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Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

**2013**Department of the Treasury  
Internal Revenue Serviceor Section 4947(a)(1) Trust Treated as Private Foundation  
Do not enter Social Security numbers on this form as it may be made public.Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation

THE PETTUS FOUNDATION 02-08303

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

190 CARONDELET PLAZA, SUITE 100

City or town, state or province, country, and ZIP or foreign postal code

ST. LOUIS, MO 63105

G Check all that apply:

Initial return

Initial return of a former public charity

Final return

Amended return

Address change

Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

Fair market value of all assets at

end of year (from Part II, col. (c), line

16) \$ 20,285,388.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) \_\_\_\_\_

(Part I, column (d) must be on cash basis.)

A Employer identification number

43-6029569

B Telephone number (see instructions)

312-630-6000

C If exemption application is  
pending, check here ☐D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the  
85% test, check here and attach  
computation ☐E If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The  
total of amounts in columns (b), (c), and (d)  
may not necessarily equal the amounts in  
column (a) (see instructions).)(a) Revenue and  
expenses per  
books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable  
purposes  
(cash basis only)

|     |                                                                                               |            |            |      |          |
|-----|-----------------------------------------------------------------------------------------------|------------|------------|------|----------|
| 1   | Contributions, gifts, grants, etc., received (attach schedule) . . . . .                      |            |            |      |          |
| 2   | Check <input type="checkbox"/> if the foundation is not required to<br>attach Sch B . . . . . |            |            |      |          |
| 3   | Interest on savings and temporary cash investments . . . . .                                  |            |            |      |          |
| 4   | Dividends and interest from securities . . . . .                                              | 489,610.   | 489,569.   |      | STMT 1   |
| 5a  | Gross rents . . . . .                                                                         |            |            |      |          |
| b   | Net rental income or (loss) . . . . .                                                         |            |            |      |          |
| 6a  | Net gain or (loss) from sale of assets not on line 10 . . . . .                               | 745,985.   |            |      |          |
| b   | Gross sales price for all<br>assets on line 6a . . . . .                                      | 3,512,390. |            |      |          |
| 7   | Capital gain net income (from Part IV, line 2) . . . . .                                      |            | 745,985.   |      |          |
| 8   | Net short-term capital gain or (loss) . . . . .                                               |            |            |      |          |
| 9   | Income modifications . . . . .                                                                |            |            |      |          |
| 10a | Gross sales less returns<br>and allowances . . . . .                                          |            |            |      |          |
| b   | Less: Cost of goods sold . . . . .                                                            |            |            |      |          |
| c   | Gross profit or (loss) (attach schedule) . . . . .                                            |            |            |      |          |
| 11  | Other income (attach schedule) . . . . .                                                      | -4,164.    |            |      | STMT 2   |
| 12  | Total. Add lines 1 through 11 . . . . .                                                       | 1,231,431. | 1,235,554. |      |          |
| 13  | Compensation of officers, directors, trustees, etc. . . . .                                   | 161,552.   | 145,397.   |      | 16,155.  |
| 14  | Other employee salaries and wages . . . . .                                                   |            | NONE       | NONE |          |
| 15  | Pension plans, employee benefits . . . . .                                                    |            | NONE       | NONE |          |
| 16a | Legal fees (attach schedule) . . . . .                                                        |            |            |      |          |
| b   | Accounting fees (attach schedule) STMT 3 . . . . .                                            | 2,000.     | 1,000.     | NONE | 1,000.   |
| c   | Other professional fees (attach schedule) . . . . .                                           |            |            |      |          |
| 17  | Interest . . . . .                                                                            |            |            |      |          |
| 18  | Taxes (attach schedule) (see instructions) STMT 4 . . . . .                                   | 82,822.    | 10,633.    |      |          |
| 19  | Depreciation (attach schedule) and depletion . . . . .                                        |            |            |      |          |
| 20  | Occupancy . . . . .                                                                           |            |            |      |          |
| 21  | Travel, conferences, and meetings . . . . .                                                   | 3,000.     | NONE       | NONE | 3,000.   |
| 22  | Printing and publications . . . . .                                                           |            | NONE       | NONE |          |
| 23  | Other expenses (attach schedule) STMT 5 . . . . .                                             | 34,354.    |            |      | 34,354.  |
| 24  | Total operating and administrative expenses.<br>Add lines 13 through 23 . . . . .             | 283,728.   | 157,030.   | NONE | 54,509.  |
| 25  | Contributions, gifts, grants paid . . . . .                                                   | 927,000.   |            |      | 927,000. |
| 26  | Total expenses and disbursements. Add lines 24 and 25 . . . . .                               | 1,210,728. | 157,030.   | NONE | 981,509. |
| 27  | Subtract line 26 from line 12: . . . . .                                                      |            |            |      |          |
| a   | Excess of revenue over expenses and disbursements . . . . .                                   | 20,703.    |            |      |          |
| b   | Net investment income (if negative, enter -0-) . . . . .                                      |            | 1,078,524. |      |          |
| c   | Adjusted net income (if negative, enter -0-) . . . . .                                        |            |            |      |          |

NE  
2

| <b>Part II Balance Sheets</b>      |                                                                                                                                          | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)                |             | Beginning of year | End of year    |                       |
|------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|-------------|-------------------|----------------|-----------------------|
|                                    |                                                                                                                                          |                                                                                                                                   |             | (a) Book Value    | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                      | 1                                                                                                                                        | Cash - non-interest-bearing . . . . .                                                                                             |             |                   |                |                       |
|                                    | 2                                                                                                                                        | Savings and temporary cash investments . . . . .                                                                                  |             | 517,278.          | 525,084.       | 525,084.              |
|                                    | 3                                                                                                                                        | Accounts receivable ▶<br>Less allowance for doubtful accounts ▶                                                                   |             |                   |                |                       |
|                                    | 4                                                                                                                                        | Pledges receivable ▶<br>Less allowance for doubtful accounts ▶                                                                    |             |                   |                |                       |
|                                    | 5                                                                                                                                        | Grants receivable . . . . .                                                                                                       |             |                   |                |                       |
|                                    | 6                                                                                                                                        | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . . |             |                   |                |                       |
|                                    | 7                                                                                                                                        | Other notes and loans receivable (attach schedule) ▶<br>Less: allowance for doubtful accounts ▶                                   |             |                   |                |                       |
|                                    | 8                                                                                                                                        | Inventories for sale or use . . . . .                                                                                             |             |                   |                |                       |
|                                    | 9                                                                                                                                        | Prepaid expenses and deferred charges . . . . .                                                                                   |             |                   |                |                       |
|                                    | 10 a                                                                                                                                     | Investments - U S and state government obligations (attach schedule) . . . . .                                                    |             |                   |                |                       |
|                                    | b                                                                                                                                        | Investments - corporate stock (attach schedule) . <b>STMT 6</b> . . . . .                                                         |             | 11,083,678.       | 11,492,264.    | 17,799,082.           |
|                                    | c                                                                                                                                        | Investments - corporate bonds (attach schedule) . <b>STMT 7</b> . . . . .                                                         |             | 2,293,508.        | 1,397,232.     | 1,506,598.            |
|                                    | 11                                                                                                                                       | Investments - land, buildings, and equipment basis<br>Less accumulated depreciation (attach schedule) ▶                           |             |                   |                |                       |
|                                    | 12                                                                                                                                       | Investments - mortgage loans . . . . .                                                                                            |             |                   |                |                       |
|                                    | 13                                                                                                                                       | Investments - other (attach schedule) . . . . .                                                                                   |             | 5,202.            | 504,789.       | 454,624.              |
|                                    | 14                                                                                                                                       | Land, buildings, and equipment basis<br>Less accumulated depreciation (attach schedule) ▶                                         |             |                   |                |                       |
| 15                                 | Other assets (describe ▶) . . . . .                                                                                                      |                                                                                                                                   |             |                   |                |                       |
| 16                                 | <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .                           |                                                                                                                                   | 13,899,666. | 13,919,369.       | 20,285,388.    |                       |
| <b>Liabilities</b>                 | 17                                                                                                                                       | Accounts payable and accrued expenses . . . . .                                                                                   |             | 1,000.            |                |                       |
|                                    | 18                                                                                                                                       | Grants payable . . . . .                                                                                                          |             |                   |                |                       |
|                                    | 19                                                                                                                                       | Deferred revenue . . . . .                                                                                                        |             |                   |                |                       |
|                                    | 20                                                                                                                                       | Loans from officers, directors, trustees, and other disqualified persons . . . . .                                                |             |                   |                |                       |
|                                    | 21                                                                                                                                       | Mortgages and other notes payable (attach schedule) . . . . .                                                                     |             |                   |                |                       |
|                                    | 22                                                                                                                                       | Other liabilities (describe ▶) . . . . .                                                                                          |             |                   |                |                       |
| 23                                 | <b>Total liabilities</b> (add lines 17 through 22) . . . . .                                                                             |                                                                                                                                   | 1,000.      |                   | NONE           |                       |
| <b>Net Assets or Fund Balances</b> | <b>Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.</b>     |                                                                                                                                   |             |                   |                |                       |
|                                    | 24                                                                                                                                       | Unrestricted . . . . .                                                                                                            |             |                   |                |                       |
|                                    | 25                                                                                                                                       | Temporarily restricted . . . . .                                                                                                  |             |                   |                |                       |
|                                    | 26                                                                                                                                       | Permanently restricted . . . . .                                                                                                  |             |                   |                |                       |
|                                    | <b>Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.</b> |                                                                                                                                   |             |                   |                |                       |
|                                    | 27                                                                                                                                       | Capital stock, trust principal, or current funds . . . . .                                                                        |             | 13,898,666.       | 13,919,369.    |                       |
|                                    | 28                                                                                                                                       | Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .                                                           |             |                   |                |                       |
|                                    | 29                                                                                                                                       | Retained earnings, accumulated income, endowment, or other funds . . . . .                                                        |             |                   |                |                       |
| 30                                 | <b>Total net assets or fund balances</b> (see instructions) . . . . .                                                                    |                                                                                                                                   | 13,898,666. | 13,919,369.       |                |                       |
| 31                                 | <b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .                                                       |                                                                                                                                   | 13,899,666. | 13,919,369.       |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|   |                                                                                                                                                                      |   |             |
|---|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . . | 1 | 13,898,666. |
| 2 | Enter amount from Part I, line 27a . . . . .                                                                                                                         | 2 | 20,703.     |
| 3 | Other increases not included in line 2 (itemize) ▶                                                                                                                   | 3 |             |
| 4 | Add lines 1, 2, and 3 . . . . .                                                                                                                                      | 4 | 13,919,369. |
| 5 | Decreases not included in line 2 (itemize) ▶                                                                                                                         | 5 |             |
| 6 | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .                                               | 6 | 13,919,369. |

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**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate,<br>2-story brick warehouse, or common stock, 200 shs MLC Co.)                                                               |                                            |                                                 | (b) How<br>acquired<br>P - Purchase<br>D - Donation                                             | (c) Date<br>acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------|
| <b>1a PUBLICLY TRADED SECURITIES</b>                                                                                                                                                               |                                            |                                                 |                                                                                                 |                                         |                                  |
| <b>b</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| <b>c</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| <b>d</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| <b>e</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| (e) Gross sales price                                                                                                                                                                              | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g)                                                    |                                         |                                  |
| <b>a</b> 3,512,390.                                                                                                                                                                                |                                            | 2,766,405.                                      | 745,985.                                                                                        |                                         |                                  |
| <b>b</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| <b>c</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| <b>d</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| <b>e</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69                                                                                                        |                                            |                                                 | (i) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |                                         |                                  |
| (i) F.M.V. as of 12/31/69                                                                                                                                                                          | (j) Adjusted basis<br>as of 12/31/69       | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                                 |                                         |                                  |
| <b>a</b>                                                                                                                                                                                           |                                            |                                                 | 745,985.                                                                                        |                                         |                                  |
| <b>b</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| <b>c</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| <b>d</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| <b>e</b>                                                                                                                                                                                           |                                            |                                                 |                                                                                                 |                                         |                                  |
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                                                |                                            |                                                 | 2                                                                                               | 745,985.                                |                                  |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in<br>Part I, line 8 |                                            |                                                 | 3                                                                                               |                                         |                                  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in)                                                                                                                                                           | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2012                                                                                                                                                                                                                           | 1,032,475.                               | 17,517,248.                                  | 0.058940                                                    |
| 2011                                                                                                                                                                                                                           | 1,028,020.                               | 17,615,702.                                  | 0.058358                                                    |
| 2010                                                                                                                                                                                                                           | 1,028,266.                               | 16,813,245.                                  | 0.061158                                                    |
| 2009                                                                                                                                                                                                                           | 895,288.                                 | 15,616,140.                                  | 0.057331                                                    |
| 2008                                                                                                                                                                                                                           | NONE                                     | 18,809,306.                                  | NONE                                                        |
| <b>2</b> Total of line 1, column (d)                                                                                                                                                                                           |                                          |                                              | 2 0.235787                                                  |
| <b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the<br>number of years the foundation has been in existence if less than 5 years                                       |                                          |                                              | 3 0.047157                                                  |
| <b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5                                                                                                                                          |                                          |                                              | 4 17,960,974.                                               |
| <b>5</b> Multiply line 4 by line 3                                                                                                                                                                                             |                                          |                                              | 5 846,986.                                                  |
| <b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                                                            |                                          |                                              | 6 10,785.                                                   |
| <b>7</b> Add lines 5 and 6                                                                                                                                                                                                     |                                          |                                              | 7 857,771.                                                  |
| <b>8</b> Enter qualifying distributions from Part XII, line 4<br>If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the<br>Part VI instructions. |                                          |                                              | 8 981,509.                                                  |

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                        |            |    |         |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|----|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . .                               |            | 1  | 10,785. |
| Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)                                                                  |            |    |         |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . . |            |    |         |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                             |            |    |         |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .                                                  |            | 2  |         |
| 3 Add lines 1 and 2 . . . . .                                                                                                                                          |            | 3  | 10,785. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .                                                |            | 4  | NONE    |
| 5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0- . . . . .                                                                     |            | 5  | 10,785. |
| 6 Credits/Payments                                                                                                                                                     |            |    |         |
| a 2013 estimated tax payments and 2012 overpayment credited to 2013 . . . . .                                                                                          | 6a 63,418. |    |         |
| b Exempt foreign organizations - tax withheld at source . . . . .                                                                                                      | 6b NONE    |    |         |
| c Tax paid with application for extension of time to file (Form 8868) . . . . .                                                                                        | 6c NONE    |    |         |
| d Backup withholding erroneously withheld . . . . .                                                                                                                    | 6d         |    |         |
| 7 Total credits and payments. Add lines 6a through 6d . . . . .                                                                                                        |            | 7  | 63,418. |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .                                          |            | 8  |         |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .                                                                              |            | 9  |         |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .                                                                 |            | 10 | 52,633. |
| 11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 52,633. Refunded <input type="checkbox"/> . . . . .           |            | 11 |         |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                         | Yes | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .                                                                                                                                                                       |     | X  |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? . . . . .<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year? . . . . .                                                                                                                                                                                                                                                                                        |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____                                                                                                      |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ _____                                                                                                                                                                    |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                               |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .                                                                                                                  |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .                                                                                                                                                                                                                                                |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .                                                                                                                                                                                                                                                                            |     | X  |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .<br>If "Yes," attach the statement required by General Instruction T                                                                                                                                                                          |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .          | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                                                                                                                                                                                                                     | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> MO                                                                                                                                                                                                            |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. . . . .                                                                                                                                  | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV. . . . .                                                                                         |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses. . . . .                                                                                                                                                                                                          |     | X  |

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**Part VII-A Statements Regarding Activities (continued)**

|                                              |                                                                                                                                                                                                     |                             |     |    |
|----------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-----|----|
| 11                                           | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . .   | 11                          |     | X  |
| 12                                           | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . .  | 12                          |     | X  |
| 13                                           | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . .                                                                       | 13                          | X   |    |
| Website address <input type="checkbox"/> N/A |                                                                                                                                                                                                     |                             |     |    |
| 14                                           | The books are in care of <input type="checkbox"/> NORTHERN TRUST, FSB Telephone no. <input type="checkbox"/> (312) 630-6000                                                                         |                             |     |    |
|                                              | Located at <input type="checkbox"/> P.O. BOX 803878, CHICAGO, IL ZIP+4 <input type="checkbox"/> 60680                                                                                               |                             |     |    |
| 15                                           | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here . . . . .                                                                                       | <input type="checkbox"/>    |     |    |
|                                              | and enter the amount of tax-exempt interest received or accrued during the year . . . . .                                                                                                           | <input type="checkbox"/> 15 |     |    |
| 16                                           | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . | 16                          | Yes | No |
|                                              | See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>                                          |                             |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | Yes                                     | No                                     |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------|----------------------------------------|
| 1a During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                      |                                         |                                        |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                            | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                        | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . . . .                                                                                                                                                                                                                                                                                                                                                                                                              | <input checked="" type="checkbox"/> Yes | <input type="checkbox"/> No            |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) . . . . .                                                                                                                                                                                                                                                    | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .                                                                                                                                                                                                                                                                            | 1b                                      | X                                      |
| Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                 |                                         |                                        |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .                                                                                                                                                                                                                                                                                                         | 1c                                      | X                                      |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                            |                                         |                                        |
| a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . .                                                                                                                                                                                                                                                                                                                                               | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| If "Yes," list the years <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                                                                                                           |                                         |                                        |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) . . . . .                                                                                                                                                                                       | 2b                                      | X                                      |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <input type="checkbox"/>                                                                                                                                                                                                                                                                                                                                                                  |                                         |                                        |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .                                                                                                                                                                                                                                                                                                                                                                     | <input type="checkbox"/> Yes            | <input checked="" type="checkbox"/> No |
| b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) . . . . . | 3b                                      |                                        |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . .                                                                                                                                                                                                                                                                                                                                                                                | 4a                                      | X                                      |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . .                                                                                                                                                                                                                                                               | 4b                                      | X                                      |

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)****5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☐ No  
If "Yes" to 6b, file Form 8870**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☐ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

| (a) Name and address                                        | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-------------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| JAMES A FINCH III<br>ST. LOUIS, MO 63141                    | TRUSTEE<br>26                                             | 83,146.                                   | -0-                                                                   | -0-                                   |
| NORTHERN TRUST<br>CHICAGO, IL 60680                         | TRUSTEE<br>40                                             | 78,406.                                   | -0-                                                                   | -0-                                   |
| LISA HAMILTON<br>323 S. DITMAR ST, OCEANSIDE, CA 92054-3127 | TRUSTEE<br>2                                              | -0-                                       | -0-                                                                   | -0-                                   |
|                                                             |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           | NONE             | NONE                                                                  | NONE                                  |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

**Total** number of other employees paid over \$50,000 ☐ **NONE**

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000                        | (b) Type of service | (c) Compensation |
|------------------------------------------------------------------------------------|---------------------|------------------|
| NONE                                                                               |                     | NONE             |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
|                                                                                    |                     |                  |
| Total number of others receiving over \$50,000 for professional services . . . . . |                     | NONE             |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 NONE                                                                                                                                                                                                                                                 |          |
| 2                                                                                                                                                                                                                                                      |          |
| 3                                                                                                                                                                                                                                                      |          |
| 4                                                                                                                                                                                                                                                      |          |

**Part IX-B Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|------------------------------------------------------------------------------------------------------------------|--------|
| 1 NONE                                                                                                           |        |
| 2                                                                                                                |        |
| All other program-related investments. See instructions.                                                         |        |
| 3 NONE                                                                                                           |        |
| Total. Add lines 1 through 3 . . . . .                                                                           |        |

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|          |                                                                                                             |           |             |
|----------|-------------------------------------------------------------------------------------------------------------|-----------|-------------|
| <b>1</b> | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |           |             |
| <b>a</b> | Average monthly fair market value of securities                                                             | <b>1a</b> | 18,234,491. |
| <b>b</b> | Average of monthly cash balances                                                                            | <b>1b</b> | NONE        |
| <b>c</b> | Fair market value of all other assets (see instructions)                                                    | <b>1c</b> | NONE        |
| <b>d</b> | <b>Total</b> (add lines 1a, b, and c)                                                                       | <b>1d</b> | 18,234,491. |
| <b>e</b> | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | <b>1e</b> |             |
| <b>2</b> | Acquisition indebtedness applicable to line 1 assets                                                        | <b>2</b>  | NONE        |
| <b>3</b> | Subtract line 2 from line 1d                                                                                | <b>3</b>  | 18,234,491. |
| <b>4</b> | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | <b>4</b>  | 273,517.    |
| <b>5</b> | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | <b>5</b>  | 17,960,974. |
| <b>6</b> | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | <b>6</b>  | 898,049.    |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|           |                                                                                                            |           |          |
|-----------|------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b>  | Minimum investment return from Part X, line 6                                                              | <b>1</b>  | 898,049. |
| <b>2a</b> | Tax on investment income for 2013 from Part VI, line 5                                                     | <b>2a</b> | 10,785.  |
| <b>b</b>  | Income tax for 2013. (This does not include the tax from Part VI.)                                         | <b>2b</b> |          |
| <b>c</b>  | Add lines 2a and 2b                                                                                        | <b>2c</b> | 10,785.  |
| <b>3</b>  | Distributable amount before adjustments. Subtract line 2c from line 1                                      | <b>3</b>  | 887,264. |
| <b>4</b>  | Recoveries of amounts treated as qualifying distributions                                                  | <b>4</b>  | NONE     |
| <b>5</b>  | Add lines 3 and 4                                                                                          | <b>5</b>  | 887,264. |
| <b>6</b>  | Deduction from distributable amount (see instructions)                                                     | <b>6</b>  | NONE     |
| <b>7</b>  | <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1. | <b>7</b>  | 887,264. |

**Part XII Qualifying Distributions** (see instructions)

|          |                                                                                                                                                      |           |          |
|----------|------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|----------|
| <b>1</b> | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                                           |           |          |
| <b>a</b> | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                                        | <b>1a</b> | 981,509. |
| <b>b</b> | Program-related investments - total from Part IX-B                                                                                                   | <b>1b</b> |          |
| <b>2</b> | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                                            | <b>2</b>  | NONE     |
| <b>3</b> | Amounts set aside for specific charitable projects that satisfy the:                                                                                 |           |          |
| <b>a</b> | Suitability test (prior IRS approval required)                                                                                                       | <b>3a</b> | NONE     |
| <b>b</b> | Cash distribution test (attach the required schedule)                                                                                                | <b>3b</b> | NONE     |
| <b>4</b> | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.                                   | <b>4</b>  | 981,509. |
| <b>5</b> | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | <b>5</b>  | 10,785.  |
| <b>6</b> | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                                                | <b>6</b>  | 970,724. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

|                                                                                                                                                                                             | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .                                                                                                                       |               |                            |             | 887,264.    |
| <b>2</b> Undistributed income, if any, as of the end of 2013                                                                                                                                |               |                            |             |             |
| <b>a</b> Enter amount for 2012 only . . . . .                                                                                                                                               |               |                            | NONE        |             |
| <b>b</b> Total for prior years. 20 <u>11</u> , 20____, 20____                                                                                                                               |               | NONE                       |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2013                                                                                                                                    |               |                            |             |             |
| <b>a</b> From 2008 . . . . .                                                                                                                                                                | 259,716.      |                            |             |             |
| <b>b</b> From 2009 . . . . .                                                                                                                                                                | 112,572.      |                            |             |             |
| <b>c</b> From 2010 . . . . .                                                                                                                                                                | 200,704.      |                            |             |             |
| <b>d</b> From 2011 . . . . .                                                                                                                                                                | 157,029.      |                            |             |             |
| <b>e</b> From 2012 . . . . .                                                                                                                                                                | 183,955.      |                            |             |             |
| <b>f</b> Total of lines 3a through e . . . . .                                                                                                                                              | 913,976.      |                            |             |             |
| <b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ► \$ <u>981,509.</u>                                                                                                      |               |                            |             |             |
| <b>a</b> Applied to 2012, but not more than line 2a . . .                                                                                                                                   |               |                            | NONE        |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions) . . . . .                                                                                    |               | NONE                       |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions) . . . . .                                                                                            | NONE          |                            |             |             |
| <b>d</b> Applied to 2013 distributable amount . . . . .                                                                                                                                     |               |                            |             | 887,264.    |
| <b>e</b> Remaining amount distributed out of corpus . .                                                                                                                                     | 94,245.       |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2013 .<br>(If an amount appears in column (d), the same amount must be shown in column (a).)                                             | NONE          |                            |             | NONE        |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                             |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                                   | 1,008,221.    |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .                                                                                                         |               | NONE                       |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . . |               | NONE                       |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .                                                                                                         |               | NONE                       |             |             |
| <b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .                                                                          |               |                            | NONE        |             |
| <b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .                                                               |               |                            |             | NONE        |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . .                                        | NONE          |                            |             |             |
| <b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .                                                                                  | 259,716.      |                            |             |             |
| <b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .                                                                                              | 748,505.      |                            |             |             |
| <b>10</b> Analysis of line 9:                                                                                                                                                               |               |                            |             |             |
| <b>a</b> Excess from 2009 . . .                                                                                                                                                             | 112,572.      |                            |             |             |
| <b>b</b> Excess from 2010 . . .                                                                                                                                                             | 200,704.      |                            |             |             |
| <b>c</b> Excess from 2011 . . .                                                                                                                                                             | 157,029.      |                            |             |             |
| <b>d</b> Excess from 2012 . . .                                                                                                                                                             | 183,955.      |                            |             |             |
| <b>e</b> Excess from 2013 . . .                                                                                                                                                             | 94,245.       |                            |             |             |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

NOT APPLICABLE

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . .

**b** Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

|                                                                                                                                                                   | Tax year | Prior 3 years |          |          | (e) Total |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|---------------|----------|----------|-----------|
|                                                                                                                                                                   | (a) 2013 | (b) 2012      | (c) 2011 | (d) 2010 |           |
| <b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .                     |          |               |          |          |           |
| <b>b</b> 85% of line 2a . . . . .                                                                                                                                 |          |               |          |          |           |
| <b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .                                                                            |          |               |          |          |           |
| <b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .                                                          |          |               |          |          |           |
| <b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .                                  |          |               |          |          |           |
| <b>3</b> Complete 3a, b, or c for the alternative test relied upon:                                                                                               |          |               |          |          |           |
| <b>a</b> "Assets" alternative test - enter:                                                                                                                       |          |               |          |          |           |
| <b>(1)</b> Value of all assets . . . . .                                                                                                                          |          |               |          |          |           |
| <b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .                                                                                     |          |               |          |          |           |
| <b>b</b> "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .                             |          |               |          |          |           |
| <b>c</b> "Support" alternative test - enter:                                                                                                                      |          |               |          |          |           |
| <b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . . |          |               |          |          |           |
| <b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .                                      |          |               |          |          |           |
| <b>(3)</b> Largest amount of support from an exempt organization. . . . .                                                                                         |          |               |          |          |           |
| <b>(4)</b> Gross investment income . . . . .                                                                                                                      |          |               |          |          |           |

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 8

**b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT FOR LINE 2

**c** Any submission deadlines:

SEE ATTACHED STATEMENT FOR LINE 2

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

N/A

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount   |
|--------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|----------|
| <b>a Paid during the year</b>                    |                                                                                                                    |                                      |                                     |          |
| SEE ATTACHED SCHEDULE                            | NONE                                                                                                               | PUBLIC                               | GENERAL                             | 927,000. |
| <b>Total</b> . . . . .                           |                                                                                                                    |                                      | <b>3a</b>                           | 927,000. |
| <b>b Approved for future payment</b>             |                                                                                                                    |                                      |                                     |          |
| <b>Total</b> . . . . .                           |                                                                                                                    |                                      | <b>3b</b>                           |          |

| Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income<br>(See instructions.) |
|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------|
| (a)<br>Business code      | (b)<br>Amount | (c)<br>Exclusion code                | (d)<br>Amount |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               | 14                                   | 489,610.      |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               | 18                                   | 745,985.      |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               | 14                                   | -4,164.       |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      |               |                                                                    |
|                           |               |                                      | 1,231,431.    |                                                                    |

|                                                                   |           |            |
|-------------------------------------------------------------------|-----------|------------|
| <b>13 Total.</b> Add line 12, columns (b), (d), and (e) . . . . . | <b>13</b> | 1,231,431. |
|-------------------------------------------------------------------|-----------|------------|

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.) |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| ▼        |                                                                                                                                                                                                                                                   |

NOT APPLICABLE

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

|          |                                                                                                                                                                                                                                                                                                                                                                                             | Yes          | No |
|----------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                         |              |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                          |              |    |
|          | (1) Cash .....                                                                                                                                                                                                                                                                                                                                                                              | <b>1a(1)</b> | X  |
|          | (2) Other assets .....                                                                                                                                                                                                                                                                                                                                                                      | <b>1a(2)</b> | X  |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                         |              |    |
|          | (1) Sales of assets to a noncharitable exempt organization .....                                                                                                                                                                                                                                                                                                                            | <b>1b(1)</b> | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization .....                                                                                                                                                                                                                                                                                                                      | <b>1b(2)</b> | X  |
|          | (3) Rental of facilities, equipment, or other assets .....                                                                                                                                                                                                                                                                                                                                  | <b>1b(3)</b> | X  |
|          | (4) Reimbursement arrangements .....                                                                                                                                                                                                                                                                                                                                                        | <b>1b(4)</b> | X  |
|          | (5) Loans or loan guarantees .....                                                                                                                                                                                                                                                                                                                                                          | <b>1b(5)</b> | X  |
|          | (6) Performance of services or membership or fundraising solicitations .....                                                                                                                                                                                                                                                                                                                | <b>1b(6)</b> | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees .....                                                                                                                                                                                                                                                                                                      | <b>1c</b>    | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received |              |    |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|--------------------------|--------------------------|---------------------------------|
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |
|                          |                          |                                 |

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

**Sign  
Here**

  
Signature of officer or trustee

05/06/2014  
Date

▶ Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

THE NORTHERN TRUST COMPAN

**Paid  
Preparer  
Use Only**

|                            |  |                      |  |      |                                                 |      |
|----------------------------|--|----------------------|--|------|-------------------------------------------------|------|
| Print/Type preparer's name |  | Preparer's signature |  | Date | Check <input type="checkbox"/> if self-employed | PTIN |
| Firm's name ▶              |  |                      |  |      | Firm's EIN ▶                                    |      |
| Firm's address ▶           |  |                      |  |      | Phone no                                        |      |

**SCHEDULE D  
(Form 1041)**

Department of the Treasury  
Internal Revenue Service

**Capital Gains and Losses**

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at [www.irs.gov/form1041](http://www.irs.gov/form1041)

