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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation THE AJL CHARITABLE FOUNDATION		A Employer identification number 45-0677796
Number and street (or P O box number if mail is not delivered to street address) PO BOX 3339	Room/suite	B Telephone number (see instructions)
City or town, state or province, country, and ZIP or foreign postal code LITTLETON, CO 80161		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <div style="display: flex; justify-content: space-between;"> <div> <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change </div> <div> <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change </div> </div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 12,684,056	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	
		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses

total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))





(a) Revenue and expenses per books

(b) Net investment income


(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)		940,518			
	2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
	3	Interest on savings and temporary cash investments		110,920	110,920		
	4	Dividends and interest from securities.		160,221	160,221		
	5a	Gross rents					
	b	Net rental income or (loss) _____					
	6a	Net gain or (loss) from sale of assets not on line 10		254,457			
	b	Gross sales price for all assets on line 6a 3,684,956					
	7	Capital gain net income (from Part IV , line 2)			254,457		
	8	Net short-term capital gain					
	9	Income modifications					
	Operating and Administrative Expenses	10a	Gross sales less returns and allowances				
b		Less Cost of goods sold					
c		Gross profit or (loss) (attach schedule)					
11		Other income (attach schedule)		20,641	2,250	16,788	
12		Total. Add lines 1 through 11		1,486,757	527,848	16,788	
13		Compensation of officers, directors, trustees, etc					
14		Other employee salaries and wages					
15		Pension plans, employee benefits					
16a		Legal fees (attach schedule)		6,026	3,013		3,013
b		Accounting fees (attach schedule)		7,062	3,531		3,531
c		Other professional fees (attach schedule)		48,136	48,136		
17		Interest		8,828	8,828		
18	Taxes (attach schedule) (see instructions)		68,775	3,833			
19	Depreciation (attach schedule) and depletion						
20	Occupancy						
21	Travel, conferences, and meetings						
22	Printing and publications						
23	Other expenses (attach schedule)		43,774	43,049		725	
24	Total operating and administrative expenses. Add lines 13 through 23		182,601	110,390		7,269	
25	Contributions, gifts, grants paid		432,687			432,687	
26	Total expenses and disbursements. Add lines 24 and 25		615,288	110,390		439,956	
	27	Subtract line 26 from line 12					
	a	Excess of revenue over expenses and disbursements		871,469			
	b	Net investment income (if negative, enter -0-)			417,458		
	c	Adjusted net income (if negative, enter -0-)				16,788	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	663,150	745,239	745,239
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges		2,759	2,759
	10a	Investments—U S and state government obligations (attach schedule)	523,049	 622,510	597,148
	b	Investments—corporate stock (attach schedule)	6,561,511	 6,639,669	7,949,025
	c	Investments—corporate bonds (attach schedule).	1,585,577	 2,402,572	2,337,954
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	1,000,000	 655,178	1,051,931
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	10,333,287	11,067,927	12,684,056
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	10,333,287	11,067,927	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see page 17 of the instructions)	10,333,287	11,067,927	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	10,333,287	11,067,927	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	10,333,287
2	Enter amount from Part I, line 27a	2	871,469
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	11,204,756
5	Decreases not included in line 2 (itemize) ▶  _____	5	136,829
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,067,927

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	254,457
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	68,391

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	6,424	11,135,535	0 000577
2011	98,550	21,107	4 669067
2010			
2009			
2008			

2	Total of line 1, column (d).	2	4 669644
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	2 334822
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	12,264,578
5	Multiply line 4 by line 3.	5	28,635,607
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	4,175
7	Add lines 5 and 6.	7	28,639,782
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	439,956

Part VIExcise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	8,349
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	8,349
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	8,349
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	27,595
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	27,595
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	82
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <input type="checkbox"/>	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . <input type="checkbox"/>	10	19,164
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 8,349 Refunded <input type="checkbox"/>	11	10,815

Part VII-AStatements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?	1c		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CO _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of THE ORGANIZATION Telephone no (303) 771-1117 Located at PO BOX 3339 LITTLETON CO ZIP+4 80161			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.			
		15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
AMY S LAWTON DECEASED	DIRECTOR	0	0	0
PO BOX 3339	2 00			
LITTLETON,CO 80161				
KATHRYN L MOHRBACHER	TREASURER/DI	0	0	0
PO BOX 3339	10 00			
LITTLETON,CO 80161				
KRISTIN KOVAL	DIRECTOR	0	0	0
PO BOX 3339	5 00			
LITTLETON,CO 80161				
MARJORIE M RUDDEN	DIRECTOR	0	0	0
PO BOX 3339	5 00			
LITTLETON,CO 80161				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	11,766,224
b	Average of monthly cash balances.	1b	685,124
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	12,451,348
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	12,451,348
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	186,770
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	12,264,578
6	Minimum investment return. Enter 5% of line 5.	6	613,229

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	613,229
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	8,349
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	8,349
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	604,880
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	604,880
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	604,880

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	439,956
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	439,956
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	439,956
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				604,880
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			425,263	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 439,956				
a Applied to 2012, but not more than line 2a			425,263	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				14,693
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				590,187
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

AMY S LAWTON

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

AJL CHARITABLE FOUNDATION
6881 S HOLLY CIRCLE SUITE 204
CENTENNIAL, CO 80121
(303) 771-1117

b

The form in which applications should be submitted and information and materials they should include

APPLICATIONS AND GRANT GUIDELINES ARE AVAILABLE FROM THE FOUNDATION THE FIRST STEP IN THE APPLICATION PROCESS IS TO SUBMIT A LETTER OF INQUIRY WHICH SHOULD BE NO MORE THAN THREE PAGES AND SHOULD INCLUDE 1 A BRIEF STATEMENT OF ISSUES TO BE ADDRESSED, THE HISTORY AND GOALS OF YOUR ORGANIZATION, AND YOUR ORGANIZATION'S INVOLVEMENT WITH THESE ISSUES 2 A BRIEF SUMMARY OF THE ACTIVITIES FOR WHICH YOU ARE REQUESTING SUPPORT, INCLUDING AN OUTLINE OF YOUR OBJECTIVE, AND ANTICIPATED OUTCOMES AND IMPLICATIONS 3 THE APPROXIMATE STARTING DATE AND DURATION OF THE PROPOSED ACTIVITIES 4 THE TOTAL AMOUNT OF FUNDING NEEDED, THE AMOUNT REQUESTED FROM THE FOUNDATION, AND INFORMATION ABOUT OTHER SOURCES OF SUPPORT, BOTH ASSURED AND REQUESTED ONCE THE LETTER OF INQUIRY IS ACCEPTED YOU WILL BE ASKED TO SUBMIT A FULL PROPOSAL AND APPLICATION TO THE FOUNDATION

