HOLDINGS B V	NLSN	11/22/13	70	40.2100	2,814.70	45.8900	**3,212.30**	397.60	**56**	1.74
NITTO DENKO CORP ADR	NDEKY	09/13/13	85	29.8300	2,535.55	21.3300	**1,813.05**	(722.50)	**35**	1.91
NOBLE ENERGY INC	NBL	10/23/13	14	71.1378	995.93	68.1100	953.54	(42.39)	8	.82
		10/24/13	31	74.4393	2,307.62	68.1100	2,111.41	(196.21)	18	.82
Subtotal			*45*		*3,303.55*		***3,064.95***	*(238 60)*	***26***	*82*
NORFOLK SOUTHERN CORP	NSC	09/26/13	15	78.0453	1,170.68	92.8300	1,392.45	221.77	32	2.24
		10/18/13	17	79.8282	1,357.08	92.8300	1,578.11	221.03	36	2.24
		10/21/13	14	79.8028	1,117.24	92.8300	1,299.62	182.38	30	2 24
		10/22/13	21	80.3985	1,688.37	92.8300	1,949.43	261.06	44	2.24
Subtotal			*67*		*5,333 37*		***6,219.61***	*886 24*	***142***	*2.24*
NORTHROP GRUMMAN CORP	NOC	09/13/13	54	96.0500	5,186.70	114.6100	**6,188.94**	1,002.24	**132**	2.12
O'REILLY AUTOMOTIVE INC	ORLY	09/13/13	23	125.9800	2,897.54	128.7100	**2,960.33**	62.79		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
OMNICOM GROUP COM	OMC	09/13/13	28	64.9600	1,818.88	74.3700	**2,082.36**	263.48	**45**	2.15
ON SEMICONDUCTOR CRP COM	ONNN	09/13/13	231	7.4390	1,718.43	8.2400	**1,903.44**	185.01		
ORACLE CORP $0.01 DEL	ORCL	12/23/13	78	36.8878	2,877.25	38.2600	**2,984.28**	107.03	**38**	1.25
ORANGE ADR	ORAN	09/13/13	107	11.6213	1,243.48	12.3500	**1,321.45**	77.97	**57**	4.25
PEPSICO INC	PEP	11/01/13	14	84.4242	1,181.94	82.9400	1,161.16	(20.78)	32	2.73
		11/04/13	19	84.5952	1,607.31	82.9400	1,575.86	(31.45)	44	2.73
Subtotal			*33*		*2,789.25*		***2,737.02***	*(52 23)*	***76***	*2 73*
PFIZER INC	PFE	09/13/13	182	28.5451	5,195.21	30.6300	5,574.66	379.45	190	3.39
		09/16/13	146	28.7660	4,199.85	30.6300	4,471.98	272.13	152	3.39
Subtotal			*328*		*9,395.06*		***10,046.64***	*651 58*	***342***	*3.39*
PHILIP MORRIS INTL INC	PM	09/13/13	37	87.5800	3,240.46	87.1300	**3,223.81**	(16.65)	**140**	4.31
PNC FINCL SERVICES GROUP	PNC	09/13/13	70	73.4371	5,140.60	77.5800	**5,430.60**	290.00	**124**	2.26
POSCO SPN ADR	PKX	09/13/13	9	75.8000	682.20	78.0000	**702.00**	19.80	**14**	1.88
POTASH CORP SASKATCHEWAN	POT	09/13/13	52	32.5880	1,694.58	32.9600	**1,713.92**	19.34	**73**	4.24
PPG INDUSTRIES INC SHS	PPG	09/26/13	6	166.2350	997.41	189.6600	1,137.96	140.55	15	1.28
		09/27/13	8	165.5587	1,324.47	189.6600	1,517.28	192.81	20	1.28
		09/30/13	10	166.3600	1,663.60	189.6600	1,896.60	233.00	25	1.28
		10/01/13	6	166.9650	1,001.79	189.6600	1,137.96	136.17	15	1.28
		10/02/13	2	165.4400	330.88	189.6600	379.32	48.44	5	1.28
Subtotal			*32*		*5,318 15*		***6,069.12***	*750.97*	***80***	*1.28*
PRECISION CASTPARTS	PCP	09/13/13	40	231.6900	9,267.60	269.3000	**10,772.00**	1,504.40	**5**	.04
PRICE T ROWE GROUP INC	TROW	09/13/13	22	71.5577	1,574.27	83.7700	**1,842.94**	268.67	**34**	1.81
PROCTER & GAMBLE CO	PG	09/13/13	62	79.0859	4,903.33	81.4100	**5,047.42**	144.09	**150**	2.95
RANGE RESOURCES CORP DEL	RRC	09/13/13	75	78.7300	5,904.75	84.3100	**6,323.25**	418.50	**12**	.18

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THE KAO FAMILY FOUNDATION

Account Number: 2J9-74003

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
RENAISSANCERE HLDGS LTD	RNR	09/13/13	14	87.9450	1,231.23	97.3400	**1,362.76**	131.53	**16**	1.15
REYNOLDS AMERICAN INC	RAI	09/13/13	107	48.6851	5,209.31	49.9900	**5,348.93**	139.62	**270**	5.04
RIO TINTO PLC SPNSRD ADR	RIO	09/13/13	51	49.5100	2,525.01	56.4300	**2,877.93**	352.92	**90**	3.12
RITCHIE BROS AUCTIONEERS	RBA	09/13/13	71	19.8198	1,407.21	22.9300	**1,628.03**	220.82	**37**	2.26
ROPER INDUSTRIES INC COM	ROP	09/13/13	24	130.0900	3,122.16	138.6800	**3,328.32**	206.16	**20**	.57
ROYAL DUTCH SHELL PLC *SPONS ADR A*	RDSA	09/13/13	138	65 4479	9,031 82	71.2700	**9,835.26**	803.44	**423**	4 29
RYANAIR HLDGS PLC *SP ADR*	RYAAY	09/13/13	46	46 9600	2,160.16	46 9300	**2,158.78**	(1.38)		
SAGE GROUP PLC SHS ADR	SGPYY	09/13/13	57	22.2900	1,270.53	26.7100	**1,522.47**	251 94	**34**	2.23
SANOFI ADR	SNY	09/13/13	54	47.8750	2,585.25	53.6300	**2,896.02**	310.77	**68**	2.33
SASOL LTD SPONSORED ADR	SSL	09/13/13	79	48.6444	3,842.91	49.4500	**3,906.55**	63.64	**132**	3.35
SBERBANK SPONSORED ADR	SBRCY	09/13/13	108	11.6500	1,258.20	12.5700	**1,357.56**	99.36	**27**	1.93
SCHEIN (HENRY) INC COM	HSIC	09/13/13	29	104.3400	3,025.86	114.2600	**3,313.54**	287.68		
SEGA SAMMY HOLDINGS INC *ADR*	SGAMY	09/13/13	184	6.8900	1,267.76	6.3700	**1,172.08**	(95.68)	**15**	1.20
SENSATA TECH HLDNG BV, *ALMELO*	ST	09/13/13	100	37.8972	3,789.72	38.7700	**3,877.00**	87.28		
SERCO GROUP PLC-UNSPON *ADR*	SECCY	12/23/13	65	7.9410	516.17	8.3800	544.70	28.53	10	1.67
		12/24/13	9	7.9855	71.87	8.3800	75.42	3.55	2	1.67
Subtotal			*74*		*588.04*		***620.12***	*32.08*	***12***	*1.67*
SHERWIN WILLIAMS	SHW	09/13/13	28	174.7496	4,892.99	183.5000	**5,138.00**	245.01	**56**	1.08
SIEMENS AG ADR	SI	09/13/13	22	115.4600	2,540.12	138.5100	**3,047.22**	507.10	**66**	2.16
SLM CORP	SLM	09/13/13	207	24.8685	5,147.80	26.2800	**5,439.96**	292.16	**125**	2.28

