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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation THE JOHN AND ALISON FERRING FAMILY FOUNDATION		A Employer identification number 43-1944616
Number and street (or P.O. box number if mail is not delivered to street address) 105 BOLTE LANE, WAGNER INDUSTRIAL PARK	Room/suite	B Telephone number (636) 629-3400
City or town, state or province, country, and ZIP or foreign postal code ST. CLAIR, MO 63077		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 7,251,523.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		111,603.	111,603.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		948,744.			
b Gross sales price for all assets on line 6a 2,126,113.					
7 Capital gain net income (from Part IV, line 2)			948,744.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		1,060,347.	1,060,347.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees					
c Other professional fees					
17 Interest					
18 Taxes STMT 2		8,344.	3,694.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		770.	0.		0.
22 Printing and publications					
23 Other expenses STMT 3		46,702.	46,702.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		55,816.	50,396.		0.
25 Contributions, gifts, grants paid		1,418,630.			1,418,630.
26 Total expenses and disbursements. Add lines 24 and 25		1,474,446.	50,396.		1,418,630.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<414,099.>			
b Net investment income (if negative, enter -0-)			1,009,951.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	86,552.	823,236.	823,236.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons	16,500.		
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 4	3,157,091.	2,006,417.	3,703,795.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 5	1,000,000.	1,000,000.	1,818,427.	
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 6)	433,866.	450,257.	906,065.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	4,694,009.	4,279,910.	7,251,523.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	4,694,009.	4,279,910.	
30 Total net assets or fund balances	4,694,009.	4,279,910.		
31 Total liabilities and net assets/fund balances	4,694,009.	4,279,910.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,694,009.
2 Enter amount from Part I, line 27a	2	<414,099.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	4,279,910.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,279,910.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SCHWAB #2103 - SEE ATTACHED DETAIL	P	VARIOUS	12/31/13
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,123,194.		1,177,369.	945,825.
b 2,919.			2,919.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			945,825.
b			2,919.
c			
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	948,744.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,217,243.	7,733,645.	.157396
2011	910,126.	7,189,799.	.126586
2010	563,425.	7,144,729.	.078859
2009	705,994.	7,059,453.	.100007
2008	621,719.	10,324,481.	.060218

2 Total of line 1, column (d)	2	.523066
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.104613
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	7,702,354.
5 Multiply line 4 by line 3	5	805,766.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	10,100.
7 Add lines 5 and 6	7	815,866.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,418,630.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	10,100.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	10,100.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	10,100.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	3,996.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	10,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	13,996.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,896.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 3,896. Refunded ☒ 0.	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► JOHN H. FERRING, IV Telephone no. ► (636) 629-3400 Located at ► 105 BOLTE LANE, ST. CLAIR, MO ZIP+4 ► 63077			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN H. FERRING IV 105 BOLTE LANE ST CLAIR, MO 63077	TRUSTEE 0.00	0.	0.	0.
ALISON N. FERRING 105 BOLTE LANE ST CLAIR, MO 63077	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		0

Part IX-A**Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B**Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

**THE JOHN AND ALISON FERRING
FAMILY FOUNDATION**
Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	5,975,627.
b Average of monthly cash balances	1b	954,457.
c Fair market value of all other assets	1c	889,565.
d Total (add lines 1a, b, and c)	1d	7,819,649.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	7,819,649.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	117,295.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	7,702,354.
6 Minimum investment return. Enter 5% of line 5	6	385,118.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	385,118.
2a Tax on investment income for 2013 from Part VI, line 5	2a	10,100.	
b Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b	2c	10,100.	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	375,018.	
4 Recoveries of amounts treated as qualifying distributions	4	0.	
5 Add lines 3 and 4	5	375,018.	
6 Deduction from distributable amount (see instructions)	6	0.	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	375,018.	

