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Form **990-PF** Department of the Treasury Internal Revenue Service

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation
Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

For	cale	ndar year 2013 or tax year beginning		, and ending		
		ffoundation JOHN AND ALISON FERRIN	rc		A Employer identification	ou unmper
		ILY FOUNDATION	G		43-194461	6
		and street (or P O box number if mail is not delivered to street	address)	Room/suite	B Telephone number	
1	05	BOLTE LANE, WAGNER IND	USTRIAL PARK		(636)629-	3400
		own, state or province, country, and ZIP or foreign p		<u> </u>	C If exemption application is	
S	T.	CLAIR, MO 63077				
G (Check	k all that apply: Initial return	Initial return of a fo	ormer public charity	D 1. Foreign organization	ns, check here 🕨 🗀
		Final return	Amended return		2 5	masks a the SEO(test
		Address change	Name change		Foreign organizations in check here and attach in	computation
H_(_	type of organization: X Section 501(c)(3) ex			E If private foundation st	
<u> </u>			Other taxable private founda		under section 507(b)(1)(A), check here
		arket value of all assets at end of year J Accounts	· ·	Accrual		a 60-month termination
	om≀ -\$	Part II, col. (c), line 16) 0t	her (specify)	nacie l	under section 507(b)(1)(B), check here
		Analysis of Revenue and Expenses			(-) Ad	(d) Disbursements
	21.0	(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	for chantable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check X if the foundation is not required to attach Sch B	_,.			
	3	Interest on savings and temporary cash investments		· · · · · · · · · · · · · · · · · · ·		
	4	Dividends and interest from securities	111,603.	111,603	•	STATEMENT 1
	".	Gross rents				
		Net rental income or (loss)	040 744			
Re	62	Net gain or (loss) from sale of assets not on line 10 Gross sales price for all 2,126,113.	948,744.			
Revenue	7			948,744		
æ	΄ Ω	Capital gain net income from Part IV line 2) Net Short-term capital gain		740,144	•	
	9	Income modifications				
	10a	Gross salas lass returns (b) () 2046				
	Ι.	Less: Cost of goods sold				
,		Gross profit or (loss) DEM, UT				
	11	Other income				
	12	Total. Add lines 1 through 11	1,060,347.	1,060,347	•	
	13	Compensation of officers, directors, trustees, etc	0.	0	•	0
	14	Other employee salaries and wages			<u> </u>	
S		Pension plans, employee benefits				
nse.	162	Legal fees				
×pe	0	Accounting fees Other professional fees			-	
ē E	17	Interest				
aŧ	18	Taxes STMT 2	8,344.	3,694		0
iistr	19	Depreciation and depletion	J/J	0,052	,	<u>-</u>
Ë	20	Occupancy				
Ad	21	Travel, conferences, and meetings	770.	0	•	0
and	22	Printing and publications				
ng (23	Other expenses STMT 3	46,702.	46,702	•	0
rati	24	Total operating and administrative				_
Operating and Administrative Expens	_	expenses. Add lines 13 through 23	55,816.	50,396	•	0
_	25	Contributions, gifts, grants paid	1,418,630.			1,418,630
	26	Total expenses and disbursements.	1 474 446	E0 206		1,418,630
	27	Add lines 24 and 25 Subtract line 26 from line 12:	1,474,446.	50,396	•	1,410,030
	ı	Subtract line 26 from line 12. Excess of revenue over expenses and disbursements	<414,099.	>		
	Ι.	Net investment income (if negative, enter -0-)	\= <u>\=</u> \=\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	1,009,951		
	C	Adjusted net income (if negative, enter -0-)		_, <u>_, , , , , , , , , , , , , , , , , ,</u>	N/A	
3235 10-1	01	LHA For Paperwork Reduction Act Notice, see	instructions			Form 990-PF (2013