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
SOLERA HOLDINGS INC	SLH	09/13/13	68	52.8863	3,596.27	70.7600	**4,811.68**	1,215.41	**47**	.96
STAPLES INC	SPLS	09/13/13	353	14.6650	5,176.78	15.8900	**5,609.17**	432.39	**170**	3.02
STARBUCKS CORP	SBUX	09/13/13	55	75.2750	4,140.13	78.3900	**4,311.45**	171.32	**58**	1.32
STATOIL ASA SHS	STO	09/13/13	114	22.7588	2,594.51	24.1300	**2,750.82**	156.31	**98**	3.55
SVENSKA C AKTI SPONS ADR	SVCBY	09/13/13	49	25.9500	1,271.55	30.8800	**1,513.12**	241.57	**28**	1.81
TAIWAN S MANUFCTRING ADR	TSM	09/13/13	72	17.3000	1,245.60	17.4400	**1,255.68**	10.08	**29**	2.29
TATA MOTORS LTD ADR	TTM	09/13/13	46	26.1200	1,201.52	30.8000	**1,416.80**	215.28	**7**	.43
TE CONNECTIVITY LTD *REG SHS*	TEL	09/13/13	71	53.4195	3,792.79	55.1100	**3,912.81**	120.02	**71**	1.81
TECHNE CORP	TECH	09/13/13	19	77.1363	1,465.59	94.6700	**1,798.73**	333.14	**24**	1.30
TELENOR ASA ADR	TELNY	09/13/13	19	66.5700	1,264.83	71.5700	**1,359.83**	95.00	**50**	3.61
TERADATA CORP DEL	TDC	12/13/13	29	40.6851	1,179.87	45.4900	**1,319.21**	139.34		
TESCO PLC SPNRD ADR	TSCDY	09/13/13	145	17.7700	2,576.65	16.8400	**2,441.80**	(134.85)	**94**	3.83
TEVA PHARMACTCL INDS ADR	TEVA	09/13/13	198	38.5960	7,642.01	40.0800	**7,935.84**	293.83	**216**	2.70
THERMO FISHER SCIENTIFIC *INC*	TMO	09/13/13	27	91.0751	2,459.03	111.3500	**3,006.45**	547.42	**17**	.53
TORONTO DOMINION BANK	TD	09/13/13	29	87.5100	2,537.79	94.2400	**2,732.96**	195.17	**94**	3.41
TOTAL S.A. SP ADR	TOT	09/13/13	181	56.6772	10,258.58	61.2700	**11,089.87**	831.29	**476**	4.28
TRANSDIGM GROUP INC	TDG	09/13/13	8	142.6700	1,141.36	161.0200	**1,288.16**	146.80		
TRAVELERS COS INC	TRV	09/13/13	62	83.8750	5,200.25	90.5400	**5,613.48**	413.23	**124**	2.20
TWENTY-FIRST CENTURY *FOX INC CLASS A*	FOXA	09/13/13	86	32.4366	2,789.55	35.1700	**3,024.62**	235.07	**22**	.71
UNILEVER NV NY REG SHS	UN	09/13/13	107	38.3581	4,104.32	40.2300	**4,304.61**	200.29	**127**	2.94

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
UNION PACIFIC CORP	UNP	09/13/13	31	155.0400	4,806.24	168.0000	5,208.00	401.76	98	1.88
		11/13/13	9	156.6477	1,409.83	168.0000	1,512.00	102.17	29	1.88
		11/21/13	9	160.5688	1,445.12	168.0000	1,512.00	66.88	29	1.88
Subtotal			*49*		*7,661.19*		***8,232.00***	*570.81*	***156***	*1.88*
UNTD OVERSEAS BK SPN ADR	UOVEY	09/13/13	79	32.9500	2,603.05	33.6200	**2,655.98**	52.93	**75**	2.81
VALE SA	VALE	09/13/13	157	16.1759	2,539.63	15.2500	**2,394.25**	(145.38)	**21**	.85
VARIAN MEDICAL SYS INC	VAR	09/13/13	47	74.5785	3,505.19	77.6900	**3,651.43**	146.24		
VERISK ANALYTICS INC *CLASS A*	VRSK	09/13/13	82	65.1052	5,338.63	65.7200	**5,389.04**	50.41		
VERIZON COMMUNICATNS COM	VZ	09/13/13	169	47.9859	8,109.63	49.1400	**8,304.66**	195.03	**359**	4.31
VISTAPRINT NV	VPRT	09/13/13	66	54.8187	3,618.04	56.8500	**3,752.10**	134.06		
VOLKSWAGEN A G ADR	VLKPY	09/13/13	54	48.0000	2,592.00	56.4400	**3,047.76**	455.76	**37**	1.20
WAL-MART STORES INC	WMT	09/13/13	70	74.1861	5,193.03	78.6900	5,508.30	315.27	132	2.38
		09/16/13	50	74.9600	3,748.00	78.6900	3,934.50	186.50	94	2.38
Subtotal			*120*		*8,941.03*		***9,442.80***	*501.77*	***226***	*2.38*
WATERS CORP	WAT	09/13/13	15	104.4800	1,567.20	100.0000	**1,500.00**	(67.20)		
WELLS FARGO & CO NEW DEL	WFC	09/13/13	243	42.3885	10,300.41	45.4000	**11,032.20**	731.79	**292**	2.64
WHOLE FOODS MKT INC COM	WFM	09/13/13	55	56.9898	3,134.44	57.8300	**3,180.65**	46.21	**27**	.83
WOLVERINE WORLD WIDE	WWW	09/13/13	50	28.1150	1,405.75	33.9600	**1,698.00**	292.25	**12**	.70
WORLD FUEL SERVICES CRP	INT	09/13/13	40	37.7800	1,511.20	43.1600	**1,726.40**	215.20	**6**	.34
XEROX CORP	XRX	09/13/13	484	10.1663	4,920.49	12.1700	**5,890.28**	969.79	**112**	1.88
XILINX INC	XLNX	09/13/13	52	47.1126	2,449.86	45.9200	**2,387.84**	(62.02)	**52**	2.17
YAMANA GOLD INC	AUY	09/13/13	251	10.2780	2,579.78	8.6200	**2,163.62**	(416.16)	**66**	3.01
YOUKU TUDOU INC ADR	YOKU	11/22/13	15	28 4540	426.81	30.3000	**454.50**	27.69		

