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990-PF

Form

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, 2013, and ending

, 20

Name of foundation THE FRANCIS FAMILY FOUNDATION		A Employer identification number 43-1492132						
Number and street (or P O box number if mail is not delivered to street address) 800 W. 47TH STREET	Room/suite 717	B Telephone number (see instructions) (816) 531-0077						
City or town, state or province, country, and ZIP or foreign postal code KANSAS CITY, MO 64112-1249		C If exemption application is pending, check here ☐						
EXTENSION ATTACHED G Check all that apply: <table border="0"> <tr> <td>☐ Initial return</td> <td>☐ Initial return of a former public charity</td> </tr> <tr> <td>☐ Final return</td> <td>☐ Amended return</td> </tr> <tr> <td>☐ Address change</td> <td>☐ Name change</td> </tr> </table>		☐ Initial return	☐ Initial return of a former public charity	☐ Final return	☐ Amended return	☐ Address change	☐ Name change	D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
☐ Initial return	☐ Initial return of a former public charity							
☐ Final return	☐ Amended return							
☐ Address change	☐ Name change							
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐						
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 115,869,763.	J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐						

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	2,571,696.	2,571,696.		ATCH 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,386,806.			
	b Gross sales price for all assets on line 6a	22,789,869.			
	7 Capital gain net income (from Part IV, line 2)		2,386,806.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 2	27,902.	27,902.			
12 Total. Add lines 1 through 11	4,986,404.	4,986,404.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	96,573.	14,486.		82,087.
	14 Other employee salaries and wages	181,998.	17,638.		164,360.
	15 Pension plans, employee benefits	50,289.	5,799.		44,490.
	16a Legal fees (attach schedule) ATCH 3	5,875.			5,875.
	b Accounting fees (attach schedule)	17,489.	13,116.		4,373.
	c Other professional fees (attach schedule) *	7,366.			7,366.
	17 Interest				
	18 Taxes (attach schedule) (see instructions) ATCH 5	185,402.	119,088.		18,442.
	19 Depreciation (attach schedule) and depletion	1,742.			
	20 Occupancy	73,781.			73,781.
	21 Travel, conferences, and meetings	113,524.			113,524.
	22 Printing and publications				
	23 Other expenses (attach schedule) ATCH 6	497,172.	267,427.		229,745.
	24 Total operating and administrative expenses. Add lines 13 through 23	1,231,211.	437,554.		744,043.
	25 Contributions, gifts, grants paid	5,724,160.			5,724,160.
26 Total expenses and disbursements. Add lines 24 and 25	6,955,371.	437,554.	0	6,468,203.	
27 Subtract line 26 from line 12	-1,968,967.				
a Excess of revenue over expenses and disbursements		4,548,850.			
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)					

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	209,059.	239,466.	239,466.
	2 Savings and temporary cash investments	7,154,803.	3,575,176.	3,575,176.
	3 Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges ATCH 7	32,500.	35,000.	35,000.
	10 a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule) ATCH 8	45,678,216.	45,322,061.	66,180,278.
	c Investments - corporate bonds (attach schedule) ATCH 9	1,806,342.	1,509,393.	1,343,678.
	11 Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 10	36,825,523.	39,058,122.	44,496,165.
	14 Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶	222,283. 222,283.	1,742.	
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	91,708,185.	89,739,218.	115,869,763.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0	0		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	91,708,185.	89,739,218.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances (see instructions)	91,708,185.	89,739,218.		
31 Total liabilities and net assets/fund balances (see instructions)	91,708,185.	89,739,218.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	91,708,185.
2 Enter amount from Part I, line 27a	2	-1,968,967.
3 Other increases not included in line 2 (itemize) ▶	3	
4 Add lines 1, 2, and 3	4	89,739,218.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	89,739,218.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	2,386,806.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	6,192,374.	103,652,606.	0.059742
2011	5,547,941.	108,020,686.	0.051360
2010	5,952,248.	104,323,469.	0.057056
2009	5,696,661.	93,795,659.	0.060735
2008	6,704,265.	115,057,864.	0.058269
2 Total of line 1, column (d)			2 0.287162
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.057432
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 110,816,490.
5 Multiply line 4 by line 3			5 6,364,413.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 45,489.
7 Add lines 5 and 6			7 6,409,902.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 6,468,203.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	45,489.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	45,489.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	45,489.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	80,592.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	80,592.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	35,103.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ 35,103. Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MO, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address WWW.FRANCISFOUNDATION.ORG				
14	The books are in care of KIM FOSTER Telephone no 816-531-0077			
	Located at 800 W. 47TH STREET, SUITE 717 KANSAS CITY, MO ZIP+4 64112-1249			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No		
	If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b** ☒ XOrganizations relying on a current notice regarding disaster assistance check here ☐ **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☒ Yes ☐ No **ATCH 11**

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** ☒ X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		96,573.	18,798.	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 13		181,998.	31,672.	0

Total number of other employees paid over \$50,000 ☐ 

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 14		151,931.
Total number of others receiving over \$50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	112,298,301.
b	Average of monthly cash balances	1b	205,750.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	112,504,051.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	112,504,051.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,687,561.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	110,816,490.
6	Minimum investment return. Enter 5% of line 5	6	5,540,825.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,540,825.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	45,489.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	45,489.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	5,495,336.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	5,495,336.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	5,495,336.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	6,468,203.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	6,468,203.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions).	5	45,489.
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	6,422,714.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				5,495,336.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010 343,597.				
d From 2011 257,718.				
e From 2012 1,170,928.				
f Total of lines 3a through e	1,772,243.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>6,468,203.</u>				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				5,495,336.
e Remaining amount distributed out of corpus	972,867.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,745,110.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,745,110.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010 343,597.				
c Excess from 2011 257,718.				
d Excess from 2012 1,170,928.				
e Excess from 2013 972,867.				

Form **990-PF** (2013)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year

Prior 3 years

(e) Total

(a) 2013

(b) 2012

(c) 2011

(d) 2010

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 15

b The form in which applications should be submitted and information and materials they should include

SEE STATEMENT ATTACHED

c Any submission deadlines

SEE STATEMENT ATTACHED

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE STATEMENT ATTACHED

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> ATCH 16				
Total			▶ 3a	5,724,160.
b <i>Approved for future payment</i> ATTACHMENT 17				1,749,000.
Total			▶ 3b	1,749,000.

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount		
1 Program service revenue						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments			14	342,140.		
4 Dividends and interest from securities			14	2,229,556.		
5 Net rental income or (loss) from real estate						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory			18	2,386,806.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue a OTHER REVENUE	900099		05			27,902.
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)				4,958,502.		27,902.
13 Total. Add line 12, columns (b), (d), and (e)						4,986,404.

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
▼	

This image shows a single sheet of white paper with horizontal blue or grey ruling lines. A vertical margin line is positioned on the left side, creating a narrow left margin. The paper appears to be from a notebook or a standard ruled document. There are no markings, text, or drawings on the page.

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

JSA
3E1730 1 000

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
INTEREST AND DIVIDENDS	2,571,696.	2,571,696.
TOTAL	<u>2,571,696.</u>	<u>2,571,696.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
MISCELLANEOUS INCOME	27,902.	27,902.
TOTALS	27,902.	27,902.

ATTACHMENT 3

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
GENERAL LEGAL SERVICES	5,875.			5,875.
TOTALS	<u>5,875.</u>			<u>5,875.</u>

ATTACHMENT 4

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>CHARITABLE PURPOSES</u>
BENEFIT PLAN ADMINISTRATION	6,511.	6,511.
WEB DEVELOPMENT AND HOSTING	855.	855.
TOTALS	<u>7,366.</u>	<u>7,366.</u>

ATTACHMENT 5FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FOREIGN TAXES	116,684.	116,684.	
PAYROLL TAXES	20,846.	2,404.	18,442.
FEDERAL EXCISE TAXES	47,872.		
TOTALS	<u>185,402.</u>	<u>119,088.</u>	<u>18,442.</u>

ATTACHMENT 6FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
SUPPLIES	4,855.		4,855.
TELEPHONE	7,341.		7,341.
POSTAGE & SHIPPING	1,794.		1,794.
EQUIPMENT RENTAL & MAINTENANCE	9,665.		9,665.
INVESTMENT MANAGEMENT/CUSTODY	267,427.	267,427.	
COMPUTER EXPENSES	9,245.		9,245.
INSURANCE	14,844.		14,844.
PBF PROGRAM ADMINISTRATION	182,001.		182,001.
TOTALS	<u>497,172.</u>	<u>267,427.</u>	<u>229,745.</u>

ATTACHMENT 7FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID FEDERAL EXCISE TAX	35,000.	35,000.
TOTALS	<u>35,000.</u>	<u>35,000.</u>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 8

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
COMMON STOCK	44,149,456.	65,116,540.
PREFERRED STOCK	1,172,605.	1,063,738.
TOTALS	<u>45,322,061.</u>	<u>66,180,278.</u>

ATTACHMENT 9

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
FOREIGN BONDS/NOTES	1,509,393.	1,343,678.
TOTALS	<u>1,509,393.</u>	<u>1,343,678.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
OTHER INVESTMENTS	18,225.	18,225.
EXCHANGE TRADED FUNDS	2,645,367.	4,544,452.
LIMITED PARTNERSHIPS	5,291,488.	6,337,342.
POOLED EQUITY FUNDS	9,257,500.	11,542,800.
POOLED FIXED INCOME FUNDS	21,845,542.	22,053,346.
TOTALS	<u>39,058,122.</u>	<u>44,496,165.</u>

ATTACHMENT 11FORM 990PF, PART VII-B, LINE 5C-EXPENDITURE RESPONSIBILITY STATEMENT

GRANTEE'S NAME: SEE ATTACHED STATEMENT
GRANTEE'S ADDRESS:
CITY, STATE & ZIP:
GRANT DATE:
GRANT AMOUNT:
GRANT PURPOSE: SEE ATTACHED STATEMENT
AMOUNT EXPENDED:
ANY DIVERSION? NO
DATES OF REPORTS:
VERIFICATION DATE:
RESULTS OF VERIFICATION:

Attachment VII B – Expenditure Responsibility Grant Information Sheet

Form 990 2013

Grant No. 12 - 105

Name and Address of Grantee:

Emily A. Silverman Memorial Fund

7132 Wornall Ave.

Kansas City, MO. 64114

Effective Date:

November 16, 2012

Grant Amount:

\$5,600

Purpose:

To support a dance program for participants in the Upper Room's Summer school.