OMB No 1545-0092

**2013**

Name of estate or trust

THE PETTUS FOUNDATION 02-08303

Employer identification number

43-6029569

**Note:** Form 5227 filers need to complete *only* Parts I and II.

**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

|                                                                                                                                                                                                                                                                                          | (d)<br>Proceeds<br>(sales price) | (e)<br>Cost<br>(or other basis) | (g)<br>Adjustments<br>to gain or loss from<br>Form(s) 8949, Part I,<br>line 2, column (g) | (h) Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result with<br>column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|---------------------------------|-------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| <b>1a</b> Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b |                                  |                                 |                                                                                           |                                                                                                           |
| <b>1b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box A</b> checked . . . . .                                                                                                                                                                                       |                                  |                                 |                                                                                           |                                                                                                           |
| <b>2</b> Totals for all transactions reported on Form(s) 8949 with <b>Box B</b> checked . . . . .                                                                                                                                                                                        |                                  |                                 |                                                                                           |                                                                                                           |
| <b>3</b> Totals for all transactions reported on Form(s) 8949 with <b>Box C</b> checked . . . . .                                                                                                                                                                                        | 565,292.                         | 541,510.                        |                                                                                           | 23,782.                                                                                                   |
| <b>4</b> Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824 . . . . .                                                                                                                                                                                               |                                  |                                 | <b>4</b>                                                                                  |                                                                                                           |
| <b>5</b> Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                                                                                                                                                          |                                  |                                 | <b>5</b>                                                                                  |                                                                                                           |
| <b>6</b> Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet . . . . .                                                                                                                                                 |                                  |                                 | <b>6</b> ( )                                                                              |                                                                                                           |
| <b>7</b> <b>Net short-term capital gain or (loss).</b> Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . . ▶                                                                                                                           |                                  |                                 | <b>7</b>                                                                                  | 23,782.                                                                                                   |

**Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

|                                                                                                                                                                                                                                                                                         | (d)<br>Proceeds<br>(sales price) | (e)<br>Cost<br>(or other basis) | (g)<br>Adjustments<br>to gain or loss from<br>Form(s) 8949, Part II,<br>line 2, column (g) | (h) Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result with<br>column (g) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------|---------------------------------|--------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|
| <b>8a</b> Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b |                                  |                                 |                                                                                            |                                                                                                           |
| <b>8b</b> Totals for all transactions reported on Form(s) 8949 with <b>Box D</b> checked . . . . .                                                                                                                                                                                      |                                  |                                 |                                                                                            |                                                                                                           |
| <b>9</b> Totals for all transactions reported on Form(s) 8949 with <b>Box E</b> checked . . . . .                                                                                                                                                                                       |                                  |                                 |                                                                                            |                                                                                                           |
| <b>10</b> Totals for all transactions reported on Form(s) 8949 with <b>Box F</b> checked . . . . .                                                                                                                                                                                      | 2,899,196.                       | 2,224,895.                      |                                                                                            | 674,301.                                                                                                  |
| <b>11</b> Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824 . . . . .                                                                                                                                                                                        |                                  |                                 | <b>11</b>                                                                                  |                                                                                                           |
| <b>12</b> Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts . . . . .                                                                                                                                                                         |                                  |                                 | <b>12</b>                                                                                  |                                                                                                           |
| <b>13</b> Capital gain distributions . . . . .                                                                                                                                                                                                                                          |                                  |                                 | <b>13</b>                                                                                  | 47,902.                                                                                                   |
| <b>14</b> Gain from Form 4797, Part I . . . . .                                                                                                                                                                                                                                         |                                  |                                 | <b>14</b>                                                                                  |                                                                                                           |
| <b>15</b> Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet . . . . .                                                                                                                                               |                                  |                                 | <b>15</b> ( )                                                                              |                                                                                                           |
| <b>16</b> <b>Net long-term capital gain or (loss).</b> Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . . ▶                                                                                                                        |                                  |                                 | <b>16</b>                                                                                  | 722,203.                                                                                                  |

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

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25 -

| <b>Part III Summary of Parts I and II</b>                          |                                                                       | (1) Beneficiaries' (see instr.) | (2) Estate's or trust's | (3) Total |
|--------------------------------------------------------------------|-----------------------------------------------------------------------|---------------------------------|-------------------------|-----------|
| <b>Caution: Read the instructions before completing this part.</b> |                                                                       |                                 |                         |           |
| <b>17</b>                                                          | <b>Net short-term gain or (loss)</b> . . . . .                        | <b>17</b>                       |                         | 23,782.   |
| <b>18</b>                                                          | <b>Net long-term gain or (loss):</b>                                  |                                 |                         |           |
| a                                                                  | Total for year . . . . .                                              | <b>18a</b>                      |                         | 722,203.  |
| b                                                                  | Unrecaptured section 1250 gain (see line 18 of the wrksh) . . . .     | <b>18b</b>                      |                         | 114.      |
| c                                                                  | 28% rate gain . . . . .                                               | <b>18c</b>                      |                         |           |
| <b>19</b>                                                          | <b>Total net gain or (loss).</b> Combine lines 17 and 18a . . . . . ▶ | <b>19</b>                       |                         | 745,985.  |

**Note:** If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

| <b>Part IV Capital Loss Limitation</b> |                                                                                                                                                                                                             |
|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>20</b>                              | Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the <b>smaller</b> of:<br>a The loss on line 19, column (3) or <b>b</b> \$3,000 . . . . . <b>20</b> ( ) |

**Note:** If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

| <b>Part V Tax Computation Using Maximum Capital Gains Rates</b>                                                                                                                                                                                                                                                                                                           |  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| <b>Form 1041 filers.</b> Complete this part <b>only</b> if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.                                                                                                                         |  |
| <b>Caution:</b> Skip this part and complete the <b>Schedule D Tax Worksheet</b> in the instructions if:                                                                                                                                                                                                                                                                   |  |
| • Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or                                                                                                                                                                                                                                                                                                   |  |
| • Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.                                                                                                                                                                                                                                                                                                  |  |
| <b>Form 990-T trusts.</b> Complete this part <b>only</b> if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the <b>Schedule D Tax Worksheet</b> in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero. |  |

|           |                                                                                                                                                                                        |           |  |  |
|-----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------|--|--|
| <b>21</b> | Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34) . . . . .                                                                                                        | <b>21</b> |  |  |
| <b>22</b> | Enter the <b>smaller</b> of line 18a or 19 in column (2) but not less than zero . . . . . <b>22</b>                                                                                    |           |  |  |
| <b>23</b> | Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . . . . <b>23</b>         |           |  |  |
| <b>24</b> | Add lines 22 and 23 . . . . . <b>24</b>                                                                                                                                                |           |  |  |
| <b>25</b> | If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶ <b>25</b>                                                                      |           |  |  |
| <b>26</b> | Subtract line 25 from line 24. If zero or less, enter -0- . . . . . <b>26</b>                                                                                                          |           |  |  |
| <b>27</b> | Subtract line 26 from line 21. If zero or less, enter -0- . . . . . <b>27</b>                                                                                                          |           |  |  |
| <b>28</b> | Enter the <b>smaller</b> of the amount on line 21 or \$2,450 . . . . . <b>28</b>                                                                                                       |           |  |  |
| <b>29</b> | Enter the <b>smaller</b> of the amount on line 27 or line 28 . . . . . <b>29</b>                                                                                                       |           |  |  |
| <b>30</b> | Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% . . . . . ▶ <b>30</b>                                                                            |           |  |  |
| <b>31</b> | Enter the smaller of line 21 or line 26 . . . . . <b>31</b>                                                                                                                            |           |  |  |
| <b>32</b> | Subtract line 30 from line 26 . . . . . <b>32</b>                                                                                                                                      |           |  |  |
| <b>33</b> | Enter the <b>smaller</b> of line 21 or \$11,950 . . . . . <b>33</b>                                                                                                                    |           |  |  |
| <b>34</b> | Add lines 27 and 30 . . . . . <b>34</b>                                                                                                                                                |           |  |  |
| <b>35</b> | Subtract line 34 from line 33. If zero or less, enter -0- . . . . . <b>35</b>                                                                                                          |           |  |  |
| <b>36</b> | Enter the <b>smaller</b> of line 32 or line 35 . . . . . <b>36</b>                                                                                                                     |           |  |  |
| <b>37</b> | Multiply line 36 by 15% . . . . . ▶ <b>37</b>                                                                                                                                          |           |  |  |
| <b>38</b> | Enter the amount from line 31 . . . . . <b>38</b>                                                                                                                                      |           |  |  |
| <b>39</b> | Add lines 30 and 36 . . . . . <b>39</b>                                                                                                                                                |           |  |  |
| <b>40</b> | Subtract line 39 from line 38. If zero or less, enter -0- . . . . . <b>40</b>                                                                                                          |           |  |  |
| <b>41</b> | Multiply line 40 by 20% . . . . . ▶ <b>41</b>                                                                                                                                          |           |  |  |
| <b>42</b> | Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . . <b>42</b> |           |  |  |
| <b>43</b> | Add lines 37, 41, and 42 . . . . . <b>43</b>                                                                                                                                           |           |  |  |
| <b>44</b> | Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041) . . . . . <b>44</b> |           |  |  |
| <b>45</b> | <b>Tax on all taxable income.</b> Enter the <b>smaller</b> of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) . . . . . ▶ <b>45</b>             |           |  |  |

Schedule D (Form 1041) 2013

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

43-6029569

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part I** **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                      | (a)<br>Description of property<br>(Example 100 sh XYZ Co) | (b)<br>Date acquired<br>(Mo, day, yr) | (c)<br>Date sold or<br>disposed<br>(Mo, day, yr) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f)<br>See the separate instructions |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------|--------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                        |                                                           |                                       |                                                  |                                                        |                                                                                                              | (f)<br>Code(s) from<br>instructions                                                                                                          | (g)<br>Amount of<br>adjustment |                                                                                                               |
| 17.                                                                                                                                                                                                                                                                    | ADR AIA GROUP LTD SPON                                    | 11/09/2012                            | 06/13/2013                                       | 291.00                                                 | 268.00                                                                                                       |                                                                                                                                              |                                | 23.00                                                                                                         |
| 3.                                                                                                                                                                                                                                                                     | AARON RENTS INC-CL A                                      | 11/09/2012                            | 03/07/2013                                       | 84.00                                                  | 90.00                                                                                                        |                                                                                                                                              |                                | -6.00                                                                                                         |
| 8.                                                                                                                                                                                                                                                                     | ACTIVISION BLIZZARD INC                                   | 10/17/2012                            | 03/07/2013                                       | 120.00                                                 | 91.00                                                                                                        |                                                                                                                                              |                                | 29.00                                                                                                         |
| 2.                                                                                                                                                                                                                                                                     | AGILENT TECHNOLOGIES IN                                   | 10/17/2012                            | 03/07/2013                                       | 86.00                                                  | 76.00                                                                                                        |                                                                                                                                              |                                | 10.00                                                                                                         |
| 5.                                                                                                                                                                                                                                                                     | AGRIUM INC                                                | 10/17/2012                            | 06/17/2013                                       | 446.00                                                 | 524.00                                                                                                       |                                                                                                                                              |                                | -78.00                                                                                                        |
| 16.                                                                                                                                                                                                                                                                    | ADR AIR LIQUIDE ADR                                       | 10/17/2012                            | 06/13/2013                                       | 413.00                                                 | 406.00                                                                                                       |                                                                                                                                              |                                | 7.00                                                                                                          |
| 176.                                                                                                                                                                                                                                                                   | ADR AIR LIQUIDE ADR                                       | 10/17/2012                            | 07/26/2013                                       | 4,597.00                                               | 4,463.00                                                                                                     |                                                                                                                                              |                                | 134.00                                                                                                        |
| 3.                                                                                                                                                                                                                                                                     | AKAMAI TECHNOLOGIES INC                                   | 10/17/2012                            | 03/07/2013                                       | 112.00                                                 | 114.00                                                                                                       |                                                                                                                                              |                                | -2.00                                                                                                         |
| 1555.                                                                                                                                                                                                                                                                  | ADR ALCATEL ALSTHOM<br>ADR ISIN #US0139043055             | 10/17/2012                            | 01/02/2013                                       | 2,131.00                                               | 1,711.00                                                                                                     |                                                                                                                                              |                                | 420.00                                                                                                        |
| 892.                                                                                                                                                                                                                                                                   | ADR ALCATEL ALSTHOM S<br>ISIN #US0139043055               | 10/17/2012                            | 01/03/2013                                       | 1,323.00                                               | 981.00                                                                                                       |                                                                                                                                              |                                | 342.00                                                                                                        |
| 20.                                                                                                                                                                                                                                                                    | ALLIANZ AKTIENGESELLSC<br>SPONSORED ADR REPSTG 1/         | 02/05/2013                            | 06/13/2013                                       | 303.00                                                 | 283.00                                                                                                       |                                                                                                                                              |                                | 20.00                                                                                                         |
| 584.                                                                                                                                                                                                                                                                   | AMERICA MOVIL S A DE<br>SER L SHARES                      | 11/09/2012                            | 03/18/2013                                       | 10,794.00                                              | 14,743.00                                                                                                    |                                                                                                                                              |                                | -3,949.00                                                                                                     |
| 1.                                                                                                                                                                                                                                                                     | AMERICAN TOWER CORP                                       | 11/09/2012                            | 03/07/2013                                       | 77.00                                                  | 75.00                                                                                                        |                                                                                                                                              |                                | 2.00                                                                                                          |
| 300.                                                                                                                                                                                                                                                                   | AMERICAN WTR WKS CO I                                     | 08/31/2012                            | 03/15/2013                                       | 12,012.00                                              | 11,108.00                                                                                                    |                                                                                                                                              |                                | 904.00                                                                                                        |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                           |                                       |                                                  |                                                        |                                                                                                              |                                                                                                                                              |                                |                                                                                                               |

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**For Paperwork Reduction Act Notice, see your tax return instructions**

3X2615 2 000

Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D

**2013**Attachment  
Sequence No **12A**

Name(s) shown on return

THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

43-6029569

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- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                      | (a)<br>Description of property<br>(Example 100 sh XYZ Co.) | (b)<br>Date acquired<br>(Mo, day, yr) | (c)<br>Date sold or<br>disposed<br>(Mo, day, yr) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss<br>If you enter an amount in column (g),<br>enter a code in column (f)<br>See the separate instructions |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|---------------------------------------|--------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                        |                                                            |                                       |                                                  |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                         | (g)<br>Amount of<br>adjustment |                                                                                                               |
| 35.                                                                                                                                                                                                                                                                    | ADR ANHEUSER BUSCH INB<br>SPONSOREDADR                     | 10/17/2012                            | 02/06/2013                                       | 3,048.00                                               | 3,109.00                                                                                                      |                                                                                                                                             |                                | -61.00                                                                                                        |
| 105.                                                                                                                                                                                                                                                                   | ADR ANHEUSER BUSCH IN<br>SPONSOREDADR                      | 11/09/2012                            | 02/07/2013                                       | 9,092.00                                               | 8,955.00                                                                                                      |                                                                                                                                             |                                | 137.00                                                                                                        |
| 3.                                                                                                                                                                                                                                                                     | ADR ANHEUSER BUSCH INBE<br>SPONSOREDADR                    | 10/17/2012                            | 06/13/2013                                       | 278.00                                                 | 266.00                                                                                                        |                                                                                                                                             |                                | 12.00                                                                                                         |
| 3.                                                                                                                                                                                                                                                                     | ADR ARKEMA SPONSORED AD                                    | 10/17/2012                            | 06/17/2013                                       | 297.00                                                 | 297.00                                                                                                        |                                                                                                                                             |                                |                                                                                                               |
| 18.                                                                                                                                                                                                                                                                    | ARM HLDGS PLC SPONSORE                                     | 11/09/2012                            | 02/21/2013                                       | 753.00                                                 | 612.00                                                                                                        |                                                                                                                                             |                                | 141.00                                                                                                        |
| 89.                                                                                                                                                                                                                                                                    | ARM HLDGS PLC SPONSORE                                     | 11/09/2012                            | 02/22/2013                                       | 3,768.00                                               | 3,024.00                                                                                                      |                                                                                                                                             |                                | 744.00                                                                                                        |
| 7.                                                                                                                                                                                                                                                                     | ARM HLDGS PLC SPONSORED                                    | 11/09/2012                            | 06/13/2013                                       | 283.00                                                 | 238.00                                                                                                        |                                                                                                                                             |                                | 45.00                                                                                                         |
| 308.                                                                                                                                                                                                                                                                   | ARM HLDGS PLC SPONSOR                                      | 11/09/2012                            | 06/20/2013                                       | 11,390.00                                              | 9,872.00                                                                                                      |                                                                                                                                             |                                | 1,518.00                                                                                                      |
| 7.                                                                                                                                                                                                                                                                     | ADR ATLAS COPCO AB SPON<br>NEW REPSTG COM SER A            | 11/09/2012                            | 06/13/2013                                       | 179.00                                                 | 170.00                                                                                                        |                                                                                                                                             |                                | 9.00                                                                                                          |
| 3.                                                                                                                                                                                                                                                                     | AUTODESK, INC                                              | 10/17/2012                            | 03/07/2013                                       | 120.00                                                 | 97.00                                                                                                         |                                                                                                                                             |                                | 23.00                                                                                                         |
| 93.                                                                                                                                                                                                                                                                    | AUTOLIV INC                                                | 11/09/2012                            | 06/24/2013                                       | 6,716.00                                               | 5,575.00                                                                                                      |                                                                                                                                             |                                | 1,141.00                                                                                                      |
| 10.                                                                                                                                                                                                                                                                    | BG PLC ADR FIN INST N                                      | 10/17/2012                            | 06/13/2013                                       | 187.00                                                 | 218.00                                                                                                        |                                                                                                                                             |                                | -31.00                                                                                                        |
| 17.                                                                                                                                                                                                                                                                    | BG PLC ADR FIN INST N                                      | 10/17/2012                            | 06/17/2013                                       | 316.00                                                 | 371.00                                                                                                        |                                                                                                                                             |                                | -55.00                                                                                                        |
| 410.                                                                                                                                                                                                                                                                   | BG PLC ADR FIN INST N                                      | 11/09/2012                            | 07/05/2013                                       | 6,999.00                                               | 7,961.00                                                                                                      |                                                                                                                                             |                                | -962.00                                                                                                       |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                            |                                       |                                                  |                                                        |                                                                                                               |                                                                                                                                             |                                |                                                                                                               |

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Form **8949** (2013)JSA  
3X2615 2 000

**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

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Name(s) shown on return

THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                      | (a)<br>Description of property<br>(Example 100 sh XYZ Co ) | (b)<br>Date acquired<br>(Mo , day, yr ) | (c)<br>Date sold or<br>disposed<br>(Mo , day, yr ) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f)<br>See the separate instructions. |                                | (h)<br>Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                        |                                                            |                                         |                                                    |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                           | (g)<br>Amount of<br>adjustment |                                                                                                              |
|                                                                                                                                                                                                                                                                        | 148. BHP BILLITON PLC - AD                                 | 11/09/2012                              | 06/18/2013                                         | 8,413.00                                               | 9,445.00                                                                                                      |                                                                                                                                               |                                | -1,032.00                                                                                                    |
|                                                                                                                                                                                                                                                                        | 3. ADR BAYERISCHE MOTOREN<br>ADR                           | 02/13/2013                              | 06/13/2013                                         | 92.00                                                  | 97.00                                                                                                         |                                                                                                                                               |                                | -5.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 1. BIO RAD LABS INC CL A                                   | 10/17/2012                              | 03/07/2013                                         | 122.00                                                 | 106.00                                                                                                        |                                                                                                                                               |                                | 16.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 2. BORG WARNER AUTOMOTIVE                                  | 10/17/2012                              | 03/07/2013                                         | 155.00                                                 | 134.00                                                                                                        |                                                                                                                                               |                                | 21.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 2. ADR BUNZL PLC SPONSORED<br>SEC # 2014278 EFF 6/6/0      | 10/17/2012                              | 06/17/2013                                         | 199.00                                                 | 178.00                                                                                                        |                                                                                                                                               |                                | 21.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 1. CNOOC LTD SPND ADR 00                                   | 10/17/2012                              | 06/17/2013                                         | 173.00                                                 | 209.00                                                                                                        |                                                                                                                                               |                                | -36.00                                                                                                       |
|                                                                                                                                                                                                                                                                        | 1. CNOOC LTD SPND ADR 00                                   | 10/17/2012                              | 09/27/2013                                         | 203.00                                                 | 209.00                                                                                                        |                                                                                                                                               |                                | -6.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 34. ADR CSL LTD ADR                                        | 11/09/2012                              | 03/18/2013                                         | 1,065.00                                               | 853.00                                                                                                        |                                                                                                                                               |                                | 212.00                                                                                                       |
|                                                                                                                                                                                                                                                                        | 109. ADR CSL LTD ADR                                       | 11/09/2012                              | 03/19/2013                                         | 3,359.00                                               | 2,735.00                                                                                                      |                                                                                                                                               |                                | 624.00                                                                                                       |
|                                                                                                                                                                                                                                                                        | 50. ADR CSL LTD ADR                                        | 11/09/2012                              | 05/09/2013                                         | 1,534.00                                               | 1,249.00                                                                                                      |                                                                                                                                               |                                | 285.00                                                                                                       |
|                                                                                                                                                                                                                                                                        | 6. ADR CSL LTD ADR                                         | 11/09/2012                              | 06/13/2013                                         | 168.00                                                 | 151.00                                                                                                        |                                                                                                                                               |                                | 17.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 2. ADR CSL LTD ADR                                         | 10/17/2012                              | 06/18/2013                                         | 55.00                                                  | 50.00                                                                                                         |                                                                                                                                               |                                | 5.00                                                                                                         |
|                                                                                                                                                                                                                                                                        | 193. ADR CSL LTD ADR                                       | 10/17/2012                              | 06/19/2013                                         | 5,172.00                                               | 4,796.00                                                                                                      |                                                                                                                                               |                                | 376.00                                                                                                       |
|                                                                                                                                                                                                                                                                        | 4. CSX CORP                                                | 10/17/2012                              | 03/07/2013                                         | 91.00                                                  | 85.00                                                                                                         |                                                                                                                                               |                                | 6.00                                                                                                         |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                              |

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OMB No 1545-0074

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| 1                                                                                                                                                                                                                                                                      | (a)<br>Description of property<br>(Example 100 sh XYZ Co) | (b)<br>Date acquired<br>(Mo, day, yr) | (c)<br>Date sold or<br>disposed<br>(Mo, day, yr) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions. |                                | (h)<br>Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------|--------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                        |                                                           |                                       |                                                  |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                           | (g)<br>Amount of<br>adjustment |                                                                                                              |
|                                                                                                                                                                                                                                                                        | 571. ADR CAIRN ENERGY PLC<br>UNSP ADR EA REPR 2 ORD       | 11/09/2012                            | 03/27/2013                                       | 4,708.00                                               | 5,267.00                                                                                                      |                                                                                                                                               |                                | -559.00                                                                                                      |
|                                                                                                                                                                                                                                                                        | 2. CANADIAN NATL RY CO COM                                | 10/17/2012                            | 06/13/2013                                       | 199.00                                                 | 179.00                                                                                                        |                                                                                                                                               |                                | 20.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 5. CANON INC ADR REPSTG 5                                 | 10/17/2012                            | 06/17/2013                                       | 165.00                                                 | 162.00                                                                                                        |                                                                                                                                               |                                | 3.00                                                                                                         |
|                                                                                                                                                                                                                                                                        | 10. CENOVUS ENERGY INC COM                                | 10/17/2012                            | 06/17/2013                                       | 294.00                                                 | 352.00                                                                                                        |                                                                                                                                               |                                | -58.00                                                                                                       |
|                                                                                                                                                                                                                                                                        | 11. CHINA MOBILE HONG KONG<br>SPONSORED ADR               | 11/09/2012                            | 06/17/2013                                       | 550.00                                                 | 612.00                                                                                                        |                                                                                                                                               |                                | -62.00                                                                                                       |
|                                                                                                                                                                                                                                                                        | .4 ADR CIELO S A SPONSORED                                | 12/17/2012                            | 04/29/2013                                       | 11.00                                                  | 9.00                                                                                                          |                                                                                                                                               |                                | 2.00                                                                                                         |
|                                                                                                                                                                                                                                                                        | 21. ADR CIELO S A SPONSORE                                | 12/17/2012                            | 06/17/2013                                       | 511.00                                                 | 465.00                                                                                                        |                                                                                                                                               |                                | 46.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 4. ADR COCHLEAR LTD ADR UN                                | 11/09/2012                            | 06/13/2013                                       | 109.00                                                 | 155.00                                                                                                        |                                                                                                                                               |                                | -46.00                                                                                                       |
|                                                                                                                                                                                                                                                                        | 104. COGNIZANT TECHNOLOGY<br>A                            | 11/09/2012                            | 05/13/2013                                       | 6,590.00                                               | 7,076.00                                                                                                      |                                                                                                                                               |                                | -486.00                                                                                                      |
|                                                                                                                                                                                                                                                                        | 1. COVANCE INC                                            | 11/09/2012                            | 03/07/2013                                       | 67.00                                                  | 53.00                                                                                                         |                                                                                                                                               |                                | 14.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | .37 CREDIT SUISSE GROUP SP                                | 10/17/2012                            | 05/01/2013                                       | 11.00                                                  | 9.00                                                                                                          |                                                                                                                                               |                                | 2.00                                                                                                         |
|                                                                                                                                                                                                                                                                        | 183. CREDIT SUISSE GROUP S                                | 11/09/2012                            | 08/06/2013                                       | 5,451.00                                               | 4,198.00                                                                                                      |                                                                                                                                               |                                | 1,253.00                                                                                                     |
|                                                                                                                                                                                                                                                                        | 3. DBS GROUP HOLDINGS LTD-<br>ADR                         | 10/17/2012                            | 06/13/2013                                       | 155.00                                                 | 140.00                                                                                                        |                                                                                                                                               |                                | 15.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 5. D R HORTON INC                                         | 10/17/2012                            | 03/07/2013                                       | 119.00                                                 | 108.00                                                                                                        |                                                                                                                                               |                                | 11.00                                                                                                        |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                           |                                       |                                                  |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                              |

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JSA  
3X2615 2 000Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
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| 1                                                                                                                                                                                                                                                                      | (a)<br>Description of property<br>(Example 100 sh XYZ Co) | (b)<br>Date acquired<br>(Mo, day, yr) | (c)<br>Date sold or<br>disposed<br>(Mo, day, yr) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions. |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------|--------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                        |                                                           |                                       |                                                  |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                            | (g)<br>Amount of<br>adjustment |                                                                                                               |
| 5.                                                                                                                                                                                                                                                                     | ADR DAIHATSU MTR CO LTD                                   | 05/01/2013                            | 06/17/2013                                       | 197.00                                                 | 198.00                                                                                                        |                                                                                                                                                |                                | -1.00                                                                                                         |
| 2.                                                                                                                                                                                                                                                                     | DARDEN RESTAURANTS INC                                    | 10/17/2012                            | 03/07/2013                                       | 95.00                                                  | 110.00                                                                                                        |                                                                                                                                                |                                | -15.00                                                                                                        |
| 4.                                                                                                                                                                                                                                                                     | ADR DASSAULT SYS S A SP                                   | 10/17/2012                            | 06/13/2013                                       | 512.00                                                 | 433.00                                                                                                        |                                                                                                                                                |                                | 79.00                                                                                                         |
| 33.                                                                                                                                                                                                                                                                    | ADR DEUTSCHE BOERSE AD                                    | 10/17/2012                            | 06/17/2013                                       | 208.00                                                 | 182.00                                                                                                        |                                                                                                                                                |                                | 26.00                                                                                                         |
| 751.                                                                                                                                                                                                                                                                   | DEUTSCHE TELEKOM AG S                                     | 11/09/2012                            | 02/20/2013                                       | 8,099.00                                               | 8,532.00                                                                                                      |                                                                                                                                                |                                | -433.00                                                                                                       |
| 300.                                                                                                                                                                                                                                                                   | WALT DISNEY CO                                            | 08/31/2012                            | 03/15/2013                                       | 17,238.00                                              | 14,918.00                                                                                                     |                                                                                                                                                |                                | 2,320.00                                                                                                      |
| 1.                                                                                                                                                                                                                                                                     | EASTMAN CHEM CO                                           | 10/17/2012                            | 03/07/2013                                       | 72.00                                                  | 58.00                                                                                                         |                                                                                                                                                |                                | 14.00                                                                                                         |
| 3.                                                                                                                                                                                                                                                                     | EATON VANCE CORP                                          | 10/17/2012                            | 03/07/2013                                       | 117.00                                                 | 88.00                                                                                                         |                                                                                                                                                |                                | 29.00                                                                                                         |
| 40.                                                                                                                                                                                                                                                                    | ADR ECOPETROL S A SPON                                    | 10/17/2012                            | 03/19/2013                                       | 2,161.00                                               | 2,531.00                                                                                                      |                                                                                                                                                |                                | -370.00                                                                                                       |
| 103.                                                                                                                                                                                                                                                                   | ADR ECOPETROL S A SPO                                     | 11/09/2012                            | 03/20/2013                                       | 5,559.00                                               | 6,105.00                                                                                                      |                                                                                                                                                |                                | -546.00                                                                                                       |
| 36.                                                                                                                                                                                                                                                                    | ERICSSON L M TEL CO AD                                    | 10/17/2012                            | 06/17/2013                                       | 429.00                                                 | 325.00                                                                                                        |                                                                                                                                                |                                | 104.00                                                                                                        |
| 196.                                                                                                                                                                                                                                                                   | EXPERIAN PLC                                              | 10/17/2012                            | 03/21/2013                                       | 3,355.00                                               | 3,406.00                                                                                                      |                                                                                                                                                |                                | -51.00                                                                                                        |
| 20.                                                                                                                                                                                                                                                                    | EXPERIAN PLC                                              | 10/17/2012                            | 06/17/2013                                       | 367.00                                                 | 348.00                                                                                                        |                                                                                                                                                |                                | 19.00                                                                                                         |
| 2.                                                                                                                                                                                                                                                                     | EXPRESS SCRIPTS HLDG CO                                   | 10/17/2012                            | 03/07/2013                                       | 118.00                                                 | 129.00                                                                                                        |                                                                                                                                                |                                | -11.00                                                                                                        |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                           |                                       |                                                  |                                                        |                                                                                                               |                                                                                                                                                |                                |                                                                                                               |

**Note** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
3X2615 2 000

Form **8949**Department of the Treasury  
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**