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

EQUITIES (continued) *Description*	*Symbol*	*Acquired*	*Quantity*	*Unit Cost Basis*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Annual Income*	*Current Yield%*
ZURICH INSURANCE GROUP *AG SHS SPON ADR*	ZURVY	09/13/13	153	25.1900	3,854.07	29.1700	**4,463.01**	608.94		
TOTAL					762,596.63		**827,131.33**	64,534.70	**17,228**	2.08

MUTUAL FUNDS/CLOSED END FUNDS/UIT *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Total Client Investment*	*Cumulative Investment Return ($)*	*Estimated Annual Income*	*Current Yield%*
FIXED INCOME SHARES *SERIES C F CL INSTL* *SYMBOL FXICX Initial Purchase 12/21/12* *Fixed Income 100%*	18,900	251,240.37	12.1900	**230,391.00**	(20,849.37)	251,240	**(20,849)**	**10,622**	4.61
FIXED INCOME SHARES *SERIES M F CL INSTL* *SYMBOL. FXIMX Initial Purchase 12/21/12* *Fixed Income 100%*	20,061	222,865.37	10.5800	**212,245.38**	(10,619.99)	222,865	**(10,619)**	**6,620**	3.11
Subtotal (Fixed Income)				*442,636.38*					
TOTAL		474,105.74		**442,636.38**	(31,469.36)		**(31,468)**	**17,242**	3.90
TOTAL PRINCIPAL INVESTMENTS		1,795,439.19		**1,800,416.77**	4,977.58			**44,937**	2.50

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment. Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

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THE KAO FAMILY FOUNDATION Account Number: 2J9-74003

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

November 30, 2013 - December 31, 2013

Notes

Δ Debt Instruments purchased at a premium show amortization θ Debt Instruments purchased at a discount show accretion

[1] Some agency securities are not backed by the full faith and credit of the United States government.

For Credit Ratings: S&P and Moody's provide credit ratings on the credit quality of certain bonds and preferred stocks. For a credit enhanced security, Moody's and S&P publish and provide third party vendors the higher of the rating on the credit enhancer (guarantor) or the stand alone rating on the underlying security.

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS *Description*	*Quantity*	*Total Cost Basis*	*Estimated Market Price*	*Estimated Market Value*	*Estimated Annual Income*	*Est. Annual Yield%*
CASH	1,630.07	1,630.07		**1,630.07**		
+ISA SOVEREIGN BANK *+FDIC INSURED NOT SIPC COVERED*	5,241.00	5,241.00	1.0000	**5,241.00**	**1**	.02
+ISA BANK OF AMERICA *+FDIC INSURED NOT SIPC COVERED*	26,873.00	26,873.00	1.0000	**26,873.00**	**5**	.02
+ISA BANK OF CHINA *+FDIC INSURED NOT SIPC COVERED*	9,366.00	9,366.00	1.0000	**9,366.00**	**2**	.02
TOTAL		43,110.07		**43,110.07**	**8**	.02
TOTAL INCOME INVESTMENTS		43,110.07		**43,110.07**	**8**	.02

LONG PORTFOLIO	*Adjusted/Total Cost Basis*	*Estimated Market Value*	*Unrealized Gain/(Loss)*	*Estimated Accrued Interest*	*Estimated Annual Income*	*Current Yield%*
TOTAL PRINCIPAL/INCOME INVESTMENTS	1,838,549.26	**1,843,526.84**	4,977.58	1,533.98	**44,945**	2.44

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The Kao Family Foundation

Capital Gain/Loss Details -- Form 990-PF Attachment

12/31/2013

Short Term	Description	Sales Proceeds	Cost Basis	Adjustments	Gain/Loss
Covered	Merrill Lynch # 74003	287,271	298,197		(10,926)
Noncovered	Merrill Lynch # 74003	463,252	491,148		(27,896)
Noncovered	Merrill Lynch # 74003	100,063	100,063		0
Noncovered	Merrill Lynch # 74003	323,246	332,683		(9,437)
	Total Short Term Capital Gain	1,173,832	1,222,091	0	(48,259)

Long Term	Description	Sales Proceeds	Cost Basis	Adjustments	Gain/Loss
Covered	Merrill Lynch # 74003	328,889	329,984		(1,095)
Noncovered	Merrill Lynch # 74003	49,525	53,398		(3,873)
Noncovered	Merrill Lynch # 74003	13,565	13,952		(387)
	Total Long Term Capital Gain	391,979	397,334	0	(5,355)
	Capital Gain Distribution				12,530
	Total Capital Gains				(41,084)

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FIXED INCOME SHARES SERIES C F CL INSTL REDEEMED		*CUSIP Number*	*01882B205*					
6009.0000	Sale	11/15/12	09/11/13	76,915.20	82,623.75	0.00	(5,708.55)	
1311.0000	Sale	12/21/12	09/11/13	16,780 80	17,659.17	0.00	(878.37)	
	Security Subtotal			***93,696.00***	***100,282.92***	***0.00***	***(6,586.92)***	
FIXED INCOME SHARES SERIES M F CL INSTL REDEEMED		*CUSIP Number*	*01882B304*					
7482.0000	Sale	11/15/12	09/11/13	78,710.63	84,097.68	0.00	(5,387.05)	
2874.0000	Sale	12/21/12	09/11/13	30,234.49	32,217.54	0.00	(1,983.05)	
	Security Subtotal			***108,945.12***	***116,315.22***	***0.00***	***(7,370.10)***	
Covered Short Term Capital Gains and Losses Subtotal				**287,271.58**	**298,197.06**	**0.00**	**(10,925.48)**	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
U S. TREASURY NOTE 2 000% NOV 15 2021 02 000% NOV 15 2021		*CUSIP Number*	*912828RR3*					
21000 0000	Sale	05/21/13	09/11/13	20,009.06	21,576.95	0 00	(1,567.89)	
13000.0000	Sale	09/05/13	09/11/13	12,386 57	12,298.20	0.00	88.37	
	Security Subtotal			***32,395.63***	***33,875.15***	***0.00***	***(1,479.52)***	
U S TRSY INFLATION NTE 0 125% JUL 15 2022 REDEEMED		*CUSIP Number*	*912828TE0*					
11000.0000	Sale	11/15/12	09/11/13	10,626.35	12,144.01	0.00	(1,517.66)	
21000.0000	Sale	11/28/12	09/11/13	20,286.69	23,141.79	0.00	(2,855.10)	
	Security Subtotal			***30,913.04***	***35,285.80***	***0.00***	***(4,372.76)***	
U S TREASURY NOTE 1 375% FEB 28 2019 REDEEMED		*CUSIP Number*	*912828SH4*					
9000.0000	Sale	11/15/12	08/09/13	8,917.03	9,247.84	0.00	(330.81)	
30000.0000	Sale	12/21/12	08/09/13	29,723.45	30,612 22	0.00	(888.77)	
	Security Subtotal			***38,640.48***	***39,860.06***	***0.00***	***(1,219.58)***	

