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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052




2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation HOFHEIMER CHARITABLE TRUST		A Employer identification number 43-1935768	
Number and street (or P O box number if mail is not delivered to street address) ONE WARD PARKWAY		B Telephone number (see instructions) (816) 751-4281	
City or town, state or province, country, and ZIP or foreign postal code KANSAS CITY, MO 64112		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 3,600,454		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	49,695	49,695		
	4 Dividends and interest from securities.	46,448	46,448		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	110,795			
	b Gross sales price for all assets on line 6a 698,968				
	7 Capital gain net income (from Part IV, line 2)				
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	771			
	12 Total. Add lines 1 through 11	207,709	96,143		
	13 Compensation of officers, directors, trustees, etc	27,670	27,670		
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	910			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	4,398			
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	32,978	27,670		0
	25 Contributions, gifts, grants paid	156,000			156,000
	26 Total expenses and disbursements. Add lines 24 and 25	188,978	27,670		156,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	18,731			
	b Net investment income (if negative, enter -0-)		68,473		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	257,724	211,580	211,580
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	490,261	 308,353	333,616
	b	Investments—corporate stock (attach schedule)	1,393,510	 1,538,845	2,220,009
	c	Investments—corporate bonds (attach schedule).	706,955	 808,403	835,249
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,848,450	2,867,181	3,600,454
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	3,096,578	3,096,578	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	-248,128	-229,397	
	30	Total net assets or fund balances (see page 17 of the instructions)	2,848,450	2,867,181	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,848,450	2,867,181	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	2,848,450
2	Enter amount from Part I, line 27a	2	18,731
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	2,867,181
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,867,181

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012			
2011			
2010			
2009			
2008			
2 Total of line 1, column (d).			2
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.			4
5 Multiply line 4 by line 3.			5
6 Enter 1% of net investment income (1% of Part I, line 27b).			6
7 Add lines 5 and 6.			7
8 Enter qualifying distributions from Part XII, line 4.			8

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,369
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3 Add lines 1 and 2.	3	1,369
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	1,369
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,136
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.	7	2,136
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	767
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 343 Refunded	11	424

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MO _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of COUNTRY CLUB TRUST Telephone no (816) 751-4285 Located at 9400 MISSION ROAD PRAIRIE VILLAGE KS ZIP +4 66206			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 2012, 20, 20, 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20, 20, 20, 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
COUNTRY CLUB TRUST COMPANY	TRUSTEE	27,670	0	0
PO BOX 410889	2 00			
KANSAS CITY, MO 64141				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,270,741
b	Average of monthly cash balances.	1b	140,778
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	3,411,519
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	3,411,519
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	51,173
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,360,346
6	Minimum investment return. Enter 5% of line 5.	6	168,017

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	168,017
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	1,369
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,369
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	166,648
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	166,648
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	166,648

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	156,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	156,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	156,000
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				166,648
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			156,448	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 156,000				
a Applied to 2012, but not more than line 2a			156,000	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			448	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				166,648
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon.					
a	"Assets" alternative test—enter					
	(1) Value of all assets.					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization.					
	(4) Gross investment income.					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

COUNTRY CLUB TRUST COMPANY
PO BOX 410889
KANSAS CITY, MO 64141
(816) 279-6000

b

The form in which applications should be submitted and information and materials they should include

NO SPECIFIC FORM

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

CONTRIBUTION BASED ON SOLICITATION AND CHARITABLE NEEDS TO CHARITABLE ORGANIZATIONS THAT OPERATE FOR THE BENEFIT OF THE RESIDENTS OF BUCHANAN COUNTY, MISSOURI, OR FOR ANY COUNTY ADJACENT THERETO

Form 990-PF (2013)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment


Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	156,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated


Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED WAY 118 S 5TH STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	23,500
TEMPLE ADATH JOSEPH 118 S 5TH STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
OPEN DOOR FOOD KITCHEN 510 EDMOND STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
INTERSERVE 200 CHEROKEE STREET ST JOSEPH,MO 64504	NONE	501(C)(3)	CHARITABLE PURPOSES	5,000
BARTLETT CENTER 409 S 18TH STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	1,000
CLARENCE J CARPENTER FD 925 FELIX ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	1,000
2ND HARVEST 915 DOUGLAS ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
ALBRECHT KEMPER MUSEUM 2818 FREDERICK AVE ST JOSEPH,MO 64506	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
MO WESTERN FOUNDATION 4525 DOWNS DRIVE ST JOSEPH,MO 64507	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
RED CROSS MIDLAND EMPIRE 401 N 12TH STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
ALLIED ARTS COUNCIL 118 S 8TH STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
ST JOSEPH SYMPHONY 120 S 8TH STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
EMPOWER 802 N RIVERSIDE STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	5,000
NOYES HOME 801 N NOYES BLVD ST JOSEPH,MO 64506	NONE	501(C)(3)	CHARITABLE PURPOSES	8,000
TEMPLE ADATH JOSEPH CEMET 118 S 5TH STREET ST JOSEPH,MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	10,000
Total  3a				156,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
SUCCESS BY SIX 118 S 5TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	5,000
SALVATION ARMY 915 DOUGLAS ST JOSEPH, MO 64505	NONE	501(C)(3)	CHARITABLE PURPOSES	5,000
TRAILS WEST 118 S 8TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
COMMUNITY SERVICES 1203 N 6TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	6,000
BOY SCOUTS 1704 BUCKINGHAM STREET ST JOSEPH, MO 64506	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
YOUTH ALLIANCE 3308 S LEONARD RD ST JOSEPH, MO 64503	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
SOCIAL WELFARE BOARD 904 S 10TH STREET ST JOSEPH, MO 64503	NONE	501(C)(3)	CHARITABLE PURPOSES	3,000
THE CENTER 902 EDMOND ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	3,500
AFL-CIO COMM SERVICES 1203 N 6TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
FOOD FOR KIDS 1325 MITCHELL AVE APT 1 ST JOSEPH, MO 64503	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
EXPO CENTER 224 N 7TH STREET ST JOSEPH, MO 64501	NONE	501(C)(3)	CHARITABLE PURPOSES	2,500
Total  3a				156,000

TY 2013 Accounting Fees Schedule

Name: HOFHEIMER CHARITABLE TRUST

EIN: 43-1935768

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREP FEES	910			

TY 2013 Compensation Explanation

Name: HOFHEIMER CHARITABLE TRUST

EIN: 43-1935768

Person Name	Explanation
COUNTRY CLUB TRUST COMPANY	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: HOFHEIMER CHARITABLE TRUST
EIN: 43-1935768