Part XII**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,418,630.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,418,630.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	10,100.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,408,530.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**THE JOHN AND ALISON FERRING
FAMILY FOUNDATION**
Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				375,018.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	106,333.			
b From 2009	366,833.			
c From 2010	215,039.			
d From 2011	552,990.			
e From 2012	838,395.			
f Total of lines 3a through e	2,079,590.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$	1,418,630.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				375,018.
e Remaining amount distributed out of corpus	1,043,612.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	3,123,202.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	106,333.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	3,016,869.			
10 Analysis of line 9:				
a Excess from 2009	366,833.			
b Excess from 2010	215,039.			
c Excess from 2011	552,990.			
d Excess from 2012	838,395.			
e Excess from 2013	1,043,612.			

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**THE JOHN AND ALISON FERRING
FAMILY FOUNDATION**

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
JAZZ STL		PUBLIC CHARITY	GENERAL FUND	150,000.
NATIONAL MARFAN FOUNDATION		PUBLIC CHARITY	GENERAL FUND	1,000.
OPERA THEATRE OF ST. LOUIS		PUBLIC CHARITY	GENERAL FUND	177,000.
EPILEPSY FOUNDATION OF SAN DIEGO		PUBLIC CHARITY	GENERAL FUND	1,000.
FOOD OUTREACH		PUBLIC CHARITY	GENERAL FUND	5,000.
Total	SEE CONTINUATION SHEET(S)			1,418,630.
b Approved for future payment				
NONE				
Total				0.

Part XVI-A · Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
f _____					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	111,603.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			14	948,744.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a _____					
b _____					
c _____					
d _____					
e _____					
12 Subtotal. Add columns (b), (d), and (e)		0.		1,060,347.	0.
13 Total. Add line 12, columns (b), (d), and (e)				1,060,347.	0.

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

13

THE JOHN AND ALISON FERRING
FAMILY FOUNDATION

43-1944616

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
VOICES FOR CHILDREN		PUBLIC CHARITY	GENERAL FUND	47,500.
AMERICAN RED CROSS		PUBLIC CHARITY	GENERAL FUND	1,500.
CONTEMPORARY ART MUSEUM ST. LOUIS		PUBLIC CHARITY	GENERAL FUND	65,000.
FRIENDS OF TEAM		PUBLIC CHARITY	GENERAL FUND	1,000.
READY READERS		PUBLIC CHARITY	GENERAL FUND	1,000.
LAUMEIER SCULPTURE PARK		PUBLIC CHARITY	GENERAL FUND	29,180.
THE SHELDON ART GALLERIES		PUBLIC CHARITY	GENERAL FUND	12,000.
CRAFT ALLIANCE		PUBLIC CHARITY	GENERAL FUND	52,500.
SOS		PUBLIC CHARITY	GENERAL FUND	1,200.
JEWISH COMMUNITY CENTER		PUBLIC CHARITY	GENERAL FUND	1,000.
Total from continuation sheets				1,084,630.

THE JOHN AND ALISON FERRING
FAMILY FOUNDATION

43-1944616

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MID AMERICA ARTS ALLIANCE		PUBLIC CHARITY	GENERAL FUND	5,000.
FINE ARTS WORK CENTER		PUBLIC CHARITY	GENERAL FUND	24,100.
NATIONAL CONFERENCE FOR COMMUNITY AND JUSTICE OF METROPOLITAN ST. LOUIS		PUBLIC CHARITY	GENERAL FUND	1,000.
PPA		PUBLIC CHARITY	GENERAL FUND	2,500.
ST. LOUIS PUBLIC LIBRARY		PUBLIC CHARITY	GENERAL FUND	259,650.
BROWN UNIVERSITY		PUBLIC CHARITY	GENERAL FUND	10,000.
PLANNED PARENTHOOD		PUBLIC CHARITY	GENERAL FUND	2,500.
PAAM		PUBLIC CHARITY	GENERAL FUND	1,000.
NEIGHBORHOOD HOUSES		PUBLIC CHARITY	GENERAL FUND	2,500.
THE BID MUDDY DANCE COMPANY		PUBLIC CHARITY	GENERAL FUND	5,000.
Total from continuation sheets				

