Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning , and ending

Name of foundation

ANIMAS FOUNDATION

A Employer identification number: 43-1617896

Number and street (or P O box number if mail is not delivered to street address) | Room/suite

14 DIAMOND A DRIVE

B Telephone number: 575-548-2262

City or town, state or province, country, and ZIP or foreign postal code

ANIMAS, NM 88020

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶$ 44,650,305.

J Accounting method: ☐ Cash ☐ Accrual [X] Other (specify) MODIFIED CASH *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

NOV 17 2014
ENVELOPE POSTMARK DATE

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received				
	2 Check ▶ [X] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	407,433.	407,405.	407,433.	STATEMENT 1
	5a Gross rents	8,973.	8,973.	8,973.	STATEMENT 2
	b Net rental income or (loss) 8,973.				
	6a Net gain or (loss) from sale of assets not on line 10	2,428,528.			STATEMENT 3
	b Gross sales price for all assets on line 6a 6,921,872.				
	7 Capital gain net income (from Part IV, line 2)		1,312,858.		
	8 Net short-term capital gain			57,581.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	383,581.	383,670.	383,581.	STATEMENT 4
	12 **Total**. Add lines 1 through 11	3,228,515.	2,112,906.	857,568.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	154,657.	0.	0.	154,657.
	14 Other employee salaries and wages	390,435.	0.	0.	390,435.
	15 Pension plans, employee benefits	135,126.	0.	0.	135,126.
	16a Legal fees STMT 5	113,316.	29,471.	29,846.	83,470.
	b Accounting fees STMT 6	124,315.	12,534.	13,399.	110,916.
	c Other professional fees STMT 7	347,910.	265,501.	268,205.	79,705.
	17 Interest	46,825.	0.	0.	46,825.
	18 Taxes STMT 8	55,844.	15,248.	15,248.	31,478.
	19 Depreciation and depletion	877,013.	235.	235.	
	20 Occupancy	125,578.	0.	0.	125,578.
	21 Travel, conferences, and meetings	4,713.	0.	0.	4,713.
	22 Printing and publications				
	23 Other expenses STMT 9	855,077.	77,562.	77,562.	772,457.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	3,230,809.	400,551.	404,495.	1,935,360.
	25 Contributions, gifts, grants paid	11,001.			11,001.
	26 **Total expenses and disbursements.** Add lines 24 and 25	3,241,810.	400,551.	404,495.	1,946,361.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<13,295.>			
	b **Net investment income** (if negative, enter -0-)		1,712,355.		
	c **Adjusted net income** (if negative, enter -0-)			453,073.	

SCANNED NOV 24 2014

RECEIVED
NOV 20 2014
OGDEN, UT
IRS-OSC

916-18

6 NE

Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets				
1	Cash - non-interest-bearing	200,166.	229,301.	229,301.
2	Savings and temporary cash investments	522,409.	232,567.	232,567.
3	Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges	95,403.	101,398.	101,398.
10a	Investments - U.S. and state government obligations			
b	Investments - corporate stock STMT 10	7,218,293.	7,813,283.	10,400,039.
c	Investments - corporate bonds			
11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other STMT 11	10,741,773.	9,911,121.	13,683,926.
14	Land, buildings, and equipment: basis ▶ 26,213,995.			
	Less accumulated depreciation ▶ 6,210,921.	20,300,029.	20,003,074.	20,003,074.
15	Other assets (describe ▶ OTHER RECEIVABLE)	2,700.	0.	0.
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	39,080,773.	38,290,744.	44,650,305.
Liabilities				
17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable STMT 12	2,131,240.	1,354,406.	STATEMENT 13
22	Other liabilities (describe ▶ IRA PAYABLE)	2,408.	2,508.	
23	**Total liabilities** (add lines 17 through 22)	2,133,648.	1,356,914.	
Net Assets or Fund Balances				
	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted	36,947,125.	36,933,830.	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg., and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances**	36,947,125.	36,933,830.	
31	**Total liabilities and net assets/fund balances**	39,080,773.	38,290,744.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	36,947,125.
2	Enter amount from Part I, line 27a	2	<13,295.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	36,933,830.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	36,933,830.

Part IV | Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	SEE ATTACHED STATEMENT 3			
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e	5,661,495.		4,644,278.	1,312,858.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				1,312,858.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 1,312,858.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** 57,581.

Part V | Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	3,781,848.	20,702,307.	.182678
2011	3,602,555.	20,545,770.	.175343
2010	2,690,795.	19,391,793.	.138759
2009	2,925,211.	17,271,943.	.169362
2008	5,648,880.	21,490,076.	.262860

2	**Total** of line 1, column (d)	2	.929002
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.185800
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	23,110,341.
5	Multiply line 4 by line 3	5	4,293,901.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	17,124.
7	Add lines 5 and 6	7	4,311,025.
8	Enter qualifying distributions from Part XII, line 4	8	2,630,281.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	34,247.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	34,247.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	34,247.
6 Credits/Payments:				
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	31,255.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c	22,000.		
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	53,255.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	66.
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	18,942.
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	18,942.	**Refunded** ▶	11	0.

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NM			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ANIMAS FOUNDATION Telephone no. ▶ 575-548-2262

Located at ▶ 14 DIAMOND A DRIVE, ANIMAS, NM ZIP+4 ▶ 88020

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X	
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ SEE STATEMENT 14			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A	☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 15		154,657.	12,719.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
CARMODY MACDONALD PC - 120 S CENTRAL AVE,STE 1800, ST LOUIS, MO 63105	LEGAL	107,307.
CORNERSTONE PARTNERS LLC - 675 PETER JEFFERSON PKWY, STE 160, CHARLOTTESVILLE, VA	INVESTMENT ADVISORY	105,568.
BOYD FRANZ & STEPHANS LLP - 999 EXECUTIVE PKWY, STE 301, ST LOUIS, MO 63141	ACCOUNTING	80,815.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 CONSERVATION/LAND MANAGEMENT - SEE ATTACHED STMT 17	308,715.
2 SCIENCE & EDUCATION - SEE ATTACHED STMT 17	80,617.
3 DOMESTIC GRAZING PROGRAM - SEE ATTACHED STMT 17	1,997,464.
4 CULTURAL/NEIGHBOR OUTREACH PROGRAM - SEE ATTACHED STMT 17	34,443.

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	22,912,553.
b	Average of monthly cash balances	1b	448,324.
c	Fair market value of all other assets	1c	101,398.
d	**Total** (add lines 1a, b, and c)	1d	23,462,275.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	23,462,275.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	351,934.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	23,110,341.
6	**Minimum investment return** Enter 5% of line 5	6	1,155,517.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ [X] and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5 2a		
b	Income tax for 2013. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,946,361.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	683,920.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,630,281.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	2,630,281.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

N/A

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years: ____ , ____ , ____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶ 03/17/94

b Check box to indicate whether the foundation is a private operating foundation described in section [X] 4942(j)(3) or [ ] 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	453,073.	505,423.	179,724.	604,973.	1,743,193.
b 85% of line 2a	385,112.	429,610.	152,765.	514,227.	1,481,714.
c Qualifying distributions from Part XII, line 4 for each year listed	2,630,281.	3,793,130.	3,611,436.	2,690,795.	12,725,642.
d Amounts included in line 2c not used directly for active conduct of exempt activities	0.	0.	0.	0.	0.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	2,630,281.	3,793,130.	3,611,436.	2,690,795.	12,725,642.
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					0.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	770,345.	690,077.	684,859.	646,393.	2,791,674.
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
MALPAI BORDERLANDS GROUP P.O. DRAWER 3536 DOUGLAS, AZ 85608	NONE	PUBLIC CHARITY	GENERAL EXEMPT PURPOSE	10,000.
THE QUIVIRA COALITION 1413 SECOND ST. SUITE 1 SANTA FE, NM 87505	NONE	PUBLIC CHARITY	CONFERENCE SCHOLARSHIP	1,000.
THRU BLUESTEM PARTNERSHIP K-1 212 EAST MAIN ST, STE 201 CHARLOTTESVILLE, VA 22902	NONE	PUBLIC CHARITY	GENERAL EXEMPT PURPOSE	1.
Total			▶ 3a	11,001.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	407,433.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property			16	8,973.	
6 Net rental income or (loss) from personal property					
7 Other investment income			14	383,670.	
8 Gain or (loss) from sales of assets other than inventory	523000	17,336.	18	1,312,858.	1,098,334.
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a UBI FROM BLUESTEM	523000	<89.>			
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		17,247.		2,112,934.	1,098,334.

13 **Total** Add line 12, columns (b), (d), and (e) 13 3,228,515.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
8	THE DOMESTIC GRAZING PROGRAM ALLOWS THE FOUNDATION TO PERFORM ITS RESEARCH ON THE EFFECTS OF HERBIVORE ON NATIVE FLORA AND FAUNA BY PROVIDING THE ANIMAL UNITS NECESSARY TO CONDUCT ITS RESEARCH. DURING THE YEAR EXCESS CATTLE USED IN THE PROGRAM AND OWNED BY THE FOUNDATION WERE SOLD. THE FOUNDATION ALSO REALIZED GAINS ON THE DISPOSITION OF CERTAIN ASSETS USED IN ITS EXEMPT PURPOSE.

