Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , 2013, and ending , 20

Name of foundation	A Employer identification number
The Lilly Christy Busch Hermann Foundation	43-6543271
Number and street (or P O box number if mail is not delivered to street address) / Room/suite	B Telephone number (see instructions)
7701 Forsyth Blvd., 10th Floor	314-863-9200
City or town, state or province, country, and ZIP or foreign postal code	C If exemption application is pending, check here ▶ ☐
St. Louis, MO 63105	

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 4,943,671

J Accounting method: ☑ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	8	8		
	4	Dividends and interest from securities	52,811	52,811		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	209,257			
	b	Gross sales price for all assets on line 6a 557,560				
	7	Capital gain net income (from Part IV, line 2)		209,257		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)	406			
	12	**Total.** Add lines 1 through 11	262,482	262,076		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	2859	1359		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	35,588	35,588		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	38,447	36,947		
	25	Contributions, gifts, grants paid	282,155			282,155
	26	**Total expenses and disbursements.** Add lines 24 and 25	320,602	36,947		282,155
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	(58,120)			
	b	**Net investment income** (if negative, enter -0-)		225,129		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	38,364	31,293	31,293
	2 Savings and temporary cash investments	85,471	66,874	66,874
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	3,126,333	3,093,881	4,845,504
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)			
	14 Land, buildings, and equipment. basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers—see the instructions. Also, see page 1, item I)	3,250,168	3,192,048	4,943,671
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)			
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	3,250,168	3,192,048	
	30 **Total net assets or fund balances** (see instructions)	3,250,168	3,192,048	
	31 **Total liabilities and net assets/fund balances** (see instructions)	3,250,168	3,192,048	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,250,168
2	Enter amount from Part I, line 27a	2	(58,120)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,192,048
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,192,048

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	Publicly Traded Securities	P	Various	Various
b	Capital Gain Distributions			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	557,560		355,458	202,102
b				7,155
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	209,257
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	226,018	3,844,240	0.0588
2011	208,258	3,951,233	0 0527
2010	224,896	3,683,932	0.0610
2009	216,556	3,664,811	0.0590
2008	304,020	5,330,676	0.0570

2	**Total** of line 1, column (d)	2	0.2885
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.0577
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	4,496,313
5	Multiply line 4 by line 3	5	259,437
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,251
7	Add lines 5 and 6	7	261,688
8	Enter qualifying distributions from Part XII, line 4	8	282,155

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	2,251
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	2,251
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	2,251
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a — 1,889		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c — 2,000		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	3,889
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,638
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 1,638 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0 **(2)** On foundation managers. ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	
	Website address ▶ None			
14	The books are in care of ▶ Robert R Hermann, Jr Telephone no. ▶ 314-863-9200			
	Located at ▶ 7701 Forsyth Blvd., 10th Floor, St. Louis, MO ZIP+4 ▶ 63105			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✓

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ✓ (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Robert R Hermann, Jr 7701 Forsyth Blvd., 10th Floor, St Louis, MO 63105	Trustee, 1	0	0	0
Carlota H Holton 7701 Forsyth Blvd., 10th Floor, St Louis, MO 63105	Trustee, 1	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over $50,000 for professional services . . . . ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 None	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 None	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,451,684
b	Average of monthly cash balances	1b	113,101
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	4,564,785
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	4,564,785
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	68,472
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,496,313
6	**Minimum investment return.** Enter 5% of line 5	6	224,816

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	224,816
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,251		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,251
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	222,565
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	222,565
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	222,565

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	282,155
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	282,155
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,251
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	279,904

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				222,565
2	Undistributed income, if any, as of the end of 2013:				
a	Enter amount for 2012 only				
b	Total for prior years: 20___,20___,20___				
3	Excess distributions carryover, if any, to 2013:				
a	From 2008 . . . 26,124				
b	From 2009 . . . 34,577				
c	From 2010 . . . 40,629				
d	From 2011 . . . 13,894				
e	From 2012 . . . 35,278				
f	**Total** of lines 3a through e	150,502			
4	Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 282,155				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required—see instructions)				
c	Treated as distributions out of corpus (Election required—see instructions)				
d	Applied to 2013 distributable amount				222,565
e	Remaining amount distributed out of corpus	59,590			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	210,092			
b	Prior years' undistributed income. Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b. Taxable amount—see instructions				
e	Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f	Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	26,124			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	183,968			
10	Analysis of line 9:				
a	Excess from 2009 . . . 34,577				
b	Excess from 2010 . . . 40,629				
c	Excess from 2011 . . . 13,894				
d	Excess from 2012 . . . 35,278				
e	Excess from 2013 . . . 59,590				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . ▶ N/A

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

Robert R Hermann, Jr, 7701 Forsyth Blvd., 10th Floor, St. Louis, MO 63105

b The form in which applications should be submitted and information and materials they should include:

Written format with details about programs and amount requested.

c Any submission deadlines:

None

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Organizations qualifying under section 501(c)(3)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See attached				
Total . . . . ▶ **3a**				282,155
b *Approved for future payment*				
See Attached				
Total . . . . ▶ **3b**				388,000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	8	
4 Dividends and interest from securities			14	52,811	
5 Net rental income or (loss) from real estate.					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	209,257	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				262,076	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 262,076

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 11-13-14 | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☑ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	SHAWN C. BECKER		11-13-14		P00970717
	Firm's name ► RUBINBROWN LLP			Firm's EIN ►	43-0765316
	Firm's address ► ONE NORTH BRENTWOOD, ST LOUIS, MO 63105			Phone no	314-290-3300

The Lilly Christy Busch Hermann Foundation
TAX YEAR ENDED 12/31/13
43-6543271

Part 1 (3) Interest Income	12/31/2013
Bank of America	3.74
Fidelity (Bush O'Donnell)	0.93
Eagle	0.48
Julius Baer	0.18
Pinnacle	2.27
SEC Specialist Settlement Fund	-
IRS Interest	-
	7.60

Part 1 (4) Dividends	12/31/2013
Fidelity (Bush O'Donnell)	8,788.45
Eagle	18,714.71
Eagle	-
Julius Baer	-
Julius Baer - Capital Gain Dividends	13,448.32
Pinnacle	11,859 72
Pinnacle - Lazard K1	-
	52,811.20