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
AT&T INC COM	2010-02	PURCHASE	2013-04		745	509			236	
AFFILIATED MANAGERS GROUP COM	2011-11	PURCHASE	2013-04		5,316	3,194			2,122	
APACHE CORP COM	2012-08	PURCHASE	2013-04		1,471	1,731			-260	
ARCHER DANIELS MIDLAND CO COM	2009-01	PURCHASE	2013-04		1,020	826			194	
BANK NEW YORK MELLON CORP COM	2008-02	PURCHASE	2013-04		2,245	3,689			-1,444	
BARRICK GOLD CORP COM	2012-09	PURCHASE	2013-04		12,210	25,889			-13,679	
BARRICK GOLD CORP COM	2012-12	PURCHASE	2013-04		9,082	15,359			-6,277	
BOEING CO COM	2011-11	PURCHASE	2013-04		1,842	1,348			494	
BOEING CO COM	2012-07	PURCHASE	2013-11		15,882	8,951			6,931	
CVS CORP COM	2007-10	PURCHASE	2013-04		1,724	1,133			591	
CAPITAL ONE FINL CORP COM	2013-04	PURCHASE	2013-04		2,577	2,384			193	
CISCO SYS INC COM	2010-11	PURCHASE	2013-04		1,996	2,239			-243	
CONOCOPHILLIPS	2009-06	PURCHASE	2013-04		1,799	1,005			794	
DISNEY WALT CO COM	2006-12	PURCHASE	2013-04		2,205	1,192			1,013	
DISNEY WALT CO COM	2006-12	PURCHASE	2013-07		21,883	11,578			10,305	
EMC CORP MASS COM	2012-05	PURCHASE	2013-04		1,576	1,825			-249	
EXXON MOBIL CORP	2002-01	PURCHASE	2013-04		885	396			489	
FEDERAL HOME LN BKS CONS BDS 4 50%	2007-02	PURCHASE	2013-09		97,288	97,288				
GENERAL ELEC CO COM	2012-01	PURCHASE	2013-04		1,889	3,244			-1,355	
GILEAD SCIENCES INC COM	2011-08	PURCHASE	2013-04		48,076	17,898			30,178	
HASBRO INC COM	2011-10	PURCHASE	2013-04		2,132	1,506			626	
HASBRO INC COM	2011-10	PURCHASE	2013-08		12,657	9,369			3,288	
HASBRO INC COM	2009-09	PURCHASE	2013-08		41,134	26,126			15,008	
INTEL CORP COM	2002-01	PURCHASE	2013-04		2,256	3,226			-970	
INTERNATIONAL BUSINESS MACHS COM	2002-03	PURCHASE	2013-04		1,994	1,065			929	
JACOBS ENGR GROUP DEL	2009-08	PURCHASE	2013-04		1,821	1,544			277	
JOHNSON & JOHNSON COM	2011-06	PURCHASE	2013-04		7,704	5,940			1,764	
L-3 COMMUNICATIONS CORP	2009-08	PURCHASE	2013-04		809	702			107	
L-3 COMMUNICATIONS CORP	2009-08	PURCHASE	2013-11		29,887	20,710			9,177	
MICROSOFT CORP COM	2002-03	PURCHASE	2013-04		1,957	1,897			60	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
NEUBERGER BERMAN STRATEGIC INCOME FD	2013-04	PURCHASE	2013-09		45,492	47,674			-2,182	
NEXTERA ENERGY INC COM	2010-02	PURCHASE	2013-04		1,218	689			529	
NOVARTIS AG ADR	2011-08	PURCHASE	2013-04		2,213	1,732			481	
OMNICOM GROUP COM	2010-02	PURCHASE	2013-04		2,099	1,299			800	
ORACLE CORP COM	2011-08	PURCHASE	2013-04		1,451	1,202			249	
PPL CORP COM	2011-08	PURCHASE	2013-04		1,164	961			203	
PHILLIPS 66 COM	2009-06	PURCHASE	2013-04		3,415	1,095			2,320	
PROCTER & GAMBLE CO COM	2011-08	PURCHASE	2013-04		777	633			144	
PROCTER & GAMBLE CO COM	2011-08	PURCHASE	2013-07		12,130	9,813			2,317	
PROCTER & GAMBLE CO COM	2002-01	PURCHASE	2013-07		11,739	5,928			5,811	
PRUDENTIAL FINANCIAL INC COM	2013-01	PURCHASE	2013-04		1,207	1,155			52	
QUALCOMM INC COM	2010-02	PURCHASE	2013-04		1,238	768			470	
SANOFI SPONSORED ADR	2013-04	PURCHASE	2013-04		1,366	1,318			48	
SCHLUMBERGER LTD COM	2012-01	PURCHASE	2013-04		4,815	4,436			379	
SCHWAB CHARLES CORP NEW	2010-10	PURCHASE	2013-03		31,070	27,916			3,154	
TEVA PHARMACEUTICALINDS LTD ADR	2010-06	PURCHASE	2013-04		2,133	2,977			-844	
THERMO FISHER CORP COM	2008-06	PURCHASE	2013-04		6,068	4,357			1,711	
THERMO FISHER CORP COM	2008-06	PURCHASE	2013-11		14,648	8,423			6,225	
TIME WARNER CABLE INC COM	2009-04	PURCHASE	2013-04		1,883	648			1,235	
TIME WARNER CABLE INC COM	2009-04	PURCHASE	2013-11		36,887	8,747			28,140	
US TREASURY NOTE 1 875%	2004-03	PURCHASE	2013-07		82,360	85,115			-2,755	
UNITED TECHNOLOGIES CORP COM	2002-01	PURCHASE	2013-04		2,287	831			1,456	
UNITED TECHNOLOGIES CORP COM	2012-07	PURCHASE	2013-11		18,485	12,789			5,696	
WAL MART STORES INC COM	2010-02	PURCHASE	2013-04		1,569	1,083			486	
WISDOMTREE EMERGING MARKETS EQUITY I	2012-05	PURCHASE	2013-11		77,192	82,821			-5,629	

**TY 2013 Investments Corporate
Bonds Schedule****Name:** HOFHEIMER CHARITABLE TRUST**EIN:** 43-1935768

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AMGEN INC SR NT 4.85% DTD 11/18/04	101,374	103,717
CATERPILLAR FINL SVCS CORP 4.60% DTD	24,125	25,035
CISCO SYS INC SR NT 3.15% DTD 3/16/1	32,309	31,746
COCA COLA CO NT 1.65% DTD 3/14/12	29,585	29,763
CONOCOPHILLIPS 5.20% DTD 5/8/08	50,146	56,420
INTEL CORP SR NT 1.95% DTD 9/19/11	108,833	107,940
MICROSOFT CORP NT 4.20% DTD 5/18/09	30,454	33,067
MICROSOFT CORP SR NT 3.00% DTD 9/27/	31,628	30,577
ORACLE CORP SR NT 5.00% DTD 7/8/09	34,846	33,963
ORACLE CORP/OZARK HLDG 5.25% DTD 1/1	72,942	81,870
PEPSICO INC 5.00% DTD 5/28/08	49,313	56,086
RAYTHEON CO SR NT 3.125% DTS 10/20/2	101,448	100,114
SBC COMMUNICATIONS INC 5.10% DTD 11/	49,010	51,594
TOTAL CAPITAL GTD NT 3.125% DTD 10/2	31,998	31,307
UNITED TECHNOLOGIES CORP NT 6.125% D	30,534	29,510
WALMART STORES INC NT 4.125% DTD 1/2	29,858	32,540