THE JOHN AND ALISON FERRING FAMILY FOUNDATION

<u>Fo</u>	rm 9 <u>9</u>	0-PF (2013) FAMILY FOUNDATION		-1944616 Page 2		
	ert	Balance Sheets Attached schedules and amounts in the description Beginning of year Er			year	
Part II. Balance Sheets column should be for end-of-year amounts only 1 Cash - non-interest-bearing			(a) Book Value	(b) Book Value	(c) Fair Market Value	
	1	<u>F</u>				
	1	Savings and temporary cash investments	86,552.	823,236.	<u>823,236.</u>	
	3	Accounts receivable				
	١.	Less: allowance for doubtful accounts				
	4	Pledges receivable				
	_	Less: allowance for doubtful accounts ► Grants receivable				
	1 -					
	6	Receivables due from officers, directors, trustees, and other	16 500			
	_	disqualified persons	16,500.			
	7	Other notes and loans receivable Less; allowance for doubtful accounts				
		Inventories for sale or use				
Assets	1 -	Prepaid expenses and deferred charges				
Ass		Investments - U.S. and state government obligations				
	l l	Investments - corporate stock STMT 4	3,157,091.	2,006,417.	3,703,795.	
	L	Investments - corporate bonds	3,137,031.	2,000,417.	3,703,793.	
		Investments - land, buildings, and equipment basis				
		Less accumulated depreciation				
	12	Investments - mortgage loans				
	13	Investments - other STMT 5	1,000,000.	1,000,000.	1,818,427.	
	14	Land, buildings, and equipment basis	1,000,000.	1,000,000.	1,010,427.	
	'	Less accumulated depreciation				
	15	Other assets (describe STATEMENT 6)	433,866.	450,257.	906,065.	
		Total assets (to be completed by all filers - see the	1007000	430,237.	200,003.	
		instructions. Also, see page 1, item 1)	4,694,009.	4,279,910.	7,251,523.	
	17	Accounts payable and accrued expenses		272.373200	. , , , , , , , , , , , , , , , , , , ,	
	18	Grants payable				
Ø	19	Deferred revenue				
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons				
abi	21	Mortgages and other notes payable				
_	22	Other liabilities (describe				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
		Foundations that follow SFAS 117, check here				
رم دم		and complete lines 24 through 26 and lines 30 and 31.				
čě	24	Unrestricted				
Net Assets or Fund Balances	25	Temporarily restricted				
Ä	26	Permanently restricted				
Ĕ		Foundations that do not follow SFAS 117, check here				
F		and complete lines 27 through 31.				
şţ	27	Capital stock, trust principal, or current funds	0.	0.		
SSE	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
χY	29	Retained earnings, accumulated income, endowment, or other funds	4,694,009.	4,279,910.		
ž	30	Total net assets or fund balances	4,694,009.	4,279,910.		
		Tabel link illaton and not acceptable and halance	4 604 000	4 270 010		
_		Total liabilities and net assets/fund balances	4,694,009.	4,279,910.		
P	art	Analysis of Changes in Net Assets or Fund Ba	lances			
1	Total	net assets or fund balances at beginning of year - Part II, column (a), line 3				
•		t agree with end-of-year figure reported on prior year's return)		1	4,694,009.	
2	•	r amount from Part I, line 27a		2	<414,099.>	
		r increases not included in line 2 (itemize)		3	0.	
		lines 1, 2, and 3	· · · · · · · · · · · · · · · · · · ·	4	4,279,910.	
		eases not included in line 2 (itemize)		5	0.	
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, col	umn (b), line 30	6	4,279,910.	

FAMILY FOUNDATION

	ribe the kind(s) of property sold (e. arehouse; or common stock, 200 sl	hs. MLC Co.)		P - Pt	acquired irchase onation	(c) Date (mo.,	acquired day, yr.)	(d) Date sold (mo., day, yr.)
	SEE ATTACHED D	ETAIL			P	VARIOUS 12/3		12/31/13
b CAPITAL GAINS	DIVIDENDS							
C								
<u>d</u>								
<u>e</u>							· · · · · · · · · · · · · · · · · · ·	
(e) Gross sales price	(f) Depreciation allowed (or allowable)	plus e	st or other basis expense of sale				Sain or (loss is (f) minus	(g)
a 2,123,194.		ļ	1,177,36	9.				945,825
b 2,919.								2,919
<u>C</u>		<u> </u>						
<u>d</u>		 	 					
<u>e</u>		<u> </u>						
Complete only for assets showing	ig gain in column (h) and owned by	the foundation	ı on 12/31/69	_			Col. (h) gain	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis		cess of col. (1)		CC		not less that (from col. (
(1) 1.141. 4. 43 61 123 1703	as of 12/31/69	over	col. (j), if any				- (110111 COL (,
a			· · · · · · · · · · · · · · · · · · ·					<u>945,825</u>
b								2,919
c								
d			<u> </u>					
е		1						
	∫ If gain, also ente	ar in Part I line	7	7				
2 Capital gain net income or (net ca		0- in Part I. line	, 7	2				948,744
, ,	•		•	7 -				
Net short-term capital gain or (los If gain, also enter in Part I, line 8,	ss) as defined in sections 1222(5) a	ına (6):		۱ ۲				
If (loss), enter -0- in Part I, line 8	column (c).) a				
							NT/X	
For optional use by domestic private	foundations subject to the section				ment in	come	N/A	
If section 4940(d)(2) applies, leave the Was the foundation liable for the sectif "Yes," the foundation does not qual	foundations subject to the section his part blank. tion 4942 tax on the distributable airly under section 4940(e). Do not c	4940(a) tax on mount of any year mount of any year mount of any year make this pa	net investment inc ear in the base peri	come.)	ment In	come	N/A	Yes X No
For optional use by domestic private f section 4940(d)(2) applies, leave the was the foundation liable for the sections, the foundation does not qual	foundations subject to the section his part blank. tion 4942 tax on the distributable a	4940(a) tax on mount of any year mount of any year mount of any year make this pa	net investment inc ear in the base peri	come.)	ment In	come	N/A	
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distributed by the foundation in connection with the activities. c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$	_		-		
c Did the foundation file Form 1120-POL for this year? d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ▶ \$ O. (2) On foundation managers. ▶ \$ O. 2 Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ O. 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities. 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes 4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year? 4 b If 'Yes," has it filed a tax return on Form 990-T for this year? 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? • By language in the governing instrument? • By state legislation that effectively amends the governing instrument so that no mand					
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5	4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	L	X
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9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar	þ		_		
		·	8b	X	
	9		g		x

Form **990-PF** (2013)

