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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013Department of the Treasury
Internal Revenue Service

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation ROSENHEIM FAMILY CHARIT. FOUNDATION C/O EDNA ROSENHEIM		A Employer identification number 43-1816982
Number and street (or P O box number if mail is not delivered to street address) 900 S. HANLEY ROAD	Room/suite 10A	B Telephone number (see instructions) 314-863-0369
City or town, state or province, country, and ZIP or foreign postal code ST. LOUIS MO 63105		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 1,153,499	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	4,082	4,082	4,082	
4	Dividends and interest from securities	27,845	26,939	27,845	
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10 Stmt 1	86,742			
b	Gross sales price for all assets on line 6a 503,307				
7	Capital gain net income (from Part IV, line 2)		2,613		
8	Net short-term capital gain			0	
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	118,669	33,634	31,927	
13	Compensation of officers, directors, trustees, etc	0			
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) Stmt 2	3,339	3,339		
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule) (see instructions) Stmt 3	473	473		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses (att sch) Stmt 4	5,647	5,647		
24	Total operating and administrative expenses. Add lines 13 through 23	9,459	9,459	0	0
25	Contributions, gifts, grants paid	59,500			59,500
26	Total expenses and disbursements. Add lines 24 and 25	68,959	9,459	0	59,500
27	Subtract line 26 from line 12				
a	Excess of revenue over expenses and disbursements	49,710			
b	Net investment income (if negative, enter -0-)		24,175		
c	Adjusted net income (if negative, enter -0-)			31,927	

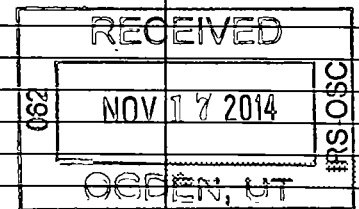
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SCANNED NOV 26 2014 Revenue

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing			
	2	Savings and temporary cash investments	22,329	15,640	15,640
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (att. schedule) ▶ Less allowance for doubtful accounts ▶	0		
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S and state government obligations (attach schedule)			
	b	Investments – corporate stock (attach schedule) See Stmt 5	698,423	709,482	905,135
	c	Investments – corporate bonds (attach schedule) See Stmt 6	189,840	235,180	232,724
	11	Investments – land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch.) ▶			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach sch.) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)	910,592	960,302	1,153,499
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ <input checked="" type="checkbox"/>			
	24	Unrestricted	910,592	960,302	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ <input type="checkbox"/>			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	910,592	960,302	
	31	Total liabilities and net assets/fund balances (see instructions)	910,592	960,302	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	910,592
2	Enter amount from Part I, line 27a	2	49,710
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	960,302
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	960,302

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P – Purchase D – Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Capital Gain Distribution				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,613			2,613
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			2,613
b			
c			
d			
e			

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	2,613
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	65,679	1,053,114	0.062366
2011	62,248	1,069,185	0.058220
2010	59,407	1,057,829	0.056159
2009	54,935	942,958	0.058258
2008	43,500	1,022,607	0.042538

2 Total of line 1, column (d)	2	0.277541
3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.055508
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,066,737
5 Multiply line 4 by line 3	5	59,212
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	242
7 Add lines 5 and 6	7	59,454
8 Enter qualifying distributions from Part XII, line 4	8	59,500

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	242
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	242
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	242
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	242
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b , attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MO		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation		
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

N/A

11/15 INT

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7 TOT

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► NONE	13	X	
14	The books are in care of ► EDNA ROSENHEIM 900 S HANLEY APT 10A Located at ► ST LOUIS	Telephone no ► 314-863-0369 ZIP+4 ► 63105		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	N/A	1c
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A** ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** ☒ **X**

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A** **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
EDNA U ROSENHEIM 900 S. HANLEY ST. LOUIS MO 63105	TRUSTEE 1.00	0	0	0
JEAN R LANGE 1403 31ST N.W. WASHINGTON DC 20007	ADV COMM MEM 1.00	0	0	0
JEFF L ROSENHEIM 505 WEST END AVE NEW YORK NY 10024	ADV COMM MEM 1.00	0	0	0
JOHN H ROSENHEIM 1102 WISTERIA WAY WAYLAND MA 01778	ADV COMM MEM 1.00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,049,336
b	Average of monthly cash balances	1b	33,646
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	1,082,982
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,082,982
4	Cash deemed held for charitable activities. Enter 1½% of line 3 (for greater amount, see instructions)	4	16,245
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,066,737
6	Minimum investment return. Enter 5% of line 5	6	53,337

