Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at** *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation: FISCHER-BAUER-KNIRPS FOUNDATION

A Employer identification number: 43-6036524

Number and street (or P O box number if mail is not delivered to street address): 29 NANTUCKET LANE

Room/suite:

B Telephone number: (314) 997-4552

City or town, state or province, country, and ZIP or foreign postal code: ST. LOUIS, MO 63132

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,333,646.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	45,676.	45,676.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	20,288.			
	b Gross sales price for all assets on line 6a 560,037.				
	7 Capital gain net income (from Part IV, line 2)		20,288.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	37,465.	0.		STATEMENT 2
	12 **Total**. Add lines 1 through 11	103,429.	65,964.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	6,000.	3,000.		3,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	18.	0.		18.
	b Accounting fees STMT 4	3,390.	1,695.		1,695.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	3,509.	130.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 6	14,353.	14,001.		352.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	27,270.	18,826.		5,065.
	25 Contributions, gifts, grants paid	116,100.			116,100.
	26 **Total expenses and disbursements.** Add lines 24 and 25	143,370.	18,826.		121,165.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<39,941.>			
	b **Net investment income** (if negative, enter -0-)		47,138.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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10-10-13 LHA **For Paperwork Reduction Act Notice, see instructions.**

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	81.	189.	189.
	2 Savings and temporary cash investments	50,905.	57,727.	57,727.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	1,326,539.	1,561,177.	1,528,675.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	1,001,572.	720,063.	747,055.
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,379,097.	2,339,156.	2,333,646.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,379,097.	2,339,156.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 **Total net assets or fund balances**	2,379,097.	2,339,156.	
	31 **Total liabilities and net assets/fund balances**	2,379,097.	2,339,156.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,379,097.
2	Enter amount from Part I, line 27a	2	<39,941.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,339,156.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,339,156.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	3750 UNITS HEALTHCARE TR AMER INC A	P	VARIOUS	06/24/13
b	1030 UNITS RETAIL PPTYS AMER CL A	P	VARIOUS	05/02/13
c	LPL 5869 SHORT-TERM (SEE ATTACHED)	P	VARIOUS	12/31/13
d	LPL 5869 LONG-TERM (SEE ATTACHED)	P	VARIOUS	12/31/13
e	CAPITAL GAINS DIVIDENDS			

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	40,024.		34,275.	5,749.
b	15,258.		11,423.	3,835.
c	385,689.		387,001.	<1,312.>
d	104,899.		107,050.	<2,151.>
e	14,167.			14,167.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				5,749.
b				3,835.
c				<1,312.>
d				<2,151.>
e				14,167.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	20,288.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	119,234.	2,334,021.	.051085
2011	124,666.	2,467,970.	.050514
2010	124,572.	2,462,615.	.050585
2009	117,910.	2,327,721.	.050655
2008	151,541.	2,794,881.	.054221

2 **Total** of line 1, column (d)	2	.257060
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.051412
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,321,019.
5 Multiply line 4 by line 3	5	119,328.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	471.
7 Add lines 5 and 6	7	119,799.
8 Enter qualifying distributions from Part XII, line 4	8	121,165.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b		1	471.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	471.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	471.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 2,040.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	2,040.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	1,569.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 1,569. **Refunded** ▶		11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL,MO			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ LPL - JOSEPH ALTIC Telephone no. ▶888-878-1804

Located at ▶12935 N 40 DR SUITE 105, SAINT LOUIS, MO ZIP+4 ▶63141

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

		Yes	No
5a During the year did the foundation pay or incur any amount to:			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
If "Yes" to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		6,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	1,397,560.
b	Average of monthly cash balances			1b	120,091.
c	Fair market value of all other assets			1c	838,713.
d	**Total** (add lines 1a, b, and c)			1d	2,356,364.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.		
2	Acquisition indebtedness applicable to line 1 assets			2	0.
3	Subtract line 2 from line 1d			3	2,356,364.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	35,345.
5	**Net value of noncharitable-use assets**. Subtract line 4 from line 3. Enter here and on Part V, line 4			5	2,321,019.
6	**Minimum investment return**. Enter 5% of line 5			6	116,051.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	116,051.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	471.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	471.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	115,580.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	115,580.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	115,580.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	121,165.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions**. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	121,165.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	471.
6	**Adjusted qualifying distributions**. Subtract line 5 from line 4	6	120,694.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				115,580.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ___ , ___ , ___		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 1,800.				
b From 2009 1,854.				
c From 2010 3,429.				
d From 2011 2,611.				
e From 2012 6,571.				
f **Total** of lines 3a through e	16,265.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 121,165.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				115,580.
e Remaining amount distributed out of corpus	5,585.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	21,850.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	1,800.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	20,050.			
10 Analysis of line 9:				
a Excess from 2009 1,854.				
b Excess from 2010 3,429.				
c Excess from 2011 2,611.				
d Excess from 2012 6,571.				
e Excess from 2013 5,585.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED LIST	NONE	PUBLIC	GENERAL SUPPORT	116,100.
Total			▶ 3a	116,100.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	45,676.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	37,465.	
8 Gain or (loss) from sales of assets other than inventory			14	20,288.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		103,429.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 103,429.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
4	INVESTMENTS ARE MADE TO ALLOW THE ORGANIZATION TO ACHIEVE ITS MISSION
	AND PROVIDE GRANTS TO ORGANIZATIONS.