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 11.12.14 Title: MANAGING TRUSTEE

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JOHN P NANOS	[signature]	11/14/14		P00561083

Firm's name ▶ BOYD, FRANZ & STEPHANS LLP — Firm's EIN ▶ 43-0614115

Firm's address ▶ 999 EXECUTIVE PARKWAY STE 301 ST. LOUIS, MO 63141 — Phone no. (314)576-7400

323622
10-10-13

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THRU MELLON 8470 - STMT 18	P		09/17/13
b	THRU MELLON 8470 - STMT 18	P		09/17/13
c	THRU MELLON 8460 - STMT 19	P		
d	THRU MELLON 8460 - STMT 19	P		
e	THRU MELLON P000 - STMT 20	P		
f	THRU MELLON P000 - STMT 20	P		
g	THRU MELLON P000 WASH SALE - STMT 20	P		
h	CEDAR ROCK CAPITAL PARTNERS LLC K-1	P		
i	SANDERSON INTERNATIONAL VALUE FUND K-1	P		
j	SANDERSON INTERNATIONAL VALUE FUND K-1	P		
k	1607 CAPITAL INTERNATIONAL EQUITY FUND K-1	P		
l	1607 CAPITAL INTERNATIONAL EQUITY FUND K-1	P		
m	BLUESTEM PARTNERS LP K-1	P		
n	BLUESTEM PARTNERS LP K-1	P		
o	SCS OPPORTUNITIES	P	02/01/12	07/01/13

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	124,750.		109,004.	15,746.
b	1,468,571.		1,277,975.	190,596.
c	779,542.		720,164.	59,378.
d	1,393,539.		947,962.	445,577.
e	2,523.		2,383.	140.
f	128,053.		86,300.	41,753.
g	490.		490.	0.
h				<16,327.>
i				7,887.
j				94,640.
k				<2,014.>
l				13,720.
m				<23,556.>
n				221,291.
o	1,618,796.		1,500,000.	118,796.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				** 15,746.
b				190,596.
c				** 59,378.
d				445,577.
e				** 140.
f				41,753.
g				0.
h				<16,327.>
i				** 7,887.
j				94,640.
k				** <2,014.>
l				13,720.
m				** <23,556.>
n				221,291.
o				118,796.

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	

** (SHORT-TERM)

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CAPITAL GAINS DIVIDENDS			
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	145,231.			145,231.
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				145,231.
b				
c				
d				
e				
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 }	2	1,312,858.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	3	57,581.

323591
05-01-13

** (SHORT-TERM)

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
DIV THRU 1607 CAPITAL INT'L EQUITY FUND K-1	51,235.	0.	51,235.	51,235.	51,235.
DIV THRU BLUESTEM PARTNERS, L.P. K-1	22,128.	0.	22,128.	22,128.	22,128.
DIV THRU CEDAR ROCK CAPITAL PARTNERS, LLC K-1	137,458.	0.	137,458.	137,458.	137,458.
DIV THRU MELLON 8460	31,493.	0.	31,493.	31,493.	31,493.
DIV THRU MELLON 8470	198,301.	145,231.	53,070.	53,070.	53,070.
DIV THRU MELLON P000	14,581.	0.	14,581.	14,581.	14,581.
DIV THRU SANDERSON INTL VALUE FUND K-1	80,716.	0.	80,716.	80,716.	80,716.
INT - US TREASURY	120.	0.	120.	120.	120.
INT SANDERSON ASSET MGMT	1.	0.	1.	1.	1.
INT THRU 1607 CAPITAL INT'L EQUITY FUND K-1	215.	0.	215.	215.	215.
INT THRU BLUESTEM PARTNERS, L.P.	16,388.	0.	16,388.	16,388.	16,388.
TAX EXEMPT INTEREST THRU BLUESTEM PARTNERS	28.	0.	28.	0.	28.
TO PART I, LINE 4	552,664.	145,231.	407,433.	407,405.	407,433.

FORM 990-PF RENTAL INCOME STATEMENT 2

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
LAND - COMMUNICATIONS TOWER SITE, ANIMAS,NM	1	8,973.
TOTAL TO FORM 990-PF, PART I, LINE 5A		8,973.

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 3

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
THRU MELLON 8470 - STMT 18	PURCHASED		09/17/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
124,750.	109,004.	0.	0.	15,746.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
THRU MELLON 8470 - STMT 18	PURCHASED		09/17/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,468,571.	1,277,975.	0.	0.	190,596.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
THRU MELLON 8460 - STMT 19	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
779,542.	720,164.	0.	0.	59,378.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
THRU MELLON 8460 - STMT 19	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,393,539.	947,962.	0.	0.	445,577.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
THRU MELLON P000 - STMT 20	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,523.	2,383.	0.	0.	140.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
THRU MELLON P000 - STMT 20	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
128,053.	86,300.	0.	0.	41,753.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
THRU MELLON P000 WASH SALE - STMT 20	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
490.	490.	0.	0.	0.

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CEDAR ROCK CAPITAL PARTNERS LLC K-1				PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	<16,327.>

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SANDERSON INTERNATIONAL VALUE FUND K-1				PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	7,887.

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SANDERSON INTERNATIONAL VALUE FUND K-1				PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	94,640.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1607 CAPITAL INTERNATIONAL EQUITY FUND K-1	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	<2,014.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
1607 CAPITAL INTERNATIONAL EQUITY FUND K-1	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	13,720.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
BLUESTEM PARTNERS LP K-1	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	<23,556.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
BLUESTEM PARTNERS LP K-1	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	221,291.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SCS OPPORTUNITIES	PURCHASED	02/01/12	07/01/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,618,796.	1,500,000.	0.	0.	118,796.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
BLUESTEM PARTNERS K-1 UBI	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	2,876.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
BLUESTEM PARTNERS K-1 UBI	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	0.	0.	0.	14,460.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CATTLE (PURCHASED & RANCH RAISED)	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,258,857.	250,255.	57,946.	147,919.	1,098,575.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
HORSES	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,470.	54,613.	0.	52,852.	<291.>

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
TRUCK	PURCHASED		

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
50.	25,976.	0.	25,976.	50.

NET GAIN OR LOSS FROM SALE OF ASSETS	2,283,297.
CAPITAL GAINS DIVIDENDS FROM PART IV	145,231.
TOTAL TO FORM 990-PF, PART I, LINE 6A	2,428,528.

FORM 990-PF | OTHER INCOME | STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
THRU CEDAR ROCK CAPITAL PARTNERS, LLC K-1 - SECTION 988 LOSS	<1,473.>	<1,473.>	<1,473.>
THRU SANDERSON INTL VALUE FUND K-1	19,121.	19,121.	19,121.
THRU 1607 CAPITAL INT'L EQUITY FUND K-1	345,636.	345,636.	345,636.
CLASS ACTION SETTLEMENT - TRONOX	3,021.	3,021.	3,021.
THRU MELLON P000 - SECTION 988 LOSS	<3.>	<3.>	<3.>
THRU BLUESTEM PARTNERS, L.P. K-1	17,368.	17,368.	17,368.
UBI FROM BLUESTEM	<89.>	0.	<89.>
TOTAL TO FORM 990-PF, PART I, LINE 11	383,581.	383,670.	383,581.

FORM 990-PF | LEGAL FEES | STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CARMODY MACDONALD PC	107,307.	29,471.	29,846.	77,461.
OTHER LEGAL FEES	6,009.	0.	0.	6,009.
TO FM 990-PF, PG 1, LN 16A	113,316.	29,471.	29,846.	83,470.

FORM 990-PF | ACCOUNTING FEES | STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KPMG, LLP	35,000.	0.	0.	35,000.
BOYD, FRANZ & STEPHENS LLP	80,815.	12,534.	13,399.	67,416.
OTHER ACCOUNTING FEES	8,500.	0.	0.	8,500.
TO FORM 990-PF, PG 1, LN 16B	124,315.	12,534.	13,399.	110,916.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ARENAS VALLEY ANIMAL CLINIC - VETERINARY	39,572.	0.	0.	39,572.
MELLON BANK	13,099.	13,099.	13,099.	0.
SHAPIRO	24,154.	24,154.	24,154.	0.
CORNERSTONE PARTNERS LLC	105,568.	104,550.	105,568.	0.
CEDAR ROCK CAPITAL PARTNERS LLC	40,723.	40,723.	40,723.	0.
BLUESTEM PARTNERS LP	26,470.	24,784.	26,470.	0.
SANDERSON INTERNATIONAL VALUE FUND	26,513.	26,513.	26,513.	0.
1607 CAPITAL INTL EQUITY	17,209.	17,209.	17,209.	0.
OTHER CONSULTING FEES	40,133.	0.	0.	40,133.
PRAESIDIUM INVESTMENT MGMT CO LLC	14,469.	14,469.	14,469.	0.
TO FORM 990-PF, PG 1, LN 16C	347,910.	265,501.	268,205.	79,705.

FORM 990-PF TAXES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROPERTY & SCHOOL TAX	31,478.	0.	0.	31,478.
FOREIGN TAXES PAID - THRU CEDAR ROCK CAPITAL PARTNERS, LLC K-1	5,115.	5,115.	5,115.	0.
FOREIGN TAXES PAID - THRU BLUESTEM PARTNERS, L.P. K-1	1,102.	1,102.	1,102.	0.
FOREIGN TAXES PAID - THRU SANDERSON INTL VALUE K-1	5,849.	5,849.	5,849.	0.
FOREIGN TAXES PAID - THRU 1607 CAPITAL INT'L EQUITY FUND K-1	2,526.	2,526.	2,526.	0.
INVESTMENT INCOME TAX	9,118.	0.	0.	0.
FOREIGN TAXES PAID - THRU P000	656.	656.	656.	0.
TO FORM 990-PF, PG 1, LN 18	55,844.	15,248.	15,248.	31,478.