Part 1 (6a) Gross Sales	12/31/2013
Bush O'Donnell	126,013.42
USB - Eagle	123,364.42
USB - J Baer	-
USB - Pinnacle	308,182.11
	557,559.95

Line 11 Misc Income	
Pinnacle	578.60
Pinnacle	(172.62)
Total	405.98

The Lilly Christy Busch Hermann Foundation
TAX YEAR ENDED 12/31/13
43-6543271
Schedule A

			12/30/2013
Part 1 (23) Other Expenses	Investment Fees		
		Bush O'Donnell	3,484.96
		Eagle	13,551.03
		Julius Baer	429.00
		Pinnacle	14,703.16
		Ellwood Associates	3,204.00
		Misc	216.03
			35,588.18
Line 16a Legal Fees			-
Line 16b Accounting Fees		RubinBrown	-
Line 16c		Bank Charges	-
			-
Other Professional Fees		Trustee Fees	-
			-
Line 18 Taxes		Mo Sec of State	
		Foreign Taxes	64.91
		Foreign Taxes	1,152.59
		Foreign Taxes	141.68
		Misc	-
		Refund of taxes	
			1,359.18
			-
		13 Ext	-
		4th Qtr 12	1,500.00
		1st Qtr 13	-
		2nd Qtr 13	-
		3rd Qtr 13	-
			2,859.18
Line 21 Travel, conferences, meetings Misc			-
			-

North American Management

Investment Report

December 1, 2013 - December 31, 2013

00000210 02 AV 0 357 08000210 Envelope 021103000

ROBERT R HERMANN JR
LILLY CHRISTY BUSCH HERMANN
7701 FORSYTH BLVD 10TH FLOOR
SAINT LOUIS MO 63105-1818

Your Advisor
NORTH AMERICAN MGMT CORP
TEN POST OFFICE SQUARE
SUITE 1200S
BOSTON MA 02109
Phone: (617)695-2100

Brokerage

LILLY CHRISTY BUSCH HERMANN U/A 01/24/95 ROBERT R HERMANN JR AND CARLOTA H HOLTON TRUSTEES

Account Summary

Beginning value as of Dec 1	$504,688.81
Withdrawals	-100,000.00
Transaction costs, loads and fees	-107.25
Change in investment value	7,742.90
Ending value as of Dec 31	**$412,324.46**
Accrued Interest (AI)	$0.00
Change in AI from last statement	$0.00

Income Summary

	This Period	Year to Date
Taxable		
Dividends	$1,171.99	$8,788.45
Interest	0.09	0.93
Total	**$1,172.08**	**$8,789.38**

Realized Gain/Loss from Sales

	This Period	Year to Date
Short-term gain	$1,852.07	$2,656.98
Long-term gain	$36,819.34	$47,022.78

Your Advisor is an independent organization and is not affiliated with Fidelity Investments. Brokerage services provided by Fidelity Brokerage Services LLC, Member NYSE, SIPC 800-544-6666 Brokerage Accounts carried with National Financial Services LLC, Member NYSE, SIPC

Holdings (Symbol) as of December 31, 2013

Holdings (Symbol) as of December 31, 2013	Quantity December 31, 2013	Price per Unit December 31, 2013	Total Cost Basis	Total Value December 1, 2013	Total Value December 31, 2013	Unrealized Gain (Loss) December 31, 2013
Stocks *98% of holdings*						
AMERICAN EXPRESS CO (AXP)	325.000	$90.730	$13,536.11*t*	$34,749.00	$29,487.25	$ 15,951.14
EAI. $299.00, EY· 1.01%						
BERKSHIRE HATHAWAY INC DEL CL B NEW (BRKB)	205.000	118.560	7,448.65*t*	29,715.15	24,304.80	16,856.15

Brokerage

LILLY CHRISTY BUSCH HERMANN U/A 01/24/95 ROBERT R HERMANN JR AND CARLOTA H HOLTON TRUSTEES

Holdings (Symbol) as of December 31, 2013	Quantity December 31, 2013	Price per Unit December 31, 2013	Total Cost Basis	Total Value December 1, 2013	Total Value December 31, 2013	Unrealized Gain (Loss) December 31, 2013
COLGATE-PALMOLIVE CO (CL)	360.000	65.210	8,471.70t	29,614.50	23,475.60	15,003.90
EAI: $489.60, EY: 2.09%						
CUMMINS INC FORMERLY CUMMINS ENGINE INC TO 04/05/2001 (CMI)	90.000	140.970	10,262.20	14,559.60	12,687.30	2,425.10
EAI: $225 00, EY. 1.77%						
EMERSON ELECTRIC CO (EMR)	363.000	70.180	11,883.27	30,346.47	25,475.34	13,592.07
EAI: $624.36, EY: 2 45%						
EXPRESS SCRIPTS HLDG CO COM (ESRX)	325.000	70.240	2,215.83t	27,276.75	22,828.00	20,612.17
FEDEX CORP (FDX)	165.000	143.770	13,698.85t	28,433.50	23,722.05	10,023.20
EAI. $99.00, EY. 0 42%						
JOHNSON & JOHNSON (JNJ)	225.000	91.590	9,757.21t	26,978.10	20,607.75	10,850.54
EAI: $594.00, EY: 2.88%						
KINDER MORGAN INC DELAWARE COM USD0.01 (KMI)	535.000	36.000	16,465.39	23,634.10	19,260.00	2,794.61
EAI: $877 40, EY: 4 56%						
NORTHERN TR CORP (NTRS)	305.000	61.890	16,044.51	22,711.15	18,876.45	2,831.94
EAI: $378 20, EY: 2 00%						
PEPSICO INC (PEP)	221.000	82.940	8,697.38t	22,888.66	18,329.74	9,632.36
EAI. $501.67, EY: 2.74%						
PROCTER & GAMBLE CO (PG)	221.000	81.410	7,998.96t	22,823.62	17,991.61	9,992.65
EAI. $531.73, EY. 2.96%						
3M COMPANY (MMM)	180.000	140.250	13,466.55t	29,372.20	25,245.00	11,778.45
EAI: $615.60, EY: 2 44%						
UNITED TECHNOLOGIES CORP (UTX)	215.000	113.800	16,125.10	30,486.50	24,467.00	8,341.90
EAI: $507.40, EY: 2.07%						
VISA INC COM CL A (V)	125.000	222.680	19,911.56	31,536.30	27,835.00	7,923.44
EAI. $200.00, EY 0.72%						
WALMART STORES INC (WMT)	260.000	78.690	14,976.91t	26,733.30	20,459.40	5,482.49
EAI: $488.80, EY: 2 39%						
WALGREEN COMPANY (WAG)	430.000	57.440	11,707.43t	31,968.00	24,699.20	12,991.77
EAI $541.80, EY: 2.19%						
WESTERN UNION CO COM (WU)	1,490.000	17.250	24,305.78t	31,006.20	25,702.50	1,396.72
EAI: $745.00, EY: 2.90%						