Amount Expended:

\$5,600

Any funds diverted from purpose of grant:

No

Report(s) received date:

Interim Report received 7/30/2013

Final Report received 2/3/2014

Attachment VIIB – Expenditure Responsibility Grant Information Sheet

Form 990 2013

Grant Number: 12 – 20080030.04

Name and Address of Grantee:

Foundation of the Metropolitan Colleges

On behalf of the Francis Child Development Institute/Resource Center

Penn Valley Community College

3201 SW Trafficway

Kansas City, MO 64111

Effective Date:

5/30/2012

Grant Amount:

\$539,413

Purpose:

To provide technical assistance, education and professional development to providers in the field of early childhood care and education.

Amount Expended:

\$539,413

Any funds diverted from purpose of grant:

No.

Report(s) received date:

1/28/2013 Interim Received

7/31/2013 Final Report Received

Attachment VII B – Expenditure Responsibility Grant Information Sheet

For 2013 990 Form

Grant No. 01-106

Name and Address of Grantee:

Metropolitan Community Colleges Foundation on behalf of Francis Inst. For Child and Youth Dev.

3200 Broadway

Kansas City, MO 64111

Effective Date:

July 2008

Grant Amount:

\$5,000,000 (Endowment challenge grant payable as matching funds are committed)

December 2011, amended grant to replace endowment match to annual operating match against earned income. Annual grant amount maximum of \$250,000, 2013 - 2017

Purpose:

To support staff, administration and initiatives e.g. CLASS training, PAS etc.

Amount Expended:

\$ against endowment activity for 2012.

Any funds diverted from purpose of grant:

No.

Report(s) received date:

7/31/2013 - \$250,000 match confirmed, grant paid

Additional reports due annually:

7/31/2014 – 7/31/2017

Attachment VII B – Expenditure Responsibility Grant Information Sheet

For 2013 - 990 Form

Grant No. 2013 - 051

Name and Address of Grantee:

Metropolitan Community Colleges Foundation on behalf of Francis Inst. For Child and Youth Dev.

3200 Broadway

Kansas City, MO 64111

Effective Date:

June 19, 2013

Grant Amount:

\$250,000

Purpose:

To support staff, administration and initiatives e.g. CLASS training, PAS etc.

Amount Expended:

\$127,148

Any funds diverted from purpose of grant:

No.

Report(s) received date:

7/31/2013 Report on matching funds committed/Payment released

2/5/2014 Interim Report Received For 2014 (MCC Fiscal Year)

Final report due 8/15/2014 for 2014 fiscal year

Attachment VII B – Expenditure Responsibility Grant Information Sheet

2013 Form 990

Grant No 12-20080122.04

Name and Address of Grantee:

Kemper Museum of Contemporary Art

4420 Warwick Blvd.

Kansas City, MO 64111

Effective Date:

December 12, 2012

Grant Amount:

\$10,000

Purpose:

To support education and outreach programs

Amount Expended:

\$10,000

Any funds diverted from purpose of grant:

No

Report(s) received date:

Interim Report received 7/31/2013

Final report received 2/7/2014

Attachment VII B – Expenditure Responsibility Grant Information Sheet

2013 Form 990

Grant No 2013 - 187

Name and Address of Grantee:

Kemper Museum of Contemporary Art

4420 Warwick Blvd.

Kansas City, MO 64111

Effective Date:

December 5, 2013

Grant Amount:

\$10,000

Purpose:

To support education and outreach programs

Amount Expended:

NA – First report due July 31, 2014

Any funds diverted from purpose of grant:

NA

Report(s) received date:

Interim Report due July 31, 2014

Final Report due February 5, 2015

Attachment VII B – Expenditure Responsibility Grant Information Sheet

For 2013 990 Form

Grant No. 12-20080047.04

Name and Address of Grantee:

Mid America Regional Council

On behalf of the Metropolitan Council on Early Learning, Community Services Corporation

600 Broadway, Suite 300

Kansas City, MO 64105-1554

Effective Date:

May 11, 2012

Grant Amount:

\$140,000

Purpose:

To support staff, administration and initiatives e.g. CLASS training, PAS etc.

Amount Expended:

\$140,000

Any funds diverted from purpose of grant:

No.

Report(s) received date:

February 6, 2013 (data July 1, 2012 – December 31, 2012) – Interim Report

August 20, 2013 Final Report

Attachment VII B – Expenditure Responsibility Grant Information Sheet

For 2013 990 Form

Grant No. 2013 - 057

Name and Address of Grantee:

Mid America Regional Council

On behalf of the Metropolitan Council on Early Learning, Community Services Corporation

600 Broadway, Suite 300

Kansas City, MO 64105-1554

Effective Date:

June 13, 2013

Grant Amount:

\$140,000

Purpose:

To support staff, administration and initiatives e.g. CLASS training, PAS etc.

Amount Expended:

NA

Any funds diverted from purpose of grant:

NA

Report(s) received date:

Interim Report received 2/6/2014

Final Report due 8/1/2014

Attachment VII B – Expenditure Responsibility Grant Information Sheet

For 2013 990 Form

Grant No. 2013 - 0246

Name and Address of Grantee:

Mid America Regional Council

On behalf of the Metropolitan Council on Early Learning, Community Services Corporation

600 Broadway, Suite 300

Kansas City, MO 64105-1554

Effective Date:

November 12, 2013

Grant Amount:

\$70,000

Purpose:

To support training and technical assistance related to implementation of the Desired Results/developmental Profile Assessment tool.

Amount Expended:

NA

Any funds diverted from purpose of grant:

No.

Report(s) received date:

February 19, 2014 Interim Report

Final Report due August 2014 (will include expenditure data)

Attachment VII B – Expenditure Responsibility Grant Information Sheet

For 2013 form 990

Grant No. 2013 - 251

Name and Address of Grantee:

Toy and Miniature Museum

5235 Oak Street

Kansas City, MO 64112

Effective Date

11/16/2012

Grant Amount:

\$33,750

Purpose:

To support operations of the Museum

Amount Expended:

NA

Any funds diverted from purpose of grant:

NA

Report(s) received date:

Report due 7/31/2014

Attachment VII B – Expenditure Responsibility Grant Information Sheet

For 2013 form 990

Grant No. 12-20080148.04

Name and Address of Grantee:

Toy and Miniature Museum

5235 Oak Street

Kansas City, MO 64112

Effective Date

11/16/2012

Grant Amount:

\$67,500

Purpose:

To support operations of the Museum

Amount Expended:

\$67,500

Any funds diverted from purpose of grant:

No

Report(s) received date:

7/31/2013 Final Report

THE FRANCIS FAMILY FOUNDATION

43-1492132

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS
------------------	---	--------------	---

JIM KOENEMAN 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	SECRETARY/EXECUTIVE DIRECTOR 32.00	96,573.	18,798.
---	---------------------------------------	---------	---------

DAVID V. FRANCIS 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	DIRECTOR 1.00	0	0
---	------------------	---	---

J. SCOTT FRANCIS 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	CHAIR 1.00	0	0
---	---------------	---	---

KATIE WENDEL 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	DIRECTOR 1.00	0	0
---	------------------	---	---

ANN BARHOUM 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	VICE CHAIR 1.00	0	0
--	--------------------	---	---

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 12 (CONT'D)

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
MARY LOU JARAMILLO 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	DIRECTOR 1.00	0	0
GREGORY GLORE 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	DIRECTOR 1.00	0	0
CHARLES SCHELLHORN 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	TREASURER 1.00	0	0
DAVID OLIVER 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	DIRECTOR 1.00	0	0
SUSAN STANTON 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	DIRECTOR 1.00	0	0

THE FRANCIS FAMILY FOUNDATION

43-1492132

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 12 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS
JAMES H BERNARD JR 800 W. 47TH STREET 717 KANSAS CITY, MO 64112-1249	ASSISTANT SECRETARY 1.00	0	0
GRAND TOTALS		96,573.	18,798.

