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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052










2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation EWING MARION KAUFFMAN FOUNDATION		A Employer identification number 43-6064859	
% KRISTIN BECHARD		B Telephone number (see instructions) (816) 932-1000	
Number and street (or P O box number if mail is not delivered to street address) 4801 Rockhill Road Suite		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code Kansas City, MO 641102046		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶ \$ 2,134,262,698	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	500			
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	21,068,401	31,090,068		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	176,413,856			
	b Gross sales price for all assets on line 6 a 1,904,525,169				
	7 Capital gain net income (from Part IV , line 2) . . .		193,329,285		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	18,467,337	7,651,225	1,396,495	
	12 Total. Add lines 1 through 11	215,950,094	232,070,578	1,396,495	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	1,766,597			1,766,597
	14 Other employee salaries and wages	11,615,262	1,519,837	647,696	9,447,729
	15 Pension plans, employee benefits	3,685,759	278,432	72,331	3,334,996
	16a Legal fees (attach schedule)	343,628	25,145	0	318,484
	b Accounting fees (attach schedule)	177,142	5,715	0	171,427
	c Other professional fees (attach schedule)	22,982,710	14,714,473	391,503	7,876,735
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,253,325	141,461		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy	5,309,599	60,898	31,593	5,217,108
	21 Travel, conferences, and meetings	2,824,802	25,119	148,860	2,650,823
	22 Printing and publications	70,703		5,746	64,957
	23 Other expenses (attach schedule)	68,607,918	10,068,787	19,951	2,200,767
	24 Total operating and administrative expenses. Add lines 13 through 23	121,637,445	26,839,867	1,317,680	33,049,623
	25 Contributions, gifts, grants paid	24,937,996			24,937,996
	26 Total expenses and disbursements. Add lines 24 and 25	146,575,441	26,839,867	1,317,680	57,987,619
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	69,374,653			
	b Net investment income (if negative, enter -0-)		205,230,711		
	c Adjusted net income (if negative, enter -0-) . . .			78,815	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	304,594,173	168,319,908	168,514,448
	3	Accounts receivable ▶ <u>63,921</u>			
		Less allowance for doubtful accounts ▶ _____	36,419	63,921	63,921
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	64,990,256 	53,793,281	53,793,281
	b	Investments—corporate stock (attach schedule)	613,418,245 	800,536,712	973,201,205
	c	Investments—corporate bonds (attach schedule).	1,308,218 	1,946,152	1,220,756
	11	Investments—land, buildings, and equipment basis ▶ _____			
Liabilities		Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	801,010,202 	830,378,146	927,433,141
	14	Land, buildings, and equipment basis ▶ _____			
		Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)	 10,465,500	 10,035,946	 10,035,946
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	1,795,823,013	1,865,074,066	2,134,262,698
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	 161,321	 37,721	
	23	Total liabilities (add lines 17 through 22)	161,321	37,721	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	1,795,661,692	1,865,036,345	
	30	Total net assets or fund balances (see page 17 of the instructions)	1,795,661,692	1,865,036,345	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	1,795,823,013	1,865,074,066	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	1,795,661,692
2	Enter amount from Part I, line 27a	2	69,374,653
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	1,865,036,345
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	1,865,036,345

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	193,329,285
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	71,235,705	1,781,452,300	0 039987
2011	84,060,931	1,827,368,068	0 046001
2010	85,001,413	1,720,333,632	0 04941
2009	74,088,881	1,626,979,161	0 045538
2008	97,848,648	2,018,751,504	0 04847

2	Total of line 1, column (d).	2	0 229406
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 045881
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,986,946,436
5	Multiply line 4 by line 3.	5	91,163,089
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	2,052,307
7	Add lines 5 and 6.	7	93,215,396
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	57,987,619

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	4,104,614
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	4,104,614
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	4,104,614
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	5,562,746
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	300,000
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	5,862,746
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <input type="checkbox"/>	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . <input type="checkbox"/>	10	1,758,132
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> 1,758,132 Refunded <input type="checkbox"/>	11	

Part VII-A **Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>	3	Yes	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a	Yes	
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b	Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): <input type="checkbox"/> AL, CA, CO, CT, FL, GA, ID, KS, MD, MA, MN, MS, MO, NY, NC, OK, RI, SC, VT, VA, WV, WI			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No		
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No		
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes			
Website address ▶ <u>www.kauffman.org</u>						
14	The books are in care of ▶ <u>KRISTIN BECHARD</u> Telephone no ▶ <u>(816) 932-1000</u> Located at ▶ <u>4801 ROCKHILL ROAD KANSAS CITY MO</u> ZIP +4 ▶ <u>641102046</u>					
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ <table><tr><td>15</td><td></td></tr></table>	15				
15						
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes Yes	No		
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶ <u>DA</u>						

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		No
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	No
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARY E MCLEAN	CHIEF INVEST OFFICER	620,384	53,564	0
4801 ROCKHILL ROAD KANSAS CITY, MO 641102016	40 0			
LESA MITCHELL	VICE PRESIDENT	313,577	335,377	
4801 ROCKHILL ROAD KANSAS CITY, MO 641102016	40 0			
CARL SCHRAMM	FORMER PRESIDENT	572,250	21,655	0
4801 ROCKHILL ROAD KANSAS CITY, MO 641102016	0			
THOMAS RUHE	VICE PRESIDENT	393,682	57,722	
4801 ROCKHILL ROAD KANSAS CITY, MO 641102016	40 0			
WENDY GUILLES	VICE PRESIDENT	286,169	63,960	
4801 ROCKHILL ROAD KANSAS CITY, MO 641102016	40 0			
Total number of other employees paid over \$50,000.				100

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Driehaus Capital Management LLC	Investment Mgmt Serv	3,075,250
PO Box 10127 CHICAGO,IL 606100127		
General Atlantic Partners		
55 East 52nd St 32 Floor NEW YORK,NY 10055	Investment Mgmt Serv	2,232,918
Bretton Woods Group Inc	Information Tech Ser	1,425,250
PO Box 27207 OVERLAND PARK,KS 66225		
First Eagle Investment Management LLC		
1345 Avenue of the Americas NEW YORK,NY 10105	Investment Mgmt Serv	1,181,403
Mathematica Policy Research	Charter School Eval	848,220
600 Alexander Park PO Box 2393 PRINCETON,NJ 08543		
Total number of others receiving over \$50,000 for professional services.		
		54

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 SEE ATTACHMENT C	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)	
Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	823,922,853
b	Average of monthly cash balances.	1b	327,120,851
c	Fair market value of all other assets (see instructions).	1c	866,160,800
d	Total (add lines 1a, b, and c).	1d	2,017,204,504
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,017,204,504
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	30,258,068
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,986,946,436
6	Minimum investment return. Enter 5% of line 5.	6	99,347,322

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	99,347,322
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	4,104,614
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,104,614
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	95,242,708
4	Recoveries of amounts treated as qualifying distributions.	4	565,015
5	Add lines 3 and 4.	5	95,807,723
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	95,807,723

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	57,987,619
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	57,987,619
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	57,987,619
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1		Distributable amount for 2013 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2013			
a		Enter amount for 2012 only.			
b		Total for prior years 2011 , 2010 , 2009			
3		Excess distributions carryover, if any, to 2013			
a		From 2008.			
b		From 2009.			
c		From 2010.			
d		From 2011.			
e		From 2012.			
f		Total of lines 3a through e.			
4		Qualifying distributions for 2013 from Part XII, line 4 \$ 57,987,619			
a		Applied to 2012, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2013 distributable amount.			
e		Remaining amount distributed out of corpus			
5		Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions.			
e		Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.			
f		Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).			
8		Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).			
9		Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.			
10		Analysis of line 9			
a		Excess from 2009.			
b		Excess from 2010.			
c		Excess from 2011.			
d		Excess from 2012.			
e		Excess from 2013.			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NON

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NON

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SEE ATTACHMENT D
4801 ROCKHILL ROAD
KANSAS CITY, MO 64110
(816) 932-1000

b

The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT D

c

Any submission deadlines

APPLICATION DEADLINES EXIST AND DEPEND ON SPECIFIC PROGRAMS OFFERED

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHMENT D

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	24,937,996
b Approved for future payment See Additional Data Table				
Total			3b	88,377,689

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	<div>▼</div>	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

[illegible]

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
CUSTODIED ACCOUNTS			
CASH ACCOUNT			
HARRIS ASSOCIATES			
FIRST EAGLE			
PIMCO			
LORD ABBETT			
DONALD SMITH			
BRIDGEWAY			
ALETHIA RESEARCH & MANAGEMENT			
SSGA TRANSITION			
FDE AGRESSOR			
FDE AGENOR			
BROADBAND CAPITAL			
DRIEHAUS			
FIRST WILSHIRE			
SSGA GLOBAL ALPHA MAX			
NUANCE			
CONESTOGA CAPITAL ADVISORS			
BARCLAYS MSCI WORLD NET SWAP			
RUANE, CUNIFF, & GOLDFARB			
JP MORGAN INTEREST RATE SWAPS			
BROKER ACCOUNTS			
JP MORGAN DISTRIBUTION			
PARTNERSHIPS			
ABINGWORTH II A LP			
ABINGWORTH III B LP			
ACCENT EQUITY 2003			
ACCENT EQUITY 2012			
ADVENT GLOBAL PRIVATE EQUITY IV-A LP			
APOLLO INVESTMENT FUND III, LP			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
278,495			278,495
		117,761	-117,761
		8,936	-8,936
819			819
1,978			1,978
9			9
15,336			15,336
		23,666	-23,666
820			820
		289,653	-289,653
		33	-33
		37,844	-37,844
1,573,917			1,573,917
27,206			27,206
41,075			41,075
26,078			26,078
16,882			16,882
140,428			140,428
1,053,182			1,053,182
184,858			184,858
2,952,568			2,952,568
257,944			257,944
		289	-289
56,636			56,636
		313,537	-313,537

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
APOLLO INVESTMENT FUND IV, LP			
ARCH VENTURE FUND III, LP			
ARCH VENTURE FUND VI, LP			
AVENUE ASIA SPECIAL SITUATIONS FUND IV			
AVENUE SPECIAL SITUATIONS FUND V			
BENCHMARK CAPITAL PARTNERS IV, LP			
BENCHMARK CAPITAL PARTNERS V, LP			
BENCHMARK CAPITAL PARTNERS VI, LP			
BESSEMER VENTURE PARTNERS VII INSTITUTIONAL LP			
BESSEMER VENTURE PARTNERS VIII INSTITUTIONAL LP			
BLACKTHORN PARTNERS, L P			
BRENNER WEST CAPITAL QUALIFIED PARTNERS, LP			
CATALYST VENTURES, LIMITED PARTNERSHIP			
CFB VENTURE FUND II, LP			
CIPEF IV (CAPITAL INTERNATIONAL PRIVATE EQUITY FUND IV) 6/30 YE			
CROSSLINK PARTNERS, LP			
CS CAPITAL PARTNERS II, LP (SCHEDULE K-1 EQUIVALENT)			
CS CAPITAL PARTNERS III, LP (SCHEDULE K-1 EQUIVALENT)			
EEIF X-B, LP (AKA ENERVEST FUND X-B, LP)			
EUROCHOICE II (JERSEY), LP (AKA LODH - LOMBARD OLDIER)			
EUROPEAN SECONDARY DEVELOPMENT FUND III, LP			
EUROPEAN SECONDARY DEVELOPMENT FUND IV, LP			
FIR TREE VALUE FUND, LP			
FLYWHEEL I LP			
FORUM ASIAN REALITY INCOME II, L P			
FRANCE PRIVATE EQUITY III (AKA BUTLER)			
GENERAL ATLANTIC BRAZIL FUNDO DE INVESTIMENTO EN PARTICIPACOES			
GENERAL ATLANTIC PARTNERS 84, L P			
GENERAL ATLANTIC PARTNERS 85, L P			
GENERAL ATLANTIC PARTNERS 87, L P			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
GENERAL ATLANTIC PARTNERS 88, L P			
GENERAL ATLANTIC PARTNERS 89B, L P			
GENERAL ATLANTIC PARTNERS (BERMUDA) II L P			
GREAT POINT DEVELOPERS			
HARBERT PRIVATE EQUITY FUND II, LLC			
HAWKEYE CAPITAL INSTITUTIONAL LP			
HFIC II OFFSHORE (NO K-1 - OFFSHORE)			
HIGHBRIDGE ASIA OPPORTUNITY FUND			
HIGHFIELDS CAPITAL IV LP			
INTERSOUTH PARTNERS III			
INTERSOUTH PARTNERS IV			
INTERSOUTH PARTNERS V			
INTERSOUTH PARTNERS VI			
INTERSOUTH PARTNERS VII			
J H WHITNEY IV, LP			
J H WHITNEY VI AIV, LP			
J H WHITNEY VI, LP			
JP MORGAN INCUBATOR FUND (NO K-1 - OFFSHORE MUTUAL FUND)			
LINDEN CAPITAL PARTNERS LP			
MADRONA VENTURE FUND III			
MADRONA VENTURE FUND IV, LP			
MATLINPATTERSON GLOBAL OPPORTUNITIES III			
MATRIX PARTNERS VII, L P			
MAYFIELD XI			
MEADOWBROOK REAL ESTATE FUND, LLC			
MERIT ENERGY PARTNERS E-II, LP			
MERIT ENERGY PARTNERS F-II, LP			
MERITECH CAPITAL PARTNERS			
MPM BIOVENTURES III QP, LP			
MPM BIOVENTURES IV QP, LP			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
OAK INVESTMENT PARTNERS VII, LP			
OAK INVESTMENT PARTNERS VIII, LP			
OCM / GFI POWER OPPORTUNITIES FUND II			
OCM OPPORTUNITIES FUND II, LP			
OCM OPPORTUNITIES FUND III, LP			
OCM OPPORTUNITIES FUND IV, LP			
PACVEN WALDEN VENTURES IV, LP			
PAUL CAPITAL ROYALTY FUND II, LP			
PROLOG CAPITAL II, LP			
RIVERSIDE FUND III			
RIVERSIDE FUND IV			
SIERRA VENTURES VII, LP			
SQUARE MILE PARTNERS III TE LP			
SQUARE MILE LODGING OPPORTUNITY PARTNERS			
SQUARE MILE LODGING OPPORTUNITY PARTNERS II			
TECH INVESTMENTS II LLC			
US VENTURE PARTNERS VI, LP			
USPF FEEDER II LEVERAGED FEEDER			
USPF FEEDER III LEVERAGED FEEDER			
USPF IV LEVERAGED FEEDER LP			
VENROCK ASSOCIATES II, LP			
VENROCK ASSOCIATES III, LP			
WESTON PRESIDIO CAPITAL III, LP			
WINGATE PARTNERS II, LP			
WINGATE PARTNERS III, LP			

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
29,427,863		28,928,310	499,553
80,578,370		67,451,663	13,126,707
51,076,635		46,045,249	5,031,386
88,040		91,219	-3,179
58,279,741		45,697,697	12,582,044
58,655,060		44,215,100	14,439,960
70			70
		890	-890
9,203,143			9,203,143
19,611,390		17,688,040	1,923,350
41,536,756		37,390,082	4,146,674
		1	-1
907,185,436		864,853,529	42,331,907
22,659,185		15,750,900	6,908,285
7,890		8,252	-362
99,939,118		84,330,264	15,608,854
10,088,943		7,327,972	2,760,971
391,130,329		367,001,960	24,128,369
58,866,150		58,905,175	-39,025
		213,007	-213,007
13,740,659		16,997,281	-3,256,622
		31,844	-31,844
311,748			311,748
		1,082,611	-1,082,611
		52	-52
		433,654	-433,654
52,440			52,440

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
52,168			52,168
11,767			11,767
498,525			498,525
712,543			712,543
937,659			937,659
864,808			864,808
2,373,456			2,373,456
275,598			275,598
308,357			308,357
246,167			246,167
17,883			17,883
822,366			822,366
2,267,863			2,267,863
14,167			14,167
25,983			25,983
		2,133,124	-2,133,124
612,409			612,409
		710	-710
		710,224	-710,224
991,005			991,005
12,493			12,493
17,778			17,778
32,473			32,473
43,945			43,945
		127,636	-127,636
		1,108,359	-1,108,359
		238	-238
430,374			430,374
2,629,563			2,629,563
1,393,297			1,393,297

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,890,832			1,890,832
146,336			146,336
2,003,316			2,003,316
21,111			21,111
1,693,386			1,693,386
6,423,372			6,423,372
1,055,486			1,055,486
539,201			539,201
4,032,725			4,032,725
		187,458	-187,458
		380	-380
		62,476	-62,476
29,310			29,310
179,584			179,584
15,710			15,710
		688,342	-688,342
		301,758	-301,758
9,037			9,037
586,798			586,798
1,462,375			1,462,375
2,564,736			2,564,736
567,504			567,504
186,762			186,762
		3,554	-3,554
854,786			854,786
209,449			209,449
209,744			209,744
3,863,561			3,863,561
		635,154	-635,154
1,320,204			1,320,204

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			499,553
			13,126,707
			5,031,386
			-3,179
			12,582,044
			14,439,960
			70
			-890
			9,203,143
			1,923,350
			4,146,674
			-1
			42,331,907
			6,908,285
			-362
			15,608,854
			2,760,971
			24,128,369
			-39,025
			-213,007
			-3,256,622
			-31,844
			311,748
			-1,082,611
			-52
			-433,654
			52,440

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			52,168
			11,767
			498,525
			712,543
			937,659
			864,808
			2,373,456
			275,598
			308,357
			246,167
			17,883
			822,366
			2,267,863
			14,167
			25,983
			-2,133,124
			612,409
			-710
			-710,224
			991,005
			12,493
			17,778
			32,473
			43,945
			-127,636
			-1,108,359
			-238
			430,374
			2,629,563
			1,393,297

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,890,832
			146,336
			2,003,316
			21,111
			1,693,386
			6,423,372
			1,055,486
			539,201
			4,032,725
			-187,458
			-380
			-62,476
			29,310
			179,584
			15,710
			-688,342
			-301,758
			9,037
			586,798
			1,462,375
			2,564,736
			567,504
			186,762
			-3,554
			854,786
			209,449
			209,744
			3,863,561
			-635,154
			1,320,204


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			278,495
			-117,761
			-8,936
			819
			1,978
			9
			15,336
			-23,666
			820
			-289,653
			-33
			-37,844
			1,573,917
			27,206
			41,075
			26,078
			16,882
			140,428
			1,053,182
			184,858
			2,952,568
			257,944
			-289
			56,636
			-313,537


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Janice Kreamer	Trustee, Chair 12 0	100,000	0	0
411 W 46th Terrace 802 Kansas City, MO 64112				
Thomas A McDonnell	President & CEO 40 0	610,455	29,942	0
333 W 11th Street Floor 5 Kansas City, MO 64105				
Frank Douglas	Trustee 8 0	55,000	0	0
50 Mercer Road Basking Ridge, NJ 07920				
Michael Stolper	Trustee 8 0	55,000	0	0
1606 Clemson Circle La Jolla, CA 92037				
Julia Kauffman	Trustee 8 0	55,000	0	0
5955 Mission Drive Mission Hills, KS 66208				
Jeannine Strandjord	Trustee 8 0	55,000	0	0
3105 W 117th Street Leawood, KS 66211				
Benno Schmidt	Trustee 8 0	55,000	0	0
5291 Independence Avenue Riverdale, NY 10471				
Allen Strain	Treasurer 40 0	329,410	40,344	0
4801 Rockhill Road Kansas City, MO 641102046				
John E Tyler III	General Counsel & Secretary 40 0	378,398	39,027	0
4801 Rockhill Road Kansas City, MO 641102046				
Michael Schultz	Trustee 8 0	0	0	0
400 W 49th Terrace Kansas City, MO 64112				
Barbara Mowry	Trustee 8 0	36,667	0	0
4801 Rockhill Road Kansas City, MO 641102046				
John Sherman	Trustee 8 0	36,667	0	0
4801 Rockhill Road Kansas City, MO 641102046				


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Kauffman Scholars Inc 4801 Rockhill Road Kansas City,MO 641102046	NONE	POF	Support the Kauffman Scholars Program, an initiative that will span 19 years, serving at least 2,300 youth in Kansas City's urban areas The goal of the program is to enable urban students to pursue the educational opportunities that will best prepare them for a successful future 20040004	3,237,500
International Finance Corporation 2121 Pennsylvania Ave NW Washington,DC 20433	NONE	NC	Support development of a benchmarking tool designed to help research and understand the effects of policy reforms geared toward reducing the costs of doing business in communities 20080036	161,000
Patterson Kelly 110 Terrace Pl Apt 1 Ithaca,NY 14850	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20080479	3,000
Kansas City Public Library District 14 West 10th Street Kansas City,MO 64105	NONE	PC	Support general operations to expand community education programs and establish an entrepreneurs' resource area 20085924	800,000
Marker Kathleen 1501 Tulane Claremont,CA 91711	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20086224	3,000
Kansas University Endowment Association PO Box 928 1891 Constant Ave Lawrence,KS 660440928	NONE	PC	Support to establish the Institute for Advancing Medical Innovation at the University of Kansas 20086282	1,600,000
RAND Corporation PO Box 2138 1776 Main Street Santa Monica,CA 904072138	NONE	PC	Support to continue the Kauffman-RAND Institute for Entrepreneurship Public Policy's research activities 20090039	100,000
Choi Emily Haas School of Business 545 Student Services 1900 Berkeley,CA 94720	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20091095	3,000
Serrato Juan Carlos Suarez 5081 Evans Hall 3880 Berkeley,CA 94720	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20091103	3,000
ACT Inc 500 ACT Drive PO Box 168 Iowa City,IA 52243	NONE	PC	Support for tuition, fees, room and board for Kauffman Scholars, Inc students 20091224	1,205,000
Greater Kansas City Community Foundation & Affiliated Trusts 1055 Broadway Suite 130 Kansas City,MO 641051595	NONE	PC	Support to create and develop a world-class enterprise at the University of Missouri, Kansas City, devoted to the research and teaching of entrepreneurship at the Institute for Entrepreneurship and Innovation 20100060	900,000
Ewing Marion Kauffman School Inc 6401 Paseo Ave Kansas City,MO 64131	NONE	PC	Support the Ewing Marion Kauffman School to develop, manage, and operate charter schools of excellence, serving the educational needs of at-risk students and preparing them to succeed in and graduate from college 20100575	1,196,069
Ohio State University 921 Chatham Lane Suite 100 Columbus,OH 432212418	NONE	NC	Support the integration of business ownership module data into the 1979 National Longitudinal Survey of Youth 20100657	27,652
The Board of Trustees of the University of Illinois 504 East Armory Avenue MC-504 Champaign,IL 61820	NONE	PC	Support a nationwide assessment of self-employment programs providing policy makers with information to enhance the effectiveness of these programs 20100708	10,000
KIPP Foundation 135 Main Street Suite 1700 San Francisco,CA 94105	NONE	PC	Support KIPP with matching funds for the Department of Education's i3 grant to the KIPP Foundation, the consortium of KIPP schools and regional organizations in support of KIPP's leadership development programs and network growth efforts 20100924	100,000
Total  3a				24,937,996