Name(s) shown on return

THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

43-6029569

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- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                      | (a)<br>Description of property<br>(Example 100 sh XYZ Co.) | (b)<br>Date acquired<br>(Mo., day, yr.) | (c)<br>Date sold or<br>disposed<br>(Mo., day, yr.) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions |                                | (h)<br>Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                        |                                                            |                                         |                                                    |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                           | (g)<br>Amount of<br>adjustment |                                                                                                              |
| 11.                                                                                                                                                                                                                                                                    | FANUC CORPORATION                                          | 10/17/2012                              | 06/13/2013                                         | 281.00                                                 | 295.00                                                                                                        |                                                                                                                                               |                                | -14.00                                                                                                       |
| 106.                                                                                                                                                                                                                                                                   | FRESENIUS MED CARE AG<br>EACH REPR 1/3 NPV                 | 11/09/2012                              | 02/07/2013                                         | 3,665.00                                               | 3,724.00                                                                                                      |                                                                                                                                               |                                | -59.00                                                                                                       |
| 2.                                                                                                                                                                                                                                                                     | FRESENIUS MED CARE AG &<br>EACH REPR 1/3 NPV               | 10/17/2012                              | 06/13/2013                                         | 70.00                                                  | 76.00                                                                                                         |                                                                                                                                               |                                | -6.00                                                                                                        |
| 113.                                                                                                                                                                                                                                                                   | FRESENIUS MED CARE AG<br>EACH REPR 1/3 NPV                 | 11/09/2012                              | 06/14/2013                                         | 3,955.00                                               | 3,779.00                                                                                                      |                                                                                                                                               |                                | 176.00                                                                                                       |
| 75.                                                                                                                                                                                                                                                                    | FRESENIUS MED CARE AG<br>EACH REPR 1/3 NPV                 | 10/17/2012                              | 10/09/2013                                         | 2,379.00                                               | 2,855.00                                                                                                      |                                                                                                                                               |                                | -476.00                                                                                                      |
| 152.                                                                                                                                                                                                                                                                   | FRESENIUS MED CARE AG<br>EACH REPR 1/3 NPV                 | 10/17/2012                              | 10/10/2013                                         | 4,810.00                                               | 5,787.00                                                                                                      |                                                                                                                                               |                                | -977.00                                                                                                      |
| 2.                                                                                                                                                                                                                                                                     | GATX CORP                                                  | 10/17/2012                              | 03/07/2013                                         | 102.00                                                 | 90.00                                                                                                         |                                                                                                                                               |                                | 12.00                                                                                                        |
| 12.                                                                                                                                                                                                                                                                    | GAZPROM O A O SPONSORE                                     | 10/17/2012                              | 06/13/2013                                         | 83.00                                                  | 122.00                                                                                                        |                                                                                                                                               |                                | -39.00                                                                                                       |
| 1.                                                                                                                                                                                                                                                                     | GENERAL DYNAMICS CORP                                      | 10/17/2012                              | 03/07/2013                                         | 68.00                                                  | 68.00                                                                                                         |                                                                                                                                               |                                |                                                                                                              |
| 13.                                                                                                                                                                                                                                                                    | ADR GIVAUDAN SA ADR                                        | 10/17/2012                              | 06/17/2013                                         | 334.00                                                 | 269.00                                                                                                        |                                                                                                                                               |                                | 65.00                                                                                                        |
| 2.                                                                                                                                                                                                                                                                     | GLOBAL PMTS INC                                            | 10/17/2012                              | 03/07/2013                                         | 95.00                                                  | 88.00                                                                                                         |                                                                                                                                               |                                | 7.00                                                                                                         |
| 100.                                                                                                                                                                                                                                                                   | GOOGLE INC CL A                                            | 08/31/2012                              | 03/15/2013                                         | 81,781.00                                              | 68,366.00                                                                                                     |                                                                                                                                               |                                | 13,415.00                                                                                                    |
| 6.                                                                                                                                                                                                                                                                     | ADR GRUPO FINANCIERO BA<br>DE C                            | 04/01/2013                              | 06/17/2013                                         | 182.00                                                 | 239.00                                                                                                        |                                                                                                                                               |                                | -57.00                                                                                                       |
| 174                                                                                                                                                                                                                                                                    | ADR HSBC HLDGS PLC SP<br>NEW                               | 11/09/2012                              | 06/06/2013                                         | 9,506.00                                               | 8,503.00                                                                                                      |                                                                                                                                               |                                | 1,003.00                                                                                                     |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                              |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions

Form **8949** (2013)JSA  
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02-08303

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**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

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Name(s) shown on return

THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

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- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                     | (a)<br>Description of property<br>(Example 100 sh XYZ Co.) | (b)<br>Date acquired<br>(Mo., day, yr.) | (c)<br>Date sold or<br>disposed<br>(Mo., day, yr.) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions |                                | (h)<br>Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                       |                                                            |                                         |                                                    |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                           | (g)<br>Amount of<br>adjustment |                                                                                                              |
| 3.                                                                                                                                                                                                                                                                    | HARSCO CORPORATION                                         | 10/17/2012                              | 03/07/2013                                         | 72.00                                                  | 63.00                                                                                                         |                                                                                                                                               |                                | 9.00                                                                                                         |
| 182.                                                                                                                                                                                                                                                                  | HARSCO CORPORATION                                         | 11/09/2012                              | 09/17/2013                                         | 4,799.00                                               | 3,696.00                                                                                                      |                                                                                                                                               |                                | 1,103.00                                                                                                     |
| 4.                                                                                                                                                                                                                                                                    | HELIX ENERGY SOLUTIONS<br>COM STK                          | 10/17/2012                              | 03/07/2013                                         | 93.00                                                  | 78.00                                                                                                         |                                                                                                                                               |                                | 15.00                                                                                                        |
| 65.                                                                                                                                                                                                                                                                   | HELIX ENERGY SOLUTIONS<br>COM STK                          | 10/17/2012                              | 09/19/2013                                         | 1,747.00                                               | 1,262.00                                                                                                      |                                                                                                                                               |                                | 485.00                                                                                                       |
| 165.                                                                                                                                                                                                                                                                  | HELIX ENERGY SOLUTION<br>COM STK                           | 10/17/2012                              | 09/20/2013                                         | 4,321.00                                               | 3,203.00                                                                                                      |                                                                                                                                               |                                | 1,118.00                                                                                                     |
| 259.                                                                                                                                                                                                                                                                  | HELIX ENERGY SOLUTION<br>COM STK                           | 11/27/2012                              | 09/23/2013                                         | 6,683.00                                               | 4,443.00                                                                                                      |                                                                                                                                               |                                | 2,240.00                                                                                                     |
| 44.                                                                                                                                                                                                                                                                   | ADR ICAP PLC SPONSORED<br>2 ORD SH ADR                     | 10/17/2012                              | 06/17/2013                                         | 500.00                                                 | 474.00                                                                                                        |                                                                                                                                               |                                | 26.00                                                                                                        |
| 425.                                                                                                                                                                                                                                                                  | ADR ICAP PLC SPONSORE<br>2 ORD SH ADR                      | 10/17/2012                              | 06/26/2013                                         | 4,562.00                                               | 4,577.00                                                                                                      |                                                                                                                                               |                                | -15.00                                                                                                       |
| 6.                                                                                                                                                                                                                                                                    | ADR ICICI BK LTD                                           | 10/17/2012                              | 06/13/2013                                         | 243.00                                                 | 242.00                                                                                                        |                                                                                                                                               |                                | 1.00                                                                                                         |
| 3.                                                                                                                                                                                                                                                                    | IMPERIAL OIL LTD NEW                                       | 10/17/2012                              | 06/13/2013                                         | 116.00                                                 | 140.00                                                                                                        |                                                                                                                                               |                                | -24.00                                                                                                       |
| 117.                                                                                                                                                                                                                                                                  | ADR IND & COMM BK OF-<br>ADR IND & COMM BK OF-UN           | 12/24/2012                              | 10/22/2013                                         | 1,631.00                                               | 1,668.00                                                                                                      |                                                                                                                                               |                                | -37.00                                                                                                       |
| 73.                                                                                                                                                                                                                                                                   | ADR IND & COMM BK OF-U<br>ADR IND & COMM BK OF-UN          | 12/24/2012                              | 10/23/2013                                         | 1,012.00                                               | 1,039.00                                                                                                      |                                                                                                                                               |                                | -27.00                                                                                                       |
| 248.                                                                                                                                                                                                                                                                  | #REORG/INFORMA MAND E<br>PLC 2Q18AK1 EFF 07/01/2           | 10/17/2012                              | 03/21/2013                                         | 3,793.00                                               | 3,274.00                                                                                                      |                                                                                                                                               |                                | 519.00                                                                                                       |
| 506.                                                                                                                                                                                                                                                                  | #REORG/INFORMA MAND E<br>PLC 2Q18AK1 EFF 07/01/2           | 11/09/2012                              | 04/30/2013                                         | 7,475.00                                               | 6,459.00                                                                                                      |                                                                                                                                               |                                | 1,016.00                                                                                                     |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked). |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                              |

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OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**

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THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

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- ☒ (C) Short-term transactions **not** reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                      | (a)<br>Description of property<br>(Example 100 sh XYZ Co ) | (b)<br>Date acquired<br>(Mo , day, yr ) | (c)<br>Date sold or<br>disposed<br>(Mo , day, yr ) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                        |                                                            |                                         |                                                    |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                           | (g)<br>Amount of<br>adjustment |                                                                                                               |
| 500.                                                                                                                                                                                                                                                                   | INTEL CORP COM                                             | 08/31/2012                              | 03/15/2013                                         | 10,775.00                                              | 12,392.00                                                                                                     |                                                                                                                                               |                                | -1,617.00                                                                                                     |
| 2.                                                                                                                                                                                                                                                                     | INTEGRYS ENERGY GROUP I                                    | 10/17/2012                              | 03/07/2013                                         | 112.00                                                 | 111.00                                                                                                        |                                                                                                                                               |                                | 1.00                                                                                                          |
| 6.                                                                                                                                                                                                                                                                     | INTERNATIONAL GAME TECH                                    | 11/09/2012                              | 03/07/2013                                         | 101.00                                                 | 81.00                                                                                                         |                                                                                                                                               |                                | 20.00                                                                                                         |
| 5.                                                                                                                                                                                                                                                                     | INTERNATIONAL RECTIFIER                                    | 10/17/2012                              | 03/07/2013                                         | 105.00                                                 | 80.00                                                                                                         |                                                                                                                                               |                                | 25.00                                                                                                         |
| 32.                                                                                                                                                                                                                                                                    | INTERNATIONAL RECTIFIE                                     | 10/17/2012                              | 07/01/2013                                         | 684.00                                                 | 515.00                                                                                                        |                                                                                                                                               |                                | 169.00                                                                                                        |
| 12.                                                                                                                                                                                                                                                                    | INTERNATIONAL RECTIFIE                                     | 10/17/2012                              | 07/01/2013                                         | 256.00                                                 | 193.00                                                                                                        |                                                                                                                                               |                                | 63.00                                                                                                         |
| 56.                                                                                                                                                                                                                                                                    | INTERNATIONAL RECTIFIE                                     | 10/17/2012                              | 07/02/2013                                         | 1,195.00                                               | 900.00                                                                                                        |                                                                                                                                               |                                | 295.00                                                                                                        |
| 86                                                                                                                                                                                                                                                                     | INTERNATIONAL RECTIFIE                                     | 11/09/2012                              | 07/03/2013                                         | 1,836.00                                               | 1,370.00                                                                                                      |                                                                                                                                               |                                | 466.00                                                                                                        |
| 63.                                                                                                                                                                                                                                                                    | INTERNATIONAL RECTIFIE                                     | 11/09/2012                              | 07/05/2013                                         | 1,356.00                                               | 991.00                                                                                                        |                                                                                                                                               |                                | 365.00                                                                                                        |
| 2.                                                                                                                                                                                                                                                                     | INTUIT                                                     | 10/17/2012                              | 03/07/2013                                         | 136.00                                                 | 122.00                                                                                                        |                                                                                                                                               |                                | 14.00                                                                                                         |
| .3                                                                                                                                                                                                                                                                     | ADR ITAU UNIBANCO HLDG<br>ADR REPSTG 500 PFD PFD           | 12/13/2012                              | 05/21/2013                                         | 5.00                                                   | 4.00                                                                                                          |                                                                                                                                               |                                | 1.00                                                                                                          |
| 16.                                                                                                                                                                                                                                                                    | ADR ITAU UNIBANCO HLDG<br>SPONSORED ADR REPSTG 50          | 12/13/2012                              | 06/13/2013                                         | 224.00                                                 | 231.00                                                                                                        |                                                                                                                                               |                                | -7.00                                                                                                         |
| 3.                                                                                                                                                                                                                                                                     | ADR JGC CORP                                               | 10/17/2012                              | 06/13/2013                                         | 203.00                                                 | 210.00                                                                                                        |                                                                                                                                               |                                | -7.00                                                                                                         |
| 343.                                                                                                                                                                                                                                                                   | JEFFERIES GROUP INC N                                      | 11/09/2012                              | 02/01/2013                                         | 6,832.00                                               | 5,098.00                                                                                                      |                                                                                                                                               |                                | 1,734.00                                                                                                      |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |

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Form **8949** (2013)JSA  
3X2615 2 000

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**Department of the Treasury  
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Name(s) shown on return

THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

43-6029569

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**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

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- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                     | (a)<br>Description of property<br>(Example. 100 sh XYZ Co ) | (b)<br>Date acquired<br>(Mo , day, yr ) | (c)<br>Date sold or<br>disposed<br>(Mo , day, yr ) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f)<br>See the separate instructions. |                                | (h)<br>Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                       |                                                             |                                         |                                                    |                                                        |                                                                                                              | (f)<br>Code(s) from<br>instructions                                                                                                           | (g)<br>Amount of<br>adjustment |                                                                                                              |
|                                                                                                                                                                                                                                                                       | 1. JOY GLOBAL INC                                           | 10/17/2012                              | 03/07/2013                                         | 62.00                                                  | 62.00                                                                                                        |                                                                                                                                               |                                |                                                                                                              |
|                                                                                                                                                                                                                                                                       | 1016. #REORG/JUPITER TELEC<br>11-12-2013                    | 11/09/2012                              | 04/02/2013                                         | 13,236.00                                              | 13,380.00                                                                                                    |                                                                                                                                               |                                | -144.00                                                                                                      |
|                                                                                                                                                                                                                                                                       | 869. #REORG/JUPITER TELECO<br>11-12-2013                    | 10/17/2012                              | 04/03/2013                                         | 11,344.00                                              | 9,098.00                                                                                                     |                                                                                                                                               |                                | 2,246.00                                                                                                     |
|                                                                                                                                                                                                                                                                       | 10. KEYCORP NEW COM                                         | 10/17/2012                              | 03/07/2013                                         | 99.00                                                  | 85.00                                                                                                        |                                                                                                                                               |                                | 14.00                                                                                                        |
|                                                                                                                                                                                                                                                                       | 143. ADR LI & FUNG LTD ADR                                  | 12/14/2012                              | 02/05/2013                                         | 376.00                                                 | 498.00                                                                                                       |                                                                                                                                               |                                | -122.00                                                                                                      |
|                                                                                                                                                                                                                                                                       | 2041. ADR LI & FUNG LTD AD                                  | 12/17/2012                              | 02/06/2013                                         | 5,335.00                                               | 7,073.00                                                                                                     |                                                                                                                                               |                                | -1,738.00                                                                                                    |
|                                                                                                                                                                                                                                                                       | 517 ADR LI & FUNG LTD ADR                                   | 11/09/2012                              | 02/12/2013                                         | 1,257.00                                               | 1,660.00                                                                                                     |                                                                                                                                               |                                | -403.00                                                                                                      |
|                                                                                                                                                                                                                                                                       | 357. ADR LI & FUNG LTD ADR                                  | 11/09/2012                              | 02/13/2013                                         | 874.00                                                 | 1,146.00                                                                                                     |                                                                                                                                               |                                | -272.00                                                                                                      |
|                                                                                                                                                                                                                                                                       | 5247. ADR LI & FUNG LTD AD                                  | 11/09/2012                              | 02/14/2013                                         | 13,457.00                                              | 16,706.00                                                                                                    |                                                                                                                                               |                                | -3,249.00                                                                                                    |
|                                                                                                                                                                                                                                                                       | 9. ADR L OREAL CO ADR                                       | 10/17/2012                              | 06/13/2013                                         | 299.00                                                 | 241.00                                                                                                       |                                                                                                                                               |                                | 58.00                                                                                                        |
|                                                                                                                                                                                                                                                                       | 135. ADR L OREAL CO ADR                                     | 10/17/2012                              | 10/11/2013                                         | 4,578.00                                               | 3,602.00                                                                                                     |                                                                                                                                               |                                | 976.00                                                                                                       |
|                                                                                                                                                                                                                                                                       | 4. ADR LVMH MOET HENNESSY<br>VUITTON ADR                    | 10/17/2012                              | 06/13/2013                                         | 135.00                                                 | 135.00                                                                                                       |                                                                                                                                               |                                |                                                                                                              |
|                                                                                                                                                                                                                                                                       | 24. ADR LONZA GROUP AG ADR                                  | 02/12/2013                              | 06/13/2013                                         | 180.00                                                 | 154.00                                                                                                       |                                                                                                                                               |                                | 26.00                                                                                                        |
|                                                                                                                                                                                                                                                                       | 1125. ADR LONZA GROUP AG A                                  | 02/12/2013                              | 09/17/2013                                         | 9,088.00                                               | 6,343.00                                                                                                     |                                                                                                                                               |                                | 2,745.00                                                                                                     |
| <b>2 Totals</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                             |                                         |                                                    |                                                        |                                                                                                              |                                                                                                                                               |                                |                                                                                                              |

**Note** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**

Form **8949** (2013)JSA  
3X2615 2 000

**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

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Name(s) shown on return

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- ☒ (C) Short-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                     | (a)<br>Description of property<br>(Example 100 sh XYZ Co ) | (b)<br>Date acquired<br>(Mo , day, yr ) | (c)<br>Date sold or<br>disposed<br>(Mo , day, yr ) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions. |                                | (h)<br>Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                       |                                                            |                                         |                                                    |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                            | (g)<br>Amount of<br>adjustment |                                                                                                              |
| 219.                                                                                                                                                                                                                                                                  | ADR LONZA GROUP AG AD                                      | 11/09/2012                              | 10/02/2013                                         | 1,770.00                                               | 1,103.00                                                                                                      |                                                                                                                                                |                                | 667.00                                                                                                       |
| 584.                                                                                                                                                                                                                                                                  | ADR LONZA GROUP AG AD                                      | 11/09/2012                              | 10/03/2013                                         | 4,718.00                                               | 2,797.00                                                                                                      |                                                                                                                                                |                                | 1,921.00                                                                                                     |
| 210.                                                                                                                                                                                                                                                                  | ADR MINDRAY MED INTL<br>SPONSORED ADR REPSTG CL            | 03/11/2013                              | 11/19/2013                                         | 8,122.00                                               | 7,939.00                                                                                                      |                                                                                                                                                |                                | 183.00                                                                                                       |
| 5.                                                                                                                                                                                                                                                                    | ADR MTN GROUP LTD SPONS                                    | 11/09/2012                              | 06/13/2013                                         | 89.00                                                  | 98.00                                                                                                         |                                                                                                                                                |                                | -9.00                                                                                                        |
| 1.                                                                                                                                                                                                                                                                    | MURPHY OIL CORP                                            | 10/17/2012                              | 03/07/2013                                         | 62.00                                                  | 63.00                                                                                                         |                                                                                                                                                |                                | -1.00                                                                                                        |
| .5                                                                                                                                                                                                                                                                    | MURPHY USA INC COM                                         | 10/17/2012                              | 09/03/2013                                         | 19.00                                                  | 17.00                                                                                                         |                                                                                                                                                |                                | 2.00                                                                                                         |
| 6.                                                                                                                                                                                                                                                                    | NESTLE S A SPONSORED AD<br>SH                              | 10/17/2012                              | 06/13/2013                                         | 403.00                                                 | 407.00                                                                                                        |                                                                                                                                                |                                | -4.00                                                                                                        |
| 11.                                                                                                                                                                                                                                                                   | NESTLE S A SPONSORED A<br>REG SH                           | 10/17/2012                              | 06/17/2013                                         | 742.00                                                 | 745.00                                                                                                        |                                                                                                                                                |                                | -3.00                                                                                                        |
| 166.                                                                                                                                                                                                                                                                  | NESTLE S A SPONSORED<br>REG SH                             | 11/09/2012                              | 09/19/2013                                         | 11,595.00                                              | 10,889.00                                                                                                     |                                                                                                                                                |                                | 706.00                                                                                                       |
| 4.                                                                                                                                                                                                                                                                    | NEWFIELD EXPL CO COM                                       | 10/17/2012                              | 03/07/2013                                         | 93.00                                                  | 138.00                                                                                                        |                                                                                                                                                |                                | -45.00                                                                                                       |
| 8.                                                                                                                                                                                                                                                                    | ADR NOKIAN TYRES OYJ AD                                    | 11/09/2012                              | 06/13/2013                                         | 166.00                                                 | 159.00                                                                                                        |                                                                                                                                                |                                | 7.00                                                                                                         |
| 16.                                                                                                                                                                                                                                                                   | ADR NORDEA BK AB SWEDE                                     | 10/17/2012                              | 06/17/2013                                         | 194.00                                                 | 156.00                                                                                                        |                                                                                                                                                |                                | 38.00                                                                                                        |
| 55.                                                                                                                                                                                                                                                                   | NOVO NORDISK A S ADR                                       | 11/09/2012                              | 03/22/2013                                         | 8,870.00                                               | 8,930.00                                                                                                      |                                                                                                                                                |                                | -60.00                                                                                                       |
| 2.                                                                                                                                                                                                                                                                    | ONEOK INC NEW                                              | 10/17/2012                              | 03/07/2013                                         | 90.00                                                  | 98.00                                                                                                         |                                                                                                                                                |                                | -8.00                                                                                                        |
| <b>2 Totals</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                                |                                |                                                                                                              |

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Form **8949** (2013)

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

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**2013**Attachment  
Sequence No **12A**

Name(s) shown on return

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Social security number or taxpayer identification number

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| 1                                                                                                                                                                                                                                                                      | (a)<br>Description of property<br>(Example 100 sh XYZ Co) | (b)<br>Date acquired<br>(Mo, day, yr) | (c)<br>Date sold or<br>disposed<br>(Mo, day, yr) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions. |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------|--------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                        |                                                           |                                       |                                                  |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                            | (g)<br>Amount of<br>adjustment |                                                                                                               |
|                                                                                                                                                                                                                                                                        | 199. ONEOK INC NEW                                        | 11/09/2012                            | 08/26/2013                                       | 10,364.00                                              | 9,420.00                                                                                                      |                                                                                                                                                |                                | 944.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 18. ADR PARTNER COMMUNICAT<br>ADR ISIN US70211M1099       | 10/17/2012                            | 06/17/2013                                       | 122.00                                                 | 109.00                                                                                                        |                                                                                                                                                |                                | 13.00                                                                                                         |
|                                                                                                                                                                                                                                                                        | 5. ADR PARTNER COMMUNICATI<br>ADR ISIN US70211M1099       | 10/17/2012                            | 06/18/2013                                       | 33.00                                                  | 30.00                                                                                                         |                                                                                                                                                |                                | 3.00                                                                                                          |
|                                                                                                                                                                                                                                                                        | 1196. ADR PETROFAC LTD ADS                                | 03/15/2013                            | 07/11/2013                                       | 11,805.00                                              | 14,873.00                                                                                                     |                                                                                                                                                |                                | -3,068.00                                                                                                     |
|                                                                                                                                                                                                                                                                        | 6. ADR PETROLEO BRASILEIRO<br>PETROBRAS SPONSORED ADR     | 10/17/2012                            | 06/13/2013                                       | 97.00                                                  | 139.00                                                                                                        |                                                                                                                                                |                                | -42.00                                                                                                        |
|                                                                                                                                                                                                                                                                        | 5. POTASH CORP. OF SASKATC                                | 10/17/2012                            | 06/13/2013                                       | 202.00                                                 | 210.00                                                                                                        |                                                                                                                                                |                                | -8.00                                                                                                         |
|                                                                                                                                                                                                                                                                        | 349. POTASH CORP. OF SASKA                                | 12/13/2012                            | 09/23/2013                                       | 11,231.00                                              | 14,213.00                                                                                                     |                                                                                                                                                |                                | -2,982.00                                                                                                     |
|                                                                                                                                                                                                                                                                        | 4. PROGRESSIVE CORP OHIO                                  | 10/17/2012                            | 03/07/2013                                       | 99.00                                                  | 92.00                                                                                                         |                                                                                                                                                |                                | 7.00                                                                                                          |
|                                                                                                                                                                                                                                                                        | 23. ADR PUBLICIS S A NEW S                                | 05/31/2013                            | 06/17/2013                                       | 418.00                                                 | 417.00                                                                                                        |                                                                                                                                                |                                | 1.00                                                                                                          |
|                                                                                                                                                                                                                                                                        | 15. ADR PUBLICIS S A NEW S                                | 05/31/2013                            | 07/30/2013                                       | 282.00                                                 | 272.00                                                                                                        |                                                                                                                                                |                                | 10.00                                                                                                         |
|                                                                                                                                                                                                                                                                        | 93. ADR PUBLICIS S A NEW S                                | 05/31/2013                            | 07/31/2013                                       | 1,744.00                                               | 1,688.00                                                                                                      |                                                                                                                                                |                                | 56.00                                                                                                         |
|                                                                                                                                                                                                                                                                        | 3. RAYMOND JAMES FINANCIAL                                | 10/17/2012                            | 03/07/2013                                       | 138.00                                                 | 115.00                                                                                                        |                                                                                                                                                |                                | 23.00                                                                                                         |
|                                                                                                                                                                                                                                                                        | 2 REINSURANCE GROUP AMER<br>STK                           | 10/17/2012                            | 03/07/2013                                       | 118.00                                                 | 120.00                                                                                                        |                                                                                                                                                |                                | -2.00                                                                                                         |
|                                                                                                                                                                                                                                                                        | 3. REPUBLIC SVCS INC                                      | 10/17/2012                            | 03/07/2013                                       | 93.00                                                  | 85.00                                                                                                         |                                                                                                                                                |                                | 8.00                                                                                                          |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                           |                                       |                                                  |                                                        |                                                                                                               |                                                                                                                                                |                                |                                                                                                               |

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JSA  
3X2615 2 000Form **8949** (2013)

Form **8949**Department of the Treasury  
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

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OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**

Name(s) shown on return

THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

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| 1                                                                                                                                                                                                                                                                    | (a)<br>Description of property<br>(Example 100 sh XYZ Co) | (b)<br>Date acquired<br>(Mo, day, yr) | (c)<br>Date sold or<br>disposed<br>(Mo, day, yr) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss<br>If you enter an amount in column (g),<br>enter a code in column (f)<br>See the separate instructions |                                | (h)<br>Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------|--------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                      |                                                           |                                       |                                                  |                                                        |                                                                                                              | (f)<br>Code(s) from<br>instructions                                                                                                         | (g)<br>Amount of<br>adjustment |                                                                                                              |
|                                                                                                                                                                                                                                                                      | 130. RIO TINTO PLC SPONSOR                                | 12/18/2012                            | 06/04/2013                                       | 5,711.00                                               | 7,559.00                                                                                                     |                                                                                                                                             |                                | -1,848.00                                                                                                    |
|                                                                                                                                                                                                                                                                      | 6. ADR ROCHE HLDG LTD SPON<br>ISIN #US771195104           | 10/17/2012                            | 06/13/2013                                       | 372.00                                                 | 300.00                                                                                                       |                                                                                                                                             |                                | 72.00                                                                                                        |
|                                                                                                                                                                                                                                                                      | 17. ADR ROCHE HLDG LTD SPO<br>ISIN #US771195104           | 10/17/2012                            | 06/17/2013                                       | 1,056.00                                               | 852.00                                                                                                       |                                                                                                                                             |                                | 204.00                                                                                                       |
|                                                                                                                                                                                                                                                                      | 7. ADR SABMILLER PLC SPONS                                | 02/07/2013                            | 06/17/2013                                       | 352.00                                                 | 349.00                                                                                                       |                                                                                                                                             |                                | 3.00                                                                                                         |
|                                                                                                                                                                                                                                                                      | 6. SAP AKTIENGESELLSCHAFT<br>ADR                          | 10/17/2012                            | 06/13/2013                                       | 458.00                                                 | 430.00                                                                                                       |                                                                                                                                             |                                | 28.00                                                                                                        |
|                                                                                                                                                                                                                                                                      | 4. SAP AKTIENGESELLSCHAFT<br>ADR                          | 10/17/2012                            | 06/17/2013                                       | 308.00                                                 | 287.00                                                                                                       |                                                                                                                                             |                                | 21.00                                                                                                        |
|                                                                                                                                                                                                                                                                      | 2. ADR SASOL LTD SPONSORED                                | 10/17/2012                            | 06/13/2013                                       | 86.00                                                  | 89.00                                                                                                        |                                                                                                                                             |                                | -3.00                                                                                                        |
|                                                                                                                                                                                                                                                                      | 15. ADR SBERBANK RUSSIA SP                                | 10/17/2012                            | 06/17/2013                                       | 179.00                                                 | 183.00                                                                                                       |                                                                                                                                             |                                | -4.00                                                                                                        |
|                                                                                                                                                                                                                                                                      | 52. SCHLUMBERGER LTD ISIN<br>6                            | 10/17/2012                            | 02/05/2013                                       | 4,158.00                                               | 3,878.00                                                                                                     |                                                                                                                                             |                                | 280.00                                                                                                       |
|                                                                                                                                                                                                                                                                      | 55. SCHLUMBERGER LTD ISIN<br>6                            | 10/17/2012                            | 02/15/2013                                       | 4,399.00                                               | 4,101.00                                                                                                     |                                                                                                                                             |                                | 298.00                                                                                                       |
|                                                                                                                                                                                                                                                                      | 2. SCHLUMBERGER LTD ISIN #                                | 10/17/2012                            | 06/13/2013                                       | 144.00                                                 | 149.00                                                                                                       |                                                                                                                                             |                                | -5.00                                                                                                        |
|                                                                                                                                                                                                                                                                      | 17. ADR SCHNEIDER ELEC SA                                 | 10/17/2012                            | 06/13/2013                                       | 261.00                                                 | 222.00                                                                                                       |                                                                                                                                             |                                | 39.00                                                                                                        |
|                                                                                                                                                                                                                                                                      | 2. SCOTTS CO CL A                                         | 10/17/2012                            | 03/07/2013                                       | 92.00                                                  | 87.00                                                                                                        |                                                                                                                                             |                                | 5.00                                                                                                         |
|                                                                                                                                                                                                                                                                      | 5. SEALED AIR CORP NEW                                    | 11/19/2012                            | 03/07/2013                                       | 111.00                                                 | 84.00                                                                                                        |                                                                                                                                             |                                | 27.00                                                                                                        |
| <b>2 Totals</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                           |                                       |                                                  |                                                        |                                                                                                              |                                                                                                                                             |                                |                                                                                                              |

**Note** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
SX2615 2 000

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949).