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 13

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>
LYN KNOX 800 W 47TH STREET SUITE 717 KANSAS CITY, MO 64112	PROGRAM OFFICER 32.00	61,888.	14,245.
KIM FOSTER 800 W 47TH STREET SUITE 717 KANSAS CITY, MO 64112	OPERATIONS MANAGER 32.00	70,552.	4,402.
SHARI HOCKENBERY 800 W 47TH STREET SUITE 717 KANSAS CITY, MO 64112	PROGRAM SPECIALIST 32.00	49,558.	13,025.
	TOTAL COMPENSATION	<u>181,998.</u>	<u>31,672.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 14

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
MERCER PO BOX 730182 DALLAS, TX 75373	INVESTMENT COUSELING	93,034.
JENSEN INVESTMENT MANAGEMENT 5300 MEADOWS ROAD LAKE OSWEGO, OR 97035	INVESTMENT MANAGER	58,897.
	TOTAL COMPENSATION	<u>151,931.</u>

ATTACHMENT 15

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

SEE STATEMENT ATTACHED

THE FRANCIS FAMILY FOUNDATION
EIN #43-1492132

YEAR ENDED DECEMBER 31, 2013

FORM 990 PF, PART XV – INFORMATION REGARDING CONTRIBUTIONS, GRANTS, ETC.

FELLOWSHIPS:

The Francis Family Foundation awards fellowships in the field of pulmonary medicine. The director of any pulmonary training program may apply on behalf of the fellow. Awards are limited to institutions located in the United States and Canada and only one award will be approved in a department.

Applications should be requested from the director of the fellowship program:

Thomas R. Martin, M.D.
Chair, Council of Scientific Advisors
PBF Fellowship Program
8427 SE 35th Street
Mercer Island, WA 98040
(206) 462-9224

The application should contain:

- a.) General description of the current research activities in the pulmonary unit;
- b.) Summary of past training record of the unit;
- c.) Biographical sketch of the candidate fellow;
- d.) An outline of the research project to be undertaken;
- e.) Endorsement of the application by the appropriate department head and by an authorized official of the institution agreeing to administer the grant.

GRANTS:

The Francis Family Foundation also makes grants for other charitable purposes.

Any organization interested in requesting such a grant should send the request to:

The Francis Family Foundation
800 W. 47th Street, Suite 717
Kansas City, Missouri 64112

Application may also be made through the organization's website at www.francisfoundation.org.

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 16

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR

AND

FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

SEE ATTACHED DETAIL

NONE

SEE ATTACHED SCHEDULE

5,724,160.

TOTAL CONTRIBUTIONS PAID

5,724,160.

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Albrecht-Kemper Museum Of Art 2818 Frederick Avenue St. Joseph, MO 64506-2998	PC	general operating support	\$10,000
Alliance for Childhood Education 22052 West 66th Street Suite 200 Shawnee, KS 66226	PC	general operating support	\$5,000
Alliance for Childhood Education 22052 West 66th Street Suite 200 Shawnee, KS 66226	PC	general operating support	\$25,500
Alzheimers Disease And Related Disorders Association 3846 W. 75th Street Prairie Village, KS 66208	PC	general operating support	\$600
American Cancer Society 1940 East Deere Avenue Suite 100 Santa Ana, CA 92705	PC	general operating support	\$2,000
American Jazz Museum 1616 E. 18th St. Kansas City, MO 64108	PC	general operating support	\$10,000
American National Red Cross Greater Kansas City Chapter 211 West Armour Boulevard Kansas City, MO 64111	PC	Genevieve Byrne Speaker Series	\$500
American Near East Refugee Aid Inc. 1111 14th Street, N.W. #400 Washington, DC 20005	PC	general operating support	\$35,000
American Near East Refugee Aid Inc. 1111 14th Street, N.W. #400 Washington, DC 20005	PC	general operating support	\$15,000
American Royal Association 1701 American Royal Court Kansas City, MO 64102	PC	general operating support	\$1,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
American Thoracic Society 25 Broadway, 18th Floor New York, NY 10004-1012	PC	general operating support	\$10,000
American University Office of Development & Alumni Relations 4200 Wisconsin Avenue, N W. 5th Floor Washington, DC 20016	PC	William K. Reilly Fund for Environmental Governance and Leadership	\$500
Amethyst Place 2732 Troost Kansas City, MO 64109	PC	general operating support	\$1,000
Amyotrophic Lateral Sclerosis Assn 6950 Squibb Road Suite 210 Mission, KS 66202	PC	general operating support	\$2,500
Anderson Ranch Arts Foundation P O. Box 5598 Snowmass Village, CO 81615	PC	National Council (\$2,500) Scholarship Fund (\$1,000)	\$3,500
Applied Information Management Institute 1905 Harney Street Suite 700 Omaha, NE 68102	PC	Carbon Song Cycle	\$525
Archdiocese of Kansas City in Kansas 12615 Parallel Parkway Kansas City, KS 66109-3748	PC	El Centro Topeka - mural project and operations	\$3,000
Arpan Foundation Incorporated 19401 S. Vermont Avenue Suite K105 Torrance, CA 90502	PC	Samaritan Help Mission	\$2,000
Arts Council Of Johnson County 15301 W. 87th St. Pkwy Suite B40 Lenexa, KS 66219	PC	general operating support	\$3,750
Artstech 1522 Holmes Kansas City, MO 64108	PC	general operating support	\$10,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
ArtsTech Storytellers Inc., The 607 West 17th Street Kansas City, MO 64108	PC	Interactive Arts Education for At-Risk Youth	\$7,125
Ashoka Innovators for the Public 1700 N. Moore Street Suite 2000 Arlington, VA 22209	PC	general operating support	\$2,000
Aspen Lung Conference Thomas L. Petty Aspen Lung Conference 3859 North Bayou Hills Road Parker, CO 80134	PC	Parker B. Francis Lectureship	\$3,500
Associated Youth Services P.O. Box 171234 803 Armstrong Avenue Kansas City, KS 66117	PC	Art on 8th	\$2,500
Atelier CMS INC 2011 Tracy Ave. Kansas City, MO 64108	PC	Pakistani Cargo Truck Initiative - to outfit the back of the truck with necessary equipment to provide workshops at schools and colleges	\$7,500
Bach Aria Soloists PO Box 7112 Kansas City, MO 64113	PC	2013-2014 Community Concert Series / BachReach Educational Programs	\$4,500
Benedictine College 1020 N. 2nd St. Atchison, KS 66002	PC	Fund-A-Raven Scholarship Program	\$1,500
Bishop Sullivan Center 6435 East Truman Road Kansas City, MO 64126	PC	for support of St. James Place	\$2,000
Boys Club Of Greater Kansas City 6301 Rockhill Road, Suite 303 Kansas City, MO 64131	PC	The Arts Project at Boys & Girls Clubs of Greater Kansas City	\$7,500

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Boys Club Of Greater Kansas City 6301 Rockhill Road, Suite 303 Kansas City, MO 64131	PC	Great Future Campaign	\$2,000
Brigham and Women's Hospital Research Administration 75 Francis St. Boston, MA 02115	PC	PBF Fellowship Award to support the research of Dr Edwin K Silverman (Mentor) and Dr. Emily S Wan (Fellow)	\$25,000
Brigham and Women's Hospital, Inc. Research Administration 75 Francis St. Boston, MA 02115	PC	lab supplies to further support research	\$5,000
Cambridge School of Weston Inc Development Office 45 Georgian Road Weston, MA 02493	PC	general operating support	\$1,000
Camps for Kids 4727 Logan Avenue Kansas City, MO 64136	PC	for Mattie Rhodes students' scholarships	\$1,000
Center for Practical Bioethics Harzfeld Building 1111 Main Street, #500 Kansas City, MO 64105	PC	general operating support	\$500
Charlotte Street Foundation PO Box 10263 Kansas City, MO 64171	PC	general operating support	\$30,000
Charlotte Street Foundation PO Box 10263 Kansas City, MO 64171	PC	Artists Matter	\$1,000
Charlotte Street Foundation PO Box 10263 Kansas City, MO 64171	PC	general operating support	\$1,000
Charlotte Street Foundation PO Box 10263 Kansas City, MO 64171	PC	general operating support	\$5,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Charlotte Street Foundation PO Box 10263 Kansas City, MO 64171	PC	general operating support	\$1,500
Charlotte Street Foundation PO Box 10263 Kansas City, MO 64171	PC	general operating support	\$1,000
Child Advocacy Services Center Children's Place 2 East 59th St. Kansas City, MO 64113-2116	PC	general operating support	\$1,000
Child Advocacy Services Center Children's Place 2 East 59th St. Kansas City, MO 64113-2116	PC	in support of the Abuse Roundtable	\$5,000
Childrens Mercy Hospital 2401 Gillham Road Kansas City, MO 64108-9898	PC	general operating support	\$25,000
Childrens Mercy Hospital 2401 Gillham Road Kansas City, MO 64108-9898	PC	for support of the Lisa Barth Chapel	\$15,000
Children's TLC 3101 Main Kansas City, MO 64111-1921	PC	general operating support	\$2,500
Children's TLC 3101 Main Kansas City, MO 64111-1921	PC	MLD Scholarship Fund	\$500
CHWC Inc (Community Housing of Wyandotte County) 2 South 14 Street Kansas City, KS 66102	PC	CHWC Arts Programs	\$6,000
Cincinnati Children's Hospital Medical Center 3333 Burnet Avenue, ML5000 Cincinnati, OH 45229	PC	PBF Fellowship Award to support the research of Dr. William Hardie (Mentor) and Dr. Satish K. Madala (Fellow)	\$50,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
City In Motion Dance Theater Inc 3925 Main Street Kansas City, MO 64111	PC	City In Motion Children's Dance Theater	\$3,000
City Union Mission 1100 E. 11th Street Kansas City, MO 64106	PC	general operating support	\$1,000
City Union Mission 1100 E. 11th Street Kansas City, MO 64106	PC	general operating support	\$1,500
City Union Mission 1100 E. 11th Street Kansas City, MO 64106	PC	to support 'Women Who Have Changed the Heart of the City'	\$1,500
Clay County African American Legacy Inc 502 North Water Street Liberty, MO 64068	PC	Clay County African American Legacy - Bringing Art to the People (BA2P)	\$2,000
Community Childrens Theatre Of Kansas City Mo Inc Chameleon Theatre Company 4016 Oak Street Kansas City, MO 64111	PC	general operating support	\$2,000
Community LINC 4012 Troost Avenue Kansas City, MO 64110	PC	general operating support	\$500
Cornell University Joan and Sanford I. Weill Medical College General/Grant Accounting Cornell University Medical College 100 Broadway, Floor 8 New York, NY 10005-4514	PC	PBF Fellowship Award to support the research of Dr. Ronald G. Crystal (Mentor) and Dr. Renat Shaykhiev (Fellow)	\$54,000
Coterie Inc 2450 Grand Ave., Suite 144 Kansas City, MO 64108-2520	PC	general operating support	\$20,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Cristo Rey Kansas City 211 W. Linwood Boulevard Kansas City, MO 64111	PC	general operating support	\$3,000
Cristo Rey Kansas City 211 W. Linwood Boulevard Kansas City, MO 64111	PC	general operating support	\$1,000
Cristo Rey Kansas City 211 W. Linwood Boulevard Kansas City, MO 64111	PC	for arts programming	\$5,000
Cristo Rey Kansas City 211 W. Linwood Boulevard Kansas City, MO 64111	PC	to support high school arts programming	\$4,000
Cross-Lines Community Outreach Inc. 736 Shawnee Avenue Kansas City, KS 66105	PC	general operating support	\$500
Crossroads Academy 1015 Central Street Kansas City, MO 64105	PC	Fine Arts Program	\$5,000
Crossroads Academy 1015 Central Street Kansas City, MO 64105	PC	general operating support	\$100
Crossroads Academy 1015 Central Street Kansas City, MO 64105	PC	general operating support	\$2,500
Crossroads Academy 1015 Central Street Kansas City, MO 64105	PC	general operating support	\$1,000
Crossroads Academy 1015 Central Street Kansas City, MO 64105	PC	School Safety	\$2,500