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Public Forum Institute 2300 M Street NW Suite 900 Washington, DC 20037	NONE	PC	Support to organize and implement Global Entrepreneurship Week, a series of activities for the years 2011 through 2013, designed to inspire young people to explore their potential as self-starters and innovators 20100950	1,100,000
Emory University 1300 Clifton Road Room 555 Atlanta, GA 303222710	NONE	PC	Support to fund the Atlanta Competitive Advantage Conference for the years 2011-2013 20101049	6,000
Bikard Michael A 440 West End Avenue Apt 10A New York, NY 10024	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20101112	3,000
Scott Erin L Washington University Campus Box 1133 St Louis, MO 63130	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20101114	3,000
Tewari Ishani 546 Angell Street 4B Providence, RI 02906	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20101116	3,000
Wei Xiahua One Miramar Street 929014 La Jolla, CA 92092	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20101118	3,000
The Mayor's Fund to Advance New York City 253 Broadway 8th Floor New York, NY 10007	NONE	PC	Support Project Rise, a Kansas City young adult program as part of the federal multi-city Social Innovation Fund 20110013	120,000
International Health Economics Association 435 East Durham Street Philadelphia, PA 191191223	NONE	PC	Support to establish the Kauffman Award for Health Care Entrepreneurship Research in partnership with the International Health Economics Association 20110134	25,000
University of Maryland 3105 Tydings Hall College Park, MD 20742	NONE	NC	Support further development of comprehensive, longitudinal databases on businesses using government survey and administrative resources 20110157	237,111
Pipeline Inc 1919 W 45th Avenue Kansas City, KS 66103	NONE	PC	Support the KTEC Pipeline Entrepreneurial Fellowship Program 20110215	250,000
Entrepreneurs' Organization 500 Montgomery St Suite 500 Alexandria, VA 22314	NONE	PC	Support the Global Student Entrepreneur Awards as part of Global Entrepreneurship Week for the years 2011-2013 utilizing the iStart platform for student competitions beginning in 2011 20110241	75,000
The Common Good Institute Inc One Metrotech Center Suite 1703 Brooklyn, NY 11201	NONE	PC	Support educational, informational and outreach programs that draw attention to the need to eliminate cumbersome government bureaucracy and obsolete regulation 20110300	40,000
Trustees of Columbia University in the City of New York / Department of Economics International Affairs Building New York, NY 10027	NONE	PC	Support a research project on entrepreneurship and innovation in capitalist systems 20110310	150,000
The World Justice Project 740 15th Street NW 2nd Floor Washington, DC 20005	NONE	PC	Support the continued development of the Rule of Law Index and production of scholarly papers and reports on issues surrounding the Rule of Law 20110363	100,000
Leading Educators Inc 3416 Canal Street New Orleans, LA 70119	NONE	PC	Support to improve student achievement through the creation of a strong teacher workforce in the Kansas City region 20110433	175,614
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Columbia University 435 West 116 Street Jerome Greene Hall Rm 724 New York, NY 10027	NONE	NC	Support completion of Columbia Law School's project on law, innovation, and economic growth, focusing on business partnerships and contracting 20110494	62,059
The Curators of the University of Missouri 5100 Rockhill Road Kansas City, MO 641102499	NONE	NC	Support the KC STEM Alliance as the Kansas City region's K-12 STEM education and workforce expansion organization 20110512	650,000
Massachusetts Institute of Technology 50 Memorial Drive E52-455 Cambridge, MA 02142	NONE	PC	Support research on production in the Innovation Economy project 20110577	200,000
Indiana University School of Public and Environmental 1315 East 10th Street SPEA Room 20 Bloomington, IN 47405	NONE	NC	Support the creation of a book on entrepreneurship and the strategic management of places 20110804	24,020
Georgia Tech Research Corporation Office of Sponsored Programs 505 Tenth Street Atlanta, GA 303320420	NONE	SO III FI	Support research on university commercialization to examine the impacts of policy changes related to ownership of university-based inventions 20110889	22,106
Public Agenda Foundation Inc 6 East 39th Street New York, NY 10016	NONE	PC	Support a research study of parents with children in grades K-12 in the greater Kansas City area to gather parental attitudes and opinions about education and their involvement in their children's education 20110896	17,627
Curators of The University of Missouri 228 Jesse Hall Columbia, MO 65211	NONE	PC	Support the Missouri College Advising Corps to increase college attendance and college completion of disadvantaged high school students in the Kansas City area 20110917	168,133
Brigham Young University Department of Organizational Leadership and Strat 590 Tanner Building Provo, UT 84602	NONE	PC	Support research on the significance of university-backed startups to regional economic growth 20110926	7,000
National Alliance for Public Charter Schools 1101 15th Street NW Suite 1010 Washington, DC 20005	NONE	PC	General operating support 20110957	50,000
University of Maryland Van Munching Hall College Park, MD 20742	NONE	NC	Support for an emerging scholars doctoral consortia at the Smith Entrepreneurship Research Conference for 2012-2013 20110975	17,390
Enterprise Center of Johnson County 8527 Bluejacket Street Lenexa, KS 66214	NONE	PC	Support a research project to investigate the role of co-founders in building high performing teams that drive innovation and rapid growth 20111020	4,500
Carnahan Seth 3330 Van Munching Hall College Park, MD 20742	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20111029	3,000
Gonzalez-Urbe Juanita 549 Riverside Drive Apt 3G New York, NY 10027	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20111034	3,000
Moeen Mahka 3330 Van Munching Hall College Park, MD 20742	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20111039	3,000
Palermo Vincenzo 800 West Peachtree Street Atlanta, GA 30308	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20111040	3,000
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Shu Pian 135 Clarendon Street Apt 11S Boston,MA 02116	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20111041	3,000
Wang Dan J 1580 San Antonio Avenue Apt B Menlo Park,CA 94025	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20111042	3,000
United Way of Greater Kansas City Inc 801 West 47th Street Suite 500 Kansas City,MO 64112	NONE	PC	Kauffman Foundation match of associate contributions 20120001	3,512
Washington Monthly Corporation 1200 18th Street NW Suite 330 Washington,DC 20036	NONE	PC	Support the Washington Monthly Magazine with the production of in-depth long-form and web-based journalism on the nexus between innovation and policy 20120004	75,000
Astia 833 Market Street Suite 605 San Francisco,CA 94103	NONE	PC	Support to provide innovative programs that ensure women-led companies gain access to capital, and achieve and sustain high growth 20120010	300,000
President and Fellows of Harvard College aka Harvard University Berkman Center Society / 23 Everett Street Seco Cambridge,MA 02138	NONE	PC	Support Phase 2 of the Berkman Center for Internet & Society's Law Lab project 20120030	100,000
University of Colorado - Boulder 430 UCB Boulder,CO 80309	NONE	NC	Support the initial phase of collecting and curating a high-quality novel data set on the flow of individuals and innovations through the university system 20120085	20,000
Silicon Valley Community Foundation 2440 West El Camino Real Suite 300 Mountain View,CA 94040	NONE	PC	Support the CODE 2040 fellows program that encourages and targets opportunities for minority engineering students with immersive internship experiences in Silicon Valley to increase minority entrepreneurship nationwide 20120127	165,000
Bradley University 1501 W Bradley Avenue Peoria,IL 61625	NONE	PC	Support a research symposium titled "Advancing Research at the Marketing/Entrepreneurship Interface Ph D Students and Junior Faculty," to be held in Chicago, Illinois, August 15-17, 2012 20120148	10,000
Public Forum Institute 2300 M Street NW Suite 900 Washington,DC 20037	NONE	PC	Support for the Public Forum Institute's Policy Dialogue on Entrepreneurship 20120210	53,044
The Brookings Institution 1775 Massachusetts Ave NW Washington,DC 20036	NONE	PC	Support the production and dissemination of research that is central to understanding the role of tax policy and its effect on innovation and entrepreneurial activity in the United States 20120222	70,000
Association of Missouri Charter Schools dba Missouri Charter Public Schools Ass 1804 Lafayette 2nd Floor St Louis,MO 63104	NONE	PC	Support professional development for charter school leaders in Missouri 20120272	105,755
Lehigh University Rauch Business Center 621 Taylor Street Bethlehem,PA 18015	NONE	PC	Support to complete qualitative interviews with a sample of high-growth entrepreneurs from the Kauffman Firm Survey data set 20120282	53,608
The Third Way Foundation Inc 1101 14th Street NW Suite 1250 Washington,DC 20005	NONE	PC	Support research and production of a comprehensive report on innovative institutional mechanisms for pruning the regulatory system 20120295	25,000
Code for America Labs Inc 155 9th Street San Francisco,CA 94103	NONE	PC	Support the 2013 Fellowship Program to bring innovation and technological changes to the Kansas City, Missouri, and Kansas City, Kansas governments 20120354	7,000
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Lorain County Community College Foundation 1005 Abbe Road North Elyria, OH 44035	NONE	SO II	Support of Phase One and Two to create a sustainable, community college-based engine of regional economic growth through entrepreneurship by scaling and replicating Lorain County Community College's "Innovation Fund" 20120479	183,929
Duke University 100 Fuqua Drive Box 90120 Durham, NC 277080120	NONE	PC	Fellowships to support research in entrepreneurship by junior faculty members 20120560	20,000
University of Chicago 1126 E 59th Street Chicago, IL 60637	NONE	PC	Fellowships to support research in entrepreneurship by junior faculty members 20120561	20,000
New York University Henry Kaufman Management Center 44 W 4th Street New York, NY 10012	NONE	PC	Fellowships to support research in entrepreneurship by junior faculty members 20120562	20,000
President and Fellows of Harvard College 1737 Cambridge Street Cambridge, MA 02138	NONE	PC	Fellowships to support research in entrepreneurship by junior faculty members 20120568	10,000
Michigan State University College of Law 461 Law College Building East Lansing, MI 488241300	NONE	PC	Support for ReInvent Law, a law laboratory program devoted to technology, innovation and entrepreneurship 20120589	37,500
The Regents of the University of California 9500 Gilman Drive 0533 La Jolla, CA 920930533	NONE	PC	Support phase one of a research project to study higher education and the rise of finance, law and consulting firms in the United States economy, and the effect on entrepreneurship 20120590	14,712
Mid-America Regional Council Community Services Corporation 600 Broadway Suite 200 Kansas City, MO 64105	NONE	SO II	Support for a Metropolitan Policy Research Institute in Kansas City 20120608	75,000
Invent Now Inc 3701 Highland Park NW North Canton, OH 44720	NONE	PC	Support the 2012 Collegiate Inventors Competition in Washington, D C , November 11-13, 2012 20120694	50,000
University of Southern California University Park Campus RGL 214 -- M/C 0626 Los Angeles, CA 900890626	NONE	PC	Support a research project to examine immigrant entrepreneurship in high-tech industries in the United States 20120737	10,000
The University of Kansas Center for Research Inc 2385 Irving Hill Road Lawrence, KS 660457568	NONE	PC	Support for the continuation of the Kansas City Area Educational Research Consortium to improve preschool through higher education for all students in the Kansas City metropolitan area by providing tools for data-driven educational policy research, evaluation, and implementation 20120846	256,830
Unni Samir 1211 Blairshire Drive Ballwin, MO 63011	NONE	NC	Support to participate in the Global Scholars Program to examine, understand, and experience entrepreneurship with an international group of aspiring entrepreneurs 20120926	12,000
Miller Kyle 3913 Wishing Well Lane Plano, TX 75093	NONE	NC	Support to participate in the Global Scholars Program to examine, understand, and experience entrepreneurship with an international group of aspiring entrepreneurs 20120933	12,000
Hu Yating 2378 John R Road Apt 104 Troy, MI 48083	NONE	NC	Support to participate in the Global Scholars Program to examine, understand, and experience entrepreneurship with an international group of aspiring entrepreneurs 20120936	12,000
Tabakh Ilya 4809 Horton Street Mission, KS 66202	NONE	NC	Support to participate in the Global Scholars Program to examine, understand, and experience entrepreneurship with an international group of aspiring entrepreneurs 20120952	12,000
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Peeler Kelly 260 Sixth Avenue New York, NY 10014	NONE	NC	Support to participate in the Global Scholars Program to examine, understand, and experience entrepreneurship with an international group of aspiring entrepreneurs 20120953	12,000
Iglesias Brandon 1441 Canal Street New Orleans, LA 70112	NONE	NC	Support to participate in the Global Scholars Program to examine, understand, and experience entrepreneurship with an international group of aspiring entrepreneurs 20120959	12,000
Young Landon 1370 Hidden Valley Dr Mitchell, IN 47446	NONE	NC	Support to participate in the Global Scholars Program to examine, understand, and experience entrepreneurship with an international group of aspiring entrepreneurs 20120964	12,000
Kiely Hunter 14727 Outlook Street Overland Park, KS 66223	NONE	NC	Support to participate in the Global Scholars Program to examine, understand, and experience entrepreneurship with an international group of aspiring entrepreneurs 20120974	12,000
Bailey James 247 S Juniper Street Apt 904 Philadelphia, PA 19107	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120978	17,000
Beary Vanessa 2627 Harvard Yard Mall Center Cambridge, MA 02138	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120979	17,000
Carr Sean 39 University Circle 3 Charlottesville, VA 22903	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120980	17,000
Cowgill Jr Bradford Lee 1931 Diamond Street San Francisco, CA 94131	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120981	17,000
Crawford Christopher 7760 Hackney Circle Maineville, OH 45039	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120982	17,000
Demetry Daphne 1700 State Street Apt 253 Nashville, TN 37203	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120983	17,000
Doering Laura 1633 W Rascher Avenue 2F Chicago, IL 60640	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120984	17,000
Goankar Shweta 1220 East West Highway Apt 520 Silver Spring, MD 20910	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120985	17,000
Hermosilla Manuel 4839 N Winchester 1 Chicago, IL 60640	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120986	17,000
Loewenstein Lara 61 Anderson Street 3 Boston, MA 02114	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120987	17,000
McCasland Jamie 2201 Glen Avenue Berkeley, CA 94709	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120988	17,000
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Mehrotra Neil 864 West End Avenue Apt 5-A New York, NY 10025	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120989	17,000
Nelson Russel 6386 Adobe Circle Irvine, CA 92617	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120990	17,000
Nguyen-Chyung Amy 545 Student Services Building 1900 Berkeley, CA 94720	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120991	17,000
Osman Adam 342 Greene Street New Haven, CT 06511	NONE	NC	Fellowships for Ph D candidates writing dissertations in entrepreneurship 20120992	17,000
Command and General Staff College Foundation Lewis and Clark Center 100 Stimson Ave Suite 1149 Ft Leavenworth, KS 660272301	NONE	PC	General support for educational programs and activities for the United States Army Command and General Staff College 20121007	25,000
United Way of Greater Kansas City Inc 801 West 47th Street Suite 500 Kansas City, MO 64112	NONE	PC	Kauffman Foundation match of associate contributions 20130001	10,677
Greater Kansas City Community Foundation & Affiliated Trusts 1055 Broadway Suite 130 Kansas City, MO 641051595	NONE	PC	Kauffman Foundation match of associate and trustee contributions 20130002	600,000
Independent Sector 1602 L Street NW Suite 900 Washington, DC 20036	NONE	PC	General operating support 20130032	12,500
William Jewell College 500 College Hill Liberty, MO 64068	NONE	PC	Support expansion of number and quality of entrepreneurship academic programs and offerings 20130034	5,000
Nelson Gallery Foundation 4525 Oak Street Kansas City, MO 64111	NONE	PC	Support of a Kansas City Cultural District Study to establish a long term vision for the area along Brush Creek and the Country Club Plaza in Kansas City, Missouri 20130037	30,000
International Institute of Innovation Journalism c/o SRI International 333 Ravenswood Ave Menlo Park, CA 94025	NONE	PC	Support the i4j International Summit on Innovation for Jobs at the SRI International Conference Center in Menlo Park, California, March 18-19, 2013 20130045	50,000
The George Washington University 2201 G Street NW Fonger Hall Suite 315 Washington, DC 20052	NONE	PC	Support to expand the National Survey of Entrepreneurship Education, a comprehensive survey on small business management and entrepreneurship education 20130046	18,500
Startup Genome LLC 131 Dundee Ridge Ct Omaha, NE 68132	NONE	NC	Support to help accelerate Startup Genome, a local level data collection project focused on entrepreneurship and community building, and to research, develop and publicly distribute available data regarding startups in various local communities 20130059	100,000
Rose Brooks Center Inc PO Box 320599 Kansas City, MO 641320599	NONE	PC	Support the 26th Annual Rose Brooks Center Cabaret in Kansas City, Missouri, March 3, 2013 20130068	3,500
Kansas City Area Life Sciences Institute Inc 30 West Pershing Road Suite 210 Kansas City, MO 64105	NONE	SO I	Support the Greater Kansas City Area Bioinformatics Capability Assessment study to determine the region's present position and help develop a strategy to optimize this field as an asset in the region's biomedical offering 20130086	66,000
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Kauffman Laboratories for Enterprise Creation Inc 4801 Rockhill Road Kansas City,MO 64110	NONE	PF	Support the continued dissemination of entrepreneurship curriculum by providing educational programs, experiences, and initiatives through which participants learn how to transform innovative, entrepreneurial ideas into high growth companies 20130100	703,914
Brandeis University 415 South Street Waltham,MA 024542728	NONE	PC	Support the 4th Annual Entrepreneurial Finance and Innovation Conference in Boston, Massachusetts, June 9-11, 2013 20130113	34,841
The Nelson Gallery Foundation 4525 Oak Street Kansas City,MO 64111	NONE	PC	General operating support 20130136	49,225
American Heart Association Inc 6800 W 93rd Street Overland Park,KS 66212	NONE	PC	Support the Go Red for Women event in Overland Park, Kansas, April 19, 2013 20130146	4,535
Boys Club of Greater Kansas City 6301 Rockhill Road Suite 303 Kansas City,MO 64131	NONE	PC	Support of the Kids Night Out 2013 event in Kansas City, Missouri, March 1, 2013 20130151	14,500
Northwestern University 375 East Chicago Avenue Chicago,IL 606113069	NONE	PC	Support for the Searle Center's Sixth Annual Conference on Intellectual Property and Entrepreneurship in Chicago, Illinois, June 6-7, 2013 20130167	40,260
Georgia Tech Research Corporation Office of Sponsored Programs 505 Tenth Street Atlanta,GA 303320420	NONE	SO III FI	Support the Roundtable for Engineering Entrepreneurship Research (REER) annual conference on technology entrepreneurship for the years 2013-2015 20130168	15,000
Swope Corridor Renaissance Upper Room Inc 5930 Swope Parkway Kansas City,MO 64130	NONE	PC	Support Earn and Learn, an eight week high school leadership summer program 20130169	50,000
Women's Employment Network Inc 920 Main Street Suite 100 Kansas City,MO 64105	NONE	PC	Support the 2013 annual luncheon in Kansas City, Missouri, April 10, 2013 20130172	4,710
Operation Breakthrough Inc 3039 Troost Kansas City,MO 64109	NONE	PC	Support the 15th Annual Fundraiser dinner and auction "Painting a Brighter Future" in Kansas City, Missouri, April 26, 2013 20130173	4,500
Ohio State University Fisher College of Business Center for Entrepreneurship 2100 Fisher Hall 850 Columbus,OH 43210	NONE	NC	Support for the Great Lakes Entrepreneurship Network (GLEN) Doctoral Consortium in Columbus, Ohio, May 18-19, 2013 20130174	10,000
Kansas City Repertory Theatre Inc 4949 Cherry Kansas City,MO 64110	NONE	PC	Support the annual gala, A Fearless Fete - The Uncanny Carousel Carnival, in Kansas City, Missouri, April 5, 2013 20130202	4,700
Literacy Kansas City 211 West Armour Blvd Floor 3 Kansas City,MO 641112023	NONE	PC	Support for the 19th Annual Corporate Spelling Bee in Kansas City, Missouri, April 25, 2013 to benefit the organization's adult literacy tutoring program 20130204	4,600
Kansas University Endowment Association 3901 Rainbow Blvd Mail Stop 3012 Kansas City,KS 66160	NONE	PC	Support a research project to understand how the Affordable Care Act might impact new and growing businesses 20130211	16,240
University of Louisville Research Foundation Inc Stevenson Hall Room 521 Louisville,KY 40292	NONE	SO III FI	Support for a pilot program to evaluate local entrepreneurs' ideas and early-stage products and service concepts in several core areas intellectual property, decision sciences, business analytics and modeling 20130212	19,621
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Greater Kansas City Community Foundation Affiliated Trusts 1055 Broadway Suite 130 Kansas City, MO 641051595	NONE	PC	In appreciation of Trustee services provided to the Foundation 20130215	184,070
Camps For Kids 4727 Logan Avenue Kansas City, MO 64136	NONE	PC	Support the Camps for Kids Campaign, a summer camp scholarship program for underprivileged and special needs youth in the Kansas City area 20130228	25,000
Whatsoever Community Center 1201 Ewing Street Kansas City, MO 64126	NONE	PC	Support for an after-school program that encourages youth to work on project-based learning activities to develop cognitive, academic social and emotional skills 20130265	30,840
Boys Club of Greater Kansas City 6301 Rockhill Road Suite 303 Kansas City, MO 64131	NONE	PC	Support for the 2013 Atomic Blast Camp, the summer tutoring component of the Whole Child Educational Enhancement Program 20130288	50,000
Trustees of William Jewell College 500 College Hill Liberty, MO 64068	NONE	PC	Support an initiative to develop a sustainable entrepreneurial environment and culture across campus with a primary focus on developing an experiential, competency-based entrepreneurship model for faculty, staff and students 20130289	272,500
El Centro Inc 650 Minnesota Avenue Kansas City, KS 66101	NONE	PC	Support for the 4th Annual Heart of Opportunity Luncheon in Kansas City, Kansas, April 25, 2013 20130298	4,750
Unreasonable Institute 1877 Broadway Ave Suite 100 Boulder, CO 80202	NONE	PC	Support several events for entrepreneurs at the 2013 Institute Conference in Boulder, Colorado, June 12 - July 24, 2013 20130323	15,000
Regents of the University of Colorado Koelbel Hall Boulder, CO 803090419	NONE	PC	General support for a conference on crowdfunding and its implications for the entrepreneurial ecosystem in Boulder, Colorado, July 12-13, 2013 20130324	20,000
The National Foundation for American Policy 2111 Wilson Blvd Suite 700 Arlington, VA 22201	NONE	PC	Support two research reports one on H-1B visas and the retention of talent in America, and the second report on the importance of international students on United States students enrolled in technical degree programs 20130327	40,000
Academy of Management Inc PO Box 3020 Briarcliff Manor, NY 105108020	NONE	PC	Sponsorship of several consortia during the Academy of Management's Annual Meeting in Lake Buena Vista, Florida, August 9-10, 2013 20130378	35,000
Strategic Management Society Inc Rice Building Ste 215 815 W Van Buren Street Chicago, IL 60607	NONE	PC	Support for the Doctoral and Junior Faculty Workshop in connection with the Strategic Management Society's Special Conference on March 9, 2014 20130379	25,000
Saint Louis University 3674 Lindell Blvd St Louis, MO 63108	NONE	PC	Support for the Gateway to Entrepreneurship Research Conferences in 2013 and 2014 20130380	8,000
The Central Exchange Education Center 1020 Central Kansas City, MO 64105	NONE	PC	Support for the 11th Annual Leadership Lyceum in Overland Park, Kansas, April 30, 2013 20130386	3,500
Nonprofit Connect Network Learn Grow PO Box 5813 Kansas City, MO 64171	NONE	PC	Support for the 29th Annual Philanthropy Awards Luncheon in Kansas City, Missouri, May 15, 2013 20130387	4,700
Harry S Truman Library Institute for National and International Affairs 500 W US Highway 24 Independence, MO 64050	NONE	PC	Support for the 14th Annual Wild About Harry benefit event in Kansas City, Missouri, April 11, 2013 20130390	4,250
Total  3a				24,937,996


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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
Starlight Theatre Association of Kansas City Inc 4600 Starlight Road Kansas City,MO 64132	NONE	PC	Support for the 27th Annual Benefit Gala, The Unlimited Sounds of Starlight, in Kansas City, Missouri, May 18, 2013 20130391	8,840
Center for Practical Bioethics Inc Harzfeld Building 1111 Main Street Suite 500 Kansas City,MO 641052116	NONE	PC	Support for the 2013 Annual Fundraising Dinner in Kansas City, Missouri, April 9, 2013 20130429	5,000
StartOut 201 W 21st Street Ste 5G New York,NY 10011	NONE	PC	Support to build an organizational structure that allows for an expanded network of business resources and mentors 20130446	50,000
Grantmakers for Education 720 SW Washington Street Suite 605 Portland,OR 97205	NONE	PC	General operating support 20130454	5,000
Guadalupe Center Inc 1015 Avenida Cesar E Chavez Kansas City,MO 64108	NONE	PC	Support for the Stakeholder's Luncheon and the Blanco y Negro Gala in Kansas City, Missouri, April 18, 2013 and September 13, 2013, respectively 20130490	7,250
The Harry S Truman Good Neighbor Award Foundation PO Box 755 Shawnee Mission,KS 66201	NONE	POF	Support the 129th Harry S Truman Birthday Luncheon in Kansas City, Missouri, May 8, 2013 20130496	700
Higher M-Pact PO Box 11718 Kansas City,MO 64138	NONE	PC	Sponsorship of the Inspired M-Pact Community Luncheon in Kansas City, Missouri, May 1, 2013 20130502	2,250
Thomas B Fordham Institute 1016 - 16th Street NW 8th Floor Washington,DC 20036	NONE	PC	Support the Emerging Education Policy Scholars Program that brings up-and-coming scholars together with education policy experts to determine the best methods to translate education research into policy 20130510	25,000
Teach for America Inc 2000 Baltimore 3rd Floor Kansas City,MO 64108	NONE	PC	Support to attract and train talented new teachers and leaders in the Kansas City area in an effort to improve academic outcomes for students 20130533	250,000
Expeditionary Learning Outward Bound Inc 247 West 35th Street 8th Floor New York,NY 10001	NONE	PC	Support the creation of English Language Arts (ELA) curriculum aligned with national Common Core standards 20130534	75,000
Crossroads Academy of Kansas City 1015 Central Street Kansas City,MO 64105	NONE	PC	Support for the charter school to develop, with SchoolWorks consultants, a strategic plan to achieve long-term success, organizational growth and viability 20130543	4,690
University of Pennsylvania 3620 Locust Walk Suite 2000 Steinberg-Dietrich Hall Philadelphia,PA 191046370	NONE	NC	Fellowships to support research in entrepreneurship by junior faculty members 20130551	26,000
University of Texas - Austin Finance Department B6600 1 University Station Austin,TX 787121179	NONE	NC	Fellowships to support research in entrepreneurship by junior faculty members 20130552	26,000
Carnegie Mellon University 5000 Forbes Avenue Pittsburgh,PA 15213	NONE	PC	Fellowships to support research in entrepreneurship by junior faculty members 20130558	20,000
Georgia Tech Research Corporation 800 West Peachtree NW Atlanta,GA 30308	NONE	SO III FI	Fellowships to support research in entrepreneurship by junior faculty members 20130560	18,000
Total  3a				24,937,996