FORM 990-PF	OTHER EXPENSES			STATEMENT 9
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
SUPPLIES	232,088.	0.	0.	232,088.
REPAIRS & MAINTENANCE	370,048.	0.	0.	370,048.
INSURANCE COSTS	42,571.	0.	0.	42,571.
COMMUNICATIONS	15,932.	0.	0.	15,932.
WELLS & WATER EXPENSE	54,083.	0.	0.	54,083.
PAYROLL CHARGES	2,796.	0.	0.	2,796.
CATERING/GROCERIES	24,825.	0.	0.	24,825.
EXPENSES - THRU CEDAR ROCK CAPITAL PARTNERS, INC. K-1	761.	761.	761.	0.
EXPENSES - THRU BLUESTEM PARTNERS, L.P. K-1	76,801.	76,801.	76,801.	0.
MISCELLANEOUS	3,190.	0.	0.	3,190.
WORKER'S COMP INSURANCE	26,924.	0.	0.	26,924.
NON-DEDUCTIBLE EXP THRU BLUESTEM PARTNERS K-1	5.	0.	0.	0.
NON-DEDUCTIBLE EXP THRU CEDAR ROCK K-1	5,053.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 23	855,077.	77,562.	77,562.	772,457.

FORM 990-PF	CORPORATE STOCK	STATEMENT 10
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
FIDUCIARY MGMT LARGE CAP FUND	1,661,803.	2,876,217.
THRU SHAPIRO CAPITAL MANAGEMENT	2,010,351.	2,719,159.
THRU PRAESIDIUM	1,243,444.	1,540,513.
FEDERAL STREET ASIA/EMERGING MARKETS	2,897,685.	3,264,150.
NEUBERGER BERMAN EQUITY INCOME	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 10B	7,813,283.	10,400,039.

FORM 990-PF OTHER INVESTMENTS STATEMENT 11

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
1607 CAPITAL INTL EQUITY FUND LP	COST	2,573,638.	2,605,407.
BLUESTEM PARTNERS LP	COST	3,001,806.	3,559,232.
CEDAR ROCK CAPITAL PARTNERS LLC	COST	1,885,389.	4,374,952.
SANDERSON INTERNATIONAL VALUE FUND	COST	2,289,149.	2,983,196.
SETTLEMENT PROCEEDS AND INVESTMENT INCOME RECEIVABLE	COST	161,139.	161,139.
SCS OPPORTUNITIES FUND	COST	0.	0.
TOTAL TO FORM 990-PF, PART II, LINE 13		9,911,121.	13,683,926.

FORM 990-PF MORTGAGES PAYABLE STATEMENT 12

DESCRIPTION	BALANCE DUE
SELLING LANDOWNER	714,754.
TOTAL TO FORM 990-PF, PART II, LINE 21, COLUMN B	714,754.

FORM 990-PF — OTHER NOTES AND LOANS PAYABLE — STATEMENT 13

LENDER'S NAME	TERMS OF REPAYMENT	SECURITY PROVIDED BY BORROWER
BNY MELLON	INTEREST PAYABLE MONTHLY	INVESTMENT SECURITIES

DATE OF NOTE	MATURITY DATE	ORIGINAL LOAN AMOUNT	INTEREST RATE	PURPOSE OF LOAN
07/22/09		1,587,168.	2.00%	LINE OF CREDIT

RELATIONSHIP OF LENDER
NONE

DESCRIPTION OF CONSIDERATION	FMV OF CONSIDERATION	BALANCE DUE
	0.	639,652.

TOTAL TO FORM 990-PF, PART II, LINE 21, COLUMN B	639,652.

FORM 990-PF — NAME OF FOREIGN COUNTRY IN WHICH ORGANIZATION HAS FINANCIAL INTEREST — STATEMENT 14

NAME OF COUNTRY
CAYMAN ISLANDS
CANADA

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 15

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LEO H MACDONALD, SR - STMT 16 120 S. CENTRAL AVE., STE 18 ST LOUIS, MO 63105	EXEC TRUSTEE 0-2.00	0.	0.	0.
DRUM B HADLEY 16 DIAMOND A DRIVE ANIMAS, NM 88020	TRUSTEE 0-2.00	0.	0.	0.
SETH HADLEY 16 DIAMOND A DRIVE ANIMAS, NM 88020	EXEC & MANAGING TRUSTEE 40.00	154,657.	12,719.	0.
LEO H MACDONALD, JR - STMT 16 120 S. CENTRAL AVE., STE 18 ST LOUIS, MO 63105	TRUSTEE 0-2.00	0.	0.	0.
LIDA HADLEY 16 DIAMOND A DRIVE ANIMAS, NM 88020	TRUSTEE 0-2.00	0.	0.	0.
SARAH R HADLEY 16 DIAMOND A DRIVE ANIMAS, NM 88020	TRUSTEE 0-2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		154,657.	12,719.	0.

FOOTNOTES

Leo H. MacDonald, Sr. and Leo H. MacDonald, Jr. are attorneys employed by the law firm Carmody MacDonald, P.C. in St. Louis, MO. Their services to the Animas Foundation are charged to the organization based on their normal hourly billing rate to other clients of the law firm. The compensation thus billed is payable to the law firm which employs them rather than to them personally.

The Animas Foundation (the "Foundation")

Summary of Activities and Accomplishments for Calendar Year 2013

Diamond A Ranch, Central and Eastern Divisions (the "Ranch")

January 1, 2013 - December 31, 2013

Conservation and Land Management Program

The Foundation (through a third party independent contractor) continued to use management ignited prescribed fires and naturally ignited prescribed fires as land restoration tools. Said third party independent contractor also updated fire history maps and prepared local and regional preliminary plans for future management ignited prescribed fires.

The Foundation restored property that was previously acquired.

The Foundation continued the multi-year project to update and record all existing and new water rights on the Ranch.

The Foundation worked on its permanent water sources.

The Foundation conducted maintenance, renovation and restoration work on various historic buildings on the Ranch.

The Foundation engaged in the eradication of exotic plant species on the Ranch.

The Foundation updated its facilities map.

The Foundation rested parts of the Ranch during the nesting and fawning season.

The Foundation removed fencing that obstructed wildlife movement.

Science and Education Program

The Foundation and various third-party experts (needed due to the diversity and specificity of research conducted on the Ranch) conducted species inventories, monitoring and scientific research projects on the Ranch, including but not limited to those involving the following: the effects of fire and herbivore on Chihuahuan Desert grasslands, small mammals, Aplomado falcon, New Mexico's ridgenose rattlesnake, Chihuahuan semi-desert grasslands, white sided hare, range restoration study, repeat photography, historical fire occurrence in the Animas mountains, Chiricahua leopard frog, herbarium collection, and bird surveys.

The Foundation continued the long-term Global Positioning System (GPS) project of mapping the range, density, and extent of exotic plant species.

The Foundation continued to acquire published and unpublished reference materials (including reports from the third-parties who were allowed to conduct their scientific research projects on the Ranch).

Various data and conclusions from some of the scientific research projects conducted on the Ranch were presented to the public through numerous academic reports, as well as at the Malpai Borderlands Group Science Conference.

Domestic Grazing Program

The Foundation is engaged in scientific research to determine the effect of herbivore on native flora and fauna. The Foundation currently has monitoring plots in a variety of diverse ecological zones. This research requires a grazing event from domesticated livestock to document the effects of herbivore at the various ecological sites. In 2013, the Foundation used a cow/calf operation on the Ranch to achieve the necessary grazing event from domesticated livestock.

Culture/Neighbor Outreach Program

To heighten the importance and awareness of traditional ranching and conservation, the Foundation continued to support programs of State and Federal agencies, non-governmental organizations and other local, historical and cultural groups.

The Foundation assisted the Malpai Borderlands Group (another 501(c)(3) organization) in coordinating its 2013 Annual Science Conference and fulfilling its scientific endeavors.

The Foundation conveyed information regarding its programs through tours of the Ranch.

BNY MELLON
WEALTH MANAGEMENT

ANIMAS FDN-MUT FDS-CUST

2013 Tax Information

..8470

Income for 2013

This section reports your proceeds from sales during the year We are not required nor do we report your net gains and losses to the Internal Revenue Service An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost Please review these carefully before preparing your tax return.