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Curators Of The University Of Missouri 5100 Rockhill Road Kansas City, MO 64110	PC	for Katz Hall Renovations and Furnishings	\$5,000
Curators of the University of Missouri KCUR FM 89 4825 Troost Kansas City, MO 64110-2499	PC	general operating support	\$500
De La Salle Education Center 3737 Troost Kansas City, MO 64109	PC	Arts and Culture Program	\$7,500
De La Salle Education Center 3737 Troost Kansas City, MO 64109	PC	general operating support	\$6,000
De La Salle Education Center 3737 Troost Kansas City, MO 64109	PC	general operating support	\$1,000
De La Salle Education Center 3737 Troost Kansas City, MO 64109	PC	for teacher bonuses	\$2,500
De La Salle Education Center 3737 Troost Kansas City, MO 64109	PC	Parent and Child Education Support Program (PACES)	\$10,000
Donnelly College 608 North 18th Street Kansas City, KS 66102	PC	Community Arts Initiative	\$5,000
Duke University Grants and Contracts P O. Box 3001 Durham, NC 27710	PC	PBF Fellowship Award to support the research of Dr. Scott Palmer (Mentor) and Dr. Tereza Martinu (Fellow)	\$52,000
El Centro Inc 650 Minnesota Avenue Kansas City, KS 66101	PC	general operating support	\$1,500

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Elmwood Cemetery Society P.O. Box 270255 4900 East Truman Road Kansas City, MO 64127	PC	general operating support	\$2,000
Emily A Silverman Memorial Fund (Em's Spotlight) 8257 Wornall Road Kansas City, MO 64114	POF	general operating support	\$5,250
Foundation Of The Metropolitan Colleges Francis Institute for Child and Youth Development Penn Valley Community College 3201 SW Trafficway Kansas City, MO 64111	PC	Francis Resource Center and FICYD Director	\$250,000
Fractured Atlas Productions, Inc 1613 Genessee St Kansas City, MO 64102	PC	general operating support	\$6,000
Fractured Atlas Productions, Inc 1715 Wyandotte Street Kansas City, MO 64108	PC	for expenses at Fishtank Performance Studio for the development of new projects and physical improvements to the studio	\$5,250
Fractured Atlas Productions, Inc. 248 West 35th St., Fl. 10 New York, NY 10001	PC	general operating support	\$500
Friends of Bruce R Watkins Cultural Heritage Center and Museum 3700 Blue Parkway Kansas City, MO 64130	PC	2013-14 Exhibits and Programs	\$1,000
Friends Of Chamber Music 4635 Wyandotte Suite 201 Kansas City, MO 64112	PC	Akademie Fur Alte Musik Berlin, one of five Early Music concerts	\$10,000
Friends Of Chamber Music 4635 Wyandotte Suite 201 Kansas City, MO 64112	PC	general operating support	\$2,500

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Friends Of Chamber Music 4635 Wyandotte Suite 201 Kansas City, MO 64112	PC	general operating support	\$1,525
Friends of the World Food Program 1725 I Street N W. Suite 510 Washington, DC 20006	PC	general operating support	\$500
Friends Of The Zoo Inc Of Kansas City Mo 6800 Zoo Dr. Kansas City, MO 64132	PC	Make Your Mark	\$100,000
Gem Theater Cultural And Performing Arts Center Inc P.O. Box 270392 Kansas City, MO 64127	PC	Support for programs at the old fire house at 2033 Vine Street	\$7,000
Gem Theater Cultural And Performing Arts Center Inc P.O Box 270392 Kansas City, MO 64127	PC	in support of visual art and artists	\$1,000
Genesis School, Inc 3800 East 44th Street Kansas City, MO 64130	PC	African Centered Music Program - Music Classes and Ensemble	\$5,000
Gordon Parks Elementary School 3715 Wyoming Kansas City, MO 64111	PC	general operating support	\$3,000
Governors of the University of Alberta Financial Services General Accounting Edmonton, Alberta T6G2M7	PC	Parker B. Francis Research Opportunity to support the work of Dr. Meghan B. Azad in recognition of excellence in pulmonary research	\$5,000
Greater Kansas City Community Foundation 1055 Broadway, Suite 130 Kansas City, MO 64105-1595	PC	future Arts & Culture grantmaking	\$94,000
Greater Kansas City Community Foundation 1055 Broadway, Suite 130 Kansas City, MO 64105-1595	PC	future Lifelong Learning grantmaking	\$141,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Greater Kansas City Community Foundation 1055 Broadway, Suite 130 Kansas City, MO 64105-1595	PC	future Health Research funding	\$235,000
Greater Kansas City Community Foundation 1055 Broadway, Suite 130 Kansas City, MO 64105-1595	PC	30th Anniversary Capital Campaign	\$170,000
Greater Kansas City Community Foundation 1055 Broadway, Suite 130 Kansas City, MO 64105-1595	PC	Council of Scientific Advisors	\$5,000
Greater Kansas City Community Foundation Hispanic Development Fund 1055 Broadway, Suite 130 Kansas City, MO 64105-1595	PC	Economic Impact Study Reports (\$1,500) and Hispanic Scholarship Fund (\$2,000)	\$3,500
Greater Kansas City Community Foundation Toy & Miniature Museum Fund 1055 Broadway, Suite 130 Kansas City, MO 64105-1595	PC	Capital Campaign	\$12,500
Greater Kansas City Community Foundation Toy & Miniature Museum Fund 1055 Broadway, Suite 130 Kansas City, MO 64105-1595	PC	general operating support	\$12,500
Greater Kansas City Community Foundation Toy & Miniature Museum Fund 1055 Broadway, Suite 130 Kansas City, MO 64105-1595	PC	general operating support	\$1,000
Greater Kansas City Community Foundation Waterfire 1055 Broadway, Suite 130 Kansas City, MO 64105-1595	PC	general operating support	\$2,000
Greater Salina Community Foundation The Land Institute Fund P.O. Box 2876 Salina, KS 67402	PC	general operating support	\$1,000
Greater Salina Community Foundation The Land Institute Fund P.O. Box 2876 Salina, KS 67402	PC	general operating support	\$1,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Guadalupe Center Inc 1015 Avenida Cesar Chavez Kansas City, MO 64108	PC	Kansas City Mariachi Camp	\$5,775
Harvesters-The Community Food Network 3801 Topping Kansas City, MO 64129	PC	general operating support	\$2,500
Harvesters-The Community Food Network 3801 Topping Kansas City, MO 64129	PC	general operating support	\$5,000
Head Start of Shawnee Mission, Inc 8155 Santa Fe Drive Overland Park, KS 66204	PC	general operating support	\$5,000
Heart Of America Shakespeare Festival 3619 Broadway Suite 2 Kansas City, MO 64111	PC	general operating support	\$10,000
Heartland Mens Chorus PO Box 32374 Kansas City, MO 64171	PC	general operating support	\$10,000
Heartland Mens Chorus PO Box 32374 Kansas City, MO 64171	PC	general operating support	\$1,000
Jackson County CASA 2544 Holmes Kansas City, MO 64108	PC	2013 Carnival for CASA	\$250
Jewish Federation of Greater Kansas City 5801 West 115th Street Suite 203 Overland Park, KS 66211-1824	PC	general operating support	\$500
Johns Hopkins University Johns Hopkins Asthma and Allergy Center Room 4B-74A 5501 Hopkins Bayview Circle Baltimore, MD 21224	PC	PBF Fellowship Award to support the research of Dr. Marsha Wills- Karp (Mentor) and Dr. Stephane Lajoie (Fellow)	\$50,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Johnson County Community College Foundation 12345 College Boulevard Overland Park, KS 66210-1299	PC	programming at the Nerman Museum	\$1,000
Johnson County Community College 12345 College Blvd. Overland Park, KS 66210-1299	PC	general operating support	\$10,000
K C Clay Guild 200 West 74th Street Kansas City, MO 64114	PC	Low fire glazing	\$5,017
Kacico Dance PO Box 26767 Kansas City, MO 64196	PC	general operating support	\$7,500
Kansas Action For Children Inc 720 SW Jackson, Suite 201 Topeka, KS 66603	PC	general operating support (including KS Coalition for School Readiness)	\$50,000
Kansas City Actors Theatre Inc P.O. Box 22510 Kansas City, MO 64113	PC	general operating support	\$3,750
Kansas City Art Institute 4415 Warwick Boulevard Kansas City, MO 64111-1874	PC	general operating support (\$25,000) and new post- baccalaureate Art Education Certificate program (\$10,000)	\$35,000
Kansas City Art Institute 4415 Warwick Boulevard Kansas City, MO 64111-1874	PC	general operating support	\$500
Kansas City Art Institute 4415 Warwick Boulevard Kansas City, MO 64111-1874	PC	post baccalaureate startup costs	\$5,000
Kansas City Art Institute 4415 Warwick Boulevard Kansas City, MO 64111-1874	PC	Warwick Society Support	\$1,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Kansas City Artists Coalition 201 Wyandotte Kansas City, MO 64105	PC	general operating support	\$7,500
Kansas City Artists Coalition 201 Wyandotte Kansas City, MO 64105	PC	KCAC AS	\$1,000
Kansas City Artists Coalition 201 Wyandotte Kansas City, MO 64105	PC	to support the Residency Program	\$500
Kansas City Ballet Association Todd Bolender Center for Dance & Creativity 500 West Pershing Road Kansas City, MO 64108	PC	general operating support	\$1,500
Kansas City Chalk And Walk Festival Inc P O Box 45511 Kansas City, MO 64171	PC	general operating support	\$3,750
Kansas City Chapter Of Young Audiences Inc 5601 Wyandotte Kansas City, MO 64113	PC	general operating support (\$30,000) and toward training in January 2014 for teaching artists to better align their school programs with new Common Core Standards (\$5,000)	\$35,000
Kansas City Chorale 5601 Wyandotte Ste 412 Kansas City, MO 64113	PC	general operating support	\$10,000
Kansas City Consensus 3515 Broadway, Suite 204 Kansas City, MO 64111-2501	PC	general operating support	\$500
Kansas City Filmmakers Jubilee, Inc. 4741 Central #306 Kansas City, MO 64112	PC	general operating support	\$7,500