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	53,337
2a	Tax on investment income for 2013 from Part VI, line 5	2a	242
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	242
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	53,095
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	53,095
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	53,095

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1a	59,500
b	Program-related investments – total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	59,500
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	242
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	59,258

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				53,095
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009		8,417		
c From 2010		7,202		
d From 2011		9,293		
e From 2012		13,665		
f Total of lines 3a through e		38,577		
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 59,500				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount				53,095
e Remaining amount distributed out of corpus	6,405			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	44,982			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	44,982			
10 Analysis of line 9				
a Excess from 2009		8,417		
b Excess from 2010		7,202		
c Excess from 2011		9,293		
d Excess from 2012		13,665		
e Excess from 2013		6,405		

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test – enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test – enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))
N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest
N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:
Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed
N/A

b The form in which applications should be submitted and information and materials they should include
N/A

c Any submission deadlines
N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors
N/A

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 7				59,500
Total			▶ 3a	59,500
b Approved for future payment N/A				
Total			▶ 3b	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1** Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
 - a** Transfers from the reporting foundation to a noncharitable exempt organization of
 - (1)** Cash
 - (2)** Other assets
 - b** Other transactions
 - (1)** Sales of assets to a noncharitable exempt organization
 - (2)** Purchases of assets from a noncharitable exempt organization
 - (3)** Rental of facilities, equipment, or other assets
 - (4)** Reimbursement arrangements
 - (5)** Loans or loan guarantees
 - (6)** Performance of services or membership or fundraising solicitations
 - c** Sharing of facilities, equipment, mailing lists, other assets, or paid employees
 - d** If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐ Yes ☒ No

- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Sign
Here**

Signature of officer or trustee

Date _____

TRUSTEE
Title

**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if self-employed

PEGGY ARNETT

Firm's name ► **MASSIE, FUDENBERG, GOLDBERG L.L.C.** *088*

PTIN P00952148

Firm's address ▶ 1015 LOCUST STREET, SUITE 425
ST. LOUIS, MO 63101

Firm's EIN ▶ 43-0669220

Phone no **314-231-5105**

6886 ROSENHEIM FAMILY CHARIT. FOUNDATION
 43-1816982
 FYE: 12/31/2013

Federal Statements

Statement 1 - Form 990-PF, Part I, Line 6a - Sale of Assets

Description		How Received		Cost	Expense	Depreciation	Net Gain / Loss
		Date Acquired	Date Sold				
Whom Sold							
SCHEDULE ATTACHED	Various	Various	Purchase	74,623	\$	\$	1,063
SCHEDULE ATTACHED	Various	Various	Purchase	124,689			19,897
SCHEDULE ATTACHED	Various	Various	Purchase	217,253			63,169
Total				416,565	\$	0 \$	84,129

Statement 2 - Form 990-PF, Part I, Line 16b - Accounting Fees

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Indirect Accounting Fees	\$ 3,339	\$ 3,339	\$	\$
Total	\$ 3,339	\$ 3,339	0	0

Statement 3 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES PAID	\$ 473	\$ 473	\$	\$
Total	\$ 473	\$ 473	0	0

Federal Statements**Statement 4 - Form 990-PF, Part I, Line 23 - Other Expenses**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Expenses	\$	\$	\$	\$
INVESTMENT AGENCY FEES	1,202	1,202		
INVESTMENT FEES	4,445	4,445		
Total	<u>5,647</u>	<u>5,647</u>	<u>0</u>	<u>0</u>

Statement 5 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
STOCKS	\$ 698,423	\$ 709,482	Cost	\$ 905,135
Total	<u>\$ 698,423</u>	<u>\$ 709,482</u>		<u>\$ 905,135</u>

Statement 6 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
CORPORATE BONDS	\$ 189,840	\$ 235,180	Cost	\$ 232,724
Total	<u>\$ 189,840</u>	<u>\$ 235,180</u>		<u>\$ 232,724</u>