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Name and address (home or business)				
a <i>Paid during the year</i>				
Union Station Kansas City Inc 30 West Pershing Suite 400 Kansas City,MO 64108	NONE	PC	Support Maker Faire Kansas City, an annual festival in Kansas City, Missouri, June 29-30, 2013, and to support a Program Director for the 2014 Maker Faire 20130561	135,000
Kansas State University 204 Waters Hall Manhattan,KS 66506	NONE	PC	Support to conduct a research study to measure the entrepreneurial activity at Missouri and Kansas universities 20130562	60,000
Massachusetts Institute of Technology 50 Memorial Drive E52-455 Cambridge,MA 02142	NONE	PC	Fellowships to support research in entrepreneurship by junior faculty members 20130567	30,000
New York University 44 West Fourth Street 7-58 New York,NY 10012	NONE	PC	Fellowships to support research in entrepreneurship by junior faculty members 20130570	20,000
Massachusetts Institute of Technology 50 Memorial Drive E52-455 Cambridge,MA 02142	NONE	PC	Fellowships to support research in entrepreneurship by junior faculty members 20130571	20,000
Center School District No 58 Administrative Office 8701 Holmes Road Kansas City,MO 641312899	NONE	NC	Support three teachers from Center School District to participate in the Leading Educators fellowship program 20130602	10,200
Washington University One Brookings Drive St Louis,MO 63130	NONE	PC	Support to evaluate U S economic development programs to develop a data set of programs that attract investment, and to document the subsidies given to firms since 2010, with a more fine-grained data set on incentives given to Kansas City metro firms 20130611	29,000
Blue Valley Educational Foundation 15020 Metcalf Avenue Overland Park,KS 66223	NONE	PC	Sponsorship of the 23rd Annual Community Breakfast in Overland Park, Kansas, August 28, 2013 20130617	4,835
Greater Kansas City Community Foundation & Affiliated Trusts 1055 Broadway Suite 130 Kansas City,MO 641051595	NONE	PC	Support of the annual Greater Kansas City Hispanic Scholarship Fund award presentation for 2013 scholarship recipients in Kansas City, Missouri, June 6, 2013 20130620	2,000
Enactus 1959 E Kerr Street Springfield,MO 65803	NONE	PC	Support for the 2013 United States National Exposition in Kansas City, Missouri, May 21-23, 2013 20130627	50,000
Public Television 19 Inc 125 East 31st Street Kansas City,MO 64108	NONE	PC	Support the creation of an entrepreneurship news desk in the Kansas City region to increase understanding of the role of entrepreneurship 20130630	62,500
Public Policy Institute of California 500 Washington Street Suite 600 San Francisco,CA 94111	NONE	PC	Support initial planning to test the viability of an innovative database to measure owner characteristics of minority and immigrant-owned small businesses 20130632	25,000
WEB DuBois Learning Center Inc 5501 Cleveland Kansas City,MO 64130	NONE	PC	Support for tutorial and STEM programs that promote and develop educational interest and achievement in an effort to address inadequate school performance and lack of student interest or motivation 20130633	50,000
Union Station Kansas City Inc 30 West Pershing Suite 400 Kansas City,MO 64108	NONE	PC	Support for the purchase and installation of equipment for Maker Studio, which will be part of Science City at Union Station 20130646	15,000
The Council of State Governments 2760 Research Park Drive PO Box 11910 Lexington,KY 405781910	NONE	PC	Support to host a policy academy for 50 state leaders on the topic of entrepreneurship, to be held in conjunction with the Council of State Governments' National Conference in Kansas City, Missouri, September 18-19, 2013 20130677	75,000
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Name and address (home or business)				
a <i>Paid during the year</i>				
Washington University Campus Box 1133 One Brookings Drive St Louis,MO 631304899	NONE	PC	Support a research project that will investigate venture firms and supporting organizations in St Louis, Missouri, to draw implications about how entrepreneurs and supporting organizations at multiple levels have started, interacted and evolved 20130678	10,000
Brush Creek Community Partners 4743 Troost Avenue Suite 200 Kansas City,MO 641101727	NONE	PC	General operating support 20130706	10,000
Kauffman Scholars Inc 4801 Rockhill Road Kansas City,MO 641102046	NONE	POF	Scholarship support for Kauffman Scholars, Inc students 20130721	2,750,000
Arizona State University Foundation for a New American University / Coor Hall 975 S Myrtle Ave 5th Floor Tempe,AZ 852875302	NONE	PC	Support research on intra-metropolitan location patterns in entrepreneurial activity to understand a vital component to competitiveness in communities 20130782	15,000
SFMade Inc 2617 19th Street San Francisco,CA 94110	NONE	PC	Support for a planning grant to scale the Urban Manufacturing Alliance organization 20130784	100,000
Ewing Marion Kauffman School Inc 6401 Paseo Ave Kansas City,MO 64131	NONE	PC	Support for the School's continued operations in FY2014 to develop, manage, and operate a charter school of excellence as well as funding for FY2014 bond commitments related to the school 20130791	1,798,640
Junior League of Kansas City MO Inc 9215 Ward Parkway Kansas City,MO 641143307	NONE	PC	Support for the "Celebrating a Century of Service" 100th anniversary luncheon in Kansas City, Missouri, September 20, 2013 20130794	4,227
International Relations Council 106 W 11th Street Suite 1650 Kansas City,MO 64105	NONE	PC	Support for the 2013 Annual Awards Banquet in Kansas City, Missouri, October 15, 2013 20130807	2,050
Bonner Springs Edwardsville School District 2200 S 138th Street Bonner Springs,KS 66012	NONE	NC	Support for the Expeditionary Learning Mentor School program and participation in a national Expeditionary Learning venture 20130809	30,200
Junior Achievement of Middle America Inc 4049 Pennsylvania Ave Suite 150 Kansas City,MO 641113006	NONE	PC	Support for the Greater Kansas City Business Hall of Fame in Kansas City, Missouri, November 14, 2013 20130813	4,440
Ronald McDonald House Charities of Kansas City Inc 2502 Cherry Street Kansas City,MO 64108	NONE	PC	Support to provide bookshelves, desk areas, computers, printers, and equipment to support video chat, Skype, etc in the family resource center in a new Ronald McDonald House scheduled to open in Kansas City, Missouri, Fall 2014 20130825	50,000
Kansas City Friends of Alvin Ailey Inc 1714 East 18th Street Kansas City,MO 64108	NONE	PC	Support to hire a consultant to develop a sustainability plan, advise the board on leadership roles, and mentor senior staff on effective cost reduction, fundraising and marketing strategies for the Kansas City Friends of Alvin Ailey 20130837	60,000
University of Wisconsin Foundation 5228 George Mosse Humanities Bldg 455 North Park Street Madison,WI 537061483	NONE	PC	Support for research that will result in the first two-volume study in the area of creative science and entrepreneurship 20130838	50,000
National Multiple Sclerosis Society Mid America Chapter 7611 State Line Road Suite 100 Kansas City,MO 64114	NONE	PC	Support for the annual Dinner of Champions in Kansas City, Missouri, September 17, 2013 20130842	9,640
Urban Ranger Corps 5908 Swope Parkway Kansas City,MO 64130	NONE	PC	Support for the expansion of the Career Planning Program and to hire an additional career planning coach 20130853	42,045
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a <i>Paid during the year</i>				
United States Hispanic Chamber of Commerce Foundation Inc 1424 K Street Suite 401 Washington, DC 20005	NONE	PC	Support for planning and development of the "At the Table Women in Business and Leadership" initiative 20130862	50,000
Kansas City Area Life Sciences Institute Inc 30 West Pershing Road Suite 210 Kansas City, MO 64105	NONE	SO I	Sponsorship of the Annual Meeting, "Big Data Translational Medicine Transforming our Region," in Kansas City, Missouri, October 1, 2013 20130875	8,800
Public Forum Institute 2300 M Street NW Suite 900 Washington, DC 20037	NONE	PC	Support for the Public Forum Institute's Policy Dialogue on Entrepreneurship 20130883	80,000
Education First Athletics Second Coalition PO Box 287670 Kansas City, MO 641281740	NONE	PC	Support for the Read, Succeed and Achieve program to provide kindergarten through eighth grade students the resources needed to improve reading skills and prepare them for high school and post-secondary education 20130885	12,500
Wildwood Outdoor Educational Center 7095 W 399th Street La Cygne, KS 66040	NONE	PC	Support to create curricula, aligned with the Next Generation Science Standards, for three of Wildwood's educational programs that will engage youth in hands-on, experiential science education 20130888	42,929
Powell Gardens Inc 1609 NW US Highway 50 Kingsville, MO 64061	NONE	PC	Support the mission of the High Tunnel project to improve the Kansas City nutrition environment, provide the community with more healthy food choices, and create a high tunnel teach/training program for area small farmers, students and non-profits 20130889	100,000
Camp Fire USA 1100 Walnut Street Suite 1900 Kansas City, MO 641062197	NONE	PC	Support the National Leadership Conference and Youth Leadership Experience in Kansas City, Missouri, November 7-9, 2013 20130900	25,000
Ronald McDonald House Charities of Kansas City Inc 2502 Cherry Street Kansas City, MO 64108	NONE	PC	Support for the Red Shoe Shindig 2013 in Kansas City, Missouri, September 7, 2013 20130907	1,805
Pennsylvania State University Department of Agricultural Economic Sociology / 7E Armsby Building University Park, PA 16802	NONE	NC	Support an analysis of the Kauffman Foundation's Twitter Entrepreneur Network 20130908	10,000
Curators of The University of Missouri 5100 Rockhill Road Katz Hall 109 Kansas City, MO 64110	NONE	PC	Support for research to measure the economic impact of the InterUrban ArtHouse, a community artist space, on the surrounding Overland Park community 20130909	1,000
Kansas City Kansas Community College 7250 State Avenue Kansas City, KS 661122997	NONE	NC	Support for the 2013-2014 Saturday Academy, to provide middle and high school students opportunities that increase awareness of science, technology, engineering and math careers 20130911	50,000
Startup Genome LLC 131 Dundee Ridge Ct Omaha, NE 68132	NONE	PC	Support to help accelerate Startup Genome, a local level data collection project focused on entrepreneurship and community building, and to research, develop and publicly distribute available data regarding startups in various local communities 20130917	60,000
Big Brothers Big Sisters of Greater Kansas City 3908 Washington Kansas City, MO 641112925	NONE	PC	Support for the Mentoring Children Experiencing Trauma (MCET) program that brings youth who have experienced personal trauma together with specially-trained mentors to achieve greater self-confidence, thereby improving their personal, social and academic performance 20130918	50,000
State of Missouri PO Box 480 Jefferson City, MO 651020480	NONE	NC	Support for the development of recommendations to address chronic under- performance in Kansas City public schools and other struggling Missouri school districts 20130930	150,000
New York University 44 West Fourth Street 7-58 New York, NY 10012	NONE	PC	Support to fund research that will study the role of human capital in the funding of entrepreneurial start-ups in the United States 20130942	22,195
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a <i>Paid during the year</i>				
The Plaza Academy 4050 Pennsylvania Avenue Suite 143 Kansas City, MO 64111	NONE	PC	Support for an after-school tutoring and extended school year (summer) program 20130944	50,000
Curators of the University of Missouri - Regnier Institute for Entrepreneurship and 5110 Cherry Street Suite 328 Kansas City, MO 64110	NONE	NC	Support for the 28th Annual Entrepreneur of the Year Awards Dinner in Kansas City, Missouri, October 21, 2013 20130964	8,800
Friends of the Bruce R Watkins Cultural Heritage Center Museum Inc 3700 Blue Parkway Kansas City, MO 64130	NONE	NC	Support for the fundraising event, "An Evening with a Legend Harry Belafonte," in Kansas City, Missouri, October 5, 2013 20130966	4,790
The Milken Institute 1250 Fourth Street Santa Monica, CA 90401	NONE	PC	Support for the Partnering for Cures 2013 conference in New York, New York, November 3-5, 2013 20130967	68,400
Women's Foundation of Greater Kansas City 6950 Squibb Road Suite 220 Mission, KS 66202	NONE	PC	Support for the 21st Annual Grants Awards Luncheon in Kansas City, Missouri, December 6, 2013 20130970	4,700
Bloom Nicholas 579 Serra Mall Rm 231 Stanford, CA 94305	NONE	NC	The Kauffman Prize Medal for Research in Entrepreneurship, an annual award recognizing outstanding scholarly contributions in the field of entrepreneurship by scholars under the age of 40 20130983	50,000
Greater Kansas City Community Foundation & Affiliated Trusts 1055 Broadway Suite 130 Kansas City, MO 641051595	NONE	PC	Support for the Kauffman Foundation's 2012 Holiday Matching Gifts program 20130984	11,900
Bainbridge Island Graduate Institute 220 Second Avenue South Suite 400 Seattle, WA 98104	NONE	PC	Support for Bainbridge Graduate Institute to work with two regional partnerships, each led by a local Workforce Development Council and the Washington Workforce Training and Education Coordinating Board, to develop and implement a three-tiered entrepreneurship educational program and assess its viability as an ongoing program offering within the federal Workforce Investment Act (WIA) funded system 20130987	50,000
Nonprofit Connect Network Learn Grow PO Box 5813 Kansas City, MO 64171	NONE	PC	Support for the Nonprofit Solutions Conference in Kansas City, Missouri, October 21-22, 2013 20130992	5,000
Communities in Schools of Kansas Inc 2721 West 6th Street Suite E Lawrence, KS 66049	NONE	PC	Support for the "Why Try" resiliency education program to motivate students and improve student retention, academic performance and school climate in Wyandotte County, Kansas 20130999	14,020
Massachusetts Institute of Technology 55 Hayward Street Cambridge, MA 02142	NONE	PC	Support to produce two issues of the "Innovations" journal one for the Global Entrepreneurship Summit, and another on the topic of accelerating entrepreneurship 20131013	25,000
Duke University 210 Science Drive Durham, NC 27708	NONE	PC	Support a symposium to bring together key players to discuss incentives in pharmaceutical development in Washington, D C , November 22, 2013 20131019	5,000
Benjamin Banneker Charter Academy of Technology 6401 Rockhill Rd Kansas City, MO 64131	NONE	NC	Support for six Kansas City, Missouri charter schools to participate in the Student Spaceflight Experiment Program Mission 5 to the International Space Station 20131024	5,000
Girl Scouts of Northeast Kansas and Northwest Missouri Inc 8383 Blue Parkway Kansas City, MO 64133	NONE	PC	Support for the Outreach Program's STEM Module, an out-of-school program for Girl Scout members focusing on exploration and environmental awareness 20131025	50,000
Human Rights First 333 Seventh Ave - 13th Floor New York, NY 10001	NONE	PC	General operating support 20131051	50,000
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Name and address (home or business)				
a Paid during the year				
National Council on Teacher Quality 1120 G Street NW Suite 800 Washington, DC 20005	NONE	PC	Support for a 2nd edition national criterion- referenced review of schools of education, including 37 schools in Kansas and Missouri, conducted by the National Council on Teacher Quality and published in U S News and World Report 20131054	100,000
Economic Club of Kansas City 1 Memorial Drive Kansas City, MO 64198	NONE	PC	Support for the Truman Medal for Economic Policy Award Luncheon in Kansas City, Missouri, October 15, 2013 20131055	3,900
Kemper Museum Operating Foundation 4420 Warwick Blvd Kansas City, MO 64111	NONE	POF	General operating support 20131057	2,450
The Edgar Snow Memorial Fund c/o UMKC Diastole Scholars Center 2501 Holmes Street Kansas City, MO 64108	NONE	PC	Support for the 2014 Edgar Snow Symposium Kick-Off Event in Kansas City, Missouri, November 13, 2013 20131111	4,750
Industrial Organization Society Inc Northeastern University Department of Economics Boston, MA 021155000	NONE	PC	Support for the 12th Annual International Industrial Organization Conference at Northwestern University School of Law, Searle Center on Law, Regulation and Economic Growth, in Chicago, Illinois, April 11-13, 2014 20131125	5,450
Foundation for Human Development and Black Achievement 1216 Brooklyn Kansas City, MO 64127	NONE	PC	Support for the Youth Leadership Development Workshop as part of the Southern Christian Leadership Conference's Martin Luther King, Jr Holiday Celebration in Kansas City, Missouri, January 2014 20131132	22,800
Crossroads Academy of Kansas City 1015 Central Street Kansas City, MO 64105	NONE	PC	Support for the Camp Fire U S A after-school program aimed at nurturing students' social and emotional growth and promoting physical activity and healthy lifestyle choices 20131133	29,366
Negro Leagues Baseball Museum Inc 1616 E 18th Street Kansas City, MO 641081610	NONE	PC	Support for the "Bases Loaded" program, including the Buck O'Neil 102nd Birthday Celebration, November 9, 10 and 13, 2013, the Hall of Game Ceremonies April 12, 2014, Negro Leagues "Beisbol" Exhibit, May 16 - September 30 2014, and the 2014 Heart of America Hot Dog Festival, August 3, 2014 20131152	48,850
Mattie Rhodes Center 1740 Jefferson Street Kansas City, MO 64108	NONE	PC	Support for the 5th Annual Brightening Lives, Building Futures Luncheon, in Kansas City, Missouri, November 21, 2013 20131154	2,300
Woodrow Wilson International Center for Scholars One Woodrow Wilson Plaza 1300 Pennsylvania Avenue NW Washington, DC 200043027	NONE	PC	Support an interactive website, sketchbook-like visuals, and research to publish a book titled, "High Impact How Entrepreneurs Shape Our Future " 20131156	30,000
Independent Sector 1602 L Street NW Suite 900 Washington, DC 20036	NONE	PC	General operating support 20131169	15,000
Connecting for Good 3101 Troost Ave Kansas City, MO 64109	NONE	PC	General operating support 20131192	15,000
Urban League of Kansas City Missouri 1710 Paseo Blvd Kansas City, MO 64108	NONE	PC	Support for the Difference Maker Awards Day Luncheon in Kansas City, Missouri, December 5, 2013 20131212	4,680
Total  3a				24,937,996

Form 990PF Part XVI-A Line 1 - Program service revenue:

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See the instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a RETURN OF UNUSED GRANT FUNDS			01		565,015
b GLOBAL SCHOLARS INCOME			01		117,500
c ROYALTIES & HONORARIUMS RECEIVED			15	1,709	
d ISTART INCOME			01		48,975
e OTHER REVENUE/SALES			01	20,818	
f KAUFFMAN SUPPORT SERVICES			01	21	
STARTUP AMERICA PARTNERSHIP			01		550,000

TY 2013 Accounting Fees Schedule**Name:** EWING MARION KAUFFMAN FOUNDATION**EIN:** 43-6064859

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BSR & CO	5,715	5,715		
CBIZ MHM LLC - AUDIT SERVICES	68,738			68,738
JMW & ASSOC, LLC - TAX PREP	1,500			1,500
KPMG, LLP	101,189			101,189

**TY 2013 All Other Program
Related Investments Schedule**

Name: EWING MARION KAUFFMAN FOUNDATION
EIN: 43-6064859

Category	Amount
NONE	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: EWING MARION KAUFFMAN FOUNDATION

EIN: 43-6064859

TY 2013 Employee Compensation Explanation**Name:** EWING MARION KAUFFMAN FOUNDATION**EIN:** 43-6064859

Employee	Explanation
CARL SCHRAMM	Compensation includes a base compensation payment per the retirement agreement paid over a 12 month period of \$572,250 during 2013. Other employee benefits paid of \$21,655 per the retirement agreement.

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Expenditure Responsibility Statement

Name: EWING MARION KAUFFMAN FOUNDATION

EIN: 43-6064859

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
SEE ATTACHMENT B						NO			

TY 2013 Investments Corporate Bonds Schedule

Name: EWING MARION KAUFFMAN FOUNDATION

EIN: 43-6064859

Name of Bond	End of Year Book Value	End of Year Fair Market Value
SEE ATTACHMENT G	1,946,152	1,220,756

TY 2013 Investments Corporate
Stock Schedule

Name: EWING MARION KAUFFMAN FOUNDATION
EIN: 43-6064859

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AAON INC	1,073,159	1,705,715
ABAXIS INC	1,297,166	1,410,745
ACACIA RESEARCH CORP	1,036,599	403,122
ACCELRYX INC	728,572	837,612
ACCENTURE PLC CL A		
ACI WORLDWIDE INC	1,229,669	1,985,750
ACME UNITED CORP	612,598	1,029,069
ADECCO SA REG	1,303,278	1,936,965
ADVANTAGE OIL + GAS LTD		
ADVENT SOFTWARE INC	911,537	1,147,672
ADVISORY BOARD CO/THE	976,196	1,337,197
AECON GROUP INC	1,331,428	1,416,177
AEGION CORP	1,291,231	1,533,942
AEON FINANCIAL SERVICE CO LT		
AERCAP HOLDINGS NV	791,935	1,769,814
AEROVIRONMENT INC	335,854	393,983
AFREN PLC	1,724,360	1,881,749
AGNICO EAGLE MINES LTD	1,363,143	1,071,709
AGREE REALTY CORP	376,069	386,837
AIR PRODUCTS + CHEMICALS INC		
AIRTAC INTERNATIONAL GROUP	1,495,815	1,859,446
AJISEN CHINA HOLDINGS LTD	848,008	892,465
AKZO NOBEL	1,142,986	1,527,986
ALBIOMA	1,250,208	1,246,831
ALIGN TECHNOLOGY INC	832,737	1,473,041
ALLIANCE ONE INTERNATIONAL		
ALPINE ELECTRONICS INC	2,190,763	2,334,643
ALSEA SAB DE CV	2,243,106	2,241,135
ALTERA CORP	1,135,306	1,162,460
ALTRA HOLDINGS INC		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALTRAN TECHNOLOGIES SA	576,393	887,088
AMERICA S CAR MART INC	88,440	124,579
AMERICAN GREETINGS CORP CL A		
AMERICAN SCIENCE + ENGINEERI	2,312,943	2,694,899
AMERICAN WATER WORKS CO INC	1,572,118	3,313,184
ANGLO AMERICAN PLC	723,066	392,978
ANGLOGOLD ASHANTI SPON ADR	1,023,103	746,763
ANRITSU CORP		
ANSALDO STS SPA	1,355,436	1,820,485
APACHE CORP		
APPLIED MATERIALS INC	2,260,516	3,201,890
APR ENERGY PLC	1,565,731	1,704,630
APRIL		
APTARGROUP INC		
ARATANA THERAPEUTICS INC	5,860,764	5,888,511
ARCADIS NV	2,593,184	3,340,376
AREZZO INDUSTRIA E COMERCIO		
ARIAKE JAPAN CO LTD		
AS ONE CORP	396,735	438,521
ASBURY AUTOMOTIVE GROUP		
ASOS PLC		
ASSOCIATED BRITISH FOODS PLC	387,926	907,094
ASTA FUNDING INC	112,811	119,564
ASTELLAS PHARMA INC	1,398,918	2,137,423
ATLANTIC TELE NETWORK INC	617,107	1,140,904
ATRIUM INNOVATIONS INC	1,117,328	2,364,936
AURORA OIL + GAS LTD		
AUTOLIV INC	247,482	385,560
AUTONEUM HOLDING AG	93,823	254,968
AVEVA GROUP PLC		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AVIGILON CORP	1,749,070	1,897,384
AXCELIS TECHNOLOGIES INC		
AXIS COMMUNICATIONS AB		
AZ ELECTRONIC MATERIALS		
AZZ INC		
BALCHEM CORP	887,442	1,761,000
BANC OF CALIFORNIA INC		
BANCO SANTANDER SA		
BANGKOK BANK PUBLIC CO NVDR	757,443	979,650
BANK OF AMERICA CORP	3,903,303	4,258,395
BANK OF MARIN BANCORP/CA		
BARRATT DEVELOPMENTS PLC		
BASSETT FURNITURE INDS		
BEAZLEY PLC	60,701	83,168
BECTON DICKINSON AND CO	535,217	580,625
BEL FUSE INC CL B		
BELLWAY PLC	1,681,521	1,918,457
BENETEAU		
BERKELEY GROUP HOLDINGS	1,797,847	3,326,609
BIOMERIEUX	151,699	205,568
BIOSCRIP INC		
BLACKBAUD INC	973,152	1,232,096
BLINKX PLC	1,124,540	1,172,865
BLOOMBERRY RESORTS CORP		
BODYCOTE PLC		
BOFI HOLDING INC	37,517	196,075
BOLLORE	490,861	1,258,111
BOLLORE NEW	5,906	8,064
BONJOUR HOLDINGS LTD	1,560,303	1,834,779
BOOKER GROUP PLC	930,434	1,804,837