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Kansas City Friends Of Alvin Ailey 1714 East 18th Street Kansas City, MO 64108	PC	KCFAA Education Programs	\$15,000
Kansas City Friends Of Alvin Ailey 1714 East 18th Street Kansas City, MO 64108	PC	general operating support	\$1,500
Kansas City Friends Of Alvin Ailey 1714 East 18th Street Kansas City, MO 64108	PC	general operating support	\$2,000
Kansas City Friends Of Alvin Ailey 1714 East 18th Street Kansas City, MO 64108	PC	to support the Releve group	\$1,500
Kansas City Hospice Inc. 1500 Meadow Lake Parkway Suite 200 Kansas City, MO 64114	PC	general operating support	\$3,000
Kansas City Hospice Inc. 1500 Meadow Lake Parkway Suite 200 Kansas City, MO 64114	PC	general operating support	\$2,000
Kansas City Hospice Inc. 1500 Meadow Lake Parkway Suite 200 Kansas City, MO 64114	PC	general operating support	\$1,000
Kansas City Jazz Orchestra P O. Box 12841 Shawnee Mission, KS 66282	PC	JAZZ EDUCATION OUTREACH PROGRAM including: Summer Jazz Camp, Kerry Strayer Master Class, and Youth Jazz Performance at Helzberg Hall (prior to KCJO concerts)	\$3,000
Kansas City Repertory Theatre Inc Administrative Office 4825 Troost Avenue, Suite 101 Kansas City, MO 64110-2030	PC	general operating support	\$25,000
Kansas City Repertory Theatre Inc Administrative Office 4825 Troost Avenue, Suite 101 Kansas City, MO 64110-2030	PC	general operating support	\$4,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Kansas City Repertory Theatre Inc Administrative Office 4825 Troost Avenue, Suite 101 Kansas City, MO 64110-2030	PC	general operating support	\$1,000
Kansas City Repertory Theatre Inc Administrative Office 4825 Troost Avenue, Suite 101 Kansas City, MO 64110-2030	PC	sponsorship of Eric Rosen as director for PIPPIN	\$5,000
Kansas City Symphony 1703 Wyandotte Street Suite 200 Kansas City, MO 64108	PC	Youth Education and Community Engagement Programs	\$25,000
Kansas City Symphony 1703 Wyandotte Street Suite 200 Kansas City, MO 64108	PC	general operating support	\$2,500
Kansas City Symphony 1703 Wyandotte Street Suite 200 Kansas City, MO 64108	PC	general operating support	\$2,550
Kansas City Volunteer Lawyers & Accountants For The Arts PO Box 413199 Kansas City, MO 64141	PC	general operating support	\$7,500
Kansas University Endowment Assoc 3901 Rainbow Blvd , Mail Stop 1051 Kansas City, KS 66160-7330	PC	general operating support	\$1,000
Kansas University Endowment Assoc 3901 Rainbow Blvd , Mail Stop 1051 Kansas City, KS 66160-7330	PC	general operating support	\$50,000
Kauffman Center for the Performing Arts 1601 Broadway Blvd. Kansas City, MO 64108	PC	Metropolitan Kansas City Performing Arts Center, Capital Campaign Endowment	\$200,000
KC Fringe Festival PO Box 415001 Kansas City, MO 64141-5001	PC	general operating support	\$7,500

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
KCKCC Endowment Association 7250 State Avenue Kansas City, KS 66112	PC	Back to School Fair	\$1,000
Kcmetropolis-Org Inc 814 East 33rd Street Kansas City, MO 64109	PC	general operating support	\$2,500
Kemper Museum Operating Foundation 4420 Warwick Boulevard Kansas City, MO 64111	POF	general support of education programs	\$10,000
Land Institute 2440 E. Waterwell Road Salina, KS 67401	PC	general operating support	\$5,000
Land Institute 2440 E Waterwell Road Salina, KS 67401	PC	general operating support	\$10,000
Land Institute 2440 E. Waterwell Road Salina, KS 67401	PC	general operating support	\$1,000
Latino Writers Collective 3607 Pennsylvania Avenue Kansas City, MO 64111	PC	general operating support	\$3,000
Literacy Kansas City 211 West Armour Boulevard Third Floor Kansas City, MO 64111	PC	general operating support	\$250
Lyric Opera Of Kansas City Inc 1725 Holmes St. Kansas City, MO 64108	PC	Education Program	\$10,000
Lyric Opera Of Kansas City Inc 1725 Holmes St. Kansas City, MO 64108	PC	general operating support	\$2,500

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Lyric Opera Of Kansas City Inc 1725 Holmes St. Kansas City, MO 64108	PC	general operating support	\$2,000
Lyric Opera Of Kansas City Inc 1725 Holmes St. Kansas City, MO 64108	PC	The Magic Flute	\$3,000
Marlborough Community Coalition P.O. Box 320333 Kansas City, MO 64132	PC	general operating support	\$1,000
Mattie Rhodes Center 1740 Jefferson Street Kansas City, MO 64108	PC	Latino Cultural Arts	\$20,000
Mattie Rhodes Center 1740 Jefferson Street Kansas City, MO 64108	PC	student scholarships for summer camp	\$4,000
Mayo Clinic Rochester Research Services Siebens 630 Mayo Clinic 200 First St , SW Rochester, MN 55905	PC	PBF Fellowship Award to support the research of Dr. Rolf Hubmayr (Mentor) and Dr. Richard Oeckler (Fellow)	\$52,000
Meeting Professionals International KCMPI Headquarters P.O. Box 11876 Kansas City, MO 64138	PC	March 2013 educational program	\$1,000
Mental Health America of the Heartland 739 Minnesota Avenue Kansas City, KS 66101	PC	general operating support	\$1,250
Metropolitan Arts Council of Greater Kansas City 906 Grand Suite 10B Kansas City, MO 64106	PC	general operating support	\$10,000
Metropolitan Arts Council of Greater Kansas City 906 Grand Suite 10B Kansas City, MO 64106	PC	general operating support	\$500