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

43-6029569

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**Note.** You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box A, B, or C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                                           | (a)<br>Description of property<br>(Example: 100 sh XYZ Co.) | (b)<br>Date acquired<br>(Mo., day, yr.) | (c)<br>Date sold or<br>disposed<br>(Mo., day, yr.) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br><b>Note</b> below and<br>see <b>Column (e)</b><br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions. |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                                             |                                                             |                                         |                                                    |                                                        |                                                                                                                             | (f)<br>Code(s) from<br>instructions                                                                                                            | (g)<br>Amount of<br>adjustment |                                                                                                               |
| 11.                                                                                                                                                                                                                                                                                         | SGS SA HLDG SA ADR ADR                                      | 10/17/2012                              | 06/17/2013                                         | 254.00                                                 | 243.00                                                                                                                      |                                                                                                                                                |                                | 11.00                                                                                                         |
| 110.                                                                                                                                                                                                                                                                                        | SHINHAN FINANCIAL GRO<br>SPONSORED ADR                      | 10/17/2012                              | 04/30/2013                                         | 3,746.00                                               | 3,818.00                                                                                                                    |                                                                                                                                                |                                | -72.00                                                                                                        |
| 42.                                                                                                                                                                                                                                                                                         | SHINHAN FINANCIAL GROU<br>SPONSORED ADR                     | 11/09/2012                              | 05/01/2013                                         | 1,421.00                                               | 1,386.00                                                                                                                    |                                                                                                                                                |                                | 35.00                                                                                                         |
| 63.                                                                                                                                                                                                                                                                                         | SHINHAN FINANCIAL GROU<br>SPONSORED ADR                     | 11/09/2012                              | 05/02/2013                                         | 2,114.00                                               | 2,049.00                                                                                                                    |                                                                                                                                                |                                | 65.00                                                                                                         |
| 7.                                                                                                                                                                                                                                                                                          | SILVER WHEATON CORP COM                                     | 11/09/2012                              | 06/17/2013                                         | 163.00                                                 | 282.00                                                                                                                      |                                                                                                                                                |                                | -119.00                                                                                                       |
| 1.                                                                                                                                                                                                                                                                                          | SNAP ON INC                                                 | 11/09/2012                              | 03/07/2013                                         | 81.00                                                  | 77.00                                                                                                                       |                                                                                                                                                |                                | 4.00                                                                                                          |
| 141.                                                                                                                                                                                                                                                                                        | ADR SOCIEDAD QUIMICA<br>CHILE SA SPONSORED ADR              | 11/09/2012                              | 04/30/2013                                         | 6,963.00                                               | 8,267.00                                                                                                                    |                                                                                                                                                |                                | -1,304.00                                                                                                     |
| 5.                                                                                                                                                                                                                                                                                          | SODEXO                                                      | 12/13/2012                              | 06/17/2013                                         | 441.00                                                 | 421.00                                                                                                                      |                                                                                                                                                |                                | 20.00                                                                                                         |
| 129.                                                                                                                                                                                                                                                                                        | ADR SOFTBANK CORP ADR                                       | 11/09/2012                              | 03/11/2013                                         | 2,512.00                                               | 2,255.00                                                                                                                    |                                                                                                                                                |                                | 257.00                                                                                                        |
| 27.                                                                                                                                                                                                                                                                                         | ADR SOFTBANK CORP ADR                                       | 11/09/2012                              | 06/17/2013                                         | 742.00                                                 | 472.00                                                                                                                      |                                                                                                                                                |                                | 270.00                                                                                                        |
| 221.                                                                                                                                                                                                                                                                                        | ADR SONOVA HLDG AG UN                                       | 10/17/2012                              | 05/14/2013                                         | 4,745.00                                               | 4,696.00                                                                                                                    |                                                                                                                                                |                                | 49.00                                                                                                         |
| 2.                                                                                                                                                                                                                                                                                          | ADR SONOVA HLDG AG UNSP                                     | 10/17/2012                              | 06/13/2013                                         | 45.00                                                  | 43.00                                                                                                                       |                                                                                                                                                |                                | 2.00                                                                                                          |
| 17.                                                                                                                                                                                                                                                                                         | ADR SUBSEA 7 S A SPONS                                      | 10/17/2012                              | 06/17/2013                                         | 377.00                                                 | 391.00                                                                                                                      |                                                                                                                                                |                                | -14.00                                                                                                        |
| 400.                                                                                                                                                                                                                                                                                        | ADR SUBSEA 7 S A SPON                                       | 11/09/2012                              | 07/11/2013                                         | 7,303.00                                               | 8,954.00                                                                                                                    |                                                                                                                                                |                                | -1,651.00                                                                                                     |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if <b>Box A</b> above is checked), line 2 (if <b>Box B</b> above is checked), or line 3 (if <b>Box C</b> above is checked) ► |                                                             |                                         |                                                    |                                                        |                                                                                                                             |                                                                                                                                                |                                |                                                                                                               |

**Note.** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)JSA  
3X2615 2 000

Form **8949**Department of the Treasury  
Internal Revenue Service**Sales and Other Dispositions of Capital Assets**► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

**2013**Attachment  
Sequence No **12A**

Name(s) shown on return

THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

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- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (C) Short-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                      | (a)<br>Description of property<br>(Example 100 sh XYZ Co) | (b)<br>Date acquired<br>(Mo, day, yr) | (c)<br>Date sold or<br>disposed<br>(Mo, day, yr) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f)<br>See the separate instructions. |                                | (h)<br>Gain or (loss)<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------|--------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                        |                                                           |                                       |                                                  |                                                        |                                                                                                              | (f)<br>Code(s) from<br>instructions                                                                                                           | (g)<br>Amount of<br>adjustment |                                                                                                              |
| 11.                                                                                                                                                                                                                                                                    | ADR SVENSKA CELLULOZA<br>SCA SPONSORED ADR                | 11/09/2012                            | 06/17/2013                                       | 290.00                                                 | 216.00                                                                                                       |                                                                                                                                               |                                | 74.00                                                                                                        |
| 5.                                                                                                                                                                                                                                                                     | SVENSKA HANDELSBANKEN A                                   | 10/17/2012                            | 06/13/2013                                       | 108.00                                                 | 91.00                                                                                                        |                                                                                                                                               |                                | 17.00                                                                                                        |
| 195.                                                                                                                                                                                                                                                                   | ADR SWATCH GROUP AG A                                     | 11/09/2012                            | 03/11/2013                                       | 5,521.00                                               | 4,271.00                                                                                                     |                                                                                                                                               |                                | 1,250.00                                                                                                     |
| 4.                                                                                                                                                                                                                                                                     | ADR SWATCH GROUP AG ADR                                   | 11/09/2012                            | 06/13/2013                                       | 115.00                                                 | 88.00                                                                                                        |                                                                                                                                               |                                | 27.00                                                                                                        |
| 24.                                                                                                                                                                                                                                                                    | SYNOPSIS INC                                              | 01/09/2013                            | 10/25/2013                                       | 864.00                                                 | 756.00                                                                                                       |                                                                                                                                               |                                | 108.00                                                                                                       |
| 15.                                                                                                                                                                                                                                                                    | SYSMEX CORP ADR                                           | 12/06/2012                            | 06/13/2013                                       | 485.00                                                 | 356.00                                                                                                       |                                                                                                                                               |                                | 129.00                                                                                                       |
| 2.                                                                                                                                                                                                                                                                     | TJX COS INC NEW                                           | 10/17/2012                            | 03/07/2013                                       | 89.00                                                  | 86.00                                                                                                        |                                                                                                                                               |                                | 3.00                                                                                                         |
| 15.                                                                                                                                                                                                                                                                    | TAIWAN SEMICONDUCTOR M                                    | 12/06/2012                            | 06/13/2013                                       | 273.00                                                 | 256.00                                                                                                       |                                                                                                                                               |                                | 17.00                                                                                                        |
| 274.                                                                                                                                                                                                                                                                   | TESCO PLC SPONSORED A                                     | 10/17/2012                            | 03/13/2013                                       | 4,585.00                                               | 4,231.00                                                                                                     |                                                                                                                                               |                                | 354.00                                                                                                       |
| 8.                                                                                                                                                                                                                                                                     | TESCO PLC SPONSORED ADR                                   | 11/09/2012                            | 06/13/2013                                       | 129.00                                                 | 124.00                                                                                                       |                                                                                                                                               |                                | 5 00                                                                                                         |
| 26.                                                                                                                                                                                                                                                                    | ADR TULLOW OIL PLC ADR                                    | 10/17/2012                            | 06/17/2013                                       | 209.00                                                 | 308.00                                                                                                       |                                                                                                                                               |                                | -99 00                                                                                                       |
| 48.                                                                                                                                                                                                                                                                    | ADR TURKIYE GARANTI BA<br>SPONSORED ADR REPSTG 20         | 11/09/2012                            | 06/13/2013                                       | 218.00                                                 | 251.00                                                                                                       |                                                                                                                                               |                                | -33 00                                                                                                       |
| 55.                                                                                                                                                                                                                                                                    | ADR TURKIYE GARANTI BA<br>SPONSORED ADR REPSTG 20         | 04/12/2013                            | 06/17/2013                                       | 252.00                                                 | 300.00                                                                                                       |                                                                                                                                               |                                | -48.00                                                                                                       |
| 2.                                                                                                                                                                                                                                                                     | URS CORP NEW                                              | 10/17/2012                            | 03/07/2013                                       | 87.00                                                  | 71.00                                                                                                        |                                                                                                                                               |                                | 16.00                                                                                                        |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                           |                                       |                                                  |                                                        |                                                                                                              |                                                                                                                                               |                                |                                                                                                              |

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Form **8949** (2013)JSA  
3X2615 2 000

**Sales and Other Dispositions of Capital Assets**

OMB No 1545-0074

Department of the Treasury  
Internal Revenue Service► Information about Form 8949 and its separate instructions is at [www.irs.gov/form8949](http://www.irs.gov/form8949)

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**2013**Attachment  
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☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (C) Short-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                      | (a)<br>Description of property<br>(Example 100 sh XYZ Co) | (b)<br>Date acquired<br>(Mo, day, yr) | (c)<br>Date sold or<br>disposed<br>(Mo, day, yr) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f)<br>See the separate instructions |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------|---------------------------------------|--------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                        |                                                           |                                       |                                                  |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                          | (g)<br>Amount of<br>adjustment |                                                                                                               |
| 23.                                                                                                                                                                                                                                                                    | ADR UNICHARM CORP SPON                                    | 10/17/2012                            | 06/13/2013                                       | 276.00                                                 | 258.00                                                                                                        |                                                                                                                                              |                                | 18.00                                                                                                         |
| 3.                                                                                                                                                                                                                                                                     | ADR UNILEVER PLC SPONSO                                   | 10/17/2012                            | 06/13/2013                                       | 124.00                                                 | 114.00                                                                                                        |                                                                                                                                              |                                | 10.00                                                                                                         |
| 7.                                                                                                                                                                                                                                                                     | UNILEVER N V                                              | 10/17/2012                            | 06/17/2013                                       | 284.00                                                 | 262.00                                                                                                        |                                                                                                                                              |                                | 22.00                                                                                                         |
| 13.                                                                                                                                                                                                                                                                    | ADR UNITED OVERSEAS BK<br>SPONSORED ADRISIN #US91         | 10/17/2012                            | 04/19/2013                                       | 437.00                                                 | 407.00                                                                                                        |                                                                                                                                              |                                | 30.00                                                                                                         |
| 65.                                                                                                                                                                                                                                                                    | ADR UNITED OVERSEAS BK<br>SPONSORED ADRISIN #US91         | 10/17/2012                            | 04/22/2013                                       | 2,166.00                                               | 2,033.00                                                                                                      |                                                                                                                                              |                                | 133.00                                                                                                        |
| 2.                                                                                                                                                                                                                                                                     | VALSPAR CORP                                              | 10/17/2012                            | 03/07/2013                                       | 124.00                                                 | 118.00                                                                                                        |                                                                                                                                              |                                | 6.00                                                                                                          |
| 349.                                                                                                                                                                                                                                                                   | VODAFONE GROUP PLC SP                                     | 11/09/2012                            | 02/15/2013                                       | 9,079.00                                               | 9,611.00                                                                                                      |                                                                                                                                              |                                | -532.00                                                                                                       |
| 4.                                                                                                                                                                                                                                                                     | ADR WPP PLC ADR DR EACH                                   | 10/17/2012                            | 06/13/2013                                       | 354.00                                                 | 275.00                                                                                                        |                                                                                                                                              |                                | 79.00                                                                                                         |
| 2.                                                                                                                                                                                                                                                                     | WHITING PETROLEUM CORP                                    | 10/17/2012                            | 03/07/2013                                       | 101.00                                                 | 97.00                                                                                                         |                                                                                                                                              |                                | 4.00                                                                                                          |
| 2.                                                                                                                                                                                                                                                                     | XILINX INC                                                | 10/17/2012                            | 03/07/2013                                       | 76.00                                                  | 68.00                                                                                                         |                                                                                                                                              |                                | 8.00                                                                                                          |
| 7.                                                                                                                                                                                                                                                                     | ADR XINYI GLASS HLDGS L<br>REPSTG 20 SHS                  | 11/09/2012                            | 06/13/2013                                       | 115.00                                                 | 82.00                                                                                                         |                                                                                                                                              |                                | 33.00                                                                                                         |
| 230.                                                                                                                                                                                                                                                                   | ADR XINYI GLASS HLDGS<br>REPSTG 20 SHS                    | 11/09/2012                            | 09/24/2013                                       | 4,133.00                                               | 2,675.00                                                                                                      |                                                                                                                                              |                                | 1,458.00                                                                                                      |
| 31.                                                                                                                                                                                                                                                                    | ACCENTURE PLC SHS CL A                                    | 10/17/2012                            | 06/28/2013                                       | 2,158.00                                               | 2,169.00                                                                                                      |                                                                                                                                              |                                | -11.00                                                                                                        |
| 2.                                                                                                                                                                                                                                                                     | BUNGE LIMITED                                             | 02/15/2013                            | 06/13/2013                                       | 143.00                                                 | 150.00                                                                                                        |                                                                                                                                              |                                | -7.00                                                                                                         |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ► |                                                           |                                       |                                                  |                                                        |                                                                                                               |                                                                                                                                              |                                |                                                                                                               |

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Form **8949** (2013)JSA  
3X2615 2 000

## Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment  
Sequence No. **12A**

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- |                                     |                                                                                                                      |
|-------------------------------------|----------------------------------------------------------------------------------------------------------------------|
| <input type="checkbox"/>            | (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see <b>Note</b> above) |
| <input type="checkbox"/>            | (B) Short-term transactions reported on Form(s) 1099-B showing basis was <b>not</b> reported to the IRS              |
| <input checked="" type="checkbox"/> | (C) Short-term transactions not reported to you on Form 1099-B                                                       |

| 1                                                                                                                                                                                                                                                                    | (a)<br>Description of property<br>(Example 100 sh XYZ Co ) | (b)<br>Date acquired<br>(Mo , day, yr ) | (c)<br>Date sold or<br>disposed<br>(Mo , day, yr ) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f)<br>See the separate instructions. |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                      |                                                            |                                         |                                                    |                                                        |                                                                                                              | (f)<br>Code(s) from<br>instructions                                                                                                           | (g)<br>Amount of<br>adjustment |                                                                                                               |
| 6.                                                                                                                                                                                                                                                                   | NABORS INDUSTRIES LTD                                      | 10/17/2012                              | 03/07/2013                                         | 99.00                                                  | 92.00                                                                                                        |                                                                                                                                               |                                | 7.00                                                                                                          |
| 179.                                                                                                                                                                                                                                                                 | WILLIS GROUP HOLDINGS<br>USD0.000115 (NEW)                 | 10/17/2012                              | 05/31/2013                                         | 7,107.00                                               | 6,521.00                                                                                                     |                                                                                                                                               |                                | 586.00                                                                                                        |
| 231.                                                                                                                                                                                                                                                                 | WILLIS GROUP HOLDINGS<br>USD0.000115 (NEW)                 | 11/09/2012                              | 06/10/2013                                         | 9,128.00                                               | 7,982.00                                                                                                     |                                                                                                                                               |                                | 1,146.00                                                                                                      |
| 12.                                                                                                                                                                                                                                                                  | WILLIS GROUP HOLDINGS<br>USD0.000115 (NEW)                 | 11/09/2012                              | 06/11/2013                                         | 468.00                                                 | 407.00                                                                                                       |                                                                                                                                               |                                | 61.00                                                                                                         |
| 9                                                                                                                                                                                                                                                                    | CHECK POINT SOFTWARE TE                                    | 05/14/2013                              | 06/17/2013                                         | 443.00                                                 | 439.00                                                                                                       |                                                                                                                                               |                                | 4.00                                                                                                          |
| 303.                                                                                                                                                                                                                                                                 | QIAGEN N V EURO.01                                         | 11/09/2012                              | 01/10/2013                                         | 5,744.00                                               | 5,629.00                                                                                                     |                                                                                                                                               |                                | 115.00                                                                                                        |
| 224.                                                                                                                                                                                                                                                                 | QIAGEN N V EURO.01                                         | 11/09/2012                              | 01/11/2013                                         | 4,254.00                                               | 3,967.00                                                                                                     |                                                                                                                                               |                                | 287.00                                                                                                        |
|                                                                                                                                                                                                                                                                      |                                                            |                                         |                                                    |                                                        |                                                                                                              |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                      |                                                            |                                         |                                                    |                                                        |                                                                                                              |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                      |                                                            |                                         |                                                    |                                                        |                                                                                                              |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                      |                                                            |                                         |                                                    |                                                        |                                                                                                              |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                      |                                                            |                                         |                                                    |                                                        |                                                                                                              |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                      |                                                            |                                         |                                                    |                                                        |                                                                                                              |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                      |                                                            |                                         |                                                    |                                                        |                                                                                                              |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                      |                                                            |                                         |                                                    |                                                        |                                                                                                              |                                                                                                                                               |                                |                                                                                                               |
| <b>2 Totals</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶ |                                                            |                                         |                                                    | 565,292.                                               | 541,510.                                                                                                     |                                                                                                                                               |                                | 23,782                                                                                                        |

**Note** If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

**For Paperwork Reduction Act Notice, see your tax return instructions.**

JSA  
3X2615 2 000

Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

THE PETTUS FOUNDATION 02-08303

43-6029569

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**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

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- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                       | (a)<br>Description of property<br>(Example 100 sh XYZ Co.) | (b)<br>Date acquired<br>(Mo., day, yr.) | (c)<br>Date sold or<br>disposed<br>(Mo., day, yr.) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                           | (g)<br>Amount of<br>adjustment |                                                                                                               |
|                                                                                                                                                                                                                                                                         | 1700. ABBOTT LABORATORIES                                  | 05/19/2003                              | 01/22/2013                                         | 55,606.00                                              | 31,930.00                                                                                                     |                                                                                                                                               |                                | 23,676.00                                                                                                     |
|                                                                                                                                                                                                                                                                         | 300. ABBOTT LABORATORIES                                   | 05/19/2003                              | 03/15/2013                                         | 10,461.00                                              | 5,635.00                                                                                                      |                                                                                                                                               |                                | 4,826.00                                                                                                      |
|                                                                                                                                                                                                                                                                         | 1700. ABBVIE INC COM USD0.                                 | 05/19/2003                              | 01/22/2013                                         | 63,120.00                                              | 34,626.00                                                                                                     |                                                                                                                                               |                                | 28,494.00                                                                                                     |
|                                                                                                                                                                                                                                                                         | 3300. ABBVIE INC COM USD0.                                 | 05/19/2003                              | 03/15/2013                                         | 122,955.00                                             | 67,215.00                                                                                                     |                                                                                                                                               |                                | 55,740.00                                                                                                     |
|                                                                                                                                                                                                                                                                         | 5. AMERICAN TOWER CORP                                     | 10/17/2012                              | 10/25/2013                                         | 402.00                                                 | 374.00                                                                                                        |                                                                                                                                               |                                | 28.00                                                                                                         |
|                                                                                                                                                                                                                                                                         | 800. AUTOMATIC DATA PROCES                                 | 02/15/2007                              | 03/15/2013                                         | 51,711.00                                              | 36,582.00                                                                                                     |                                                                                                                                               |                                | 15,129.00                                                                                                     |
|                                                                                                                                                                                                                                                                         | 3. BORG WARNER AUTOMOTIVE                                  | 10/17/2012                              | 10/25/2013                                         | 318.00                                                 | 201.00                                                                                                        |                                                                                                                                               |                                | 117.00                                                                                                        |
|                                                                                                                                                                                                                                                                         | 6400. BRISTOL MYERS SQUIBB                                 | 11/30/2011                              | 03/15/2013                                         | 246,872.00                                             | 186,945.00                                                                                                    |                                                                                                                                               |                                | 59,927.00                                                                                                     |
|                                                                                                                                                                                                                                                                         | 750. CENOVUS ENERGY INC CO                                 | 04/20/2004                              | 03/15/2013                                         | 23,932.00                                              | 7,657.00                                                                                                      |                                                                                                                                               |                                | 16,275.00                                                                                                     |
|                                                                                                                                                                                                                                                                         | 250000. DEERE JOHN CAP COR<br>TERM NTS-BOOKTRANCHE 00      | 09/09/2008                              | 09/09/2013                                         | 250,000.00                                             | 250,360.00                                                                                                    |                                                                                                                                               |                                | -360.00                                                                                                       |
|                                                                                                                                                                                                                                                                         | 3400. DOMINION RES INC VA                                  | 06/07/2005                              | 01/22/2013                                         | 179,225.00                                             | 122,060.00                                                                                                    |                                                                                                                                               |                                | 57,165.00                                                                                                     |
|                                                                                                                                                                                                                                                                         | 11. EASTMAN CHEM CO                                        | 10/17/2012                              | 12/09/2013                                         | 829.00                                                 | 636.00                                                                                                        |                                                                                                                                               |                                | 193.00                                                                                                        |
|                                                                                                                                                                                                                                                                         | 4000. ENCANA CORP COM NPV                                  | 04/20/2004                              | 03/15/2013                                         | 79,485.00                                              | 44,260.00                                                                                                     |                                                                                                                                               |                                | 35,225.00                                                                                                     |
|                                                                                                                                                                                                                                                                         | 200. ENERGIZER HLDGS INC                                   | 04/30/1997                              | 03/15/2013                                         | 18,964.00                                              | 4,474.00                                                                                                      |                                                                                                                                               |                                | 14,490.00                                                                                                     |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶ |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |

**Note** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

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THE PETTUS FOUNDATION 02-08303

Social security number or taxpayer identification number

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                       | (a)<br>Description of property<br>(Example 100 sh XYZ Co.) | (b)<br>Date acquired<br>(Mo., day, yr.) | (c)<br>Date sold or<br>disposed<br>(Mo., day, yr.) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                          | (g)<br>Amount of<br>adjustment |                                                                                                               |
| 335.                                                                                                                                                                                                                                                                    | EXPERIAN PLC                                               | 11/09/2012                              | 12/04/2013                                         | 5,854.00                                               | 5,689.00                                                                                                      |                                                                                                                                              |                                | 165.00                                                                                                        |
| 202.                                                                                                                                                                                                                                                                    | EXPERIAN PLC                                               | 11/09/2012                              | 12/05/2013                                         | 3,498.00                                               | 3,379.00                                                                                                      |                                                                                                                                              |                                | 119.00                                                                                                        |
| 400.                                                                                                                                                                                                                                                                    | EXXON MOBIL CORP COM                                       | 02/15/2007                              | 03/15/2013                                         | 35,679.00                                              | 30,056.00                                                                                                     |                                                                                                                                              |                                | 5,623.00                                                                                                      |
| 18.                                                                                                                                                                                                                                                                     | GLOBAL PMTS INC                                            | 10/17/2012                              | 12/09/2013                                         | 1,143.00                                               | 791.00                                                                                                        |                                                                                                                                              |                                | 352.00                                                                                                        |
| 500.                                                                                                                                                                                                                                                                    | H J HEINZ CO                                               | 11/17/2011                              | 01/22/2013                                         | 29,984.00                                              | 26,320.00                                                                                                     |                                                                                                                                              |                                | 3,664.00                                                                                                      |
| 2700.                                                                                                                                                                                                                                                                   | H J HEINZ CO                                               | 11/17/2011                              | 03/15/2013                                         | 195,584.00                                             | 142,130.00                                                                                                    |                                                                                                                                              |                                | 53,454.00                                                                                                     |
| 5.                                                                                                                                                                                                                                                                      | INTERCONTINENTALEXCHANG<br>COM COM                         | 10/17/2012                              | 12/09/2013                                         | 1,090.00                                               | 654.00                                                                                                        |                                                                                                                                              |                                | 436.00                                                                                                        |
| 250.                                                                                                                                                                                                                                                                    | INTL BUSINESS MACHINE                                      | 09/09/2008                              | 03/15/2013                                         | 53,806.00                                              | 29,114.00                                                                                                     |                                                                                                                                              |                                | 24,692.00                                                                                                     |
| 1200.                                                                                                                                                                                                                                                                   | JOHNSON & JOHNSON                                          | 12/22/1994                              | 01/22/2013                                         | 87,682.00                                              | 16,230.00                                                                                                     |                                                                                                                                              |                                | 71,452.00                                                                                                     |
| 4200.                                                                                                                                                                                                                                                                   | JOHNSON CONTROLS INC                                       | 11/17/2011                              | 03/15/2013                                         | 146,031.00                                             | 144,396.00                                                                                                    |                                                                                                                                              |                                | 1,635.00                                                                                                      |
| 9.                                                                                                                                                                                                                                                                      | JOY GLOBAL INC                                             | 10/17/2012                              | 10/25/2013                                         | 528.00                                                 | 558.00                                                                                                        |                                                                                                                                              |                                | -30.00                                                                                                        |
| 1000.                                                                                                                                                                                                                                                                   | MCDONALDS CORP                                             | 12/31/2007                              | 03/15/2013                                         | 98,888.00                                              | 59,263.00                                                                                                     |                                                                                                                                              |                                | 39,625.00                                                                                                     |
| 500000.                                                                                                                                                                                                                                                                 | MORGAN STANLEY 7%<br>10-01-2013 10-01-2013 R               | 01/24/2002                              | 10/01/2013                                         | 500,000.00                                             | 530,260.00                                                                                                    |                                                                                                                                              |                                | -30,260.00                                                                                                    |
| 24.                                                                                                                                                                                                                                                                     | MURPHY USA INC COM                                         | 11/09/2012                              | 12/09/2013                                         | 1,100.00                                               | 815.00                                                                                                        |                                                                                                                                              |                                | 285.00                                                                                                        |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶ |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                              |                                |                                                                                                               |

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

| 1 | (a)<br>Description of property<br>(Example: 100 sh XYZ Co.) | (b)<br>Date acquired<br>(Mo., day, yr.) | (c)<br>Date sold or<br>disposed<br>(Mo., day, yr.) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br><b>Note</b> below and<br>see <b>Column (e)</b><br>in the separate<br>instructions | Adjustment, if any, to gain or loss.<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions. |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|---|-------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|   |                                                             |                                         |                                                    |                                                        |                                                                                                                             | (f)<br>Code(s) from<br>instructions                                                                                                            | (g)<br>Amount of<br>adjustment |                                                                                                               |
|   | 500. NATIONAL-OILWELL INC                                   | 06/13/2007                              | 03/15/2013                                         | 34,429.00                                              | 24,747.00                                                                                                                   |                                                                                                                                                |                                | 9,682.00                                                                                                      |
|   | 1300. NIKE INC CLASS B                                      | 11/21/2005                              | 03/15/2013                                         | 70,666.00                                              | 28,050.00                                                                                                                   |                                                                                                                                                |                                | 42,616.00                                                                                                     |
|   | 17992.05 MFB NORTHN HI YIE<br>FD                            | 01/19/2012                              | 03/15/2013                                         | 137,999.00                                             | 128,283.00                                                                                                                  |                                                                                                                                                |                                | 9,716.00                                                                                                      |
|   | 1200. ADR NOVARTIS AG SPON<br>ISIN #US66987V1098            | 04/08/2011                              | 01/22/2013                                         | 77,890.00                                              | 66,762.00                                                                                                                   |                                                                                                                                                |                                | 11,128.00                                                                                                     |
|   | 750. ADR NOVARTIS AG SPONS<br>ISIN #US66987V1098            | 04/08/2011                              | 03/15/2013                                         | 52,199.00                                              | 41,726.00                                                                                                                   |                                                                                                                                                |                                | 10,473.00                                                                                                     |
|   | 500. ORACLE CORPORATION                                     | 02/15/2007                              | 03/15/2013                                         | 18,040.00                                              | 8,410.00                                                                                                                    |                                                                                                                                                |                                | 9,630.00                                                                                                      |
|   | 1000. PROCTER & GAMBLE CO                                   | 04/20/2004                              | 01/22/2013                                         | 69,808.00                                              | 53,035.00                                                                                                                   |                                                                                                                                                |                                | 16,773.00                                                                                                     |
|   | 13. RAYMOND JAMES FINANCIA                                  | 10/17/2012                              | 10/25/2013                                         | 593.00                                                 | 500.00                                                                                                                      |                                                                                                                                                |                                | 93.00                                                                                                         |
|   | 50. SIMON PPTY GROUP INC N                                  | 11/17/2011                              | 03/15/2013                                         | 7,941.00                                               | 6,175.00                                                                                                                    |                                                                                                                                                |                                | 1,766.00                                                                                                      |
|   | 7. TJX COS INC NEW                                          | 10/17/2012                              | 10/25/2013                                         | 414.00                                                 | 302.00                                                                                                                      |                                                                                                                                                |                                | 112.00                                                                                                        |
|   | 500. 3M CO                                                  | 10/04/1979                              | 03/15/2013                                         | 53,049.00                                              | 3,346.00                                                                                                                    |                                                                                                                                                |                                | 49,703.00                                                                                                     |
|   | 1200. US BANCORP DEL NEW                                    | 08/03/2011                              | 03/15/2013                                         | 40,559.00                                              | 30,228.00                                                                                                                   |                                                                                                                                                |                                | 10,331.00                                                                                                     |
|   | 600. UNITED TECHNOLOGIES C                                  | 09/09/2008                              | 03/15/2013                                         | 55,931.00                                              | 39,221.00                                                                                                                   |                                                                                                                                                |                                | 16,710.00                                                                                                     |
|   | 7. VALSPAR CORP                                             | 10/17/2012                              | 10/25/2013                                         | 489.00                                                 | 413.00                                                                                                                      |                                                                                                                                                |                                | 76.00                                                                                                         |

**2 Totals.** Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if **Box D** above is checked), line 9 (if **Box E** above is checked), or line 10 (if **Box F** above is checked) ►

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THE PETTUS FOUNDATION 02-08303