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
U S TREASURY NOTE 0.750% OCT 31 2017 REDEEMED		*CUSIP Number*	*912828TW0*					
64000.0000	Sale	11/23/12	03/01/13	64,197 28	64,209.76	0 00	(12.48)	
6000.0000	Sale	11/23/12	03/06/13	6,005.39	6,019.64	0.00	(14.25)	
54000.0000	Sale	12/13/12	03/06/13	54,048.51	54,205.20	0.00	(156.69)	
17000.0000	Sale	12/13/12	09/11/13	16,544.45	17,057.52	0.00	(513.07)	
55000.0000	Sale	12/21/12	09/11/13	53,526.18	55,082.59	0.00	(1,556.41)	
	Security Subtotal			***194,321.81***	***196,574.71***	***0.00***	***(2,252.90)***	
U S TREASURY NOTE 1.125% DEC 31 2019 REDEEMED		*CUSIP Number*	*912828UF5*					
46000.0000	Sale	01/11/13	09/11/13	43,218.44	45,568.75	0.00	(2,350.31)	
U S TREASURY NOTE 2 000% FEB 15 2023 023		*CUSIP Number*	*912828UN8*					
32000.0000	Sale	03/01/13	08/26/13	29,940.00	32,406.14	0.00	(2,466.14)	
11000.0000	Sale	03/06/13	08/26/13	10,291.88	11,075.24	0.00	(783.36)	
21000 0000	Sale	03/06/13	09/11/13	19,476.67	21,143 02	0 00	(1,666 35)	
11000 0000	Sale	04/02/13	09/11/13	10,202.08	11,135.10	0.00	(933.02)	
	Security Subtotal			***69,910.63***	***75,759.50***	***0.00***	***(5,848.87)***	
U S TRSY INFLATION BOND 2.375% JAN 15 2025 02 375% JAN 15 2025		*CUSIP Number*	*912810FR4*					
14000.0000	Sale	12/07/12	09/11/13	20,026.88	23,381.92	0 00	(3,355.04)	
2000.0000	Sale	12/21/12	09/11/13	2,860.99	3,304 05	0.00	(443.06)	
	Security Subtotal			***22,887.87***	***26,685.97***	***0.00***	***(3,798.10)***	
U.S TRSRY INFLATION BON 2 500% JAN 15 2029 REDEEMED		*CUSIP Number*	*912810PZ5*					
1000.0000	Sale	11/15/12	09/11/13	1,290.16	1,550.16	0.00	(260.00)	
23000.0000	Sale	12/07/12	09/11/13	29,673.73	35,988.24	0.00	(6,314.51)	
	Security Subtotal			***30,963.89***	***37,538.40***	***0.00***	***(6,574.51)***	

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Noncovered Short Term Capital Gains and Losses Subtotal				**463,251.79**	**491,148.34**	**0.00**	**(27,896.55)**	
NET SHORT TERM CAPITAL GAINS AND LOSSES				**750,523.37**	**789,345.40**	**0.00**	**(38,822.03)**	
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
FIXED INCOME SHARES SERIES C F CL INSTL REDEEMED		*CUSIP Number*	*01882B205*					
3121.0000	Sale	02/06/12	06/13/13	41,041.15	40,573.00	0.00	468.15	
9571.0000	Sale	02/06/12	09/11/13	122,508.80	124,423.00	0.00	(1,914.20)	
	Security Subtotal			***163,549.95***	***164,996.00***	***0.00***	***(1,446.05)***	
FIXED INCOME SHARES SERIES M F CL INSTL REDEEMED		*CUSIP Number*	*01882B304*					
7127.0000	Sale	02/06/12	06/13/13	78,254.46	76,330.17	0.00	1,924.29	
8278.0000	Sale	02/06/12	09/11/13	87,084.56	88,657.38	0.00	(1,572.82)	
	Security Subtotal			***165,339.02***	***164,987.55***	***0.00***	***351.47***	
Covered Long Term Capital Gains and Losses Subtotal				**328,888.97**	**329,983.55**	**0.00**	**(1,094.58)**	
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
U.S. TRSY INFLATION NTE 0 125% JUL 15 2022 REDEEMED		*CUSIP Number*	*912828TE0*					
21000.0000	Sale	07/24/12	09/11/13	20,286.68	22,911 29	0.00	(2,624.61)	
U S TREASURY NOTE 1 375% FEB 28 2019 REDEEMED		*CUSIP Number*	*912828SH4*					
23000.0000	Sale	03/01/12	08/09/13	22,787.96	22,910.16	0.00	(122.20)	

BANK OF AMERICA (MLTC)

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
U.S. TRSRY INFLATION BON 2 500% JAN 15 2029 REDEEMED		*CUSIP Number*	*912810PZ5*					
4000.0000	Sale	07/11/12	09/11/13	5,160.64	6,061.56	0.00	(900 92)	
1000.0000	Sale	07/17/12	09/11/13	1,290.16	1,515.10	0.00	(224.94)	
	Security Subtotal			***6,450.80***	***7,576.66***	***0.00***	***(1,125.86)***	
Noncovered Long Term Capital Gains and Losses Subtotal				**49,525.44**	**53,398.11**	**0.00**	**(3,872.67)**	
NET LONG TERM CAPITAL GAINS AND LOSSES				**378,414.41**	**383,381.66**	**0.00**	**(4,967.25)**	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				**1,128,937.78**				
TOTAL REPORTED SALES PROCEEDS				**1,128,937.78**				

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
(10,925.48)	**(27,896.55)**	**(1,094.58)**	**(3,872.67)**

REALIZED COST BASIS INFORMATION FOR SECURITIES SUBJECT TO AMORTIZATION/ACCRETION AS OF DECEMBER 31, 2013

Security Description	Quantity	Date Acquired	Adjusted Cost Basis	Amortization/Accretion Year-To-Date	Amortization/Accretion Life-To-Date	Sales Price
U.S. TREASURY NOTE	21000 0000	05/21/13	21,576 95	(20.24)	(20.24)	20,009.06
U.S. TRSY INFLATION NTE	11000.0000	11/15/12	12,144.01	26.28	32.23	10,626.35
U.S. TRSY INFLATION NTE	21000.0000	11/28/12	23,141 79	53.80	32.70	20,286 69
U.S. TREASURY NOTE	9000 0000	11/15/12	9,247 84	(26.46)	(31.97)	8,917 03
U.S TREASURY NOTE	30000 0000	12/21/12	30,612 22	(65 11)	(67 47)	29,723 45

BANK OF AMERICA (MLTC)

2013 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

Your Supplemental Tax Information Statement that follows is a summary of the gains and losses on your Widely Held Fixed Investment Trust (WHFIT) securities. This section includes your pro-rata share of principal payments made by Real Estate Mortgage Investment Conduits (REMICs) and Collateralized Debt Obligations (CDOs).