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the Year

Name	Address	Relationship	Status	Purpose	Amount
ST LOUIS ART MUSEUM	ST LOUIS MO 63110-1380	ONE FINE ARTS DRIVE			2,500
JEWISH FEDERATION	ST LOUIS MO 63146-5776	43-1056957 SUPPORT MUSEUM			
		12 MILLSTONE CAMPUS DRIVE			1,000
		43-0652643 COMMUNITY SERVICES			
					4-7

6886 ROSENHEIM FAMILY CHARIT. FOUNDATION
43-1816982
FYE: 12/31/2013

Federal Statements

**Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)**

Name		Address		Relationship	Status	Purpose	Amount
UNITED WAY OF ST LOUIS	ST LOUIS MO 63101	910 NORTH 11TH STREET	43-0714167			SUPPORT VARIOUS CHARITIES	500
SCHOLARSHIP FOUNDATION	ST LOUIS MO 63117	8215 CLAYTON ROAD	43-2595403			PROVIDE SCHOLARSHIPS NEEDY STUDENTS	6,000
BARNES-JEWISH HOSPITAL FD	ST LOUIS MO 63110-1337	1001 HIGHLANDS PLAZA DR	43-1648435			MEDICAL RESEARCH	1,500
MISSOURI BOTANICAL GARDEN	ST LOUIS MO 63108	4344 SHAW	43-0666759			PLANT RESEARCH	1,500
KETC/CHANNEL 9	ST LOUIS MO 63108-3601	3655 OLIVE ST	501(c) (3)			PUBLIC TEVEVISION	4,000
COMBINED JEWISH PHILANTHR	BOSTON MA 02110-2700	126 HIGH STREET	04-2103559			SUPPORT JEWISH ORGANIZATIONS	2,000
ANTI-DEFAMATION LEAGUE	WASHINGTON DC 20090-6226	PO BOX 96226	13-1818723			SUPPORT RELATIONS	2,000
TRUSTEES OF TUFTS COLLEGE	MEDFORD MA 02155	80 GEORGE STREET	04-2103634			SUPPORT EDUCATION	1,250
THE FENN SCHOOL	CONCORD MA 01742	516 MONUMENT STREET	04-2104064			SUPPORT EDUCATION	1,750
MICDS	ST LOUIS MO 63124	101 NORTH WATSON ROAD	43-0653366			SUPPORT EDUCATION	50
HEBREW SENIOR LIFE	ROSLINDALE MA 02131	1200 CENTRE STREET	04-2104298			MEDICAL RESEARCH	2,000
UNITED WAY OF MASS BAY	BOSTON MA 02210	51 SLEEPER STREET	501(c) (3)			SUPPORT VARIOUS CHARITIES	50
CONNECTICUT COLLEGE	NEW LONDON CT 06320-4196	270 MOHEGAN AVENUE	501(c) (3)			SUPPORT EDUCATION	50
ST LOUIS SYMPHONY ORCH	ST LOUIS MO 63103	718 NORTH GRAND BLVD	501(c) (3)			SUPPORT MUSIC	4,000
ALZHEIMER'S ASSOCIATION	WATERTOWN MA 02472	311 ARSENAL STREET	13-3090601			SUPPORT RESEARCH	750
ROSENHEIM CANCER FUND	WAYLAND MA 01778	16 CHARENA ROAD	43-1648435			SUPPORT RESEARCH	100
WETA	WASHINGTON DC 20001	2775 SOUTH QUINCY STREET	501(c) (3)			SUPPORT MENTAL RESEARCH	2,000

6886 ROSENHEIM FAMILY CHARIT. FOUNDATION
 43-1816982
 FYE: 12/31/2013

Federal Statements

Statement 7 - Form 990-PF, Part XV, Line 3a - Grants and Contributions Paid During the
Year (continued)