TY 2013 Investments Corporate
Stock Schedule

Name: HOFHEIMER CHARITABLE TRUST
EIN: 43-1935768

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BARRICK GOLD CORP COM		
GILEAD SCIENCES INC COM		
HASBRO INC COM		
L-3 COMMUNICATIONS HLDGS INC COM		
PROCTER & GAMBLE CO COM		
SCHWAB CHARLES CORP NEW COM		
WISDOMTREE EMERGING MRKTS EQUITY		
AT&T INC COM	12,354	17,053
AFFILIATED MANAGERS GROUP COM	21,902	52,051
APACHE CORP COM	46,080	39,962
ARCHER DANIELS MIDLAND CO COM	23,543	37,107
BANK NEW YORK MELLON CORP COM	55,272	75,401
BOEING CO COM	31,366	61,421
CVS CAREMARK CORP COM	32,682	61,908
CAPITAL ONE FINL CORP COM	63,814	93,464
CISCO SYS INC COM	49,232	56,411
CONOCOPHILLIPS COM	23,491	60,335
DISNEY WALT CO COM	20,103	47,750
EMC CORP MASS COM	69,731	70,923
EXXON MOBIL CORP COM	13,058	33,396
GENERAL ELEC CO COM	37,380	62,227
GOLDMAN SACHS STRATEGIC INC FD	50,000	50,762
GOOGLE INC CL A	23,282	39,225
HONDA MTR LTD ADR	50,837	54,169
INTEL CORP COM	56,518	67,094
INTERNATIONAL BUSINESS MCHS CORP COM	24,504	43,141
ISHARES TR MSCI EAFE GROWTH INDEX FD	40,299	50,894
JACOBS ENGR GROUP INC COM	37,114	59,526
JOHNSON & JOHNSON COM	44,040	67,777
MICROSOFT CORP COM	49,379	61,352

Name of Stock	End of Year Book Value	End of Year Fair Market Value
NCR CORP NEW COM	49,950	45,300
NEUBERGER BERMAN STRATEGIC INC FD	52,493	50,408
NEXTERA ENERGY INC COM	11,845	32,108
NOVARTIS AG SPONSORED ADR	45,463	64,304
OMNICOM GROUP COM	40,278	72,511
ORACLE CORP COM	18,116	44,764
PPL CORP COM	27,049	29,639
PHILLIPS 66 COM	6,184	29,849
POWERSHARES EXC-TRADED FD TR	40,770	49,734
PRUDENTIAL FINANCIAL INC COM	34,072	54,410
QUALCOMM INC COM	18,806	36,383
SANOFI SPONSORED ADR	57,794	58,993
SCHLUMBERGER LTD COM	36,038	69,385
TEVA PHARMACEUTICAL INDS LTD ADR	64,526	56,914
THERMO FISHER CORP COM	28,354	57,679
TIME WARNER CABLE INC COM	8,747	36,585
UNITED TECHNOLOGIES CORP COM	19,784	54,055
VANGUARD FTSE EMERGING MRKTS ETF	76,340	75,081
WALMART STORES INC COM	26,255	38,558

TY 2013 Investments Government Obligations Schedule

Name: HOFHEIMER CHARITABLE TRUST

EIN: 43-1935768

**US Government Securities - End of
Year Book Value:**

308,353

**US Government Securities - End of
Year Fair Market Value:**

333,616

**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2013 Other Income Schedule

Name: HOFHEIMER CHARITABLE TRUST

EIN: 43-1935768

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
FEDERAL REFUND	771		

TY 2013 Taxes Schedule**Name:** HOFHEIMER CHARITABLE TRUST**EIN:** 43-1935768

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	1,411			
FEDERAL ESTIMATES	2,136			
FOREIGN TAX - QUAL DIV	340			
FOREIGN TAXES - NONQUALIFIED	120			
FEDERAL TAX PYMT - PRIOR YR	391			