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
FNMA PAE7731 04 50%2040		*CUSIP Number*	*31419JSV1*					
AMORTIZED FACTOR 0 59561								
PRIN PAYMENT								
	Prin Payment	02/25/13	02/25/13	1,401.21	1,401.21	0.00	0 00	
	Prin Payment	03/25/13	03/25/13	1,169.15	1,169.15	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	1,450.82	1,450.82	0.00	0 00	
	Prin Payment	05/28/13	05/28/13	1,335 50	1,335.50	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	1,433.34	1,433 34	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	898.77	898.77	0 00	0.00	
	Prin Payment	08/26/13	08/26/13	990.11	990.11	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	751.81	751.81	0.00	0.00	
	Security Subtotal			***9,430.71***	***9,430.71***	***0.00***	***0.00***	
FNMA PAH3394 04%2041		*CUSIP Number*	*3138A4XY6*					
PRIN PAYMENT								
	Prin Payment	02/25/13	02/25/13	1,017.94	1,017 94	0 00	0.00	
	Prin Payment	03/25/13	03/25/13	822.90	822.90	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	843.80	843.80	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	674.23	674.23	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	842.71	842.71	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	671.41	671.41	0 00	0.00	
	Prin Payment	08/26/13	08/26/13	513.11	513 11	0 00	0 00	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FNMA PAH3394 04%2041		*CUSIP Number*	*3138A4XY6*					
PRIN PAYMENT								
	Prin Payment	09/25/13	09/25/13	342.20	342.20	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	150.72	150.72	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	120.78	120.78	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	123.22	123.22	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	136.72	136.72	0.00	0.00	
	Security Subtotal			***6,259.74***	***6,259.74***	***0.00***	***0.00***	
FHLMC A9 6409 03 50%2041		*CUSIP Number*	*312945DN5*					
AMORTIZED FACTOR 0.74654								
PRIN PAYMENT								
	Prin Payment	02/15/13	02/15/13	0.02	0.02	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	0.02	0.02	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	0.02	0.02	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	0.01	0.01	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	0.01	0.01	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	0.01	0.01	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	0.01	0.01	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	0.01	0.01	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	0.01	0.01	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	0.01	0.01	0.00	0.00	
	Security Subtotal			***0.13***	***0.13***	***0.00***	***0.00***	
FNMA PAE0828 03 50%2041		*CUSIP Number*	*31419A4N4*					
AMORTIZED FACTOR 0.70922								
PRIN PAYMENT								
	Prin Payment	02/25/13	02/25/13	2,429.46	2,429.46	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	1,950.61	1,950.61	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	1,733.26	1,733.26	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	1,573.44	1,573.44	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	1,669.72	1,669.72	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	1,560.65	1,560.65	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	1,238.17	1,238.17	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	837.71	837.71	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	270.47	270.47	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	260.52	260.52	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	243.35	243.35	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	239.35	239.35	0.00	0.00	
	Security Subtotal			***14,006.71***	***14,006.71***	***0.00***	***0.00***	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FNMA PAE0949 04%2041 *AMORTIZED FACTOR 0 54851* *PRIN PAYMENT*		*CUSIP Number*	*31419BBT1*					
	Prin Payment	10/25/13	10/25/13	117.15	117 15	0 00	0 00	
	Prin Payment	11/25/13	11/25/13	106.46	106.46	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	100 84	100 84	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	113.44	113.44	0.00	0.00	
	Security Subtotal			***437.89***	***437.89***	***0.00***	***0.00***	
FNMA PAH1559 04%2040 *AMORTIZED FACTOR 0 56428* *PRIN PAYMENT*		*CUSIP Number*	*3138A2WV7*					
	Prin Payment	02/25/13	02/25/13	1,247.08	1,247.08	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	954 48	954.48	0 00	0.00	
	Prin Payment	04/25/13	04/25/13	710.36	710.36	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	902.67	902.67	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	640.96	640.96	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	569.87	569.87	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	357.73	357.73	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	290 42	290 42	0 00	0 00	
	Prin Payment	10/25/13	10/25/13	286.07	286 07	0.00	0 00	
	Prin Payment	11/25/13	11/25/13	167.48	167.48	0 00	0 00	
	Prin Payment	12/26/13	12/26/13	103.17	103 17	0.00	0 00	
	Prin Payment	01/27/14	01/27/14	165 30	165.30	0 00	0 00	
	Security Subtotal			***6,395.59***	***6,395.59***	***0.00***	***0.00***	
FNMA PAE0937 03 50%2041 *AMORTIZED FACTOR 0 62678* *PRIN PAYMENT*		*CUSIP Number*	*31419BBF1*					
	Prin Payment	12/26/13	12/26/13	22.23	22.23	0.00	0 00	
	Prin Payment	01/27/14	01/27/14	19.34	19.34	0.00	0.00	
	Security Subtotal			***41.57***	***41.57***	***0.00***	***0.00***	
FHLMC Q0 4649 03 50%2041 *AMORTIZED FACTOR 0 71129* *PRIN PAYMENT*		*CUSIP Number*	*3132GKZN9*					
	Prin Payment	02/15/13	02/15/13	538.29	538.29	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	412.29	412.29	0.00	0 00	
	Prin Payment	04/15/13	04/15/13	337.89	337.89	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	257.42	257.42	0.00	0.00	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FHLMC Q0 4649 03.50%2041 AMORTIZED FACTOR 0.71129 PRIN PAYMENT		*CUSIP Number*	*3132GKZN9*					
	Prin Payment	06/17/13	06/17/13	351.43	351.43	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	282.53	282.53	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	181.42	181.42	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	142.97	142.97	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	85.14	85.14	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	105.17	105.17	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	84.02	84.02	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	91.85	91.85	0.00	0.00	
	Security Subtotal			***2,870.42***	***2,870.42***	***0.00***	***0.00***	
FNMA P995094 04.50%2035 AMORTIZED FACTOR 0.27053 PRIN PAYMENT		*CUSIP Number*	*31416BNK0*					
	Prin Payment	02/25/13	02/25/13	597.63	597.63	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	499.29	499.29	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	585.54	585.54	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	471.77	471.77	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	390.86	390.86	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	530.76	530.76	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	321.71	321.71	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	280.56	280.56	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	254.97	254.97	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	127.47	127.47	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	174.28	174.28	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	180.92	180.92	0.00	0.00	
	Security Subtotal			***4,415.76***	***4,415.76***	***0.00***	***0.00***	
FNMA P995737 05%2038 AMORTIZED FACTOR 0.25027 PRIN PAYMENT		*CUSIP Number*	*31416CEJ1*					
	Prin Payment	04/25/13	04/25/13	3,489.66	3,489.66	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	3,344.47	3,344.47	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	3,178.72	3,178.72	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	2,930.91	2,930.91	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	2,760.92	2,760.92	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	107.59	107.59	0.00	0.00	
	Security Subtotal			***15,812.27***	***15,812.27***	***0.00***	***0.00***	