10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses

THE JOHN AND ALISON FERRING FAMILY FOUNDATION Form 990-PF (2013) 43-1944616 Page 5 Part VII-A Statements Regarding Activities (continued) 11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) X 11 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 Website address ► N/A 14 The books are in care of ▶ JOHN H. FERRING, IV Telephone no. \triangleright (636) 629 - 3400 Located at ► 105 BOLTE LANE, ST. CLAIR, MO **▶**63077 15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year N/A 15 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, Yes No securities, or other financial account in a foreign country? 16 X See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country > Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No 1a During the year did the foundation (either directly or indirectly); Yes 🗶 No (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) Yes X No a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No (5) Transfer any income or assets to a disqualified person (or make any of either available Yes X No for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after Yes X No termination of government service, if terminating within 90 days.) b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A 1b Organizations relying on a current notice regarding disaster assistance check here c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? X 1c Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? Yes X No If "Yes," list the years ▶ b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A 2b c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time Yes X No during the year? b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A 3b X 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a

Form **990-PF** (2013)

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could geopardize its charitable purpose that

had not been removed from jeopardy before the first day of the tax year beginning in 2013?

THE JOHN AND ALISON FER	RRING			
Form 990-PF (2013) FAMILY FOUNDATION			43-19446	16 Page 6
Part VII-B Statements Regarding Activities for Which	h Form 4720 May Be	Required (contin	ued)	
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (sec	` ''		es 🗶 No	
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indir			
any voter registration drive?			es X No	
(3) Provide a grant to an individual for travel, study, or other similar purpos		Y(es X No	
(4) Provide a grant to an organization other than a charitable, etc., organization of the state	ation described in section			
509(a)(1), (2), or (3), or section 4940(d)(2)?			es X No	
(5) Provide for any purpose other than religious, charitable, scientific, litera the prevention of cruelty to children or animals?	ary, or educational purposes, or		es X No	
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify	under the exceptions described	in Regulations		
section 53.4945 or in a current notice regarding disaster assistance (see ins	structions)?		N/A 5	ib
Organizations relying on a current notice regarding disaster assistance chec	k here			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption				
expenditure responsibility for the grant?		I/A ∐ Yo	es L No	
If "Yes," attach the statement required by Regulations section 53.4	` '			
6a Did the foundation, during the year, receive any funds, directly or indirectly,	to pay premiums on	Г 1	[]	
a personal benefit contract?		Ye	es X No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on <i>If</i> "Yes" to 6b, file Form 8870.	a personal benefit contract?		<u>- </u>	b X
7a At any time during the tax year, was the foundation a party to a prohibited ta	v chalter transaction?		es X No	
b If "Yes," did the foundation receive any proceeds or have any net income attr		10	N/A 7	h -
Part VIII Information About Officers, Directors, True Paid Employees, and Contractors		nagers, Highly		
1 List all officers, directors, trustees, foundation managers and the	eir compensation.		· · · · · · · · · · · · · · · · · · ·	·
	(b) Title, and average hours per week devoted	(c) Compensation	(d) Contributions to employee benefit plans	(e) Expense
(a) Name and address	hours per week devoted to position	(If not paid, enter -0-)	and deferred compensation	account, other allowances
JOHN H. FERRING IV	TRUSTEE			
105 BOLTE LANE				
ST CLAIR, MO 63077	0.00	0.	0.	0.
ALISON N. FERRING	TRUSTEE			
105 BOLTE LANE	_		_	
ST CLAIR, MO 63077	0.00	0.	0.	<u> </u>
	_			
				
			1	
	- 			
2 Compensation of five highest-paid employees (other than those	included on line 1). If none,	enter "NONE."		
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred	(e) Expense account, other allowances
NONE	devoted to position	 	compensation	anowances
	_		1	
				- —
		<u> </u>		
	_			
		<u> </u>		
Total number of other employees paid over \$50,000			F=	990-PF (2013)
			Form a	20-FF (2013)

THE JOHN AND ALISON FERRING FORM 990-PF (2013) FAMILY FOUNDATION

Form 990-PF (2013) FAMILY FOUNDATION	43-	1944616 Page 7
Part VIII Information About Officers, Directors, Trustees, Paid Employees, and Contractors (continued)		
3 Five highest-paid independent contractors for professional services. If n	one, enter "NONE."	
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		
Total number of others receiving over \$50,000 for professional services Part IX-A Summary of Direct Charitable Activities		<u>▶</u> 0
List the foundation's four largest direct charitable activities during the tax year. Include reli	event statustical information and as the	
number of organizations and other beneficiaries served, conferences convened, research	papers produced, etc.	Expenses
1 <u>N/A</u>		
2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the	tax year on lines 1 and 2.	Amount
1N/A		
2	<u> </u>	
All other program-related investments. See instructions.		
3		
	ı	

Total, Add lines 1 through 3

0.