43-6029569

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

**Part II Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

**Note.** You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

**You must check Box D, E, or F below. Check only one box.** If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ (F) Long-term transactions not reported to you on Form 1099-B

| 1                                                                                                                                                                                                                                                                       | (a)<br>Description of property<br>(Example 100 sh XYZ Co.) | (b)<br>Date acquired<br>(Mo., day, yr.) | (c)<br>Date sold or<br>disposed<br>(Mo., day, yr.) | (d)<br>Proceeds<br>(sales price)<br>(see instructions) | (e)<br>Cost or other<br>basis. See the<br>Note below and<br>see Column (e)<br>in the separate<br>instructions | Adjustment, if any, to gain or loss<br>If you enter an amount in column (g),<br>enter a code in column (f).<br>See the separate instructions. |                                | (h)<br>Gain or (loss).<br>Subtract column (e)<br>from column (d) and<br>combine the result<br>with column (g) |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------|-----------------------------------------|----------------------------------------------------|--------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|---------------------------------------------------------------------------------------------------------------|
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               | (f)<br>Code(s) from<br>instructions                                                                                                           | (g)<br>Amount of<br>adjustment |                                                                                                               |
|                                                                                                                                                                                                                                                                         | 300. VERIZON COMMUNICATION                                 | 11/17/2011                              | 03/15/2013                                         | 14,442.00                                              | 11,087.00                                                                                                     |                                                                                                                                               |                                | 3,355.00                                                                                                      |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
|                                                                                                                                                                                                                                                                         |                                                            |                                         |                                                    |                                                        |                                                                                                               |                                                                                                                                               |                                |                                                                                                               |
| <b>2 Totals.</b> Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶ |                                                            |                                         |                                                    | 2,899,196                                              | 2,224,895.                                                                                                    |                                                                                                                                               |                                | 674,301.                                                                                                      |

**Note.** If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

## Pettus Distributions 2013

| <u>Organization</u>                    | <u>Contact</u>          | <u>Project Title</u>                                                                                                      | <u>Granted</u> |
|----------------------------------------|-------------------------|---------------------------------------------------------------------------------------------------------------------------|----------------|
| <u>Charties in the St. Louis</u>       |                         |                                                                                                                           |                |
| <u>Region</u>                          |                         |                                                                                                                           |                |
| Washington University in St. Louis     | Elizabeth Embree        | a one time grant for the Each One                                                                                         |                |
| Boys Hope Girls Hope                   | Brian Hipp              | Teach One:Jump Start program                                                                                              | \$ 15,599.50   |
| College Summit, C/o St. Louis PS       | Leslie Gil              | operating support                                                                                                         | \$ 7,500.00    |
|                                        |                         | general support                                                                                                           | \$ 10,000.00   |
|                                        |                         | scholarships with an emphasis on St.                                                                                      |                |
| Crossroads School                      | Heather Lake            | Louis City minorities                                                                                                     | \$ 20,000.00   |
| Davenport Foundation,U Of MO           | Laura L Carroz          | Pettus Family Scholarship for Keith Lovett                                                                                | \$ 8,692.50    |
| Davenport Foundation,U Of MO           | Laura L Carroz          | the spring semester of Keith Lovett (The Pettus Scholarships)                                                             | \$ 8,427.00    |
|                                        |                         | the funding of both the SLPs affinity group and the Policy and Advocacy group, with the division of funds as you see fit. | \$ 4,000.00    |
| Gateway Center For Giving              | Mary McMurtrey          | the support of the St. Louis Youth Jobs Fund                                                                              | \$ 4,000.00    |
| Greater St. Louis Community Foundation | Bridget McDermott Flood | support of kids in need                                                                                                   | \$ 5,000.00    |
| KidSmart                               | Jennifer D. Miller      | Employment Services                                                                                                       | \$ 15,000.00   |
| Life Skills                            | Cheryl Ray              | general support                                                                                                           | \$ 7,500.00    |
| Logos                                  | Krista Mallette         |                                                                                                                           |                |
| Near Southside Employment Coalition    | Ohala Ward              | general support                                                                                                           | \$ 5,000.00    |
| Planned Parenthood Of St. Louis        | Paula M. Gianino        | general support                                                                                                           | \$ 10,000.00   |
|                                        |                         | the final installment of a 5 year pledge for scholarships                                                                 | \$ 12,000.00   |
| Ranken Technical College               | Stan H. Shoun           |                                                                                                                           |                |
|                                        | Anne Kessen Lowell      |                                                                                                                           |                |
| Southside Early Childhood Center       | (314) 865-0322          | general support                                                                                                           | \$ 5,000.00    |

|                                     |                                   |                                                                                         |    |            |
|-------------------------------------|-----------------------------------|-----------------------------------------------------------------------------------------|----|------------|
| St Louis Arc                        | John Taylor                       | support of job training and placement                                                   | \$ | 10,000.00  |
| St Louis Urban Debate League        | Missy Miller Heinemann            | general support                                                                         | \$ | 10,000.00  |
| St. Louis Public Schools Foundation | Ms Jane Donahue<br>(314) 436-2025 | Pettus Foundation Awards of Excellence                                                  | \$ | 100,000.00 |
| St. Louis Public Schools Foundation | Ms Jane Donahue<br>(314) 436-2025 | the Pettus Awards of Excellence.                                                        | \$ | 2,281.00   |
| Teach For America                   | Brittany Packnett                 | the second payment of a three year grant of \$30,000. The grant is for general support. | \$ | 10,000.00  |
| The Little Bit Foundation           | Amalia Lottes                     | operating support                                                                       | \$ | 5,000.00   |
| The Scholarship Foundation          | Laura Winter                      | the St. Louis Graduates Drop-in-Center                                                  | \$ | 7,000.00   |
| University Of Missouri-Columbia     | Ms Lisa Schwartz                  | support of the MBMI                                                                     | \$ | 10,000.00  |
| University of Missouri-St. Louis    | Susan C. Lee                      | Studio Schools for the St. Louis Public Schools                                         | \$ | 15,000.00  |
| Wyman Center Inc                    | David Hilliard                    | general support                                                                         | \$ | 10,000.00  |
| Ymca Of Greater St Louis            | Shawna Brinson<br>(314) 436-1177  | the Climbing Above Conflict program                                                     | \$ | 10,000.00  |

#### **Charities in Hawaii**

|                                                   |                   |                                                                                              |    |           |
|---------------------------------------------------|-------------------|----------------------------------------------------------------------------------------------|----|-----------|
| Hawaii Island Adult Care                          | Ms Paula Uusitalo | Purchase of Wheelchair Lift Vans                                                             | \$ | 10,000.00 |
| Kalihi Union Church Pre-School                    | Ms Joy Addriss    | Playground Relocation and Renovation                                                         | \$ | 15,000.00 |
| YWCA of Oahu                                      | Ms Noriko Namiki  | Dress for Success Honolulu                                                                   | \$ | 10,000.00 |
| Rehabilitation Hospital of the Pacific Foundation | Ms Jean Nakanishi | Operating Support for Outpatient Programs                                                    | \$ | 10,000.00 |
| Makua Lani Christian Academy                      | Ms Thaddea Pitts  | Pre-School Start-Up and Facilities Upgrades                                                  | \$ | 10,000.00 |
| Armed Services YMCA                               | Mr. Stan Lum      | Purchase of Educational Materials and Equipment for Parent Participation Pre-School Programs | \$ | 10,000.00 |

|                                                    |                              |                                                                                                                |              |
|----------------------------------------------------|------------------------------|----------------------------------------------------------------------------------------------------------------|--------------|
| Christian Liberty Schools                          | Mr. Troy RimeI               | Purchase of Equipment for the "Field House" Physical Education Facility (Bleaches for Gym)                     | \$ 15,000.00 |
| Good Shepherd Pre-School                           | Mr. Scott Eukn               | Program Support                                                                                                | \$ 10,000.00 |
| Helping Hands Hawaii                               | Jan Harada                   | Operating Support                                                                                              | \$ 20,000.00 |
| Holy Nativity School                               | Mr. Timothy P. Spurrier      | Construction of an Eco-Friendly Playground and Sandbox Cover                                                   | \$ 12,000.00 |
| Manoa Heritage Center                              | Mr. Sam Cooke                | Operating Support                                                                                              | \$ 10,000.00 |
|                                                    |                              | Enhancements to the Physical Education Facility (Portable Blackboards)                                         |              |
| Koolau Baptist Academy                             | Mr. John Goodale, Jr.        |                                                                                                                | \$ 10,000.00 |
| Lyman Museum and Mission House                     | Mr. Paul Dahlquist           | Extension of the "Reach to Teach" Program for Students from Remote and Underserved Communities                 | \$ 10,000.00 |
| One Love Ministries (Ke Aloha Ho'okahi Pre-School) | Ms Gill Berger               | Tuition Assistance for Homeless Children                                                                       | \$ 10,000.00 |
| Pacific Buddhist Academy                           | Mr. Pieper J. Toyama         | Expansion of the Taiko Art Program for Students                                                                | \$ 10,000.00 |
| Rehabilitation Hospital of the Pacific Foundation  | Ms Gwen Ing                  | Purchase of Equipment for Rehabilitation Programs                                                              | \$ 20,000.00 |
|                                                    |                              | Purchase of Play Equipment, Area Upgrades and Transportation for Seniors in the Intergeneration Center Program | \$ 10,000.00 |
| St. Francis Healthcare Foundation                  | Sister William Marie Eleniki | Repairs/ Replacement of Air Conditioners                                                                       | \$ 10,000.00 |
| St. Mark Lutheran School                           | Mr. David Gaudi              |                                                                                                                |              |
| HUGS - Help, Understanding & Group Support         | Mr. John Howell              | Program Support                                                                                                | \$ 5,000.00  |
| Hawaii Island Humane Society                       | Ms Donna Whitaker            | Purchase of Education Library Materials and Resources                                                          | \$ 5,000.00  |
| Institute For Human Services                       | Ms Connie Mitchell           | Operating Support for Homeless Services                                                                        | \$ 10,000.00 |

|                                                    |                         |                                                                  |    |           |
|----------------------------------------------------|-------------------------|------------------------------------------------------------------|----|-----------|
| KCAA Pre-Schools Of Hawaii                         | Christina Cox           | Operating Support for 7 Pre-School Sites                         | \$ | 10,000.00 |
| Salvation Army - Hawaii & Pacific Islands Division | Major John Chamness     | Operating Support for Programs Serving the Poor                  | \$ | 10,000.00 |
| Planned Parenthood Of Hawaii                       | Andrea Anderson         | Operating Support for Women's Health Programs                    | \$ | 5,000.00  |
| Mediation Center of the Pacific                    | Tracy Wiltgen           | Operating Support for Mediation Training & Services              | \$ | 10,000.00 |
| Catholic Charities                                 | Mr. Jerome Rauckhorst   | Operating Support for Services for Low-Income & at-Risk Families | \$ | 10,000.00 |
| Hawaii Literacy                                    | Ms Suzanne Skjold       | Operating Support for Literacy Training                          | \$ | 10,000.00 |
| Helping Hands Hawaii                               | Jan Harada              | Operating Support for Programs Serving Low-Income Families       | \$ | 10,000.00 |
| Holy Nativity School                               | Mr. Timothy P. Spurrier | Betty Pettus Memorial Scholarship Fund                           | \$ | 25,000.00 |
| Easter Seals                                       | Christopher Blancher    | Operating Support                                                | \$ | 5,000.00  |

**Charities Designated by the Children of Mr. Pettus**

|                                    |                      |                                                          |    |           |
|------------------------------------|----------------------|----------------------------------------------------------|----|-----------|
| Blackacre Conservancy Inc          | Development Director | general support                                          | \$ | 12,500.00 |
| Canine Companions For Independence | Development Director | general support                                          | \$ | 1,500.00  |
| Crossnore School Inc               | Devopment Director   | general support                                          | \$ | 1,500.00  |
| The Nature Conservancy, Ky Chapter | Amanda Sparrow-Black | general support                                          | \$ | 5,000.00  |
| Pets for Vets, Inc.                | Development Director | general support                                          | \$ | 1,500.00  |
| Thoroughbred Retirement Foundation | Development Director | use at the Blackburn Correctional Complex, Lexington, KY | \$ | 1,500.00  |
| Yew Dell, Inc                      | Development Director | general support                                          | \$ | 12,500.00 |
| Alice Lloyd College                | Development Director | general support                                          | \$ | 1,500.00  |

|                                                              |                            |                                                                                                                                                       |    |           |
|--------------------------------------------------------------|----------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----------|
| Louisville Metro Parks Foundation Inc.                       | Michael J. Heitz           | the Equestrian Summer Camp program at Louisville Metro Parks Foundation.                                                                              | \$ | 1,500.00  |
| International Association for Hospice & Palliative Care Inc. | Liliana De Lima            | the India Projects palliative care conference scholarships (\$2500) and education (\$5000)                                                            | \$ | 10,000.00 |
| International Association for Hospice & Palliative Care Inc. | Liliana De Lima            | International Doctors for Healthy Drug Policies for travel by Holly Catania, Executive Director to attend the international Harm Reduction Conference | \$ | 2,000.00  |
| Harm Reduction Coalition                                     | Alan Clear                 | two poster sessions at the European Association for Palliative Care in Prague                                                                         | \$ | 4,500.00  |
| International Association for Hospice & Palliative Care Inc. | Liliana De Lima            | surgeons overseas                                                                                                                                     | \$ | 5,000.00  |
| Society of International Humanitarian Surgeons               | Peter Kingham              | Pallium India Trivandrum                                                                                                                              | \$ | 10,000.00 |
| ICA/Pallium India USA                                        | Dr. Jenna Kapoor           | general support for Project OZ                                                                                                                        | \$ | 4,000.00  |
| YMCA of San Diego County--Residential Services               | Chris Whitworth            | general support                                                                                                                                       | \$ | 3,000.00  |
| Brother Benno Foundation                                     | Liz Windle                 | general support                                                                                                                                       | \$ | 4,000.00  |
| Injured Marine Semper Fi Fund                                | Karen Guenther             | "Baby Real Deal"                                                                                                                                      | \$ | 3,000.00  |
| Assistance League of North Coast                             | Helen Clark                | Homelessness Prevention and Intervention Services                                                                                                     | \$ | 4,000.00  |
| Community Resource Center, San Diego                         | Richard Batt               | scholarships (camperships) in the resident camping branch and may be used in any of the Y's 3 resident camps at any time during the year              | \$ | 8,000.00  |
| YMCA Of San Diego County                                     | Shelley McTighe-Rippengate | an unrestricted grant in memory of Jane W. Pettus                                                                                                     | \$ | 3,000.00  |
| Meals On Wheels, Greater San Diego Inc.                      | Matt Toper                 |                                                                                                                                                       |    |           |

|                                                         |                         |                                                                                                                                                                                                       |    |           |
|---------------------------------------------------------|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----------|
| Scripps Health Foundation                               | Jamie Nelson C.S.P.G.   | the education fund at Scripps Polster Breast Care Center. If there is still a matching grant program, we would like this grant matched and you can send me any paperwork which needs to be completed. | \$ | 10,000.00 |
| Catholic Charities Community Services                   | Karen Wallensack        | the Catholic Legal Assistance Ministry's Veteran's Advocacy Project. These funds are restricted to use for women veterans                                                                             | \$ | 8,000.00  |
| Women For Women International                           | Global Support Center   | general support                                                                                                                                                                                       | \$ | 3,500.00  |
| Voices Education Project (Compassionate Action Network) | Development             | Voices in Wartime                                                                                                                                                                                     | \$ | 3,500.00  |
| Maine Media Workshops                                   | Meg Weston              | general support                                                                                                                                                                                       | \$ | 8,000.00  |
| Veterans And Families Foundation                        | Ted Reed                | general support                                                                                                                                                                                       | \$ | 8,000.00  |
| The Food Project                                        | Development             | general support                                                                                                                                                                                       | \$ | 8,000.00  |
| Mother Seton Academy                                    | Director of Development | general support                                                                                                                                                                                       | \$ | 20,000.00 |
| Episcopal Housing Corp. "Buddy Jones"                   | Development Director    | The Buddy Jones Project                                                                                                                                                                               | \$ | 4,000.00  |
| Black Cherry Puppet Theater                             | Director of Development | general support                                                                                                                                                                                       | \$ | 15,000.00 |
| East Bay Community Foundation                           | Janet Y Spears          | general support                                                                                                                                                                                       | \$ | 39,000.00 |
| Americorps St Louis                                     | Kathleen Becherer       | general support                                                                                                                                                                                       | \$ | 11,000.00 |
| Ready Readers                                           | Lisa Greening           | general support                                                                                                                                                                                       | \$ | 7,000.00  |
| Friends Of Hospice Africa Usa Inc                       | Judith Hills            | the training of nurses in Uganda                                                                                                                                                                      | \$ | 7,000.00  |
| Black Cherry Puppet Theater                             | Director of Development | the John Eager Howard after school program                                                                                                                                                            | \$ | 7,000.00  |
| DASA                                                    | Katie Sacks             | general support                                                                                                                                                                                       | \$ | 7,000.00  |

TOTAL

\$

927,000.00

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|----------------------|--------------------------------------------------|--------------------------------------|
| DIVIDENDS & INTEREST | 489,610.                                         | 489,569.                             |
|                      | -----                                            | -----                                |
| TOTAL                | 489,610.                                         | 489,569.                             |
|                      | =====                                            | =====                                |

FORM 990PF, PART I - OTHER INCOME  
=====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- |
|----------------------|--------------------------------------------------|
| DEFERRED INCOME      | -4,164.                                          |
|                      | -----                                            |
| TOTALS               | -4,164.                                          |
|                      | =====                                            |

FORM 990PF, PART I - ACCOUNTING FEES  
=====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- | ADJUSTED<br>NET<br>INCOME<br>----- | CHARITABLE<br>PURPOSES<br>----- |
|----------------------|--------------------------------------------------|--------------------------------------|------------------------------------|---------------------------------|
| TAX PREPARATION      | 2,000.                                           | 1,000.                               |                                    | 1,000.                          |
| TOTALS               | 2,000.                                           | 1,000.                               | NONE                               | 1,000.                          |
|                      | =====                                            | =====                                | =====                              | =====                           |

FORM 990PF, PART I - TAXES  
=====

| DESCRIPTION<br>----- | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | NET<br>INVESTMENT<br>INCOME<br>----- |
|----------------------|--------------------------------------------------|--------------------------------------|
| EXCISE TAX           | 8,771.                                           |                                      |
| ESTIMATED TAX        | 63,418.                                          |                                      |
| FOREIGN TAX          | 10,633.                                          | 10,633.                              |
|                      | -----                                            | -----                                |
| TOTALS               | 82,822.                                          | 10,633.                              |
|                      | =====                                            | =====                                |

THE PETTUS FOUNDATION 02-08303

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FORM 990PF, PART I - OTHER EXPENSES  
=====

| DESCRIPTION<br>-----    | REVENUE<br>AND<br>EXPENSES<br>PER BOOKS<br>----- | CHARITABLE<br>PURPOSES<br>----- |
|-------------------------|--------------------------------------------------|---------------------------------|
| OTHER PROFESSIONAL SERV | 25,500.                                          | 25,500.                         |
| MEMBERSHIP DUES         | 6,780.                                           | 6,780.                          |
| REIMBURSEMENT           | 2,074.                                           | 2,074.                          |
| TOTALS                  | -----<br>34,354.<br>=====                        | -----<br>34,354.<br>=====       |

THE PETTUS FOUNDATION 02-08303

43-6029569

FORM 990PF, PART II - CORPORATE STOCK  
=====

DESCRIPTION  
-----

SEE ATTACHED

ENDING  
BOOK VALUE  
-----

ENDING  
FMV  
---

11,492,264.

17,799,082.

TOTALS

11,492,264.

17,799,082.

THE PETTUS FOUNDATION 02-08303

43-6029569

FORM 990PF, PART II - CORPORATE BONDS  
=====

| DESCRIPTION<br>----- | ENDING<br>BOOK VALUE<br>----- | ENDING<br>FMV<br>--- |
|----------------------|-------------------------------|----------------------|
| SEE ATTACHED         | 1,397,232.                    | 1,506,598.           |
|                      | -----                         | -----                |
| TOTALS               | 1,397,232.                    | 1,506,598.           |
|                      | =====                         | =====                |

THE PETTUS FOUNDATION 02-08303  
FORM 990PF, PART XV - LINES 2a - 2d  
=====

43-6029569

RECIPIENT NAME:

JAMES A. FINCH III, MANAGING TRUSTEE

ADDRESS:

1175 MILL CORSSING DRIVE #100

CREVE COEUR, MO 63141

RECIPIENT'S PHONE NUMBER: 314-605-6430

FORM, INFORMATION AND MATERIALS:

REQUEST LETTER, FINANCIAL INFORMATION AND A

COPY OF THE 501 (C) 3 APPROVAL LETTER

SUBMISSION DEADLINES:

NONE

STATEMENT 8

# Foundation

31 DEC 13

Account number Multiple Accounts

02-08303,02-79761,02-79791,02-79792

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/<br>local market price | Accrued<br>Income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Investment Mgr ID      |                                      |                           |              |      |        |                                     |       |
| Shares/PAR value       |                                      |                           |              |      |        |                                     |       |

### Equity Securities

#### Common stock

##### Australia - USD

ADR BRAMBLES LTD ADR CUSIP 105105100

|         |       |      |           |          |          |      |          |
|---------|-------|------|-----------|----------|----------|------|----------|
| 578 000 | 17 64 | 0 00 | 10,195 92 | 8,825 10 | 1,370 82 | 0 00 | 1 370 82 |
|---------|-------|------|-----------|----------|----------|------|----------|

ADR CSL LTD ADR CUSIP 12637N105

|         |       |      |           |           |          |      |          |
|---------|-------|------|-----------|-----------|----------|------|----------|
| 480 000 | 30 77 | 0 00 | 14,769 60 | 11,978 40 | 2,791 20 | 0 00 | 2,791 20 |
|---------|-------|------|-----------|-----------|----------|------|----------|

Total USD

Total Australia

##### Belgium - USD

ADR ANHEUSER BUSCH INBEV SA/NV SPONSOREDADR CUSIP 03524A108

|         |        |      |           |           |          |      |          |
|---------|--------|------|-----------|-----------|----------|------|----------|
| 182 000 | 108 46 | 0 00 | 19,375 72 | 15,654 33 | 3,721 39 | 0 00 | 3,721 39 |
|---------|--------|------|-----------|-----------|----------|------|----------|

Total USD

Total Belgium

##### Brazil - USD

ADR CIELO S A SPONSORED ADR CUSIP 171778202

|         |       |      |          |          |          |      |          |
|---------|-------|------|----------|----------|----------|------|----------|
| 233 000 | 28 00 | 0 00 | 6,524 00 | 5,163 59 | 1,360 41 | 0 00 | 1,360 41 |
|---------|-------|------|----------|----------|----------|------|----------|

ADR PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR CUSIP 71654V408

PBR

|         |       |      |          |          |           |      |           |
|---------|-------|------|----------|----------|-----------|------|-----------|
| 407 000 | 13 78 | 0 00 | 5,608 46 | 8,999 80 | -3,391 34 | 0 00 | -3,391 34 |
|---------|-------|------|----------|----------|-----------|------|-----------|

Total USD

Total Brazil

##### Canada - USD

|  |  |      |           |           |           |      |           |
|--|--|------|-----------|-----------|-----------|------|-----------|
|  |  | 0 00 | 12,132 46 | 14,163 39 | -2,030 93 | 0 00 | -2,030 93 |
|--|--|------|-----------|-----------|-----------|------|-----------|

### Northern Trust

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# Foundation

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Account number Multiple Accounts

02-08303,02-79761,02-79791,02-79792

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## ◆ Asset Detail - Base Currency

| Description / Asset ID<br>Investment Mgr ID<br>Shares/PAR value | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost | Unrealized gain/loss |             | Total |
|-----------------------------------------------------------------|--------------------------------------|---------------------------|--------------|------|----------------------|-------------|-------|
|                                                                 |                                      |                           |              |      | Market               | Translation |       |

### Equity Securities

#### Common stock

##### Canada - USD

AGRIUM INC COM CUSIP 008916108

|                                          |       |       |          |          |         |      |         |
|------------------------------------------|-------|-------|----------|----------|---------|------|---------|
| 51 000                                   | 91 48 | 38 25 | 4,665 48 | 5,098 14 | -432 66 | 0 00 | -432 66 |
| BANK N S HALIFAX COM STK CUSIP 064149107 |       |       |          |          |         |      |         |
| BNS                                      |       |       |          |          |         |      |         |

|                                         |       |      |          |          |          |      |          |
|-----------------------------------------|-------|------|----------|----------|----------|------|----------|
| 132 000                                 | 62 55 | 0 00 | 8,256 60 | 7,215 95 | 1,040 65 | 0 00 | 1,040 65 |
| CANADIAN NATL RY CO COM CUSIP 136375102 |       |      |          |          |          |      |          |

|                                        |       |      |           |           |          |      |          |
|----------------------------------------|-------|------|-----------|-----------|----------|------|----------|
| 306 000                                | 57 02 | 0 00 | 17,448 12 | 13,496 53 | 3,951 59 | 0 00 | 3,951 59 |
| CENOVUS ENERGY INC COM CUSIP 15135U109 |       |      |           |           |          |      |          |

|                                              |       |      |           |           |           |      |           |
|----------------------------------------------|-------|------|-----------|-----------|-----------|------|-----------|
| 3,445 000                                    | 28 65 | 0 00 | 98,699 25 | 39,918 59 | 58,780 66 | 0 00 | 58,780 66 |
| CORUS ENTMT INC CL B NON VTG CUSIP 220874101 |       |      |           |           |           |      |           |

|                                  |       |      |          |          |        |      |        |
|----------------------------------|-------|------|----------|----------|--------|------|--------|
| 359 000                          | 24 18 | 0 00 | 8,680 62 | 8,349 59 | 331 03 | 0 00 | 331 03 |
| ENBRIDGE INC COM CUSIP 29250N105 |       |      |          |          |        |      |        |

|                                                      |       |      |          |          |         |      |         |
|------------------------------------------------------|-------|------|----------|----------|---------|------|---------|
| 103 000                                              | 43 68 | 0 00 | 4,499 04 | 4,725 12 | -226 08 | 0 00 | -226 08 |
| IMPERIAL OIL LIMITED COM NEW COM NEW CUSIP 453038408 |       |      |          |          |         |      |         |

|                                      |       |       |           |           |         |      |         |
|--------------------------------------|-------|-------|-----------|-----------|---------|------|---------|
| 273 000                              | 44 23 | 33 39 | 12,074 79 | 12,559 26 | -484 47 | 0 00 | -484 47 |
| NEW GOLD INC CDA COM CUSIP 644535106 |       |       |           |           |         |      |         |

|                                         |      |      |          |           |           |      |           |
|-----------------------------------------|------|------|----------|-----------|-----------|------|-----------|
| 1,096 000                               | 5 24 | 0 00 | 5,743 04 | 12,592 54 | -6,849 50 | 0 00 | -6,849 50 |
| SILVER WHEATON CORP COM CUSIP 828336107 |      |      |          |           |           |      |           |

|           |       |       |            |            |           |      |           |
|-----------|-------|-------|------------|------------|-----------|------|-----------|
| 347 000   | 20 19 | 0 00  | 7,005 93   | 13,579 80  | -6,573 87 | 0 00 | -6,573 87 |
| Total USD |       |       |            |            |           |      |           |
|           |       | 71 64 | 167,072 87 | 117,535 52 | 49,537 35 | 0 00 | 49,537 35 |

### Northern Trust

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## ◆ Asset Detail - Base Currency

| Description / Asset ID<br>Investment Mgr ID<br>Shares/PAR value | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost | Unrealized gain/loss |             | Total |
|-----------------------------------------------------------------|--------------------------------------|---------------------------|--------------|------|----------------------|-------------|-------|
|                                                                 |                                      |                           |              |      | Market               | Translation |       |

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## Equity Securities

### Common stock

Total Canada 117,535 52 167,072 87 49,537 35 0 00 49,537 35

### China - USD

ADR BAIDU INC SPONSORED ADR CUSIP 058752108 172 000 177 88 0 00 30,595 36 17,237 96 13,357 40 0 00 13,357 40

ADR CHINA MOBILE LTD CUSIP 16941M109

286 000 52 29 0 00 14,954 94 15,287 46 -332 52 0 00 -332 52

ADR CNOOC LTD SPONSORED ADR CUSIP 126132109

GEO 33 000 187 66 0 00 6,192 78 6,846 05 -653 27 0 00 -653 27

ADR IND & COMM BK OF-UNSPON ADR IND & COMM BK OF-UNSPON ADR CUSIP 455807107

IDCBY 593 000 13 58 0 00 8,052 94 8,210 93 -157 99 0 00 -157 99

Total USD 59,796 02 47,582 40 12,213 62 0 00 12,213 62

Total China 59,796 02 47,582 40 12,213 62 0 00 12,213 62

### Denmark - USD

ADR NOVO-NORDISK A S ADR CUSIP 670100205

58 000 184 76 0 00 10,716 08 9,762 03 954 05 0 00 954 05

Total USD 10,716 08 9,762 03 954 05 0 00 954 05

Total Denmark 10,716 08 9,762 03 954 05 0 00 954 05

### Finland - USD

ADR NOKIAN TYRES OYJ ADR ADR CUSIP 65528V107

884 000 24 024 0 00 21,237 21 18,287 37 2,949 84 0 00 2,949 84

Total USD 21,237 21 18,287 37 2,949 84 0 00 2,949 84

## Northern Trust

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## ◆ Asset Detail - Base Currency

| Description / Asset ID<br>Investment Mgr ID<br>Shares/PAR value | Exchange rate/<br>local market price | Accrued<br>Income/expense | Market Value | Cost | Unrealized gain/loss |             |
|-----------------------------------------------------------------|--------------------------------------|---------------------------|--------------|------|----------------------|-------------|
|                                                                 |                                      |                           |              |      | Market               | Translation |

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Account number Multiple Accounts

02-08303,02-79761,02-79791,02-79792

## Equity Securities

### Common stock

Total Finland 0.00 21,237.21 18,287.37 2,949.84 0.00 2,949.84

### France - USD

|                                                          |         |       |           |           |          |          |
|----------------------------------------------------------|---------|-------|-----------|-----------|----------|----------|
| ADR AIR LIQUIDE ADR CUSIP 009126202                      |         |       |           |           |          |          |
| 943 000                                                  | 28 331  | 0.00  | 26,716.13 | 22,891.53 | 3,824.60 | 3,824.60 |
| ADR ARKEMA SPONSORED ADR CUSIP 041232109                 |         |       |           |           |          |          |
| 119 000                                                  | 116 836 | 0.00  | 13,903.48 | 11,559.61 | 2,343.87 | 2,343.87 |
| ADR DASSAULT SYS S A SPONSORED ADR CUSIP 237545108       |         |       |           |           |          |          |
| 239 000                                                  | 124 30  | 0.00  | 29,707.70 | 25,393.32 | 4,314.38 | 4,314.38 |
| ADR EUTELSAT COMMUNICATIONS ADR CUSIP 29888Q108          |         |       |           |           |          |          |
| 1,314 000                                                | 7 808   | 0.00  | 10,259.71 | 9,798.13  | 461.58   | 461.58   |
| ADR L OREAL CO ADR CUSIP 502117203                       |         |       |           |           |          |          |
| 942 000                                                  | 35 15   | 0.00  | 33,111.30 | 24,367.59 | 8,743.71 | 8,743.71 |
| ADR LVMH MOET HENNESSY LOUIS VUITTON ADR CUSIP 502441306 |         |       |           |           |          |          |
| 280 000                                                  | 36 5999 | 0.00  | 10,247.87 | 9,252.05  | 895.92   | 895.92   |
| ADR PERNOD RICARD S A ADR CUSIP 714264207                |         |       |           |           |          |          |
| 456 000                                                  | 22 72   | 0.00  | 10,360.32 | 10,173.48 | 186.84   | 186.84   |
| ADR PUBLICIS S A NEW SPONSORED ADR CUSIP 74463M106       |         |       |           |           |          |          |
| 515 000                                                  | 22 912  | 0.00  | 11,799.68 | 9,147.86  | 2,651.82 | 2,651.82 |
| ADR SAFRAN ADR CUSIP 786584102                           |         |       |           |           |          |          |
| 564 000                                                  | 17 24   | 69.26 | 9,723.36  | 5,536.38  | 4,186.98 | 4,186.98 |