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections Please note these gains or losses are not entitled to capital gains treatment Rather, under IRC Section 988, they are either ordinary income or loss

Short term transactions

This category includes sales of assets held 12 months or less

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FMI FDS INC	FMIHX	302933205	2932 3	10/31/12	09/17/13	$60,874 51	$49,262 61	$11 611 90
FMI FDS INC	FMIHX	302933205	581 05	12/28/12	09/17/13	$12 062 60	$9,813 94	$2,248 66
FMI FDS INC	FMIHX	302933205	69 42	12/28/12	09/17/13	$1,441 10	$1,172 45	$268 65
NEUBERGER BERMAN EQUITY INC F	NBHIX	641224498	669 74	06/21/13	09/17/13	$8,144 09	$7,916 37	$227 72
NEUBERGER BERMAN EQUITY INC F	NBHIX	641224498	2077 15	12/14/12	09/17/13	$25 258 19	$23,970 36	$1,287 83
NEUBERGER BERMAN EQUITY INC F	NBHIX	641224498	582 46	03/22/13	09/17/13	$7,082 75	$7,152 64	$ 69 89
NEUBERGER BERMAN EQUITY INC F	NBHIX	641224498	813 06	09/21/12	09/17/13	$9,886 85	$9,716 10	$170 75
Total short term gain/loss from sales.						$121,750 09	$109,004 47	$15,745 62

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FMI FDS INC	FMIHX	302933205	1284 91	10/29/09	09/17/13	$26,674 73	$17,371 98	$9 302 75

STMT 18

BNY MELLON
WEALTH MANAGEMENT

ANIMAS FDN-MUT FDS-CUST

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
FMI FDS INC	FMIHX	302933205	352 39	12/30/09	09/17/13	$7,315 60	$5,014 49	$2,301 11
FMI FDS INC	FMIHX	302933205	7977 2	04/26/10	09/17/13	$165,606 59	$122,449 96	$43 156 63
FMI FDS INC	FMIHX	302933205	1207 98	10/29/10	09/17/13	$25,077 73	$17,829 83	$7,247 90
NEUBERGER BERMAN EQUITY INC F	NBHIX	641224498	833 51	06/22/12	09/17/13	$10 135 53	$9,252 00	$883 53
FMI FDS INC	FMIHX	302933205	1281 8	12/29/11	09/17/13	$26 610 21	$19,624 39	$6,985 82
FMI FDS INC	FMIHX	302933205	1771	05/08/09	09/17/13	$36,765 98	$21,429 11	$15,336 87
NEUBERGER BERMAN EQUITY INC F	NBHIX	641224498	89047 2	06/15/12	09/17/13	$1,082,813 89	$1,000,000 00	$82,813 89
FMI FDS INC	FMIHX	302933205	4218 26	10/31/11	09/17/13	$87,570 97	$65,003 32	$22,567 65
Total long term gain/loss from sales:						$1 168 571 23	$1 277 9[illegible]5 08	$190,596 15

STMT 18

Income for 2013

This section reports your proceeds from sales during the year We are not required nor do we report your net gains and losses to the Internal Revenue Service An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital. A schedule of your net gains and losses is shown below Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost Please review these carefully before preparing your tax return

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections. Please note these gains or losses are not entitled to capital gains treatment Rather, under IRC Section 988 they are either ordinary income or loss

Short term transactions

This category includes sales of assets held 12 months or less

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
AXIALL CORP	AXLL	05463D100	300	11/07/12	02/01/13	$17,365 02	$12,106 74	$5 258 28
AXIALL CORP	AXLL	05463D100	200	10/18/12	02/01/13	$11,576 68	$7,885 24	$3 691 44
VERIFONE HLDGS INC	PAY	92342Y109	500	01/23/13	02/21/13	$9,380 04	$17,348 80	$ 7,968 76
VERIFONE HLDGS INC	PAY	92342Y109	500	01/22/13	02/21/13	$9,380 04	$16 799 35	$-7,419 31
VERIFONE HLDGS INC	PAY	92342Y109	500	01/18/13	02/21/13	$9 380 04	$16,055 70	$-6 675 66
VERIFONE HLDGS INC	PAY	92342Y109	400	01/04/13	02/21/13	$7,504 03	$12,259 84	$-4 755 81
VERIFONE HLDGS INC	PAY	92342Y109	500	02/20/13	02/21/13	$9,380 04	$16 007 70	$-6 627 66
AXIALL CORP	AXLL	05463D100	300	10/18/12	03/25/13	$18,656 19	$11,827 86	$6,828 33
AXIALL CORP	AXLL	05463D100	200	06/06/12	03/25/13	$12 437 46	$5 406 38	$7,031 08
ISHARES RUSSELL 2000 INDEX FD	IWM	464287655	800	02/11/13	04/08/13	$73,681 55	$72 306 80	$1,374 75
ISHARES RUSSELL 2000 INDEX FD	IWM	464287655	600	11/16/12	04/08/13	$55,261 16	$46,253 22	$9 007 94
TIDEWATER INC	TDW	886423102	500	05/22/12	04/12/13	$25 796 12	$23 074 65	$2,721 47
PHARMERICA CORP	PMC	71714F104	1300	10/05/12	05/02/13	$17,666 47	$16,808 22	$858 25
PHARMERICA CORP	PMC	71714F104	500	08/22/12	05/03/13	$6,861 55	$6,339 45	$522 10

STMT 19

ANIMAS FDN-SHAPIRO-CUST

Short term transactions

This category includes sales of assets held 12 months or less

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
PHARMERICA CORP	PMC	71714F104	2700	10/05/12	05/03/13	$37,052 35	$34,909 38	$2,142 97
PHARMERICA CORP	PMC	71714F104	100	08/14/12	05/03/13	$1,372 31	$1 263 45	$108 86
TIDEWATER INC	TDW	886423102	250	05/31/12	05/03/13	$13 590 65	$11,199 93	$2 390 72
PHARMERICA CORP	PMC	71714F104	400	08/14/12	05/09/13	$5 710 03	$5,053 80	$656 23
PHARMERICA CORP	PMC	71714F104	100	08/08/12	05/09/13	$1,427 51	$1 202 95	$224 56
BARNES & NOBLE INC COM	BKS	067774109	1500	04/11/13	05/10/13	$34 900 62	$26 625 45	$8 275 17
BARNES & NOBLE INC COM	BKS	067774109	400	04/11/13	05/13/13	$8,489 33	$7,100 12	$1,389 21
PHARMERICA CORP	PMC	71714F104	900	08/08/12	05/13/13	$12 821 74	$10 826 55	$1 995 19
BARNES & NOBLE INC COM	BKS	067774109	100	12/28/12	05/14/13	$2 056 10	$1 519 96	$536 14
BARNES & NOBLE INC COM	BKS	067774109	100	04/11/13	05/14/13	$2,056 10	$1,775 03	$281 07
BARNES & NOBLE INC COM	BKS	067774109	200	12/28/12	05/17/13	$3 995 65	$3 039 92	$955 73
TIDEWATER INC	TDW	886423102	100	05/31/12	05/22/13	$5,806.92	$4,479 97	$1,326 95
BARNES & NOBLE INC COM	BKS	067774109	800	11/29/12	06/13/13	$15 691 32	$11,555 44	$4,135 88
BARNES & NOBLE INC COM	BKS	067774109	100	12/28/12	06/13/13	$1 961 42	$1,519 96	$441 46
BARNES & NOBLE INC COM	BKS	067774109	500	11/29/12	06/18/13	$9,688 48	$7 222 15	$2,466 33
BARNES & NOBLE INC COM	BKS	067774109	500	01/31/13	06/19/13	$9,467 54	$6 652 75	$2,814 79
BARNES & NOBLE INC COM	BKS	067774109	200	11/29/12	06/19/13	$3,787 01	$2 888 86	$898 15
FEDERATED INVS INC PA	FII	314211103	500	05/08/13	07/15/13	$14,860 44	$11 799 20	$3,061 24
FEDERATED INVS INC PA	FII	314211103	400	04/29/13	07/15/13	$11 888 35	$9,157 96	$2,730 39
FEDERATED INVS INC PA	FII	314211103	100	05/02/13	07/16/13	$2,967 72	$2 253 71	$714 01
FEDERATED INVS INC PA	FII	314211103	100	04/29/13	07/16/13	$2,967 72	$2 289 49	$678 23
FEDERATED INVS INC PA	FII	314211103	300	05/02/13	07/17/13	$8,930 84	$6,761 13	$2,169 71
FEDERATED INVS INC PA	FII	314211103	100	05/02/13	07/24/13	$3 024 13	$2,253 71	$770 42
PERKINELMER INC	PKI	714046109	300	06/20/13	08/16/13	$10,991 42	$9,888 93	$1,102 49
PERKINELMER INC	PKI	714046109	400	05/14/13	08/16/13	$14,655 22	$12,991 04	$1 664 18
PERKINELMER INC	PKI	714046109	300	04/26/13	08/16/13	$10,991 42	$9 204 24	$1,787 18
GRAFTECH INTL LTD	GTI	384313102	100	01/03/13	09/16/13	$830 20	$1,012 40	$-182 20
GRAFTECH INTL LTD	GTI	384313102	1500	01/25/13	09/16/13	$12 452 93	$15 002 55	$ 2 549 62