Form **990-PF** (2013)

Form 990-PF (2013)

FAMILY FOUNDATION

	Minimum Investment Return (All domestic foundations must complete this part. Foreign fou	ndations,	see instructions.)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
а	Average monthly fair market value of securities	1a	5,975,627.
	Average of monthly cash balances	1b	954,457.
C	Fair market value of all other assets	1c	889,565.
ď	Total (add lines 1a, b, and c)	1d	7,819,649.
e	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0 •		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	7,819,649.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	117,295.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,702,354.
6	Minimum investment return. Enter 5% of line 5	6	385,118.
P	Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations are foreign organizations check here and do not complete this part.)	id certain	
1	Minimum investment return from Part X, line 6	1	385,118.
	Tax on investment income for 2013 from Part VI, line 5		
	Income tax for 2013. (This does not include the tax from Part VI.)		
C	Add lines 2a and 2b	2c	10,100.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	375,018.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	375,018.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	375,018.
P	Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	1,418,630.
þ	Program-related investments - total from Part IX-B	1b	<u> </u>
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
	Suitability test (prior IRS approval required)	3a	
	Cash distribution test (attach the required schedule)	3b	- 140 222
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,418,630.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	income. Enter 1% of Part I, line 27b	5	10,100.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,408,530.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years.	ualifies fo	r the section

Form **990-PF** (2013)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI,				
line 7				375,018.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:				
		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 106,333.				
b From 2009 366,833. c From 2010 215,039.				
c From 2010 215,039. d From 2011 552,990.				
e From 2012 838,395.				
f Total of lines 3a through e	2,079,590.			
4 Qualifying distributions for 2013 from	2,013,330.			
Part XII, line 4: ▶\$ 1,418,630.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior				
years (Election required - see instructions)		0.		
c Treated as distributions out of corpus				
(Election required - see instructions)	0.			
d Applied to 2013 distributable amount				375,018.
e Remaining amount distributed out of corpus	1,043,612.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	3,123,202.			
b Prior years' undistributed income. Subtract	-,			-1
line 4b from line 2b		0.		
e Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable				
amount - see instructions		0.		
e Undistributed income for 2012. Subtract line	-			
4a from line 2a. Taxable amount - see instr.			0.	<u> </u>
f Undistributed income for 2013. Subtract				
lines 4d and 5 from line 1. This amount must	ļ			_
be distributed in 2014				<u> </u>
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by	.			
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008	106 222			
not applied on line 5 or line 7	106,333.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	3,016,869.			
Subtract lines 7 and 6 from line 6a 10 Analysis of line 9:	3,010,003.			
a Excess from 2009 366,833.]		
b Excess from 2010 215, 039.		İ		
c Excess from 2011 552,990.		İ		
d Excess from 2012 838,395.				
e Excess from 2013 1,043,612.				
				5 000 DE 100 (0)

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

b The form in which applications should be submitted and information and materials they should include:

Form 990-PF (2013) FAMILY FOUNDATION

Part XV Supplementary Information (continued)

43-1944616 Page 11

3 Grants and Contributions Paid During the Y	ear or Approved for Future	Payment		
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient	Contribution	
a Paid dunng the year				
JAZZ STL		PUBLIC CHARITY	CEMPDAI STATE	
		TODBIC CHARTII	SEMERAL FUND	150,000
NATIONAL MARFAN FOUNDATION		PUBLIC CHARITY	GENERAL FIND	
			1000	1,000
OPERA THEATRE OF ST. LOUIS		PUBLIC CHARITY	GENERAL FUND	
				177,000
EPILEPSY FOUNDATION OF SAN DIEGO		PUBLIC CHARITY	GENERAL FUND	
				1,000
FOOD OUTREACH		PUBLIC CHARITY	GENERAL FUND	
Total SEE CON	TINUATION SHEE	TT (C)	▶ 3a	5,000
b Approved for future payment	TIMORITON SHEE		Ja	1,418,630
NONE				
Total			▶ 3b	0

Part XVI-A	 Analysis of Income-Producing I 	Activities
------------	--	------------

Enter gross amounts unless otherwise indicated.	Unrelated business income		Exclu	ided by section 512, 513, or 514	(e)		
1 Program service revenue:	(a) Business code	(b) Amount	Exclu- sion code	(d) Amount	Related or exempt function income		
-	Code		wus				
a	<u> </u>		├				
b							
c			ļ				
d			<u> </u>				
e		<u> </u>					
f			ļ				
g Fees and contracts from government agencies							
2 Membership dues and assessments							
3 Interest on savings and temporary cash			1				
investments							
4 Dividends and interest from securities			14	111,603.			
5 Net rental income or (loss) from real estate:							
a Debt-financed property							
b Not debt-financed property							
6 Net rental income or (loss) from personal							
property							
7 Other investment income					· · · · · · · · · · · · · · · · · · ·		
8 Gain or (loss) from sales of assets other							
than inventory			14	948,744.			
9 Net income or (loss) from special events			_ T 4	740,744.			
10 Gross profit or (loss) from sales of inventory							
11 Other revenue:			_	<u> </u>			
a							
b							
<u> </u>							
d				<u> </u>			
e				4 060 045			
12 Subtotal. Add columns (b), (d), and (e)		0.		1,060,347.	0.		
13 Total. Add line 12, columns (b), (d), and (e)				13	1,060,347.		
(See worksheet in line 13 instructions to verify calculations.)	····			 			
Part XVI-B Relationship of Activities to	the Acc	omplishment of Ex	emp	t Purposes			
Line No.							
Explain below how each activity for which incon the foundation's exempt purposes (other than be			COULL	outed importantly to the accon	ipiisnment oi		
	y providing to	ilius ioi sucii purposes).					
N/A							
							
	·			· .			
							