Brokerage

LILLY CHRISTY BUSCH HERMANN U/A 01/24/95 ROBERT R HERMANN JR AND CARLOTA H HOLTON TRUSTEES

Holdings (Symbol) as of December 31, 2013	Quantity December 31, 2013	Price per Unit December 31, 2013	Total Cost Basis	Total Value December 1, 2013	Total Value December 31, 2013	Unrealized Gain (Loss) December 31, 2013
Subtotal of Stocks			226,973.39		405,453.99	178,480.60
Core Account ***2% of holdings***						
TREASURY FUND DAILY MONEY CLASS (FDUXX)	6,870.470	1.000	not applicable		6,870.47	not applicable
Subtotal of Core Account					6,870.47	
Total			$ 226,973.39		$412,324.46	$ 178,480.60

All positions held in cash account unless indicated otherwise.

t - Third-party provided

Cost Basis - the original amount paid for a security, including the amount of reinvested dividends and capital gains, plus or minus certain adjustments. See last page of statement for details Total Cost Basis does not include the cost basis on core, money market or other positions where cost basis is unknown or not applicable.

Estimated Annual Income (EAI) & Estimated Yield (EY) *- EAI is an estimate of annual income for a specific security position over the next rolling 12 months. EY is calculated by dividing the current EAI for a security position by its statement closing date market value. EY reflects only the income generated by an investment; it does not reflect changes in its price, which may fluctuate. For certain types of securities, EAI and EY could include the return of principal or capital gains which would render them overstated. EAI and EY are estimates provided for informational purposes only and should not be relied on for making investment, trading, or tax decisions. There is no guarantee that your investments will actually generate the EAI or EY presented. Actual income and yield might be lower or higher EAI and EY should not be confused with a security's 30-day Yield or 7-day Yield, if provided, as such yield quotations reflect the actual historical performance of a security* ***For additional information, Including calculation details, refer to the "Additional Information and Endnotes" section at the end of your statement.***

ACCOUNT NUMBER:
LILLY CHRISTY BUSCH HERMANN CHARITABLE FOUNDATION
TRUST CUSTODY - EAGLE CAPITAL MANAGEMENT

This statement is for the period from December 1, 2013 to December 31, 2013

ASSET DETAIL

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
Cash & Equivalents					
Cash/Money Market					
9,066.550	First Amer Prime Oblig Fund Cl Y	9,066.55 1.0000	9,066.55 1.00	0.00 1.45	0.5 0.02
Total Cash/Money Market		**$9,066.55**	**$9,066.55**	**$0 00** **$1.45**	0.5
Total Cash & Equivalents		**$9,066 55**	**$9,066.55**	**$0.00** **$1.45**	0.5
Stocks					
Common Stocks					
1,050.000	Altera Corp ALTR	34,136.55 32.5110	17,916.17 17.06	16,220.38 630.00	1.9 1.85
200 000	Anadarko Petroleum Corp APC	15,864.00 79.3200	15,831.22 79.16	32 78 144.00	0.9 0.91
1,150.000	Berkshire Hathaway Inc Cl B BRKB	136,344.00 118.5600	94,799.03 82.43	41,544.97 0.00	7.5 0.00
1,600.000	Coca Cola Company KO	66,096.00 41.3100	48,151.49 30.10	17,944.51 1,792.00	3.6 2.71
1,125.000	Comcast Corp Special Cl A CMCSK	56,115.00 49.8800	14,586.30 12.97	41,528.70 877.50	3.1 1.56
600.000	Constellation Brands Inc A STZ	42,228.00 70.3800	25,921.86 43.20	16,306.14 0.00	2.3 0 00
600.000	Dish Network Corp Cl A DISH	34,752.00 57.9200	22,883.24 38.14	11,868.76 0.00	1.9 0.00
1,050.000	Ecolab Inc ECL	109,483.50 104.2700	33,972.30 32.36	75,511.20 1,155.00	6.0 1.05

300373150009260000061006113134

ASSET DETAIL (continued)

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
805.000	Fidelity Natl Information Svcs Inc FIS	43,212.40 53.6800	18,494.29 22.97	24,718.11 708.40	2.4 1.64
250.000	Goldman Sachs Group Inc GS	44,315.00 177.2600	29,387.91 117.55	14,927.09 550.00	2.4 1.24
65.000	Google Inc Cl A GOOG	72,846.15 1,120.7100	35,629.24 548.14	37,216.91 0 00	4.0 0.00
1,100.000	Loews Corp L	53,064.00 48.2400	37,935.44 34.49	15,128.56 275.00	2.9 0.52
2,100.000	Microsoft Corp MSFT	78,561.00 37.4100	52,419.46 24.96	26,141.54 2,352.00	4.3 2 99
1,600 000	Mondelez International W I MDLZ	56,480.00 35.3000	32,887.91 20.56	23,592.09 896.00	[illegible] 1.59
2,500.000	Morgan Stanley MS	78,400.00 31.3600	37,005.99 14.80	41,394.01 500.00	4.3 0.64
612.000	News Corp New Cl A W NWSA	11,028.24 18.0200	1,708.81 2.79	9,319.43 0.00	0.6 0.00
1,200.000	Noble Energy Inc NBL	81,732.00 68.1100	49,102.59 40.92	32,629 41 672.00	4.5 0.82
3,600.000	Oracle Corporation ORCL	137,736.00 38.2600	110,262.03 30.63	27,473.97 1,728.00	7.5 1.25
700.000	Pepsico Inc PEP	58,058.00 82.9400	45,519.97 65.03	12,538 03 1,589.00	3.2 2.74
450.000	Praxair Inc PX	58,513.50 130.0300	35,730.93 79.40	22,782.57 1,080.00	3.2 1.85

ASSET DETAIL (continued)