**THE JOHN AND ALISON FERRING
FAMILY FOUNDATION**

43-1944616

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. LOUIS CHILDREN'S HOSPITAL		PUBLIC CHARITY	GENERAL FUND	1,500.
GATEWAY TO HOPE		PUBLIC CHARITY	GENERAL FUND	500.
EPWORTH		PUBLIC CHARITY	GENERAL FUND	500.
CYSTIC FIBROSIS FOUNDATION		PUBLIC CHARITY	GENERAL FUND	1,000.
WORLD CHEM		PUBLIC CHARITY	GENERAL FUND	5,000.
TEACH FOR AMERICA		PUBLIC CHARITY	GENERAL FUND	25,000.
MATTHEWS-DICKEY BOYS' & GIRLS' CLUB		PUBLIC CHARITY	GENERAL FUND	5,000.
THE REPERTORY THEATER OF ST. LOUIS		PUBLIC CHARITY	GENERAL FUND	3,000.
LIFT FOR LIFE ACADEMY		PUBLIC CHARITY	GENERAL FUND	3,000.
ST. LOUIS PUBLIC RADIO		PUBLIC CHARITY	GENERAL FUND	10,000.
Total from continuation sheets				

THE JOHN AND ALISON FERRING
FAMILY FOUNDATION

43-1944616

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ST. LOUIS ART MUSEUM		PUBLIC CHARITY	GENERAL FUND	125,000.
FOREST PARK FOREVER		PUBLIC CHARITY	GENERAL FUND	225,000.
COLLEGE BOUND		PUBLIC CHARITY	GENERAL FUND	100,000.
SHAKESPEARE FESTIVAL ST. LOUIS		PUBLIC CHARITY	GENERAL FUND	20,000.
COCA		PUBLIC CHARITY	GENERAL FUND	25,000.
PLACES FOR PEOPLE		PUBLIC CHARITY	GENERAL FUND	10,000.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SCHWAB INSTITUTIONAL	111,603.	0.	111,603.	111,603.	
SCHWAB INSTITUTIONAL	2,919.	2,919.	0.	0.	
TO PART I, LINE 4	114,522.	2,919.	111,603.	111,603.	

FORM 990-PF TAXES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	3,694.	3,694.		0.
2012 EXTENSION PAYMENT	2,250.	0.		0.
2013 ESTIMATED TAX PAYMENTS	2,400.	0.		0.
TO FORM 990-PF, PG 1, LN 18	8,344.	3,694.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT FEE	46,299.	46,299.		0.
ADR FEES	403.	403.		0.
TO FORM 990-PF, PG 1, LN 23	46,702.	46,702.		0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	4
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SCHWAB INSTITUTIONAL	2,006,417.	3,703,795.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,006,417.	3,703,795.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	5
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
STIEVEN FINANCIAL OFFSHORE INVESTORS LTD PARTNERSHIP	COST	1,000,000.	1,818,427.
TOTAL TO FORM 990-PF, PART II, LINE 13		1,000,000.	1,818,427.

FORM 990-PF	OTHER ASSETS	STATEMENT	6
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
LIFE INSURANCE	431,200.	431,200.	887,008.
ACCRUED INVESTMENT INCOME			
RECEIVABLE	2,666.	2,557.	2,557.
ART	0.	16,500.	16,500.
TO FORM 990-PF, PART II, LINE 15	433,866.	450,257.	906,065.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	7
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NAME OF MANAGER

JOHN H. FERRING IV
ALISON N. FERRING

Nichols Asset Management LLC
REALIZED GAINS AND LOSSES
Charitable Foundation, Ferring
From 12-31-12 Through 12-31-13