Northern Trust

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/<br>Investment Mgr ID<br>local market price | Accrued<br>Income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|-----------------------------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Shares/PAR value       |                                                           |                           |              |      |        |                                     |       |

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## Equity Securities

### Common stock

#### France - USD

|                                           |       |      |           |           |           |      |           |
|-------------------------------------------|-------|------|-----------|-----------|-----------|------|-----------|
| ADR SCHNEIDER ELEC SA ADR CUSIP 80687P108 |       |      |           |           |           |      |           |
| 2,533 000                                 | 17 55 | 0 00 | 44,454 15 | 33,313 19 | 11,140 96 | 0 00 | 11,140 96 |

|                                      |       |      |           |           |          |      |          |
|--------------------------------------|-------|------|-----------|-----------|----------|------|----------|
| SANOFI SPONSORED ADR CUSIP 80105N105 |       |      |           |           |          |      |          |
| SNY                                  | 53 63 | 0 00 | 18,555 98 | 17,112 82 | 1,443 16 | 0 00 | 1,443 16 |

|           |  |       |            |            |           |      |           |
|-----------|--|-------|------------|------------|-----------|------|-----------|
| Total USD |  | 69 26 | 218,839 78 | 178,545 96 | 40,293 82 | 0 00 | 40,293 82 |
|-----------|--|-------|------------|------------|-----------|------|-----------|

|              |  |       |            |            |           |      |           |
|--------------|--|-------|------------|------------|-----------|------|-----------|
| Total France |  | 69 26 | 218,839 78 | 178,545 96 | 40,293 82 | 0 00 | 40,293 82 |
|--------------|--|-------|------------|------------|-----------|------|-----------|

#### Germany - USD

|                                                        |        |      |           |          |          |      |          |
|--------------------------------------------------------|--------|------|-----------|----------|----------|------|----------|
| ADR BASF AKTIENGESSELLSCHAFT - LEVEL I CUSIP 055262505 |        |      |           |          |          |      |          |
| 100 000                                                | 107 79 | 0 00 | 10,779 00 | 8,343 40 | 2,435 60 | 0 00 | 2,435 60 |

|                                             |        |      |          |          |          |      |          |
|---------------------------------------------|--------|------|----------|----------|----------|------|----------|
| ADR BAYER A G SPONSORED ADR CUSIP 072730302 |        |      |          |          |          |      |          |
| BAYRY                                       | 142 00 | 0 00 | 9,798 00 | 7,488 80 | 2,309 20 | 0 00 | 2,309 20 |

|                                                     |       |      |           |           |          |      |          |
|-----------------------------------------------------|-------|------|-----------|-----------|----------|------|----------|
| ADR BAYERISCHE MOTORENWERKE A G ADR CUSIP 072743206 |       |      |           |           |          |      |          |
| BAMXY                                               | 39 43 | 0 00 | 20,700 75 | 17,481 74 | 3,219 01 | 0 00 | 3,219 01 |

|                                         |      |      |           |          |          |      |          |
|-----------------------------------------|------|------|-----------|----------|----------|------|----------|
| ADR DEUTSCHE BOERSE ADR CUSIP 251542106 |      |      |           |          |          |      |          |
| 1,375 000                               | 8 25 | 0 00 | 11,343 75 | 7,470 70 | 3,873 05 | 0 00 | 3,873 05 |

|                                          |       |      |           |           |          |      |          |
|------------------------------------------|-------|------|-----------|-----------|----------|------|----------|
| ADR SAP AG SPONSORED ADR CUSIP 803054204 |       |      |           |           |          |      |          |
| SAP                                      | 87 14 | 0 00 | 48,101 28 | 39,374 81 | 8,726 47 | 0 00 | 8,726 47 |

|                                                      |       |      |           |           |          |      |          |
|------------------------------------------------------|-------|------|-----------|-----------|----------|------|----------|
| ALLIANZ SE ADR EACH REP 1/10 ORD SHS CUSIP 018805101 |       |      |           |           |          |      |          |
| 1,628 000                                            | 18 13 | 0 00 | 29,515 64 | 20,378 51 | 9,137 13 | 0 00 | 9,137 13 |

## Northern Trust

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Mgr ID | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|-------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Shares/PAR value       |                   |                                      |                           |              |      |        |                                     |       |

### Equity Securities

#### Common stock

##### Germany - USD

|                                                 |       |  |      |           |           |        |      |        |
|-------------------------------------------------|-------|--|------|-----------|-----------|--------|------|--------|
| FRESENIUS MED CARE AG & CO KGAA CUSIP 358029106 |       |  |      |           |           |        |      |        |
| FMS                                             |       |  |      |           |           |        |      |        |
| 493 000                                         | 35 58 |  | 0 00 | 17,540 94 | 18,974 40 | 566 54 | 0 00 | 566 54 |

LINDE AG-SPONSORED ADR CUSIP 535223200

|           |        |  |      |            |            |           |      |           |
|-----------|--------|--|------|------------|------------|-----------|------|-----------|
| 1,031 000 | 20 952 |  | 0 00 | 21,601 51  | 17,874 94  | 3,726 57  | 0 00 | 3,726 57  |
| Total USD |        |  | 0 00 | 169,380 87 | 135,387 30 | 33,993 57 | 0 00 | 33,993 57 |

Total Germany

##### Hong Kong - USD

|                                                 |        |  |      |           |           |          |      |          |
|-------------------------------------------------|--------|--|------|-----------|-----------|----------|------|----------|
| ADR AIA GROUP LTD SPONSORED ADR CUSIP 001317205 |        |  |      |           |           |          |      |          |
| AAGIY                                           |        |  |      |           |           |          |      |          |
| 1,199 000                                       | 20 068 |  | 0 00 | 24,061 53 | 18,797 64 | 5,263 89 | 0 00 | 5,263 89 |

ADR HONG KONG EXCHANGES & CLEARING LTD ADR CUSIP 43858F109

|         |        |  |      |          |          |        |      |        |
|---------|--------|--|------|----------|----------|--------|------|--------|
| 591 000 | 16 676 |  | 0 00 | 9,855 51 | 9,475 44 | 380 07 | 0 00 | 380 07 |
|---------|--------|--|------|----------|----------|--------|------|--------|

ADR JARDINE MATHESON HLDGS LTD ADR CUSIP 471115402

|         |       |  |      |           |           |           |      |           |
|---------|-------|--|------|-----------|-----------|-----------|------|-----------|
| JMHLY   |       |  |      |           |           |           |      |           |
| 235 000 | 52 81 |  | 0 00 | 12,410 35 | 15,423 46 | -3,013 11 | 0 00 | -3,013 11 |

ADR XINYI GLASS HLDGS LTD ADS REPSTG 20 SHS CUSIP 98418R100

|         |        |  |      |          |          |          |      |          |
|---------|--------|--|------|----------|----------|----------|------|----------|
| 266 000 | 17 643 |  | 0 00 | 4,693 03 | 2,957 92 | 1,735 11 | 0 00 | 1,735 11 |
|---------|--------|--|------|----------|----------|----------|------|----------|

Total USD

|  |  |  |      |           |           |          |      |          |
|--|--|--|------|-----------|-----------|----------|------|----------|
|  |  |  | 0 00 | 51,020 42 | 46,654 46 | 4,365 96 | 0 00 | 4,365 96 |
|--|--|--|------|-----------|-----------|----------|------|----------|

Total Hong Kong

##### India - USD

|  |  |  |      |           |           |          |      |          |
|--|--|--|------|-----------|-----------|----------|------|----------|
|  |  |  | 0 00 | 51,020 42 | 46,654 46 | 4,365 96 | 0 00 | 4,365 96 |
|--|--|--|------|-----------|-----------|----------|------|----------|

# Foundation

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Investment Mgr ID      |                                      |                           |              |      |        |                                     |       |
| Shares/PAIR value      |                                      |                           |              |      |        |                                     |       |

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### Equity Securities

#### Common stock

##### India - USD

ADR ICICI BK LTD CUSIP 45104G104

|             |       |      |           |           |           |      |           |
|-------------|-------|------|-----------|-----------|-----------|------|-----------|
| 589 000     | 37 17 | 0 00 | 21,893 13 | 24,229 35 | -2,336 22 | 0 00 | -2,336 22 |
| Total USD   |       | 0 00 | 21,893 13 | 24,229 35 | -2,336 22 | 0 00 | -2,336 22 |
| Total India |       | 0 00 | 21,893 13 | 24,229 35 | -2,336 22 | 0 00 | -2,336 22 |

##### Israel - USD

ADR PARTNER COMMUNICATIONS CO LTD ADR ISIN US70211M1099 CUSIP 70211M109

|           |      |      |           |          |          |      |          |
|-----------|------|------|-----------|----------|----------|------|----------|
| 1,306 000 | 9 38 | 0 00 | 12,250 28 | 7,816 70 | 4,433 58 | 0 00 | 4,433 58 |
|-----------|------|------|-----------|----------|----------|------|----------|

CHECK PT SOFTWARE TECHNOLOGIES ORDLIS 01 CUSIP M22465104

|              |       |      |           |           |           |      |           |
|--------------|-------|------|-----------|-----------|-----------|------|-----------|
| 296 000      | 64 52 | 0 00 | 19,097 92 | 12,832 67 | 6,265 25  | 0 00 | 6,265 25  |
| Total USD    |       | 0 00 | 31,348 20 | 20,649 37 | 10,698 83 | 0 00 | 10,698 83 |
| Total Israel |       | 0 00 | 31,348 20 | 20,649 37 | 10,698 83 | 0 00 | 10,698 83 |

##### Japan - USD

ADR CANON INC SPONSORED ADR REPSTG 5 SHS CUSIP 1380060309 CAJ

|         |       |      |          |          |       |      |       |
|---------|-------|------|----------|----------|-------|------|-------|
| 188 000 | 32 00 | 0 00 | 6,016 00 | 5,983 71 | 32 29 | 0 00 | 32 29 |
|---------|-------|------|----------|----------|-------|------|-------|

ADR DAHATSU MTR CO LTD CUSIP 23381A108

|         |       |      |          |          |           |      |           |
|---------|-------|------|----------|----------|-----------|------|-----------|
| 190 000 | 33 80 | 0 00 | 6,422 00 | 7,527 88 | -1,105 88 | 0 00 | -1,105 88 |
|---------|-------|------|----------|----------|-----------|------|-----------|

ADR JGC CORP CUSIP 466140100

|         |        |      |           |           |          |      |          |
|---------|--------|------|-----------|-----------|----------|------|----------|
| 310 000 | 78 493 | 0 00 | 24,332 83 | 20,909 87 | 3,422 96 | 0 00 | 3,422 96 |
|---------|--------|------|-----------|-----------|----------|------|----------|

Northern Trust

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Account number Multiple Accounts

02-08303,02-79761,02-79791,02-79792

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## ◆ Asset Detail - Base Currency

| <u>Description / Asset ID</u> | <u>Exchange rate/</u> | <u>Accrued</u> | <u>Market Value</u> | <u>Cost</u> | <u>Market</u> | <u>Unrealized gain/loss</u> | <u>Total</u> |
|-------------------------------|-----------------------|----------------|---------------------|-------------|---------------|-----------------------------|--------------|
| Investment Mgr ID             | local market price    | Income/expense |                     |             |               | Translation                 |              |
| Shares/PAR value              |                       |                |                     |             |               |                             |              |

### Equity Securities

#### Common stock

##### Japan - USD

ADR MITSUBISHI ESTATE LTD ADR MITSUBISHIEST ADR + CUSIP 606783207

|         |       |      |           |           |        |      |        |
|---------|-------|------|-----------|-----------|--------|------|--------|
| 446 000 | 30 14 | 0 00 | 13,442 44 | 13,248 83 | 193 61 | 0 00 | 193 61 |
|---------|-------|------|-----------|-----------|--------|------|--------|

ADR MONOTARO CO LTD ADR CUSIP 61022V107

|         |         |      |          |          |         |      |         |
|---------|---------|------|----------|----------|---------|------|---------|
| 179 000 | 20 3416 | 0 00 | 3,641 14 | 4,101 57 | -460 43 | 0 00 | -460 43 |
|---------|---------|------|----------|----------|---------|------|---------|

ADR TOYOTA MTR CORP SPONSORED ADR CUSIP 892331307  
TM

|         |        |      |           |           |          |      |          |
|---------|--------|------|-----------|-----------|----------|------|----------|
| 160 000 | 121 92 | 0 00 | 19,507 20 | 14,456 82 | 5,050 38 | 0 00 | 5,050 38 |
|---------|--------|------|-----------|-----------|----------|------|----------|

FANUC CORPORATION CUSIP 307305102

|           |        |      |           |           |          |      |          |
|-----------|--------|------|-----------|-----------|----------|------|----------|
| 1,520 000 | 30 525 | 0 00 | 46,398 00 | 40,617 98 | 5,780 12 | 0 00 | 5,780 12 |
|-----------|--------|------|-----------|-----------|----------|------|----------|

SYSMEX CORP ADR CUSIP 87184P109

|         |        |      |           |           |          |      |          |
|---------|--------|------|-----------|-----------|----------|------|----------|
| 562 000 | 29 686 | 0 00 | 16,663 53 | 12,954 33 | 3,719 20 | 0 00 | 3,719 20 |
|---------|--------|------|-----------|-----------|----------|------|----------|

TOSHIBA CORP ADR CUSIP 891493306

|         |        |      |          |          |           |      |           |
|---------|--------|------|----------|----------|-----------|------|-----------|
| 267 000 | 25 232 | 0 00 | 6,736 94 | 7,749 77 | -1,012 83 | 0 00 | -1,012 83 |
|---------|--------|------|----------|----------|-----------|------|-----------|

|           |  |      |            |            |           |      |           |
|-----------|--|------|------------|------------|-----------|------|-----------|
| Total USD |  | 0 00 | 143,180 08 | 127,560 66 | 15,619 42 | 0 00 | 15,619 42 |
|-----------|--|------|------------|------------|-----------|------|-----------|

|             |  |      |            |            |           |      |           |
|-------------|--|------|------------|------------|-----------|------|-----------|
| Total Japan |  | 0 00 | 143,180 08 | 127,560 66 | 15,619 42 | 0 00 | 15,619 42 |
|-------------|--|------|------------|------------|-----------|------|-----------|

##### Mexico - USD

ADR GRUPO FINANCIERO BANORTE S A B DEC CUSIP 40052P107  
GBOOY

|         |        |       |          |          |         |      |         |
|---------|--------|-------|----------|----------|---------|------|---------|
| 188 000 | 34 878 | 25 08 | 6,591 94 | 7,387 06 | -795 12 | 0 00 | -795 12 |
|---------|--------|-------|----------|----------|---------|------|---------|

|           |  |       |          |          |         |      |         |
|-----------|--|-------|----------|----------|---------|------|---------|
| Total USD |  | 25 08 | 6,591 94 | 7,387 06 | -795 12 | 0 00 | -795 12 |
|-----------|--|-------|----------|----------|---------|------|---------|

|              |  |       |          |          |         |      |         |
|--------------|--|-------|----------|----------|---------|------|---------|
| Total Mexico |  | 25 08 | 6,591 94 | 7,387 06 | -795 12 | 0 00 | -795 12 |
|--------------|--|-------|----------|----------|---------|------|---------|

Northern Trust

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Account number Multiple Accounts

02-08303,02-79761,02-79791,02-79792

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## ◆ Asset Detail - Base Currency

| Description / Asset ID<br>Investment Mgr ID<br>Shares/PAR value | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost      | Market    | Unrealized gain/loss<br>Translation | Total     |
|-----------------------------------------------------------------|--------------------------------------|---------------------------|--------------|-----------|-----------|-------------------------------------|-----------|
| <b>Equity Securities</b>                                        |                                      |                           |              |           |           |                                     |           |
| <b>Common stock</b>                                             |                                      |                           |              |           |           |                                     |           |
| <b>Netherlands - USD</b>                                        |                                      |                           |              |           |           |                                     |           |
| ADR AKZO NOBEL NV SPONSORED ADR CUSIP 010199305                 |                                      |                           |              |           |           |                                     |           |
| 592 000                                                         | 25 87                                | 0 00                      | 15,315 04    | 11,086 96 | 4,228 08  | 0 00                                | 4,228 08  |
| ADR ASML HLDG NV NY REG 2012 (POST REV SPLIT) CUSIP N07059210   |                                      |                           |              |           |           |                                     |           |
| ASML                                                            |                                      |                           |              |           |           |                                     |           |
| 114 000                                                         | 93 70                                | 0 00                      | 10,681 80    | 7,879 45  | 2,802 35  | 0 00                                | 2,802 35  |
| ADR KONINKLUKE AHOLD NV SPONSORED ADR 2007 CUSIP 500467402      |                                      |                           |              |           |           |                                     |           |
| 1,005 000                                                       | 18 01                                | 0 00                      | 18,100 05    | 12,596 49 | 5,503 56  | 0 00                                | 5,503 56  |
| ADR UNILEVER NV NEW YORK SHS NEW CUSIP 904784709                |                                      |                           |              |           |           |                                     |           |
| UN                                                              |                                      |                           |              |           |           |                                     |           |
| 378 000                                                         | 40 23                                | 0 00                      | 15,208 94    | 13,896 48 | 1,310 46  | 0 00                                | 1,310 46  |
| Total USD                                                       |                                      | 0 00                      | 59,303 83    | 45,459 38 | 13,844 45 | 0 00                                | 13,844 45 |
| Total Netherlands                                               |                                      | 0 00                      | 59,303 83    | 45,459 38 | 13,844 45 | 0 00                                | 13,844 45 |
| <b>Russian Federation - USD</b>                                 |                                      |                           |              |           |           |                                     |           |
| ADR OAO GAZPROM LEVEL 1 ADR CUSIP 368287207                     |                                      |                           |              |           |           |                                     |           |
| OGZPY                                                           |                                      |                           |              |           |           |                                     |           |
| 911 000                                                         | 8 65                                 | 0 00                      | 7,880 15     | 8,733 03  | -852 88   | 0 00                                | -852 88   |
| ADR SBERBANK RUSSIA SPONSORED ADR CUSIP 80565Y308               |                                      |                           |              |           |           |                                     |           |
| SBCRY                                                           |                                      |                           |              |           |           |                                     |           |
| 881 000                                                         | 12 57                                | 0 00                      | 11,074 17    | 10,238 11 | 836 06    | 0 00                                | 836 06    |
| Total USD                                                       |                                      | 0 00                      | 18,954 32    | 18,971 14 | -16 82    | 0 00                                | -16 82    |
| Total Russian Federation                                        |                                      | 0 00                      | 18,954 32    | 18,971 14 | -16 82    | 0 00                                | -16 82    |
| <b>Singapore - USD</b>                                          |                                      |                           |              |           |           |                                     |           |

Northern Trust

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Investment Mgr ID      |                                      |                           |              |      |        |                                     |       |
| Shares/PAF value       |                                      |                           |              |      |        |                                     |       |

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## Equity Securities

### Common stock

#### Singapore - USD

ADR DBS GROUP HLDGS LTD SPONSORED ADR CUSIP 23304Y100

|       |         |       |      |           |           |          |      |          |
|-------|---------|-------|------|-----------|-----------|----------|------|----------|
| DBSDY | 241 000 | 54 27 | 0 00 | 13,079 07 | 11,224 48 | 1,854 59 | 0 00 | 1,854 59 |
|-------|---------|-------|------|-----------|-----------|----------|------|----------|

ADR UNITED OVERSEAS BK LTD SPONSORED ADR CUSIP 911271302

|       |         |        |      |          |          |        |      |        |
|-------|---------|--------|------|----------|----------|--------|------|--------|
| UOVEY | 223 000 | 33 845 | 0 00 | 7,502 83 | 6,825 36 | 677 47 | 0 00 | 677 47 |
|-------|---------|--------|------|----------|----------|--------|------|--------|

|           |  |  |      |           |           |          |      |          |
|-----------|--|--|------|-----------|-----------|----------|------|----------|
| Total USD |  |  | 0 00 | 20,581 90 | 18,049 84 | 2,532 06 | 0 00 | 2,532 06 |
|-----------|--|--|------|-----------|-----------|----------|------|----------|

|                 |  |  |      |           |           |          |      |          |
|-----------------|--|--|------|-----------|-----------|----------|------|----------|
| Total Singapore |  |  | 0 00 | 20,581 90 | 18,049 84 | 2,532 06 | 0 00 | 2,532 06 |
|-----------------|--|--|------|-----------|-----------|----------|------|----------|

#### South Africa - USD

ADR MTN GROUP LTD SPONSORED ADR CUSIP 62474M108

|       |         |       |      |          |          |        |      |        |
|-------|---------|-------|------|----------|----------|--------|------|--------|
| MTNOY | 398 000 | 21 00 | 0 00 | 8,358 00 | 7,528 22 | 829 78 | 0 00 | 829 78 |
|-------|---------|-------|------|----------|----------|--------|------|--------|

ADR SASOL LTD SPONSORED ADR CUSIP 803866300

|     |         |       |      |          |          |        |      |        |
|-----|---------|-------|------|----------|----------|--------|------|--------|
| SSL | 154 000 | 49 45 | 0 00 | 7,615 30 | 6,680 87 | 934 43 | 0 00 | 934 43 |
|-----|---------|-------|------|----------|----------|--------|------|--------|

|           |  |  |      |           |           |          |      |          |
|-----------|--|--|------|-----------|-----------|----------|------|----------|
| Total USD |  |  | 0 00 | 15,973 30 | 14,209 09 | 1,764 21 | 0 00 | 1,764 21 |
|-----------|--|--|------|-----------|-----------|----------|------|----------|

|                    |  |  |      |           |           |          |      |          |
|--------------------|--|--|------|-----------|-----------|----------|------|----------|
| Total South Africa |  |  | 0 00 | 15,973 30 | 14,209 09 | 1,764 21 | 0 00 | 1,764 21 |
|--------------------|--|--|------|-----------|-----------|----------|------|----------|

#### Spain - USD

ADR BANCO BILBAO VIZCAYA ARGENTARIA S A SPONSORED ADR CUSIP 05948K101

|  |           |       |      |           |           |          |      |          |
|--|-----------|-------|------|-----------|-----------|----------|------|----------|
|  | 1,302 000 | 12 39 | 0 00 | 16,131 78 | 12,692 06 | 3,439 72 | 0 00 | 3,439 72 |
|--|-----------|-------|------|-----------|-----------|----------|------|----------|

|           |  |  |      |           |           |          |      |          |
|-----------|--|--|------|-----------|-----------|----------|------|----------|
| Total USD |  |  | 0 00 | 16,131 78 | 12,692 06 | 3,439 72 | 0 00 | 3,439 72 |
|-----------|--|--|------|-----------|-----------|----------|------|----------|

|             |  |  |      |           |           |          |      |          |
|-------------|--|--|------|-----------|-----------|----------|------|----------|
| Total Spain |  |  | 0 00 | 16,131 78 | 12,692 06 | 3,439 72 | 0 00 | 3,439 72 |
|-------------|--|--|------|-----------|-----------|----------|------|----------|

#### Sweden - USD

# Foundation

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/<br>local market price | Accrued<br>Income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Investment Mgr ID      |                                      |                           |              |      |        |                                     |       |
| Shares/PAR value       |                                      |                           |              |      |        |                                     |       |

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## Equity Securities

### Common stock

#### Sweden - USD

ADR ATLAS COPCO AB SPONSORED ADR NEW REPSTG COM SER A CUSIP 049255706

|       |         |        |      |           |           |          |          |
|-------|---------|--------|------|-----------|-----------|----------|----------|
| ATLKY | 504 000 | 27 761 | 0 00 | 13,991 54 | 12,112 50 | 1,879 04 | 1,879 04 |
|-------|---------|--------|------|-----------|-----------|----------|----------|

ADR SVENSKA CELLULOSA AKTIEBOLAGET SCA SPONSORED ADR CUSIP 869587402

|  |         |       |      |          |          |          |          |
|--|---------|-------|------|----------|----------|----------|----------|
|  | 301 000 | 30 88 | 0 00 | 9,294 88 | 5,650 23 | 3,644 65 | 3,644 65 |
|--|---------|-------|------|----------|----------|----------|----------|

ERICSSON CUSIP 294821608

|  |           |       |      |           |          |          |          |
|--|-----------|-------|------|-----------|----------|----------|----------|
|  | 1,096 000 | 12 24 | 0 00 | 13,415 04 | 9,793 41 | 3,621 63 | 3,621 63 |
|--|-----------|-------|------|-----------|----------|----------|----------|

SVENSKA HANDELSBANKEN AB ADR CUSIP 86959C103

|  |         |       |      |          |          |          |          |
|--|---------|-------|------|----------|----------|----------|----------|
|  | 399 000 | 24 68 | 0 00 | 9,847 32 | 7,118 54 | 2,728 78 | 2,728 78 |
|--|---------|-------|------|----------|----------|----------|----------|

|           |  |  |      |           |           |           |           |
|-----------|--|--|------|-----------|-----------|-----------|-----------|
| Total USD |  |  | 0 00 | 46,548 78 | 34,674 68 | 11,874 10 | 11,874 10 |
|-----------|--|--|------|-----------|-----------|-----------|-----------|

Total Sweden

#### Switzerland - USD

ADR COMPAGNIE FINANCIERE RICHEMONT AG SWITZ ADR CUSIP 204319107

|  |         |       |      |          |          |         |         |
|--|---------|-------|------|----------|----------|---------|---------|
|  | 773 000 | 10 00 | 0 00 | 7,730 00 | 7,852 37 | -122 37 | -122 37 |
|--|---------|-------|------|----------|----------|---------|---------|

ADR GIVAUDAN SA ADR CUSIP 37636P108

|  |         |       |      |           |           |          |          |
|--|---------|-------|------|-----------|-----------|----------|----------|
|  | 705 000 | 28 65 | 0 00 | 20,198 25 | 14,181 24 | 6,017 01 | 6,017 01 |
|--|---------|-------|------|-----------|-----------|----------|----------|

ADR JULIUS BAER GROUP LTD-UN ADR CUSIP 48137C108

|  |         |      |      |          |          |       |       |
|--|---------|------|------|----------|----------|-------|-------|
|  | 633 000 | 9 65 | 0 00 | 6,108 45 | 6,066 65 | 41 80 | 41 80 |
|--|---------|------|------|----------|----------|-------|-------|

ADR NESTLE S A SPONSORED ADR REPSTG REG SH CUSIP 641069406

|       |         |       |      |           |           |          |          |
|-------|---------|-------|------|-----------|-----------|----------|----------|
| NSRGY | 447 000 | 73 59 | 0 00 | 32,894 73 | 29,389 73 | 3,505 00 | 3,505 00 |
|-------|---------|-------|------|-----------|-----------|----------|----------|

## Northern Trust

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# Foundation

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Account number Multiple Accounts

02-08303,02-79761,02-79791,02-79792

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Mgr ID | Exchange rate/<br>local market price | Accrued<br>Income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|-------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
|------------------------|-------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|

### Equity Securities

#### Common stock

##### Switzerland - USD

ADR NOVARTIS AG CUSIP 66987V109

|           |       |      |            |            |           |      |           |
|-----------|-------|------|------------|------------|-----------|------|-----------|
| 1,961,000 | 80.38 | 0.00 | 157,625.18 | 110,788.96 | 46,836.22 | 0.00 | 46,836.22 |
|-----------|-------|------|------------|------------|-----------|------|-----------|

ADR ROCHE HLDG LTD SPONSORED ADR ISIN #US771195104 CUSIP 771195104  
RHBY

|         |       |      |           |           |           |      |           |
|---------|-------|------|-----------|-----------|-----------|------|-----------|
| 816,000 | 70.20 | 0.00 | 57,283.20 | 39,897.52 | 17,385.68 | 0.00 | 17,385.68 |
|---------|-------|------|-----------|-----------|-----------|------|-----------|

ADR SONOVA HLDG AG UNSP ADR CUSIP 83569C102

|         |        |      |           |           |          |      |          |
|---------|--------|------|-----------|-----------|----------|------|----------|
| 708,000 | 26.986 | 0.00 | 19,106.08 | 14,924.06 | 4,182.02 | 0.00 | 4,182.02 |
|---------|--------|------|-----------|-----------|----------|------|----------|

ADR SWATCH GROUP AG ADR CUSIP 870123106

|         |       |      |           |          |          |      |          |
|---------|-------|------|-----------|----------|----------|------|----------|
| 388,000 | 33.20 | 0.00 | 12,881.60 | 8,385.68 | 4,495.92 | 0.00 | 4,495.92 |
|---------|-------|------|-----------|----------|----------|------|----------|

SGS SA CUSIP 818800104

|         |       |      |           |           |        |      |        |
|---------|-------|------|-----------|-----------|--------|------|--------|
| 786,000 | 22.97 | 0.00 | 18,054.42 | 17,099.56 | 954.86 | 0.00 | 954.86 |
|---------|-------|------|-----------|-----------|--------|------|--------|

UBS AG SHS COM CUSIP H89231338

|         |       |      |          |          |         |      |         |
|---------|-------|------|----------|----------|---------|------|---------|
| 289,000 | 19.25 | 0.00 | 5,563.25 | 5,946.82 | -383.57 | 0.00 | -383.57 |
|---------|-------|------|----------|----------|---------|------|---------|

|           |  |      |            |            |           |      |           |
|-----------|--|------|------------|------------|-----------|------|-----------|
| Total USD |  | 0.00 | 337,445.16 | 254,532.59 | 82,912.57 | 0.00 | 82,912.57 |
|-----------|--|------|------------|------------|-----------|------|-----------|

|                   |  |      |            |            |           |      |           |
|-------------------|--|------|------------|------------|-----------|------|-----------|
| Total Switzerland |  | 0.00 | 337,445.16 | 254,532.59 | 82,912.57 | 0.00 | 82,912.57 |
|-------------------|--|------|------------|------------|-----------|------|-----------|