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Margaret Gerhard — 5/14/14 — ASSISTANT SECRETARY

Signature of officer or trustee — Date — Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
ROBERT MINKLER JR.	Robert Minkler	5/12/14		P00151033

Firm's name ▶ ANDERS MINKLER HUBER & HELM LLP — Firm's EIN ▶ 43-0831507

Firm's address ▶ 800 MARKET STREET, SUITE 500
ST. LOUIS, MO 63101-2501 — Phone no. (314)655-5500

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME	59,843.	14,167.	45,676.	45,676.	
TO PART I, LINE 4	59,843.	14,167.	45,676.	45,676.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NON-TAXABLE DISTRIBUTIONS FROM INVESTMENTS	31,336.	0.	
TAX-EXEMPT DIVIDENDS	6,129.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	37,465.	0.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	18.	0.		18.
TO FM 990-PF, PG 1, LN 16A	18.	0.		18.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,390.	1,695.		1,695.
TO FORM 990-PF, PG 1, LN 16B	3,390.	1,695.		1,695.

FORM 990-PF	TAXES	STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES PAID	130.	130.		0.
FEDERAL ESTIMATED TAX PAYMENTS	2,040.	0.		0.
2012 FEDERAL TAX DUE	1,339.	0.		0.
TO FORM 990-PF, PG 1, LN 18	3,509.	130.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ANNUAL REPORT FILING FEES	25.	0.		25.
P.O. BOX ANNUAL FEE	124.	0.		124.
LPL INVESTMENT FEES	14,001.	14,001.		0.
OFFICE SUPPLIES	203.	0.		203.
TO FORM 990-PF, PG 1, LN 23	14,353.	14,001.		352.

FORM 990-PF	CORPORATE STOCK	STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
VARIOUS CORPORATE SECURITIES	1,561,177.	1,528,675.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,561,177.	1,528,675.

FORM 990-PF — OTHER INVESTMENTS — STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
VARIOUS FLOW-THROUGH INVESTMENTS	COST	720,063.	747,055.
TOTAL TO FORM 990-PF, PART II, LINE 13		720,063.	747,055.

FORM 990-PF — PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS — STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
KATHERINE GEBHARD 2608 N GEYER RD ST LOUIS, MO 63131	PRESIDENT/TREASURER 0.00	0.	0.	0.
FRITZ GEBHARD 29 NANTUCKET LANE ST LOUIS, MO 63132	DIRECTOR 0.00	0.	0.	0.
GARY TRUE 103 WEST VANDALIA EDWARDSVILLE, IL 62025	SECRETARY 0.00	0.	0.	0.
CARL BAUER GEBHARD 1801 DEER CREEK LANE ST LOUIS, MO 63124	DIRECTOR 0.00	0.	0.	0.
MARGARET GEBHARD 29 NANTUCKET LANE ST LOUIS, MO 63132	ASSISTANT SECRETARY 1.00	6,000.	0.	0.
LPL FINANCIAL SERVICES 12935 N 40 DRIVE ST LOUIS, MO 63141	INVESTMENT MANAGER 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		6,000.	0.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 10
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

KATHERINE GEBHARD
PO BOX 8467
ST LOUIS, MO 63132

FORM AND CONTENT OF APPLICATIONS

THERE IS NO SPECIFIC FORM. PLEASE SEND TAX EXEMPTION LETTER AND MOST RECENT BUDGET.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