							
							
	_			.			
323621	=				F 000 DF (05.15		
323621 10-10-13					Form 990-PF (2013		

Firm's address ► 15 SUNNEN DR, SUITE 100

ST. LOUIS, MO 63143

Form 9	90-PF (2013) FAMIL	I FOUNDA	I.TON			43-194	14616	Pa	ge 13
Part	XVII	Information Re Exempt Organ	egarding Tranizations	nsfers To	and Transactions a	nd Relation				
1 D				of the following	no with any other organization	n described in sec	etion 501(c) of		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?									100	
		n the reporting found								
) Cash			•				1a(1)		X
(2) Other ass	sets						1a(2)		X
b 0	ther transac	tions:								
(1) Sales of a	assets to a noncharita	ble exempt organiza	ation				1b(1)		_X
(2) Purchase	es of assets from a no	ncharitable exempt	organization				1b(2)		X
		facilities, equipment,	or other assets					1b(3)		X
•	•	sement arrangements						1b(4)		X
•	•	loan guarantees			-			1b(5)		<u>X</u>
-	-	ince of services or me		=				1b(6)	ļ	X
		ulities, equipment, ma						1c		X
Q 11	rite guswei i	to ally of the above is	res, complete the	notiowing scne	edule. Column (b) should alv ed less than faır market valu	vays snow the fair	market value of the goods,	otner ass	sets,	
		e value of the goods,				e ili aliy iralisaciio	iii or snaring arrangement,	SHOW III		
(a) Line		Amount involved			e exempt organization	(d) Description	on of transfers, transactions, and	i shanno ar	rangeme	nte
· •		-		N/A	9	(0,000,000		- C		
								_		
	-									
	-					 				
	- +	 								
		ion directly or indirect			or more tax-exempt organiz	rations described	 	Yes		No
		lete the following sche		,,(o), or iii oooi			_			_ 140
		(a) Name of org			(b) Type of organization		(c) Description of relations	ship		
		N/A					· · · · · · · · · · · · · · · · · · ·			
			<u> </u>							
	Tii.		/				·····			
Sigr Here	and belief,				ng accompanying schedules and in taxpayer) is based on all inform Date		er has any knowledge Res	the IRS um with the own below Yes	ne prepare (see <u>inst</u>	er
		int/Type preparer's na	me	Preparer's s		Date	Check If PTIN			
Paic		SANDRA L.		1/2-1	In LOCAMOD	8/12/14	self- employed	01 E E	: A n >	
	1 1	m's name ► UHY	ADVIGOR	<u> </u>	NC.	-1'- '-	Firm's EIN ► 43-1	<u>0165</u>		
-	Only	monano P UIII	11000	, 110, I		į	TRINSLIN P 45-1	5050		

Phone no. 314-615-1200

THE JOHN AND ALISON FERRING FAMILY FOUNDATION

43-1944616

Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, Recipient Purpose of grant or contribution Foundation show any relationship to status of Amount any foundation manager Name and address (home or business) recipient or substantial contributor VOICES FOR CHILDREN PUBLIC CHARITY GENERAL FUND 47,500. AMERICAN RED CROSS PUBLIC CHARITY GENERAL FUND 1,500. CONTEMPORARY ART MUSEUM ST. LOUIS PUBLIC CHARITY GENERAL FUND 65_000. FRIENDS OF TEAM PUBLIC CHARITY GENERAL FUND 1,000. READY READERS PUBLIC CHARITY GENERAL FUND 1,000. LAUMEIER SCULPTURE PARK PUBLIC CHARITY GENERAL FUND 29,180, THE SHELDON ART GALLERIES PUBLIC CHARITY GENERAL FUND 12,000. CRAFT ALLIANCE PUBLIC CHARITY GENERAL FUND 52,500. sos PUBLIC CHARITY GENERAL FUND 1,200. JEWISH COMMUNITY CENTER PUBLIC CHARITY GENERAL FUND 1,000. Total from continuation sheets 1,084,630.

THE JOHN AND ALISON FERRING

FAMILY FOUNDATION

Part XV Supplementary Information

43-1944616

Part XV Supplementary Information 3 Grants and Contributions Paid During the Ye	ear (Continuation)			
Recipient	If recipient is an individual.			
Name and address (home or business)	show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
	or substantial contributor	recipient		
MID AMERICA ARTS ALLIANCE		PUBLIC CHARITY	GENERAL FUND	5,000
FINE ARTS WORK CENTER		PUBLIC CHARITY	GENERAL FUND	24,100,
NATIONAL CONFERENCE FOR COMMUNITY AND JUSTICE OF METROPOLITAN ST. LOUIS		PUBLIC CHARITY	GENERAL FUND	1,000.
PPA		PUBLIC CHARITY	GENERAL FUND	2,500,
ST. LOUIS PUBLIC LIBRARY		PUBLIC CHARITY	GENERAL FUND	259,650
BROWN UNIVERSITY		PUBLIC CHARITY	GENERAL, FUND	10,000
PLANNED PARENTHOOD		PUBLIC CHARITY	GENERAL FUND	2,500.
PAAM		PUBLIC CHARITY	GENERAL FUND	1,000
NEIGHBORHOOD HOUSES		PUBLIC CHARITY	GENERAL FUND	2,500.
THE BID MUDDY DANCE COMPANY		PUBLIC CHARITY	GENERAL FUND	5,000
Total from continuation sheets				1