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BOTTOMLINE TECHNOLOGIES (DE)	1,080,300	1,699,520
BOURBON SA	598,307	584,251
BOURSORAMA	1,817,027	1,875,458
BOUYGUES SA	2,265,217	2,450,214
BRASIL PHARMA SA		
BREMBO SPA	960,472	1,371,001
BRIDGEPOINT EDUCATION INC		
BRITVIC PLC	1,900,976	2,701,314
BROOKLINE BANCORP INC		
BRYN MAWR BANK CORP		
BUREAU VERITAS SA	249,089	480,102
CABOT MICROELECTRONICS CORP		
CAI INTERNATIONAL INC		
CALBEE INC		
CAMERON INTERNATIONAL CORP	2,272,382	2,463,351
CAMPUS CREST COMMUNITIES INC		
CANADIAN NATURAL RESOURCES	2,284,536	2,683,813
CANON INC	4,975,675	4,565,463
CAPELLA EDUCATION CO		
CAPITOL FEDERAL FINANCIAL IN	1,117,586	1,128,834
CARBO CERAMICS INC	862,589	1,176,953
CARGOTEC OYJ B SHARE	1,135,306	1,167,528
CARL ZEISS MEDITEC AG BR	1,584,591	1,808,494
CARMIKE CINEMAS INC		
CARREFOUR SA	1,404,490	2,189,067
CARRIAGE SERVICES INC		
CASH AMERICA INTL INC	957,324	1,504,807
CATALYST PAPER CORP		12,413
CATLIN GROUP LTD		
CCA INDUSTRIES INC		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CCL INDUSTRIES INC CL B	1,692,636	1,676,929
CEGEDIM SA	360,675	144,080
CELADON GROUP INC		
CENOVUS ENERGY INC	2,345,046	2,284,121
CENTRAL GARDEN AND PET CO A		
CENTURY TOKYO LEASING CORP	1,632,097	1,802,729
CENTURYLINK INC	1,096,110	1,104,526
CGG	1,026,290	728,053
CHESAPEAKE UTILITIES CORP		
CHINA FUND INC/THE	347,934	465,353
CHINA MODERN DAIRY HOLDINGS	1,796,761	1,954,141
CHINA PHARMA HOLDINGS INC	410,687	68,854
CHINACAST EDUCATION CORP		
CHINDEX INTERNATIONAL INC	82,287	237,048
CHOFU SEISAKUSHO CO LTD	729,075	874,440
CIA SANEAMENTO BASICO DE ADR	1,140,568	2,558,304
CIE FINANCIERE RICHEMON BR A	454,605	748,862
CIE FINANCIERE RICHEMON BR A		
CIMAREX ENERGY CO	1,580,312	2,627,996
CINEPLEX INC	1,442,271	1,772,891
CIPUTRA DEVELOPMENT TBK PT		
CISCO SYSTEMS INC	158,581	156,674
CLARIANT AG REG		
CNA FINANCIAL CORP	1,313,067	1,615,666
CNH INDUSTRIAL NV	5,315,127	5,613,400
COBIZ FINANCIAL INC		
COCA COLA ICECEK AS		
COGECO CABLE INC		
COMFORTDELGRO CORP LTD	1,070,675	1,499,906
COMMERCE BANCSHARES INC		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
COMMERCIAL VEHICLE GROUP INC		
COMPAGNIE DE SAINT GOBAIN	1,331,770	1,749,783
COMPARTAMOS SAB DE CV	870,881	865,687
COMPASS MINERALS INTERNATION	2,820,151	3,081,845
COMPUGROUP MEDICAL AG	1,646,113	1,769,149
COMPUTER TASK GROUP INC		
CONAGRA FOODS INC	546,923	585,201
CONNECTICUT WATER SVC INC		
CONSTANTIA PACKAGING AG ANSPR		
CONSTELLATION SOFTWARE INC	1,272,477	2,044,921
CONTANGO OIL + GAS	1,122,528	1,086,980
CONTINENTAL AG	247,662	790,723
CORE LABORATORIES N.V.		
CORVEL CORP		
COSTAR GROUP INC	892,547	2,292,299
COUNTRYWIDE PLC	1,677,868	1,818,849
COVENANT TRANSPORT GRP CL A		
CPI AEROSTRUCTURES INC		
CRAWFORD + COMPANY CL A	346,598	718,131
CREDIT SUISSE GROUP AG REG	7,112,641	7,855,974
CRH PLC	1,120,811	1,606,027
CRODA INTERNATIONAL PLC	733,632	980,726
CTS CORP		
CTS EVENTIM	1,011,800	1,704,270
DAIICHIKOSHO CO LTD	504,877	879,167
DAIMLER AG REGISTERED SHARES	4,059,680	6,817,440
DAIWA SECURITIES GROUP INC	2,634,446	3,928,072
DANA HOLDING CORP		
DANONE	3,857,683	3,885,884
DE LONGHI SPA	1,457,477	2,420,727

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DE MASTER BLENDERS1753 NV		
DEERE + CO	546,393	592,366
DEETHREE EXPLORATION LTD	1,688,322	1,697,831
DESTINATION XL GROUP INC	161,750	269,764
DEUTSCHE WOHNEN AG BR	797,830	1,357,654
DEVON ENERGY CORPORATION	3,062,963	3,332,318
DEVRY EDUCATION GROUP INC	1,596,744	1,234,513
DIAGEO PLC	3,028,670	3,514,560
DIGNITY PLC	409,932	445,995
DISCO CORP	1,343,860	1,332,911
DISCOVERY COMMUNICATIONS C		
DKSH HOLDING LTD	1,093,951	1,032,468
DMG MORI SEIKI CO LTD	1,751,778	1,864,908
DOGAN YAYIN HOLDING AS		
DOMINO S PIZZA GROUP PLC		
DONEGAL GROUP INC CL A		
DORMAN PRODUCTS INC	613,859	613,967
DREW INDUSTRIES INC		
DRILLISCH AG	1,186,504	1,637,136
DU PONT (E.I.) DE NEMOURS		
DUERR AG	1,355,000	1,767,880
DUFRY AG REG	3,265,337	4,359,479
DUOYUAN PRINTING INC	11,900	28
EAST WEST BANCORP INC	1,249,834	2,168,140
EBARA CORP	1,745,872	1,800,866
ELECTRONICS FOR IMAGING		
ELEMENT FINANCIAL CORP	1,399,736	1,746,712
ELEMENTIS PLC	1,756,746	1,909,673
EMPERADOR INC	901,812	809,615
ENCANA CORP	490,133	359,015

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ENDURANCE SPECIALTY HOLDINGS	269,911	383,995
EQUIFAX INC		
ESSENTRA PLC	2,136,588	3,167,382
ESSEX RENTAL CORP		
ESTACIO PARTICIPACOES SA	3,187,085	3,394,881
EXA CORP	281,357	270,836
EXACOMPTA CLAIREFONTAINE	213,440	80,719
EXCEED CO LTD	611,321	137,676
EXEDY CORP	774,236	743,494
EXEL INDUSTRIES SA A SHS	343,643	407,019
EXELON CORP	2,379,409	2,273,507
EXPONENT INC		
EZION HOLDINGS LTD	1,631,645	1,687,946
F N COMMUNICATIONS INC	1,769,065	1,923,771
FACEBOOK INC A		
FACTSET RESEARCH SYSTEMS INC	630,581	675,911
FAMILY DOLLAR STORES	372,842	382,348
FANUC CORP	2,441,241	3,369,963
FARO TECHNOLOGIES INC	1,458,179	1,827,705
FAURECIA	799,733	1,416,333
FEDERAL AGRIC MTG CORP CL C	2,166,680	3,941,593
FEDEX CORP	3,611,793	5,980,832
FENNER PLC	122,654	124,990
FERREXPO PLC		
FFP	596,332	351,605
FIAT INDUSTRIAL		
FIBRA UNO ADMINISTRACION SA	1,685,602	1,710,245
FIRST FINANCIAL HOLDINGS INC		
FIRST TRUST SPECIALTY FINANCE		
FIRSTENERGY CORP	559,612	560,330

Name of Stock	End of Year Book Value	End of Year Fair Market Value
FLEETMATICS GROUP PLC	1,164,999	1,240,194
FLUOR CORP		
FRANKLIN RESOURCES INC	4,419,059	4,840,949
FRAPORT AG FRANKFURT AIRPORT	100,942	174,026
FREENET AG	1,774,501	2,457,181
FRESENIUS SE + CO KGAA	1,174,124	1,583,925
FRESNILLO PLC	942,550	836,692
FRONTIER COMMUNICATIONS CORP		
FUGRO NV CVA	3,819,116	3,813,928
FURSYS INC	113,954	575,633
GAUMONT SA	37,513	40,811
GEELY AUTOMOBILE HOLDINGS LT		
GENEL ENERGY PLC	1,658,066	1,811,893
GENERAL ELECTRIC CO		
GENERAL MOTORS CO	4,721,129	6,130,500
GENOMMA LAB INTERNACIONAL B	1,628,363	1,763,061
GENTING MALAYSIA BHD	253,208	338,793
GENTIVA HEALTH SERVICES		
GENWORTH FINANCIAL INC CL A	1,495,120	2,532,167
GEOSPACE TECHNOLOGIES CORP	1,596,392	1,789,916
GERRESHEIMER AG	979,470	1,505,885
GERRY WEBER INTL AG		
GFK SE	1,646,332	2,088,238
GIANT INTERACTIVE GROUP ADR	215,983	455,220
GINKO INTERNATIONAL CO LTD	1,308,993	1,284,547
GIORDANO INTERNATIONAL LTD		
GLAXOSMITHKLINE PLC	1,096,006	1,474,914
GN STORE NORD A/S	2,402,084	2,774,170
GOLD FIELDS LTD SPONS ADR	1,564,098	477,344
GOLDCORP INC	6,658,364	5,623,928

Name of Stock	End of Year Book Value	End of Year Fair Market Value
GRAND CANYON EDUCATION INC	432,919	1,085,640
GREAT EAGLE HOLDINGS LTD	407,929	423,300
GREAT PLAINS ENERGY INC	2,080,426	2,264,986
GREAT PORTLAND ESTATES PLC	1,542,993	1,798,903
GROUPE BRUXELLES LAMBERT SA	1,249,391	1,491,622
GROUPE GUILLIN		
GROUPE STERIA SCA	418,022	275,287
GRUPO TELEVISA SA SPON ADR	2,307,492	3,585,749
GSW IMMOBILIEN AG		
GUERBET	162,445	158,566
GUOCO GROUP LTD	977,882	1,183,968
H+E EQUIPMENT SERVICES INC		
H2O RETAILING CORP		
HAIER ELECTRONICS GROUP CO		
HAL TRUST	994,219	1,197,803
HALLIBURTON CO	786,552	760,235
HALMA PLC	2,336,585	2,813,812
HAMBURGER HAFEN UND LOGISTIK	1,128,637	925,461
HARMONY GOLD MNG SPON ADR	643,756	138,970
HAVERTY FURNITURE		
HAW PAR CORP LTD 1	1,161,015	2,242,018
HAYS PLC	1,545,020	1,920,940
HCC INSURANCE HOLDINGS INC	1,457,201	1,517,545
HEALTH NET INC	2,917,822	3,070,845
HEALTHSTREAM INC	1,126,736	1,480,385
HEARTLAND EXPRESS INC		
HEELYS INC		
HEIDELBERGCEMENT AG	1,831,391	2,727,802
HELEN OF TROY LTD	644,382	1,198,142
HEXAGON AB B SHS		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
HIBBETT SPORTS INC	1,301,966	1,502,144
HIGH CO	111,020	60,619
HILLSHIRE BRANDS CO/THE	2,908,659	3,042,237
HILONG HOLDING LTD	1,262,260	1,937,816
HIROSE ELECTRIC CO LTD	2,774,381	3,739,834
HISCOX LTD	127,641	165,527
HITTITE MICROWAVE CORP	914,859	1,044,780
HIWIN TECHNOLOGIES CORP	1,684,650	1,763,669
HOLCIM LTD REG	4,772,336	4,931,101
HOME INNS + HOTELS MANAG ADR	1,674,524	1,932,423
HOME PRODUCT CENTER PCL NVDR		
HOME RETAIL GROUP		
HOMESTREET INC		
HOPEWELL HOLDINGS LTD	980,779	1,025,117
HOPFED BANCORP INC	540,000	711,009
HOTEL SHILLA CO LTD	1,908,145	1,835,736
HOYA CORP	1,939,406	2,520,140
HULIC CO LTD		
HUMANA INC	310,729	1,032,200
ICOM INC		
ICU MEDICAL INC		
IDEXX LABORATORIES INC	1,002,765	1,287,077
IG GROUP HOLDINGS PLC	804,964	933,957
IMATION CORP		
IMAX CORP		
IMPERIAL OIL LTD	2,175,006	2,320,306
IMPERVA INC		
INCITEC PIVOT LTD	5,716,978	4,243,697
INDIA FUND INC/THE	9,406	9,320
INDUSTRIAS PENOLES SAB DE CV	644,466	715,579

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INGENICO	1,850,310	2,100,668
INNERWORKINGS INC		
INNOSPEC INC	618,754	2,665,184
INTEGRATED SILICON SOLUTION		
INTEL CORP	2,368,286	3,024,340
INTERPUMP GROUP SPA	435,404	470,908
INTEST CORP	100,467	110,789
INTL BUSINESS MACHINES CORP	1,004,288	980,991
INTL FLAVORS + FRAGRANCES		
INVENTURE FOODS INC	432,948	1,836,682
INVESTOR AB A SHS	1,040,984	1,832,366
INVESTOR AB B SHS	49,166	94,686
IPSOS	1,093,990	1,458,838
ISRAEL CHEMICALS LTD	900,882	751,372
ITALCEMENTI SPA RSP	416,786	356,649
ITALMOBILIARE SPA	495,562	373,164
ITALMOBILIARE SPA RSP	224,316	216,387
ITE GROUP PLC	1,530,810	1,776,186
ITRON INC	1,178,480	1,155,897
ITT CORP		
IZUMI CO LTD	1,543,119	1,786,499
JAPAN WOOL TEXTILE CO LTD	670,992	587,256
JARDINE MATHESON HLDGS LTD	1,066,401	1,292,580
JINPAN INTERNATIONAL LTD	1,542,051	1,222,514
JOHN B. SANFILIPPO + SON INC		
JULIUS BAER GROUP LTD	4,457,499	6,771,692
JUMBO SA	528,997	1,366,219
JUPITER FUND MANAGEMENT	1,495,687	1,870,232
KAKAKU.COM INC		
KANAMOTO CO LTD	2,443,596	2,290,558

Name of Stock	End of Year Book Value	End of Year Fair Market Value
KANSAI PAINT CO LTD	2,709,955	4,013,070
KANSAS CITY LIFE INS CO	1,533,338	1,871,742
KAYDON CORP		
KDDI CORP	1,662,056	3,090,186
KELLOGG CO	2,530,457	2,615,628
KERING	663,644	825,716
KERRY GROUP PLC A	645,147	751,534
KEYENCE CORP	1,867,691	3,604,966
KIMBALL INTERNATIONAL B		
KINROSS GOLD CORP	876,547	759,444
KMG CHEMICALS INC		
KOBAYASHI PHARMACEUTICAL CO	114,201	138,505
KONECRANES OYJ		
KONINKLIJKE PHILIPS NV	1,712,793	2,228,629
KOSE CORP	1,735,045	1,766,843
KRONES AG	542,278	774,228
KT+G CORP	1,519,214	1,768,559
KUEHNE + NAGEL INTL AG REG	3,261,345	3,696,613
LABORATORY CRP OF AMER HLDGS	2,062,453	3,289,320
LAKELAND FINANCIAL CORP		
LANCASHIRE HOLDINGS LTD	370,319	389,533
LAURENT PERRIER GROUP	582,577	600,280
LE GAGA HOLDINGS LTD ADR	293,410	190,399
LECTRA		
LEGRAND SA	277,383	458,276
LEONI AG	788,824	933,704
LI NING CO LTD	693,989	636,230
LIFE TECHNOLOGIES CORP	603,079	655,291
LIFEPOINT HOSPITALS INC		
LINAMAR CORP	2,273,275	2,373,034

Name of Stock	End of Year Book Value	End of Year Fair Market Value
LINDAB INTERNATIONAL AB		
LINDT + SPRUENGLI AG PC		
LITHIA MOTORS INC CL A		
LIVE NATION ENTERTAINMENT IN	1,939,091	2,718,976
LIVHOME INC	64,142	64,142
LOTTE CONFECTIONERY CO LTD	355,468	586,998
LPS BRASIL CONS DE IMOVEIS		
LSB INDUSTRIES INC	938,570	1,230,600
M/I HOMES INC		
M3 INC		
MABUCHI MOTOR CO LTD	951,636	969,150
MAEZAWA KASEI INDUSTRIES CO	70,304	78,681
MAIRE TECNIMONT SPA	1,229,749	1,331,919
MAJESTIC CAPITAL LTD	35	
MANITEX INTERNATIONAL INC	344,066	2,678,797
MANUTAN INTERNATIONAL	91,246	76,376
MARCUS CORPORATION		
MARLIN BUSINESS SERVICES INC		
MARTEN TRANSPORT LTD		
MASTERCARD INC CLASS A	2,711,547	7,001,155
MATADOR RESOURCES CO	588,314	693,632
MATAS A/S	1,397,183	1,576,439
MATRIX SERVICE CO	985,899	2,295,335
MATSUMOTO YUSHI SEIYAKU CO		
MAYR MELNHOF KARTON AG	1,197,959	1,458,298
MCG CAPITAL CORP	184,169	529,144
MCGRATH RENTCORP		
MEADWESTVACO CORP	744,978	793,810
MEASUREMENT SPECIALTIES INC		
MEDIDATA SOLUTIONS INC	399,642	1,214,429

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MEDIFAST INC	385,578	351,449
MEGAWORLD CORP		
MEITEC CORP		
MELROSE INDUSTRIES PLC		
MERIDIAN BIOSCIENCE INC	770,923	996,865
MERIT MEDICAL SYSTEMS INC		
MESA LABORATORIES INC	232,737	345,752
METHODE ELECTRONICS INC		
METLIFE INC		
MFA FINANCIAL INC		
MICHELIN (CGDE)	1,038,857	1,437,029
MIDSOUTH BANCORP INC		
MILLICOM INTL CELLULAR SDR	586,107	1,301,424
MILLS ESTRUTURAS E SERVICOS		
MINEBEA CO LTD	1,657,081	1,836,440
MIRACA HOLDINGS INC		
MISUMI GROUP INC	532,785	861,586
MITSUBISHI ESTATE CO LTD	1,056,380	1,826,168
MKS INSTRUMENTS INC	2,590,783	3,054,928
MOBILE MINI INC	1,079,151	2,182,540
MONCLER SPA	2,152,543	2,516,318
MONEYSUPERMARKET.COM		
MONITISE PLC	1,146,079	1,778,755
MONOTARO CO LTD		
MORNINGSTAR INC	628,903	855,086
MOSAIC CO/THE	3,334,752	3,524,924
MOTHERCARE PLC	1,495,609	1,642,118
MS+AD INSURANCE GROUP HOLDIN	2,460,784	2,542,633
MTU AERO ENGINES AG	1,245,122	2,006,785
MULTI COLOR CORP		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MURPHY OIL CORP	263,803	373,384
MYR GROUP INC/DELAWARE		
NABTESCO CORP		
NAGAILEBEN CO LTD	275,996	413,457
NATIONAL FUEL GAS CO	274,954	367,353
NATIONAL OILWELL VARCO INC	3,742,118	4,238,949
NATIONAL RESEARCH CORP		
NATIONAL RESEARCH CORP A	616,746	839,843
NATIONAL RESEARCH CORP CL B	117,080	258,138
NATL WESTERN LIFE INS CL A	1,632,257	2,034,529
NATURES SUNSHINE PRODS INC	1,194,978	2,729,528
NATUZZI SPA SP ADR		
NEENAH PAPER INC		
NEMETSCHEK AG		
NEOGEN CORP	845,611	1,499,508
NEOPOST SA	907,013	878,453
NESTLE SA REG	4,128,816	5,315,927
NEWCREST MINING LTD	3,042,954	981,989
NEWELL RUBBERMAID INC		
NEWMONT MINING CORP	1,042,776	439,873
NEXT CO LTD	1,254,967	1,266,592
NIC INC	550,318	1,165,160
NINE DRAGONS PAPER HOLDINGS	1,275,664	1,237,011
NIPPON SHINYAKU CO LTD	1,343,009	1,364,635
NISSIN FOODS HOLDINGS CO LTD	1,169,029	1,361,085
NITTO KOHKI CO LTD	248,446	201,399
NKSJ HOLDINGS INC	2,172,869	2,484,308
NKT HOLDING A/S	1,542,633	1,844,103
NOMURA RESEARCH INSTITUTE LT	647,046	992,245
NONGSHIM CO LTD	725,298	646,913

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NORBERT DENTRESSANGLE	488,205	1,030,706
NORDSTROM INC	348,770	378,216
NORFOLK SOUTHERN CORP	336,751	400,097
NORMA GROUP SE	696,454	1,045,134
NORTHERN TRUST CORP	3,271,485	3,978,599
NORTHRIM BANCORP INC		
NOVOZYMES A/S B SHARES	313,113	469,291
NTT DOCOMO INC		
NUMERICABLE GROUP	455,731	451,086
NUTRACEUTICAL INTL CORP	153,304	294,660
NUTRECO NV	935,788	1,424,565
NUTRECO NV		
NV5 HOLDING INC	1,425,612	1,910,320
NVE CORP	456,786	511,407
OBARA GROUP INC	1,703,811	1,769,629
OCADO GROUP PLC	1,196,301	1,757,575
OMNICELL INC	1,187,598	1,395,215
OMRON CORP		
OMV AG	684,149	860,790
ONO PHARMACEUTICAL CO LTD	734,585	1,314,400
OPEN TEXT CORP		
OPERA SOFTWARE ASA	2,251,656	3,224,493
ORACLE CORP	5,470,430	8,264,160
ORANGE	648,945	687,046
ORKLA ASA	972,067	1,061,454
OSG CORP	1,727,031	1,716,246
OVERHILL FARMS INC		
OWENS ILLINOIS INC	2,134,188	3,483,541
P.A.M. TRANSPORTATION SVCS		
PARGESA HOLDING SA BR	2,012,244	1,969,964

Name of Stock	End of Year Book Value	End of Year Fair Market Value
PARK STERLING CORP		
PARKWAY PROPERTIES INC		
PATTERSON COS INC	1,015,250	1,148,038
PENN WEST PETROLEUM LTD	1,733,784	908,147
PEP BOYS MANNY MOE + JACK		
PERICOM SEMICONDUCTOR CORP		
PETROLEUM GEO SERVICES		
PFIZER INC W/D	415,764	673,278
PHOTRONICS INC		
PIAGGIO + C. S.P.A.	2,210,155	2,390,184
PIERRE + VACANCES		
PIRELLI + C.	992,227	1,565,956
PLASTIC OMNIUM	1,613,003	1,669,783
PORTLAND GENERAL ELECTRIC CO	1,097,606	1,138,389
POSTNL NV		
POTASH CORP OF SASKATCHEWAN	2,014,834	1,722,654
POWELL INDUSTRIES INC		
PRECISION CASTPARTS CORP	998,888	1,463,646
PRGX GLOBAL INC	301,020	344,400
PRIMARIS RETAIL REAL ESTATE		
PROASSURANCE CORP	1,104,009	1,166,671
PROOFPOINT INC	173,670	189,766
PROS HOLDINGS INC	709,156	1,516,200
PROTO LABS INC	531,309	1,142,439
PRUDENTIAL PLC	1,165,223	1,404,863
PUREGOLD PRICE CLUB INC		
QIHOO 360 TECHNOLOGY CO ADR		
QUAKER CHEMICAL CORP		
QUALICORP SA		
RALLYE SA	424,753	419,930

Name of Stock	End of Year Book Value	End of Year Fair Market Value
RANK GROUP PLC	2	2
RAVEN INDUSTRIES INC	1,604,353	2,117,682
RAYONIER INC	374,631	354,482
RECKITT BENCKISER GROUP PLC	594,830	801,778
RECORDATI SPA	119,513	259,440
RED ELECTRICA CORPORACION SA	1,163,990	1,558,087
RENISHAW PLC	961,521	1,215,758
RENT A CENTER INC	1,761,987	2,946,089
REPUBLIC AIRWAYS HOLDINGS IN		
REPUBLIC SERVICES INC	348,377	353,082
RHEINMETALL AG	3,524,601	3,998,081
RIETER HOLDING AG REG	232,613	373,259
RIGHTMOVE PLC	1,497,899	2,018,194
RITCHIE BROS AUCTIONEERS		
ROADRUNNER TRANSPORTATION SY		
ROBERTET SA	194,855	670,180
ROBINSONS LAND CO		
ROCKWELL COLLINS INC	913,647	1,137,629
ROCKWOOL INTL A/S B SHS		
ROHM CO LTD		
ROLLINS INC	807,328	1,135,875
ROTORK PLC		
RPC GROUP PLC		
RUBY TUESDAY INC		
RUSH ENTERPRISES INC CL A		
RYOHIN KEIKAKU CO LTD		
SAFILO GROUP SPA	1,480,274	2,527,573
SAFRAN SA	524,284	1,482,485
SAIPEM SPA	607,606	707,550
SAMSONITE INTERNATIONAL SA	1,516,610	1,632,665