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Metropolitan Arts Council of Greater Kansas City Fab Collab Initiative 2501 McGee Street Kansas City, MO 64108	PC	Social Media Project	\$25,000
Metropolitan Community Colleges Foundation 3200 Broadway Kansas City, MO 64111	PC	Scholarship fund	\$5,000
Metropolitan Ensemble Theatre 3614 Main Street Kansas City, MO 64111	PC	general operating support	\$15,000
Metropolitan Organization To Counter Sexual Assault 3100 Broadway, Suite 400 Kansas City, MO 64158	PC	to support Art Therapy	\$2,000
Mid-America Arts Alliance 2018 Baltimore Kansas City, MO 64108	PC	Kansas City Programming and Audience Development	\$10,000
Mid-America Arts Alliance 2018 Baltimore Kansas City, MO 64108	PC	general operating support	\$5,000
Mid-America Arts Alliance 2018 Baltimore Kansas City, MO 64108	PC	support of their local exhibits	\$1,000
Mid-America Regional Council Community Services Corporation 600 Broadway, Suite 300 Kansas City, MO 64105	PC	MCEL - general operating support	\$140,000
Mid-America Regional Council Community Services Corporation 600 Broadway, Suite 300 Kansas City, MO 64105	PC	Implementing Early Learning Assessment Tool	\$70,000
Mid-America Regional Council Community Services Corporation 600 Broadway, Suite 300 Kansas City, MO 64105	PC	KC Digital Drive	\$5,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Midwest Center For The Literary Arts Inc Writers Place 3607 Pennsylvania Ave. Kansas City, MO 64111	PC	In Our Own Words Writing Workshops for At-Risk Middle and High School Students	\$7,500
Midwest Foster Care and Adoption Association 18600 East 37th Terrace South Box 11 Independence, MO 64057	PC	general operating support	\$2,000
Minddrive Incorporated 2615 Holmes Road Kansas City, MO 64108	PC	general operating support	\$2,500
Missouri Alliance for Arts Education 302 NE Keystone Drive Lee's Summit, MO 64086	PC	MAAE Arts Education Support Program	\$30,000
Monterey Bay Aquarium Foundation 886 Cannery Row Monterey, CA 93940	PC	general operating support	\$10,000
Mount St Scholastica Keeler Women's Center 2220 Center Avenue Kansas City, KS 66102	PC	10 Year Anniversary	\$1,000
Mt. Pleasant Education and Development Corporation Kansas City Freedom Schools Initiative 2200 Olive Street Kansas City, MO 64127	PC	to support 2013 KCFSI program	\$2,500
Mt. Pleasant Education and Development Corporation Kansas City Freedom Schools Initiative 2200 Olive Street Kansas City, MO 64128	PC	Kindergarten Readiness Boot Camp	\$30,000
Muscular Dystrophy Association 3300 E. Sunrise Dr. Tucson, AZ 85718-3299	PC	to support research involving the upregulation of utrophin as a potential treatment for DMD/BMD	\$50,000
Muscular Dystrophy Association 3300 E. Sunrise Dr. Tucson, AZ 85718-3299	PC	to support research involving the upregulation of utrophin as a potential treatment for DMD/BMD	\$10,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Musical Theater Heritage Inc 1800 Central Kansas City, MO 64108	PC	general operating support	\$1,875
NAIA Champions of Character 1200 Grand Boulevard Kansas City, MO 64106	PC	general operating support	\$1,000
National Jewish Medical and Research Center Research Administration National Jewish Medical and Research Center 1400 Jackson St , Room M217D Denver, CO 80206	PC	general operating support	\$2,500
National Trust for Historic Preservation in the US 1785 Massachusetts Avenue, NW Washington, DC 20036	PC	for the Missouri/Kansas Preservation Fund	\$10,000
Nature Conservancy, Inc 4245 N. Fairfax Drive Suite 100 Arlington, VA 22203	PC	general operating support	\$2,000
Nelson Gallery Foundation 4525 Oak Street Kansas City, MO 64111-1873	PC	for the Marc Wilson Education endowment	\$6,250
Nelson Gallery Foundation 4525 Oak Street Kansas City, MO 64111-1873	PC	general operating support	\$4,000
Nelson Gallery Foundation 4525 Oak Street Kansas City, MO 64111-1873	PC	general operating support	\$2,000
Nelson Gallery Foundation 4525 Oak Street Kansas City, MO 64111-1873	PC	general operating support	\$2,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Northwestern University Abbot Hall 517 710 N Lake Shore Dr., 5th Floor Chicago, IL 60611-3008	PC	PBF Fellowship Award to support the research of Dr. Jacob I Sznajder (Mentor) and Dr Kathryn A. Radigan (Fellow)	\$50,000
Northwestern University Abbot Hall 517 710 N. Lake Shore Dr., 5th Floor Chicago, IL 60611-3008	PC	PBF Fellowship Award to support the research of Dr. Jacob I. Sznajder (Mentor) and Dr. Curtis H. Weiss (Fellow)	\$54,000
Olathe Unified School District #233 Kansas City Area Parents as Teachers Consortium 315 N Lindenwood Olathe, KS 66062	PC	general operating support	\$20,000
Omaha Schools Foundation 3215 Cuming St Omaha, NE 68131	PC	DIBS	\$2,400
Omaha Schools Foundation 3215 Cuming St Omaha, NE 68131	PC	DIBS	\$2,400
Operation Breakthrough Inc 3039 Troost Kansas City, MO 64109	PC	assistance in providing Thanksgiving or Christmas items	\$1,500
Operation Breakthrough Inc 3039 Troost Kansas City, MO 64109	PC	general operating support	\$1,000
Operation Breakthrough Inc 3039 Troost Kansas City, MO 64109	PC	general operating support	\$25,000
Operation Breakthrough Inc 3039 Troost Kansas City, MO 64109	PC	general operating support	\$1,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Operation Breakthrough Inc 3039 Troost Kansas City, MO 64109	PC	general operating support	\$1,000
Operation Breakthrough Inc 3039 Troost Kansas City, MO 64109	PC	Teach for America Program	\$10,000
Operation Breakthrough Inc 3039 Troost Kansas City, MO 64109	PC	general operating support (OB Collaborative & Early Learning Program)	\$65,000
Owen-Cox Dance Group 4230 Holmes Street Kansas City, MO 64110	PC	general operating support	\$7,500
Ozanam 421 East 137th Street Kansas City, MO 64145	PC	to support the Recreation Program	\$2,500
Partnership for Regional Educational Preparation- Kansas City 800 West 47th Street # 210 Kansas City, MO 64112	PC	general operating support	\$1,000
Partnership for Regional Educational Preparation- Kansas City 800 West 47th Street # 210 Kansas City, MO 64112	PC	K-2 Classroom Libraries	\$18,000
Partnership for Regional Educational Preparation- Kansas City 800 West 47th Street # 210 Kansas City, MO 64112	PC	K-2 Classroom Libraries	\$2,000
Partnership for Regional Educational Preparation- Kansas City 800 West 47th Street # 210 Kansas City, MO 64112	PC	general operating support	\$150,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Paul Mesner Puppets Inc 1006 East Linwood Kansas City, MO 64109	PC	general operating support	\$10,000
Performing Arts Foundation Of Kansas City Folly Theater P O. Box 26505 Kansas City, MO 64196	PC	general operating support	\$10,000
Powell Gardens Inc. 1609 NW US HWY 50 Kingsville, MO 64061-9000	PC	general operating support	\$1,000
President and Fellows of Harvard College Harvard School of Public Health Sponsored Programs Administration Physiology Program 665 Huntington Ave , Room I-1302A Boston, MA 02115-6021	PC	PBF Fellowship Award to support the research of Dr Jeffrey Fredberg (Mentor) and Dr Jin-Ah Park (Fellow)	\$25,000
President and Fellows of Harvard College Harvard School of Public Health Sponsored Programs Administration Physiology Program 665 Huntington Ave., Room I-1302A Boston, MA 02115-6021	PC	PBF Fellowship Award to support the research of Dr. Megan B. Murray (Mentor) and Dr. Molly F. Franke (Fellow)	\$54,000
Public Television 19 Inc 125 East 31st Street Kansas City, MO 64108	PC	Arts & Culture Coverage on KCPT	\$40,000
Public Television 19 Inc 125 East 31st Street Kansas City, MO 64108	PC	general operating support	\$15,000
Public Television 19 Inc 125 East 31st Street Kansas City, MO 64108	PC	general operating support	\$5,000
Public Television 19 Inc 125 East 31st Street Kansas City, MO 64108	PC	general operating support	\$2,500

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Public Television 19 Inc 125 East 31st Street Kansas City, MO 64108	PC	general operating support	\$1,000
Public Television 19 Inc 125 East 31st Street Kansas City, MO 64108	PC	general operating support	\$1,500
Public Television 19 Inc 125 East 31st Street Kansas City, MO 64108	PC	KCPT Kids Initiative	\$15,000
Reaching Out From Within P.O. Box 8527 Prairie Village, KS 66208	PC	general operating support	\$600
Regents of the University of Wisconsin System at Madison Research and Sponsored Programs A.W. Peterson Building, 4th Floor University of Wisconsin 750 University Ave. Madison, WI 53706-1490	PC	PBF Fellowship Award to support the research of Dr. Gordon S. Mitchell (Mentor) and Dr Adrienne G Huxtable (Fellow)	\$50,000
Regents of the University of Wisconsin System at Madison Research and Sponsored Programs A W. Peterson Building, 4th Floor University of Wisconsin 750 University Ave. Madison, WI 53706-1490	PC	PBF Fellowship Award to support the research of Dr Gordon S Mitchell (Mentor) and Dr. Nicole L Nichols (Fellow)	\$54,000
Regents of University of California 0951 MC 9500 Gilman Drive La Jolla, CA 92093-0951	PC	PBF Fellowship Award to support the research of Dr. Peter Wagner (Mentor) and Dr. Tatum Simonson (Fellow)	\$50,000
Second Harvest Food Bank of Santa Clara and San Mateo Counties 750 Curtner Avenue San Jose, CA 95125	PC	general operating support	\$1,000
Significant Matters Inc 12480 S. Blackbob Road Olathe, KS 66062	PC	general operating support	\$1,750