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FHLMC A9 6807 04%2041 *AMORTIZED FACTOR 0.64351* *PRIN PAYMENT*		*CUSIP Number*	*312945R42*					
	Prin Payment	02/15/13	02/15/13	671.90	671.90	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	829.78	829.78	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	866.69	866.69	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	468.30	468.30	0.00	0.00	
	Prin Payment	06/17/13	06/17/13	594.91	594.91	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	735.14	735.14	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	493.59	493.59	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	255.99	255.99	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	83.97	83.97	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	70.87	70.87	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	246.12	246.12	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	28.21	28.21	0.00	0.00	
	Security Subtotal			***5,345.47***	***5,345.47***	***0.00***	***0.00***	
FNMA PMA1235 03%2042 *PRIN PAYMENT*		*CUSIP Number*	*31418ALR7*					
	Prin Payment	02/25/13	02/25/13	63.06	63.06	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	73.74	73.74	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	75.12	75.12	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	70.06	70.06	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	90.64	90.64	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	80.76	80.76	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	63.04	63.04	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	63.85	63.85	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	38.59	38.59	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	44.35	44.35	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	29.81	29.81	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	41.97	41.97	0.00	0.00	
	Security Subtotal			***734.99***	***734.99***	***0.00***	***0.00***	
FNMA PAP7553 03%2042 *AMORTIZED FACTOR 0.97987* *PRIN PAYMENT*		*CUSIP Number*	*3138MBMB9*					
	Prin Payment	02/25/13	02/25/13	133.68	133.68	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	144.03	144.03	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	125.21	125.21	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	138.30	138.30	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	270.59	270.59	0.00	0.00	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FNMA PAP7553 03%2042		*CUSIP Number*	*3138MBMB9*					
AMORTIZED FACTOR 0 97987								
PRIN PAYMENT								
	Prin Payment	07/25/13	07/25/13	173.82	173 82	0.00	0 00	
	Prin Payment	08/26/13	08/26/13	114.82	114.82	0 00	0.00	
	Prin Payment	09/25/13	09/25/13	110.63	110.63	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	115 95	115.95	0.00	0 00	
	Prin Payment	11/25/13	11/25/13	116.91	116.91	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	118.09	118.09	0 00	0.00	
	Prin Payment	01/27/14	01/27/14	96.06	96.06	0.00	0.00	
	Security Subtotal			***1,658.09***	***1,658.09***	***0.00***	***0.00***	
FNMA PAL2118 04%2042		*CUSIP Number*	*3138EJK80*					
AMORTIZED FACTOR 0 80561								
PRIN PAYMENT								
	Prin Payment	02/25/13	02/25/13	1,940 73	1,940.73	0 00	0.00	
	Prin Payment	03/25/13	03/25/13	1,940.64	1,940.64	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	1,709.72	1,709.72	0.00	0 00	
	Prin Payment	05/28/13	05/28/13	1,563.10	1,563.10	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	1,568 73	1,568 73	0 00	0 00	
	Prin Payment	07/25/13	07/25/13	1,604.99	1,604.99	0.00	0 00	
	Prin Payment	08/26/13	08/26/13	1,257 59	1,257.59	0 00	0 00	
	Prin Payment	09/25/13	09/25/13	792 46	792.46	0 00	0 00	
	Security Subtotal			***12,377.96***	***12,377.96***	***0.00***	***0.00***	
FNMA PAB7364 03%2042		*CUSIP Number*	*31417EFE6*					
AMORTIZED FACTOR 0 99113								
PRIN PAYMENT								
	Prin Payment	02/25/13	02/25/13	156.92	156.92	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	136.53	136.53	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	95.78	95.78	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	131.67	131.67	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	165.44	165.44	0 00	0.00	
	Prin Payment	07/25/13	07/25/13	113.39	113 39	0.00	0.00	
	Prin Payment	08/26/13	08/26/13	127.19	127.19	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	117.40	117.40	0.00	0.00	
	Security Subtotal			***1,044.32***	***1,044.32***	***0.00***	***0.00***	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FNMA PAU1629 03%2043 *AMORTIZED FACTOR 0 98577* *PRIN PAYMENT*		*CUSIP Number*	*3138X0Y36*					
	Prin Payment	12/26/13	12/26/13	6.10	6.10	0 00	0.00	
	Prin Payment	01/27/14	01/27/14	7.86	7 86	0.00	0 00	
	Security Subtotal			***13.96***	***13.96***	***0.00***	***0.00***	
FNMA P745336 05%2036 *AMORTIZED VALUE 1544430* *AMORTIZED FACTOR 0 20079*		*CUSIP Number*	*31403DBD0*					
	Prin Payment	02/25/13	02/25/13	601.12	601.12	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	498.16	498.16	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	488.08	488.08	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	480 30	480.30	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	493.05	493.05	0 00	0.00	
	Prin Payment	07/25/13	07/25/13	440.99	440.99	0 00	0.00	
	Prin Payment	08/26/13	08/26/13	403.96	403.96	0 00	0.00	
	Prin Payment	09/25/13	09/25/13	325.33	325.33	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	244.74	244 74	0 00	0.00	
	Prin Payment	11/25/13	11/25/13	214.56	214 56	0.00	0.00	
	Prin Payment	12/26/13	12/26/13	157 09	157 09	0.00	0 00	
	Prin Payment	01/27/14	01/27/14	192 42	192.42	0 00	0 00	
	Security Subtotal			***4,539.80***	***4,539.80***	***0.00***	***0 00***	
FNMA P745275 05%2036 *AMORTIZED VALUE 3002* *AMORTIZED FACTOR 0 20601*		*CUSIP Number*	*31403C6L0*					
	Prin Payment	02/25/13	02/25/13	639.38	639.38	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	529.69	529.69	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	566 45	566 45	0.00	0 00	
	Prin Payment	05/28/13	05/28/13	544.81	544.81	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	533 86	533 86	0 00	0.00	
	Prin Payment	07/25/13	07/25/13	480.55	480.55	0.00	0 00	
	Prin Payment	08/26/13	08/26/13	442.62	442.62	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	390.77	390.77	0.00	0.00	
	Prin Payment	10/25/13	10/25/13	65.60	65.60	0.00	0.00	
	Prin Payment	11/25/13	11/25/13	53 93	53 93	0 00	0 00	
	Prin Payment	12/26/13	12/26/13	44.39	44.39	0 00	0 00	
	Prin Payment	01/27/14	01/27/14	44 88	44.88	0.00	0.00	
	Security Subtotal			***4,336.93***	***4,336.93***	***0.00***	***0.00***	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FNMA P256635 05%2037 *AMORTIZED FACTOR 0 17345* *PRIN PAYMENT*		*CUSIP Number*	*31371NBC8*					
	Prin Payment	02/25/13	02/25/13	13.38	13.38	0.00	0.00	
	Prin Payment	03/25/13	03/25/13	10.36	10 36	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	11.75	11.75	0 00	0.00	
	Prin Payment	05/28/13	05/28/13	12.21	12.21	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	10.28	10.28	0.00	0.00	
	Prin Payment	07/25/13	07/25/13	10.12	10 12	0.00	0 00	
	Prin Payment	08/26/13	08/26/13	6.57	6.57	0.00	0.00	
	Security Subtotal			***74.67***	***74.67***	***0.00***	***0.00***	
FNMA P735402 05%2035 *AMORTIZED FACTOR 0 19805* *PRIN PAYMENT*		*CUSIP Number*	*31402RAB5*					
	Prin Payment	02/25/13	02/25/13	44.48	44.48	0.00	0 00	
	Prin Payment	03/25/13	03/25/13	39.59	39.59	0.00	0.00	
	Prin Payment	04/25/13	04/25/13	46.45	46.45	0.00	0.00	
	Prin Payment	05/28/13	05/28/13	42 20	42.20	0.00	0.00	
	Prin Payment	06/25/13	06/25/13	39.70	39 70	0.00	0 00	
	Prin Payment	07/25/13	07/25/13	35.20	35.20	0 00	0.00	
	Prin Payment	08/26/13	08/26/13	34 99	34 99	0.00	0.00	
	Prin Payment	09/25/13	09/25/13	22.66	22.66	0 00	0.00	
	Prin Payment	10/25/13	10/25/13	12 72	12 72	0 00	0 00	
	Prin Payment	11/25/13	11/25/13	11.35	11.35	0.00	0 00	
	Prin Payment	12/26/13	12/26/13	9.42	9.42	0.00	0.00	
	Prin Payment	01/27/14	01/27/14	9.34	9.34	0.00	0.00	
	Security Subtotal			***348.10***	***348.10***	***0.00***	***0.00***	
FHLMC G0 8347 04 50%2039 *PRIN PAYMENT*		*CUSIP Number*	*3128MJL57*					
	Prin Payment	02/15/13	02/15/13	1,357.90	1,357.90	0.00	0.00	
	Prin Payment	03/15/13	03/15/13	1,284.26	1,284.26	0.00	0.00	
	Prin Payment	04/15/13	04/15/13	1,175.35	1,175.35	0.00	0.00	
	Prin Payment	05/15/13	05/15/13	1,258.44	1,258.44	0.00	0 00	
	Prin Payment	06/17/13	06/17/13	1,187.94	1,187 94	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	1,122.26	1,122.26	0 00	0.00	
	Prin Payment	08/15/13	08/15/13	814 02	814.02	0 00	0 00	
	Prin Payment	09/16/13	09/16/13	515 41	515.41	0 00	0 00	
	Prin Payment	10/15/13	10/15/13	254.18	254 18	0 00	0.00	
	Prin Payment	11/15/13	11/15/13	294 46	294 46	0 00	0 00	