STMT 19

Short term transactions

This category includes sales of assets held 12 months or less

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
GRAFTECH INTL LTD	GTI	384313102	2200	11/27/12	09/16/13	$18,264.30	$21,019 24	$-2,754 94
SAIC INC	SAI	78390X101	1100	04/24/13	09/16/13	$16,911 65	$15,893 02	$1,018 63
SAIC INC	SAI	78390X101	800	04/09/13	09/16/13	$12 299 39	$11,134 08	$1,165 31
WHITEWAVE FOODS CO- A	WWAV	966244105	1100	05/28/13	09/16/13	$21,331 82	$20,191 71	$1,140 11
WHITEWAVE FOODS CO- A	WWAV	966244105	500	05/29/13	09/16/13	$9,696 28	$9 060 75	$635 53
AXIALL CORP	AXLL	05463D100	100	06/21/13	09/16/13	$3,987 41	$4 301 80	$-314 39
AXIALL CORP	AXLL	05463D100	400	06/19/13	09/16/13	$15,949 64	$17,779 64	$-1 830 00
EXELIS INC	XLS	30162A108	500	05/07/13	09/16/13	$7,830 06	$5,762 35	$2,067 71
MALLINCKRODT PLC	MNK	G5785G107	500	09/10/13	11/20/13	$25,076 16	$23,111 60	$1,964 56
MALLINCKRODT PLC	MNK	G5785G107	600	07/01/13	11/21/13	$30,106 10	$27 024 90	$3,081 20
MALLINCKRODT PLC	MNK	G5785G107	200	08/13/13	11/21/13	$10,035 37	$8,971.48	$1,063 89
VERINT SYSTEMS INC	VRNT	92343X100	500	10/15/13	12/05/13	$19,524 01	$18,750 70	$773 31
SCIENCE APPLICATIONS INTERNATI	SAIC	808625107	171 43	04/09/13	12/13/13	$5,769 47	$5,198 32	$571 15
SCIENCE APPLICATIONS INTERNATI	SAIC	808625107	142 86	04/08/13	12/13/13	$4,807 89	$4 302 21	$505 68
SCIENCE APPLICATIONS INTERNATI	SAIC	808625107	142 86	04/01/13	12/13/13	$4,807 89	$4,272 11	$535 78
SCIENCE APPLICATIONS INTERNATI	SAIC	808625107	42 86	03/13/13	12/13/13	$1,442 36	$1,161 35	$281 01
SCIENCE APPLICATIONS INTERNATI	SAIC	808625107	142 86	04/03/13	12/13/13	$4,807 89	$4 250 82	$557 07
SCIENCE APPLICATIONS INTERNATI	SAIC	808625107	142 86	04/05/13	12/13/13	$4 807 89	$4 253 59	$554 30
SCIENCE APPLICATIONS INTERNATI	SAIC	808625107	214 29	06/13/13	12/13/13	$7,211.84	$6,432 18	$779.66
SCIENCE APPLICATIONS INTERNATI	SAIC	808625107	142 86	03/11/13	12/20/13	$4,365 92	$3,813.23	$552 69
SCIENCE APPLICATIONS INTERNATI	SAIC	808625107	57 14	03/13/13	12/20/13	$1,746 37	$1,548.46	$197 91
Total short term gain/loss from sales:						$779,541 57	$720,163 52	$59 378 05

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
KAR AUCTION SERVICES INC	KAR	48238T109	700	03/30/10	01/09/13	$14 473 58	$10 750 95	$3,722 63

STMT 19

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
RALCORP HLDGS INC NEW	RAH	751028101	500	09/28/11	01/11/13	$44,913 04	$32,628 77	$12,284 27
KAR AUCTION SERVICES INC	KAR	48238T109	500	02/16/10	01/11/13	$10,277 42	$7 227 85	$3,049 57
PERKINELMER INC	PKI	714046109	500	08/10/11	01/14/13	$17,137 26	$11,071 85	$6,065 41
KAR AUCTION SERVICES INC	KAR	48238T109	1000	02/16/10	01/28/13	$21,271 62	$14,455 70	$6,815 92
KAR AUCTION SERVICES INC	KAR	48238T109	500	02/09/10	02/19/13	$10,789 86	$7,198 70	$3,591 16
KAR AUCTION SERVICES INC	KAR	48238T109	2000	02/16/10	02/19/13	$43 159 43	$28 911 40	$14,248,03
PERKINELMER INC	PKI	714046109	500	08/08/11	02/27/13	$17,017 97	$10,899 85	$6 118 12
KAR AUCTION SERVICES INC	KAR	48238T109	1000	02/08/10	03/15/13	$20 323 24	$14 225 30	$6,097 94
HANESBRANDS INC	HBI	410345102	600	02/24/09	04/02/13	$28,021 83	$3,382 98	$24 638 85
HANESBRANDS INC	HBI	410345102	500	02/24/09	04/03/13	$22 348 74	$2,819 15	$19,529 59
HANESBRANDS INC	HBI	410345102	600	02/24/09	04/16/13	$27,849 15	$3,382 98	$24,466 17
CIRCOR INTL INC	CIR	17273K109	400	09/15/11	05/03/13	$19 397 61	$12 960 60	$6,437 01
LIVE NATION INC	LYV	538034109	200	04/06/06	05/07/13	$2,623.94	$3,845 16	$-1,221 22
CIRCOR INTL INC	CIR	17273K109	500	09/12/11	05/07/13	$23 618 47	$14,388 05	$9 230 42
LIVE NATION INC	LYV	538034109	399	04/06/06	05/10/13	$5,609 81	$7,671 09	$-2 061 28
LIVE NATION INC	LYV	538034109	201	03/10/06	05/10/13	$2 826 00	$3 626 04	$-800 04
LIVE NATION INC	LYV	538034109	600	03/10/06	05/14/13	$8,420 33	$10 824 00	$-2 403 67
WPX ENERGY INC-W/I	WPX	98212B103	1500	05/10/12	05/21/13	$30,420 96	$26,344 95	$4,076 01
WPX ENERGY INC W/I	WPX	98212B103	200	05/15/12	05/22/13	$4 055 86	$3,354 78	$701 08
LENDER PROCESSING SVCS INC	LPS	52602E102	500	02/15/12	05/23/13	$16,372 41	$10,362 90	$6,009 51
LENDER PROCESSING SVCS INC	LPS	52602E102	400	06/24/11	05/23/13	$13,097 93	$8,109 40	$4 988 53
LENDER PROCESSING SVCS INC	LPS	52602E102	1000	07/12/11	05/23/13	$32,744 83	$20,296 40	$12 448 43
LENDER PROCESSING SVCS INC	LPS	52602E102	100	06/24/11	05/24/13	$3,269 64	$2,027 35	$1,242 29
LENDER PROCESSING SVCS INC	LPS	52602E102	300	11/09/11	05/24/13	$9 808 93	$5,671 23	$4 137 70
TIDEWATER INC	TDW	886423102	150	05/31/12	06/18/13	$8,802 96	$6,719 95	$2,083 01
TIDEWATER INC	TDW	886423102	350	04/21/09	06/18/13	$20,540 23	$15 214 57	$5 325 66
TIDEWATER INC	TDW	886423102	450	04/21/09	06/21/13	$25,148 93	$19 561 59	$5,587 34
TIDEWATER INC	TDW	886423102	50	04/01/09	06/21/13	$2,794 13	$1,883 53	$910 80

STMT 19

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
LENDER PROCESSING SVCS INC	LPS	52602E102	600	09/01/11	07/15/13	$19,221 50	$10 453 56	$8 767.94
LENDER PROCESSING SVCS INC	LPS	52602E102	200	11/09/11	07/15/13	$6,407 17	$3,780 82	$2 626 35
VCA ANTECH INC	WOOF	918194101	600	03/11/11	07/22/13	$16,828 80	$15,075 72	$1,753 08
LIVE NATION INC	LYV	538034109	101	02/28/06	07/22/13	$1,656 78	$1,808 87	$-152 09
LIVE NATION INC	LYV	538034109	199	03/10/06	07/22/13	$3,264 36	$3 589 96	$-325 60
FEDERATED INVS INC PA	FII	314211103	75	03/12/12	07/24/13	$2,268.09	$1,549 16	$718 93
HANESBRANDS INC	HBI	410345102	634	02/24/09	07/24/13	$36,443 52	$3 574 68	$32,868 84
FEDERATED INVS INC PA	FII	314211103	25	04/19/12	07/24/13	$756 03	$518 11	$237 92
FEDERATED INVS INC PA	FII	314211103	500	03/12/12	07/25/13	$15,032 49	$10,327 75	$4 704 74
FEDERATED INVS INC PA	FII	314211103	425	03/12/12	07/30/13	$12,328 91	$8,778 59	$3 550 32
FEDERATED INVS INC PA	FII	314211103	75	03/09/12	07/30/13	$2,175 69	$1,531 74	$643 95
FEDERATED INVS INC PA	FII	314211103	200	03/09/12	07/31/13	$5,829 66	$4 084 64	$1,745 02
FEDERATED INVS INC PA	FII	314211103	150	03/09/12	08/01/13	$4 446 01	$3,063 48	$1 382 53
LIVE NATION INC	LYV	538034109	500	02/28/06	08/08/13	$9,385 41	$8,954 80	$430 61
LIVE NATION INC	LYV	538034109	399	02/28/06	08/12/13	$7,298 54	$7,145 93	$152 61
LIVE NATION INC	LYV	538034109	264	11/21/07	08/12/13	$4,829 11	$3 765 59	$1,063 52
LIVE NATION INC	LYV	538034109	337	11/28/07	08/12/13	$6,161 43	$4 140 48	$1 723 95
PENSKE AUTOMOTIVE GROUP INC	PAG	70959W103	150	12/02/10	08/14/13	$18,840 99	$7 112 97	$11 728 02
PENSKE AUTOMOTIVE GROUP INC	PAG	70959W103	550	11/29/10	08/14/13	$23,027 88	$8,414 29	$14,613 59
VCA ANTECH INC	WOOF	918194101	500	03/01/11	08/19/13	$13,983 75	$12,514 05	$1,469 70
VCA ANTECH INC	WOOF	918194101	600	03/11/11	08/19/13	$16 780 51	$15,075 72	$1 704 79
TIDEWATER INC	TDW	886423102	200	04/01/09	08/28/13	$10,994 09	$7,534 10	$3 459 99
LENDER PROCESSING SVCS INC	LPS	52602E102	500	08/09/11	08/30/13	$15,924 47	$8,228 65	$7 695 82
LENDER PROCESSING SVCS INC	LPS	52602E102	400	09/01/11	08/30/13	$12,739 58	$6,969 04	$5,770 54
TIDEWATER INC	TDW	886423102	250	04/01/09	09/03/13	$13,418 35	$9,417 62	$4 000 73
JOHN BEAN TECHNOLOGIES CORP	JBT	477839104	3200	04/14/09	09/11/13	$73 772 15	$33,037 44	$40,734 71
JOHN BEAN TECHNOLOGIES CORP	JBT	477839104	200	08/21/08	09/11/13	$4,610 76	$2,529 90	$2,080 86
LIVE NATION INC	LYV	538034109	137	07/16/10	09/16/13	$2 381 13	$1,274 99	$1,106 14