THE JOHN AND ALISON FERRING

FAMILY FOUNDATION 43-1944616

Part XV Supplementary Information

3 Grants and Contributions Paid During the You				
Recipient	If recipient is an individual, show any relationship to	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient	Contribution	721100111
ST. LOUIS CHILDREN'S HOSPITAL		PUBLIC CHARITY		
		FUBLIC CHARITI	GENERAL FUND	1,500
GATEWAY TO HOPE		PUBLIC CHARITY	GENERAL FUND	500
				300
EPWORTH		PUBLIC CHARITY	GENERAL FUND	500
CYSTIC FIBROSIS FOUNDATION		PUBLIC CHARITY	GENERAL FUND	1,000
YOU D. GUTW				
WORLD CHEM		PUBLIC CHARITY	GENERAL FUND	5,000
FEACH FOR AMERICA		PUBLIC CHARITY	GENERAL FUND	25,000
MATTHEWS-DICKEY BOYS' & GIRLS' CLUB		PUBLIC CHARITY	GENERAL FUND	
				5,000
THE REPERTORY THEATER OF ST. LOUIS		PUBLIC CHARITY	GENERAL FUND	3,000
LIFT FOR LIFE ACADEMY		PUBLIC CHARITY	GENERAL FUND	3,000
ST. LOUIS PUBLIC RADIO	1	PUBLIC CHARITY	GENERAL FUND	

THE JOHN AND ALISON FERRING

FAMILY FOUNDATION

Part XV Supplementary Information

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43-1944616

Part XV Supplementary Information				
3 Grants and Contributions Paid During the Ye	ear (Continuation)			
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	status of recipient	Contribution	, anount
ST. LOUIS ART MUSEUM		PUBLIC CHARITY	CENERAL PUND	
		FOBILE CHARTII	SHALIGHE FORD	125,000.
FOREST PARK FOREVER		PUBLIC CHARITY	GENERAL FUND	
				225,000,
COLLEGE BOUND		PUBLIC CHARITY	GENERAL FUND	100.000
				100,000.
SHAKESPEARE FESTIVAL ST. LOUIS		PUBLIC CHARITY	GENERAL FUND	
				20,000,
COCA		PUBLIC CHARITY	GENERAL FUND	25,000.
PLACES FOR PEOPLE		PUBLIC CHARITY	GENERAL FUND	
				10,000.
			-	
Total from continuation sheets				<u> </u>

					
FORM 990-PF	DIVIDENDS	S AND INTER	EST FROM SECU	RITIES S'	TATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDEND	REVENUE	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SCHWAB INSTITUTIONAL SCHWAB	111,603.		0. 111,603	. 111,603.	
INSTITUTIONAL	2,919.	2,91	9. 0	. 0.	
TO PART I, LINE 4	114,522.	2,91	9. 111,603	111,603.	
FORM 990-PF		TAX	ES 	S:	PATEMENT 2
DESCRIPTION		(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX 'PAID 2012 EXTENSION PAYMENT 2013 ESTIMATED TAX		3,694. 2,250.	3,694.		0.
PAYMENTS		2,400.	0.		0.
TO FORM 990-PF, PG 1	, LN 18	8,344.	3,694.		0.
		·			
FORM 990-PF		OTHER E	XPENSES	S'.	ratement 3
DESCRIPTION		(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMEN ADR FEES	T FEE	46,299. 403.	46,299. 403.		0.
TO FORM 990-PF, PG 1	, LN 23	46,702.	46,702.		0.

	CORPORATE STOCK		STATEMENT 4
DESCRIPTION		BOOK VALUE	FAIR MARKET VALUE
SCHWAB INSTITUTIONAL		2,006,417.	3,703,795.
TOTAL TO FORM 990-PF, PART II, LI	INE 10B	2,006,417.	3,703,795.
FORM 990-PF OT	THER INVESTMENTS		STATEMENT 5
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
STIEVEN FINANCIAL OFFSHORE INVESTORS LTD PARTNERSHIP	COST	1,000,000.	1,818,427.
TOTAL TO FORM 990-PF, PART II, LI	INE 13	1,000,000.	1,818,427.
FORM 990-PF			
	OTHER ASSETS		STATEMENT 6
	OTHER ASSETS BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	STATEMENT 6 FAIR MARKET VALUE
DESCRIPTION	BEGINNING OF		FAIR MARKET
DESCRIPTION LIFE INSURANCE ACCRUED INVESTMENT INCOME	BEGINNING OF YR BOOK VALUE	BOOK VALUE	FAIR MARKET VALUE
DESCRIPTION LIFE INSURANCE ACCRUED INVESTMENT INCOME RECEIVABLE	BEGINNING OF YR BOOK VALUE 431,200. 2,666.	BOOK VALUE 431,200. 2,557.	FAIR MARKET VALUE 887,008.

NAME OF MANAGER

JOHN H. FERRING IV ALISON N. FERRING

Nichols Asset Management LLC REALIZED GAINS AND LOSSES Charitable Foundation, Ferring From 12-31-12 Through 12-31-13