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
400.000	Thermo Fisher Scientific Inc TMO	44,540.00 111.3500	13,584.66 33.96	30,955.34 240.00	2.4 0.54
2,050.000	Twenty First Century Fox Inc FOXA	72,098.50 35.1700	11,025.27 5.38	61,073.23 512.50	3.9 0.71
950.000	United Health Group Inc UNH	71,535 00 75.3000	37,794.06 39.78	33,740 94 1,064.00	3.9 1.49
900.000	Wal Mart Stores Inc WMT	70,821.00 78.6900	40,545.00 45.05	30,276.00 1,692.00	3.9 2.39
700.000	WR Berkley Corp WRB	30,373.00 43.3900	16,473.50 23.53	13,899.50 280.00	1.7 0.92
175.000	3M Co MMM	24,543.75 140.2500	13,819.15 78.97	10,724.60 598.50	1.3 2.44
	Total Common Stocks	**$1,582,876 59**	**$893,387.82**	**$689,488.77** **$19,335.90**	**86.7**
	Foreign Stocks				
1,400 000	Aon Plc AON	117,446.00 83.8900	68,684.00 49.06	48,762.00 980.00	6.4 0.83
950.000	Liberty Global Plc Series C LBTYK	80,104.00 84.3200	32,622.10 34.34	47,481.90 0.00	4.4 0.00
500.000	Nestle Sa Sponsored A D R Repstg 1 Ord Sh NSRGY	36,795.00 73.5900	28,879.10 57.76	7,915.90 908.00	2.0 2.47
	Total Foreign Stocks	**$234,345.00**	**$130,185.20**	**$104,159 80** **$1,888.00**	**12.8**
Total Stocks		**$1,817,221.59**	**$1,023,573.02**	**$793,648.57** **$21,223.90**	**99.5**

usbank.

ACCOUNT NUMBER:
LILLY CHRISTY BUSCH HERMANN
CHARITABLE FOUNDATION
TRUST CUSTODY - JULIUS BAER
ROBERT R. HERMANN, JR., TRUSTEE

This statement is for the period from
January 1, 2013 to December 31, 2013

ASSET DETAIL

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
Cash & Equivalents					
Cash/Money Market					
4,654.940	First Amer Prime Oblig Fund Cl Y	4,654.94 1.0000	4,654.94 1 00	0.00 0.75	0 4 0.02
Total Cash/Money Market		**$4,654.94**	**$4,654.94**	**$0.00** **$0.75**	**0.4**
Cash					
	Principal Cash	- 392.76	- 392.76		0.0
	Income Cash	392.76	392.76		0.0
	Total Cash	**$0.00**	**$0.00**	**$0.00** **$0.00**	**0.0**
Total Cash & Equivalents		**$4,654 94**	**$4,654.94**	**$0.00** **$0.75**	**0.4**
Stocks					
Equity Funds					
17,700.016	Dodge & Cox International Stock Fund #1048 DODFX	761,808.69 43.0400	587,052.02 33 17	174,756 67 12,301.51	65.9 1.61
29,322.017	William Blair Emg Mkts Gr N WBENX	389,396.39 13.2800	437,244.34 14.91	- 47,847 95 199.10	33.7 0.05
Total Equity Funds		**$1,151,205.08**	**$1,024,296.36**	**$126,908.72** **$12,500 61**	**99.6**
Total Stocks		**$1,151,205.08**	**$1,024,296.36**	**$126,908.72** **$12,500.61**	**99.6**
Total Assets		**$1,155,860.02**	**$1,028,951.30**	**$126,908.72** **$12,501.36**	**100.0**

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ACCOUNT NUMBER:
LILLY CHRISTY BUSCH HERMANN
CHARITABLE FOUNDATION TRUST CUSTODY-
PINNACLE ASSOCIATES LTD

This statement is for the period from
December 1, 2013 to December 31, 2013

ASSET DETAIL

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
Cash & Equivalents					
	Cash/Money Market				
46,199.410	First Amer Prime Oblig Fund Cl Y	46,199.41 1.0000	46,199 41 1.00	0 00 7.40	3.0 0 02
	Total Cash/Money Market	**$46,199.41**	**$46,199 41**	**$0.00** **$7.40**	**3.0**
	Cash				
	Principal Cash	- 266.00	- 266.00		0.0
	Income Cash	349.95	349.95		0.0
	Total Cash	**$83 95**	**$83.95**	**$0.00** **$0.00**	**0 0**
Total Cash & Equivalents		**$46,283.36**	**$46,283.36**	**$0 00** **$7.40**	**3.0**
Stocks					
	Common Stocks				
175.000	Amc Networks Inc A W I AMCX	11,919.25 68.1100	4,019.06 22.97	7,900 19 0.00	0.8 0.00
1,350.000	Array Bio Pharma Inc ARRY	6,763.50 5.0100	8,360.71 6.19	- 1,597.21 0.00	0.4 0.00
1,100.000	Arris Group Inc ARRS	26,774.00 24.3400	12,377.47 11.25	14,396.53 0.00	1.8 0.00
5,050.000	Atmel Corp ATML	39,541.50 7.8300	28,170.50 5.58	11,371.00 0.00	2.6 0.00
550.000	Avnet Inc AVT	24,260.50 44.1100	16,502.06 30.00	7,758.44 330.00	1.6 1.36

ASSET DETAIL (continued)

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
1,050.000	Cablevision Systmes Ny Group Cl A Name Change CVC	18,826.50 17 9300	16,174.59 15.40	2,651 91 630.00	1.2 3.35
4,250.000	Cincinnati Bell Inc CBB	15,130.00 3.5600	14,897.41 3.51	232.59 0 00	1.0 0.00
500.000	Cognex Corp CGNX	19,090.00 38.1800	6,785 66 13.57	12,304.34 110.00	1.3 0.58
300.000	Cummins Inc CMI	42,291.00 140.9700	22,261.76 74.21	20,029.24 750.00	2 8 1.77
300.000	Cyrusone Inc CONE	6,699.00 22.3300	5,778 87 19.26	920 13 192.00	0.4 2.87
350.000	Discovery Communications C DISCK	29,351.00 83.8600	7,622.83 21.78	21,728.17 0.00	1. 0.00
350.000	Discovery Communications Inc Cl A DISCA	31,647.00 90.4200	8,473.58 24.21	23,173.42 0.00	2.1 0.00
500.000	Endocyte Inc ECYT	5,340.00 10.6800	6,398.70 12.80	- 1,058.70 0.00	0.4 0.00
500.000	Fairway Group Holdings Corp FWM	9,080.00 18.1600	8,142.05 16.28	937.95 0 00	0.6 0.00
1,400.000	Gannett Inc GCI	41,412.00 29.5800	18,161.91 12.97	23,250.09 1,120.00	2.7 2.70
1,650.000	Harmonic Inc HLIT	12,177.00 7.3800	16,822.40 10.20	- 4,645.40 0.00	0.8 0.00
360.000	Helmerich Payne Inc HP	30,268.80 84.0800	24,354.42 67.65	5,914.38 900.00	2.0 2.97