STMT 19

BNY MELLON
WEALTH MANAGEMENT

ANIMAS FDN-SHAPIRO-CUST

2013 Tax Information

8460

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
VCA ANTECH INC	WOOF	918194101	600	03/10/11	09/16/13	$17,150 88	$15 016 02	$2,134 86
EXELIS INC	XLS	30162A108	1500	03/06/12	09/16/13	$23 490 19	$16,867 50	$6 622 69
CALGON CARBON CORP COM	CCC	129603106	300	08/04/11	09/16/13	$5,531 48	$4,854 99	$676 49
BABCOCK & WILCOX COMPANY	BWC	05615F102	200	03/14/11	09/16/13	$6,777 78	$6,275 44	$502 34
BABCOCK & WILCOX COMPANY	BWC	05615F102	500	05/10/11	09/16/13	$16,944 46	$15,136 70	$1 807 76
BABCOCK & WILCOX COMPANY	BWC	05615F102	200	01/11/11	09/16/13	$6 777 78	$5,449 94	$1,327 84
CABOT MICROELECTRONICS CORP	CCMP	12709P103	118	11/21/06	09/16/13	$4,504 44	$3,789 44	$715 00
CABOT MICROELECTRONICS CORP	CCMP	12709P103	382	11/13/06	09/16/13	$14,582 18	$11,686 79	$2,895 39
CALGON CARBON CORP COM	CCC	129603106	500	04/19/11	09/16/13	$9,219 14	$8,461 00	$758 14
CALGON CARBON CORP COM	CCC	129603106	500	05/03/11	09/16/13	$9,219 14	$8,574 30	$644 84
CALGON CARBON CORP COM	CCC	129603106	500	05/04/11	09/16/13	$9,219 14	$8,932 30	$286 84
LIVE NATION INC	LYV	538034109	500	02/03/11	09/16/13	$8,690 25	$5,252 70	$3,437 55
LIVE NATION INC	LYV	538034109	500	07/15/10	09/16/13	$8,690 25	$4 996 30	$3,693 95
LIVE NATION INC	LYV	538034109	663	11/28/07	09/16/13	$11,523 27	$8 736 02	$2 787 25
EXELIS INC	XLS	30162A108	900	03/01/12	09/16/13	$14,094 11	$9 652 05	$4 442 06
FEDERATED INVS INC PA	FII	314211103	1000	02/23/12	10/02/13	$26,800 73	$20,192 60	$6,608 13
FEDERATED INVS INC PA	FII	314211103	75	03/09/12	10/02/13	$2 010 05	$1,531 74	$478 31
FEDERATED INVS INC PA	FII	314211103	25	05/24/12	10/02/13	$670 02	$487 71	$182 31
BARRETT BILL CORP	BBG	06846N104	200	12/28/11	10/02/13	$5,194 05	$6 846 00	$-1 651 95
BARRETT BILL CORP	BBG	06846N104	600	04/21/10	10/02/13	$15 582 14	$19,646 64	$-4 064 50
BARRETT BILL CORP	BBG	06846N104	100	04/21/10	10/04/13	$2 580 45	$3 274 44	$-693 99
BARRETT BILL CORP	BBG	06846N104	400	01/11/12	10/04/13	$10,321 82	$12,839 96	$-2,518 14
BARRETT BILL CORP	BBG	06846N104	100	01/11/12	10/10/13	$2,476.52	$3,209 99	$ 733 47
BARRETT BILL CORP	BBG	06846N104	900	04/15/10	10/10/13	$22,288 65	$28,773 99	$-6,485 34
LIVE NATION INC	LYV	538034109	500	07/16/10	10/22/13	$9,709 43	$4,653 25	$5 056 18
WPX ENERGY INC-W/I	WPX	98212B103	300	05/15/12	10/23/13	$6 631 11	$5,032 17	$1,598 94
WPX ENERGY INC-W/I	WPX	98212B103	500	05/16/12	10/23/13	$11,051 86	$8,312 00	$2,739 86
WPX ENERGY INC-W/I	WPX	98212B103	1000	05/23/12	10/23/13	$22 103 72	$16,122 70	$5 981 02

STMT 19

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
WPX ENERGY INC-W/I	WPX	98212B103	200	05/18/12	10/23/13	$4 420 74	$3 172 21	$1,248 50
BARRETT BILL CORP	BBG	06846N104	100	04/15/10	10/25/13	$2,808 01	$3 197 11	$-389 10
BARRETT BILL CORP	BBG	06846N104	400	05/20/10	10/25/13	$11,232 05	$12,690 12	$-1,458 07
CABOT MICROELECTRONICS CORP	CCMP	12709P103	118	11/13/06	10/31/13	$4 819 20	$3,610 06	$1 209 14
CABOT MICROELECTRONICS CORP	CCMP	12709P103	382	07/05/12	10/31/13	$15,601 14	$11 369 31	$4 231 83
ZEBRA TECHNOLOGIES CORP CL A	ZBRA	989207105	200	01/18/08	11/05/13	$10,606 64	$5,828 90	$4,777 71
ZEBRA TECHNOLOGIES CORP CL A	ZBRA	989207105	200	09/21/11	11/05/13	$10,606 64	$6,228 01	$4 378 60
ZEBRA TECHNOLOGIES CORP CL A	ZBRA	989207105	200	07/08/09	11/05/13	$10,606 64	$4,318 68	$6,257 96
BARRETT BILL CORP	BBG	06846N104	100	05/20/10	11/07/13	$2 799 97	$3 172 53	$ 372 56
BARRETT BILL CORP	BBG	06846N104	400	04/19/10	11/07/13	$11,199 89	$12 485 40	$-1 285 51
ZEBRA TECHNOLOGIES CORP CL A	ZBRA	989207105	300	07/08/09	11/08/13	$15 217 39	$6,523 02	$8,694 37
CABOT MICROELECTRONICS CORP	CCMP	12709P103	618	07/05/12	11/08/13	$26,546 84	$18,393 29	$8,153 55
CABOT MICROELECTRONICS CORP	CCMP	12709P103	200	10/26/12	11/08/13	$8 591 21	$5 689 28	$2 901 93
EXELIS INC	XLS	30162A108	500	02/29/12	11/26/13	$8,738 05	$5,288 75	$3,449 30
EXELIS INC	XLS	30162A108	100	02/27/12	11/26/13	$1,747 61	$1 055 97	$691 64
EXELIS INC	XLS	30162A108	100	03/01/12	11/26/13	$1,747 61	$1,072 45	$675 16
EXELIS INC	XLS	30162A108	900	02/27/12	12/03/13	$15,735.33	$9,503 73	$6,231 60
EXELIS INC	XLS	30162A108	100	02/16/12	12/03/13	$1,748 37	$1 027 99	$720 38
BARRETT BILL CORP	BBG	06846N104	100	04/19/10	12/03/13	$2,738 66	$3,121 35	$-382 69
BARRETT BILL CORP	BBG	06846N104	300	02/10/12	12/03/13	$8,215.99	$7 987.29	$228 70
BARRETT BILL CORP	BBG	06846N104	500	02/21/12	12/03/13	$13 693 31	$14 483 25	$-789 94
BARRETT BILL CORP	BBG	06846N104	100	05/02/12	12/03/13	$2,738 66	$2,365 66	$373 00
EXELIS INC	XLS	30162A108	400	02/16/12	12/05/13	$6,825 92	$4 111 96	$2,713 96
EXELIS INC	XLS	30162A108	100	02/14/12	12/05/13	$1,706 48	$1,024 21	$682 27
EXELIS INC	XLS	30162A108	400	02/14/12	12/26/13	$7,660.07	$4,096 84	$3,563 23
EXELIS INC	XLS	30162A108	500	02/13/12	12/26/13	$9,575.08	$5 113 15	$4,461 93
EXELIS INC	XLS	30162A108	200	02/10/12	12/26/13	$3,830 03	$2,026 61	$1 803 39
Total long term gain/loss from sales:						$1 393,539 07	$947 961 62	$445 577 45

STMT 19

Income for 2013

This section reports your proceeds from sales during the year We are not required nor do we report your net gains and losses to the Internal Revenue Service An asterisk symbol (*) next to the tax cost indicates that the tax cost has been adjusted for the current year's return of capital A schedule of your net gains and losses is shown below Please note that if you have sold an asset for which we do not have cost basis information, it is either shown separately in the section entitled Cost Not Available or it may appear with a zero tax cost Please review these carefully before preparing your tax return

Additionally, to provide you with complete information for your tax returns, we have shown IRC Section 988 translation gains and losses separately within the sales sections Please note these gains or losses are not entitled to capital gains treatment Rather, under IRC Section 988, they are either ordinary income or loss

Short term transactions

This category includes sales of assets held 12 months or less

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ACCENTURE PLC IRELAND SHS CL A	ACN	G1151C101	7	12/12/12	03/04/13	$522 67	$493 06	$29 61
ACCENTURE PLC IRELAND SHS CL A	ACN	G1151C101	25	01/18/13	03/04/13	$1,866 67	$1,744 73	$121 94
ASCENA RETAIL GROUP INC	ASNA	04351G101	7	11/06/12	03/12/13	$133 35	$145 69	$-12 34
Total short term gain/loss from sales·						$2,522 69	$2,383 48	$139 21

Short Term Transactions with Section 988 translation gain or loss

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss
BRITISH POUND STERLING	/GBP	EX9123459	770 66	05/20/13	05/21/13	$1,165 16	$1,169 09	$-3 93	$-3 93