Fischer-Bauer-Knirps 2013 Grants

ALIVE, Inc. P. O. Box 11201, St. Louis, MO 63105	1000
Almost Home 3200 St. Vincent Avenue, St. Louis, MO 63104	500
Alzheimer's Association 9370 Olive Boulevard, St. Louis, MO 63132	1000
Angels' Arms 1445 South 18th St., Unit 105, St. Louis, MO 63104	500
Animal Protective Assn. of Missouri 1705 South Hanley Road, St. Louis, MO 63144	500
Backstoppers 10411 Clayton Road, Suite A5, St. Louis, MO 63131	1000
Big Brothers Big Sisters of Eastern MO 501 North Grand, Suite 100, St. Louis, MO 63103	500
Birthright Counseling 2525 South Brentwood, Suite 102, St. Louis, MO 63144	2000
Boys Hope-Girls Hope of St. Louis 755 South New Ballas Rd., Suite 120, St. Louis, MO 63141	1000
Brain Injury Assn. of MO, Inc. 2265 Schuetz Road, St. Louis, MO 63146	500
Cancer Support Community 1058 Old Des Peres Road, St. Louis, MO 63131	1000
Cardinal Glennon Children's Foundation 3800 Park Avenue, St. Louis, MO 63110	1000
Cardinal Glennon Children's Foundation, St. Louis Cord Blood Bank 3800 Park Avenue, St Louis, MO 63110	2000
Care and Counseling 12141 Ladue Road, St. Louis, MO 63141	1000
Central Institute for the Deaf 825 South Taylor Avenue, St. Louis, MO 63110	1000
Children's Home Society of Missouri 1167 Corporate Lake Drive, St. Louis, MO 63132	1000
City Academy 4175 North Kingshighway, St. Louis, MO 63115	2500
COCA-Center of Creative Arts 524 Trinity Avenue, St. Louis, MO 63130	1000
College of the Ozarks P. O. Box 17, Point Lookout, MO 65726	1000
Covenant House Missouri 2727 North Kingshighway, St. Louis, MO 63113	1000
Delta Gamma Center for Children with Visual Impairments 1750 South Big Bend Blvd., St. Louis, MO 63117	1000
Edwardsville YMCA 1200 Esic Drive, Edwardsville, IL 62025	1000

Episcopal City Mission 1210 Locust Street, Suite 306, St. Louis, MO 63103	1000
Epworth Children & Family Services 110 North Elm Avenue, St. Louis, MO 63119	1000
Evans Scholars Foundation One Briar Road, Golf, IL 60029	500
Every Child's Hope 8240 St. Charles Rock Road, St. Louis, MO 63114	1000
Feed My People 171 Kingston Drive, St. Louis, MO 63125	1000
First Tee of St. Louis P O. Box 15175, St. Louis, MO 63110	500
Fisheries Conservation Foundation Illinois Natural History Survey, 1816 South Oak Street Champaign, IL 61820	500
Foundation Fighting Blindness of St. Louis 154 North Central Avenue, St. Louis, MO 63105	1500
Foundation for Economic Education, Inc. 30 South Broadway, Irvington-on-Hudson, NY 10533	500
Friends of Kids with Cancer, Inc. 530 Maryville Centre Drive, Suite LL5, St. Louis, MO 63141	1000
Friends of the St. Louis Public Library 1415 Olive Street, St. Louis, MO 63103	1000
Gateway to Hope 845 North New Ballas Court, Suite 380, St. Louis, MO 63141	1000
Grace Hill Neighborhood Services 2600 Hadley Street, St. Louis, MO 63106	1000
Great Circle 330 North Gore Avenue, St. Louis, MO 63119	1000
Griffin Center P. O. Box 2185, East St. Louis, IL 62202	1000
Habitat for Humanity 3763 Forest Park Avenue, St. Louis, MO 63108	500
Harris House 8327 South Broadway, St. Louis, MO 63111	1000
Haven of Grace 1225 Warren Street, St. Louis, MO 63106	4000
Health Protection & Education Services 8147 Delmar - Suite 210, St. Louis, MO 63130	3000
Hillsdale College 33 East College Street, Hillsdale, MI 49242	1000
Hosea House 1127 North Vandeventer, St. Louis, MO 63113	1000
Humane Society of Missouri 1201 Macklind Avenue, St. Louis, MO 63110	1000
Immacolata Church-Virginia Doody Scholarship Fund 8900 Clayton Road, St. Louis, MO 63117	1000