Name	Address	Relationship	Status	Purpose	Amount
SO OTHERS MIGHT EAT	WASHINGTON DC 20001	71 O STREET,NW	23-7098123	FEEDING THE POOR	2,000
PHILLIPS COLLECTION	WASHINGTON DC 20009	1600 21ST STREET, NW	53-0204620	SUPPORT ART GALLERY	2,000
MARTHA'S TABLE	WASHINGTON DC 20009	2114 14TH STREET,NW	52-1186071	SUPPORT EDUCATION	2,000
ARENA THEATER	WASHINGTON DC 20024	1101 6TH STREET, NW	53-0246844	PUBLIC THEATRE	1,500
THE DALTON SCHOOL	NEW YORK NY 10128	108 EAST 89TH STREET	13-2751872	SUPPORT EDUCATION	4,000
THE RIVERSIDE PARK FUND	NEW YORK NY 10115	475 RIVERSIDE DR, STE 455	13-3443825	ENVIRONMENT CONSERVATION	500
NATURE CONSERVANCY OF NY	NEW YORK NY 10001	322 8TH AVE, 16TH FLOOR	53-0242652	ENVIRONMENTAL CONSERVATION	3,000
CENTRAL PARK CONSERVANCY	NEW YORK NY 10021	14 EAST 60TH STREET	13-3022855	ENVIRONMENTAL CONSERVATION	1,000
AMERICAN MUSEUM OF NATL H	NEW YORK NY 10024-5192	CENTRAL PARK WEST & 78TH	13-6162659	SUPPORT HISTORY	500
METROPOLITAN MUSEUM O ART	NEW YORK NY 10028-0198	1000 5TH AVENUE	501(c) (3)	SUPPORT ART GALLERY	9,000
WASHINGTON UNIVERSITY	ST. LOUIS MO 63130-4899	1 BROOKINGS DRIVE	501(c) (3)	SUPPORT EDUCATION	1,000
Total					<u>59,500</u>

2013 Tax Information Statement

Payer's Name and Address:
 U.S. BANK, N.A.
 P.O. BOX 1118, ML CN-OH-W10X
 CINCINNATI, OH 45201-1118

Account Number: 19-RP7953
Recipient's Tax ID Number: XX-XXX6982
Payer's Federal ID Number: 31-1811281
Payer's State ID Number: MO
State: MO
Questions? (513)632-3035

☐ Corrected ☐ 2nd TIN notice

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip		Description (Box 8)		Stock or Other Symbol (Box 1d)						
Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld		
(Box 1e)	(Box 1a)	(Box 1b)	(Box 2a)	(Box 3)	(Box 5)		(Box 4)	(Box 15)		
Short Term Sales Reported on 1099-B										
09247F100	BLACKROCK INCOME TR		BKT							
4000.0000	08/09/2013	08/27/2012	25,808.75	30,674.00	0.00	-4,865.25	0.00	0.00		
29250X103	ENBRIDGE ENERGY MGMT L L C		EEQ							
1.4716	09/24/2013	Various	41.91	39.98	0.00	1.93	0.00	0.00		
444859102	HUMANA INC COM RTS EXP 02/14/2006		HUM							
150.0000	09/13/2013	02/04/2013	14,734.99	11,820.81	0.00	2,914.18	0.00	0.00		
49455U100	KINDER MORGAN MANAGEMENT LLC COM		KMR							
1.2347	09/09/2013	Various	93.58	68.35	0.00	25.23	0.00	0.00		
855244109	STARBUCKS CORP COM		SBUX							
125.0000	10/08/2013	02/04/2013	9,444.62	7,040.75	0.00	2,403.87	0.00	0.00		
87279M201	T ROWE PRICE GL REAL EST FD		TRGRX							
1441.7530	06/27/2013	08/27/2012	25,562.28	24,979.05	0.00	583.23	0.00	0.00		
Total Short Term Sales Reported on 1099-B			75,686.13	74,622.94	0.00	1,063.19	0.00	0.00		

Report on Form 8949, Part I, with Box A checked

Long Term Sales Reported on 1099-B

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.



2013 Tax Information Statement

Page 10 of 38

Payer's Name and Address:

U.S. BANK, N.A.
P.O. BOX 1118, ML CN-OH-W10X
CINCINNATI, OH 45201-1118

Account Number:

19-RP7953
XX-XXX6982
31-1811281

Recipient's Tax ID Number:

MO
(513)632-3035

Payer's Federal ID Number:

MO
(513)632-3035

Payer's State ID Number:

MO
(513)632-3035

Questions?