ASSET DETAIL (continued)

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
600.000	Hexcel Corp New HXL	26,814.00 44.6900	13,666.02 22.78	13,147.98 0.00	1.8 0.00
240.000	Iac Interactivecorp IACI	16,476.24 68.6510	3,906.10 16.28	12,570.14 230.40	1.1 1.40
450.000	Immunogen Inc IMGN	6,601.50 14.6700	3,600.00 8.00	3,001.50 0.00	0.4 0.00
800.000	Isis Pharmaceuticals ISIS	31,872 00 39.8400	11,012.74 13.77	20,859.26 0.00	2.1 0 00
950.000	Janus Capital Group Inc JNS	11,751.50 12.3700	7,288.14 7.67	4,463.36 266.00	0.8 2 26
600.000	Jds Uniphase Corp JDSU	7,791.00 12.9850	7,809.06 13.02	- 18.06 0.00	0.5 0.00
260.000	Kansas City Southern KSU	32,195.80 123.8300	3,860.86 14.85	28,334.94 223.60	2.1 0.69
825.000	Lam Research Corp LRCX	44,921.25 54.4500	19,006.08 23.04	25,915.17 0.00	3.0 0.00
760.000	Las Vegas Sands Corp LVS	59,941.20 78.8700	3,238.79 4.26	56,702.41 1,064.00	3.9 1.77
526.000	Level 3 Communications Inc LVLT	17,447.42 33.1700	7,630.93 14.51	9,816.49 0.00	1.1 0.00
160.000	Liberty Media Corp Delaware LMCA	23,406.72 146.2920	1,555.80 9.72	21,850.92 0.00	1.5 0.00
2,600.000	Lsi Corporation LSI	28,691.00 11.0350	13,037.88 5.02	15,653.12 312.00	1.9 1.09

ACCOUNT NUMBER:
LILLY CHRISTY BUSCH HERMANN
CHARITABLE FOUNDATION TRUST CUSTODY-
PINNACLE ASSOCIATES LTD

This statement is for the period from
December 1, 2013 to December 31, 2013

ASSET DETAIL (continued)

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
170.000	Meadwestvaco Corp MWV	6,278.10 36.9300	3,835.88 22.56	2,442.22 170.00	0.4 2.71
450.000	Mosaic Co MOS	21,271.50 47.2700	46,699.62 103.78	- 25,428.12 450.00	1.4 2.12
590.000	Myriad Genetics Inc MYGN	12,378.20 20.9800	13,364.78 22.65	- 986.58 0.00	0.8 0.00
850.000	N I I Holdings Inc NIHD	2,337.50 2.7500	11,970.03 14.08	- 9,632.53 0.00	0.2 0.00
450.000	Pall Corp PLL	38,407.50 85.3500	19,352.88 43.01	19,054.62 495.00	2.5 1.29
230.000	Precision Castparts Corp PCP	61,939.00 269.3000	23,738.34 103.21	38,200.66 27.60	4.[illegible] 0.04
3,200.000	R F Micro Devices Inc RFMD	16,512.00 5.1600	13,686.19 4.28	2,825.81 0.00	1.1 0.00
400.000	R T I Intl Metals Inc RTI	13,684 00 34.2100	12,896.90 32.24	787.10 0.00	0.9 0.00
320.000	Raymond James Finl Inc RJF	16,700.80 52.1900	6,277.35 19.62	10,423.45 204.80	1.1 1.23
200.000	Regeneron Pharmaceuticals Inc REGN	55,048.00 275.2400	2,916.00 14.58	52,132 00 0.00	3.6 0.00
300.000	Robert Half Intl Inc RHI	12,597.00 41.9900	7,625.58 25.42	4,971.42 192.00	0.8 1.52
1,150.000	Seachange International Inc SEAC	13,984.00 12.1600	8,677.12 7.55	5,306.88 0.00	0.9 0.00

ACCOUNT NUMBER:
LILLY CHRISTY BUSCH HERMANN
CHARITABLE FOUNDATION TRUST CUSTODY-
PINNACLE ASSOCIATES LTD

This statement is for the period from
December 1, 2013 to December 31, 2013

ASSET DETAIL (continued)

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
650.000	Seattle Genetics Inc /Wa SGEN	25,928.50 39.8900	5,394.48 8.30	20,534.02 0.00	1.7 0.00
1,100.000	Sinclair Broadcast Group Inc A SBGI	39,303.00 35.7300	6,638.63 6.04	32,664.37 660.00	2.6 1.68
500.000	Sothebys Hldgs Inc Cl A BID	26,600.00 53.2000	16,907.99 33.82	9,692.01 200.00	1.8 0.75
160.000	Starz A STRZA	4,678.40 29.2400	212.26 1.33	4,466.14 0.00	0.3 0.00
1,450.000	Stillwater Mng Co SWC	17,893.00 12.3400	16,423.89 11.33	1,469.11 0.00	1.2 0.00
300 000	Telephone And Data Systems Inc TDS	7,734.00 25.7800	7,383.21 24.61	350.79 153.00	0.5 1.98
175.000	The Madison Square Garden Company MSG	10,076.50 57.5800	3,010.51 17.20	7,065.99 0.00	0.7 0.00
200.000	The Medicines Company MDCO	7,724.00 38.6200	4,398.24 21.99	3,325.76 0.00	0.5 0.00
1,200.000	Trimble Nav Ltd TRMB	41,640.00 34.7000	15,057.42 12.55	26,582.58 0.00	2.7 0.00
1,600 000	Triquint Semiconductor Inc TQNT	13,344.00 8.3400	10,523.46 6.58	2,820.54 0.00	0 9 0.00
750.000	Tw Telecom Inc TWTC	22,852.50 30.4700	13,156.23 17.54	9,696.27 0.00	1.5 0.00
516.000	Unifi Inc UFI	14,055.84 27.2400	5,542.72 10.74	8,513.12 0.00	0.9 0.00