James S McDonnell USO P. O. Box 10367, St. Louis, MO 63145	500
Jensen-Schmidt Tennis Academy for Down Syndrome 2822 Wintergreen, Florissant, MO 63033	500
Juvenile Diabetes Research Foundation 1085 South McKnight Road, St. Louis, MO 63117	1000
KDHX 3504 Magnolia, St. Louis, MO 63118	500
Ladue Chapel Presbyterian Church 9450 Clayton Road, St. Louis, MO 63124	3000
Ladue Education Foundation 9703 Conway Road, St. Louis, MO 63124	1000
Lafayette College 307 Markle Hall, Easton, PA 18042	500
Let's Start 1408 South 10th Stret, St. Louis, MO 63104	500
Life and Hope Fund at St Luke's Hospital 232 South Woods Mill Road, Chesterfield, MO 63017	1000
Life Skills Foundation 10176 Corporate Square Drive, Suite 100, St. Louis, MO 63132	500
Lift for Life 1731 South Broadway, St. Louis, MO 63104	500
Lincoln Institute for Research & Education P. O. Box 254, Great Falls, VA 22066	500
Little Bit Foundation 1325 North Warson Road, St. Louis, MO 63132	500
Logos School 9137 Old Bonhomme, St. Louis, MO 63132	1500
Manor Grove 711 South Kirkwood Road, St. Louis, MO 63122	500
Marian Middle School 4130 Wyoming, St. Louis, MO 63116	500
Meds & Food for Kids 4488 Forest Park Avenue, Suite 230, St. Louis, MO 63108	500
Missouri Baptist Children's Home 11300 St. Charles Rock Road, Bridgeton, MO 63044	500
Missouri Coalition for the Environment Foundation 3115 S. Grand Blvd., Suite 650, St. Louis, MO 63118	500
Missouri Colleges Fund, Inc 3401 West Truman Blvd., Suite 202, Jefferson City, MO 65109	2000
Missouri Goodwill Industries, School to Work Program 1727 Locust Street, St. Louis, MO 63103	500
Missouri University Department of Chemical Engineering, W2030 Engineering Building East Columbia, MO 65211	500

St. Louis Area Foodbank 70 Corporate Woods Drive, Bridgeton, MO 63044	500
St. Louis Bridge Center 211 North Broadway, Ste. 3600, St. Louis, MO 63102	500
St. Louis Children's Hospital Foundation One Children's Place, St. Louis, MO 63110	500
St. Louis College of Pharmacy 4588 Parkview Place, St. Louis, MO 63110	1000
St. Louis Crisis Nursery 11710 Administration Drive, #18, St. Louis, MO 63146	500
St. Louis HELP 1640 Andrew Drive, St. Louis, MO 63122	500
St. Louis Peregrine Society, Inc. 2343 Hampton Avenue, St. Louis, MO 63139	1000
St. Louis Society 1187 Corporate Lake Drive, Suite 100, St. Louis, MO 63132	1000
St. Louis Symphony Society 718 North Grand Boulevard, St. Louis, MO 63103	500
St. Louis University School of Law 3700 Lindell Boulevard, St. Louis, MO 63108	1500
St. Louis University, School of Arts & Sciences Verhagen Hall #303, 3634 Lindell Boulevard St. Louis, MO 63108	1000
St. Luke's Hospital 232 South Woods Mill Road, Chesterfield, MO 63017	1000
St. Martha's Hall P. O. Box 4950, St. Louis, MO 63108	1000
St. Patrick Center 800 North Tucker, St. Louis, MO 63101	1000
St. Peter's Episcopal Church 110 North Warson Road, St. Louis, MO 63124	5000
St. Simon the Apostle Church 11011 Mueller Road, St. Louis, MO 63123	1000
St. Vincent Home for Children 7401 Florissant Road, St. Louis, MO 63121	1000
Student Conservation Association P. O. Box 550, Charlestown, NH 0	500
Sunshine Ministries P. O. Box 66880, St. Louis, MO 63166	1500
Support Dogs, Inc. 11645 Lilburn Park Road, St. Louis, MO 63146	1500
TASK-Team Activities for Special Kids 980 Horan Drive, Fenton, MO 63026	1000
The Next Step P. O Box 220151, St Louis, MO 63122	1000
Transport Museum Association 2967 Barrett Station Road, St. Louis, MO 63122	500