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SAMSUNG ELECTRONICS PFD		
SANDY SPRING BANCORP INC		
SANMINA CORP		
SANOFI	1,598,242	2,164,775
SANSEI YUSOKI CO LTD		
SARTORIUS AG VORZUG	277,917	1,311,422
SATS LTD	344,683	501,666
SCHIBSTED ASA		
SCHNEIDER ELECTRIC SA		
SCHWAB (CHARLES) CORP		
SCIQUEST INC	968,933	1,528,949
SCOR SE	657,414	988,341
SCORPIO TANKERS INC		
SCOTTS MIRACLE GRO CO CL A		
SEACUBE CONTAINER LEASING LT		
SEB SA	2,207,745	2,738,571
SECOM CO LTD	2,229,521	3,082,384
SECOM JOSHINETSU CO LTD	403,512	410,022
SECURE ENERGY SERVICES INC	1,344,382	1,954,576
SECURITY BANK CORP		
SEIKAGAKU CORP	277,773	313,226
SEIKO HOLDINGS CORP	883,437	832,777
SEINO HOLDINGS CO LTD	2,126,309	1,972,922
SERCO GROUP PLC		
SEVEN BANK LTD		
SGOCO GROUP LTD		
SHIMANO INC	1,238,726	2,329,131
SHIN ETSU CHEMICAL CO LTD	285,096	354,596
SHINER INTERNATIONAL INC	254,507	79,651
SHINGAKUKAI CO LTD		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
SHINMAYWA INDUSTRIES LTD	1,742,870	1,928,414
SHIP HEALTHCARE HOLDINGS INC		
SHOE CARNIVAL INC		
SIBANYE GOLD SPON ADR	52,620	32,915
SIMCORP A/S	1,740,109	1,946,190
SIMCORP A/S		
SIMPSON MANUFACTURING CO INC	1,221,522	1,456,345
SINO BIOPHARMACEUTICAL	868,307	862,320
SK KAKEN CO LTD	291,343	580,296
SMC CORP	2,037,709	3,549,974
SMILES SA	1,744,163	1,699,382
SMITHS GROUP PLC	1,409,816	1,745,289
SNAP ON INC		
SOCIETE BIC SA	489,238	650,417
SODEXO	1,472,438	2,808,142
SOFINA	164,623	193,823
SONDA SA		
SORIN SPA	975,952	1,201,447
SOUFUN HOLDINGS LTD ADR	1,301,272	1,765,799
SOURCEFIRE INC		
SOUTHERN CO/THE	349,442	352,929
SOUTHERN NATL BANCORP OF VA		
SOUTHWEST AIRLINES CO	233,981	382,829
SOUTHWESTERN ENERGY CO	2,050,096	2,251,053
SPDR GOLD SHARES	7,436,041	7,162,461
SPECTRIS PLC		
SPIRAX SARCO ENGINEERING PLC		
SPS COMMERCE INC	832,025	941,953
SQUARE ENIX HOLDINGS CO LTD		
STAMPS.COM INC	804,226	1,228,268

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STANTEC INC	868,964	1,064,112
STATE STREET CORP		
STEALTHGAS INC	916,449	1,434,752
STERIS CORP		
STERLING BANCORP N Y		
STRATASYS LTD	730,100	1,347,000
STRATEC BIOMEDICAL AG	1,238,515	1,146,282
STROEER MEDIA AG	1,803,404	1,604,403
STRYKER CORP	1,098,234	1,529,475
SUGI HOLDINGS CO LTD		
SUMITOMO REAL ESTATE SALES	644,239	617,010
SUN FRONTIER FUDOUSAN CO LTD	2,098,788	2,351,773
SUN HYDRAULICS CORP	1,334,003	2,104,787
SURMODICS INC		
SUTRON CORP	174,580	210,105
SWATCH GROUP AG/THE BR	616,525	894,839
SYMRISE AG	729,627	1,200,194
SYSCO CORP	4,704,586	5,143,348
T HASEGAWA CO LTD	331,827	314,326
T ROWE PRICE GROUP INC	348,444	406,285
TADANO LTD	2,423,575	2,389,789
TAIWAN SECOM	113,631	155,606
TAKKT AG	1,708,167	2,009,588
TE CONNECTIVITY LTD	3,419,958	5,626,731
TEAM INC		
TECAN GROUP AG REG	946,886	1,459,099
TECHNE CORP	472,858	655,590
TECHTRONIC INDUSTRIES CO	897,648	946,252
TECUMSEH PRODUCTS CO CLASS A		
TELECITY GROUP PLC		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TELEPERFORMANCE	1,952,877	2,082,130
TELEVISION FRANCAISE (T.F.1)	725,711	880,736
TENET HEALTHCARE CORP	2,792,180	4,367,844
TERRENO REALTY CORP		
TERRITORIAL BANCORP INC		
TESCO CORP		
TETRA TECH INC	969,853	1,106,609
TEXAS INSTRUMENTS INC		
THAI BEVERAGE PCL	517,244	1,102,322
THERMON GROUP HOLDINGS INC		
THYSSENKRUPP AG	810,724	904,347
TITAN MACHINERY INC	974,476	843,777
TJX COMPANIES INC	999,477	1,497,655
TMS INTERNATIONAL CORP A		
TNT EXPRESS NV	889,901	857,936
TOD S SPA	1,308,760	1,723,016
TOKAI TOKYO FINANCIAL HOLDIN	2,344,997	2,866,037
TOKYO TATEMONO CO LTD	2,322,428	2,849,462
TOTAL SA	2,390,106	2,992,286
TOWNE BANK	1,638,876	1,716,247
TOYOTA MOTOR CORP	2,922,735	3,243,442
TRAVIS PERKINS PLC	1,419,316	1,863,399
TSURUHA HOLDINGS INC		
TUESDAY MORNING CORP		
TUPPERWARE BRANDS CORP	260,405	1,195,805
TYLER TECHNOLOGIES INC	716,280	1,830,680
U.S. PHYSICAL THERAPY INC		
ULTRA PETROLEUM CORP		
UNIBET GROUP PLC SDR	1,636,877	1,693,957
UNIFI INC		

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UNION PACIFIC CORP	2,405,903	4,334,400
UNITE GROUP PLC		
UNITIL CORP	533,072	818,657
UNIVERSAL HEALTH SERVICES B		
UNIVERSAL POWER GROUP INC	55,862	24,038
UNIVERSAL ROBINA CORP		
UNIVERSAL STAINLESS + ALLOY		
USA TRUCK INC		
VALEANT PHARMACEUTICALS INTE	4,090,229	5,682,160
VALIDUS HOLDINGS LTD		
VANGUARD DEVELOPED MARKETS IND	65,351,902	69,525,926
VANGUARD TOTAL STOCK MARKET IN	103,573,918	113,770,322
VICAT	633,292	672,660
VICTREX PLC		
VIEL ET COMPAGNIE	350,806	176,722
VILMORIN CLAUSE + CIE	1,191,907	1,727,783
VIPSHOP HOLDINGS LTD ADS	1,277,074	1,252,690
VIRTUSA CORP		
VISCOFAN SA		
VOCERA COMMUNICATIONS INC	115,923	106,257
VOLT INFO SCIENCES INC	109,488	107,000
WACOM CO LTD		
WASHINGTON BANKING CO		
WENDEL	335,602	767,635
WESTAR ENERGY INC	514,474	549,142
WESTWOOD HOLDINGS GROUP INC	826,945	1,397,618
WGL HOLDINGS INC	371,264	383,775
WIENERBERGER AG	1,110,134	1,252,683
WILSHIRE BANCORP INC	1,047,516	1,625,816
WINCOR NIXDORF AG	1,185,032	1,473,031

Name of Stock	End of Year Book Value	End of Year Fair Market Value
WINDSTREAM CORP		
WIRECARD AG	1,340,140	1,890,511
WM MORRISON SUPERMARKETS	1,654,438	1,594,010
WOODWARD INC	368,145	409,350
WORKSPACE GROUP PLC	1,520,073	2,014,637
WORLD FUEL SERVICES CORP	999,913	1,097,645
WPCS INTERNATIONAL INC		
XILINX INC		
XYLEM INC	2,229,693	3,029,092
YAMAHA MOTOR CO LTD	2,576,896	3,084,831
YASKAWA ELECTRIC CORP	1,287,490	1,424,005
YAZICILAR HOLDING AS A	342,831	452,531
YELP INC		
YOMEISHU SEIZO CO LTD		
YONKYU CO LTD	69,876	157,036
YOOX SPA	1,023,560	1,429,526
ZENKOKU HOSHO CO LTD	2,248,400	2,432,370
ZHONGPIN INC		
SEE ATTACHMENT F	4,327,034	4,997,205

TY 2013 Investments Government Obligations Schedule

Name: EWING MARION KAUFFMAN FOUNDATION

EIN: 43-6064859

**US Government Securities - End of
Year Book Value:**

53,793,281

**US Government Securities - End of
Year Fair Market Value:**

53,793,281

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Investments - Land Schedule**Name:** EWING MARION KAUFFMAN FOUNDATION**EIN:** 43-6064859

TY 2013 Investments - Other Schedule

Name: EWING MARION KAUFFMAN FOUNDATION
 EIN: 43-6064859

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ABINGWORTH BIOVENTURES II	AT COST	8,462	172,110
ABINGWORTH BIOVENTURES III	AT COST	1,799,448	487,841
ABINGWORTH IIA	AT COST	120,657	184
ACCENT EQUITY 2012 L.P.	AT COST	681,165	765,422
ACCENT EQUITY PARTNERS	AT COST	1,142,498	3,330,169
ADM MACULUS	AT COST	3,863,337	4,491,764
ADM MACULUS FUND III LP	AT COST	4,486,162	2,256,959
ADM MACULUS FUND V LP	AT COST	8,521,365	6,923,510
ADVENT GLOBAL PE IV	AT COST	1	586,316
ADVENT GLOBAL PRIVATE EQUITY	AT COST	1	94,379
APOLLO INVESTMENT FUND IV	AT COST	1	256,851
APOLLO INVESTMENTS FD III LP	AT COST	1	987,292
ARCH III	AT COST	4,107,367	95,188
ARCH VENTURE FUND IV LP	AT COST	6,558,890	399,987
ARCH VENTURE FUND VI, LP	AT COST	4,837,248	7,855,506
AVENUE ASIA SPECIAL SITUATIONS	AT COST	4,324,151	7,375,734
AVENUE SPECIAL SITUATIONS FUND	AT COST	1	158,890
BENCHMARK CAPITAL PARTNERS IV	AT COST	3,122,886	1,898,300
BENCHMARK CAPITAL PARTNERS V	AT COST	3,996,691	5,867,370
BENCHMARK CAPITAL PARTNERS VI	AT COST	3,521,872	18,438,029
BENCHMARK CAPITAL PARTNERS VII	AT COST	4,375,000	8,315,008
BESSEMER VENTURE PARTNERS VII	AT COST	34,532,010	42,532,676
BESSEMER VENTURE PTR VIII INST	AT COST	24,240,000	25,619,599
BLACK BEAR FUND	AT COST	1	589,938
BLACKTHORN PARTNERS, LP	AT COST	22,000,000	24,333,334
BRENNER WEST CAP QUAL PART LP	AT COST	25,000,000	33,489,565
CAHILL WARNOCK STRAT PRTNERS	AT COST		
CANYON AGASSI CH SCH FAC FD LP	AT COST	6,731,256	6,204,707
CAPITAL INTERNATIONAL	AT COST	1	3,373,147
CASTLE HARLAN PARTNERS III	AT COST		

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CASTLE HARLAN PARTNERS IV	AT COST	1,794,890	1,077,575
CFB	AT COST	1	
COURT SQUARE VENTURES II LP	AT COST	12,868,416	8,162,421
CROSSLINK PARTNERS LP	AT COST	35,000,000	39,140,415
CS CAPITAL PARTNERS II LP	AT COST	1,354,261	4,658,920
CS CAPITAL PARTNERS III	AT COST	11,846,845	16,936,912
DBAG EXPANSION CAPITAL INTER	AT COST	887,560	830,960
DBAG FUND VI (GUERNSEY)	AT COST	2,548,505	2,576,369
DBAG IV	AT COST	11,488	3,871,955
DBAG V	AT COST	21,916,624	20,062,166
ENCAP ENERGY CAP FUND VI	AT COST	11,213,637	6,171,225
ENCAP ENERGY FUND V	AT COST	3,212,949	1,041,353
ENCAP IV	AT COST		
ENERVEST ENERGY	AT COST	10,472,957	6,714,359
EUROPEAN SEC DEV FUND III	AT COST	2,740,765	2,700,835
EUROPEAN SEC DIV IV	AT COST	5,554,115	8,357,566
FIR TREE INTL VALUE FUND	AT COST	70,289	33,890
FLYWHEEL I LP	AT COST	4,232,265	2,745,190
FORUM ASIAN REALTY INCOME II	AT COST	6,728,850	6,905,251
FRANCE PRIVATE EQUITY III FRML	AT COST	7,870,520	3,668,662
GENERAL ATLANTIC PARTNERS	AT COST	81,625,915	108,278,295
GKB	AT COST		
GOLDMAN SACHS LIQUIDITY PRTNRS	AT COST	11,411,497	
GOLDMAN SACHS VINTAGE FD III	AT COST	1,256,091	2,246,455
GOLDMAN SACHS VINTAGE FUND IV	AT COST	5,388,199	6,461,638
GREAT POINT DEVELOPERS	AT COST		51,674
HARBERT PRIVATE EQUITY FD II	AT COST	6,354,403	2,738,817
HAWKEYE CAPITAL INSTITUTIONAL	AT COST	44,000,000	69,570,754
HFIC II OFFSHORE, LTD	AT COST		
HIGHBRIDGE ASIA OPPORTUNITY	AT COST		

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
HIGHFIELDS CAPITAL LTD	AT COST	10,000,001	69,810,010
INTERSOUTH PARTNERS III	AT COST		11,544
INTERSOUTH PARTNERS IV	AT COST	4,198,363	745,475
INTERSOUTH PARTNERS V LP	AT COST	2,187,714	561,768
INTERSOUTH PARTNERS VI	AT COST	4,309,837	3,318,428
INTERSOUTH PARTNERS VII	AT COST	4,935,000	4,467,104
JH WHITNEY III	AT COST		
JH WHITNEY IV	AT COST	4,981,738	241,514
JH WHITNEY VI	AT COST	7,583,205	8,844,542
JP MORGAN INCUBATOR(CAYMAN) FD	AT COST	1	25,576
LINDEN CAPITAL PARTNERS	AT COST	836,723	4,966,766
LINDEN CAPITAL PARTNERS II	AT COST	4,843,829	3,519,071
LOMBARD ODIER EURO CHOICE II	AT COST	2,352,132	3,377,187
MADRONA VENTURE 4	AT COST	14,040,000	10,744,689
MADRONA VENTURE FUND V	AT COST	5,250,000	5,410,373
MADRONA VENTURE III	AT COST	12,007,529	24,014,321
MATLIN PATTERSON GLOBAL	AT COST	1	4,795
MATLIN PATTERSON GLOBAL III	AT COST	16,523,028	14,555,490
MATRIX PARTNERS VII LP	AT COST	2,099,374	1,223,396
MAYFIELD XI	AT COST	222,612	174,436
MEADOWBROOK	AT COST		306,961
MERIT ENERGY FUND E II	AT COST	1,716,966	3,851,439
MERIT ENERGY PARTNERS F II	AT COST	11,214,155	8,757,782
MERITECH CAPITAL PARTNERS	AT COST	104,557	
MPM BIOVENTURES III LP	AT COST	3,485,528	1,466,712
MPM BIOVENTURES IV QP	AT COST	10,137,428	11,195,638
OAK INVESTMENT PARTNERS IX	AT COST		
OAK INVESTMENT PARTNERS VII	AT COST	857,283	89,504
OAK INVESTMENT PARTNERS X	AT COST		
OAK INVESTMENT PARTNERS XI	AT COST		

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
OAK INVESTMENT PARTNERS XII	AT COST		
OAK VIII (PROJECT 2626)	AT COST	4,784,530	119,383
OCEANWOOD GLOBAL OPP FUND	AT COST	48,000,000	61,362,305
OCM OPPORTUNITIES FUND II	AT COST	1	14,534
OCM OPPORTUNITIES FUND III LP	AT COST	1	196,550
OCM OPPORTUNITIES FUND IV LP	AT COST	1	59,083
OCM OPPORTUNITIES FUND LP	AT COST		
OCM/GFI OPPORTNITIES FUND II	AT COST	1,345,956	788,283
PACVEN WALDEN VENTURES IV	AT COST	4,637,866	684,162
PAUL ROYALTY FUND II, LP	AT COST	2,122,470	418,821
PROLOG CAPITAL II LP	AT COST	3,927,090	1,264,168
PROTEGE QP FUND LTD	AT COST	20,000,000	37,015,770
RIVERSIDE FUND V	AT COST	3,569,261	3,604,234
RIVERSIDE PARTNERS	AT COST	7,831,876	5,408,568
RIVERSIDE PARTNERS IV	AT COST	2,621,631	3,810,725
SEED STAGE DIRECT INVESTMENT	AT COST	3,060,470	3,068,750
SIERRA VENTURES VII	AT COST	2,949,471	341,928
SQUARE MILE LODGING OPP PART	AT COST	11,693,338	13,792,992
SQUARE MILE LODGING OPPORTNTY	AT COST		
SQUARE MILE PARTNERS III	AT COST	14,208,631	15,938,816
TECH INVESTMENTS II LLC	AT COST	2,785,360	1,345,605
USPF FEEDER	AT COST	18,853,437	16,611,814
USPF III	AT COST	15,765,210	15,143,396
USPF IV	AT COST	10,211,287	8,650,016
USVP IV	AT COST	963,139	70,444
USVP VI	AT COST	3,873,925	55,001
VENROCK ASSOC II L.P.	AT COST	17,717,468	3,085,690
VENROCK ASSOCIATES III LP	AT COST	3,060,270	1,380,725
WESTON PRESIDIO CAPITAL III LP	AT COST	603,529	37,883
SEE ATTACHMENT H	AT COST	43,573,410	19,575,542

TY 2013 Land, Etc. Schedule**Name:** EWING MARION KAUFFMAN FOUNDATION**EIN:** 43-6064859

TY 2013 Legal Fees Schedule**Name:** EWING MARION KAUFFMAN FOUNDATION**EIN:** 43-6064859

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CT CORPORATION SYSTEM	394			394
GUNDERSON DETTMER STOUGH LLP	8,314	8,314		
HUGHES HUBBARD & REED LLP	86,335			86,335
HUSCH BLACKWELL SANDERS LLP	88,850			88,850
K & L GATES LLP	5,822			5,822
LIFE SCIENCE LEGAL LLC	9,563			9,563
PATTERSON BELKNAP WEBB & TYLER	54,605	15,951		38,655
SEYFERTH BLUMENTHAL & HARRIS L	13,486			13,486
SIDLEY AUSTIN LLP	880	880		
STINSON, MORRISON & HECKER, LL	60,346			60,346
WILSON PETERS LAW FIRM, LLC	15,033			15,033

TY 2013 Other Assets Schedule**Name:** EWING MARION KAUFFMAN FOUNDATION**EIN:** 43-6064859

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PROGRAM-RELATED INV TO COMMUN			
BUILDERS OF KANSAS CITY	2,565,500	2,565,500	2,565,500
CHARTER SCH DEVELOPMENT CORP	5,000,000	5,000,000	5,000,000
UNION STATION KANSAS CITY, INC	2,900,000	2,470,446	2,470,446

TY 2013 Other Expenses Schedule

Name: EWING MARION KAUFFMAN FOUNDATION

EIN: 43-6064859

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TEMPORARY LABOR SERVICES	37,531			37,531
PROFESSIONAL DEVELOPMENT	37,595			37,595
DUES, SUBSCRIPTIONS AND RESOUR	498,542	398,729		99,813
SUPPLIES	120,174	43		120,131
POSTAGE AND DELIVERIES	63,483			63,483
COMMUNICATIONS-RELATED EXPENSE	239,487			239,487
HARDWARE, SOFTWARE AND WEBSITE	837,412	100		837,312
RISK MANAGEMENT	176,541			176,541
OTHER MISCELLANEOUS EXPENSES	375,107	10,187	19,951	174,851
EQUIPMENT/FURNITURE REPAIRS AN	412,046			412,046
BOND PAYOFF	65,810,000			
PORTFOLIO AND OTHER DEDUCTIONS		9,659,728		
CHARITABLE CONTRIBUTIONS PER P				1,977

TY 2013 Other Income Schedule

Name: EWING MARION KAUFFMAN FOUNDATION
 EIN: 43-6064859

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
Return of funds granted in prior years			
ACT, Inc - #20091224	162,296		162,296
Arizona State University - #20085726	7		7
Associated Youth Services - #20010099	1,021		1,021
Brandeis University - #20130113	4,913		4,913
Duke University - #20070782	50,118		50,118
Duke University - #20120299	16		16
George Mason University - #20100421	40,000		40,000
George Washington University - #20100931	1,000		1,000
George Washington University - #20110925	4,155		4,155
George Washington University - #20120281	781		781
Greater KC Community Foundation #20120892	2,025		2,025
Kauffman Innovation Network -#20080275	4,059		4,059
Northwestern University - #20110214	2,131		2,131
Northwestern University - #20110491	10,260		10,260
Pian Shu - #20111041	4,928		4,928
Stanford University - #201000465	77,423		77,423
Temple University - #20100886	131		131
Univ of California, Berkley - #20085155	28,331		28,331
University of Chicago - #20090838	8,002		8,002
University of Kansas - #20085529	2,500		2,500
University of Missouri - #20050176	59,014		59,014
University of Missouri - #20120152	554		554
University of Missouri #20070887	66,737		66,737
Univ of Missouri, Columbia - #20100939	14,545		14,545
University of Pennsylvania - 20090759	191		191
University of Utah - #20120177	377		377
University of Washington - #20130633	10,000		10,000
WEB DuBois Learning Center - #20130633	9,500		9,500
Miscellaneous Other Income			
Global Scholars Program	117,500		117,500
iStart Program	48,975		48,975
Kauffman Support Services Realized Gain from Liqui	21		21
Purchasing Card Revenue Shares	20,818	20,818	
Startup America Partnership	550,000		550,000
RECF Promissory Note Interest Payments	54,280		54,280
Currency Gain	6,194,569	6,194,569	
Interest Income from program-related investment in	58,995		58,995
Refund of Investment Management Fees	196	196	
Royalty/Honorarium Income	1,709		1,709
State Tax Refunds	91,533	91,533	
Other income / (loss) from partnerships	10,763,726	1,298,276	
PFIC INCOME	0	45,833	

TY 2013 Other Liabilities Schedule

Name: EWING MARION KAUFFMAN FOUNDATION

EIN: 43-6064859

Description	Beginning of Year - Book Value	End of Year - Book Value
PAYROLL, MISC PAYABLES	161,321	37,721