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ASSET DETAIL (continued)

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
200 000	US Cellular Corp USM	8,364.00 41.8200	12,210.40 61.05	- 3,846.40 0 00	0.6 0.00
450.000	Valspar Corp VAL	32,080.50 71.2900	9,309.64 20.69	22,770.86 468.00	2.1 1.46
950 000	Vishay Intertechnology Inc VSH	12,597.00 13.2600	8,596.56 9.05	4,000.44 0.00	0.8 0.00
950.000	Vivus Inc VVUS	8,626.00 9.0800	12,916.76 13.60	- 4,290.76 0.00	0.6 0.00
400.000	Waddell & Reed Financial Inc WDR	26,048.00 65.1200	5,206.60 13.02	20,841.40 544.00	1.7 2.09
Total Common Stocks		**$1,269,163.52**	**$644,846.05**	**$624,317.47** **$9,692.40**	**83.**

Foreign Stocks

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
1,750.000	C A E Inc CAE	22,295.00 12.7400	20,464.63 11.69	1,830.37 400.75	1.5 1.80
1,100.000	Cameco Corp CCJ	22,847.00 20.7700	36,267.15 32.97	- 13,420.15 412.50	1.5 1.80
1,050.000	Foster Wheeler Ag FWLT	34,651.05 33.0010	23,046.26 21.95	11,604.79 0.00	2.3 0.00
350.000	Interxion Holding Nv INXN	8,263.50 23.6100	4,132.52 11.81	4,130.98 0.00	0.5 0.00
250.000	Lazard Ltd Cl A LAZ	11,330.00 45.3200	9,753.58 39.01	1,576.42 160.00	0.7 1.41
950.000	McDermott Intl Inc MDR	8,702.00 9.1600	11,071.97 11.66	- 2,369.97 0.00	0.6 0.00

This statement is for the period from
December 1, 2013 to December 31, 2013

ASSET DETAIL (continued)

Shares or Face Amount	Security Description	Market Value/ Price	Tax Cost/ Unit Cost	Unrealized Gain(Loss)/ Est Annual Inc	% of Total/ Estimated Curr Yield
2,000.000	Orient-Express Hotel Ltd OEH	30,220.00 15.1100	19,270.15 9.64	10,949.85 0.00	2.0 0.00
500.000	Rowan Companies Plc RDC	17,680.00 35.3600	19,536.20 39.07	- 1,856.20 0.00	1.2 0.00
980.000	Royal Caribbean Cruises Ltd RCL	46,471.60 47.4200	22,781.48 23.25	23,690.12 980.00	3.1 2.11
	Total Foreign Stocks	**$202,460.15**	**$166,323.94**	**$36,136.21 $1,953.25**	**13.3**
Total Stocks		**$1,471,623.67**	**$811,169.99**	**$660,453.68 $11,645.65**	**97.0**
al Assets		**$1,517,907.03**	**$857,453.35**	**$660,453.68 $11,653.05**	**100.0**
Estimated Current Yield					**.76**

ASSET DETAIL MESSAGES

Time of trade execution and trading party (if not disclosed) will be provided upon request.

Publicly traded assets are valued in accordance with market quotations or valuation methodologies from financial industry services believed by us to be reliable. Assets that are not publicly traded may be reflected at values from other external sources. Assets for which a current value is not available may be reflected at a previous value or as not valued, at par value, or at a nominal value. Values shown do not necessarily reflect prices at which assets could be bought or sold. Values are updated based on internal policy and may be updated less frequently than statement generation.

Cost adjustments made to previously reported sales to reflect the impact of IRS wash sale rules may result in adjustments to reported year-to-date losses. Consequently, this period's beginning cost basis may differ from the basis reported in the prior period. The gain and loss figures reported on this statement are provided for informational purposes only and should not be used for tax reporting purposes. Please consult with your tax or legal advisor for questions concerning your personal tax or financial situation.

Any legal proceeding based on a claim brought against the trustee(s) for an alleged breach of trust based on information contained in this statement must be commenced within one year from the date this statement was sent.

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LILLY CHRISTY BUSCH HERMANN
CHARITABLE FOUNDATION
EIN 43-6543271
2013