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Society of Saint Pius X Kansas City Missouri Inc 3106 Flora Avenue Kansas City, MO 64109	PC	general operating support	\$1,000
St. Paul's Episcopal Day School 4041 Main Street Kansas City, MO 64111	PC	general operating support	\$10,000
STANFORD UNIVERSITY BOARD OF TRUSTEES OF THE LELAND STANFORD JU P O. Box 44253 San Francisco, CA 94144-4253	PC	PBF Fellowship Award to support the research of Dr Mark A. Krasnow (Mentor) and Dr Christin S. Kuo (Fellow)	\$46,481
STANFORD UNIVERSITY BOARD OF TRUSTEES OF THE LELAND STANFORD JU P O. Box 44253 San Francisco, CA 94144-4253	PC	PBF Fellowship Award to support the research of Dr Michael Matthay (Mentor) and Dr Angela J Rogers (Fellow)	\$27,000
StoneLion Puppet Theatre 2025 Tracy Kansas City, MO 64141-0006	PC	Puppets for the Planet Festival Series	\$7,500
Swope Corridor Renaissance / Upper Room, Inc 5930 Swope Parkway Kansas City, MO 64130	PC	Eddie Baker School of Music	\$7,500
Swope Corridor Renaissance / Upper Room, Inc. 5930 Swope Parkway Kansas City, MO 64130	PC	Raising A Reader KC	\$30,000
Synergy Services Inc 400 East 6th Street Parkville, MO 64152	PC	YRC (Youth Resiliency Center) Arts Program	\$5,000
The Arts Asylum 1000 E 9th St Kansas City, MO 64106	PC	general operating support	\$7,500
The Aspen Institute Inc 1000 North Third Street Aspen, CO 81611	PC	general operating support	\$5,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
The Conservatory of Music of Kansas City 4949 Cherry Street Kansas City, MO 64110-2229	PC	Musical Bridges	\$20,000
The Conservatory of Music of Kansas City 4949 Cherry Street Kansas City, MO 64110-2229	PC	Musical Bridges	\$2,500
The Conservatory of Music of Kansas City 4949 Cherry Street Kansas City, MO 64110-2229	PC	Musical Bridges	\$1,000
The Conservatory of Music of Kansas City 4949 Cherry Street Kansas City, MO 64110-2229	PC	Scholarship Fund	\$5,000
The Conservatory of Music of Kansas City 4949 Cherry Street Kansas City, MO 64110-2229	PC	Musical Bridges	\$2,000
The Culture House Inc. Storling Dance Theater 14808 W. 117 Street Olathe, KS 66062	PC	to support Underground performances	\$1,000
The Don Bosco Centers 580 Campbell Kansas City, MO 64106	PC	Bloomerang at Don Bosco and Newhouse Shelter Workshops and Banner Design Program	\$2,500
The Downtown Shareholders Of Kansas City Kansas Inc 726 Armstrong Avenue Suite 201 Kansas City, KS 66101	PC	general operating support	\$1,500
The Ellis Foundation P.O. Box 54 Fort Scott, KS 66701	PC	general operating support	\$2,000
The Family Conservancy 444 Minnesota Avenue, Suite 200 Kansas City, KS 66101	PC	general operating support	\$50,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
The Foundation for Shawnee Mission Medical Center 7315 E. Frontage Road, Ste 221 Shawnee Mission, KS 66204	PC	general operating support	\$1,000
The Kansas Alliance for the Arts in Education 10028 Mackey Circle Overland Park, KS 66212	PC	general operating support	\$3,018
The Kansas City Boys Choir A Not- For-Profit Corporation P.O Box 412551 Kansas City, MO 64141-2551	PC	general operating support	\$7,500
The Living Room Collective 4741 Central Street, #255 Kansas City, MO 64112	PC	general operating support	\$1,000
The Trustees of Columbia University in the City of New York 475 Riverside Dr. Mail Code 7724 New York, NY 10115	PC	PBF Fellowship Award to support the research of Dr. Alice Prince (Mentor) and Dr Taylor S Cohen (Fellow)	\$54,000
The Trustees of Columbia University in the City of New York 475 Riverside Dr. Mail Code 7724 New York, NY 10115	PC	PBF Fellowship Award to support the research of Dr. Donna Farber (Mentor) and Dr. Damian Turner (Fellow)	\$52,000
The Trustees of Columbia University in the City of New York 475 Riverside Dr. Mail Code 7724 New York, NY 10115	PC	PBF Fellowship Award to support the research of Dr Jahar Bhattacharya (Mentor) and Dr. Mohammad N. Islan (Fellow)	\$50,000
The Whole Person Inc 3420 Broadway, Suite 105 Kansas City, MO 64111	PC	Express the Whole Person	\$3,000
Theatre Atchison Inc 401 Santa Fe Atchison, KS 66002	PC	general operating support	\$4,875

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Theatre For Young America Inc PO Box 356 Mission, KS 66201	PC	general operating support	\$7,500
Toy And Miniature Museum Of Kansas City 5235 Oak Street Kansas City, MO 64112	POF	general operating support	\$33,750
Toy And Miniature Museum Of Kansas City 5235 Oak Street Kansas City, MO 64112	POF	Endowment for Toy & Miniature Museum	\$750,000
Traditional Music Society P O Box 10022 Kansas City, MO 64171	PC	African Dance Youth Ensemble	\$5,865
Truman Heartland Community Foundation 4200 Little Blue Parkway Suite 340 Independence, MO 64057	PC	general operating support	\$500
Truman Heartland Community Foundation Lee's Summit Parks and Recreation 220 SE Green Street Lee's Summit, MO 64063	PC	general operating support	\$250
Trustees Of Dartmouth College Dartmouth-Hitchcock Medical Center 1 Medical Center Drive Lebanon, NH 03756	PC	PBF Fellowship Award to support the research of Dr. Eugene Nattie (Mentor) and Dr. Andrea Corcoran (Fellow)	\$52,000
UMKC Trustees 5100 Rockhill Road Kansas City, MO 64110	PC	general operating support	\$3,150
UMKC Trustees 5100 Rockhill Road Kansas City, MO 64111	PC	general operating support	\$3,150
Unicorn Theatre 3828 Main Street Kansas City, MO 64111	PC	general operating support	\$10,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
University of Alabama at Birmingham Office of Grants and Contracts Accounting Administration Building 990 701 20th St. South Birmingham, AL 35294-0111	PC	PBF Fellowship Award to support the research of Dr. Victor J. Thannickal (Mentor) and Dr. Jessy S. Deshane (Fellow)	\$50,000
University of Arizona P.O. Box 3308 Tucson, AZ 85722	PC	PBF Fellowship Award to support the research of Dr. Joe G N. Garcia (Mentor) and Dr. Ting Wang (Fellow)	\$26,000
University of British Columbia Office of Research Services Instructional Resources Centre, Room 323 2194 Health Sciences Mall University of British Columbia Vancouver, British Columbia V6G 1Z1 Canada	PC	PBF Fellowship Award to support the research of Dr. James Hogg (Mentor) and Dr. Tillie-Louise Hackett (Fellow)	\$52,000
University of California, San Francisco 3333 California Street, Suite 315 San Francisco, CA 94143-0962	PC	PBF Fellowship Award to support the research of Dr. James A. Frank (Mentor) and Dr. Michael J LaFemina (Fellow)	\$54,000
University of Colorado Health Sciences Center National Jewish Center 1400 Jackson Street Denver, CO 80206	PC	PBF Fellowship Award to support the research of Dr. Rubin Tudor (Mentor) and Dr. Brian Graham (Fellow)	\$26,000
University Of Florida Foundation Inc Research and Graduate Programs University of Florida P O. Box 115500 Gainesville, Florida 32611	PC	PBF Fellowship Award to support the research of Dr. David Fuller (Mentor) and Dr. Mai ElMallah (Fellow)	\$52,000
University of Illinois at Chicago College of Medicine University of Illinois at Chicago 835 S. Wolcott Ave , E403 Chicago, IL 60612	PC	PBF Fellowship Award to support the research of Dr. Asrar B. Malik (Mentor) and Dr. Sophie Toya (Fellow)	\$54,000
University of Illinois at Chicago College of Medicine University of Illinois at Chicago 835 S. Wolcott Ave., E403 Chicago, IL 60612	PC	PBF Fellowship Award to support the research of Dr. Asrar B. Malik (Mentor) and Dr. Anke Di (Fellow)	\$3,083