TY 2013 Other Professional Fees Schedule

Name: EWING MARION KAUFFMAN FOUNDATION

EIN: 43-6064859

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DRIEHAUS CAPITAL MANAGEMENT LL	3,075,250	3,075,250		
GENERAL ATLANTIC PARTNERS	2,232,918	2,232,918		
BRETTON WOODS GROUP INC	1,425,250	123,397		1,301,854
FIRST EAGLE INVESTMENT MANAGEM	1,181,403	1,181,403		
MATHEMATICA POLICY RESEARCH	848,220			848,220
FIRST WILSHIRE SECURITIES MANA	771,103	771,103		
STATE STREET BANK & TRUST	768,406	768,406		
HARRIS ASSOCIATES L P	659,603	659,603		
THE ADCOM GROUP, INC	623,293			623,293
NUANCE INVESTMENTS, LLC	620,802	620,802		
DBAG FUND VI	580,995	580,995		
FDE AGENOR	506,251	506,251		
DONALD SMITH & CO	497,138	497,138		
RIVERSIDE FUND V	483,108	483,108		
DBAG FUND V	473,830	473,830		
VELIR STUDIOS, INC	473,711			473,711
CASSIDY TURLEY MIDWEST, INC	393,099			393,099
LORD ABBETT & CO	375,041	375,041		
CONESTOGA CAPITAL ADVISORS	368,778	368,778		
WIDE AWAKE FILMS, LLC	333,705			333,705
FDE AGRESSOR	266,853	266,853		
ROBB, ALICIA	265,981			265,981
ADM MACULUS FUND V	242,416	242,416		
GOLDIN SOLUTIONS, INC	201,761			201,761
THE AUGUST JACKSON COMPANY	190,470		190,470	
DBAG EXPANSION CAPITAL INTERNA	172,981	172,981		
ALEXANDER & JULIAN, INC	144,893			144,893
ACCENT EQUITY 2012 LP	143,716	143,716		
PROTIVITI, INC	138,026			138,026
ENCAP ENERGY CAPITAL FUND V	137,165	137,165		
KEDROSKY, PAUL	135,579			135,579
MATLIN PATTERSON GLOBAL OPPS	132,647	132,647		
BUTLER CAPITAL PARTNERS	126,165	126,165		
BROUGHTON, PHILIP DELVES	123,685			123,685
MOGDESIGN, S R O	121,664			121,664
EFL ASSOCIATES, INC	115,500			115,500
REMEDYCITY LLC	114,967			114,967
PROSYS, INC	114,910			114,910
YOODLE LLC	108,743			108,743
KANDER LEGAL MANAGMENT LLC	102,972			102,972
CREATIVE SCIENCE LLC	97,410		97,410	
DYNAMATRIX, LLC	94,967			94,967
MULCAHY, DIANE	94,702	94,702		
FULFILLMENT PLUS, INC	92,941			92,941
ADM MACULUS FUND II	90,492	90,492		
ENERVEST ENERGY	90,233	90,233		
TECH INVESTMENTS II	76,967	76,967		
WILBANKS, JOHN	73,700			73,700
RUANE, CUNNIFF & GOLDFARB INC	71,881	71,881		
TROZZOLO CREATIVE RESOURCES	69,586			69,586
NATIONAL OPINION RESEARCH CENT	65,000			65,000
GRILL, JASON	60,000			60,000
RPX GROUP, LLC	58,531			58,531
GLOBAL PRAIRIE MARKETING, L	55,198			55,198
TAYLOR, TRACY	54,975		54,975	
VIRANTE, INC	53,421			53,421
RAREWIRE, LLC	52,750			52,750
LINDEN CAPITAL PARTNERS II	52,252	52,252		
LINDSEY, WILIAM BRINKLEY	51,430			51,430
LOCKETT, KEVIN	50,000			50,000
JONATHAN ORTMANS, LLC	49,548			49,548
FARHAT, JOSEPH B	45,845			45,845
GOLDMAN SACHS VINTAGE FUND IV	42,335	42,335		
TRYON & HEIDEMAN LLC	41,392			41,392
PAUL ROYALTY FUND II	41,356	41,356		
FORMAN, MINDEE	40,861			40,861
MPS GROUP, INC	40,400			40,400
THE HIGH BAR LLC	40,000			40,000
CBIZ BENEFITS & INSURANCE S	37,500			37,500
SOCIAL SCIENCE ELECTRONIC PUBL	37,000			37,000
DELOITTE CONSULTING LLP	34,840			34,840
LAMMERS CONSULTING GROUP, INC	34,740			34,740
321 FAST DRAW, INC	34,450			34,450
MIRIANI, ANDREW B	33,660			33,660
ADM MACULUS FUND III	32,897	32,897		
WEITEKAMP COMMUNICATIONS	32,288			32,288
BARKLEY, INC	31,004			31,004
DIGITAL EVOLUTION GROUP, LL	30,500			30,500
FAIRLIE, ROBERT W	30,000			30,000
THE ULTIMATE SOFTWARE GROUP	28,961			28,961
REEDY, ERIK J	28,620			28,620
MDL TECHNOLOGY, LLC	28,361			28,361
SCHOOLWORKS, LLC	27,855			27,855
GOLDMAN SACHS VINTAGE FUND III	27,454	27,454		
AMERICAN FOOD & VENDING CORP	26,144			26,144
CLAREMONT CONSULTING, INC	25,369			25,369
GARTNER, INC	25,200			25,200
GAUDIANI, CLAIRE	25,000			25,000
KIMMIS, DAVID G	24,867			24,867
J P MORGAN ASSET MANAGEMENT	22,667	22,667		
CAPITAL INTERNATIONAL	21,957	21,957		
JH WHITNEY VI	21,629	21,629		
WINDSTREAM CORPORATION	21,507			21,507
DILIGENT BOARD MEMBER SERVICES	21,364			21,364
CREMALAB, LLC	21,110			21,110
KEETON, LEIGH A	20,500			20,500
ACCENT EQUITY 2003 LP	20,449	20,449		
PROLOG CAPITAL II	20,275	20,275		
KOVAC, BRITTAIN	20,000			20,000
CLIFFORD, DAVID	19,484			19,484
CBIZ MHM LLC	19,200			19,200
FREILICH, ALYSE L	19,199			19,199
ACT, INC	18,522			18,522
DBAG FUND IV	36,028	36,028		
SOCIAL MARKET LLC	16,000		16,000	
AMPERSAND, INC	15,900			15,900
SPIGIT, INC	15,000		15,000	
UNIVERSITY OF WASHINGTON	15,000			15,000
TRAVEL AND TRANSPORT, INC	14,350			14,350
MAYER, HEIKE	13,750			13,750
DESROSIERS, DAVID M	13,547			13,547
PARRIS COMMUNICATIONS, INC	13,344			13,344
THE MIND TRUST, INC	13,263			13,263
SOCIUS1, LLC	12,403			12,403
ZAMARY, CRAIG	12,000			12,000
DANLEY, BRIAN BENJAMIN	11,600			11,600
MEADOWBROOK	10,730	10,730		
DAWN R DETIENNE	10,000			10,000
CREWS CONTROL	9,588			9,588
LIGHTBAR PRODUCTIONS, INC	9,409			9,409
CHIEF OF STAFF KANSAS CITY	9,000			9,000
HARVEST PRODUCTIONS, INC	8,550			8,550
JANE E PILES, INC	8,400			8,400
JIN, YUAN	8,000			8,000
SCOTT, AISLING M	7,850			7,850
(N) GENIUS MEDIA INC	7,663			7,663
FABRICATORZ LLC	7,500			7,500
BAGBY, JILL M	7,459			7,459
BUSCO, INC	7,114			7,114
PARKER, SUSAN E	6,635			6,635
MARKETWIRE, INC	6,600		6,600	
PODGURSKY, MICHAEL	6,000			6,000
THE FORM GROUP, INC	6,000			6,000
MCDERMOTT, PETER D	5,894			5,894
GOLD, NATHAN	5,364			5,364
WORTMANN, CRAIG	5,201			5,201
ERIN HEIDE'S PERSONAL FITNESS	5,000			5,000
MERCER (US) INC	5,000			5,000
PATTERSON, REBECCA D	5,000			5,000
QUIRICONI, MARGO	5,000			5,000
ALL OTHERS UNDER \$5,000	141,824	203	11,048	130,573

TY 2013 Taxes Schedule**Name:** EWING MARION KAUFFMAN FOUNDATION**EIN:** 43-6064859

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FED ESTIMATED EXCISE TAX PMTS	4,200,000			
FOREIGN TAX PAID		88,136		
OTHER TAXES PAID	344	344		
STATE FILING FEES	815	815		
STATE TAXES ON UBIT	52,166	52,166		

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART 1, LINE 26(d) – TOTAL OPERATING AND
ADMINISTRATIVE EXPENSES
For the Year Ended December 31, 2013

PROGRAM AREA DESCRIPTIONS

RESEARCH (Supports All Program Areas):

Through an intense research strategy, the Kauffman Foundation aims to move the field of entrepreneurship into the academic mainstream, elevating the importance that both academic researchers and policy makers give to the subject. To accomplish this, the Foundation is working with grantees to pursue new knowledge in key subject areas we believe are critical to understanding and legitimizing entrepreneurship. In the area of youth education, we are using research to help uncover the most effective programs and approaches for helping children succeed in school and in life. Kauffman also seeks to improve the quantity and quality of scholarly entrepreneurship research and expanding access to multidisciplinary entrepreneurship training.

EDUCATION:

The Kauffman Foundation has ambitious goals for improving the academic achievement of disadvantaged children, particularly in Kansas City's urban core. We believe, as did Ewing Kauffman, that dedicating resources to education most substantially effects the ability of children and youth to be self-sufficient, find jobs, raise their families, and be more productive citizens. In Kansas City the Foundation focuses on preparing students to graduate from college through its Kauffman Scholars initiative and Ewing Marion Kauffman School. In addition, the Foundation supports programs to enhance the teaching of science, technology, engineering and mathematics.

ENTREPRENEURSHIP – TRAINING:

The Kauffman Foundation seeks to connect with the next generation of entrepreneurs by teaching the principles fundamental to entrepreneurship. Innovative educational programs teach entrepreneurship concepts and show young men and women that business ownership is possible, while reinforcing positive messages about what entrepreneurs do for their communities and for society. Adult programs advanced by the Kauffman Foundation focus on entrepreneurial financing and training. Training supported by the Kauffman Foundation, including the suite of FastTrac® programs and educational resources available through our Web-based resources, provide nuts-and-bolts lessons essential for taking a business at any stage to the next level.

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART 1, LINE 26(d) – TOTAL OPERATING AND
ADMINISTRATIVE EXPENSES
For the Year Ended December 31, 2013

KAUFFMAN LABORATORIES FOR ENTERPRISE CREATION:

Kauffman Laboratories for Enterprise Creation draws on the best of the Kauffman Foundation programs to educate entrepreneurs. Kauffman Labs is a multifaceted approach designed to teach new entrepreneurs how to be successful in building high growth, scale firms that accelerate economic growth and expand human welfare. By extending "the science of startups," Kauffman Labs offers a new method for teaching and training entrepreneurs that draws on the practical experience of developing new businesses.

COMMUNICATIONS:

The Kauffman Foundation's communications efforts seek to protect and extend the organization's national reputation, leverage its work, and share its story with the widest possible audience. The area produces a wide range of written materials, reports and journals, and creates online content, video and multimedia pieces supporting Kauffman Foundation programs that put best practices in place and provide entrepreneurs with the tools they need to succeed. The Kauffman Foundation seeks to reach a broad and influential audience to raise awareness of the importance of the field of entrepreneurship and education by providing national media outlets and social media platforms with relevant research and thoughtful analysis.

KANSAS CITY CIVIC ENGAGEMENT:

As a philanthropic leader and strong corporate citizen in Kansas City, the Kauffman Foundation supports select projects that significantly improve the quality of life for citizens of Kansas City and contribute to the civic welfare of our community. The Foundation's 40,000-square-foot Conference Center serves as a community resource, providing meeting facilities, state-of-the-art technology, and amenities for a wide range of local, regional and national gatherings.

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART 1, LINE 26 - TOTAL OPERATING AND ADMINISTRATIVE EXPENDITURES
For the Year Ended December 31, 2013

KAUFFMAN FOUNDATION SUMMARY OF ACTUAL EXPENDITURES

(\$Millions)

						<u>Total</u>	<u>%</u>
ADMINISTRATIVE OVERHEAD (Includes costs related to Legal, Finance, HR, and Office of the CEO)						10,752,192	19%
PROGRAM MANAGEMENT (Includes all program management staffing costs and related facilities, technology, and travel/business expenditures)						9,700,000	17%
KANSAS CITY CIVIC ENGAGEMENT (Includes tables and sponsorships, conference center costs, and other primarily Kansas City-focused spending)						5,420,972	9%
PROGRAMS (Represents all costs associated with programs from idea generation through transition/spin-off)							
	Program Areas						
	<u>Research & Policy</u>	<u>Education</u>	<u>E'ship Education</u>	<u>Innovation & Networks</u>	<u>Communications - General</u>		
TOTAL PROGRAMS	<u>5,410,150</u>	<u>13,053,431</u>	<u>4,512,568</u>	<u>3,871,365</u>	<u>2,810,000</u>	<u>29,657,513</u>	<u>51%</u>
Bond Interest Expense						2,456,942	4%
TOTAL 2013 EXPENDITURES PER PART 1, LINE 26(d)						<u>57,987,619</u>	<u>100%</u>

EWING MARION KAUFFMAN FOUNDATION

TAX ID #43-6064859

TAX YEAR ENDING: 12/31/13

**PART VII-B, QUESTION 5C. TAXES ON TAXABLE EXPENDITURES
FORM 990PF
INFORMATION RELATING TO GRANTS SUBJECT TO EXPENDITURE
RESPONSIBILITY**

GRANT #: 20130059

GRANTEE: Startup Genome, LLC
131 Dundee Ridge Ct.
Omaha, NE 68132

GRANT APPROVAL DATE*:
January 23, 2013

GRANT AMOUNT:
\$100,000.00

DATE OF PAYMENT	AMOUNT OF PAYMENT	TOTAL OF PAYMENTS
April 2, 2013	\$50,000.00	
June 5, 2013	\$25,000.00	
August 12, 2013	\$12,500.00	
October 28, 2013	\$12,500.00	\$100,000.00

TOTAL SPENT AS OF \$100,000.00
December 31, 2013:

DATE OF REPORT FOR March 3, 2014
GRANTEE:

PURPOSE: Support to help accelerate Startup Genome, a local level data collection project focused on entrepreneurship and community building, and to research, develop and publicly distribute available data regarding startups in various local communities.

DIVERSION: To the knowledge of the Foundation, no funds have been directed to any activity other than the activity for which the grant was originally made

VERIFICATION: The grantor has no reason to doubt the accuracy or reliability of the report from the grantee; therefore, no independent verification of the report was made.

* Startup Genome received its sec 501(c)(3) determination letter effective September 24, 2013

ATTACHMENT B

EWING MARION KAUFFMAN FOUNDATION

TAX ID #43-6064859

TAX YEAR ENDING: 12/31/13

**PART VII-B, QUESTION 5C. TAXES ON TAXABLE EXPENDITURES
FORM 990PF
INFORMATION RELATING TO GRANTS SUBJECT TO EXPENDITURE
RESPONSIBILITY**

GRANT #: 20130100

GRANTEE: Kauffman Laboratories for Enterprise Creation, Inc
4801 Rockhill Road
Kansas City, MO 64110

GRANT APPROVAL DATE:
January 17, 2013

GRANT AMOUNT:
\$870,000.00

DATE OF PAYMENT	AMOUNT OF PAYMENT	TOTAL OF PAYMENTS
February 27, 2013	\$400,000.00	
July 9, 2013	\$303,914.00	\$703,914.00

In addition to the cash payments noted above, the grantee received in-kind support of \$320,166.00 for the Foundation's management service fees and use of the Foundation's facilities

**TOTAL SPENT AS OF
December 31, 2013:**

\$602,846.73

**DATE OF REPORT FOR
GRANTEE:**

September 24, 2014

PURPOSE:

Support the continued dissemination of entrepreneurship curriculum by providing educational programs, experiences, and initiatives through which participants learn how to transform innovative, entrepreneurial ideas into high growth companies

DIVERSION:

To the knowledge of the Foundation, no funds have been directed to any activity other than the activity for which the grant was originally made

VERIFICATION:

The grantor has no reason to doubt the accuracy or reliability of the report from the grantee, therefore, no independent verification of the report was made

ATTACHMENT B

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART IX-A – SUMMARY OF DIRECT CHARITABLE
ACTIVITIES
For the Year Ended December 31, 2013

PROGRAM DESCRIPTIONS

Entrepreneurship.org

Entrepreneurship.org is a free online community created by the Kauffman Foundation as a resource designed to help build entrepreneurial economies. Entrepreneurship.org features an array of content to assist entrepreneurs, business mentors, policy makers, academics and investors through each phase of the entrepreneurial process. The content ranges from information to help entrepreneurs launch a company to policies and research that has been conducted regarding entrepreneurship generally. The Web site also has a large amount of supportive content that relates to entrepreneurship activities, high-growth initiatives, policies and research from around the world.

Communications

The Kauffman Foundation's communications efforts seek to protect and extend the organization's national reputation, leverage its work, and share its story with the widest possible audience. The area produces a wide range of written materials, reports and journals, and creates online content and video pieces supporting Kauffman Foundation programs to foster positive education, promote entrepreneurship, and contribute to a vibrant civic life for residents in Kansas City. The Kauffman Foundation seeks to reach a broad and influential audience to raise awareness of the importance of education and entrepreneurship by providing media outlets with relevant research and thoughtful analysis.

Research & Policy

Through an intense research strategy, the Kauffman Foundation aims to push the field of entrepreneurship into the academic mainstream, elevating the importance that both academic researchers and policy makers give to the subject. To accomplish this, the Foundation is working with our grantee-partners to pursue new knowledge in key subject areas we believe are critical to understanding and legitimizing entrepreneurship. In the area of youth education, we are using research to help uncover the most effective programs and approaches for helping Kansas City area children succeed in school and in life.

Startup America Partnership

The Startup America Partnership is a demonstration project pursued jointly with the Steve and Jean Case Foundation to identify and measure the effectiveness of various governmental, commercial enterprise, and tax exempt organization activities and policies in support of entrepreneurial ventures and how those activities facilitate the creation of jobs and growth of economies. As an independent, private-sector movement, SUAP worked to create, assess, test and study the effects of various interventions, activities and policies on entrepreneurial ventures, job creation, and economic activity in local communities. SUAP sought to test the hypothesis that if communities focused on fostering and stimulating startups, those communities could change their own futures in dramatic and fundamental ways. It built on Kauffman research that showed that most net new jobs over the past 30 years were created by companies less than five years in age. In other words, to grow, communities need more young companies (startups) because they generate job growth. Although a private initiative, it was launched as part of President Obama's announcement in January 2011 of the administration's national strategy to stimulate economic growth and creation of quality jobs and its pursuit of a "Startup America Partnership" program within the federal government. The Startup America Partnership entity ceased operations and was dissolved during 2013. As part of the dissolution, the operations and activities were assumed by a 501(c)(3) public charity with a similar mission and purpose, that is Up Global which is formerly known as Startup Weekend.

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART IX-A, 990PF - SUMMARY OF DIRECT CHARITABLE ACTIVITIES
For the Year Ended December 31, 2013

PROGRAM (1)	<u>Entrepreneurship.org</u>	<u>Communications</u>	<u>Research & Policy</u>	<u>Startup America Partnership, LLC</u>
Programmatic Operating Expenses				
Direct Expenses				
Professional Fees	\$16,750	\$744,120	\$1,502,344	\$387,114
Travel, Conferences, Meetings	1,016	90,874	447,322	33,415
Wages	<u>124,015</u>	<u>1,519,868</u>	<u>1,874,814</u>	<u>720,251</u>
Subtotal Direct Expenses	141,781	2,354,862	3,824,480	1,140,780
Indirect Expenses				
Occupancy	-	204,016	195,771	20,588
Technology	<u>1,109,283</u>	<u>995,650</u>	<u>366,412</u>	<u>158,390</u>
Subtotal Indirect Expenses	1,109,283	1,199,666	562,183	178,978
Total Programmatic Operating Expenses	1,251,064	3,554,529	4,386,663	1,319,758
Grant Payments	-	1,162,500	3,392,017	-
TOTAL	<u>\$1,251,064</u>	<u>\$4,717,029</u>	<u>\$7,778,680</u>	<u>\$1,319,758</u>

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART XV – SUPPLEMENTARY INFORMATION
For the Year Ended December 31, 2013

Name: Ewing Marion Kauffman Foundation

Type of Organization: Private foundation

Submit Applications to:

Grant Administrator
4801 Rockhill Road
Kansas City, Missouri 64110-2046
(816) 932-1000

Web address: www.kauffman.org

Founder: Ewing Marion Kauffman (1916-1993)

Year Founded: 1966

Focus areas: The Foundation focuses its operations and grantmaking on solving the underlying social problems in the two areas that were central to Ewing Kauffman's life: entrepreneurship and education.

History: The late entrepreneur and philanthropist Ewing Marion Kauffman established The Kauffman Foundation in the mid-1960s. Ewing Kauffman's early philanthropic efforts involved the development of innovative programs to help at-risk children and youth stay in school, avoid using drugs, and become productive members of society. As the Foundation evolved, he listened to advisors and critics of rich and influential philanthropic foundations and began to shape a philanthropy that would drive impact. His impulses to philanthropy came out of the deepest roots of his own life and experience. As an entrepreneur, Ewing Kauffman felt strongly about the value of entrepreneurship as a means to help individuals gain economic independence and as the best way to create jobs and wealth in society. Mr. Kauffman expected his Foundation to make the biggest impact possible by being innovative and never settling for the status quo.

Ewing Kauffman: Ewing Kauffman was the prototypical entrepreneur, who started with few resources. He grew his firm, Marion Laboratories, into a billion-dollar company over four decades, and did so in an ethical and compassionate manner. He brought professional baseball back to Kansas City by establishing the Kansas City Royals. He experienced first hand the rewards of education and entrepreneurship for himself, his family, his work associates, and his community. He wanted his philanthropy to promote entrepreneurship and education as a means to improve lives.

Foundation Overview: The Ewing Marion Kauffman Foundation works with others to encourage entrepreneurship across America and improve the education of children and youth. Often taking the unconventional path, the Kauffman Foundation seeks to lead with ideas, content, and relationships that harness the power of entrepreneurship and innovation to grow the economy and improve human welfare. Through its research and other initiatives, the Kauffman Foundation aims to open young people's eyes to the possibility of entrepreneurship, promote entrepreneurship education, raise awareness of

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART XV – SUPPLEMENTARY INFORMATION
For the Year Ended December 31, 2013

entrepreneurship-friendly policies, and find alternative pathways for the useful application and possible commercialization of new knowledge and technologies. The Foundation also works to prepare students to be innovators, entrepreneurs and skilled workers in the 21st century economy.

The Role of Philanthropy: Philanthropy can bring a fresh perspective in the absence of traditional competitive pressures and bureaucratic constraints that limit or inhibit creativity, innovation, and responsible programmatic risk taking. This freedom comes with the responsibility to bring new ideas, energy and people to the mix and ultimately to devote leadership and resources in ways that others cannot.

Our Philosophy: The Kauffman Foundation operates as an entrepreneurial foundation taking calculated reasonable programmatic risks and looking for opportunities where our resources (applied in a thoughtful way) can make a meaningful difference in the lives of people in the areas in which we work.

Guided by research and original thinking, we focus on ideas that have the power to reshape the knowledge and information available to entrepreneurs and those interested in entrepreneurship, and the ways academic achievement can encourage children to think for themselves and realize their dreams.

Our Vision: A society of economically independent individuals who are engaged citizens, contributing to the improvement of their communities.

Our Mission: To help individuals attain economic independence by advancing educational achievement and entrepreneurial success, consistent with the aspirations of our founder, Ewing Marion Kauffman.

Our Approach: In pursuit of our mission, we will abide by key principles espoused by our founder:

- Identify opportunities where application of the Foundation's people, ideas, and capital can benefit society in significant and measurable ways.
- Develop innovative, research-based programs leading to practical, sustainable solutions that are widely accepted and implemented.
- Treat the Kansas City region as a program incubator, where feasible, in which new approaches can be tried and tested before being disseminated nationally.
- Partner with others to leverage our resources and capabilities while avoiding the creation of dependency.

Our Credo: Our founder, Ewing Kauffman, aspired to advance human welfare by creating future generations of entrepreneurs. Consistent with this aim, Mr. Kauffman also realized the importance of young people obtaining a quality education. In seeking to make real his vision, we the associates of the Ewing Marion Kauffman Foundation, embrace the following beliefs:

As stewards of Mr. Kauffman's trust and values, we believe

- We are privileged to do this work.

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART XV – SUPPLEMENTARY INFORMATION
For the Year Ended December 31, 2013

- Our pursuit of excellence, with no conventional market signals, requires relentless self-examination and conviction to the ever-increasing importance of the Foundation's work
- Our success requires continuous personal and intellectual growth, careful listening, honesty and openness in challenging one another, personal and corporate humility, and high quality teamwork, leavened by a sense of humor
- We must avoid conflicts of interest, honor trust, and maintain appropriate confidentiality
- We are responsible for making prudent, wise, and leveraged use of all our resources, including our reputation, fellow associates, ideas, networks, and money.
- We will make a meaningful difference by recognizing and pursuing opportunities, thinking creatively, taking thoughtful action, undertaking responsible risks, nurturing our culture of open dialog and seeking continuous self-renewal
- We must hold ourselves to high standards of effective grantmaking and our grantees to high standards of performance measured wherever possible. We are guided by research, purposeful discussion, fact-based judgments, fairness, clearly articulated expectations, and by acknowledging and sharing our failures and successes with others

Each associate of the Kauffman Foundation is responsible for bringing the words of our credo to life

Kauffman Foundation in Kansas City: Kansas City was Ewing Kauffman's home and it will always be the home of the Kauffman Foundation. Mr. Kauffman directed the Foundation to have a national focus, a global reach, and an enduring interest in Kansas City. He felt Kansas City should be a wellspring for a continuous stream of new ideas and programs that could be replicated across the nation to transform society, and likewise that ideas proven elsewhere in entrepreneurship and education could be imported to transform Kansas City.

Grants and Initiatives: The Kauffman Foundation makes grants and supports initiatives in entrepreneurship and education at points where we can step into an issue and affect the lives of a great many people in a significant way for the long term

Grants fund particular projects or programs related to our focus areas. The Foundation's grant making framework includes idea grants to get innovation moving, seed grants to pilot and test good ideas, and scale grants to refine and grow good programs. We make sustaining grants to programs only as needed and we watch for programs that can be spun out on their own.

While an individual grant may focus on a narrow piece of our work, initiatives are larger enterprises that support a broader idea or a wider field of interest. As a result, a single grant, or a group of several grants, may support a single major initiative.

Ewing Kauffman advised his associates to invest in people and be willing to take risks as we look for opportunities to promote positive education and accelerate entrepreneurship.

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART XV – SUPPLEMENTARY INFORMATION
For the Year Ended December 31, 2013

in America. Through our activities we look for ways to advance promising programs by leveraging our grants to bring additional funding and resources to worthy endeavors.

The Kauffman Foundation does not use a grant application form or formal application process. We do not have proposal deadlines or established funding limits. We have not established maximum or minimum dollar amounts. Grant sizes range from a few thousand to several million dollars, depending on the size of the organization and the scope of the project.

Geographic Areas for Funding: The Kauffman Foundation only funds programs within the United States. The bulk of our education grants go to organizations within the Kansas City metropolitan area. The Kauffman Foundation's entrepreneurship efforts fund programs and activities nationally, including within the Kansas City area.

Our Grant Review Process: The route a grant proposal takes through the Foundation depends on the size of the grant, how closely it aligns with the Foundation's goals, and whether funding is available.

Unsolicited Proposals: Organizations that work in the Kauffman Foundation's two areas of interest are welcome to apply for funding. However, we are only able to fund a small number of the proposals received each year. We strive to respond to all letters of inquiry and applications for funding.

The Kauffman Foundation generally does not fund:

- Requests from individuals, political, social, or fraternal organizations
- Endowments, arts, or international programs
- Loans, start-up expenses, or seed or other capital funding for private businesses or scholarships requested by individuals.
- Institutions that discriminate on the basis of race, creed, gender, national origin, age, disability or sexual orientation in policy or in practice
- Programs in furtherance of sectarian religious activities, impermissible lobbying or legislative activities, or political activity
- Programs targeted for people with specific physical, medical or psychological conditions,
- Medical research or profit-oriented enterprises.