☐ Corrected ☐ 2nd TIN notice

Recipient's Name and Address:

ROSENHEIM FAMILY CHARIT. FOUNDATION
C/O ROBERT ROSENHEIM
900 S HANLEY RD APT 10A
SAINT LOUIS, MO 63105-2668

Ref: 26

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
(Box 1e) 053015103	(Box 1a) AUTOMATIC DATA PROCESSING INC COM	(Box 1b) ADP	14,416.53	10,230.40	0.00	4,186.13	0.00	0.00
200.0000	08/07/2013 10/17/2011	ECL	5,480.43	3,544.88	0.00	1,935.55	0.00	0.00
278865100	ECOLAB INC COM	EEQ	32,599.60	28,956.22	0.00	3,643.38	0.00	0.00
75.0000	02/04/2013 08/12/2011	KMR	8.57	5.04	0.00	3.53	0.00	0.00
29250X103	ENBRIDGE ENERGY MGMT L L C	LOW	6,972.21	4,289.98	0.00	2,682.23	0.00	0.00
1144.5284	09/24/2013 10/17/2011	MKC	14,032.13	9,579.56	0.00	4,452.57	0.00	0.00
49455U100	KINDER MORGAN MANAGEMENT LLC COM	MSFT	12,953.73	9,506.25	0.00	3,447.48	0.00	0.00
.1131	09/09/2013 08/12/2011	NEM	7,876.97	11,643.68	0.00	-3,766.71	0.00	0.00
548661107	LOWES COS INC COM W/RIGHTS ATTACHED EXPIRING 9/9/2008	O	11,833.59	9,038.74	0.00	2,794.85	0.00	0.00
150.0000	10/08/2013 06/18/2012							
579780206	MCCORMICK & CO INC COM							
200.0000	03/11/2013 08/31/2011							
594918104	MICROSOFT CORP COM							
375.0000	08/23/2013 12/01/2011							
651639106	NEWMONT MNG CORP COM NEW W/RIGHTS ATTACHED EXP 9/11/2010							
175.0000	01/07/2013 Various							
756109104	REALTY INCOME CORP							
300.0000	11/12/2013 10/11/2011							

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.

2013 Tax Information Statement

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Ref: 26

Payer's Name and Address:

U.S. BANK, N.A.
P.O. BOX 1118, ML CN-OH-W10X
CINCINNATI, OH 45201-1118

Account Number:

19-RP7953
XX-XXX6982
31-1811281

Payer's Federal ID Number:

MO
(513)632-3035

Payer's State ID Number:

MO
(513)632-3035

☐ Corrected

☐ 2nd TIN notice

Recipient's Name and Address:

ROSENHEIM FAMILY CHARIT. FOUNDATION
C/O ROBERT ROSENHEIM
900 S HANLEY RD APT 10A
SAINT LOUIS, MO 63105-2668

Reported to the IRS is Sales Price of stocks, bonds etc., less commissions and option premiums.
Covered (Box 6a is not checked)

Cusip	Description (Box 8)	Stock or Other Symbol (Box 1d)	Quantity Sold (Box 1e)	Date of Sale or Exchange (Box 1a)	Date of Acquisition (Box 1b)	Stocks, Bonds, etc. (Box 2a)	Cost or Other Basis (Box 3)	Wash Sale Loss Disallowed (Box 5)	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
G7498P309	RENAISSANCE HLDGS LTD 6.08% PFD	UNK	750.0000	06/27/2013	01/03/2012	18,750.00	18,845.48	0.00	-95.48	0.00	0.00
842587107	SOUTHERN CO COM	SO	225.0000	02/04/2013	08/31/2011	9,855.14	9,319.46	0.00	535.68	0.00	0.00
847560109	SPECTRA ENERGY CORP	SE	350.0000	02/04/2013	07/06/2011	9,807.55	9,729.86	0.00	77.69	0.00	0.00
Total Long Term Sales Reported on 1099-B						144,586.45	124,689.55	0.00	19,896.90	0.00	0.00

Report on Form 9949, Part II, with Box D checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. The taxpayer is ultimately responsible for the accuracy of their own tax return.