Open Date	Close Date	Quantity	Security	Gain Or Loss		
				Cost Basis	Proceeds	Short Term Long Term
6/29/2009	2/4/2013	1318	I Shares Barclays 1-3 Credit Bond Fund	\$136,115.82	\$139,097.56	\$2,981.74
11/29/2005	3/18/2013	100	Costco	\$4,192.00	\$10,136.08	\$5,944.08
11/29/2005	3/18/2013	200	Costco	\$8,347.80	\$20,272.17	\$11,924.37
11/29/2005	3/18/2013	200	Costco	\$8,347.80	\$20,272.17	\$11,924.37
11/29/2005	3/18/2013	100	CVS Corporation	\$2,441.00	\$5,335.28	\$2,894.28
11/29/2005	3/18/2013	100	CVS Corporation	\$2,441.00	\$5,334.58	\$2,893.58
11/29/2005	3/18/2013	201	CVS Corporation	\$3,530.92	\$10,722.52	\$7,191.60
11/29/2005	3/18/2013	1100	CVS Corporation	\$19,323.46	\$58,680.43	\$39,356.97
3/27/2009	3/18/2013	8400	Eaton Vance Global Macro Insit Share	\$82,407.27	\$83,110.05	\$702.78
11/29/2005	3/18/2013	3000	E M C Corp	\$25,080.00	\$75,478.36	\$50,398.36
11/29/2005	3/18/2013	300	GILEAD Sciences Inc	\$2,444.96	\$13,399.51	\$10,954.55
11/29/2005	3/18/2013	82	GILEAD Sciences Inc	\$668.29	\$3,662.61	\$2,994.32
11/29/2005	3/18/2013	200	GILEAD Sciences Inc	\$1,629.97	\$8,933.21	\$7,303.23
11/29/2005	3/18/2013	200	GILEAD Sciences Inc.	\$1,629.97	\$8,933.21	\$7,303.23
11/29/2005	3/18/2013	18	GILEAD Sciences Inc.	\$146.70	\$803.81	\$657.11
11/29/2005	3/18/2013	82	GILEAD Sciences Inc	\$666.34	\$3,661.79	\$2,995.45
11/29/2005	3/18/2013	100	GILEAD Sciences Inc	\$812.61	\$4,465.50	\$3,652.89
11/29/2005	3/18/2013	100	GILEAD Sciences Inc.	\$812.61	\$4,465.60	\$3,652.99
11/29/2005	3/18/2013	100	GILEAD Sciences Inc.	\$812.61	\$4,464.50	\$3,651.89
11/29/2005	3/18/2013	18	GILEAD Sciences Inc	\$146.27	\$803.61	\$657.34
11/29/2005	3/18/2013	600	GILEAD Sciences Inc.	\$4,875.67	\$26,787.62	\$21,911.94
11/11/2011	3/18/2013	600	Gazprom Neft OAO 1 adr = 10 ordinary	\$4,692.32	\$13,425.01	\$8,732.69
11/11/2011	3/18/2013	600	Gazprom Neft OAO 1 adr = 10 ordinary	\$4,692.32	\$13,425.01	\$8,732.69
11/11/2011	3/18/2013	800	Gazprom Neft OAO 1 adr = 10 ordinary	\$6,256.43	\$17,900.03	\$11,643.60
9/30/2008	3/18/2013	300	Intl Business Machines Corp	\$34,083.70	\$64,179.19	\$30,095.49
9/30/2008	3/18/2013	50	Intl Business Machines Corp	\$5,680.62	\$10,696.53	\$5,015.91
11/11/2011	3/18/2013	750	Coca-Cola Femsa SAB de CV	\$29,883.66	\$120,562.63	\$90,678.97
11/29/2005	3/18/2013	250	Nestle ADR	\$6,445.00	\$18,094.86	\$11,649.86
11/29/2005	3/18/2013	250	Nestle ADR	\$6,426.00	\$18,094.86	\$11,668.86
11/29/2005	3/18/2013	200	Nestle ADR	\$5,140.80	\$14,477.89	\$9,337.09
11/29/2005	3/18/2013	300	Nestle ADR	\$7,711.20	\$21,716.82	\$14,005.62
9/30/2008	3/18/2013	1860	Oracle Systems	\$37,166.23	\$67,323.40	\$30,157.17
11/11/2011	3/18/2013	1142	Statoilhydro ASA ADR	\$6,980.43	\$27,791.28	\$20,810.85
11/29/2005	3/18/2013	200	Exxon Mobil	\$6,037.75	\$17,793.25	\$11,755.50
3/16/2010	5/10/2013	200	Chubb Corp	\$10,049.33	\$17,761.45	\$7,712.12
11/29/2005	5/10/2013	400	Quest Diagnostics	\$17,996.00	\$23,830.92	\$5,834.92
11/29/2005	5/10/2013	2500	E M C Corp	\$20,900.00	\$58,144.75	\$37,244.75
1/26/2009	5/10/2013	870	General Electric	\$10,824.40	\$19,697.85	\$8,873.45
11/29/2005	5/10/2013	1000	GILEAD Sciences Inc.	\$8,126.12	\$52,502.87	\$44,376.74
11/29/2005	5/10/2013	340	Johnson & Johnson	\$15,051.80	\$29,050.88	\$13,999.08
11/11/2011	5/10/2013	400	Coca-Cola Femsa SAB de CV	\$15,937.95	\$63,360.83	\$47,422.88
3/16/2010	5/10/2013	300	NIKE	\$10,579.30	\$19,336.12	\$8,756.82
11/29/2005	5/10/2013	250	Nestle ADR	\$6,426.00	\$17,423.16	\$10,997.16
11/29/2005	5/10/2013	600	Northern Trust	\$21,075.00	\$32,637.70	\$11,562.70
10/15/2008	5/13/2013	1600	Barclay's High Yield	\$55,446.71	\$66,424.76	\$10,978.05
1/12/2009	5/13/2013	540	I Shares S&P Preferred Index	\$16,478.57	\$22,104.63	\$5,626.06
11/3/2011	5/13/2013	716	ProsharesUltrashort	\$51,450.69	\$17,097.34	-\$34,353.35
3/27/2009	7/31/2013	15801.05	Eaton Vance Global Macro Insit Share	\$155,014.46	\$151,640.14	-\$3,374.32
3/16/2010	12/4/2013	200	BASF AG - ADR	\$12,132.93	\$20,573.89	\$8,440.96
3/16/2010	12/4/2013	150	Chubb Corp	\$7,536.99	\$14,263.75	\$6,726.76
3/16/2010	12/4/2013	200	Chevron	\$14,791.99	\$24,495.02	\$9,703.03
11/29/2005	12/4/2013	200	E M C Corp	\$1,672.00	\$4,754.37	\$3,082.37
11/29/2005	12/4/2013	650	E M C Corp	\$5,434.00	\$15,449.74	\$10,015.74
11/29/2005	12/4/2013	2400	E M C Corp	\$20,064.00	\$57,045.20	\$36,981.20
11/29/2005	12/4/2013	100	GILEAD Sciences Inc.	\$812.61	\$7,259.88	\$6,447.27
11/29/2005	12/4/2013	500	GILEAD Sciences Inc.	\$4,063.06	\$36,298.91	\$32,235.85
11/11/2011	12/4/2013	1000	Gazprom Neft OAO 1 adr = 10 ordinary	\$7,820.54	\$22,492.63	\$14,672.09
11/11/2011	12/4/2013	1000	Gazprom Neft OAO 1 adr = 10 ordinary	\$7,820.54	\$22,485.14	\$14,664.60
9/30/2008	12/4/2013	1300	Intel Corp	\$23,714.20	\$30,857.71	\$7,143.51
11/29/2005	12/4/2013	100	Johnson & Johnson	\$4,427.00	\$9,408.80	\$4,981.80
11/29/2005	12/4/2013	100	Johnson & Johnson	\$4,427.00	\$9,408.70	\$4,981.70