STMT 20

Short Term Transactions with Section 988 translation gain or loss

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss
BRITISH POUND STERLING	/GBP	EX9123459	592 38	10/11/13	10/14/13	$946 50	$945 91	$0 59	$0 59
Total short term gain/loss from transactions with Section 988 translation gain/loss.						$2,111 66	$2,115 00		$-3 34
Total short term Section 988 translation gain/loss								$-3 34	

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
MTS SYS CORP	MTSC	553777103	25	04/01/11	01/02/13	$1,312 07	$1,137 40	$174 67
COMFORT SYS USA INC	FIX	199908104	224	04/01/11	01/02/13	$2,784 25	$3,159 47	$-375 22
MTS SYS CORP	MTSC	553777103	10	04/01/11	01/03/13	$525 36	$454 96	$70 40
MTS SYS CORP	MTSC	553777103	1	04/01/11	01/04/13	$52 90	$45 50	$7 40
OPLINK COMMUNICATIONS INC	OPLK	68375Q403	37	04/15/11	01/08/13	$580 55	$672 47	$-91 92
MTS SYS CORP	MTSC	553777103	25	04/01/11	01/08/13	$1,312 45	$1,137 40	$175 05
OPLINK COMMUNICATIONS INC	OPLK	68375Q403	19	04/15/11	01/09/13	$297 25	$345 32	$-48 07
MTS SYS CORP	MTSC	553777103	10	04/01/11	01/09/13	$530 81	$454 96	$75 85
OPLINK COMMUNICATIONS INC	OPLK	68375Q403	7	04/15/11	01/10/13	$111 35	$127 22	$-15 87
MTS SYS CORP	MTSC	553777103	12	04/01/11	01/11/13	$638 26	$545 95	$92 31
MTS SYS CORP	MTSC	553777103	8	04/01/11	01/14/13	$427 79	$363 97	$63 82
OPLINK COMMUNICATIONS INC	OPLK	68375Q403	9	04/12/11	01/14/13	$144 24	$159 26	$-15 02
MTS SYS CORP	MTSC	553777103	25	04/01/11	01/15/13	$1,344 73	$1,137 40	$207 33
OPLINK COMMUNICATIONS INC	OPLK	68375Q403	1	04/12/11	01/15/13	$15 90	$17 69	$-1 79
MTS SYS CORP	MTSC	553777103	12	04/01/11	01/16/13	$646 00	$545 95	$100 05
MTS SYS CORP	MTSC	553777103	60	04/01/11	01/17/13	$3,269 26	$2,729 76	$539 50
OPLINK COMMUNICATIONS INC	OPLK	68375Q403	7	04/12/11	01/17/13	$109 88	$123 87	$-13 99

STMT 20

BNY MELLON
WEALTH MANAGEMENT

ANIMAS FDN-PRAESIDIUM INV MGMT-CUST

2013 Tax Information

P000

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
MTS SYS CORP	MTSC	553777103	31	04/01/11	01/18/13	$1,695 28	$1,410 38	$284 90
OPLINK COMMUNICATIONS INC	OPLK	68375Q403	35	04/12/11	01/22/13	$544 76	$619 32	$-74 56
MTS SYS CORP	MTSC	553777103	23	04/01/11	01/22/13	$1,274 98	$1,046 41	$228 57
MTS SYS CORP	MTSC	553777103	41	04/01/11	01/23/13	$2,274 93	$1,865 34	$409 59
OPLINK COMMUNICATIONS INC	OPLK	68375Q403	6	04/12/11	01/23/13	$93 41	$106 17	$-12 76
ASPEN TECHNOLOGY INC COM	AZPN	045327103	45	04/01/11	01/24/13	$1,296 09	$676 90	$619 19
ASPEN TECHNOLOGY INC COM	AZPN	045327103	108	04/01/11	01/25/13	$3,128 87	$1,624 57	$1,504 30
ASPEN TECHNOLOGY INC COM	AZPN	045327103	19	04/01/11	01/31/13	$586 57	$285 80	$300 77
ASPEN TECHNOLOGY INC COM	AZPN	045327103	135	04/01/11	02/05/13	$4,080 93	$2,030 71	$2,050 22
ASPEN TECHNOLOGY INC COM	AZPN	045327103	40	04/01/11	02/08/13	$1,209 04	$601 69	$607 35
ASPEN TECHNOLOGY INC COM	AZPN	045327103	31	04/01/11	02/11/13	$936 85	$466 31	$470 54
ASPEN TECHNOLOGY INC COM	AZPN	045327103	10	04/01/11	02/13/13	$302 16	$150 42	$151 74
COMFORT SYS USA INC	FIX	199908104	26	04/01/11	03/04/13	$320 27	$366 72	$-46 45
ASPEN TECHNOLOGY INC COM	AZPN	045327103	26	04/01/11	03/07/13	$822 26	$391 10	$431 16
ASPEN TECHNOLOGY INC COM	AZPN	045327103	41	04/01/11	03/08/13	$1,319 33	$616 74	$702 59
KNOLL INC	KNL	498904200	2	04/04/11	03/12/13	$36 73	$43 05	$-6 32
KNOLL INC	KNL	498904200	14	04/04/11	03/13/13	$256 84	$301 35	$-44 51
ASPEN TECHNOLOGY INC COM	AZPN	045327103	26	04/01/11	03/13/13	$833 87	$391 10	$442 77
ASPEN TECHNOLOGY INC COM	AZPN	045327103	53	04/01/11	03/14/13	$1,717 57	$797 24	$920 33
ASPEN TECHNOLOGY INC COM	AZPN	045327103	8	04/01/11	03/19/13	$256 19	$120 34	$135 85
ASPEN TECHNOLOGY INC COM	AZPN	045327103	23	04/01/11	03/20/13	$736 96	$345 97	$390 99
ASPEN TECHNOLOGY INC COM	AZPN	045327103	12	04/01/11	03/21/13	$388 91	$180 51	$208 40
COMFORT SYS USA INC	FIX	199908104	40	04/01/11	03/25/13	$559 72	$564 19	$-4 47
ASPEN TECHNOLOGY INC COM	AZPN	045327103	6	04/01/11	03/25/13	$193 35	$90 25	$103 10
ASPEN TECHNOLOGY INC COM	AZPN	045327103	11	04/01/11	03/26/13	$354 92	$165 47	$189 45
ASPEN TECHNOLOGY INC COM	AZPN	045327103	20	04/01/11	03/28/13	$645 59	$300 85	$344 74
COMFORT SYS USA INC	FIX	199908104	17	04/01/11	04/01/13	$234 98	$239 78	$-4 80
ASPEN TECHNOLOGY INC COM	AZPN	045327103	12	04/01/11	04/04/13	$367 42	$180 51	$186 91

STMT 20

BNY MELLON
WEALTH MANAGEMENT

ANIMAS FDN-PRAESIDIUM INV MGMT-CUST

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ASPEN TECHNOLOGY INC COM	AZPN	045327103	32	04/01/11	04/10/13	$982 68	$481 35	$501 33
ASPEN TECHNOLOGY INC COM	AZPN	045327103	20	04/01/11	04/11/13	$617 64	$300 85	$316 79
ASPEN TECHNOLOGY INC COM	AZPN	045327103	7	04/01/11	04/22/13	$206 95	$105 30	$101 65
ASPEN TECHNOLOGY INC COM	AZPN	045327103	59	04/01/11	04/23/13	$1,761 05	$887 50	$873 55
ASPEN TECHNOLOGY INC COM	AZPN	045327103	74	04/01/11	04/24/13	$2,218 99	$1,113 13	$1,105 86
ASPEN TECHNOLOGY INC COM	AZPN	045327103	58	04/01/11	04/25/13	$1,751 88	$872 45	$879 43
ASPEN TECHNOLOGY INC COM	AZPN	045327103	62	04/01/11	04/29/13	$1,868 11	$932 62	$935 49
ASPEN TECHNOLOGY INC COM	AZPN	045327103	53	04/01/11	04/30/13	$1,609 50	$797 24	$812 26
ASPEN TECHNOLOGY INC COM	AZPN	045327103	34	04/01/11	05/13/13	$1,049 74	$511 44	$538 30
ASPEN TECHNOLOGY INC COM	AZPN	045327103	11	04/01/11	05/14/13	$342 83	$165 47	$177 36
ASPEN TECHNOLOGY INC COM	AZPN	045327103	5	04/01/11	05/15/13	$155 19	$75 21	$79 98
ASPEN TECHNOLOGY INC COM	AZPN	045327103	26	04/01/11	05/17/13	$812 80	$391 10	$421 70
ASPEN TECHNOLOGY INC COM	AZPN	045327103	1	04/01/11	05/20/13	$31 15	$15 04	$16 11
ASPEN TECHNOLOGY INC COM	AZPN	045327103	15	04/01/11	05/21/13	$461 14	$225 63	$235 51
ASPEN TECHNOLOGY INC COM	AZPN	045327103	18	04/01/11	05/22/13	$553 69	$270 76	$282 93
ASPEN TECHNOLOGY INC COM	AZPN	045327103	18	04/01/11	05/30/13	$557 39	$270 76	$286 63
ASPEN TECHNOLOGY INC COM	AZPN	045327103	26	04/01/11	06/07/13	$792 39	$391 10	$401 29
ASPEN TECHNOLOGY INC COM	AZPN	045327103	2	04/01/11	06/18/13	$59 92	$30 08	$29 84
ASPEN TECHNOLOGY INC COM	AZPN	045327103	2	04/01/11	07/08/13	$61 32	$30 08	$31 24
ASPEN TECHNOLOGY INC COM	AZPN	045327103	20	04/01/11	07/10/13	$610 72	$300 85	$309 87
ASPEN TECHNOLOGY INC COM	AZPN	045327103	14	04/01/11	07/11/13	$435 42	$210 59	$224 83
ASPEN TECHNOLOGY INC COM	AZPN	045327103	73	04/01/11	07/16/13	$2,347 48	$1,098 09	$1,249 39
ASPEN TECHNOLOGY INC COM	AZPN	045327103	59	04/01/11	07/17/13	$1,904 96	$887 50	$1,017 46
ASPEN TECHNOLOGY INC COM	AZPN	045327103	28	04/01/11	07/18/13	$909 86	$421 19	$488 67
ASPEN TECHNOLOGY INC COM	AZPN	045327103	37	04/01/11	07/19/13	$1,202 27	$556 57	$645 70
ASPEN TECHNOLOGY INC COM	AZPN	045327103	21	04/01/11	07/23/13	$682 99	$315 89	$367 10
ASPEN TECHNOLOGY INC COM	AZPN	045327103	22	04/01/11	07/24/13	$716 44	$330 93	$385 51
ASPEN TECHNOLOGY INC COM	AZPN	045327103	20	04/01/11	07/29/13	$645 30	$300 85	$344 45