2013 Tax Information Statement

Page 12 of 38

Recipient's Name and Address:
ROSENHEIM FAMILY CHARIT. FOUNDATION
C/O ROBERT ROSENHEIM
900 S HANLEY RD APT 10A
SAINT LOUIS, MO 63105-2668

Account Number: 19-RP7953
Recipient's Tax ID Number: XX-XXX6982
Payer's Federal ID Number: 31-1811281
Payer's State ID Number: MO
State: (513)632-3035
Questions? ☐ 2nd TIN notice
☐ Corrected

Payer's Name and Address:
U.S. BANK, N.A.
P.O. BOX 1118, ML CN-OH-W10X
CINCINNATI, OH 45201-1118

2013 Form 1099-B: Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

Reported to the IRS is Sales Price of stocks, bonds, etc., less commissions and option premiums.
For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol (Box 1d)	Stocks Bonds, etc. (Box 2a)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Long Term Sales Reported on 1099-B										
002824100	ABBOTT LABS W/RIGHTS ATTACHED EXP 11/10/2009	02/04/2013	Various	ABT	5,030.14	3,514.88	0.00	1,515.26	0.00	0.00
00287Y109	ABBVIE INC	01/08/2013	Various	ABBV	5,084.89	3,811.58	0.00	1,273.31	0.00	0.00
071813109	BAXTER INTL INC COM W/RIGHTS ATTACHED EXP 3/23/2009	06/04/2013	07/27/2009	BAX	13,989.18	10,905.92	0.00	3,083.26	0.00	0.00
254687AW6	DISNEY WALT CO 4.500% 12/15/13	10/01/2013	01/07/2009	UNK	25,204.50	25,735.00	0.00	-530.50	0.00	0.00
25000.0000	EVEREST RE CAP TR II 6.2% PFD	05/24/2013	11/27/2006	UNK	50,000.00	47,880.00	0.00	2,120.00	0.00	0.00
34540TAJ1	FORD MOTOR CRED MTN 3.000% 7/20/13	07/20/2013	06/30/2011	UNK	25,000.00	25,000.00	0.00	0.00	0.00	0.00
25000.0000	GILEAD SCIENCES INC COM	08/28/2013	Various	GILD	30.98	0.00	0.00	30.98	0.00	0.00
375558103										
.0000										

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.



2013 Tax Information Statement

Page 13 of 38

Recipient's Name and Address:

Ref: 26

ROSENHEIM FAMILY CHARIT. FOUNDATION
C/O ROBERT ROSENHEIM
900 S HANLEY RD APT 10A
SAINT LOUIS, MO 63105-2668

Account Number: 19-RP7953

Recipient's Tax ID Number: XX-XXX6982

Payer's Federal ID Number: 31-1811281

Payer's State ID Number:

MO

State: (513)632-3035

Questions?

☐ Corrected

☐ 2nd TIN notice

Payer's Name and Address:

U.S. BANK, N.A.
P.O. BOX 1118, ML CN-OH-W10X
CINCINNATI, OH 45201-1118

For noncovered securities (Box 6a is checked) shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the IRS.

Cusip	Description (Box 8)	Date of Sale or Exchange	Date of Acquisition	Stocks or Other Symbol (Box 1d)	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 15)
Quantity Sold									
(Box 1e)									
38141GDB7	GOLDMAN SACHS GROUP 5.250% 4/01/13	04/01/2013	07/28/2009	UNK	25,000.00	0.00	-1,280.50	0.00	0.00
25000.0000									
478366107	JOHNSON CTLS INC COM W/RTS ATTACHED EXP	11/30/2004		JCI	10,197.32	0.00	1,344.72	0.00	0.00
350.0000									
49455U100	KINDER MORGAN MANAGEMENT LLC COM	06/03/2010		KMR	41,924.32	0.00	49,835.35	0.00	0.00
1210.6522									
674599105	OCCIDENTAL PETE CORP COM	09/09/2013	12/19/2007	OXY	6,757.39	0.00	4,967.86	0.00	0.00
125.0000									
871829107	SYSCO CORP COM RTS EXP 05/31/2006	10/08/2013	03/16/2009	SY	15,246.20	0.00	773.97	0.00	0.00
500.0000									
902124106	TYCO INTL LTD NEW COM	09/09/2013	04/28/2008		16,020.17	0.00	35.45	0.00	0.00
.0000									
	Various	06/24/2013			280,422.27	0.00	63,169.16	0.00	0.00
					217,253.11				
Total Long Term Sales Reported on 1099-B									

Report on Form 8949, Part II, with Box E checked

This is important tax information and is being furnished to the Internal Revenue Service (except as indicated). If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. For noncovered securities shown on this statement, cost basis information including wash sale disallowed loss is not being reported to the Internal Revenue Service. The taxpayer is ultimately responsible for the accuracy of their own tax return.