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
University of Illinois at Chicago College of Medicine University of Illinois at Chicago 835 S. Wolcott Ave , E403 Chicago, IL 60612	PC	PBF Fellowship Award to support the research of Dr. Joe Garcia (Mentor) and Dr. Ting Wang (Fellow)	\$52,000
University of Michigan Division of Research Development & Administration University of Michigan 3003 S. State Street, Room 1054 Ann Arbor, MI 48109-1274	PC	PBF Fellowship Award to support the research of Dr. Theodore Standiford (Mentor) and Dr. Megan Ballinger (Fellow)	\$52,000
University of Pittsburgh Research/Cost Accounting University of Pittsburgh 3130 Cathedral of Learning P O. Box 371220 Pittsburgh, PA 15251-7220	PC	PBF Fellowship Award to support the research of Dr. Steven D Shapiro (Mentor) and Dr. Annerose Berndt (Fellow)	\$54,000
University of Rochester Office of Research Accounting and Costing Standards Towne House, Suite 260 1325 Mt. Hope Avenue Rochester, NY 14620	PC	PBF Fellowship Award to support the research of Dr. Patricia J. Smine (Mentor) and Dr. R. Matthew Kottmann (Fellow)	\$50,000
University of South Alabama Business Office Administration Building, AD 380 Mobile, AL 36688-0002	PC	PBF Fellowship Award to support the research of Dr. Try Stevens (Mentor) and Dr. Sarah Sayner (Fellow)	\$26,000
University of Toronto Dept. of Medicine and Physiology Room 6368 Medical Sciences Building 1 King's College Circle Toronto, Ontario M5S 1A8 Canada	PC	PBF Fellowship Award to support the research of Dr. Richard L. Horner (Mentor) and Dr. Gaspard Montandon (Fellow)	\$54,000
University of Vermont and State Agricultural College Health Sciences Research Facility 149 Beaumont Avenue Burlington, VT 05405	PC	PBF Fellowship Award to support the research of Dr. Yvonne Janssen-Heininger (Mentor) and Dr. Vikas Anathy (Fellow)	\$50,000
University of Washington Grants and Contract Services Campus Box 354945 The University of Washington 3935 University Way, NE Seattle, WA 98105	PC	PBF Fellowship Award to support the research of Dr. Mark M. Wufel (Mentor) and Dr. Carmen R. Mikacenic (Fellow)	\$50,000

Part XV Supplementary Information
3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
University of Washington Grants and Contract Services Campus Box 354945 The University of Washington 3935 University Way, NE Seattle, WA 98105	PC	Parker B. Francis Research Opportunity to support the work of Dr. Ajai Dandekar	\$5,000
Vanderbilt University Medical Center Department of Finance Department AT 40303 Atlanta, GA 31192-0303	PC	PBF Fellowship Award to support the research of Dr James D. West (Mentor) and Dr Joshua P. Fessel (Fellow)	\$50,000
Village Shalom 5500 West 123rd Street Overland Park, KS 66209	PC	general operating support	\$1,000
Visitation Fine Arts Society 5141 Main Kansas City, MO 64112	PC	free to the public concerts	\$2,500
Wake Forest University School of Medicine Center for Human Genomics Medical Center Blvd. Winston-Salem, NC 27157	PC	PBF Fellowship Award to support the research of Dr Osvaldo Delbono (Mentor) and Dr. Daniel Files (Fellow)	\$52,000
Water Org Inc. 920 Main Street Suite 1800 Kansas City, MO 64105	PC	general operating support	\$500
Watkins Mill Association Inc P.O. Box 704 Excelsior Springs, MO 64024	PC	Education & Arts Programs	\$3,000
Westport Center For The Arts PO Box 32745 Kansas City, MO 64171	PC	Westport Center for the Arts - Programs for 2014	\$7,500
William Jewell College Trustees Of 500 College Hill Box 1015 Liberty, MO 64068-1896	PC	general operating support	\$10,000
Womens Foundation of California 340 Pine Street Suite 302 San Francisco, CA 94104	PC	general operating support	\$5,000

Part XV Supplementary Information
 3a Grants and Contributions Paid During the Year

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Wonderscope Inc 5700 King Street Shawnee, KS 66203	PC	general operating support	\$500
Woodland Elementary School Kansas City Public Schools 711 Woodland Avenue Kansas City, MO 64106	PC	Play therapy room at Woodland Elementary	\$3,000
World Outreach Foundation Kansas City P.O. Box 481021 Kansas City, MO 64148	PC	disaster relief	\$1,000
Wylliams-Henry Contemporary Dance Company 209 South Olive Kansas City, MO 64124-1620	PC	general operating support	\$7,200
Wylliams-Henry Contemporary Dance Company 209 South Olive Kansas City, MO 64124-1620	PC	general operating support	\$1,000

Part XV Supplementary Information
3b Approved for future payment

Recipient Name and Address	Foundation status of recipient	Purpose of grant or contribution	Amount
Brigham and Women's Hospital Research Administration 75 Francis St. Boston, MA 02115	PC	PBF Fellowship Award to support the research of Dr. Edwin K. Silverman (Mentor) and Dr. Emily S. Wan (Fellow)	\$106,000
Cincinnati Children's Hospital Medical Center 3333 Burnet Avenue, ML5000 Cincinnati, OH 45229	PC	PBF Fellowship Award to support the research of Dr. William Hardie (Mentor) and Dr. Satish K. Madala (Fellow)	\$106,000
The Trustees of Columbia University in the City of New York 475 Riverside Dr. Mail Code 7724 New York, NY 10115	PC	PBF Fellowship Award to support the research of Dr. Jahar Bhattacharya (Mentor) and Dr. Mohammad N. Islan (Fellow)	\$106,000
President and Fellows of Harvard College Harvard School of Public Health Sponsored Programs Administration Physiology Program 665 Huntington Ave , Room I-1302A Boston, MA 02115-6021	PC	PBF Fellowship Award to support the research of Dr. Jeffrey Fredberg (Mentor) and Dr Jin-Ah Park (Fellow)	\$106,000
Johns Hopkins University Johns Hopkins Asthma and Allergy Center Room 4B-74A 5501 Hopkins Bayview Circle Baltimore, MD 21224	PC	PBF Fellowship Award to support the research of Dr Marsha Wills-Karp (Mentor) and Dr. Stephane Lajoie (Fellow)	\$106,000
Northwestern University Abbot Hall 517 710 N. Lake Shore Dr , 5th Floor Chicago, IL 60611-3008	PC	PBF Fellowship Award to support the research of Dr. Jacob I Sznajder (Mentor) and Dr. Kathryn A Radigan (Fellow)	\$106,000
Partnership for Regional Educational Preparation-Kansas City 800 West 47th Street # 210 Kansas City, MO 64112	PC	K-2 Classroom Libraries Discretionary grant	\$36,000
Toy And Miniature Museum Of Kansas City 5235 Oak Street Kansas City, MO 64112	POF	30th Anniversary Capital Campaign	\$330,000
University of Alabama at Birmingham Office of Grants and Contracts Accounting Administration Building 990 701 20th St. South Birmingham, AL 35294-0111	PC	PBF Fellowship Award to support the research of Dr. Victor J. Thannickal (Mentor) and Dr. Jessy S Deshane (Fellow)	\$106,000

Part XV Supplementary Information
3b Approved for future payment

Regents of University of California 0951 MC 9500 Gilman Drive La Jolla, CA 92093-0951	PC	PBF Fellowship Award to support the research of Dr Peter Wagner (Mentor) and Dr. Tatum Simonson (Fellow)	\$106,000
University of Pittsburgh Research/Cost Accounting University of Pittsburgh 3130 Cathedral of Learning P.O. Box 371220 Pittsburgh, PA 15251-7220	PC	Parker B Francis Research Opportunity to support the work of Dr. Enrico Novelli	\$5,000
University of Rochester Office of Research Accounting and Costing Standards Towne House, Suite 260 1325 Mt. Hope Avenue Rochester, NY 14620	PC	PBF Fellowship Award to support the research of Dr Patricia J. Smine (Mentor) and Dr. R. Matthew Kottmann (Fellow)	\$106,000
University of Vermont and State Agricultural College Health Sciences Research Facility 149 Beaumont Avenue Burlington, VT 05405	PC	PBF Fellowship Award to support the research of Dr Yvonne Janssen-Heininger (Mentor) and Dr. Vikas Anathy (Fellow)	\$106,000
University of Washington Grants and Contract Services Campus Box 354945 The University of Washington 3935 University Way, NE Seattle, WA 98105	PC	PBF Fellowship Award to support the research of Dr Mark M. Wufel (Mentor) and Dr. Carmen R Mikacenic (Fellow)	\$106,000
Regents of the University of Wisconsin System at Madison Research and Sponsored Programs A.W. Peterson Building, 4th Floor University of Wisconsin 750 University Ave. Madison, WI 53706-1490	PC	PBF Fellowship Award to support the research of Dr. Gordon S. Mitchell (Mentor) and Dr Adrienne G. Huxtable (Fellow)	\$106,000
Vanderbilt University Medical Center Department of Finance Department AT 40303 Atlanta, GA 31192-0303	PC	PBF Fellowship Award to support the research of Dr. James D. West (Mentor) and Dr. Joshua P. Fessel (Fellow)	\$106,000
TOTAL			\$1,749,000

Application for Extension of Time To File an
Exempt Organization Return

► File a separate application for each return
Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print

File by the due date for filing your return. See instructions

Name of exempt organization or other filer, see instructions

Employer identification number (EIN) or

THE FRANCIS FAMILY FOUNDATION

43-1492132

Number, street, and room or suite no. If a P.O. box, see instructions

Social security number (SSN)

800 W. 47TH STREET

City, town or post office, state, and ZIP code. For a foreign address, see instructions

KANSAS CITY, MO 64112-1249

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► KIM FOSTER

Telephone No ► 816 531-0077

FAX No ► 816 531-8810

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/15, 20 14, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☒ calendar year 20 13 or
- ☐ tax year beginning _____, 20 _____, and ending _____, 20 _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$	0
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$	0
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c \$	0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form 8868 (Rev. 1-2014)

JSA

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House Park Dobratz & Weibler P.C.
Certified Public Accountants
605 West 47th Street, Suite 301
Kansas City, MO 64112 FED ID #43-1562209