2013 990PF
Ewing Marion Kauffman Foundation
2013 Scholarship Payments

Scholarship Recipient's Name	Amount
Abner, Clayton	\$19,811 15
Addams, Tiffany	\$9,369 46
Aguirre, Alicia	\$4,831 89
Al Shimaily, Salam	\$3,923 94
Alferez, Juan	\$350 00
Ali, Abdullhamid	\$13,202 00
Ali, Samira	\$7,732 47
Allen, Marcus	\$2,050 00
Allen-Harvey, Shakeshia	\$3,427 92
Anderson, Ashley	\$1,295 68
Anderson, Psyche	\$11,064 57
Arambula, Manuala	\$5,025 29
Arancillo, Angelica	\$11,395 35
Armendariz, Giselle	\$12,522 87
Armstrong, Andrew	\$4,925.95
Arroyo, Adalberto	\$17,802 50
Atwood, Aleesha	\$350 00
Avila, Mario	\$7,822 70
Avila, Paola	\$350 00
Batrez, Jhonatan	\$7,372 70
Bausby, JeVon	\$2,185 75
Beason, Seleste	\$1,386 68
Beauford, Rachene	\$5,334 51
Becerra, Jose	\$350 00
Berkley, Kameron	\$8,750 87
Berry, Kaleb	\$5,421 64
Bey, Drew	\$8,754 43
Bey, Joseph	\$1,222 82
Bonner, Volnae	\$16,015 50
Boyce, Alyssa	\$7,763 55
Boyce, Neal	\$6,680 36
Boyer, Erica	\$17,893.55
Brake, Rachelle	\$6,712 44
Brake, Shanika	\$4,678 25
Branch, Keyana	\$5,652 40
Brooks, Tanisha	\$5,523 17
Brown, Andreia	\$18,301 01
Brown, Kindrea	\$7,141 65
Brown, Tia	\$49 00
Brunson, Brittany	\$5,245 63
Bryant, Autum	\$50 00
Bryant, Tyhentae	\$6,788 40
Buford, Cynthia	\$11,025 00
Cabrera, Alan	\$15,495.70
Campbell, Brittany	\$12,913.26
Campbell, Erynn	\$16,850 14

2013 990PF
Ewing Marion Kauffman Foundation
2013 Scholarship Payments

Scholarship Recipient's Name	Amount
Canada, Daja	\$49 00
Cano, Gabriela	\$350.00
Carrillo, Omar	\$584 02
Carrillo, Pedro	\$9,863 81
Carter, Denise	\$4,496 03
Carter, Jamesia	\$14,565 80
Carter, Keishai	\$49 00
Carter, Ke'la	\$9,634 79
Chambers, Jessica	\$14,163 00
Charles, Jessica	\$16,818 18
Clark, Alexis	\$4,978 75
Clark, Christian	\$4,028 18
Clemons, Mariesha	\$350 00
Clopton-Heard, Chelcee	\$9,246.01
Colding, Kayla	\$350 00
Coleman, Alexis	\$12,039 55
Coleman, Cierra	\$9,840 00
Collins, Malik	\$5,405 41
Cortez, Catherine	\$350 00
Cruz, Victor	\$350 00
Cruz, Yatziri	\$350 00
Curry, Briana	\$11,215 50
Daniels, Jonesha	\$150 00
Daniels, LaShawnda	\$1,433 28
Davis, Ella	\$9,873 06
Davis, Helena	\$428.00
De La Paz, Jasmine	\$6,690.03
Dean, Robert	\$3,966 18
DeLap, Haley	\$350 00
Diaz, Erika	\$5,954 99
Dirks, Dominique	\$7,773 42
Dixon Ve'Shawn	\$2,314 50
Dobbins, Rachel D.	\$983 30
Doshie, Taylor	\$6,765 25
Downs, Lei'schele	\$350 00
Drummond, Kiaira	\$4,937 69
Edwards, Marcus	\$7,051 34
Egans, Byron	\$5,056 17
Ehiawaguan, Fidelia	\$350 00
Eke, Imuetiyan	\$15,586 40
Ervin, Christopher	\$100 00
Escamilla, Lesley	\$1,198 46
Estes, Kaylisa	\$350 00
Estrada, Edgar	\$8,884 28
Evans, Andesha	\$4,787.00
Fachin, Jordan	\$350 00

2013 990PF
Ewing Marion Kauffman Foundation
2013 Scholarship Payments

Scholarship Recipient's Name	Amount
Fierro, Ever	\$12,023 95
Flores, Greisy	\$1,396.00
Flowers, Kearea	\$743 00
Ford, LeRoy	\$5,392 46
Ford, Tylor	\$7,763 18
Foreman, Shaquila	\$4,786 96
France, Nicole	\$2,200 05
Franklin, Angela	\$350 00
Fulson, Ke'Sha	\$350.00
Gaitan, Chavez	\$4,520 32
Galves, Xochitl	\$1,168 20
Garcia, Joseph	\$5,977 85
Garcia, Victor	\$8,441 81
Garcia Saldivar, Andros	\$29,728 25
Garnett, September	\$12,383.50
Germany, Courtney	\$3,236 95
Gill, Brittani	\$5,182 29
Gonzalez, Karla	\$3,353 74
Gorius, Michael	\$3,268 78
Grajeda, Fernando	\$6,827 40
Gratts, Akeisha	\$5,180 20
Gray, Maura	\$400 00
Guillen, Judit	\$350 00
Hall, Carlie	\$7,843 69
Hall, MyLeisha	\$8,319 52
Handy, Jacob	\$4,945.71
Harrison, LeAnn	\$13,911 88
Hawj, Matthews	\$8,237 97
Hayes, Sekou	\$350 00
Haynes-Foster, Raven	\$350 00
Heard, Danielle	\$100 00
Henry, Marissa	\$1,009 50
Hernandez, Humberto	\$6,877 00
Hernandez, Jesus	\$4,985 10
Hernandez, Xavier	\$9,294 19
Herr, Matthew	\$350 00
Herron, Kenneth	\$13,427 55
Higueros, Rebeca	\$477 55
Higueros, Rebecca	\$1,764 83
Hinds, Chance	\$4,283 48
Horne, Tamara	\$350 00
Huerta, Ana	\$4,637 00
Hughes, Davon	\$49.00
hughes, Stephen	\$350.00
Hullaby, Alexis	\$8,455.30
Ibarra, Ramon	\$350 00

2013 990PF
Ewing Marion Kauffman Foundation
2013 Scholarship Payments

Scholarship Recipient's Name	Amount
Iturbide, Natalie	\$946 69
Jean-Philippe, Gerson	\$3,749 11
Jenkins-Dyer, Isoke	\$12,627 85
Jimenez, Jessica	\$7,607 60
Johnson, Ameenah	\$9,884 35
Johnson, Denesha	\$7,772 65
Johnson, Ebone	\$5,454.75
Johnson, Lexus	\$11,770 97
Johnson, Renita	\$6,513 70
Johnson, Tyron	\$3,555 00
Johnston, Keland	\$4,720 28
Johnston, Krystin	\$4,717 57
Jurado, Evelyn	\$5,084 70
Jurado, Priscila	\$7,794 10
Kaltenbach, Paula	\$4,559 67
Kalu, Blessing	\$49 00
Kelley, Lorenzo	\$4,170 12
Kinney, Robert	\$19,419 00
Knox, Aarondrick	\$350 00
Kountz, Milan	\$350 00
Lainez, Alexa Maria	\$450 00
Lau, Lin	\$424 77
Lau, Sang	\$350 00
Leapheart, Aaron	\$7,615 50
Lee, Jakara	\$3,396 12
Lee, Jamal	\$3,933 05
Lee-Pointer, Malcolm	\$12,024 04
Lewis, Carlotta	\$5,427 86
Linares, Cristina	\$5,441 11
Lobato, Yesenia	\$219 33
Locke, Alva	\$6,784 82
Lopez, Gloria	\$3,738 15
Lopez, Jessenia	\$9,421 24
Lopez, Jessica	\$5,668 95
Lora, De'Eryka	\$12,855 09
Lucky, Sparkle	\$1,096 84
Lugo, Asia	\$8,806 31
Lyons, Sion	\$1,314 00
Malone, Rezja	\$5,893 55
Manning, Ga'Quawna	\$6,463 20
Manning, La'Quee'Ta	\$6,917 70
Marrufo, Bertha	\$4 449.54
Marrufo, Sofia	\$350 00
Marrufo, Vanessa	\$350 00
Martinez, Leticia	\$7,070.96
Martinez, Marlenis	\$3,322 57

2013 990PF
Ewing Marion Kauffman Foundation
2013 Scholarship Payments

Scholarship Recipient's Name	Amount
May, Kayla	\$3,360 00
McClaine, Ariena	\$4,154 27
McCluney, Derice	\$405 18
McConnell, Kiana	\$3,421 00
McKelvy, Jaream	\$7,001 78
McLean, Candice	\$6,321 04
Mena-Sierra, Brenda	\$3,288.00
Mendenhall, Kayla	\$3,612 65
Mendez, Daniel	\$771 00
Mendez, Georgette	\$5,292 24
Milligan, Khalil	\$350 00
Ming, Herman	\$560 30
Mitchell, Mae'isha	\$8,709 00
Mitchell, Sierra	\$3,233 38
Montero, Alexandria	\$5,306 37
Morales, Rayan	\$4,990 85
Morris, Aaron	\$350 00
Moses, Teresa	\$1,643 65
Moye, Chevalier	\$14,753 95
Moye, Taela	\$8,613 60
Murray-Young, Daniell	\$49 00
Myrick, Quron	\$6,830 25
Neely, Jasmyne	\$6,245 85
Nevarez, Isaac	\$350 00
Nunez, Cecilia	\$8,673 95
Oatis, Devyn	\$9,518.77
Odu, Paula	\$49 00
Ordonez, Perla	\$14,233 95
Ornelas, Angel	\$9,416 22
Ortiz, Cristina	\$730 96
Ortiz, Ricardo	\$9,579 20
Padilla, Alexandra	\$7,629 97
Parker, Sade	\$11,841 50
Patton, Gaelynn	\$3,994 51
Pearson, Angela	\$3,802 71
Pegues, Jennifer	\$17,012.50
Pena, Felipe	\$4,529 75
Pierre, Jessyca	\$3,405 13
Pinon (Garcia), Yesenia	\$3,723 89
Pitre, Brandon	\$10,597 20
Pizano, Maricela	\$11,041 15
Ponce-Cardenas, Ashley	\$1,812 58
Prado, Nancy	\$9,531 44
Proctor, Destinee	\$5,898 70
Pulido, Jessica	\$350.00
Puryear, Michaela	\$4,789 83

2013 990PF
Ewing Marion Kauffman Foundation
2013 Scholarship Payments

Scholarship Recipient's Name	Amount
Puryear, Sheilahn	\$8,259 45
Quinonez, Javier	\$6,559 77
Ramirez, Christopher	\$4,124 40
Ramirez, Jorge	\$350 00
Ramos, Atenas	\$8,914 61
Randle, Danielle	\$3,015 00
Ray, LaVetra	\$16,631.85
Ray, Tanesha	\$5,607 59
Reams, Carlos	\$4,978 17
Redic, Jamie	\$350 00
Reyes, Imelda	\$15,014 26
Reynolds, Aundra	\$2,502 48
Rivers, Lewis	\$49 00
Robinson, Mariah	\$8,327 62
Robinson, Miah	\$13,569.50
Robinson, Patrick	\$5,189 93
Robinson, Tremesha	\$5,040 15
Romero, Lynette	\$350 00
Ross, Ronald	\$1,612 64
Saldivar, Xochitl	\$7,986 60
Salvador, Martin	\$100 00
Sanchez, Yovanna	\$350 00
Sanders, Charmaine	\$6,204 45
Saravia-Mata, Fernando	\$6,194 00
Scott, Paul	\$9,847 25
Shakur-Watkins, Jalil	\$150.00
Shanklin, Shaquille	\$7,163 89
Shanon-Thornton, Monekai	\$5,445 00
Sharif, Zeynab	\$254 40
Shaw, Alexandra	\$11,575 25
Shumake, Daisy	\$7,672 99
Slater, Porshauna	\$864 00
Slaughter, Marshe'	\$3,473 94
Smith, Ikeisha	\$4,488 03
Smith, LaKeisha	\$6,046 23
Smith, Latisha	\$3,272 96
Smith, Nicholle	\$14,332 71
Smith, Pebble	\$3,604 45
Smith, Timaira	\$9,745 03
Smith, Xavier	\$350 00
Sosa, Jorge	\$3,319 22
Sowell, Anisha	\$14,878 12
Starr, Jasmine	\$998 03
Sublett, Kaylee	\$6,449 18
Super, Te'Era	\$3,426.27
Swinney, Thierry	\$2,182 74

2013 990PF
Ewing Marion Kauffman Foundation
2013 Scholarship Payments

Scholarship Recipient's Name	Amount
Sykes, Kandias	\$6,485 83
Teague, Ter'Ranay	\$11,412 18
Terrell, Estevan	\$4,546 06
Thao, Pha	\$11,335 77
Thomas, Demetrie	\$4,885 39
Thomas, Tyler	\$325 53
Thong, Mylene	\$13,920 32
Tilmon, Maya	\$5,277 49
Timms, Ver-Lyssa	\$3,010.90
Tortolero, Samara	\$350 00
Tot-Velasquez, Diosselyn	\$5,365 60
Townsend, Antone	\$6,918 54
Traylor, Vonnchet	\$4,556 70
Trespalacios-Paris, Nina	\$15,190 20
Tripp, Deon	\$350.00
Tubbs, Jonathon	\$4,444 39
Tucker, James	\$4,486 34
Udokwu, Chika	\$8,624.64
Upton, Asia	\$5,143 39
Vang, Katherine	\$350 00
Vergil, Christopher	\$11,822 72
Victorino, Juan	\$5,017 22
Villanueva, Jocelyn	\$4,357 29
Voyles, Destiny	\$11,624 80
Wadley, Kerry	\$5,697 83
Walker, Vernisha	\$4,474 74
Waters, Rachael	\$8,110 77
Watkins (Shakur), Jalil	\$3,929 47
Watson, Damion	\$7,008 59
Weathers, Desmond	\$6,075 87
Weaver, Courtney	\$6,196 13
Webb, Derrell	\$6,133 33
Webb, Pearl	\$5,431 17
Wesley, LeFonzo	\$350 00
White, Lawrenceia	\$7 707 90
White, Modesty	\$350 00
White, Raanee	\$883.04
White, Riquea	\$4,000 88
Williams, Danielle	\$3,147 70
Williams, Diamond	\$4,211 22
Williams, Elias	\$16,147 77
Williams, Hasson	\$457 74
Williams, James	\$160 00
Williams, Kristina	\$6,331 15
Williams, Raven	\$2,970 15
Williams, Ronald	\$13,467 00

2013 990PF
Ewing Marion Kauffman Foundation
2013 Scholarship Payments

Scholarship Recipient's Name	Amount
Williams, Vincente	\$6,776 43
Williams-Richmond, Marcus	\$350 00
Willingham, Marshonda	\$14,756 00
Willis, Ronnell	\$14,676 03
Wilson, Ericka	\$1,066 10
Womack, Jaylon	\$350 00
Wright, Brittney	\$2,501.16
Wright, Naandie	\$14,808 89
Yates, Mica	\$8,837 09
Zhou, Xiao	\$5,656 08
Total Scholarship Payments	\$1,873,617.46
Less: Funds from prior years	-\$668,617.46
2013 Grant Payment to ACT, Inc.	\$1,205,000.00

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART II - BALANCE SHEET, LINE 10b - INVESTMENTS - CORPORATE STOCK
For the Year Ended December 31, 2013

Security Name	PY Base Total Cost	Base Total Cost	Base Market Value
ZODIAC AEROSPACE	554,474	325,799	940,278
ZOETIS INC W/I		1,013,271	1,093,807
ZUMTOBEL AG	1,720,891	1,866,318	1,565,896
Open Trade Purchases	(6,377,601)	(6,192,977)	(6,198,250)
Open Trade Sales	4,598,618	4,919,978	4,922,406
Other Open Trades	24,454	2,394,645	2,673,067
Other Corporate Stock	(14)	NONE	NONE
	<u>\$ 520,820</u>	<u>\$ 4,327,034</u>	<u>\$ 4,997,205</u>

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART II - BALANCE SHEET, LINE 10c - INVESTMENTS - CORPORATE BONDS
For the Year Ended December 31, 2013

Security Name	PY Base Total Cost	Base Total Cost	Base Market Value
ANGLOGOLD HOLDINGS PLC		885,743 75	910,753 20
BL184931 TRS USD P V 03MLIBOR	(111,638,754 98)		
BL184931 TRS USD R E	111,638,754 98		
CATALYST PAPER CORP	34,006 35		
CATALYST PAPER CORP	274,370 88	274,370 88	112,029 12
CSG GUERNSEY V LTD	396,553 60		
FREDDIE MAC	1,058 55	1,058 55	1,105 52
GOLDEN AGRI RESOURCES LT		182,750 00	189,178 00
LSI OPEN POSITION NET ASSET	19,610 05	19,610 05	7,690 22
WATERFORD WEDGWOOD PLC	582,618 59	582,618 59	-
	<u>\$ 1,308,218</u>	<u>\$ 1,946,152</u>	<u>\$ 1,220,756</u>

Ewing Marion Kauffman Foundation
ATTACHMENT TO PART II - BALANCE SHEET, LINE 13 - INVESTMENTS OTHER
For the Year Ended December 31, 2013

Security Name	PY Base Total Cost	Base Total Cost	Base Market Value
WINDERS LAKE HOLDINGS, LLC	40,801,441	37,380,476	24,370,411
WINGATE II	1	1	1 485
WINGATE III	1,730,475	140,860	12,813
WINGATE PARTNERS IV LP	5,807,917	6 052,073	8,081,898
	\$ 48,339,834	\$ 43,573,410	\$ 32,466,607
000549 IRS USD P F 3 83100	-	-	(13,000,000 00)
000549 IRS USD R V 01MLIBOR	-	-	10,220,219 23
001541 IRS USD P F 3 58000	-	-	(20,000,000 00)
001541 IRS USD R V 01MLIBOR	-	-	17,006,150 20
508147 IRS USD P F 4 18000	-	-	(32,810,000 00)
508147 IRS USD R V 01MLIBOR	-	-	25,692 565 09
	\$ -	\$ -	\$ (12,891,065)
	\$ 48,339,834	\$ 43,573,410	\$ 19,575,542

AMENDED BYLAWS
OF
EWING MARION KAUFFMAN FOUNDATION
A Missouri Nonprofit Corporation
(the "Corporation")

(Adopted on September 3, 2003)
(Amended on as of December 3, 2013)

PREAMBLE

As an entrepreneur, Ewing Marion Kauffman felt strongly about the value of entrepreneurship as a means to help individuals gain economic independence and as the best way to create jobs and wealth in society. As a philanthropist, Mr. Kauffman believed that people, not money, solve problems and that the resources of the Ewing Marion Kauffman Foundation should be dedicated primarily to helping individuals and organizations seeking to address the underlying causes of those problems.

Mr. Kauffman stated his intent that the Foundation have a national focus coupled with an enduring interest in his beloved Kansas City. He wanted the Foundation to study, support, and advance entrepreneurship in America, particularly those entrepreneurs creating high-growth companies. He also expressed his desire for the Foundation to analyze the challenges children face in becoming productive citizens and to invest in innovative ideas to meet those challenges, particularly with regard to youth education. The Board of Trustees reaffirms its commitment to honoring and implementing these desires of our founder and dedicating the Foundation's resources to these purposes, including substantial financial expenditures in and for the benefit of the Kansas City area consistent with the Foundation's history.

Finally, Mr. Kauffman wished that the values he held so dear, and that he firmly believed accounted for his success, might be observed and disseminated as part of his Foundation's philanthropy. Those principles are (1) all people are to be treated with respect, (2) those contributing to success should share in the rewards, and (3) everyone should find a way to give back to society.

Those were the intentions and goals of Mr. Kauffman for his Foundation and to which the members of the Board of Trustees are dedicated. In honoring his memory, the Trustees are committed further to the following principles:

- That the Foundation be governed and operated as an entrepreneurial organization, taking informed risks while seeking the maximum return on ideas and financial commitments.
- That the Foundation be governed and operated in accordance with high standards of achievement, integrity, openness, objectivity, courtesy, and respect.
- That the members of the Board of Trustees at all times keep uppermost in their minds the welfare of the Foundation, the excellence and efficacy of its programs, and the preservation and growth of its assets.
- In recognition of his inability to foresee the future and the changing needs of society, Mr. Kauffman gave specific instructions to future board members that they are to stay abreast of these changing needs and adjust the work of the foundation to them. This is a solemn responsibility which does not suggest that future trustees move away from the areas initially designated as priorities easily or without serious study and reflection.

ARTICLE I
BOARD OF TRUSTEES

Section 1 1 Board of Trustees The business and affairs of the Corporation shall be managed by its Board of Trustees

Section 1 2 Number of Trustees The Board hereby establishes nine (9) as the number of Trustees that comprise the Board The phrase "Trustees in office" as used in these Bylaws shall refer to the members of the Board of Trustees who are serving on the Board at the time a decision or action is made

Section 1 3 Classes of Trustees The Trustees shall be divided into three (3) classes for the purpose of staggering their terms of office Each class shall be, as nearly as possible, equal in number to every other class, and newly created Trusteeships or any change in the number of Trusteeships shall be apportioned so as to preserve, as nearly as possible, an equal number of Trustees in each class

Section 1 4 Nomination and Election Trustees may be nominated for election by the Governance Committee or from the floor at a meeting of the Board and may be elected to the Board only by the affirmative vote of a majority of the Trustees in office The resolution of the Board by which Trustees are elected or by which vacancies are filled shall state the class that the Trustee is joining, the date on which the Trustee's term begins and expires, and, if filling a vacancy, the name of the person whose term the new Trustee is completing

Section 1 5 Term Each Trustee shall hold office from the time of election until the third annual meeting following his or her election and until the election of his or her successor, except that the term of a Trustee elected to fill a vacancy shall end on the same date as the other Trustees in the class to which he or she is elected The term of a Trustee shall end automatically upon his or her death, adjudicated incapacity, or removal

Section 1 6 Term Limit Trustees may succeed themselves in office, except that a Trustee who has served three (3) consecutive full terms shall not be eligible for re-election to the Board until the annual meeting in the year after the year of expiration of his or her third consecutive term

Section 1 7 Resignation A Trustee may resign at any time by giving written notice to the Chair, President, or Secretary, provided that such notice may not be given to oneself The resignation shall be effective immediately upon delivery of the notice specified above or at such later time during the Trustee's remaining term as he or she shall specify in the notice Acceptance of the resignation shall not be necessary to make it effective

Section 1 8 Removal Any Trustee may be removed, with or without cause, by action of at least two-thirds of the Trustees in office

Section 1 9 Vacancies In the event of a Trustee's death, adjudicated incapacity, resignation, or removal, a majority of the remaining Trustees may fill the resulting vacancy, whether or not the remaining Trustees constitute a quorum The Board shall fill such vacancies within six (6) months

Section 1 10 Annual Meeting The annual meeting of the Board shall be held during the first three months of the Corporation's fiscal year at such time and in such place as shall be designated by the Chair, the President, or a majority of the Trustees in office The annual meeting shall be held for the purpose of (a) electing Trustees to the class of Trustees with

a term that expires at the meeting, (b) electing the chairs and members of standing committees, and (c) transacting such other business as may properly come before the meeting

Section 1 11 Regular Meetings Regular meetings of the Board shall be held at such times and in such places as shall be designated at the annual meeting by the Chair, the President, or a majority of the Trustees in office There shall be no fewer than three (3) regular meetings during the period between annual meetings Regular meetings shall be held for the purpose of transacting such business as may properly come before the meeting

Section 1 12 Special Meetings Special meetings of the Board shall be held at such times and in such places as shall be designated by the Chair, the President, or a majority of the Trustees in office

Section 1 13 Notice Written notice of each meeting of the Board shall be made by facsimile transmission, electronic mail, U S Postal Service, overnight courier service, or hand delivery not less than three (3) business days before the meeting to the last known facsimile number, electronic mail address, or mailing address for each Trustee Notice of each meeting shall state the time, date, and place thereof Except as provided in Section 6 1, neither the business to be transacted at, nor the purpose of, any meeting need be specified in the notice thereof or any waiver of notice

Section 1 14 Effective Date of Notice Written notice in proper form shall be effective on the earliest of the following when received, when receipt is documented by a successful facsimile or electronic mail transcript, the fifth (5th) day after deposit with the U S Postal Service with postage prepaid if sent by first class mail, on the date of the signed receipt if sent by registered or certified mail, or on the first business day following the date of dispatch if sent by overnight courier service

Section 1 15 Waiver Whenever notice is required by law or under any provision of the Articles of Incorporation or these Bylaws, a waiver thereof must be in writing, signed by the Trustee or Trustees entitled to the notice, and filed with the minutes or other records of the Corporation A Trustee's attendance at or participation in a meeting waives any required notice of the meeting, unless the Trustee upon arriving at the meeting or prior to the vote on a matter not noticed in conformity with applicable law, the Articles of Incorporation, or these Bylaws objects to the lack of notice and does not vote for or assent to the action to which he or she objects by reason of such lack of notice