Gain	^-	

Open		Close			Cost		
Date		Date	Quantity	Security	Basis	Proceeds	Short Term Long Term
	6/29/2009	2/4/2013	1210	I Shares Barclays 1-3 Credit Bond Fund	\$136,115.82	\$139,097.56	\$2,981 74
	11/29/2005	3/18/2013		Costco	\$4,192 00	\$10,136.08	\$5,944 08
	11/29/2005	3/18/2013		Costco	\$8,347 80		
		· · · · · · · · · · · · · · · · · · ·		Costco	\$8,347.80		
	11/29/2005	3/18/2013			\$2,441 00		
	11/29/2005	3/18/2013		CVS Corporation	\$2,441.00		
	11/29/2005	3/18/2013		CVS Corporation			\$7,191 60
	11/29/2005	3/18/2013		CVS Corporation	\$3,530.92		_
	11/29/2005	3/18/2013		CVS Corporation	\$19,323 46		
	3/27/2009	3/18/2013		Eaton Vance Global Macro Insit Share	\$82,407 27		
	11/29/2005	3/18/2013		E M C Corp	\$25,080 00		
	11/29/2005	3/18/2013		GILEAD Sciences Inc	\$2,444.96		
	11/29/2005	3/18/2013		GILEAD Sciences Inc	\$668.29		
	11/29/2005	3/18/2013		GILEAD Sciences Inc	\$1,629.97		
	11/29/2005	3/18/2013	200	GILEAD Sciences Inc.	\$1,629 97		
	11/29/2005	3/18/2013	18	GILEAD Sciences Inc.	\$146.70		
	11/29/2005	3/18/2013	82	GILEAD Sciences Inc	\$666.34	\$3,661 79	\$2,995 45
	11/29/2005	3/18/2013	100	GILEAD Sciences Inc	\$812.61	\$4,465 50	\$3,652 89
	11/29/2005	3/18/2013	100	GILEAD Sciences Inc.	\$812.61	\$4,465 60	\$3,652.99
	11/29/2005			GILEAD Sciences Inc.	\$812 61	\$4,464.50	\$3,651.89
	11/29/2005	1. 1.		GILEAD Sciences Inc	\$146 27		
	11/29/2005			GILEAD Sciences Inc.	\$4,875 67		
	11/11/2011			Gazprom Neft OAO 1 adr = 10 ordinary	\$4,692 32		
	11/11/2011			Gazprom Neft OAO 1 adr = 10 ordinary	\$4,692.32		
				Gazprom Neft OAO 1 adr = 10 ordinary	\$6,256.43		
	11/11/2011			•			
	9/30/2008			Intl Business Machines Corp	\$34,083.70		
	9/30/2008			Intl Business Machines Corp	\$5,680 62		
	11/11/2011			Coca-Cola Femsa SAB de CV	\$29,883.66		
	11/29/2005			Nestle ADR	\$6,445 00		
	11/29/2005	3/18/2013		Nestle ADR	\$6,426 00		
	11/29/2005	3/18/2013	200	Nestle ADR	\$5,140 80		
	11/29/2005	3/18/2013	300	Nestle ADR	\$7,711 20	\$21,716.82	\$14,005.62
	9/30/2008	3/18/2013	1860	Oracle Systems	\$37,166.23	\$67,323.40	\$30,157.17
	11/11/2011	3/18/2013	1142	Statoihydro ASA ADR	\$6,980 43	\$27,791.28	\$ \$20,810 85
	11/29/2005	3/18/2013	200	Exxon Mobil	\$6,037 75	\$17,793.25	\$11,755.50
	3/16/2010			Chubb Corp	\$10,049 33	\$17,761 45	\$7,712.12
	11/29/2005		400	Quest Diagnostics	\$17,996 00	\$23,830 92	\$5,834.92
	11/29/2005		2500	EM C Corp	\$20,900 00	\$58,144.75	\$37,244.75
	1/26/2009			General Electric	\$10,824.40	\$19,697.85	\$8,873.45
	11/29/2005			GILEAD Sciences Inc.	\$8,126 12		
	11/29/2005) Johnson & Johnson	\$15,051.80		
	11/11/2011) Coca-Cola Femsa SAB de CV	\$15,937 95		
	3/16/2010) NIKE	\$10,579 30		
	11/29/2005) Nestle ADR	\$6,426 00		
				Northern Trust	\$21,075 00		
	11/29/2005				\$55,446 7:		
	10/15/2008) Barclay's High Yield			
	1/12/2009) I Shares S&P Preferred Index	\$16,478 5		
	11/3/2011			5 ProsharesUltrashort	\$51,450 69		
	3/27/2009			Eaton Vance Global Macro Insit Share	\$155,014 40		
	3/16/2010) BASF AG - ADR	\$12,132 9		
	3/16/2010			Chubb Corp	\$7,536 9		
	3/16/2010	12/4/2013) Chevron	\$14,791.9		
	11/29/2009	12/4/2013	200	DEMCCorp	\$1,672 0		
	11/29/2005	12/4/2013		DEMCCorp	\$5,434.0		
	11/29/2005	12/4/2013		D E M C Corp	\$20,064 0		
	11/29/2005	12/4/2013		O GILEAD Sciences Inc.	\$812 6		
	11/29/2009	12/4/2013	500	O GILEAD Sciences Inc.	\$4,063.0	6 \$36,298 9	1 \$32,235 85
	11/11/2011		3 1000	O Gazprom Neft OAO 1 adr = 10 ordinary	\$7,820.5	4 \$22,492 6	3 \$14,672 09
	11/11/2011			O Gazprom Neft OAO 1 adr = 10 ordinary	\$7,820 5	4 \$22,485 1	4 \$14,664 60
	9/30/2008			0 Intel Corp	\$23,714 2	0 \$30,857.7	1 \$7,143.51
	11/29/2005			0 Johnson & Johnson	\$4,427 0		
	11/29/2005			0 Johnson & Johnson	\$4,427 0		
	11, 23, 200.	- 1-1-11-11	0				• •