##### Taiwan - USD

TAIWAN SEMICONDUCTOR MFG CO LTD SPONSORED ADR CUSIP 874039100

TSM

|           |       |      |           |           |          |      |          |
|-----------|-------|------|-----------|-----------|----------|------|----------|
| 1,337,000 | 17.44 | 0.00 | 23,317.28 | 21,915.82 | 1,401.46 | 0.00 | 1,401.46 |
|-----------|-------|------|-----------|-----------|----------|------|----------|

|           |  |      |           |           |          |      |          |
|-----------|--|------|-----------|-----------|----------|------|----------|
| Total USD |  | 0.00 | 23,317.28 | 21,915.82 | 1,401.46 | 0.00 | 1,401.46 |
|-----------|--|------|-----------|-----------|----------|------|----------|

|              |  |      |           |           |          |      |          |
|--------------|--|------|-----------|-----------|----------|------|----------|
| Total Taiwan |  | 0.00 | 23,317.28 | 21,915.82 | 1,401.46 | 0.00 | 1,401.46 |
|--------------|--|------|-----------|-----------|----------|------|----------|

### Northern Trust

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Account number Multiple Accounts

02-08303,02-79761,02-79791,02-79792

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Investment Mgr ID | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|-------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Shares/PAF value       |                   |                                      |                           |              |      |        |                                     |       |

## Equity Securities

### Common stock

#### Turkey - USD

ADR TURKIYE GARANTI BANKASI A S SPONSORED ADR REPSTG 2000 SHS CUSIP 900148701

|              |      |  |      |           |           |           |      |           |
|--------------|------|--|------|-----------|-----------|-----------|------|-----------|
| 4,792,000    | 3 21 |  | 0 00 | 15,382 32 | 24,418 14 | -9,035 82 | 0 00 | -9,035 82 |
| Total USD    |      |  | 0 00 | 15,382 32 | 24,418 14 | -9,035 82 | 0 00 | -9,035 82 |
| Total Turkey |      |  | 0 00 | 15,382 32 | 24,418 14 | -9,035 82 | 0 00 | -9,035 82 |

#### United Kingdom - USD

ADR ARM HLDS PLC SPONSORED ISIN US0420681068 CUSIP 042068106

|         |       |  |      |           |           |           |      |           |
|---------|-------|--|------|-----------|-----------|-----------|------|-----------|
| 404,000 | 54 74 |  | 0 00 | 22,114 96 | 11,711 20 | 10,403 76 | 0 00 | 10,403 76 |
|---------|-------|--|------|-----------|-----------|-----------|------|-----------|

ADR BARCLAYS PLC A D R CUSIP 06738E204

BCS

|         |       |  |      |           |           |        |      |        |
|---------|-------|--|------|-----------|-----------|--------|------|--------|
| 592,000 | 18 13 |  | 0 00 | 10,732 96 | 10,372 73 | 360 23 | 0 00 | 360 23 |
|---------|-------|--|------|-----------|-----------|--------|------|--------|

ADR BG PLC ADR FINAL INSTMT NEW CUSIP 055434203

|         |       |  |      |           |           |          |      |          |
|---------|-------|--|------|-----------|-----------|----------|------|----------|
| 730,000 | 21 69 |  | 0 00 | 15,833 70 | 14,268 48 | 1,565 22 | 0 00 | 1,565 22 |
|---------|-------|--|------|-----------|-----------|----------|------|----------|

ADR BUNZL PLC SPONSORED ADR NEW CUSIP 120738406

|         |       |  |        |           |           |          |      |          |
|---------|-------|--|--------|-----------|-----------|----------|------|----------|
| 805,000 | 24 06 |  | 123 14 | 19,368 30 | 13,729 17 | 5,639 13 | 0 00 | 5,639 13 |
|---------|-------|--|--------|-----------|-----------|----------|------|----------|

ADR ICAP PLC SPONSORED ADR REPSTG 2 ORD SH ADR CUSIP 450936109

|         |       |  |       |          |          |          |      |          |
|---------|-------|--|-------|----------|----------|----------|------|----------|
| 396,000 | 15 17 |  | 77 58 | 6,007 32 | 3,973 92 | 2,033 40 | 0 00 | 2,033 40 |
|---------|-------|--|-------|----------|----------|----------|------|----------|

ADR REED ELSEVIER P L C SPONSORED ADR NEW CUSIP 758205207

|         |       |  |      |           |           |          |      |          |
|---------|-------|--|------|-----------|-----------|----------|------|----------|
| 326,000 | 60 05 |  | 0 00 | 19,576 30 | 14,029 22 | 5,547 08 | 0 00 | 5,547 08 |
|---------|-------|--|------|-----------|-----------|----------|------|----------|

ADR SABMILLER PLC SPONSORED ADR CUSIP 76572M105

|         |       |  |      |           |           |        |      |        |
|---------|-------|--|------|-----------|-----------|--------|------|--------|
| 229,000 | 51 28 |  | 0 00 | 11,743 12 | 11,426 60 | 316 52 | 0 00 | 316 52 |
|---------|-------|--|------|-----------|-----------|--------|------|--------|

## Northern Trust

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Investment Mgr ID      |                                      |                           |              |      |        |                                     |       |
| Shares/PAF value       |                                      |                           |              |      |        |                                     |       |

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## Equity Securities

### Common stock

#### United Kingdom - USD

|                                             |       |      |          |          |        |      |        |
|---------------------------------------------|-------|------|----------|----------|--------|------|--------|
| ADR TESCO PLC SPONSORED ADR CUSIP 881575302 |       |      |          |          |        |      |        |
| 575 000                                     | 16.84 | 0.00 | 9,683.00 | 8,906.93 | 776.07 | 0.00 | 776.07 |

ADR TULLOW OIL PLC ADR ADR CUSIP 889415202

|         |      |      |          |          |           |      |           |
|---------|------|------|----------|----------|-----------|------|-----------|
| 768 000 | 7.06 | 0.00 | 5,422.08 | 8,773.11 | -3,351.03 | 0.00 | -3,351.03 |
|---------|------|------|----------|----------|-----------|------|-----------|

ADR UNILEVER PLC SPONSORED ADR NEW CUSIP 904767704

|         |       |      |          |          |        |      |        |
|---------|-------|------|----------|----------|--------|------|--------|
| 230 000 | 41.20 | 0.00 | 9,476.00 | 8,619.61 | 856.39 | 0.00 | 856.39 |
|---------|-------|------|----------|----------|--------|------|--------|

ADR WPP PLC ADR DR EACH REPR 5 SHS CUSIP 92937A102

|         |        |      |           |           |           |      |           |
|---------|--------|------|-----------|-----------|-----------|------|-----------|
| 270 000 | 114.86 | 0.00 | 31,012.20 | 18,023.87 | 12,988.33 | 0.00 | 12,988.33 |
|---------|--------|------|-----------|-----------|-----------|------|-----------|

|           |  |        |            |            |           |      |           |
|-----------|--|--------|------------|------------|-----------|------|-----------|
| Total USD |  | 200.72 | 160,969.94 | 123,834.84 | 37,135.10 | 0.00 | 37,135.10 |
|-----------|--|--------|------------|------------|-----------|------|-----------|

|                      |  |        |            |            |           |      |           |
|----------------------|--|--------|------------|------------|-----------|------|-----------|
| Total United Kingdom |  | 200.72 | 160,969.94 | 123,834.84 | 37,135.10 | 0.00 | 37,135.10 |
|----------------------|--|--------|------------|------------|-----------|------|-----------|

#### United States - USD

|                           |  |  |  |  |  |  |  |
|---------------------------|--|--|--|--|--|--|--|
| 3M CO COM CUSIP 88578Y101 |  |  |  |  |  |  |  |
|---------------------------|--|--|--|--|--|--|--|

|           |        |      |            |           |            |      |            |
|-----------|--------|------|------------|-----------|------------|------|------------|
| 2,500 000 | 140.25 | 0.00 | 350,625.00 | 16,729.03 | 333,895.97 | 0.00 | 333,895.97 |
|-----------|--------|------|------------|-----------|------------|------|------------|

AARON'S INC CLASS A CUSIP 002535300

|         |       |      |          |          |        |      |        |
|---------|-------|------|----------|----------|--------|------|--------|
| 202 000 | 29.40 | 4.24 | 5,938.80 | 5,801.85 | 136.95 | 0.00 | 136.95 |
|---------|-------|------|----------|----------|--------|------|--------|

ABBOTT LAB COM CUSIP 002824100

|           |       |      |            |           |           |      |           |
|-----------|-------|------|------------|-----------|-----------|------|-----------|
| 3,000 000 | 38.33 | 0.00 | 114,990.00 | 56,347.73 | 58,642.27 | 0.00 | 58,642.27 |
|-----------|-------|------|------------|-----------|-----------|------|-----------|

ACCENTURE PLC SHS CL A NEW CUSIP G1151C101

|        |       |      |          |          |          |      |          |
|--------|-------|------|----------|----------|----------|------|----------|
| 79 000 | 82.22 | 0.00 | 8,495.38 | 5,331.63 | 1,163.75 | 0.00 | 1,163.75 |
|--------|-------|------|----------|----------|----------|------|----------|

## Northern Trust

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/<br>Investment Mgr ID | Accrued<br>Income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|-------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Shares/PAV value       | local market price                  |                           |              |      |        |                                     |       |

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Account number Multiple Accounts

02-08303,02-79761,02-79791,02-79792

## Equity Securities

### Common stock

#### United States - USD

|                                                                      |         |       |           |           |           |      |           |
|----------------------------------------------------------------------|---------|-------|-----------|-----------|-----------|------|-----------|
| ACTIVISION BLIZZARD INC COM STK CUSIP 00507V109                      |         |       |           |           |           |      |           |
| 482 000                                                              | 17 83   | 0 00  | 8,594 06  | 5,356 39  | 3,237 67  | 0 00 | 3,237 67  |
| ADR COCHLEAR LTD ADR UNSPON CUSIP 191459205                          |         |       |           |           |           |      |           |
| 289 000                                                              | 26 356  | 0 00  | 7,616 88  | 11,046 23 | -3,429 35 | 0 00 | -3,429 35 |
| ADR HENKEL AG & CO KGAA SPONSORED ADR REPSTG PFD SHS CUSIP 42550U208 |         |       |           |           |           |      |           |
| 162 000                                                              | 116 174 | 0 00  | 18,820 18 | 16,171 22 | 2,648 96  | 0 00 | 2,648 96  |
| ADR NORDEA BK AB SWEDEN CUSIP 65557A206                              |         |       |           |           |           |      |           |
| 1,271 000                                                            | 13 491  | 0 00  | 17,147 08 | 12,210 15 | 4,936 91  | 0 00 | 4,936 91  |
| ADR SOFTBANK CORP ADR CUSIP 83404D109                                |         |       |           |           |           |      |           |
| 370 000                                                              | 43 768  | 0 00  | 16,193 42 | 6,280 33  | 9,913 09  | 0 00 | 9,913 09  |
| ADR UNICHARM CORP SPONSORED ADR CUSIP 90460M204<br>UNICY             |         |       |           |           |           |      |           |
| 2,250 000                                                            | 11 417  | 0 00  | 25,688 24 | 25,762 11 | -73 87    | 0 00 | -73 87    |
| AGILENT TECHNOLOGIES INC COM CUSIP 00846U101                         |         |       |           |           |           |      |           |
| 142 000                                                              | 57 19   | 18 74 | 8,120 98  | 5,349 31  | 2,771 67  | 0 00 | 2,771 67  |
| AKAMAI TECHNOLOGIES INC COM STK CUSIP 00971T101                      |         |       |           |           |           |      |           |
| 224 000                                                              | 47 18   | 0 00  | 10,568 32 | 8,387 00  | 2,181 32  | 0 00 | 2,181 32  |
| ALLEGHENY TECHNOLOGIES INC COM CUSIP 01741R102                       |         |       |           |           |           |      |           |
| 213 000                                                              | 35 63   | 0 00  | 7,589 19  | 6,590 23  | 998 96    | 0 00 | 998 96    |

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## ◆ Asset Detail - Base Currency

| <u>Description / Asset ID</u> | <u>Exchange rate/</u> | <u>Accrued</u> | <u>Market Value</u> | <u>Cost</u> | <u>Market</u> | <u>Unrealized gain/loss</u> | <u>Total</u> |
|-------------------------------|-----------------------|----------------|---------------------|-------------|---------------|-----------------------------|--------------|
| Investment Mgr ID             | local market price    | income/expense |                     |             |               | Translation                 |              |
| Shares/PAF value              |                       |                |                     |             |               |                             |              |

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### Equity Securities

#### Common stock

##### United States - USD

|                                                   |        |        |            |            |            |      |            |
|---------------------------------------------------|--------|--------|------------|------------|------------|------|------------|
| AMERICAN EXPRESS CO CUSIP 025816109               |        |        |            |            |            |      |            |
| 4,000 000                                         | 90 73  | 0 00   | 362,920 00 | 194,200 00 | 168,720 00 | 0 00 | 168,720 00 |
| AMERICAN WTR WKS CO INC NEW COM CUSIP 030420103   |        |        |            |            |            |      |            |
| 2,000 000                                         | 42 26  | 0 00   | 84,520 00  | 74,055 00  | 10,465 00  | 0 00 | 10,465 00  |
| AMERISOURCEBERGEN CORP COM CUSIP 03073E105        |        |        |            |            |            |      |            |
| 145 000                                           | 70 31  | 0 00   | 10,194 95  | 6,968 48   | 3,226 47   | 0 00 | 3,226 47   |
| ANSYS INC COM CUSIP 03662Q105                     |        |        |            |            |            |      |            |
| 113 000                                           | 87 20  | 0 00   | 9,853 60   | 7,925 16   | 1,928 44   | 0 00 | 1,928 44   |
| AON PLC COM CUSIP G0408V102                       |        |        |            |            |            |      |            |
| 147 000                                           | 83 89  | 0 00   | 12,331 83  | 9,582 93   | 2,748 90   | 0 00 | 2,748 90   |
| APPLE INC COM STK CUSIP 037833100                 |        |        |            |            |            |      |            |
| 1,400 000                                         | 561 11 | 0 00   | 785,554 00 | 221,498 34 | 564,055 66 | 0 00 | 564,055 66 |
| AUTODESK INC COM CUSIP 052769106                  |        |        |            |            |            |      |            |
| 190 000                                           | 50 33  | 0 00   | 9,562 70   | 6,183 25   | 3,379 45   | 0 00 | 3,379 45   |
| AUTOMATIC DATA PROCESSING INC COM CUSIP 053015103 |        |        |            |            |            |      |            |
| 1,700 000                                         | 80 81  | 816 00 | 137,377 00 | 77,736 59  | 59,640 41  | 0 00 | 59,640 41  |
| BIO RAD LABS INC CL A CUSIP 080572207             |        |        |            |            |            |      |            |
| 48 000                                            | 123 61 | 0 00   | 5,933 28   | 4,998 48   | 934 80     | 0 00 | 934 80     |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID                   |                  | Exchange rate/<br>local market price | Accrued<br>Income/expense | Market Value | Cost       | Unrealized gain/loss |             | Total     |
|------------------------------------------|------------------|--------------------------------------|---------------------------|--------------|------------|----------------------|-------------|-----------|
| Investment Mgr ID                        | Shares/PAR value |                                      |                           |              |            | Market               | Translation |           |
| Equity Securities                        |                  |                                      |                           |              |            |                      |             |           |
| Common stock                             |                  |                                      |                           |              |            |                      |             |           |
| United States - USD                      |                  |                                      |                           |              |            |                      |             |           |
| BORG WARNER INC COM CUSIP 099724108      |                  |                                      |                           |              |            |                      |             |           |
|                                          | 236 000          | 55 91                                | 0 00                      | 13,194 76    | 7,739 76   | 5,455 00             | 0 00        | 5,455 00  |
| BUNGE LTD CUSIP G18962105                |                  |                                      |                           |              |            |                      |             |           |
|                                          | 222 000          | 82 11                                | 0 00                      | 18,228 42    | 16,169 38  | 2,059 04             | 0 00        | 2,059 04  |
| C R BARD CUSIP 067383109                 |                  |                                      |                           |              |            |                      |             |           |
|                                          | 55 000           | 133 94                               | 0 00                      | 7,366 70     | 5,486 57   | 1,880 13             | 0 00        | 1,880 13  |
| CABOT CORP COM CUSIP 127055101           |                  |                                      |                           |              |            |                      |             |           |
|                                          | 120 000          | 51 40                                | 0 00                      | 6,168 00     | 5,066 81   | 1,101 19             | 0 00        | 1,101 19  |
| CBRE GROUP INC CL A CL A CUSIP 12504L109 |                  |                                      |                           |              |            |                      |             |           |
|                                          | 443 000          | 26 30                                | 0 00                      | 11,650 90    | 8,458 84   | 3,192 06             | 0 00        | 3,192 06  |
| CHEVRON CORP COM CUSIP 166764100         |                  |                                      |                           |              |            |                      |             |           |
| CVX                                      | 2,200 000        | 124 91                               | 0 00                      | 274,802 00   | 243,887 60 | 30,914 40            | 0 00        | 30,914 40 |
| COACH INC COM CUSIP 189754104            |                  |                                      |                           |              |            |                      |             |           |
|                                          | 113 000          | 56 13                                | 38 13                     | 6,342 69     | 6,535 06   | -192 37              | 0 00        | -192 37   |
| COVANCE INC COM CUSIP 222816100          |                  |                                      |                           |              |            |                      |             |           |
|                                          | 115 000          | 88 06                                | 0 00                      | 10,126 90    | 5,838 70   | 4,288 20             | 0 00        | 4,288 20  |
| CSX CORP COM CUSIP 126408103             |                  |                                      |                           |              |            |                      |             |           |
| CSX                                      | 421 000          | 28 77                                | 0 00                      | 12,112 17    | 8,739 02   | 3,373 15             | 0 00        | 3,373 15  |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Investment Mgr ID      |                                      |                           |              |      |        |                                     |       |
| Shares/PAR value       |                                      |                           |              |      |        |                                     |       |

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### Equity Securities

#### Common stock

#### United States - USD

CUMMINS INC CUSIP 231021106

|         |        |      |           |          |          |      |          |
|---------|--------|------|-----------|----------|----------|------|----------|
| 102 000 | 140 97 | 0 00 | 14,378 94 | 9,613 02 | 4,765 92 | 0 00 | 4,765 92 |
|---------|--------|------|-----------|----------|----------|------|----------|

CVS CAREMARK CORP COM STK CUSIP 126650100

|     |           |       |            |            |            |      |            |
|-----|-----------|-------|------------|------------|------------|------|------------|
| CVS | 4,200 000 | 71 57 | 300,594 00 | 191,288 58 | 109,305 42 | 0 00 | 109,305 42 |
|-----|-----------|-------|------------|------------|------------|------|------------|

D R HORTON INC COM CUSIP 23331A109

|     |         |       |           |           |        |      |        |
|-----|---------|-------|-----------|-----------|--------|------|--------|
| DHI | 685 000 | 22 32 | 15,289 20 | 14,541 98 | 747 22 | 0 00 | 747 22 |
|-----|---------|-------|-----------|-----------|--------|------|--------|

DANAHER CORP COM CUSIP 235851102

|  |           |       |            |            |           |      |           |
|--|-----------|-------|------------|------------|-----------|------|-----------|
|  | 3,000 000 | 77 20 | 231,600 00 | 181,230 00 | 50,370 00 | 0 00 | 50,370 00 |
|--|-----------|-------|------------|------------|-----------|------|-----------|

DARDEN RESTAURANTS INC COM CUSIP 237194105

|  |         |       |          |          |       |      |       |
|--|---------|-------|----------|----------|-------|------|-------|
|  | 149 000 | 54 37 | 8,101 13 | 8,021 00 | 80 13 | 0 00 | 80 13 |
|--|---------|-------|----------|----------|-------|------|-------|

EASTMAN CHEM CO COM CUSIP 277432100

|  |         |       |           |           |          |      |          |
|--|---------|-------|-----------|-----------|----------|------|----------|
|  | 175 000 | 80 70 | 14,122 50 | 10,429 65 | 3,692 85 | 0 00 | 3,692 85 |
|--|---------|-------|-----------|-----------|----------|------|----------|

EATON VANCE CORP COM NON VTG CUSIP 278265103

|  |         |       |           |          |          |      |          |
|--|---------|-------|-----------|----------|----------|------|----------|
|  | 260 000 | 42 79 | 11,125 40 | 7,542 18 | 3,583 22 | 0 00 | 3,583 22 |
|--|---------|-------|-----------|----------|----------|------|----------|

ECHOSTAR CORPORATION CUSIP 278768106

|  |         |       |          |          |          |      |          |
|--|---------|-------|----------|----------|----------|------|----------|
|  | 180 000 | 49 72 | 8,949 60 | 7,034 70 | 1,914 90 | 0 00 | 1,914 90 |
|--|---------|-------|----------|----------|----------|------|----------|

EMC CORP COM CUSIP 266648102

|     |           |       |            |            |        |      |        |
|-----|-----------|-------|------------|------------|--------|------|--------|
| EMC | 9,000 000 | 25 15 | 226,350 00 | 226,170 00 | 180 00 | 0 00 | 180 00 |
|-----|-----------|-------|------------|------------|--------|------|--------|

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## ◆ Asset Detail - Base Currency

| Description / Asset ID                      |                  | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost       | Unrealized gain/loss |             | Total      |
|---------------------------------------------|------------------|--------------------------------------|---------------------------|--------------|------------|----------------------|-------------|------------|
| Investment Mgr ID                           | Shares/PAR value |                                      |                           |              |            | Market               | Translation |            |
| Equity Securities                           |                  |                                      |                           |              |            |                      |             |            |
| Common stock                                |                  |                                      |                           |              |            |                      |             |            |
| United States - USD                         |                  |                                      |                           |              |            |                      |             |            |
| EMERSON ELECTRIC CO COM CUSIP 291011104     |                  |                                      |                           |              |            |                      |             |            |
|                                             | 3,600 000        | 70 18                                | 0 00                      | 252,648 00   | 21,574 50  | 231,073 50           | 0 00        | 231,073 50 |
| ENERGIZER HLDGS INC COM CUSIP 29266R108     |                  |                                      |                           |              |            |                      |             |            |
|                                             | 2,800 000        | 108 24                               | 0 00                      | 303,072 00   | 40,790 34  | 262,281 66           | 0 00        | 262,281 66 |
| EXPRESS SCRIPTS HLDG CO COM CUSIP 30219G108 |                  |                                      |                           |              |            |                      |             |            |
|                                             | 3,956 000        | 70 24                                | 0 00                      | 277,869 44   | 242,639 07 | 35,230 37            | 0 00        | 35,230 37  |
| EXXON MOBIL CORP COM CUSIP 30231G102        |                  |                                      |                           |              |            |                      |             |            |
| XOM                                         | 3,800 000        | 101 20                               | 0 00                      | 364,320 00   | 270,504 00 | 93,818 00            | 0 00        | 93,818 00  |
| FRKLN RES INC COM CUSIP 354613101           |                  |                                      |                           |              |            |                      |             |            |
|                                             | 4,800 000        | 57 73                                | 576 00                    | 277,104 00   | 153,984 64 | 123,119 36           | 0 00        | 123,119 36 |
| GATX CORP COM CUSIP 361448103               |                  |                                      |                           |              |            |                      |             |            |
|                                             | 191 000          | 52 17                                | 0 00                      | 9,964 47     | 8,521 29   | 1,443 18             | 0 00        | 1,443 18   |
| GENERAL DYNAMICS CORP COM CUSIP 369550108   |                  |                                      |                           |              |            |                      |             |            |
|                                             | 84 000           | 95 55                                | 0 00                      | 8,026 20     | 5,589 87   | 2,436 33             | 0 00        | 2,436 33   |
| GENERAL ELECTRIC CO CUSIP 369604103         |                  |                                      |                           |              |            |                      |             |            |
| GE                                          | 9,000 000        | 28 03                                | 1,980 00                  | 252,270 00   | 194,707 80 | 57,562 20            | 0 00        | 57,562 20  |
| GLOBAL PMTS INC COM CUSIP 37940X102         |                  |                                      |                           |              |            |                      |             |            |
|                                             | 115 000          | 64 99                                | 0 00                      | 7,473 85     | 4,917 28   | 2,556 57             | 0 00        | 2,556 57   |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID                                 |                  | Exchange rate/<br>local market price | Accrued<br>Income/expense | Market Value | Cost       | Unrealized gain/loss |             | Total      |
|--------------------------------------------------------|------------------|--------------------------------------|---------------------------|--------------|------------|----------------------|-------------|------------|
| Investment Mgr ID                                      | Shares/PAR value |                                      |                           |              |            | Market               | Translation |            |
| Equity Securities                                      |                  |                                      |                           |              |            |                      |             |            |
| Common stock                                           |                  |                                      |                           |              |            |                      |             |            |
| United States - USD                                    |                  |                                      |                           |              |            |                      |             |            |
| GOOGLE INC CL A CL A CUSIP 38259P508<br>GOOG           | 300 000          | 1,120 71                             | 0 00                      | 336,213 00   | 205,098 75 | 131,114 25           | 0 00        | 131,114 25 |
| HOME DEPOT INC COM CUSIP 437076102                     |                  |                                      |                           |              |            |                      |             |            |
|                                                        | 3,200 000        | 82 34                                | 0 00                      | 263,488 00   | 200,479 04 | 63,008 96            | 0 00        | 63,008 96  |
| INTEGRYS ENERGY GROUP INC COM STK CUSIP 45922P105      |                  |                                      |                           |              |            |                      |             |            |
|                                                        | 151 000          | 54 41                                | 0 00                      | 8,215 91     | 8,191 84   | 24 07                | 0 00        | 24 07      |
| INTEL CORP COM CUSIP 458140100                         |                  |                                      |                           |              |            |                      |             |            |
| INTC                                                   |                  |                                      |                           |              |            |                      |             |            |
|                                                        | 5,500 000        | 25 96                                | 0 00                      | 142,780 00   | 136,313 10 | 6,466 90             | 0 00        | 6,466 90   |
| INTERCONTINENTALEXCHANGE GROUP INC COM CUSIP 45866F104 |                  |                                      |                           |              |            |                      |             |            |
|                                                        | 66 000           | 224 92                               | 0 00                      | 14,844 72    | 8,627 64   | 6,217 08             | 0 00        | 6,217 08   |
| INTERNATIONAL BUSINESS MACHS CORP COM CUSIP 459200101  |                  |                                      |                           |              |            |                      |             |            |
| IBM                                                    |                  |                                      |                           |              |            |                      |             |            |
|                                                        | 850 000          | 187 57                               | 0 00                      | 159,434 50   | 98,988 87  | 60,445 63            | 0 00        | 60,445 63  |
| INTL GAME TECH COM CUSIP 459802102                     |                  |                                      |                           |              |            |                      |             |            |
|                                                        | 372 000          | 18 16                                | 40 92                     | 6,755 52     | 5,009 51   | 1,746 01             | 0 00        | 1,746 01   |
| INTUIT COM CUSIP 461202103                             |                  |                                      |                           |              |            |                      |             |            |
|                                                        | 213 000          | 78 32                                | 0 00                      | 16,256 16    | 12,906 62  | 3,349 54             | 0 00        | 3,349 54   |
| JOHNSON & JOHNSON COM USD1 CUSIP 478160104             |                  |                                      |                           |              |            |                      |             |            |
| JNJ                                                    |                  |                                      |                           |              |            |                      |             |            |
|                                                        | 1,500 000        | 91 59                                | 0 00                      | 137,385 00   | 20,287 50  | 117,097 50           | 0 00        | 117,097 50 |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID                  |                  | Exchange rate/<br>local market price | Accrued<br>Income/expense | Market Value | Cost       | Unrealized gain/loss |             | Total      |
|-----------------------------------------|------------------|--------------------------------------|---------------------------|--------------|------------|----------------------|-------------|------------|
| Investment Mgr ID                       | Shares/PAR value |                                      |                           |              |            | Market               | Translation |            |
| Equity Securities                       |                  |                                      |                           |              |            |                      |             |            |
| Common stock                            |                  |                                      |                           |              |            |                      |             |            |
| United States - USD                     |                  |                                      |                           |              |            |                      |             |            |
| JOY GLOBAL INC COM CUSIP 481165108      |                  |                                      |                           |              |            |                      |             |            |
| 162 000                                 | 58.49            | 0.00                                 | 9,475.38                  | 9,765.71     | -290.33    | 0.00                 |             | -290.33    |
| JPMORGAN CHASE & CO COM CUSIP 46625H100 |                  |                                      |                           |              |            |                      |             |            |
| JPM                                     |                  |                                      |                           |              |            |                      |             |            |
| 7,000 000                               | 58.48            | 0.00                                 | 409,360.00                | 233,930.60   | 175,429.40 | 0.00                 |             | 175,429.40 |
| KEYCORP NEW COM CUSIP 493267108         |                  |                                      |                           |              |            |                      |             |            |
| 753 000                                 | 13.42            | 0.00                                 | 10,105.26                 | 6,336.46     | 3,768.80   | 0.00                 |             | 3,768.80   |
| MASCO CORP COM CUSIP 574599106          |                  |                                      |                           |              |            |                      |             |            |
| 457 000                                 | 22.77            | 0.00                                 | 10,405.89                 | 9,201.51     | 1,204.38   | 0.00                 |             | 1,204.38   |
| MC DONALDS CORP COM CUSIP 580135101     |                  |                                      |                           |              |            |                      |             |            |
| 3,000 000                               | 97.03            | 0.00                                 | 291,090.00                | 177,790.20   | 113,299.80 | 0.00                 |             | 113,299.80 |
| MEDNAX INC COM CUSIP 58502B106          |                  |                                      |                           |              |            |                      |             |            |
| 140 000                                 | 53.38            | 0.00                                 | 7,473.20                  | 5,100.53     | 2,372.67   | 0.00                 |             | 2,372.67   |
| MERCK & CO INC NEW COM CUSIP 58933Y105  |                  |                                      |                           |              |            |                      |             |            |
| 5,200 000                               | 50.05            | 2,288.00                             | 260,260.00                | 228,223.33   | 32,036.67  | 0.00                 |             | 32,036.67  |
| MONSANTO CO NEW COM CUSIP 61166W101     |                  |                                      |                           |              |            |                      |             |            |
| 2,000 000                               | 116.55           | 0.00                                 | 233,100.00                | 199,960.80   | 33,139.20  | 0.00                 |             | 33,139.20  |
| MURPHY OIL CORP COM CUSIP 626717102     |                  |                                      |                           |              |            |                      |             |            |
| 98 000                                  | 64.88            | 0.00                                 | 6,358.24                  | 5,159.04     | 1,199.20   | 0.00                 |             | 1,199.20   |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID<br>Investment Mgr ID<br>Shares/PAR value | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost | Unrealized gain/loss |             |
|-----------------------------------------------------------------|--------------------------------------|---------------------------|--------------|------|----------------------|-------------|
|                                                                 |                                      |                           |              |      | Market               | Translation |
|                                                                 |                                      |                           |              |      | Total                |             |