Section 1 16 Quorum and Telephonic Participation A majority of the Trustees in office immediately before a meeting begins shall constitute a quorum for the transaction of business at any meeting of the Board, unless a greater portion is required by law Participation by one or more Trustees by means of technology such that all persons participating in any meeting of the Board are able to hear each other at the same time and be heard shall constitute presence at such meeting Such participation is not preferred and should not be relied on regularly

Section 1 17 Action of the Board at a Meeting Except as otherwise required by law or under any provision of the Articles of Incorporation or these Bylaws, the vote of a majority of the Trustees present at the time of the vote, if a quorum is present, shall be the act of the Board Each Trustee shall have one vote Voting by proxy shall not be permitted

Section 1 18 Action by Written Consent Any action required or permitted to be taken at any meeting of the Board may be taken without a meeting if all of the Trustees without a conflict of interest consent in writing to the adoption of a resolution authorizing such action The consents shall have the same force and effect as a unanimous vote of the Trustees at a meeting duly held and may be stated as such in any certificate or document Such action and written consents thereto shall be filed with the minutes of the meetings of the Board

Section 1 19 Compensation of the Board The Trustees may receive reasonable compensation for their Board and committee service, as determined by the Board from time to time

Section 1 20 Appointment of the Chair The Board shall elect a Chairperson who shall hold office until the third annual meeting following his or her election and until the election of his or her successor, except that the term of a Chair elected to fill a vacancy shall end consistent with the term being filled, unless the Board votes to extend the Chair's term to coincide with the Chair's term as a trustee No chair shall serve more than two (2) consecutive full terms as Chair, unless the Board in its discretion decides that a Trustee elected or re-elected as Chair during his or her second term should have his or her term as Chair coincide with his or her final term as a Trustee

Section 1 21 Role of the Chair The Chair shall preside at all meetings of the Board and, subject to the control of the Board, shall provide leadership to the Board and coordinate the Board in its annual evaluation of the President At the commencement of each term of the Chair (unless it is a partial term), the Chair shall nominate a Trustee member of each committee to serve as chair of that committee until the next annual meeting of the Board, and if during the Chair's term there are Trustee vacancies on any committee, the Chair shall nominate Trustees to fill such vacancies Such nominations shall be made upon consultation with the President In the absence of the Chair, the chair of the Governance Committee shall preside at meetings of the Board, and in the absence of the chair of the Governance Committee or if the Board Chair and the chair of the Governance Committee are the same person and he or she is absent, the chair of the Compensation Committee shall preside at the Board meeting

Section 1 22 Place of Meetings Meetings of the Board or any committee may occur within or without the State of Missouri

Section 1 23 Headquarters The headquarters of the Corporation shall be located in greater Kansas City, Missouri Notwithstanding the provisions of Section 6 1, relating to amendments to Bylaws generally, this Section 1 23 may be amended only (a) by the affirmative vote of at least seventy-five percent (75%) of the Trustees in office, provided the motion to amend the Bylaws is so approved at two consecutive, regular, quarterly meetings of the Board, or (b) by the unanimous vote of the Trustees in office The provisions of Section 6 1 relating to notice of the meeting at which a proposed amendment to the Bylaws is to be considered shall apply to meetings at which any change to this Section 1 23 is to be considered as well

Section 1 24 Powers Reserved to the Board Notwithstanding any other provision of the Bylaws, prior approval of the Board or a Committee with authority expressly delegated from the Board is required before the Foundation may engage in the following merging with another entity, selling, pledging or transferring all or a significant portion of the Foundation's assets, acquiring another entity, authorizing the annual budget, establishing the Foundation's strategic direction or material variances from it; filing for bankruptcy, terminating the Foundation's existence, allocating investments among investment classes, making specific investment decisions or delegations, acquiring real estate, substantially reducing or increasing staff, and hiring, setting compensation for, firing, and evaluating the performance of the President/Chief Executive Officer The President is authorized to file lawsuits and pursue other claims on behalf of the Foundation, but the President should notify the Board of such suits and claims

ARTICLE II COMMITTEES

Section 2.1 Designation There shall be an Audit and Financial Controls Committee, an Investment Committee, a Compensation Committee, and a Governance Committee each of which shall be a standing committee. By vote of a majority of the Trustees in office, the Board may designate such other committees as it from time to time deems appropriate and may be designated as standing or ad hoc committees. No committee may exercise the authority of the Board in the management of the Corporation, except that the Investment Committee may exercise the authority of the Board insofar as specifically set forth in these Bylaws, the Charter of the Investment Committee, or the Investment Policy. An ad hoc committee may be designated as an "ad hoc committee" or as a "task force," as the Board shall determine.

Section 2.2. Membership of Standing Committees Each standing committee shall consist of at least three members. Every member of a standing committee shall be a Trustee, except that up to twenty-five percent (25%) of the members of the Audit and Financial Controls Committee may be non-Trustees. The membership of each standing committee shall be elected by the Board.

Section 2.3 Membership of Ad Hoc Committees Each ad hoc committee shall consist of at least three members. A majority of the members of each ad hoc committee shall be Trustees. The membership of each ad hoc committee shall be elected by a majority of the Trustees in office.

Section 2.4 Committee Memberships of the Chair and the President Each of the Chair and the President shall be a member ex officio of each committee and shall be eligible for election to each committee, subject in all events to the following exceptions and limitations:

- (a) The President shall not be eligible for election to, nor permitted to serve ex officio as a member of, the Audit and Financial Controls Committee or the Governance Committee.
- (b) Neither the Chair nor the President shall be entitled to vote on the Compensation Committee's recommendation concerning his or her own compensation as Chair or President, as the case may be.
- (c) Unless the Chair is ineligible for re-election as Chair, he or she shall not be entitled to vote on the nomination for Chair made by the Governance Committee.

Section 2.5 Committee Chairs Each committee shall be chaired by a Trustee, and each committee chair shall be eligible to serve multiple consecutive terms as chair. The chair of each committee shall be elected by a majority of the Trustees in office. The Chair of the Investment Committee shall be elected for a term to expire at the third annual meeting following his or her election and until the election of his or her successor, such term may be renewed for an additional term and may be renewed again if desirable.

Section 2.6 Term Unless a shorter term is specified at the time of election, the term of each committee member who is a Trustee shall end on the same date as his or her term as Trustee, and the term of each non-Trustee committee member shall continue for one year from the date of election.

Section 2.7 Term Limit A committee member who is a Trustee shall be subject to no term limit other than the limit on his or her term as Trustee. A non-Trustee committee

member may serve no more than nine (9) consecutive one-year terms on a committee. Notwithstanding the foregoing, no committee member shall serve more than nine (9) consecutive years on the same committee.

Section 2.8 Powers and Responsibilities. Each committee shall report to the full Board and shall have only such powers and responsibilities as the Board shall assign, whether by express provision of these Bylaws, committee charter, or resolution adopted by the Board. No committee may appoint or engage consultants or advisors without the advance approval of the Board, provided, however, that the Audit and Financial Controls Committee need not obtain such advance approval if its membership includes at least three Trustees and they agree by majority vote to engage a consultant or advisor. No such consultant or advisor shall be treated as a committee member for any purpose. A policy developed by a committee shall be a proposal until such time as it is adopted by the Board, unless the Board has expressly authorized the committee to develop, adopt and implement the policy. Each committee shall exercise its powers in accordance with the policies from time to time adopted by the Board and in accordance with those policies of the committee which the committee has adopted pursuant to express authority granted by the Board.

Section 2.9 Procedures. Sections 1.7 through 1.19 of these Bylaws shall also apply to committees, with the word "committee" being substituted in each instance for the word "Board" and the phrase "committee member" or "committee members" being substituted in each instance for the word "Trustee" or "Trustees," as the case may be, subject to the following exceptions:

- (a) Notice of a committee member's resignation may be delivered to the Chair, President, Secretary, or committee chair, provided that such notice may not be given to oneself,
- (b) A committee member may be removed, with or without cause, by action of at least two-thirds of the Trustees in office,
- (c) In the event of a committee member's death, adjudicated incapacity, resignation, or removal, the vacancy shall be filled, if at all, by a majority of the Trustees in office,
- (d) There shall be no requirement for an annual meeting of a committee,
- (e) The time, date, and place of a committee's meetings shall be determined by the committee chair or a majority of the committee members in office, and
- (f) subject to the provisions of Section 2.4, an ex officio member of a committee shall be entitled to notice of its meetings and to attend and vote at its meetings but shall not be obligated to attend its meetings nor be counted to determine the number of members necessary to make a quorum or to determine whether a quorum is present.

Section 2.10 Audit and Financial Controls Committee. The Audit and Financial Controls Committee shall recommend annually to the Board a budget for the Corporation, shall oversee the quality and integrity of the Corporation's budgeting, accounting, internal auditing, and reporting practices, shall have and exercise insofar as it is a committee of the Board all powers of the Board with respect to the custody of the Corporation's funds and securities, and shall advise the Board on the selection and retention of the Corporation's independent auditors. In furtherance of the foregoing, the Audit and Financial Controls Committee shall make recommendations to the Board from time to time for appropriate policies concerning audit and

financial control matters, including without limitation a policy concerning levels of expenditure authority

Section 2 11 Investment Committee The Investment Committee shall oversee the investments of the Corporation and, except as prohibited by law, shall have and exercise, insofar as it is a committee of the Board, all powers of the Board with respect to the investment of the Corporation's funds and securities. In furtherance of the foregoing, the Investment Committee shall make recommendations to the Board from time to time on appropriate philosophies and policies concerning investments

Section 2 12 Compensation Committee Periodically but no less than every five years, the Compensation Committee shall recommend to the Board appropriate levels of compensation for Trustees, the Chair, committee chairs, and non-Trustee committee members and for such executive officers as the Board shall designate. In furtherance of the foregoing, the Compensation Committee shall make recommendations to the Board from time to time on appropriate philosophies and policies concerning compensation and benefits

Section 2 13 Governance Committee Periodically but no less than every five years, the Governance Committee shall evaluate and report to the Board concerning the governance of the Corporation, shall conduct a review and compliance audit with respect to these Bylaws and the policies of the Board, and shall ensure that the Secretary maintains a written record of the policies of the Board. In furtherance of the foregoing, the Governance Committee shall develop plans for Board succession, training and evaluation, evaluate the performance of the Chair, and recommend to the Board appropriate philosophies or policies concerning governance or any other matter pertaining to the work of the Board. In furtherance of the Foundation's presence in and commitment to the Kansas City area, the Committee is charged with responsibility for the Board's goal that at least one-third of the Trustees have and maintain connections with the Kansas City area

ARTICLE III OFFICERS

Section 3 1 Officers The officers of the Corporation shall be a President, a Treasurer, a Secretary, and such other officers as the Board may elect. Any two or more offices may be held by the same person, except the offices of President and Secretary. The President shall report to the Board, and all other officers shall report to the President

Section 3 2 Appointment and Term of Office Officers shall be elected by the Board, and each officer shall serve until his or her successor shall be elected or until his or her earlier death, adjudicated incapacity, resignation, or removal. Appointment as an officer shall not in itself create contract rights

Section 3 3 Resignation An officer may resign at any time by giving written notice to the Chair, President, or Secretary, provided that such notice may not be given to oneself. The resignation shall be effective immediately upon delivery of the notice specified above or at such later time during the officer's remaining term as he or she shall specify in the notice. Acceptance of the resignation shall not be necessary to make it effective

Section 3 4 Removal Any officer may be removed, with or without cause, by the Board. The removal shall be effective immediately but shall not affect such officer's contract rights, if any, with respect to the Corporation

Section 3 5 President The President shall be the chief executive officer of the Corporation and shall have general charge of the business and affairs of the Corporation, subject to the authority of the Board. Except as otherwise prohibited by law, any provision of these

Bylaws, or any resolution or policy adopted by the Board, the President may execute all bonds, notes, debentures, mortgages, and other contracts requiring a seal under the seal of the Corporation, may cause the seal to be affixed to such documents, may execute all other contracts and instruments for and in the name of the Corporation, may vote the shares or other securities of any other domestic or foreign corporation of any type or kind which may at any time be owned by the Corporation, may execute any shareholders' or other consents in respect thereof, and may delegate such powers by executing proxies, or otherwise, on behalf of the Corporation. In the absence of the President, or in the event of his or her inability or refusal to act, the Board may designate another officer of the Corporation to act as President on an interim basis. The President shall reside in the Kansas City area or commit to so residing within a reasonable time of becoming President.

Section 3.6 Intentionally omitted

Section 3.7 Treasurer The Treasurer shall have charge and custody of and be responsible for all funds and securities of the Corporation, receive and give receipts (or delegate a custodian to give receipts) for moneys due and payable to the Corporation from any source whatsoever, deposit all such moneys in the name of the Corporation in such banks, trust companies or other depositories as the Board shall authorize in accordance with Article IV, maintain a permanent record of all disbursements made by the Corporation, and in general, perform all the duties incident to the office of Treasurer and such other duties as from time to time may be assigned to him or her by the President.

Section 3.8 Secretary The Secretary shall keep the minutes of the meetings of the Board in one or more books provided for that purpose, see that all notices are duly given in accordance with the provisions of these Bylaws or as required by law, be custodian of the records and seal of the Corporation and see that the seal of the Corporation is affixed to all documents the execution of which on behalf of the Corporation under its seal is duly authorized in accordance with the provisions of these Bylaws, and in general, perform all the duties incident to the office of Secretary and such other duties as from time to time may be assigned to him or her by the President.

Section 3.9 Assistant Treasurers and Assistant Secretaries The Assistant Treasurers and Assistant Secretaries shall perform such duties as shall be assigned to them by the Treasurer or the Secretary, as the case may be, or the President.

Section 3.10 Bond If required by the Board, the Treasurer and the Assistant Treasurers shall give a bond for the faithful discharge of their duties, in such sum and with such surety or sureties as the Board shall determine.

Section 3.11 Compensation of Officers Officers shall receive reasonable compensation for their service as officers, as determined by the Board from time to time.

ARTICLE IV

Contracts, Loans, Checks, Deposits, Custodians, Seal

Section 4.1 Contracts The Board may authorize any officer or officers, agent or agents, to enter into any contract or execute and deliver any instrument in the name of and on behalf of the Corporation, and such authority may be general or confined to specific instances.

Section 4.2. Loans No loans shall be contracted on behalf of the Corporation and no evidences of indebtedness shall be issued in its name unless authorized by a resolution of the Board. Such authority may be general or confined to specific instances.

Section 4.3 Checks, Drafts, Etc All checks, drafts or other orders for the payment of money, notes or other evidences of indebtedness issued in the name of the Corporation shall be signed by such officer or officers, agent or agents of the Corporation and in such manner as shall from time to time be determined by resolution of the Board

Section 4.4 Deposits All funds of the Corporation shall be deposited from time to time to the credit of the Corporation in such banks, trust companies or other depositories as the Board may select

Section 4.5 Custodians The Board may from time to time designate on such terms as it shall determine, one or more banks, trust companies, or other depositories as custodian(s) of all funds and properties of the Corporation

Section 4.6 Seal The Board shall provide a corporate seal, which shall be in the form of a circle and shall have inscribed thereon the name of the Corporation and the words, "Corporate Seal, Missouri"

ARTICLE V INDEMNIFICATION AND INSURANCE

Section 5.1 Limitation of Liability Except as provided in Section 5.13, no person shall be liable to the Corporation for any loss, damage, liability, or expense suffered by the Corporation on account of any action taken or omitted to be taken by such person in a "Covered Capacity" (as hereinafter defined) if such person (a) exercised the same degree of care and skill as a prudent person would have exercised under the circumstances in the conduct of his or her own affairs or (b) took or omitted to take such action in reliance upon information, opinions, reports, or statements, including, without limitation, financial statements and other financial data, prepared or presented by

- (i) one or more officers or employees of the Corporation whom the person reasonably believed to be reliable and competent in the matters presented,
- (ii) one or more officers or employees of a partnership, limited liability company, joint venture, trust or employee benefit plan, or other corporation of which the person was serving, at the Corporation's request, as a director, trustee, partner, member, officer, employee, or agent, provided the person reasonably believed such officers or employees to be reliable and competent in the matters presented,
- (iii) legal counsel, certified public accountants, or other advisors as to matters the person reasonably believed to be within such advisors' professional or expert competence, or
- (iv) a committee of the Board upon which the person was not serving, duly designated in accordance with a provision of the Articles of Incorporation or the Bylaws, as to matters within the designated authority of the committee, if the person reasonably believed the committee merited confidence,

provided that the person did not, at the time of such reliance, have knowledge concerning the matter in question that made such reliance unwarranted

Section 5.2 Indemnification Generally Except as provided in Section 5.13, the Corporation

- (a) shall indemnify any past or present Trustee who was successful, on the merits or otherwise, in the defense of any proceeding to which he or she was a party because he or she is or was a Trustee of the Corporation against reasonable expenses actually incurred by him or her in connection with the proceeding,
- (b) shall indemnify any person who has served in a Covered Capacity to the full extent required by laws of the State of Missouri as in effect from time to time, and
- (c) shall indemnify any person who was or is a party or is threatened to be made a party to any threatened, pending, or completed action, suit, or proceeding, whether civil, criminal, administrative, investigative, or appellate (regardless of whether such action, suit or proceeding is by or in the right of the Corporation or third parties), by reason of the fact that such person is or was serving in a Covered Capacity against all liabilities and expenses, including, without limitation, judgments, amounts paid in settlement, attorneys' fees, excise taxes or penalties, fines, and other expenses actually and reasonably incurred by such person in connection with such action, suit, or proceeding (including, without limitation, the investigation, defense, settlement, or appeal of such action, suit, or proceeding)

, provided, however, in all events that

- (i) the Corporation shall not be required to indemnify any person from or on account of such person's conduct that was finally adjudged to have been knowingly fraudulent, deliberately dishonest or willful misconduct, and
- (ii) the Corporation shall not be required to indemnify any person in connection with an action, suit, or proceeding initiated by such person in their individual capacity, unless the initiation of such action, suit or proceeding was authorized in advance by the Board

Section 5.3 Advancement of Expenses Except as provided in Section 5.13, expenses (including, without limitation, attorneys' fees) actually and reasonably incurred by a person who may be entitled to indemnification hereunder in defending an action, suit, or proceeding, whether civil, criminal, administrative, investigative, or appellate, shall be paid by the Corporation in advance of the final disposition of such action, suit, or proceeding upon receipt of an undertaking by or on behalf of such person to repay such amount if it shall ultimately be determined that such person is not entitled to indemnification by the Corporation. No such advance shall be made in any instance in which the Board or legal counsel to the Corporation reasonably determines that a person would not be entitled to indemnification hereunder.

Section 5.4 Heirs, Executors, Administrators and Estates Rights of indemnification and the advancement of expenses under this Article shall survive the time during which a person served in a Covered Capacity and shall inure to the benefit of the heirs, executors, administrators, and estate of a person who served in a Covered Capacity.

Section 5.5 Determination of Indemnification Any indemnification or advancement of expenses under this Article, unless ordered by a court, shall be made by the Corporation only as authorized in the specific case upon a determination that such indemnification or advancement is consistent with applicable law and these Bylaws. The

determination shall be made by the Board by a majority vote of a quorum consisting of Trustees who were not parties to the action, suit, or proceeding, or if such a quorum is not obtainable, or even if obtainable a quorum of disinterested directors so directs, by independent legal counsel in a written opinion

Section 5.6 Enforcement of Indemnification In the event the Corporation refuses to indemnify any person who may be entitled to be indemnified or to an advancement of expenses under this Article V, such person shall have the right to maintain an action in any court of competent jurisdiction against the Corporation to determine whether or not such person is entitled to such indemnification or advancement of expenses hereunder. If such court action is successful and the person is determined to be entitled to such indemnification or advancement of expenses, such person shall be reimbursed by the Corporation for all fees and expenses (including, without limitation, attorneys' fees) actually and reasonably incurred in connection with any such action (including, without limitation, the investigation, defense, settlement, or appeal of such action)

Section 5.7 Non-Exclusivity Except as provided in Section 5.13 the indemnification and the advancement of expenses provided by this Article V shall not be exclusive of any other rights to which a person seeking indemnification or advancement of expenses may be entitled under any statute, the Articles of Incorporation, these Bylaws, any agreement, any vote of disinterested Trustees, any policy of insurance, or otherwise, as to any action in a Covered Capacity or otherwise and shall not limit in any way any right that the Corporation may have to make additional indemnifications with respect to the same or different persons or classes of persons

Section 5.8 Insurance The Corporation may purchase and maintain insurance on behalf of any person on account of any action taken or omitted to be taken by such person in a Covered Capacity, against any liability asserted against such person and incurred by such person in a Covered Capacity, or arising out of such person's status in a Covered Capacity, whether or not the Corporation would have the power to indemnify such person against such liability under the provisions of this Article V or otherwise

Section 5.9. Vesting of Rights The rights granted or created under this Article V shall be vested in each person entitled to indemnification hereunder as a bargained-for, contractual condition of such person's serving or having served in a Covered Capacity. Although this Article V may be amended or repealed, no such amendment or repeal shall release, terminate, or adversely affect the rights of such person under this Article V with respect to any act taken or the failure to take any act by such person prior to such amendment or repeal or with respect to any action, suit, or proceeding with respect to such act or failure to act filed before or after such amendment or repeal

Section 5.10 Definition of "Covered Capacity" A person shall serve in a "Covered Capacity" insofar as he or she serves as a Trustee, officer, agent, or employee of the Corporation or, at the Corporation's request, as a director, trustee, partner, member, officer, employee, or agent of any partnership, limited liability company, joint venture, trust or employee benefit plan, or other corporation. Unless the Board shall determine otherwise, any Trustee or officer of the Corporation who shall serve as a director, Trustee, partner, member, officer, employee, or agent of any partnership, limited liability company, joint venture, trust or employee benefit plan, or other corporation of which the Corporation, directly or indirectly, is a member, shareholder, or creditor, or in which the Corporation is in any way interested, shall be presumed to be serving as such at the request of the Corporation. In the absence of this presumption, the Board shall determine whether a person is or was serving at the request of the Corporation, and it shall not be necessary to show any actual or prior request for such service. The Board's determination shall be final and binding on the Corporation and the person seeking indemnification or advancement of expenses. Employees of the Corporation shall include those individuals employed by the Corporation and commonly designated as "Associates."

Section 5 11 Definition of "Corporation" For purposes of this Article V only, the term Corporation shall refer (a) to the Ewing Marion Kauffman Foundation and (b) if and only if the Board shall determine, any constituent corporation (including any constituent of a constituent) absorbed in a consolidation or merger which, if the separate existence of such corporation had continued, would have had power and authority to indemnify its directors, officers, employees, or agents, or persons serving at the request of such constituent corporation as a director, Trustee, partner, member, officer, employee, or agent of any partnership, limited liability company, joint venture, trust or employee benefit plan, or other corporation, such that each such person shall stand in the same position under this Article V with respect to the Ewing Marion Kauffman Foundation as he or she would have stood with respect to such constituent corporation if its separate existence had continued

Section 5 12 Definition of "Defense" References to "defense" in this Article V shall include investigations of any threatened, pending, or completed action, suit, or proceeding as well as appeals thereof and shall include any defensive assertion of a cross-claim or counterclaim

Section 5 13 Tax Law Limitations No provision of this Article V shall permit or require indemnification or advancement of expenses insofar as such indemnification or advancement would constitute an "act of self-dealing" within the meaning of Section 4941(d) of the Internal Revenue Code of 1986, as amended, (the "Code") or a "taxable expenditure" within the meaning of Section 4945(d) of the Code

Section 5 14 Severability If any provision of this Article V or the application of any such provision to any person or circumstance is held invalid, illegal, or unenforceable for any reason whatsoever, the remaining provisions of this Article V and the application of such provision to other persons or circumstances shall not be affected thereby, and to the fullest extent possible the court finding such provision invalid, illegal, or unenforceable shall modify and construe the provision so as to render it valid and enforceable as against all persons or entities and to give the maximum possible protection to persons entitled to indemnification and advancement of expenses under this Article V, within the bounds of validity, legality, and enforceability Without limiting the generality of the foregoing, if any person who is or was serving in a Covered Capacity is entitled under the provisions of this Article V to indemnification for a portion but less than all of the liabilities and expenses, including, without limitation, judgments, amounts paid in settlement, attorneys' fees, excise taxes or penalties, fines, and other expenses actually and reasonably incurred by him or her in connection with a threatened, pending, or completed action, suit, or proceeding (including, without limitation, the investigation, defense, settlement, or appeal of such action, suit, or proceeding), whether civil, criminal, administrative, investigative, or appellate, the Corporation shall nevertheless indemnify such person for the portion thereof to which he or she is entitled

ARTICLE VI BYLAWS

Section 6 1 Amendment and Notice of Amendment These Bylaws may be amended only (a) by the affirmative vote of a majority of the Trustees in office, provided the motion to amend the Bylaws is so approved at two consecutive meetings of the Board, or (b) by the unanimous vote of the Trustees in office If an amendment is to be approved at a meeting of the Board, the notice of such meeting must state that a purpose of the meeting is to consider a proposed amendment of the Bylaws and must contain or be accompanied by a copy or summary of the proposed amendment

Section 6.2 Copy of the Bylaws The Corporation shall keep at its principal office a copy of the Bylaws, as amended, which shall be open to inspection by any Trustee at all reasonable times during office hours

Section 6.3 Headings Headings within these Bylaws are provided for purposes of convenience only and shall not be taken into account for purposes of construing or interpreting these Bylaws

Restated September 3, 2003
Amended as of December 3, 2013