BANK OF AMERICA (MLTC)

2013 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FHLMC G0 8347 04 50%2039 *PRIN PAYMENT*		*CUSIP Number*	*3128MJL57*					
	Prin Payment	12/16/13	12/16/13	323.07	323.07	0 00	0.00	
	Prin Payment	01/15/14	01/15/14	292.72	292 72	0.00	0.00	
	Security Subtotal			***9,880.01***	***9,880.01***	***0.00***	***0.00***	
FHLMC G0 8558 04%2043 *AMORTIZED FACTOR 0 99671* *PRIN PAYMENT*		*CUSIP Number*	*3128MJTQ3*					
	Prin Payment	12/16/13	12/16/13	16.42	16.42	0.00	0.00	
	Prin Payment	01/15/14	01/15/14	21.49	21.49	0.00	0.00	
	Security Subtotal			***37.91***	***37.91***	***0.00***	***0.00***	
Noncovered Short Term Capital Gains and Losses Subtotal				**100,063.00**	**100,063.00**	**0.00**	**0.00**	
NET SHORT TERM CAPITAL GAINS AND LOSSES				**100,063.00**	**100,063.00**	**0.00**	**0.00**	

OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FNMA PAE7731 04 50%2040 *AMORTIZED FACTOR 0 47015* *REDEEMED*		*CUSIP Number*	*31419JSV1*					
64000.0000	Sale	01/03/13	09/11/13	31,462.88	32,750 12	0.00	N/C	
FNMA PAH3394 04%2041 *REDEEMED*		*CUSIP Number*	*3138A4XY6*					
16000 0000	Sale	11/20/12	09/11/13	9,093 89	9,553.82	0.00	N/C	
FHLMC A9 6409 03 50%2041 *AMORTIZED FACTOR 0 76953* *REDEEMED*		*CUSIP Number*	*312945DN5*					
24999.0000	Sale	06/07/12	01/11/13	20,367.84	20,191.67	0.00	N/C	

BANK OF AMERICA (MLTC)