Missouri University College of Arts and Sciences, 317 Lowry Hall Columbia, MO 65211	500
Missouri University School of Accountancy, 303 Cornell Hall Columbia, MO 65211	500
Missouri University College of Engineering, W1006 Thomas & Nell Lafferre Hall Columbia, MO 65211	500
Missouri University College of Business, Columbia, MO 65211	500
Missouri University Department of Chemistry, 601 South College Avenue Columbia, MO 65211	500
Muny Opera #1 Theatre Drive, St. Louis, MO 63112	600
Nature Conservancy in Missouri 2800 South Brentwood Boulevard, St. Louis, MO 63144	500
Nine Network of Public Media 3655 Olive Street, St. Louis, MO 63108	500
Operation Food Search 6282 Olive Boulevard, St. Louis, MO 63130	1500
Operation Homefront Missouri 8930 Fourwinds Drive, Suite 340, San Antonio, TX 78239	500
Our Lady of the Pillar Church 401 South Lindbergh, St. Louis, MO 63131	500
Partners in Sustainable Development Intl. 9005 Greenridge Drive, St. Louis, MO 63117	500
Planned Parenthood, St. Louis Region 4251 Forest Park Avenue, St. Louis, MO 63108	500
Ranken Technical College 4431 Finney Avenue, St. Louis, MO 63113	500
Ride On St. Louis P. O. Box 94, Kimmswick, MO 63053	500
Rosati-Kain High School 4389 Lindell Boulevard, St. Louis, MO 63108	500
Salvation Army 1130 Hampton Avenue, St. Louis, MO 63139	1000
Seven Holy Founders Church and School 6741 Rock Hill Road, St. Louis, MO 63123	1500
Sheldon Arts Foundation 3648 Washington Boulevard, St. Louis, MO 63108	1000
Shriner's Hospitals for Children 2001 South Lindbergh Boulevard, St. Louis, MO 63131	1000
Smile Train 41 Madison Ave., 28th Floor, New York, NY 10010	1000
St. Labre Indian School P. O. Box 797, Ashland,, Montana 59003	1000

United Negro College Fund, Inc. 1015 Locust Street, Suite 406, St. Louis, MO 63101	500
University of Denver 2190 East Asbury Avenue, Denver, CO 80208	1000
University of the South 735 University Avenue, Sewanee, TN 37383	1000
Villa Duchesne/Oak Hill School 801 South Spoede Road, St. Louis, MO 63131	500
Vincent Gray Academy P. O. Box 428, East St. Louis, IL 62202	1000
Voices for Children 920 North Vandeventer, St. Louis, MO 63108	500
Washington University Olin School of Business Campus Box 1192, One Brookings Drive St. Louis, MO 63130	1500
Webster University, Geo. Walker School of Business 470 East Lockwood Avenue, St. Louis, MO 63119	500
Women's Safe House P. O. Box 63010, St. Louis, MO 63163	500
Wounded Warrior Project, St. Louis Area Fund Drive 4899 Belfort Road, Suite 300, Jacksonville, FL 32256	500
Young America's Foundation F. M. Kirby Freedom Center, 110 Elden Street Herndon, VA 20170	1000
Zeta Psi Educational Foundation 15 South Henry Street, Pearl River, NY 10965	500
ZPT Foundation 2628 Broadway, Apt. 6B, New York, NY 10025	500
	115,100

Harris House 8327 South Broadway, St. Louis, MO 63111	1,000
	116,100

1099-B

Account Number: 7653-5869

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

The proceeds stated on this page are reported net of option premiums. However, option premiums are built into the gain or loss amounts computed below. If you have securities sold because of the exercise of an option granted or acquired before 2014, we have included option premium information as a separate column on this page, to help you reconcile to the gain/loss amount shown on this statement. Should you elect to report net of option premiums, you must adjust your gain/loss amounts on your Form 8949.