c

Any submission deadlines

APPLICATIONS ARE ACCEPTED YEAR ROUND

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE AJL CHARITABLE FOUNDATION SUPPORTS ACTIVITIES IN THE FOLLOWING AREAS EDUCATION BATTERED AND ABUSED WOMEN AND CHILDREN HOMELESSNESS THE ARTS ELIGIBILITY THE FOUNDATION MAKES GRANTS ONLY TO TAX-EXEMPT ORGANIZATIONS WITH 501(C) CLASSIFICATIONS FROM THE INTERNAL REVENUE SERVICE AND GRANTS TO INDIVIDUALS FOR EDUCATION BASED UPON A FINANCIAL NEED THE FOUNDATION MAY PROVIDE SCHOLARSHIP OR FELLOWSHIP SUPPORT TO INDIVIDUALS THE FOUNDATION DOES NOT MAKE GRANTS FOR RESEARCH PROJECTS OR GIVE SUPPORT TO CONFERENCES, SEMINARS, MEDIA EVENTS, OR WORKSHOPS UNLESS THEY ARE AN INTEGRAL PART OF A BROADER PROGRAM THE FOUNDATION DOES NOT PROVIDE SUPPORT FOR THE PRODUCTION AND DEVELOPMENT OF TELEVISION AND RADIO PROGRAMMING

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Additional Data Table				
Total			3a	432,687
b <i>Approved for future payment</i>				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
AT MLP FUND LLC S/T	P	2013-01-01	2013-12-31
AT MLP FUND LLC L/T	P	2012-01-01	2013-12-31
WASH SALE	P	2012-04-06	2013-07-05
HEINZ STOCK	P	2012-01-01	2013-06-03
ATLANTIC TRUST L/T SALES	P	2012-01-01	2013-12-31
ATLANTIC TRUST S/T SALES	P	2013-01-01	2013-12-31
ATLANTIC TRUST S/T SALES	P	2013-01-01	2013-12-31
ATLANTIC TRUST L/T SALES	P	2012-01-01	2013-12-31


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
44,147			44,147
96,075			96,075
560			560
		3,058	-3,058
1,982,249		1,908,061	74,188
1,017,629		988,240	29,389
156,579		161,724	-5,145
374,383		369,416	4,967


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			44,147
			96,075
			560
			-3,058
			74,188
			29,389
			-5,145
			4,967


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ADVOCATES FOR CHILDREN 10855 E BETHANY DR 200 AURORA,CO 80014			GRANT	60,000
AMERICAN CANCER ASSOCIATION PO BOX 172568 DENVER,CO 80217			GRANT	250
AMERICAN RED CROSS 2025 E STREET NW WASHINGTON,DC 20006			GRANT	500
ANCHOR CENTER 2550 ROSLYN STREET DENVER,CO 80238			GRANT	6,000
BAYAUD INDUSTRIES (BAYAUD ENTERPRIS 333 W BAYAUD AVE DENVER,CO 80223			GRANT	500
CASA DE AMPARO 325 BUENA CREEK ROAD SAN MARCOS,CA 92069			GRANT	6,000
CENTUS (CENTUS COUNSELING CONSULTIN 2201 S UNIVERSITY BLVD DENVER,CO 80210			GRANT	500
CHILDREN'S HOSPITAL FOUNDATION 13123 E 16TH AVENUE BOX AURORA,CO 80045			GRANT	2,000
COLORADO PUBLIC RADIO 7409 S ALTON CT CENTENNIAL,CO 80112			GRANT	1,350
COLORADO STATE UNIVERSITY PO BOX 1870 FT COLLINS,CO 80522			GRANT	60,020
COLORADO SYMPHONY 1000 14TH ST 15 DENVER,CO 80202			GRANT	6,000
DENVER HOSPICE 501 S CHERRY ST STE 700 DENVER,CO 80246			GRANT	550
DENVER MUSEUM OF NATURE & SCIENCE 2001 COLORADO BLVD DENVER,CO 80205			GRANT	9,000
DENVER RESCUE MISSION PO BOX 5206 DENVER,CO 80217			GRANT	1,000
ENERGY OUTREACH 225 E 16TH AVE DENVER,CO 80203			GRANT	2,500
Total  3a				432,687

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ENTERPRISE MENTORS INTERNATIONAL 65 E WADSWORTH PARK DR DRAPER,UT 84020			GRANT	1,000
EXCELSIOR YOUTH CENTER FOUNDATION 15001 E OXFORD AVE AURORA,CO 80014			GRANT	53,000
FAMILY HOMESTEAD 999 DECATUR STREET DENVER,CO 80204			GRANT	8,600
FAMILY PROMISE OF GREATER DENVER PO BOX 40550 DENVER,CO 80204			GRANT	3,419
FOOD BANK OF THE ROCKIES 10700 E 45TH AVE DENVER,CO 80239			GRANT	1,300
GATHERING PLACE 1535 HIGH STREET DENVER,CO 80218			GRANT	1,000
I HAVE A DREAM OF BOULDER COUNTY 3012 STERLING CIRCLE SUI BOULDER,CO 80301			GRANT	60,000
INTERFAITH HOSPITALITY NETWORK PO BOX 40550 DENVER,CO 80204			GRANT	3,000
LUTHERAN FAMILY SERVICES 363 S HARLAN ST 200 DENVER,CO 80226			GRANT	11,000
METRO CARERING 1100 E 18H AVE DENVER,CO 80203			GRANT	7,500
MOONLIGHT CULTURAL FOUNDATION P O BOX 2497 VISTA,CA 92085			GRANT	3,524
NATURE CONSERVANCY 4245 N FAIRFAX DR STE 10 ARLINGTON,VA 22203			GRANT	100
NETWORK MINISTRIES 1402 PEARL STREET DENVER,CO 80203			GRANT	200
NEW HAVEN YOUTH AND FAMILY SERVICES PO BOX 1199 VISTA,CA 92085			GRANT	6,000
NEW GENESIS 1680 SHERMAN ST DENVER,CO 80203			GRANT	8,000
Total  3a				432,687