STMT 20

ANIMAS FDN-PRAESIDIUM INV MGMT-CUST

Long term transactions

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ASPEN TECHNOLOGY INC COM	AZPN	045327103	10	04/01/11	07/30/13	$323 64	$150 42	$173 22
ASPEN TECHNOLOGY INC COM	AZPN	045327103	22	04/01/11	07/31/13	$718 93	$330 93	$388 00
ASPEN TECHNOLOGY INC COM	AZPN	045327103	18	04/01/11	08/01/13	$590 50	$270 76	$319 74
ASPEN TECHNOLOGY INC COM	AZPN	045327103	19	04/01/11	08/05/13	$623 75	$285 80	$337 95
ASPEN TECHNOLOGY INC COM	AZPN	045327103	238	04/01/11	08/12/13	$7,749 31	$3,580 08	$4,169 23
ASPEN TECHNOLOGY INC COM	AZPN	045327103	164	04/01/11	08/13/13	$5,467 16	$2,466 94	$3,000 22
ASPEN TECHNOLOGY INC COM	AZPN	045327103	18	04/04/11	08/13/13	$600 05	$270 12	$329 93
ASPEN TECHNOLOGY INC COM	AZPN	045327103	6	04/04/11	08/14/13	$199 88	$90 04	$109 84
ASPEN TECHNOLOGY INC COM	AZPN	045327103	27	04/04/11	08/16/13	$950 48	$405 19	$545 29
ASPEN TECHNOLOGY INC COM	AZPN	045327103	15	04/04/11	08/19/13	$517 41	$225 10	$292 31
ASPEN TECHNOLOGY INC COM	AZPN	045327103	2	04/04/11	08/21/13	$69 03	$30 01	$39 02
ASPEN TECHNOLOGY INC COM	AZPN	045327103	27	04/04/11	09/10/13	$946 17	$405 19	$540 98
KNOLL INC	KNL	498904200	11	04/04/11	10/08/13	$172 92	$236 78	$-63 86
KNOLL INC	KNL	498904200	29	04/04/11	10/09/13	$460 82	$624 22	$-163 40
KNOLL INC	KNL	498904200	153	04/04/11	10/10/13	$2,488 51	$3,293 33	$-804 82
KNOLL INC	KNL	498904200	156	04/04/11	10/11/13	$2,600 66	$3,357 90	$-757 24
KNOLL INC	KNL	498904200	44	04/04/11	10/14/13	$736 62	$947 10	$-210 48
KNOLL INC	KNL	498904200	30	04/04/11	10/15/13	$498 52	$645 75	$-147 23
KNOLL INC	KNL	498904200	174	04/04/11	10/16/13	$2,946 49	$3,745 35	$-798 86
KNOLL INC	KNL	498904200	424	04/04/11	10/18/13	$7,316 80	$9,126 60	$-1,809 80
ASPEN TECHNOLOGY INC COM	AZPN	045327103	71	04/04/11	10/28/13	$2,461 90	$1,065 49	$1,396 41
ASPEN TECHNOLOGY INC COM	AZPN	045327103	39	04/04/11	10/29/13	$1,367 81	$585 27	$782 54
ASPEN TECHNOLOGY INC COM	AZPN	045327103	69	04/04/11	10/30/13	$2,629 97	$1,035 48	$1,594 49
ASPEN TECHNOLOGY INC COM	AZPN	045327103	12	04/04/11	10/31/13	$462 55	$180 08	$282 47
KNOLL INC	KNL	498904200	21	04/04/11	10/31/13	$350 11	$452 02	$-101 91
ASPEN TECHNOLOGY INC COM	AZPN	045327103	14	04/04/11	11/04/13	$531 50	$210 10	$321 40
KNOLL INC	KNL	498904200	35	04/04/11	11/04/13	$584 74	$753 38	$-168 64
ASPEN TECHNOLOGY INC COM	AZPN	045327103	68	04/04/11	11/05/13	$2,593 18	$1,020 47	$1,572 71

STMT 20

Long term transactions

This category includes sales of assets held more than 12 months.

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Net gain or loss
ASPEN TECHNOLOGY INC COM	AZPN	045327103	50	04/04/11	11/06/13	$1,915 36	$750 35	$1,165 01
ASPEN TECHNOLOGY INC COM	AZPN	045327103	51	04/04/11	11/08/13	$1,934 82	$765 35	$1,169 47
KNOLL INC	KNL	498904200	4	04/04/11	11/08/13	$67 39	$86 10	$-18 71
ASPEN TECHNOLOGY INC COM	AZPN	045327103	1	04/04/11	11/11/13	$38 05	$15 01	$23 04
KNOLL INC	KNL	498904200	9	04/04/11	11/14/13	$150 18	$193 72	$-43 54
ASPEN TECHNOLOGY INC COM	AZPN	045327103	50	04/04/11	11/15/13	$1,902 06	$750 34	$1,151 72
KNOLL INC	KNL	498904200	45	04/04/11	11/15/13	$772 30	$968 63	$-196 33
ASPEN TECHNOLOGY INC COM	AZPN	045327103	11	04/04/11	11/18/13	$421 94	$165 08	$256 86
ASPEN TECHNOLOGY INC COM	AZPN	045327103	100	04/04/11	11/20/13	$3,759 44	$1,500 69	$2,258 75
ASPEN TECHNOLOGY INC COM	AZPN	045327103	41	04/04/11	11/21/13	$1,558 26	$615 28	$942 98
KNOLL INC	KNL	498904200	8	04/04/11	11/21/13	$139 00	$172 20	$-33 20
KNOLL INC	KNL	498904200	144	04/04/11	12/20/13	$2,513 27	$3,099 60	$-586 33
Total long term gain/loss from sales						$128,053 11	$86,299 56	$41,753 55

Long term transactions with loss disallowed due to wash sale

This category includes sales of assets held more than 12 months

Description	Symbol	Cusip	Quantity sold	Date of acquisition	Sale date	Sales price less commissions	Cost or other basis	Portion subject to 988	Net gain or loss / Wash sale loss disallowed
PROGRESS SOFTWARE CORP	PRGS	743312100	21	07/22/11	03/12/13	$490 34	$543 40		$-53 06
								$0 00	$53 06
Total long term gain/loss from sales						$490 34	$543 40	490.34	$-53 06
Total long term loss disallowed from wash sales									$53 06
Total long term Section 988 translation gain/loss from securities subject to wash sale:								$0 00	

STMT 20

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at www irs gov/form8868.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. ANIMAS FOUNDATION	Enter filer's identifying number Employer identification number (EIN) or 43-1617896
	Number, street, and room or suite no If a P O box, see instructions 14 DIAMOND A DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions ANIMAS, NM 88020	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ ANIMAS FOUNDATION 14 DIAMOND A DRIVE - ANIMAS, NM 88020
  Telephone No. ▶ 575-548-2262 Fax No ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ If this is for the whole group, check this box ▶ [ ] If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until AUGUST 15, 2014 , to file the exempt organization return for the organization named above The extension is for the organization's return for:
▶ [X] calendar year 2013 or
▶ [ ] tax year beginning ________, and ending ________ .

2 If the tax year entered in line 1 is for less than 12 months, check reason [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	$ 53,255.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 31,255.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 22,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1)

Part II **Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions ANIMAS FOUNDATION	Employer identification number (EIN) or 43-1617896
	Number, street, and room or suite no If a P O box, see instructions 14 DIAMOND A DRIVE	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions ANIMAS, NM 88020	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990 PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ ANIMAS FOUNDATION 14 DIAMOND A DRIVE - ANIMAS, NM 88020

Telephone No ▶ 575-548-2262 Fax No ▶

• If the organization does not have an office or place of business in the United States, check this box ▶ [ ]

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ________ If this is for the whole group, check this box ▶ [ ] If it is for part of the group check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until NOVEMBER 15, 2014

5 For calendar year 2013, or other tax year beginning ________, and ending ________

6 If the tax year entered in line 5 is for less than 12 months, check reason [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS REQUESTED TO GATHER INFORMATION NECESSARY IN ORDER TO FILE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	8a	$	53,255.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	$	53,255.
c **Balance due** Subtract line 8b from line 8a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ [signature] Title ▶ CPA Date ▶ 8/6/14

323842 12-31-13