Date	Num	Name	Memo	Amount
01/11/2013	8136	St Louis Art Museum	Beaux Arts Council	2,500 00
02/25/2013	8145	Missouri Cures Education Foundation	Table Sponsor, not attending	2,500 00
02/26/2013	8149	Missouri Botanical Garden	In Memory of Mary Miller Ott	100 00
02/26/2013	8150	Little Traverse Bay Humane Society	In memory of Elizabeth "Liz" Carruthers Larso	100 00
03/14/2013	8152	Boys & Girls Town of Missouri	In memory of James O Holton, Jr	100 00
03/14/2013	8153	Triple A Youth Foundation	In honor of Terry Ward	100 00
04/30/2013	8156	National Alliance for the Mentally Ill	In memory of John J "Jack" Ward	100 00
04/30/2013	8157	Marlin Perkins Society	Animal Kingdom Club	1 000 00
05/29/2013	8159	Missouri Botanical Garden	In memory of Doris Schnuck	100 00
05/29/2013	8160	Missouri Botanical Garden	In memory of Edith Holekamp	100 00
05/29/2013	8161	Missouri Botanical Garden	2013 Best of Missouri Market	75 00
05/29/2013	8162	Princeton University Class of '75	Class of 1975	75 00
07/17/2013	8165	Leukemia & Lymphoma Society	In memory of Theodore "Ted" Wells Thornhill	100 00
08/27/2013	8167	St Louis Sports Foundation	Friends of the Curtis Cup	1,000 00
08/30/2013	8168	Women's Society of Washington University	Sustaining Member	100 00
09/17/2013	8170	Little Traverse Conservancy	In memory of Mr William K Howenstein	100 00
09/26/2013	8171	Princeton University Class of '75	Class of 1975	75 00
10/01/2013	8175	Girls Inc	2013 Strong Smart & Bold Luncheon	930 00
11/12/2013	8177	Alzheimer's Association	Campbell Perkins - Walk for Alzheimers	100 00
12/11/2013	8182	Jefferson Scholars Foundation	The Hermann Family Endowed Jefferson Schol	100,000 00
12/11/2013	8183	Missouri Botanical Garden	The Peter Raven Society	10,000 00
12/11/2013	8184	The Muny Opera Theatre	Bronze Benefactor	1,000 00
12/11/2013	8185	The Backstoppers	In honor of Jeff Fox	1,000 00
12/11/2013	8186	St Peters Episcopal Church	Annual Giving	3,800 00
12/11/2013	8187	Planned Parenthood	In Honor of Geetha Saut	1 000 00
12/11/2013	8188	U S Squash	General Fund	500 00
12/11/2013	8189	The Service Bureau	General Fund	200 00
12/11/2013	8190	St Peters Episcopal Church	In Memory of Adolphus Busch Orthwein	100 00
12/13/2013	8217	Foxcroft School	General Fund	500 00
12/23/2013	8232	St Louis Ovarian Cancer Awareness	In Honor of Susie Stuewright	500 00
12/23/2013	8235	St Louis Art Museum	Beaux Arts Council	2,500 00
12/23/2013	8236	Planned Parenthood - Federal	General Fund	500 00
12/23/2013	8237	US Vets Missouri	In honor of Billy Wallace	1,000 00
12/23/2013	8238	Little Traverse Conservancy	Mr & Mrs Robert R Hermann, Jr	1,000 00
12/23/2013	8239	Child Center - Marygrove	In Honor of Mary Lee Hermann	1 000 00
12/23/2013	8240	Hope Happens	In honor of Toby Martin	1,000 00
12/23/2013	8241	University of Virginia	Parent Fund	2,500 00
12/23/2013	8256	City Academy	In Honor of Bobby Hermann & The Squash Pr	250 00
12/23/2013	8257	Princeton University	In the honor of Class of 75 - 35th reunion	2,000 00
12/23/2013	8259	Humane Society	In Memory of Catherine "Cam" Brauch	100 00
12/23/2013	8252	University of Virginia	General Fund	500 00
12/23/2013	8253	Santa Barbara Cottage Hospital Foundation	In Honor of James Robert Petersen	500 00
12/23/2013	8255	Storyteller	In Honor of James Robert Petersen	500 00
12/23/2013	8242	St Louis Children's Foundation	Youth Group	100 00
12/23/2013	8243	City Academy	General Fund	100 00
12/23/2013	8244	Big Shoulders Fund	General Fund	100 00
12/23/2013	8245	Doctors Without Borders	General Fund	50 00
12/23/2013	8233	City Academy	In honor of Squash Program	100 00
12/23/2013	8234	MICDS Mary Institute & Country Day School	Endowment Fund	150 00
02/06/2013	8140	World Bird Sanctuary	In memory of Dr Walter Ballenger	100 00
02/25/2013	8144	Missouri History Museum	Thomas Jefferson Society	1,000 00
10/01/2013	8174	Missouri Conservation Fund	Waterfowl Hunting & Wetlands Conservation in	1,000 00
12/11/2013	8181	St Peters Episcopal Church	New Website	15,000 00
12/11/2013	8191	The Society of the Four Arts	Capital Campaign Donation on behalf of	11,000 00
12/11/2013	8192	St Louis Art Museum	Pledge Payment - 7th year of a 10 year pledge	10,000 00
12/11/2013	8193	Community School	Pledge Payment - 5th year of a 5 year Pledge	5,000 00
12/11/2013	8194	MICDS Mary Institute & Country Day School	Pledge Payment, 4th year of a 5 year pledge	30,000 00
12/13/2013	8205	St Louis Art Museum	Beaux Arts Council	1,500 00
12/13/2013	8206	Bath & Tennis Historic Building	In memory of Dolph Orthwein	1,000 00
12/13/2013	8207	The Nature Conservancy	General Fund	100 00
12/13/2013	8208	Laumeier Sculpture Park	General Fund	100 00
12/13/2013	8210	Marlin Perkins Society	Animal Kingdom Club	1 000 00
12/13/2013	8211	Arts & Education Council	General Fund	100 00
12/13/2013	8212	The Muny Opera Theatre	Bronze Benefactor	1 500 00
12/13/2013	8213	The Commemorative Air Force	In honor of Tee Baur	1,000 00
12/13/2013	8214	Forest Park Forever	Leffingwell Society	1,000 00
12/13/2013	8215	The Tower Grove Park Society	General Fund	500 00
12/13/2013	8216	USO	In honor of Chip Matthews	100 00
12/13/2013	8218	The Garden Conservancy	Sponsor	1,500 00
12/13/2013	8219	The Junior Tennis Champions Center	General Fund	500 00
12/13/2013	8220	St Louis Sports Foundation	Friends of the Curtis Cup	1,000 00
12/16/2013	8221	Humane Society	General Fund	100 00
12/16/2013	8222	Harbor Springs Historical Society	General Fund	100 00
12/16/2013	8223	Child Center - Marygrove	General Fund	100 00
12/16/2013	8224	Planned Parenthood	General Fund	100 00
12/23/2013	8228	Missouri Stream Team Watershed Coalition	General Fund	1,000 00
12/23/2013	8229	Animal Protective Association	In honor of Sandy Peters	1,000 00
12/23/2013	8230	MICDS Mary Institute & Country Day School	Pledge, final payment	10,000 00
12/23/2013	8251	Community School	Community 100 Years	5,000 00
12/23/2013	8238	Little Traverse Conservancy	Mr & Mrs Richard C Holton	1,000 00
12/23/2013	8258	St Louis Art Museum	Partial Prepayment of 8th payment of a 10 year	5,000 00
02/06/2013	8141	Ozark Regional Land Trust	Benefactor	100 00
02/06/2013	8142	Harbor Hall Foundation	In memory of Mary Ellen Holton	250 00
04/02/2013	8154	Dawnland Native Ministries	General Fund	2,000 00
05/29/2013	8163	Annunziata	5th payment of a 5 year pledge	5 000 00
09/26/2013	8172	Deer Creek Watershed Alliance	Creek Signs	1,800 00
12/11/2013	8197	Tip of the Mitt Watershed Council	General Fund	500 00
12/11/2013	8198	Great Rivers Habitat	General Fund	1,000 00
12/11/2013	8199	The Montana Land Reliance	In honor of Camile & Bill Broadbrat	1,000 00
12/11/2013	8200	St Louis University	The Richard C Holton & Lawrence J Bancevic	5,000 00
12/11/2013	8201	Annunziata	2013 Annual Catholic Appeal	500 00
12/11/2013	8202	Jubilee Community Church	Annual Fund	500 00
12/13/2013	8209	Harbor Inc	General Fund	100 00
12/16/2013	8225	Sts Teresa & Bridget's Church	General Fund	100 00
02/06/2013	8138	Museum of New Mexico Foundation	Governor's Circle, Friends Groups Palace Gu	2 930 00
02/06/2013	8139	Peco's Benedictine Monastery	General Fund	1 070 00
12/11/2013	8178	Community School	2013-2014 Annual Giving	1,000 00
12/11/2013	8179	Academy of Science - St Louis	Chromium, James BEads Society	250 00
12/11/2013	8180	Veiled Prophet Foundation	In Honor of Robert R Hermann	250 00
12/11/2013	8195	City Academy	Honor Society	1,000 00
12/11/2013	8196	Washington University	Eliot Society	1 000 00
12/12/2013	8204	Washington University	Donor Wall Pledge Payment	1,000 00
12/16/2013	8226	Community School	General Fund	50 00
12/16/2013	8227	Marlin Perkins Society	Animal Kingdom Club	1,000 00
01/24/2013	8137	The Bone Marrow Foundation	In honor of Missy Condie	1 000 00
02/06/2013	8143	Roaring Fork Conservancy	Outfitter	100 00
02/25/2013	8146	Show-Me Institute	General Fund	100 00
02/25/2013	8148	Independence Pass Foundation	In honor of Ian McLendon	250 00
03/06/2013	8151	Hope Happens	In honor of Rocky & Heather Kroeger	500 00
04/30/2013	8158	Missouri Botanical Garden	Family Membership	150 00
07/17/2013	8164	Aspen Junior Golf Foundation	General Fund	0 00
09/06/2013	8169	St Joseph Catholic Church	General Fund	500 00
09/26/2013	8173	Community School	Annual Giving	1,000 00
12/23/2013	8246	Marlin Perkins Society	Animal Kingdom Club	1 000 00
12/23/2013	8247	Community School	General Fund	100 00
12/23/2013	8248	Community School	General Fund	100 00
12/23/2013	8249	Ducks Unlimited	General Fund	500 00
12/23/2013	8250	Villa Duchense	Class of 96	250 00
				282,155 00