2013 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FNMA PAE0828 03 50%2041 *AMORTIZED FACTOR 0 73131* *REDEEMED*		*CUSIP Number*	*31419A4N4*					
1000.0000	Sale	01/10/13	01/11/13	775.36	805.56	0.00	N/C	
59000 0000	Sale	01/10/13	09/11/13	35,680 97	38,473.34	0.00	N/C	
	Security Subtotal			***36,456.33***	***39,278.90***	***0.00***		
FNMA PAE0949 04%2041 *AMORTIZED FACTOR 0 55468* *REDEEMED*		*CUSIP Number*	*31419BBT1*					
1000 0000	Sale	09/04/13	09/11/13	567.33	579.99	0.00	N/C	
FNMA PAH1559 04%2040 *AMORTIZED FACTOR 0 45631* *REDEEMED*		*CUSIP Number*	*3138A2WV7*					
1000.0000	Sale	04/13/12	09/11/13	466 73 ①	483.28 ②	0.00	N/C	
FHLMC Q0 4649 03 50%2041 *AMORTIZED FACTOR 0 73692* *REDEEMED*		*CUSIP Number*	*3132GKZN9*					
1000 0000	Sale	03/12/12	01/11/13	779.53	763 42	0 00	N/C	
1000 0000	Sale	03/12/12	09/11/13	607.06 ①	639 41 ②	0 00	N/C	
	Security Subtotal			***1,386.59***	***1,402.83***	***0.00***		
FNMA P995094 04 50%2035 *AMORTIZED FACTOR 0 19540* *REDEEMED*		*CUSIP Number*	*31416BNK0*					
1000.0000	Sale	11/15/12	09/11/13	204.32	211.19	0.00	N/C	
FNMA P995737 05%2038 *AMORTIZED FACTOR 0 20382* *REDEEMED*		*CUSIP Number*	*31416CEJ1*					
250000.0000	Sale	03/18/13	08/22/13	54,413.02	52,664.31	0.00	N/C	
13000.0000	Sale	03/18/13	09/11/13	2,678.21	2,767.43	0.00	N/C	
	Security Subtotal			***57,091.23***	***55,431.74***	***0.00***		
FNMA PAO9926 03 50%2042 *REDEEMED*		*CUSIP Number*	*3138M2A48*					
35000.0000	Sale	12/05/12	01/11/13	34,965 81	35,368.29	0 00	N/C	

Account No.
2J9-74003

Taxpayer No.
45-3859531

BANK OF AMERICA (MLTC)

2013 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FHLMC A9 6807 04%2041 *AMORTIZED FACTOR 0 50660* *REDEEMED*		*CUSIP Number*	*312945R42*					
1000.0000	Sale	08/14/12	09/11/13 ✓	516.10①	541.74②	0.00	N/C	
FNMA PAO9925 03 50%2042 *AMORTIZED FACTOR 0 92647* *REDEEMED*		*CUSIP Number*	*3138M2A30*					
41000.0000	Sale	10/05/12	01/11/13	40,273 63	40,710.22	0.00	N/C	
FNMA PMA1235 03%2042 *REDEEMED*		*CUSIP Number*	*31418ALR7*					
3000 0000	Sale	11/29/12	09/11/13	2,649.05	2,962.62	0.00	N/C	
FNMA PAP7553 03%2042 *AMORTIZED FACTOR 0 93302* *REDEEMED*		*CUSIP Number*	*3138MBMB9*					
1000.0000	Sale	11/07/12	09/11/13	878 21	982 70	0.00	N/C	
FNMA PAL2118 04%2042 *AMORTIZED FACTOR 0 66645* *REDEEMED*		*CUSIP Number*	*3138EJK80*					
75000.0000	Sale	01/08/13	09/11/13	51,124.36	53,673 32	0 00	N/C	
FNMA PAB7364 03%2042 *AMORTIZED FACTOR 0 96156* *REDEEMED*		*CUSIP Number*	*31417EFE6*					
30000 0000	Sale	01/03/13	09/11/13	27,152.05	30,077.77	0.00	N/C	
FHLMC G0 8537 03%2043 *AMORTIZED FACTOR 0 99447* *REDEEMED*		*CUSIP Number*	*3128MJS35*					
1000 0000	Sale	09/06/13	09/11/13	932.01	939.68	0.00	N/C	
FHLMC G0 8541 03 50%2043 *AMORTIZED FACTOR 0 99722* *REDEEMED*		*CUSIP Number*	*3128MJS76*					
9000.0000	Sale	09/05/13	09/11/13	8,820.78	8,785.72	0.00	N/C	

BANK OF AMERICA (MLTC)

2013 SUPPLEMENTAL ANNUAL STATEMENT SUMMARY

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FNMA P745336 05%2036 *REDEEMED*		*CUSIP Number*	*31403DBD0*					
1000.0000	Sale	01/08/13	08/22/13	157 91	152 79	0.00	N/C	
1000.0000	Sale	01/08/13	09/11/13	149 20	154 17	0.00	N/C	
	Security Subtotal			***307.11***	***306.96***	***0.00***		
FNMA P745275 05%2036 *AMORTIZED VALUE 3002* *AMORTIZED FACTOR 0 15260*		*CUSIP Number*	*31403C6L0*					
1000.0000	Sale	02/06/12	08/22/13 ✓	162.95	157.53	0 00	N/C	
44000.0000	Sale	02/06/12	09/11/13 ✓	6,755.96	6,964.72	0.00	N/C	
	Security Subtotal			***6,918.91*** ①	***7,122.25*** ②	***0.00***		
FNMA P256635 05%2037 *AMORTIZED FACTOR 0 11217* *REDEEMED*		*CUSIP Number*	*31371NBC8*					
1000.0000	Sale	11/20/12	08/22/13	119.78	115.35	0.00	N/C	
FNMA P735402 05%2035 *AMORTIZED FACTOR 0.15043* *REDEEMED*		*CUSIP Number*	*31402RAB5*					
1000 0000	Sale	04/02/12	08/22/13 ✓	160 63	156 37	0 00	N/C	
1000.0000	Sale	04/02/12	09/11/13	152.51	157.70	0 00	N/C	
	Security Subtotal			***313.14*** ①	***314.07*** ②	***0.00***		
FHLMC G0 8347 04 50%2039 *REDEEMED*		*CUSIP Number*	*3128MJL57*					
15000 0000	Sale	02/16/12	09/11/13 ✓	4,743 08 ①	4,851.07 ②	0 00	N/C	
Other Transactions Subtotal				**336,811.16** A	**346,635.30** C	**0.00**	<9,824.14>	

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES 436,874.16
TOTAL REPORTED SALES PROCEEDS 436,874.16

N/C Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues.

LT Cap Loss Σ① 13,565.02 B − Σ② 13,951.82 D = <386.8>

ST Cap Loss A-B 323,246.14 − C-D 332,683.48 = <9,437.34>

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.
► Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ► ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extention on a previously filed Form 8868.

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www.irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only ► ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions THE KAO FAMILY FOUNDATION C/O FAVOR & FAVOR, CPAS	Employer identification number (EIN) or 45-3859531
	Number, street, and room or suite number If a P O box, see instructions 24422 AVENIDA DE LA CARLOTA #275	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions LAGUNA HILLS, CA 92653-4615	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► FAVOR & FAVOR, CPAS

Telephone No ► (949) 829-8299 Fax No. ► (949) 829-8298

- If the organization does not have an office or place of business in the United States, check this box ► ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____. If this is for the whole group, check this box ► ☐ If it is for part of the group, check this box ► ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 8/15, 20 14, to file the exempt organization return for the organization named above The extension is for the organization's return for

► ☒ calendar year 20 13 or

► ☐ tax year beginning ________, 20 ___, and ending ________, 20 ___

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	$ 507.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	$ 300.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 207.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

BAA For Privacy Act and Paperwork Reduction Act Notice, see instructions. Form **8868** (Rev 1-2014)

FIFZ0501L 12/31/13