11/29/2005	12/4/2013	100 Johnson & Johnson	\$4,427.00	\$9,408.70	\$4,981.70
11/29/2005	12/4/2013	100 Johnson & Johnson	\$4,427.00	\$9,408.71	\$4,981.71
10/15/2008	12/4/2013	1000 Barclay's High Yield	\$34,654.20	\$40,422.35	\$5,768.15
11/11/2011	12/4/2013	150 Coca-Cola Femsa SAB de CV	\$5,976.73	\$17,566.54	\$11,589.81
11/11/2011	12/4/2013	25 Mastercard Inc.	\$4,031.22	\$18,746.50	\$14,715.28
3/16/2010	12/4/2013	100 Marsh & McLennan	\$2,432.32	\$4,743.87	\$2,311.55
3/16/2010	12/4/2013	100 Marsh & McLennan	\$2,432.32	\$4,743.87	\$2,311.55
3/16/2010	12/4/2013	175 Marsh & McLennan	\$4,256.56	\$8,301.77	\$4,045.21
3/16/2010	12/4/2013	200 Marsh & McLennan	\$4,864.65	\$9,487.70	\$4,623.05
3/16/2010	12/4/2013	100 Marsh & McLennan	\$2,432.32	\$4,744.07	\$2,311.75
3/16/2010	12/4/2013	100 Marsh & McLennan	\$2,432.32	\$4,743.07	\$2,310.75
3/16/2010	12/4/2013	130 3 M Corporation	\$10,545.08	\$16,561.34	\$6,016.26
11/4/2009	12/4/2013	125 Novartis	\$6,543.08	\$9,743.13	\$3,200.05
9/30/2008	12/4/2013	500 Oracle Systems	\$9,990.92	\$17,517.25	\$7,526.33
11/11/2011	12/4/2013	500 Occidental Petroleum	\$24,794.17	\$47,259.73	\$22,465.55
1/12/2009	12/4/2013	1200 1 Shares S&P Preferred Index	\$36,619.05	\$45,316.66	\$8,697.61
11/11/2011	12/4/2013	100 Statoilhydro ASA ADR	\$611.25	\$2,255.61	\$1,644.36
11/11/2011	12/4/2013	200 Statoilhydro ASA ADR	\$1,222.49	\$4,509.22	\$3,286.73
11/11/2011	12/4/2013	100 Statoilhydro ASA ADR	\$611.25	\$2,254.61	\$1,643.36
11/11/2011	12/4/2013	200 Statoilhydro ASA ADR	\$1,222.49	\$4,509.22	\$3,286.73
11/11/2011	12/4/2013	500 Statoilhydro ASA ADR	\$3,056.23	\$11,272.56	\$8,216.33
11/11/2011	12/4/2013	50 Statoilhydro ASA ADR	\$305.62	\$1,127.26	\$821.64
11/11/2011	12/4/2013	850 Statoilhydro ASA ADR	\$5,195.59	\$19,164.23	\$13,968.64
3/16/2010	12/4/2013	85 United Technologies	\$6,142.06	\$9,278.08	\$3,136.02

TOTAL GAINS
TOTAL LOSSES

\$0.00 \$983,553.09
\$0.00 -\$37,727.67

\$1,177,368.70 \$2,123,194.12 \$0.00 \$945,825.42



Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions. THE JOHN AND ALISON FERRING FAMILY FOUNDATION	Employer identification number (EIN) or 43-1944616
File by the due date for filing your return See instructions	Number, street, and room or suite no. If a P.O. box, see instructions. 105 BOLTE LANE, WAGNER INDUSTRIAL PARK	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. ST. CLAIR, MO 63077	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

JOHN H. FERRING, IV

- The books are in the care of ► **105 BOLTE LANE - ST. CLAIR, MO 63077**

Telephone No. ► **(636) 629-3400**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☒ calendar year **2013** or
► ☐ tax year beginning , and ending

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$ 13,996.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$ 3,996.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 10,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.