Lilly Christy Busch Hermann Foudnation
Summary of Outstanding Pledges
31-Dec-13

Bob & Signa Hermann	Original amount of pledge	Pledge Balance 2013 Payments	Due/Paid 2012	Due/Paid 2013	Due 2014	Due 2015	Due 2016	Due 2017
United Way (HFF)								
St Louis Children's Hospital	10,000			10,000	10,000	10,000	10,000	10,000
MICDS (HFF)	20,000							
St Peters Episcopal Church (HFF)	-	-	-					
Princeton University - Class of 75	35,000	7,000	7,000	7,000	7,000			
Jefferson Scholars Foundation	500,000	300,000	100,000	100,000	100,000	100,000	100,000	
Sub Total - Bob and Signa	565,000	307,000	107,000	117,000	117,000	110,000	110,000	10,000
Future Payments	347,000							
Lotsie & Rick Holton								
Church of the Annunziata	25,000	-	5,000	5,000				
MICDS (Squash Court)	150,000	10,000	50,000	30,000	10,000			
United Way (HFF)								
St Louis Art Museum	100,000	30,000	10,000	10,000	10,000	10,000	10,000	
Community School	25,000	-	5,000	5,000				
St Peter's Episcopal Church	15,000	-		15,000				
Sub Total - Lotsie & Rick	315,000	40,000	70,000	65,000	20,000	10,000	10,000	-
Future Payments	40,000							
Rick & Kristin Holton, Jr								
Vanderbilt University	1,000	-	1,000	1,000				
Washington University	10,000	9,000	-	1,000	1,000	1,000	1,000	1,000
Sub Total - Rick, Jr	11,000	9,000	1,000	2,000	1,000			
Future Payments	1,000							
Total Hermann/HoltonFuture Payments		388,000						

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box . . . . ▶ ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions THE LILLY CHRISTY BUSCH HERMANN FOUNDATION	Employer identification number (EIN) or 43-6543271
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P O box, see instructions 7701 FORSYTH BLVD 10TH FLOOR	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions ST LOUIS MO 63105	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ ROBERT HERMANN JR

Telephone No ▶ 314-863-9200 Fax No. ▶

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶ ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box . . ▶ ☐ . If it is for part of the group, check this box . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until 11/15 , 20 14 .

5 For calendar year 13 , or other tax year beginning ______ , 20 ___ , and ending ______ , 20 ___ .

6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension ALL INFORMATION NECESSARY TO COMPLETE AN ACCURATE RETURN IS NOT AVAILABLE AT THIS TIME

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions.	**8a**	$ 4000
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	**8b**	$ 4000
c	**Balance due.** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	**8c**	$ 0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature ▶ [signature] Title ▶ CPA Date ▶ 7-25-14

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No 1545-1709

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☐

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions The Lilly Christy Busch Hermann Foundation	Employer identification number (EIN) or 43-6543271
	Number, street, and room or suite no If a P O box, see instructions 7701 Forsyth Blvd , 10th Floor	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions St Louis, MO 63105	

Enter the Return code for the return that this application is for (file a separate application for each return) . . . . . ☐☐

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

• The books are in the care of ▶ Robert R Hermann, Jr

Telephone No. ▶ 314-863-9200 Fax No. ▶ 314-863-9202

• If the organization does not have an office or place of business in the United States, check this box . . . . ▶☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ . If this is for the whole group, check this box . . . . ▶ ☐ . If it is for part of the group, check this box . . . . . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until August 15 , 20 14 , to file the exempt organization return for the organization named above. The extension is for the organization's return for

▶ ☑ calendar year 20 13 or

▶ ☐ tax year beginning , 20 , and ending , 20 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$	4000
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$	-4000
c **Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$	0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.