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
RMPBS 1089 BANNOCK ST DENVER,CO 80204			GRANT	5,000
SALVATION ARMY 1370 PENNSYLVANIA ST DENVER,CO 80203			GRANT	3,000
SHRINERS HOSPITALS FOR CHILDREN 2425 STOCKTON BOULEVARD SACRAMENTO,CA 95817			GRANT	33,524
STANFORD UNIVERSITY 326 GALVEZ STREET STANFORD,CA 94305			GRANT	9,000
TENNYSON CENTER 2950 TENNYSON ST DENVER,CO 80212			GRANT	2,000
THE BOYS AND GIRLS CLUB OF VISTA 410 WEST CALIFORNIA AVENU VISTA,CA 92083			GRANT	6,000
TERI INC 251 AIRPORT ROAD OCEANSIDE,CA 92058			GRANT	6,000
VOICES FOR CHILDREN 2851 MEADOWLARK DRIVE SAN DIEGO,CA 92123			GRANT	6,000
UNIVERSITY HILLS ROTARY CLUB 8300 FAIRMOUNT DR P-101 DENVER,CO 80247			GRANT	6,550
WELLSHIRE PRESBYTERIAN CHURCH 2999 S COLORADO BLVD DENVER,CO 80222			GRANT	10,000
WOMEN'S CLUB OF ESCONDIDO 751 N ROSE ST ESCONDIDO,CA 92025			GRANT	800
WORLD NEIGHBORS 4127 NW 122ND ST OKLAHOMA CITY,OK 73120			GRANT	10,000
WYOMING CHILDREN'S HOME SOCIETY PO BOX 105 CHEYENNE,WY 82003			GRANT	11,000
Total  3a				432,687

<div>Schedule B</div> <div>(Form 990, 990-EZ, or 990-PF)</div> <div>Department of the Treasury Internal Revenue Service</div>	<div>Schedule of Contributors</div> <div>▶ Attach to Form 990, 990-EZ, or 990-PF.</div> <div>▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.</div>	<div>OMB No 1545-0047</div>
		<div>2013</div>
<div>Name of the organization</div> <div>THE AJL CHARITABLE FOUNDATION</div>		<div>Employer identification number</div> <div>45-0677796</div>

Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	<div><input type="checkbox"/> 501(c)() (enter number) organization</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust not treated as a private foundation</div> <div><input type="checkbox"/> 527 political organization</div>
Form 990-PF	<div><input checked="" type="checkbox"/> 501(c)(3) exempt private foundation</div> <div><input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation</div> <div><input type="checkbox"/> 501(c)(3) taxable private foundation</div>

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE AJL CHARITABLE FOUNDATION	Employer identification number 45-0677796
--------------------------------------------------------------	-----------------------------------------------------

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	AMY S LAWTON TRUST PO BOX 3339 LITTLETON, CO 80161	\$ 939,368	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization THE AJL CHARITABLE FOUNDATION	Employer identification number 45-0677796
--------------------------------------------------------------	-----------------------------------------------------

Part II	Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed		
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	\$ _____	_____

Name of organization THE AJL CHARITABLE FOUNDATION	Employer identification number 45-0677796
--------------------------------------------------------------	-----------------------------------------------------

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc , contributions of **\$1,000 or less** for the year (Enter this information once See instructions) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>	<div></div> <div></div> <div></div>
	(e) Transfer of gift		
	Transferee's name, address, and ZIP 4		Relationship of transferor to transferee
<div></div> <div></div>		<div></div> <div></div> <div></div>	

TY 2013 Accounting Fees Schedule**Name:** THE AJL CHARITABLE FOUNDATION**EIN:** 45-0677796

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE	4,200	2,100		2,100
ACCOUNTING FEES	2,862	1,431		1,431

TY 2013 Compensation Explanation

Name: THE AJL CHARITABLE FOUNDATION

EIN: 45-0677796

Person Name	Explanation
AMY S LAWTON DECEASED	
KATHRYN L MOHRBACHER	
KRISTIN KOVAL	
MARJORIE M RUDDEN	

TY 2013 Investments Corporate

Bonds Schedule

Name: THE AJL CHARITABLE FOUNDATION

EIN: 45-0677796

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BANK OF NY 3.1% 1/15/15	78,484	77,020
BERKSHIRE HATHAWAY FIN CORP	88,542	86,267
BLACKROCK GTD SR	86,236	79,356
CATERPILLER FINL 4/15/18	89,243	85,251
CHEVRON CORP 4.95% 3/3/19	85,666	85,073
CISCO SYS INC 5.5% 2/22/16	83,915	82,510
CISCO SYSTEMS IN 1/15/20	85,094	81,800
CONOCO PHILLIPS GTD 2/1/19	91,288	86,608
DEERE JOHN CAP 4/3/13		
DISNEY 9/15/16	85,047	84,207
DUPONT 1/15/20	85,608	81,871
GE 1/7/11	80,614	81,784
GE 12/6/17	85,331	84,896
GLAXO SMITH KLINE	87,058	86,335
GOLDMAN SACHS 2/7/16	78,706	78,711
GOLDMAN SACHS 6% 5/1/14	80,709	76,339
GOOGLE INC 5/19/21	81,500	78,203
H P 3/1/14	81,927	75,635
INTEL CORP 10/1/16	51,884	51,400
J P MORGAN CHASE 6/1/14	80,365	76,313
JP MORGAN CHASE 3/1/16	78,945	78,664
MORGAN STANLEY 03/01/13		
MORGAN STANLEY 3/5/13	55,235	54,566
NBC UNIVERSAL 4/1/16	79,429	78,071
ORACLE CORP 04/15/13		
ORACLE CORP 1/15/16	110,833	109,160
PEPSICIO INC 04/15/20	84,747	81,573
SHELL INTL 03/21/14	80,077	75,596
SHELL INTL 9/22/19	82,865	82,209
UNITED TECH CORP 12/11/17	86,288	85,467
VERIZON COMM 04/15/18	89,884	87,104
WAL-MART 05/01/13		
WELLS FARGO 12/11/17	87,052	85,965

TY 2013 Investments Corporate
Stock Schedule

Name: THE AJL CHARITABLE FOUNDATION
EIN: 45-0677796

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ACCENTURE PLC CLASS A	1,576	1,644
ACE LTD	3,072	3,105
AETNA INC	59,836	102,541
ALLIANCE DATA SERVICES	29,460	62,577
ALLIANZ AKTIENGES ADR	1,061	1,077
AMERICAN INTL GROUP INC	1,758	1,786
AMERICAN WATER WORKS CO	3,131	3,169
ANADARKO PETE CORP	76,526	87,252
APACHE CORP	58,231	62,307
APPLE INC	160,491	173,355
AUTOMATIC DATA PROCESSING	79,215	116,350
AVAGO TECH	1,182	1,321
AVALONBAY COMNTY S INC	31,577	26,602
BANK OF AMERICA CORP	36,055	71,077
BLACKROCK INC	34,346	59,180
BNP PARIBAS SPON ADR	2,646	2,732
BOEING CO COM	45,507	83,941
BRIDGESTONE CORP ADR	1,870	1,893
BMC SOFTWARE INC		
CAPITAL ONE FINCL CORP	53,893	79,291
CENTURYLINK	1,107	1,114
CHECK POINT SOFTWARE	31,847	46,633
CHINA CONSTRUCTION ADR	2,347	2,263
CHINA TELECOM ADR	2,834	2,781
CISCO SYSTEMS	43,560	58,094
CITIGROUP INC	31,066	52,630
CITRIX SYS INC CO	51,303	53,763
CME GROUP INC		
COMCAST CORP	51,966	87,821
CONOCOPHILLIPS	1,761	1,766

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COVIDIEN PLC	54,786	75,931
CROWN HLDGS	52,007	66,186
CUMMINS INC	653	704
CVS CAREMARK	52,341	81,231
DAIHATSU MOTOR CO	533	508
DANAHER CORP	67,686	99,588
DIRECTTV	37,267	52,486
DISNEYWALT CO	62,831	74,872
DOLLAR GENERAL CO	2,712	2,714
DOLLAR GENERAL CORP NEW	54,358	73,289
EMC CORP MASS	68,291	71,803
ENI SPA ADR	1,670	1,697
EQT CORP	26,664	47,134
EXPRESS SCRIPTS HLDG	111,592	139,918
FIDELITY NATL INFORMATION	31,767	52,606
FISERV INC	55,777	95,661
FORD MOTOR		
FREEPORT MCMORAN	61,811	69,253
G E	79,141	122,491
GOOGLE INC	61,201	114,312
HARBOR INTL FUND	470,000	534,404
HEINZ H J		
HOME DEPOT	77,967	83,163
ILLINOIS TOOL WORKS INC	2,458	2,522
IMPERIAL TOBACCO LT ADR	2,679	2,710
IBM	2,752	2,813
ITOCHU CORP ADR	2,731	2,718
IVA INTL FUND CLASS I	365,574	361,068
J SAINSBURY SPN ADR NEW	2,779	2,659
JOHNSON & JOHNSON	51,091	68,693

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JP MORGAN CHASE	69,935	109,065
KDDI CORP ADR	2,151	2,154
KELLOGG CO	42,844	43,054
KEYCORP NEW	992	1,006
KINDER MORGAN INC	59,299	62,820
L BRANDS	2,173	2,164
LORILARD INC COM	1,271	1,267
MACY'S INC	2,905	2,937
MAINSTAY EPOCH GLOBAL EQUITY	300,743	371,882
MAINSTAY MKTFIELD FUND CLASS 1625	325,039	339,138
MARATHON OIL CORP	68,323	66,893
MCDONALDS	57,881	62,099
MERCK & CO	60,172	75,325
MICROSOFT CORP	59,046	92,777
MORGAN STANLEY SR NTF	920	940
MUNICH RE GROUP ADR	3,070	3,089
MYLAN INC	3,486	3,472
NETAPP INC	30,131	35,380
NIELSEN NV EUR	1,341	1,376
NEUBERGER BERMAN		
NIKE		
NIKON CORP PLC ADR	1,538	1,529
ORACLE CORP	109,157	141,179
OW FIXED INCOME FUND	225,748	223,555
OW LARGE CAP STAT FUND	221,300	223,879
OW REAL RETURN FUND	18,750	19,188
OW SML & MID CAP FD(FKA GLOBAL)	121,093	119,906
OW STRATEGIC OPP FD (FKA GLOBAL)	224,802	220,058
PEPSICO INC	30,469	36,908
PFIZERINC	3,110	3,063

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PG & E	47,178	43,100
PIMCO COMMODITY REAL RETURN	504	485
PIMCO INVEST GRADE		
PRAXAIR	79,408	96,222
PRUDENTIAL HIGH YIELD		
QEP RES INC		
QUALCOMM INC	2,950	2,970
REPUBLIC SERVICE	45,958	54,979
RSA INS GRP INC	1,258	1,135
SANOFI	2,611	2,681
SIMON PPTY GROUP INC	62,149	59,799
SINOPEC/CHINA PET ADR	2,514	2,465
SKANDINAVISKA ENSKILD ADR	489	526
SPECTRA ENERGY		
STRYKER CORP	49,415	71,383
SUMITOMO METAL MIN CO	955	982
SVENSKA CL AKTIBLGT ADR	2,406	2,466
SWATCH GROUP AG ADR	1,314	1,325
TARGET CORP	58,982	64,535
TELE2 AB ADR	2,302	2,268
TERADATA CORP DEL COM	39,260	34,572
THERMO FISHER SCIENTIFIC	3,191	3,340
TIM PARTICIPACOE ADR	1,420	1,443
TJX COS	55,734	86,354
TOKYO GAS ADR	1,572	1,577
TOTAL SA ADR	3,005	3,063
UNION PACIFIC	50,621	63,840
UNITED HEALTH GROUP	62,741	86,219
UNITED TECHNOLOGIES	76,001	109,248
US BANCORP	54,134	66,053

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VF CORP	33,592	60,096
VIACOM INC CL B NEW	825	879
VIRTUS EMERGING MARKETS	884,898	905,610
VISA INC	33,889	64,577
VOLKSWAGEN AG ADR	2,190	2,250
WALGREEN CO	30,830	54,855
WEIR GROUP PLC ADR	2,454	2,471
WELLS FARGO	65,520	90,346
WHARF HOLDINGS ADR	783	764
WILLIAMS CO	64,882	83,118
ZIMMER HLDGS	3,702	3,727

TY 2013 Investments Government Obligations Schedule

Name: THE AJL CHARITABLE FOUNDATION

EIN: 45-0677796

**US Government Securities - End of
Year Book Value:**

622,510

**US Government Securities - End of
Year Fair Market Value:**

597,148

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Investments - Other Schedule

Name: THE AJL CHARITABLE FOUNDATION

EIN: 45-0677796

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
AT MLP FUND LLC	AT COST	655,178	1,051,931

TY 2013 Legal Fees Schedule

Name: THE AJL CHARITABLE FOUNDATION

EIN: 45-0677796

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATLANTIC TRUST	5,838	2,919		2,919
OTHER	188	94		94

TY 2013 Other Decreases Schedule**Name:** THE AJL CHARITABLE FOUNDATION**EIN:** 45-0677796

Description	Amount
MLP NOT POSTED TO BOOKS	141,512
PENALTIES/INTEREST ON LATE FED TAXES	1,657
PENALTIES/INTEREST ON LATE CO TAXES	77
BOOK TAX DIFFERENCE	-6,417

TY 2013 Other Expenses Schedule**Name:** THE AJL CHARITABLE FOUNDATION**EIN:** 45-0677796

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
SUBSCRIPTION/MEMBERSHIP FEES	725			725
PORTFOLIO DEDUCTION	64	64		
AT MLP SEC 59 (E)(2)	1,246	1,246		
AT MLP LLC	42,935	42,935		
EXPENSE REIMBURSEMENT	-1,196	-1,196		

TY 2013 Other Income Schedule

Name: THE AJL CHARITABLE FOUNDATION

EIN: 45-0677796

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ATLANTIC TRUST	2,250	2,250	
AT MLP FUND LLC	1,603		
AT MLP FUND	17,057		17,057
AT MLP FUND 1231	-269		-269

TY 2013 Other Professional Fees Schedule

Name: THE AJL CHARITABLE FOUNDATION

EIN: 45-0677796

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISOR FEES	48,136	48,136		

TY 2013 Substantial Contributors Schedule

Name: THE AJL CHARITABLE FOUNDATION

EIN: 45-0677796

Name	Address
AMY LAWTON	PO BOX 3339 LITTLETON,CO 80161

TY 2013 Taxes Schedule**Name:** THE AJL CHARITABLE FOUNDATION**EIN:** 45-0677796

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ATLANTIC TRUST FOREIGN TAX	1,980	1,980		
AT MLP FOREIGN TAX	281	281		
FEDERAL TAXES PAID ON UBIT PY	4,876			
FEDERAL TAXES PAID ON UBIT EST	4,876			
STATE INCOME TAXES PAID ON UBIT	786	786		
STATE INCOME TAXES PAID UBIT EST	786	786		
FEDERAL TAXES PAID ON INVESTMENT	27,595			
FEDERAL TAXES ESTIMATE	27,595			

2013 Tax Information Statement

Page 8 of 42
Ref: DCO

Payer's Name and Address
ATLANTIC TRUST COMPANY, N.A.
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number: CO
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P O BOX 3339
LITTLETON, CO 80161

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
Short Term Sales Reported on 1099-B									
097023105	BOEING CO COM		BA						
55 0000	06/14/2013	02/15/2013	5,669 86	4,120 03	0 00	1,549 83	0 00	0 00	
14040H105	CAPITAL ONE FINL CORP COM		COF						
90 0000	06/14/2013	04/05/2013	5,531 31	4,889 25	0 00	642 06	0 00	0 00	
M22465104	CHECK POINT SOFTWARE TECH COM		CHKP						
320 0000	03/07/2013	Various	16,430 37	15,644 05	0 00	786 32	0 00	0 00	
M22465104	CHECK POINT SOFTWARE TECH COM		CHKP						
60 0000	06/14/2013	08/27/2012	2,942 34	2,931 70	0 00	10 64	0 00	0 00	
177376100	CITRIX SYS INC COM		CTXS						
45 0000	06/14/2013	06/06/2013	2,780 28	2,768 10	0 00	12 18	0 00	0 00	
125720105	CME GROUP INC COM		CME						
600 0000	02/28/2013	Various	35,858 11	31,150 18	0 00	4,707 93	0 00	0 00	
25490A309	DIRECTV COM		DTV						
65 0000	06/14/2013	03/07/2013	3,986 39	3,187 28	0 00	799 11	0 00	0 00	
256677105	DOLLAR GEN CORP NEW COM		DG						
105 0000	06/14/2013	06/04/2013	5,374 86	5,240 30	0 00	134 56	0 00	0 00	

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16/20/17 1:15:56:52

2013 Tax Information Statement

Page 9 of 42
Ref: DCO

Payer's Name and Address:
ATLANTIC TRUST COMPANY, N.A.
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number: CO
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums
Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
345370860	FORD MTR CO DEL COM PAR \$0 01		F						
1530 0000	01/07/2013	Various	20,468.02	19,117.05	0.00	1,350.97	0.00	0.00	
423074103	HEINZ H J CO COM		HNZ						
650 0000	06/03/2013	Various	47,065.67	35,701.70	0.00	11,363.97	0.00	0.00	
478160104	JOHNSON & JOHNSON COM		JNJ						
65 0000	06/14/2013	08/02/2012	5,547.00	4,447.27	0.00	1,099.73	0.00	0.00	
G5785G107	MALLINCKRODT PUB LTD CO SHS		MNK						
21.2500	07/29/2013	08/02/2012	982.41	814.91	0.00	167.50	0.00	0.00	
641224498	NEUBERGER BERMAN EQUITY INCOME FUND INS #1818		NBHIX						
169.2210	08/05/2013	Various	2,093.26	1,952.81	0.00	140.45	0.00	0.00	
654106103	NIKE INC CL B		NKE						
55 0000	04/05/2013	05/22/2012	3,233.62	2,982.92	0.00	250.70	0.00	0.00	
654106103	NIKE INC CL B		NKE						
315 0000	04/30/2013	Various	19,996.26	15,208.48	0.00	4,787.78	0.00	0.00	
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND INST #45		PCRIX						
1523.4530	12/02/2013	Various	8,455.16	10,102.88	0.00	-1,647.72	0.00	0.00	
722005816	PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I #56		PIGIX						
35465 1670	11/13/2013	Various	375,930.79	394,573.69	0.00	-18,642.90	0.00	0.00	

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2013 Tax Information Statement

Page 10 of 42

Payer's Name and Address:
ATLANTIC TRUST COMPANY, N A
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number:
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
AJL CHARITABLE FOUNDATION
C/O KATHRYN L MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

Ref: DCO

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
74440Y801	PRUDENTIAL HIGH YIELD FUND CLASS Z #408		PHYZX						
17361 1110	02/28/2013	Various	99,999 99	97 062 60	0 00	2,937 39	0 00	0 00	
74440Y801	PRUDENTIAL HIGH YIELD FUND CLASS Z #408		PHYZX						
39576 9270	03/13/2013	Various	228,754.64	220,829 14	0 00	7,925 50	0 00	0 00	
74733V100	QEP RES INC COM		QEP						
238 0000	11/13/2013	11/14/2012	7,784 49	6,574 53	0 00	1,209 96	0 00	0 00	
74733V100	QEP RES INC COM		QEP						
21 0000	11/13/2013	11/14/2012	691 03	580 11	0 00	110 92	0 00	0 00	
760759100	REPUBLIC SVCS INC COM		RSG						
524 0000	01/28/2013	03/14/2012	16,719 30	16,084 81	0 00	634 49	0 00	0 00	
87612E106	TARGET CORP COM		TGT						
380 0000	03/13/2013	Various	25,455.38	22,664 80	0 00	2,790 58	0 00	0 00	
88076W103	TERADATA CORP DEL COM		TDC						
65 0000	06/14/2013	05/08/2013	3,702 01	3,502 13	0 00	199 88	0 00	0 00	
902973304	US BANCORP DEL COM NEW		USB						
145 0000	06/14/2013	11/01/2012	5,100 02	4,878 26	0 00	221 76	0 00	0 00	
931422109	WALGREEN CO COM		WAG						
810 0000	01/09/2013	Various	31,092 43	27,592 80	0 00	3,499 63	0 00	0 00	

1EP20110 1 17 17 04 52



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2013 Tax Information Statement

Page 11 of 42
Ref: DCO

Payer's Name and Address:
ATLANTIC TRUST COMPANY, N.A.
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number: CO
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
969457100	WILLIAMS COS INC COM		WMB						
1075 0000	02/26/2013	Various	35,984.05	33,637.80	0.00	2,346.25	0.00	0.00	
Total Short Term Sales Reported on 1099-B			✓ 1,017,629.05 ✓	988,239.58 ✓	0.00	29,389.47	0.00	0.00	

Report on Form 8949, Part I, with Box A checked

Long Term Sales Reported on 1099-B

00817Y108	AETNA INC NEW COM		AET						
155 0000	06/14/2013	03/14/2012	9,423.99	7,095.90	0.00	2,328.09	0.00	0.00	
00817Y108	AETNA INC NEW COM		AET						
315 0000	07/02/2013	03/14/2012	19,765.01	14,420.70	0.00	5,344.31	0.00	0.00	
018581108	ALLIANCE DATA SYSTEMS CORP COM		ADS						
20 0000	06/14/2013	03/14/2012	3,584.33	2,481.86	0.00	1,102.47	0.00	0.00	
032511107	ANADARKO PETE CORP COM		APC						
95.0000	06/14/2013	03/14/2012	8,251.55	7,904.95	0.00	346.60	0.00	0.00	
037411105	APACHE CORP COM		APA						
85.0000	06/14/2013	03/14/2012	7,231.67	9,099.82	0.00	-1,868.15	0.00	0.00	
037411105	APACHE CORP COM		APA						
235 0000	10/28/2013	03/14/2012	21,244.39	25,158.33	0.00	-3,913.94	0.00	0.00	

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2013 Tax Information Statement

Page 12 of 42
Ref. DCO

Payer's Name and Address:
ATLANTIC TRUST COMPANY, N A
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number: CO
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums
Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
037833100	APPLE INC COM		AAPL						
25 0000	06/14/2013	03/14/2012	10,821 42	14,716 25	0 00	-3,894 83	0 00	0 00	
053015103	AUTOMATIC DATA PROCESSING INC COM		ADP						
125 0000	06/14/2013	03/14/2012	8,545 21	6,904 67	0 00	1,640 54	0 00	0 00	
060505104	BANK OF AMERICA CORP COM		BAC						
390 0000	06/14/2013	03/14/2012	5,114 72	3,439 80	0 00	1,674 92	0 00	0 00	
09247X101	BLACKROCK INC COM		BLK						
15 0000	06/14/2013	Various	4 071 67	3,034 61	0 00	1,037 06	0 00	0 00	
055921100	BMC SOFTWARE INC COM		BMC						
80 0000	06/14/2013	05/16/2012	3,606 33	3,509 17	0 00	97 16	0 00	0 00	
055921100	BMC SOFTWARE INC COM		BMC						
955 0000	09/11/2013	Various	44,168 75	37,617 75	0 00	6,551 00	0 00	0 00	
17275R102	CISCO SYS INC COM		CSCO						
330.0000	06/14/2013	03/14/2012	8,026 78	6,682 07	0 00	1,344 71	0 00	0 00	
17275R102	CISCO SYS INC COM		CSCO						
1220 0000	07/11/2013	03/14/2012	31,495.32	24,703 41	0 00	6,791 91	0 00	0 00	
172967424	CITIGROUP INC COM NEW		C						
85 0000	06/14/2013	03/14/2012	4,246 68	3,002 20	0.00	1,244 48	0 00	0 00	

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2013 Tax Information Statement

Page 13 of 42
Ref: DCO

Payer's Name and Address:
ATLANTIC TRUST COMPANY, N A.
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number: CO
State: (720)221-5026
Questions?
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
20030N101	COMCAST CORP CL A		CMCSA						
145 0000	06/14/2013	03/14/2012	5,712.90	4,336.57	0.00	1,376.33	0.00	0.00	
G2554F113	COVIDIEN PLC SHS		COV						
95 0000	06/14/2013	05/16/2012	6,216.70	5,175.60	0.00	1,041.10	0.00	0.00	
228368106	CROWN HLDGS INC COM		CCK						
130 0000	06/14/2013	03/14/2012	5,550.85	4,841.20	0.00	709.65	0.00	0.00	
126650100	CVS CAREMARK CORP COM		CVS						
90 0000	06/14/2013	Various	5,381.90	4,080.60	0.00	1,301.30	0.00	0.00	
235851102	DANAHER CORP COM		DHR						
115 0000	06/14/2013	03/14/2012	7,203.48	6,268.65	0.00	934.83	0.00	0.00	
268648102	E M C CORP MASS COM		EMC						
250 0000	06/14/2013	06/07/2012	6,245.69	6,170.75	0.00	74.94	0.00	0.00	
26884L109	EQT CORP COM		EQT						
45.0000	06/14/2013	03/14/2012	3,584.78	2,247.30	0.00	1,337.48	0.00	0.00	
30219G108	EXPRESS SCRIPTS HLDG CO COM		ESRX						
140 0000	06/14/2013	Various	8,740.74	7,904.12	0.00	836.62	0.00	0.00	
31620M106	FIDELITY NATL INFORMATION SVCS INC COM		FIS						
85 0000	06/14/2013	Various	3,762.89	2,769.87	0.00	993.02	0.00	0.00	

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2013 Tax Information Statement

Page 14 of 42

Payer's Name and Address:
ATLANTIC TRUST COMPANY, N.A.
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number:
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

Ref: DCO

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
337738108	FISERV INC COM		FISV						
70 0000	06/14/2013	03/14/2012	6,117 56	4,854 83	0 00	1,262 73	0 00	0 00	
345370860	FORD MTR CO DEL COM PAR \$0 01		F						
115 0000	06/14/2013	Various	1,777 87	1,183 35	0 00	594 52	0 00	0 00	
345370860	FORD MTR CO DEL COM PAR \$0 01		F						
1315 0000	08/08/2013	Various	22,231 52	12,405 10	0 00	9,826 42	0 00	0 00	
35671D857	FREEPORT-MCMORAN COPPER & GOLD INC CL B		FCX						
160 0000	06/14/2013	03/14/2012	4,805 22	6,092 80	0 00	-1,287 58	0 00	0 00	
369604103	GENERAL ELEC CO COM		GE						
375 0000	06/14/2013	03/14/2012	8,854 72	7,443 07	0 00	1,411 65	0 00	0 00	
38259P508	GOOGLE INC CL A		GOOG						
10 0000	06/14/2013	05/16/2012	8,782 74	6,296 30	0 00	2,486 44	0 00	0 00	
423074103	HEINZ H J CO COM		HNZ						
105 0000	04/23/2013	03/14/2012	7,596 66	5,554 50	0 00	2,042 16	0 00	0 00	
423074103	HEINZ H J CO COM		HNZ						
400 0000	06/03/2013	Various	28,963 49	21,878 72	0 00	7,084 77	0 00	0 00	
46625H100	JPMORGAN CHASE & CO COM		JPM						
160 0000	06/14/2013	03/14/2012	8,570 23	6,939 20	0 00	1,631 03	0 00	0 00	

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2013 Tax Information Statement

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ATLANTIC TRUST COMPANY, N.A
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number: CO
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.

Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
49456B101	KINDER MORGAN INC DEL COM		KMI						
150 0000	06/14/2013	06/06/2012	5,742.37	4,827.42	0.00	914.95	0.00	0.00	
G5785G107	MALLINCKRODT PUB LTD CO SHS		MNK						
.3750	07/18/2013	05/16/2012	15.68	14.29	0.00	1.39	0.00	0.00	
G5785G107	MALLINCKRODT PUB LTD CO SHS		MNK						
117 7500	07/29/2013	Various	5,443.68	4,419.37	0.00	1,024.31	0.00	0.00	
580135101	MCDONALDS CORP COM		MCD						
200 0000	05/08/2013	03/14/2012	20,208.87	19,454.00	0.00	754.87	0.00	0.00	
580135101	MCDONALDS CORP COM		MCD						
55.0000	06/14/2013	03/14/2012	5,449.31	5,349.85	0.00	99.46	0.00	0.00	
58933Y105	MERCK & CO INC NEW COM		MRK						
130.0000	06/14/2013	05/16/2012	6,237.59	4,988.10	0.00	1,249.49	0.00	0.00	
594918104	MICROSOFT CORP COM		MSFT						
260 0000	06/14/2013	Various	8,950.34	8,339.54	0.00	610.80	0.00	0.00	
594918104	MICROSOFT CORP COM		MSFT						
605.0000	11/25/2013	Various	22,765.68	18,216.01	0.00	4,549.67	0.00	0.00	
64110D104	NETAPP INC COM		NTAP						
70.0000	06/14/2013	03/14/2012	2,685.15	2,995.09	0.00	-309.94	0.00	0.00	

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2013 Tax Information Statement

Page 16 of 42

Ref: DCO

Payer's Name and Address.
ATLANTIC TRUST COMPANY, N.A.
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number. 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number: CO
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P O BOX 3339
LITTLETON, CO 80161

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums
Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
641224498	NEUBERGER BERMAN EQUITY INCOME FUND INS #1818		NBHIX						
26425 0030	08/05/2013	Various	326,877 29	300,000 00	0 00	26,877 29	0 00	0 00	
654106103	NIKE INC CL B		NKE						
290 0000	04/05/2013	03/14/2012	17,049 98	16,010 90	0.00	1,039 08	0 00	0 00	
68389X105	ORACLE CORP COM		ORCL						
260 0000	06/14/2013	03/14/2012	8,876 24	7,776 60	0 00	1,099 64	0 00	0 00	
713448108	PEPSICO INC COM		PEP						
270 0000	04/30/2013	03/14/2012	22,250 50	17,301.60	0 00	4,948 90	0 00	0 00	
713448108	PEPSICO INC COM		PEP						
40 0000	06/14/2013	05/21/2012	3,309 94	2,736 96	0.00	572 98	0 00	0 00	
69331C108	PG&E CORP COM		PCG						
90 0000	06/14/2013	05/22/2012	4,054 42	3,972 60	0 00	81 82	0 00	0 00	
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND INST #45		PCRIX						
17539 9300	07/05/2013	04/16/2012	96,995 81	115,061 94	0 00	-18,066 13	0 00	0 00	
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND INST #45		PCRIX						
543 2530	07/05/2013	04/16/2012	3,004 19	3,563 74	559.55	0 00	0 00	0 00	
722005667	PIMCO COMMODITY REALRETURN STRATEGY FUND INST #45		PCRIX						
59871 2080	12/02/2013	Various	332,285 20	392,497 31	0 00	-60,212 11	0 00	0 00	

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2013 Tax Information Statement

Page 17 of 42

Payer's Name and Address:

ATLANTIC TRUST COMPANY, N.A.
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number:

640142006

Recipient's Tax ID Number:

XX-XXX7796

Payer's Federal ID Number:

84-0591534

Payer's State ID Number:

CO

State:

Questions?

(720)221-5026

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

Ref: DCO

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)					
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)
722005816	PIMCO INVESTMENT GRADE CORP BOND FUND CLASS I #56		PIGIX					
12468.7240	11/13/2013	Various	132,168.48	132,221.36	0.00	-52.88	0.00	0.00
74005P104	PRAXAIR INC COM		PX					
65.0000	06/14/2013	03/14/2012	7,648.42	7,176.10	0.00	472.32	0.00	0.00
74733V100	QEP RES INC COM		QEP					
195.0000	06/14/2013	03/14/2012	5,727.05	5,987.10	0.00	-260.05	0.00	0.00
74733V100	QEP RES INC COM		QEP					
801.0000	11/12/2013	Various	25,821.55	24,356.93	0.00	1,464.62	0.00	0.00
74733V100	QEP RES INC COM		QEP					
17.0000	11/12/2013	11/07/2012	555.30	484.21	0.00	71.09	0.00	0.00
74733V100	QEP RES INC COM		QEP					
51.0000	11/12/2013	11/07/2012	1,655.43	1,452.62	0.00	202.81	0.00	0.00
74733V100	QEP RES INC COM		QEP					
209.0000	11/12/2013	11/07/2012	6,721.01	5,952.90	0.00	768.11	0.00	0.00
74733V100	QEP RES INC COM		QEP					
938.0000	11/13/2013	Various	30,680.04	25,629.54	0.00	5,050.50	0.00	0.00
760759100	REPUBLIC SVCS INC COM		RSG					
145.0000	06/14/2013	03/14/2012	4,901.37	4,450.95	0.00	450.42	0.00	0.00

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2013 Tax Information Statement

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Payer's Name and Address:
ATLANTIC TRUST COMPANY, N.A
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number: CO
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
AJL CHARITABLE FOUNDATION
C/O KATHRYN L MOHRBACHER
P O BOX 3339
LITTLETON, CO 80161

Ref. DCO

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
847560109	SPECTRA ENERGY CORP COM		SE						
125 0000	06/14/2013	03/14/2012	4,264 93	3,997 50	0 00	267 43	0 00	0 00	
847560109	SPECTRA ENERGY CORP COM		SE						
600 0000	08/08/2013	Various	21,161.74	18 700 10	0 00	2,461 64	0 00	0 00	
847560109	SPECTRA ENERGY CORP COM		SE						
905 0000	10/28/2013	Various	32,323 03	26,527 23	0 00	5,795 80	0 00	0 00	
863667101	STRYKER CORP COM		SYK						
80 0000	06/14/2013	03/14/2012	5,426 31	4,340 00	0 00	1,086 31	0 00	0 00	
87612E106	TARGET CORP COM		TGT						
85 0000	06/14/2013	03/14/2012	5,886 15	4,980 15	0 00	906 00	0 00	0 00	
872540109	TJX COS INC NEW COM		TJX						
25 0000	06/14/2013	05/22/2012	1,269 47	1,018 75	0 00	250 72	0 00	0 00	
907818108	UNION PAC CORP COM		UNP						
20 0000	06/14/2013	05/16/2012	3,170 54	2,249 20	0 00	921 34	0 00	0 00	
913017109	UNITED TECHNOLOGIES CORP COM		UTX						
80 0000	06/14/2013	03/14/2012	7,568 67	6,940 00	0 00	628 67	0 00	0 00	
91324P102	UNITEDHEALTH GROUP INC COM		UNH						
125 0000	06/14/2013	05/22/2012	8,002 82	7,003 75	0 00	999 07	0 00	0 00	

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2013 Tax Information Statement

Page 19 of 42
Ref: DCO

Payer's Name and Address:
ATLANTIC TRUST COMPANY, N.A.
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
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AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

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Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	
91324P102	UNITEDHEALTH GROUP INC COM		UNH						
300.0000	08/28/2013	Various	21,554.40	16,792.50	0.00	4,761.90	0.00	0.00	
918204108	VF CORP COM		VFC						
30.0000	06/14/2013	03/14/2012	5,641.40	4,405.59	0.00	1,235.81	0.00	0.00	
918204108	VF CORP COM		VFC						
105.0000	07/16/2013	Various	20,816.88	15,215.17	0.00	5,601.71	0.00	0.00	
92828T889	VIRTUS EMERGING MARKETS OPPORTUNITY FUND CL I		HIEMX						
34619 1890	09/19/2013	Various	350,000.00	337,357.52	0.00	12,642.48	0.00	0.00	
92826C839	VISA INC COM CL A		V						
30.0000	06/14/2013	05/16/2012	5,460.80	3,528.90	0.00	1,931.90	0.00	0.00	
931422109	WALGREEN CO COM		WAG						
80.0000	06/14/2013	03/14/2012	4,040.16	2,670.40	0.00	1,369.76	0.00	0.00	
949746101	WELLS FARGO & CO NEW COM		WFC						
220.0000	06/14/2013	03/14/2012	8,936.24	7,336.65	0.00	1,599.59	0.00	0.00	
949746101	WELLS FARGO & CO NEW COM		WFC						
535.0000	07/12/2013	03/14/2012	22,773.42	17,841.39	0.00	4,932.03	0.00	0.00	
969457100	WILLIAMS COS INC COM		WMB						
185.0000	06/14/2013	05/16/2012	6,173.73	5,705.40	0.00	468.33	0.00	0.00	
Total Long Term Sales Reported on 1099-B			✓ 1,982,249.34 ✓	✓ 1,908,061.25	559.55	74,747.64	0.00	0.00	

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TEP204170_1_26/26/15

2013 Tax Information Statement

Page 20 of 42

Payer's Name and Address
ATLANTIC TRUST COMPANY, N A
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number: CO
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address
AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

Ref: DCO

Reported to the IRS is Sales Price of stocks, bonds etc , less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)	

Report on Form 8949, Part II, with Box D checked

TEP004170_1_27 27 of 52



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2013 Tax Information Statement

Page 21 of 42

Ref: DCO

Payer's Name and Address:

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Account Number.

640142006

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☐ Corrected

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Recipient's Name and Address:

AJL CHARITABLE FOUNDATION
C/O KATHRYN L MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition	Stocks Bonds, etc (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Short Term Sales Reported on 1099-B											
617446HR3	MORGAN STANLEY GLBL NT 5 30% DTD 02/26/2003 DUE 03/01/2013		75000 0000	03/01/2013	03/16/2012	75,000 00	77,470 50	0 00	-2,470 50	0 00	0 00
78387GAL7	SBC COMMUNICATIONS INC GLOBAL NT 5 625% DTD 08/18/2004 DUE 06/15/2016		75000 0000	12/05/2013	08/01/2013	81,579 00	84,253 50	0 00	-2,674 50	0 00	0 00
Total Short Term Sales Reported on 1099-B						✓ 156,579 00 ✓	161,724 00 ✓	0.00	← -5,145 00	0 00	0 00
Report on Form 8949, Part I, with Box B checked											
Long Term Sales Reported on 1099-B											
24422EQQ5	DEERE JOHN CAP CORP MTNS FR 4 50% DTD 04/03/2008 DUE 04/03/2013		75000 0000	04/03/2013	09/09/1999	75,000.00	78,087 75	0 00	-3,087 75	0 00	0 00
3133XQU34	FEDERAL HOME LOAN BKS CONS BDS 3 625% DTD 04/18/2008 DUE 05/29/2013		100000 0000	05/29/2013	09/09/1999	100,000 00	103,934 00	0.00	3,934 00	0 00	0 00
38259P508	GOOGLE INC CL A	GOOG	24 0000	03/05/2013	03/31/2008	20,069 68	10,577 26	0 00	9,492 42	0 00	0 00

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This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

Page 22 of 42
Ref: DCO

Payer's Name and Address:
ATLANTIC TRUST COMPANY, N.A.
100 FEDERAL STREET, 37TH FLOOR
BOSTON, MA 02110

Account Number: 640142006
Recipient's Tax ID Number: XX-XXX7796
Payer's Federal ID Number: 84-0591534
Payer's State ID Number:
State: CO
Questions? (720)221-5026
☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:
AJL CHARITABLE FOUNDATION
C/O KATHRYN L. MOHRBACHER
P.O. BOX 3339
LITTLETON, CO 80161

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)	
(Box 1e)	(Box 1a)		(Box 2a)						
423074103	HEINZ H J CO COM		HNZ						
205 0000	04/23/2013	10/19/2010	14,831 57	9,963.36	0.00	4,868.21	0.00	0 00	
423074103	HEINZ H J CO COM		HNZ						
200 0000	06/03/2013	10/19/2010	14,481 75	9,720.36	0.00	4,761.39	0.00	0 00	
68389XAD7	ORACLE CORP 4 95% DTD 04/09/2008 DUE 04/15/2013								
75000.0000	04/15/2013	09/09/1999	75,000.00	78,684.68	0.00	-3,684 68	0.00	0 00	
931142BT9	WAL-MART STORES INC NT 4 55% DTD 04/29/2003 DUE 05/01/2013								
75000.0000	05/01/2013	09/09/1999	75,000 00	78,448.68	0 00	-3,448 68	0 00	0 00	
Total Long Term Sales Reported on 1099-B			374,383.00	369,416.09	0.00	4,966.91	0.00	0.00	

Report on Form 8949, Part II, with Box E checked

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