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS 2	WASH SALE LOSS DISALLOWED 3 (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF) 4
SHORT-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD ONE YEAR OR LESS (BOX 1C)										
COVERED (BOX 6B) FORM 8949 (BOX A) WITH BASIS REPORTED TO THE IRS										
DOUBLELN TTL RET BD I QTY (BOX 1E) 2796 734	258620103	07/31/12	04/16/13	31,884 23	31,502 97	381 26	0 00	0 00	0 00	
ALTEGRIS MGD FUTR STR I QTY (BOX 1E) 10000 000	66537V336	07/31/12	04/17/13	96,795 50	100,004.50	(3,209 00)	0 00	0 00	0 00	
PIMCO TTL RET P QTY (BOX 1E) 64 591	72201M552	VARIOUS	10/31/13	703 94	733 75	(29 81)	0 00	0 00	0 00	
PIMCO ENH SHRT MATY ETF QTY (BOX 1E) 340 000	72201R833	VARIOUS	05/09/13	34,520 62	34,436 70	83 92	0 00	0 00	0 00	
PIMCO ENH SHRT MATY ETF QTY (BOX 1E) 296 000	72201R833	08/08/12	06/12/13	30,019 67	29,975 19	44 48	0 00	0 00	0 00	
PIMCO ENH SHRT MATY ETF QTY (BOX 1E) 362 000	72201R833	08/08/12	07/02/13	36,646 76	36,658 84	(12 08)	0 00	0 00	0 00	
PIMCO ENH SHRT MATY ETF QTY (BOX 1E) 10 608	72201R833	VARIOUS	08/16/13	1,074 84	1,076 59	(1 75)	0 00	0 00	0 00	
SPDR BARC HI YLD BD ETF QTY (BOX 1E) 2122 642	78464A417	VARIOUS	06/21/13	83,876 35	84,859 13	(982 78)	0 00	0 00	0 00	
OPPEN STLPTH MLP SEL40 Y QTY (BOX 1E) 6439 742	858268204	07/31/12	01/03/13	70,166 69	67,753 28	2,413 41	0 00	0 00	0 00	
TOTAL SHORT-TERM COVERED				**385,688.60**	**387,000 95**	**(1,312 35)**	**0 00**	**0.00**	**0 00**	

1 These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008 Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

2 Please note that the amount displayed in this column is included in the amount listed in the Cost Or Other Basis column If there is a wash sale adjustment amount displayed, your holding period includes the holding period of the stock or securities that were previously sold, where the loss deduction was postponed or disallowed

3 The amounts listed in this column represent the losses you incurred from wash sales that cannot be deducted from your tax return.

4 Collectibles Allocation Factor (CAF) Represents the percentage of proceeds associated with UIT grantor trusts you sold in 2013 that contain assets considered collectibles, which is subject to a higher long-term capital gains rate of 28%, when units held over 1 year are disposed Please refer to the 2013 WHFIT Guide online for details.

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1099-B, continued

Account Number: 7653-5869

DETAILS - PROCEEDS FROM SALE TRADES OR EXCHANGES AND TAX WITHHELD

SECURITY DESCRIPTION (BOX 8)	CUSIP (BOX 1D)	DATE OF ACQUISITION (BOX 1B)	DATE OF SALE OR EXCHANGE (BOX 1A)	GROSS PROCEEDS (LESS COMMISSIONS AND OPTION PREMIUMS) (BOX 2A)	COST OR OTHER BASIS (BOX 3)	GAIN OR (LOSS)	OPTION PREMIUM	WASH SALE AMOUNT ADJUSTMENT TO BASIS 2	WASH SALE LOSS DISALLOWED 3 (BOX 5)	COLLECTIBLES ALLOCATION FACTOR (CAF) 4
LONG-TERM CAPITAL GAINS OR LOSSES - ASSETS HELD MORE THAN ONE YEAR (BOX 1C)										
COVERED (BOX 6B) FORM 8949 (BOX D) WITH BASIS REPORTED TO THE IRS										
PIMCO TTL RET P QTY (BOX 1E) 2732 844	72201M552	07/31/12	10/31/13	29,783 60	31,347 70	(1,564 10)	0 00	0 00	0 00	
PIMCO ENH SHRT MATY ETF QTY (BOX 1E) 352 000	72201R833	08/08/12	08/16/13	35,665 84	35,646 15	19 69	0 00	0 00	0 00	
THORN LTD TRM MUN I QTY (BOX 1E) 2728 505	885215434	07/31/12	10/31/13	39,449 68	40,055.95	(606 27)	0 00	0 00	0 00	
TOTAL LONG-TERM COVERED				**104,899 12**	**107,049 80**	**(2,150 68)**	**0 00**	**0 00**	**0 00**	
TOTAL SALE TRADES OR EXCHANGES (BOX 2A)				**490,587 72**	**494,050 75**	**(3,463 03)**	**0 00**	**0 00**	**0 00**	

1 These securities are not covered under the 1st and 2nd phases of the Cost Basis accounting legislations passed by Congress on October 3, 2008 Therefore the cost basis, acquisition date, disallowed loss from wash sales, and holding period are not being reported to the IRS for these securities. Please refer to the 2013 1099 Tax Guide online for more information on covered securities.

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