11/29/2005	12/4/2013	100 Johnson & Johnson	\$4,427.00	\$9,408.70	\$4,981.70
11/29/2005	12/4/2013	100 Johnson & Johnson	\$4,427.00	\$9,408 71	\$4,981.71
10/15/2008	12/4/2013	1000 Barclay's High Yield	\$34,654 20	\$40,422 35	\$5,768 15
11/11/2011	12/4/2013	150 Coca-Cola Femsa SAB de CV	\$5,976.73	\$17,566 54	\$11,589 81
11/11/2011	12/4/2013	25 Mastercard Inc.	\$4,031 22	\$18,746 50	\$14,715.28
3/16/2010	12/4/2013	100 Marsh & McLennan	\$2,432 32	\$4,743 87	\$2,311.55
3/16/2010	12/4/2013	100 Marsh & McLennan	\$2,432.32	\$4,743.87	\$2,311.55
3/16/2010	12/4/2013	175 Marsh & McLennan	\$4,256 56	\$8,301.77	\$4,045.21
3/16/2010	12/4/2013	200 Marsh & McLennan	\$4,864 65	\$9,487 70	\$4,623.05
3/16/2010	12/4/2013	100 Marsh & McLennan	\$2,432.32	\$4,744 07	\$2,311 75
3/16/2010	12/4/2013	100 Marsh & McLennan	\$2,432 32	\$4,743 07	\$2,310 75
3/16/2010	12/4/2013	130 3 M Corporation	\$10,545 08	\$16,561.34	\$6,016.26
11/4/2009	12/4/2013	125 Novartis	\$6,543 08	\$9,743 13	\$3,200.05
9/30/2008	12/4/2013	500 Oracle Systems	\$9,990.92	\$17,517 25	\$7,526.33
11/11/2011	12/4/2013	500 Occidental Petroleum	\$24,794.17	\$47,259 73	\$22,465 55
1/12/2009	12/4/2013	1200 Shares S&P Preferred Index	\$36,619.05	\$45,316.66	\$8,697 61
11/11/2011	12/4/2013	100 Statoihydro ASA ADR	\$611 25	\$2,255 61	\$1,644.36
11/11/2011	12/4/2013	200 Statoihydro ASA ADR	\$1,222.49	\$4,509 22	\$3,286.73
11/11/2011	12/4/2013	100 Stato:hydro ASA ADR	\$611 25	\$2,254 61	\$1,643.36
11/11/2011	12/4/2013	200 Statoihydro ASA ADR	\$1,222.49	\$4,509 22	\$3,286.73
11/11/2011	12/4/2013	500 Statoihydro ASA ADR	\$3,056 23	\$11,272.56	\$8,216 33
11/11/2011	12/4/2013	50 Statoihydro ASA ADR	\$305.62	\$1,127.26	\$821 64
11/11/2011	12/4/2013	850 Statolhydro ASA ADR	\$5,195.59	\$19,164.23	\$13,968 64
3/16/2010	12/4/2013	85 United Technologies	\$6,142 06	\$9,278 08	\$3,136 02

TOTAL GAINS TOTAL LOSSES \$0 00 \$983,553.09 \$0 00 -\$37,727.67

\$1,177,368,70 \$2,123,194.12 \$0.00 \$945,825.424

Form **8868**

(Rev. January 2014)

Department of the Treasury Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

► File a separate application for each return.

Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No. 1545-1709

 If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box $\triangleright |X|$ If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form). Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868. Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits. Automatic 3-Month Extension of Time. Only submit original (no copies needed). A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns. Name of exempt organization or other filer, see instructions. Employer identification number (EIN) or Type or THE JOHN AND ALISON FERRING print FAMILY FOUNDATION 43-1944616 File by the Number, street, and room or suite no. If a P.O. box, see instructions. Social security number (SSN) due date for filing your 105 BOLTE LANE, WAGNER INDUSTRIAL PARK City, town or post office, state, and ZIP code. For a foreign address, see instructions. instructions 63077 ST. CLAIR, MO 0 4 Enter the Return code for the return that this application is for (file a separate application for each return) Application Return Application Return Is For Code Is For Code Form 990 or Form 990-EZ 01 Form 990-T (corporation) Form 990-BL Form 1041-A 80 02 Form 4720 (individual) Form 4720 (other than individual) 09 Form 990-PF Form 5227 10 Form 990-T (sec. 401(a) or 408(a) trust) Form 6069 11 Form 990-T (trust other than above) Form 8870 12 JOHN H. FERRING, The books are in the care of ▶ 105 BOLTE LANE - ST. CLAIR, MO 63077 Telephone No. ► (636)629-3400 Fax No. If the organization does not have an office or place of business in the United States, check this box If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box . If it is for part of the group, check this box . and attach a list with the names and EINs of all members the extension is for. I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above. The extension is for the organization's return for: ► X calendar year 2013 or tax year beginning , and ending If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return ___ Change in accounting period If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any 13,996. nonrefundable credits. See instructions. За If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and 3,996. estimated tax payments made. Include any prior year overpayment allowed as a credit. 3b Balance due, Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.

LHA 323841 12-31-13 For Privacy Act and Paperwork Reduction Act Notice, see instructions.

instructions

Form 8868 (Rev. 1-2014)

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment