Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: The R. B. Nordick Foundation

A Employer identification number: 45-0442920

Number and street (or P O box number if mail is not delivered to street address): 675 12th Avenue N.E.

Room/suite:

B Telephone number: 701-282-8136

City or town, state or province, country, and ZIP or foreign postal code: West Fargo, ND 58078-3500

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 41,049,418.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis )*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) )

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| 1 | Contributions, gifts, grants, etc , received | | | N/A | |
| 2 | Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| 3 | Interest on savings and temporary cash investments | 1,901. | 1,901. | | Statement 1 |
| 4 | Dividends and interest from securities | 861,540. | 861,540. | | Statement 2 |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) | | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | <128,137.> | | | |
| b | Gross sales price for all assets on line 6a 9,562,733. | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | 0. | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less Cost of goods sold | | | | |
| c | Gross profit or (loss) | | | | |
| 11 | Other income | | | | |
| 12 | **Total** Add lines 1 through 11 | 735,304. | 863,441. | | |
| 13 | Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| 14 | Other employee salaries and wages | | | | |
| 15 | Pension plans, employee benefits | | | | |
| 16a | Legal fees | | | | |
| b | Accounting fees Stmt 3 | 1,850. | 0. | | 1,850. |
| c | Other professional fees Stmt 4 | 38,130. | 38,130. | | 0. |
| 17 | Interest | | | | |
| 18 | Taxes Stmt 5 | 9,800. | 0. | | 0. |
| 19 | Depreciation and depletion | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | 21,614. | 0. | | 21,614. |
| 22 | Printing and publications | | | | |
| 23 | Other expenses Stmt 6 | 15,258. | 14,076. | | 1,182. |
| 24 | **Total operating and administrative expenses** Add lines 13 through 23 | 86,652. | 52,206. | | 24,646. |
| 25 | Contributions, gifts, grants paid | 2,101,787. | | | 2,101,787. |
| 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 2,188,439. | 52,206. | | 2,126,433. |
| 27 | Subtract line 26 from line 12: | | | | |
| a | Excess of revenue over expenses and disbursements | <1,453,135.> | | | |
| b | **Net investment income** (if negative, enter -0-) | | 811,235. | | |
| c | **Adjusted net income** (if negative, enter -0-) | | | N/A | |

Revenue

Operating and Administrative Expenses

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| Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| **Assets** | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 7,815,570. | 8,908,728. | 8,908,728. |
| | 3 Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations Stmt 7 | 424,787. | 527,527. | 526,420. |
| | b Investments - corporate stock Stmt 8 | 4,204,107. | 500,000. | 513,019. |
| | c Investments - corporate bonds Stmt 9 | 5,683,433. | 4,328,337. | 4,337,379. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other Stmt 10 | 23,397,369. | 25,807,539. | 26,763,872. |
| | 14 Land, buildings, and equipment: basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 41,525,266. | 40,072,131. | 41,049,418. |
| **Liabilities** | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| **Net Assets or Fund Balances** | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31** | | | |
| | 27 Capital stock, trust principal, or current funds | 0. | 0. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 15,170,450. | 15,170,450. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 26,354,816. | 24,901,681. | |
| | 30 **Total net assets or fund balances** | 41,525,266. | 40,072,131. | |
| | 31 **Total liabilities and net assets/fund balances** | 41,525,266. | 40,072,131. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 41,525,266. |
| 2 | Enter amount from Part I, line 27a | 2 | <1,453,135.> |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 40,072,131. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 40,072,131. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | Publicly Traded Securities - Bell State | P | | |
| b | Lehman Bond Recovery | P | | |
| c | WorldCom Bond Recovery | P | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 9,551,920. | | 9,690,870. | <138,950.> |
| b | 10,760. | | | 10,760. |
| c | 53. | | | 53. |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | <138,950.> |
| b | | | | 10,760. |
| c | | | | 53. |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 <128,137.>

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2012 | 2,115,156. | 42,399,242. | .049887 |
| 2011 | 1,974,511. | 43,057,708. | .045857 |
| 2010 | 2,008,540. | 44,760,662. | .044873 |
| 2009 | 2,008,937. | 44,904,412. | .044738 |
| 2008 | 1,676,859. | 38,168,830. | .043933 |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) | 2 | .229288 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .045858 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 41,466,305. |
| 5 | Multiply line 4 by line 3 | 5 | 1,901,562. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 8,112. |
| 7 | Add lines 5 and 6 | 7 | 1,909,674. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 2,126,433. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| **1a** Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1.<br>Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**<br>**b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b<br>**c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | 1 | 8,112. |
| **2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| **3** Add lines 1 and 2 | | 3 | 8,112. |
| **4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| **5** **Tax based on investment income** Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 8,112. |
| **6** Credits/Payments: | | | |
| **a** 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a 10,390. | | |
| **b** Exempt foreign organizations - tax withheld at source | 6b | | |
| **c** Tax paid with application for extension of time to file (Form 8868) | 6c | | |
| **d** Backup withholding erroneously withheld | 6d | | |
| **7** Total credits and payments. Add lines 6a through 6d | | 7 | 10,390. |
| **8** Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | | 8 | |
| **9** **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | 9 | |
| **10** **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | 10 | 2,278. |
| **11** Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ | 2,278. **Refunded** ▶ | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| **1a** During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| **b** Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>*If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | 1b | | X |
| **c** Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| **d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>**(1)** On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| **e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| **2** Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities* | 2 | | X |
| **3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| **4a** Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| **b** If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| **5** Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| **6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>● By language in the governing instrument, or<br>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| **7** Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| **8a** Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>MN | | | |
| **b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| **9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| **10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | | |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ Douglas R. Geeslin Telephone no. ▶ 701-282-8136

Located at ▶ 675 12th Avenue N.E., West Fargo, ND ZIP+4 ▶ 58078-3500

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No<br>If "Yes," list the years ▶ ______ , ______ , ______ , ______ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.<br>▶ ______ , ______ , ______ , ______ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013 )* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☒ Yes ☐ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? — 5b Yes: X

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? See Statement 11 ☒ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53 4945-5(d)*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? — 6b No: X

*If "Yes" to 6b, file Form 8870*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A — 7b

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| Ralph B. Nordick<br>675 12th Avenue N.E.<br>West Fargo, ND 58078-3502 | President<br>5.00 | 0. | 0. | 0. |
| Douglas R. Geeslin<br>675 12th Avenue N.E.<br>West Fargo, ND 58078-3502 | Secretary/Treasurer<br>1.00 | 0. | 0. | 0. |
| Brett A. Nordick<br>675 12th Avenue N.E.<br>West Fargo, ND 58078-3502 | Vice President<br>10.00 | 0. | 0. | 0. |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

| | | |
|---|---|---|
| **Total** number of others receiving over $50,000 for professional services | ▶ | 0 |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | | Amount |
|---|---|---|
| 1 N/A | | |
| 2 | | |
| All other program-related investments. See instructions.<br>3 | | |
| **Total.** Add lines 1 through 3 | ▶ | 0. |

Form **990-PF** (2013)

## Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | | |
|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes: | | | |
| a | Average monthly fair market value of securities | | 1a | 33,245,224. |
| b | Average of monthly cash balances | | 1b | 8,852,548. |
| c | Fair market value of all other assets | | 1c | |
| d | **Total** (add lines 1a, b, and c) | | 1d | 42,097,772. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | 3 | 42,097,772. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | | 4 | 631,467. |
| 5 | **Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | 5 | 41,466,305. |
| 6 | **Minimum investment return** Enter 5% of line 5 | | 6 | 2,073,315. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | |
|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | 1 | 2,073,315. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a 8,112. | | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | 2b | | |
| c | Add lines 2a and 2b | | 2c | 8,112. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | 3 | 2,065,203. |
| 4 | Recoveries of amounts treated as qualifying distributions | | 4 | 0. |
| 5 | Add lines 3 and 4 | | 5 | 2,065,203. |
| 6 | Deduction from distributable amount (see instructions) | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | 7 | 2,065,203. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 2,126,433. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 2,126,433. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b | 5 | 8,112. |
| 6 | **Adjusted qualifying distributions** Subtract line 5 from line 4 | 6 | 2,118,321. |

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** **Undistributed Income** (see instructions)

| | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 2,065,203. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 2,109,262. | |
| b Total for prior years: ______ , ______ , ______ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | |
| a From 2008 | | | | |
| b From 2009 | | | | |
| c From 2010 | | | | |
| d From 2011 | | | | |
| e From 2012 | | | | |
| f **Total** of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4. ▶ $ 2,126,433. | | | | |
| a Applied to 2012, but not more than line 2a | | | 2,109,262. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2013 distributable amount | | | | 17,171. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 2,048,032. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2014** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2009 | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 | | | | |
| d Excess from 2012 | | | | |
| e Excess from 2013 | | | | |

**Part XIV** **Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | **(a)** 2013 | **(b)** 2012 | **(c)** 2011 | **(d)** 2010 | **(e) Total** |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon:<br>a "Assets" alternative test - enter:<br>(1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter:<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

Ralph B. Nordick

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| Awana<br>1 E. Bode Road<br>Streamwood, IL 60107 | None | PC | General Operating | 35,000. |
| Bethany International<br>6820 Auto Club Road, Suite J<br>Bloomington, MN 55438 | None | PC | General Operating | 35,000. |
| China Harvest<br>PO Box 12249<br>Wichita, KS 67277 | None | PC | General Operating | 80,000. |
| Church Growth Ministries<br>431 North Oakwood Road<br>Enid, OK 73703 | None | PC | General Operating | 40,000. |
| D.O.O.R. International<br>PO Box 602167<br>Charlotte, NC 28260-2167 | None | PC | General Operating | 88,000. |
| Total See continuation sheet(s) | | | ▶ 3a | 2,101,787. |
| b *Approved for future payment*<br>None | | | | |
| | | | | |
| | | | | |
| Total | | | ▶ 3b | 0. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 1,901. | |
| 4 Dividends and interest from securities | | | 14 | 861,540. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | <128,137.> | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 735,304. | 0. |

**13 Total** Add line 12, columns (b), (d), and (e) 13 735,304.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Signature of officer or trustee

11-17-14 Date

▶ Secretary-Treasurer Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self- employed | PTIN |
|---|---|---|---|---|
| MELISSA WHITE, CPA | MELISSA WHITE, CP | 11/17/14 | | P00851284 |

Firm's name ▶ EIDE BAILLY LLP

Firm's EIN ▶ 45-0250958

Firm's address ▶ 4310 17TH AVE S PO BOX 2545
FARGO, ND 58108-2545

Phone no. 701-239-8500

**Part XV** **Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| Disciple the Nations<br>PO Box 157<br>Trenton, KY 42286 | None | PC | General Operating | 87,800. |
| Emmanuel Ministries<br>415 3rd Streeet North, Suite 210<br>Waite Park, MN 56387 | None | PC | General Operating | 45,750. |
| Foreign Mission Foundation<br>10875 S.W. 89th Avenue<br>Tigard, OR 97223 | None | PC | General Operating | 378,500. |
| Missions International<br>PO Box 681299<br>Franklin, TN 37068 | None | PC | General Operating | 137,000. |
| New India Evangelistic Association<br>PO Box 1012<br>Harbor Springs, MI 49740 | None | PC | General Operating | 31,250. |
| Operation Mobilization<br>PO Box 444<br>Tyrone, GA 30290 | None | PC | General Operating | 410,250. |
| Overseas Missionary Fellowship<br>10 W. Dry Creek Circle<br>Littleton, CO 80120 | None | PC | General Operating | 10,000. |
| South Asia Advocates<br>PO Box 2993<br>Kirkland, WA 98083 | None | PC | General Operating | 160,000. |
| Strategic Asian Leadership Training<br>PO Box 38405<br>Charlotte, NC 28278 | None | PC | General Operating | 20,000. |
| T Net<br>7849 West Broadway<br>Brooklyn Park, MN 55445 | None | PC | General Operating | 81,000. |
| Total from continuation sheets | | | | 1,823,787. |

**Part XV Supplementary Information**

3 Grants and Contributions Paid During the Year (Continuation)

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| The Great Commission Foundation<br>4720 Cleveland Heights Blvd.<br>Lakeland, FL 33813. | None | PC | General Operating | 25,500. |
| Words of Life, Church of Glad Tidings<br>PO Box 1630<br>Yuba City, CA 95992 | None | PC | General Operating | 100,000. |
| Evangelism Resources<br>425 Epworth Avenue<br>Wilmore, KY 40390-1191 | None | PC | General Operating | 12,200. |
| National Christian Foundation<br>11625 Rainwater Drive, Suite 500<br>Alpharetta, GA 30009 | None | PC | General Operating | 225,537. |
| Outreach MAP<br>17621 E. 17th Street<br>Tustin, CA 92780 | None | PC | General Operating | 14,000. |
| Global Empowerment<br>1836 Rockbridge Road<br>Stone Mountain, GA 30087 | None | PC | General Operating | 13,000. |
| India Christian Ministries<br>1811 Spanish Trail<br>Irving, TX 75060 | None | PC | General Operating | 26,000. |
| Act Beyond<br>PO Box 860548<br>Plano, TX 75086 | None | PC | General Operating | 46,000. |
| | | | | |
| | | | | |
| **Total from continuation sheets** | | | | |

323631
05-01-13

## Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

| Source | (a) Revenue Per Books | (b) Net Investment Income | (c) Adjusted Net Income |
|---|---|---|---|
| Bell State | 1,901. | 1,901. | |
| Total to Part I, line 3 | 1,901. | 1,901. | |

## Form 990-PF Dividends and Interest from Securities Statement 2

| Source | Gross Amount | Capital Gains Dividends | (a) Revenue Per Books | (b) Net Investment Income | (c) Adjusted Net Income |
|---|---|---|---|---|---|
| Bell State | 231,319. | 0. | 231,319. | 231,319. | |
| Morgan Stanley | 541,523. | 0. | 541,523. | 541,523. | |
| Trust Co of America | 88,698. | 0. | 88,698. | 88,698. | |
| To Part I, line 4 | 861,540. | 0. | 861,540. | 861,540. | |

## Form 990-PF Accounting Fees Statement 3

| Description | (a) Expenses Per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---|---|---|---|---|
| Tax Preparation Fees | 1,850. | 0. | | 1,850. |
| To Form 990-PF, Pg 1, ln 16b | 1,850. | 0. | | 1,850. |

## Form 990-PF Other Professional Fees Statement 4

| Description | (a) Expenses Per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---|---|---|---|---|
| Investment Management Fees | 38,130. | 38,130. | | 0. |
| To Form 990-PF, Pg 1, ln 16c | 38,130. | 38,130. | | 0. |

## Form 990-PF Taxes Statement 5

| Description | (a) Expenses Per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---|---|---|---|---|
| Excise Tax | 9,800. | 0. | | 0. |
| To Form 990-PF, Pg 1, ln 18 | 9,800. | 0. | | 0. |

## Form 990-PF Other Expenses Statement 6

| Description | (a) Expenses Per Books | (b) Net Investment Income | (c) Adjusted Net Income | (d) Charitable Purposes |
|---|---|---|---|---|
| State of Minnesota Filing Fees | 25. | 0. | | 25. |
| Interest | 14,076. | 14,076. | | 0. |
| Bank Fees | 88. | 0. | | 88. |
| Philanthropy Roundtable | 1,000. | 0. | | 1,000. |
| Office Supplies | 69. | 0. | | 69. |
| To Form 990-PF, Pg 1, ln 23 | 15,258. | 14,076. | | 1,182. |

## Form 990-PF U.S. and State/City Government Obligations Statement 7

| Description | U.S. Gov't | Other Gov't | Book Value | Fair Market Value |
|---|---|---|---|---|
| Bell State Bank & Trust - Municipal Bonds | | X | 359,229. | 361,988. |
| Morgan Stanley - Municipal Bonds | | X | 168,298. | 164,432. |
| Total U.S. Government Obligations | | | | |
| Total State and Municipal Government Obligations | | | 527,527. | 526,420. |
| Total to Form 990-PF, Part II, line 10a | | | 527,527. | 526,420. |

## Form 990-PF Corporate Stock Statement 8

| Description | Book Value | Fair Market Value |
|---|---|---|
| Morgan Stanley - Corporate Bonds | 500,000. | 513,019. |
| Total to Form 990-PF, Part II, line 10b | 500,000. | 513,019. |

## Form 990-PF Corporate Bonds Statement 9

| Description | Book Value | Fair Market Value |
|---|---|---|
| Bell State Bank & Trust - US Agency | 206,832. | 202,996. |
| Bell State Bank & Trust - Corporate Bonds | 4,121,505. | 4,134,383. |
| Total to Form 990-PF, Part II, line 10c | 4,328,337. | 4,337,379. |

Form 990-PF Other Investments Statement 10

| Description | Valuation Method | Book Value | Fair Market Value |
|---|---|---|---|
| Bell State Bank & Trust - CD's | COST | 420,000. | 420,617. |
| Morgan Stanley - CD's | COST | 22,207,190. | 22,404,664. |
| Trust Co of America | COST | 3,180,349. | 3,938,591. |
| Total to Form 990-PF, Part II, line 13 | | 25,807,539. | 26,763,872. |

Form 990-PF

## Expenditure Responsibility Statement
Part VII-B, Line 5c

Statement 11

Grantee's Name

Foreign Mission Foundation

Grantee's Address

10875 S.W. 89th Avenue
Tigard, OR 97223

| Grant Amount | Date of Grant | Amount Expended |
|---|---|---|
| 378,500. | 05/31/13 | 378,500. |

Purpose of Grant

Purpose of Grant:
$62,500 Badavo Banjara Phozear Trust- MORE project
$119,000 CONCERN- Morningstar Foundation
$27,000 Logos Faith Foundation- Bibles & ministry tools
$45,000 Bhartiya Sewak Sangati - Youth conference & leader training
$75,000 BEAM - Bibles
$20,000 India Institute of Missiology- Translation and printing
$30,000 Gujarati Christians Ministry- Translation and printing

Dates of Reports by Grantee

January 23, 2014

Any Diversion by Grantee

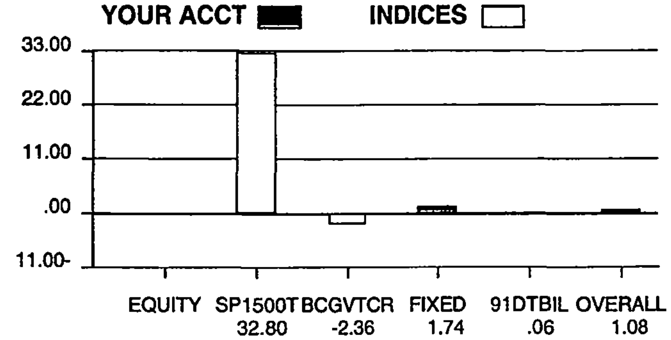
No

## RB NORDICK FOUNDATION AGENCY

**Account Number:**
**Statement Period:** **01/01/13 - 12/31/13**

## Performance Summary
Total Rate of Return Time Weighted Net of Fees

**YEAR TO DATE: 01/01/13 - 12/31/13**



| **Your Account** | **Last Month** | **Last 3 Mths** | **Last 12 Mths** |
|---|---|---|---|
| Equity | N/A | N/A | N/A |
| Fixed Income | -.08 | .53 | 1.74 |
| Cash Equivalent | .05 | .15 | .70 |
| Overall | -.11 | .33 | 1.08 |
| **Market Indices** | | | |
| Sp 1500 Tr | 2.54 | 10.30 | 32.80 |
| Barclay Cap Govt/Cr | -.62 | -.04 | -2.36 |
| 91 Day T Bill | .01 | .02 | .06 |

## Portfolio Investments

| **Asset Description** | **Units** | **Market Value**<br>**Cost** | **Est. Annual Income**<br>**Accruals** | **Current Yield** |
|---|---|---|---|---|
| **Cash and Equivalent** | | | | |
| Principal Cash | | 0.00<br>0.00 | 0.00 | 0.00% |
| Income Cash | | 0.00<br>0.00 | 0.00 | 0.00% |
| Personal Trust Money Market | 385,217.370 | 385,217.37<br>385,217.37 | 577.00<br>54.13 | 0.15% |
| **Total Cash and Equivalent** | | **$ 385,217.37**<br>**$ 385,217.37** | **577.00**<br>**54.13** | **0.15%** |
| **Time Deposits and Savings Bonds** | | | | |
| Blackridge Bank CD #402865<br>1.200% 06/27/2014 | 200,000.000 | 200,000.00<br>200,000.00 | 2,400.00<br>32.87 | 1.20% |
| Cornerstone Bank CD #2131066<br>1.000% 07/05/2014 | 220,000.000 | 220,000.00<br>220,000.00 | 2,200.00<br>530.41 | 1.00% |
| **Total Time Deposits and Savings Bonds** | | **$ 420,000.00**<br>**$ 420,000.00** | **4,600.00**<br>**563.28** | **1.10%** |
| **Fixed Income**<br>**US Agency** | | | | |
| Farmer Mac<br>3.050% 05/14/2014 | 200,000.000 | 202,200.00<br>206,832.00 | 6,100.00<br>796.38 | 3.02% |

## RB NORDICK FOUNDATION AGENCY

**Statement Period:** **01/01/13 - 12/31/13**

### Portfolio Investments

| Asset Description | Units | Market Value<br>Cost | Est. Annual Income<br>Accruals | Current Yield |
|---|---|---|---|---|
| **Total US Agency** | | **$ 202,200.00**<br>**$ 206,832.00** | **6,100.00**<br>**796.38** | **3.02%** |
| **Municipal Bond** | | | | |
| Bemidji MN Econ Dev-Ref<br>2.000% 02/01/2014 | 105,000.000 | 105,121.80<br>105,723.45 | 2,100.00<br>874.99 | 2.00% |
| Grand Forks ND Arpt-A<br>1.250% 06/01/2014 | 100,000.000 | 100,049.00<br>100,000.00 | 1,250.00<br>166.66 | 1.25% |
| Kaukauna WI Elec Txb-Bab<br>3.800% 12/15/2015 | 50,000.000 | 51,956.50<br>50,000.00 | 1,900.00<br>84.44 | 3.66% |
| Noblesville Indiana Multi Sch Bldg<br>3.730% 07/15/2016 | 50,000.000 | 52,038.00<br>51,986.00 | 1,865.00<br>859.97 | 3.58% |
| Saint Petersburg FL<br>2.500% 10/01/2014 | 50,000.000 | 50,524.50<br>51,520.00 | 1,250.00<br>312.49 | 2.47% |
| **Total Municipal Bond** | | **$ 359,689.80**<br>**$ 359,229.45** | **8,365.00**<br>**2,298.55** | **2.33%** |
| **Corporate Bond** | | | | |
| AGL Capital Corp<br>6.375% 07/15/2016 | 50,000.000 | 55,752.50<br>56,739.50 | 3,187.00<br>1,469.79 | 5.72% |
| Agribank FCB<br>9.125% 07/15/2019 | 25,000.000 | 31,965.75<br>30,125.00 | 2,281.00<br>1,051.91 | 7.14% |
| American Honda Finance<br>2.500% 09/21/2015 | 50,000.000 | 51,529.50<br>50,490.00 | 1,250.00<br>347.22 | 2.43% |
| Archer Daniels<br>8.375% 04/15/2017 | 90,000.000 | 109,178.10<br>110,624.40 | 7,537.00<br>1,591.25 | 6.90% |
| Assoc Banc-Corp<br>5.125% 03/28/2016 | 50,000.000 | 53,445.50<br>54,961.00 | 2,562.00<br>661.97 | 4.79% |
| Black & Decker<br>5.750% 11/15/2016 | 100,000.000 | 113,497.00<br>109,455.00 | 5,750.00<br>734.72 | 5.07% |
| Block Financial LLC<br>5.125% 10/30/2014 | 100,000.000 | 103,452.00<br>104,399.00 | 5,125.00<br>868.40 | 4.95% |
| Broadridge Financial<br>6.125% 06/01/2017 | 65,000.000 | 70,796.70<br>72,039.50 | 3,981.00<br>331.77 | 5.62% |
| CA Inc<br>6.125% 12/01/2014 | 100,000.000 | 104,604.00<br>112,036.00 | 6,125.00<br>510.41 | 5.86% |

## RB NORDICK FOUNDATION AGENCY

**Statement Period:** **01/01/13 - 12/31/13**

### Portfolio Investments

| Asset Description | Units | Market Value | Est. Annual Income | Current |
|---|---|---|---|---|
| | | Cost | Accruals | Yield |
| Capital One Bank USA | 35,000.000 | 44,487.45 | 3,080.00 | 6.92% |
| 8.800% 07/15/2019 | | 44,109.80 | 1,420.22 | |
| Cardinal Health Inc | 113,000.000 | 126,244.73 | 6,554.00 | 5.19% |
| 5.800% 10/15/2016 | | 132,414.53 | 1,383.62 | |
| Coca-Cola Hbc Finance | 50,000.000 | 53,412.00 | 2,750.00 | 5.15% |
| 5.500% 09/17/2015 | | 55,805.50 | 794.44 | |
| Comerica Inc | 50,000.000 | 51,896.00 | 1,500.00 | 2.89% |
| 3.000% 09/16/2015 | | 49,891.00 | 437.50 | |
| Corn Products International Inc | 100,000.000 | 110,079.00 | 6,000.00 | 5.45% |
| 6.000% 04/15/2017 | | 112,015.00 | 1,266.66 | |
| Coventry Health Care Inc | 100,000.000 | 105,604.00 | 6,125.00 | 5.80% |
| 6.125% 01/15/2015 | | 106,853.00 | 2,824.30 | |
| Cytec Industries Inc | 100,000.000 | 107,476.00 | 6,000.00 | 5.58% |
| 6.000% 10/01/2015 | | 110,282.00 | 1,500.00 | |
| Detroit Edison Company | 75,000.000 | 77,955.75 | 3,600.00 | 4.62% |
| 4.800% 02/15/2015 | | 79,236.00 | 1,360.00 | |
| Diamond Offshore Drill | 50,000.000 | 53,149.00 | 2,437.00 | 4.59% |
| 4.875% 07/01/2015 | | 53,379.00 | 1,218.74 | |
| Dun & Bradstreet Corp | 150,000.000 | 153,826.50 | 4,312.00 | 2.80% |
| 2.875% 11/15/2015 | | 154,599.00 | 551.04 | |
| Ecolab Inc | 90,000.000 | 94,293.00 | 2,700.00 | 2.86% |
| 3.000% 12/08/2016 | | 94,068.90 | 172.50 | |
| Entergy Texas Inc | 28,000.000 | 28,923.72 | 1,008.00 | 3.49% |
| 3.600% 06/01/2015 | | 29,211.56 | 84.00 | |
| Gatx Corp | 100,000.000 | 105,071.00 | 4,750.00 | 4.52% |
| 4.750% 05/15/2015 | | 105,535.00 | 606.94 | |
| Glencore Funding LLC | 100,000.000 | 101,438.00 | 6,000.00 | 5.91% |
| 6.000% 04/15/2014 | | 103,834.00 | 1,266.66 | |
| HSBC Finance Corp | 100,000.000 | 101,773.00 | 3,000.00 | 2.95% |
| 3.000% 09/15/2015 | | 100,000.00 | 883.33 | |
| Harley Davidson Financial Serv | 58,000.000 | 61,170.28 | 2,247.00 | 3.67% |
| 3.875% 03/15/2016 | | 61,822.20 | 661.76 | |
| Hyundai Capital Services | 50,000.000 | 53,196.00 | 2,187.00 | 4.11% |
| 4.375% 07/27/2016 | | 50,179.50 | 935.76 | |

## RB NORDICK FOUNDATION AGENCY

**Statement Period:** **01/01/13 - 12/31/13**

### Portfolio Investments

| Asset Description | Units | Market Value<br>Cost | Est. Annual Income<br>Accruals | Current Yield |
|---|---|---|---|---|
| Hyundai Capital America<br>4.000% 06/08/2017 | 50,000.000 | 52,647.00<br>52,002.50 | 2,000.00<br>127.77 | 3.80% |
| Vale Inco LTD<br>5.700% 10/15/2015 | 100,000.000 | 107,024.00<br>109,119.00 | 5,700.00<br>1,203.33 | 5.33% |
| Lowes Corp<br>5.250% 03/15/2016 | 90,000.000 | 98,106.30<br>98,604.30 | 4,725.00<br>1,391.25 | 4.82% |
| Manulife Financial Corp<br>3.400% 09/17/2015 | 100,000.000 | 104,093.00<br>103,677.00 | 3,400.00<br>982.22 | 3.27% |
| Macys Retail Hldgs Inc<br>5.750% 07/15/2014 | 55,000.000 | 56,471.80<br>56,605.45 | 3,162.00<br>1,458.26 | 5.60% |
| Orix Corp<br>5.000% 01/12/2016 | 75,000.000 | 79,806.00<br>74,862.00 | 3,750.00<br>1,760.41 | 4.70% |
| Pitney Bowes Inc<br>5.750% 09/15/2017 | 100,000.000 | 110,199.00<br>108,955.00 | 5,750.00<br>1,693.05 | 5.22% |
| Potash Corp<br>3.750% 09/30/2015 | 100,000.000 | 104,853.00<br>101,950.00 | 3,750.00<br>947.91 | 3.58% |
| Potomac Edison Co<br>5.125% 08/15/2015 | 100,000.000 | 105,959.00<br>106,750.00 | 5,125.00<br>1,936.11 | 4.84% |
| Praxair Inc<br>5.375% 11/01/2016 | 100,000.000 | 111,529.00<br>111,593.00 | 5,375.00<br>895.83 | 4.82% |
| Protective Life Corp<br>4.875% 11/01/2014 | 50,000.000 | 51,468.50<br>53,442.00 | 2,437.00<br>406.24 | 4.74% |
| Quest Diagnostic Inc<br>5 450% 11/01/2015 | 50,000.000 | 53,892.00<br>54,812.50 | 2,725.00<br>454.16 | 5.06% |
| Siemens Finance<br>5.750% 10/17/2016 | 75,000.000 | 84,510.75<br>82,764.75 | 4,312.00<br>886.45 | 5.10% |
| Suntrust Bank<br>5.000% 09/01/2015 | 75,000.000 | 79,462.50<br>79,880.25 | 3,750.00<br>1,249.99 | 4.72% |
| Ttx Co<br>5.000% 06/15/2017 | 25,000.000 | 26,989.25<br>26,906.25 | 1,250.00<br>55.55 | 4.63% |
| Texas Gas Transmission<br>4.600% 06/01/2015 | 45,000.000 | 47,209.50<br>47,688.30 | 2,070.00<br>172.50 | 4.38% |
| Thermo Electron Corp<br>5.000% 06/01/2015 | 100,000.000 | 105,904.00<br>111,435.00 | 5,000.00<br>416.66 | 4.72% |

## RB NORDICK FOUNDATION AGENCY

**Statement Period:** **01/01/13 - 12/31/13**

### Portfolio Investments

| Asset Description | Units | Market Value<br>Cost | Est. Annual Income<br>Accruals | Current Yield |
|---|---|---|---|---|
| Torchmark Corp<br>6.375% 06/15/2016 | 100,000.000 | 110,625.00<br>112,206.00 | 6,375.00<br>283.33 | 5.76% |
| Torchmark Corp<br>9.250% 06/15/2019 | 50,000.000 | 64,478.00<br>64,292.50 | 4,625.00<br>205.55 | 7.17% |
| Transatlantic Holdings<br>5.750% 12/14/2015 | 35,000.000 | 37,939.65<br>37,297.75 | 2,012.00<br>95.03 | 5.30% |
| Union Pacific Corp<br>7.000% 02/01/2016 | 100,000.000 | 111,724.00<br>113,000.00 | 7,000.00<br>2,916.66 | 6.27% |
| Unp Rr Co 2004 Pass Trst<br>5.214% 09/30/2014 | 50,000.000 | 51,312.50<br>54,056.00 | 2,607.00<br>658.99 | 5.08% |
| Wells Fargo & Co New Note<br>5.000% 11/15/2014 | 200,000.000 | 207,552.00<br>215,500.00 | 10,000.00<br>1,277.77 | 4.82% |
| **Total Corporate Bond** | | **$ 4,087,972.23<br>$ 4,121,504.94** | **198,948.00<br>46,409.93** | **4.87%** |
| **Total Fixed Income** | | **$ 4,649,862.03<br>$ 4,687,566.39** | **213,413.00<br>49,504.86** | **4.59%** |
| **Total Market Value** | | **$ 5,455,079.40<br>$ 5,492,783.76** | **218,590.00<br>50,122.27** | **4.01%** |
| **Total Market Value Plus Accruals** | | **$ 5,505,201.67** | | |

## Account Detail

### MUNICIPAL BONDS

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **FRISCO TE OID CTFS OBLIG B** | 12/29/04 | 135,000 000 | $104 164 | $140,621 40 | | | $7,830.00 | 5.79 |
| CUSIP 358775QV9 | | | $100 046 | $135,061 68 | **$135,063.45** | $1 77 LT | $2,958.00 | |
| *Unit Price $100.047, Coupon Rate 5 800%, Matures 02/15/2014, Int Semi-Annually Feb/Aug 15, Callable $100 00 on 01/31/14, Yield to Call 5 110%, Subject to Federal Tax, Moody AA1 S&P AA, Insurer:NATIONAL PUBLIC FINANC, Issued 10/01/01* | | | | | | | | |
| **ROCHESTER N Y GENL OBLIG-B** | 9/5/03 | 25,000 000 | 110.706 | 27,676 50 | | | 1,675.00 | 6.46 |
| CUSIP 771690N75 | | | 100 888 | 25,221 95 | **25,917.25** | 695 30 LT | 493.19 | |
| *Unit Price $103 669, Coupon Rate 6 700%, Matures 09/15/2014, Int Semi-Annually Mar/Sep 15, Yield to Maturity 1 450%, Subject to Federal Tax, Moody AA3 S&P A+; Insurer NATIONAL PUBLIC FINANC, Issued 01/15/96* | | | | | | | | |

| | Face Value<br>Percentage of Assets % | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| MUNICIPAL BONDS | **160,000.000** | **$168,297.90**<br>**$160,283.63** | **$160,980 70** | **$697.07 LT** | **$9,505.00**<br>**$3,451.19** | **5.90%** |
| TOTAL MUNICIPAL BONDS (incl accr int ) | **0.6%** | | **$164,431.89** | | | |

## CORPORATE FIXED INCOME

### CORPORATE BONDS

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **ESCROW LEHMAN BROTHERS HOLDINGS** | 10/3/13 | 100,000 000 | — | Pending Corp. Action | | | — | — |
| CUSIP 525ESCBM0 | | | — | Pending Corp Action | **$20,250.00** | N/A | — | |
| *Unit Price: $20 250, Coupon Rate 5 100%, Matures 01/28/2013, Interest Paid Monthly Dec 15, In Default, Issued 01/28/03* | | | | | | | | |
| **GENERAL ELEC CAPITAL CORP 1.5% FIX** | 5/17/12 | 500,000.000 | 100 000 | 500,000 00 | | | 7,250.00 | 1 47 |
| **5/30/13 FLOATS THEREFORE** | | | 100 000 | 500,000 00 | **492,165.00** | (7,835 00) LT | 604 16 | |
| CUSIP 36962G5Y6 | | | | | | | | |
| *Unit Price $98 433, Coupon Rate 1 450%, Matures 05/30/2017, Interest Paid Quarterly Aug 30, Yield to Maturity 1.926%; Floater; Moody A1 S&P AA+, Issued 05/30/12; Structured Products* | | | | | | | | |

| | Face Value<br>Percentage of Assets % | Orig. Total Cost<br>Adj Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| CORPORATE FIXED INCOME | **600,000.000** | **$500,000.00**<br>**$500,000.00** | **$512,415.00** | **$(7,835.00) LT** | **$7,250.00**<br>**$604.16** | **1.41%** |
| TOTAL CORPORATE FIXED INCOME (incl.accr.int.) | **2.0%** | | **$513,019.16** | | | |

CERTIFICATES OF DEPOSIT

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **MORGAN STANLEY BANK N.A. SALT LAKE** | 10/25/10 | 9,000 000 | $106 115 | $9,550 35 | | | $382 50 | 4 25 |
| **CITY UT CD** | | | $106.115 | $9,550.35 | **$9,000.00** | $(550.35) LT | $29 81 | |
| CUSIP 61747MTV3 | | | | | | | | |
| *Unit Price. $100 000, Coupon Rate 4 250%, Matures 01/02/2014, Interest Paid Monthly Mar 02, Yield to Maturity 4 250%, Issued 01/02/09; Maturity Value = $9,000.00* | | | | | | | | |
| **MIDFIRST BANK OKLAHOMA CITY OK CD** | 11/3/10 | 20,000 000 | 102.317 | 20,463 40 | | | | |
| CUSIP 59740JKV0 | | | 102.317 | 20,463 40 | 20,008 80 | (454 60) LT | | |
| | 7/18/13 | 68,000 000 | 101.059 | 68,720.17 | | | | |
| | | | 101.059 | 68,720 17 | 68,029 92 | (690 25) ST | | |
| | **Total** | 88,000 000 | | 89,183 57 | | | 2,200 00 | 2 49 |
| | | | | 89,183.57 | **88,038.72** | (454 60) LT<br>(690.25) ST | 1,022.28 | |
| *Unit Price $100 044, Coupon Rate 2 500%, Matures 01/13/2014, Int Semi-Annually Jan/Jul 13, Yield to Maturity 1 206%, Issued 01/13/10, Maturity Value = $88,000.00* | | | | | | | | |
| **SALLIE MAE BANK MURRAY UT CD** | 1/20/09 | 50,000.000 | 100.000 | 50,000.00 | | | | |
| CUSIP 795450HC2 | | | 100 000 | 50,000 00 | 50,069 50 | 69 50 LT | | |
| | 1/20/09 | 50,000 000 | 100 000 | 50,000 00 | | | | |
| | | | 100 000 | 50,000.00 | 50,069.50 | 69.50 LT | | |
| | **Total** | 100,000 000 | | 100,000 00 | | | 3,500.00 | 3.49 |
| | | | | 100,000.00 | **100,139.00** | 139 00 LT | 1,531.25 | |
| *Unit Price $100 139; Coupon Rate 3 500%, Matures 01/23/2014, Int Semi-Annually Jan/Jul 23; Yield to Maturity 1 235%, Issued 01/23/09, Maturity Value = $100,000 00* | | | | | | | | |
| **BANK NORTH CAROLINA NC CD** | 4/28/11 | 111,000 000 | 101.876 | 113,082.36 | | | 2,442.00 | 2.19 |
| CUSIP 06414QPW3 | | | 101 876 | 113,082.36 | **111,138.75** | (1,943 61) LT | 26.25 | |
| *Unit Price: $100.125, Coupon Rate 2 200%, Matures 01/27/2014, Interest Paid Monthly Feb 27, Issued 01/27/10, Maturity Value = $111,000 00* | | | | | | | | |
| **EAGLEBANK BETHESDA MD CD** | 1/11/11 | 150,000.000 | 100 000 | 150,000 00 | | | | |
| CUSIP 27002YAZ9 | | | 100 000 | 150,000.00 | 150,084.00 | 84 00 LT | | |
| | 1/11/11 | 100,000.000 | 100.000 | 100,000 00 | | | | |
| | | | 100 000 | 100,000 00 | 100,056 00 | 56 00 LT | | |
| | **Total** | 250,000.000 | | 250,000 00 | | | 2,625.00 | 1.04 |
| | | | | 250,000 00 | **250,140.00** | 140 00 LT | 1,127.03 | |
| *Unit Price. $100.056; Coupon Rate 1.050%, Matures 01/27/2014, Int. Semi-Annually Jan/Jul 26, Issued 01/26/11, Maturity Value = $250,000.00* | | | | | | | | |
| **SECURITY BK PULASKI CNTY MO CD** | 1/14/11 | 214,000 000 | 100.000 | 214,000.00 | | | 2,247 00 | 1.04 |
| CUSIP 814092AS1 | | | 100.000 | 214,000.00 | **214,126.26** | 126.26 LT | 30.20 | |
| *Unit Price. $100 059, Coupon Rate 1 050%, Matures 01/27/2014, Interest Paid Monthly Feb 26; Issued 01/26/11, Maturity Value = $214,000 00* | | | | | | | | |
| **AMERICAN EXPRESS BANK, FSB SALT LAKE** | 1/20/09 | 50,000.000 | 100.000 | 50,000.00 | | | | |
| **CITY UT CD** | | | 100.000 | 50,000.00 | 50,090.00 | 90.00 LT | | |
| CUSIP 02580VEN1 | 1/20/09 | 50,000.000 | 100 000 | 50,000.00 | | | | |
| | | | 100 000 | 50,000 00 | 50,090 00 | 90 00 LT | | |

Account Detail

THE R.B. NORDICK FOUNDATION
675 12TH AVE NE

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| | **Total** | 100,000 000 | | 100,000 00 | | | 3,500.00 | 3 49 |
| | | | | 100,000 00 | **100,180.00** | 180 00 LT | 1,483 69 | |
| *Unit Price $100 180, Coupon Rate 3 500%, Matures 01/28/2014, Int Semi-Annually Jan/Jul 28, Yield to Maturity 1 102%, Issued 01/28/09, Maturity Value = $100,000 00* | | | | | | | | |
| **CAPMARK BANK MIDVALE UT CD** | 1/20/09 | 50,000 000 | 100,000 | 50,000 00 | | | | |
| CUSIP 140653G26 | | | 100 000 | 50,000 00 | 50,090.00 | 90 00 LT | | |
| | 1/20/09 | 50,000 000 | 100 000 | 50,000 00 | | | | |
| | | | 100 000 | 50,000 00 | 50,090 00 | 90.00 LT | | |
| | **Total** | 100,000 000 | | 100,000 00 | | | 3,500.00 | 3 49 |
| | | | | 100,000 00 | **100,180.00** | 180 00 LT | 1,483.69 | |
| *Unit Price. $100.180, Coupon Rate 3.500%, Matures 01/28/2014, Int Semi-Annually Jan/Jul 28, Yield to Maturity 1.102%, Issued 01/28/09; Maturity Value = $100,000 00* | | | | | | | | |
| **COLE TAYLOR BANK CHICAGO IL CD** | 2/1/11 | 96,000 000 | 100.000 | 96,000 00 | | | 1,104 00 | 1.14 |
| CUSIP 193296YF1 | | | 100.000 | 96,000 00 | **96,095.04** | 95 04 LT | 152.99 | |
| *Unit Price· $100.099, Coupon Rate 1 150%, Matures 02/10/2014, Interest Paid Quarterly May 10, Issued 02/10/11, Maturity Value = $96,000 00* | | | | | | | | |
| **ABACUS FED SVGS BK NY CD** | 2/10/11 | 150,000 000 | 100 000 | 150,000 00 | | | | |
| CUSIP 00257TAB2 | | | 100.000 | 150,000 00 | 150,159.00 | 159.00 LT | | |
| | 2/10/11 | 100,000 000 | 100 000 | 100,000 00 | | | | |
| | | | 100 000 | 100,000 00 | 100,106 00 | 106 00 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 3,500.00 | 1 39 |
| | | | | 250,000 00 | **250,265.00** | 265 00 LT | 1,283.96 | |
| *Unit Price. $100.106; Coupon Rate 1 400%, Matures 02/18/2014, Int Semi-Annually Feb/Aug 18, Yield to Maturity 591%, Issued 02/16/11, Maturity Value = $250,000.00* | | | | | | | | |
| **BARCLAYS BANK DELAWARE WILMINGTON DE CD** | 8/23/11 | 96,000 000 | 103 059 | 98,936 64 | | | 2,208.00 | 2.29 |
| CUSIP 06740KDK0 | | | 103 059 | 98,936 64 | **96,225.60** | (2,711 04) LT | 810.00 | |
| *Unit Price $100 235; Coupon Rate 2 300%, Matures 02/18/2014, Int. Semi-Annually Feb/Aug 18; Yield to Maturity 506%, Issued 02/18/10; Maturity Value = $96,000.00* | | | | | | | | |
| **AURORA BANK (FORMERLY LEHMAN FSB) WILMINGTON DE CD** | 11/15/11 | 12,000 000 | 100 334 | 12,040.08 | | | 168.00 | 1.39 |
| CUSIP 05155TEB0 | | | 100.334 | 12,040.08 | **12,015.60** | (24.48) LT | 59.34 | |
| *Unit Price. $100 130, Coupon Rate 1.400%, Matures 02/24/2014, Int Semi-Annually Feb/Aug 23, Yield to Maturity 518%, Issued 02/23/11, Maturity Value = $12,000 00* | | | | | | | | |
| **DISCOVER BANK GREENWOOD DE CD** | 5/20/11 | 17,000 000 | 104.711 | 17,800.87 | | | 603.50 | 3.53 |
| CUSIP 25469JK32 | | | 104.711 | 17,800 87 | **17,073.61** | (727 26) LT | 206 63 | |
| *Unit Price: $100.433, Coupon Rate 3.550%, Matures 02/27/2014, Int. Semi-Annually Feb/Aug 27, Yield to Maturity .767%, Issued 02/27/09, Maturity Value = $17,000 00* | | | | | | | | |
| **BANCO POPULAR DE PUERTO RICO HATO REY PR CD** | 2/28/11 | 150,000 000 | 100 000 | 150,000 00 | | | | |
| CUSIP 05967EAN9 | | | 100.000 | 150,000.00 | 150,258.00 | 258 00 LT | | |
| | 2/28/11 | 100,000 000 | 100 000 | 100,000.00 | | | | |
| | | | 100 000 | 100,000 00 | 100,172 00 | 172 00 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 3,750 00 | 1 49 |
| | | | | 250,000 00 | **250,430.00** | 430 00 LT | 1,170 58 | |
| *Unit Price $100 172; Coupon Rate 1 500%, Matures 03/10/2014, Int Semi-Annually Mar/Sep 09, Yield to Maturity .606%, Issued 03/09/11, Maturity Value = $250,000 00* | | | | | | | | |

CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **BARCLAYS BANK DELAWARE WILMINGTON** | 8/24/11 | 10,000.000 | 102.164 | 10,216.40 | | | 220 00 | 2.19 |
| **DE CD** | | | 102.164 | 10,216 40 | **10,044.10** | (172 30) LT | 55.91 | |
| CUSIP 06740KDX2 | | | | | | | | |
| *Unit Price· $100 441; Coupon Rate 2 200%; Matures 03/31/2014, Int Semi-Annually Mar/Sep 30, Issued 03/31/10, Maturity Value = $10,000 00* | | | | | | | | |
| **WEBSTER BANK, NATIONAL ASSOCIATION** | 11/14/11 | 100,000 000 | 102 217 | 102,217 00 | | | 2,200 00 | 2 18 |
| **WATERBURY CT CD** | | | 102 217 | 102,217 00 | **100,464.00** | (1,753 00) LT | 559.11 | |
| CUSIP 94768NHU1 | | | | | | | | |
| *Unit Price. $100 464, Coupon Rate 2.200%, Matures 03/31/2014, Int Semi-Annually Mar/Sep 30, Issued 03/31/10, Maturity Value = $100,000 00* | | | | | | | | |
| **WEBSTER BK WATERBURY CD** | 1/10/11 | 98,000.000 | 101 405 | 99,376.90 | | | 2,009.00 | 2.04 |
| CUSIP 94768NHT4 | | | 101.405 | 99,376 90 | **98,348.88** | (1,028 02) LT | 507.76 | |
| *Unit Price. $100 356, Coupon Rate 2 050%, Matures 03/31/2014, Int Semi-Annually Mar/Sep 30, Yield to Maturity 625%, Issued 03/31/10, Maturity Value = $98,000.00* | | | | | | | | |
| **BARCLAYS BANK DELAWARE WILMINGTON** | 8/11/11 | 11,000.000 | 102.339 | 11,257.29 | | | 247 50 | 2.23 |
| **DE CD** | | | 102.339 | 11,257.29 | **11,051.70** | (205.59) LT | 57.79 | |
| CUSIP 06740KDZ7 | | | | | | | | |
| *Unit Price. $100.470, Coupon Rate 2 250%, Matures 04/07/2014, Int. Semi-Annually Apr/Oct 07, Issued 04/07/10, Maturity Value = $11,000 00* | | | | | | | | |
| **PARAGON COMMERCIAL BANK RALEIGH NC** | 1/27/12 | 20,000 000 | 102.483 | 20,496.60 | | | 460.00 | 2 28 |
| **CD** | | | 102 483 | 20,496.60 | **20,090.80** | (405.80) LT | 29 66 | |
| CUSIP 69911Q2Z7 | | | | | | | | |
| *Unit Price· $100.454; Coupon Rate 2.300%, Matures 04/07/2014; Interest Paid Monthly Jun 07, Yield to Maturity .602%, Issued 04/07/10; Maturity Value = $20,000.00* | | | | | | | | |
| **AMERICAN EXPRESS CENTURION BANK** | 4/25/12 | 10,000.000 | 104 570 | 10,457.00 | | | 350 00 | 3.47 |
| **SALT LAKE CITY UT CD** | | | 104 570 | 10,457 00 | **10,081.10** | (375.90) LT | 74.03 | |
| CUSIP 02586TLQ5 | | | | | | | | |
| *Unit Price: $100.811, Coupon Rate 3.500%; Matures 04/15/2014; Int. Semi-Annually Apr/Oct 15; Yield to Maturity 695%, Issued 04/15/09; Maturity Value = $10,000.00* | | | | | | | | |
| **BENEFICIAL MUT SVGS PA CD** | 4/8/11 | 150,000.000 | 100 000 | 150,000.00 | | | | |
| CUSIP 08173QBE5 | | | 100.000 | 150,000 00 | 150,418 50 | 418 50 LT | | |
| | 4/8/11 | 100,000 000 | 100 000 | 100,000 00 | | | | |
| | | | 100 000 | 100,000 00 | 100,279 00 | 279 00 LT | | |
| | **Total** | 250,000.000 | | 250,000 00 | | | 3,375.00 | 1.34 |
| | | | | 250,000 00 | **250,697.50** | 697 50 LT | 667.58 | |
| *Unit Price· $100.279; Coupon Rate 1 350%, Matures 04/21/2014; Int Semi-Annually Apr/Oct 20, Issued 04/20/11, Maturity Value = $250,000.00* | | | | | | | | |
| **ACACIA FED SVGS BK FALLS CHURCH VA** | 11/29/11 | 12,000 000 | 104 804 | 12,576 48 | | | 420.00 | 3 46 |
| **CD** | | | 104.804 | 12,576.48 | **12,124.56** | (451.92) LT | 55.69 | |
| CUSIP 003874SU9 | | | | | | | | |
| *Unit Price. $101.038, Coupon Rate 3 500%, Matures 05/13/2014, Int Semi-Annually May/Nov 13, Yield to Maturity 670%; Issued 05/13/09, Maturity Value = $12,000 00* | | | | | | | | |
| **DISCOVER BANK GREENWOOD DE CD** | 5/20/11 | 9,000 000 | 109.706 | 9,873 54 | | | 463 50 | 5 06 |
| CUSIP 25467RUM3 | | | 109 706 | 9,873 54 | **9,148.32** | (725.22) LT | 57.61 | |
| *Unit Price $101 648, Coupon Rate 5 150%, Matures 05/16/2014, Int. Semi-Annually May/Nov 16, Yield to Maturity .755%, Issued 05/16/07, Maturity Value = $9,000 00* | | | | | | | | |

Account Detail

THE R B NORDICK FOUNDATION
675 12TH AVE NE

CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **BARCLAYS BANK DELAWARE WILMINGTON** | 8/31/11 | 42,000 000 | 101 993 | 42,837.06 | | | 882 00 | 2 08 |
| **DE CD** | | | 101.993 | 42,837.06 | **42,279.30** | (557.76) LT | 102.33 | |
| CUSIP 06740KEM5 | | | | | | | | |
| *Unit Price. $100 665, Coupon Rate 2 100%, Matures 05/19/2014, Int. Semi-Annually May/Nov 19, Issued 05/19/10, Maturity Value = $42,000 00* | | | | | | | | |
| **PEOPLES SVGS BK IA CD** | 12/16/11 | 90,000 000 | 101.802 | 91,621.80 | | | 1,800.00 | 1 98 |
| CUSIP 71223PAQ9 | | | 101 802 | 91,621 80 | **90,657.90** | (963 90) LT | 169.06 | |
| *Unit Price $100 731, Coupon Rate 2 000%, Matures 05/27/2014, Int Semi-Annually May/Nov 27; Issued 05/27/10; Maturity Value = $90,000 00* | | | | | | | | |
| **PARKWAY BANK AND TRUST COMPANY** | 12/21/10 | 96,000.000 | 100.999 | 96,959 04 | | | 1,968 00 | 2.03 |
| **HARWOOD HEIGHTS IL CD** | | | 100.999 | 96,959.04 | **96,729.60** | (229 44) LT | 156.79 | |
| CUSIP 70153RGQ5 | | | | | | | | |
| *Unit Price. $100.760; Coupon Rate 2 050%, Matures 06/02/2014, Int. Semi-Annually Jun/Dec 02, Issued 06/02/10, Maturity Value = $96,000.00* | | | | | | | | |
| **PARAGON COMMERCIAL BANK RALEIGH NC** | 2/2/12 | 55,000 000 | 101.953 | 56,074 15 | | | 1,100 00 | 1.98 |
| **CD** | | | 101.953 | 56,074.15 | **55,446.60** | (627 55) LT | 79 80 | |
| CUSIP 69911Q3H6 | | | | | | | | |
| *Unit Price· $100 812, Coupon Rate 2 000%; Matures 06/04/2014, Interest Paid Monthly Aug 04, Issued 06/04/10; Maturity Value = $55,000 00* | | | | | | | | |
| **BANK NORTH CAROLINA NC CD** | 11/5/10 | 40,000.000 | 104 487 | 41,794.80 | | | 1,320 00 | 3.26 |
| CUSIP 06414QNR6 | | | 104.487 | 41,794 80 | **40,476.80** | (1,318 00) LT | 74 51 | |
| *Unit Price: $101 192, Coupon Rate 3 300%, Matures 06/10/2014, Interest Paid Monthly Jul 10, Yield to Maturity 602%, Issued 06/10/09, Maturity Value = $40,000.00* | | | | | | | | |
| **CIT BANK SALT LAKE CITY UT CD** | 2/28/11 | 15,000.000 | 106.258 | 15,938.70 | | | 555.00 | 3.64 |
| CUSIP 17284AGH5 | | | 106 258 | 15,938 70 | **15,218.70** | (720 00) LT | 10 67 | |
| *Unit Price. $101.458, Coupon Rate 3 700%, Matures 06/24/2014, Int Semi-Annually Jun/Dec 24, Yield to Maturity .662%, Issued 06/24/09, Maturity Value = $15,000 00* | | | | | | | | |
| **NATIONAL BK NEW YORK CITY FLUSHING** | 8/1/13 | 60,000 000 | 104.694 | 62,816 35 | | | 3,330.00 | 5 41 |
| **NY CD** | | | 104 694 | 62,816 35 | **61,448.40** | (1,367 95) ST | 17.90 | |
| CUSIP 634116BR7 | | | | | | | | |
| *Unit Price· $102 414, Coupon Rate 5.550%, Matures 06/30/2014, Interest Paid Monthly Sep 29, Yield to Maturity 686%, Issued 06/29/07, Maturity Value = $60,000.00* | | | | | | | | |
| **APPLE BANK MANHASSET NY CD** | 7/30/13 | 46,000.000 | 100.198 | 46,091 00 | | | 253 00 | 0 54 |
| CUSIP 037830ME3 | | | 100 198 | 46,091.00 | **46,096.14** | 5.14 ST | 123.06 | |
| *Unit Price· $100 209, Coupon Rate 0 550%, Matures 07/07/2014, Int Semi-Annually Jan/Jul 05; Issued 07/05/12, Maturity Value = $46,000 00* | | | | | | | | |
| **MIDFIRST BANK OKLAHOMA CITY OK CD** | 7/31/13 | 14,000.000 | 103.875 | 14,542.45 | | | 630 00 | 4.40 |
| CUSIP 59740P4T9 | | | 103.875 | 14,542.45 | **14,287.84** | (254 61) ST | 291.03 | |
| *Unit Price: $102 056; Coupon Rate 4 500%, Matures 07/14/2014, Int Semi-Annually Jan/Jul 14, Yield to Maturity 662%, Issued 07/14/04; Maturity Value = $14,000 00* | | | | | | | | |
| **CAPMARK BANK MIDVALE UT CD** | 7/18/13 | 100,000 000 | 102 901 | 102,901 20 | | | 3,350.00 | 3 30 |
| CUSIP 1406534R4 | | | 102 901 | 102,901 20 | **101,465.00** | (1,436 20) ST | 1,538 45 | |
| *Unit Price. $101 465, Coupon Rate 3.350%, Matures 07/15/2014, Int Semi-Annually Jan/Jul 15, Yield to Maturity 629%, Issued 07/15/09, Maturity Value = $100,000.00* | | | | | | | | |
| **FIRST BK HIGHLAND PK ILL CD** | 8/6/13 | 11,000.000 | 102 502 | 11,275.21 | | | 330 00 | 2 95 |
| CUSIP 3191405N5 | | | 102.502 | 11,275 21 | **11,162.58** | (112 63) ST | 7 98 | |
| *Unit Price: $101.478; Coupon Rate 3 000%, Matures 07/22/2014, Interest Paid Monthly Feb 22, Issued 07/22/09, Maturity Value = $11,000.00* | | | | | | | | |

Account Detail

THE R B NORDICK FOUNDATION
675 12TH AVE NE

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **FLUSHING SAVINGS BK NY CD 3.1%** | 4/25/12 | 72,000 000 | 104.642 | 75,342 24 | | | 2,232.00 | 3 05 |
| CUSIP 344030CM1 | | | 104.642 | 75,342.24 | **73,056.24** | (2,286.00) LT | 95.98 | |
| *Unit Price $101 467; Coupon Rate 3 100%, Matures 07/22/2014, Interest Paid Monthly Aug 15; Issued 07/22/09; Maturity Value = $72,000.00* | | | | | | | | |
| **BARCLAYS BANK DELAWARE WILMINGTON** | 9/8/11 | 7,000.000 | 105 363 | 7,375.41 | | | 227.50 | 3 20 |
| **DE CD** | | | 105 363 | 7,375.41 | **7,106.12** | (269.29) LT | 95 82 | |
| CUSIP 06740KAF4 | | | | | | | | |
| *Unit Price $101 516, Coupon Rate 3 250%, Matures 07/29/2014, Int Semi-Annually Jan/Jul 29; Yield to Maturity 621%, Issued 07/29/09, Maturity Value = $7,000 00* | | | | | | | | |
| **KEY BANK CD CLEVELAND OH CD** | 9/13/13 | 50,000 000 | 100.088 | 50,044.14 | | | 175.00 | 0.34 |
| CUSIP 49306SQX7 | | | 100.088 | 50,044 14 | **50,020.00** | (24 14) ST | 73.23 | |
| *Unit Price. $100.040, Coupon Rate 0 350%, Matures 07/30/2014, Int Semi-Annually Jan/Jul 30, Issued 01/30/13, Maturity Value = $50,000.00* | | | | | | | | |
| **LASALLE BK N A CHICAGO ILL CD** | 4/5/12 | 10,000 000 | 108.030 | 10,803.00 | | | 465 00 | 4.54 |
| CUSIP 51803UPM1 | | | 108 030 | 10,803.00 | **10,237.00** | (566 00) LT | 33.74 | |
| *Unit Price· $102 370, Coupon Rate 4 650%, Matures 08/04/2014, Interest Paid Monthly Sep 04, Yield to Maturity 643%, Issued 08/04/04; Maturity Value = $10,000 00* | | | | | | | | |
| **BARCLAYS BANK DELAWARE WILMINGTON** | 8/8/11 | 10,000.000 | 104 959 | 10,495.90 | | | 315.00 | 3.10 |
| **DE CD** | | | 104.959 | 10,495.90 | **10,150.70** | (345.20) LT | 126.68 | |
| CUSIP 06740KAL1 | | | | | | | | |
| *Unit Price $101 507, Coupon Rate 3 150%, Matures 08/05/2014, Int Semi-Annually Feb/Aug 05, Yield to Maturity 614%; Issued 08/05/09, Maturity Value = $10,000.00* | | | | | | | | |
| **MB FINANCIAL BANK, NA CHICAGO IL CD** | 8/15/13 | 24,000 000 | 102 558 | 24,613 85 | | | 720 00 | 2 95 |
| CUSIP 55266CHK5 | | | 102 558 | 24,613 85 | **24,380.64** | (233 21) ST | 50.32 | |
| *Unit Price· $101.586, Coupon Rate 3 000%, Matures 08/05/2014; Interest Paid Monthly Oct 05, Issued 08/05/09, Maturity Value = $24,000 00* | | | | | | | | |
| **ALLY BANK ( FORMERLY GMAC BK)** | 7/29/10 | 90,000.000 | 100 000 | 90,000 00 | | | | |
| **MIDVALE UT CD** | | | 100 000 | 90,000 00 | 90,864 00 | 864 00 LT | | |
| CUSIP 02004MD91 | 7/29/10 | 60,000.000 | 100 000 | 60,000.00 | | | | |
| | | | 100 000 | 60,000 00 | 60,576 00 | 576 00 LT | | |
| | **Total** | 150,000.000 | | 150,000.00 | | | 3,225.00 | 2.12 |
| | | | | 150,000 00 | **151,440.00** | 1,440 00 LT | 1,288 24 | |
| *Unit Price $100 960, Coupon Rate 2 150%, Matures 08/06/2014, Int Semi-Annually Feb/Aug 06, Yield to Maturity .542%, Issued 08/06/10, Maturity Value = $150,000.00* | | | | | | | | |
| **INTERVEST NATL BK NEW YORK NY CD** | 5/5/11 | 50,000.000 | 110.999 | 55,499.50 | | | 2,700.00 | 5.25 |
| CUSIP 46115DCC8 | | | 110 999 | 55,499.50 | **51,413.00** | (4,086.50) LT | 1,078.53 | |
| *Unit Price· $102.826, Coupon Rate 5 400%, Matures 08/06/2014, Int. Semi-Annually Feb/Aug 06, Yield to Maturity .666%, Issued 08/06/07, Maturity Value = $50,000 00* | | | | | | | | |
| **BARCLAYS BANK DELAWARE WILMINGTON** | 9/1/11 | 10,000 000 | 104 892 | 10,489.20 | | | 305 00 | 3.00 |
| **DE CD** | | | 104 892 | 10,489 20 | **10,149.40** | (339.80) LT | 116 86 | |
| CUSIP 06740KAQ0 | | | | | | | | |
| *Unit Price· $101.494, Coupon Rate 3 050%, Matures 08/12/2014, Int Semi-Annually Feb/Aug 12, Yield to Maturity 614%, Issued 08/12/09, Maturity Value = $10,000 00* | | | | | | | | |
| **BARCLAYS BANK DELAWARE WILMINGTON** | 9/6/11 | 30,000 000 | 105 222 | 31,566 60 | | | 945 00 | 3 09 |
| **DE CD** | | | 105.222 | 31,566.60 | **30,494.10** | (1,072 50) LT | 326.12 | |
| CUSIP 06740KAY3 | | | | | | | | |
| *Unit Price. $101.647, Coupon Rate 3 150%, Matures 08/26/2014, Int Semi-Annually Feb/Aug 26; Yield to Maturity 622%, Issued 08/26/09; Maturity Value = $30,000.00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **LAKE FOREST BANK AND TRUST COMPANY** | 12/1/11 | 15,000.000 | 104 142 | 15,621.30 | | | 450 00 | 2.95 |
| **LAKE FOREST IL CD** | | | 104 142 | 15,621 30 | **15,232.65** | (388 65) LT | 155 29 | |
| CUSIP 509685EB5 | | | | | | | | |
| *Unit Price: $101 551, Coupon Rate 3 000%, Matures 08/26/2014, Int Semi-Annually Feb/Aug 26, Yield to Maturity 619%; Issued 08/26/09; Maturity Value = $15,000 00* | | | | | | | | |
| **PRUDENTIAL BK & TR FSB HARTFORD CT** | 11/18/11 | 14,000 000 | 105.019 | 14,702 66 | | | 441.00 | 3.09 |
| **CD** | | | 105 019 | 14,702 66 | **14,230.58** | (472.08) LT | 152.19 | |
| CUSIP 74432LAJ5 | | | | | | | | |
| *Unit Price. $101.647, Coupon Rate 3.150%, Matures 08/26/2014, Int Semi-Annually Feb/Aug 26, Yield to Maturity .622%, Issued 08/26/09, Maturity Value = $14,000.00* | | | | | | | | |
| **CITIBANK, NATIONAL ASSOCIATION LAS** | 5/3/12 | 10,000.000 | 104.634 | 10,463 40 | | | 300.00 | 2.95 |
| **VEGAS NV CD** | | | 104 634 | 10,463 40 | **10,161.60** | (301 80) LT | 93.64 | |
| CUSIP 17312QKX6 | | | | | | | | |
| *Unit Price: $101 616, Coupon Rate 3.000%, Matures 09/09/2014, Int Semi-Annually Mar/Sep 09, Yield to Maturity 651%, Issued 09/09/09; Maturity Value = $10,000.00* | | | | | | | | |
| **PARK STERLING BANK CD** | 9/20/10 | 150,000 000 | 100 000 | 150,000 00 | | | | |
| CUSIP 70086WDY2 | | | 100 000 | 150,000 00 | 150,702 00 | 702 00 LT | | |
| | 9/20/10 | 100,000.000 | 100 000 | 100,000 00 | | | | |
| | | | 100 000 | 100,000 00 | 100,468.00 | 468 00 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 3,125 00 | 1.24 |
| | | | | 250,000 00 | **251,170.00** | 1,170.00 LT | 67 16 | |
| *Unit Price· $100.468, Coupon Rate 1.250%, Matures 09/23/2014, Interest Paid Monthly Oct 23, Yield to Maturity 606%, Issued 09/23/10, Maturity Value = $250,000 00* | | | | | | | | |
| **PARAGON COMMERCIAL BANK RALEIGH NC** | 9/21/10 | 50,000.000 | 100 000 | 50,000.00 | | | 775 00 | 1.53 |
| **CD** | | | 100 000 | 50,000 00 | **50,544.00** | 544 00 LT | 199 10 | |
| CUSIP 69911Q3K9 | | | | | | | | |
| *Unit Price: $101.088; Coupon Rate 1.550%, Matures 09/29/2014, Int Semi-Annually Mar/Sep 29, Issued 09/29/10; Maturity Value = $50,000 00* | | | | | | | | |
| **APPLE BANK MANHASSET NY CD** | 8/29/13 | 20,000 000 | 99.938 | 19,987.60 | | | 50 00 | 0.25 |
| CUSIP 037830L53 | | | 99.938 | 19,987.60 | **19,978.40** | (9.20) ST | 3.57 | |
| *Unit Price $99 892, Coupon Rate 0 250%, Matures 10/06/2014, Int Semi-Annually Jun/Dec 05, Issued 06/05/13, Maturity Value = $20,000 00* | | | | | | | | |
| **CITIBANK, NATIONAL ASSOCIATION LAS** | 8/29/13 | 20,000 000 | 103 084 | 20,616.82 | | | 630 00 | 3.09 |
| **VEGAS NV CD** | | | 103 084 | 20,616 82 | **20,379.60** | (237 22) ST | 147 11 | |
| CUSIP 17312QMH9 | | | | | | | | |
| *Unit Price· $101.898, Coupon Rate 3.150%, Matures 10/07/2014, Int. Semi-Annually Apr/Oct 07, Yield to Maturity 671%, Issued 10/07/09; Maturity Value = $20,000.00* | | | | | | | | |
| **FLUSHING SVGS BK N Y CD** | 9/22/10 | 155,000 000 | 100 000 | 155,000.00 | | | 2,480.00 | 1.58 |
| CUSIP 344030DH1 | | | 100.000 | 155,000.00 | **156,154.75** | 1,154 75 LT | 106 64 | |
| *Unit Price· $100.745; Coupon Rate 1 600%, Matures 10/07/2014, Interest Paid Monthly Oct 15, Yield to Maturity 627%, Issued 10/07/10; Maturity Value = $155,000 00* | | | | | | | | |
| **CITIBANK, NATIONAL ASSOCIATION LAS** | 8/29/13 | 20,000.000 | 103.065 | 20,613 00 | | | 620 00 | 3 04 |
| **VEGAS NV CD** | | | 103 065 | 20,613 00 | **20,380.60** | (232.40) ST | 132.85 | |
| CUSIP 17312QMQ9 | | | | | | | | |
| *Unit Price· $101.903, Coupon Rate 3.100%, Matures 10/14/2014, Int. Semi-Annually Apr/Oct 14, Yield to Maturity .674%, Issued 10/14/09; Maturity Value = $20,000.00* | | | | | | | | |

Account Detail

THE R.B. NORDICK FOUNDATION
675 12TH AVE NE

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **PARKWAY BANK AND TRUST COMPANY** | 9/27/10 | 138,000 000 | 100 000 | 138,000 00 | | | 1,932 00 | 1 39 |
| **HARWOOD HEIGHTS IL CD** | | | 100 000 | 138,000.00 | **138,830.76** | 830 76 LT | 419.30 | |
| CUSIP 70153RGX0 | | | | | | | | |
| *Unit Price. $100.602, Coupon Rate 1 400%, Matures 10/14/2014, Int Semi-Annually Apr/Oct 13, Yield to Maturity 633%, Issued 10/13/10, Maturity Value = $138,000 00* | | | | | | | | |
| **BARCLAYS BANK DELAWARE WILMINGTON** | 9/2/11 | 24,000.000 | 105.336 | 25,280.64 | | | 780.00 | 3.18 |
| **DE CD** | | | 105 336 | 25,280 64 | **24,495.12** | (785 52) LT | 152.14 | |
| CUSIP 06740KCE5 | | | | | | | | |
| *Unit Price: $102.063; Coupon Rate 3 250%, Matures 10/21/2014, Int Semi-Annually Apr/Oct 21, Yield to Maturity .683%, Issued 10/21/09, Maturity Value = $24,000 00* | | | | | | | | |
| **CITIBANK, NATIONAL ASSOCIATION LAS** | 7/18/13 | 50,000 000 | 103.250 | 51,625 00 | | | 1,575.00 | 3.08 |
| **VEGAS NV CD** | | | 103.250 | 51,625 00 | **51,013.50** | (611.50) ST | 276.92 | |
| CUSIP 17312QNE5 | | | | | | | | |
| *Unit Price $102 027, Coupon Rate 3 150%, Matures 10/28/2014, Int Semi-Annually Apr/Oct 28, Yield to Maturity 686%, Issued 10/28/09, Maturity Value = $50,000.00* | | | | | | | | |
| **BARCLAYS BANK DELAWARE WILMINGTON** | 8/8/11 | 10,000.000 | 104.867 | 10,486 70 | | | 320.00 | 3.13 |
| **DE CD** | | | 104 867 | 10,486 70 | **10,210.20** | (276 50) LT | 50.38 | |
| CUSIP 06740KCN5 | | | | | | | | |
| *Unit Price. $102.102, Coupon Rate 3 200%, Matures 11/04/2014, Int Semi-Annually May/Nov 04, Yield to Maturity .698%; Issued 11/04/09, Maturity Value = $10,000 00* | | | | | | | | |
| **FIRST BUSINESS BK MADISON WIS CD** | 10/27/10 | 121,000 000 | 100 000 | 121,000 00 | | | | |
| CUSIP 31938QVW1 | | | 100.000 | 121,000 00 | 122,362.46 | 1,362 46 LT | | |
| | 10/27/10 | 129,000.000 | 100 000 | 129,000 00 | | | | |
| | | | 100.000 | 129,000 00 | 130,452 54 | 1,452 54 LT | | |
| | **Total** | 250,000.000 | | 250,000.00 | | | 3,500 00 | 1.38 |
| | | | | 250,000.00 | **252,815.00** | 2,815 00 LT | 541.43 | |
| *Unit Price $101.126, Coupon Rate 1 400%, Matures 11/05/2014, Int Semi-Annually May/Nov 05, Issued 11/05/10, Maturity Value = $250,000 00* | | | | | | | | |
| **MB FINANCIAL BANK, NA CHICAGO IL CD** | 8/12/13 | 20,000 000 | 102 402 | 20,480 45 | | | 480.00 | 2.35 |
| CUSIP 55266CJD9 | | | 102.402 | 20,480 45 | **20,343.60** | (136 85) ST | 21 93 | |
| *Unit Price: $101.718; Coupon Rate 2 400%, Matures 11/14/2014, Interest Paid Monthly Jul 14, Issued 05/14/10, Maturity Value = $20,000.00* | | | | | | | | |
| **SOUTHWEST BANK OF ST LOUIS MO CD** | 12/27/10 | 25,000 000 | 109 189 | 27,297 25 | | | 1,250.00 | 4.82 |
| CUSIP 844776CX0 | | | 109.189 | 27,297.25 | **25,921.25** | (1,376.00) LT | 162.29 | |
| *Unit Price· $103.685; Coupon Rate 5 000%, Matures 11/14/2014; Int Semi-Annually May/Nov 14, Yield to Maturity 751%, Issued 11/14/07; Maturity Value = $25,000.00* | | | | | | | | |
| **FIRST BK HIGHLAND PK ILL CD** | 2/10/11 | 10,000 000 | 103 615 | 10,361 50 | | | 300 00 | 2.94 |
| CUSIP 3191406F1 | | | 103 615 | 10,361.50 | **10,203.70** | (157.80) LT | 8.87 | |
| *Unit Price: $102.037, Coupon Rate 3 000%, Matures 11/20/2014; Interest Paid Monthly Dec 20, Yield to Maturity 695%, Issued 11/20/09, Maturity Value = $10,000 00* | | | | | | | | |
| **BANCO SANTANDER PUERTO RICO SAN** | 11/9/11 | 110,000 000 | 100 000 | 110,000 00 | | | | |
| **JUAN PR CD** | | | 100 000 | 110,000 00 | 110,777.70 | 777.70 LT | | |
| CUSIP 059646RU5 | 11/10/11 | 51,000 000 | 100.000 | 51,000 00 | | | | |
| | | | 100 000 | 51,000 00 | 51,360 57 | 360.57 LT | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| | **Total** | 161,000 000 | | 161,000 00 | | | 2,254 00 | 1.39 |
| | | | | 161,000.00 | **162,138.27** | 1,138.27 LT | 242.83 | |
| *Unit Price $100 707, Coupon Rate 1.400%, Matures 11/24/2014, Int Semi-Annually May/Nov 22, Yield to Maturity 610%, Issued 11/22/11, Maturity Value = $161,000 00* | | | | | | | | |
| **NATIONAL REP BK CHICAGO ILL CD** | 11/2/10 | 10,000.000 | 104.280 | 10,428 00 | | | 300.00 | 2.93 |
| CUSIP 63736QLM1 | | | 104 280 | 10,428 00 | **10,206.30** | (221 70) LT | 4 83 | |
| *Unit Price $102 063, Coupon Rate 3 000%, Matures 11/25/2014, Interest Paid Monthly Dec 25, Yield to Maturity 701%, Issued 11/25/09, Maturity Value = $10,000.00* | | | | | | | | |
| **SOUTHWEST BANK OF ST. LOUIS SAINT** | 3/14/12 | 100,000 000 | 109.278 | 109,278 00 | | | | |
| **LOUIS MO CD** | | | 109 278 | 109,278 00 | 103,866 00 | (5,412 00) LT | | |
| CUSIP 844776CZ5 | 7/22/13 | 40,000 000 | 105 967 | 42,386 94 | | | | |
| | | | 105 967 | 42,386 94 | 41,546 40 | (840 54) ST | | |
| | **Total** | 140,000 000 | | 151,664 94 | | | 7,000 00 | 4 81 |
| | | | | 151,664 94 | **145,412.40** | (5,412 00) LT<br>(840 54) ST | 596.15 | |
| *Unit Price. $103.866, Coupon Rate 5.000%, Matures 12/01/2014, Int Semi-Annually May/Nov 30, Yield to Maturity .773%, Issued 11/30/07, Maturity Value = $140,000.00* | | | | | | | | |
| **AMERICAN EXPRESS BANK, FSB SALT LAKE** | 7/24/13 | 10,000.000 | 103.283 | 10,328 26 | | | 300.00 | 2.93 |
| **CITY UT CD** | | | 103 283 | 10,328.26 | **10,209.20** | (119.06) ST | 23.90 | |
| CUSIP 02580VE76 | | | | | | | | |
| *Unit Price. $102 092, Coupon Rate 3 000%, Matures 12/02/2014, Int Semi-Annually Jun/Dec 02, Yield to Maturity 720%; Issued 12/02/09, Maturity Value = $10,000 00* | | | | | | | | |
| **CAPITAL ONE BK US NATL ASN GLEN** | 8/1/13 | 78,000 000 | 105 756 | 82,489 68 | | | 3,666.00 | 4.53 |
| **ALLEN VA CD** | | | 105 756 | 82,489 68 | **80,847.00** | (1,642 68) ST | 261 85 | |
| CUSIP 14041AUP2 | | | | | | | | |
| *Unit Price $103 650, Coupon Rate 4 700%, Matures 12/05/2014, Int. Semi-Annually Jun/Dec 05, Yield to Maturity 756%, Issued 12/05/07, Maturity Value = $78,000 00* | | | | | | | | |
| **LEADER BK NATL ASSN CD** | 11/24/10 | 150,000 000 | 100 000 | 150,000 00 | | | | |
| CUSIP 52168UBC5 | | | 100.000 | 150,000 00 | 150,726 00 | 726.00 LT | | |
| | 11/24/10 | 100,000.000 | 100 000 | 100,000 00 | | | | |
| | | | 100.000 | 100,000.00 | 100,484 00 | 484.00 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 3,000 00 | 1.19 |
| | | | | 250,000.00 | **251,210.00** | 1,210.00 LT | 120 96 | |
| *Unit Price $100 484; Coupon Rate 1 200%, Matures 12/16/2014; Interest Paid Monthly Jan 16, Yield to Maturity 694%, Issued 12/16/10, Maturity Value = $250,000 00* | | | | | | | | |
| **CITIBANK NATL ASSN LAS VEGAS NEV CD** | 2/19/13 | 37,000 000 | 104 807 | 38,778 72 | | | 1,165.50 | 3.07 |
| CUSIP 17312QQJ1 | | | 104 807 | 38,778.72 | **37,860.25** | (918.47) ST | 43 86 | |
| *Unit Price. $102.325; Coupon Rate 3 150%, Matures 12/17/2014, Interest Paid Monthly Nov 17, Yield to Maturity 723%; Issued 12/17/09, Maturity Value = $37,000 00* | | | | | | | | |
| **AMERICAN EXPRESS CENTURION BANK** | 4/9/12 | 10,000 000 | 104.639 | 10,463.90 | | | 300.00 | 2.93 |
| **SALT LAKE CITY UT CD** | | | 104.639 | 10,463 90 | **10,220.50** | (243 40) LT | 6 59 | |
| CUSIP 02586T7L2 | | | | | | | | |
| *Unit Price $102 205, Coupon Rate 3 000%, Matures 12/23/2014, Int. Semi-Annually Jun/Dec 23, Yield to Maturity .737%, Issued 12/23/09, Maturity Value = $10,000.00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **DISCOVER BANK GREENWOOD DE CD** | 5/17/11 | 15,000 000 | 103 633 | 15,544 95 | | | 450.00 | 2.93 |
| CUSIP 254670WJ7 | | | 103 633 | 15,544 95 | **15,330.75** | (214 20) LT | 9 89 | |
| *Unit Price $102 205, Coupon Rate 3 000%, Matures 12/23/2014, Int Semi-Annually Jun/Dec 23, Yield to Maturity 737%, Issued 12/23/09, Maturity Value = $15,000 00* | | | | | | | | |
| **CITIBANK, NATIONAL ASSOCIATION LAS** | 10/25/11 | 13,000.000 | 104 506 | 13,585 78 | | | 390 00 | 2.93 |
| **VEGAS NV CD** | | | 104 506 | 13,585.78 | **13,299.78** | (286.00) LT | 188.64 | |
| CUSIP 17312QRC5 | | | | | | | | |
| *Unit Price· $102 306, Coupon Rate 3 000%, Matures 01/06/2015, Int Semi-Annually Jan/Jul 06, Yield to Maturity 715%, Issued 01/06/10, Maturity Value = $13,000.00* | | | | | | | | |
| **MIDFIRST BANK OKLAHOMA CITY CD** | 10/25/10 | 50,000.000 | 104.416 | 52,208 00 | | | | |
| CUSIP 59740JJY6 | | | 104 416 | 52,208 00 | 51,153 00 | (1,055 00) LT | | |
| | 8/22/13 | 23,000 000 | 103 378 | 23,776.85 | | | | |
| | | | 103 378 | 23,776 85 | 23,530.38 | (246 47) ST | | |
| | **Total** | 73,000 000 | | 75,984.85 | | | 2,190.00 | 2.93 |
| | | | | 75,984.85 | **74,683.38** | (1,055 00) LT | 1,059.29 | |
| | | | | | | (246 47) ST | | |
| *Unit Price: $102 306, Coupon Rate 3 000%, Matures 01/06/2015, Int Semi-Annually Jan/Jul 06, Yield to Maturity .715%, Issued 01/06/10, Maturity Value = $73,000 00* | | | | | | | | |
| **BANK NORTH CAROLINA NC CD** | 4/20/11 | 99,000.000 | 103 400 | 102,366 00 | | | 2,722.50 | 2.68 |
| CUSIP 06414QPR4 | | | 103 400 | 102,366.00 | **101,319.57** | (1,046.43) LT | 168 27 | |
| *Unit Price. $102.343, Coupon Rate 2 750%, Matures 01/08/2015, Interest Paid Monthly Feb 08, Issued 01/08/10; Maturity Value = $99,000.00* | | | | | | | | |
| **HERITAGE BANK WOOD RIVER NE CD** | 1/6/11 | 150,000 000 | 100 000 | 150,000 00 | | | | |
| CUSIP 42722HCA7 | | | 100.000 | 150,000.00 | 151,969.50 | 1,969 50 LT | | |
| | 1/6/11 | 100,000 000 | 100 000 | 100,000 00 | | | | |
| | | | 100 000 | 100,000 00 | 101,313.00 | 1,313 00 LT | | |
| | **Total** | 250,000 000 | | 250,000.00 | | | 3,750 00 | 1.48 |
| | | | | 250,000.00 | **253,282.50** | 3,282.50 LT | 1,752.71 | |
| *Unit Price· $101.313; Coupon Rate 1.500%, Matures 01/12/2015; Int. Semi-Annually Jan/Jul 12, Issued 01/12/11; Maturity Value = $250,000.00* | | | | | | | | |
| **CITIBANK, NATIONAL ASSOCIATION LAS** | 2/19/13 | 29,000.000 | 104.582 | 30,328.77 | | | | |
| **VEGAS NV CD** | | | 104 582 | 30,328.77 | 29,659.46 | (669 31) ST | | |
| CUSIP 17312QRK7 | 7/22/13 | 26,000 000 | 103 404 | 26,885 01 | | | | |
| | | | 103.404 | 26,885.01 | 26,591.24 | (293.77) ST | | |
| | **Total** | 55,000.000 | | 57,213 78 | | | 1,622 50 | 2.88 |
| | | | | 57,213 78 | **56,250.70** | (963 08) ST | 753 93 | |
| *Unit Price: $102 274; Coupon Rate 2.950%, Matures 01/13/2015, Int. Semi-Annually Jan/Jul 13, Yield to Maturity .738%, Issued 01/13/10, Maturity Value = $55,000 00* | | | | | | | | |
| **CAPITAL ONE BANK GLEN ALLEN VA CD** | 2/6/13 | 14,000 000 | 108 063 | 15,128 82 | | | 679.00 | 4.65 |
| CUSIP 14041AXE4 | | | 108.063 | 15,128 82 | **14,592.48** | (536 34) ST | 309.97 | |
| *Unit Price. $104 232; Coupon Rate 4 850%, Matures 01/16/2015, Int Semi-Annually Jan/Jul 16, Yield to Maturity .765%, Issued 01/16/08, Maturity Value = $14,000 00* | | | | | | | | |

Account Detail

THE R B NORDICK FOUNDATION
675 12TH AVE NE

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **CITIBANK, NATIONAL ASSOCIATION LAS** | 2/13/13 | 30,000 000 | 104 446 | 31,333 69 | | | 870.00 | 2.83 |
| **VEGAS NV CD** | | | 104.446 | 31,333 69 | **30,706.50** | (627.19) ST | 387.71 | |
| CUSIP 17312QRS0 | | | | | | | | |
| *Unit Price· $102.355, Coupon Rate 2 900%, Matures 01/20/2015; Int Semi-Annually Jan/Jul 20, Yield to Maturity 652%, Issued 01/20/10, Maturity Value = $30,000 00* | | | | | | | | |
| **STAR FINL BK ANDERSON IN CD** | 1/5/11 | 20,000 000 | 100.000 | 20,000 00 | | | 300.00 | 1.48 |
| CUSIP 85512RAT9 | | | 100 000 | 20,000 00 | **20,267.20** | 267 20 LT | 134.51 | |
| *Unit Price $101.336, Coupon Rate 1 500%, Matures 01/20/2015, Int Semi-Annually Jan/Jul 19, Issued 01/19/11, Maturity Value = $20,000.00* | | | | | | | | |
| **INVESTORS COMMUNITY BANK** | 1/18/11 | 150,000 000 | 100.000 | 150,000.00 | | | | |
| **MANITOWOC WI CD** | | | 100.000 | 150,000 00 | 152,010.00 | 2,010 00 LT | | |
| CUSIP 46147UNG1 | 1/18/11 | 100,000 000 | 100 000 | 100,000 00 | | | | |
| | | | 100 000 | 100,000 00 | 101,340 00 | 1,340 00 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 3,750 00 | 1 48 |
| | | | | 250,000 00 | **253,350.00** | 3,350 00 LT | 100 80 | |
| *Unit Price $101.340, Coupon Rate 1 500%, Matures 01/21/2015, Interest Paid Monthly Feb 21, Issued 01/21/11; Maturity Value = $250,000 00* | | | | | | | | |
| **NATIONAL REP BK CHICAGO ILL CD** | 4/19/11 | 10,000 000 | 104.157 | 10,415 70 | | | 295.00 | 2.88 |
| CUSIP 63736QMB4 | | | 104.157 | 10,415 70 | **10,237.40** | (178.30) LT | 7 92 | |
| *Unit Price: $102.374, Coupon Rate 2 950%, Matures 01/21/2015, Interest Paid Monthly Feb 21, Yield to Maturity 690%, Issued 01/21/10; Maturity Value = $10,000 00* | | | | | | | | |
| **CAPITAL ONE BANK GLEN ALLEN VA CD** | 11/19/10 | 15,000.000 | 107.836 | 16,175 40 | | | 712.50 | 4.55 |
| CUSIP 14041AXU8 | | | 107 836 | 16,175.40 | **15,630.30** | (545.10) LT | 311.71 | |
| *Unit Price. $104.202, Coupon Rate 4 750%, Matures 01/23/2015, Int. Semi-Annually Jan/Jul 23, Yield to Maturity 767%, Issued 01/23/08, Maturity Value = $15,000.00* | | | | | | | | |
| **FIRST RESOURCE BANK EXTON PA CD** | 1/12/11 | 150,000 000 | 100 000 | 150,000 00 | | | | |
| CUSIP 336177AJ9 | | | 100 000 | 150,000.00 | 152,032.50 | 2,032.50 LT | | |
| | 1/12/11 | 100,000 000 | 100 000 | 100,000 00 | | | | |
| | | | 100 000 | 100,000 00 | 101,355 00 | 1,355 00 LT | | |
| | **Total** | 250,000 000 | | 250,000.00 | | | 3,750 00 | 1 47 |
| | | | | 250,000 00 | **253,387.50** | 3,387 50 LT | 60.48 | |
| *Unit Price $101.355, Coupon Rate 1 500%, Matures 01/26/2015, Interest Paid Monthly May 25, Issued 01/25/11, Maturity Value = $250,000 00* | | | | | | | | |
| **JP MORGAN (FORMER WAMU/PVN** | 1/12/05 | 50,000 000 | 100 000 | 50,000 00 | | | | |
| **HENDERSON NEV) CD** | | | 100 000 | 50,000 00 | 50,099 50 | 99 50 LT | | |
| CUSIP 74407Y2G3 | 1/12/05 | 50,000 000 | 100 000 | 50,000.00 | | | | |
| | | | 100.000 | 50,000.00 | 50,099.50 | 99.50 LT | | |
| | **Total** | 100,000 000 | | 100,000 00 | | | 5,125 00 | 5.11 |
| | | | | 100,000 00 | **100,199.00** | 199 00 LT | 2,200 40 | |
| *Unit Price $100.199, Coupon Rate 5.125%, Matures 01/26/2015, Int Semi-Annually Jan/Jul 26, Callable $100 00 on 01/26/14, Yield to Maturity 4 928%, Issued 01/26/05, Maturity Value = $100,000 00* | | | | | | | | |
| **CITIBANK, NATIONAL ASSOCIATION LAS** | 4/23/12 | 10,000 000 | 104 192 | 10,419 20 | | | 280 00 | 2 73 |
| **VEGAS NV CD** | | | 104 192 | 10,419 20 | **10,246.10** | (173 10) LT | 119.45 | |
| CUSIP 17312QRZ4 | | | | | | | | |
| *Unit Price· $102 461, Coupon Rate 2 800%, Matures 01/27/2015, Int Semi-Annually Jan/Jul 27, Issued 01/27/10, Maturity Value = $10,000 00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost Adj. Unit Cost | Orig. Total Cost Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **NBT BK NA NORWICH NY CD** | 11/17/10 | 50,000 000 | 104 001 | 52,000 50 | | | | |
| CUSIP 628779FB1 | | | 104.001 | 52,000.50 | 51,201.50 | (799.00) LT | | |
| | 12/7/10 | 50,000 000 | 103 951 | 51,975 50 | | | | |
| | | | 103 951 | 51,975.50 | 51,201.50 | (774.00) LT | | |
| | **Total** | 100,000.000 | | 103,976 00 | | | 3,000.00 | 2.92 |
| | | | | 103,976.00 | **102,403.00** | (1,573 00) LT | 1,279.89 | |
| *Unit Price $102.403; Coupon Rate 3 000%, Matures 01/27/2015, Int Semi-Annually Jan/Jul 27, Yield to Maturity 746%, Issued 01/27/10, Maturity Value = $100,000.00* | | | | | | | | |
| **FIRST BANK TROY NC CD** | 1/11/11 | 150,000.000 | 100.000 | 150,000.00 | | | | |
| CUSIP 31931TCD5 | | | 100.000 | 150,000.00 | 152,043 00 | 2,043.00 LT | | |
| | 1/11/11 | 100,000.000 | 100 000 | 100,000.00 | | | | |
| | | | 100.000 | 100,000.00 | 101,362 00 | 1,362.00 LT | | |
| | **Total** | 250,000.000 | | 250,000 00 | | | 4,000.00 | 1.57 |
| | | | | 250,000 00 | **253,405.00** | 3,405.00 LT | 29.40 | |
| *Unit Price· $101 362, Coupon Rate 1 600%, Matures 01/28/2015, Interest Paid Monthly Feb 28, Issued 01/28/11, Maturity Value = $250,000 00* | | | | | | | | |
| **SAFRA NATIONAL BANK OF NEW YORK NEW YORK NY CD** | 1/23/12 | 69,000 000 | 100 000 | 69,000 00 | | | | |
| CUSIP 786580YA2 | | | 100 000 | 69,000 00 | 69,563.04 | 563 04 LT | | |
| | 1/25/12 | 181,000.000 | 100.000 | 181,000 00 | | | | |
| | | | 100 000 | 181,000 00 | 182,476 96 | 1,476.96 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 2,500 00 | 0 99 |
| | | | | 250,000 00 | **252,040.00** | 2,040 00 LT | 1,039 40 | |
| *Unit Price $100 816, Coupon Rate 1 000%, Matures 01/30/2015, Int Semi-Annually Jan/Jul 31, Issued 01/31/12, Maturity Value = $250,000 00* | | | | | | | | |
| **CHARTER BK EAU CLAIRE WIS CD** | 1/28/11 | 160,000 000 | 100 000 | 160,000.00 | | | 2,480.00 | 1.52 |
| CUSIP 16116PGN5 | | | 100 000 | 160,000 00 | **162,228.80** | 2,228.80 LT | 153 25 | |
| *Unit Price· $101.393, Coupon Rate 1 550%, Matures 02/09/2015, Interest Paid Monthly Mar 08, Issued 02/08/11, Maturity Value = $160,000 00* | | | | | | | | |
| **SOUTHWEST BANK OF ST. LOUIS SAINT LOUIS MO CD** | 9/21/11 | 46,000 000 | 107 358 | 49,384.68 | | | 1,840.00 | 3.86 |
| CUSIP 844776DQ4 | | | 107 358 | 49,384.68 | **47,638.98** | (1,745 70) LT | 725 00 | |
| *Unit Price. $103 563, Coupon Rate 4 000%, Matures 02/09/2015, Int Semi-Annually Feb/Aug 08, Yield to Maturity 760%, Issued 02/08/08, Maturity Value = $46,000 00* | | | | | | | | |
| **BANCO BILBAO VIZCAYA ARGENTARI A PUERTO RICO SAN JUAN PR CD** | 1/30/12 | 120,000 000 | 100.000 | 120,000 00 | | | 1,200 00 | 0.99 |
| CUSIP 059457UE9 | | | 100 000 | 120,000 00 | **120,856.80** | 856.80 LT | 453 26 | |
| *Unit Price: $100.714, Coupon Rate 1 000%, Matures 02/13/2015, Int Semi-Annually Feb/Aug 14, Issued 02/14/12, Maturity Value = $120,000 00* | | | | | | | | |
| **BREMER BK N A FARGO N D CD** | 5/9/11 | 10,000 000 | 102 898 | 10,289 80 | | | 260 00 | 2 54 |
| CUSIP 10700QAX2 | | | 102 898 | 10,289 80 | **10,219.30** | (70.50) LT | 12.57 | |
| *Unit Price $102 193, Coupon Rate 2 600%, Matures 02/13/2015; Interest Paid Monthly May 13, Yield to Maturity 627%; Issued 04/13/10, Maturity Value = $10,000 00* | | | | | | | | |

CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **SOUTHWEST BANK OF ST. LOUIS SAINT** | 1/13/11 | 11,000.000 | 105 179 | 11,569.69 | | | | |
| **LOUIS MO CD** | | | 105.179 | 11,569 69 | 11,409.09 | (160 60) LT | | |
| CUSIP 844776DU5 | 2/27/13 | 18,000 000 | 106.946 | 19,250 27 | | | | |
| | | | 106 946 | 19,250 27 | 18,669.42 | (580 85) ST | | |
| | **Total** | 29,000 000 | | 30,819 96 | | | 1,189.00 | 3.95 |
| | | | | 30,819 96 | **30,078.51** | (160.60) LT<br>(580.85) ST | 445.87 | |
| *Unit Price. $103.719, Coupon Rate 4.100%, Matures 02/13/2015, Int. Semi-Annually Feb/Aug 15, Yield to Maturity .751%, Issued 02/15/08, Maturity Value = $29,000 00* | | | | | | | | |
| **STAR FINANCIAL BANK FORT WAYNE IN CD** | 2/1/11 | 230,000 000 | 100.000 | 230,000 00 | | | 3,450.00 | 1 47 |
| CUSIP 85512RAU6 | | | 100 000 | 230,000 00 | **233,132.60** | 3,132.60 LT | 1,284 37 | |
| *Unit Price: $101 362; Coupon Rate 1.500%, Matures 02/17/2015, Int Semi-Annually Feb/Aug 16, Issued 02/16/11, Maturity Value = $230,000.00* | | | | | | | | |
| **AMERICAN FED BK FARGO N D CD** | 1/28/11 | 101,000 000 | 100.000 | 101,000.00 | | | 1,616.00 | 1.57 |
| CUSIP 02600ACD7 | | | 100.000 | 101,000 00 | **102,433.19** | 1,433.19 LT | 56.45 | |
| *Unit Price $101 419; Coupon Rate 1 600%, Matures 02/18/2015, Interest Paid Monthly Mar 18, Issued 02/18/11, Maturity Value = $101,000 00* | | | | | | | | |
| **MIDDLEBURG NATL BK VA CD** | 2/27/13 | 75,000 000 | 101 507 | 76,130 08 | | | 1,162 50 | 1 52 |
| CUSIP 596131BD3 | | | 101 507 | 76,130.08 | **76,030.50** | (99.58) ST | 40 60 | |
| *Unit Price· $101 374, Coupon Rate 1 550%, Matures 02/18/2015, Interest Paid Monthly Mar 18; Issued 02/18/11, Maturity Value = $75,000 00* | | | | | | | | |
| **GARRETT ST BK IND CD** | 2/10/11 | 200,000 000 | 100.000 | 200,000 00 | | | 3,400.00 | 1 67 |
| CUSIP 366526AG6 | | | 100 000 | 200,000 00 | **202,750.00** | 2,750 00 LT | 82 21 | |
| *Unit Price: $101 375, Coupon Rate 1 700%, Matures 02/23/2015, Interest Paid Monthly Mar 22, Issued 02/22/11, Maturity Value = $200,000 00* | | | | | | | | |
| **LIBERTYVILLE BK & TR CO ILL CD** | 8/1/13 | 73,000 000 | 103 600 | 75,628 00 | | | 2,007.50 | 2.68 |
| CUSIP 531554BN2 | | | 103 600 | 75,628 00 | **74,830.11** | (797.89) ST | 703 71 | |
| *Unit Price. $102.507; Coupon Rate 2.750%, Matures 02/24/2015, Int Semi-Annually Feb/Aug 24, Yield to Maturity .555%; Issued 02/24/10, Maturity Value = $73,000 00* | | | | | | | | |
| **SOUTHWEST BANK OF ST. LOUIS SAINT** | 7/31/13 | 10,000 000 | 105.949 | 10,594 85 | | | 450 00 | 4.31 |
| **LOUIS MO CD** | | | 105.949 | 10,594 85 | **10,431.30** | (163 55) ST | 152 85 | |
| CUSIP 844776DY7 | | | | | | | | |
| *Unit Price $104 313; Coupon Rate 4 500%, Matures 03/02/2015, Int Semi-Annually Feb/Aug 28, Yield to Maturity .790%, Issued 02/28/08; Maturity Value = $10,000.00* | | | | | | | | |
| **FIRST NATL BK DAMARISCOTTA ME CD** | 2/17/11 | 150,000 000 | 100 000 | 150,000.00 | | | | |
| CUSIP 325351FP4 | | | 100.000 | 150,000.00 | 152,152.50 | 2,152 50 LT | | |
| | 2/17/11 | 100,000 000 | 100.000 | 100,000 00 | | | | |
| | | | 100.000 | 100,000.00 | 101,435.00 | 1,435.00 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 4,375 00 | 1.72 |
| | | | | 250,000.00 | **253,587.50** | 3,587 50 LT | 246 91 | |
| *Unit Price· $101.435, Coupon Rate 1 750%, Matures 03/10/2015, Interest Paid Monthly Apr 10, Yield to Maturity 541%; Issued 03/10/11, Maturity Value = $250,000 00* | | | | | | | | |
| **GE CAP FINL INC RETAIL CD** | 3/9/12 | 17,000 000 | 101 617 | 17,274.89 | | | 306 00 | 1.77 |
| CUSIP 36160TQY5 | | | 101 617 | 17,274 89 | **17,210.12** | (64 77) LT | 93 82 | |
| *Unit Price. $101.236; Coupon Rate 1 800%, Matures 03/11/2015; Int Semi-Annually Mar/Sep 11, Yield to Maturity 759%, Issued 03/11/11, Maturity Value = $17,000.00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **THE NATIONAL REPUBLIC BANK OF** | 4/11/11 | 27,000 000 | 102 435 | 27,657 45 | | | 715.50 | 2.58 |
| **CHICAGO CHICAGO IL CD** | | | 102 435 | 27,657.45 | **27,670.68** | 13 23 LT | 38.46 | |
| CUSIP 63736QMJ7 | | | | | | | | |
| *Unit Price $102 484, Coupon Rate 2 650%, Matures 03/11/2015, Interest Paid Monthly May 11; Yield to Maturity 562%, Issued 03/11/10, Maturity Value = $27,000.00* | | | | | | | | |
| **CAPITAL ONE, NATIONAL ASSOCIATION** | 7/25/13 | 35,000 000 | 106.322 | 37,212 86 | | | 1,627.50 | 4.44 |
| **MCLEAN VA CD** | | | 106 322 | 37,212 86 | **36,602.30** | (610 56) ST | 494 54 | |
| CUSIP 14042EHX1 | | | | | | | | |
| *Unit Price $104 578, Coupon Rate 4.650%, Matures 03/12/2015, Int. Semi-Annually Mar/Sep 12, Yield to Maturity .802%, Issued 03/12/08; Maturity Value = $35,000.00* | | | | | | | | |
| **LAKE FST BK & TR CO ILL CD** | 4/14/11 | 70,000 000 | 103 382 | 72,367 40 | | | 1,890 00 | 2 63 |
| CUSIP 509685ED1 | | | 103.382 | 72,367.40 | **71,773.80** | (593.60) LT | 574 30 | |
| *Unit Price. $102 534; Coupon Rate 2 700%, Matures 03/12/2015, Int Semi-Annually Mar/Sep 12, Yield to Maturity 574%, Issued 03/12/10, Maturity Value = $70,000 00* | | | | | | | | |
| **SECURITY FINANCIAL BANK DURAND WI** | 3/3/11 | 150,000.000 | 100 000 | 150,000 00 | | | | |
| **CD** | | | 100 000 | 150,000 00 | 152,194.50 | 2,194 50 LT | | |
| CUSIP 81424TAK0 | 3/3/11 | 100,000.000 | 100 000 | 100,000.00 | | | | |
| | | | 100 000 | 100,000 00 | 101,463 00 | 1,463 00 LT | | |
| | **Total** | 250,000.000 | | 250,000 00 | | | 4,375.00 | 1.72 |
| | | | | 250,000 00 | **253,657.50** | 3,657 50 LT | 188 12 | |
| *Unit Price. $101.463, Coupon Rate 1 750%, Matures 03/16/2015, Interest Paid Monthly Apr 15, Yield to Maturity .534%, Issued 03/15/11, Maturity Value = $250,000.00* | | | | | | | | |
| **1ST SOURCE BANK SOUTH BEND IA CD** | 4/4/11 | 83,000 000 | 98 860 | 82,053 80 | | | 1,245.00 | 1.48 |
| CUSIP 33646CDA9 | | | 98.860 | 82,053 80 | **83,745.34** | 1,691 54 LT | 361.11 | |
| *Unit Price. $100 898, Coupon Rate 1 500%, Matures 03/17/2015, Int Semi-Annually Mar/Sep 17, Yield to Maturity .754%, Issued 09/17/10, Maturity Value = $83,000.00* | | | | | | | | |
| **CAPITAL ONE BANK (USA) N.A. GLEN ALLEN** | 4/9/12 | 14,000 000 | 108.604 | 15,204.56 | | | 630.00 | 4.30 |
| **VA CD** | | | 108.604 | 15,204 56 | **14,625.66** | (578.90) LT | 179 25 | |
| CUSIP 14041AG52 | | | | | | | | |
| *Unit Price. $104 469; Coupon Rate 4.500%, Matures 03/19/2015, Int. Semi-Annually Mar/Sep 19, Yield to Maturity 802%, Issued 03/19/08, Maturity Value = $14,000 00* | | | | | | | | |
| **ROLLSTONE BANK & TRUST FITCHBURG MA** | 11/5/10 | 10,000.000 | 101.665 | 10,166.50 | | | 265.00 | 2.58 |
| **CD** | | | 101.665 | 10,166.50 | **10,257.90** | 91.40 LT | 68.08 | |
| CUSIP 77579AAM8 | | | | | | | | |
| *Unit Price· $102 579, Coupon Rate 2 650%, Matures 03/30/2015, Int. Semi-Annually Mar/Sep 29, Yield to Maturity 572%, Issued 03/29/10, Maturity Value = $10,000 00* | | | | | | | | |
| **ENTERPRISE BANK ALLISON PARK PA CD** | 3/25/11 | 150,000 000 | 100 000 | 150,000 00 | | | | |
| CUSIP 29367RDX1 | | | 100.000 | 150,000.00 | 152,236 50 | 2,236.50 LT | | |
| | 3/25/11 | 100,000.000 | 100.000 | 100,000 00 | | | | |
| | | | 100 000 | 100,000 00 | 101,491 00 | 1,491 00 LT | | |
| | **Total** | 250,000 000 | | 250,000.00 | | | 4,125 00 | 1 62 |
| | | | | 250,000 00 | **253,727.50** | 3,727.50 LT | 10 74 | |
| *Unit Price: $101 491, Coupon Rate 1.650%, Matures 03/31/2015, Interest Paid Monthly Apr 30, Issued 03/31/11; Maturity Value = $250,000 00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **MIDFIRST BANK OKLAHOMA CITY OK CD** | 2/13/13 | 35,000 000 | 104 563 | 36,596.92 | | | | |
| CUSIP 59740JNY1 | | | 104 563 | 36,596.92 | 35,903 35 | (693 57) ST | | |
| | 10/29/13 | 30,000 000 | 103 042 | 30,912 46 | | | | |
| | | | 103 042 | 30,912 46 | 30,774 30 | (138 16) ST | | |
| | **Total** | 65,000 000 | | 67,509 38 | | | 1,755.00 | 2 63 |
| | | | | 67,509 38 | **66,677.65** | (831 73) ST | 438 75 | |
| *Unit Price $102 581, Coupon Rate 2 700%, Matures 04/02/2015, Int Semi-Annually Apr/Oct 01, Yield to Maturity 630%, Issued 04/01/10, Maturity Value = $65,000 00* | | | | | | | | |
| **FIRST BK HIGHLAND PK ILL CD** | 5/13/11 | 39,000 000 | 102 997 | 40,168.83 | | | 1,033 50 | 2.57 |
| CUSIP 3191406Y0 | | | 102.997 | 40,168 83 | **40,070.16** | (98 67) LT | 66 66 | |
| *Unit Price. $102 744, Coupon Rate 2.650%, Matures 04/07/2015, Interest Paid Monthly May 07, Issued 04/07/10, Maturity Value = $39,000.00* | | | | | | | | |
| **SIGNATURE BANK CHICAGO IL CD** | 10/18/13 | 20,000 000 | 102 381 | 20,476 10 | | | 440.00 | 2.14 |
| CUSIP 82669VBL4 | | | 102 381 | 20,476 10 | **20,471.80** | (4.30) ST | 28 38 | |
| *Unit Price $102.359, Coupon Rate 2.200%; Matures 04/07/2015, Interest Paid Monthly Sep 07, Issued 07/07/10, Maturity Value = $20,000.00* | | | | | | | | |
| **BANCO SANTANDER PUERTO RICO SAN JUAN PR CD** | 9/27/11 | 49,000 000 | 103 972 | 50,946 28 | | | 1,347.50 | 2 67 |
| | | | 103.972 | 50,946 28 | **50,312.71** | (633 57) LT | 259 13 | |
| CUSIP 059646QV4 | | | | | | | | |
| *Unit Price $102 679, Coupon Rate 2 750%, Matures 04/22/2015, Int. Semi-Annually Apr/Oct 22, Yield to Maturity 690%, Issued 04/22/10, Maturity Value = $49,000 00* | | | | | | | | |
| **DISCOVER BANK GREENWOOD DE CD** | 5/17/11 | 13,000.000 | 108 996 | 14,169.48 | | | 598.00 | 4.38 |
| CUSIP 25469JEL9 | | | 108 996 | 14,169.48 | **13,640.77** | (528 71) LT | 113.35 | |
| *Unit Price $104.929, Coupon Rate 4 600%, Matures 04/23/2015, Int Semi-Annually Apr/Oct 23, Yield to Maturity 813%, Issued 04/23/08; Maturity Value = $13,000.00* | | | | | | | | |
| **PARAGON COMMERCIAL BANK RALEIGH NC CD** | 2/15/13 | 24,000.000 | 105.142 | 25,234 14 | | | | |
| | | | 105 142 | 25,234 14 | 24,654.72 | (579 42) ST | | |
| CUSIP 69911Q3D5 | 8/30/13 | 23,000 000 | 103 597 | 23,827 20 | | | | |
| | | | 103.597 | 23,827.20 | 23,627.44 | (199 76) ST | | |
| | **Total** | 47,000.000 | | 49,061.34 | | | 1,339.50 | 2 77 |
| | | | | 49,061.34 | **48,282.16** | (779.18) ST | 3.48 | |
| *Unit Price $102 728, Coupon Rate 2 850%, Matures 04/30/2015, Interest Paid Monthly Jun 30, Yield to Maturity 785%; Issued 04/30/10; Maturity Value = $47,000 00* | | | | | | | | |
| **CAPITOL FED SVGS BK TOPEKA KS CD** | 12/13/11 | 14,000.000 | 103 455 | 14,483 70 | | | 371.00 | 2.57 |
| CUSIP 14058ABD0 | | | 103 455 | 14,483 70 | **14,384.44** | (99.26) LT | 57.39 | |
| *Unit Price $102 746, Coupon Rate 2 650%, Matures 05/05/2015, Int Semi-Annually May/Nov 05, Yield to Maturity 598%, Issued 05/05/10, Maturity Value = $14,000.00* | | | | | | | | |
| **DISCOVER BK GREENWOOD DE CD** | 11/13/13 | 11,000 000 | 100.701 | 11,077 10 | | | 115.50 | 1.04 |
| CUSIP 254671AR1 | | | 100 701 | 11,077 10 | **11,064.35** | (12.75) ST | 16 59 | |
| *Unit Price: $100.585, Coupon Rate 1.050%, Matures 05/11/2015, Int Semi-Annually May/Nov 09, Yield to Maturity .618%, Issued 05/09/12, Maturity Value = $11,000 00* | | | | | | | | |
| **INVESTORS SAVINGS BANK SHORT HILLS NJ CD** | 5/11/11 | 245,000 000 | 100.000 | 245,000 00 | | | 4,165.00 | 1.67 |
| | | | 100 000 | 245,000 00 | **248,902.85** | 3,902 85 LT | 552.26 | |
| CUSIP 46176PAP0 | | | | | | | | |
| *Unit Price $101 593, Coupon Rate 1 700%, Matures 05/13/2015, Int Semi-Annually May/Nov 13, Yield to Maturity .529%, Issued 05/13/11, Maturity Value = $245,000 00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **CAPITOL FED SVGS BK TOPEKA KS CD** | 8/16/13 | 95,000 000 | 103 341 | 98,173 95 | | | 2,422 50 | 2 47 |
| CUSIP 14058ABK4 | | | 103 341 | 98,173 95 | **97,708.45** | (465 50) ST | 234.21 | |
| *Unit Price $102 851, Coupon Rate 2 550%, Matures 05/26/2015, Int Semi-Annually May/Nov 26, Yield to Maturity 508%, Issued 05/26/10, Maturity Value = $95,000 00* | | | | | | | | |
| **BANK OF INTERNET USA SAN DIEGO CA CD** | 11/3/10 | 20,000 000 | 102 388 | 20,477 60 | | | 510.00 | 2 47 |
| CUSIP 06279LCB8 | | | 102 388 | 20,477 60 | **20,574.60** | 97 00 LT | 4.11 | |
| *Unit Price $102 873, Coupon Rate 2 550%, Matures 05/28/2015, Interest Paid Monthly Jul 28, Yield to Maturity 500%, Issued 05/28/10, Maturity Value = $20,000.00* | | | | | | | | |
| **CAPITAL ONE, NATIONAL ASSOCIATION MCLEAN VA CD** | 5/23/11 | 28,000.000 | 108.741 | 30,447.48 | | | 1,330 00 | 4 50 |
| CUSIP 14042ERD4 | | | 108 741 | 30,447 48 | **29,532.16** | (915 32) LT | 121.24 | |
| *Unit Price $105.472, Coupon Rate 4 750%, Matures 05/28/2015, Int Semi-Annually May/Nov 28, Yield to Maturity 833%, Issued 05/28/08, Maturity Value = $28,000 00* | | | | | | | | |
| **KATAHDIN TR CO PATTEN ME CD** | 8/16/13 | 97,000 000 | 103 341 | 100,240 77 | | | 2,473 50 | 2 47 |
| CUSIP 485836DV9 | | | 103 341 | 100,240.77 | **99,786.81** | (453.96) ST | 19 94 | |
| *Unit Price· $102.873, Coupon Rate 2 550%, Matures 05/28/2015, Interest Paid Monthly Jun 28, Yield to Maturity 500%; Issued 05/28/10, Maturity Value = $97,000 00* | | | | | | | | |
| **WORLD FINANCIAL NETWORK BANK (JUMBO) WILMINGTON DE CD** | 5/23/12 | 100,000 000 | 100 000 | 100,000.00 | | | | |
| | | | 100 000 | 100,000 00 | 100,414 00 | 414 00 LT | | |
| CUSIP 982999BI4 | 5/29/12 | 100,000.000 | 100.000 | 100,000.00 | | | | |
| | | | 100 000 | 100,000 00 | 100,414 00 | 414 00 LT | | |
| | **Total** | 200,000.000 | | 200,000 00 | | | 2,300.00 | 1.14 |
| | | | | 200,000 00 | **200,828.00** | 828.00 LT | 179.23 | |
| *Unit Price $100 414, Coupon Rate 1.150%, Matures 05/29/2015, Interest Paid Monthly Jun 02, Yield to Maturity .854%, Issued 05/31/12, Maturity Value = $200,000.00* | | | | | | | | |
| **BRIDGEVIEW BANK GROUP BRIDGEVIEW IL CD** | 5/2/11 | 15,000 000 | 99.805 | 14,970.75 | | | 330 00 | 2 15 |
| CUSIP 108551KD3 | | | 99 805 | 14,970.75 | **15,295.80** | 325 05 LT | 26.29 | |
| *Unit Price· $101 972, Coupon Rate 2 200%, Matures 06/02/2015, Int Semi-Annually Jun/Dec 02, Yield to Maturity 801%, Issued 06/02/10, Maturity Value = $15,000.00* | | | | | | | | |
| **FIRST BK HIGHLAND PK ILL CD** | 10/20/10 | 90,000 000 | 101.321 | 91,188 90 | | | 2,070 00 | 2 23 |
| CUSIP 3191407G8 | | | 101 321 | 91,188 90 | **92,572.20** | 1,383 30 LT | 119.42 | |
| *Unit Price. $102.858, Coupon Rate 2 300%, Matures 06/10/2015, Int Semi-Annually Jun/Dec 10, Issued 06/10/10, Maturity Value = $90,000.00* | | | | | | | | |
| **DISCOVER BANK GREENWOOD DEL CD** | 8/30/13 | 18,000.000 | 107 376 | 19,327.61 | | | 882.00 | 4 62 |
| CUSIP 25469JLG2 | | | 107.376 | 19,327.61 | **19,061.28** | (266.33) ST | 31.50 | |
| *Unit Price. $105.896; Coupon Rate 4 900%, Matures 06/18/2015, Int Semi-Annually Jun/Dec 18, Yield to Maturity .840%, Issued 06/18/08, Maturity Value = $18,000.00* | | | | | | | | |
| **FLUSHING SVGS BK N Y CD** | 11/7/13 | 13,000.000 | 101.550 | 13,201 44 | | | 208.00 | 1.57 |
| CUSIP 344030EC1 | | | 101.550 | 13,201.44 | **13,232.31** | 30.87 ST | 8.94 | |
| *Unit Price· $101 787, Coupon Rate 1 600%, Matures 06/29/2015, Interest Paid Monthly Jul 15; Issued 06/28/11, Maturity Value = $13,000 00* | | | | | | | | |
| **CAPITAL ONE BANK (USA) N.A. GLEN ALLEN VA CD** | 2/6/13 | 36,000 000 | 110 256 | 39,692 16 | | | 1,836 00 | 4 79 |
| CUSIP 14041A5D7 | | | 110 256 | 39,692 16 | **38,280.60** | (1,411 56) ST | 908 02 | |
| *Unit Price· $106 335, Coupon Rate 5 100%, Matures 07/02/2015, Int Semi-Annually Jan/Jul 02, Yield to Maturity 852%, Issued 07/02/08; Maturity Value = $36,000 00* | | | | | | | | |

CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **COMPASS BK CD Birmingham AL CD** | 6/27/12 | 215,000.000 | 100 000 | 215,000 00 | | | 2,472 50 | 1.14 |
| CUSIP 20451PAP1 | | | 100 000 | 215,000 00 | **216,290.00** | 1,290 00 LT | 1,162 34 | |
| *Unit Price. $100.600, Coupon Rate 1.150%, Matures 07/13/2015, Int. Semi-Annually Jan/Jul 11, Yield to Maturity 756%, Issued 07/11/12, Maturity Value = $215,000 00* | | | | | | | | |
| **COMMERCEWEST BANK NA CALIF CD** | 7/17/13 | 10,000.000 | 102 759 | 10,275.90 | | | 210 00 | 2.03 |
| CUSIP 20084TGF4 | | | 102.759 | 10,275 90 | **10,299.20** | 23 30 ST | 4 51 | |
| *Unit Price. $102 992; Coupon Rate 2.100%, Matures 07/23/2015, Interest Paid Monthly Aug 23, Issued 07/23/10, Maturity Value = $10,000 00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA SALT LAKE CITY UTAH CD** | 7/31/13 | 20,000 000 | 108 249 | 21,649.78 | | | 1,020 00 | 4 78 |
| CUSIP 381426LV5 | | | 108.249 | 21,649 78 | **21,315.40** | (334 38) ST | 446.25 | |
| *Unit Price. $106 577, Coupon Rate 5 100%, Matures 07/23/2015, Int Semi-Annually Jan/Jul 23, Yield to Maturity 851%; Issued 07/23/08, Maturity Value = $20,000.00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA SALT LAKE CITY UTAH CD** | 7/17/13 | 10,000 000 | 108.806 | 10,880 56 | | | 515 00 | 4.82 |
| CUSIP 381426LZ6 | | | 108.806 | 10,880.56 | **10,673.00** | (207 56) ST | 215 51 | |
| *Unit Price. $106 730, Coupon Rate 5 150%, Matures 07/30/2015, Int. Semi-Annually Jan/Jul 30, Yield to Maturity 854%, Issued 07/30/08, Maturity Value = $10,000.00* | | | | | | | | |
| **GIBRALTER PRIVATE BANK & TRUST CORAL GABLES CD** | 2/15/13 | 25,000.000 | 110 487 | 27,621.77 | | | 1,262.50 | 4.73 |
| CUSIP 37475PBH4 | | | 110 487 | 27,621 77 | **26,642.75** | (979 02) ST | 3.28 | |
| *Unit Price $106 571; Coupon Rate 5 050%, Matures 07/31/2015, Interest Paid Monthly Sep 30; Yield to Maturity 862%, Issued 07/31/08, Maturity Value = $25,000 00* | | | | | | | | |
| **REPUBLIC BK INC BOUNTIFUL UTAH CD** | 8/30/13 | 25,000 000 | 104.657 | 26,164 22 | | | 800.00 | 3.06 |
| CUSIP 760310PY2 | | | 104.657 | 26,164.22 | **26,063.75** | (100.47) ST | 36.55 | |
| *Unit Price. $104.255; Coupon Rate 3 200%; Matures 08/14/2015, Interest Paid Monthly Oct 14; Yield to Maturity 558%, Issued 08/14/09, Maturity Value = $25,000 00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA SALT LAKE CITY UTAH CD** | 10/16/13 | 31,000 000 | 107 696 | 33,385.78 | | | 1,550 00 | 4.68 |
| CUSIP 381426MK8 | | | 107.696 | 33,385.78 | **33,081.34** | (304.44) ST | 560.19 | |
| *Unit Price. $106.714; Coupon Rate 5.000%, Matures 08/20/2015, Int. Semi-Annually Feb/Aug 20, Yield to Maturity .859%, Issued 08/20/08, Maturity Value = $31,000.00* | | | | | | | | |
| **MB FINANCIAL BANK, NA CHICAGO IL CD** | 7/18/13 | 22,000.000 | 104.926 | 23,083.75 | | | | |
| CUSIP 55266CHQ2 | | | 104 926 | 23,083.75 | 22,910.36 | (173 39) ST | | |
| | 8/30/13 | 25,000.000 | 104.612 | 26,152 90 | | | | |
| | | | 104.612 | 26,152 90 | 26,034.50 | (118 40) ST | | |
| | **Total** | 47,000.000 | | 49,236.65 | | | 1,480.50 | 3.02 |
| | | | | 49,236.65 | **48,944.86** | (291 79) ST | 19 89 | |
| *Unit Price $104.138, Coupon Rate 3 150%, Matures 08/26/2015, Interest Paid Monthly Oct 26, Yield to Maturity .629%, Issued 08/26/09, Maturity Value = $47,000.00* | | | | | | | | |
| **QUEENSBOROUGH NATIONAL BANK & TRUST COMPANY GA CD** | 9/15/11 | 95,000 000 | 101 334 | 96,267.30 | | | 1,852 50 | 1.89 |
| CUSIP 74824XCV6 | | | 101.334 | 96,267 30 | **97,646.70** | 1,379.40 LT | 4 97 | |
| *Unit Price $102.786, Coupon Rate 1 950%, Matures 08/28/2015, Interest Paid Monthly Oct 30, Issued 08/30/10, Maturity Value = $95,000 00* | | | | | | | | |

CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **CAPITAL ONE, NATIONAL ASSOCIATION** | 8/26/08 | 50,000 000 | 100 000 | 50,000.00 | | | | |
| **MCLEAN VA CD** | | | 100 000 | 50,000 00 | 53,505 50 | 3,505 50 LT | | |
| CUSIP 14042EZM5 | 8/26/08 | 50,000 000 | 100 000 | 50,000 00 | | | | |
| | | | 100.000 | 50,000 00 | 53,505 50 | 3,505 50 LT | | |
| | **Total** | 100,000.000 | | 100,000 00 | | | 5,150.00 | 4.81 |
| | | | | 100,000 00 | **107,011.00** | 7,011 00 LT | 1,692 95 | |
| *Unit Price. $107 011; Coupon Rate 5.150%; Matures 09/03/2015, Int. Semi-Annually Mar/Sep 03, Yield to Maturity 918%; Issued 09/03/08; Maturity Value = $100,000 00* | | | | | | | | |
| **CAPITAL ONE BANK (USA) N.A. GLEN ALLEN** | 10/24/13 | 25,000 000 | 108 097 | 27,024.36 | | | 1,287.50 | 4.80 |
| **VA CD** | | | 108 097 | 27,024 36 | **26,769.75** | (254.61) ST | 398.34 | |
| CUSIP 140420DC1 | | | | | | | | |
| *Unit Price $107.079, Coupon Rate 5.150%, Matures 09/10/2015, Int Semi-Annually Mar/Sep 10, Yield to Maturity 924%, Issued 09/10/08, Maturity Value = $25,000 00* | | | | | | | | |
| **CAPITAL ONE BK USA NATL ASSN GLEN** | 11/6/13 | 10,000 000 | 107.686 | 10,768.57 | | | 500.00 | 4.68 |
| **ALLEN VA CERT OF DEP** | | | 107 686 | 10,768.57 | **10,683.00** | (85 57) ST | 154.69 | |
| CUSIP 140420DB3 | | | | | | | | |
| *Unit Price: $106 830, Coupon Rate 5.000%, Matures 09/10/2015, Int. Semi-Annually Mar/Sep 10, Yield to Maturity 923%, Issued 09/10/08, Maturity Value = $10,000.00* | | | | | | | | |
| **DISCOVER BANK GREENWOOD DEL CD** | 9/5/08 | 50,000.000 | 100.000 | 50,000 00 | | | 2,575.00 | 4.80 |
| CUSIP 25469JUC1 | | | 100 000 | 50,000 00 | **53,539.50** | 3,539 50 LT | 796.68 | |
| *Unit Price. $107.079; Coupon Rate 5.150%, Matures 09/10/2015, Int. Semi-Annually Mar/Sep 10, Yield to Maturity .924%, Issued 09/10/08, Maturity Value = $50,000.00* | | | | | | | | |
| **ST BK OF INDIA CD NEW YORK NY CD** | 10/2/13 | 100,000.000 | 100 121 | 100,121 00 | | | 750 00 | 0 75 |
| CUSIP 856284N26 | | | 100.121 | 100,121 00 | **99,881.00** | (240.00) ST | 225.82 | |
| *Unit Price· $99 881, Coupon Rate 0 750%, Matures 09/14/2015; Int Semi-Annually Mar/Sep 13, Yield to Maturity 820%, Issued 09/13/13; Maturity Value = $100,000 00* | | | | | | | | |
| **COMPASS BK CD BIRMINGHAM AL CD** | 10/1/13 | 35,000.000 | 100 222 | 35,077.70 | | | 245 00 | 0.70 |
| CUSIP 20451PDY9 | | | 100.222 | 35,077 70 | **34,955.55** | (122 15) ST | 70.38 | |
| *Unit Price $99 873, Coupon Rate 0 700%, Matures 09/18/2015, Int Semi-Annually Mar/Sep 18, Yield to Maturity 775%, Issued 09/18/13, Maturity Value = $35,000 00* | | | | | | | | |
| **STATE BK INDIA CHICAGO IL CD** | 10/21/13 | 10,000 000 | 102 833 | 10,283 32 | | | 225.00 | 2 19 |
| CUSIP 856283QY5 | | | 102.833 | 10,283 32 | **10,230.90** | (52 42) ST | 57.80 | |
| *Unit Price. $102 309, Coupon Rate 2 250%, Matures 09/29/2015, Int Semi-Annually Mar/Sep 29, Yield to Maturity 913%, Issued 09/29/10, Maturity Value = $10,000 00* | | | | | | | | |
| **AMERICAN SEC BK & TR CO TN CD** | 9/28/10 | 150,000 000 | 100.000 | 150,000.00 | | | | |
| CUSIP 02956PAU8 | | | 100 000 | 150,000 00 | 151,810.50 | 1,810 50 LT | | |
| | 9/28/10 | 100,000.000 | 100 000 | 100,000 00 | | | | |
| | | | 100 000 | 100,000 00 | 101,207 00 | 1,207 00 LT | | |
| | **Total** | 250,000.000 | | 250,000.00 | | | 4,000.00 | 1.58 |
| | | | | 250,000.00 | **253,017.50** | 3,017.50 LT | 322.50 | |
| *Unit Price· $101.207; Coupon Rate 1.600%, Matures 10/01/2015, Interest Paid Monthly Nov 01, Yield to Maturity .904%, Issued 10/01/10, Maturity Value = $250,000.00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA SALT LAKE** | 7/17/13 | 15,000 000 | 109 339 | 16,400.89 | | | 772.50 | 4 85 |
| **CITY UT CD** | | | 109.339 | 16,400 89 | **15,903.45** | (497 44) ST | 193.12 | |
| CUSIP 381426NA9 | | | | | | | | |
| *Unit Price· $106 023, Coupon Rate 5 150%, Matures 10/01/2015, Int Semi-Annually Apr/Oct 01, Yield to Maturity 1 645%, Issued 10/01/08, Maturity Value = $15,000.00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **COMMERCEBANK N A CORAL GABLES FL CD** | 10/7/13 | 138,000 000 | 101.680 | 140,318.40 | | | 2,070.00 | 1.46 |
| CUSIP 20084SAY1 | | | 101.680 | 140,318.40 | **141,128.46** | 810 06 ST | 449 25 | |
| *Unit Price· $102.267, Coupon Rate 1.500%, Matures 10/13/2015, Int. Semi-Annually Apr/Oct 13, Issued 10/13/10, Maturity Value = $138,000.00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA SALT LAKE** | 2/27/13 | 17,000 000 | 111.245 | 18,911.69 | | | | |
| **CITY UTAH CD** | | | 111.245 | 18,911 69 | 18,279.76 | (631.93) ST | | |
| CUSIP 381426PX7 | 10/14/13 | 10,000 000 | 108 816 | 10,881 57 | | | | |
| | | | 108 816 | 10,881.57 | 10,752.80 | (128.77) ST | | |
| | **Total** | 27,000 000 | | 29,793 26 | | | 1,390.50 | 4.78 |
| | | | | 29,793.26 | **29,032.56** | (760 70) ST | 294.14 | |
| *Unit Price· $107.528; Coupon Rate 5 150%, Matures 10/15/2015, Int Semi-Annually Apr/Oct 15, Yield to Maturity 899%, Issued 10/15/08; Maturity Value = $27,000 00* | | | | | | | | |
| **ST BK OF INDIA CD NEW YORK CITY NY CD** | 10/24/13 | 119,000 000 | 100.373 | 119,443.87 | | | 1,011.50 | 0.85 |
| CUSIP 856284Q98 | | | 100 373 | 119,443.87 | **118,853.63** | (590 24) ST | 205.63 | |
| *Unit Price· $99.877, Coupon Rate 0 850%, Matures 10/19/2015, Int Semi-Annually Apr/Oct 18, Yield to Maturity .919%, Issued 10/18/13, Maturity Value = $119,000 00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA SALT LAKE** | 2/7/13 | 30,000 000 | 111 667 | 33,500 12 | | | 1,560.00 | 4.89 |
| **CITY UT CD** | | | 111 667 | 33,500 12 | **31,886.10** | (1,614 02) ST | 300 00 | |
| CUSIP 381426QR9 | | | | | | | | |
| *Unit Price $106 287, Coupon Rate 5.200%, Matures 10/22/2015, Int Semi-Annually Apr/Oct 22, Yield to Maturity 1 656%, Issued 10/22/08, Maturity Value = $30,000 00* | | | | | | | | |
| **STOCKMAN BK MONT MILES CITY CD** | 12/14/10 | 10,000 000 | 110 376 | 11,037.60 | | | 515.00 | 4.78 |
| CUSIP 86128QBB3 | | | 110 376 | 11,037 60 | **10,752.50** | (285.10) LT | 96.20 | |
| *Unit Price $107.525, Coupon Rate 5 150%, Matures 10/26/2015, Int. Semi-Annually Apr/Oct 24, Yield to Maturity 967%, Issued 10/24/08, Maturity Value = $10,000 00* | | | | | | | | |
| **UBS BK USA SALT LAKE CITY UT CD** | 11/2/10 | 150,000 000 | 99 999 | 149,998 50 | | | | |
| CUSIP 90348JAG5 | | | 99.999 | 149,998 50 | 153,144.00 | 3,145 50 LT | | |
| | 11/2/10 | 100,000 000 | 99 999 | 99,999 00 | | | | |
| | | | 99 999 | 99,999.00 | 102,096 00 | 2,097 00 LT | | |
| | **Total** | 250,000.000 | | 249,997 50 | | | 5,000 00 | 1.95 |
| | | | | 249,997.50 | **255,240.00** | 5,242.50 LT | 865.38 | |
| *Unit Price $102 096, Coupon Rate 2 000%, Matures 10/29/2015, Int Semi-Annually Apr/Oct 29, Yield to Maturity 842%, Issued 10/29/10, Maturity Value = $250,000 00* | | | | | | | | |
| **GE CAP FINL INC RETAIL CD** | 4/23/12 | 10,000 000 | 111 906 | 11,190.60 | | | 520 00 | 4 82 |
| CUSIP 36160TEV4 | | | 111 906 | 11,190.60 | **10,771.40** | (419 20) LT | 88 57 | |
| *Unit Price· $107 714, Coupon Rate 5.200%, Matures 10/30/2015; Int Semi-Annually Apr/Oct 30, Yield to Maturity .939%, Issued 10/30/08, Maturity Value = $10,000 00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA SALT LAKE** | 8/2/13 | 58,000 000 | 109 717 | 63,635 98 | | | 3,045.00 | 4 93 |
| **CITY UT CD** | | | 109.717 | 63,635.98 | **61,761.88** | (1,874 10) ST | 471 04 | |
| CUSIP 381426RR8 | | | | | | | | |
| *Unit Price. $106.486, Coupon Rate 5.250%, Matures 11/05/2015, Int Semi-Annually May/Nov 05, Yield to Maturity 1 665%, Issued 11/05/08, Maturity Value = $58,000.00* | | | | | | | | |
| **AMERICAN EXPRESS CENTURION BANK** | 8/13/13 | 69,000.000 | 101.911 | 70,318.40 | | | 1,173.00 | 1.67 |
| **SALT LAKE CITY UT CD** | | | 101 911 | 70,318.40 | **70,203.36** | (115 04) ST | 165 25 | |
| CUSIP 02587DGL6 | | | | | | | | |
| *Unit Price $101.744, Coupon Rate 1.700%, Matures 11/10/2015, Int Semi-Annually May/Nov 10, Yield to Maturity .754%, Issued 11/10/11, Maturity Value = $69,000.00* | | | | | | | | |

CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **FLUSHING SVGS BK N Y CD** | 5/3/12 | 10,000 000 | 101 538 | 10,153 80 | | | 190 00 | 1.86 |
| CUSIP 344030DP3 | | | 101.538 | 10,153.80 | **10,211.90** | 58.10 LT | 8.17 | |
| *Unit Price $102.119, Coupon Rate 1 900%, Matures 11/10/2015, Interest Paid Monthly Dec 15, Yield to Maturity 750%, Issued 11/10/10, Maturity Value = $10,000 00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA SALT LAKE** | 2/5/13 | 15,000 000 | 112 154 | 16,823 09 | | | 787 50 | 4.92 |
| **CITY UT CD** | | | 112 154 | 16,823 09 | **15,990.90** | (832 19) ST | 91 36 | |
| CUSIP 381426TY1 | | | | | | | | |
| *Unit Price $106 606, Coupon Rate 5 250%, Matures 11/19/2015, Int Semi-Annually May/Nov 19, Yield to Maturity 1.672%, Issued 11/19/08, Maturity Value = $15,000 00* | | | | | | | | |
| **GE CAP FINL INC CD** | 4/25/12 | 50,000.000 | 112.240 | 56,120 00 | | | 2,625 00 | 4.85 |
| CUSIP 36160TFF8 | | | 112 240 | 56,120 00 | **54,013.00** | (2,107 00) LT | 297.30 | |
| *Unit Price· $108.026; Coupon Rate 5 250%, Matures 11/20/2015, Int Semi-Annually May/Nov 20, Yield to Maturity 947%, Issued 11/20/08, Maturity Value = $50,000 00* | | | | | | | | |
| **AMERICAN EXPRESS CENTURION BANK** | 7/5/12 | 20,000 000 | 101 857 | 20,371 40 | | | | |
| **SALT LAKE CITY UT CD** | | | 101 857 | 20,371 40 | 20,350.00 | (21 40) LT | | |
| CUSIP 02587DHH4 | 7/13/12 | 30,000 000 | 102 066 | 30,619 84 | | | | |
| | | | 102 066 | 30,619 84 | 30,525 00 | (94 84) LT | | |
| | **Total** | 50,000 000 | | 50,991 24 | | | 875.00 | 1.71 |
| | | | | 50,991 24 | **50,875.00** | (116 24) LT | 91.85 | |
| *Unit Price $101.750, Coupon Rate 1 750%, Matures 11/23/2015, Int Semi-Annually May/Nov 23, Yield to Maturity 817%, Issued 11/23/11, Maturity Value = $50,000 00* | | | | | | | | |
| **ST BK OF INDIA CD NEW YORK NY CD** | 11/4/13 | 31,000 000 | 100 658 | 31,204.05 | | | 356.50 | 1.14 |
| CUSIP 856284H72 | | | 100 658 | 31,204 05 | **31,116.25** | (87 80) ST | 39 39 | |
| *Unit Price $100.375, Coupon Rate 1 150%, Matures 11/23/2015, Int Semi-Annually May/Nov 21, Yield to Maturity 950%, Issued 11/21/12, Maturity Value = $31,000.00* | | | | | | | | |
| **BMW BANK OF NORTH AMERICA SALT LAKE** | 3/9/12 | 12,000 000 | 101 979 | 12,237 48 | | | 240.00 | 1.95 |
| **CITY UT CD** | | | 101 979 | 12,237.48 | **12,277.92** | 40.44 LT | 23.20 | |
| CUSIP 05568PA31 | | | | | | | | |
| *Unit Price. $102 316, Coupon Rate 2 000%, Matures 11/25/2015; Int Semi-Annually May/Nov 26, Yield to Maturity 770%, Issued 11/26/10, Maturity Value = $12,000 00* | | | | | | | | |
| **MIDLAND ST BK EFFINGHAM ILL CD** | 11/3/11 | 125,000.000 | 100.000 | 125,000.00 | | | | |
| CUSIP 59774QDP4 | | | 100 000 | 125,000 00 | 125,666 25 | 666 25 LT | | |
| | 11/3/11 | 125,000.000 | 100 000 | 125,000.00 | | | | |
| | | | 100.000 | 125,000.00 | 125,666.25 | 666.25 LT | | |
| | **Total** | 250,000.000 | | 250,000.00 | | | 3,125.00 | 1.24 |
| | | | | 250,000 00 | **251,332.50** | 1,332 50 LT | 243 45 | |
| *Unit Price. $100.533, Coupon Rate 1.250%, Matures 12/02/2015, Interest Paid Monthly Jan 02, Yield to Maturity .969%, Issued 12/02/11, Maturity Value = $250,000.00* | | | | | | | | |
| **LAFAYETTE ST BK MAYO FLA CD** | 7/18/13 | 10,000 000 | 99.969 | 9,996 94 | | | 90.00 | 0.90 |
| CUSIP 506764AM1 | | | 99 969 | 9,996.94 | **9,985.60** | (11 34) ST | 5 32 | |
| *Unit Price· $99.856, Coupon Rate 0 900%; Matures 12/09/2015, Interest Paid Monthly Jan 09, Yield to Maturity .975%, Issued 12/09/11; Maturity Value = $10,000.00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA SALT LAKE** | 7/17/13 | 30,000.000 | 109.553 | 32,865 77 | | | | |
| **CITY UT CD** | | | 109.553 | 32,865.77 | 31,896 90 | (968.87) ST | | |
| CUSIP 381426WB7 | 10/10/13 | 14,000 000 | 108 972 | 15,256 04 | | | | |
| | | | 108.972 | 15,256.04 | 14,885.22 | (370.82) ST | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| | **Total** | 44,000.000 | | 48,121 81 | | | 2,200 00 | 4 70 |
| | | | | 48,121 81 | **46,782 12** | (1,339 69) ST | 126.92 | |
| *Unit Price: $106.323; Coupon Rate 5 000%, Matures 12/10/2015, Int Semi-Annually Jun/Dec 10, Yield to Maturity 1.677%, Issued 12/10/08, Maturity Value = $44,000 00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA NEW YORK PLAZA NY CD**<br>CUSIP 381426WV3 | 4/1/11 | 13,000 000 | 108 951 | 14,163 63 | | | 650 00 | 4.68 |
| | | | 108 951 | 14,163 63 | **13,862.68** | (300.95) LT | 25.00 | |
| *Unit Price. $106.636, Coupon Rate 5 000%, Matures 12/17/2015, Int Semi-Annually Jun/Dec 17, Yield to Maturity 1 552%, Issued 12/17/08, Maturity Value = $13,000 00* | | | | | | | | |
| **GE CAPITAL RETAIL BANK (FORMER LY GE MONEY BK) DRAPER UTAH CD**<br>CUSIP 36159CGD2 | 12/15/08 | 50,000.000 | 100.000 | 50,000 00 | | | | |
| | | | 100 000 | 50,000 00 | 53,907.50 | 3,907 50 LT | | |
| | 12/15/08 | 50,000 000 | 100 000 | 50,000 00 | | | | |
| | | | 100 000 | 50,000 00 | 53,907 50 | 3,907 50 LT | | |
| | **Total** | 100,000 000 | | 100,000.00 | | | 5,000 00 | 4.63 |
| | | | | 100,000 00 | **107,815.00** | 7,815 00 LT | 178.57 | |
| *Unit Price $107 815; Coupon Rate 5 000%, Matures 12/18/2015, Int Semi-Annually Jun/Dec 18, Yield to Maturity 974%, Issued 12/18/08; Maturity Value = $100,000 00* | | | | | | | | |
| **CLAYTON BANK AND TRUST KNOXVILLE TN CD**<br>CUSIP 184027AK6 | 12/16/10 | 150,000 000 | 100 000 | 150,000 00 | | | | |
| | | | 100 000 | 150,000 00 | 153,639 00 | 3,639 00 LT | | |
| | 12/16/10 | 100,000 000 | 100 000 | 100,000.00 | | | | |
| | | | 100 000 | 100,000 00 | 102,426.00 | 2,426 00 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 4,500.00 | 1.75 |
| | | | | 250,000 00 | **256,065.00** | 6,065 00 LT | 24.19 | |
| *Unit Price· $102.426, Coupon Rate 1 800%, Matures 12/29/2015, Interest Paid Monthly Jan 29, Yield to Maturity .575%, Issued 12/29/10, Maturity Value = $250,000.00* | | | | | | | | |
| **GULF COAST BANK AND TRUST COMPANY NEW ORLEANS LA CD**<br>CUSIP 402194DC5 | 12/27/10 | 150,000.000 | 100 000 | 150,000 00 | | | | |
| | | | 100 000 | 150,000 00 | 153,244.50 | 3,244 50 LT | | |
| | 12/27/10 | 100,000 000 | 100.000 | 100,000.00 | | | | |
| | | | 100 000 | 100,000 00 | 102,163.00 | 2,163.00 LT | | |
| | **Total** | 250,000 000 | | 250,000.00 | | | 5,125.00 | 2.00 |
| | | | | 250,000.00 | **255,407.50** | 5,407.50 LT | 13.77 | |
| *Unit Price. $102.163; Coupon Rate 2.050%, Matures 12/30/2015; Interest Paid Monthly Jan 30; Yield to Maturity .954%; Issued 12/30/10, Maturity Value = $250,000.00* | | | | | | | | |
| **KATAHDIN TR CO PATTEN ME CD**<br>CUSIP 485836DP2 | 9/6/11 | 40,000 000 | 104 745 | 41,898 00 | | | 1,200 00 | 2.86 |
| | | | 104.745 | 41,898.00 | **41,892.80** | (5.20) LT | 3.22 | |
| *Unit Price: $104.732, Coupon Rate 3 000%, Matures 12/30/2015, Interest Paid Monthly Jan 30, Yield to Maturity 613%, Issued 12/30/09; Maturity Value = $40,000.00* | | | | | | | | |
| **ISRAEL DISC BK NEW YORK N Y CD**<br>CUSIP 465076GV3 | 12/28/10 | 150,000.000 | 100 000 | 150,000 00 | | | | |
| | | | 100 000 | 150,000 00 | 153,186 00 | 3,186 00 LT | | |
| | 12/28/10 | 100,000 000 | 100 000 | 100,000 00 | | | | |
| | | | 100 000 | 100,000 00 | 102,124 00 | 2,124 00 LT | | |
| | **Total** | 250,000 000 | | 250,000.00 | | | 5,000.00 | 1 95 |
| | | | | 250,000.00 | **255,310.00** | 5,310 00 LT | 2,404.89 | |
| *Unit Price: $102.124; Coupon Rate 2.000%, Matures 01/07/2016, Int. Semi-Annually Jan/Jul 07, Yield to Maturity 935%, Issued 01/07/11, Maturity Value = $250,000.00* | | | | | | | | |

CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **AMERICAN STATE BANK OSCEOLA IA CD** | 6/28/13 | 10,000.000 | 102 892 | 10,289.20 | | | 195.00 | 1.90 |
| CUSIP 029733AR3 | | | 102 892 | 10,289 20 | **10,213.20** | (76 00) ST | 11 00 | |
| *Unit Price $102 132, Coupon Rate 1.950%, Matures 01/11/2016, Interest Paid Monthly Feb 10, Yield to Maturity .887%, Issued 01/10/11, Maturity Value = $10,000 00* | | | | | | | | |
| **COLE TAYLOR BANK CHICAGO IL CD** | 1/5/11 | 154,000.000 | 100 000 | 154,000 00 | | | 3,311 00 | 2 10 |
| CUSIP 193296XY1 | | | 100.000 | 154,000.00 | **157,491.18** | 3,491.18 LT | 719 78 | |
| *Unit Price $102 267, Coupon Rate 2 150%, Matures 01/12/2016, Interest Paid Quarterly Apr 12, Yield to Maturity 1 020%, Issued 01/12/11, Maturity Value = $154,000 00* | | | | | | | | |
| **GE CAPITAL FINANCIAL INC HOLLADAY UT** | 6/20/12 | 50,000 000 | 109 857 | 54,928 27 | | | 2,000 00 | 3.76 |
| **CD** | | | 109.857 | 54,928 27 | **53,064.50** | (1,863 77) LT | 875.00 | |
| CUSIP 36160VFY2 | | | | | | | | |
| *Unit Price: $106 129, Coupon Rate 4.000%, Matures 01/25/2016, Int. Semi-Annually Jan/Jul 23, Yield to Maturity 996%, Issued 01/23/09, Maturity Value = $50,000 00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA NEW YORK NY** | 1/18/12 | 42,000.000 | 100.000 | 42,000 00 | | | 630.00 | 1.48 |
| **CD** | | | 100 000 | 42,000 00 | **42,488.04** | 488 04 LT | 272.20 | |
| CUSIP 38143AHJ7 | | | | | | | | |
| *Unit Price: $101.162; Coupon Rate 1 500%, Matures 01/25/2016, Int Semi-Annually Jan/Jul 25; Yield to Maturity 931%; Issued 01/25/12, Maturity Value = $42,000 00* | | | | | | | | |
| **BMW BANK OF NORTH AMERICA SALT LAKE** | 6/25/12 | 100,000.000 | 102 971 | 102,971 00 | | | | |
| **CITY UT CD** | | | 102 971 | 102,971.00 | 102,175 00 | (796 00) LT | | |
| CUSIP 05568PE29 | 6/25/12 | 13,000 000 | 102 971 | 13,386 23 | | | | |
| | | | 102 971 | 13,386 23 | 13,282 75 | (103 48) LT | | |
| | **Total** | 113,000.000 | | 116,357 23 | | | 2,373.00 | 2.05 |
| | | | | 116,357.23 | **115,457.75** | (899 48) LT | 1,005 94 | |
| *Unit Price. $102.175, Coupon Rate 2.100%; Matures 01/28/2016; Int. Semi-Annually Jan/Jul 28; Yield to Maturity 1.038%, Issued 01/28/11, Maturity Value = $113,000.00* | | | | | | | | |
| **SOUTH COASTAL BANK ROCKLAND MA CD** | 2/19/13 | 10,000 000 | 103.387 | 10,338.66 | | | 200.00 | 1.95 |
| CUSIP 837444AC2 | | | 103.387 | 10,338.66 | **10,242.10** | (96.56) ST | 10.21 | |
| *Unit Price. $102 421, Coupon Rate 2 000%, Matures 02/12/2016, Interest Paid Monthly Jun 12, Yield to Maturity 842%, Issued 05/12/11, Maturity Value = $10,000.00* | | | | | | | | |
| **ROLLSTONE BANK & TRUST FITCHBURG MA** | 2/4/11 | 240,000 000 | 100.000 | 240,000 00 | | | 5,280 00 | 2.15 |
| **CD** | | | 100.000 | 240,000 00 | **245,491.20** | 5,491.20 LT | 1,994 34 | |
| CUSIP 77579AAU0 | | | | | | | | |
| *Unit Price $102 288; Coupon Rate 2.200%, Matures 02/16/2016, Int Semi-Annually Feb/Aug 14, Yield to Maturity 1 108%, Issued 02/14/11, Maturity Value = $240,000 00* | | | | | | | | |
| **STATE BK INDIA CHICAGO ILL CD** | 2/5/13 | 98,000.000 | 107.084 | 104,942.19 | | | 3,185.00 | 3.11 |
| CUSIP 856283MC7 | | | 107.084 | 104,942 19 | **102,394.32** | (2,547 87) ST | 1,159 75 | |
| *Unit Price. $104.484, Coupon Rate 3 250%, Matures 02/19/2016, Int Semi-Annually Feb/Aug 19, Yield to Maturity 1 117%, Issued 02/19/10, Maturity Value = $98,000 00* | | | | | | | | |
| **GOLDMAN SACHS BANK USA NEW YORK NY** | 2/14/12 | 140,000 000 | 100 000 | 140,000.00 | | | 1,820.00 | 1.29 |
| **CD** | | | 100 000 | 140,000 00 | **141,008.00** | 1,008 00 LT | 647 88 | |
| CUSIP 38143AKP9 | | | | | | | | |
| *Unit Price. $100 720, Coupon Rate 1 300%, Matures 02/22/2016, Int. Semi-Annually Feb/Aug 22, Yield to Maturity 959%; Issued 02/22/12; Maturity Value = $140,000 00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **AMERICAN EAGLE BK SOUTH ELGIN ILL CD** | 2/10/11 | 150,000 000 | 100 000 | 150,000 00 | | | | |
| CUSIP 02554BBU4 | | | 100 000 | 150,000 00 | 153,447.00 | 3,447 00 LT | | |
| | 2/10/11 | 100,000 000 | 100.000 | 100,000.00 | | | | |
| | | | 100 000 | 100,000 00 | 102,298 00 | 2,298 00 LT | | |
| | **Total** | 250,000.000 | | 250,000 00 | | | 5,375.00 | 2 10 |
| | | | | 250,000.00 | **255,745.00** | 5,745 00 LT | 115 54 | |
| *Unit Price $102.298, Coupon Rate 2.150%, Matures 02/23/2016, Interest Paid Monthly Mar 23, Yield to Maturity 1 063%, Issued 02/23/11, Maturity Value = $250,000 00* | | | | | | | | |
| **SOUTHPOINT BK BIRMINGHAM ALA CD** | 2/22/13 | 50,000 000 | 108.253 | 54,126 64 | | | 1,775 00 | 3.37 |
| CUSIP 84464PAS6 | | | 108.253 | 54,126.64 | **52,626.50** | (1,500 14) ST | 28.62 | |
| *Unit Price $105 253, Coupon Rate 3 550%, Matures 02/25/2016; Interest Paid Monthly Apr 25, Yield to Maturity 1 072%, Issued 02/25/09, Maturity Value = $50,000 00* | | | | | | | | |
| **ASHEVILLE SVGS BK SSB NORTH CAROLINA** | 2/19/13 | 125,000 000 | 100 000 | 125,000 00 | | | 687 50 | 0.55 |
| **FID** | | | 100 000 | 125,000 00 | **124,951.25** | (48.75) ST | 5.54 | |
| CUSIP 04407RAK7 | | | | | | | | |
| *Unit Price· $99 961, Coupon Rate 0 550%, Matures 02/29/2016, Interest Paid Monthly Mar 28, Callable $100.00 on 01/28/14; Yield to Maturity .568%, Issued 02/28/13, Maturity Value = $125,000.00* | | | | | | | | |
| **UNION SVGS BK DANBURY CONN CD** | 2/16/11 | 150,000 000 | 100.000 | 150,000.00 | | | | |
| CUSIP 908139AU2 | | | 100 000 | 150,000 00 | 153,318 00 | 3,318 00 LT | | |
| | 2/16/11 | 100,000 000 | 100.000 | 100,000.00 | | | | |
| | | | 100 000 | 100,000.00 | 102,212.00 | 2,212 00 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 5,750 00 | 2.25 |
| | | | | 250,000 00 | **255,530.00** | 5,530 00 LT | 46.35 | |
| *Unit Price. $102 212; Coupon Rate 2 300%, Matures 02/29/2016, Interest Paid Monthly Mar 28, Yield to Maturity 1 259%, Issued 02/28/11; Maturity Value = $250,000.00* | | | | | | | | |
| **TRANS ALLIANCE CD OGDEN UT CD** | 2/26/13 | 250,000 000 | 100.000 | 250,000 00 | | | 1,250 00 | 0.50 |
| CUSIP 89387W5W1 | | | 100.000 | 250,000 00 | **249,607.50** | (392 50) ST | 83 87 | |
| *Unit Price. $99.843; Coupon Rate 0.500%, Matures 03/07/2016, Interest Paid Monthly Apr 06, Yield to Maturity 572%; Issued 03/06/13, Maturity Value = $250,000 00* | | | | | | | | |
| **DISCOVER BANK GREENWOOD DE CD** | 3/6/12 | 10,000.000 | 100.000 | 10,000.00 | | | 110.00 | 1.08 |
| CUSIP 2546704W9 | | | 100.000 | 10,000.00 | **10,092.90** | 92 90 LT | 32.81 | |
| *Unit Price. $100.929; Coupon Rate 1 100%, Matures 03/14/2016, Int. Semi-Annually Mar/Sep 14; Yield to Maturity 674%, Issued 03/14/12; Maturity Value = $10,000.00* | | | | | | | | |
| **STATE BK INDIA CHICAGO ILL CD** | 2/5/13 | 10,000.000 | 104 651 | 10,465 05 | | | | |
| CUSIP 856283RD0 | | | 104 651 | 10,465 05 | 10,280 50 | (184 55) ST | | |
| | 10/15/13 | 25,000 000 | 103 557 | 25,889 16 | | | | |
| | | | 103.557 | 25,889.16 | 25,701 25 | (187.91) ST | | |
| | **Total** | 35,000 000 | | 36,354 21 | | | 840 00 | 2.33 |
| | | | | 36,354.21 | **35,981.75** | (372 46) ST | 229.72 | |
| *Unit Price. $102 805, Coupon Rate 2 400%, Matures 03/23/2016, Int Semi-Annually Mar/Sep 23; Yield to Maturity 1 121%, Issued 03/23/11, Maturity Value = $35,000 00* | | | | | | | | |
| **EVERBANK JACKSONVILLE FSB CD** | 1/6/11 | 29,000 000 | 103.348 | 29,970 92 | | | 1,087 50 | 3.54 |
| CUSIP 29976DLU2 | | | 103 348 | 29,970 92 | **30,680.55** | 709.63 LT | 291 40 | |
| *Unit Price $105.795, Coupon Rate 3 750%, Matures 03/25/2016, Int Semi-Annually Mar/Sep 25, Yield to Maturity 1 115%, Issued 03/25/09, Maturity Value = $29,000 00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **EVERBANK JACKSONVILLE FL CD** | 8/22/12 | 25,000 000 | 109 460 | 27,365 06 | | | 950 00 | 3.58 |
| CUSIP 29976DLT5 | | | 109 460 | 27,365 06 | **26,479.75** | (885 31) LT | 254 55 | |
| *Unit Price. $105 919, Coupon Rate 3 800%, Matures 03/28/2016, Int Semi-Annually Mar/Sep 25, Yield to Maturity 1 119%, Issued 03/25/09, Maturity Value = $25,000 00* | | | | | | | | |
| **DISCOVER BANK GREENWOOD CD** | 8/30/13 | 50,000.000 | 102.799 | 51,399.67 | | | 1,050.00 | 2 04 |
| CUSIP 254670B68 | | | 102 799 | 51,399 67 | **51,323.00** | (76.67) ST | 265.38 | |
| *Unit Price $102.646; Coupon Rate 2.100%, Matures 03/30/2016, Int Semi-Annually Mar/Sep 30, Yield to Maturity .908%, Issued 03/30/11, Maturity Value = $50,000 00* | | | | | | | | |
| **FIRST ST BK MONTICELLO ILL CD** | 3/19/13 | 25,000.000 | 107.730 | 26,932.50 | | | 825.00 | 3.14 |
| CUSIP 33650HAG1 | | | 107 730 | 26,932.50 | **26,217.75** | (714.75) ST | 64.31 | |
| *Unit Price $104 871; Coupon Rate 3.300%, Matures 04/04/2016; Interest Paid Monthly Sep 02, Yield to Maturity 1.111%; Issued 04/02/09; Maturity Value = $25,000 00* | | | | | | | | |
| **ALLY BANK ( FORMERLY GMAC BK)** | 3/30/11 | 100,000.000 | 100.000 | 100,000.00 | | | 2,100 00 | 2 04 |
| **MIDVALE CD** | | | 100 000 | 100,000 00 | **102,650.00** | 2,650.00 LT | 496.15 | |
| CUSIP 02005QLG6 | | | | | | | | |
| *Unit Price $102.650, Coupon Rate 2 100%, Matures 04/06/2016, Int. Semi-Annually Apr/Oct 06, Yield to Maturity 915%, Issued 04/06/11, Maturity Value = $100,000 00* | | | | | | | | |
| **BMW BANK OF NORTH AMERICA SALT LAKE** | 4/3/12 | 88,000.000 | 100.000 | 88,000 00 | | | 1,100 00 | 1.24 |
| **CITY UT CD** | | | 100 000 | 88,000.00 | **88,682.00** | 682 00 LT | 238.73 | |
| CUSIP 05568PW29 | | | | | | | | |
| *Unit Price· $100 775, Coupon Rate 1 250%; Matures 04/13/2016, Int Semi-Annually Apr/Oct 13; Yield to Maturity 906%; Issued 04/13/12; Maturity Value = $88,000 00* | | | | | | | | |
| **AMERICAN EXPRESS CENTURION BANK** | 6/7/12 | 44,000 000 | 109 548 | 48,201 07 | | | 1,584 00 | 3 41 |
| **SALT LAKE CITY UT CD** | | | 109 548 | 48,201.07 | **46,444.20** | (1,756 87) LT | 335.07 | |
| CUSIP 02586TLS1 | | | | | | | | |
| *Unit Price: $105.555, Coupon Rate 3.600%; Matures 04/15/2016, Int Semi-Annually Apr/Oct 15, Yield to Maturity 1.135%; Issued 04/15/09; Maturity Value = $44,000.00* | | | | | | | | |
| **METLIFE BANK, NA BRIDGEWATER NJ CD** | 5/3/12 | 10,000.000 | 102.688 | 10,268 80 | | | 215.00 | 2.09 |
| CUSIP 591557FX6 | | | 102 688 | 10,268 80 | **10,265.80** | (3 00) LT | 41 93 | |
| *Unit Price· $102.658, Coupon Rate 2 150%, Matures 04/21/2016, Int Semi-Annually Apr/Oct 21, Yield to Maturity .981%, Issued 04/21/11; Maturity Value = $10,000.00* | | | | | | | | |
| **GE CAPITAL RETAIL BANK (FORMER LY GE** | 7/5/12 | 15,000 000 | 106 357 | 15,953 48 | | | 457 50 | 2 91 |
| **MONEY BK) DRAPER UT CD** | | | 106 357 | 15,953.48 | **15,670.20** | (283 28) LT | 86 72 | |
| CUSIP 36159UNT9 | | | | | | | | |
| *Unit Price $104.468, Coupon Rate 3 050%, Matures 04/25/2016, Int Semi-Annually Apr/Oct 23, Yield to Maturity 1 091%, Issued 04/23/10, Maturity Value = $15,000 00* | | | | | | | | |
| **ROCKVILLE BK Vernon Rockville CT CD** | 4/17/12 | 150,000 000 | 100 000 | 150,000 00 | | | | |
| CUSIP 77413RAD1 | | | 100 000 | 150,000 00 | 150,424.50 | 424.50 LT | | |
| | 4/18/12 | 100,000 000 | 100 000 | 100,000 00 | | | | |
| | | | 100.000 | 100,000.00 | 100,283.00 | 283.00 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 2,500.00 | 0.99 |
| | | | | 250,000 00 | **250,707.50** | 707 50 LT | 446 42 | |
| *Unit Price $100 283; Coupon Rate 1 000%, Matures 04/27/2016, Int Semi-Annually Apr/Oct 27, Callable $100.00 on 04/27/14, Yield to Maturity .877%, Issued 04/27/12, Maturity Value = $250,000 00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **BANK LEUMI USA NEW YORK N Y CD** | 5/3/11 | 63,000.000 | 100.000 | 63,000 00 | | | | |
| CUSIP 063248DP0 | | | 100 000 | 63,000 00 | 64,682 73 | 1,682 73 LT | | |
| | 5/4/11 | 187,000.000 | 100.000 | 187,000.00 | | | | |
| | | | 100 000 | 187,000.00 | 191,994.77 | 4,994.77 LT | | |
| | **Total** | 250,000 000 | | 250,000.00 | | | 5,375 00 | 2 09 |
| | | | | 250,000 00 | **256,677.50** | 6,677 50 LT | 712 70 | |
| *Unit Price: $102.671; Coupon Rate 2 150%, Matures 05/13/2016, Int. Semi-Annually May/Nov 13, Yield to Maturity 1.005%, Issued 05/13/11, Maturity Value = $250,000 00* | | | | | | | | |
| **STATE BANK FINANCIAL LA CROSSE WI CD** | 5/4/11 | 245,000 000 | 100.000 | 245,000.00 | | | 5,022 50 | 1.99 |
| CUSIP 85618PAT6 | | | 100 000 | 245,000 00 | **251,578.25** | 6,578.25 LT | 242.97 | |
| *Unit Price: $102.685, Coupon Rate 2 050%, Matures 05/13/2016, Interest Paid Monthly Jun 13, Yield to Maturity 901%, Issued 05/13/11, Maturity Value = $245,000 00* | | | | | | | | |
| **MIDDLEBURG BK CD Middleburg VA CD** | 5/21/12 | 47,000 000 | 98.708 | 46,392 65 | | | 399.50 | 0.84 |
| CUSIP 596131CA8 | | | 98 708 | 46,392 65 | **47,353.44** | 960.79 LT | 17 17 | |
| *Unit Price. $100 752, Coupon Rate 0.850%; Matures 05/16/2016, Interest Paid Monthly Jun 15; Yield to Maturity 531%, Issued 05/15/12, Maturity Value = $47,000 00* | | | | | | | | |
| **GE CAPITAL FINANCIAL INC. HOLLADAY CD** | 6/22/12 | 19,000 000 | 109 556 | 20,815 69 | | | 722 00 | 3.57 |
| CUSIP 36160VJB8 | | | 109.556 | 20,815 69 | **20,202.89** | (612.80) LT | 65.81 | |
| *Unit Price. $106 331, Coupon Rate 3 800%, Matures 05/31/2016, Int Semi-Annually May/Nov 28, Yield to Maturity 1 136%, Issued 05/28/09; Maturity Value = $19,000 00* | | | | | | | | |
| **WORLD FINL CAPITAL BANK SALT LAKE CITY UTAH CD** | 5/25/11 | 125,000 000 | 100.000 | 125,000.00 | | | | |
| | | | 100.000 | 125,000 00 | 128,386 25 | 3,386 25 LT | | |
| CUSIP 98146QAV3 | 5/25/11 | 125,000 000 | 100 000 | 125,000.00 | | | | |
| | | | 100 000 | 125,000 00 | 128,386 25 | 3,386 25 LT | | |
| | **Total** | 250,000 000 | | 250,000.00 | | | 5,000 00 | 1.94 |
| | | | | 250,000 00 | **256,772.50** | 6,772 50 LT | 425.82 | |
| *Unit Price $102.709; Coupon Rate 2 000%, Matures 05/31/2016, Int Semi-Annually May/Nov 30, Yield to Maturity 865%, Issued 05/31/11, Maturity Value = $250,000 00* | | | | | | | | |
| **GE CAPITAL RETAIL BANK (FORMER LY GE MONEY BANK) DRAPER UT CD** | 7/6/12 | 77,000.000 | 103.050 | 79,348 15 | | | 1,655.50 | 2.09 |
| | | | 103.050 | 79,348.15 | **78,878.80** | (469.35) LT | 95 50 | |
| CUSIP 36161NLA4 | | | | | | | | |
| *Unit Price· $102 440; Coupon Rate 2 150%; Matures 06/10/2016, Int Semi-Annually Jun/Dec 10, Yield to Maturity 1 134%, Issued 06/10/11, Maturity Value = $77,000.00* | | | | | | | | |
| **GOLF SVGS BK MTN TER WASH CD** | 3/18/13 | 50,000 000 | 109 501 | 54,750.50 | | | 1,750.00 | 3.30 |
| CUSIP 38167PLX9 | | | 109 501 | 54,750.50 | **53,014.50** | (1,736.00) ST | 65 84 | |
| *Unit Price $106 029; Coupon Rate 3 500%, Matures 06/17/2016, Interest Paid Monthly Jul 17, Yield to Maturity 1 014%, Issued 06/17/09, Maturity Value = $50,000.00* | | | | | | | | |
| **WAYNE SVGS CMNTY BK WOOSTER OH CD** | 6/8/11 | 125,000 000 | 100.000 | 125,000 00 | | | | |
| CUSIP 946251AA3 | | | 100 000 | 125,000 00 | 128,380.00 | 3,380 00 LT | | |
| | 6/8/11 | 125,000 000 | 100.000 | 125,000.00 | | | | |
| | | | 100.000 | 125,000.00 | 128,380.00 | 3,380.00 LT | | |
| | **Total** | 250,000.000 | | 250,000.00 | | | 4,625 00 | 1.80 |
| | | | | 250,000 00 | **256,760.00** | 6,760.00 LT | 173 98 | |
| *Unit Price· $102.704, Coupon Rate 1.850%, Matures 06/17/2016, Interest Paid Monthly Jul 17; Yield to Maturity 740%, Issued 06/17/11, Maturity Value = $250,000 00* | | | | | | | | |

Account Detail

THE R.B. NORDICK FOUNDATION
675 12TH AVE NE

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **BANK INTERNET USA SAN DIEGO CA CD** | 6/8/11 | 230,000 000 | 100 000 | 230,000.00 | | | 4,600.00 | 1.94 |
| CUSIP 06279LCM4 | | | 100.000 | 230,000 00 | **236,210.00** | 6,210.00 LT | 111 24 | |
| *Unit Price: $102.700; Coupon Rate 2.000%, Matures 06/22/2016, Interest Paid Monthly Jul 22, Yield to Maturity .895%, Issued 06/22/11, Maturity Value = $230,000 00* | | | | | | | | |
| **AMERICAN EXPRESS BANK, FSB SALT LAKE** | 8/28/12 | 50,000 000 | 109 491 | 54,745.42 | | | 1,800.00 | 3.39 |
| **CITY UT CD** | | | 109 491 | 54,745.42 | **53,013.50** | (1,731.92) LT | 34.61 | |
| CUSIP 02580VTB1 | | | | | | | | |
| *Unit Price· $106.027, Coupon Rate 3 600%, Matures 06/24/2016, Int. Semi-Annually Jun/Dec 24, Yield to Maturity 1.130%, Issued 06/24/09, Maturity Value = $50,000.00* | | | | | | | | |
| **FIRSTBANK CD SANTURCE PR CD** | 6/27/12 | 150,000 000 | 100 000 | 150,000.00 | | | 1,875.00 | 1.24 |
| CUSIP 33764JGY5 | | | 100 000 | 150,000 00 | **150,963.00** | 963.00 LT | 10.07 | |
| *Unit Price: $100 642; Coupon Rate 1.250%, Matures 06/29/2016; Interest Paid Monthly Jul 29; Yield to Maturity 989%, Issued 06/29/12, Maturity Value = $150,000 00* | | | | | | | | |
| **NEBRASKALAND NATIONAL BANK NORTH** | 7/5/12 | 25,000 000 | 101 459 | 25,364 85 | | | 437.50 | 1.70 |
| **PLATTE NE CD** | | | 101 459 | 25,364 85 | **25,684.00** | 319 15 LT | 35.27 | |
| CUSIP 63970QDY9 | | | | | | | | |
| *Unit Price $102 736, Coupon Rate 1 750%, Matures 06/30/2016, Interest Paid Monthly Aug 01, Yield to Maturity 644%, Issued 06/30/11, Maturity Value = $25,000 00* | | | | | | | | |
| **THE WASHINGTON TRUST COMPANY OF** | 6/27/11 | 125,000 000 | 100 000 | 125,000.00 | | | | |
| **WESTERLY WESTERLY RI CD** | | | 100 000 | 125,000.00 | 128,420 00 | 3,420 00 LT | | |
| CUSIP 940637FB2 | 6/27/11 | 125,000 000 | 100.000 | 125,000 00 | | | | |
| | | | 100 000 | 125,000.00 | 128,420.00 | 3,420.00 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 4,625 00 | 1.80 |
| | | | | 250,000 00 | **256,840.00** | 6,840 00 LT | 2,211.95 | |
| *Unit Price· $102 736, Coupon Rate 1 850%, Matures 07/08/2016, Int Semi-Annually Jan/Jul 08, Yield to Maturity 752%, Issued 07/08/11, Maturity Value = $250,000 00* | | | | | | | | |
| **FIRST MIDWEST BK BUFFALO GROVE IL CD** | 6/30/11 | 125,000.000 | 100 000 | 125,000 00 | | | | |
| CUSIP 32086SKQ0 | | | 100 000 | 125,000 00 | 128,401 25 | 3,401 25 LT | | |
| | 6/30/11 | 125,000 000 | 100 000 | 125,000 00 | | | | |
| | | | 100.000 | 125,000 00 | 128,401.25 | 3,401 25 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 4,375.00 | 1.70 |
| | | | | 250,000 00 | **256,802.50** | 6,802.50 LT | 2,009 17 | |
| *Unit Price· $102 721, Coupon Rate 1 750%, Matures 07/15/2016; Int Semi-Annually Jan/Jul 15, Yield to Maturity 668%, Issued 07/15/11, Maturity Value = $250,000.00* | | | | | | | | |
| **DORAL BANK CD San Juan PR CD** | 7/5/12 | 100,000 000 | 100 000 | 100,000 00 | | | | |
| CUSIP 25811LW88 | | | 100.000 | 100,000.00 | 100,715.00 | 715.00 LT | | |
| | 7/11/12 | 150,000 000 | 100.000 | 150,000 00 | | | | |
| | | | 100.000 | 150,000.00 | 151,072.50 | 1,072 50 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 3,000.00 | 1.19 |
| | | | | 250,000.00 | **251,787.50** | 1,787.50 LT | 1,369 56 | |
| *Unit Price. $100.715, Coupon Rate 1 200%, Matures 07/18/2016, Int. Semi-Annually Jan/Jul 16, Yield to Maturity 915%, Issued 07/16/12, Maturity Value = $250,000 00* | | | | | | | | |
| **GE CAP FINL INC RETAIL CD** | 6/22/12 | 10,000 000 | 108.978 | 10,897 80 | | | 355.00 | 3.35 |
| CUSIP 36160TKC9 | | | 108.978 | 10,897 80 | **10,595.10** | (302 70) LT | 155.31 | |
| *Unit Price: $105.951; Coupon Rate 3.550%, Matures 07/25/2016, Int. Semi-Annually Jan/Jul 23, Yield to Maturity 1 189%, Issued 07/23/09, Maturity Value = $10,000.00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>*Accrued Interest* | Yield % |
|---|---|---|---|---|---|---|---|---|
| **UNITY BANK CLINTON NJ CD** | 7/20/11 | 125,000.000 | 100 000 | 125,000 00 | | | | |
| CUSIP 91330AAQ0 | | | 100 000 | 125,000 00 | 128,452.50 | 3,452.50 LT | | |
| | 7/20/11 | 125,000.000 | 100 000 | 125,000 00 | | | | |
| | | | 100 000 | 125,000 00 | 128,452 50 | 3,452 50 LT | | |
| | **Total** | 250,000.000 | | 250,000 00 | | | 4,375 00 | 1 70 |
| | | | | 250,000 00 | **256,905.00** | 6,905 00 LT | 23.51 | |
| *Unit Price $102 762; Coupon Rate 1 750%, Matures 07/29/2016, Interest Paid Monthly Aug 29, Yield to Maturity 668%, Issued 07/29/11, Maturity Value = $250,000.00* | | | | | | | | |
| **FIRSTBANK CD Santurce PR CD** | 7/20/12 | 100,000 000 | 100 000 | 100,000 00 | | | 1,250.00 | 1 24 |
| CUSIP 33764JHM0 | | | 100 000 | 100,000 00 | **100,595.00** | 595 00 LT | 100 74 | |
| *Unit Price. $100 595, Coupon Rate 1 250%, Matures 08/01/2016, Interest Paid Monthly Sep 01, Yield to Maturity 1.016%, Issued 08/01/12, Maturity Value = $100,000.00* | | | | | | | | |
| **BANK OF BARODA NEW YORK NY CD** | 7/22/11 | 125,000 000 | 100.000 | 125,000 00 | | | | |
| CUSIP 0606232T1 | | | 100 000 | 125,000 00 | 128,802 50 | 3,802 50 LT | | |
| | 7/22/11 | 125,000 000 | 100 000 | 125,000 00 | | | | |
| | | | 100 000 | 125,000 00 | 128,802 50 | 3,802 50 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 4,625.00 | 1.79 |
| | | | | 250,000 00 | **257,605.00** | 7,605 00 LT | 1,897.75 | |
| *Unit Price· $103.042, Coupon Rate 1 850%, Matures 08/02/2016, Int Semi-Annually Feb/Aug 02, Yield to Maturity 662%, Issued 08/02/11, Maturity Value = $250,000 00* | | | | | | | | |
| **NORTH JERSEY CMNTY BANK ENGLEWOOD CLIFFS CD** | 8/1/11 | 125,000 000 | 100.000 | 125,000 00 | | | | |
| | | | 100 000 | 125,000 00 | 128,420 00 | 3,420.00 LT | | |
| CUSIP 660159AA2 | 8/1/11 | 75,000.000 | 100 000 | 75,000.00 | | | | |
| | | | 100 000 | 75,000 00 | 77,052 00 | 2,052.00 LT | | |
| | **Total** | 200,000.000 | | 200,000 00 | | | 3,500.00 | 1.70 |
| | | | | 200,000 00 | **205,472.00** | 5,472 00 LT | 1,426 63 | |
| *Unit Price. $102.736, Coupon Rate 1 750%, Matures 08/03/2016, Int Semi-Annually Feb/Aug 03, Yield to Maturity 683%, Issued 08/03/11, Maturity Value = $200,000.00* | | | | | | | | |
| **FARMERS ST BK STICKNEY S D CD** | 11/8/10 | 10,000 000 | 113.609 | 11,360.90 | | | | |
| CUSIP 31034NAB0 | | | 113.609 | 11,360.90 | 11,039.40 | (321.50) LT | | |
| | 6/8/12 | 10,000 000 | 117 792 | 11,779 15 | | | | |
| | | | 117.792 | 11,779.15 | 11,039.40 | (739.75) LT | | |
| | **Total** | 20,000 000 | | 23,140 05 | | | 1,120 00 | 5.07 |
| | | | | 23,140 05 | **22,078.80** | (1,061.25) LT | 453.47 | |
| *Unit Price $110 394, Coupon Rate 5 600%, Matures 08/04/2016, Int Semi-Annually Feb/Aug 04, Yield to Maturity 1 497%, Issued 08/04/06, Maturity Value = $20,000 00* | | | | | | | | |
| **THE NATIONAL REPUBLIC BANK OF CHICAGO CHICAGO IL FID** | 7/28/11 | 188,000 000 | 100 000 | 188,000 00 | | | 3,384 00 | 1 79 |
| CUSIP 63736QPY1 | | | 100.000 | 188,000 00 | **188,193.64** | 193 64 LT | 236.51 | |
| *Unit Price $100.103, Coupon Rate 1 800%, Matures 08/05/2016, Interest Paid Monthly Sep 05, Callable $100 00 on 02/05/14, Yield to Maturity 1.759%; Issued 08/05/11, Maturity Value = $188,000.00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost Adj. Unit Cost | Orig. Total Cost Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **BANK OF GEORGETOWN WASH DC CD** | 8/2/11 | 125,000 000 | 100 000 | 125,000 00 | | | | |
| CUSIP 06424XAW2 | | | 100.000 | 125,000.00 | 128,431 25 | 3,431.25 LT | | |
| | 8/2/11 | 125,000.000 | 100 000 | 125,000.00 | | | | |
| | | | 100 000 | 125,000 00 | 128,431 25 | 3,431 25 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 4,375 00 | 1 70 |
| | | | | 250,000 00 | **256,862.50** | 6,862 50 LT | 1,640 62 | |
| *Unit Price. $102 745, Coupon Rate 1 750%, Matures 08/15/2016, Int. Semi-Annually Feb/Aug 15, Yield to Maturity 692%, Issued 08/15/11, Maturity Value = $250,000.00* | | | | | | | | |
| **CAMDEN NATL BK ME CD** | 8/2/11 | 125,000.000 | 100.000 | 125,000.00 | | | | |
| CUSIP 133033DL1 | | | 100 000 | 125,000 00 | 128,468 75 | 3,468 75 LT | | |
| | 8/2/11 | 125,000 000 | 100 000 | 125,000.00 | | | | |
| | | | 100.000 | 125,000.00 | 128,468.75 | 3,468.75 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 4,375.00 | 1.70 |
| | | | | 250,000 00 | **256,937.50** | 6,937 50 LT | 164 61 | |
| *Unit Price· $102.775, Coupon Rate 1 750%, Matures 08/17/2016, Interest Paid Monthly Sep 17, Yield to Maturity .683%, Issued 08/17/11, Maturity Value = $250,000 00* | | | | | | | | |
| **SALLIE MAE BK CD MURRAY UT CD** | 8/15/12 | 150,000 000 | 100 000 | 150,000 00 | | | 1,950.00 | 1.29 |
| CUSIP 795450NZ4 | | | 100 000 | 150,000 00 | **150,843.00** | 843.00 LT | 694.15 | |
| *Unit Price. $100.562, Coupon Rate 1 300%, Matures 08/22/2016, Int Semi-Annually Feb/Aug 22, Yield to Maturity 1 084%, Issued 08/22/12, Maturity Value = $150,000 00* | | | | | | | | |
| **GE CAP FINL INC RETAIL CD** | 6/21/12 | 55,000.000 | 108.894 | 59,891.47 | | | 1,925 00 | 3.30 |
| CUSIP 36160TKT2 | | | 108 894 | 59,891 47 | **58,265 35** | (1,626 12) LT | 659.10 | |
| *Unit Price: $105.937, Coupon Rate 3 500%, Matures 08/29/2016, Int Semi-Annually Feb/Aug 27, Yield to Maturity 1 225%, Issued 08/27/09, Maturity Value = $55,000 00* | | | | | | | | |
| **GOLDMAN SACHS CD NEW YORK NY CD** | 8/22/12 | 55,000 000 | 100 000 | 55,000 00 | | | 742.50 | 1.34 |
| CUSIP 38143AZP3 | | | 100 000 | 55,000 00 | **55,265.10** | 265 10 LT | 252.20 | |
| *Unit Price $100 482, Coupon Rate 1 350%, Matures 08/29/2016, Int Semi-Annually Feb/Aug 28, Yield to Maturity 1.165%, Issued 08/29/12, Maturity Value = $55,000 00* | | | | | | | | |
| **MB FINANCIAL BANK, NA CHICAGO IL CD** | 3/19/13 | 50,000 000 | 104 388 | 52,194 00 | | | 1,000 00 | 1.92 |
| CUSIP 55266CKB1 | | | 104.388 | 52,194.00 | **51,943.00** | (251.00) ST | 56.42 | |
| *Unit Price· $103.886; Coupon Rate 2 000%, Matures 09/09/2016, Interest Paid Monthly Nov 10, Yield to Maturity 543%; Issued 09/10/10; Maturity Value = $50,000 00* | | | | | | | | |
| **AMERICAN EXPRESS CENTURION BANK SALT LAKE CITY UT CD** | 11/29/12 | 15,000.000 | 109.050 | 16,357 53 | | | 525.00 | 3 30 |
| CUSIP 02586TE91 | | | 109 050 | 16,357.53 | **15,884.25** | (473 28) LT | 153.72 | |
| *Unit Price $105.895; Coupon Rate 3 500%, Matures 09/16/2016, Int Semi-Annually Mar/Sep 16, Yield to Maturity 1.279%, Issued 09/16/09, Maturity Value = $15,000.00* | | | | | | | | |
| **SHORE BK ONLEY VA CD** | 2/28/12 | 125,000 000 | 100 000 | 125,000 00 | | | | |
| CUSIP 825109AG6 | | | 100 000 | 125,000 00 | 124,128.75 | (871.25) LT | | |
| | 2/28/12 | 125,000 000 | 100 000 | 125,000 00 | | | | |
| | | | 100 000 | 125,000.00 | 124,128.75 | (871 25) LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 2,500.00 | 1.00 |
| | | | | 250,000.00 | **248,257.50** | (1,742.50) LT | 100 76 | |
| *Unit Price $99 303, Coupon Rate 1 000%, Matures 09/16/2016, Interest Paid Monthly Apr 16, Callable $100 00 on 04/16/14, Yield to Maturity 1 262%, Issued 03/16/12, Maturity Value = $250,000 00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **AMERICAN EXPRESS CENTURION BANK SALT LAKE CITY UT CD**<br>CUSIP 02586TG57 | 7/2/12 | 75,000 000 | 108 724<br>108.724 | 81,543.00<br>81,543 00 | **79,440.00** | (2,103.00) LT | 2,625.00<br>717.88 | 3.30 |
| *Unit Price. $105 920, Coupon Rate 3 500%, Matures 09/23/2016, Int Semi-Annually Mar/Sep 23, Yield to Maturity 1.284%, Issued 09/23/09, Maturity Value = $75,000 00* | | | | | | | | |
| **GE CAPITAL RETAIL BANK (FORMER LY GE MONEY BK) DRAPER UTAH CD**<br>CUSIP 36159UUC8 | 7/5/12 | 18,000 000 | 103 438<br>103 438 | 18,618.75<br>18,618 75 | **18,505.44** | (113 31) LT | 414 00<br>112.07 | 2 23 |
| *Unit Price. $102.808, Coupon Rate 2 300%, Matures 09/26/2016, Int Semi-Annually Mar/Sep 24, Yield to Maturity 1 253%, Issued 09/24/10, Maturity Value = $18,000 00* | | | | | | | | |
| **CIT BANK SALT LAKE CITY UT CD**<br>CUSIP 17284AZE1 | 2/13/13 | 83,000 000 | 103 528<br>103.528 | 85,928.24<br>85,928 24 | **85,060.06** | (868.18) ST | 1,618 50<br>420 27 | 1.90 |
| *Unit Price $102 482, Coupon Rate 1 950%, Matures 09/28/2016, Int Semi-Annually Mar/Sep 28, Yield to Maturity 1 029%, Issued 09/28/11; Maturity Value = $83,000 00* | | | | | | | | |
| **M & I MARSHALL & ILSLEY BK MILWAUKEE WIS CD**<br>CUSIP 55405PEG0 | 4/5/12 | 12,000 000 | 113 469<br>113 469 | 13,616 28<br>13,616 28 | **13,209.48** | (406 80) LT | 606 00<br>155.68 | 4.58 |
| *Unit Price $110.079, Coupon Rate 5.050%, Matures 09/29/2016, Int Semi-Annually Mar/Sep 29, Yield to Maturity 1 299%, Issued 09/29/06, Maturity Value = $12,000.00* | | | | | | | | |
| **LUANA SVGS BK CD LUANA IA CD**<br>CUSIP 549103MF3 | 3/5/13 | 250,000.000 | 100.000<br>100.000 | 250,000.00<br>250,000 00 | **248,590.00** | (1,410 00) ST | 1,250.00<br>298.76 | 0.50 |
| *Unit Price. $99.436, Coupon Rate 0 500%, Matures 10/04/2016, Int Semi-Annually Apr/Oct 05, Yield to Maturity .707%, Issued 04/05/13; Maturity Value = $250,000.00* | | | | | | | | |
| **BUSINESS FIRST BANK BATON ROUGE LA CD**<br>CUSIP 123257BY2 | 2/12/13 | 145,000.000 | 108.629<br>108 629 | 157,511 88<br>157,511.88 | **153,501.35** | (4,010 53) ST | 5,002.50<br>295.84 | 3 25 |
| *Unit Price $105 863, Coupon Rate 3.450%, Matures 10/07/2016, Interest Paid Monthly Dec 09, Yield to Maturity 1.286%, Issued 10/09/09, Maturity Value = $145,000 00* | | | | | | | | |
| **GE CAPITAL RETAIL BANK DRAPER UT CD**<br>CUSIP 36159D4A9 | 7/6/12 | 40,000 000 | 102.818<br>102.818 | 41,127 28<br>41,127.28 | **40,800.00** | (327.28) LT | 800 00<br>186.81 | 1.96 |
| *Unit Price. $102.000; Coupon Rate 2 000%, Matures 10/07/2016, Int Semi-Annually Apr/Oct 07; Yield to Maturity 1 262%, Issued 10/07/11, Maturity Value = $40,000 00* | | | | | | | | |
| **GE CAPITAL FINANCIAL INC. HOLLADAY UT CD**<br>CUSIP 36160VC41 | 7/5/12 | 24,000.000 | 102.855<br>102.855 | 24,685.20<br>24,685.20 | **24,535.68** | (149.52) LT | 504.00<br>62 65 | 2.05 |
| *Unit Price $102.232, Coupon Rate 2.100%, Matures 11/16/2016, Int Semi-Annually May/Nov 16, Yield to Maturity 1 306%, Issued 11/16/11; Maturity Value = $24,000 00* | | | | | | | | |
| **BMW BANK OF NORTH AMERICA SALT LAKE CITY UT CD**<br>CUSIP 05568PU62 | 6/21/12 | 17,000 000 | 103.301<br>103 301 | 17,561.17<br>17,561 17 | 17,397 63 | (163.54) LT | | |
| | 7/5/12 | 20,000.000 | 102 844<br>102 844 | 20,568 80<br>20,568 80 | 20,467 80 | (101 00) LT | | |
| | **Total** | 37,000 000 | | 38,129.97<br>38,129 97 | **37,865.43** | (264.54) LT | 777 00<br>64.03 | 2.05 |
| *Unit Price. $102 339, Coupon Rate 2 100%; Matures 12/01/2016, Int Semi-Annually Jun/Dec 01, Yield to Maturity 1 281%, Issued 12/01/11, Maturity Value = $37,000 00* | | | | | | | | |

## CERTIFICATES OF DEPOSIT (CONTINUED)

| Security Description | Trade Date | Face Value | Orig. Unit Cost<br>Adj. Unit Cost | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|---|---|
| **AMERICAN EXPRESS CENTURION BANK** | 6/21/12 | 10,000 000 | 109.392 | 10,939.20 | | | 350.00 | 3.29 |
| **SALT LAKE CITY CD** | | | 109.392 | 10,939 20 | **10,619.20** | (320 00) LT | 21 15 | |
| CUSIP 02586T5E0 | | | | | | | | |
| *Unit Price $106.192, Coupon Rate 3 500%, Matures 12/09/2016, Int Semi-Annually Jun/Dec 09, Yield to Maturity 1 345%, Issued 12/09/09, Maturity Value = $10,000 00* | | | | | | | | |
| **CIT BANK SLC CD SALT LAKE CITY UT CD** | 6/8/12 | 150,000.000 | 100.000 | 150,000 00 | | | 2,025 00 | 1.33 |
| CUSIP 17284AX45 | | | 100 000 | 150,000 00 | **151,489.50** | 1,489 50 LT | 100.13 | |
| *Unit Price $100.993, Coupon Rate 1 350%, Matures 12/13/2016, Int Semi-Annually Jun/Dec 13, Yield to Maturity 1.008%, Issued 06/13/12, Maturity Value = $150,000.00* | | | | | | | | |
| **COUNTRY BK NEW YORK NY CD** | 7/5/12 | 20,000.000 | 114 300 | 22,860 00 | | | 1,000 00 | 4 51 |
| CUSIP 22230PAE0 | | | 114.300 | 22,860 00 | **22,131.60** | (728 40) LT | 5.37 | |
| *Unit Price: $110.658, Coupon Rate 5 000%, Matures 12/29/2016, Interest Paid Monthly Sep 29; Yield to Maturity 1 356%, Issued 12/29/06; Maturity Value = $20,000 00* | | | | | | | | |
| **MIDFIRST BANK OKLAHOMA CITY CD** | 3/12/13 | 10,000 000 | 108 810 | 10,880 98 | | | 345.00 | 3.24 |
| CUSIP 59740JJZ3 | | | 108.810 | 10,880 98 | **10,627.70** | (253 28) ST | 166 87 | |
| *Unit Price· $106 277, Coupon Rate 3.450%, Matures 01/06/2017; Int Semi-Annually Jan/Jul 06, Yield to Maturity 1 319%; Issued 01/06/10; Maturity Value = $10,000.00* | | | | | | | | |
| **SOMERSET TRUST COMPANY SOMERSET PA** | 3/19/13 | 100,000 000 | 101 541 | 101,541 00 | | | 1,150.00 | 1 12 |
| **CD** | | | 101 541 | 101,541 00 | **102,186.00** | 645.00 ST | 43.26 | |
| CUSIP 835104BB5 | | | | | | | | |
| *Unit Price $102 186, Coupon Rate 1 150%, Matures 02/17/2017, Interest Paid Monthly Mar 17, Issued 02/17/12, Maturity Value = $100,000.00* | | | | | | | | |
| **INDEPEDENT BK CD MEMPHIS TN CD** | 3/5/13 | 250,000.000 | 100 000 | 250,000 00 | | | 1,500.00 | 0.60 |
| CUSIP 45383UNC5 | | | 100 000 | 250,000 00 | **248,257.50** | (1,742 50) ST | 64 51 | |
| *Unit Price $99.303, Coupon Rate 0 600%, Matures 03/15/2017, Interest Paid Monthly Apr 15, Yield to Maturity .821%, Issued 03/15/13, Maturity Value = $250,000 00* | | | | | | | | |
| **AMERICAN BK CD Sioux Center IA CD** | 3/7/13 | 250,000 000 | 100 000 | 250,000 00 | | | 1,750.00 | 0.70 |
| CUSIP 029728AM4 | | | 100 000 | 250,000.00 | **248,127.50** | (1,872 50) ST | 14.10 | |
| *Unit Price $99 251; Coupon Rate 0.700%, Matures 03/28/2017, Interest Paid Monthly Apr 28, Yield to Maturity .935%, Issued 03/28/13, Maturity Value = $250,000 00* | | | | | | | | |
| **CITIGROUP CONTINGENT COUPON MARKET** | 7/26/11 | 100,000 000 | 100.000 | 100,000 00 | | | — | — |
| **LINKED CD COMMODITIES** | | | 100.000 | 100,000 00 | **93,410.00** | (6,590 00) LT | — | |
| CUSIP 172986DG3 | | | | | | | | |
| *Unit Price: $93.410; Zero Coupon, Matures 07/21/2017, Floater, Issued 07/28/11, Structured Products, Maturity Value = $100,000 00* | | | | | | | | |
| **DISCOVER BANK GREENWOOD DEL CD** | 9/5/08 | 50,000 000 | 100 000 | 50,000 00 | | | 2,600.00 | 4.55 |
| CUSIP 25469JUB3 | | | 100.000 | 50,000 00 | **57,037.50** | 7,037 50 LT | 804 41 | |
| *Unit Price $114.075, Coupon Rate 5 200%, Matures 09/10/2018, Int Semi-Annually Mar/Sep 10; Yield to Maturity 2 039%, Issued 09/10/08; Maturity Value = $50,000 00* | | | | | | | | |
| **WELLS FARGO BANK NA SIOUX FALLS SD -** | 8/16/11 | 125,000 000 | 100 000 | 125,000 00 | | | | |
| **FID** | | | 100 000 | 125,000.00 | 127,112 50 | 2,112.50 LT | | |
| CUSIP 949748K30 | 8/16/11 | 125,000 000 | 100 000 | 125,000 00 | | | | |
| | | | 100 000 | 125,000 00 | 127,112.50 | 2,112 50 LT | | |
| | **Total** | 250,000 000 | | 250,000 00 | | | 5,000.00 | 1.96 |
| | | | | 250,000 00 | **254,225.00** | 4,225 00 LT | 430 55 | |
| *Unit Price $101 690, Coupon Rate 2 000%, Matures 08/31/2021, Interest Paid Quarterly Nov 30, Callable $100.00 on 08/31/15, Yield to Maturity 1 763%; Stepped, Issued 08/31/11, Maturity Value = $250,000.00* | | | | | | | | |

## Account Detail

|  | Face Value<br>Percentage of Assets % | Orig. Total Cost<br>Adj. Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| CERTIFICATES OF DEPOSIT | 21,933,000.000 | $22,207,189.73<br>$22,207,189.73 | $22,320,665.19 | $158,343.48 LT<br>$(44,868.02) ST | $437,966.50<br>$83,998.99 | 1.96% |
| TOTAL CERTIFICATES OF DEPOSIT (incl.accr.int.) | 85.5% |  | $22,404,664.18 |  |  |  |

### MUTUAL FUNDS

**OTHER MUTUAL FUNDS**

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| **WESTERN ASSET INST GOV RESV I (INGXX)** |  | 2,875,632.540 | $0 000 | $0.00 | $2,875,632.54 |  | $1,340 00 | 0.04 |

*Share Price: $1 000; Enrolled In MS Dividend Reinvestment, Capital Gains Reinvest*

|  | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| MUTUAL FUNDS | 11.0% | $0.00 | $2,875,632.54 |  | $1,340.00<br>$0.00 | 0.05% |

*Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page.*
*For more information about the pricing of Money Market Funds, please see the Expanded Disclosures.*

|  | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | 100.0% | $22,867,473.36 | $26,104,860.51 | $151,205.55 LT<br>$(44,868.02) ST | $456,085.02<br>$88,054.34 | 1.74% |
| TOTAL VALUE (includes accrued interest) |  |  | $26,192,914.85 |  |  |  |

*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available Cash, MMF, Deposits and positions stating 'Please Provide' are not included*

Welcome back, sign out.
Ralph B Nordick Trust
Home ⋮ Account Forms ⋮ User Agreement ⋮ Help

You are viewing The R. B. Nordick Foundation's C Corporation account :

| Overview¹ | Holdings | | Income | Transactions | About your account |
|---|---|---|---|---|---|
| Net Assets | Securities | Cash | 2014 YTD Earnings | View transactions, actions and transfers | Account Information, Documents & Settings |
| $4,153,936 | 98% | 2% | $59,827 | | |

## Holdings over time

Holdings as of
12/31/2013

Asset Class
All Asset Classes

Models
All Models

Limit to a Symbol

Limit to a Name

Clear Advanced

○ VIEW BY MODEL ● VIEW BY ASSET CLASS ○ VIEW BY ASSET SUBCLASS Export data

| ☐ | Asset Classes | Cost Basis | Unrealized Gain/Loss¹ | Market Value | % of Total Holdings |
|---|---|---|---|---|---|
| ☐ | Cash | n/a | n/a | $98,406.96 | 2.44% |
| ☐ | Emerging Markets | $263,162 36 | n/a | $252,229.34 | 6 25% |
| ☐ | Emerging Markets Small/Mid Blend | $78,855.28 | n/a | $81,542.37 | 2.02% |
| ☐ | International Large Blend | $533,107 78 | n/a | $681,509 68 | 16 88% |
| ☐ | International Large Value | $489,455.52 | n/a | $609,923.60 | 15 11% |
| ☐ | International Small/Mid Blend | $335,326 29 | n/a | $361,716 75 | 8 96% |
| ☐ | U.S Broad Market | $685,752 27 | n/a | $967,161.36 | 23.96% |
| ☐ | U.S Small Value | $337,126 75 | n/a | $485,681.56 | 12 03% |
| ☐ | U.S. Value | $457,563 34 | n/a | $498,826 70 | 12.36% |
| | All Asset Classes | $3,180,349.59 | n/a | $4,036,998 32 | 100 00% |

¹ *Unrealized gains/loss data is only available as of 09/29/2014.*

HOLDINGS WITHIN ALL ASSET CLASSES Export data

| Symbol | Security Name | Quantity | Price | Unrealized Gain/Loss¹ | Market Value | % of Total Holdings |
|---|---|---|---|---|---|---|
| CASH | CASH | 98,406.9600 | $0 00 | n/a | $98,406.96 | 2.44% |
| EFV | ISHARES MSCI EAFE VALUE ETF | 10,663 0000 | $57 20 | n/a | $609,923 60 | 15.11% |
| EWX | SPDR INDEX SHS FDS S&P EMERGING MKTS SMALL CAP ETF | 1,757.0000 | $46 41 | n/a | $81,542.37 | 2.02% |
| GWX | ST SPDR S&P INTL SC | 10,775 0000 | $33.57 | n/a | $361,716 75 | 8 96% |
| VWO | VANGUARD INTL EQUITY INDEX FDS FTSE EMERGING MKTS | 6,131.0000 | $41 14 | n/a | $252,229 34 | 6.25% |
| VBR | VANGUARD SMALL-CAP VALUE VIPERS | 4,988 0000 | $97 37 | n/a | $485,681.56 | 12 03% |
| VEA | VANGUARD TAX MANAGED INTL FD MSCI | 16,351 0000 | $41 68 | n/a | $681,509.68 | 16.88% |
| VTI | VANGUARD TOTAL STOCK MARKET VIPERS | 10,083 0000 | $95 92 | n/a | $967,161.36 | 23 96% |
| VTV | VANGUARD VALUE VIPERS | 6,530.0000 | $76.39 | n/a | $498,826 70 | 12 36% |

¹ *Unrealized gains/loss data is only available as of 09/29/2014*

¹Values calculated using most recent closing price ²Values calculated using 20 minute delayed price, if available, or most recent closing price.

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www irs.gov/form8868* ·

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

*Do not complete Part II unless* you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing** *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3 month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns*

**Enter filer's identifying number**

| | | |
|---|---|---|
| **Type or print**<br>File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions<br>The R. B. Nordick Foundation | Employer identification number (EIN) or<br>45-0442920 |
| | Number, street, and room or suite no If a P O box, see instructions<br>675 12th Avenue N.E. | Social security number (SSN) |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions<br>West Fargo, ND 58078-3500 | |

*Enter the Return code for the return that this application is for (file a separate application for each return)* 0 4

| **Application Is For** | **Return Code** | **Application Is For** | **Return Code** |
|---|---|---|---|
| Form 990 or Form 990 EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990 PF | 04 | Form 5227 | 10 |
| Form 990 T (sec 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ Douglas R. Geeslin 675 12th Avenue N.E. - West Fargo, ND 58078-3500
  Telephone No ▶ 701-282-8136 Fax No. ▶ 701-282-8252
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15, 2014 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ ☒ calendar year 2013 or
▶ ☐ tax year beginning ______________ , and ending ______________

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

| | | | |
|---|---|---|---|
| 3a | If this application is for Forms 990 BL, 990 PF, 990 T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 3a | $ 17,209. |
| b | If this application is for Forms 990-PF, 990 T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit. | 3b | $ 509. |
| c | **Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | c | $ 16,700. |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 88 and Form 8879-EO for payment instructions.

MS
EFILE
FGO
5-12-14
25645

**LHA For Privacy Act and Paperwork Reduction Act Notice, see instructions.**

5/12 - released
5/12 - TT
5/12 - accepted

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

**Part II** **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

**Enter filer's identifying number, see instructions**

| | | |
|---|---|---|
| **Type or print**<br>File by the due date for filing your return See instructions | Name of exempt organization or other filer, see instructions<br>The R. B. Nordick Foundation | Employer identification number (EIN) or<br>45-0442920 |
| | Number, street, and room or suite no. If a P O box, see instructions<br>675 12th Avenue N.E. | Social security number (SSN) |
| | City, town or post office, state, and ZIP code For a foreign address, see instructions.<br>West Fargo, ND 58078-3500 | |

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ Douglas R. Geeslin 675 12th Avenue N.E. - West Fargo, ND 58078-3500

Telephone No. ▶ 701-282-8136 Fax No ▶ 701-282-8252

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until November 15, 2014.

5 For calendar year 2013 , or other tax year beginning ____________ , and ending ____________

6 If the tax year entered in line 5 is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension
Additional time is needed to gather necessary information in order to file a complete and accurate return.

| | | | |
|---|---|---|---|
| **8a** If this application is for Forms 990 BL, 990 PF, 990 T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | **8a** | $ | 17,209. |
| **b** If this application is for Forms 990-PF, 990 T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868 | **8b** | $ | 17,209. |
| **c** **Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | **8c** | $ | 0. |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ CPA Date ▶ 8-1-14

Form 8868 (Rev 1-2014)

TT - 7/31

MB
25645 2nd
Paper file
7/31/14
FGO