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### Equity Securities

#### Common stock

#### United States - USD

|                                                |       |          |            |            |            |            |
|------------------------------------------------|-------|----------|------------|------------|------------|------------|
| NABORS INDUSTRIES COM USD0 10 CUSIP G6359F103  |       |          |            |            |            |            |
| 578 000                                        | 16 99 | 0 00     | 9,820 22   | 8,686 70   | 1,133 52   | 1,133 52   |
| NATIONAL OILWELL VARCO COM STK CUSIP 637071101 |       |          |            |            |            |            |
| NOV                                            |       |          |            |            |            |            |
| 3,500 000                                      | 79 53 | 0 00     | 278,355 00 | 173,231 45 | 105,123 55 | 105,123 55 |
| NEWFIELD EXPLORATION CUSIP 651290108           |       |          |            |            |            |            |
| 256 000                                        | 24 63 | 0 00     | 6,305 28   | 7,414 29   | -1,109 01  | -1,109 01  |
| NIELSEN HOLDINGS B V EURO 07 CUSIP N63218106   |       |          |            |            |            |            |
| 233 000                                        | 45 89 | 0 00     | 10,692 37  | 8,514 22   | 2,078 15   | 2,078 15   |
| NIKE INC CL B CUSIP 654106103                  |       |          |            |            |            |            |
| 4,700 000                                      | 78 64 | 1,128 00 | 369,608 00 | 101,412 13 | 268,195 87 | 268,195 87 |
| NORFOLK SOUTHN CORP COM CUSIP 655844108        |       |          |            |            |            |            |
| 2,700 000                                      | 92 83 | 0 00     | 250,641 00 | 196,737 66 | 53,903 34  | 53,903 34  |
| ORACLE CORP COM CUSIP 68389X105                |       |          |            |            |            |            |
| ORCL                                           |       |          |            |            |            |            |
| 7,000 000                                      | 38 26 | 0 00     | 267,820 00 | 117,740 00 | 150,080 00 | 150,080 00 |
| PEPSICO INC COM CUSIP 713448108                |       |          |            |            |            |            |
| 4,000 000                                      | 82 94 | 2,270 00 | 331,760 00 | 173,024 00 | 158,736 00 | 158,736 00 |
| PFIZER INC COM CUSIP 717081103                 |       |          |            |            |            |            |
| 12,500 000                                     | 30 63 | 0 00     | 382,875 00 | 332,750 00 | 50,125 00  | 50,125 00  |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID                                 |                   | Exchange rate/<br>local market price | Accrued<br>Income/expense | Market Value | Cost       | Unrealized gain/loss |             | Total      |
|--------------------------------------------------------|-------------------|--------------------------------------|---------------------------|--------------|------------|----------------------|-------------|------------|
| Investment Mgr ID                                      | Shares/PAIR value |                                      |                           |              |            | Market               | Translation |            |
| Equity Securities                                      |                   |                                      |                           |              |            |                      |             |            |
| Common stock                                           |                   |                                      |                           |              |            |                      |             |            |
| United States - USD                                    |                   |                                      |                           |              |            |                      |             |            |
| PRAXAIR INC COM CUSIP 7400SP104                        |                   |                                      |                           |              |            |                      |             |            |
| 1,500 000                                              | 130 03            | 0 00                                 | 195,045 00                | 42,929 10    | 152,115 90 | 0 00                 |             | 152,115 90 |
| PROCTER & GAMBLE COM NPV CUSIP 742718109               |                   |                                      |                           |              |            |                      |             |            |
| 2,000 000                                              | 81 41             | 0 00                                 | 162,820 00                | 106,070 00   | 56,750 00  | 0 00                 |             | 56,750 00  |
| PROGRESSIVE CORP OH COM CUSIP 743315103                |                   |                                      |                           |              |            |                      |             |            |
| 307 000                                                | 27 27             | 0 00                                 | 8,371 89                  | 6,993 06     | 1,378 83   | 0 00                 |             | 1,378 83   |
| QUALCOMM INC COM CUSIP 747525103                       |                   |                                      |                           |              |            |                      |             |            |
| 4,000 000                                              | 74 25             | 0 00                                 | 297,000 00                | 215,975 40   | 81,024 60  | 0 00                 |             | 81,024 60  |
| RAYMOND JAMES FNCL INC COM STK CUSIP 764730109         |                   |                                      |                           |              |            |                      |             |            |
| RJF                                                    | 215 000           | 52 19                                | 34 40                     | 11,220 85    | 8,207 17   | 3,013 68             | 0 00        | 3,013 68   |
| REINSURANCE GROUP AMER INC COM NEW STK CUSIP 759351604 |                   |                                      |                           |              |            |                      |             |            |
| 119 000                                                | 77 41             | 0 00                                 | 9,211 79                  | 6,732 72     | 2,479 07   | 0 00                 |             | 2,479 07   |
| RENAISSANCE RE HLDGS LTD COM CUSIP G7496G103           |                   |                                      |                           |              |            |                      |             |            |
| 85 000                                                 | 97 34             | 0 00                                 | 8,273 90                  | 7,837 57     | 436 33     | 0 00                 |             | 436 33     |
| REPUBLIC SVCS INC COM CUSIP 760759100                  |                   |                                      |                           |              |            |                      |             |            |
| RSG                                                    | 352 000           | 33 20                                | 91 52                     | 11,686 40    | 9,797 74   | 1,888 66             | 0 00        | 1,888 66   |
| SCHLUMBERGER LTD COM COM CUSIP 806857108               |                   |                                      |                           |              |            |                      |             |            |
| SLB                                                    | 3,207 000         | 90 11                                | 1,002 18                  | 288,982 77   | 144,792 52 | 144,190 25           | 0 00        | 144,190 25 |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID                              |                  | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost       | Unrealized gain/loss |           |
|-----------------------------------------------------|------------------|--------------------------------------|---------------------------|--------------|------------|----------------------|-----------|
| Investment Mgr ID                                   | Shares/PAR value |                                      |                           |              |            | Market               | Total     |
| Equity Securities                                   |                  |                                      |                           |              |            |                      |           |
| Common stock                                        |                  |                                      |                           |              |            |                      |           |
| United States - USD                                 |                  |                                      |                           |              |            |                      |           |
| SCOTT'S MIRACLE-GRO CLASS A'COM NPV CUSIP 810186106 |                  |                                      |                           |              |            |                      |           |
|                                                     | 123 000          | 82 22                                | 0 00                      | 7,653 06     | 5,293 76   | 2,359 30             | 2,359 30  |
| SEALED AIR CORP NEW COM STK CUSIP 81211K100         |                  |                                      |                           |              |            |                      |           |
|                                                     | 385 000          | 34 05                                | 0 00                      | 13,109 25    | 6,425 21   | 6,684 04             | 6,684 04  |
| SNAP-ON INC COM CUSIP 833034101                     |                  |                                      |                           |              |            |                      |           |
|                                                     | 141 000          | 109 52                               | 0 00                      | 15,442 32    | 10,635 42  | 4,806 90             | 4,806 90  |
| SODEXO CUSIP 833792104                              |                  |                                      |                           |              |            |                      |           |
|                                                     | 174 000          | 101 44                               | 0 00                      | 17,650 56    | 13,791 98  | 3,858 58             | 3,858 58  |
| ST JUDE MED INC COM CUSIP 790849103                 |                  |                                      |                           |              |            |                      |           |
| STJ                                                 | 3,400 000        | 61 95                                | 850 00                    | 210,630 00   | 132,285 16 | 78,344 84            | 78,344 84 |
| STARBUCKS CORP COM CUSIP 855244109                  |                  |                                      |                           |              |            |                      |           |
|                                                     | 3,300 000        | 78 39                                | 0 00                      | 258,687 00   | 164,689 80 | 93,997 20            | 93,997 20 |
| STIFEL FINL CORP COM CUSIP 860630102                |                  |                                      |                           |              |            |                      |           |
|                                                     | 229 000          | 47 92                                | 0 00                      | 10,973 68    | 9,415 23   | 1,558 45             | 1,558 45  |
| SYNOPSYS INC COM CUSIP 871607107                    |                  |                                      |                           |              |            |                      |           |
|                                                     | 197 000          | 40 57                                | 0 00                      | 7,992 29     | 6,207 31   | 1,784 98             | 1,784 98  |
| TJX COS INC COM NEW CUSIP 872540109                 |                  |                                      |                           |              |            |                      |           |
| TJX                                                 | 314 000          | 63 73                                | 0 00                      | 20,011 22    | 13,240 39  | 6,770 83             | 6,770 83  |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID                       |                  | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost       | Unrealized gain/loss |             | Total      |
|----------------------------------------------|------------------|--------------------------------------|---------------------------|--------------|------------|----------------------|-------------|------------|
| Investment Mgr ID                            | Shares/PAR value |                                      |                           |              |            | Market               | Translation |            |
| Equity Securities                            |                  |                                      |                           |              |            |                      |             |            |
| Common stock                                 |                  |                                      |                           |              |            |                      |             |            |
| United States - USD                          |                  |                                      |                           |              |            |                      |             |            |
| TRAVELERS COS INC COM STK CUSIP 89417E109    |                  |                                      |                           |              |            |                      |             |            |
|                                              | 2,000 000        | 90 54                                | 0 00                      | 181,080 00   | 98,338 00  | 82,742 00            | 0 00        | 82,742 00  |
| UNITED TECHNOLOGIES CORP COM CUSIP 913017109 |                  |                                      |                           |              |            |                      |             |            |
|                                              | 2,800 000        | 113 80                               | 0 00                      | 318,640 00   | 155,543 00 | 163,097 00           | 0 00        | 163,097 00 |
| UNITEDHEALTH GROUP INC COM CUSIP 91324P102   |                  |                                      |                           |              |            |                      |             |            |
| UNH                                          | 3,300 000        | 75 30                                | 0 00                      | 248,490 00   | 180,180 00 | 68,310 00            | 0 00        | 68,310 00  |
| URS CORP NEW COM CUSIP 903236107             |                  |                                      |                           |              |            |                      |             |            |
|                                              | 134 000          | 52 99                                | 28 14                     | 7,100 66     | 4,731 79   | 2,368 87             | 0 00        | 2,368 87   |
| US BANCORP CUSIP 902973304                   |                  |                                      |                           |              |            |                      |             |            |
| USB                                          | 5,500 000        | 40 40                                | 1,265 00                  | 222,200 00   | 138,545 00 | 83,655 00            | 0 00        | 83,655 00  |
| V F CORP COM CUSIP 918204108                 |                  |                                      |                           |              |            |                      |             |            |
|                                              | 5,600 000        | 62 34                                | 0 00                      | 349,104 00   | 213,778 18 | 135,325 82           | 0 00        | 135,325 82 |
| VALSPAR CORP COM CUSIP 920355104             |                  |                                      |                           |              |            |                      |             |            |
|                                              | 191 000          | 71 29                                | 0 00                      | 13,616 39    | 11,149 03  | 2,467 36             | 0 00        | 2,467 36   |
| VERIZON COMMUNICATIONS COM CUSIP 92343V104   |                  |                                      |                           |              |            |                      |             |            |
| VZ                                           | 2,300 000        | 49 14                                | 0 00                      | 113,022 00   | 85,000 64  | 28,021 36            | 0 00        | 28,021 36  |
| WALT DISNEY CO CUSIP 254687106               |                  |                                      |                           |              |            |                      |             |            |
|                                              | 3,000 000        | 76 40                                | 2,560 00                  | 229,200 00   | 149,180 70 | 80,019 30            | 0 00        | 80,019 30  |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID<br>Investment Mgr ID<br>Shares/PAIR value                       | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value  | Cost         | Unrealized gain/loss |             | Total        |
|----------------------------------------------------------------------------------------|--------------------------------------|---------------------------|---------------|--------------|----------------------|-------------|--------------|
|                                                                                        |                                      |                           |               |              | Market               | Translation |              |
| Equity Securities                                                                      |                                      |                           |               |              |                      |             |              |
| Common stock                                                                           |                                      |                           |               |              |                      |             |              |
| United States - USD                                                                    |                                      |                           |               |              |                      |             |              |
| WELLS FARGO & CO NEW COM STK CUSIP 949746101<br>WFC                                    | 45.40                                | 0.00                      | 345,040.00    | 178,347.03   | 166,692.97           | 0.00        | 166,692.97   |
| WHITING PETE CORP COM STK CUSIP 986387102                                              |                                      |                           |               |              |                      |             |              |
| 134,000                                                                                | 61.87                                | 0.00                      | 8,290.58      | 6,273.14     | 2,017.44             | 0.00        | 2,017.44     |
| XILINX INC COM CUSIP 983918101                                                         |                                      |                           |               |              |                      |             |              |
| 251,000                                                                                | 45.92                                | 0.00                      | 11,525.92     | 8,499.88     | 3,026.04             | 0.00        | 3,026.04     |
| Total USD                                                                              |                                      | 15,147.52                 | 12,792,835.32 | 7,523,400.51 | 5,269,434.81         | 0.00        | 5,269,434.81 |
| Total United States                                                                    |                                      | 15,147.52                 | 12,792,835.32 | 7,523,400.51 | 5,269,434.81         | 0.00        | 5,269,434.81 |
| Total Common Stock                                                                     |                                      | 15,514.22                 | 14,464,994.23 | 8,876,360.79 | 5,588,633.44         | 0.00        | 5,588,633.44 |
| Preferred stock                                                                        |                                      |                           |               |              |                      |             |              |
| Brazil - USD                                                                           |                                      |                           |               |              |                      |             |              |
| ADR ITAU UNIBANCO HLDG SA SPONSORED ADR REPSTG 500 PFD PFD ADR CUSIP 465562106<br>ITUB | 13.57                                | 116.94                    | 21,372.75     | 21,720.68    | -347.93              | 0.00        | -347.93      |
| 1,575,000                                                                              |                                      |                           |               |              |                      |             |              |
| Total USD                                                                              |                                      | 116.94                    | 21,372.75     | 21,720.68    | -347.93              | 0.00        | -347.93      |
| Total Brazil                                                                           |                                      | 116.94                    | 21,372.75     | 21,720.68    | -347.93              | 0.00        | -347.93      |
| Germany - USD                                                                          |                                      |                           |               |              |                      |             |              |
| ADR VOLKSWAGEN A G SPONSORED ADR REPSTG PREF SH CUSIP 928662402                        |                                      |                           |               |              |                      |             |              |
| 270,000                                                                                | 56.44                                | 0.00                      | 15,238.80     | 12,582.99    | 2,655.81             | 0.00        | 2,655.81     |
| Total USD                                                                              |                                      | 0.00                      | 15,238.80     | 12,582.99    | 2,655.81             | 0.00        | 2,655.81     |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID<br>Investment Mgr ID<br>Shares/PAIR value | Exchange rate/<br>local market price | Accrued<br>Income/expense | Market Value | Cost | Unrealized gain/loss |             |
|------------------------------------------------------------------|--------------------------------------|---------------------------|--------------|------|----------------------|-------------|
|                                                                  |                                      |                           |              |      | Market               | Translation |
| Total                                                            |                                      |                           |              |      |                      |             |

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### Equity Securities

|                              |  |               |                  |                  |                 |                 |
|------------------------------|--|---------------|------------------|------------------|-----------------|-----------------|
| <b>Preferred stock</b>       |  |               |                  |                  |                 |                 |
| Total Germany                |  | 0 00          | 15,238 80        | 12,582 99        | 2,655 81        | 0 00            |
| <b>Total Preferred Stock</b> |  | <b>116.94</b> | <b>36,611.55</b> | <b>34,303.67</b> | <b>2,307.88</b> | <b>0.00</b>     |
| <b>1,845.000</b>             |  |               |                  |                  |                 | <b>2,307.88</b> |

### Equity funds

|                                                              |       |             |                     |                     |                   |                   |
|--------------------------------------------------------------|-------|-------------|---------------------|---------------------|-------------------|-------------------|
| <b>Emerging Markets Region - USD</b>                         |       |             |                     |                     |                   |                   |
| MFB NORTHN FUNDS EMERGING MKTS EQTY INDEX FD CUSIP 665162582 |       |             |                     |                     |                   |                   |
| 125,951 670                                                  | 11 28 | 0 00        | 1,420,734 83        | 1,035,099 00        | 385,635 83        | 0 00              |
| <b>Total USD</b>                                             |       | <b>0 00</b> | <b>1,420,734 83</b> | <b>1,035,099 00</b> | <b>385,635 83</b> | <b>0 00</b>       |
| <b>Total Emerging Markets Region</b>                         |       | <b>0 00</b> | <b>1,420,734 83</b> | <b>1,035,099 00</b> | <b>385,635.83</b> | <b>0 00</b>       |
|                                                              |       |             |                     |                     |                   | <b>385,635 83</b> |

### Global Region - USD

|                                                                                |       |                 |                   |                   |                  |                  |
|--------------------------------------------------------------------------------|-------|-----------------|-------------------|-------------------|------------------|------------------|
| MFC FLEXSHARES TR MORNINGSTAR GLOBAL UPSTREAM NAT RES INDEX FD CUSIP 33939L407 |       |                 |                   |                   |                  |                  |
| 6,265 000                                                                      | 34 31 | 4,355 30        | 214,952 15        | 223,848 45        | -8,896 30        | 0 00             |
| <b>Total USD</b>                                                               |       | <b>4,355 30</b> | <b>214,952 15</b> | <b>223,848 45</b> | <b>-8,896 30</b> | <b>0 00</b>      |
| <b>Total Global Region</b>                                                     |       | <b>4,355 30</b> | <b>214,952 15</b> | <b>223,848 45</b> | <b>-8,896 30</b> | <b>0 00</b>      |
|                                                                                |       |                 |                   |                   |                  | <b>-8,896 30</b> |

### International Region - USD

|                                                           |       |             |                   |                   |                   |             |
|-----------------------------------------------------------|-------|-------------|-------------------|-------------------|-------------------|-------------|
| MFO RS INVT TR GLOBAL NATURAL RES FD CL Y CUSIP 74972H648 |       |             |                   |                   |                   |             |
| 5,937 010                                                 | 35 76 | 0 00        | 212,307 47        | 230,495 86        | -18,188 39        | 0 00        |
| <b>Total USD</b>                                          |       | <b>0 00</b> | <b>212,307 47</b> | <b>230,495 86</b> | <b>-18,188 39</b> | <b>0 00</b> |
| <b>Total International Region</b>                         |       | <b>0 00</b> | <b>212,307 47</b> | <b>230,495 86</b> | <b>-18,188 39</b> | <b>0 00</b> |
| <b>United States - USD</b>                                |       |             |                   |                   |                   |             |

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## ◆ Asset Detail - Base Currency

| Description / Asset ID | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|------------------------|--------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Investment Mgr ID      |                                      |                           |              |      |        |                                     |       |
| Shares/PAR value       |                                      |                           |              |      |        |                                     |       |

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## Equity Securities

### Equity funds

#### United States - USD

MFB NORTHERN FDS SMALL CAP INDEX FD CUSIP 665162723

|            |       |      |            |            |            |      |            |
|------------|-------|------|------------|------------|------------|------|------------|
| 40,357 190 | 12 25 | 0 00 | 494,375 57 | 345,889 59 | 148,485 98 | 0 00 | 148,485 98 |
|------------|-------|------|------------|------------|------------|------|------------|

MFB NORTHERN MULTI MANAGER GLOBAL LISTEDINFRASTRUCTURE FUND CUSIP 665162384

|            |       |      |            |            |           |      |           |
|------------|-------|------|------------|------------|-----------|------|-----------|
| 15,930 180 | 12 07 | 0 00 | 192,277 27 | 170,250 67 | 22,026 60 | 0 00 | 22,026 60 |
|------------|-------|------|------------|------------|-----------|------|-----------|

MFB NORTHN MID CAP INDEX FD CUSIP 665130100

|            |       |      |            |            |            |      |            |
|------------|-------|------|------------|------------|------------|------|------------|
| 32,505 660 | 16 89 | 0 00 | 548,020 59 | 398,570 37 | 150,450 22 | 0 00 | 150,450 22 |
|------------|-------|------|------------|------------|------------|------|------------|

|           |  |      |              |            |            |      |            |
|-----------|--|------|--------------|------------|------------|------|------------|
| Total USD |  | 0 00 | 1,235,673 43 | 914,710 63 | 320,962 80 | 0 00 | 320,962 80 |
|-----------|--|------|--------------|------------|------------|------|------------|

|                     |  |      |              |            |            |      |            |
|---------------------|--|------|--------------|------------|------------|------|------------|
| Total United States |  | 0 00 | 1,235,673 43 | 914,710 63 | 320,962 80 | 0 00 | 320,962 80 |
|---------------------|--|------|--------------|------------|------------|------|------------|

|                    |  |          |              |              |            |      |            |
|--------------------|--|----------|--------------|--------------|------------|------|------------|
| Total Equity Funds |  | 4,355.30 | 3,083,667 88 | 2,404,153.94 | 679,513 94 | 0.00 | 679,513.94 |
|--------------------|--|----------|--------------|--------------|------------|------|------------|

226,946 710

### Other equity

#### United States - USD

AMERICAN TOWER CORP CUSIP 03027X100

|         |       |      |           |           |          |      |          |
|---------|-------|------|-----------|-----------|----------|------|----------|
| 209 000 | 79 62 | 0 00 | 16,682 38 | 15,636 40 | 1,045 98 | 0 00 | 1,045 98 |
|---------|-------|------|-----------|-----------|----------|------|----------|

BSTN PPTYS INC CUSIP 101121101

|        |        |        |          |          |         |      |         |
|--------|--------|--------|----------|----------|---------|------|---------|
| 69 000 | 100 37 | 200 10 | 6,925 53 | 7,435 71 | -510 18 | 0 00 | -510 18 |
|--------|--------|--------|----------|----------|---------|------|---------|

SIMON PROPERTY GROUP INC COM CUSIP 828806109

|           |        |      |            |            |           |      |           |
|-----------|--------|------|------------|------------|-----------|------|-----------|
| 1,250 000 | 152 16 | 0 00 | 190,200 00 | 154,373 75 | 35,826 25 | 0 00 | 35,826 25 |
|-----------|--------|------|------------|------------|-----------|------|-----------|

|           |  |        |            |            |           |      |           |
|-----------|--|--------|------------|------------|-----------|------|-----------|
| Total USD |  | 200 10 | 213,807 91 | 177,445 86 | 36,362 05 | 0 00 | 36,362 05 |
|-----------|--|--------|------------|------------|-----------|------|-----------|

|                     |  |        |            |            |           |      |           |
|---------------------|--|--------|------------|------------|-----------|------|-----------|
| Total United States |  | 200 10 | 213,807 91 | 177,445 86 | 36,362 05 | 0 00 | 36,362 05 |
|---------------------|--|--------|------------|------------|-----------|------|-----------|

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## ◆ Asset Detail - Base Currency

| Description/Asset ID | Exchange rate/<br>Investment Mgr ID | Accrued<br>Income/expense | Market Value | Cost | Market | Unrealized gain/loss<br>Translation | Total |
|----------------------|-------------------------------------|---------------------------|--------------|------|--------|-------------------------------------|-------|
| Shares/PAR value     | local market price                  |                           |              |      |        |                                     |       |

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### Equity Securities

|                         |             |           |               |               |              |      |              |
|-------------------------|-------------|-----------|---------------|---------------|--------------|------|--------------|
| Total Other Equity      | 1,528,000   | 200.10    | 213,807.91    | 177,445.86    | 36,362.05    | 0.00 | 36,362.05    |
| Total Equity Securities | 480,349 710 | 20,186 58 | 17,799,081 57 | 11,492,264.26 | 6,306,817.31 | 0 00 | 6,306,817.31 |

### Fixed Income Securities

#### Corporate/government

##### United States - USD

|                                                                        |             |          |                          |                         |              |              |            |      |            |
|------------------------------------------------------------------------|-------------|----------|--------------------------|-------------------------|--------------|--------------|------------|------|------------|
| BELLSOUTH CORP 5 2% DUE 09-15-2014 CUSIP 078860AG7                     | 300,000 000 | 103 2614 | Yield to Maturity 0 583% | Maturity Date 15 Sep 14 | 308,784 20   | 300,303 00   | 9,481 20   | 0 00 | 9,481 20   |
| Issue Date 13 Sep 04 Rate 5 20%                                        |             |          |                          |                         |              |              |            |      |            |
| MFB NORTHN HI YIELD FXD INC FD CUSIP 865162699                         | 87,323 000  | 7 49     |                          | 0 00                    | 654,049 27   | 584,342 72   | 69,706 55  | 0 00 | 69,706 55  |
| Issue Date 25 Aug 08                                                   |             |          |                          |                         |              |              |            |      |            |
| MFO PIMCO FDS PAC INVT MGMT SER HIGH YIELD FD INSTL CL CUSIP 693390841 | 28,081 550  | 9 61     |                          | 0 00                    | 269,863 69   | 262,000 84   | 7,862 85   | 0 00 | 7,862 85   |
| Issue Date 29 Aug 08                                                   |             |          |                          |                         |              |              |            |      |            |
| ORACLE CORP 5 25% DUE 01-15-2016 CUSIP 88402LAC8                       | 250,000 000 | 109 1604 |                          | 6,052 08                | 272,901 00   | 250,585 00   | 22,316 00  | 0 00 | 22,316 00  |
| Issue Date 13 Jan 08 Rate 5 25%                                        |             |          | Yield to Maturity 0 674% | Maturity Date 15 Jan 16 |              |              |            |      |            |
| Total USD                                                              |             |          |                          | 10,645 41               | 1,506,598 16 | 1,397,231 56 | 109,366 60 | 0 00 | 109,366 60 |
| Total United States                                                    |             |          |                          | 10,645 41               | 1,506,598 16 | 1,397,231 56 | 109,366 60 | 0 00 | 109,366 60 |

#### Northern Trust

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## ◆ Asset Detail - Base Currency

| Description / Asset ID<br>Investment Mgr ID<br>Shares/PAR value | Exchange rate/<br>local market price | Accrued<br>Income/expense | Market Value | Cost         | Unrealized gain/loss |             |
|-----------------------------------------------------------------|--------------------------------------|---------------------------|--------------|--------------|----------------------|-------------|
|                                                                 |                                      |                           |              |              | Market               | Translation |
| Fixed Income Securities                                         |                                      |                           |              |              |                      |             |
|                                                                 |                                      |                           |              |              |                      |             |
| Total Corporate/Government<br>665,404 550                       |                                      | 10,645.41                 | 1,506,598.16 | 1,397,231.56 | 109,366.60           | 0 00        |
|                                                                 |                                      |                           |              |              |                      |             |
| Total Fixed Income Securities<br>665,404.550                    |                                      | 10,645 41                 | 1,506,598.16 | 1,397,231.56 | 109,366.60           | 0.00        |

## Real Estate

### Real estate funds

#### United States - USD

MFB NORTHERN FDS GLOBAL REAL ESTATE INDEX FD CUSIP 665162541

|                                       |      |      |            |            |            |      |            |
|---------------------------------------|------|------|------------|------------|------------|------|------------|
| 29,275 210                            | 9.07 | 0.00 | 265,526.15 | 278,700.00 | -13,173.85 | 0.00 | -13,173.85 |
| Total USD                             |      | 0.00 | 265,526.15 | 278,700.00 | -13,173.85 | 0.00 | -13,173.85 |
| Total United States                   |      | 0.00 | 265,526.15 | 278,700.00 | -13,173.85 | 0.00 | -13,173.85 |
| Total Real Estate Funds<br>29,275 210 |      | 0.00 | 265,526.15 | 278,700.00 | -13,173.85 | 0.00 | -13,173.85 |
| Total Real Estate<br>29,275 210       |      | 0.00 | 265,526.15 | 278,700.00 | -13,173.85 | 0.00 | -13,173.85 |

## Commodities

### Commodities

#### United States - USD

MFO PIMCO FDS PAC INVT MGMT SER COMMODITY REALRETURN STRATEGY FD INSTL CUSIP 722005667

|            |      |      |            |            |            |      |            |
|------------|------|------|------------|------------|------------|------|------------|
| 34,444 130 | 5.49 | 0.00 | 189,098.27 | 226,088.79 | -36,990.52 | 0.00 | -36,990.52 |
|------------|------|------|------------|------------|------------|------|------------|

## Northern Trust

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# Foundation

31 DEC 13

Account number Multiple Accounts

02-08303,02-79761,02-79791,02-79792

## ◆ Asset Detail - Base Currency

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| Description / Asset ID<br>Investment Mgr ID | Exchange rate/<br>local market price | Accrued<br>income/expense | Market Value | Cost       | Unrealized gain/loss |             | Total      |
|---------------------------------------------|--------------------------------------|---------------------------|--------------|------------|----------------------|-------------|------------|
|                                             |                                      |                           |              |            | Market               | Translation |            |
| Commodities                                 |                                      |                           |              |            |                      |             |            |
| Commodities                                 |                                      |                           |              |            |                      |             |            |
| Total USD                                   |                                      | 0.00                      | 189,098.27   | 226,088.79 | -36,990.52           | 0.00        | -36,990.52 |
| Total United States                         |                                      | 0.00                      | 189,098.27   | 226,088.79 | -36,990.52           | 0.00        | -36,990.52 |
| Total Commodities                           | 34,444.130                           | 0.00                      | 189,098.27   | 226,088.79 | -36,990.52           | 0.00        | -36,990.52 |
| Total Commodities                           | 34,444.130                           | 0.00                      | 189,098.27   | 226,088.79 | -36,990.52           | 0.00        | -36,990.52 |

## Cash and Short Term Investments

### Short term

|                                       |      |           |               |               |              |      |              |
|---------------------------------------|------|-----------|---------------|---------------|--------------|------|--------------|
| USD - United States dollar            |      |           |               |               |              |      |              |
|                                       | 1.00 | 51.26     | 525,084.17    | 525,084.17    | 0.00         | 0.00 | 0.00         |
| Total short term - all currencies     |      | 51.26     | 525,084.17    | 525,084.17    | 0.00         | 0.00 | 0.00         |
| Total short term - all countries      |      | 51.26     | 525,084.17    | 525,084.17    | 0.00         | 0.00 | 0.00         |
| Total Short Term                      |      |           |               |               |              |      |              |
| 525,084.170                           |      | 51.26     | 525,084.17    | 525,084.17    | 0.00         | 0.00 | 0.00         |
| Total Cash and Short Term Investments |      |           |               |               |              |      |              |
| 525,084.170                           |      | 51.26     | 525,084.17    | 525,084.17    | 0.00         | 0.00 | 0.00         |
| Total                                 |      |           |               |               |              |      |              |
| 1,734,557.770                         |      | 30,883.23 | 20,285,388.32 | 13,919,368.78 | 6,366,019.54 | 0.00 | 6,366,019.54 |

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# Foundation

31 DEC 13

Account number Multiple Accounts

02-08303,02-79761,02-79791,02-79792

## ◆ Asset Detail - Base Currency

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| <u>Description / Asset ID</u> |                  | Exchange rate/<br>local market price |  | Accrued<br>Income/expense |  | Market Value | Cost | Market | Unrealized gain/loss<br>Translation |  | Total |
|-------------------------------|------------------|--------------------------------------|--|---------------------------|--|--------------|------|--------|-------------------------------------|--|-------|
| Investment Mgr ID             | Shares/PAR value |                                      |  |                           |  |              |      |        |                                     |  |       |

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